

JUAS

LONGITUDINAL BEAM DYNAMICS

Elias Métral (CERN BE Department)

This course started with the one of Frank Tecker (CERN-BE) in 2010 (I took over from him in 2011), who inherited it from Roberto Corsini (CERN-BE), who gave this course in the previous years, based on the transparencies written by Louis Rinolfi (CERN-BE) who held the course at JUAS from 1994 to 2002 (see CERN/PS 2000-008 (LP)):
<http://cdsweb.cern.ch/record/4445961/files/ps-2000-008.pdf>

Material from Joel LeDuff's Course at the CERN Accelerator School held at Jyväskylä, Finland the 7-18 September 1992 (CERN 94-01) has been used as well:
<http://cdsweb.cern.ch/record/235242/files/p253.pdf>
<http://cdsweb.cern.ch/record/235242/files/p289.pdf>

I attended the course given by Louis Rinolfi in 1996 and was his assistant in 2000 and 2001 (and the assistant of Michel Martini for his course on transverse beam dynamics)

This course and related exercises / exams (as well as other courses) can be found in my web page: <http://emetral.web.cern.ch/emetral/>

Assistant: Benoit Salvant (CERN BE Department)

JUAS - Jan 2017 - E.Métral Page 1

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PURPOSE OF THIS COURSE

Discuss the oscillations of the particles in the longitudinal plane of synchrotrons, called **SYNCHROTRON OSCILLATIONS** (similarly to the betatron oscillations in the transverse planes), and derive the basic equations

Example of the LHC p beam in the injector chain

JUAS - Jan 2017 - E.Métral Page 2

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PURPOSE OF THIS COURSE

IN REAL SPACE

Single-particle trajectory

One particle

Circular design orbit

in the middle of the vacuum chamber

IN PHASE SPACE

Horizontal

Vertical

Courtesy of A.W. Chao

Longitudinal, bunched beam, below transition

Longitudinal, unbunched beam, below transition

Longitudinal, bunched beam, above transition

Longitudinal, unbunched beam, above transition

JUAS - Jan 2017 - E.Métral Page 3

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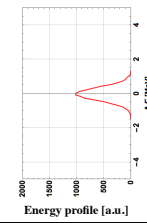
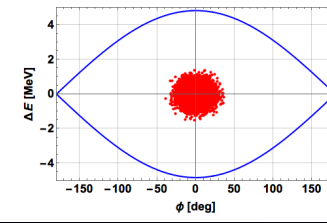
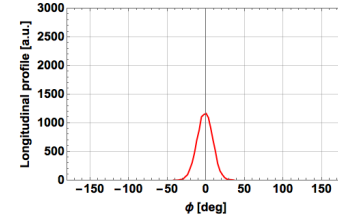
PURPOSE OF THIS COURSE

Some movies (in phase space) to have a better idea of what we will work on during this course and what you will be able to understand and do after this course...

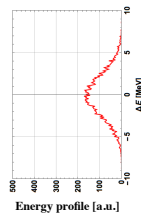
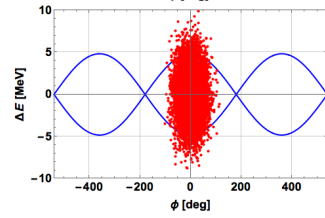
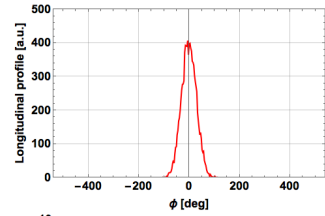
JUAS - Jan 2017 - E.Métral Page 4

“MATCHED” AND “MISMATCHED” BUNCH

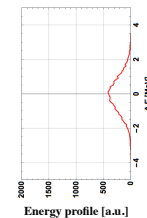
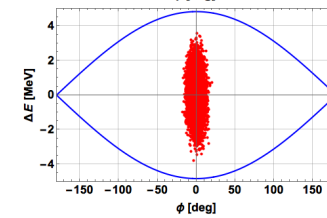
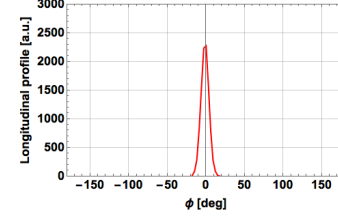
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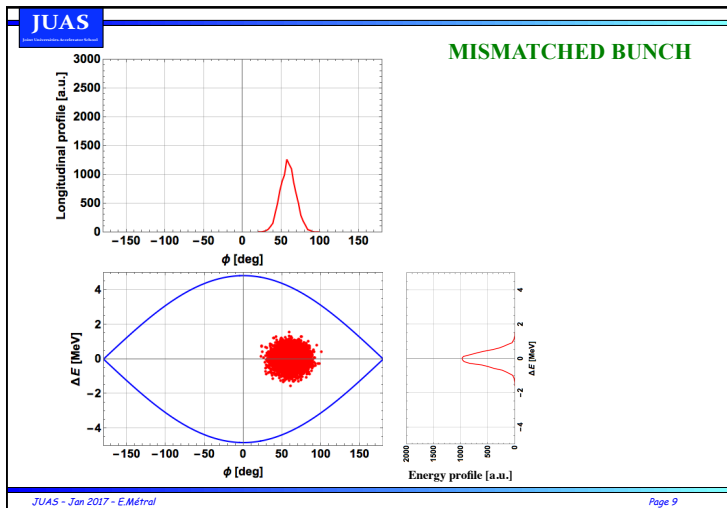


MISMATCHED BUNCH



MISMATCHED BUNCH

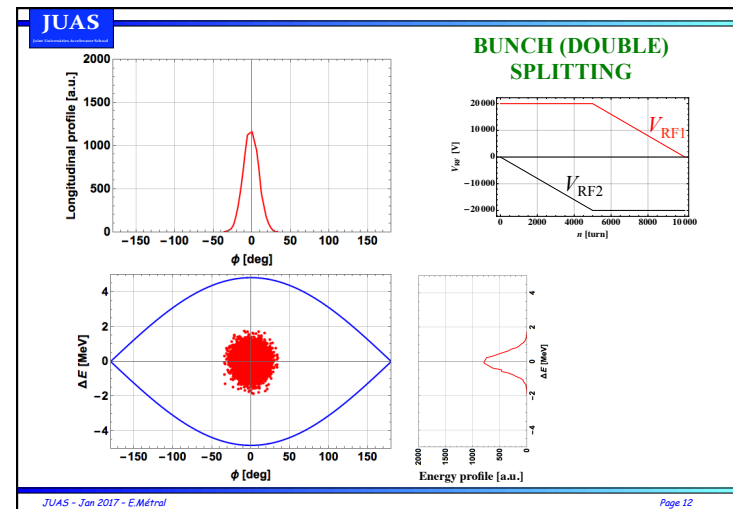
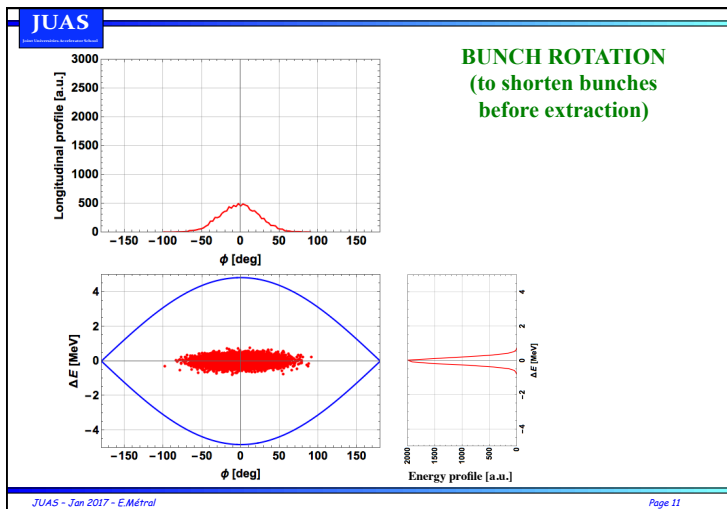


