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Axions and the CMB

*A short review of current constraints
and future perspectives*

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1 Cosmological Effects of Relativistic Species

- Parameterization
- Big Bang Nucleosynthesis
- Cosmic Microwave Background

2 Cosmological effects of warm massive species

- Cosmic Microwave Background
- Matter perturbations

3 Axions in cosmology

- Non-thermal production
- Thermal production

4 Current constraints vs future perspectives

5 Conclusions

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Relativistic species and radiation content: ΔN_{eff}

Radiation energy density ρ_r in the early Universe:

$$\rho_r = \left[1 + \frac{7}{8} \left(\frac{4}{11} \right)^{4/3} N_{\text{eff}} \right] \rho_\gamma = [1 + 0.2271 N_{\text{eff}}] \rho_\gamma$$

ρ_γ photon energy density, $7/8$ is for fermions, $(4/11)^{4/3}$ due to photon reheating after neutrino decoupling

- $N_{\text{eff}} \rightarrow$ all the radiation contribution not given by photons
- $N_{\text{eff}} \simeq 1$ correspond to a single family of active neutrino, in equilibrium in the early Universe
- Active neutrinos: $N_{\text{eff}} = 3.046$ [Mangano et al., 2005]
> 3 due to not instantaneous decoupling for the neutrinos
- + Non Standard Interactions: $3.040 < N_{\text{eff}} < 3.059$ [de Salas et al., 2016]
- additional species contribute with $\Delta N_{\text{eff}} = N_{\text{eff}} - 3.046$:

Additional species may be:

light sterile neutrinos

axions (thermally produced ones)

(...insert your candidates here...)

Additional Radiation in the Early Universe

$$\rho_r = [1 + 0.2271 N_{\text{eff}}] \rho_\gamma$$

$$H^2 = 8\pi G \rho_T / 3$$

N_{eff} controls the expansion rate H in the early Universe, during radiation dominated phase

influence on

Big Bang Nucleosynthesis:
production of light nuclei

abundances today

matter-radiation equality

expansion rate at CMB decoupling

Additional radiation: Big Bang Nucleosynthesis (BBN)

BBN: production of light nuclei
at $t \sim 1\text{s}$ to $t \sim \mathcal{O}(10^2)\text{s}$

temperature $T_{fr} \simeq 1\text{ MeV}$
from nucleon freeze-out

$$\Gamma_{n \leftrightarrow p} \sim G_F^2 T^5 = H \sim \sqrt{g_*} G_N T^2$$

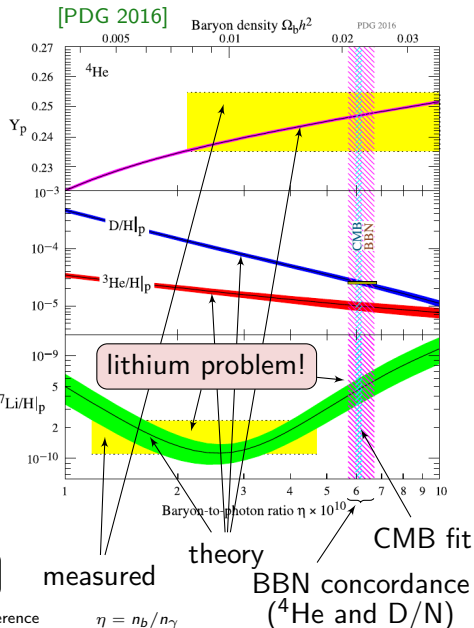
$$T_{fr} \simeq (g_* G_N / G_F^4)^{1/6}$$

initial $n/p = \exp(-Q/T_{fr}) \simeq 1/6$

after neutron β -decay: $n/p \simeq 1/7$

element abundances depend on n/p

new species: larger $g_* \rightarrow n/p$



G_F Fermi constant $Q = 1.293\text{ MeV}$ neutron-proton mass difference $\eta = n_b/n_\gamma$
 G_N Newton constant n_b, n_γ, n, p baryon, photon, neutron, proton density number

