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Transverse Momentum Dependent splitting kernels from k_T - factorization

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in collaboration with O. Gituliar, K. Kutak, A. Kusina, M. Serino

arXiv:1511.08439, JHEP 1601 (2016) 181.

arXiv:1607.0150, PRD 94 (2016) no.11, 114013.

arXiv:1711.0458, EPJC 78 (2018) no.3, 174.

EPS-HEP2019, July 10-17, Ghent, Belgium

Hannes Jung@ RBRC-BNL workshop June 2017:

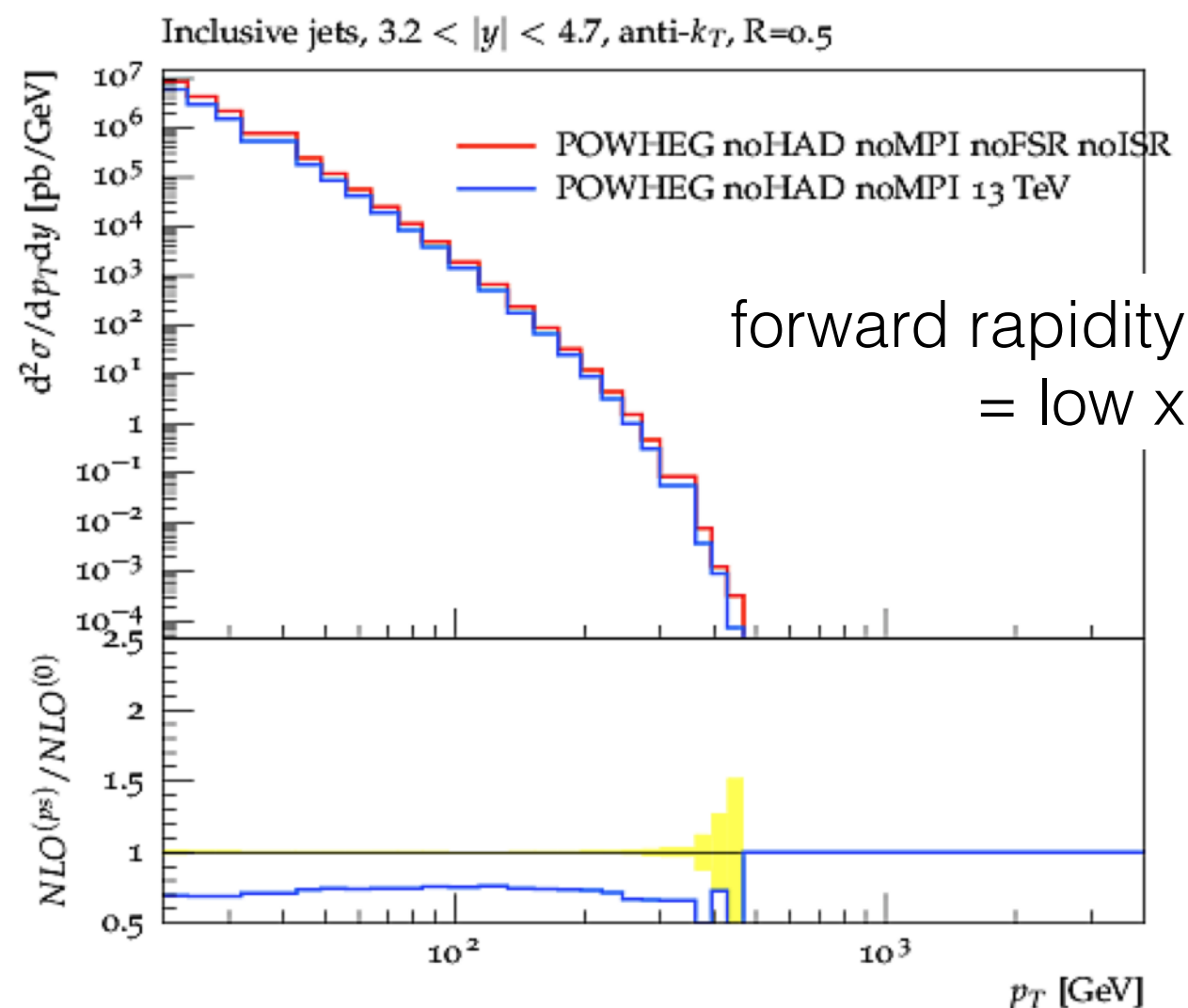
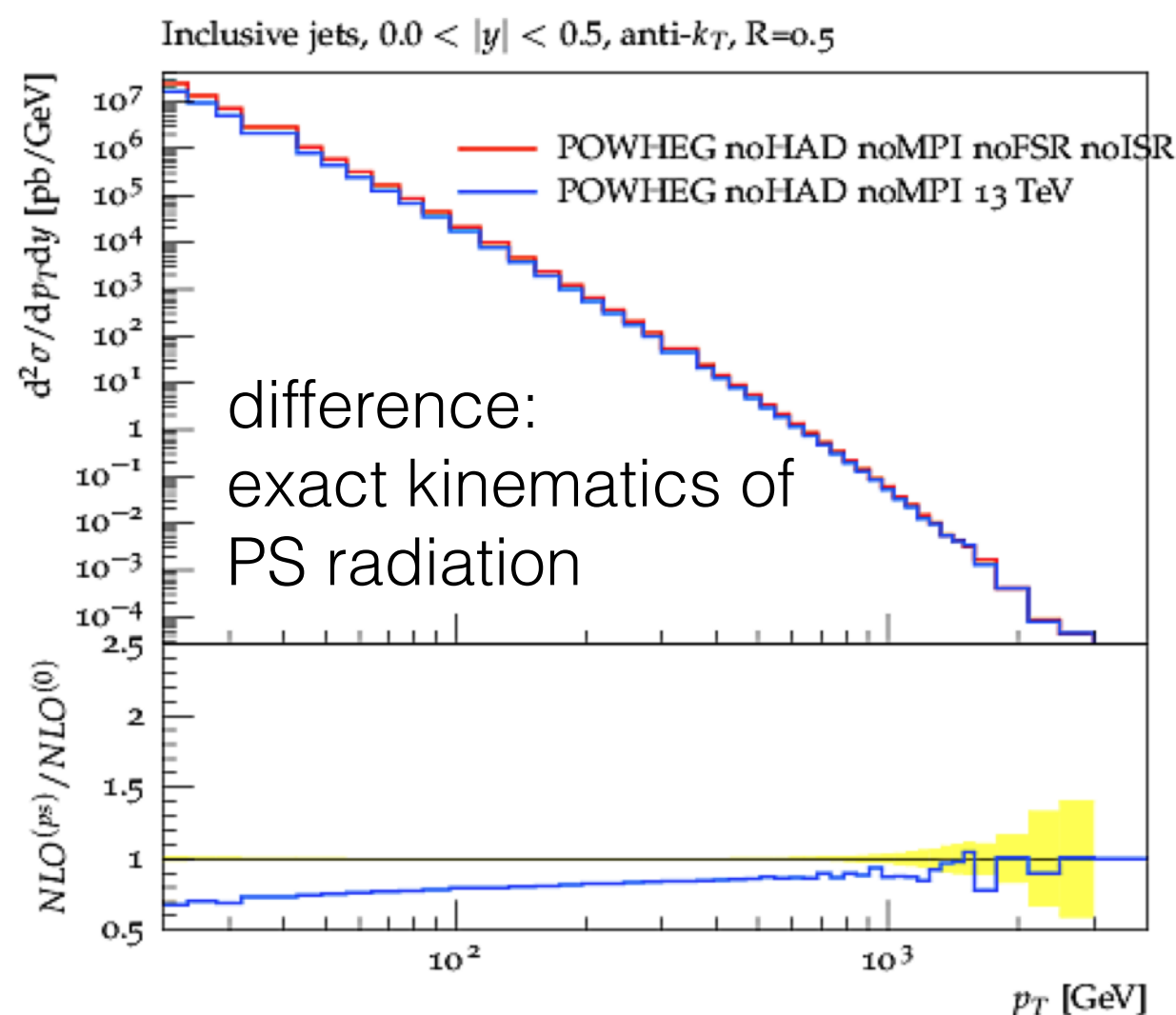
parton shower (MC) vs pure
NLO for single inclusive jet
→ must agree!

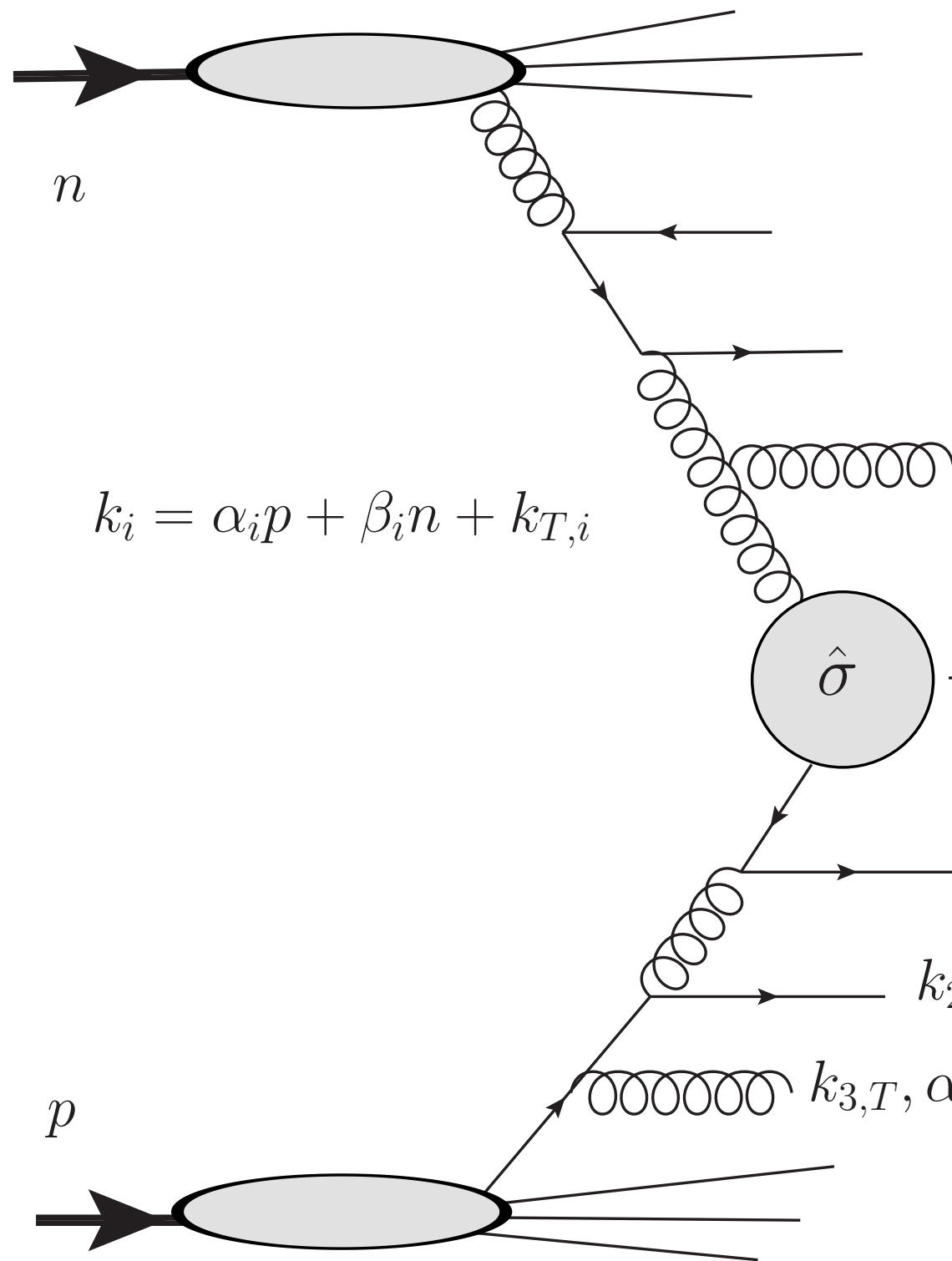
Approach described in: S. Dooling et al
Phys.Rev., D87:094009, 2013.

