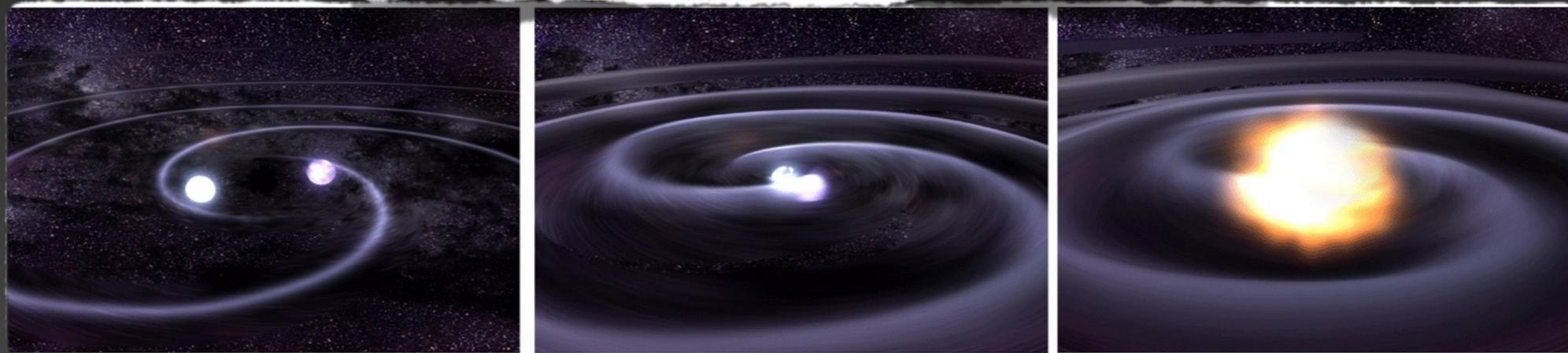
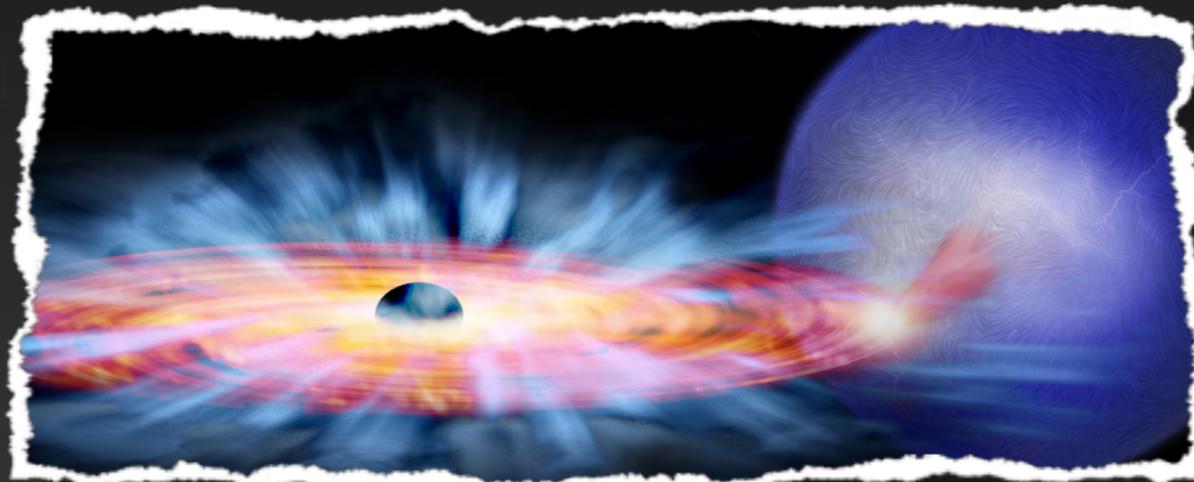


Open questions on the formation of binaries containing black holes

Tassos Fragos

Geneva Observatory - University of Geneva



**UNIVERSITÉ
DE GENÈVE**



FNSNF

FONDS NATIONAL SUISSE
SCHWEIZERISCHER NATIONALFONDS
FONDO NAZIONALE SVIZZERO
SWISS NATIONAL SCIENCE FOUNDATION

The “No-Hair” theorem

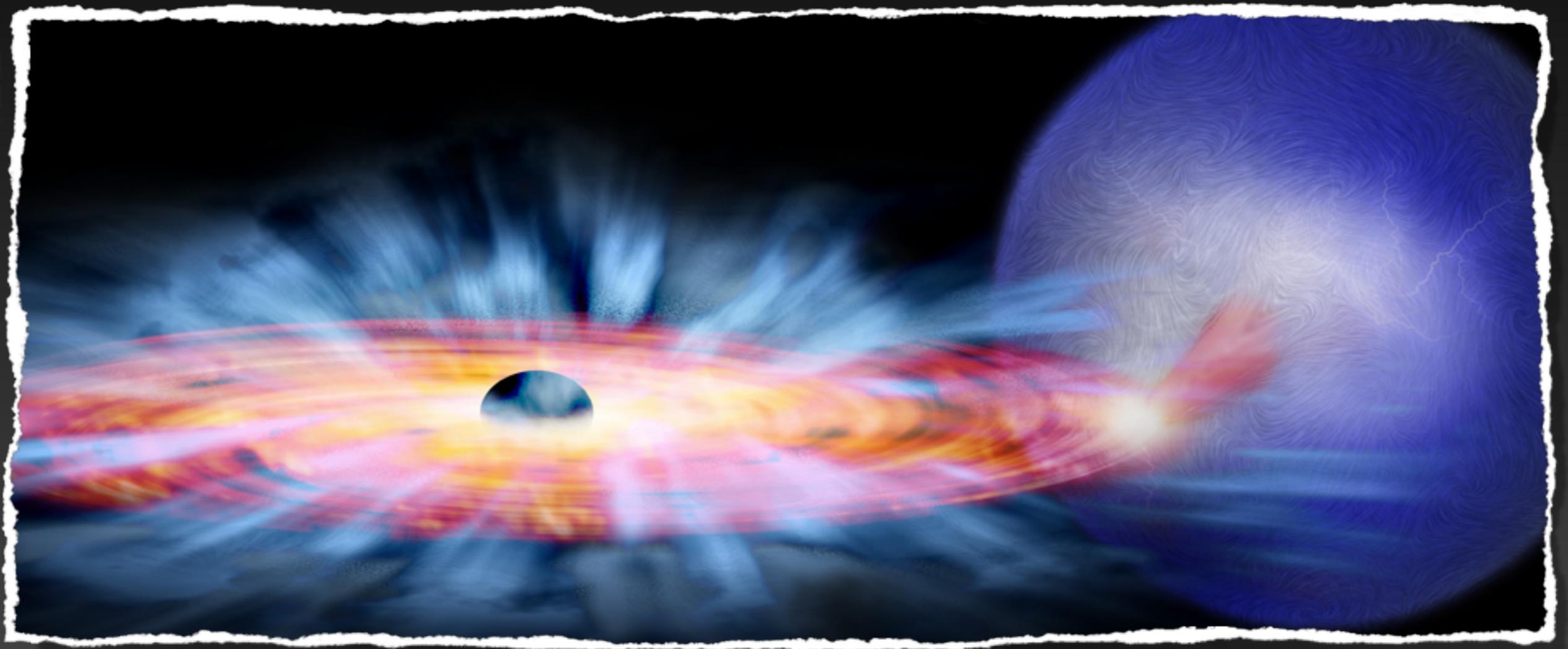
Every astrophysical black hole is fully characterized by two quantities:

$M = mass,$

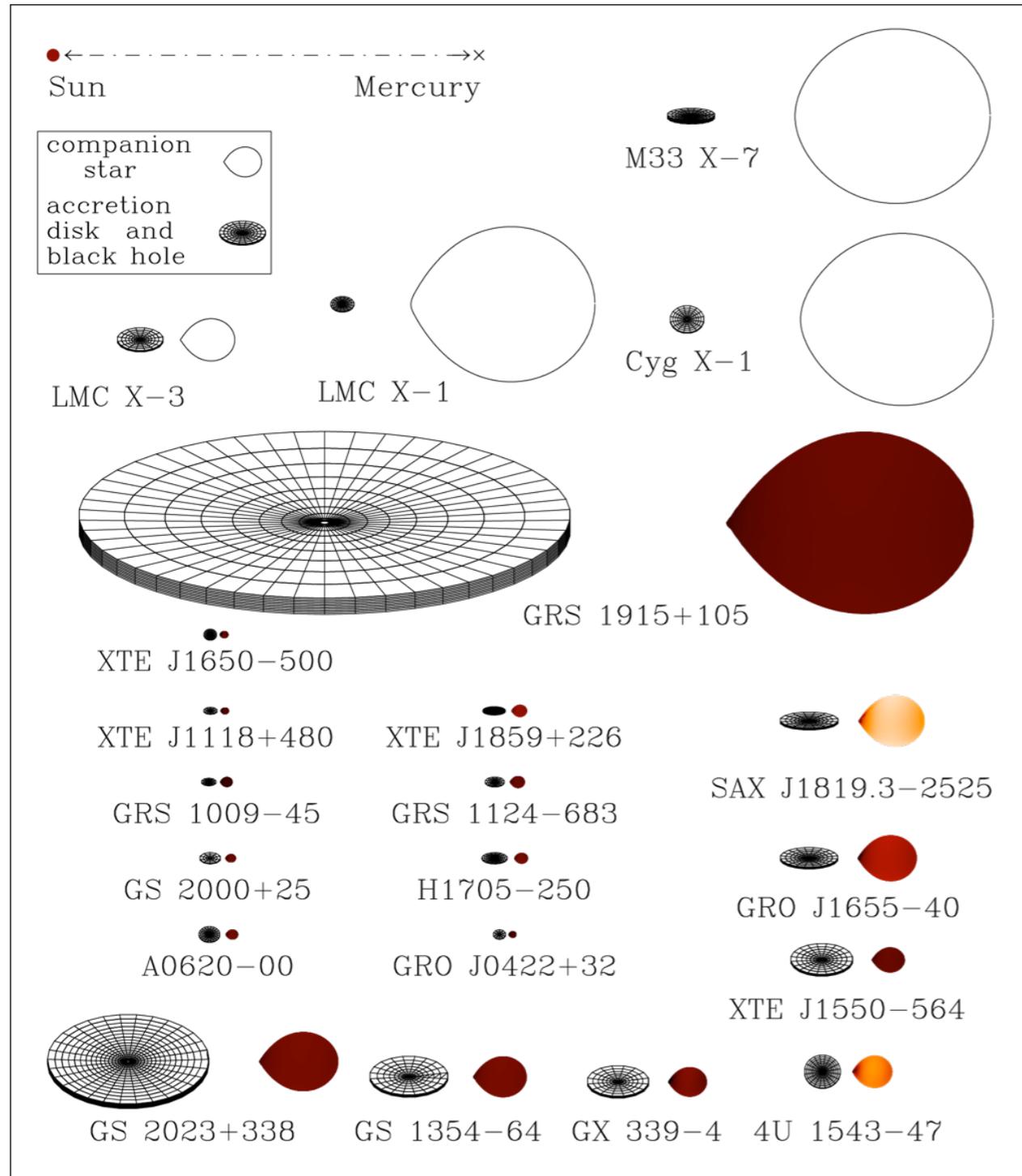
$a = spin.$

Black holes are as simple as elementary particles (in a sense).

Black Hole X-ray Binaries



Dynamically confirmed black holes



- Cyg X-1: the first BH candidate

[Bolton \(1972\)](#), [Webster & Mardin \(1972\)](#)

- 21 BHs with dynamical mass measurement

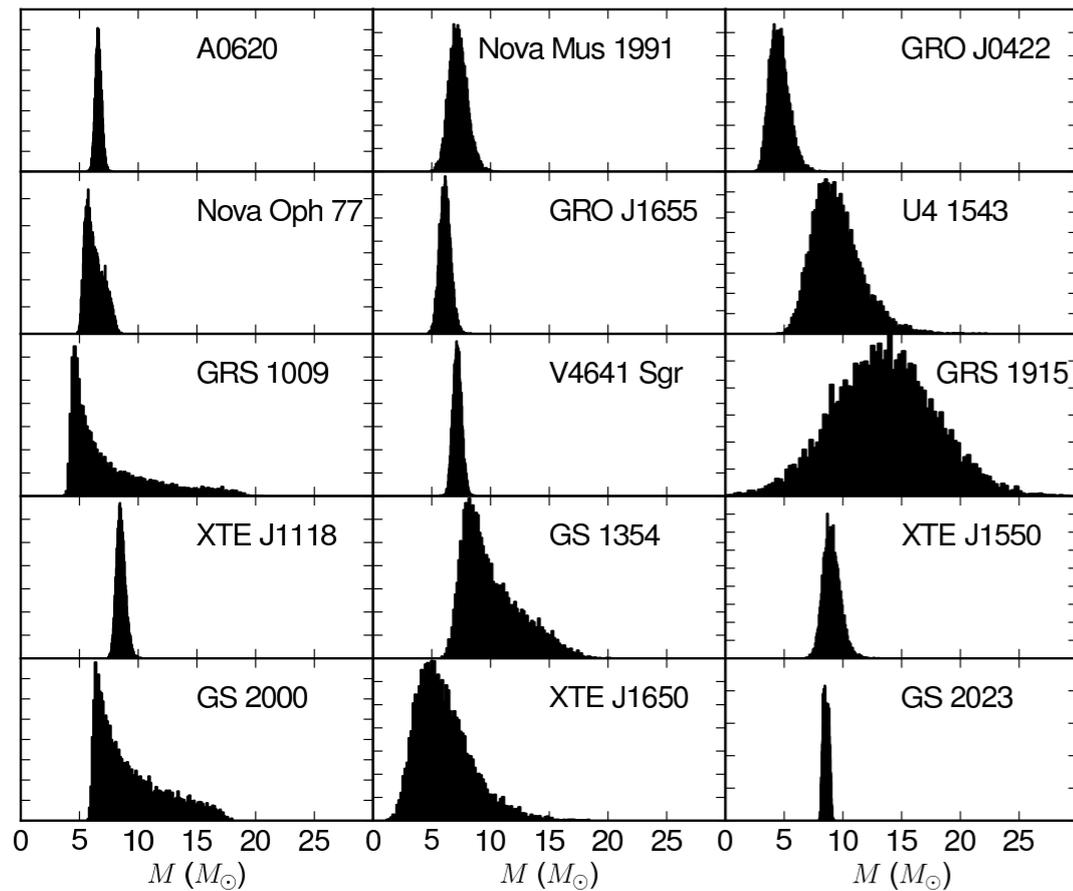
[McClintock & Remillard 2006](#), [Casares & Jonker 2014](#)

- 18 Galactic, 3 in nearby galaxies

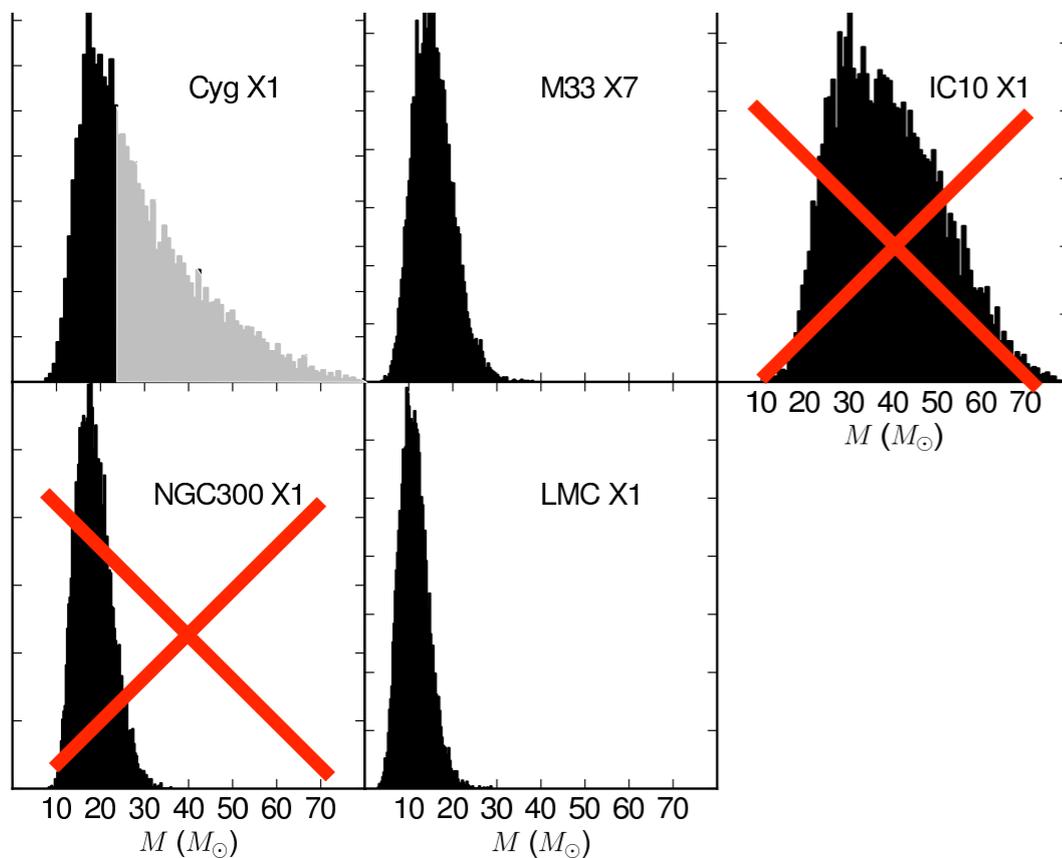
- 33 more BH candidates

Dynamically confirmed black holes

Farr et al. (2011)



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- 33 more BH candidates



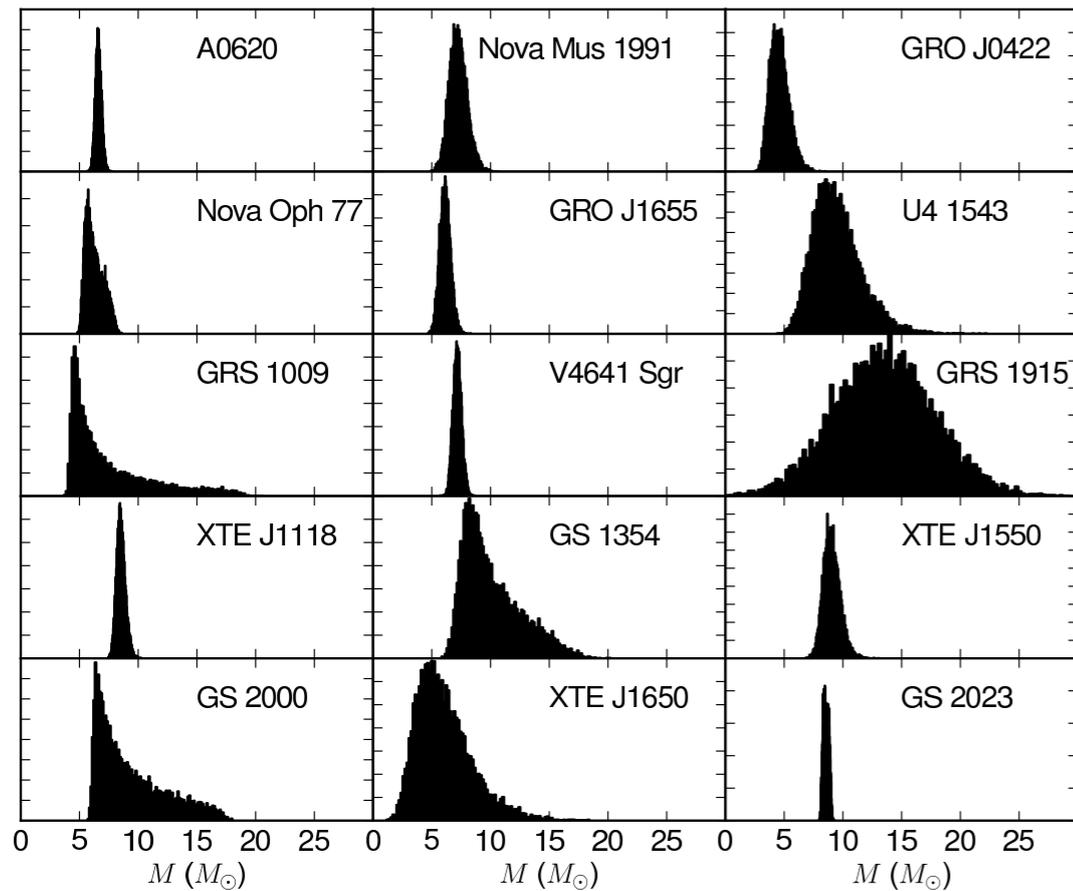
Özel et al. (2011)

