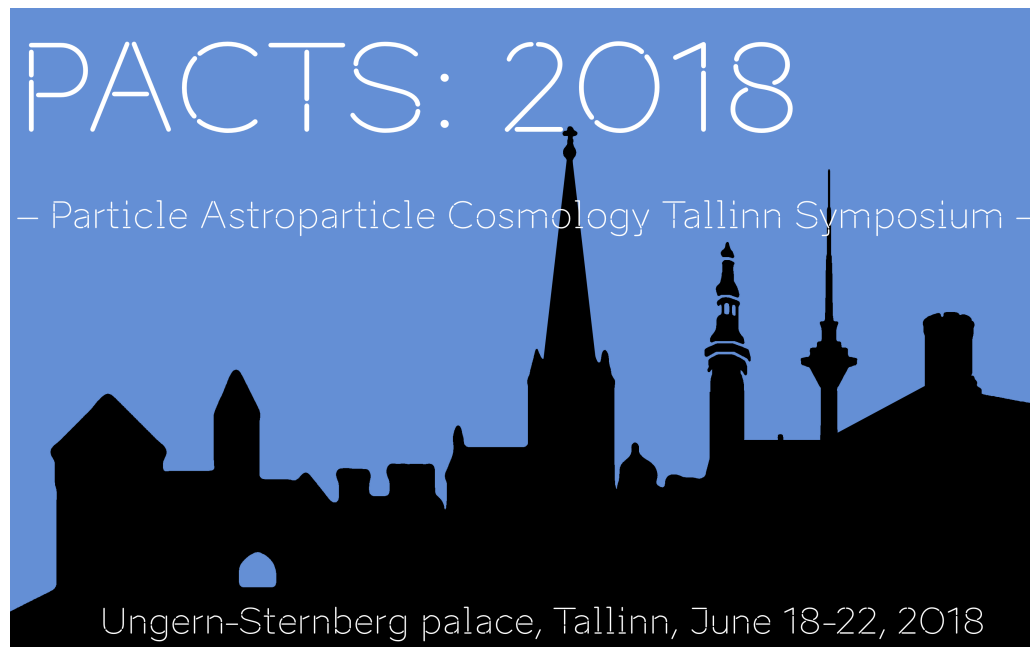


# Conformal symmetry: towards the link between the Fermi and the Planck scales

**Mikhail Shaposhnikov**

based of works with Andrey Shkerin



# Triumph of the SM in particle physics

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- No significant deviations from the SM have been observed
- With experimental values of the masses of the top quark and of the Higgs boson the SM is a self-consistent effective field theory all the way up to the quantum gravity Planck scale  $M_P$ .

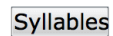
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[uhn-**nach**-er-uh l, -**nach**-ruh l]

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 Syllables

[Synonyms](#)   [Examples](#)   [Word Origin](#)

[See more synonyms on Thesaurus.com](#)

adjective

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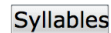
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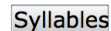


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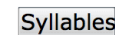
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This is unfair to “unnatural” SM as it describes [the Nature](#) better than “natural” theories...

Naturalness – rather technical criterion:

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Physics at the **electroweak scale or right above it** should be organised in such a way that quadratic divergencies in the Higgs boson mass are eliminated, to remove sensitivity of  $m_H$  to physics at very high energy scale  $\Lambda$  (e.g. GUT).

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$$\delta m_H^2 = \text{.....} \text{ (SM) } \text{.....} + \text{.....} \text{ (New) } \text{.....} \sim 0$$

right above EW scale

# The original source of the naturalness requirement: hierarchy problem in Grand Unified theories

PHYSICAL REVIEW D

VOLUME 14, NUMBER 6

15 SEPTEMBER 1976

## Gauge-symmetry hierarchies\*

Eldad Gildener

*Lyman Laboratory of Physics, Harvard University, Cambridge, Massachusetts 02138*

(Received 15 June 1976)

