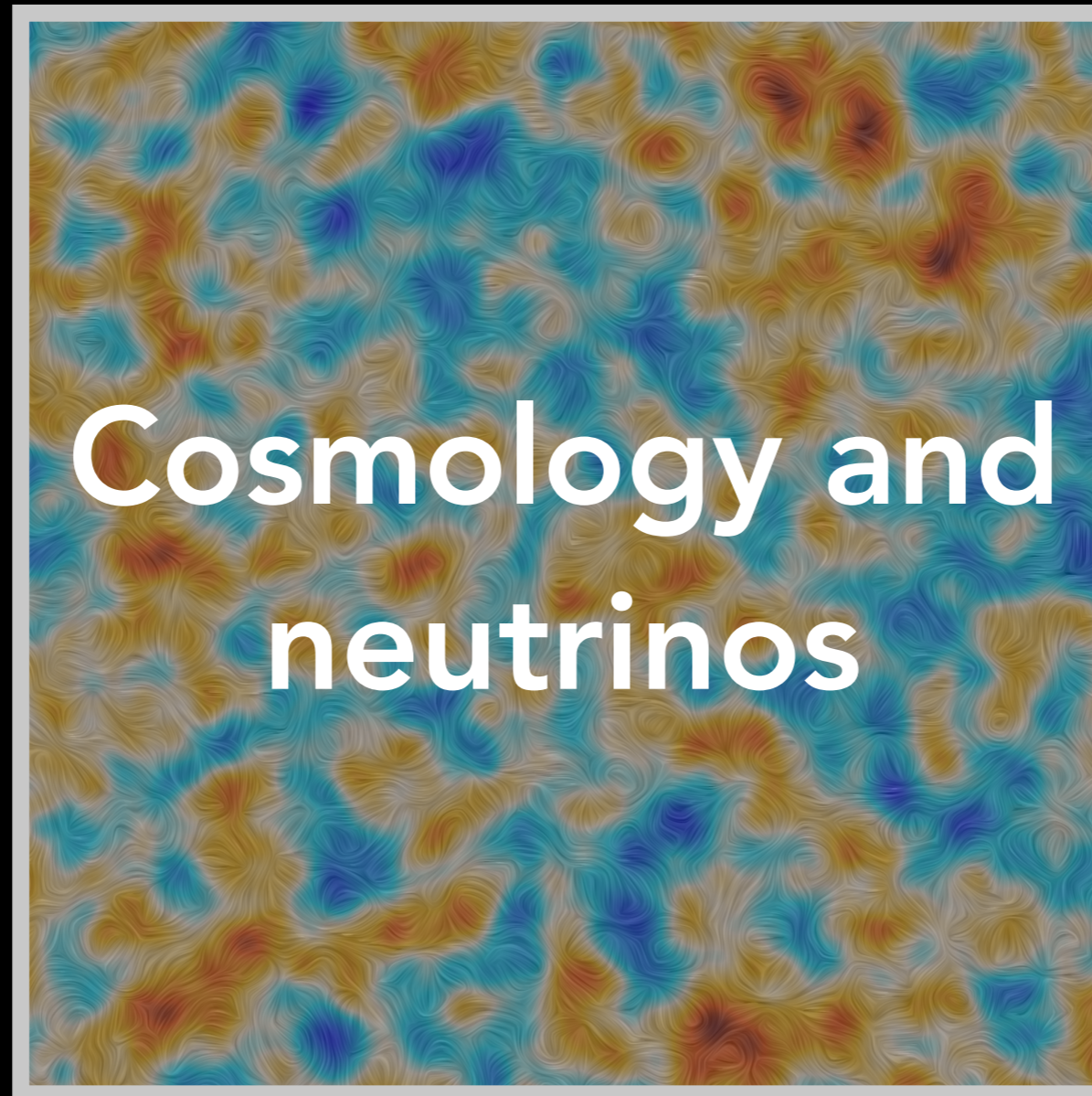


CERN, 29.03.2017



**Julien Lesgourgues**

Institut für Theoretische Teilchenphysik und Kosmologie (TTK), RWTH Aachen University

CERN, 29.03.2017

1. status of cosmological data and of the cosmological standard model
2. potential neutrino effects
3. summed active  $\nu$  mass
4. light sterile neutrinos
5. heavy sterile  $\nu$ 's (WDM)

**Julien Lesgourgues**

Institut für Theoretische Teilchenphysik und Kosmologie (TTK), RWTH Aachen University

# Which observables are we talking about?

Observables derived from first principles

GR+QED, Integration of linearised Einstein + Boltzmann

Observables derived from modelling of complex phenomena  
non-linear simulations, phenomenological fits & scaling laws

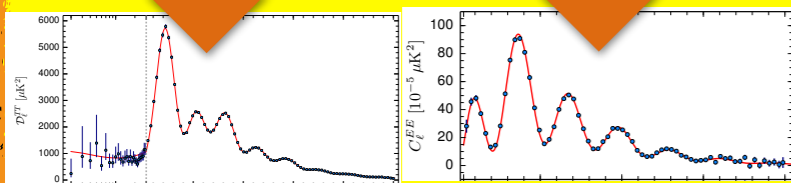
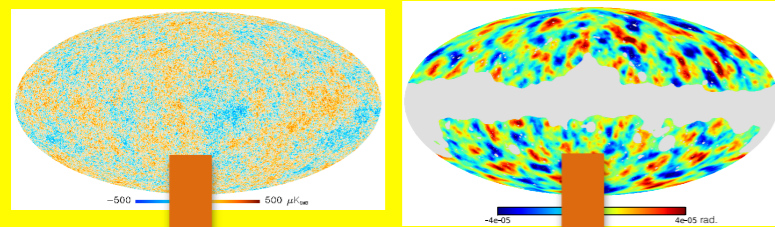
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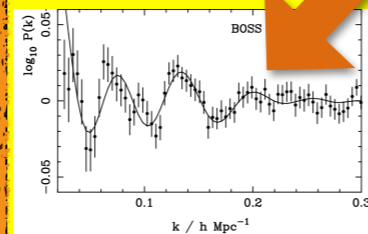
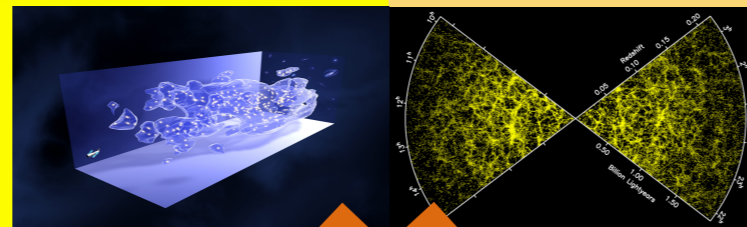
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## CMB

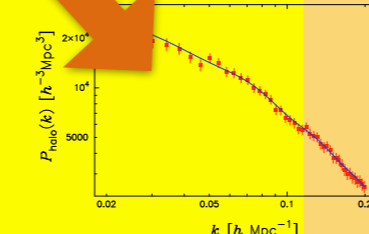


temperature/polarisation/  
lensing power spectrum

## Large Scale Structure

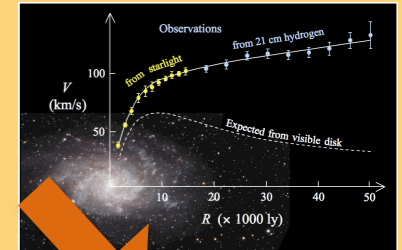
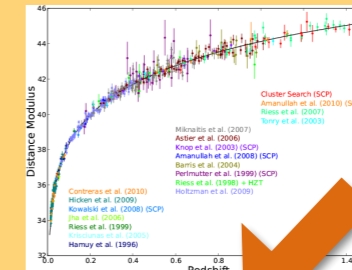


BAO  
scale



matter power  
spectrum

Supernovae, Cepheids,  
small-scale structures,  
light element abundances



Hubble rate,  
acceleration of expansion,  
satellite galaxies count...

# Which observables are we talking about?

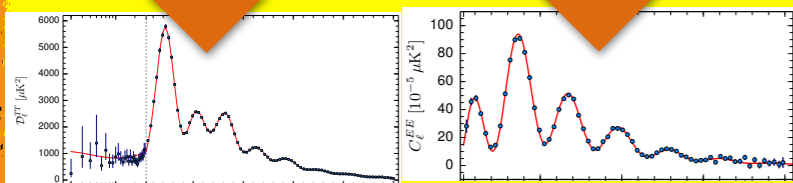
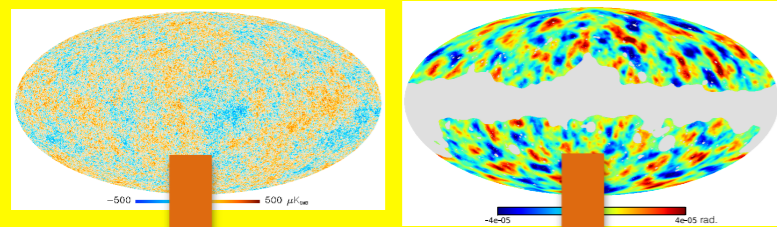
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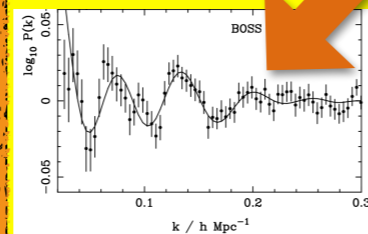
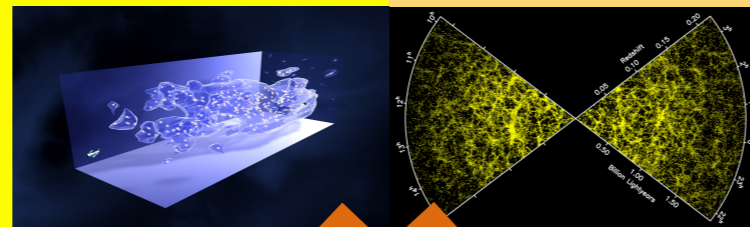
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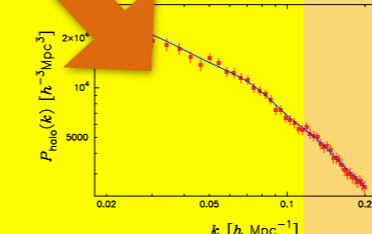


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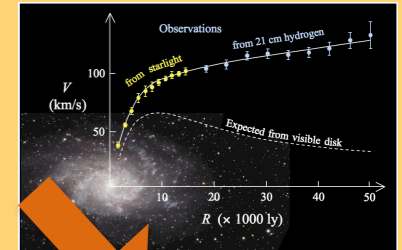
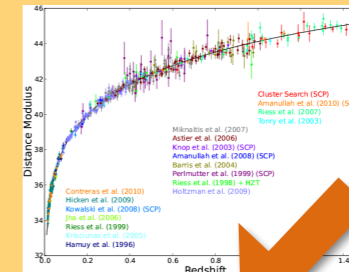


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Hubble rate,  
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~1500 (Planck) + ~10 independent data points  
minimal 6-parameter model: excellent fit  
for binned TT data,  $\chi^2/\text{dof}=1.004$  for 731 d.o.f.

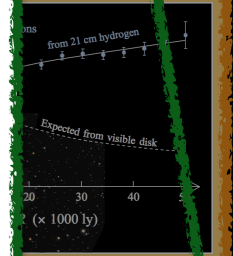
most recent  $H_0$  measurement (*Riess et al.*)  
1 point in tension at  $3.2\sigma$   
(w.r.t. Planck 2016 TT+SIMLow)

# H0 tension (direct measurement versus inferred model-dependent CMB value)



phenomena  
governing laws

fields,  
forces,  
distances



expansion,

lensing power spectrum

scale

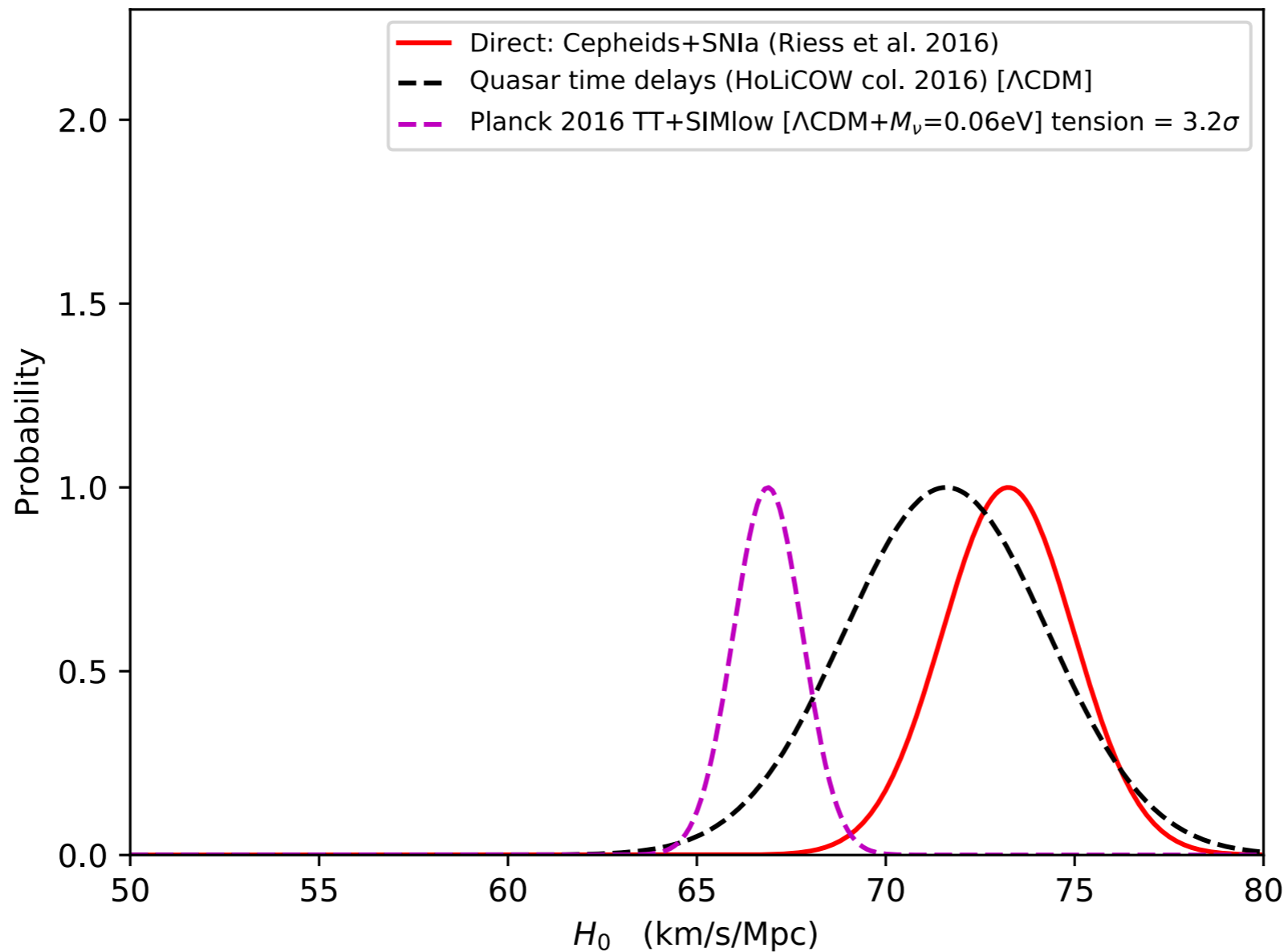
spectrum

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lensing power spectrum

scale

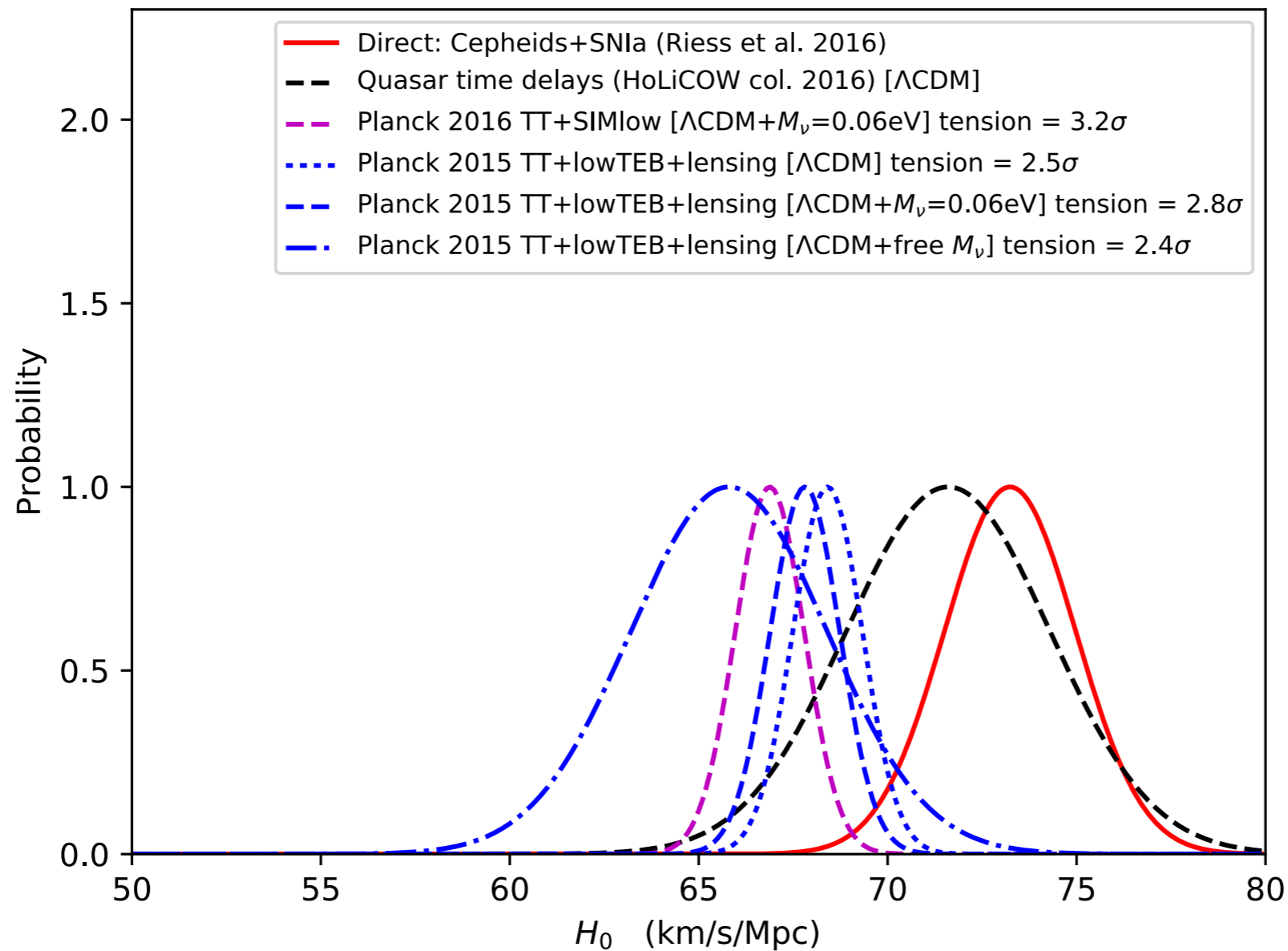
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lensing power spectrum

