

# Ultrahigh vacuum is an ion's best friend

## Tips and tricks for the experimenter

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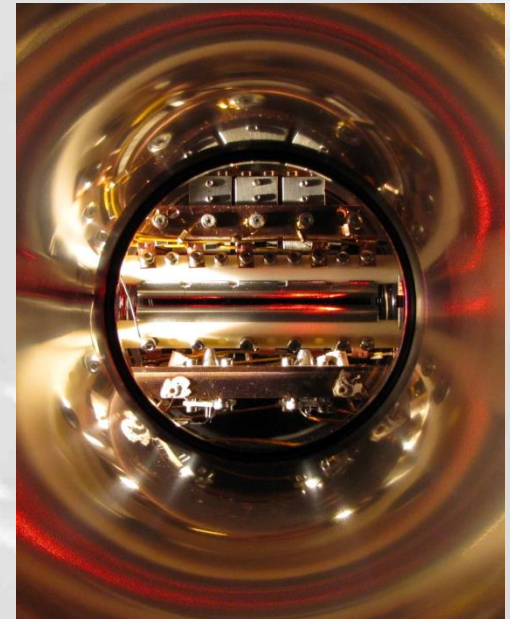
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# Physics with Trapped Charged Particles

Very few and minor modifications  
by Martina Knoop

# Outline

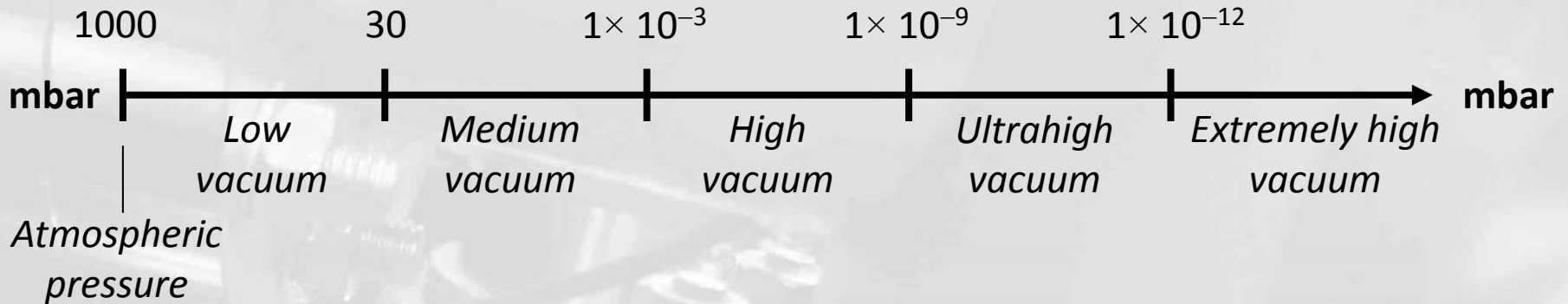
- Ultrahigh vacuum: what and why
  - no cryogenic UHV
- Producing UHV
  - Pumps
  - Outgassing, leaks and backflow
  - Bakeout
- Building UHV apparatus
  - Design rules
  - Leak detection
  - UHV compatible materials
- Keeping UHV
  - Pressure monitoring
  - Venting UHV without spoiling



# UHV: What and Why



# Vacuum pressure ranges



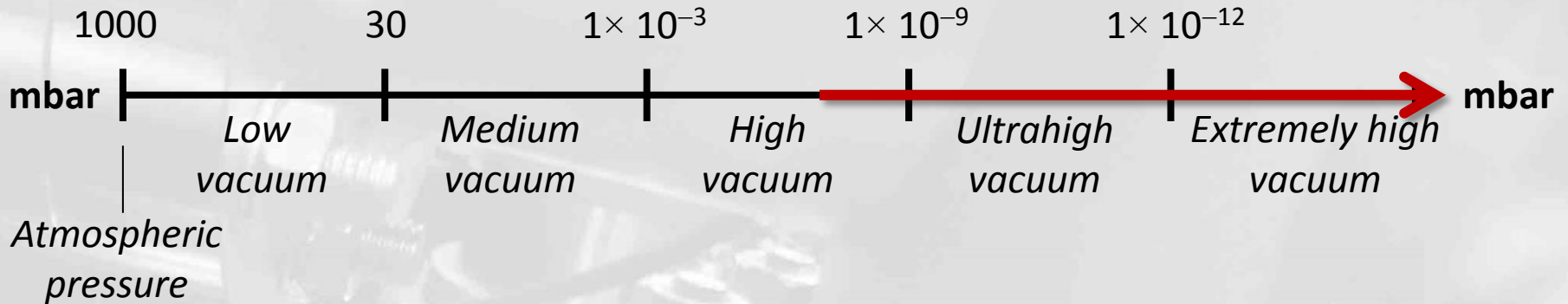
Commonly used pressure units:

**SI unit:  $1 \text{ N/m}^2 \equiv 1 \text{ Pa}$**  (use this for ideal gas law,  $p = n k_B T$ )

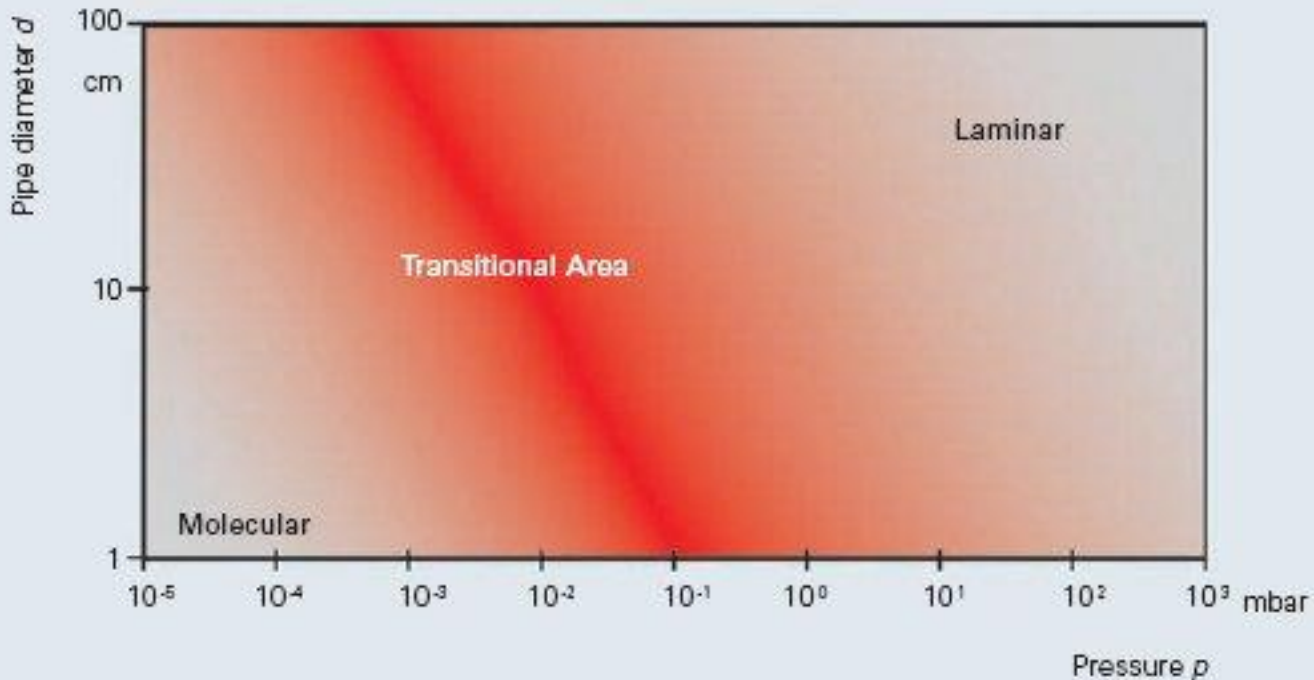
$1 \text{ mbar} = 100 \text{ Pa}$  ( $1 \text{ bar} \approx$  atmospheric pressure at sea level)

$1 \text{ Torr} = 133.3224 \text{ Pa}$  ( $\text{mm}_{\text{Hg}}$ )

# Vacuum pressure ranges



**Molecular flow regime: mean free path > apparatus dimensions**

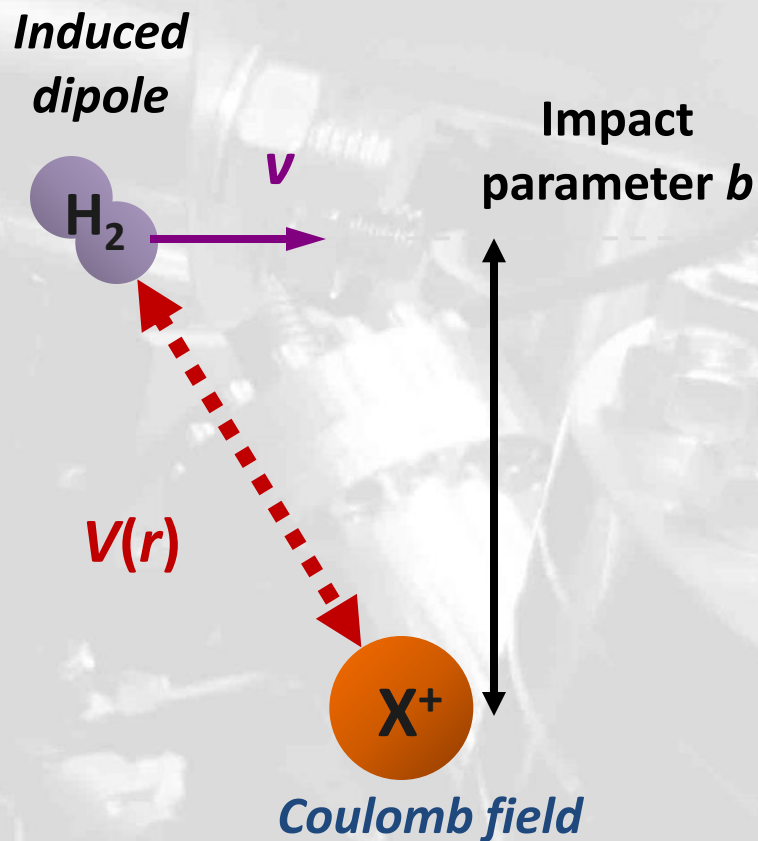


# Collisions: the good, the bad and the ugly

- **Collisions: trapped ion + neutral atom/molecule**
- Good collisions: when buffer-gas cooling, collisions with cold He (few Kelvin)
- Bad collisions: elastic collisions with room-T gas  
*e.g.*  $\text{Be}^+$  ion (1 mK) +  $\text{H}_2$  (300 K)  $\rightarrow$   $\text{Be}^+$  (121 K) +  $\text{H}_2$  (179 K)
  - Can lead to decoherence (QIP)
  - Can lead to frequency shifts (ion optical clocks)
- Ugly collisions: (unwanted) chemical reactions



