

# MASSIVE NEUTRINOS CIRCA 2017

Concha Gonzalez-Garcia

(YITP Stony Brook & ICREA U. Barcelona )

**CERN, March 2017**



<http://www.nu-fit.org>



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Neutrino Flavour Transition: Data and Interpretation

Some sidetrack in the Sun

My personal list of open questions (today)

# Neutrinos in the Standard Model

The SM is a gauge theory based on the symmetry group

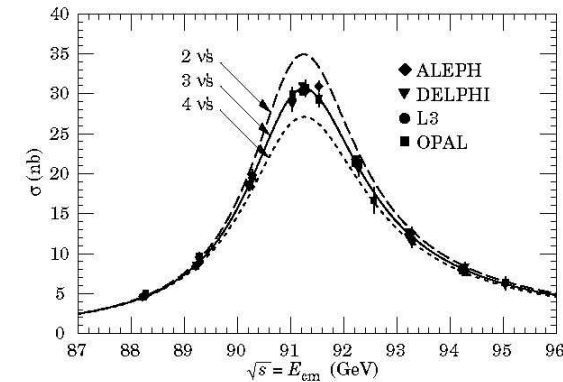
$$SU(3)_C \times SU(2)_L \times U(1)_Y \Rightarrow SU(3)_C \times U(1)_{EM}$$

With three generation of fermions

$(1, 2)_{-\frac{1}{2}}$	$(3, 2)_{\frac{1}{6}}$	$(1, 1)_{-1}$	$(3, 1)_{\frac{2}{3}}$	$(3, 1)_{-\frac{1}{3}}$
$\begin{pmatrix} \nu_e \\ e \end{pmatrix}_L$	$\begin{pmatrix} u^i \\ d^i \end{pmatrix}_L$	$e_R$	$u^i_R$	$d^i_R$
$\begin{pmatrix} \nu_\mu \\ \mu \end{pmatrix}_L$	$\begin{pmatrix} c^i \\ s^i \end{pmatrix}_L$	$\mu_R$	$c^i_R$	$s^i_R$
$\begin{pmatrix} \nu_\tau \\ \tau \end{pmatrix}_L$	$\begin{pmatrix} t^i \\ b^i \end{pmatrix}_L$	$\tau_R$	$t^i_R$	$b^i_R$

There is no  $\nu_R$

Three and only three



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$\begin{pmatrix} \nu_\mu \\ \mu \end{pmatrix}_L$	$\begin{pmatrix} c^i \\ s^i \end{pmatrix}_L$	$\mu_R$	$c^i_R$	$s^i_R$
$\begin{pmatrix} \nu_\tau \\ \tau \end{pmatrix}_L$	$\begin{pmatrix} t^i \\ b^i \end{pmatrix}_L$	$\tau_R$	$t^i_R$	$b^i_R$

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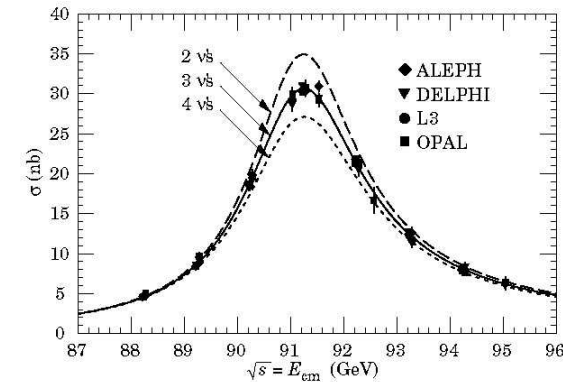


Accidental global symmetry:  $B \times L_e \times L_\mu \times L_\tau$  (hence  $L = L_e + L_\mu + L_\tau$ )



$\nu$  strictly massless

Three and only three



- By 2016 we have observed with high (or good) precision:
  - \* Atmospheric  $\nu_\mu$  &  $\bar{\nu}_\mu$  disappear most likely to  $\nu_\tau$  (**SK, MINOS, ICECUBE**)
  - \* Accel.  $\nu_\mu$  &  $\bar{\nu}_\mu$  disappear at  $L \sim 300/800$  Km (**K2K, T2K, MINOS, NO $\nu$ A**)
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  - \* Reactor  $\bar{\nu}_e$  disappear at  $L \sim 200$  Km (**KamLAND**)
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All this implies that  $L_\alpha$  are violated

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and There is Physics Beyond SM

- The *starting* path:

Precise determination of the low energy parametrization

# The New Minimal Standard Model

- Minimal Extension to allow for LFV  $\Rightarrow$  give Mass to the Neutrino

\* Introduce  $\nu_R$  AND impose  $L$  conservation  $\Rightarrow$  Dirac  $\nu \neq \nu^c$ :

$$\mathcal{L} = \mathcal{L}_{SM} - M_\nu \overline{\nu}_L \nu_R + h.c.$$

\* NOT impose  $L$  conservation  $\Rightarrow$  Majorana  $\nu = \nu^c$

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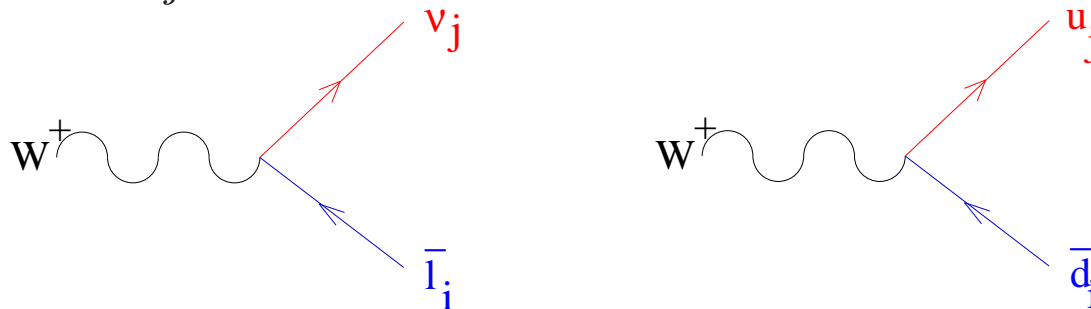
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- The charged current interactions of leptons are not diagonal (same as quarks)

$$\frac{g}{\sqrt{2}} W_\mu^+ \sum_{ij} (U_{\text{LEP}}^{ij} \bar{\ell}^i \gamma^\mu L \nu^j + U_{\text{CKM}}^{ij} \bar{U}^i \gamma^\mu L D^j) + h.c.$$





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- In general for  $N = 3 + s$  massive neutrinos  $U_{\text{LEP}}$  is  $3 \times N$  matrix

$$U_{\text{LEP}} U_{\text{LEP}}^\dagger = I_{3 \times 3} \quad \text{but in general} \quad U_{\text{LEP}}^\dagger U_{\text{LEP}} \neq I_{N \times N}$$

- $U_{\text{LEP}}$ :  $3 + 3s$  angles +  $2s + 1$  Dirac phases +  $s + 2$  Majorana phases

# $\nu$ Mass Oscillations in Vacuum

Monica Gonzalez-Garcia

- If neutrinos have mass, a weak eigenstate  $|\nu_\alpha\rangle$  produced in  $l_\alpha + N \rightarrow \nu_\alpha + N'$

is a linear combination of the mass eigenstates ( $|\nu_i\rangle$ ):  $|\nu_\alpha\rangle = \sum_{i=1}^n U_{\alpha i} |\nu_i\rangle$

- After a distance  $L$  it can be detected with flavour  $\beta$  with probability

$$P_{\alpha\beta} = \delta_{\alpha\beta} - 4 \sum_{j \neq i}^n \text{Re}[U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*] \sin^2 \left( \frac{\Delta_{ij}}{2} \right) + 2 \sum_{j \neq i} \text{Im}[U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*] \sin(\Delta_{ij})$$

$$\frac{\Delta_{ij}}{2} = \frac{(E_i - E_j)L}{2} = 1.27 \frac{(m_i^2 - m_j^2)}{\text{eV}^2} \frac{L/E}{\text{Km/GeV}}$$

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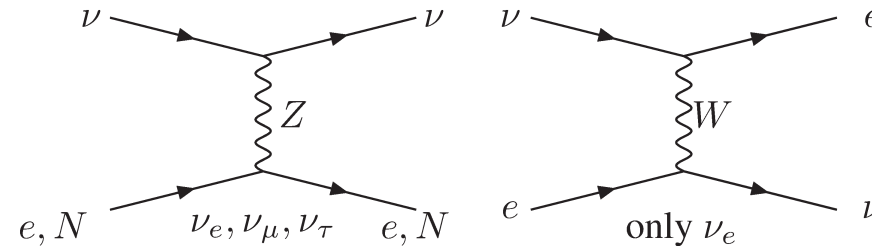
- When osc between 2- $\nu$  dominates:

$$P_{\alpha\alpha} = 1 - P_{osc} \quad \text{Disappear}$$
$$P_{osc} = \sin^2(2\theta) \sin^2 \left( 1.27 \frac{\Delta m^2 L}{E} \right) \quad \text{Appear}$$

# Matter Effects

- If  $\nu$  cross **matter** regions (Sun, Earth...) it interacts *coherently*

- But **Different flavours** have **different interactions** :



$\Rightarrow$  Effective potential in  $\nu$  evolution :  $V_e \neq V_{\mu,\tau} \Rightarrow \Delta V^\nu = -\Delta V^{\bar{\nu}} = \sqrt{2}G_F N_e$

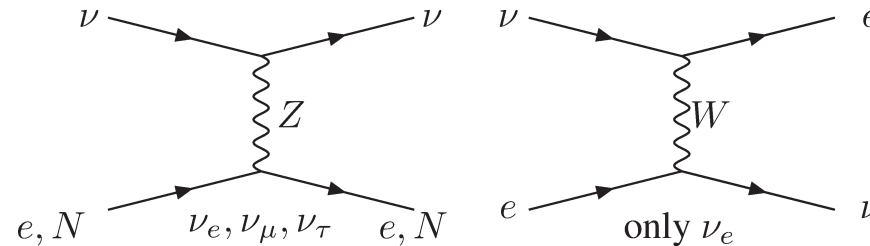
$$-i \frac{\partial}{\partial x} \begin{pmatrix} \nu_e \\ \nu_X \end{pmatrix} = \left[ - \begin{pmatrix} V_e - V_X - \frac{\Delta m^2}{4E} \cos 2\theta & \frac{\Delta m^2}{4E} \sin 2\theta \\ \frac{\Delta m^2}{4E} \sin 2\theta & \frac{\Delta m^2}{4E} \cos 2\theta \end{pmatrix} \right] \begin{pmatrix} \nu_e \\ \nu_X \end{pmatrix}$$

$\Rightarrow$  **Modification of mixing angle and oscillation wavelength (MSW)**

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⇒ **Modification of mixing angle and oscillation wavelength** (MSW)

- Mass difference and mixing in matter:

$$\Delta m_m^2 = \sqrt{(\Delta m^2 \cos 2\theta - 2E\Delta V)^2 + (\Delta m^2 \sin 2\theta)^2}$$

$$\sin(2\theta_m) = \frac{\Delta m^2 \sin(2\theta)}{\Delta m_{mat}^2}$$

⇒ For solar  $\nu$ 's in adiabatic regime

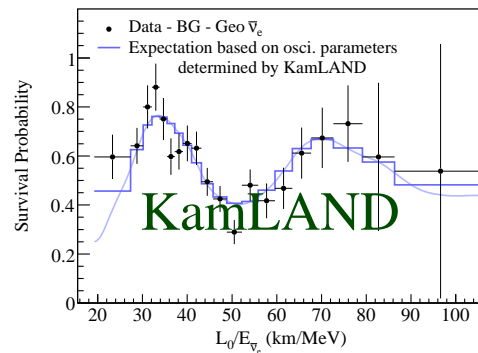
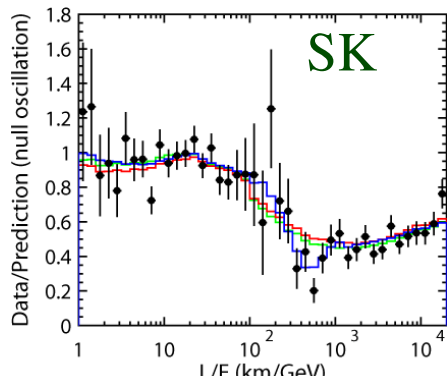
$$P_{ee} = \frac{1}{2} [1 + \cos(2\theta_m) \cos(2\theta)]$$

Dependence on  $\theta$  octant

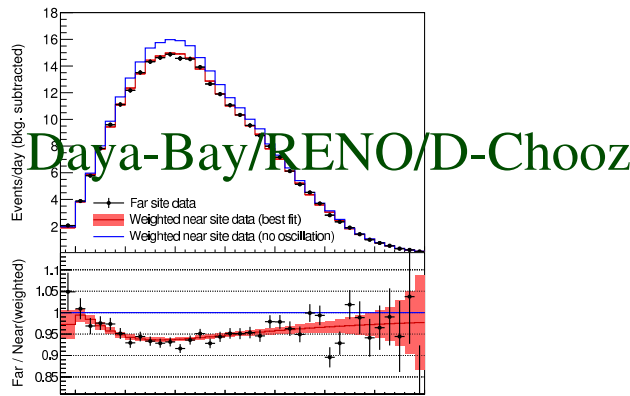
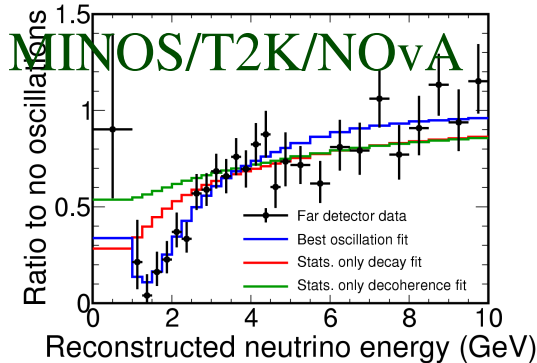
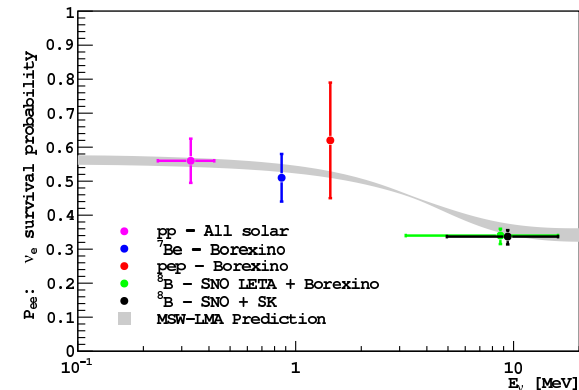
⇒ In LBL terrestrial experiments

Dependence on **sign of  $\Delta m^2$**  and  $\theta$  octant

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- Confirmed: Vacuum oscillation  $L/E$  pattern with 2 frequencies



### MSW conversion in Sun



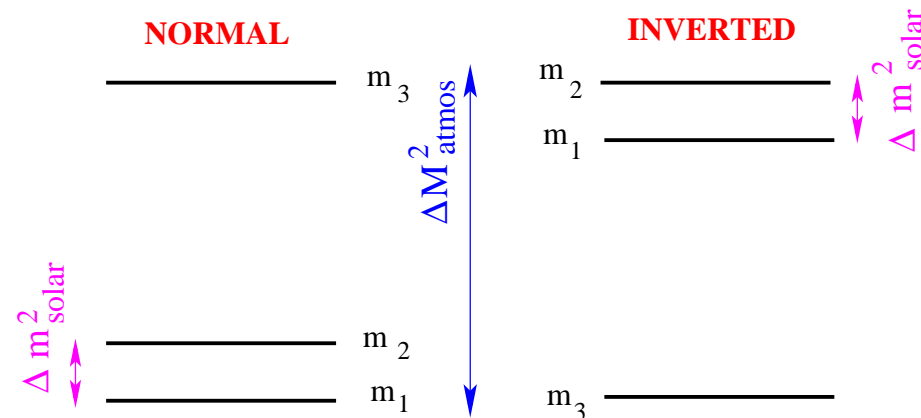
# 3ν Flavour Parameters

Concha Gonzalez-Garcia

- For 3 ν's : 3 Mixing angles + 1 Dirac Phase + 2 Majorana Phases

$$U_{\text{LEP}} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{i\delta_{\text{CP}}} \\ 0 & 1 & 0 \\ -s_{13}e^{-i\delta_{\text{CP}}} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{21} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} e^{i\eta_1} & 0 & 0 \\ 0 & e^{i\eta_2} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

- Two Possible Orderings





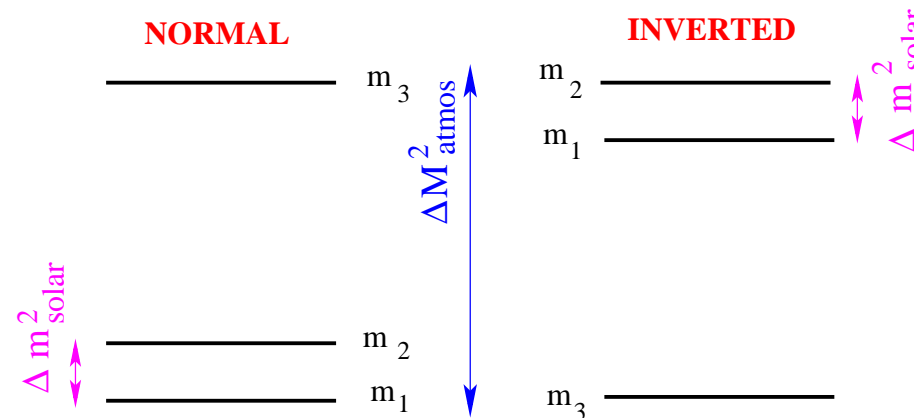
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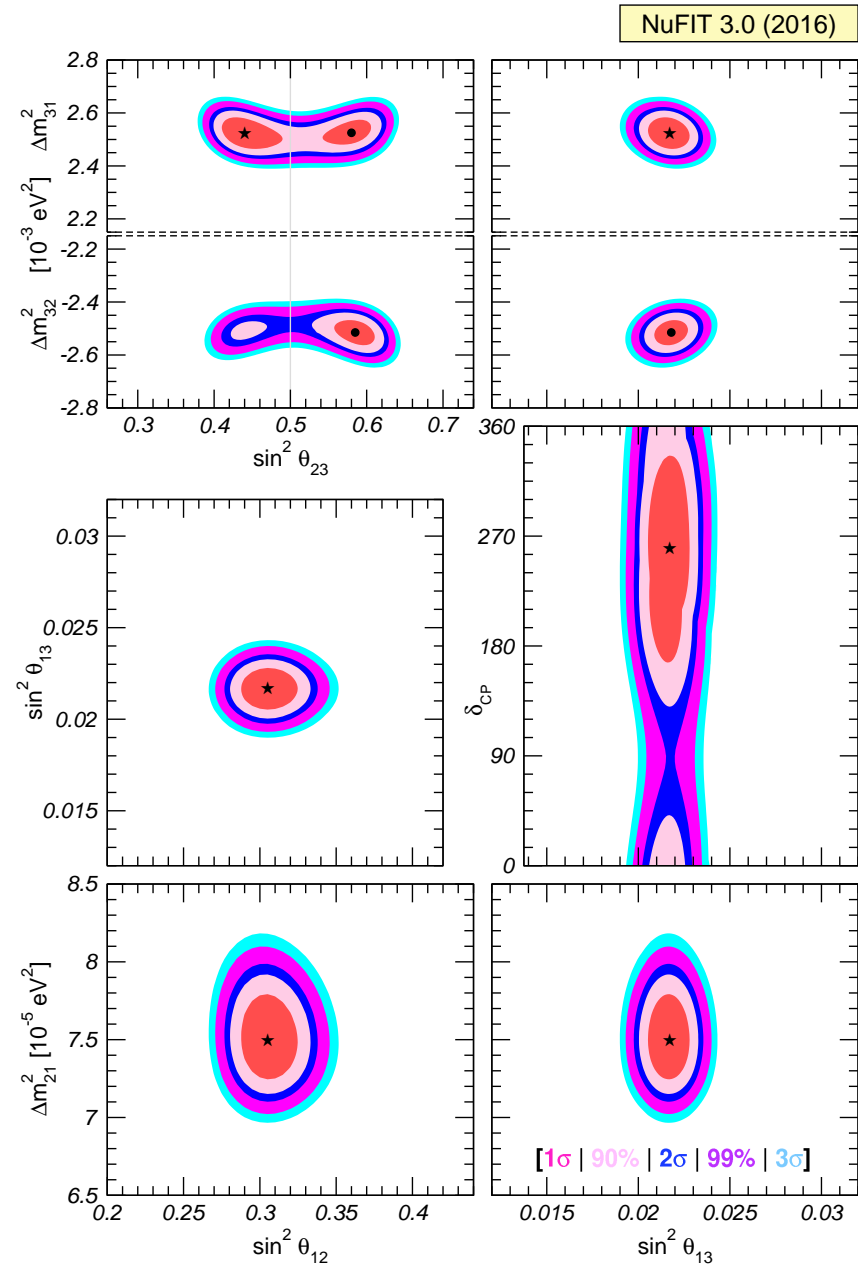
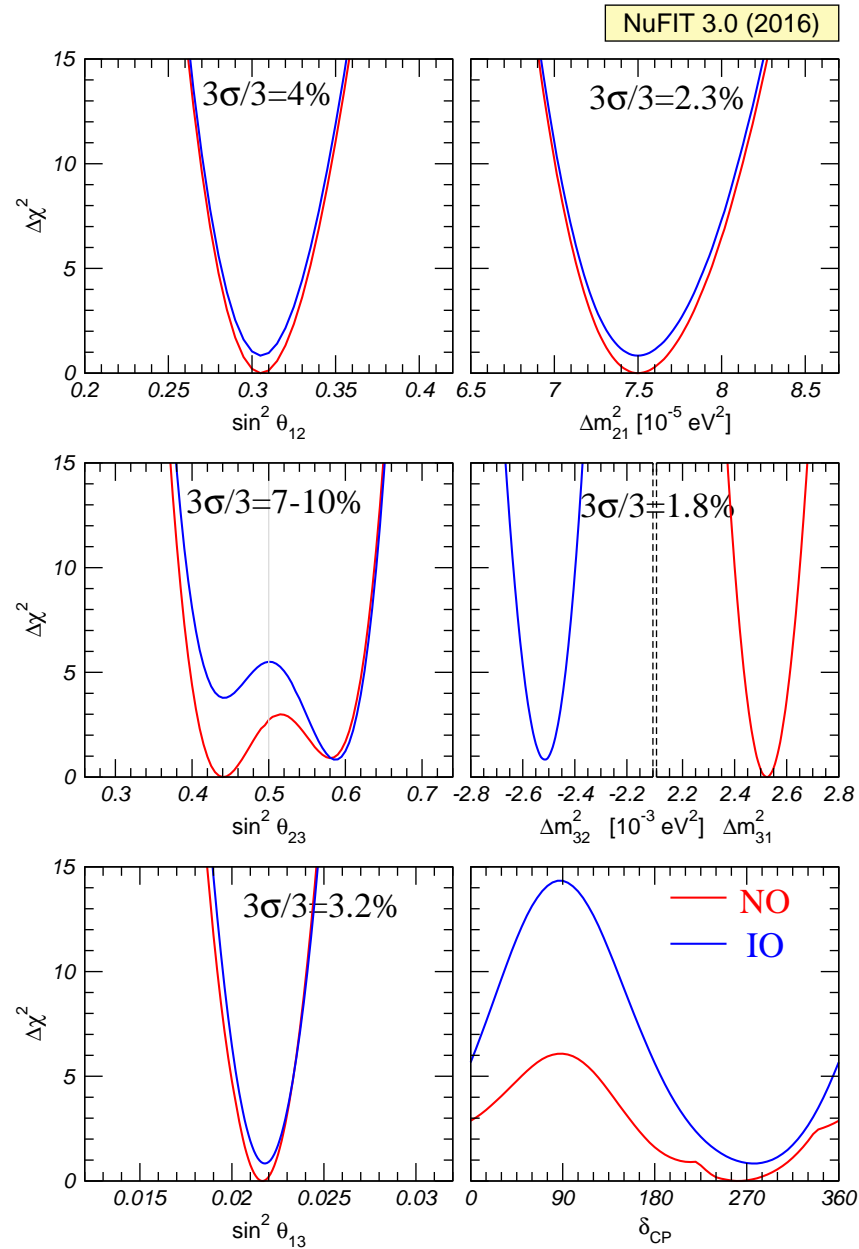
Experiment	Dominant Dependence	Important Dependence
Solar Experiments	→ $\theta_{12}$	$\Delta m_{21}^2$ , $\theta_{13}$
Reactor LBL (KamLAND)	→ $\Delta m_{21}^2$	$\theta_{12}$ , $\theta_{13}$
Reactor MBL (Daya Bay, Reno, D-Chooz)	→ $\theta_{13}$	$\Delta m_{\text{atm}}^2$
Atmospheric Experiments	→ $\theta_{23}$	$\Delta m_{\text{atm}}^2$ , $\theta_{13}$ , $\delta_{\text{CP}}$
Acc LBL $\nu_{\mu}$ Disapp (Minos, T2K, NOvA)	→ $\Delta m_{\text{atm}}^2$	$\theta_{23}$
Acc LBL $\nu_e$ App (Minos, T2K, NOvA)	→ $\theta_{13}$	$\delta_{\text{CP}}$ , $\theta_{23}$

