

V+heavy flavor jets and constraints to PDFs in CMS

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on behalf of the CMS Collaboration

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[CMS-SMP-15-009](#)

[CMS-SMP-17-014](#)

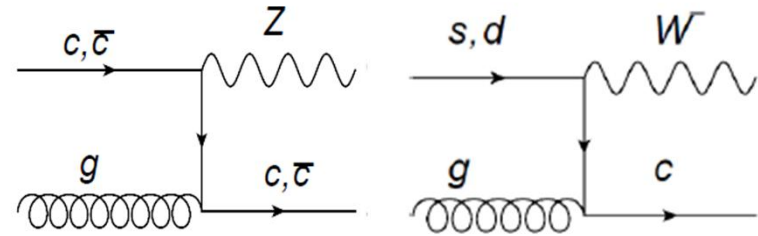
[CMS-PAS-18-013](#)

Introduction

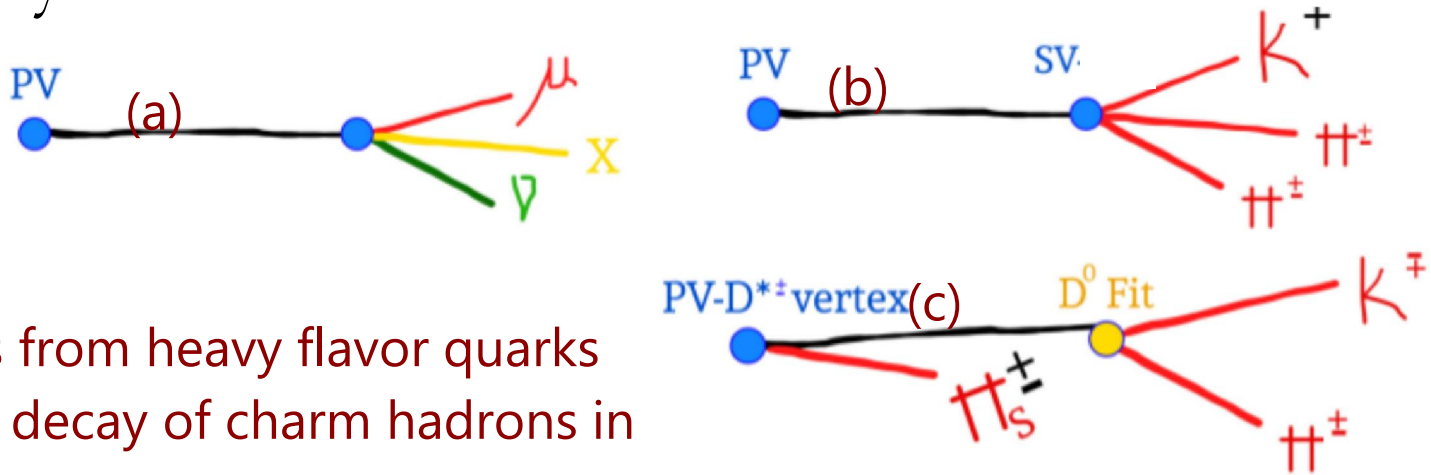
- Measurements of $\sigma(pp \rightarrow Z/W + c)$ provide tests QCD predictions. **Results sensitive to hard scattering process & associated soft QCD radiation**
- Allows better understanding of proton structure. $Z/W + c$ jets tests PDF for c, s respectively.
- Intrinsic Charm component inside the proton enhances $\sigma(Z+c) @ \uparrow p_t(Z)$.
- Background to some SM processes and in searches

Analysis strategy:

- Standard $Z \rightarrow l^+l^-$, $W^+ \rightarrow l^+\nu$ selection
- Isolated leptons with $p_T(l) > 20(26)$ GeV and $|\eta(l)| < 2.4$ from Z(W)
- anti- k_T jet: $p_T^{\text{jet}} > 25$ GeV & $|\eta^{\text{jet}}| < 2.5$
- Z: Dilepton invariant mass: $[71, 111]$ GeV



Z/W+heavy flavor selection:



Identify jets from heavy flavor quarks through the decay of charm hadrons in several final states:

- (a) Semileptonic decay of c/b hadrons: muon in a jet
- (b) D hadron inclusive decays: SV in a jet
- (c) $D^{*\pm}$, D^\pm exclusive decays

Samples:

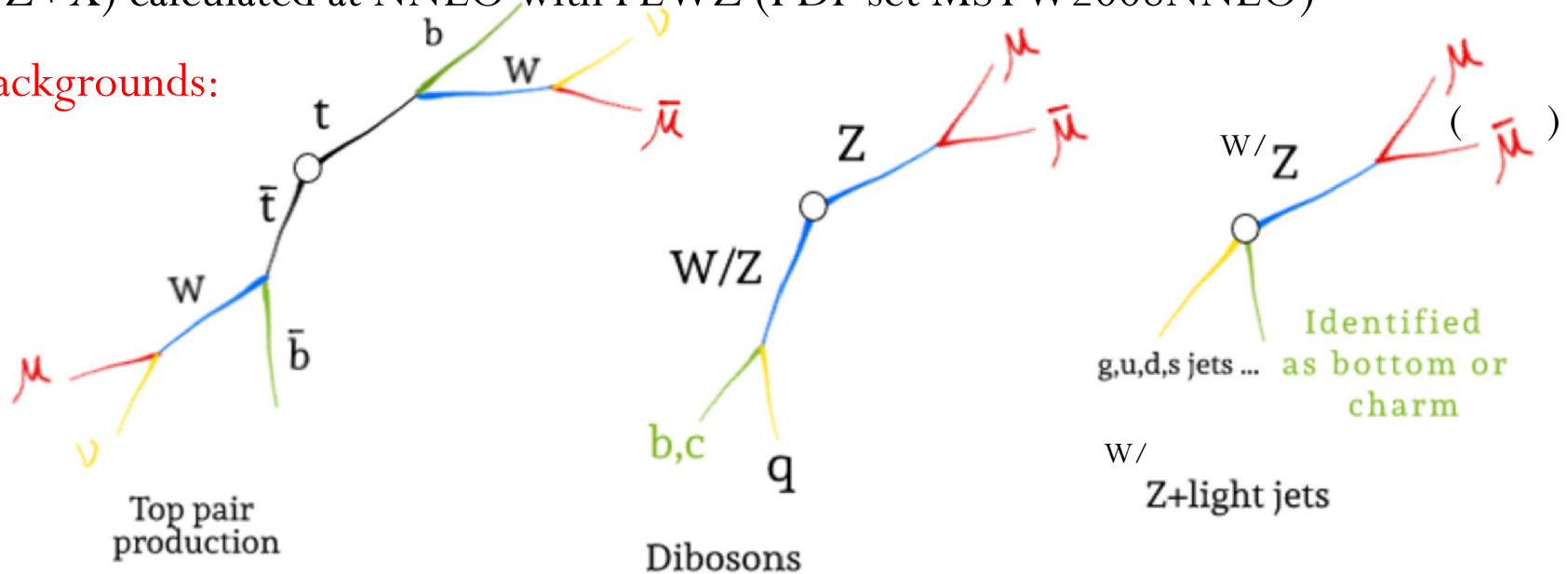
DATA: 2012 8 TeV ($19.7 \text{ fb}^{-1} \pm 0.5$) for Z+c & W+c , 2016 13 TeV (35.7 fb^{-1}) for W+c

Signal MC: DY&W+jets generated w. MADGRAPH5@LO+PYTHIA6(PDF set CTEQ6L)

W+jets generated w. MADGRAPH5@NLO+PYTHIA8(PDF set NNPDF3.0)

$\sigma(\text{pp} \rightarrow \text{Z} + \text{X})$ calculated at NNLO with FEWZ (PDF set MSTW2008NNLO)

Main backgrounds:



Contributions from $t\bar{t}$, diboson, W/Z+light processes (from simulations except $t\bar{t}$ from data for Z analysis).

Z: Missing transverse energy $< 40 \text{ GeV}$ (to reduce $t\bar{t}$ background).

W: $M_T(l, \nu) > 50 \text{ GeV}$

Data-MC differences in lepton trigger, identification and isolation efficiencies corrected (tag & probe method). Pileup events included in the MC.

