

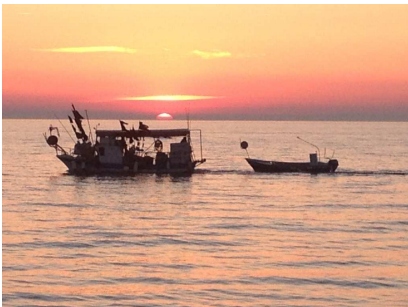


LPT-Orsay

Rôle of Sterile Fermions in Flavour Physics

- ▶ Why Sterile Fermions?
- ▶ Basic theoretical frameworks
- ▶ Lepton Flavour Universality, Charged Lepton Flavour Violation,
Direct and indirect searches at high-intensities and colliders

New physics at the junction of flavor and collider phenomenology



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Portoroz 18-21 April 2017



Sterile fermions

☞ **Observational problems (not accounted by the SM):**

BAU, DM, ν masses & mixings

☞ **Extending the SM with sterile fermions:** singlets under $SU(3)_c \times SU(2)_L \times U(1)_Y$

Interactions with SM fields: through mixings with **active neutrinos**

A priori, **no bound** on the **number** of sterile states, **no limit** on their **mass scale(s)**

Present in **several theoretical models** accounting for ν masses and mixings

► **Interest & phenomenological implications** - strongly dependent on their mass!

eV scale \leftrightarrow extra neutrinos suggested by **short baseline ν oscillation anomalies**

keV scale \leftrightarrow **warm dark matter** candidates; explain **pulsar velocities (kicks)**; **3.5 keV line..**

MeV - TeV scale \leftrightarrow **experimental testability!** (and BAU, DM, m_ν generation...)

Beyond 10^9 GeV \leftrightarrow **theoretical appeal:** standard seesaw, BAU, GUTs

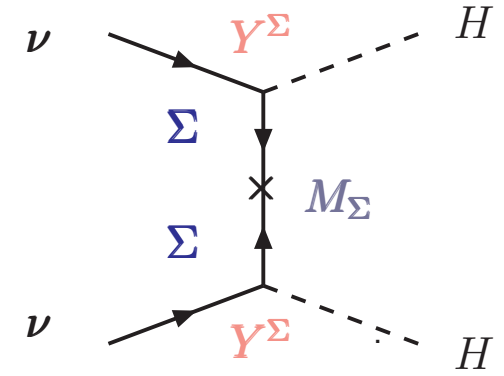
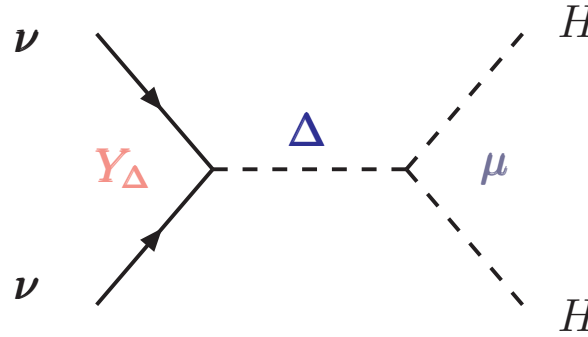
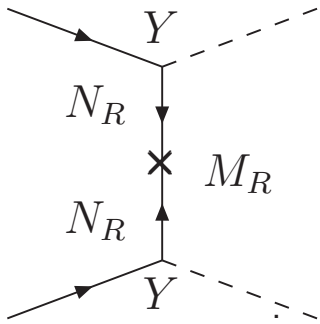
m_{ν_s}	Motivation	ν -oscillations	laboratory searches
$\lesssim \text{eV}$	ν -oscil. anomalies, dark radiation	masses by seesaw, explain anomalies	oscillation anomalies, β -decays
keV	DM	no if DM	direct searches? , nuclear decays?
MeV	testability	masses by seesaw	intensity frontier, $0\nu\beta\beta$
GeV	testability, minimality	masses by seesaw	intensity frontier, EW precision data, $0\nu\beta\beta$
TeV	minimality, testability	masses by seesaw	LHC
$\gtrsim 10^9 \text{GeV}$	grand unification, "naturalness"	masses by seesaw	–



m_{ν_s}	CMB	BBN	DM	Leptogenesis
$\lesssim \text{eV}$	explain $N_{\text{eff}} > 3$	may explain $N_{\text{eff}} > 3$	no	no
keV	act as DM, no effect on N_{eff}	effect on N_{eff} too small if DM	good candidate	no
MeV	unaffected	constrains $m_{\nu_s} \gtrsim 200 \text{ MeV}$	no	possible (finetuning)
GeV	unaffected	unaffected	no	possible
TeV	unaffected	unaffected	no	possible
$\gtrsim 10^9 \text{GeV}$	unaffected	unaffected	no	natural

[Adapted from
Drewes, '13]

☞ Extending the SM with sterile fermions: masses at tree-level → Seesaw mechanisms



type I (fermionic singlet)

$$m_\nu = -\frac{1}{2}v^2 Y_N^T \frac{1}{M_N} Y_N$$

type II (scalar triplet)

$$m_\nu = -2v^2 Y_\Delta \frac{\mu_\Delta}{M_\Delta^2}$$

type III (fermionic triplet)

$$m_\nu = -\frac{v^2}{2} Y_\Sigma^T \frac{1}{M_\Sigma} Y_\Sigma$$

Minkowski, Gell-Man,

Ramond, Slansky

Yanagida, Glashow

Mohapatra, Senjanovic



right-handed neutrinos

Magg, Wetterich,

Nussinov

Mohapatra, Senjanovic

Schechter, Valle

Ma, Sarkar

Ma, Hambye et al.

Bajc, Senjanovic, Lin

A.A., Biggio, Bonnet, Gavela,

Notari, Strumia, Papucci, Dorsner

Fileviez-Perez, Foot, Lew...

☞ Neutrino masses require the addition of new fields (or extremely tiny Y_ν)

► Effects at low energy: effective theory approach

☞ heavy fermion: $\frac{1}{\not{D}-M} \sim -\frac{1}{M} - \frac{1}{M} \not{D} \frac{1}{M} + \dots$

☞ heavy scalar: $\frac{1}{D^2-M^2} \sim -\frac{1}{M^2} - \frac{D^2}{M^4} + \dots$

→
$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{SM}} + \frac{1}{M} c^{d=5} \mathcal{O}^{d=5} + \frac{1}{M^2} c^{d=6} \mathcal{O}^{d=6} + \dots$$

$$\Delta\mathcal{L}^{d \geq 5} = \frac{c^{d=5}}{M} \times \begin{array}{c} H \\ \text{---} \\ \bullet \\ \text{---} \\ H \\ \nearrow \quad \searrow \\ \nu \quad \nu \end{array} + \frac{c_{\mu e e e}^{d=6}}{M^2} \times \begin{array}{c} e \\ \nearrow \\ \bullet \\ \searrow \\ e \\ \leftarrow \quad \leftarrow \\ \mu \quad e \end{array} + \frac{c_{l_i l_j \gamma}^{d=6}}{M^2} \dots$$

