

NO ν A Experiment – Recent Status

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Outline

- Neutrino oscillations, oscillation parameters
- 2016 NOvA results
- NOvA experiment
 - Layout, main features of NOvA experiment
 - Fermilab accelerator complex, neutrino beam
 - NOvA detectors
 - ν_e event selection (CVN)
- Future outlook
- Summary

Neutrino mixing

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos\theta_{23} & \sin\theta_{23} \\ 0 & -\sin\theta_{23} & \cos\theta_{23} \end{pmatrix} \begin{pmatrix} \cos\theta_{13} & 0 & \sin\theta_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -\sin\theta_{13}e^{-i\delta} & 0 & \cos\theta_{13} \end{pmatrix} \begin{pmatrix} \cos\theta_{12} & \sin\theta_{12} & 0 \\ -\sin\theta_{12} & \cos\theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Main atmospheric neutrino oscillation channels (NO ν A, T2K, MINOS+, IceCube etc.)
 $\nu_\mu \rightarrow \nu_\mu$ (**disappearance**):

$$P(\nu_\mu \rightarrow \nu_\mu) \approx 1 - \sin^2 2\theta_{23} \sin^2(\Delta m_{32}^2 L / 4E)$$

$\nu_\mu \rightarrow \nu_e$ (**appearance with matter effect**):

$$\begin{aligned} P(\nu_\mu \rightarrow \nu_e) &= \sin^2 2\theta_{13} \sin^2 \theta_{23} \frac{\sin^2 \left[\left(\frac{V}{2} - \frac{\Delta m^2}{4E} \right) L \right]}{\left(\frac{2EV}{\Delta m^2} - 1 \right)^2} + \\ &+ a \cos \theta_{13} \sin 2\theta_{13} \sin 2\theta_{12} \sin 2\theta_{23} \cos \left(\frac{\Delta m^2}{4E} L - \delta \right) \frac{\sin \left(\frac{V}{2} L \right)}{\frac{2EV}{\Delta m^2}} \frac{\sin \left[\left(\frac{V}{2} - \frac{\Delta m^2}{4E} \right) L \right]}{1 - \frac{2EV}{\Delta m^2}} \\ &+ a^2 \sin^2 2\theta_{12} \cos^2 \theta_{13} \cos^2 \theta_{23} \frac{\sin^2 \frac{V}{2} L}{\left(\frac{2EV}{\Delta m^2} \right)^2} \end{aligned} \quad (5.2)$$

$$a = \Delta m_{21}^2 / \Delta m^2$$

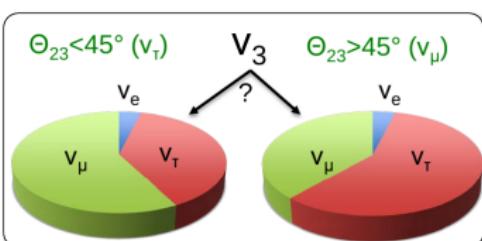
$$V \equiv \sqrt{2} G_F N_e$$

Neutrino oscillations (parameters) 3ν -model

- Neutrino mixing \Rightarrow
9 parameters (3 angles,
3 masses, 3 phases)
- Neutrino oscillations \Rightarrow
6 parameters: 3 angles,
2 squared-mass diffs., 1 CP
phase
- $\Delta m^2 = m_3^2 - \frac{m_1^2 + m_2^2}{2} \approx$
 $\Delta m_{31}^2 \approx \Delta m_{32}^2 \approx$
 $2.5 \times 10^{-3} \text{ eV}^2 \gg \Delta m_{21}^2$

Parameter	best-fit	3σ
$\Delta m_{21}^2 [10^{-5} \text{ eV}^2]$	7.37	$6.93 - 7.97$
$ \Delta m^2 [10^{-3} \text{ eV}^2]$	2.50 (2.46)	$2.37 - 2.63 (2.33 - 2.60)$
$\sin^2 \theta_{12}$	0.297	$0.250 - 0.354$
$\sin^2 \theta_{23}, \Delta m^2 > 0$	0.437	$0.379 - 0.616$
$\sin^2 \theta_{23}, \Delta m^2 < 0$	0.569	$0.383 - 0.637$
$\sin^2 \theta_{13}, \Delta m^2 > 0$	0.0214	$0.0185 - 0.0246$
$\sin^2 \theta_{13}, \Delta m^2 < 0$	0.0218	$0.0186 - 0.0248$
δ/π	1.35 (1.32)	$(0.92 - 1.99)$ $((0.83 - 1.99))$

C. Patrignani et al. (Particle Data Group), Chin. Phys. C, 40, 100001, 2016



Remaining “oscillation secrets”

- What is the mass hierarchy, i.e. $\Delta m^2 >$ or < 0 ?
- Do oscillations violate CP? What is δ ?
- Is 23-mixing (bi)maximal? If not, is $\theta_{23} >$ or $< 45^\circ$?
- Beyond? (steriles, supernovae, monopoles etc.)