Semileptonic selection for Z and W analysis (SL channel)

- μ inside a jet (taking part of a secondary vertex for Z). This reduces the light contribution more than standard b-tagging algorithms.
- $p_T^\mu < 25$ GeV, with $p_T^\mu / p_T^{\text{jet}} < 0.6$, $|\eta^\mu| < 2.5$
- non-isolated, $I_{\text{comb}} / p_T^\mu > 0.2$

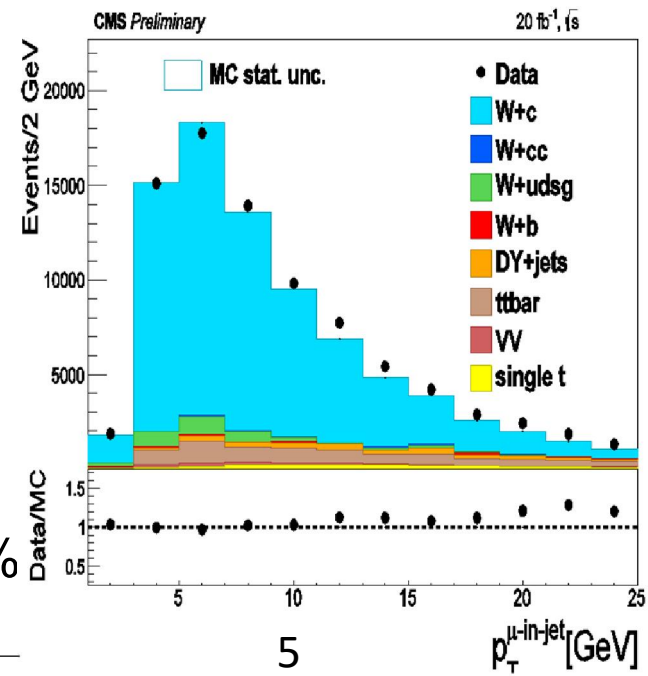
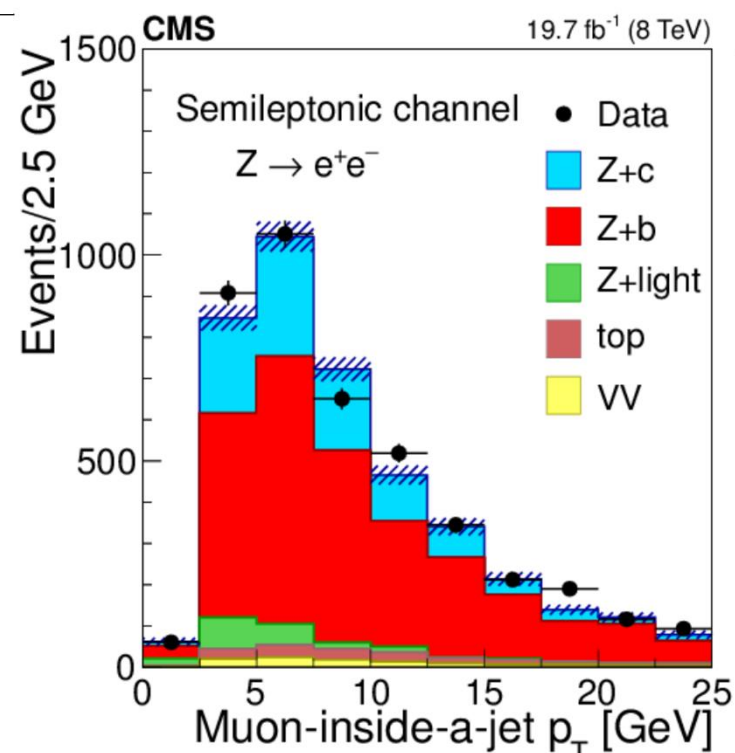
Semileptonic candidates:

4145 $Z \rightarrow e^+e^-$ 5258 $Z \rightarrow \mu^+\mu^-$
 52K $W \rightarrow e\mu$ 32K $W \rightarrow \mu\mu$

Relative contributions:

Z+c:25% Z+b:65% Z+light:5% Others:5%

W+c:80% W+b:0.5% W+light:4% top:10% Others:6%



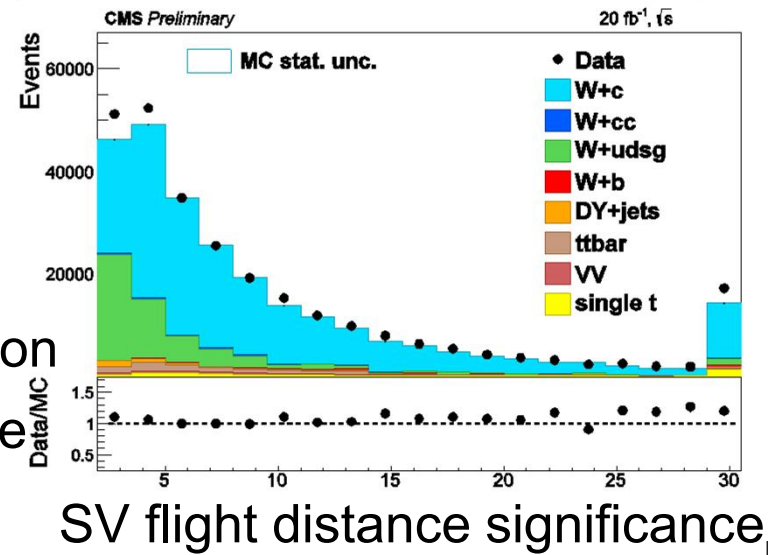
Inclusive D selection for W analysis (SV channel)

- Reconstructed secondary vertex in jet
- $\text{Vertex_mass} > 0.55 \text{ GeV}$ and SV flight distance significance > 3.5 to reduce light jet contamination
- In case of several jets with SV in the event, take the **highest p_T jet**

131K $W \rightarrow \mu\mu$

117K $W \rightarrow e\mu$

W+c:75% W+b:0.5% W+light:15% top:6% Others:3.5%



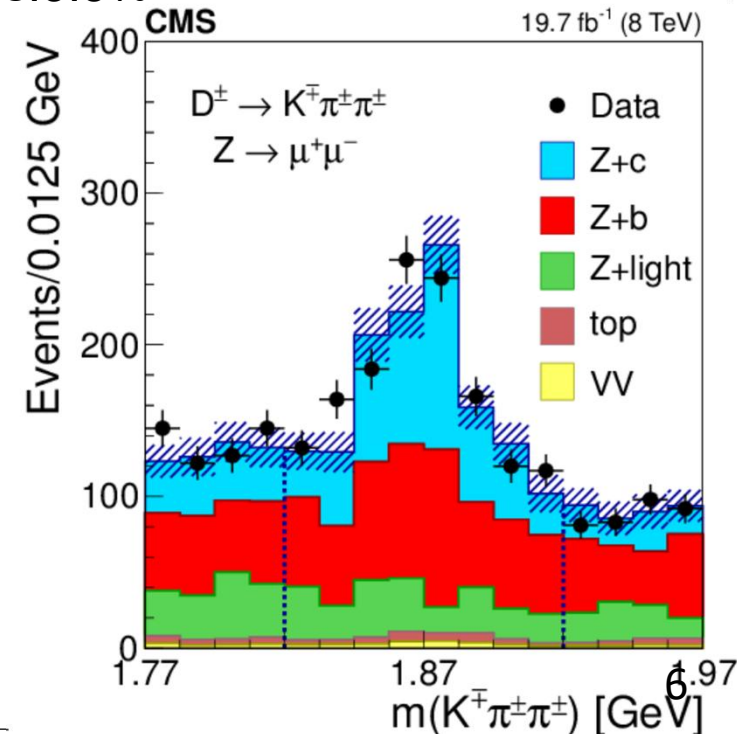
Exclusive D[±] Selection for Z analysis (D[±])

Use jets with a 3 tracks secondary vertex & search for $D^\pm \rightarrow K^\mp \pi^\pm \pi^\pm$ resonant peak.

Non resonant bkg. in the signal region subtracted from the neighboring sidebands

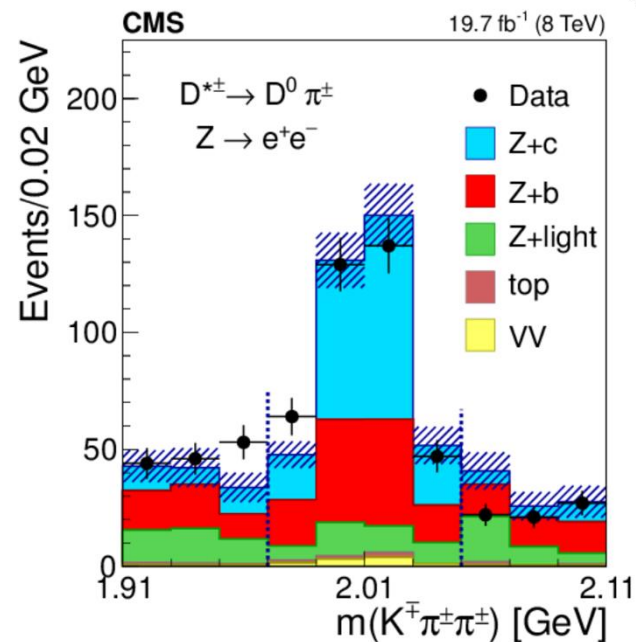
$490 \pm 48 D^\pm (Z \rightarrow \mu^+ \mu^-)$ $375 \pm 44 D^\pm (Z \rightarrow e^+ e^-)$

Z+c: ~60% Z+b: ~35% Others: <5%



Exclusive $D(2010)^{\pm}$ Selection for Z (W) analysis ($D^{*\pm}$ channel)