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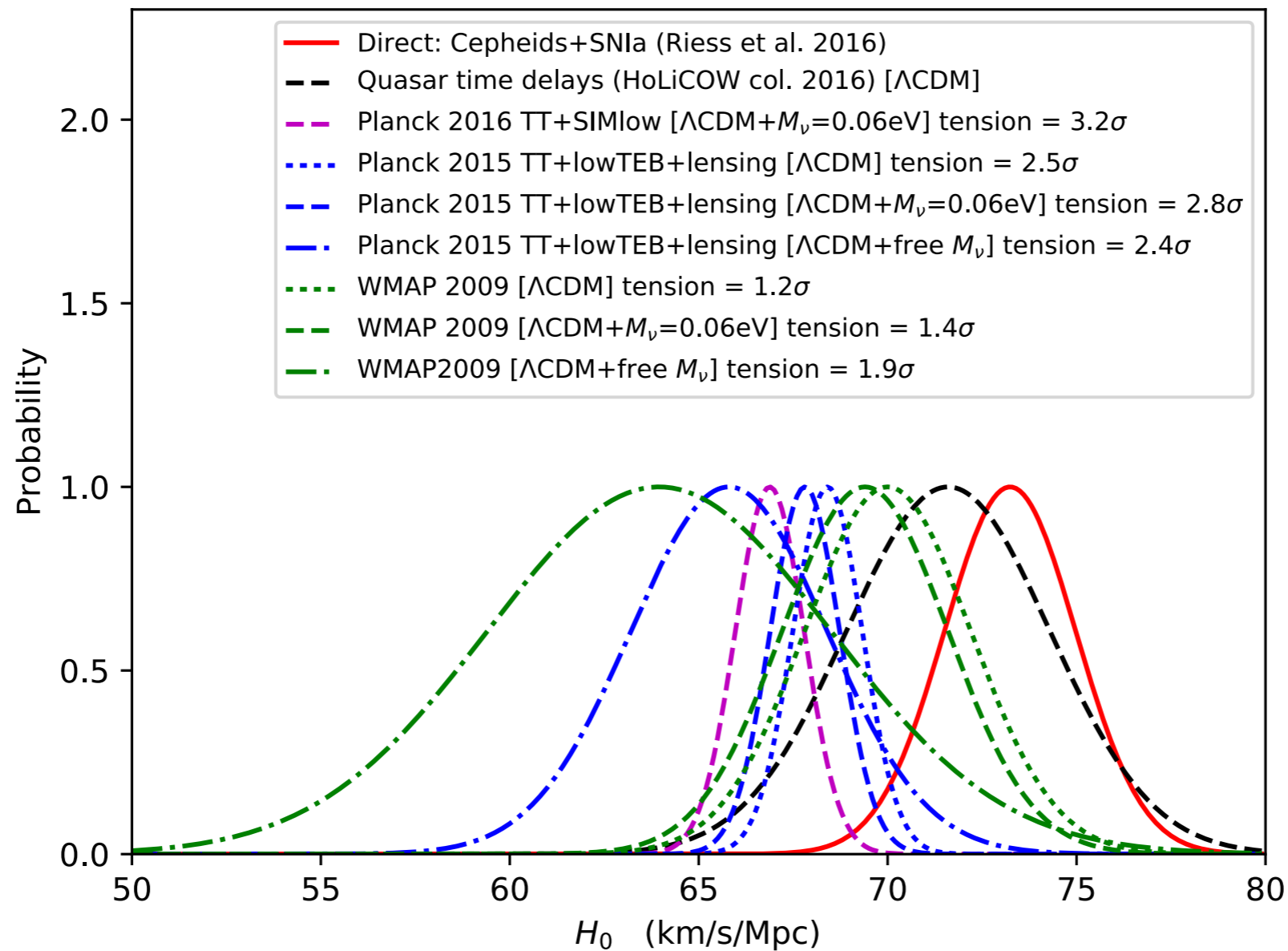
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## If tension was confirmed, most obvious extensions of $\Lambda$ CDM would not help ...

- $N_{\text{eff}} > 3$ : shift in matter-radiation equality degenerate with  $H_0$  + several other effects not degenerate with it (baryon drag, ....). CMB alone:  $N_{\text{eff}} = 3.13^{+0.29}_{-0.34}$  (68%, Planck 2015 TT+lowTEB+lensing) leaves little freedom for accommodating large  $H_0$  (and raises additional tensions)
- same with combinations of  $N_{\text{eff}}$  / neutrino masses /  $w_{\text{DE}}$  / curvature / GWs ...

?

phenomena  
governing laws

voids,  
clusters,  
distances

from 21 cm hydrogen  
Expected from visible disk  
( $\times 1000$  ly)

... tension,

lensing power spectrum

scale

spectrum

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... we would need to pay a higher price, e.g.:

- Sterile neutrinos + NSI (will see later in context of neutrino osc. anomalies; Archidiacono et al. 2016)
- DM-DR interactions (JL, Marques-Tavares, Schmaltz 2016)
- local  $H_0$  versus average expansion: does not work unless we live in a local void so underdense that it would contradict  $\Lambda$ CDM and observations

Then following bounds could change; although bounds on active neutrino masses turn out to be rather stable ungainst these models

lensing power spectrum

scale

spectrum

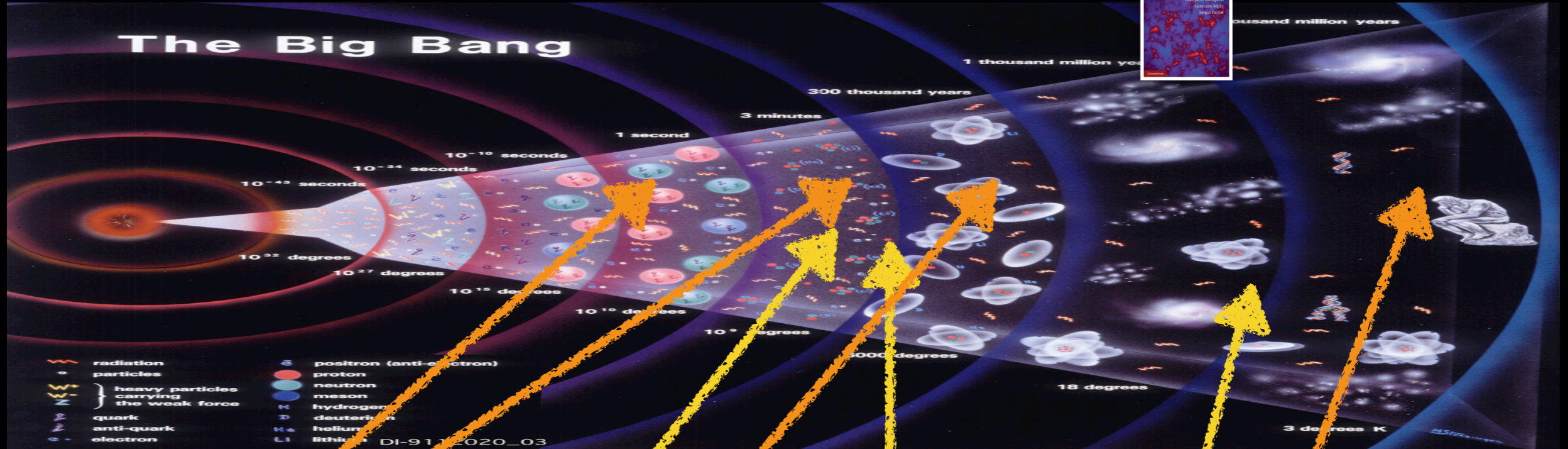
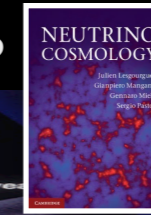
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# What neutrino effects are we testing?

Lesgourgues & Pastor Pys. Rep. 2016; Lesgourgues et al. "Neutrino Cosmology" CUP; Drewes et al. 1602.04816



relativistic **neutrino** contribution to early expansion

metric fluctuations during non-relativistic **neutrino** transition (early ISW)

non-relativistic **neutrino** contribution to late expansion rate (acoustic angular scale)

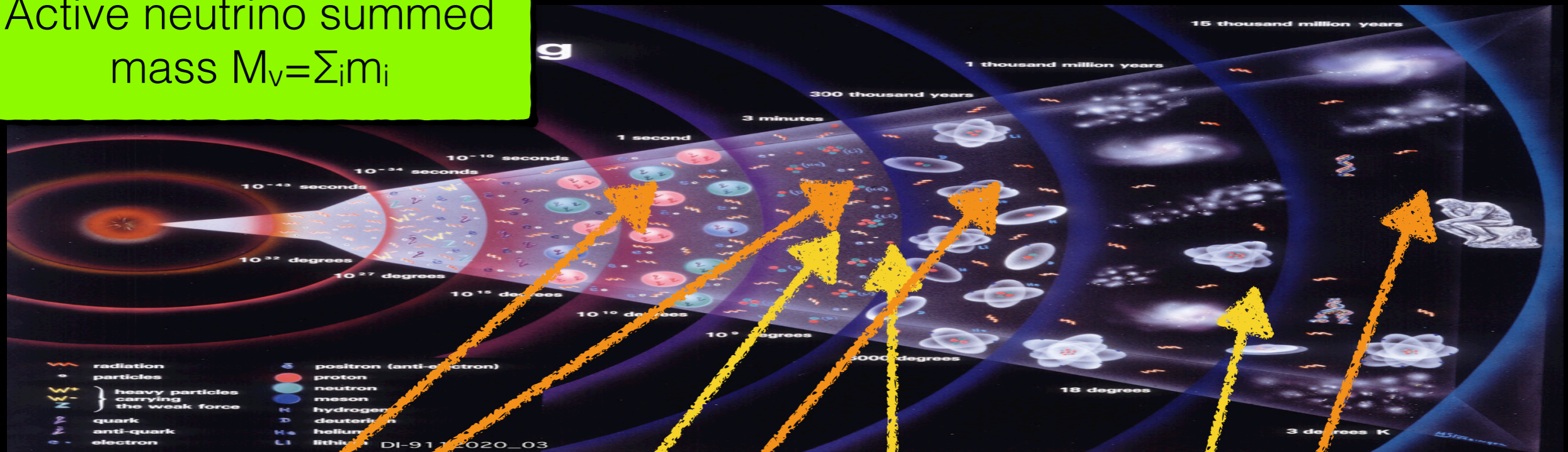
**neutrino** slow down early dark matter clustering

**neutrino** propagation and dispersion velocity

**neutrino** slow down late ordinary/dark matter clustering

# What neutrino effects are we testing?

Active neutrino summed mass  $M_\nu = \sum_i m_i$



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Model parameters / magnitude of effects on observables:

- masses of 3 mass eigenstates:  $m_1, m_2, m_3$
  - basis  $\left\{ \begin{array}{l} m_1+m_2+m_3, \\ m_3-m_1, m_3-m_2 \end{array} \right\}$   
 ( $\rho_\nu$  in recent universe).      (individual free-streaming scales)
- $\underbrace{\hspace{10em}}_{\sim 5\% (0.06\text{eV})}$        $\underbrace{\hspace{10em}}_{\sim 0.1\%}$   
 $\underbrace{\hspace{10em}}_{\sim 50\% (0.6\text{eV})}$       between deg/NH/IH

... actual bounds only on  $M_\nu = m_1+m_2+m_3$

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Active neutrino summed mass  $M_\nu = \sum_i m_i$



In standard picture (no NSI, no large  $\nu$ /anti- $\nu$  asymmetry, ...) bounds on  $M_\nu = m_1 + m_2 + m_3$  independent on:

- Flavour oscillations, mixing angles  
(because 3 p.s.d.'s nearly identical in mass/interaction basis)
- Dirac/Majorana
- CP violating phase

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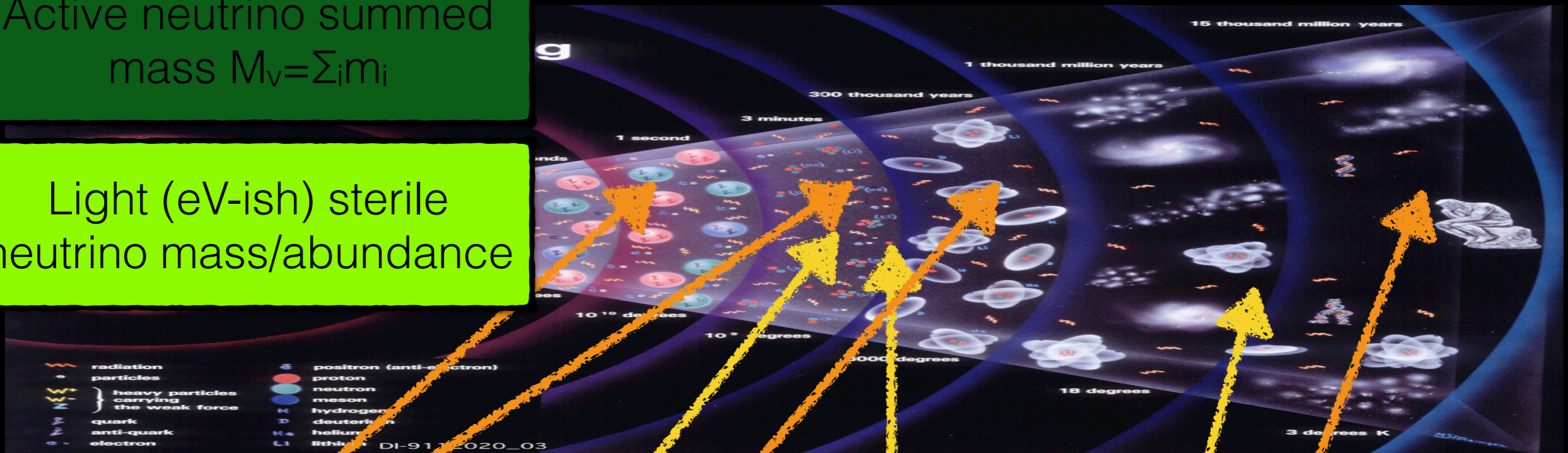
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Light (eV-ish) sterile neutrino mass/abundance



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# What neutrino effects are we testing?

Active neutrino summed mass  $M_\nu = \sum_i m_i$

Light (eV-ish) sterile neutrino mass/abundance



Theoretical model features 4 mass eigenstates...

Model-dependent bounds, depending on phase-space distribution (psd) of sterile neutrino at  $T < 1 \text{ keV}$ , expressed on two *additional* parameters *related* to:

- psd of  $\nu_4$   $\rightarrow$  more precisely  $\Delta N_{\text{eff}}$
- $m_4$   $\rightarrow$  more precisely some  $m_4^{\text{eff}}$  derived from  $\Delta \rho_{\nu_4}$

relativistic **neutrino** contribution to early expansion

metric fluctuations during non-relativistic **neutrino** transition (early ISW)

non-relativistic **neutrino** contribution to late expansion rate (acoustic angular scale)

**neutrino** slow down early dark matter clustering

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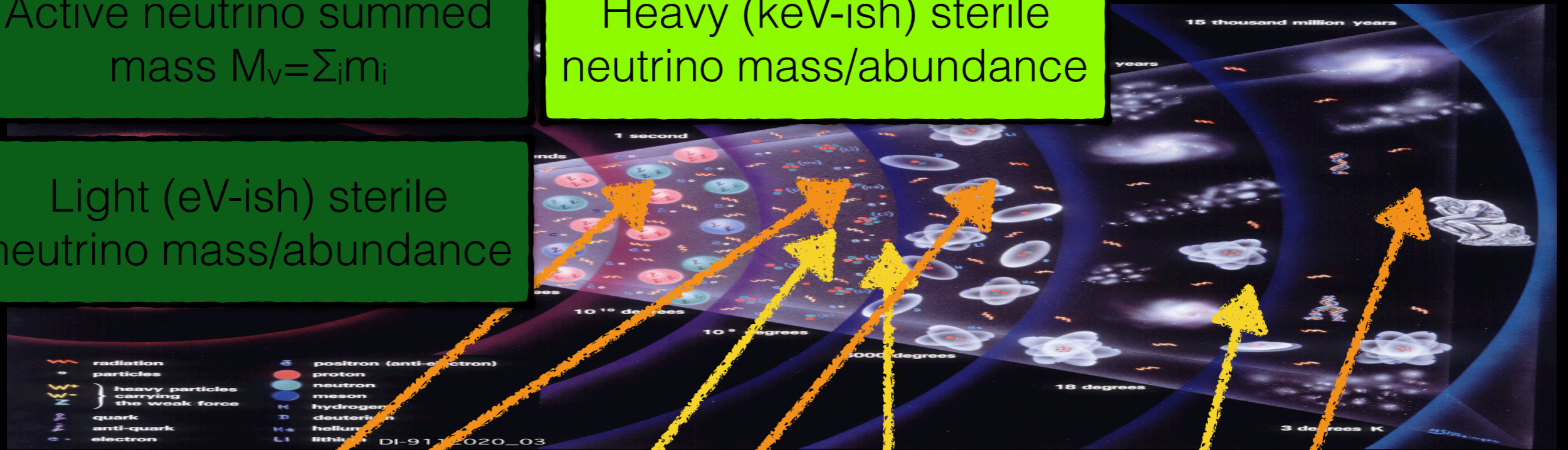
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# What neutrino effects are we testing?