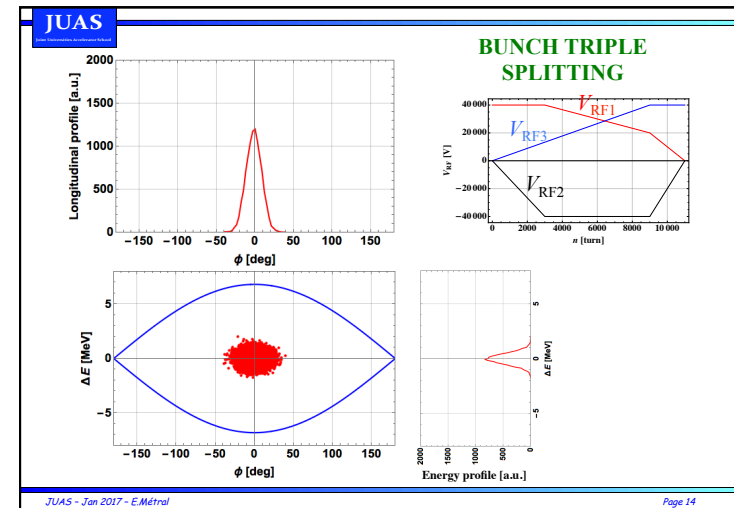
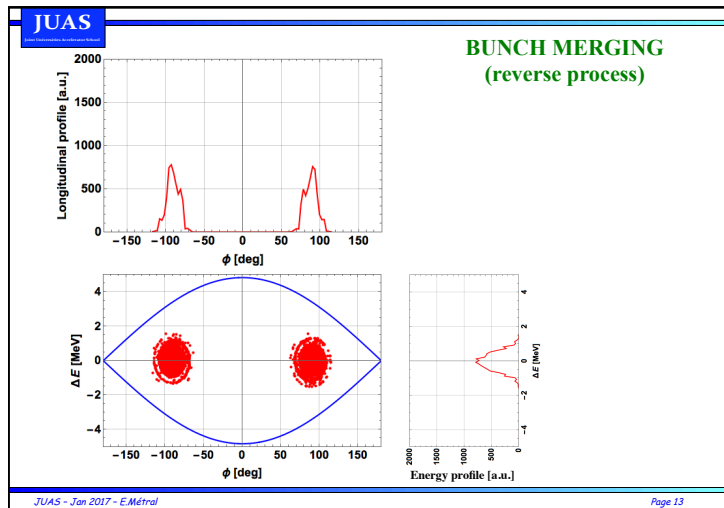


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SOME "RF GYMNASTICS"

JUAS - Jan 2017 - E.Métral Page 10





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WEEK 2 + Examination on WE 08/02/2017 (09:00 to 10:30)

Schedule 2017	Monday Jan 16 th	Tuesday Jan 17 th	Wednesday Jan 18 th	Thursday Jan 19 th	Friday Jan 20 th
09:00	Bus leaves at 07:30 from JUAS (2 hours of travel by bus)	Longitudinal Dynamics lecture E. Métral	Linacs lecture J.-B. Lallemand	Longitudinal Dynamics lecture E. Métral	Cyclotrons lecture B. Jacquot
10:30	VISIT AT ESRF	Coffee Break	Coffee Break	Coffee Break	Coffee Break
10:30-10:45		Longitudinal Dynamics tutorial E. Métral/B. Salvant	Longitudinal Dynamics lecture E. Métral	Longitudinal Dynamics lecture E. Métral	Cyclotrons lecture B. Jacquot
11:15	(Lunch offered by ESRF)	Longitudinal Dynamics lecture E. Métral	Longitudinal Dynamics lecture E. Métral/B. Salvant	Longitudinal Dynamics lecture E. Métral	Cyclotrons tutorial B. Jacquot
12:15		BREAK	BREAK	BREAK	BREAK
14:00	14:00 - 16:00 Injection Extraction lecture Thomas Perron	Linacs lecture J.-B. Lallemand	Longitudinal Dynamics lecture E. Métral	Cyclotrons lecture B. Jacquot	Longitudinal Dynamics lecture E. Métral
15:00		Linacs lecture J.-B. Lallemand	Linacs tutorial J.-B. Lallemand / V. Dimov	Cyclotrons tutorial B. Jacquot	Longitudinal Dynamics tutorial E. Métral/B. Salvant
16:00-16:15	Bus leaves at 17:00 from ESRF	Coffee Break	Coffee Break	Coffee Break	Coffee Break
16:15		Linacs tutorial J.-B. Lallemand / V. Dimov	Linacs tutorial J.-B. Lallemand / V. Dimov	Cyclotrons lecture B. Jacquot	Longitudinal Dynamics tutorial E. Métral/B. Salvant
17:15		LHC & Future High-Energy Circular Collider Seminar F. Dordei			
18:15					

JUAS - Jan 2017 - E.Métrai Page 15

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LESSON I

Fields & forces

Acceleration by time-varying electric field

Relativistic equations

LESSON II

Particle acceleration \Rightarrow Synchrotrons

Transit time factor

Main RF parameters

Momentum compaction

Transition energy

LESSON III

Equations related to synchrotrons

Synchronous particle

Synchrotron oscillations

Principle of phase stability

LESSON IV

RF acceleration for synchronous particle

RF acceleration for non-synchronous particle

Small amplitude oscillations

Large amplitude oscillations - the RF bucket

Synchrotron frequency and tune

Tracking

Nonadiabatic theory needed "close" to transition

Double RF systems

LESSON V

Measurement of the longitudinal bunch profile and Tomography

The pyHEADTAIL simulation code (by Benoit Salvant)

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Units of physical quantities

Quantity	unit	SI unit	SI derived unit
Capacitance	F (farad)	$m^{-2} kg^{-1} s^4 A^2$	C/V
Electric charge	C (coulomb)	As	
Electric potential	V (volt)	$m^2 kg s^{-3} A^{-1}$	W/A
Energy	J (joule)	$m^2 kg s^{-2}$	Nm
Force	N (newton)	$m kg s^{-2}$	N
Frequency	Hz (hertz)	s^{-1}	
Inductance	H (henry)	$m^2 kg s^{-2} A^{-2}$	Wb/A
Magnetic flux	Wb (weber)	$m^2 kg s^{-2} A^{-1}$	Vs
Magnetic flux density	T (tesla)	$kg s^{-2} A^{-1}$	Wb/m ²
Power	W (watt)	$m^2 kg s^{-3}$	J/s
Pressure	Pa (pascal)	$m^{-1} kg s^{-2}$	N/m ²
Resistance	Ω (ohm)	$m^2 kg s^{-3} A^{-2}$	V/A

JUAS - Jan 2017 - E.Métral Page 17

JUAS Fundamental physical constants

Physical constant	symbol	value	unit
Avogadro's number	N_A	6.0221367×10^{23}	/mol
atomic mass unit ($\frac{1}{12}m(C^{12})$)	m_u or u	$1.6605402 \times 10^{-27}$	kg
Boltzmann's constant	k	1.380658×10^{-23}	J/K
Bohr magneton	$\mu_B = e\hbar/2m_e$	$9.2740154 \times 10^{-24}$	J/T
Bohr radius	$a_0 = 4\pi\epsilon_0\hbar^2/m_e e^2$	$0.529177249 \times 10^{-10}$	m
classical radius of electron	$r_e = e^2/4\pi\epsilon_0 m_e c^2$	$2.81794092 \times 10^{-15}$	m
classical radius of proton	$r_p = e^2/4\pi\epsilon_0 m_p c^2$	$1.5346986 \times 10^{-18}$	m
elementary charge	e	$1.60217733 \times 10^{-19}$	C
fine structure constant	$\alpha = e^2/2\epsilon_0\hbar c$	$1/137.0359895$	
$m_e c^2$		931.49432	MeV
mass of electron	m_e	$9.1093897 \times 10^{-31}$	kg
$m_e c^2$		0.51099906	MeV
mass of proton	m_p	$1.6726231 \times 10^{-27}$	kg
$m_p c^2$		938.27231	MeV
mass of neutron	m_n	$1.6749286 \times 10^{-27}$	kg
$m_n c^2$		939.56563	MeV
molar gas constant	$R = N_A k$	8.314510	J/mol K
neutron magnetic moment	μ_n	$-0.96623707 \times 10^{-26}$	J/T
nuclear magneton	$\mu_N = e\hbar/2m_u$	$5.0507866 \times 10^{-27}$	J/T
Planck's constant	\hbar	6.626075×10^{-34}	J s
permeability of vacuum	μ_0	$4\pi \times 10^{-7}$	N/A ²
permittivity of vacuum	ϵ_0	$8.854187817 \times 10^{-12}$	F/m
proton magnetic moment	μ_p	$1.41060761 \times 10^{-26}$	J/T
proton g factor	$g_p = \mu_p/\mu_N$	2.792847386	
speed of light (exact)	c	299792458	m/s
vacuum impedance	$Z_0 = 1/\epsilon_0 c = \mu_0 c$	376.7303	Ω

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LESSON I

Fields & forces

Acceleration by time-varying electric field

Relativistic equations

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JUAS Fields and force

Equation of motion for a particle of charge q

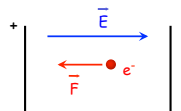
$$\vec{F} = \frac{d\vec{p}}{dt} = q(\vec{E} + \vec{v} \times \vec{B})$$

$\vec{p} = m\vec{v}$	Momentum
\vec{v}	Velocity
\vec{E}	Electric field
\vec{B}	Magnetic field

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Constant electric field



$$\frac{d\vec{p}}{dt} = -e \vec{E}$$

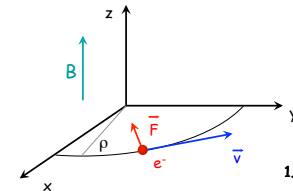
1. Direction of the force always parallel to the field
2. Trajectory can be modified, velocity also \Rightarrow momentum and energy can be modified