It is shown that one cannot artificially establish a gauge hierarchy of any desired magnitude by arbitrarily adjusting the scalar-field parameters in the Lagrangian and using the tree approximation to the potential; radiative corrections will set an upper bound on such a hierarchy. If the gauge coupling constant is approximately equal to the electromagnetic coupling constant, the upper bound on the ratio of vector-meson masses is of the order of  $\alpha^{-1/2}$ , independent of the scalar-field masses and their self-couplings. In particular, the usual assumption that large scalar-field mass ratios in the Lagrangian can induce large vector-meson mass ratios is false. A thus far unsuccessful search for natural gauge hierarchies is briefly discussed. It is shown that if such a hierarchy occurred, it would have an upper bound of the order of  $\alpha^{-1/2}$ .

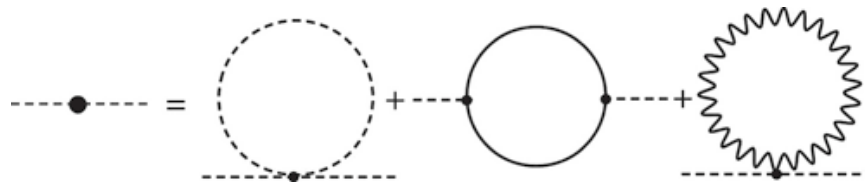
Extra GUT particles beyond the SM – leptoquarks (vector and scalar) must be very heavy,  $M_X > 10^{15} \text{ GeV}$

- this is required by the gauge coupling unification
- this is needed for stability of matter, proton lifetime  $\tau_p > 10^{34}$  years

Hierarchy:  $\left(\frac{M_X}{M_W}\right)^2 \simeq 10^{28}$

# Two faces of hierarchy

- Ad hoc tuning between the parameters (masses and couplings of different multiplets) at the tree level with an accuracy of **26 orders** of magnitude
- Stability of the Higgs mass against radiative corrections **Gildener, '76**



$$\delta m_H^2 \simeq \alpha_{GUT}^n M_X^2$$

Tuning is needed up to **14th order** of perturbation theory!



# Proposed solutions

---

Stability of EW scale – requirement of “naturalness”: absence of quadratic divergencies in the Higgs mass

- Low energy SUSY: compensation of bosonic loops by fermionic loops
- Composite Higgs boson - new strong interactions
- Large extra dimensions

All require new physics right above the Fermi scale, which was expected to show up at the LHC

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An attempt towards this direction:  
M.S., Shkerin, arXiv:1803.08907 +  
arXiv:1804.06376

Higgs-Planck hierarchy: the ratio of the two scales is exponentially small,

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Requirements to the theory

- Perturbatively  $m_H = 0$ , symmetry protection (?)
- Existence of (semi) classical configurations leading to  $\langle H \rangle \neq 0$ ,  $\langle H \rangle \ll M_P$

# Conformal SM

---

If the mass of the Higgs boson is put to **zero** in the SM, the classical Lagrangian has a wider symmetry: it is scale and conformally invariant:

**Dilatations** - global scale transformations ( $\sigma = \text{const}$ )

$$\Psi(x) \rightarrow \sigma^n \Psi(\sigma x) ,$$

$n = 1$  for scalars and vectors and  $n = 3/2$  for fermions.

# Conformal anomaly

Lagrangian is invariant at the classical level, and scale symmetry is broken by quantum corrections (conformal anomaly) a'la Coleman-Weinberg: Linde '76; Weinberg '76; Buchmuller, Dragon '88; Hempfling '96; Meissner, Nicolai '06; Foot et al '07, '11; Iso, et al '09; Boyle et al '11; Wetterich '11, Salvio, Strumia '14; Lindner et al, '14, '15, '17

Does not work for the SM:

- If the top quark mass  $m_t \lesssim 172$  GeV, then the minimum of the effective potential is generated at  $\langle H \rangle \simeq 100$  MeV due to chiral symmetry breaking in QCD
- If the top quark mass  $m_t \gtrsim 172$  GeV, then an extra minimum of the effective potential is generated at  $\langle H \rangle \gtrsim M_P$  due to top quark loops

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Gravity + conformally invariant SM is an ideal playground for looking at non-perturbative generation of the weak scale

