## Vub Experimental Results



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- Motivation \& basic issues
- Results
- exclusive
- inclusive
- Summary


## Motivations

- Non-zero Vub --> CP violation in B decays
- $\mathrm{V}_{\mathrm{ub}}$ vs. $\sin \left(2 \phi_{\mathrm{I}}\right)$--> strong constraint on UT.

Direct: $\sin 2 \phi_{1}=0.67 \pm 0.03$
Indirect: $\sin 2 \phi_{1}=0.76 \pm 0.04$


Difference: $\quad=0.09 \pm 0.05$
Not statistically significant, but...
Model independent NP in B mixing
Add new amplitude to SM

$$
A_{d}=A_{d}^{\mathrm{SM}}\left(1+\left|A_{d}^{\mathrm{NP}} / A_{d}^{\mathrm{SM}}\right| e^{i 2 \phi_{d}^{\mathrm{NP}}}\right)
$$

$\rightarrow$ modifies $\phi_{1}$ to $\phi_{1}+\phi_{d}{ }^{N P}$

# Fellowship of the ring 

CLEO
and many theorists

## ARGUS



LE $\times 4$

## LHCb



## Semileptonic B for $V_{\mathrm{ub}}$



* $\left|\mathrm{V}_{\mathrm{ub}}\right|$ from tree level processes.
* Presence of a single hadronic current allows control of theoretical uncertainties.

$$
|\sqrt{ }| \sqrt{ } b\left|>\left|V_{u b}\right| \frac{\Gamma\left(b \rightarrow u \ell^{-} \bar{\nu}\right)}{\Gamma\left(b \rightarrow c \ell^{-} \bar{\nu}\right)} \approx \frac{\left|V_{u b}\right|^{2}}{\left|V_{c b}\right|^{2}} \approx \frac{1}{50}\right.
$$

kinematic variables for B XIV

$E_{\ell}=$ lepton energy
$q^{2}=\left(p_{\ell}+p_{\nu}\right)^{2}$
$m_{X}=$ mass of the hadronic part

## The "Two Towers"

## 粼 Exclusive

- good suppression of b --> c; high S/N
- but, small BF
- need Form Factor as a ftn. of $q^{2}$

$$
\frac{d \Gamma(B \rightarrow \pi \ell \nu)}{d q^{2}}=\left|V_{u b}\right|^{2} \frac{G_{F}^{2}}{24 \pi^{3}}\left|\mathbf{p}_{\pi}\right|^{3}\left|f^{+}\left(q^{2}\right)\right|^{2}
$$

## 颣 Inclusive

- easy at the parton-level
- kinematic cuts to cope with b--> c
- need to know non-pert. effects (SF)



## Electron and Muon identification in Belle




Light yield in Cerenkov Detector

## Track and cluster matching

Range and transverse scattering in Muon/ hadron detector

## Notable Milestones

- non-zero $\mathrm{V}_{\mathrm{ub}}$ from both inclusive \& exclusive


CLEO, PRL 77, 5000 (1996)

systematics. Averaging over the the different models, we find $\left|V_{u b}\right|=\left(3.3 \pm 0.2_{-0.4}^{+0.3} \pm 0.7\right) \times 10^{-3}$, where the errors are statistical, systematic (including $B^{0}$ lifetime), and estimated model dependence. This agrees with the

## Novel $X_{u}$ recon. by Belle

- $v$ reconstruction by $(E, p)_{\text {miss }}$
- "simulated annealing" to separate the particles as belonging to signal $B$ and the other B
see S. Kirkpatrick et al., Science 220, No. 4598 (1983)
- good effi. w/ reasonable $M_{x}$ resol.
- Belle's result: PRL 92, I 0 I 80 I(2004)




- First result with $M_{x} \& q^{2}$ cut




## In the $\operatorname{PDG}(2004)$ mini-review on $\mathrm{V}_{\mathrm{ub}}$

> uncertainties $\pm 0.0044 \pm 0.0048-0.0012$, where the tirst error is statistical, the second is systematic, and the third is the uncertainty due to the form factor model variations. We combine the last two in quadrature.