# Example: Langevin collisions



**Long range:  $V(r) \sim -1/r^4$**

**$b \Leftrightarrow$  centrifugal barrier**

Critical  $b_c$  such that:

- $b > b_c$  glancing collision
- $b < b_c$  spiralling of neutral towards ion ("Langevin collision")
- At short range, energy transfer or chemical reaction may occur



# Collision rate & outcome

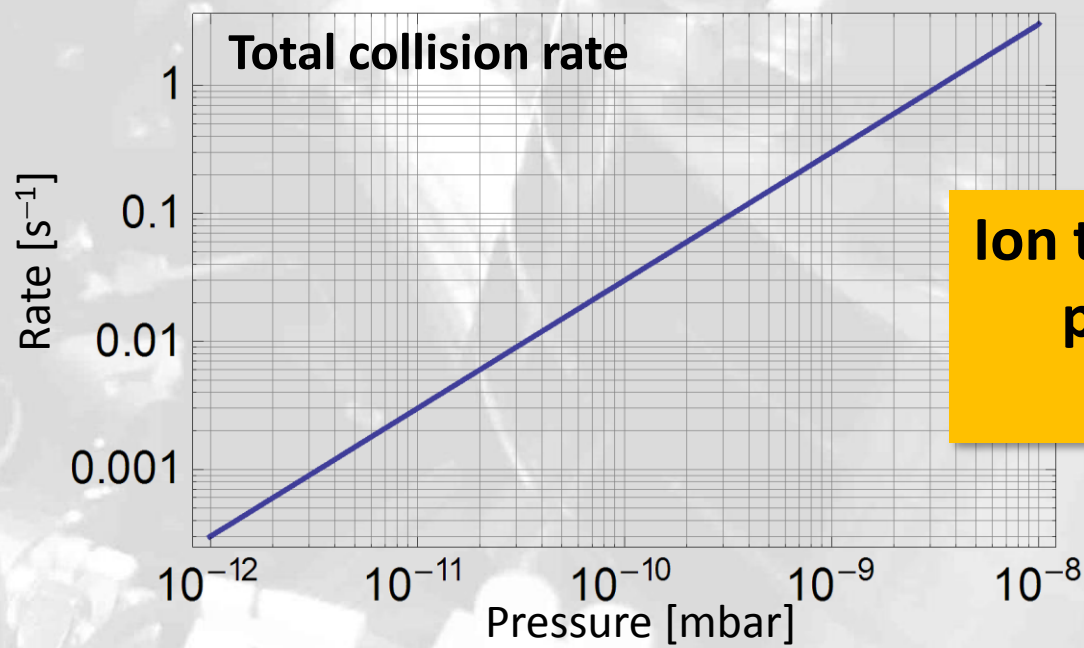
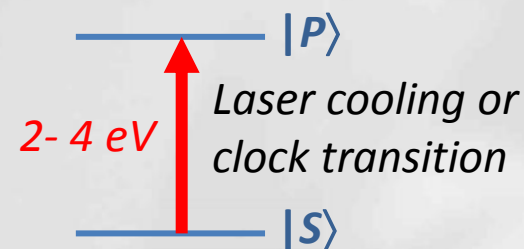
- Elastic collisions: trap heating or cooling
- Inelastic collisions:  $X^+ + H_2 \rightarrow XH^+ + H$

Requires 4.5 eV to break  $H_2$  bond

Releases  $\sim 2$  eV when forming  $XH^+$

$\Rightarrow$  Reaction endothermic by  $\sim 2$  eV

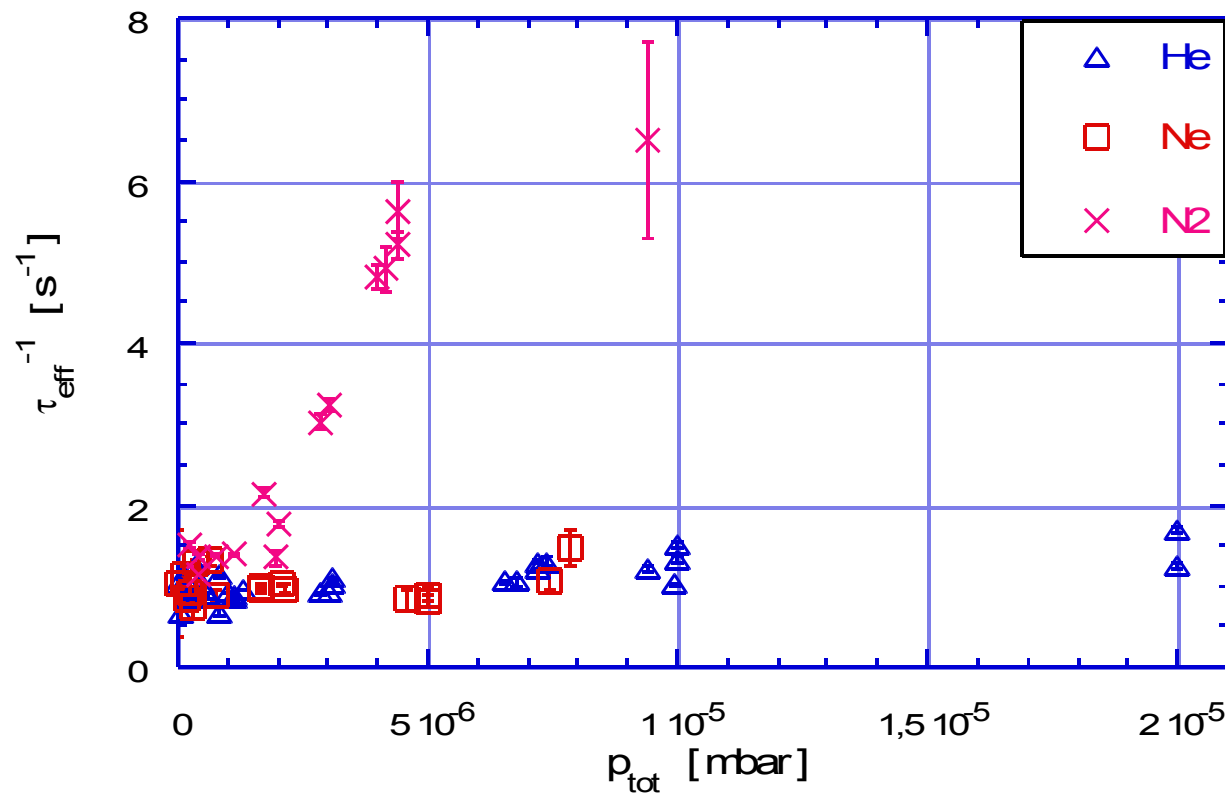
$\Rightarrow$  Ion in excited  $P$  state can provide energy to form  $XH^+$  ...



**Ion trap experiments require  
pressure  $\ll 10^{-9}$  mbar  
(UHV)**

\*See e.g. thesis B.E. King  
(NIST/CU, 1999)

# Quenching collisions



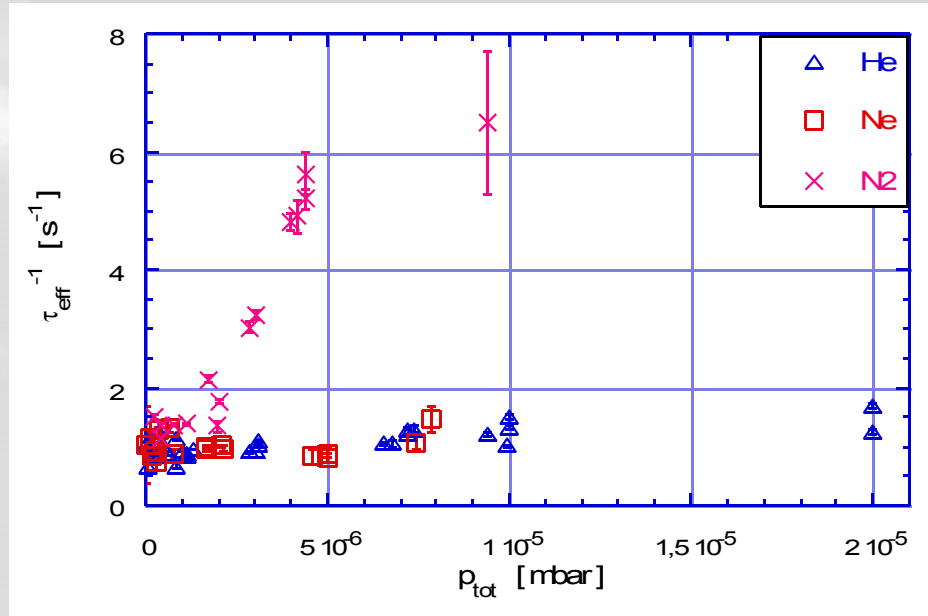
**Stern-Vollmer-plot**  
for Ca<sup>+</sup>, 3D<sub>3/2</sub>

$$\frac{1}{\tau_Q} = \frac{1}{\tau_{nat}} + \sum_i n_B^i \Gamma_Q^i$$

with  $i$  : H<sub>2</sub>, He, CH<sub>4</sub>, Ne, N<sub>2</sub>, O<sub>2</sub>, Ar, and CO<sub>2</sub>

*Collisional quenching and j-mixing rate constants for the 3D levels of Ca<sup>+</sup>,  
M. Knoop, M. Vedel, F. Vedel, Phys. Rev. A **58**, 264 (1998)*

# Quenching collisions



## Stern-Vollmer-plot

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TABLE I. Quenching rate constants for the 3D levels of Ca<sup>+</sup>.

