



Search for the top FCNC decay $t \rightarrow ch$
with CLIC at 380 GeV

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on behalf of the CLICdp collaboration

Workshop on top physics at the LC 2017

- 1 Motivation
- 2 Simulation
- 3 LCWS'2016 results
- 4 Recent progress
- 5 Conclusions

Motivation

In the Standard Model, FCNC top decays are strongly suppressed (CKM+GIM):

$$BR(t \rightarrow c \gamma) \sim 5 \cdot 10^{-14}$$

$$BR(t \rightarrow c Z) \sim 1 \cdot 10^{-14}$$

$$BR(t \rightarrow c g) \sim 5 \cdot 10^{-12}$$

$$BR(t \rightarrow c h) \sim 3 \cdot 10^{-15}$$

Any signal is a direct signature of “new physics” ...

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Decay $t \rightarrow c h$ is most interesting:

- well constrained kinematics
- test of Higgs boson couplings
- seems to be most difficult for LHC

Estimated HL-LHC reach:

(Snowmass 2013/ATLAS 2016)

$$BR(t \rightarrow qh) \sim 2 \cdot 10^{-4}$$

Two Higgs Doublet Model (2HDM) as a test scenario:

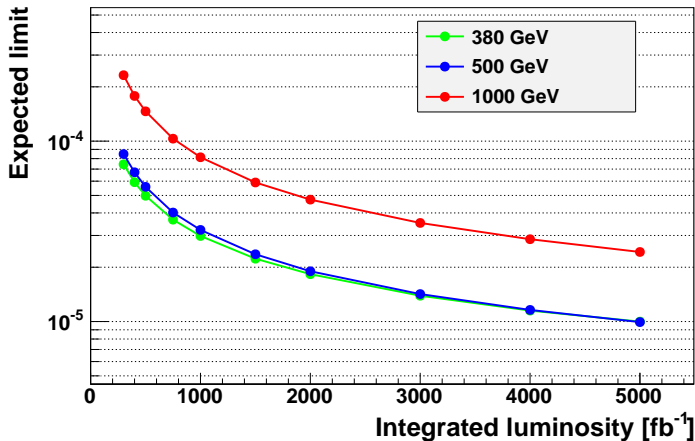
- one of simplest extensions of the SM
- $BR(t \rightarrow c h)$ up to 10^{-2} (tree level) and 10^{-4} (loop level)

Parton level study presented at TopLC'2015 [arXiv:1604.08122]

Promising results on the feasibility of the measurement

Estimated limits on $BR(t \rightarrow ch) \times BR(h \rightarrow b\bar{b})$

Assumed jet energy resolution $\sigma_E = 50\%/\sqrt{E}$ (5% above 100 GeV)



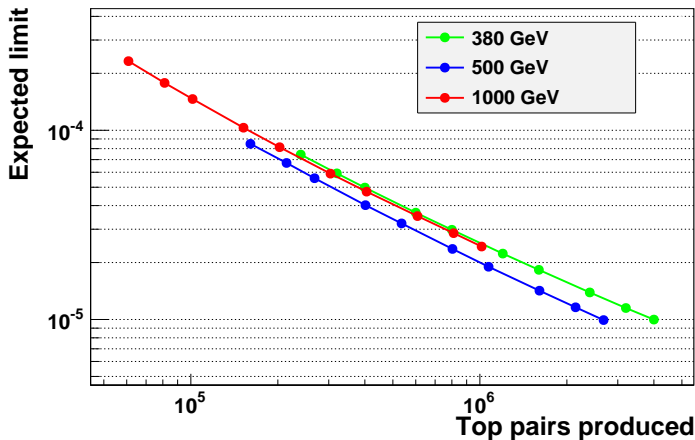
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Dedicated samples generated with **WHIZARD 2.2.8**

Signal: SARAH implementation of **2HDM(III)**, $\text{BR}(t \rightarrow ch_1) = 10^{-3}$

Beam spectra for CLIC taken from file (350 GeV scaled to 380 GeV)

Beam polarization of -80%/0% (for e^-/e^+)

Hadronization done in **PYTHIA 6.427**

quark masses and PYTHIA settings adjusted to CLIC CDR

Standard event processing with **CLIC_ILD_CDR500** configuration

Samples considered in the study

- dedicated **FCNC signal** sample $e^+e^- \rightarrow ch_1\bar{t}, t\bar{c}h_1$
- **test sample** of SM background $e^+e^- \rightarrow t\bar{t}$ for simulation validation
- **full 6-fermion sample** as produced for CLIC $t\bar{t}$ studies

Signal and background samples normalised to **500 fb⁻¹**

Assumed $t\bar{t}$ cross section at 380 GeV: **820 fb**

DST files processed with MARLIN, [ilcsoft v01-17-09](#) (ilcDIRAC)

- Using [LooseSelectedPandoraPFANewPFOs](#) as input collection
- LCFI+ primary and secondary vertex finder
- LCFI+ jet finding with [Valencia algorithm](#)
- LCFI+ vertex corrections and flavour tagging
[default weights used \(no tuning\), but seem to work OK](#)
- root TTree writing

Event processing

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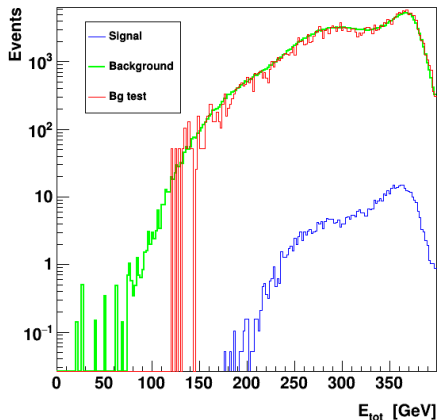
Final analysis in root:

- hadronic decay selection
- pre-selection cuts (loose cuts on flavour tagging)
- kinematic fit
- final selection (cuts or BDT)
[optimised for best BR limit](#)

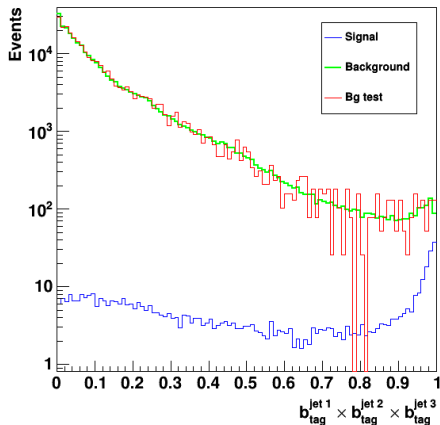
Control plots

Comparing **signal sample** with **full background** and **test samples**.