- use NLO+PS to calculate:

$$K^{PS} = \frac{N_{NLO-MC}^{(ps)}}{N_{NLO-MC}^{(0)}}$$

- Corrections to be applied to fixed order NLO calculations:
 - kinematic effects: TMDs !
 - radiation outside of jet-cone

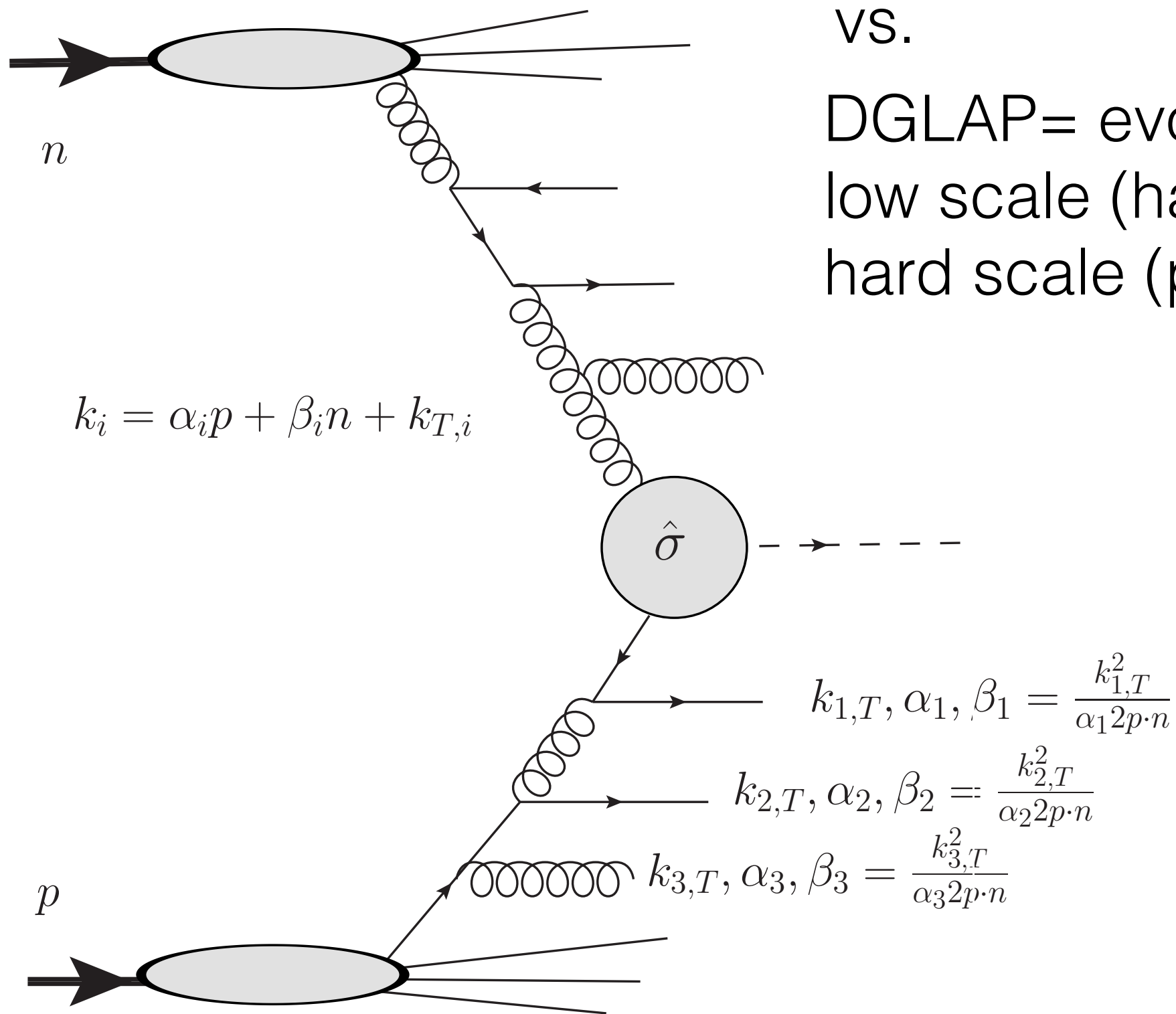




evolution where transverse momentum is conserved:
 BFKL= evolution from large $x \sim 10^{-2}$ to low $x \sim 10^{-6}$

proton momentum fraction α strongly ordered $\alpha_i \gg \alpha_j$
 (=neglect information on $\alpha \longleftrightarrow$ isolate logarithmic enhanced term \sim high energy factorization)

transverse momentum treated exactly (no approximation), but implicitly $\mathbf{k}_{i,T} \sim \mathbf{k}_{i+1,T}$



VS.

DGLAP= evolution from
low scale (hadron) to
hard scale (process)

transverse
momenta
strongly ordered
 $k_{i,T} \gg k_{i+1,T}$
(=neglect information
on $k_T \longleftrightarrow$ isolate
logarithmic enhanced
term \sim collinear
factorization)

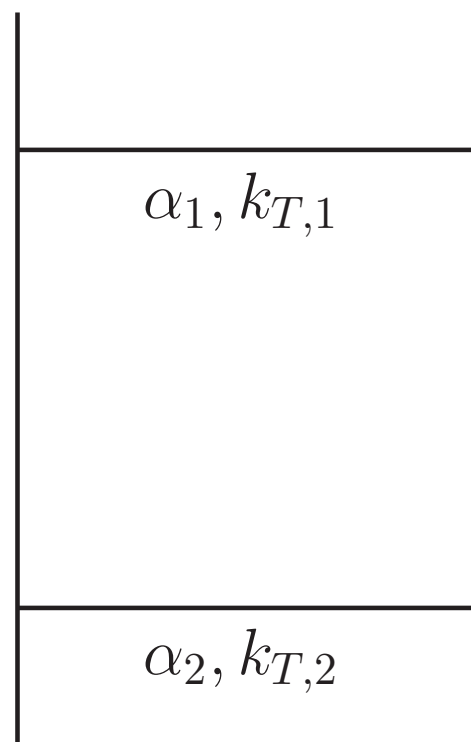
proton momentum fraction α treated exactly (no
approximation), but implicitly $\alpha_i \sim \alpha_j$

observations:

- (trivial) BFKL limited to low x region \rightarrow requires extension to treat generic observable
 - (NN)LO DLGAP evolution (hard scale) at low x plagued by large low x logs
 - NLO BFKL evolution (low x) plagued by large collinear logs
 - in both cases: exact kinematics can be a source of large higher order corrections
- \rightarrow Can we combine both evolutions and take into account kinematics (more) precisely?

A variable that unifies

both: rapidity $\eta = \frac{1}{2} \ln \frac{k^+}{k^-} = \frac{1}{2} \ln \frac{\alpha}{\beta} = \ln \frac{\alpha \sqrt{2p \cdot n}}{|k_T|} = \ln \frac{k^+}{|k_T|}$

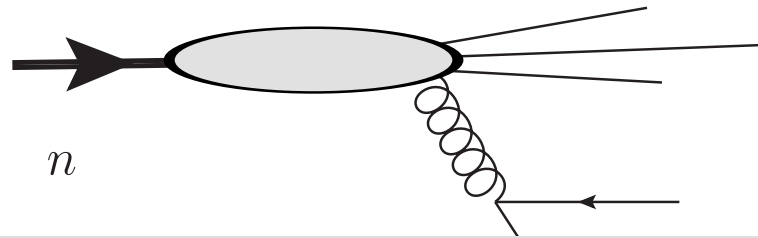


strong ordering in rapidity

$$\Delta\eta_{21} = \eta_2 - \eta_1 = \ln \left(\frac{\alpha_2 |k_{T,1}|}{\alpha_1 |k_{T,2}|} \right) \gg 1$$

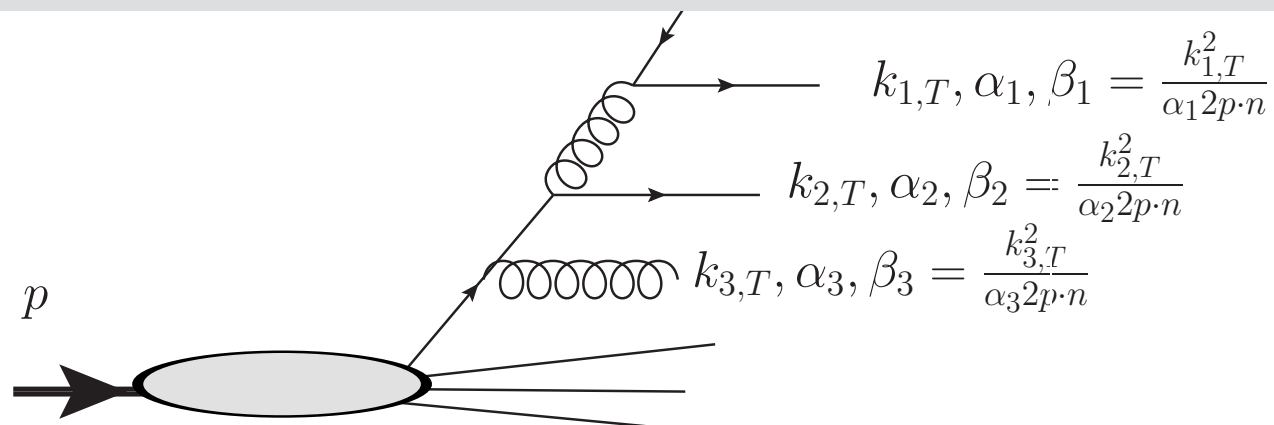
both for

- collinear factorization $k_{T1} \gg k_{T2}$
- high energy factorization $\alpha_2 \gg \alpha_1$



$$k = \alpha p + \beta n + k_T$$

ordering in rapidity vs ordering in β (momentum fraction w.r.t. collision partner)



$$\beta_1 \gg \beta_2 \gg \beta_3 \gg \dots \text{ means}$$

$$\frac{k_{T,1}^2}{\alpha_1 2n \cdot p} \gg \frac{k_{T,1}^2}{\alpha_1 2n \cdot p} \gg \frac{k_{T,1}^2}{\alpha_1 2n \cdot p} \gg \dots$$

