



# Disentangling transverse single spin asymmetries for very forward neutrons in polarized p-A collisions using ultra-peripheral collisions

PHENIX, arXiv:1703.10941, submitted to PRL  
GM, arXiv:1702.03834, accepted in PRC

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for the PHENIX Collaboration



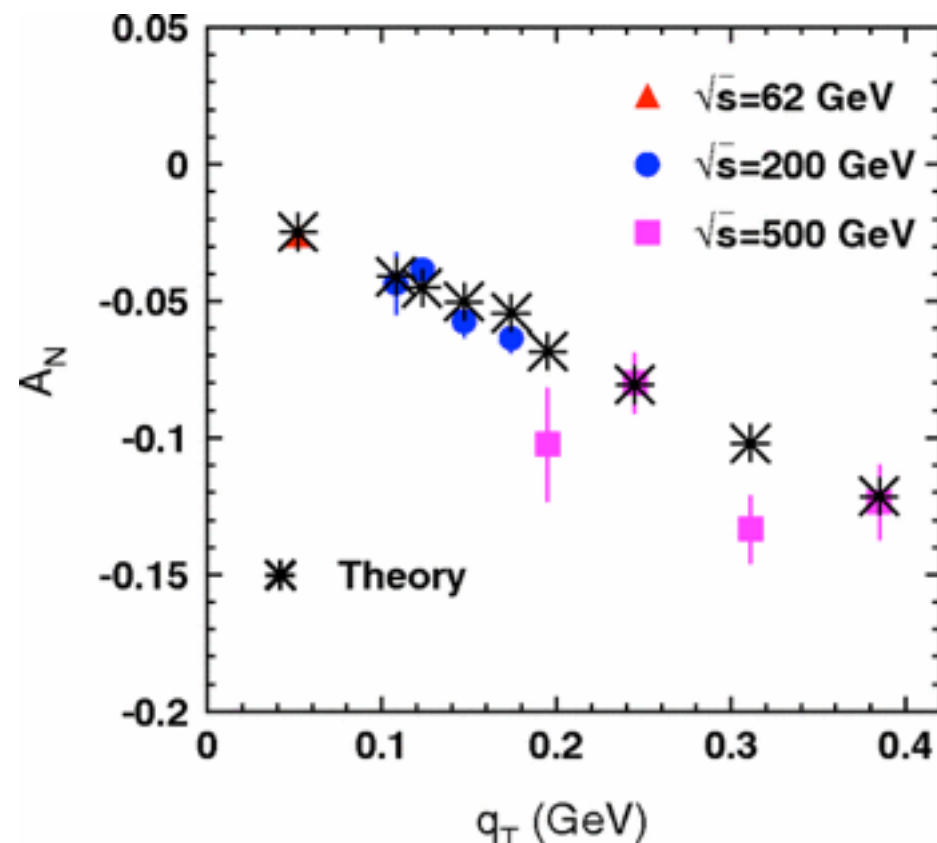
*25th International Workshop on Deep  
Inelastic Scattering and Related Topics  
3-7 April 2017, Birmingham, UK*

# Outline

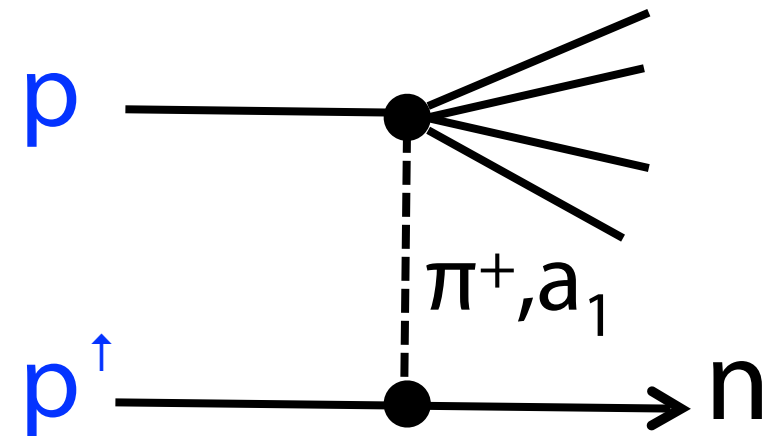
1. Introduction and Physics motivation
  - Large  $A_N$  for forward neutrons discovered in pAu collisions
  - Can electromagnetic effects explain positive and large  $A_N$ ?
2. Ultra-peripheral collisions (UPCs)
  - Do  $\gamma^*p$  interactions have large  $A_N$ ?
  - MC simulations of  $\gamma^*p$  interactions
3. MC simulation results
  - UPCs vs. hadronic interactions
  - MC simulations vs. the PHENIX measurements
4. Summary and Future prospects

# 1. Introduction and Physics motivations

## Single spin asymmetry $A_N$ for very forward neutrons in $pp$



Kopeliovich et al.  
PRD. 84.114012 (2011)

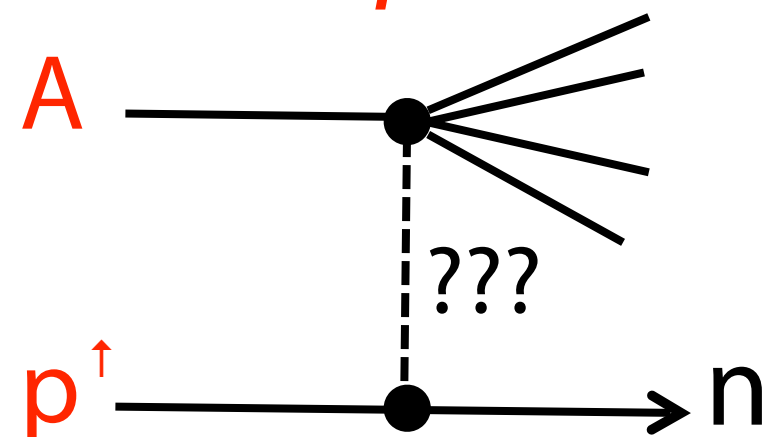


$A_N$  in  $pp$  at the RHIC energies are well explained by an one-Reggeon exchange model with the interference between  $\pi$  (spin flip) and  $a_1$  (nonflip).

## Single spin asymmetry $A_N$ for very forward neutrons in $pA$

Can  $A_N$  in  $pA$  be successfully explained by the  $\pi$ - $a_1$  interference? or by other mechanisms?

→ understand forward neutron production in  $pA$



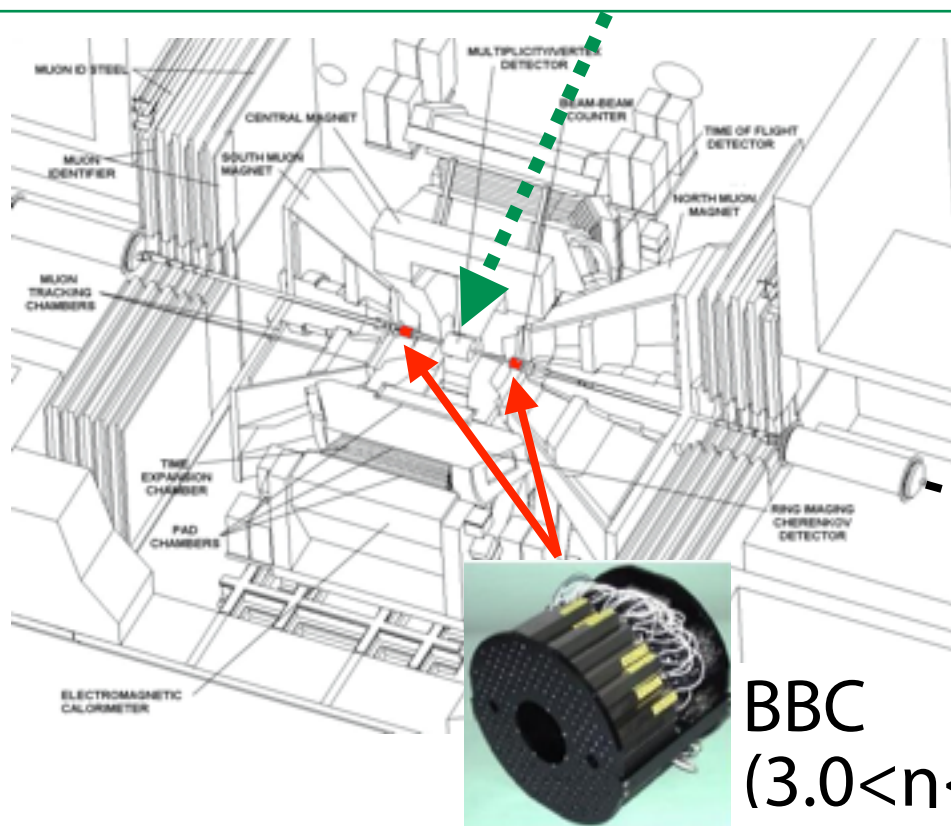
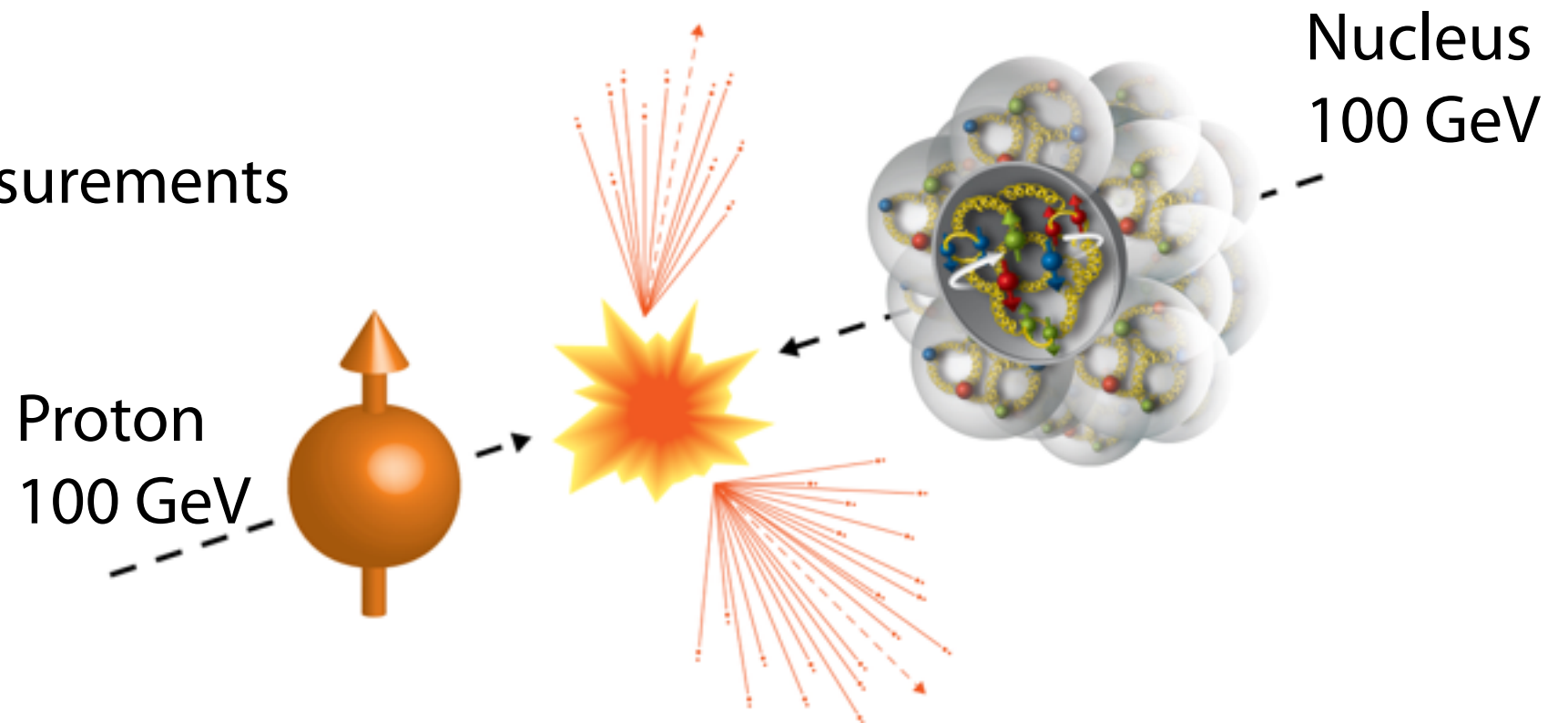
# 1.1 Transversely polarized pA collisions

