



# Overview of transverse spin physics in the PHENIX experiment



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Deep Inelastic Scattering Conference, April 3 – 7, 2017, Birmingham, UK.

#### The PHENIX experiment at RHIC and runs with polarized proton beam



View of the Brookhaven National Laboratory, NY, USA

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PHENIX experimental Hall

Year	√s (GeV)	Recorded Luminosity for longitudinally / transverse polarized p+p STAR	Recorded Luminosity for longitudinally / transverse polarized p+p PHENIX	<p> in %</p>	
2006	62.4	pb <sup>-1</sup> / 0.2 pb <sup>-1</sup> 6.8 pb <sup>-1</sup> / 8.5 pb <sup>-1</sup>	0.08 pb <sup>-1</sup> / 0.02 pb <sup>-1</sup> 7.5 pb <sup>-1</sup> / 2.7 pb <sup>-1</sup>	48 57	
2008	200	pb <sup>-1</sup> / 7.8 pb <sup>-1</sup>	pb <sup>-1</sup> / 5.2 pb <sup>-1</sup>	45	
2009	200 500	25 pb <sup>-1</sup> / pb <sup>-1</sup> 10 pb <sup>-1</sup> / pb <sup>-1</sup>	16 pb <sup>-1</sup> / pb <sup>-1</sup> 14 pb <sup>-1</sup> / pb <sup>-1</sup>	55 39	
2011	500	12 pb <sup>-1</sup> / 25 pb <sup>-1</sup>	18 pb <sup>-1</sup> / pb <sup>-1</sup>	48	
2012	200 510	pb <sup>-1</sup> / 22 pb <sup>-1</sup> 82 pb <sup>-1</sup> / pb <sup>-1</sup>	pb <sup>-1</sup> / 9.7 pb <sup>-1</sup> 32 pb <sup>-1</sup> / pb <sup>-1</sup>	61/56 50/53	
2013	510	300 pb <sup>-1</sup> / pb <sup>-1</sup>	155 pb <sup>-1</sup> / pb <sup>-1</sup>	51/52	
2015	200	52 pb <sup>-1</sup> / 52 pb <sup>-1</sup>	pb <sup>-1</sup> / 60 pb <sup>-1</sup>	53/57	
2015 (	200 p A	u total delivered Lui	$minosity = 1.27 \text{ pb}^{-1}$	60	
2015 (	200 p A		total delivered Luminosity = 3.97 pb <sup>-1</sup>		

O: Trasversely polarized

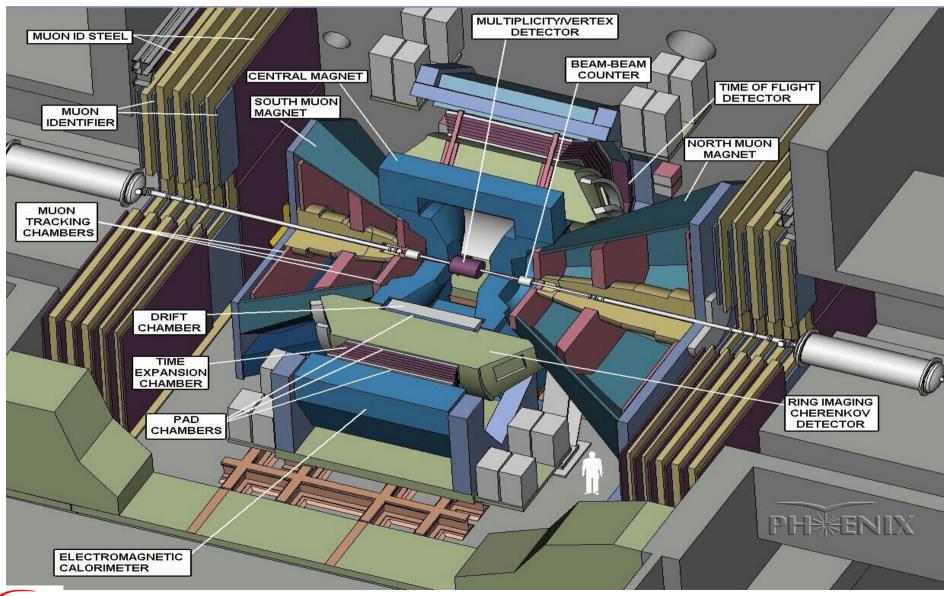
Run 2015 : for the first time, polarized p+A collisions.