implies: $\alpha_1 \ll \alpha_2 \ll \dots$

$$k_{T,1} \sim k_{T,2} \sim \dots$$

AND

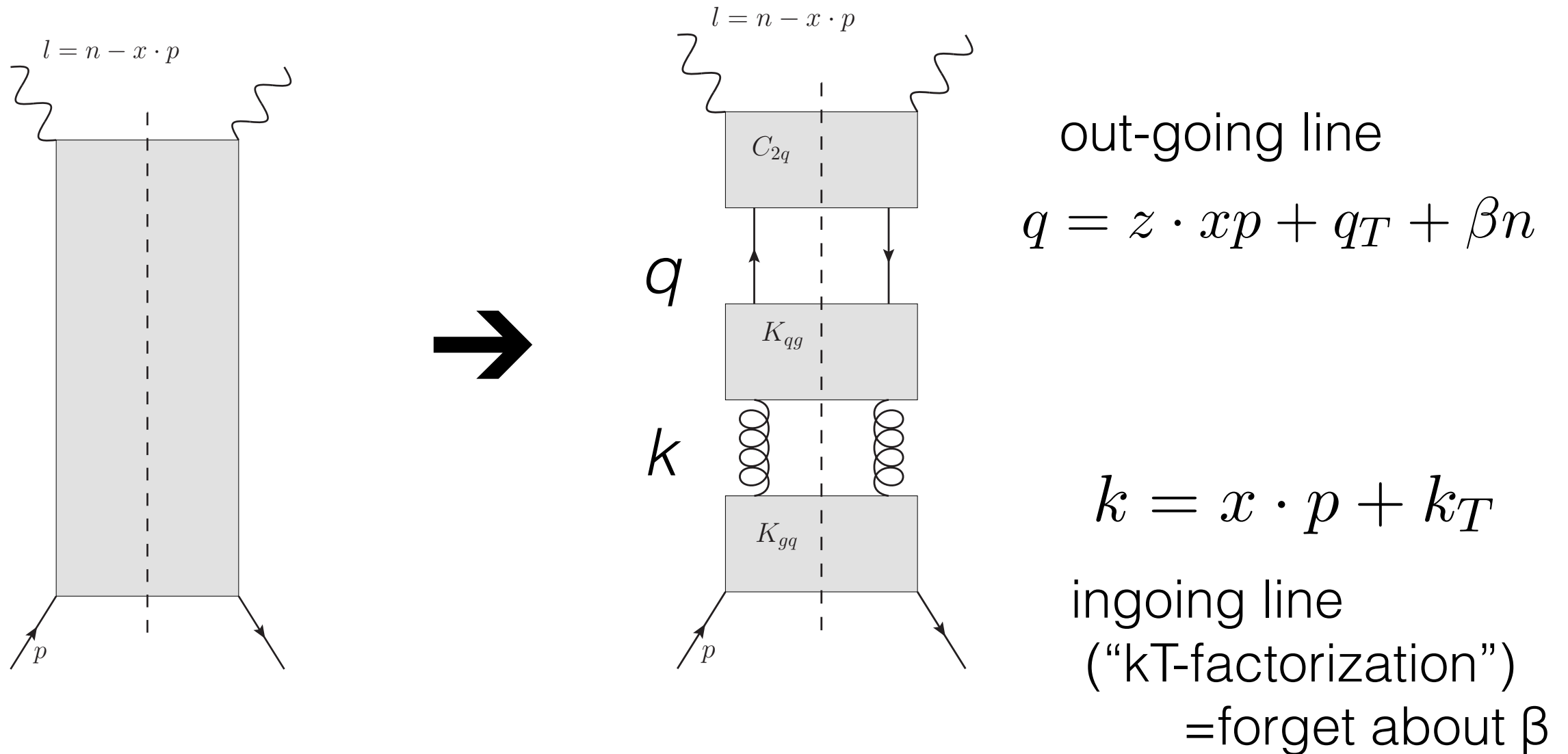
$$\alpha_1 \sim \alpha_2 \sim \dots$$

$$k_{T,1} \gg k_{T,2} \gg \dots$$

BFKL/Multi-Regge
Kinematics

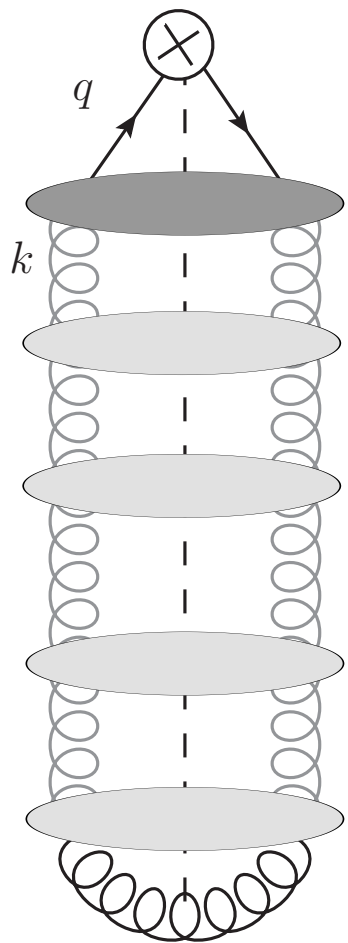
DGLAP/collinear
kinematics

task: search for factorization of correlators which is only ordered in β & formulate evolution



first result in literature: low x resummation of DGLAP splitting functions
[Catani, Hautmann, NPB 427 (1994) 475]

- use diagrammatic definition of collinear factorization
[Curci, Furmanski, Petronzio; NPB 175 (1980)]
- low x (=BFKL) evolution to resum $\log 1/x$ to all orders
- TMD splitting function = coefficient for resummed $P_{qg}(z)$



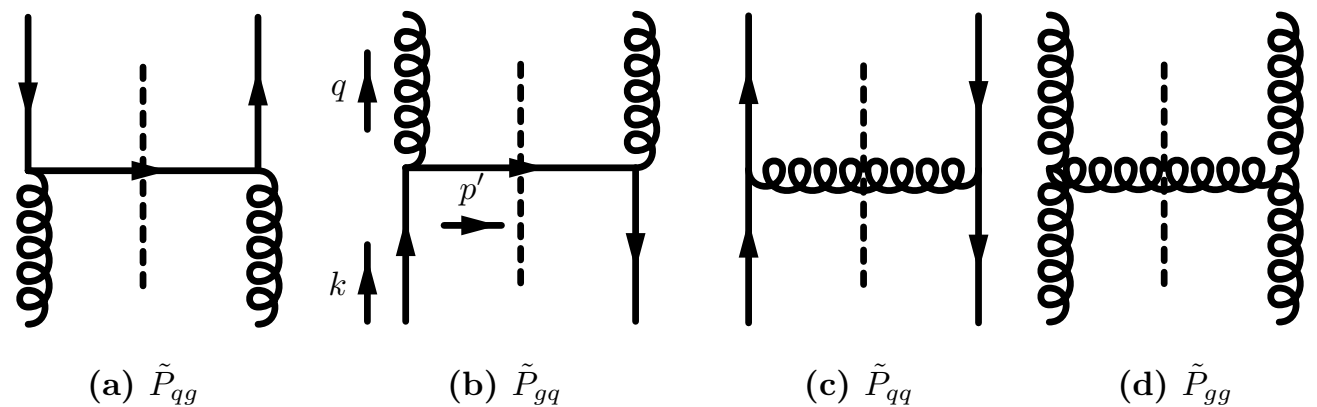
upper blob: no low x logarithm; finite \rightarrow defines a TMD
quark-to-gluon splitting function

$$P_{qg}^{(0)} \left(z, \frac{\mathbf{k}^2}{\tilde{q}^2}, \epsilon \right) = \text{Tr} \left(\frac{\Delta^2}{\Delta^2 + z(1-z)\mathbf{k}^2} \right)^2 \cdot \left[z^2 + (1-z)^2 + 4z^2(1-z)^2 \frac{\mathbf{k}^2}{\Delta^2} \right]$$

$$\Delta = q - zk$$

generalization to off-shell quark [Hautmann, MH, Jung; 1205.1759]

- complete evolution
→ all splittings

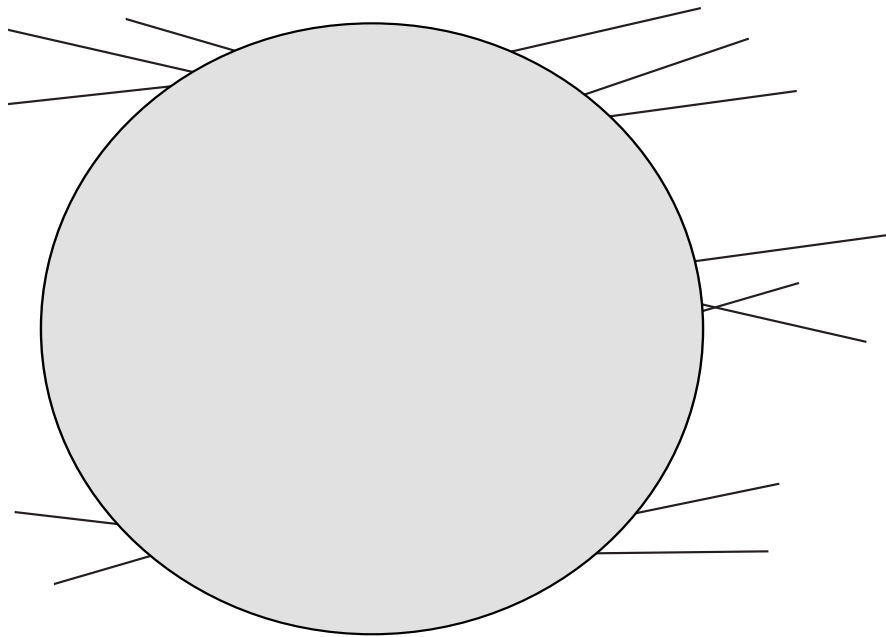


- cannot be defined/determined as coefficient of high energy resummation of DGLAP splitting function
- first attempt construction based on
 - a) generalization of Curci-Furmanski-Petronzini formalism (light cone gauge)
 - b) high energy factorization → formalism: high energy effective action [\[Lipatov; hep-ph/9502308\]](#)

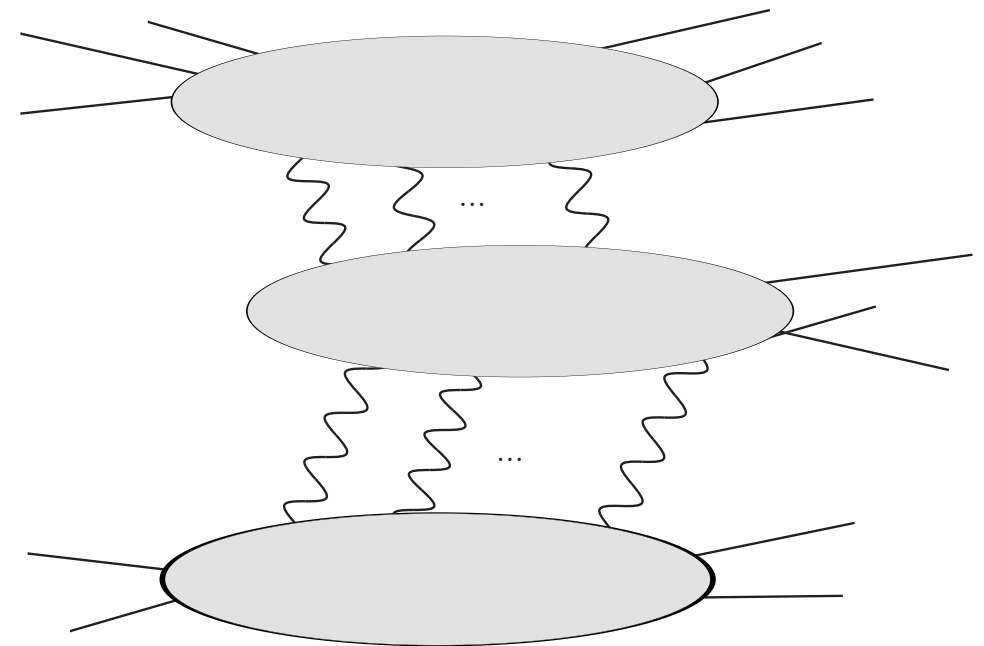
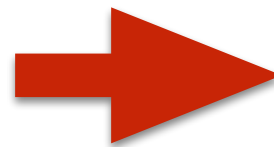
an action formalism for high energy factorization: Lipatov's high energy effective action