Total measured energy



Product of three highest b -tag value



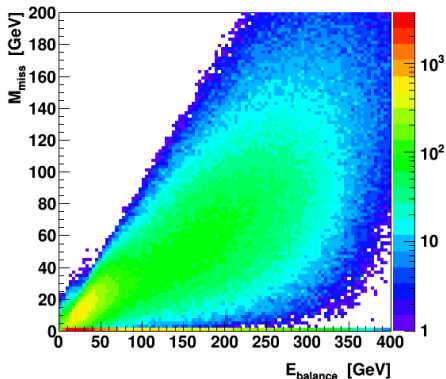
Event selection

Two event samples: fully hadronic and semi-leptonic $t\bar{t}$ decays

Initial selection based on two variables:

$$E_{balance} = \sqrt{(E - 2 p_T - \sqrt{s})^2 + 4 p_Z^2} \quad \text{and} \quad M_{miss} = \sqrt{(\sqrt{s} - E)^2 - p^2}$$

Distribution for background events



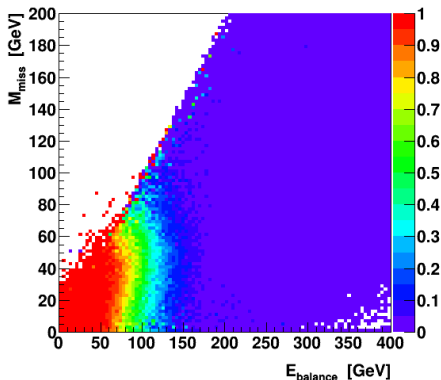
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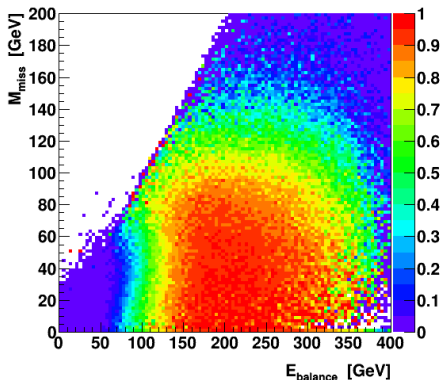
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Fraction of hadronic decays



Fraction of semi-leptonic decays

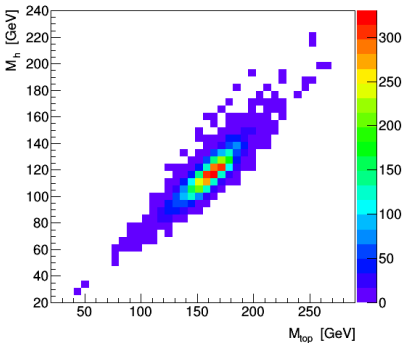


Kinematic fit

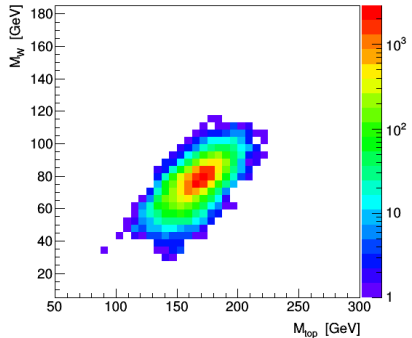
Mass correlation

Significant correlations observed between reconstructed masses of top (3 jets) and its decay product (2 jets)

Higgs and top (signal)



W boson and top (background)



⇒ should be taken into account in event selection

Kinematic fit

New χ^2 definition

Using mass ratios to reduce influence of mass correlations:

- signal hypothesis use also top boost as additional constrain

$$\chi_{sig}^2 = \left(\frac{M_{bqq} - m_t}{\sigma_t} \right)^2 + \left(\frac{M_{bbc} - m_t}{\sigma_t} \right)^2 + \left(\frac{\frac{E_{bqq}}{M_{bqq}} - \gamma_t}{\sigma_\gamma} \right)^2 + \left(\frac{\frac{E_{bbc}}{M_{bbc}} - \gamma_t}{\sigma_\gamma} \right)^2$$

$$+ \left(\frac{\frac{M_{qq} - \frac{m_W}{m_t}}{\sigma_{R_W}}}{\sigma_{R_W}} \right)^2 + \left(\frac{\frac{M_{bb} - \frac{m_h}{m_t}}{\sigma_{R_h}}}{\sigma_{R_h}} \right)^2$$

- similar for background hypothesis ($t\bar{t}$ hadronic decays)

$$\chi_{bg}^2 = \dots + \left(\frac{\frac{M_{qq} - \frac{m_W}{m_t}}{\sigma_{R_W}}}{\sigma_{R_W}} \right)^2 + \left(\frac{\frac{M_{bq} - \frac{m_W}{m_t}}{\sigma_{R_W}}}{\sigma_{R_W}} \right)^2$$

Expected events in six-jet final state

 For 500 fb^{-1} , assuming $BR(t \rightarrow ch) \times BR(h \rightarrow b\bar{b}) = 10^{-3}$ for signal

Analysis level Selection cut	Expected events		Efficiency	
	$t\bar{t}$ (SM)	Signal	$t\bar{t}$ (SM)	Signal
All events	410'000	819	100%	100%
hadronic events	170'000	543	41.5%	66.3%
Before kinematic fit				
$E_{balance} < 100$ GeV	167'000	499	40.6%	60.9%
3 b jets tagged ($b_{tag} > 0.4$)	13'280	300	3.24%	36.6%
c jet tagged ($b_{tag} + c_{tag} > 0.4$)	9640	276	2.35%	33.8%
After kinematic fit				
Good fit ($\chi_{sig}^2 < 14$, $\Delta M_t < 45$ GeV)	894	87	0.22%	10.7%
b -tag for higgs jets ($b_1 \times b_2 > 0.95$)	89.5	50.8	0.022%	6.2%
b and c tags ($b_3 > 0.9$, $c_4 + b_4 > 0.75$)	10.7	34.1	$2.6 \cdot 10^{-5}$	4.2%
$\chi_{sig}^2 / \chi_{bg}^2 < 1.38$ (optimised for limit)	4.89	31.8	$1.2 \cdot 10^{-5}$	3.9%

Expected limits

only hadronic channel considered !