This force can be used to accelerate and decelerate particles

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Constant magnetic field



$$\frac{d\vec{p}}{dt} = \vec{F} = -e (\vec{v} \times \vec{B})$$

1. Direction always perpendicular to the velocity
2. Trajectory can be modified, but not the velocity

This force cannot modify the energy

$$e v B = \frac{m v^2}{\rho}$$

magnetic rigidity: $B \rho = \frac{p}{e}$ angular frequency: $\omega = 2\pi f = \frac{e}{m} B$

JUAS - Jan 2017 - E.Métral Page 22

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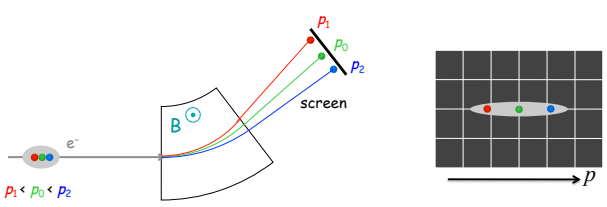
Important relationship:

$$B \rho = \frac{p}{e} \quad \Rightarrow \quad \rho = \frac{p}{e B}$$

Practical units:

$$B \rho [\text{Tm}] \approx \frac{p [\text{GeV}/c]}{0.3}$$

Application: spectrometer



$p_1 < p_0 < p_2$

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Comparison of magnetic and electric forces

$$|\vec{B}| = 1 \text{ T}$$

$$|\vec{E}| = 10 \text{ MV/m}$$

$$\frac{F_{\text{MAGN}}}{F_{\text{ELEC}}} = \frac{e v B}{e E} = \beta c \frac{B}{E} \approx 3 \cdot 10^8 \frac{1}{10^7} \beta = 30 \beta$$

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Acceleration by time-varying electric field

- Let V_{RF} be the amplitude of the RF voltage across the gap g
- The particle crosses the gap at a distance r
- The energy gain is:

$$\Delta E = e \int_{-g/2}^{g/2} \vec{E}(s, r, t) \cdot d\vec{s}$$

[MeV] (1 for electrons or protons) [n] [MV/m]

In the cavity gap, the electric field is supposed to be:

$$E(s, r, t) = E_1(s, r) \cdot E_2(t)$$

In general, $E_2(t)$ is a sinusoidal time variation with angular frequency ω_{RF}

$$E_2(t) = E_c \sin(\Phi(t)) \quad \text{where} \quad \Phi(t) = \int_0^t \omega_{RF} dt + \Phi_0$$

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Convention

- For circular accelerators, the origin of time is taken at the **zero crossing** of the RF voltage with positive slope
- For linear accelerators, the origin of time is taken at the positive **crest** of the RF voltage

Time $t=0$ chosen such that:

$$E_2(t) = E_c \sin(\omega_{RF} t)$$

$$E_2(t) = E_c \cos(\omega_{RF} t)$$

JUAS - Jan 2017 - E.Métral Page 26

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Relativistic Equations

$E = mc^2$

<p>normalized velocity</p> $\beta = \frac{v}{c} = \sqrt{1 - \frac{1}{\gamma^2}}$	<p>energy</p> $E = E_{kin} + E_0$ <p style="text-align: center;">total kinetic rest</p>
<p>total energy rest energy</p> $\gamma = \frac{E}{E_0} = \frac{m}{m_0} = \frac{1}{\sqrt{1 - v^2/c^2}} = \frac{1}{\sqrt{1 - \beta^2}}$	<p>momentum</p> $p = mv = \beta \frac{E}{c} = \beta \gamma m_0 c$

energy	momentum	mass
eV	eV/c	eV/c ²

$$p^2 c^2 = E^2 - E_0^2 \quad \gamma = 1 + \frac{E_{kin}}{E_0}$$

$$p [\text{GeV}/c] \cong 0.3 B [\text{T}] \rho [\text{m}]$$

JUAS - Jan 2017 - E.Métral Page 27

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normalized velocity

$$\beta = \frac{v}{c} = \sqrt{1 - \frac{1}{\gamma^2}}$$

total energy
rest energy

$$\gamma = \frac{E}{E_0} = \frac{m}{m_0} = \frac{1}{\sqrt{1 - v^2/c^2}} = \frac{1}{\sqrt{1 - \beta^2}}$$

JUAS - Jan 2017 - E.Métral Page 28

First derivatives

$$d\beta = \beta^{-1} \gamma^{-3} d\gamma$$

$$d(cp) = E_0 \gamma^3 d\beta$$

$$d\gamma = \beta(1 - \beta^2)^{-3/2} d\beta$$

Logarithmic derivatives

$$\frac{d\beta}{\beta} = (\beta \gamma)^{-2} \frac{d\gamma}{\gamma}$$

$$\frac{dp}{p} = \frac{\gamma^2}{\gamma^2 - 1} \frac{dE}{E} = \frac{\gamma}{\gamma + 1} \frac{dE_{kin}}{E_{kin}}$$

$$\frac{d\gamma}{\gamma} = (\gamma^2 - 1) \frac{d\beta}{\beta}$$

LESSON II

Particle acceleration => Synchrotrons

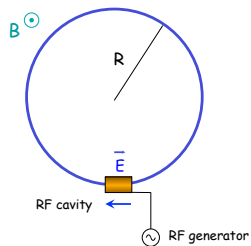
Transit time factor

Main RF parameters

Momentum compaction

Transition energy

Synchrotron



Synchronism condition

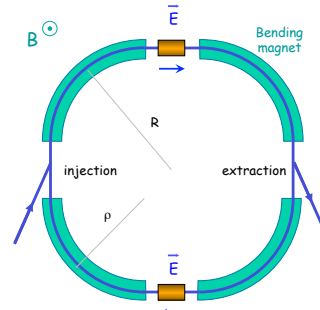
$$T_s = h T_{RF}$$

h integer, harmonic number

$$\frac{2\pi R}{v_s} = h T_{RF}$$

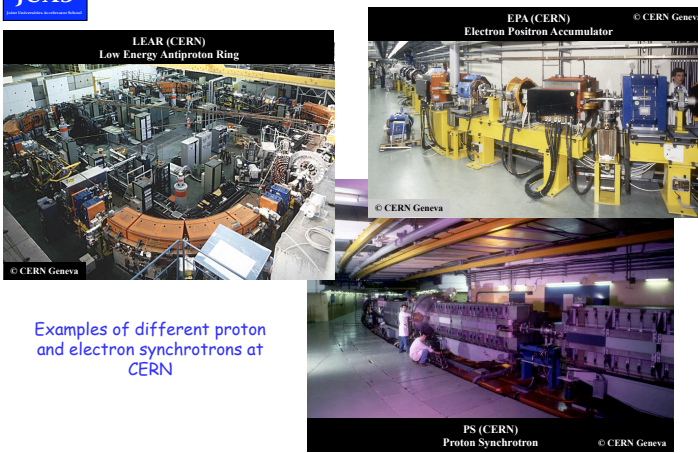
1. ω_{RF} and ω increase with energy
2. To keep particles on the closed orbit, **B should increase** with time

Synchrotron



- In reality, the orbit in a synchrotron is not a circle, straight sections are added for RF cavities, injection and extraction, etc..
- Usually the beam is pre-accelerated in a linac (or a smaller synchrotron) before injection
- The bending radius ρ does not coincide to the machine radius $R = L/2\pi$

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LEAR (CERN)
Low Energy Antiproton Ring

EPA (CERN)
Electron Positron Accumulator

PS (CERN)
Proton Synchrotron

Examples of different proton and electron synchrotrons at CERN

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Parameters for circular accelerators

The basic principles, for the common circular accelerators, are based on the two relations:

- The **Lorentz equation**: the orbit radius can be expressed as:

$$R = \frac{\gamma v m_0}{eB}$$
- The **synchronicity condition**: The revolution frequency can be expressed as:

$$f = \frac{eB}{2\pi \gamma m_0}$$

According to the parameter we want to keep constant or let vary, one has different acceleration principles. They are summarized in the table below:

Machine	Energy (γ)	Velocity	Field	Orbit	Frequency
Cyclotron	~ 1	var.	const.	$\sim v$	const.
Synchrocyclotron	var.	var.	$B(r)$	$\sim p$	$B(r)/\gamma(t)$
Proton/Ion synchrotron	var.	var.	$\sim p$	R	$\sim v$
Electron synchrotron	var.	const.	$\sim p$	R	const.

