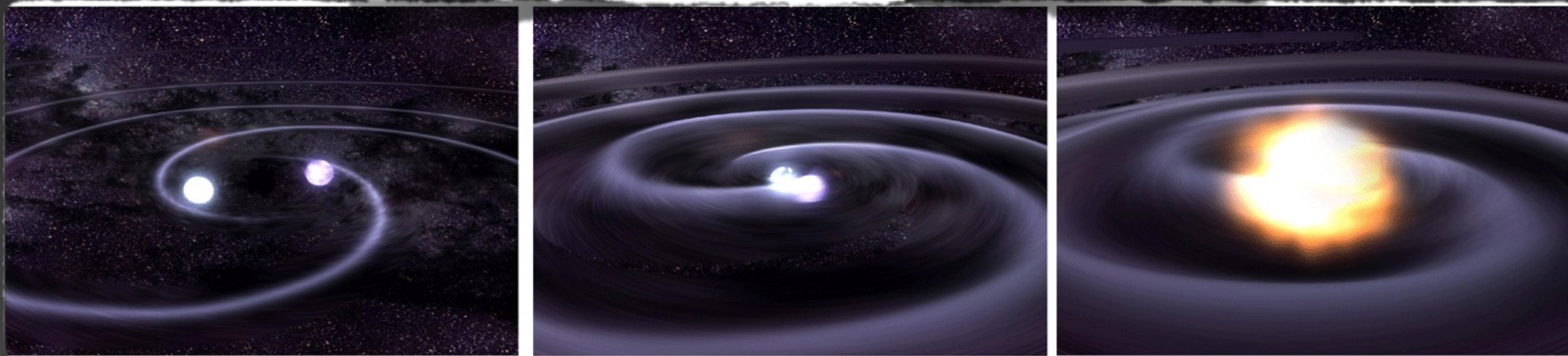
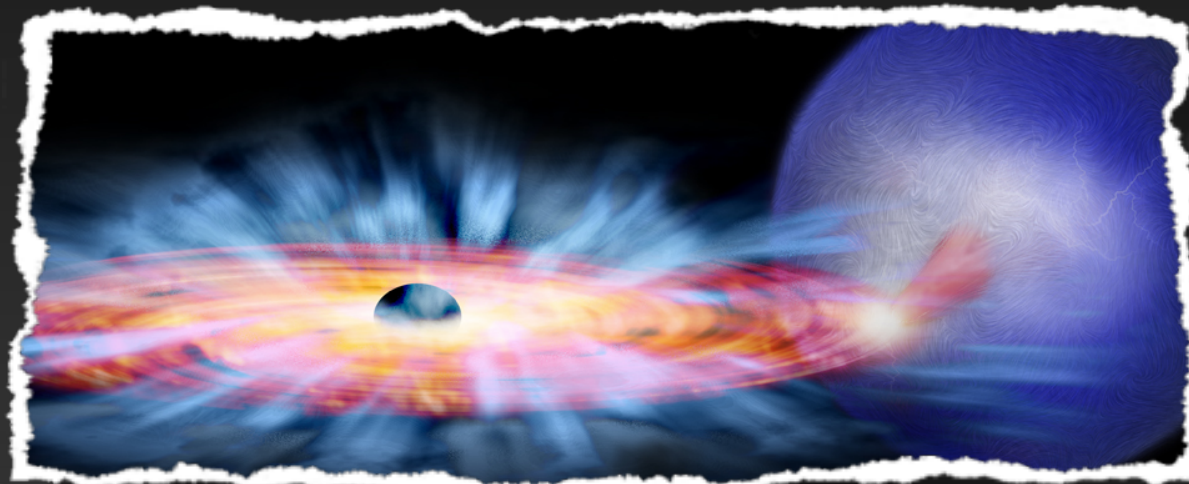


# *Open questions on the formation of binaries containing black holes*

**Tassos Fragos**

*Geneva Observatory - University of Geneva*



**UNIVERSITÉ  
DE GENÈVE**



**FNSNF**

FONDS NATIONAL SUISSE  
SCHWEIZERISCHER NATIONALFONDS  
FONDO NAZIONALE SVIZZERO  
SWISS NATIONAL SCIENCE FOUNDATION

# The “No-Hair” theorem

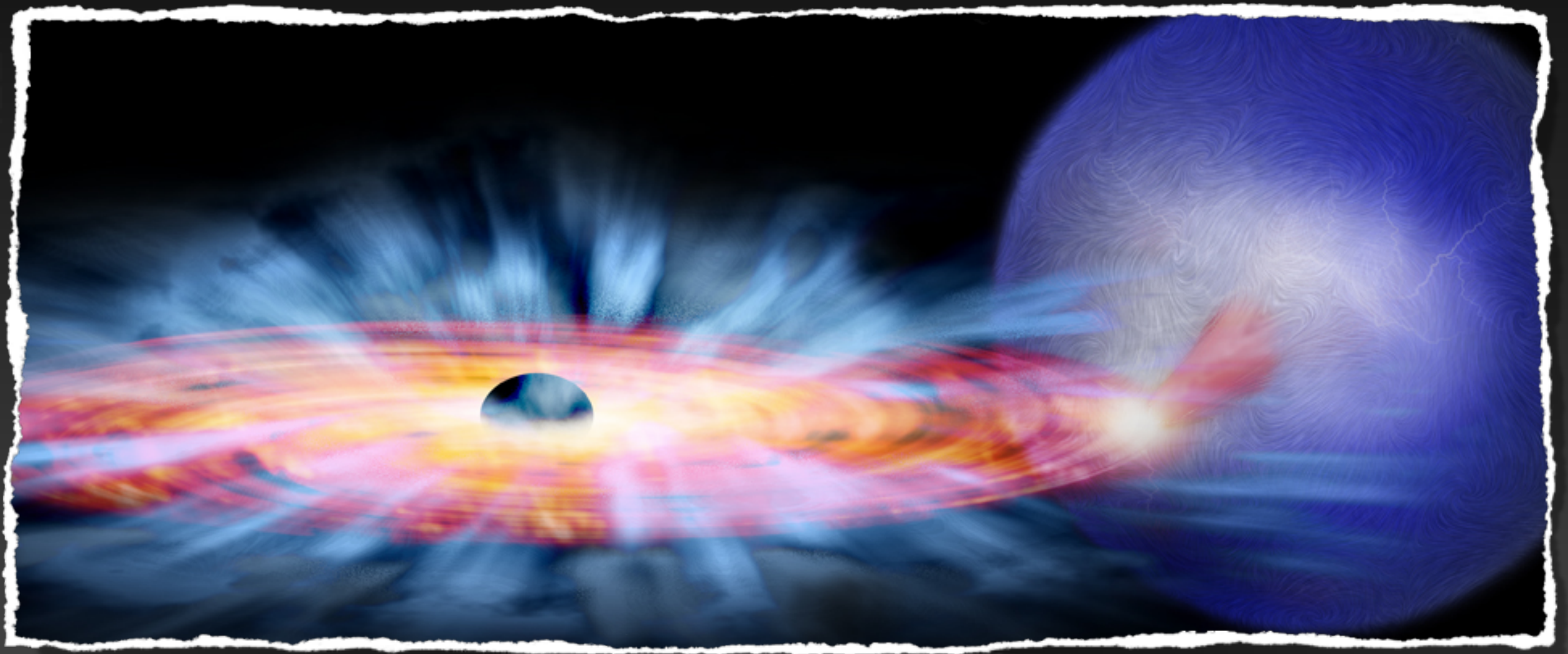
Every astrophysical black hole is fully characterized by two quantities:

*M = mass,*

*a = spin.*

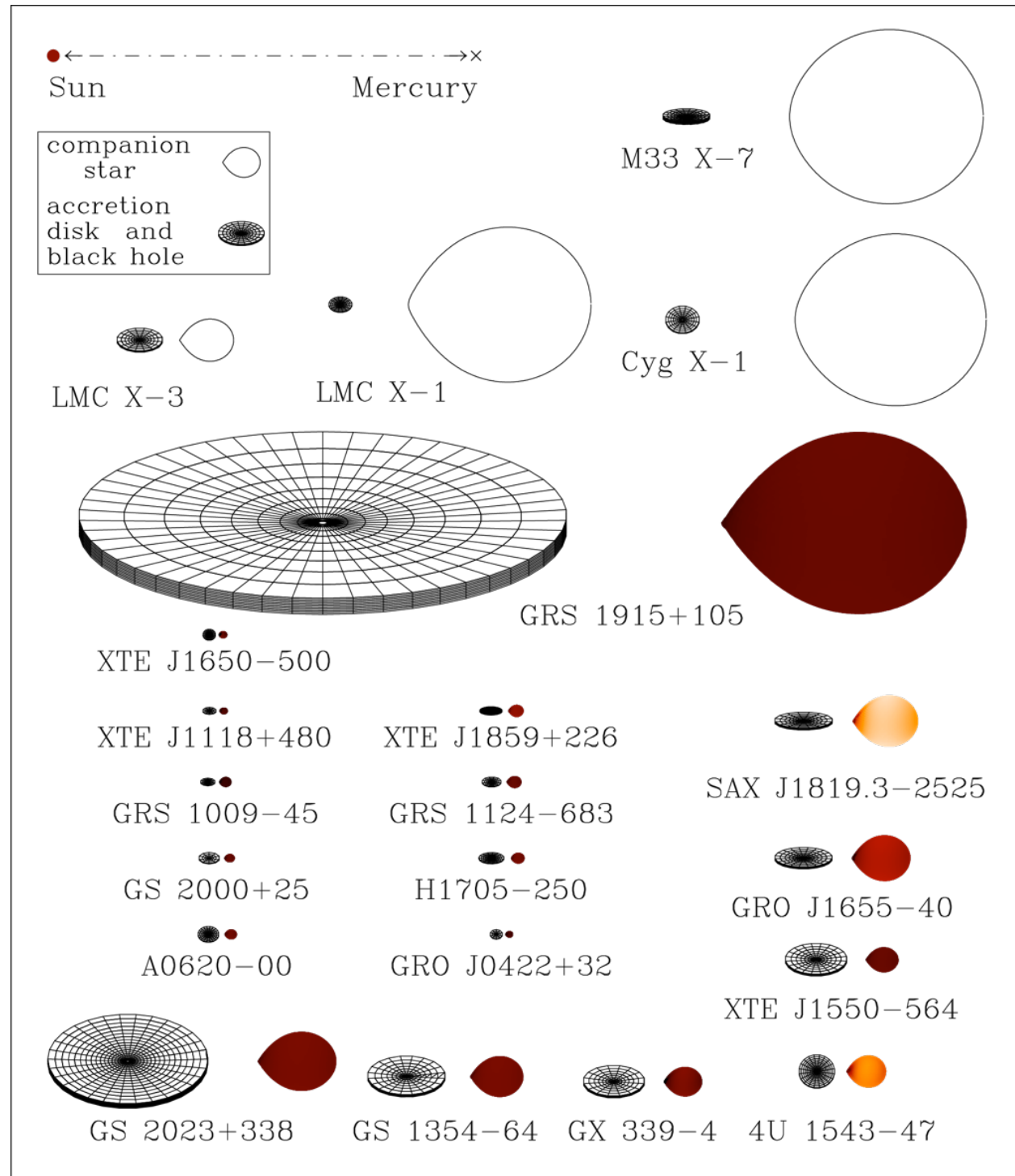
Black holes are as simple as elementary particles (in a sense).