LMXBs: $M_{\text{BH,current}} \sim 7.8 \pm 1.2 M_{\odot}$

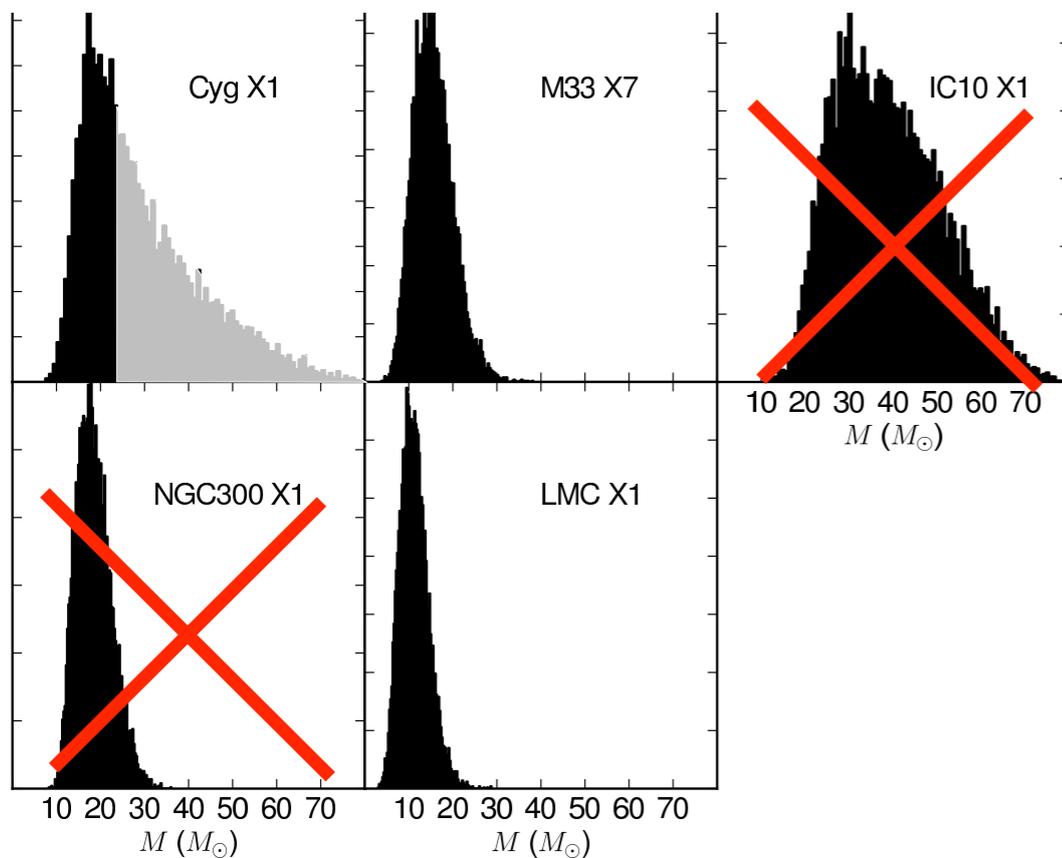
HMXBs: $M_{\text{BH}} \sim 10\text{-}16 M_{\odot}$

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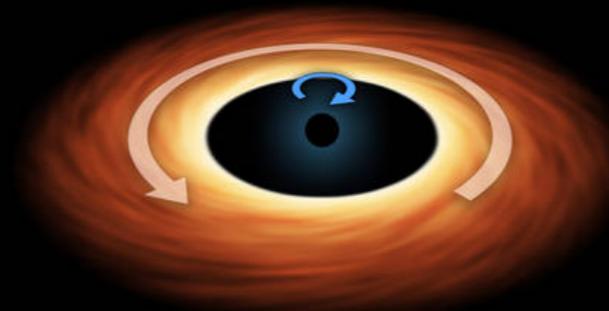
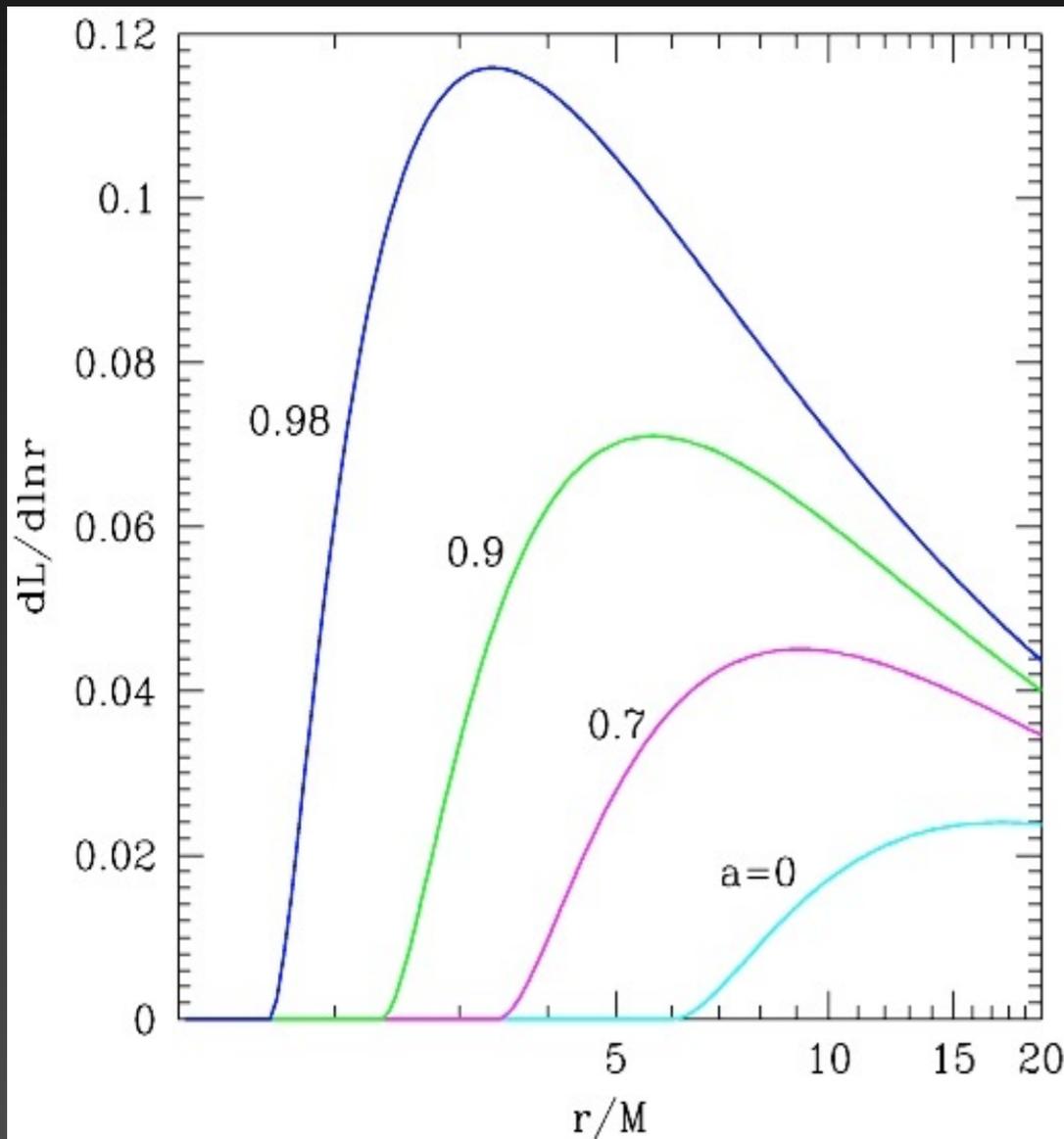
Fragos & McClintock (2015)

$M_{\text{BH,natal}} \sim 6.3 \pm 1.1 M_{\odot}$

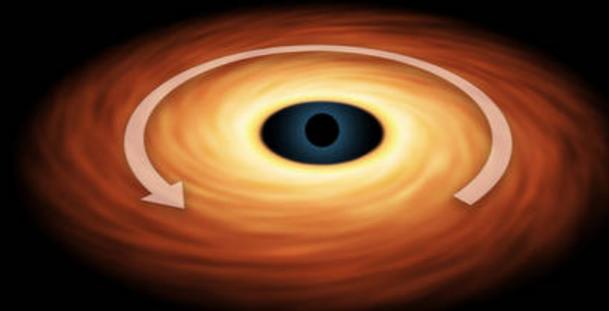
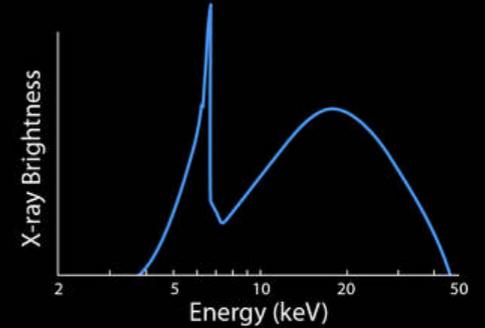
HMXBs: $M_{\text{BH}} \sim 10\text{-}16 M_{\odot}$

Measuring the the spin of Black Holes

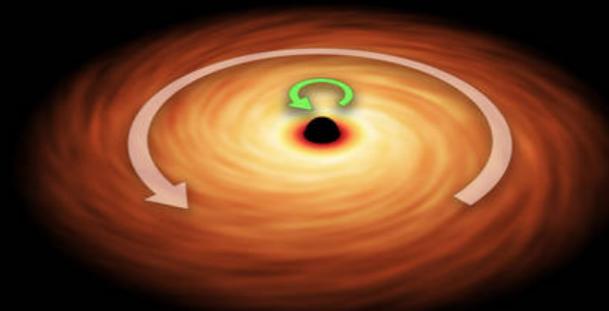
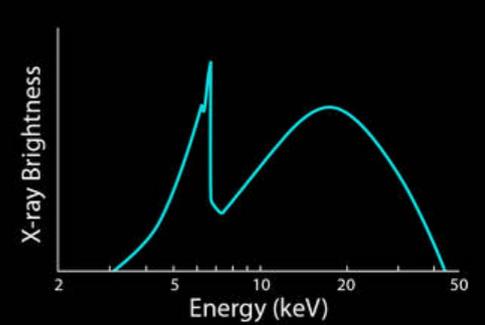
Continuum-fitting and Reflection methods



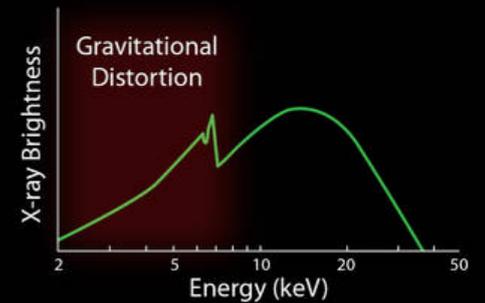
Retrograde Rotation



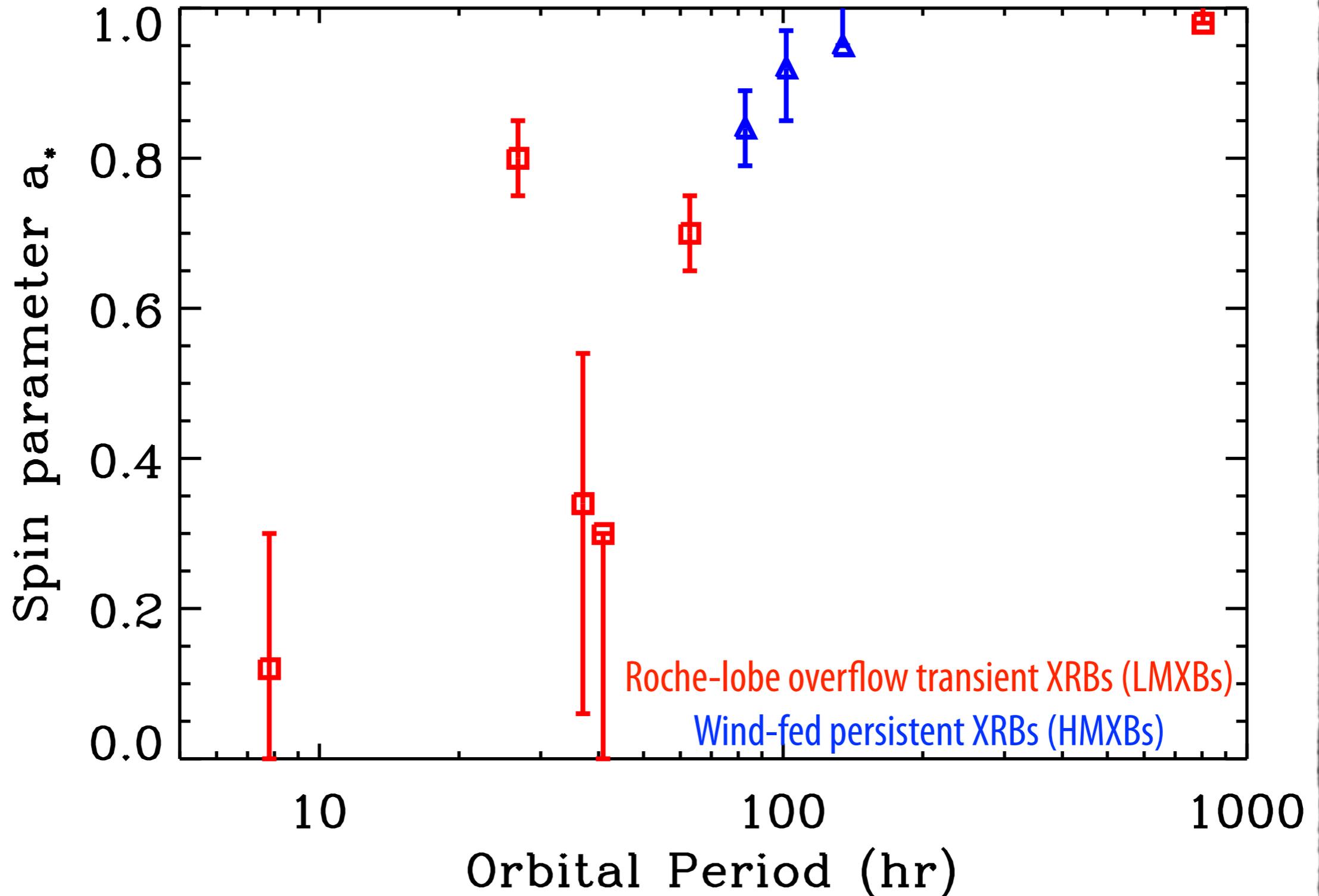
No Black Hole Rotation



Prograde Rotation



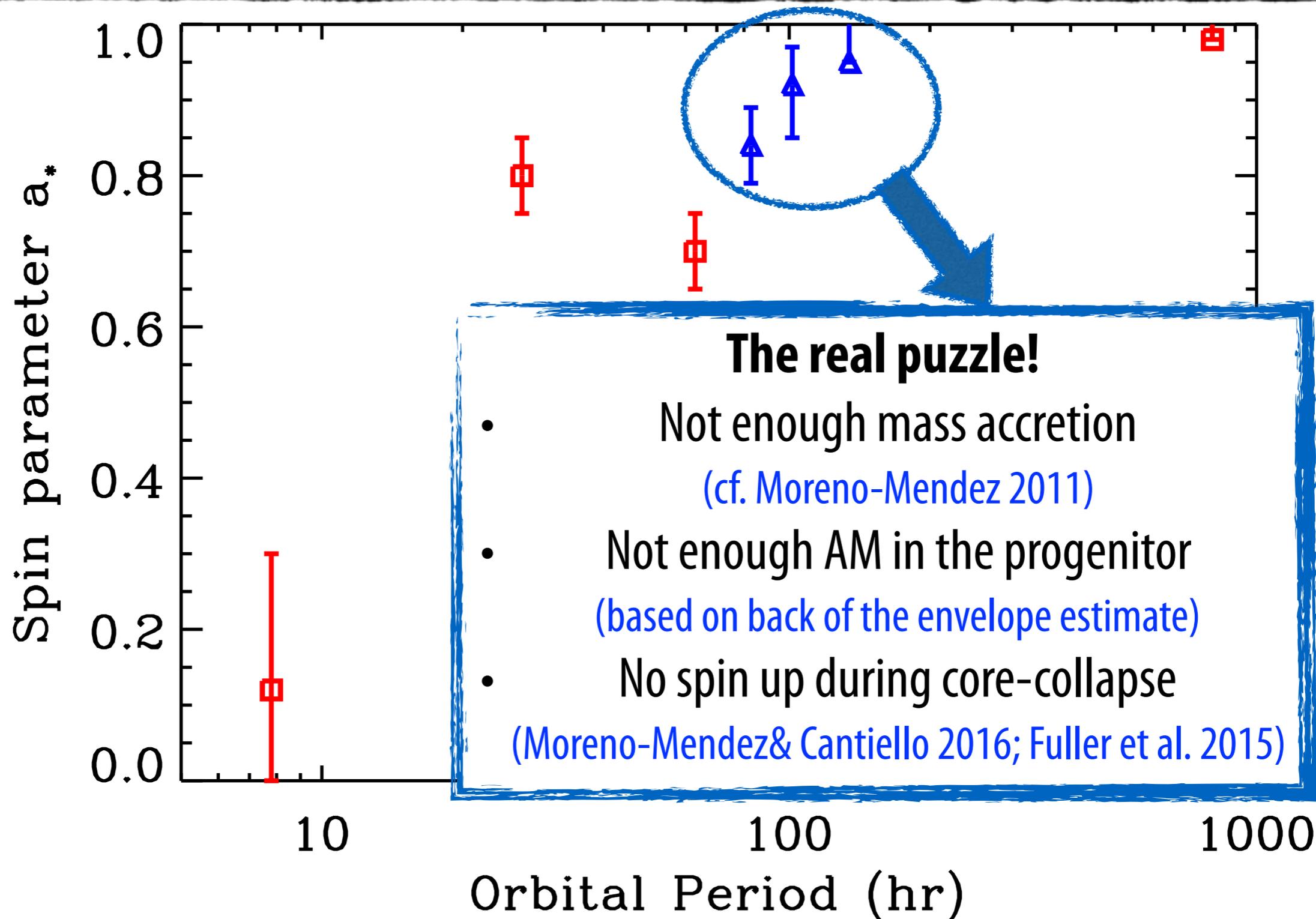
The origin of black-hole spin



The spin of 9 stellar BHs measured with the *continuum fitting method*

McClintock et al. (2011, 2014)