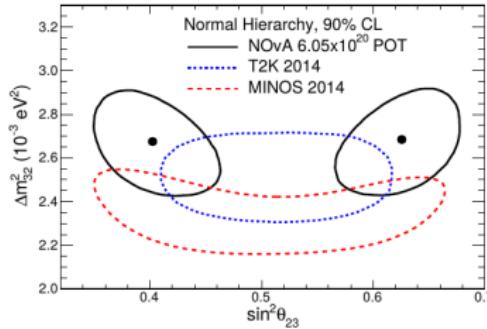
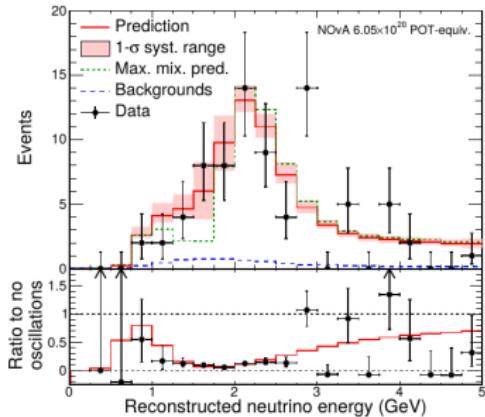
NOvA Results 2016

NOvA – main analyses

- $\nu_\mu \rightarrow \nu_\mu$ **channel:**
 - 1 $\sin^2 \theta_{23}$, bimaximal mixing
 - 2 $|\Delta m^2|$
- $\nu_\mu \rightarrow \nu_e$ **channel:**
 - 1 θ_{23} vs. δ contours
 - + with future $\bar{\nu}$ data (from Feb 2017):
 - 2 θ_{23} octant, $>$ or $<$ 45°
 - 3 δ hyperplane, $\delta \in [0, \pi]$ or $[\pi, 2\pi]$
 - 4 hierarchy signatures
- $\nu_\mu \rightarrow \nu$, i.e. **NC channel:**
 - 1 ν_s existence (3+1 model with recent data)
 - 2 bounds on Δm_{41}^2 , θ_{34} , θ_{24}
- **XSec, Exotics**

NO ν A Results 2016

$\nu_\mu \rightarrow \nu_\mu$ analysis



P.

Adamson et al. (NO ν A Coll.), Phys. Rev. Lett. 118, 151802 (2017) – Published 10 April 2017,
(arXiv: 1701.05891)

- Detected 78 ν_μ events in FD (bkg. 3.7 beam, 2.4 cosmics)
- Expected w/o oscillations: 473 ± 30

Oscillation parameters best estimates

$$\text{NH: } \Delta m_{32}^2 = (2.67 \pm 0.11) \times 10^{-3} \text{ eV}^2, \sin^2 \theta_{23} = 0.404^{+0.030}_{-0.022} \parallel 0.624^{+0.022}_{-0.030}$$

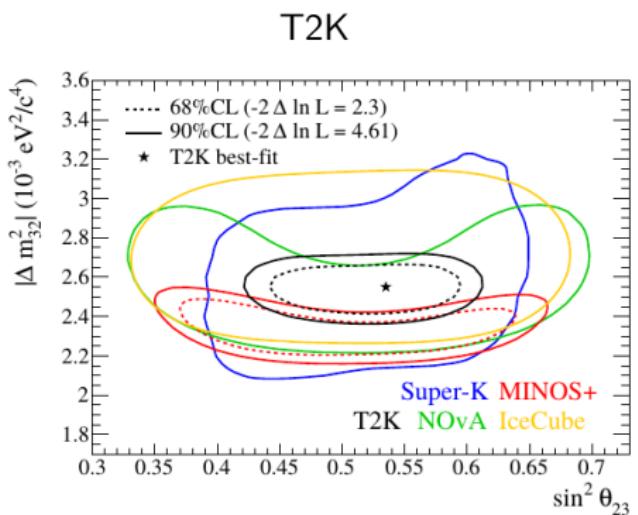
$$\text{IH: } \Delta m_{32}^2 = (-2.72 \pm 0.11) \times 10^{-3} \text{ eV}^2, \sin^2 \theta_{23} = 0.398^{+0.030}_{-0.022} \parallel 0.618^{+0.022}_{-0.030}$$

$\sin^2 \theta_{23} = 0.5$ excluded at 2.5σ



NOvA Results 2016 – NOvA vs T2K

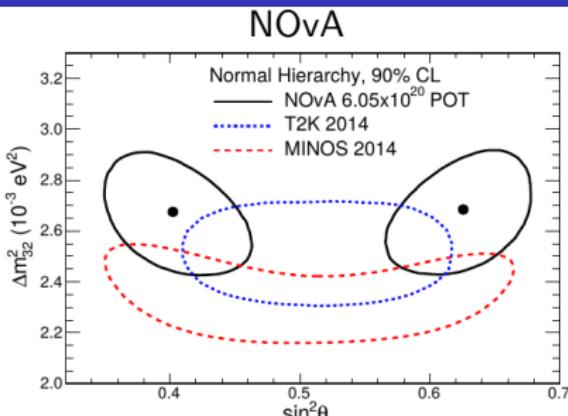
$\nu_\mu \rightarrow \nu_\mu$ analysis, bimaximal 23-mixing



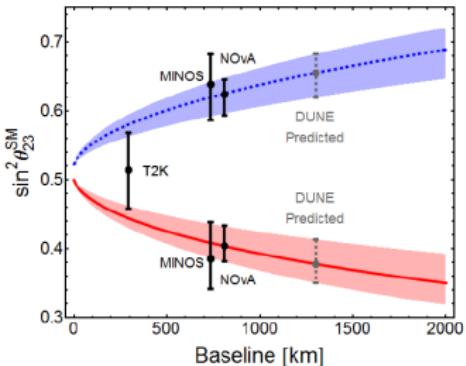
K. Abe et al. (T2K Collaboration) Phys. Rev. Lett. 118, 151801 (2017) – Published 10 April 2017 (arXiv: 1701.00432)

First explanations:

- **Nonstandard neutrino interactions (NSI):**
J. Liao et al. Phys. Lett. B 767 : 350-353
(2017) (arXiv: 1609.01786)
 - **Neutrino decoherence:**
J. A. B. Coelho et al. arXiv: 1702.04738