- $D^{*\pm} \rightarrow D^0 \pi_s^{\pm}$ [$D^0 \rightarrow K^- \pi^+ (+c.c.)$] decay chain.
- Kaon: track with sign opposite to π_s
- D^0 vertex with $L_{xy}/\sigma(L_{xy}) > 3(0)$, D^0 vertex prob. > 0.05
- $p_T(D^*) > 0(4)$ && $p_T(D^*)/\Sigma p_{T(\text{cone } 0.4)} > 0(0.2)$
- $p_T(K) > 1.75(1)$, $p_T(\pi) > 0.75(1)$, $p_T(\pi_s) > 0.5(0.35)$ GeV
- $|\Delta R(D^*, \text{jet})| < 0.5$, $|\Delta R(D^0, \pi_s)| < 0.1(0.15)$.
- $|m(D^0) - 1.865| < 100(35)$ MeV, $|\Delta m - 145| < 5(1)$ MeV
- Signal region : $1.97 < m(D^*) < 2.05$ GeV
- Sidebands : $0.06 < |m(D^{*\pm}) - 2.01| < 0.12$ GeV



Non resonant background in the signal region subtracted from the neighboring sidebands
 (wrong charge $D^0 \rightarrow K^- \pi^- (+c.c.)$ in $W+c$ analysis)

After sideband subtraction:

$$309 \pm 22 D^{*\pm}(Z \rightarrow \mu^+ \mu^-) \quad 234 \pm 22 D^{*\pm}(Z \rightarrow e^+ e^-)$$

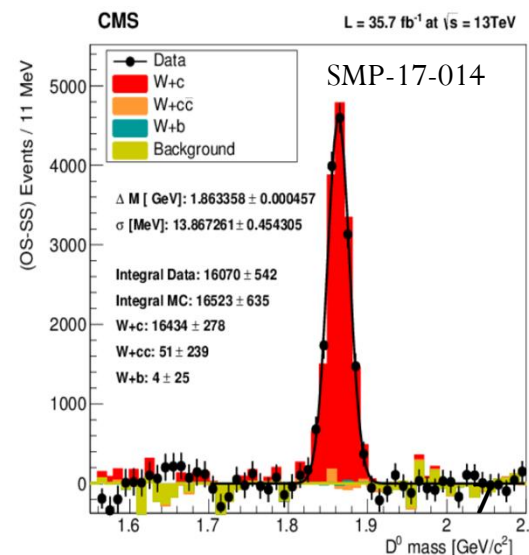
$$19.2 \pm 0.6 \times 10^3 D^{*\pm}(W \rightarrow \mu \nu)$$

Z+c: ~65% Z+b: ~30% Z+light: <1% Others($t\bar{t}$ +VV): <4%

W+c: >98%

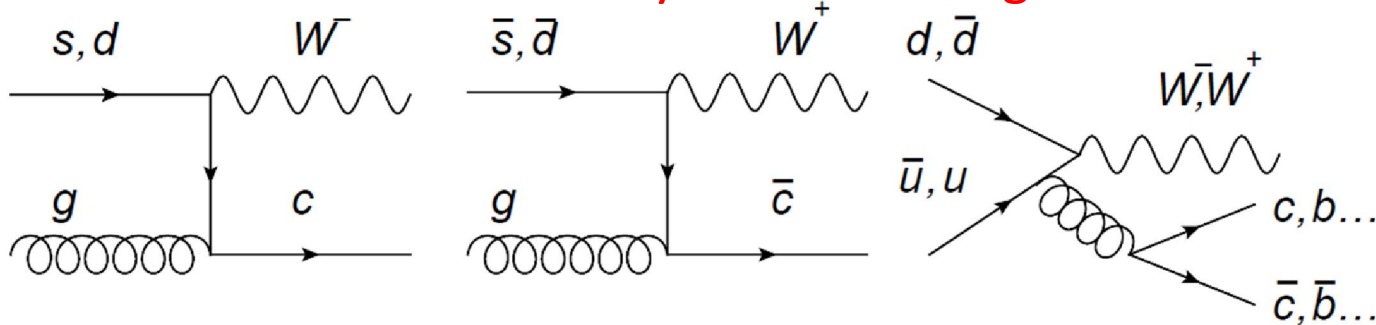
• The simulation is reweighted to match the experimental values of

$c \rightarrow D^{*\pm} \rightarrow D^0 \pi_s^{\pm}$ [$D^0 \rightarrow K^- \pi^+$] (PDG+L.Gladilin, Eur.Phys.J. C75(2015)19)



Selection of W+c samples

- $W \rightarrow e\nu, \mu\nu$ plus jets with similar selection to Z+HF
- Identification of heavy flavor jet: μ or SV in jet from D-hadron inclusive decays or $D^{*\pm}$
- OS-SS subtraction to remove symmetric backgrounds

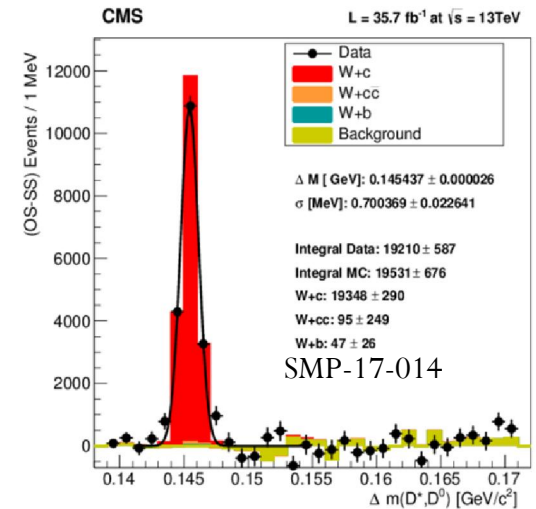
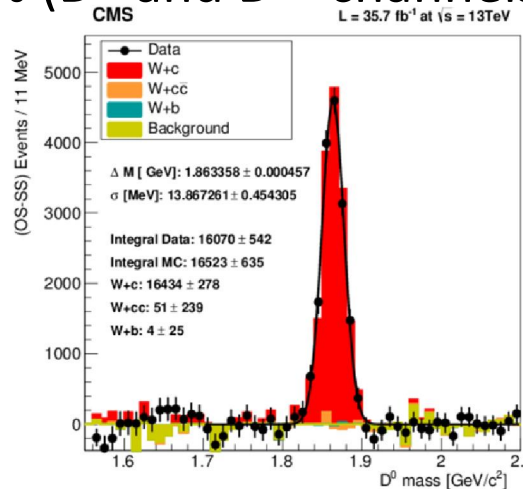
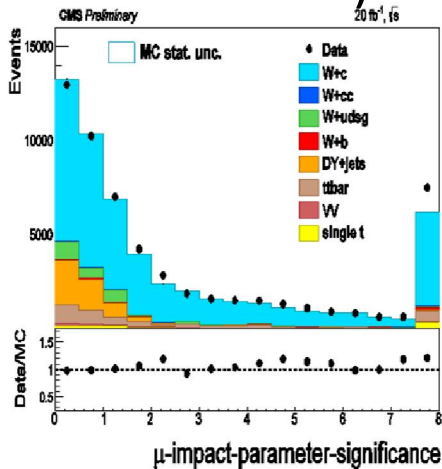


OS event

OS event

Bckg: 50% OS, 50% SS

After OS-SS subtraction the purity in W+c of the resulting sample is > 80% (SL and SV channels) and >98% (D^\pm and $D^{*\pm}$ channels)

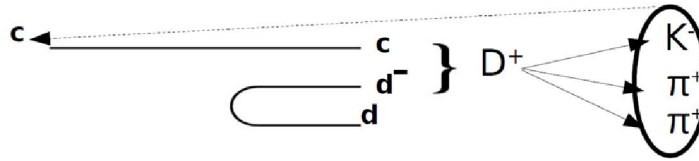


Charm charge determination of $W+c$ samples

- In the $SL(D^*)$ channel the charm charge is that of the $\mu(D^*)$

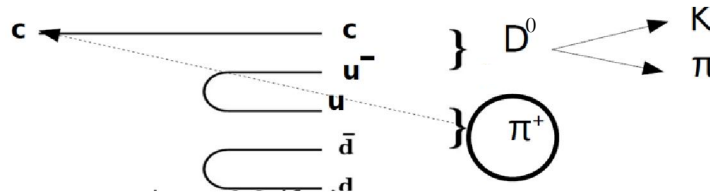
Vertex-tracks

- In the SV channel, the charge of the SV vertex : $\sum q_{\text{tracks}}$



- If vertex-charge $\neq 0$ use charge of closest PV-track ($p_T > 0.3$ && $\Delta R(\text{track}, \text{SV vertex}) < 0.1$).

The sign of that track, the closest track to the $\text{Charm}_{\text{hadron}}$ in the process of fragmentation, tells you whether we have a c or a \bar{c} :



- Charge definition: OS if charges of the SV and the lepton from the W decay are opposite.