👉 **Extending the SM with sterile fermions:** (testable!) theoretical frameworks

- ▶ Incorporating ν_R - low scale seesaws: type I seesaw [TeV] \rightarrow small Y_ν

$$\mathcal{M}_\nu = \begin{pmatrix} 0 & v Y_\nu^T \\ v Y_\nu & M_R \end{pmatrix}$$

$$m_\nu \approx -v^2 Y_\nu^T \frac{1}{M_R} Y_\nu$$

type I seesaw variants \rightarrow "large" Y_ν

ν MSM [GeV] \rightarrow tiny Y_ν

- ▶ Incorporating ν_R and additional steriles ν_S : Inverse seesaw (ISS) \rightarrow sizeable Y_ν

$$\mathcal{M}_{\text{ISS}} = \begin{pmatrix} 0 & Y_\nu^T v & 0 \\ Y_\nu v & 0 & M_R \\ 0 & M_R^T & \mu X \end{pmatrix}$$

$$m_\nu \approx \frac{(Y_\nu v)^2}{M_R} \mu X$$

Linear seesaw (LSS) \rightarrow sizeable Y_ν

[in the basis $(\nu_L, \nu_R^c, \nu_S)^T$]

$$\mathcal{M}_{\text{LSS}} = \begin{pmatrix} 0 & Y_\nu^T v & M_L^T \\ Y_\nu v & 0 & M_R \\ M_L & M_R^T & 0 \end{pmatrix}$$

$$m_\nu \approx (v Y_\nu) (M_L M_R^{-1})^T + (M_L M_R^{-1}) (v Y_\nu)^T$$

[see, e.g., Mohapatra et al, 1986, Gonzalez-Garcia et al, 1988, Deppisch et al, '04, Asaka et al, '05, Gavela et al, '09, Ibarra, Petcov et al, '10, Abada, Lucente, '14, ...]

👉 **Extending the SM with sterile fermions:** phenomenological consequences

▶ **Modified charged (W^\pm) and neutral (Z^0) current interactions:**

$$\mathcal{L}_{W^\pm} \sim -\frac{g_w}{\sqrt{2}} W_\mu^- \sum_{\alpha=e,\mu,\tau} \sum_{i=1}^{3+N_S} \mathbf{U}_{\alpha i} \bar{\ell}_\alpha \gamma^\mu P_L \nu_i$$

$$\mathcal{L}_{Z^0} \sim -\frac{g_w}{2 \cos \theta_w} Z_\mu \sum_{i,j=1}^{3+N_S} \bar{\nu}_i \gamma^\mu \left[P_L (\mathbf{U}^\dagger \mathbf{U})_{ij} - P_R (\mathbf{U}^\dagger \mathbf{U})_{ij}^* \right] \nu_j$$

$\mathbf{U}_{\alpha i}$ \rightarrow modified lepton mixing - now encodes also active-sterile mixings

(for $N_s = 0$, $\mathbf{U}_{\alpha i} = U_{\text{PMNS}}$)

▶ If sufficiently light, sterile ν_s can be **produced as final states**

👉 **Huge impact for numerous observables:** high-intensity and colliders (as well as DM, ..)

[see talks of J. Lopez-Pavon, F. Deppisch] But also abundant constraints!!

👉 **Illustrate these phenomenological consequences via simple ad-hoc extensions:**

SM + N_s sterile fermions

👉 Extending the SM with sterile fermions: (testable!) simple “ad-hoc models”

First phenomenological studies can be carried for SM + $\#\nu_s \rightarrow “3 + N_s”$

No hypothesis on mechanism of neutrino mass generation (seesaw, ...)

Physical parameters: masses [3 light (mostly active) + N_s heavier (mostly sterile) states]

mixing matrix (angles and CPV phases)

$$U_{(3+N_s) \times (3+N_s)} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} & \dots \\ U_{\mu1} & U_{\mu2} & U_{\mu3} & U_{\mu4} & \dots \\ U_{\tau1} & U_{\tau2} & U_{\tau3} & U_{\tau4} & \dots \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} & \dots \\ \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}$$

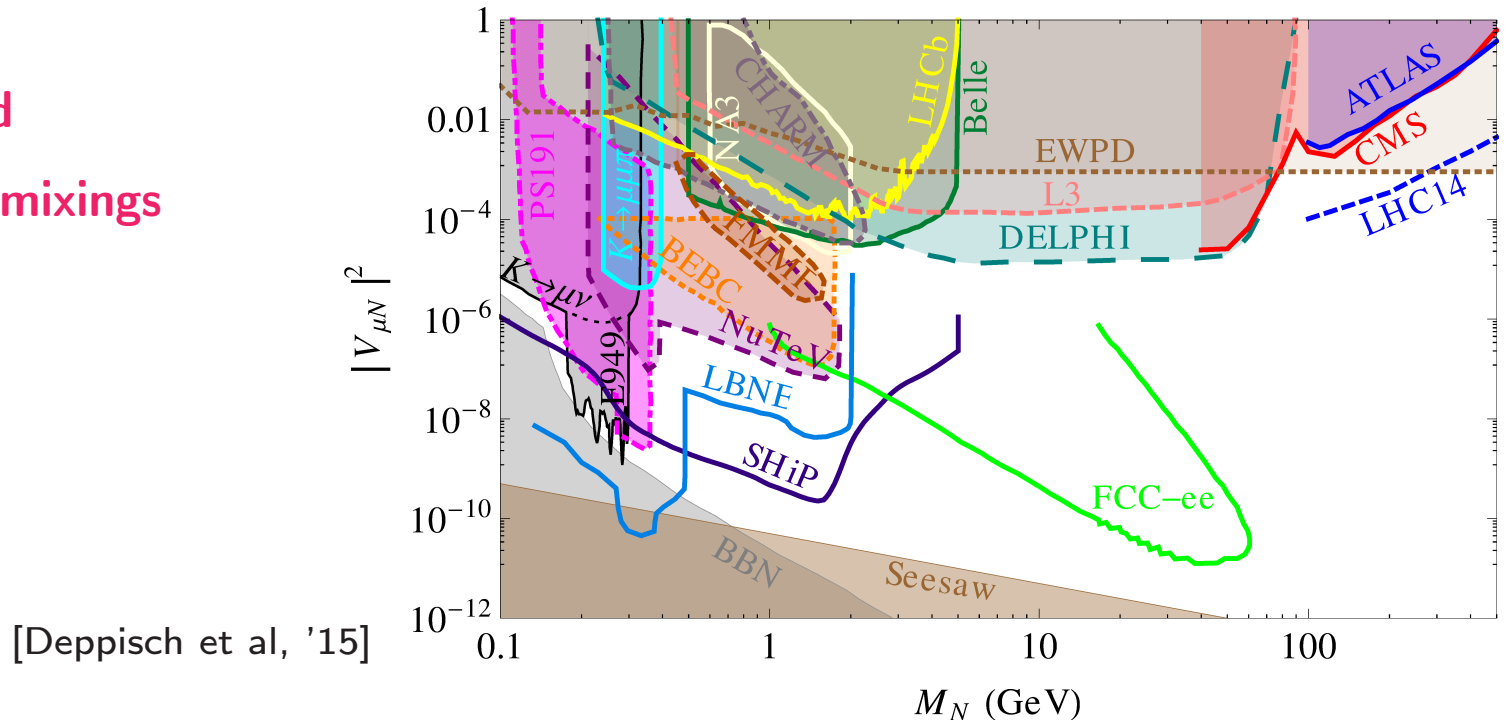
Left-handed lepton mixing $U_{\alpha 1-3}$:

\tilde{U}_{PMNS} (non-unitary)

Active-sterile mixing: $U_{\alpha i}$

$$\mathbf{U} = U|_{3 \times (3+N_s)}$$

👉 Heavily constrained sterile masses and mixings



👉 Constraints on sterile fermions

👉 **Neutrino oscillation parameters:** \tilde{U}_{PMNS} comply with observed mixings

👉 **Electroweak precision tests:** invisible Z width; leptonic Z width; Weinberg angle...
[Del Aguila et al, '08; Atre et al, '09; ...
Antusch et al, '09-'14; Fernandez-Martinez et al, '16; ...]

👉 **Searches at the LHC:** invisible Higgs decays $H \rightarrow \nu_L \nu_R$; direct searches, ...
[Dev et al, '12-'15; Bandyopadhyay et al, '12; Cely et al, '14;
Arganda et al, '14-'15; Deppisch et al, '15; ...]

👉 **Peak searches in meson decays:** monochromatic lines in ℓ^\pm spectrum from $X_M^\pm \rightarrow \ell^\pm \nu_s$
[Shrock, '80-'81; Atre et al, '09; Kusenko et al, '09; Lello et al, '13]

👉 **Beam dump experiments:** ν_s decay products (light mesons, ℓ^\pm) from X_M^\pm decays
[PS191, CHARM, NuTeV, ...]

👉 Constraints on sterile fermions (contd.)

👉 **Neutrinoless double beta decays - $|m_{ee}|$:** [EXO-200, KamLAND-Zen, GERDA,...]
[Blenow et al, '10; Lopez-Pavon et al, '13;
AA et al, '14, ..., Giunti et al]

👉 **Rare meson decays: Lepton Number Violating (LNV)** e.g. $K^+ \rightarrow \ell^+ \ell^+ \pi^-$

Lepton Universality Violating (LUV) e.g. $R_{X_M}, R(D), R_\tau$

[CLEO, Belle, BaBar, NA62, LHCb, BES III, ...]

[Shrock, '81; Atre et al, '09; AA et al, '13-'15, ...]

👉 **Lepton Flavour Violation: 3 body decays among most stringent...**

[Gronau et al, '85; Ilakovac & Pilaftsis, '95 - '14;

Deppisch et al, '05; Dinh et al, '12; Alonso et al, '12; ...]

👉 **Cosmology:** large scale structures, Lyman- α , BBN, CMB, X-ray, SN1987a, ...

[Smirnov et al, '06; Kusenko, '09; Gelmini, '10;

Donini et al, '14; Hernández et al, '15-'16; ...]

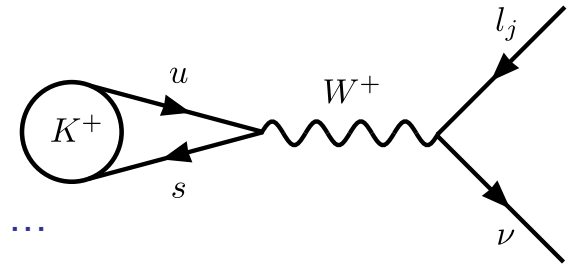
☞ Sterile fermions: contributions to observables

➔ Cosmology and astroparticle

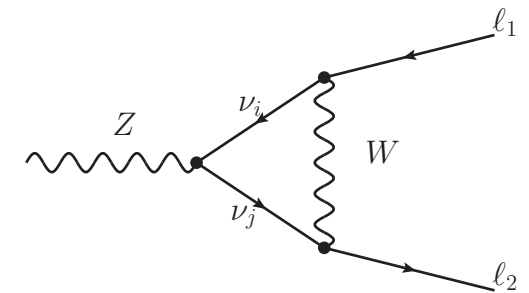
- ⇒ BAU from leptogenesis (oscillations) [See talk by J. Lopez-Pavon]
- ⇒ (Warm) dark matter candidates [White paper: Drewes et al, '16; Merle; AA, Lucente, Arcadi, '14, ...]
- ⇒ Astrophysical puzzles: pulsar kicks, ... [e.g. Kusenko, '04 & '09]

➔ Particle physics

Lepton properties: {
Electric and magnetic moments
Neutrinoless double beta decay (LNV)
Violation of flavour universality (e.g. Δr_K), ...



Rare decays: {
Lepton number violation [See talk by F. Deppisch]
Violation of lepton flavour
cLFV Z decays
cLFV and invisible H decays
Collider signatures, ...



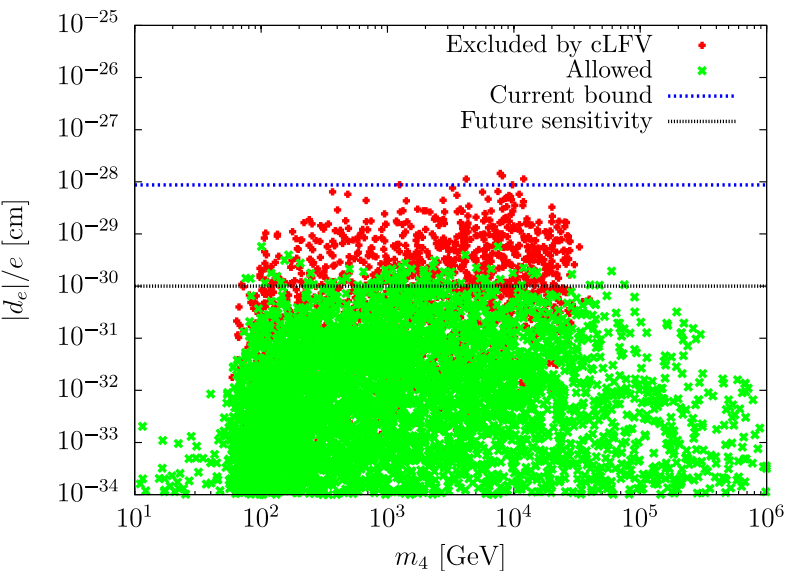
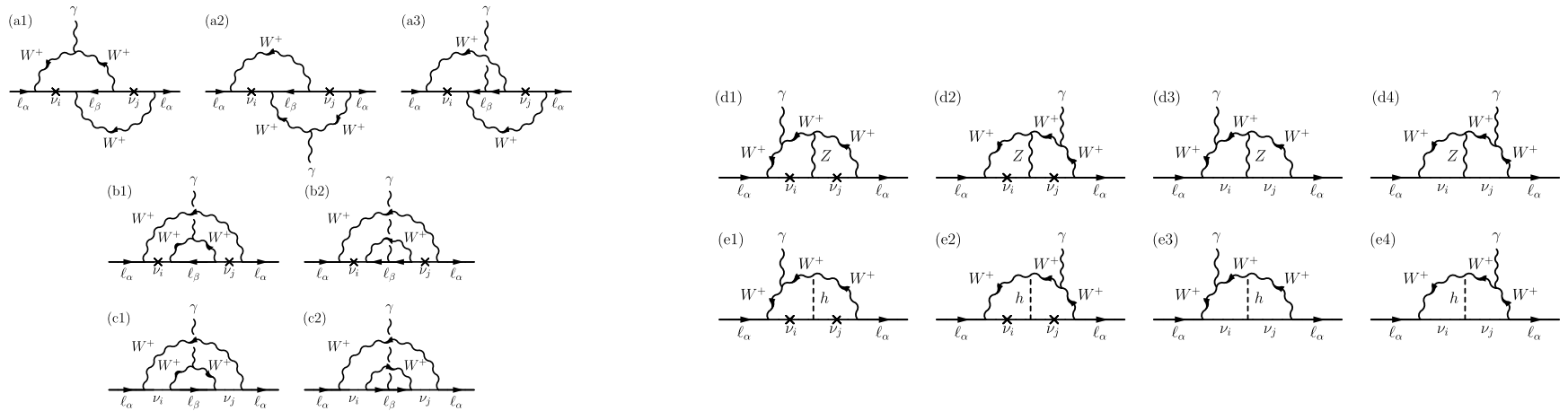
☞ Sterile fermions & CPV: contributions to EDMs

