

Do we need HOM dampers on
superconducting cavities
in p linacs?

“Yes, we ~~can~~ ^{do} !!”



Often feeling as

Don Quixote de la Mancha

tilting at windmills

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(HOM damper WS 25 June 2009)

Some history of the last decade (1999 → today):

1) French sc p-linac project:

Examined HOM power coupled from beam

phD: technically very well done but beam stability not considered

Conclusion based on the power analysis:

HOM dampers not necessary: **Conclusion not justified**

2) Eminent colleague^(*): “You cannot excite these modes, (away from machine lines) it is simply not possible ... you are too afraid (to hit such a machine line)”

It is possible : Real beams are not stiff nor regular

Tried my best to ‘lead back to the right path’ : no success !
Occupied by other things (LEP/LHC) till

(*) ... there were/are others ...

3) SNS intends removal of HOM couplers (techn. problems)^(*)

”If your car has problems with the brakes, remove them!

This will solve all your problems” (maybe forever provided you drive fast enough)

4) ... and whispers at CERN: (behind my back, ... ask Roland for confirmation)

“If SNS ... do we ^(SPL) really need”

5) Forced me to ‘move’

Prevent an SPL ‘flop’ :

paycheck is signed by CERN !

(*) For those (SPL, project X, ...) who have not yet decided on their HOM couplers (if):

The SNS HOM coupler has the capacitor of its fundamental mode notch filter up in the ‘hat’: the main field has to pass along the whole length of the coupler, hence is present in the whole coupler, possibly driving MP, heating, arcing ...

The ‘hook-coupler’ (LEP2, LHC) has its fundamental mode notch filter concentrated at the ‘entry’ of the coupler: there is no main field elsewhere in the coupler, only coupled HOM fields. The ‘hook-coupler’ is dismountable: it can be replaced.

Attention: No warranty, the ‘hook coupler’ was only really tested in LEP2 to relatively low field, there may be other hidden snags.

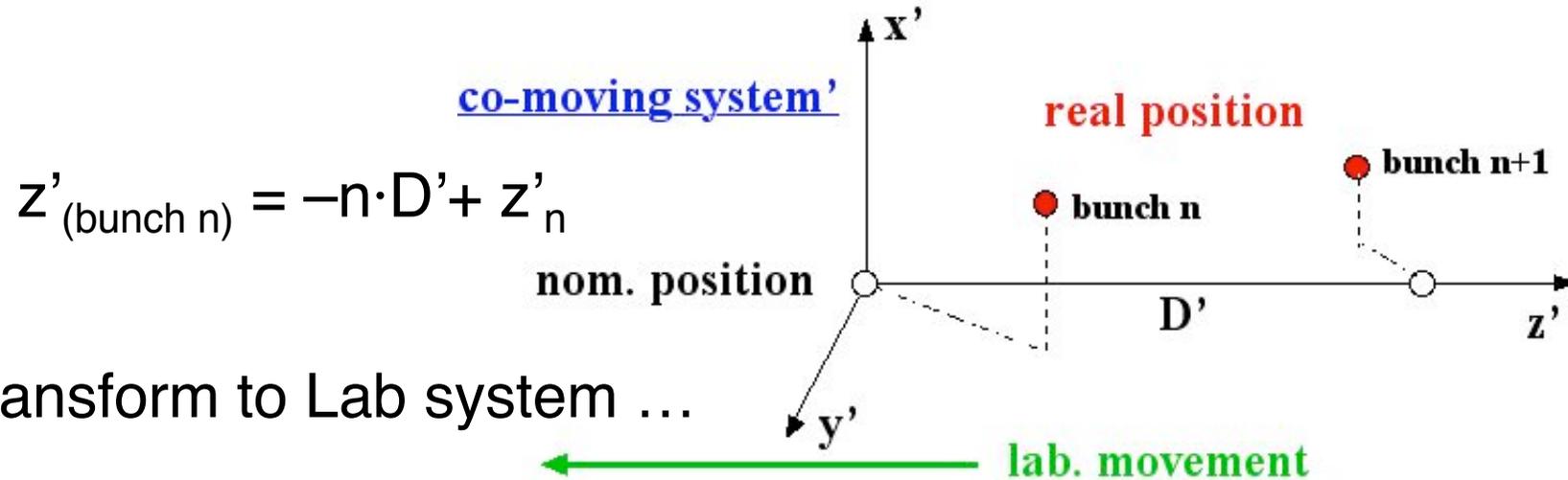
—> Start R&D soon, cutting metal (not Si for CPUs but steel, Cu, Nb, ...!!!) and cook some He

→ in medias res:

No bunch exactly on its nominal position (circular machines and linacs)

In **beam based (co-moving) system**:

all 3 directions equivalent (convention: z=beam-direction ('longitudinal'))



Transform to Lab system ...

Transverse $x=x'$ and $y=y'$ invariant

Longitudinal $z_{(\text{bunch } n)} = v_b \cdot t - n \cdot D + z_n$

More convenient: $D/v \rightarrow T$ (with **regular** inter-bunch time T); $z_n/v \rightarrow dt_n$

$$t_{\text{arr},n} = n \cdot T + dt_n$$

Is assumption of regular time-of-arrival $t_{arr,n} = n \cdot T$ valid ?

Transverse movement:

Assumption $t_{arr,n} = n \cdot T$ (about) **OK** (multipole modes have $V_{||}$ for displaced beam !!)

(x, p_x) and (y, p_y) can. conj. variables for transverse movements

Longitudinal movement:

Assumption $t_{arr,n} = n \cdot T$ **is not valid**

(dt_n, dE_n) **the** can. conjugate variables for longit. movement

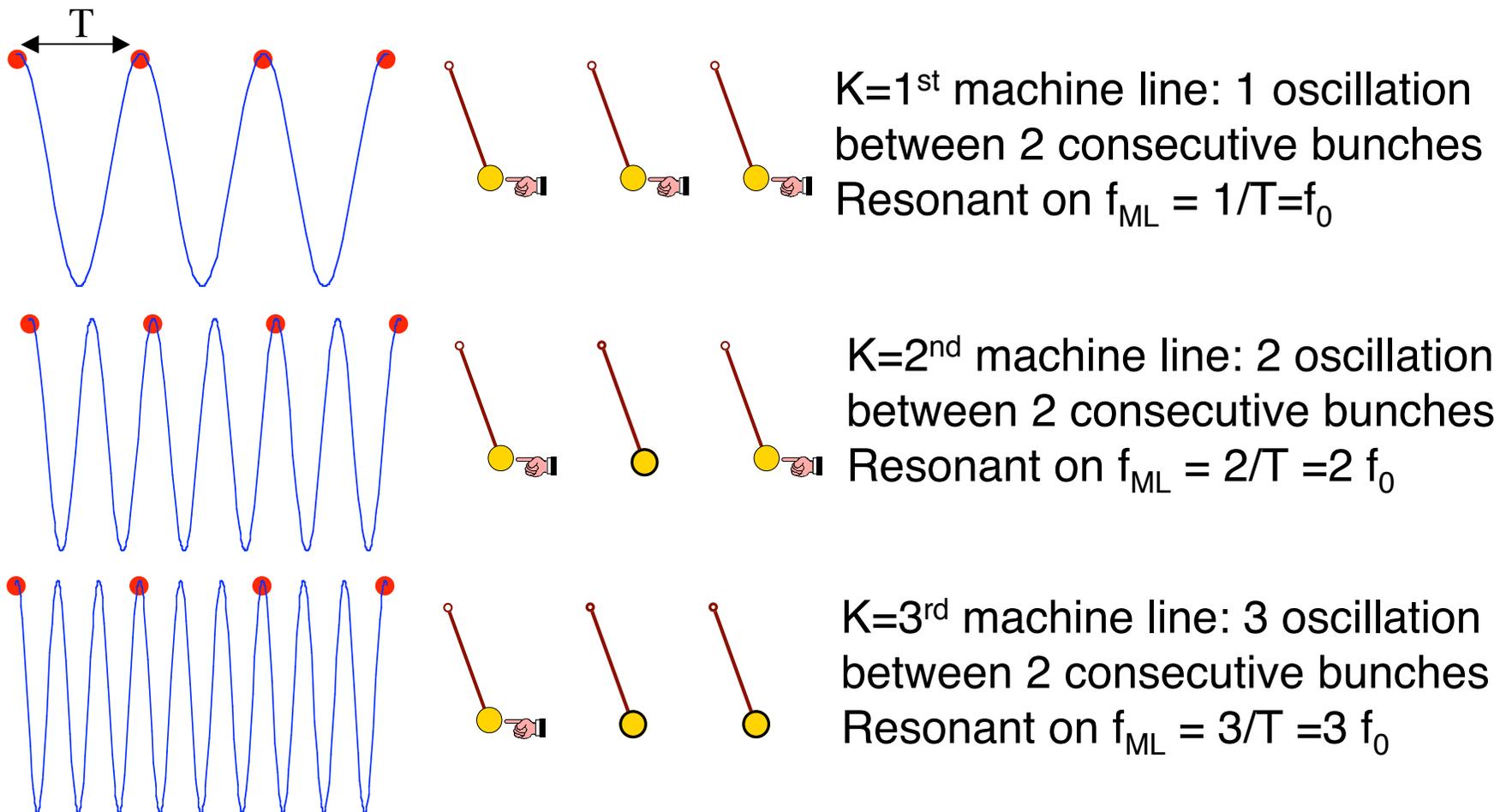
need: $t_{arr,n} = n \cdot T + dt_n$

Imposed regularity = longitudinal oscillations can not exist;
in circ. machines: no longitudinal CBI is possible
in **contradiction to all observations**

Sketch transverse : easier to draw and see

Bunches arrive stroboscopically: irrelevant how the (RF) wave wiggles between two bunches provided 'it is back in time' when the next bunch arrives

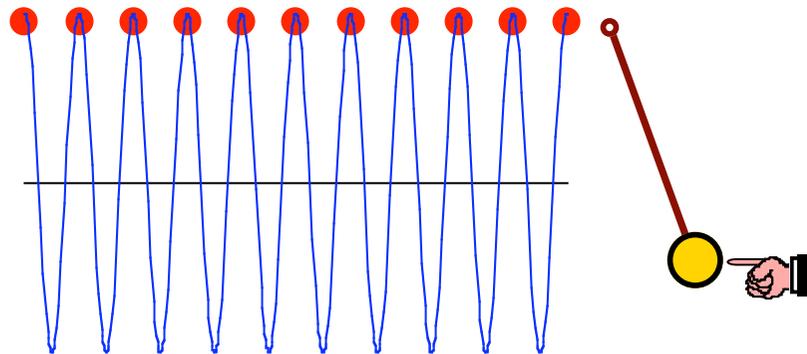
👉 'tip' the observing oscillator: spect. analyzer, cavity mode ..



displaced bunches: harmonic position modulation

(express arbitrary displacement-pattern by spatial Fourier components)

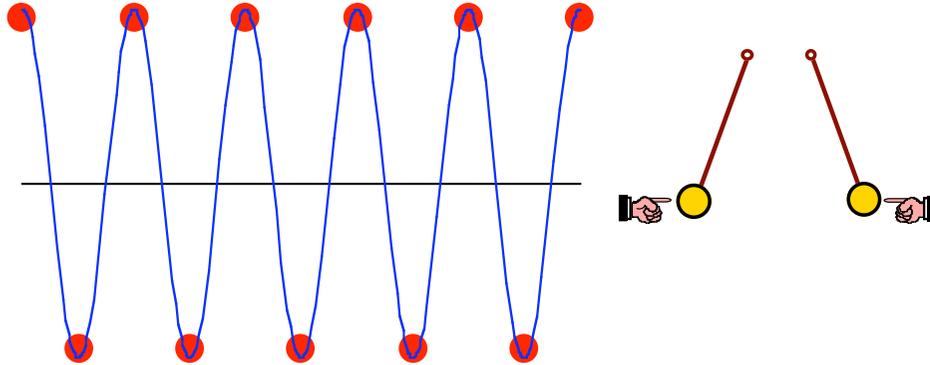
- Example 1: Constant (**transverse**) ‘up’_(right) displacement
For transverse examples: bunch n : $t_n = n \cdot T$



resonant oscillation: $\cos(2\pi f_0 \cdot t)$
 $f = f_0 = 1/T$;

When bunches arrive, they are always there where also
the wave is ... **resonant interaction**

- Example 2: Up-down (right-left) pattern ($\mu = 1/2$); Bunch n: $t_n = n \cdot T$
 μ : bunch-to-bunch phase shift parameter

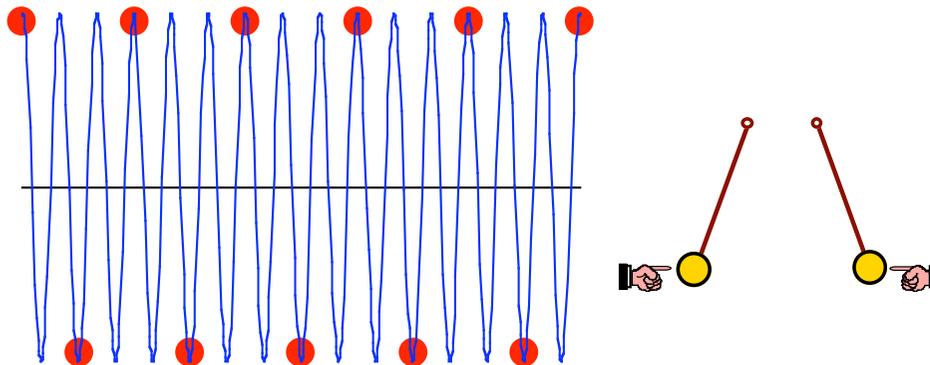


Displ. $\cos(1/2 * 2\pi n) = \pm 1$

$f = f_0 \cdot (1 - 1/2) = 0.5 \cdot f_0 = 0.5/T$

Lower sideband: Not on ML

Same pattern !!!!!