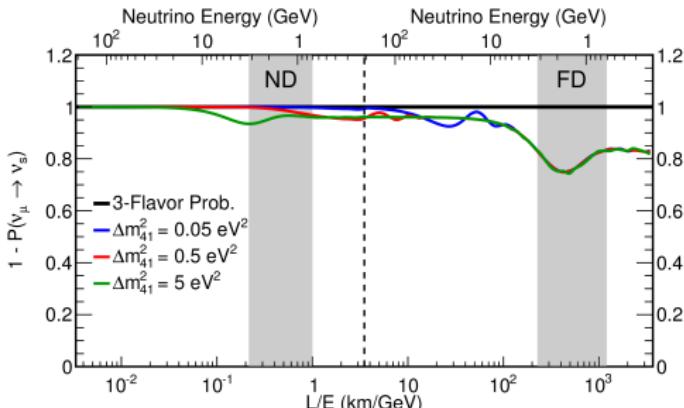
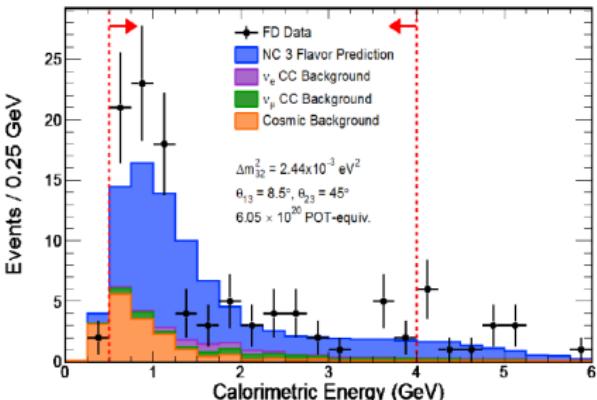
Neutrino decoherence



NOvA Results 2016

NC analysis

NOvA Preliminary

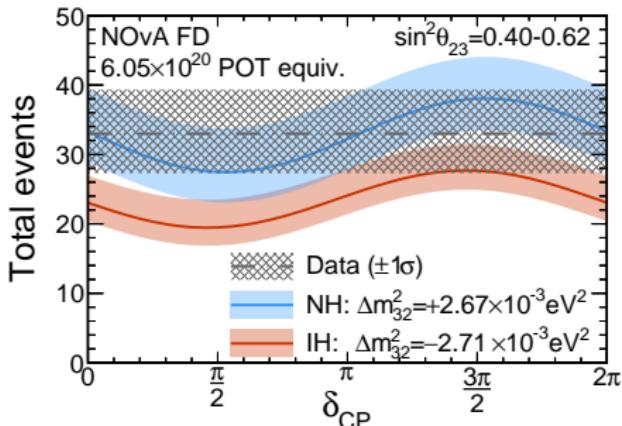
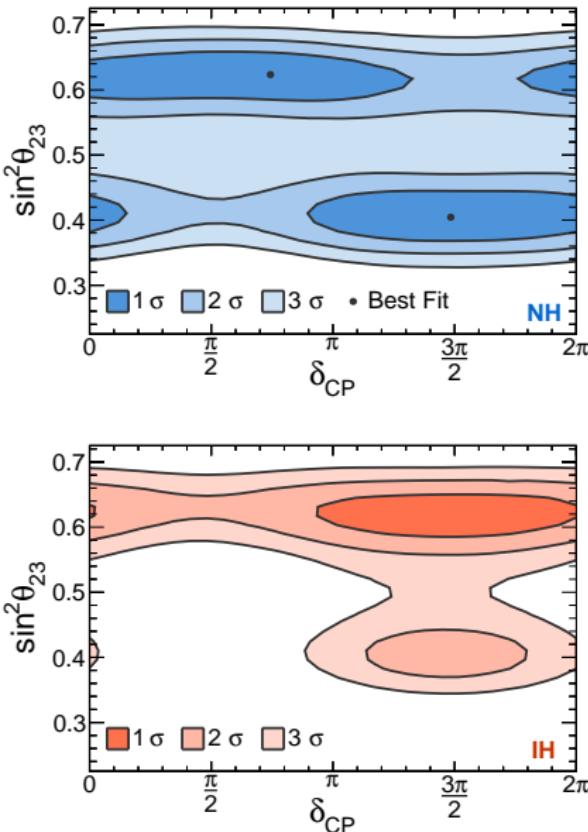


- First presented at ICHEP 2016
- Detected 95 NC events (effectivity 50%, purity 72%)
- No evidence of ν_s (minimal 3+1 model tested):

For $0.05 \text{ eV}^2 < \Delta m_{41}^2 < 0.5 \text{ eV}^2$
 $\theta_{34} < 35^\circ$, $\theta_{24} < 21^\circ$ (90% C.L.)

NOvA Results 2016

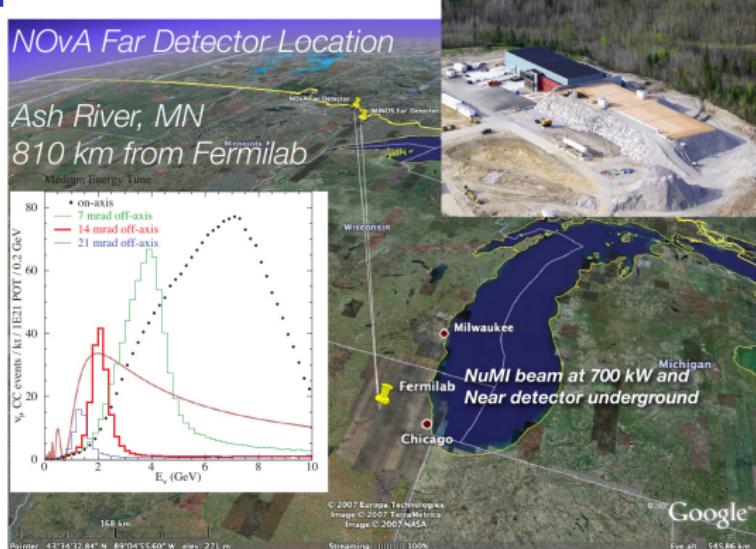
$\nu_\mu \rightarrow \nu_e$ analysis, $\nu_\mu \rightarrow \nu_\mu$ constrained, contours