► Majorana (and Dirac) phases \Rightarrow lepton EDMs:

$$d_e = -\frac{g_2^4 e m_e}{4(4\pi)^2 m_W^2} \sum_{\beta} \sum_{i,j} \left[J_{ije\beta}^M I_M(x_i, x_j) + J_{ije\beta}^D I_D(x_i, x_j) \right],$$

$$J_{ij\alpha\beta}^M \equiv \text{Im} (U_{\alpha j} U_{\beta j} U_{\beta i}^* U_{\alpha i}^*), \quad J_{ij\alpha\beta}^D \equiv \text{Im} (U_{\alpha j} U_{\beta j}^* U_{\beta i} U_{\alpha i}^*)$$

► Many new (2-loop) contributions!



► Non-vanishing contributions: at least two sterile ν

► $|d_e|/e \geq 10^{-30}$ cm for $m_{\nu_{4,5}} \sim [100 \text{ GeV}, 100 \text{ TeV}]$

Within ACME reach

[AA and Toma, '15, '16]

☞ Sterile fermions: lepton number violation

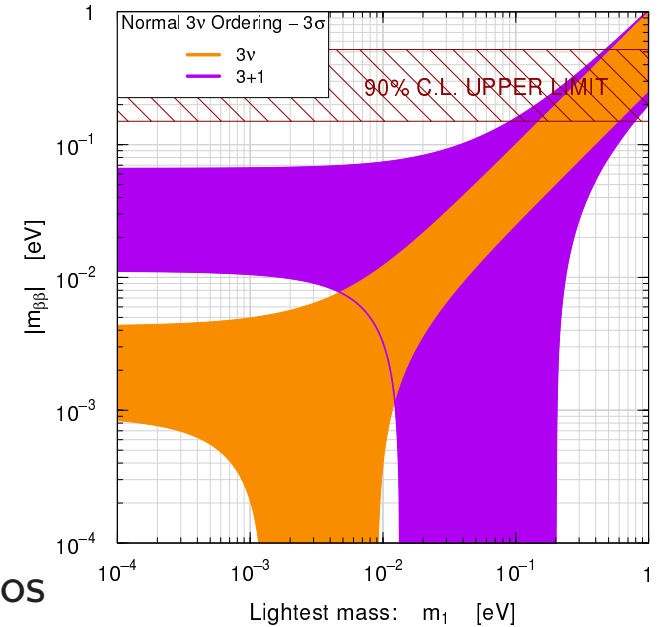
▶ Lepton number violation: $0\nu 2\beta$ decays

- ▶ ν_s can strongly impact predictions for $|m_{ee}|$
- ⇒ augmented ranges for effective mass (*IH and NH*)

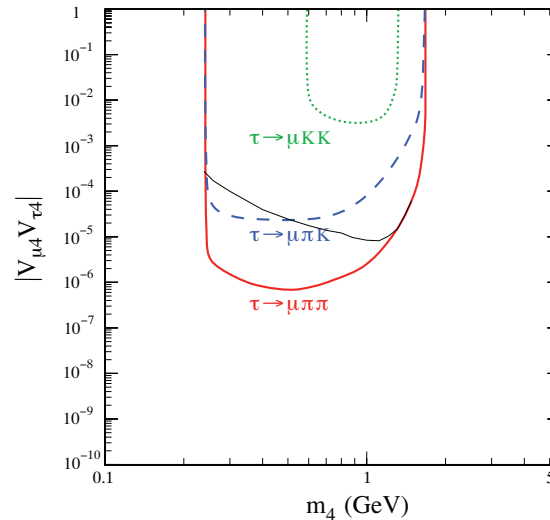
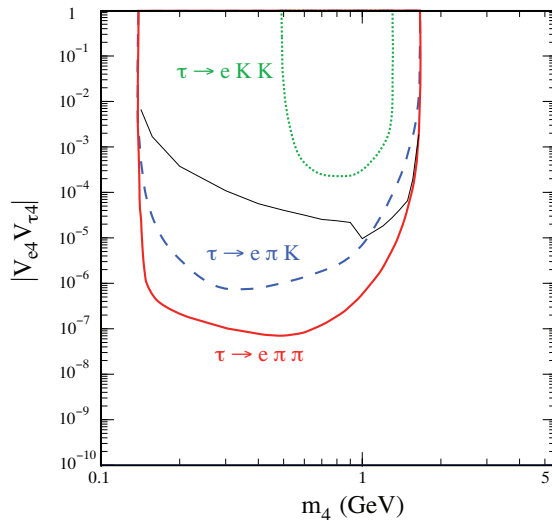
▶ Observation of $0\nu 2\beta$ signal in future experiments

does not imply **Inverted Ordering** for light neutrinos

[AA, De Romeri and Teixeira, '14; Lopez-Pavon et al. '13, Girardi, Meroni, Petcov, '13,...; Giunti et al, '15 ↗]



▶ Lepton number violation in meson decays



$$\begin{aligned} \tau^- &\rightarrow l^+ \pi^- \pi^- (K^- K^-) \\ \tau^- &\rightarrow l^+ \pi^- K^- \\ D_{(s)}^- &\rightarrow l^- l'^- \pi^+ (K^+) \\ B^- &\rightarrow l^- l'^- \pi^+ (K^+, D^+) \end{aligned}$$

