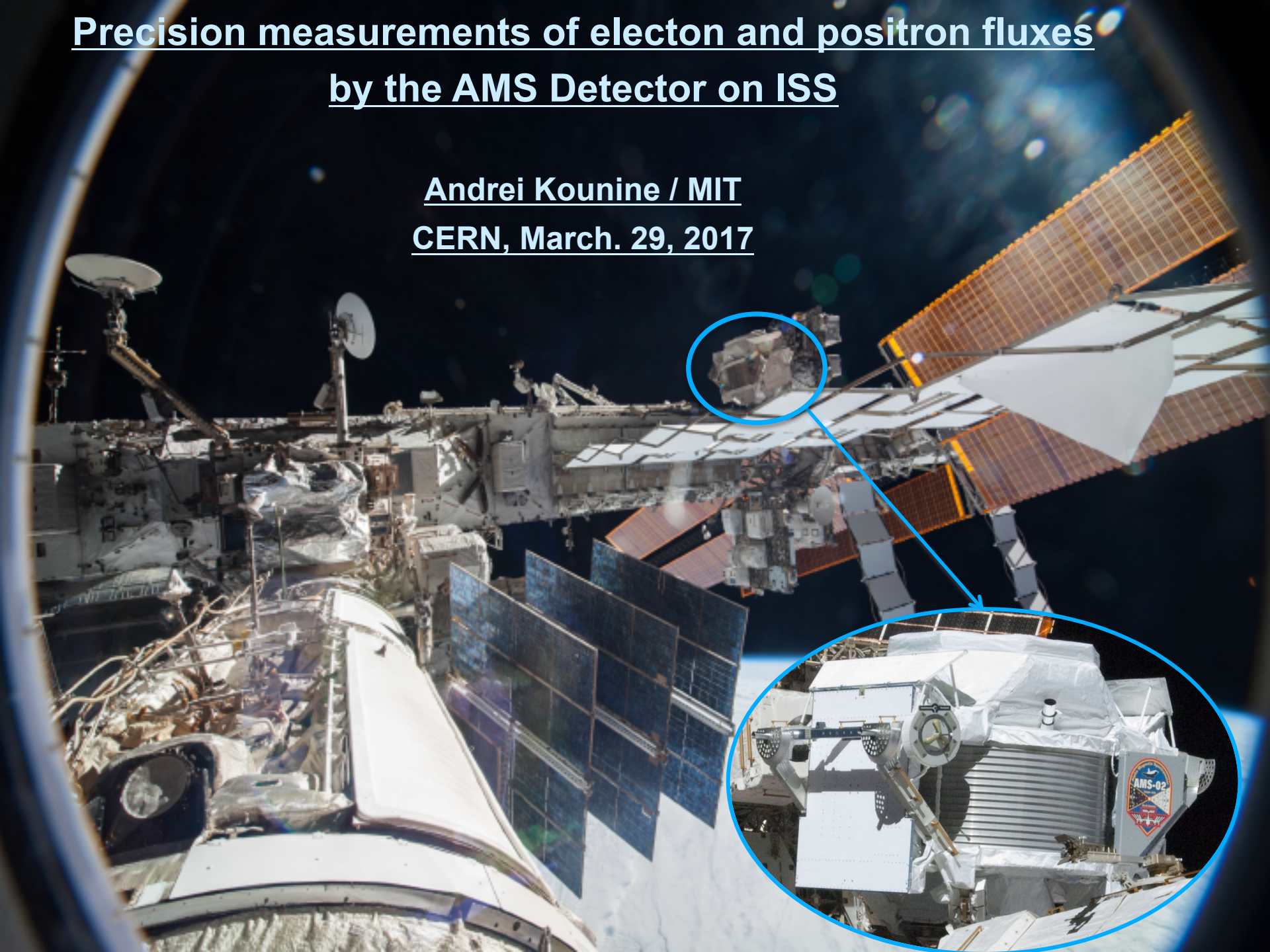


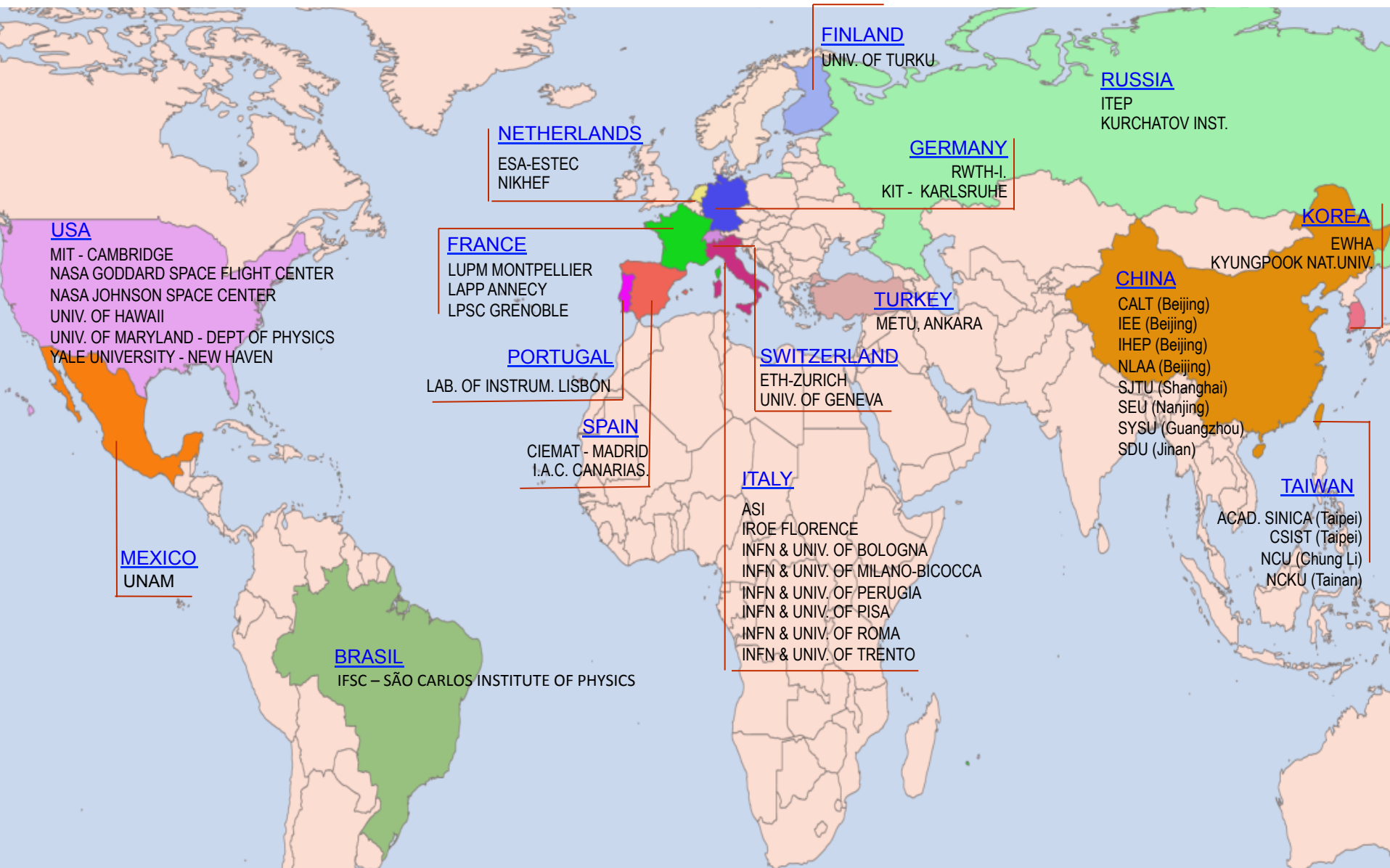
Precision measurements of electron and positron fluxes  
by the AMS Detector on ISS

Andrei Kounine / MIT  
CERN, March. 29, 2017



# AMS is an International Collaboration

## 15 Countries, 46 Institutes



AMS is strongly supported by DOE and NASA

# AMS POCC at JSC, May 19, 2011

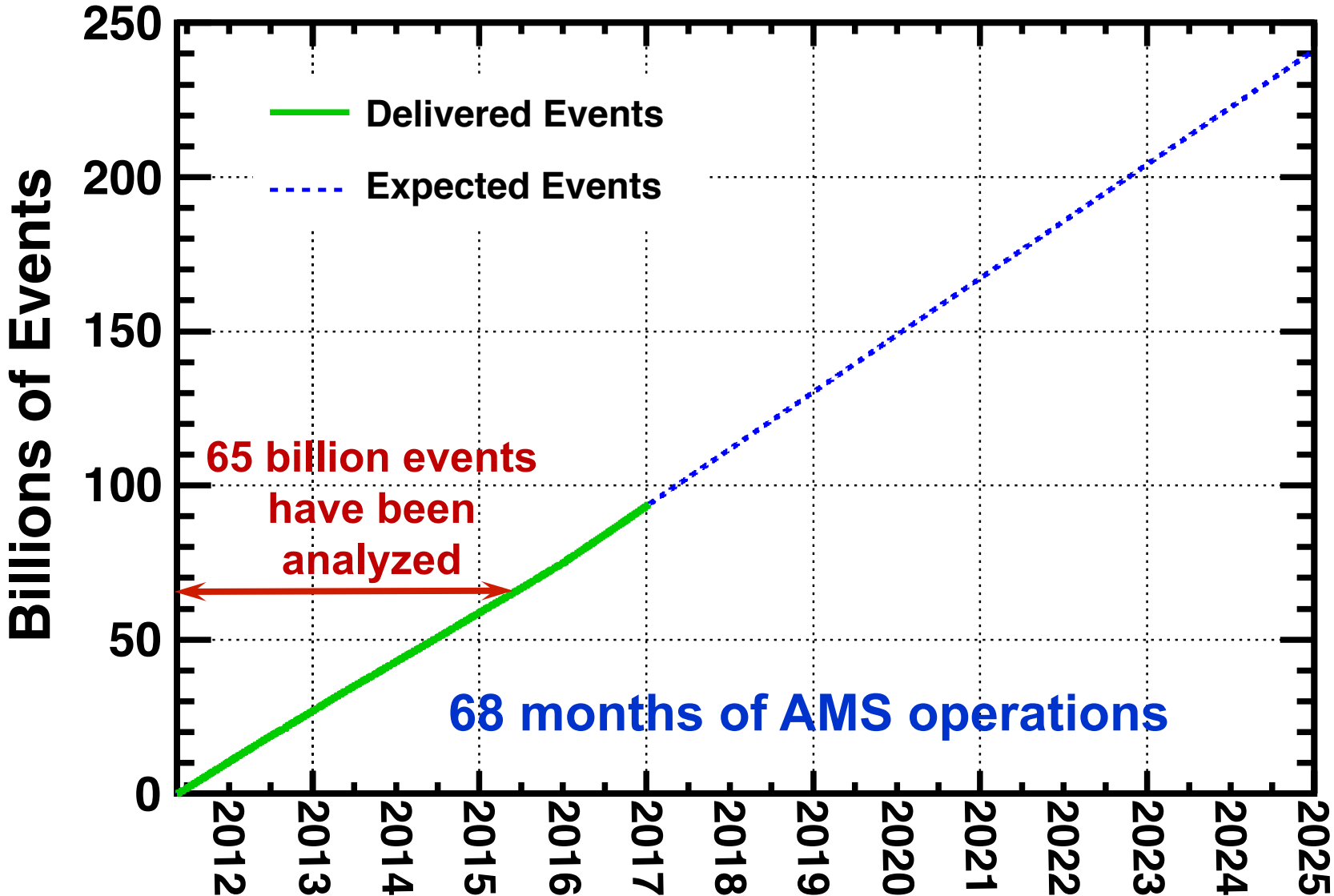
AMS installed on the ISS at  
5:15 CDT May 19, 2011

AMS taking data since  
9:35 CDT May 19, 2011



# AMS on ISS to date: 95 Billion Events

## AMS on ISS to 2024: 240 Billion Events

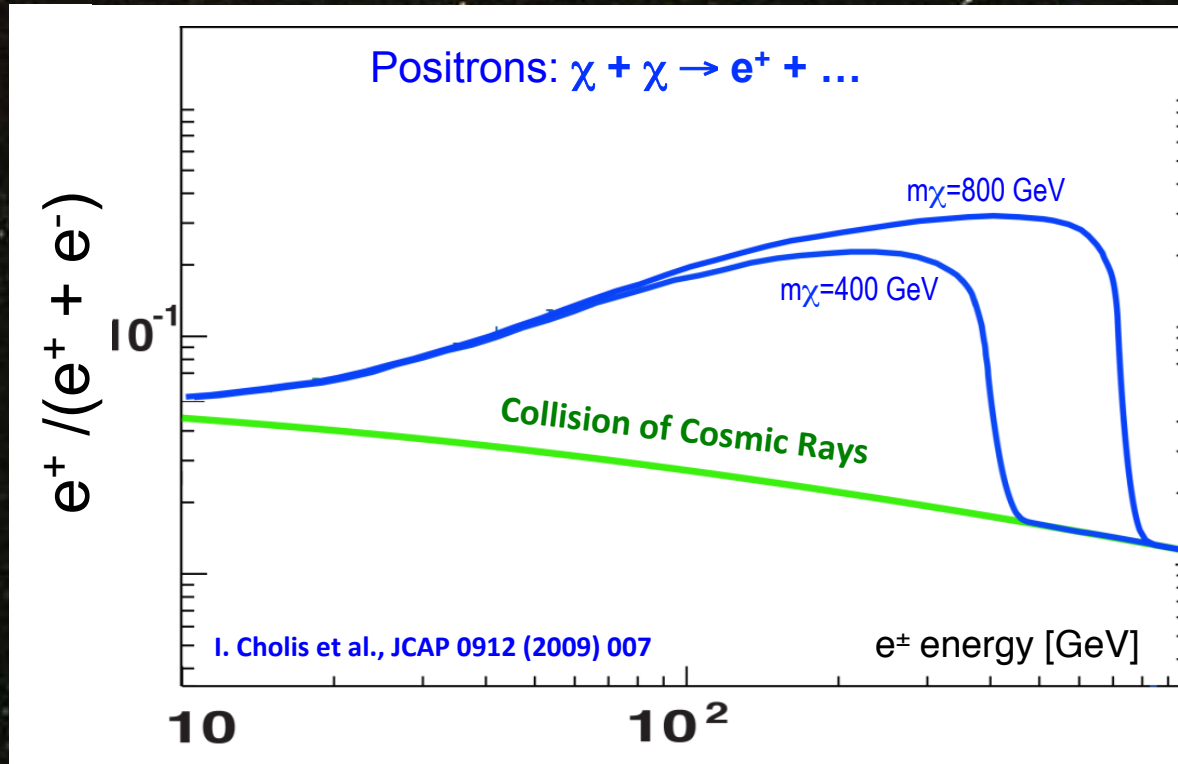


# Dark Matter

Collision of “ordinary” Cosmic Rays produce  $e^+$ ,  $\bar{p}$ ...

Annihilation of Dark Matter (neutralinos,  $\chi$ ) will produce **additional**  $e^+$ ,  $\bar{p}$

M. Turner and F. Wilczek, Phys. Rev. D42 (1990) 1001

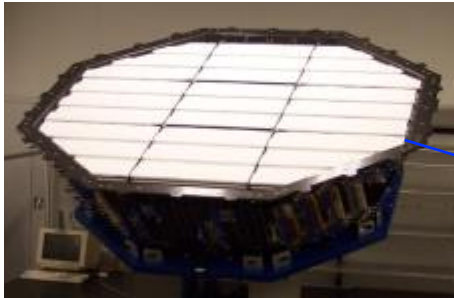


First Result from the AMS on the ISS: Precision Measurement of the Positron Fraction in Primary Cosmic Rays of 0.5-350 GeV, PRL 110 (2013) 141102

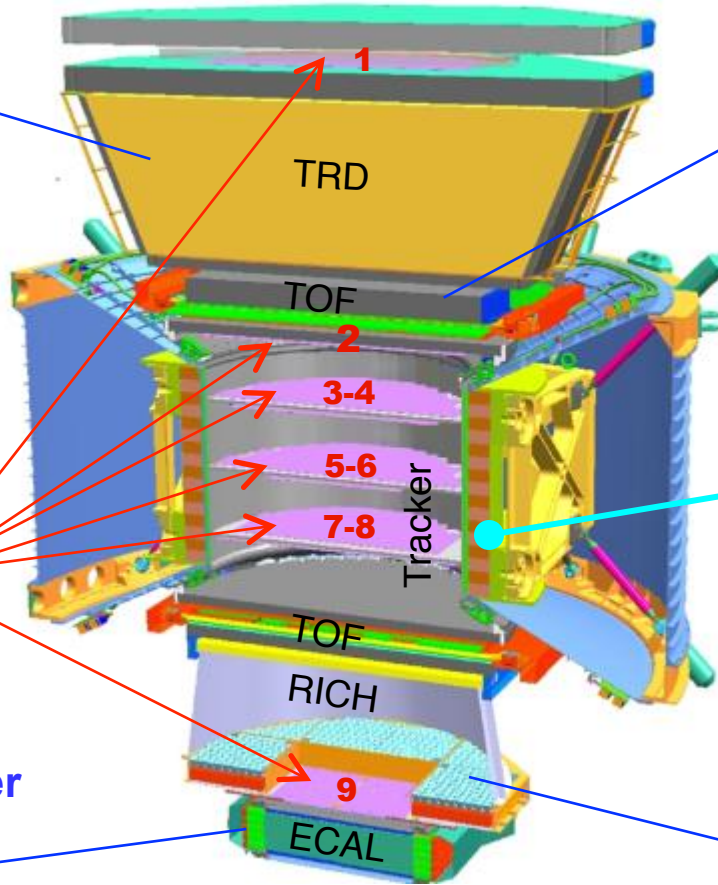
Selected by APS as a Highlight of the Year 2013

# AMS: A TeV precision, multipurpose spectrometer

Transition Radiation Detector  
Identify  $e^+$ ,  $e^-$



Redundant particle identification



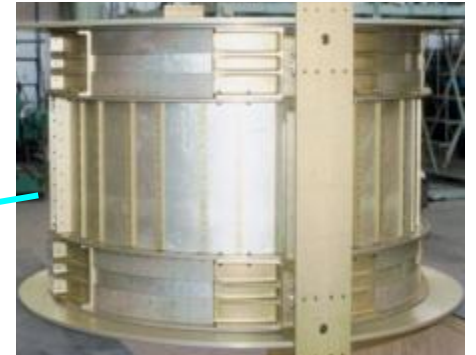
Time of Flight  
 $Z, E$



Silicon Tracker  
 $Z, P$



Magnet  
 $\pm Z$



Ring Imaging Cherenkov  
 $Z, E$



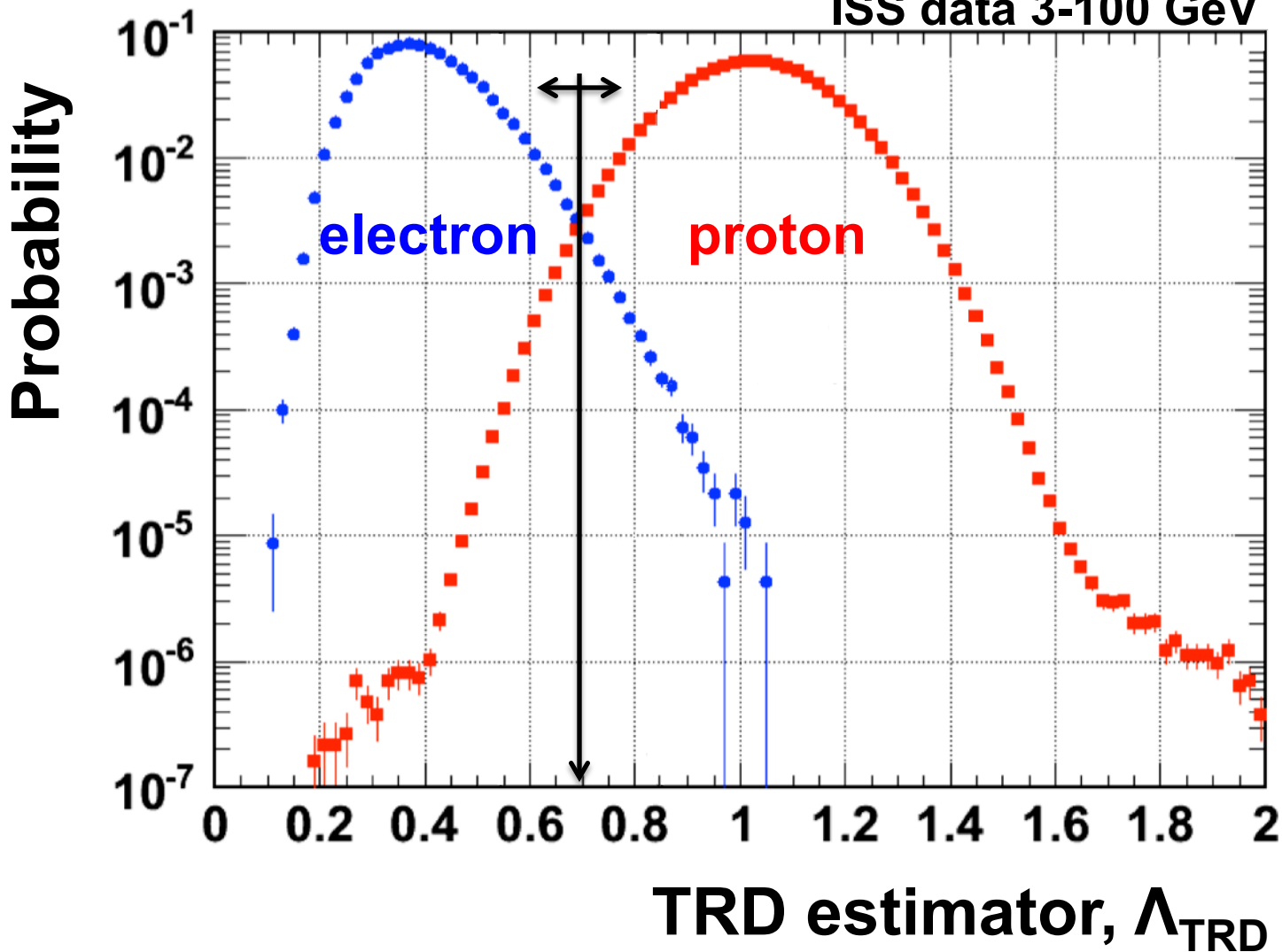
Electromagnetic Calorimeter  
 $E$  of  $e^+$ ,  $e^-$



