

# Generalizing the DGLAP Evolution of Fragmentation Functions to the Smallest $x$ -values

Simon Albino<sup>a</sup>

simon@mail.desy.de

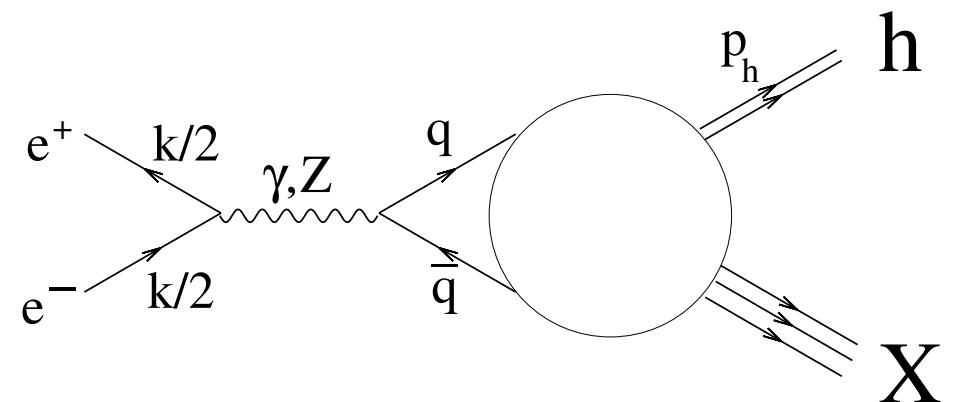
2nd Institute for Theoretical Physics,  
University of Hamburg (DESY)

---

<sup>a</sup> in collaboration with B. A. Kniehl, G. Kramer, W. Ochs (hep-ph/0503170)

# Factorization in $e^+e^- \rightarrow h + X$

$$\frac{d\sigma^h}{dx_p} (x_p = 2p_h/\sqrt{s}, s = k^2) = \\ (0 \leq x_p \leq 1)$$



$$\frac{d\sigma^h}{dx_p} (x_p, s) = \sum_i \int_{x_p}^1 \frac{dy}{y} \frac{d\sigma^i}{d(x_p/y)} \left( \frac{x_p}{y}, s, Q^2 \right) D_i^h(y, Q^2)$$

$$\text{XS}(h) = \text{XS(parton } i) \times \mathcal{P}(i \rightarrow h, \text{ mom. frac. } y)$$

$> Q$

$< Q$

$Q$  is arbitrary *factorization scale*

# Fixed order perturbation theory

- Expand partonic XS  $\frac{d\sigma^i}{d(x_p/y)} \left( \frac{x_p}{y}, s, Q^2 \right)$  as series in  $a_s \left( = \frac{\alpha_s}{2\pi} \right)$
- $\rightarrow$  potentially large  $a_s^n \ln^m \frac{Q^2}{s}$  terms
- Solution: choose  $Q = O(\sqrt{s})$
- $\rightarrow Q$  dependence of FFs  $D_i^h(y, Q^2)$  needed
- Solution at **large**  $x_p$ : DGLAP  $\equiv$  pert.  $Q$ -evolution

$$\frac{d}{d \ln Q^2} D(x, Q^2) = \int_x^1 \frac{dy}{y} P(y, a_s(Q^2)) D \left( \frac{x}{y}, Q^2 \right)$$

$$(D = (D_g, D_d, D_{\bar{d}}, D_u, \dots))$$

- $\rightarrow$  **small**  $x_p$ : Expansion of  $P$  in  $a_s$  fails

# Applications at large $x_p$

Fitting procedure (KKP, Kretzer, AKK)

- Theoretical constraints

$$D_i(x, Q_0^2) = N_i x^{\alpha_i} (1 - x)^{\beta_i}, \text{ fit } \{N_i, \alpha_i, \beta_i\}, \alpha_s(M_Z)$$