# Black Hole X-ray Binaries





# Dynamically confirmed black holes



- Cyg X-1: the first BH candidate

*Bolton (1972), Webster & Mardin (1972)*

- 21 BHs with dynamical mass measurement

*McClintock & Remillard 2006, Casares & Jonker 2014*

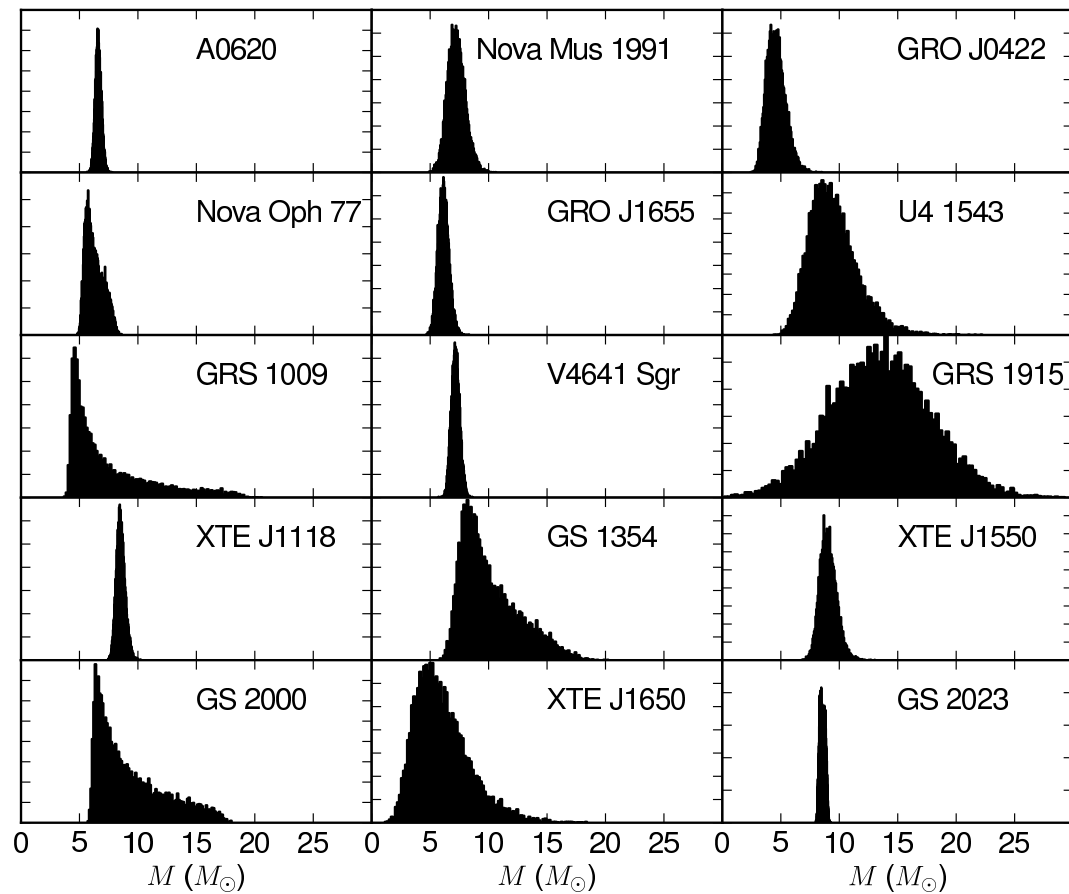
- 18 Galactic, 3 in nearby galaxies

- 33 more BH candidates

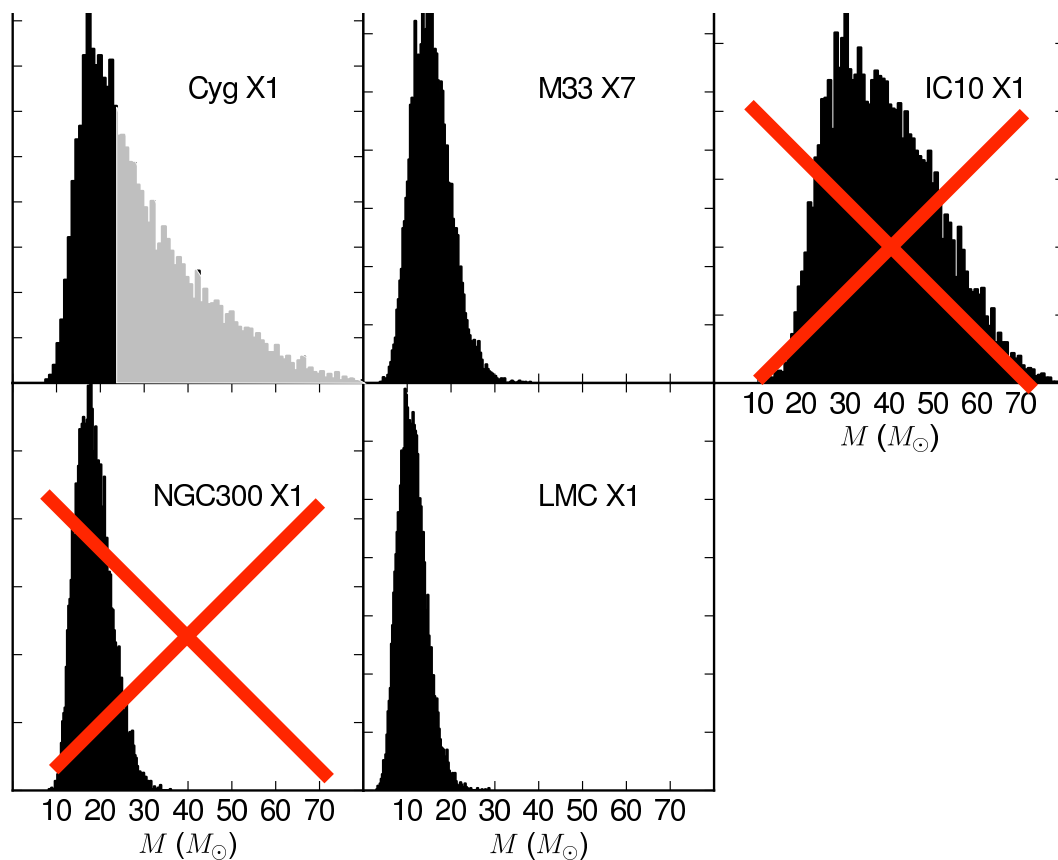


# Dynamically confirmed black holes

*Farr et al. (2011)*



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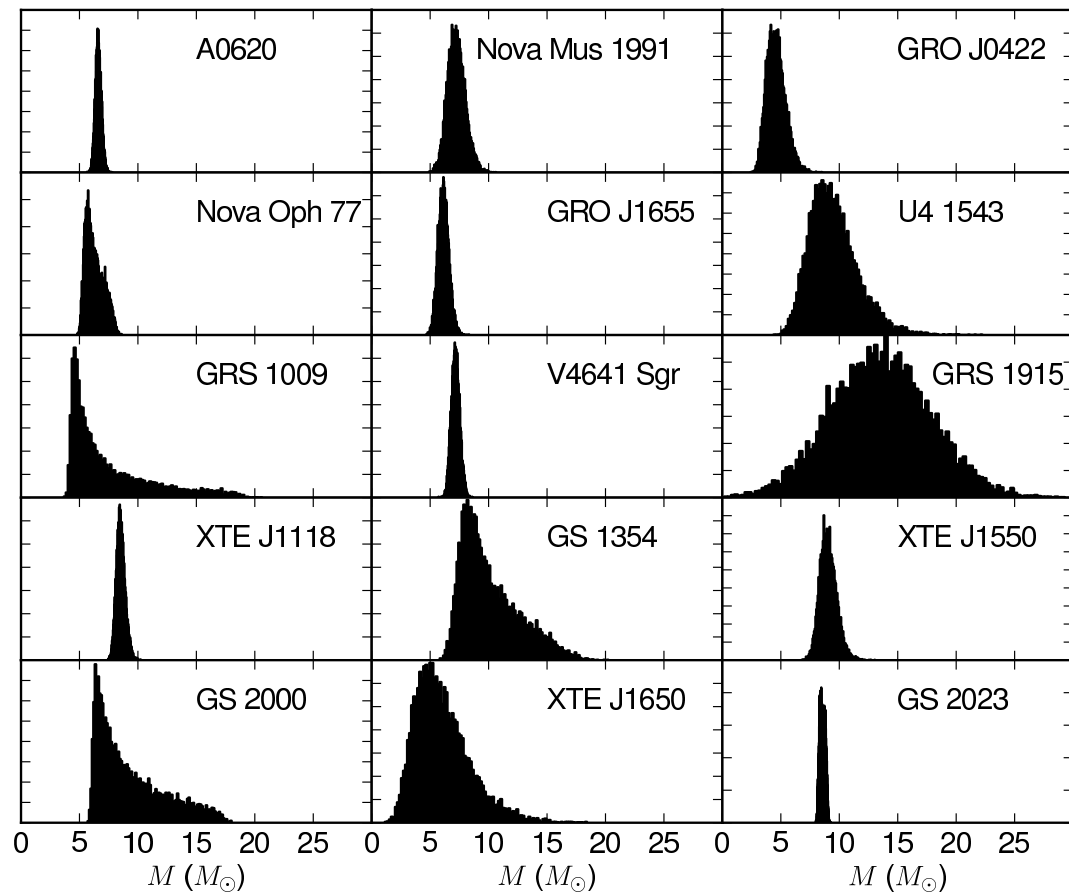
*Özel et al. (2011)*

LMXBs:  $M_{\text{BH,current}} \sim 7.8 \pm 1.2 M_{\odot}$

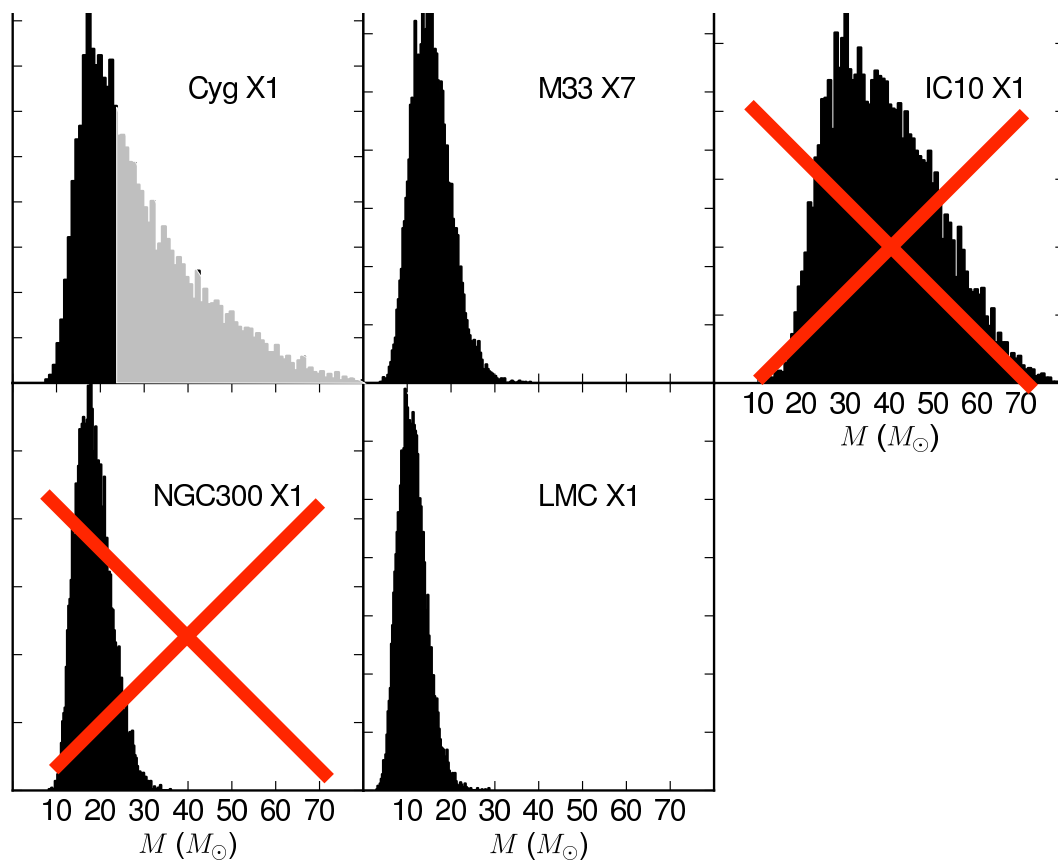
HMXBs:  $M_{\text{BH}} \sim 10\text{-}16 M_{\odot}$