• OS : $Q_{W \rightarrow e(\mu)} \neq Q_{SV}$

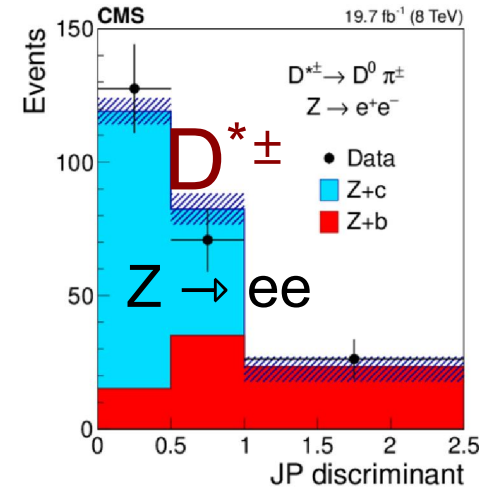
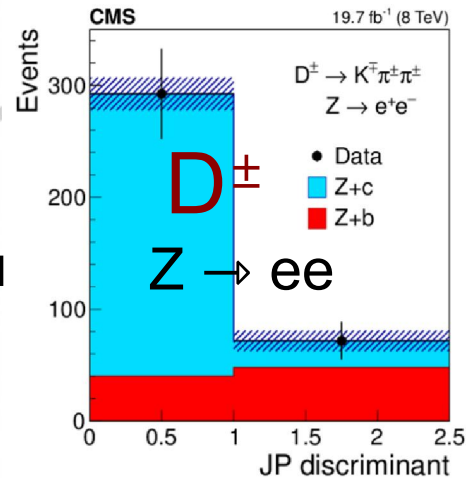
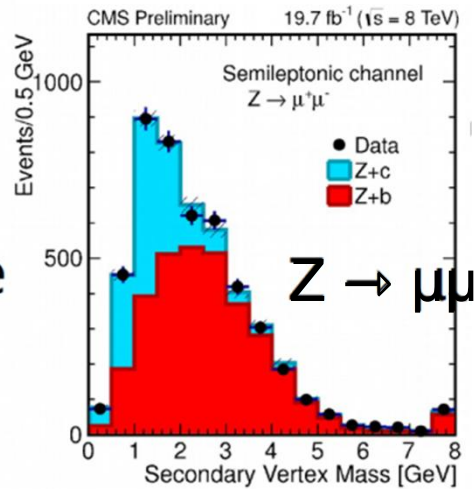
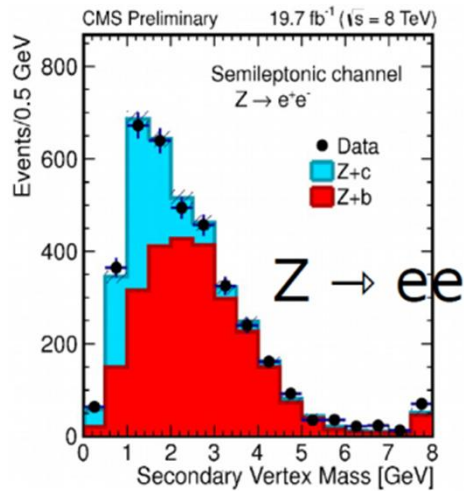
SS : $Q_{W \rightarrow e(\mu)} = Q_{SV}$

Signal extraction (Z+c)

Z: Total # of observed Z+c/Z+b extracted from a χ^2 minimization fit of the Z+c/Z+b templates to the experimental distributions of vertex mass and JP discriminants (likelihood estimate of prob. of jet tracks to come from primary vertex)

$$\chi^2 = \sum_i \frac{(n_i^{data} - \mu_{Z+c} N_i^{Z+c} - \mu_{Z+b} N_i^{Z+b})^2}{(\sigma_i^d)^2 + (\sigma_i^{MC})^2}$$

n_i = Number of events in data (after subtraction of remaining background from Z+light, tt and VV)
 N_i^{Z+c}, N_i^{Z+b} = Number of Z+c, Z+b
 Parameters to fit: μ_{Z+c} & μ_{Z+b}



c/b separation clearer in the D^* mode (the soft pion comes from the PV for $c \rightarrow D^*$ and not for $b \rightarrow B \rightarrow D^*$)

μ_{Z+c} & μ_{Z+b} in the 0.9-1.1 range

Results: $\sigma(W+c)$ @ 13 TeV

Charm from D^* channel

$$\sigma = N_{(W+D^*)\text{data}} / L B A_c \epsilon_c$$

$$A_c \epsilon_c = N_{W+D^*(\text{reco})} / N_{W+c(\text{gen})}$$

$$\sigma(W+c) = 1026 \pm 31(\text{stat})^{+76}_{-72}(\text{syst}) \text{ pb}$$

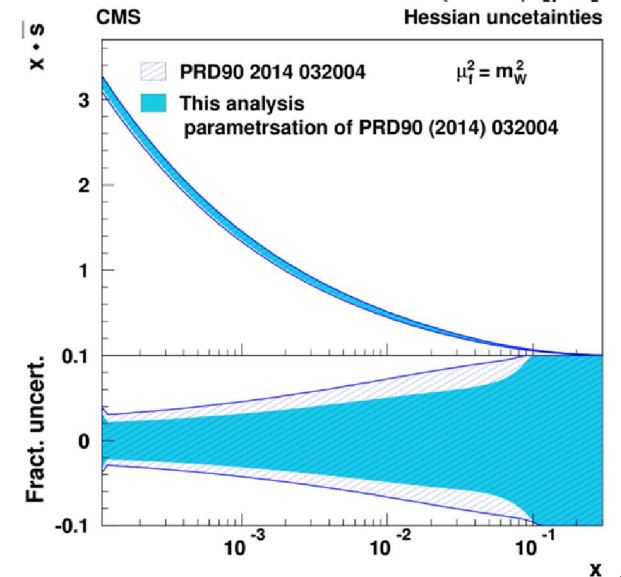
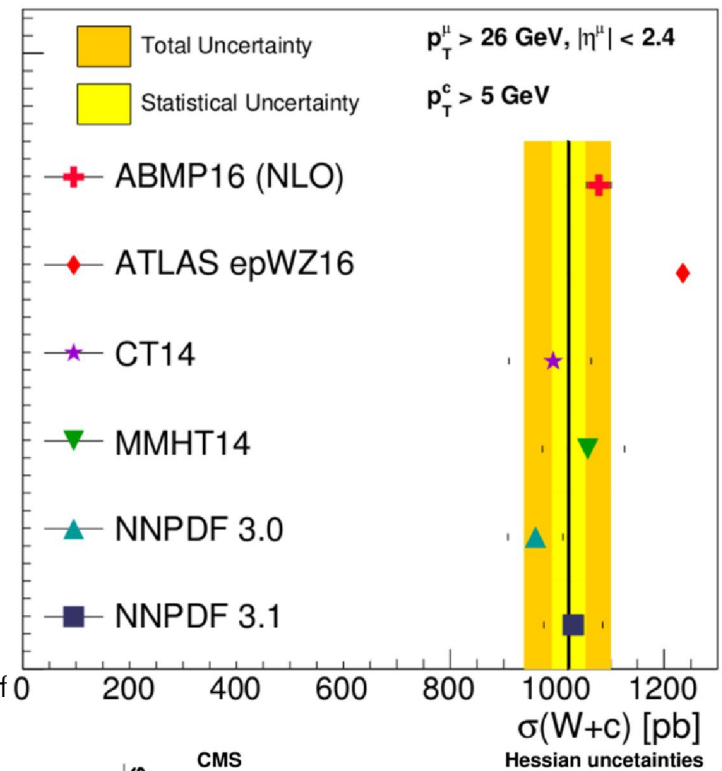
$$\sigma(W^+c)/\sigma(W^-c) = 0.968 \pm 0.055(\text{stat})^{+0.015}_{-0.028}(\text{syst})$$

In good agreement with the theoretical predictions at NLO using different PDF sets (except f0

From a QCD analysis at NLO together with inclusive DIS measurements and earlier results from CMS on $W+c$ production and the lepton charge asymmetry in W -production : The strange quark distribution and strangeness suppression factor agree with results from neutrino-scattering experiments.

