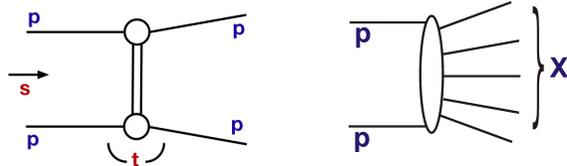


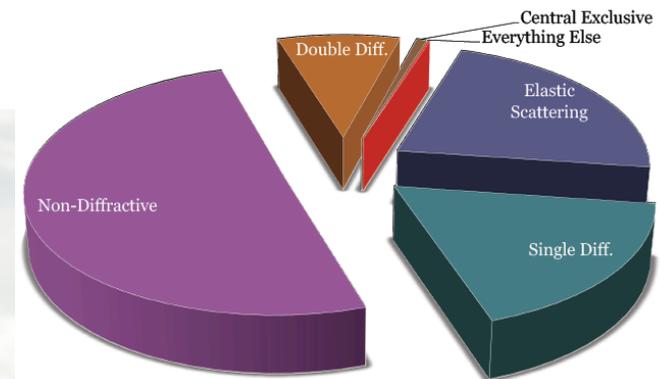
# Measurements of the Total Proton-Proton Cross Section with the ATLAS Detector



Paul Newman  
(University of Birmingham)  
for the ATLAS Collaboration



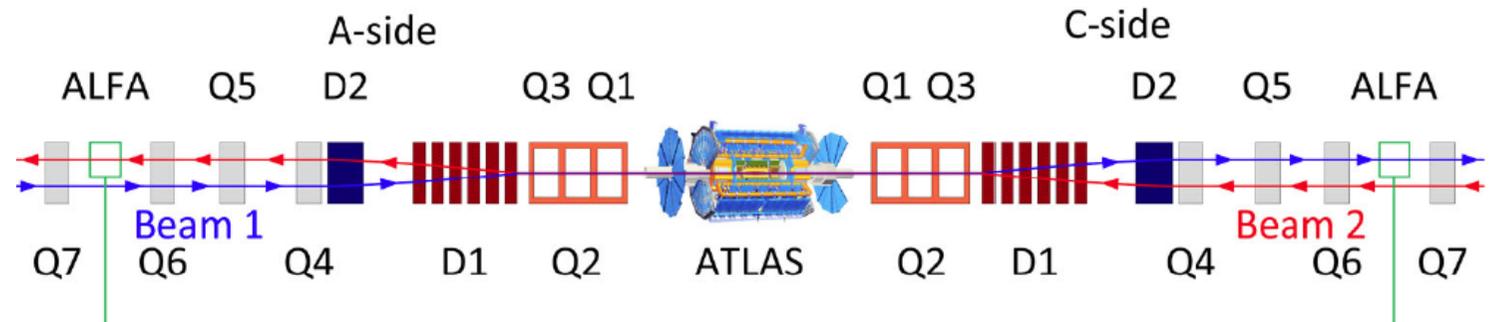
EDS Blois 2017, Prague  
26 June 2016



→ Elastic & total cross sections  
with ALFA Roman pots

→ Total inelastic cross section  
from minimum bias data

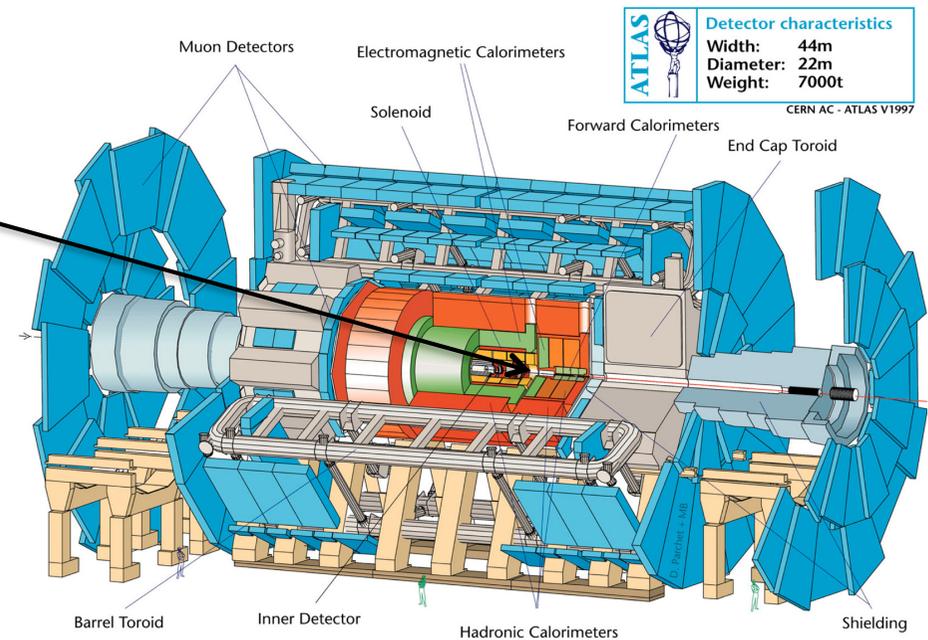
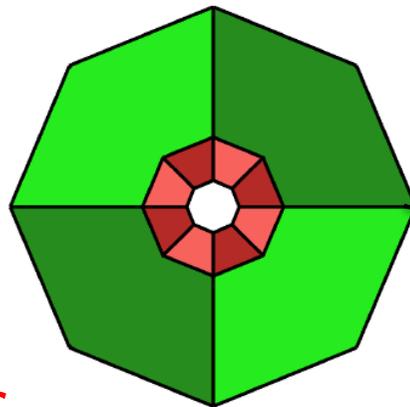
# Methods



1) Directly tag outgoing protons in ALFA Roman pot spectrometer [4 stations at ~240m from interaction point].

... obtain  $\sigma_{el}$  directly and apply optical theorem for  $\sigma_{tot}$ .

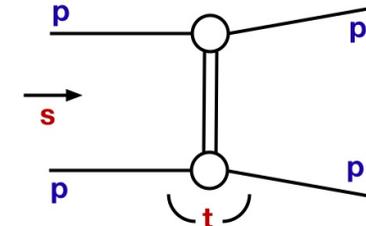
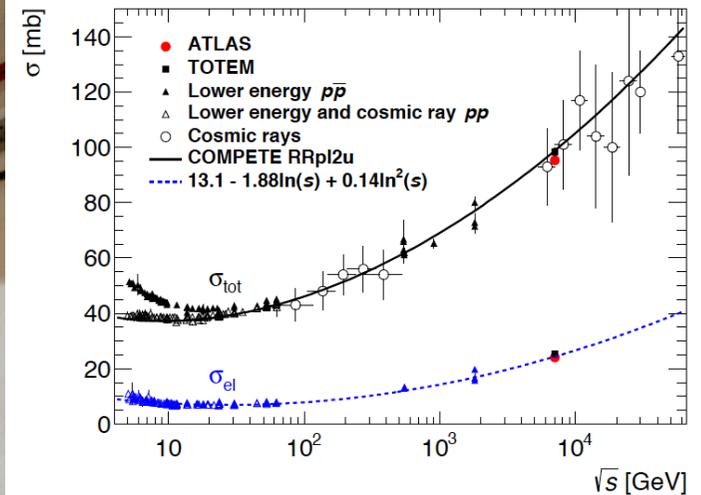
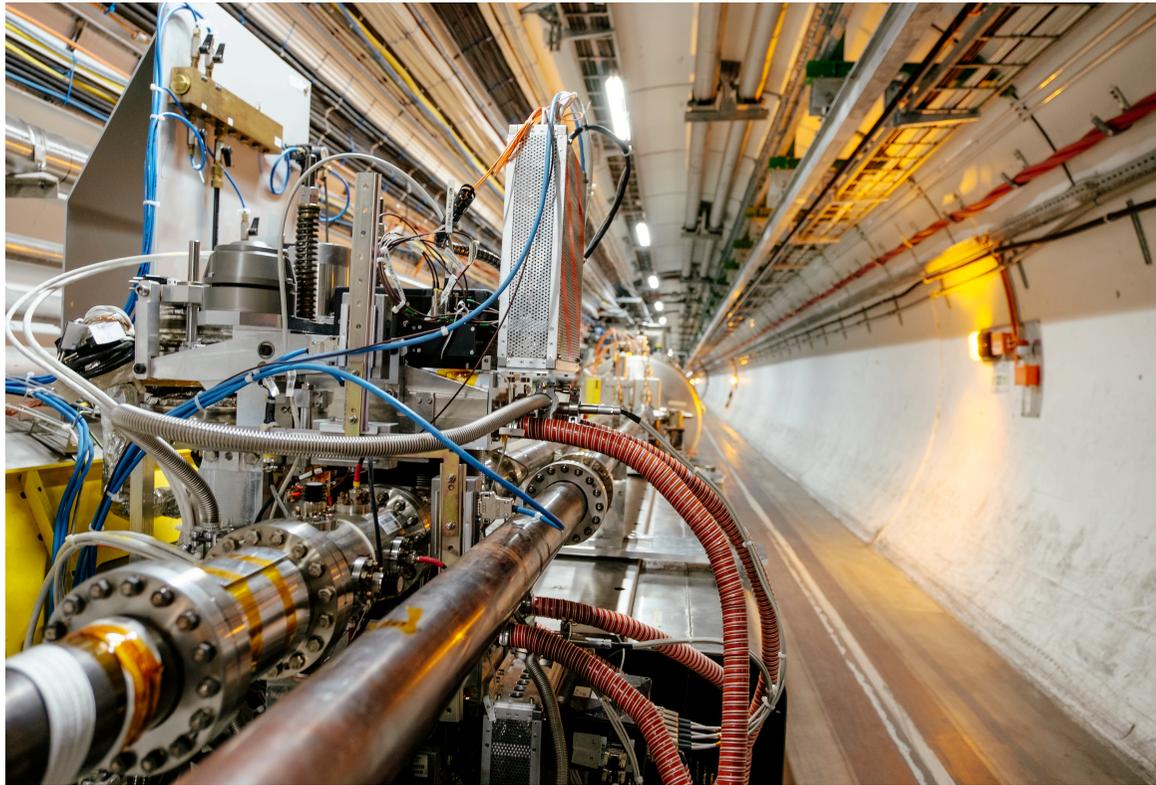
2) Obtain minimally biased samples triggered by any charged particle in the Minimum Bias Trigger Scintillator (MBTS) detectors



[ $z = \pm 3.6\text{m}$ ,  $2.1 < |\eta| < 3.9$ , rebuilt in long shutdown]

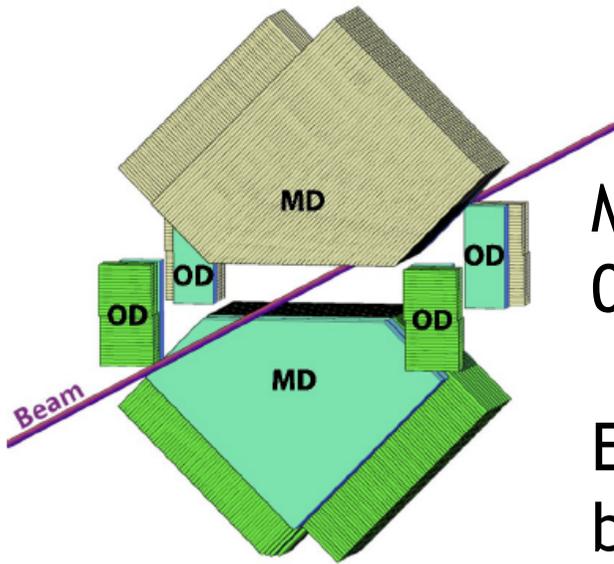
# 1) Proton Tagging Method

- Earlier result at  $\sqrt{s}=7$  TeV: Nucl Phys B889 (2014) 486
- Presented here,  $\sqrt{s}=8$  TeV result: Phys Lett B761 (2016) 158



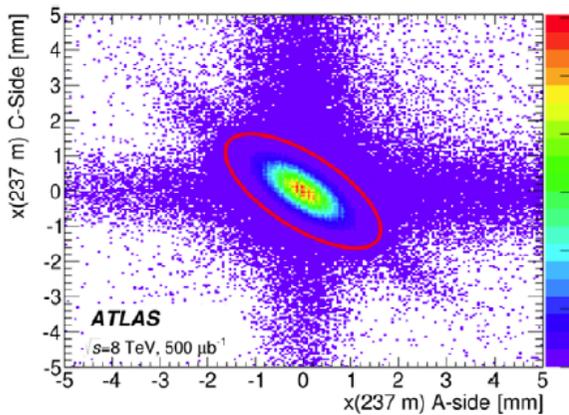
2012  $\beta^* = 90\text{m}$  run low lumi run ( $\mu=0.1$ ) with  
pots at 7.5mm ( $9.5\sigma$ ) from beam, allowing access to small t  
 $\rightarrow 3.8\text{M}$  events after selection, background  $\sim 0.1\%$

# Measurement Principle



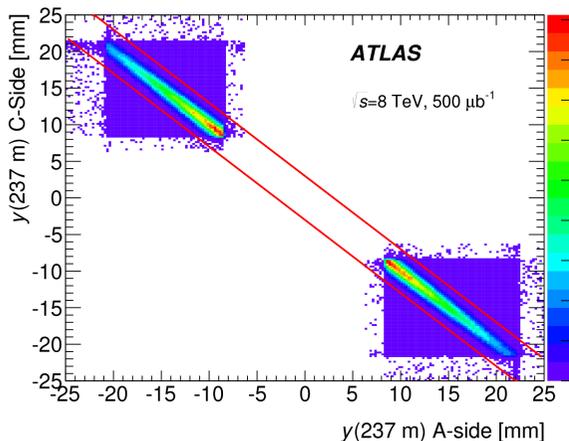
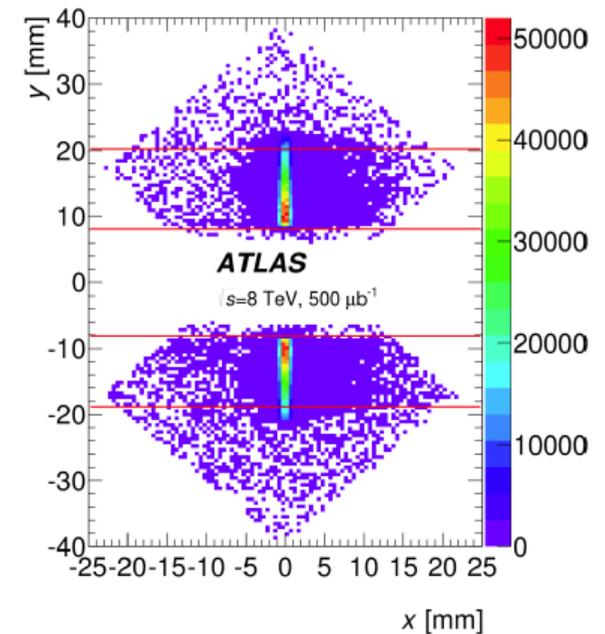
Main detectors (MD) are 2x10 layers of 0.5mm<sup>2</sup> square scintillating fibres per pot.