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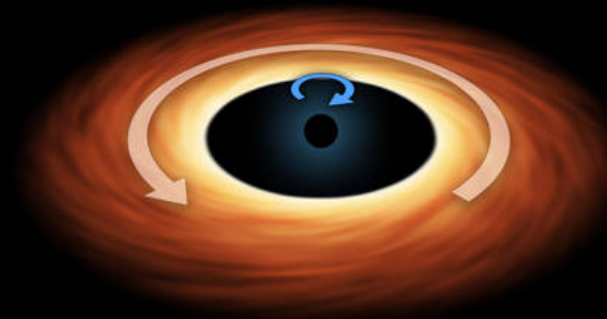
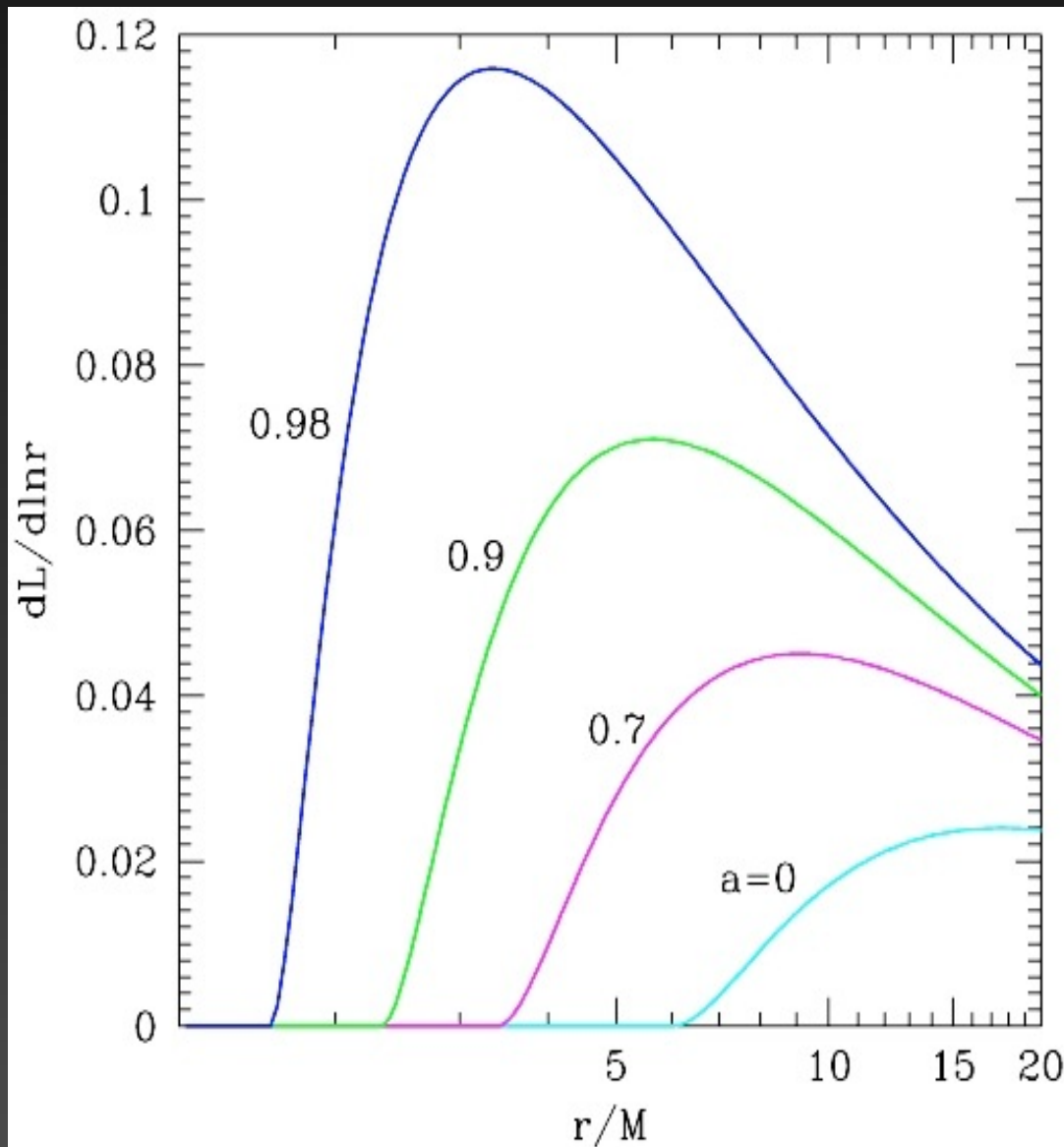
*Fragos & McClintock (2015)*

$M_{\text{BH,natal}} \sim 6.3 \pm 1.1 M_{\odot}$

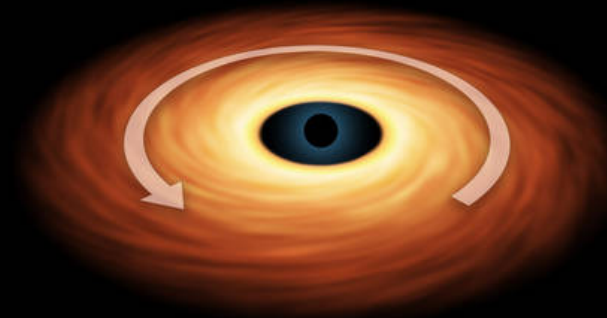
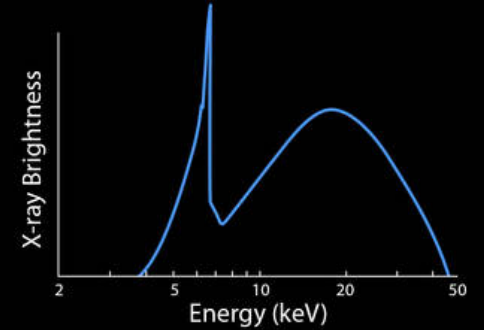
**HMXBs:**  $M_{\text{BH}} \sim 10\text{-}16 M_{\odot}$

# Measuring the the spin of Black Holes

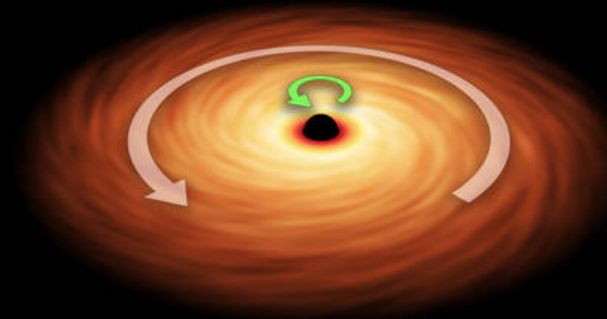
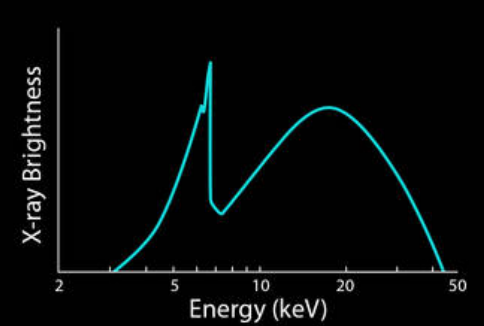
## Continuum-fitting and Reflection methods



