

## Exclusive measurements with CMS

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on behalf of the CMS collaboration

*QCD at LHC: forward physics and UPC collisions of heavy ions, Trento, Italy*

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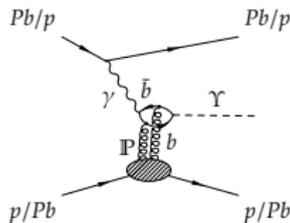
- Exclusive production of  $\Upsilon$  mesons in  $pPb$  collisions: [CMS-PAS-FSQ-13-009](#)
- Measurement of exclusive  $\pi^+\pi^-$  production in  $pp$  collisions: [CMS-PAS-FSQ-12-004](#)
- Searches for  $\gamma\gamma \rightarrow W^+W^-$  processes and limits on anomalous quartic gauge couplings: [JHEP08\(2016\) 119](#) and [arXiv:1604.04464](#) [hep-ex]

## Documentation

Complete list of CMS Forward, small- $x$ , and QCD results available on

- [cern.ch/cms-results/public-results/publications/FSQ](http://cern.ch/cms-results/public-results/publications/FSQ), and
- [twiki.cern.ch/twiki/bin/view/CMSPublic/PhysicsResultsFSQ](http://twiki.cern.ch/twiki/bin/view/CMSPublic/PhysicsResultsFSQ)

## Exclusive production of $\Upsilon$ mesons in $pPb$ collisions



First observation ever of this process in asymmetric  $pPb/Pbp$  collisions

## Main motivations:

- Photonuclear cross-section sensitive to the gluon density in the nucleon:

$$d\sigma/dt \propto (x_{Bj} G(x_{Bj}, Q^2))^2$$

- Steep rising of  $\sigma$  with  $W_{\gamma p}$
- reflection of steep rise in the gluon density with lowering of  $x_{Bj}$
- probing the **gluon density** in the **unexplored region** of  
low- $x_{Bj} = M_{\Upsilon}^2 / W_{\gamma p}^2 \simeq 10^{-2} - 10^{-4}$

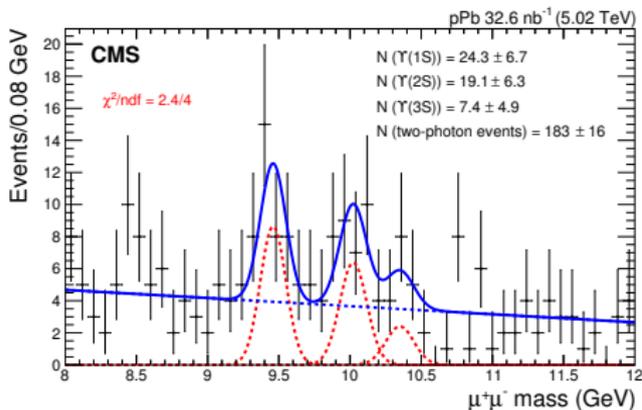
**Datasets:** 18.8 (13.8)  $\text{nb}^{-1}$  of  $pPb$  ( $Pbp$ ) collected at  $\sqrt{s_{NN}} = 5.02$  TeV

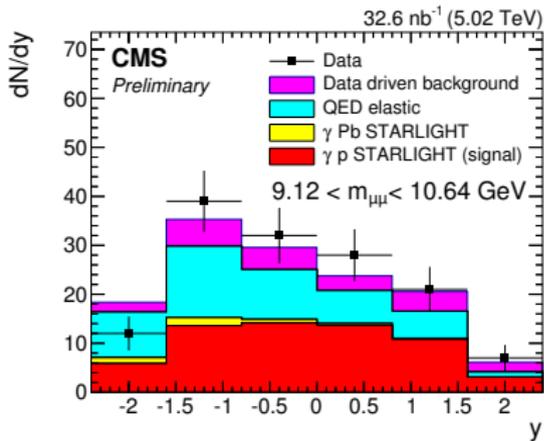
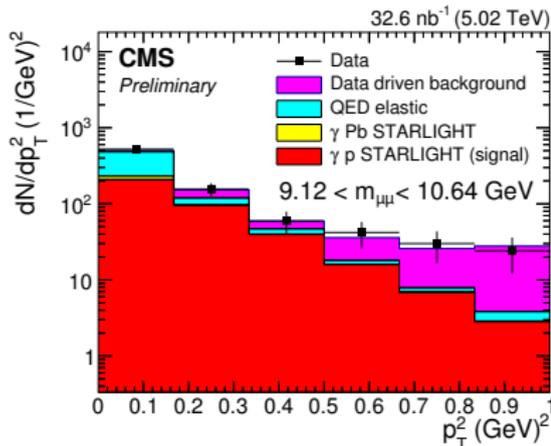
## Selection:

- two opposite-sign muons, with  $|\eta(\mu)| < 2.2$ ,  $p_T(\text{single } \mu) > 3.3$  GeV
- one single dimuon vertex, no extra tracks with  $p_T > 2$  GeV

- $0.1 < p_T(\mu\mu) < 1$  GeV  
(remove **exclusive and inclusive backgrounds**)
- $|\gamma(\mu\mu)| < 2.2$  (high  **$\mu$ -finding efficiency**)
- invariant mass fit templates:
  - linear  $\gamma\gamma \rightarrow \mu^+\mu^-$  continuum
  - Gaussian  $\Upsilon(nS)$  peaks

- fit parameters:  $\Upsilon(1-3s)$  and  $\gamma\gamma \rightarrow \mu^+\mu^-$  continuum yields,  $\Gamma(\Upsilon(1s))$





- Good data/MC agreement reached
- Higher  $\gamma p$  signal with respect to  $\gamma Pb$ 
  - photon flux  $\phi \propto Z^2$
  - quasireal photons emission strongly enhanced for  $Pb$

Differential cross section evaluated in:

- 5 bins of  $|t| \simeq p_T^2(\mu\mu)$ :  $0.01 < |t| < 1 \text{ GeV}^2$
- 4 bins of  $y(\mu\mu)$ :  $|y| < 2.2$

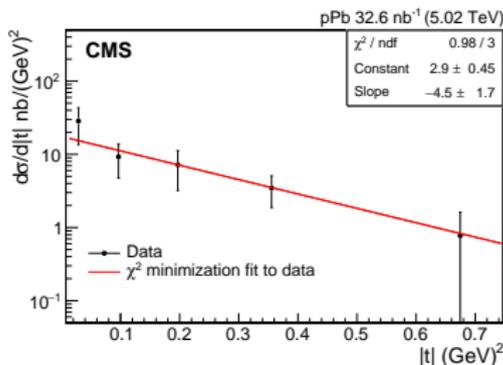
**Iterative Bayesian unfolding** of background-subtracted  $|t|$  and  $y$  distributions, correction for experimental acceptance

$$\frac{d\sigma_\Upsilon}{d|t|} = \frac{1}{L} \frac{N^{\Upsilon(nS)}}{\Delta|t|}$$

Templated fit:

$N e^{-b|t|}$  in  $0.01 < |t| < 1 \text{ GeV}^2$ :

$b = 4.5 \pm 1.7 \text{ (stat.)} \pm 0.6 \text{ (syst.) GeV}^{-2}$



Compatible with pQCD predictions and HERA measurements:

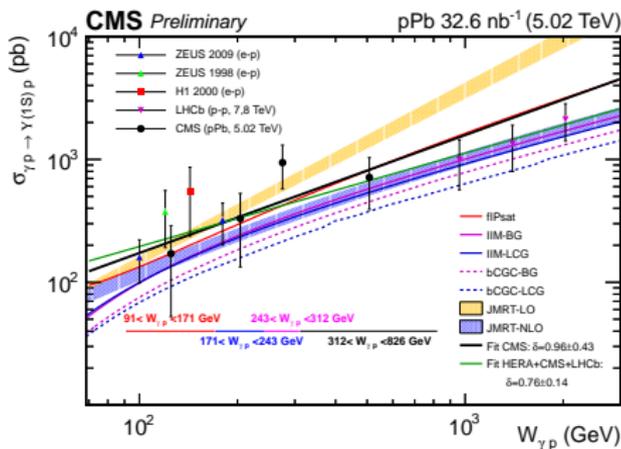
- H1:  $4.73 \pm 0.25 \text{ (stat.) GeV}^{-2}$  (arXiv:hep-ex/0003020)
- ZEUS:  $4.3_{-1.3}^{+2.0} \text{ (stat.) GeV}^{-2}$  (arXiv:1111.2133)

Power law dependance of the resonance production cross-section with  $\gamma p$  CoM energy:

$$\sigma_{\gamma p} = \frac{1}{\phi} \frac{d\sigma_{\Upsilon(1S)}}{dy} \propto (W_{\gamma p})^\delta$$

Photon flux  $\phi = \phi(y)$  from STARLIGHT (no hadronic interaction)

Experiment	$\delta$
HERA-H1	$0.83 \pm 0.07$
HERA-ZEUS	$1.2 \pm 0.8$
LHC-CMS	$0.96 \pm 0.43$
<b>Overall fit</b>	<b><math>0.76 \pm 0.14</math></b>



- CMS data **consistent** with dependence of  $\sigma(W_{\gamma p})$  seen in **former attempts**
- **disfavors LO pQCD** predictions.