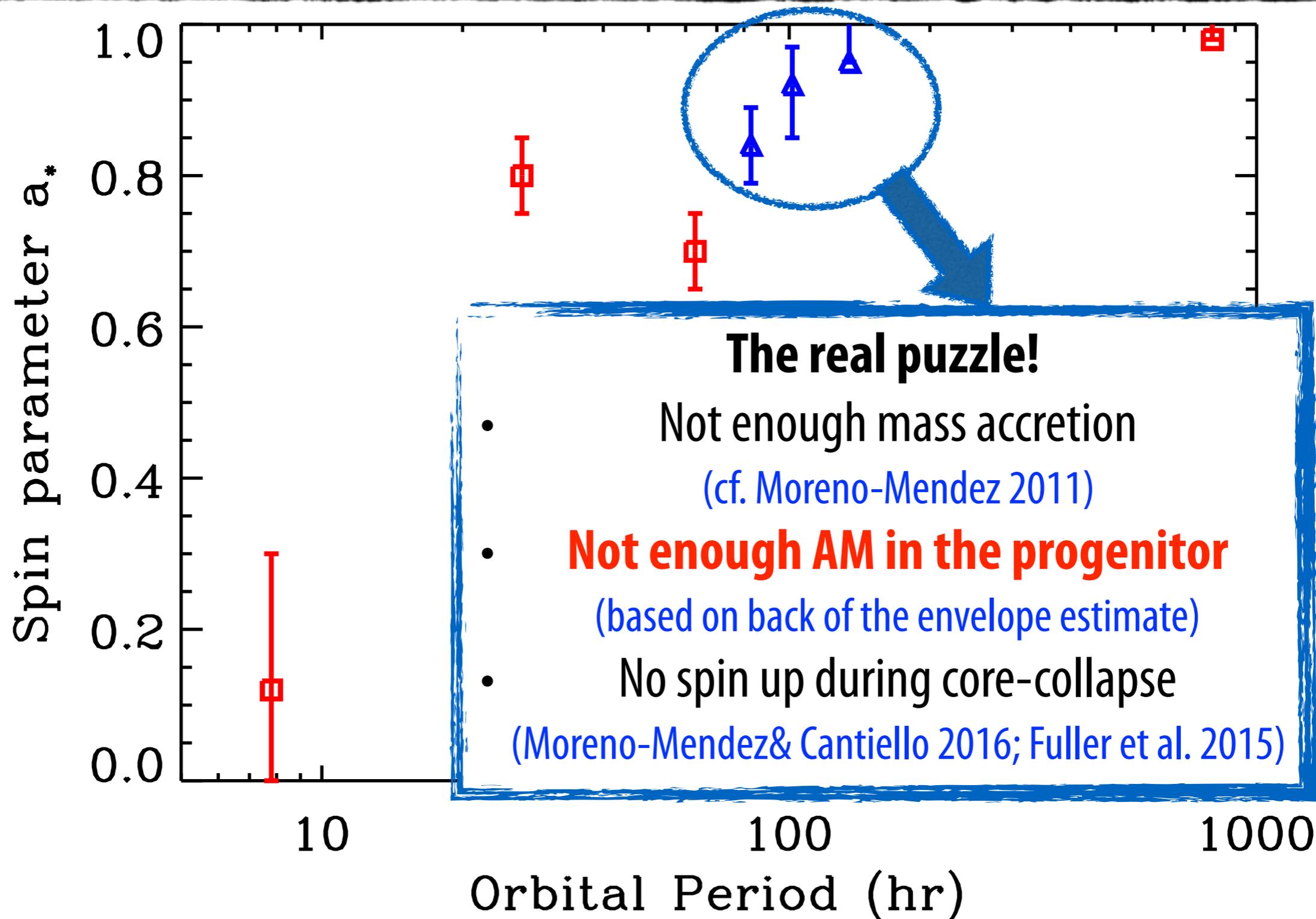
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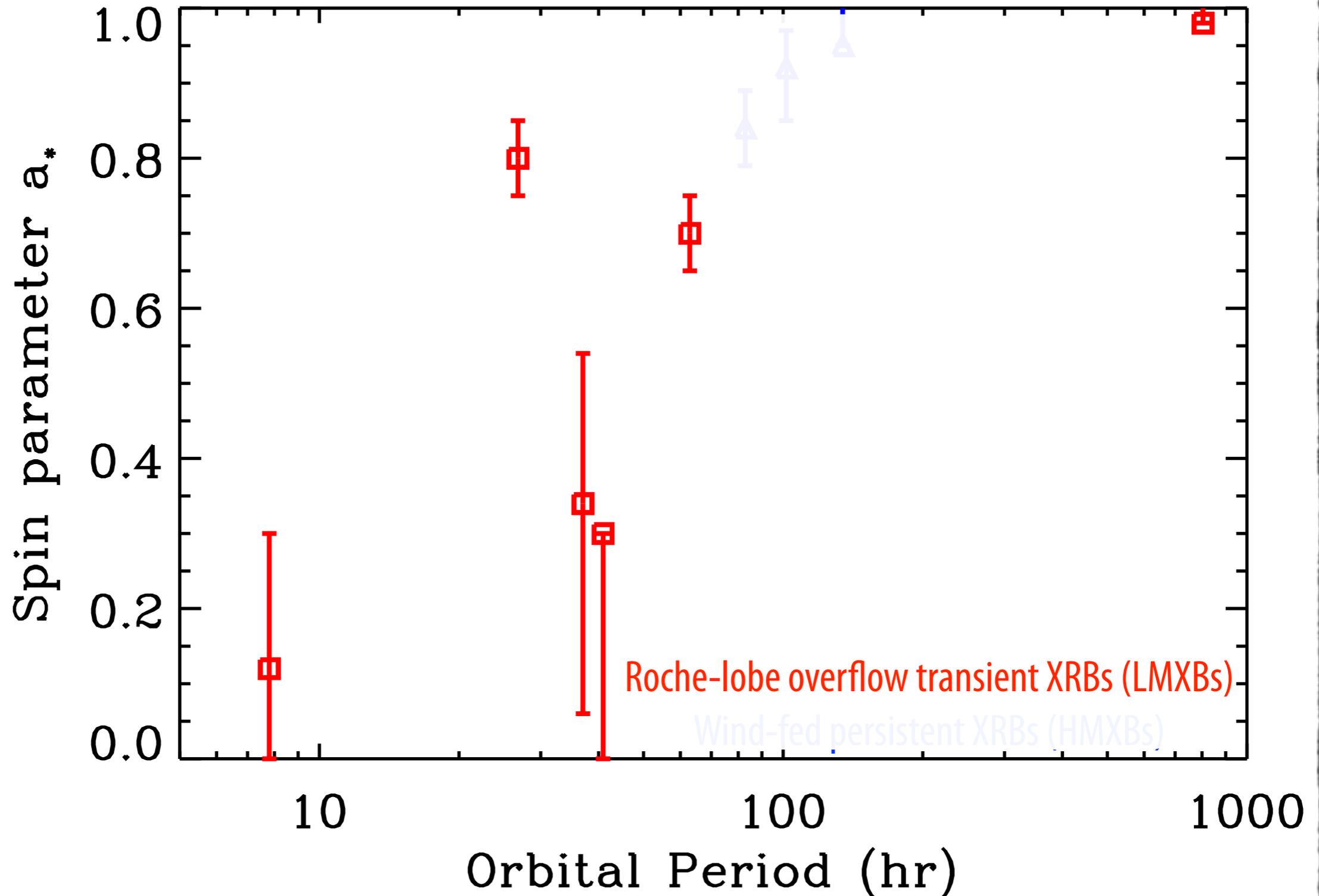
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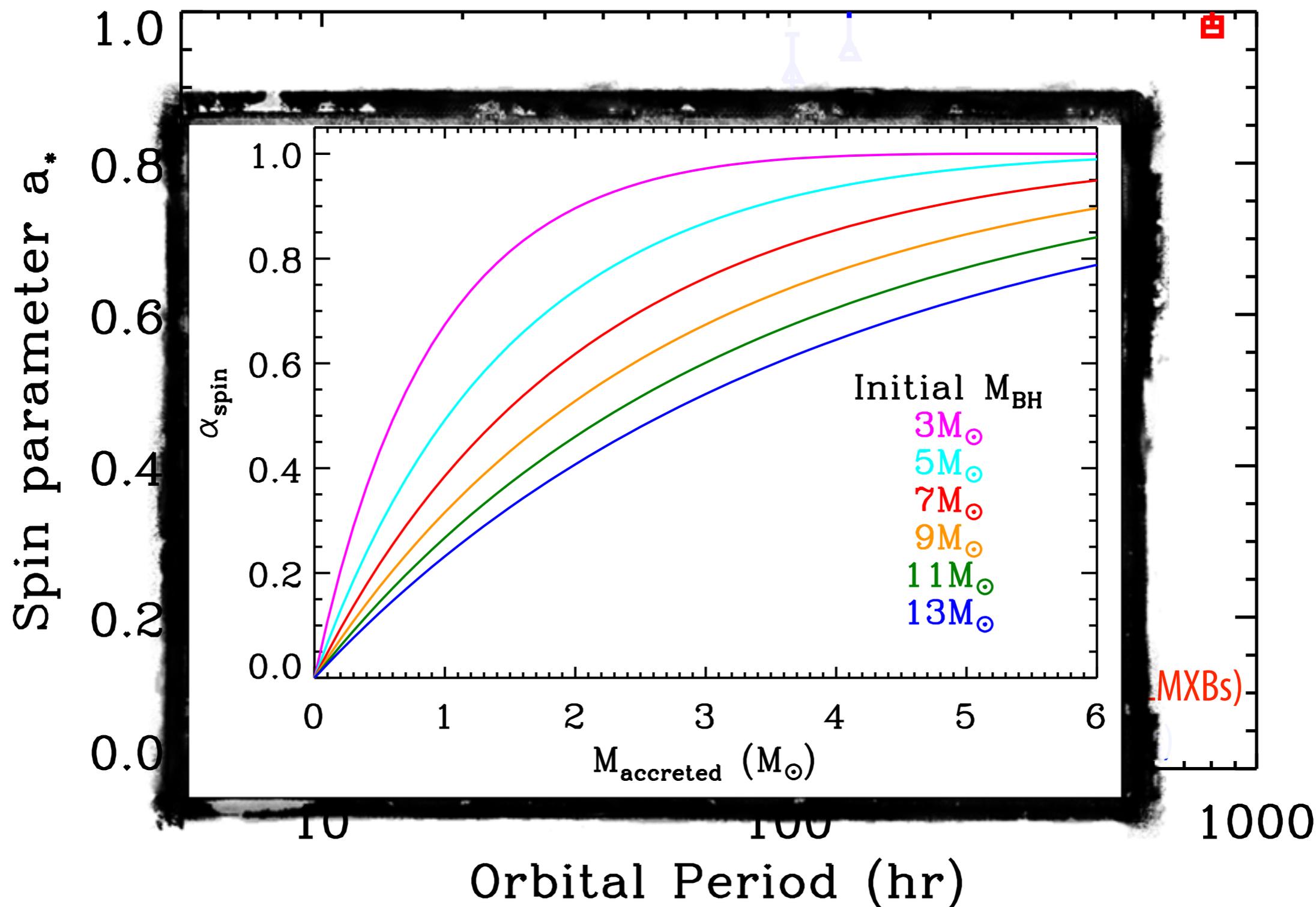
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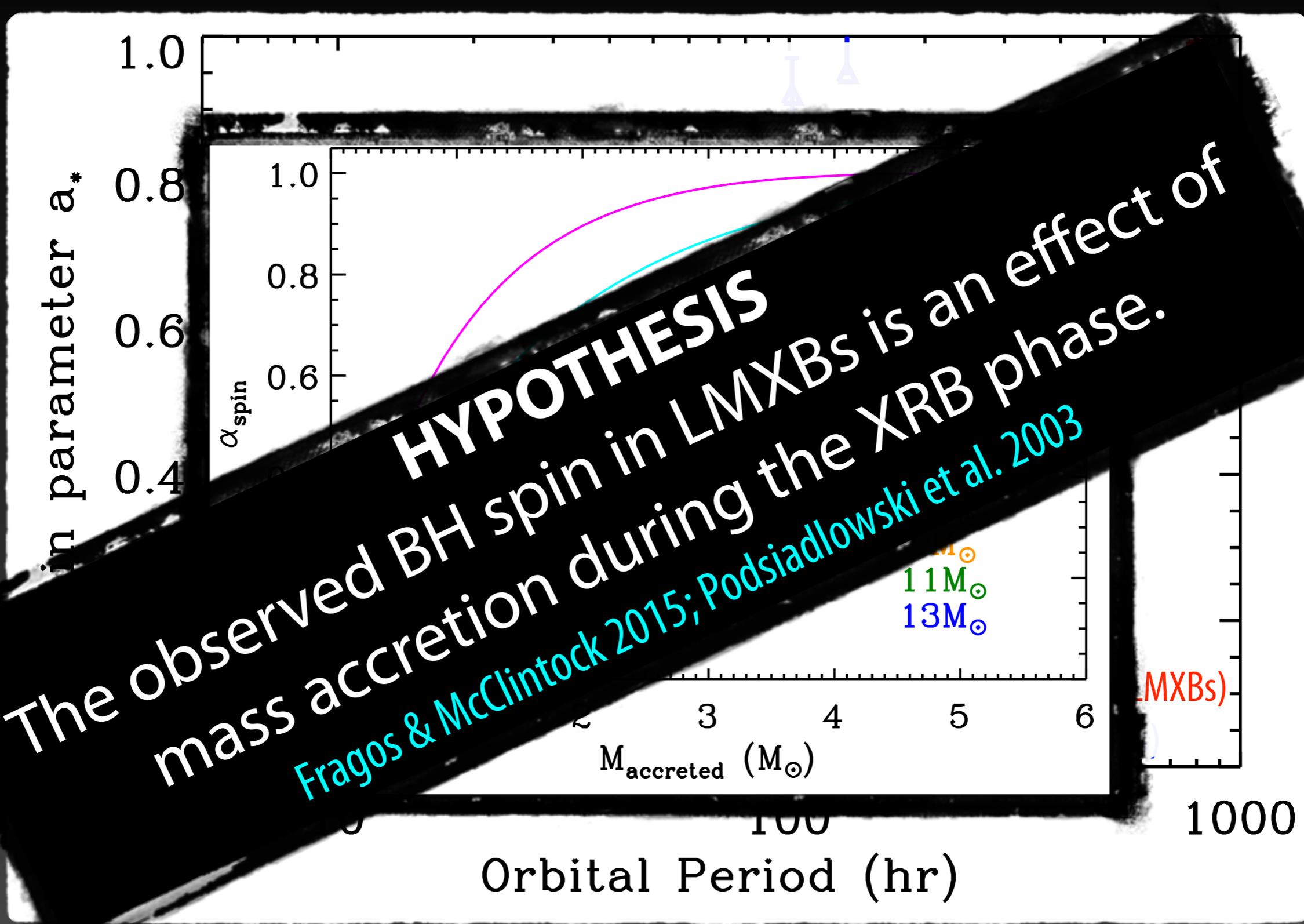
The origin of black-hole spin



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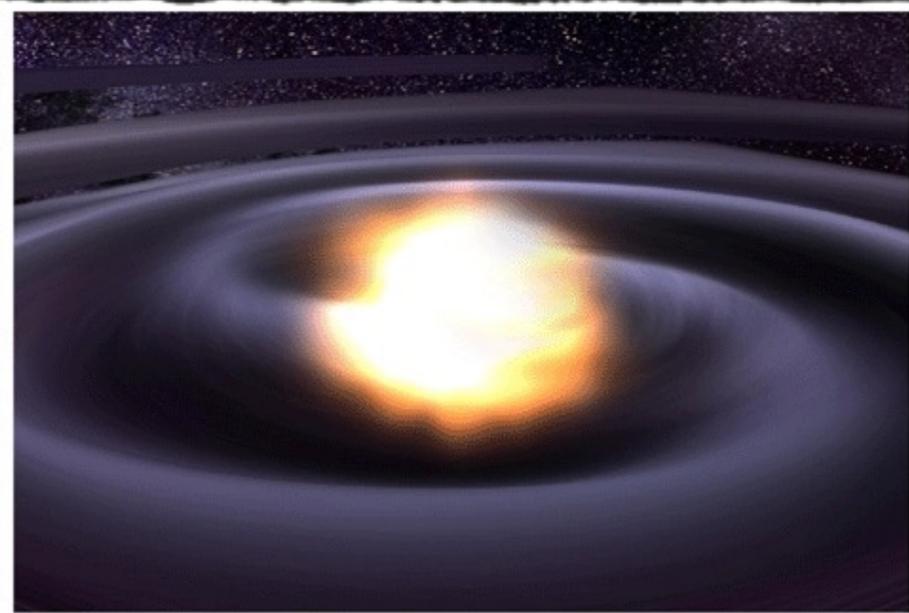
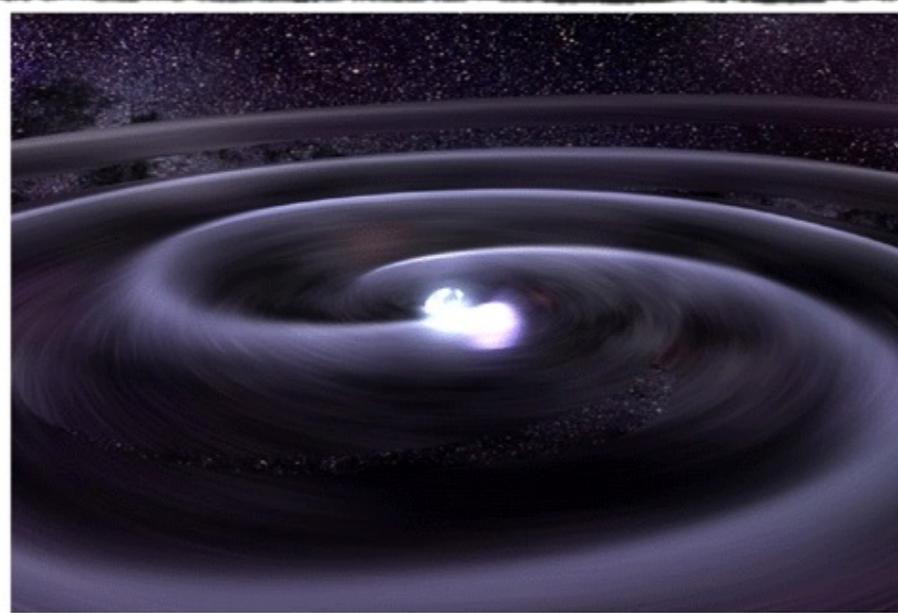
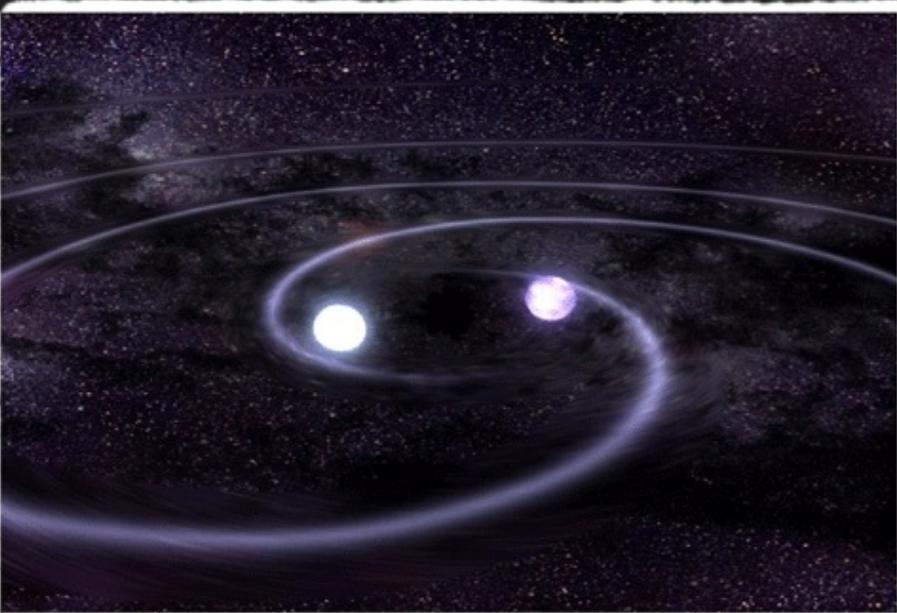
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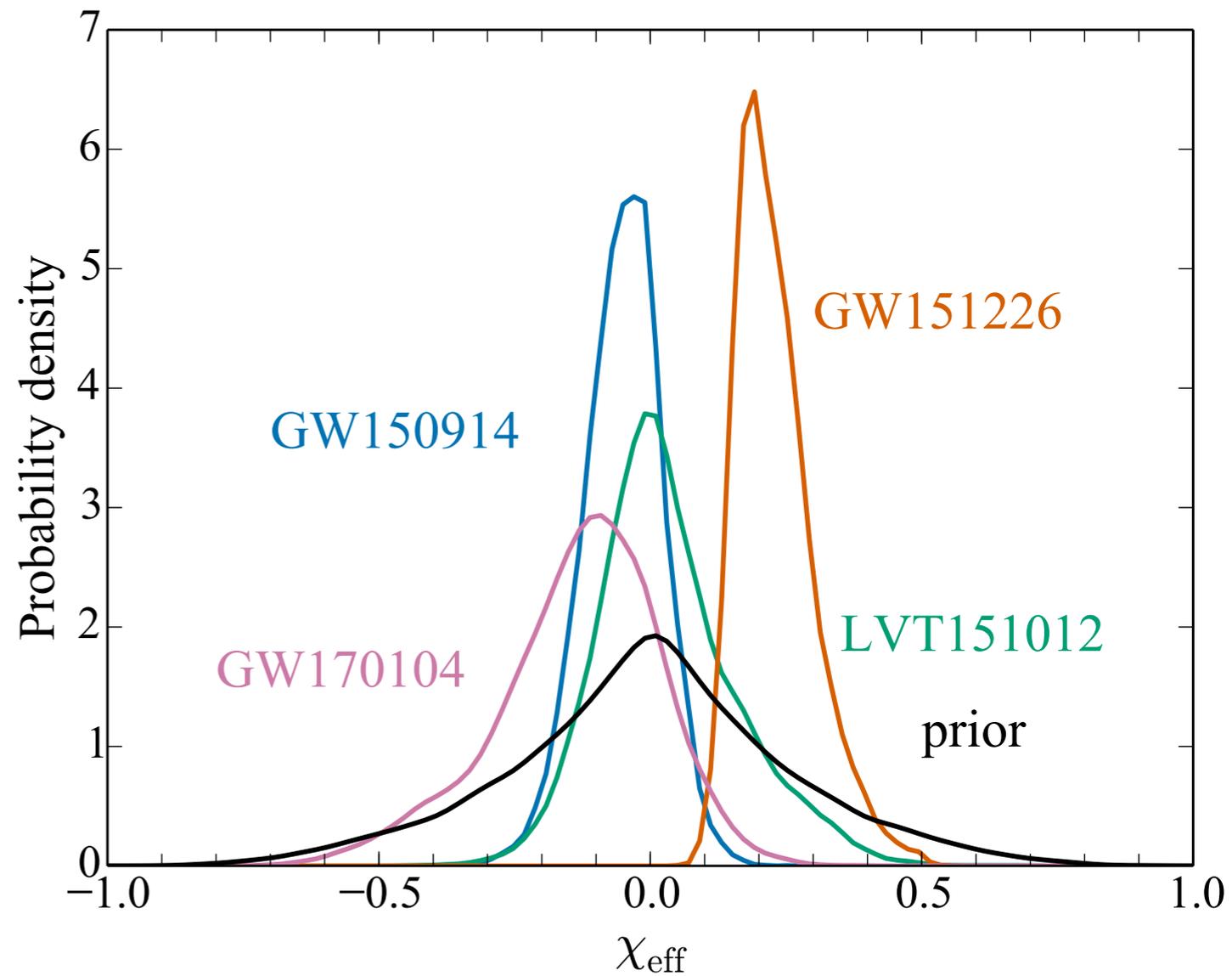
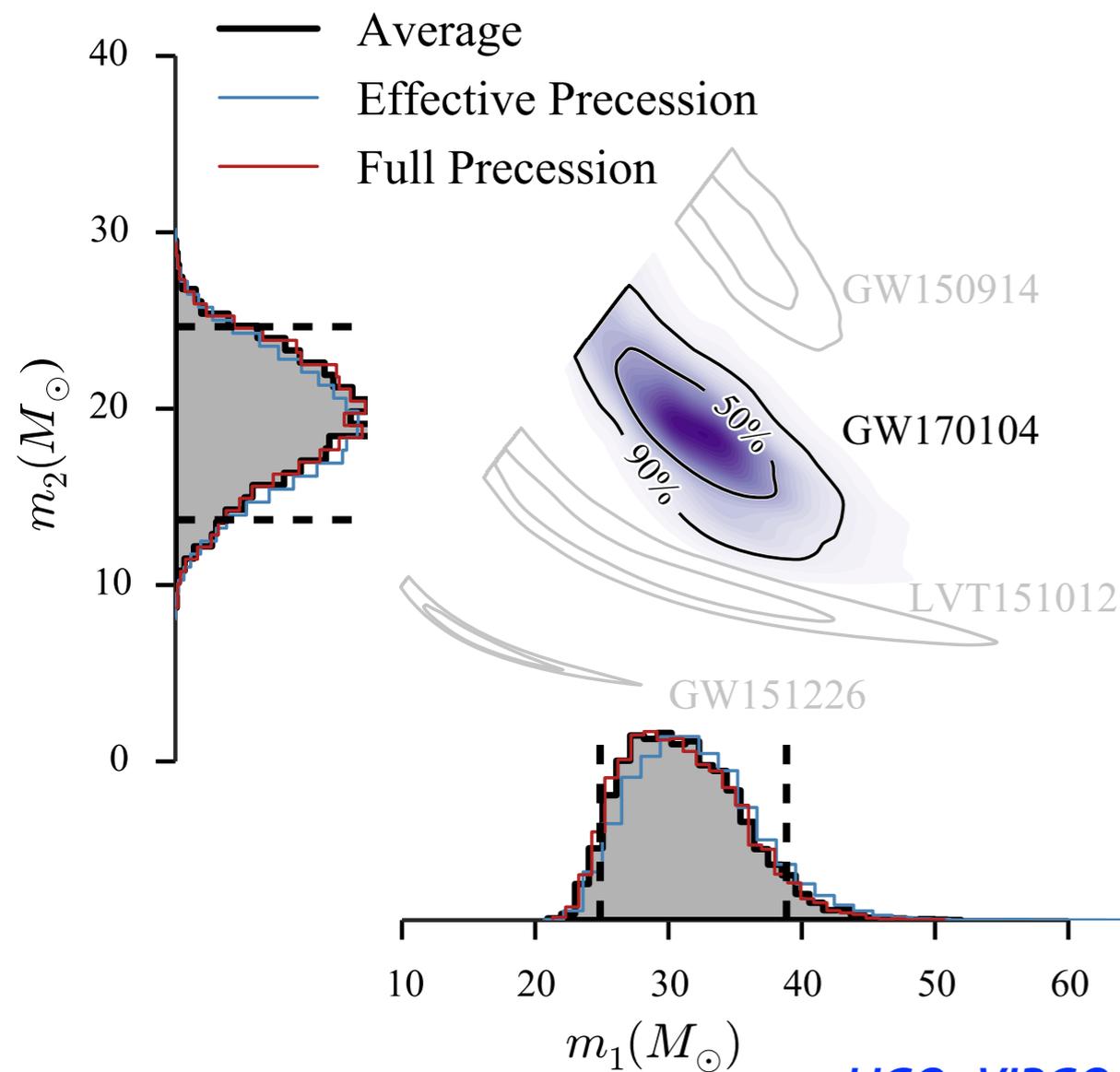
Coalescing Binary Black Holes



