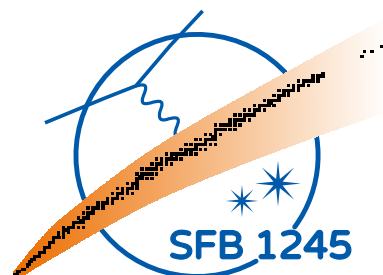


# Recent developments of nuclear interactions within chiral EFT and applications to nuclear matter and nuclei

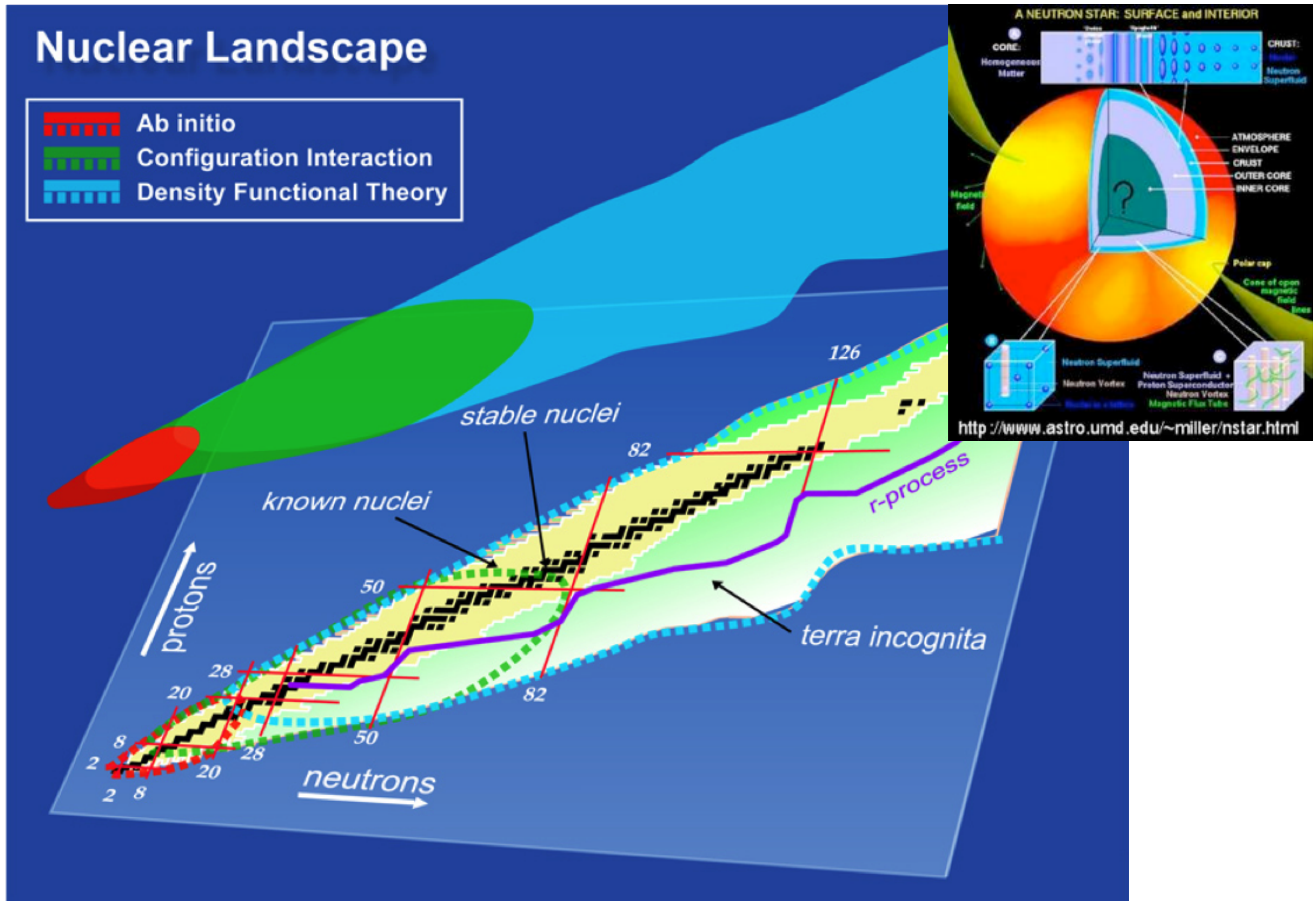
Kai Hebeler

Geneva, December 6, 2016

**From quarks to gravitational waves: Neutron stars as a laboratory for fundamental physics**

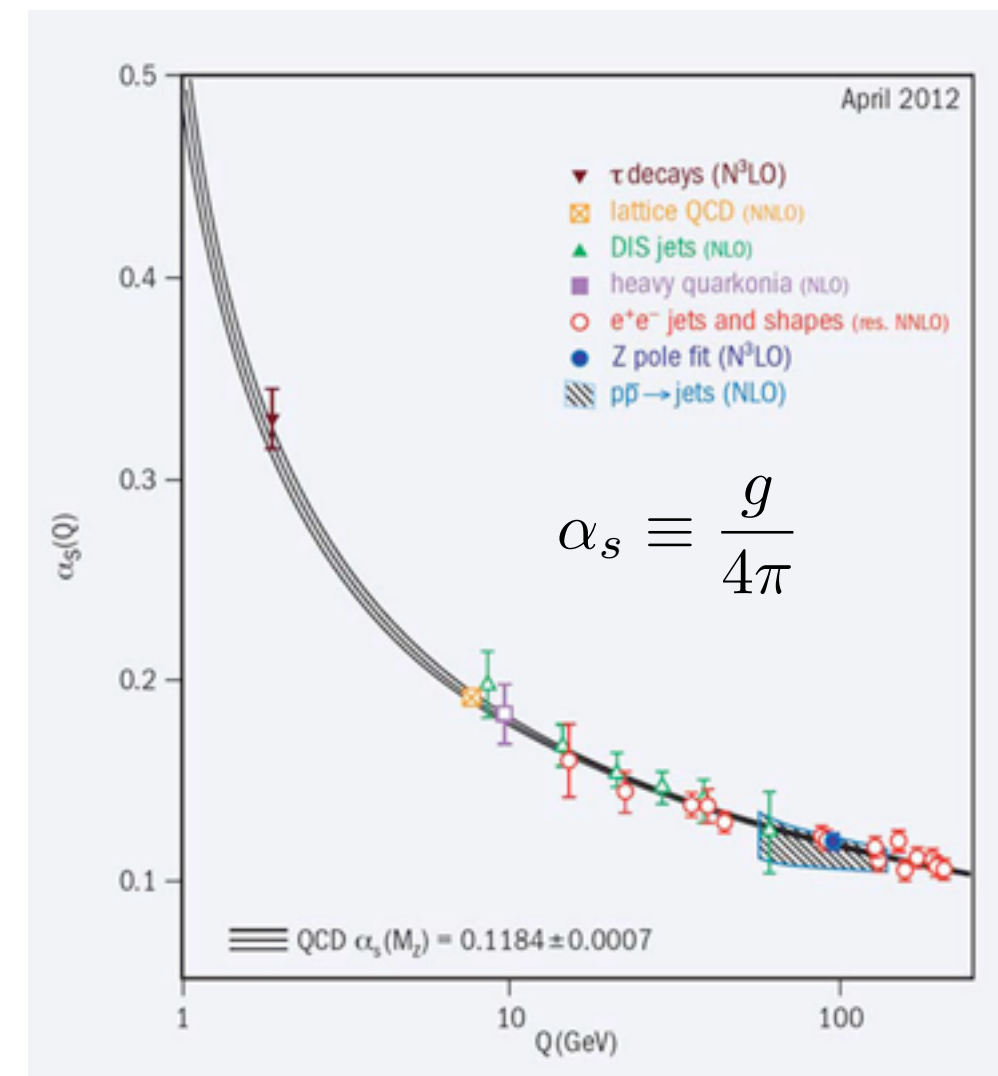
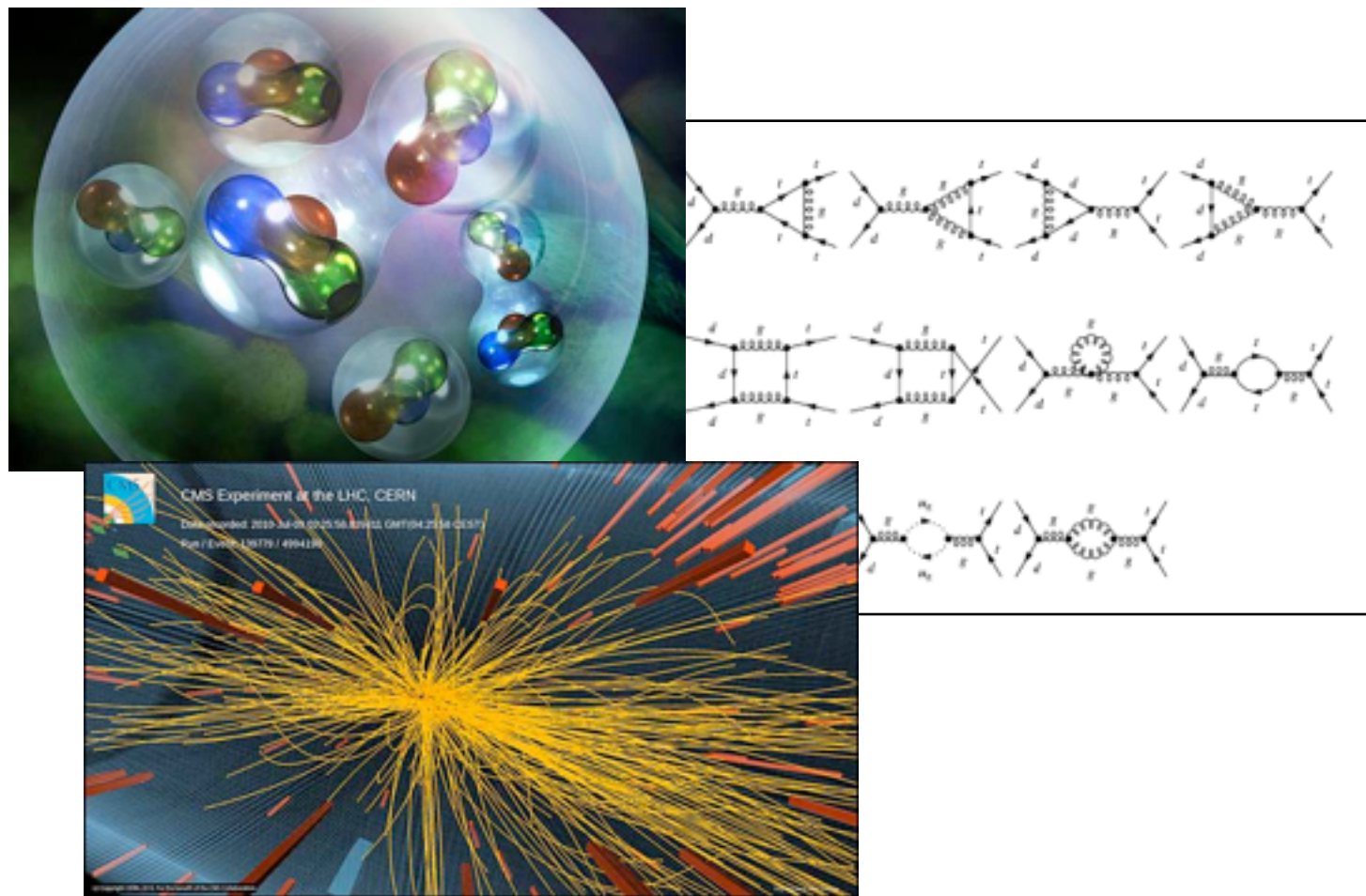


# The theoretical nuclear landscape several years ago...



# Theory of the strong interaction: Quantum chromodynamics

$$\mathcal{L}_{QCD} = -\frac{1}{4}F_{\mu\nu}^a F^{a\mu\nu} + \bar{q}(i\gamma^\mu \partial_\mu - m)q + g\bar{q}\gamma^\mu T_a q A_\mu^a$$



- theory perturbative at high energies
- highly non-perturbative at low energies

# Ab initio nuclear structure and reaction theory

**nuclear structure and  
reaction observables**



**Quantum Chromodynamics**



# Ab initio nuclear structure and reaction theory

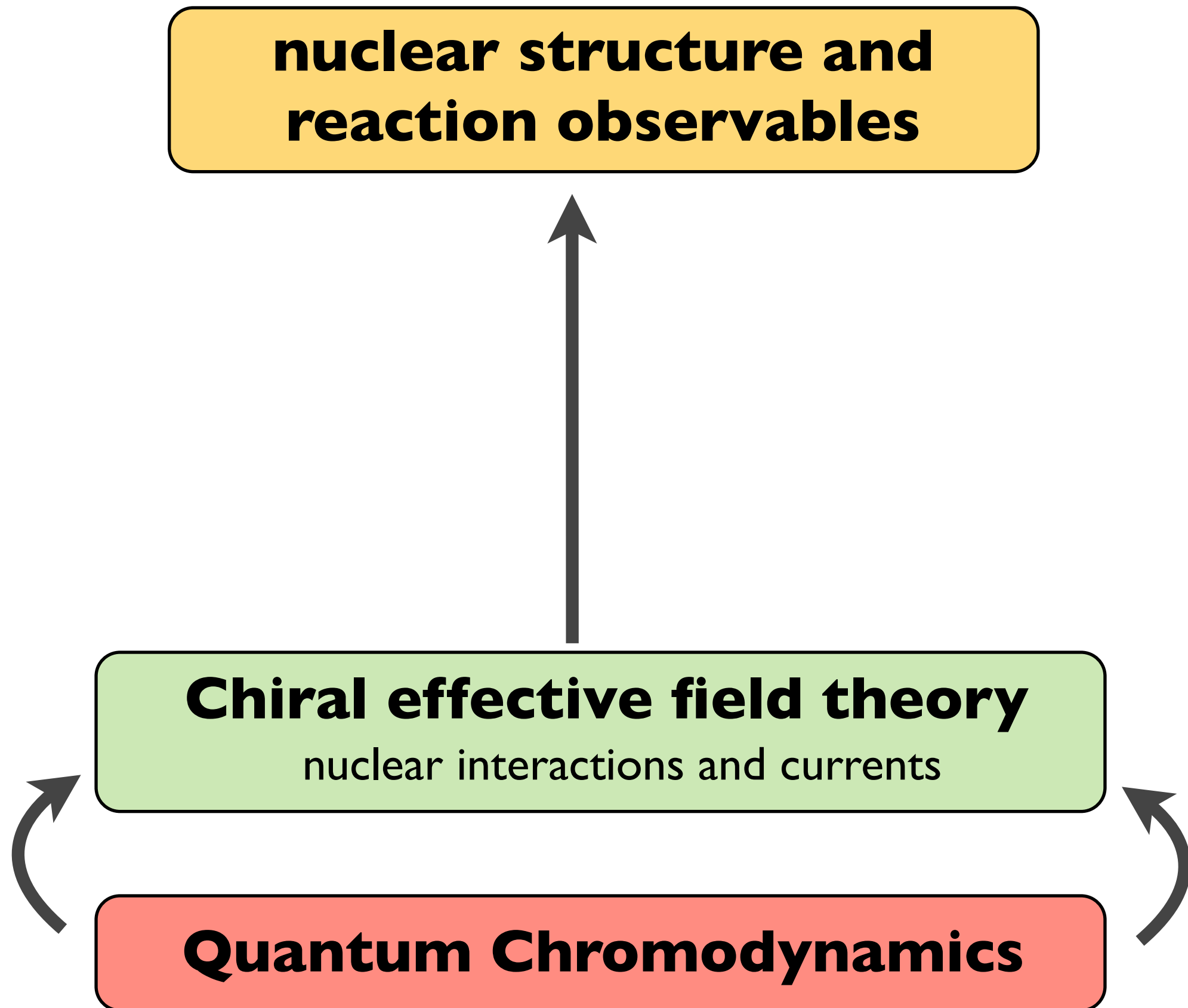
**nuclear structure and  
reaction observables**

## **Lattice QCD**

- requires extreme amounts of computational resources
- currently limited to 1- or 2-nucleon systems
- current accuracy insufficient for precision nuclear structure

**Quantum Chromodynamics**

# Ab initio nuclear structure and reaction theory



# Ab initio nuclear structure and reaction theory

**nuclear structure and  
reaction observables**



**ab initio many-body frameworks**

Faddeev, Quantum Monte Carlo, no-core shell model, coupled cluster ...

**Chiral effective field theory**

nuclear interactions and currents

**Quantum Chromodynamics**



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**ab initio many-body frameworks**

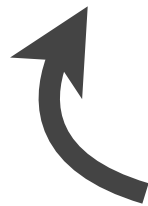
Faddeev, Quantum Monte Carlo, no-core shell model, coupled cluster ...

**Renormalization Group methods**

**Chiral effective field theory**

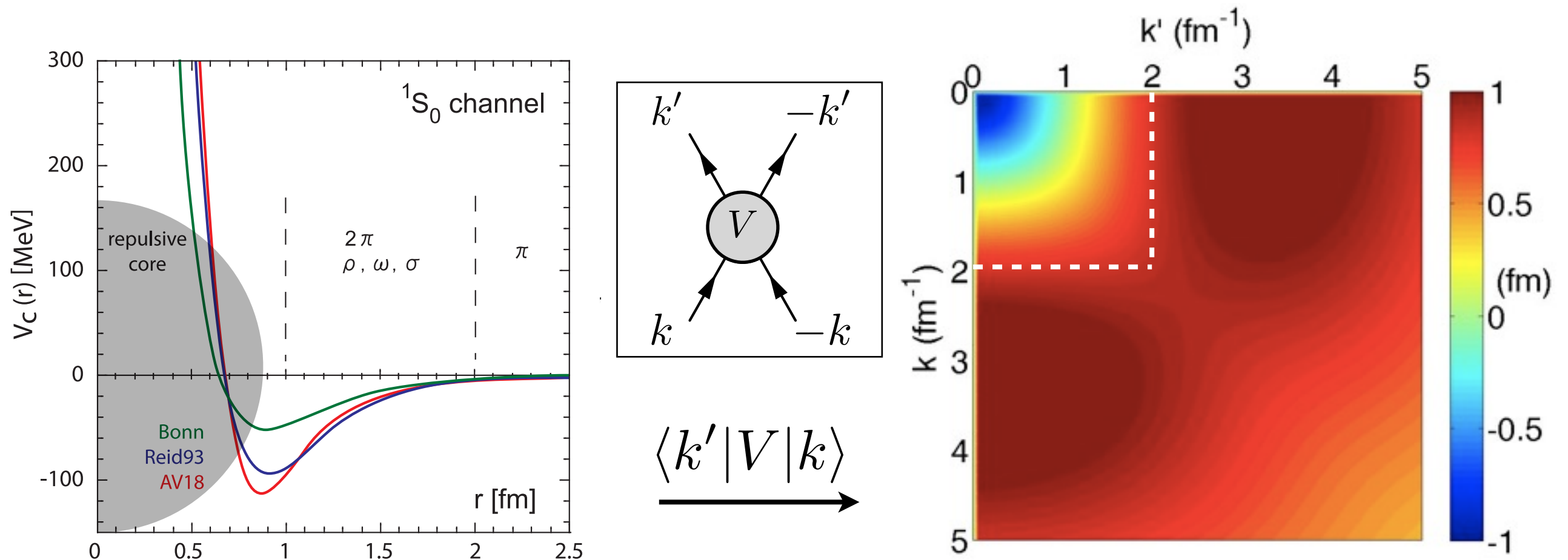
nuclear interactions and currents

**Quantum Chromodynamics**



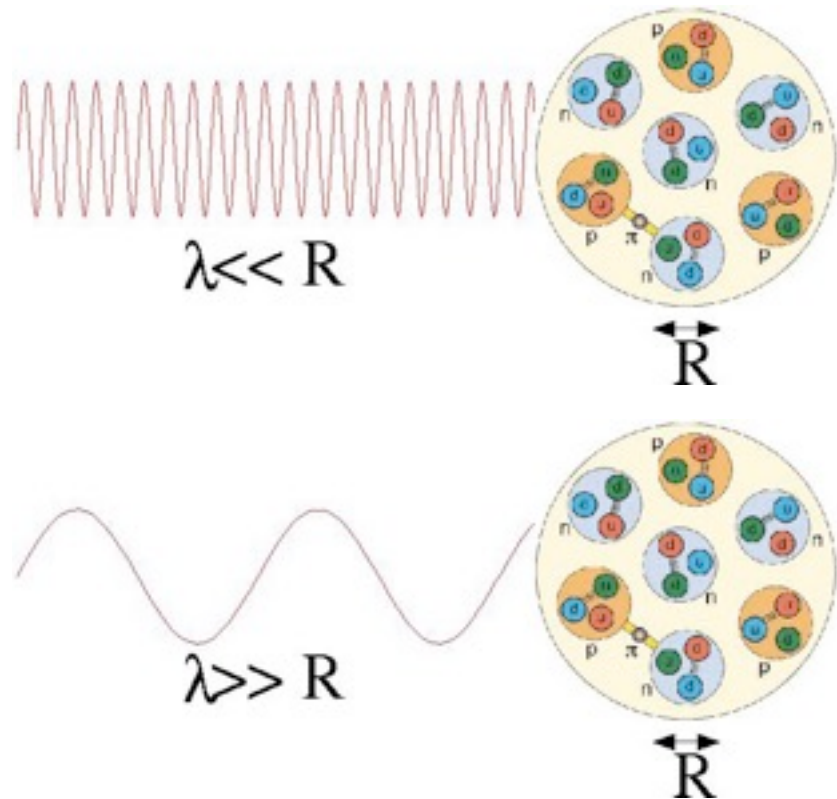


# “Traditional” empirical NN interactions



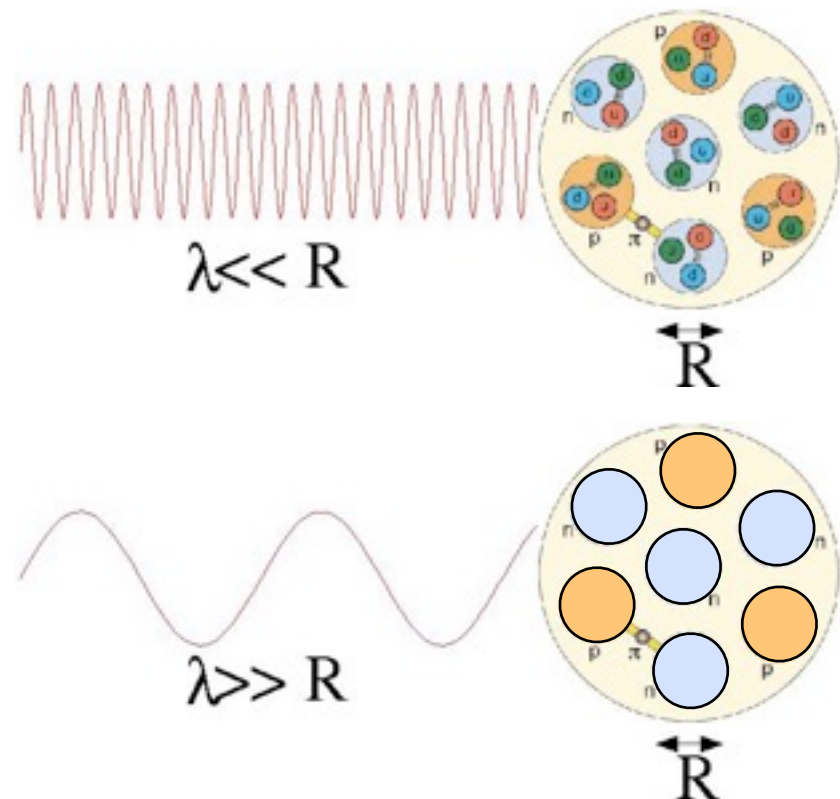
- constructed to fit scattering data (long-wavelength information!)
- long-range pion exchange part agrees in all potentials
- short range part strongly **scheme dependent**!
- “hard” NN interactions contain repulsive core at small relative distance
- strong coupling between low and high-momentum components, hard to solve!

# Nuclear effective degrees of freedom

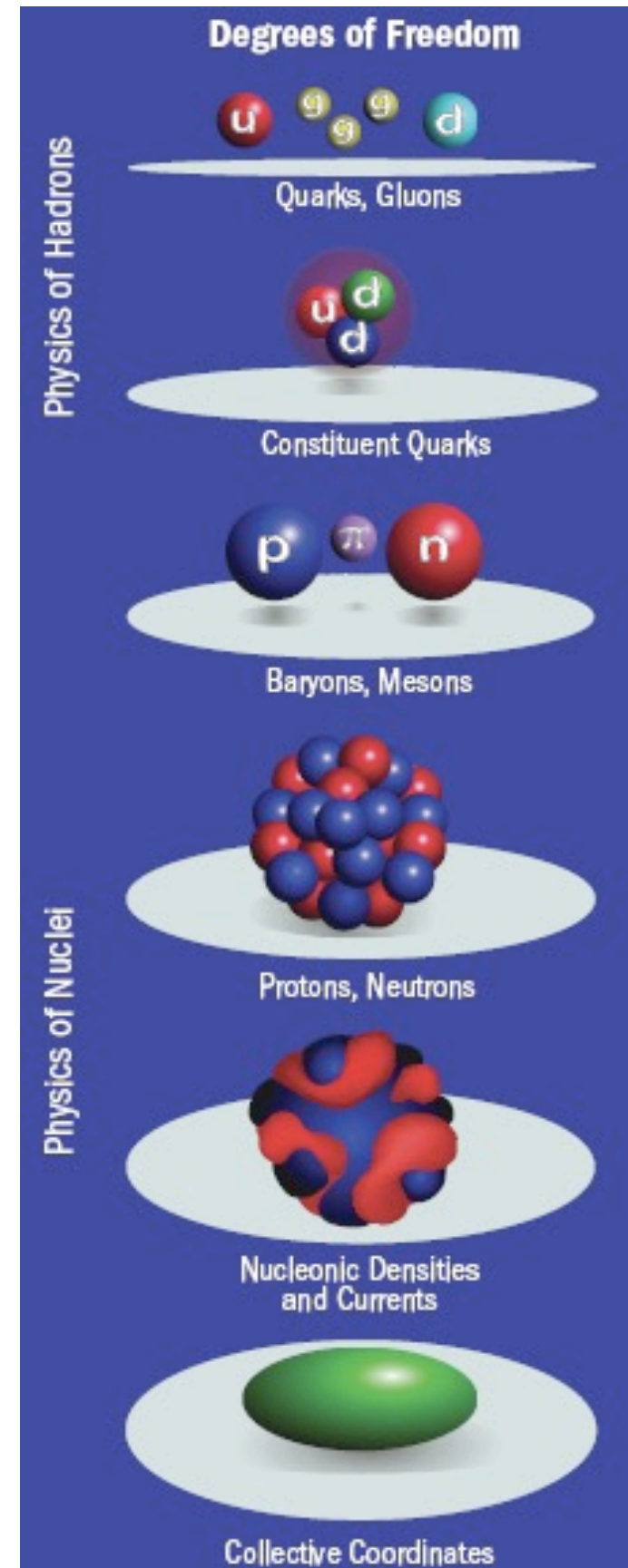


- if a nucleus is probed at high energies, nucleon substructure is resolved
- at low energies, details are not resolved

# Nuclear effective degrees of freedom



- if a nucleus is probed at high energies, nucleon substructure is resolved
- at low energies, details are not resolved
- replace fine structure by something simpler (like multipole expansion), low-energy observables unchanged



Resolution



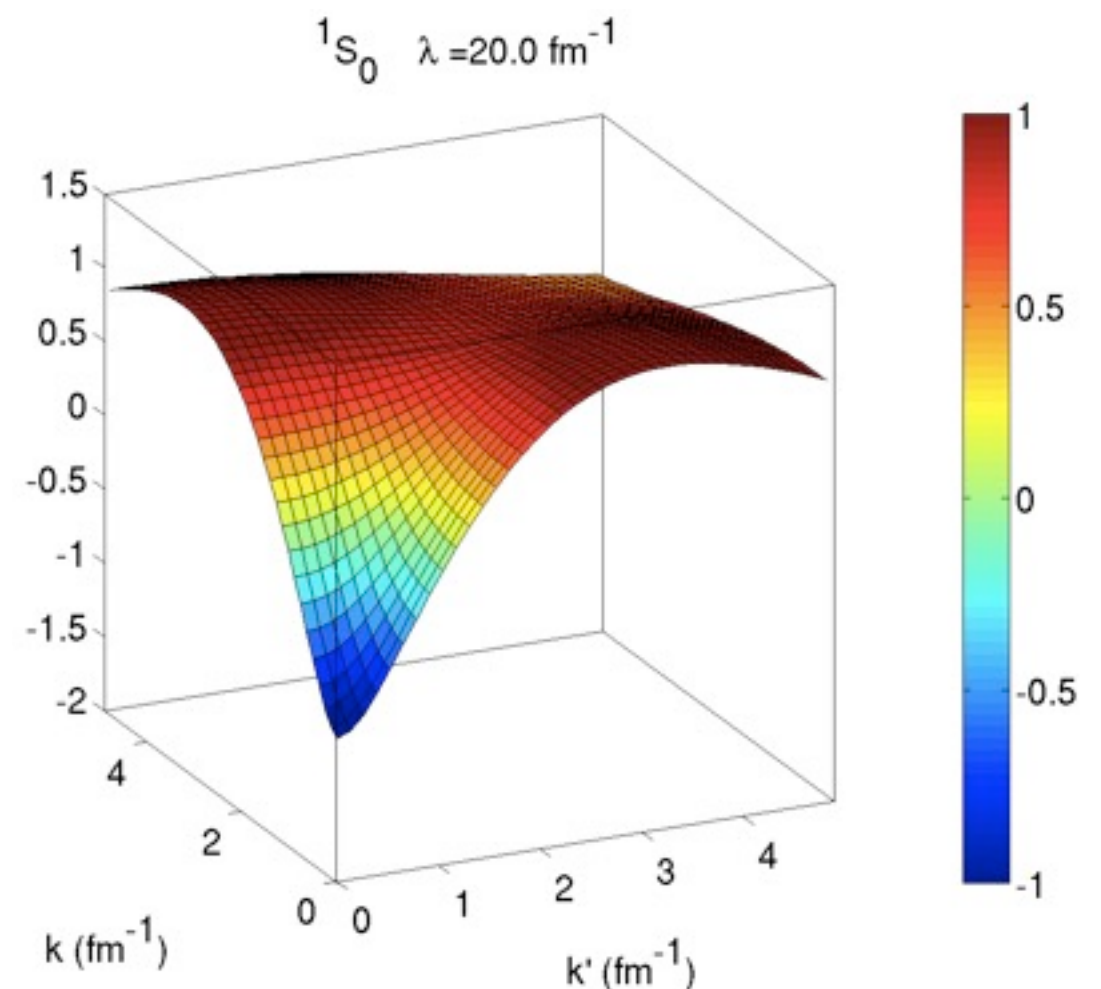
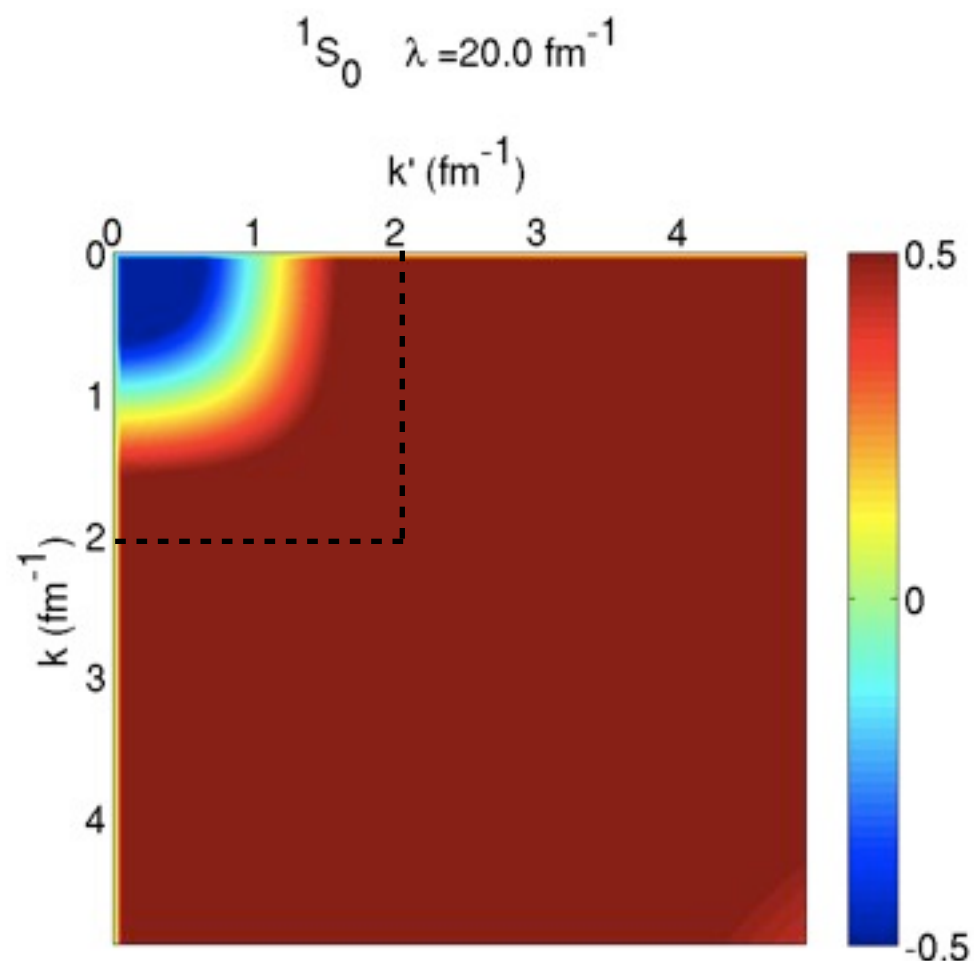
effective field theory

# Changing the resolution scale: the Similarity Renormalization Group

- generate unitary transformation which **decouples** low- and high momenta

$$H_\lambda = U_\lambda H U_\lambda^\dagger \quad \text{with the resolution parameter } \lambda$$

- basic idea: change resolution successively in small steps:  $\frac{dH_\lambda}{d\lambda} = [\eta_\lambda, H_\lambda]$
- generator  $\eta_\lambda$  can be chosen and tailored to different applications
- observables are preserved due to unitarity of transformation



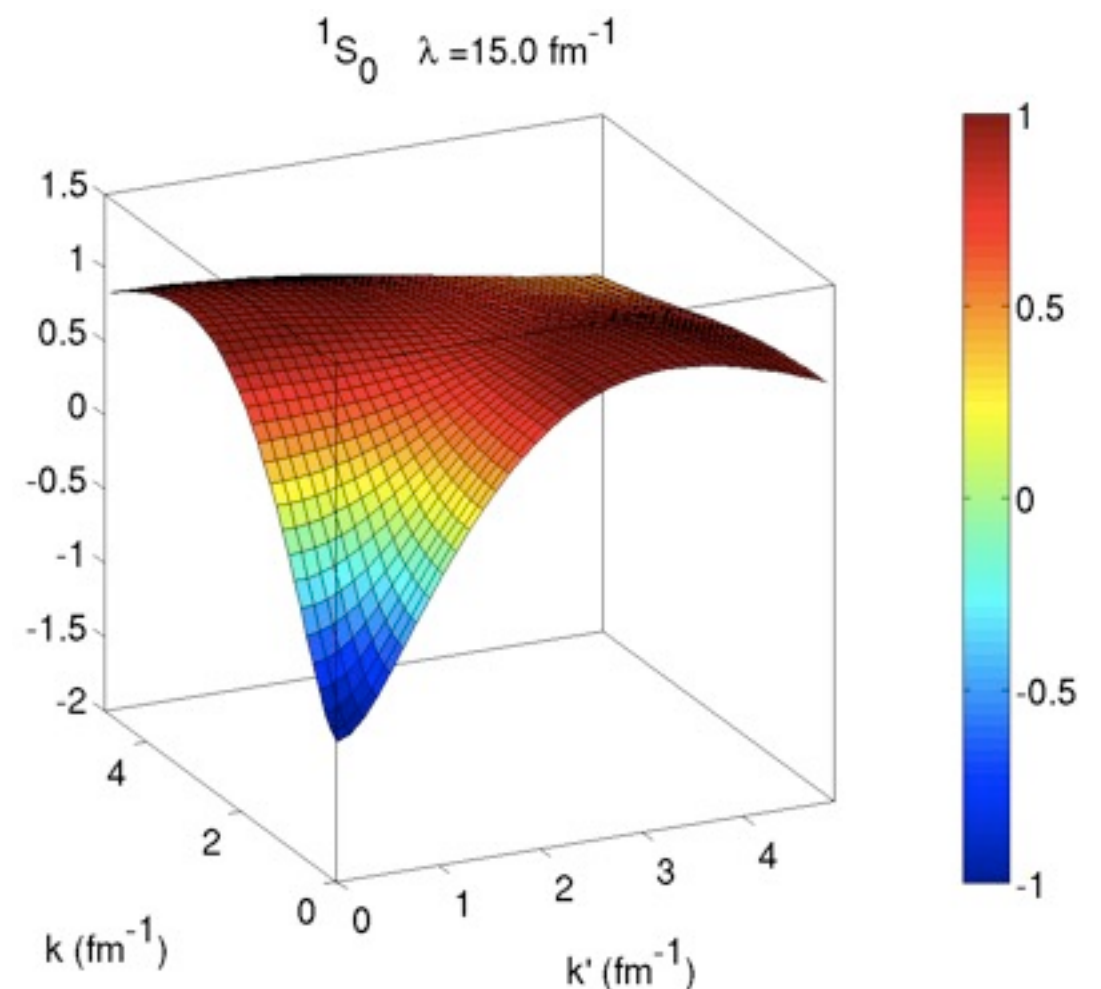
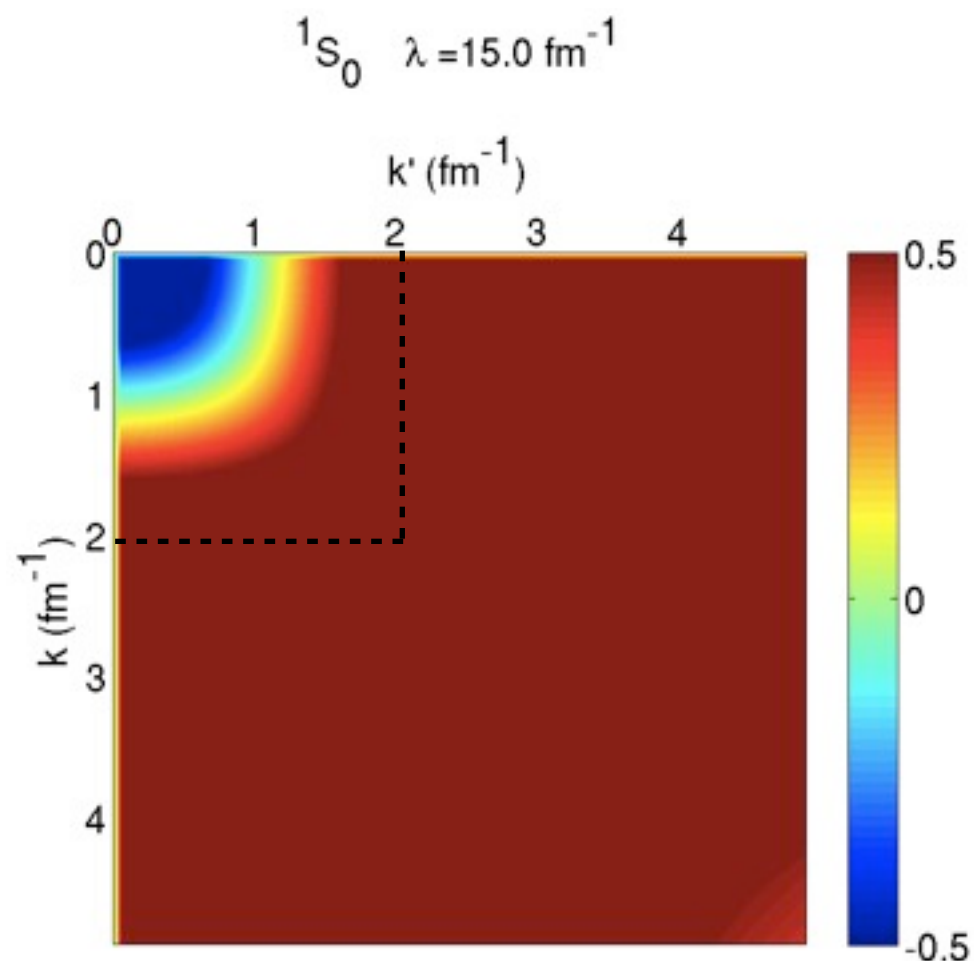