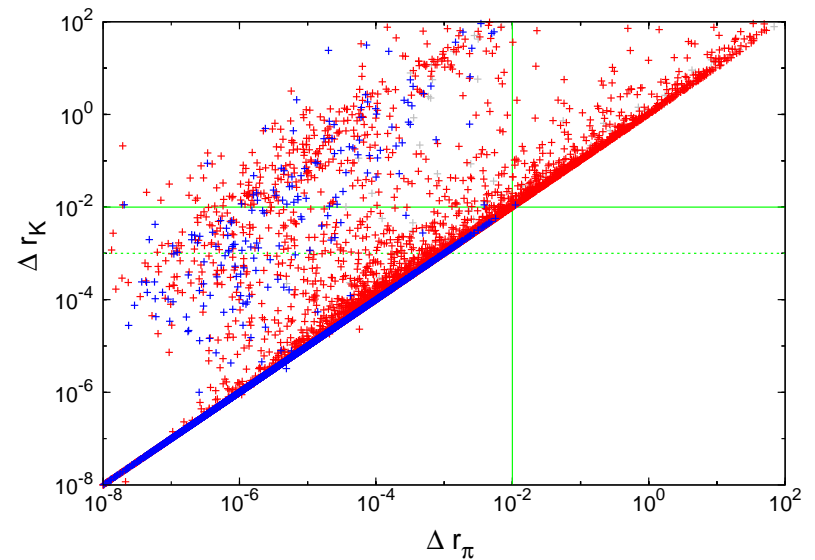
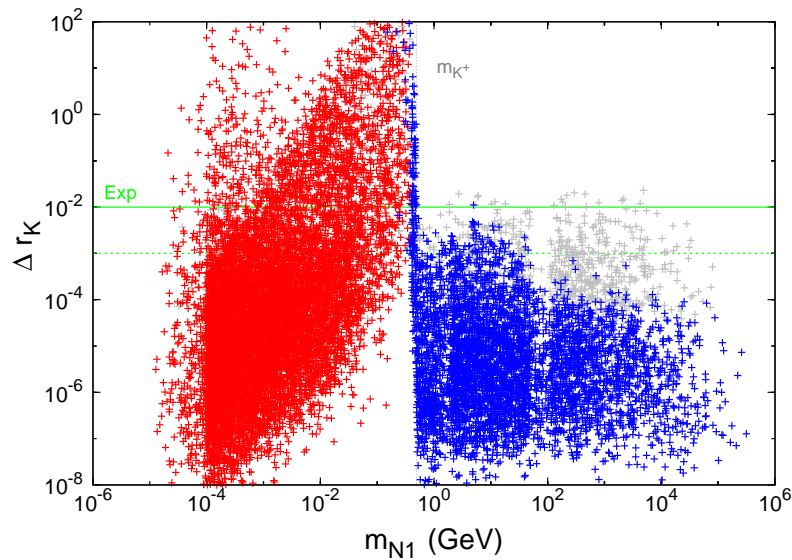
[Belle, BaBar, LHCb - NA62, ...]

[Atre et al, '09]

☞ Sterile fermions: violation of lepton flavour universality

Lepton Universality Violation in K and π decays: tree level effect

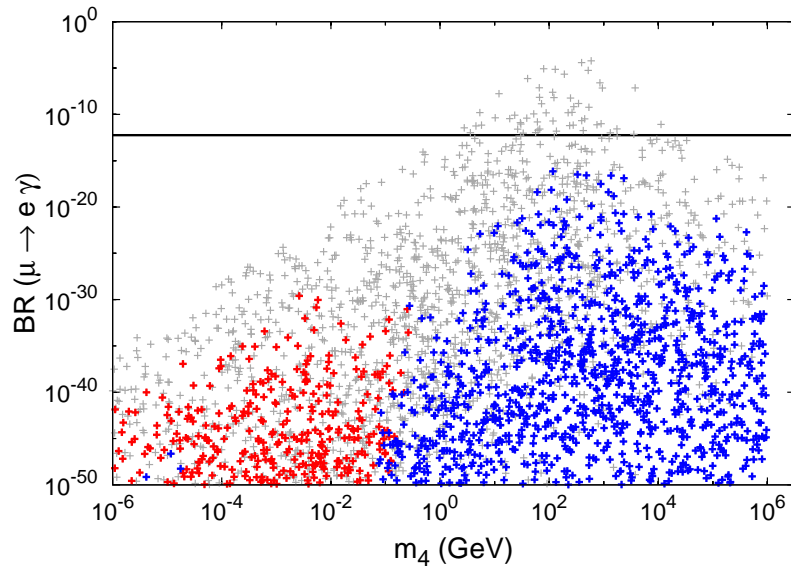
$$R_K = \frac{\Gamma(K \rightarrow e\nu)}{\Gamma(K \rightarrow \mu\nu)} \quad \text{comparison with SM th predictions} \quad \Delta r_K = \frac{R_K^{\text{exp}}}{R_K^{\text{SM}}} - 1$$



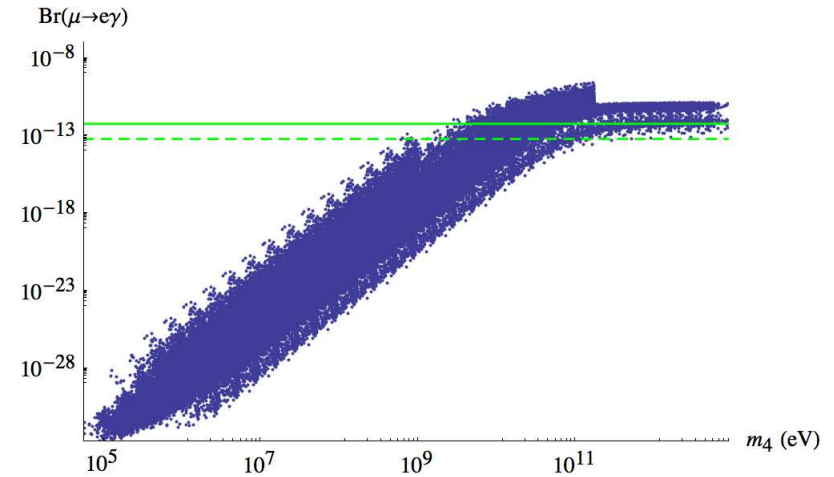
[“ISS (3,3)”]: AA, Teixeira, Vicente and Weiland, '11-'13]

- ▶ Sterile neutrino contributions: $\Delta r_{K,\pi} \gtrsim \mathcal{O}(10^{-2})$
- ▶ $\Delta r_{K,\pi} \sim \mathcal{O}(1) \Rightarrow$ one of the strongest constraints in SM + ν_s models!