Elastically scattered protons characterised by back-to-back topology (anti-correlation in x and y between A and C sides of ATLAS)



At high  $\beta^*$ , parallel-to-point focusing in vertical plane...

$$t = -(p\theta^*)^2$$

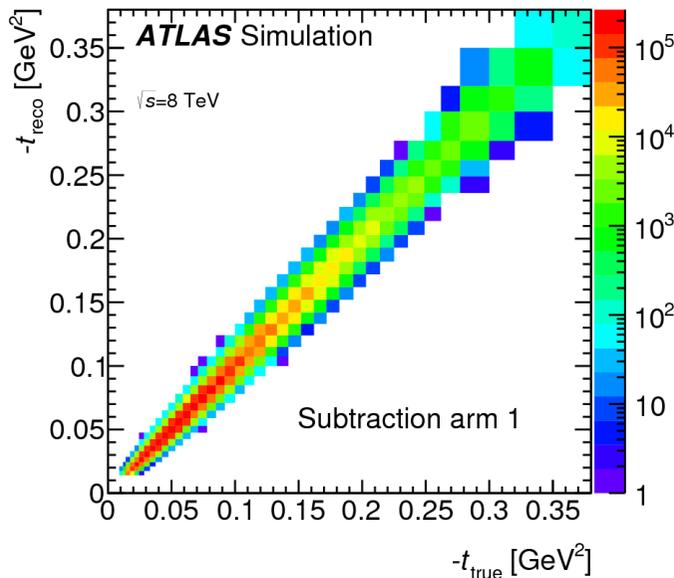


- Beam:  $p=3988\pm 26$  GeV

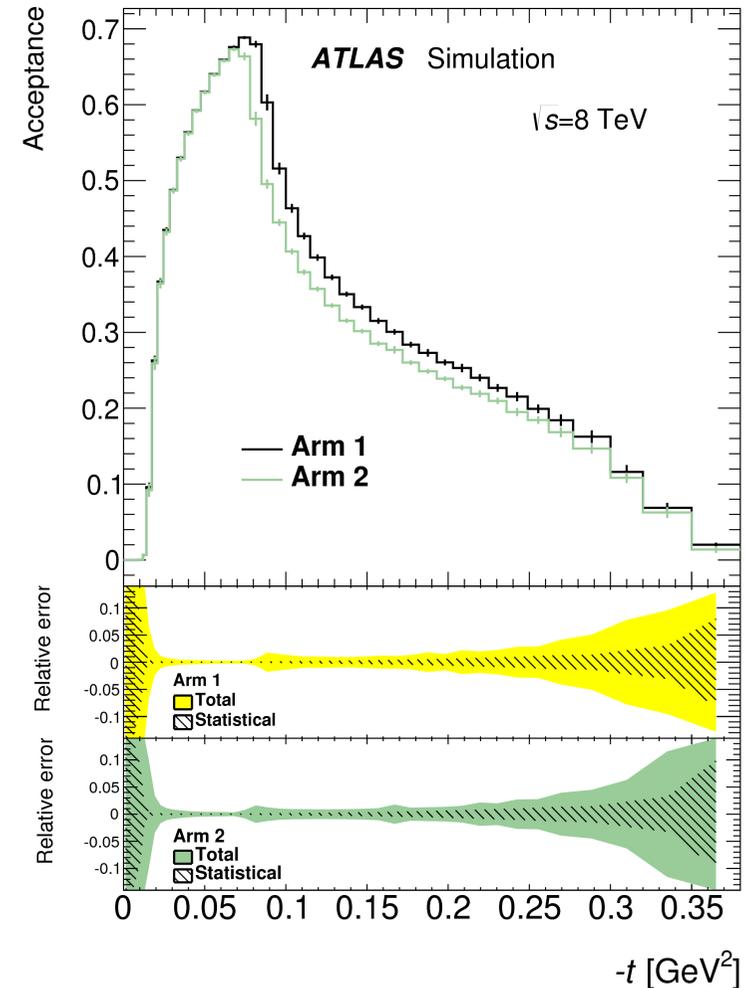
-  $\theta^*$  from y coordinate and knowledge of beam optics

# Correcting for Instrumental Effects

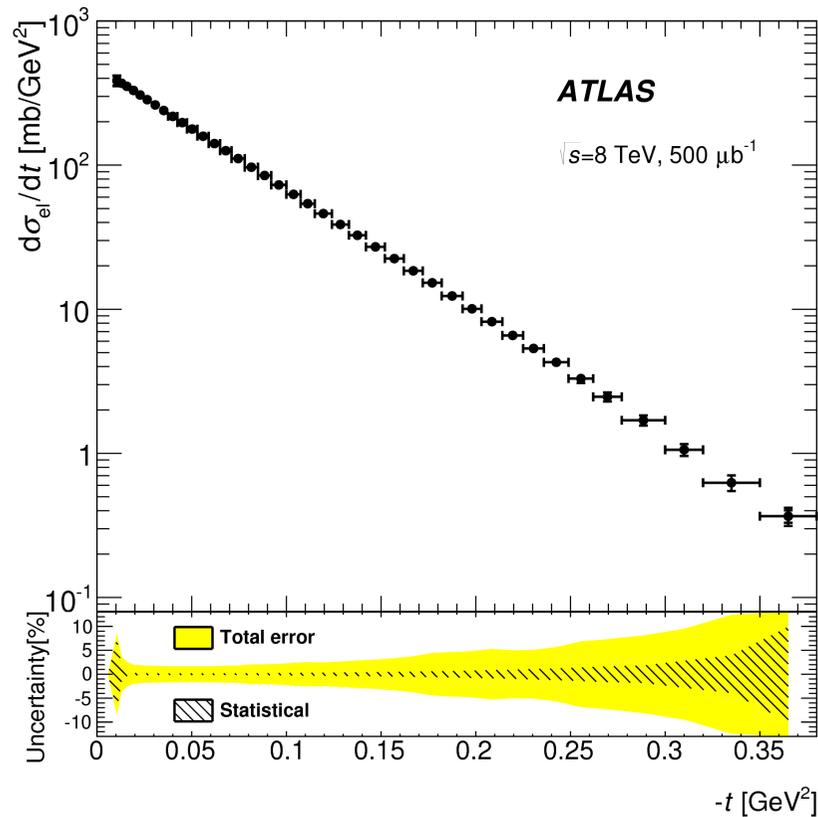
- Reconstruction ( $\sim 90\%$ ), trigger ( $>99.9\%$ ) efficiencies from data.
- Beam optics model tuned with ALFA constraints and applied via PYTHIA8:



- Unfolding corrections usually  $< 2\%$
- Acceptance limitations due to fiducial volume cuts well controlled
- Dedicated lumi determination: Beam Condition Monitors (& vertices, LUCID, van der Meer)  $\rightarrow 1.5\%$  uncertainty



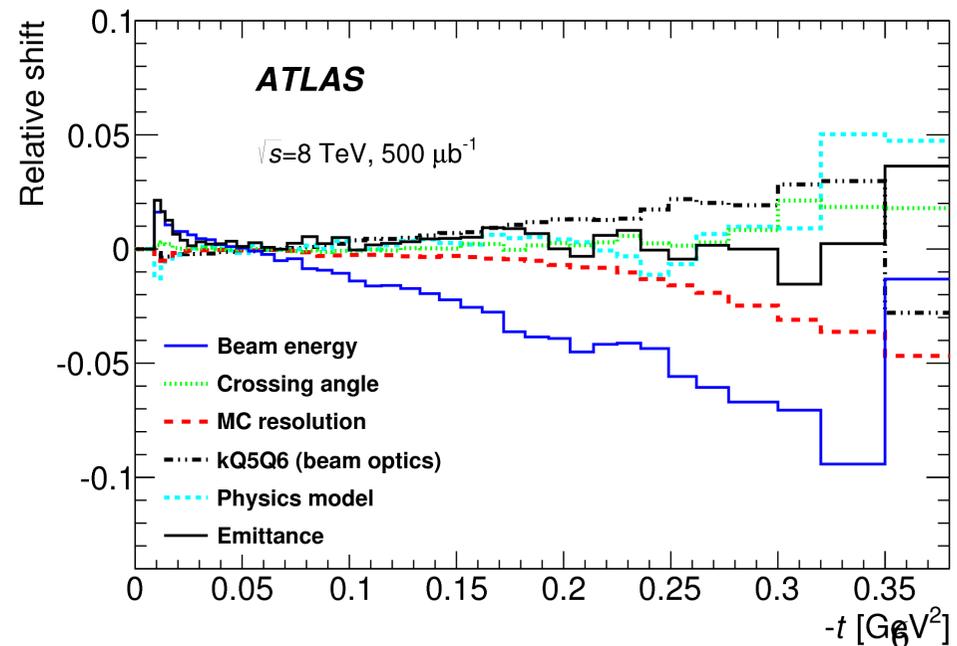
# Unfolded Data



- 0.65% uncertainty on beam energy generates largest systematic at high  $|t|$

- Data cover the region  $0.01 < |t| < 0.36\ \text{GeV}^2$ , systematic limited throughout

- Dominant systematic at small  $|t|$  is luminosity (1.5% normalisation)



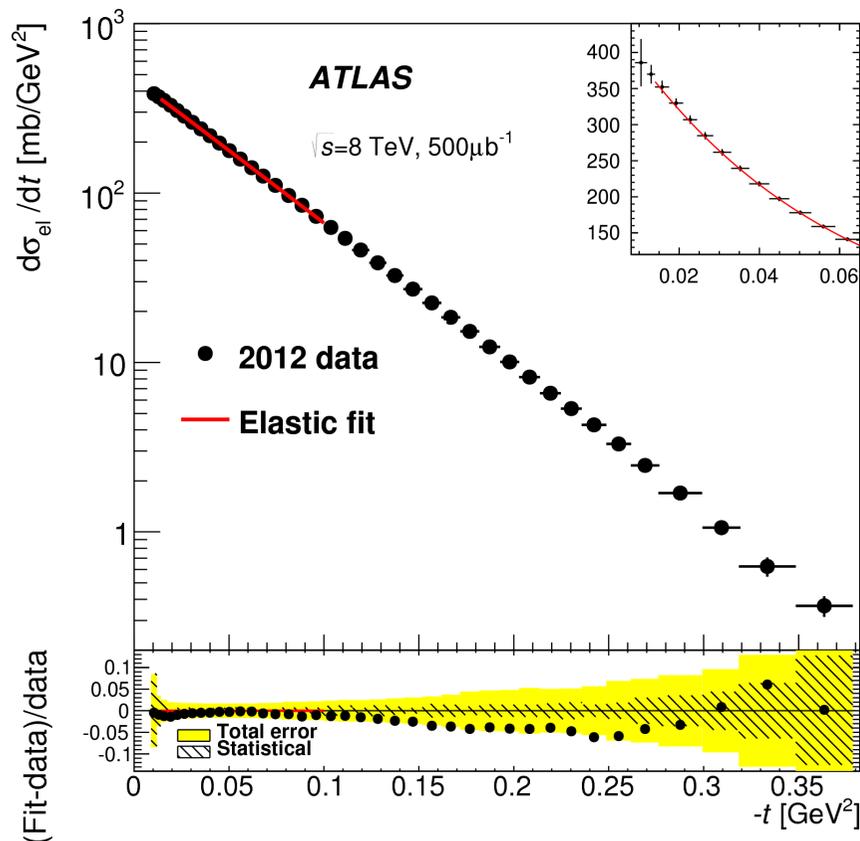
(a)

# Fitting the Data

$$\frac{d\sigma}{dt} = \frac{1}{16\pi} |f_N(t) + f_C(t)e^{i\alpha\phi(t)}|^2,$$

$$f_C(t) = -8\pi\alpha\hbar c \frac{G^2(t)}{|t|},$$

$$f_N(t) = (\rho + i) \frac{\sigma_{\text{tot}}}{\hbar c} e^{-B|t|/2},$$



(b)

Fixed parameters:

- $\rho$  ( $\sim 0.14$ ) = ratio of Re/Im amplitudes at  $t=0$
- $G$  = proton electric form factor
- $\phi$  = phase of Coulomb-nuclear interference at  $t=0$

- Fit  $0.014 < |t| < 0.1 \text{ GeV}^2$ , where acceptance is large and theory uncertainty is small

- Influence of interference small.