Helium abundance Y_p

$$Y_p = \frac{\rho(^4\text{He})}{\rho_b} = \frac{2(n/p)}{1+n/p} \simeq 0.25$$

^4He is the most stable element

depends on neutron lifetime

$$\tau_n = 880.3 \pm 1.1 \text{ s}$$

small corrections from:

nucleon mass effects

radiative processes

heating of neutrinos from e^\pm annihilations

positive correlation with N_{eff}

adding thermal axions means higher Y_p

Measures?

recombination emission lines in extragalactic H II regions (metal-poor: no star contamination) (extrapolate to zero-metallicity)

systematics in describing H II regions! T , n_e degeneracy

solved including He $\lambda 10830$ emission line

Recent Y_p determinations:

$$0.2449 \pm 0.0040 \text{ [Aver et al., 2015]}$$

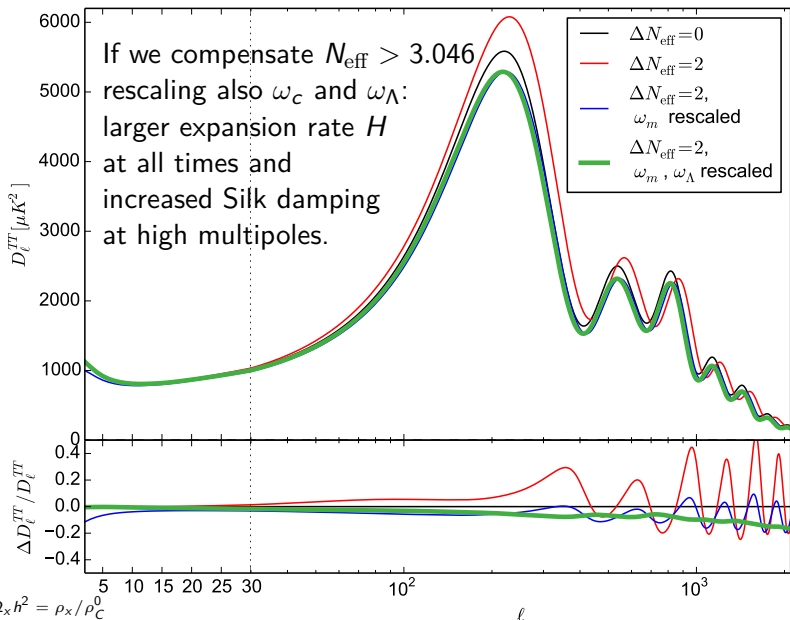
$$0.2551 \pm 0.0022 \text{ [Izotov et al., 2014]}$$

$$0.2446 \pm 0.0029 \text{ [Peimbert et al., 2016]}$$

translates in

$$N_{\text{eff}} = 2.90 \pm 0.22 \text{ (BBN+} Y_p \text{)} \\ \text{[Peimbert et al., 2016]}$$

Additional Radiation: Effects on the CMB



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Impact of non-cold species on the CMB

$$1 + z_{\text{eq}} = (\omega_b + \omega_c) / \omega_r$$

independent of m_ν

$$\omega_m^0 = \omega_b^0 + \omega_c^0 + \omega_\nu^0 \text{ today}$$

mass of species relativistic at recombination
affect late time evolution only

small effects on the SW plateau
(cosmic variance, degeneracies...)

Effects on the early ISW effect

$$\frac{\Delta C_l}{C_l} \simeq - \left(\frac{\sum m_\nu}{0.1 \text{ eV}} \right) \%$$

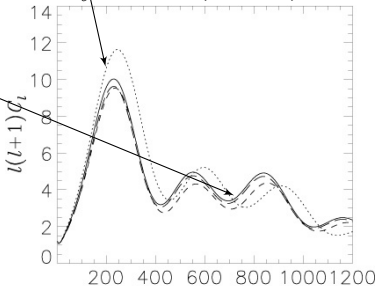
effects on the position of peaks

$$\theta_s = r_s(\eta_{LS}) / D_A(\eta_{LS})$$

$$D_A = \int_0^{z_{\text{rec}}} \frac{dz}{H(z)}$$

(this effect can be compensated reducing H_0)

degeneracy $m_\nu - H_0$



["Neutrino Cosmology", Lesgourgues et al.]