☞ Sterile fermions: cLFV in radiative decays $l_i \rightarrow l_j \gamma$ and 3-body decays $l_i \rightarrow 3l_j$



“3+1” toy model, [AA, De Romeri and Teixeira, '15]



“(2,2) ISS realisation” [AA and Lucente, '14]

► Consider $\mu \rightarrow e\gamma$:

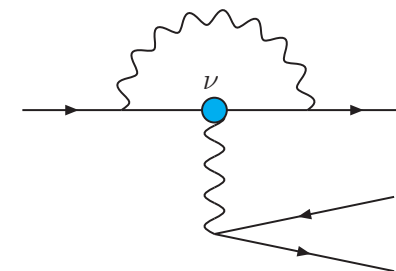
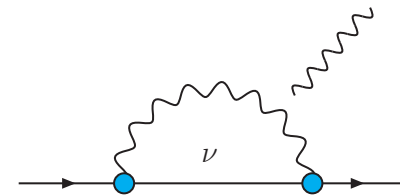
for $m_s \gtrsim 10 - 100$ GeV sizeable ν_s contributions

... but precluded by invisible Z width

And by other cLFV observables!

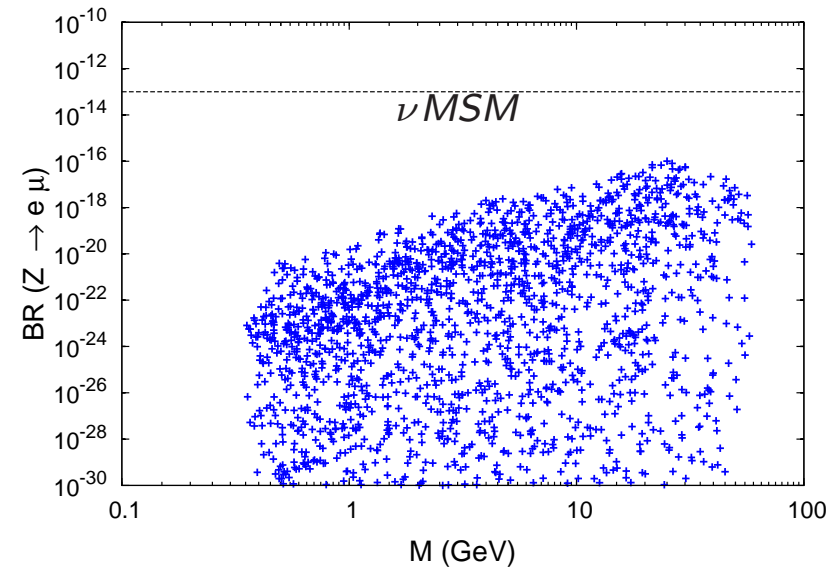
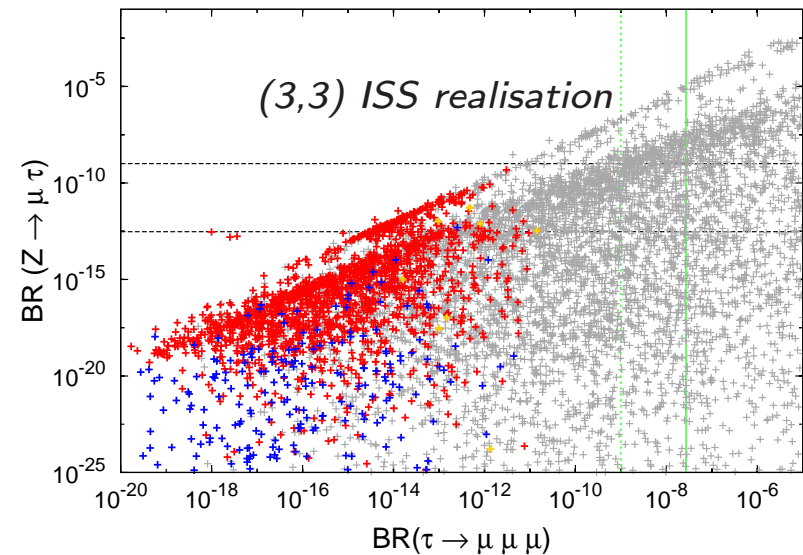
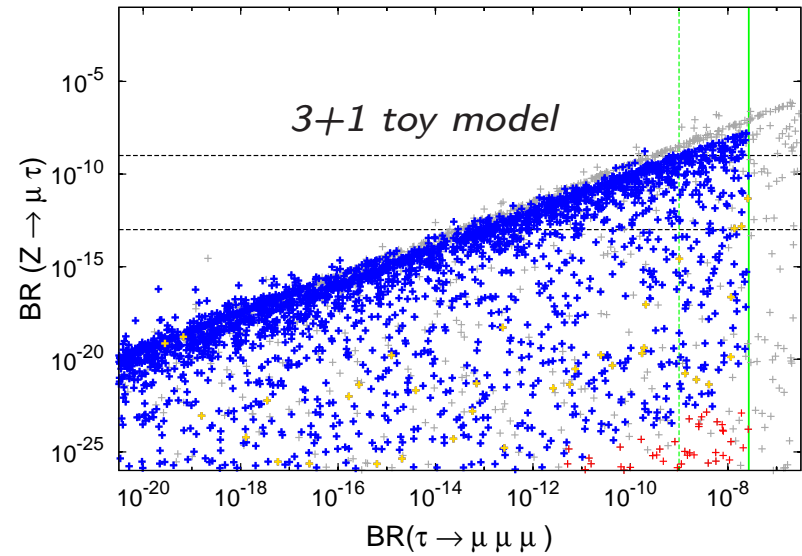
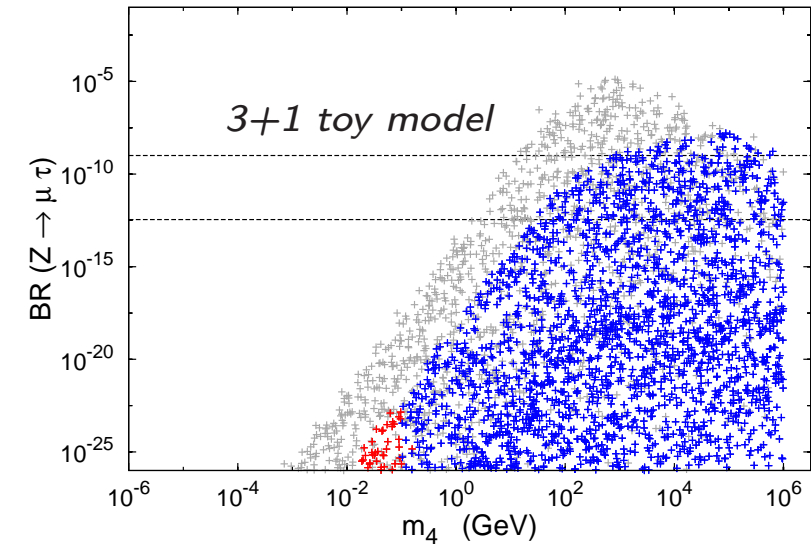
► Particularly constraining: $\text{BR}(\mu \rightarrow 3e)$, $\text{CR}(\mu - e, N)$

Dominated by Z penguin contributions for $m_s \gtrsim M_Z$



☞ Sterile fermions: cLFV at high- and low-energies

[AA, De Romeri, Monteil, Orloff, Teixeira, '15]



► **Complementarity probes** of ν_s cLFV at **low- and high energies!** (and in LNV...)