Active neutrino summed mass  $M_\nu = \sum_i m_i$

Heavy (keV-ish) sterile neutrino mass/abundance

Light (eV-ish) sterile neutrino mass/abundance



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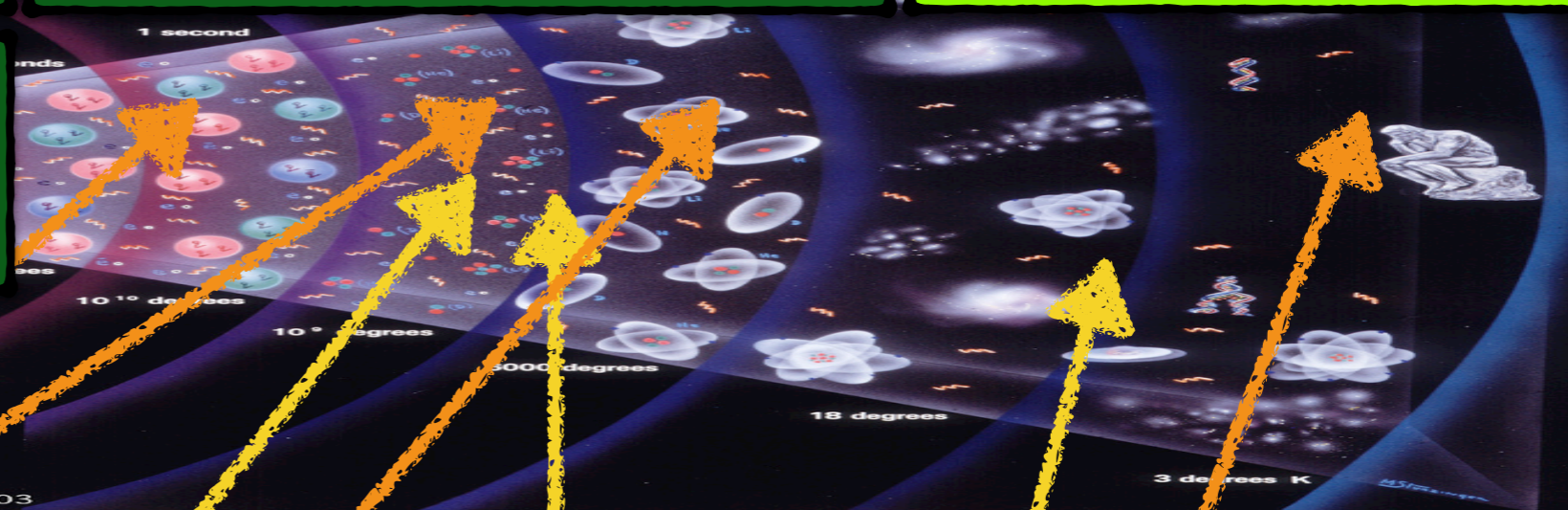
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Heavy (keV-ish) sterile neutrino mass/abundance

Active neutrino non-standard interactions

Light (eV-ish) sterile neutrino mass/abundance



$\nu$  prevented from thermalisation?

relativistic **neutrino** contribution to early expansion

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non-relativistic **neutrino** contribution to late expansion rate (acoustic angular scale)

$\nu$  decay?

**neutrino** slow down early dark matter clustering

**neutrino** propagation and dispersion velocity

**neutrino** slow down late ordinary/dark matter clustering

$\nu$  scatter on DM

$\nu$  recouple like fluid?

$\nu$  scatter on DM

# Which observables are we talking about?

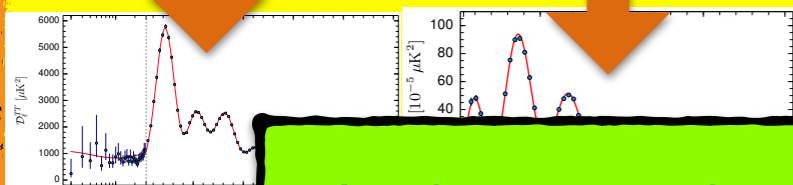
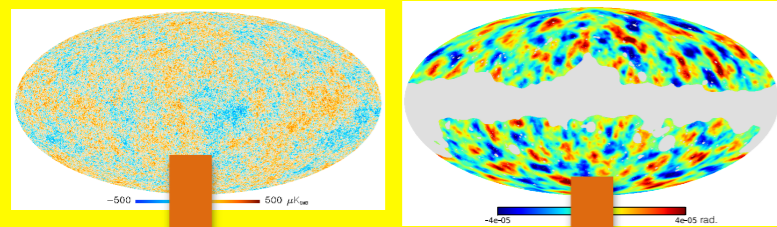
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CMB

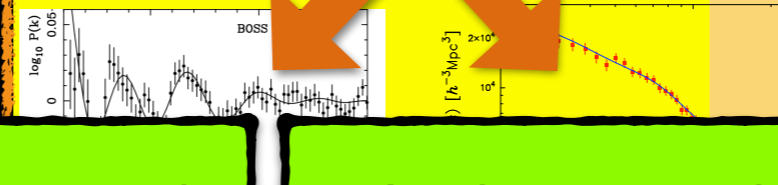
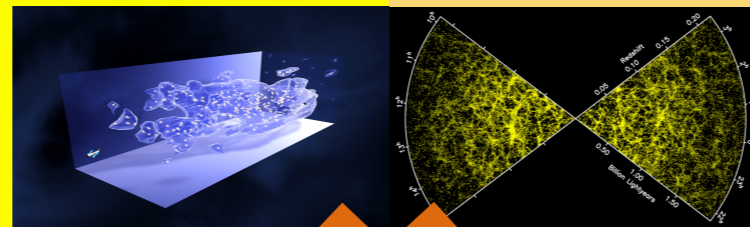


temperature  
lensing

Active neutrino summed mass  $M_V = \sum_i m_i$

Light (eV-ish) sterile neutrino mass/abundance

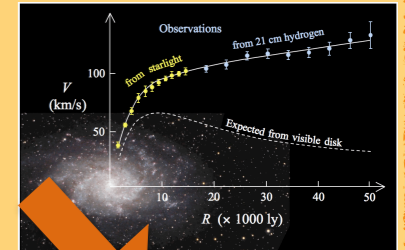
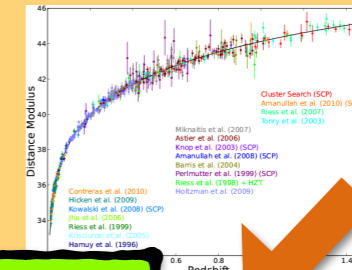
Large Scale Structure



Active neutrino non-standard interactions

Heavy (keV-ish) sterile neutrino mass/abundance

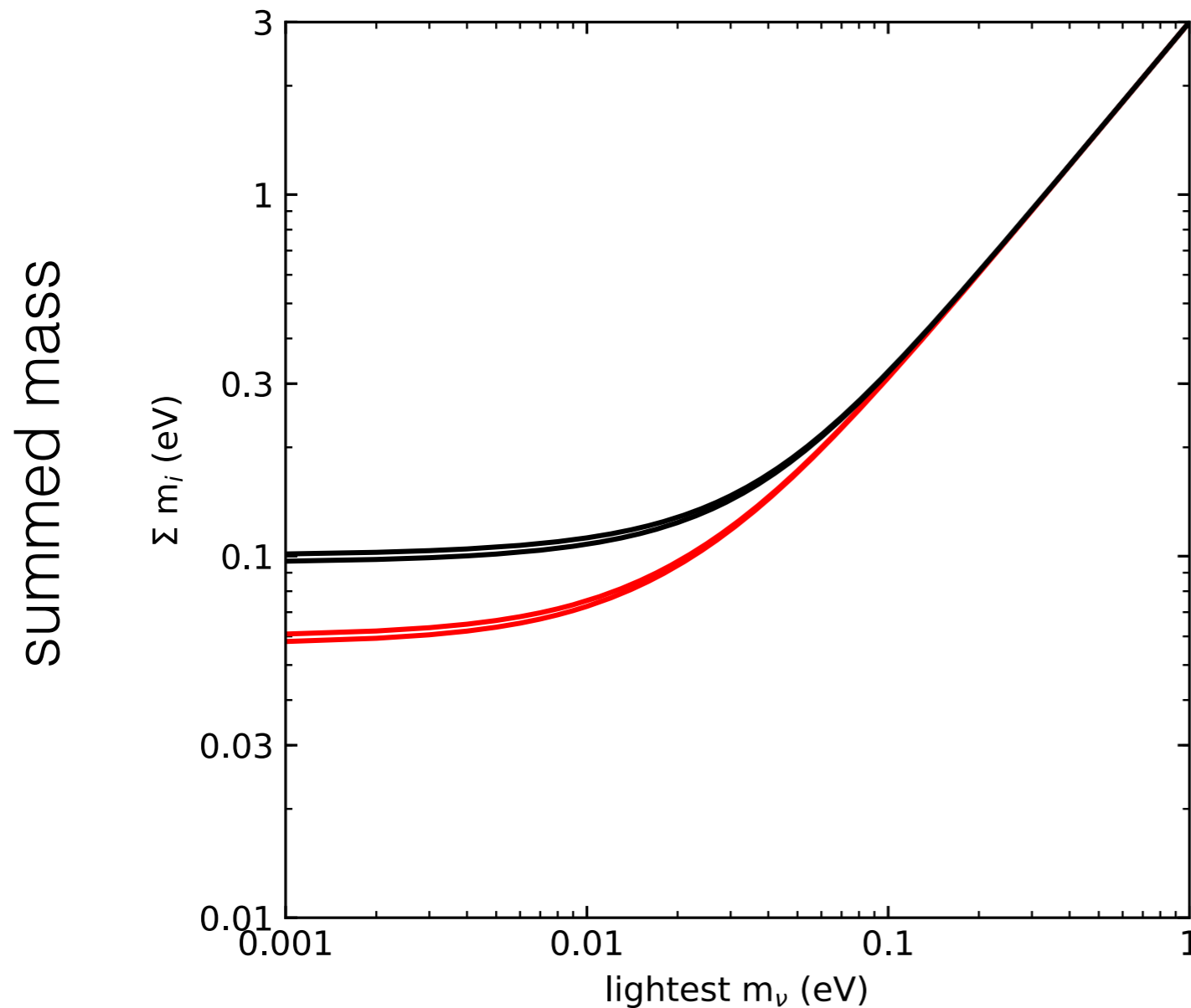
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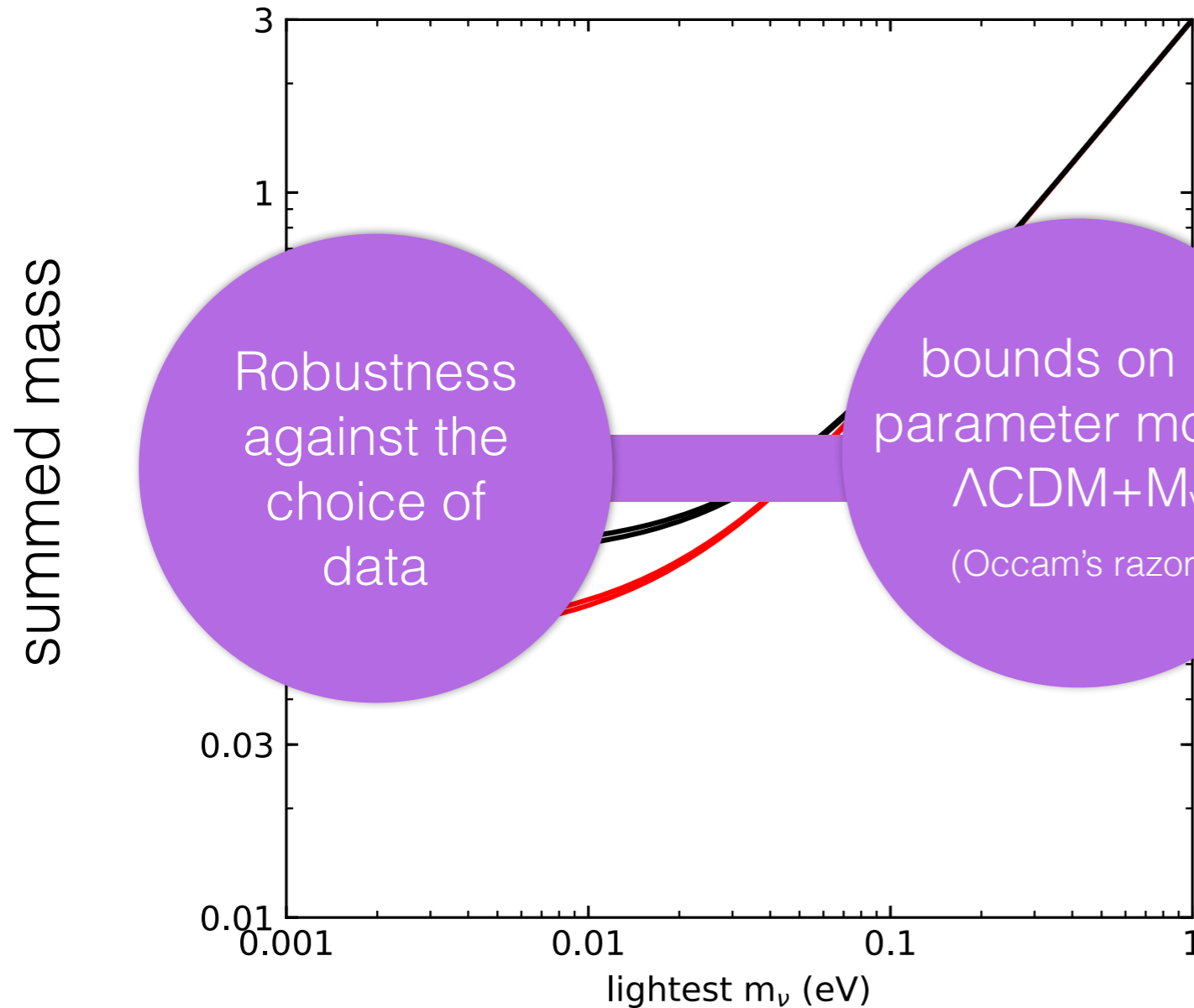
# Summed mass of active neutrinos