Gas	m (amu)	$\alpha$ (10 <sup>-24</sup> cm <sup>3</sup> )	$\Gamma_Q$ (10 <sup>-12</sup> cm <sup>3</sup> s <sup>-1</sup> )	$k_L$ (10 <sup>-10</sup> cm <sup>3</sup> s <sup>-1</sup> )	$k_L/\Gamma_Q$
H <sub>2</sub>	2	0.804	37 ± 14	15.2	41 ± 15.5
He	4	0.205	1.05 ± 0.40	5.56	529 ± 201
CH <sub>4</sub>	16	2.593	54 <sup>+91</sup> <sub>-17</sub>	11.15	21 <sup>+35</sup> <sub>-6.5</sub>
Ne	20	0.396	0.9 ± 0.7	4.03	448 ± 348
N <sub>2</sub>	28	1.74	170 ± 20	7.61	4.5 ± 0.5
Ar	40	1.64	29.5 ± 17.0	6.70	23 ± 13

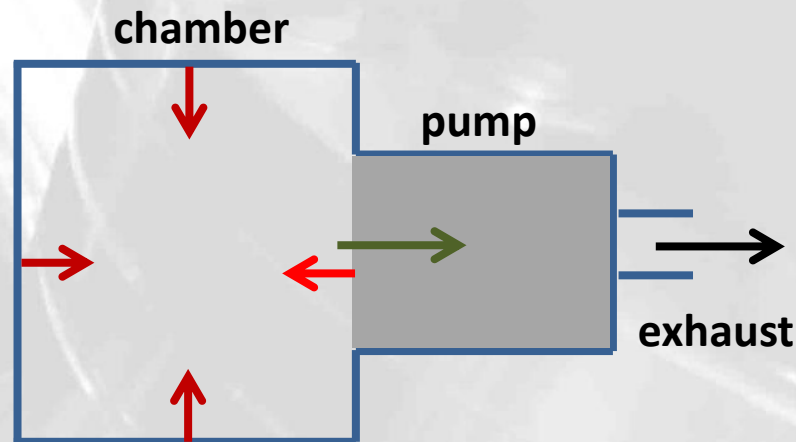
# Producing UHV



# Pressure and gas flows

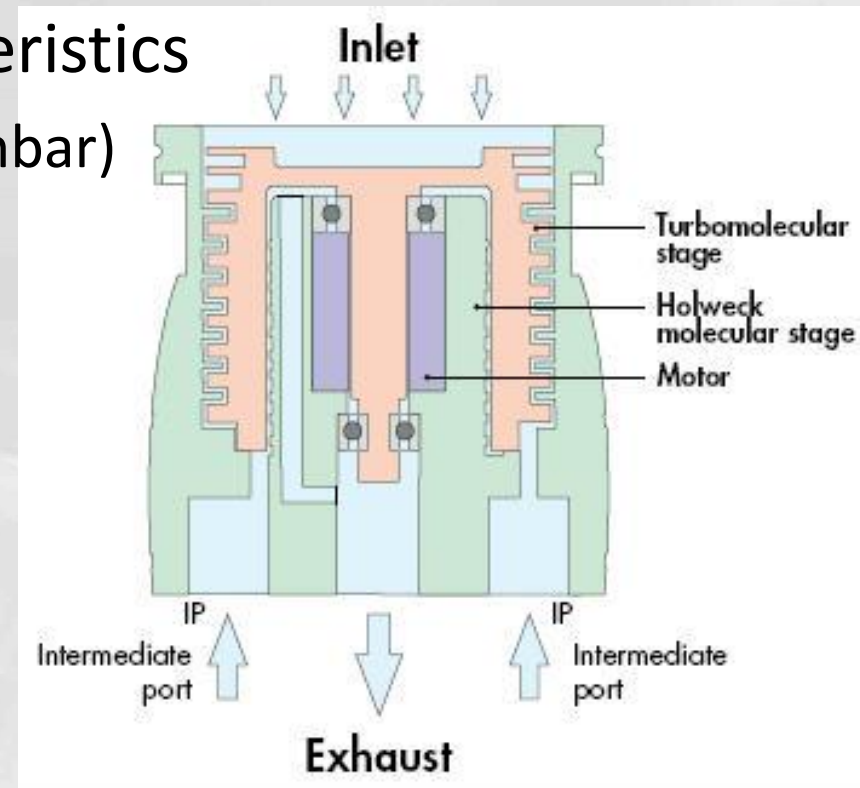
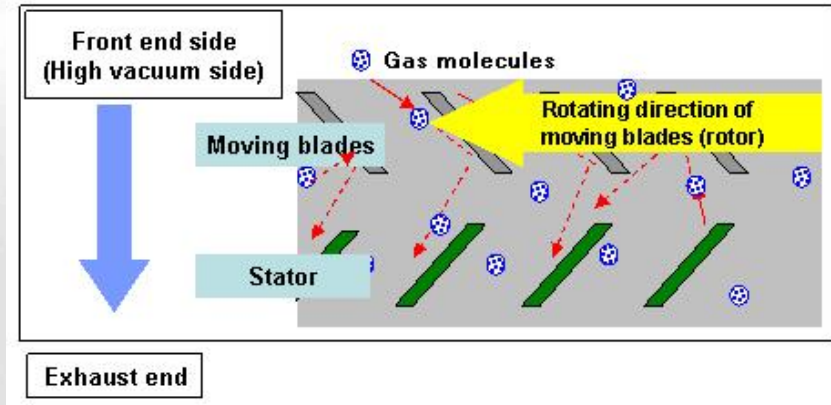
- Vacuum chamber pressure is determined by equilibrium between:
  - Pump speed/throughput
  - Backflow (through pump and leaks)
  - Outgassing from walls and *in vacuo* parts

*Note that outgassing can occur from inside the walls (the 'bulk')*

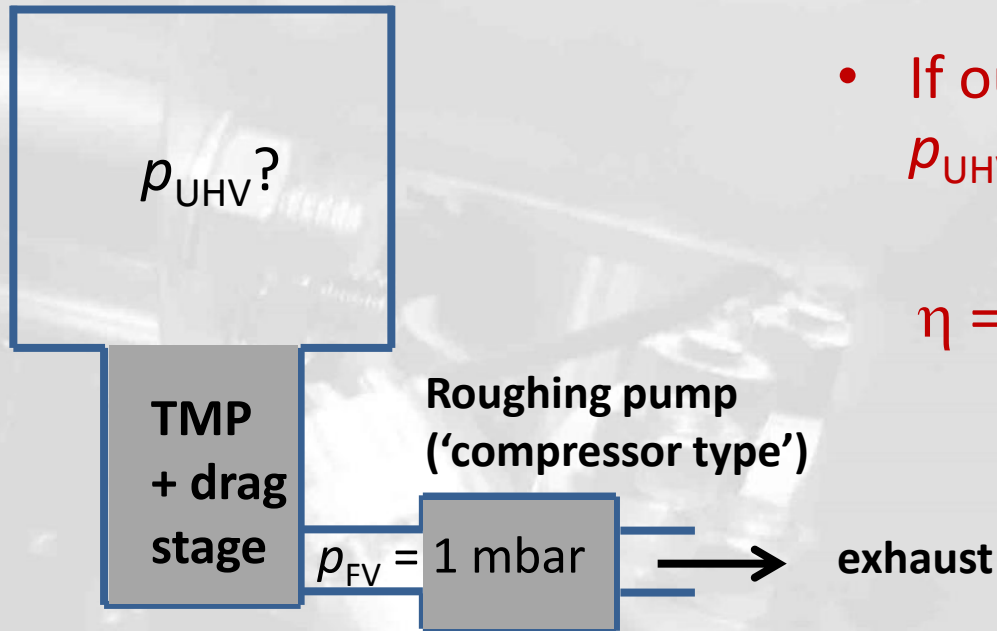


# UHV pump types

- Turbomolecular pump
  - Fast-spinning blades ‘knock’ incoming molecules out of main chamber
- Important features/characteristics
  - Requires roughing pump (1 mbar)
  - Pumping speed
  - Mesh to protect blades?
  - Compression ratio
  - Use turbo with Holweck (‘drag’) section!