## *Run 15 pAl/pAu collisions*

Dedicated run for  $A_N$  measurements

Average pol.  $\sim 0.5$ - $0.6$

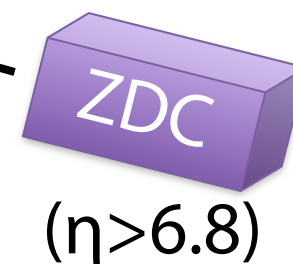
(syst. uncertainty  $\sim 3\%$ )



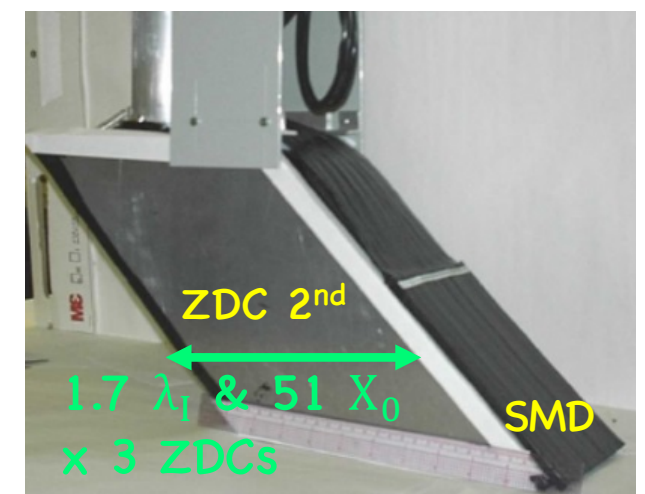
BBC  
( $3.0 < \eta < 3.9$ )

- ZDC (Zero Degree Calorimeter): hadron calorimeter with a  $10 \times 10 \text{ cm}^2$  area ( $\Delta E/E \sim 20\text{--}30\%$ )
- SMD (Shower Max Detector): X-Y plastic scintillator hodoscope ( $\Delta x, \Delta y \sim 1 \text{ cm}$ )
- Charge veto counter: plastic scintillator pad at front

18 m

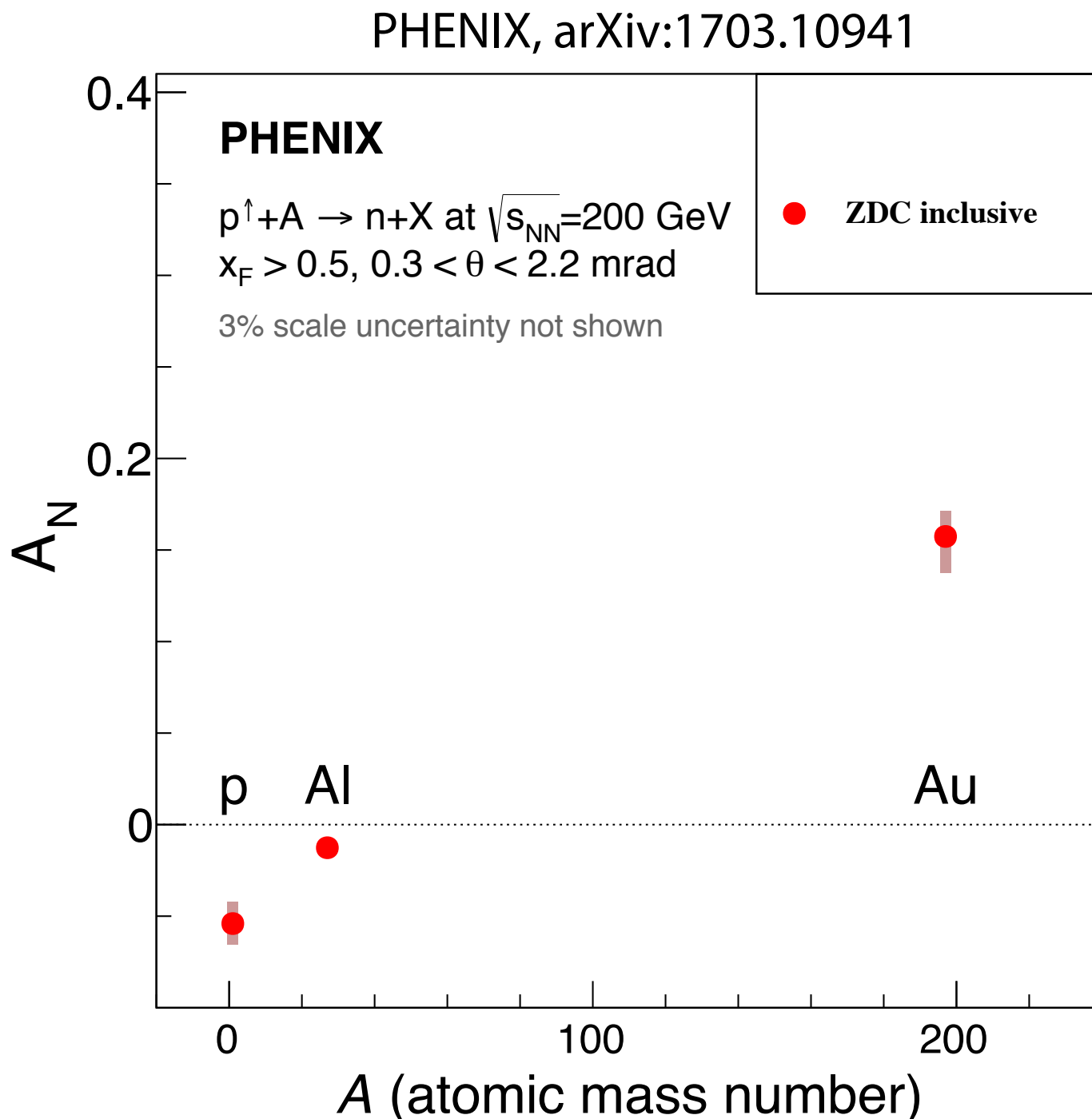


( $\eta > 6.8$ )





# 1.2 *Inclusive* $A_N$ for forward neutrons



Prediction before the measurement:  
**weak A-dependence**  
(Reggeon exc. and/or nuclear effects)

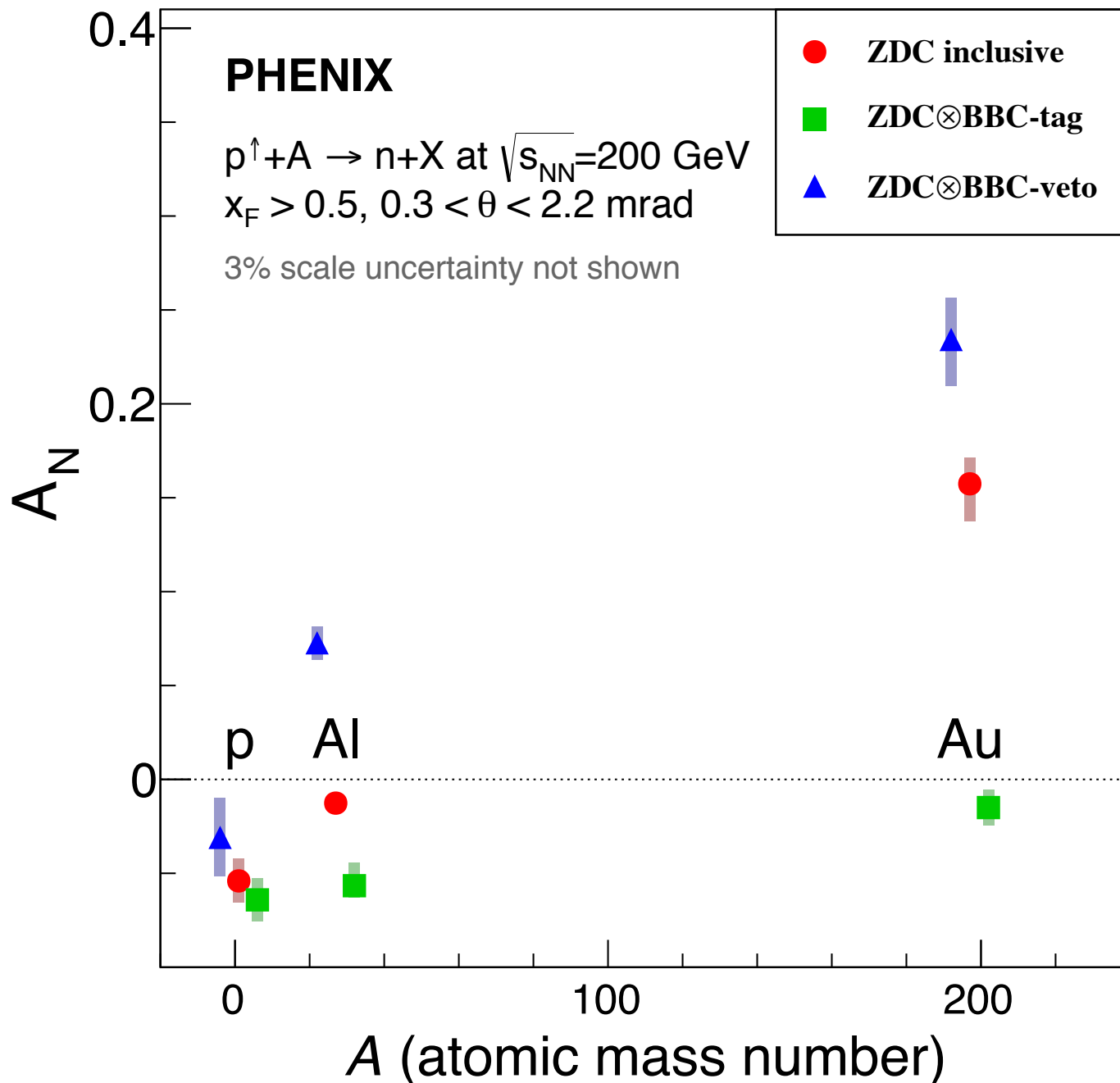


**Surprisingly strong A-dependence**

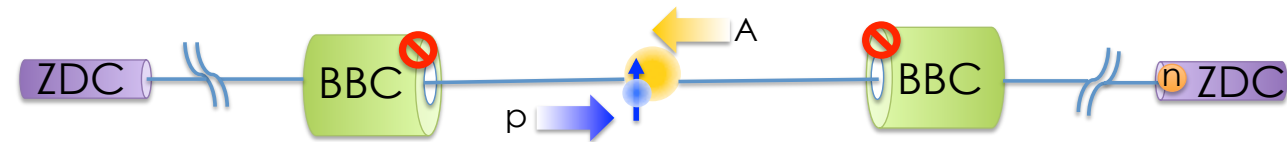
- what mechanisms do produce such strong A-dependence?
- *hint: how does  $A_N$  behave with the other triggers?*