Binary BH mergers detected with LIGO

$$M_{chirp} = \frac{(m_1 m_2)^{3/5}}{(m_1 + m_2)^{1/5}}$$

$$\chi_{\text{eff}} = \frac{M_1 \vec{a}_1 + M_2 \vec{a}_2}{M_1 + M_2} \cdot \hat{\vec{L}}$$

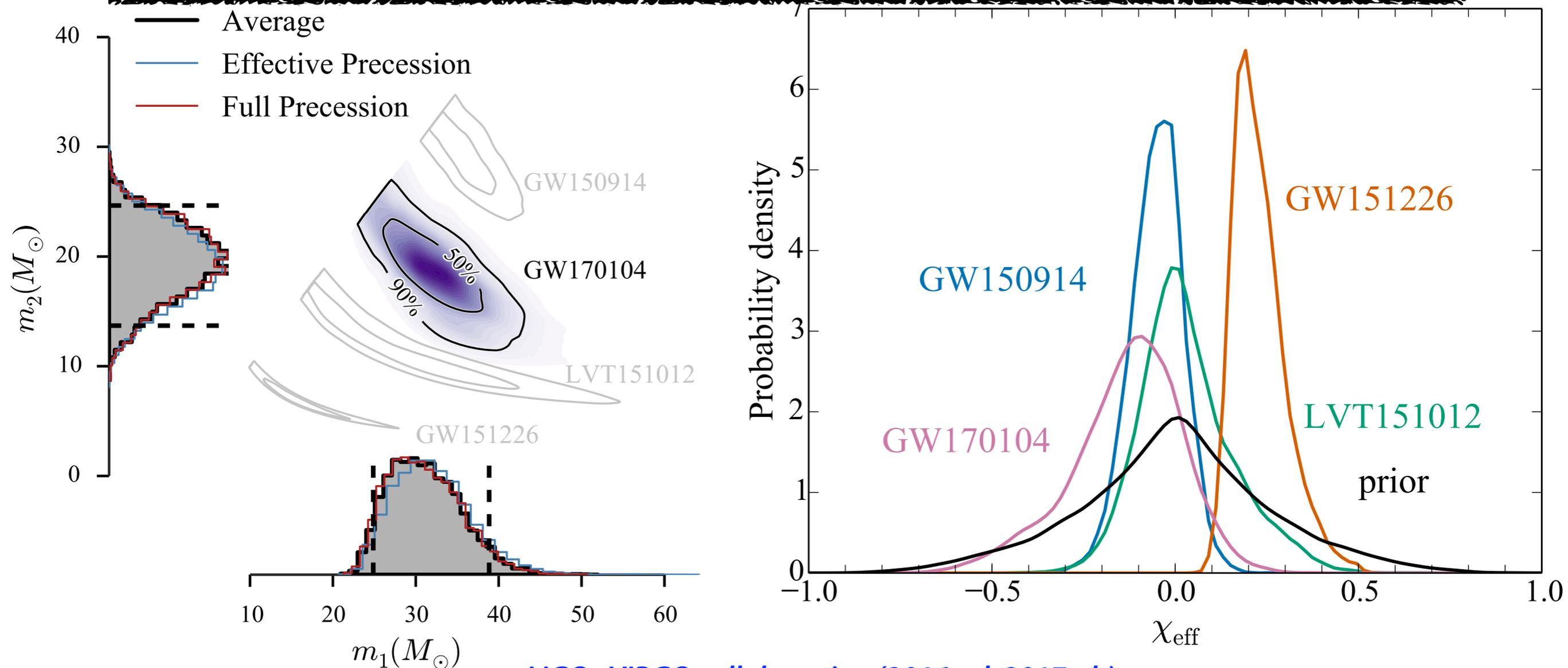


LIGO+VIRGO collaboration (2016a,d, 2017a,b)

Binary BH mergers detected with LIGO

The detection confirms that:

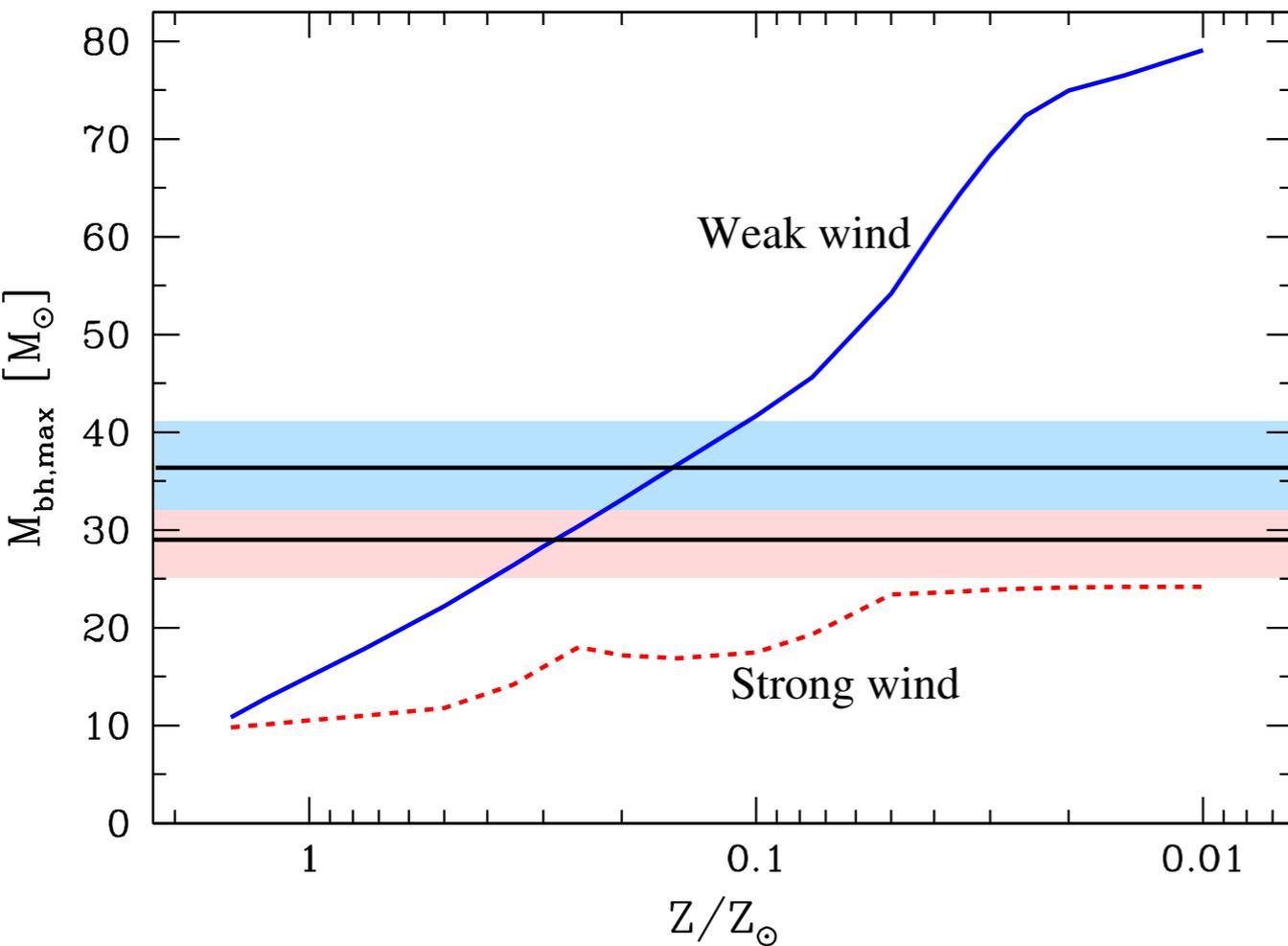
- 1) “heavy” black holes exist
- 2) binary black holes form in nature
- 3) binary black holes merge within a Hubble time (at a detectable rate)



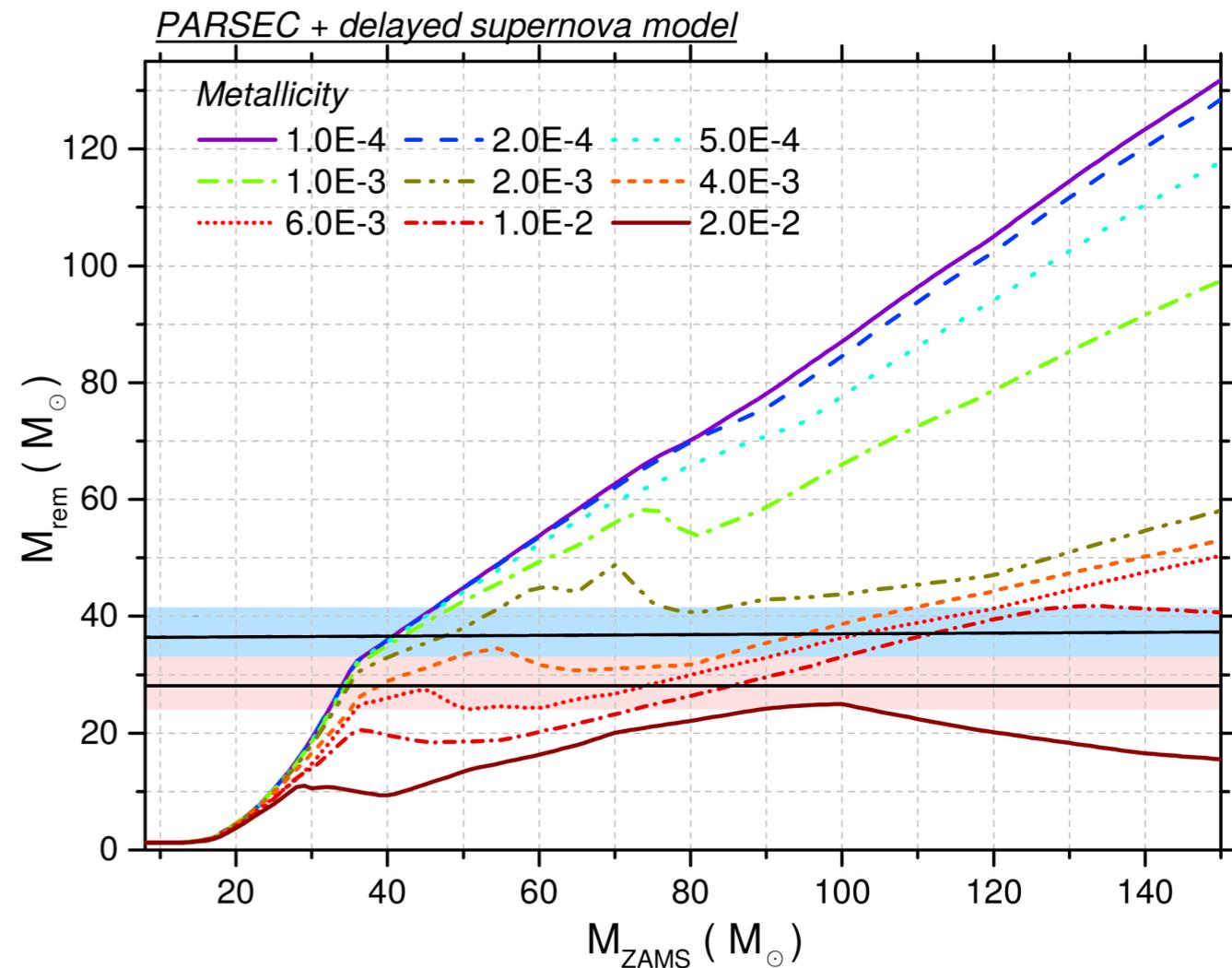
LIGO+VIRGO collaboration (2016a,d, 2017a,b)

Predicted Masses for Single Black Holes

Belczynski et al. (2010)



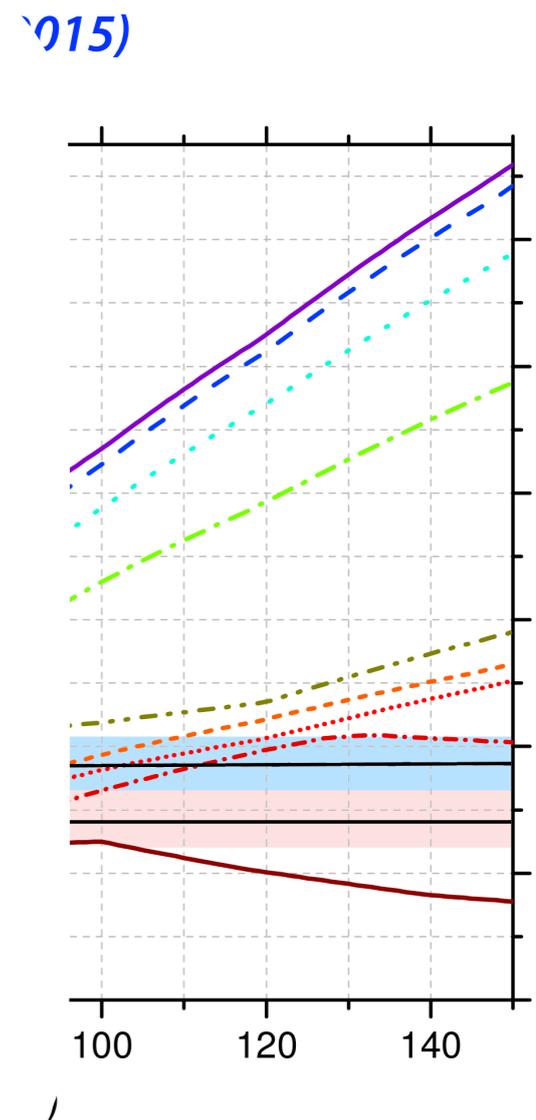
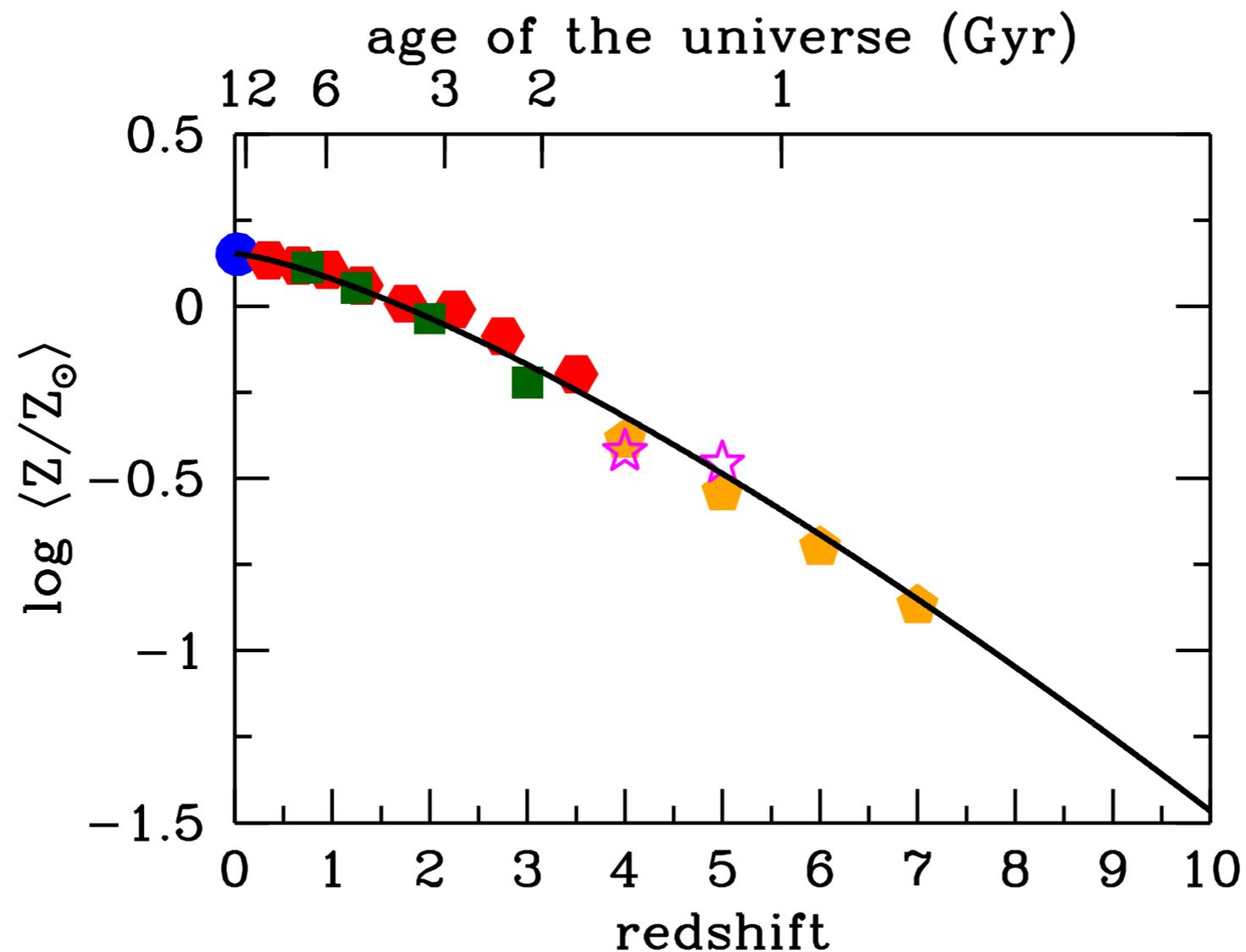
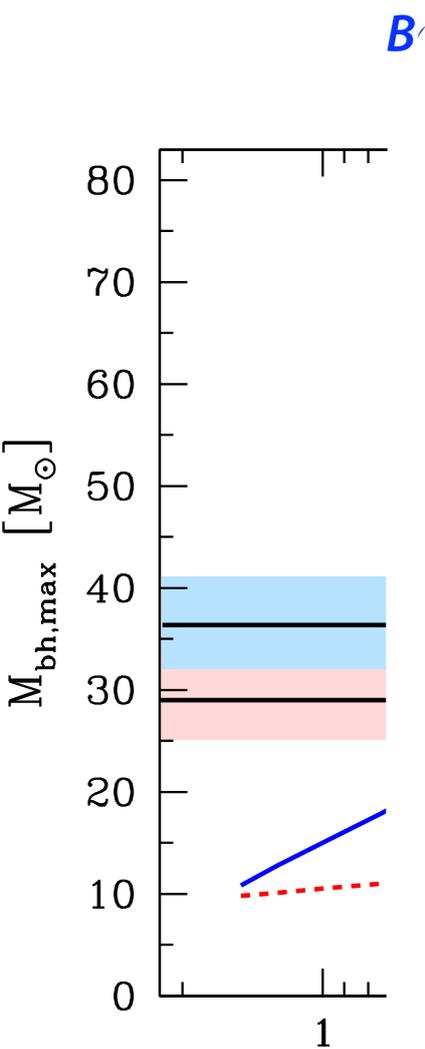
Spera et al. (2015)