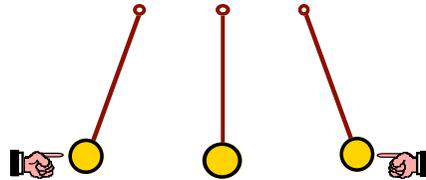
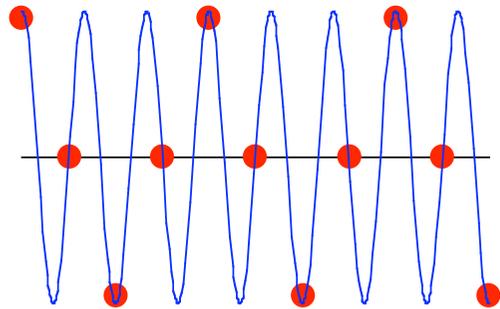


$f = f_0 \cdot (1 + 1/2) = 1.5 \cdot f_0$

Upper sideband: Not on ML

Bunches are always there (up .. down) where the wave is ...

- Example 3: Up-zero-down-zero pattern ($\mu = 1/4$); $t_n = n \cdot T$

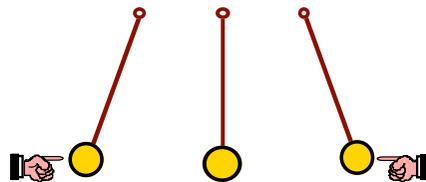
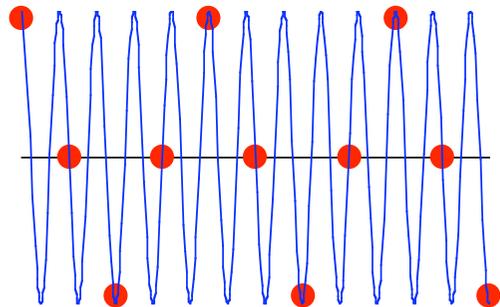


displacement $\cos(1/4 \cdot 2\pi n)$

$$f = f_0 \cdot (1 - 1/4) = 0.75 \cdot f_0$$

Lower sideband : Not on ML

Same pattern !!!!!

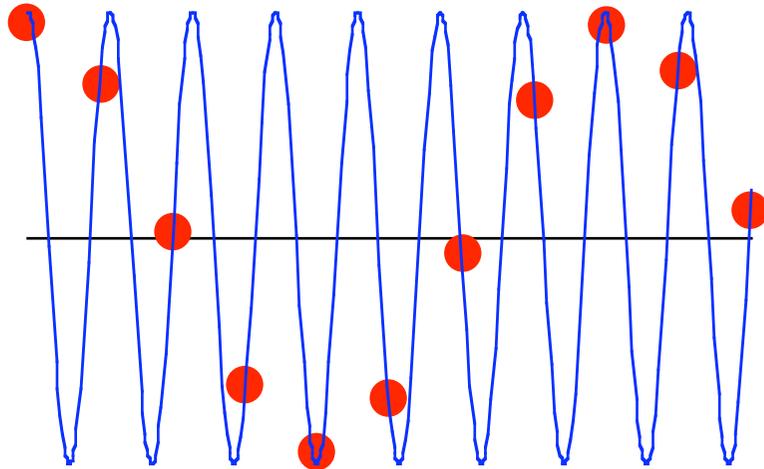


$$f = f_0 \cdot (1 + 1/4) = 1.25 \cdot f_0$$

Upper sideband : Not on ML

Bunches are always there (up, down, zero) where the wave is ...

- Example 4: **anything** (even irrational number) (' $\mu = 0.123$ ')

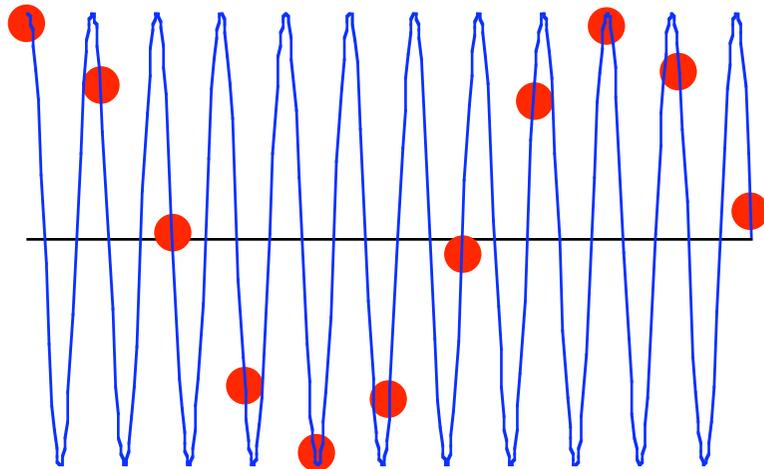


Displacement $\cos(0.123 \cdot 2\pi n)$

$$f = f_0 \cdot (1 - 0.123) = 0.877 \cdot f_0$$

Lower sideband : Not on ML

Same pattern !!!!!

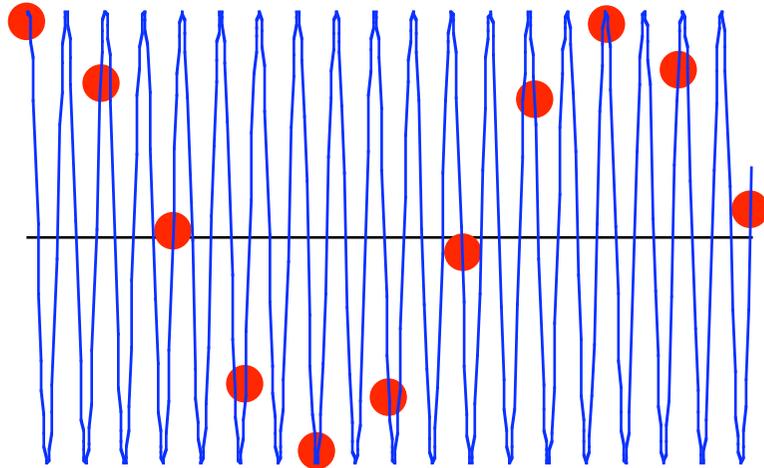


$$f = f_0 \cdot (1 + 0.123) = 1.123 \cdot f_0$$

Upper sideband : Not on ML

Bunches are always there where the wave is ...

- Example 5: An additional integer number of oscillations (K=2)
(between other machine lines)

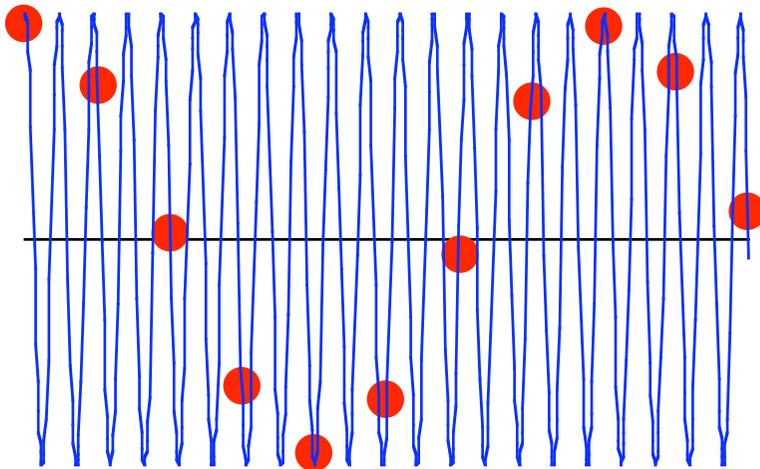


Displacement $\cos(0.123 \cdot 2\pi n)$

$$f = f_0 \cdot (2 - 0.123) = 1.877 \cdot f_0$$

Lower sideband : Not on ML

Same pattern !!!!!



$$f = f_0 \cdot (2 + 0.123) = 2.123 \cdot f_0$$

Upper sideband : Not on ML

Bunches are always there where the wave is ...

Numerical example (the other way round):

Not pattern is given but the

$$f_{\text{HOM}} = 1234.567890 \text{ MHz (may be anywhere)}$$

Bunch repetition rate 350 MHz, $T=1/350\text{MHz}$

$$1234.567890 / 350 = 3.527... = 3 + 0.527 (= 4 - 0.473)$$

f_{HOM} above 3rd, below 4th machine line (ML)

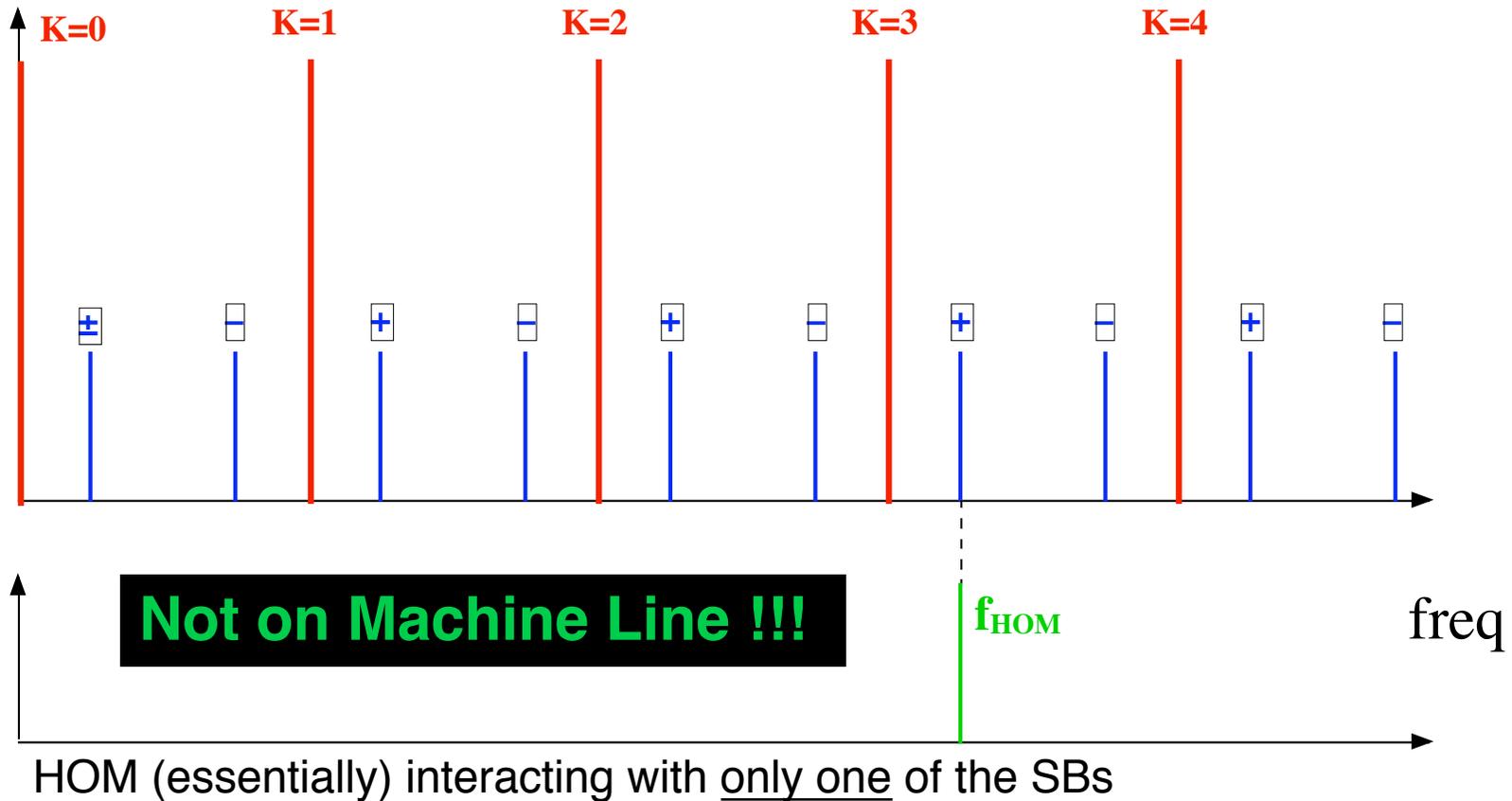
Bunch pattern: $\mu_1=0.527_{\text{xxx}}$ phase advance per bunch
(or $\mu_2=0.473_{\text{xxx}}$... details see note)