# The action

---

To search for non-perturbative effects, consider only the scalar sector of the SM (unitary gauge, one scalar degree of freedom,  $|H| = h$ ), other SM fields are “spectators”:

$$\frac{\mathcal{L}_{h,g}}{\sqrt{-g}} = G_4(h)R + G_2(h, \partial h^2) ,$$

where the functions  $G_4$ ,  $G_2$  are chosen so that to reproduce the SM Higgs kinetic term, the Higgs field potential with  $m_H = 0$ , and GR in the low energy limit. Part of Horndeski action, leading to second order equations of motion  $\Rightarrow$  no new degrees of freedom are introduced, we have just massless Higgs and graviton. We will also require asymptotic scale invariance at large  $h$ .

# What to compute

---

The Higgs vev:

$$\langle h \rangle \sim \int \mathcal{D}\mathcal{A} \mathcal{D}h \mathcal{D}g_{\mu\nu} h e^{-S_E} .$$

$\mathcal{A}$  – collection of fields of the model under consideration, other than  $h$  and  $g_{\mu\nu}$ , and  $S_E$  is the **euclidean** action of the model.

Remarks:

- Euclidean path integral for gravity may not be well defined due to the problem with the conformal factor of the metric
- We will ignore this problem and follow the crowd: **Hawking; Coleman, de Luccia; Veneziano; ..., Isidori, Rychkov, Strumia, Tetradis; ... Branchina, Messina, Sher;...**

# Known classical solutions

---

- Bounce: an indication of the vacuum instability Coleman, de Luccia
- Hawking-Moss instanton: dominates transitions between vacua at high temperature
- Gravitational instantons (Taub-NUT, Eguchi-Hanson, Gibbons-Hawking): pure gravity, no relation to scalar field
- Giddings-Strominger instanton: gravity + axion field: wormholes
- Hawking-Turok instanton: creation of Universe from nothing ?

None works for our purpose!

# New solutions

---

Choice of  $G_4$ : Higgs field in general must have **non-minimal** coupling to gravity:

$$S_G = \int d^4x \sqrt{-g} \left\{ -\frac{M_P^2}{2} R - \frac{\xi h^2}{2} R \right\}$$

**Conjecture:** contribution of large Higgs fields  $h > M_P/\sqrt{\xi}$  to path integral is better to be found in the Einstein frame. Conformal transformation:

$$\hat{g}_{\mu\nu} = \Omega^2 g_{\mu\nu} , \quad \Omega^2 = 1 + \frac{\xi h^2}{M_P^2}$$

Redefinition of the Higgs field to make canonical kinetic term

$$\frac{d\chi}{dh} = \sqrt{\frac{\Omega^2 + 6\xi_h^2 h^2 / M_P^2}{\Omega^4}} \implies \begin{cases} h \simeq \chi & \text{for } h < M_P / \xi \\ h \simeq \frac{M_P}{\sqrt{\xi}} \exp\left(\frac{\chi}{\sqrt{6} M_P}\right) & \text{for } h > M_P / \sqrt{\xi} \end{cases}$$

Resulting kinetic part of the action

$$S_{kin} = \int d^4x \sqrt{-\hat{g}} \left\{ -\frac{M_P^2}{2} \hat{R} + \frac{\partial_\mu \chi \partial^\mu \chi}{2} \right\}$$

Most important:

$$\langle h(x) \rangle \sim \int \mathcal{D}A \mathcal{D}h(x) \mathcal{D}g_{\mu\nu} h(x) e^{-S_E} \implies \int \mathcal{D}A \mathcal{D}\chi \mathcal{D}\hat{g}_{\mu\nu} e^{\frac{\chi(x)}{\sqrt{6} M_P} - S_E}$$

Modification of the action and equations of motion!