# 1.3 *BBC correlated* $A_N$ for forward neutrons

PHENIX, arXiv:1703.10941

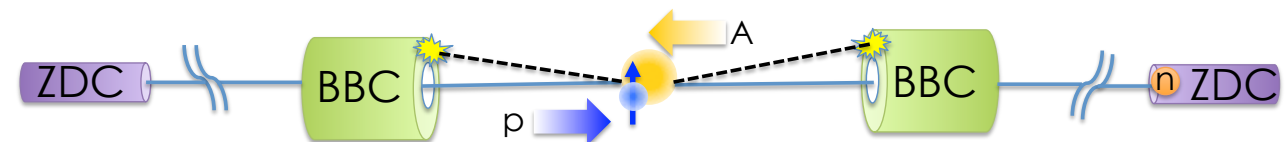


Particle veto at lower rapidities: *BBC-VETO*



→ much stronger  $A$ -dependence

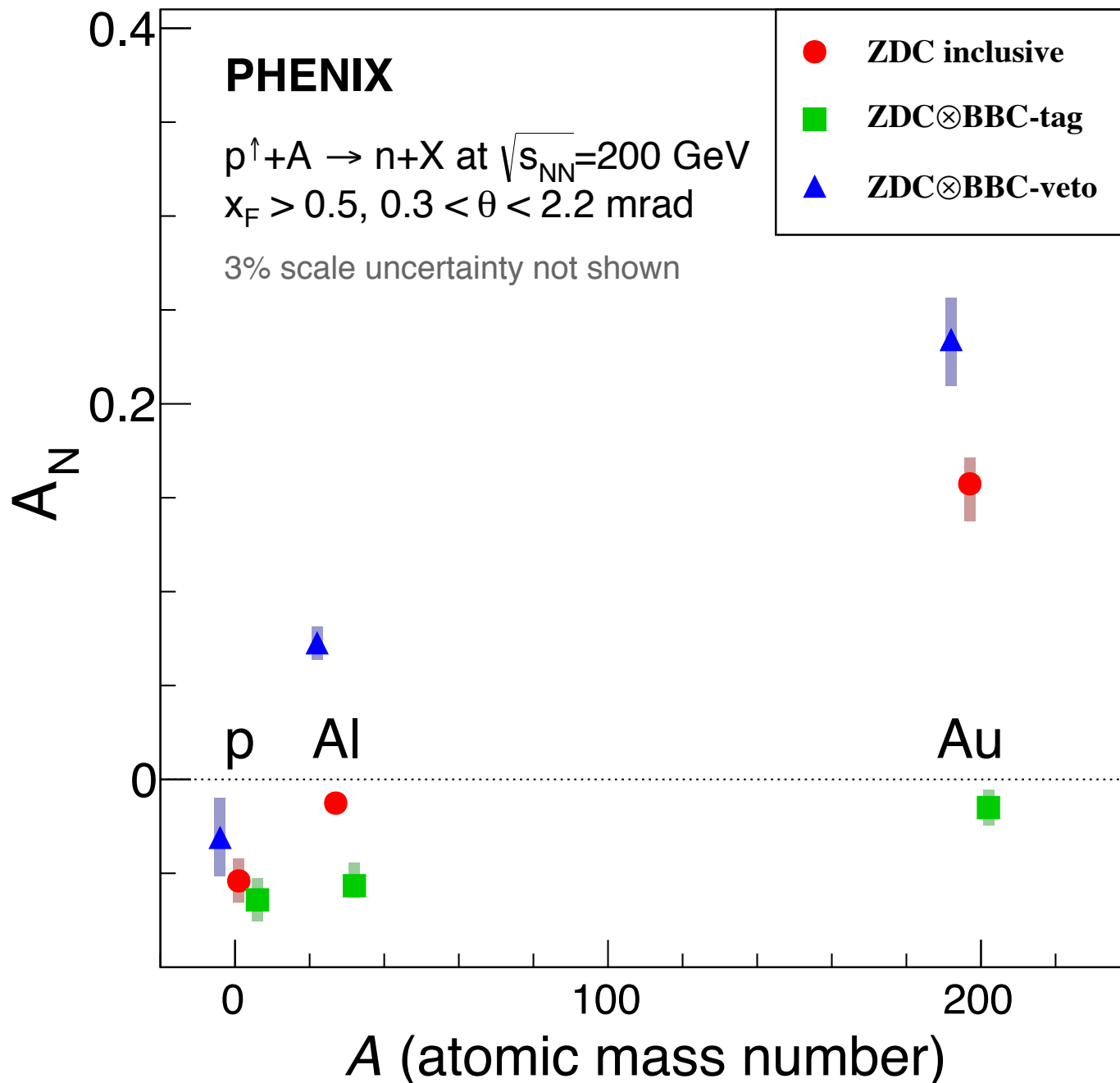
Particle hits at lower rapidities: *BBC-TAG*



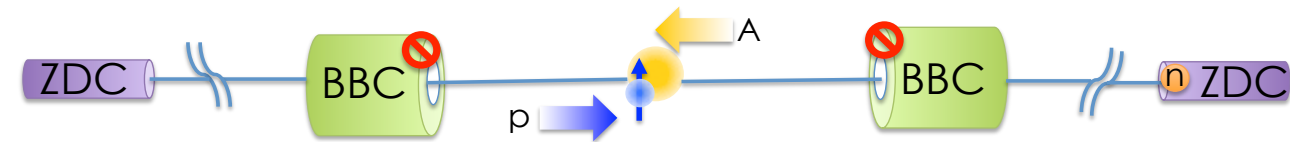
→ weak  $A$ -dependence

# 1.3 BBC correlated $A_N$ for forward neutrons

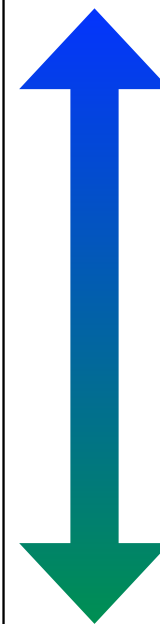
PHENIX, arXiv:1703.10941



Particle veto at lower rapidities: **BBC VETO**



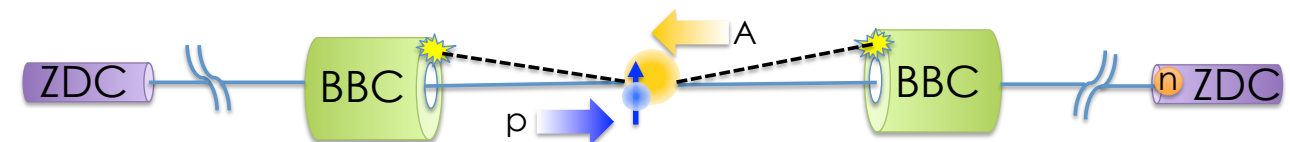
→ much stronger A-dependence



*Large  $A_N$  when **fewer** underlying particles*  
*Small  $A_N$  when **ample** underlying particles*

*Do not only hadronic interactions  
 but also electromagnetic  
 interactions play a crucial role in pA?*

Particle hits at lower rapidities: **BBC HIT**

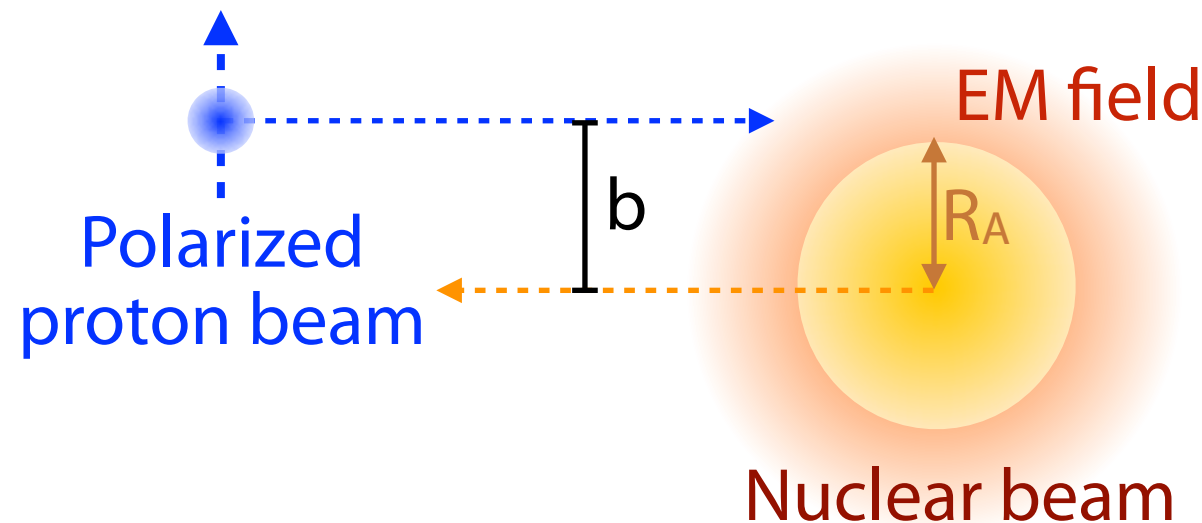


→ weak A-dependence

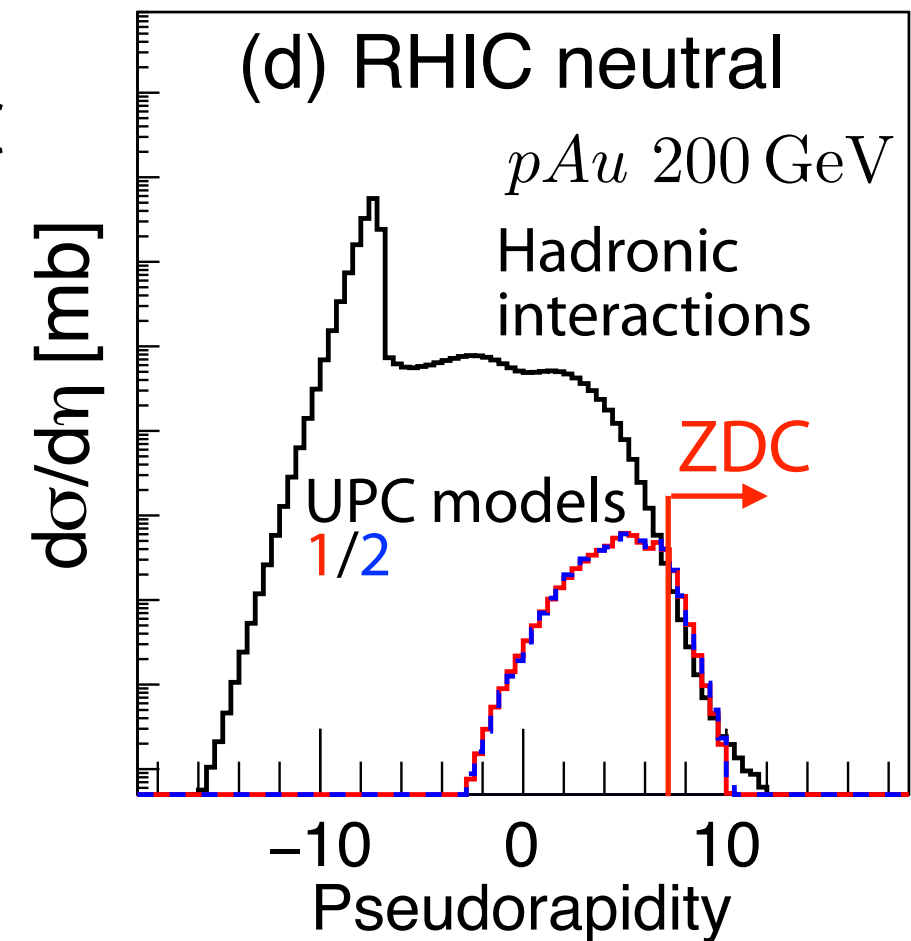
## 2. Ultra-peripheral collisions (UPCs)

*UPCs (aka Primakoff effects);*

- a collision of a proton with the EM field made by a relativistic nucleus when the impact parameter  $b$  is larger than  $R_A + R_p$
- fewer underlying particles unlike in hadronic interactions  $\rightarrow$  smaller activity at BBC



GM, EPJ. C **75**, 614 (2015)



UPC cross section

$\gamma^*$  flux  $\propto Z^2$  Does  $\gamma^* p \rightarrow \pi^+ n$  lead to large  $A_N$ ?

$$\frac{d\sigma_{\text{UPC}}^4(p^\uparrow A \rightarrow \pi^+ n)}{dW db^2 d\Omega_n} = \frac{d^3 N_{\gamma^*}}{dW db^2} \frac{d\sigma_{\gamma^* p^\uparrow \rightarrow \pi^+ n}(W)}{d\Omega_n} \overline{P_{\text{had}}(b)}$$

## 2.1 Do $\gamma^*p$ interactions have large $A_N$ ?

Polarized  $\gamma^*p$  cross sections

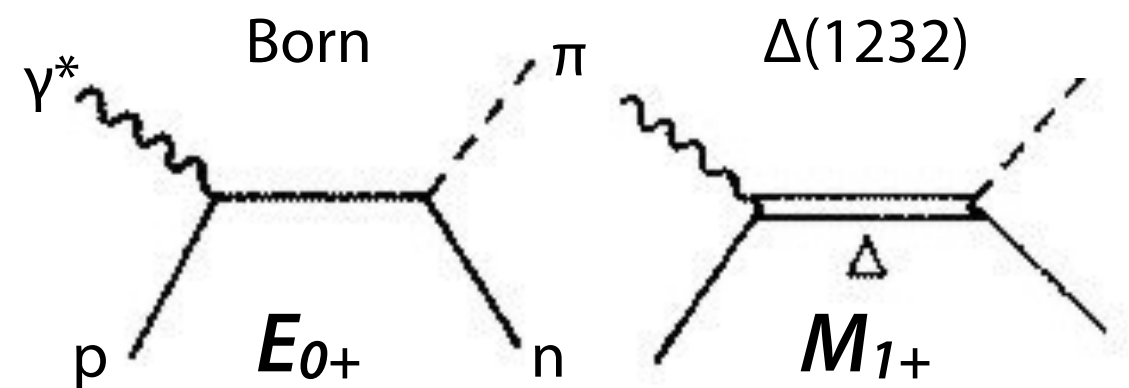
(Drechsel and Tiator,  
J. phys. G 18, 449 (1992))

$$\frac{d\sigma_{\gamma^* p^\uparrow \rightarrow \pi^+ n}}{d\Omega_\pi} = \frac{|q|}{\omega_{\gamma^*}} (R_T^{00} + P_y R_T^{0y}) \quad \text{Equivalent to } A_N$$

$$= \frac{|q|}{\omega_{\gamma^*}} R_T^{00} (1 + P_2 \cos \phi_\pi T(\theta_\pi))$$

$T(\theta_\pi)$  is decomposed into multipoles:

$$T(\theta_\pi) \equiv \frac{R_T^{0y}}{R_T^{00}} \propto \text{Im} \{ E_{0+}^* (E_{1+} - M_{1+}) - 4 \cos \theta_\pi (E_{1+}^* M_{1+}) \dots \}$$



Interference between  $E_{0+}$  and  $M_{1+}$  leads to large  $T(\theta_\pi)$  in the  $\Delta(1232)$  region

MC simulations of the polarized  $\gamma^*p$  interactions are developed for testing  $T(\theta_\pi)$ , i.e.  $A_N$  in pA collisions.

