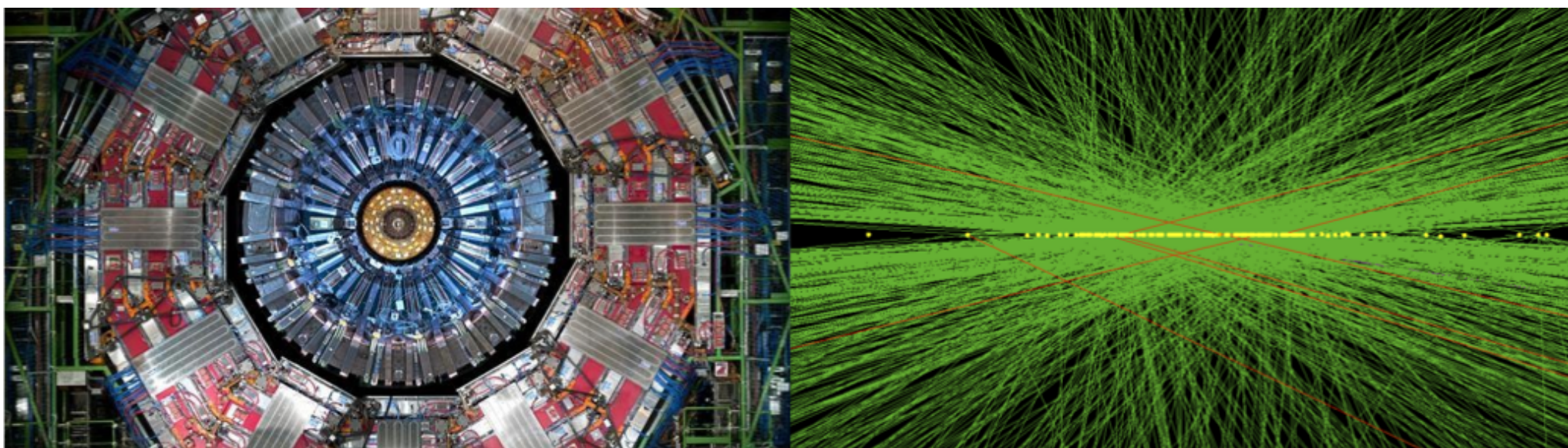


# Prospects for a Precision Timing Upgrade of the CMS Crystal Electromagnetic Calorimeter for the HL-LHC

Colin Jessop  
University of Notre Dame

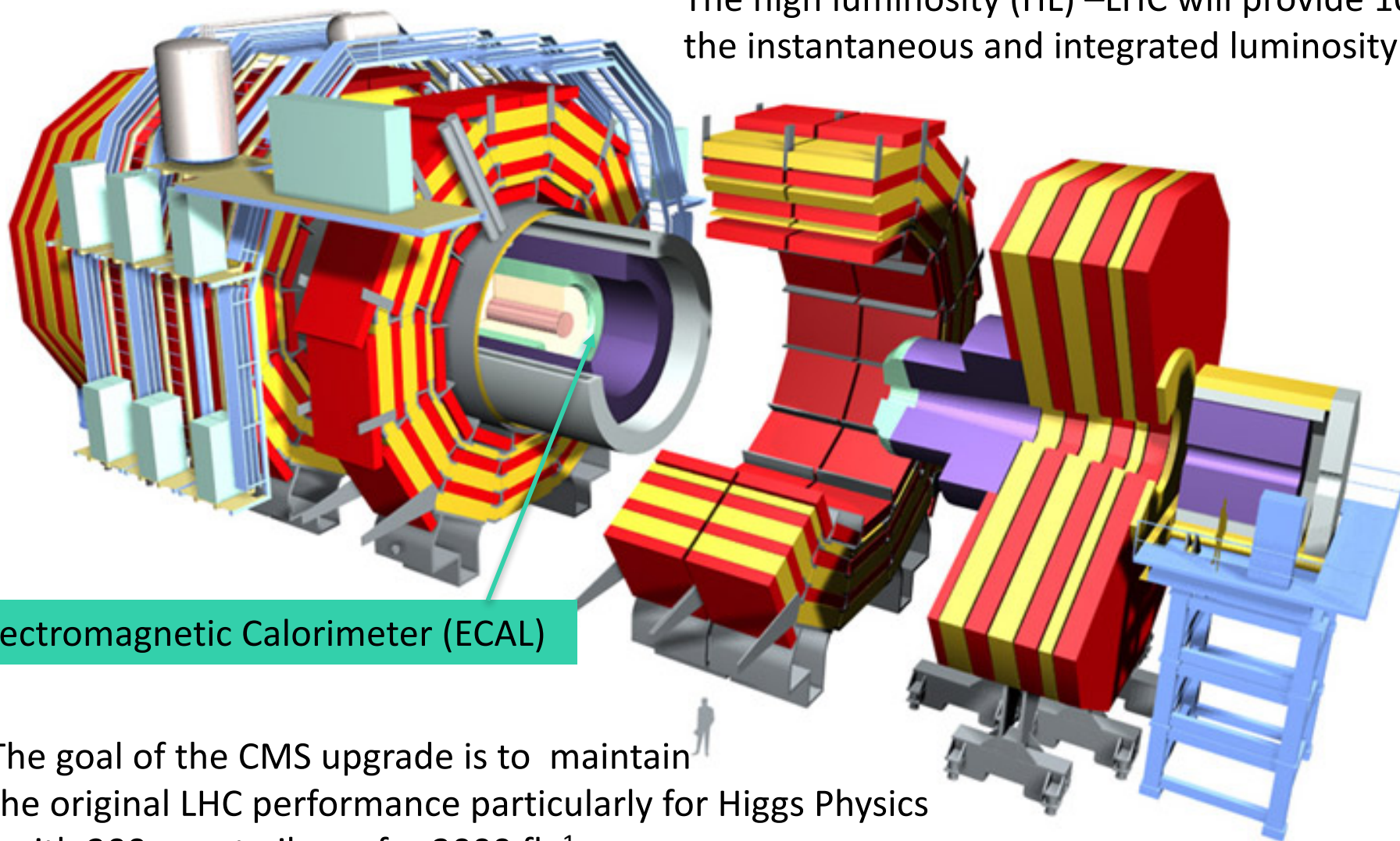
for the CMS Collaboration  
September 14, 2017



# The CMS detector at the (HL) LHC

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The high luminosity (HL) –LHC will provide 10x the instantaneous and integrated luminosity vs LHC

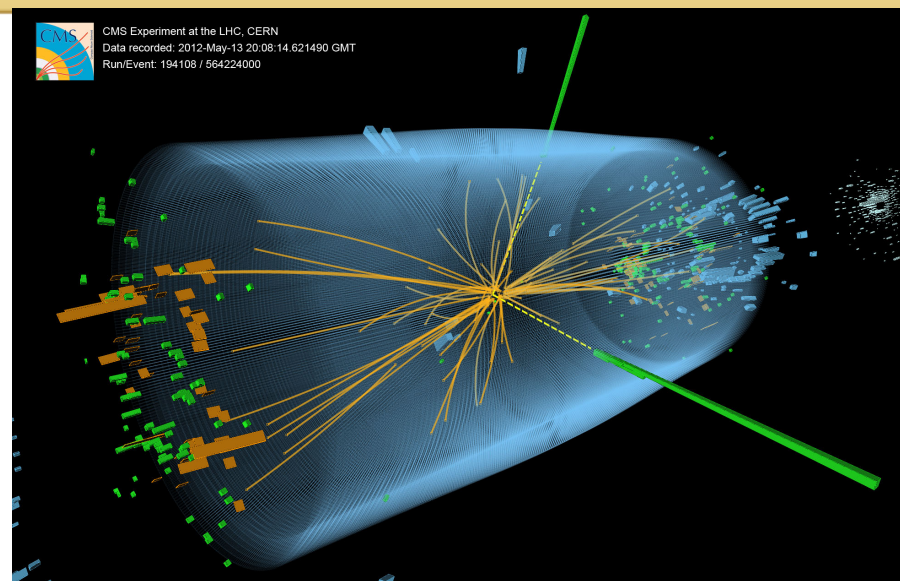
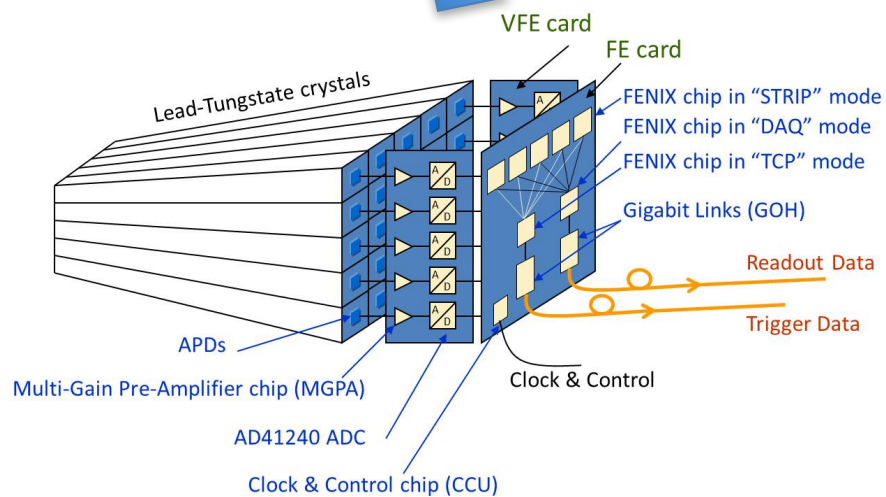
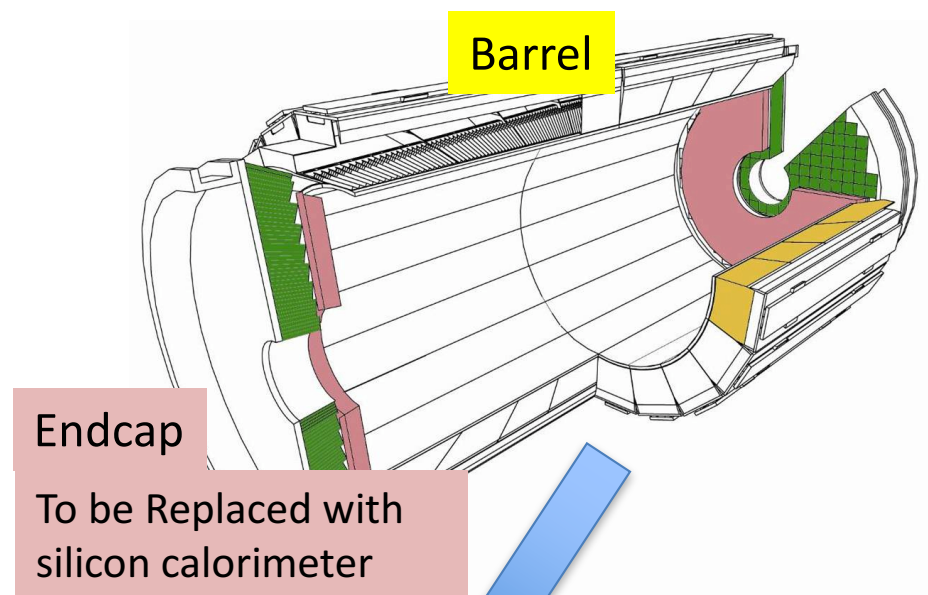


Electromagnetic Calorimeter (ECAL)

The goal of the CMS upgrade is to maintain the original LHC performance particularly for Higgs Physics, with 200 event pileup, for  $3000 \text{ fb}^{-1}$