Free-streaming - I

Non-cold relics \implies damping in the perturbations due to free-streaming

Growth equation: $\ddot{\delta} + \underbrace{2H\dot{\delta}}_{\text{Hubble drag}} - \underbrace{c_s^2 k^2 \frac{\delta}{a^2}}_{\text{pressure}} = \underbrace{4\pi G_N \rho \delta}_{\text{gravity}}$

Jeans scale: **pressure=gravity**

$$k_J \equiv \sqrt{\frac{4\pi G_N \rho}{c_s^2 (1+z)^2}}$$

$k < k_J$

growth of density perturbations

$k > k_J$

no growth can occur

neutrino free-streaming scale

$$k_{fs}(z) \equiv \sqrt{\frac{3}{2}} \frac{H(z)}{(1+z)\sigma_{\nu,\nu}(z)} \simeq 0.7 \left(\frac{m_\nu}{1 \text{ eV}} \right) \sqrt{\frac{\Omega_M}{1+z}} h/\text{Mpc}$$

ρ energy density of a given fluid
 $\delta = \delta\rho/\rho$ perturbation (single fluid)
 c_s sound speed of the fluid

$\sigma_{\nu,\nu}(z)$ ν velocity dispersion
 $H = H(z)$ Hubble factor at redshift z
 h reduced Hubble factor today

Free-streaming - II

Damping occurs for all $k \gtrsim k_{nr}$

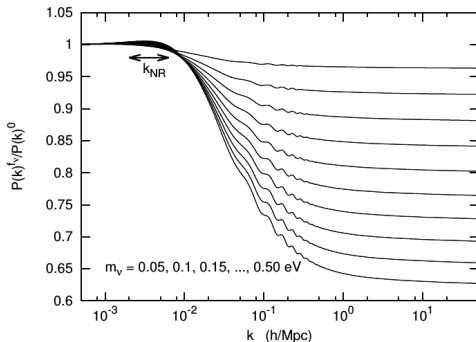
k_{nr} : corresponding
to ν non-relativistic transition

Plot: $\frac{P_{m_\nu > 0}(k)}{P_{m_\nu = 0}(k)}$

- top to bottom: $m_\nu = 0.05$ eV
to $m_\nu = 0.5$ eV

- $\frac{\Delta P}{P} \simeq -\frac{8\Omega_\nu}{\Omega_M} \simeq -\frac{\sum m_\nu}{0.01 \text{ eV}} \%$

[“Neutrino Cosmology”, Lesgourgues et al.]
(fixed $h, \omega_m, \omega_b, \omega_\Lambda$)



Expected constraints from future surveys:

- Planck CMB + DES: $\sigma(m_\nu) \simeq 0.04\text{--}0.06$ eV [Font-Ribera et al., 2014]
- Planck CMB + Euclid: $\sigma(m_\nu) \simeq 0.03$ eV [Audren et al., 2013]

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Axions during the expansion - I

Axions from $U(1)_{PQ}$

PQ SSB at $T \simeq f_{PQ}$ \longrightarrow $V(\vec{\phi}) = \lambda(|\vec{\phi}|^2 - f_{PQ}^2/2)^2$

the axion is related to Θ :
 $a = (f_{PQ}/N)\Theta$

after PQ SSB,
 $\Theta = \arg(\vec{\phi})$ undetermined

axion is massless for $T \gg \Lambda_{QCD}$

+

low T : axion mass from
 QCD instanton effects

axion is massless for
 $f_{PQ} \gtrsim T \gtrsim \Lambda_{QCD}$

$$m_a = \frac{f_\pi m_\pi}{f_{PQ}} \frac{\sqrt{R}}{1+R} = 0.6 \text{ eV} \frac{10^7 \text{ GeV}}{f_{PQ}}$$

m_π pion mass
 $f_\pi = 93 \text{ MeV}$ pion decay constant
 N color anomaly of the PQ symmetry
 $R = 0.553 \pm 0.043$ up-to-down quark masses ratio
 PQ SSB = spontaneous symmetry breaking of PQ symmetry

Axions during the expansion - II

Note: axion couplings $\propto 1/f_{PQ} \propto m_a$

lighter axions interact less!