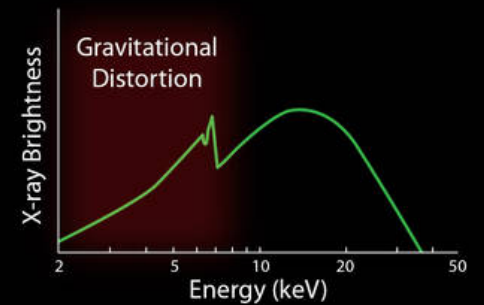
Retrograde Rotation



No Black Hole Rotation

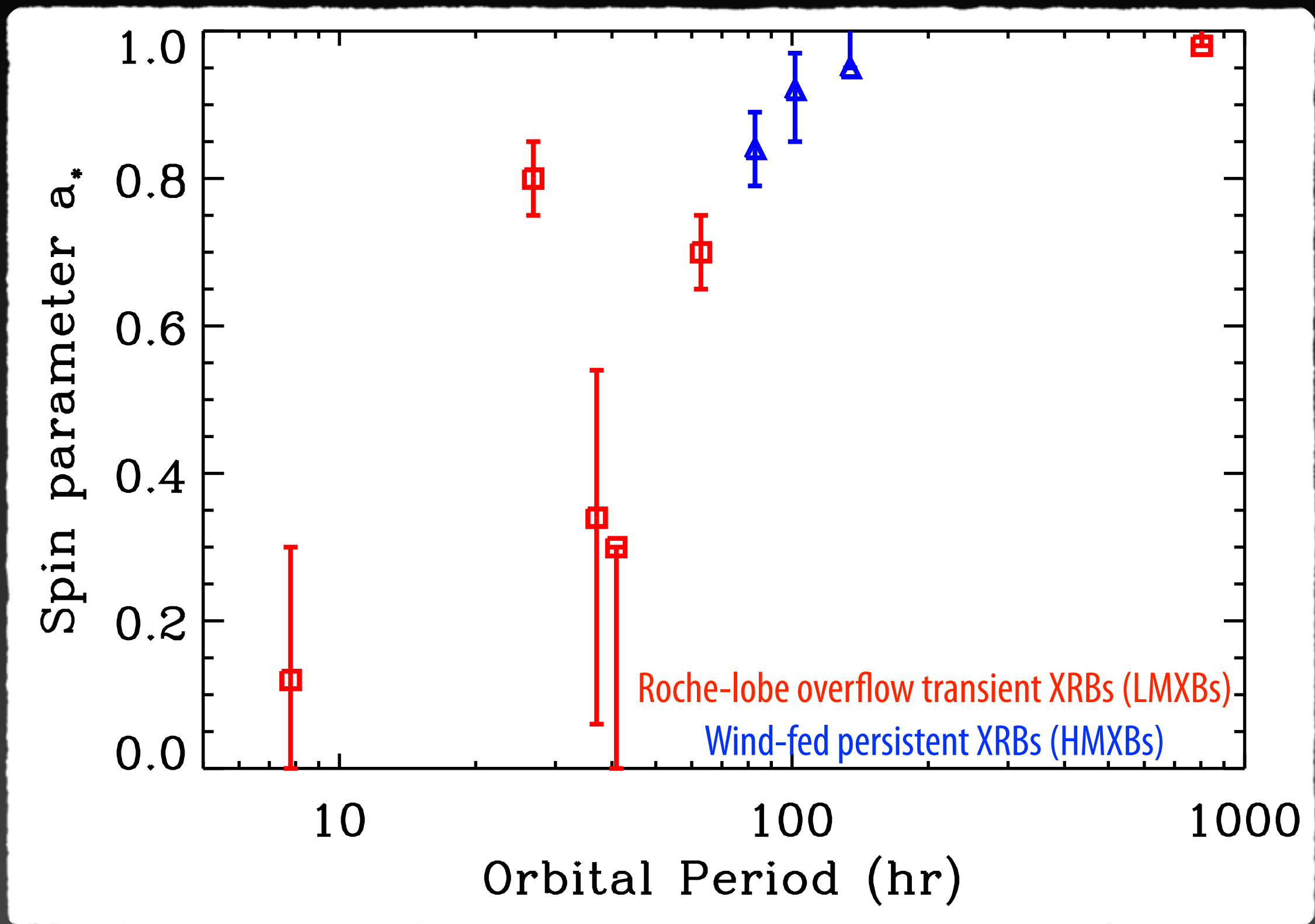


Prograde Rotation





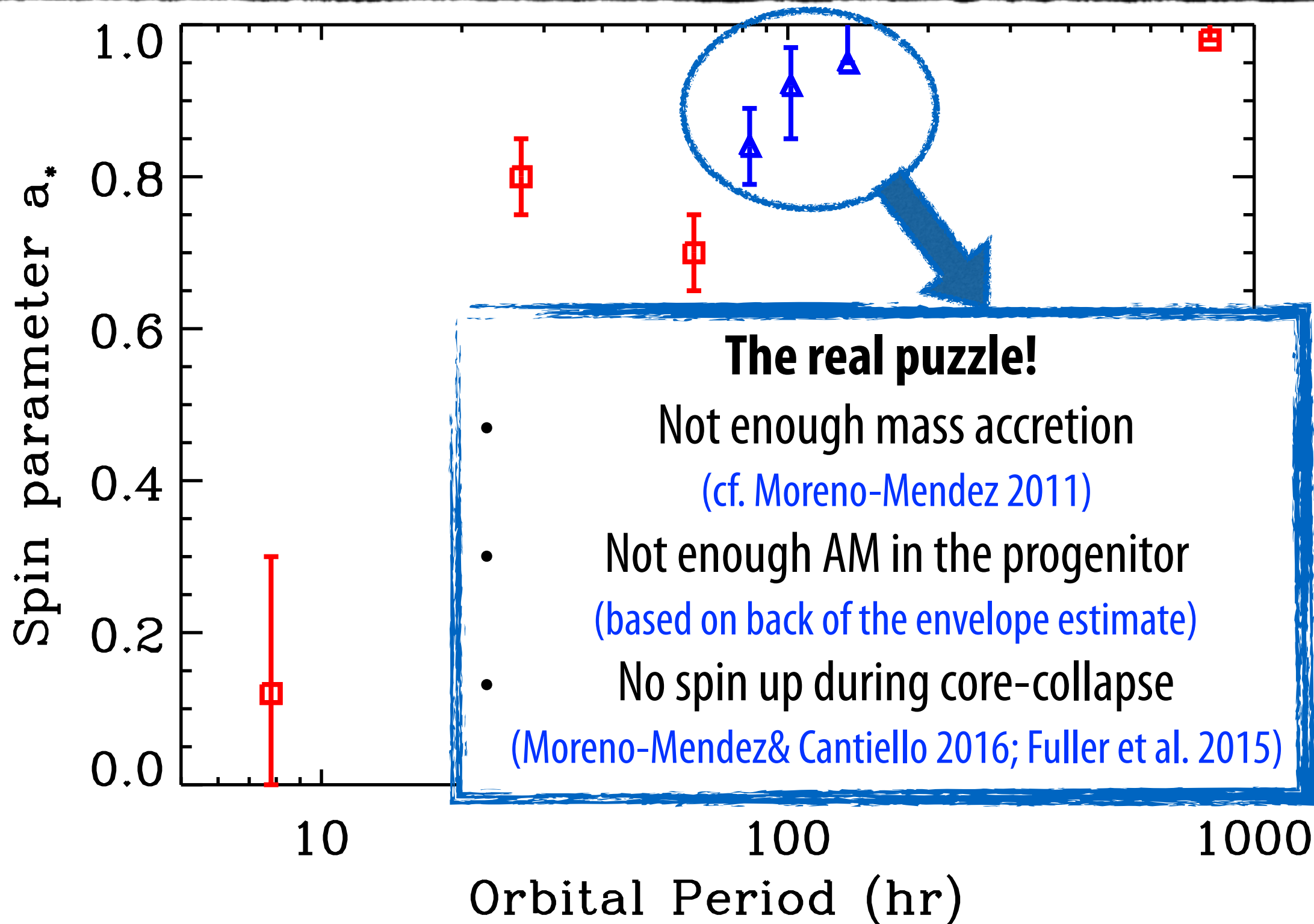
# The origin of black-hole spin



The spin of 9 stellar BHs measured with the *continuum fitting method*

*McClintock et al. (2011, 2014)*

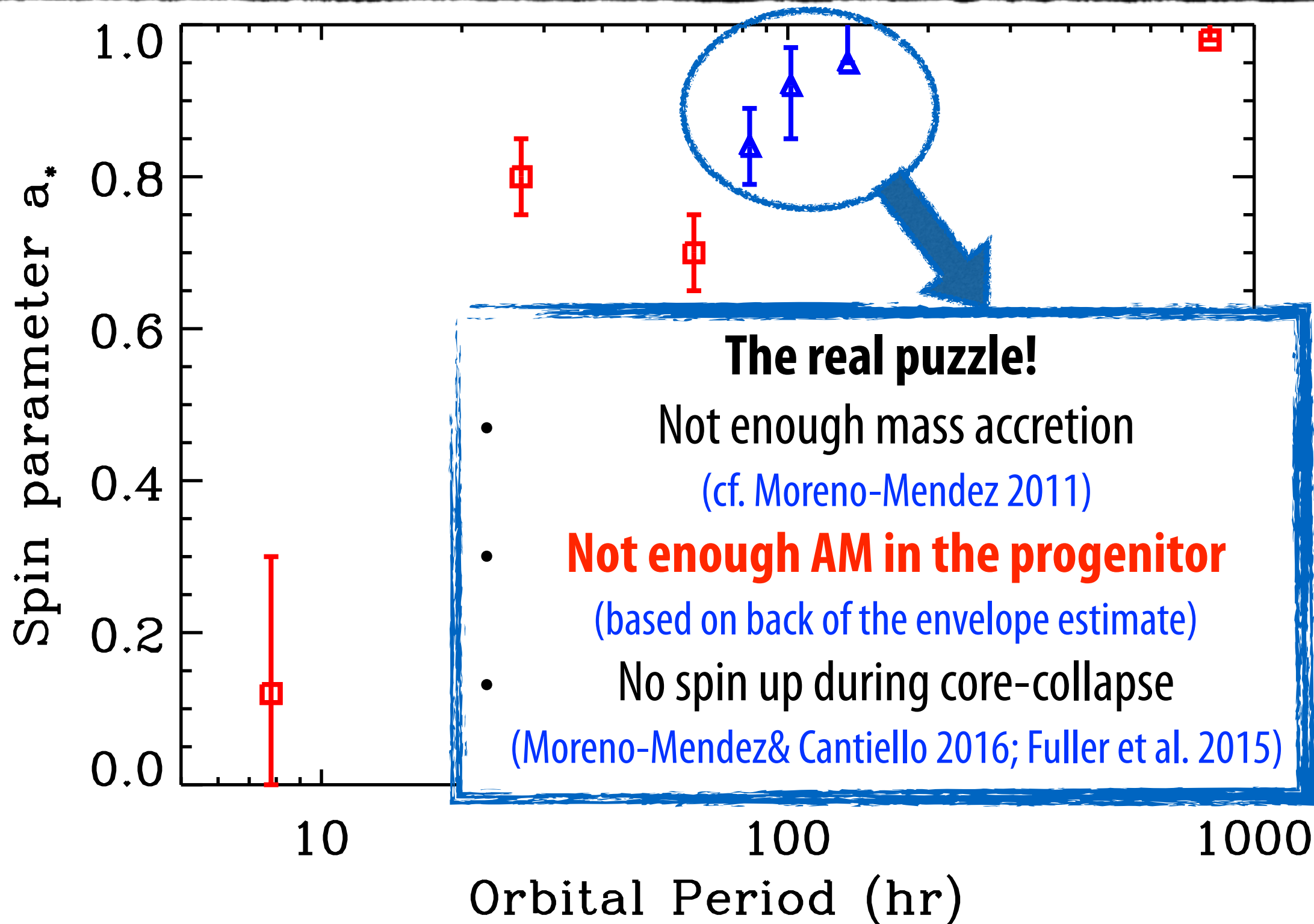
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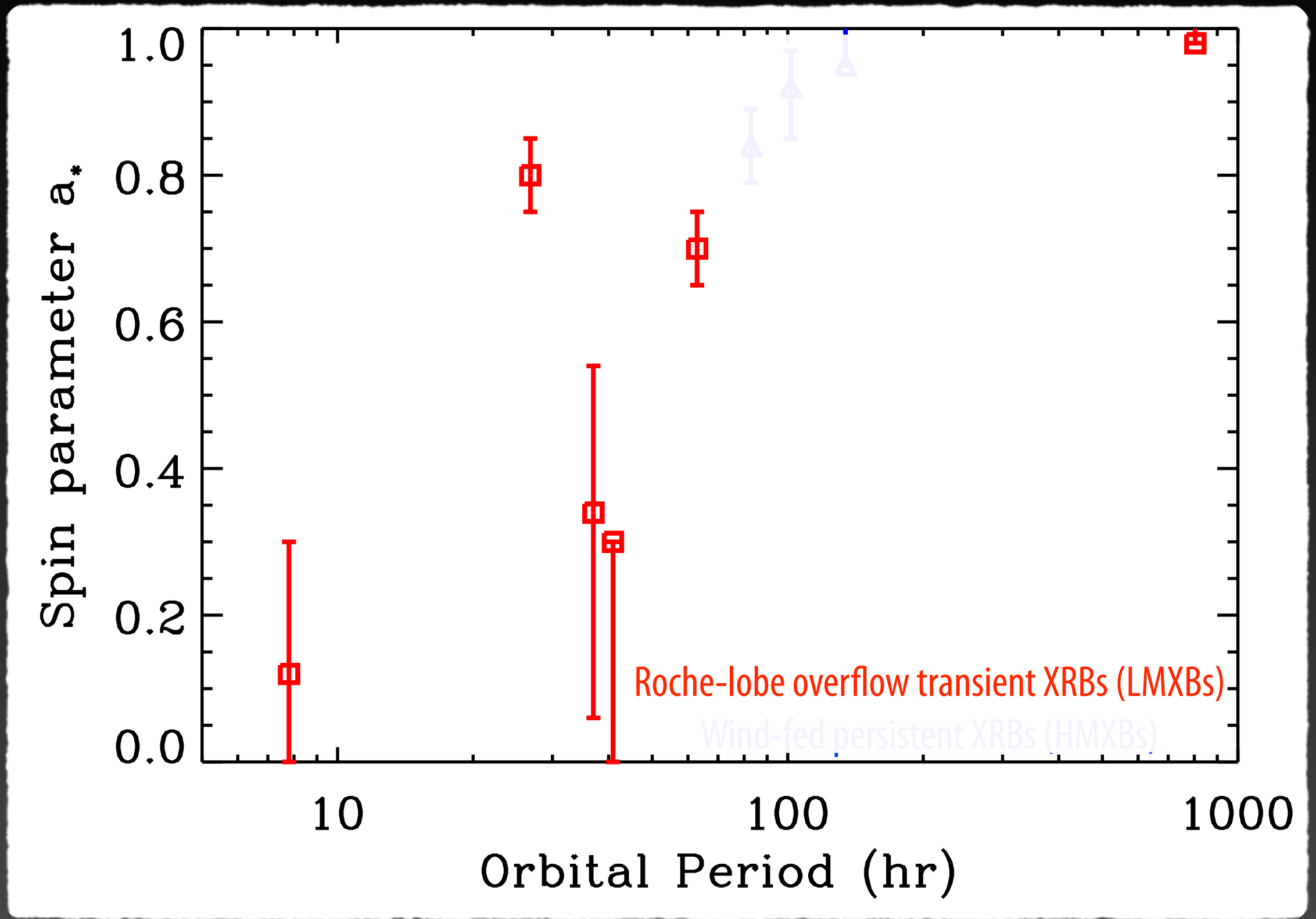