# 3 $\nu$ Flavour Parameters: Status in 3/2017

Maltoni-Martinez-Garcia

Global 6-parameter fit <http://www.nu-fit.org>

Esteban, Maltoni, Martinez-Soler, Schwetz, MCG-G ArXiv:1611:01514

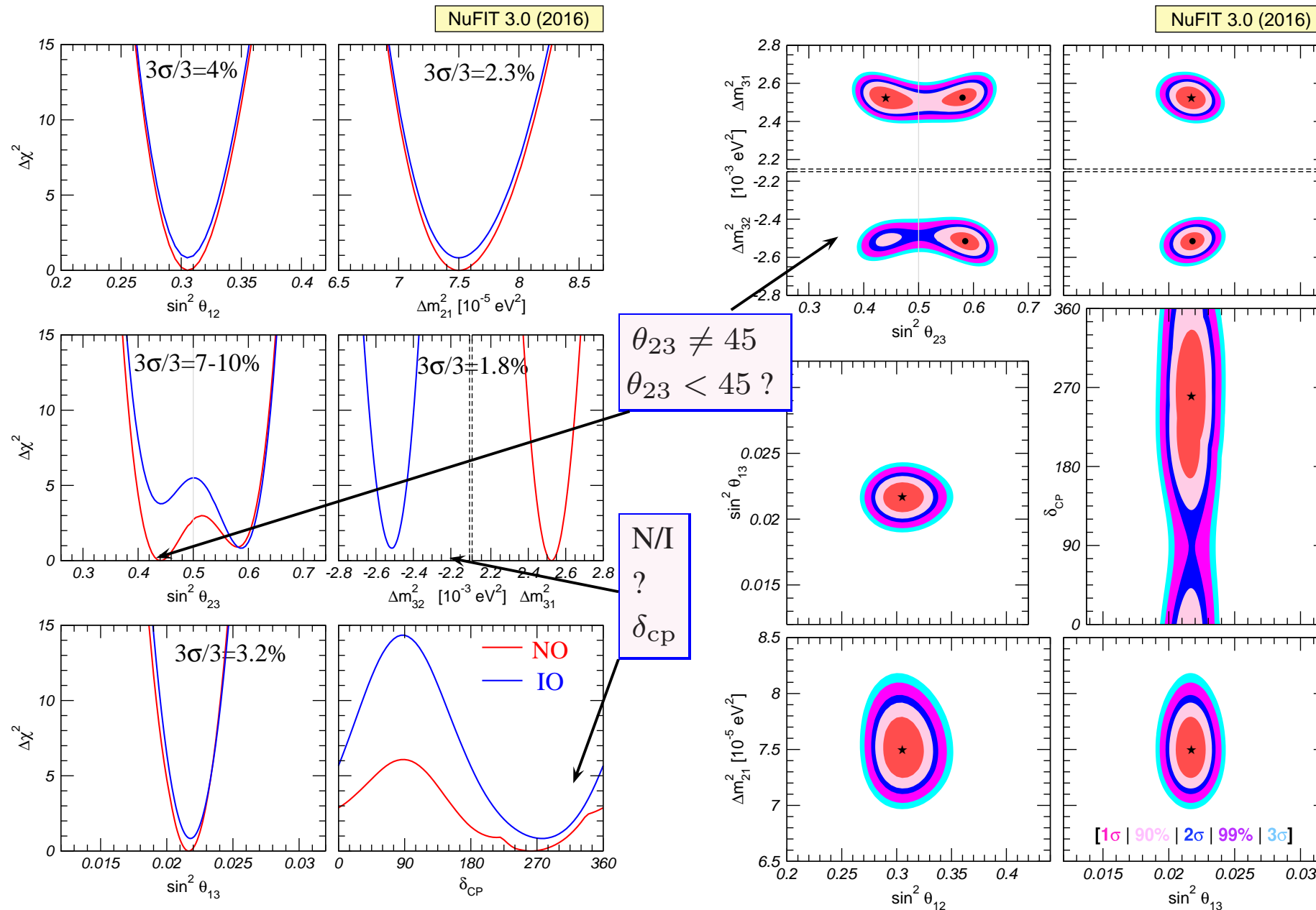


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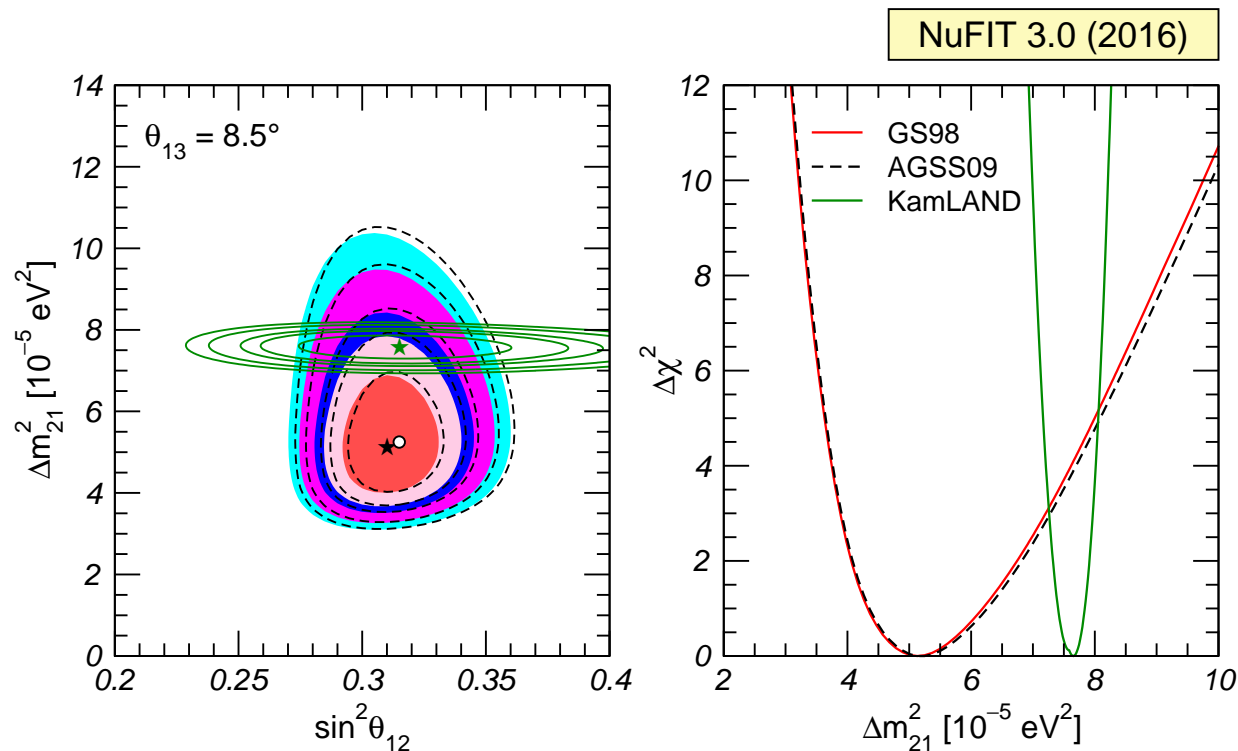


# Issues in 3 $\nu$ Analysis: $\Delta m_{21}^2$ KamLAND vs SOLAR

- $\Delta m_{13}^2 \gg E/L \Rightarrow P_{ee}^{3\nu} = c_{13}^4 P_{2\nu} + s_{13}^4$

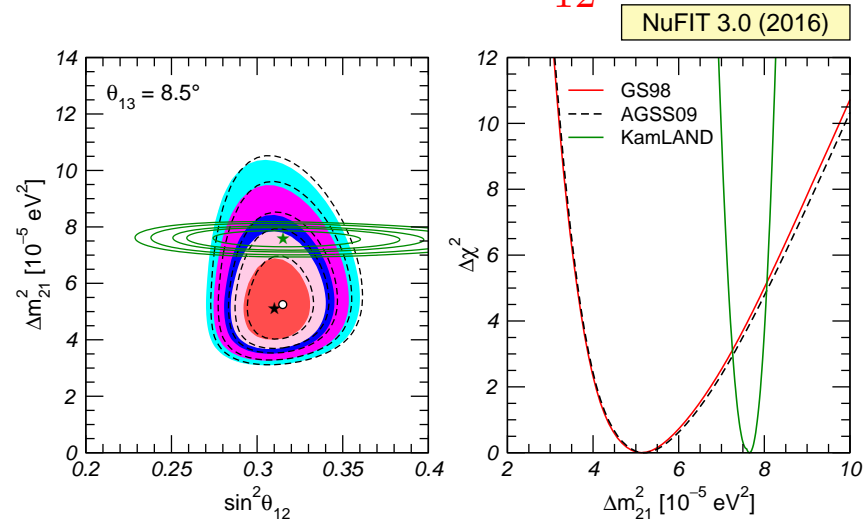
$$i \frac{d}{dt} \begin{pmatrix} \nu_e \\ \nu_a \end{pmatrix} = \left[ \frac{\Delta m_{21}^2}{4E} \begin{pmatrix} -\cos 2\theta_{12} & \sin 2\theta_{12} \\ \sin 2\theta_{12} & \cos 2\theta_{12} \end{pmatrix} \pm \sqrt{2} G_F N_e \begin{pmatrix} c_{13}^2 & 0 \\ 0 & 0 \end{pmatrix} \right] \begin{pmatrix} \nu_e \\ \nu_a \end{pmatrix}$$

- With  $\theta_{13} \simeq 9^\circ$   $\theta_{12}$  OK. But  $\sim 2\sigma$  tension on  $\Delta m_{12}^2$



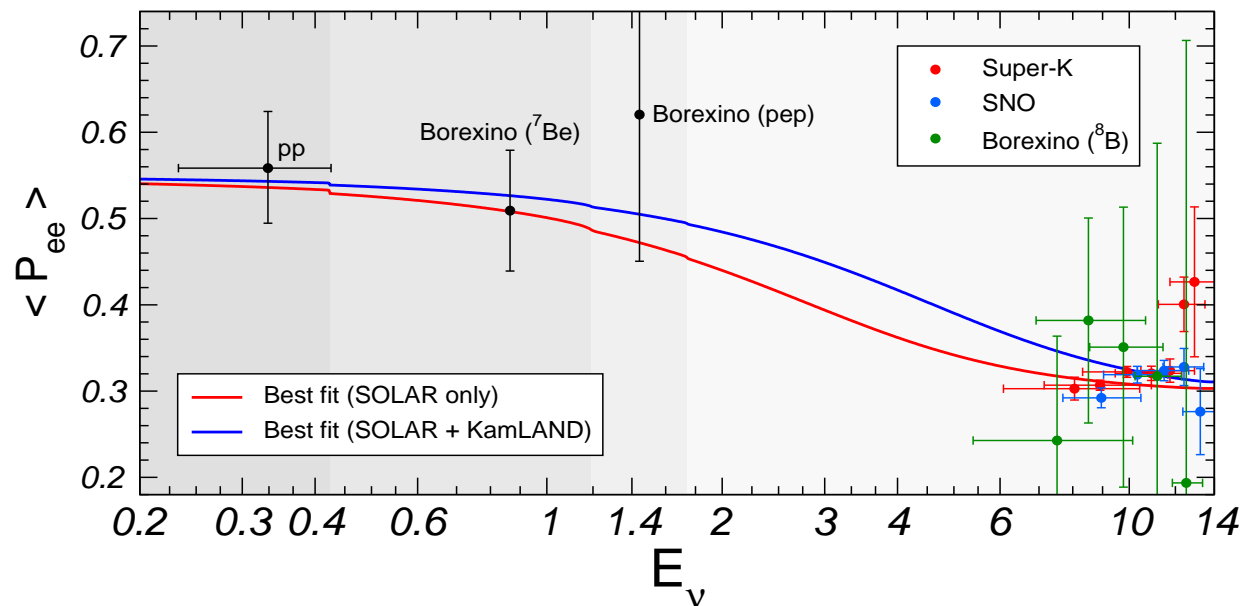
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For  $\theta_{13} \simeq 9^\circ$   $\theta_{12}$  OK. But  $\sim 2\sigma$  tension on  $\Delta m_{12}^2$



Tension related to: a) “too large” of Day/Night at SK

b) smaller-than-expected low-E turn up from MSW at best global fit



Modified matter potential?

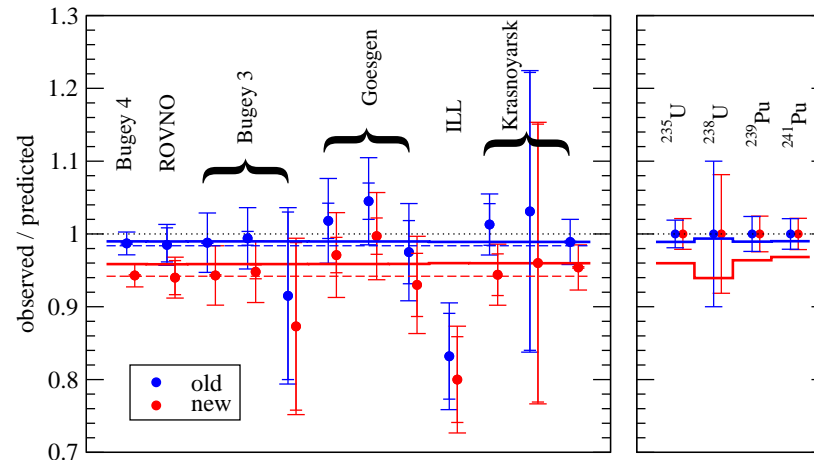
# Issues in 3 $\nu$ Analysis: Reactor Flux anomaly and $\theta_{13}$

- The reactor  $\bar{\nu}_e$  fluxes was recalculated about 6 yrs ago

T.A. Mueller et al., [arXiv:1101.2663].; P. Huber, [arXiv:1106.0687].

- Both found higher fluxes  $\sim 3.5\%$

$\Rightarrow$  *negative* reactor experiments  
at short baselines (RSBL) indeed  
*observed a deficit*

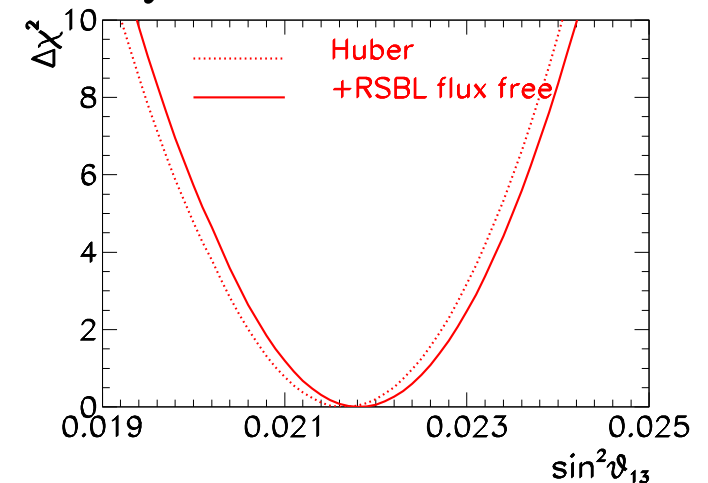


- For 3 $\nu$  analysis a consistent approach (T. Schwetz et. al. [arXiv:1103.0734]):

- Fit oscillation parameters and reactor fluxes simultaneously
- Use calculated fluxes (a) or RSBL data (b) as priors

Difference at  $\lesssim 0.3\sigma$  level

$$\chi_{min,a}^2 - \chi_{min,b}^2 \sim 7$$



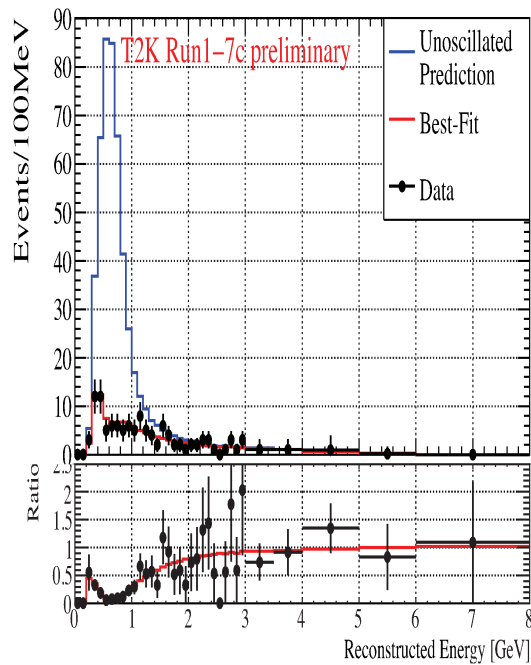
# 3 $\nu$ Analysis: $\theta_{23}$

- Best determined in  $\nu_\mu$  and  $\bar{\nu}_\mu$  disappearance in LBL

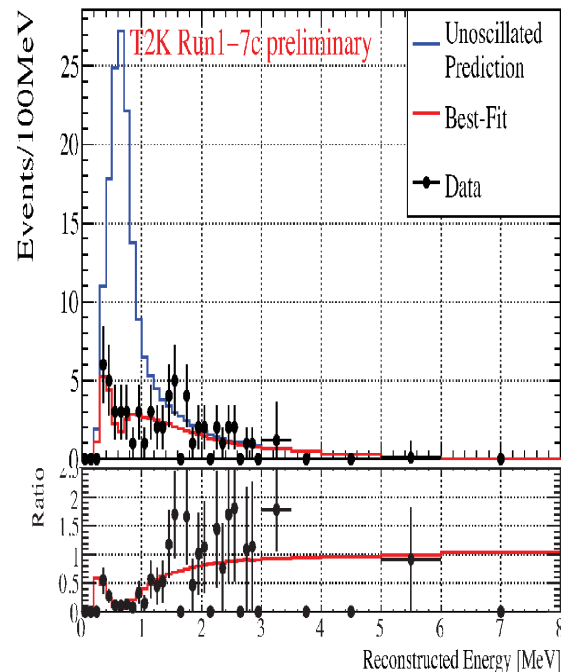
$$P_{\mu\mu} \simeq 1 - (c_{13}^4 \sin^2 2\theta_{23} + s_{23}^2 \sin^2 2\theta_{13}) \sin^2 \left( \frac{\Delta m_{31}^2 L}{4E} \right) + \mathcal{O}(\Delta m_{21}^2)$$

- At osc maximum  $\sin^2 \left( \frac{\Delta m_{31}^2 L}{4E} \right) = 1 \Rightarrow P_{\mu\mu} \simeq 0$  for  $\theta_{23} \simeq \frac{\pi}{4}$

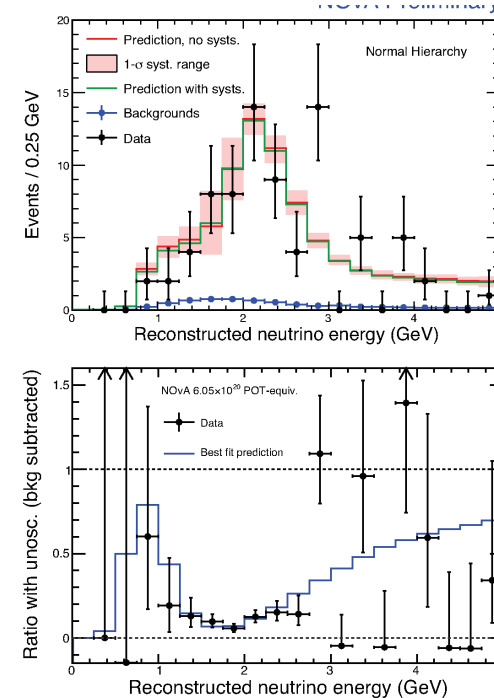
T2K  $\nu_\mu \rightarrow \nu_\mu$



T2K  $\bar{\nu}_\mu \rightarrow \bar{\nu}_\mu$



NOvA  $\nu_\mu \rightarrow \nu_\mu$

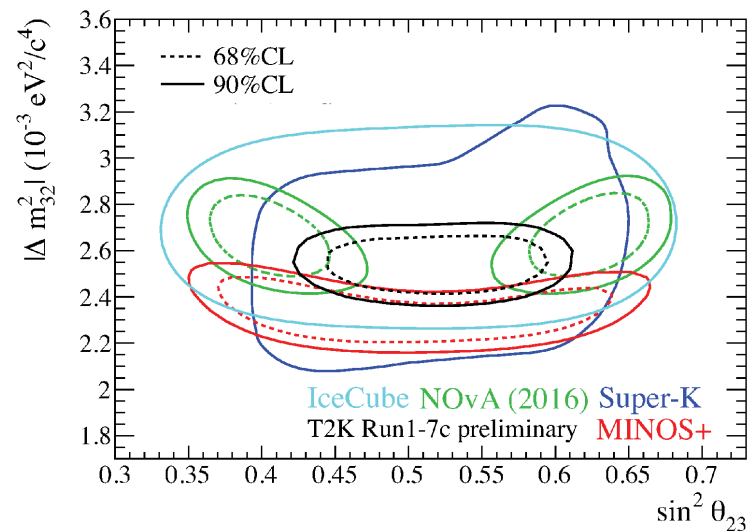


## 3 $\nu$ Analysis: $\theta_{23}$

- Best determined in  $\nu_\mu$  and  $\bar{\nu}_\mu$  disappearance in LBL

$$P_{\mu\mu} \simeq 1 - (c_{13}^4 \sin^2 2\theta_{23} + s_{23}^2 \sin^2 2\theta_{13}) \sin^2 \left( \frac{\Delta m_{31}^2 L}{4E} \right) + \mathcal{O}(\Delta m_{21}^2)$$

- Allowed regions by the different experiments:



In making this figure  $\theta_{13}$  is constrained by prior from reactor data

Caution: Not the same using  $\theta_{13}$  reactor prior than combining with reactor results (because of  $\Delta m_{32}^2$  in reactors)



### 3 $\nu$ Analysis: $\Delta m_{23}^2$ in LBL vs Reactors

- At LBL determined in  $\nu_\mu$  and  $\bar{\nu}_\mu$  disappearance spectrum

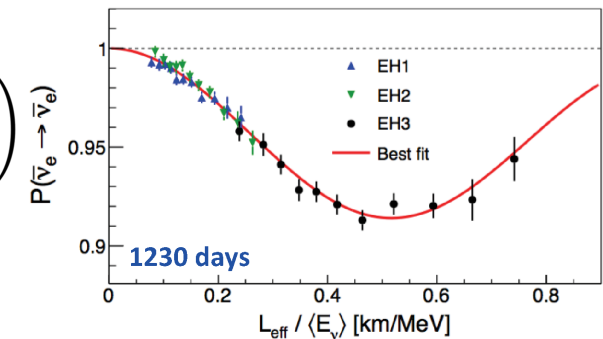
$$P_{\mu\mu} \simeq 1 - (c_{13}^4 \sin^2 2\theta_{23} + s_{23}^2 \sin^2 2\theta_{13}) \sin^2 \left( \frac{\Delta m_{31}^2 L}{4E} \right) + \mathcal{O}(\Delta m_{21}^2)$$

- At MBL Reactors (Daya-Bay, Reno, D-Chooz) determined in  $\bar{\nu}_e$  disapp spectrum

$$P_{ee} \simeq 1 - \sin^2 2\theta_{13} \sin^2 \left( \frac{\Delta m_{ee}^2 L}{4E} \right) - c_{13}^4 \sin^2 2\theta_{12} \sin^2 \left( \frac{\Delta m_{21}^2 L}{4E} \right)$$

$$\Delta m_{ee}^2 \simeq |\Delta m_{32}^2| \pm c_{12}^2 \Delta m_{21}^2 \simeq |\Delta m_{32}^2| \pm 0.05 \times 10^{-3} \text{ eV}^2$$

Nunokawa, Parke, Zukanovich (2005)



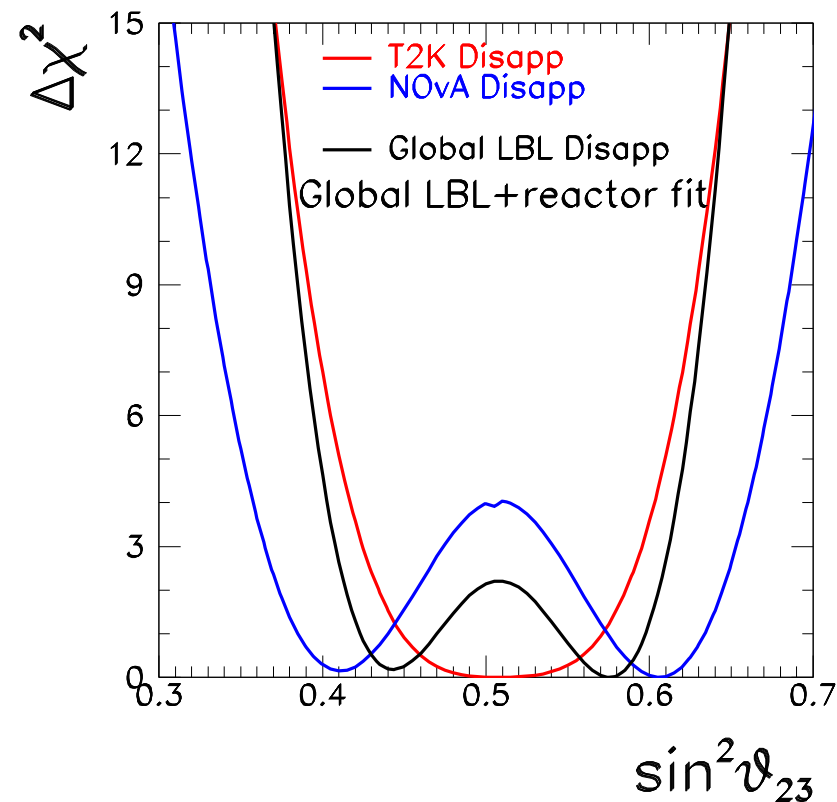
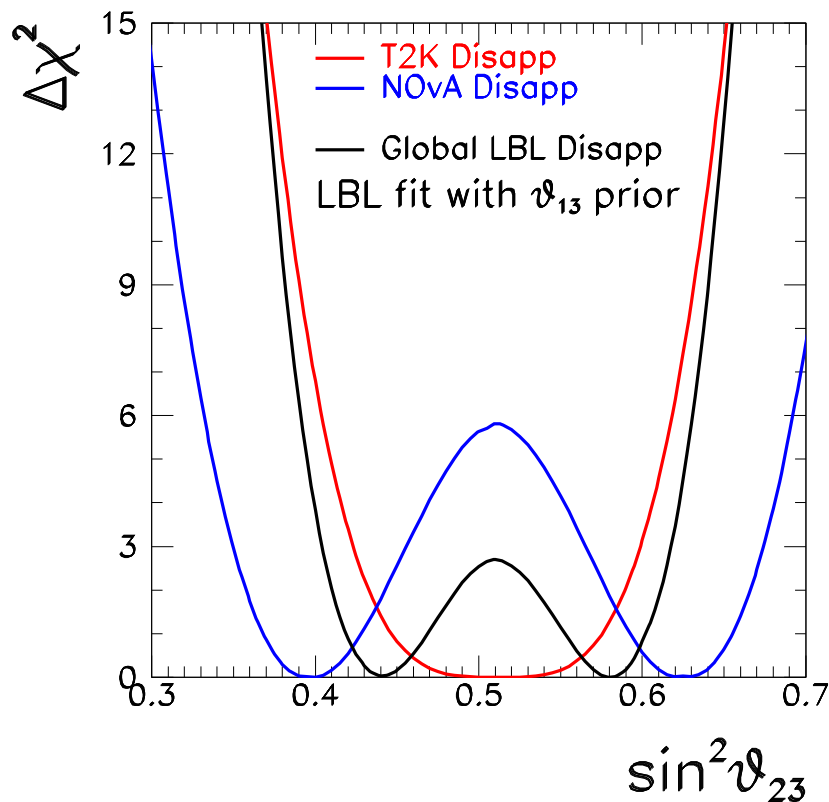
Experiment	Value ( $10^{-3} \text{ eV}^2$ )
Daya Bay	$2.45 \pm 0.08$
T2K	$2.545^{+0.084}_{-0.082}$
MINOS	$2.42 \pm 0.09$
NO $\nu$ A	$2.67 \pm 0.12$
Super-K	$2.50^{+0.13}_{-0.20}$
IceCube	$2.50^{+0.18}_{-0.24}$
RENO	$2.57^{+0.24}_{-0.26}$