JUAS - Jan 2017 - E.Métral Page 34

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Transit time factor

RF acceleration in a gap g

$E(s, r, t) = E_1(s, r) \cdot E_2(t)$

Simplified model \Rightarrow

$$E_1(s, r) = \frac{V_{RF}}{g} = \text{const.}$$

$$E_2(t) = \sin(\omega_{RF} t + \phi_0)$$

At $t = 0$, $s = 0$ and $v \neq 0$, parallel to the electric field

Energy gain:

$$\Delta E = e \int_{-g/2}^{g/2} E(s, r, t) ds \Rightarrow \Delta E = e V_{RF} T_a \sin \phi_0$$

$$T_a = \frac{\sin \frac{\omega_{RF} g}{2v}}{\frac{\omega_{RF} g}{2v}}$$

T_a is called **transit time factor**

- $T_a < 1$
- $T_a \rightarrow 1$ if $g \rightarrow 0$

where

JUAS - Jan 2017 - E.Métral Page 35

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Transit time factor II

In the general case, the **transit time factor** is given by:

$$T_a = \frac{\int_{-\infty}^{+\infty} E_1(s, r) \cos\left(\omega_{RF} \frac{s}{v}\right) ds}{\int_{-\infty}^{+\infty} E_1(s, r) ds}$$

It is the ratio of the peak energy gained by a particle with velocity v to the peak energy gained by a particle with infinite velocity.

JUAS - Jan 2017 - E.Métral Page 36

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Main RF parameters

I. Voltage, phase, frequency

In order to accelerate particles, longitudinal fields must be generated in the direction of the desired acceleration

$$E(s,t) = E_1(s) \cdot E_2(t) \quad E_2(t) = E_0 \sin \left[\int_0^t \omega_{RF} dt + \phi_0 \right]$$

$$\omega_{RF} = 2\pi f_{RF} \quad \Delta E = e V_{RF} T_a \sin \phi_0$$

Such electric fields are generated in RF cavities characterized by the voltage amplitude, the frequency and the phase

II. Harmonic number

$$T_{rev} = h T_{RF} \Rightarrow f_{RF} = h f_{rev}$$

f_{rev}	=	revolution frequency				
f_{RF}	=	frequency of the RF	AA	EPA	PS	SPS
h	=	harmonic number	1	8	20	4620

harmonic number in different machines:

JUAS - Jan 2017 - E.Métral Page 37

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Dispersion

$$x(s) = D_x(s) \frac{\Delta p}{p}$$

reference = design = nominal trajectory = closed orbit (circular machine)

JUAS - Jan 2017 - E.Métral Page 38

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Momentum compaction factor in a transport system

In a particle transport system, a **nominal trajectory** is defined for the **nominal momentum** p .

For a particle with a momentum $p + \Delta p$ the trajectory length can be different from the length L of the nominal trajectory.

The momentum compaction factor is defined by the ratio:

$$\alpha_p = \frac{dL/L}{dp/p}$$

Therefore, for small momentum deviation, to first order it is:

$$\frac{\Delta L}{L} = \alpha_p \frac{\Delta p}{p}$$

JUAS - Jan 2017 - E.Métral Page 39

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Example: constant magnetic field

$$\alpha_p = \frac{1}{L} \int_0^L \frac{D_x(s)}{\rho(s)} ds$$

To first order, only the bending magnets contribute to a change of the trajectory length ($r = \infty$ in the straight sections)

JUAS - Jan 2017 - E.Métral Page 40

Momentum compaction in a ring

In a circular accelerator, a **nominal closed orbit** is defined for the **nominal momentum p** .
 For a particle with a momentum deviation Δp produces an orbit length variation ΔC with:

For $B = \text{const.}$

$$\frac{\Delta C}{C} = \alpha_p \frac{\Delta p}{p}$$

$$C = 2\pi R$$

/ circumference / (average) radius of the closed orbit

The momentum compaction factor is defined by the ratio:

$$\alpha_p = \frac{dC/C}{dp/p} = \frac{dR/R}{dp/p} \quad \text{and} \quad \alpha_p = \frac{1}{C} \int_c \frac{D_x(s)}{\rho(s)} ds$$

N.B.: in most circular machines, α_p is positive \Rightarrow higher momentum means longer circumference

Momentum compaction as a function of energy

$$E = \frac{pc}{\beta} \quad \Rightarrow \quad \frac{dE}{E} = \beta^2 \frac{dp}{p}$$

$$\alpha_p = \beta^2 \frac{E}{R} \frac{dR}{dE}$$

Momentum compaction as a function of magnetic field

Definition of average magnetic field

$$\langle B \rangle = \frac{1}{2\pi R} \int_c B_f ds = \frac{1}{2\pi R} \left(\int_{\text{straights}} B_f ds + \int_{\text{magnets}} B_f ds \right)$$

= 0 2\pi \rho B_f

$$\langle B \rangle = \frac{B_f \rho}{R}$$

$$B_f \rho = \frac{p}{e}$$

$$\langle B \rangle R = \frac{p}{e}$$

$$\frac{d\langle B \rangle}{\langle B \rangle} = \frac{dB_f}{B_f} + \frac{d\rho}{\rho} - \frac{dR}{R}$$

$$\frac{d\langle B \rangle}{\langle B \rangle} + \frac{dR}{R} = \frac{dp}{p}$$

For $B_f = \text{const.}$

$$\alpha_p = 1 - \frac{d\langle B \rangle}{\langle B \rangle} \frac{dp}{p}$$

Transition energy

Proton (ion) circular machine with α_p positive

1. Momentum larger than the nominal ($p + \Delta p$) \Rightarrow longer orbit ($C + \Delta C$)
2. Momentum larger than the nominal ($p + \Delta p$) \Rightarrow higher velocity ($v + \Delta v$)

What happens to the revolution frequency $f = v/C$?

- At low energy, v increases faster than C with momentum
- At high energy $v = c$ and remains almost constant

\Rightarrow There is an energy for which the velocity variation is compensated by the trajectory variation \Rightarrow **transition energy**

Below transition: higher energy \Rightarrow higher revolution frequency
Above transition: higher energy \Rightarrow lower revolution frequency

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Transition energy - quantitative approach

We define a parameter η (revolution frequency spread per unit of momentum spread):

$$\eta = \frac{df/f}{dp/p} = \frac{d\omega/\omega}{dp/p}$$

$f = \frac{v}{C} \rightarrow \frac{df}{f} = \frac{d\beta}{\beta} - \frac{dC}{C}$

from $p = \frac{m_0 c \beta}{\sqrt{1-\beta^2}} \rightarrow \frac{d\beta}{\beta} = \frac{1}{\gamma^2} \frac{dp}{p}$ definition of momentum compaction factor: $\frac{dC}{C} = \alpha_p \frac{dp}{p}$

$$\frac{df}{f} = \left(\frac{1}{\gamma^2} - \alpha_p \right) \frac{dp}{p}$$

JUAS - Jan 2017 - E.Métral Page 45

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Transition energy - quantitative approach

The transition energy is the energy that corresponds to $\eta = 0$ (α_p is fixed, and γ variable)

$$\eta = \frac{1}{\gamma^2} - \alpha_p$$

$\gamma_{tr} = \sqrt{\frac{1}{\alpha_p}}$

The parameter η can also be written as

$$\eta = \frac{1}{\gamma^2} - \frac{1}{\gamma_{tr}^2}$$

- At low energy $\eta > 0$
- At high energy $\eta < 0$

N.B.: for electrons, $\gamma \gg \gamma_{tr} \Rightarrow \eta < 0$
for linacs $\alpha_p = 0 \Rightarrow \eta > 0$

JUAS - Jan 2017 - E.Métral Page 46

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LESSON III

Equations related to synchrotrons

Synchronous particle

Synchrotron oscillations

Principle of phase stability

JUAS - Jan 2017 - E.Métral Page 47

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Equations related to synchrotrons

$$\frac{dp}{p} = \gamma_{tr}^2 \frac{dR}{R} + \frac{dB}{B}$$

$$\frac{dp}{p} = \gamma^2 \frac{df}{f} + \gamma^2 \frac{dR}{R}$$

$$\frac{dB}{B} = \gamma_{tr}^2 \frac{df}{f} + \left[1 - \left(\frac{\gamma_{tr}}{\gamma} \right)^2 \right] \frac{dp}{p}$$

$$\frac{dB}{B} = \gamma^2 \frac{df}{f} + (\gamma^2 - \gamma_{tr}^2) \frac{dR}{R}$$

p [MeV/c] momentum
 R [m] orbit radius
 B [T] magnetic field
 f [Hz] rev. frequency
 γ_{tr} transition energy

JUAS - Jan 2017 - E.Métral Page 48

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I - Constant radius dR = 0

Beam maintained on the same orbit when energy varies

$$\frac{dp}{p} = \frac{dB}{B}$$

$$\frac{dp}{p} = \gamma^2 \frac{df}{f}$$

If p increases
 → B increases
 f increases

JUAS - Jan 2017 - E.Métral Page 49

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II - Constant energy dp = 0

$V_{RF} = 0$ Beam debunches

$$\frac{dp}{p} = 0 = \gamma_{tr}^2 \frac{dR}{R} + \frac{dB}{B}$$

$$\frac{dp}{p} = 0 = \gamma^2 \frac{df}{f} + \gamma^2 \frac{dR}{R}$$

If B increases
 → R decreases
 f increases

JUAS - Jan 2017 - E.Métral Page 50

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III - Magnetic flat-top dB = 0

