

Towards a new paradigm for quark-lepton unification



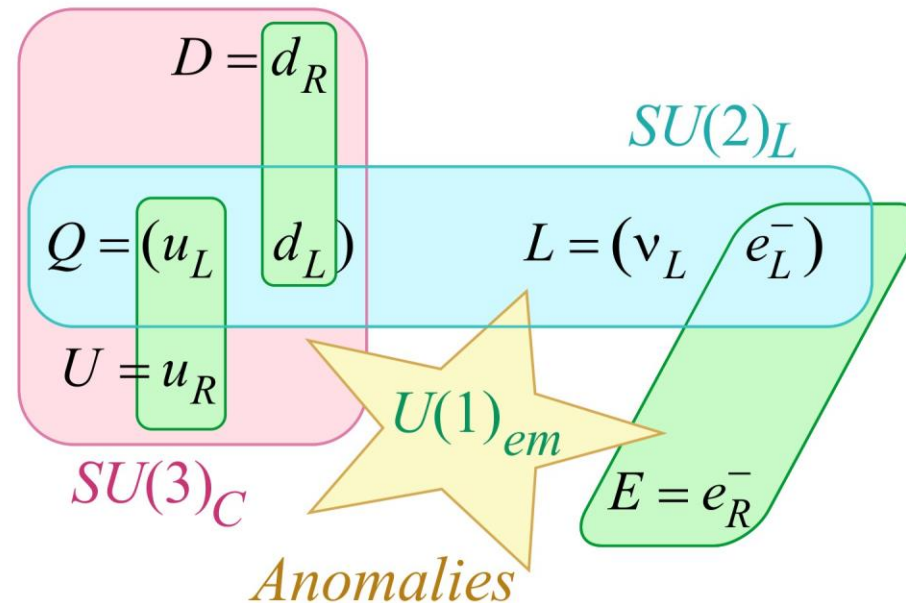
Christopher Smith



Introduction

A. Flavors and unification

From the gauge point of view, fermions are well unified:



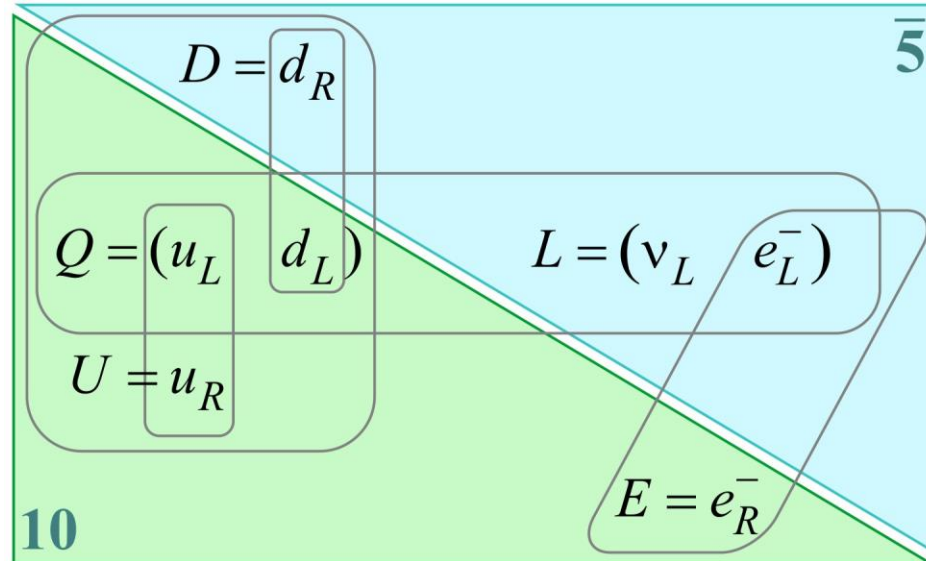
From the flavor point of view, the situation is far less satisfactory:

$$\mathcal{L}_{Yukawa} = \bar{U} \mathbf{Y}_u Q H + \bar{D} \mathbf{Y}_d Q H^\dagger + \bar{E} \mathbf{Y}_e L H^\dagger$$

↑ ↑ ↑
Unrelated 3x3 complex matrices

A. Flavors and unification

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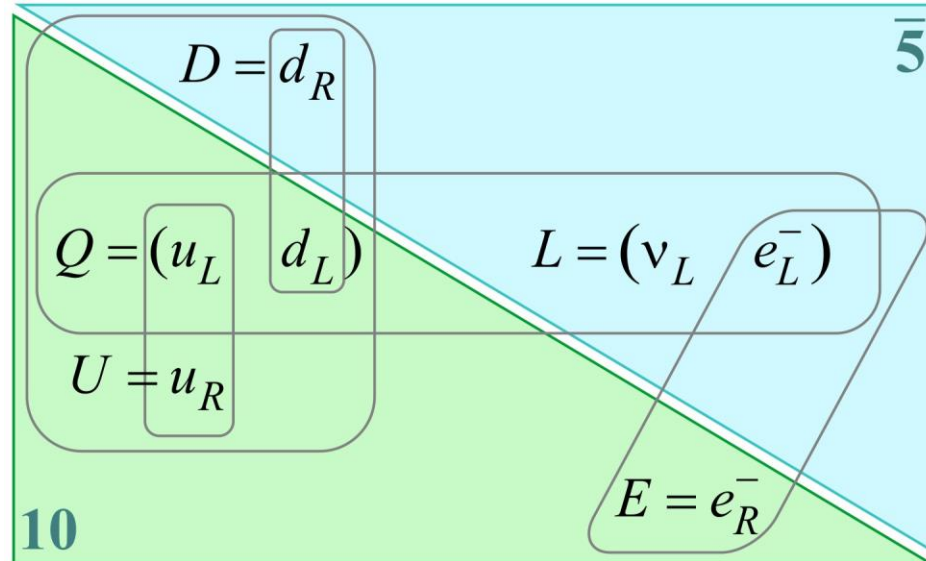
From the flavor point of view, the situation is far less satisfactory:

$$\mathcal{L}_{Yukawa} = -\frac{1}{4} \bar{\chi}_{10}^C \mathbf{Y}_{10} \chi_{10} h_5 + \sqrt{2} \bar{\psi}_5^C \mathbf{Y}_5 \chi_{10} h_5^\dagger$$

$$\mathbf{Y}_{10} = \mathbf{Y}_{10}^T = \mathbf{Y}_u \quad \mathbf{Y}_5 = \mathbf{Y}_e^T = \mathbf{Y}_d \rightarrow \frac{m_d}{m_s} = \frac{m_e}{m_\mu} \text{ ???}$$

A. Flavors and unification

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$\mathbf{Y}_{10} = \mathbf{Y}_{10}^T = \mathbf{Y}_u$

B. Minimal Flavor Violation

The three generations of quarks/leptons have **identical gauge interactions**

→ **flavor symmetry**: $G_F = U(3)^5 = U(3)_Q \times U(3)_U \times U(3)_D \times U(3)_L \times U(3)_E$

Chivukula,
Georgi '87

- The only sources of breaking are the Yukawa couplings:

$$\mathcal{L}_{Yukawa} = U\mathbf{Y}_u QH + D\mathbf{Y}_d QH^\dagger + E\mathbf{Y}_e LH^\dagger$$

...but **artificially invariant** if $\mathbf{Y}_{u,d,e}$ transform under G_F (= spurions).

- New physics couplings/operators are assumed to be also invariant:

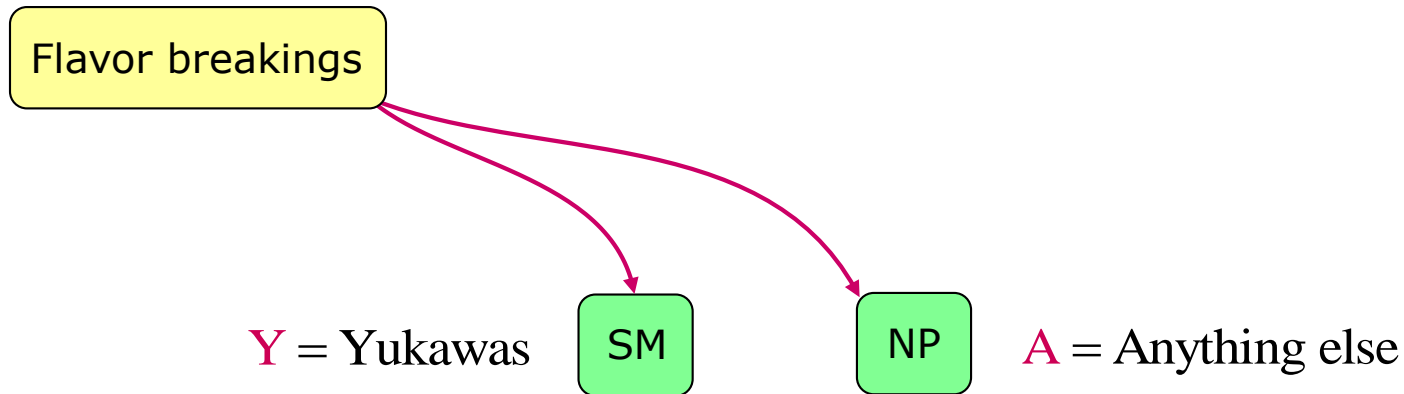
Example: $d_L^I \rightarrow d_L^J \gamma^*$ from $\mathcal{O}_\gamma \sim c^{IJ} \bar{Q}^I \gamma_\nu Q^J D_\mu F^{\mu\nu}$

MFV expansion: $c^{IJ} \sim (\alpha 1 + \beta \mathbf{Y}_u^\dagger \mathbf{Y}_u + \gamma \mathbf{Y}_d^\dagger \mathbf{Y}_d + \dots)^{IJ}$

Background values: $v \mathbf{Y}_u = m_u V_{CKM}$, $v \mathbf{Y}_{d,e} = m_{d,e}$.