- Hadronic region compatible with pure exponential:  $\sim e^{Bt}$

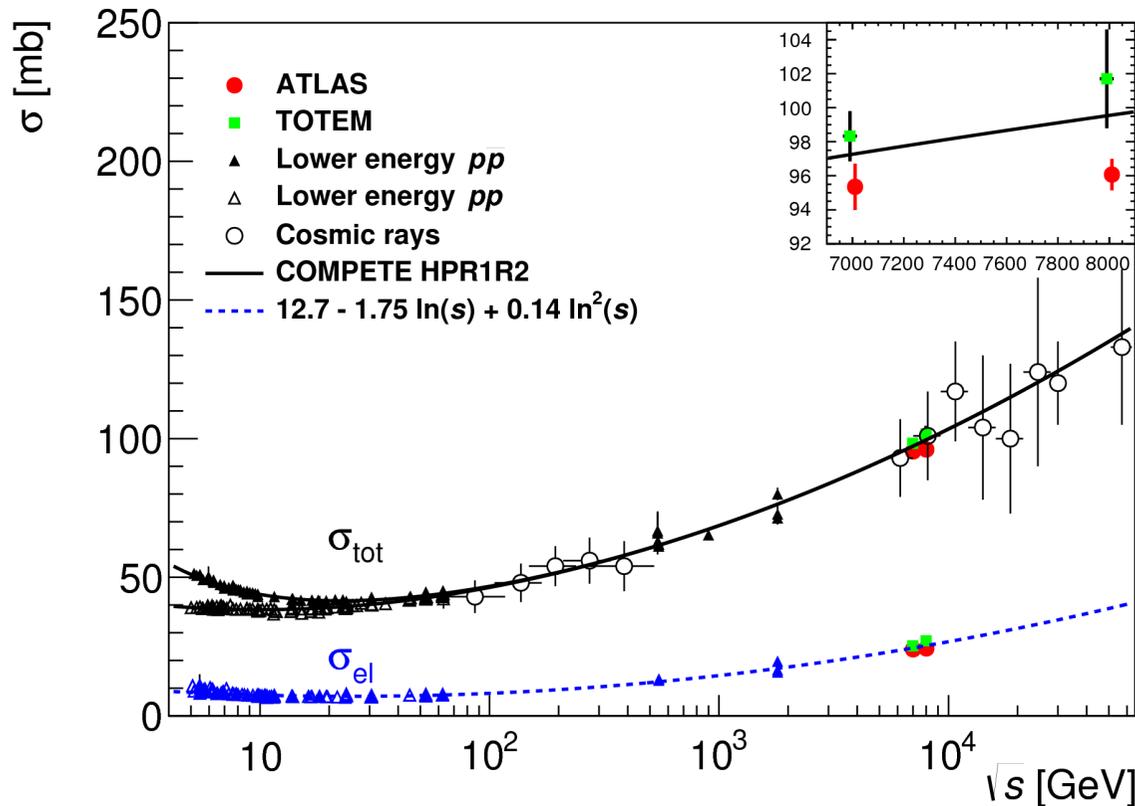
- Total hadronic cross section emerges via fit normalisation

# Results and Energy Dependence: $\sigma_{tot}$

$$\sigma_{tot}(8 \text{ TeV}) = 96.07 \pm 0.18(\text{stat.}) \pm 0.85(\text{exp.}) \pm 0.31(\text{extr.}) \text{ mb}$$

$$\sigma_{el}(8 \text{ TeV}) = 24.33 \pm 0.04(\text{stat}) \pm 0.39(\text{syst}) \text{ mb}$$

$$\sigma_{inel}(8 \text{ TeV}) = 71.73 \pm 0.15(\text{stat}) \pm 0.69(\text{syst}) \text{ mb}$$



- Luminosity uncertainty dominates

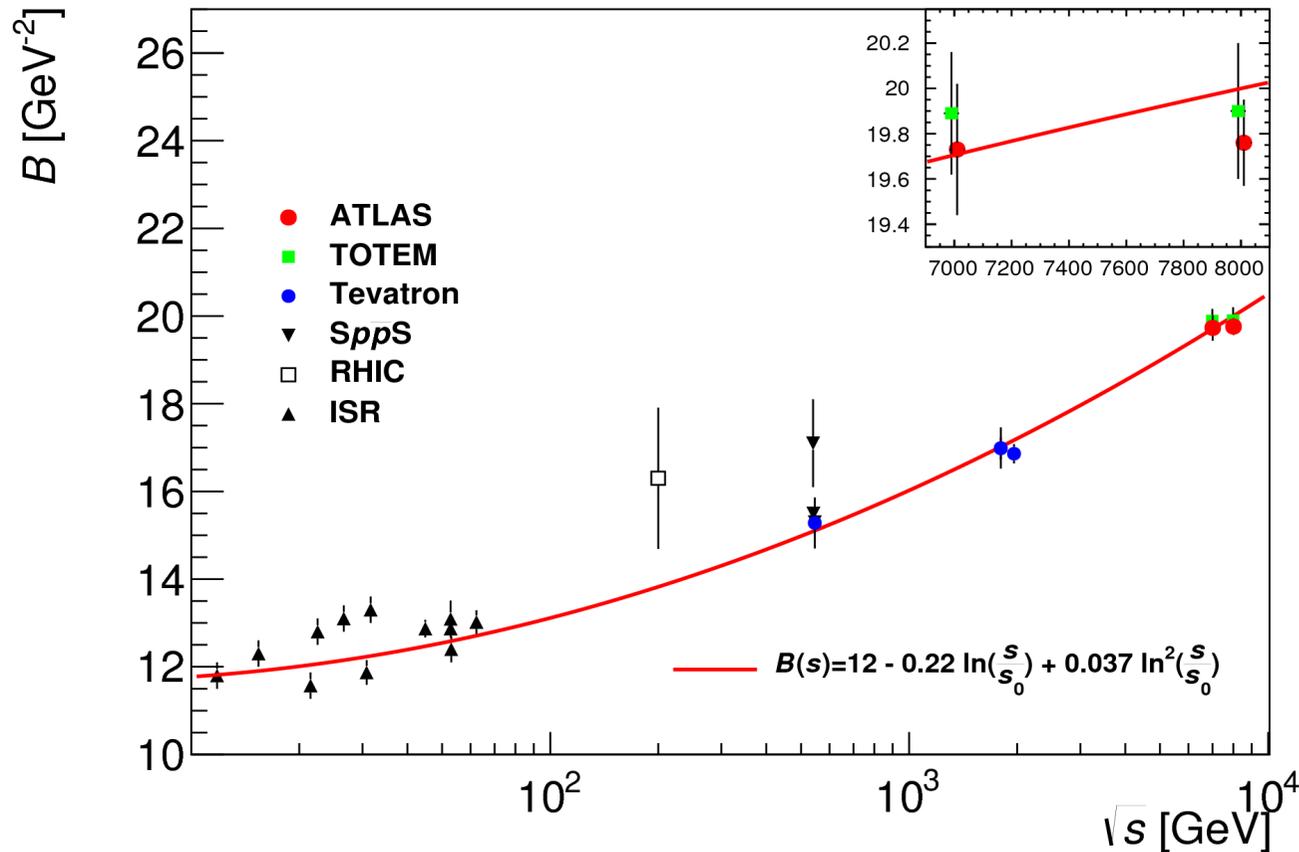
- Extrapolation error from varying fit range & theory assumptions

- ATLAS  $1.9\sigma$  lower than TOTEM lumi-independent result (cf  $1.3\sigma$  at 7TeV)

Data remain compatible with slow growth with  $\sqrt{s}$  (logarithmic or power law?...)

# Results and Energy Dependence: B

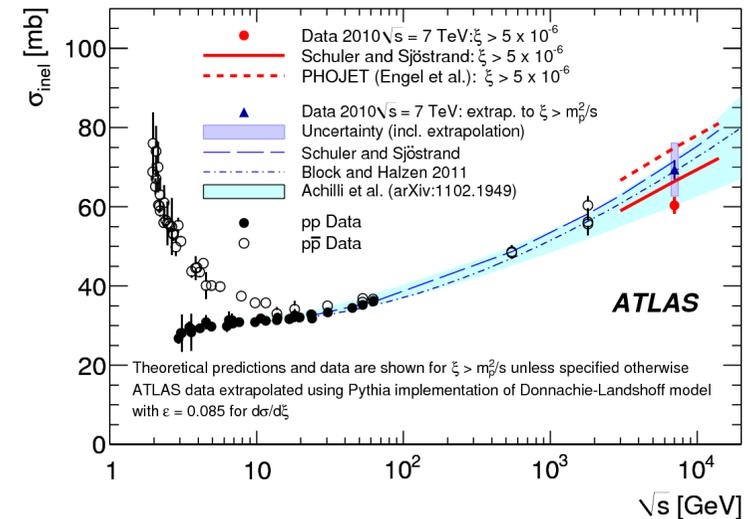
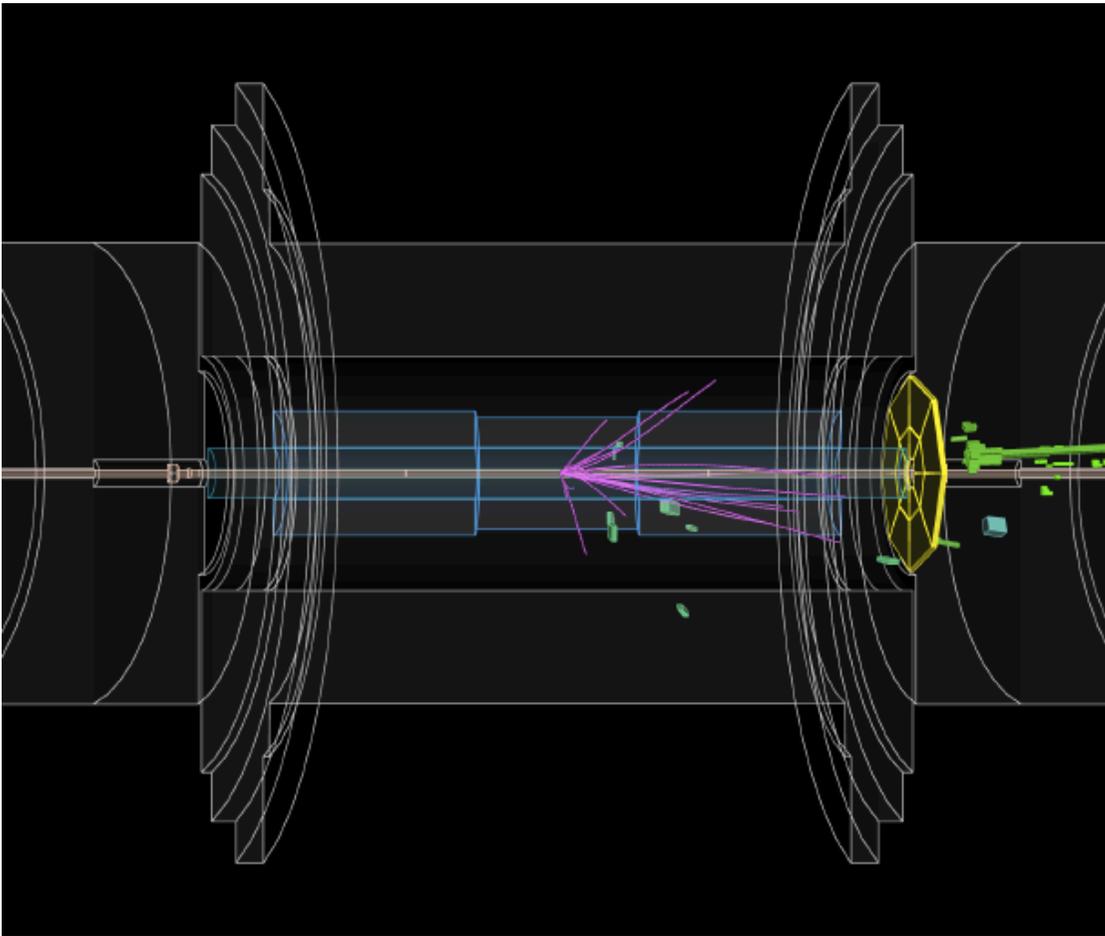
$$B(8 \text{ TeV}) = 19.74 \pm 0.05(\text{stat.}) \pm 0.16(\text{exp.}) \pm 0.15(\text{extr.}) \text{ GeV}^{-2}$$



- Dominant uncertainties are beam energy (exp), fit range (extr)
- ALFA and TOTEM in good agreement
- Data remain compatible with shrinkage of forward elastic peak with  $\sqrt{s}$  [Schegelsky & Ryskin model shown,  $\alpha' \sim 0.11 \text{ GeV}^{-2}$ ]

## 2) Minimum Bias Method

- Earlier result at  $\sqrt{s}=7$  TeV: Nature Commun 2 (2011) 463
- Presented here, 13TeV result: PRL 117 (2016) 182002  
(from short low pile-up ( $\mu < 0.1$ ) run taken in June 2015)



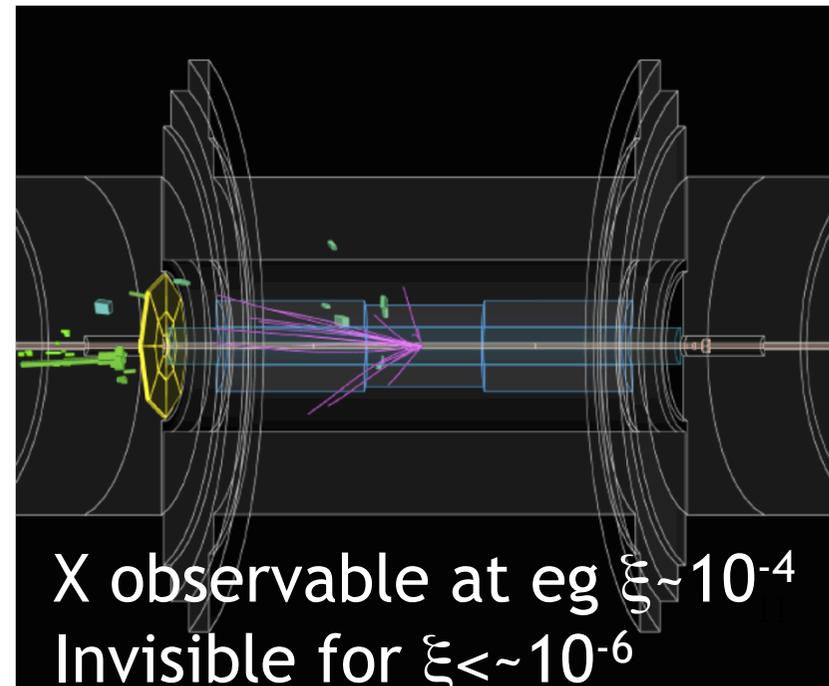
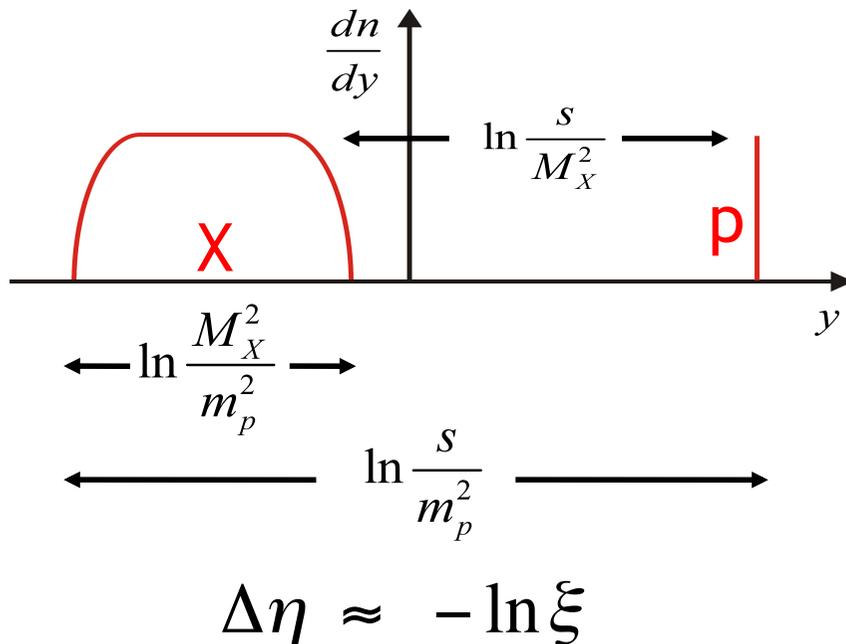
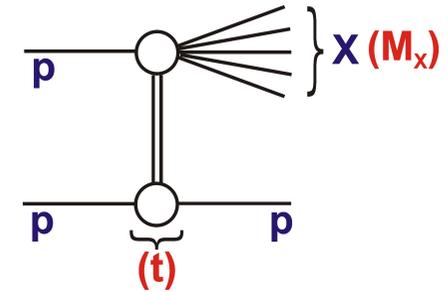
MBTS = plastic scintillator,  
 $2.1 < |\eta| < 3.9$  with 8-fold  
segmentation nearest beam-  
pipe and 4-fold further out.  
... obtain almost all of  $\sigma_{\text{inel}}$   
directly.