$|\Delta m_{32}^2|$  ( $10^{-3} \text{ eV}^2$ )

### 3 $\nu$ Analysis: $\theta_{23}$

- Best determined in  $\nu_\mu$  and  $\bar{\nu}_\mu$  disappearance in LBL

$$P_{\mu\mu} \simeq 1 - (c_{13}^4 \sin^2 2\theta_{23} + s_{23}^2 \sin^2 2\theta_{13}) \sin^2 \left( \frac{\Delta m_{31}^2 L}{4E} \right) + \mathcal{O}(\Delta m_{21}^2)$$



$\Rightarrow$  Impact on CL of non-maximality (also of Ordering and  $\delta_{CP}$ )

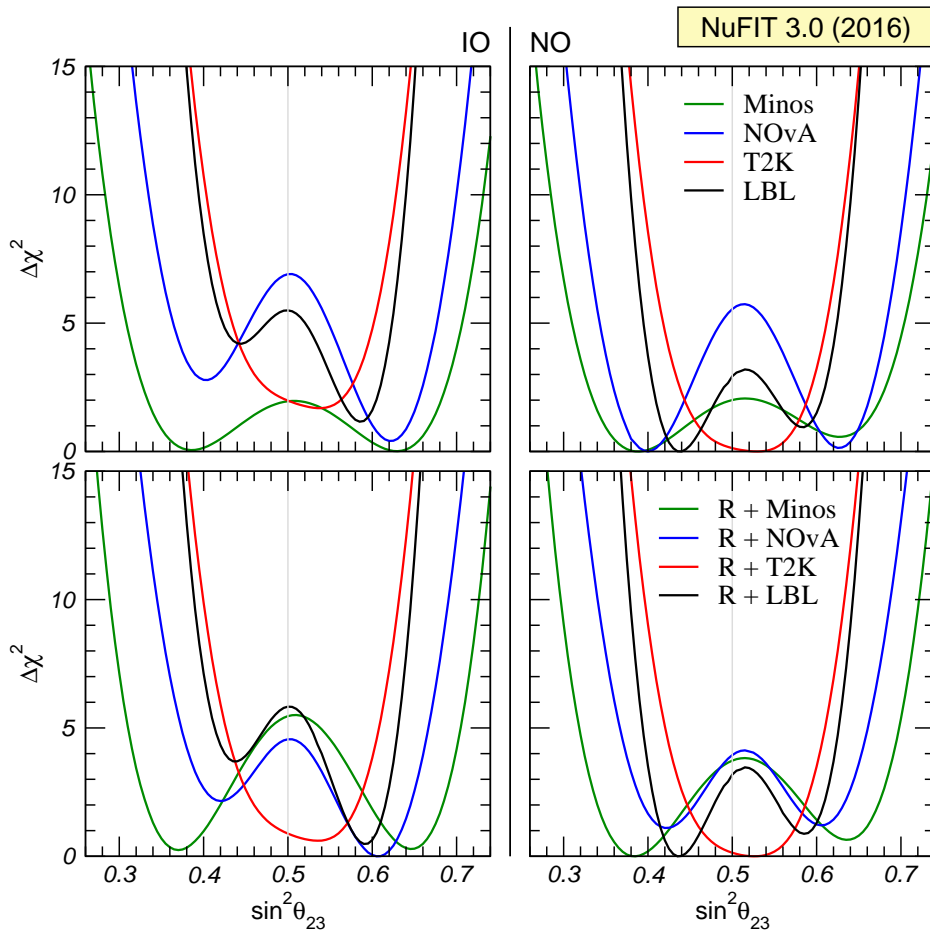
# 3 $\nu$ Analysis: $\theta_{23}$ Octant, Ordering in LBL

z-Garcia

- Dominant information from  $\nu_e$  appearance in LBL

$$P_{\mu e} \simeq s_{23}^2 \sin^2 2\theta_{13} \left( \frac{\Delta_{31}}{B_{\mp}} \right)^2 \sin^2 \left( \frac{B_{\mp} L}{2} \right) + \tilde{J} \frac{\Delta_{21}}{V_E} \frac{\Delta_{31}}{B_{\mp}} \sin \left( \frac{V_E L}{2} \right) \sin \left( \frac{B_{\mp} L}{2} \right) \cos \left( \frac{\Delta_{31} L}{2} \pm \delta_{CP} \right)$$

$$\Delta_{ij} = \frac{\Delta m_{ij}^2}{4E} \quad B_{\pm} = \Delta_{31} \pm V_E \quad \tilde{J} = c_{13} \sin^2 2\theta_{13} \sin^2 2\theta_{23} \sin^2 2\theta_{12}$$



– Upper: LBL+ $\theta_{13}$  prior from reactors

$$\chi_{\text{T2K}+\theta_{13}^{\text{REA}}}^2(\text{IO}) - \chi_{\text{T2K}+\theta_{13}^{\text{REA}}}^2(\text{NO}) \simeq 1.7$$

$$\chi_{\text{NOvA}+\theta_{13}^{\text{REA}}}^2(\text{IO}) - \chi_{\text{NOvA}+\theta_{13}^{\text{REA}}}^2(\text{NO}) \simeq 0.5$$

$$\Delta\chi_{\text{NOvA}+\theta_{13}^{\text{REA}}}^2\left(\frac{\pi}{4}\right) > \Delta\chi_{\text{Minos}+\theta_{13}^{\text{REA}}}^2\left(\frac{\pi}{4}\right)$$

– Lower: LBL+Reactors

$$\chi_{\text{T2K}+\text{REAC}}^2(\text{NO}) - \chi_{\text{T2K}+\text{REAC}}^2(\text{IO}) = 0.6$$

$$\chi_{\text{NOvA}+\text{REAC}}^2(\text{NO}) - \chi_{\text{NOvA}+\text{REAC}}^2(\text{IO}) = -1.1$$

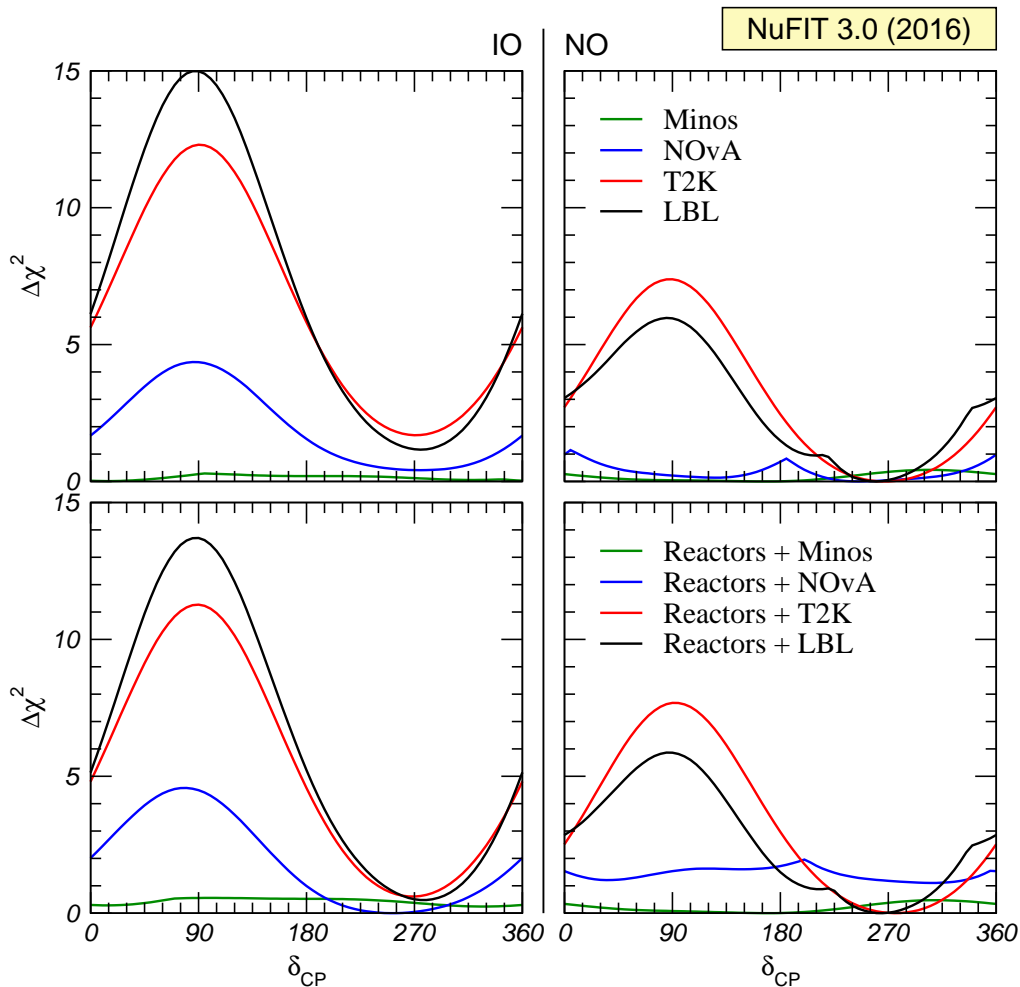
$$\Delta\chi_{\text{NOvA}+\text{REAC}}^2\left(\frac{\pi}{4}\right) < \Delta\chi_{\text{Minos}+\text{REAC}}^2\left(\frac{\pi}{4}\right)$$

# 3 $\nu$ Analysis: $\delta_{CP}$ and Ordering in LBL

- Dominant information from  $\nu_e$  appearance in LBL

$$P_{\mu e} \simeq s_{23}^2 \sin^2 2\theta_{13} \left( \frac{\Delta_{31}}{B_{\mp}} \right)^2 \sin^2 \left( \frac{B_{\mp} L}{2} \right) + \tilde{J} \frac{\Delta_{21}}{V_E} \frac{\Delta_{31}}{B_{\mp}} \sin \left( \frac{V_E L}{2} \right) \sin \left( \frac{B_{\mp} L}{2} \right) \cos \left( \frac{\Delta_{31} L}{2} \pm \delta_{CP} \right)$$

$$\Delta_{ij} = \frac{\Delta m_{ij}^2}{4E} \quad B_{\pm} = \Delta_{31} \pm V_E \quad \tilde{J} = c_{13} \sin^2 2\theta_{13} \sin^2 2\theta_{23} \sin^2 2\theta_{12}$$



In T2K:

	$\delta_{cp} = -\pi/2$ (NH)	$\delta_{cp} = 0$ (NH)	$\delta_{cp} = +\pi/2$ (NH)	$\delta_{cp} = \pi$ (NH)	Observed
$\nu_e$	28.7	24.2	19.6	24.1	32
$\bar{\nu}_e$	6.0	6.9	7.7	6.8	4

More  $\nu_e$  than expected for any  $\delta_{CP}$

Less  $\bar{\nu}_e$  than expected for any  $\delta_{CP}$

$\frac{P_{e\mu}^{\nu}}{P_{e\mu}^{\bar{\nu}}}$  Max for NO &  $\delta_{CP} = \frac{3\pi}{2} (\equiv -\frac{\pi}{2})$

$\Rightarrow$  Significance of  $\delta_{CP} = \frac{3\pi}{2}$  and NO

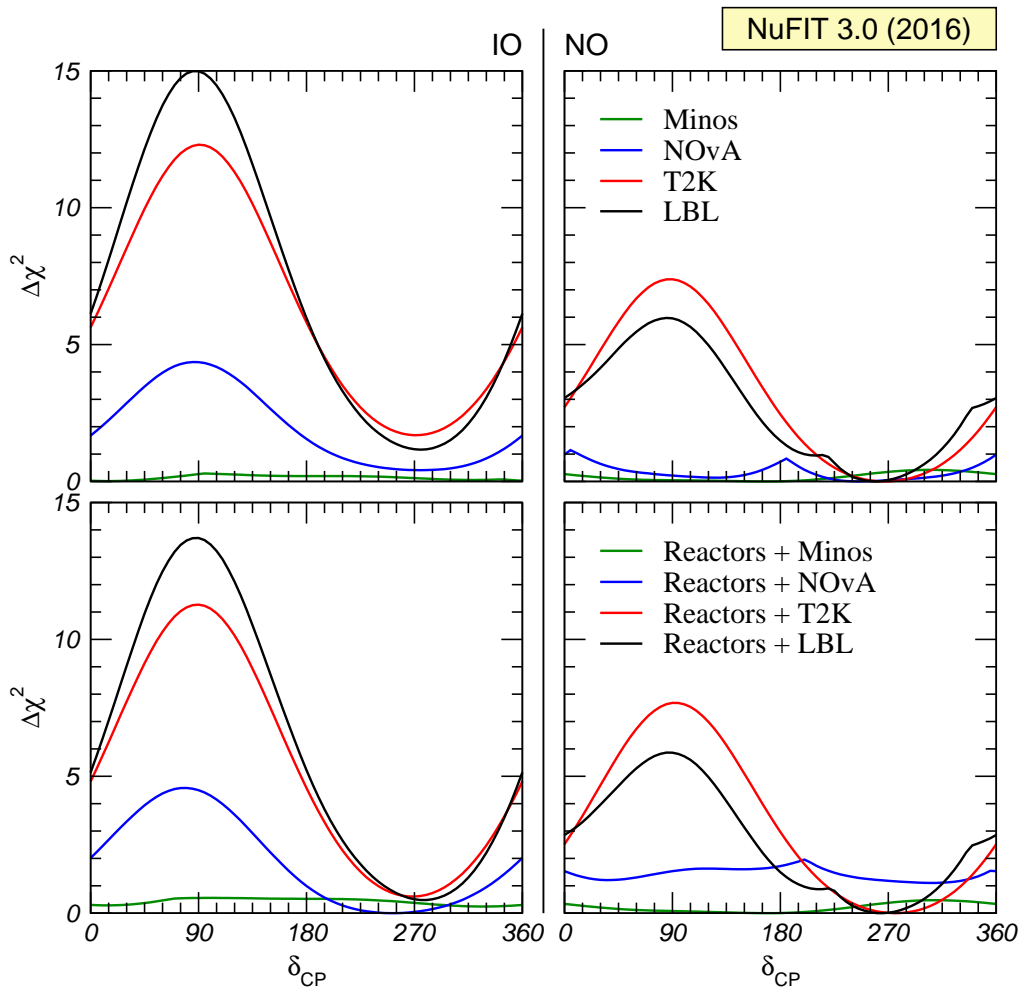
larger than expected

# 3 $\nu$ Analysis: $\delta_{CP}$ and Ordering in LBL

- Dominant information from  $\nu_e$  appearance in LBL

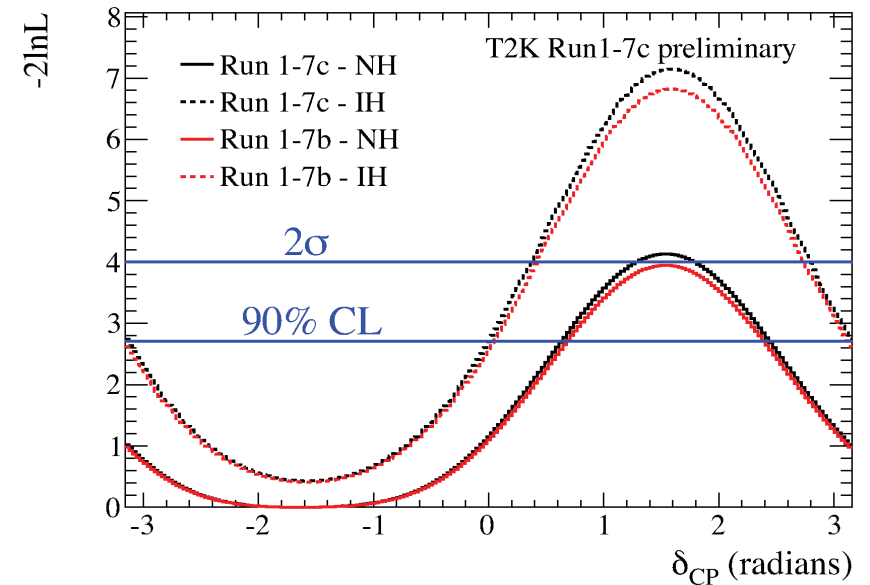
$$P_{\mu e} \simeq s_{23}^2 \sin^2 2\theta_{13} \left( \frac{\Delta_{31}}{B_{\mp}} \right)^2 \sin^2 \left( \frac{B_{\mp} L}{2} \right) + \tilde{J} \frac{\Delta_{21}}{V_E} \frac{\Delta_{31}}{B_{\mp}} \sin \left( \frac{V_E L}{2} \right) \sin \left( \frac{B_{\mp} L}{2} \right) \cos \left( \frac{\Delta_{31} L}{2} \pm \delta_{CP} \right)$$

$$\Delta_{ij} = \frac{\Delta m_{ij}^2}{4E} \quad B_{\pm} = \Delta_{31} \pm V_E \quad \tilde{J} = c_{13} \sin^2 2\theta_{13} \sin^2 2\theta_{23} \sin^2 2\theta_{12}$$



In T2K:

## Sensitivity (Simulation)



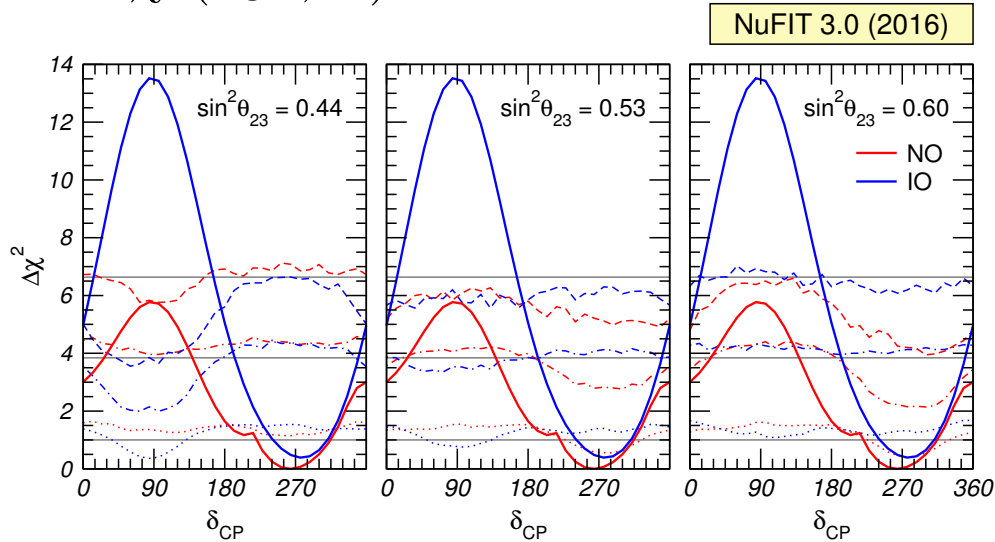
$\Rightarrow$  Significance of  $\delta_{CP} = \frac{3\pi}{2}$  and NO larger than expected

# 3 $\nu$ Analysis: CL of CP, Ordering and Octant hints

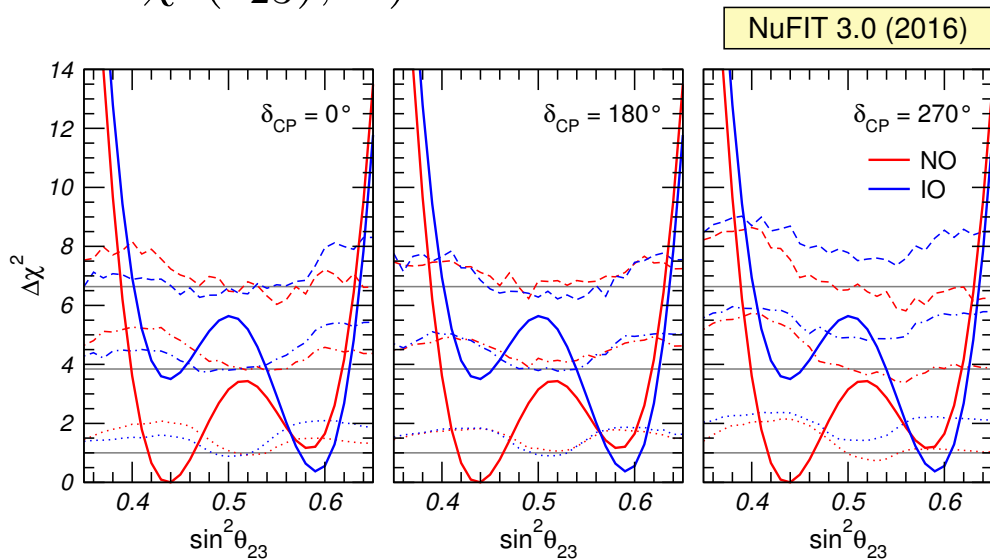
cia

MC generation of Prob Distribution of

•  $\Delta\chi^2(\delta_{CP}, \mathcal{O})$  for LBL+Reactors



•  $\Delta\chi^2(\theta_{23}, \mathcal{O})$  for LBL+Reactors



– Maximal Deviation of Gaussian CL at:

$P_{e\mu}$  max:  $\delta_{CP} = 90, \theta_{23} < \frac{\pi}{4}, \text{IO}$

$P_{e\mu}$  max:  $\delta_{CP} = 270, \theta_{23} > \frac{\pi}{4}, \text{NO}$

– NO/IO favour/reject CL  $< 1\sigma$  (30–40%)

– Reject  $\theta_{23} = \frac{\pi}{4}$  at  $\sim 92\%$  CL in NO

$\theta_{23} > \frac{\pi}{4}$  disfavored with 62–70 % for NO

$\theta_{23} < \frac{\pi}{4}$  disfavored with 83–91 % for IO

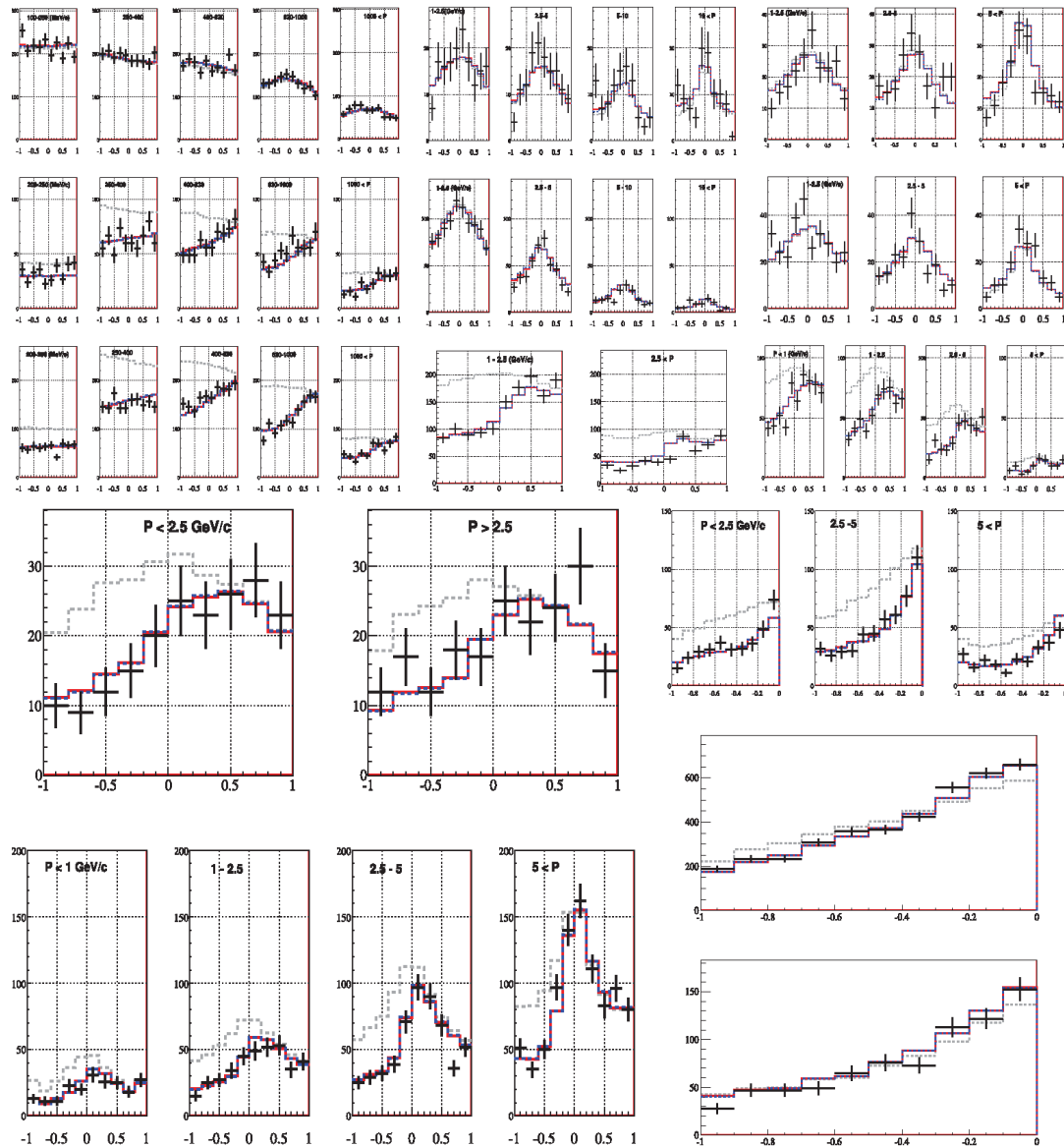
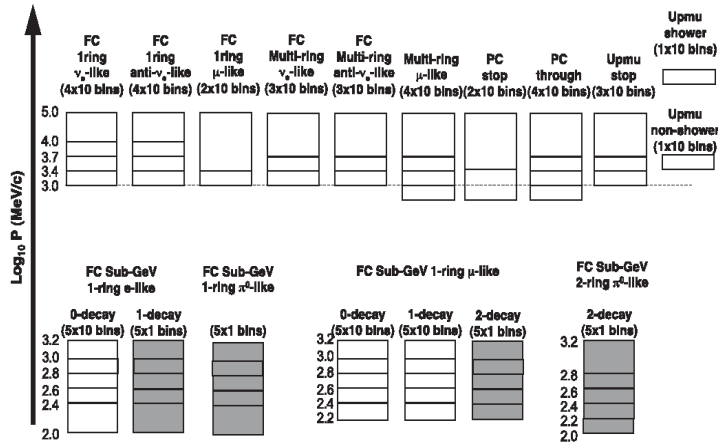
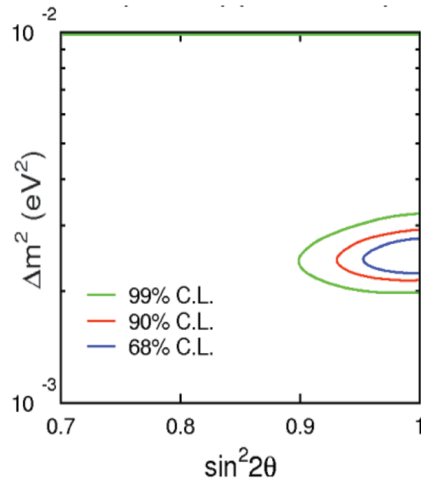
– CP cons disfavored with 70% for NO

$\delta_{CP} = 90$  disfavored with  $\sim 99\%$  CL NO

$\delta_{CP} = 90$  disfavored at  $> 3\sigma$  CL for IO

# Atmospheric neutrinos: getting the most from SK data

- SK(1–4) data: ~~480~~ 580 bins defined by flavor, charge, topology, momentum, ...;
- channel:  $\nu_\mu \rightarrow \nu_\tau$ ;
- perfect fit with just 2 params:  $(\Delta m^2, \theta)$ .