- Experimental constraints

$e^+ e^- \rightarrow (\pi^\pm, K^\pm, p/\bar{p}) + X$  — ALEPH, DELPHI, OPAL, SLD, TPC

with  $x_p > 0.1$  (“large”  $x_p$ )

## Results

- $\alpha_s(M_Z)$  competitive
- Describe high  $p_T$   $pp(\bar{p}) \rightarrow (\pi^\pm, K^\pm, p/\bar{p}) + X$  — PHENIX, UA1, STAR

FF and PDF fitting procedures similar

# Small $x_p$ vs. small $x_B$

Type	Timelike (FFs)	Spacelike (PDFs)
$\begin{pmatrix} P_{qq} & P_{qg} \\ P_{gq} & P_{gg} \end{pmatrix}_{\text{NLO term}}$	$\begin{pmatrix} \frac{1}{x} & \frac{\ln^2 x}{x} \\ \frac{1}{x} & \frac{\ln^2 x}{x} \end{pmatrix}$	$\begin{pmatrix} \frac{1}{x} & \frac{1}{x} \\ \frac{1}{x} & \frac{1}{x} \end{pmatrix}$
pert. approach	$x_p > 0.1$	$x_B > 10^{-4}$

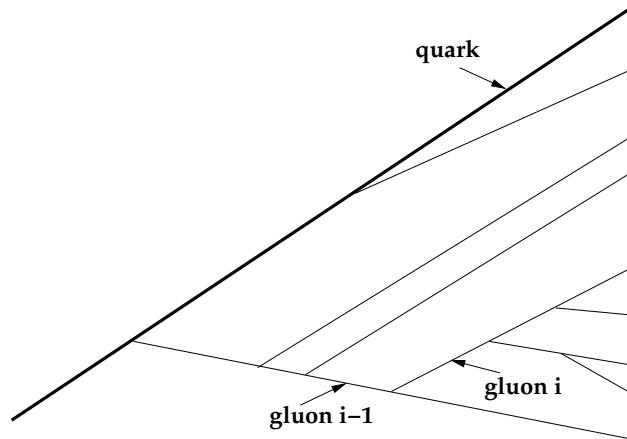
Timelike  $P$  contains **double logs**

$$(1/x)(a_s \ln x)^2 (a_s \ln^2 x)^r, r = -1, \dots, \infty$$

→ poor small  $x_p$  description when  $\ln(1/x_p) = O(a_s^{-1/2})$

# Double Logarithmic Approximation

Largest logs (DLs):  $q\bar{q} \rightarrow q\bar{q} + N$  gluons (tree level)



- $\mathcal{P}(G_{i-1} \rightarrow G_i + \dots) \propto a_s \frac{d\theta_i}{\theta_i} \frac{dE_i}{E_i} \simeq a_s \ln^2$
- Strong ordering:  $(E, \theta)_i \ll (E, \theta)_{i-1}$
- Collinear part in FFs:  $E_T = E_{i-1}\theta_{i-1} > Q$   
 $\therefore E_i\theta_i > \textcolor{red}{y}Q$

$$\rightarrow \frac{d}{d \ln Q^2} D(x, Q^2) = \int_x^1 \frac{dy}{y} a_s(\textcolor{red}{y}^2 Q^2) P^{(0)}(y) D\left(\frac{x}{y}, \textcolor{red}{y}^2 Q^2\right)$$

Take small  $x$  sing in  $P^{(0)}(x)$  only

$$\frac{d}{d \ln Q^2} D(x, Q^2) = \int_x^1 \frac{dy}{y} \frac{2C_A}{y} A a_s(y^2 Q^2) D\left(\frac{x}{y}, y^2 Q^2\right)$$

→ DLA equation, contains all DLs

- $A = \begin{pmatrix} 0 & \frac{2C_F}{C_A} \\ 0 & 1 \end{pmatrix}$
- $A_{\text{non-singlet}} = 0$
- $A^2 = A$