# The Barrel Electromagnetic Calorimeter

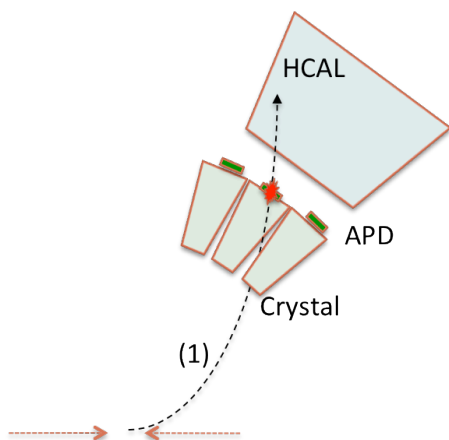


A  $H \rightarrow \gamma\gamma$  candidate event observed in the ECAL

Parameter	Barrel
$\eta$ coverage	$ \eta  < 1.5$
# crystals	61200
Granularity	36 supermodules

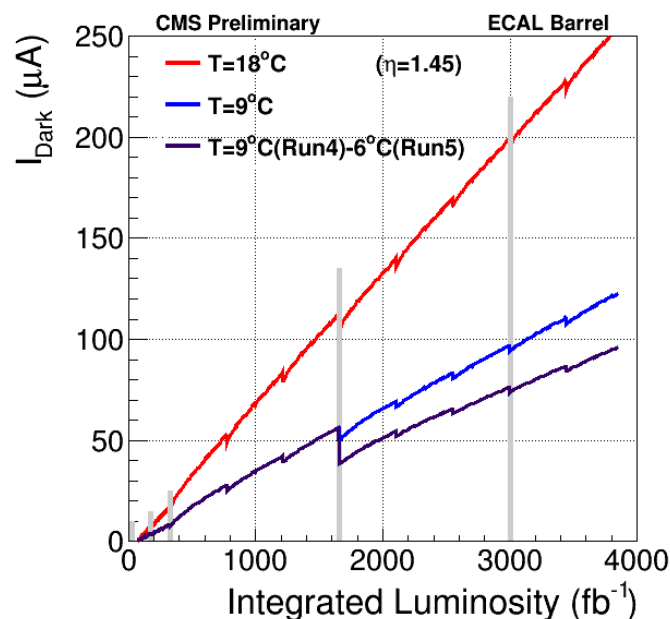
# ECAL Barrel Upgrade Technical Requirements

1. Accommodate HL-LHC trigger requirements to be able to trigger on Higgs Bosons



2. Provide 1x1 crystal info to trigger instead of present 5x5. Better isolation and also better spike rejection

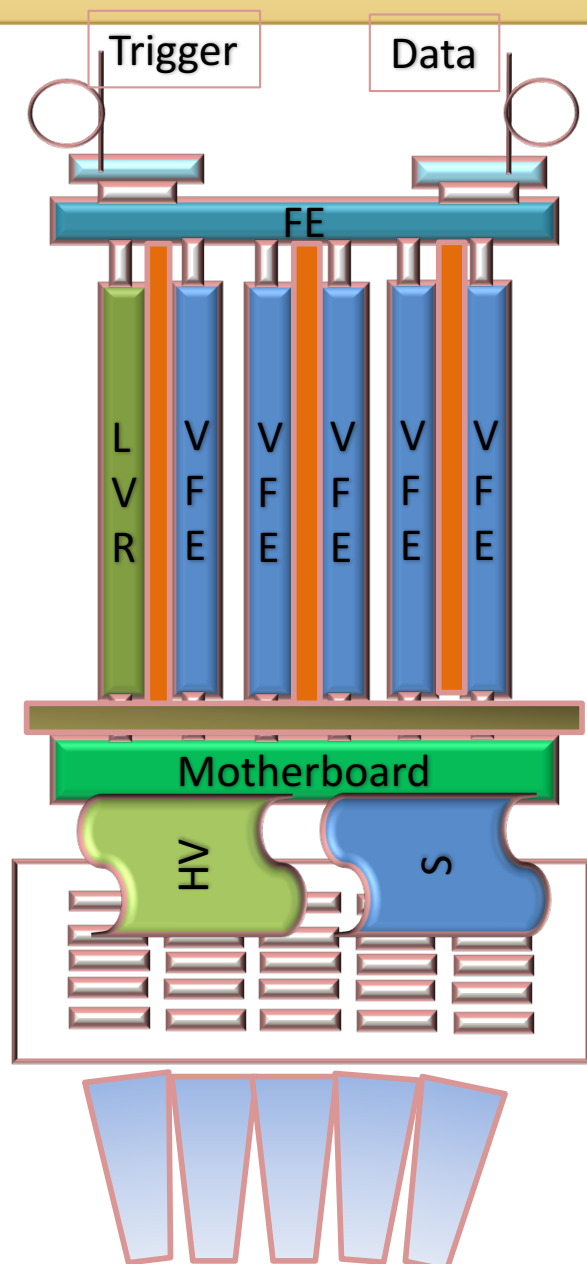
	Max Level 1 Accept Rate	Max Latency
Trigger Phase 2	750 kHz	12.5 $\mu$ S
ECAL Phase 1	150 kHz	6.4 $\mu$ S



3. Cool photodetectors (18- $\rightarrow$ 9 $^{\circ}$ C) to reduce noise increase due to radiation damage. Also change pre-amp for more optimal noise filter and 30ps timing @40 GeV to suppress spikes and pileup



# Legacy On-detector Electronics



1.6 GB/s

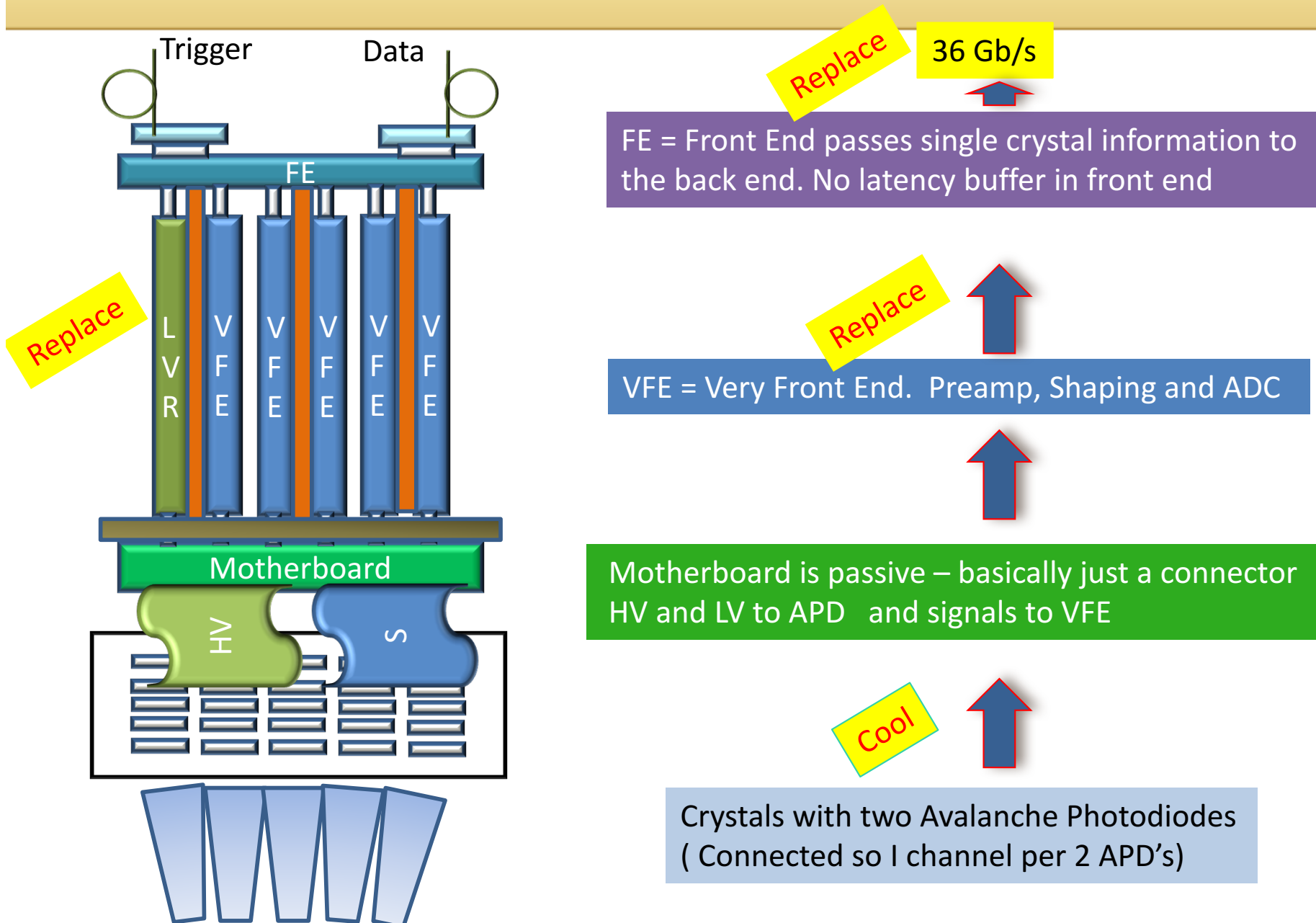
FE = Front End. Forms sum of 5 crystals in a strip  
For trigger primitive and has latency buffer to  
store data while waiting for L1 accept

VFE = Very Front End. Preamp, Shaping and ADC

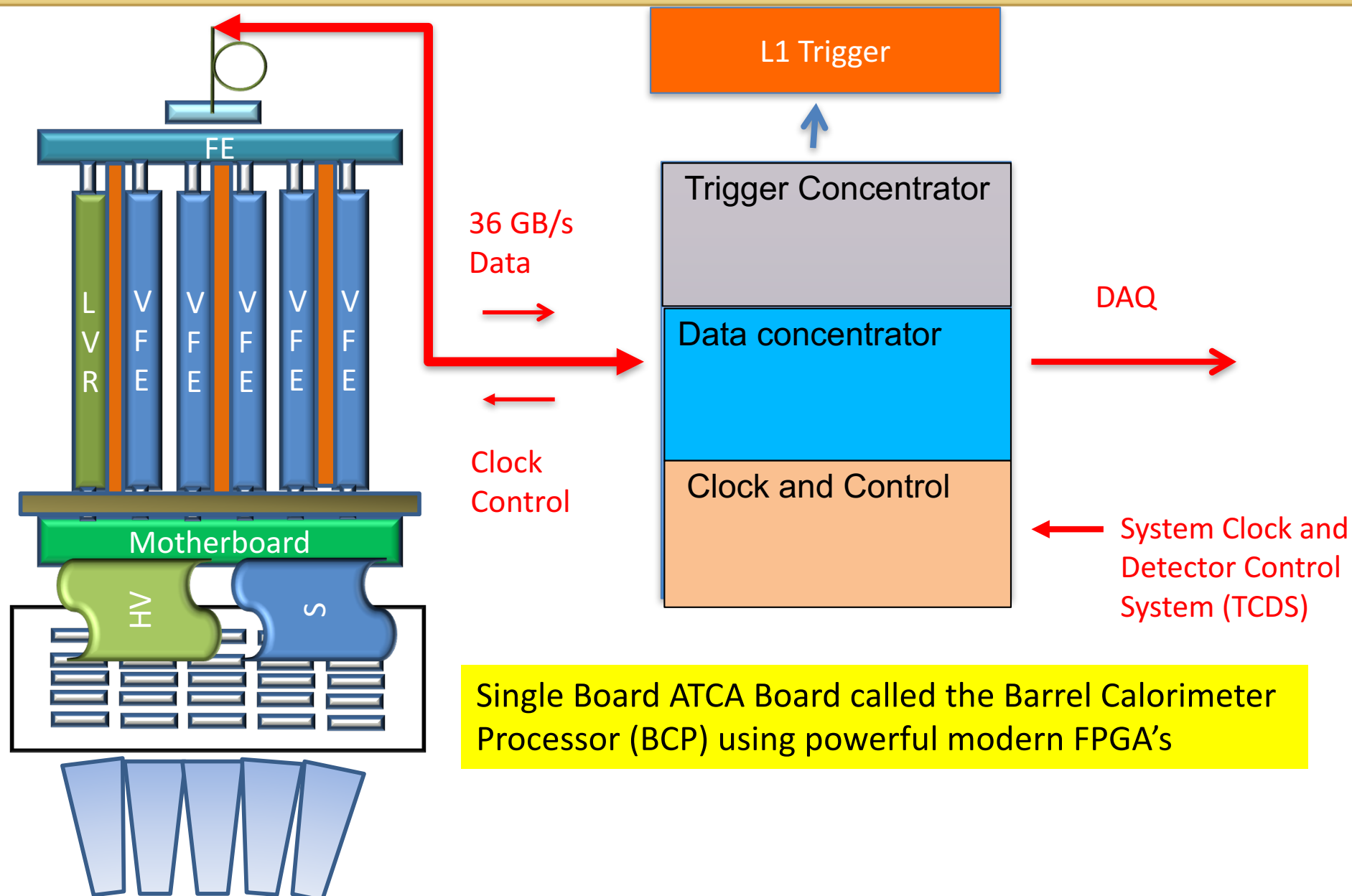
Motherboard is passive – basically just a connector  
HV and LV to APD and signals to VFE