# Example: TMP UHV chamber



- If outgassing negligible:

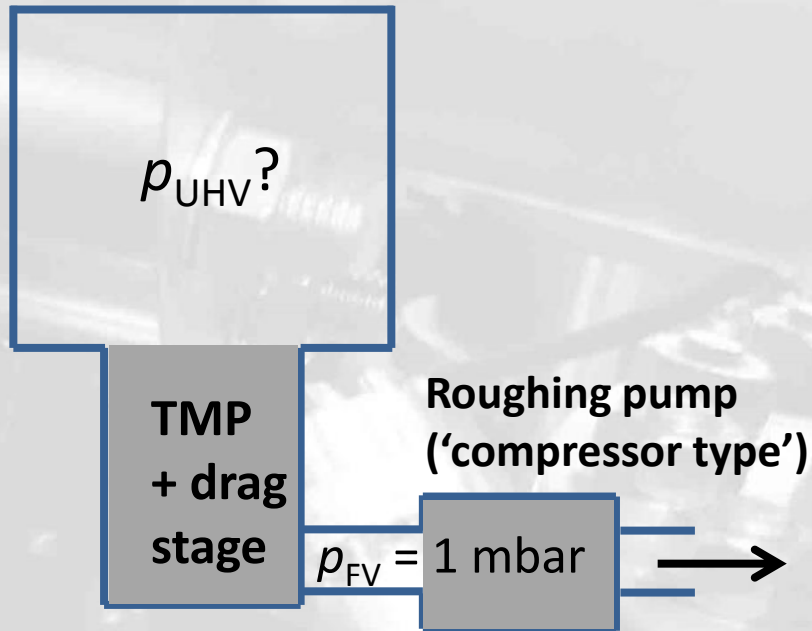
$$p_{\text{UHV}} = p_{\text{FV}} / \eta$$

$\eta$  = TMP compression ratio

Gas species	$\eta$	Partial $p_{\text{UHV}}$ ( $p_{\text{FV}} = 1 \text{ mbar}$ , atmospheric composition)	
$\text{N}_2$	$> 10^{11}$	$< 8 \times 10^{-12} \text{ mbar}$	78 %
Ar	$> 10^{11}$	$< 10^{-13} \text{ mbar}$	0.93 %
He	$3 \times 10^7$	$2 \times 10^{-13} \text{ mbar}$	0.00052 %
$\text{H}_2$	$4 \times 10^5$	$1.4 \times 10^{-12} \text{ mbar}$	0.000055%



# Example: TMP UHV chamber



- ~~If outgassing negligible.~~  
 ~~$p_{\text{UHV}} = p_{\text{FV}} / \eta$~~

- Typically,  $\text{H}_2$  outgassing dominates UHV
- $\text{H}_2$  accumulates in 1 mbar forevacuum, backflow to UHV at sub- $10^{-10}$  mbar level

Solution: use better roughing pump:  
e.g. scroll pump, or small intermediate TMP  
( $p_{\text{FV}} < 10^{-2} \text{ mbar}$  should do)

Gas species	$\eta$	Partial $p_{\text{UHV}}$ ( $p_{\text{FV}} = 1 \text{ mbar}$ , atmospheric composition)	
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# When to use a TMP?

- High gas loads (*e.g.* He buffer gas cooling)
- High noble gas loads

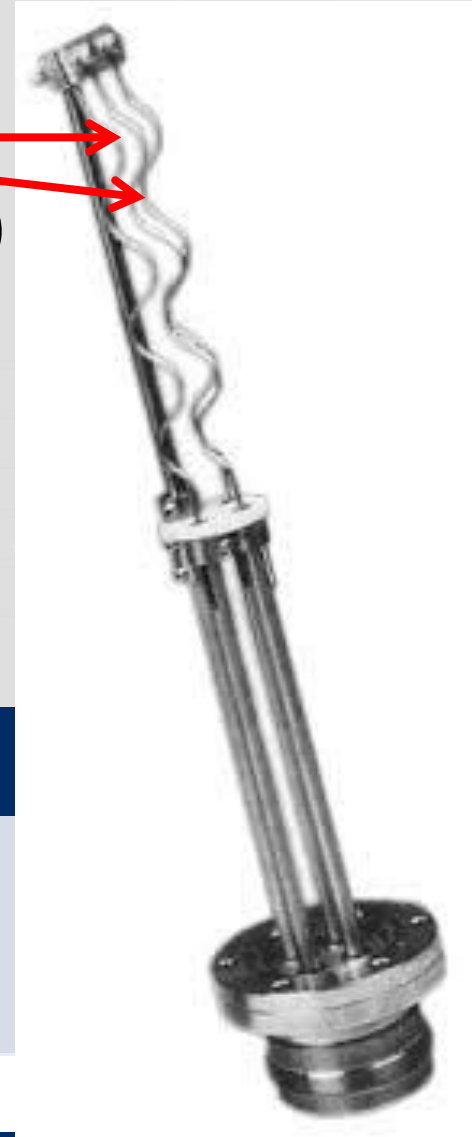
# When not to use a TMP?

- If vibrations cannot be tolerated
- If setup needs be transportable under UHV without current supply

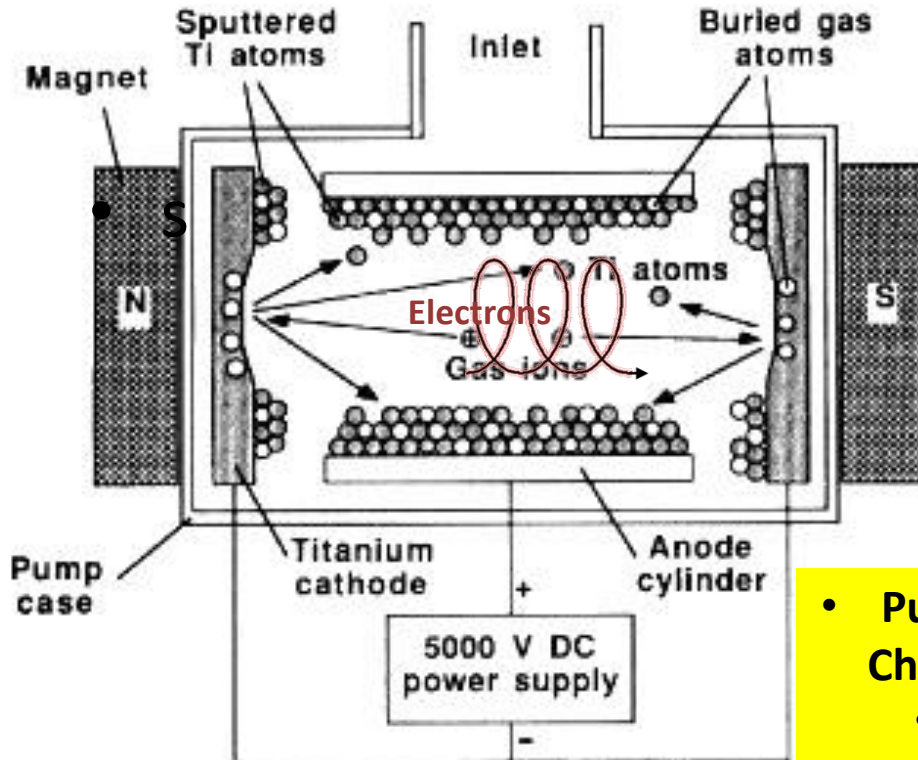
# Titanium sublimation pump (TSP)

- Filaments plated with titanium
- In vacuum, fire filaments (ohmic heating)
- Ti sublimates and covers walls
- Ti layer acts as getter material
- Improve pump speed by cooling**  
(use cryoshield)

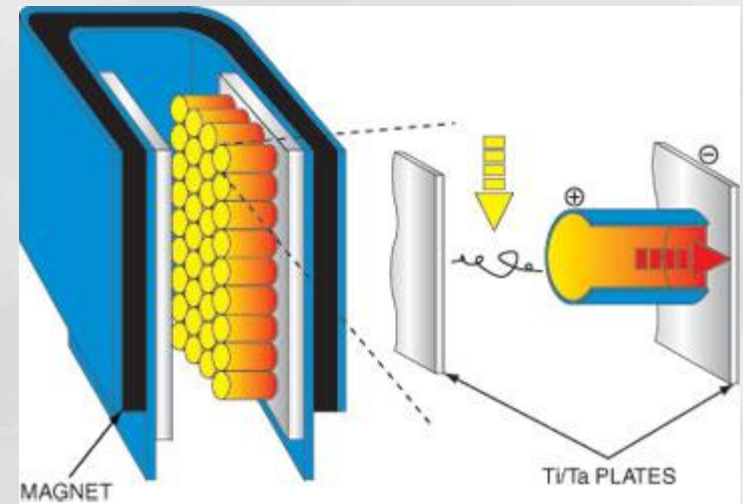
Gas Species	Pumping Speed in $\text{ls}^{-1}\text{cm}^{-2}$						
	$\text{H}_2$	$\text{N}_2$	$\text{O}_2$	$\text{CO}$	$\text{CO}_2$	$\text{H}_2\text{O}$	$\text{CH}_4$
+20°C	3	4	9	9	8	3	0
-196°C	10	10	11	11	9	14	0



# Ion getter pump



*Use multiple 'cells' to increase area*



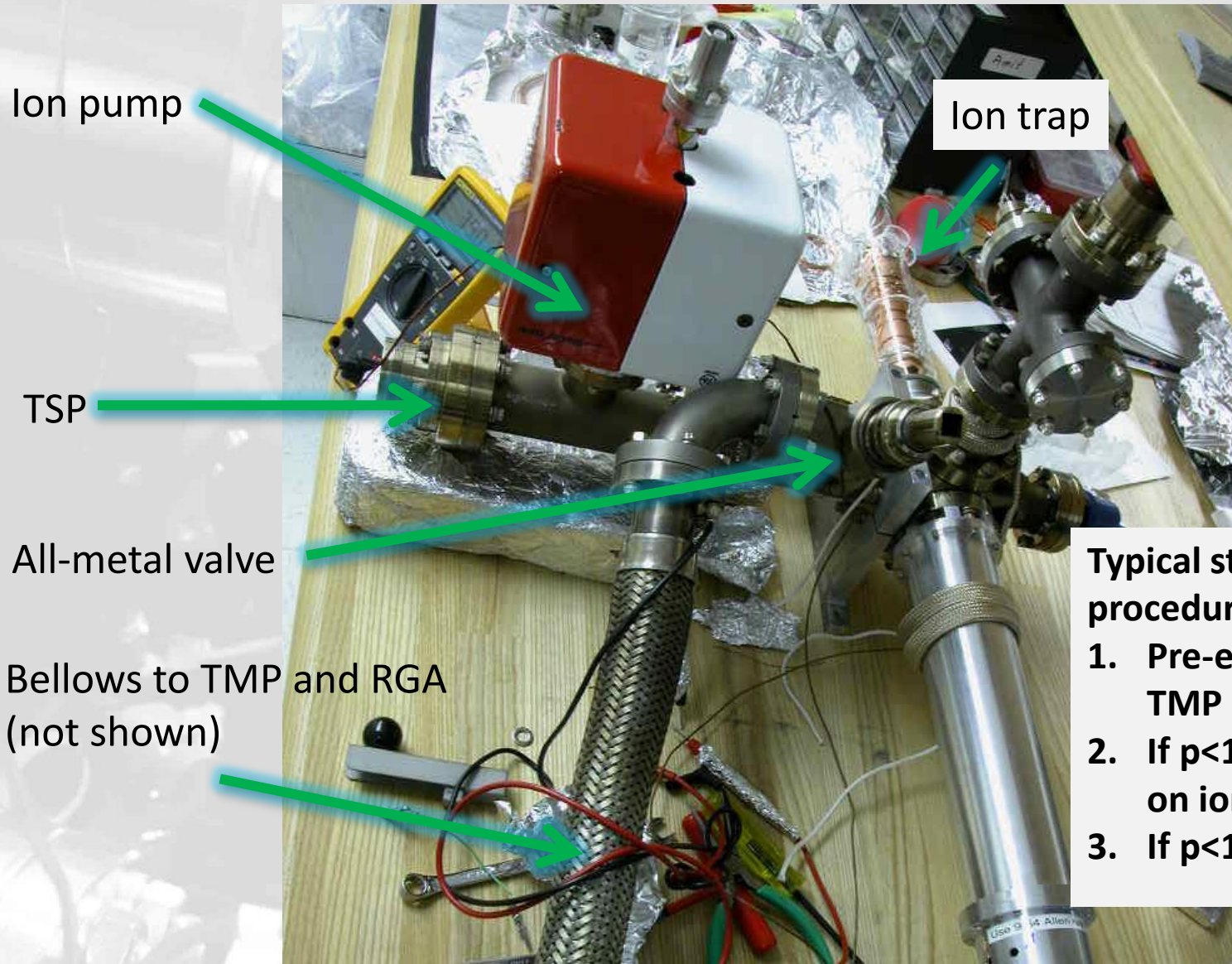
- Pumps with different getter material (Ti, Ta) exist, Choice depends on background gas composition
  - 'All-round' pump: triode (StarCell) pump