**ANY f_{HOM} has a matching pattern: one SB of this
pattern matching the f_{HOM}**

Summary: The spectrum of a beam with (harmonic) pattern

Machine lines: $f_{ML} = K/T$

Side bands: $f_{SB} = (K \pm \mu)/T$



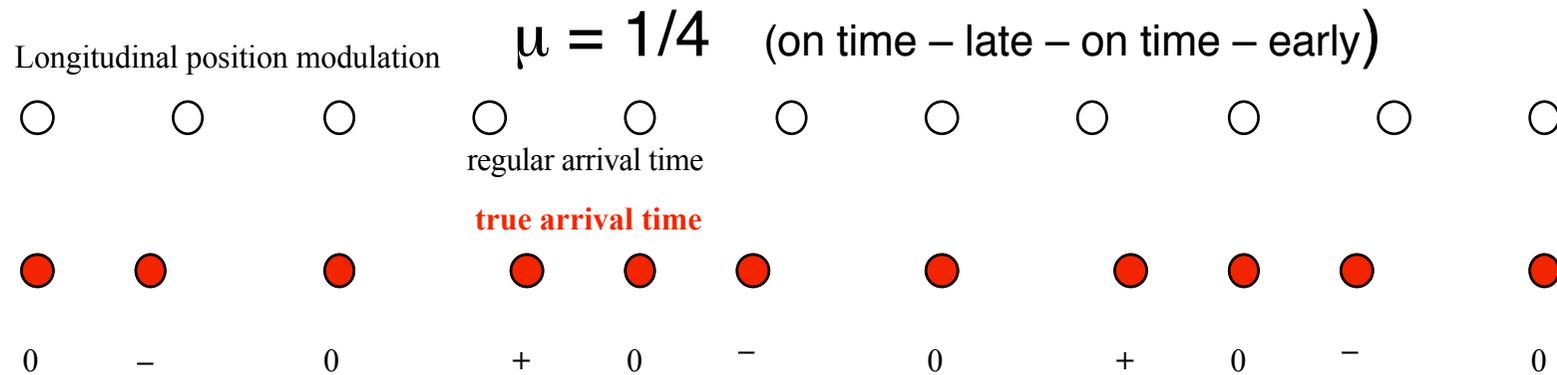
In circ. mach. pattern are not static but oscillate in time with betatron/synchrotron frequency:

Observed SBs shifted by these frequencies wrsp. μ

longitudinal

(more difficult to sketch and to imagine)

Position modulation: time advance/delay of bunch arrival:



For harmonic pattern with (any) phase-shift parameter μ

$$t_{arr,n} = T \cdot (n + \delta \cdot \cos(2\pi \cdot n \cdot \mu)) \text{ arrival time modulation}$$

Arrival time bunch n $t_{arr} = n \cdot T + \delta \cdot T \cdot \cos(2\pi \cdot n \cdot \mu)$

Sorry : (no good idea for a sketch): need some mathematics / RF theory

For **wave**: replace integer n by continuous $n \rightarrow t / T$

For wave 'around' K^{th} ML

$$\omega_{K,ML} = 2\pi \cdot K / T;$$

$$A = \cos(\omega_{k,ML} \cdot (t + \delta \cdot T \cdot \cos(\Omega \cdot t))) ; \Omega = 2\pi / T \cdot \mu$$

Express as sum of (for $\delta T \ll 1$ only carrier (ML) and 1st order SBs important)

0th order: Carrier = machine line

$$A_{ML} = \cos(\omega_{k,ML} \cdot t)$$

1st order: sideband(s)

$$A_{SB} = \delta \cdot T \cdot \omega_{k,ML} \cdot \sin(\omega_{k,ML} \cdot t) \cos(\Omega \cdot t)$$

lower SB

upper SB

$$A_{SB} = \delta \cdot T \cdot \omega_{k,ML} / 2 \cdot (\sin((\omega_{k,ML} - \Omega) \cdot t) + \sin((\omega_{k,ML} + \Omega) \cdot t))$$

plus m^{th} order SBs at $\omega_{SB,m} = \omega_{ML,K} \pm m \cdot \Omega$ (only significant for 'large' δ)

See 'sketches' again but replace "transverse" => "longitudinal"

"up-down" ("right-left") => "early - late"

No principal difference (only more difficult to draw/imagine/...)

Intermediate recapitulation:

- **Hypothetical** beam with arbitrary constraint

dt=0: only perfect bunches {similar transverse x=0 and/or y=0}

Beam \leftrightarrow HOM interaction only if f_{HOM} close to machine line

- **True** beam : no such arbitrary constraints

'free variable': dt \neq 0 (\longleftrightarrow $t_{\text{arr}} = n T + dt$) { similar transverse x \neq 0 and/or y \neq 0}

Allows pattern in

dt (longitudinal) {similar x y (transverse)}

with ANY (spatial) period:

Beam \leftrightarrow HOM interaction possible for f_{HOM} **ANYWHERE**
with respect to machine lines

Short Intermezzo:

Coupled Bunch (Mode) Instabilities

Accepted fact plague circular machines: need HOM dampers !!!!

First explained by

Frank Sacherer:

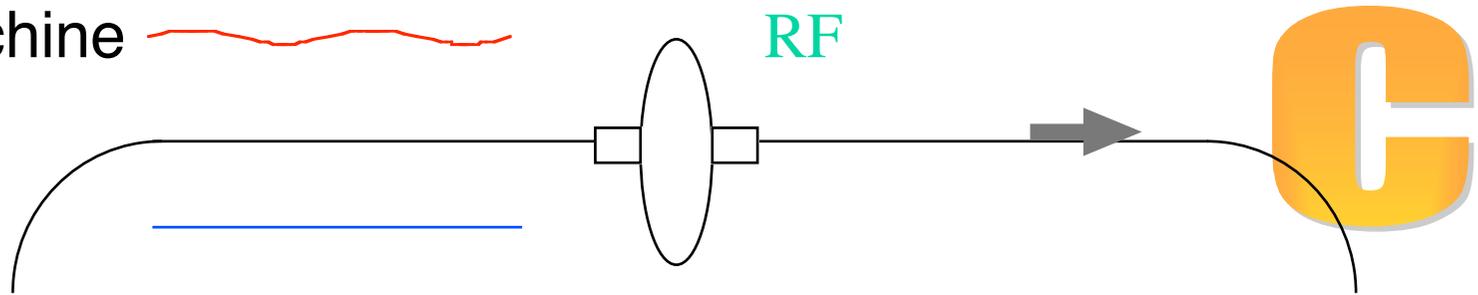
Genius of beam dynamics
(died untimely 1978
in a mountain accident)



Theory 'universal', but loaded with 'some mathematics'.
For point-bunches one can 'sketch' the physics ...

Circular machine

$V=0$
 $dE=0$
 $dt = \dots$
(later)

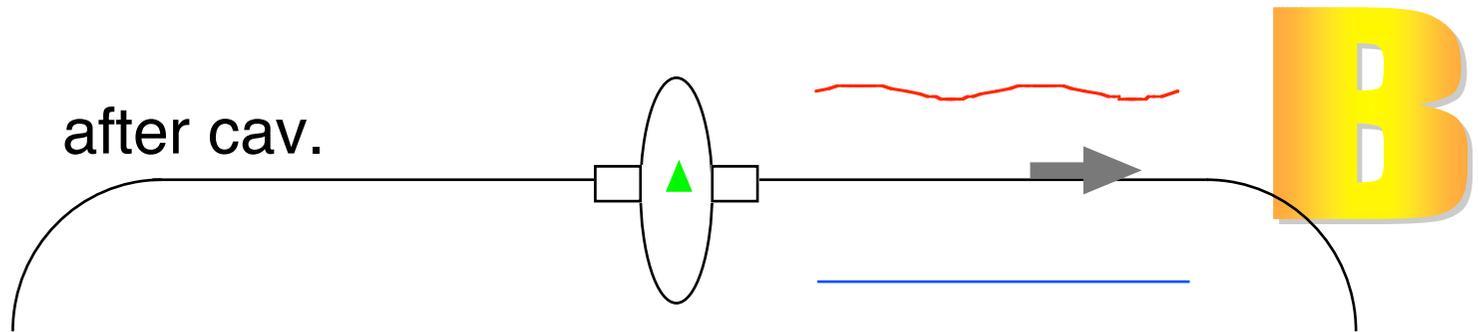


RF

C

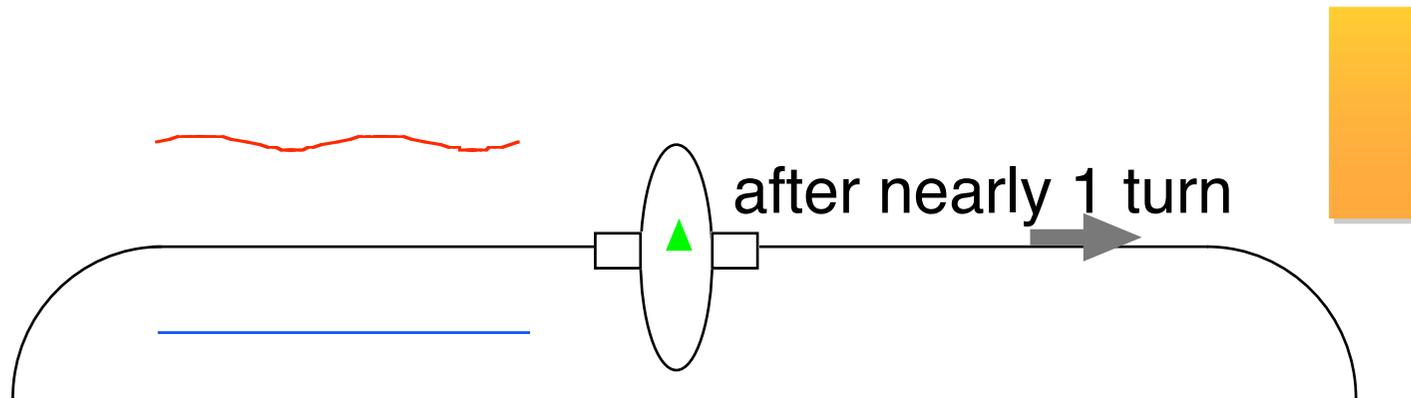
after cav.

$V +$ ($dt \neq 0$)
 $dE=0$ (same)
 dt (same)



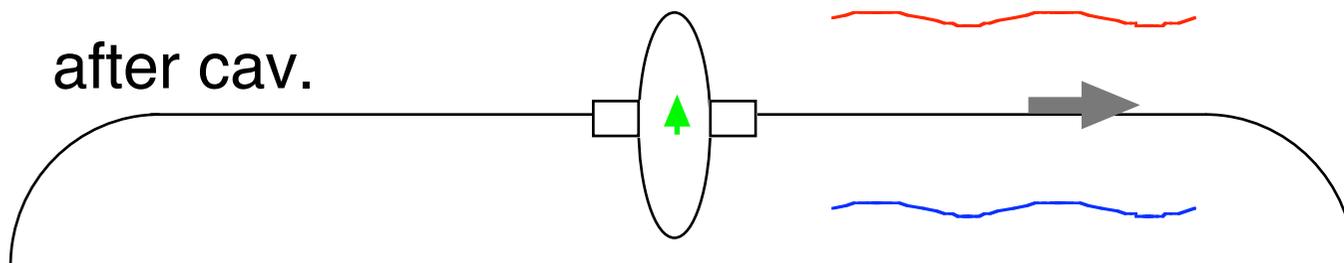
after nearly 1 turn

V (same)
 $dE=0$ (same)
 dt (same)