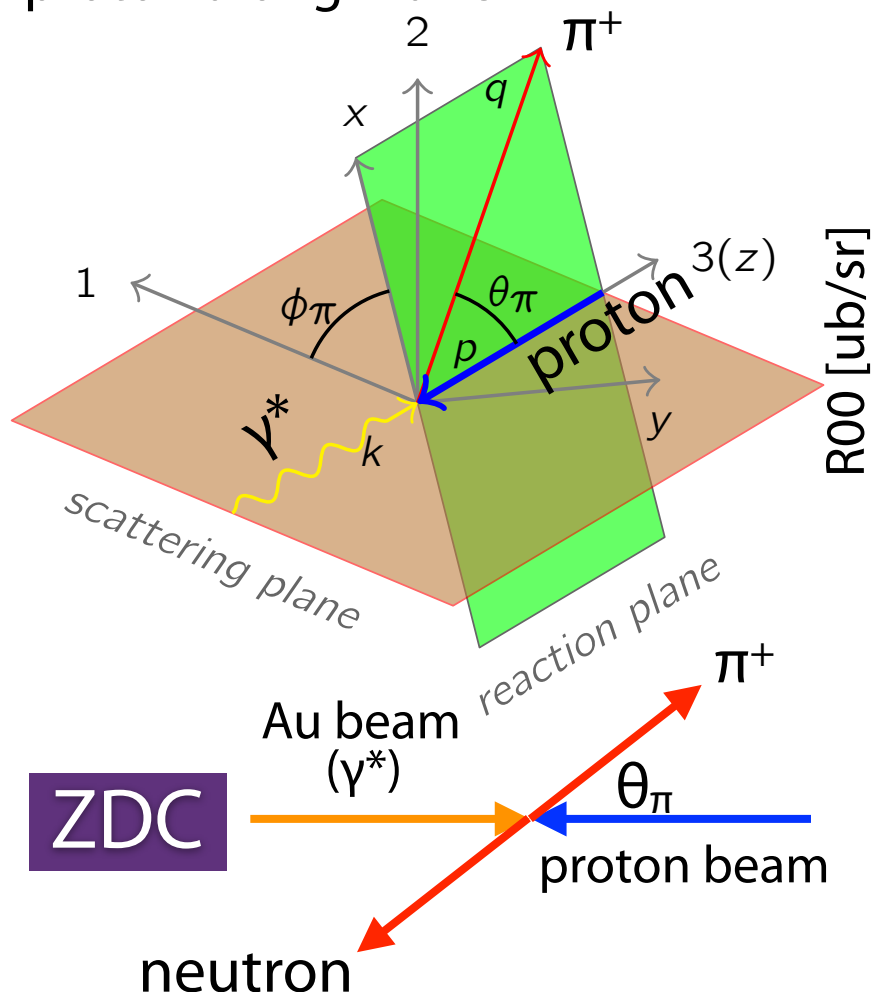


## 2.2 MC simulations for $\gamma^*p$ interactions

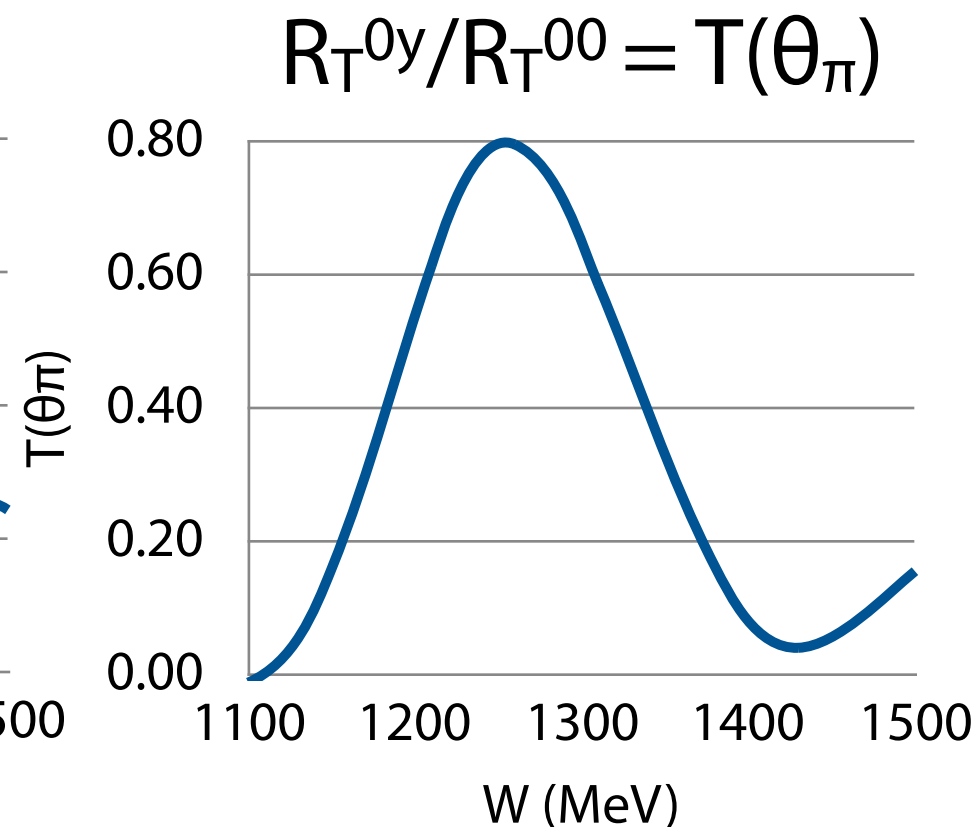
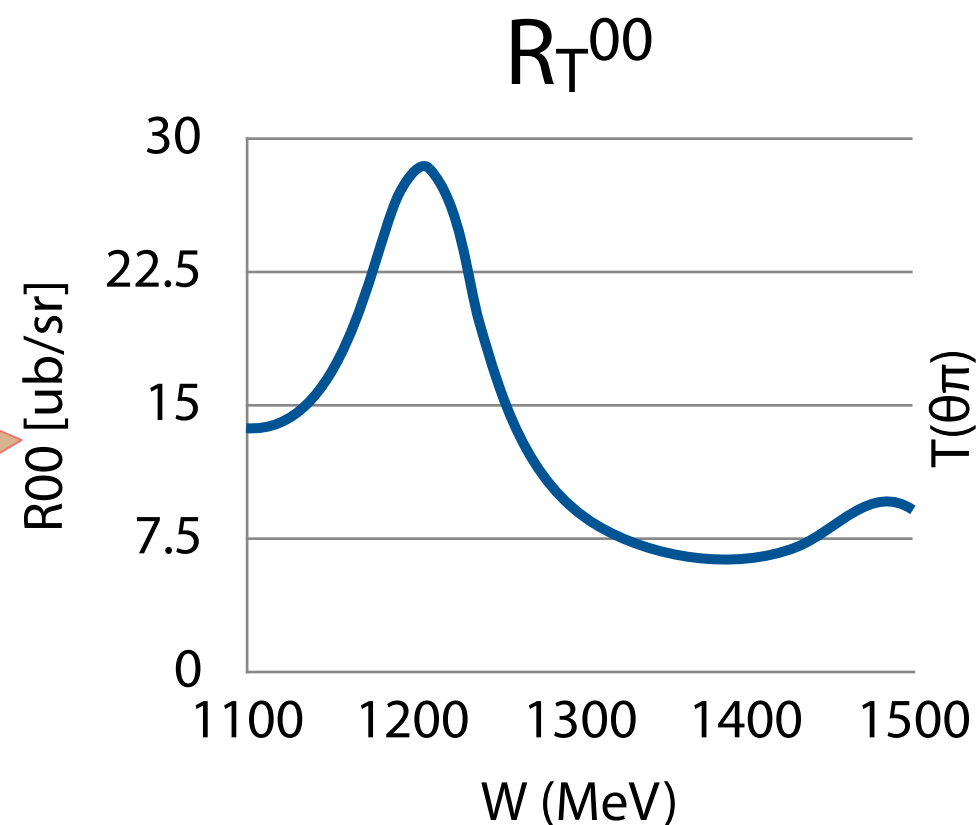
- MC simulations based on the MAID2007 model (Drechsel et al. EPJ A 34, **69** (2007)) are performed for  $R_T^{00}$  and  $T(\theta_\pi)$ .
- $T(\theta_\pi) \sim 0.8$  at  $\Delta(1232)$ ,  $\sim -0.5$  at  $N(1680) \rightarrow$  large  $A_N$ !!

### $\gamma^*p$ center-of-mass system

transversely polarized  
proton along 2-axis



Numerical data from MAID 2007 ( $Q^2 = 0$ ,  $\theta_\pi = 90$  degree)