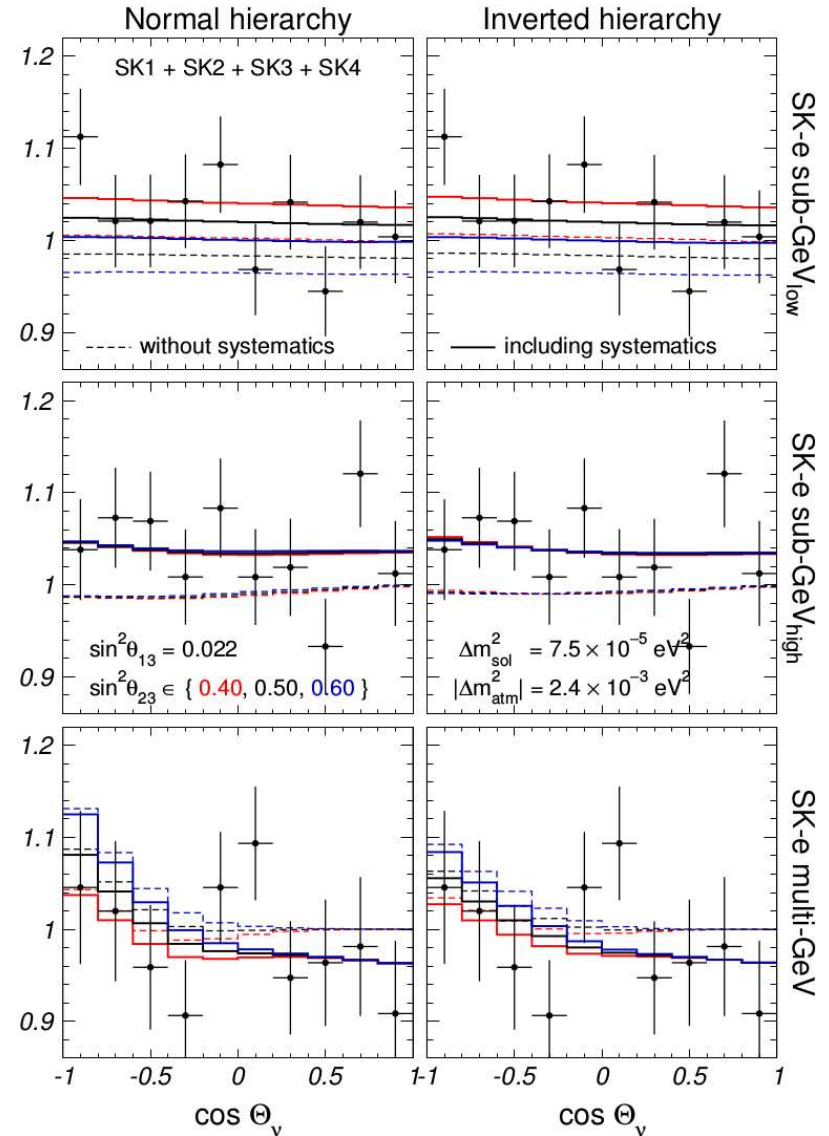


# 3 $\nu$ Analysis: Ordering, $\delta_{CP}$ in ATM

- For  $\theta_{31} \neq 0$  ATM sensitivity to octant  $\theta_{23}$  & ordering &  $\delta_{CP}$

$$\begin{aligned} \frac{N_e}{N_e^0} - 1 &\simeq (\bar{r} c_{23}^2 - 1) P_{2\nu}(\Delta m_{21}^2, \theta_{12}) \quad [\Delta m_{21}^2 \text{ term}] \\ &+ (\bar{r} s_{23}^2 - 1) P_{2\nu}(\Delta m_{31}^2, \theta_{13}) \quad [\theta_{13} \text{ term}] \\ &- 2\bar{r} s_{13} s_{23} c_{23} \text{Re}(A_{ee}^* A_{\mu e}) \quad [\delta_{CP} \text{ term}] \end{aligned}$$

$$\bar{r} \equiv \Phi_\mu^0 / \Phi_e^0 \simeq 2(\text{subG}), 2.6\text{--}4.6(\text{multiG})$$



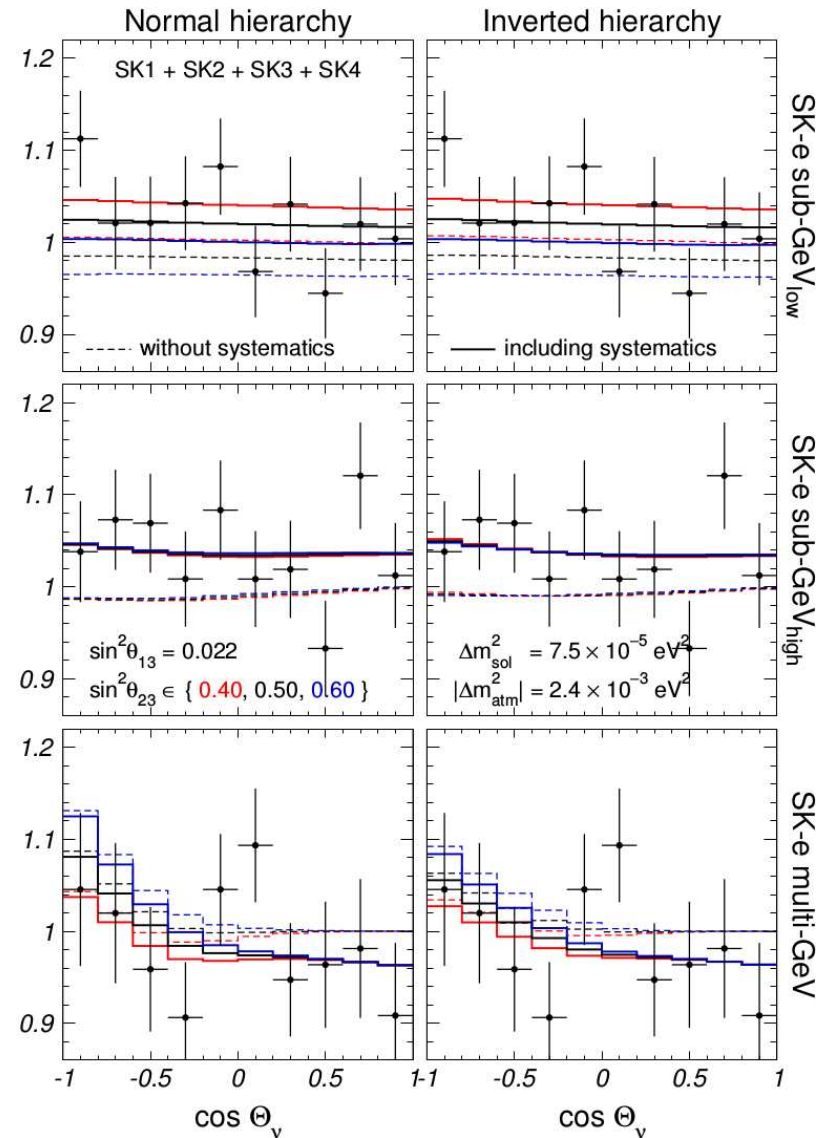
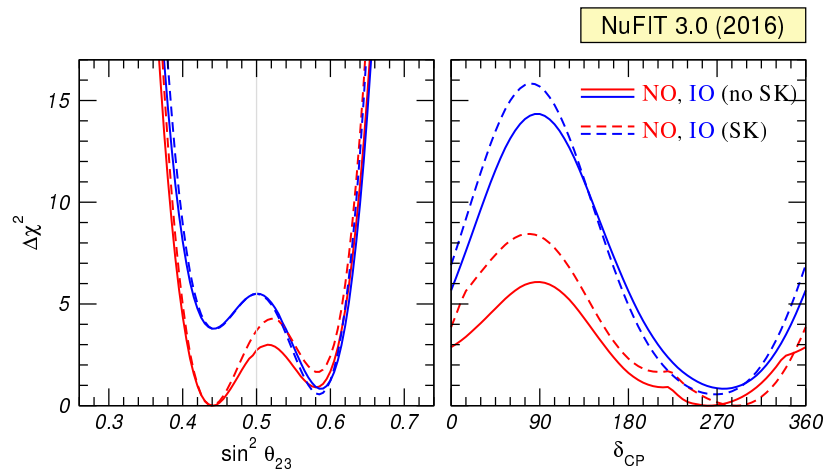


# 3 $\nu$ Analysis: Ordering, $\delta_{CP}$ in ATM

- For  $\theta_{31} \neq 0$  ATM sensitivity to octant  $\theta_{23}$  & ordering &  $\delta_{CP}$

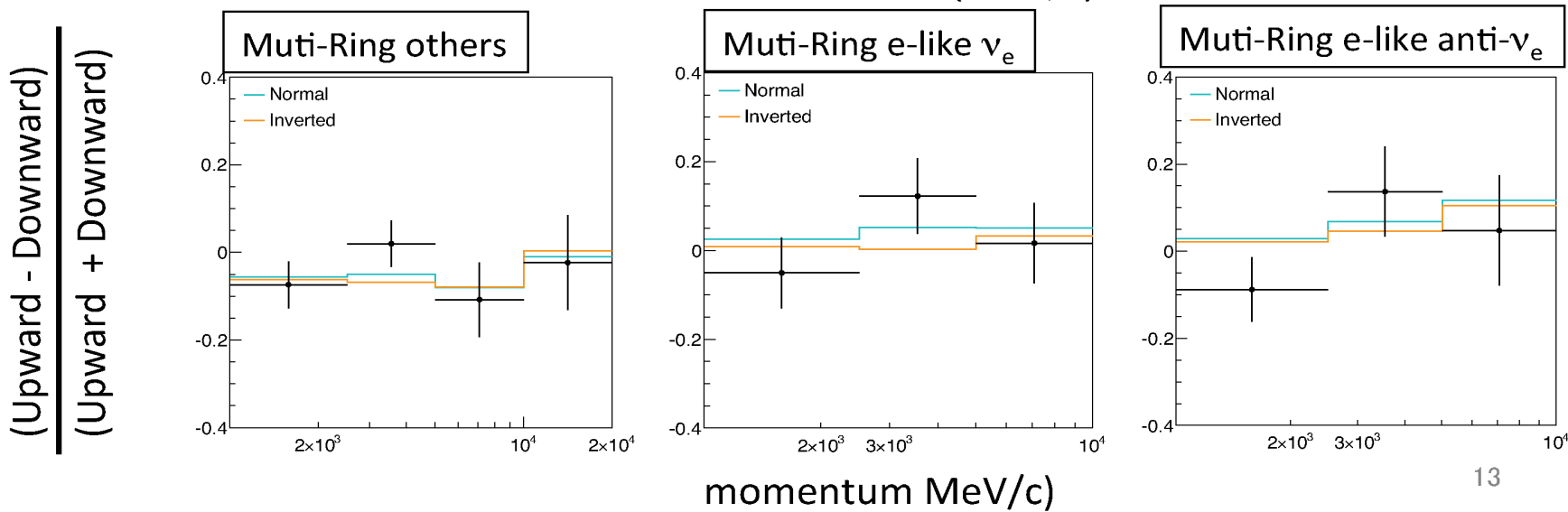
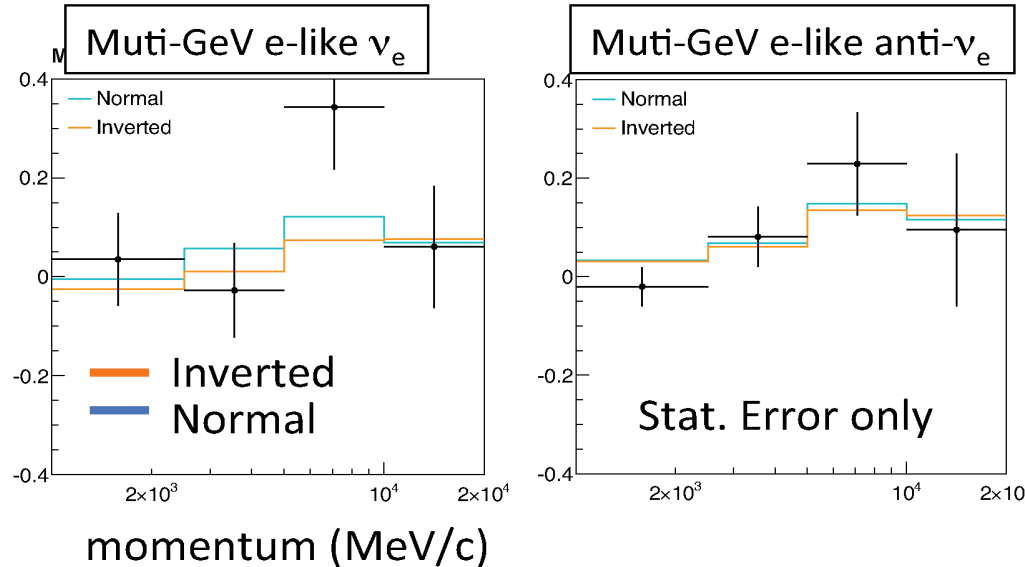
$$\begin{aligned} \frac{N_e}{N_e^0} - 1 &\simeq (\bar{r} c_{23}^2 - 1) P_{2\nu}(\Delta m_{21}^2, \theta_{12}) \quad [\Delta m_{21}^2 \text{ term}] \\ &+ (\bar{r} s_{23}^2 - 1) P_{2\nu}(\Delta m_{31}^2, \theta_{13}) \quad [\theta_{13} \text{ term}] \\ &- 2\bar{r} s_{13} s_{23} c_{23} \text{Re}(A_{ee}^* A_{\mu e}) \quad [\delta_{CP} \text{ term}] \end{aligned}$$

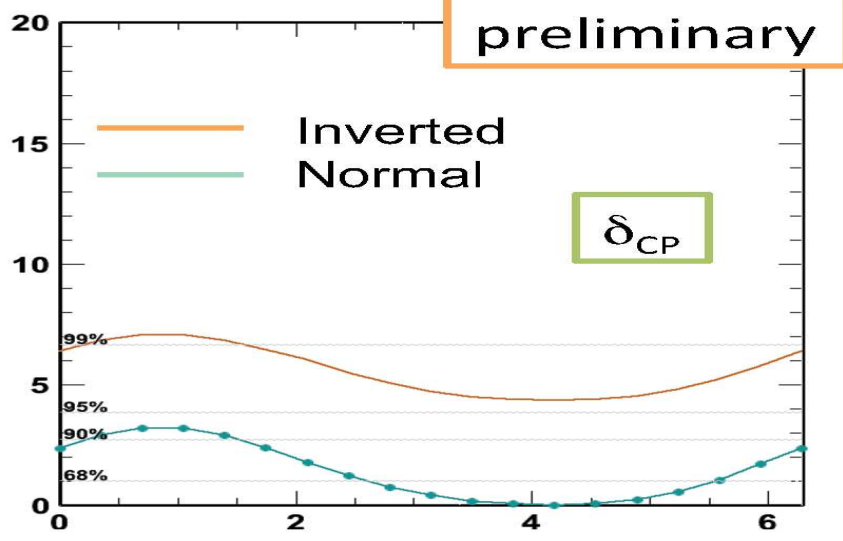
$$\bar{r} \equiv \Phi_\mu^0 / \Phi_e^0 \simeq 2(\text{subG}), 2.6\text{--}4.6(\text{multiG})$$



- **Normal** hierarchy favored at:
  - $\chi^2_{NH} - \chi^2_{IH} = -4.3$   
**(-3.1 expected)**
- Driven by excess of **upward-going e-like events**:
  - Primarily in SK-IV data
  - consistent with the effects of  $\theta_{13}$  driven  $\nu$  oscillation.

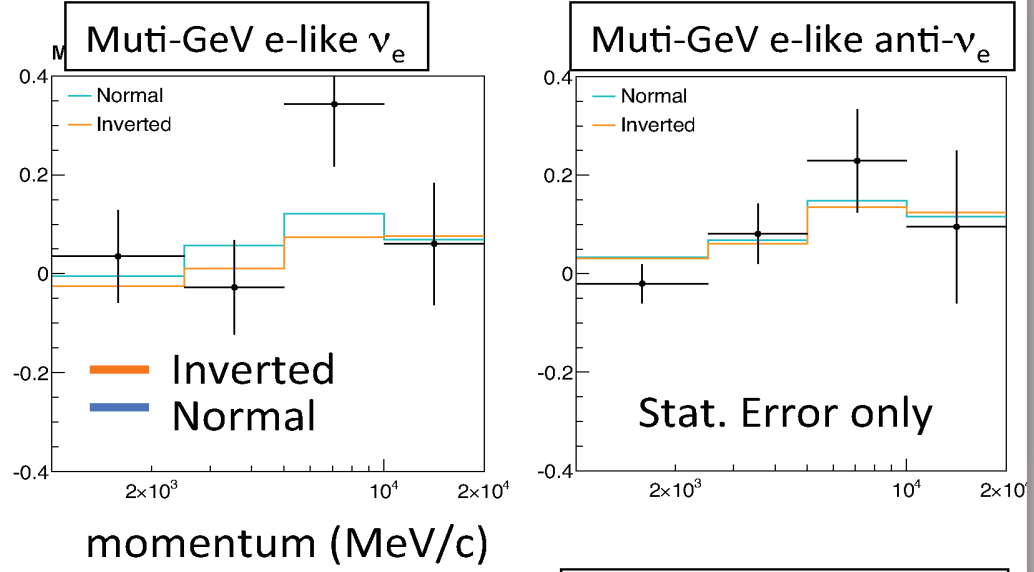
Upward/Downward asymmetry in energetic electron samples ( $\nu_e$ /anti- $\nu_e$  enriched)



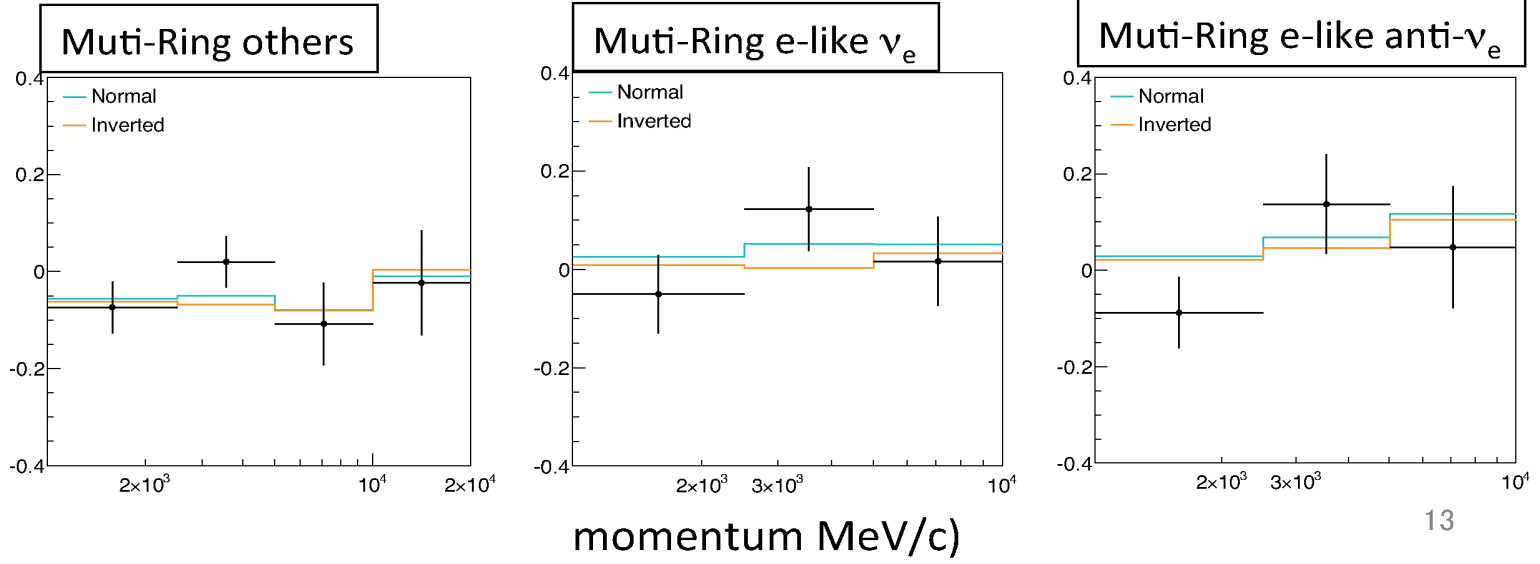


- consistent with the effects of  $\theta_{13}$  driven  $\nu$  oscillation.

Upward/Downward asymmetry in energetic electron samples ( $\nu_e$ /anti- $\nu_e$  enriched)



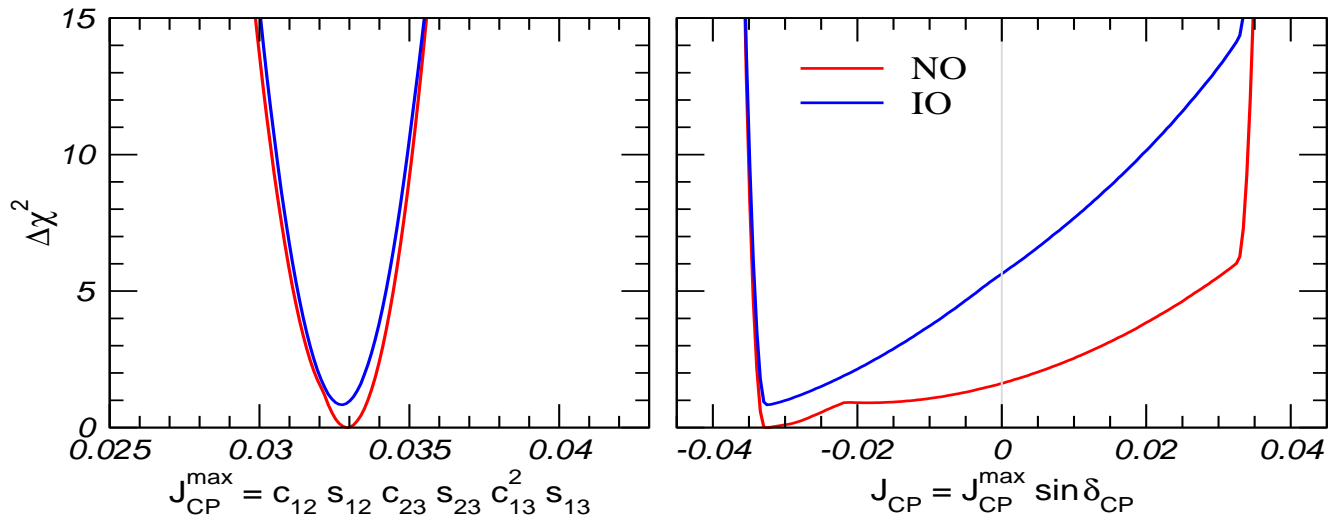
(Upward - Downward)  
 (Upward + Downward)



# 3 $\nu$ Analysis: CP violation present status

Antez-Garcia

NuFIT 3.0 (2016)