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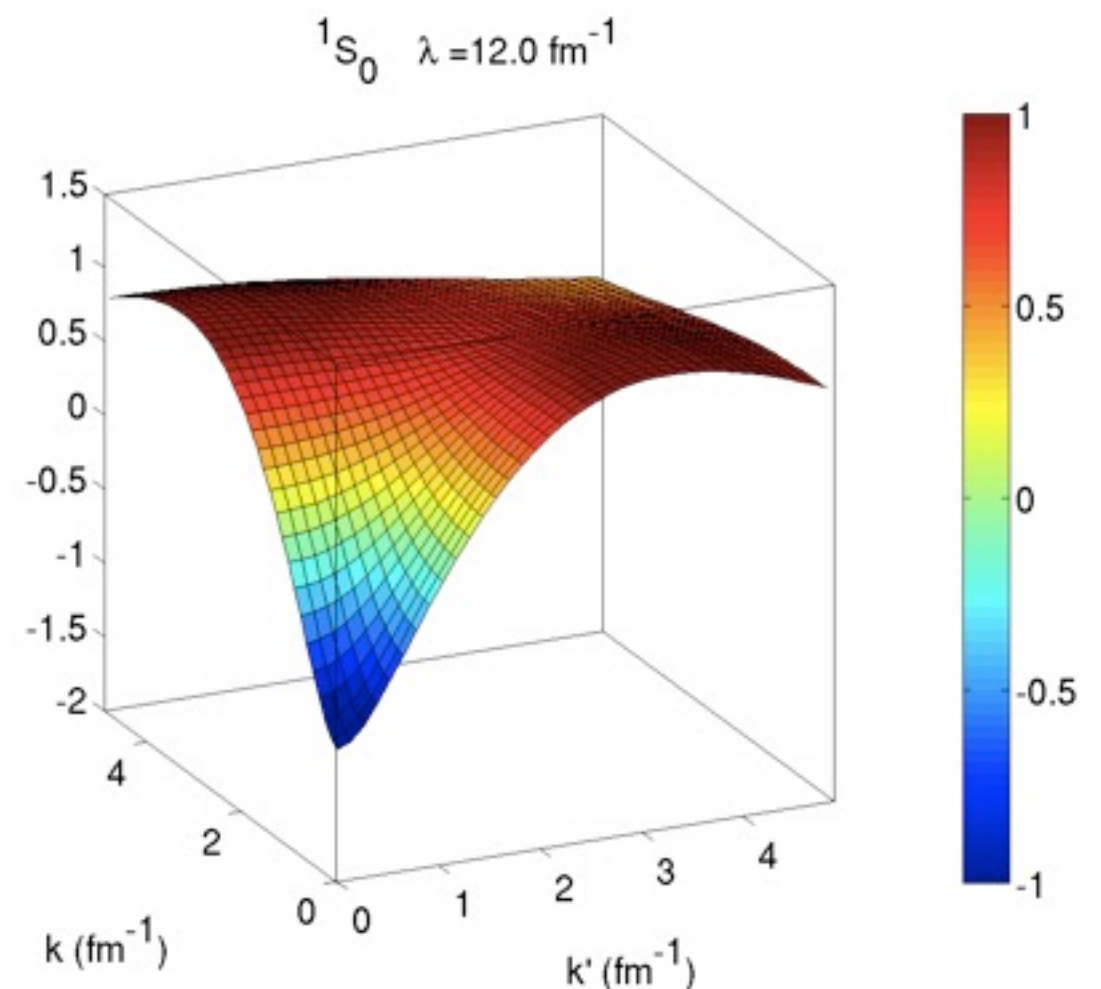
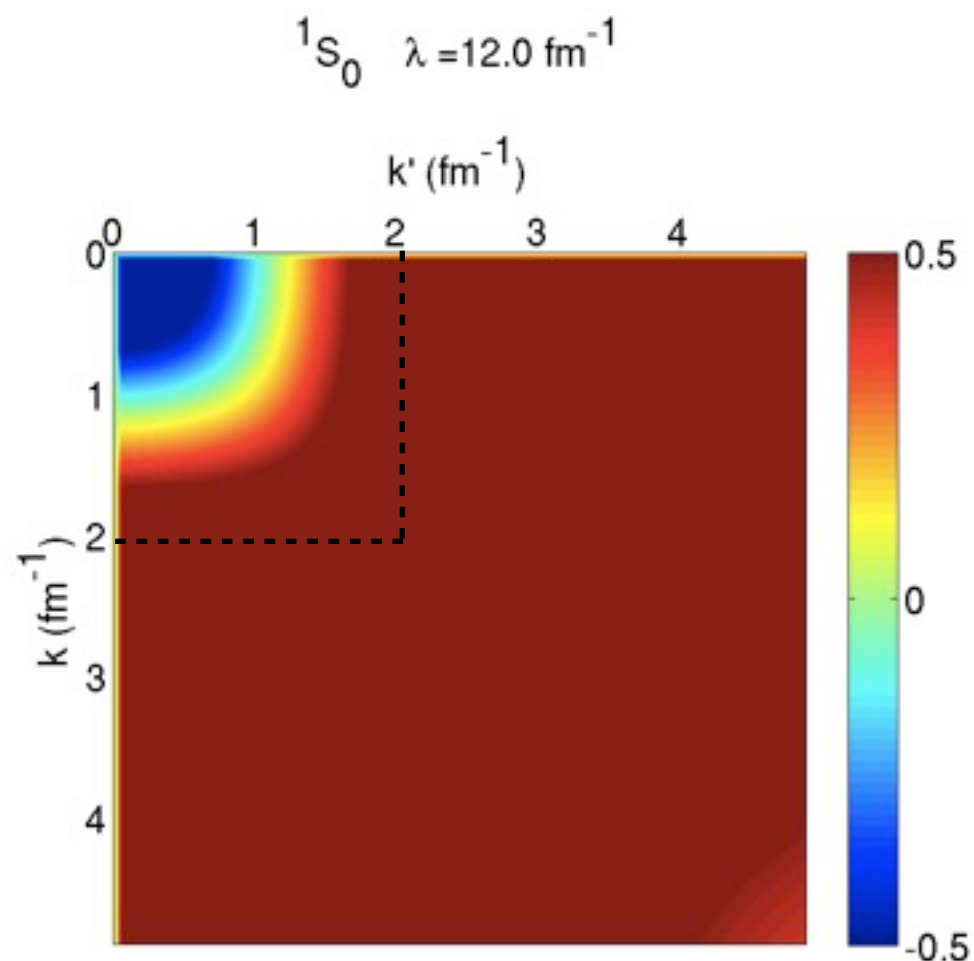


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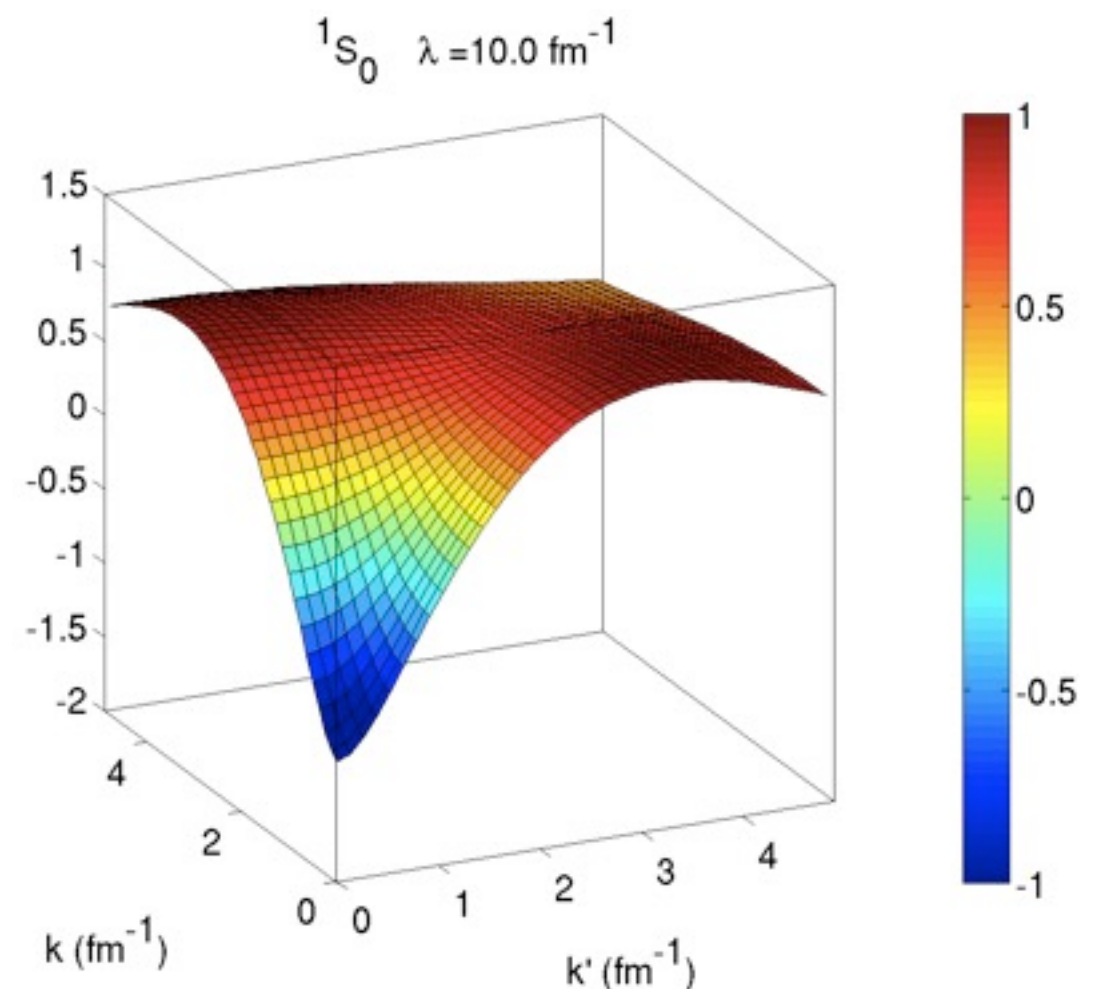
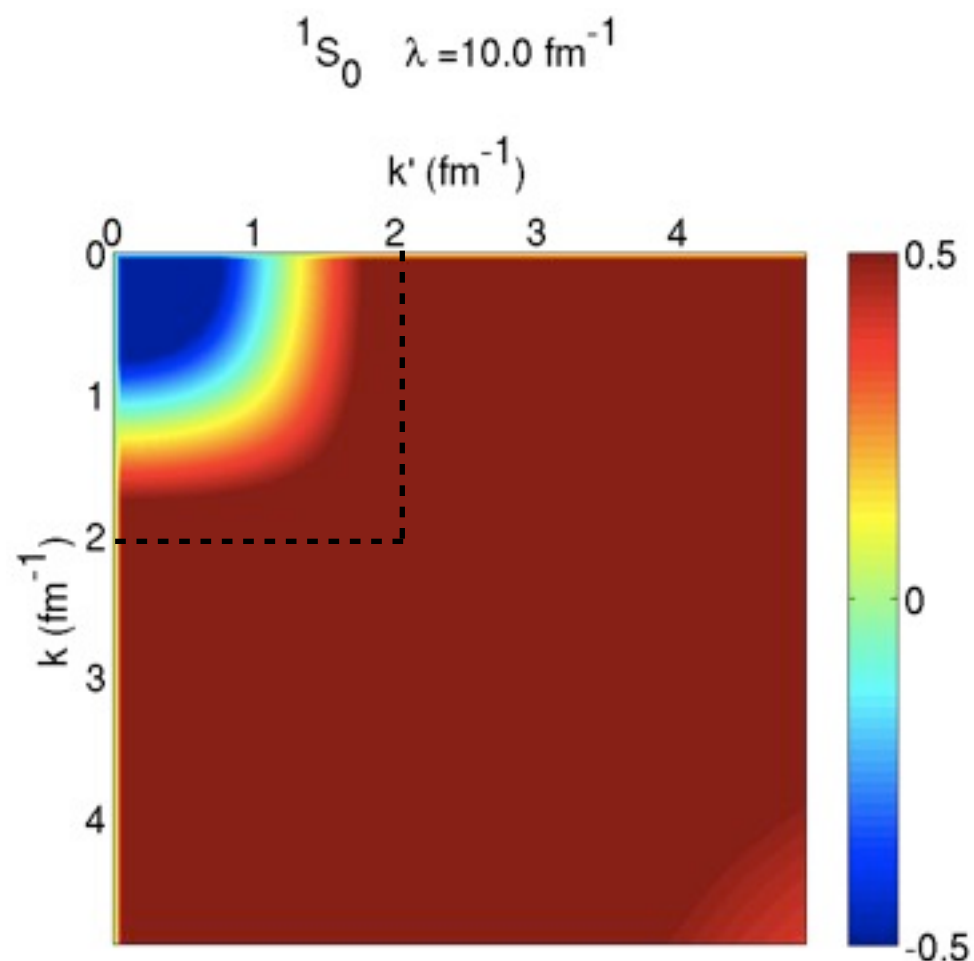


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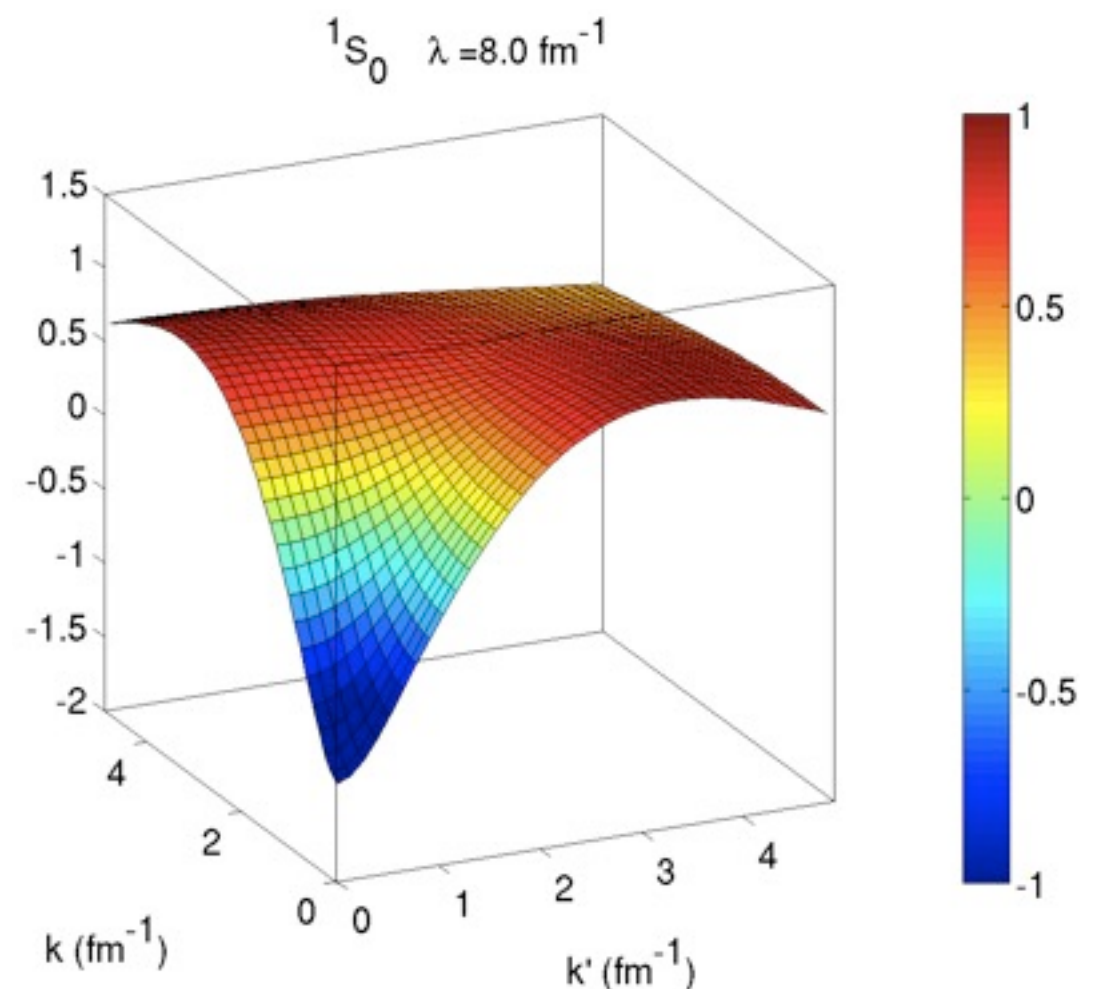
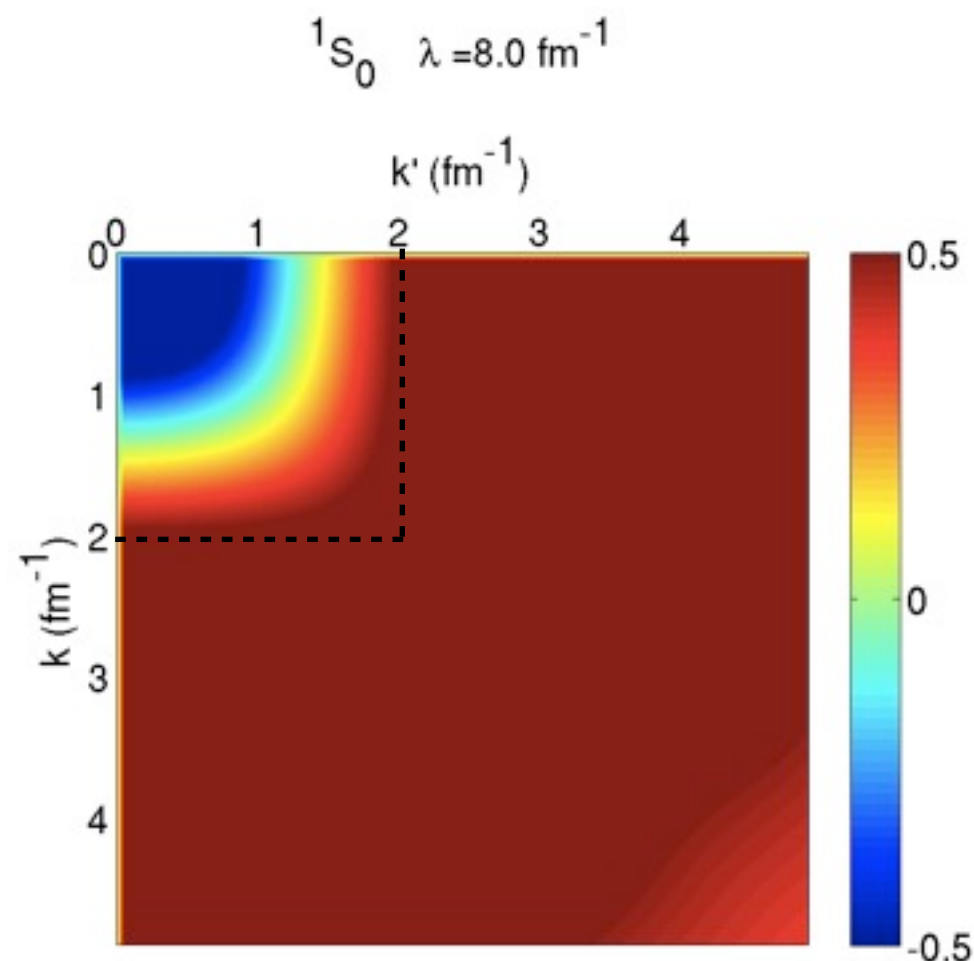


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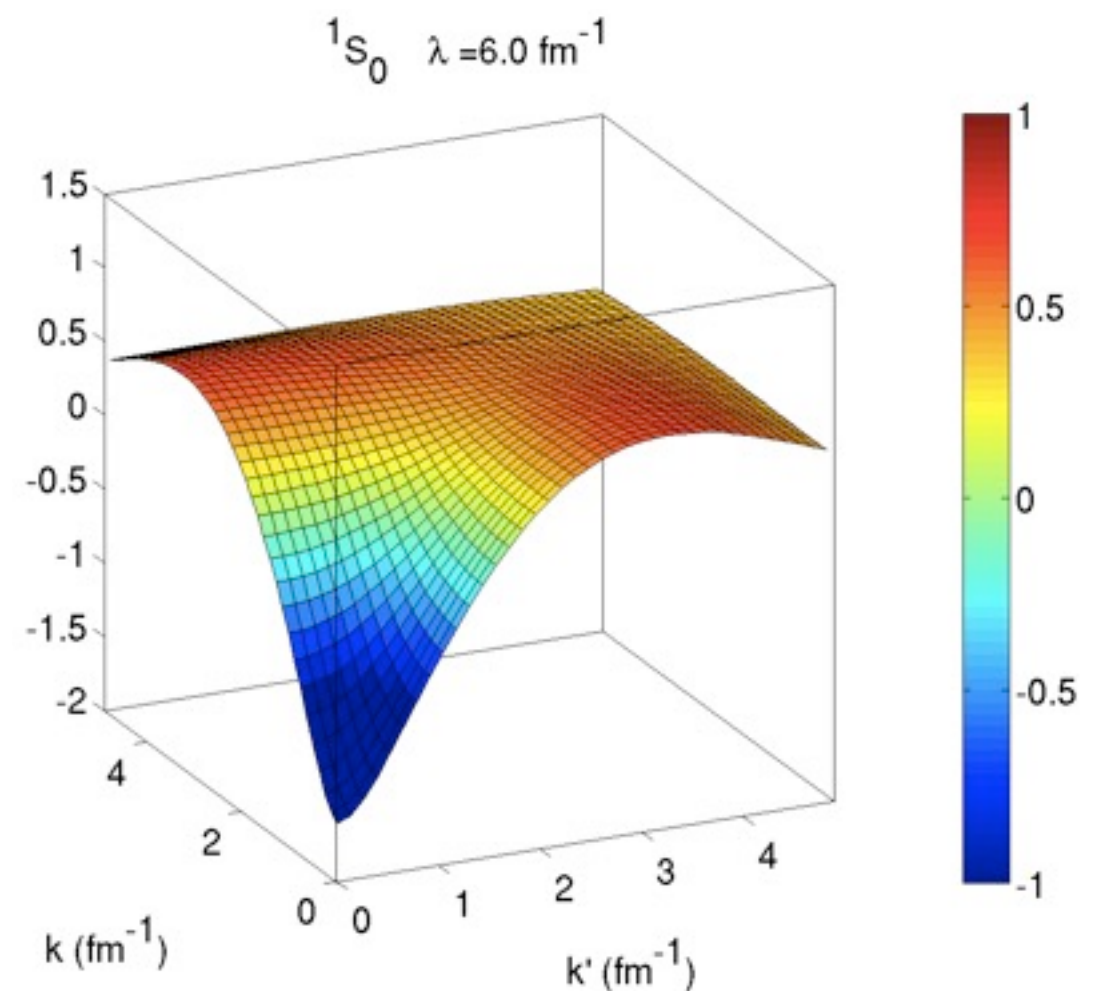
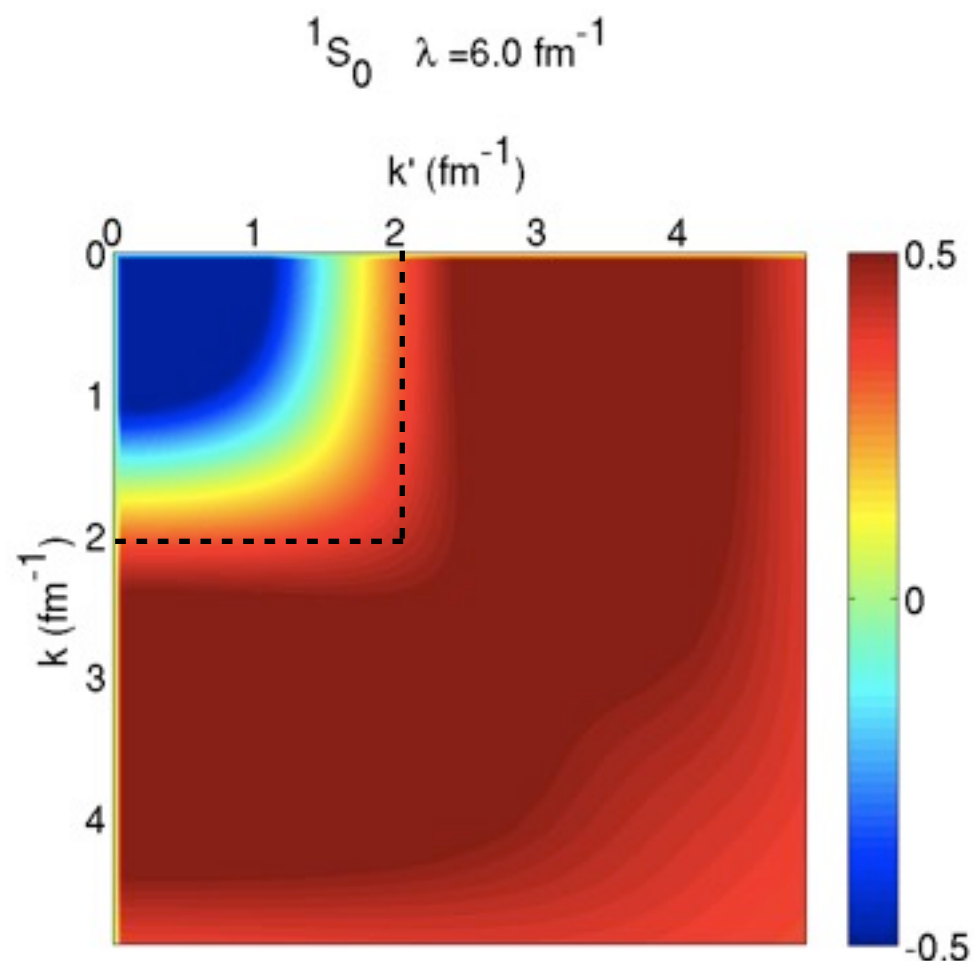


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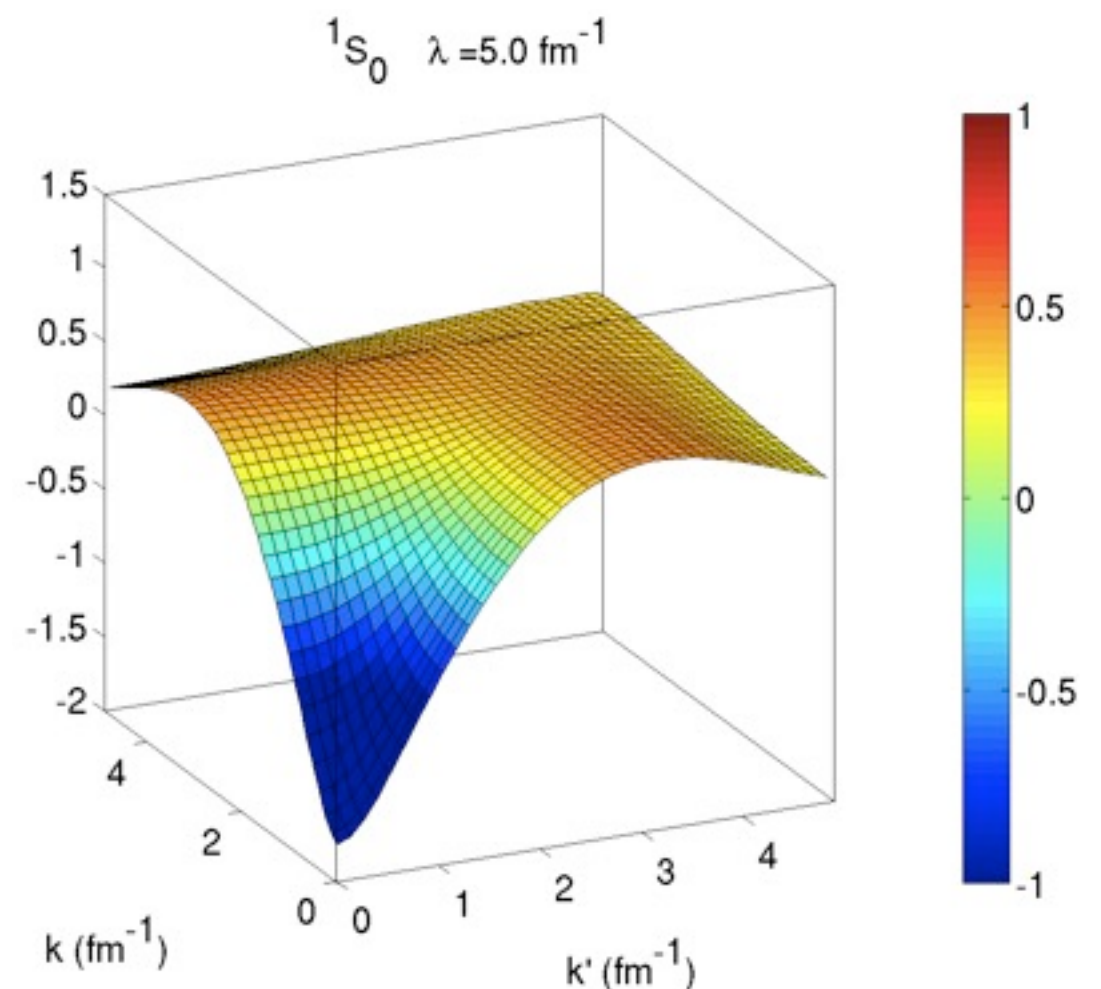
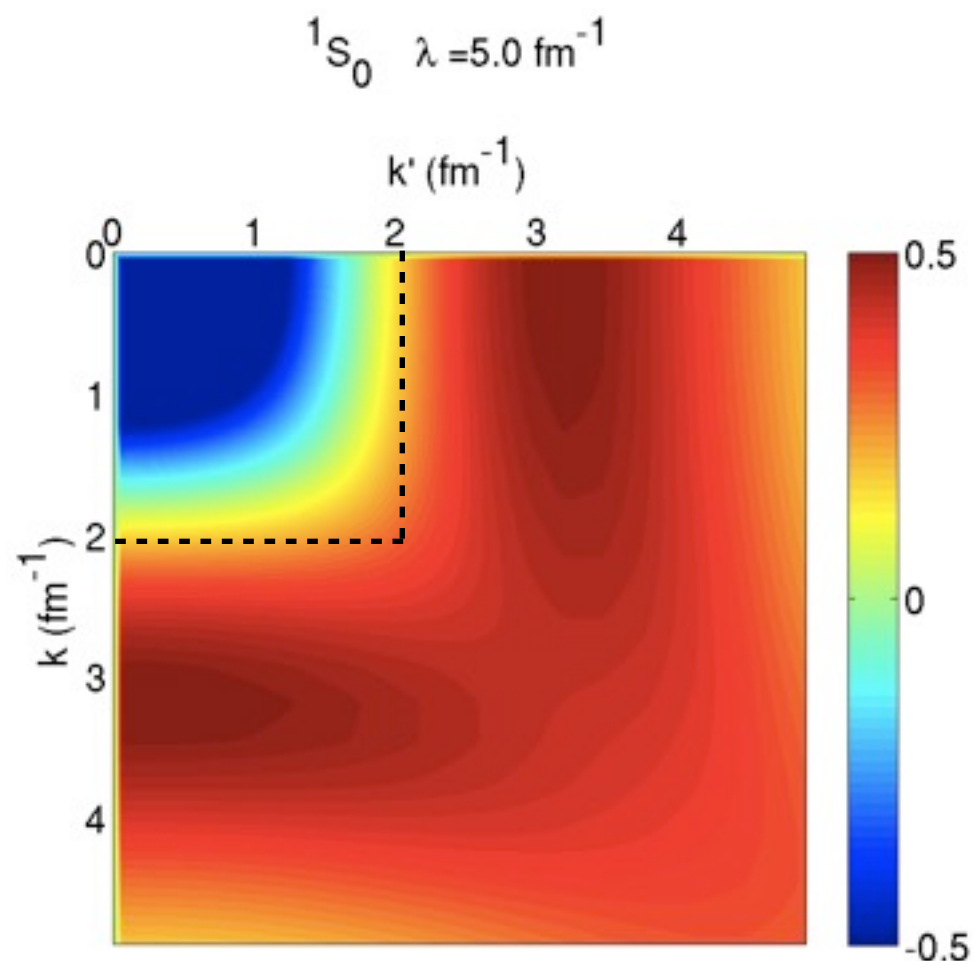


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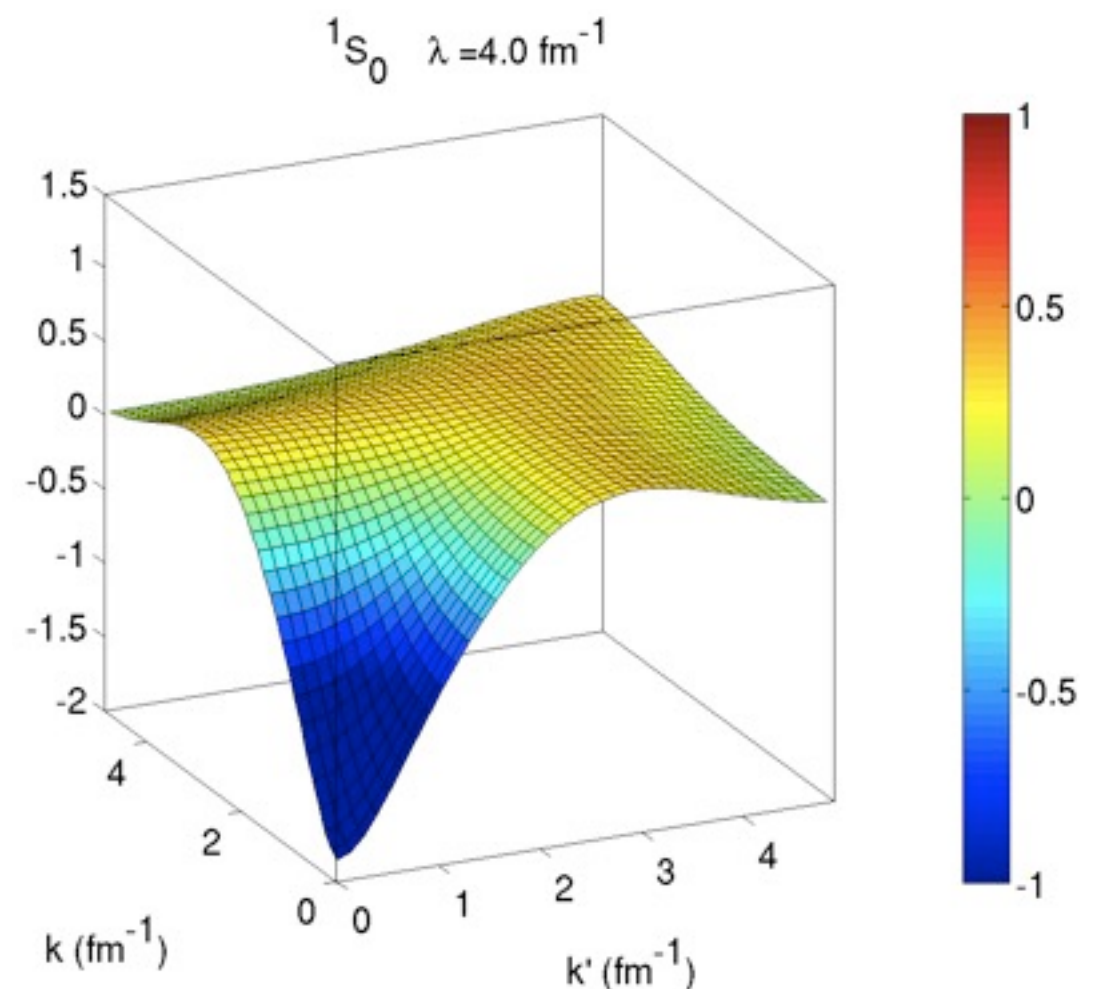
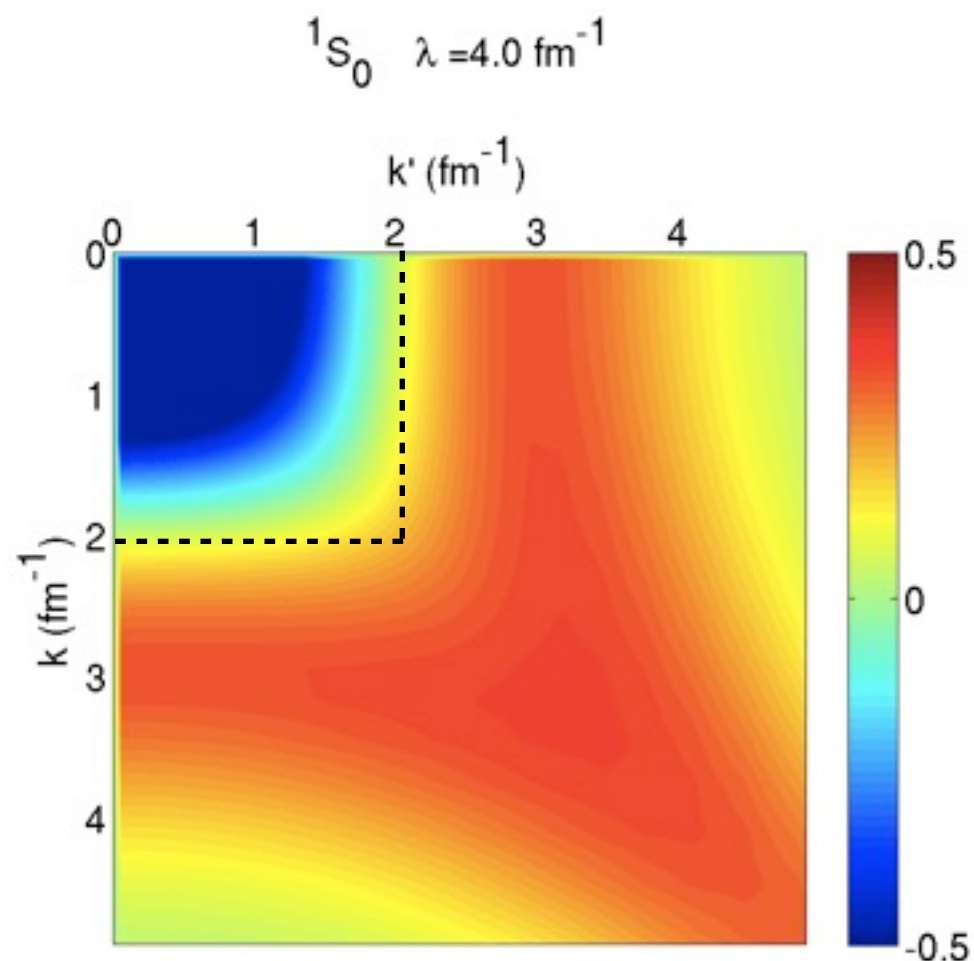