- arXiv: 1703.03328
- Detected 33 ν_e events (bkg. 8.2 ± 0.8), i.e. $> 8\sigma$ ν_e appearance signal
- Best estimates:
 - NH, $\delta = 1.49\pi$, $\sin^2 \theta_{23} = 0.40$
- Degenerated estimates:
 - NH, $\delta = 0.74\pi$, $\sin^2 \theta_{23} = 0.62$
 - IH, $\delta = 1.49\pi$, $\sin^2 \theta_{23} = 0.62$
- IH, $\sin^2 \theta_{23} < 0.5$ excluded at 93%
- $\bar{\nu}$ data will help resolve degeneracies

NOvA Experiment

NuMI Off-axis ν_e Appearance



$\nu_\mu \rightarrow \nu_\mu, \nu_e$ and $\bar{\nu}_\mu \rightarrow \bar{\nu}_\mu, \bar{\nu}_e$

Matter effect (modification of oscillation patterns when in matter)

Near and Far detector, calorimetric, tracking, 65% fiducially and 24/7 temporally active

Off-axis detectors (ca 14 mrad)

NuMI beamline – from MINOS

$L = 810$ km, $E \simeq 2.0$ GeV

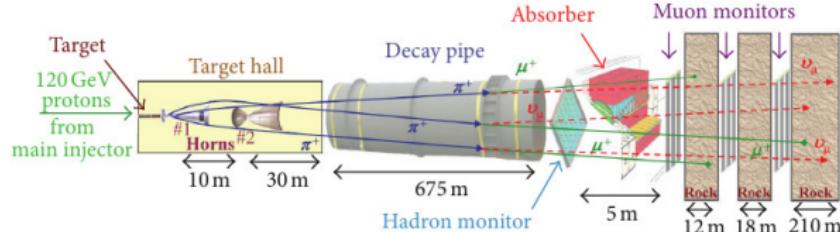
CVN (Convolutional Visual Network)
effective event identification based on image processing

Physics goals

- $\nu_\mu \rightarrow \nu_\mu$: $\theta_{23}, |\Delta m^2|$
- $\nu_\mu \rightarrow \nu_e$: $\delta, \Delta m^2, \theta_{23}, (\theta_{13})$
- $\nu_\mu \rightarrow \nu$, NC: ? ν_s ? (minimal 3+1 model, recently)
- XSecs, magnetic moments, monopoles, supernovae, WIMPs and more!

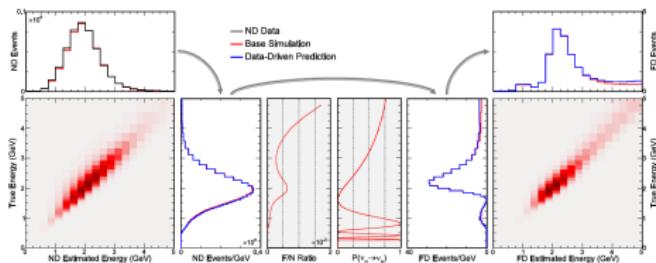
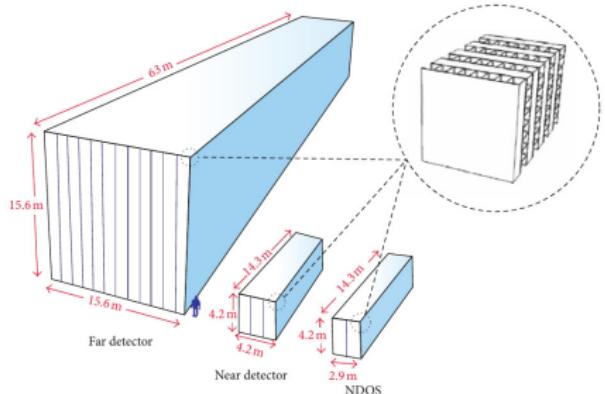
FNAL Acc. Complex

NuMI beam

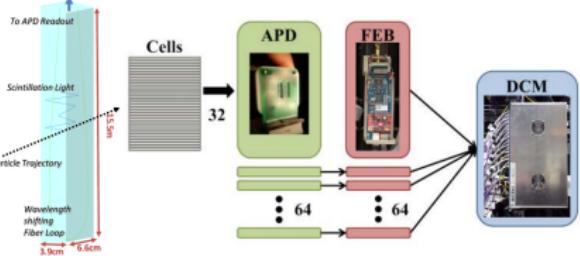


NuMI beamline (700 kW) used by a number of neutrino experiments incl. MINERvA or former MINOS

