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# Measurements of Tau Neutrino Appearance with IceCube-DeepCore

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## **Atmospheric Neutrino Oscillations**

- Neutrino oscillations are governed by the PMNS matrix
  - Nine separate terms
  - If unitary, can reduce to 3 mixing angles + 1 complex phase

$$\begin{bmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{bmatrix} = \begin{bmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{bmatrix} \begin{bmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{bmatrix}$$

- It is possible that the 3x3 matrix is an approximation to a larger NxN mixing matrix
  - 3x3 PMNS matrix approximation would show non-unitarity
- Two approaches are possible to look for non-unitarity:
  - Sterile neutrino searches for 1+N, N+1 mixing terms
  - Improved measurements of standard oscillation parameters
    - Requires information from a variety of energies, baselines





#### Constraints on 3x3 PMNS Matrix Terms

- Strong experimental constraints on  $v_e$  and  $v_\mu$  terms even without unitarity

- Large uncertainties on  $v_{\tau}$  related terms without unitarity constraints

## Constraining $v_{\tau}$ Mixing Elements

- Oscillations occur from atmospheric neutrinos
  - Typically use a baseline of the Earth
  - We are dominated by  $v_{\mu} \rightarrow v_{\tau}$  oscillations around 20 GeV
- Two potential measurements here:
  - $v_{\mu}$  Disappearance:

$$P_{\nu_{\mu}\to\nu_{\mu}} = \left|\sum_{j} U_{\mu j}^* U_{\mu j} e^{-im_j^2 L/2E}\right|$$

•  $v_{\tau}$  Appearance:

$$P_{\nu_{\mu}\to\nu_{\tau}} = \left|\sum_{j} U^*_{\mu j} U_{\tau j} e^{-im_j^2 L/2E}\right|$$

- The two channels are sensitive to different elements
  - Measure both to improve limits on some of the  $v_\tau$  elements



#### Measurements of $v_{\tau}$ Appearance in OPERA

- Measurement of CERN  $v_{\mu}$  at Gran Sasso from 2008-2012
  - · Looking for CC interactions
- Emulsion cloud chambers
  - High precision position resolution
  - Allows direct observation of τ lepton
- Observed 5  $v_\tau$  candidate events
  - Expected signal: 2.64 ± 0.53 events
  - Expected background: 0.25 ± 0.05 events
- Rejection of no-appearance at  $5.1\sigma$



FIG. 2: Event display of the fifth  $\nu_{\tau}$  candidate event in the horizontal projection longitudinal to the neutrino direction. The primary and secondary vertices are indicated as  $V_0$  and  $V_1$ , respectively. The black stubs represent the track segments as measured in the films.

#### Measurements of $v_{\tau}$ Appearance in SuperK



FIG. 3. Fit results showing projections in the NN output and zenith angle distribution for taulike (NN>0.5), upward-going  $[\cos(\theta) < -0.2]$ , nontaulike (NN<0.5), and downward-going  $[\cos(\theta) > 0.2]$  events for both the two-dimensional PDFs and data. The PDFs and data sets have been combined from SK-I through SK-III in this figure. The fitted tau signal is shown in gray.

- Atmospheric neutrino search using Super-Kamiokande
  - SKI-III (1996-2008)
  - Looking for CC interactions
- Can't identify individual  $v_\tau$  events like OPERA
  - Relies on neural net to separate τ-like from other interactions
- Observed signal:
  - 180.1±44.3(stat)<sup>+17.8</sup>/<sub>-15.2</sub>(syst)
- Expected signal:
  - 120.2<sup>+34.2</sup><sub>-34.8</sub>(syst)
- Rejection of no-appearance at 3.8σ
  - More recently, at 4.6σ with SKI-IV

### Tau Neutrino Appearance in IceCube-DeepCore

- Constraints not yet precise enough for strong statements on PMNS unitarity
  - Largest uncertainties on  $\tau$ -related mixing elements
  - $v_{\tau}$  appearance measurements can begin to give an additional handle for unitarity tests
- Current IceCube analyses constrain  $v_{\mu} \rightarrow v_{\tau}$  from disappearance channel
  - Observation of  $v_{\mu}$  disappearance implies  $v_{\mu} \rightarrow v_{\tau}$  appearance
- Challenge:
  - Identify 20-30 GeV τ leptons
    - Expected decay length: O(mm)
    - DeepCore sensor granularity: 7 m
  - DeepCore cannot identify  $\tau$  interactions individually
- Instead, focus on a inclusive appearance measurement like SuperK