Minimum metallicity: **$Z < 0.003$**

Indirect formations channels for "heavy" black holes have been suggested, but are unlikely:
e.g. BH+star mergers (Mapelli & Zampieri 2014; Ziosi 2014)
or star+star mergers (Portegies Zwart et al. 1999; c.f. Glebbeek et al. 2009)

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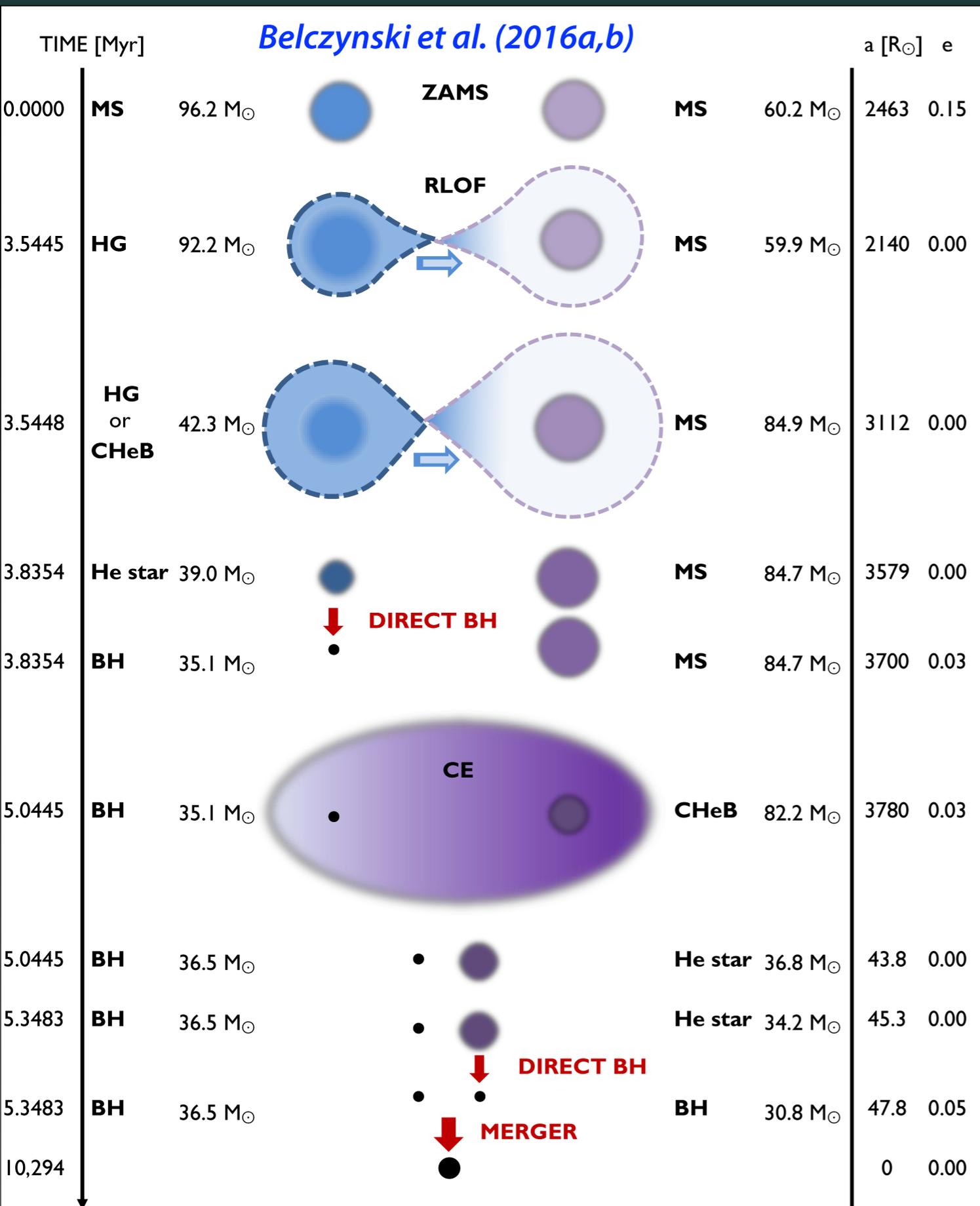
Formation Channels of Binary BHs

◆ **“Classical” Field Binary Evolution**

◆ **“Chemically Homogeneous” Field Binary Evolution**

◆ **Dynamical Black Hole Binary Formation**

"Classical" Field Binary Evolution



Sources of uncertainty:

- (i) initial binary properties (masses, mass ratios, and orbital periods)
- (ii) stellar evolution models including metallicity-dependent wind mass loss
- (iii) mass and associated angular momentum transfer and loss from the systems
- (iv) treatment of tidal evolution
- (v) **common-envelope evolution**
- (vi) **BH natal kicks**

Kicks in Neutron Stars

Hobbs et al. (2005)

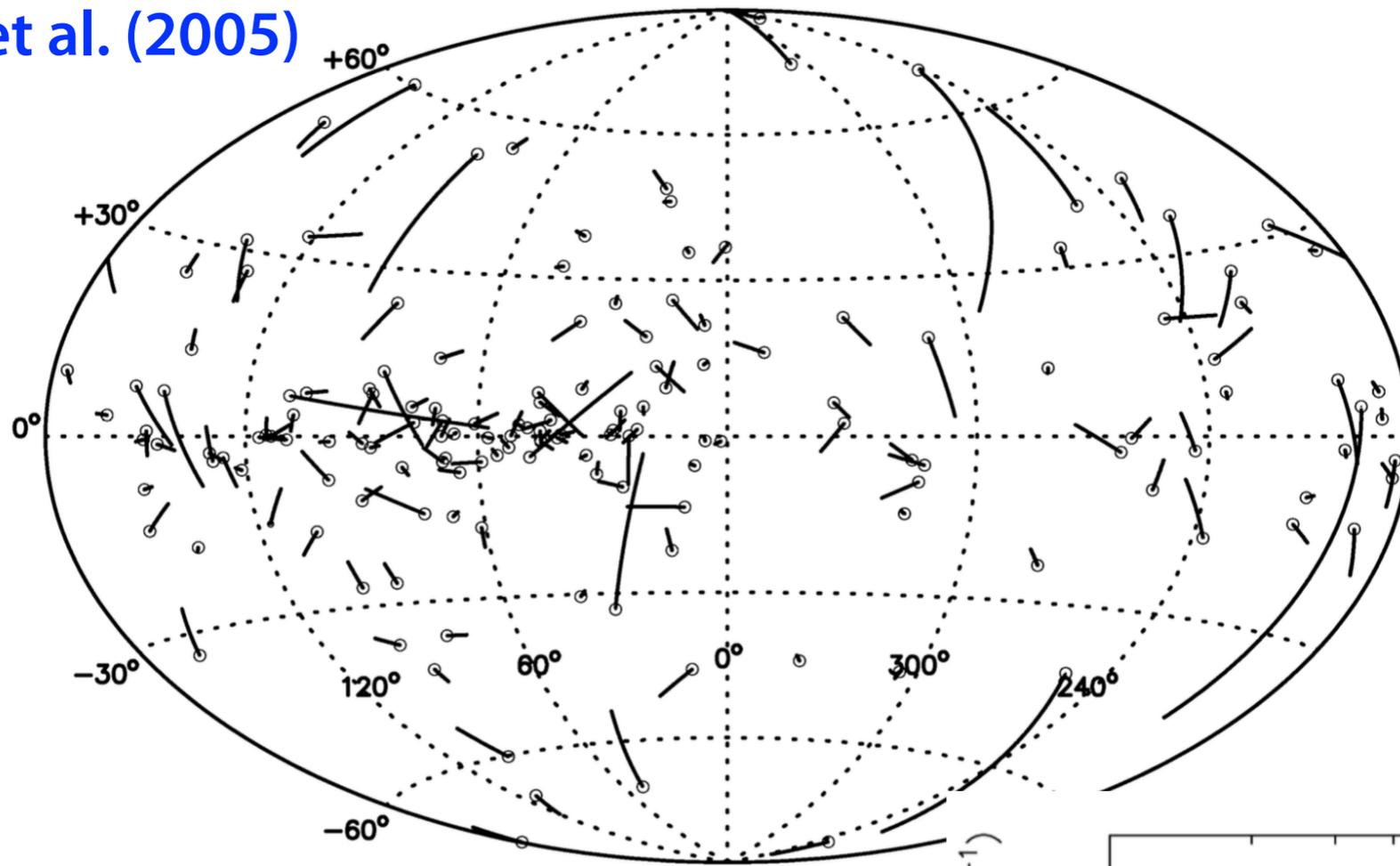
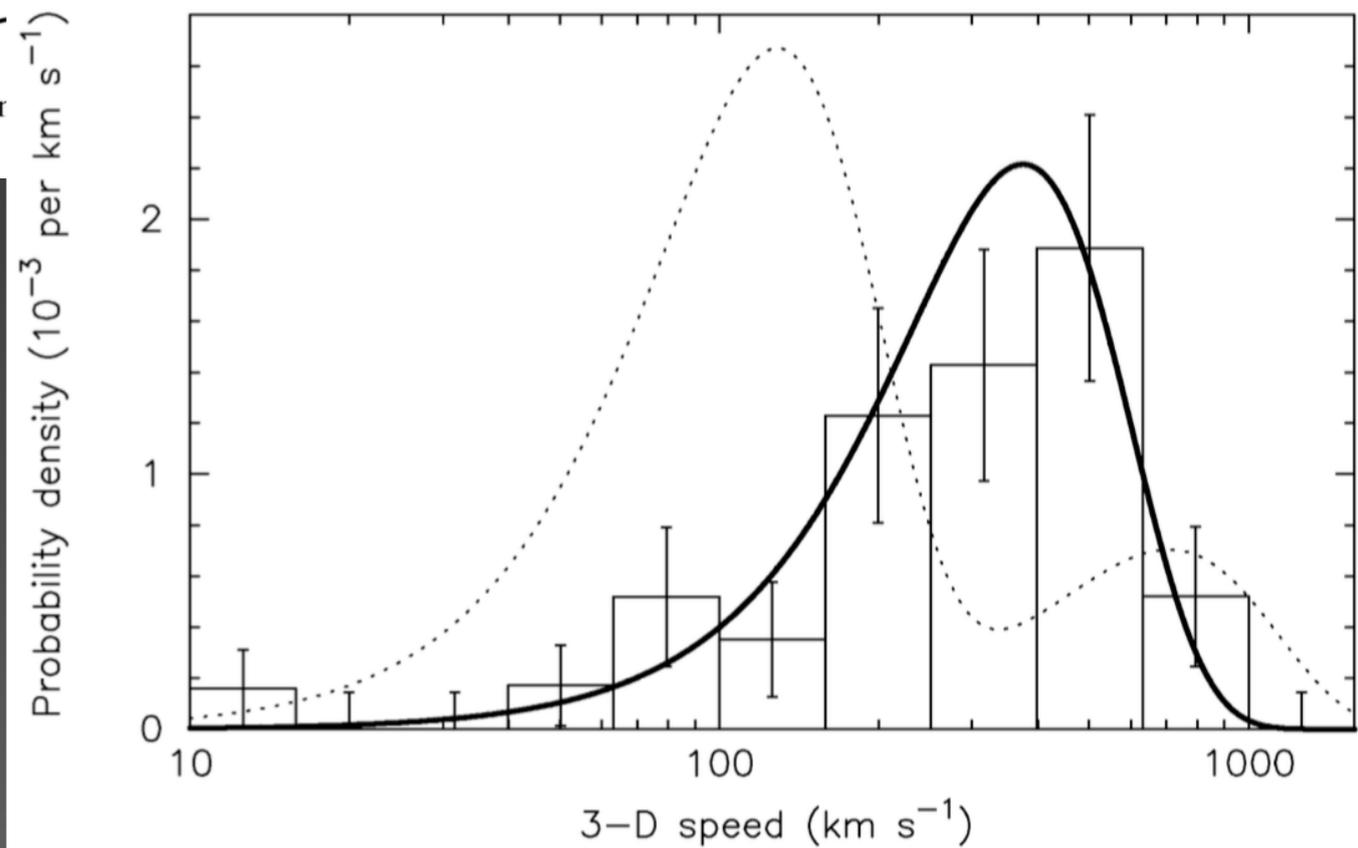


Figure 1. The Galactic motions of the pulsars in our sample. A pulsar is currently at the position the last 1 Myr assuming no radial velocity.

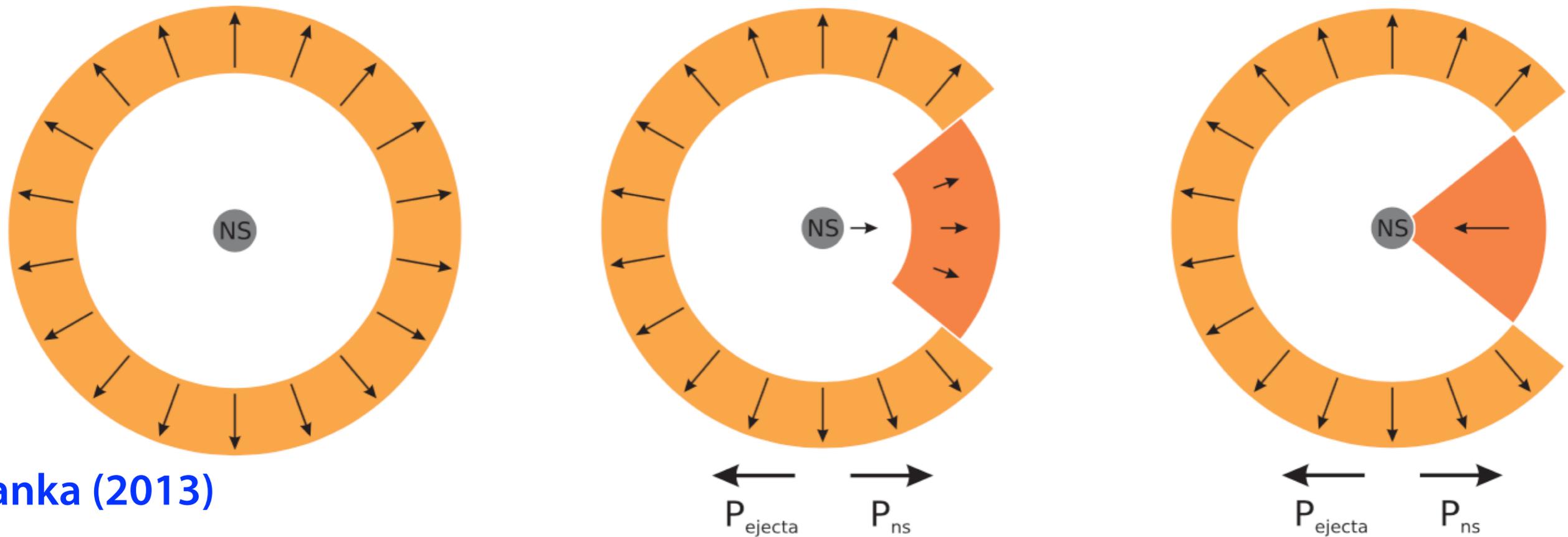
What about black holes????



Supernova kick mechanisms

1) Asymmetries in the supernova ejecta

(large possible kicks $\sim 100\text{km/s}$, explosion required)



Janka (2013)

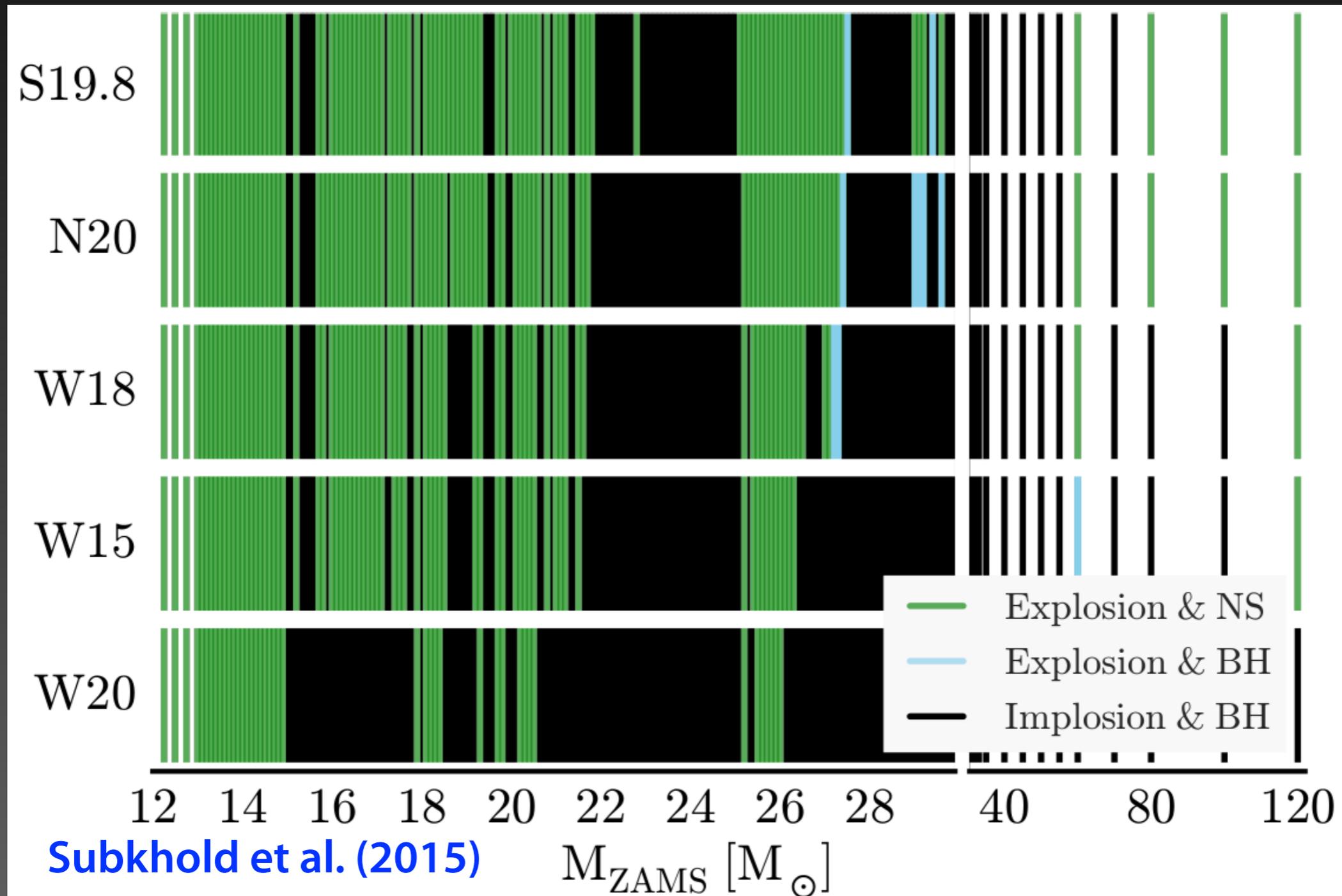
Figure 1. Schematic visualization of SN mass ejection and compact remnant kicks. In the left-hand image the ejecta are spherically symmetric and no recoil is imparted to the central object. Asymmetric mass ejection must lead to compact remnant motion with the opposite linear momentum (middle panel). The momentum can be transferred by gravitational forces and by direct hydrodynamical forces in the case of accretion. The latter are crucial when the protoneutron star is accreting fallback matter to collapse to a BH (right-hand panel). (Image taken from Scheck et al. (2006); reproduced with permission ©ESO.)