Equations of motion for  $\chi$  contain a source term  $\delta(x) \implies$  new classical solutions. Similar to computation of  $\langle \exp(\int A_\mu dv^\mu) \rangle$  in Polyakov '76. Schematically, modification of the right-hand side for scalar field equation:  $\square\chi + \dots = \delta(x)/\sqrt{6}M_P$

Path integral:

$$\int_{h \gtrsim M_P/\sqrt{\xi}} \mathcal{D}h h e^{-S_E} \rightarrow M_P \int_{\chi \gtrsim M_P \log(1/\sqrt{\xi})} \mathcal{D}\chi J e^{-W},$$

where  $W = \chi(0)/\sqrt{6}M_P + S_E$  and  $J$  is the corresponding Jacobian. **Conjecture:** Higgs vev

$$\langle h \rangle \approx M_P e^{-\bar{W}},$$

is much smaller than  $M_P$  because the action  $\bar{W}$  on the saddle point

$$\bar{W} \gg 1.$$

# Example of computation

Field theory in the Jordan frame:

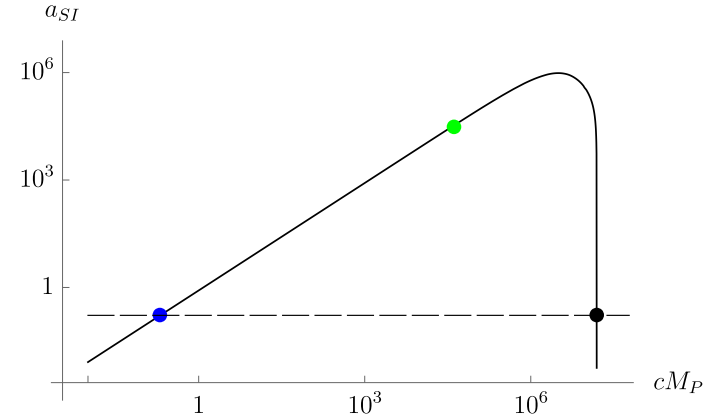
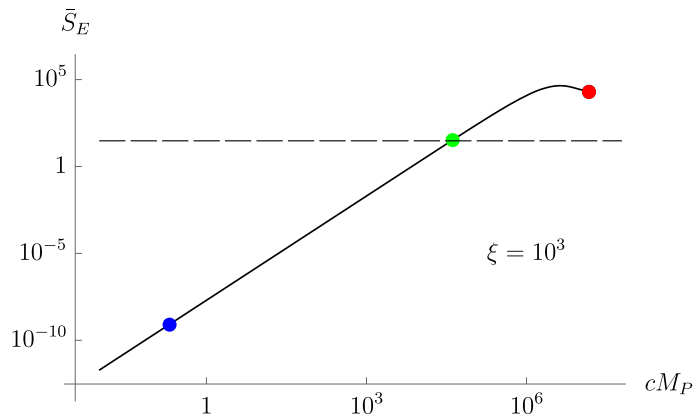
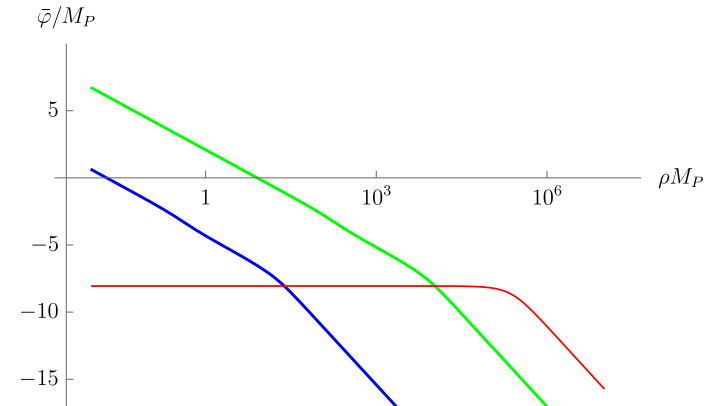
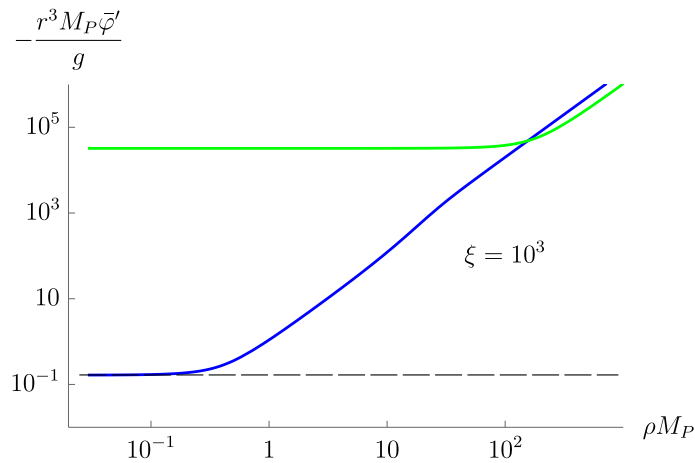
$$\frac{\mathcal{L}_{h,g}}{\sqrt{g}} = -\frac{1}{2}(M_P^2 + \xi h^2)R + \frac{1}{2}(\partial h)^2 + V(h)$$