<http://www4.nau.edu/microanalysis/Microprobe-SEM/Instrumentation.html>

- Electrons in a Penning trap, fed by HV discharge
- Electrons ionize background gas; fragments are attracted to and strike cathode to:
  - Get stuck forever
  - Sputter cathode 'getter' material (often Ti) on walls of pump, which adsorbs neutral gas constituents



# Typical pump arrangement (2<sup>nd</sup> Al<sup>+</sup> trap NIST 2005)



**Typical start-up procedure:**

1. Pre-evacuate using TMP + roughing pump
2. If  $p < 10^{-6}$  mbar, switch on ion pump
3. If  $p < 10^{-8}$  mbar, fire TSP

# Outgassing and bakeout

- You close and evacuate the vacuum chamber for the first time, and the pressure doesn't drop below ...  
...  **$10^{-5}$  mbar** (*did you clean any of those parts at all??*)



# Outgassing and bakeout

- You close and evacuate the vacuum chamber for the first time, and the pressure doesn't drop below ...
  - ...  **$10^{-5}$  mbar** (*did you clean any of those parts at all??*)
  - ...  **$10^{-7}$  mbar** (*don't worry, this is normal – it's just water, it sticks to the walls because it is polar*)



- Desorption rate of water adsorbed to the walls\*:

$$\Gamma \propto A \nu \exp(-E_a/k_B T)$$

where  $A$  = wall surface area

$\nu$  = vibrational frequency of 'bound'  $\text{H}_2\text{O}$  molecule

$E_a$  = activation energy needed for desorption

At 300 K/ $10^{-7}$  mbar, virtually no change in abundance of  $\text{H}_2\text{O}$

$\Rightarrow$  *no change in pressure...*

\*Somorjai, Gabor A.; Li, Yimin (2010).



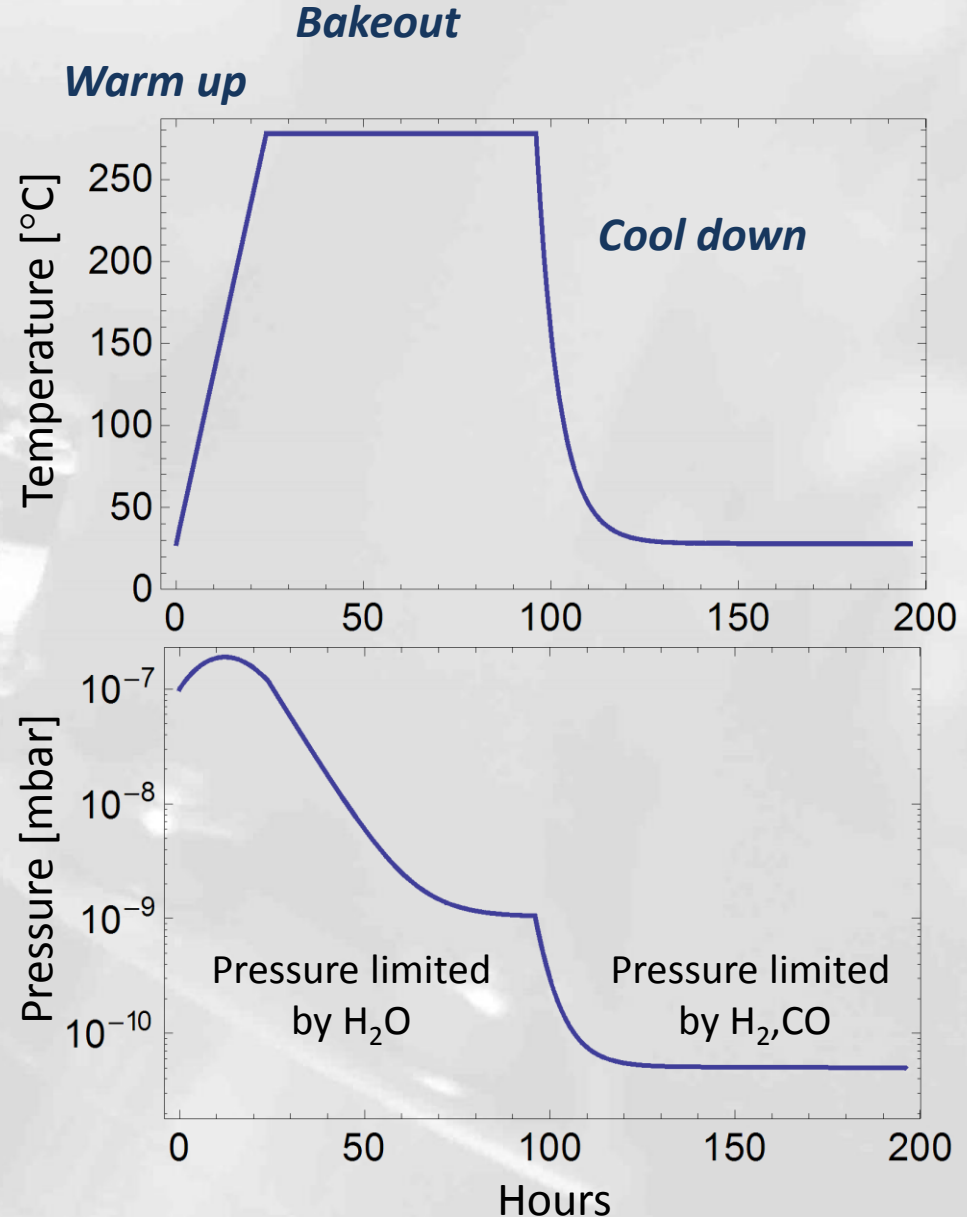
# Bakeout

... but at elevated temperatures, desorption rate increase exponentially!

**Bakeout:** increase  $T$  to 200 – 400 °C, remove  $\text{H}_2\text{O}$

After cooling down:  
 $\text{H}_2$ , CO, ... remain (larger  $E_a$ )

*Note: above 400 °C,  $\text{H}_2$  diffuses from atmosphere through steel walls faster than you can desorb*



# Additonal strategies

- Air bake chamber at  $T = 200 - 400^\circ\text{C}$  (steel will oxidize, forming an additional barrier for  $\text{H}_2$  in bulk), followed by vacuum bakeout

$\Rightarrow$  Reduce  $p$  from  $10^{-11}$  to  $<10^{-12}$  mbar

- Use in-vacuum IR heaters, or UV lamps (photodesorption)

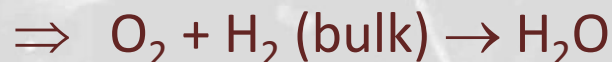
Table I.  $\text{N}_2$ -equivalent outgassing rates of stainless steel and aluminium obtained after a vacuum bakeout at 100 and  $400^\circ\text{C}$ , and after a 2 h bakeout in air at atmospheric pressure followed by a 18 h vacuum bakeout at the same temperature (bakeout time = 20 h).

	Outgassing rates in units of $10^{-13}$ Torr liters/sec $\text{cm}^2$ ( $\text{N}_2$ -equiv.)			
	Vacuum bakeout		Air bakeout	
	100 $^\circ\text{C}$	400 $^\circ\text{C}$	100 $^\circ\text{C}$	400 $^\circ\text{C}$
Stainless steel	10	6	1	0.4
Aluminium	0.4	—	0.28	—

G. Moraw & R. Dobrozemsky, Proc. 6th Intl Vac. Congr. (1974)

- Allegedly the following should work as well (no experience myself):

With chamber at  $T \approx 100^\circ\text{C}$ , fill with oxygen



(switch off pressure gauges!!)

Remove  $\text{O}_2$ , increase  $T > 200^\circ\text{C}$ , remove  $\text{H}_2\text{O}$

# Building UHV apparatus



# Sealing

- Connecting nipples, chambers etc. without leaks requires **sealing**, for example:
  - KF (quick flange): rubber/Viton O rings; use only for medium and high vacuum (*e.g.* forevacuum)
  - Viton: bakeable to 140°C, rubber not...