## Measurement of exclusive $\pi^+\pi^-$ production in $pp$ collisions

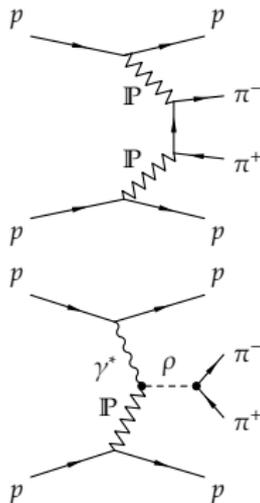
Multiple contributions to exclusive  $\pi^+\pi^-$  production, e.g.

CEP, or  $\gamma p \rightarrow \rho(770)p \rightarrow \pi^+\pi^-p$

Good spectroscopy tool to probe low-mass scalar resonances

## Samples and selection:

- Low-pileup, zero bias data collected at  $\sqrt{s} = 7$  TeV:  
450  $\text{pb}^{-1}$
- $p_T(\pi^\pm) > 200$  MeV,  $|y(\pi^\pm)| < 2.0$ , identification through  $dE/dx$  of low-momentum tracks in silicon tracker
- Exclusivity condition: **2 tracks** only on vertex, calorimeter signal veto (noise thresholds on ECAL and HCAL)

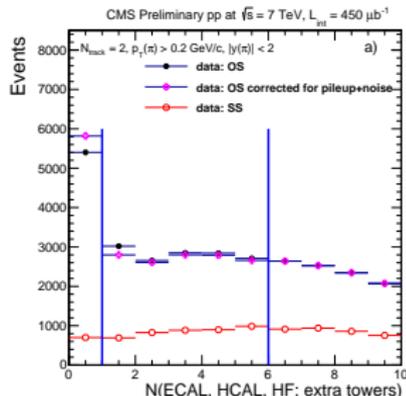


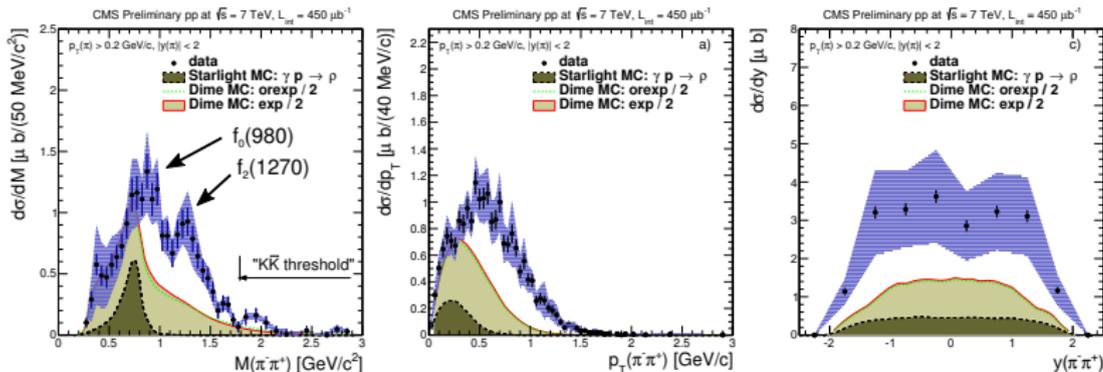
## Simulation:

- Single- and double- dissociation, DPE with **Pythia8+MBR**
- $\gamma p \rightarrow \rho(770)p$  with **Starlight**
- $pp \rightarrow p^{(*)}\pi^+\pi^-p^{(*)}$  continuum (no resonances) with Durham's **Dime MC**

## Data-driven background estimation:

- background yield and shape extracted from events with 1–5 extra towers above threshold
- same procedure applied on same-sign events (background only) as a closure test
  - $754 \pm 12$  events predicted in 0 extra towers-region, with  $700 \pm 27$  observed events





Differential cross sections overestimate the exclusive  $\pi^+\pi^- + \rho$  photoproduction predictions at  $p_T(\pi^+\pi^-) > 0.4$  GeV