Field equations in the Einstein frame for maximally  $O(4)$  symmetric metric  $d\tilde{s}^2 = g^2(\rho)d\rho^2 + \rho^2 d\Omega_3^2$  in the large  $\chi$  regime:

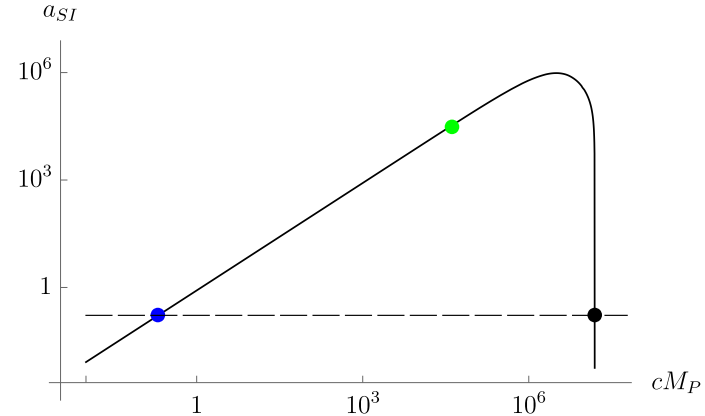
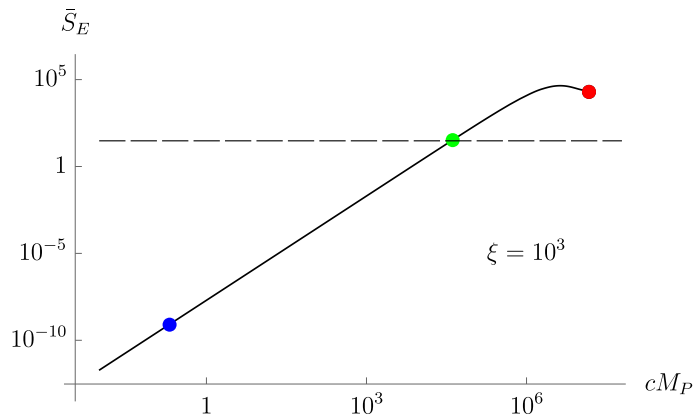
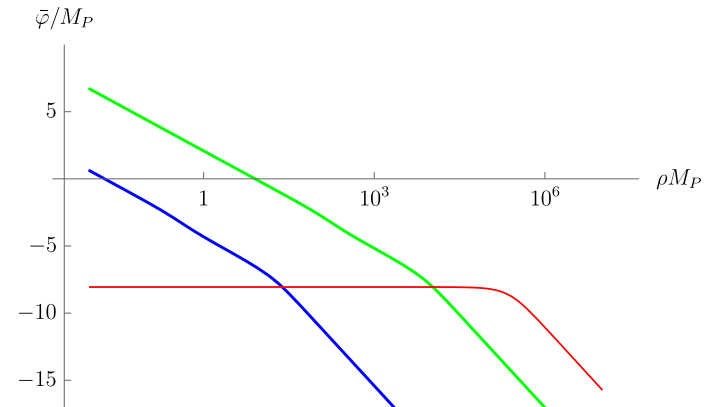
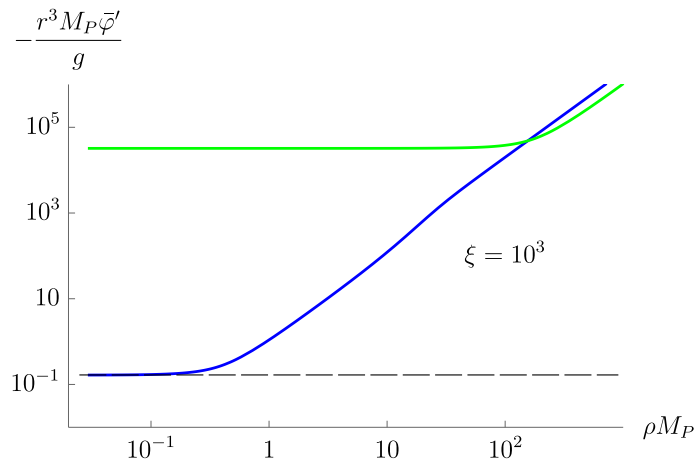
$$\partial_\rho \left( \frac{\rho^3 \bar{\chi}'}{g a_{SI}} \right) = -\frac{1}{M_P} \delta(\rho) , \quad g^2 = 1 - \frac{\rho^2 \bar{\chi}'^2}{6 a_{SI} M_P^2} , \quad a_{SI} = \frac{1}{1/\xi + 6}$$