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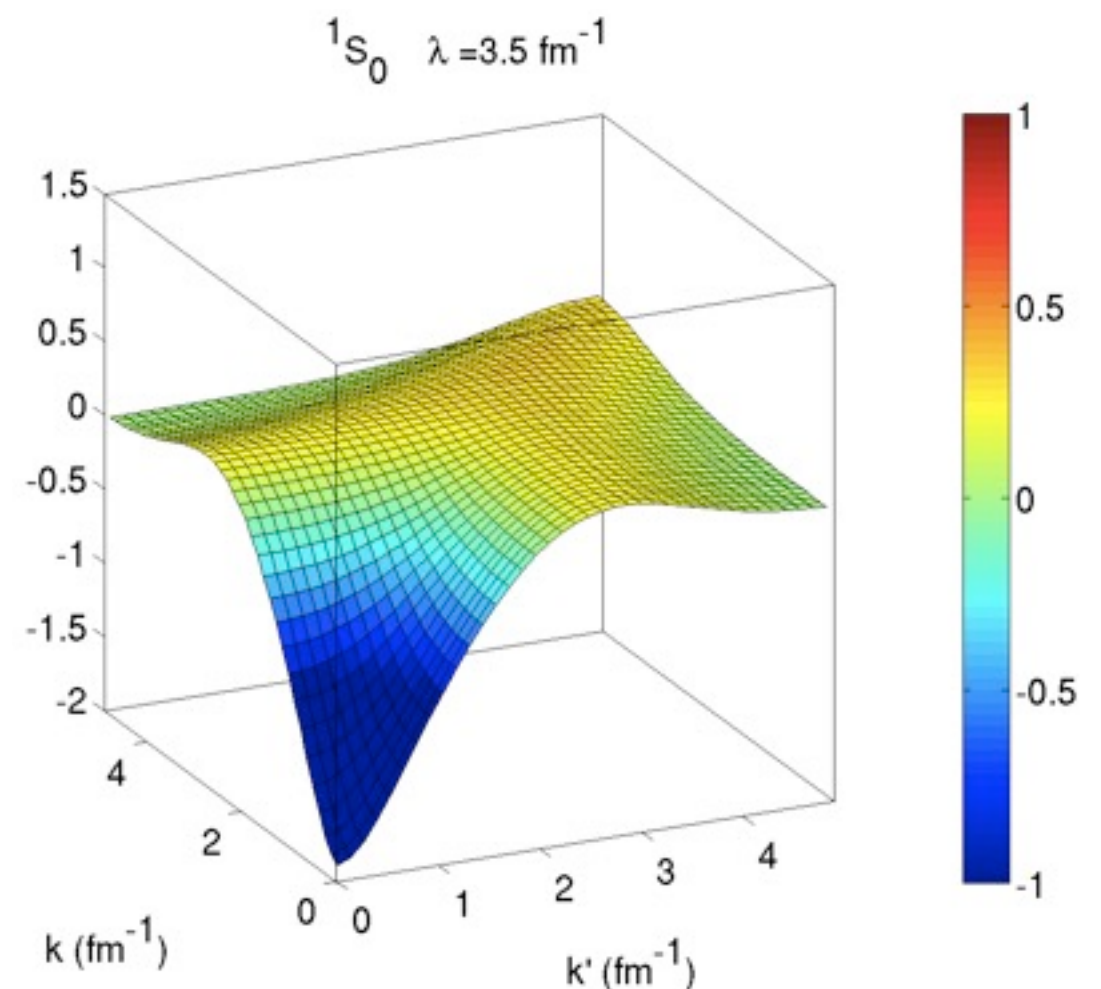
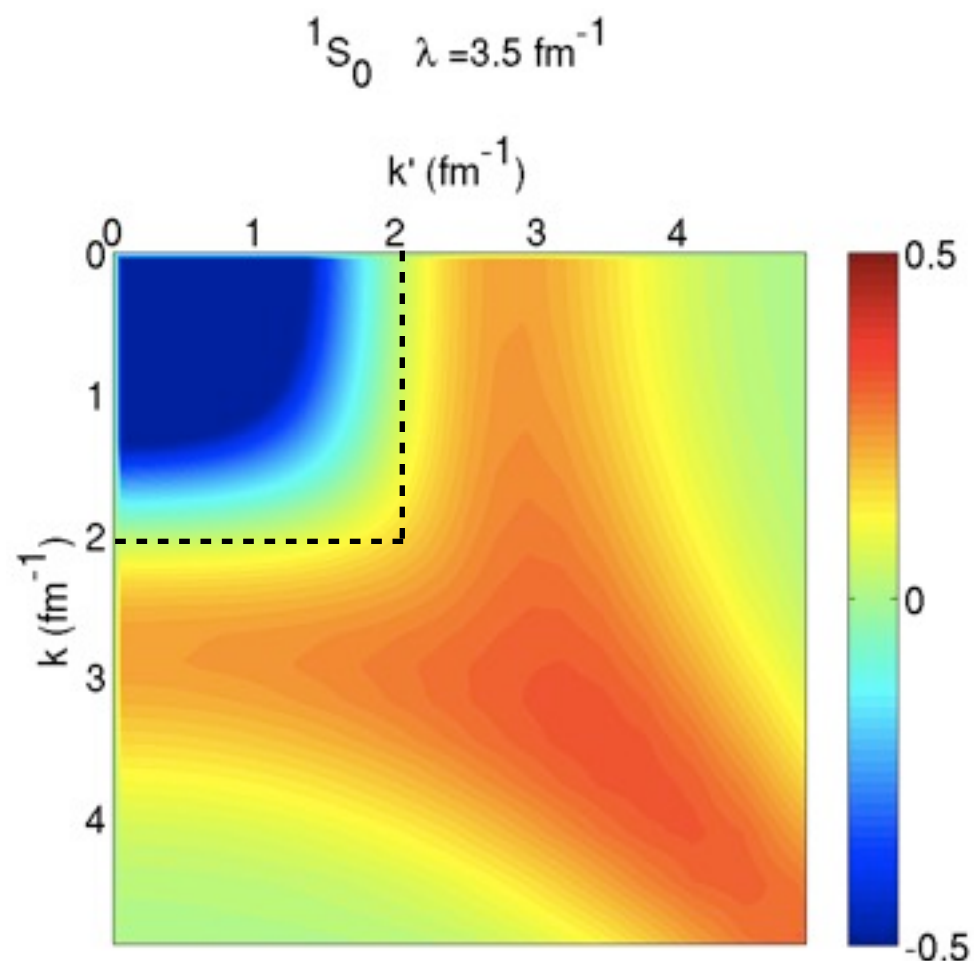


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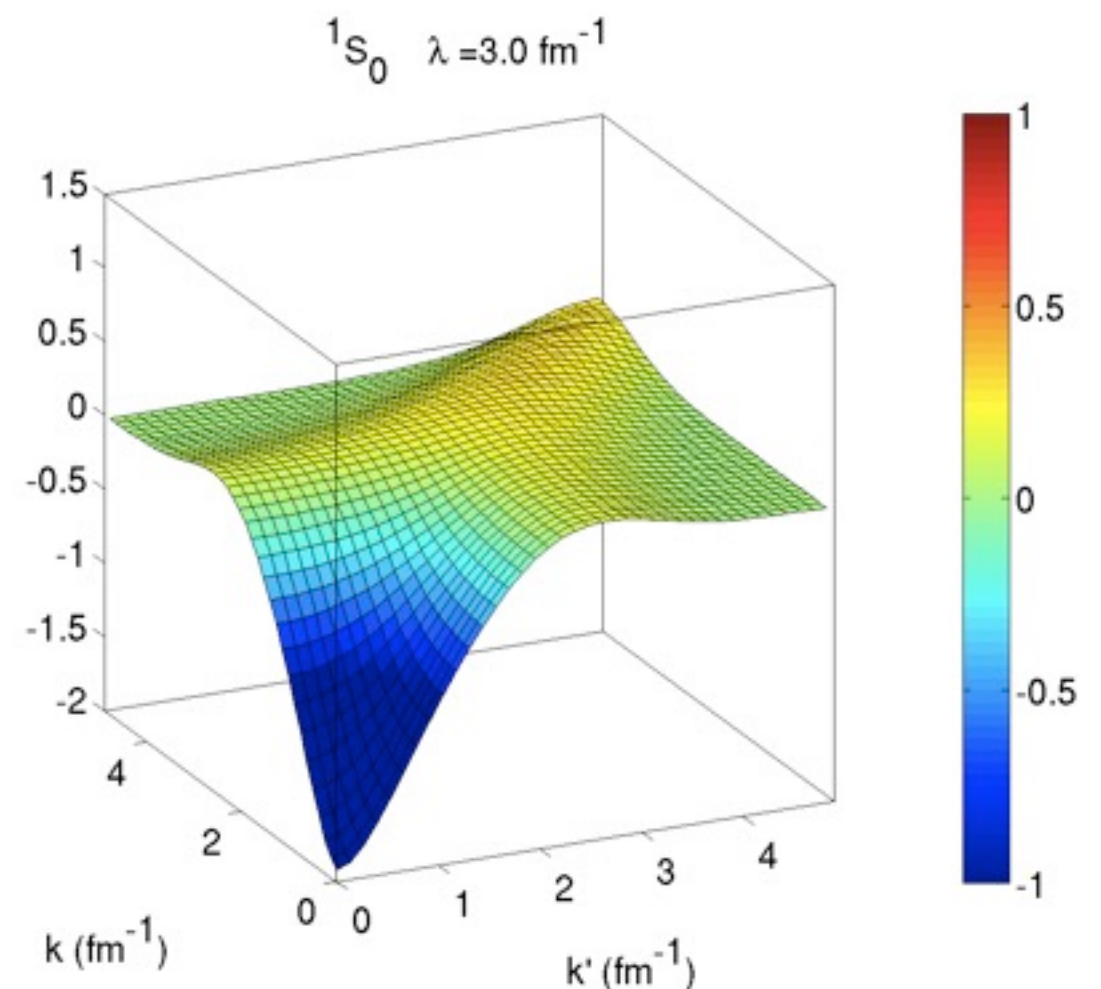
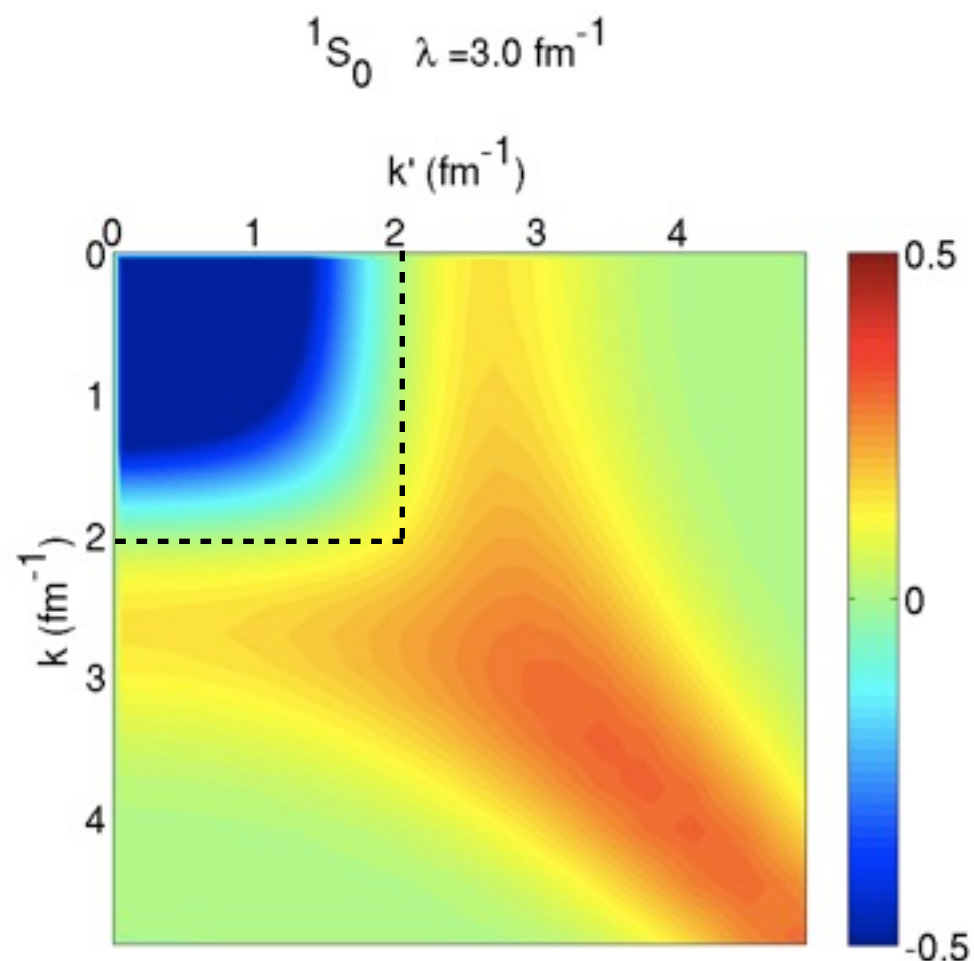


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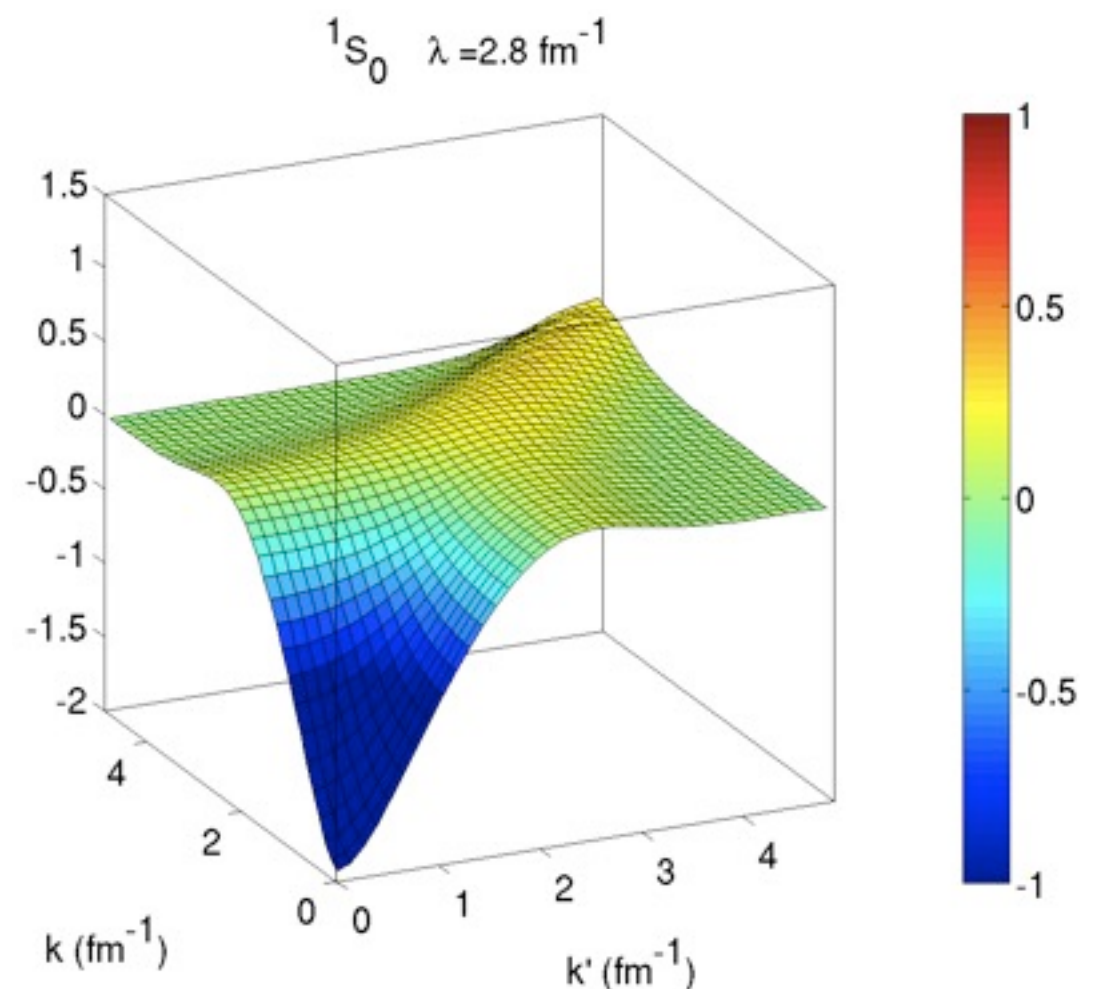
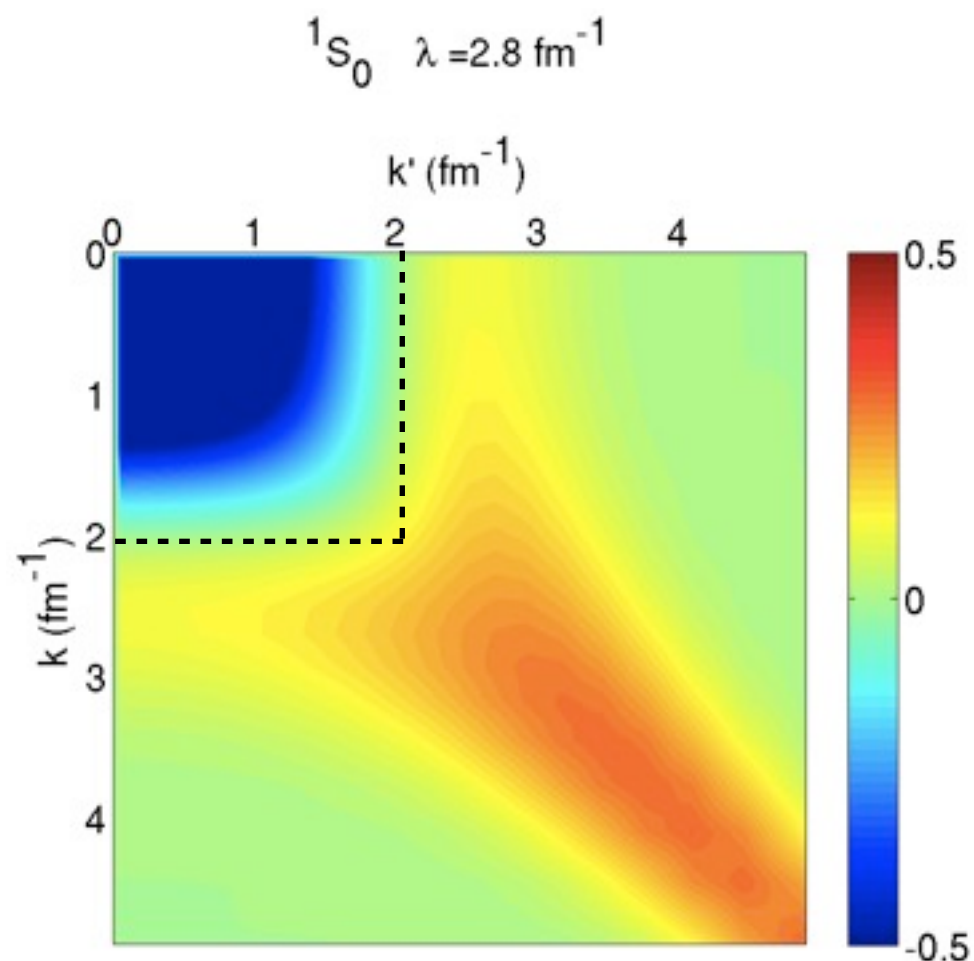


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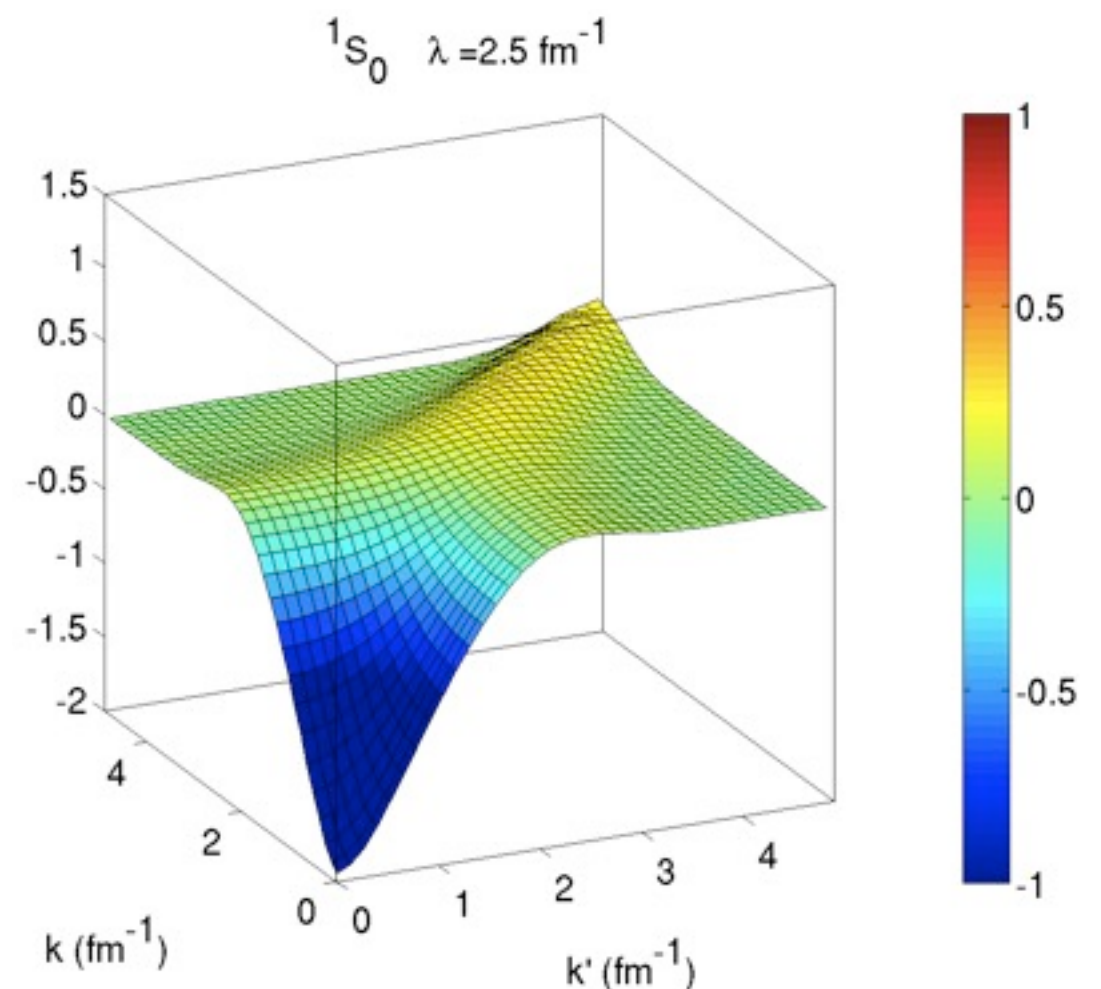
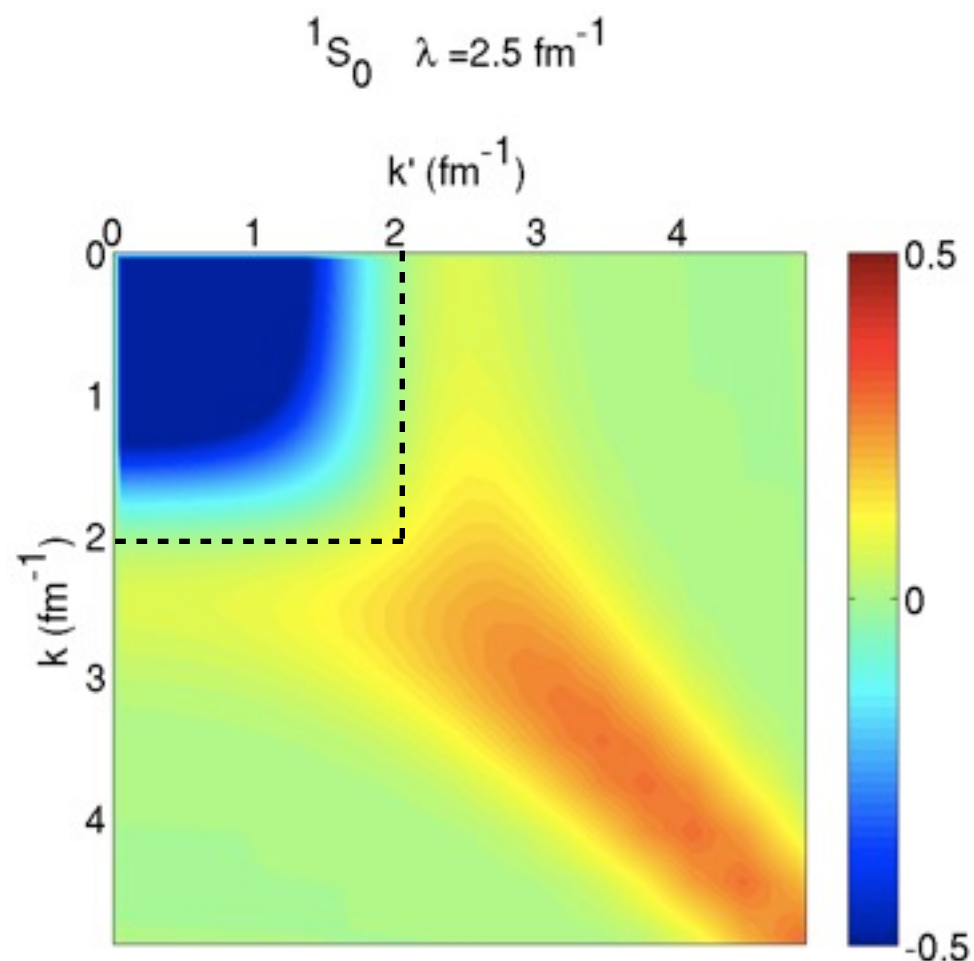


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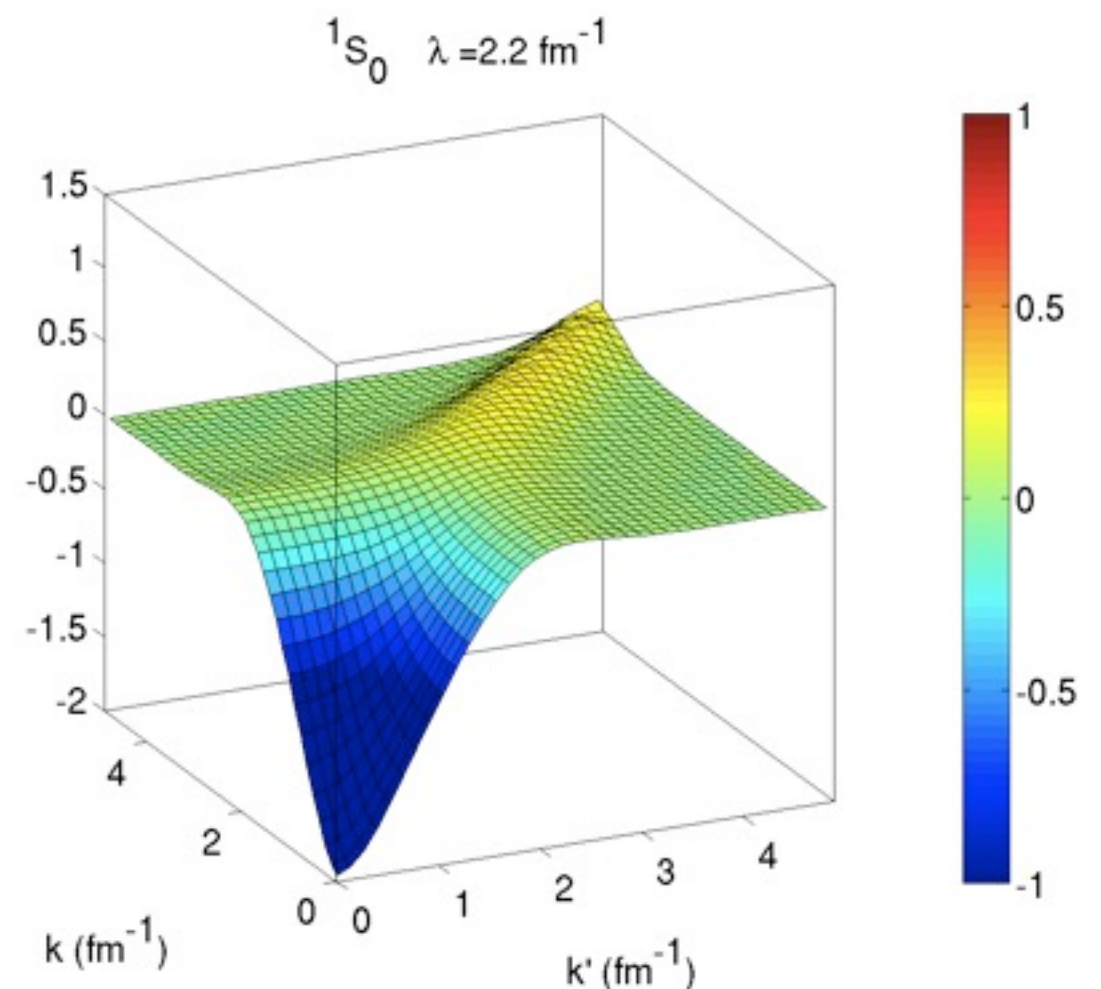
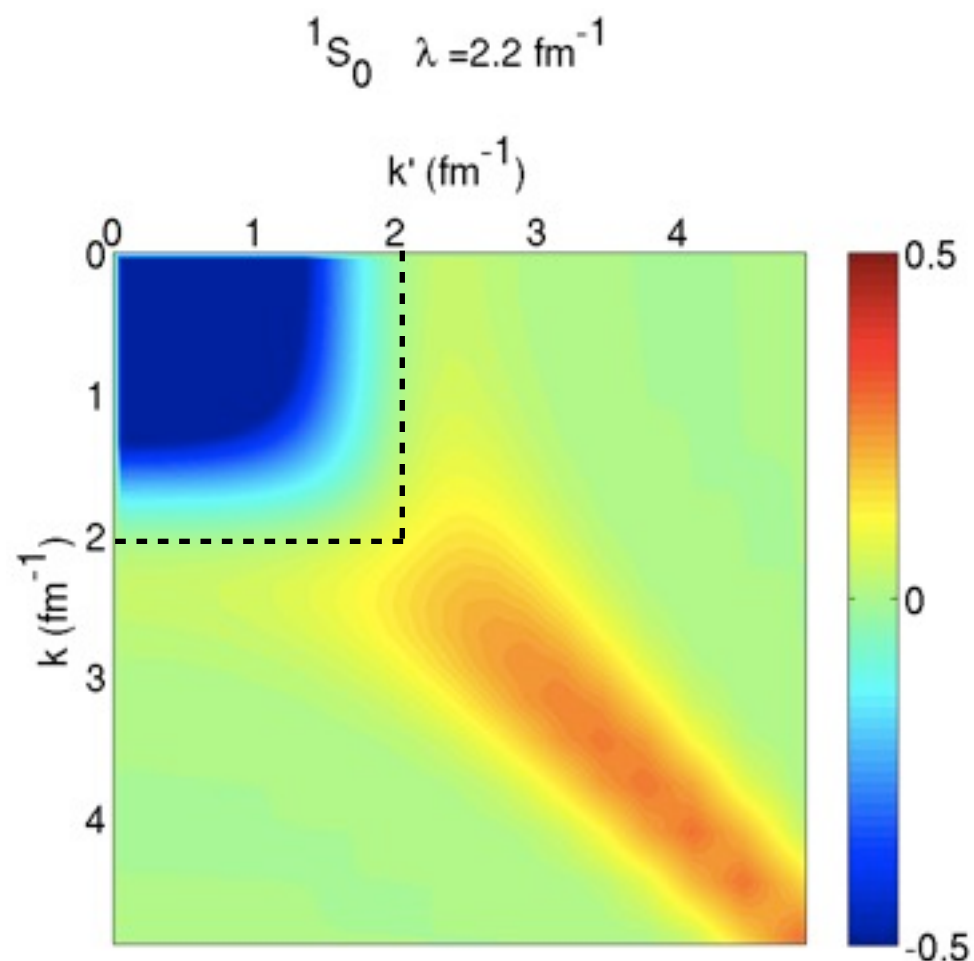


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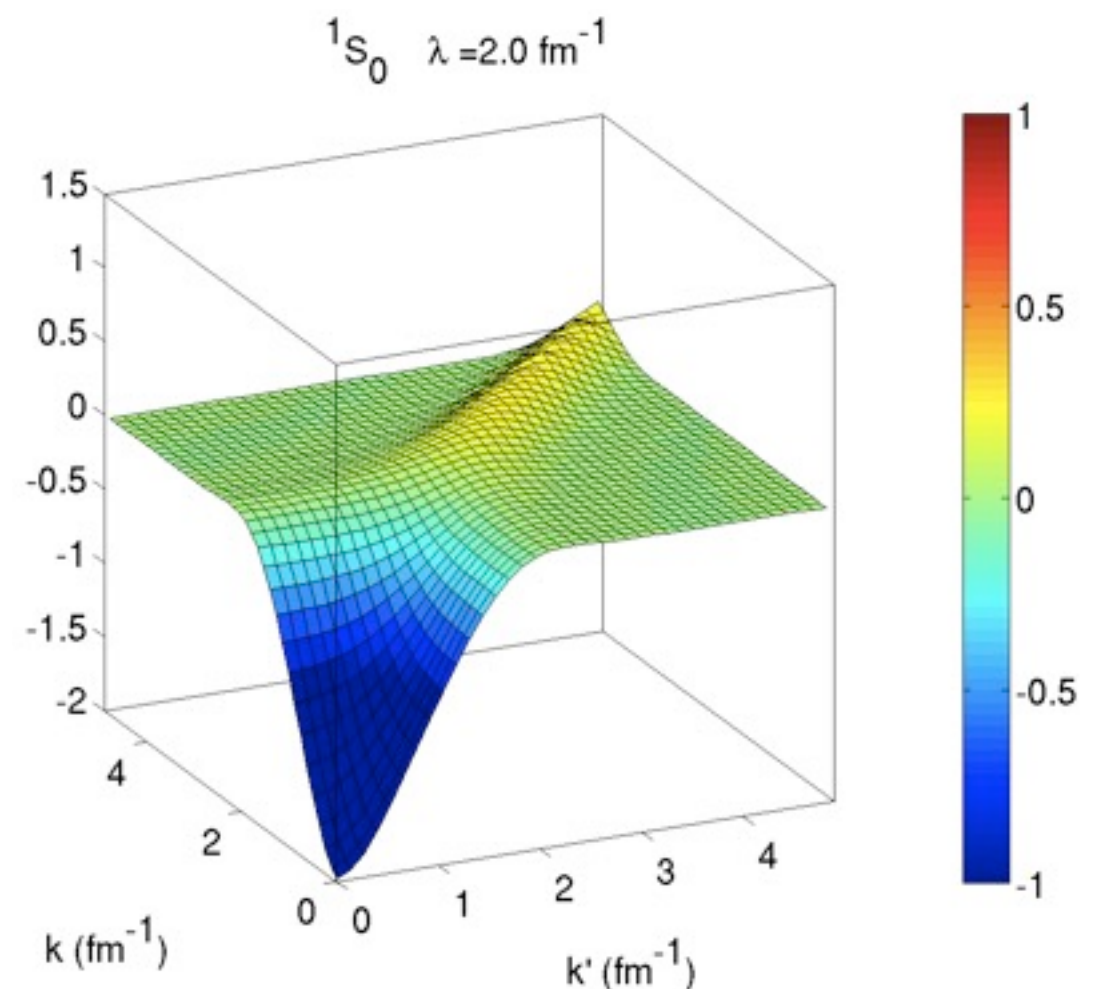
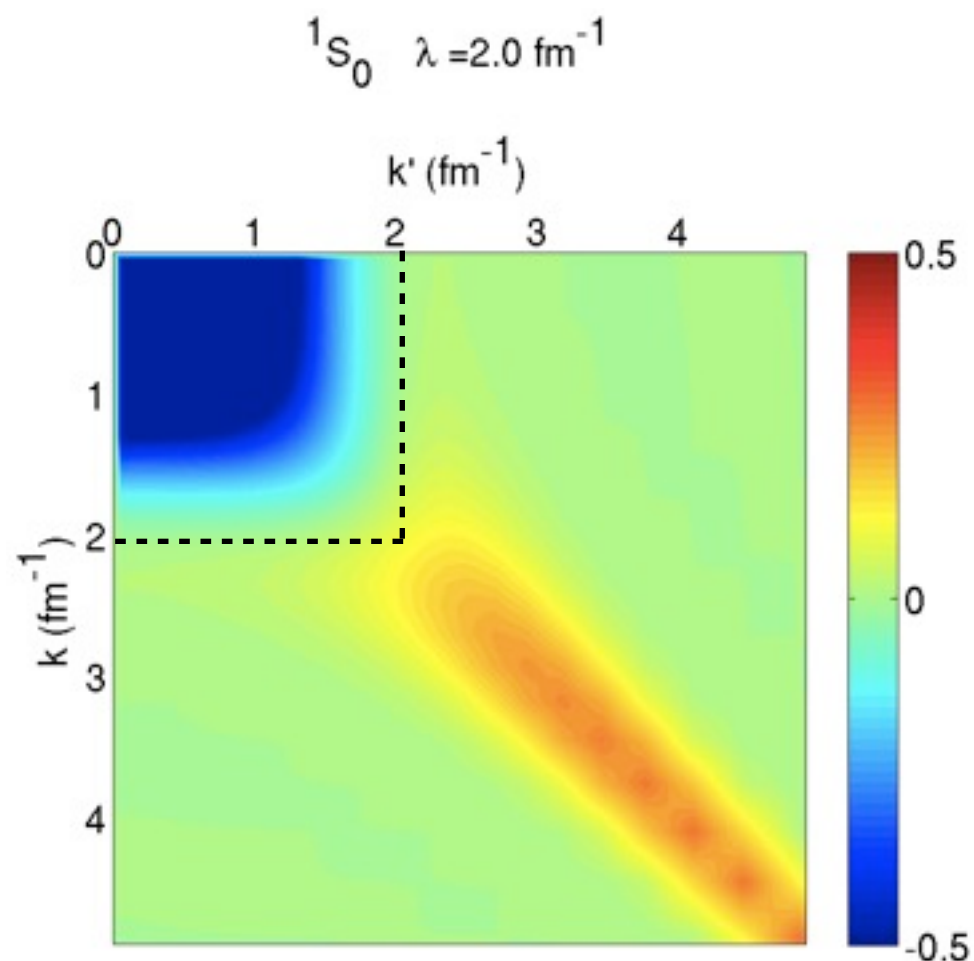