Axion production?

depends on interactions

thermal processes

non-thermal processes

misalignment

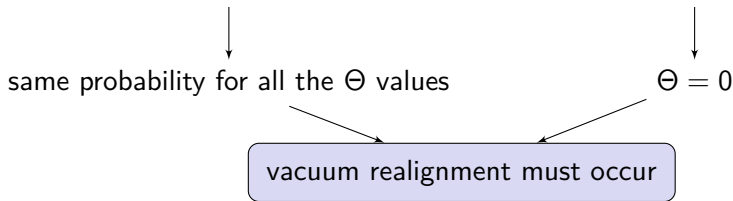
decay of axionic string

see also: [D. Marsh, Phys.Rept. 643 (2016) 1-79]

CDM from misalignment of the initial Θ - I

stochastic processes at early times

today, CP conservation



at $T \simeq \Lambda_{QCD}$: $m_a \neq 0$ \longrightarrow axion start to roll towards $\Theta = 0$

zero-momentum condensate of axions \longleftarrow oscillations around $\Theta = 0$

non thermal!

$$\rho_a \propto m_a(T)/a^3$$

$$w_a \simeq 0 \longrightarrow$$

CDM!

$$v_a \propto 10^{-22} (m_a/eV)^{-0.82}$$

$n_a = \rho_a/m_a \propto a^{-3} \longrightarrow$ number per comoving volume is conserved

CDM from misalignment of the initial $\Theta - II$

initial angle? \longrightarrow energy density depends on initial Θ_1 value

assume $\langle \bar{\Theta}_1 \rangle^2 = \int_{-\pi}^{\pi} d\bar{\Theta}_1 \bar{\Theta}_1^2 / 2\pi = \mathcal{O}(1)$

$$\Omega_a h^2 = 0.85 \cdot 10^{\pm 0.4} \Lambda_{200}^{-0.7} (m_a / 10^{-5} \text{ eV})^{-1.18}$$

for $\langle \bar{\Theta}_1 \rangle = \mathcal{O}(1)$, axions can be all the DM if $m_a \gtrsim 10^{-5} \text{ eV}$

did inflation occur? $\xrightarrow{\text{yes}}$ all the universe is within the same inflationary patch \longrightarrow same Θ_1

[Kolb&Turner]
full calculation:

$$\Omega_a h^2 = 0.13 \cdot 10^{\pm 0.4} \Lambda_{200}^{-0.7} f(\bar{\Theta}_1) \bar{\Theta}_1^2 (m_a / 10^{-5} \text{ eV})^{-1.18}$$

measure $\Omega_a h^2$, m_a to obtain $\Theta_1!$

[Di Valentino et al., PRD 90 (2014) 043534] – including axionic string decay contribution

$$\Omega_a h^2 = 0.119 \pm 0.003 = \Omega_{cdm} h^2 \text{ and } m_a = 81.5 \pm 1.6 \mu\text{eV (CMB only)}$$

Thermal production

thermal process $\pi + \pi \rightarrow \pi + a$

other processes:
 $Q + \pi \rightarrow Q + a$
 $N + \pi \rightarrow N + a$

a singly produced

relativistic at production

hot dark matter

decoupling $T_D(f_{PQ})$ from
 $\Gamma(T_D) = H(T_D)$ (numerically)

d.o.f. at decoupling $g_{*S}(T_D)$

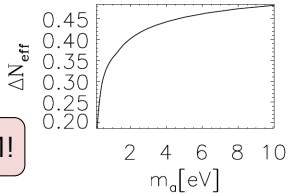
same order of n_γ, n_ν

axion number density
 $n_a(f_{PQ}) = \frac{g_{*S}(T_0)}{g_{*S}(T_D)} \times \frac{n_\gamma}{2}$

$$\Delta N_{\text{eff}} = \frac{4}{7} \left(\frac{3n_a}{2n_\nu} \right)^{4/3}$$

density parameter

$$\omega_a = \Omega_a h^2 \propto m_a n_a \propto m_a / g_{*S}(T_D)$$



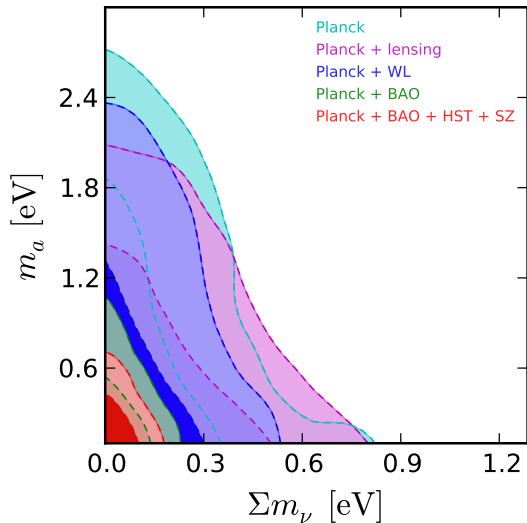
hot: cannot be total DM!