Unfolded cross sections ( $p_T(\pi^\pm) > 0.2$  GeV):

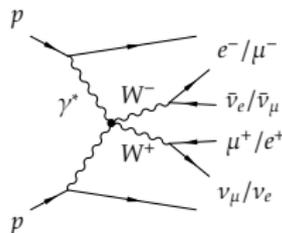
- $|y(\pi^\pm)| < 2.0$ :  $\sigma_{\text{vis}} = 20.5 \pm 0.3$  (stat.)  $\pm 3.1$  (sys.)  $\pm 0.8$  (lumi)  $\mu\text{b}$

Predicted value: 12.7  $\mu\text{b}$  (Pythia8 MBR) / 13.8  $\mu\text{b}$  (Pythia8 4C tune), without **low-mass proton dissociation** nor **intermediate resonances** contributions

- $|y(\pi^\pm)| < 1.0$ :  $\sigma_{\text{vis}} = 8.1 \pm 0.2$  (stat.)  $\pm 1.2$  (sys.)  $\pm 0.3$  (lumi)  $\mu\text{b}$

Searches for  $\gamma\gamma \rightarrow W^+W^-$  processes and limits on  
anomalous quartic gauge couplings

Upgrade at  $\sqrt{s} = 8$  TeV of the previous 7 TeV search  
(JHEP 07 (2013) 116)



## Strategy:

- search for  $pp \rightarrow p^{(*)}(\gamma\gamma \rightarrow W^+W^- \rightarrow e^\pm \mu^\mp \nu \bar{\nu})p^{(*)}$  events
- using central CMS information only:
  - track-based exclusivity condition to enhance two-photon production process
- detect deviations on production rate to probe anomalous couplings

### Anomalous models:

Gauge boson self-couplings in SM:  $SU(2) \times U(1)$  structure of electroweak sector.  
Anomalous (T/Q)GCs predicted by many SM extensions: additional gauge bosons, SUSY, ...

Can be introduced as a  $\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{SM}} + \mathcal{L}_{\text{ext}}$  up to a fixed energy scale  $\Lambda$ .

- dimension-6, “LEP legacy” model

$$\mathcal{L}_{\text{AQGC}} \ni \begin{cases} \mathcal{L}_6^c = -\frac{e^2}{16} \frac{a_C^W}{\Lambda^2} F_{\mu\alpha} F^{\mu\beta} (W^{+\alpha} W^-_{\beta} - W^{-\alpha} W^+_{\beta}) \\ \quad - \frac{e^2}{16 \cos^2 \theta_W} \frac{a_C^Z}{\Lambda^2} F_{\mu\alpha} F^{\mu\beta} Z^\alpha Z_\beta \\ \mathcal{L}_6^0 = -\frac{e^2}{8} \frac{a_0^W}{\Lambda^2} F_{\mu\nu} F^{\mu\nu} W^{+\alpha} W^-_{\alpha} - \frac{e^2}{16 \cos^2 \theta_W} \frac{a_0^Z}{\Lambda^2} F_{\mu\nu} F^{\mu\nu} Z^\alpha Z_\alpha \end{cases}$$

- 2 parameters handling the  $\gamma\gamma W^+W^-$  coupling:  $a_{0,C}^W$  (SM limit:  $a_{0,C}^W = 0$ )
- dimension-8, suitable for LHC energies
  - 4 parameters handling the  $\gamma\gamma W^+W^-$  coupling:  $f_{M,0-3}$  (SM limit:  $f_{M,0-3} = 0$ )

**Dataset:**  $19.7 \text{ fb}^{-1}$  at  $\sqrt{s} = 8 \text{ TeV}$  (combined with  $5.05 \text{ fb}^{-1}$  at  $7 \text{ TeV}$ )