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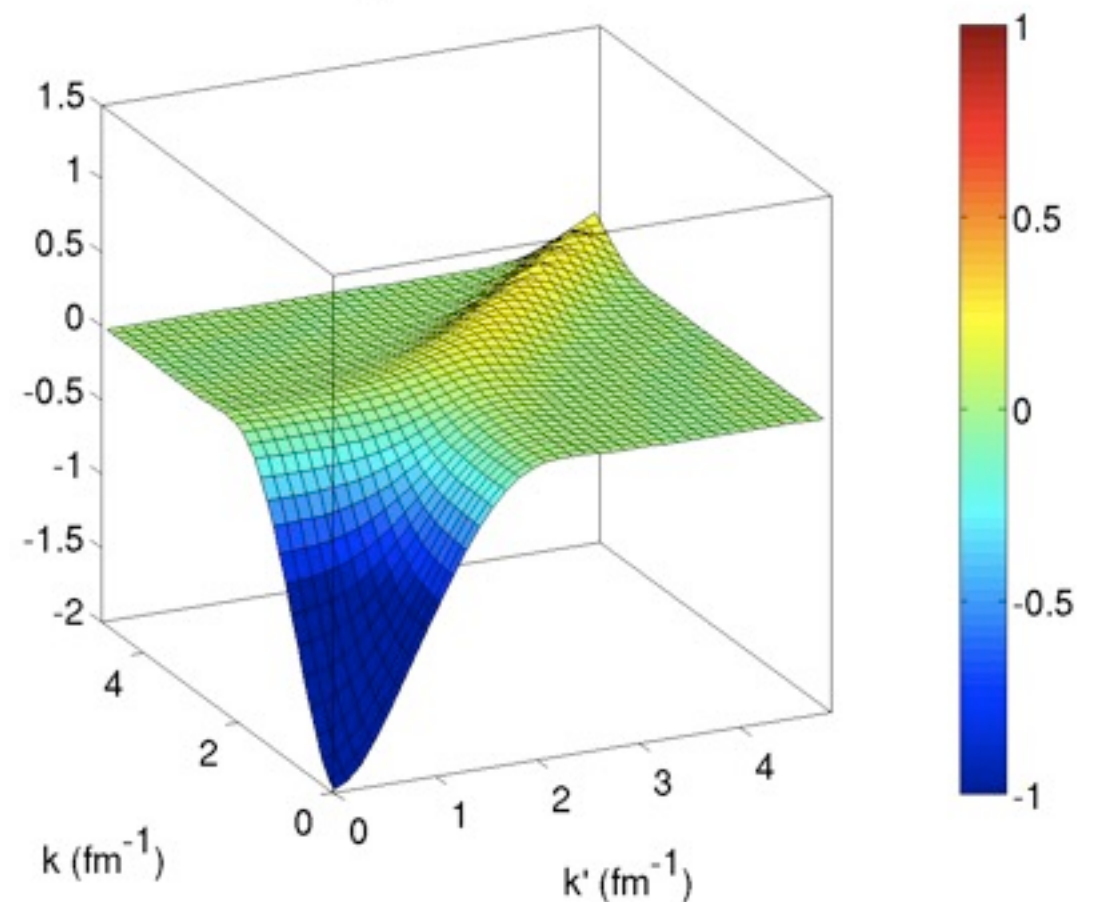
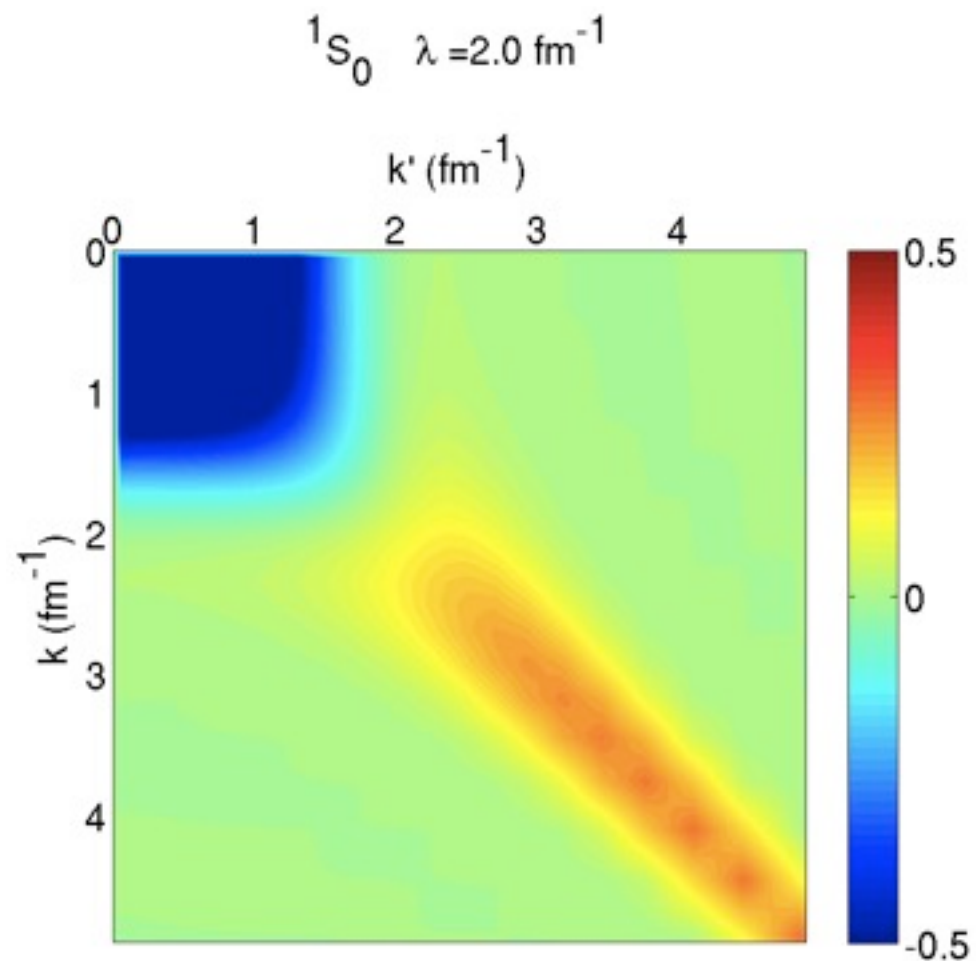
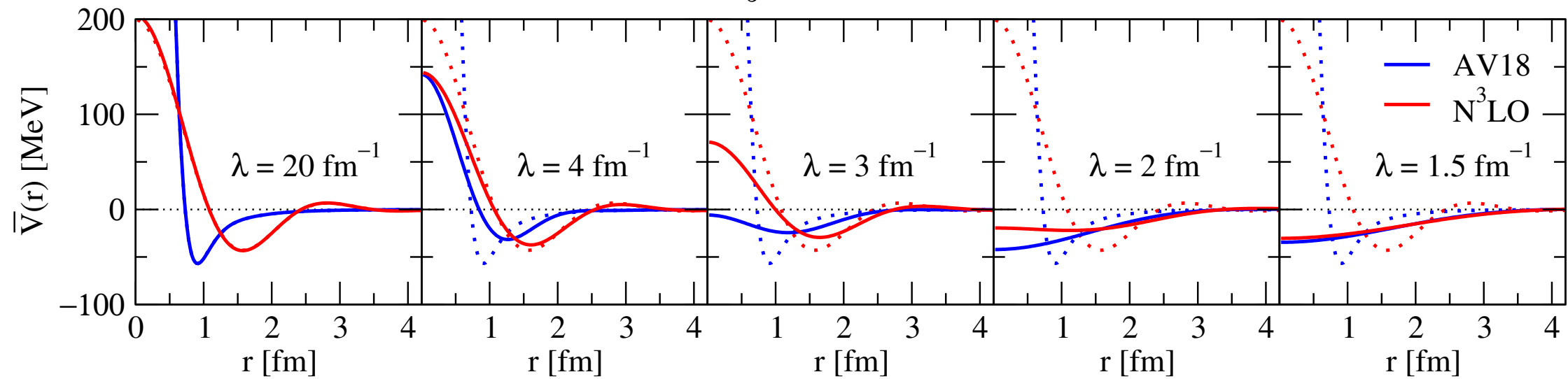
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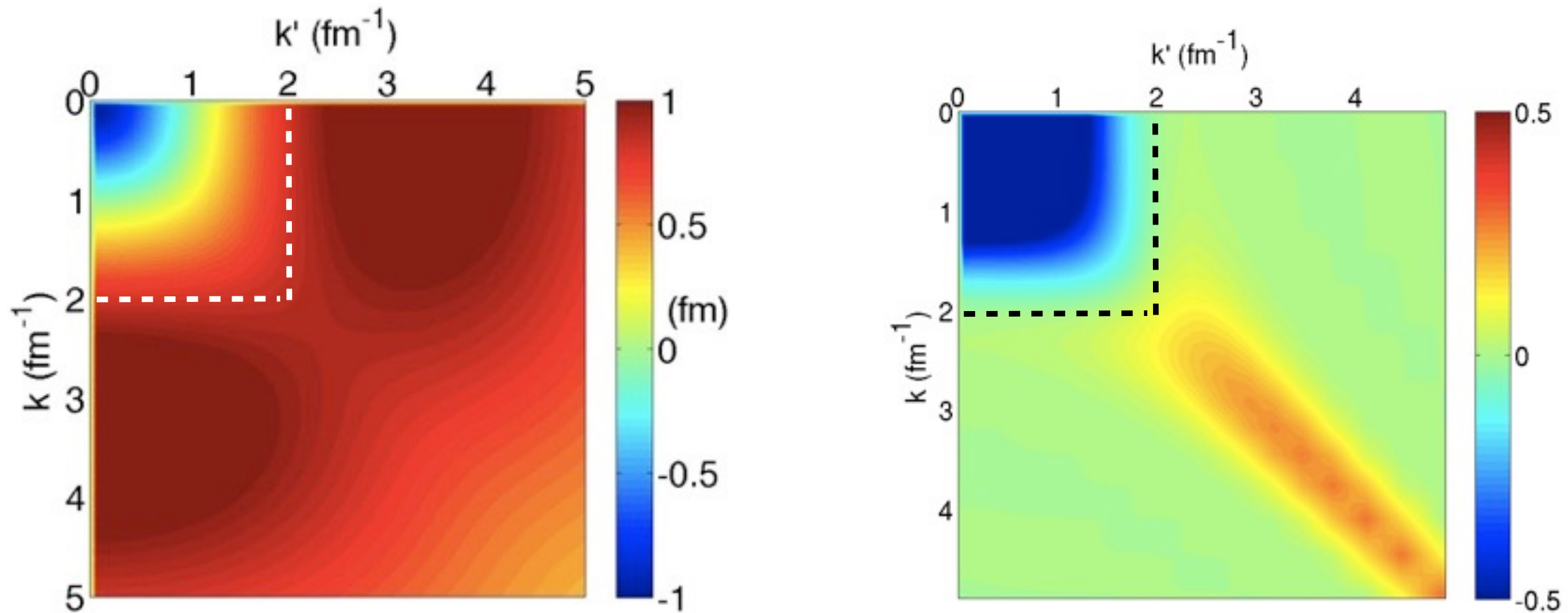
# Systematic decoupling of high-momentum physics: The Similarity Renormalization Group

$$\bar{V}_\lambda(r) = \int dr' r'^2 V_\lambda(r, r')$$





# Systematic decoupling of high-momentum physics: The Similarity Renormalization Group



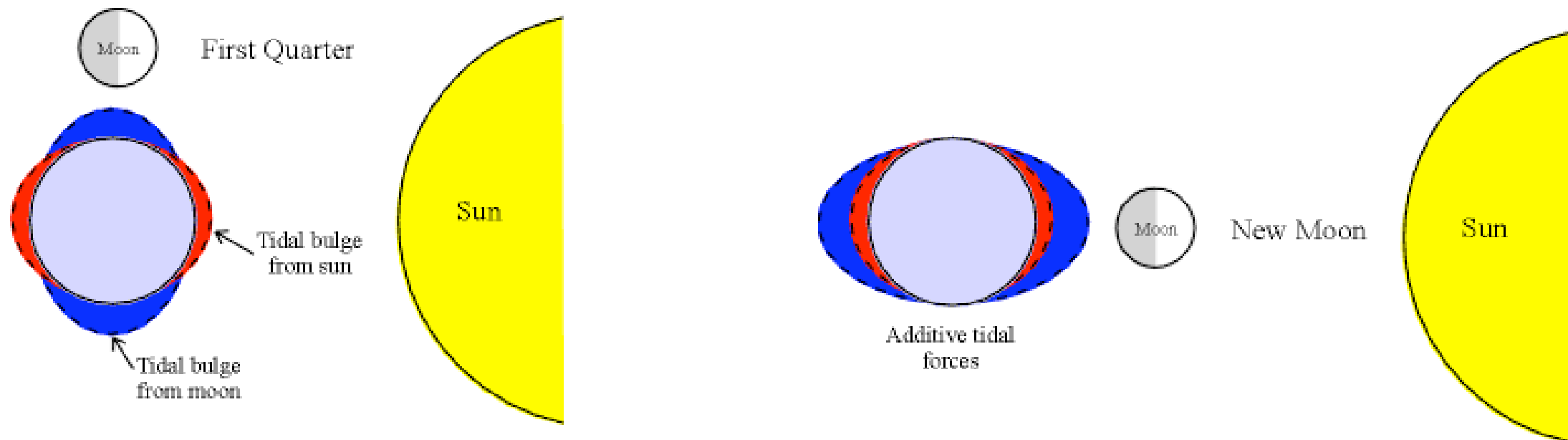
- elimination of coupling between low- and high momentum components,  
→ **simplified many-body calculations**, smaller required model spaces
- observables unaffected by resolution change (for exact calculations)
- residual resolution dependences can be used as tool to test calculations

Not the full story:

RG transformation also changes **three-body** (and higher-body) interactions.

# Aren't 3N forces unnatural? Do we really need them?

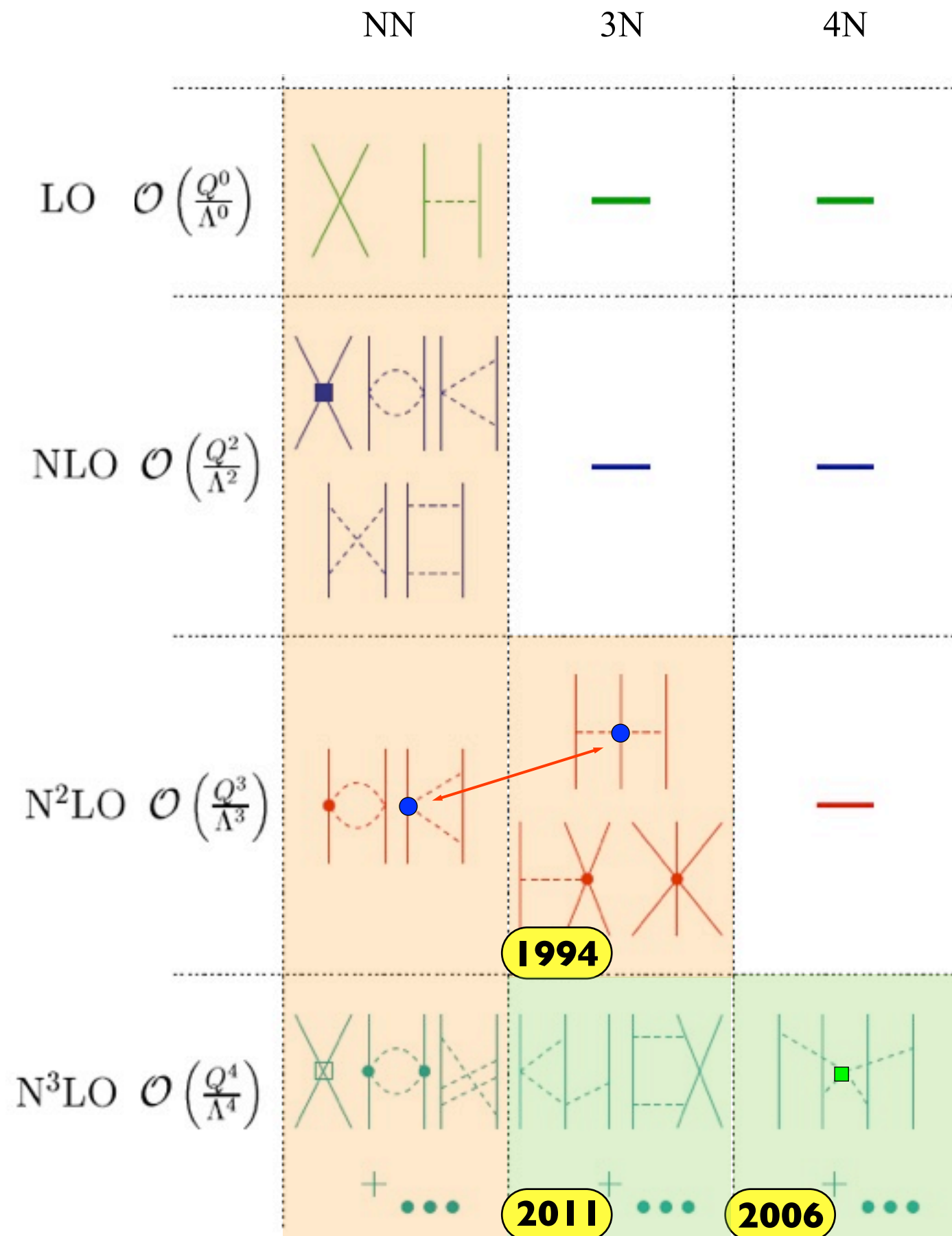
Consider classical analog: tidal effects in earth-sun-moon system



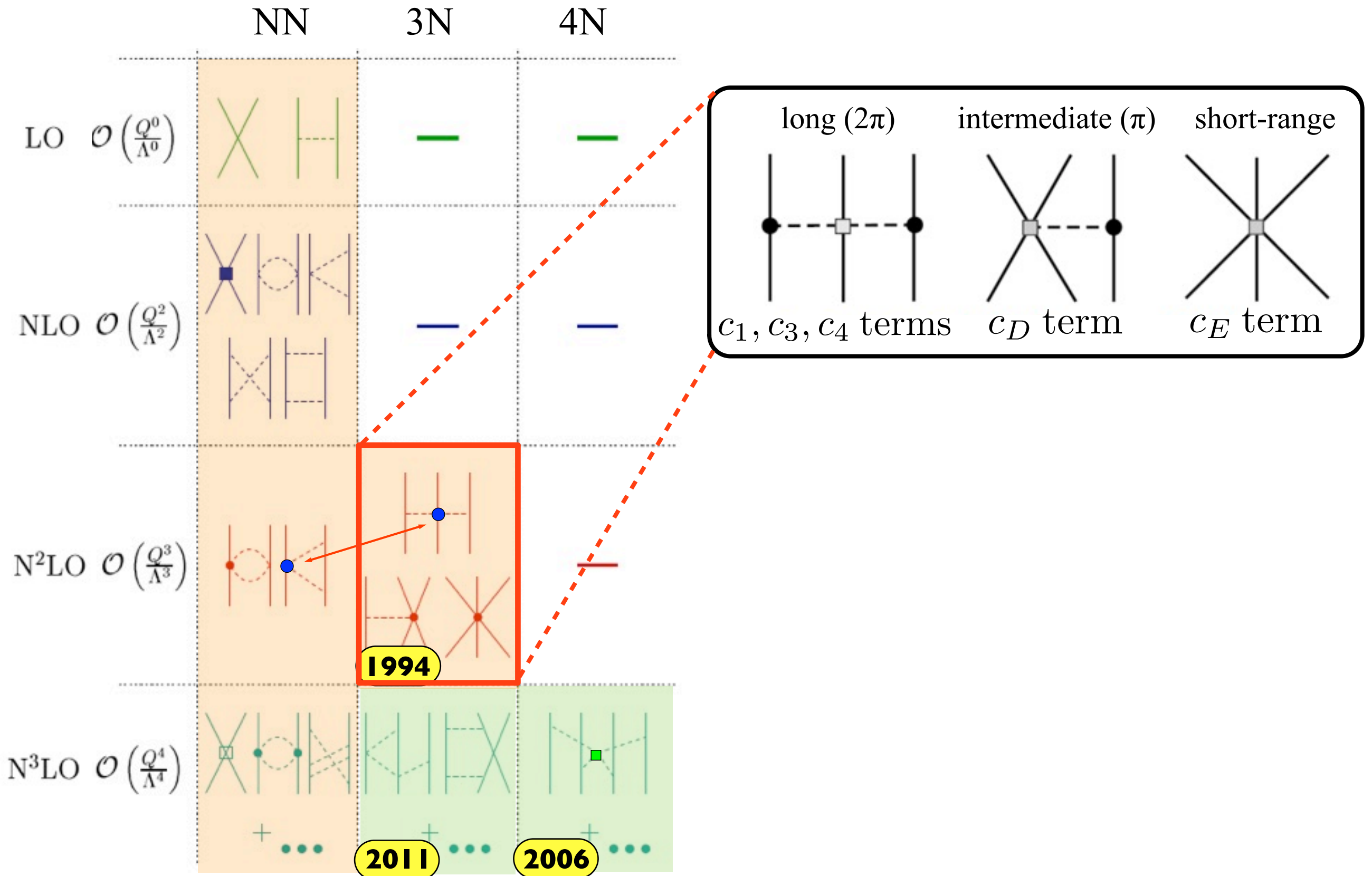
- force between earth and moon depends on the position of sun
  - tidal deformations represent internal excitations
  - describe system using point particles  $\longrightarrow$  3N forces inevitable!
- 
- nucleons are composite particles, can also be excited
  - change of resolution change excitations that can be described explicitly
  - existence of three-nucleon forces natural
  - **crucial question:** How important are their contributions?

# Chiral effective field theory for nuclear forces

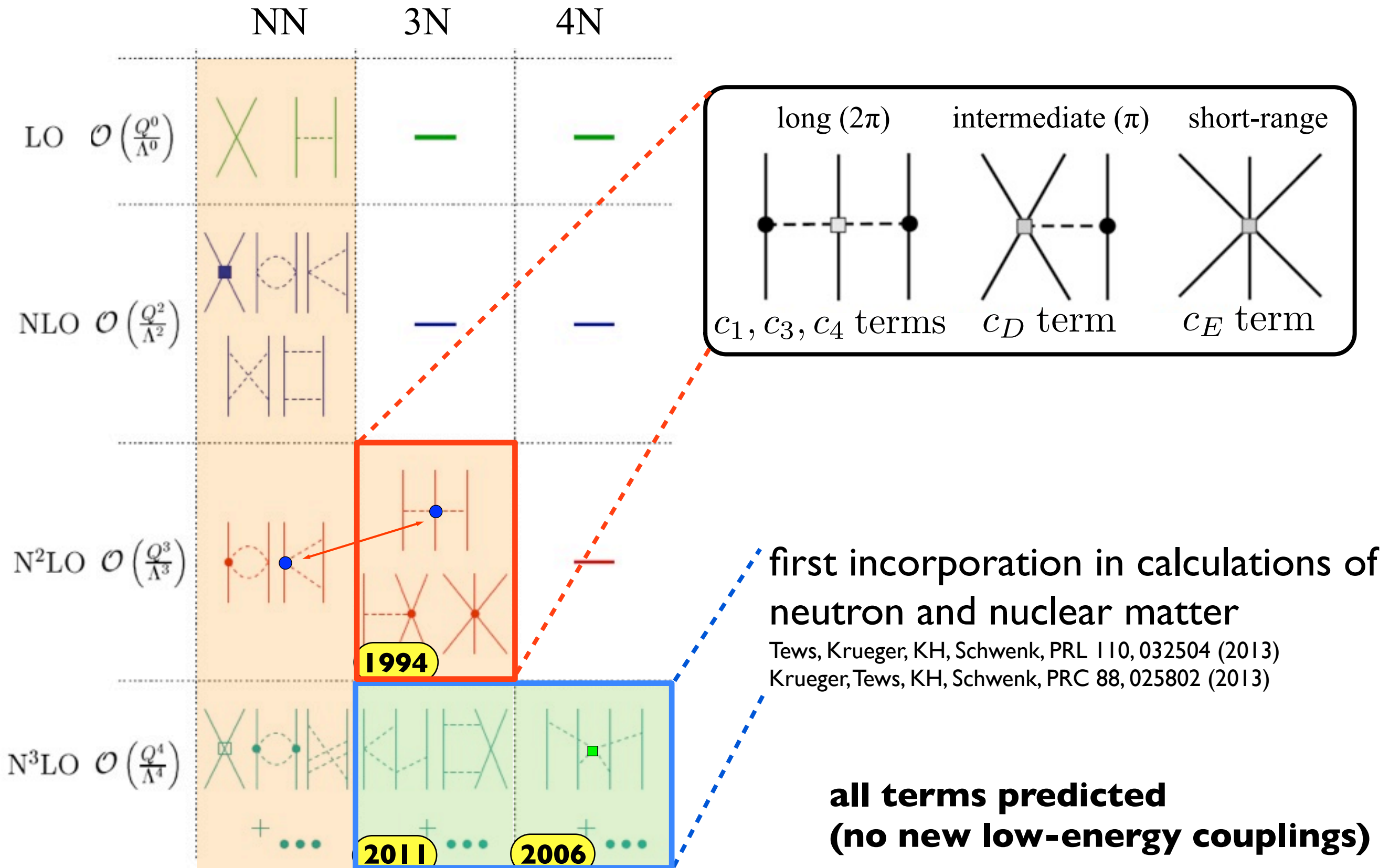
- choose relevant degrees of freedom: here nucleons and pions
- operators constrained by symmetries of QCD
- short-range physics captured in few short-range couplings
- separation of scales:  $Q \ll \Lambda_b$ , breakdown scale  $\Lambda_b \sim 500$  MeV
- power-counting: expand in powers  $Q/\Lambda_b$
- systematic: work to desired accuracy, obtain error estimates



# Many-body forces in chiral EFT

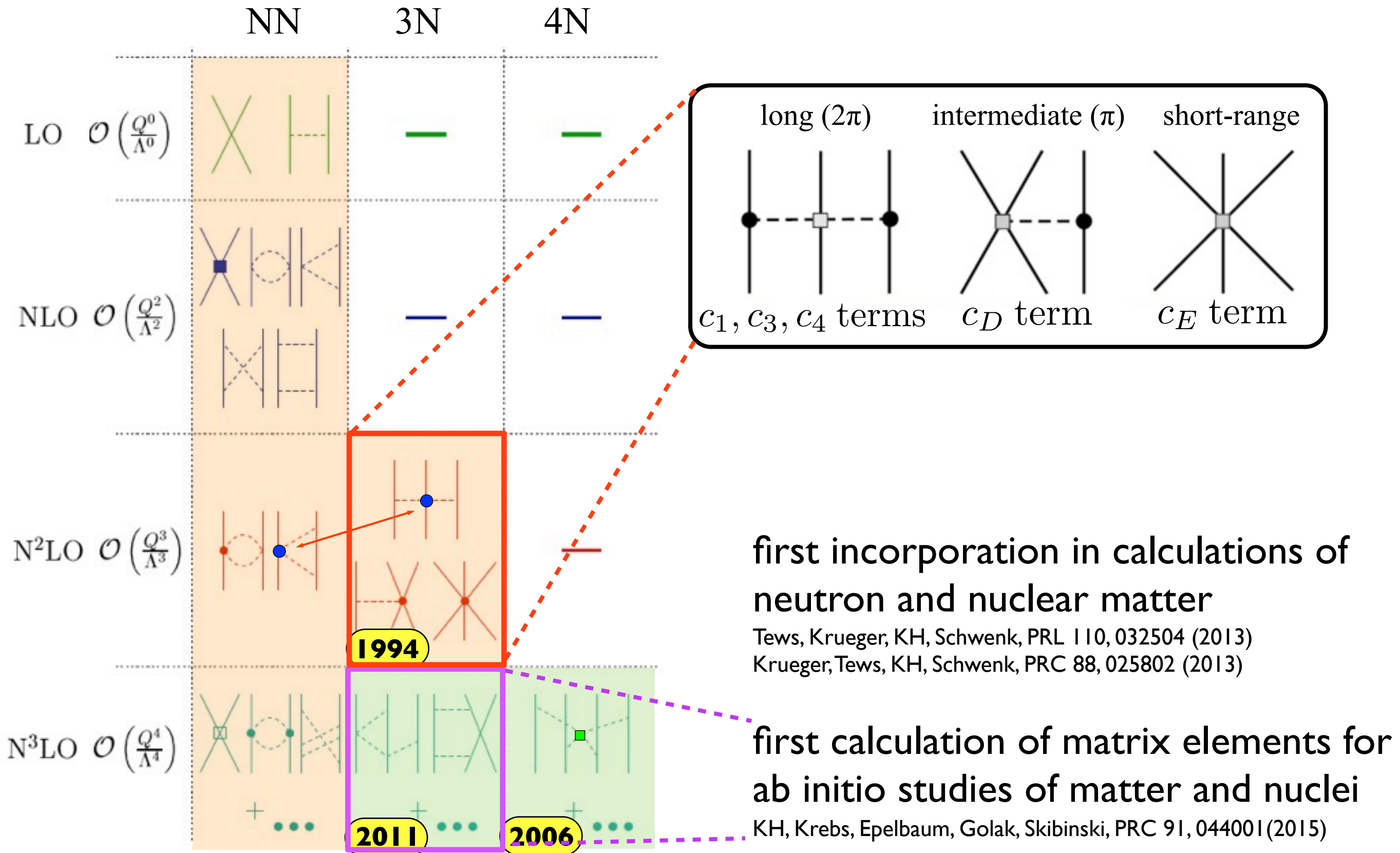


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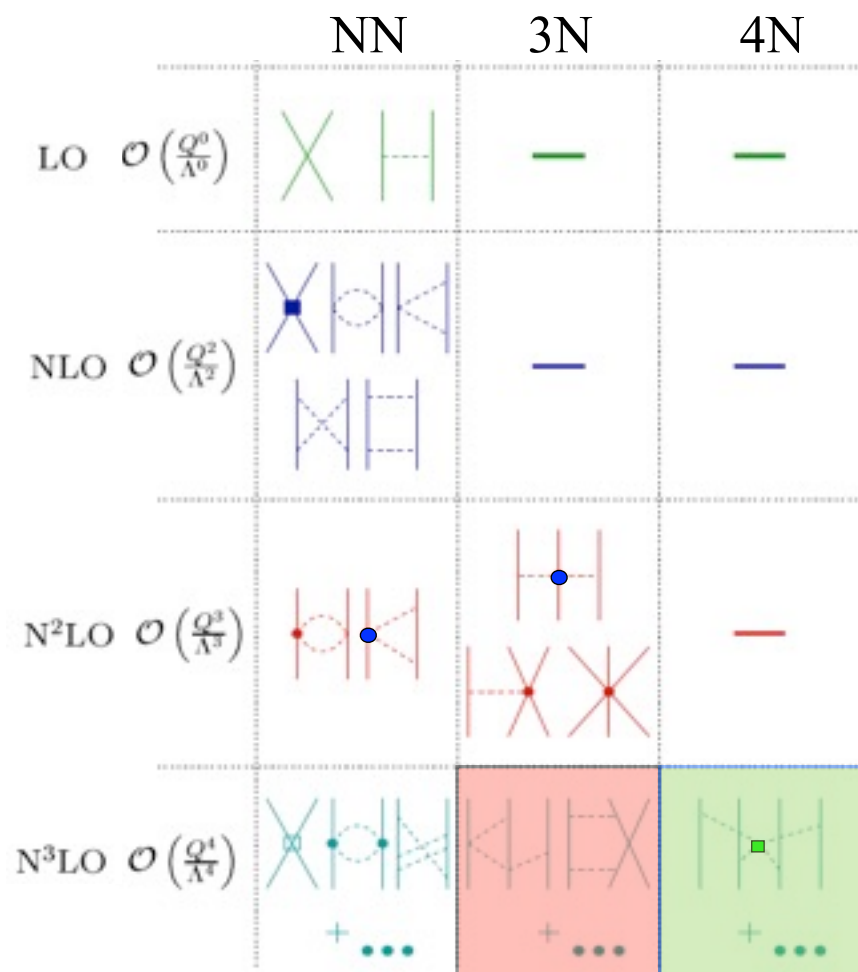




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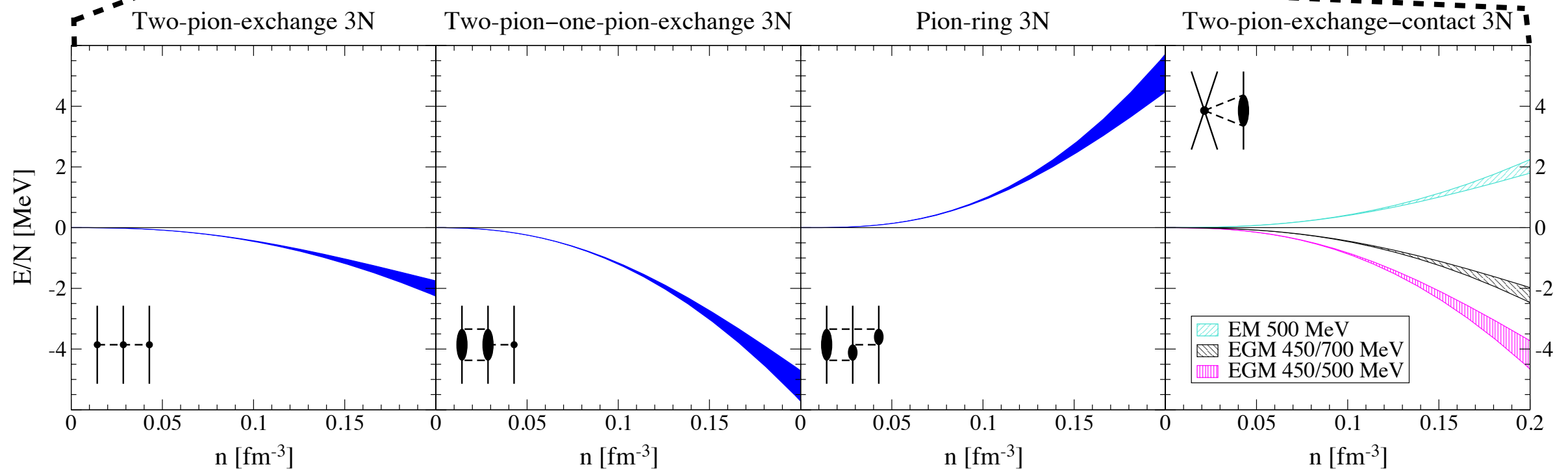


# Contributions of many-body forces at N<sup>3</sup>LO in neutron matter

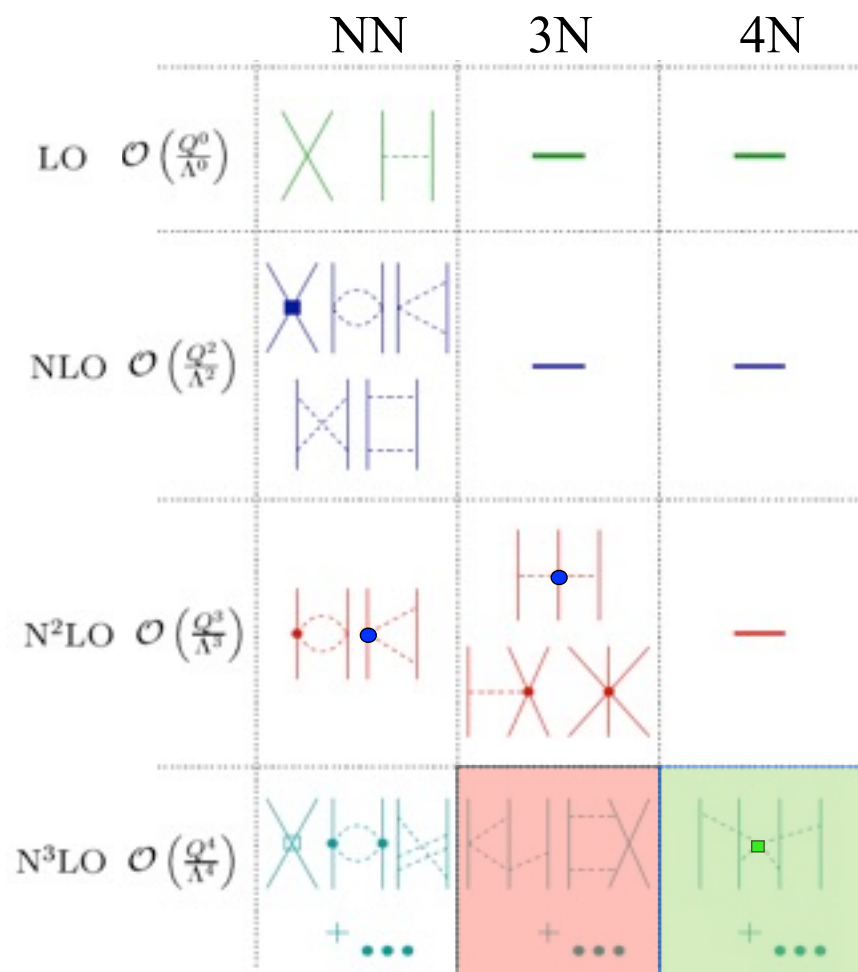


- first calculations of N<sup>3</sup>LO 3NF and 4NF contributions to EOS of neutron matter
- found **large contributions** in Hartree Fock appr., comparable to size of N<sup>2</sup>LO contributions, (**power counting?**)