# Total Inelastic Cross Section

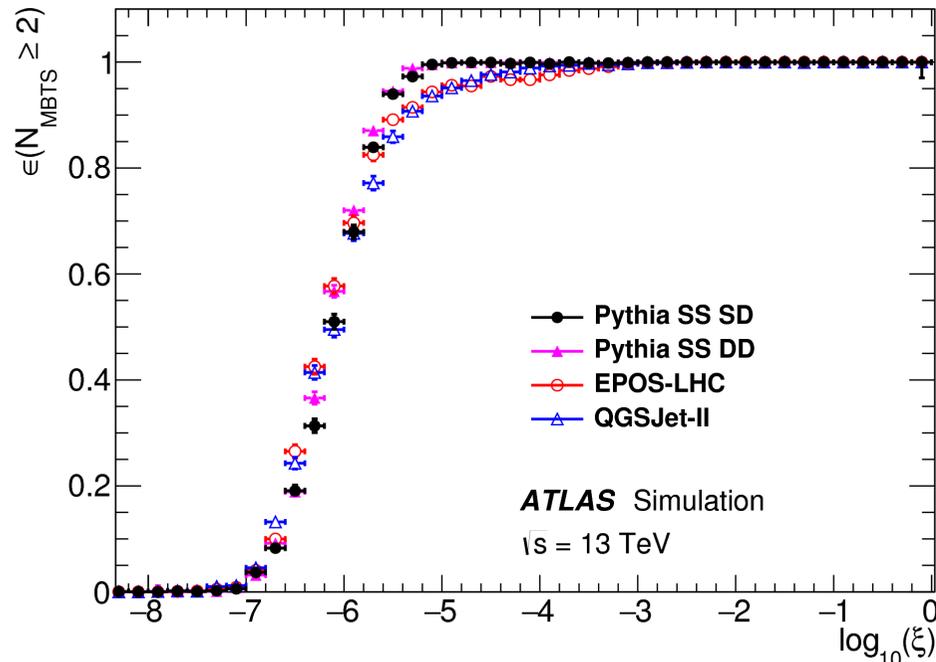
- MBTS sees 90-95% of all inelastic events  $\rightarrow$  “simple” counting experiment.

- Complication: controlling low mass diffractive dissociation that leaves no signal in MBTS ( $M_X < \sim 13$  GeV)

$$\xi = \frac{M_X^2}{s}$$



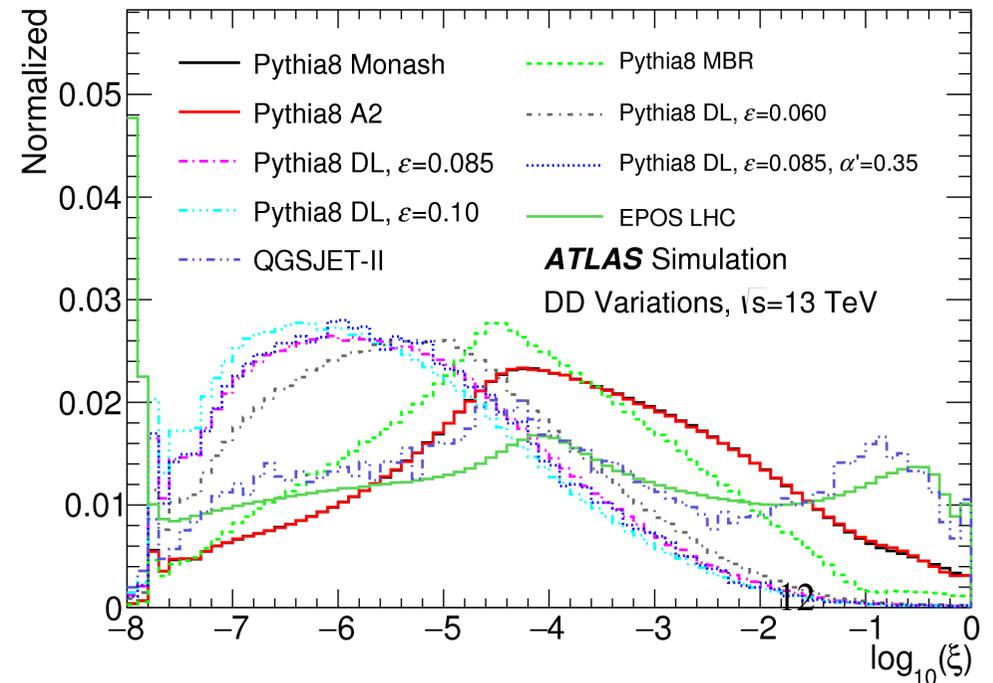
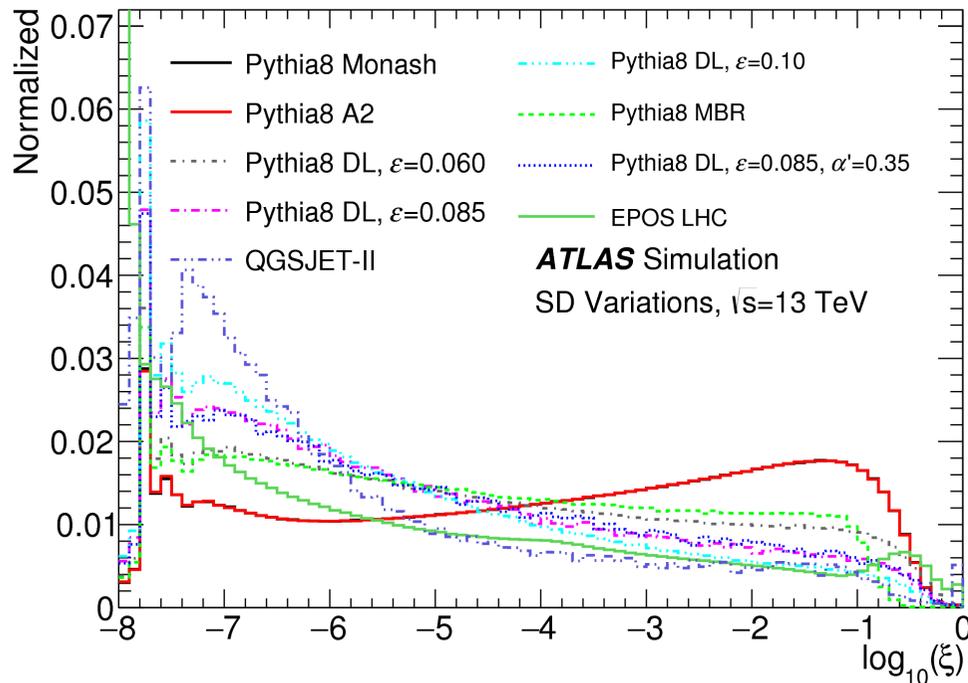
# Why low mass diffraction is a problem



$$\Delta\eta \approx -\ln \xi$$

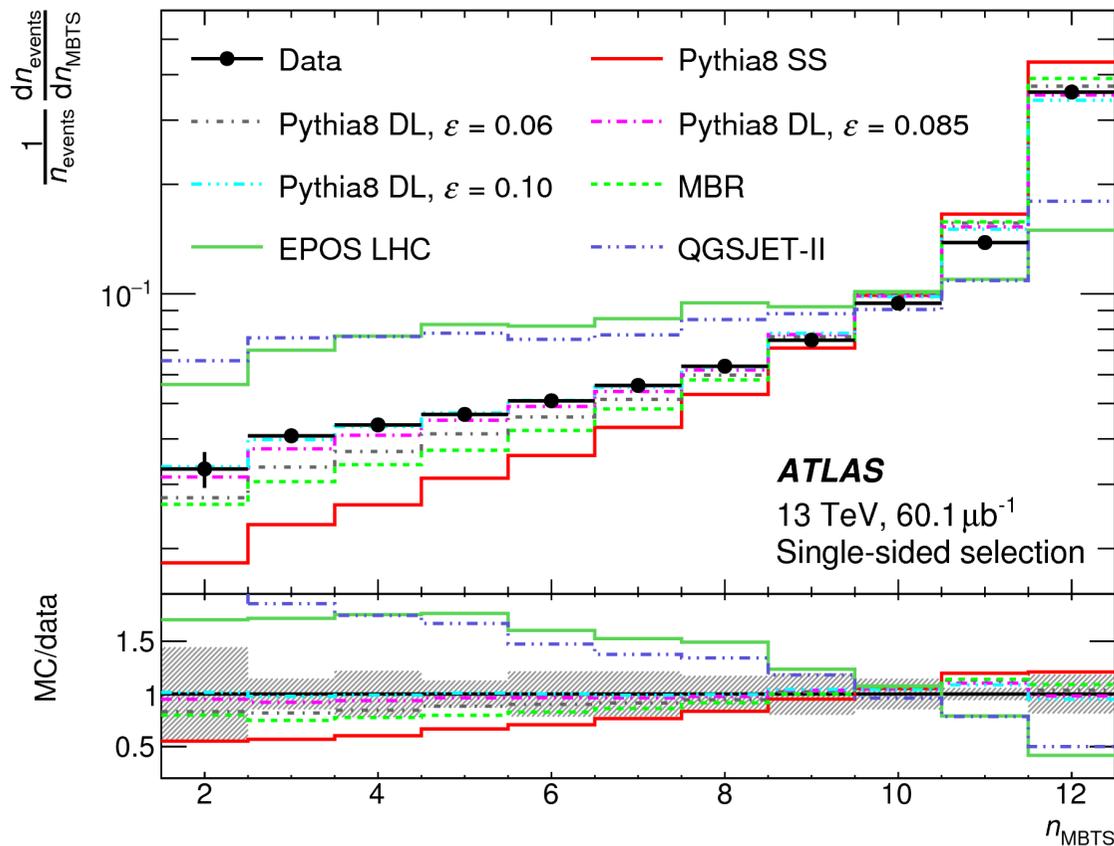
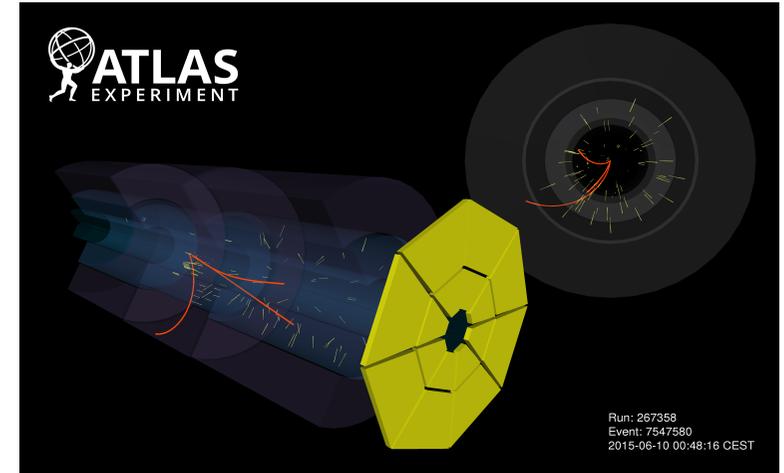
Acceptance limit of MBTS  
 ( $|\eta| \sim 4$ ) corresponds to  $\xi \sim 10^{-6}$

Cross section for  $\xi < 10^{-6}$   
 poorly constrained  $\rightarrow$  fiducial  
 region defined as  $\xi > 10^{-6}$



# Benchmarking Diffractive MC models

“Single Sided” sample:  
 ... activity on one side of MBTS,  
 empty on other: enriched in SD events