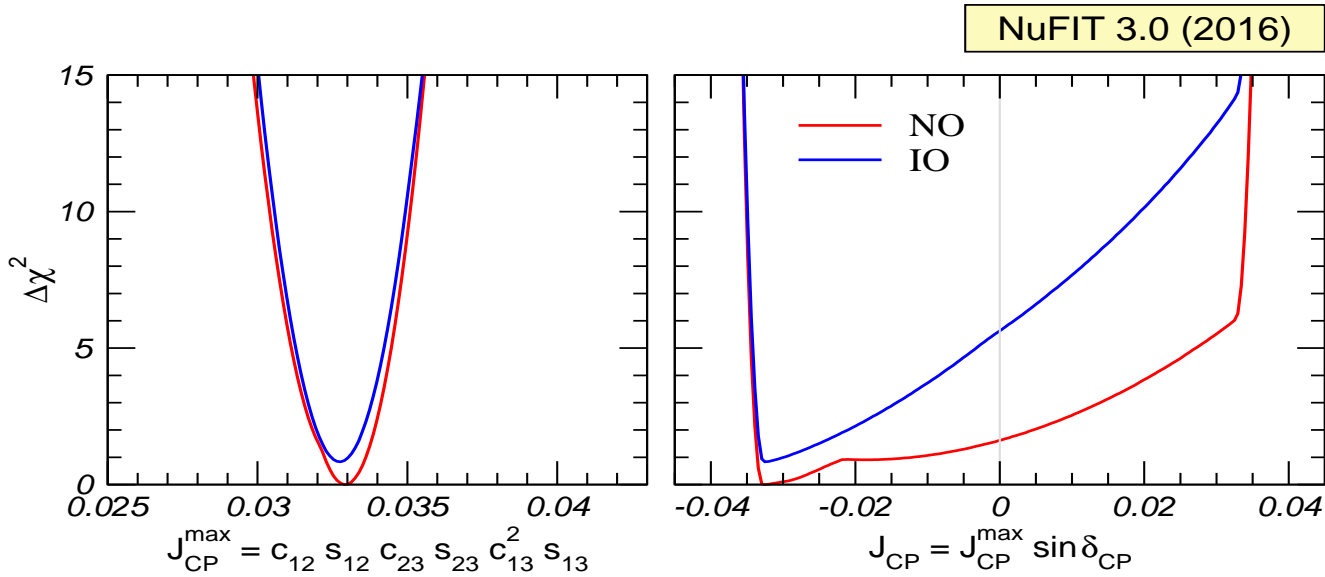


$$J_{\text{LEP,CP}}^{\max} = (3.29 \pm 0.07) \times 10^{-2}$$

to compare with

$$J_{\text{CKM,CP}} = (3.04 \pm 0.21) \times 10^{-5}$$

# 3 $\nu$ Analysis: CP violation present status



$$J_{LEP,CP}^{\max} = (3.29 \pm 0.07) \times 10^{-2}$$

to compare with

$$J_{CKM,CP} = (3.04 \pm 0.21) \times 10^{-5}$$

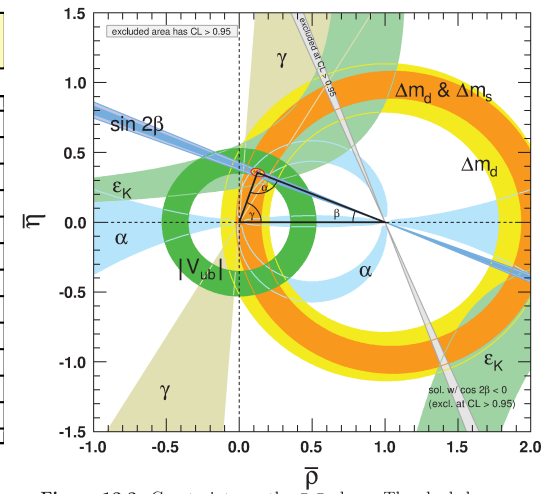
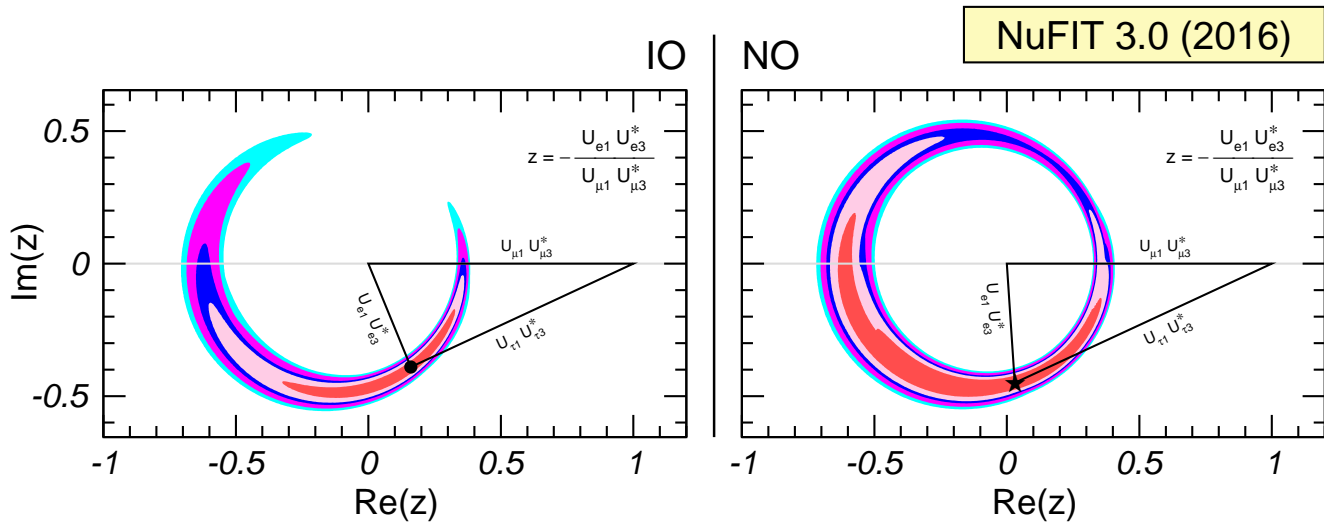
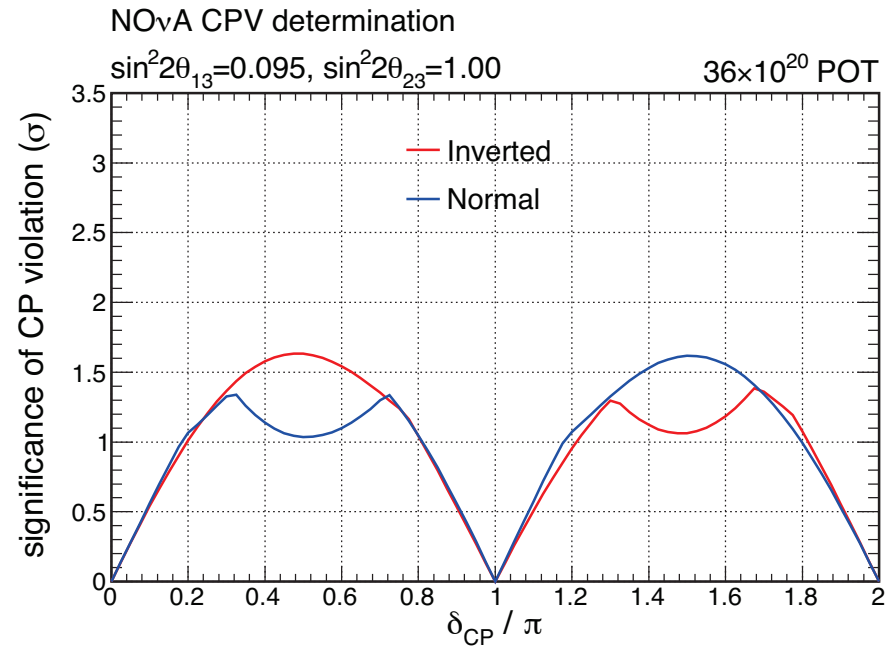
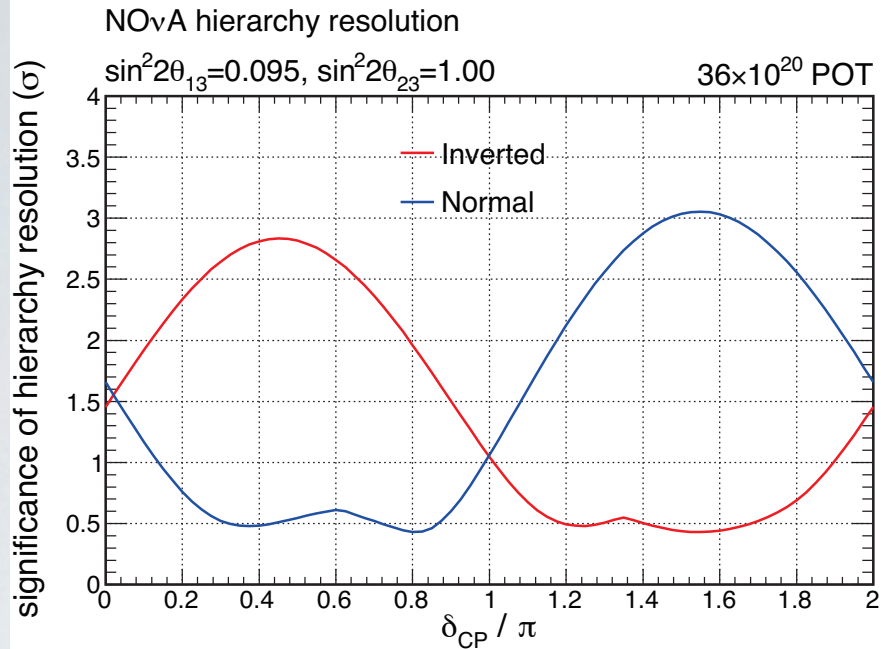


Figure 18.9. CP violation in the Standard Model.

# MASS HIERARCHY AND CP-VIOLATION



3+3 years ( $\nu_\mu + \text{anti-}\nu_\mu$ ): 2 sigma in  
 about 30% of the  $\delta_{CP}$  range

Just 1.5 sigma in 10% of the range

# A Detour in the Sun

- Sun=Main sequence star
- Solar Models describes the Sun based on:

Mass:  $M_{\odot} = 2 \times 10^{33}$  gr

Radius:  $R_{\odot} = 7 \times 10^5$  km

Surf Lum:  $L_{\odot} = 3.842 \times 10^{33} (1 \pm 0.004)$  erg/sec

Age:  $\tau_{\odot} = 4.57 \times 10^9 (1 \pm 0.0044)$  yr

- Basic assumptions:

- The Sun is spherically symmetric
- Some Equation of State

- Incorporate:

- Transport of Energy: Radiative and Convective
  - ⇒ Model of opacities
- Chemical Evolution by Nuclear Reactions
  - ⇒ pp-chain and CNO cycles
- Microscopic Diffusion

- Using inputs from:

- Lab Measurements of Nuclear Rates
- Element Abundance Determination By
  - ⇒ Spectroscopy of Photosphere: C, N, O
  - ⇒ Meteorites: Mg,Si,S,Fe
  - ⇒ Other methods: Ne, Ar

- They Predict Observables:

- Neutrino Flux Spectrum
- Relevant to Helioseismology :
  - ⇒ Surface He Abundance
  - ⇒ Inner Radius of Convective Zone
  - ⇒ Sound Speed Profile

# The Solar Composition Problem

– Newer determination of abundances in solar surface give lower values

$$\log \epsilon_i \equiv \log N_i / N_H + 12$$

Element	GS98	AGSS09met
C	$8.52 \pm 0.06$	$8.43 \pm 0.05$
N	$7.92 \pm 0.06$	$7.83 \pm 0.05$
O	$8.83 \pm 0.06$	$8.69 \pm 0.05$
Mg	$7.58 \pm 0.01$	$7.53 \pm 0.01$
Si	$7.56 \pm 0.01$	$7.51 \pm 0.01$
S	$7.20 \pm 0.06$	$7.15 \pm 0.02$
Fe	$7.50 \pm 0.01$	$7.45 \pm 0.01$
Ar	$6.40 \pm 0.06$	$6.40 \pm 0.13$
Ne	$8.08 \pm 0.06$	$7.93 \pm 0.10$

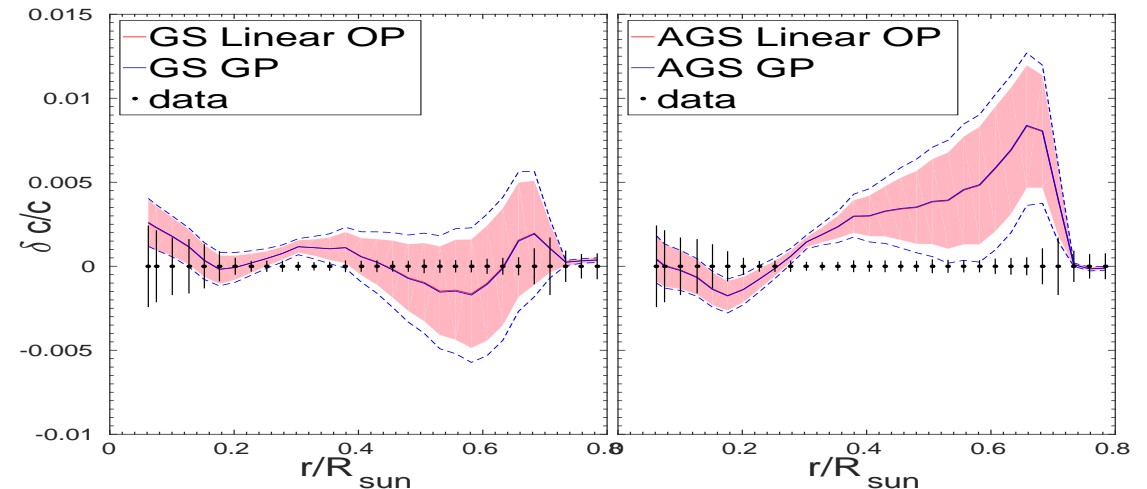
⇒ Two sets of SSM:

Starting from Bahcall *etal* 05, Serenelli *etal* 2016

**B16-GS98** with old abund

**B16-AGSS09met** with new abund

– Solar Models with lower metallicities fail in reproducing helioseismology data

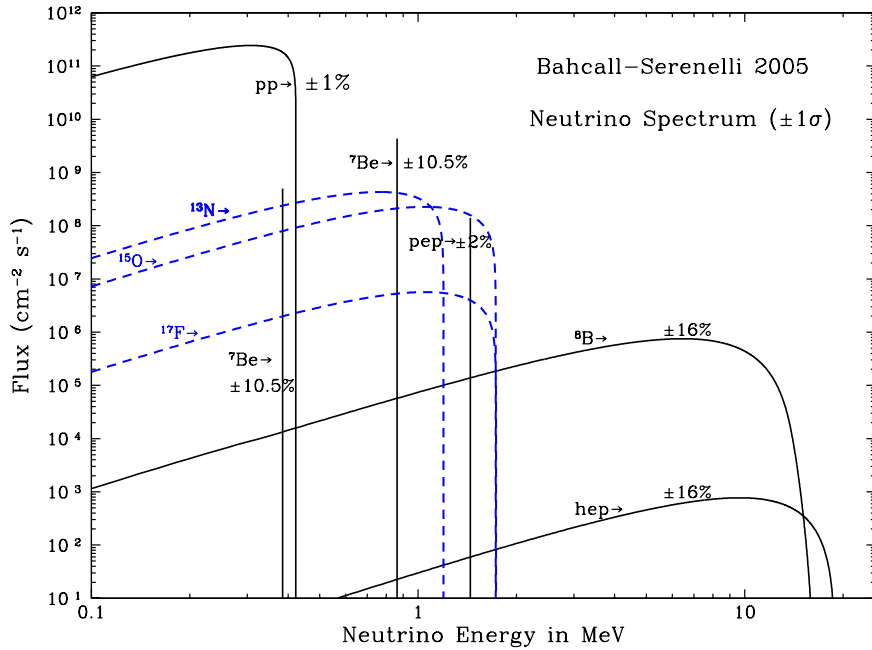


Predictions very strongly correlated

- B16-GS98 (dis)agreement at  $2.5 \sigma$
- B16-AGSS09 disagreement  $4.7 \sigma$
- Bayes factor B16-AGSS09/B16-GS98  $< -13$   
(very strong disfavouring)



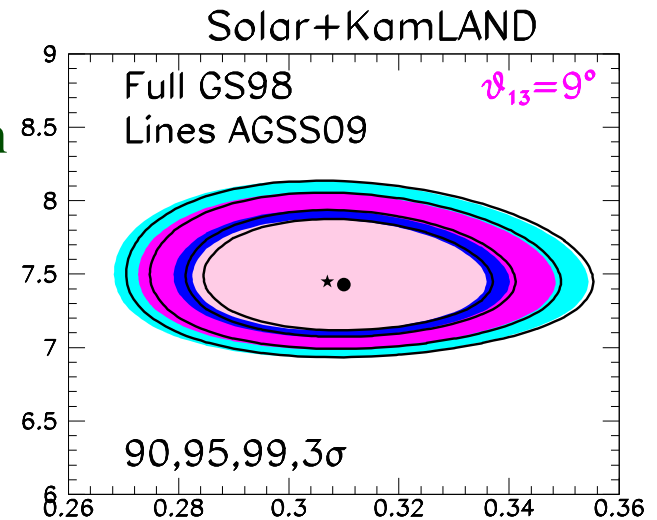
# The Neutrino Fluxes



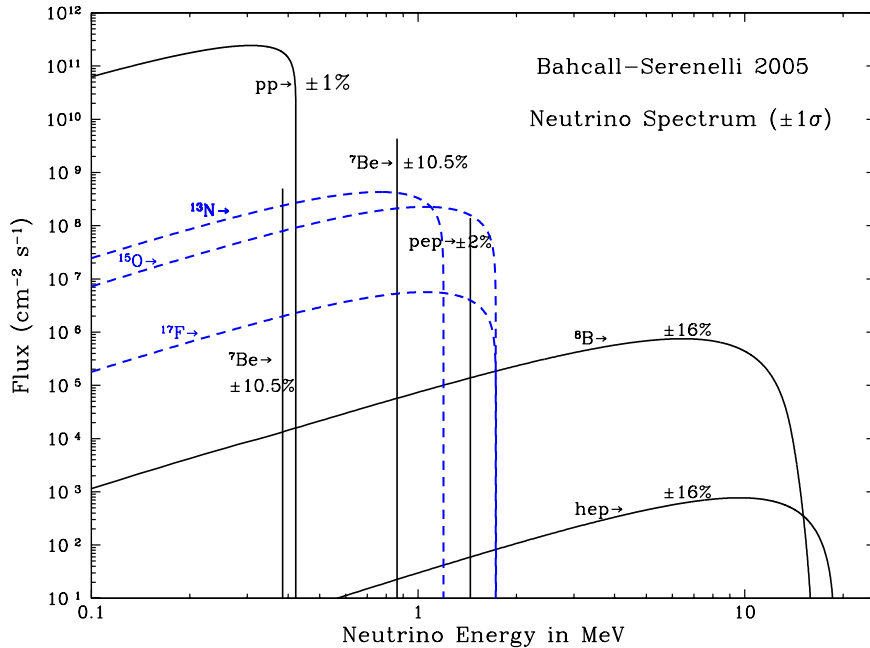
Flux $\text{cm}^{-2} \text{s}^{-1}$	B16GS98	B16-AGSS09met	Diff (%)
$pp/10^{10}$	5.98	6.03 ( $1 \pm 0.005$ )	0.8
$pep/10^8$	1.44	1.46 ( $1 \pm 0.01$ )	2.1
$hep/10^3$	7.98	8.25 ( $1 \pm 0.30$ )	3.4
${}^7\text{Be}/10^9$	4.93	4.40 ( $1 \pm 0.06$ )	8.8
${}^8\text{B}/10^6$	5.46	4.50 ( $1 \pm 0.12$ )	17.7
${}^{13}\text{N}/10^8$	2.78	2.04 ( $1 \pm 0.14$ )	26.7
${}^{15}\text{O}/10^8$	2.05	1.44 ( $1 \pm 0.16$ )	30.0
${}^{17}\text{F}/10^{16}$	5.29	3.26 ( $1 \pm 0.18$ )	38.4

Most difference in CNO fluxes

– Negleageable Impact in Osc Parameter Determination



# The Neutrino Fluxes

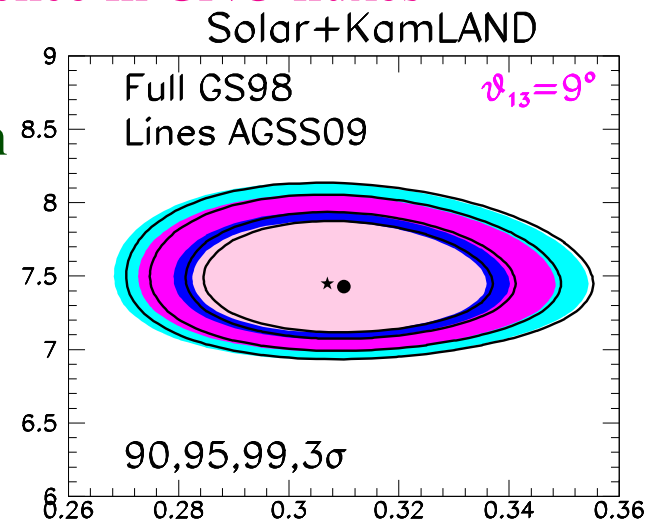


Flux $\text{cm}^{-2} \text{s}^{-1}$	B16GS98	B16-AGSS09met	Diff (%)
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${}^{17}\text{F}/10^{16}$	5.29	3.26 ( $1 \pm 0.18$ )	38.4

Most difference in CNO fluxes

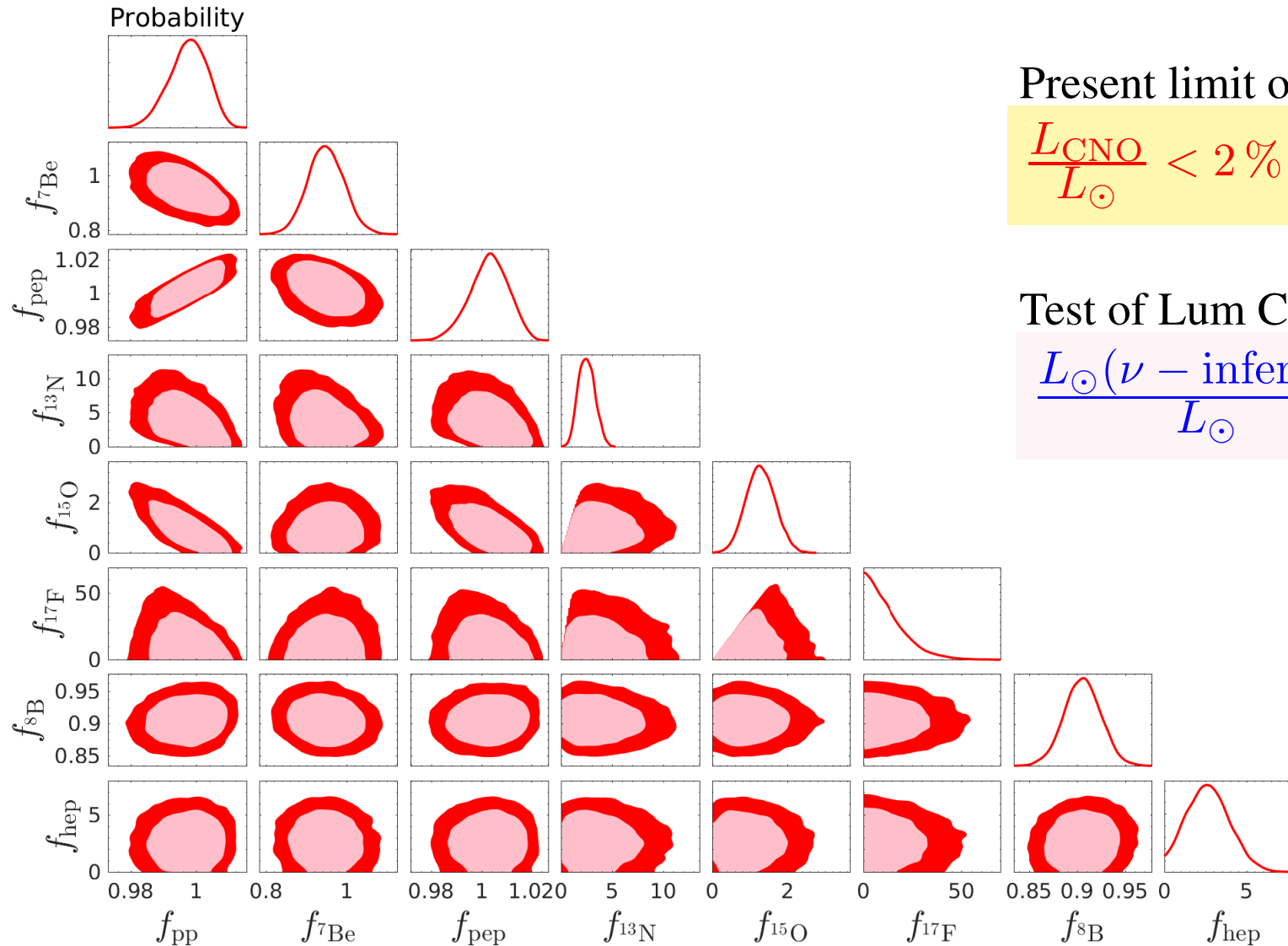
– Negleageable Impact in Osc Parameter Determination

⇒ Possible to extract fluxes for data



# Testing How the Sun Shines with $\nu$ 's

Results of Oscillation analysis with solar flux normalizations free:  $f_i = \frac{\Phi_i}{\Phi_{GS98}}$



Present limit on CNO:

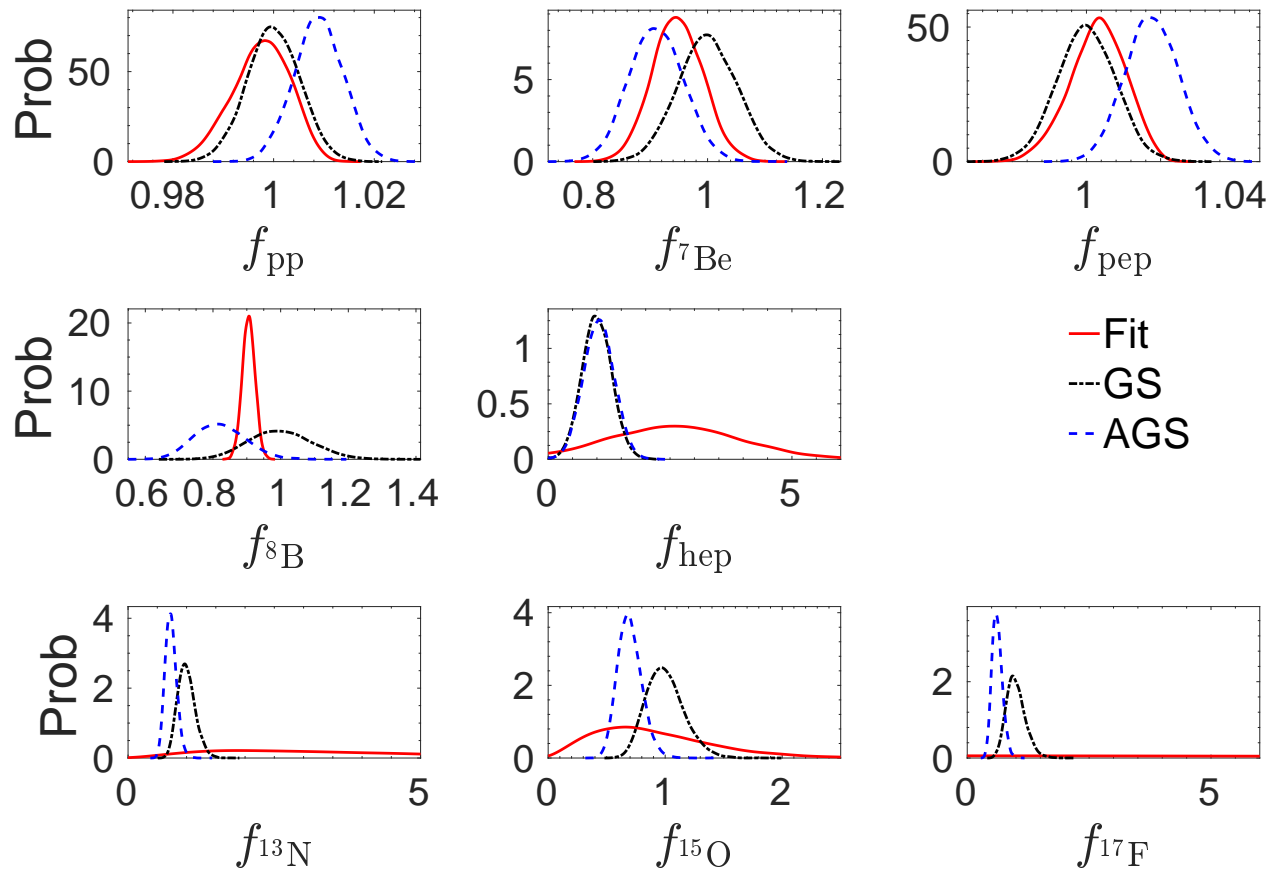
$$\frac{L_{\text{CNO}}}{L_{\odot}} < 2\% (3\sigma)$$