Beam bunched with constant magnetic field

$$\frac{dp}{p} = \gamma_{tr}^2 \frac{dR}{R} \quad \frac{dB}{B} = 0 = \gamma_{tr}^2 \frac{df}{f} + \left[1 - \left(\frac{\gamma_{tr}}{\gamma} \right)^2 \right] \frac{dp}{p}$$

$$\frac{dB}{B} = 0 = \gamma^2 \frac{df}{f} + (\gamma^2 - \gamma_{tr}^2) \frac{dR}{R}$$

If p increases
 → R increases $\gamma < \gamma_{tr}$
 f increase $\gamma > \gamma_{tr}$
 decreases

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IV - Constant frequency df = 0

Beam driven by an external oscillator

$$\frac{dp}{p} = \gamma^2 \frac{dR}{R} \quad \frac{dB}{B} = \left[1 - \left(\frac{\gamma_{tr}}{\gamma} \right)^2 \right] \frac{dp}{p}$$

$$\frac{dB}{B} = (\gamma^2 - \gamma_{tr}^2) \frac{dR}{R}$$

If p increases
 → R increases $\gamma < \gamma_{tr}$
 B decreases $\gamma > \gamma_{tr}$
 increase

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Four conditions - resume

Beam	Parameter	Variations
Debunched	$\Delta p = 0$	$B \uparrow, R \downarrow, f \uparrow$
Fixed orbit	$\Delta R = 0$	$B \uparrow, p \uparrow, f \uparrow$
Magnetic flat-top	$\Delta B = 0$	$p \uparrow, R \uparrow, f \uparrow (\eta > 0)$ $f \downarrow (\eta < 0)$
External oscillator	$\Delta f = 0$	$B \uparrow, p \downarrow, R \downarrow (\eta > 0)$ $p \uparrow, R \uparrow (\eta < 0)$

p momentum
 R orbit radius
 B magnetic field
 f frequency

JUAS - Jan 2017 - E.Métral Page 53

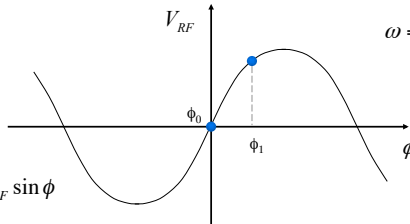
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Synchronous particle

Simple case (no accel.): $B = \text{const.}$ $\gamma < \gamma_{tr}$

Synchronous particle: particle that sees always the same phase (at each turn) in the RF cavity

↓



$$\omega = \frac{e B}{\gamma m_0} = \frac{\omega_{RF}}{h}$$

$\Delta E = e \hat{V}_{RF} \sin \phi$

In order to keep the **resonant condition**, the particle must keep a **constant energy**
 The phase of the synchronous particle must therefore be $\phi_0 = 0$ (circular machines convention)
 Let's see what happens for a particle with the same energy and a different phase (e.g., ϕ_1)

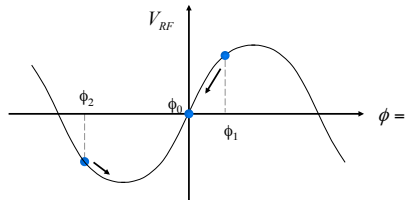
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Synchrotron oscillations

ϕ_1

- The particle is accelerated
- Below transition, an increase in energy means an increase in revolution frequency
- The particle arrives earlier - tends toward ϕ_0



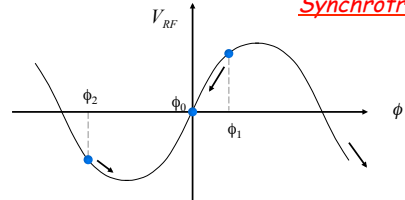
ϕ_2

- The particle is decelerated
- decrease in energy - decrease in revolution frequency
- The particle arrives later - tends toward ϕ_0

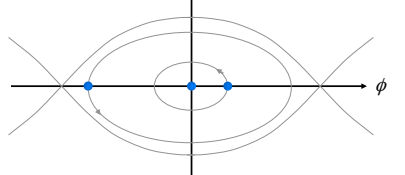
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Synchrotron oscillations



Phase space picture



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Longitudinal phase space

The diagram shows two plots. The left plot shows a particle trajectory in phase space with axes $\Delta p/p$ and ϕ . A horizontal line is labeled 'reference'. A red dot is shown moving forward (left) and backward (right) relative to the reference. Vertical arrows indicate 'acceleration' (up) and 'deceleration' (down). The right plot shows an elliptical contour of red dots representing the emittance in phase space.

The particle trajectory in the phase space ($\phi, \Delta p/p$) describes its longitudinal motion

Emittance: phase space area including all the particles

NB: if the emittance contour correspond to a possible orbit in phase space, its shape does not change with time (matched beam)

JUAS - Jan 2017 - E.Métral Page 57

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Synchronous particle

Case with acceleration B increasing $\gamma < \gamma_{tr}$

The graph shows a sinusoidal wave of V_{RF} vs $\phi = \omega_{RF} t$. A blue dot is placed on the rising slope at phase ϕ_s .

$$\Delta E = e \hat{V}_{RF} \sin \phi$$

The phase of the synchronous particle is now $\phi_s > 0$ (circular machines convention)

The synchronous particle accelerates, and the magnetic field is increased accordingly to keep the constant radius R

$$R = \frac{\gamma v m_0}{eB}$$

The RF frequency is increased as well in order to keep the resonant condition

$$\omega = \frac{eB}{\gamma m_0} = \frac{\omega_{RF}}{h}$$

JUAS - Jan 2017 - E.Métral Page 58

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Phase stability

The graph shows a sinusoidal wave of V_{RF} vs $\phi = \omega_{RF} t$. Two blue dots are shown at different phases: one at ϕ_s (labeled '1') and another at a lower phase (labeled '2').

JUAS - Jan 2017 - E.Métral Page 59

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Phase stability

The top graph shows V_{RF} vs $\phi = \omega_{RF} t$ with a blue dot at ϕ_s . The bottom graph shows $\Delta p/p$ vs ϕ with a blue dot at ϕ_s . The bottom graph is divided into a 'stable region' (inner circles), an 'unstable region' (outer circles), and a 'separatrix' (the boundary between them).

The symmetry of the case with $B = \text{const.}$ is lost

$$\phi_s < \phi < \pi - \phi_s$$

JUAS - Jan 2017 - E.Métral Page 60

LESSON IV

RF acceleration for synchronous particle

RF acceleration for non-synchronous particle

Small amplitude oscillations

Large amplitude oscillations - the RF bucket

Synchrotron frequency and tune

Tracking

Nonadiabatic theory needed "close" to transition

Double RF systems

RF acceleration for synchronous particle - energy gain

Let's assume a synchronous particle with a given $\phi_s > 0$

We want to calculate its rate of acceleration, and the related rate of increase of B, f .

$$p = e B \rho$$

Want to keep $p = \text{const}$

$$\rightarrow \frac{dp}{dt} = e \rho \frac{dB}{dt} = e \rho \dot{B}$$

Over one turn: $(\Delta p)_{\text{turn}} = e \rho \dot{B} T_{\text{rev}} = e \rho \dot{B} \frac{2\pi R}{\beta c}$

We know that (relativistic equations) : $\Delta p = \frac{\Delta E}{\beta c}$

$$\rightarrow (\Delta E)_{\text{turn}} = e \rho \dot{B} 2\pi R$$

RF acceleration for synchronous particle - phase

$(\Delta E)_{\text{turn}} = e \rho \dot{B} 2\pi R$ On the other hand, for the synchronous particle: $(\Delta E)_{\text{turn}} = e \hat{V}_{RF} \sin \phi_s$

$$e \rho \dot{B} 2\pi R = e \hat{V}_{RF} \sin \phi_s$$

Therefore: 1. Knowing ϕ_s , one can calculate the increase rate of the magnetic field needed for a given RF voltage:

$$\rightarrow \dot{B} = \frac{\hat{V}_{RF}}{2\pi \rho R} \sin \phi_s$$

2. Knowing the magnetic field variation and the RF voltage, one can calculate the value of the synchronous phase:

$$\sin \phi_s = 2\pi \rho R \frac{\dot{B}}{\hat{V}_{RF}} \rightarrow \phi_s = \arcsin \left(2\pi \rho R \frac{\dot{B}}{\hat{V}_{RF}} \right)$$

RF acceleration for synchronous particle - frequency

$$\omega_{RF} = h \omega_s = h \frac{e}{m} \langle B \rangle \quad \left(v = \frac{e}{m} B \rho \right)$$

$$\omega_{RF} = h \frac{e}{m} \frac{\rho}{R} B$$

From relativistic equations:

$$\omega_{RF} = \frac{hc}{R} \sqrt{\frac{B^2}{B^2 + (E_0/ec\rho)^2}}$$

Let

$$B_0 = \frac{E_0}{ec\rho} \rightarrow f_{RF} = \frac{hc}{2\pi R} \left(\frac{B}{B_0} \right) \frac{1}{\sqrt{1 + (B/B_0)^2}}$$