## Selection:

- opposite charge electron-muon pair,

- $p_T(\mu), E_T(e) > 20 \text{ GeV}$ ,
  - $|\eta(e, \mu)| < 2.4$

- associated to a common primary vertex

- $m(e\mu) > 20 \text{ GeV}$

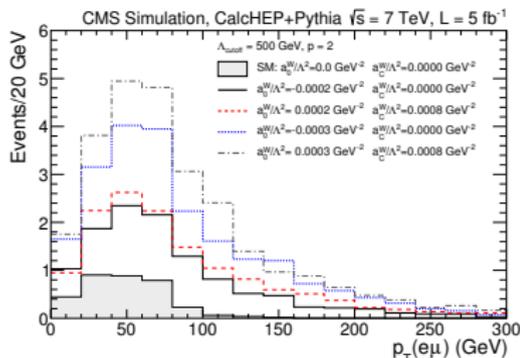
- track-based exclusivity condition

- **no additional tracks** on the dilepton vertex

- signal region: high- $p_T(e\mu)$

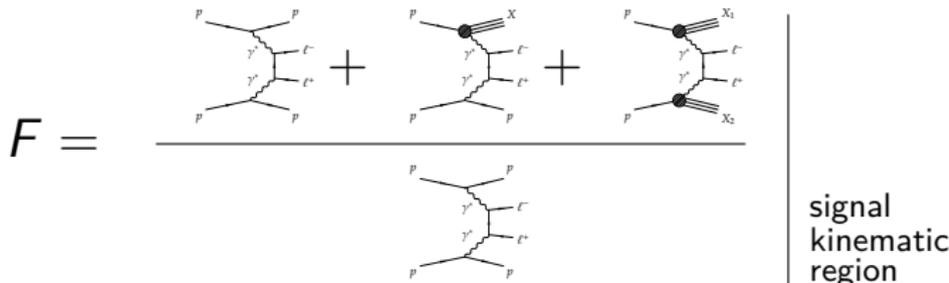
- Photon-induced process at a  $pp$  collider: **3 sub-processes** to consider in signal:

- **elastic** two-photon production
  - **single-** and **double-proton dissociation**



Elastic component simulated (EPA), **data-driven method** to account for other contributions (high-statistics channels:  $\gamma\gamma \rightarrow \mu^+\mu^-$ ,  $\gamma\gamma \rightarrow e^+e^-$ ):

$$\sigma_{\gamma\gamma}^{\text{total}} = F \times \sigma_{\gamma\gamma}^{\text{elastic}} \text{ with}$$

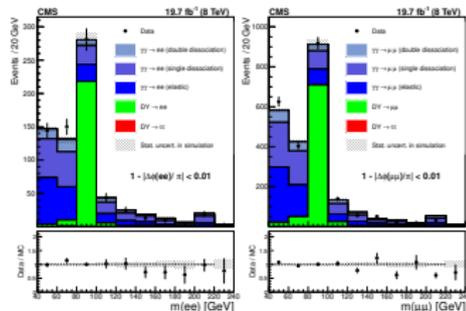


Experimentally,  $F$  factor extracted as:

$$F = \frac{n_{\text{data}}^{\mu\mu} - n_{\text{MC}}^{\text{bckgrd}}}{n_{\text{MC}}^{\text{elastic}}} \Bigg|_{\text{presel.} + m(\mu\mu) > 160 \text{ GeV} \simeq 2M_W}$$

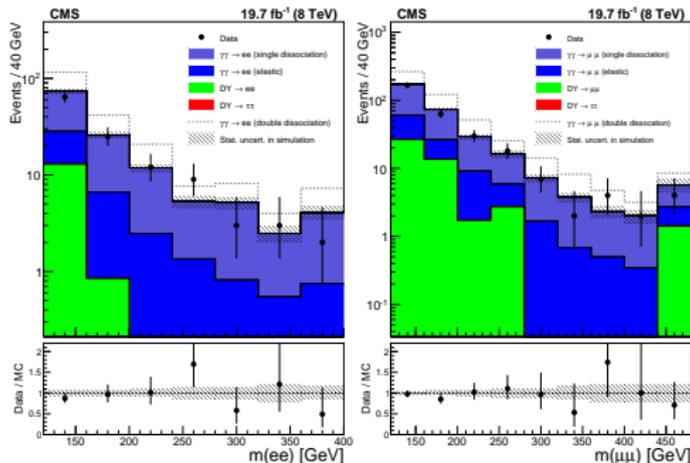
$$= 3.23 \pm 0.53 \text{ (stat.) (7 TeV)}$$

$$= 4.10 \pm 0.43 \text{ (stat.) (8 TeV)}$$



**Intermission:** Overestimation of the survival factor in LPAIR matrix-element description of the  $\gamma\gamma \rightarrow \ell^+\ell^-$  process cross section

- Data shows better agreement with large suppression of double-dissociative component in this kinematic region
- Example: 0% gap survival probability for DD:



# Search for $\gamma\gamma \rightarrow W^+W^-$ events...



Cut flows for the two analyses:

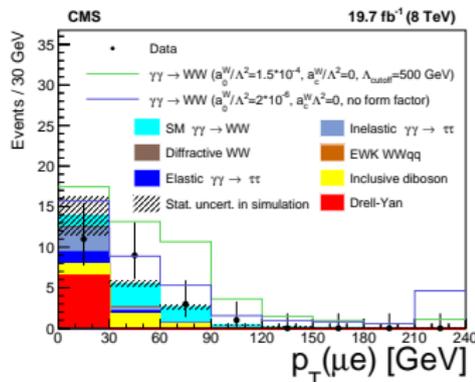
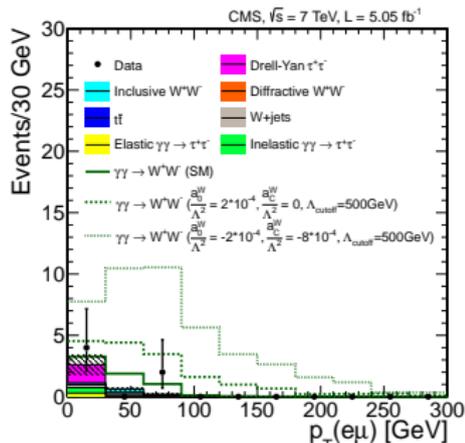
Selection step	7 TeV	8 TeV
Trigger/presel.	9086	19406
$m(e\mu) > 20$ GeV	8200	18466
Leptons identif.	1222	6541
No extra tracks	6	24
$p_T(e\mu) > 30$ GeV	<b>2</b>	<b>13</b>

Observed cross sections ( $\times$  branching fraction) for the  $pp \rightarrow p^{(*)}(\gamma\gamma \rightarrow W^+W^- \rightarrow e^\pm \mu^\mp \nu \bar{\nu})p^{(*)}$  process:

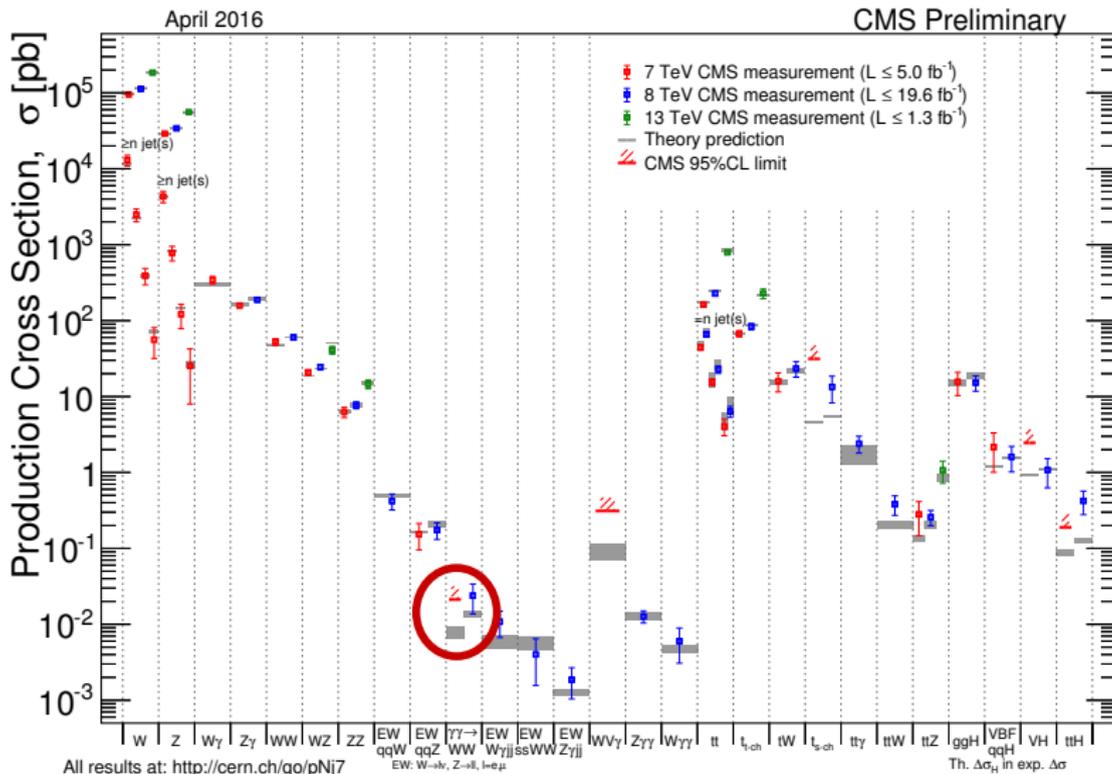
- at 7 TeV:  $\sigma_{\text{obs}} = 2.2_{-2.0}^{+3.3}$  fb,  $\sigma_{\text{SM}} = 4.0 \pm 0.7$  fb
- at 8 TeV:  $\sigma_{\text{obs}} = 11.9_{-4.5}^{+5.6}$  fb,  $\sigma_{\text{SM}} = 6.9 \pm 0.6$  fb