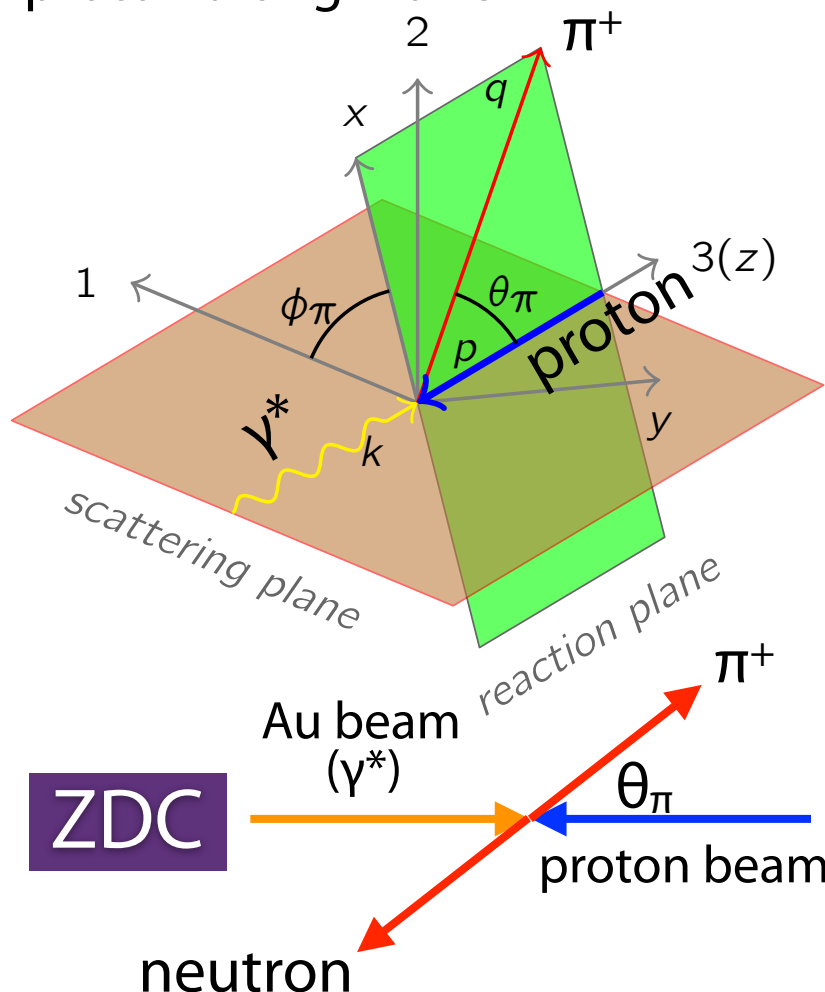


## 2.2 MC simulations for $\gamma^*p$ interactions

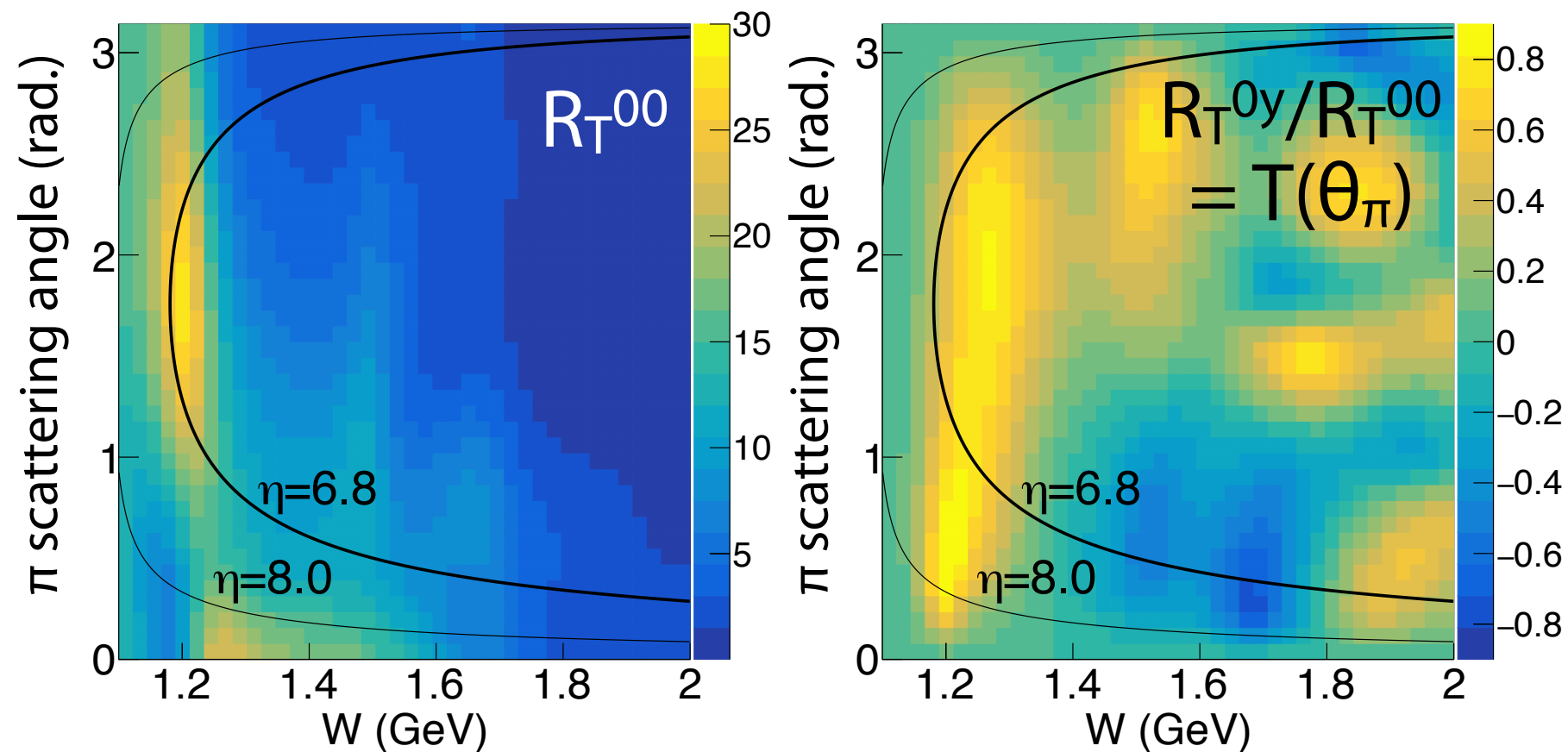
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### $\gamma^*p$ center-of-mass system

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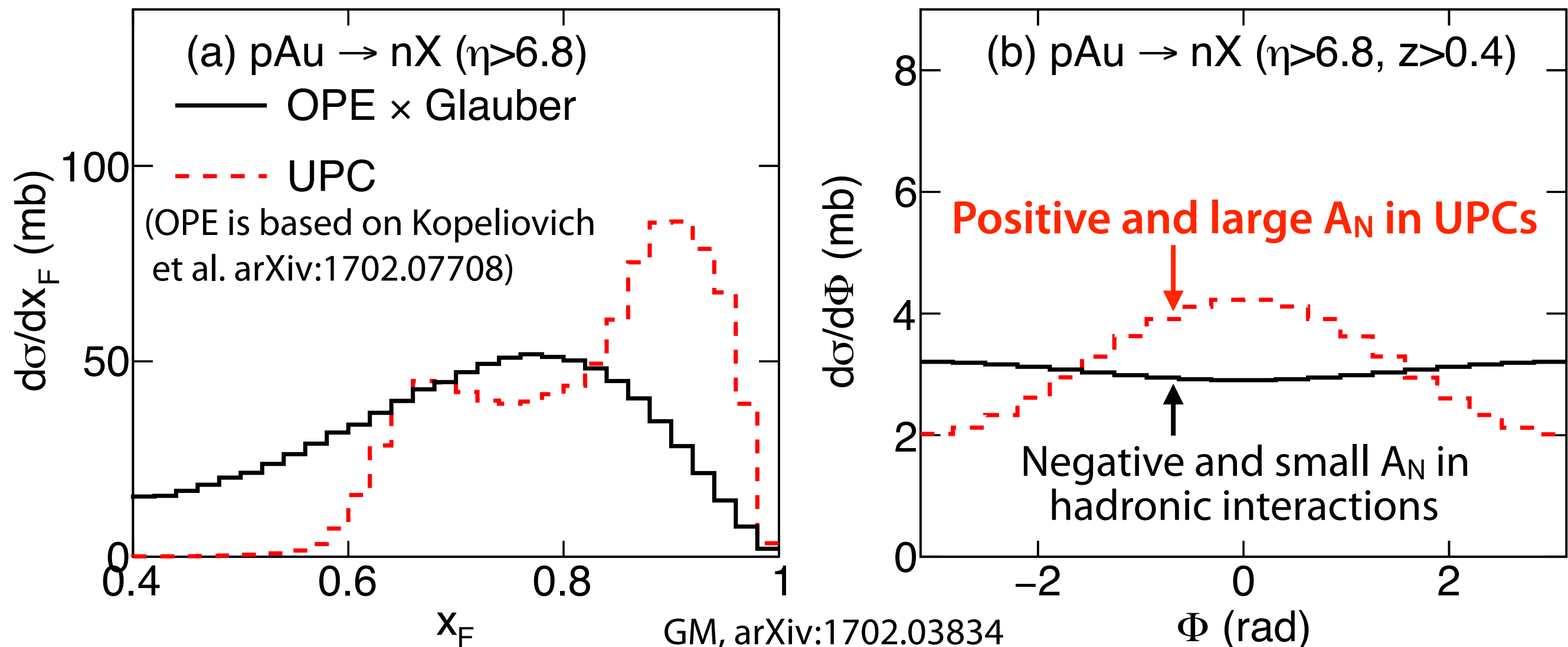


- Solid curves indicate the ZDC acceptance.
- $T(\theta_\pi)$  with the weight of  $\gamma^*$  flux =  $A_N$

# 3.1 UPCs vs. hadronic interactions

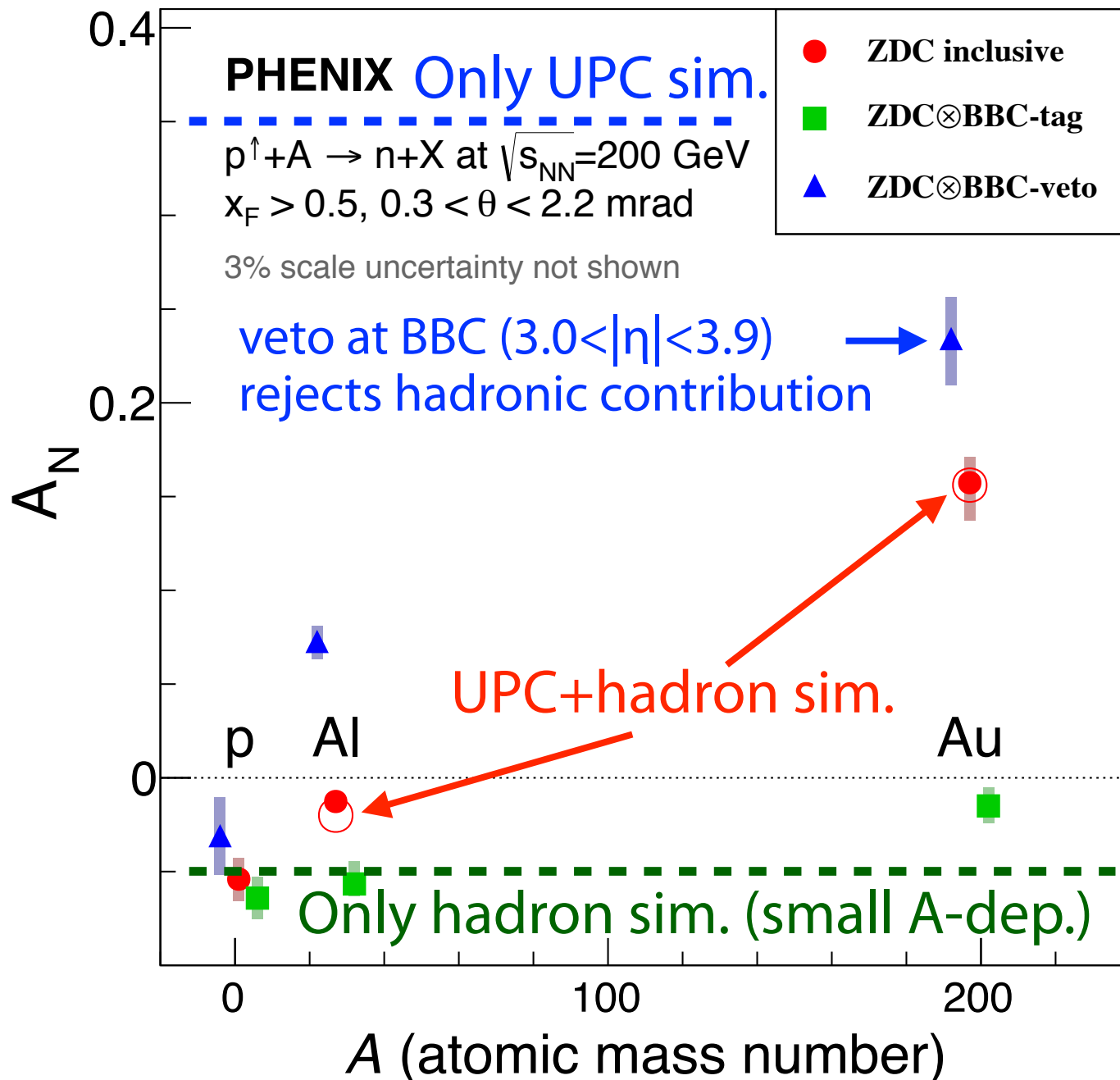
- Neutron cross section in pAu UPCs ( $\propto Z^2$ ) is comparable with hadronic interactions, while  $\sigma_{\text{UPC}} \sim \sigma_{\text{HAD}} \times 0.1$  in pAl.
- UPC-induced  $A_N$  is positive and large in both pAl and pAu.

Expected  $X_F$  and  $\Phi$  distributions for forward neutrons in pAu



## 3.2 MC sim. vs. the PHENIX measurements

- PHENIX measurements are well explained by the sum of UPCs and hadronic interactions.
- BBC-veto can be reasonably understood by the enhanced UPC fraction.



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The subtraction of UPCs (sys.~10%) from the PHENIX measurements enables discussions on

- nuclear effects
- Coulomb-Nuclear Interference

## 4. Summary and Future prospects

- Large  $A_N$  for forward neutrons in polarized pAu collisions and its A-dependence are discovered by PHENIX.
- To compared with the PHENIX data, we developed the MC simulations involving UPCs and hadronic interactions in polarized pA collisions.
- UPCs has large  $A_N$  and the cross section is proportional to  $Z^2$ .
- Simulation results well explain the PHENIX inclusive measurements.  
→ Large  $A_N$  in pAu collisions originates in UPCs.
- Future prospects:  $p_T$ - and  $X_F$ -dependent  $A_N$  is under analysis
  - detailed understanding in UPCs → reduction of UPC sys. errors.
  - UPC subtracted  $A_N$  in pA enables (almost) model-independent discussion on hadronic contribution to  $A_N$ .