# DLA vs. DGLAP

Approach	DLA/MLLA	DGLAP
Data studied	small $x_p$	large $x_p$
Assumed valid to	$Q_0 = \Lambda_{\text{QCD}}$ (limiting spectrum)	$Q_0 \simeq 1 \text{ GeV}$
Non perturbative input	$D_i(x, \Lambda_{\text{QCD}}^2) \propto \delta(1 - x)$ (LPHD)	$D_i(x, Q_0^2) = N_i x^{\alpha_i} (1 - x)^{\beta_i}$

Our approach:

- keep DGLAP (large  $x_p$ )
- improve  $P$  at small  $x_p$  via DLA

$$a_s P^{(0)}(x) \longrightarrow a_s \overline{P}^{(0)}(x) + P^{\text{DL}}(x, a_s)$$

↑ LO DL subtracted

# DL resummation in $P$

Insert DGLAP ( $P \rightarrow P^{\text{DL}}$ ) into DLA:

$$2(P^{\text{DL}})^2 + \omega P^{\text{DL}} - 2C_A a_s A = 0$$

+ boundary condition:

$$a_s P^{\text{DL}(0)}(\omega, a_s) = \begin{pmatrix} 0 & a_s \frac{4C_F}{\omega} \\ 0 & a_s \frac{2C_A}{\omega} \end{pmatrix} + O(a_s^2)$$

→ Solution (agrees with NLO too):

$$P^{\text{DL}}(\omega, a_s) = \frac{A}{4} \left( -\omega + \sqrt{\omega^2 + 16C_A a_s} \right)$$

$$P^{\text{DL}}(x, a_s) = \frac{A \sqrt{C_A a_s}}{x \ln \frac{1}{x}} J_1 \left( 4\sqrt{C_A a_s} \ln \frac{1}{x} \right)$$

As  $x \rightarrow 0$ ,  $P^{\text{DL}}(x, a_s) \rightarrow \frac{1}{x} \ln^{-\frac{3}{2}} \frac{1}{x}$

→ Less than LO singularity  $\frac{1}{x}$

Results in Mellin space

$$f(\omega) = \int_0^1 dx x^\omega f(x)$$

$\omega \rightarrow 0$  sings:

$$P^{\text{DL}}(\omega, a_s) \ni \frac{a_s}{\omega} \left( \frac{a_s}{\omega^2} \right)^r$$

DGLAP equation:

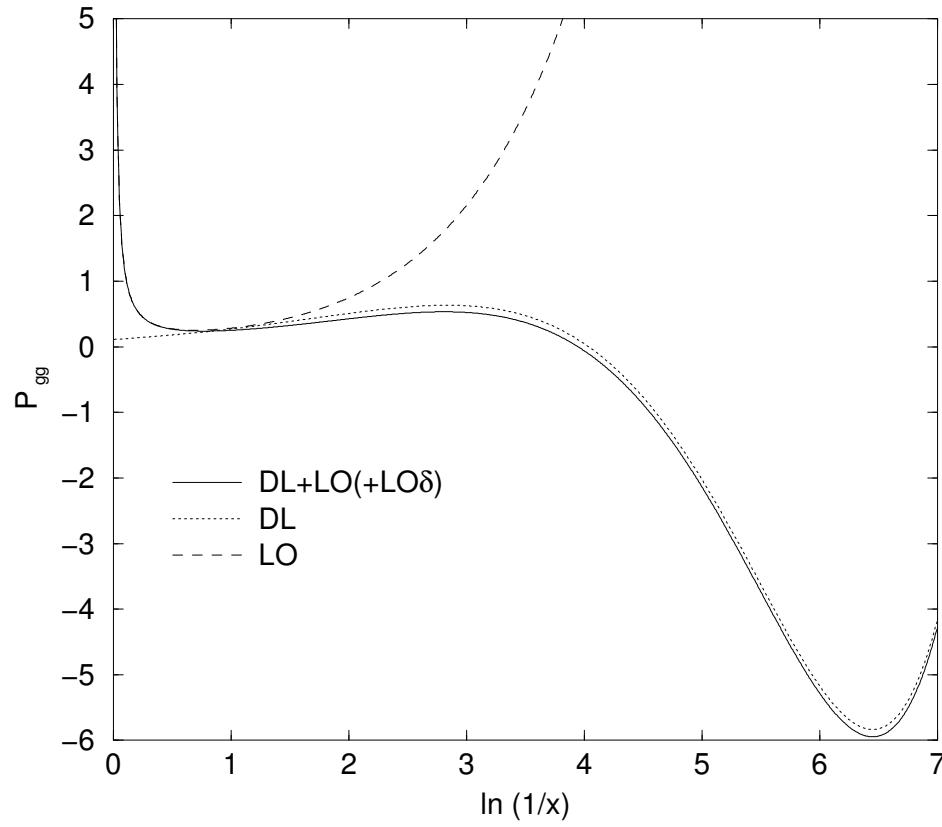
$$\frac{d}{d \ln Q^2} D(\omega, Q^2) = P(\omega, a_s(Q^2)) D(\omega, Q^2)$$