CMS

$L = 35.7 \text{ fb}^{-1}$ at $\sqrt{s} = 13 \text{ TeV}$



Results: $\sigma(W+c)$ @ 8 TeV

Charm from SL and SV channels

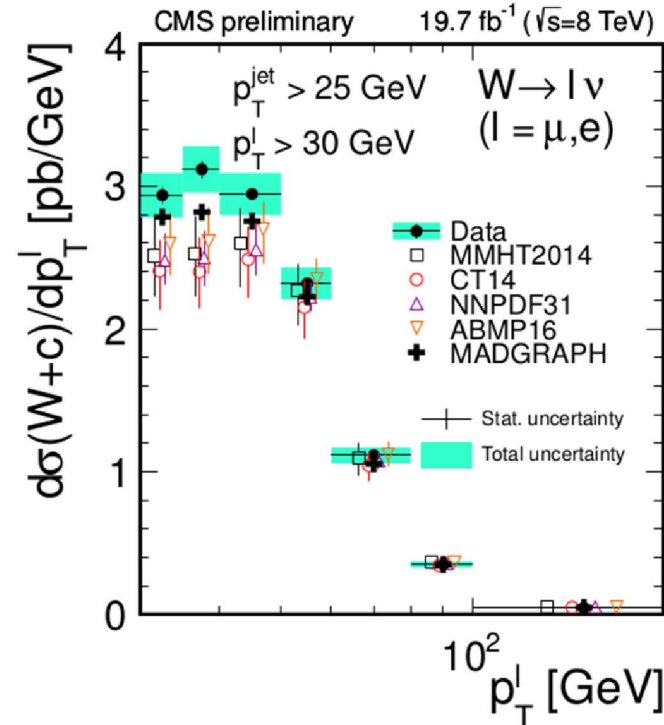
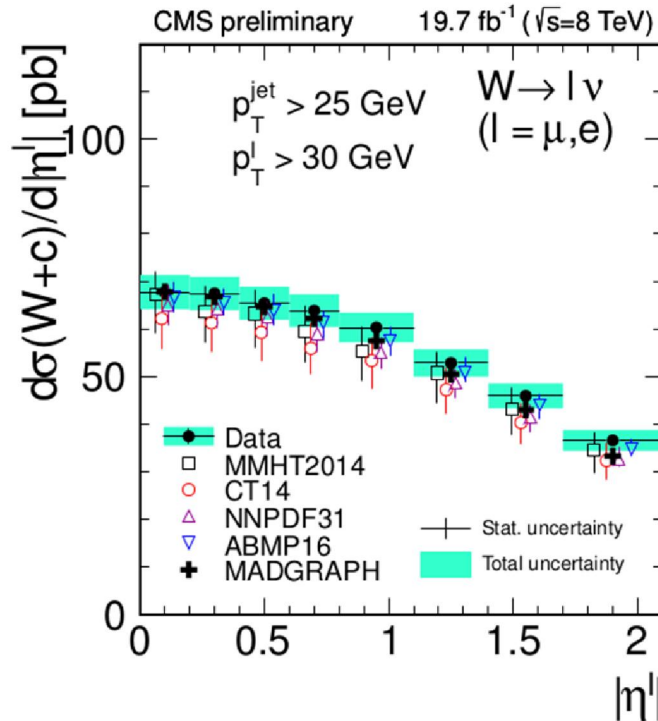
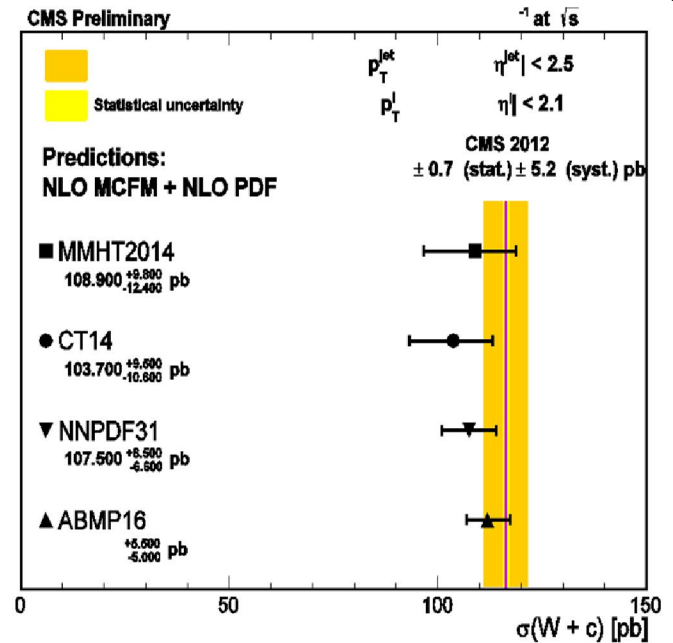
$$\sigma = N_{(W+c)\text{data}} / L B A_c \epsilon_c$$

$$A_c \epsilon_c = N_{W+c(\text{reco})} / N_{W+c(\text{gen})}$$

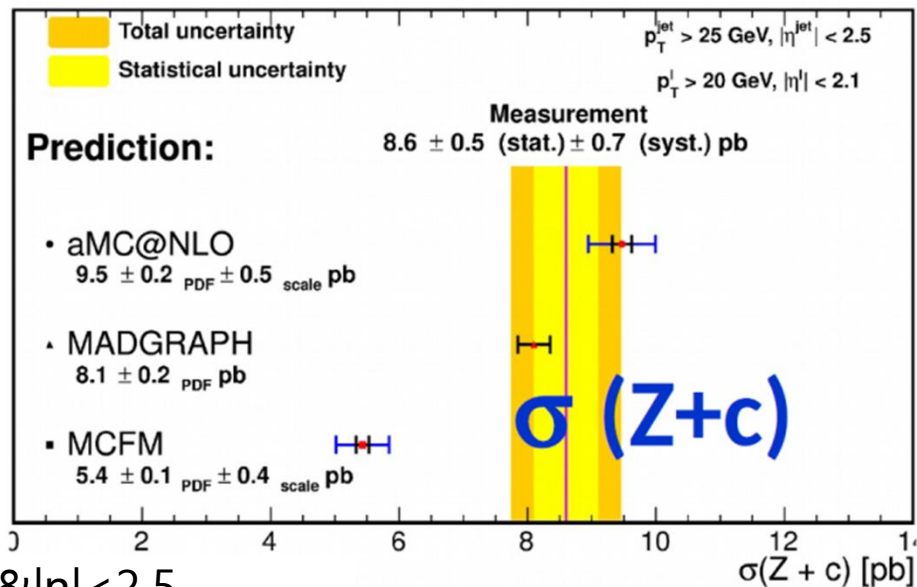
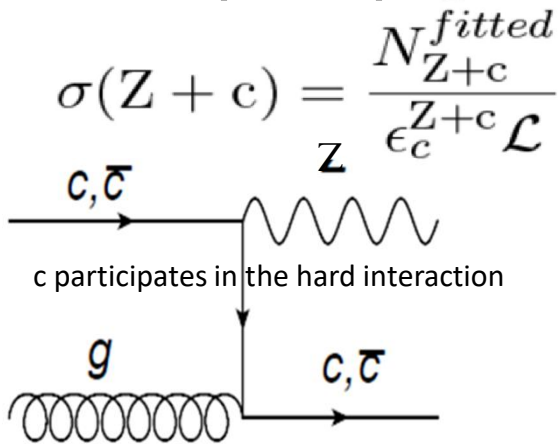
$$\sigma(W+c) = 116.3 \pm 0.7(\text{stat}) \pm 5.2(\text{syst}) \text{ pb}$$

$$\sigma(W^+ + c) / \sigma(W^- + c) = 0.986 \pm 0.011(\text{stat}) \pm 0.013(\text{syst})$$

In good agreement with the theoretical predictions at NLO using different PDF sets