# Backup

# UPC formalism

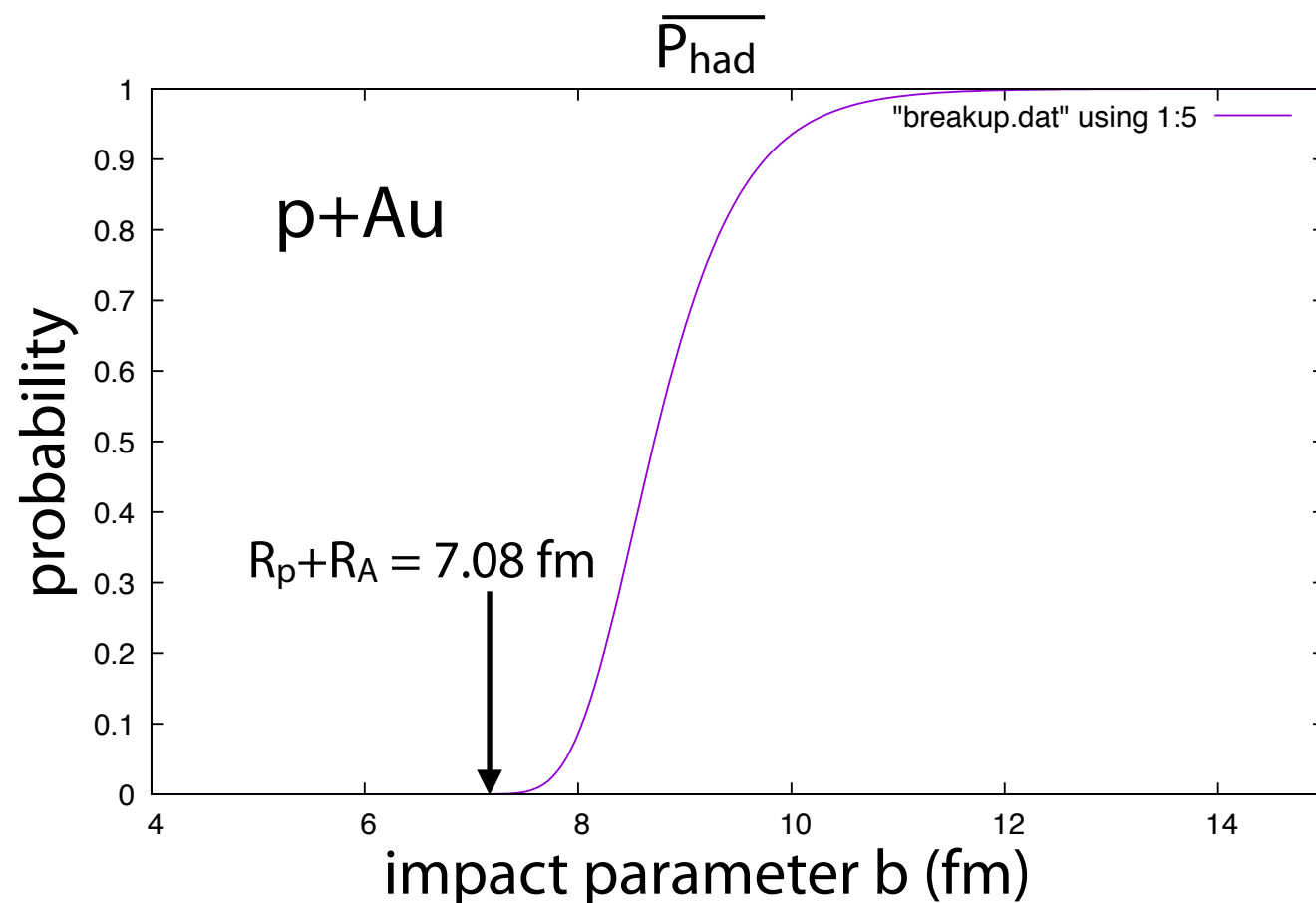
The UPC cross section is factorized as

$$\frac{d\sigma_{\text{UPC}}^4(p^\uparrow A \rightarrow \pi^+ n)}{dW db^2 d\Omega_n} = \frac{d^3 N_{\gamma^*}}{dW db^2} \frac{d\sigma_{\gamma^* p^\uparrow \rightarrow \pi^+ n}(W)}{d\Omega_n} \overline{P}_{\text{had}}(b)$$

photon flux (N): quasi-real photons produced by a relativistic nucleus

$\sigma_{\gamma+p \rightarrow \chi}$ : inclusive cross sections of  $\gamma+p$  interactions

$\overline{P}_{\text{had}}$ : a probability not having a  $p+A$  hadronic interaction.



- $\overline{P}_{\text{had}}$  is calculated by using a Glauber MC simulation.
- UPCs occur only if the impact parameter  $b$  is larger than the sum of radii  $R_p$  and  $R_A$ .
- $\overline{P}_{\text{had}}(b)$  distribution is important not only for the cross section but also for the energy distribution.

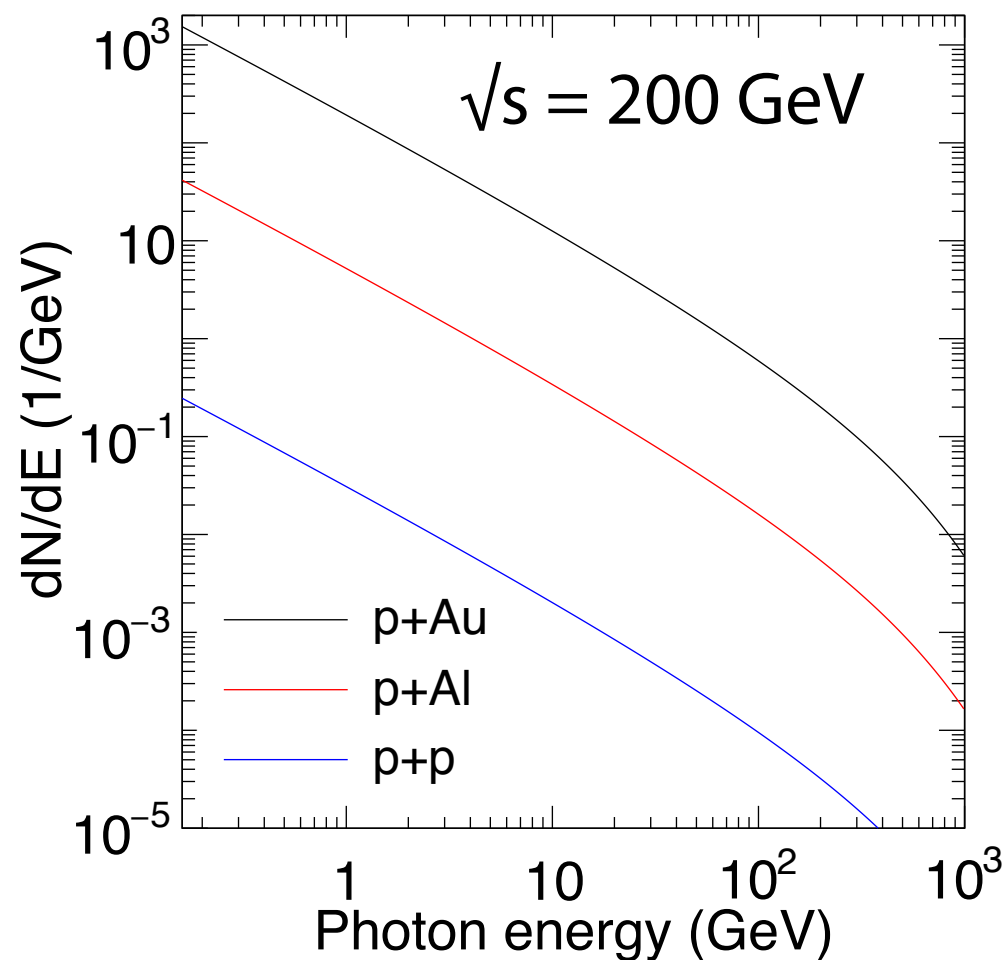
# Virtual photon flux

The number of virtual photons per energy and  $b$  is formulated by the Weizsacker-Williams approximation or QED (Phys. Rep 364 359 '02, NPA 442 739 '85, etc...):

$$\frac{d^3 N_{\gamma^*}}{d\omega_{\gamma^*}^{rest} db^2} = \frac{Z^2 \alpha}{\pi^2} \frac{x^2}{\omega_{\gamma^*}^{rest} b^2} \left( K_1^2(x) + \frac{1}{\gamma^2} K_0^2(x) \right) \quad \text{Proportional to } Z^2$$

where  $x = \omega_{\gamma^*}^{rest} b / \gamma$  and  $\omega_{\gamma^*}^{rest}$  is the virtual photon energy in the proton rest frame.

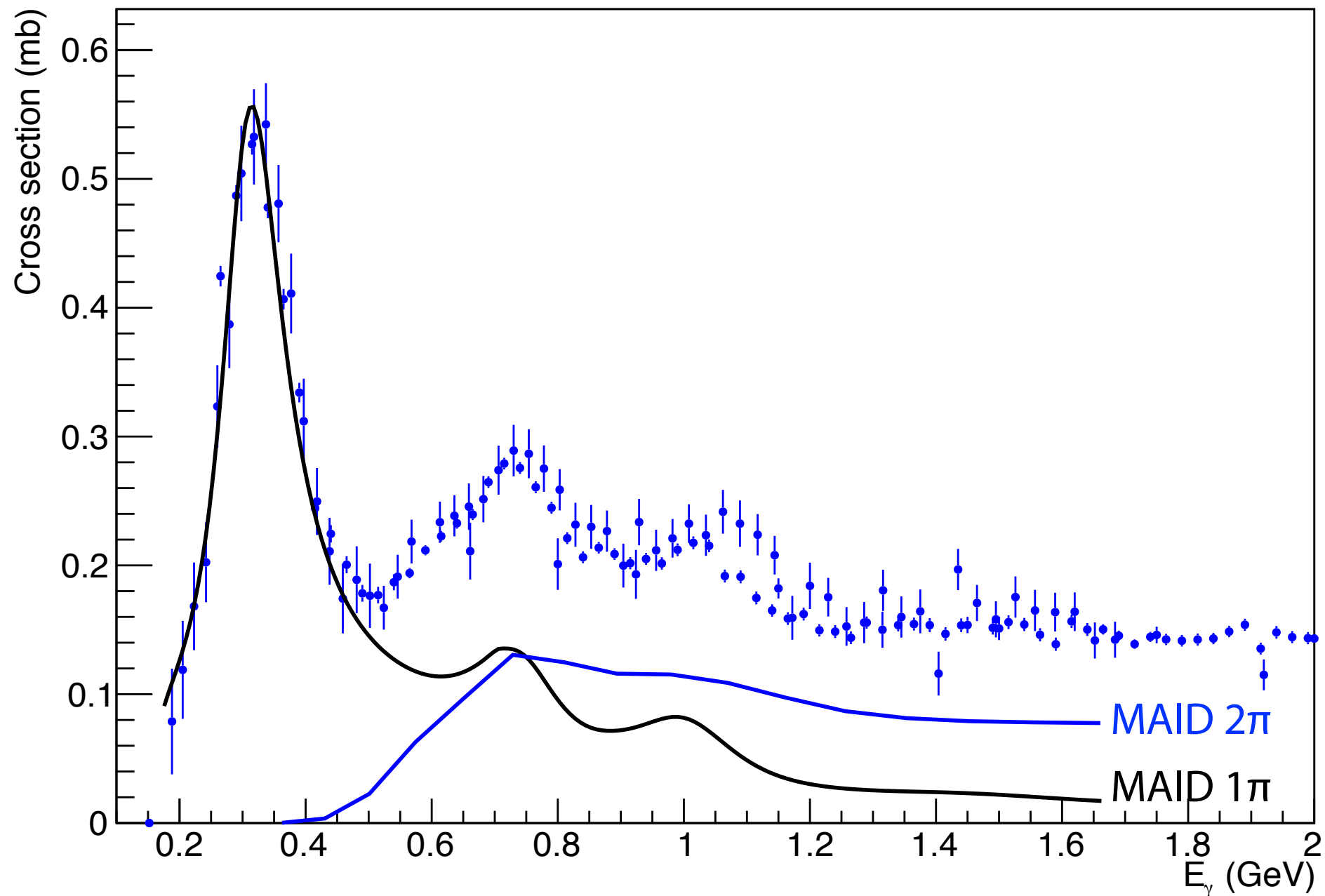
Note that the virtual photon flux depends on the charge of photon source as  $Z^2$ .