# PHENIX spectrometer, cut view

Mid rapidity, central arm:  $|\eta|$  < 0.35 => charged hadrons with PID,  $\pi^{\circ}$ ,  $\eta$ ...

Forward rapidity, muon arms: 1.2 <  $|\eta|$  < 2.4 => charged hadrons, muons, J/ $\psi$ ...

Forward rapidity, muon Piston Calorimeters: 3.1 <  $|\eta|$  < 3.9 =>  $\pi^{\circ}$ ,  $\eta$ 



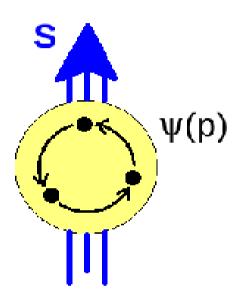
# Transverse spin physics and non zero asymmetry effects

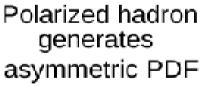
Goal: transverse structure of the nucleon with transverse spin asymmetries. Single transverse spin asymmetry  $A_{N}$  in p+p (A) collisions could be induced by:

Sivers Effect

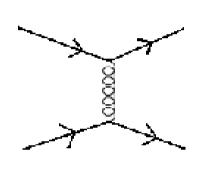
Interaction Effects

Collins Effect



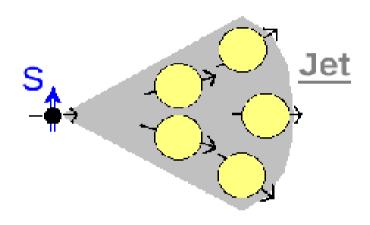


Coupling of quark orbital motion to nucleon spin. Initial state effect in hadron-hadron collisions.



Parton-level asymmetric scattering

Gluon exchanges, Initial and final state q+g and g+g coupling.



Polarized parton undergoes asymmetric fragmentation

Fragmentation of polarized quarks in polarized nucleon into hadrons. Final state effect.

Fig. : Y. Kovchegov, et al. Phys.Rev. D86 (2012) 034028



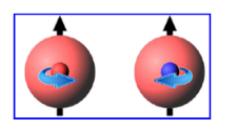
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# Transverse Momentum Distributions versus Collinear factorization framework: low / high p<sub>+</sub> approach

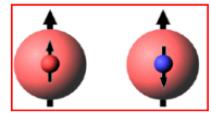
**Transverse Momentum Distributions – k**<sub>+</sub> dependent approach

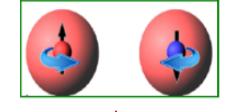
**Transversity h**<sub>1</sub>: quark and nucleon spin correlation





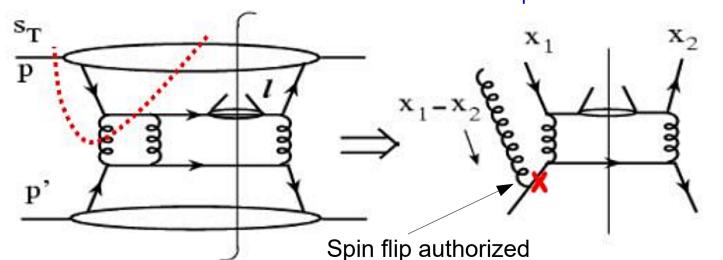
Sivers  $f_{1T}^{\perp}$ : correlation between proton transverse spin and non zero transverse momentum of quarks





Boer-Mulders  $h_1^{\perp}$ : correlation between quarks transverse spin and their non-zero momentum  $k_{\perp}$ 

Twist 3 multiparton correlation functions and fragmentation functions correspond to initial and final state interactions and correspond to  $k_{\scriptscriptstyle \perp}$  moments of integrals of TMDs.