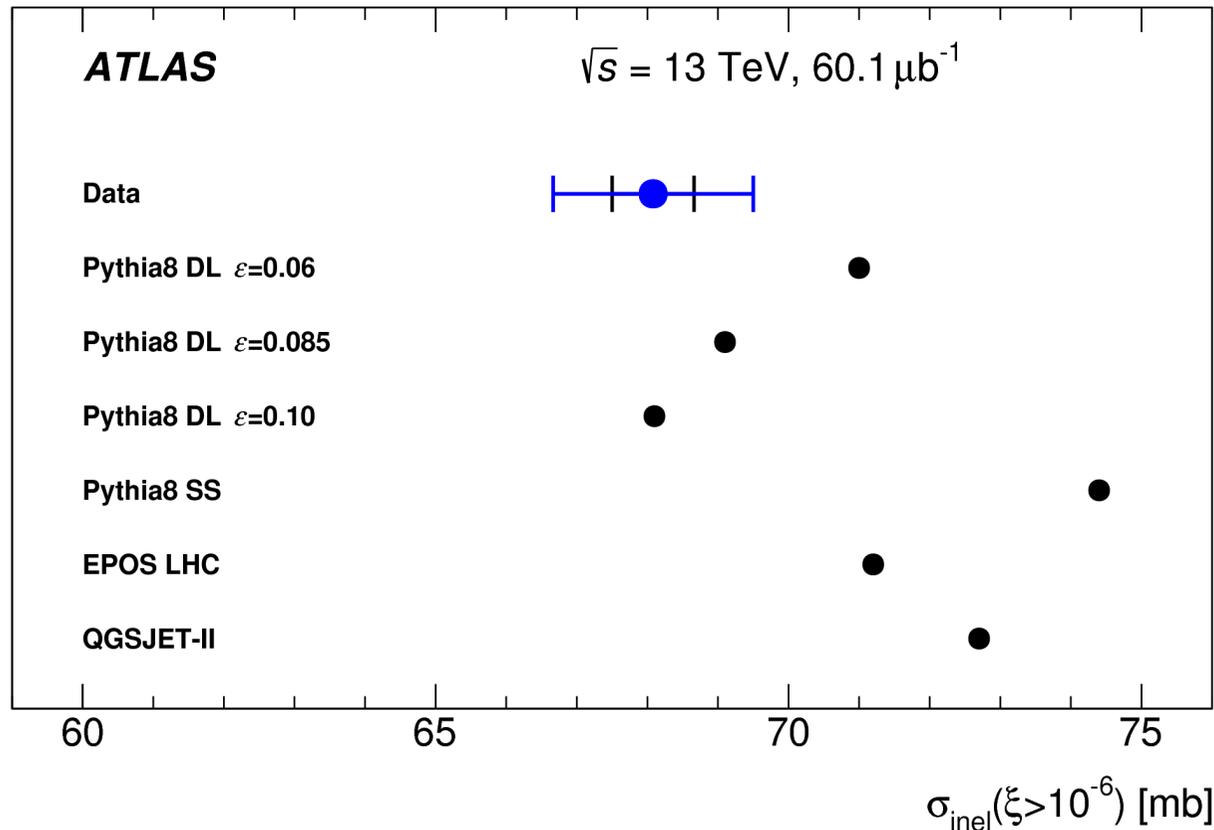


→ MBTS multiplicity in single sided sample distinguishes between MC diffraction models

... Baseline MC is PYTHIA8 with DL pomeron flux and  $\alpha_{\text{IP}}(0) = 1.085$

# Cross Section in Fiducial Range

$$\sigma_{\text{inel}}^{\text{fid}}(13 \text{ TeV}) = 68.1 \pm 0.6 (\text{exp.}) \pm 1.3 (\text{lumi}) \text{ mb}$$



- Correction factor to reach fiducial region  $\xi < 10^{-6}$  is 0.7%

- 1.9% luminosity uncertainty (from Van der Meer scans)

- Donnachie-Landshoff implementation in PYTHIA8 consistent with data within  $\sim 2\sigma$  for  $\alpha_{\text{IP}}(0) = 1.06 \dots 1.14$

- EPOS, QGSJET, PYTHIA8 S&S ( $\alpha_{\text{IP}}(0) = 1$ ) exceed result by  $> 2\sigma$

# Extrapolation to Full Inelastic Cross Sec

Data-driven extrapolation into region with  $\xi < 10^{-6}$ , with minimal dependence on MC models:

$$\sigma_{\text{inel}} = \sigma_{\text{inel}}^{\text{fid}} + \sigma^{7 \text{ TeV}}(\xi < 5 \times 10^{-6}) \times \frac{\sigma^{\text{MC}}(\xi < 10^{-6})}{\sigma^{7 \text{ TeV, MC}}(\xi < 5 \times 10^{-6})}$$

$$\sigma^{7 \text{ TeV}}(\xi < 5 \times 10^{-6}) = \sigma_{\text{inel}}^{7 \text{ TeV}} - \sigma^{7 \text{ TeV}}(\xi > 5 \times 10^{-6}) = 11.0 \pm 2.3 \text{ mb}$$

from ALFA result

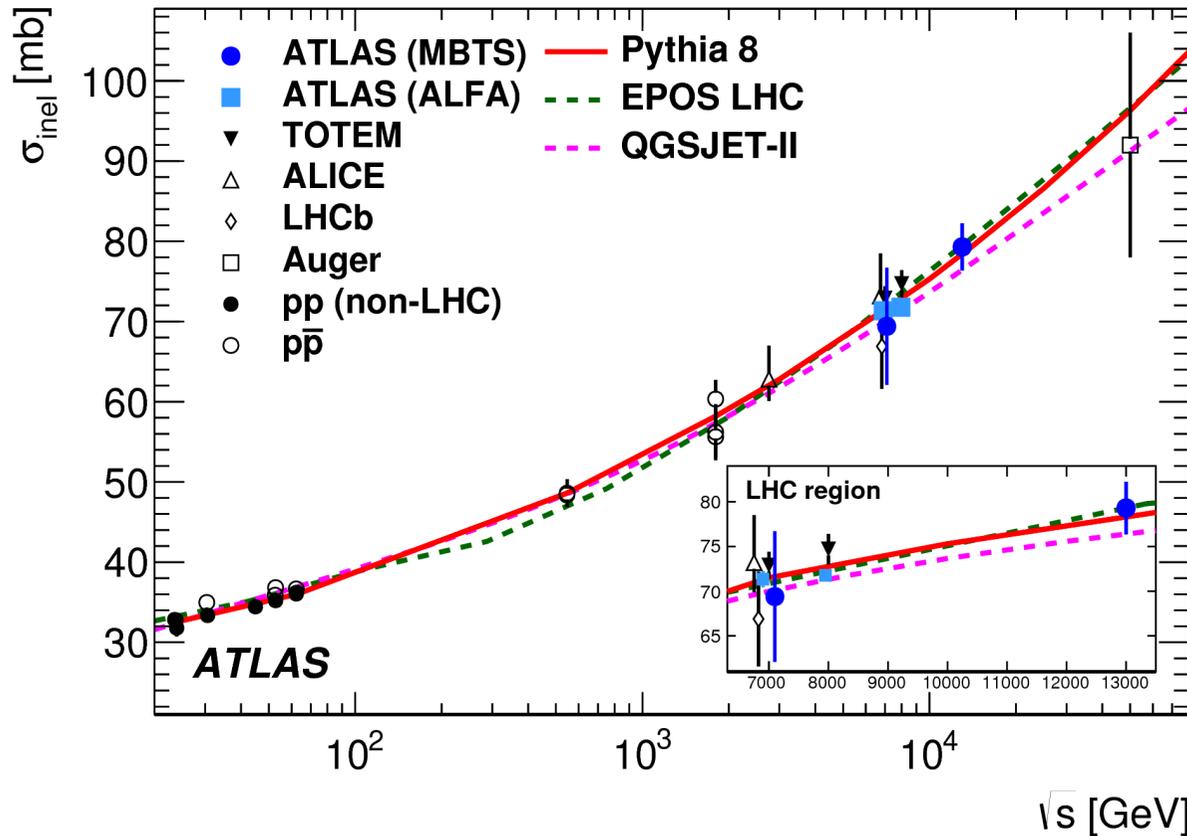
previous fiducial MBTS result

$$\frac{\sigma^{\text{MC}}(\xi < 10^{-6})}{\sigma^{7 \text{ TeV, MC}}(\xi < 5 \times 10^{-6})} = 1.015 \pm 0.081$$

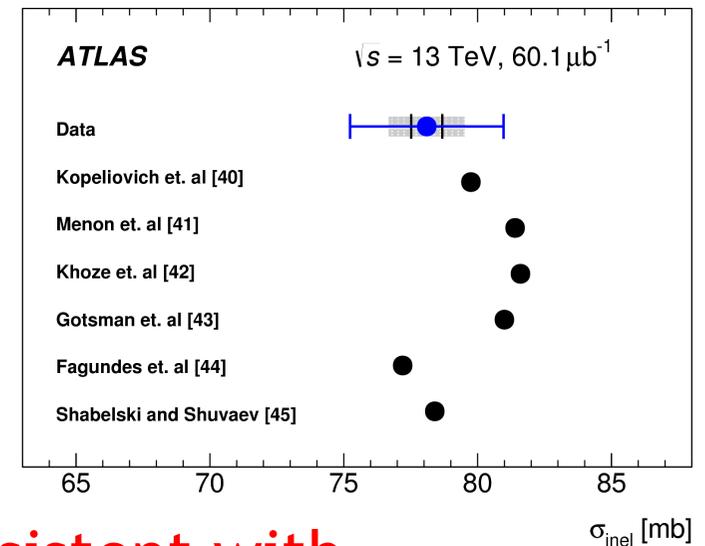
... extrapolation uncertainty is 2.5 mb, dominates in total inelastic cross section measurement

# Extrapolation to Full Inelastic Cross Sec

$$\sigma_{inel} = 79.3 \pm 0.6(\text{exp}) \pm 1.3(\text{lum}) \pm 2.5(\text{extr}) \text{ mb}$$



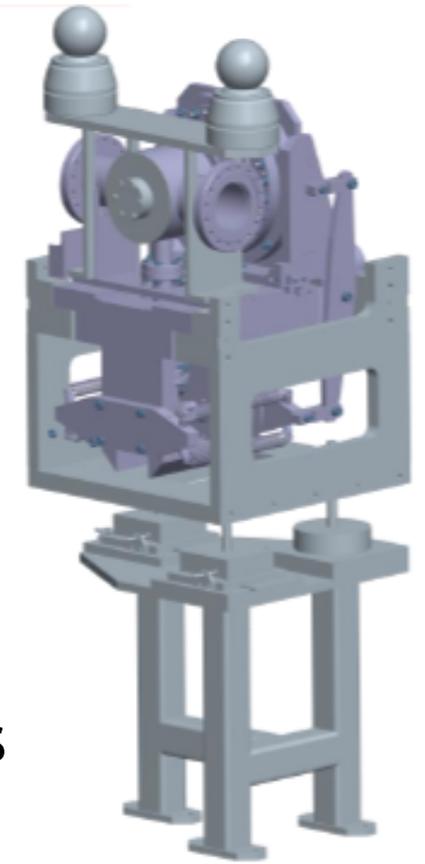
Continued growth with centre of mass energy ( $9 \pm 4\%$  above ALFA 7 TeV)



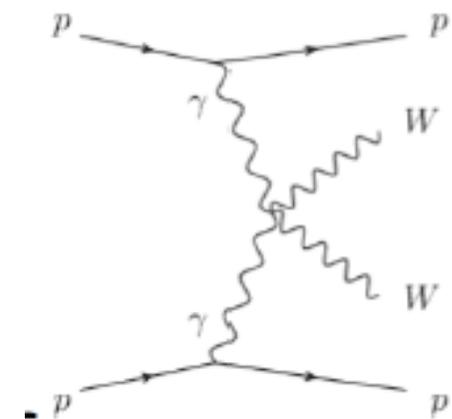
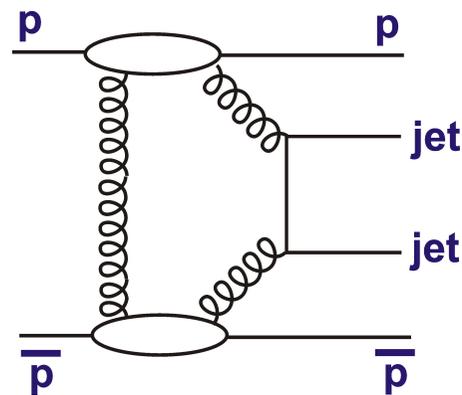
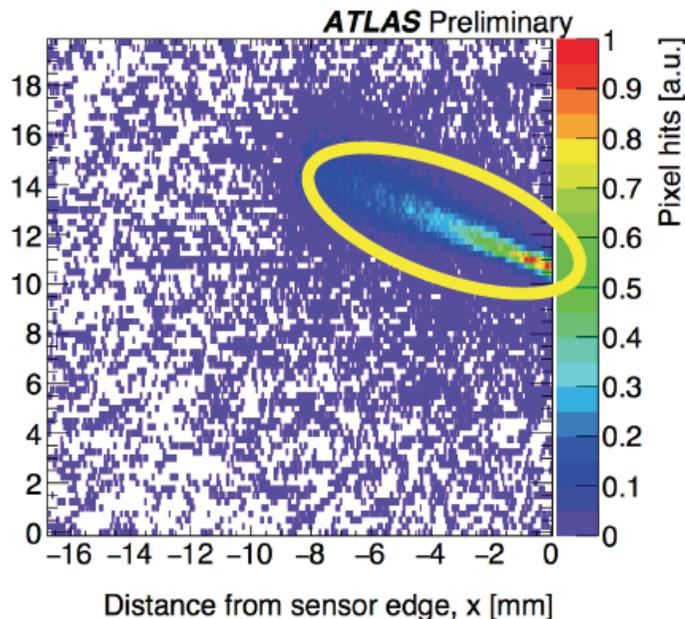
- Within current uncertainties, result consistent with indicative selection of models based on Regge phenomenology, eikonal approaches and other models of non-perturbative strong interactions

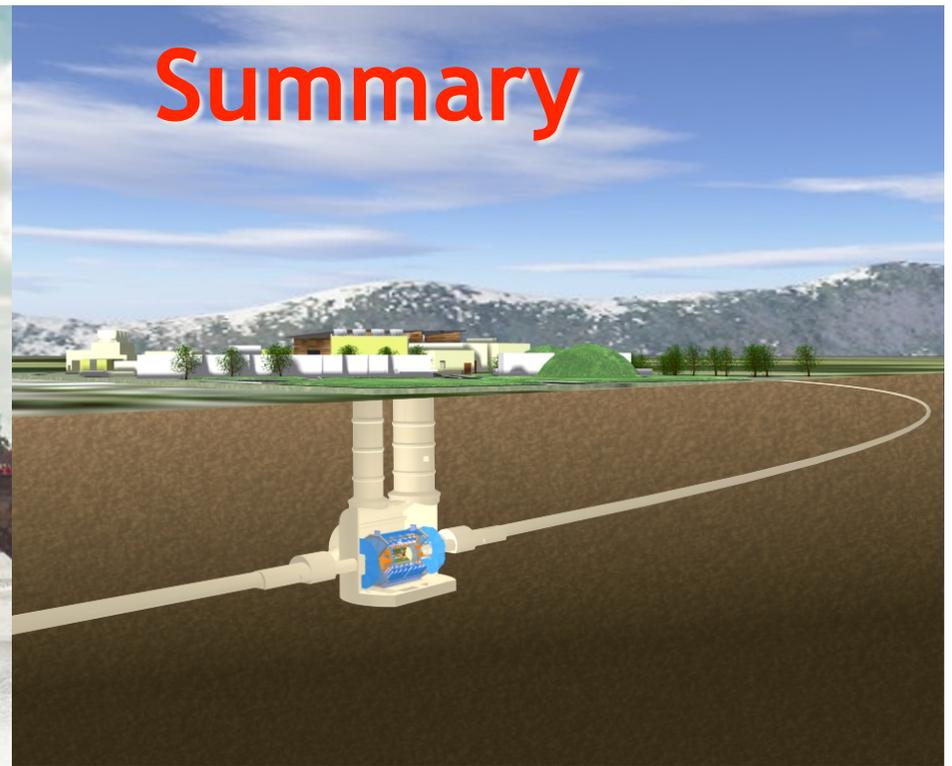
# Future at ATLAS

- 13 TeV  $\sigma_{\text{tot}}$  ALFA measurement still ongoing
- Diffractive studies still ongoing
- ALFA being preserved for 14 TeV running
- Meanwhile, focus of Roman pots in ATLAS switches towards AFP & high lumi  $\rightarrow$  rare exclusive



(or exotic) processes ...