Vacuum boundary conditions at infinity  $\rho \rightarrow \infty$ :

$g^2(\rho) \rightarrow 1$  ,  $h(\rho) \rightarrow 0$  . The asymptotic behaviour of the scalar field  $\chi$  at  $\rho \rightarrow 0$ :  $\chi = -M_P \sqrt{6 a_{SI}} \log \rho M_P + c$  with  $c$  a constant used to match with the asymptotics at large  $\rho$ .



blue: configuration obeying the boundary condition imposed by the source. green is the one with the large euclidean action  $\bar{W} = 40$ . red: the bounce.  $c$ : fall-off at infinity,  $\chi = c\rho^{-2}$ ,  $\rho \rightarrow \infty$ .



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The action is too small! Semiclassical approximation does not work, and  $\langle H \rangle \sim M_P$  is expected.

The appearance of a scale small compared with  $M_P$  is not generic and requires a specific theory in UV, well along with our conjecture.

Modifications of the theory in the UV:

- Include higher dimensional operators, for example

$$\mathcal{O}_4 = \sqrt{g} \delta \frac{(\partial h)^4}{(M_P \Omega)^4}, \quad \Omega^2 = 1 + \frac{\xi h^2}{M_P^2}$$

$\Omega^2$  is needed to keep the scale symmetry at large  $h$ . Leads to finite values of the Higgs field in the centre of the instanton!

- Action of the instanton depends on  $a_{SI}$ ,  $S \propto \sqrt{a_{SI}}$ . The parameter  $a_{SI}$  is a combination of a non-minimal coupling to gravity and scalar kinetic term. They can be changed for large  $h$  to make the action large, without affecting low energy physics