Maximal Detectable Rigidity  
 $MDR (Z=1) = 2 TV$

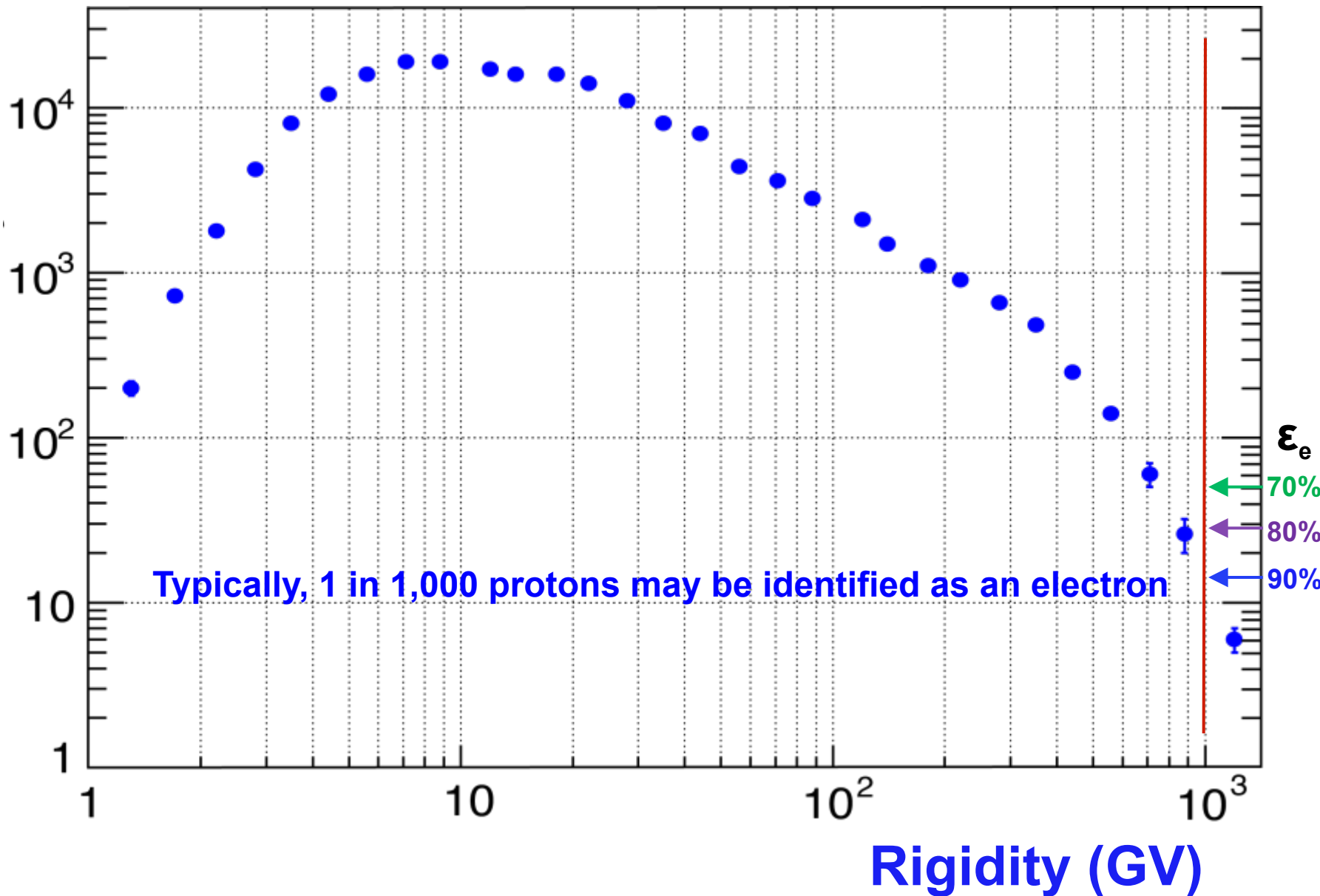
# Separation of protons and electrons with TRD

$$\text{TRD estimator, } \Lambda_{\text{TRD}} = -\ln(P_e/(P_e+P_p))$$



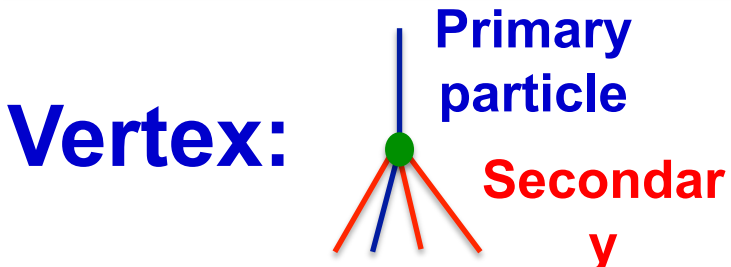
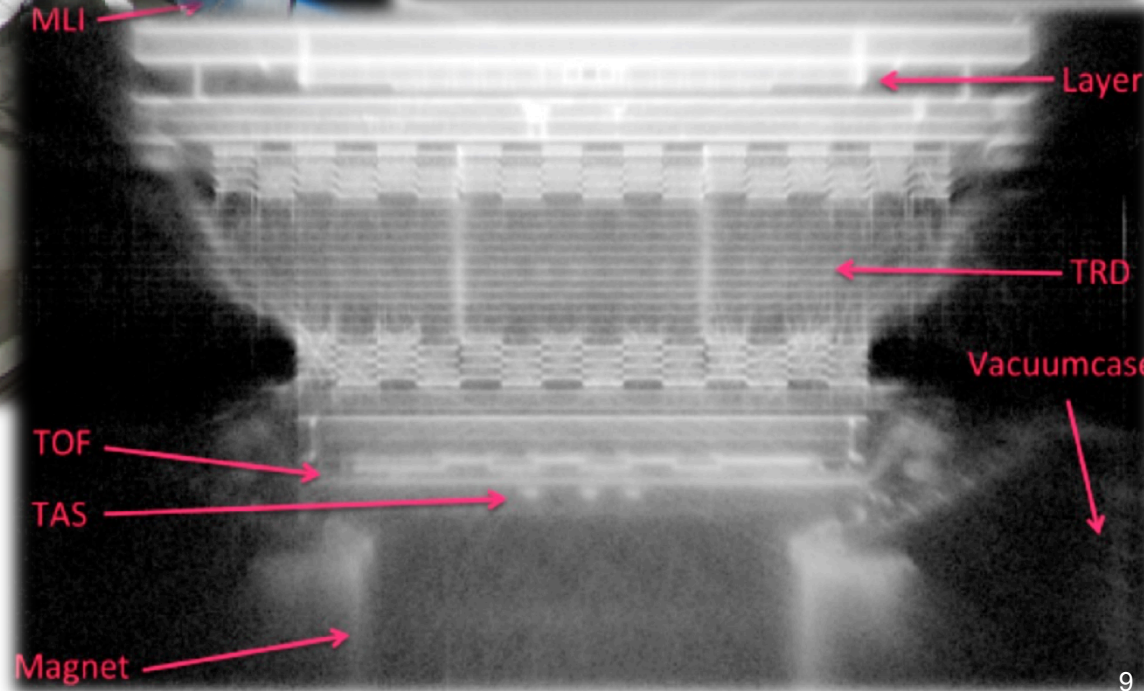
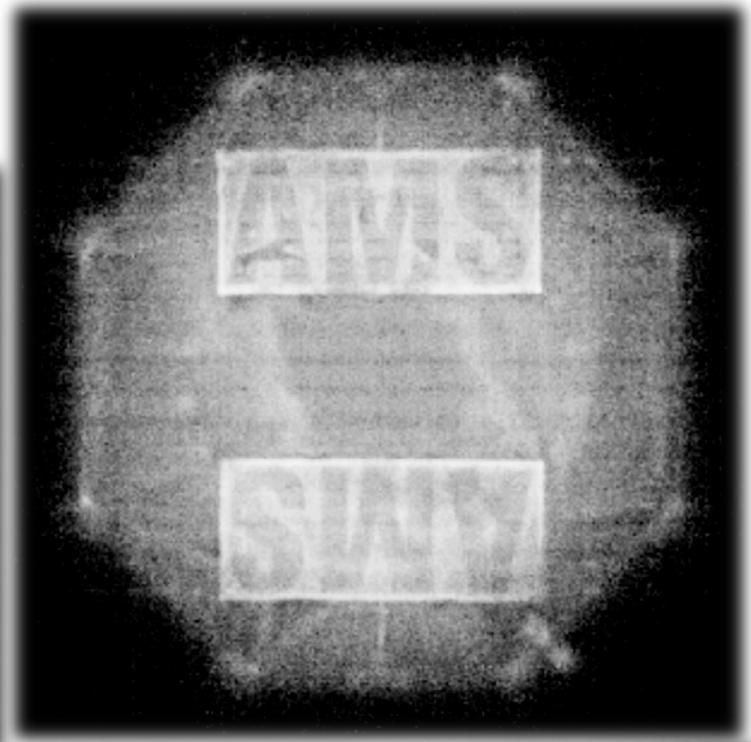
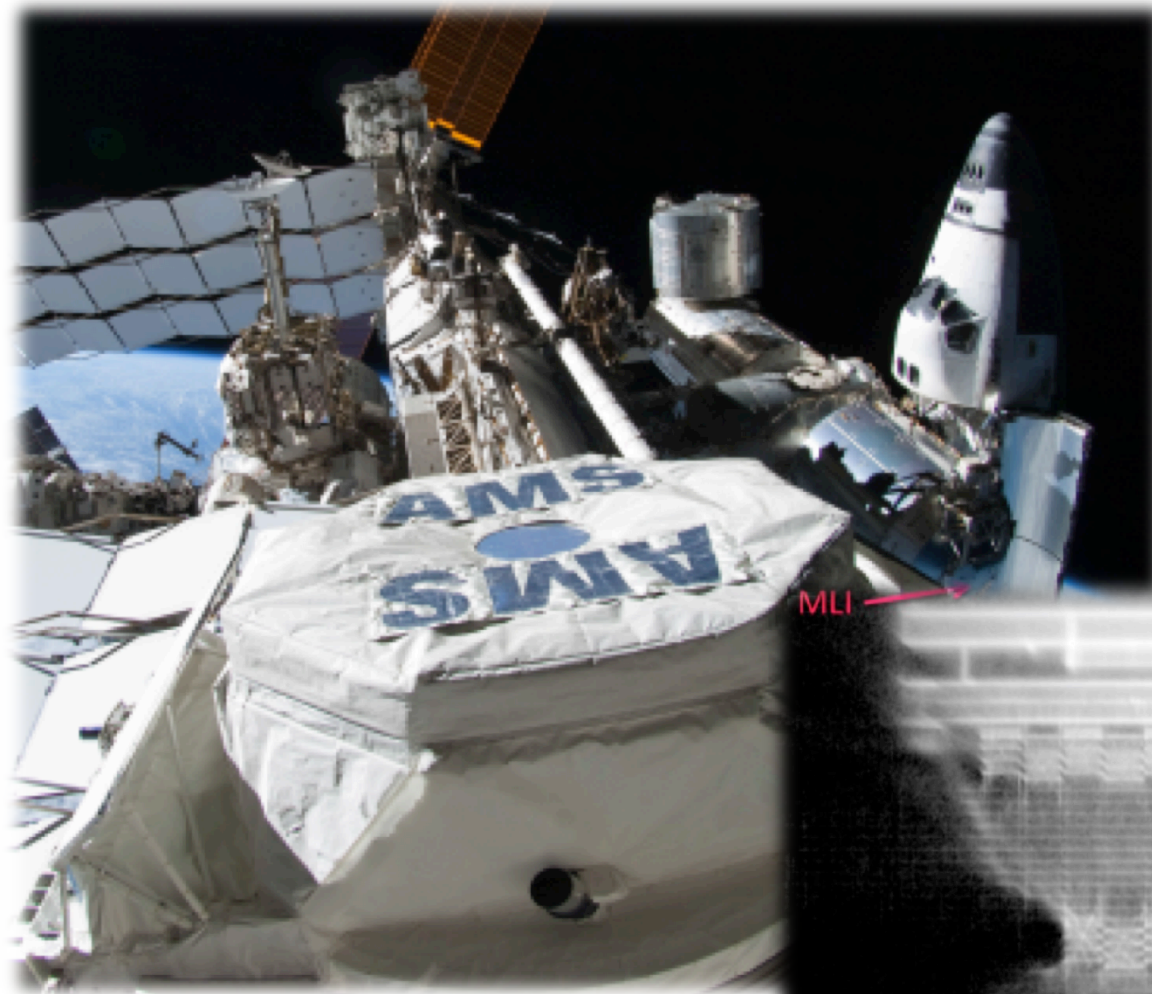
# Separation of protons and electrons with TRD