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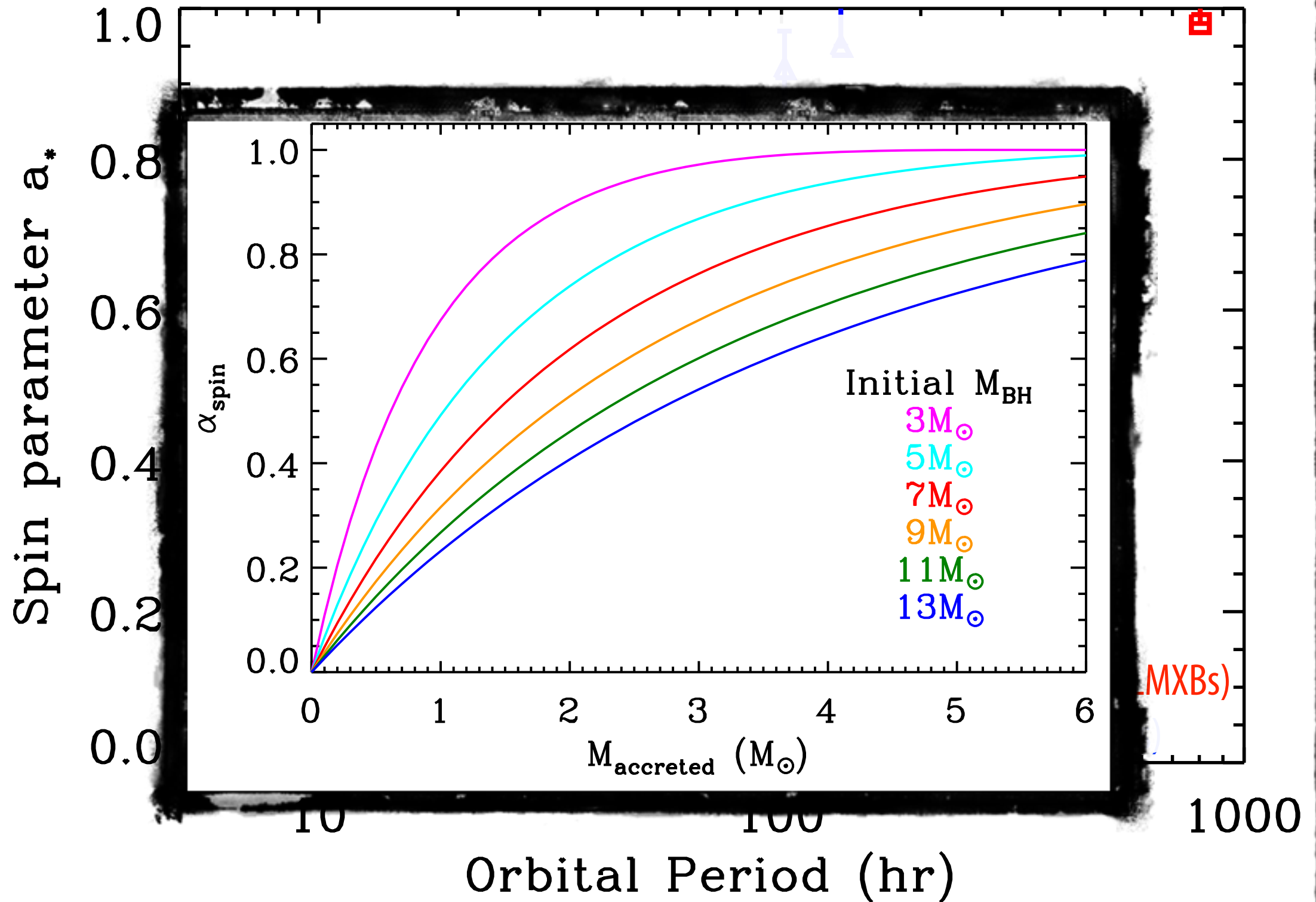
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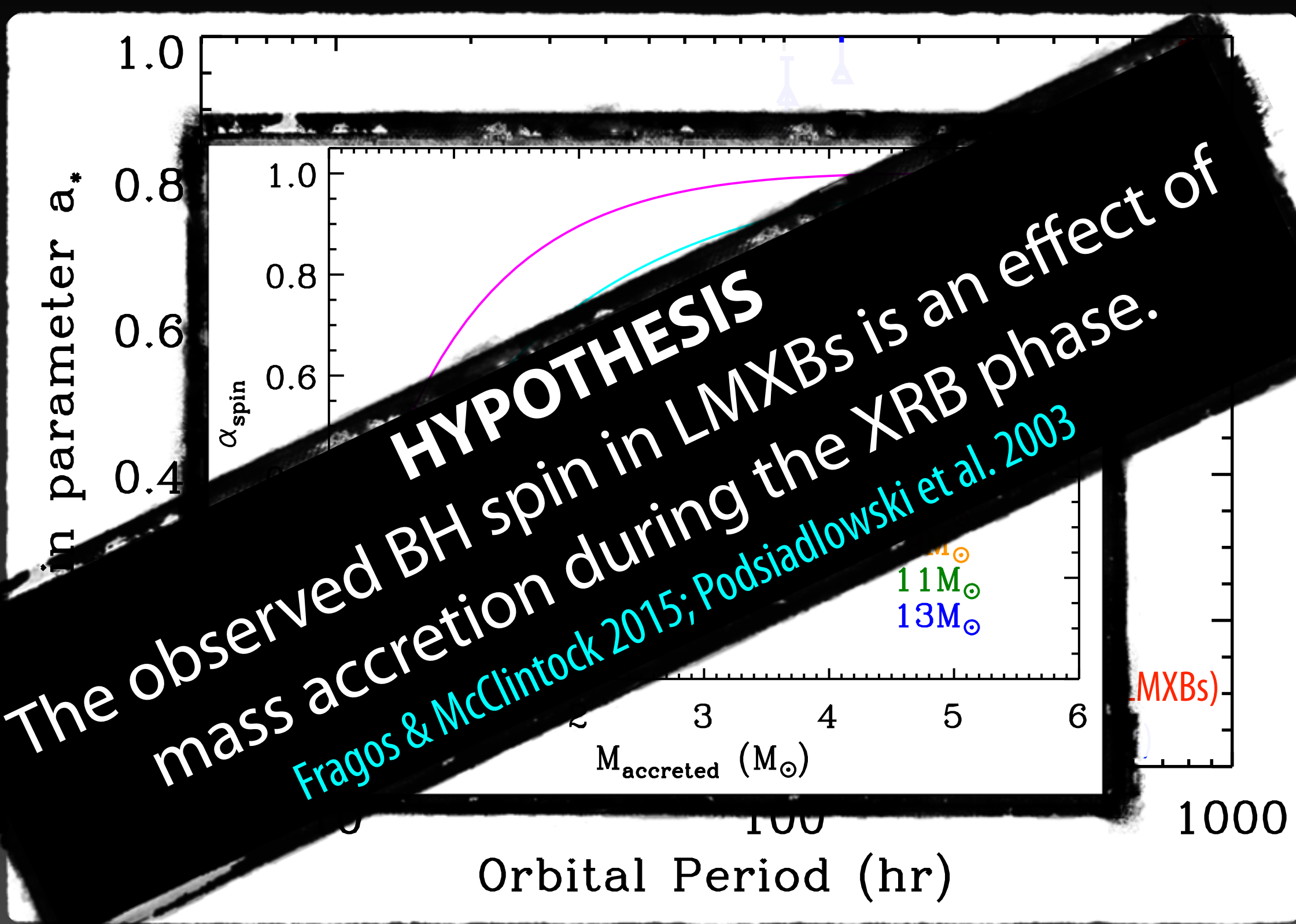
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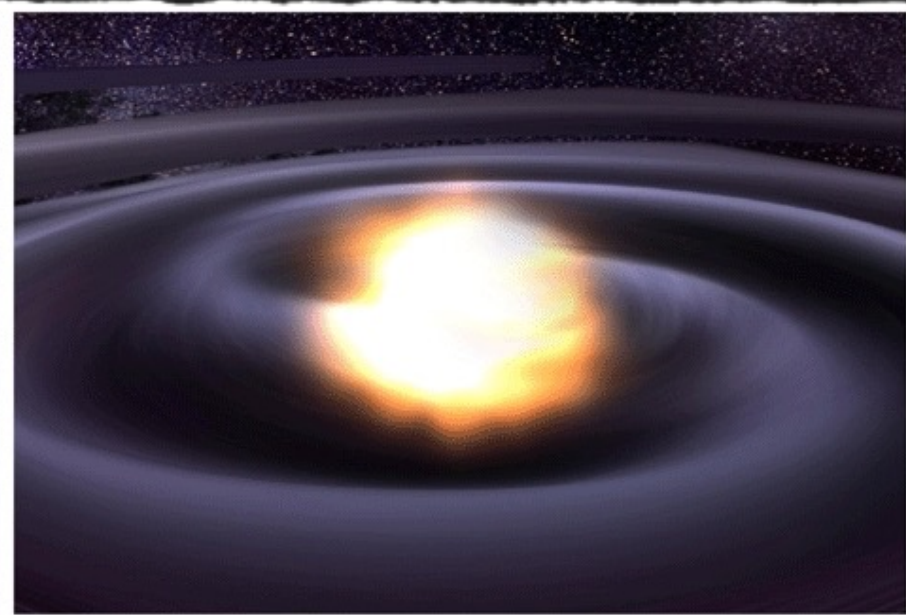
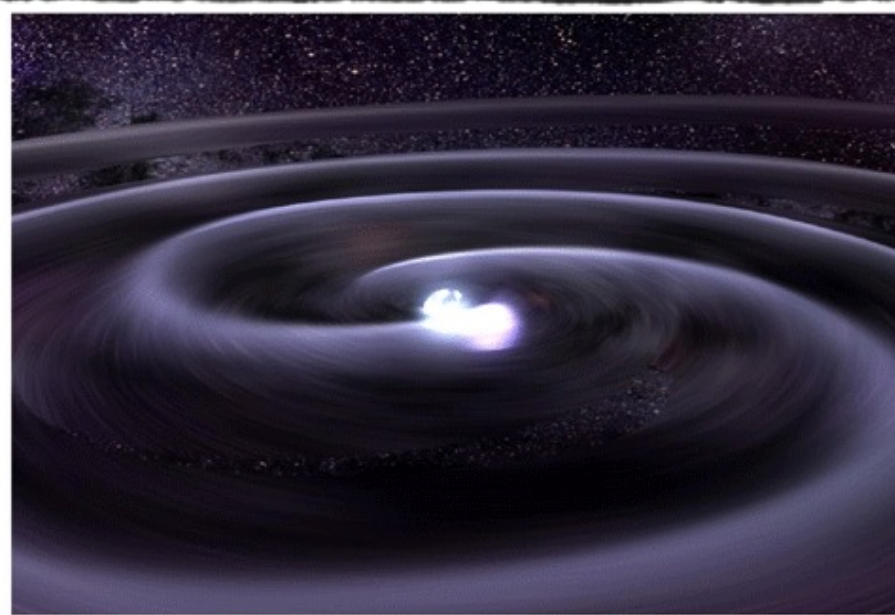
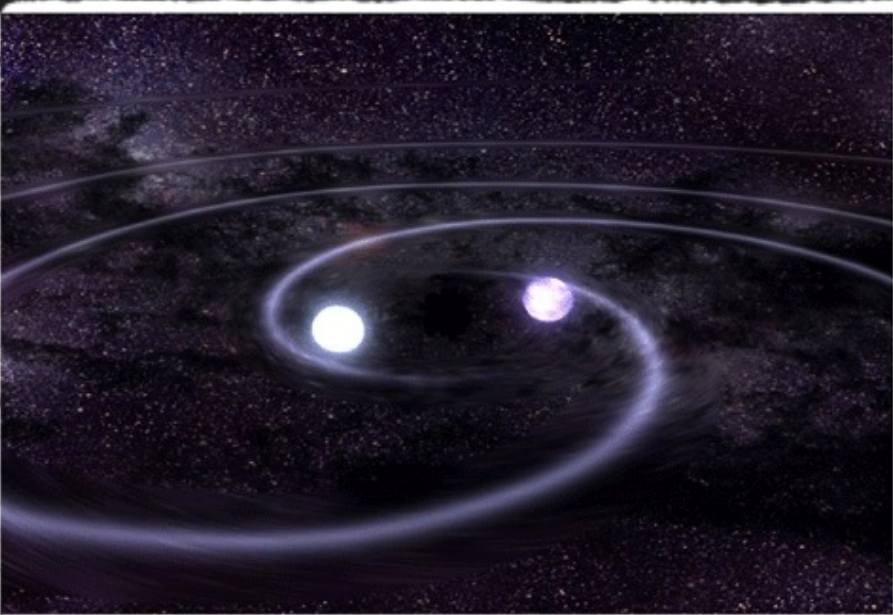


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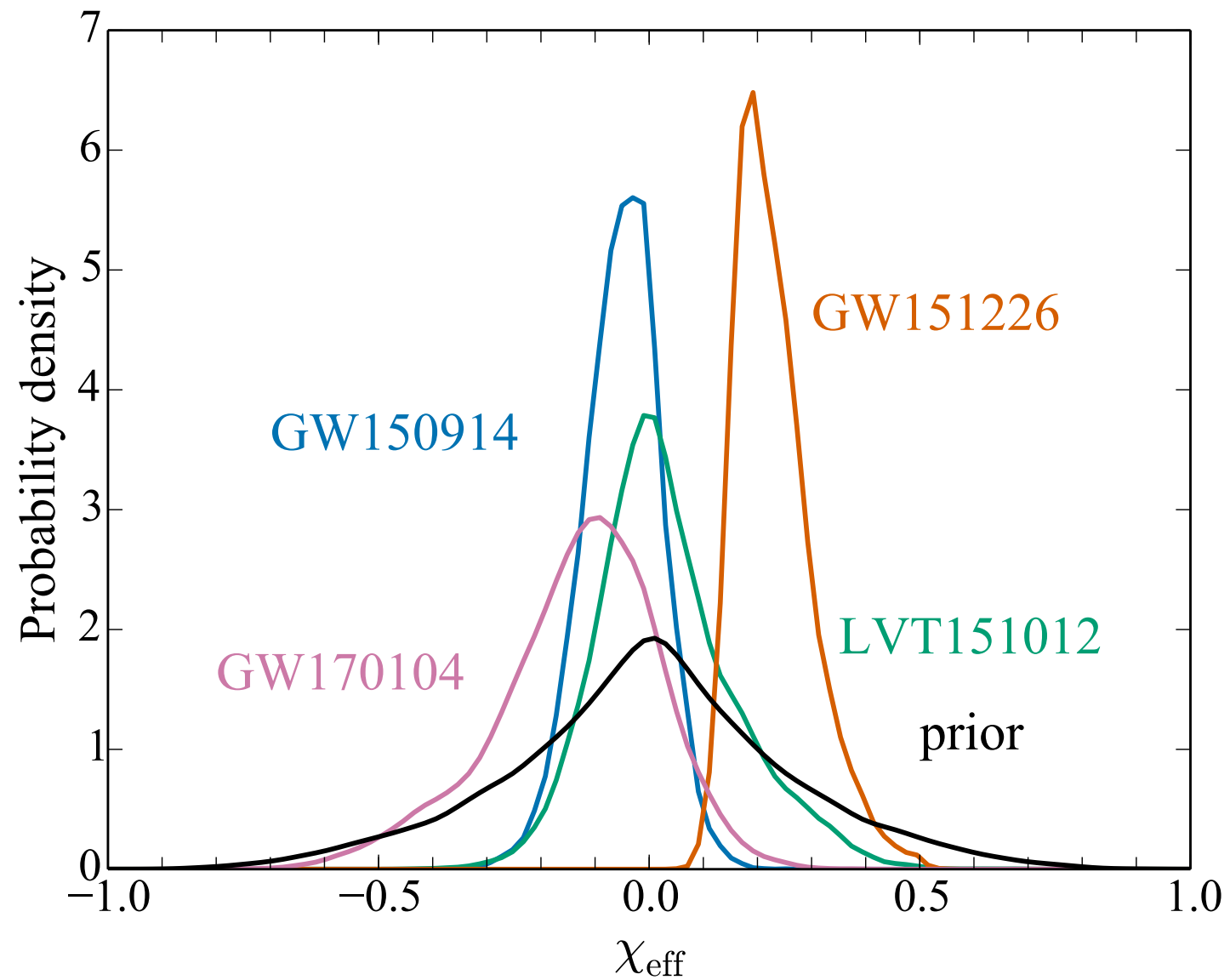
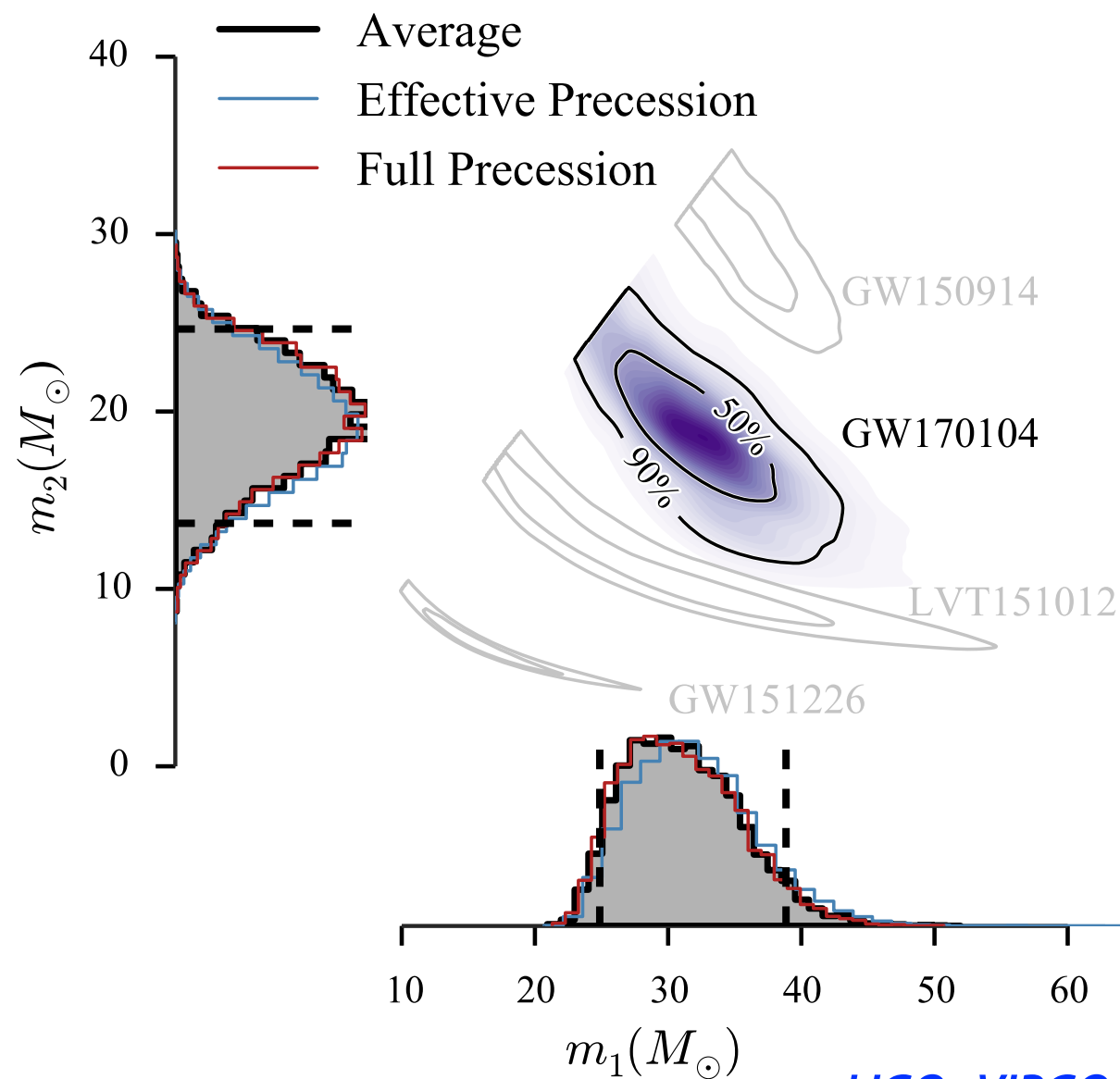
# Coalescing Binary Black Holes