[Lipatov; hep-ph/9502308]

basic idea:



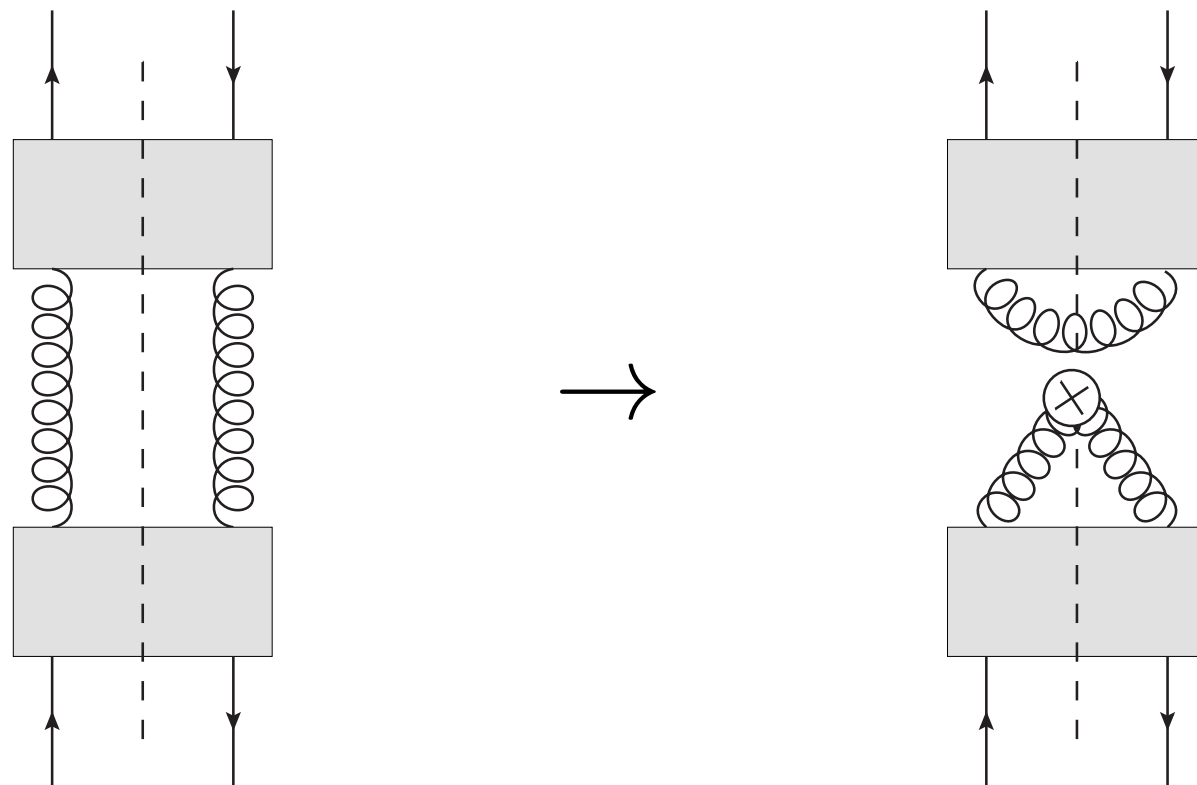
correlator with regions
localized in rapidity,
significantly separated
from each other



factorize using auxiliary
degree of freedom =
the reggeized gluon

A short appraisal of Lipatov's high energy effective action

- 2 loop gluon trajectory [\[Chachamis, MH, Madrigal, Sabio Vera, 1307.2591\]](#)
- NLO impact factors for jets with and without rapidity gap [\[MH, Madrigal, Murdaca, Sabio Vera, 1404.2937, 1406.5625, 1409.6704\]](#)
- 2 scale process: photon-quark scattering
- description of dilute-dense collision (=Color Glass Condensate formalism) [\[MH, 1802.06755\]](#)
- Complementary (dilute): spinor helicity amplitudes based formalism [\[van Hameren, Kotko, Kutak; 1211.0961\]](#), [\[van Hameren, Kutak, Salwa; 1308.2861\]](#)
 - well tested effective action formalism for high energy factorization



Calculating kernels = more general kinematics!

- incoming parton (off-shell, from hadron) → high energy factorization + normalization matched to collinear factorization ✓
- out-going parton (off-shell, to partonic process) → generalization of CFP-method + eikonal factors (motivated from high energy factorization) to guarantee gauge invariance → requires generalization
- factorization: generalized projectors → appendix

Quark splittings — relatively straightforward

$$\Gamma_{q^*g^*q}^\mu(q, k, p') = i g t^a \left(\gamma^\mu - \frac{n^\mu}{k \cdot n} \not{n} \right),$$

$$\Gamma_{g^*q^*q}^\mu(q, k, p') = i g t^a \left(\gamma^\mu - \frac{p^\mu}{p \cdot q} \not{p} \right),$$

$$\Gamma_{q^*q^*g}^\mu(q, k, p') = i g t^a \left(\gamma^\mu - \frac{p^\mu}{p \cdot p'} \not{p} + \frac{n^\mu}{n \cdot p'} \not{n} \right)$$

- production vertices of high energy factorization can be generalized to TMD kinematics

[Lipatov, Vyazovski, hep-ph/0009340]

- guarantees current conservation for off-shell legs (close relation to Wilson lines)

[Gituliar, MH, Kutak, 1511.08439]


Gluon production vertex significantly more complicated

$$\Gamma_{g^*g^*g}^{\mu_1\mu_2\mu_3}(q, k, p') = \mathcal{V}^{\lambda\kappa\mu_3}(-q, k, -p') d^{\mu_1}_{\lambda}(q) d^{\mu_2}_{\kappa}(k) + d^{\mu_1\mu_2}(k) \frac{q^2 n^{\mu_3}}{n \cdot p'} - d^{\mu_1\mu_2}(q) \frac{k^2 p^{\mu_3}}{p \cdot p'}$$

3-gluon vertex



pol. tensor of light-cone gauge



- turns out: Lipatov high energy effective action in light-cone does the job, but does not allow to directly verify current conservation for generalized kinematics

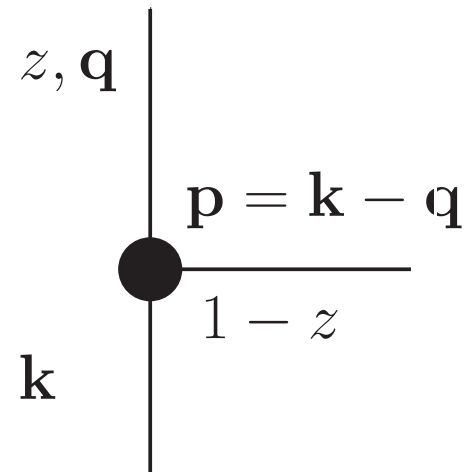
[Lipatov, hep-ph/9502308]

- complete expression: analysis of helicity spinor amplitudes in high energy limit in light-cone gauge

[MH, Kusina, Kutak, Serino; 1711.04587]

Results:

A) quark induced splittings (angular averaged)



$$\Delta = q - zk$$

their correct collinear
limit is verified

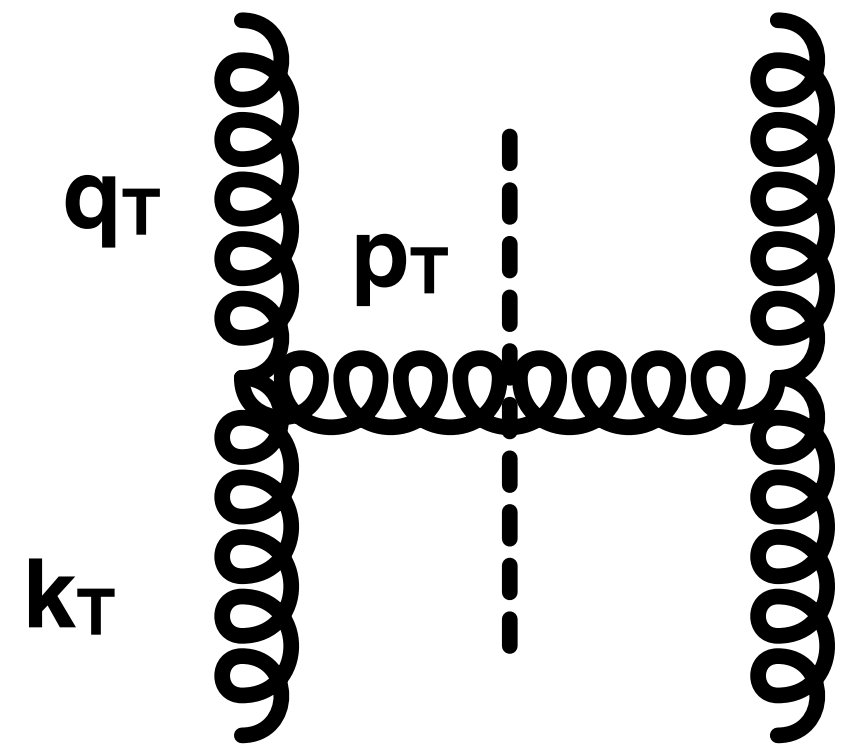
$$P_{gq}^{(0)} \left(z, \frac{k^2}{\Delta^2}, \epsilon \right) = C_f \left[\frac{2\Delta^2}{z|\Delta^2 - (1-z)^2 k^2|} - \frac{\Delta^2(\Delta^2(2-z) + k^2 z(1-z^2)) - \epsilon z(\Delta^2 + (1-z)^2 k^2)}{(\Delta^2 + z(1-z)k^2)^2} \right];$$

$$P_{qq}^{(0)} \left(z, \frac{k^2}{\Delta^2}, \epsilon \right) = C_f \left(\frac{\Delta^2}{\Delta^2 + z(1-z)k^2} \right) \left[\frac{\Delta^2 + (1-z^2)k^2}{(1-z)|\Delta^2 - (1-z)^2 k^2|} + \frac{z^2 \Delta^2 - z(1-z)(1-3z+z^2)k^2 + (1-z)^2 \epsilon(\Delta^2 + z^2 k^2)}{(1-z)(\Delta^2 + z(1-z)k^2)} \right].$$

B) P_{gg} splitting

$$\bar{P}_{gg}^{(0)} \left(z, \frac{\mathbf{k}^2}{\tilde{\mathbf{q}}^2} \right) = C_A \frac{\tilde{\mathbf{q}}^2}{\tilde{\mathbf{q}}^2 + z(1-z)\mathbf{k}^2} \left[\frac{(2-z)\tilde{\mathbf{q}}^2 + (z^3 - 4z^2 + 3z)\mathbf{k}^2}{z(1-z)|\tilde{\mathbf{q}}^2 - (1-z)^2\mathbf{k}^2|} + \frac{(2z^3 - 4z^2 + 6z - 3)\tilde{\mathbf{q}}^2 + z(4z^4 - 12z^3 + 9z^2 + z - 2)\mathbf{k}^2}{(1-z)(\tilde{\mathbf{q}}^2 + z(1-z)\mathbf{k}^2)} \right]$$

- ✓ current conservation
- ✓ collinear limit: DGLAP splitting
- ✓ low x limit: BFKL kernel
- ✓ soft limit $p_T \rightarrow 0$: CCFM kernel