Proton rejection at 90%  $e^+$  efficiency



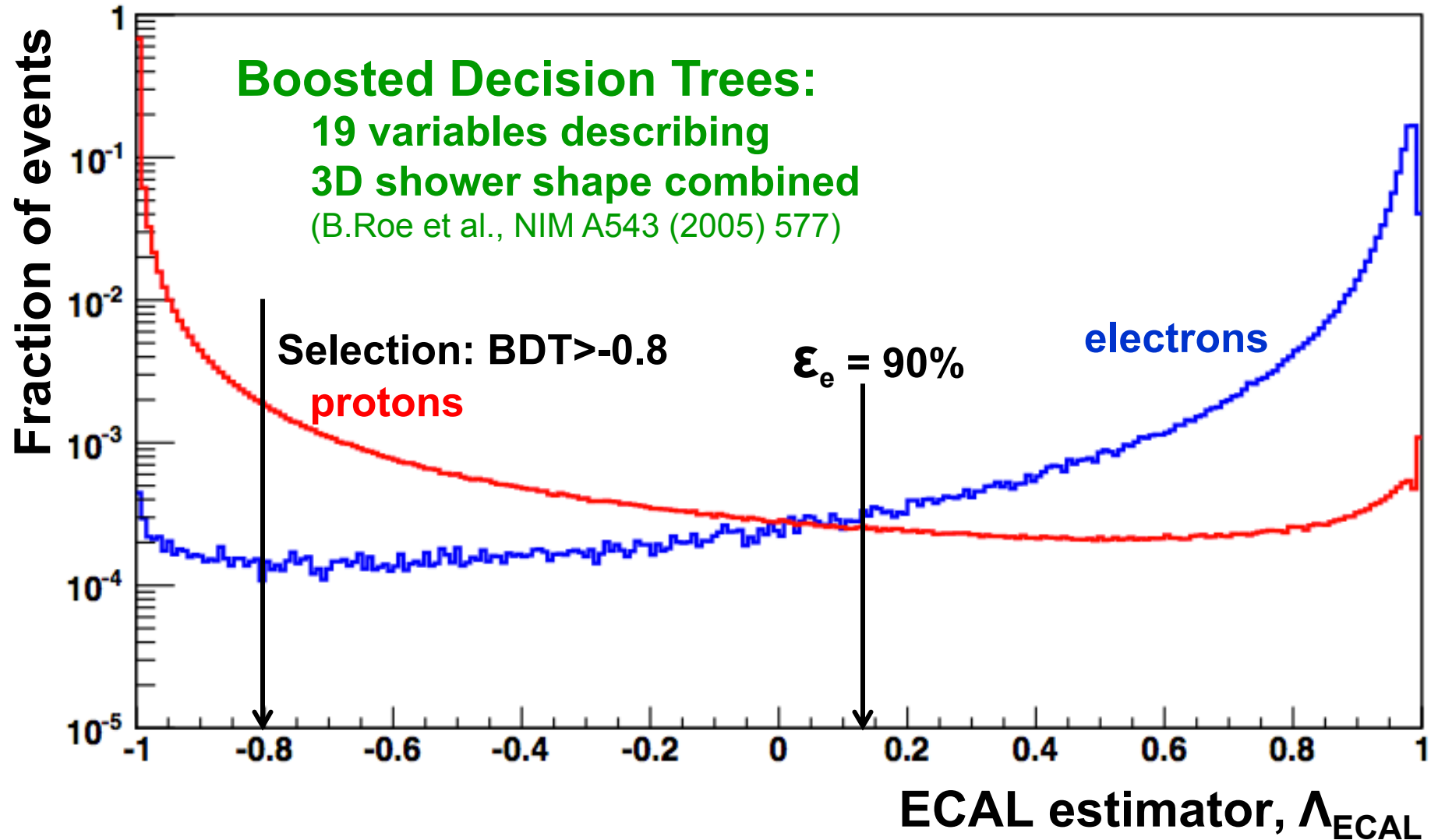


# Precision – CAT scan using vertices

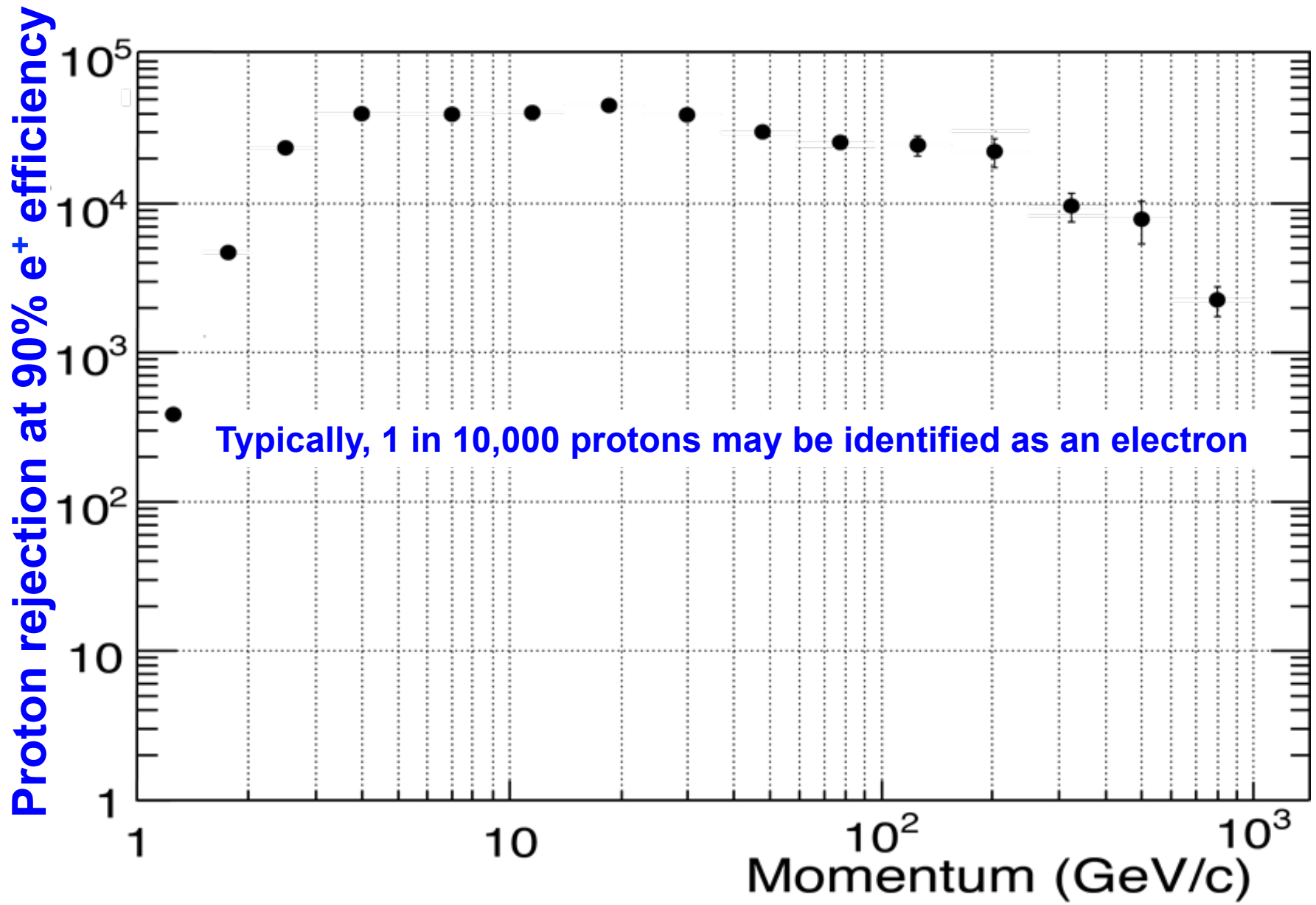


# Separation of protons and electrons with ECAL

ISS data: 83–100 GeV

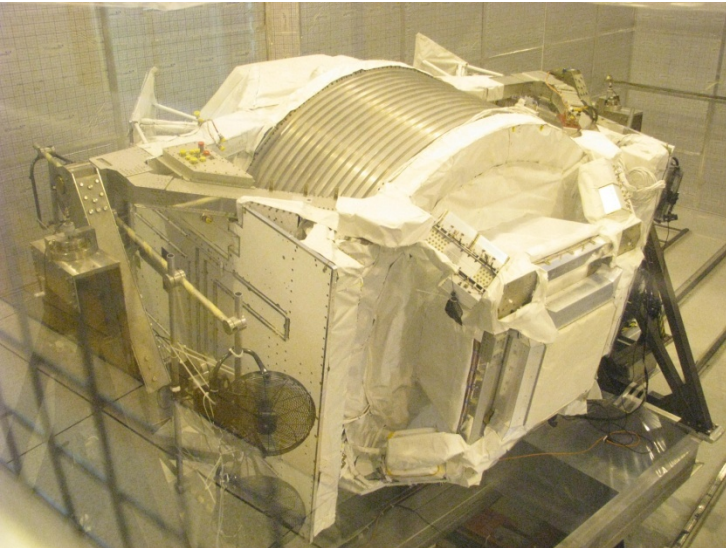


# Separation of protons and electrons with ECAL



# Monte Carlo simulation

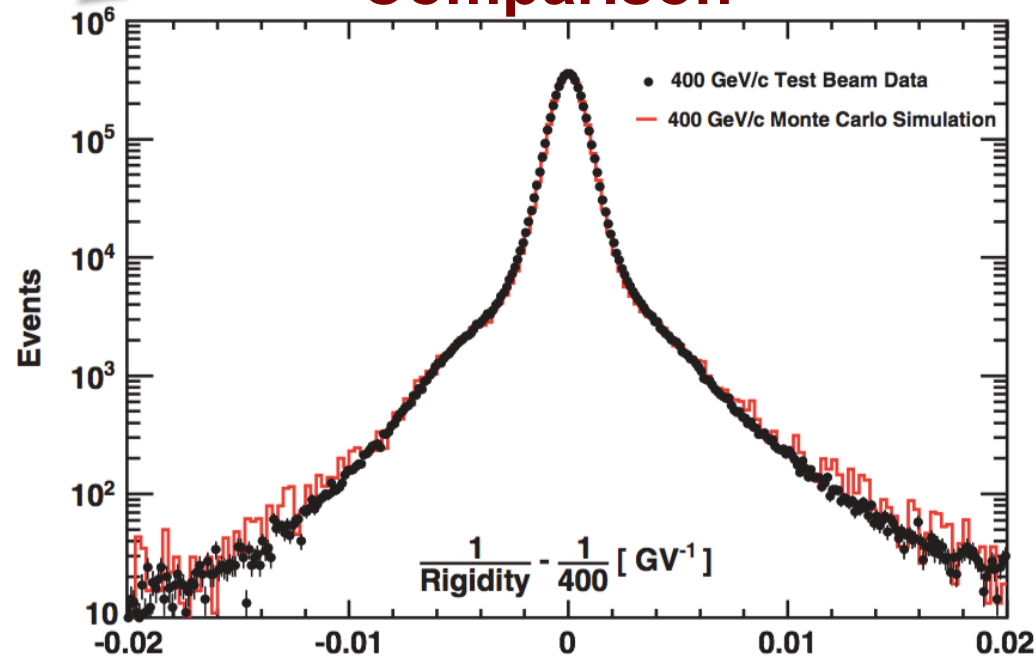
## Detector calibration



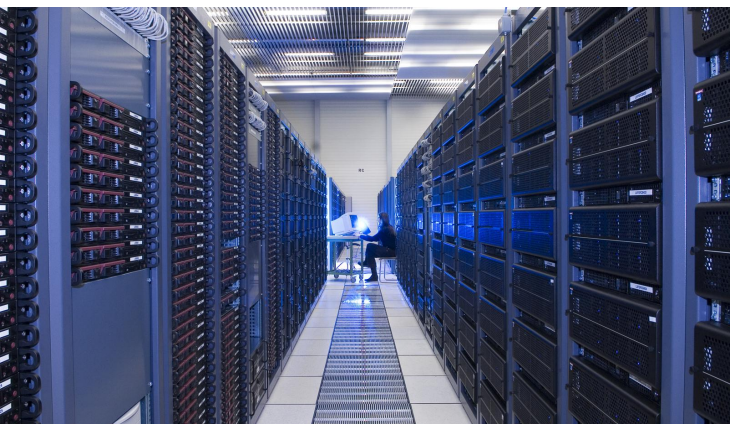
Detector response:

1. Particle type ( $p$ ,  $e^\pm$ ,  $\pi^\pm$ )
2. Energy (10–400 GeV)
3. Position (1600)

## Comparison



## Monte Carlo simulation



6,000 CPU cores at CERN  
+ regional centers

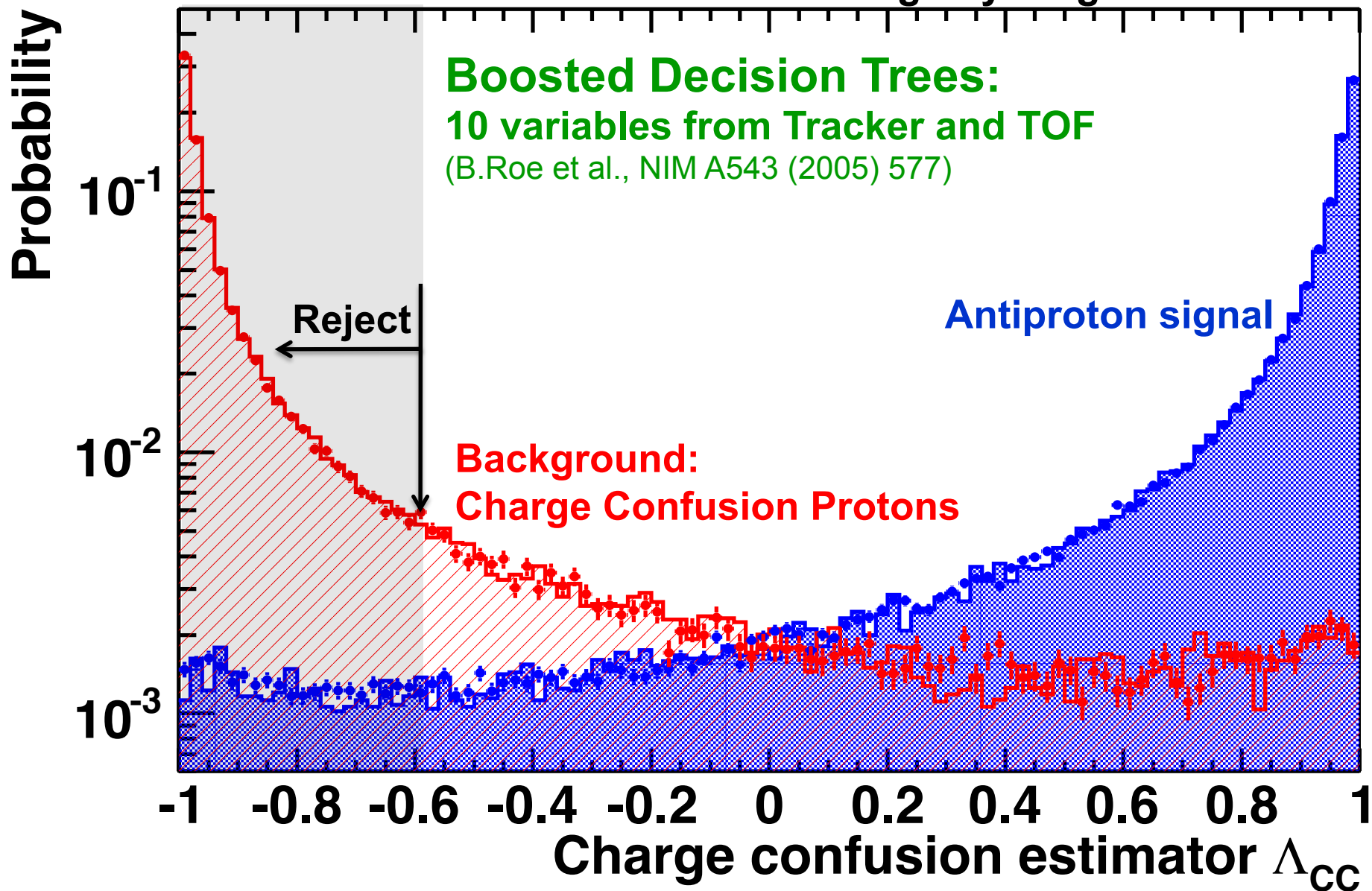
Computer simulation program:

1. Interactions (physics and materials)
2. Digitization (electronics)

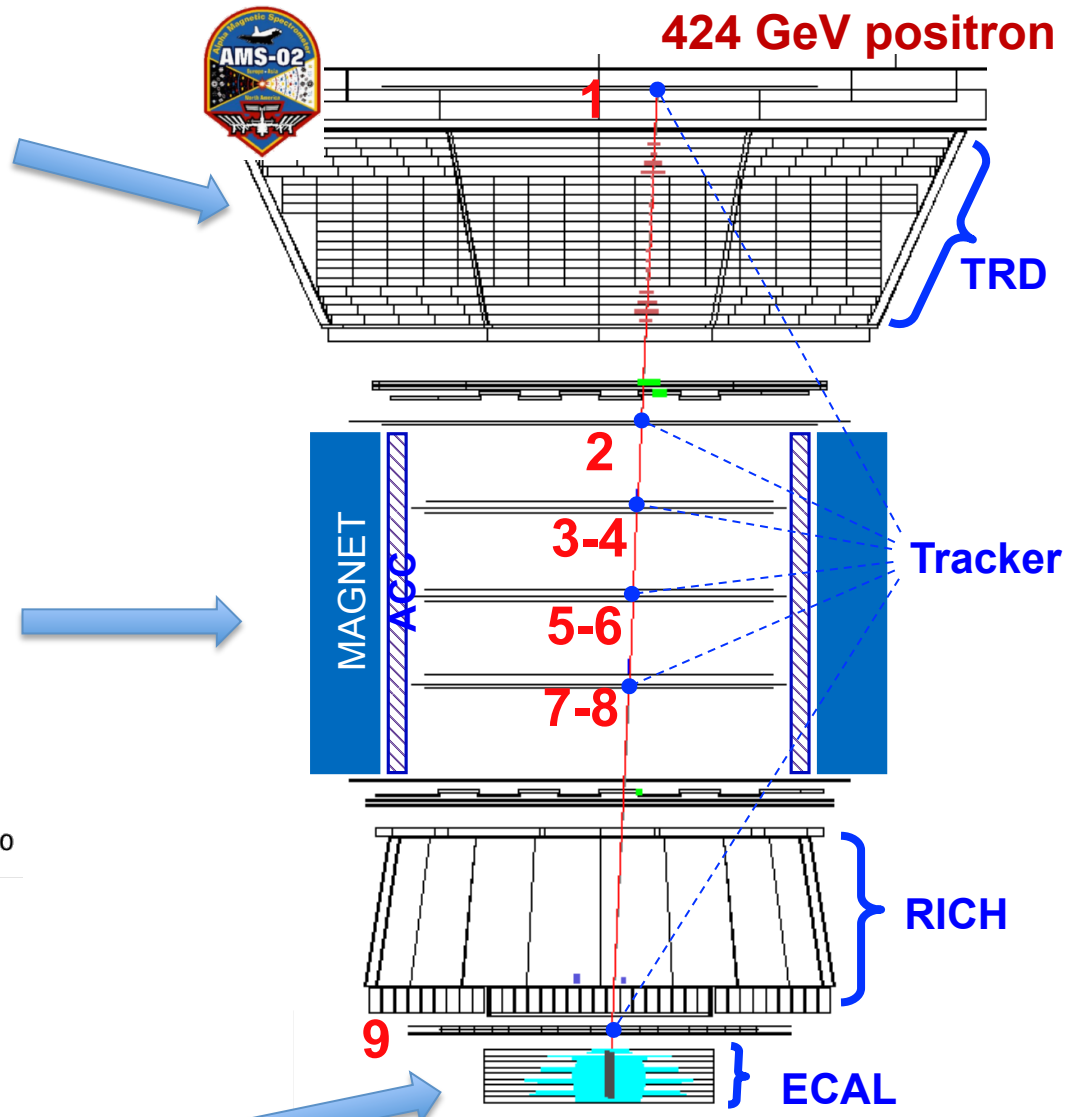
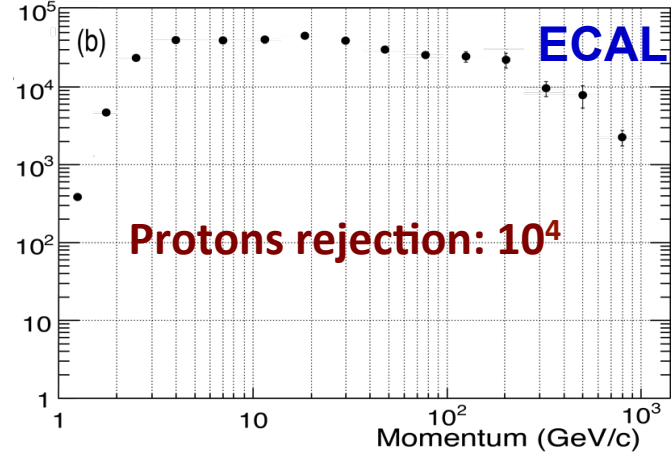
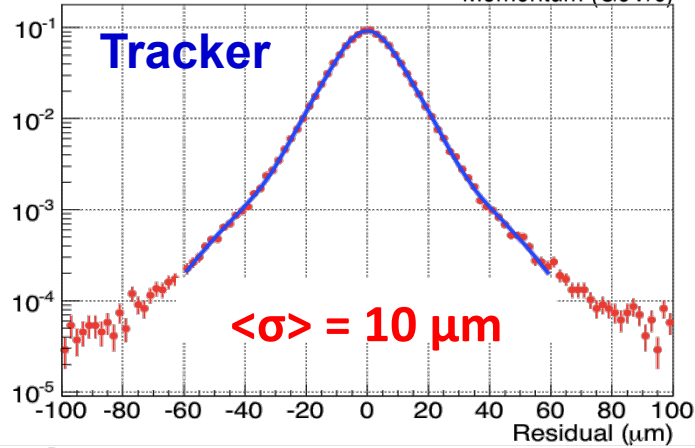
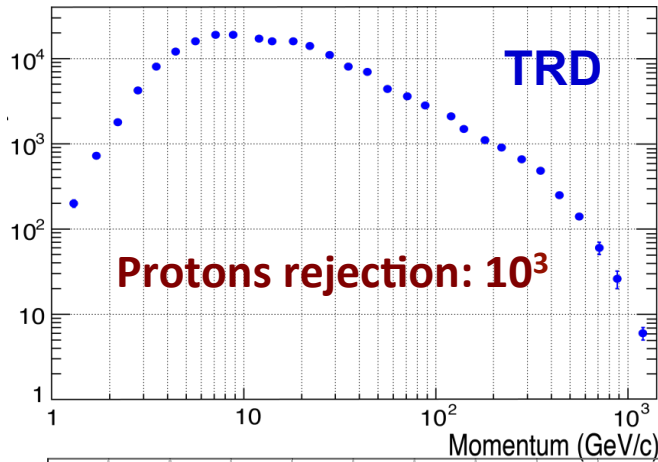
Results in data-like events

# Separation of positive and negative charges

Rigidity range 100-450 GV



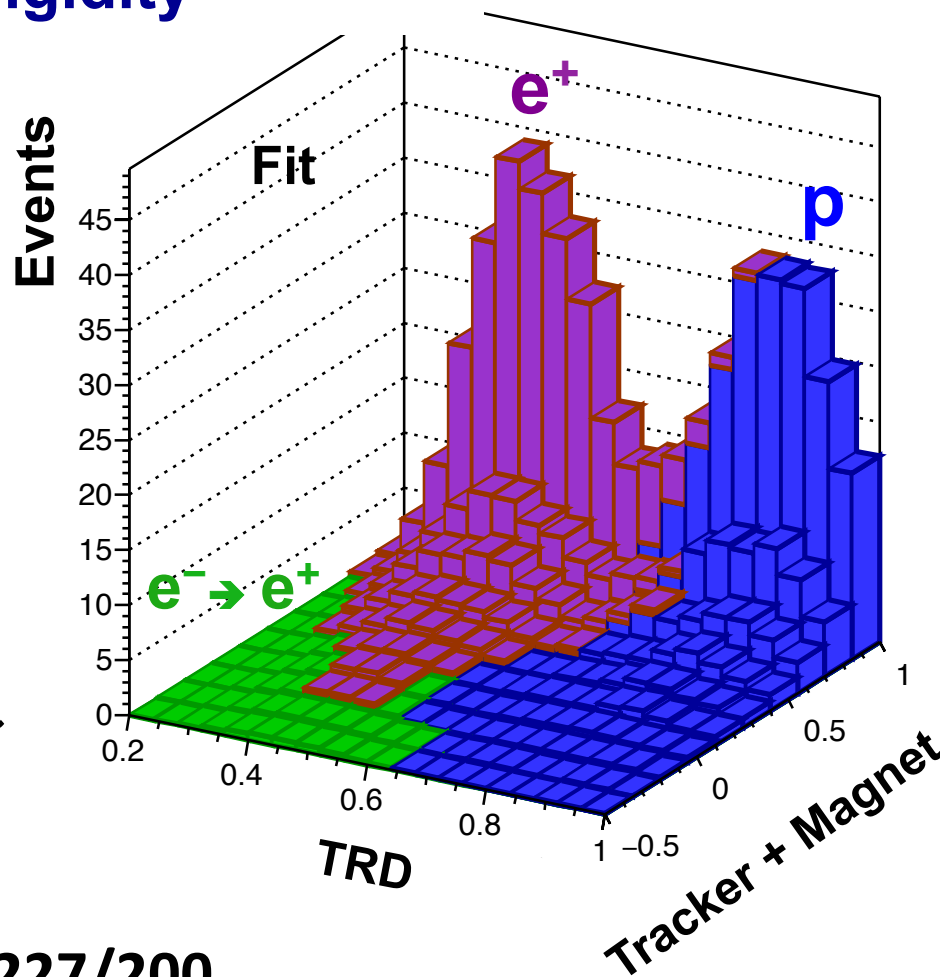
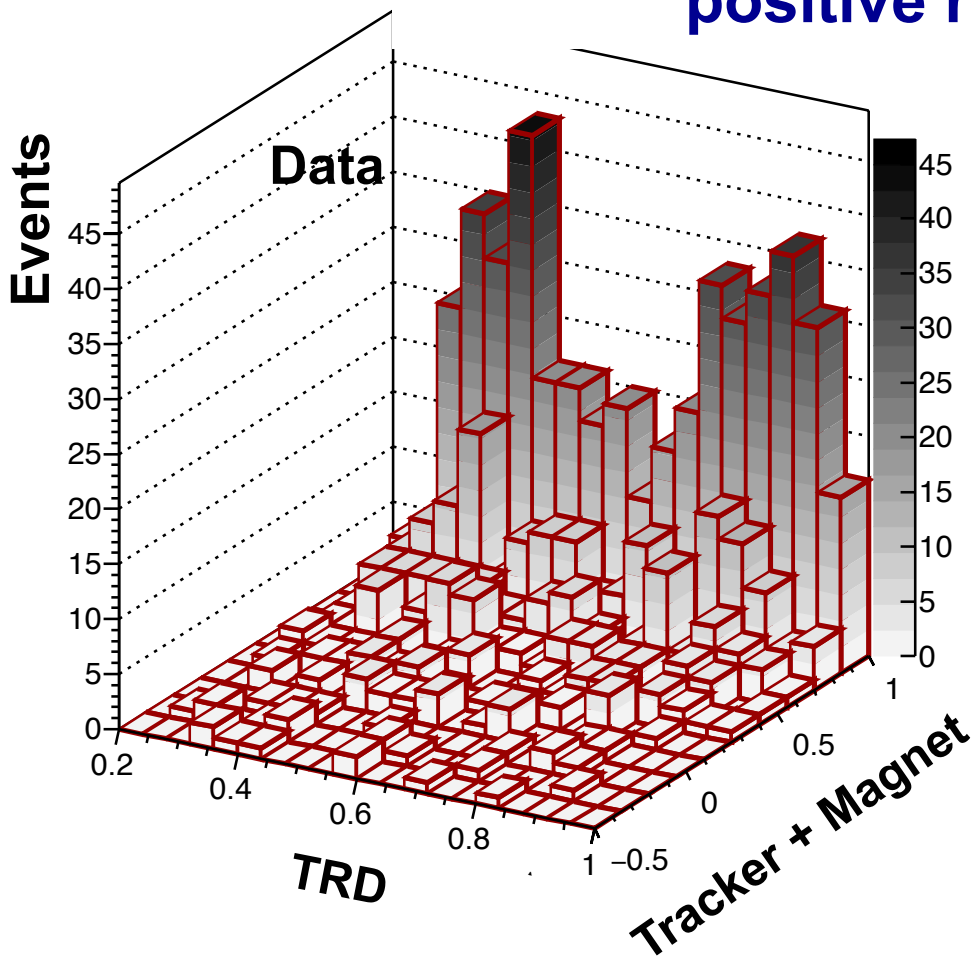
# Positron fraction analysis



20 million of  $e^\pm$  events are selected in the energy range 0.5–700 GeV

# TRD Estimator shows clear separation between positrons and protons with a small charge confusion background

Energy range 206–260 GeV,  
positive rigidity



$$\chi^2/\text{d.f.} = 227/200$$

# Systematic errors on the positron fraction, $Ne^+ / (Ne^+ + Ne^-)$ :

1. Acceptance asymmetry
2. Selection dependence
3. Absolute energy scale and bin-to-bin migration
4. Reference spectra uncertainties
5. Charge confusion