## Hammer test:

### How to distinguish between Viton & rubber?

Land hammer on ring

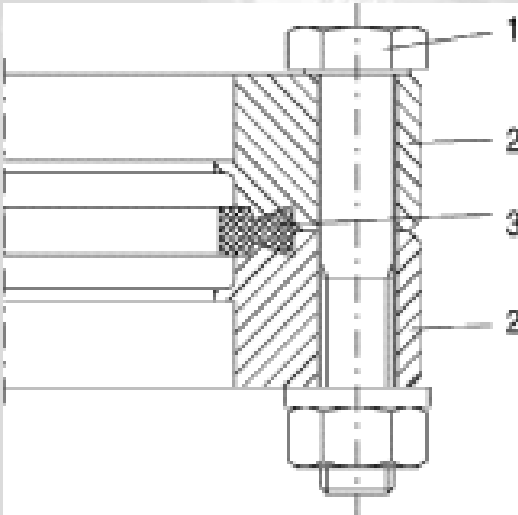
Bounce back?  $\Rightarrow$  Rubber

Impact absorbed by ring?  $\Rightarrow$  Viton

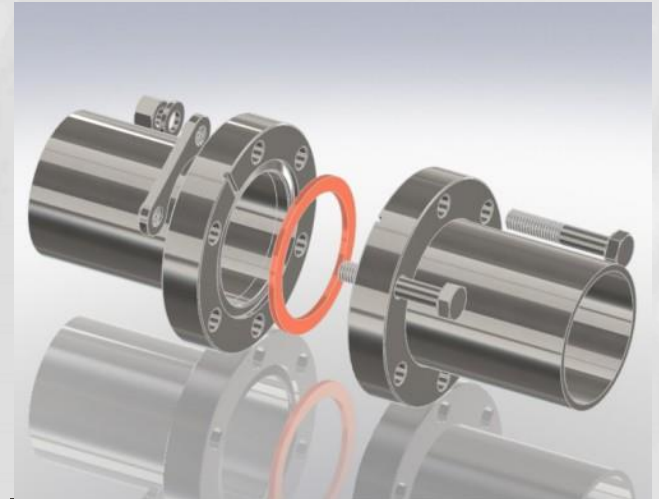


# UHV sealing

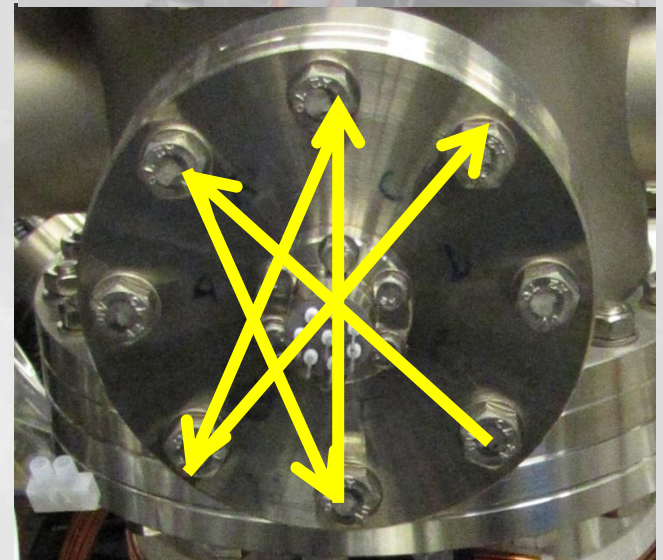
- CF (ConFlat): copper gasket clamped between knife edges, UHV seal



1. Flange bolt
2. CF flange
3. Copper gasket



***Tighten screws in a zig-zag star pattern:***



# Use of vacuum grease

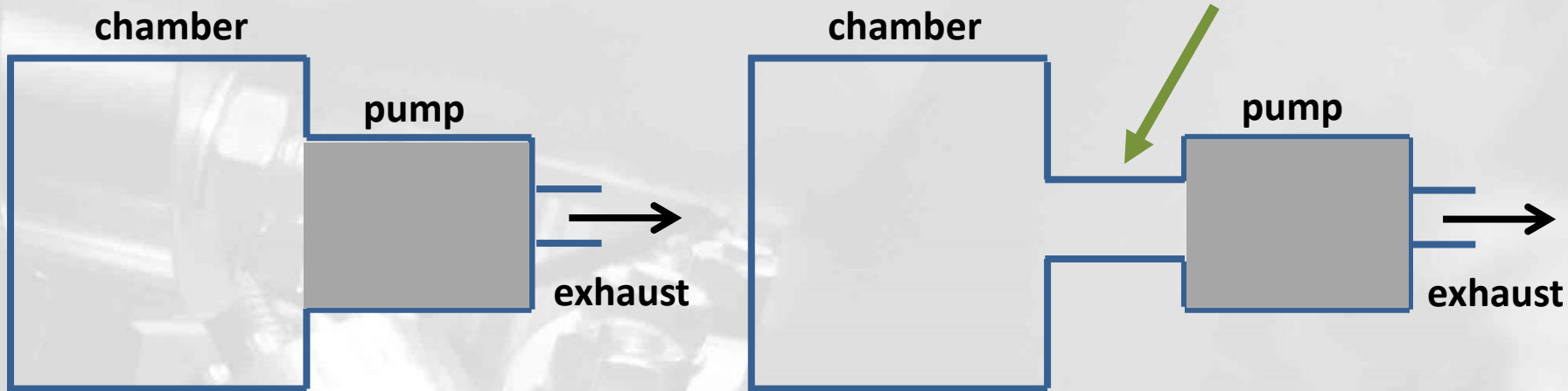


- very low vapour pressure (a few  $10^{-12}$  mbar @ 25°C)
- use for lubrication (vacuum seals)
- do not bake-out
- do not use in uhv (except cryogenic)
- creep?
- high positron annihilation cross section



# UHV chamber design

- Pump speed should not be reduced by **flow resistance**



- Molecular flow regime: gas flow (throughput) and pressure are essentially governed by Kirchhoff's laws for electrical circuits:

$$(V_i - V_f) = I R$$

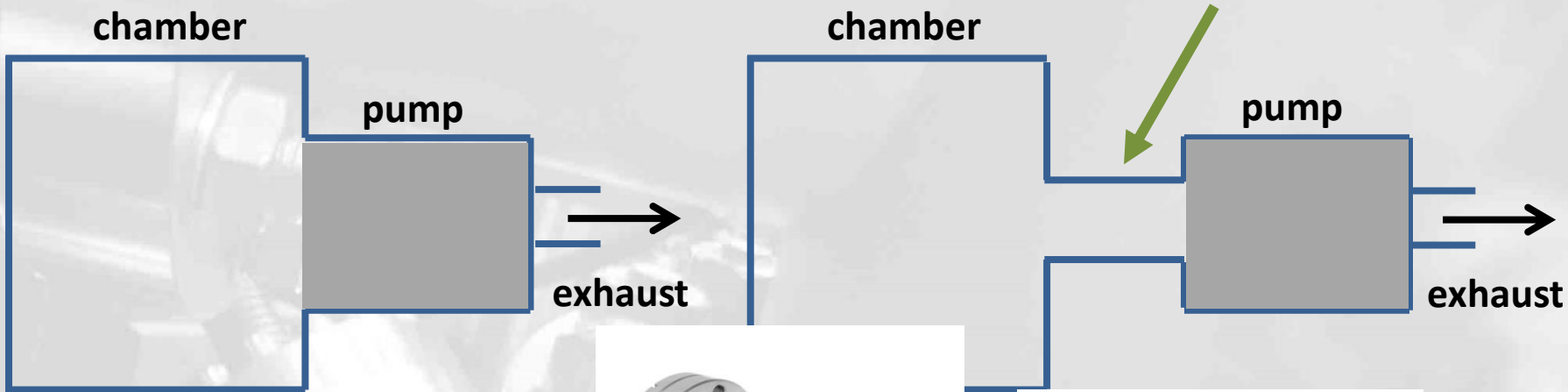
$$(p_i - p_f) = \underset{\substack{\uparrow \\ [\text{mbar l/s}]}}{q} W = q \times \underset{\substack{\uparrow \\ [\text{l/s}]}{(1/L)}$$

- Conductivity  $L$  scales intuitively, and standard expressions readily available