calculations valid for $m_a \gtrsim 0.1$ eV

Q quarks, N nucleons
 T_0 CMB temperature today
 $n_{\gamma(\nu)}$ number density of photons (neutrinos)

Constraints - I

[di Valentino et al., PLB 752 (2016) 182]



thermal axion behavior is similar to massive neutrinos



degeneracy

$$\sum m_\nu - m_a$$

but different contributions to ΔN_{eff}

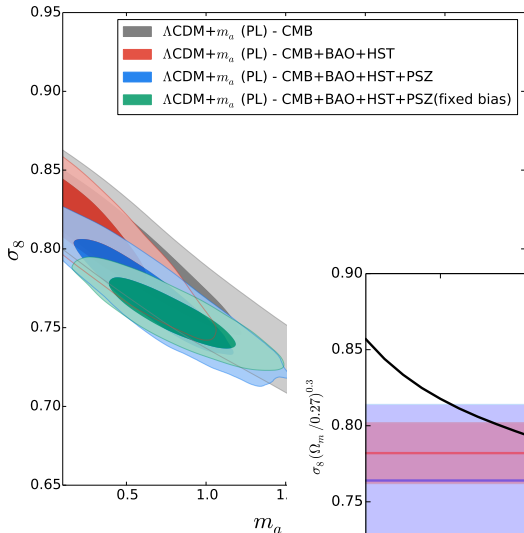
($\Delta N_{\text{eff},a}$ depends on m_a)



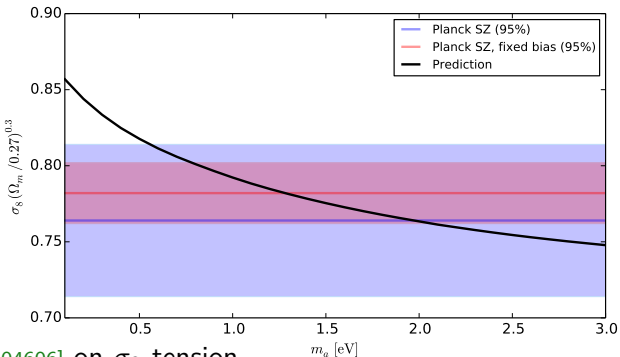
not complete degeneracy

Stronger constraint:
 $m_a < 0.529$ eV (95%)

Constraints - II



axion is hot relic
 \downarrow
 suppression of matter power spectrum
 \downarrow
 reduced fluctuations at small scales



see also [Joudaki et al., 1610.04606] on σ_8 tension

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CMB-stage IV forecasts

present

based on Planck measurements

[Planck Collaboration, 2015]

95%
95%
95%
95%

$$M_\nu < 0.72 \text{ eV} \text{ (PlanckTT+lowP)}$$
$$M_\nu < 0.49 \text{ eV} \text{ (PlanckTT+lowP+TEEE)}$$
$$M_\nu < 0.17 \text{ eV} \text{ (+BAO)}$$
$$M_\nu < 0.12 \text{ eV} \text{ (+Lyman-}\alpha\text{)}$$

[Palanque-Delabrouille et al., 2015]

68%
68%
68%

$$N_{\text{eff}} = 3.13 \pm 0.32 \text{ (PlanckTT+lowP)}$$
$$N_{\text{eff}} = 2.99 \pm 0.20 \text{ (PlanckTT+lowP+TEEE)}$$
$$N_{\text{eff}} = 3.04 \pm 0.18 \text{ (+BAO)}$$

Note:

LiteBird launch > 2024
Core launch > 2028

future

need more precision:
use more detectors ($\mathcal{O}(10^4)$)
to measure more modes

68%
68%

$$\sigma(M_\nu) = 0.140 \text{ eV} \text{ (LiteBird alone)}$$
$$\sigma(M_\nu) = 0.045 \text{ eV} \text{ (Core alone)}$$
$$\sigma(N_{\text{eff}}) = 0.20 \text{ (LiteBird alone)}$$
$$\sigma(N_{\text{eff}}) = 0.051 \text{ (Core alone)}$$

Meanwhile:
stage III experiments,
balloon experiments...