# Binary BH mergers detected with LIGO

$$M_{chirp} = \frac{(m_1 m_2)^{3/5}}{(m_1 + m_2)^{1/5}}$$

$$\chi_{\text{eff}} = \frac{M_1 \vec{a}_1 + M_2 \vec{a}_2}{M_1 + M_2} \cdot \hat{\vec{L}}$$

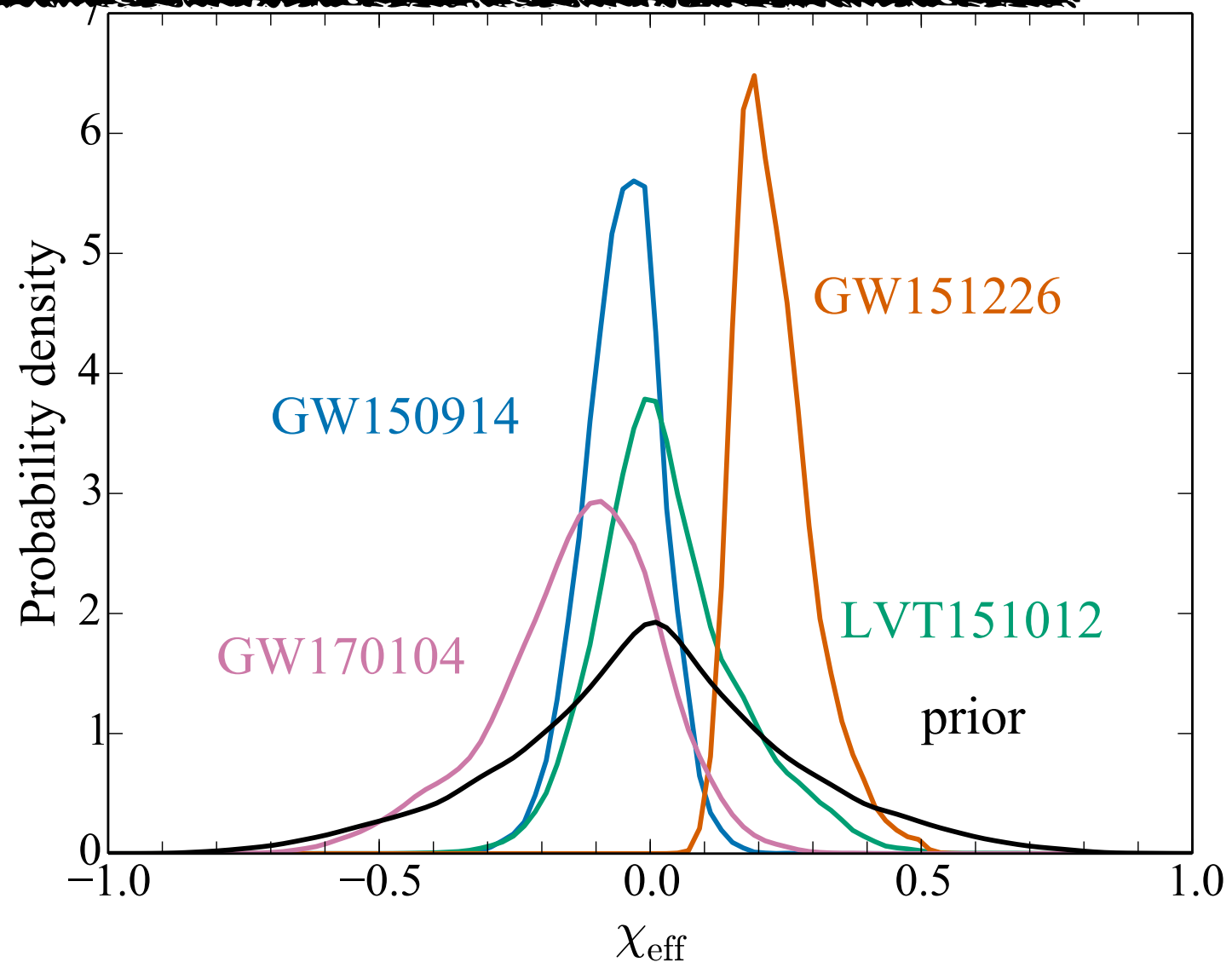
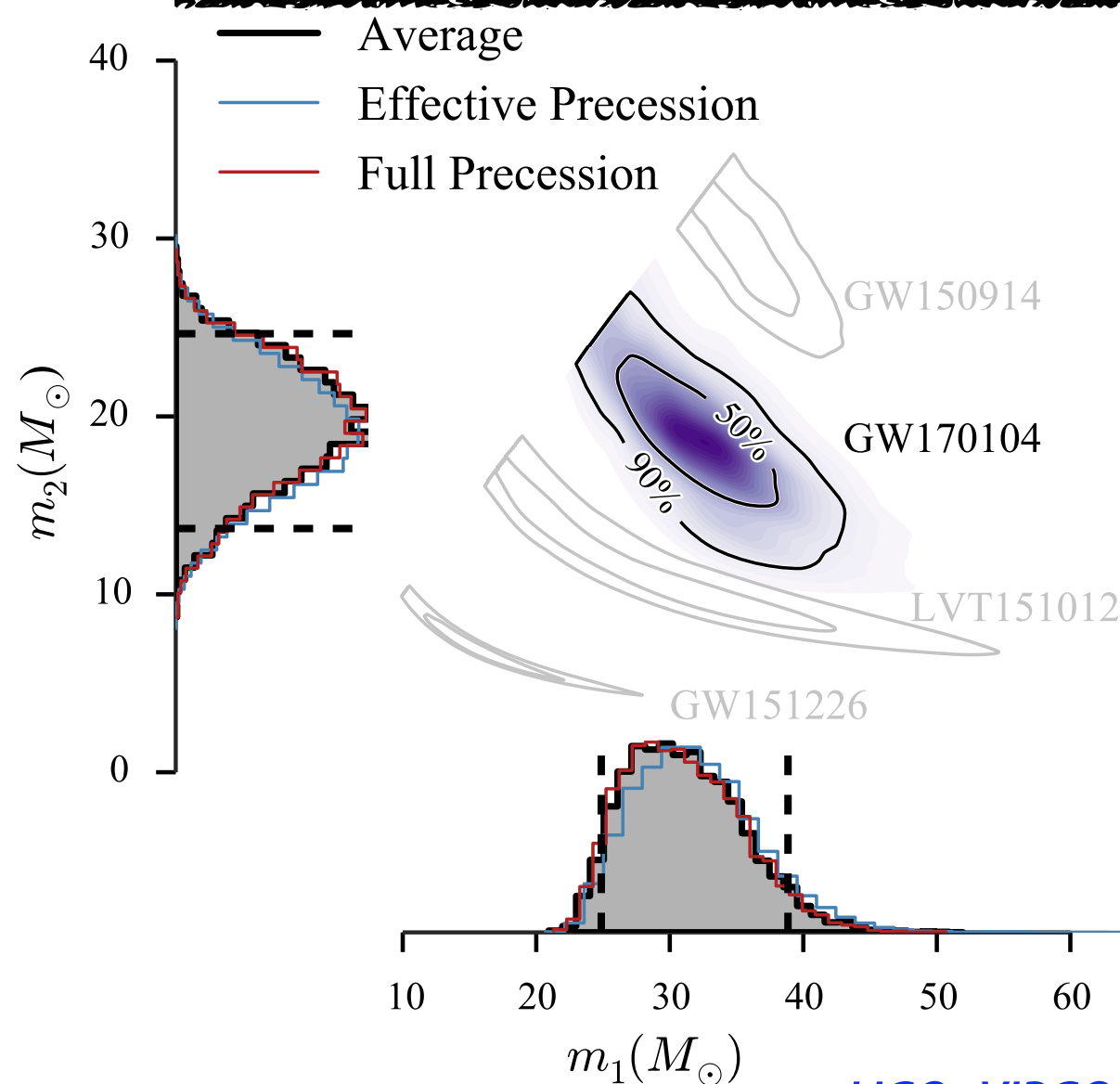


LIGO+VIRGO collaboration (2016a,d, 2017a,b)

# Binary BH mergers detected with LIGO

**The detection confirms that:**

- 1) “heavy” black holes exist
- 2) binary black holes form in nature
- 3) binary black holes merge within a Hubble time (at a detectable rate)