Crystals with two Avalanche Photodiodes  
( Connected so 1 channel per 2 APD's)

# Upgraded On-detector Electronics



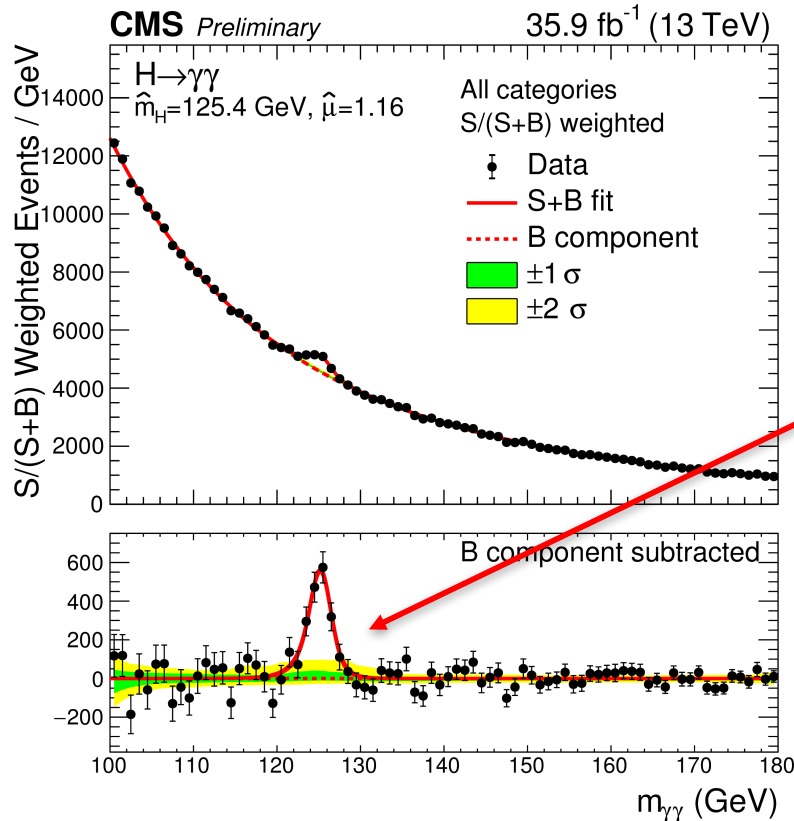
# Upgraded Off Detector Electronics





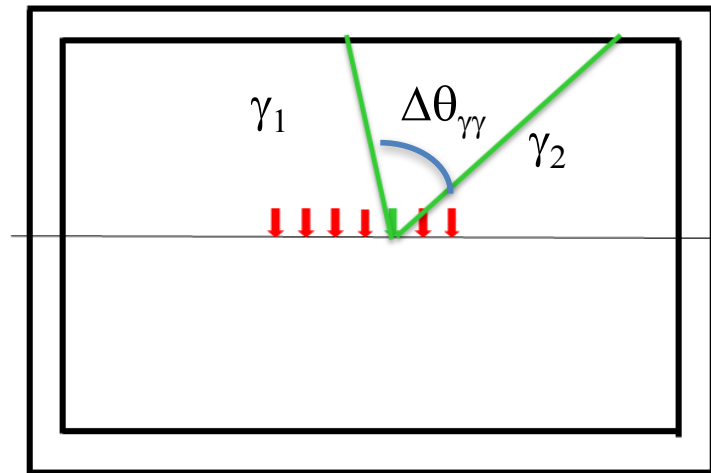
# $H \rightarrow \gamma\gamma$ in high pileup

8



The vertex resolution contributes to the mass resolution in addition to energy resolution

$$\frac{\Delta m_{\gamma\gamma}}{m_{\gamma\gamma}} = \frac{1}{2} \left[ \frac{\Delta E_{\gamma 1}}{E_{\gamma 1}} \oplus \frac{\Delta E_{\gamma 2}}{E_{\gamma 2}} \oplus \frac{\Delta \theta_{\gamma\gamma}}{\tan(\theta_{\gamma\gamma}/2)} \right]$$

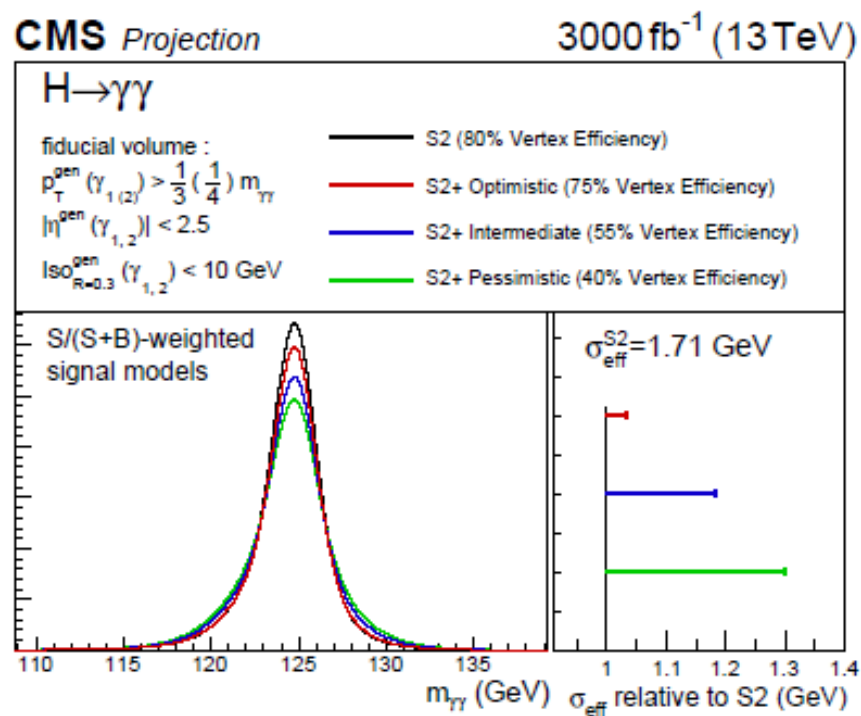
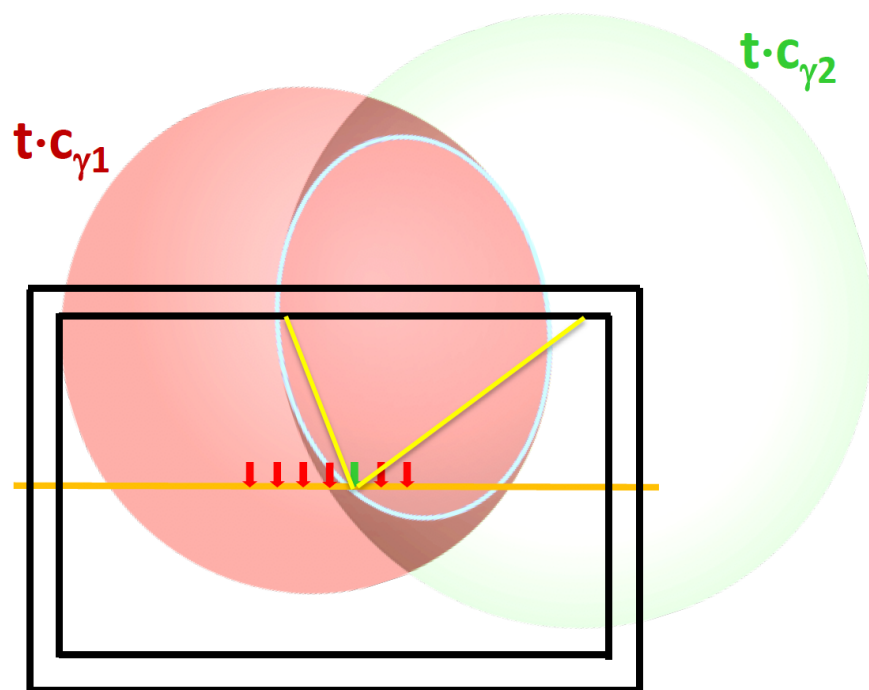


In pileup of 140 the vertex finding efficiency drops from 80% to 40% (PU20) degrading vertex resolution

# Precision Timing for $H \rightarrow \gamma\gamma$

9

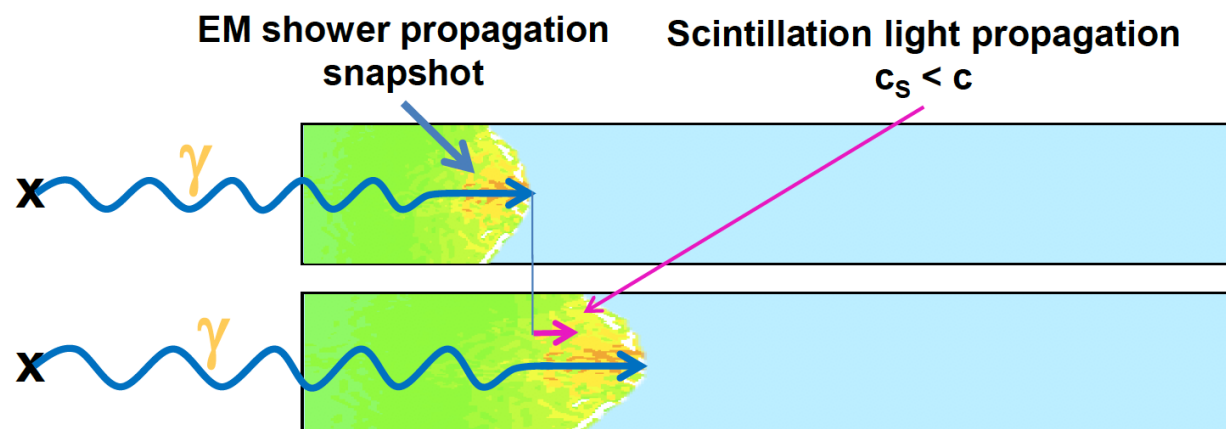
Timing is used to triangulate the vertex



30ps photon timing recovers vertex finding efficiency from 40% to 55% and coupled with charged track precision timing to 75% (versus 80% in low pileup)