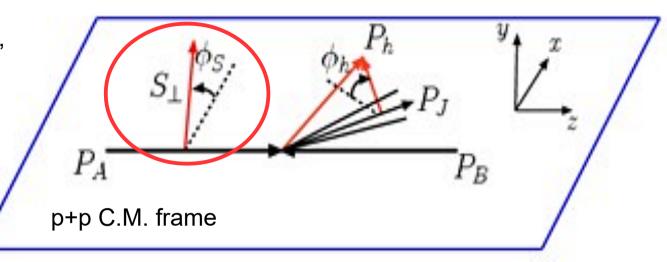


Diagrams: J.Qiu talk at BNL, 2005

Higher twist effect and gg + gq correlations must be dominant at RHIC energies

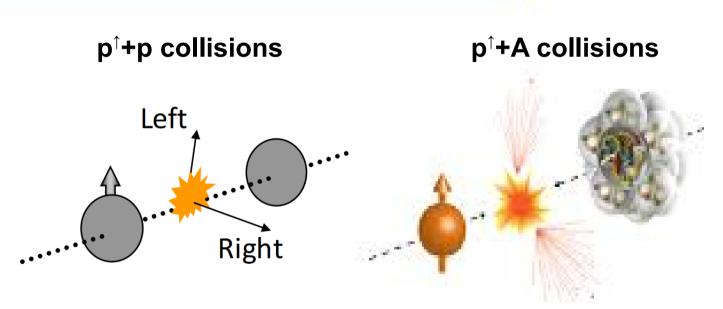
## Azimuthal dependences in p+p collisions and observables

Scheme of p+p collision,  $\Phi_s$  = spin angle.



What we are measuring: Transversely polarized Single Spin Asymmetry

$$A_N = \frac{\sigma_L^{\uparrow} - \sigma_R^{\uparrow}}{\sigma_L^{\uparrow} + \sigma_R^{\uparrow}}$$

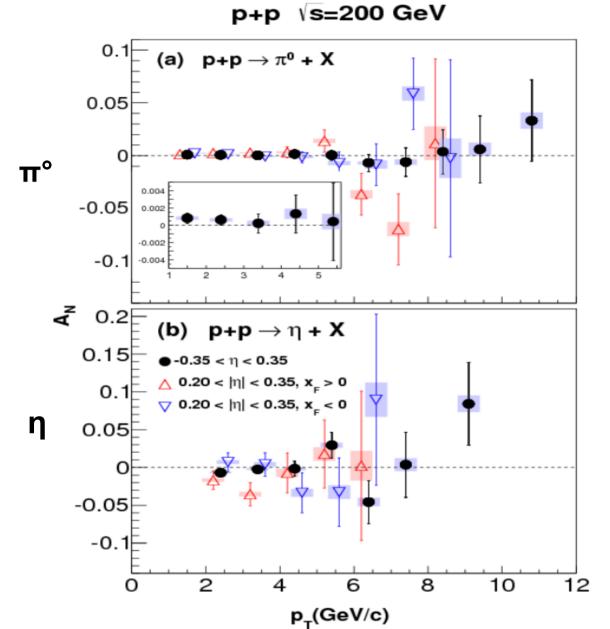


Azimuthal dependence of unpolarized and polarized cross sections and spin asymmetries comes from non zero quark transverse momentum  $\mathbf{k}_{_{\!\!\mathsf{T}}}$  and nucleon spin

# Recent PHENIX results with transversely polarized beam

- 1) Transverse spin asymmetry in light hadrons production: inclusive  $\pi^{\circ}$  and  $\eta$  mesons production at mid- and forward- rapidity in p+p collisions, and nuclear dependences in p+A collisions.
- 2) Transverse spin asymmetry in open heavy flavor production: inclusive D-mesons production (dominant) at forward rapidity in p+p collisions.
- 3) Transverse spin asymmetry in inclusive  $J/\psi$  production at forward rapidity in p+p collisions.
- 4) Transverse spin asymmetry in neutron production and nuclear dependences: see Gaku Mitsuka presentation later in this session.