Tews, Krüger, KH, Schwenk  
PRL 110, 032504 (2013)

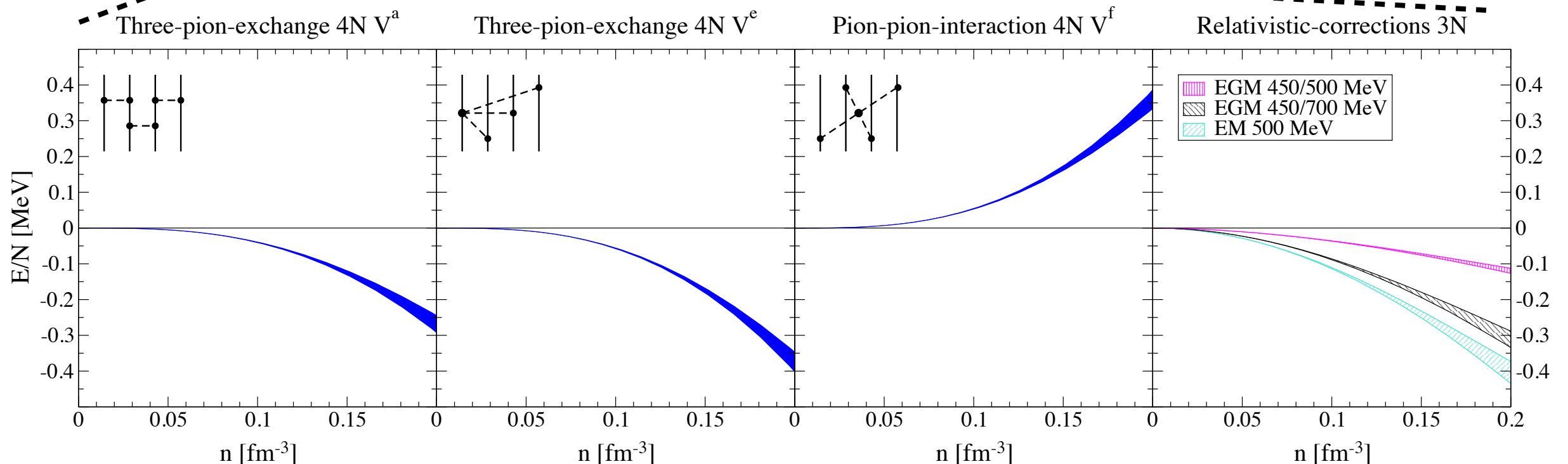


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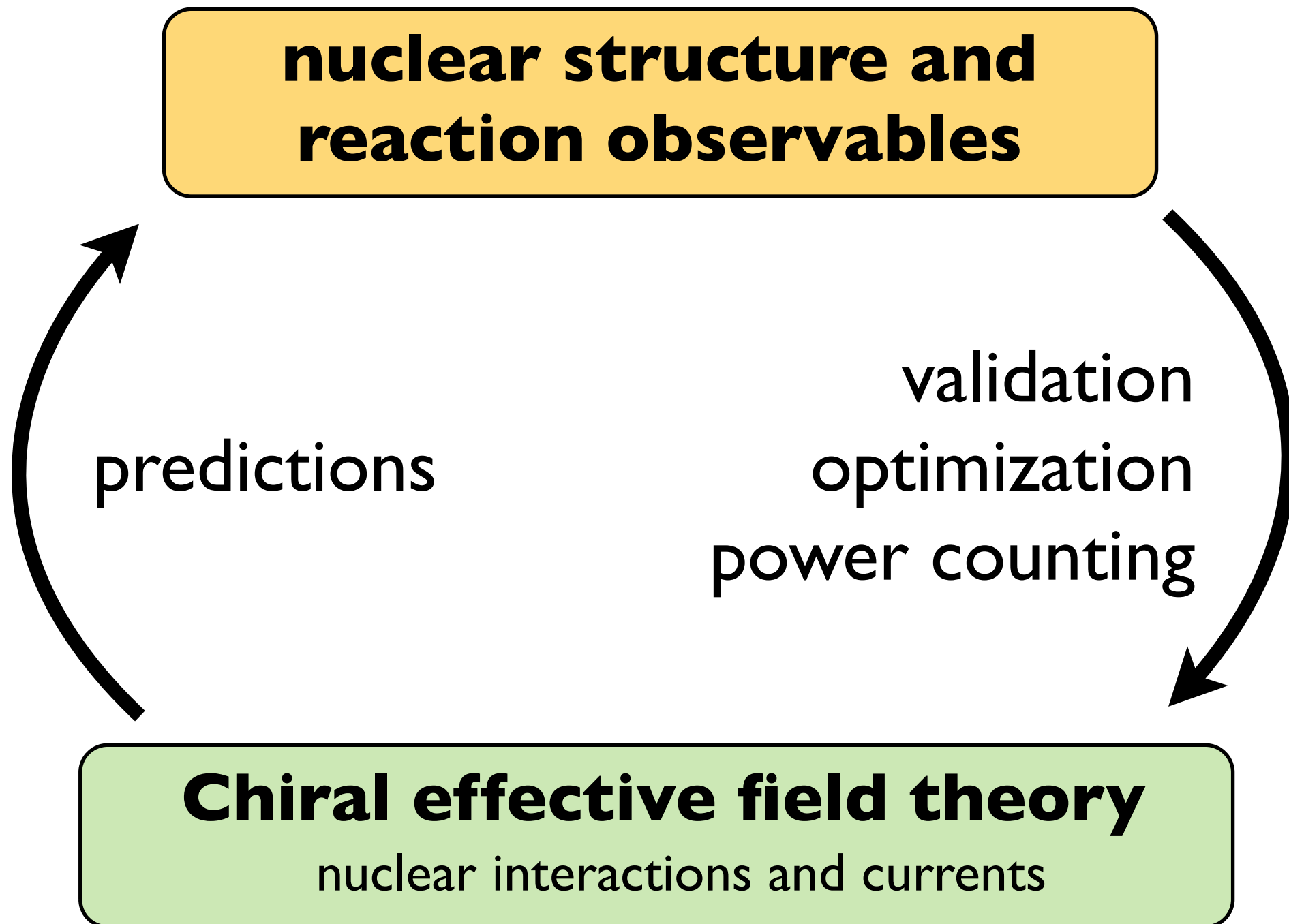


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- 4NF contributions **small**

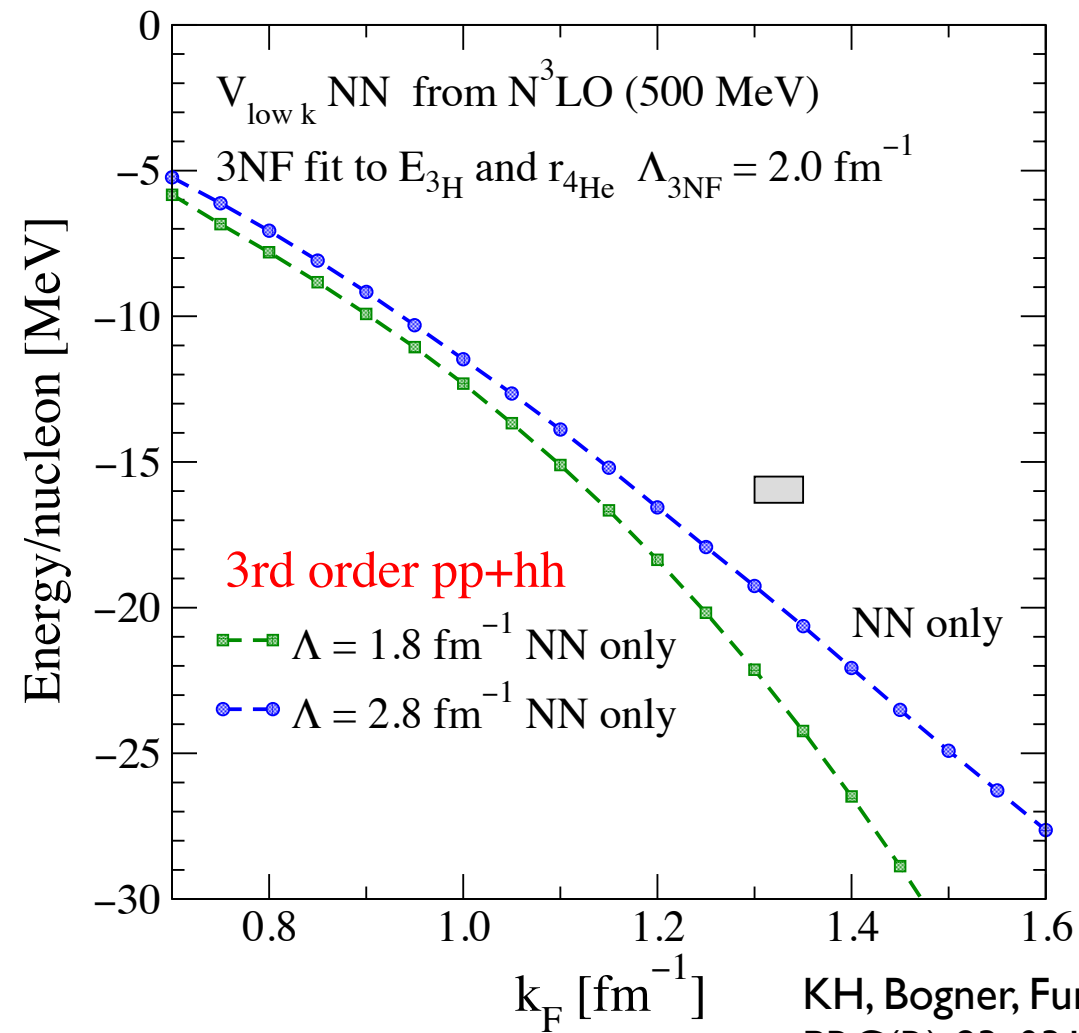
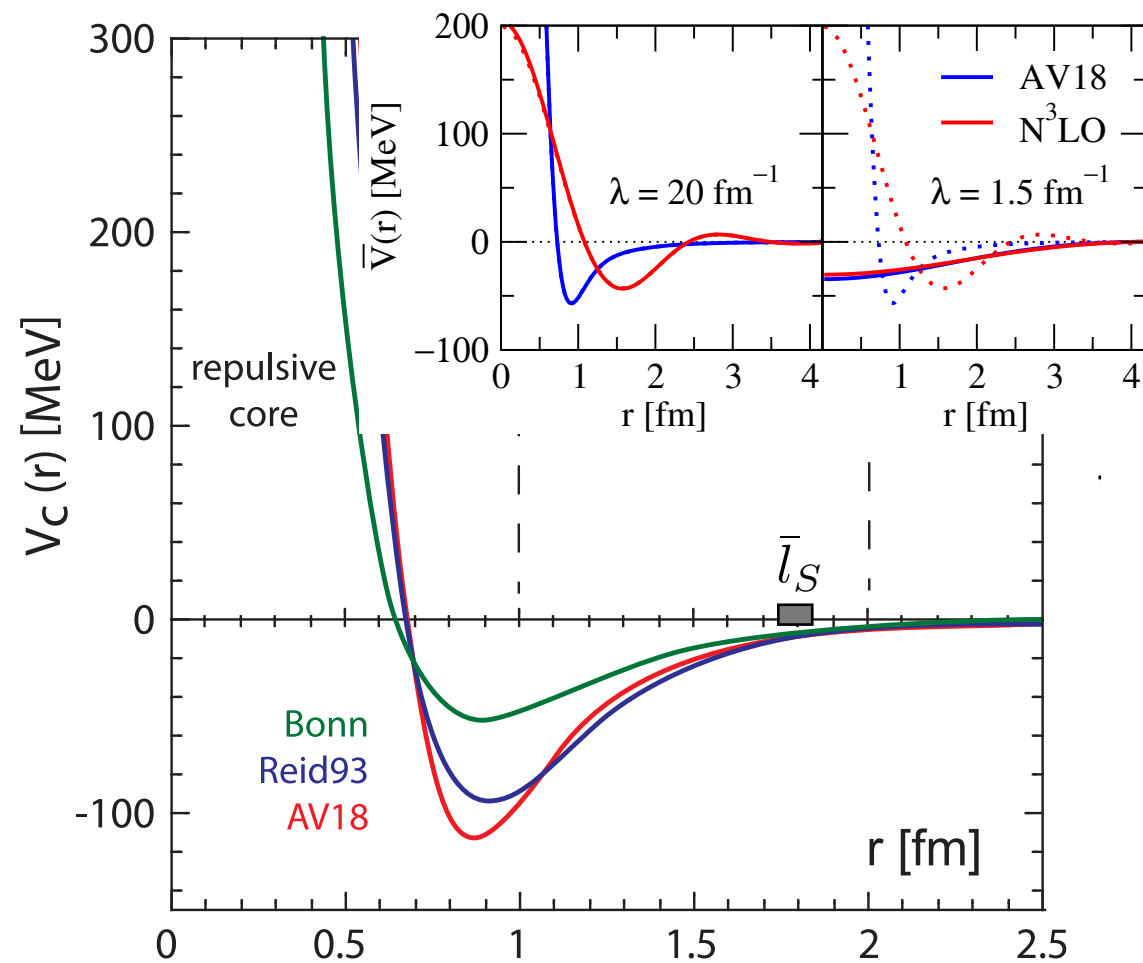
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# Development of nuclear interactions



# Equation of state of symmetric nuclear matter

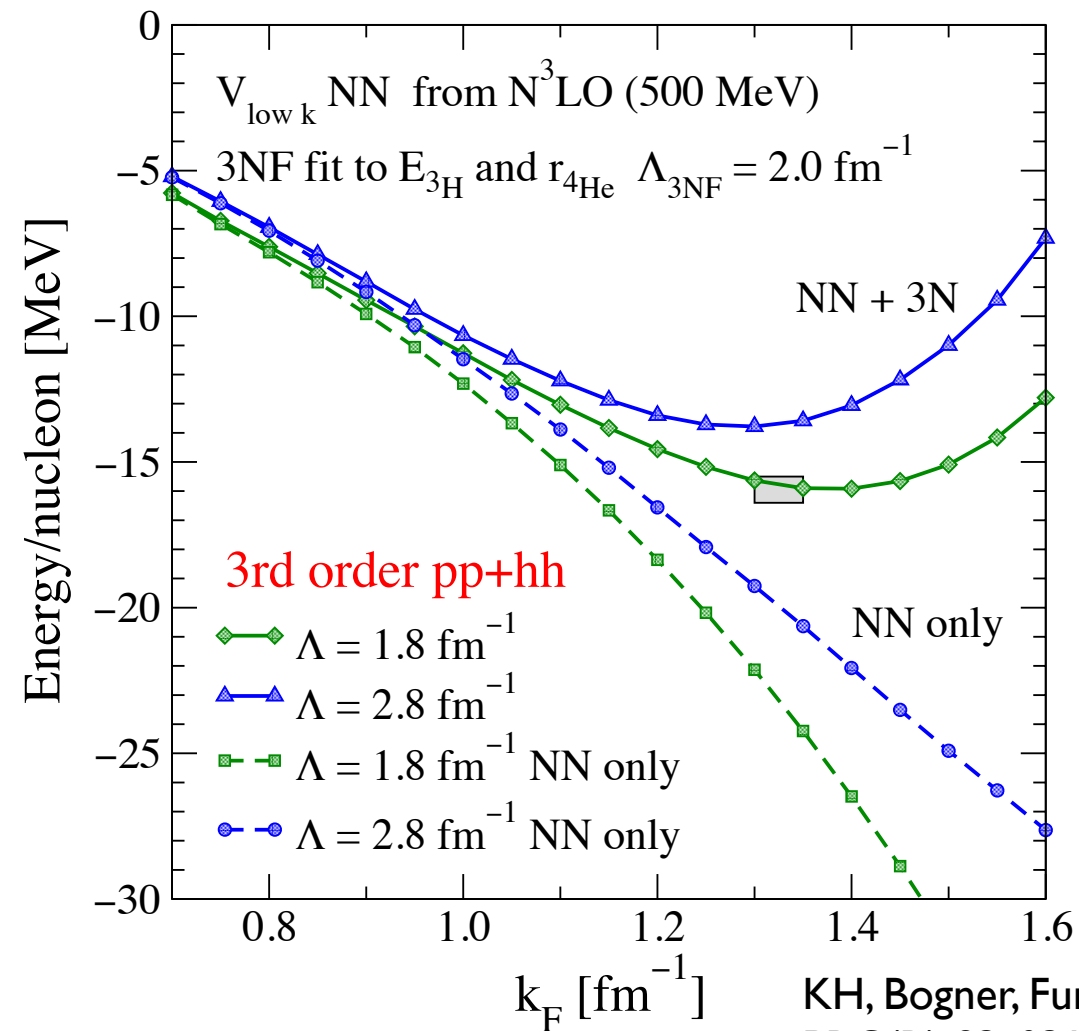
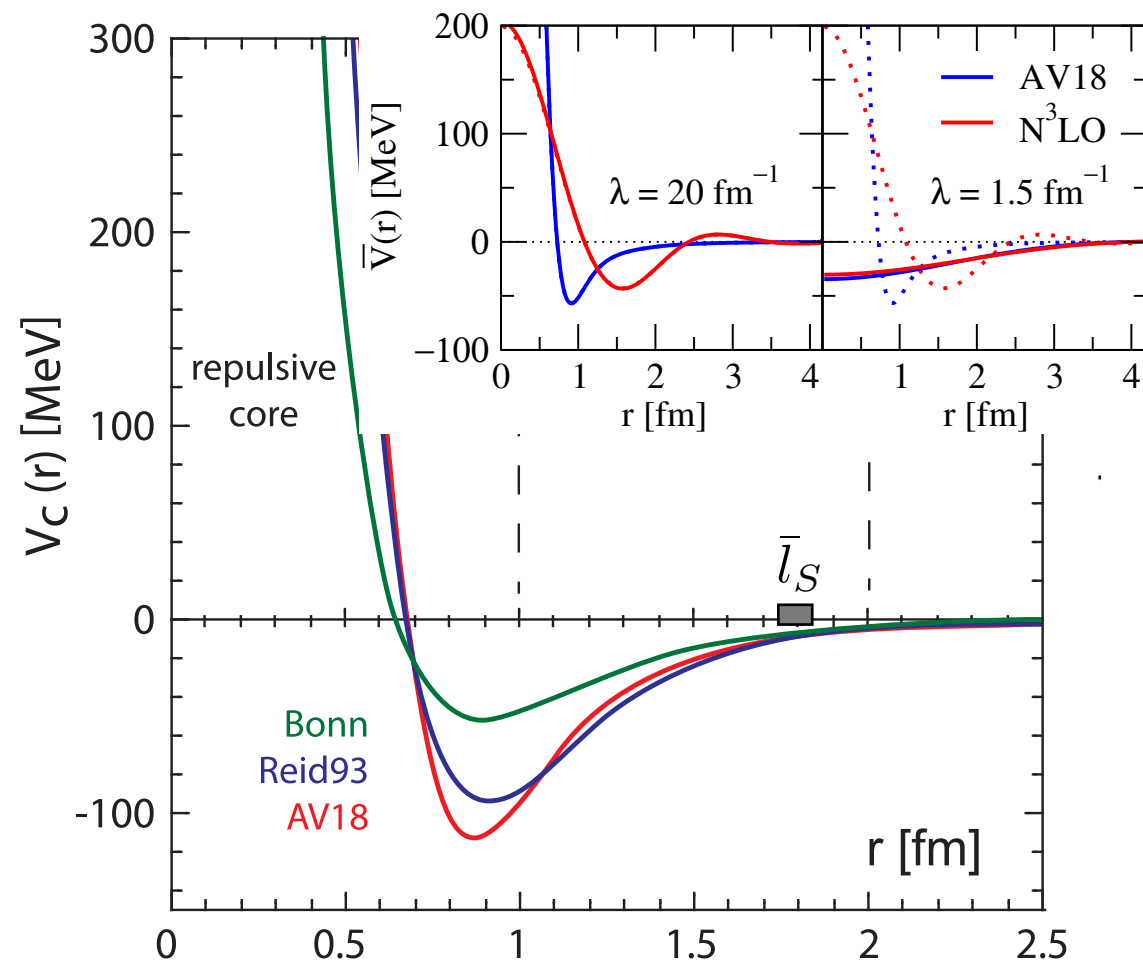


	2N States	3N States	4N States
LO $\mathcal{O}(\frac{p}{\Lambda})$	X H	—	—
NLO $\mathcal{O}(\frac{p^2}{\Lambda^2})$	X H H	—	—
N <sup>2</sup> LO $\mathcal{O}(\frac{p^3}{\Lambda^3})$	X H H H	X H	—
N <sup>3</sup> LO $\mathcal{O}(\frac{p^4}{\Lambda^4})$	X H H H H	X H H	X H

KH, Bogner, Furnstahl, Nogga,  
PRC(R) 83, 031301 (2011)



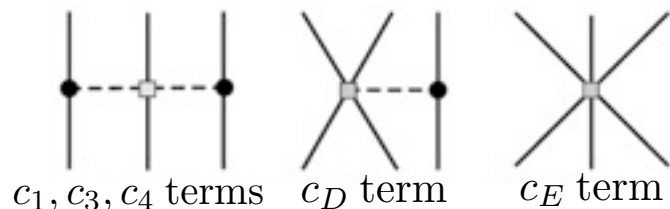
# Equation of state of symmetric nuclear matter



	1N States	2N States	3N States
LO $\mathcal{O}(\frac{1}{\Lambda})$	X H	—	—
NLO $\mathcal{O}(\frac{1}{\Lambda^2})$	X H H	—	—
NLO $\mathcal{O}(\frac{1}{\Lambda^2})$	X H H	—	—
NLO $\mathcal{O}(\frac{1}{\Lambda^2})$	X H H	—	—
NLO $\mathcal{O}(\frac{1}{\Lambda^2})$	X H H	—	—

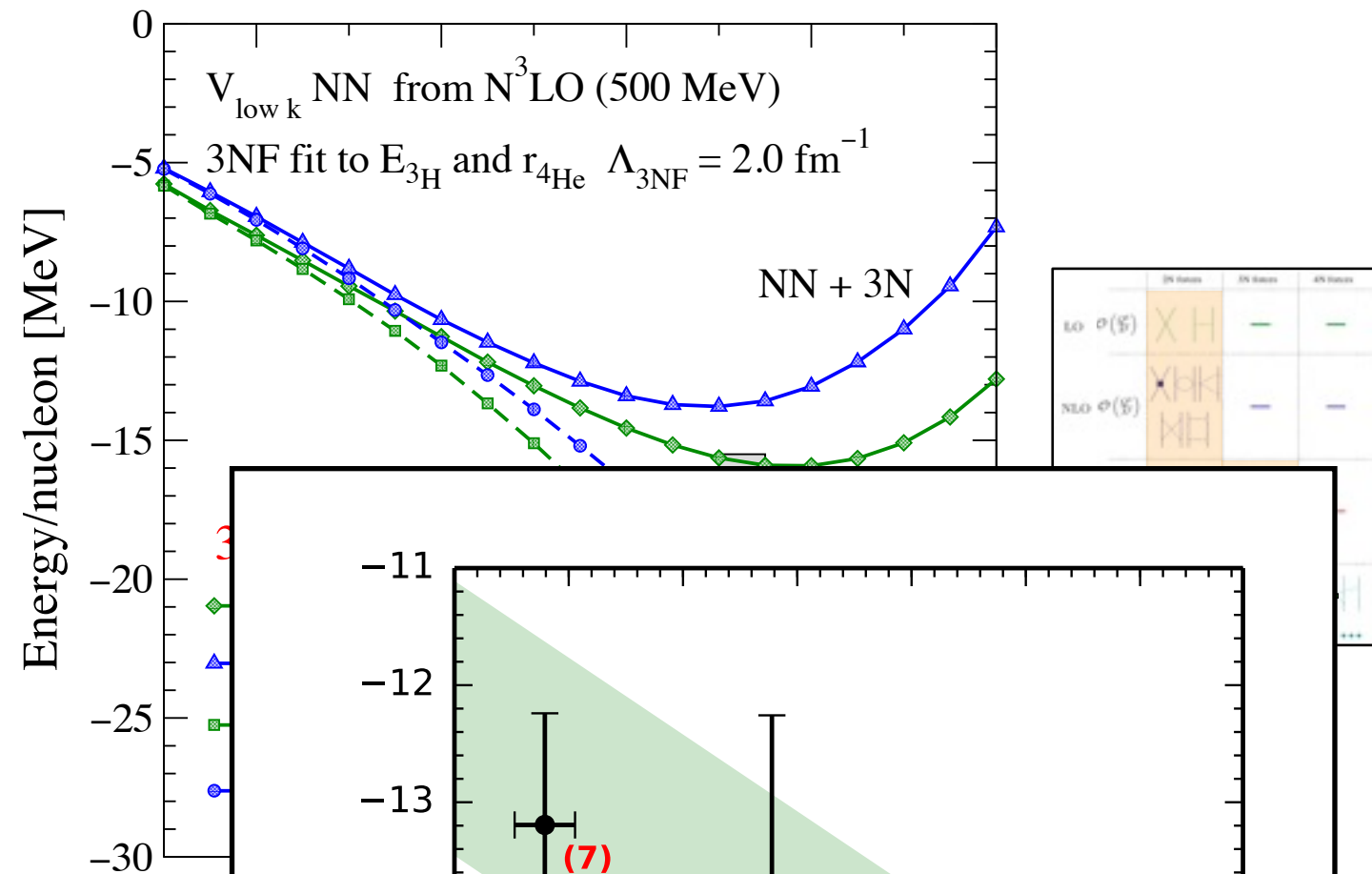
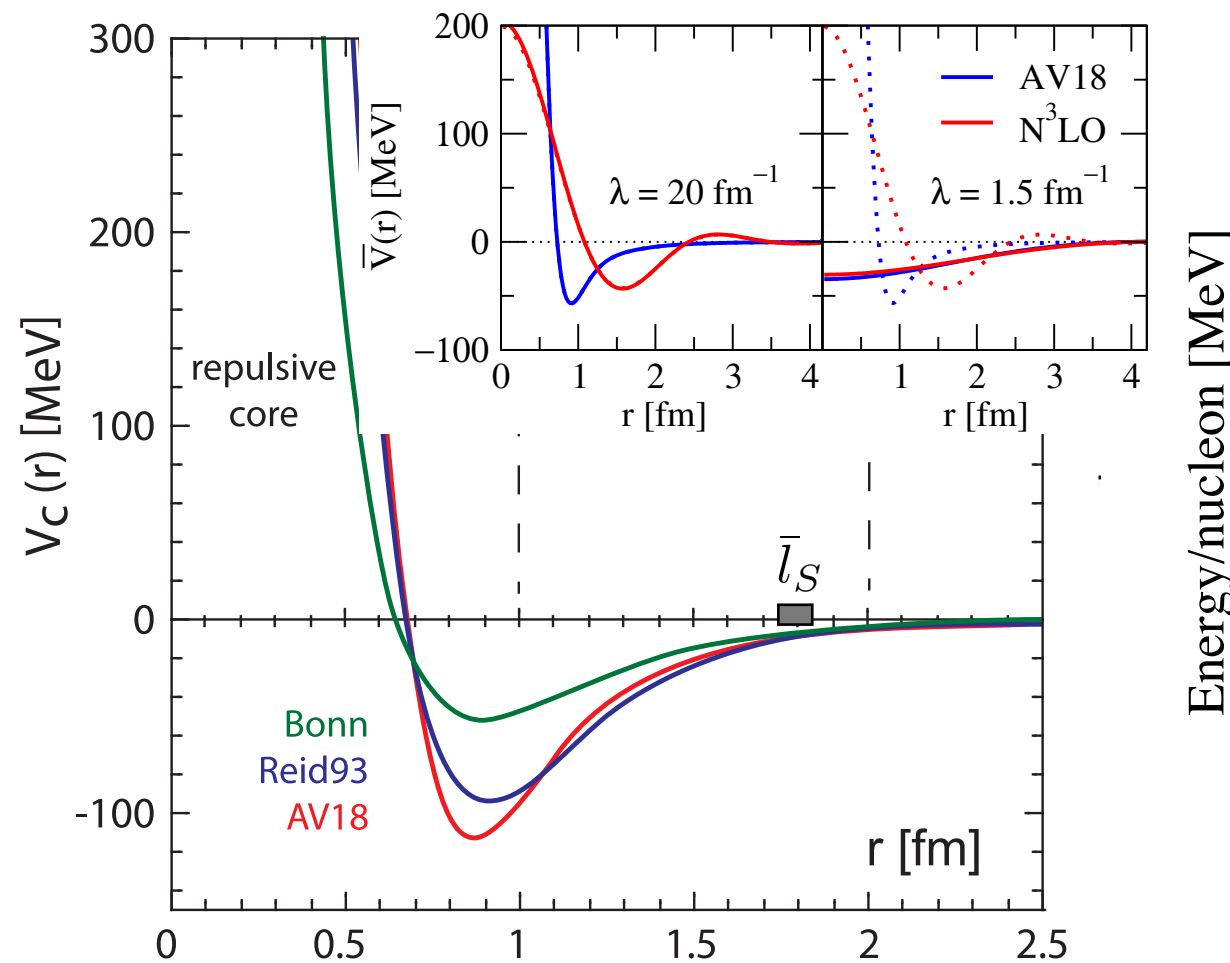
intermediate ( $c_D$ ) and short-range ( $c_E$ ) 3NF couplings fitted to few-body systems at different resolution scales:

$$E_{3\text{H}} = -8.482 \text{ MeV} \quad r_{4\text{He}} = 1.464 \text{ fm}$$



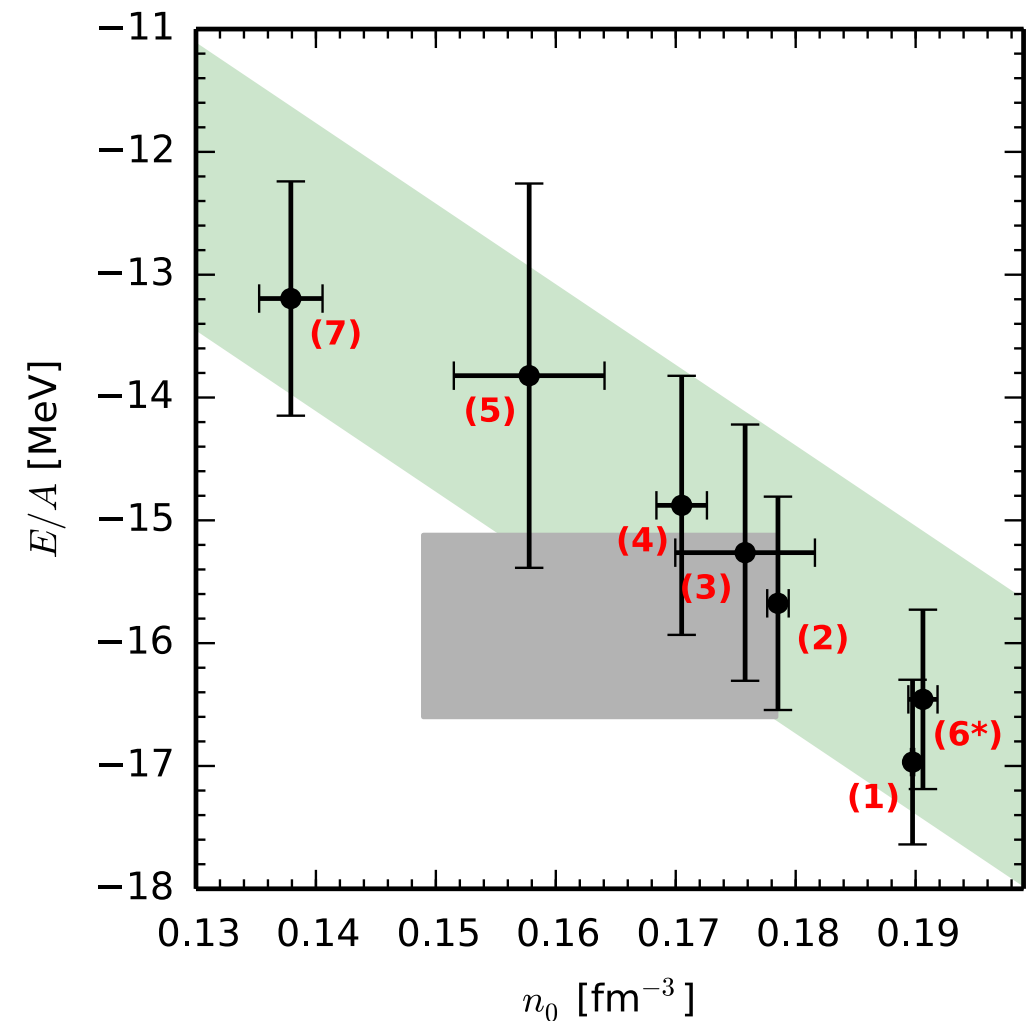
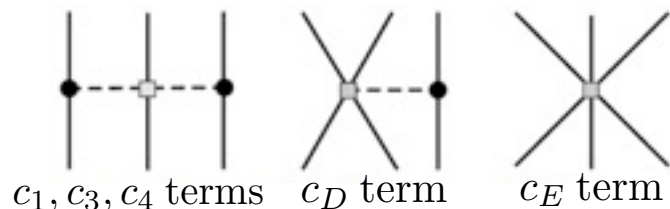
KH, Bogner, Furnstahl, Nogga,  
PRC(R) 83, 031301 (2011)

# Equation of state of symmetric nuclear matter



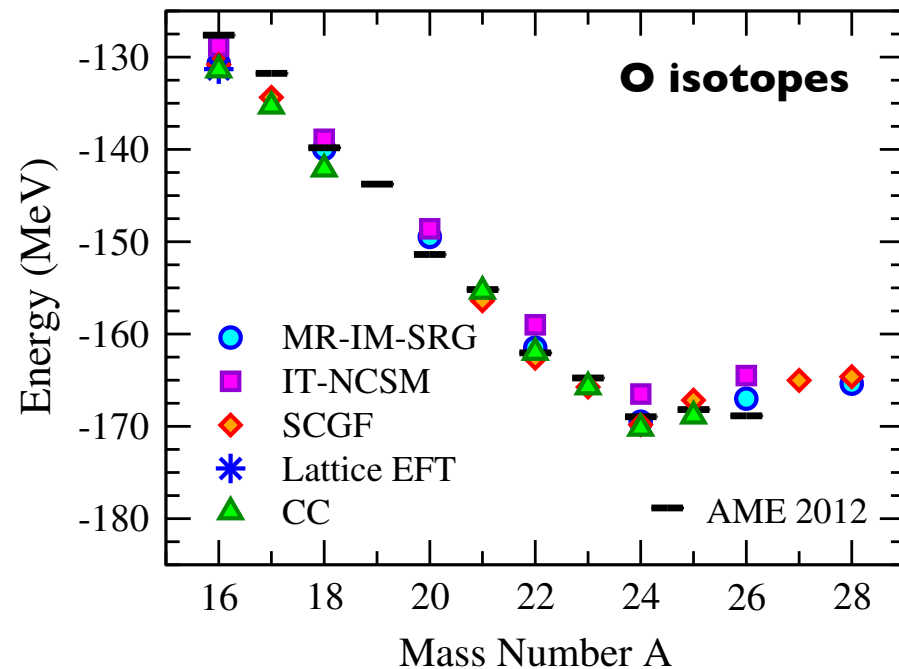
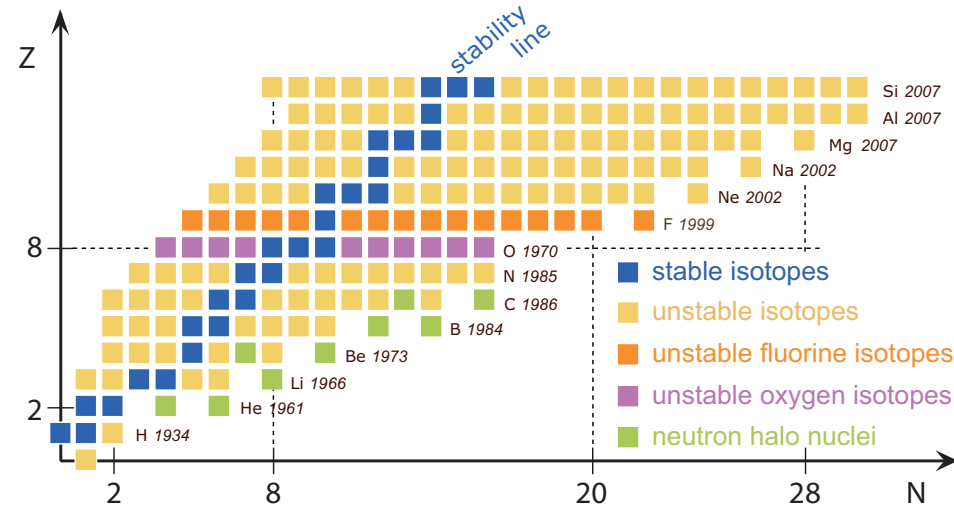
intermediate ( $c_D$ ) and short-range ( $c_E$ ) 3NF couplings fitted to few-body systems at different resolution scales:

$$E_{3H} = -8.482 \text{ MeV} \quad r_{4He} = 1.464 \text{ fm}$$

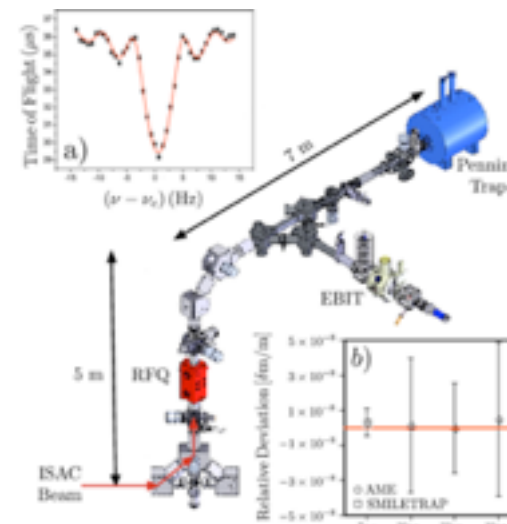


Drischler, KH, Schwenk, PRC93, 054314 (2016)