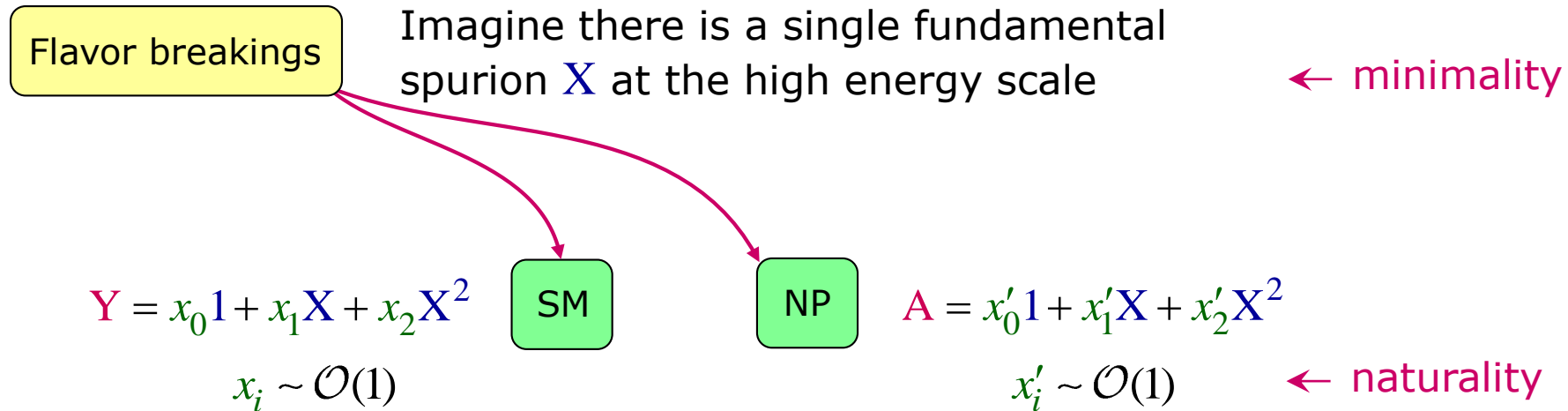
C. The redundancy interpretation

Nikolidakis, CS '07
Colangelo, Nikolidakis, CS '08
CS '11



Some NP mechanism is **at the origin of all the flavor structures.**

C. The redundancy interpretation

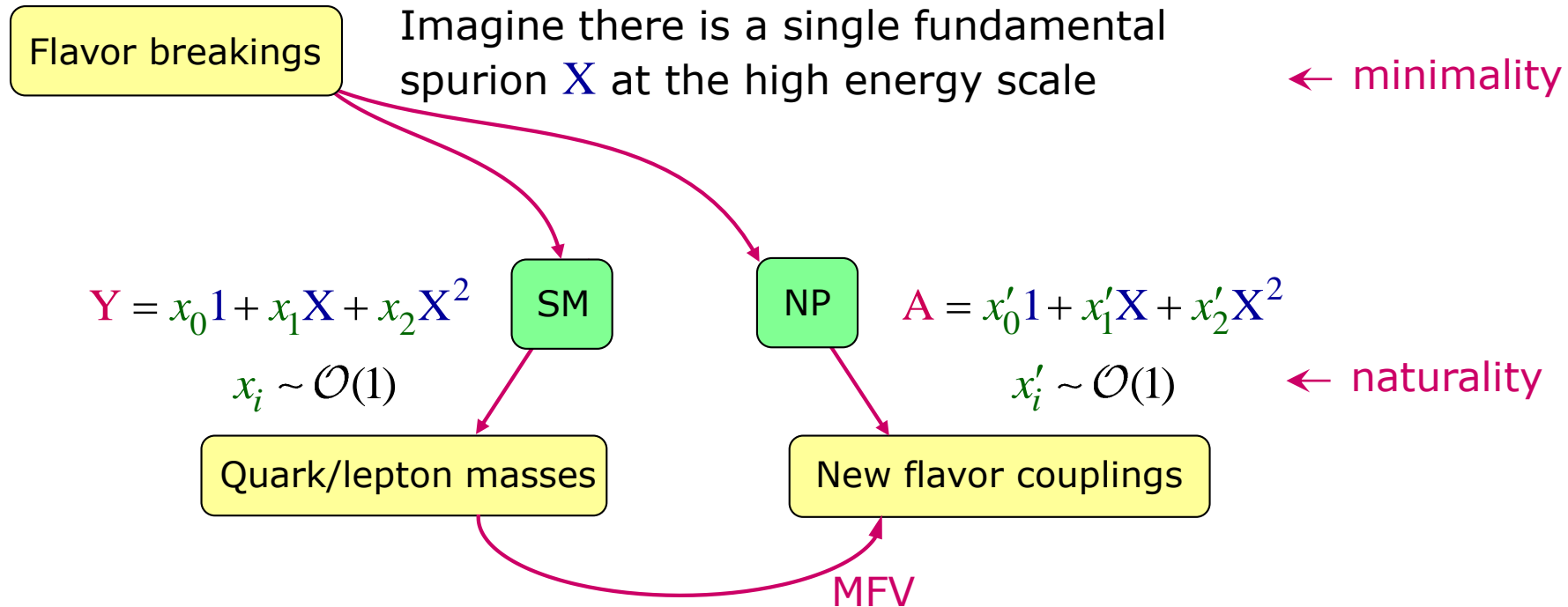


Some NP mechanism is **at the origin of all the flavor structures**.

Remark: finite expansions thanks to Cayley-Hamilton identities:

$$\mathbf{X}^3 - \langle \mathbf{X} \rangle \mathbf{X}^2 + \frac{1}{2} \mathbf{X} (\langle \mathbf{X} \rangle^2 - \langle \mathbf{X}^2 \rangle) = \frac{1}{3} \langle \mathbf{X}^3 \rangle - \frac{1}{2} \langle \mathbf{X} \rangle \langle \mathbf{X}^2 \rangle + \frac{1}{6} \langle \mathbf{X} \rangle^3$$

C. The redundancy interpretation

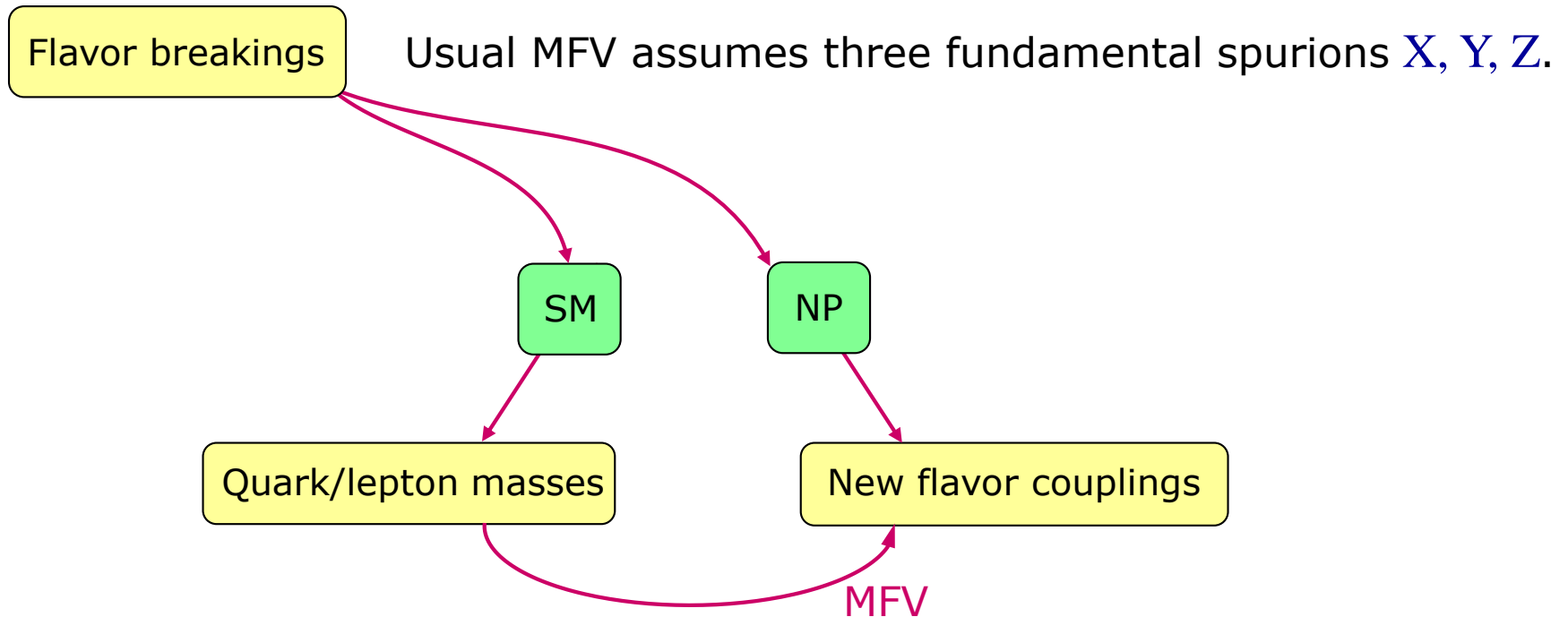


Then, all that is observable are MFV relations among couplings:

$$A = a_0 \mathbf{1} + a_1 Y + a_2 Y^2 \quad \text{or} \quad Y = b_0 \mathbf{1} + b_1 A + b_2 A^2 \quad \text{with} \quad a_i, b_i \sim \mathcal{O}(1)$$