#### Defining an "Appearance Strength" Parameter

- Use what OPERA, Super-Kamiokande have done previously
  - Define "tau normalization",  $N_{\tau}$ , as modification of expected tau neutrino event rate from standard muon neutrino flux, oscillations

$$R'_{\nu_{\tau}} = N_{\nu_{\tau}} R_{\nu_{\tau}}(\theta_{23}, \theta_{13}, \Delta m_{31}^2, \dots)$$

- Fit both disappearance and appearance simultaneously
  - Disappearance primarily in track-like events
  - Appearance primarily in cascade-like events
- Can apply this to just CC  $v_{\tau}$  or both (NC+CC)  $v_{\tau}$  interactions
  - DeepCore does not have strong differentiation between NC events and  $v_\tau$  interactions
  - Showing the latter today. CC-only in backup



#### Start by Using the Existing Event Selection

- v<sub>µ</sub> disappearance gives a significant background uncertainty
- We can use the existing event selection from previous talk
  - Already constrains disappearance signal
  - Provides both track-like events and cascade-like events
- Using a similar set of systematics, we produce an expected sensitivity



#### Using the Disappearance Event Selection (Analysis 1)



### **Increasing Sensitivity to Appearance**

- The existing event sample was designed for a very clean muon neutrino disappearance measurement
  - $v_{\tau}$  were not actively rejected
  - ... but also not actively selected for.
- · Can we build a better dataset for appearance? Yes!

#### Analysis 1

- Developed for  $v_{\mu}$  disappearance measurement
- Uses data to model background muons
- Strong containment on starting, stopping vertex
- · Weaker reliance on BDTs
- Shown to give good data/MC agreement
- Expects 40k events/3 years

#### Analysis 2

- Developed for v<sub>τ</sub> appearance measurement
- Uses simulation to model background muons
- Strong containment on starting vertex only
- Stronger reliance on BDTs
- Currently in development
- Expects 85k events/3 years



## The Future of $v_{\tau}$ Appearance Measurements with Phase1

- How can we further improve sensitivity to ντ appearance?
  - Perform an upgrade to IceCube-DeepCore
- Initial studies are very promising
  - 7 additional strings
  - More precise calibrations
  - Significantly more GeV-scale events
- For more detail, see talk by Ken Clark later this session
- Using existing tools, perform a simple event selection to search for appearance







#### Conclusions

- IceCube-DeepCore can observe inclusive  $v_{\tau}$  appearance
- Current disappearance event selection is being used
  - Well-understood, reasonable results
- · A new event selection is being developed
  - Significantly higher statistics
  - Improved sensitivity to oscillation effects
- The Phase1 upgrade to DeepCore can help
  - Can be used for better calibration, especially at low energies needed for appearance studies
  - Improved sensitivity to GeV-scale neutrinos
  - Even with relatively simple event selection, improving on sensitivity relative to DeepCore



**Backup Slides** 







- Wide energy reach
  - High energy events used
    as control region
- High statistics:
  - Expect approximately 85k events/3 years
- Sample is divided using reconstructed muon length
  - "Track-like": L ≥ 50 m
  - "Cascade-like": L < 50 m
- Using common systematics with previous analyses



# **Included Systematics**

Normalizations	
N <sub>atm.µ</sub>	Normalization of Atm. Muons
Ne	Flux normalization of ve
N <sub>NC</sub>	Normalization of neutral current interactions
f <sub>Coin</sub>	Fraction of neutrino events with a coincident muon
Oscillations	
θ <sub>23</sub>	Atmospheric mixing angle
θ <sub>13</sub>	Reactor mixing angle
$\Delta m_{23}^2$	Mass difference between $v_2$ , $v_3$
Detector Uncertainties	
Sca. Lholeice	Scattering length in refrozen ice
LSca.+Abs. Loulkice	Scattering, absorption in glacial ice
<b>ε</b> ρμτs	Efficiency of the PMTs
Flux Uncertainties	
γv	Neutrino spectral index
γμ	Cosmic ray spectral index
$\sigma_v^{Flux}$	Zenith angle uncertainty in the neutrino flux
v-⊽ Ratio	Neutrino-antineutrino ratio
Cross-section Uncertainties	
MA <sup>QE</sup>	Quasi-elastic axial mass
MARES	Resonant axial mass
σ <sub>DIS</sub>	Shape uncertainty associated with DIS interactions

## Method for Statistical Appearance Measurement