95%CL upper bounds on  $\Sigma_i m_i$



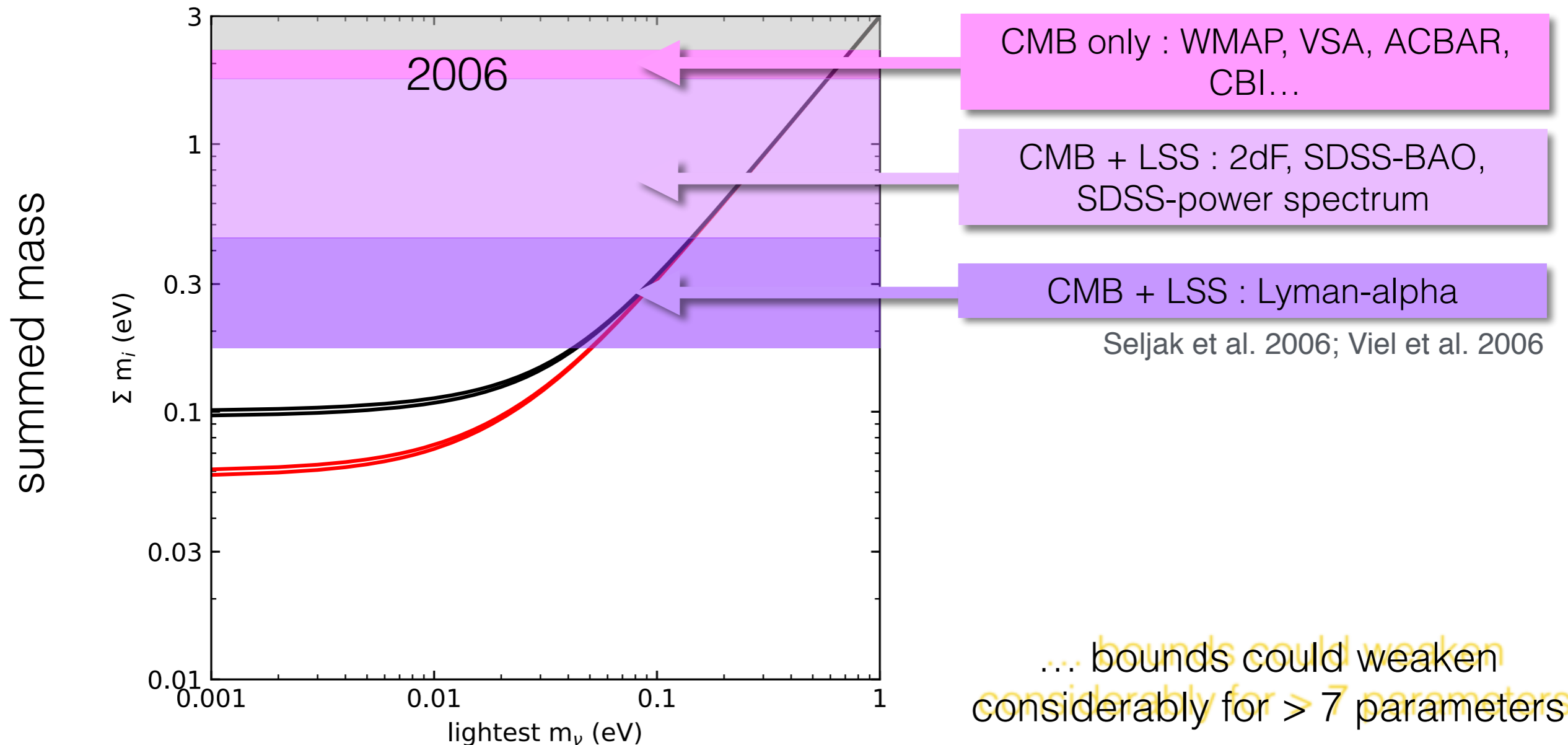
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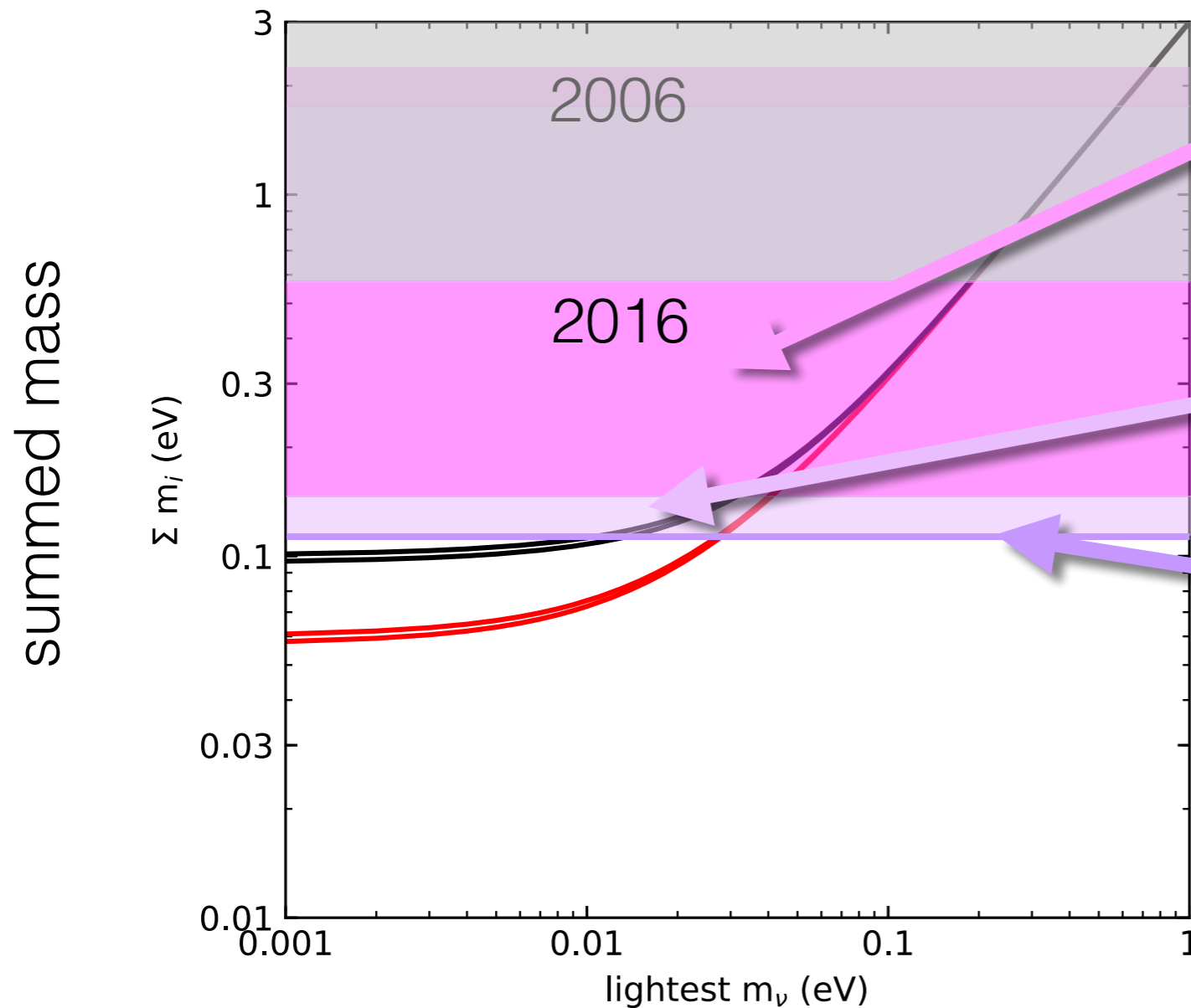
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95%CL upper bounds on  $\Sigma_i m_i$  for 7 parameters



# Summed mass of active neutrinos

95%CL upper bounds on  $\Sigma_i m_i$  for 7 parameters



CMB only: Planck,  
w/o high- $l$  polarisation and lensing...  
 $\Sigma_i m_i < 590$  to  $140$  meV (95%CL)

CMB + LSS :

- Planck 2016 {TT+SIMLow+lensing} + BAO:  
 $\Sigma_i m_i < \mathbf{170}$  meV (95%CL)
- Planck 2016 {TTTEEE+SIMLow} + BAO:  
 $\Sigma_i m_i < \mathbf{120}$  meV (95%CL)

- Planck 2015 + Lyman- $\alpha$ :  
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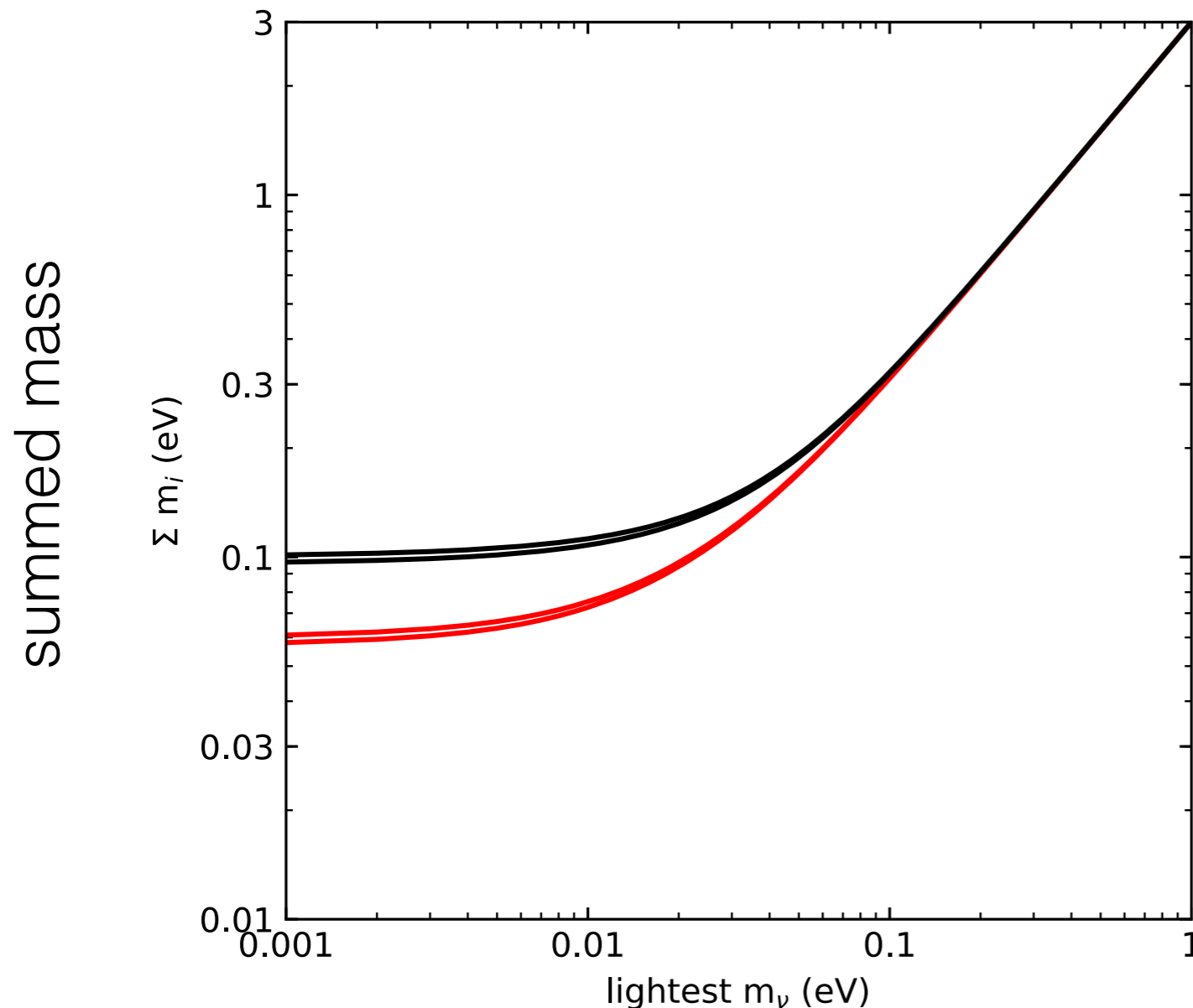
[Planck col.] 1605.02985; Cuesta et al. 2016;  
Palanque-Delabrouille et al. 1506.05976;  
Vagnozzy et al. 1701.08172

... harder to avoid bounds with  
simple cosmological model  
extensions



# Summed mass of active neutrinos

95%CL upper bounds on  $\Sigma_i m_i$  beyond 7 parameters



Usual suspects:

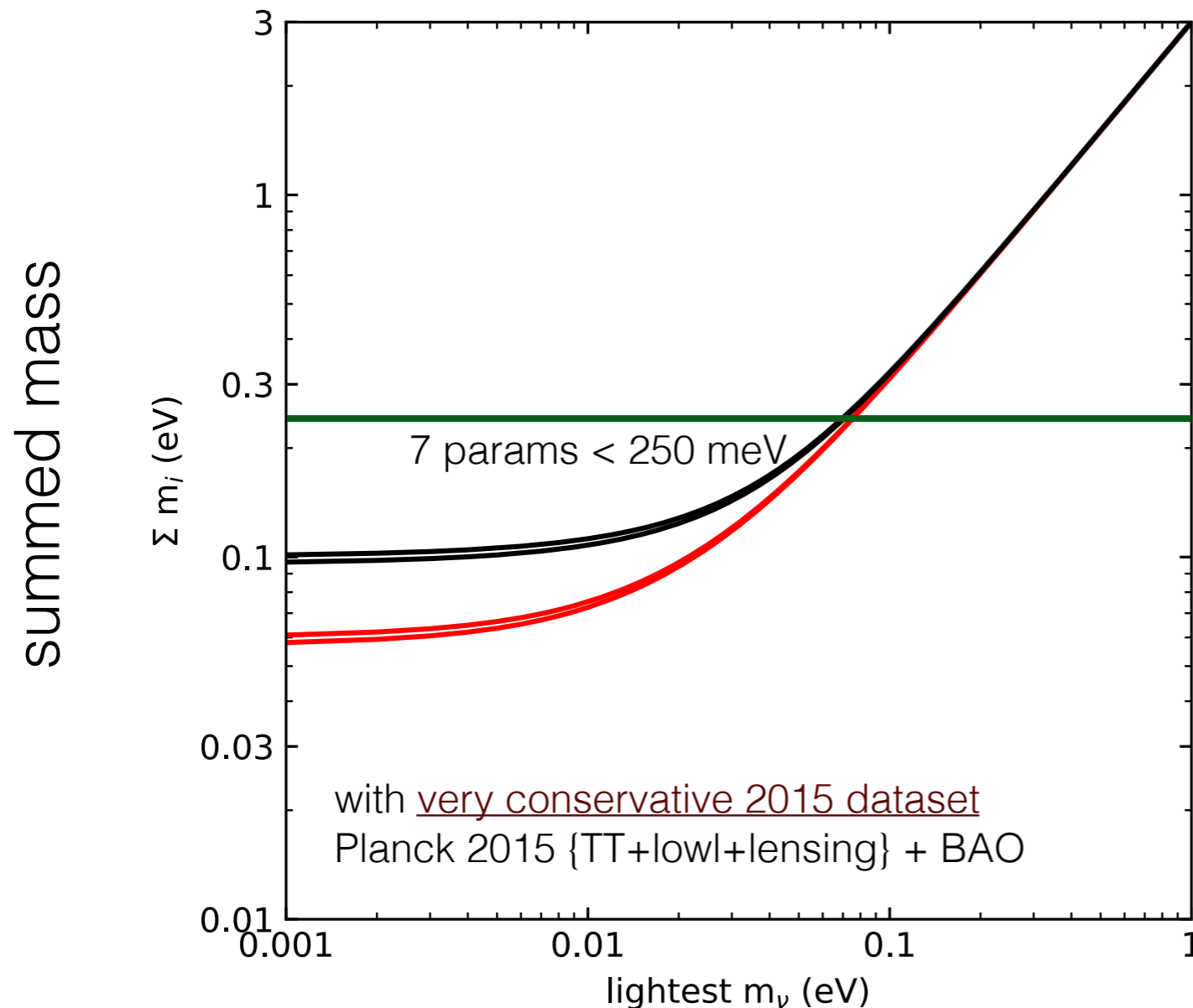
- extra massless relics
- extra light relics
- spatial curvature
- simplest dynamical DE
- primordial GWs
- primordial tilt running

Even more freedom in:

- modified Einstein Gravity
- interactions in DM sector
- primordial perturbations

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95%CL upper bounds on  $\Sigma_i m_i$  beyond 7 parameters



[Planck col.] 1502.01589;