C. The redundancy interpretation

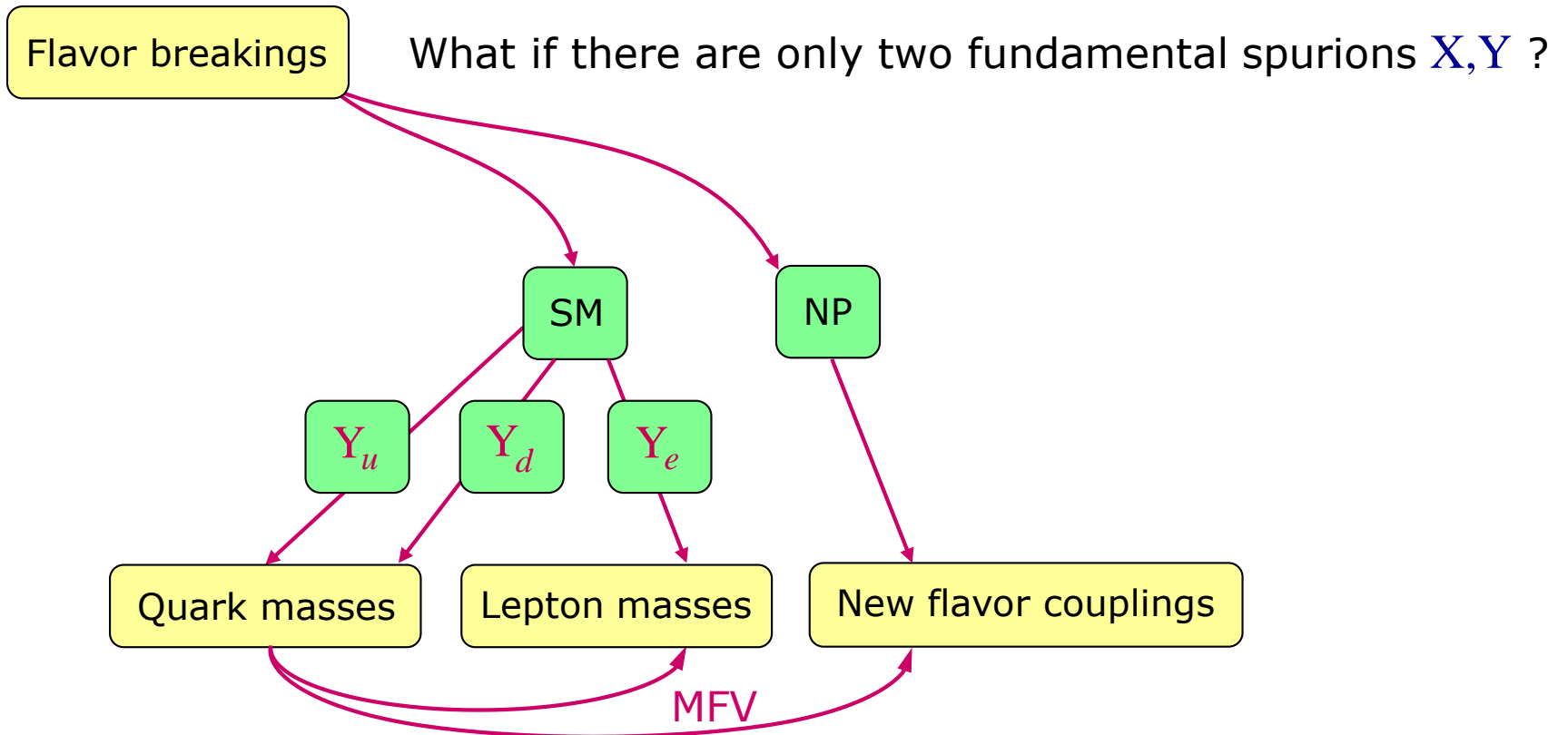
Nikolidakis, CS '07
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CS '11



Trade X, Y, Z for Y_u, Y_d, Y_e and express NP couplings in terms of them.

D. Going beyond...

CS '16



At low energy, the SM couplings must satisfy $Y_e = F(Y_u, Y_d)$.

[Neutrinos kept massless here]

- Outline

I. Flavor perspective on Yukawa unification

II. Geometric MFV

III. Application to the MSSM

IV. Application to minimal SU(5)

Based on arXiv:1612.03825
(to appear in JHEP)

I. Flavor perspective on Yukawa unification

A. Setting up MFV for Yukawas

To proceed, a choice must be made about the fundamental spurions.

Flavor symmetry: $G'_F = U(3)^3 = U(3)_{Q=L} \times U(3)_U \times U(3)_{D=E}$

Spurions: Y_u, Y_d Known in the same gauge basis:

$$G'_F \longrightarrow vY_u = m_u V_{CKM}, \quad vY_d = m_d.$$

Expansion: $Y_e = x_0 Y_d \cdot (1 + x_1 A + x_2 B + x_3 B^2 + x_4 \{A, B\} + x_5 BAB$
 $+ x_6 i[A, B] + x_7 i[A, B^2] + x_8 i(BAB^2 - B^2 AB))$

$$(A \equiv Y_d^\dagger Y_d, B \equiv Y_u^\dagger Y_u)$$

These choices match SU(5), up to an irrelevant transposition.

A. Setting up MFV for Yukawas

The MFV basis is nearly singular \rightarrow Very large coefficients in general.

Mercoli, CS '09

Assuming alignment of the lepton and down-quark mass basis:

$$Y_e \equiv 0.2 Y_d \cdot (1 + 10^8 Y_d^\dagger Y_d - 10^{11} (Y_d^\dagger Y_d)^2) \quad \text{for } \tan \beta = 50.$$

Allowing for subsequent SVD, keeping only three terms ($\tan \beta = 50$):

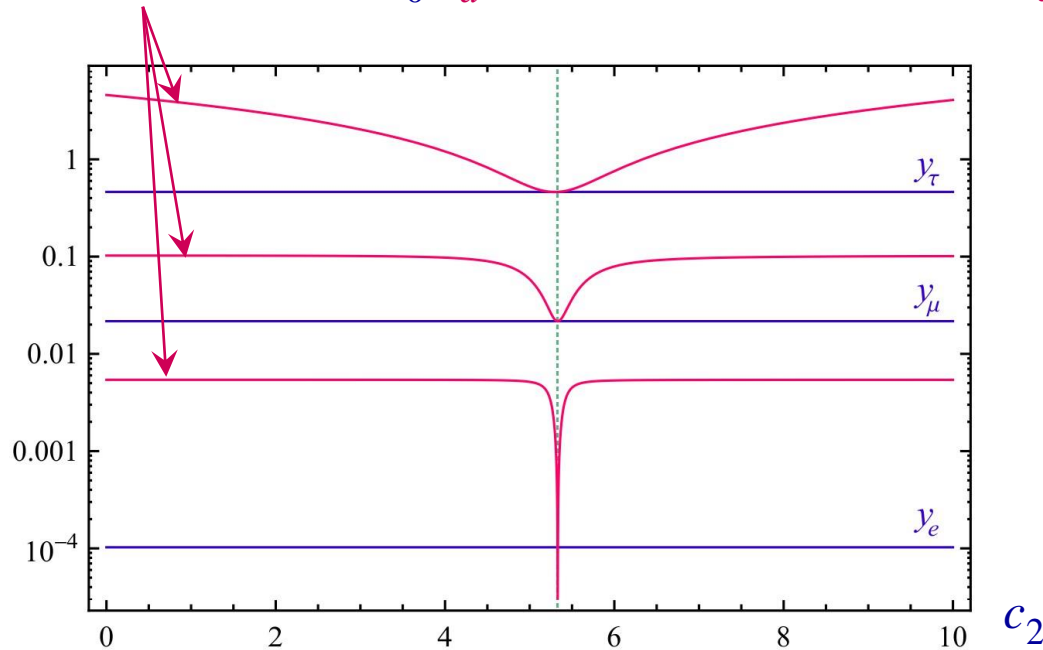
$$Y_e = c_0 Y_d \cdot (1 + c_1 Y_u^\dagger Y_u + c_2 Y_d^\dagger Y_d)$$

	c_0	c_1	c_2
Masses at M_Z	8.6	-1.8	1.2
SM at M_{GUT}	22	6	-20
MSSM at M_{GUT}	20	-7.9	5.3

Remarkable that reasonable coefficients are possible at all!!!