## DETERMINATION OF $\boldsymbol{V}_{\boldsymbol{u}}$

Updated December 2003 by M. Battaglia (University of California, Berkeley and LBNL) and L. Gibbons (Cornell University, Ithaca) .

The precise determination of a robust, well-understood uncertai goals of the heavy flavor physics prc and theoretically. Because $\left|V_{u b}\right|$, t CKM mixing matrix, provides a bc one of the triangles representing tl CKM matrix it nlave a crucial rol
a premerence on expermentan tecmmque. $m$ meed, we ıook iorwara to a similar (or improved) analysis when a sample of clean results based on fully tagged $B$ samples have been obtained for all regions of phase space.

At present only Belle [46] has contributed a result for this region of phase space, so for now we take this result as the "central value":

$$
\begin{align*}
\left|V_{u b}\right| / 10^{-3} & =4.63 \pm 0.28_{\mathrm{stat}} \pm 0.39_{\mathrm{sys}} \pm 0.48_{\mathrm{f}_{\mathrm{qM}}} \pm 0.32_{\Gamma \mathrm{thy}} \\
& \pm \sigma_{\mathrm{WA}} \pm \sigma_{\mathrm{SSF}} \pm \sigma_{\mathrm{LQD}} \tag{5}
\end{align*}
$$

Additional measurements by the $B$ factories of the rate in this region of phase space will soon improve the experimental uncertainties.

## Roadmap for $\mathrm{V}_{\mathrm{ub}}$ - "Morri"d chart"



## Exclusive $B \rightarrow X_{u} \ell \nu$



# Form-factors for exclusive <br> - for the non-pert. QCD effect 

Hadronic current $H^{\mu}$ for $\bar{B}^{0} \rightarrow \pi^{+} \ell^{-} \bar{\nu}$ :

$$
H^{\mu}=\left\langle\pi^{+}\left(p^{\prime}\right)\right| u \gamma^{\mu} b\left|\bar{B}^{0}(p)\right\rangle=f^{+}\left(q^{2}\right)\left(p+p^{\prime}\right)^{\mu}
$$

In the limit of massless lepton,

$$
\frac{d \Gamma(B \rightarrow \pi \ell \nu)}{d q^{2} d \cos \theta_{\ell}}=\left|V_{u b}\right|^{2} \frac{G_{F}^{2}}{32 \pi^{3}}\left|\vec{p}_{\pi}\right|^{3} \sin ^{2} \theta_{\ell}\left|f^{+}\left(q^{2}\right)\right|^{2}
$$

HPQCD, PRD73, 074502 (2006)


- Form-factor models based on
- Relativistic quark models (ISGW2)
- LCSR for low q2
- LQCD for high q2


How well can we measure the $q^{2}$ dist. for $B \rightarrow X_{u} l v$ ?

## To tag, or not to tag...

- tagged with
- Hadronic B ("Full Reconstruction")
- Semileptonic B
- untagged
- loose neutrino reconstruction


## Tagging with hadronic B ("Full Recon")






## Tagging with semileptonic B

$$
B_{\mathrm{tag}} \rightarrow D^{*} \ell^{+} \nu, \quad B_{\mathrm{sig}} \rightarrow \pi / \rho \ell^{+} \nu
$$



Fig. 1. Kinematics of the double semileptonic decay.

## $B \rightarrow \pi \ell \nu$ with $D^{*} \ell \nu$ tagging

$B_{\mathrm{tag}} \rightarrow D^{*} \ell^{+} \nu, \quad B_{\mathrm{sig}} \rightarrow \pi / \rho \ell^{+} \nu$
calibration modes


Fig. 1. Kinematics of the double semileptonic decay.