Example: PS

At the CERN Proton Synchrotron machine, one has:

$$R = 100 \text{ m}$$

$$\dot{B} = 2.4 \text{ T/s}$$

100 dipoles with $l_{eff} = 4.398 \text{ m}$. The harmonic number is 20

Calculate:

1. The energy gain per turn
2. The minimum RF voltage needed
3. The RF frequency when $B = 1.23 \text{ T}$ (at extraction)

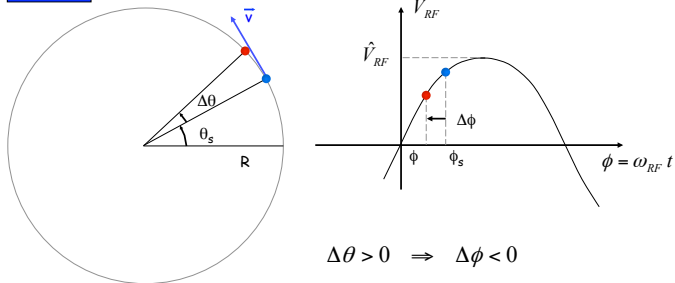
RF acceleration for non synchronous particle

Parameter definition (subscript "s" stands for synchronous particle):

$f = f_s + \Delta f$	revolution frequency
$\phi = \phi_s + \Delta\phi$	RF phase
$p = p_s + \Delta p$	Momentum
$E = E_s + \Delta E$	Energy
$\theta = \theta_s + \Delta\theta$	Azimuth angle

$$ds = R d\theta$$

$$\theta(t) = \int_{t_0}^t \omega(\tau) d\tau$$



Since $f_{RF} = h f_{rev}$

$\Delta\phi = -h \Delta\theta$

Over one turn θ varies by 2π
 ϕ varies by $2\pi h$

Parameters versus $\dot{\phi}$

1. Angular frequency

$$\theta(t) = \int_{t_0}^t \omega(\tau) d\tau$$

$$\Delta\omega = \frac{d}{dt}(\Delta\theta)$$

$$= -\frac{1}{h} \frac{d}{dt}(\Delta\phi)$$

$$= -\frac{1}{h} \frac{d}{dt}(\phi - \phi_s)$$

$$\frac{d\phi_s}{dt} = 0 \text{ by definition}$$

$$= -\frac{1}{h} \frac{d\phi}{dt}$$

$\Delta\omega = -\frac{1}{h} \frac{d\phi}{dt}$

JUAS Parameters versus ϕ

2. Momentum

$$\eta = \frac{d\omega/\omega}{dp/p} = \frac{\Delta\omega/\omega}{\Delta p/p}$$

$$\Delta p = \frac{p_s}{\omega_s} \frac{\Delta\omega}{\eta} = \frac{p_s}{\omega_s \eta} \left(-\frac{1}{h} \frac{d\phi}{dt} \right)$$

→

$$\Delta p = -\frac{p_s}{\omega_s \eta h} \frac{d\phi}{dt}$$

3. Energy

$$\frac{dE}{dp} = v$$

$$\frac{\Delta E}{\Delta p} = v = \omega R$$

→

$$\Delta E = -\frac{R p_s}{\eta h} \frac{d\phi}{dt}$$

JUAS - Jan 2017 - E.Métral Page 69

JUAS Derivation of equations of motion

Energy gain after the RF cavity

$$(\Delta E)_{turn} = e \hat{V}_{RF} \sin \phi$$

$$(\Delta p)_{turn} = \frac{e}{\omega R} \hat{V}_{RF} \sin \phi$$

Average increase per time unit

$$\frac{(\Delta p)_{turn}}{T_{rev}} = \frac{e}{2\pi R} \hat{V}_{RF} \sin \phi \quad 2\pi R \dot{p} = e \hat{V}_{RF} \sin \phi \quad \text{valid for any particle !}$$

→

$$2\pi (R \dot{p} - R_s \dot{p}_s) = e \hat{V}_{RF} (\sin \phi - \sin \phi_s)$$

JUAS - Jan 2017 - E.Métral Page 70

JUAS Derivation of equations of motion

After some development (see J. Le Duff, in Proceedings CAS 1992, CERN 94-01)

$$2\pi \frac{d}{dt} \left(\frac{\Delta E}{\omega_s} \right) = e \hat{V}_{RF} (\sin \phi - \sin \phi_s)$$

An approximated version of the above is

$$\frac{d(\Delta p)}{dt} = \frac{e \hat{V}_{RF}}{2\pi R_s} (\sin \phi - \sin \phi_s)$$

Which, together with the previously found equation

$$\frac{d\phi}{dt} = -\frac{\omega_s \eta h}{p_s} \Delta p$$

Describes the motion of the non-synchronous particle in the longitudinal phase space ($\Delta p, \phi$)

JUAS - Jan 2017 - E.Métral Page 71

JUAS Equations of motion I

$$\begin{cases} \frac{d(\Delta p)}{dt} = A (\sin \phi - \sin \phi_s) \\ \frac{d\phi}{dt} = B \Delta p \end{cases}$$

with $A = \frac{e \hat{V}_{RF}}{2\pi R_s}$

B is not the magnetic field (induction) here! $B = -\frac{\eta h \beta_s c}{p_s R_s}$

JUAS - Jan 2017 - E.Métral Page 72

Equations of motion II

1. First approximation - combining the two equations:

$$\frac{d}{dt} \left(\frac{1}{B} \frac{d\phi}{dt} \right) - A (\sin \phi - \sin \phi_s) = 0$$

We assume that *A* and *B* change very slowly compared to the variable $\Delta\phi = \phi - \phi_s$

$$\rightarrow \frac{d^2\phi}{dt^2} + \frac{\Omega_{sync}^2}{\cos \phi_s} (\sin \phi - \sin \phi_s) = 0$$

with $\frac{\Omega_{sync}^2}{\cos \phi_s} = -AB$ We can also define: $\Omega_0^2 = \frac{\Omega_{sync}^2}{\cos \phi_s} = \frac{e \hat{V}_{RF} \eta h c^2}{2\pi R_s^2 E_s}$

Small amplitude oscillations

2. Second approximation

$$\begin{aligned} \sin \phi &= \sin(\phi_s + \Delta\phi) \\ &= \sin \phi_s \cos \Delta\phi + \cos \phi_s \sin \Delta\phi \end{aligned}$$

$$\Delta\phi \text{ small} \Rightarrow \sin \phi \approx \sin \phi_s + \cos \phi_s \Delta\phi$$

$$\frac{d\phi_s}{dt} = 0 \Rightarrow \frac{d^2\phi}{dt^2} = \frac{d^2}{dt^2} (\phi_s + \Delta\phi) = \frac{d^2\Delta\phi}{dt^2}$$

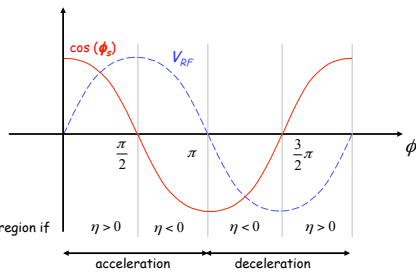
by definition

$$\rightarrow \frac{d^2\Delta\phi}{dt^2} + \Omega_{sync}^2 \Delta\phi = 0 \quad \text{Harmonic oscillator !}$$

Stability condition for ϕ_s

Stability is obtained when the angular frequency of the oscillator, Ω_{sync}^2 is real positive:

$$\Omega_{sync}^2 = \frac{e \hat{V}_{RF} \eta h c^2}{2\pi R_s^2 E_s} \cos \phi_s \Rightarrow \Omega_{sync}^2 > 0 \Leftrightarrow \eta \cos \phi_s > 0$$



Stable in the region if

Small amplitude oscillations - orbits

For $\eta \cos \phi_s > 0$ the motion around the synchronous particle is a stable oscillation:

$$\begin{cases} \Delta\phi = \Delta\phi_{max} \sin(\Omega_{sync} t + \phi_0) \\ \Delta p = \Delta p_{max} \cos(\Omega_{sync} t + \phi_0) \end{cases}$$

with $\Delta p_{max} = \frac{\Omega_{sync}}{B} \Delta\phi_{max}$

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Synchrotron (angular) frequency and synchrotron tune (for small amplitudes)

$$\Omega_{sync} = \omega_s \sqrt{\frac{e \hat{V}_{RF} h}{2\pi \beta^2 E_s} \eta \cos \phi_s}$$

$$\Omega_{sync} = 2\pi f_{sync}$$

$$\omega_s = 2\pi f_s$$

Number of synchrotron oscillations per turn:

$$Q_{sync} = \frac{\Omega_{sync}}{\omega_s} = \sqrt{\frac{e \hat{V}_{RF} h}{2\pi \beta^2 E_s} \eta \cos \phi_s}$$
 "synchrotron tune"