2) or Asymmetries in the neutrino emission

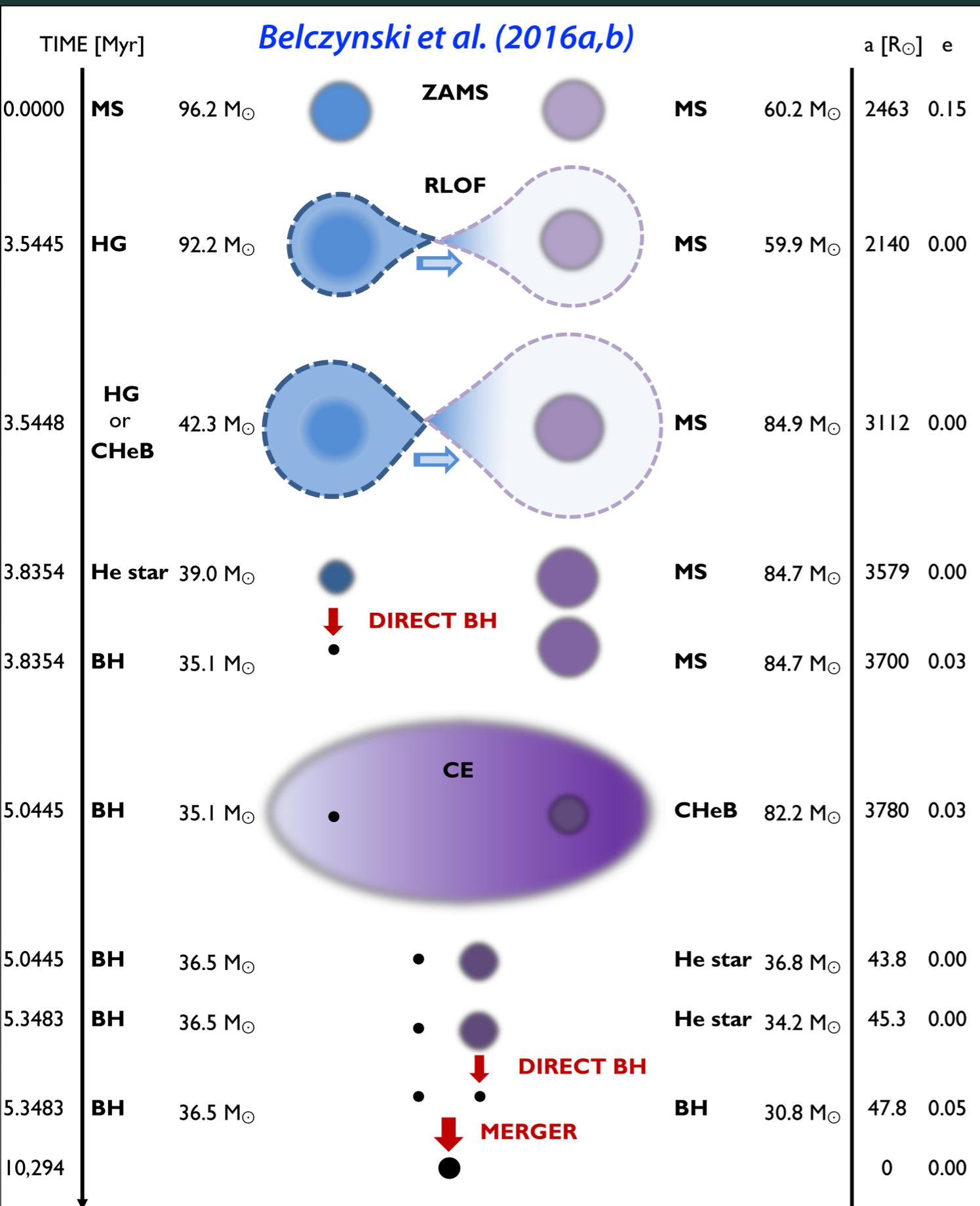
(small possible kicks $\sim 10\text{km/s}$, no explosion required)

Why do we care?

- 1) **Significantly affect binary populations synthesis studies. E.g., prediction for BH mergers rates varies by two orders of magnitude! (Dominique et al., 2012)**
- 2) **Constraints core collapse physics.**



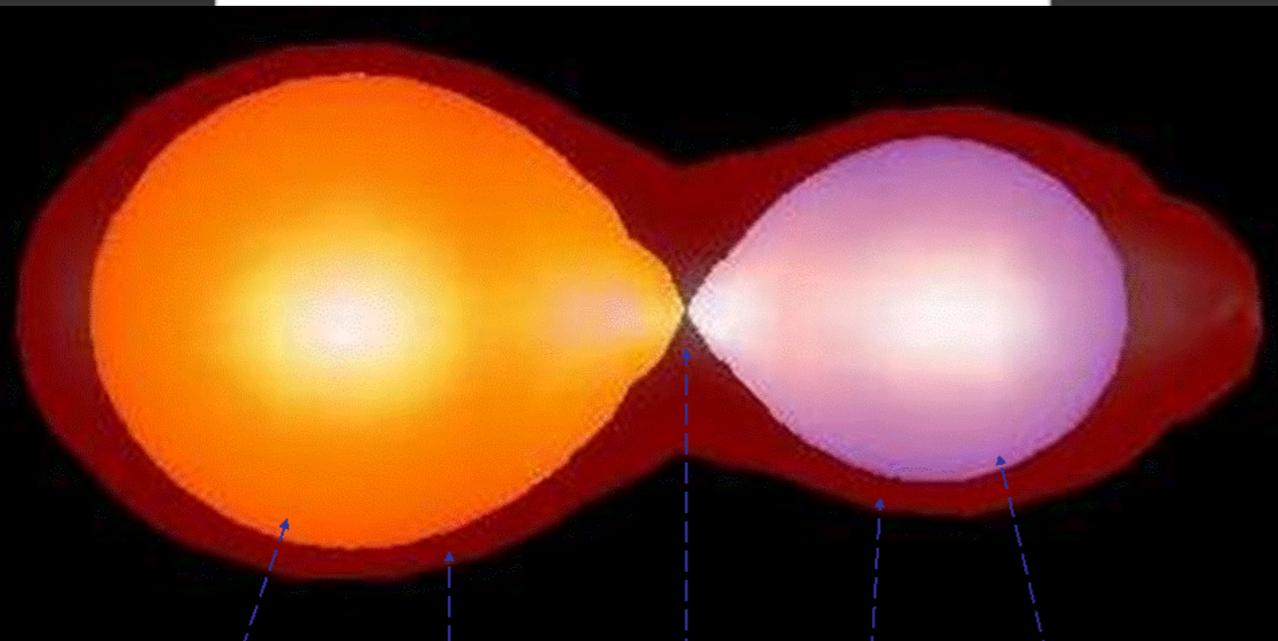
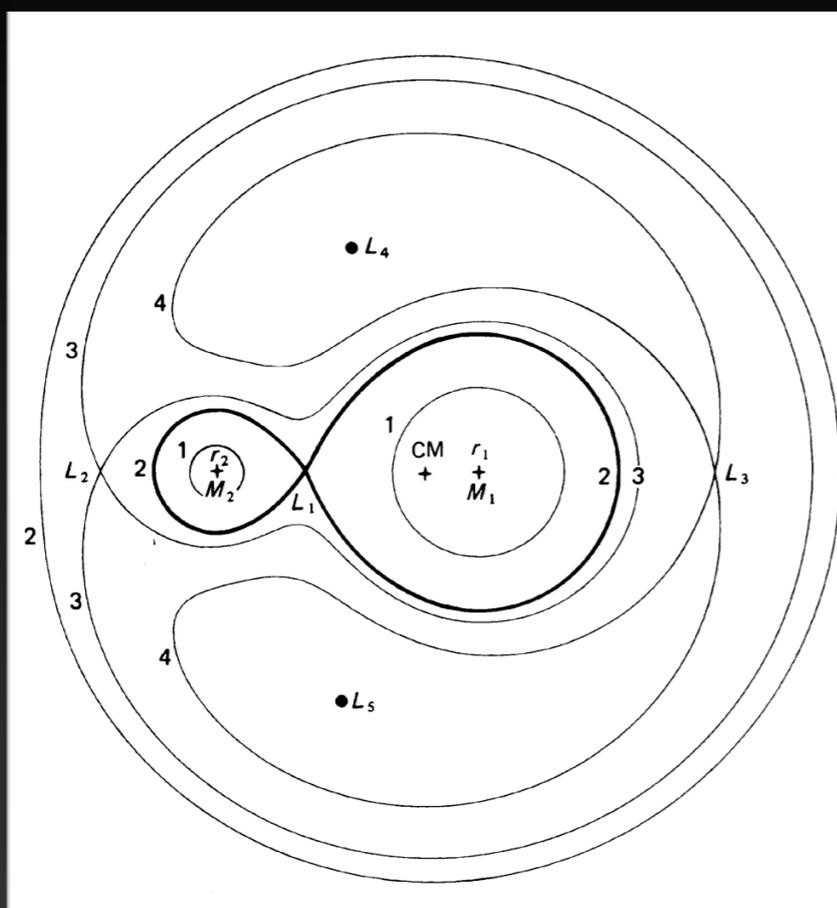
"Classical" Field Binary Evolution



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What is the Common Envelope?



Roche Lobe of Primary
Common Envelope
Inner Lagrangian point
Roche Lobe of Secondary
Common Envelope

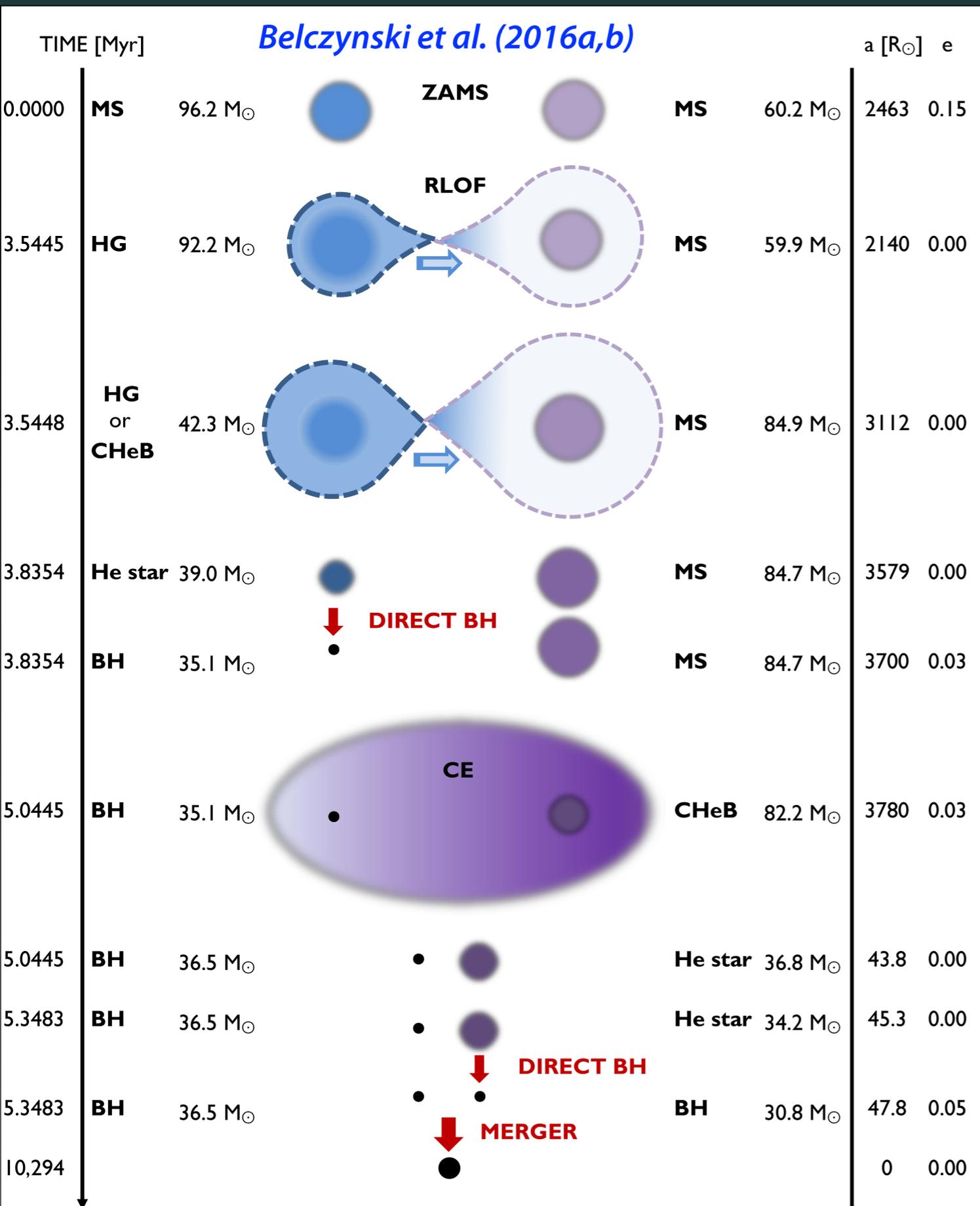
a
Velocity differences between stellar components and CE cause drag forces.

b
Orbital angular momentum and energy is transferred to the CE

c
Orbital shrinkage and CE ejection

The diagram illustrates the common envelope phase in three stages. Stage (a) shows two stars (1 and 2) with a common envelope (CE) around them. Stage (b) shows the CE expanding and transferring orbital angular momentum and energy to it. Stage (c) shows the CE being ejected, leading to orbital shrinkage and CE ejection.

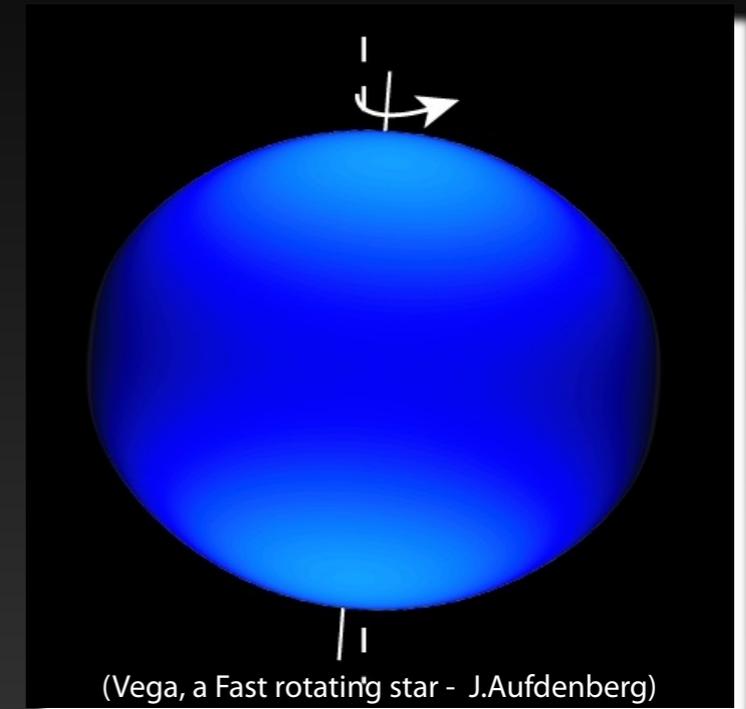
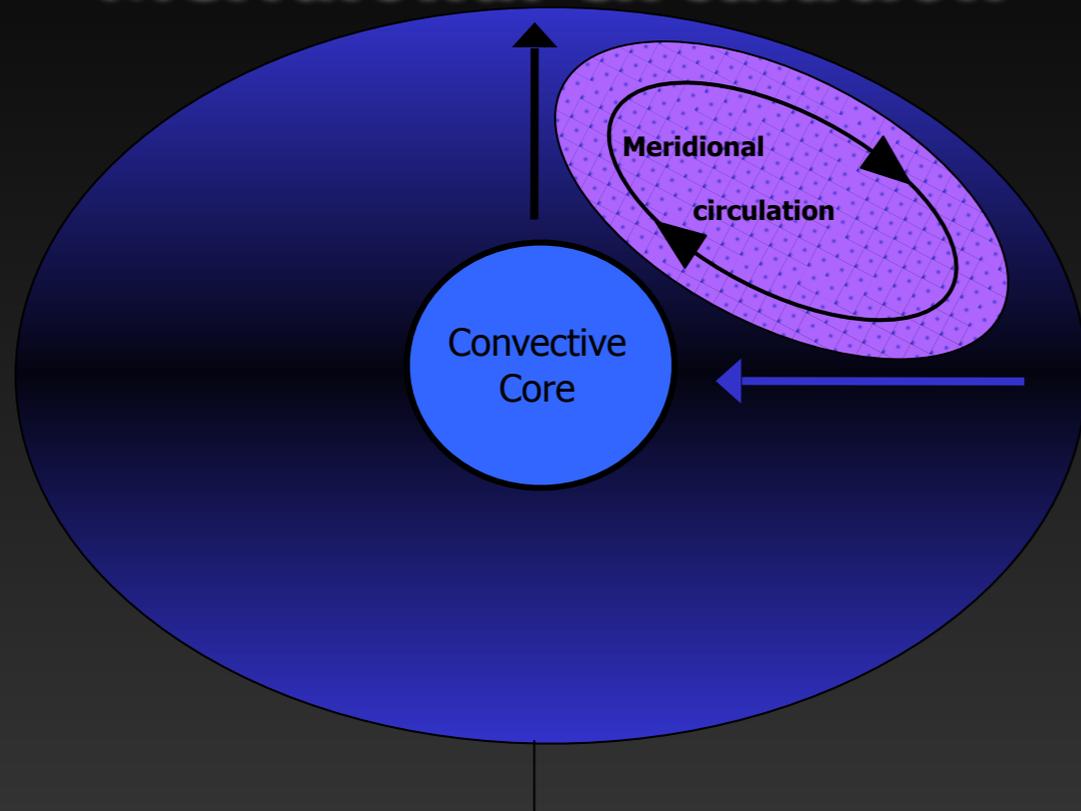
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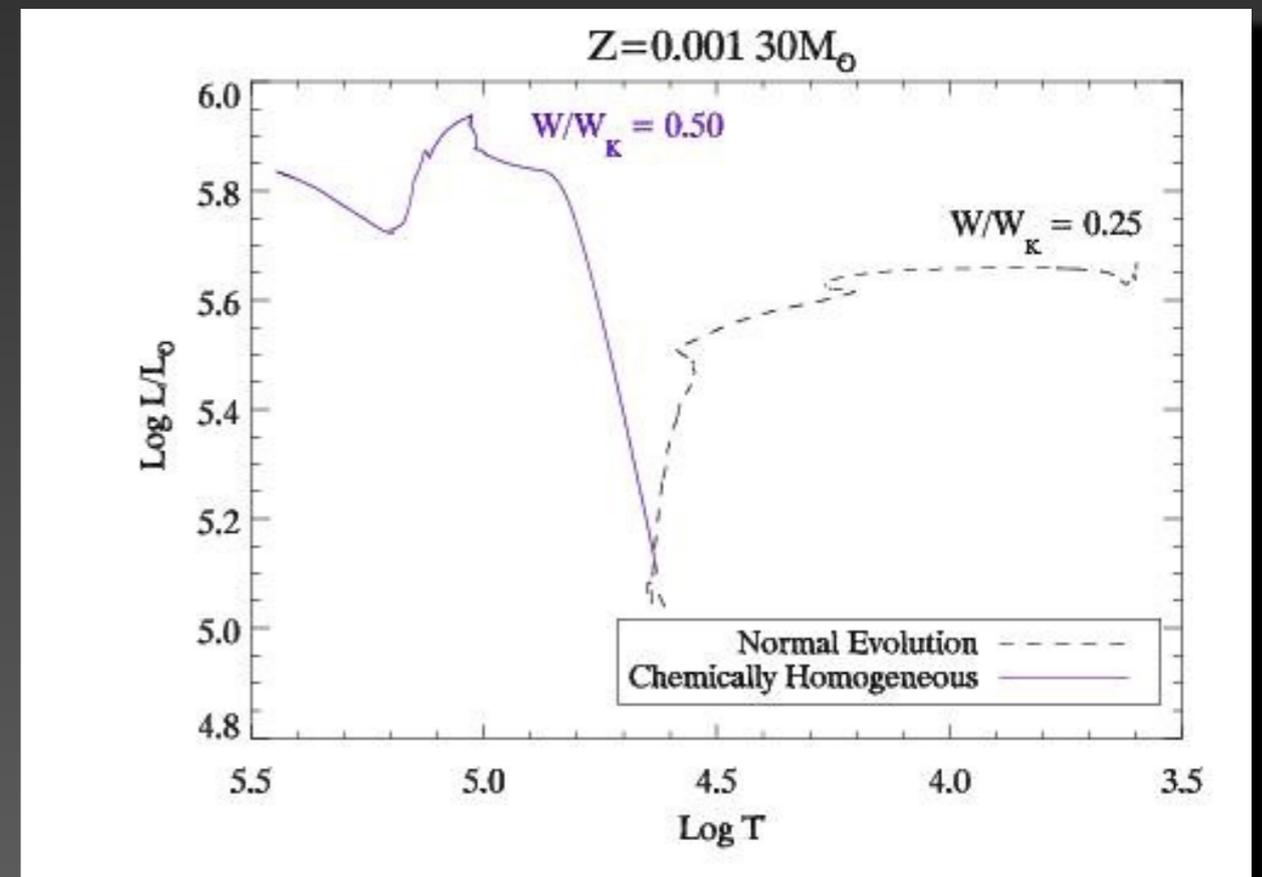
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 - (v) common-envelope evolution**
 - (vi) BH natal kicks**