# Precision timing with scintillating crystals

10



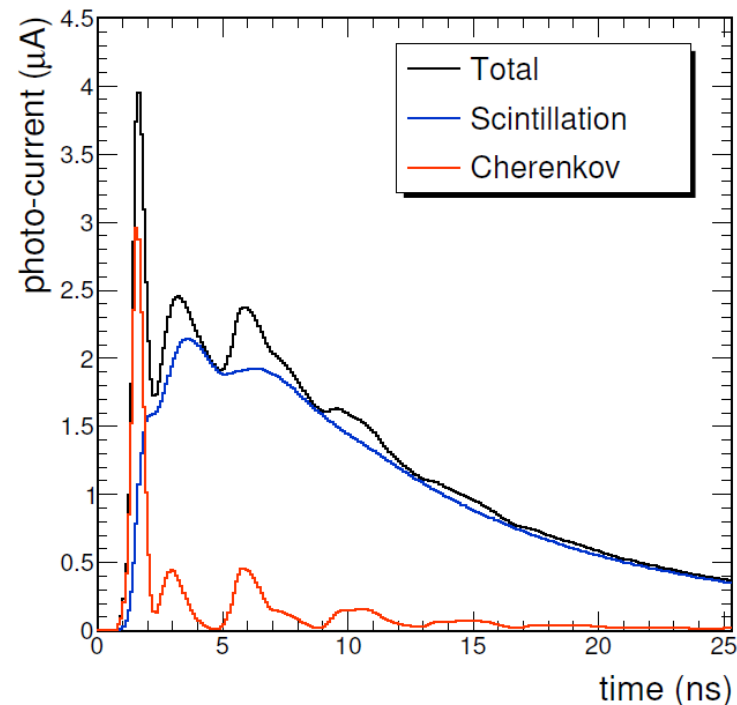
**t<sub>1</sub>** Detailed simulation of shower time development to optimize readout

**t<sub>2</sub>**

Leading edge provides the fast timing information

Fast responding pre-amp and high sampling rate to get precise timing

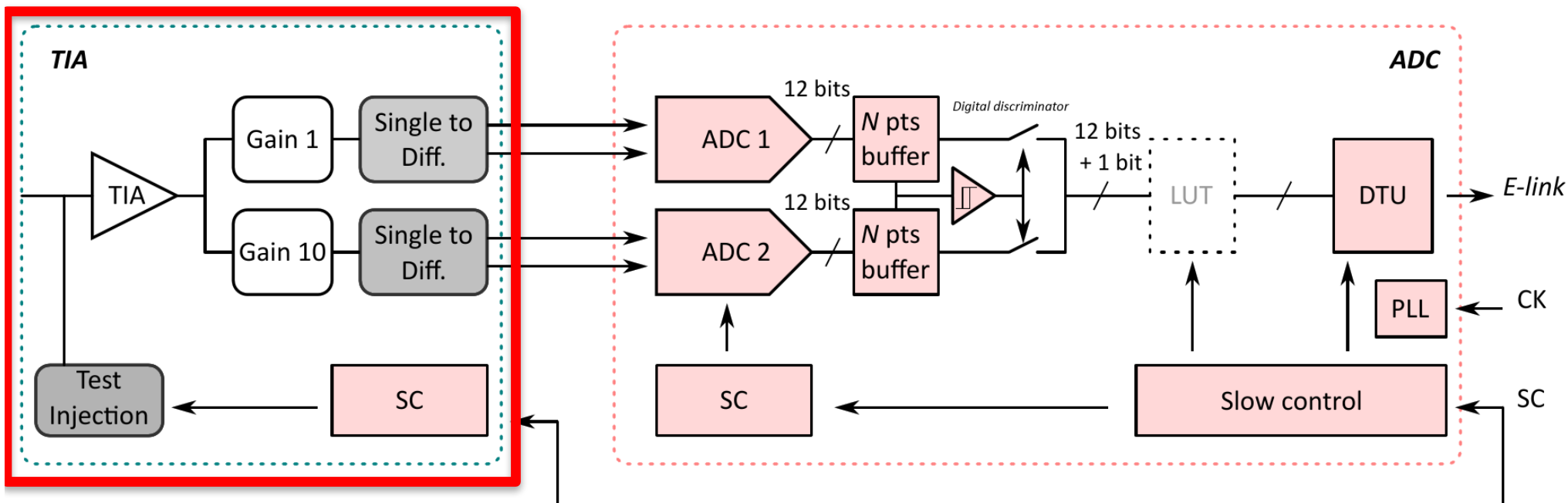
Shower fluctuations limit the timing resolution





# Very Front End (VFE) upgrade - PreAmp

11

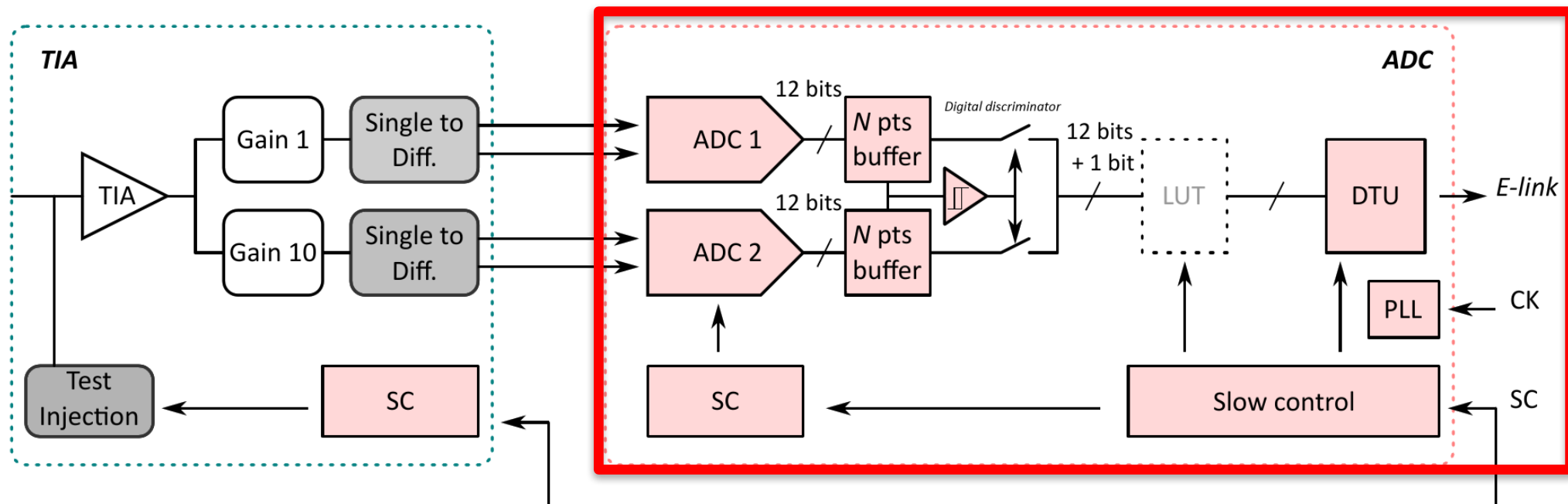


- Transimpedance Amplifier (TIA) in 130nm CMOS for fast response to get precise timing
- Replaces legacy charge amplifier with CR-RC  $\tau=43\text{ns}$
- 12240 boards required

(See poster from Fabrice Guilloux et al on Calorimeter TIA (CATIA) ASIC)

# Very Front End (VFE) upgrade- ADC-DTU

12

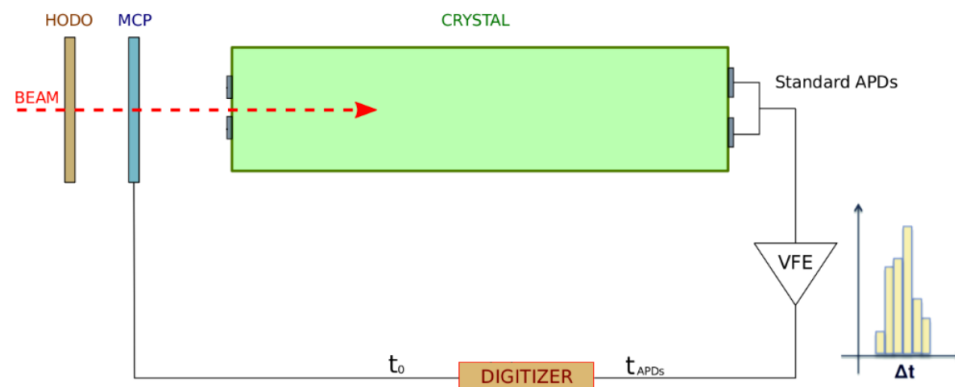
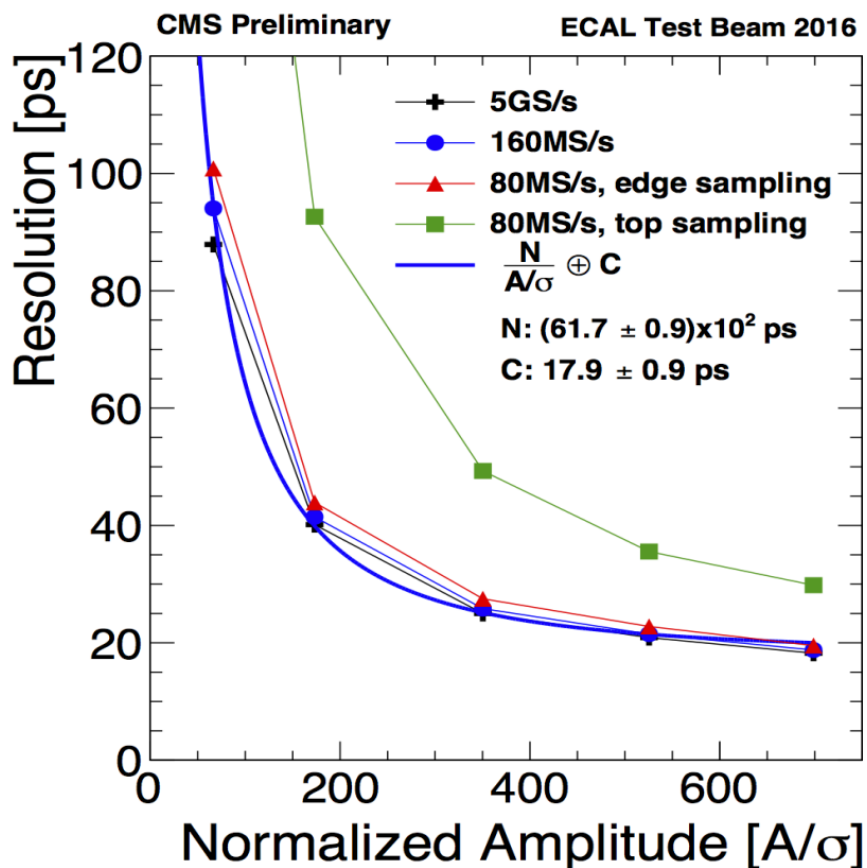


- ADC at 160 MHz versus 40 MHz in legacy system
  - Data Transfer Unit (DTU) compresses (Huffman encoding) and serializes
  - Commercial IP block for 12 bit SAR ADC integrated with custom DTU in 65nm CMOS
- (see poster from Simona Cornetti for more details)

# Precision Timing in testbeam

13

$$\sigma(t) = \frac{N}{A/\sigma} \oplus C$$



Crystal matrix with MCP to give  $t_0$

Transimpedance VFE prototype with different sampling rates

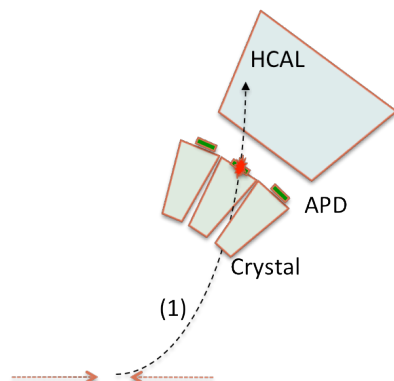
30 ps resolution @  $A/\sigma = 250$ .