- From the virtual photon flux, we see that low-energy photons dominate UPCs.

Photon virtuality is limited by  $Q^2 < \frac{1}{R^2}$ . So,  $Q^2 < 10^{-3} \text{ GeV}^2$

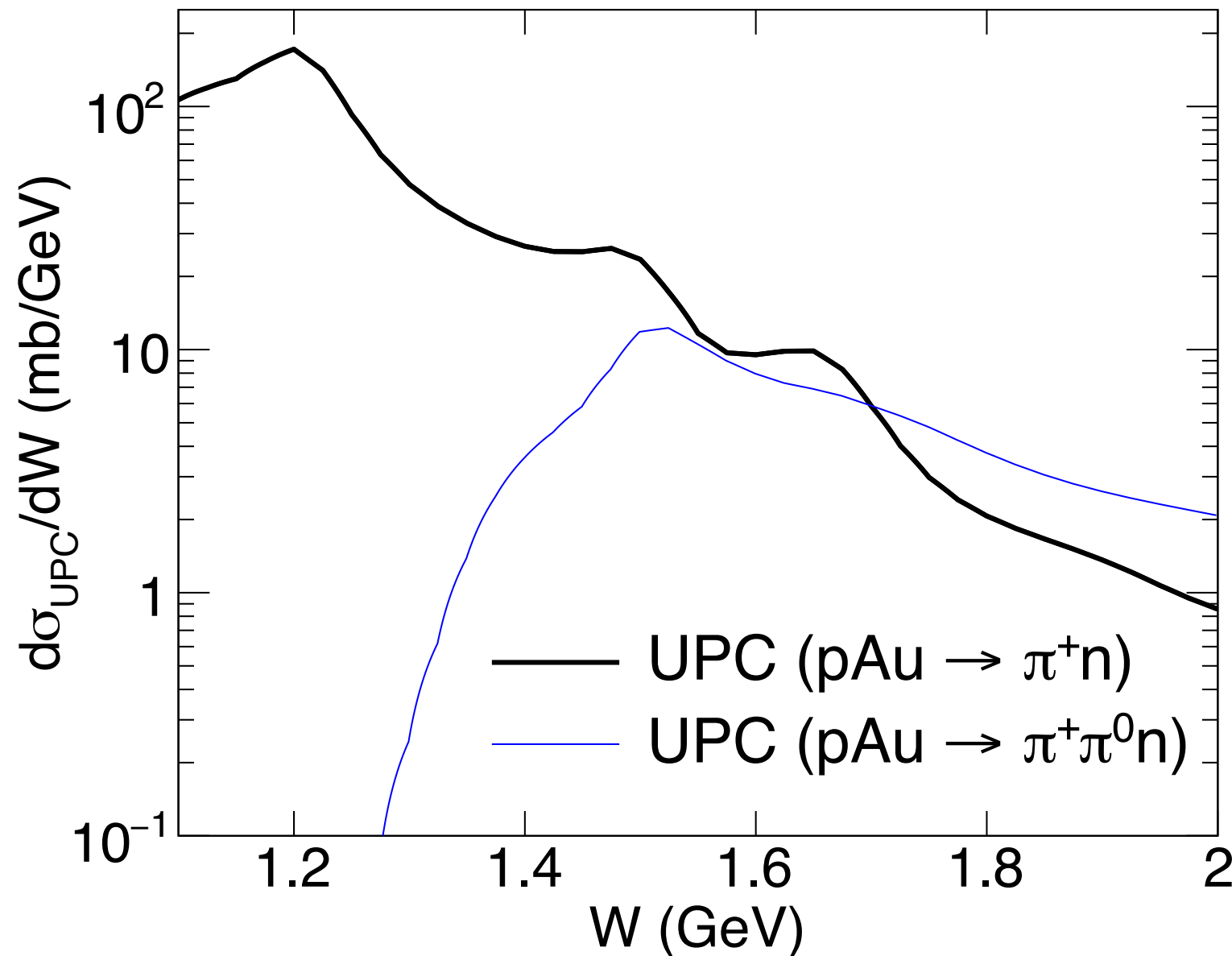
# Inclusive cross sections of $\gamma+p$ interactions



Only 1 $\pi$  channel is simulated in this study.

It is hard to simulate neutron momenta in 2 $\pi$  channels (future study?).

# UPC cross sections as a function of W



$$\frac{d\sigma_{\text{UPC}}^4(p^\uparrow A \rightarrow \pi^+ n)}{dW db^2 d\Omega_n} = \frac{d^3 N_{\gamma^*}}{dW db^2} \frac{d\sigma_{\gamma^* p^\uparrow \rightarrow \pi^+ n}(W)}{d\Omega_n} \overline{P_{\text{had}}(b)}$$

- *2π channels are anyway subdominant in UPCs.*
- *Table I and II show the total cross sections in UPCs and hadronic interactions.*

TABLE I. Cross sections for neutron production in ultra-peripheral collisions and hadronic interactions at  $\sqrt{s_{\text{NN}}} = 200$  GeV. Cross sections in parentheses are calculated without  $\eta$  and  $z$  limits.

UPCs		Hadronic interactions	
$p^\uparrow \text{Al}$	$p^\uparrow \text{Au}$	$p^\uparrow \text{Al}$	$p^\uparrow \text{Au}$
0.7 mb (2.2 mb)	19.6 mb (41.7 mb)	8.3 mb	19.2 mb

TABLE II. Cross sections in ultraperipheral  $p\text{Au}$  collisions at  $\sqrt{s_{\text{NN}}} = 200$  GeV.

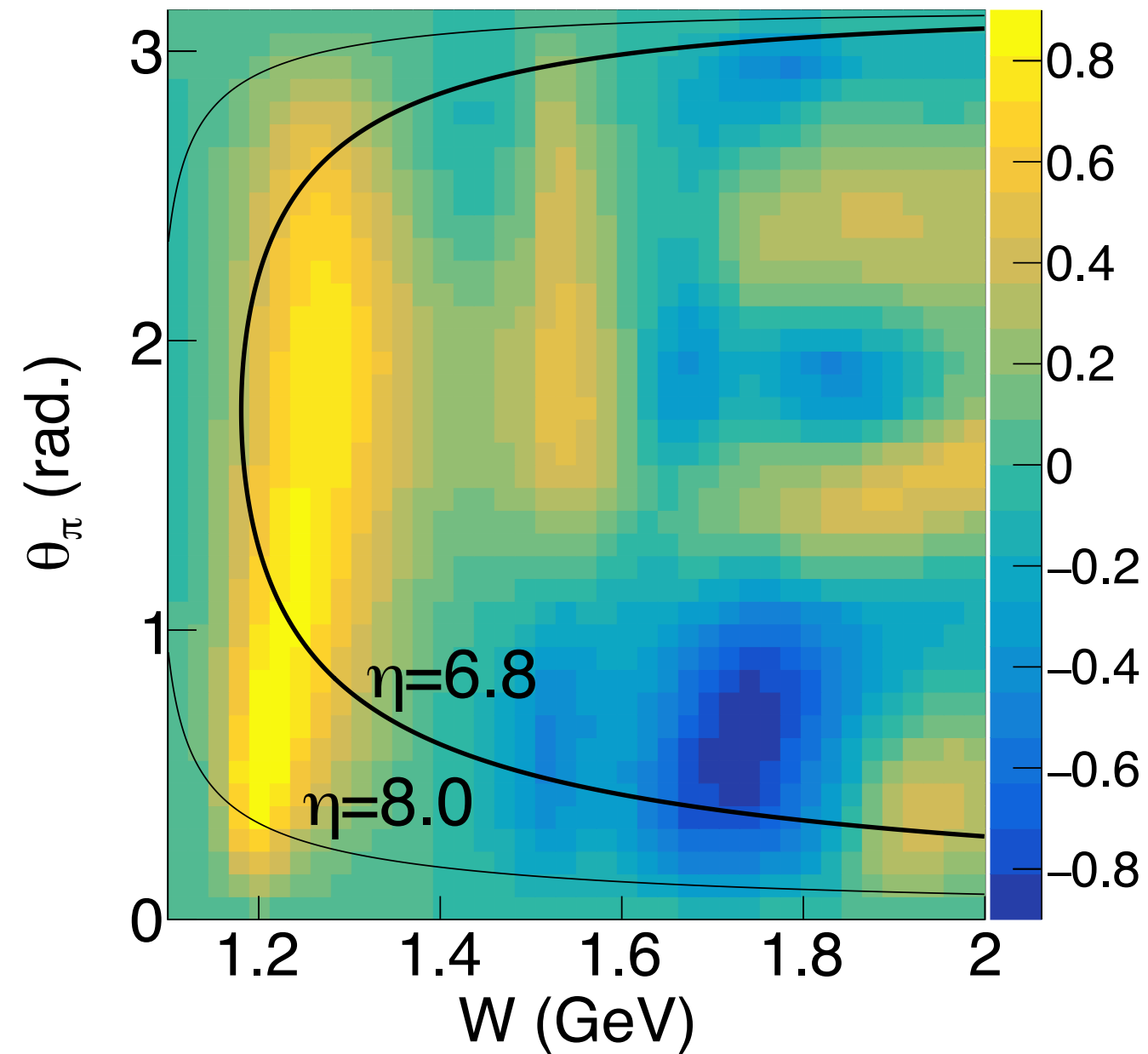
$p\text{Au} \rightarrow nX$ ( $\eta > 6.9$ and $z > 0.4$ )			$p^\uparrow \text{Au} \rightarrow \pi^+ \pi^0 n$
$< 1.1$ GeV	1.1–2.0 GeV	$> 2.0$ GeV	1.25–2.0 GeV
0.6 mb	27.4 mb	1.8 mb	6.2 mb