Cuts were optimised for the best expected BR limit.

Final signal selection efficiency: 3.9% (5.9% of hadronic decays)

Background suppression: $1.2 \cdot 10^{-5}$

Expected 95% C.L. limit for 500 fb^{-1} at 380 GeV preliminary

$$BR(t \rightarrow ch) \times BR(h \rightarrow b\bar{b}) < 2.6 \cdot 10^{-4}$$

With luminosity of 1000 fb^{-1} at 380 GeV

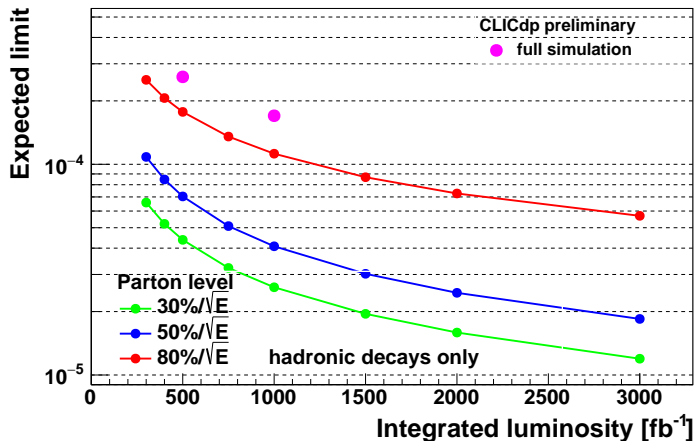
$$BR(t \rightarrow ch) \times BR(h \rightarrow b\bar{b}) < 1.7 \cdot 10^{-4}$$

assuming $t\bar{t}$ cross section at 380 GeV of 820 fb

see: http://hep.fuw.edu.pl/u/zarnecki/talks/afz_lcws2016.pdf

Expected limits on $BR(t \rightarrow ch) \times BR(h \rightarrow b\bar{b})$

Comparison with parton level results, different jet energy resolutions



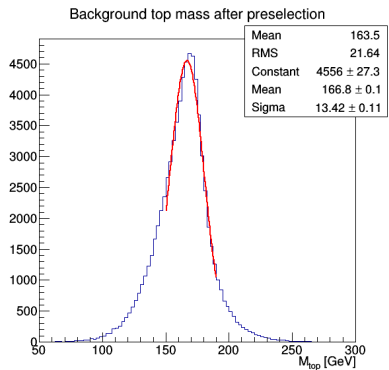
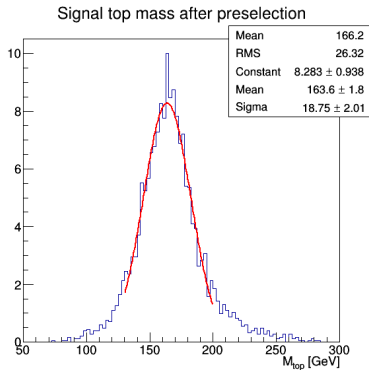
Event reconstruction

Kinematic fit

Preliminary limits much weaker than expected from parton level study.

The main reason for is poor performance of the kinematic fit!

Background rejection mainly based on flavour tagging.



Mass resolution much worse than expected.

Signal reconstruction much worse than for background events...

Jet matching

To understand top reconstruction better, event kinematics was compared between different levels (hadronic final state considered):

- **parton level**: six fermion final state (as generated by WHIZARD)
- **particle level**: result of PYTHIA hadronisation
MCParticles clustered in six jets (Valencia algorithm)
- **jet level**: six jet final state, as reconstructed after detector simulation
LCFIPlus clustering with Valencia algorithm

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To quantify the level of correspondence between different levels:

$$\Delta_{\text{parton-jet}}^2 = \min_{\text{all combinations}} \sum_{\text{partons, jets}} [\langle \vec{p}_{\text{jet}}, \vec{p}_{\text{parton}} \rangle]^2$$

Valencia algorithm Phys Lett B 750 (2015) 95

New, robust, background resistant jet reconstruction algorithm.

Distance criterion based on energy and polar angle:

$$d_{ij} = \min \left(E_i^{2\beta}, E_j^{2\beta} \right) \frac{(1 - \cos \theta_{ij})}{R^2} \quad \text{and} \quad d_{iB} = E_i^{2\beta} \sin^2 \theta_{iB}$$

This definition was implemented in **LCFI+** package (v00-07)

Side remark

Valencia algorithm Phys Lett B 750 (2015) 95

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This definition was implemented in **LCFI+** package (v00-07)

VLC algorithm arXiv:1607.05039

Extension of Valencia algorithm, with more general distance definition:

$$d_{ij} = 2 \min \left(E_i^{2\beta}, E_j^{2\beta} \right) \frac{(1 - \cos \theta_{ij})}{R^2} \quad \text{and} \quad d_{iB} = E_i^{2\beta} \sin^{2\gamma} \theta_{iB}$$

This definition was implemented in **FastJet** (**ValenciaPlugin**)

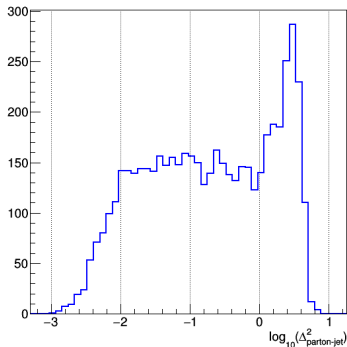
VLC with $\beta = \gamma$ is **NOT** the same as **Valencia** ! There is factor of 2...