**Consistent with the SM**

Combined significance for 7 + 8 TeV combination (over background-only hypothesis):  **$3.4\sigma$**



Still among the lowest cross sections reachable at the LHC



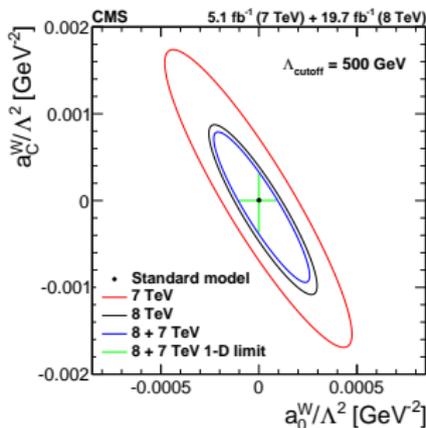
- Using the  $p_T(e\mu)$  distribution of SM and AQCc  $\gamma\gamma \rightarrow W^+W^-$  behaviour, extract constraints on AQCcs

**Example: 1-dimensional limits** on (dim-6) AQCc

parameters:

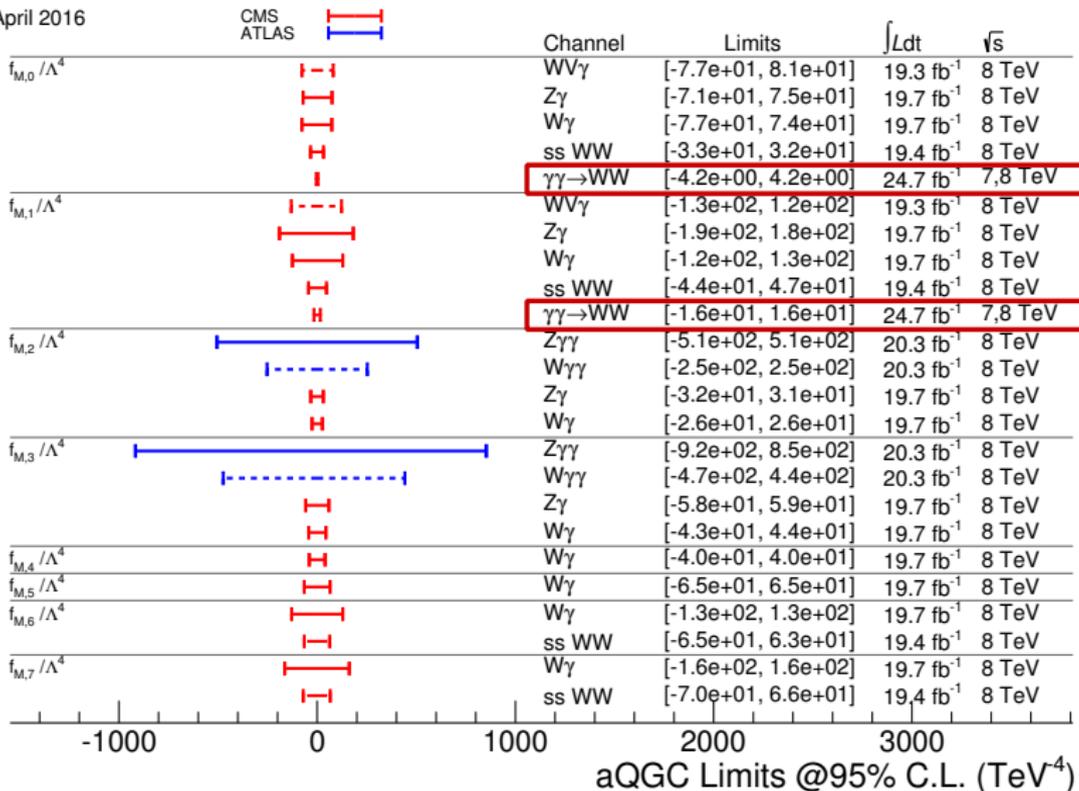
- applying unitarity restoring form factor
  - $a_{0,C}^W \rightarrow a_{0,C}^W / (1 + W_{\gamma\gamma}^2 / \Lambda_{\text{cutoff}}^2)$ ,  
 $\Lambda_{\text{cutoff}} = 500 \text{ GeV}$