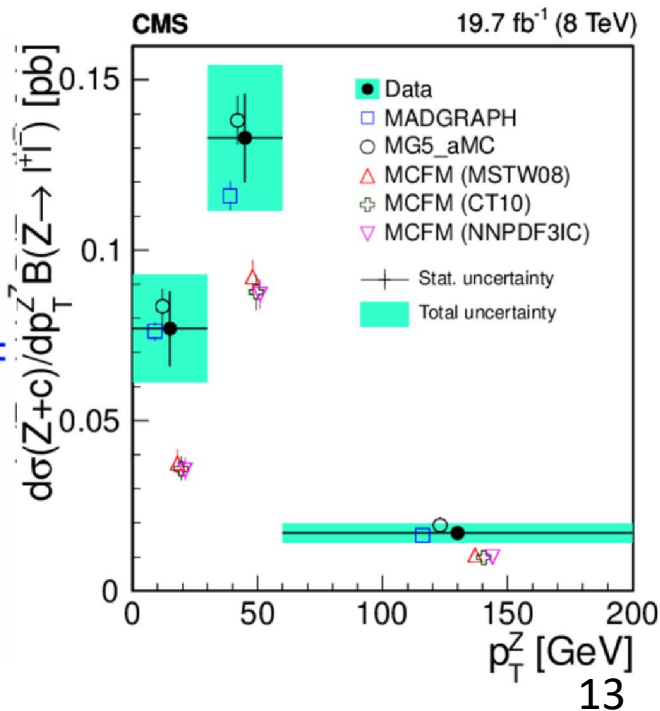
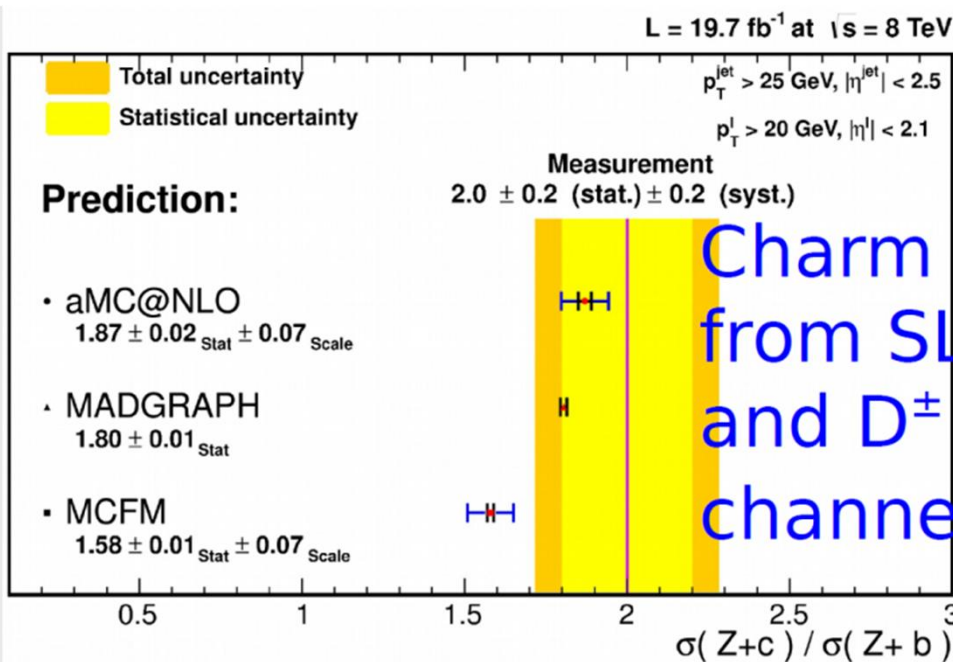


Results: $\sigma(Z+c)$ @ 8 TeV



$\mu, e(p_T) > 25 \text{ GeV} \ \& \ |\eta| < 2.1, \text{jet}(p_T) > 25 \text{ GeV} \ \& \ |\eta| < 2.5$

MCFM do not include contributions from GS



Conclusions

Evaluated $W/Z + c$ associated production, inclusive and differential

Z in agreement with predictions from MadGraph5 amc@nlo (and Madgraph renormalized to a FEWZ calculation for $Z+c$). W in agreement with the theoretical predictions at NLO using different PDF sets

There has been a lot of improvement in the last decades and there is more to come from both , theoretical and experimental results

Back up

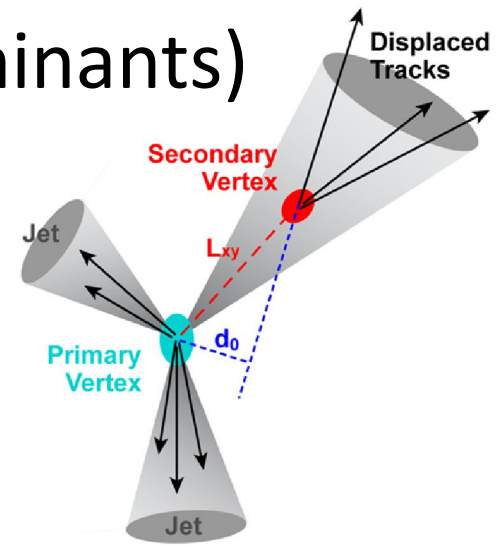
Z+c Selection: b/c separation (discriminants)

- Vertex mass (for semileptonic mode)

$$M_{\text{vertex}}^{\text{corr}} = \sqrt{M_{\text{vertex}}^2 + p_{\text{vertex}}^2 \sin^2 \theta} + p_{\text{vertex}} \sin \theta,$$

Correction included to account for unidentified neutral decay products

- JP (for D hadron modes): likelihood estimate of prob. of jet tracks to come from primary vertex



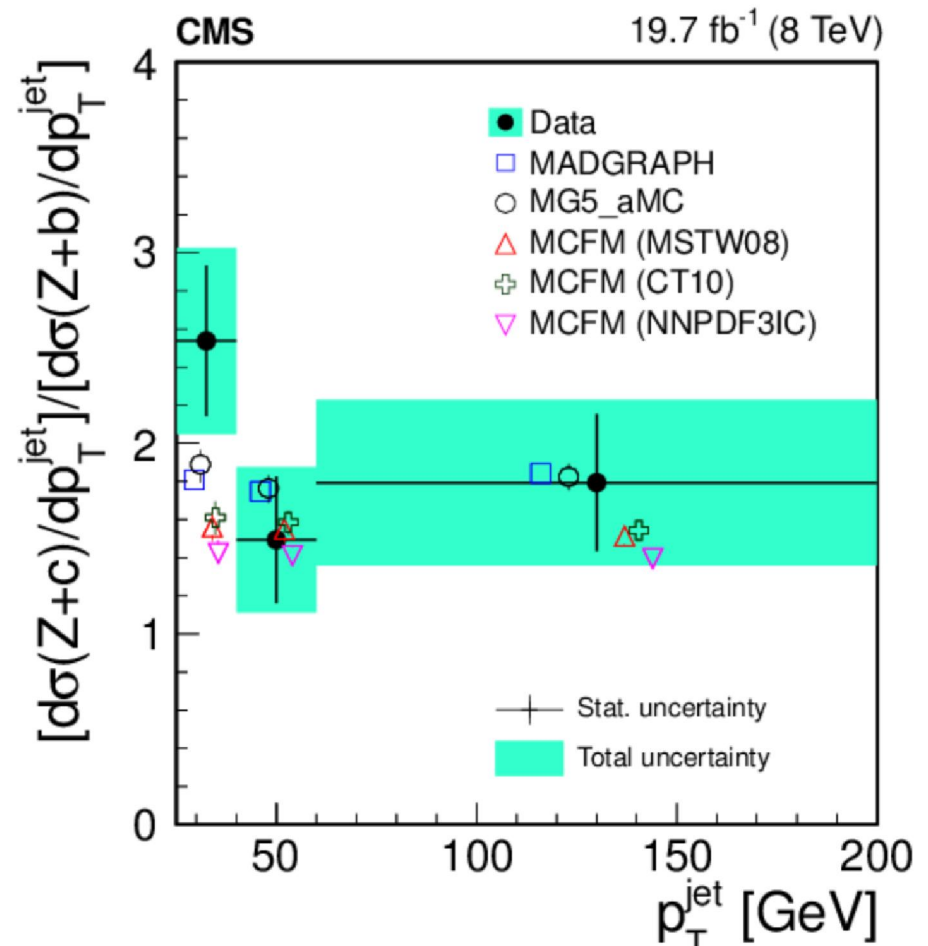
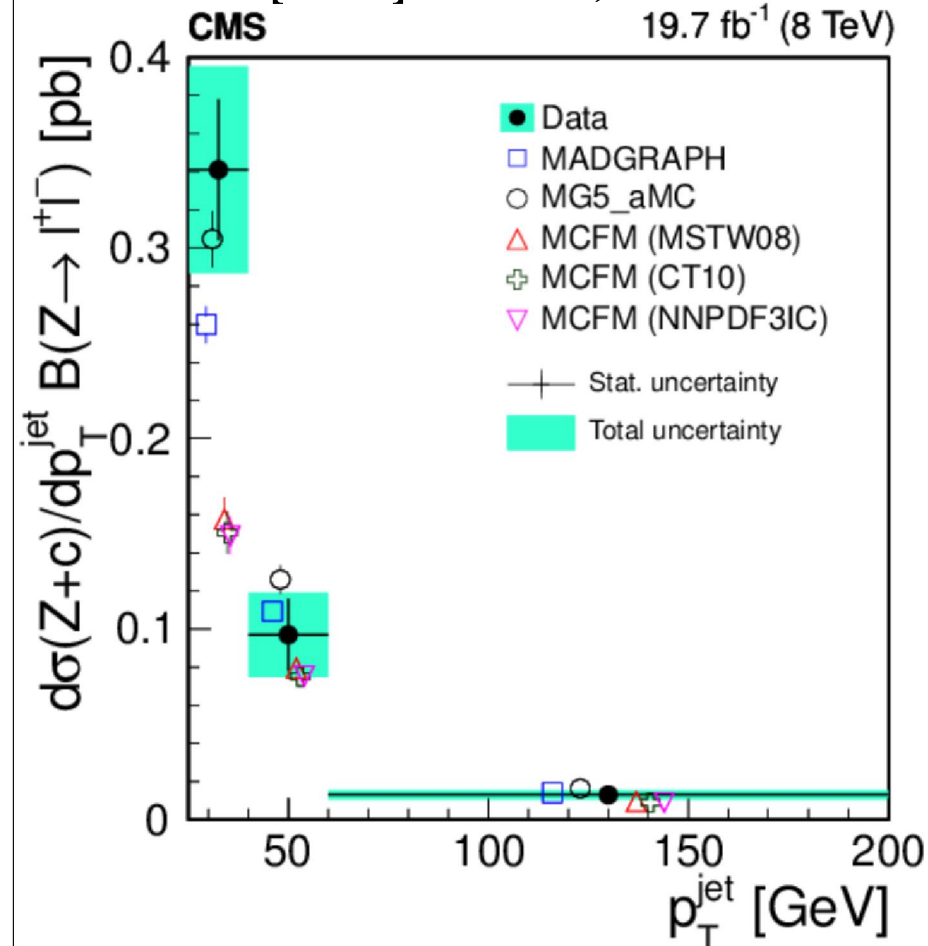
The larger the IP of a track the more inconsistent w.r.t. PV