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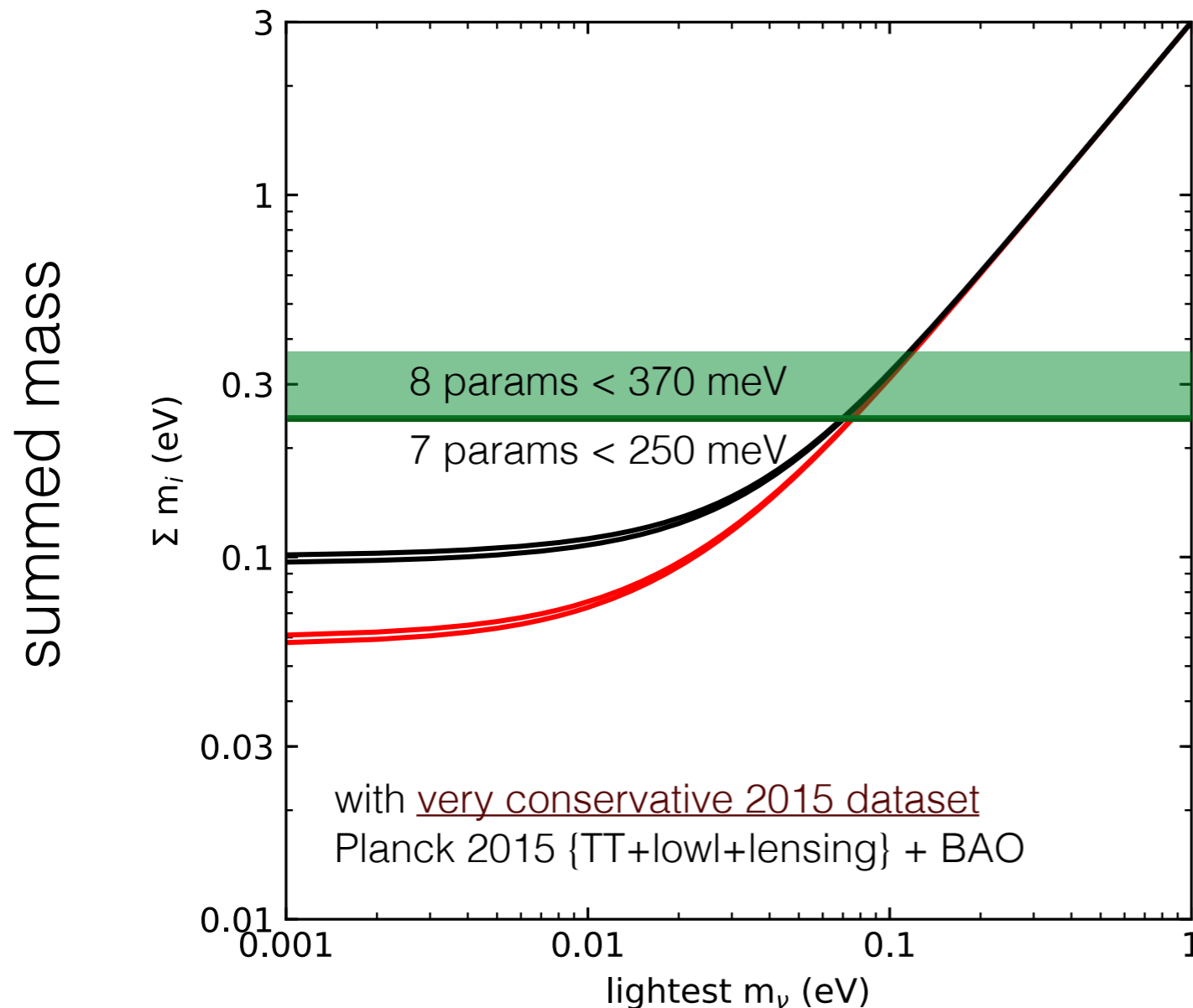
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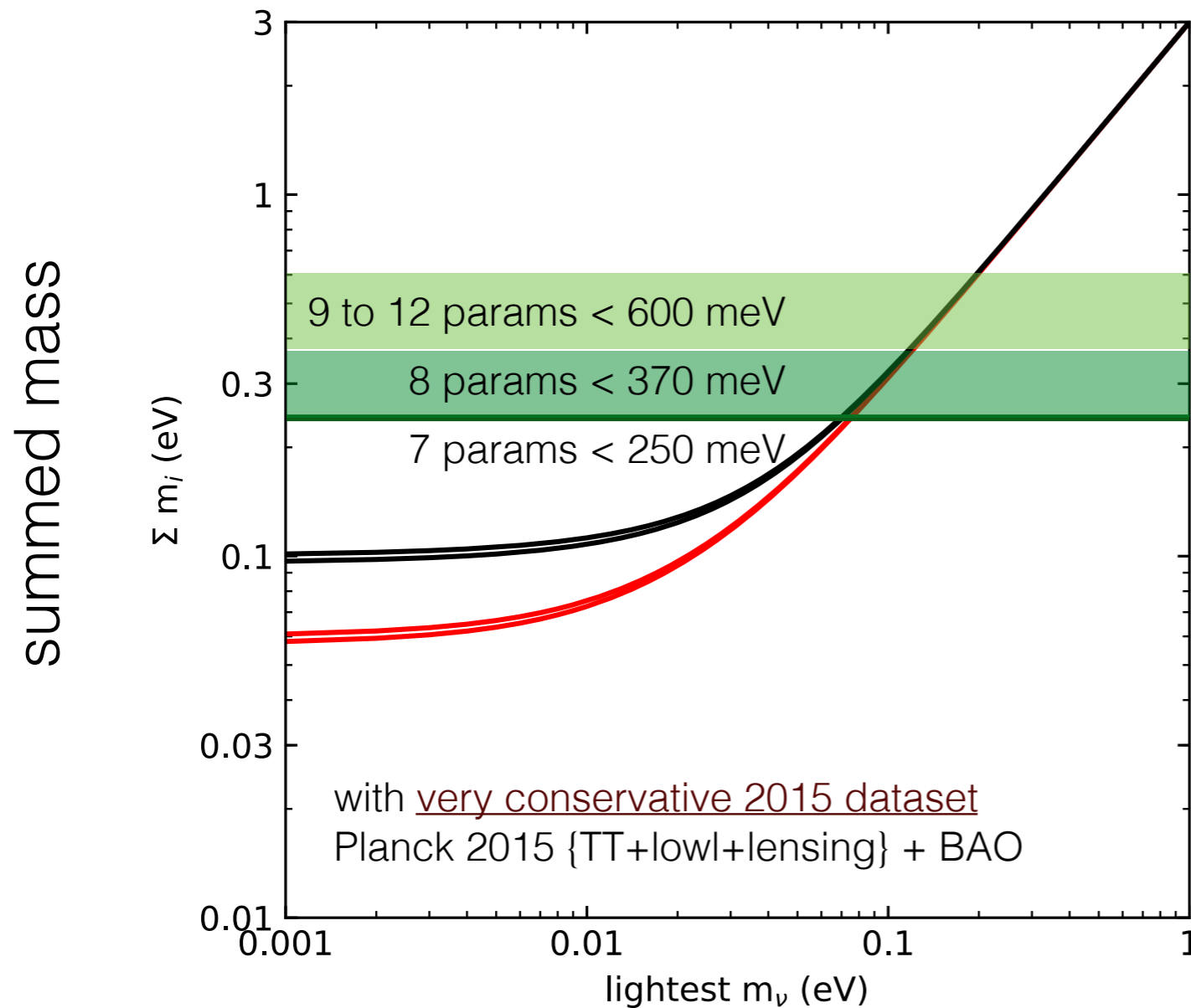
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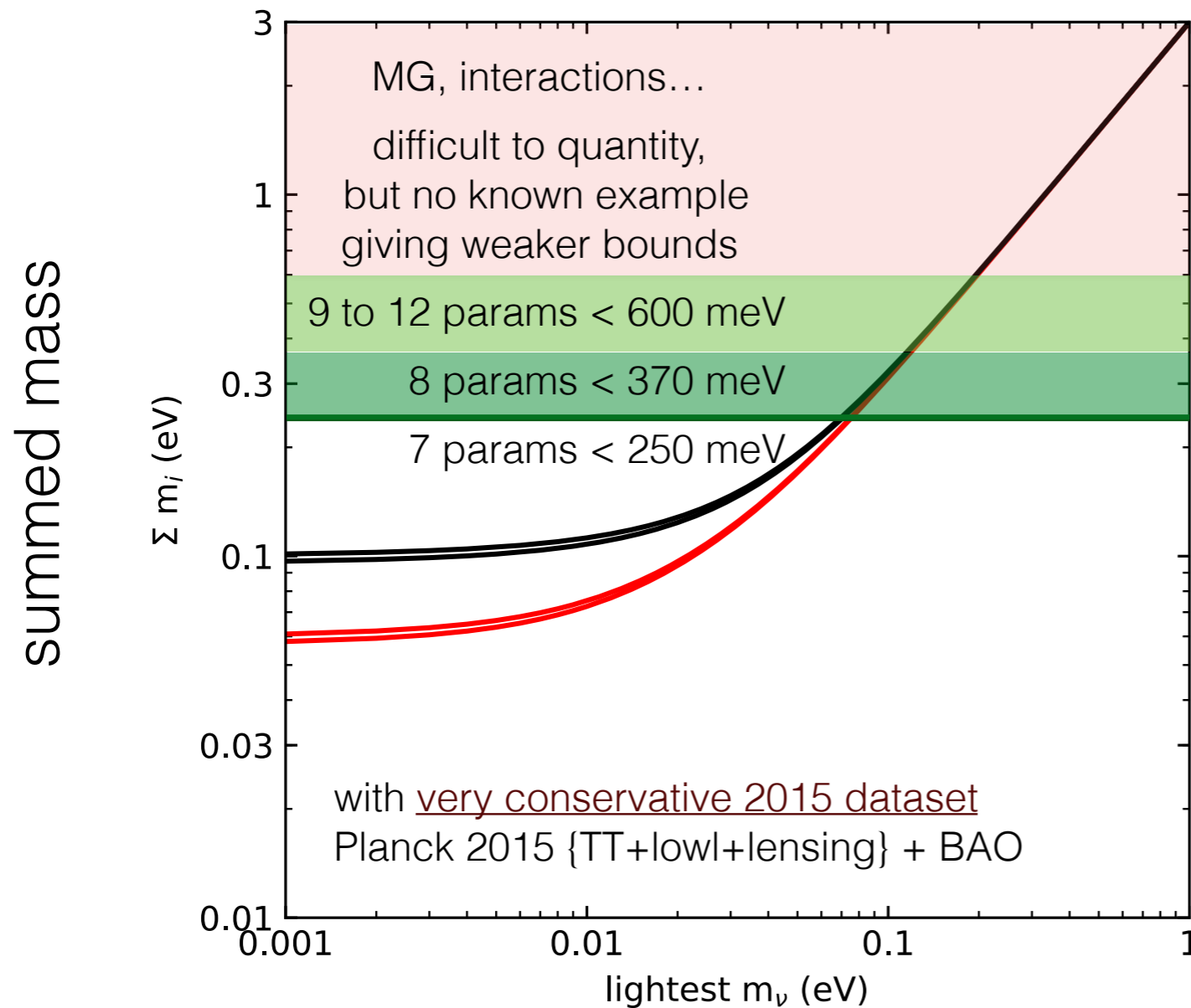
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[Planck col.] 1502.01589; Di Valentino et al. 1507.06646

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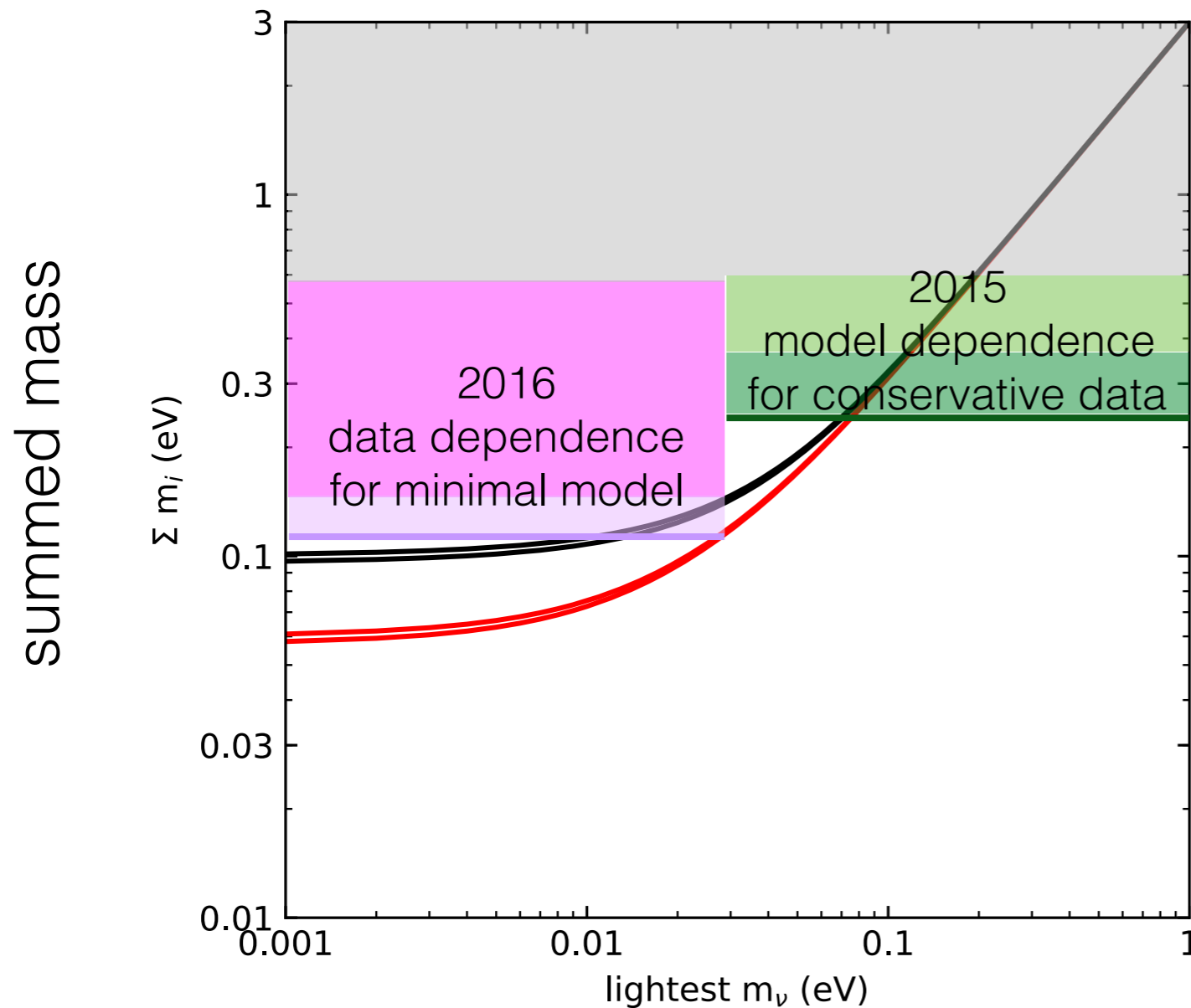
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[Planck col.] 1502.01589; Di Valentino et al. 1507.06646

# Summed mass of active neutrinos

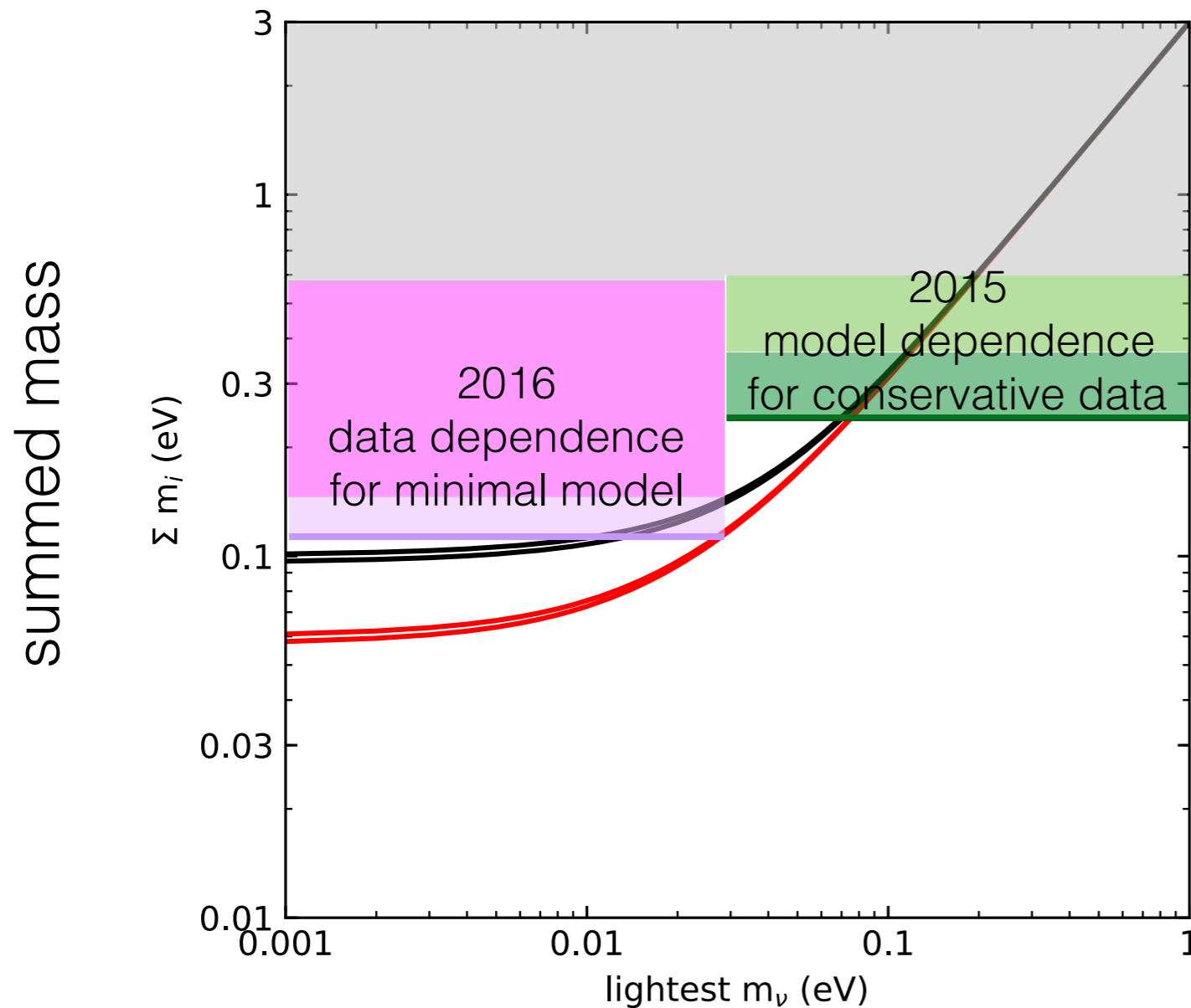
95%CL upper bounds on  $\Sigma_i m_i$



[Planck col.] 1502.01589; Di Valentino et al. 1507.06646

# Summed mass of active neutrinos

95%CL upper bounds on  $\Sigma_i m_i$



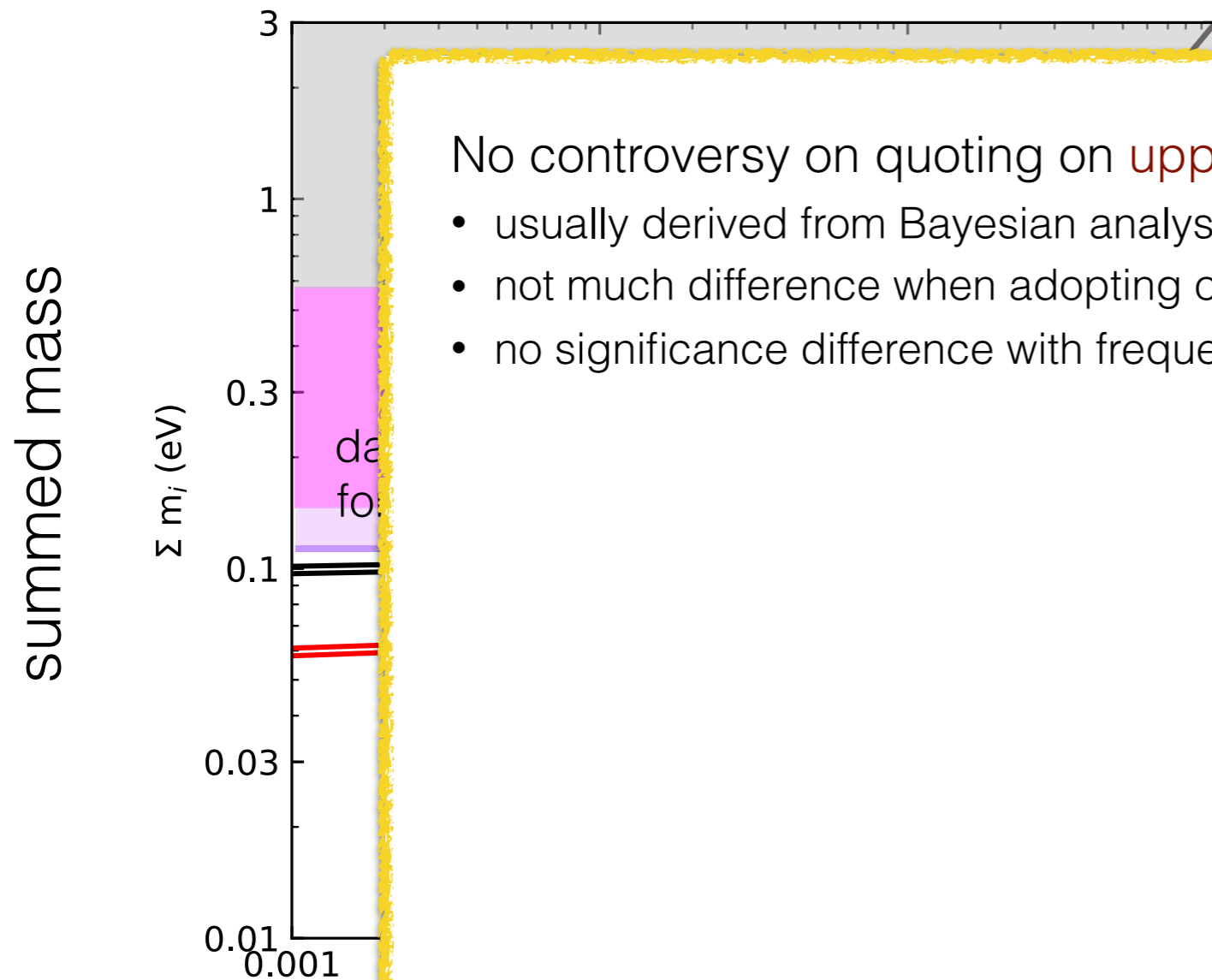
What about dependence on methodology/statistics ?