#### 1) Transverse spin asymmetry in light hadrons production : $\pi^{\circ}$ and $\eta$

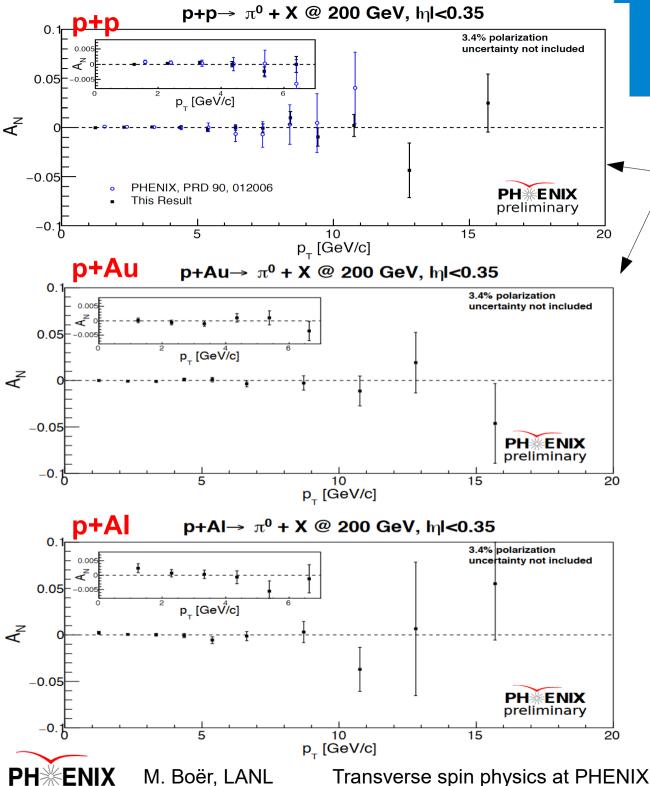


Mid-rapidity:  $|\eta| < 0.35$ 

 $\pi^{\circ}$  and  $\eta A_{N}$  in p+p at 200 GeV

 $A_N$  were found consistent with zero for the whole  $p_T$  range in p+p collisions within statistic uncertainties.

A. Adare et al. (PHENIX Collaboration) PRD 90, 012006 (2014)

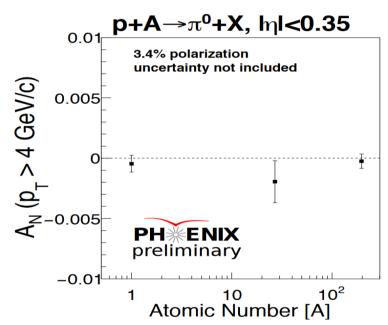


# **Nuclear dependence at** mid-rapidity of A, (π°)

#### p+Au and p+Al collisions

 $A_{N}$  versus  $p_{T}$  for p+p, p+Au, p+Al

 $A_{N}$  integrated over  $p_{\perp}$  as a function of A:

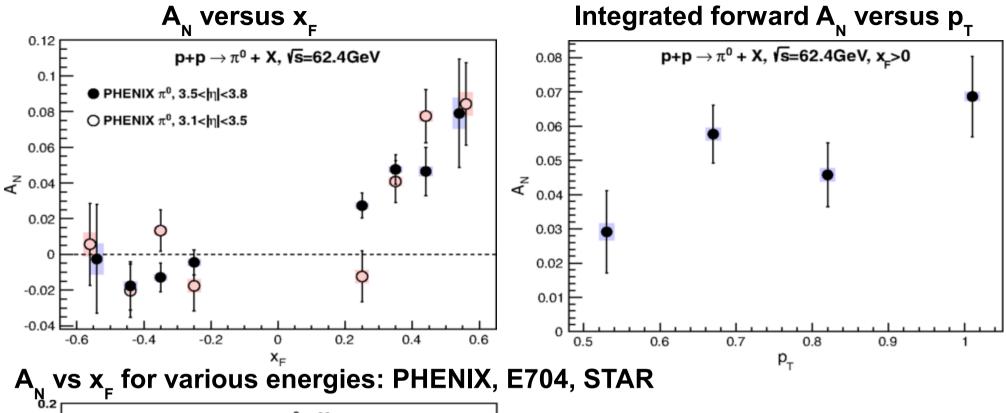


Results are consistent with zero asymmetry for p+p, p+Au and p+Al collisions, and no quantifiable nuclear dependence.

**PH**ENIX

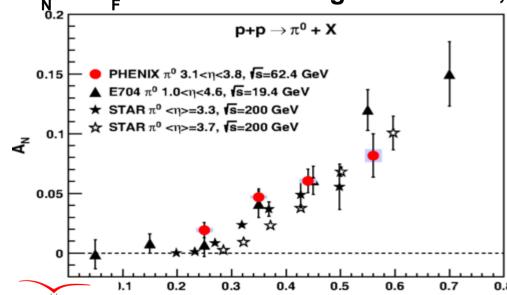
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## 1) Transverse spin asymmetry in light hadrons production: $\pi^{\circ}$ and $\eta$ π° at forward rapidity