NOvA Detectors

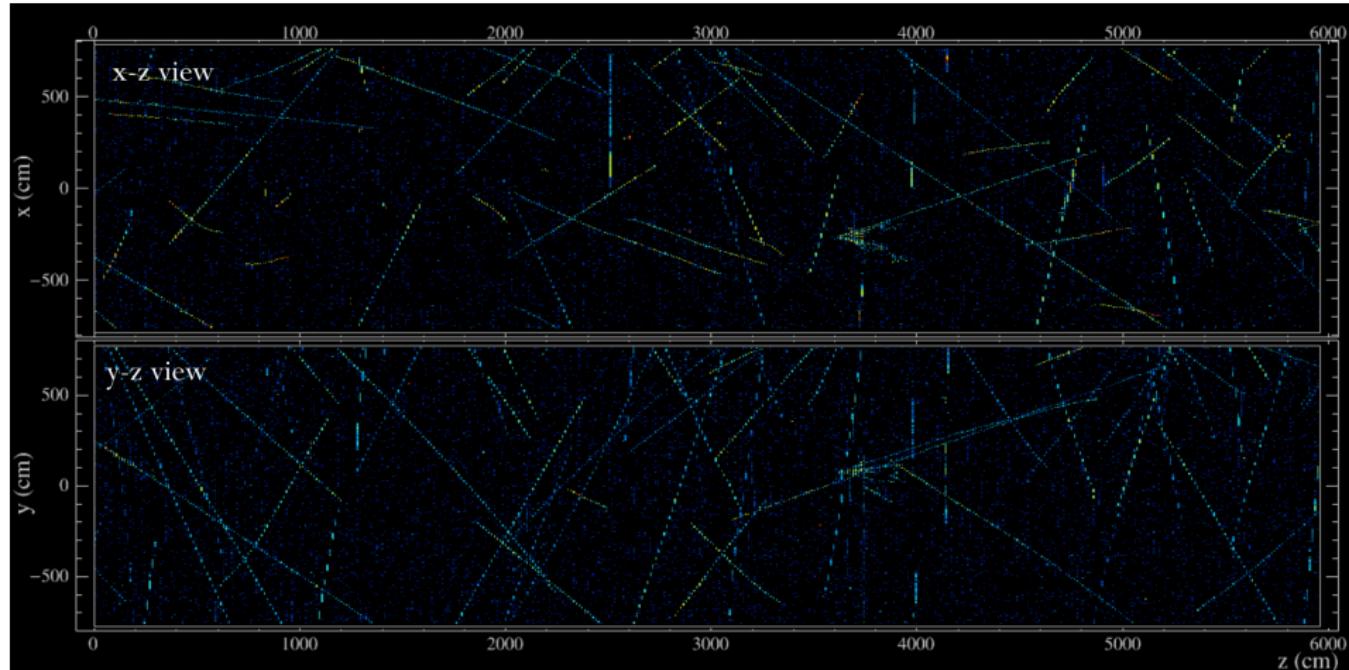


- Far (FD) and Near (ND) detectors
- Data-driven prediction of FD events
- FD – 14 kton ($15.6 \times 15.6 \times 63$ m), ND – 0.3 kton ($4.2 \times 4.2 \times 14.3$ m)
- 65 % active volume (9 kton of scintillator in FD), segmented:
 - FD – 344 064 cells (pixels)
 - ND – 18 000 cells (pixels)
- 3D event reconstruction
- Emitted light collected by wavelength-shifting fibers with both ends connected to one pixel of avalanche photodiode (APD, 32 pixels)



Event Visualization

550 μ s readout window



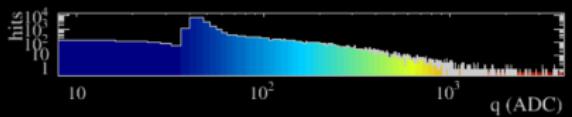
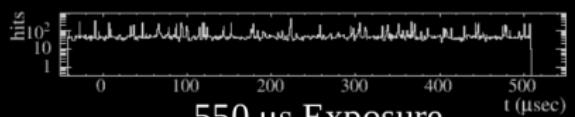
NOvA - FNAL E929