Modeling strategy

- Z+c :
 - Shape : data driven (W+charm) [1st time]
 - Normalization taken from MC after applying vertex-efficiency corrections
- Z+b :
 - Shape : from MC but corrected with data (ttbar)
 - Normalization from MC after vertex-efficiency corrections
- Z+light and Dibosons: shape and normalization from MC
- ttbar: Data driven

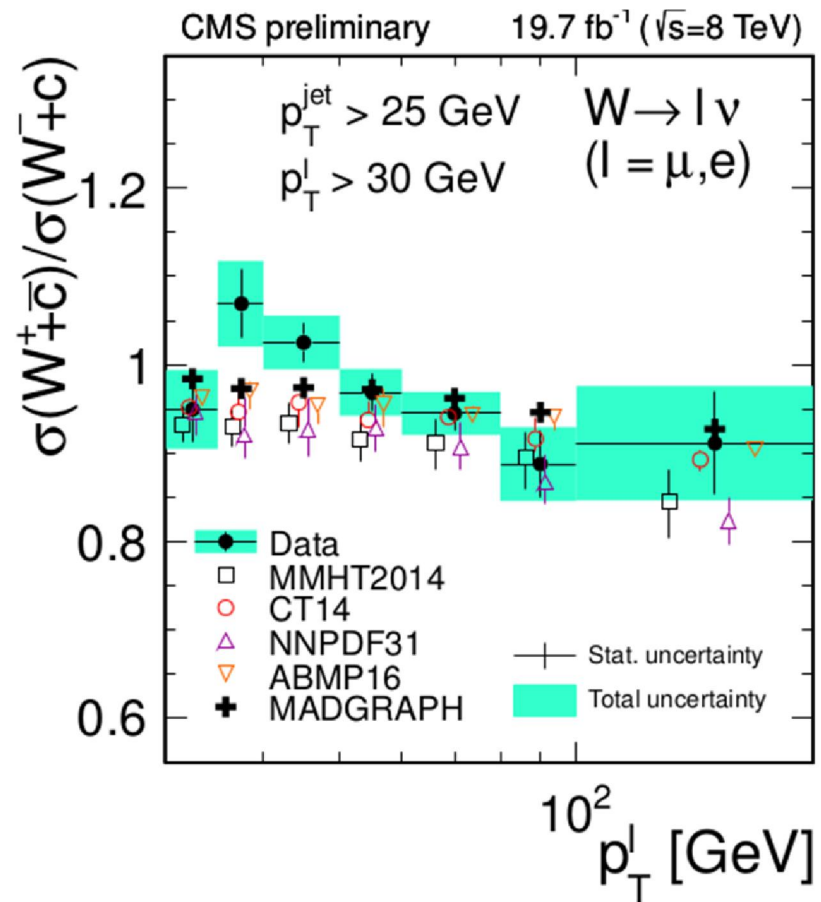
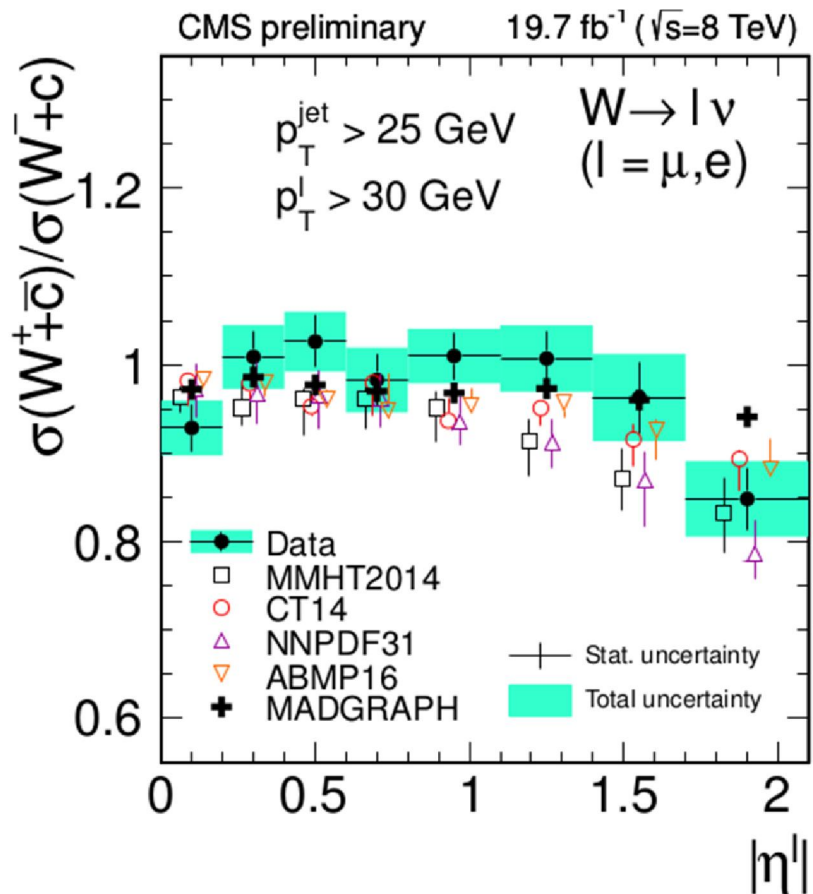
Differential cross sections as a function of p_T^{jet}

Bins [GeV] : 25-40, 40-60 and 60-200



If the proton momentum fraction taken by the charm quark component (intrinsic + per-turbative) is of $\sim 2\%$, an increase in

Comparison with MCFM (differential cross section ratio)

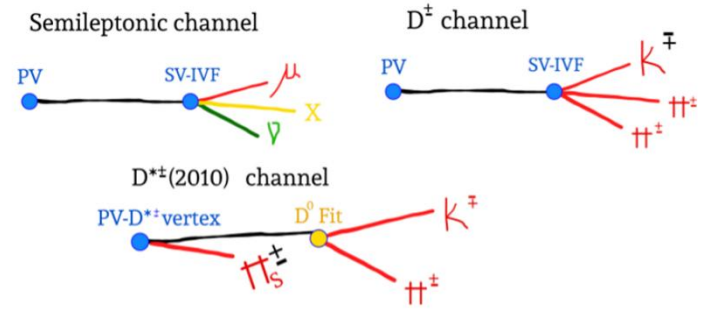


Cross section determination

Semileptonic mode			
Channel	N_{Z+c}^{signal}	C_{Z+c} (%)	$\sigma(Z+c)$ [pb]
$Z \rightarrow e^+e^-$	1066 ± 95	0.63 ± 0.03	$8.6 \pm 0.8 \pm 1.0$
$Z \rightarrow \mu^+\mu^-$	1449 ± 144	0.81 ± 0.03	$9.1 \pm 0.9 \pm 1.0$
$Z \rightarrow \ell^+\ell^-$	$\sigma(Z+c) = 8.8 \pm 0.6(\text{stat}) \pm 1.0(\text{syst}) \text{ pb}$		
Channel	N_{Z+b}^{signal}	C_{Z+b} (%)	$\sigma(Z+c)/\sigma(Z+b)$
$Z \rightarrow e^+e^-$	2606 ± 114	2.90 ± 0.08	$1.9 \pm 0.2 \pm 0.2$
$Z \rightarrow \mu^+\mu^-$	3240 ± 147	3.93 ± 0.10	$2.2 \pm 0.3 \pm 0.2$
$Z \rightarrow \ell^+\ell^-$	$\sigma(Z+c)/\sigma(Z+b) = 2.0 \pm 0.2(\text{stat}) \pm 0.2(\text{syst})$		
D^\pm mode			
Channel	N_{Z+c}^{signal}	C_{Z+c} (%)	$\sigma(Z+c)$ [pb]
$Z \rightarrow e^+e^-$	276 ± 55	0.13 ± 0.02	$10.9 \pm 2.2 \pm 0.9$
$Z \rightarrow \mu^+\mu^-$	316 ± 75	0.18 ± 0.02	$8.8 \pm 2.0 \pm 0.8$
$Z \rightarrow \ell^+\ell^-$	$\sigma(Z+c) = 9.7 \pm 1.5(\text{stat}) \pm 0.8(\text{syst}) \text{ pb}$		
$D^{*\pm}(2010)$ mode			
Channel	N_{Z+c}^{signal}	C_{Z+c} (%)	$\sigma(Z+c)$ [pb]
$Z \rightarrow e^+e^-$	151 ± 31	0.11 ± 0.01	$7.3 \pm 1.5 \pm 0.5$
$Z \rightarrow \mu^+\mu^-$	247 ± 28	0.14 ± 0.01	$9.3 \pm 1.1 \pm 0.7$
$Z \rightarrow \ell^+\ell^-$	$\sigma(Z+c) = 8.5 \pm 0.9(\text{stat}) \pm 0.6(\text{syst}) \text{ pb}$		
Combination			
$Z \rightarrow \ell^+\ell^-$	$\sigma(Z+c) = 8.8 \pm 0.5(\text{stat}) \pm 0.6(\text{syst}) \text{ pb}$		