Event reconstruction

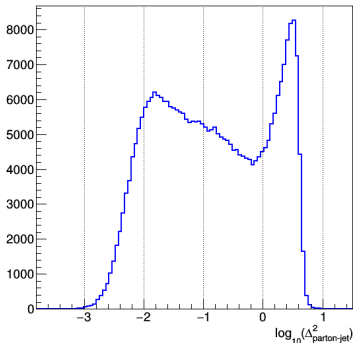
Jet matching

Distance between parton level and detector level jets

Signal events



Background ($t\bar{t}$) events



For significant fraction of events reconstructed detector-level jets have nothing to do with the generated fermion configuration!

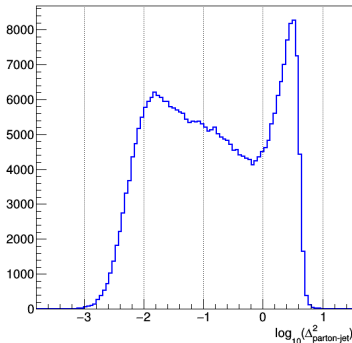
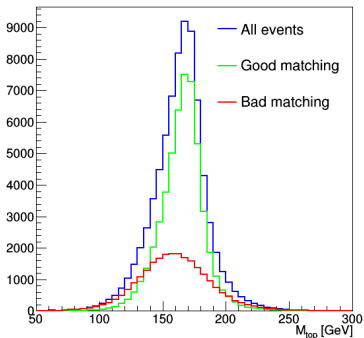
Event reconstruction

Jet matching

Distance between **parton level** and **detector level** jets

Background ($t\bar{t}$) events

Background top mass after preselection



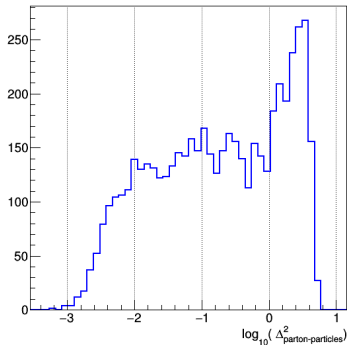
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Event reconstruction

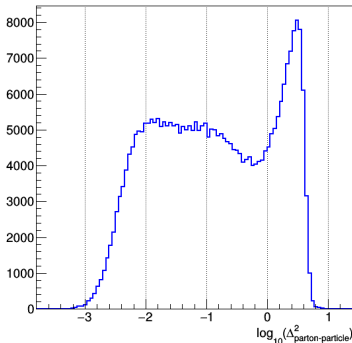
Jet matching

Distance between parton level and particle level jets (no detector involved)

Signal events



Background ($t\bar{t}$) events

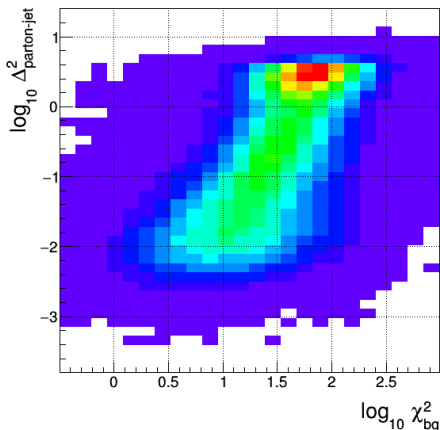


In most cases, information about the partonic final state is already lost on particle level!

How can we suppress such event?!

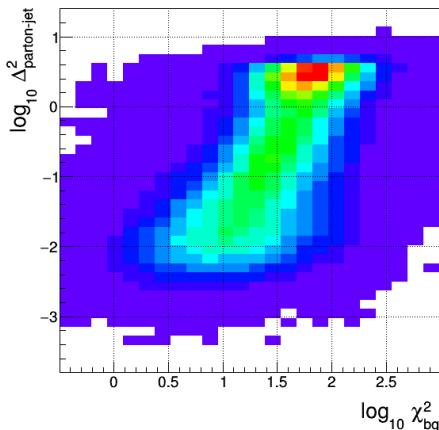
Kinematic fit quality

“Problematic” clustering also results in poorer quality of kinematic fit
⇒ we can reduce influence of such events in standard $t\bar{t}$ selection...



Kinematic fit quality

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⇒ we can reduce influence of such events in standard $t\bar{t}$ selection...



We can not do it, if χ^2 used to discriminate background and signal!

Event reconstruction

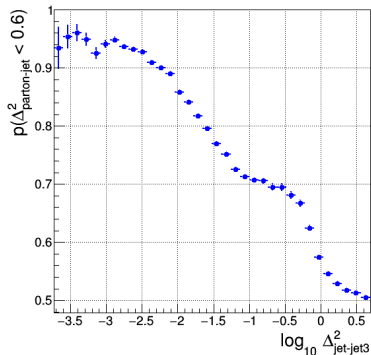
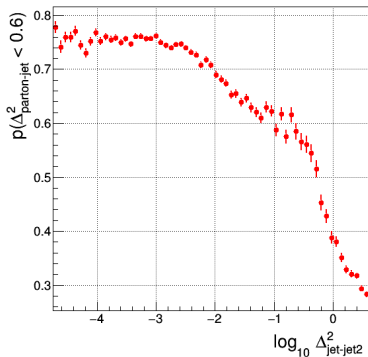
Comparison of jet algorithms

Fraction of properly reconstructed events ($\Delta_{\text{parton-jet}}^2 < 0.6$)

as a function of distance squared to Valencia jets $R = 1.2$ (default)

Valencia $R=0.85$

Angular clustering $R=1.0$



⇒ possible tool for selecting properly reconstructed events...