Run: 18620 / 13

Event: 178402 / --

UTC Fri Jan 9, 2015

00:13:53.087341608



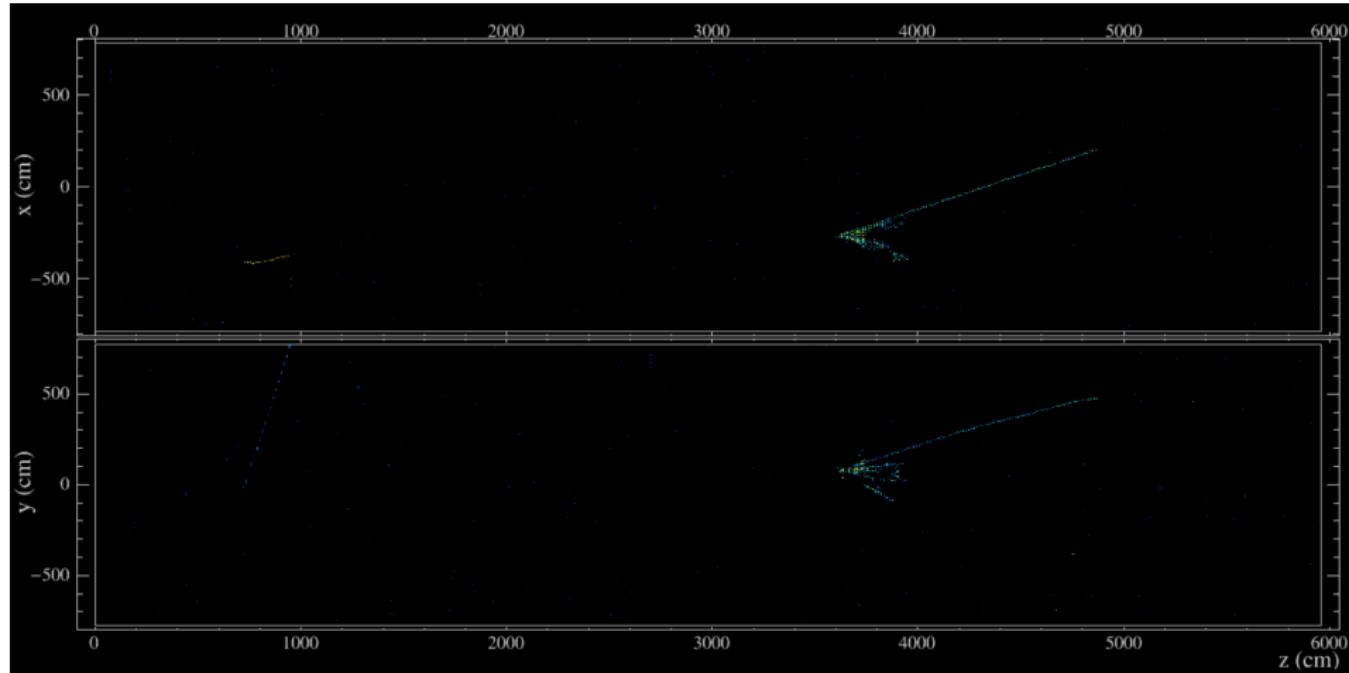
550 μ s Exposure

LIVE DATA STREAMING



Event Visualization

Beam spill time zoomed



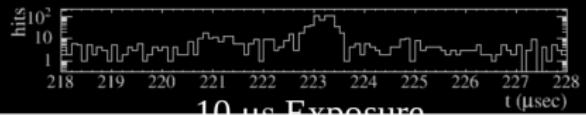
NOvA - FNAL E929

Run: 18620 / 13

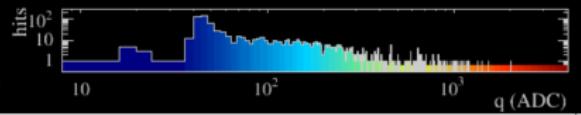
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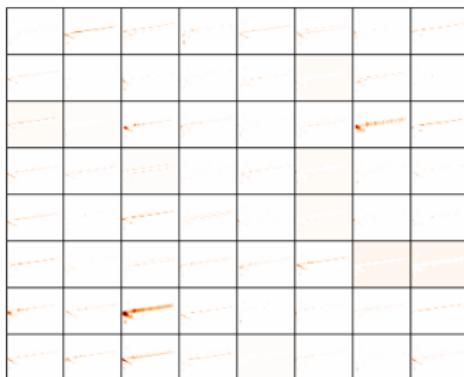
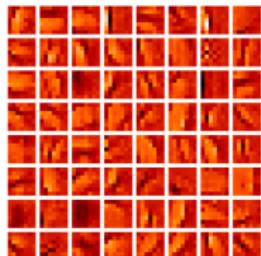
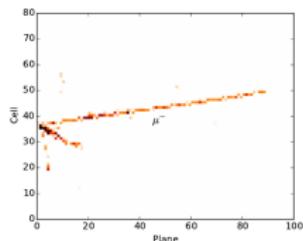
10 μ s Exposure



LIVE DATA STREAMING

Event Selection (CVN)

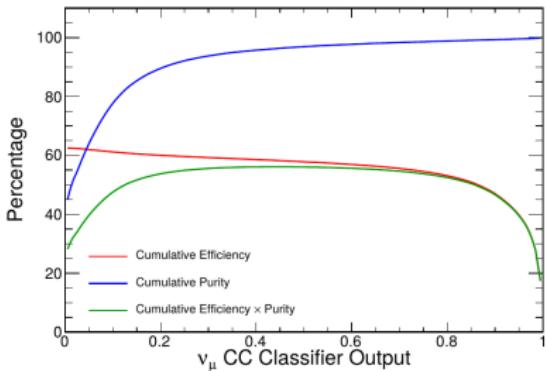
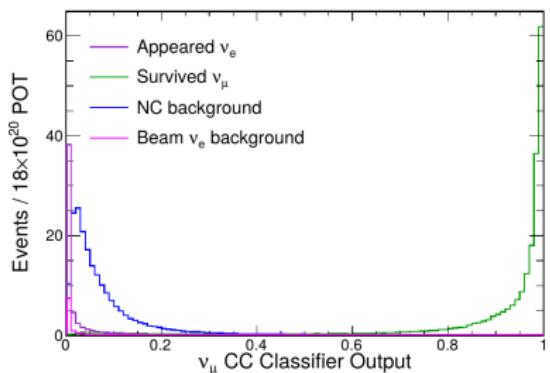
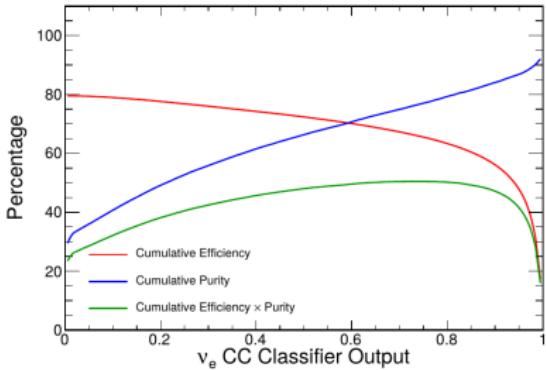
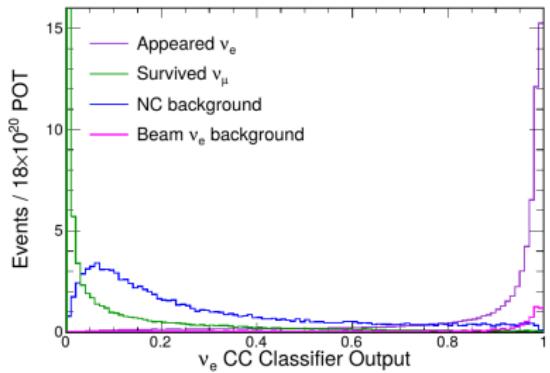
Convolutional Visual Network



- CVN is a series of image processing transformations (filters)
- Designed to identify and extract abstract features (patterns) from the sample
- Extracted features are used as input to conventional neural network to classify the event
- INPUT: calibrated heatmap!!,
OUTPUT: “probability” of event corresponding to one of trained neutrino interactions: ν_e , ν_μ , ν_τ , NC
- CVN provides +30% exposure equivalent improvement of ν_e sensitivity in comparison to usual (former) selection techniques