Energy [GeV]	$N_{e^+}$	Fraction	Stat.						Syst.	
			$\sigma_{stat.}$	$\sigma_{acc.}$	$\sigma_{sel.}$	$\sigma_{mig.}$	$\sigma_{ref.}$	$\sigma_{c.c.}$	$\sigma_{syst.}$	
80.00–86.00	651	0.0998	0.0038	0.0002	0.0010	0.0007	0.0002	0.0006	0.0014	
86.00–92.50	648	0.1170	0.0044	0.0002	0.0010	0.0007	0.0002	0.0008	0.0015	
92.50–100.0	551	0.1071	0.0045	0.0002	0.0010	0.0007	0.0002	0.0009	0.0015	
100.0–115.1	897	0.1190	3.4% 0.0041	0.0002	0.0010	0.0007	0.0002	0.0010	0.0016	1.3%
115.1–132.1	580	0.1160	0.0047	0.0002	0.0020	0.0007	0.0005	0.0015	0.0027	
132.1–151.5	494	0.1250	0.0058	0.0002	0.0020	0.0007	0.0005	0.0018	0.0028	
151.5–173.5	398	0.1400	0.0071	0.0002	0.0020	0.0007	0.0007	0.0030	0.0037	
173.5–206.0	363	0.1480	0.0079	0.0002	0.0025	0.0007	0.0010	0.0040	0.0049	
206.0–260.0	309	0.1520	0.0090	0.0003	0.0028	0.0007	0.0012	0.0070	0.0077	
260.0–350.0	234	0.1580	0.0110	0.0003	0.0030	0.0007	0.0015	0.0100	0.0106	
350.0–500.0	126	0.1400	0.0170	0.0003	0.0060	0.0007	0.0020	0.0150	0.0163	
500.0–700.0	41	0.1450	27% 0.0400	0.0003	0.0100	0.0007	0.0100	0.0200	0.0245	17%

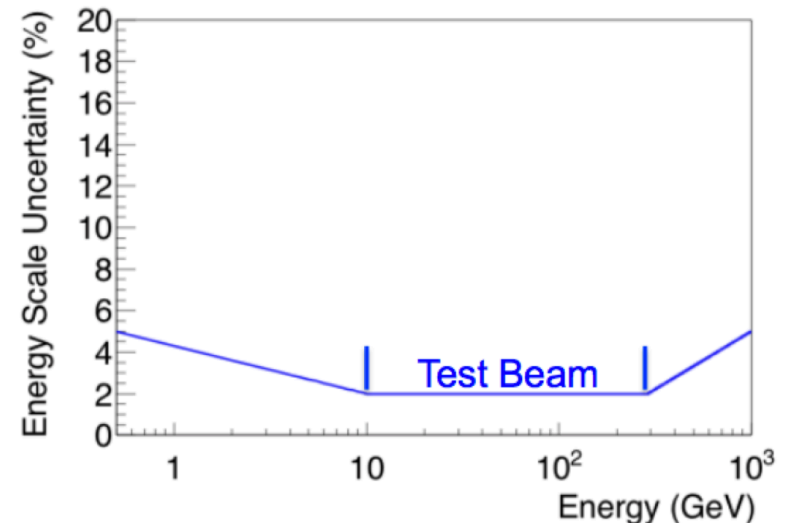
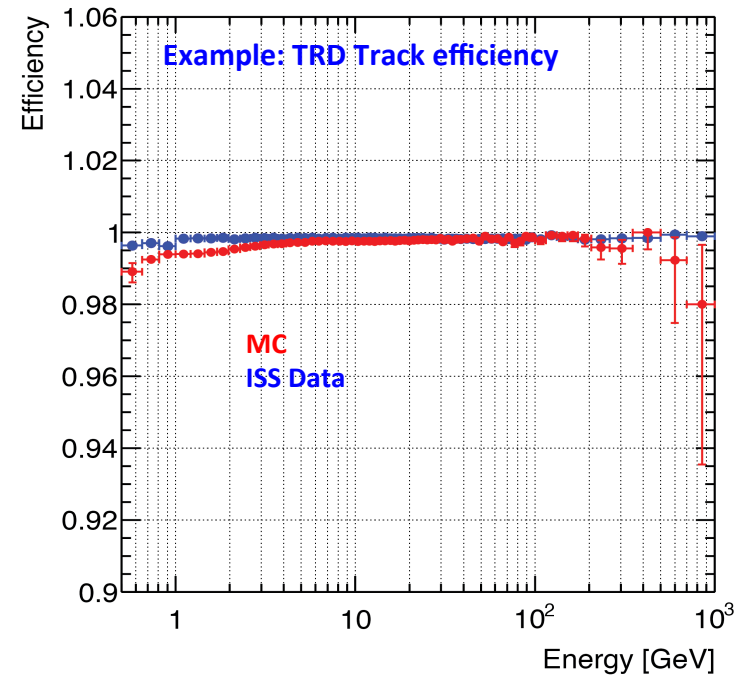


# Analysis of the systematic uncertainties

## Isotropic flux:

$$\Phi_{e^\pm}(E) = \frac{N_{e^\pm}(E)}{A_{eff}(E) \cdot \epsilon_{trig}(E) \cdot T(E) \cdot \Delta E}$$

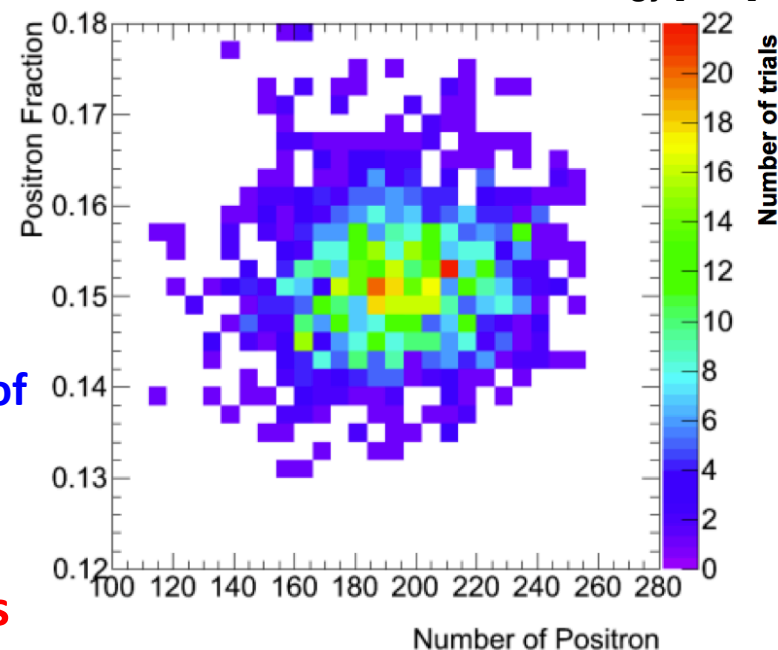
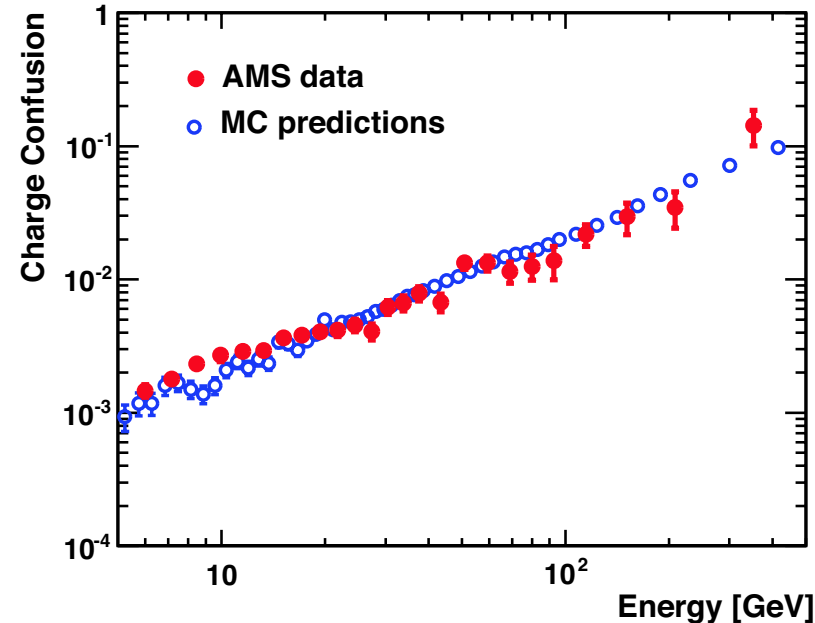
- **Effective Acceptance:**  $A_{eff} = A_{geom} \cdot \epsilon_{sel} \cdot \epsilon_{id} \cdot (1 + \delta)$ 
  - Estimated from MC
  - Small correction applied based on efficiency measured from Data
  - **Systematic uncertainties: 2% ~ 3%**
- **Energy Measurement**
  - Minimum effect from resolution
  - Uncertainty in the absolute energy scale:
    - ~2% at [10, 300] GeV
    - ~5% at 1TeV



# Analysis of the systematic uncertainties

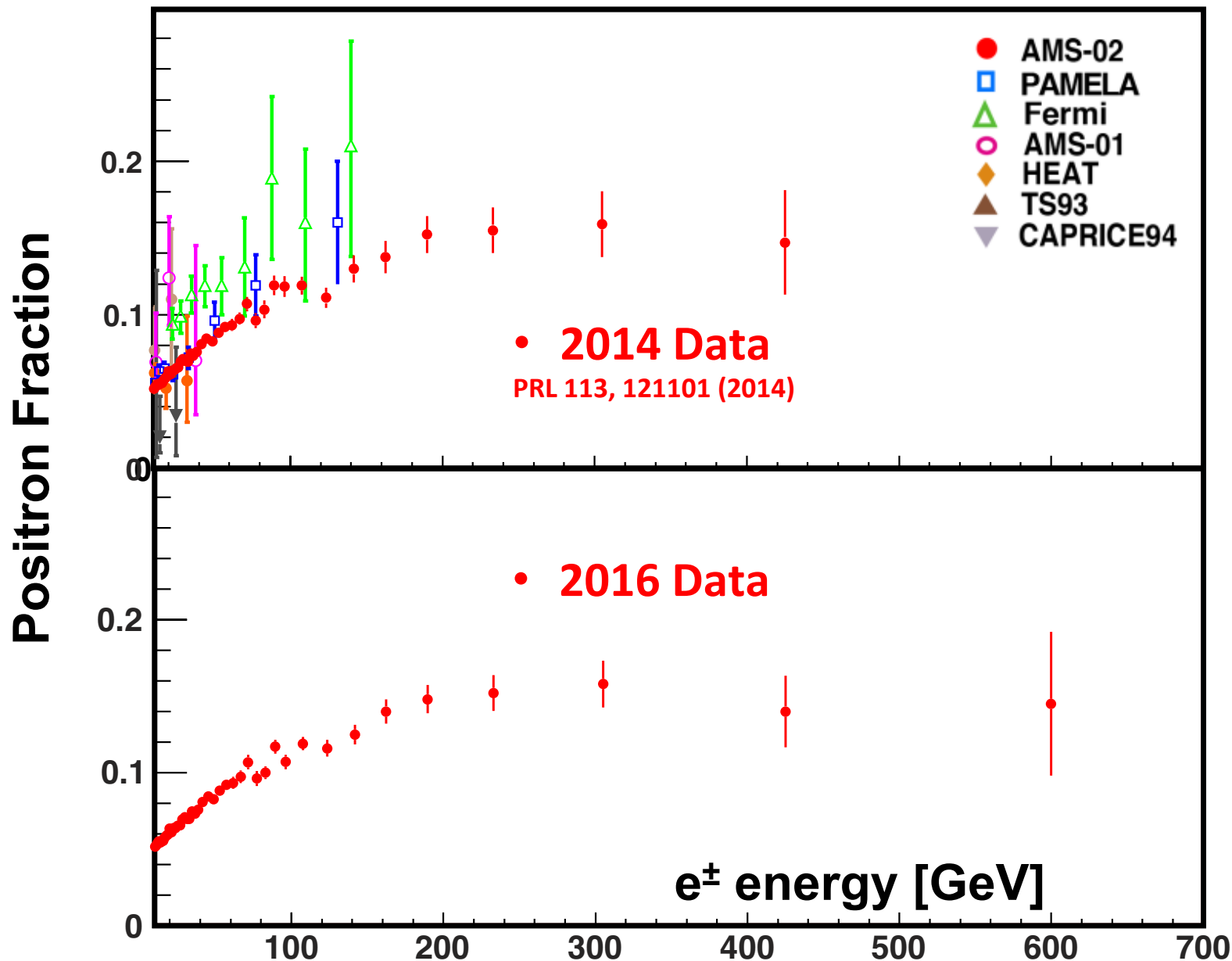
## Major Systematic Errors:

- Charge confusion
  1. Large angle scattering,
  2. Production of secondaries.
    - Well reproduced by the Monte Carlo.
    - Measured directly from data.
    - The difference is taken as a systematic error.
- Selection, Template definition;
  - For each energy bin, over 1,000 sets of cuts (trials) were analyzed.
  - The measurement is stable over wide ranges of the selections.



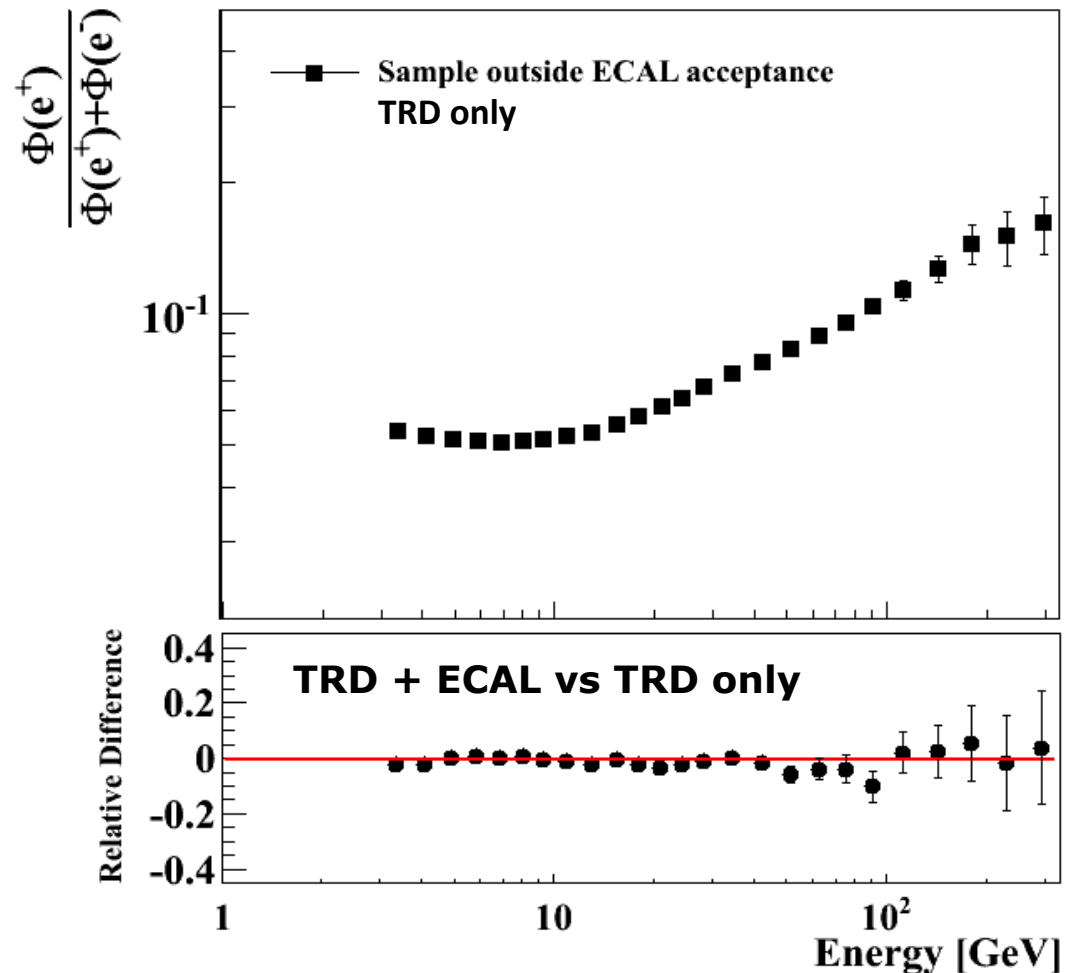
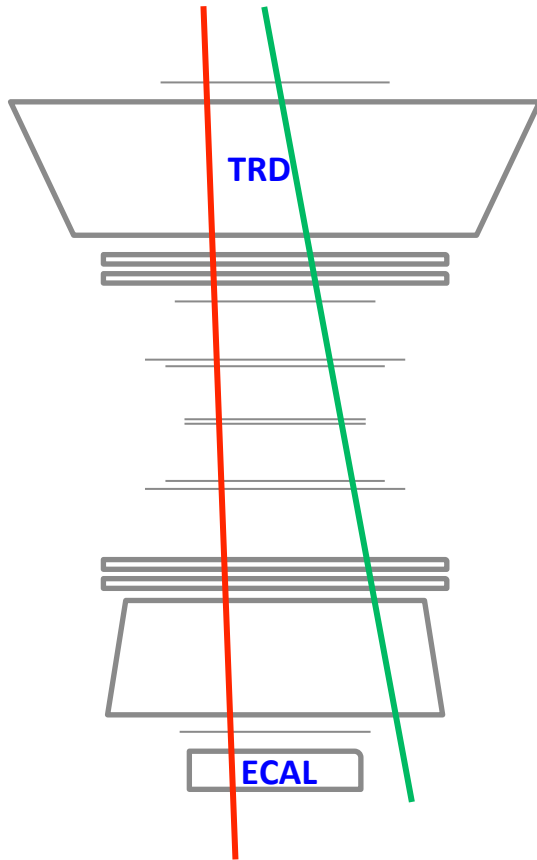
**Systematic error are smaller than statistical ones**

# New analysis includes 5 years of data – 20 million $e^\pm$ events



# Independent verification of the positron fraction analysis

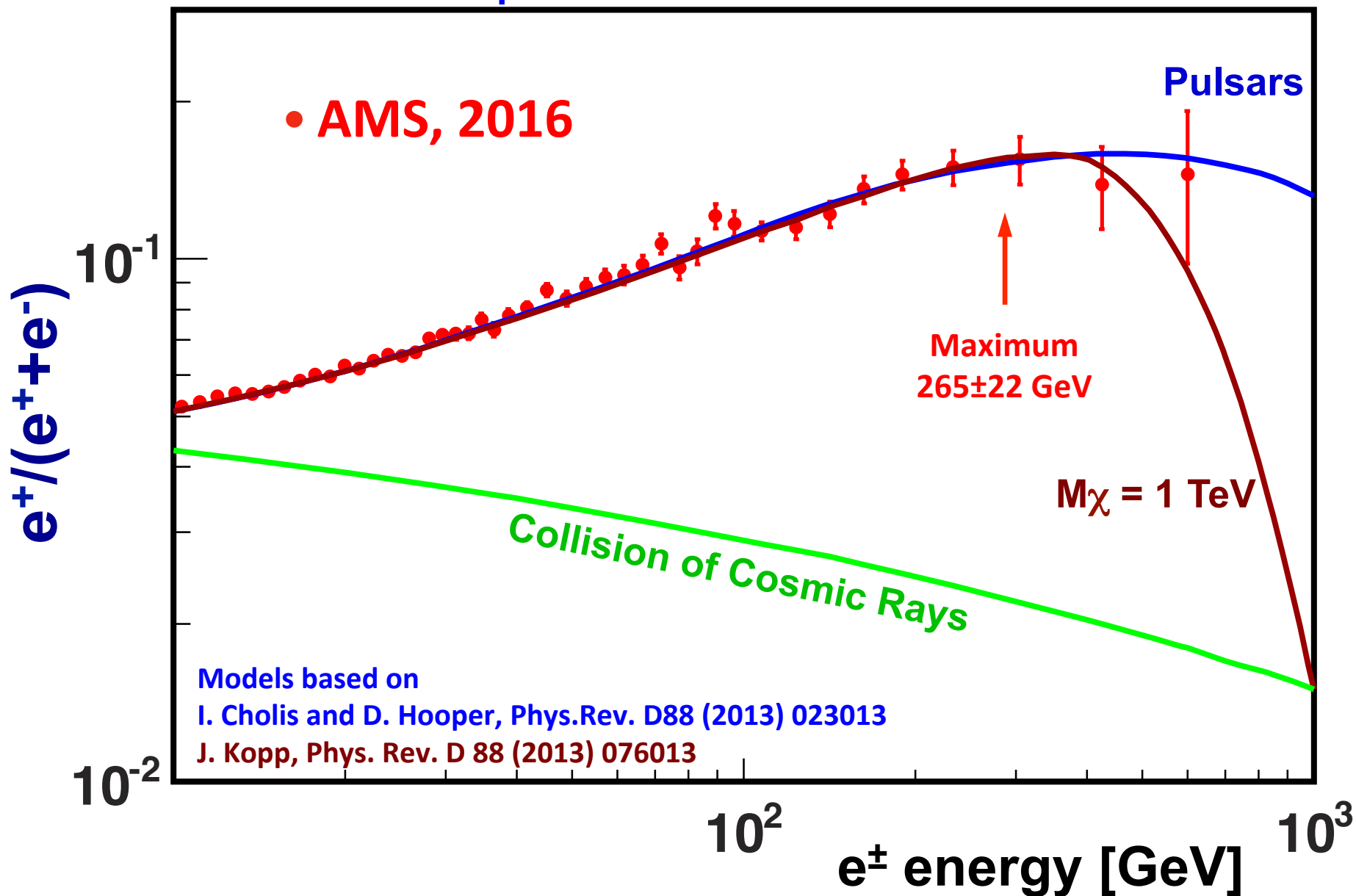
Positron fraction analysis with **TRD+ECAL** compared with **TRD Only**