- so far: real part of splitting kernels \rightarrow for P_{gg} and P_{qq} there is also a virtual correction; indeed needed to cancel soft ($p_T \rightarrow 0$) singularities
- nice feature of real corrections: follow a relatively simply diagrammatic construction
- open question: how do these kernels relate to operator definitions of TMD parton distribution?
- Turns out: answer to both questions closely related (work in progress)

For definiteness: start with operator definition of unpolarized gluon TMD *eg.* [Ji, Ma, Yuan; hep-ph/0503015]

$$xG^{(1)}(x, \mathbf{k}) = \int \frac{d\xi^- d^2\boldsymbol{\xi}}{(2\pi)^3 P^+} e^{ixP^+\xi^- - i\mathbf{k}\cdot\boldsymbol{\xi}} \langle P | F_a^{+i}(\xi^-, \boldsymbol{\xi}) \mathcal{L}_\xi^\dagger \mathcal{L}_0 F_a^{+i}(0) | P \rangle$$

for definiteness: DIS like process
process dependent

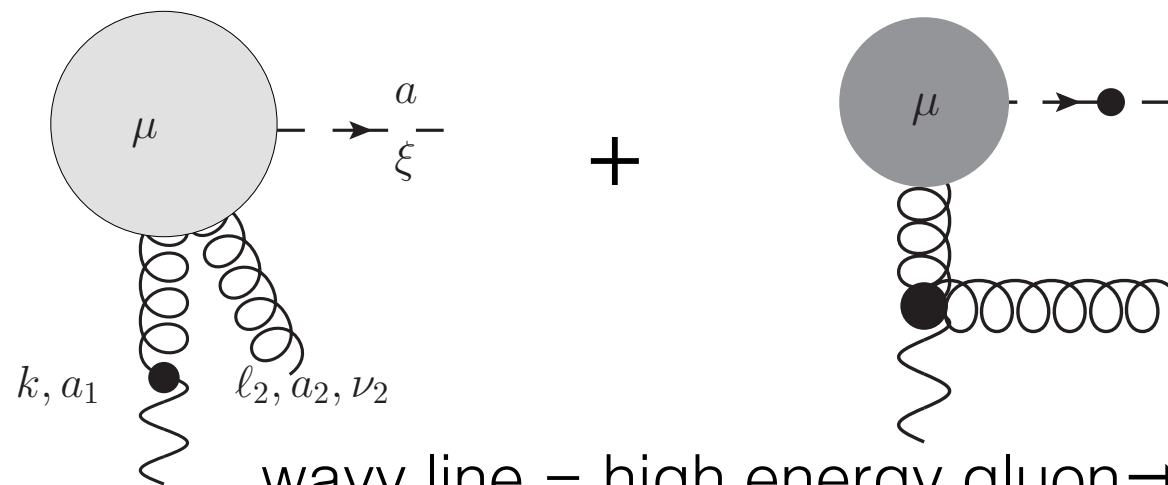
$$\mathcal{L}_\xi = \text{P exp} \left\{ -ig \int_{\xi^-}^{\infty} d\zeta^- A^+(\zeta, \boldsymbol{\xi}) \right\}$$

(\rightarrow loss of universality),
projection on high energy gluon
with definite signature should help
(in progress)

to compare with previous result: incoming off-shell
high energy gluon at tree-level & one-loop

real correction in covariant gauge:

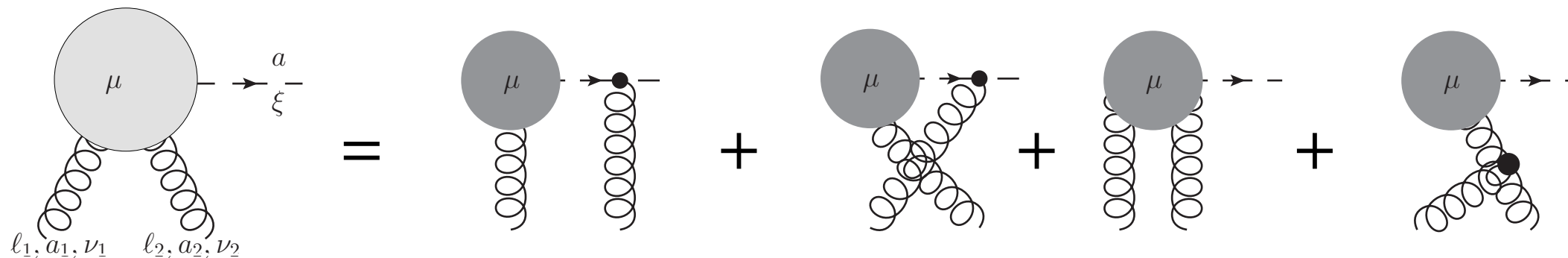
“production vertex”



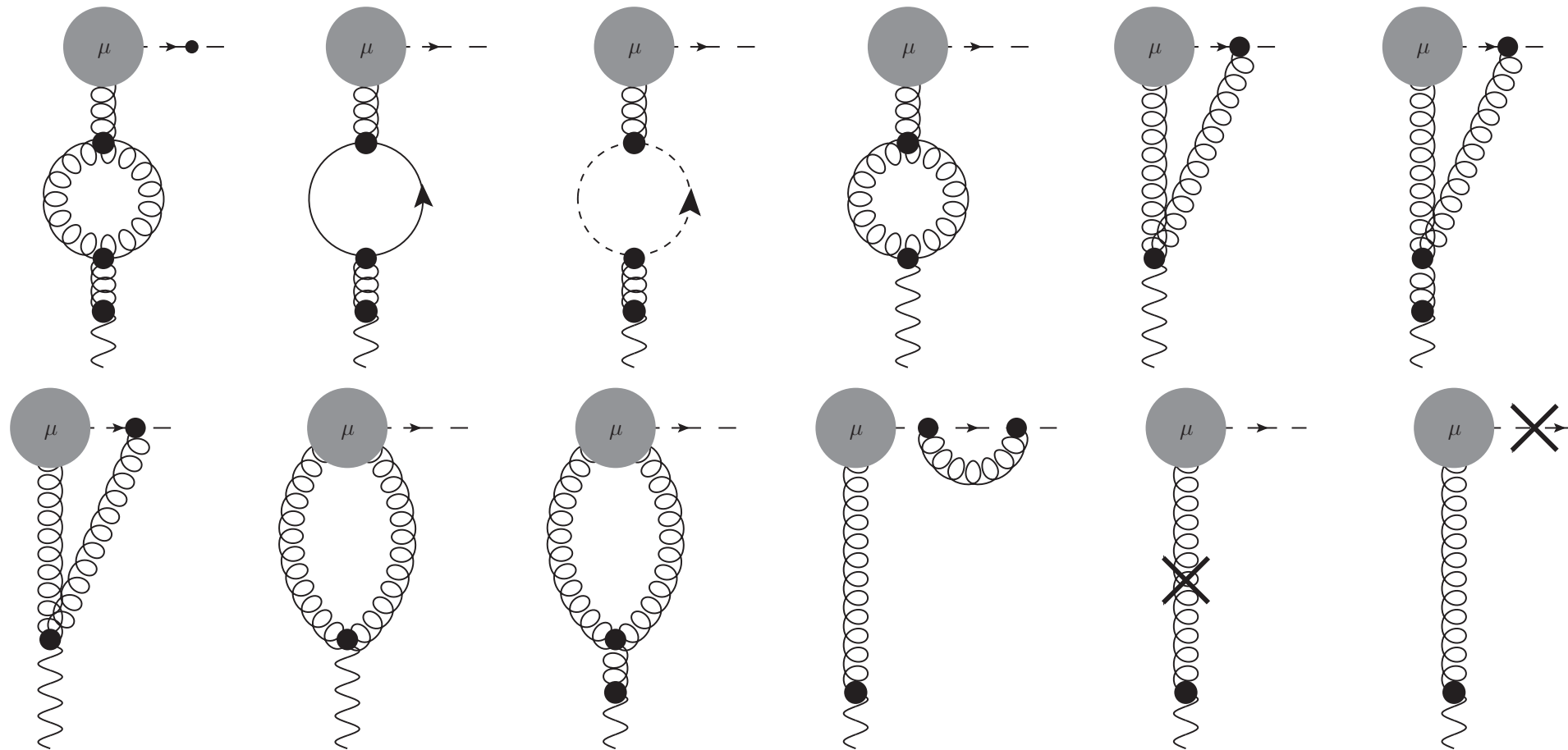
can be shown to agree with previous vertex used for P_{gg}

wavy line = high energy gluon \rightarrow formalism of high energy effective action

2 gluons in gluon TMD:



Virtual corrections now straightforward and can be now calculated



details: work in progress

Conclusions

- complete set of 4 ***real*** TMD splitting kernels
→ satisfies all necessary constraints so far
- partial evolution equation already formulated [MH, Kusina, Kutak; 1607.01507] (not in this talk)
- complete virtual corrections + relation to operator definitions = work in progress; first promising results exist
- in general: there is still a need to properly develop the whole framework; at the very least: a consistent way to combine DGLAP and BFKL

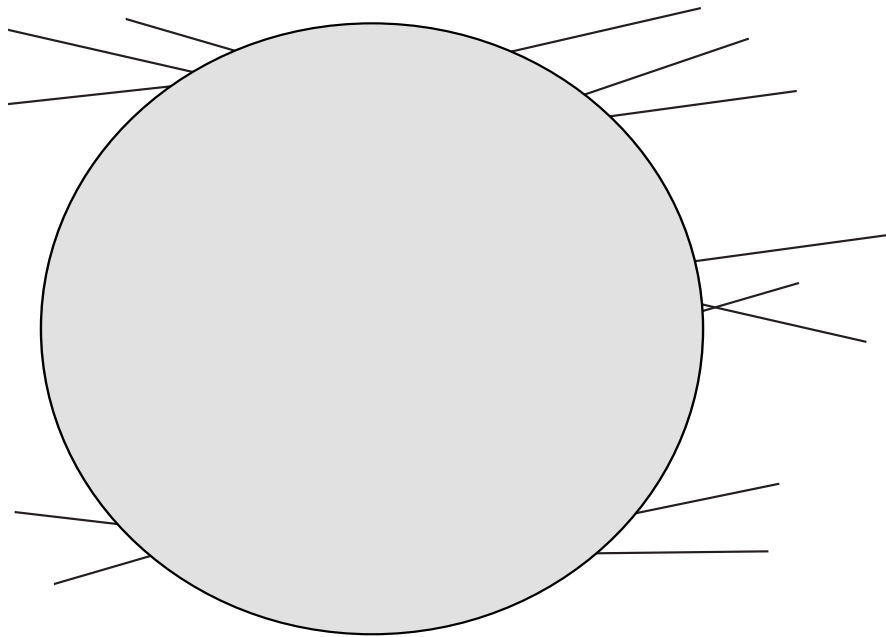
Backup

High energy effective
action

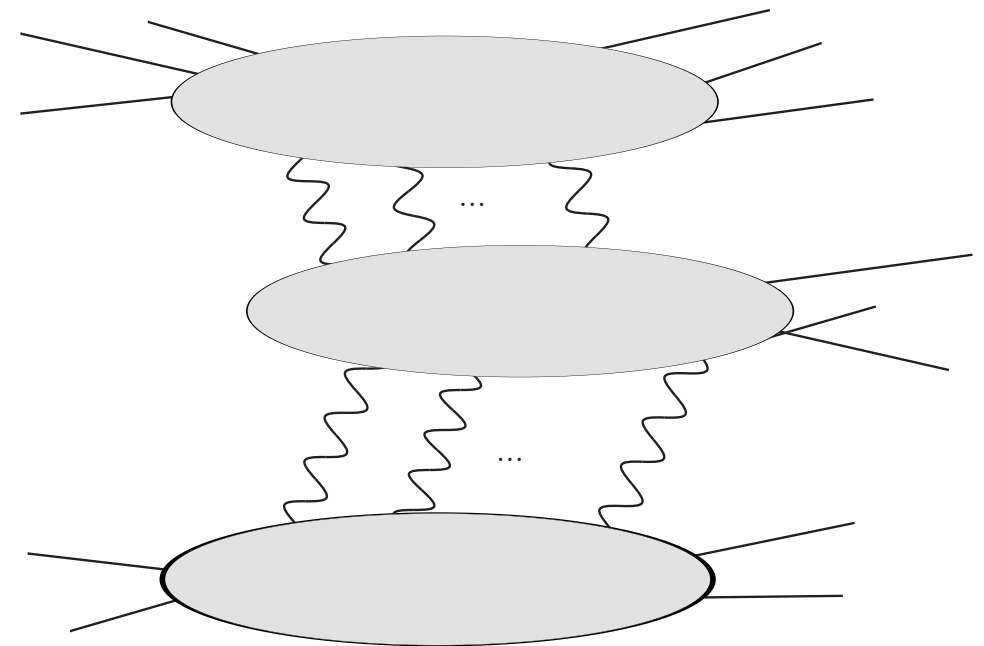
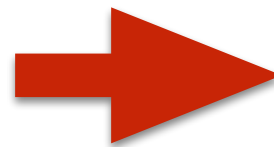
an action formalism for high energy factorization: Lipatov's high energy effective action