Test of Lum Constraint:

$$\frac{L_{\odot}(\nu - \text{inferred})}{L_{\odot}} = 1.04 \pm 0.07$$

# Fitted Fluxes vs Composition Models

Comparing the empirically determined fluxes with B16-GS98 and B16-AGSS09



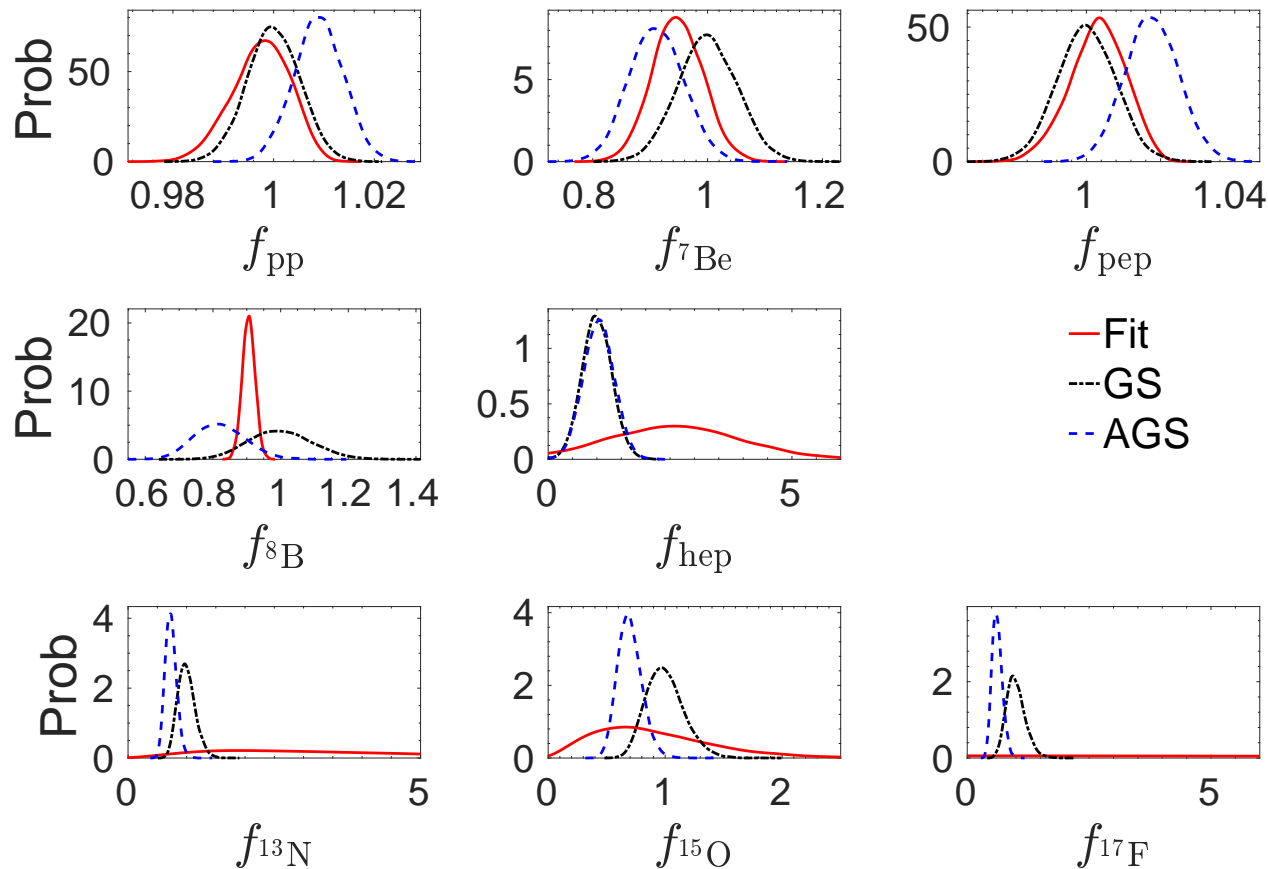
Comparing models and fit  $\nu$  fluxes:

$$\chi_{\nu \text{ flux}}^2(\text{B16} - \text{AGSS09met}) - \chi_{\nu \text{ flux}}^2(\text{B16} - \text{GS98}) = 1.2$$

(their Bayes factor  $|\ln B| < 0.5$ )

# Fitted Fluxes vs Composition Models

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New experiments needed  
sensitive to CNO fluxes

Using Helioseismic  
and  $\nu$ -flux data  
in Solar Modeling?

MCG-G, Maltoni, Peña-Garay, Serenelli  
Song, Vinyoles, Villante in progress

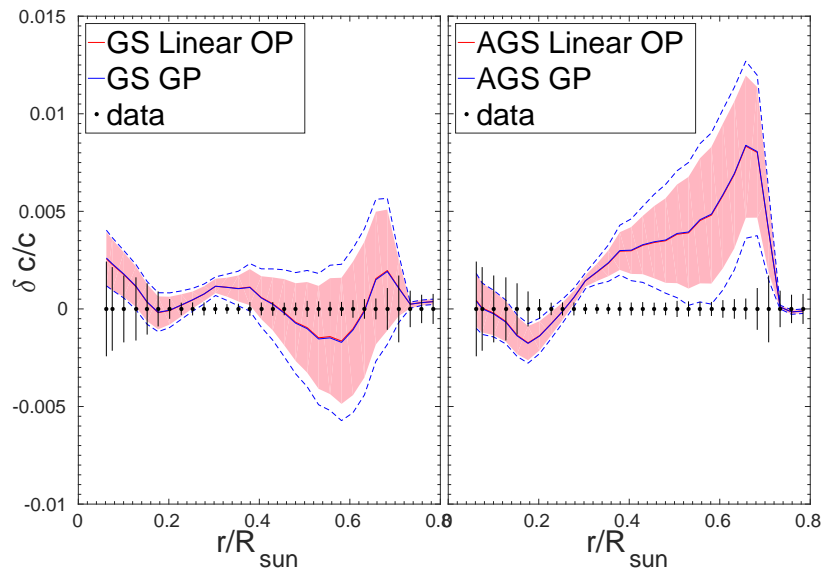
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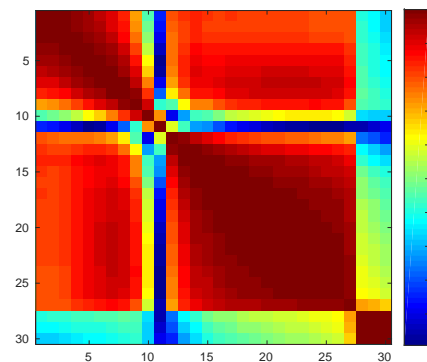
# Modeling the uncertainty in the opacity profile

- Opacity is a function  $\kappa(T, \rho, X_i = N_i/N_H)$ . How to parametrize its uncertainty?
- Generically  $(1 + \delta\kappa(T))\langle\kappa(T, \rho, X_i)\rangle$ 
  - $\Rightarrow$  Most studies  $\delta\kappa(T) = C$  or  $\delta\kappa(T) = a + b \log T$  with prior for  $\sigma_C$  (or  $\sigma_a, \sigma_b$ )
  - $\Rightarrow$  only very rigid variations allowed
- Alternative: **Gaussian Process** ansatz with same  $\sigma(T)$  but correlation length  $L < 1$

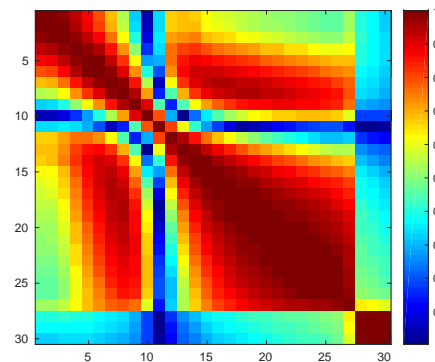


Correlations in predictions (blue=0 to red=1)

Linear  $\delta\kappa$



Gaussian Process  $\delta\kappa$

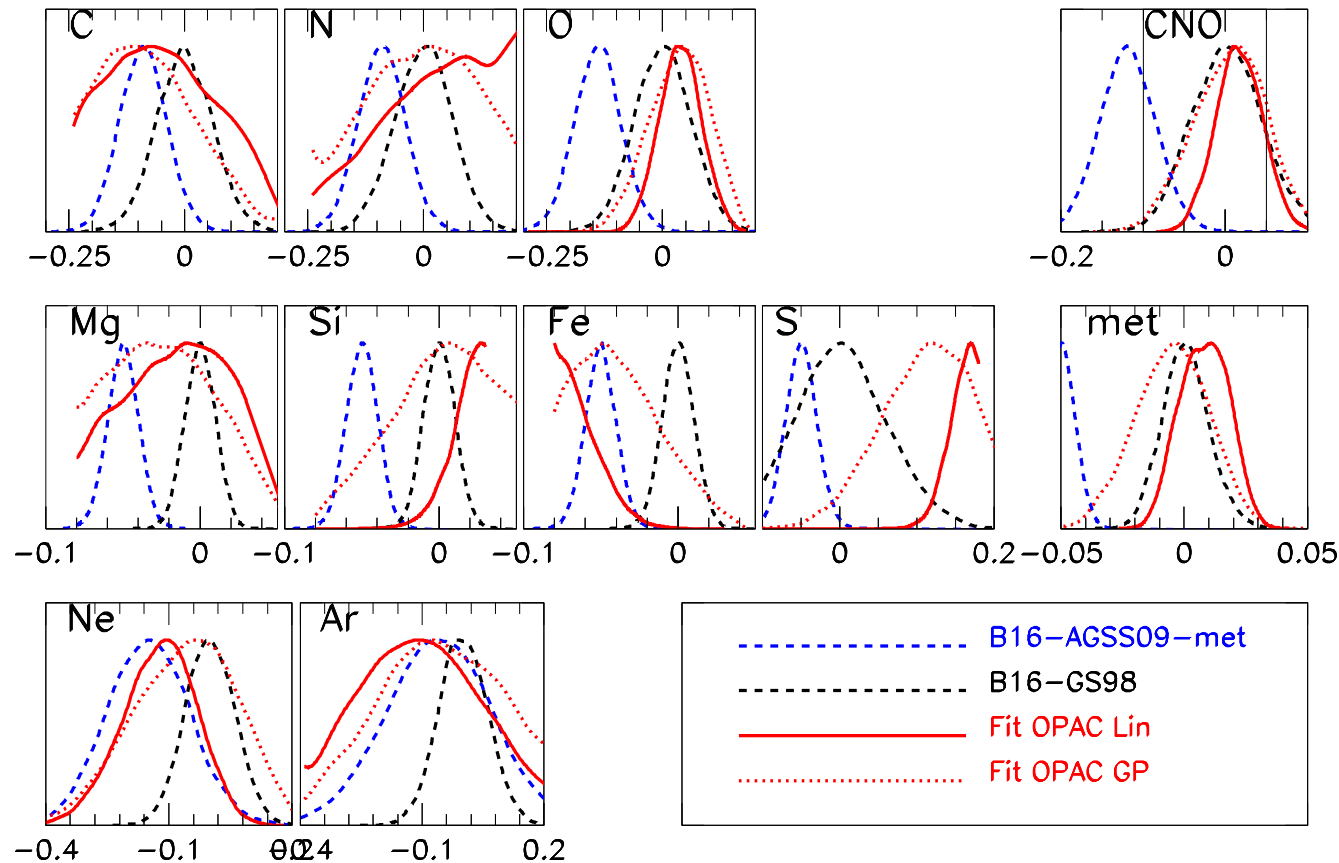


Still, even with GP opacity uncertainty Bayes factor B16-AGSS09/B16-GS98=-4.1  
(Moderate to strong disfavour)

# Using $\nu$ and Helioseismic Data in Sun Modeling

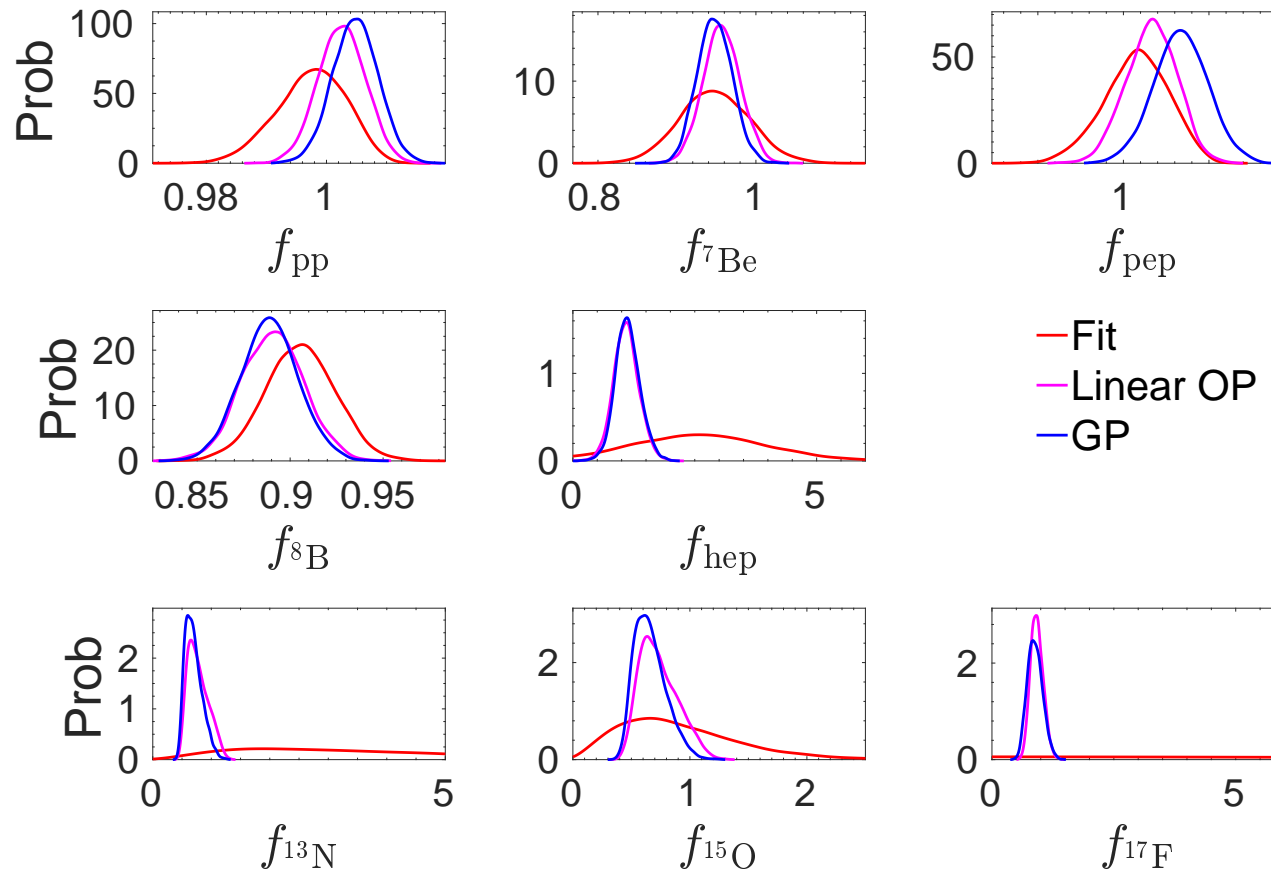
- Proposal: Invert approach and use the  $\nu$  and helioseismic data in construction of SSM
- Method: Bayesian Inference of Abundance Posterior Distrib (from Uniform Priors)
- Test effects of effects of other modeling aspects (f.e. opacity uncertainty profiles)

$$x = \ln \frac{N_i}{N_H} - \left\langle \ln \frac{N_i}{N_H} \right\rangle_{GS98}$$



# Fitted Fluxes and $\nu$ +helioseis data Posteriors

Comparing the empirically determined fluxes with global posteriors





## ***Confirmed Low Energy Picture and MY List of Q&A***

- At least **two** neutrinos **are massive**  $\Rightarrow$  **There is NP**
- **Three mixing angles** are non-zero (and relatively **large**)  $\Rightarrow$  very **different from CKM**
- **Oscillations DO NOT** determine the **lightest mass**
- **Oscillations DO NOT** distinguish **Dirac/Majorana**

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  - \* **Why are neutrinos so light?**  $\Rightarrow$  **The Origin of Neutrino Mass**
  - \* **Why are lepton mixing so different from quark's?**  $\Rightarrow$  **The Flavour Puzzle**

# Bottom-up: Light $\nu$ from *Generic New Physics*

If SM is an effective low energy theory, for  $E \ll \Lambda_{\text{NP}}$

- The same particle content as the SM and same pattern of symmetry breaking
- But there can be **non-renormalizable** (dim > 4) operators

$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \sum_n \frac{1}{\Lambda_{\text{NP}}^{n-4}} \mathcal{O}_n$$

First NP effect  $\Rightarrow$  dim=5 operator

There is only one!

$$\mathcal{L}_5 = \frac{Z_{ij}^\nu}{\Lambda_{\text{NP}}} \left( \overline{L_{L,i} \tilde{\phi}} \right) \left( \tilde{\phi}^T L_{L,j}^C \right)$$

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which after symmetry breaking

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$$(M_\nu)_{ij} = Z_{ij}^\nu \frac{v^2}{\Lambda_{\text{NP}}}$$

Implications:

- It is natural that  $\nu$  mass is the first evidence of NP
- Naturally  $m_\nu \ll$  other fermions masses  $\sim \lambda^f v$  if  $\Lambda_{\text{NP}} \gg v$

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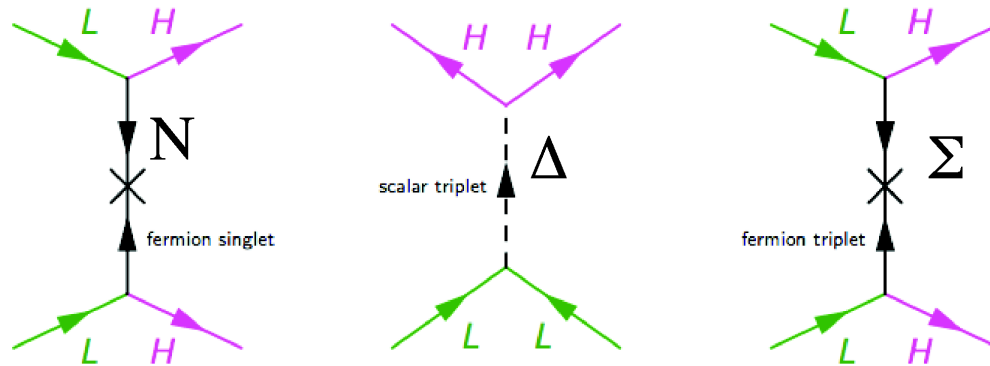
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- $m_\nu > \sqrt{\Delta m_{\text{atm}}^2} \sim 0.05 \text{ eV}$  for  $Z^\nu \sim 1 \Rightarrow \Lambda_{\text{NP}} \sim 10^{15} \text{ GeV} \Rightarrow \Lambda_{\text{NP}} \sim \text{GUT scale}$   
 $\Rightarrow$  Leptogenesis possible

[ But if  $Z^\nu \sim (Y_e)^2$  (or more complex NP sector)  $\Rightarrow \Lambda_{\text{NP}} \sim \text{TeV scale}$  ]

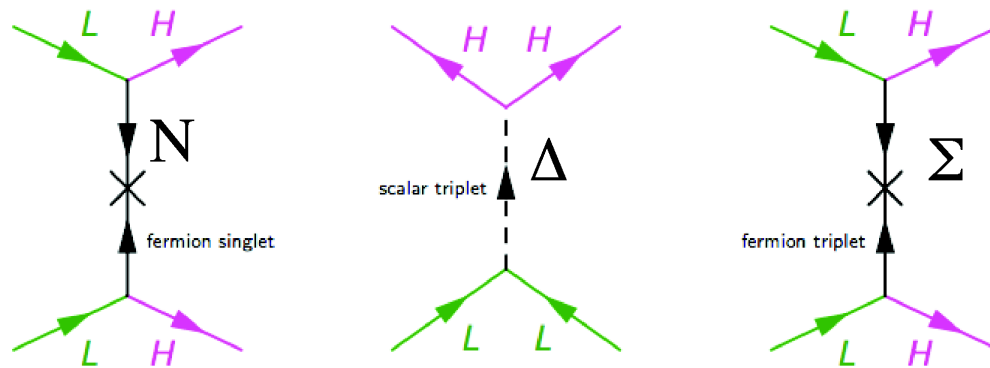
# Model Degeneracy at Low Energy

$\mathcal{O}_5$  is generated for example by tree-level exchange of singlet ( $N_i \equiv (1, 1)_0$ ) (Type-I) or triplet fermions ( $N_i \equiv \Sigma_i \equiv (1, 3)_0$ ) (Type-III) or a scalar triplet  $\Delta \equiv (1, 3)_1$  (Type-II)



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- For fermionic see-saw  $-\mathcal{L}_{\text{NP}} = -i\bar{N}_i \not{D} N_i + \frac{1}{2} M_{Nij} \bar{N}_i^c N_j + \lambda_{\alpha j}^\nu \bar{L}_\alpha \tilde{\phi} N_j [.\tau]$

$$\Rightarrow \mathcal{O}_5 = \frac{(\lambda^{\nu T} \lambda^\nu)_{\alpha\beta}}{\Lambda_{\text{NP}}} \left( \bar{L}_\alpha \tilde{\phi} \right) \left( \tilde{\phi}^T L_\beta^C \right) \quad \text{with } \Lambda_{\text{NP}} = M_N$$

- For scalar see-saw  $-\mathcal{L}_{\text{NP}} = f_{\Delta\alpha\beta} \bar{L}_\alpha \Delta L_\beta^C + M_\Delta^2 |\Delta|^2 + \kappa \phi^T \Delta^\dagger \phi \dots$

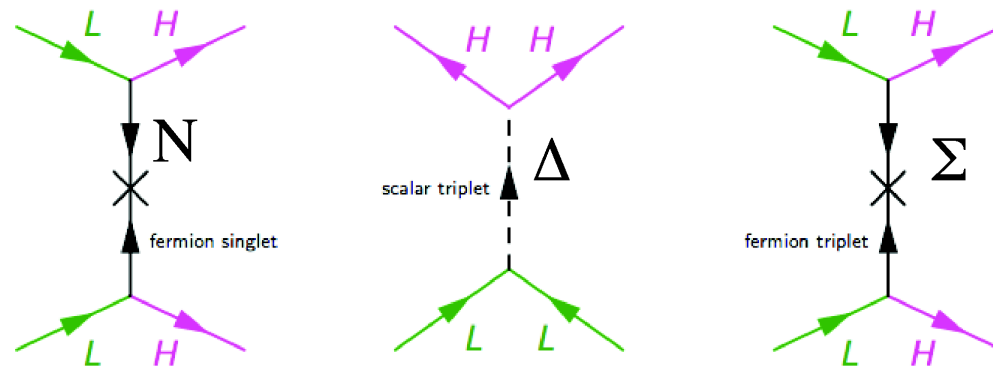
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Very different physics, but same  $\nu$  parameters: How to proceed?



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How to proceed?

- Top-down: Assume some specific model and work out the relations
- Still Bottom-up: search for connections to **charged LFV**, **collider signals** ...

# Connection to LFV & Collider Signatures?

- $\nu$  oscillation  $\Rightarrow$  Lepton Flavour is not conserved

If only  $\mathcal{O}_5 \Rightarrow Br(\tau \rightarrow \mu\gamma) \sim 10^{-41}$  too small!

- But dim=6 operators are **LN conserving** but **LFV** (f.e.  $O_6 \sim \bar{L}_\alpha \bar{L}_\beta L_\gamma L_\rho$ ).

So may be

$$\mathcal{L} = \mathcal{L}_{SM} + \frac{c_{5\alpha\beta}}{\Lambda_{LN}} \left( \bar{L}_\alpha \tilde{\phi} \right) \left( \tilde{\phi}^T L_\beta^C \right) + \sum_i \frac{c_{6,i}}{\Lambda_{LF}^2} \mathcal{O}_{6,i}$$

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- In general to have observable LFV one needs to decouple :

*New Physics scale*  $\Lambda_{LN}$  responsible for the *small*  $m_\nu$  from

*New Physics scale*  $\Lambda_{LF}$  ( $\ll \Lambda_{LN}$ ) controlling of LFV

- *Collider signatures* if heavy state mass  $M \sim \Lambda_{LN} \sim \text{TeV}$  and/or  $M \sim \Lambda_{LF} \sim \text{TeV}$

If  $M \sim \Lambda_{LF} \sim \text{TeV}$  ( $\ll \Lambda_{LN}$ ) *motivation of light*  $\nu$  OK

Furthermore if  $c_{6,i} \propto c_5^{\text{some power}} \Rightarrow$  LFV and *coll signals* directly related to  $M_\nu$

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## Minimal Lepton Flavour Violation

Cirigliano, Grinstein, Isidori, Wise(05); Davidson, Palorini (06); Gavela, Hambye, Hernandez,Hernandez (09)  
Alonso, Isidori, Merlo, Munoz, Nardi(11)

# MLFV & Collider Signatures

oncha Gonzalez-Garcia

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*Yukawas are the only source of flavour violation in and beyond SM*

Very **predictive** and **successful** to explain **quark** flavour data

For **leptons** more **subtle** since BSM fields are required to generate **majorana**  $M_\nu$

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- Scalar (Type-II) see-saw is MLFV

$$c_{5,\alpha\beta} = f_{\Delta\alpha\beta} \frac{\mu}{M_\Delta} \quad c_{6,\alpha\beta\gamma\rho} = f_{\Delta\alpha\beta}^\dagger f_{\Delta\gamma\rho}$$

- If  $M_\Delta \lesssim \text{TeV}$

⇒ Production of triplet scalars:  $H^{\pm\pm}, H^\pm, A_0, H_0$

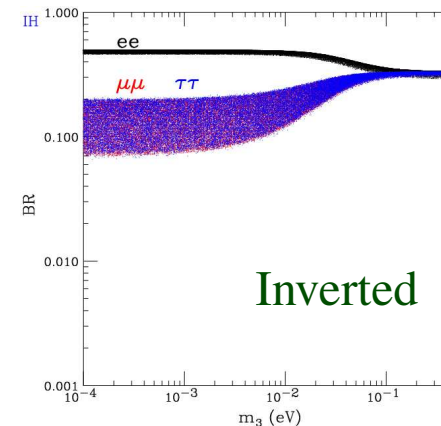
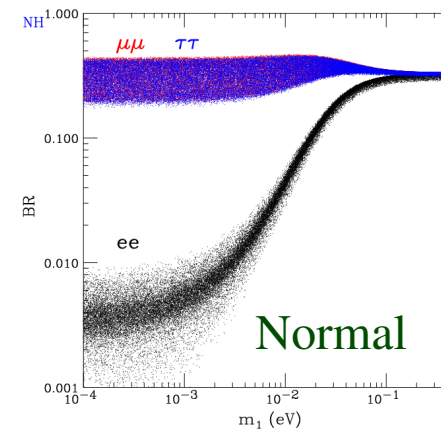
**Striking Signatures**

$$pp \rightarrow H^{++} H^{--}$$

$$pp \rightarrow H^{++} H^-$$

$$\Rightarrow H^{\pm\pm} l_i^\pm l_j^\pm, H^\pm \rightarrow l_i^\pm \nu_j$$

predicted by neutrino parameters



Akeroyd *et al*, Chao *et al*, Fileviez *et al*  
Garayoa *et al*, Han *et al*, Kadastik *et al* ...