## Proton-tagged elastic and total cross sections at $\sqrt{s} = 8$ TeV

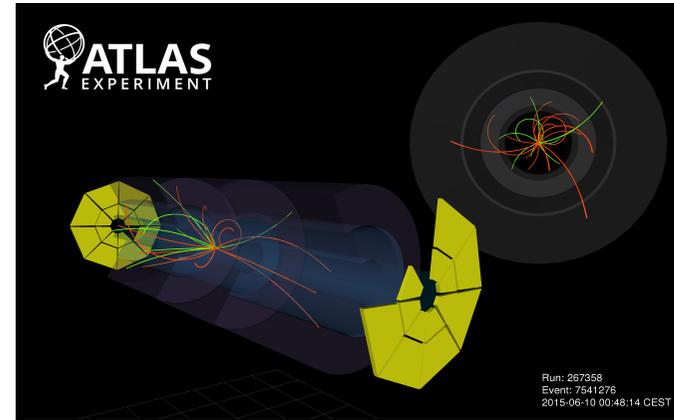
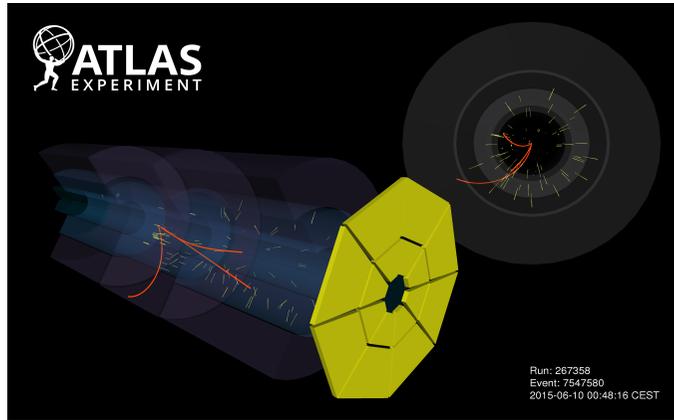
- Highly precise measurements of  $\sigma_{\text{tot}}$  ( $\rightarrow <1\%$ ),  $\sigma_{\text{el}}$ ,  $\sigma_{\text{inel}}$ ,  $B_{\text{el}}$
- Continued cross sec growth and elastic peak shrinkage with  $\sqrt{s}$
- Compatibility with TOTEM at  $2\sigma$  level

## Direct Inelastic Cross Section Measurement at $\sqrt{s} = 13$ TeV

- Improvement in precision ( $\rightarrow 2\%$ ) over previous data.
- Some discrimination between models
- Consistent with ATLAS-ALFA extractions using optical theorem

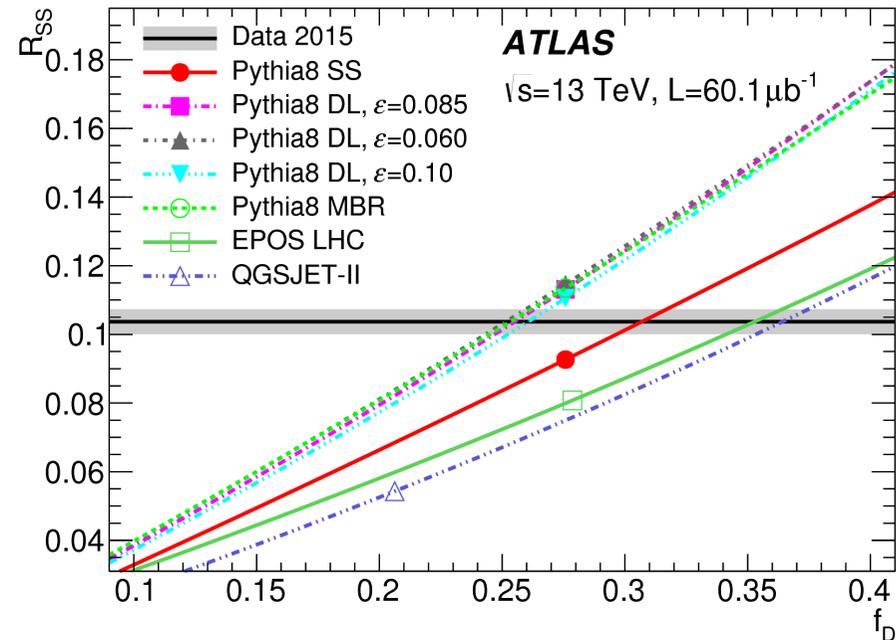
# Back-ups / Working material

# Tuning Diffractive MC models



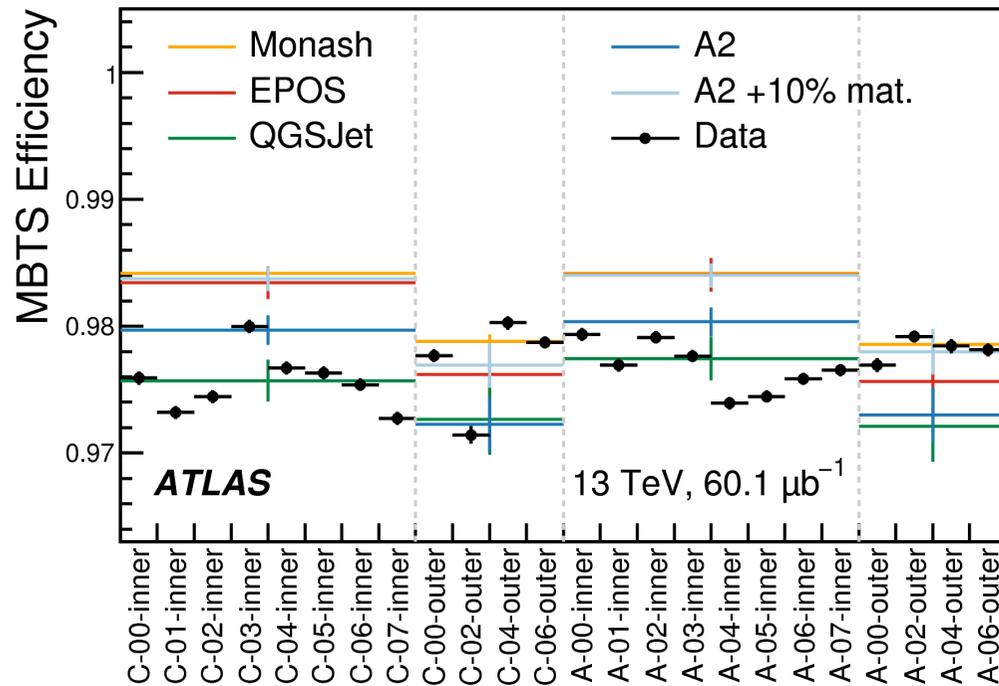
$R_{SS}$  = Ratio of single sided to double sided MBTS samples ... used to tune fractions of events considered diffractive in each MC model

Baseline MC is PYTHIA8 with DL pomeron flux and  $\alpha_{IP}(0) = 1.085$



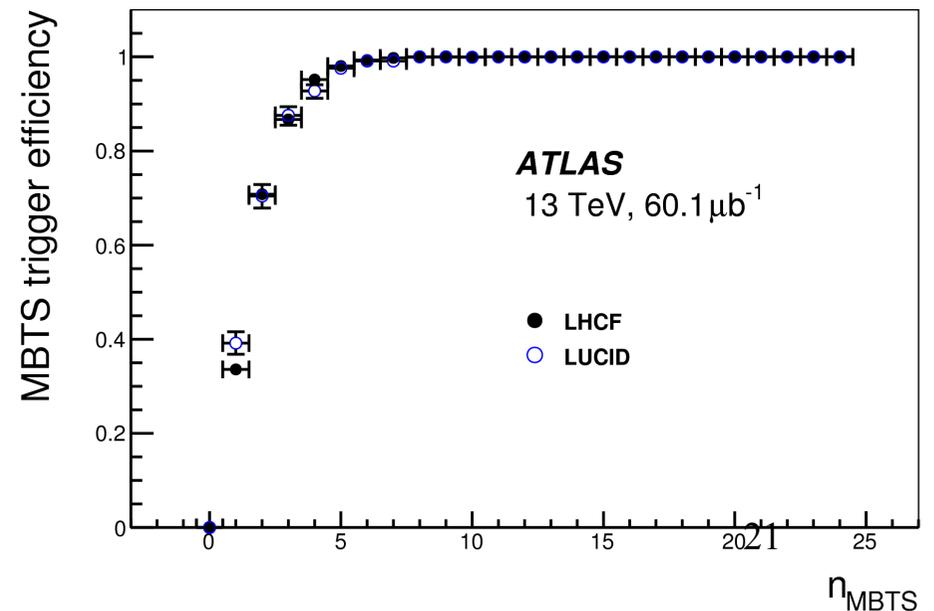
- Uncertainty in  $\xi > 10^{-6}$  fiducial region dominated by luminosity
- After extrapolation to full  $\sigma_{inel}$ , model uncertainty dominates

# Controlling MBTS Efficiency with Data



- Efficiencies for each MBTS counter measured relative to tracks in inner detector where possible and calorimeter clusters where not.
- MC efficiencies tuned accordingly

- Trigger efficiencies monitored relative to independent LUCID and LHCf triggers
- Efficiency / acceptance depends on MBTS segment multiplicity
- Analysis selection is  $N_{\text{MBTS}} \geq 2$



# Fiducial Cross Section Extraction

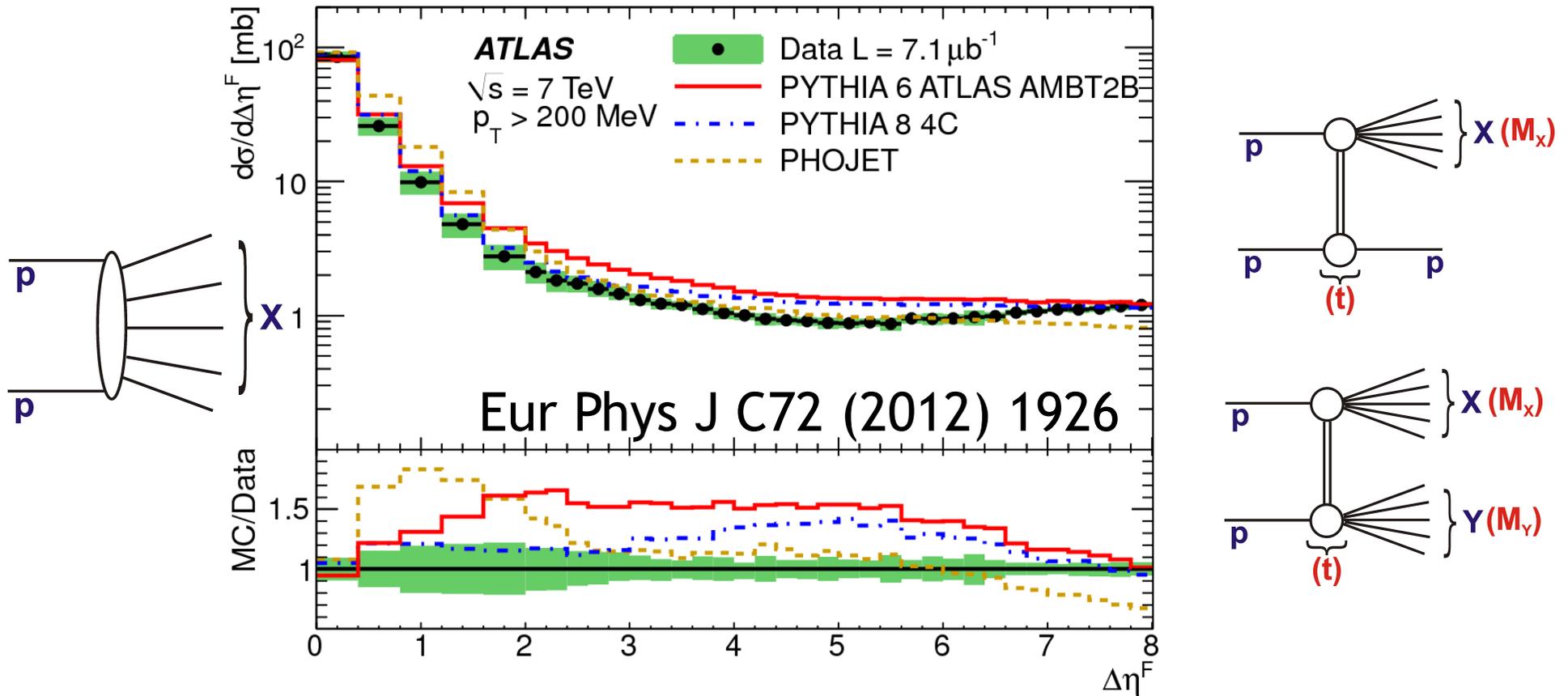
$$\sigma_{\text{inel}}^{\text{fid}} (\xi > 10^{-6}) = \frac{N - N_{\text{BG}}}{\epsilon_{\text{trig}} \times \mathcal{L}} \times \frac{1 - f_{\xi < 10^{-6}}}{\epsilon_{\text{sel}}} \quad \text{DROP???$$