DLA equation:

$$\begin{aligned} & \left( \omega + 2 \frac{d}{d \ln Q^2} \right) \frac{d}{d \ln Q^2} D(\omega, Q^2) \\ &= 2C_A a_s(Q^2) A D(\omega, Q^2) \end{aligned}$$

# New $P$ vs. old $P$ s

E.g. look at  $P_{gg}$



- LO:  $P = a_s P^{(0)}$
- DL:  $P = P^{\text{DL}}$
- DL+LO:  $P = a_s \overline{P}^{(0)} + P^{\text{DL}}$

# Higher logarithms

- Double logs:  $\frac{a_s}{\omega} \left( \frac{a_s}{\omega^2} \right)^r$
- General logs:  $\left( \frac{a_s}{\omega} \right)^m \left( \frac{a_s}{\omega^2} \right)^r$

Extend approach to any order  $n$ , any class of logs  $m$

$$P(\omega, a_s) = \sum_{n=1}^{\infty} a_s^n \bar{P}^{(n)}(\omega) + \sum_{m=1}^{\infty} \left( \frac{a_s}{\omega} \right)^m g_m \left( \frac{a_s}{\omega^2} \right)$$

$$a_s^n \bar{P}^{(n)}(\omega) \qquad \qquad \qquad \left( \frac{a_s}{\omega} \right)^m g_m \left( \frac{a_s}{\omega^2} \right)$$

- $= a_s^n P^{(n)}(\omega)$ , logs subtracted
- Finite as  $\omega \rightarrow 0$
- Important for large  $x_p$
- Contains all class  $m$  logarithms
- $m = 1$ : DLs — known
- $m = 2$ : SLs — known for  $P_{gg}$
- Finite as  $\omega \rightarrow 0$ ?
- Important for small  $x_p$

# Relation to MLLA

Approach equivalent to

$$\frac{d}{d \ln Q^2} D(\omega, Q^2) = \left( \omega + 2 \frac{d}{d \ln Q^2} \right)^{-1} 2C_A a_s(Q^2) A D(\omega, Q^2) \quad \leftarrow \text{DLA eq.}$$

$$+ a_s(Q^2) \overline{P}^{(0)}(\omega) D(\omega, Q^2) \quad \leftarrow \text{Fixed order}$$

MLLA limit:

- Approximations

- Set  $\omega = 0$  in  $a_s \overline{P}^{(0)}(\omega)$

- DLA:  $D_{q,\bar{q}} \simeq \frac{C_F}{C_A} D_g$

- Take gluon component

→ MLLA equation:

$$\left( \omega + 2 \frac{d}{d \ln Q^2} \right) \frac{d}{d \ln Q^2} D_g(\omega, Q^2) = 2C_A a_s(Q^2) D_g(\omega, Q^2)$$

$$- \left( \omega + 2 \frac{d}{d \ln Q^2} \right) a_s(Q^2) \frac{a}{2} D_g(\omega, Q^2)$$

$$\uparrow a = \frac{11}{3} C_A + \frac{4}{3} T_R n_f \left( 1 - \frac{2C_F}{C_A} \right)$$