$z_{B}=\cos \theta_{B_{1}}^{*}, y_{B}=\left(\cos \theta_{B_{2}}^{*}-\cos \theta_{B_{2}}^{*} \cos \theta_{12}^{*}\right) / \sin \theta_{12}^{*}$,
$x_{B}{ }^{2}=1-\frac{1}{\sin ^{2} \theta_{12}^{*}}\left(\cos ^{2} \theta_{B_{1}}^{*}+\cos ^{2} \theta_{B_{2}}^{*}-2 \cos \theta_{B_{1}}^{*} \cos \theta_{B_{2}}^{*} \cos \theta_{12}^{*}\right)$
for true signal, $0<x_{B}^{2}<1$
$\exists$ 2-fold ambiguity for $\vec{n}_{B}$

## $B \rightarrow \pi \ell \nu$ with $D^{*} \ell \nu$ tagging

$\mathcal{B}$

- Because of the 2-fold ambig. in the $B$ direction, $q^{2}$ is not exactly measured
- Use modified $q^{2} \quad q^{2} \Leftarrow\left(E_{\text {beam }}-E_{X_{u}}\right)^{2}-\left|\vec{p}_{X_{u}}\right|^{2}$

$$
\sigma_{q^{2}}: 0.95 \sim 0.32 \mathrm{GeV}^{2}
$$

Detection efficiency matrix based on the LCSR model in units of $10^{-3}$

| Generated mode | True $q^{2}\left(\mathrm{GeV}^{2} / c^{2}\right)$ | Reconstructed $q^{2}\left(\mathrm{GeV}^{2} / c^{2}\right)$ |  |  |
| :--- | :--- | :--- | :--- | :--- |
|  |  | $<8$ | $8-16$ | $\geqslant 16$ |
| $\pi^{-} \ell^{+} \nu$ | $<8$ | 1.71 | 0.05 | 0.00 |
|  | $8-16$ | 0.21 | 1.82 | 0.03 |
|  | $\geqslant 16$ | 0.00 | 0.24 | 1.89 |
| $\rho^{0} \ell^{+} \nu$ | $<8$ | 1.50 | 0.10 | 0.01 |
|  | $8-16$ | 0.08 | 1.71 | 0.08 |
|  | $\geqslant 16$ | 0.01 | 0.13 | 1.82 |

## $B \rightarrow \pi \ell \nu$ with $D^{*} \ell \nu$ tagging



Signal yields and the $\chi^{2}$ values for each $q^{2}$ region

| Mode | $N_{<8}$ | $N_{8-16}$ | $N_{\geqslant 16}$ |
| :--- | :--- | :--- | :--- |
| $\pi^{-} l^{+} \nu$ | $64.8 \pm 11.9$ | $63.2 \pm 12.4$ | $40.6 \pm 11.3$ |
| $\rho^{-} l^{+} \nu$ | $22.1 \pm 8.0$ | $53.2 \pm 13.5$ | $30.9 \pm 16.0$ |
| $\pi^{0} l^{+} \nu$ | $18.1 \pm 5.1$ | $34.5 \pm 8.3$ | $18.6 \pm 6.5$ |
| $\rho^{0} l^{+} \nu$ | $47.2 \pm 11.2$ | $68.3 \pm 16.5$ | $32.5 \pm 12.3$ |
| $\chi^{2} /$ ndf | $172.4 /(200-4)$ | $190.7 /(200-4)$ | $172.1 /(200-4)$ |

## $B \rightarrow \pi \ell \nu$ with $D^{*} \ell \nu$ tagging






PLB 648, I 39 (2007)

| Mode | $\left\|V_{u b}\right\|\left(\times 10^{-3}\right)$ |
| :---: | :---: |
| $\pi^{-} \ell^{+} \nu$ | $3.59 \pm 0.51 \pm 0$ FNAL |
| $\pi^{0} \ell^{+} \nu$ | $3.63 \pm 0.70 \pm 0.20_{-0.41}^{+0.03}$ |
| $\pi^{-} \ell^{+} \nu+\pi^{0} \ell^{+} \nu$ | $3.60 \pm 0.41 \pm 0.20_{-0.41}^{+0.62}$ |
| $\pi^{-} \ell^{+} \nu$ | $4.02 \pm 0.57=\mathbf{H P Q C D}$ |
| $\pi^{0} \ell^{+} \nu$ | $4.06 \pm 0.78 \pm 0.22_{-0.41}^{+0.41}$ |
| $\pi^{-} \ell^{+} \nu+\pi^{0} \ell^{+} \nu$ | $4.03 \pm 0.46 \pm 0.22_{-0.41}^{+0.59}$ |