Equivalent to 25 GeV photons  
(@100 MeV noise, HL-LHC start),  
60 GeV (@240 MeV noise, HL-LHC end)



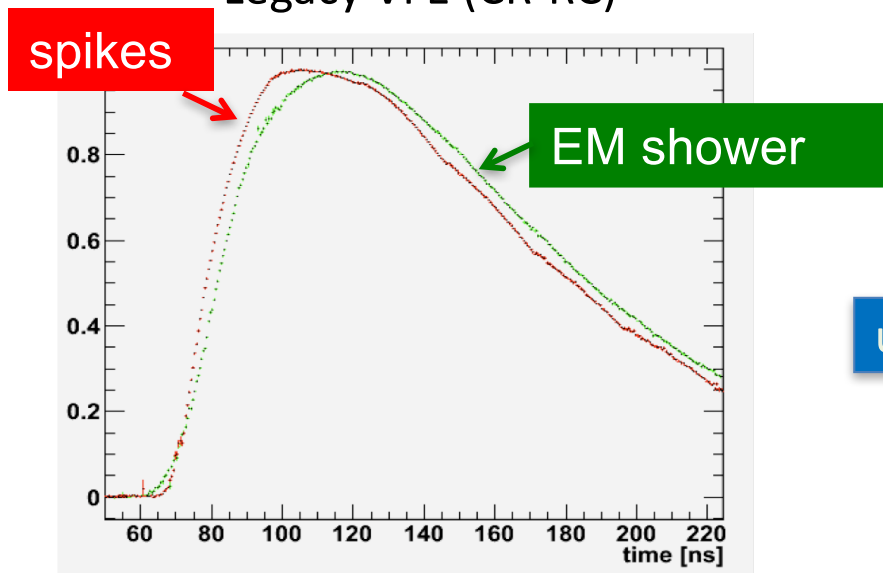
# Precision Timing to remove Spikes

14



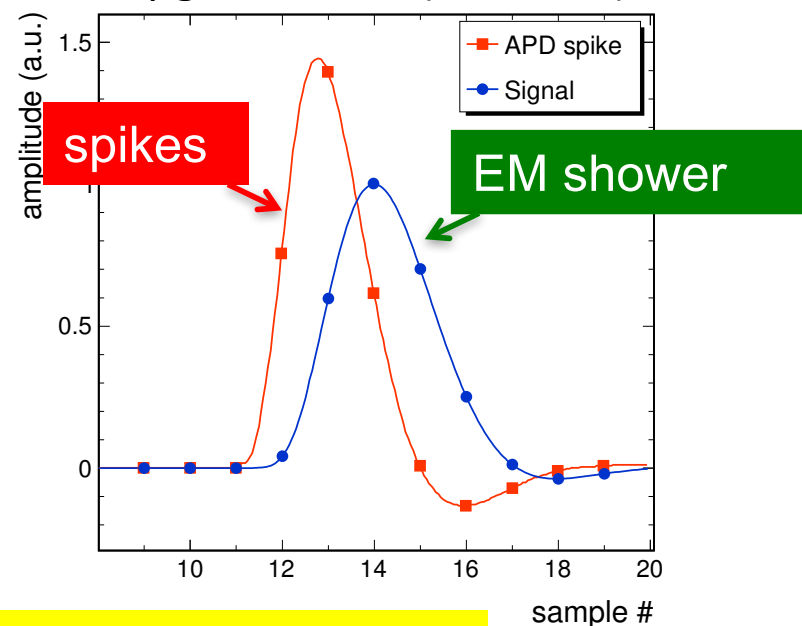
Spikes will saturate the L1 trigger bandwidth (750kHz) unless remediated with timing and isolation

Legacy VFE (CR-RC)



upgrade

Upgraded VFE (TIA+ADC)

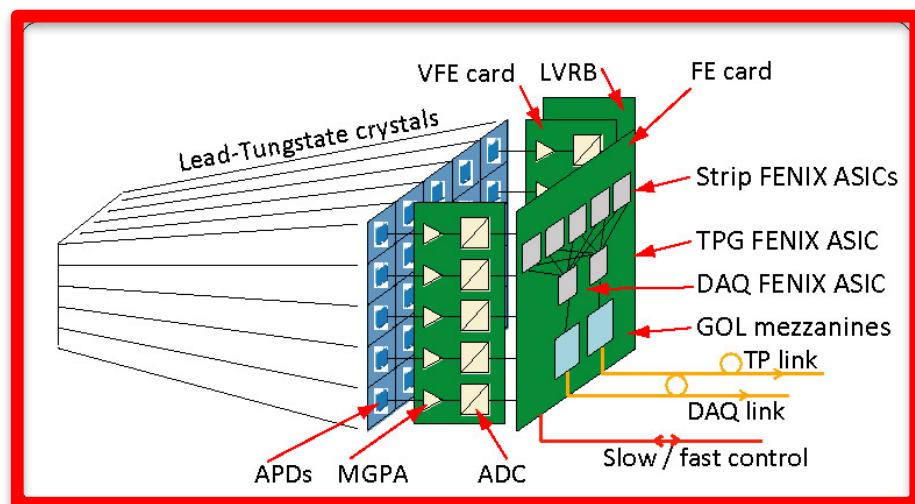


Shorter shaping with higher sampling allows spike discrimination with timing

# Front End Board Bandwidth Requirement

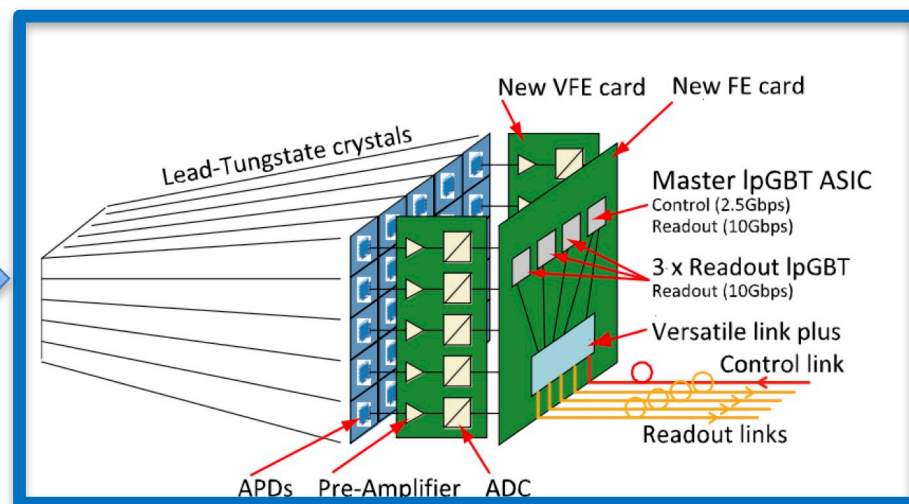
15

Legacy trigger tower



1.6 Gb/s output capacity

Upgrade trigger tower



36 Gb/s output capacity

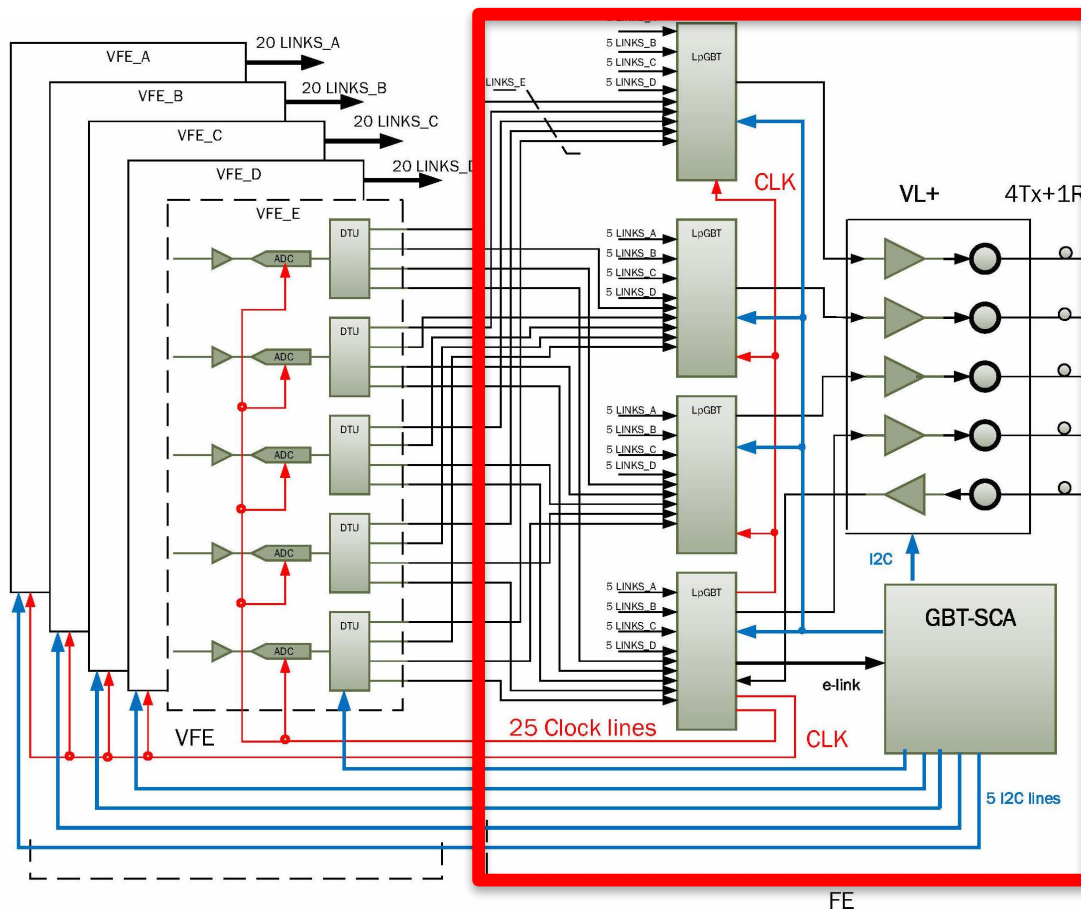
Front-End Board is functionally simpler than legacy because no trigger primitive formation (FENIX ASIC) on-detector

2448 Front-end boards required

160 MHz sampling and single crystal trigger primitive require the FE card to transmit 27 Gb/s after compression (52Gb/s with no compression)

# Front End Board

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Exploit CERNs low power gigabit Links (lpGBT and versalink+)