"Chemically Homogeneous" Evolution

Meridional Circulation



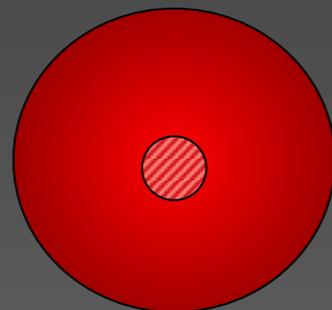
If rotationally induced chemical mixing during the main sequence occurs faster than the built-up of chemical gradients due to nuclear fusion the star evolves chemically homogeneous (Maeder, 1987)



$$\frac{\tau_{ES}}{\tau_{MS}} < 1$$



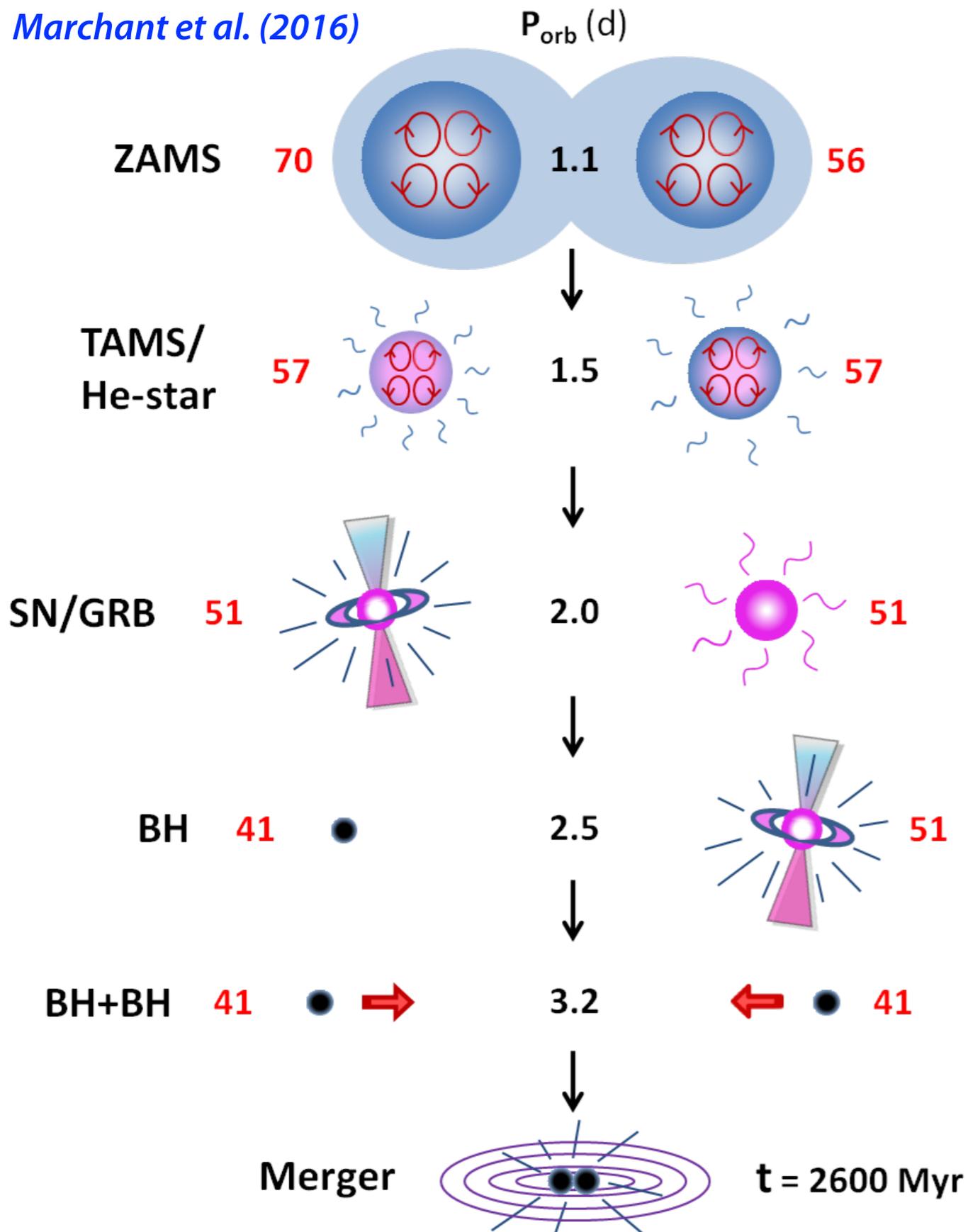
$R \sim 1 R_{\text{sun}}$



$R \sim 1000 R_{\text{sun}}$

"Chemically Homogeneous" Evolution

Marchant et al. (2016)



Sources of uncertainty:

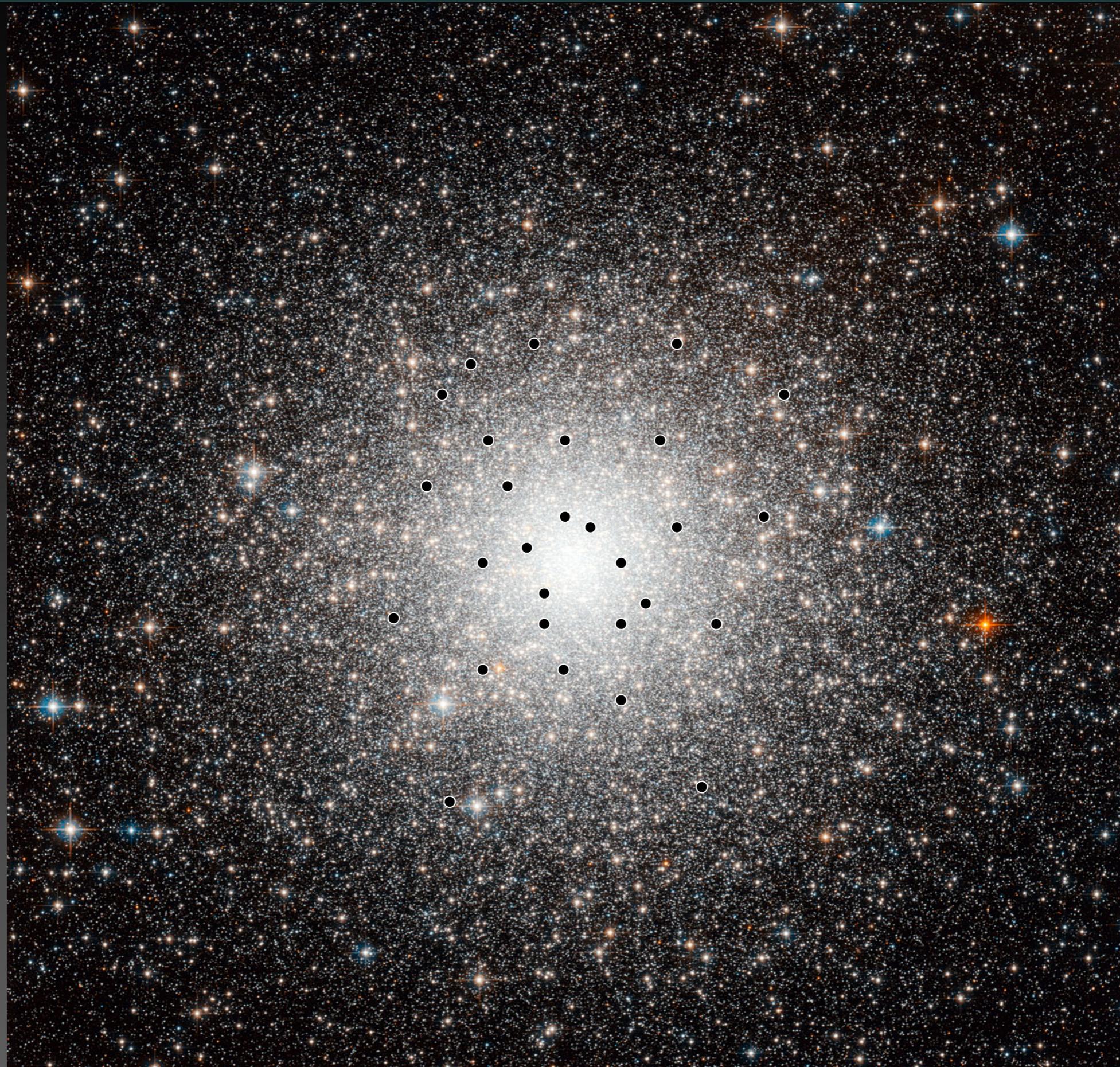
- (i) initial binary properties (masses, mass ratios, and orbital periods)
- (ii) **stellar evolution models including metallicity-dependent winds**
- (iii) mass and associated angular momentum transfer and loss from the systems
- (iv) **treatment of tidal evolution**
- (v) **internal mixing processes**
- (vi) BH natal kicks

de Mink et al 2009; Song et al. (2015); Mandel & de Mink (2016)

Dynamical formation of binary BHs

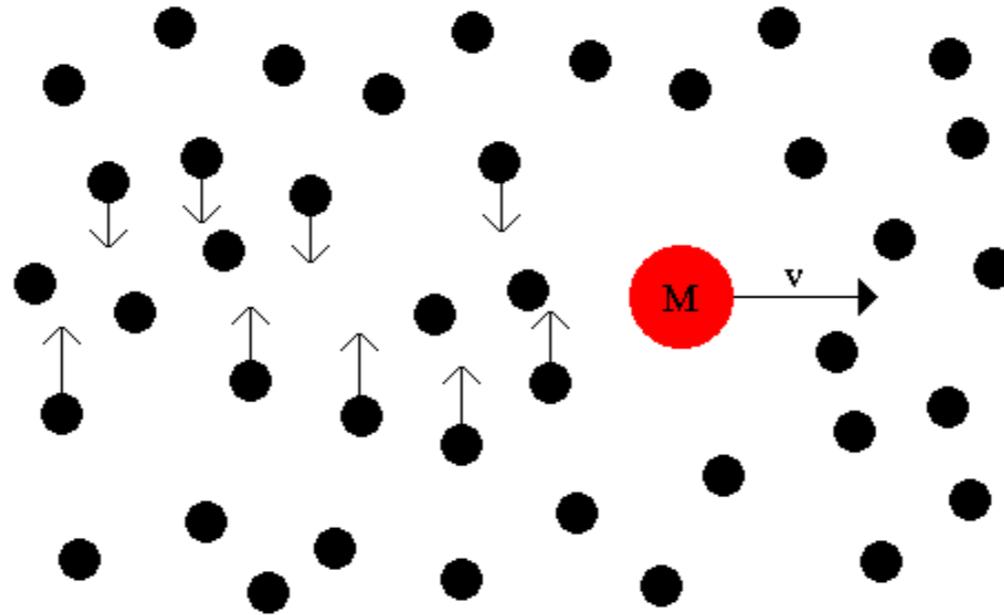


Dynamical formation of binary BHs

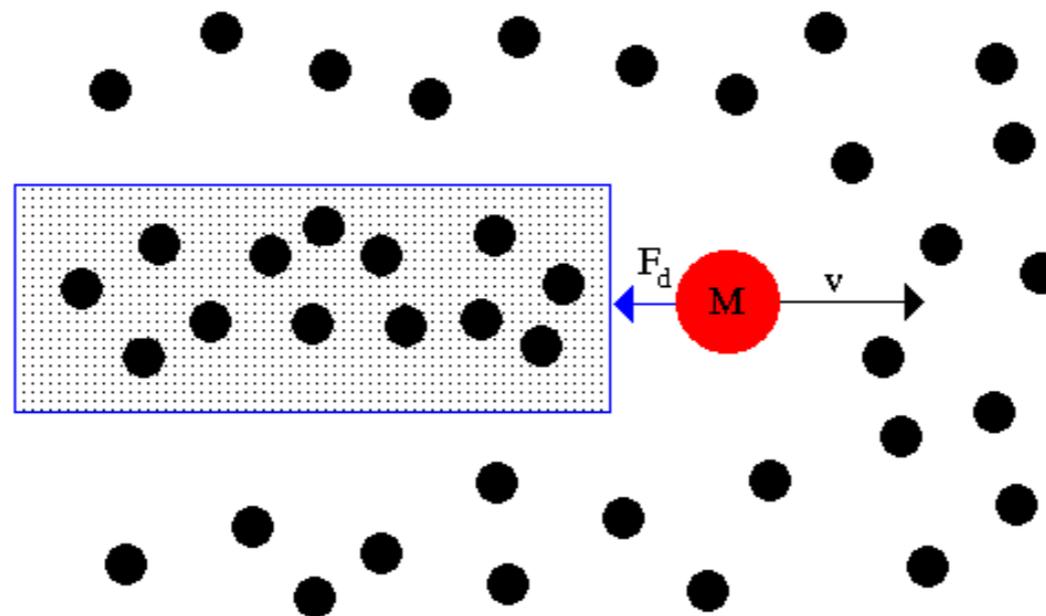


Dynamical formation of binary BHs

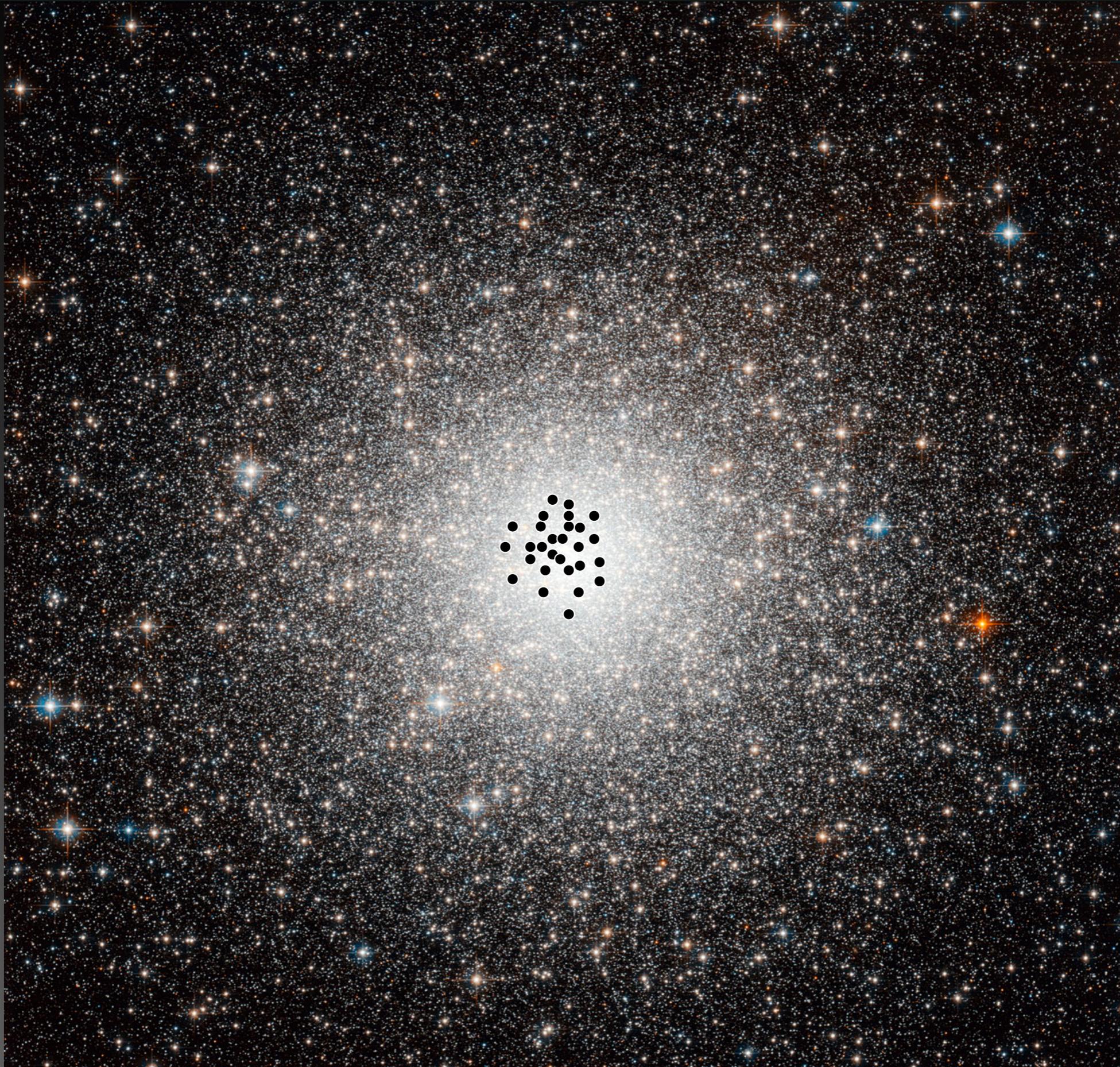
consider a mass, M , moving through a uniform sea of stars. Stars in the wake are displaced inward.



this results in an enhanced region of density behind the mass, with a drag force, F_d known as dynamical friction