## $B \rightarrow \pi \ell \nu$ with $B_{\mathrm{tag}}$

- Hadronic tag
- charge/flavor correl. for $\pi$ \& $\ell$
- no (small) add'l neutral energy
- $\left|m_{\text {miss }}^{2}\right|<0.3 \mathrm{GeV}^{2}$
- Semileptonic tag
- $D^{(*)} \ell \nu$ for $B_{\text {tag }}$
- no (small) add'l neutral energy
- max-like. fit to $\cos ^{2} \phi_{B}$



$\phi_{B}=\mathrm{b} / \mathrm{w}$ the $B$ and the plane of $\left(D^{(*)} \ell, \pi \ell\right)$


## $B \rightarrow \pi \ell \nu$ with $B_{\mathrm{tag}}$

PRL 97, 211801 (2006)


|  | $q^{2}\left(\mathrm{GeV}^{2}\right)$ | $\Delta \zeta\left(\mathrm{ps}^{-1}\right)$ | $\left\|V_{u b}\right\|\left(10^{-3}\right)$ |
| :--- | :---: | :---: | :---: |
| Ball-Zwicky [5] | $<16$ | $5.44 \pm 1.43$ | $3.2 \pm 0.2 \pm 0.1_{-0.4}^{+0.5}$ |
| Gulez et al. $[6]$ | $>16$ | $1.46 \pm 0.35$ | $4.5 \pm 0.5 \pm 0.3_{-0.5}^{+0.7}$ |
| Okamoto et al. $[7]$ | $>16$ | $1.83 \pm 0.50$ | $4.0 \pm 0.5 \pm 0.3_{-0.5}^{+0.7}$ |
| Abada et al. $[8]$ | $>16$ | $1.80 \pm 0.86$ | $4.1 \pm 0.5 \pm 0.3_{-0.7}^{+1.6}$ |

## $B \rightarrow \pi \ell \nu$ with full-recon. $B_{\mathrm{tag}}$






## preliminary (hep-ex/0610054)

$\mathcal{B}\left(\mathrm{B} \rightarrow \pi^{+} \ell \nu\right)=$
$\left(1.49 \pm 0.26_{\text {stat }} \pm 0.06_{\text {syst }}\right) \times 10^{-4}$
$\mathcal{B}\left(\mathrm{B} \rightarrow \pi^{0} \ell \nu\right)=$
$\left(0.86 \pm 0.17_{\text {stat }} \pm 0.06_{\text {syst }}\right) \times 10^{-4}$
$N_{B B}=535 \times 10^{6}$

Measurement of the $B^{0} \rightarrow \pi^{-} \ell^{+} \nu$ Form-Factor Shape and Branching Fraction,
Determination of $\left|V_{u b}\right|$ with 2 Loose Neutrino Reconstruction Technique

- loose requirement on $\pi^{-} \ell^{+}$
- cuts optimized as a ftn. of $q^{2}$
- eff. up by $\sim 4$ times
- "Y-averaged" $q^{2}$

$$
\tilde{q}^{2}=\frac{1}{4} \sum_{i=1}^{4} q_{i}^{2}
$$





Measurement of the $B^{0} \rightarrow \pi^{-} \ell^{+} \nu$ Form-Factor Shape and Branching Fraction,
Determination of $\left|V_{u b}\right|$ with 2 Loose Neutrino Reconstruction Technique
binned max. lik'd fit to (mes, $\Delta \mathrm{E}, \mathrm{q}^{2}$ )