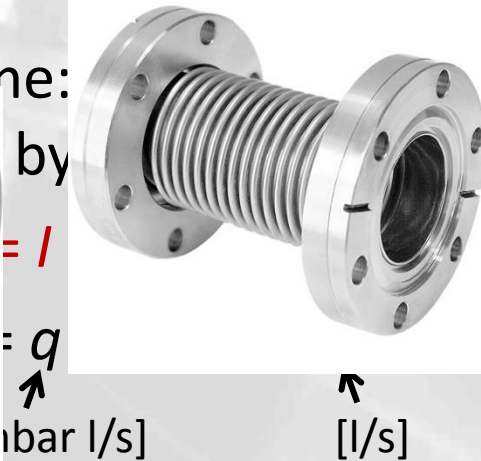


# UHV chamber design

- Pump speed should not be reduced by **flow resistance**



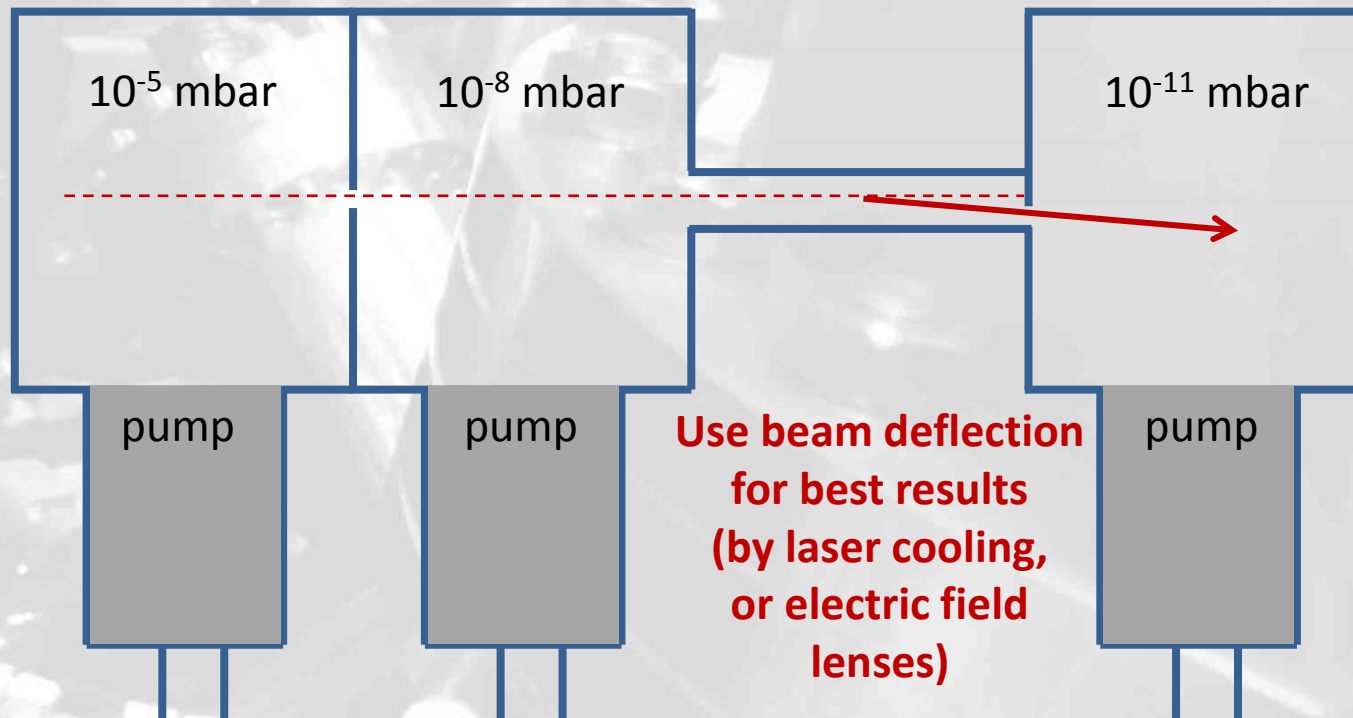
- Molecular flow regime:  $q = \frac{L}{4\pi r^2} \frac{dp}{dz}$  by  $L$  for  $L \ll r$  are  $s$ :



- Conductivity  $L$  scales intuitively, and standard expressions readily available

# Differential pumping

- Some vacuum setups necessarily deal with large pressure differences
  - E.g. ion production in atomic/molecular beam, transfer to UHV
- Use differentially pumped vacuum stages



# Leak detection

- Occur most often at seals and glass-metal transitions
- Acetone test: spray acetone and look for pressure changes in either direction
  - Local thermal shock (due to evaporating acetone); leak can either get better or worse (lower or higher  $p$ )
  - Acetone can 'seal' the leak, or creep through it (lower or higher  $p$ )
- leak detection with soap water --> look for bubbles in a pressurized vessel

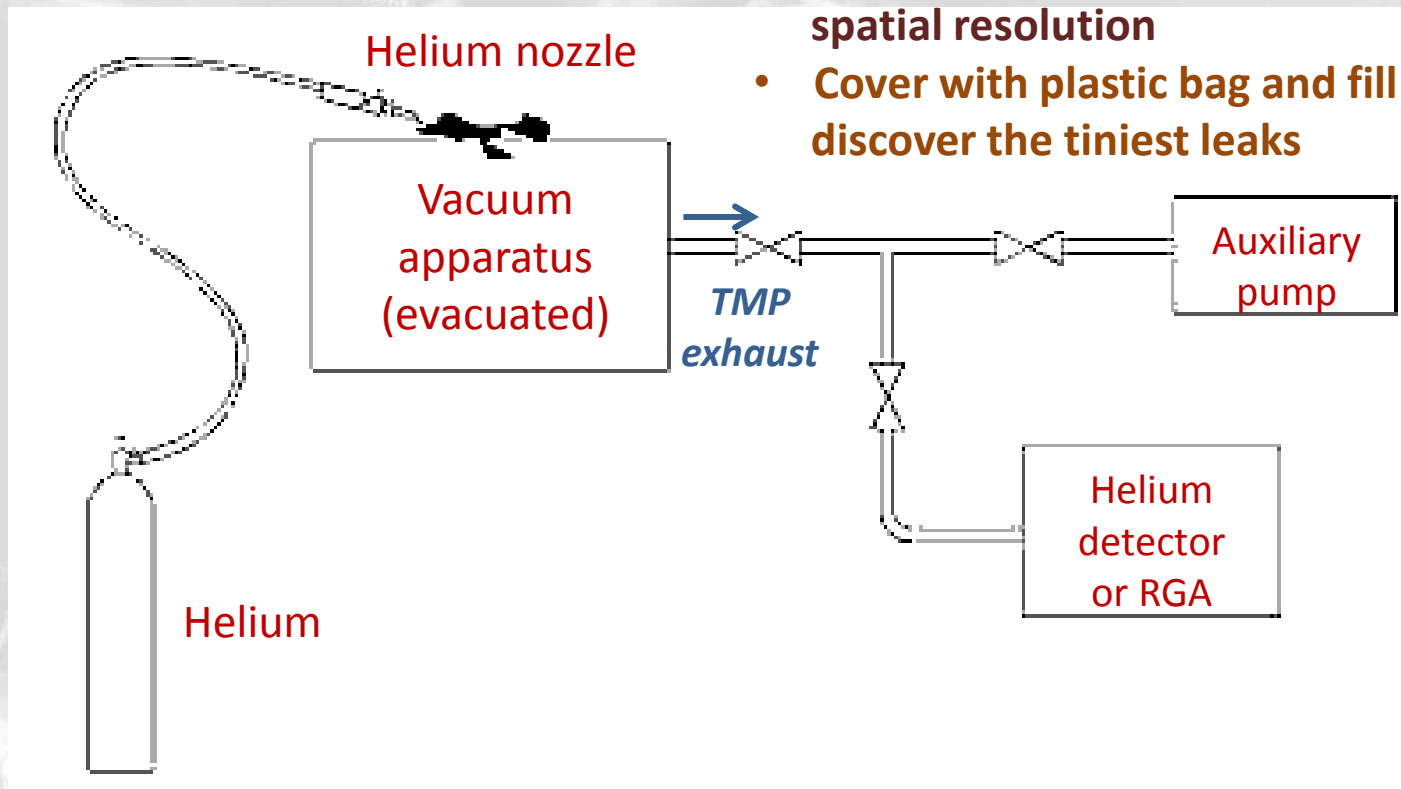
# Leak detection (2)

Helium leak detection: high sensitivity, down to  $10^{-11}$  mbar l/s

Example:  $5 \times 10^{-11}$  mbar l/s leak combined with 100 l/s pump

$$\Rightarrow p = 5 \times 10^{-13} \text{ mbar}$$

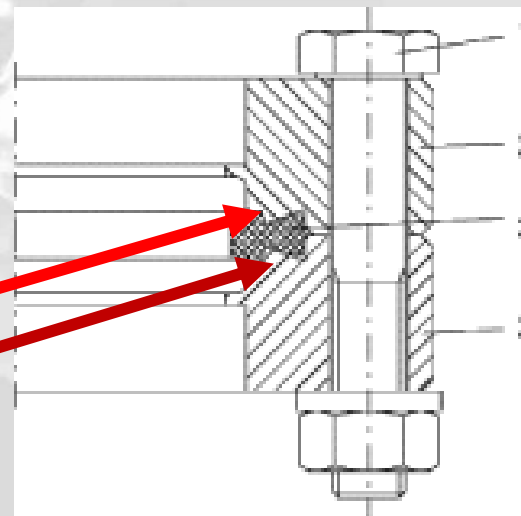
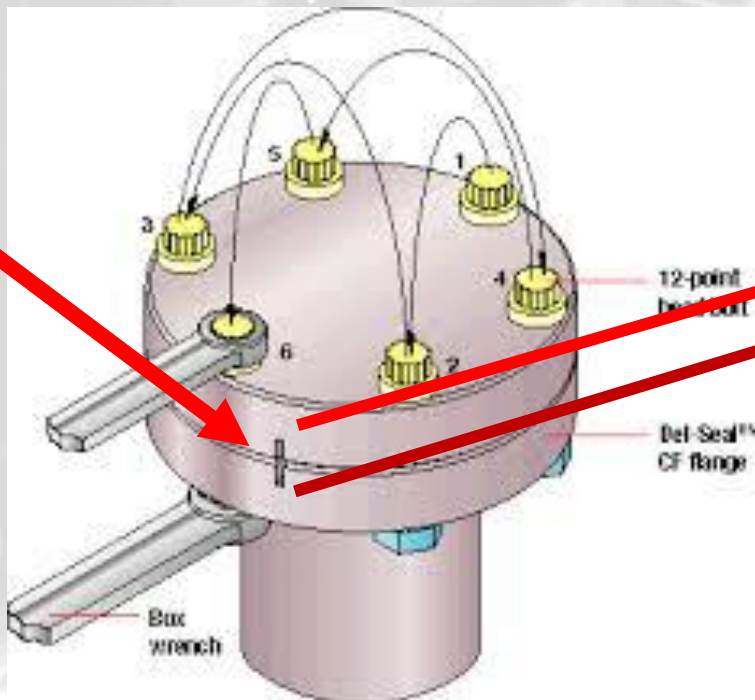
- Start at the top of your setup (He floats upward!)
- Locally spray small amounts of He for best spatial resolution
- Cover with plastic bag and fill with He to discover the tiniest leaks



# Oh yeah, about connecting CF flanges...

- It seems correct to mount CF flanges with the slots on the side facing each other