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- MLFV Fermionic (I or III) Inverse see-saw

Gavela, Hambye, Hernandez,Hernandez (09)

→ one massless  $\nu$  & one CP phase  $\alpha$

→ Yukawas  $\lambda_{\alpha N}$  determined by  $\nu$  parameters

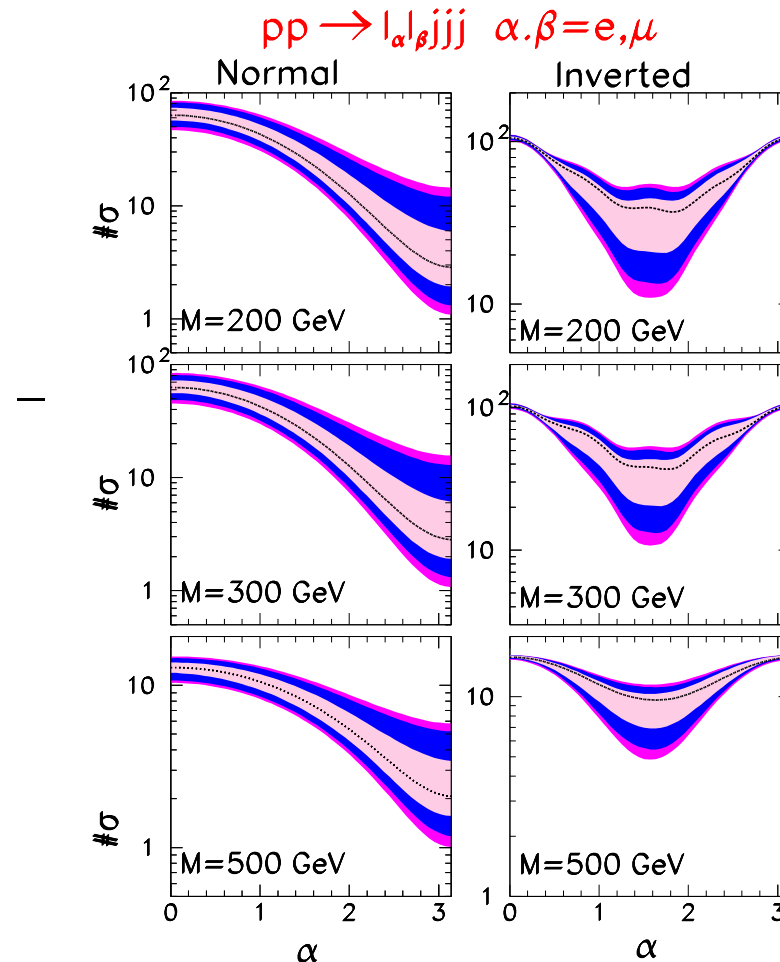
- At LHC: Eboli, Gonzalez-Fraile, MCGG (11)

Type-I unobservable but Type-III observable

$$pp \rightarrow F(\rightarrow \ell_\alpha X)F'(\rightarrow \ell_\beta X')$$

– Rates predictable in terms of  $\nu$  parameters

– Difficult but *beatable* SM backgrounds



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- **Turn to “the heavens” ( aka Cosmology)?**:

# Light massive $\nu$ in Cosmology

What I found when turning to “the heavens”

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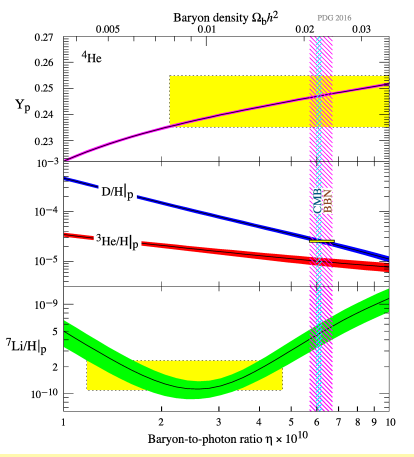
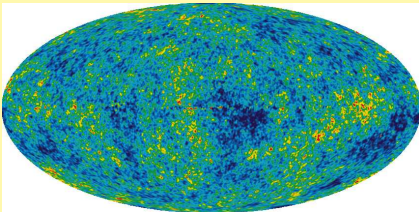
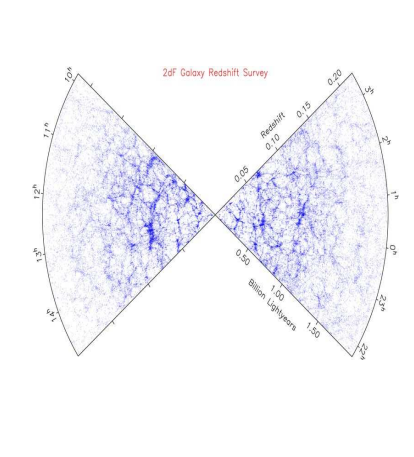


“There is a difference between making an observation and making an experiment”

# Light massive $\nu$ in Cosmology

Relic  $\nu$ 's: Effects in several cosmological observations at several epochs

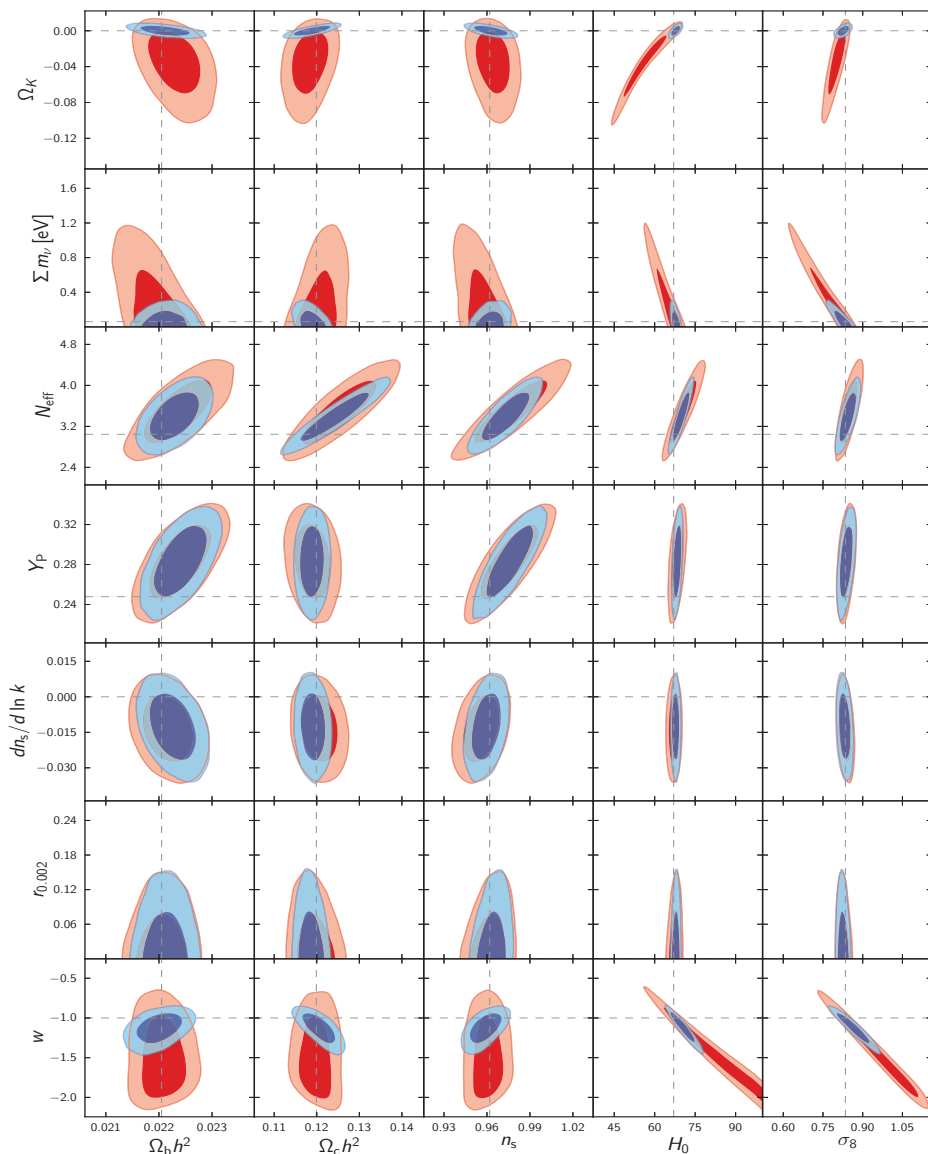
Mainly via two effects:  $\rho_r = \left[ 1 + \frac{7}{8} \times \left( \frac{4}{11} \right)^{\frac{4}{3}} N_{\text{eff}} \right] \rho_\gamma$  and  $\sum_i m_{\nu_i}$

 <p>A plot showing the yields of <math>^4\text{He}</math>, <math>\text{D}/\text{H}_p</math>, <math>^3\text{He}/\text{H}_p</math>, and <math>^7\text{Li}/\text{H}_p</math> as a function of the baryon-to-photon ratio <math>\eta \times 10^{10}</math>. The x-axis ranges from 1 to 10, and the y-axis shows yields from <math>10^{-10}</math> to 0.27. A vertical pink shaded region indicates the 'BBN' window, and a yellow shaded region indicates the 'CMB BBN' window.</p>	 <p>A map of the Cosmic Microwave Background (CMB) showing temperature fluctuations across the sky, with a color scale from blue (cooler) to red (warmer).</p>	 <p>A map of Large Scale Structure (LSS) showing galaxy distribution from the 2dF Galaxy Redshift Survey. The map is divided into sectors by redshift (0.0 to 0.2) and distance (0 to 1.5 Gpc).</p>
<p>Primordial Nucleosynthesis BBN</p>	<p>Cosmic Microwave Background CMB</p>	<p>Large Scale Structure Formation LSS</p>
<p><math>T \sim \text{MeV}</math></p>	<p><math>T \lesssim \text{eV}</math></p>	
<p>Number of <math>\nu</math>'s (<math>N_{\text{eff}}</math>)</p>	<p><math>N_{\text{eff}}</math> and <math>\sum m_\nu</math></p>	

**BUT:** Observables also depend on all other cosmo parameters (and assumptions)

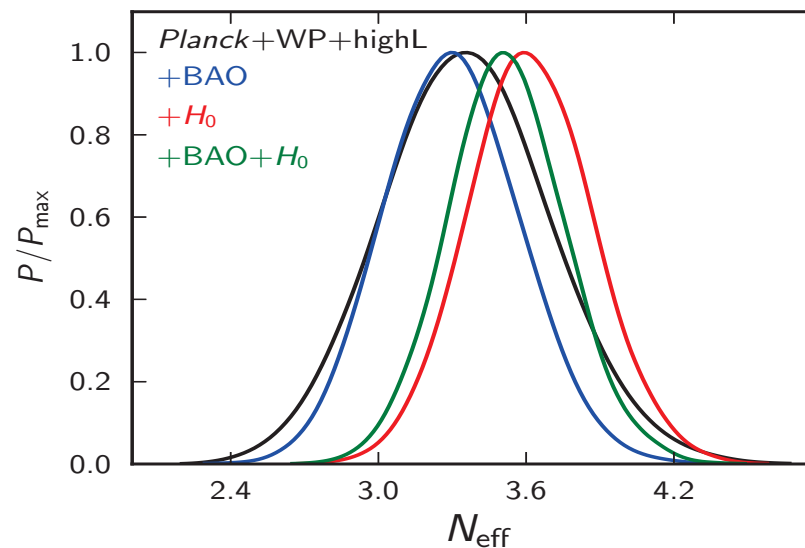
# Example: Cosmological Analysis by Planck

arXiv:1502.01589



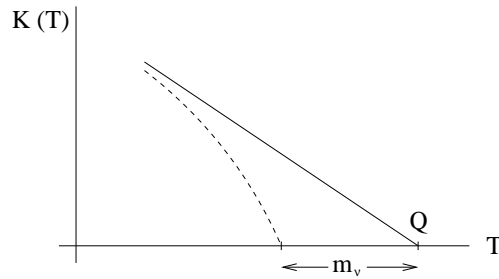
## Range of Bounds in $\Lambda$ CDM

Model	Observables	$\Sigma m_\nu$ (eV) 95%
$\Lambda$ CDM + $m_\nu$	Planck TT + lowP	$\leq 0.72$
$\Lambda$ CDM + $m_\nu$	Planck TT + lowP + lensing	$\leq 0.68$
$\Lambda$ CDM + $m_\nu$	Planck TT,TE,EE + lowP+lensing	$\leq 0.59$
$\Lambda$ CDM + $m_\nu$	Planck TT,TE,EE + lowP	$\leq 0.49$
$\Lambda$ CDM + $m_\nu$	Planck TT + lowP + lensing + BAO + SN + $H_0$	$\leq 0.23$
$\Lambda$ CDM + $m_\nu$	Planck TT,TE,EE + lowP+ BAO	$\leq 0.17$



# Neutrino Mass Scale

Single  $\beta$  decay : Dirac or Majorana  $\nu$  mass modify spectrum endpoint

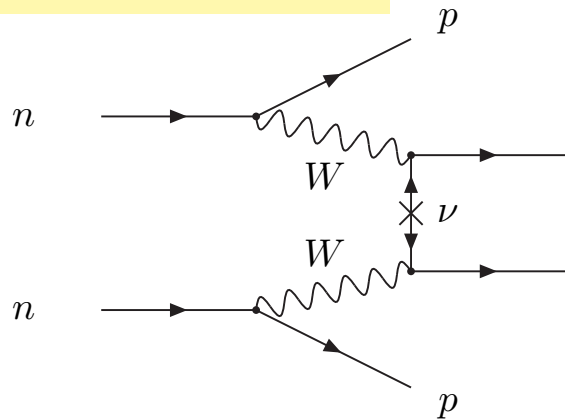


$$m_{\nu_e}^2 = \sum m_j^2 |U_{ej}|^2 = \begin{cases} \text{NO} : m_\ell^2 + \Delta m_{21}^2 c_{13}^2 s_{12}^2 + \Delta m_{31}^2 s_{13}^2 \\ \text{IO} : m_\ell^2 + \Delta m_{21}^2 c_{13}^2 s_{12}^2 - \Delta m_{31}^2 c_{13}^2 \end{cases}$$

Present bound:  $m_{\nu_e} \leq 2.2 \text{ eV}$  (at 95 % CL)

Katrin (20XX???) Sensitivity to  $m_{\nu_e} \sim 0.2 \text{ eV}$

$\nu$ -less Double- $\beta$  decay:  $\Leftrightarrow$  Majorana  $\nu'$ s



If  $m_\nu$  only source of  $\Delta L$   $T_{1/2}^{0\nu} = \frac{m_e}{G_{0\nu} M_{\text{nucl}}^2 m_{ee}^2}$

$$m_{ee} = \left| \sum U_{ej}^2 m_j \right|$$

$$= \left| c_{13}^2 c_{12}^2 m_1 e^{i\eta_1} + c_{13}^2 s_{12}^2 m_2 e^{i\eta_2} + s_{13}^2 m_3 e^{-i\delta_{CP}} \right|$$

$$= f(m_\ell, \text{order, maj phases})$$

Present Bounds:  $m_{ee} < 0.06 - 0.76 \text{ eV}$

COSMO for Dirac or Majorana  $m_\nu$  affect growth of structures

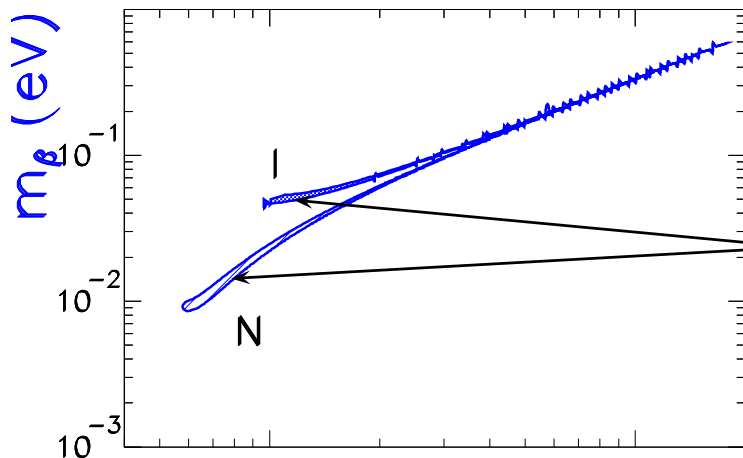
$$\sum m_i = \begin{cases} \text{NO} : \sqrt{m_\ell^2} + \sqrt{\Delta m_{21}^2 + m_\ell^2} + \sqrt{\Delta m_{31}^2 + m_\ell^2} \\ \text{IO} : \sqrt{m_\ell^2} + \sqrt{-\Delta m_{31}^2 - \Delta m_{21}^2 - m_\ell^2} + \sqrt{-\Delta m_{31}^2 - m_\ell^2} \end{cases}$$

# Neutrino Mass Scale: The Cosmo-Lab Connection

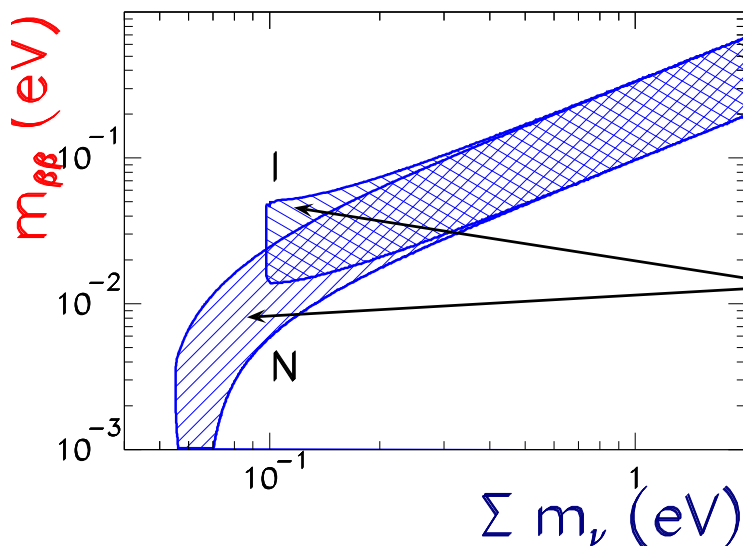
## Global oscillation analysis

⇒ Correlations  $m_{\nu_e}$ ,  $m_{ee}$  and  $\sum m_\nu$   
(Fogli *et al* (04))

Nufit (95%)



Width due to range in oscillation parameters very narrow



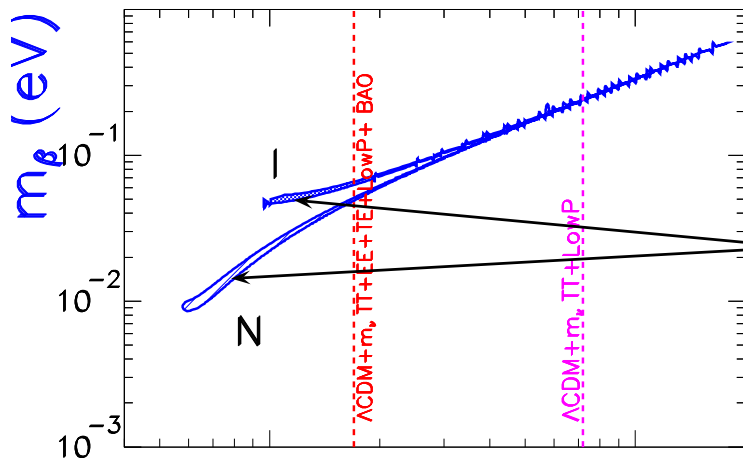
Wide band due to unknown Majorana phases ⇒  
Possible Det of Maj phases?

# Neutrino Mass Scale: The Cosmo-Lab Connection

## Global oscillation analysis

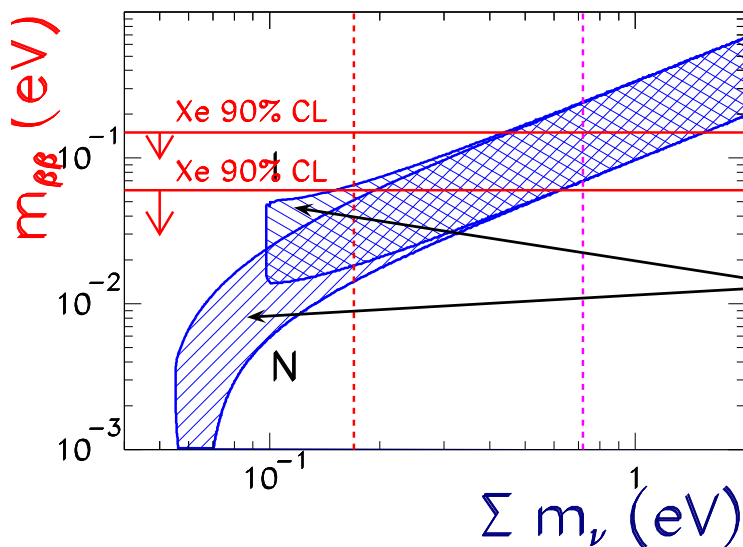
⇒ Correlations  $m_{\nu_e}$ ,  $m_{ee}$  and  $\sum m_\nu$   
(Fogli *et al* (04))

Nufit (95%)



Width due to range in oscillation parameters very narrow  
Precision determination/bound of  $m_{\nu_e}$  and  $\sum m_i$  can give information on ordering (?)

**Only  $\beta$  decay provides model independent information**



Wide band due to unknown Majorana phases ⇒  
Possible Det of Maj phases?



# Confirmed Low Energy Picture and MY List of Q&A

- At least two neutrinos are massive  $\Rightarrow$  There is NP
- Three mixing angles are non-zero (and relatively large)  $\Rightarrow$  very different from CKM
- Oscillations DO NOT determine the lightest mass but  $\beta$  decay:  $\sum m_i^2 |U_{ei}|^2 \leq (2.2 \text{ eV})^2$   
 $\Rightarrow$  Heaviest  $\nu$  is at least  $\sim 10^6$  lighter than  $e^-$  (which is  $\sim 10^6$  lighter than the top...)
- Dirac or Majorana?: We do not know, *anxiously* waiting for  $\nu$ -less  $\beta\beta$  decay
- Only three light states?: Some anomalies and tensions...
- What about a UV complete model which answers?:
  - \* Why are neutrinos so light?  $\Rightarrow$  The Origin of Neutrino Mass
  - \* Why are lepton mixing so different from quark's?  $\Rightarrow$  The Flavour Puzzle

Answer is not going to come from  $\nu$  osc experiments  
 Collider signals? We are going need a break
- Cosmological effects?: Still missing a “signal” and will we ever be convinced it is  $\nu's$ ?
- Should we benefit from decoupling and focus on effective LE Lagrangian for  $\nu's$  osc?:

# Determination of Matter Potential: Non Standard $\nu$ Int

- In flavour basis  $\vec{\nu} = (\nu_e, \nu_\mu, \nu_\tau)^T$  the neutrino evolution eq.:

$$i \frac{d}{dx} \vec{\nu} = H^\nu \vec{\nu} \quad \text{with} \quad H^\nu = H_{\text{vac}} + H_{\text{mat}} \quad \text{and} \quad H^{\bar{\nu}} = (H_{\text{vac}} - H_{\text{mat}})^*$$

- The most general matter potential can be parametrized

$$H_{\text{mat}} = \sqrt{2}G_F N_e(r) \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} + \sqrt{2}G_F \sum_{f=e,u,d} N_f(r) \begin{pmatrix} \epsilon_{ee}^f & \epsilon_{e\mu}^f & \epsilon_{e\tau}^f \\ \epsilon_{e\mu}^{f*} & \epsilon_{\mu\mu}^f & \epsilon_{\mu\tau}^f \\ \epsilon_{e\tau}^{f*} & \epsilon_{\mu\tau}^{f*} & \epsilon_{\tau\tau}^f \end{pmatrix}$$

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Deviations from  $H_{\text{mat}}^{\text{SM}} = \sqrt{2}G_F N_e(r) \text{diag}(1, 0, 0)$  induced by **NSI**

$$\mathcal{L}_{\text{NSI}} = -2\sqrt{2}G_F \varepsilon_{\alpha\beta}^{fP} (\bar{\nu}_\alpha \gamma^\mu L \nu_\beta) (\bar{f} \gamma_\mu P f), \quad P = L, R \quad \text{with} \quad \varepsilon_{\alpha\beta}^f = \varepsilon_{\alpha\beta}^{fL} + \varepsilon_{\alpha\beta}^{fR}$$

- The  $3\nu$  evolution depends on **6** (vac) + **8 per  $f$**  (mat)

$\Rightarrow$  Parameters degeneracies (some well-known but being rediscovered lately ...)