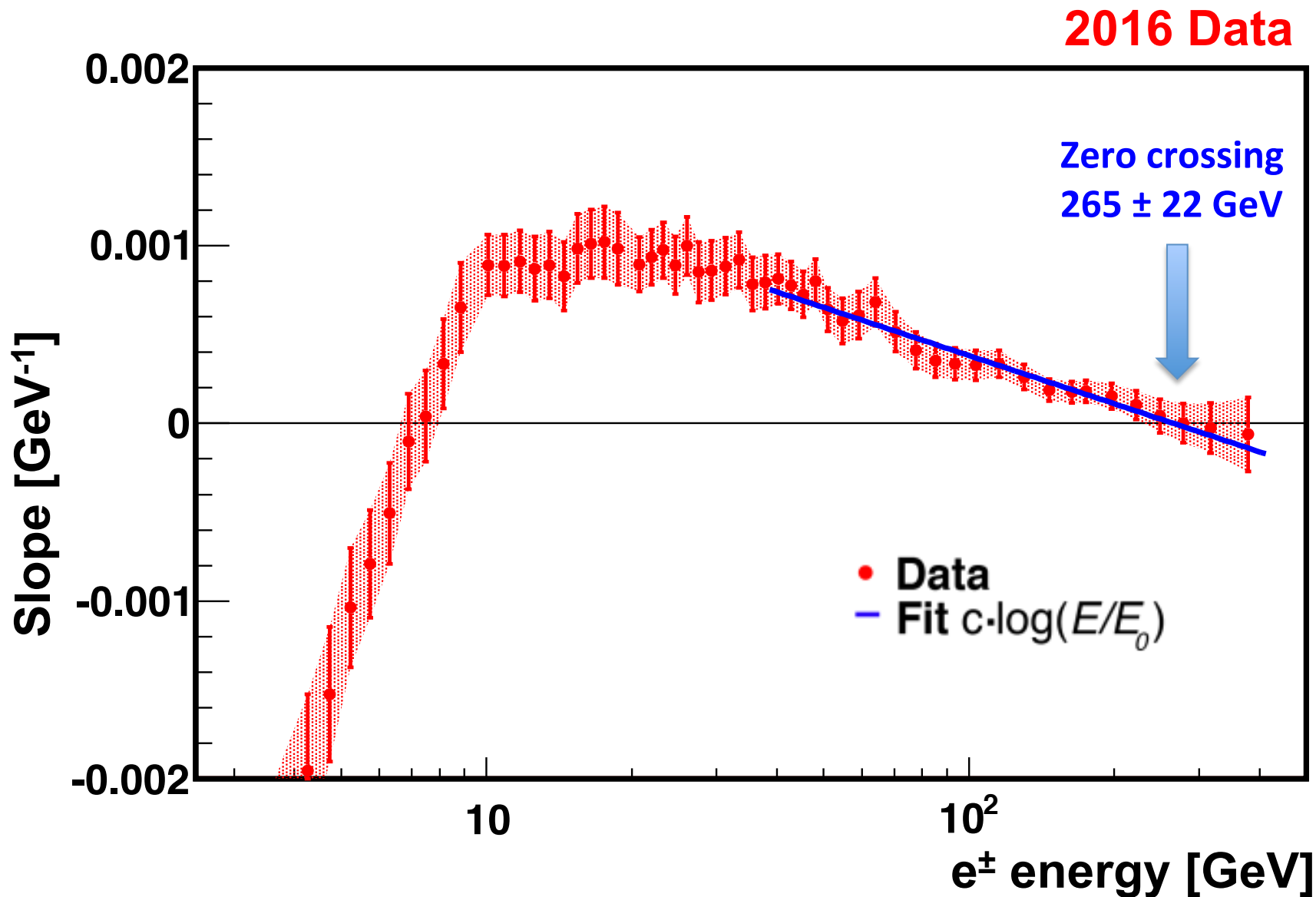
**Good agreement between two independent samples**

# Behavior of the positron fraction at high energies

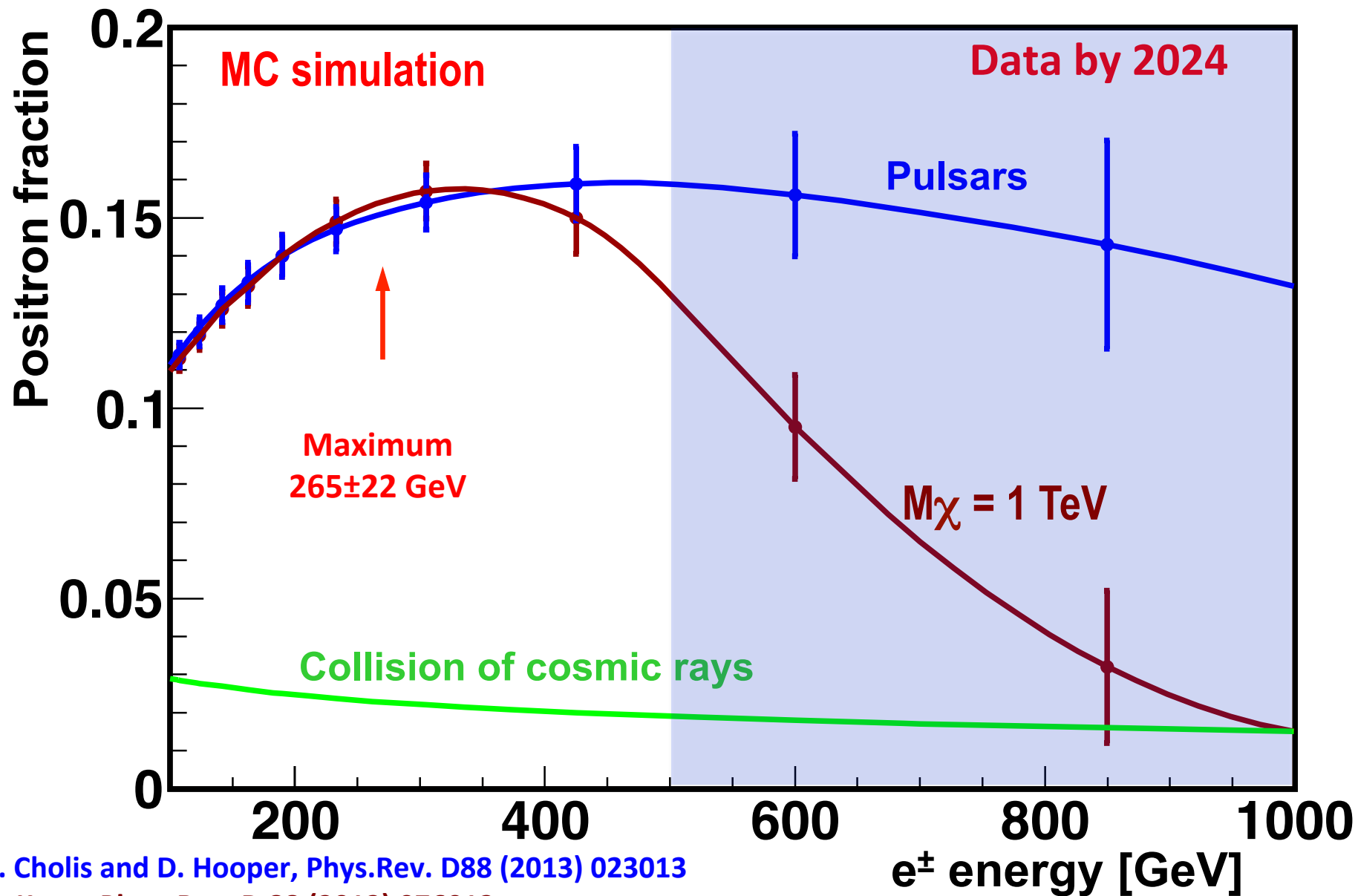
## Comparison with theoretical Models



The energy beyond which it ceases to increase.



# The expected rate at which it falls beyond the turning point.

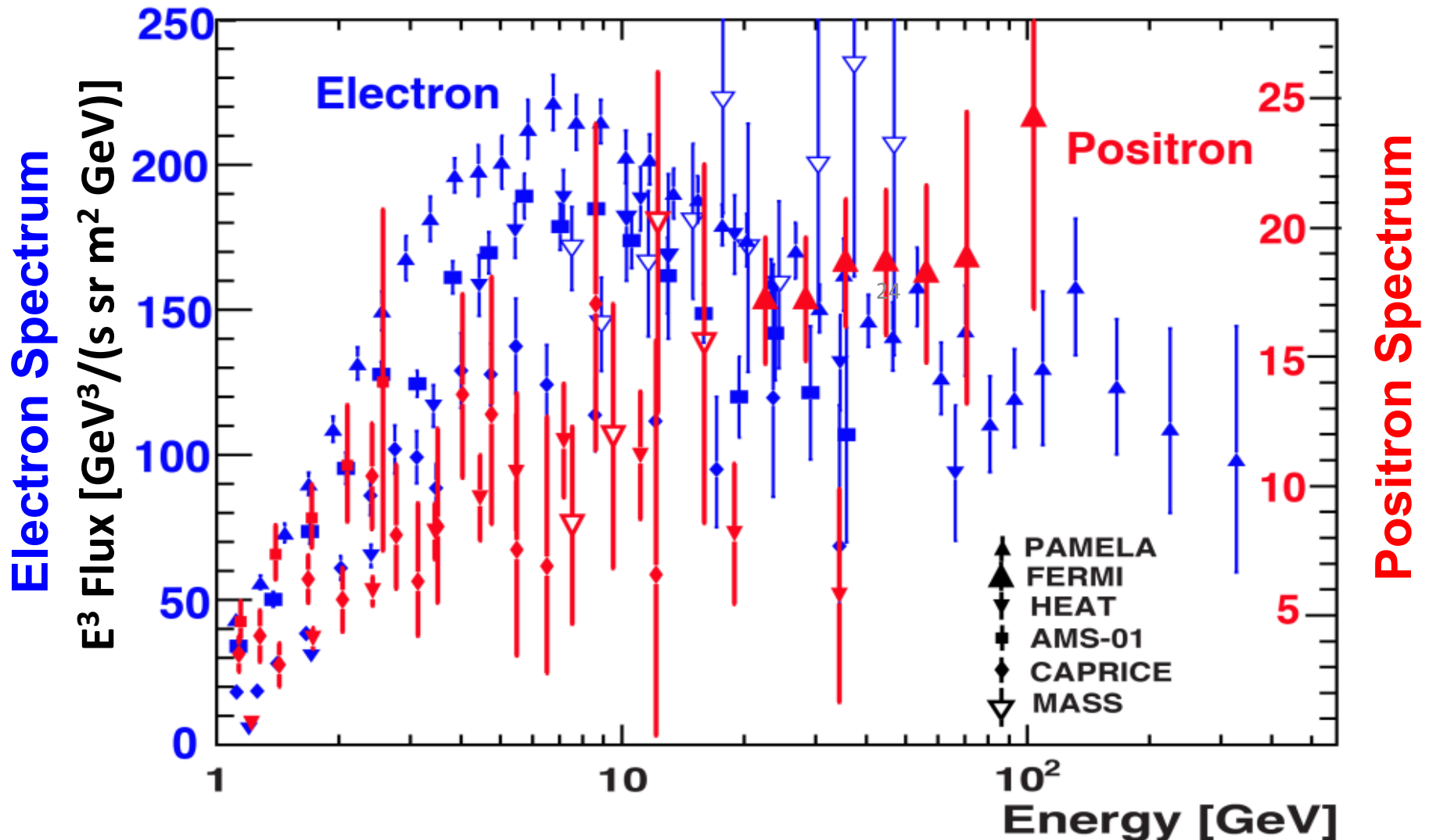


I. Cholis and D. Hooper, Phys.Rev. D88 (2013) 023013

J. Kopp, Phys. Rev. D 88 (2013) 076013

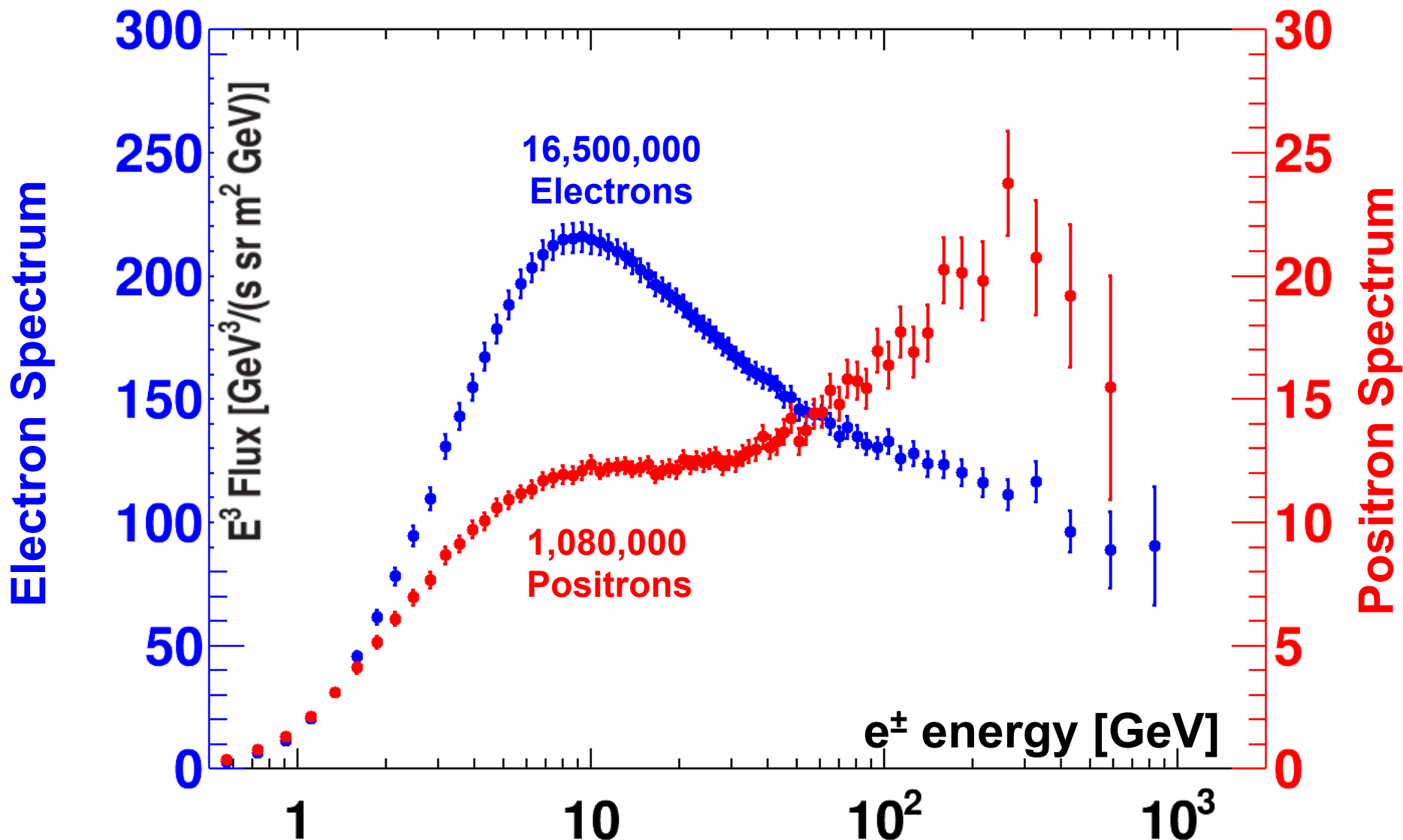
# Electron and Positron spectra before AMS

1. These were the best data.
2. Nonetheless, the data have large errors and are inconsistent.
3. The data has created many theoretical speculations.



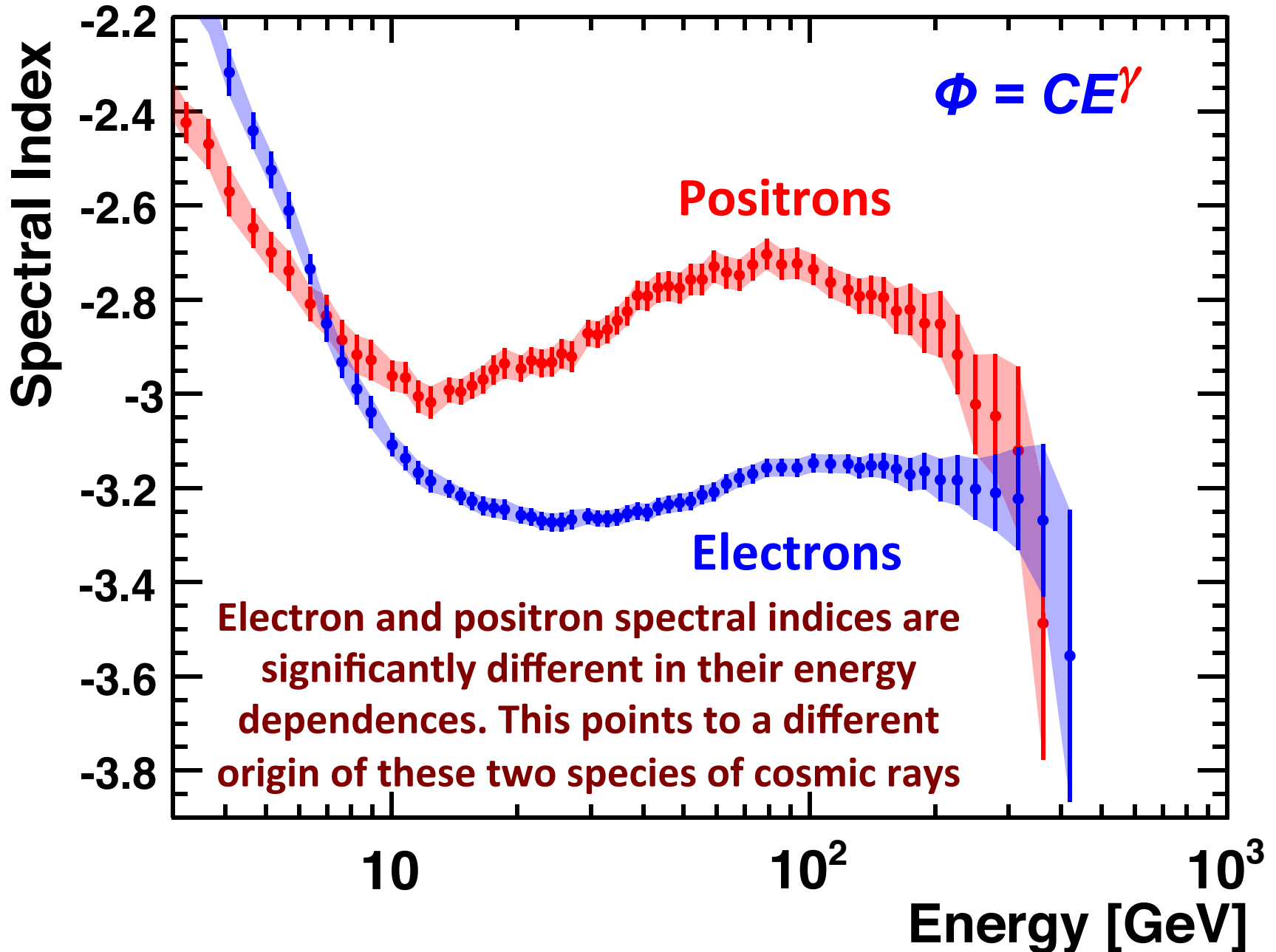


# AMS measurements of the Electron and Positron spectra

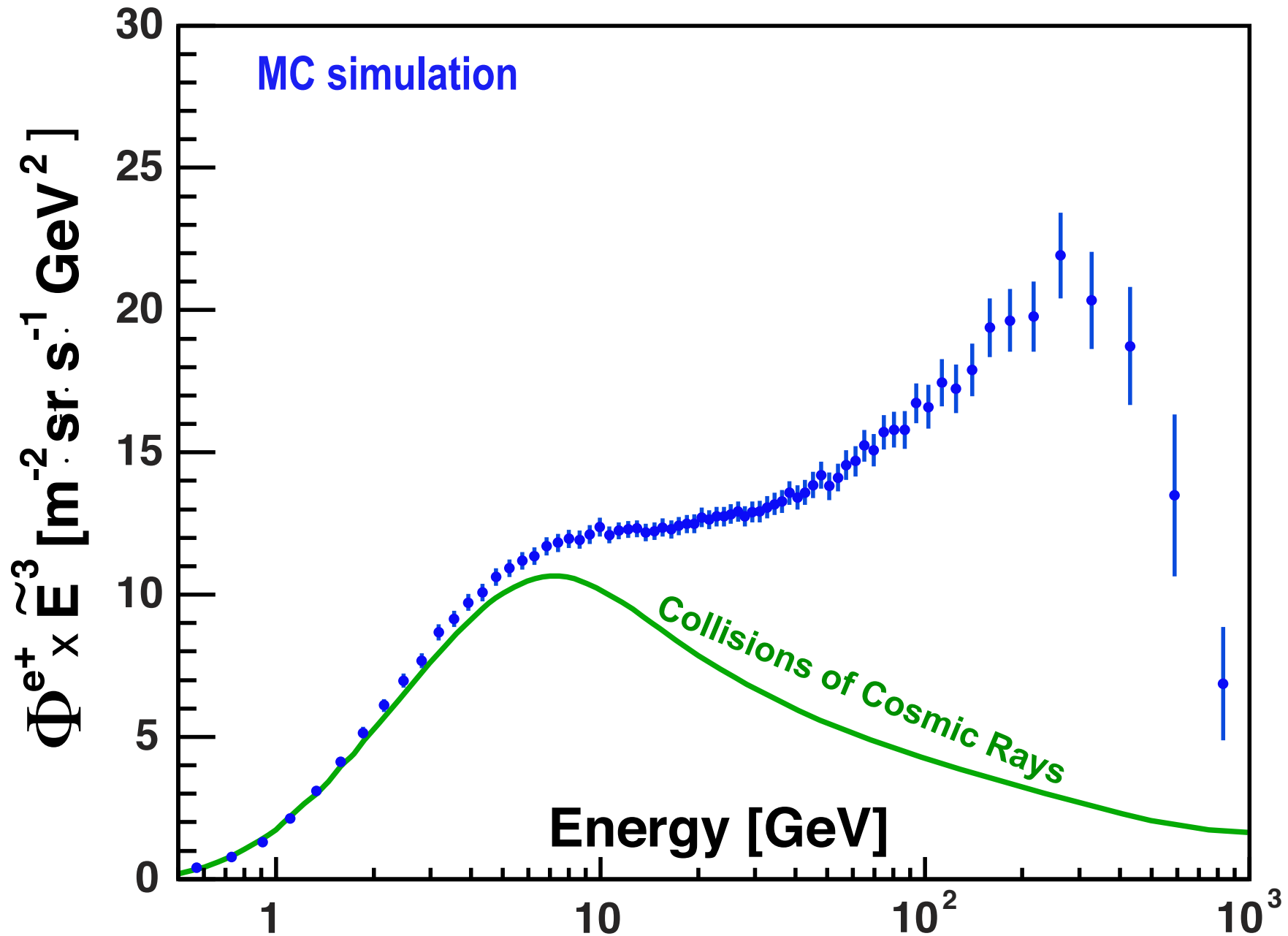


Electron and positron spectra are significantly different in their magnitudes and energy dependences. This is a clear indication of a different origins of these two species of cosmic rays