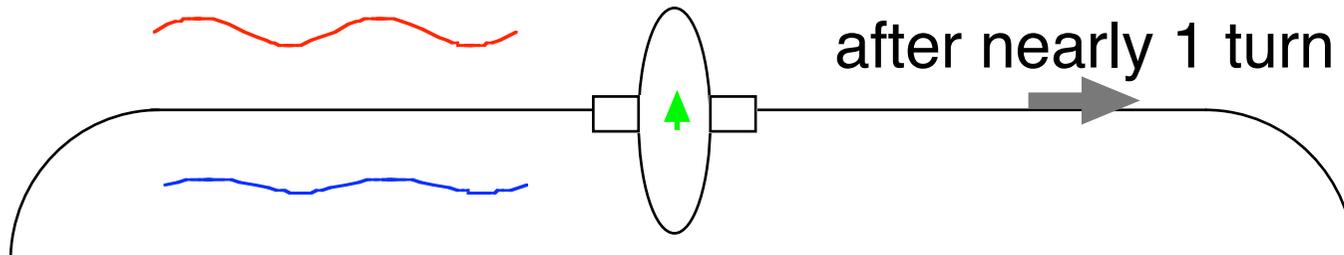


B

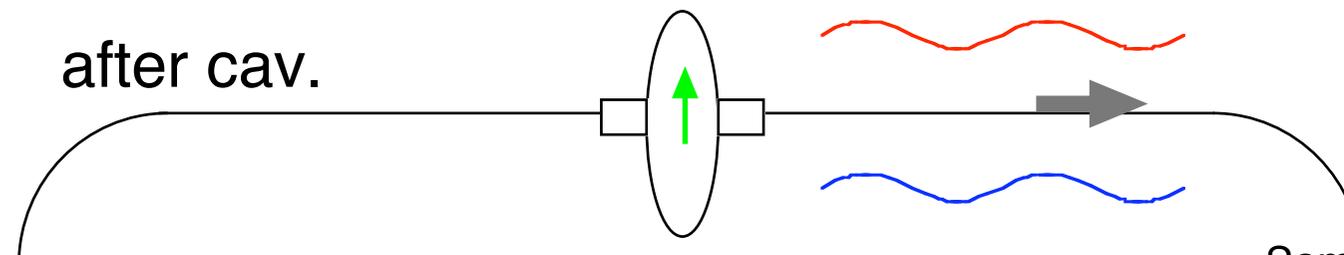
$V +$ ($dt \neq 0$)
 $dE +$ ($V \neq 0$)
 dt (same)



V (same)
 dE (same)
 $dt +$ ($dE \neq 0$)



$V +$ ($dt \neq 0$)
 $dE +$ ($V \neq 0$)
 dt (same)



Natural feedback loop: blows up exponentially
(if phases are 'supporting' ($\approx 50/50$ chance))

Same case
but larger
amplitudes
!!

HOM voltage V changes by factor g per turn:

$$V_n = V_0 \cdot g^n \quad (\text{in parallel } (dt, dE) = (dt_0, dE_0) \cdot g^n)$$

Where is first V_0 , dt_0 , dE_0 coming from ? (need at least one of them)

Noise ... on the injected bunches (or other effects...)

- Blowing (=noise) an organ pipe or over an empty Coke bottle excites fundamental resonance and all HOMs !!!
- Scratching with the bow (=noise) a violin string excites fundamental resonance and all HOMs!!!

Very efficient processes

... and never refuses to work !!!

HOM filters its own frequency out of the noise initial step V_0

(lousy example) **10^8 protons per bunch** e.g. beam of 2.5 mA at $T=1/350$ MHz
centre position of 10^8 particles has relative scatter of 10^{-4} :
**charge centre have a bunch-to-bunch jitter
of 10^{-4} bunch-lengths (Schottky like noise)**

There is much more noise in the real world:

... RF noise on main voltage, injector jitter, ... bunch charge jitter

No hope for $V_0=0$: if $|g|>1$, CBI takes off

All imperfections (as noise) are important ingredients !!!

Not to be excluded from a realistic simulation !!!

The 1 Million



for Europeans



for US citizens:
in Gold we trust

Question

Is a similar mechanism possible in a linac ?

(for transverse: seems agreement) **only longitudinal here**

- beam-cavity interaction identical (in linac or circular machine)
- Bunch sequence:
 - circular machine: bunches ‘come back’ with their pattern:
memory in HOM and bunches, bunch noise only at injection
 - linac: always new bunches: memory in HOM only;
but new noise contributions -> ‘random walk up’ for V_H

• the process “**dE** produces **dt** over drift” works in

- circular machine: over one turn (RF concentrated) **dt/dE≠0**
- linac: from cavity to cavity (drift distance L)

for time-of-flight t_f

(for more details ask Albert)

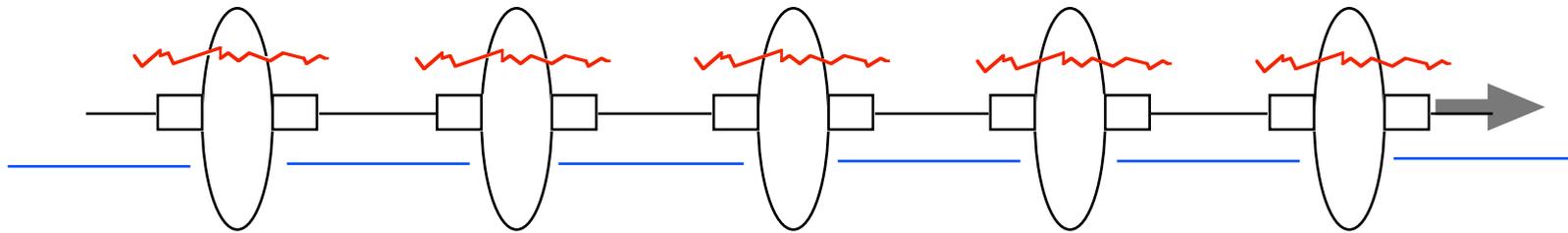
$$\frac{dt_f}{dE} = - \frac{L}{c \cdot m_0 c^2 \cdot (\gamma^2 - 1)^{3/2}}$$

- **electrons** $\gamma \gg 1$: $dt/dE \approx 0$: even $dE \neq 0 \rightarrow dt \approx 0$: ‘no’ problem
- **heavy protons**: **dt/dE≠0** : there might be a problem !!

How self-excitation might work in a linac

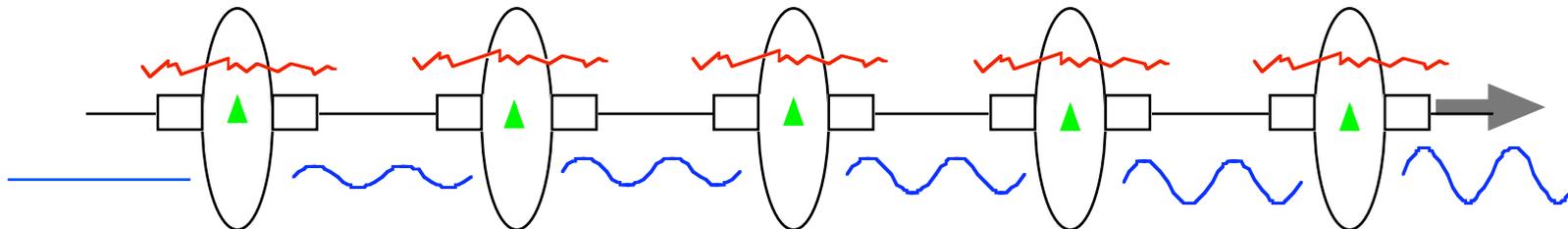
Heuristic 3-cavity model with simplified beam dynamics: was • [mathematically analyzed](#) and • numerically iterated (agreement). Shows that under certain conditions [beam may 'blow up longitudinally'](#); gives some (coarse) parameter dependencies (too lengthy now, see note).

Start: random **dt-noise** passes the whole linac, $dE=0$, $V=0$



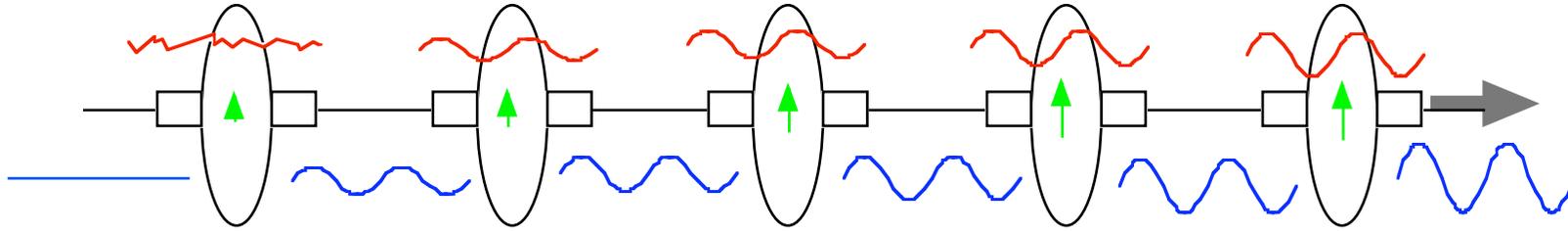
dt-noise excites V (.. coke bottle ..); V excites dE

(dE larger the more downstream: more V 'seen')

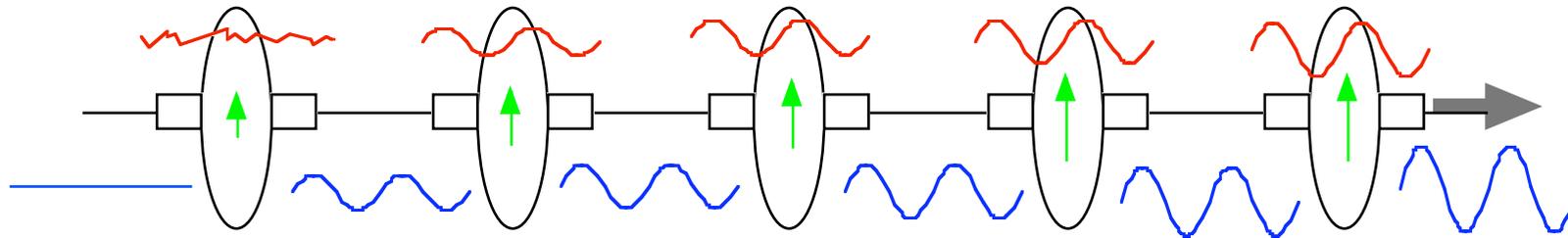


dE (by drift) drives coherent dt (superimposed to new noise)

(dt larger the more downstream: larger dE there)



larger (coherent) dt excites larger V ; larger V excites larger dE ; larger dE excites larger dt (and so on)



It might be possible !!

Does it really take place ? Ask nature ->

Do simulations

How the Simulation is done

- Give nature a chance: no ‘prejudice’ incorporated in model:
dE and dt both free variables as all HOM voltages $V_{H,m}$
- No theoretical assumptions whatsoever (except fundamental physics laws):
simply inject bunch after bunch into the ‘number-cruncher’
(if specified : every one with its proper injection jitter)
- Nature decides on **dt, dE, $V_{H,m}$** tracking bunches along the linac
(= its substitute: the ‘number-cruncher’)

If nature always replies (except for f_{HOM} very close to MLs) :

dt \approx 0; dE \approx 0; $V_{H,m} \approx$ 0 : HOM dampers not absolutely necessary

If nature replies (even for f_{HOM} far away from MLs) (.... accidental direct hit onto ML)

$V_{H,m} \gg 0, dt \gg 0, dE \gg 0$: one should consider HOM dampers

(and above limits)

5 lines C++ code = the essential physics !!! for $(dt, dE) \rightarrow (dt', dE')$

(Nothing to hide (§): have a try with these lines)

Va, c0Fac: complex variables, all others real (double)

```
dE = dE + VRF[i]*cos(dt*omMainRF + phiS0) - dECav[i]; // main RF
psi = dt*omMode[i]; // dt equivalent HOM phase angle
dE = dE + real(Va[i])*cos(psi) - imag(Va[i])*sin(psi) - selfV; // HOM
Va[i] = (Va[i] - complex(dVInd*cos(psi), -dVInd*sin(psi)))*c0Fac[i];
dt = dt + dtde[i]*dE; // time slip till next cavity
```

pre-definitions:

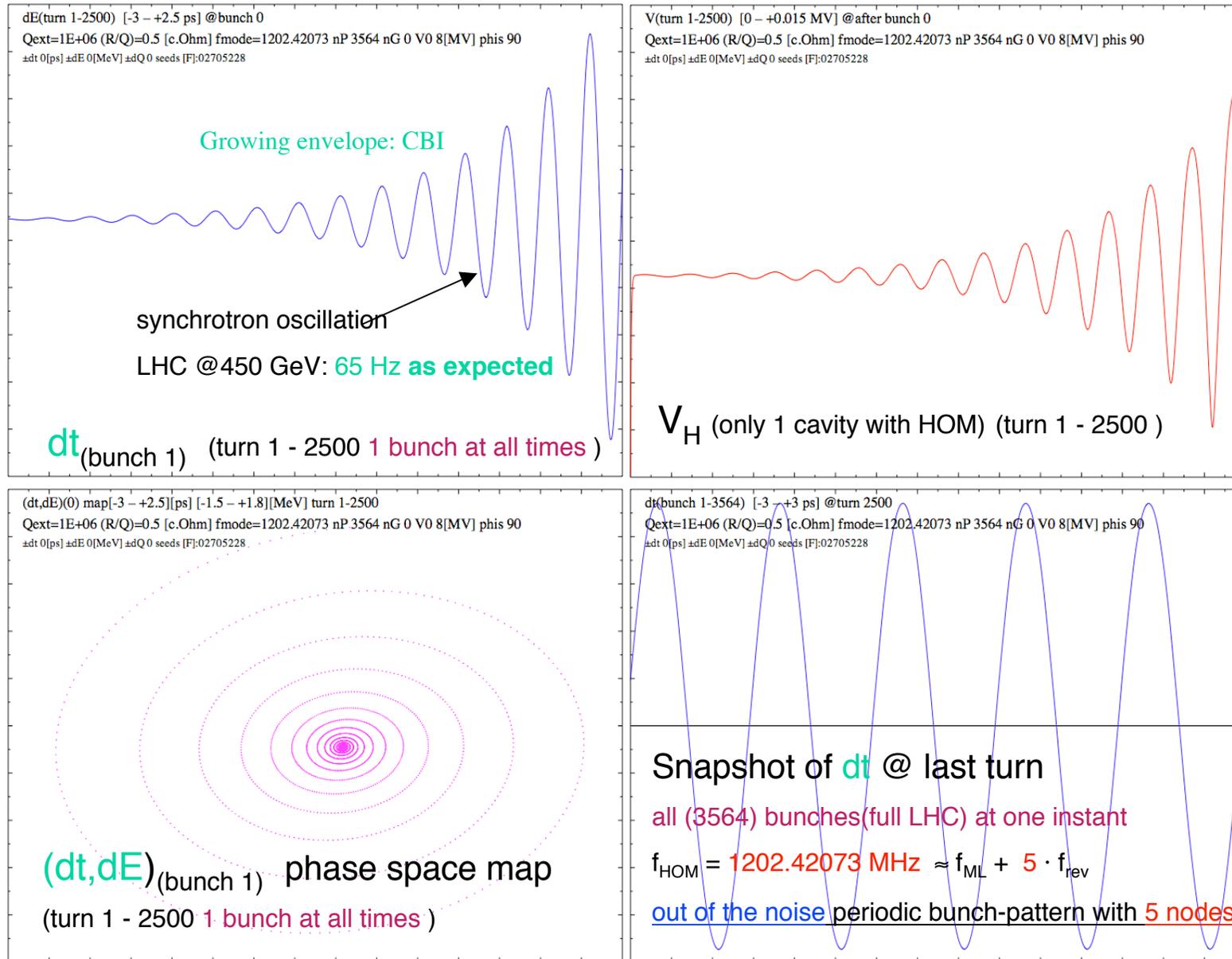
```
dVInd=qb*RQ*<omMode>; // defined > 0 here !!
selfV=dVInd/2;
dECav[i] = VRF[i]*cos(phiS0); // nominal E-gain at cavity i
dtde[i] // relativistic dt-slip(E)
c0Fac[i] // complex damping/phase factor over T
```

(§) to be completely honest: a) $dE = dE + \dots$ for non C-programmers here (in code $dE += \dots$)

b) Code with indexed variables (as `Va[i]=`) is easier to read for humans. The running code is an absolutely equivalent version (checked) replacing indices by C-pointers (as `*VaPtr++=`); it should run faster. But the code optimizer (Xcode IDE, Apple Inc.) is so clever that there is an only insignificant CPU-time difference. Still the C-pointer code was kept ...

c) The apparent double calculation of $\sin(\psi)$ and $\cos(\psi)$ is 'caught' by the optimizer

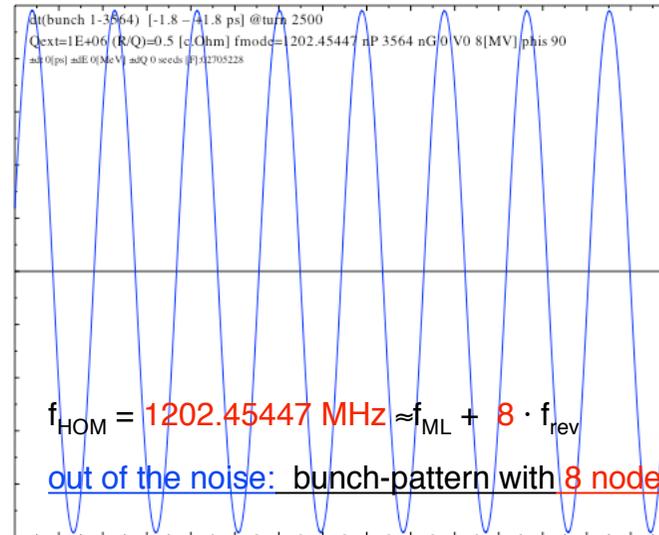
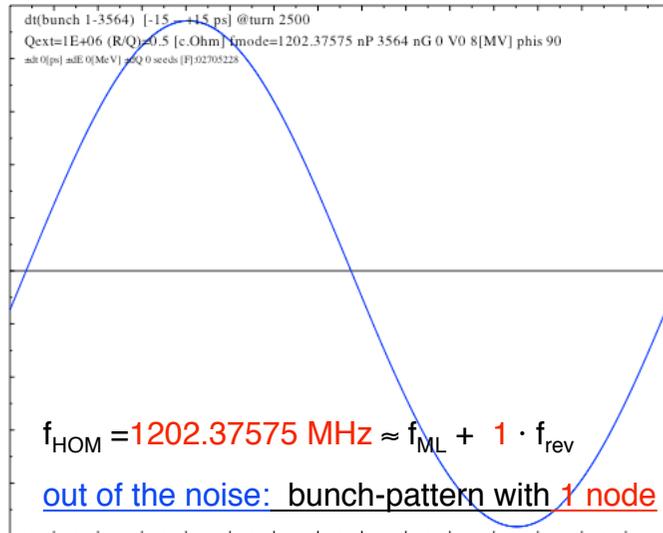
Study: What **these 5 lines** do in a **synchrotron** (program slightly modified^(*)):
 LHC with 3564 bunches (&). The **expected CBI images** ? **Yes, here they are !**



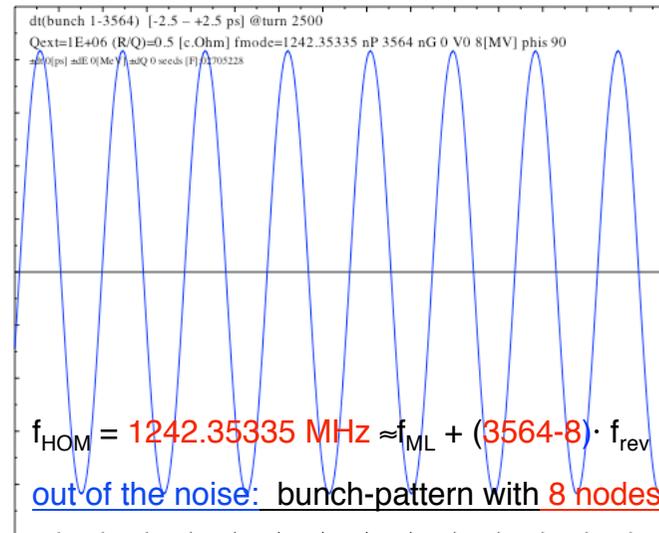
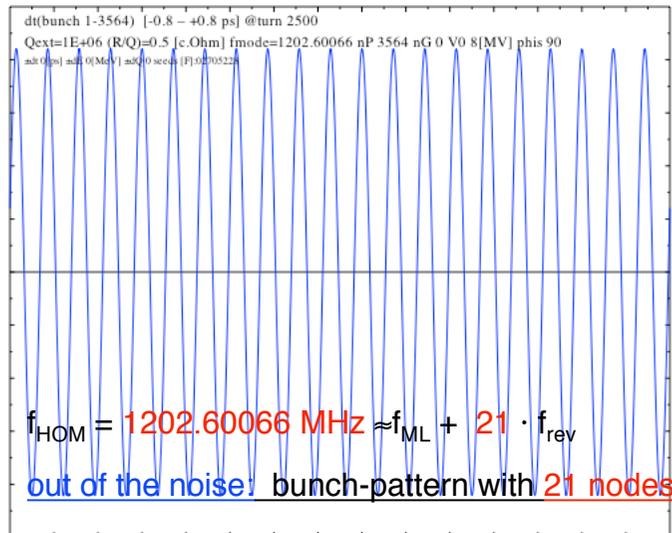
(*) periodic bunch repetition, single cavity; (&) p·c=450GeV inj. coast, no beam gap, dt/dE for LHC $\gamma_t=53.7$, $V_{RF}=8\text{MV}$ @ 400MHz

Matching pattern \longleftrightarrow $f_{\text{HOM}} \approx f_{\text{ML}} + m \cdot f_{\text{rev}} \quad (0 \leq m < 3564)$

Nothing assumed or imposed: pattern is 'born out of the noise':



upper SB

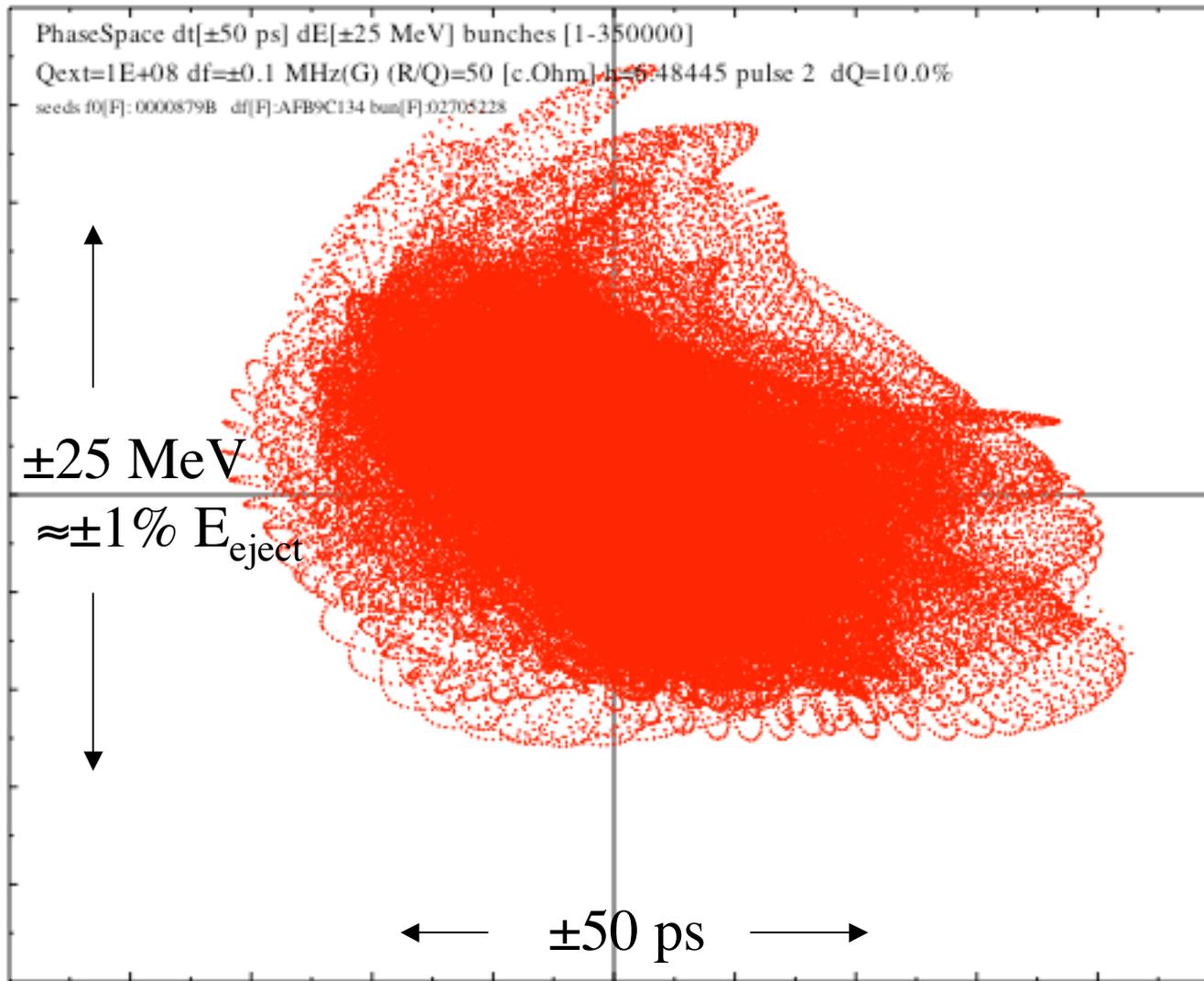


lower SB

Linac simulations (generic p-linac similar SNS/SPL)