B. On the anatomy of a fine-tuning

If we plot the SVD of $Y_e = c_0 Y_d \cdot X$, $X = 1 + c_1 Y_u^\dagger Y_u + c_2 Y_d^\dagger Y_d$:

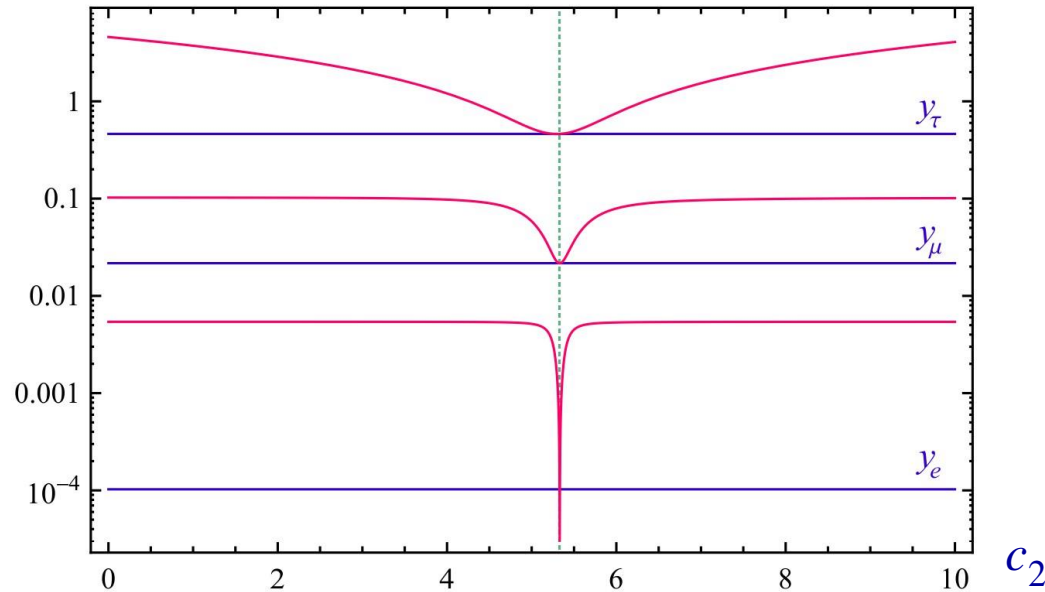


At the physical point: $|X| \approx \begin{pmatrix} 1 & 0.0005 & 0.01 \\ 0.0005 & 1 & 0.06 \\ 0.01 & 0.06 & \boxed{0.004} \end{pmatrix}$

Very delicate cancellation $1 + c_1 y_t^2 + c_2 y_b^2 \approx 0!$

B. On the anatomy of a fine-tuning

If we plot the SVD of $\mathbf{Y}_e = c_0 \mathbf{Y}_d \cdot \mathbf{X}$, $\mathbf{X} = 1 + c_1 \mathbf{Y}_u^\dagger \mathbf{Y}_u + c_2 \mathbf{Y}_d^\dagger \mathbf{Y}_d$:

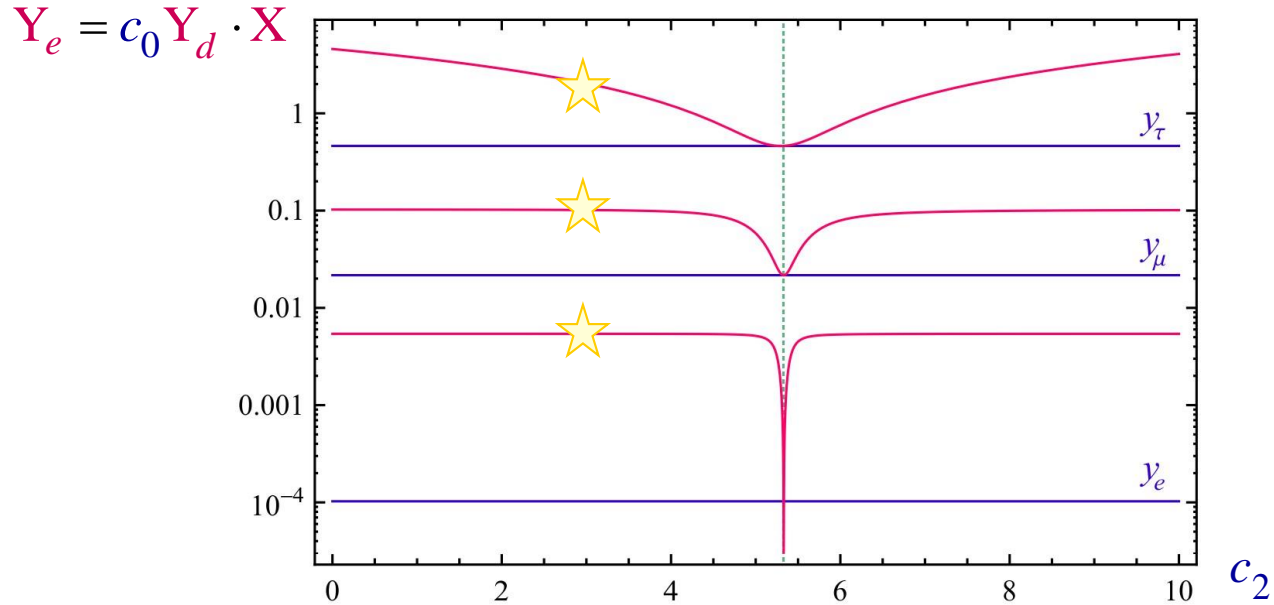


Mathematically, there is a singularity within the natural $c_{1,2}$ ranges:

$$\det \mathbf{Y}_e = c_0^3 \times \det \mathbf{Y}_d \times \det \mathbf{X} \Rightarrow \det \mathbf{X} \approx 1 + c_1 \langle \mathbf{Y}_u^\dagger \mathbf{Y}_u \rangle + c_2 \langle \mathbf{Y}_d^\dagger \mathbf{Y}_d \rangle \approx 0$$

No finite polynomial relation between $\mathbf{Y}_{e,u,d}$ will ever be natural!

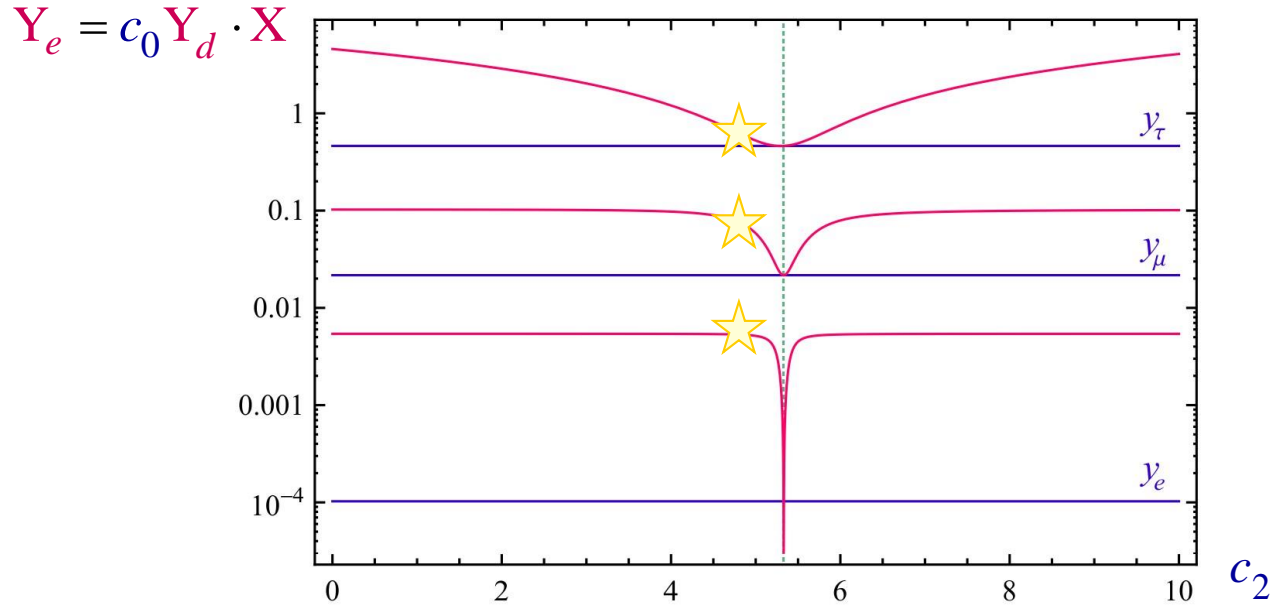
C. The twisted persona of the leptons



$\nu g_E Y_e g_L^\dagger = m_e$, $c_2 = 2.5$: Small CKM-like mixings

$$|g_E| \approx \begin{pmatrix} 1.000 & 0.0002 & 0.00005 \\ 0.0002 & 1.000 & 0.005 \\ 0.00006 & 0.005 & 1.000 \end{pmatrix} \quad |g_L| \approx \begin{pmatrix} 1.00 & 0.005 & 0.04 \\ 0.002 & 0.98 & 0.18 \\ 0.04 & 0.18 & 0.98 \end{pmatrix}$$