FIG. 1: Yield fit projections for (a,b) $m_{\mathrm{ES}}$ with $-0.16<$ $\Delta E<0.20 \mathrm{GeV}$; and (c,d) $\Delta E$ with $m_{\mathrm{ES}}>5.272 \mathrm{GeV}$. The


hep-ex/06I2020 $\left|V_{u b}\right|=\left(4.1 \pm 0.2 \pm 0.2_{-0.4}^{+0.6}\right) \times 10^{-3} \mid$ on $q^{2}>16$;by ${ }^{\text {Untolded } \mathrm{q}^{2}\left(\mathrm{Gev}^{2} / \mathrm{c}^{4}\right)}$

## $\mathrm{V}_{\mathrm{ub}}$ exclusive summary




Experiments starting to measure form factor shape from data; allows elimination of some theory models

## Inclusive $B \rightarrow X_{u} \ell \nu$

 $q^{2}$ and $M_{x}$ requires info. on missing $v-->$ how?


- Global quark-hadron duality
- $\mathrm{V}_{\mathrm{cb}}$ : excl. vs. incl. (OK)
- Weak annihil.
- $\mathrm{q}^{2}$ distorted $\sim \mathrm{mb}^{2}$
- but, UL. from CLEO $\Gamma_{\text {WA }} / \Gamma_{b \rightarrow u}<7.4 \%$
- need SF for non-pert. effects
- SF parameters
- $E_{\gamma}$ from $B \rightarrow X_{s} \gamma$
$\begin{array}{ll}-E_{\ell}, M_{X} \text { from } B \rightarrow X \ell \nu & \\ b-l e a d i n g \text { SF? }\end{array}$


## Vub from Inclusive Methods

- endpoint of E (lepton)
- using SF parameters from moments
- tagged: for (Mx, $q^{2}$ )
- using SF parameters from moments
- LLR ("weighted") -- reduced dependence on SF


## Vub from Lepton End-point


$\left(4.44 \pm 0.25_{-0.38}^{+0.42} \pm 0.22\right) \times 10^{-3}$ BLNP with $X_{s} \gamma$ and $X_{c} \ell \nu$ moments

PLB 62 I, 28 (2005)

$\left(5.08 \pm 0.47 \pm 0.42_{-0.23}^{+0.26}\right) \times 10^{-3}$ BLNP with $X_{s} \gamma$ moments

## $V_{u b}$ from Inclusive $\mathrm{w} /\left(M_{X}, q^{2}\right)$

- Why cut on $\left(M_{X}, q^{2}\right)$ ?
- high $q^{2}$ : favorable for OPE
- low $M_{X}$ : controls $1 / m_{c}^{3}$ blow-out
- use Full-recon. tagging



$$
\begin{aligned}
\left|V_{u b}\right|_{\text {Belle } B \rightarrow X_{s} \gamma}^{\text {BLNP }} & =\left(5.00 \pm 0.27_{\text {stat }} \pm 0.26_{\text {syst }} \pm 0.46_{\mathrm{SF}} \pm 0.28_{\mathrm{th}}\right) \times 10^{-3} \\
\left|V_{u b}\right|_{B A B A R ~}^{\text {BLNP }}{ }_{B A \bar{\nu}} & =\left(4.65 \pm 0.24_{\text {stat }} \pm 0.24_{\text {syst }}{ }_{-0.38 \mathrm{SF}}^{+0.46} \pm 0.23_{\mathrm{th}}\right) \times 10^{-3}
\end{aligned}
$$

$q^{2}$ Distribution ( $m_{\mathrm{X}}<1.7 \mathrm{GeV}$ )

$m_{\mathrm{X}}$ Distribution $\left(q^{2}>8 \mathrm{GeV}^{2}\right)$


| $M_{X} / q^{2}$ | 4.70 | 5.0 | 4.4 | 3.1 | 2.7 | 4.2 | ${ }_{-5.2}^{+4.8}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $M_{X}$ | 4.09 | 4.6 | 3.5 | 3.1 | 1.1 | 4.5 | ${ }_{-3.8}^{+3.5}$ |