Param.	7 TeV	8 TeV	Combined
$a_0^W / \Lambda^2$	$[-1.5, 1.5]$	$[-1.1, 1.0]$	$[-0.9, 0.9]$
$a_C^W / \Lambda^2$	$[-5, 5]$	$[-4.2, 3.4]$	$[-3.6, 3.0]$
	$\times 10^{-4} \text{ GeV}^{-2}$	$\times 10^{-4} \text{ GeV}^{-2}$	$\times 10^{-4} \text{ GeV}^{-2}$



- Most stringent limits on these operators
  - two orders of magnitude tighter than LEP-II limits

April 2016



(plot from [twiki.cern.ch/twiki/bin/view/CMSPublic/PhysicsResultsSMPaTGC](http://twiki.cern.ch/twiki/bin/view/CMSPublic/PhysicsResultsSMPaTGC))

## Exclusive production of $\Upsilon$ in $pPb$ collisions

- **Differential cross sections** in  $|t|$  and  $y$  distributions
- Better picture of **gluon density evolution** at low- $x_{Bj}$

## Exclusive $\pi^+\pi^-$ production in $pp$ collisions

- Differential and integrated cross sections extracted at  $\sqrt{s} = 7$  TeV
  - $|y(\pi^\pm)| < 2.0$ :  $\sigma_{\text{vis}} = 20.5 \pm 0.3$  (stat.)  $\pm 3.1$  (sys.)  $\pm 0.8$  (lumi)  $\mu\text{b}$
  - $|y(\pi^\pm)| < 1.0$ :  $\sigma_{\text{vis}} = 8.1 \pm 0.2$  (stat.)  $\pm 1.2$  (sys.)  $\pm 0.3$  (lumi)  $\mu\text{b}$
  - Diff. cross sections above exclusive  $\pi^+\pi^- + \rho$  photoproduction predictions for  $p_T(\pi^+\pi^-) > 0.4$  GeV

## Search for AQGCs in $\gamma\gamma \rightarrow W^+W^-$

- **Evidence set** for this process ( $3.7\sigma$  observed significance)
- **Most stringent limits** so far on anomalous quartic  $\gamma\gamma W^+W^-$  couplings

## Backup

Systematics	Uncertainty
Background estimation	7.2%
Tracking efficiency (pion pair)	7.8%
Unfolding	10.3%
HF energy scale	2.0%
Barrel, endcaps energy scale	3.9%
Integrated luminosity	4.0%
<b>Total</b>	<b>15.9%</b>

Selection	Data: Events Left
High-Level Trigger ZeroBias	33214795
Exactly two tracks	215139
Track Purity	170990
$ y(track)  < 2$	128375
$p_T(track) > 0.2 \text{ GeV}/c$	103038
Vertex with 2 tracks	58468
$ z_{vertex}  < 15 \text{ cm}$	57602
$E_{EB} < 0.52 \text{ GeV}$	49462
$E_{EE} < 2.18 \text{ GeV}$	42988
$E_{HB} < 1.18 \text{ GeV}$	41703
$E_{HE} < 1.95 \text{ GeV}$	32565
$E_{HF^+} < 4.0 \text{ GeV}$	14037
$E_{HF^-} < 4.0 \text{ GeV}$	6102
Opposite Sign (OS)	5402
Same Sign (SS)	700

Summary of systematics in the signal region for the 8 TeV analysis:

Systematics	Uncertainty
Proton dissociation factor	10.5%
Efficiency correction for no add. tracks	5.0%
Trigger and lepton identification	2.4%
Integrated luminosity	2.3%
<b>Total</b>	<b>12.2%</b>

Cut flows for the 8 TeV analysis:

Selection step	Data	Excl. $\gamma\gamma \rightarrow W^+W^-$	Backgr.
Trigger/presel.	19406	$26.9 \pm 0.2$	$22180 \pm 1890$
$m(e\mu) > 20$ GeV	18466	$26.6 \pm 0.2$	$21590 \pm 1850$
Leptons identif.	6541	$22.5 \pm 0.2$	$6640 \pm 93$
No extra tracks	24	$6.7 \pm 0.2$	$15.2 \pm 2.5$
$\rho_T(e\mu) > 30$ GeV	13	$5.3 \pm 0.1$	$3.9 \pm 0.5$