# Theory vs. data — method

- Fit to light charged hadron data,  $\ln(1/x_p) < \ln \sqrt{s}$
- LO,  $Q = \sqrt{s}$ ,  $Q_0 = 14$  GeV,  $n_f = 5$

$$\frac{1}{\sigma(s)} \frac{d\sigma}{dx_p}(x_p, s) = \frac{1}{n_f \langle e_q^2(s) \rangle} \sum_q e_q^2(s) D_q^+(x_p, s)$$

Fitted quantities:

- $D_g(x, Q_0^2)$
- $\frac{1}{2} \sum_{\alpha=uc} D_\alpha(x, Q_0^2)$
- $\frac{1}{3} \sum_{\alpha=dsb} D_\alpha(x, Q_0^2)$
- $\Lambda_{\text{QCD}}$

$$D_i(x, Q_0^2) = N \exp[-c \ln^2 x] x^\alpha (1-x)^\beta$$

large  $x$ :  $\rightarrow N x^\alpha (1-x)^\beta$  (as in DGLAP fits)

small  $x$ :  $\rightarrow N \exp[-c \ln^2 \frac{1}{x} - \alpha \ln \frac{1}{x}]$  (DLA, large  $Q$ )

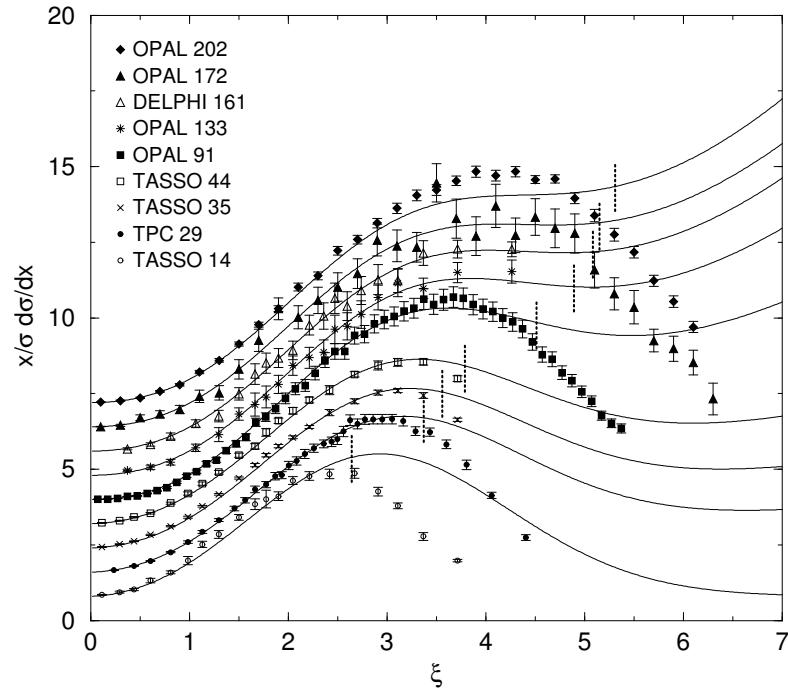
$$D_{q,\bar{q}} \simeq \frac{C_F}{C_A} D_g \text{ (DLA):}$$