# Studies of neutron-rich nuclei

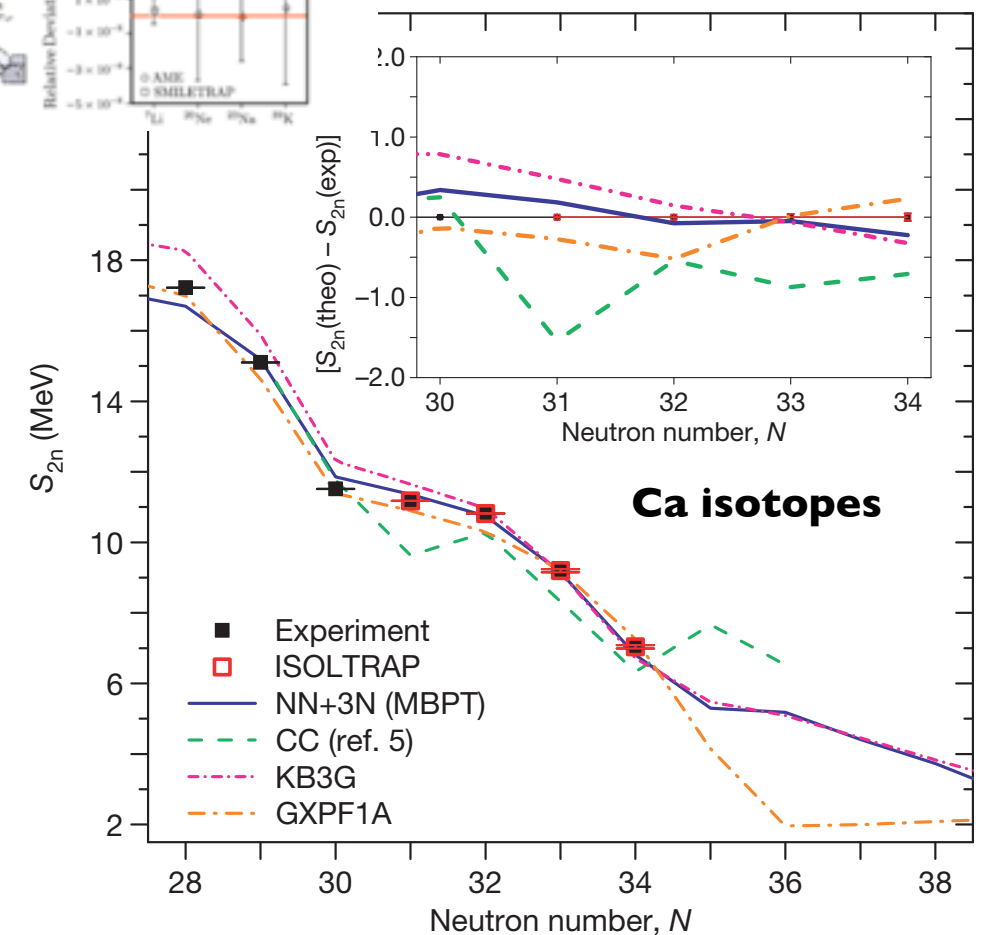


KH et al., Ann. Rev. Nucl. Part. Sci. 65, 457 (2015)



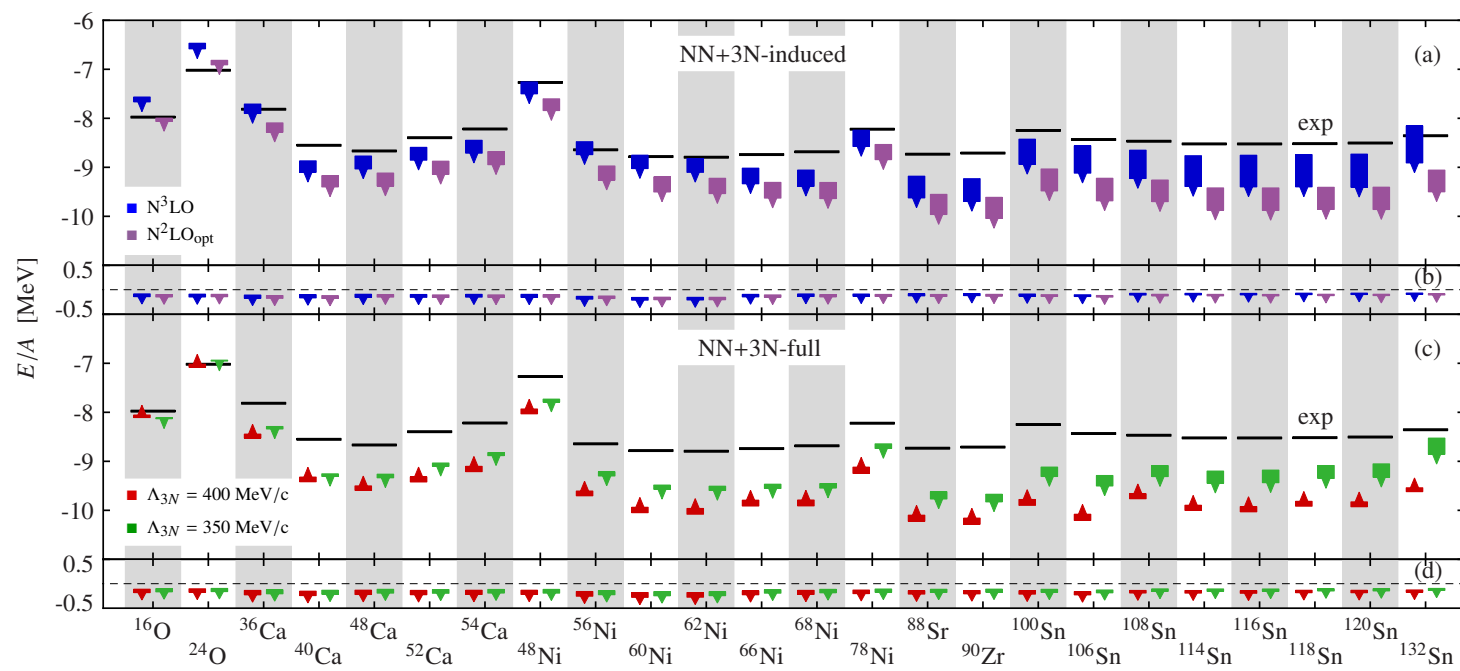
Gallant et al.  
PRL 109, 032506 (2012)

Wienholtz et al.  
Nature 498, 346 (2013)

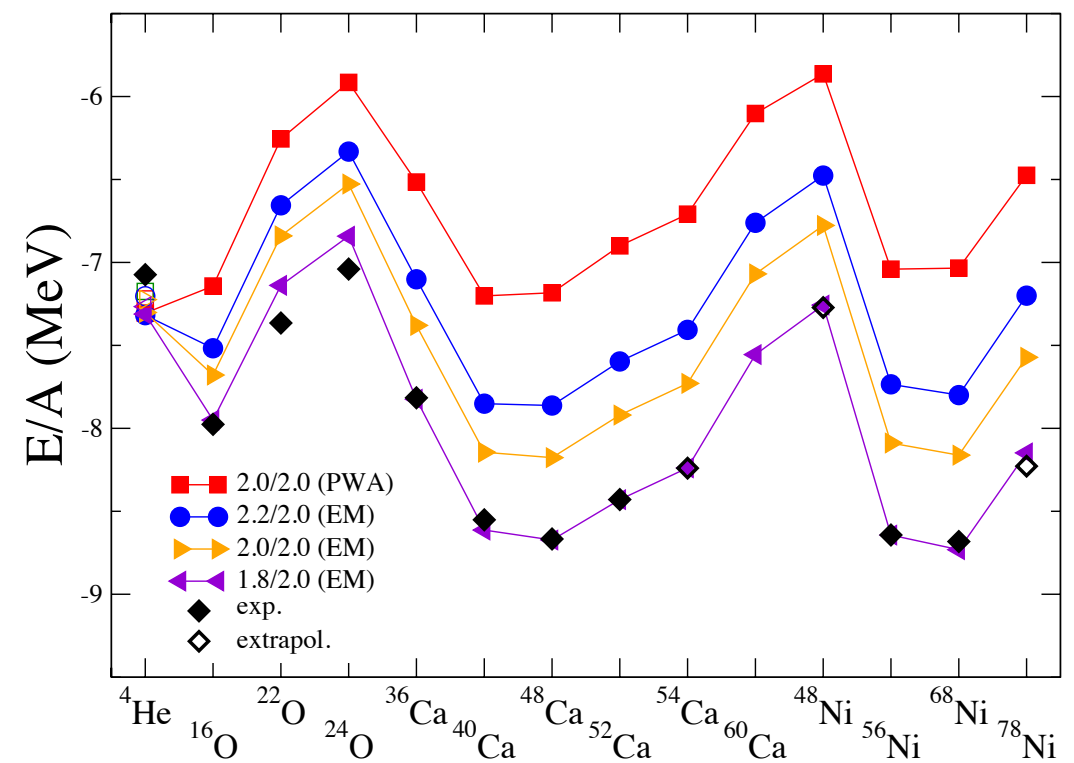


- remarkable agreement between different many-body frameworks
- excellent agreement between theory and experiment for masses of oxygen and calcium isotopes based on specific chiral interactions
- need to quantify **theoretical uncertainties**

# Ab-initio calculations of heavy nuclei

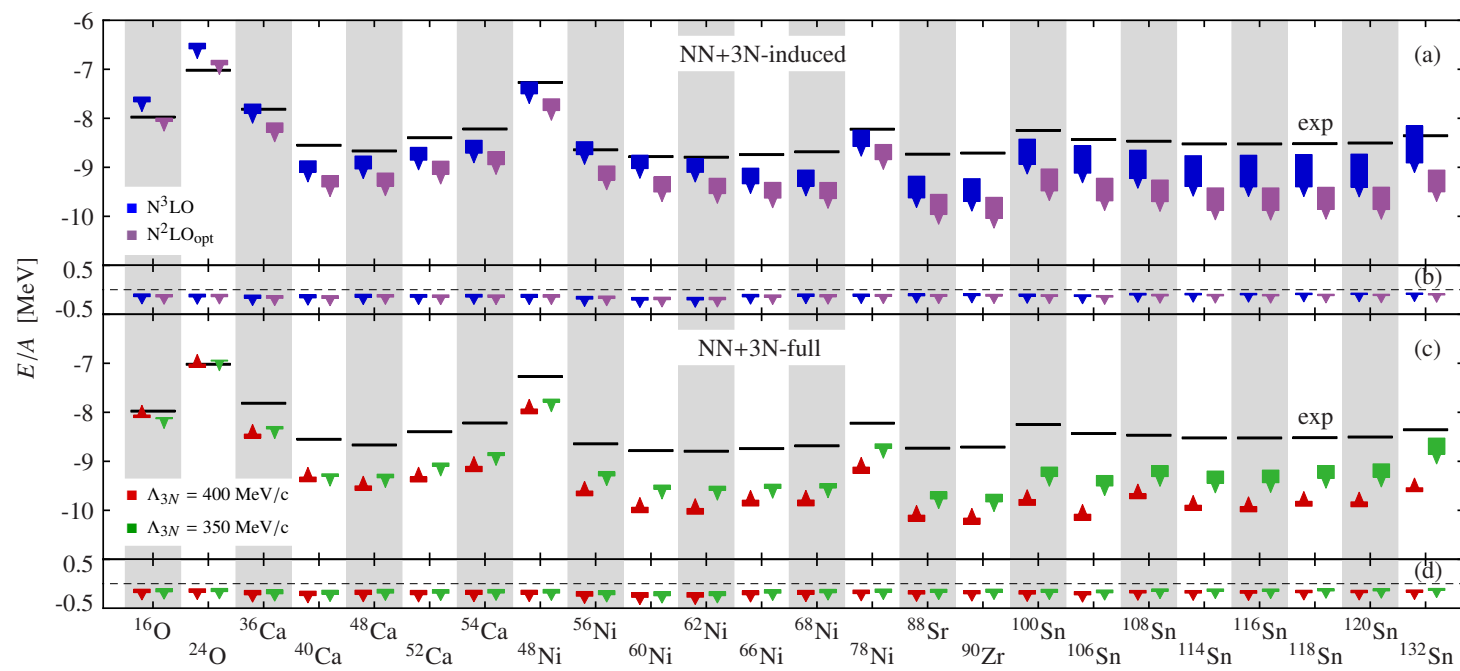


Binder et al., Phys. Lett B 736, 119 (2014)

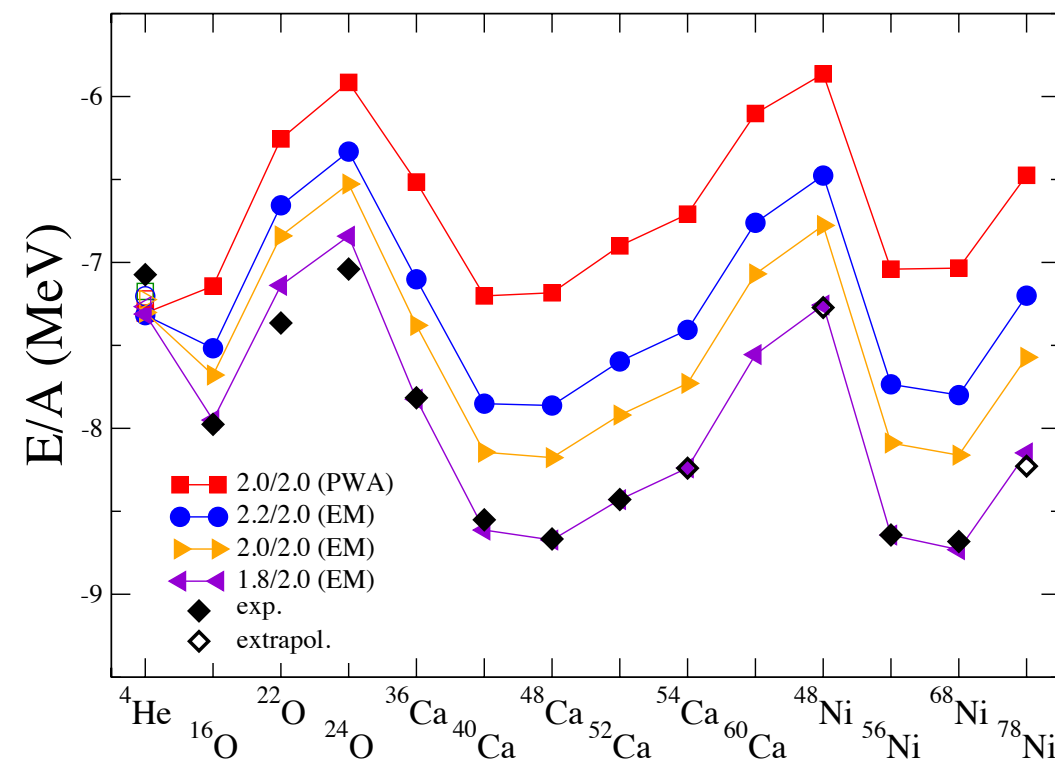


Simonis, Stroberg et al., in preparation

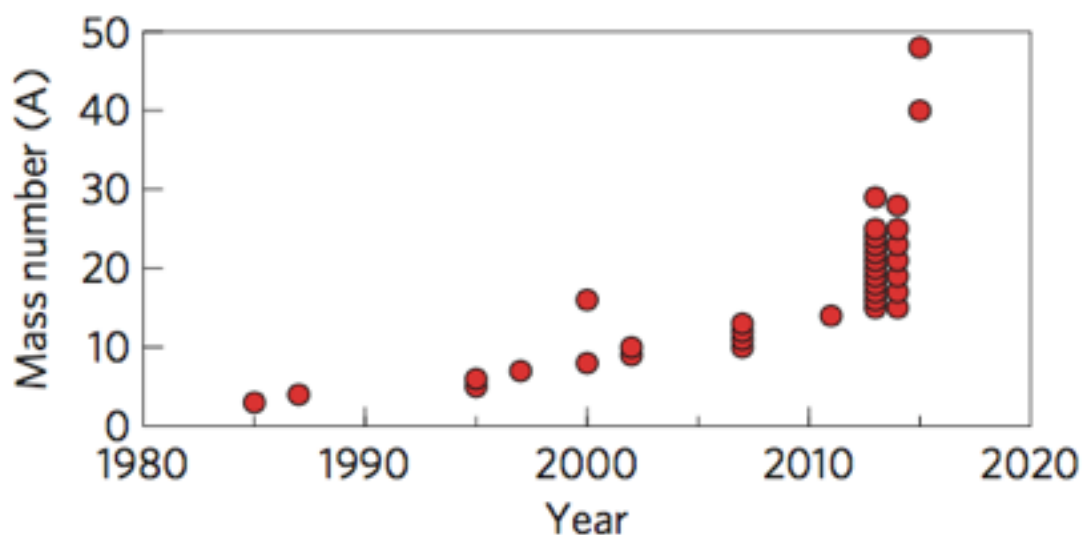
# Ab-initio calculations of heavy nuclei



Binder et al., Phys. Lett B 736, 119 (2014)



Simonis, Stroberg et al., in preparation

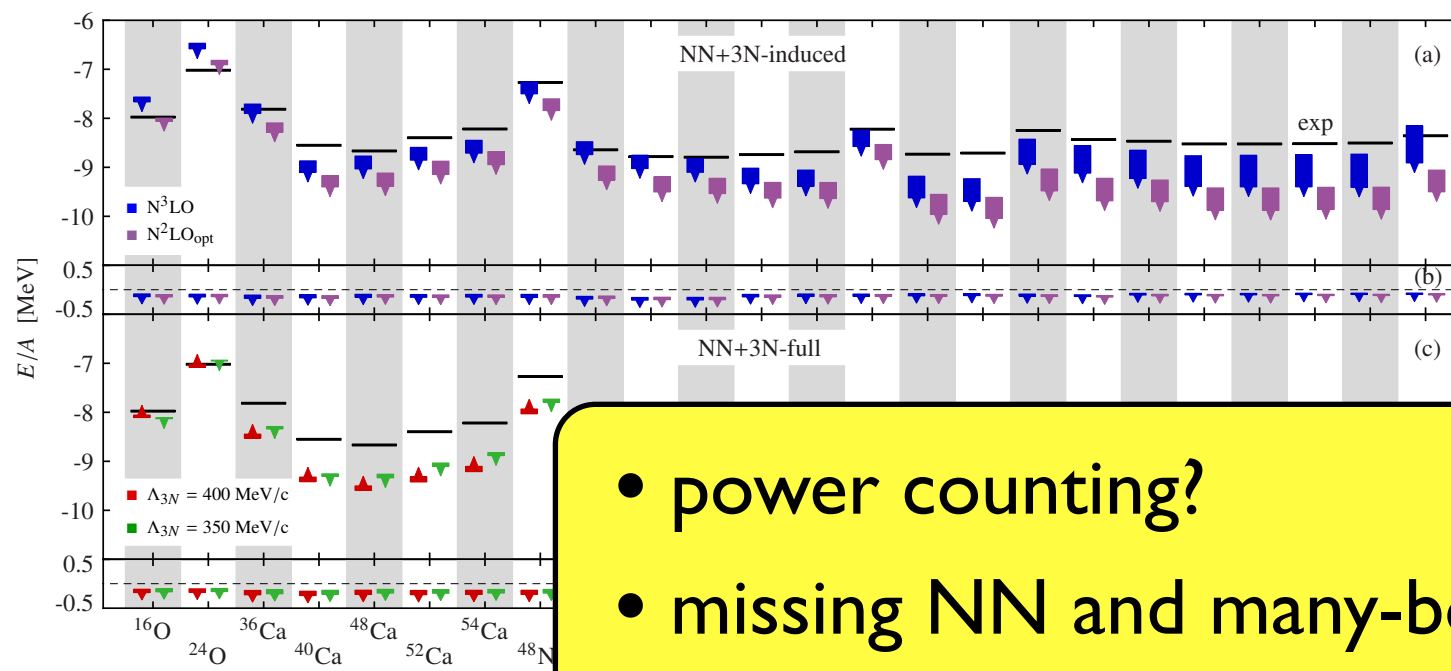


Hagen et al., Nature Physics 12, 186 (2016)

- **spectacular increase** in range of applicability of *ab initio* many body frameworks
- **significant discrepancies** to experimental data for heavy nuclei for (most of) presently used nuclear interactions

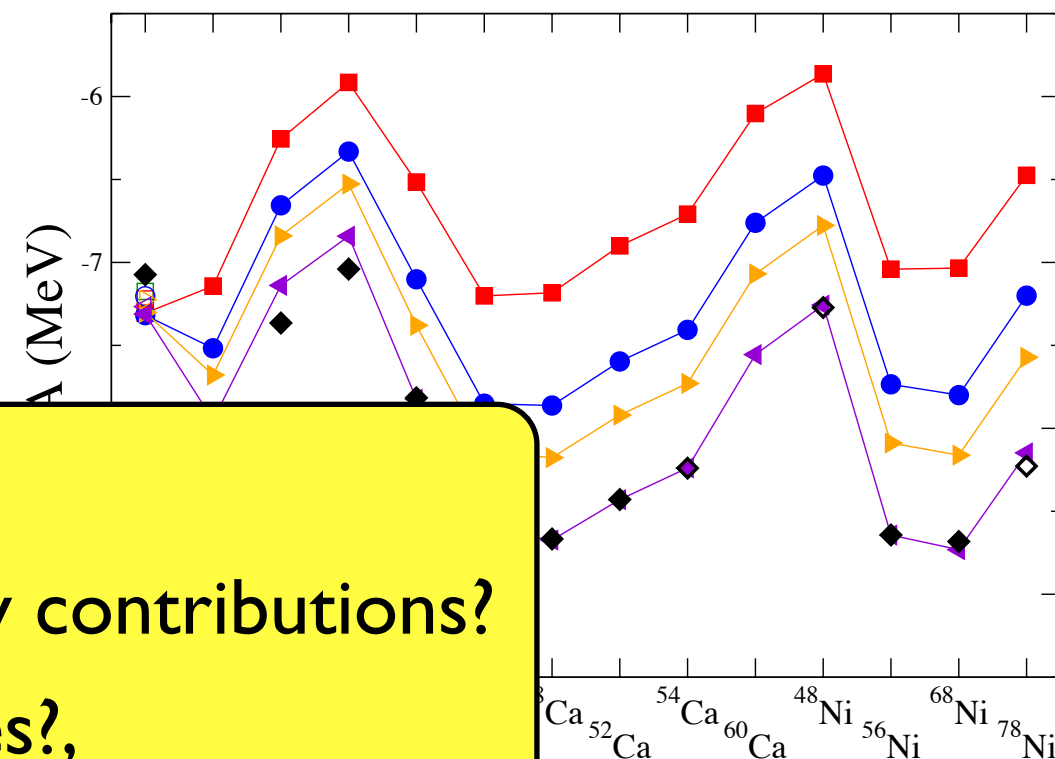


# Ab-initio calculations of heavy nuclei

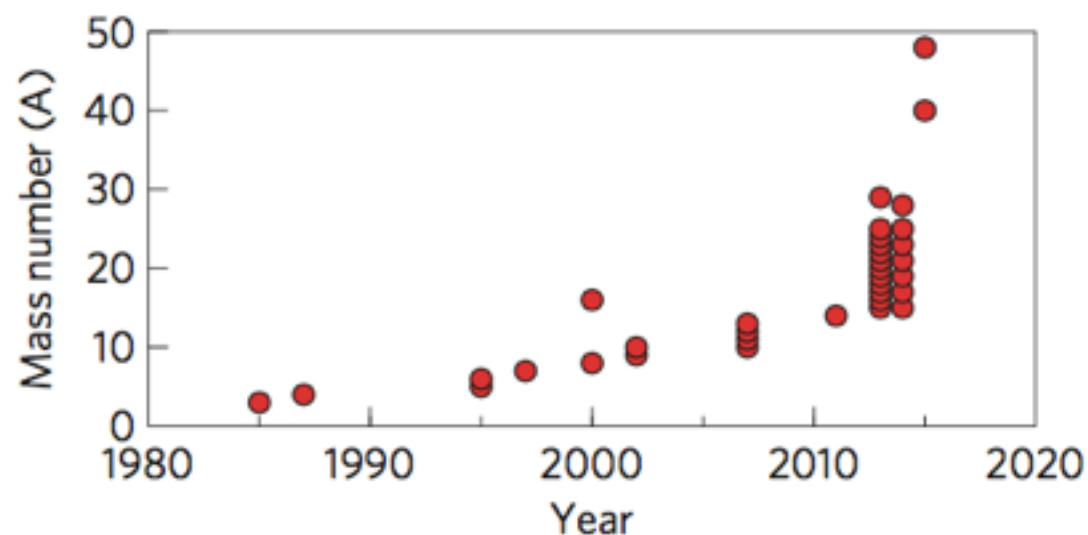


Binder et al., Phys. Lett B

- power counting?
- missing NN and many-body contributions?
- optimized fitting procedures?,
- selection of fitting observables?



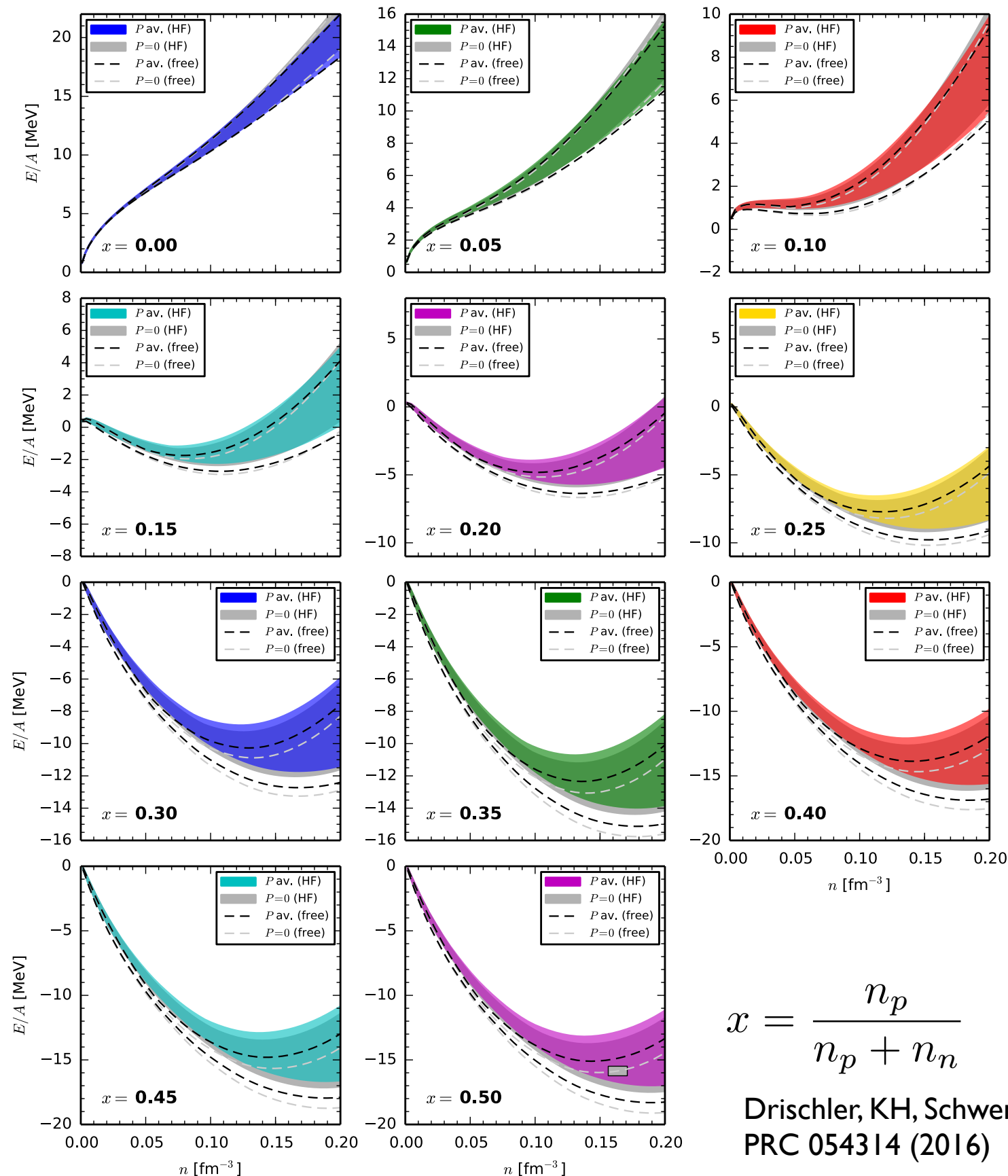
..., in preparation



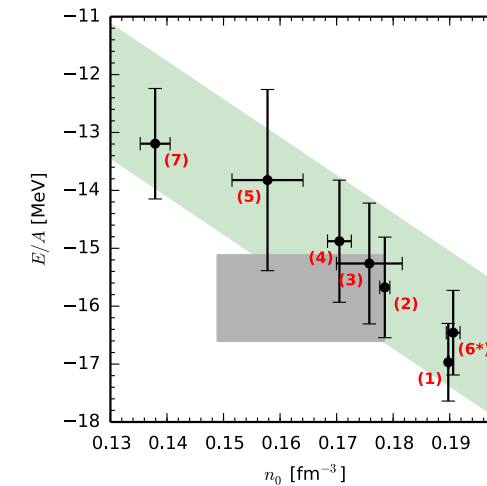
Hagen et al., Nature Physics 12, 186 (2016)

- **spectacular increase** in range of applicability of *ab initio* many body frameworks
- **significant discrepancies** to experimental data for heavy nuclei for (most of) presently used nuclear interactions

# First application to isospin asymmetric nuclear matter



- uncertainty bands determined by set of 7 Hamiltonians



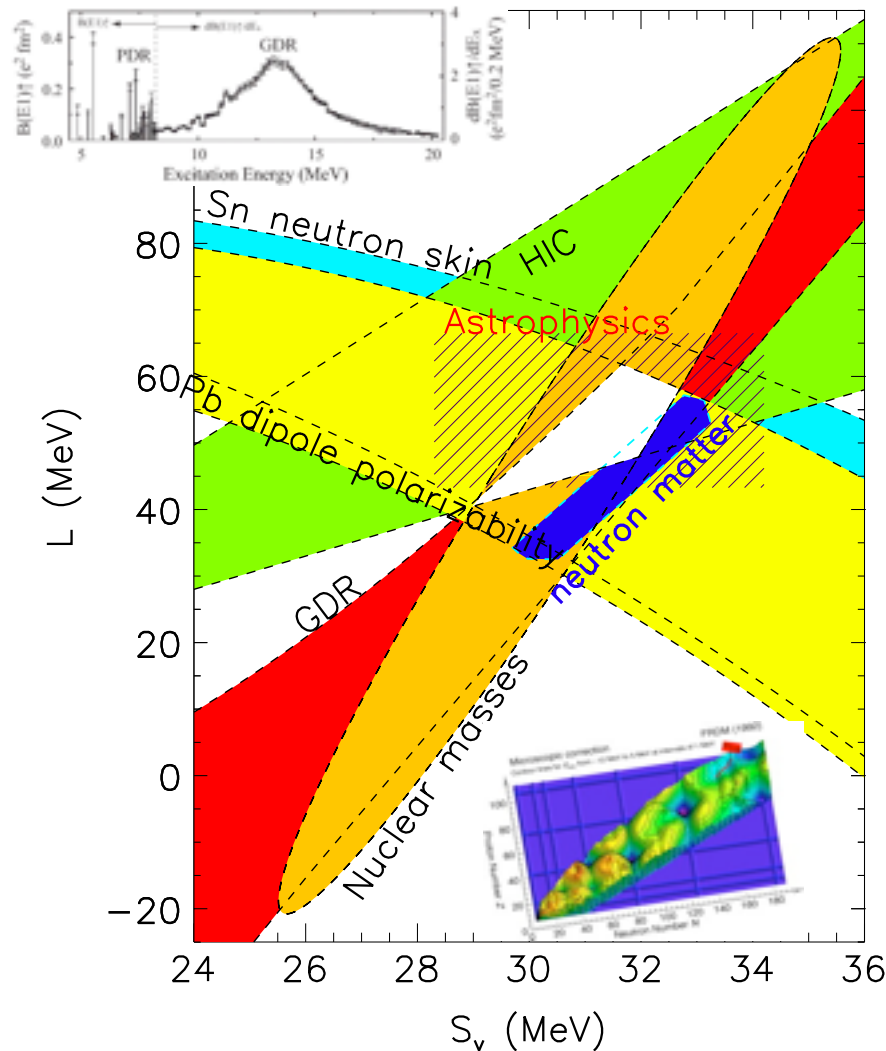
- many-body framework allows treatment of any decomposed 3N interaction

- generalization to finite temperature work in progress

$$x = \frac{n_p}{n_p + n_n}$$

Drischler, KH, Schwenk,  
PRC 054314 (2016)

# Symmetry energy and neutron skin constraints

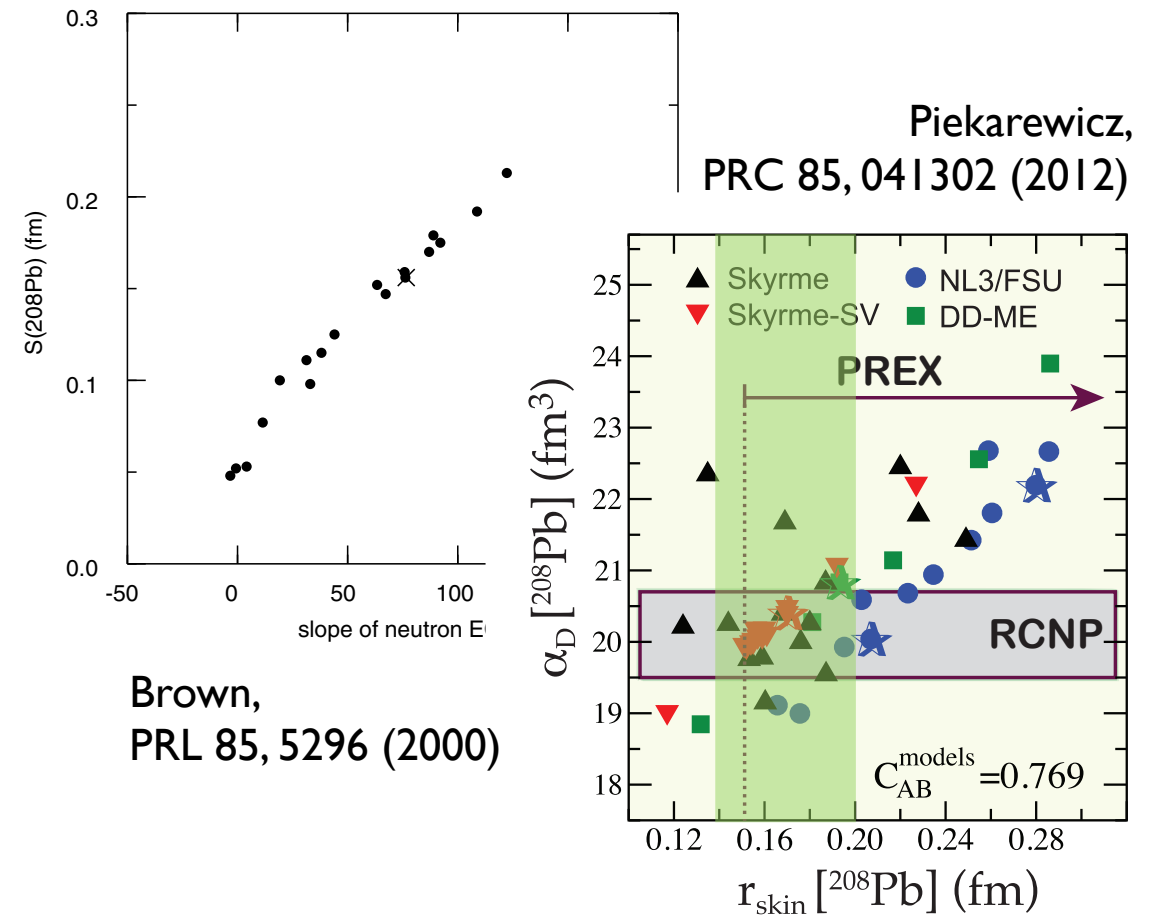


KH, Lattimer, Pethick, Schwenk, ApJ 773, 11 (2013)

$$S_v = \left. \frac{\partial^2 E/N}{\partial^2 x} \right|_{\rho=\rho_0, x=1/2}$$

$$L = \left. \frac{3}{8} \frac{\partial^3 E/N}{\partial \rho \partial^2 x} \right|_{\rho=\rho_0, x=1/2}$$

- neutron matter give tightest constraints
- in agreement with all other constraints



constraint from neutron matter results:

$$r_{\text{skin}}[^{208}\text{Pb}] = 0.14 - 0.2 \text{ fm}$$

KH, Lattimer, Pethick, Schwenk, PRL 105, 161102 (2010)

current constraints from PREX:

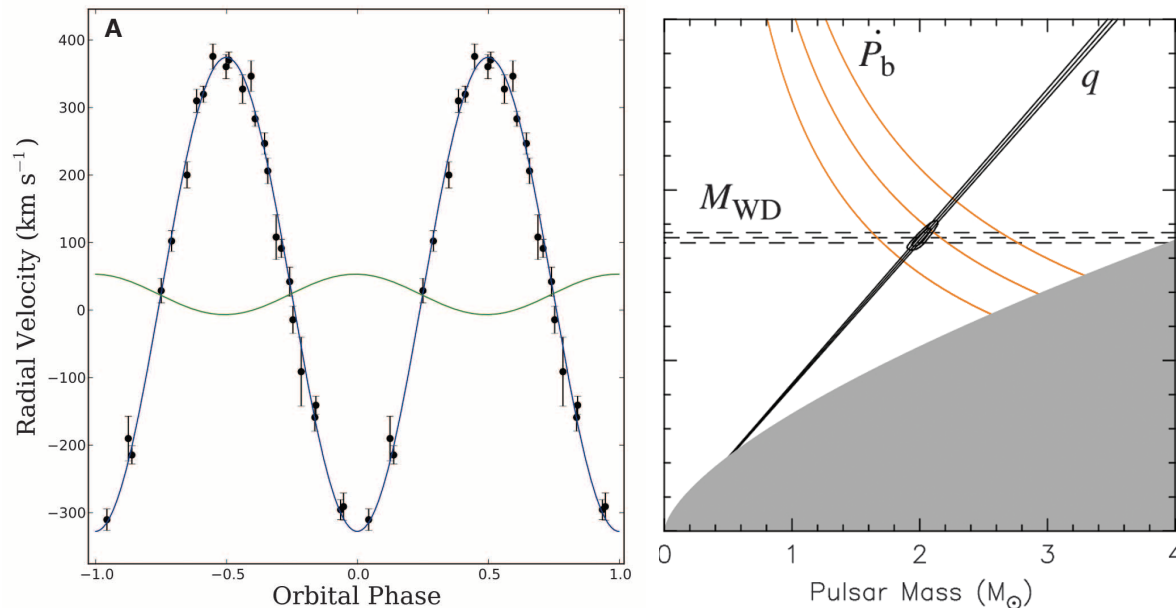
$$r_{\text{skin}}[^{208}\text{Pb}] = 0.15 - 0.49 \text{ fm}$$

Abrahamyan et al., PRL 108, 112502 (2012)

# Constraints on the nuclear equation of state (EOS)

**Science**

## A Massive Pulsar in a Compact Relativistic Binary

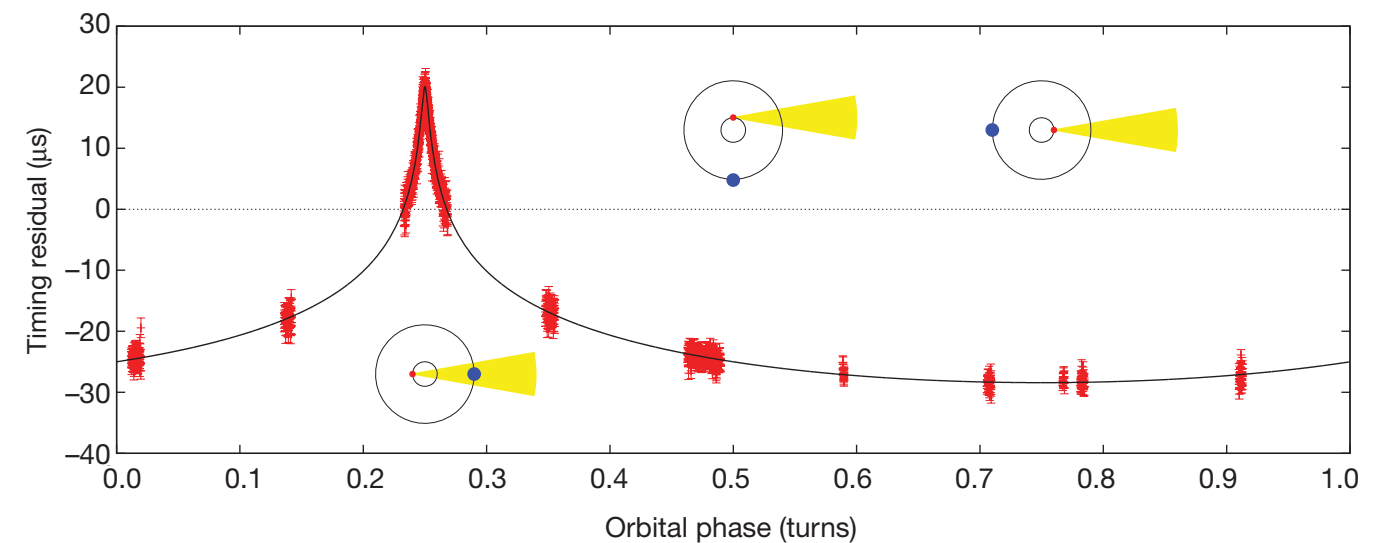


Antoniadis et al., Science 340, 448 (2013)

**nature**

## A two-solar-mass neutron star measured using Shapiro delay

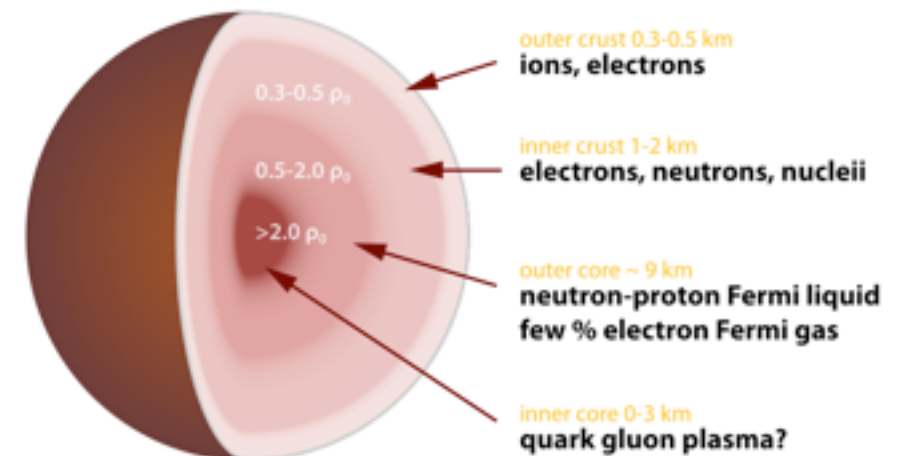
P. B. Demorest<sup>1</sup>, T. Pennucci<sup>2</sup>, S. M. Ransom<sup>1</sup>, M. S. E. Roberts<sup>3</sup> & J. W. T. Hessels<sup>4,5</sup>



Demorest et al., Nature 467, 1081 (2010)

## High-density constraints from observations:

$$M_{\text{max}} = 1.65 M_{\odot} \rightarrow 1.97 \pm 0.04 M_{\odot} \rightarrow 2.01 \pm 0.04 M_{\odot}$$



Calculation of neutron star properties require EOS up to high densities.