[Lipatov; hep-ph/9502308]

basic idea:



correlator with regions
localized in rapidity,
significantly separated
from each other



factorize using auxiliary
degree of freedom =
the reggeized gluon

- idea: factorize QCD amplitudes in the high energy limit through introducing a new kind of field: the reggeized gluon A_{\pm} (conventional QCD gluon: v_{μ})

kinematics (strong ordering in light-cone momenta between different sectors): $\partial_+ A_-(x) = 0 = \partial_- A_+(x)$.

underlying idea:

- reggeized gluon globally charged $A_{\pm}(x) = -it^a A_{\pm}^a(x)$ under $SU(N_c)$
- but invariant under local gauge transformation

$$\delta_L v_{\mu} = \frac{1}{g} [D_{\mu}, \chi_L] \quad \text{vs.} \quad \delta_L A_{\pm} = \frac{1}{g} [A_{\pm}, \chi_L] = 0$$

→ gauge invariant factorization of QCD correlators

underlying idea:

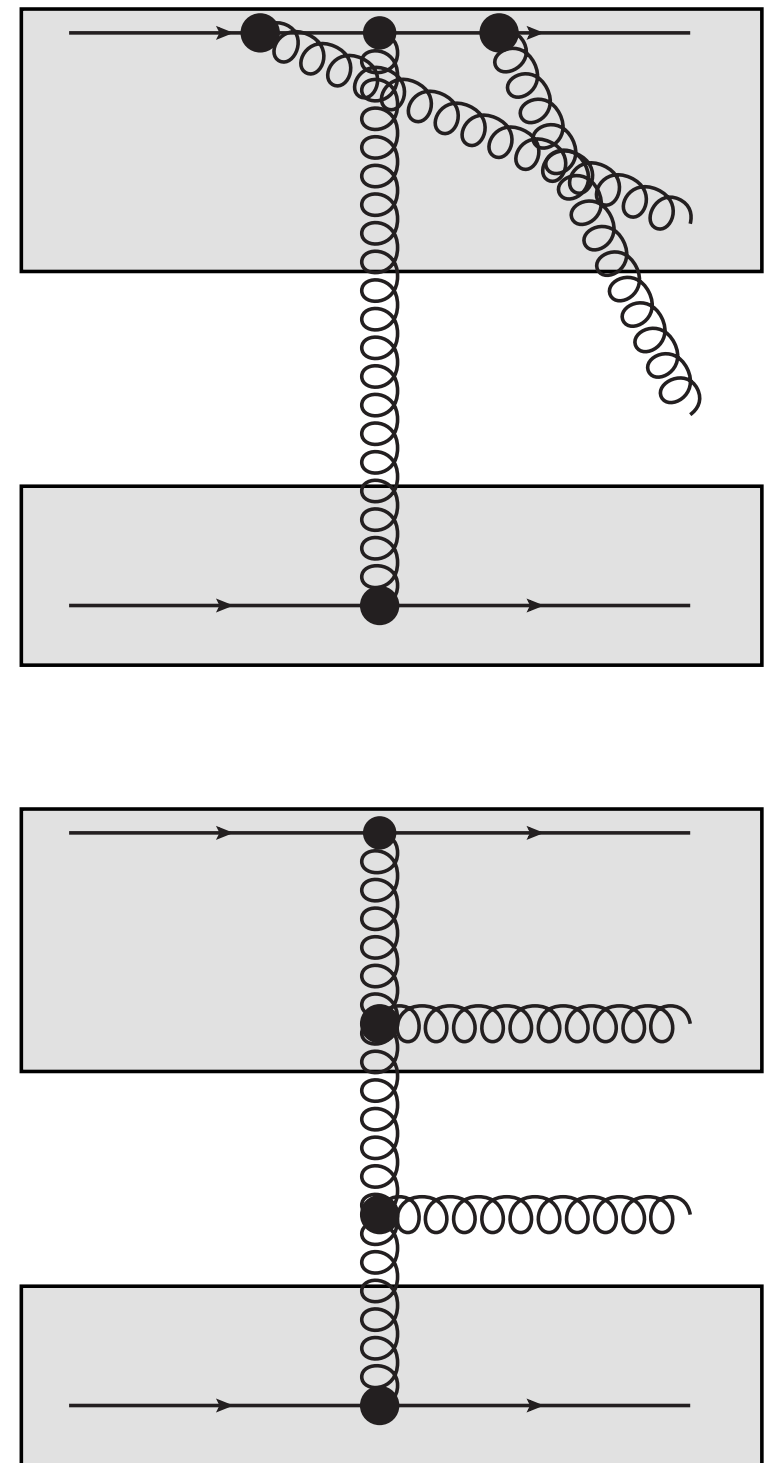
- integrate out specific details of (relatively) fast +/- fields
- description in sub-amplitude local in rapidity: QCD Lagrangian + universal eikonal factor

$$T_{\pm}[v_{\pm}] = -\frac{1}{g}\partial_{\pm}\mathcal{P}\exp\left(-\frac{g}{2}\int_{-\infty}^{x^{\pm}}dx'^{\pm}v_{\pm}(x')\right)$$

- effective field theory for each local rapidity cluster

$$S_{\text{eff}} = S_{\text{QCD}} + S_{\text{ind.}}$$


$$S_{\text{ind.}} = \int d^4x \left\{ \text{tr} \left[(T_-[v(x)] - A_-(x)) \partial_{\perp}^2 A_+(x) \right] + [\text{''} + \text{''} \leftrightarrow \text{''} - \text{''}] \right\}.$$



eikonal factor
= special

$$T_{\pm}[v_{\pm}] = -\frac{1}{g} \partial_{\pm} \mathcal{P} \exp \left(-\frac{g}{2} \int_{-\infty}^{x^{\pm}} dx'^{\pm} v_{\pm}(x') \right)$$

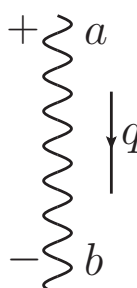
an ∞ # of gluons in the reggeized gluon



$$= \frac{-i}{2} \mathbf{q}^2 \delta^{ac} (n^{\pm})^{\nu},$$

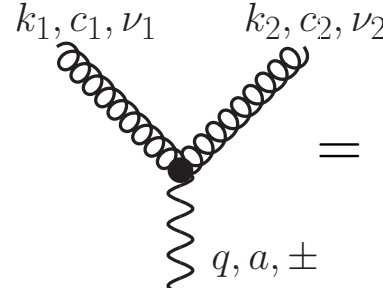
$k^{\pm} = 0.$

(a)



$$= \delta^{ab} \frac{2i}{\mathbf{q}^2},$$

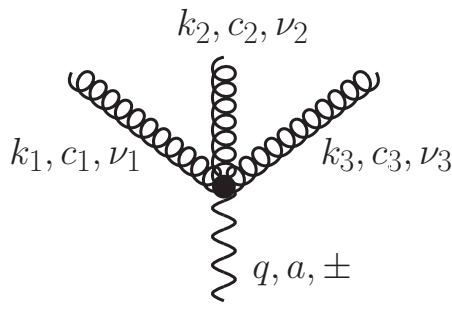
(b)



$$= \frac{g}{2} f^{c_1 c_2 a} \frac{\mathbf{q}^2}{k_1^{\pm}} (n^{\pm})^{\nu_1} (n^{\pm})^{\nu_2},$$

$k_1^{\pm} + k_2^{\pm} = 0,$

(c)

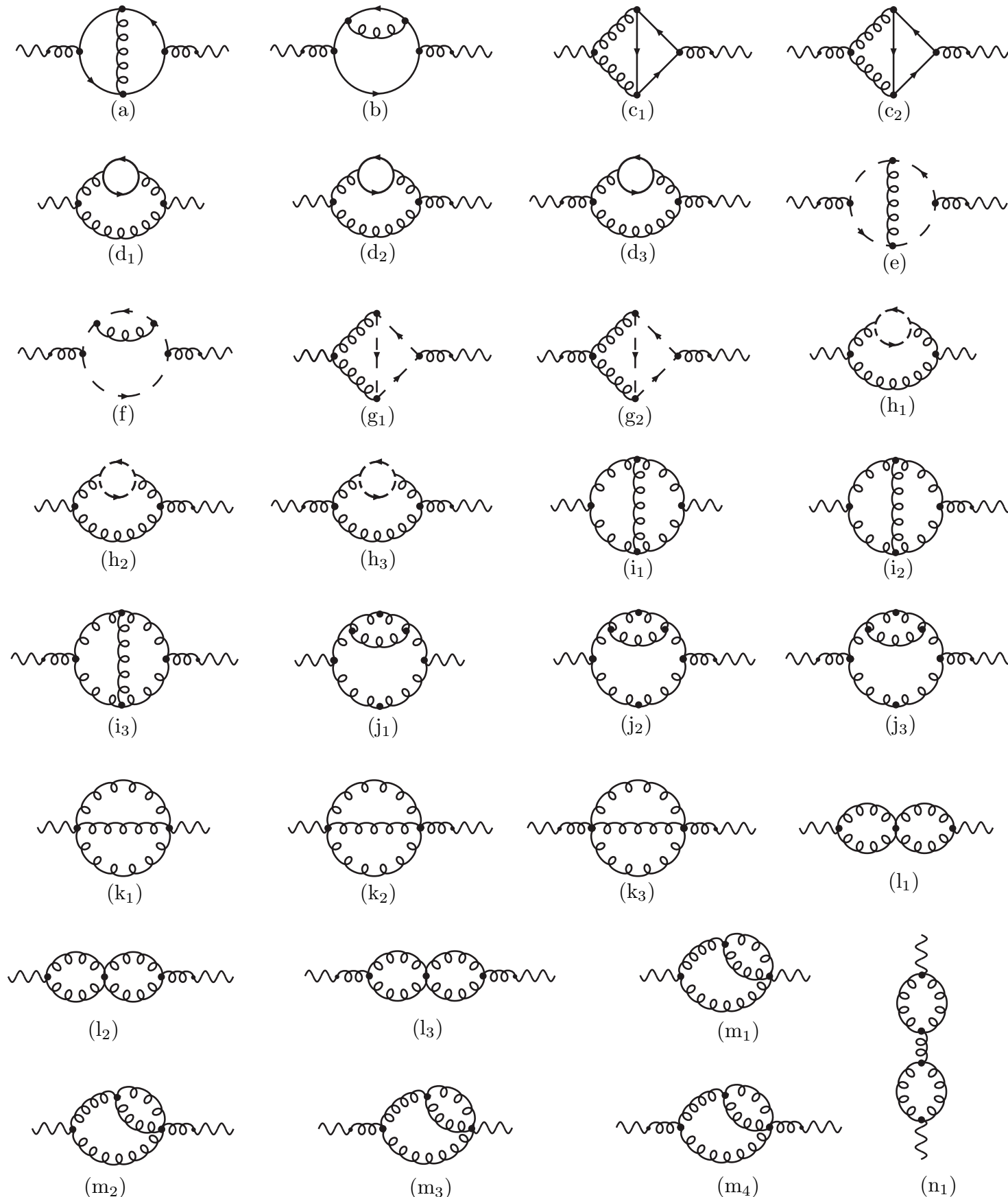


$$= \frac{ig^2}{2} \mathbf{q}^2 \left(\frac{f^{a_3 a_2 e} f^{a_1 e a}}{k_3^{\pm} k_1^{\pm}} + \frac{f^{a_3 a_1 e} f^{a_2 e a}}{k_3^{\pm} k_2^{\pm}} \right) (n^{\pm})^{\nu_1} (n^{\pm})^{\nu_2} (n^{\pm})^{\nu_3},$$