Event Selection (CVN)

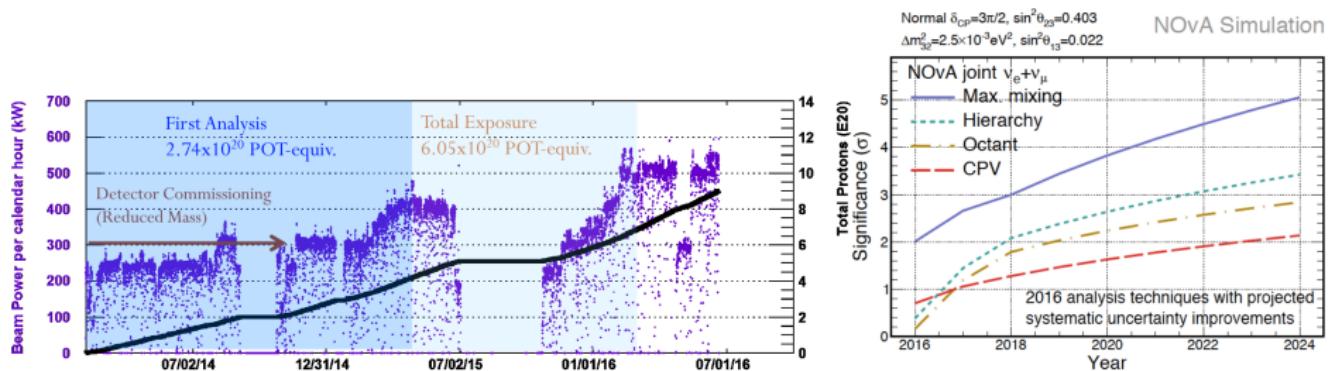
Convolutional Visual Network



NO ν A Experiment

News and future outlook

- NuMI power ~ 640 kW (maximum over 700 kW, up to now $9\text{-}10 \times 10^{20}$ POT)
- Run plan until 2024
- Potential upgrade to $\sim 0.9\text{-}1.0$ GW in 2020
- $\bar{\nu}$ beam from Feb 20, 2017 (9×10^{20} POT for ν and $\bar{\nu}$ until end of 2018)
- 3rd analysis starting now (expected 9×10^{20} POT of ν data)



Summary

- 2016 NOvA results on neutrino oscillations:

- $\nu_\mu \rightarrow \nu_\mu$:

$$\text{NH: } \Delta m_{32}^2 = (2.67 \pm 0.11) \times 10^{-3} \text{ eV}^2, \sin^2 \theta_{23} = 0.404^{+0.030}_{-0.022} \parallel 0.624^{+0.022}_{-0.030}$$

$$\text{IH: } \Delta m_{32}^2 = (-2.72 \pm 0.11) \times 10^{-3} \text{ eV}^2, \sin^2 \theta_{23} = 0.398^{+0.030}_{-0.022} \parallel 0.618^{+0.022}_{-0.030}$$

$$\sin^2 \theta_{23} = 0.5 \text{ excluded at } 2.5\sigma$$

- $\nu_\mu \rightarrow \nu_e$ with $\nu_\mu \rightarrow \nu_\mu$ constrains:

$> 8\sigma$ ν_e appearance signal

IH, $\sin^2 \theta_{23} < 0.5$ excluded at 93%

NH and non-zero δ preferred at low significance

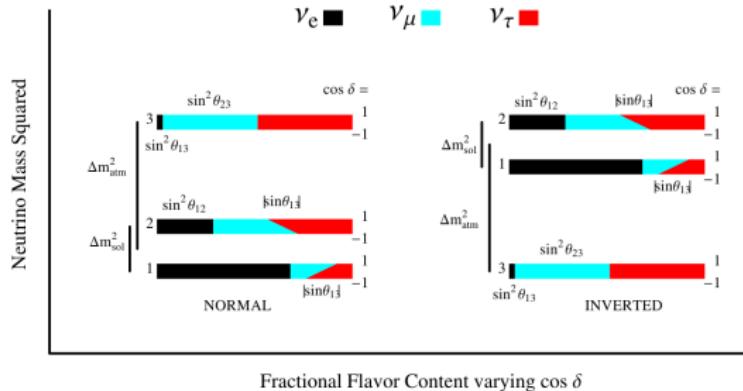
- NC events:

No evidence of ν_s

Expecting stronger bounds on extra osc. parameters with further data

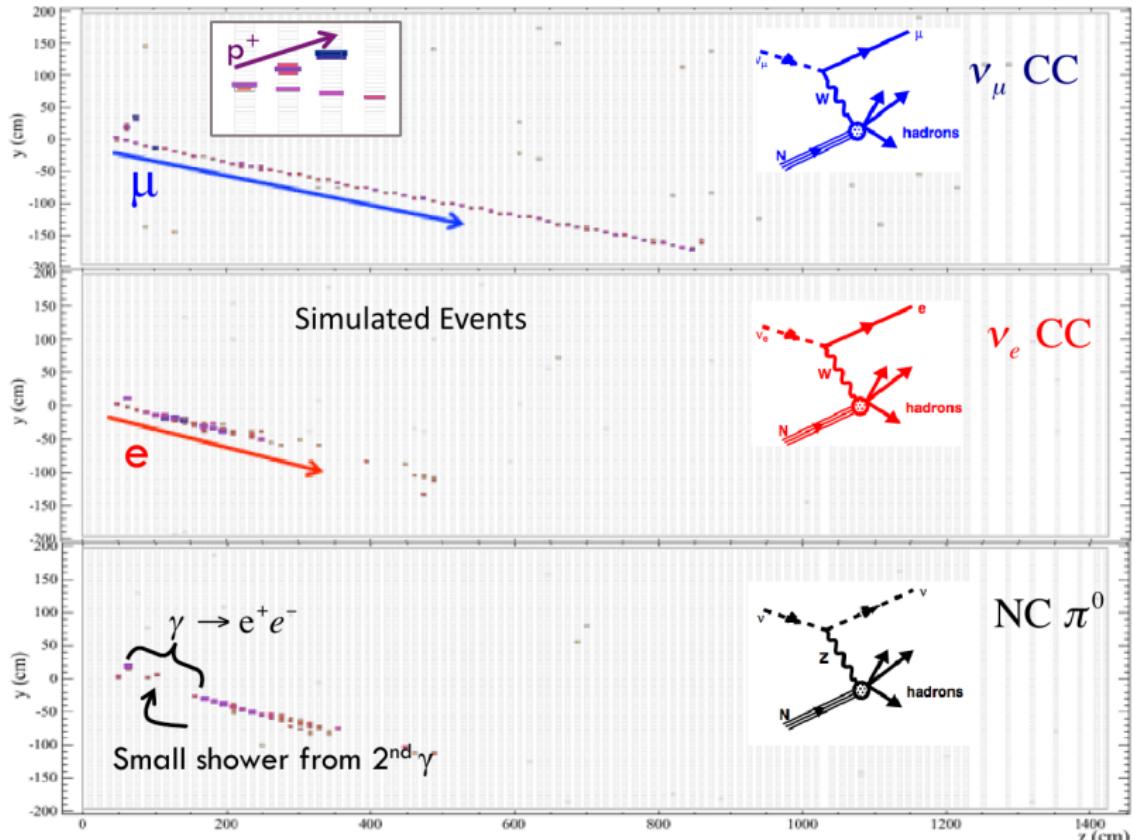
- ν run ended, taking $\bar{\nu}$ data since Feb 20, 2017, NuMI at ~ 700 kW
- Expecting notable contribution of $\bar{\nu}$ data to ν_e analysis concerning θ_{23} , δ and hierarchy degenerations
- 3rd period of analysis in progress, i.e. 9×10^{20} POT-equiv. of ν data

Backups – Oscillations

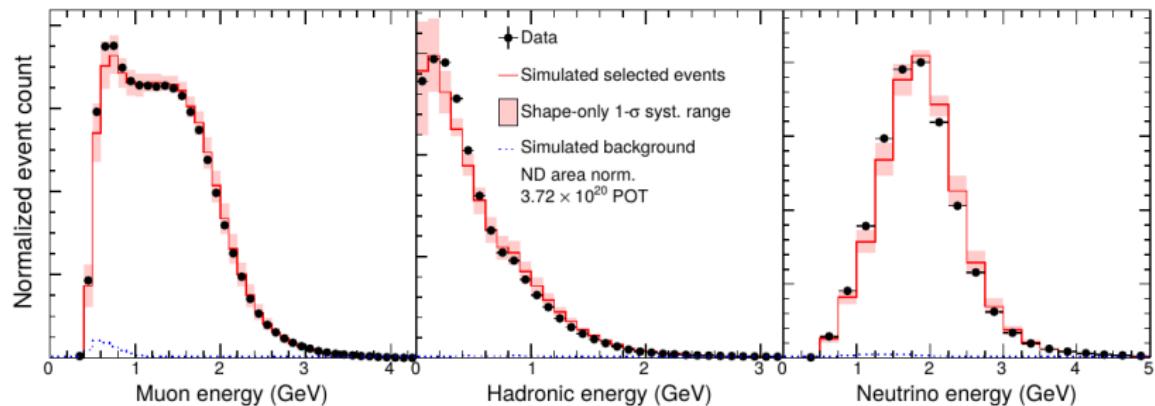


$$\begin{aligned}
P(\nu_\alpha \rightarrow \nu_\beta; L, E) &= |\text{Amp}(\nu_\alpha \rightarrow \nu_\beta; L, E)|^2 = \\
&= \left| \sum_{i=1}^3 U_{\alpha i}^* U_{\beta i} \exp \left(-i \frac{m_i^2}{2E} L \right) \right|^2 = \\
&= \sum_{i=1}^3 \sum_{j=1}^3 U_{\alpha i}^* U_{\alpha j} U_{\beta i} U_{\beta j}^* \exp \left(-i \frac{\Delta m_{ij}^2}{2E} L \right)
\end{aligned}$$

Backups – Event topologies



Backups – ν_μ analysis



Source of uncertainty	Uncertainty in $\sin^2\theta_{23} (\times 10^{-3})$	Uncertainty in $\Delta m_{32}^2 (\times 10^{-6} \text{ eV}^2)$
Absolute muon energy scale [$\pm 2\%$]	+9 / -8	+3 / -10
Relative muon energy scale [$\pm 2\%$]	+9 / -9	+23 / -14
Absolute hadronic energy scale [$\pm 5\%$]	+5 / -5	+7 / -3
Relative hadronic energy scale [$\pm 5\%$]	+10 / -11	+29 / -19
Normalization [$\pm 5\%$]	+5 / -5	+4 / -8
Cross sections and final state interactions	+3 / -3	+12 / -15
Neutrino flux	+1 / -2	+4 / -7
Beam background normalization [$\pm 100\%$]	+3 / -6	+10 / -16
Scintillation model	+4 / -3	+2 / -5
δ_{CP} [$0 - 2\pi$]	+0.2 / -0.3	+10 / -9
Total systematic uncertainty	+17 / -19	+50 / -47
Statistical uncertainty	+21 / -23	+93 / -99

Backups – ν_e analysis