C. The twisted persona of the leptons



$\nu g_E Y_e g_L^\dagger = m_e$, $c_2 = 4.8$: Large mixing close to the SVD reordering

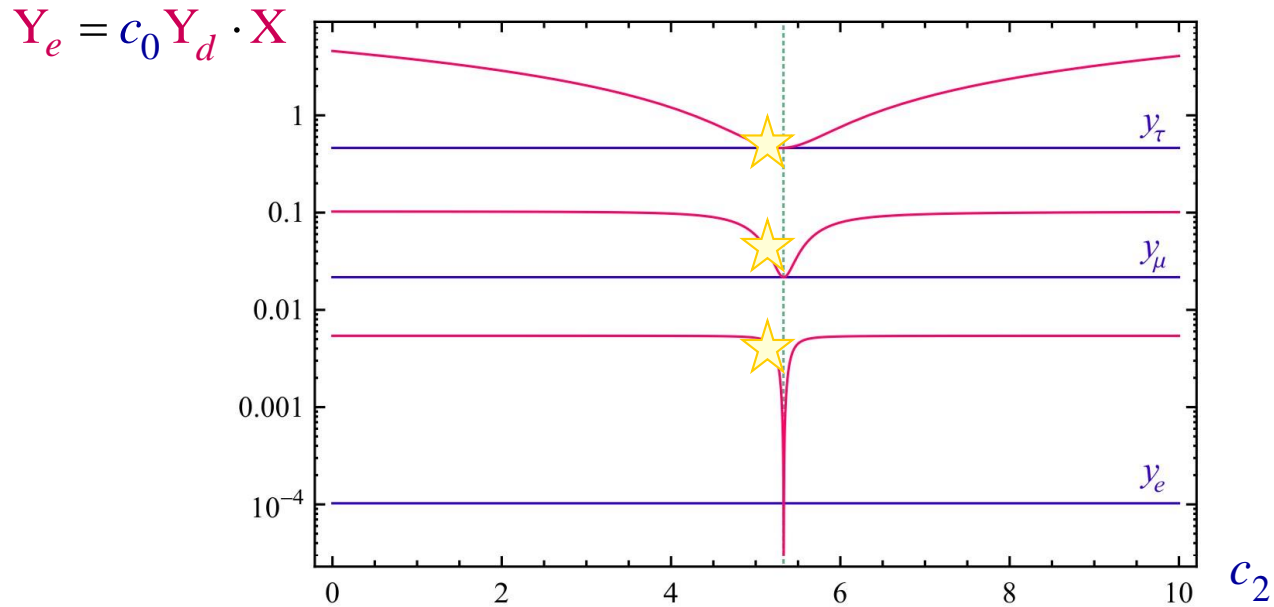
$$|g_E| \approx \begin{pmatrix} 1.000 & 0.008 & 0.0001 \\ 0.008 & 0.99 & 0.11 \\ 0.002 & 0.11 & 0.99 \end{pmatrix}$$

$$g_E \cdot Y_d^\dagger \cdot X^2 \cdot Y_d \cdot g_E^\dagger$$

$$|g_L| \approx \begin{pmatrix} 0.98 & 0.13 & 0.14 \\ 0.01 & 0.71 & 0.70 \\ 0.20 & 0.69 & 0.70 \end{pmatrix}$$

$$g_L \cdot X \cdot Y_d^\dagger Y_d \cdot X \cdot g_L^\dagger$$

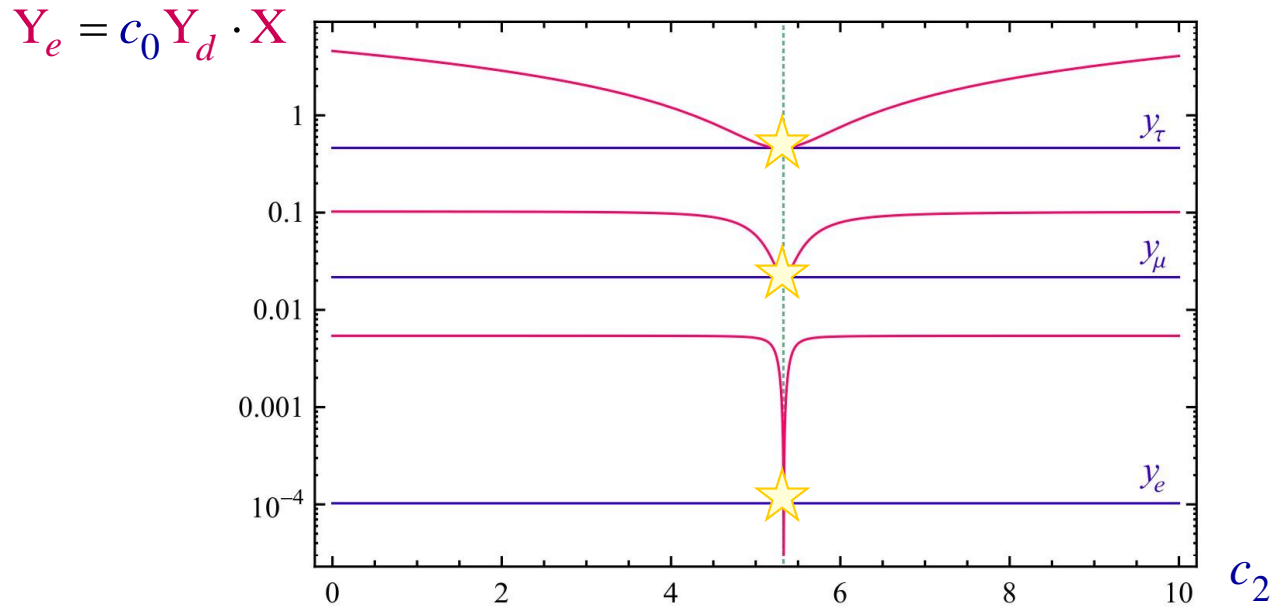
C. The twisted persona of the leptons



$\nu g_E Y_e g_L^\dagger = m_e$, $c_2 = 5.2$: Towards a second SVD reordering

$$|g_E| \approx \begin{pmatrix} 0.97 & 0.09 & 0.002 \\ 0.08 & 0.98 & 0.20 \\ 0.02 & 0.20 & 0.98 \end{pmatrix} \quad |g_L| \approx \begin{pmatrix} 0.80 & 0.56 & 0.20 \\ 0.03 & 0.28 & 0.96 \\ 0.59 & 0.77 & 0.21 \end{pmatrix}$$

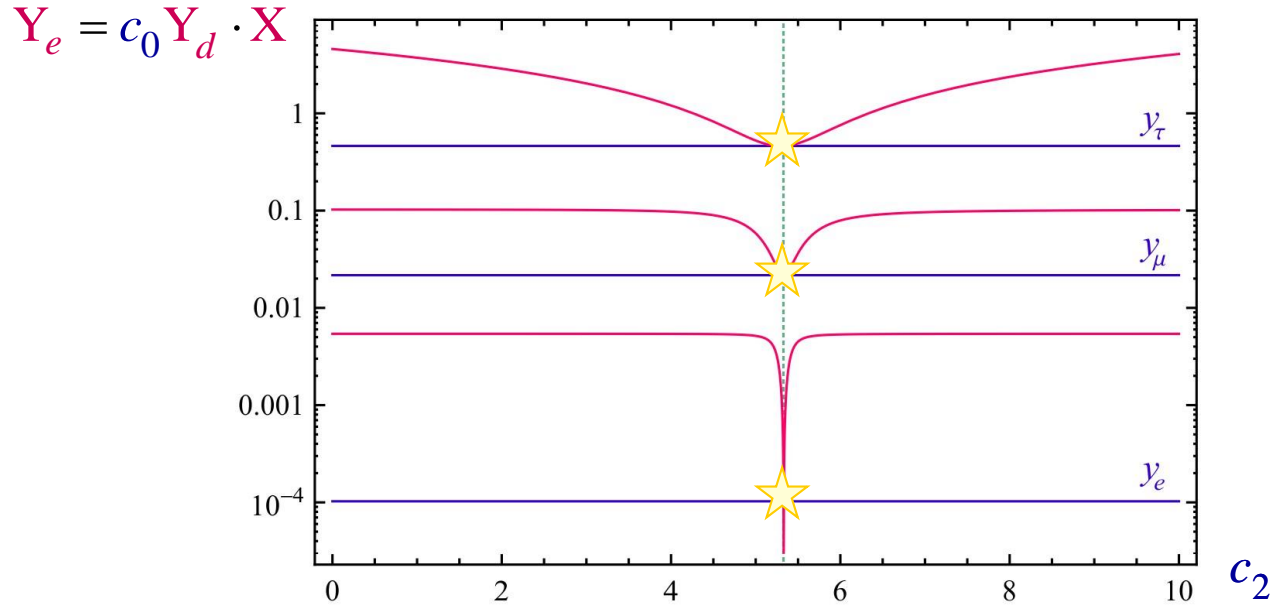
C. The twisted persona of the leptons



$\nu g_E Y_e g_L^\dagger = m_e$, $c_2 = 5.3$: At the physical point, CKM-like mixings

$$|g_E| \approx \begin{pmatrix} 0.97 & 0.24 & 0.002 \\ 0.24 & 0.95 & 0.22 \\ 0.06 & 0.21 & 0.98 \end{pmatrix} \quad |g_L| \approx \begin{pmatrix} 0.03 & 0.98 & 0.20 \\ 0.06 & 0.20 & 0.98 \\ 1.00 & 0.02 & 0.07 \end{pmatrix}$$