## Vub Inclusive (LLR method)

- $m_{X_{u}}\left(B \rightarrow X_{u} \ell \nu\right)$ and $E_{\gamma}\left(B \rightarrow X_{s} \gamma\right)$
- To reduce dependence on SF modelling
- two methods
$\star m_{X_{u}}$ in full range (U, HLM)

$$
\begin{aligned}
& \star m_{X_{u}}<\zeta(<1.67 \mathrm{GeV})(\mathrm{LLR}) \\
& \Gamma\left(B \rightarrow X_{u} \ell \nu\right)=\frac{\left|V_{u b}\right|^{2}}{\left|V_{t s}\right|^{2}} \int W\left(E_{\gamma}\right) \frac{d \Gamma\left(B \rightarrow X_{s} \gamma\right)}{d E_{\gamma}} d E_{\gamma}
\end{aligned}
$$

$$
\begin{aligned}
& \frac{\left|V_{u b}\right|}{\left|V_{t s}\right|}=\left\{\frac{6 \alpha\left(1+H_{\text {mix }}^{\gamma}\right)\left(C_{7}^{(0)}\right)^{2}}{\pi\left[I_{0}(\zeta)+I_{+}(\zeta)\right]} \delta \mathcal{R}_{u}(\zeta)\right\}^{1 / 2} \\
& \quad I_{0(+)}(\zeta)=\int_{g(\zeta)}^{1} d E_{\gamma} \frac{d \Gamma_{s \gamma}}{d E_{\gamma}} W_{0(+)}\left(E_{\gamma}\right) \\
& \\
& W_{0(+)}: \text { accurate up to } \mathcal{O}\left(\alpha_{s}^{2}\right) \text { and } \mathcal{O}\left(\Lambda m_{B} /\left(\zeta m_{b}\right)\right)
\end{aligned}
$$

## Vub Inclusive (LLR method)

PRL 96, 221801 (2006)



LLR: $\mathrm{M}_{\mathrm{x}}<1.67 \mathrm{GeV}:\left|\mathrm{V}_{\mathrm{ub}}\right|=\left(4.43 \pm 0.38_{\text {stat }} \pm 0.25_{\text {syst }} \pm 0.29_{\text {theo }}\right) 10^{-3} 12 \% \quad 72 \%$ OPE: $\mathrm{M}_{\mathrm{x}}<2.50 \mathrm{GeV}:\left|\mathrm{V}_{\mathrm{ub}}\right|=\left(3.84 \pm 0.70_{\text {stat }} \pm 0.30_{\text {syst }} \pm 0.10_{\text {theo }}\right) 10^{-3} 20 \% \quad 98 \%$

## $\mathrm{V}_{\mathrm{ub}}$ inclusive summary



BLNP: Bosch, Lange, Neubert, Paz (2005)
DGE:Anderson, Gardi (2006)
LLR: Leibovich, Low, Rothstein (2006)
HFAG Ave. (BLNP)
$4.52 \pm 0.19 \pm 0.27$

HFAG Ave. (DGE)
$4.46 \pm 0.20 \pm 0.20$

BABAR (LLR)
$4.43 \pm 0.45 \pm 0.29$

## Summary

$$
\begin{aligned}
& \left|V_{u b}\right|_{\text {incl }}=(4.52 \pm 0.19 \pm 0.27) \times 10^{-3} \\
& \left|V_{u b}\right|_{\text {excl }}=\left(3.97 \pm 0.25_{-0.41}^{+0.59}\right) \times 10^{-3}
\end{aligned}
$$

- Vub from inclusive avg. give $O(6 \%)$ error
- restricted phase-space is much better understood
- check with many complementary meas'mts.
- Exclusive analyses catch up
- powerful B-tagging
- improved V-recon. --> fine-binned q2 dist. (BaBar)
- unquenched L-QCD
- Systematics (esp. for SF param.) will improve with more statistics