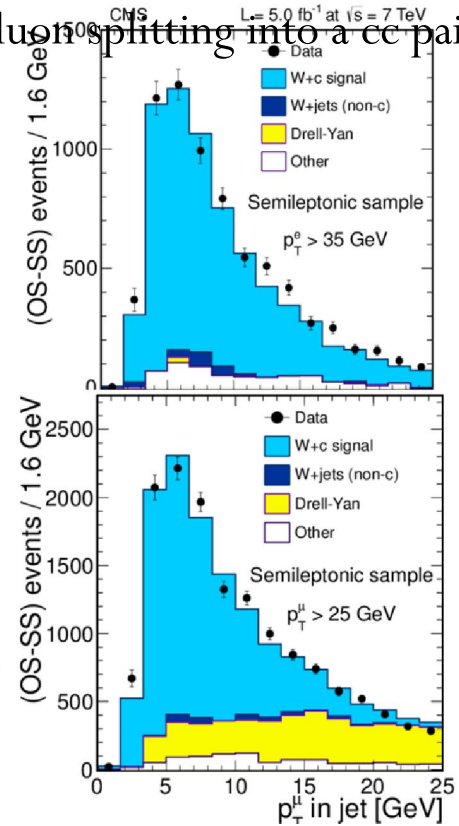
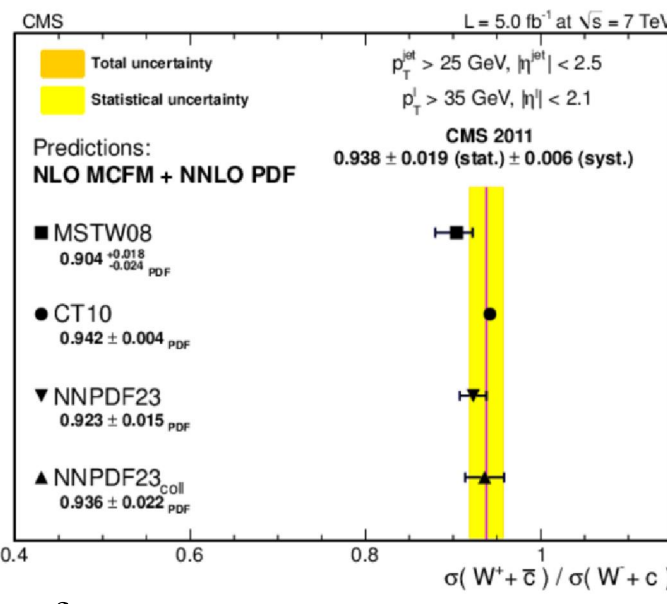
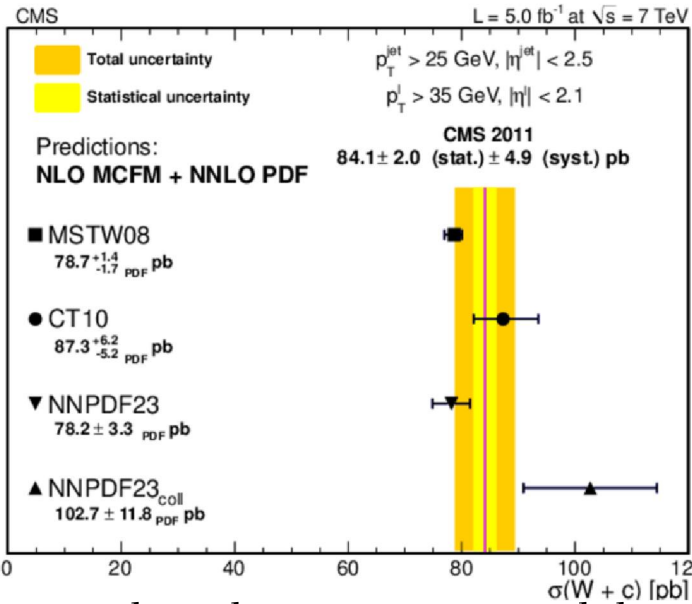
W+c

• $W \rightarrow e\nu$ or $\mu\nu$



Identification of heavy flavor: μ in jet or D-hadron

The MCFM predictions for this process do not include contributions from gluon splitting into a cc pair



Data and predictions agree \rightarrow validation of strange PDFs

First evidence for an asymmetry in the $W^+ + c$ and $W^- + c$ production.

Modeling strategy

Now that we have chosen the variables to separate the different contributions we need a way to model properly each of them

This is called template modeling and has two parts:

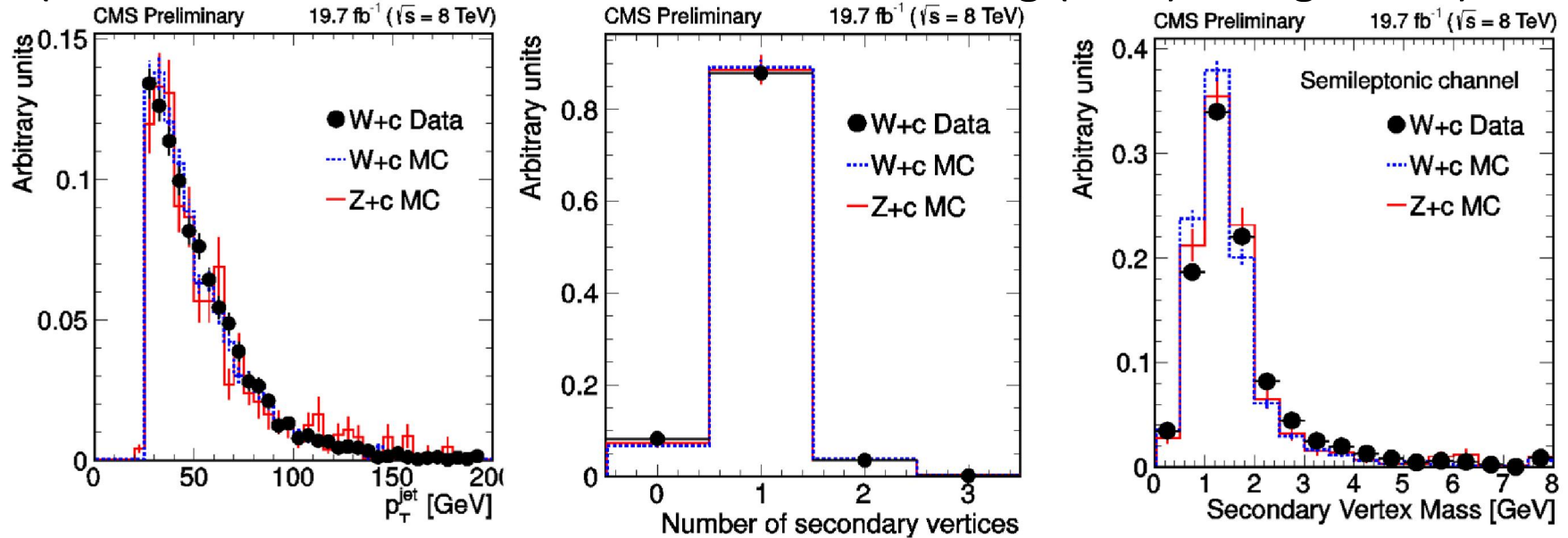
- Modeling properly the shape
- Accurate determination of tagging efficiency

- Z+c :
 - Shape : data driven (W+charm) [1st time]
 - Normalization taken from MC after applying vertex-efficiency corrections
- Z+b :
 - Shape : from MC but corrected with data (ttbar)
 - Normalization from MC after vertex-efficiency corrections
- Z+light and Dibosons: shape and normalization from MC
- ttbar: Data driven

Template (shape) modeling for Z+c

Comparison of c-jets from Z+c and W+c processes

(data from W+c : after subtraction of remaining (little) background)



- *Agreement in general distributions (p_T^{jet} , N_{SV})*
- Discriminant distributions (SV-mass and JP) **W+c MC** and **Z+c MC** agree
- JP prob **W+c MC** and **W+c data** agree and validates the **Z+c MC** description
- SV-mass **W+c MC** and **W+c data** do not agree

The shape is not well modeled by the **W+c MC**. We take the shape of SV-mass from