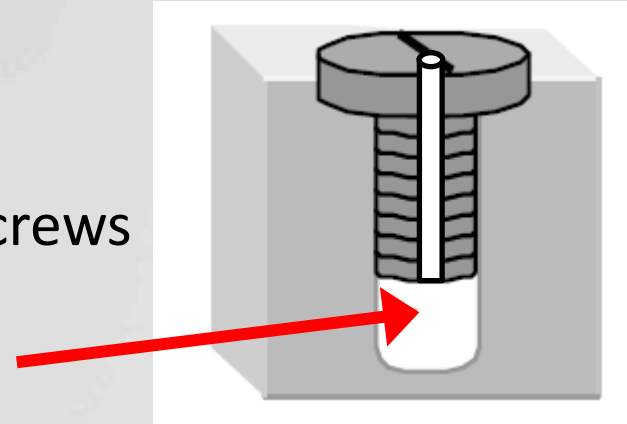
**... but it is not**



**Better to rotate flange so that slots are far apart – allows detecting leaks on individual sides of copper gasket!**

# Vacuum parts

- Avoid virtual leaks due to trapped air (*e.g.* in blind tapped holes); use vented screws or through holes
- Same applies for welding
- Clean parts thoroughly! For example:
  - Ultrasonic bath in water and dish-washing detergent or Alconox
  - Rinse with demi water and ultrasonic bath
  - Rinse with ethanol and ultrasonic bath (ethanol dissolves water)
  - Rinse with pure (HPLC-grade) acetone and ultrasonic bath
  - Rinse with pure (HPLC-grade) isopropanol and ultrasonic bath
  - Use cleanroom grade tin/alu foil, NOT the supermarket stuff (contaminated with organic grease)
  - Use cleanroom lint-free paper or towels
  - Use clean, powder-free gloves throughout
  - Use clean tools, and wrap hand grips in clean alu foil
  - Keep dust away, don't breathe/cough/spit on your parts





# UHV feedthroughs

- Mechanical (motional) feedthroughs exist
- Fiber-optic feedthroughs exist
- Electrical feedthroughs: pay attention to
  - Electrode material: max. current rating (steel vs. copper), max. voltage rating, magnetic properties (nickel)
  - Trap RF feedthrough: max. voltage rating is specified for DC, this can differ greatly from performance at RF (10 – 100 MHz)!





# UHV compatible materials

- If uncertain, check vapor pressure curves!
- Check outgassing properties at NASA or ESA websites

Google e.g. "NASA outgassing"

- In recent years, many UHV-compatible materials have been discovered by ion trapping community
- Too many to list here, but sufficient to say that UHV-compatible **solders**, **epoxies**, **dielectrics** do exist!
- Ask someone of the larger ion trapper research groups

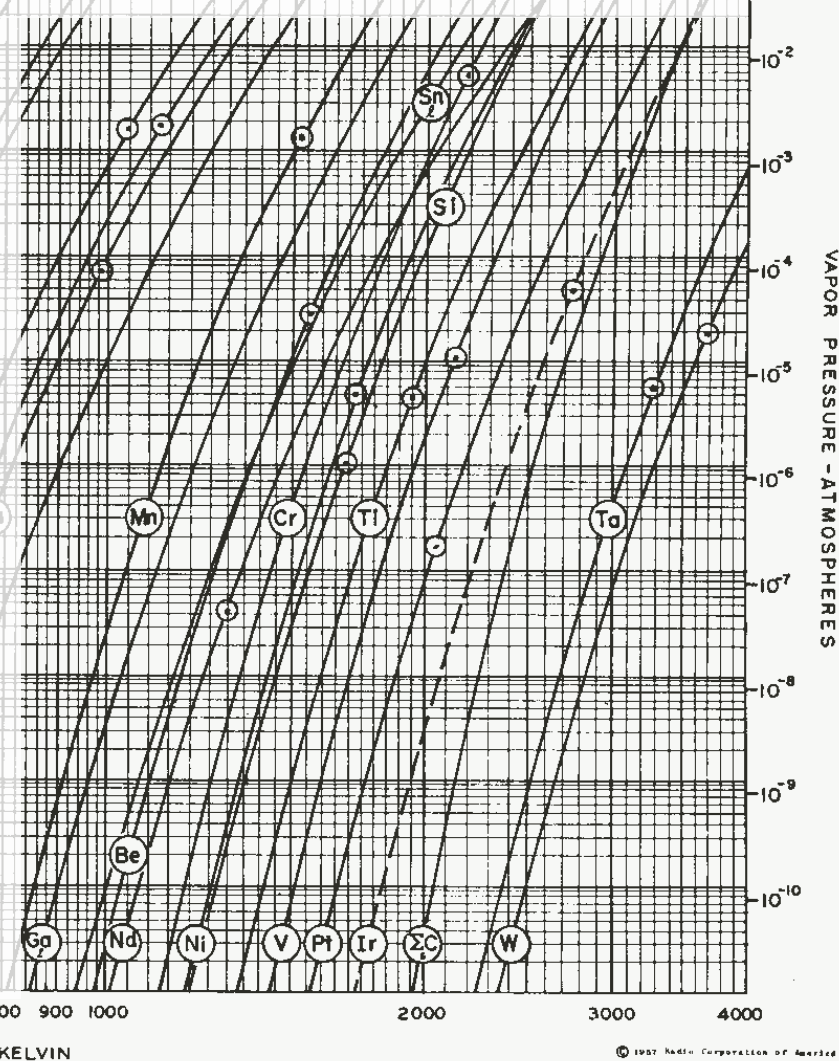


Figure A1(b). Vapor pressure curves for the more common elements (cont.). After Honig (Ref. 5:14). (Courtesy RCA Laboratories.)

# Preparing for bakeout:

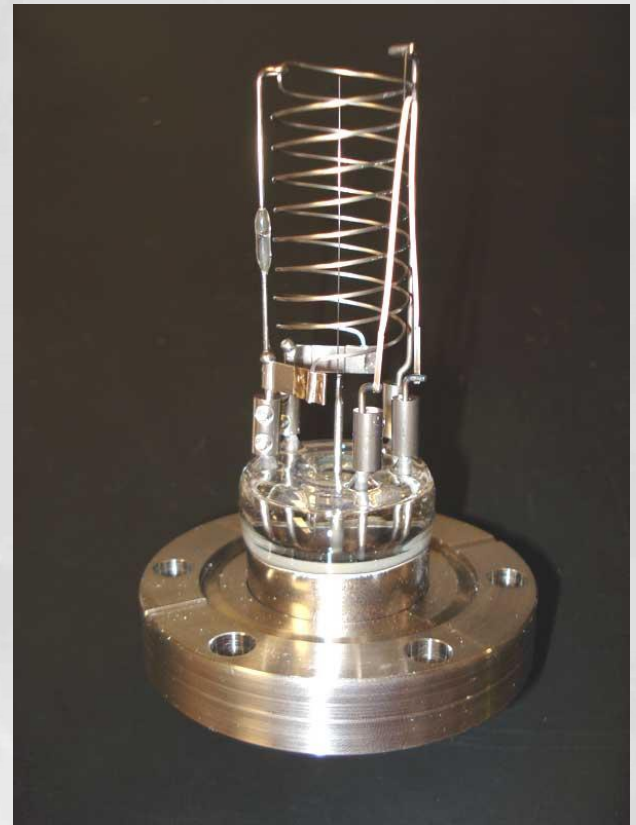
- Use multiple temperature sensors to monitor  $T$  gradients
- Use several independent heaters to minimize  $T$  gradients
- Avoid cold spots: desorbed gas will condense back onto them and slow down/stall gas removal
- Avoid hot spots near glass-to-metal transitions and CF flanges (leaks may appear & bolts may loosen up - spontaneously!)
- Tip: build an 'oven' with reflective walls (multiple layers of tin/alu foil), use radiative heaters and oven fan to circulate air
- Keep pressure gauge/ion pump/TSP cables out of hot zone
- Oven, e-gun feeds: use Kapton wire or bare copper wire insulated by ceramic beads
- Connect TSP & ion pump controller cables, keep access to all-metal valve to TMP inlet

# During bakeout

- Avoid gradients at glass-metal, ceramic-metal transitions! (rule of thumb:  $\Delta T < 15$  K)
- Better be patient when increasing  $T$  ( $< 15$  K/hr)
- Keep record of bakeout stats
- Once pressure  $< 10^{-6}$  mbar: close inlet TMP, and switch on ion pump (if applicable)
- After pressure leveled off ( $\sim 10^{-9}$  mbar), decrease  $T$  somewhat ( $< 150^\circ\text{C}$ ) and degas e-guns, ovens:
  - Run overnight at  $\sim 75\%$  of nominal operating current
- **At same  $T$ : fire TSP filaments to degas filaments, and to deposit Ti sticky layer**

# UHV pressure monitoring

- UHV Bayard-Alpert gauge  
( $10^{-4}$  –  $10^{-12}$  mbar range)
- Ionization of background gas constituents & current measurement
- **Note: different calibrations for different gas species**
- **Observe vacuum conductance towards gauge**
- **After exposure to high pressure: degas filaments**
- **Electric charging by nearby gauge has been observed – better to keep distance/use shielding between gauge and ion trap, EM detectors, ...**



**Pressure >  $10^{-4}$  mbar:  
use Pirani-type gauge**

# Venting your UHV apparatus

- *Oh noes – something broke down and can't be fixed without opening the UHV chamber... now what?*
- Do NOT vent with air – use dry nitrogen or pure argon or helium instead
  - Pores/holes in metal walls will be filled with the first atoms/molecules that get there – and  $N_2$  is way easier to remove afterwards than  $H_2O$ !
  - Purge chamber with dry  $N_2$  while it is open
  - UHV may be restored after pumping down and/or after a mild (70 - 90°C) bakeout



# Some good venting advice...

- Use a pressure indicator to avoid overpressure ( $>1$  atmosphere) – glass and ceramics are often constructed to withstand overpressure from outside, NOT from inside
- A manometer or even a simple rubber balloon may do the trick...





# Credits

- Thanks to all vacuum experts we have known at:
  - VU University Amsterdam (Netherlands)
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  - NIST Boulder (USA)
  - H.-H. University Düsseldorf (Germany)



Agilent website (former Varian Inc.)  
Pfeiffer Vacuum website