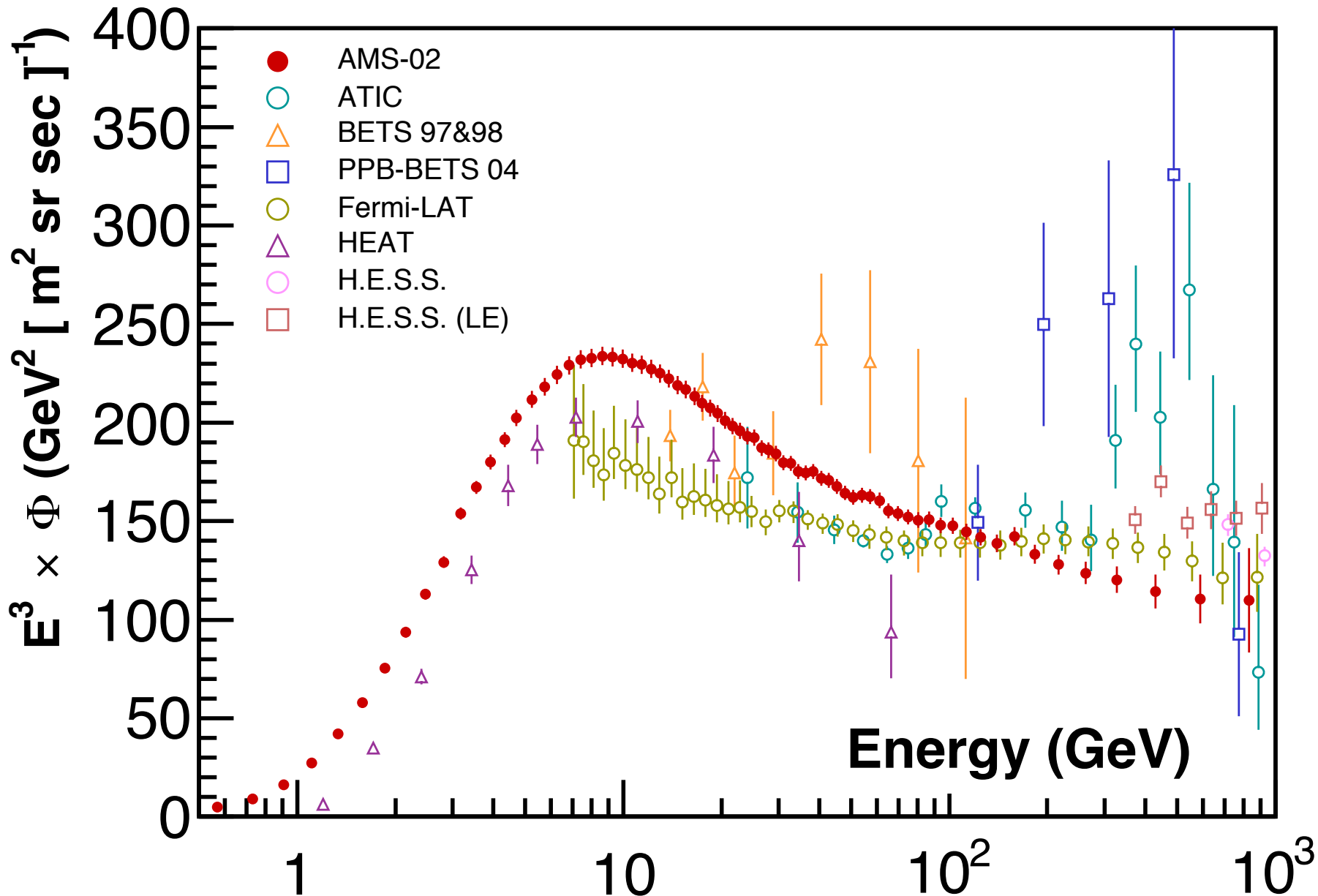
# Electron and Positron spectral indices



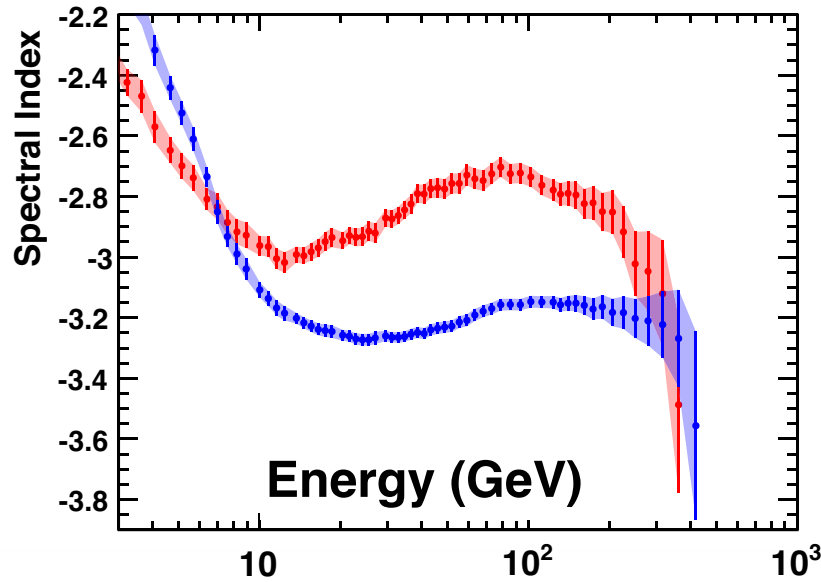
# 2024: Extend measurement to 1 TeV



# Measurement of the $(e^+ + e^-)$ flux

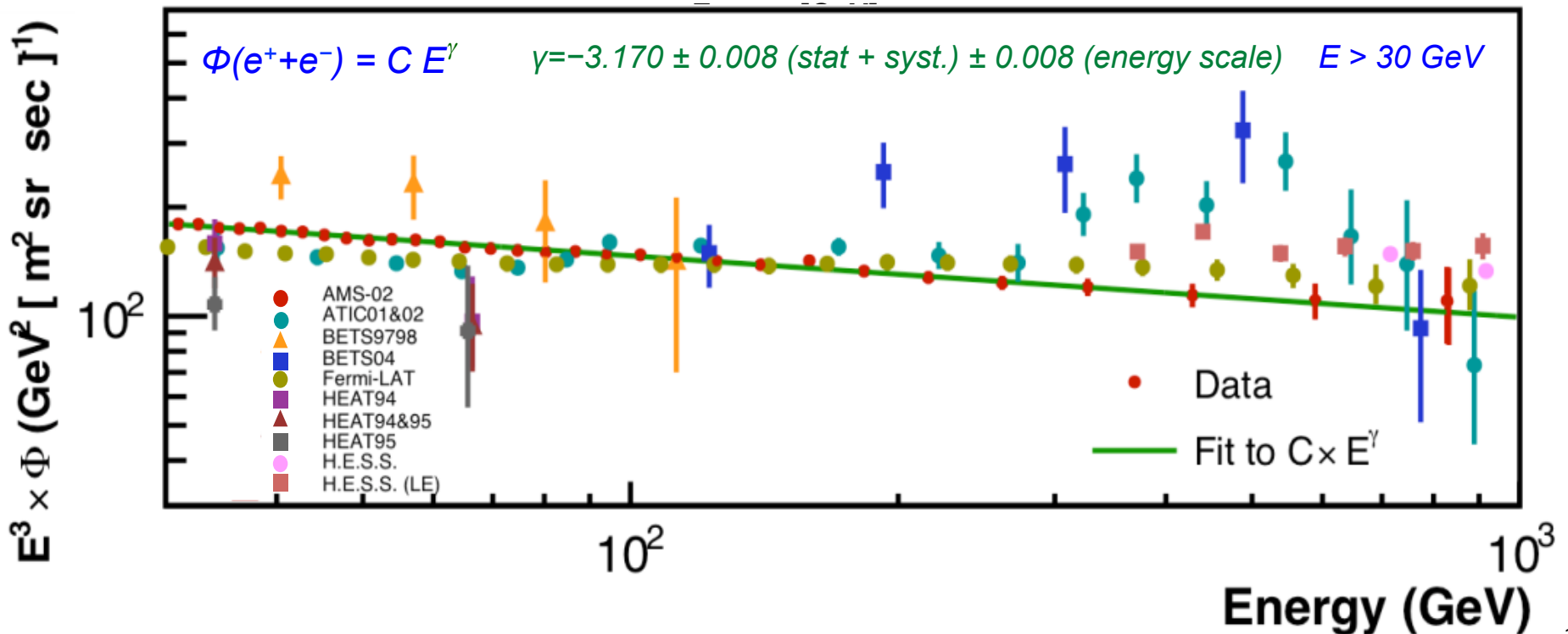


# Spectral Indices of electrons, positrons, and (electrons + positrons)

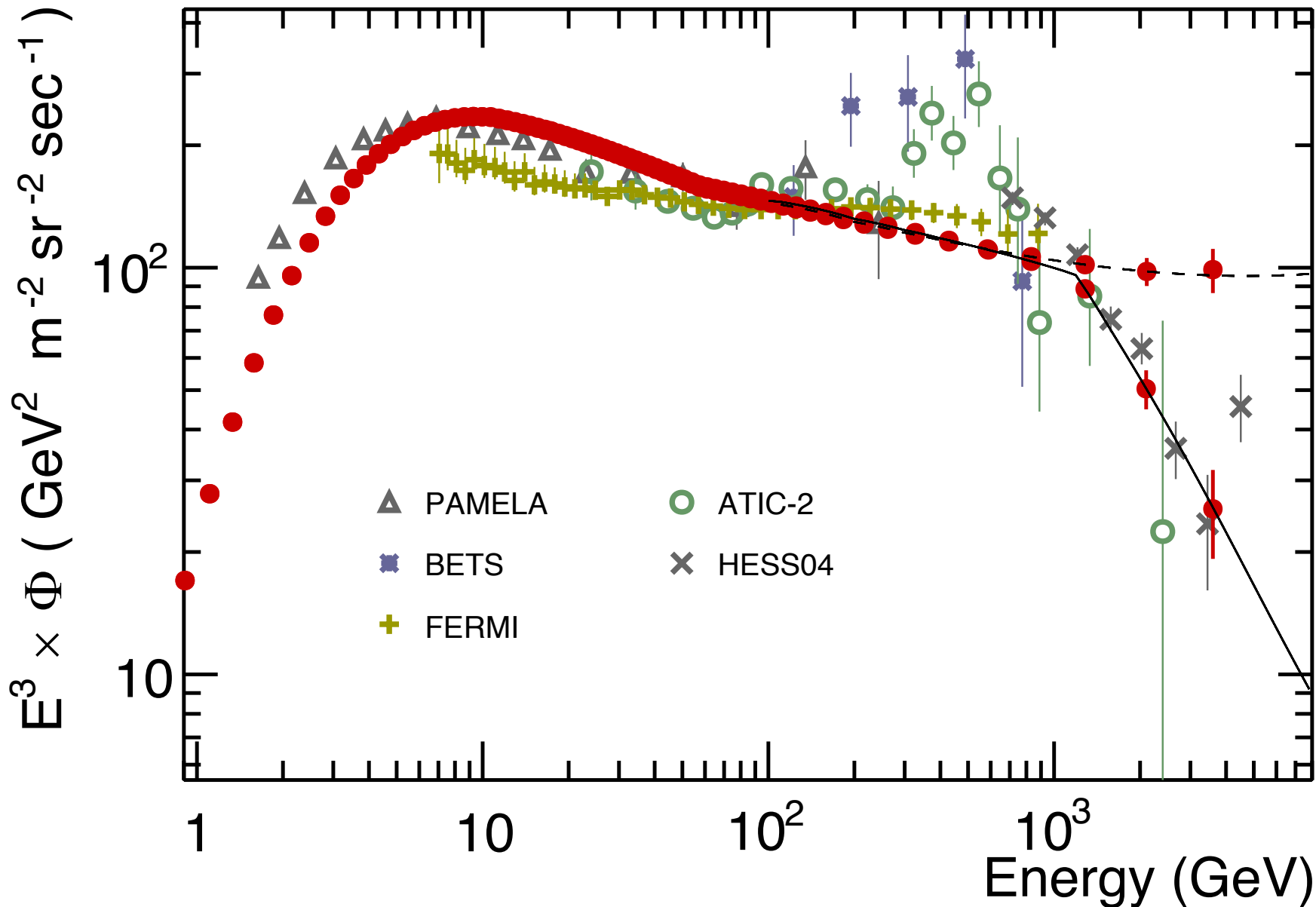


The spectral indices of electrons and **positrons** are not constant ( $\gamma=-3$ ), but change with energy

The spectral index of  $(e^+ + e^-)$  is energy independent



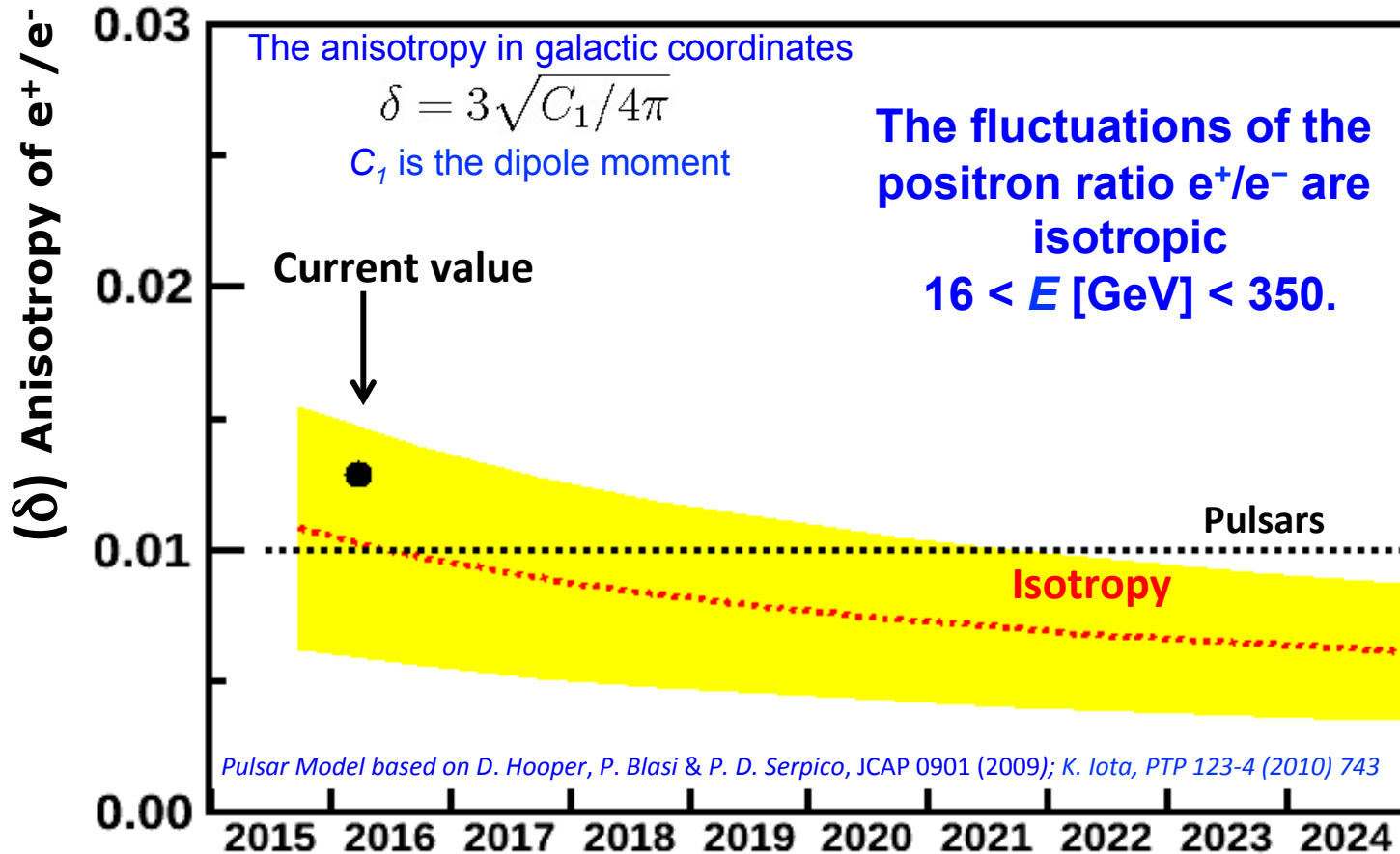
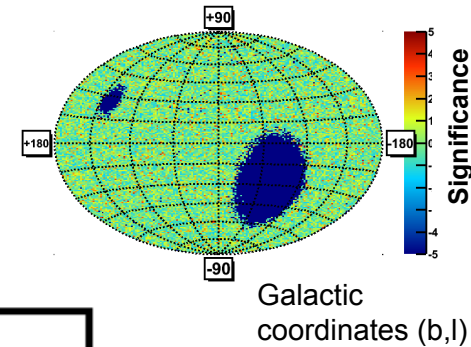
# The AMS ( $e^+ + e^-$ ) flux in 2024



AMS will be able to distinguish the ( $e^+ + e^-$ ) flux behavior above 1 TeV<sub>30</sub>

# The anisotropy of the $e^+/e^-$ ratio

Astrophysical point sources like pulsars will imprint a higher level of anisotropy on the arrival directions of energetic positrons than a smooth dark matter halo.



**Data taking to 2024 will allow to explore anisotropies of 1%**

**Many models proposed to explain  
the physics origin of the observed behavior**

- 1) Particle origin: Dark Matter**
- 2) Astrophysics origin: Pulsars, SNRs**
- 3) Secondaries: peculiarities of propagation**

**Models based on very different assumptions  
describe observed trends in the data.**

**New precision AMS measurements require  
accurate models to uncover the underlying physics**



# Examples of Theoretical Models for positrons and antiprotons

## From Dark Matter

- 1) J. Kopp, Phys. Rev. D 88, 076013 (2013);
- 2) L. Feng, R.Z. Yang, H.N. He, T.K. Dong, Y.Z. Fan and J. Chang Phys.Lett. B728 (2014) 250
- 3) M. Cirelli, M. Kadastik, M. Raidal and A. Strumia ,Nucl.Phys. B873 (2013) 530
- 4) M. Ibe, S. Iwamoto, T. Moroi and N. Yokozaki, JHEP 1308 (2013) 029
- 5) Y. Kajiyama and H. Okada, Eur.Phys.J. C74 (2014) 2722
- 6) K.R. Dienes and J. Kumar, Phys.Rev. D88 (2013) 10, 103509
- 7) L. Bergstrom, T. Bringmann, I. Cholis, D. Hooper and C. Weniger, PRL 111 (2013) 171101
- 8) K. Kohri and N. Sahu, Phys.Rev. D88 (2013) 10, 103001
- 9) P. S. Bhupal Dev, D. Kumar Ghosh, N. Okada and I. Saha, Phys.Rev. D89 (2014) 095001
- 10) A. Ibarra, A.S. Lamperstorfer and J. Silk, Phys.Rev. D89 (2014) 063539
- 11) Y. Zhao and K.M. Zurek, JHEP 1407 (2014) 017
- 12) C. H. Chen, C. W. Chiang, and T. Nomura, Phys. Lett. B 747, 495 (2015)
- 13) H. B. Jin, Y. L. Wu, and Y.-F. Zhou, Phys.Rev. D92, 055027 (2015)
- 14) M-Y. Cui, Q. Yuan, Y-L.S. Tsai and Y-Z. Fan, arXiv:1610.03840 (2016)
- 15) A. Cuoco, M. Krämer and M. Korsmeier, arXiv:1610.03071 (2016)