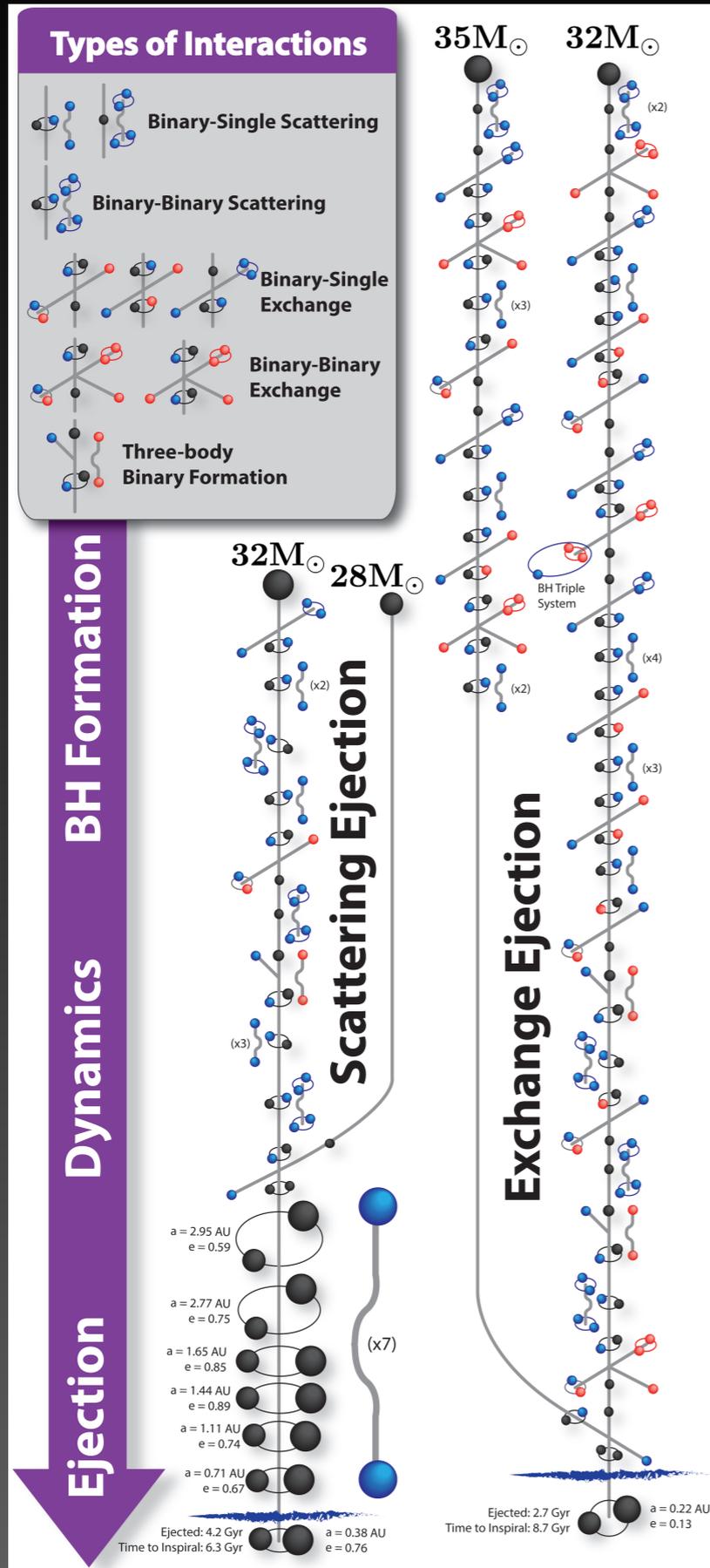


Dynamical formation of binary BHs



Dynamical formation of binary BHs

Rodriguez et al. (2015, 2016)



Sources of uncertainty:

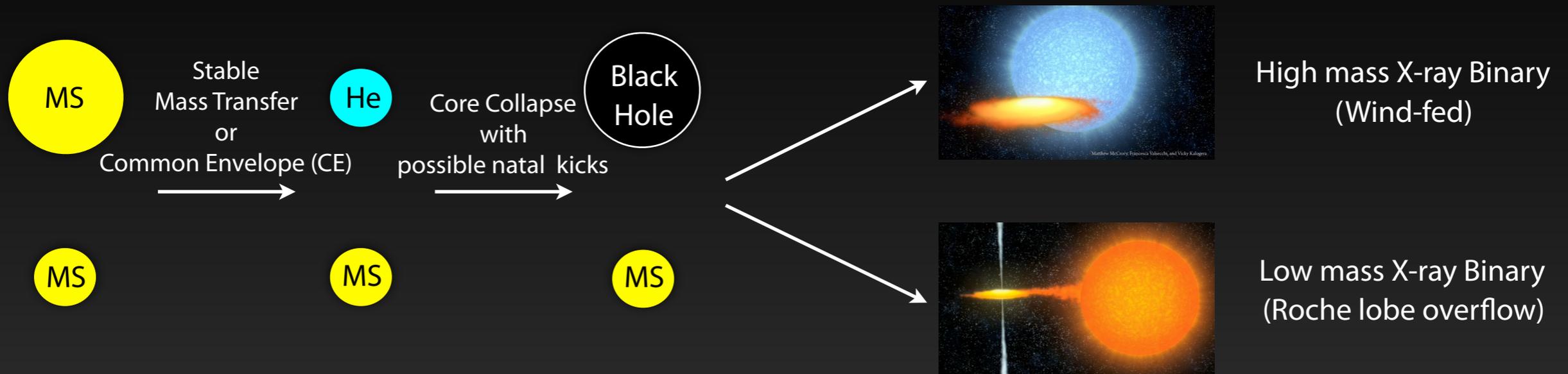
- (i) **Initial GC properties**
- (ii) initial binary properties (masses, mass ratios, and orbital periods)
- (iii) stellar evolution models including metallicity-dependent wind mass loss
- (iv) **BH natal kicks**
- (v) **Computational cost -small number statistics**

Comparison of different channels

	Classical field binaries	Chemically homogeneous evolution	Dynamical Formation
Merger rate	$\sim 200 \text{ Gpc}^{-3}\text{yr}^{-1}$	$\sim 10 \text{ Gpc}^{-3}\text{yr}^{-1}$	$\sim 2-20 \text{ Gpc}^{-3}\text{yr}^{-1}$
01 detection rate	$2-470 \text{ yr}^{-1}$	$\sim 400 \text{ yr}^{-1}$	$20-700 \text{ yr}^{-1}$
total BH mass	20-80	57-103	32-64
mass ratio	broad range	~ 1	close to ~ 1
PISN BH mass gap	yes	yes	maybe no...
BH Spins	Aligned	Aligned, high	Misaligned
Observable Progenitors	Ultraluminous X-ray sources	Maybe... WR+BH HMXBs	NO

Empirically inferred merger rate: $2-400 \text{ Gpc}^{-3}\text{yr}^{-1}$

Going back in time to constrain SN kicks



Currently observed properties: Donor's position on the H-R (T_{eff} vs. L) diagram, BH and donor masses, orbital period, position in the galaxy and 3-D systemic velocity

Step 1: Model the mass-transfer phase (MESA; Paxton et al. 2011, 2013, 2015)

Step 2: Model the detached post-SN secular evolution

Step 3: Find the peculiar velocity post BH formation

Step 4: Compute the orbital dynamics involved in core collapse

Derive limits on immediate progenitor mass and natal kicks magnitude

Step 5: Compute priors based on population synthesis models and derive PDFs (BSE; Hurley et al. 2002)

Results so far...

System	Observed Current BH mass (M_{\odot})	Post-SN BH mass (M_{\odot})	Immediate Progenitor mass (M_{\odot})	Natal Kick (km/s)
XTE J1118+480 (late-type, $P < 1d$)	8.0 ± 2.0 (McClintock et al. 2001, Wagner et al. 2001, Gelino et al. 2006)	$6.0 - 10.0$ (Fragos et al. 2009)	$6.5 - 20.0$ (Fragos et al. 2009)	$80 - 310$ (Fragos et al. 2009)
GRO J1655-40 (early-type, $P > 1d$)	6.3 ± 0.5 (Greene et al. 2001) 5.4 ± 0.3 (Beer & Podsiadlowski 2002)	$5.5 - 6.3$ (Willems et al. 2005) $3.5 - 5.4$ (Willems et al. 2005)	$5.5 - 11.0$ (Willems et al. 2005) $3.5 - 9.0$ (Willems et al. 2005)	$30 - 160$ (Willems et al. 2005) ≤ 210 (Willems et al. 2005)
LMC X-3 (early-type, $P > 1d$)	6.98 ± 0.56 (Orosz et al. 2014)	$6.4 - 8.2$ (Sorensen, TF et al. 2017)	$11.1 - 18.0$ (Sorensen, TF et al. 2017)	≤ 600 (Sorensen, TF et al. 2017)
GRS 1915+105 (late-type, $P > 1d$)	12.4 ± 2.0 (Reid et al. 2014)	$6.5-13.5$ (Kimball et al. 2017, in prep.)	$13.0 - 18.0$ (Kimball et al. 2017, in prep.)	≤ 150 (Kimball et al. 2017, in prep.)
V404 Cyg (late-type, $P > 1d$)	9.0 ± 0.6 (Khargharia et al. 2010)	$7.5-9.5$ (Kimball, TF et al. 2017, in prep.)	COMING SOON	COMING SOON
Cygnus X-1 (wind-fed, high mass)	14.81 ± 0.98 (Orosz et al. 2011)	$13.8 - 15.8$ (Wong et al. 2012)	$15.0 - 20.0$ (Wong et al. 2012)	≤ 77 (Wong et al. 2012)
IC10 X-1 (wind-fed, high mass)	$23.0 - 34.0$ (Orosz et al. 2011)	$23.0 - 34.0$ (Wong et al. 2014)	>31.0 (Wong et al. 2014)	≤ 130 (Wong et al. 2014)
M33 X-7 (wind-fed, high mass)	$13.5 - 20.0$ (Orosz et al. 2007)	$13.5 - 14.5$ (Valsecchi et al.2010)	$15.0 - 16.1$ (Valsecchi et al.2010)	≤ 850 (Valsecchi et al.2010)

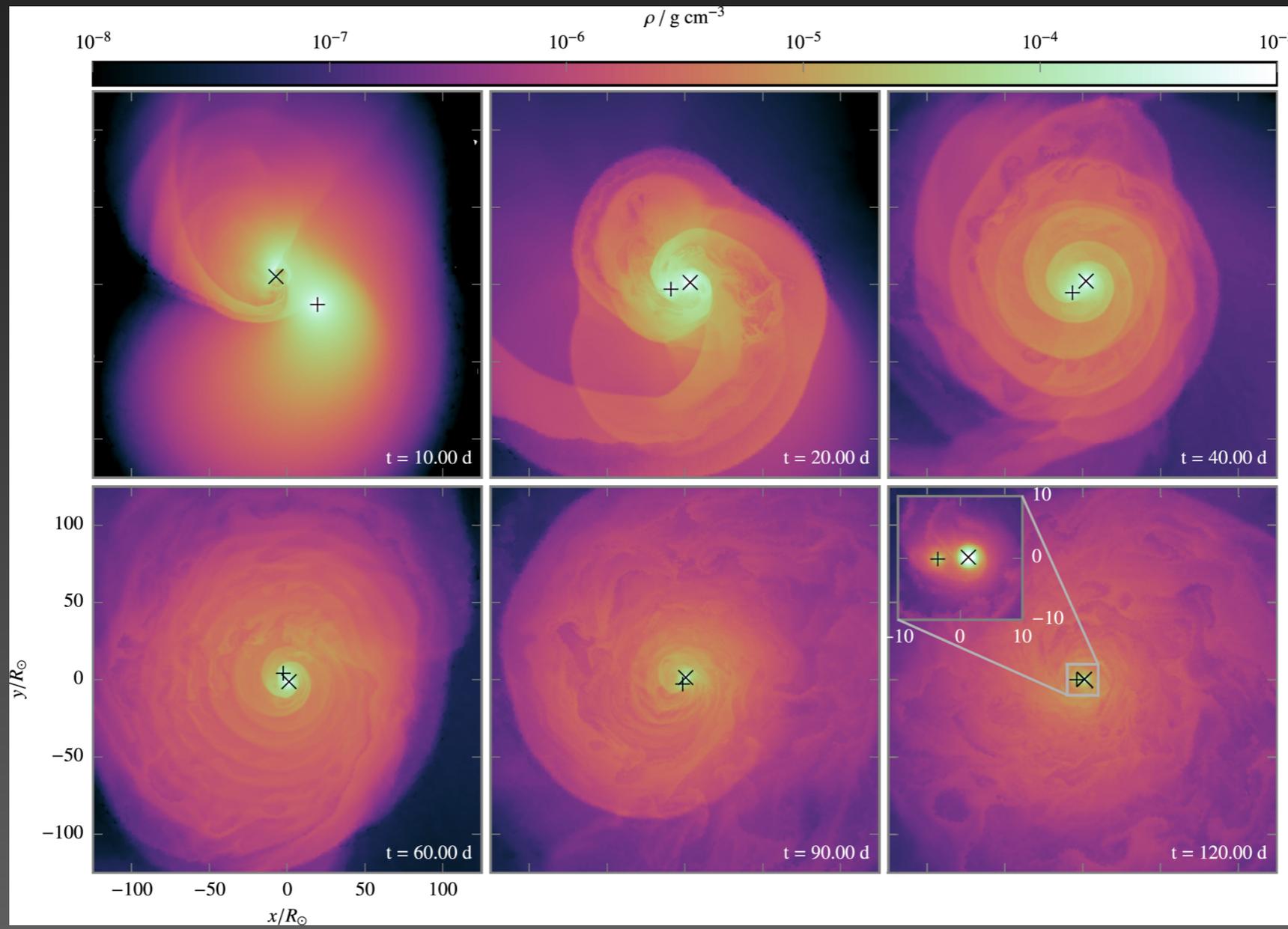
Willems et al. (2005); Fragos et al (2009); Valsecchi et al. (2010); Wong et al. (2012); Wong et al. (2014)
Sørensen, TF et al. (2017); Kimball, Sørensen,TF et al. (2017, in prep.)

The common envelope phase

The energy budget prescription

$$\alpha_{\text{CE}} \left(\frac{GM_{\text{don,fin}} M_{\text{acc}}}{2A_{\text{fin}}} - \frac{GM_{\text{don,int}} M_{\text{acc}}}{2A_{\text{int}}} \right) = \frac{GM_{\text{don,int}} M_{\text{don,env}}}{\lambda R_{\text{don,lob}}}$$

The “muscle” approach

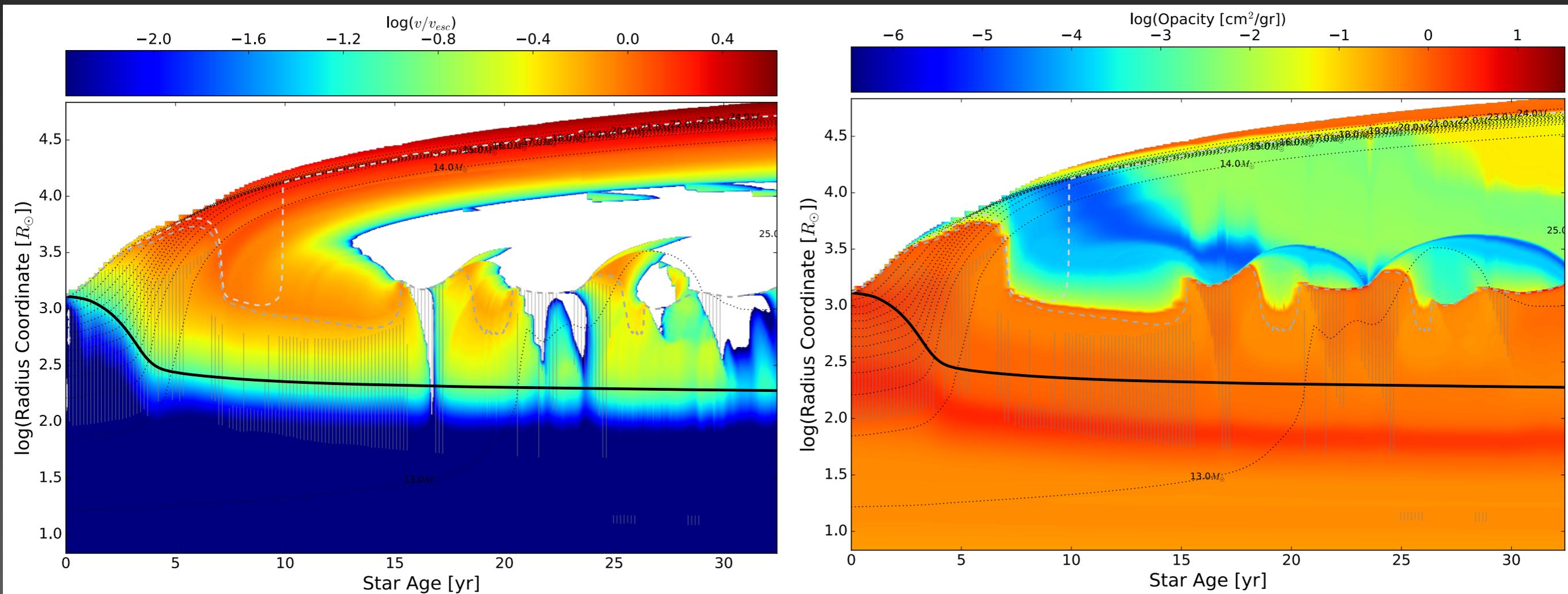


Ohlman et al. 2015; Ivanova et al. 2013

The common envelope phase

The 1D approach:

- Rich physics: Energy, radiation and angular momentum transport
- Computational efficient
- Applicable to progenitor of coalescing double compact objects



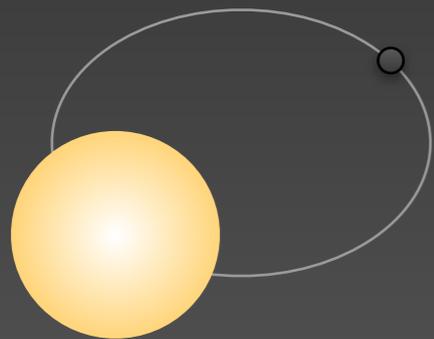
Summary

- LIGO detections provide the first direct evidence for the existence of “heavy” BH and binary BHs
- All three main formation channels predict merger rates and properties (marginally) consistent with LIGO detections so far. A population of 100s of binary BHs will be able to do this.
- BH spin and binary mass ratios will likely be the differentiating factors
- Large BH kicks “kill” all three channels
- Coalescing binary neutron star are believed to be formed via the “classical” channel. Advances in our understanding of the common envelope phase are badly needed!

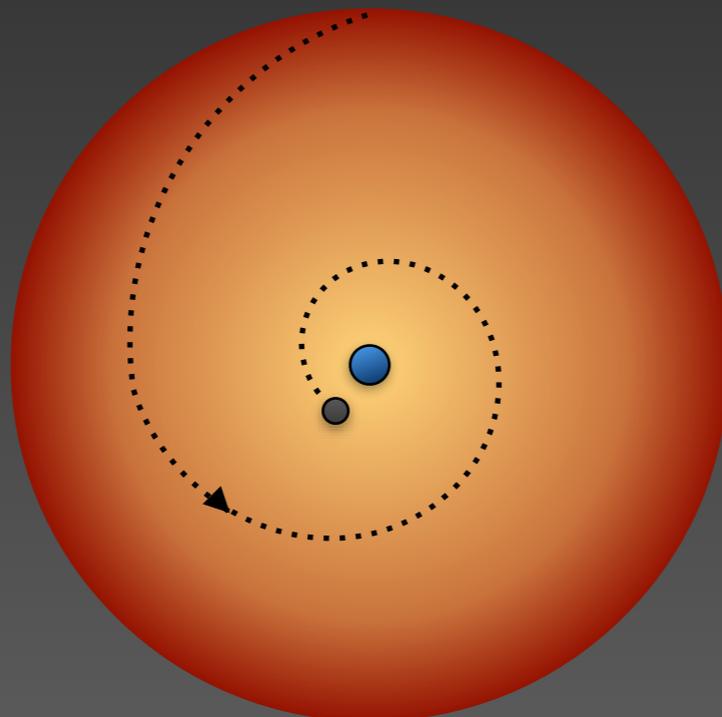
The common envelope phase

common envelope outcomes:
tightened binary or merger

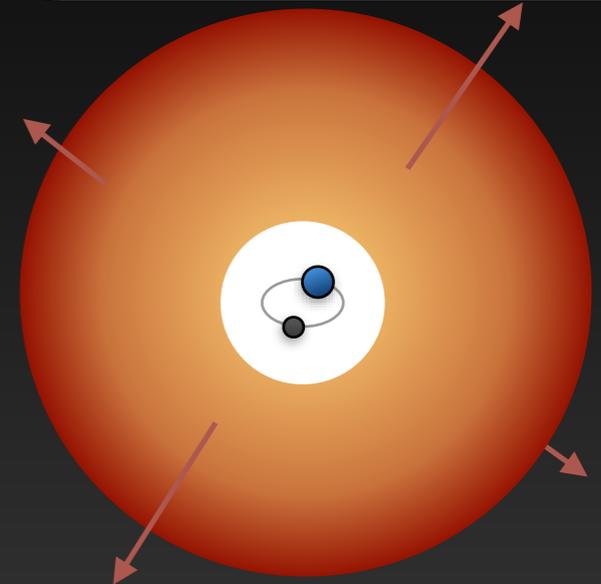
Evolution to contact



Drag on surrounding gas tightens the orbit



envelope is ejected
and orbit stabilizes



envelope is retained
and binary merges