Event reconstruction

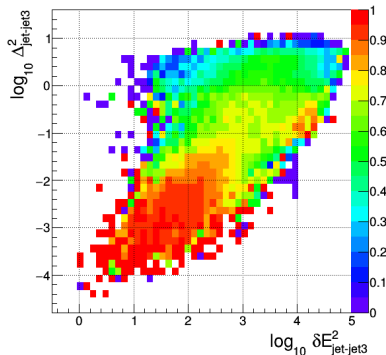
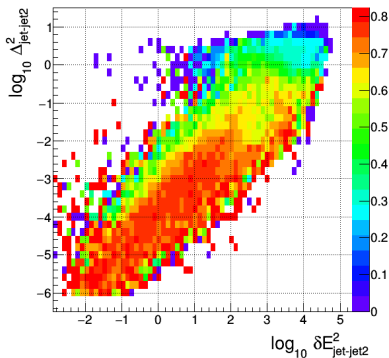
Comparison of jet algorithms

Fraction of properly reconstructed events ($\Delta_{\text{parton-jet}}^2 < 0.6$)

as a function of angular and energy matching to Valencia jets $R = 1.2$

Valencia $R=0.85$

Angular clustering $R=1.0$

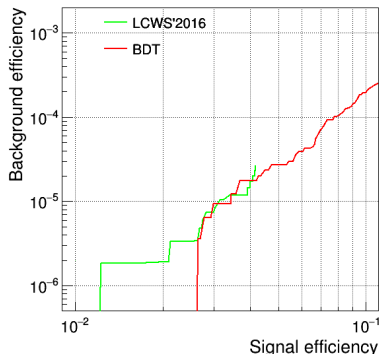
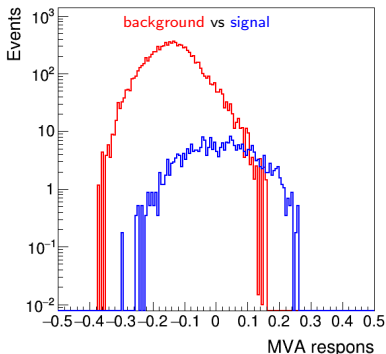


⇒ possible tool for selecting properly reconstructed events...

Including new variables

Final signal event selection based on BDT algorithm (TMVA).

Selection based on BDT response, compared to LCWS'2016 (cut based)

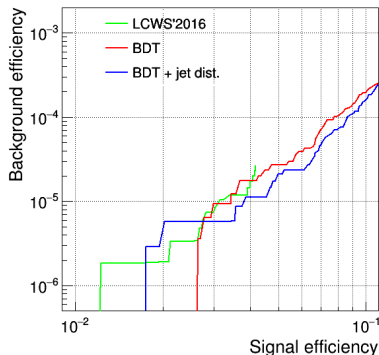
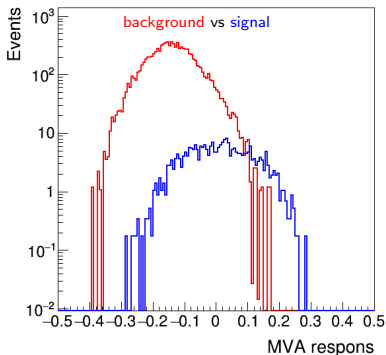


Limits based on BDT similar to cut based (for the same variable set)

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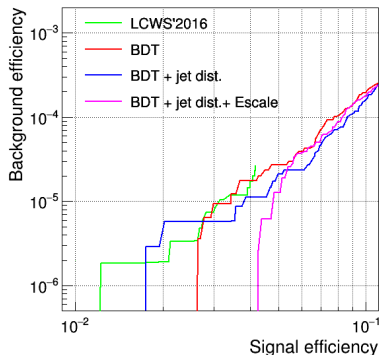
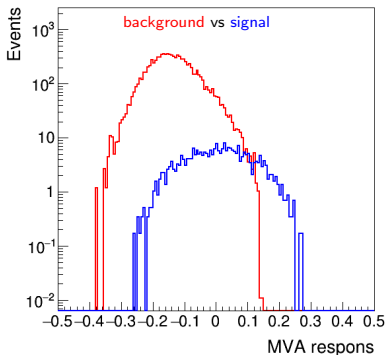


Including jet distance parameters improves background rejection

Including new variables

Final signal event selection based on BDT algorithm (TMVA).

Selection based on BDT response, compared to LCWS'2016 (cut based)



Tight selection also improved with jet energy correction (!?)

Limit setting

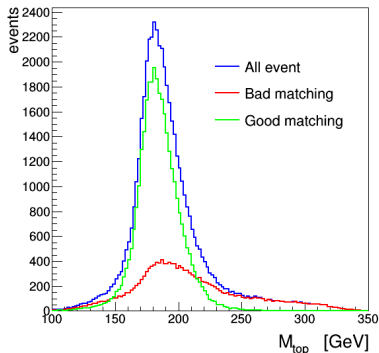
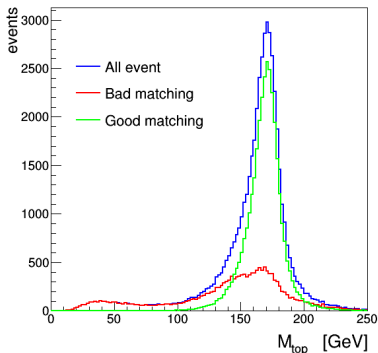
First look at semi-leptonic channel

Top mass reconstruction for background sample

Also affected by problem with hadronic final state clustering

Hadronic top decay

Leptonic top decay



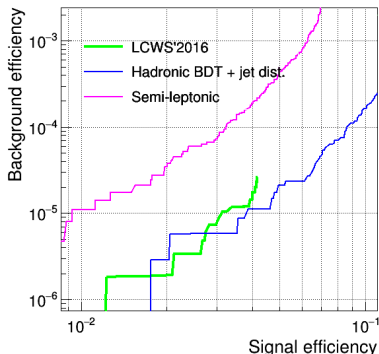
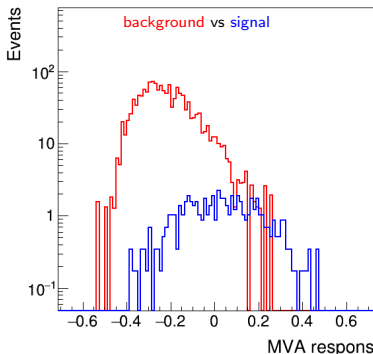
Bad matching: $\Delta^2_{\text{parton-jet}} > 0.4$

Good matching: $\Delta^2_{\text{parton-jet}} < 0.4$

First look at semi-leptonic channel

Selection based on same BDT variables as for hadronic channel (!)