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Large amplitude oscillations

$$\ddot{\phi} + \frac{\Omega_s^2}{\cos \phi_s} (\sin \phi - \sin \phi_s) = 0$$

Multiplied by $\dot{\phi}$ and integrating

$$\frac{\dot{\phi}^2}{2} - \frac{\Omega_s^2}{\cos \phi_s} (\cos \phi + \phi \sin \phi_s) = cte$$

Constant of motion

here $\dot{\phi} = 0$
 $\phi = \pi - \phi_s$

Equation of the separatrix

$$\frac{\dot{\phi}^2}{2} - \frac{\Omega_s^2}{\cos \phi_s} (\cos \phi + \phi \sin \phi_s) = -\frac{\Omega_s^2}{\cos \phi_s} [\cos(\pi - \phi_s) + (\pi - \phi_s) \sin \phi_s]$$

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Phase space separatrix and particle trajectories

- Equation of the bucket separatrix

$$\frac{\dot{\phi}}{\Omega_s} = \pm \sqrt{\frac{2}{\cos \phi_s} [\cos \phi + \phi \sin \phi_s - \cos(\pi - \phi_s) - (\pi - \phi_s) \sin \phi_s]}$$

- Equation of a particle trajectory

$$\frac{\dot{\phi}}{\Omega_s} = \pm \sqrt{\frac{2}{\cos \phi_s} [\cos \phi + \phi \sin \phi_s] + Cte}$$

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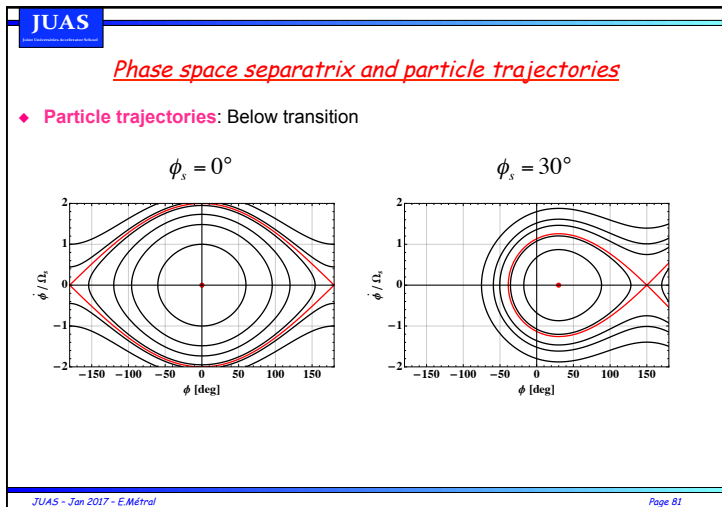
Phase space separatrix and particle trajectories

- (Bucket) separatrices: Below transition
- Above transition

$\phi_s = 0^\circ$	$\phi_s = 30^\circ$	$\phi_s = 180^\circ$	$\phi_s = 150^\circ$
$\phi_s = 60^\circ$	$\phi_s = 85^\circ$	$\phi_s = 120^\circ$	$\phi_s = 95^\circ$

$\phi_s \Rightarrow \pi - \phi_s$

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◆ Change of variables if one wants to use $(\Phi, \Delta E)$ or $(\Delta t, \Delta E)$ instead of $(\Phi, d\Phi/dt)$

$$\Delta\phi = \phi - \phi_s$$

$$= \omega_{RF} \Delta t$$

$$= h \omega_s \Delta t$$

$$\Delta p = \frac{\Delta E}{\beta_s c}$$

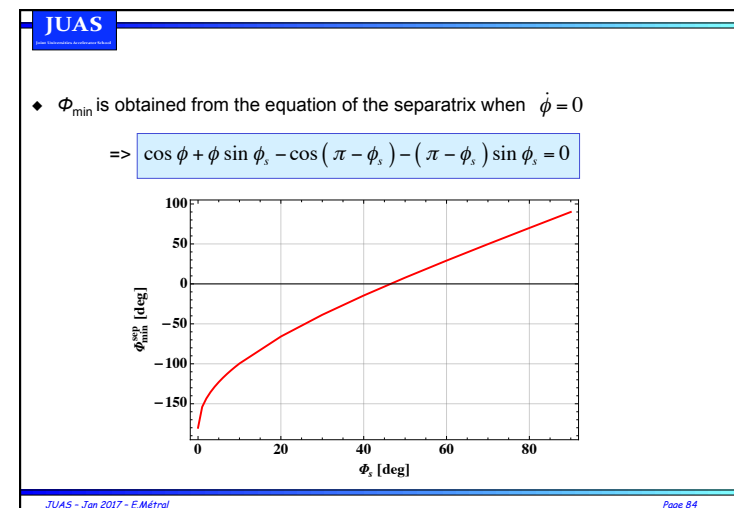
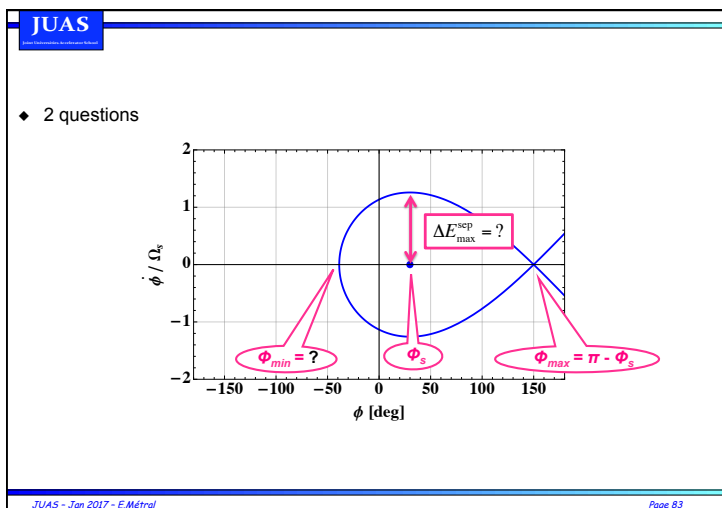
$$\dot{\phi} = -\frac{\eta h c}{\beta_s E_s R_s} \Delta E$$

=> System of 2 equations to be solved

$$\frac{d}{dt}(\Delta E) = \frac{e \hat{V}_{RF} \omega_s}{2\pi} [\sin(\phi_s + h \omega_s \Delta t) - \sin \phi_s]$$

$$\frac{d}{dt}(\Delta t) = -\frac{\eta}{\beta_s^2 E_s} \Delta E$$

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- ◆ $\Delta E_{\max}^{\text{secp}}$ is obtained from the equation of the separatrix when $\phi = \phi_s$

$$\Delta E_{\max}^{\text{secp}}(\phi_s) = \sqrt{\frac{2\beta_s^2 E_s e \hat{V}_{RF}}{\pi h \eta}} G(\phi_s) \quad \text{with} \quad G(\phi_s) = \frac{\sqrt{2 \cos \phi_s - (\pi - 2\phi_s) \sin \phi_s}}{\sqrt{2}}$$

$\phi_s = 0^\circ$ $\phi_s = 30^\circ$ $\phi_s = 60^\circ$ $\phi_s = 85^\circ$

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- ◆ nTOF bunch in the CERN PS (near transition)

Average machine radius: R [m]	100
Bending dipole radius: ρ [m]	70
\dot{B} [T/s]	2.2
\hat{V}_{RF} [kV]	200
h	8
α_p	0.027
Longitudinal (total) emittance: ϵ_L [eVs]	2
Number of protons/bunch: N_b [1E10 p/b]	800
Norm. rms. transverse emittance: $\epsilon_{x,y}^*$ [μm]	5
Trans. average betatron function: $\beta_{x,y}$ [m]	16
Beam pipe [cm \times cm]	3.5 \times 7
Trans. tunes: $Q_{x,y}$	6.25