$k_1^{\pm} + k_2^{\pm} + k_3^{\pm} = 0.$

(d)

“induced” vertices



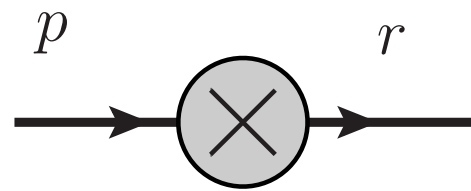
allows for (highly non-trivial) calculation of the gluon Regge trajectory up to 2 loops

[Chachamis, MH, Madrigal, Sabio Vera, 1307.2591]

+ NLO impact factor for forward jets with rapidity gap

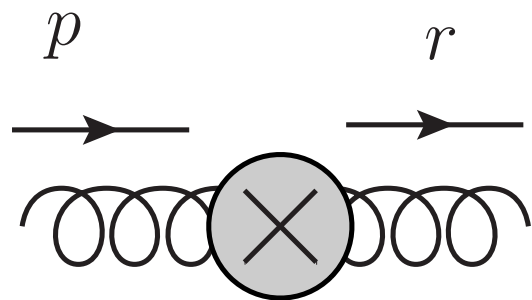
[MH, Madrigal, Murdaca, Sabio Vera, 1404.2937, 1406.5625, 1409.6704]

more recent: description of dilute-dense collision
 (=Color Glass Condensate formalism) from high energy
 effective action \rightarrow confirms previous light-cone gauge
 results [MH, 1802.06755]



$$= \tau_F(q, -r) = 2\pi\delta(p^+ - r^+) \not{n}^+ \int d^2z e^{iz \cdot (p-r)}$$

$$\cdot \left[\theta(p^+) [W(z) - 1] - \theta(-p^+) [[W(z)]^\dagger - 1] \right].$$



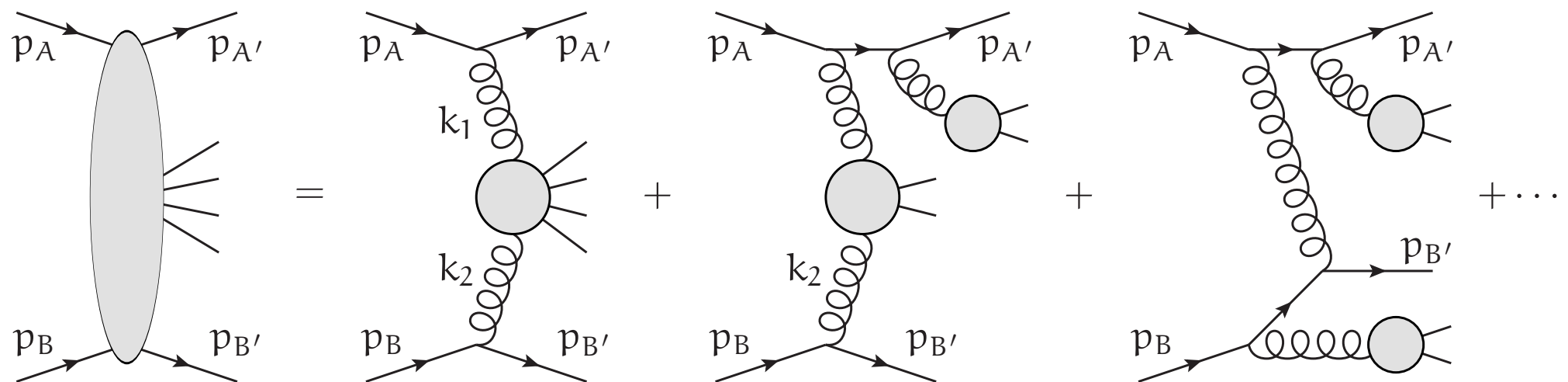
$$= \tau_{G,\nu\mu}^{ab}(p, -r) = -4\pi\delta(p^+ - r^+) \Gamma_{\nu\mu}(r, p) \int d^2z e^{iz \cdot (p-r)}$$

$$\cdot \left[\theta(p^+) [U^{ba}(z) - \delta^{ab}] - \theta(-p^+) [[U^{ba}(z)]^\dagger - \delta^{ab}] \right].$$

Complementary formalism (for dilute collisions)

same amplitude (with 1 initial reggeized gluon per scattering hadron) can be directly calculated from spinor-helicity amplitudes

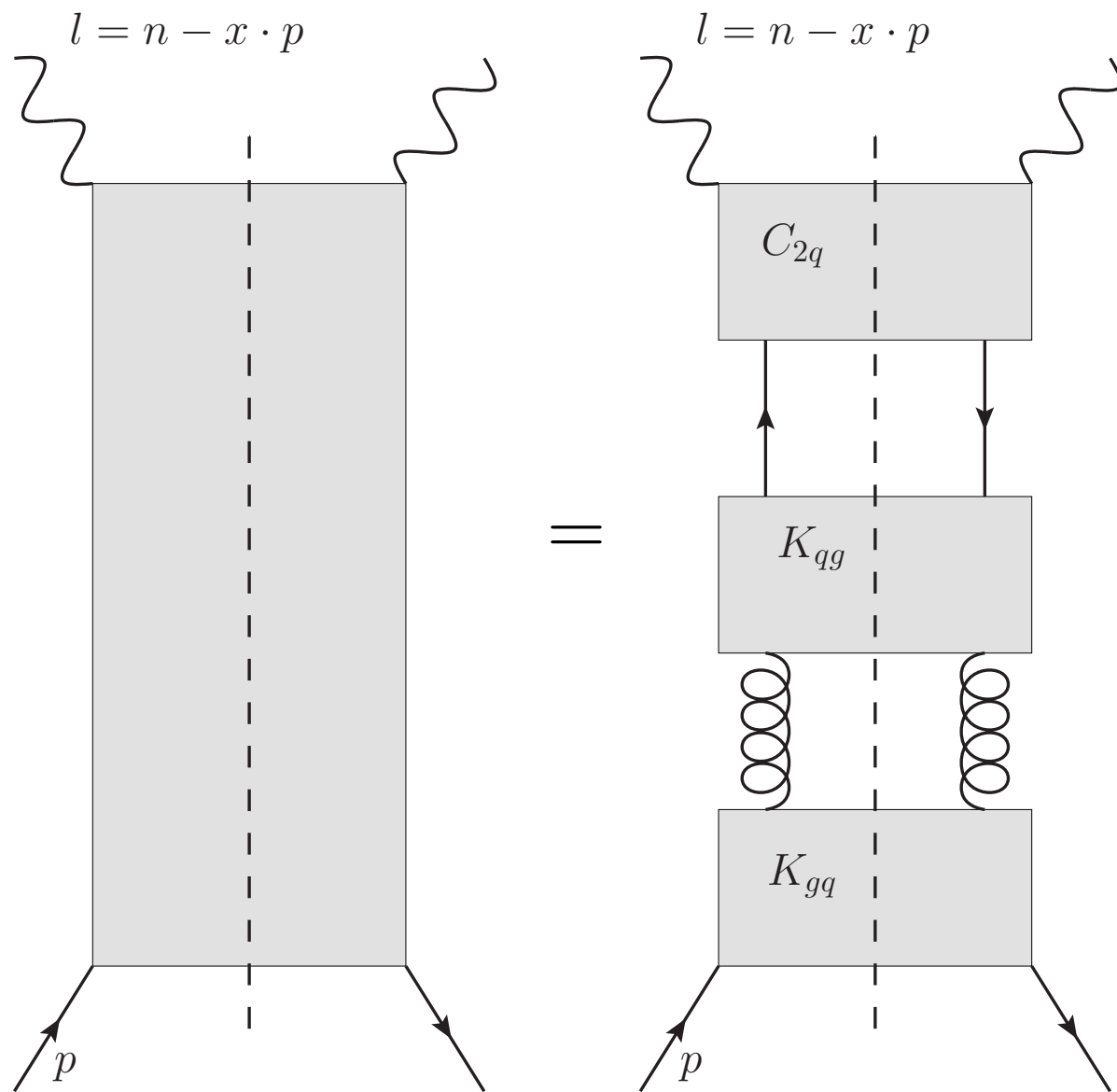
[van Hameren, Kotko, Kutak; 1211.0961], [van Hameren, Kutak, Salwa; 1308.2861]



CFP-formalism

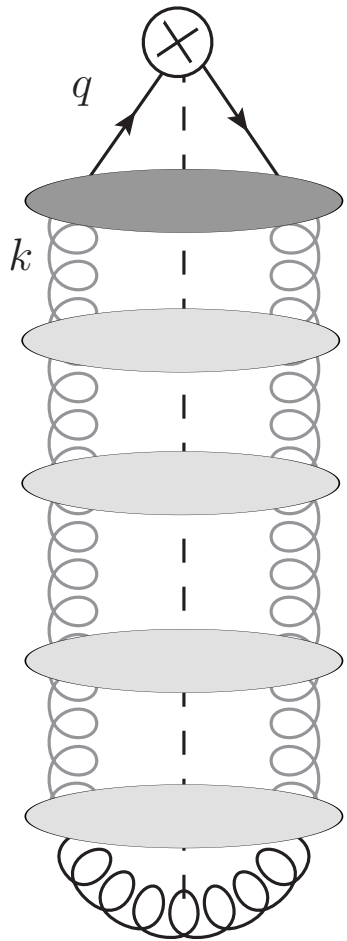
starting point: diagrammatic definition of collinear factorization

[Curci, Furmanski, Petronzio, Nucl.Phys. B 175 (1980) 27]



- axial, light-cone gauge: collinear singularities only form propagator which connect sub-amplitudes
- to isolate coefficient of collinear singularities use projectors in spinor/Lorentz space
- calculate DGLAP splitting functions as expansion in α_s