- $N_{\text{BG}}$ : Small background from beam-gas, radiation & activation, determined using triggers in non-colliding bunches
- $(1 - f_{\xi < 10^{-6}}) / \epsilon_{\text{sel}} = C_{\text{MC}} = \text{MC acceptance and migration correction}$
- Luminosity from final calibration of Van der Meer scan  
 $\rightarrow 1.9\%$  error

Factor	Value	Rel. uncertainty
Number of events passing the inclusive selection ( $N$ )	4159074	—
Number of background events ( $N_{\text{BG}}$ )	51187	$\pm 50\%$
Integrated luminosity [ $\mu\text{b}^{-1}$ ] ( $\mathcal{L}$ )	60.1	$\pm 1.9\%$
Trigger efficiency ( $\epsilon_{\text{trig}}$ )	99.7%	$\pm 0.3\%$
MC correction factor ( $C_{\text{MC}}$ )	99.3%	$\pm 0.5\%$

$$\sigma_{\text{inel}}^{\text{fid}} (13 \text{ TeV}) = 68.1 \pm 0.6 (\text{exp.}) \pm 1.3 (\text{lumi}) \text{ mb}^{22}$$

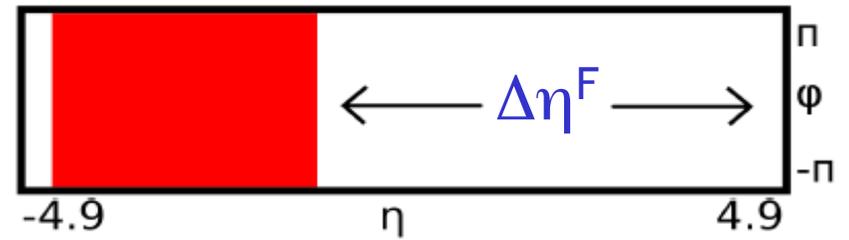
# Inclusive Differential Gap Cross Section



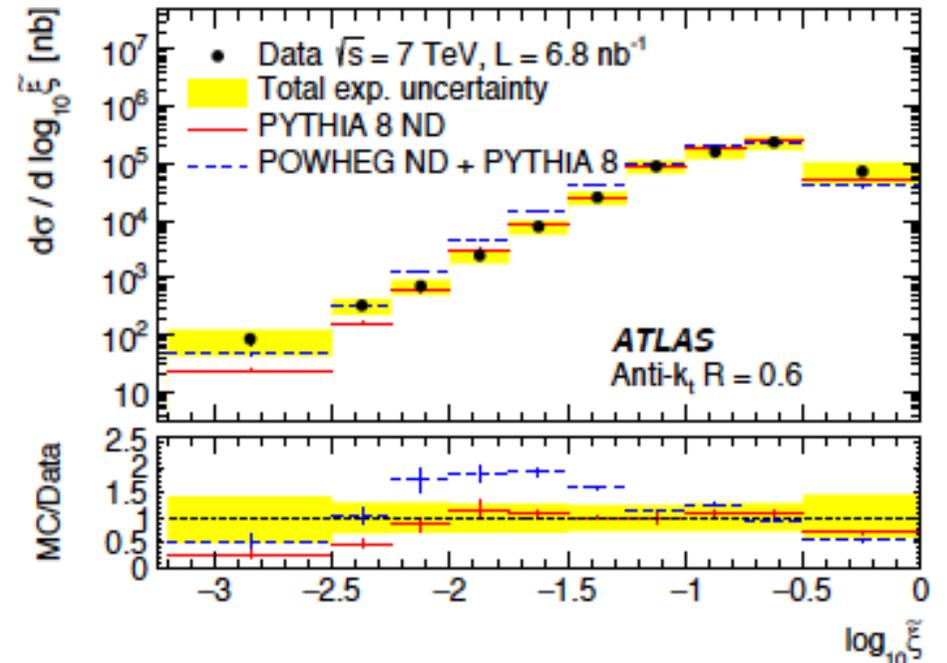
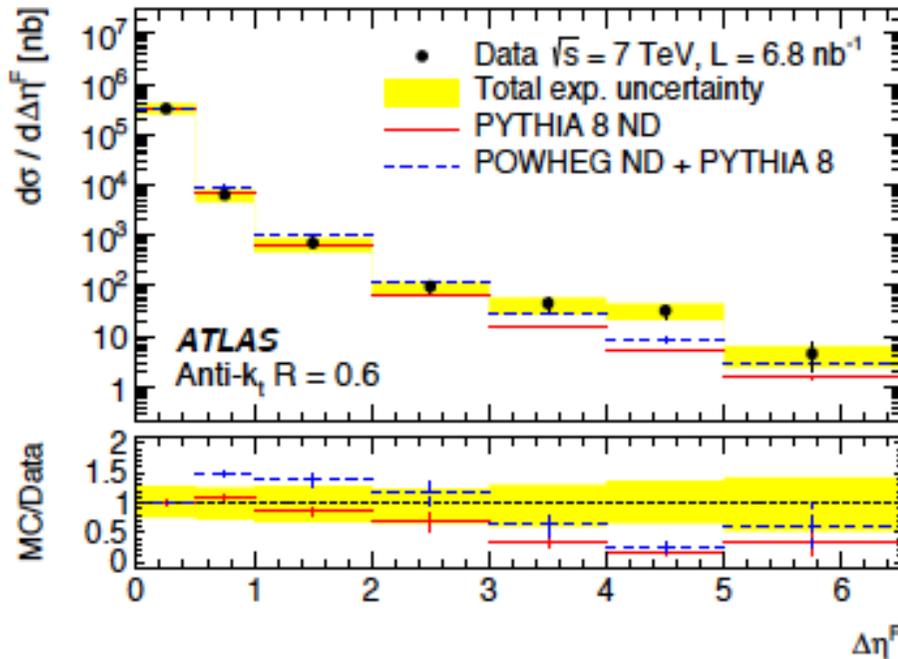
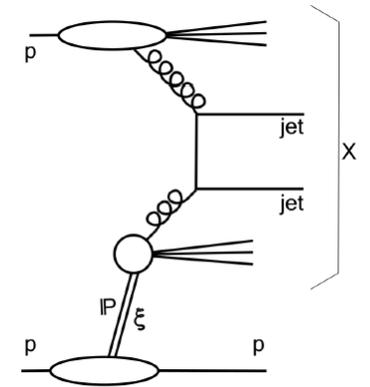
- Large  $\Delta\eta^F$ : Diffractive plateau with  $\sim 1\text{mb}$  per unit gap size, consistent with soft pomeron ( $\alpha_{\text{IP}}(0) = 1.058 \pm 0.036$ )
- Small  $\Delta\eta^F$ : sensitive to hadronisation fluctuations / MPI in ND

Can the same method be applied to hard diffractive processes?...

# Corrected Diffractive Jet Data v Non-Diffractive Models



- Kinematic suppression of large gaps  $\rightarrow$  no clear diffractive plateau (unlike minimum bias case)
- ND models matched to data at small gap sizes give contributions compatible with data up to largest  $\Delta\eta^F$  and smallest  $\xi$  ... **no clear diffractive signal ...**



# Evidence for Diffractive Contribution

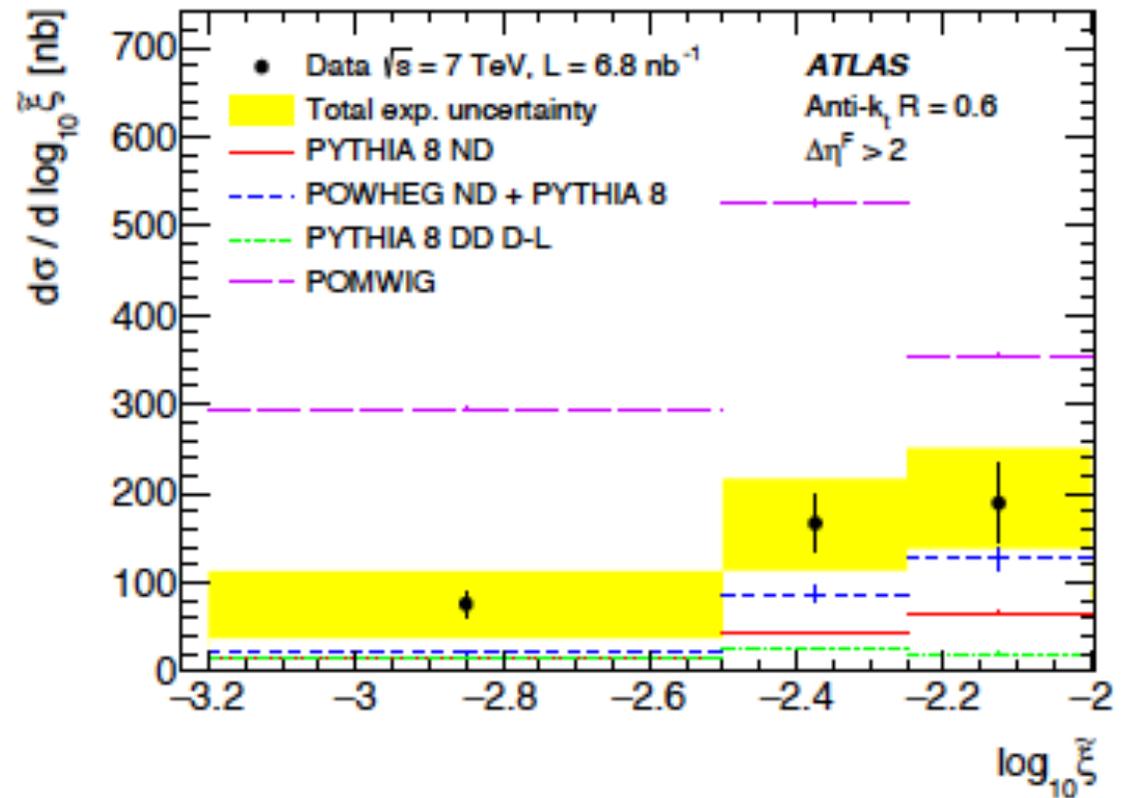
Focusing on small  $\xi$ , whilst simultaneously requiring large gap size ( $\Delta\eta_F > 2$ ) gives best sensitivity to diffractive component

→ Models with no SD jets are below data by factor  $> \sim 3$

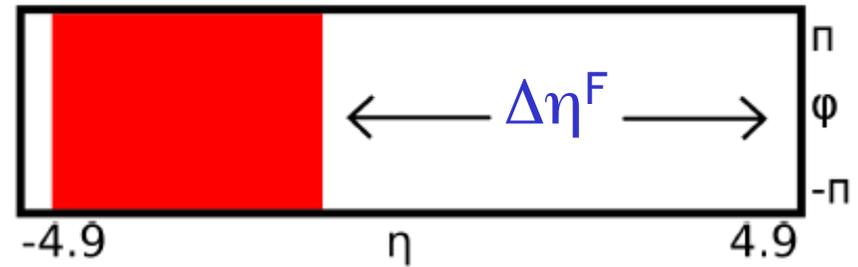
→ Comparison of smallest  $\xi$  with DPDF-based model (POMWIG) leads to rapidity gap survival probability estimate ...

- Model dependence not investigated in detail
- In context of POMWIG, using anti- $k_T$  with  $R=0.6$ :

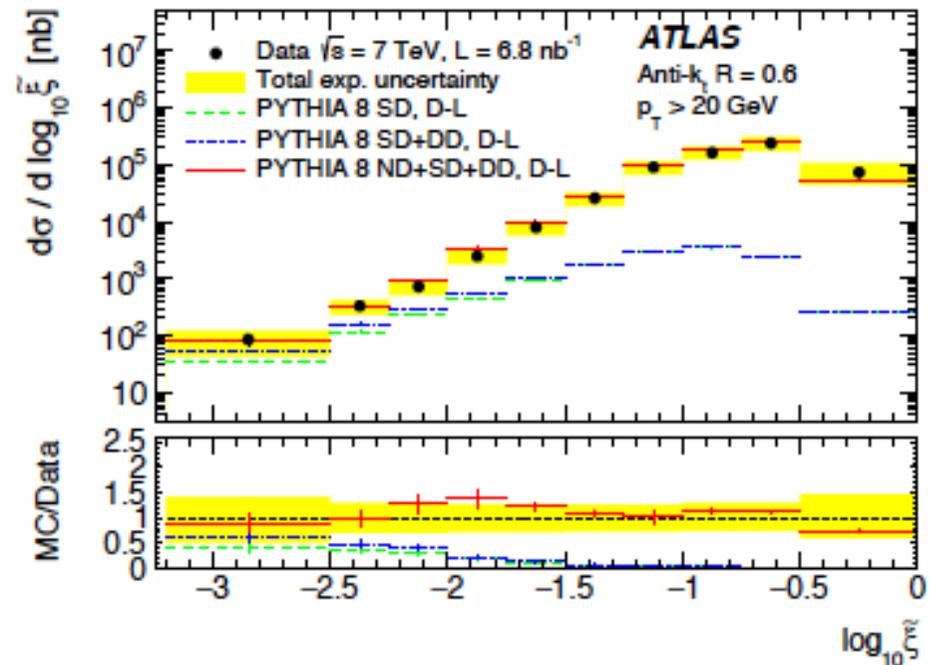
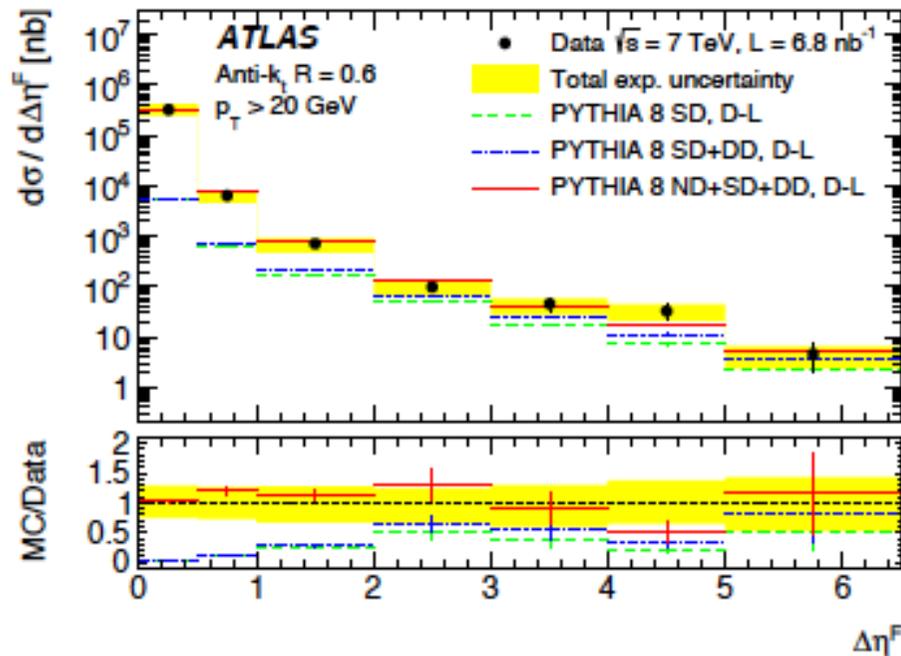
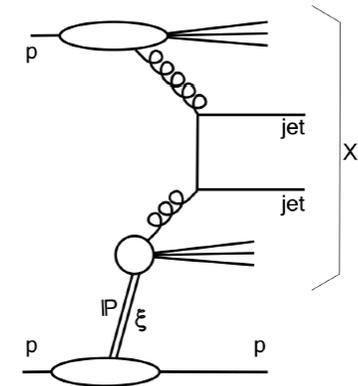
$$S^2 = 0.16 \pm 0.04 \text{ (stat.)} \pm 0.08 \text{ (exp. syst.)} ,$$