$$c_{uc} = c_{dsb} = c_g, \alpha_{uc} = \alpha_{dsb} = \alpha_g \text{ (use)}$$

$$N_q = \frac{4}{9} N_g \text{ (ignore)}$$

# Theory (new and old) vs. data

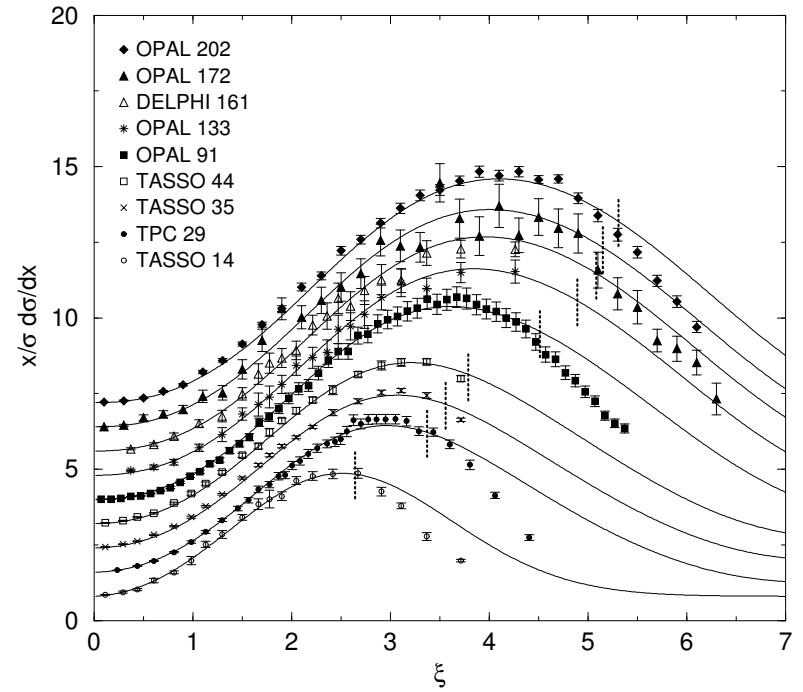
- $P = a_s P^{(0)}$  (old DGLAP)



$$\chi^2_{\text{DF}} = 3.0$$

$$\Lambda_{\text{QCD}} = 388 \text{ MeV}$$

- $P = a_s \bar{P}^{(0)} + P^{\text{DL}}$  (new DGLAP)



$$\chi^2_{\text{DF}} = 2.1$$

$$\Lambda_{\text{QCD}} = 801 \text{ MeV}$$

$2 \times$  DLA-anticipated  $N_g/N_q$

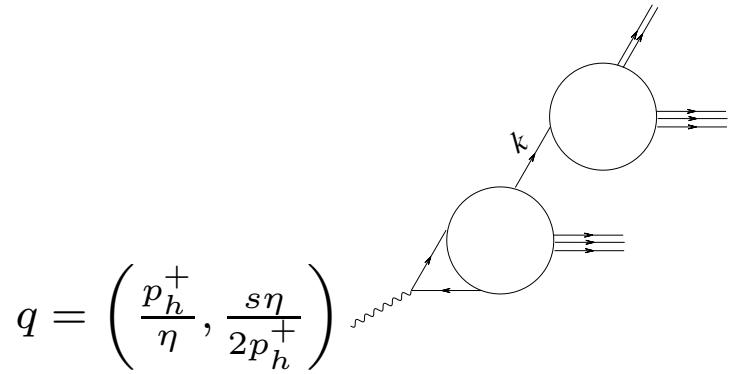
# Hadron mass effects at small $x_p$

Particles confined to 3-axis:

$$(V^+, V^-) = \frac{1}{\sqrt{2}} V^0 (+, -) V^3$$

$$\mathbf{V}_T = \mathbf{0}$$

$$p_h = \left( p_h^+, \frac{m_h^2}{2p_h^+} \right)$$



Variable  $\eta$ :

- Like DIS Nachtmann scaling variable
- 3-axis boost invariant
- true momentum fraction in factorization

Leading twist factorization:

- $k = \left( \frac{p_h^+}{y}, 0 \right)$
- $\frac{d\sigma}{d\eta}(\eta, s) = \int_\eta^1 \frac{dy}{y} \frac{d\sigma}{dy}(y, s, Q^2) D\left(\frac{\eta}{y}, Q^2\right)$