[Catani, Hautmann, NPB 427 (1994) 475] : TMD splitting function $P_{qg}(z, k_T)$ as coefficient for all order resummed $P_{qg}(z)$



upper blob: no low x logarithm; finite \rightarrow defines a TMD quark-to-gluon splitting function

$$P_{qg}^{(0)} \left(z, \frac{k^2}{\tilde{q}^2}, \epsilon \right) = \text{Tr} \left(\frac{\Delta^2}{\Delta^2 + z(1-z)k^2} \right)^2 \cdot \left[z^2 + (1-z)^2 + 4z^2(1-z)^2 \frac{k^2}{\Delta^2} \right]$$

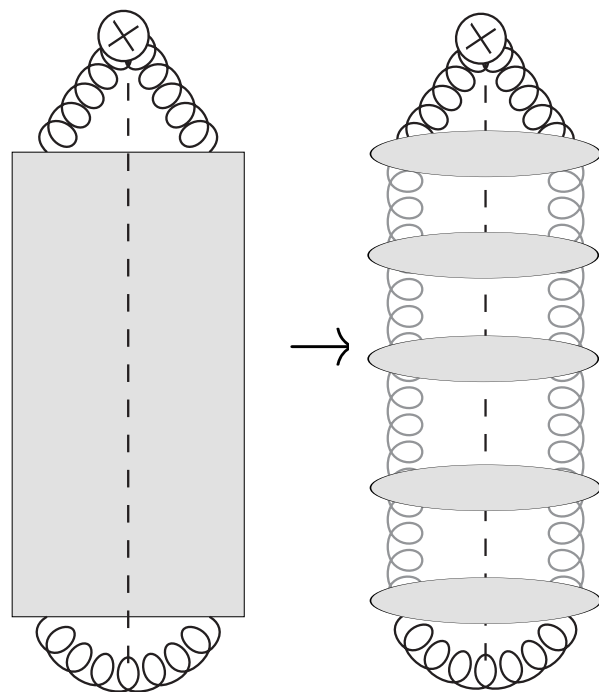
$$\Delta = q - zk$$

later on: use of high energy factorization for quarks
 \rightarrow off-shell factorization “*splitting function*” \otimes
“*coefficient*” [Hautmann, MH, Jung; 1205.1759]

first generalization to finite TM

High energy/low x resummation of splitting functions

[Catani, Hautmann; NPB 427 (1994) 475]



- essentially the BFKL Green's function → low x resummation of gluon splitting function
- use off-shell extension of incoming projector
$$\mathbb{P}_{\text{gluon, in}}^{\mu\nu} \rightarrow \frac{k^\mu k^\nu}{k^2}$$
- derived within high energy factorization + reduces to conventional projector in on-shell limit

obtain: all order P_{gg} with $(\alpha_s \ln 1/x)^n$

however:

all order P_{qg} requires $\alpha_s (\alpha_s \ln 1/x)^n$ (starts at NLL → finite coefficient)

Generalized projectors

$$\begin{aligned} \mathbb{P}_{g,\text{in}}^{s\,\mu\nu} &= \frac{1}{d-2} \left(-g^{\mu\nu} + \frac{l^\mu n^\nu + n^\mu l^\nu}{l \cdot n} \right), & \mathbb{P}_{g,\text{out}}^{s\,\mu\nu} &= -g^{\mu\nu}, & \text{collinear splitting} \\ \mathbb{P}_{q,\text{in}}^s &= \frac{\not{l}}{2}, & \mathbb{P}_{q,\text{out}}^s &= \frac{\not{n}}{2 n \cdot l}, & \text{functions} \end{aligned}$$

[Curci, Furmanski, Petronzini; NPB 175 (1980)]

generalization:

$$\begin{aligned} \mathbb{P}_{g,\text{in}}^{s\,\mu\nu} &= -y^2 \frac{p^\mu p^\nu}{k_\perp^2}, & \mathbb{P}_{g,\text{out}}^{s\,\mu\nu} &= -g^{\mu\nu} + \frac{k^\mu n^\nu + k^\nu n^\mu}{k \cdot n} - k^2 \frac{n_\mu n_\nu}{(k \cdot n)^2}, \\ \mathbb{P}_{q,\text{in}}^s &= \frac{y \not{p}}{2}, & \mathbb{P}_{q,\text{out}}^s &= \frac{\not{n}}{2 n \cdot l}. \end{aligned}$$

worked out in:

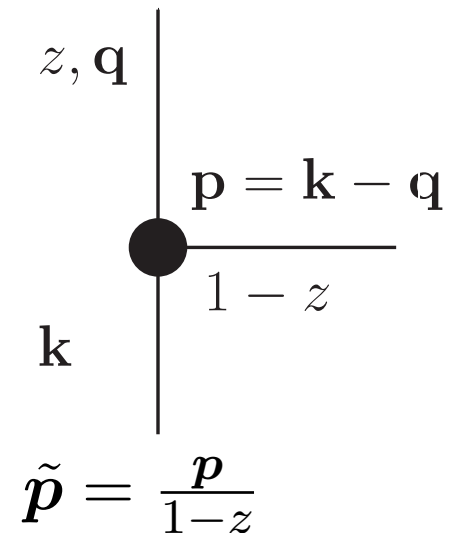
[Catani, Hautmann, NPB 427 (1994) 475]

[Gituliar, MH, Kutak; 1511.08439]

[MH, Kusina, Kutak, Serino; 1711.0458]

Evolution equation and soft limit

Soft singularities $\mathbf{p} \rightarrow 0$



- Appears for P_{qq} , P_{qg} , P_{gg}
- q-q, g-g: regularized by virtual corrections (gg essentially verified)

$$\hat{K}_{ij} \left(z, \frac{k^2}{\mu_F^2}, \alpha_s \right) = \frac{\alpha_s}{2\pi} z \int \frac{d^{2+2\epsilon} \tilde{p}}{\pi^{1+\epsilon} (\mu^2 e^{\gamma_E})^\epsilon} \Theta(\mu_F^2 - q^2) \frac{\tilde{P}_{ij}(z, \tilde{p}, k, \epsilon)}{\tilde{p}^2}$$

the coefficients of the singularities:

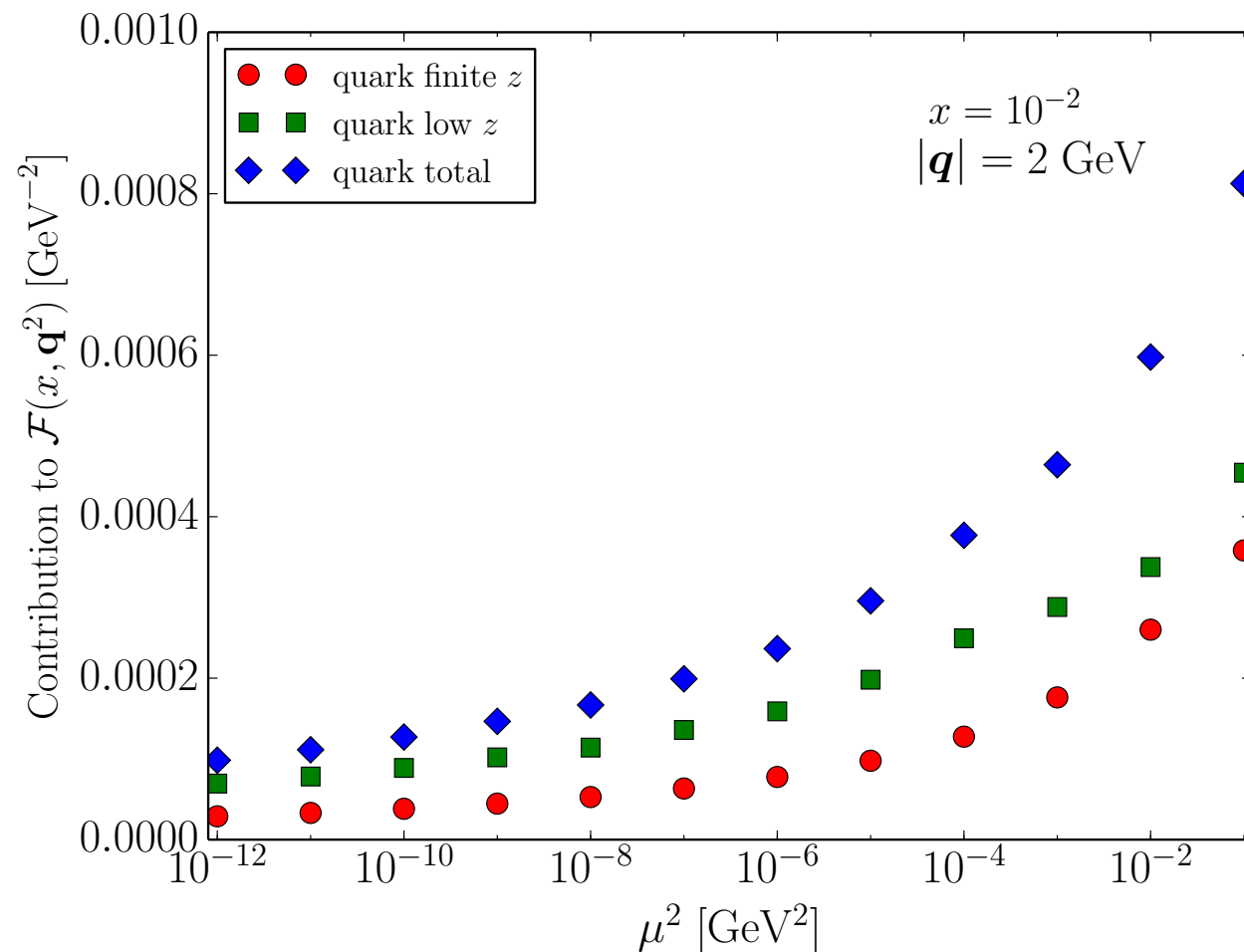
$$\lim_{\tilde{p}^2 \rightarrow 0} \tilde{P}_{qq} = \frac{2 \cdot C_f}{(1-z)^{1-2\epsilon}} \quad \lim_{\tilde{p}^2 \rightarrow 0} \tilde{P}_{gq} = \frac{2 \cdot C_f (1-z)^{2\epsilon}}{z}$$

$$\lim_{\tilde{p}^2 \rightarrow 0} \tilde{P}_{gg} = 2N_c \left[\frac{1}{z} + \frac{1}{1-z} \right]$$

- what about $q \rightarrow g$?

Can study these effects already the pure high energy limit

$$\mathcal{F}(x, \mathbf{q}^2) = \tilde{\mathcal{F}}^0(x, \mathbf{q}^2) + \frac{\alpha_s}{2\pi} \int_x^1 \frac{dz}{z} \int \frac{d^2 \mathbf{p}}{\pi \mathbf{p}^2} \theta(\mathbf{p}^2 - \mu^2) \left[\Delta_R(z, \mathbf{q}^2, \mu^2) \right. \\ \left. \left(2C_A \mathcal{F} \left(\frac{x}{z}, |\mathbf{q} + \mathbf{p}|^2 \right) + C_F \mathcal{Q} \left(\frac{x}{z}, |\mathbf{q} + \mathbf{p}|^2 \right) \right) \right. \\ \left. - \int_z^1 \frac{dz_1}{z_1} \Delta_R(z_1, \mathbf{q}^2, \mu^2) \left[\tilde{P}'_{gq} \left(\frac{z}{z_1}, \mathbf{p}, \mathbf{q} \right) \frac{z}{z_1} \right] \mathcal{Q} \left(\frac{x}{z}, |\mathbf{q} + \mathbf{p}|^2 \right) \right]$$



Find:
Resummation of (finite)
virtual result (IR poles
cancelled) regularizes
the singularity of the
 P_{gq} splitting

[MH, Kusina, Kutak; 1607.01507]