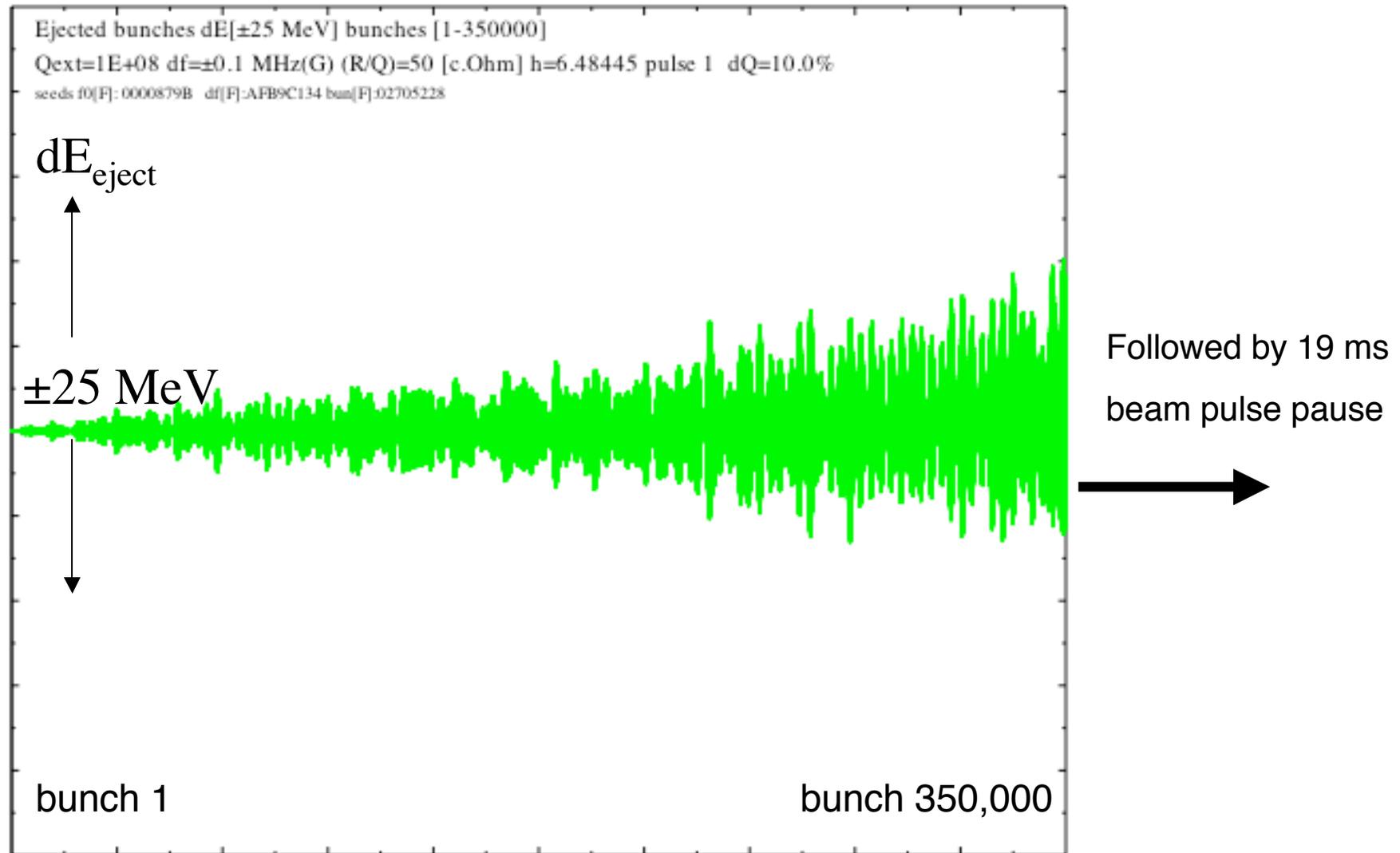
- acceleration 150 → 3150 MeV; 150 cavities ; $V_{RF}=20.7$ MV
 - **only one** HOM considered; $V_{RF} \cdot \cos(\varphi_{s0}) = 20$ MV
 - centre $\langle f_H \rangle$ at any **random frequency (no relation to MLs)**
(between $K=6$ and $K=7$; Δf -range = 352 MHz, 3–3.5 f_{fund} below cut-off)
 - individual f_H scatters with $\sigma_{\Delta f} = 100$ kHz (or more) around $\langle f_H \rangle$
 - $I_{b,DC} = 400$ mA (10x design as by SNS simul. : safety factor)
 - $(R/Q) = 50$ circuit $\Omega = 100$ linac Ω (or less)
 - $Q_{ext} = 10^8$ (or less 10^5)
 - pulse(s) of **350,000 bunches** (1 ms) + 19 ms pause (50 Hz rep rate)
possibly consecut. pulses: keep V_H over beam pulse pause
 - **q_b -jitter 10%** (or dt jitter 1 ps @ inj.; or no scatter but $\langle f_H \rangle$ close ML)
- (and many others conditions, see note)

Bunch phase-space dot-map at ejection: 350,000 bunches



Simulation with $(R/Q)=50\Omega$; $I_b=400\text{mA}$; $Q_{\text{ext}}=10^8$;
 $\sigma_{\Delta F}=100\text{ kHz}$; bunch charge scatter Gaussian 10%

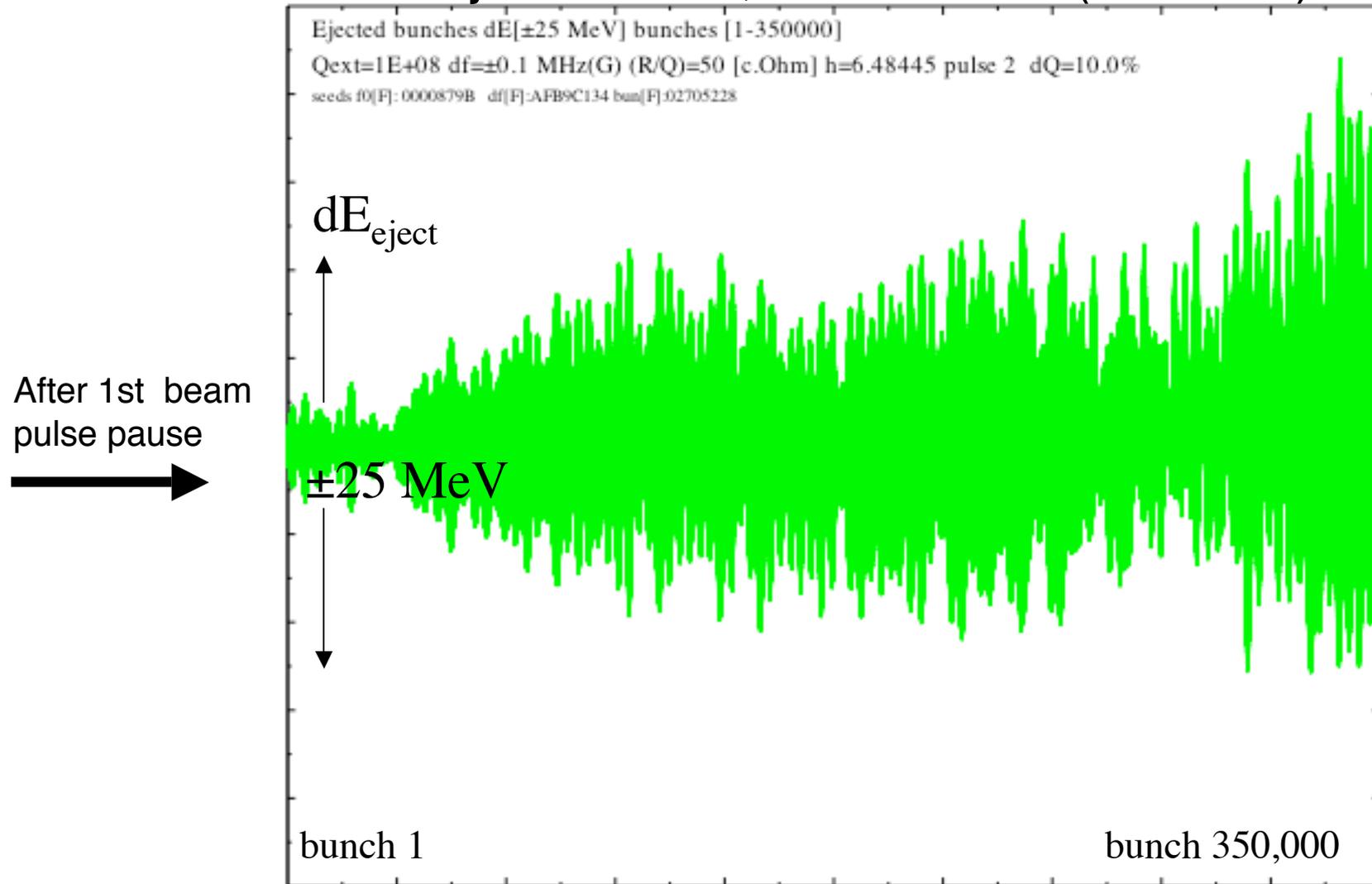
dE at ejection: 350,000 bunches (0 - 1 ms)



Conditions as before

dE versus bunch-number (time): **first pulse**: dE starts at zero

dE at ejection: 350,000 bunches (0 - 1 ms)

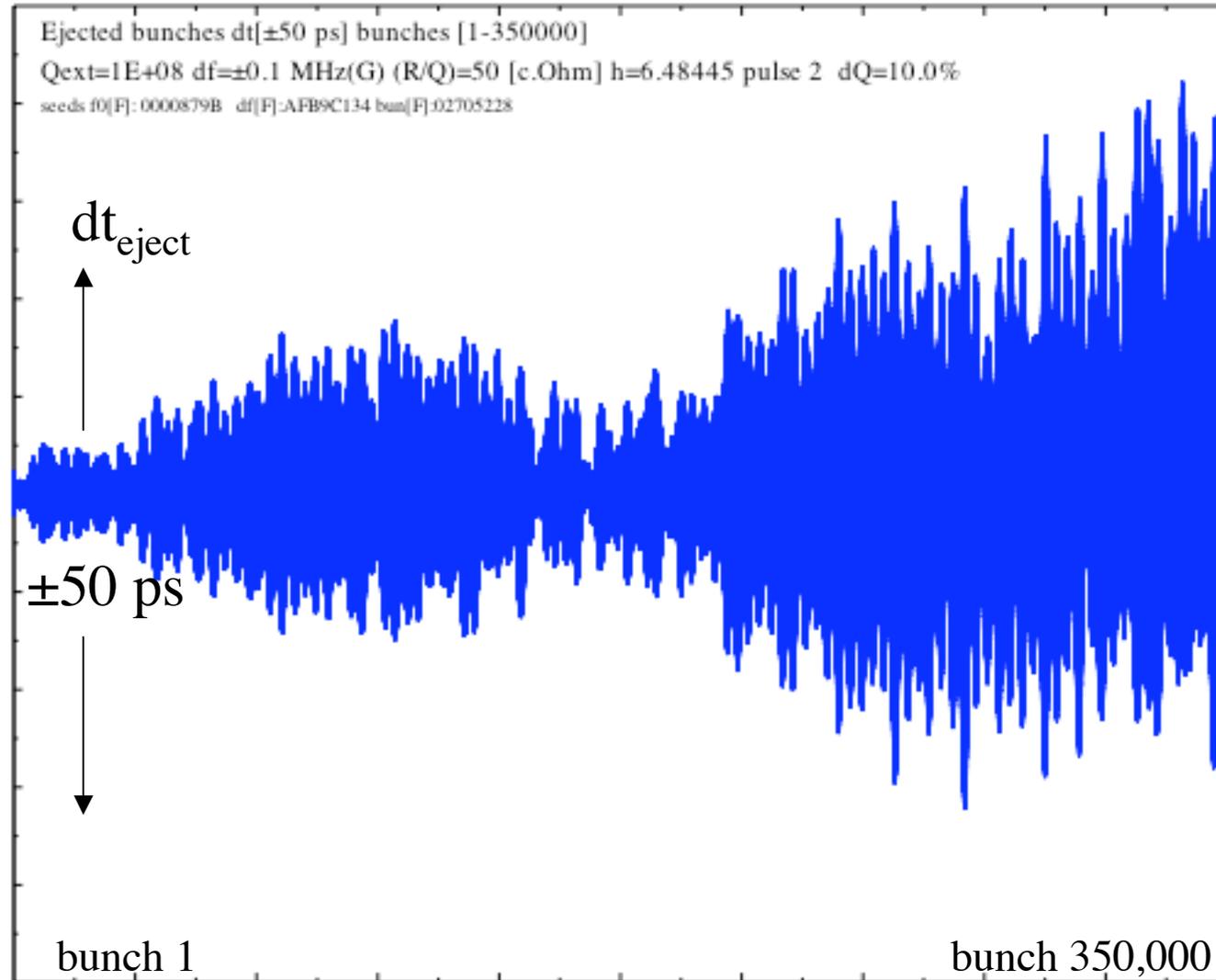


Conditions as before

2nd pulse: does not start at zero

residual V_H from previous pulse at $Q_{ext}=10^8$

dt at ejection: 350,000 bunches (0 - 1 ms)