[Planck col.] 1502.01589; Di Valentino et al. 1507.06646

# Summed mass of active neutrinos

95%CL upper bounds on  $\Sigma_i m_i$

What about dependence on methodology/statistics?



No controversy on quoting on **upper cosmological bounds on  $\Sigma_i m_i$** :

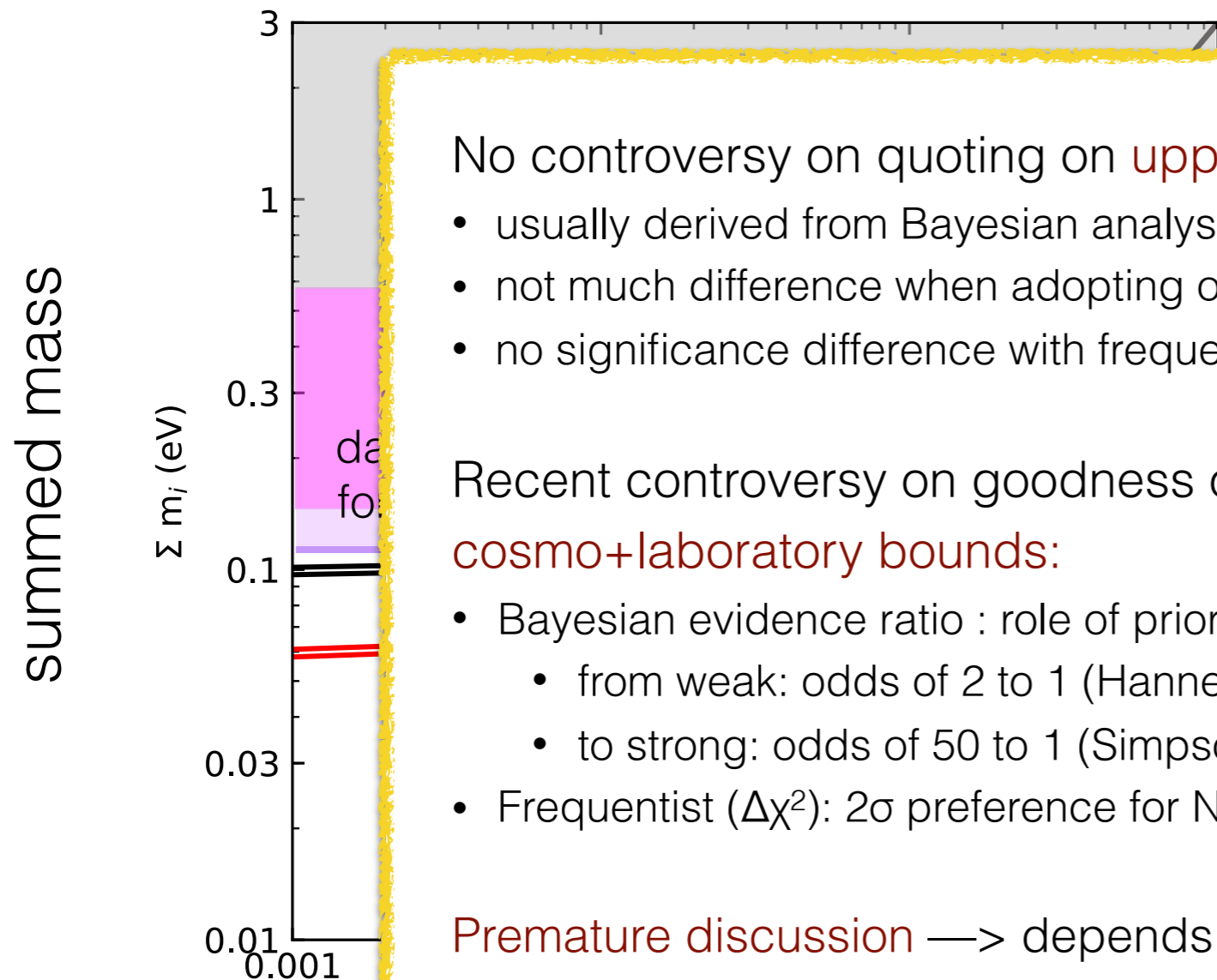
- usually derived from Bayesian analysis with flat prior on  $\Sigma_i m_i > 0$
- not much difference when adopting other priors (e.g. flat  $> 0.06\text{eV}$  or  $0.11\text{eV}$ )
- no significance difference with frequentist bounds

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# Summed mass of active neutrinos

95%CL upper bounds on  $\Sigma_i m_i$



What about dependence on methodology/statistics?

No controversy on quoting on **upper cosmological bounds on  $\Sigma_i m_i$** :

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- not much difference when adopting other priors (e.g. flat  $> 0.06\text{eV}$  or  $0.11\text{eV}$ )
- no significance difference with frequentist bounds

Recent controversy on goodness of fit of NH versus IH with **joint cosmo+laboratory bounds**:

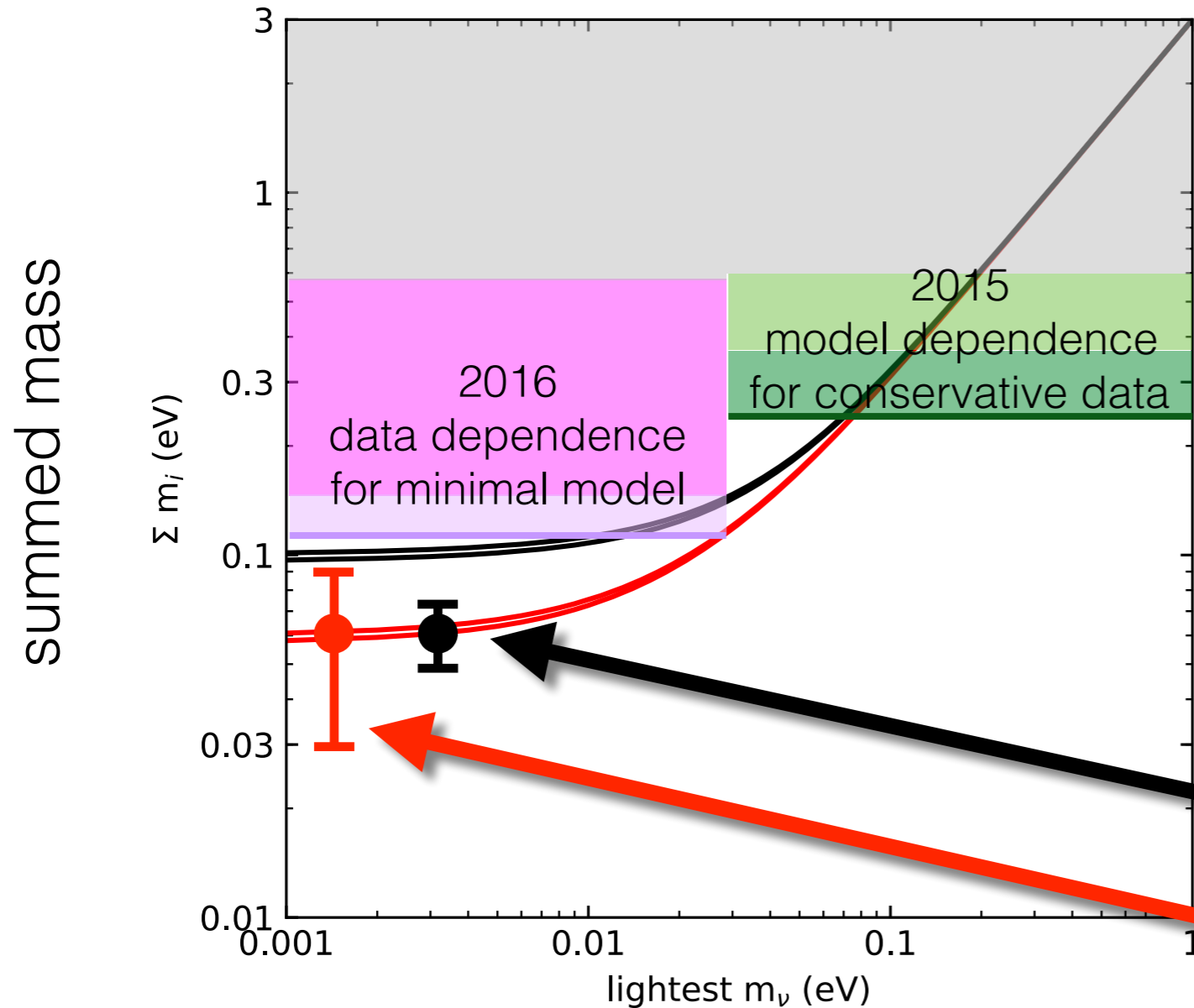
- Bayesian evidence ratio : role of priors is important; depending on methodology:
  - from weak: odds of 2 to 1 (Hannestad & Schwetz 1606.04691)
  - to strong: odds of 50 to 1 (Simpson et al. 1703.03425)
- Frequentist ( $\Delta\chi^2$ ):  $2\sigma$  preference for NH (Capozzi et al. 1703.04471)

**Premature discussion**  $\rightarrow$  depends too much on underlying cosmology & datasets (e.g.  $<0.120\text{meV}$  vs.  $<0.170\text{meV}$  makes crucial difference)

646

# Summed mass of active neutrinos

**FUTURE LSS:**  $1\sigma$  forecast errors on  $\Sigma_i m_i$



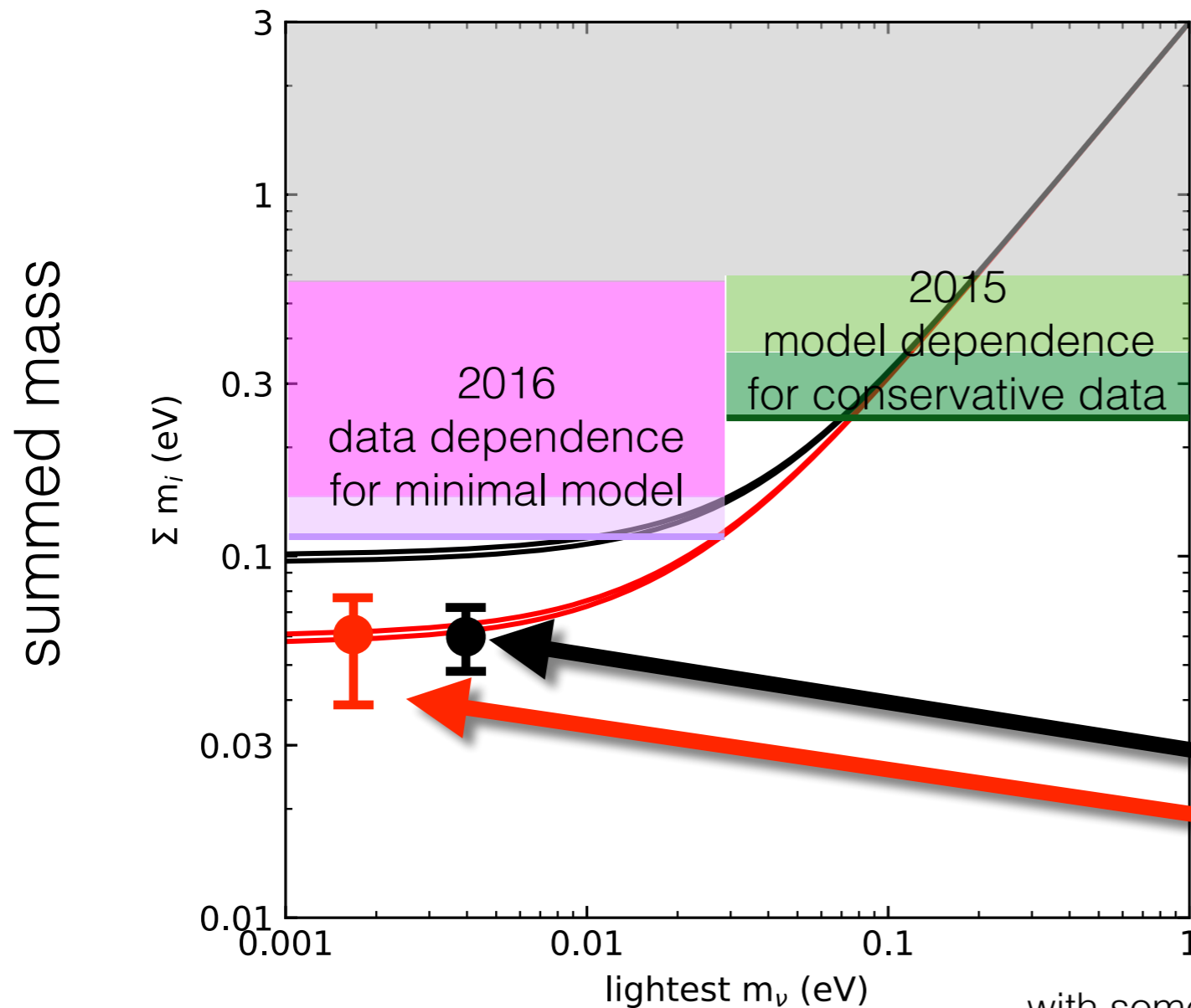
DES	2013-2018	Ground
eBOSS	2014-2020	Ground
DESI	2018-2022	Ground
Euclid	2019-...	Space
wFIRST	2020-...	Space
LSST	2023-...	Ground
SKA	1:2018-2023 2:2023-2030	Ground

Planck + next generation LSS :  
DES, DESI, Euclid, LSST, wFIRST, SKA  
 **$60 \rightarrow 14$  meV (7 params)**  
 $\sigma$  { up to 4x worse (complicated models)

e.g. Font-Ribera et al. 1308.4164

# Summed mass of active neutrinos

**FUTURE CMB:**  $1\sigma$  forecast errors on  $\Sigma_i m_i$



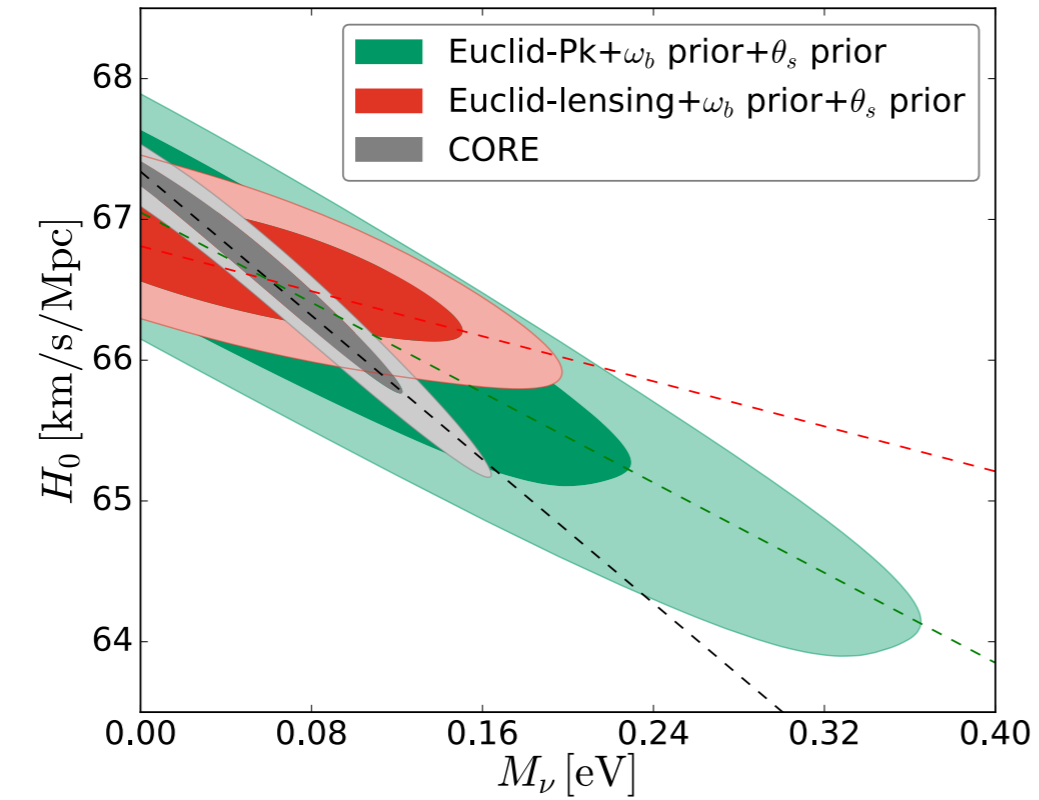
CMB-Stage III, IV : no better numbers but gain in robustness (e.g.  $\sigma \sim 15\text{meV}$  from S-IV+DESI only) Need large angles ( $\tau$  degeneracy)...