► $Z \rightarrow \mu \tau$ at FCC-ee: allows to **probe $\mu - \tau$ cLFV** beyond **SuperBelle reach**

[see also AA, Becirevic, Lucente, Sumensari '15, and De Romeri et al, '16]

☞ Sterile fermions: cLFV in muonic atoms

- ▶ **Muonic atoms:** 1s bound state formed when μ^- stopped in target

Interesting laboratory to study cLFV! $\mu - e$ conversion

- ▶ **Muonic atom decay:** $\mu^- e^- \rightarrow e^- e^-$

[Koike et al, '10]

Initial μ^- and e^- : 1s state bound in Coulomb field of the **muonic atom's nucleus**

- ▶ **Experimental status:** New observable!

Hopefully included in Physics programmes of **COMET & Mu2e** (?)

- ▶ **Coulomb interaction** increases overlap between

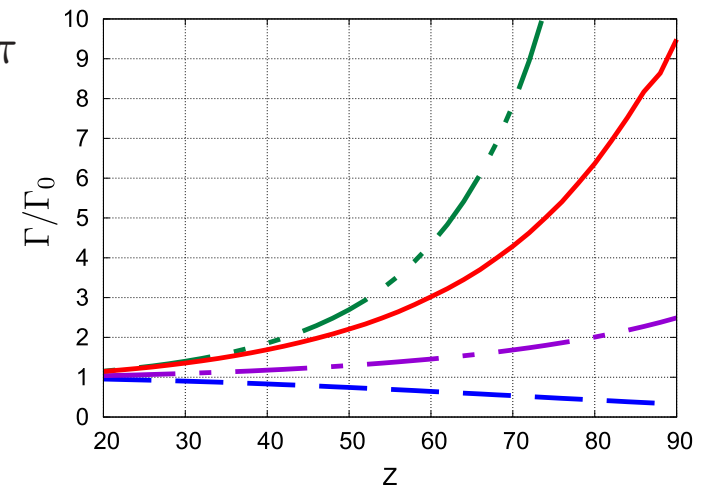
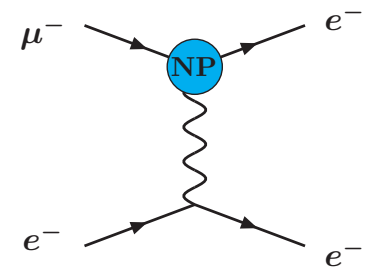
Ψ_{μ^-} and Ψ_{e^-} wave functions

$$\Gamma(\mu^- e^- \rightarrow e^- e^-, N) \propto \sigma_{\mu e \rightarrow ee} v_{\text{rel}} [(Z-1) \alpha m_e]^3 / \pi$$

- ▶ **Rate strongly enhanced** in **large Z atoms**

$$\Gamma/\Gamma_0 \gtrsim 10 \times (Z-1)^3 \quad [\text{Uesaka et al, '15-'16}]$$

Consider experimental setups for **Pb, U** !?

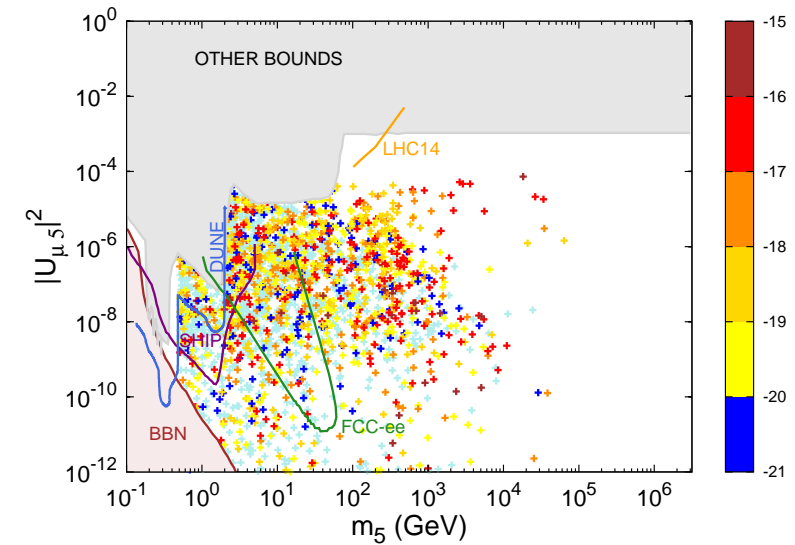
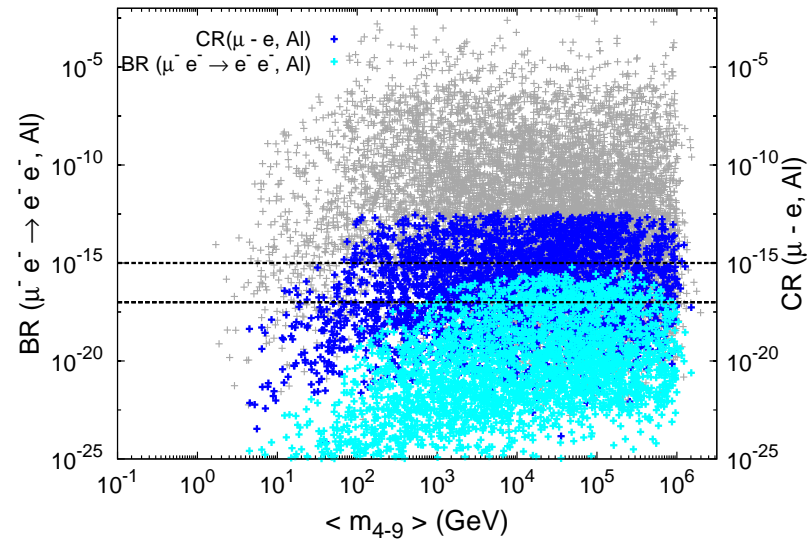
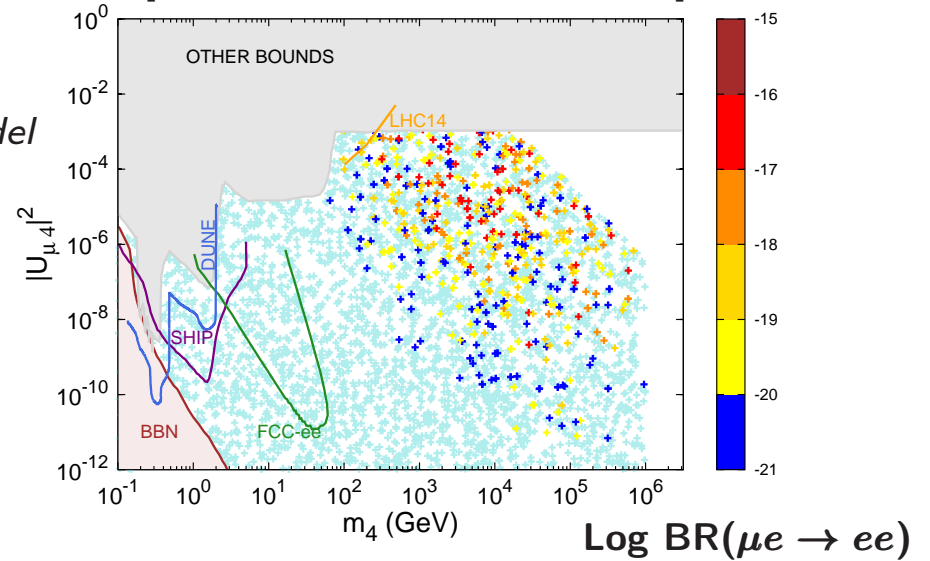
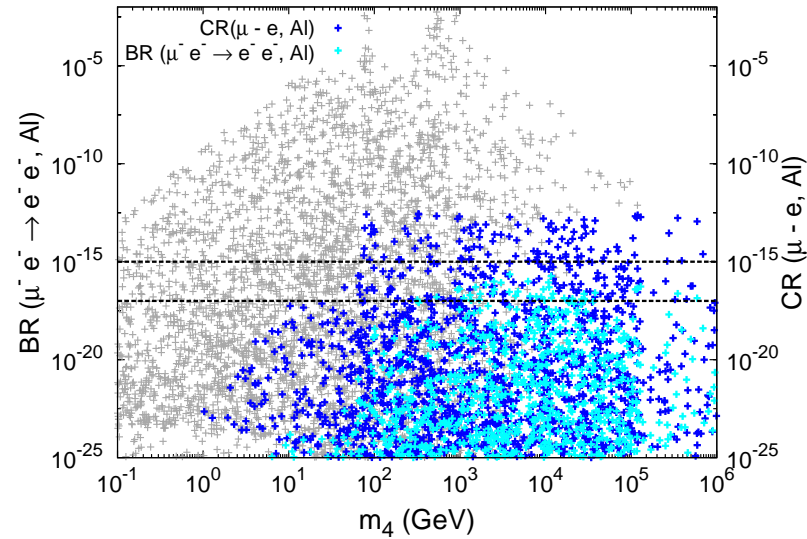