C. The twisted persona of the leptons



$\nu g_E Y_e g_L^\dagger = m_e$, $c_2 = 5.3$: At the physical point, **twisted leptons!**

$$|X| \approx \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix} \Rightarrow \begin{pmatrix} e_L \\ \mu_L \\ \tau_L \end{pmatrix}^{gauge} \approx \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix} \cdot \begin{pmatrix} e_L \\ \mu_L \\ \tau_L \end{pmatrix}^{phys}$$

Only singularity at natural $c_{1,2}$ values

Top partner is the lightest

II. Geometric MFV

A. Pseudo fine tuning thanks to the geometric expansion

Polynomial expansions are fine-tuned: What about infinite series?

$$\mathbf{X} = \mathbf{1} + \eta \mathbf{Y}_u^\dagger \mathbf{Y}_u + \eta^2 (\mathbf{Y}_u^\dagger \mathbf{Y}_u)^2 + \eta^3 (\mathbf{Y}_u^\dagger \mathbf{Y}_u)^3 + \dots = \frac{1}{1 - \eta \mathbf{Y}_u^\dagger \mathbf{Y}_u}$$

If η is large enough: $\mathbf{X} = \left(\begin{array}{ccc} \frac{1}{1 - \eta y_u^2} \approx 1 & & \\ & \frac{1}{1 - \eta y_c^2} \approx 1 & \\ & & \frac{1}{1 - \eta y_t^2} \approx 0 \end{array} \right)$.

Actually, the large top mass ensures: $(\mathbf{Y}_u^\dagger \mathbf{Y}_u)^n \approx \langle \mathbf{Y}_u^\dagger \mathbf{Y}_u \rangle^{n-1} \mathbf{Y}_u^\dagger \mathbf{Y}_u$

$$\mathbf{X} = \sum_{n=0}^{\infty} \eta^n (\mathbf{Y}_u^\dagger \mathbf{Y}_u)^n = \mathbf{1} + \frac{\eta}{1 - \eta \langle \mathbf{Y}_u^\dagger \mathbf{Y}_u \rangle} \mathbf{Y}_u^\dagger \mathbf{Y}_u \xrightarrow{\eta \rightarrow \infty} \mathbf{1} - \frac{1}{\langle \mathbf{Y}_u^\dagger \mathbf{Y}_u \rangle} \mathbf{Y}_u^\dagger \mathbf{Y}_u$$

Precisely the fictitious fine-tuning we need!

B. Toy model with vector-like lepton doublets

Toy model to sum the MFV series outside its radius of convergence.

Add – Weak doublet of vector-like flavor triplet leptons $X_{L,R}$.

– Scalar singlet H_s with vev v_s .

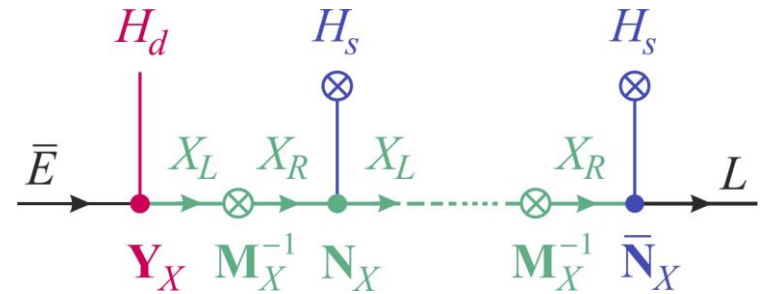
$$\begin{aligned} \mathcal{L} = \mathcal{L}_{SM} &+ i\bar{X}_{L,R} \mathcal{D} X_{L,R} + \bar{X}_{L,R} \mathbf{M}_X X_{R,L} \\ &+ (\bar{X}_L \mathbf{N}_X X_L H_s + \bar{X}_R \bar{\mathbf{N}}_X L H_s + E \mathbf{Y}_X X_L H^* + h.c.) \end{aligned}$$

Impose MFV under $G_F = U(3)^3 = U(3)_{Q=L=X_{L,R}} \times U(3)_U \times U(3)_{D=E}$

$$\begin{cases} \mathbf{M}_X = M_X \mathbf{1} \\ \mathbf{Y}_e = \mathbf{Y}_X = \gamma \mathbf{Y}_d \\ \mathbf{N}_X = \bar{\mathbf{N}}_X = \alpha \mathbf{Y}_u^\dagger \mathbf{Y}_u + \beta \mathbf{Y}_d^\dagger \mathbf{Y}_d \end{cases}$$

B. Toy model with vector-like lepton doublets

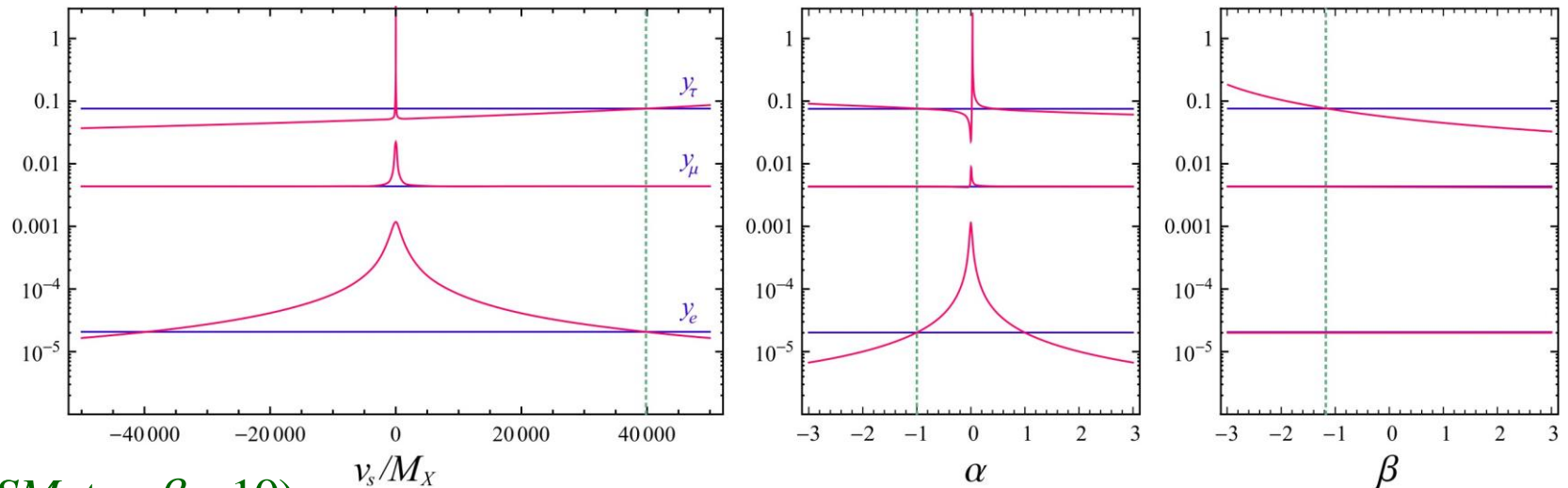
Integrating out the vector leptons:



Effective lepton Yukawa coupling:

$$\mathbf{Y}_e^{eff} = \mathbf{Y}_e - \mathbf{Y}_X \frac{1}{\mathbf{M}_X + \mathbf{N}_X H_s} \bar{\mathbf{N}}_X H_s = \gamma \mathbf{Y}_d \frac{1}{1 + (v_s / M_X)(\alpha \mathbf{Y}_u^\dagger \mathbf{Y}_u + \beta \mathbf{Y}_d^\dagger \mathbf{Y}_d)}$$