Needs to be optimised...



Note: "Signal efficiency" includes top branching ratio !

Semi-leptonic channel suppressed by factor of ~ 3 (21% vs 68%)

Limit setting

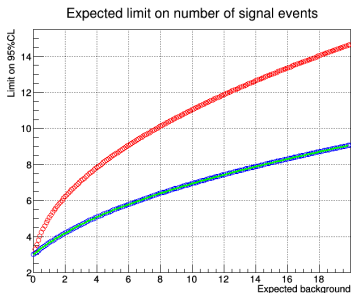
New limit definition

Expected 95% C.L. limits calculated for the parton-level study and for LCWS'2016 results were **too conservative!**

Calculated as the BR value which can be excluded in 95% of experiments...

Expected limits should be defined as the **average** 95% C.L. limit resulting from the background-only experiments

this value will be excluded in (about) 50% of experiments



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this value will be excluded in (about) 50% of experiments

⇒ previous limits too strong by a factor of about 1.5

Updated limit from LCWS'2016 analysis:

$$BR(t \rightarrow ch) \times BR(h \rightarrow b\bar{b}) < 1.7 \cdot 10^{-4}$$

Updated results

Limits resulting from the BDT analysis (500 fb^{-1} @ 380 GeV)

- hadronic channel

$$BR < 1.4 \cdot 10^{-4}$$

- leptonic channel **very preliminary!**

$$BR < 3.9 \cdot 10^{-4}$$

- combined

$$BR < 1.3 \cdot 10^{-4}$$

Total selection efficiency about 7% (9% hadronic, 5% semi-leptonic)

FCNC top decays $t \rightarrow ch$ with CLIC at 380 GeV

Updated results for 380 GeV, including hadronic and semi-leptonic channel

Focus on identification of events with “wrong” jet clustering

Limits based on BDT response

Expected combined limit at 500 fb^{-1}

$$BR(t \rightarrow ch) \times BR(h \rightarrow b\bar{b}) < 1.3 \cdot 10^{-4}$$

FCNC top decays $t \rightarrow ch$ with CLIC at 380 GeV

Updated results for 380 GeV, including hadronic and semi-leptonic channel

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Limits based on BDT response

Expected combined limit at 500 fb⁻¹

$$BR(t \rightarrow ch) \times BR(h \rightarrow b\bar{b}) < 1.3 \cdot 10^{-4}$$

Some improvements probably still possible

- optimising variable choice for BDT
- using jet trimming

Thank you!

Two Higgs Doublet Model (2HDM) type III used as a test scenario. Implemented in SARAH \Rightarrow WHIZARD 2 thanks to Florian Staub, many thanks also due to Juergen Reuter and Wolfgang Kilian...

WHIZARD 2.2.5 used to generate signal and background samples.

Test configuration of the model:

- $m_{h_1} = 125$ GeV
- $\text{BR}(t \rightarrow ch_1) = 10^{-3}$
- $\text{BR}(h \rightarrow b\bar{b}) = 100\%$

Generated samples:

- $e^+e^- \rightarrow t\bar{t}$ (2HDM/SM)
- $e^+e^- \rightarrow ch_1\bar{t}, t\bar{c}h_1$ (2HDM)
- $e^+e^- \rightarrow cb\bar{b}\bar{t}, t\bar{c}b\bar{b}$ (SM)

Assume that **main background** to FCNC decays comes from **standard decay channels**, including $t \rightarrow bW^+$ followed by $W^+ \rightarrow c\bar{b}$

All events generated with CIRCE1 spectra + ISR. **No polarization.**
Only t , W and h defined to be unstable. No hadronization/decays.
No generator-level cuts imposed.

Very simplified detector description

- detector acceptance for leptons: $|\cos\theta_l| < 0.995$
- detector acceptance for jets: $|\cos\theta_j| < 0.975$
- jet energy smearing:

$$\sigma_E = \begin{cases} \frac{S}{\sqrt{E}} & \text{for } E < 100 \text{ GeV} \\ \frac{S}{\sqrt{100 \text{ GeV}}} & E > 100 \text{ GeV} \end{cases}$$

with $S = 30\%$, 50% and 80% [$\text{GeV}^{1/2}$]

- b tagging (mis-tagging) efficiencies: (as expected for LCFI+)

Scenario	b	c	uds
Ideal	100%	0%	0%
A	90%	30%	4%
B	80%	8%	0.8%
C	70%	2%	0.2%
D	60%	0.4%	0.08%

Event selection: $t\bar{t}$ final state

“Signal” top: $t \rightarrow ch_1 + \text{higgs decay to } b\bar{b} \Rightarrow 2 b \text{ tags}$

“Spectator” top: SM top decay $\Rightarrow 1 b \text{ tag}$

Considered final states (resulting from W^\pm decay channels):

- semileptonic: 4 jets + lepton + missing p_t
- fully hadronic: 6 jets, no leptons, no missing p_t

Event selection cuts for $\sqrt{s} = 500 \text{ GeV}$, 50%/ \sqrt{E} jet energy resolution

Semileptonic:

- Missing $p_t > 25 \text{ GeV}$
- Single lepton with $p_t > 15 \text{ GeV}$
- 4 jets with $p_t > 15 \text{ GeV}$
- 3 jets b-tagged

Fully hadronic:

- Missing $p_t < 15 \text{ GeV}$
- No lepton with $p_t > 10 \text{ GeV}$
- 6 jets with $p_t > 15 \text{ GeV}$
- 3 jets b-tagged

Signal selection

After pre-selection cuts, compare two hypothesis:

- signal hypothesis hadronic final state

$$\chi_{sig}^2 = \left(\frac{M_{bqq} - m_t}{\sigma_t} \right)^2 + \left(\frac{M_{qq} - m_W}{\sigma_W} \right)^2 + \left(\frac{M_{bbq} - m_t}{\sigma_t} \right)^2 + \left(\frac{M_{bb} - m_h}{\sigma_h} \right)^2$$

- background hypothesis ($t\bar{t}$ hadronic decays)

$$\chi_{bg}^2 = \left(\frac{M_{bqq} - m_t}{\sigma_t} \right)^2 + \left(\frac{M_{qq} - m_W}{\sigma_W} \right)^2 + \left(\frac{M_{bbq} - m_t}{\sigma_t} \right)^2 + \left(\frac{M_{bq} - m_W}{\sigma_W} \right)^2$$

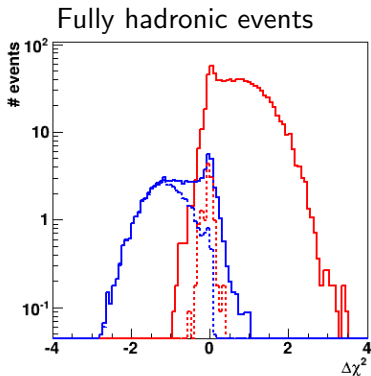
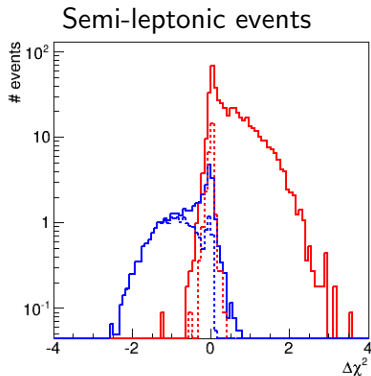
Independent search for best background and signal combinations

Difference in the last term only: h vs W mass discrimination crucial!

Parton Level study

Signal selection

Difference of $\log_{10} \chi^2$ for two hypothesis, for **signal** and **background** events
 Before (solid) and after (dashed) other selection cuts



500 GeV, jet energy resolution 50%, 70% *b*-tagging efficiency

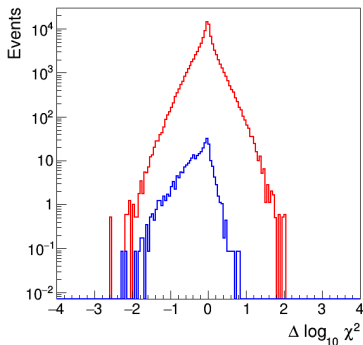
Background rejection strongly depends on the detector performance

Signal-background discrimination

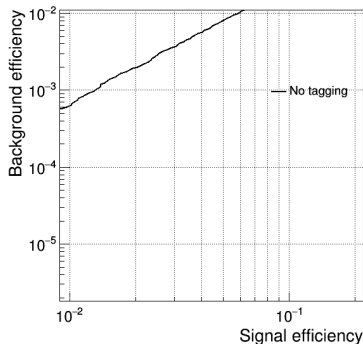
Based on the cut on the difference of $\log_{10} \chi^2$ for two hypothesis

Events with “good” fit of signal hypothesis ($\chi_{sig}^2 < 14$, $|\Delta M_{top}| < 45$ GeV)

$\Delta \log_{10} \chi^2$ distribution
 for signal and background



Background vs signal efficiency
 after subsequent cuts



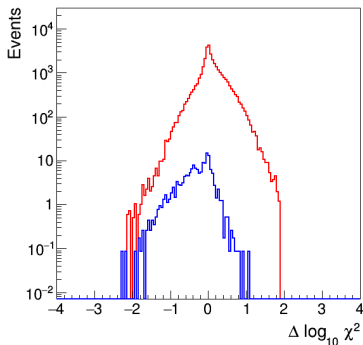
normalized to all decay channels

Signal-background discrimination

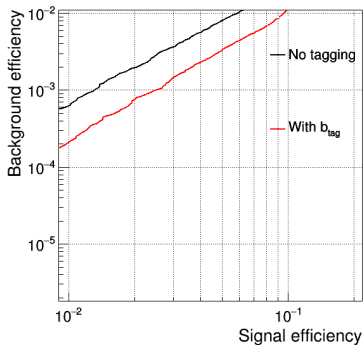
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Background vs signal efficiency
after subsequent cuts



normalized to all decay channels

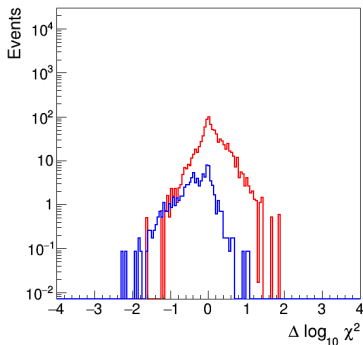
LCWS'2016 results

Signal-background discrimination

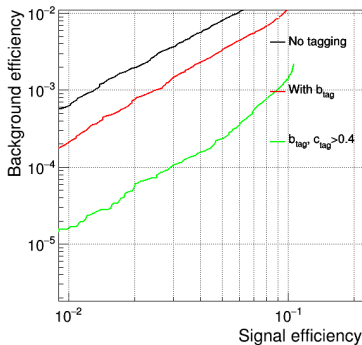
Based on the cut on the difference of $\log_{10} \chi^2$ for two hypothesis

Events with “good” fit of signal hypothesis ($\chi_{sig}^2 < 14$, $|\Delta M_{top}| < 45$ GeV)