Transverse spin physics at PHENIX





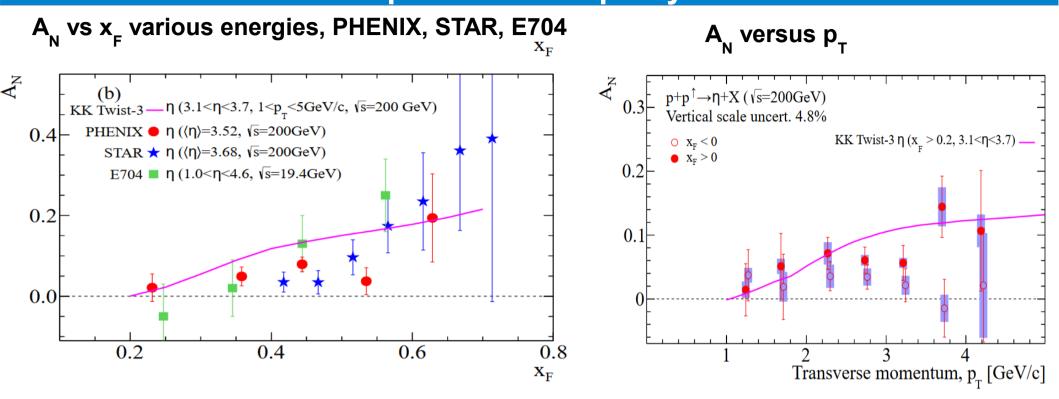
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Unexpected large A<sub>N</sub> at PHENIX energy!

- Large asymmetries measured at forward rapidity, while zero at mid- (last slide) and backward rapidity.
- weak energy dependence
- tend to increase with x<sub>r</sub> > 0 and p<sub>r</sub>

Phys. Rev. D 90, 012006 (2014) DIS 2017, Birmingham, UK 10/18

# 1) Transverse spin asymmetry in light hadrons production : π° and η η at forward rapidity



Large asymmetry at forward rapidity in both  $\pi^{\circ}$  and  $\eta$  production, at same level.

Pink fit: twist-3 calculations using quark-gluon correlation functions

PhysRevD.90.072008 (2015)



## 2) Open heavy flavor transverse spin asymmetry

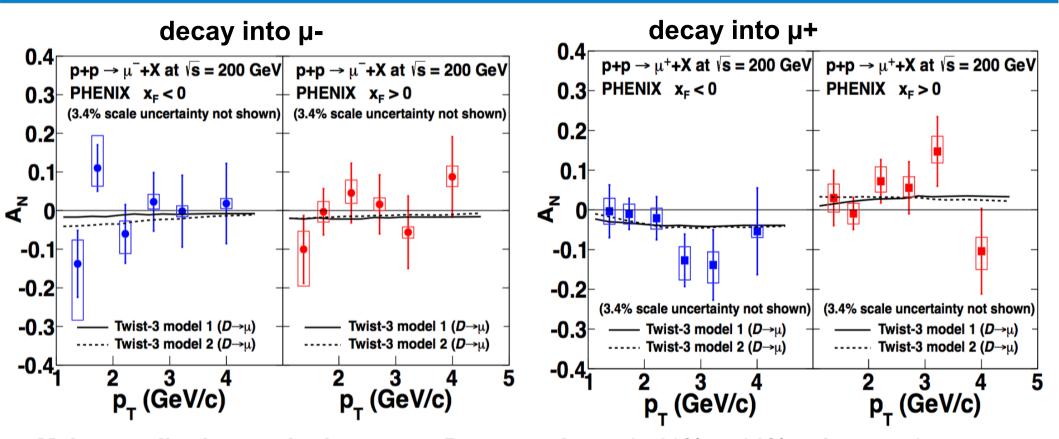
**Dominated by gluon-gluon interactions** 

Collinear factorization approach: production dominated by tri-gluon correlation

Sensitive to gluon Sivers function, as moment related to correlation function (see btw Kang et al, Phys.Rev.D83:094001,2011)

=> non zero asymmetry would be expected from initial state effect in case gluon function is large

# 2) Open heavy flavor transverse spin asymmetry (vs pT)



- Main contribution to single muons: D-meson decay (~ 60% to 92% at lower p<sub>⊤</sub>)
- Decay into μ+ and μ- comparison
- Results consistent with zero within uncertainties
- Model predictions at twist 3 within collinear factorization framework consistent with measurement. Original calculations for D meson translated to single  $\mu$  decay.

Twist 3 model: Y. Koike, S. Yoshida, PRD84:014021 (2011)

AN calculations for D mesons provided by S. Yoshida.

New result!