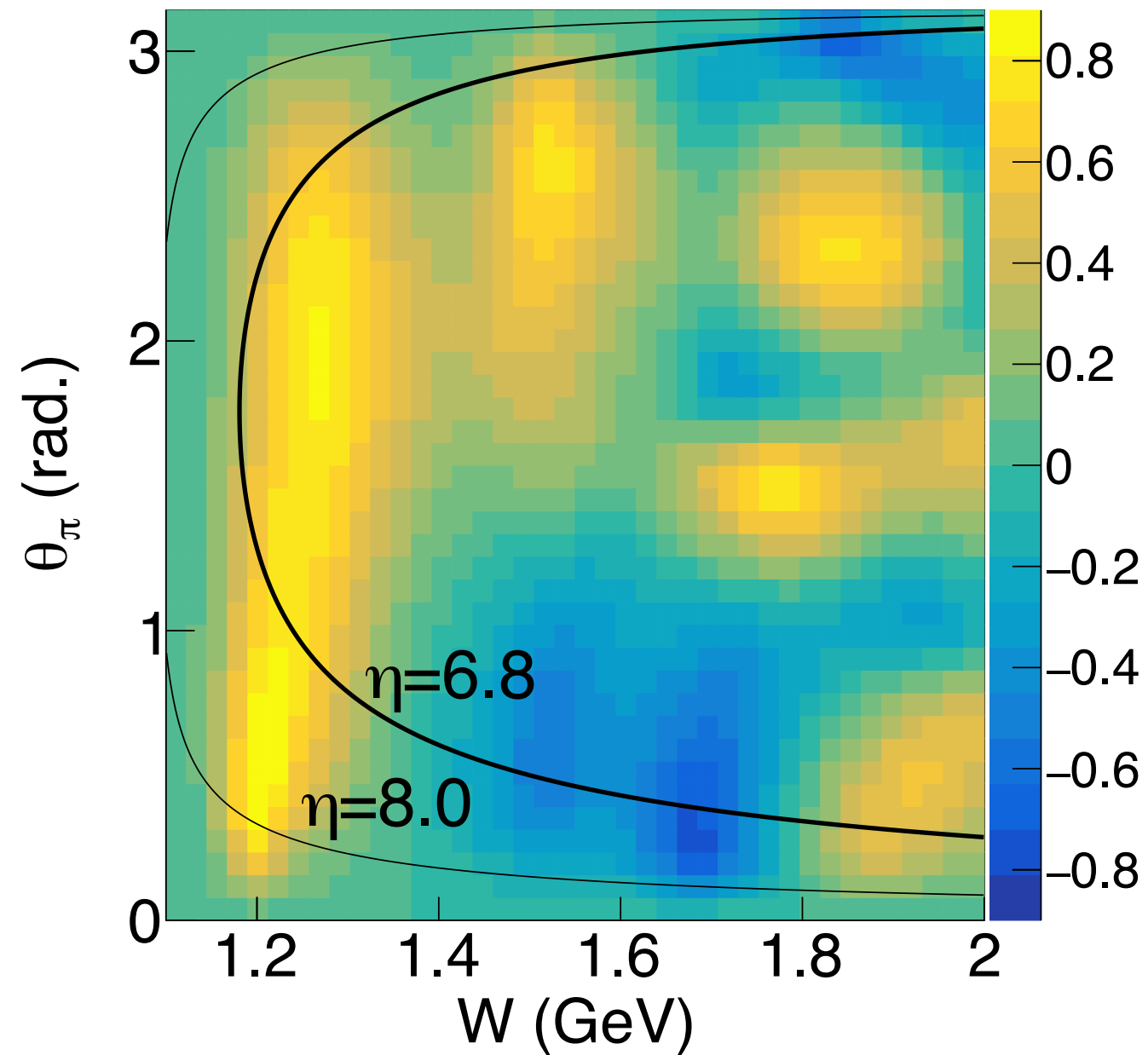
# Target asymmetry as a function of W

z axis:  $T(\theta)$

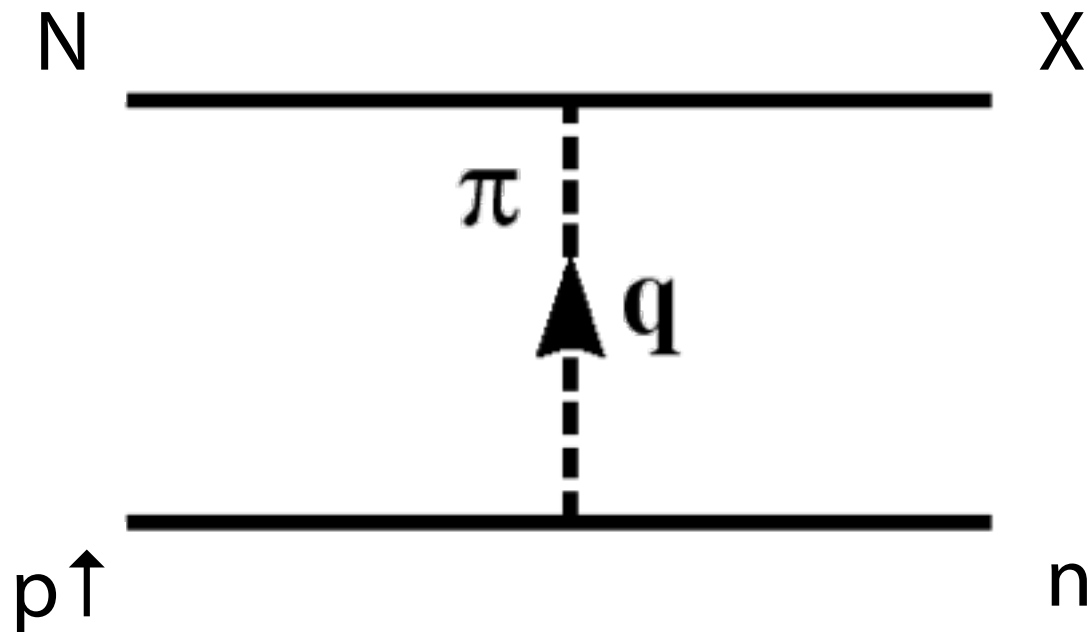
Osaka-Argonne



MAID 2007

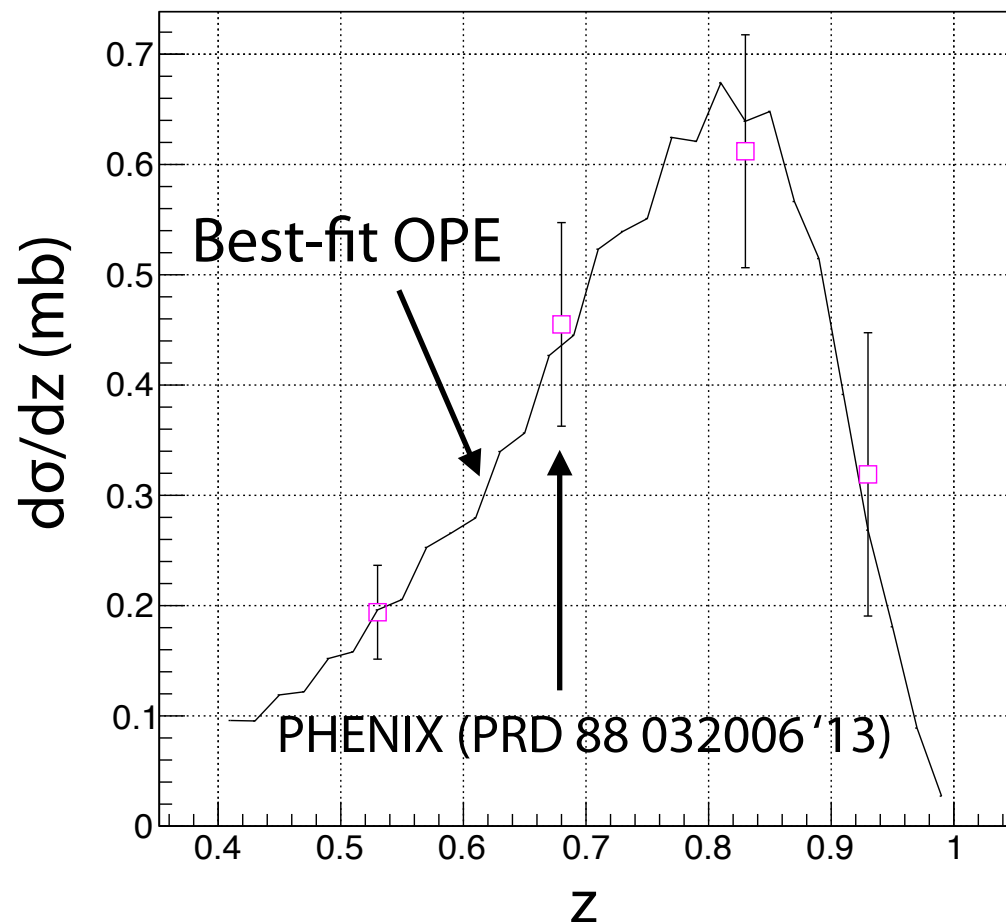


# Hadronic interactions (one- $\pi$ exchange)



$$z \frac{d\sigma_{pp \rightarrow nX}}{dz dp_T^2} = S^2 \left( \frac{\alpha'_\pi}{8} \right)^2 |t| G_{\pi+pn}^2(t) |\eta_\pi(t)|^2 \times (1-z)^{1-2\alpha_\pi(t)} \sigma_{\pi^+ + p}^{\text{tot}}(M_X^2),$$

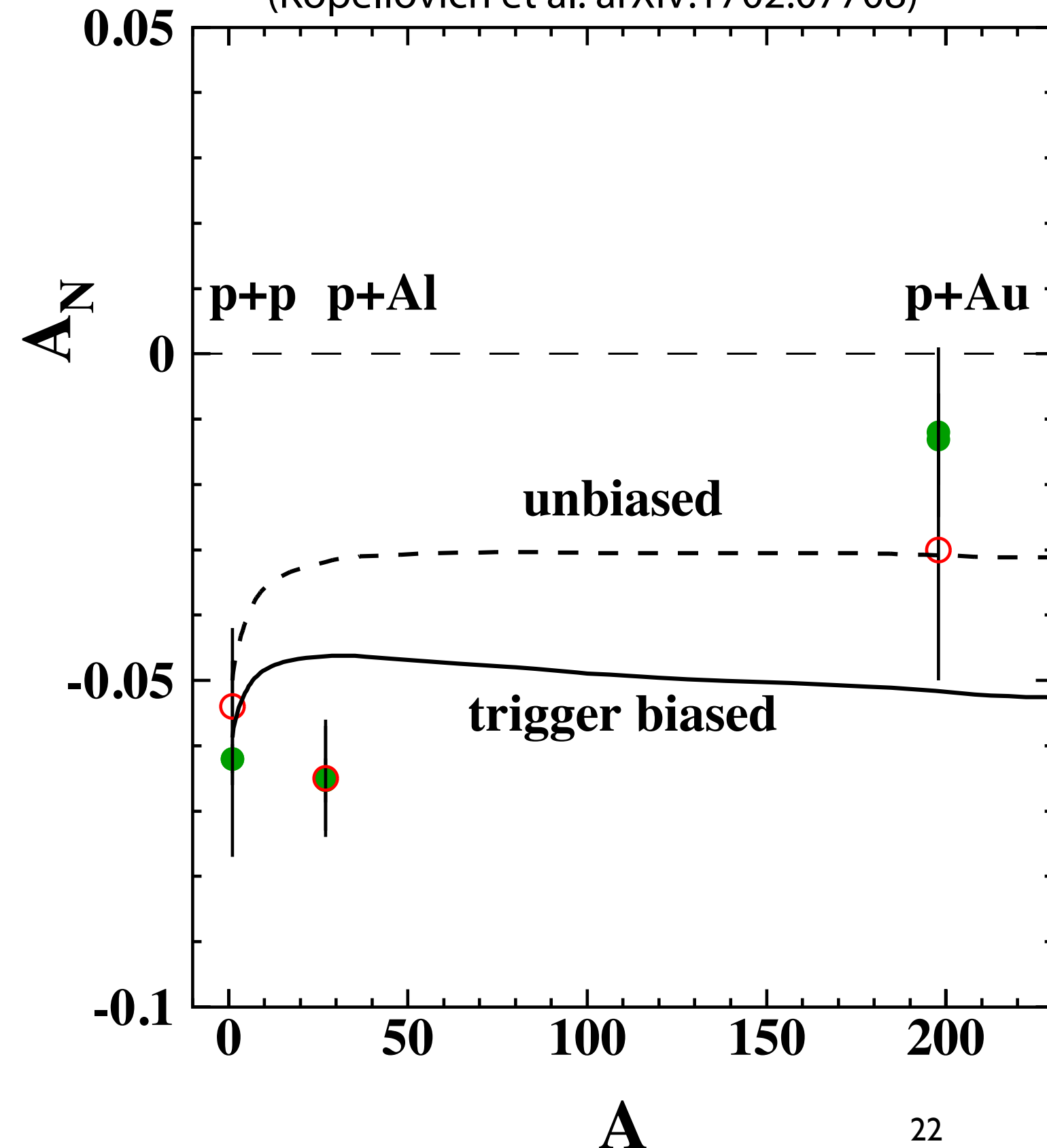
$$z \frac{d\sigma_{p^\uparrow A \rightarrow nX}}{dz dp_T^2} = z \frac{d\sigma_{pA \rightarrow nX}}{dz dp_T^2} (1 + \cos \Phi A_N^{\text{HAD}(pA)}) \\ = z \frac{d\sigma_{pp \rightarrow nX}}{dz dp_T^2} A^{0.42} (1 + \cos \Phi A_N^{\text{HAD}(pA)})$$



- *Kopeliovich et al. propose an interference between  $\pi$  and  $a_1$ -Reggeon leading to negative asymmetry in  $p$ - $p$  and  $p$ - $A$ .*
- *In this study, due to a technical difficulty, I omit an implementation of the interference. Alternatively, I apply  $(1 + \cos \Phi A)$  to the differential cross section of unpolarized proton and then effectively obtain the differential cross section of polarized proton.*
- *The coupling  $G_{\pi+pn}$  is chosen so that the calculated  $d\sigma/dz$  gives the best-fit to the PHENIX result.*

# Hadronic interactions (one- $\pi$ exchange)

(Kopeliovich et al. arXiv:1702.07708)



$$A_N^{(\pi-\tilde{a}_1)}(q_T, z) = q_T \frac{4m_N q_L}{|t|^{3/2}} (1-z)^{\alpha_\pi(t)-\alpha_{\tilde{a}_1}(t)} \quad (12)$$

$$\times \frac{\text{Im } \eta_\pi^*(t) \eta_{\tilde{a}_1}(t)}{|\eta_\pi(t)|^2} \left( \frac{d\sigma_{\pi p \rightarrow \tilde{a}_1 p}(M_X^2)/dt|_{t=0}}{d\sigma_{\pi p \rightarrow \pi p}(M_X^2)/dt|_{t=0}} \right)^{1/2} \frac{g_{\tilde{a}_1^+ pn}}{g_{\pi^+ pn}}.$$

$$A_N^{pA \rightarrow nX} = A_N^{pp \rightarrow nX} \times \frac{R_1}{R_2} R_3$$

Nuclear effects:  
no significant effect to  $A_N$