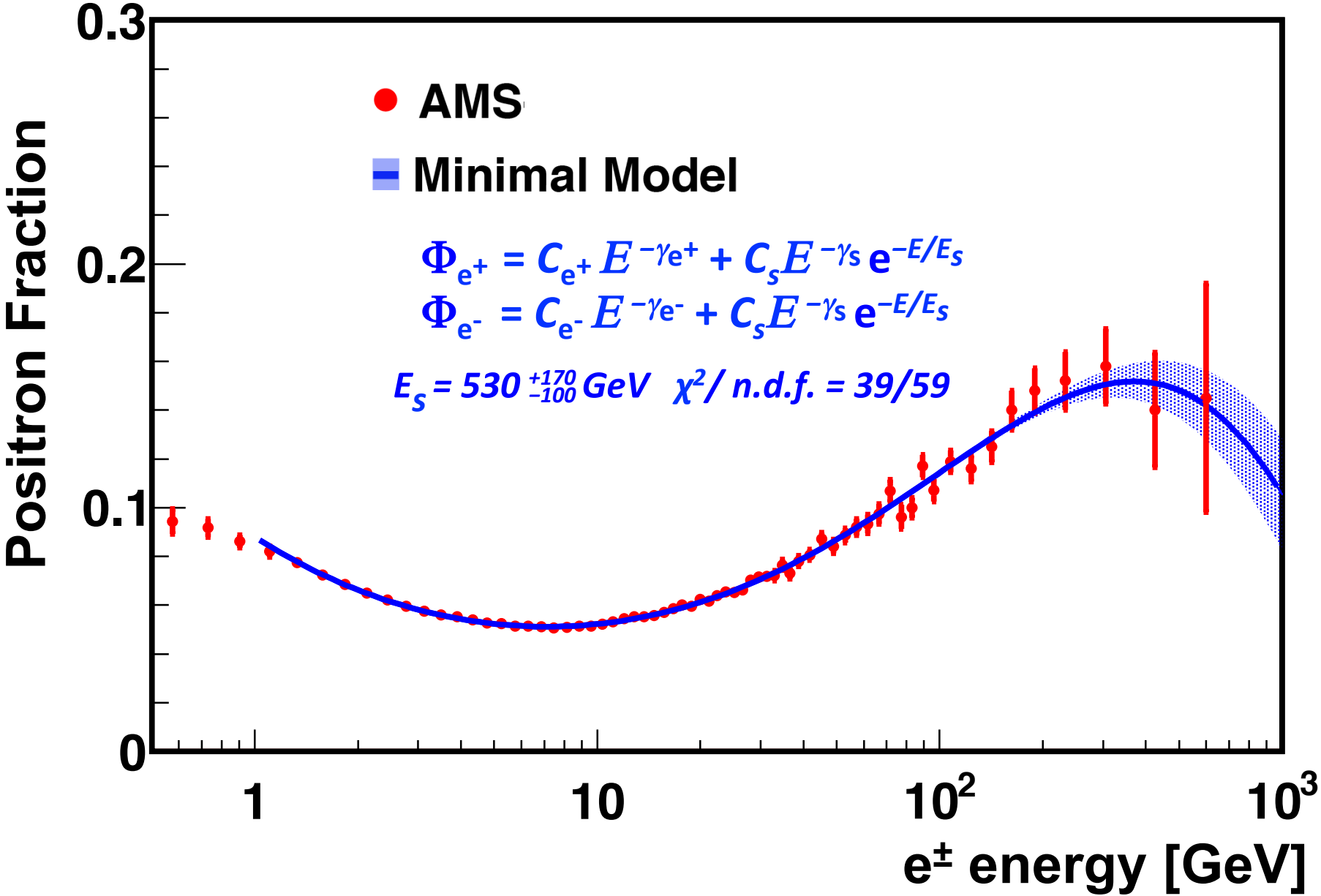
## From Astrophysical Sources

- 1) T. Linden and S. Profumo, Astrophys.J. 772 (2013) 18
- 2) P. Mertsch and S. Sarkar, Phys.Rev. D 90 (2014) 061301
- 3) I. Cholis and D. Hooper, Phys.Rev. D88 (2013) 023013
- 4) A. Erlykin and A.W. Wolfendale, Astropart.Phys. 49 (2013) 23
- 5) P.F. Yin, Z.H. Yu, Q. Yuan and X.J. Bi, Phys.Rev. D88 (2013) 2, 023001
- 6) A.D. Erlykin and A.W. Wolfendale, Astropart.Phys. 50-52 (2013) 47
- 7) E. Amato, Int.J.Mod.Phys.Conf.Ser. 28 (2014) 1460160
- 8) P. Blasi, Braz.J.Phys. 44 (2014) 426
- 9) D. Gaggero, D. Grasso, L. Maccione, G. DiBernardo and C Evoli, Phys.Rev. D89 (2014) 083007
- 10) M. DiMauro, F. Donato, N. Fornengo, R. Lineros and A. Vittino, JCAP 1404 (2014) 006
- 11) K. Kohri, K. Ioka, Y. Fujita, and R. Yamazaki, Prog. Theor. Exp. Phys. 2016, 021E01 (2016)

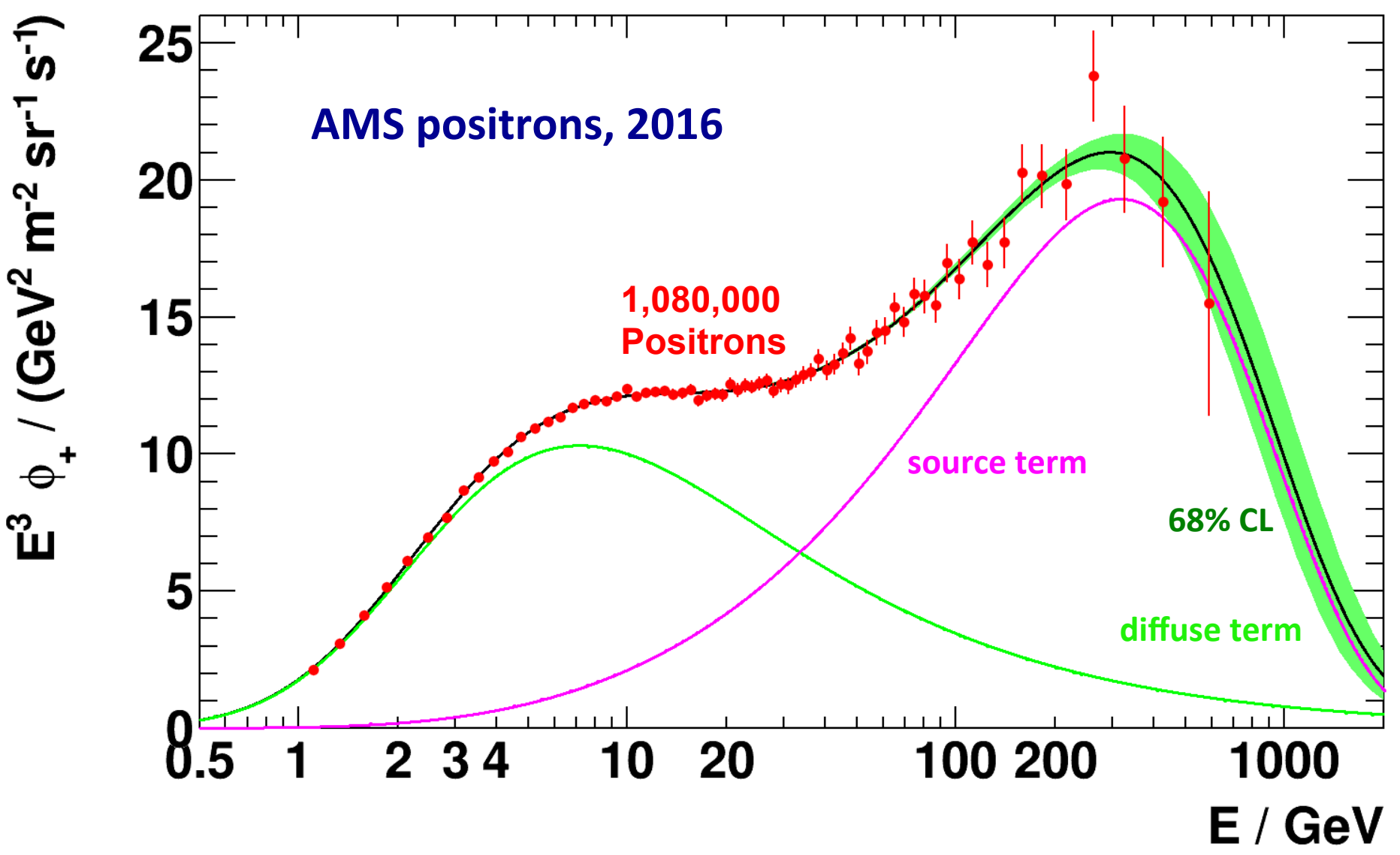
## From Secondary Production

- 1) R.Cowsik, B.Burch, and T.Madziwa-Nussinov, Ap.J. 786 (2014) 124
- 2) K. Blum, B. Katz and E. Waxman, Phys.Rev.Lett. 111 (2013) 211101
- 3) R. Kappl and M. W. Winkler, J. Cosmol. Astropart. Phys. 09 (2014) 051
- 4) G.Giesen, M.Boudaud, Y.Gènolini, V.Poulin, M.Cirelli, P.Salati and P.D.Serpico, JCAP09 (2015) 023;
- 5) C.Evoli, D.Gaggero and D.Grasso, JCAP 12 (2015) 039.
- 6) R.Kappl, A.Reinertand, and M.W.Winkler, arXiv:1506.04145 (2015)

# Additional source of high energy electrons and positrons

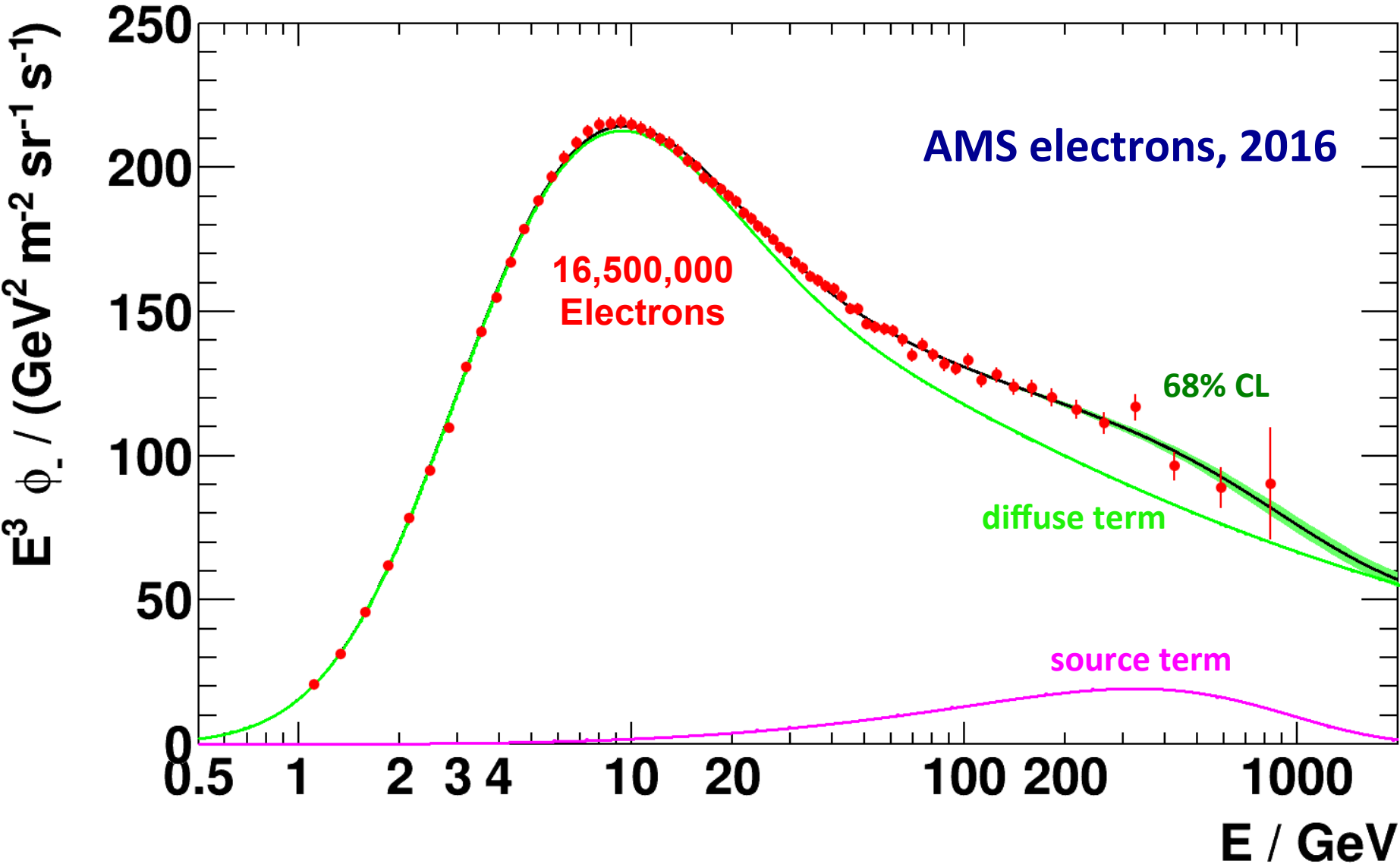


# Additional source of high energy electrons and positrons



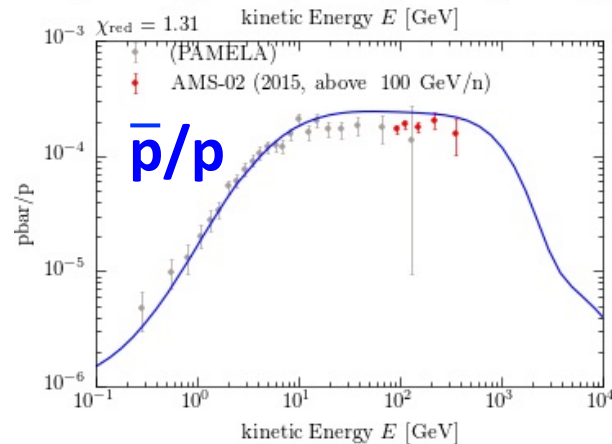
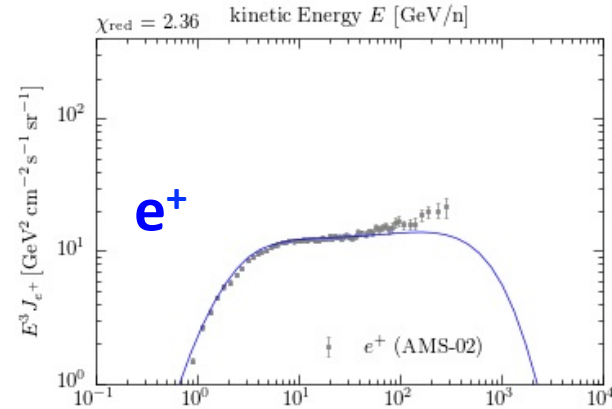
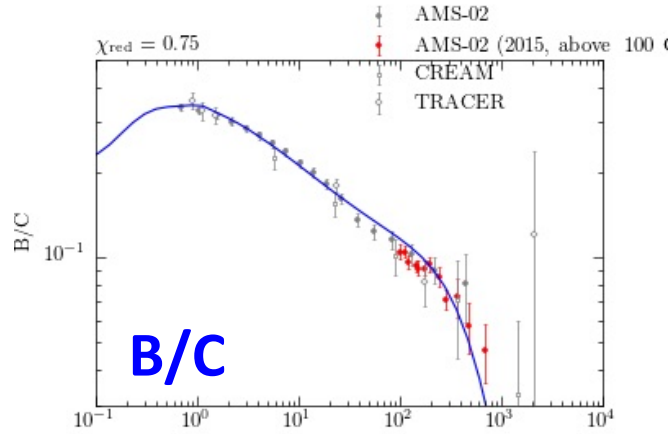
➤ The significance of the energy cutoff of the source term is  $\sim 3\sigma$ .

# Additional source of high energy electrons and positrons



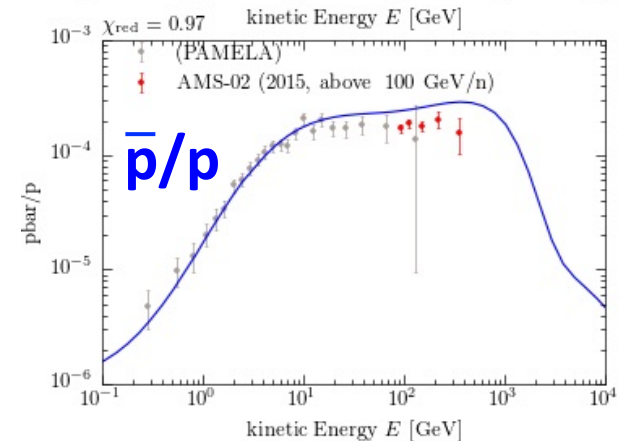
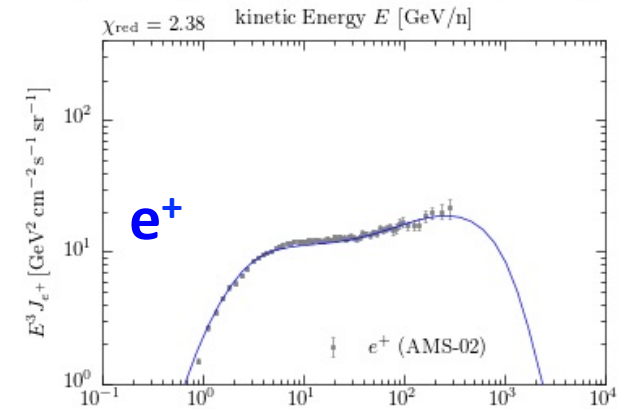
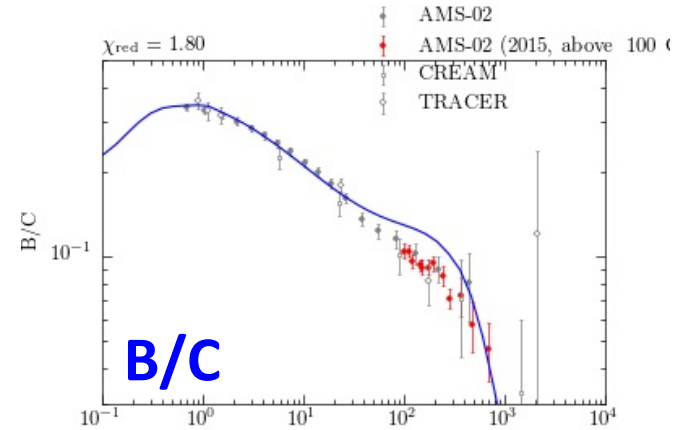
➤ Contribution of the source term becomes clearly visible

# Astrophysical sources: S. Sarkar, April 2015



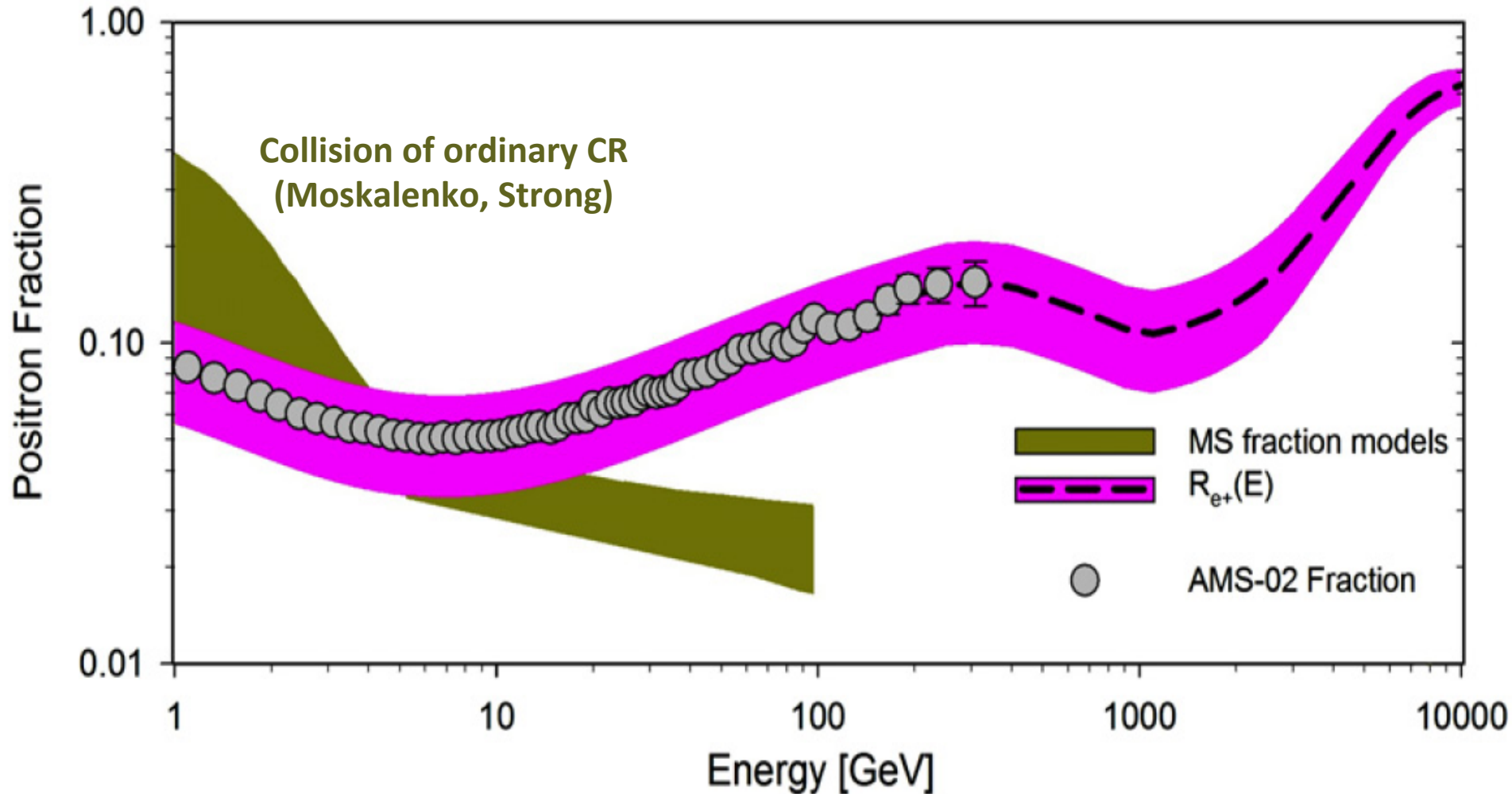
We have been trying (late last night!) to get better fits to the new data but it is not easy ... perhaps our model is *too* simple and some further refinements are necessary.

This is justified now that we have *precision* data from AMS!