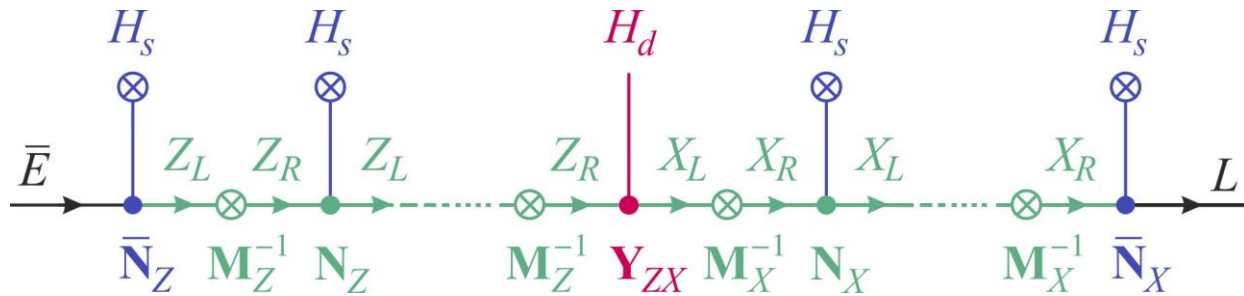
No more fine-tuning, and all parameters natural:



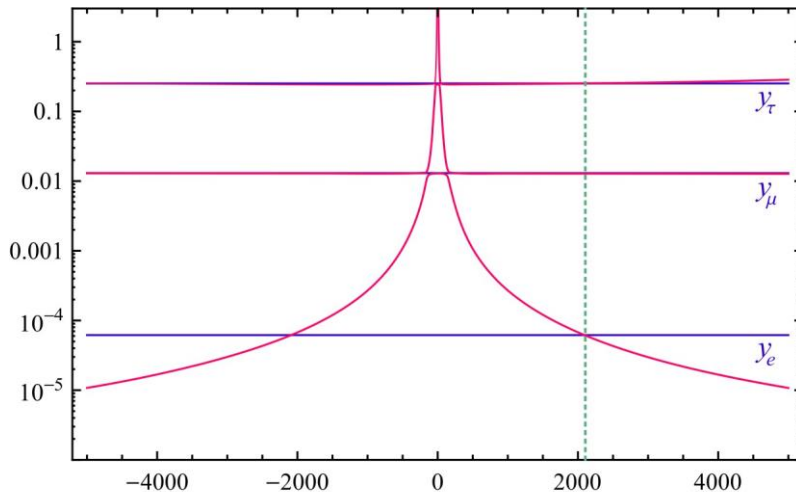
(MSSM, $\tan \beta = 10$)

C. Adding vector-like lepton singlets

Further adding weak singlet vector-like leptons $Z_{L,R}$:



$$Y_e^{eff} = \frac{1}{1 + (v_s / M_Z)(\epsilon Y_d Y_d^\dagger)} \gamma Y_d \frac{1}{1 + (v_s / M_X)(\alpha Y_u^\dagger Y_u + \beta Y_d^\dagger Y_d)}$$



(MSSM, $\tan \beta = 30$) v_s/M_X

Full twisting of the leptons:

$$|g_{E,L}| \approx \begin{pmatrix} 0 & \mathbf{1} & 0 \\ 0 & 0 & \mathbf{1} \\ \mathbf{1} & 0 & 0 \end{pmatrix} + \mathcal{O}(0.01)$$

III. Application to the MSSM

A. Geometric MFV for squark soft breaking terms

Assume a simplified structure:
$$\begin{cases} m_{Q,U,D}^2 = m_0^2 \mathbf{X}_{Q,U,D} \\ \mathbf{A}_{u,d} = A_0 \mathbf{X}_{U,D} \cdot \mathbf{Y}_{u,d} \cdot \mathbf{X}_Q \end{cases}$$

where $\mathbf{X}_{Q,U,D}$ are geometric:

$$\mathbf{X}_Q = \frac{1}{1 - \eta_q (\alpha^q \mathbf{Y}_u^\dagger \mathbf{Y}_u + \beta^q \mathbf{Y}_d^\dagger \mathbf{Y}_d + \dots)}, \quad \mathbf{X}_{U,D} = \frac{1}{1 - \eta_{u,d} (\alpha^{u,d} \mathbf{Y}_{u,d} \mathbf{Y}_{u,d}^\dagger + \dots)}$$

When $\eta_{q,u,d}$ are large, third-generation squarks tend to be much lighter:

For example, $\mathbf{X}_U \rightarrow 1 - \langle \mathbf{Y}_u^\dagger \mathbf{Y}_u \rangle^{-1} \mathbf{Y}_u \mathbf{Y}_u^\dagger \approx \text{diag}(1, 1, 0)$.

After RGE down, NSUSY-like squark spectrum:

Brummer, Kraml,
Kulkarni, CS, '14

- $\mathbf{A}_{u,d}$ geometric behavior washed out,
- stop quark(s) and possibly left sbottom remain much lighter,
- MFV remains at all scales \rightarrow No problem with FCNC!

B. Geometric MFV for slepton soft breaking terms

Assume a simplified structure:

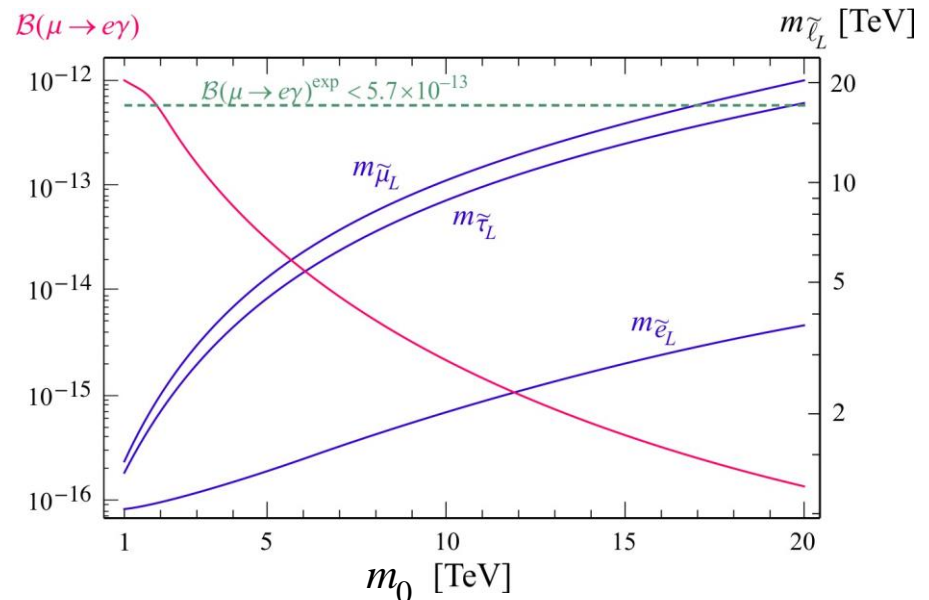
$$\begin{cases} \mathbf{Y}_e = \gamma \mathbf{X}_D \cdot \mathbf{Y}_d \cdot \mathbf{X}_Q \\ m_{L,E}^2 = m_0^2 \mathbf{X}_{Q,D} \\ \mathbf{A}_e = A_0 \mathbf{X}_D \cdot \mathbf{Y}_d \cdot \mathbf{X}_Q \end{cases}$$

1. Lepton-slepton are not aligned, but all mixing matrices computable.
2. Much lighter $\tilde{e}_{R,L}$, corresponding to the $\tilde{\tau}_{R,L}$ gauge state.

3. LFV induced entirely by the CKM mixing

→ lower bound on m_0 :

Caution: PMNS is still absent since $m_\nu = 0$!



C. What about R-parity violation?

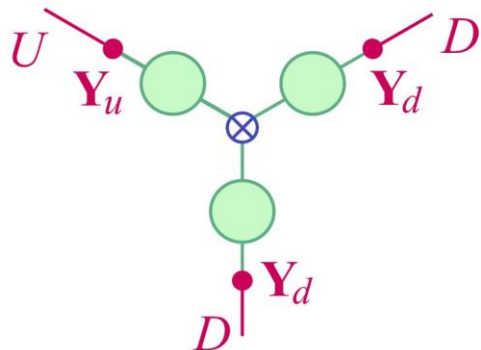
The flavor symmetry $U(3)_{Q=L} \times U(3)_U \times U(3)_{D=E}$ with only $Y_{u,d}$ as spurions:

Forbids \mathcal{L} violation but allows for \mathcal{B} violation: $\mathcal{W}_{RPV} \supset \lambda''^{IJK} U^I D^J D^K$

Holomorphy? $\lambda''^{IJK} = \lambda \varepsilon^{LMN} Y_u^{IL} Y_d^{JM} Y_d^{KN}$
 Csaki, Grossman, Heidenreich '11

With a geometric behavior, holomorphy in $Y_{u,d}$ is lost, but:

$$\lambda''^{IJK} = \lambda \varepsilon^{LMN} (X_U \cdot \gamma Y_u \cdot X_Q)^{IL} (X_D \cdot \gamma Y_d \cdot X_Q)^{JM} (X_D \cdot \gamma Y_d \cdot X_Q)^{KN}$$



Numerically: holomorphy comes back after the RG evolution starting from this MFV input.