**Strategy:**

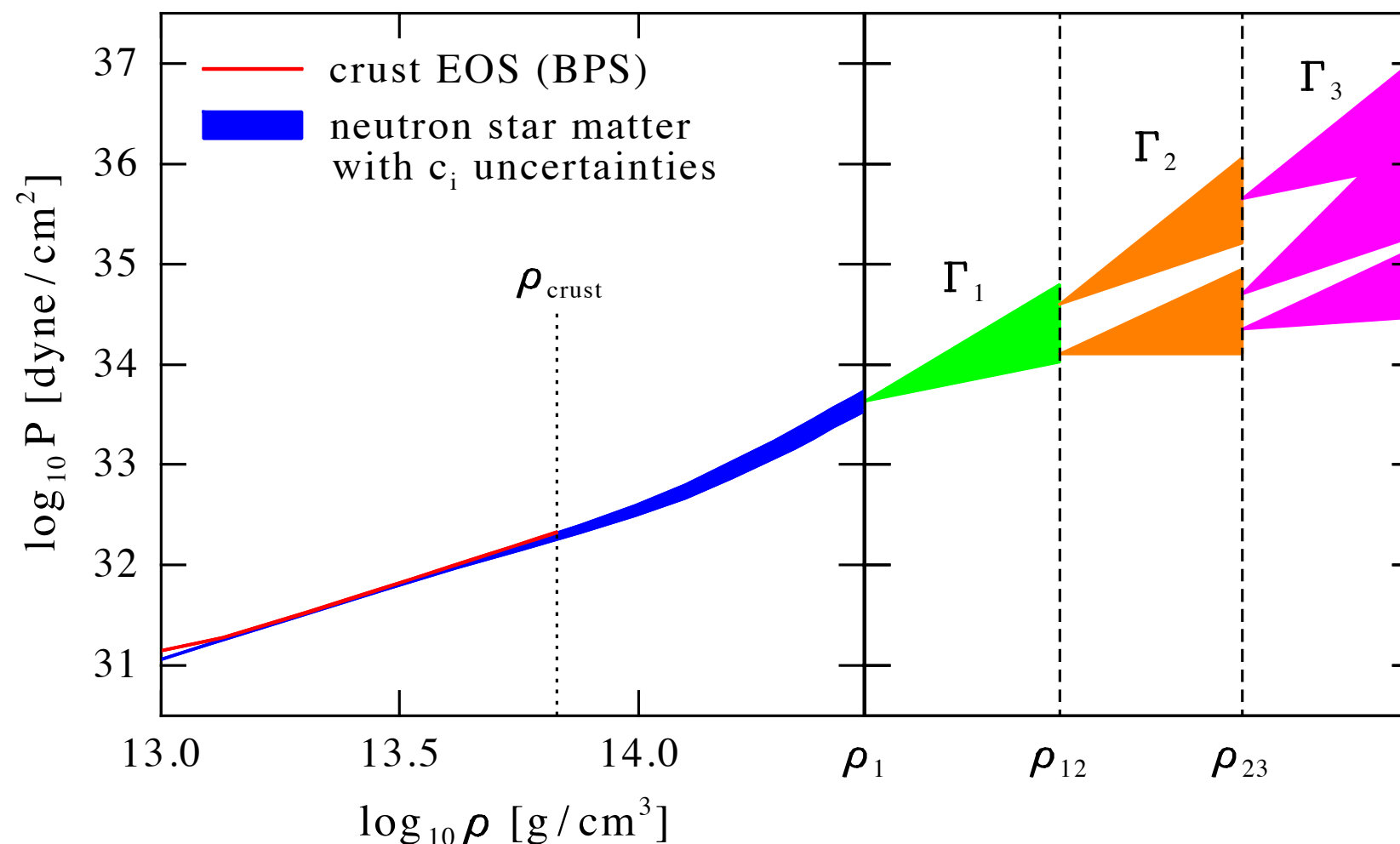
Use observations to constrain the high-density part of the nuclear EOS.

# Neutron star radius constraints

incorporation of beta-equilibrium: neutron matter  $\longrightarrow$  neutron star matter

parametrize piecewise high-density extensions of EOS:

- use polytropic ansatz  $p \sim \rho^\Gamma$
- range of parameters  $\Gamma_1, \rho_{12}, \Gamma_2, \rho_{23}, \Gamma_3$  limited by physics





# Constraints on the nuclear equation of state

use the constraints:

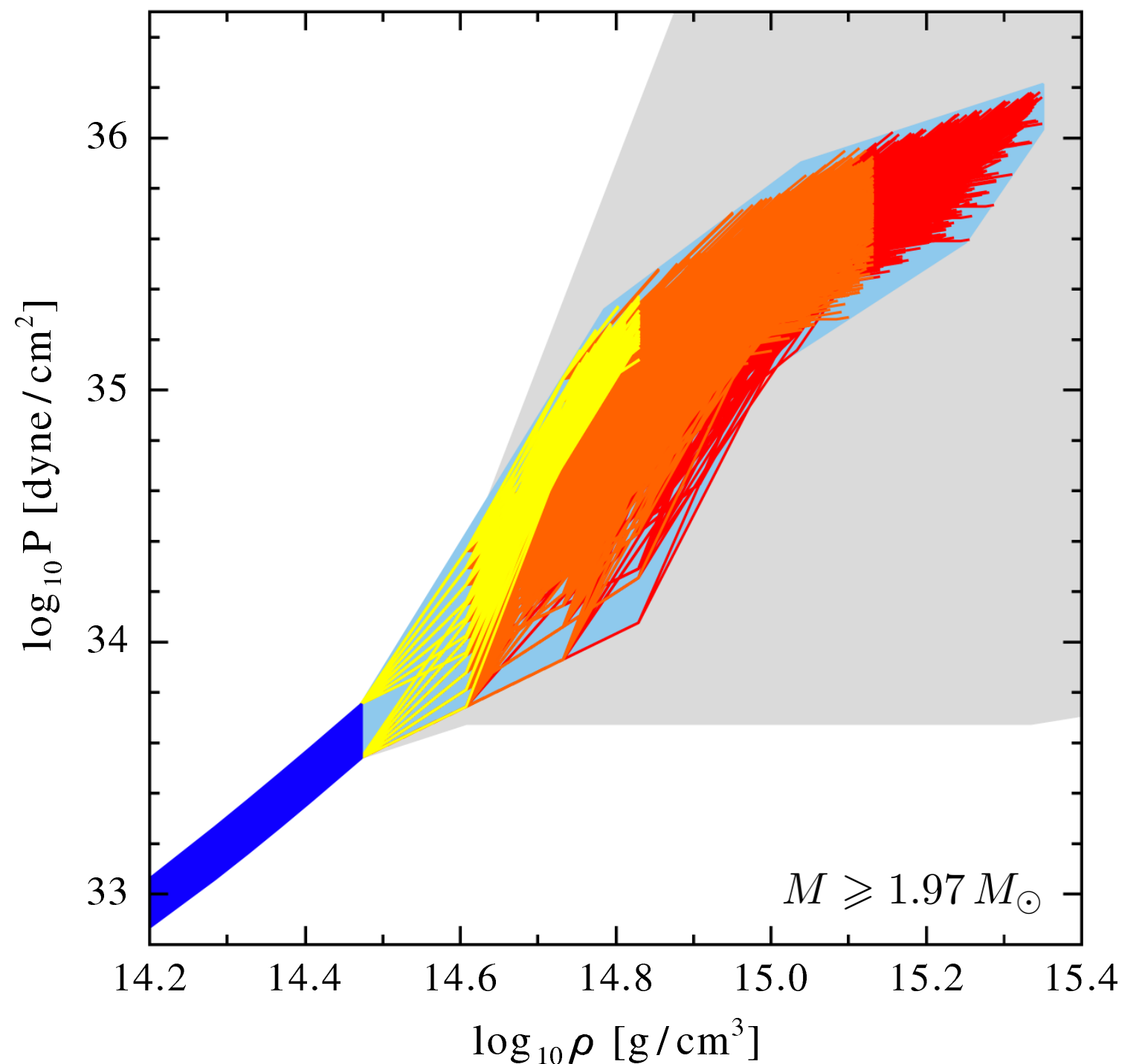
recent NS observations

$$M_{\text{max}} > 1.97 M_{\odot}$$

causality

$$v_s(\rho) = \sqrt{dP/d\varepsilon} < c$$

KH, Lattimer, Pethick, Schwenk, ApJ 773,11 (2013)



constraints lead to significant reduction of EOS uncertainty band

# Constraints on the nuclear equation of state

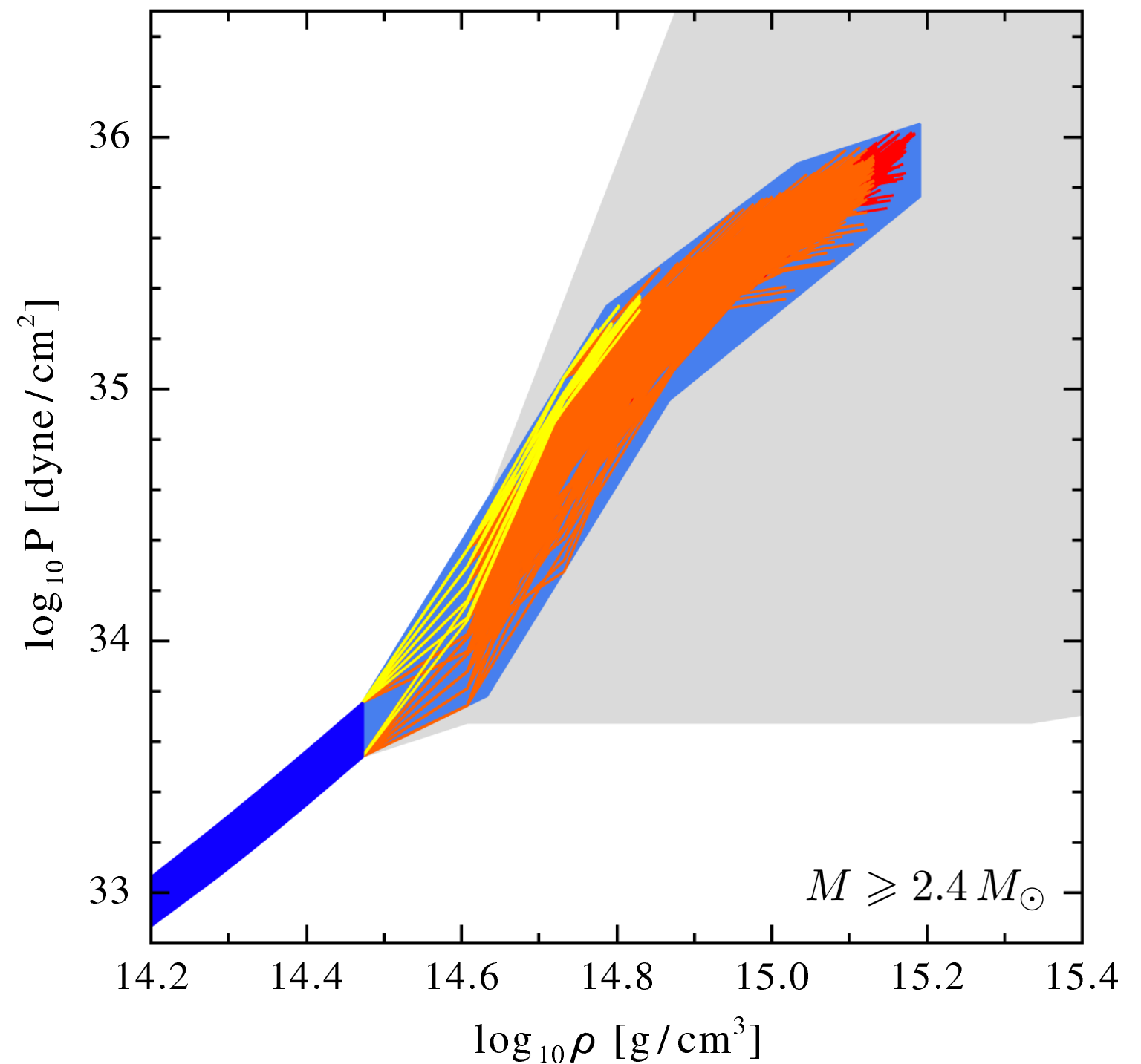
use the constraints:

fictitious NS mass

$$M_{\max} > 2.4 M_{\odot}$$

causality

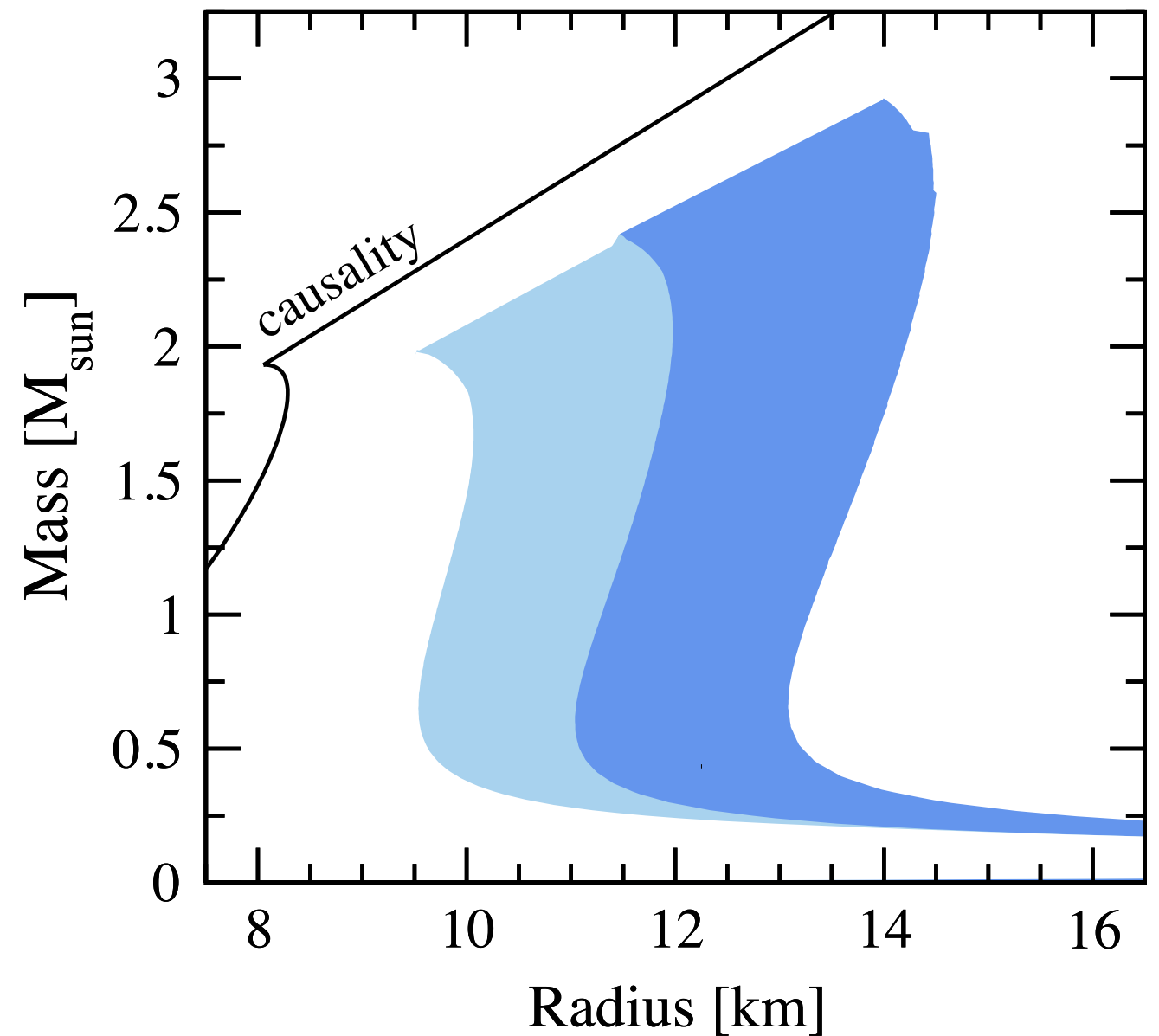
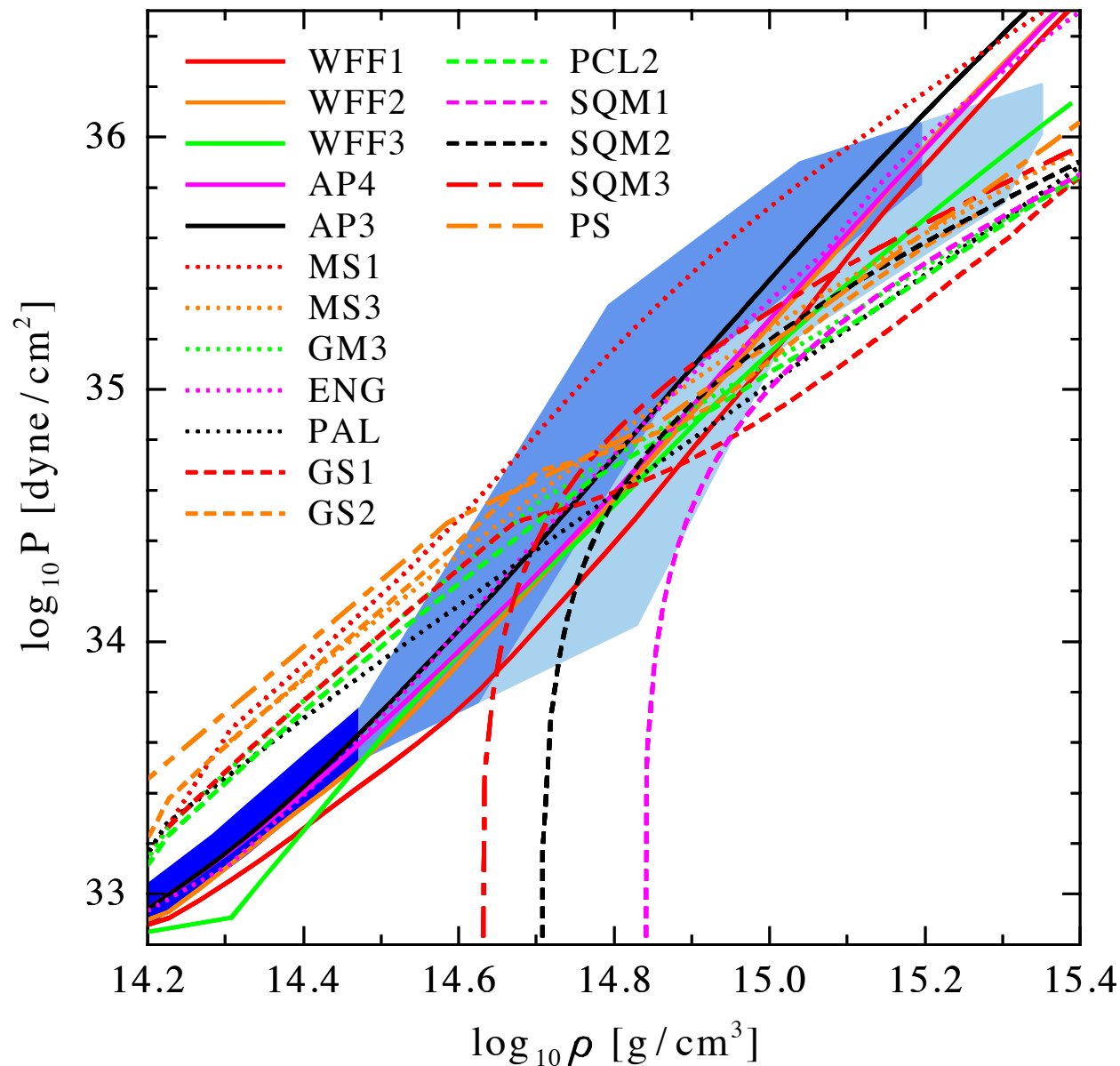
$$v_s(\rho) = \sqrt{dP/d\varepsilon} < c$$



KH, Lattimer, Pethick, Schwenk, ApJ 773, 11 (2013)

increased  $M_{\max}$  systematically reduces width of band

# Constraints on neutron star radii

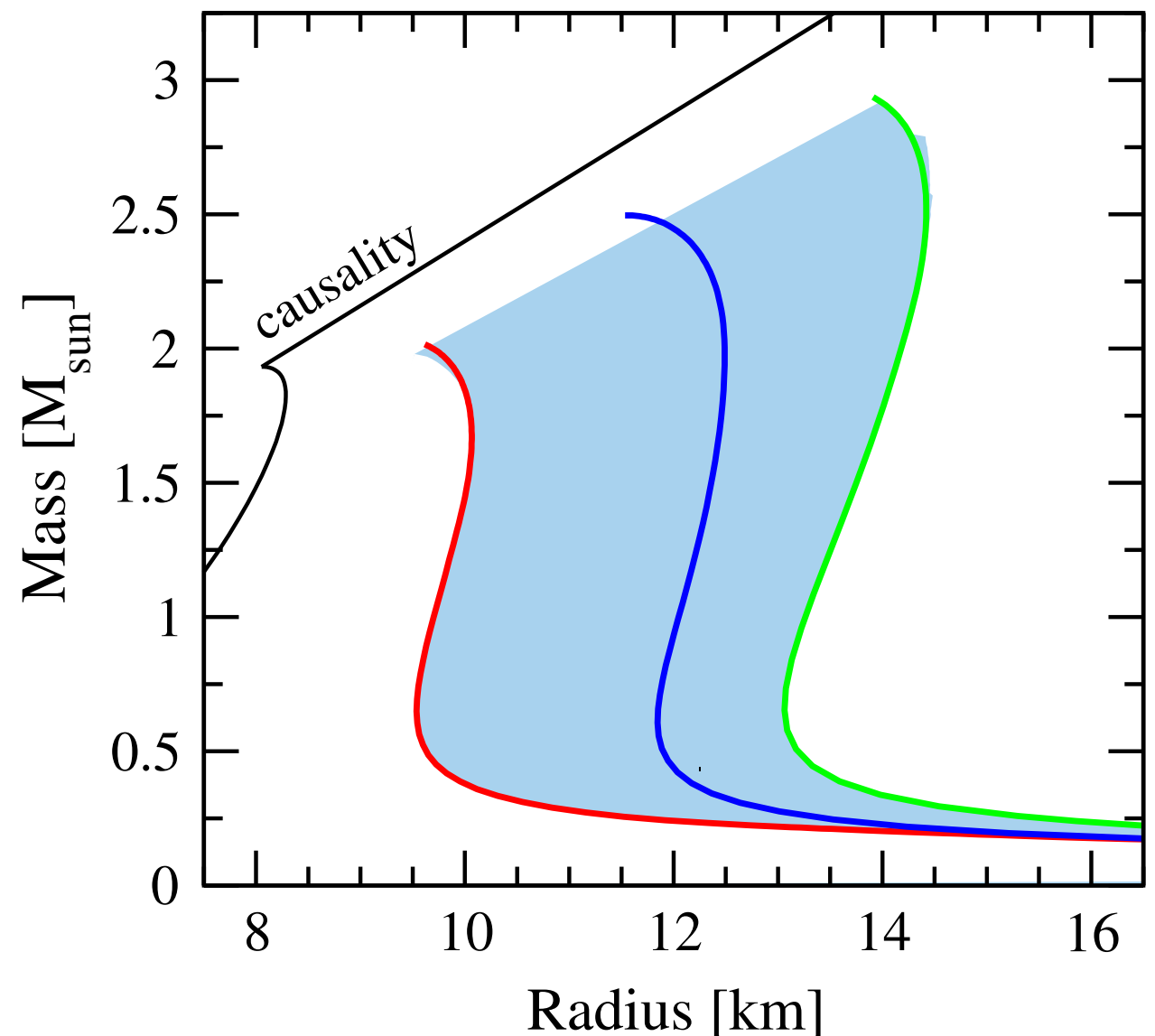
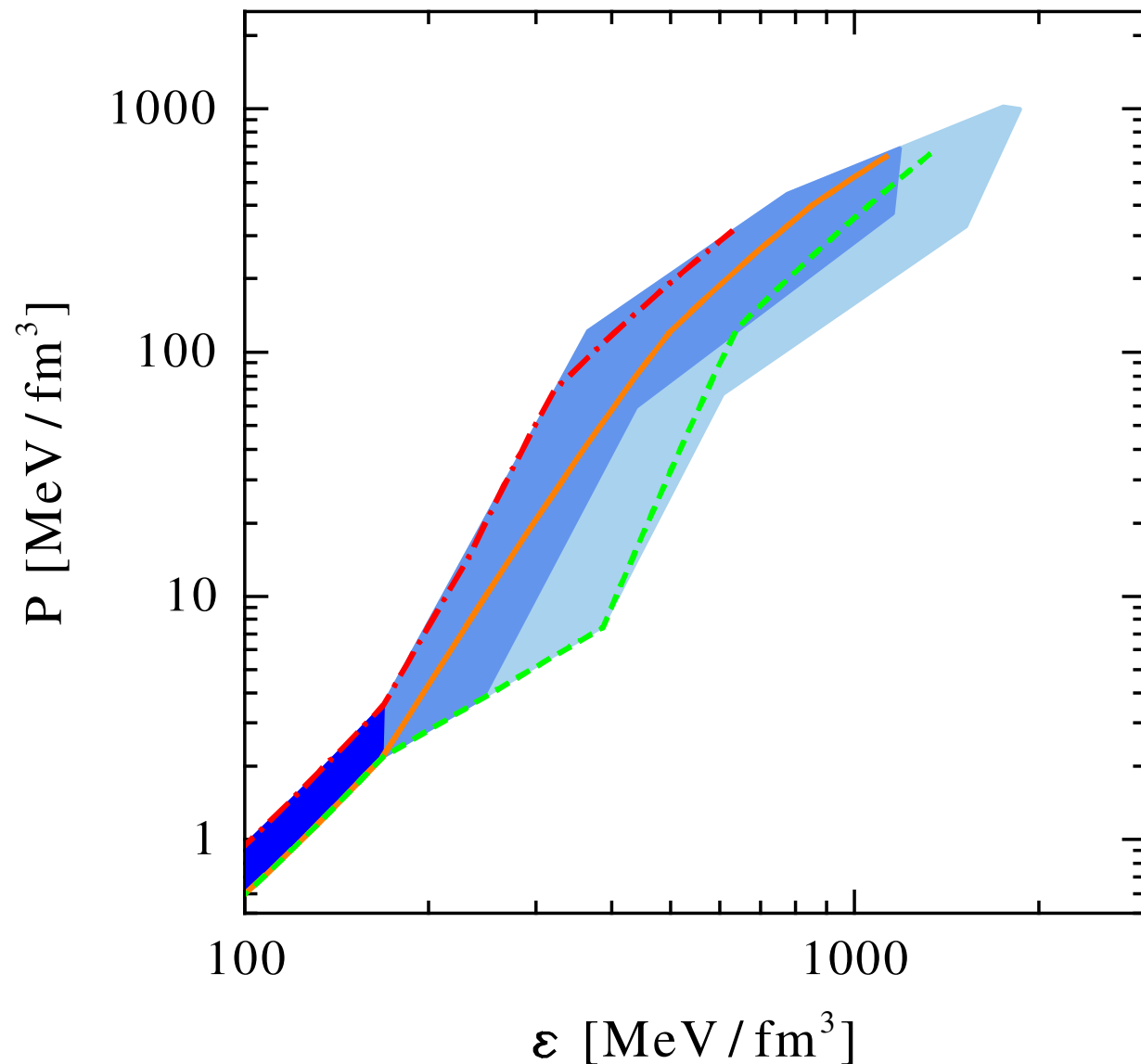


KH, Lattimer, Pethick, Schwenk, ApJ 773, 11 (2013)

KH, Lattimer, Pethick, Schwenk, PRL 105, 161102 (2010)

- low-density part of EOS sets scale for allowed high-density extensions
- current radius prediction for typical  $1.4 M_{\odot}$  neutron star: 9.7 – 13.9 km

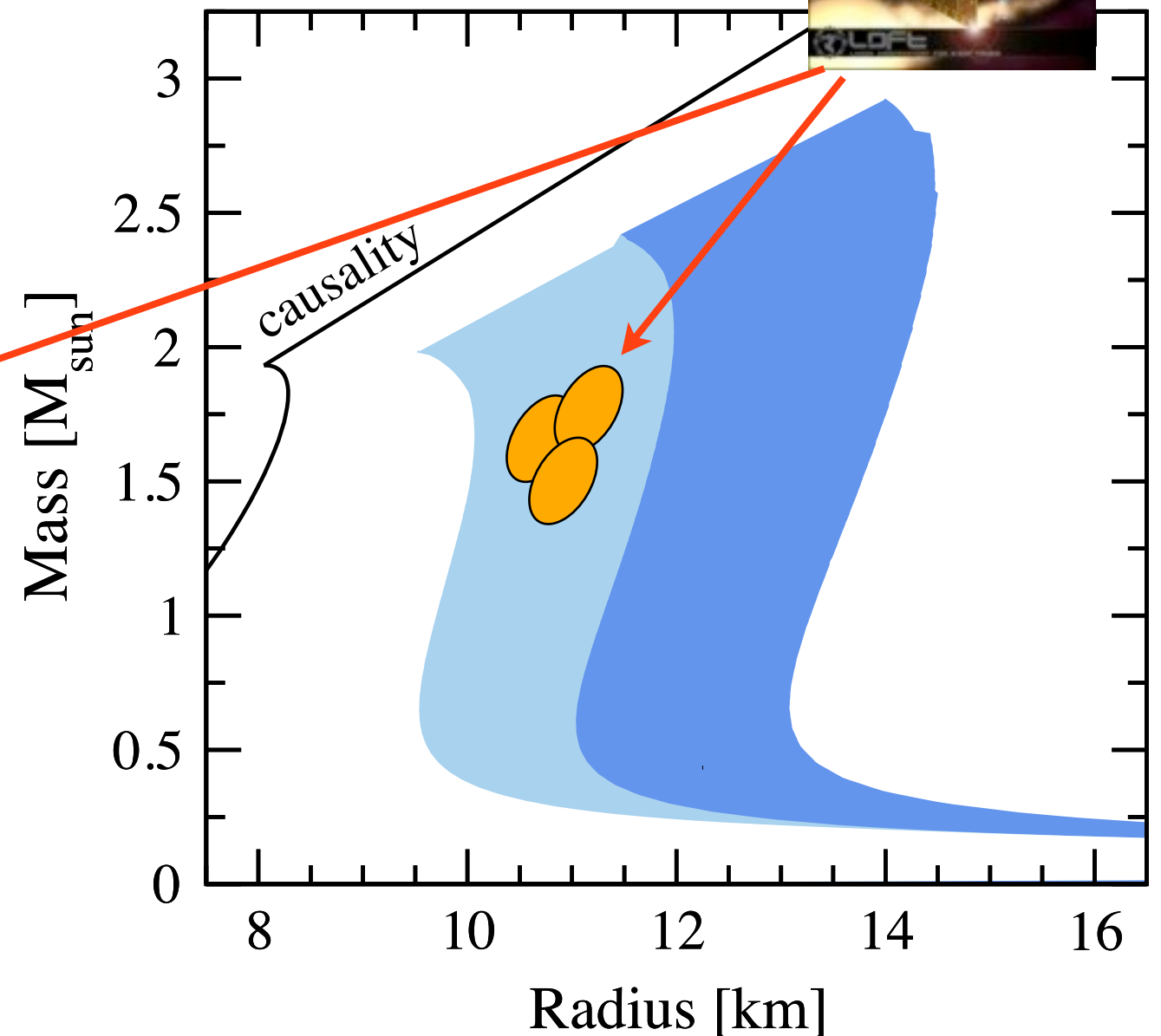
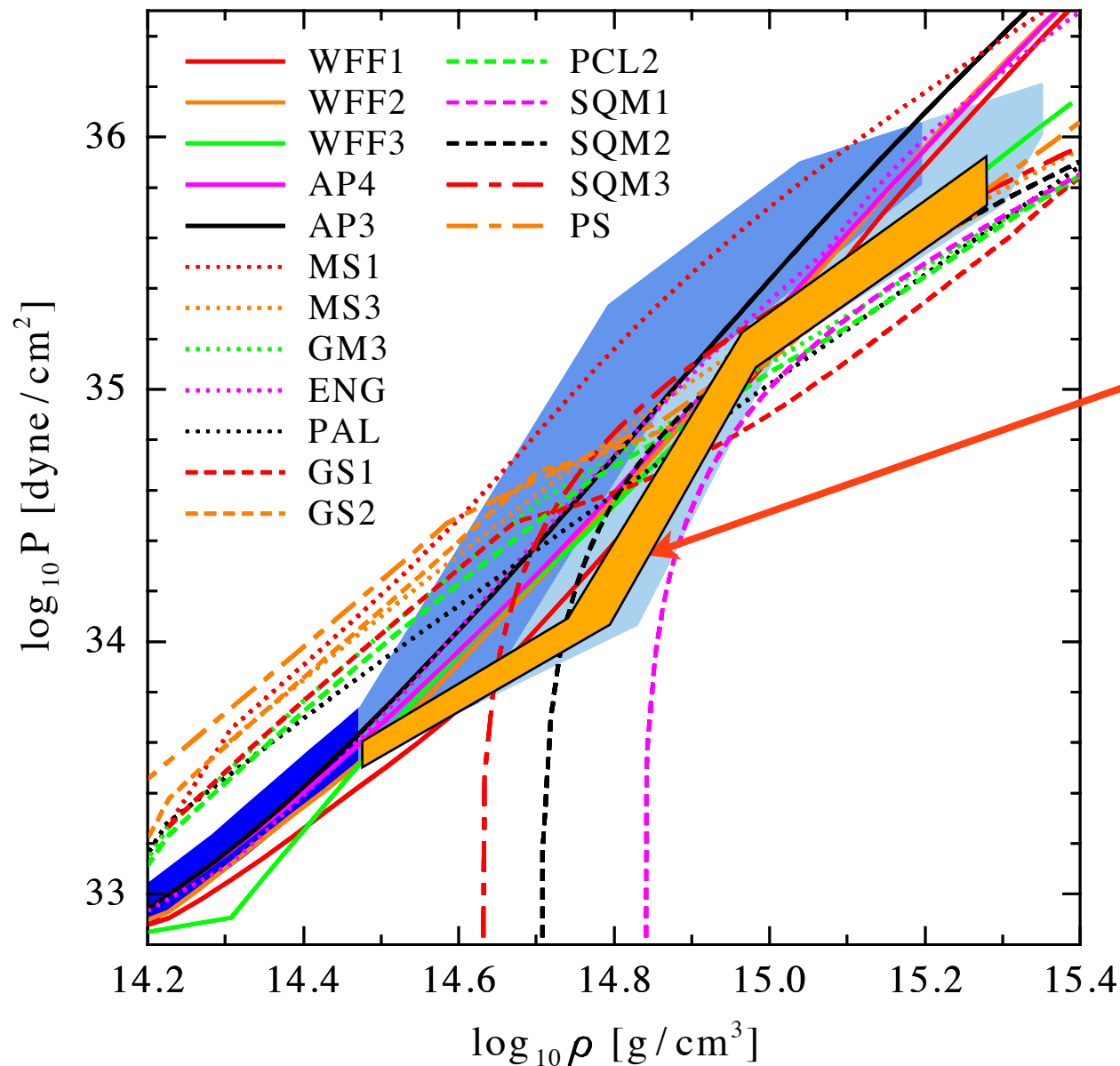
# Representative set of EOS