Sterile fermions: cLFV muonic atom decays

[AA, De Romeri, Teixeira, '15]

3+1 toy model

(3,3) ISS



- Sizeable values for $BR(\mu^- e^- \rightarrow e^- e^-)$ - potentially within experimental reach!
- For Aluminium, $CR(\mu - e)$ appears to have stronger experimental potential .. consider "heavy" targets to probe $BR(\mu^- e^- \rightarrow e^- e^-)$

☞ Sterile fermions: searches at the LHC and beyond

- ▶ Searches for ν_s by ATLAS and CMS

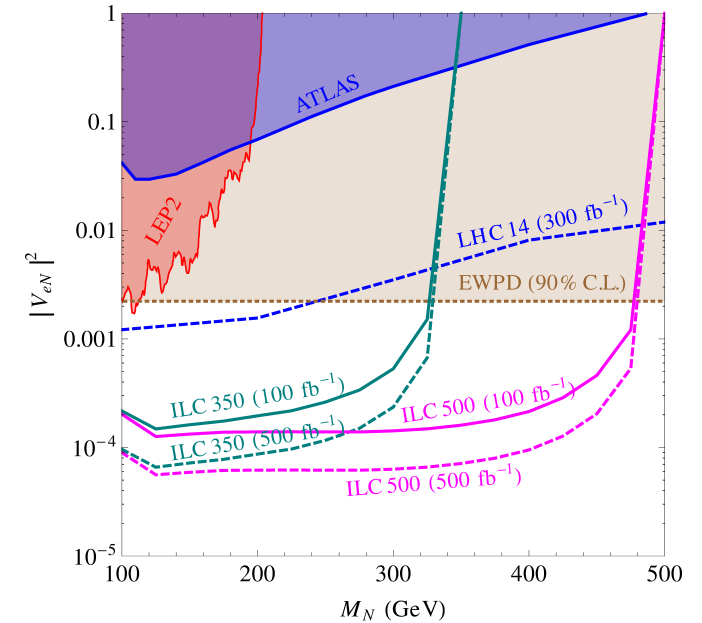
“smoking-gun” (LNV) channel:

$$pp \rightarrow W^* \rightarrow N \ell^\pm \rightarrow \ell^\pm + \ell^\pm + 2 \text{jets}$$

- ▶ Promising prospects for FCC-ee, ILC, CEPC...

[Banerjee et al, 1503.05491]

- ▶ Further searches carried for **LFV** final states and/or other exotic channels

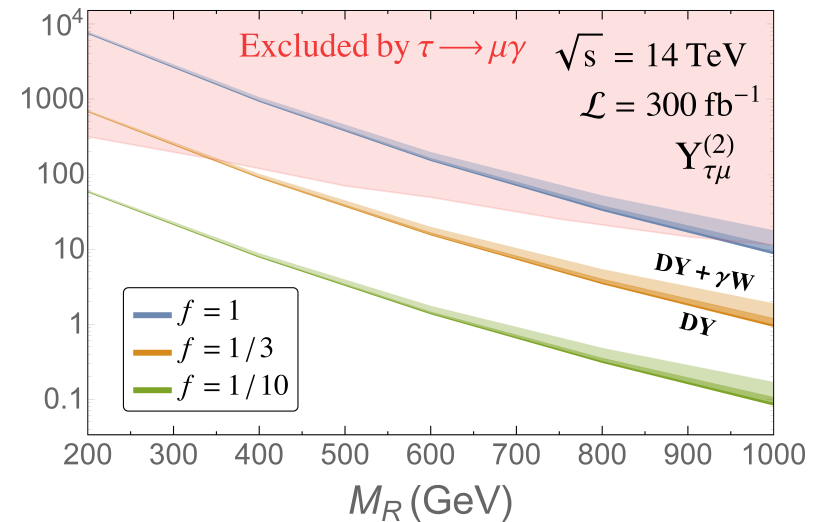


▶ cLFV exotic events at the LHC

- ▶ Searches for heavy N at the LHC

$$qq' \rightarrow \tau \mu + 2 \text{jets} \quad (\text{no missing } E^T!)$$

- ▶ After cuts, **significant number of events!**



▶ Resonant mono-Higgs production at FCC-ee

$N \rightarrow H \nu \rightsquigarrow$ sizeable deviations from SM mono-Higgs

- ▶ Sensitive probe of ν_s at high-energies!

[Antusch et al, '15]

[Arganda et al, 1508.05074]

👉 Conclusions

- ▶ SM + Sterile fermions constitute the “most minimal BSM” ...
...**embedded** in several well motivated frameworks
- ▶ Sterile neutrinos contribute to a vast array of observables: CPV, LNV, LFUV, cLFV, ...
... at high and low energy
- ▶ Sterile neutrinos: key rôle in cosmology as well
- ▶ Sterile neutrinos **testable at the three frontiers**: high intensity, high energy and cosmology
and in LNV neutrinoless double beta decays