CORE + next generation LSS : DES, DESI, Euclid, LSST, wFIRST, SKA  
**40  $\rightarrow$  12 meV (7 params + ...)**  
 up to 2x worse (complicated models)

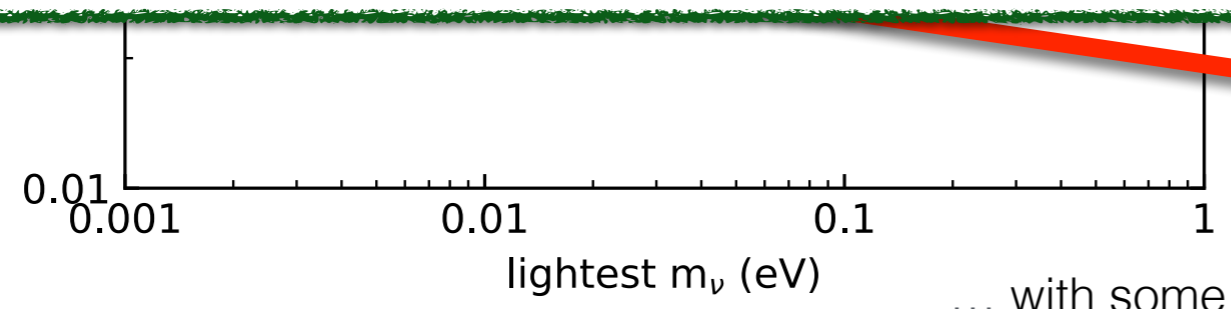
e.g. Brinckmann et al. 1612.00021

... with some uncertainty on optical depth determination by SKA

# Combination of CMB and various LSS probes remove degeneracies



Full-sky CMB experiment and SKA 21cm survey crucial to avoid degeneracy with optical depth  
 Archidiacono, Brinckmann, JL, Poulin 2016



# Active neutrinos

CMB-Stage III, IV : no better numbers but gain in robustness  
 (e.g.  $\sigma \approx 15\text{meV}$  from S-IV+DESI only)  
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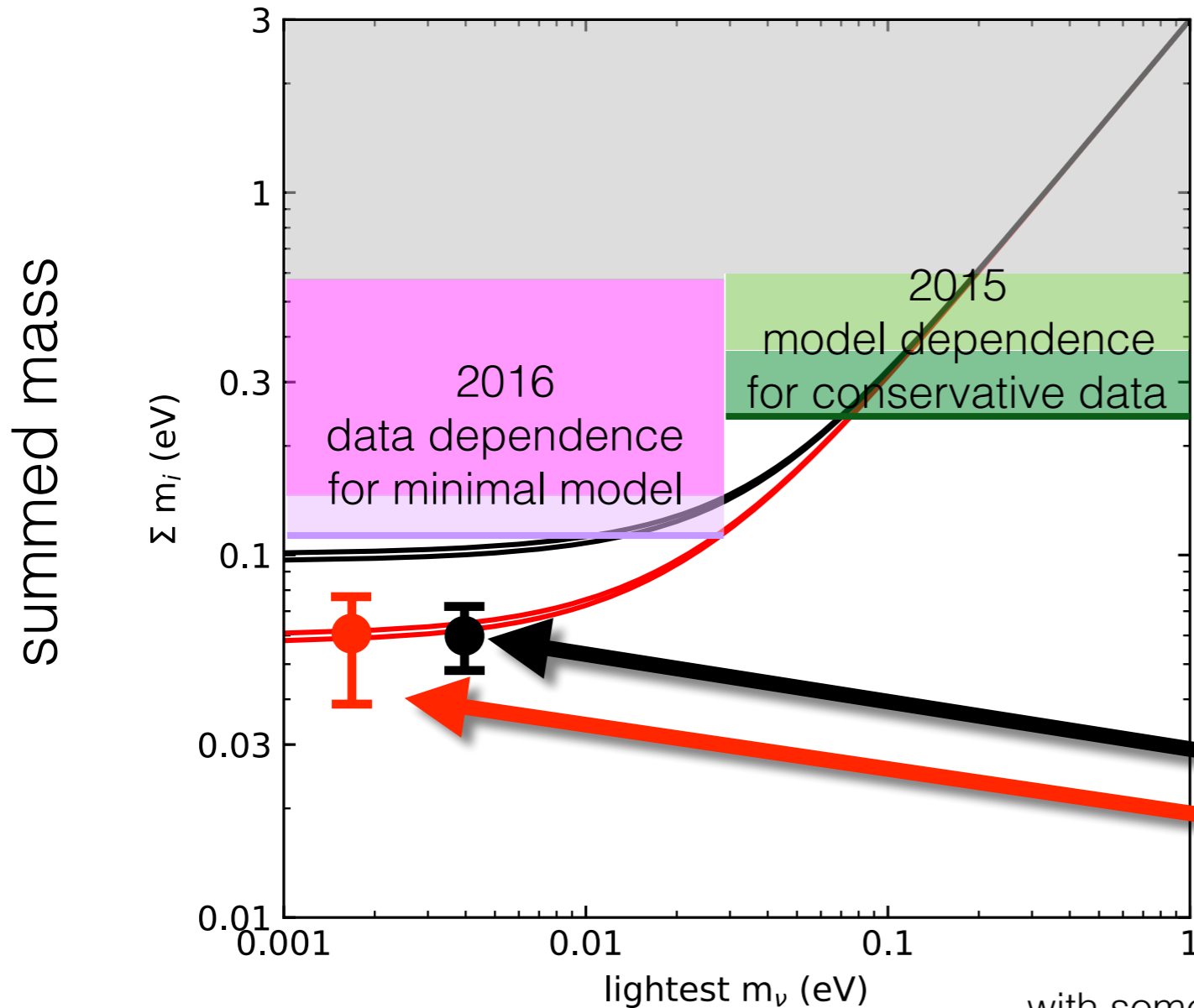
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... with some uncertainty on optical depth determination by SKA

FU

# Summed mass of active neutrinos

**FUTURE CMB:**  $1\sigma$  forecast errors on  $\Sigma_i m_i$



**5 $\sigma$  detection of  $M_\nu$  possible**  
 even if  $M_\nu = 60$  meV, but only  
 for minimal  $\Lambda$ CDM+ $M_\nu$  model

CMB-Stage III ... better numbers  
 but gain in robustness  
 (e.g.  $\sigma \sim 15$ meV from S-IV+DESI only)  
 Need large angle  $\tau$  degeneracy)...

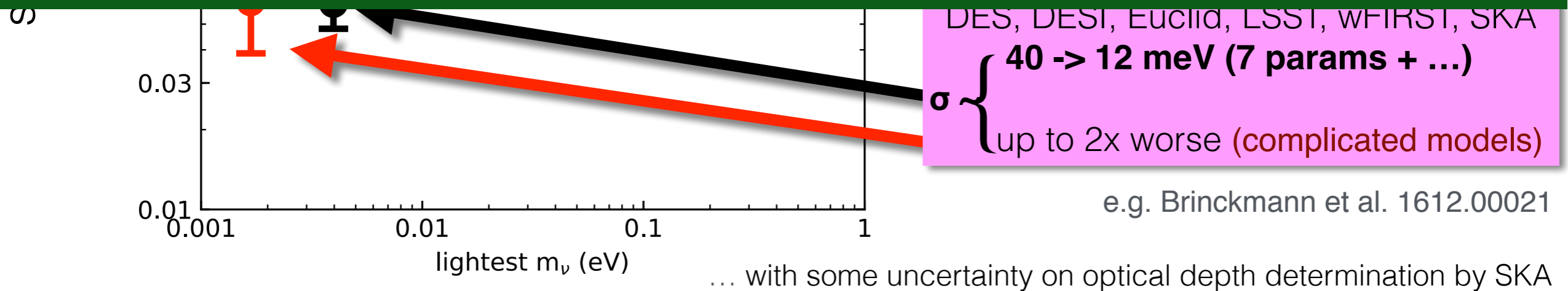
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# Summed mass of active neutrinos

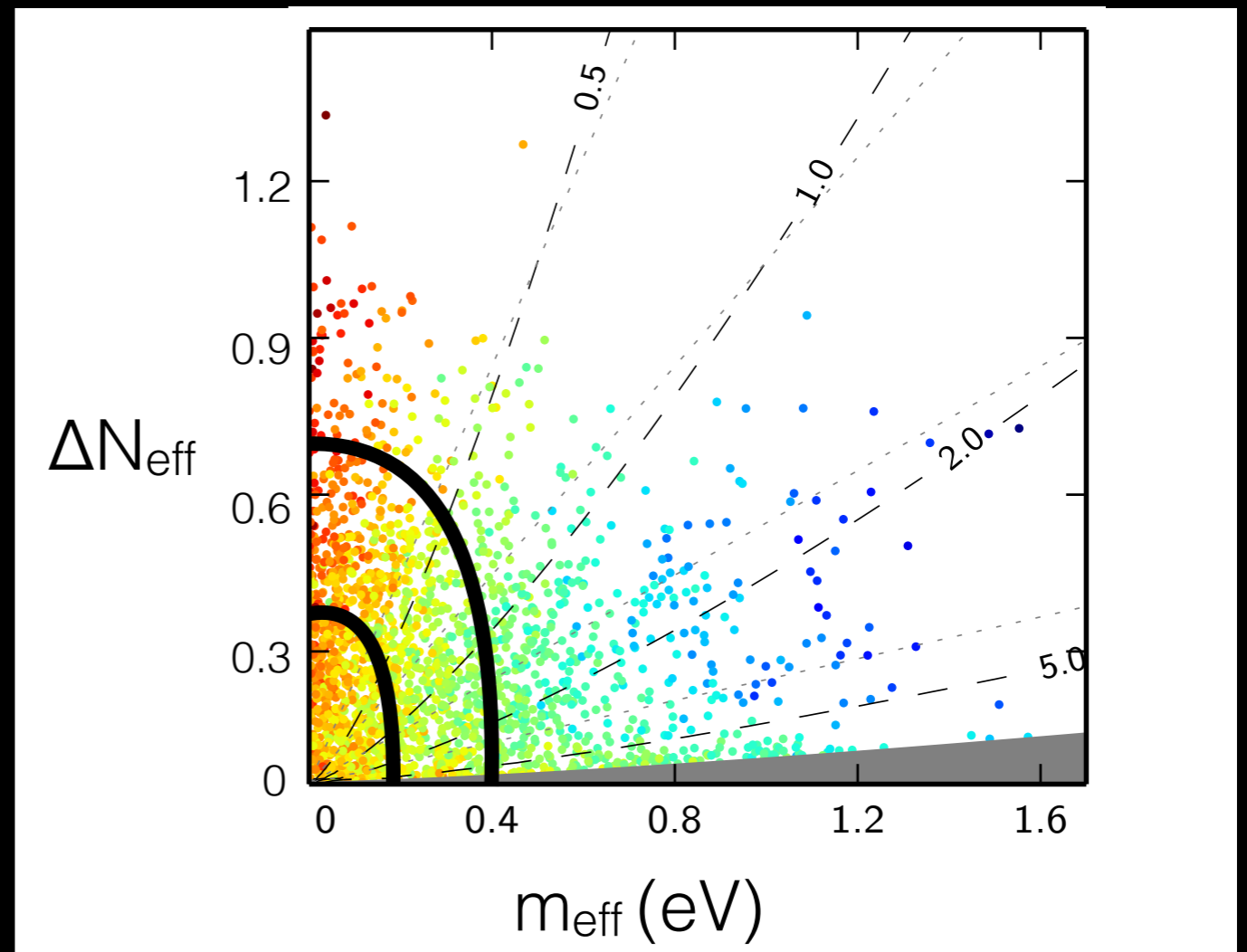
- at first sight much more sensitive than many  $\beta$ - and double- $\beta$ - decay (KATRIN, GERDA, ...), but indirect probe of a **different parameter** with **several assumptions**
- cannot be disappointing: given exquisite sensitivities, **non-detection** or **discrepancy with  $\beta$ - decay** would require **major change of paradigm** on the late time behaviour of the **cosmological model** (new physics to describe structure formation: MG, non-standard particle interactions) or on **neutrino physics** (decaying neutrinos, mass from coupling with varying scalar, NSI, etc.)



# Extra relics (small mass case)

**Current and future bounds** on one early-decoupled or non-thermalized extra light species (e.g.  $\nu_4$  of 3+1 scenario, abusively called "sterile neutrino")

Effective density parameters	Planck 2015 (TT+lowP+lensing) + BAO
$\Delta N_{\text{eff}}$ (extra contribution to density <i>before</i> NR transition)	$< 0.7$ (95%CL)
$m_{\text{eff}}$ (extra contribution to density <i>after</i> NR transition)	$< 400$ meV (95%CL)

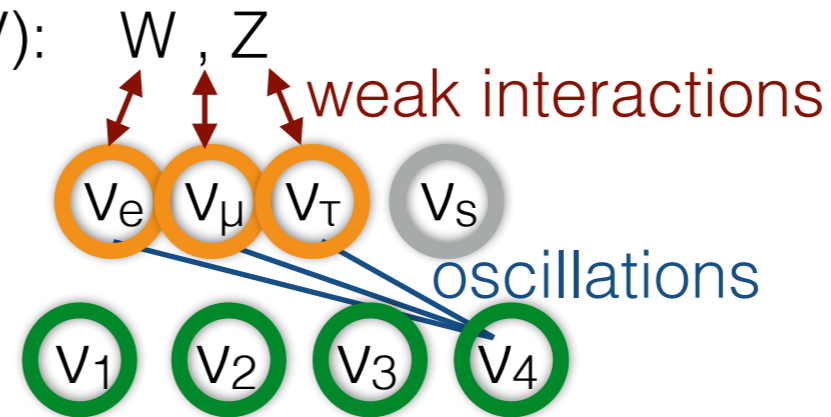


For Dodelson-Widrow neutrinos, physical mass  $m = m_{\text{eff}}/\Delta N_{\text{eff}}$

# Extra relics (small mass case)

Connection with neutrino reactor/SBL oscillation anomaly: 3+1 scenario:

- before active  $\nu$  decoupling ( $T \sim 1\text{MeV}$ ):
- weak interaction basis:
- mass basis :
- $\sin^2 2\theta \sim 0.05 \longrightarrow$  thermalisation:  $\sim$  same p.s.d for  $\nu_1, \nu_2, \nu_3, \nu_4$   
e.g. Hannestad, Tambora & Tram 2012; Bridle et al. 1607.00032

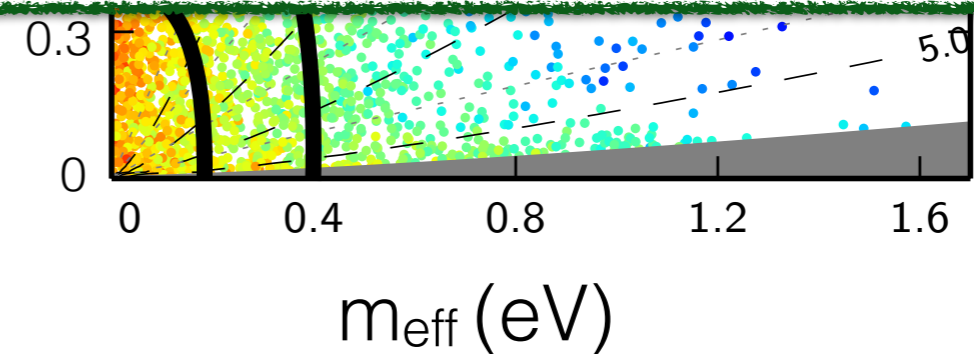


Cosmological model:

- $\Lambda\text{CDM}$  with 4 light thermalised species with  $\Delta N_{\text{eff}}=1$ ,  $m_{\text{eff}} = m_4$
- Data probes  $[m_1+m_2+m_3+m_4]$ , but bounds on  $m_{\text{eff}}$  can be reported:

$m_{\text{eff}}$  (extra contribution to density after NR transition)

$< 400 \text{ meV}$   
(95%CL)