Holomorphy is a very strong attractor!

IV. Application to minimal SU(5)

A. Flavor troubles in minimal SU(5)

The minimal flavor content is not compatible with observed masses:

$$\mathcal{L}_{Yukawa} = -\frac{1}{4} \bar{\chi}_{10}^C \mathbf{Y}_{10} \chi_{10} h_5 + \sqrt{2} \bar{\psi}_5^C \mathbf{Y}_5 \chi_{10} h_5^\dagger \longrightarrow \mathbf{Y}_e^T = \mathbf{Y}_d \Leftrightarrow \frac{m_d}{m_s} = \frac{m_e}{m_\mu}$$

This can be cured by adding a **third Yukawa coupling**:

$$\mathcal{L}_{Yukawa} = -\frac{1}{4} \bar{\chi}_{10}^C \mathbf{Y}_{10} \chi_{10} h_5 + \underbrace{\sqrt{2} \bar{\psi}_5^C \mathbf{Y}_5 \chi_{10} h_5^\dagger + \frac{\sqrt{2}}{\Lambda} \bar{\psi}_5^C \mathbf{Y}'_5 \chi_{10} H_{24} h_5^\dagger + \dots}_{\mathbf{Y}_5 - \frac{3v_{24}}{2\Lambda} \mathbf{Y}'_5 = \mathbf{Y}_e^T, \quad \mathbf{Y}_5 + \frac{v_{24}}{\Lambda} \mathbf{Y}'_5 = \mathbf{Y}_d}$$

But then, **MFV fails in SU(5)** because $U(3)_{Q=U=E} \times U(3)_{D=L}$ is too small:

$$m_{10}^2 = m_0^2 (c_0 \mathbf{1} + c_1 \mathbf{Y}_{10}^\dagger \mathbf{Y}_{10} + \underbrace{c_2 \mathbf{Y}_5^\dagger \mathbf{Y}_5 + c_3 \mathbf{Y}'_5^\dagger \mathbf{Y}'_5 + \dots})$$

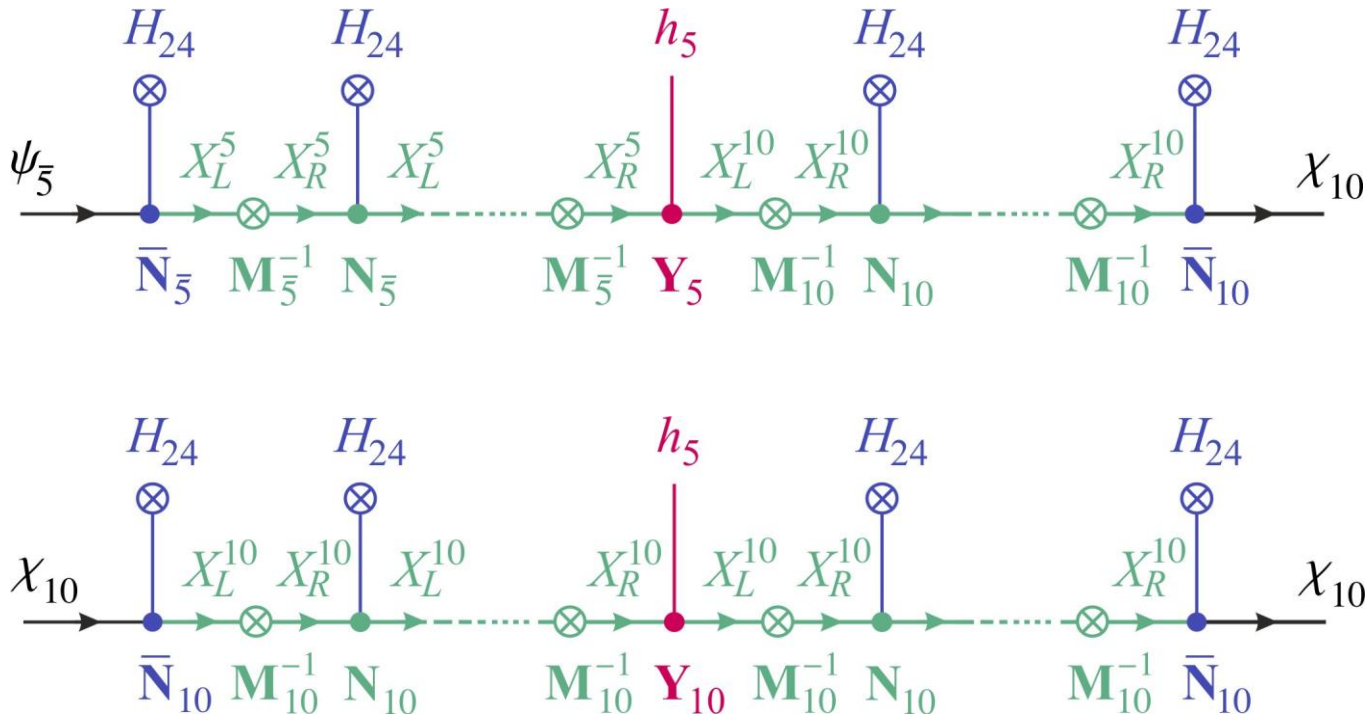
Not fixed in terms of fermion masses & CKM

Unknown and a priori **generic mixing matrices threaten FCNC**.

B. Towards dynamical flavor unification

We know: $Y'_5 = F(Y_{10}, Y_5)$ is possible if F is not a finite polynomial.

Let us try a vector-like model, with new $X_{L,R}^5, X_{L,R}^{10}$ fermions:



B. Towards dynamical flavor unification

We know: $\mathbf{Y}'_5 = F(\mathbf{Y}_{10}, \mathbf{Y}_5)$ is possible if F is not a finite polynomial.

Let us try a vector-like model, with new $X_{L,R}^5, X_{L,R}^{10}$ fermions:

$$\begin{cases} \mathbf{Y}_u = F_{10}^1 \cdot \mathbf{Y}_{10} \cdot F_{10}^{-1/4,T} \\ \mathbf{Y}_d = F_5^1 \cdot \mathbf{Y}_5 \cdot F_{10}^{-1/4,T} \\ \mathbf{Y}_e^T = F_5^{-3/2} \cdot \mathbf{Y}_5 \cdot F_{10}^{-3/2,T} \end{cases} \quad F_R^\alpha = \frac{1}{1 + \alpha \frac{v_{24}}{M_R} \mathbf{N}_R}$$

Simple system, but incredibly difficult to solve:

Unknowns: $\mathbf{Y}_{5,10}$, $M_{5,10}$, and the MFV parameters in $\mathbf{N}_{5,10}$.

Constraints: SVD of $\mathbf{Y}_{u,d,e}$, and CKM mismatch between $\mathbf{Y}_{u,d}$.

Requirement: Natural solution + absence of fine-tuning.

Solutions found only in the no-mixing limit (not very illuminating).

Conclusion

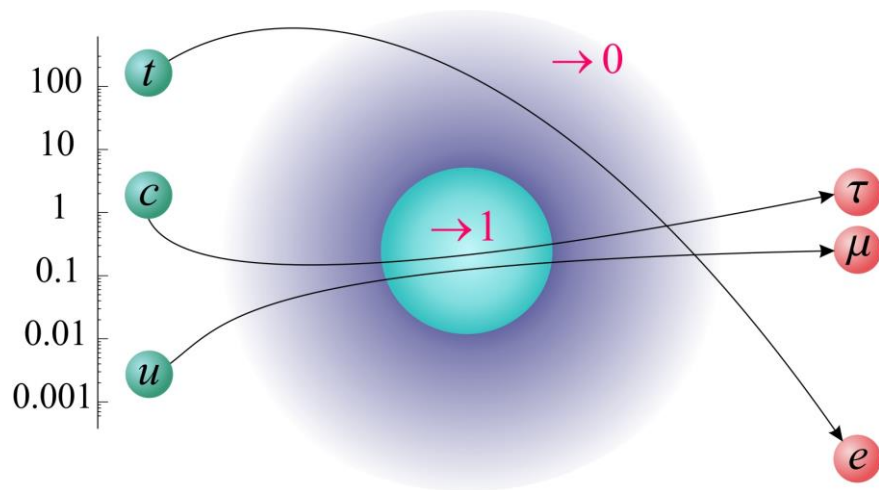
1. There could be only two fundamental flavor structures (+neutrinos)

The three $Y_{e,u,d}$ are redundant: they can be related!

Finite polynomial relationship necessarily fine-tuned.

Geometric MFV to achieve this automatically.

2. Third-generation partners of the top are the lightest



$$\begin{pmatrix} e_L \\ \mu_L \\ \tau_L \end{pmatrix}^{phys} \approx \begin{pmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix} \cdot \begin{pmatrix} e_L \\ \mu_L \\ \tau_L \end{pmatrix}^{gauge}$$

$$\text{Light stops: } M_{\tilde{Q}}^2 \approx m_0^2 \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

3. Perspectives: Real and complete dynamical implementation(s).

Consequences for models of neutrino masses.

Resolving the situation in minimal SU(5).