FEC5 @ 10.24Gb/s gives 8.96 Gb/s for the user data rate

4 lpGBT required for up links

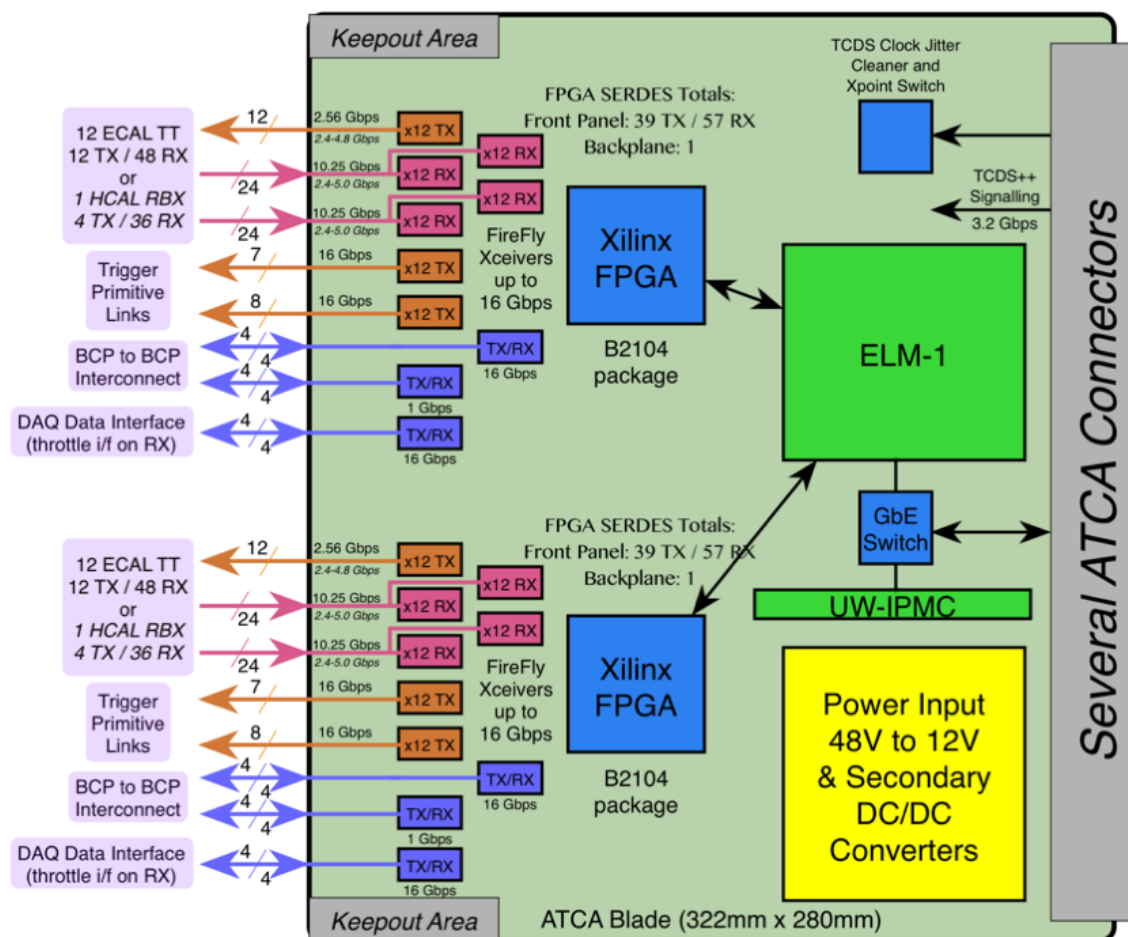
1 down link for clock and control

lpGBT has 5ps jitter spec so should be good for 30ps timing goal

Demonstrator FE with the 4.8 Gb/s GBT/versalink links produced. Awaiting lpGBT/versalink+ in 2018



# Barrel Calorimeter Processor

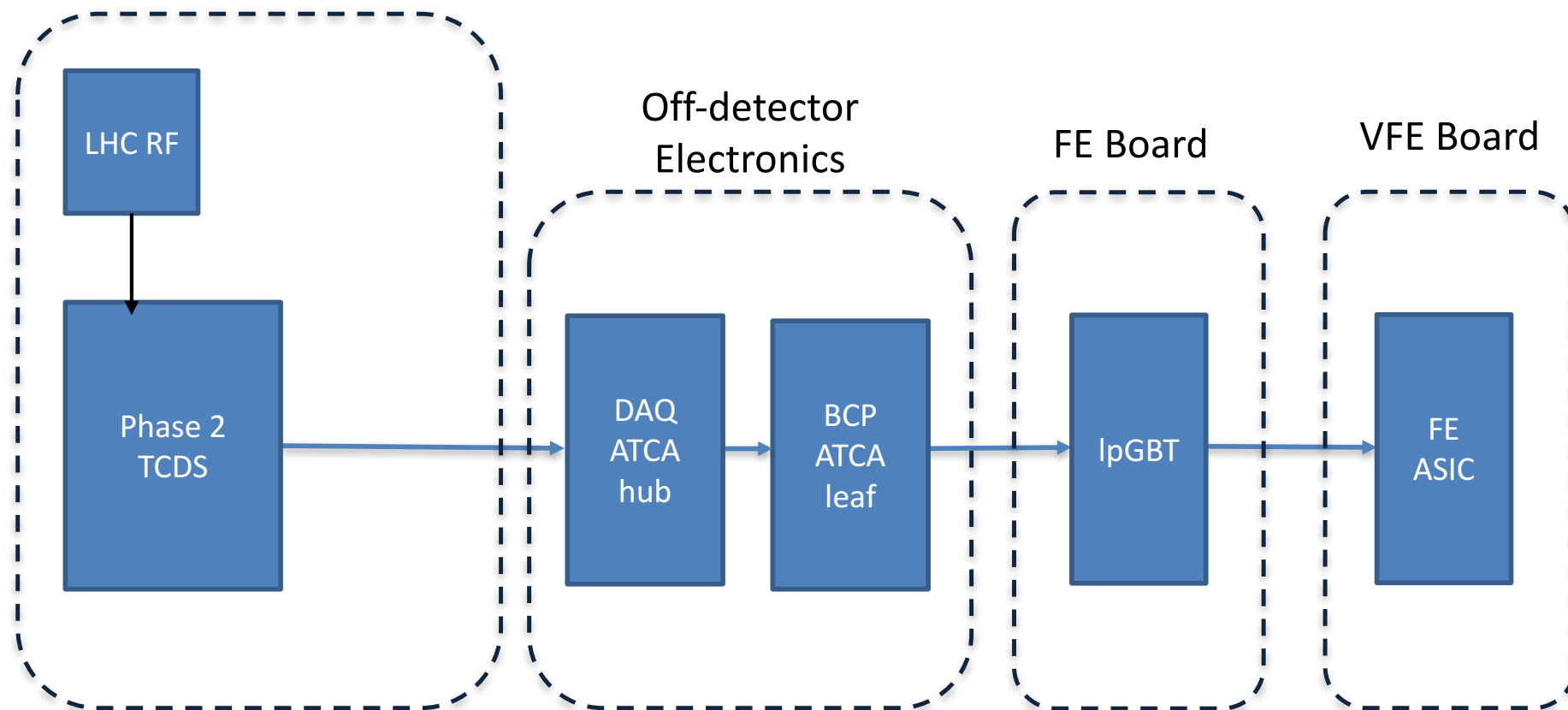


- 108 ATCA boards required
- Two Kintex Ultrascale XKCU115 FPGA's
- 96 input links @10.24 Gb/s from front end
- 12 output links @ 2.4 Gb/s to front-end
- 15 output links to trigger @ 16 Gb/s
- 8 outout links to DAQ @ 16Gb/s

Single ATCA board replaces four boards in legacy system to perform data and trigger Concentration, clock and control (See poster from Stephen Goadhouse)

# Clock Distribution

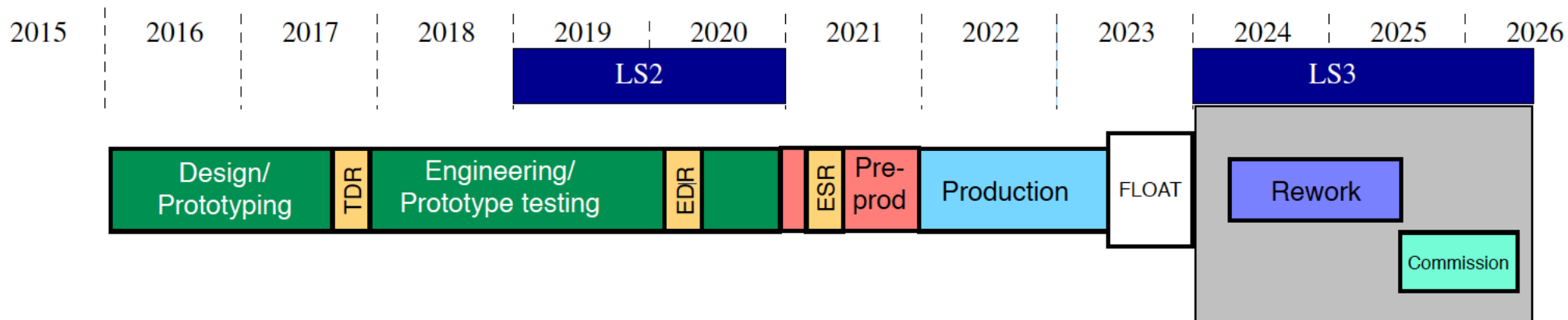
18



Precision timing requires precision clock distribution. Baseline is to transmit encoded clock to front-end via IpGBT but ongoing R&D program underway to understand clock stability throughout the chain

# CMS ECAL Upgrade Schedule and Status

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Recently completed TDR for LHCC review

Demonstrators tested for VFE, SLVR, FE boards

On and off detector architecture specified

# Summary and Conclusion

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The upgrade of the CMS ECAL Barrel is central to the HL-LHC physics program

Precision timing a key component to meeting the upgrade specifications

Modern electronics (Custom rad hard ASICs, high speed optical links, powerful FPGAs make it possible to sustain the LHC performance in HL-LHC conditions

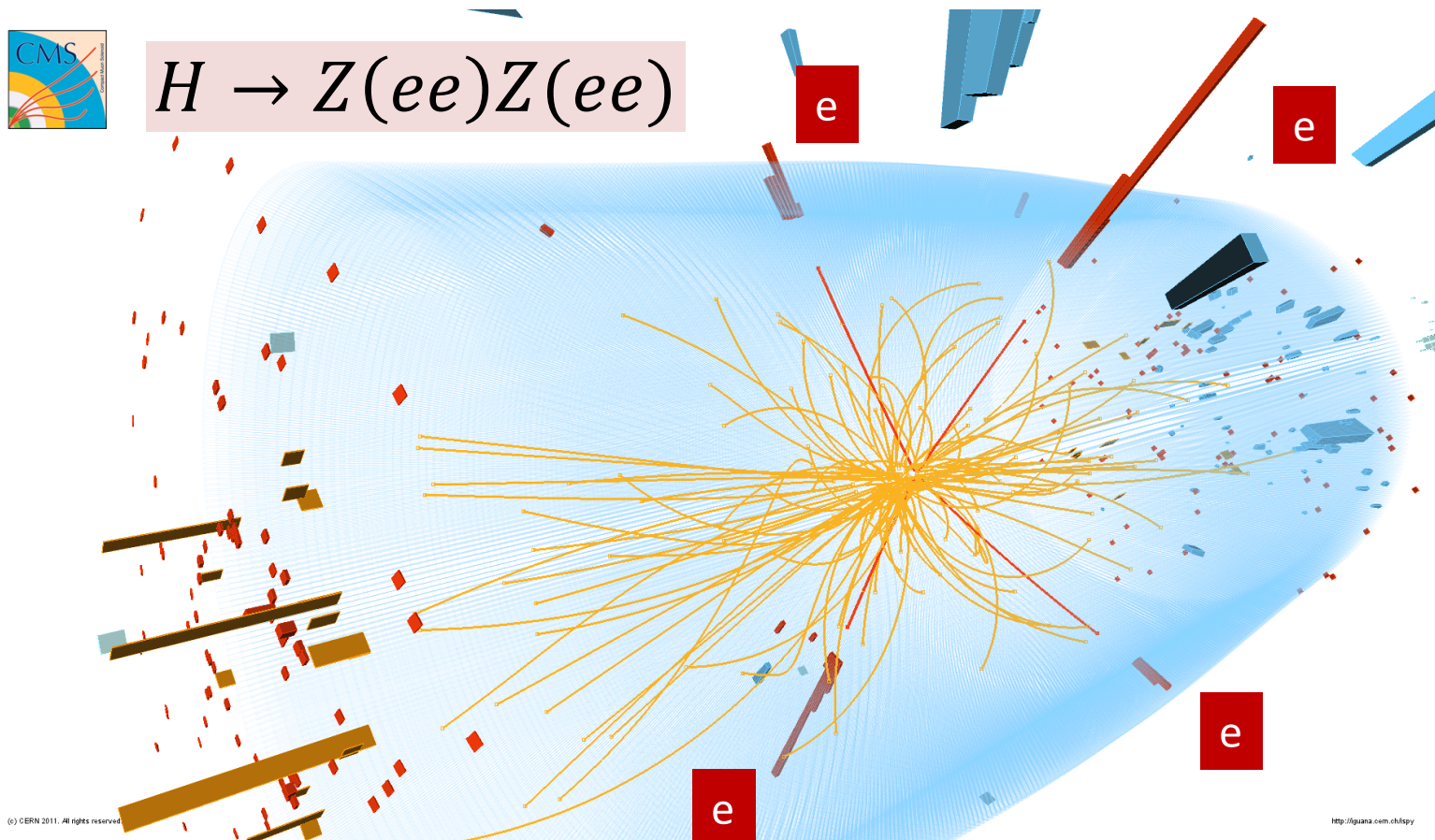
Good progress with demonstrator boards produced for on-detector components and Off detector architecture and board specified