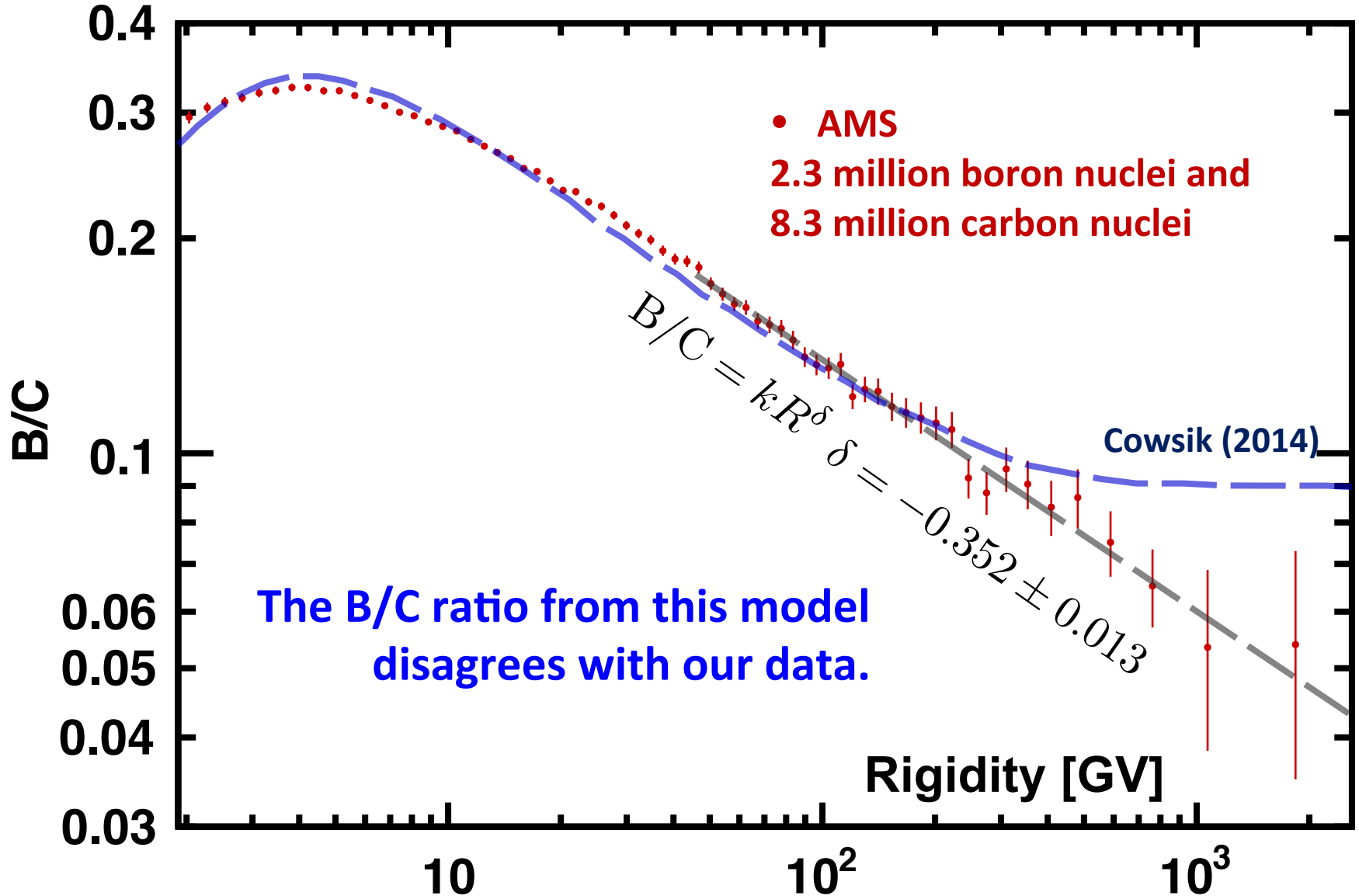
# Propagation of secondaries (example of many)

R. Cowsik, B. Burch, and T. Madziwa-Nussinov, Ap. J. 786 (2014) 124

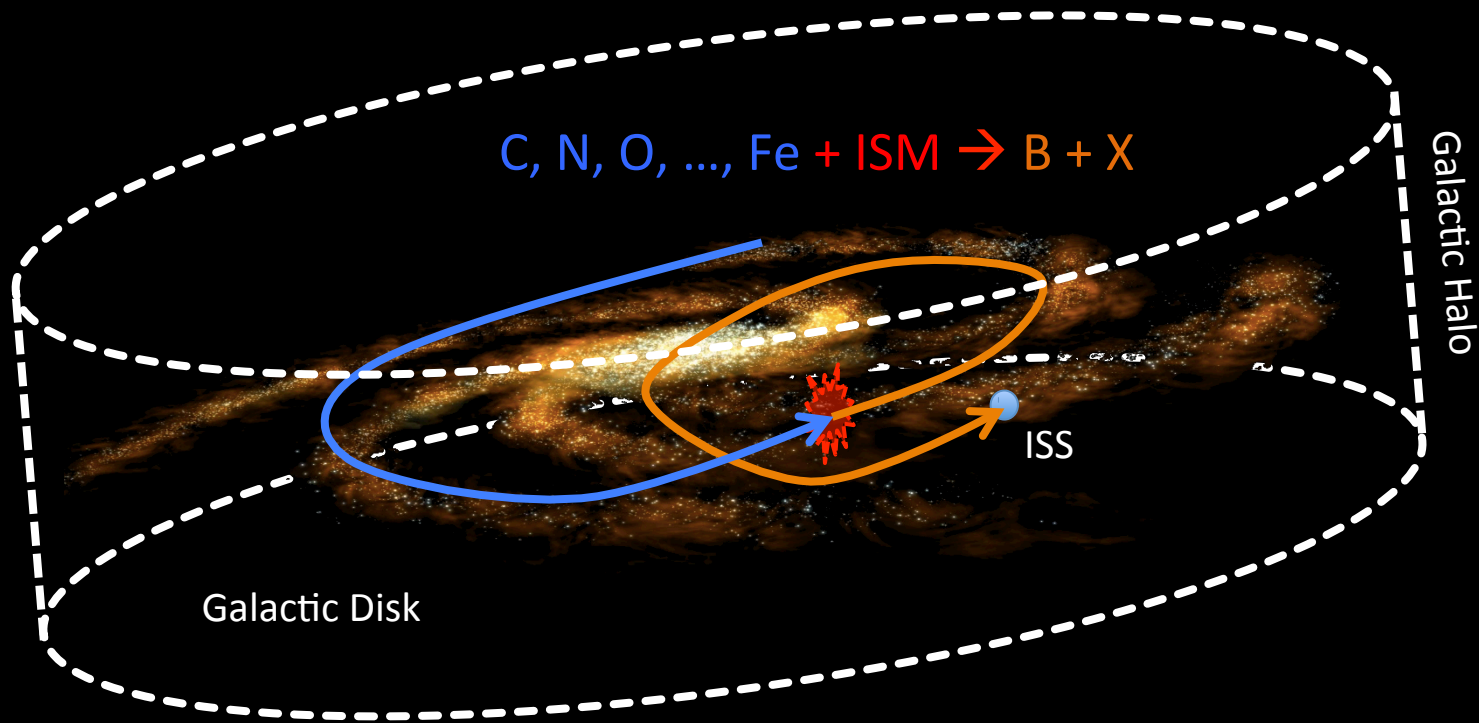


# Propagation of secondaries

R. Cowsik, B. Burch, and T. Madziwa-Nussinov, Ap. J. 786 (2014) 124



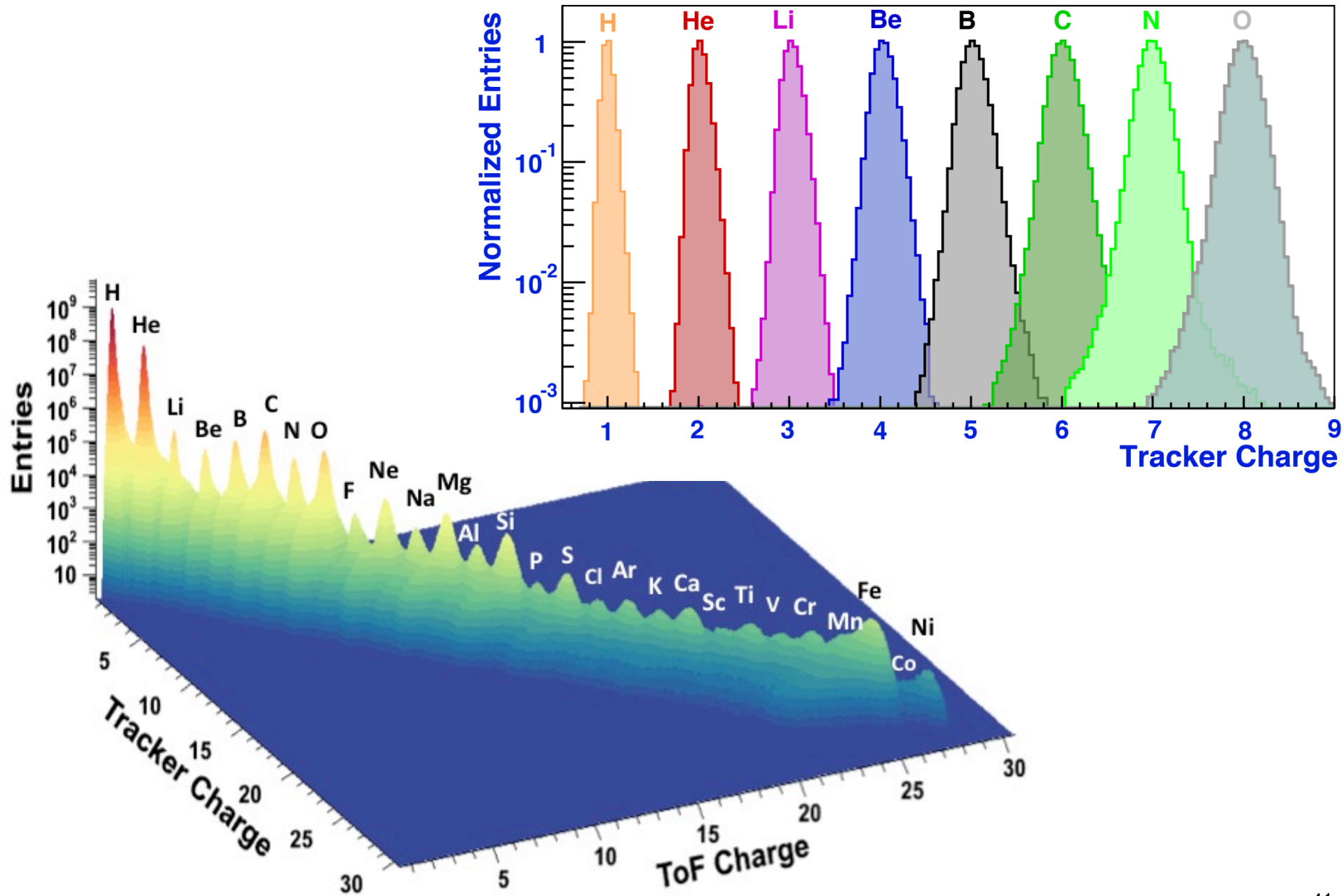
# Boron-to-Carbon Flux Ratio



The Boron-to-Carbon ratio (B/C) in cosmic rays is a powerful tool to **determine cosmic ray propagation (the amount of matter)** as Boron is assumed to be produced purely from collision of primary cosmic rays, such as Carbon and Oxygen, with the interstellar medium (ISM).



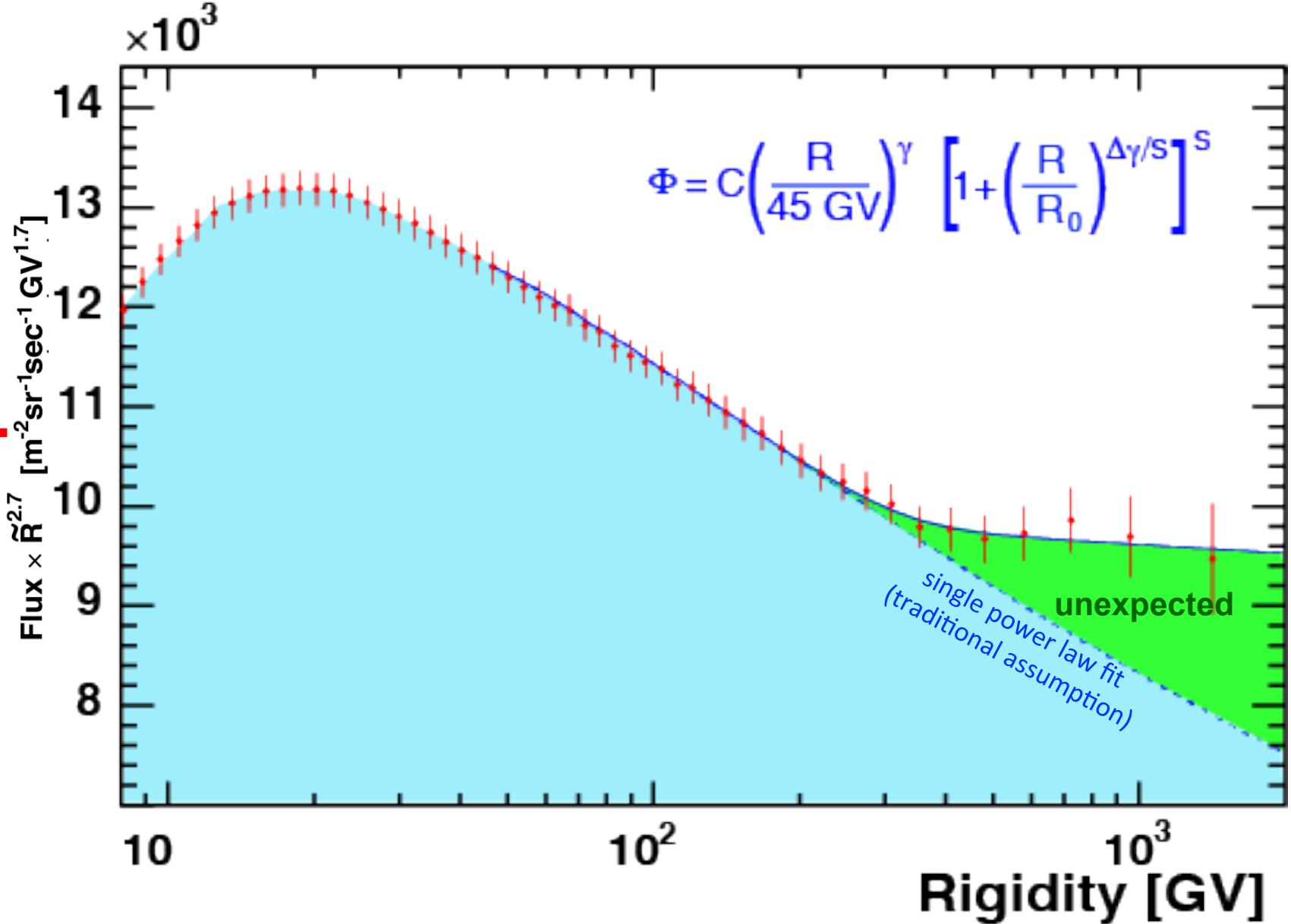
# AMS Measurements of Nuclei



# AMS proton flux

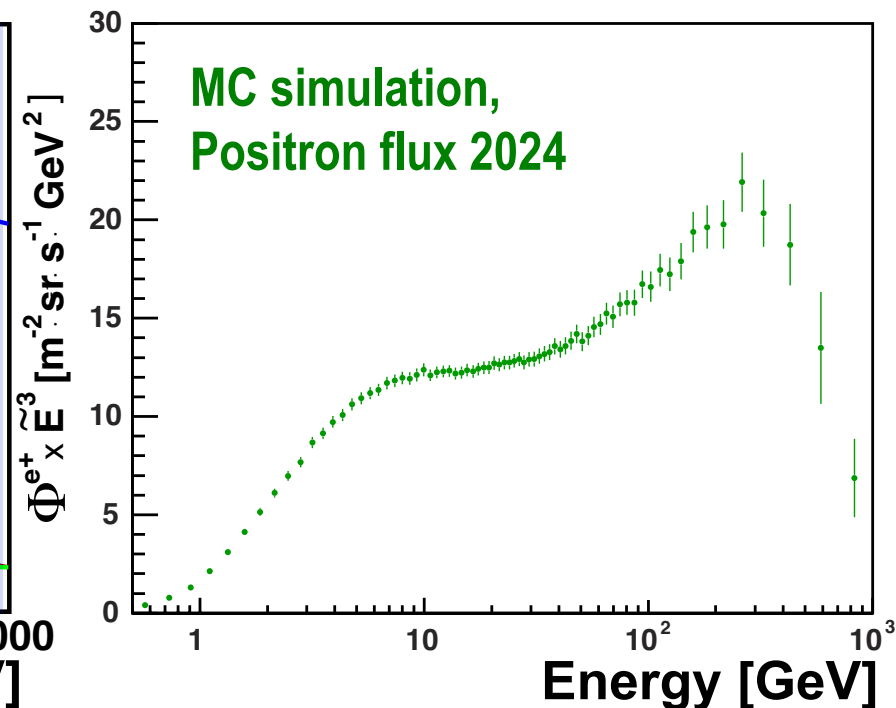
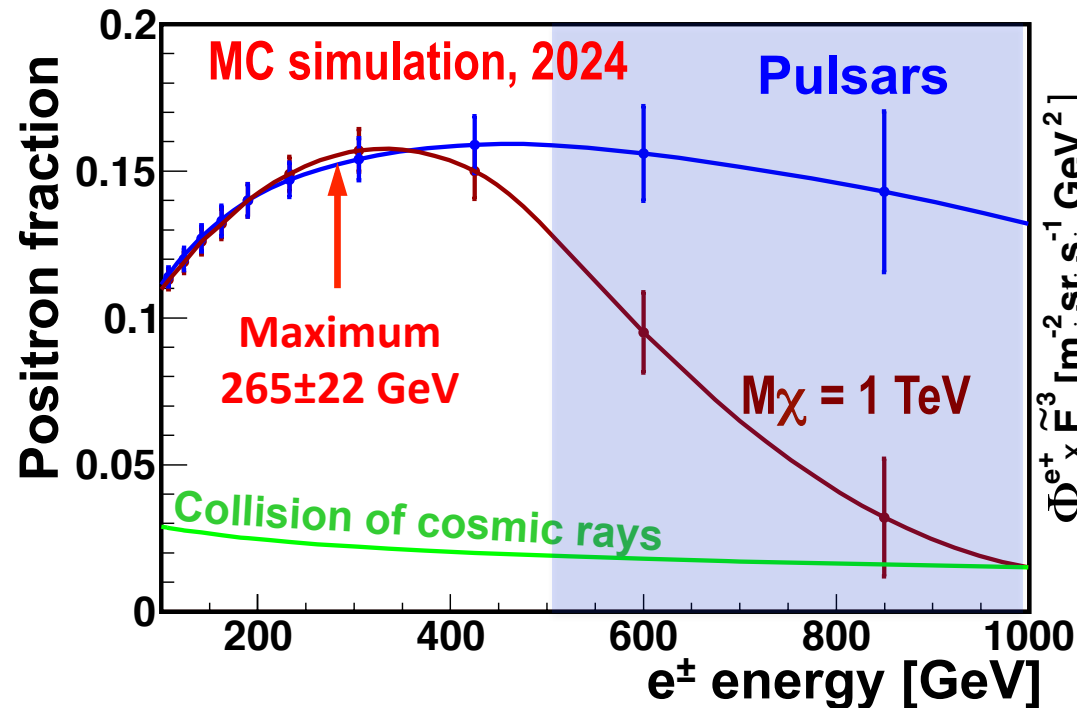
New information: The proton flux cannot be described by a single power law =  $CR^\gamma$

## Proton Spectrum

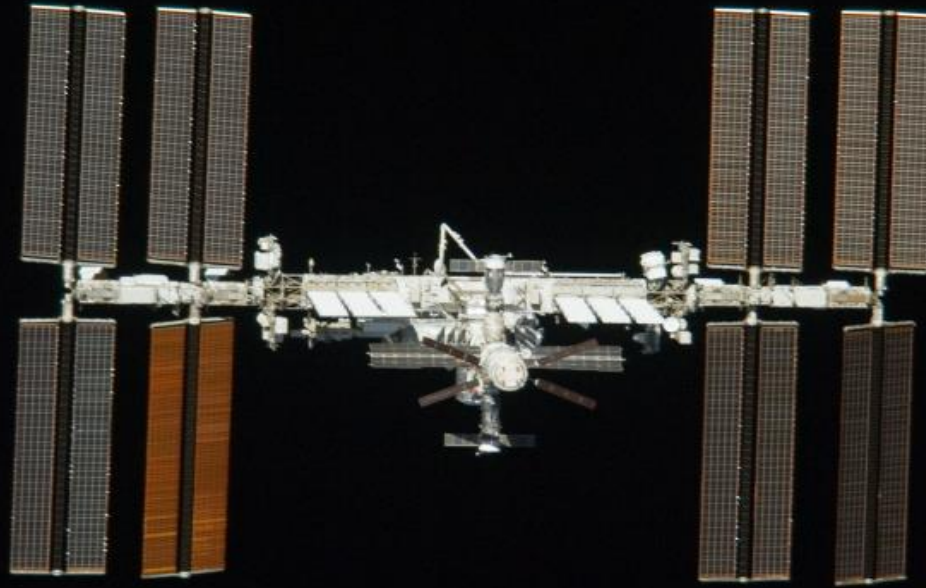


# Conclusions

1. Positron fraction ( $2 \times 10^7$   $e^+$  and  $e^-$  events) requires an additional source of high energy  $e^+$  and  $e^-$  (e.g. DM models):
  - can't be explained by the ordinary CR collisions
  - at  $265 \pm 22$  GeV the fraction reaches its maximum;
  - measurement to 2024 enable us to determine the origin of the behavior of CR positrons at high energies



The latest AMS measurements of the positron fraction, the antiproton/proton ratio, the behavior of the fluxes of electrons, positrons, protons, helium, and other nuclei provide precise and unexpected information. The accuracy and characteristics of the data, simultaneously from many different types of cosmic rays, require a comprehensive model to ascertain if their origin is from dark matter, astrophysical sources, acceleration mechanisms or a combination.



## Physics in the next ten years:

Accurate measurement ( $\sim 1\%$ ) of Cosmic Rays to higher energies including:

- a. Continue the study of Dark Matter
- b. Search for the Existence of Antimatter
- c. Search for New Phenomena, Strangelets ...