# A theory that works

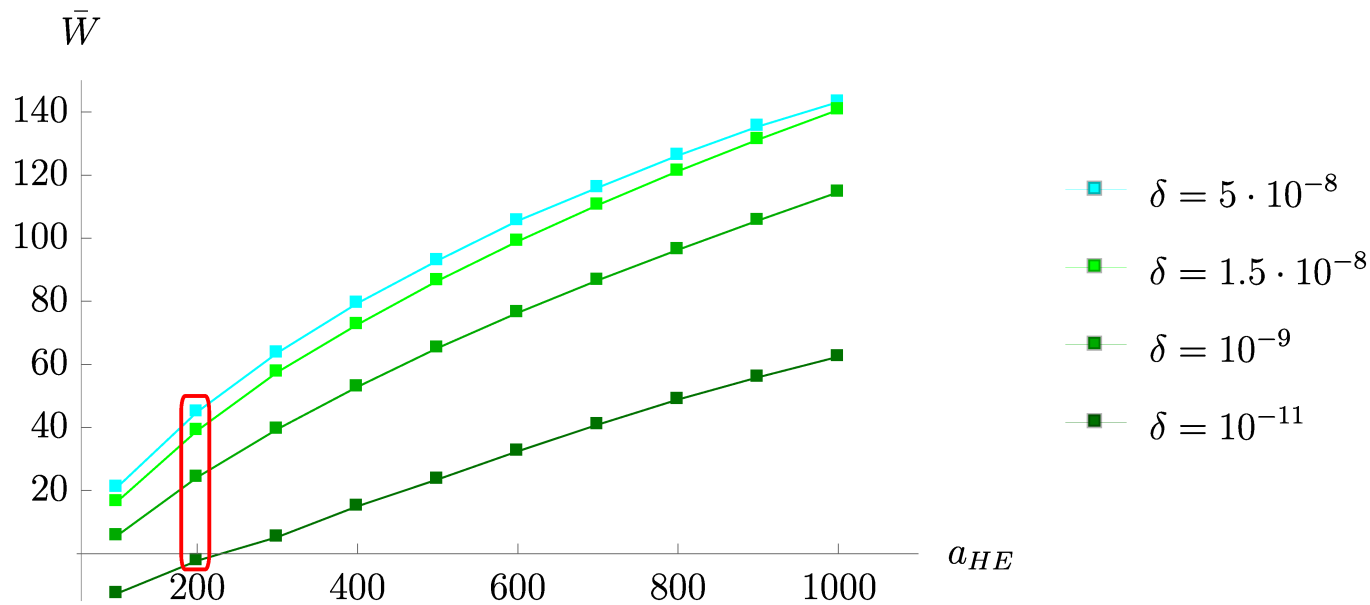
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$$\frac{\mathcal{L}_{h,g}}{\sqrt{g}} = -\frac{1}{2}(M_P^2 + \xi h^2)R + \frac{1}{2}G(h/M_P)(\partial h)^2 \\ + \delta\xi^2 \frac{(\partial h)^4}{(M_P\Omega)^4} + \frac{\lambda}{4}h^4 ,$$

where  $G(0) = 1$ ,  $G(\infty) = \kappa = \text{const}$ . Then the asymptotic value of  $a_{SI}$  in the large- $\chi$  regime modifies to

$$a_{SI} \rightarrow a_{HE} = \frac{1}{\kappa/\xi + 6} , \quad \rho \rightarrow 0 , \quad h \gtrsim M_P ,$$

Must have  $\kappa > -\frac{6}{\xi}$  (absence of ghosts). Taking  $\kappa = -\frac{6}{\xi} + \epsilon$ ,  $\epsilon > 0$ ,  $\epsilon \ll 1$  can make the action large.



The instanton value of the functional  $W$  plotted against the coefficient  $a_{HE}$  and with the different choices of the parameter  $\delta$ .

**Intriguing fact:** large  $a_{HE}$  and small  $\delta$  correspond to an approximate **Weyl** symmetry.

# Conclusions

---

- Very small  $m_H/M_P$  ratio is (perhaps) telling us that
  - There are no new particles with masses between the Fermi and Planck scale
  - The smallness of the Fermi scale is a semiclassical non-perturbative UV effect associated with gravity and new type of instantons
  - The asymptotic theory of the SM at large scalar fields is nearly Weyl invariant
- Open problems
  - Unfortunately, we can make no prediction of the ratio  $m_H/M_P$ , as this depends on details of UV theory.
  - We cannot estimate the contribution of the effects other than perturbative and semiclassical.



## Remark

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Everything can be generalised to a completely scale-invariant theory with spontaneous breaking of scale invariance.

Gravity part:

$$\mathcal{L}_G = - (\xi_\chi \chi^2 + 2\xi_h \varphi^\dagger \varphi) \frac{R}{2} ,$$

The vev of extra field – dilaton – gives rise to the Planck scale.

For analysis and results see [M.S., Shkerin, arXiv:1804.06376](#).