# ADDITIONAL MATERIAL



# The ECAL HL-LHC Upgrade

22



The upgrade will use modern electronics to enhance the performance and discovery capabilities of the barrel electromagnetic/hadronic calorimeter, particularly for Higgs Bosons

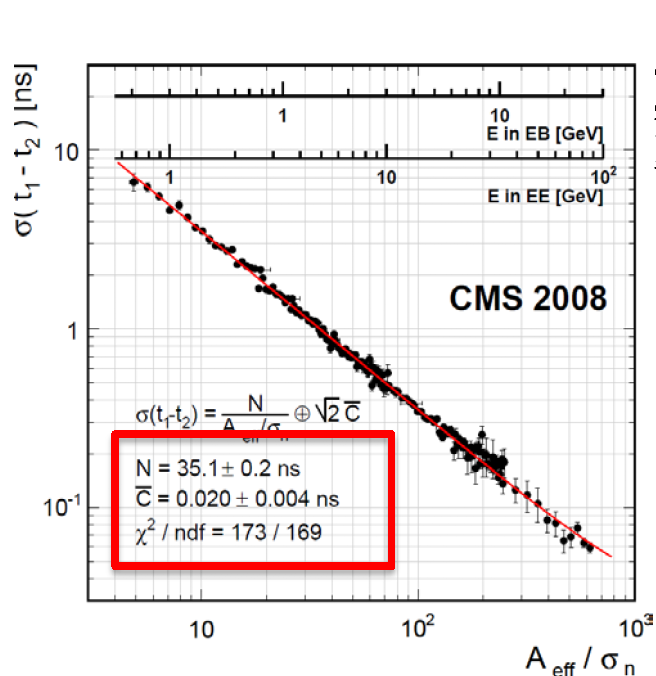
# Present Timing in ECAL

23

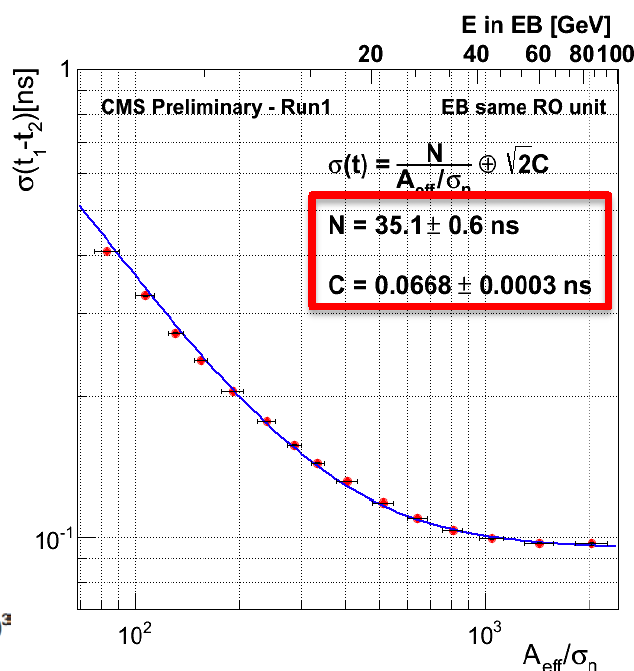
Results from test beams and pp collision data at LHC :

- Electron showers from  $Z \rightarrow ee$  decay  $\Delta t_{\text{TOF}}$  :  $\sim 270$  ps, single channel :  $\sim 190$  ps, without path length correction :  $\sim 380$  ps
- Constant term of resolution :  $\sim 20$  ps in test beam,  $\sim 70$  ps in situ (same clock).
- Studies on jet timing vertex resolution suggest very promising performance.

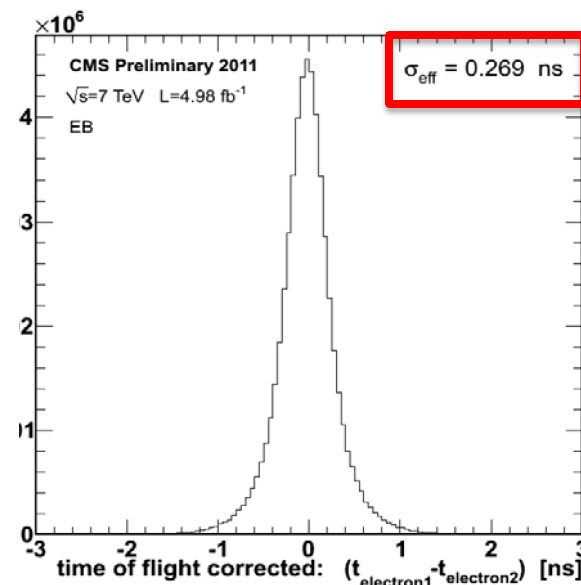
Test Beam



In-situ, cluster

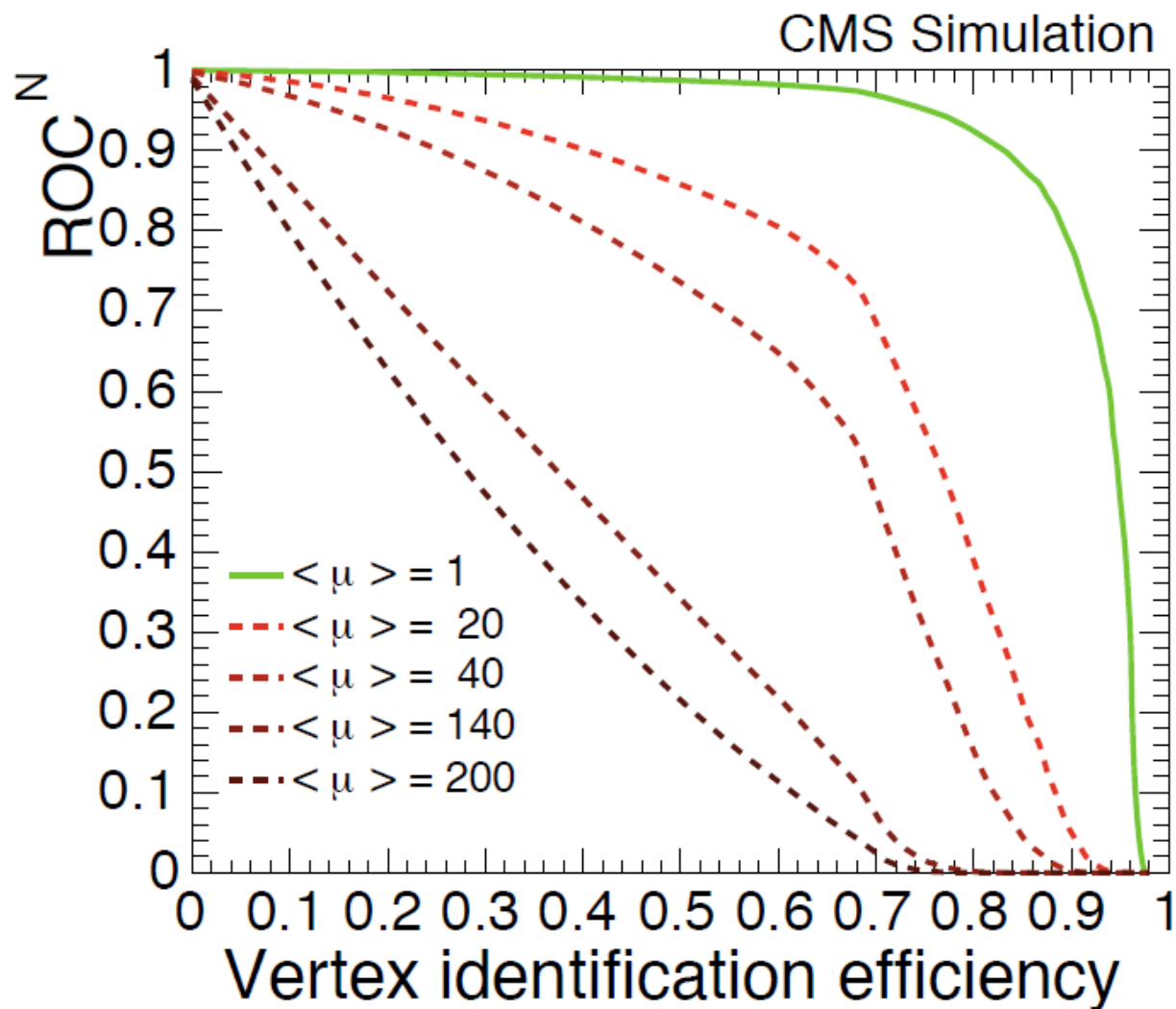


In-situ,  $Z \rightarrow ee$



# $H \rightarrow \gamma\gamma$ Vertex Efficiency vs Pileup

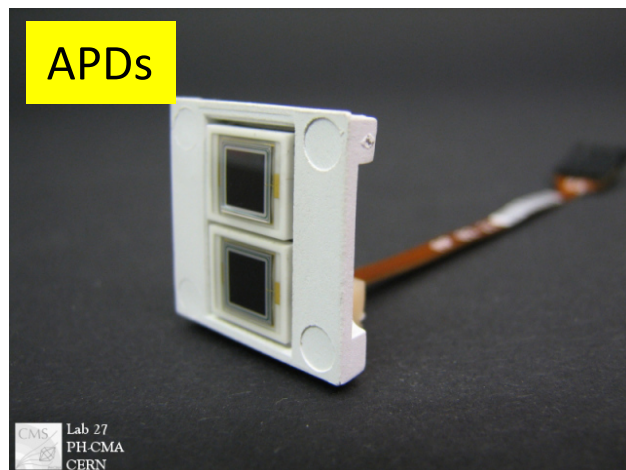
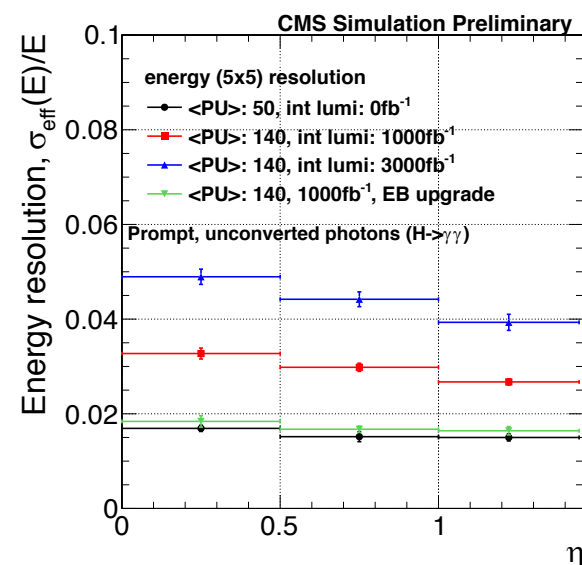
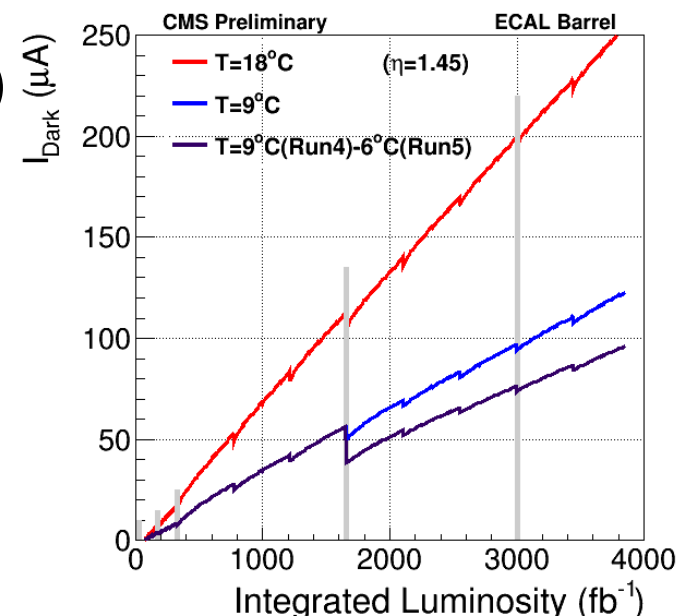
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# ECAL Photodetectors