$\Delta \log_{10} \chi^2$ distribution
 for signal and background



Background vs signal efficiency
 after subsequent cuts



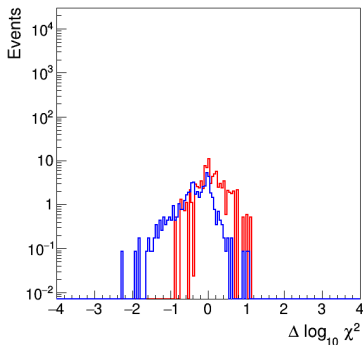
normalized to all decay channels

Signal-background discrimination

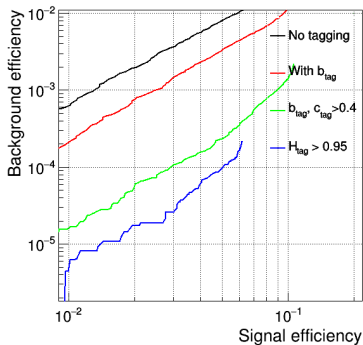
Based on the cut on the difference of $\log_{10} \chi^2$ for two hypothesis

Events with “good” fit of signal hypothesis ($\chi_{sig}^2 < 14$, $|\Delta M_{top}| < 45$ GeV)

$\Delta \log_{10} \chi^2$ distribution
for signal and background



Background vs signal efficiency
after subsequent cuts



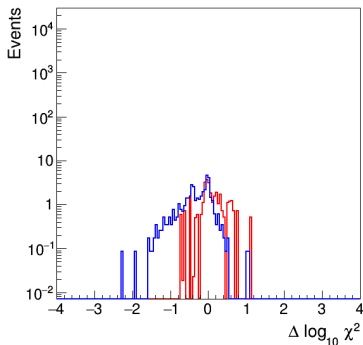
normalized to all decay channels

Signal-background discrimination

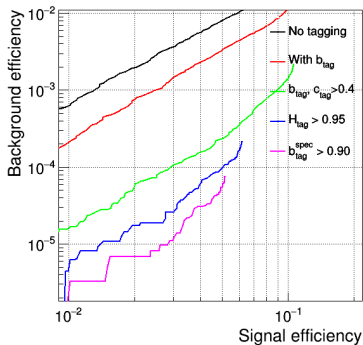
Based on the cut on the difference of $\log_{10} \chi^2$ for two hypothesis

Events with “good” fit of signal hypothesis ($\chi_{sig}^2 < 14$, $|\Delta M_{top}| < 45$ GeV)

$\Delta \log_{10} \chi^2$ distribution
for signal and background



Background vs signal efficiency
after subsequent cuts



normalized to all decay channels

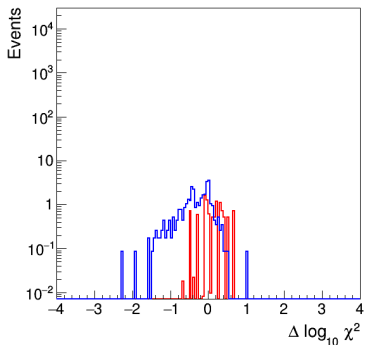
LCWS'2016 results

Signal-background discrimination

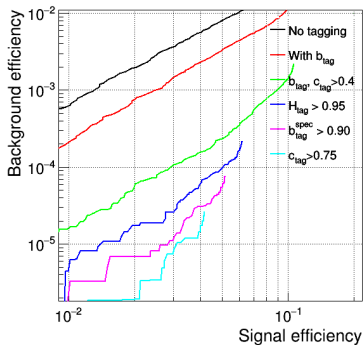
Based on the cut on the difference of $\log_{10} \chi^2$ for two hypothesis

Events with "good" fit of signal hypothesis ($\chi_{sig}^2 < 14$, $|\Delta M_{top}| < 45$ GeV)

$\Delta \log_{10} \chi^2$ distribution
for signal and background



Background vs signal efficiency
after subsequent cuts



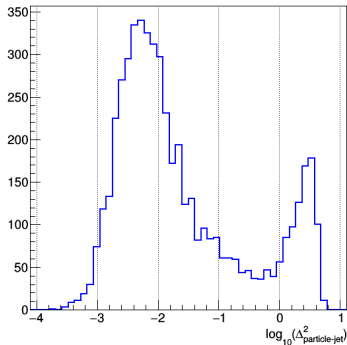
normalized to all decay channels

Event reconstruction

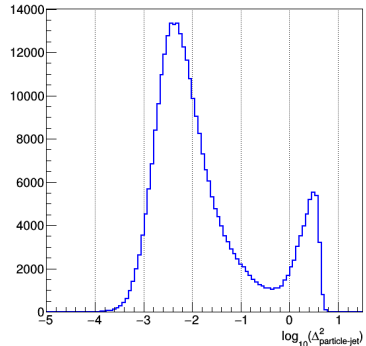
Jet matching

Distance between **particle level** jets and **detector level** jets

Signal events



Background ($t\bar{t}$) events

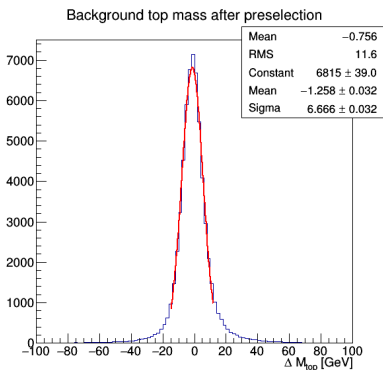
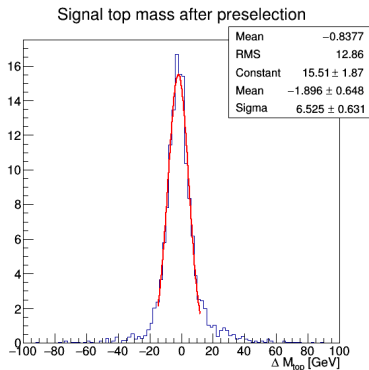


For most events reconstructed detector-level jets follow closely the particle level configuration...

Event reconstruction

Mass resolution

Difference between top candidate mass reconstructed on particle level and detector level (for events with good matching)



⇒ very good detector performance confirmed
 problem is most likely due to particle migrations between jets...