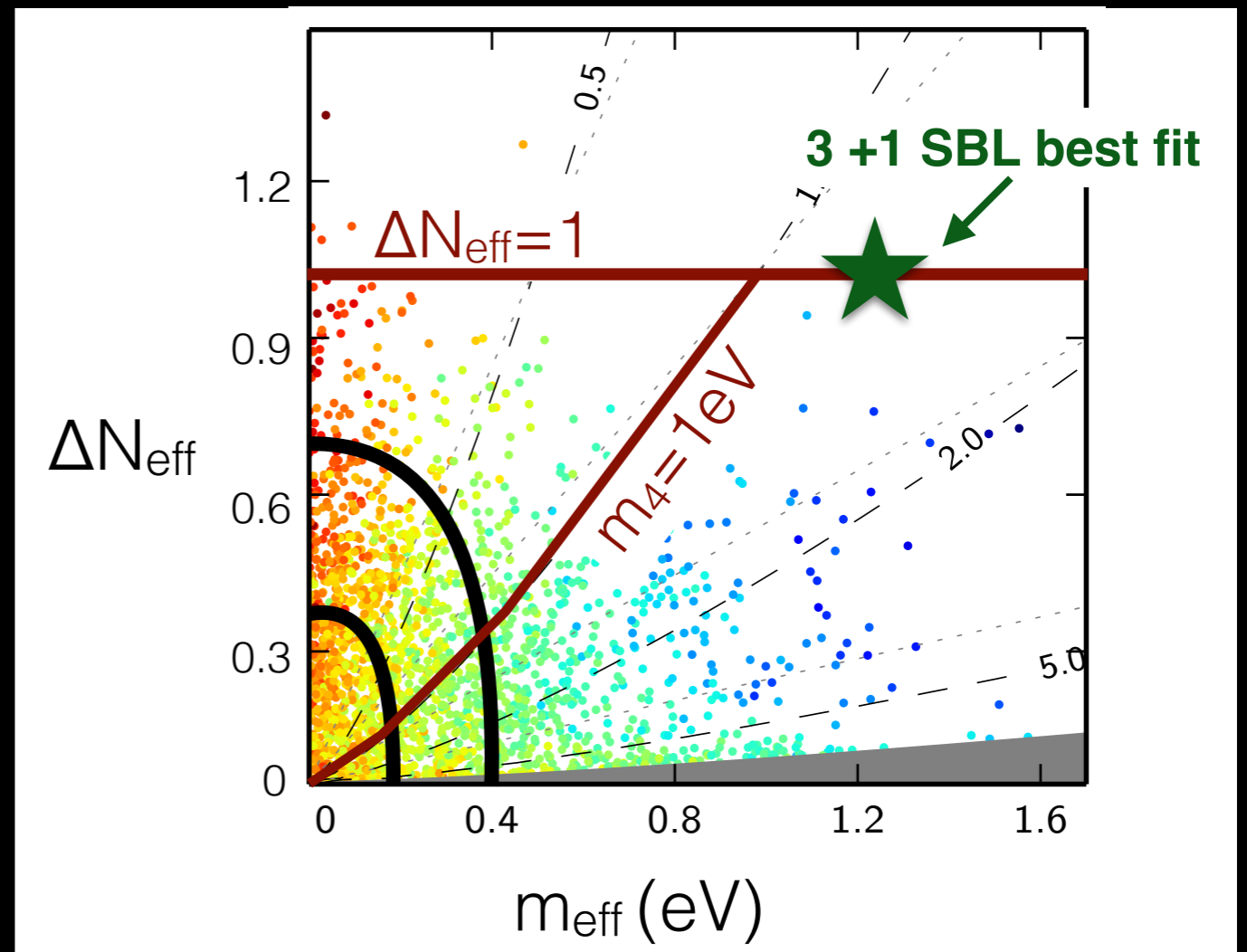
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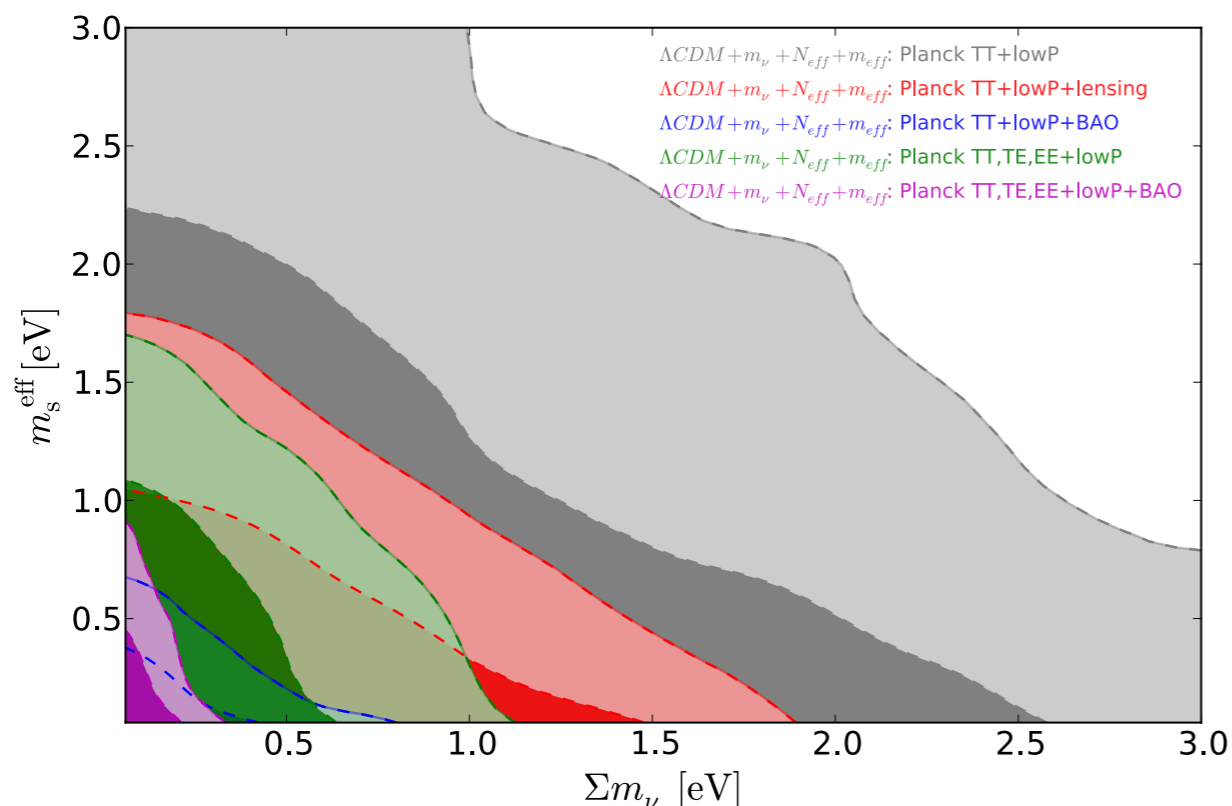
# Extra relics (small mass case)

Can we tweak cosmological model and accommodate  $\Delta N_{\text{eff}} \sim 1$  and  $m_s \sim 1 \text{eV}$ ?

- Sounds very difficult
- not even with full freedom in primordial spectrum:

Di Valentino et al. 1601.0755

After marginalisation over 12 free parameters for binned primordial spectrum:



$$m_s = 1 \text{eV}$$

- excluded at  $>4\sigma$  with Planck 2015 TT+lowP + BAO

$$+ N_{\text{eff}} = 4:$$

- compatible at  $2\sigma$  without Planck 2015 TTTEEE,
- excluded at  $>2\sigma$  with Planck 2015 TTTEEE

For Dodelson-Widrow neutrinos, physical mass  $m = m_{\text{eff}}/\Delta N_{\text{eff}}$

# Extra relics (small mass case)

How to suppress the  $\nu_4$  density in both relativistic and non-relativistic regimes?

talk by N. Saviano on Monday

- Low-temperature reheating

Gelmini et al. 2014, de Salas et al. 2015

- Leptonic asymmetry and resonant oscillations... *issues with BBN* ( $\mu_e$ )

Di Bari et al. 2001; ...; Hannestad, Tambora & Tram 2012; Mirizzi et al. 2012; Saviano et al. 2013

- NSI (need to pass bounds on fifth force and SN energy loss...)

- $\nu_4$  interacts with (dark) gauge boson

Dasgupta, Kopp 2015 ; Saviano et al. 2014; Mirizzi et al. 2014; Chu, Dasgupta, Kopp 2015

- $\nu_4$  interacts with (dark) pseudoscalar

Hannestad et al. 2013; Saviano et al. 2014; Archidiacono et al. 2016

- $\nu_4$  production is suppressed,  $\phi$ - $\nu_s$  recouple  $\rightarrow$  neutrinos as relativistic fluid (*potential issue for fitting CMB data*),  $\nu_4$  annihilate into  $\phi$  at late times...

- if  $N_{\text{eff}} > 3$  detected: possible tests, but there is a range compatible with SN and with negligible  $\Delta N_{\text{eff}}$ : how can we test this model?

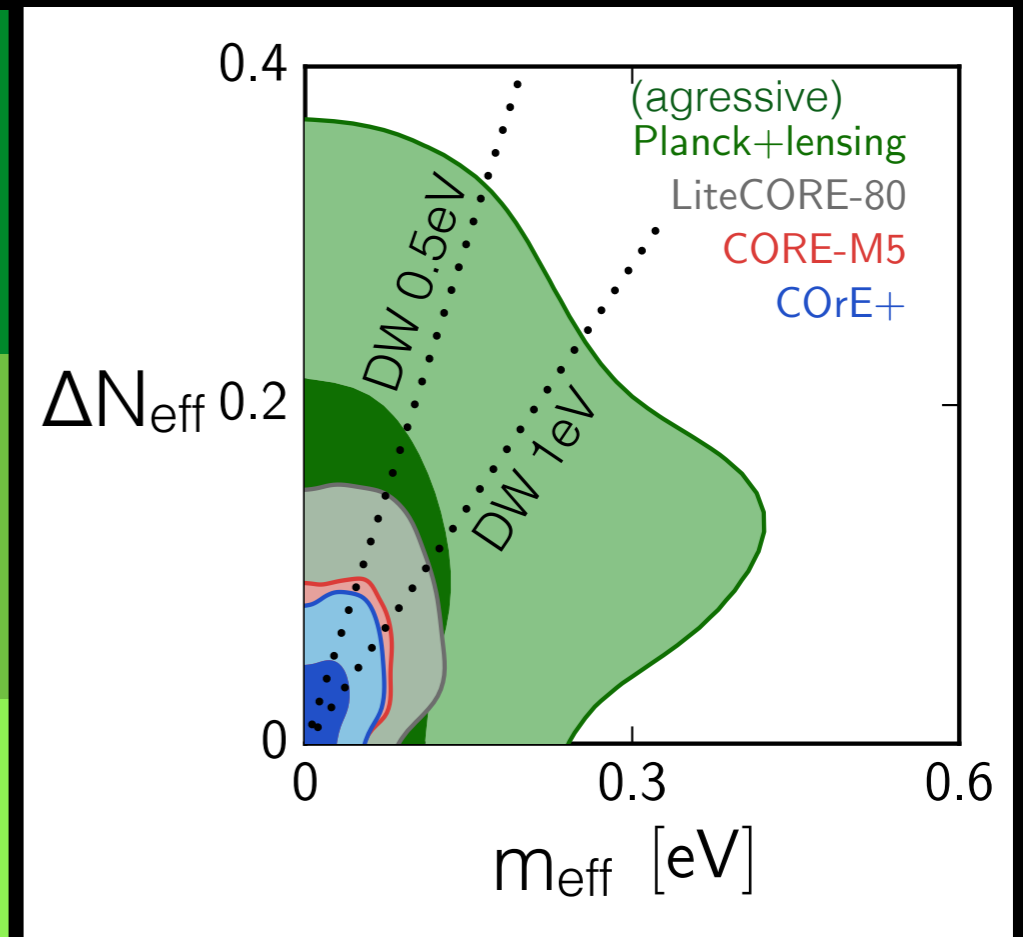
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# Extra relics (small mass case)

**Current and future bounds on one early-decoupled or non-thermalized extra light species (e.g. sterile neutrino)**

Effective density parameters	Planck 2015 (TT+lowP+lensing) + BAO	CORE + DESI + Euclid CORE collaboration [1612.00021]
$\Delta N_{\text{eff}}$ (extra contribution to density <i>before</i> NR transition)	$< 0.7$ (95%CL)	$2\sigma \sim 0.10$
$m_{\text{eff}}$ (extra contribution to density <i>after</i> NR transition)	$< 400$ meV (95%CL)	$2\sigma \sim 66$ meV

For Dodelson-Widrow neutrinos, physical mass  $m = m_{\text{eff}}/\Delta N_{\text{eff}}$

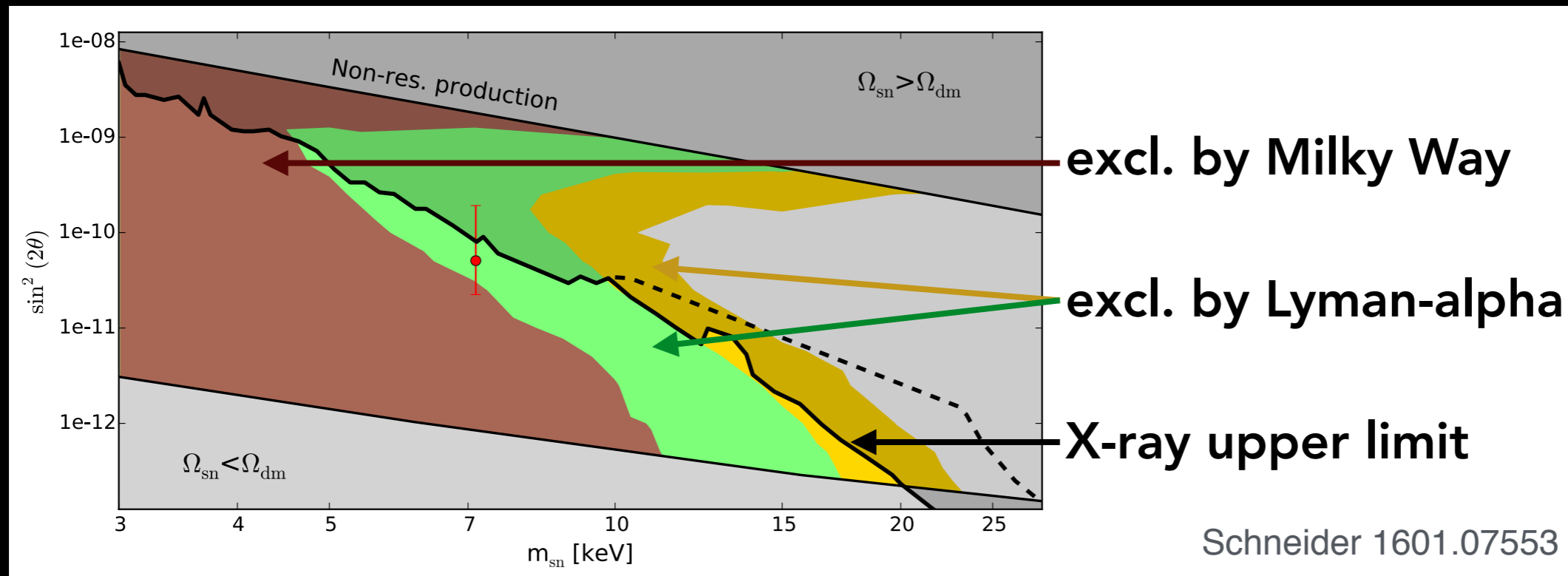


(forecasted errors obtained while simultaneously varying — and measuring — active neutrino mass scale)

CORE et al. 1612.00021

# KeV sterile neutrino

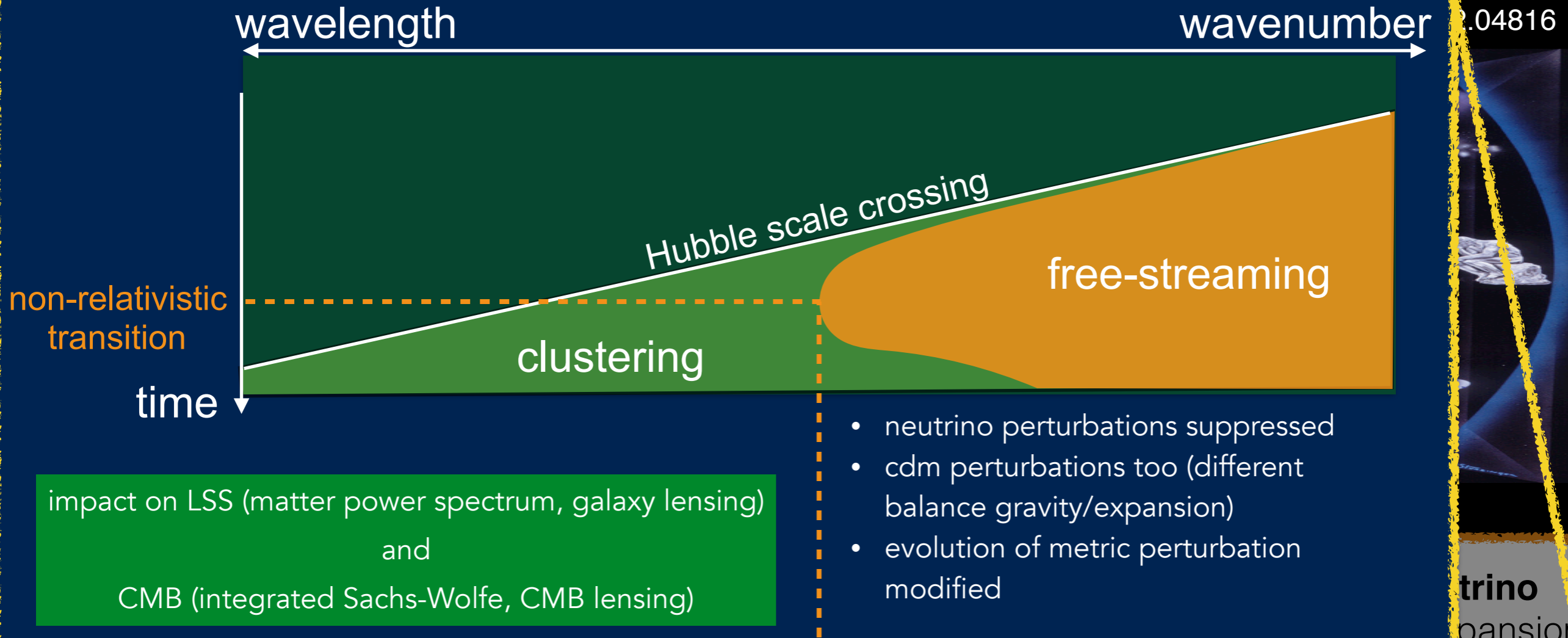
- **Non-resonantly produced** (leptonic asymmetry  $\ll 10^{-6}$ ): “pure Warm Dark Matter”: **EXCLUDED**
- **Resonantly produced** (leptonic asymmetry  $\sim 10^{-6}$ ): “Cold+Warm Dark Matter”: **PROBABLY EXCLUDED** (effect of  $T_{\text{IGM}}(z)$  ? Garzilli et al.2015)



- As a fraction of DM only: future improvement on both sides (X-ray - despite Hitomi failure- , Lyman-alpha)

END

# What neutrino effects are we testing?



**neutrino** slow down early dark matter clustering

**neutrino** propagation and dispersion velocity

**neutrino** slow down late ordinary/dark matter clustering