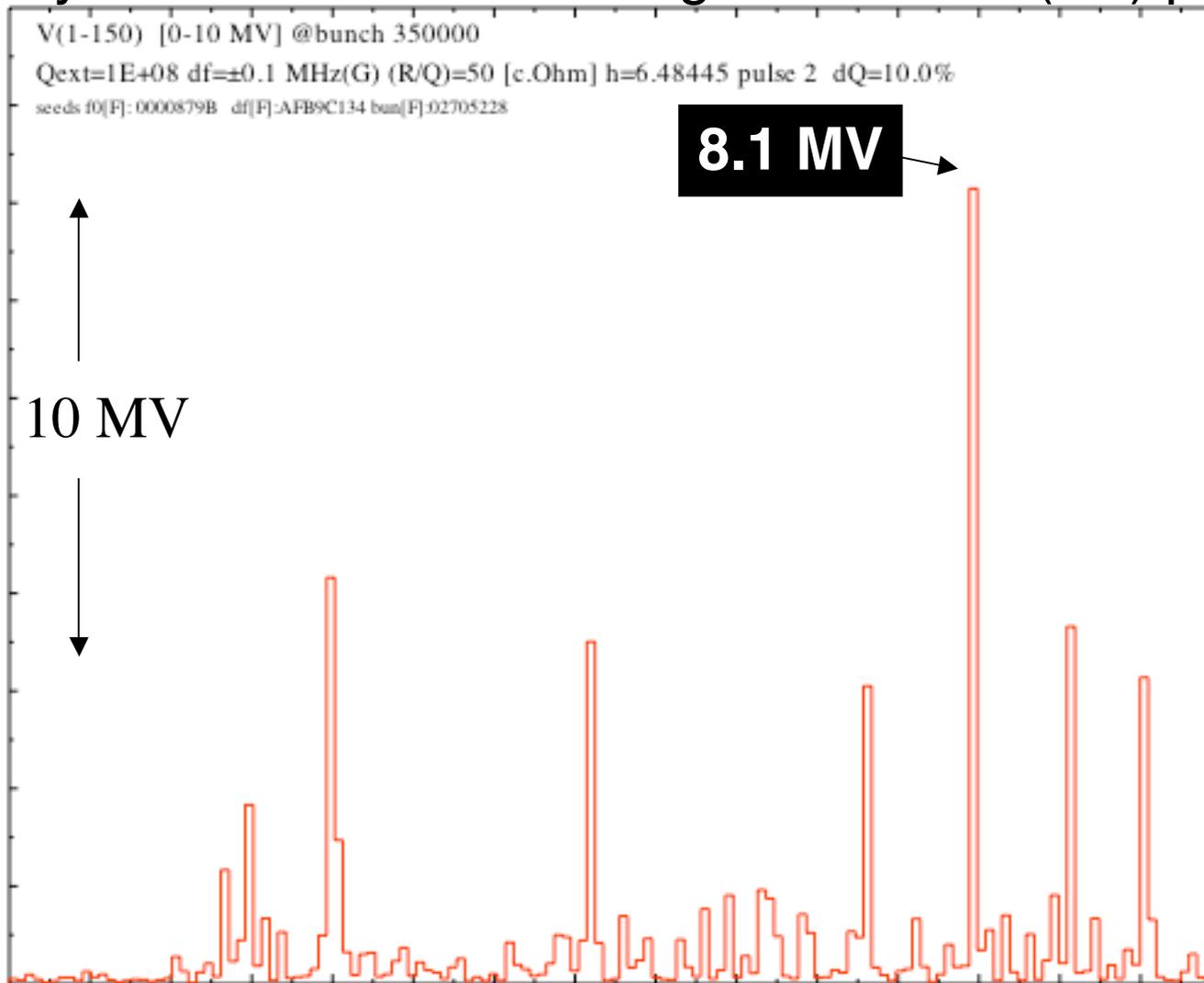


Conditions as before

2nd pulse: does not start at zero

residual V_H from previous pulse at $Q_{\text{ext}}=10^8$

Cavity 1 ... 150: excited voltages at end of (2nd) pulse

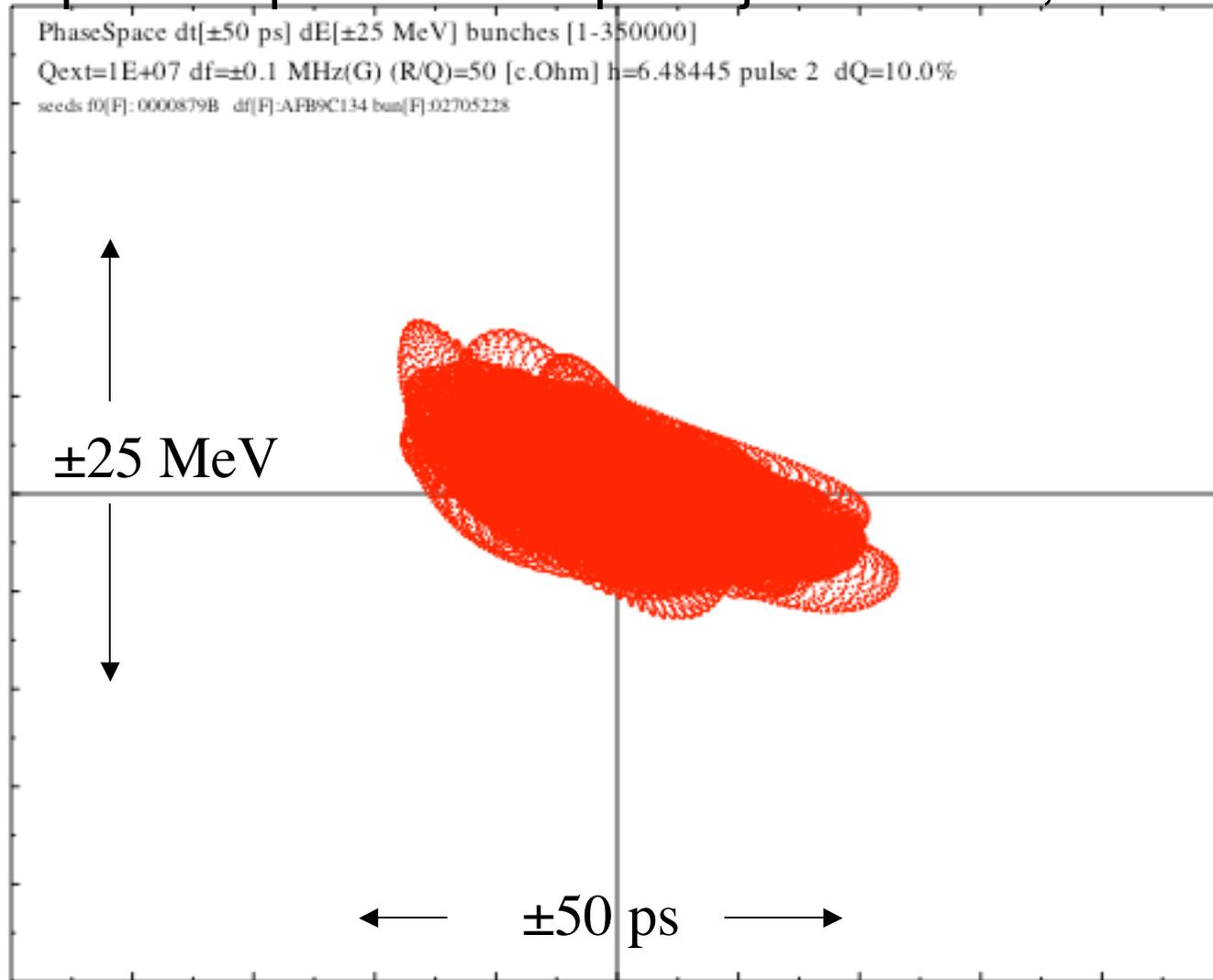


Conditions as before; voltage scatter due to f_{HOM} scatter of individual cavities

(so far for: "... you can not excite these modes...it is **simply not possible**....")

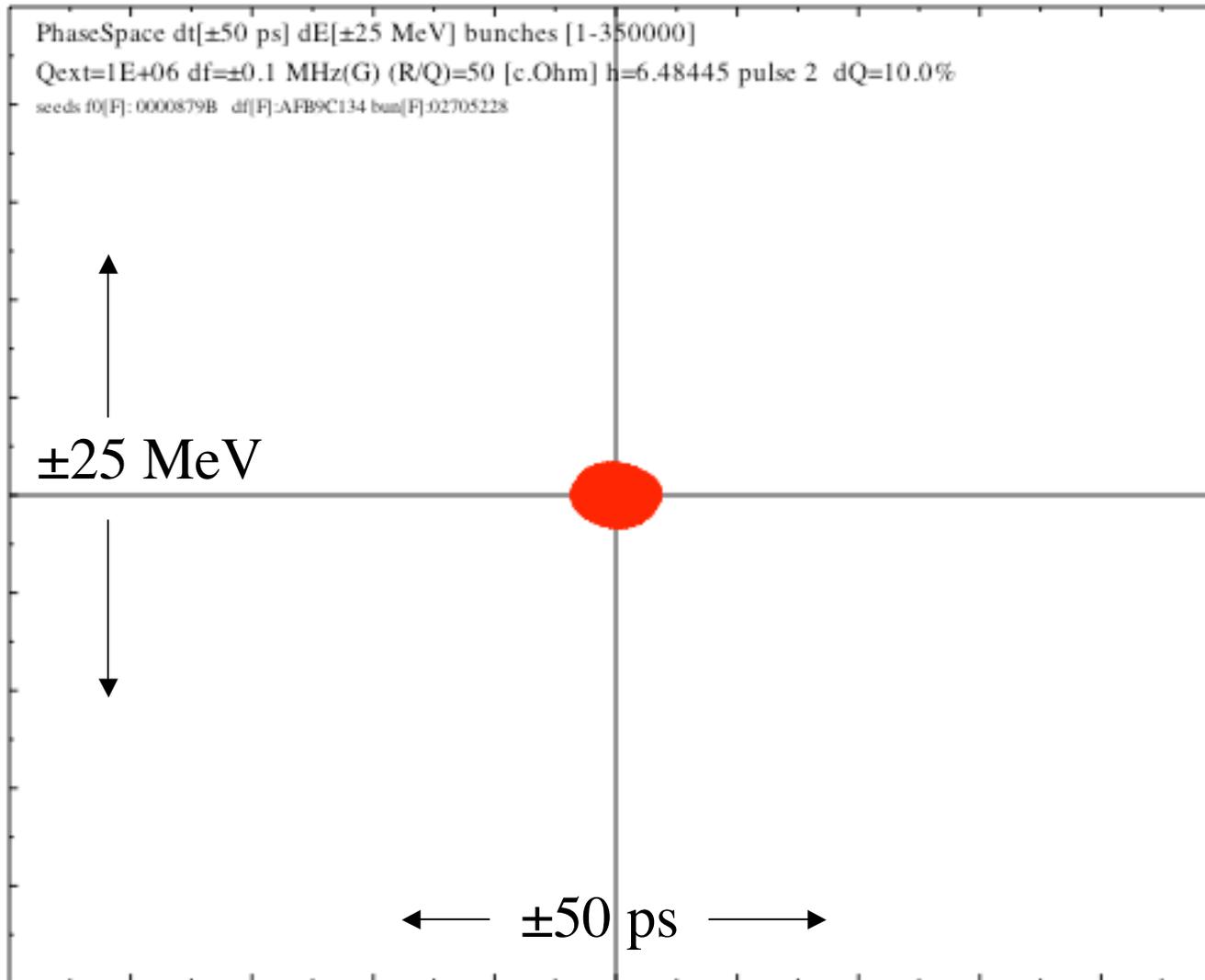
$$P_{\text{ext}} = 1/2 V^2 / ((R/Q) Q_{\text{ext}}) = 6.4 \text{ kW (at last instant of beam pulse)}$$