[Errard et al., JCAP 03 (2016) 052]

Large scale structures surveys

gravitational lensing scattering of CMB photons during their path → CMB measurements can be used to reconstruct lensing potential

problem! lensing reconstructed from CMB (T,E) in 2σ tension with lensing potential from CMB trispectrum

solution: use cross-correlation with high- z galaxy surveys to remove lensing and obtain primordial CMB (LSST, DESI, Euclid, ...)

present

95% $M_\nu < 0.72$ eV (PlanckTT+lowP)
 $M_\nu < 0.49$ eV (PlanckTT+REEE+lowP)
 $M_\nu < 0.17$ eV (+BAO)
 $M_\nu < 0.12$ eV (+Lyman- α)
[Palanque-Delabrouille et al., 2015]

68% $N_{\text{eff}} = 3.13 \pm 0.32$ (PlanckTT+lowP)
 $N_{\text{eff}} = 2.99 \pm 0.20$ (PlanckTT+REEE+lowP)
 $N_{\text{eff}} = 3.04 \pm 0.18$ (+BAO)

$M_\nu = \Sigma m_\nu$

future

68% $\sigma(M_\nu) = 0.048$ eV (LiteBird+LSST)
 $\sigma(M_\nu) = 0.034$ eV (Core+LSST)
 $\sigma(M_\nu) = 0.021$ eV (Core+DESI)
 $\sigma(M_\nu) = 0.016$ eV (Core+DESI+Euclid)

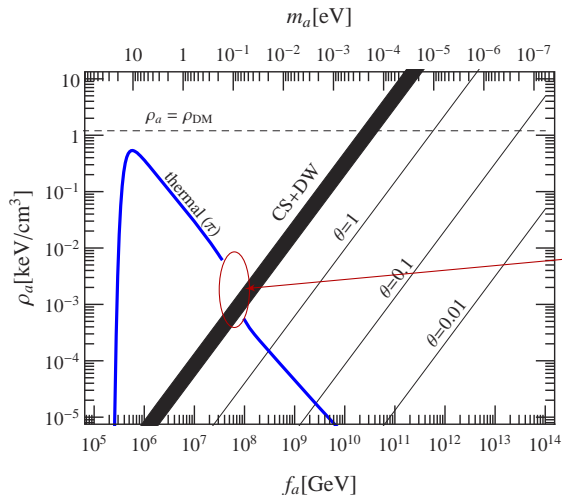
$\sigma(N_{\text{eff}}) = 0.086$ (LiteBird+LSST)
 $\sigma(N_{\text{eff}}) = 0.036$ (Core+LSST)
 $\sigma(N_{\text{eff}}) = 0.035$ (Core+DESI)
 $\sigma(N_{\text{eff}}) = 0.033$ (Core+DESI+Euclid)

And for the axions?

Euclid-like experiments will be able to detect a thermal axion

provided that $m_a \gtrsim 0.15$ eV

complementary to IAXO
range $m_a \lesssim 0.2$ eV!



drop when $T_{fr} \simeq \Lambda_{QCD}$
(less efficient interactions
with unconfined
quarks and gluons)

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Conclusions

- axions may influence universe evolution
- different behavior depending on production mechanism
- **non-thermal** production (misalignment, axionic string decay)
 - cold dark matter
 - can be all the dark matter
- **thermal** production
 - hot dark matter
 - similar to neutrinos
 - influence on BBN, CMB, large scale structures
 - possible solution to σ_8 problem ?
- more knowledge from **future experiments**
 - CMB \rightarrow stronger constraints on N_{eff}
 - exclude thermal axions ?
 - large scale structures to put stronger constraints on the mass
 - measure thermal axion mass provided that $m_a \gtrsim 0.1$ eV
 - but such thermal axion cannot exist if $\Delta N_{\text{eff}} \lesssim 0.2$

Thank you for the attention