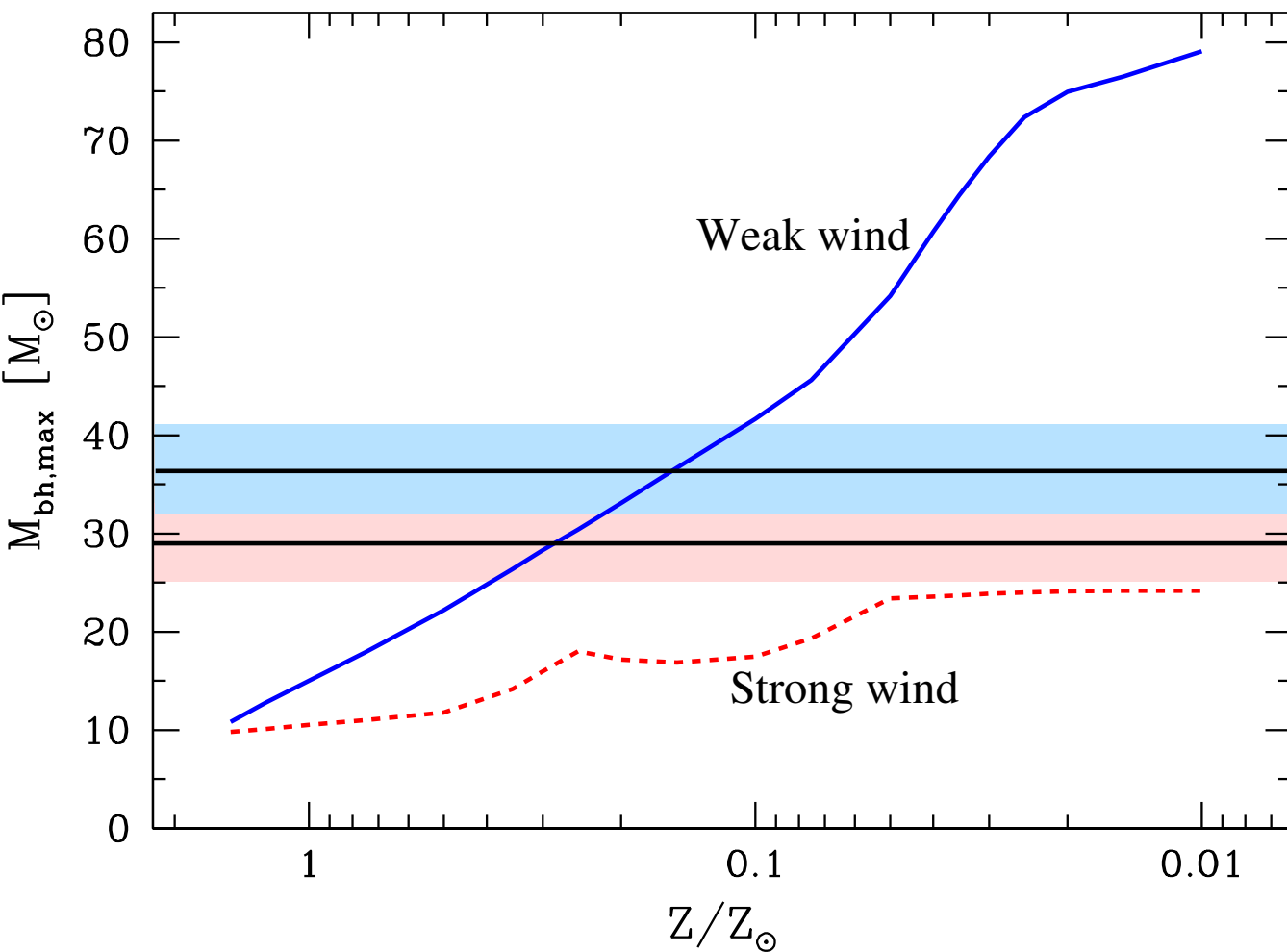


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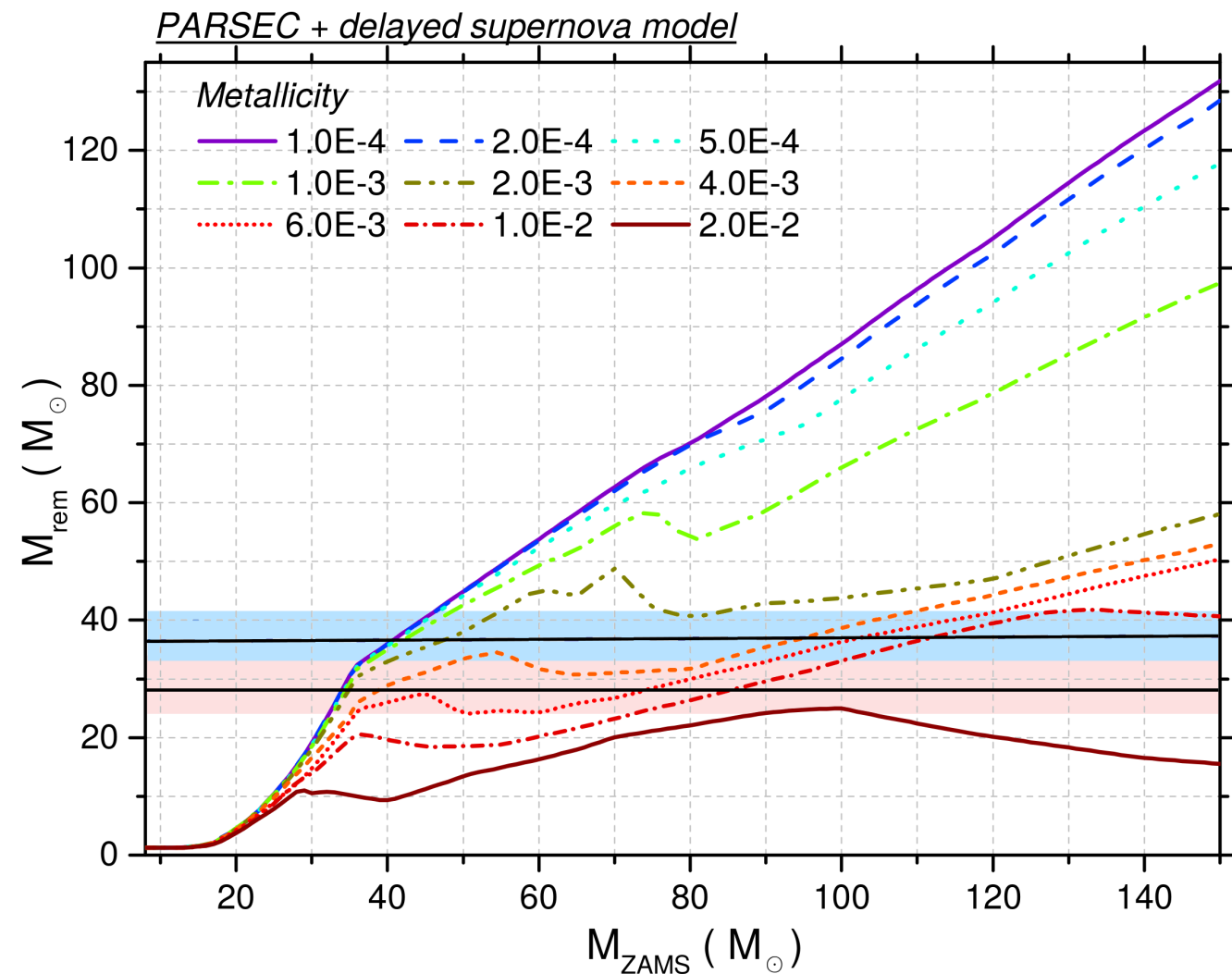


# Predicted Masses for Single Black Holes

Belczynski et al. (2010)



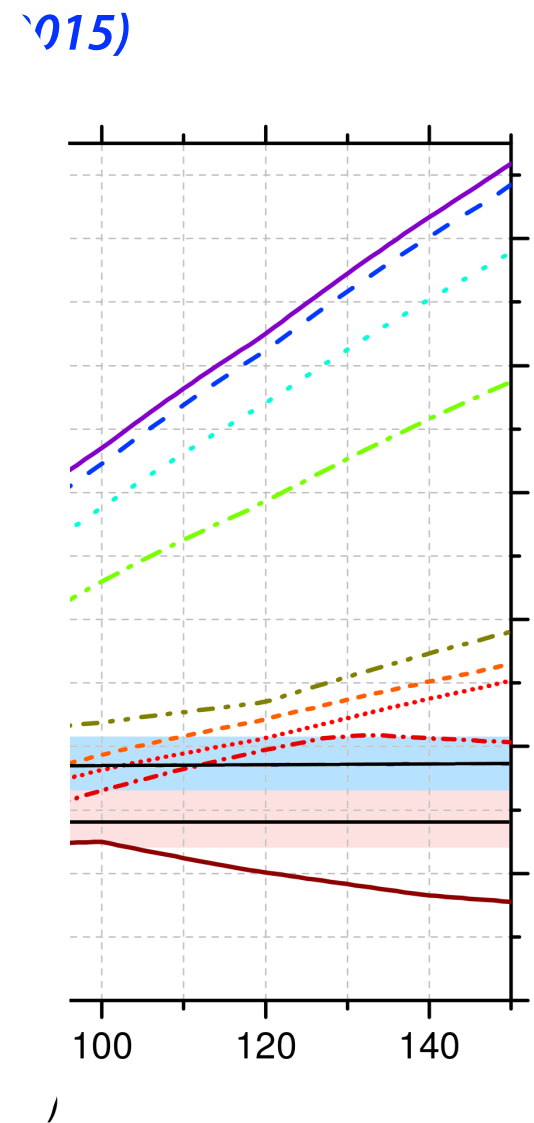
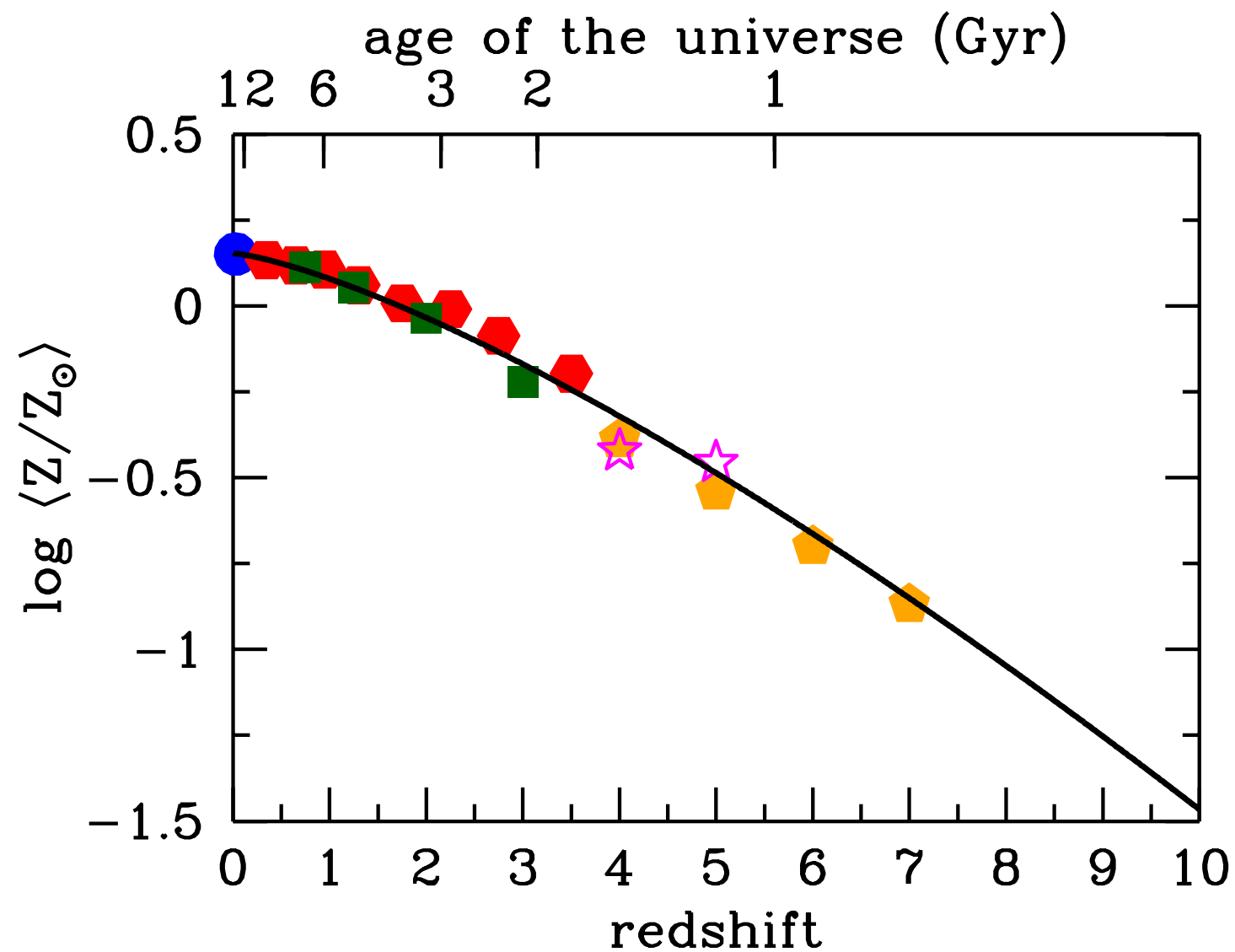
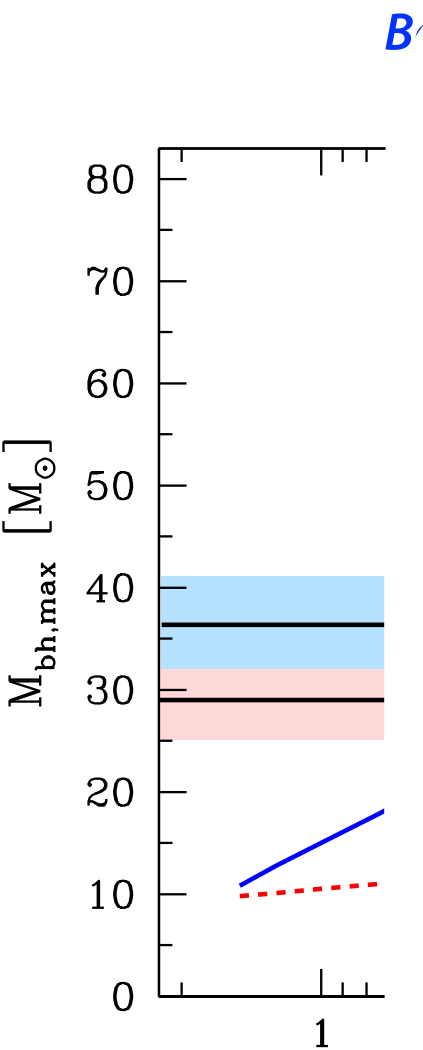
Spera et al. (2015)