Relation to experiment:

- $x_p = \frac{2p_h \cdot q}{Q^2} = \eta \left( 1 - \frac{m_h^2}{s\eta^2} \right)$
- $\frac{d\sigma}{dx_p}(x_p, s) = \frac{1}{1 + \frac{m_h^2}{s\eta^2(x_p)}} \frac{d\sigma}{d\eta}(\eta(x_p), s)$

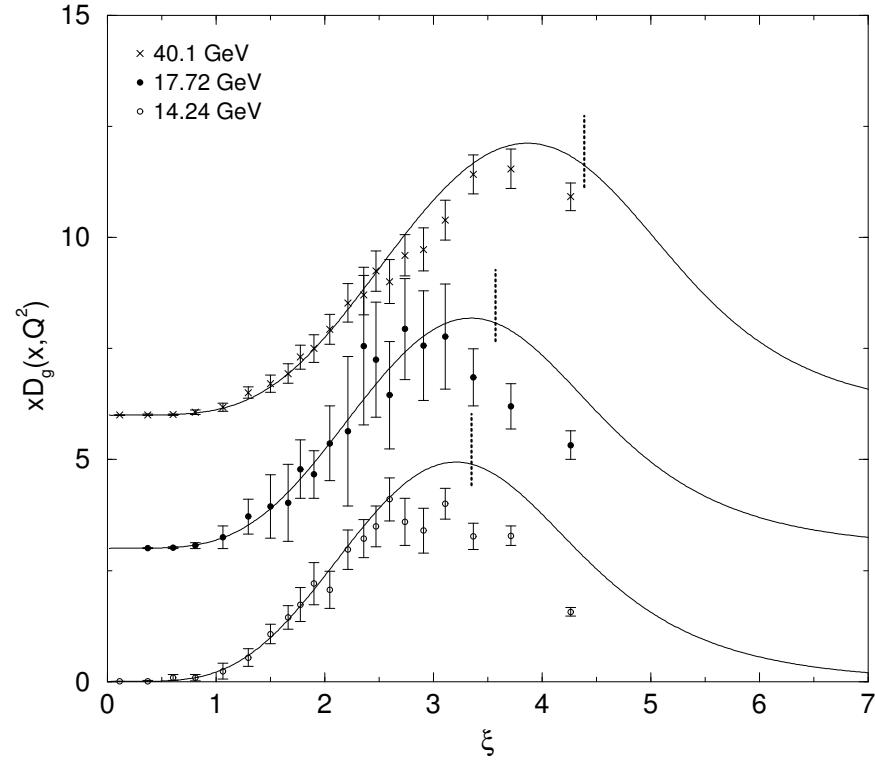
Both fits:  $\chi_{\text{DF}}^2 \simeq 2$ ,  $m_h \simeq 300$  MeV

	DL unresummed	DL resummed
$\Lambda_{\text{QCD}}$ (MeV)	1300	400

Resummed fit:  $110\% \times$  DLA-anticipated  $N_g/N_q$

# OPAL gluon jet data

$$E_{\text{jet}} = Q/2 = 14.24, 17.72, 40.1 \text{ GeV}$$



Hadron mass effects  $\rightarrow m_h \simeq 300 \text{ MeV}, \Lambda_{\text{QCD}} \simeq 500 \text{ MeV}$

# Summary

- Resumming DLs extends DGLAP evolution to small  $x_p$  (peak region)
- Large  $x_p$  description remains good
- Ignore large  $x_p$  effects + take DLA limit on FFs  $\rightarrow$  MLLA
- Hadron mass effects improve fits, resummation gives better  $\Lambda_{\text{QCD}}$

Further work:

- Extend NLO global fits to smaller  $x_p$  (currently  $0.1 < x_p < 1$ )
- Determine SLs