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- Crystals and the Avalanche Photodetectors will not be replaced (Crystals > 50% original light yield to 3000 fb<sup>-1</sup>)
- No failures in APD irradiation tests @ HL-LHC fluences but neutron damage increases dark current
- Cooling from 18°C to 9°C halves the dark current. 6°C being considered for Run 5.



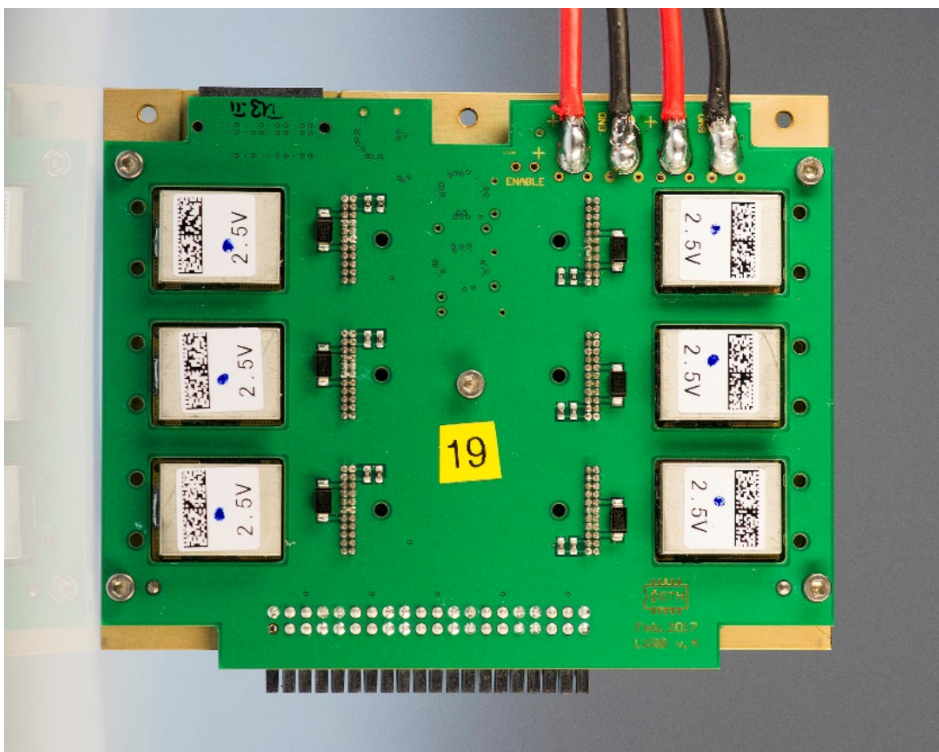
Cooling + shorter pre-amp shaping recovers resolution performance



# Switching Low Voltage Regulator Board

26

Prototype SLVR Board



Electronics noise a limiting factor in calorimeter Resolution performance at low energy

Uses CERN 's FEASTMP\_CLP DC-DC switching converters to stepdown from 10V to 2.5V or 1.2V (legacy linear supplies)

Current draw per trigger tower (5VFEs + FE) reduced from 13.6A to 4A due to high efficiency (85%) of DC-DC converters

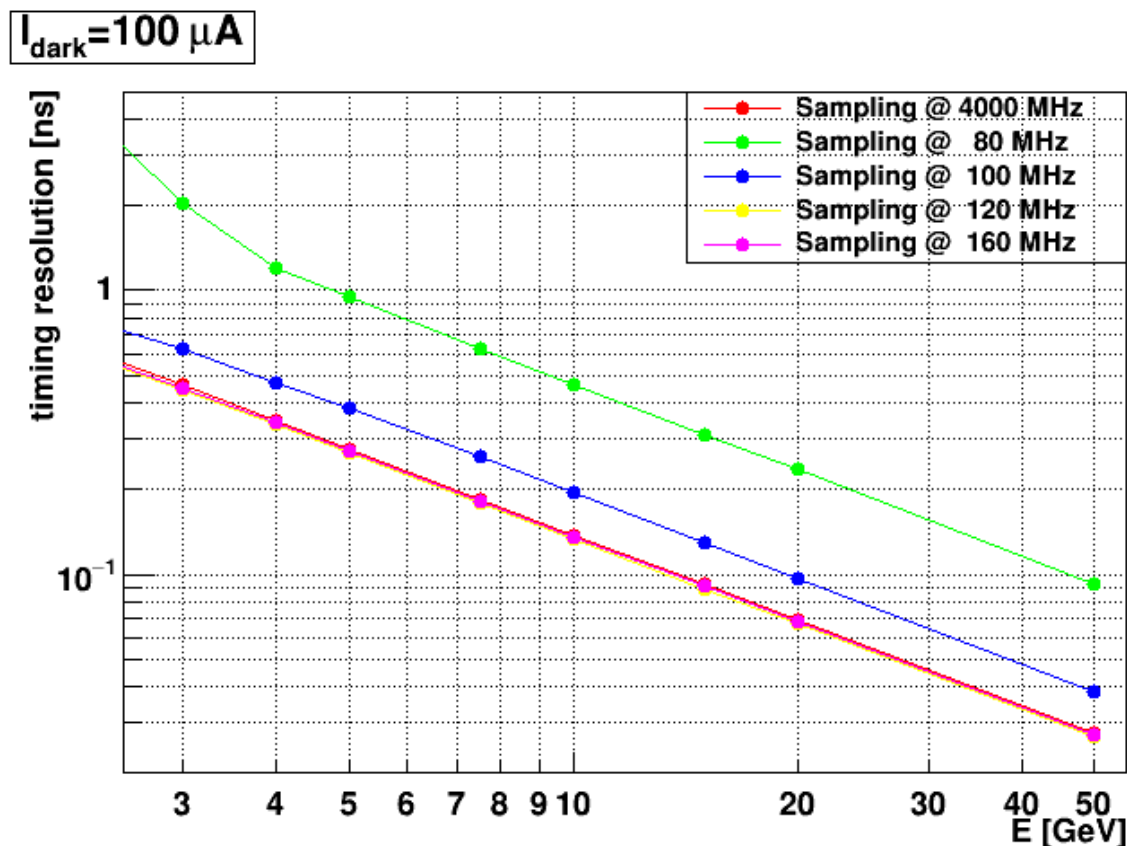
2448 SLVR boards required

The use of high efficiency DC-DC switching converters gives same noise performance as The legacy system but with much less copper cabling and commensurate power losses



# Sampling Frequency vs $\sigma(t)$

27

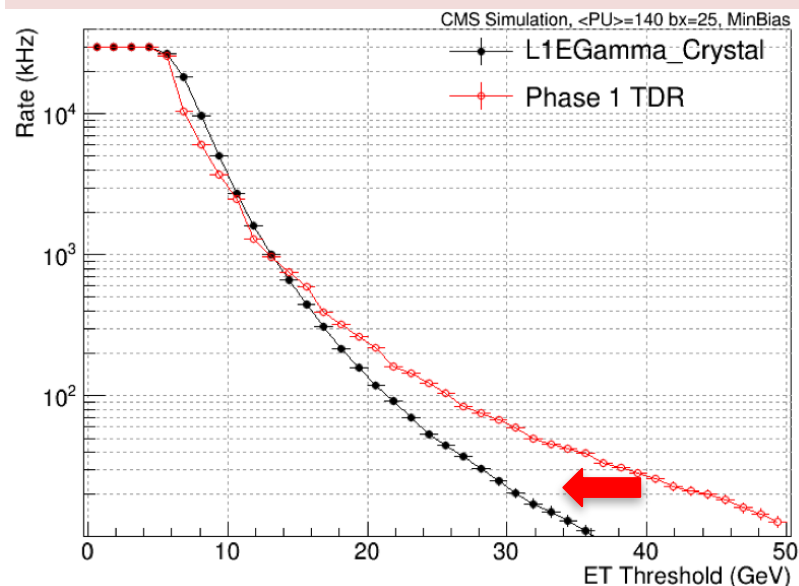


Effect of the sampling frequency on the timing resolution using the TIA architecture with  $100 \mu\text{A}$  leakage current in the APD. Curves for 120, 160 and 4000 MHz are superimposed.

# Benefits of Single Crystal Trigger Primitive

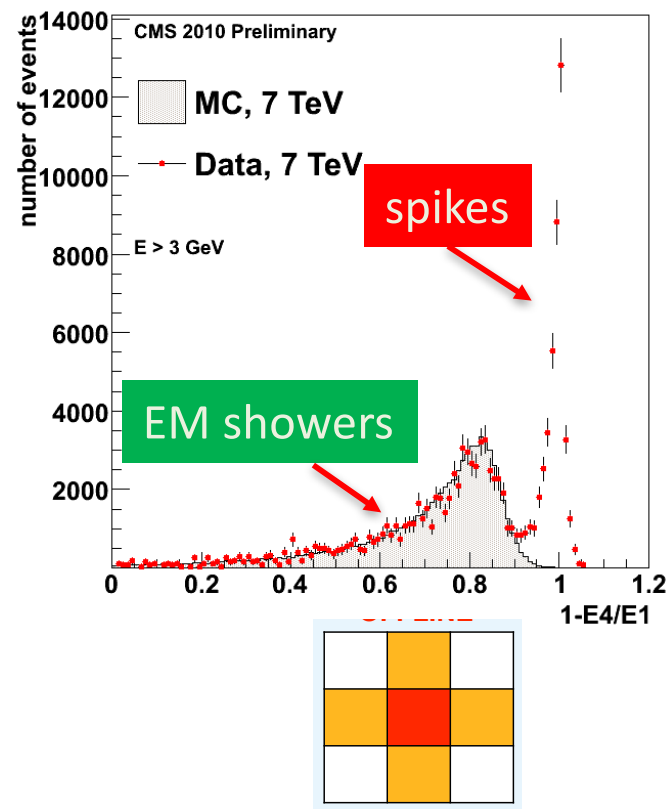
28

## Trigger rate with w/wo single crystal data



Single crystal allows greater background suppression allowing lower Et thresholds

Isolation to suppress spikes further  
Implemented online (vs offline in legacy system)



High bandwidth allows single crystal trigger primitive which match to tracks  
In the new track trigger and better isolation for additional spike suppression