KH, Lattimer, Pethick, Schwenk, ApJ 773, 11 (2013)

- constructed 3 representative EOS compatible with uncertainty bands for astrophysical applications: **soft**, **intermediate** and **stiff**
- allows to probe impact of current theoretical EOS uncertainties on astrophysical observables

# Constraints on EOS from M-R measurements



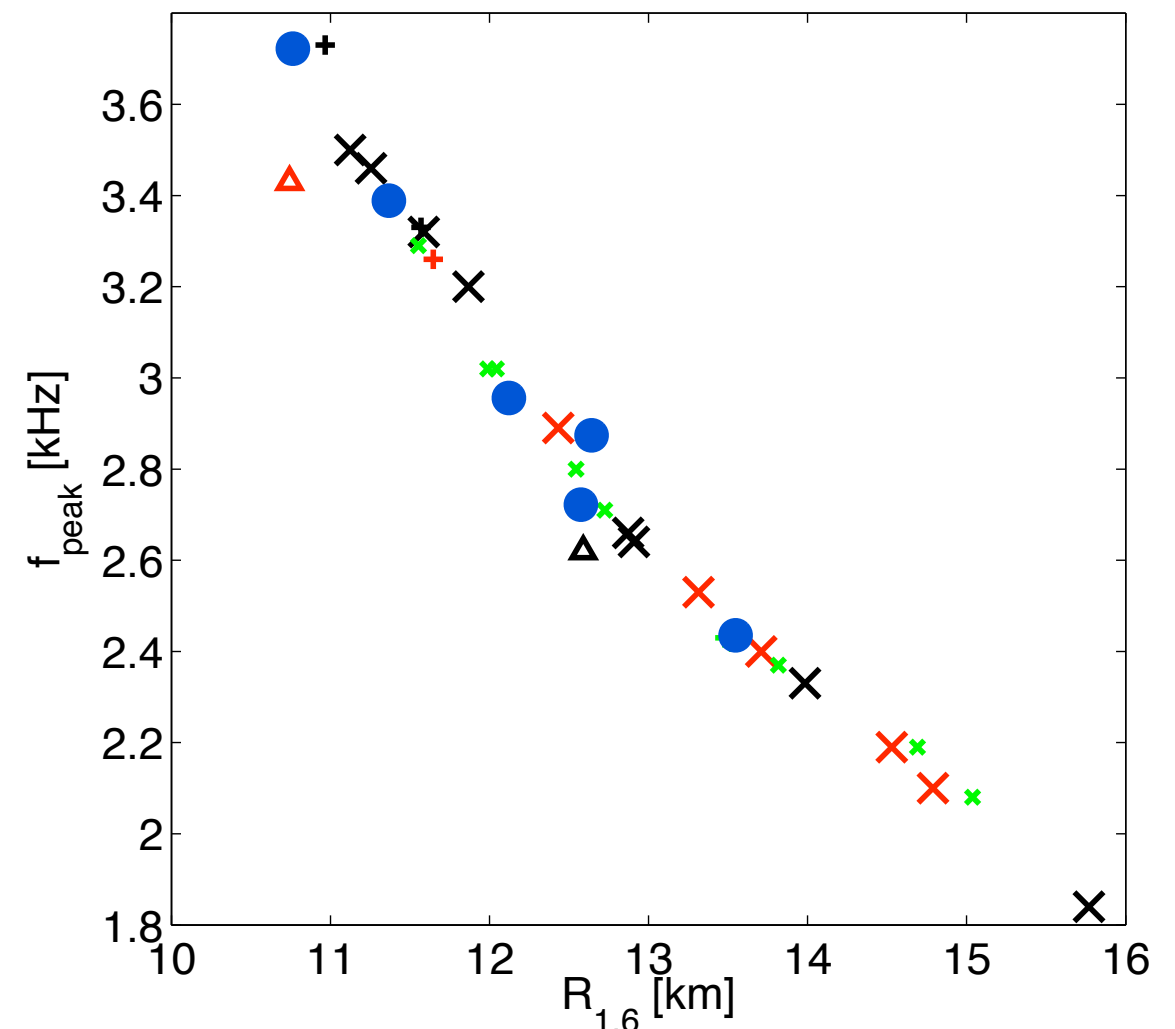
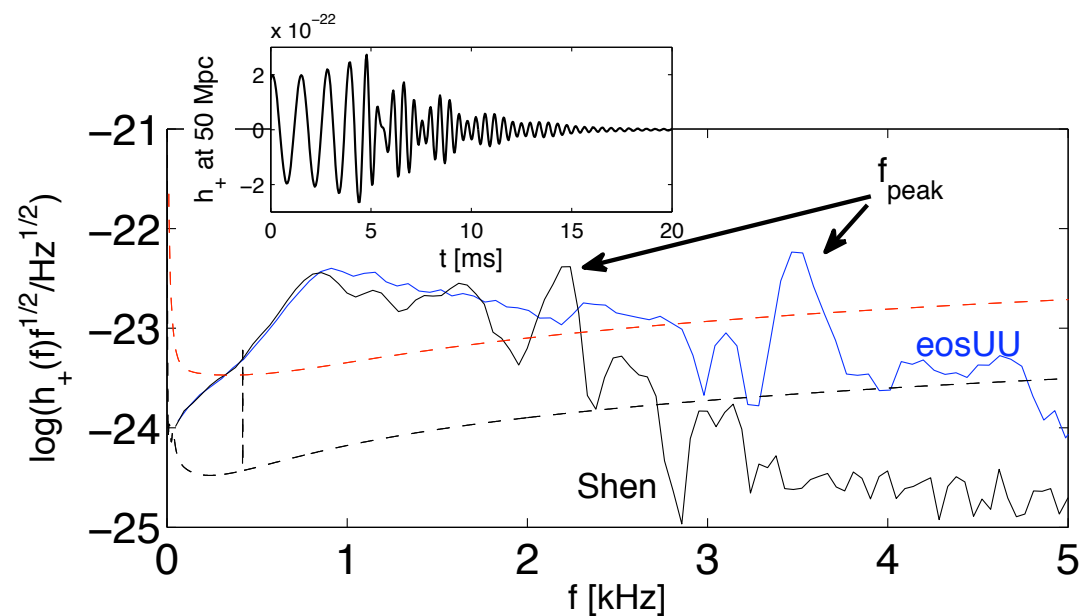
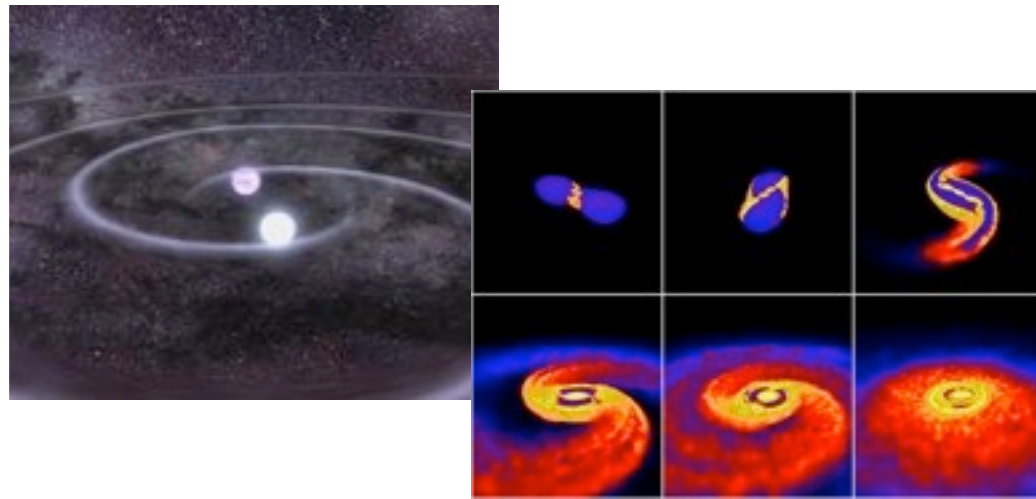
KH, Lattimer, Pethick, Schwenk, ApJ 773, 11 (2013)

KH, Lattimer, Pethick, Schwenk, PRL 105, 161102 (2010)

- low-density part of EOS sets scale for allowed high-density extensions
- current radius prediction for typical  $1.4 M_{\odot}$  neutron star: 9.7 – 13.9 km
- proposed LOFT mission could significantly improve constraints



# Gravitational wave signals from neutron star binary mergers



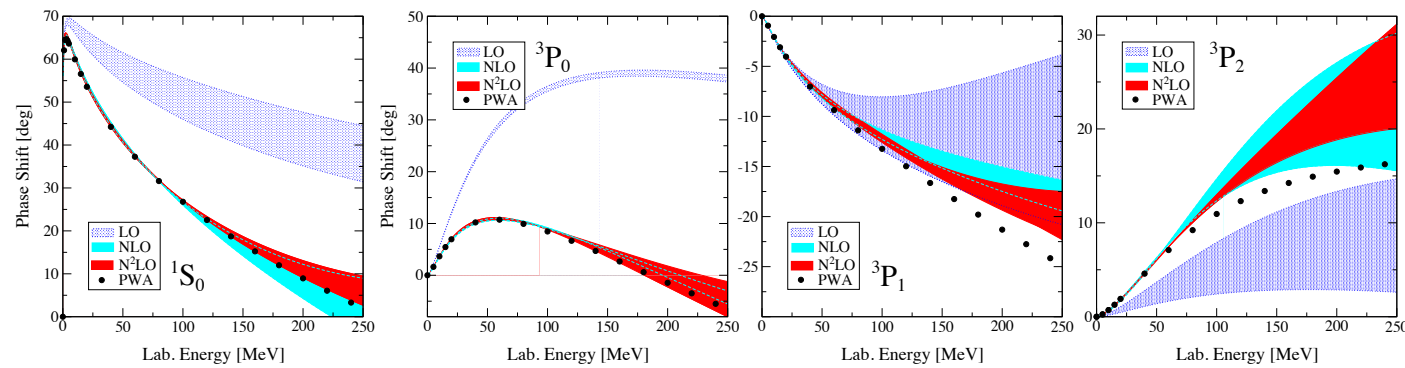
Bauswein and Janka, PRL 108, 011101 (2012),  
Bauswein, Janka, KH, Schwenk, PRD 86, 063001

- simulations of NS binary mergers show strong correlation between  $f_{\text{peak}}$  of the GW spectrum and the radius of a NS
- measuring  $f_{\text{peak}}$  is key step for constraining EOS systematically at large  $\rho$

# Recent and current developments of novel nuclear interactions

## I. local EFT interactions, suitable for Quantum Monte Carlo calculations

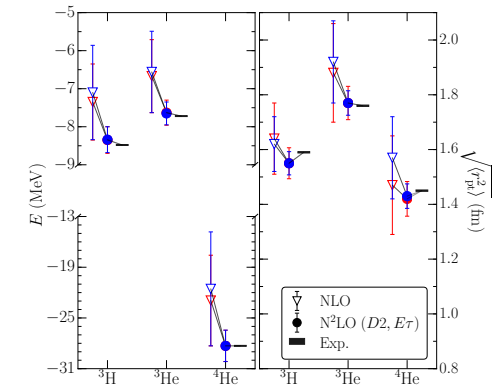
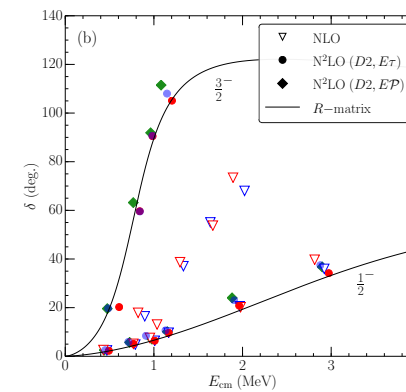
**status:** NN plus 3N up to N2LO



Gezerlis et al.,  
PRL 111, 032501 (2013)

Gezerlis et al.,  
PRC 90, 054323 (2014)

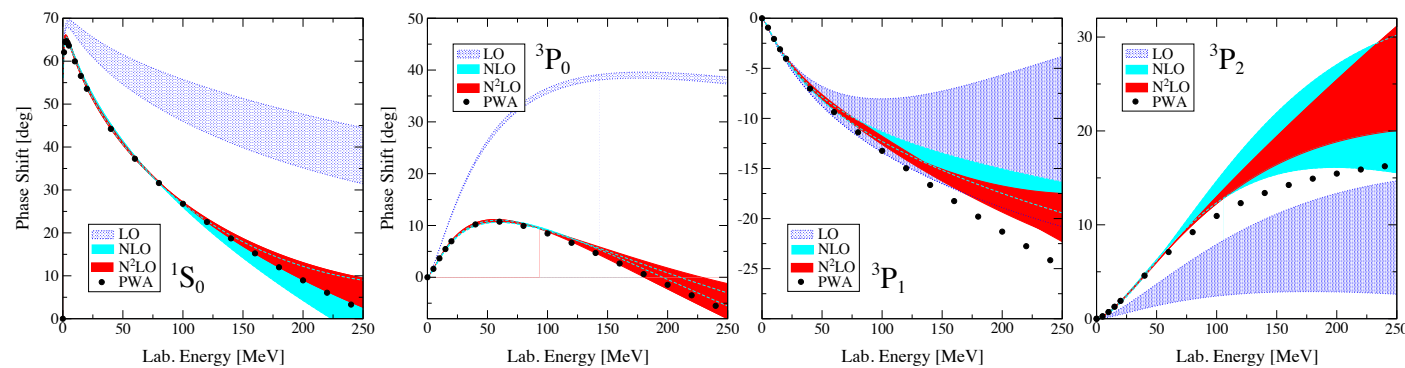
Lynn et al.,  
PRL 116, 062501 (2016)



# Recent and current developments of novel nuclear interactions

## 1. local EFT interactions, suitable for Quantum Monte Carlo calculations

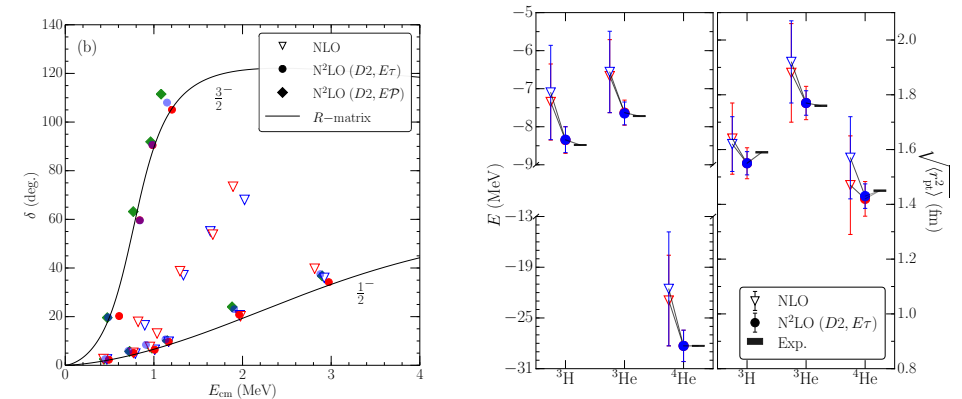
**status:** NN plus 3N up to N2LO



Gezerlis et al.,  
PRL 111, 032501 (2013)

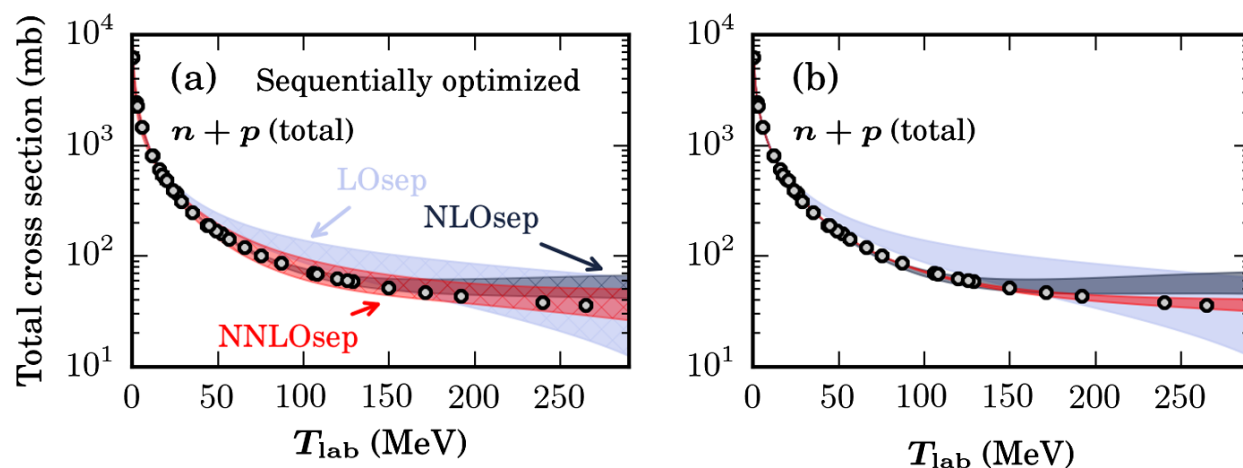
Gezerlis et al.,  
PRC 90, 054323 (2014)

Lynn et al.,  
PRL 116, 062501 (2016)

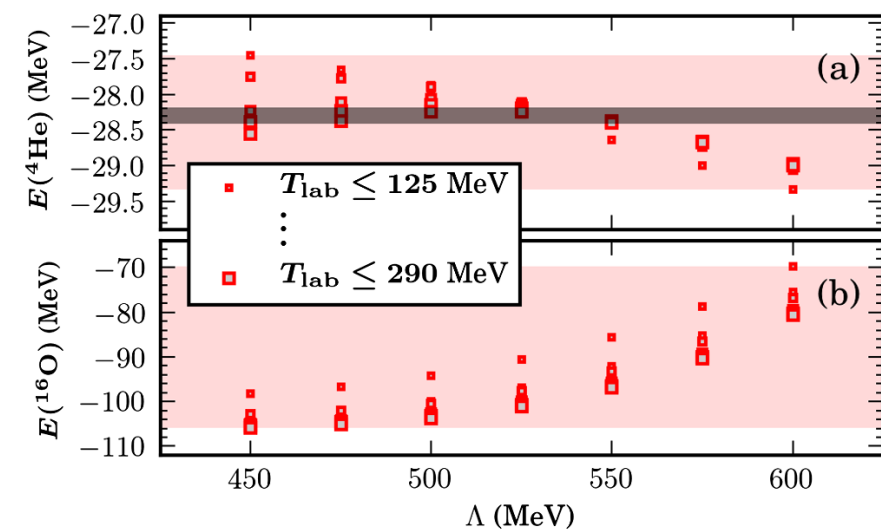


## 2. simultaneous fit of NN and 3N forces to two- and few-body observables

**status:** NN plus 3N up to N2LO



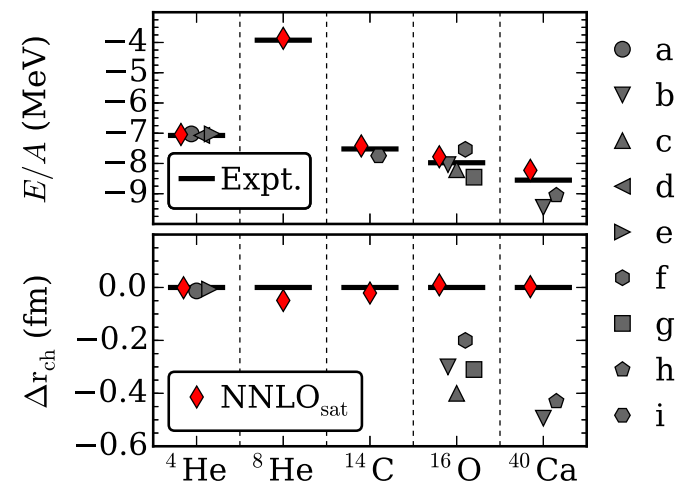
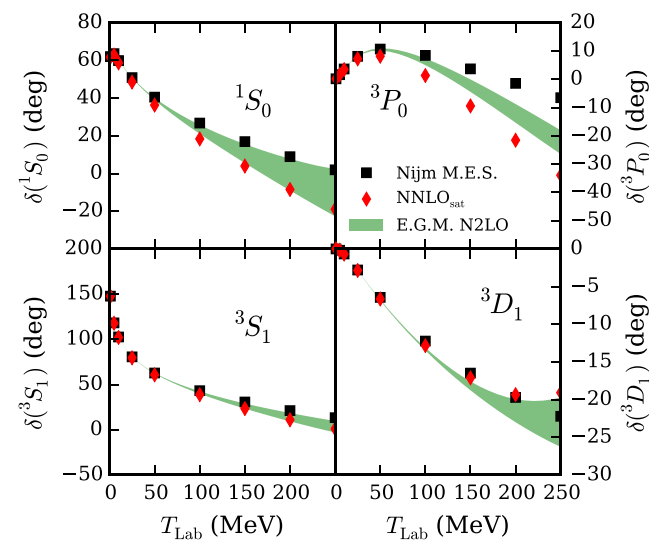
Carlsson et al.,  
PRX 6, 011019 (2016)



# Recent and current developments of novel nuclear interactions

## 3. fits of NN plus 3N forces to two-, few- and many-body observables

**status:** NN plus 3N up to N2LO

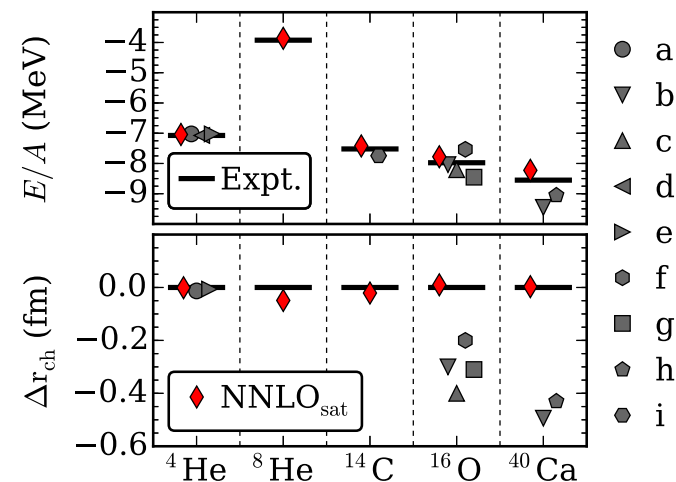
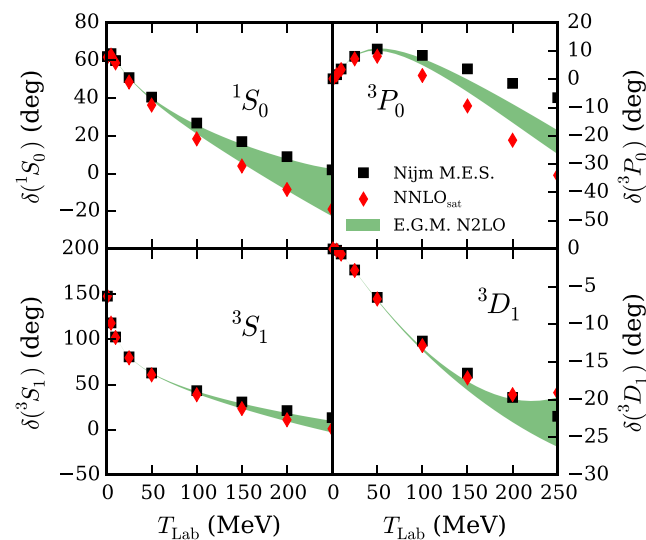


Ekström et al.,  
PRC91, 051301 (2015)

# Recent and current developments of novel nuclear interactions

## 3. fits of NN plus 3N forces to two-, few- and many-body observables

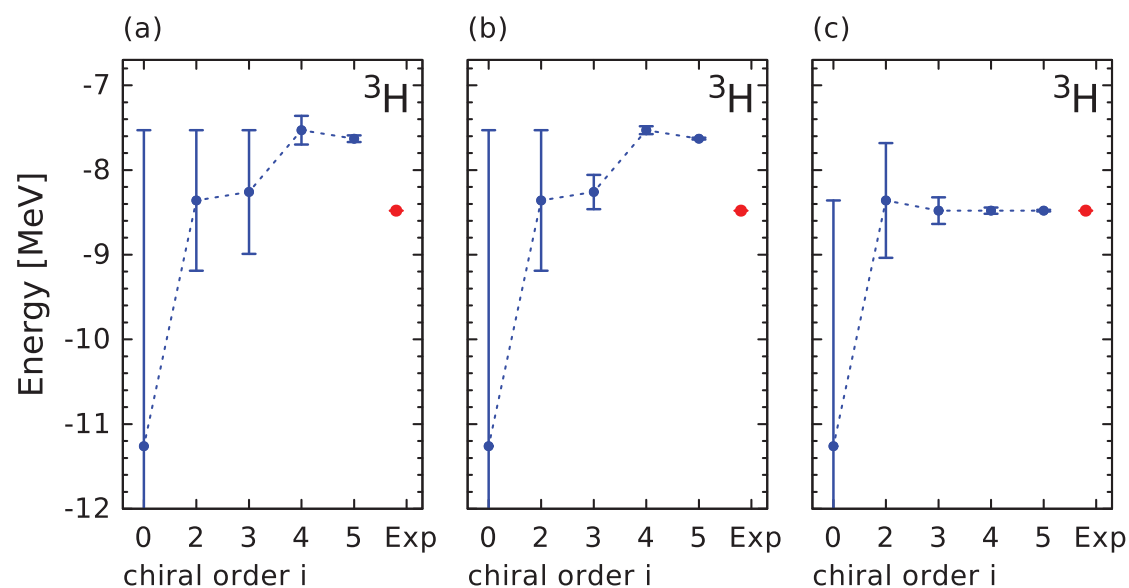
**status:** NN plus 3N up to N2LO



Ekström et al.,  
PRC91, 051301 (2015)

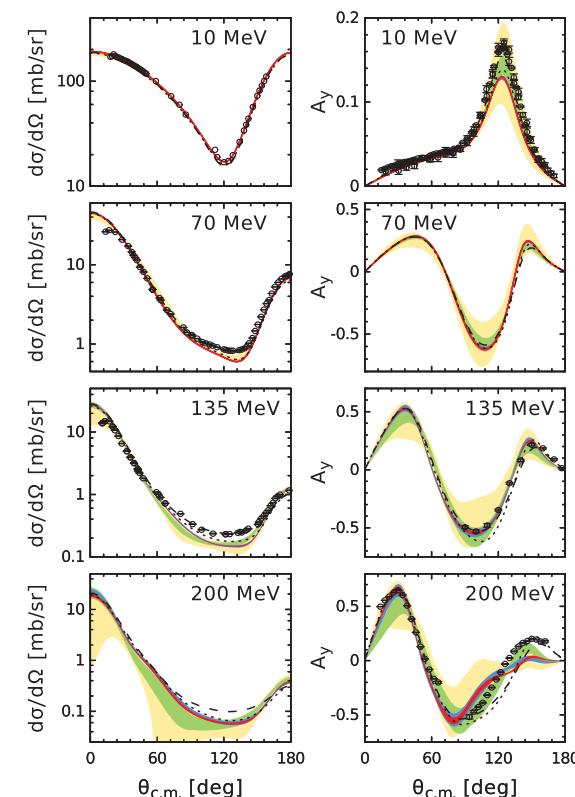
## 4. fit of semilocal NN forces, development of novel way of estimating uncertainties

**status:** NN up to N4LO, no 3NF yet



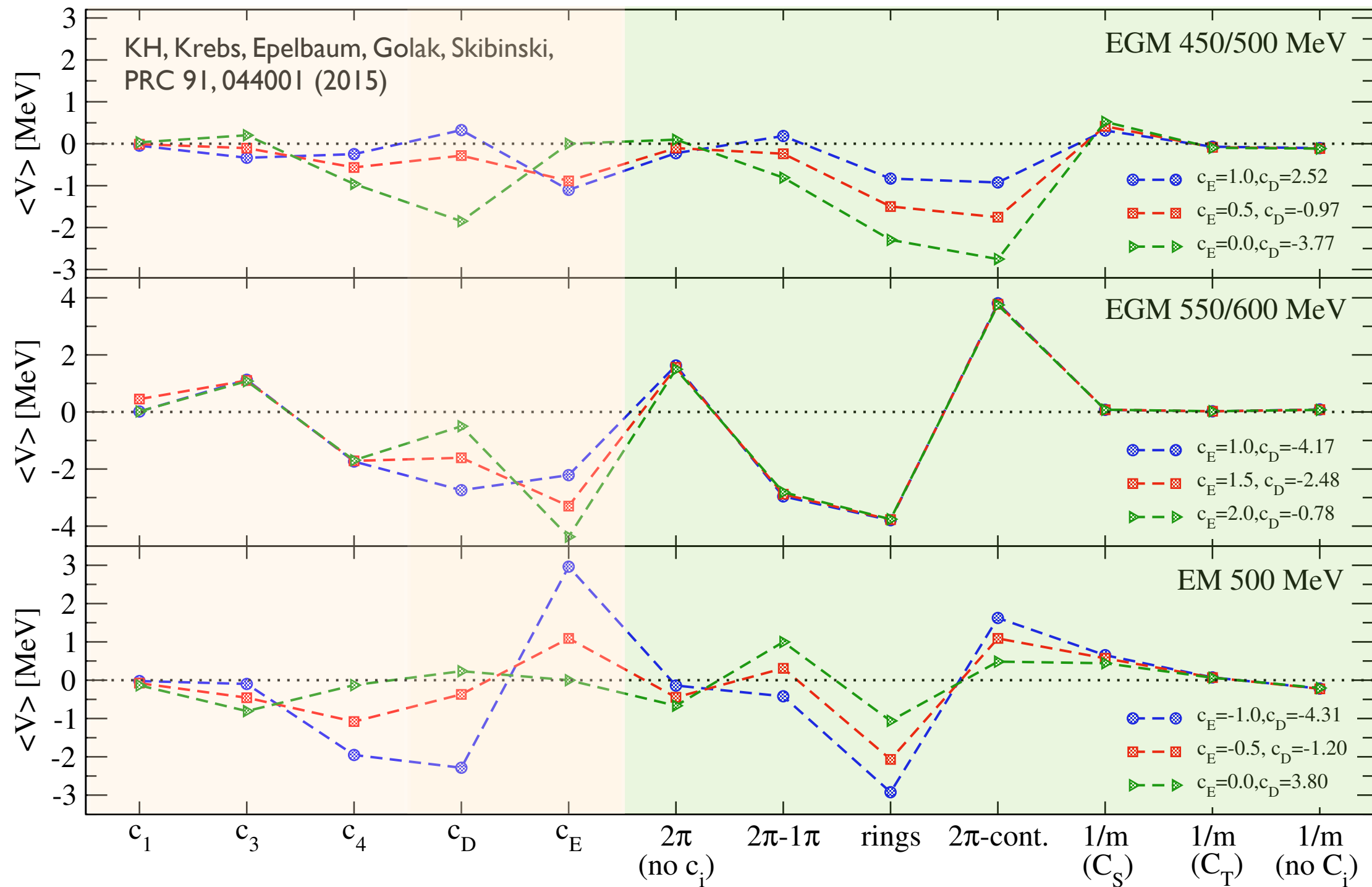
Epelbaum, Krebs, Meißner,  
PRL 115, 122301 (2015)

Binder et al.,  
PRC 93, 044002 (2016)





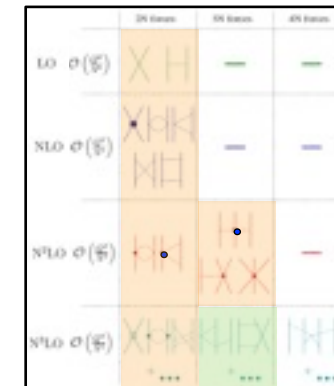
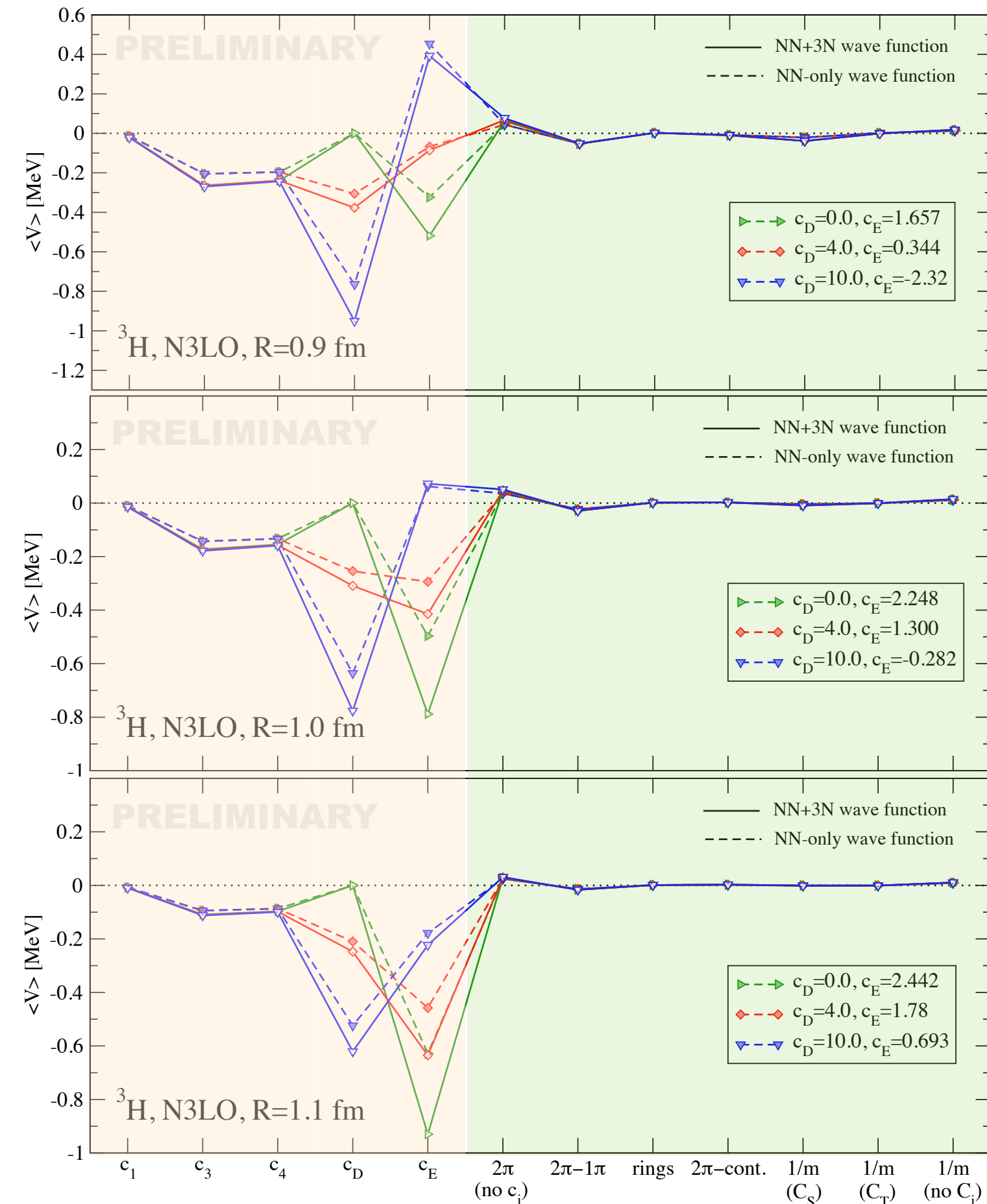
# Contributions of individual topologies in $^3\text{H}$ (nonlocal)



	2N forces	3N forces	4N forces
LO $\sigma(\frac{1}{m})$	X H	—	—
NLO $\sigma(\frac{1}{m})$	X H K	—	—
NLO $\sigma(\frac{1}{m})$	X H K	X X	—
NLO $\sigma(\frac{1}{m})$	X H K	X H K	X H K

- contributions of individual contributions depend sensitively on details
- N3LO contributions not suppressed compared to N2LO
- perturbativeness of 3NF strongly depends on NN interaction

# Contributions of individual topologies in $^3\text{H}$ (semi-local)



- contributions of individual topologies very similar for all cutoffs  $R$  at N3LO
- N3LO contributions significantly suppressed compared to N2LO
- 3NF behave perturbatively

## Summary

- presently-used nuclear interactions show deficiencies for heavier nuclei
- remarkable agreement between different many-body methods for a given low-resolutions Hamiltonian
- currently active efforts to develop improved NN interactions
- results for matter and nuclei depend sensitively on regularization scheme of NN and 3N interactions, upper density limit for nuclear matter calculations?
- power counting: contributions of N<sup>3</sup>LO 3NF topologies in 3H:
  - ✦ not suppressed for non-local NN+3N interactions
  - ✦ suppressed for semi-local NN+3N interactions

## Outlook and open questions

- understanding of chiral power counting for different regularization schemes
- fitting of LECs in chiral EFT interactions
- explore novel NN+3N interactions for structure of medium-mass nuclei, heavy nuclei and nuclear matter and few-body reactions