In particular CPT  $\Rightarrow$  invariance under simultaneously:

$$\begin{aligned} \theta_{12} &\leftrightarrow \frac{\pi}{2} - \theta_{12}, & (\varepsilon_{ee} - \varepsilon_{\mu\mu}) &\rightarrow -(\varepsilon_{ee} - \varepsilon_{\mu\mu}) - 2, \\ \Delta m_{31}^2 &\rightarrow -\Delta m_{32}^2, & (\varepsilon_{\tau\tau} - \varepsilon_{\mu\mu}) &\rightarrow -(\varepsilon_{\tau\tau} - \varepsilon_{\mu\mu}), \\ \delta &\rightarrow \pi - \delta, & \varepsilon_{\alpha\beta} &\rightarrow -\varepsilon_{\alpha\beta}^* \quad (\alpha \neq \beta), \end{aligned}$$

# Matter Potential/NSI in ATM and LBL

- Weakest constraints when

2 equal eigenvalues of  $H_{\text{mat}}$   
 Friedland, Lunardini, Maltoni 04

- General parametrization for this case

$$H_{\text{mat}} = Q_{\text{rel}} U_{\text{mat}} D_{\text{mat}} U_{\text{mat}}^\dagger Q_{\text{rel}}^\dagger$$

$$\begin{cases} Q_{\text{rel}} &= \text{diag} (e^{i\alpha_1}, e^{i\alpha_2}, e^{-i\alpha_1 - i\alpha_2}), \\ U_{\text{mat}} &= R_{12}(\varphi_{12}) R_{13}(\varphi_{13}), \\ D_{\text{mat}} &= \sqrt{2} G_F N_e(r) \text{diag}(\varepsilon, 0, 0) \end{cases}$$

So even if  $G_F \varepsilon N_e(r) \gg \Delta m_{31}^2 / 2E$

–  $\nu_\mu \rightarrow \nu_\tau$  as vacuum with

$$\tilde{\Delta}_{\text{vac}} = \frac{\Delta m_{31}^2 L}{4E} \times f(\theta_{ij}, \phi_{ij})$$

–  $\nu_e \rightarrow \nu_{\mu, \tau}$  matter dominated

$$P_{e\mu} = \cos^2 \phi_{13} \sin^2(2\phi_{12}) \sin^2 \left( \frac{\sqrt{2} G_F N_e(r) \varepsilon L}{2} \right)$$

$$P_{e\tau} = \cos^2 \phi_{12} \sin^2(2\phi_{13}) \sin^2 \left( \frac{\sqrt{2} G_F N_e(r) \varepsilon L}{2} \right)$$

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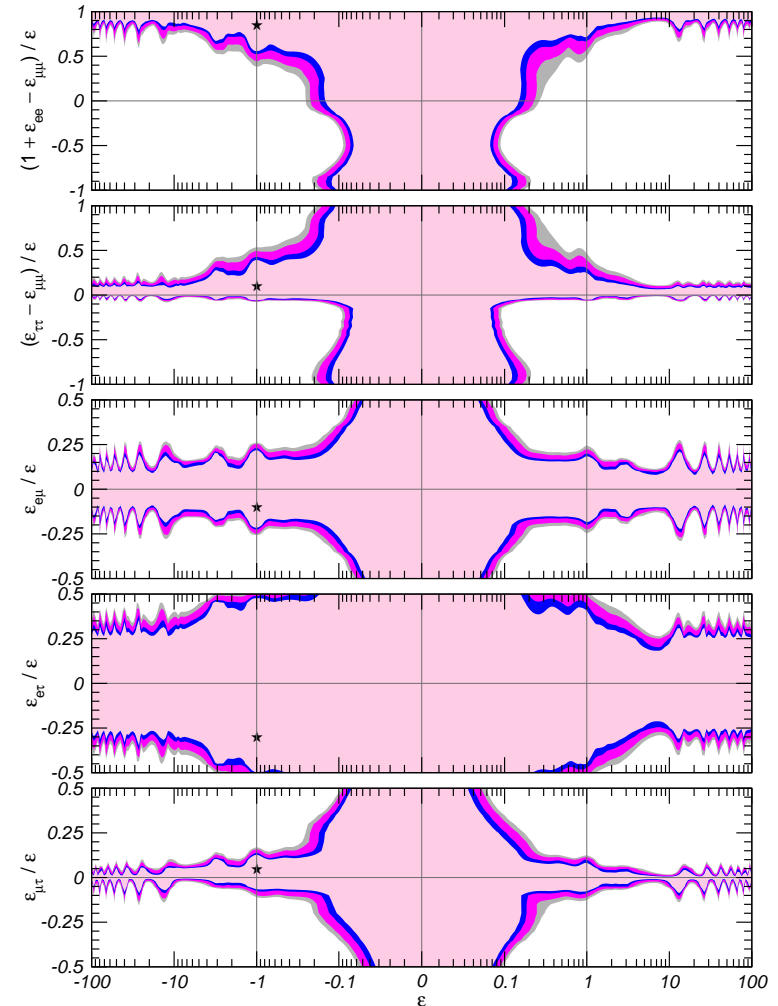
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$$P_{e\tau} = \cos^2 \phi_{12} \sin^2(2\phi_{13}) \sin^2 \left( \frac{\sqrt{2} G_F N_e(r) \varepsilon L}{2} \right)$$

No bound on  $\varepsilon$  from ATM+LBL



Maltoni, MCG-G, Salvado ArXiv:1103.4265

# Matter Potential/NSI in Solar and KamLAND

z-Garcia

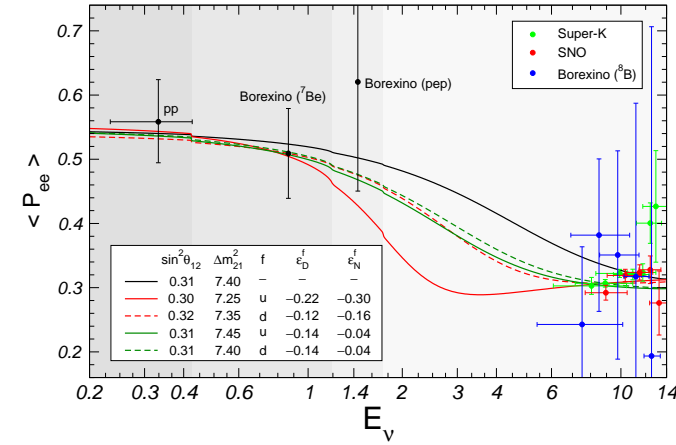
- In  $|\Delta m_{31}^2| \rightarrow \infty$  :  $P_{ee} = c_{13}^4 P_{\text{eff}} + s_{13}^4$

$$H_{\text{mat}}^{\text{eff}} = \sqrt{2}G_F N_e(r) \begin{pmatrix} c_{13}^2 & 0 \\ 0 & 0 \end{pmatrix} + \sqrt{2}G_F \sum_f N_f(r) \begin{pmatrix} -\varepsilon_D^f & \varepsilon_N^f \\ \varepsilon_N^{f*} & \varepsilon_D^f \end{pmatrix}$$

$$\varepsilon_D^f = c_{13}s_{13}\text{Re} \left[ e^{i\delta_{\text{CP}}} \left( s_{23} \varepsilon_{e\mu}^f + c_{23} \varepsilon_{e\tau}^f \right) \right] - \left( 1 + s_{13}^2 \right) c_{23}s_{23}\text{Re} \left( \varepsilon_{\mu\tau}^f \right) - \frac{c_{13}^2}{2} \left( \varepsilon_{ee}^f - \varepsilon_{\mu\mu}^f \right) + \frac{s_{23}^2 - s_{13}^2 c_{23}^2}{2} \left( \varepsilon_{\tau\tau}^f - \varepsilon_{\mu\mu}^f \right)$$

$$\varepsilon_N^f = c_{13} \left( c_{23} \varepsilon_{e\mu}^f - s_{23} \varepsilon_{e\tau}^f \right) + s_{13} e^{-i\delta_{\text{CP}}} \left[ s_{23}^2 \varepsilon_{\mu\tau}^f - c_{23}^2 \varepsilon_{\mu\tau}^{f*} + c_{23}s_{23} \left( \varepsilon_{\tau\tau}^f - \varepsilon_{\mu\mu}^f \right) \right]$$

- Better fit with NSI ( $\Delta\chi_{\text{osc}}^2 \simeq 5-7$ )



# Matter Potential/NSI in Solar and KamLAND

z-Garcia

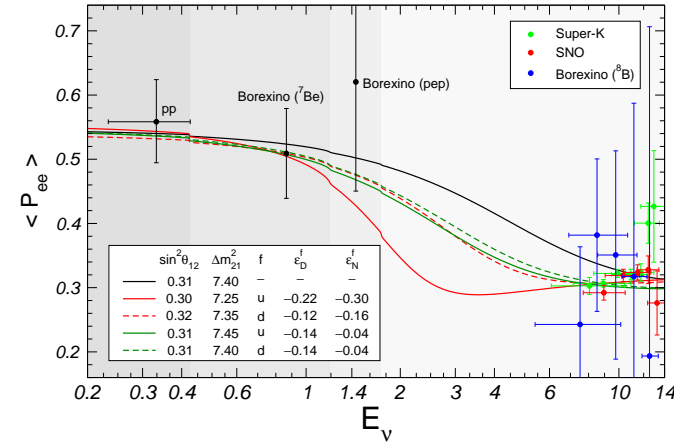
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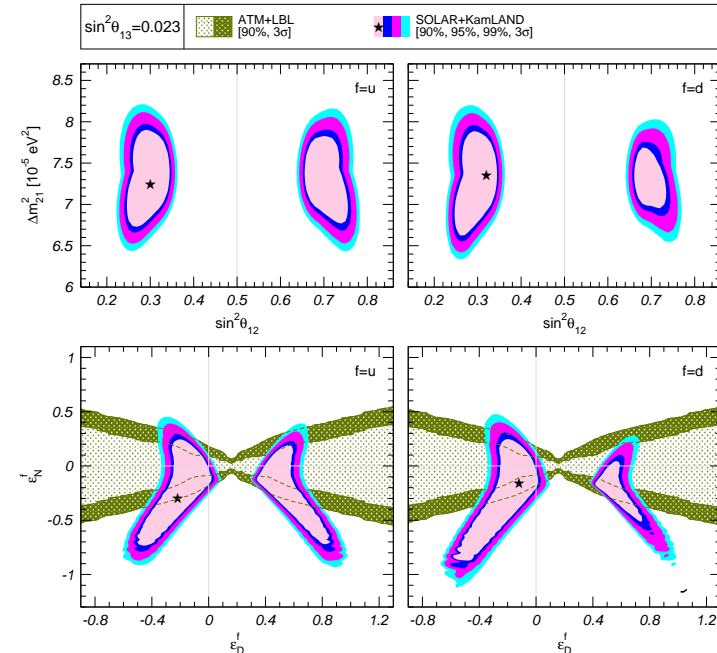
$$\varepsilon_N^f = c_{13} \left( c_{23} \varepsilon_{e\mu}^f - s_{23} \varepsilon_{e\tau}^f \right) + s_{13} e^{-i\delta_{\text{CP}}} \left[ s_{23}^2 \varepsilon_{\mu\tau}^f - c_{23}^2 \varepsilon_{\mu\tau}^{f*} + c_{23}s_{23} \left( \varepsilon_{\tau\tau}^f - \varepsilon_{\mu\mu}^f \right) \right]$$

- Better fit with NSI ( $\Delta\chi_{\text{osc}}^2 \simeq 5-7$ )



- LMA-D ( $\theta_{12} > \frac{\pi}{4}$ ) allowed

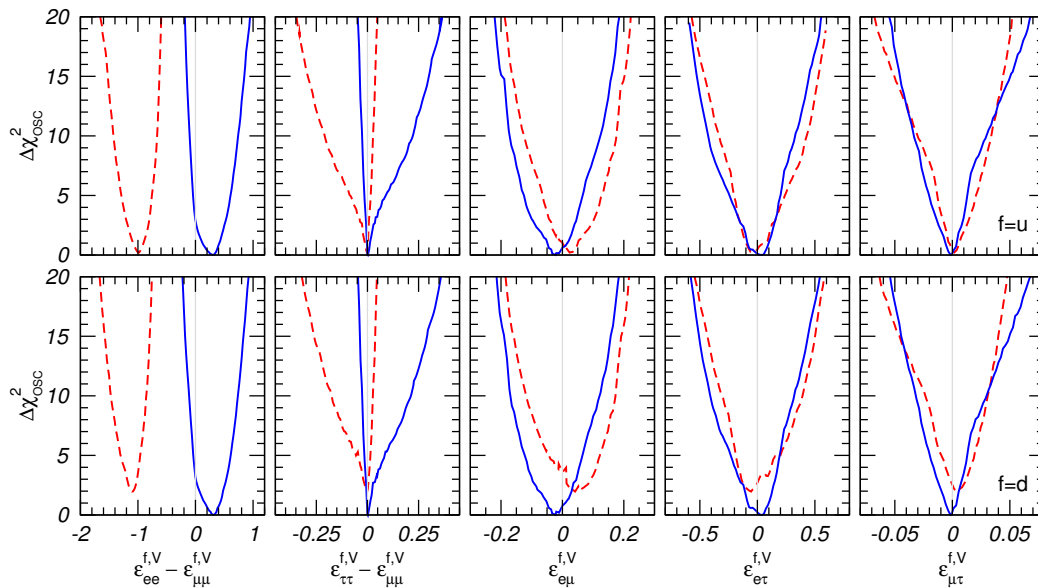
Miranda, Tortola, Valle (2004)



Requires  $\varepsilon_{ee} - \varepsilon_{\mu\mu} \sim \mathcal{O}(-1)$

# Matter Potential/NSI Global Oscillation Bounds

- All NSI parameters bounded 1-10% (except  $\varepsilon_{ee} - \varepsilon_{\mu\mu}$  in **LMA-D**)
- These bounds should not be ignored in future LBL sensitivity studies



Param.	best-fit	90% CL	
		LMA	LMA $\oplus$ LMA - D
$\varepsilon_{ee}^u - \varepsilon_{\mu\mu}^u$	+0.298	[+0.00, +0.51]	$\oplus$ [-1.19, -0.81]
$\varepsilon_{\tau\tau}^u - \varepsilon_{\mu\mu}^u$	+0.001	[-0.01, +0.03]	[-0.03, +0.03]
$\varepsilon_{e\mu}^u$	-0.021	[-0.09, +0.04]	[-0.09, +0.10]
$\varepsilon_{e\tau}^u$	+0.021	[-0.14, +0.14]	[-0.15, +0.14]
$\varepsilon_{\mu\tau}^u$	-0.001	[-0.01, +0.01]	[-0.01, +0.01]
$\varepsilon_{ee}^d - \varepsilon_{\mu\mu}^d$	+0.310	[+0.02, +0.51]	$\oplus$ [-1.17, -1.03]
$\varepsilon_{\tau\tau}^d - \varepsilon_{\mu\mu}^d$	+0.001	[-0.01, +0.03]	[-0.01, +0.03]
$\varepsilon_{e\mu}^d$	-0.023	[-0.09, +0.04]	[-0.09, +0.08]
$\varepsilon_{e\tau}^d$	+0.023	[-0.13, +0.14]	[-0.13, +0.14]
$\varepsilon_{\mu\tau}^d$	-0.001	[-0.01, +0.01]	[-0.01, +0.01]

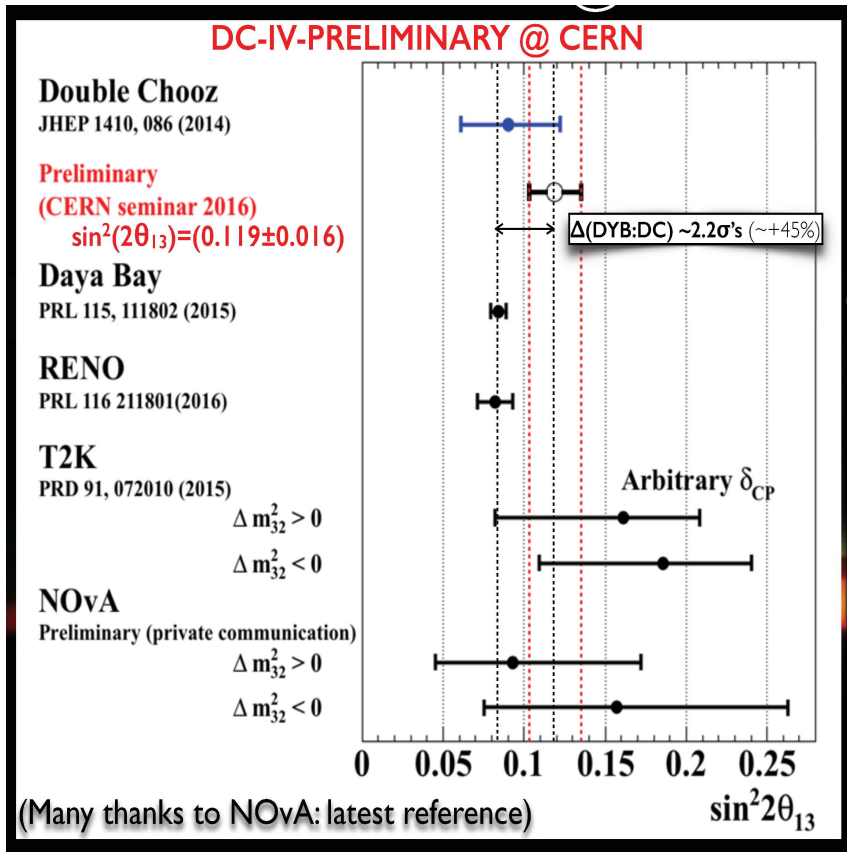
- Presently LMA-D partly lifted by scattering data (CharmII, NuTeV) depending on:
  - Validity of NSI parametrization at  $Q^2 \gtrsim \text{GeV}^2$
  - Treatment of NuTeV Anomaly



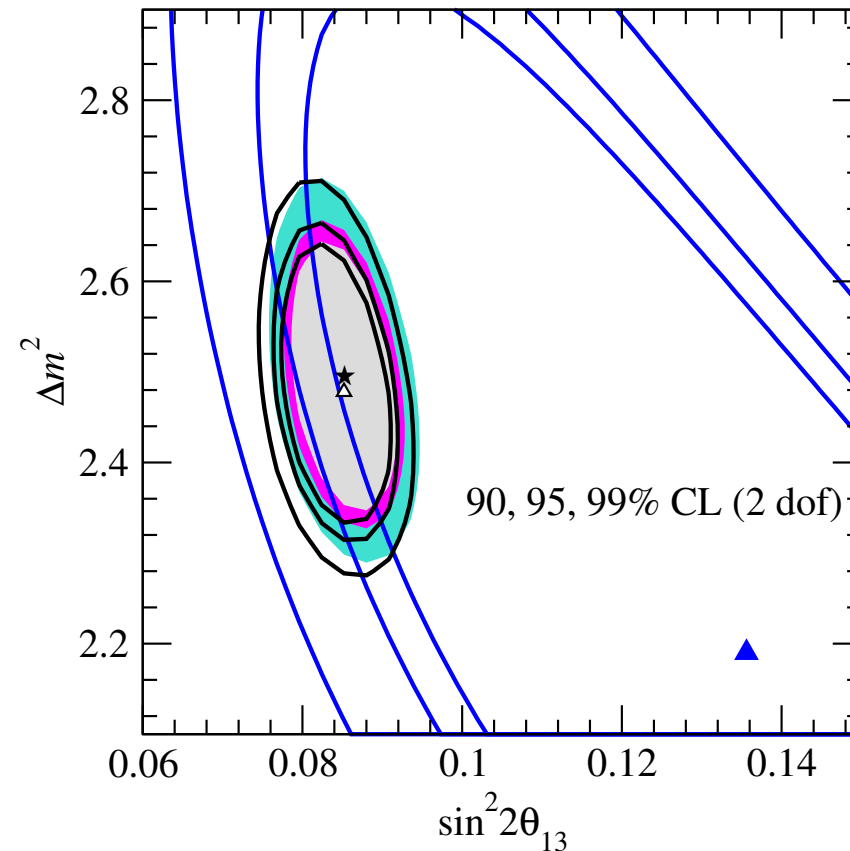
**THANK YOU**

# Issues in 3 $\nu$ Analysis: Consistency of $\theta_{13}$

Daya Bay vs Double Chooz?



Allowed regions of DC vs Daya Bay



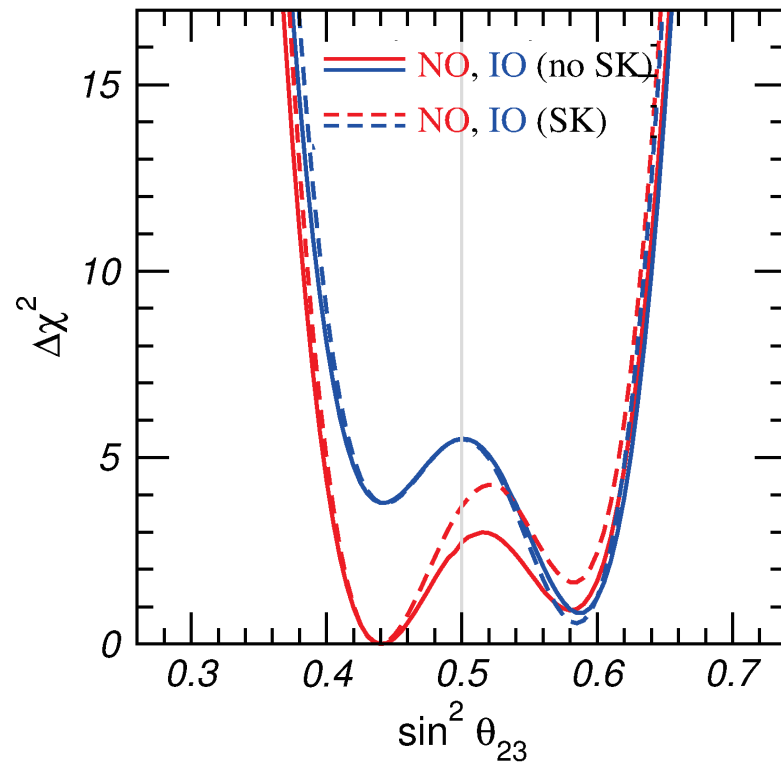
From DC (Anatael Cabrera) Talk CERN Sep 16

Fig. Courtesy of T. Schwetz

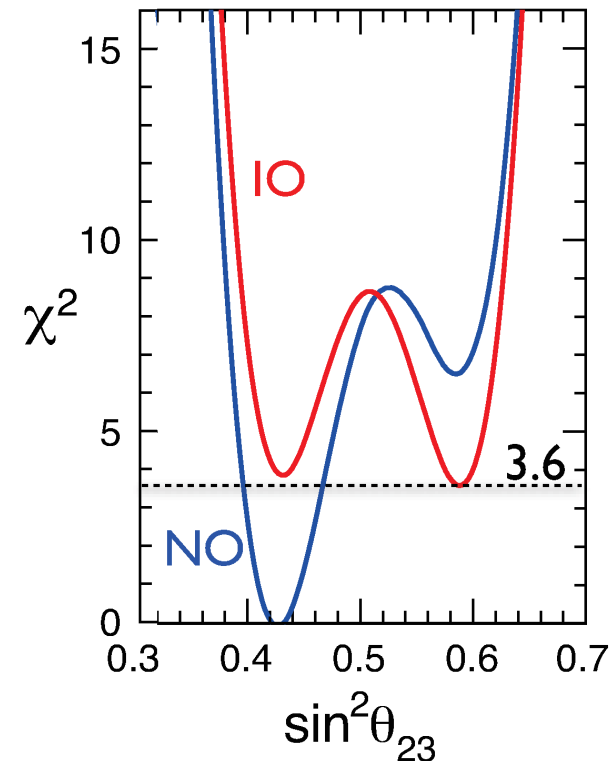
No significant discrepancy

# Comparison with Bari group

NuFIT 3.0, Esteban et al., 1611.01514



Cappozzi et al., 1703.04471



Main Difference in ATM sensitivity

Both groups use the same reduced number of atm data subsamples

Using these data subsamples SK never found a  $\theta_{13} \neq 0$  effect

Figure display “borrowed” from T. Schwetz Moriond 17 talk

# Flavour Parameters: Present Status

- Finally we have determined (at  $\pm 3\sigma/6$ )

$$\Delta m_{21}^2 = 7.50 \times 10^{-5} \text{ eV}^2 \text{ (2.3\%)}$$

$$\sin^2 \theta_{12} = 0.31 \text{ (4\%)}$$

$$\Delta m_{31}^2 = 2.52 \times 10^{-3} \text{ eV}^2 \text{ NO (1.8\%)}$$

$$\Delta m_{32}^2 = -2.51 \times 10^{-3} \text{ eV}^2 \text{ IO}$$

$$\sin^2 \theta_{23} = \begin{matrix} 0.44 \\ 0.59 \end{matrix} \text{ (7 - 10\%)}$$

$$\sin^2 \theta_{13} = \begin{matrix} 0.0217 \\ 0.0218 \end{matrix} \text{ (3.2\%)}$$

$$|U|_{3\sigma} = \begin{pmatrix} 0.800 \rightarrow 0.844 & 0.515 \rightarrow 0.581 & 0.139 \rightarrow 0.155 \\ 0.229 \rightarrow 0.516 & 0.438 \rightarrow 0.699 & 0.614 \rightarrow 0.790 \\ 0.249 \rightarrow 0.528 & 0.462 \rightarrow 0.715 & 0.595 \rightarrow 0.776 \end{pmatrix}$$

- Good progress but still precision very far from:

$$|V|_{\text{CKM}} = \begin{pmatrix} 0.97427 \pm 0.00015 & 0.22534 \pm 0.0065 & (3.51 \pm 0.15) \times 10^{-3} \\ 0.2252 \pm 0.00065 & 0.97344 \pm 0.00016 & (41.2_{-5}^{+1.1}) \times 10^{-3} \\ (8.67_{-0.31}^{+0.29}) \times 10^{-3} & (40.4_{-0.5}^{+1.1}) \times 10^{-3} & 0.999146_{-0.000046}^{+0.000021} \end{pmatrix}$$

## Near Future for CP and Ordering: Strategies

- $\nu/\bar{\nu}$  comparison with or without Earth matter effects in  $\nu_\mu \rightarrow \nu_e$  &  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  at LBL: DUNE (wide band beam, L=1300 km), HK (narrow band beam, L=300 km)

$$P_{\mu e} \simeq s_{23}^2 \sin^2 2\theta_{13} \left( \frac{\Delta_{31}}{\Delta_{31} \pm V} \right)^2 \sin^2 \left( \frac{\Delta_{31} \pm V L}{2} \right) + 8 J_{CP}^{\max} \frac{\Delta_{12}}{V} \frac{\Delta_{31}}{\Delta_{31} \pm V} \sin \left( \frac{V L}{2} \right) \sin \left( \frac{\Delta_{31} \pm V L}{2} \right) \cos \left( \frac{\Delta_{31} L}{2} \pm \delta_{CP} \right)$$

$$J_{CP}^{\max} = c_{13}^2 s_{13} c_{23} s_{23} c_{12} s_{12}$$

- Challenge: Parameter degeneracies, Normalization uncertainty,  $E_\nu$  reconstruction
- Earth matter effects in large statistics ATM  $\nu_\mu$  disapp : HK,INO, PINGU,ORCA ...
  - Challenge: ATM flux contains both  $\nu_\mu$  and  $\bar{\nu}_\mu$ , ATM flux uncertainties
- Reactor experiment at  $L \sim 60$  km (vacuum) able to observe the difference between oscillations with  $\Delta m_{31}^2$  and  $\Delta m_{32}^2$ : JUNO, RENO-50

$$P_{\nu_e, \nu_e} = 1 - c_{13}^4 \sin^2 2\theta_{12} \sin^2 \left( \frac{\Delta m_{21}^2 L}{4E} \right) - \sin^2 2\theta_{13} \left[ c_{12}^2 \sin^2 \left( \frac{\Delta m_{31}^2 L}{4E} \right) + s_{12}^2 \sin^2 \left( \frac{\Delta m_{32}^2 L}{4E} \right) \right]$$

- Challenge: Energy resolution