20 kV at injection
=> $\gamma_t \approx 6.1$

JUAS - Jan 2017 - E.Métral Page 86

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Tracking

- ◆ The motion of the particles can be tracked turn by turn using the recurrence relation (between turn n and turn $n+1$)

$$\Delta E_{n+1} = \Delta E_n + e \hat{V}_{RF} [\sin \phi_n - \sin \phi_s]$$

$$\phi_{n+1} = \phi_n - \frac{2\pi h \eta}{\beta_s^2 E_s} \Delta E_{n+1}$$

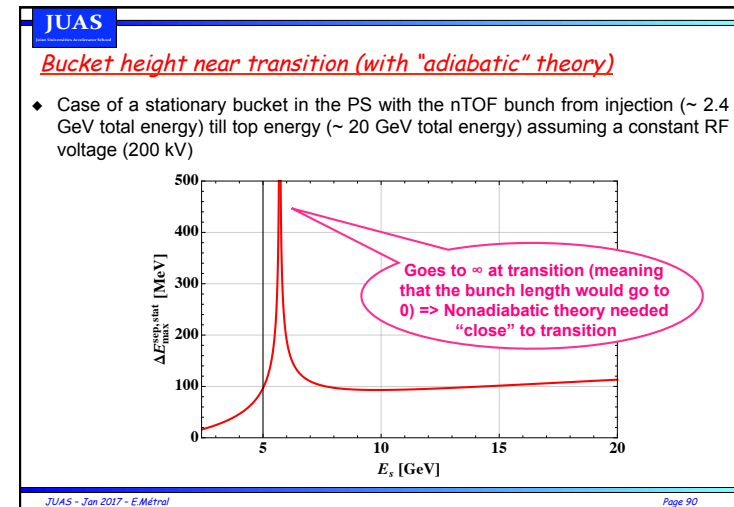
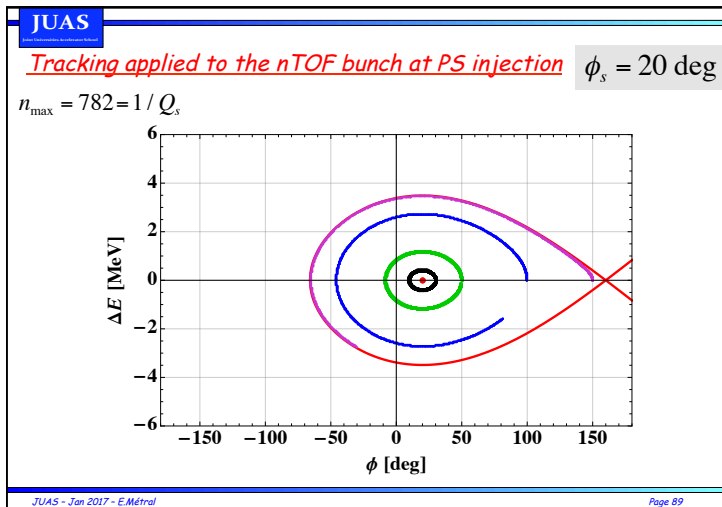
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Tracking applied to the nTOF bunch at PS injection $\phi_s = 0 \text{ deg}$

$n_{\max} = 758 = 1 / Q_s$

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Nonadiabatic theory needed "close" to transition

- Reminder: the (general, nonlinear) equations, which have to be solved, using the variables ($\Delta\phi$, ΔE), are

$$\frac{d \Delta\phi}{dt} = -\frac{h \eta \omega_s}{\beta_s^2 E_s} \Delta E$$

$$\frac{d \Delta E}{dt} = \frac{e \hat{V}_{RF} \omega_s}{2\pi} [\sin(\phi_s + \Delta\phi) - \sin \phi_s]$$

- Assuming here only small amplitude particles

$$\frac{d \Delta E}{dt} = \frac{e \hat{V}_{RF} \omega_s}{2\pi} [\sin(\phi_s + \Delta\phi) - \sin \phi_s] \approx \frac{e \hat{V}_{RF} \omega_s}{2\pi} \cos \phi_s \Delta\phi$$

JUAS - Jan 2017 - E.Métral Page 91

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Nonadiabatic theory needed "close" to transition

$$\Rightarrow \frac{d}{dt} \left(\frac{\beta_s^2 E_s}{h \eta \omega_s} \frac{d \Delta\phi}{dt} \right) - \frac{e \hat{V}_{RF} \omega_s}{2\pi} \cos \phi_s \Delta\phi = 0$$

where in general β_s , E_s , η and ω_s depend on time

- Until now we assumed that these parameters were slowly moving => Adiabatic theory
- However, close to transition the particle will not be able to catch up with the rapid modification of the bucket shape and a nonadiabatic theory is needed

JUAS - Jan 2017 - E.Métral Page 92

Nonadiabatic theory needed "close" to transition

- ◆ Neglecting the slow time variations of all the parameters except $\frac{\eta}{E_s}$,

one has to solve

$$\frac{d}{dt} \left(\frac{E_s}{\eta} \frac{d \Delta \phi}{dt} \right) - \frac{h e \hat{V}_{RF} \omega_s^2 \cos \phi_s}{2 \pi \beta_s^2} \Delta \phi = 0$$

- ◆ Assuming then that $\gamma = \gamma_t + \dot{\gamma} t$, with $t = 0$ at transition,

$$-\eta = \frac{1}{\gamma_t^2} - \frac{1}{\gamma^2} \approx \frac{2 \dot{\gamma} t}{\gamma_t^3} \quad E_s = \gamma E_0 \approx \gamma_t E_0$$

$$\frac{\eta}{E_s} \approx - \frac{2 \dot{\gamma} t}{\gamma_t^4 E_0}$$

Nonadiabatic theory needed "close" to transition

- ◆ The (small amplitude) equation which needs to be solved close to transition is

$$\frac{d}{dt} \left(\frac{T_c^3}{|t|} \frac{d \Delta \phi}{dt} \right) + \Delta \phi = 0$$

with T_c a nonadiabatic time defined by (with E_0 in eV)

$$T_c = \left(\frac{\beta_s^2 E_0 \gamma_t^4}{4 \pi f_s^2 \dot{\gamma} h \hat{V}_{RF} |\cos \phi_s|} \right)^{1/3}$$

~ 1.9 ms for the nTOF bunch in the CERN PS

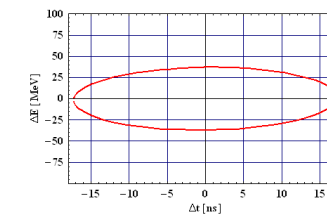
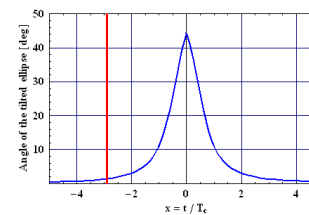
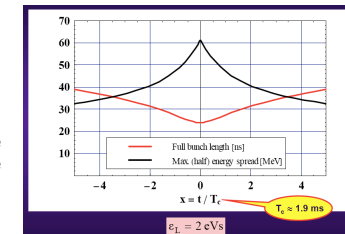
Nonadiabatic theory needed "close" to transition

- ◆ This equation can be solved but the detailed computation is beyond the scope of this course => See for instance (for those interested)

- K.Y. Ng, "Physics of Intensity Dependent Beam Instabilities", World Scientific (2006), p. 691
- E. Métral, USPAS 2009 course, Albuquerque, USA: <http://emetral.web.cern.ch/emetral/USPAS09course/EnvelopeEquations.pdf>

Nonadiabatic theory needed "close" to transition

- ◆ Numerical (analytical) result for the case of the nTOF bunch in the CERN PS



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Double RF systems

- Show that the motion of the particles can be tracked turn by turn using the recurrence relation (between turn n and turn $n+1$)

RF voltage of the 2nd harmonic

Harmonic number of the 2nd harmonic

$$\Delta E_{n+1} = \Delta E_n + e \hat{V}_{RF} \left[\sin \phi_n - \sin \phi_s + \frac{V_{RF2}}{V_{RF}} \left\{ \sin \left[\phi_{s2} + \frac{h_2}{h} (\phi_n - \phi_s) \right] - \sin \phi_{s2} \right\} \right]$$

$$\phi_{n+1} = \phi_n - \frac{2 \pi h \eta}{\beta_s^2 E_s} \Delta E_{n+1}$$

Synchronous phase of the 2nd harmonic

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Double RF systems

$\frac{h_2}{h} = 2$ $\phi_s = \phi_{s2} = 0$

JUAS - Jan 2017 - E.Métral Page 98

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LESSON V

Measurement of the longitudinal bunch profile and Tomography

The pyHEADTAIL simulation code (by Benoit Salvant)

JUAS - Jan 2017 - E.Métral Page 99

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Measurement of the longitudinal bunch profile

=> WALL CURRENT MONITOR = Device used to measure the instantaneous value of the beam current

Courtesy J. Belleman

A Wall Current Monitor

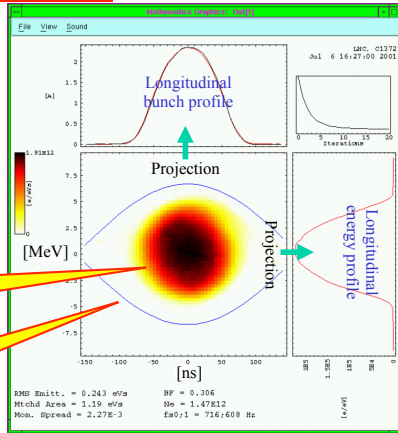
JUAS - Jan 2017 - E.Métral Page 100

Tomography

TOMOSCOPE (developed by S. Hancock, CERN/BE/RF)

The aim of **TOMOGRAPHY** is to estimate an unknown distribution (here the 2D longitudinal distribution) using only the information in the bunch profiles

- Surface = Longitudinal **EMITTANCE** of the bunch = ϵ_L [eV.s]
- Surface = Longitudinal **ACCEPTANCE** of the bucket



The pyHEADTAIL simulation code

See Tutorial by Benoit Salvant