Bunch phase-space dot-map at ejection: 350,000 bunches



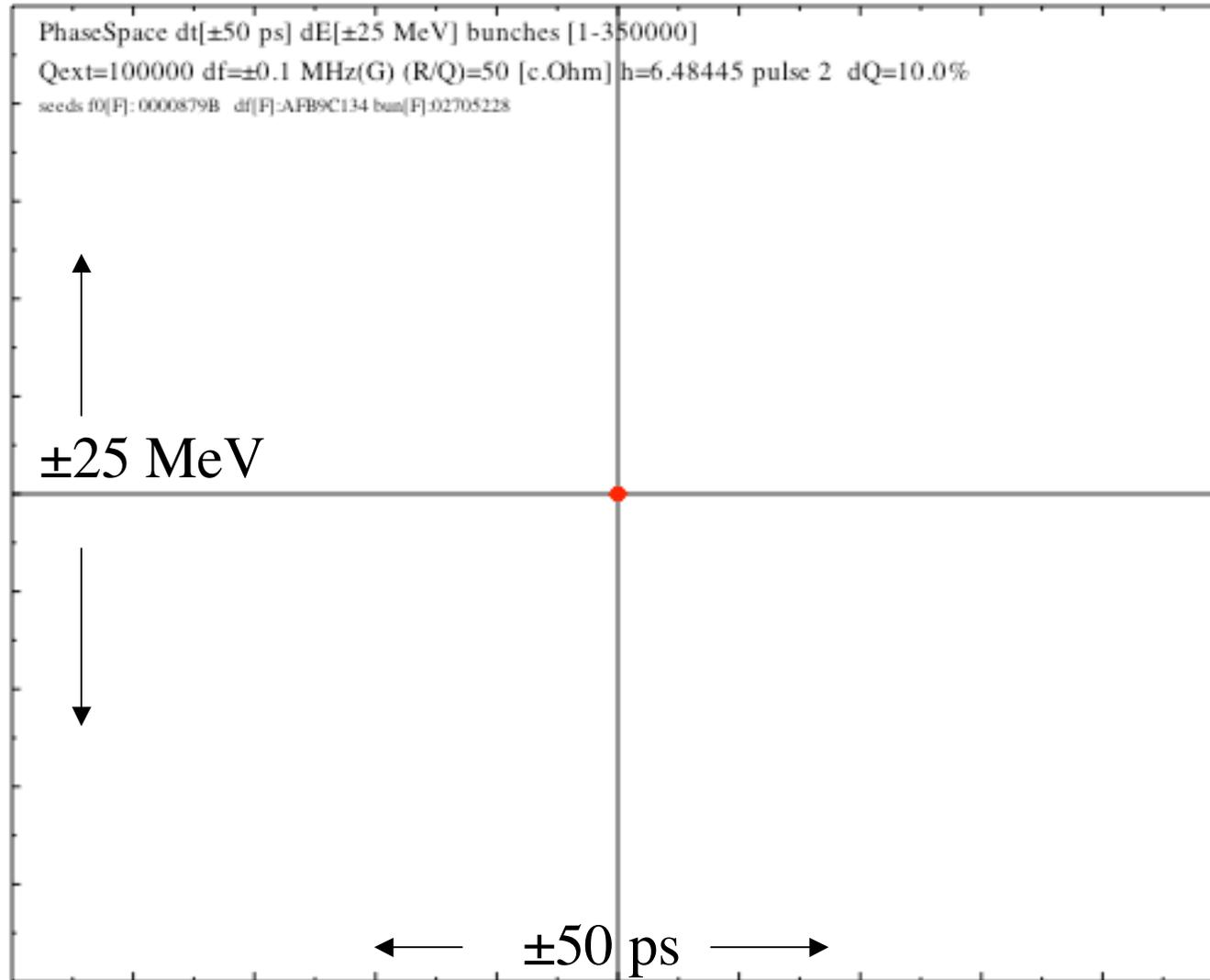
Conditions and scaling as before (including same random #) but $Q_{\text{ext}}=10^7$

Bunch phase-space dot-map at ejection: 350,000 bunches



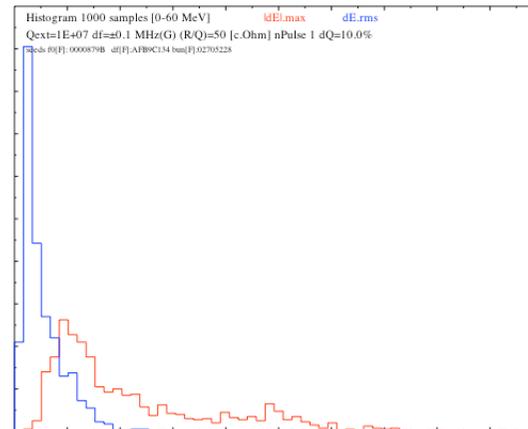
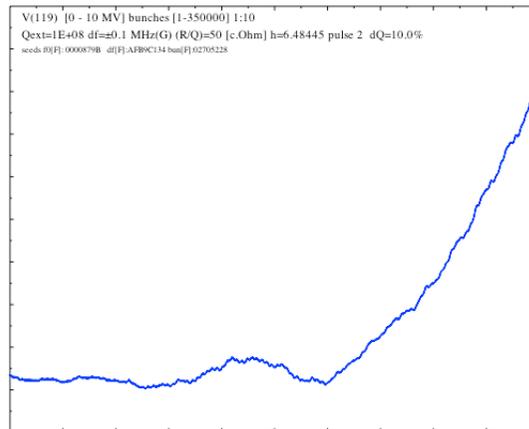
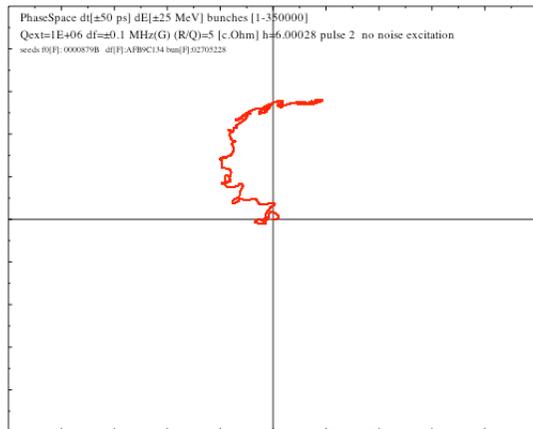
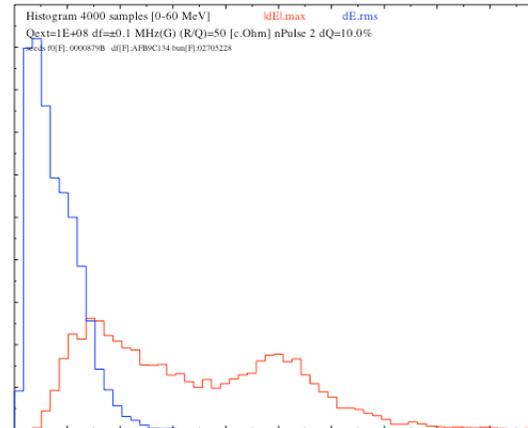
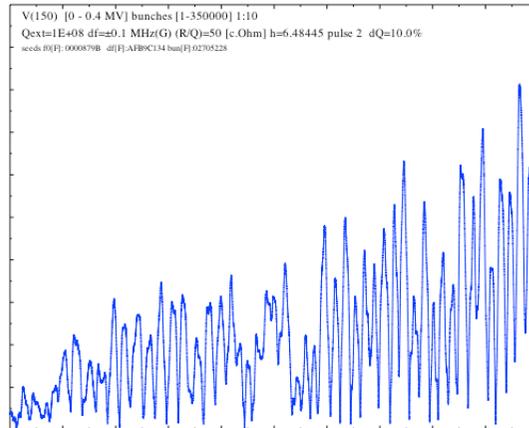
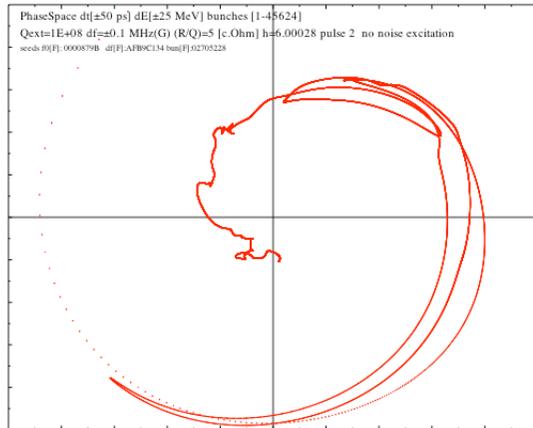
Conditions and scaling as before (including same random #) but $Q_{\text{ext}}=10^6$

Bunch phase-space dot-map at ejection: 350,000 bunches



Conditions and scaling as before (including same random #) but $Q_{\text{ext}}=10^5$

Appetizer: ... have a look into the note



Phase space
dot map

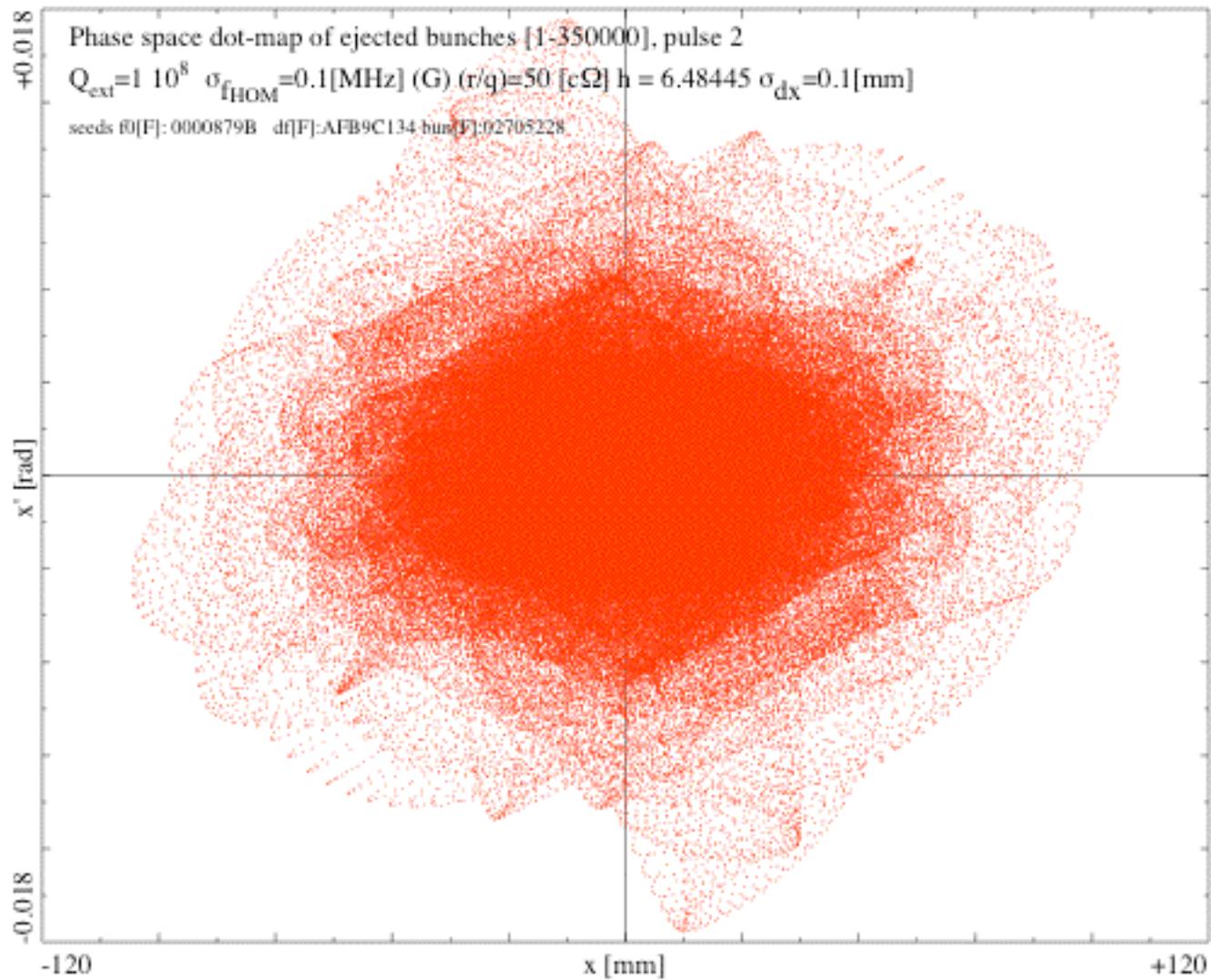
$V_H(t)$ 0-1 ms

dE_{max} -histo [0-60 MeV]
(4000 linacs)

(at ejection)

mode close ML

and much more



Transverse: 0.1 mm transverse injection scatter: ± 100 mm
 ('standard case' with $Q_{\text{ext}}=10^8$ and $(R/Q)_{\perp}=50\Omega$)



Conclusion: Think different.

One should NOT envisage a high current p-linac (even pulsed) with $Q_{\text{tot}} > 10^6$ (ball-park)

Natural damping HOM $Q_0 = 10^9$ (ball-park)

factor 10^3 missing (ball-park) \Rightarrow

Need 'artificial' HOM damping

... to sleep well ...