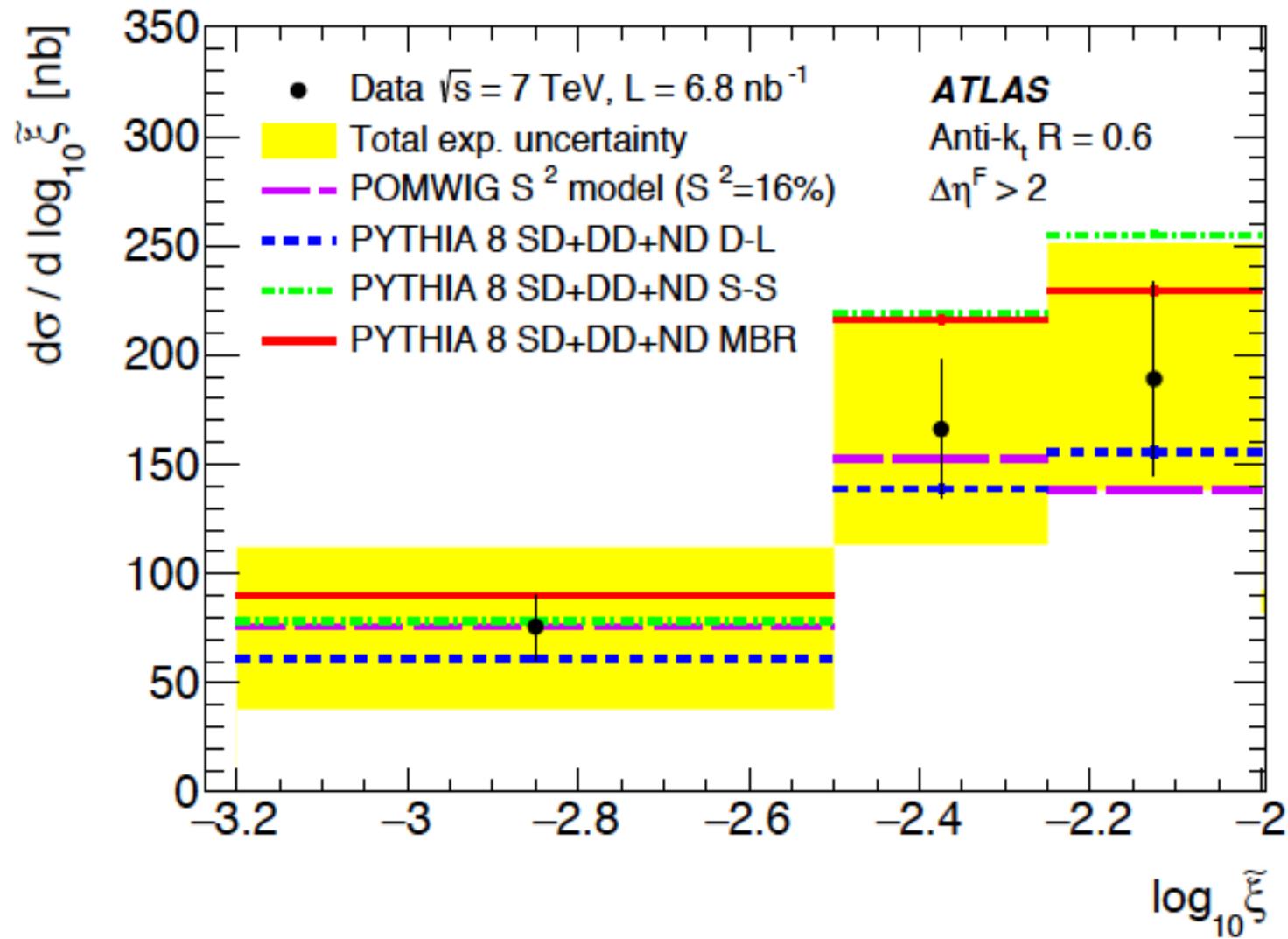
# Comparison with Full PYTHIA8



‘Off-the shelf’ PYTHIA8 ND\*0.71 + SD + DD does a good job at all  $\Delta\eta_F$  and  $\xi$ , with no need for a gap survival factor (though ND dominates, so compatible with a wide range of  $S^2$  values).

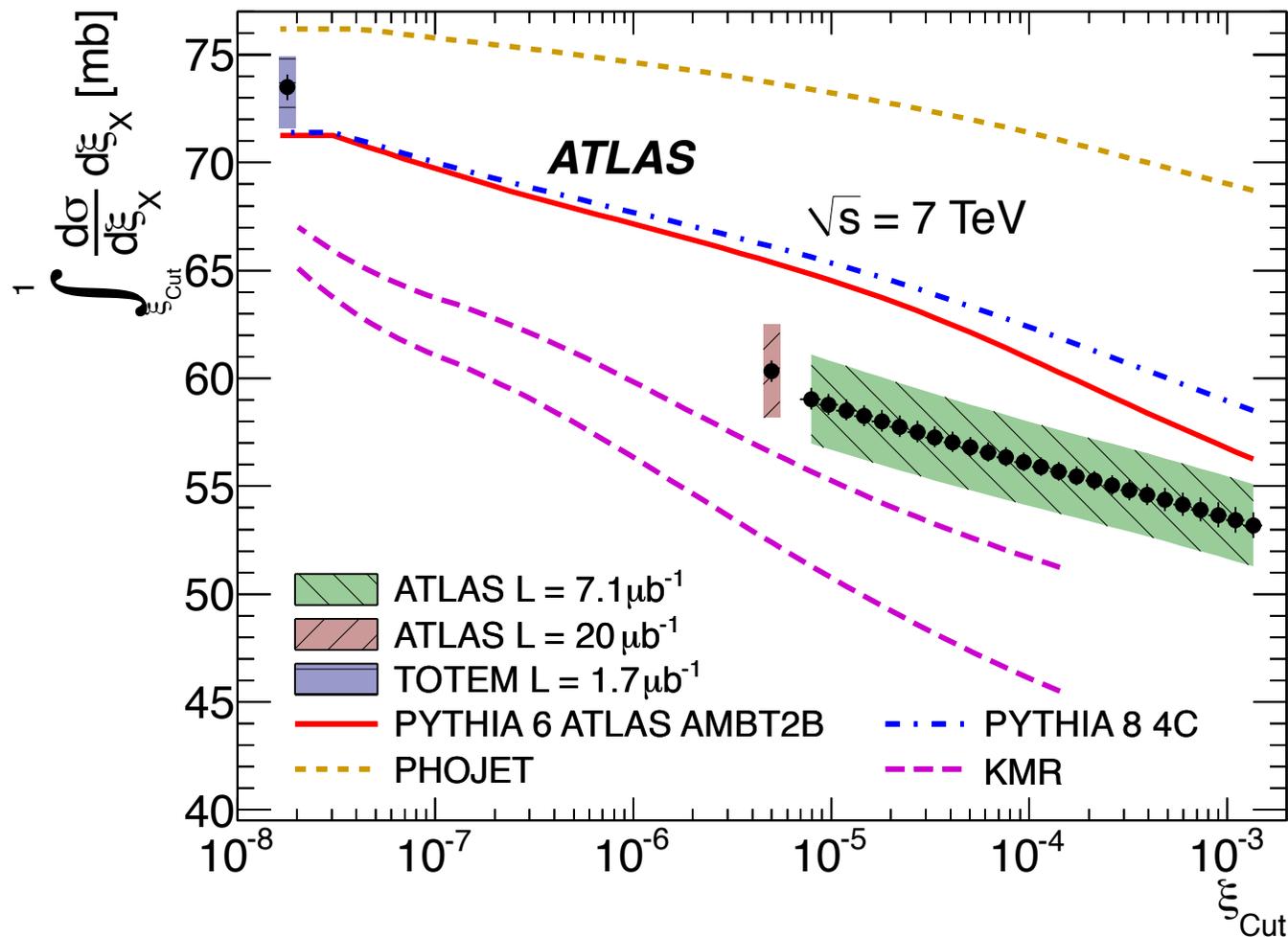


# Diffractive Models Focusing on $\Delta\eta^F > 2$



Phys Lett B754 (2016), 214

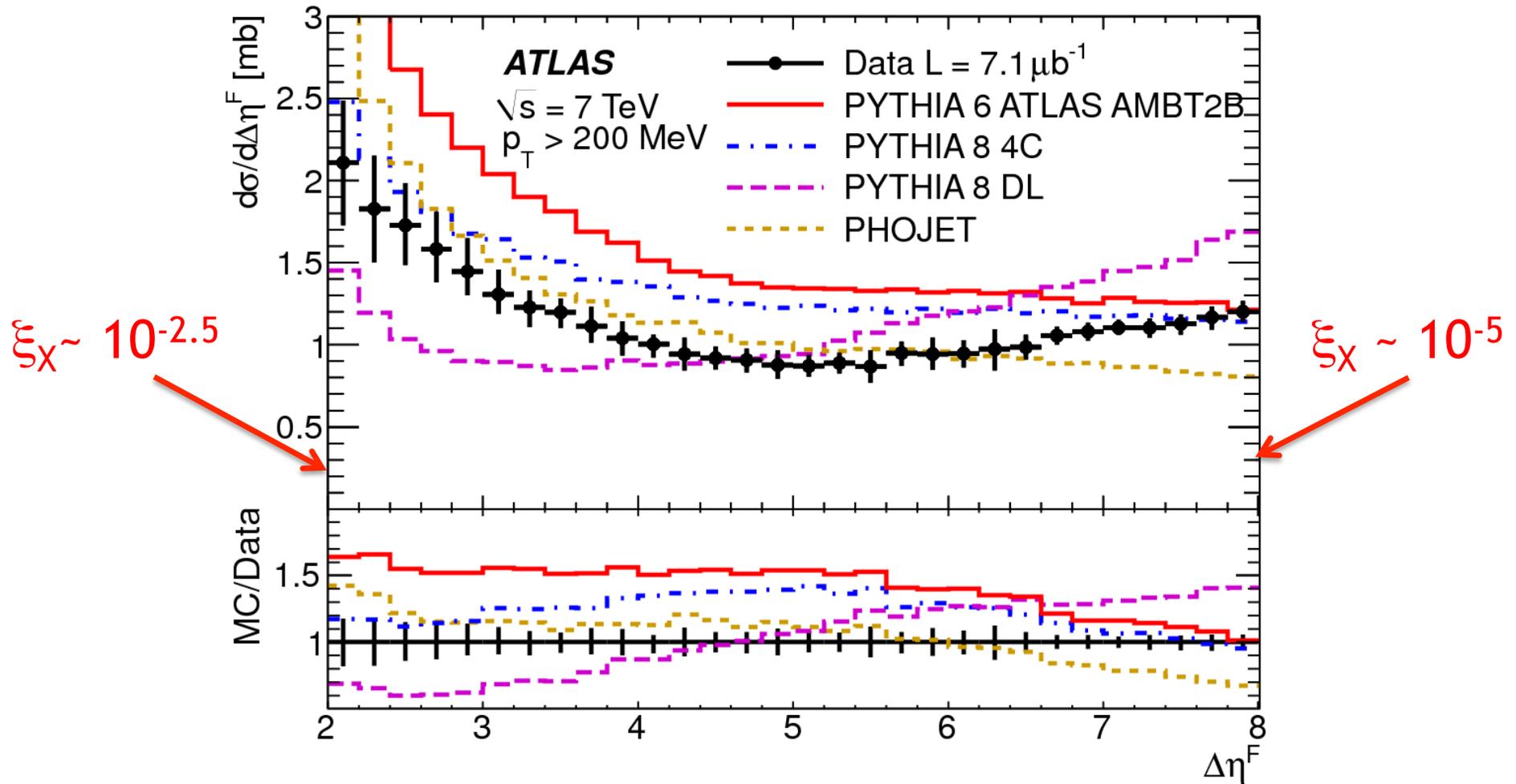
# Investigating Low Mass Extrapolations



[Inelastic cross section excluding diffractive channels with  $\xi < \xi_{\text{cut}}$ ]

- Integrating ATLAS gap cross section up to some max  $\Delta\eta^F$  (equivalently min  $\xi_x$ ) and comparing with TOTEM indicates that small  $\xi_x$  region underestimated in PHOJET and PYTHIA:
- 14 mb with  $\xi < 10^{-5}$ , compared to 6 (3) mb in PYTHIA (PHOJET)

# Large Gaps and Diffractive Dynamics



- Default PHOJET, PYTHIA have  $\alpha_{\text{IP}}(0) = 1$ ; DL has  $\alpha_{\text{IP}}(0) = 1.085$
- Fit to large  $\Delta\eta^F$  data:  $\alpha_{\text{IP}}(0) = 1.058 \pm 0.003$  (stat)  $\pm 0.036$  (syst)
- CMS also favour intermediate value of  $\alpha_{\text{IP}}(0)$

# Large Gaps and Diffractive Dynamics

- Diffractive plateau with  $\sim 1$  mb per unit of gap size for  $\Delta\eta^F > 3$
- Broadly described by models
- $\alpha_{\text{IP}}(0) = 1.058 \pm 0.036$  (ATLAS)
- Further significant progress will require proton tagging to unfold SD from DD and ND