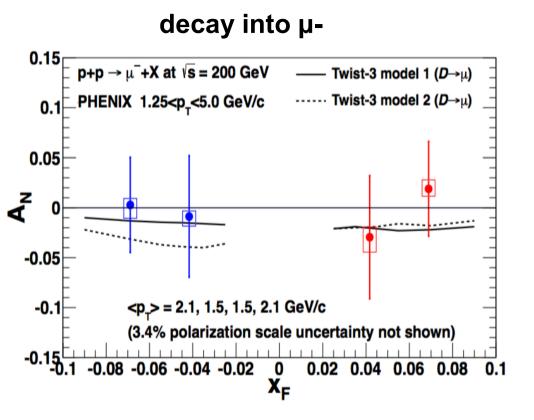
arXiv:1703.09333

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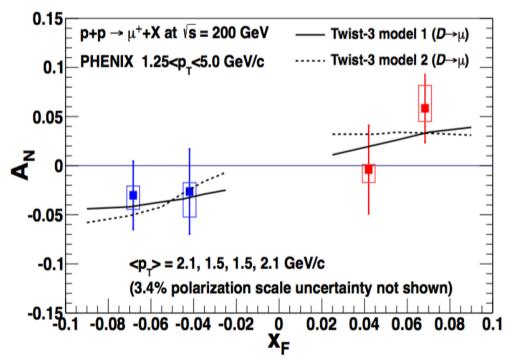
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# 2) Open heavy flavor transverse spin asymmetry (vs xF)



#### decay into µ+



- Main contribution to single muons: D-meson decay (~ 60% to 92% at lower p<sub>→</sub>)
- Decay into μ+ and μ- comparison
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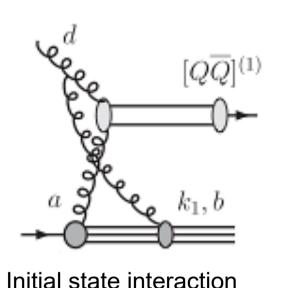
**New result!** 

arXiv:1703.09333

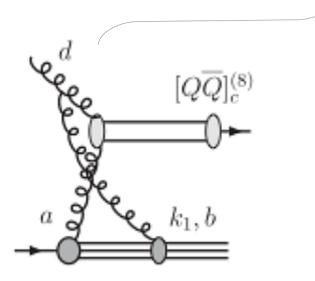
# 3) Transverse spin asymmetry in inclusive J/ψ production

### Sensitive to production mechanism: only color singlet produce non zero A<sub>N</sub>

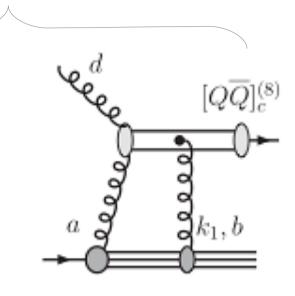
#### **Color singlet**



Color octet



Initial state interaction



Final state interaction

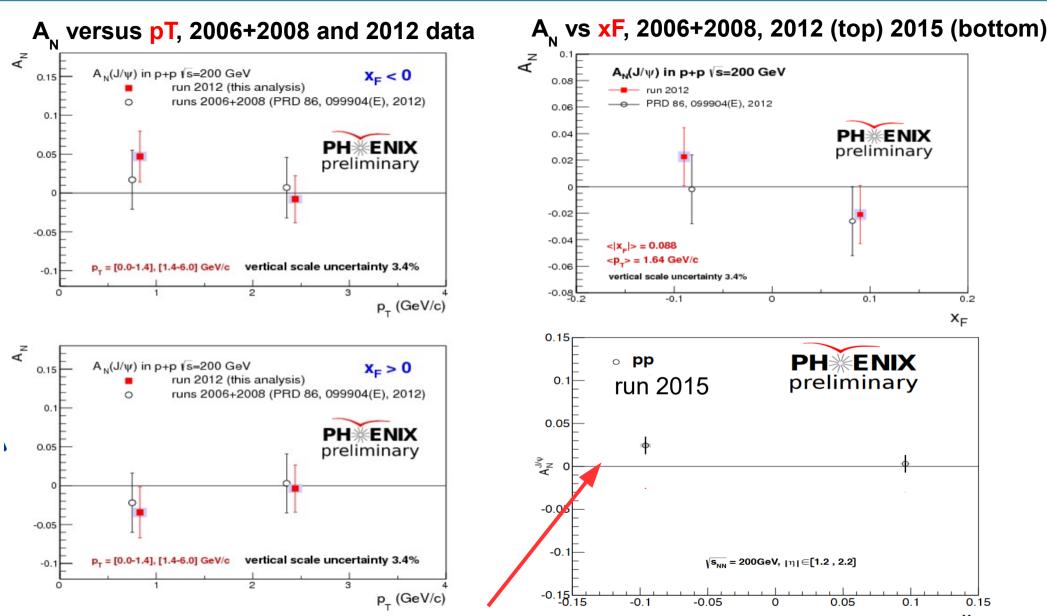
#### Comparison:

4 data sets, last one in 2015 with x5 improved luminosity

Run	Luminosity	Pol
Run6	1.8 pb <sup>-1</sup>	53%
Run8	4.5 pb <sup>-1</sup>	45%
Run12	9.2 pb <sup>-1</sup>	60%
Run15	50 pb <sup>-1</sup>	60%



## 3) Transverse spin asymmetry in inclusive J/ψ production in p+p



Run 2015: x5 statistics compared to all other sets. Small stat. errors<sup>x</sup>

A<sub>N</sub> consistent with 0 within stat. uncertainties

Phys. Rev. D 82, 112008 (2010)

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# **Summary and Perspectives**

#### Transverse spin asymmetries in light hadron production

- Measured from inclusive  $\pi^{\circ}$  and  $\eta$  production in p+p and recently in p+A,
- Asymmetries are found compatible with zero at mid-rapidity, and no significant nuclear dependence is measured,
- Large asymmetries at forward rapidity: unexpected at PHENIX energy,
- Forward asymmetries are well described by twist 3 models.

#### Transverse spin asymmetries in heavy quarks production

- Open heavy flavor (D-mesons): compatible with zero within uncertainties,
- J/Ψ: compatible with zero within uncertainties.

#### **Perspectives**

- Ongoing analysis of processes presented here with new data, with p+p and p+A improved statistics: more interesting results in perspective!
- Ongoing forward single hadron analysis in broad rapidity range: results soon!
- Ongoing analysis of forward Drell-Yan A<sub>N</sub> for Sivers-like effect and comparison with SIDIS measurements: result very soon. See next slide.

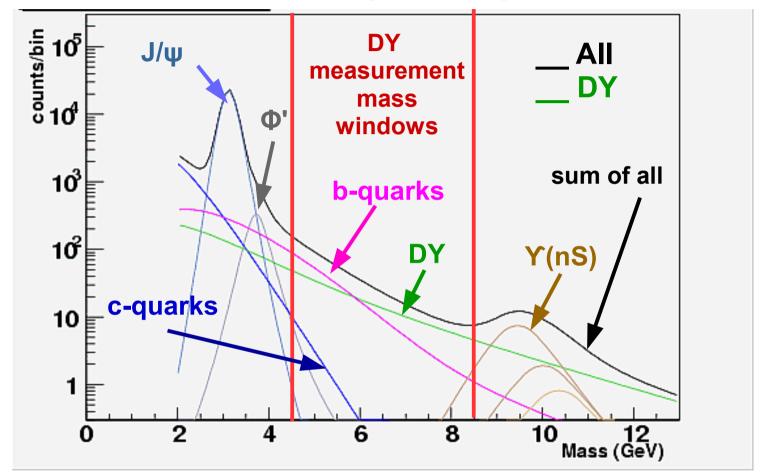
### Don't miss G. Mitsuka presentation on neutron A<sub>N</sub>!



# Outlook: Drell-Yan analysis in PHENIX

Ongoing analysis of Drell-Yan A<sub>N</sub>, sensitive to Sivers effect. <u>Goal</u>: compare to SIDIS mes.

Mass distribution and various signal + background contributions to the dimuons spectrum



Kinematics at 
$$\sqrt{s} = 200 \text{ GeV}$$
:

1.2 < 
$$|y|$$
 < 2.2  
 $x_F \sim 10^{-1}$   
0.5 <  $q_T < \sim 5$  GeV

Fig. from PHENIX DY proposal, courtesy of Ming Liu. Simulations using Pythia 6 event generator.

Main challenge: background reduction and limited statistics available (run 2015 p+p).

Drell-Yan A<sub>N</sub> result coming very soon : keep in touch !



# Thank you!



Please address any further questions to: mboer@rcf.rhic.bnl.gov or to any member of the PHENIX collaboration