Minimum metallicity:  **$Z < 0.003$**

Indirect formations channels for "heavy" black holes have been suggested, but are unlikely:  
e.g. BH+star mergers (Mapelli & Zampieri 2014; Ziosi 2014)  
or star+star mergers (Portegies Zwart et al. 1999; c.f. Glebbeek et al. 2009)

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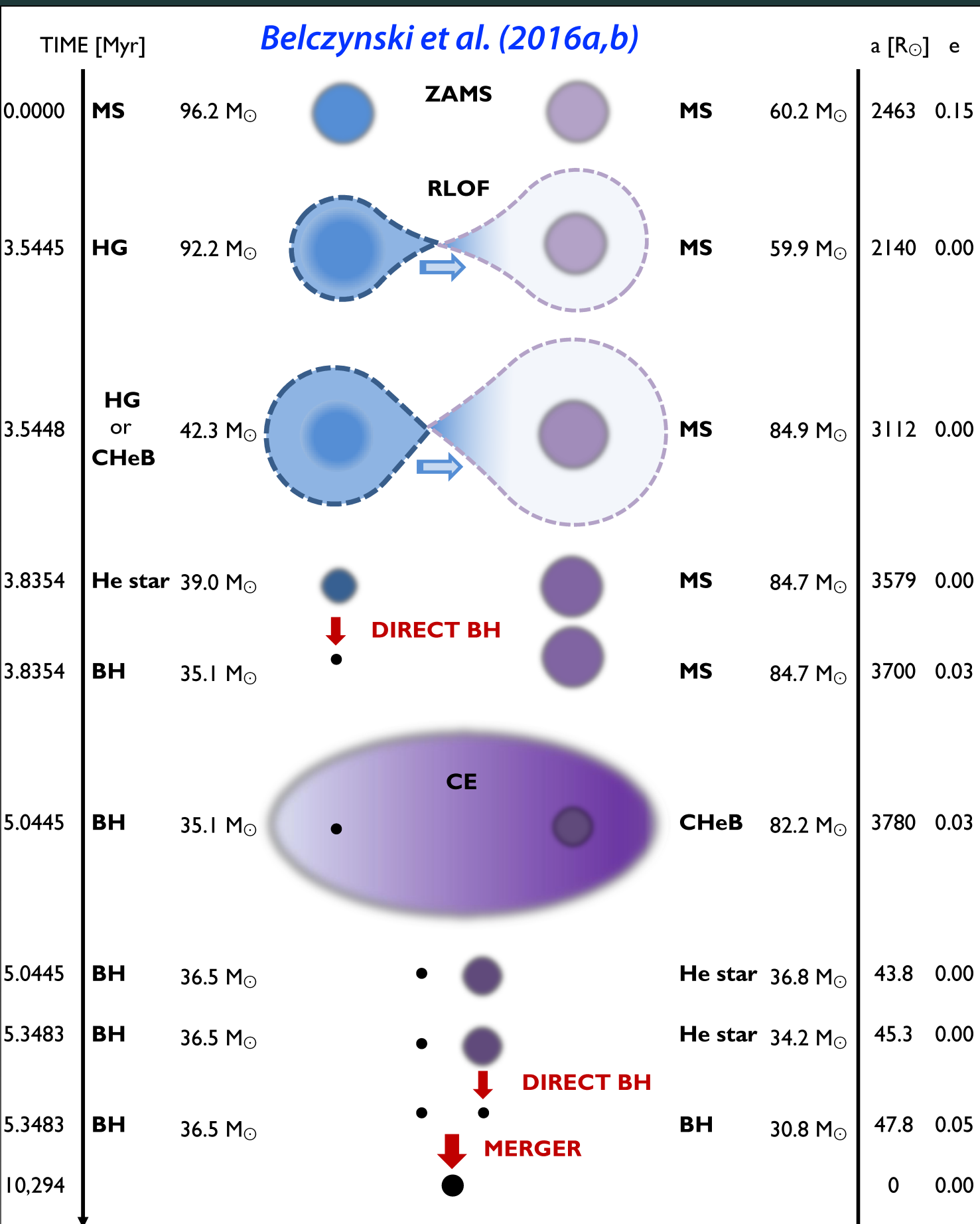
# *Formation Channels of Binary BHs*

◆ **“Classical” Field Binary Evolution**

◆ **“Chemically Homogeneous” Field Binary Evolution**

◆ **Dynamical Black Hole Binary Formation**

# "Classical" Field Binary Evolution



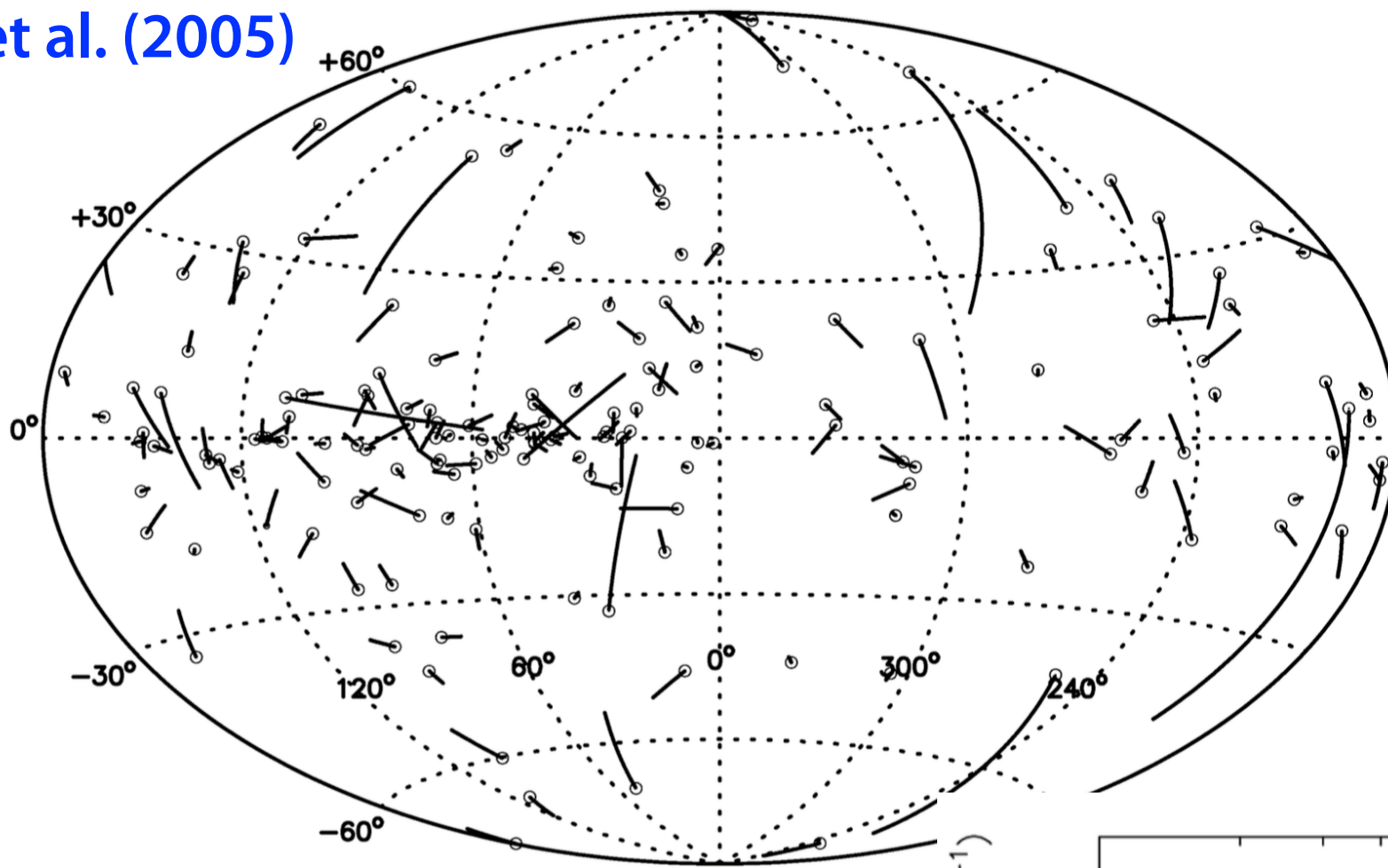
## Sources of uncertainty:

- (i) initial binary properties (masses, mass ratios, and orbital periods)
- (ii) stellar evolution models including metallicity-dependent wind mass loss
- (iii) mass and associated angular momentum transfer and loss from the systems
- (iv) treatment of tidal evolution
- (v) **common-envelope evolution**
- (vi) **BH natal kicks**



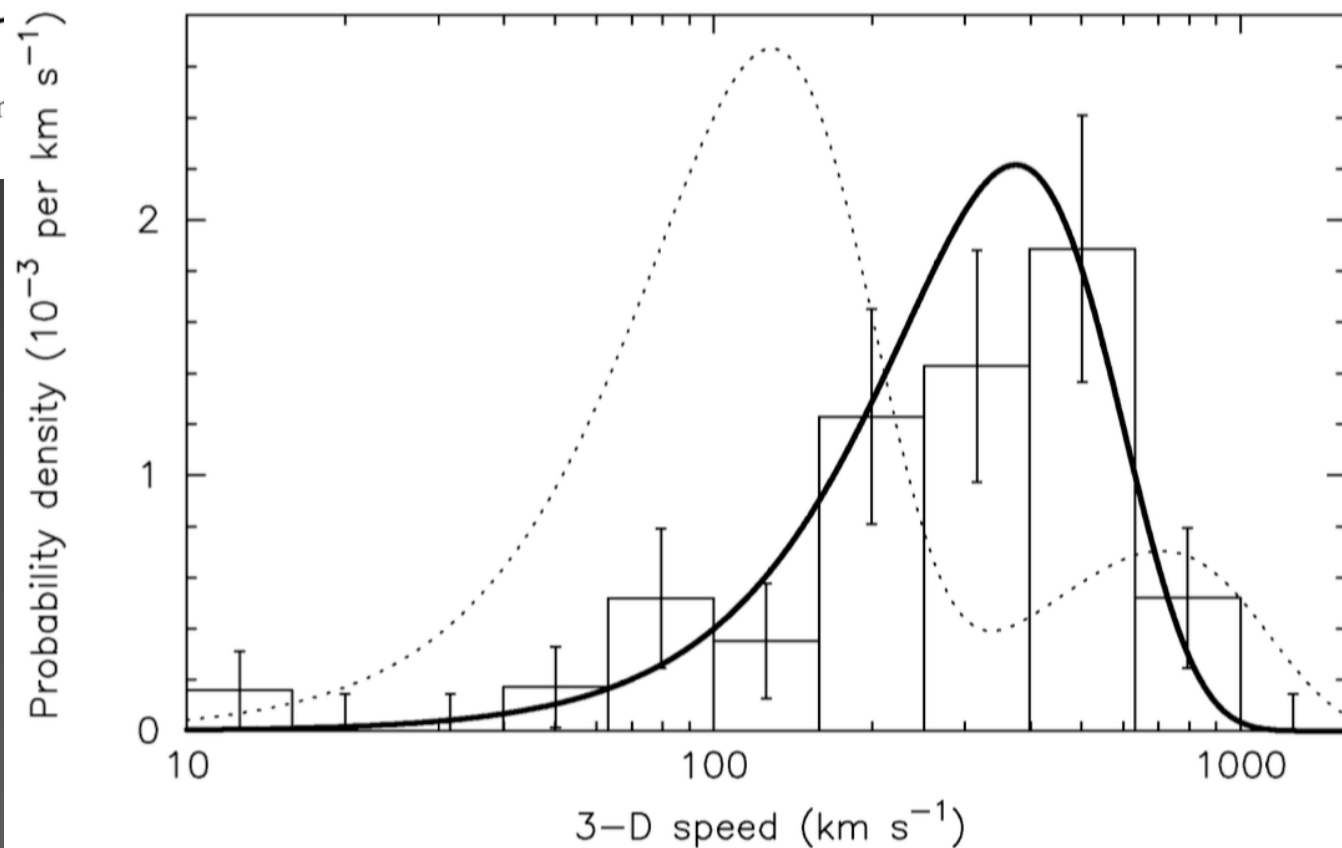
# Kicks in Neutron Stars

Hobbs et al. (2005)



**Figure 1.** The Galactic motions of the pulsars in our sample. A pulsar is currently at the position the last 1 Myr assuming no radial velocity.

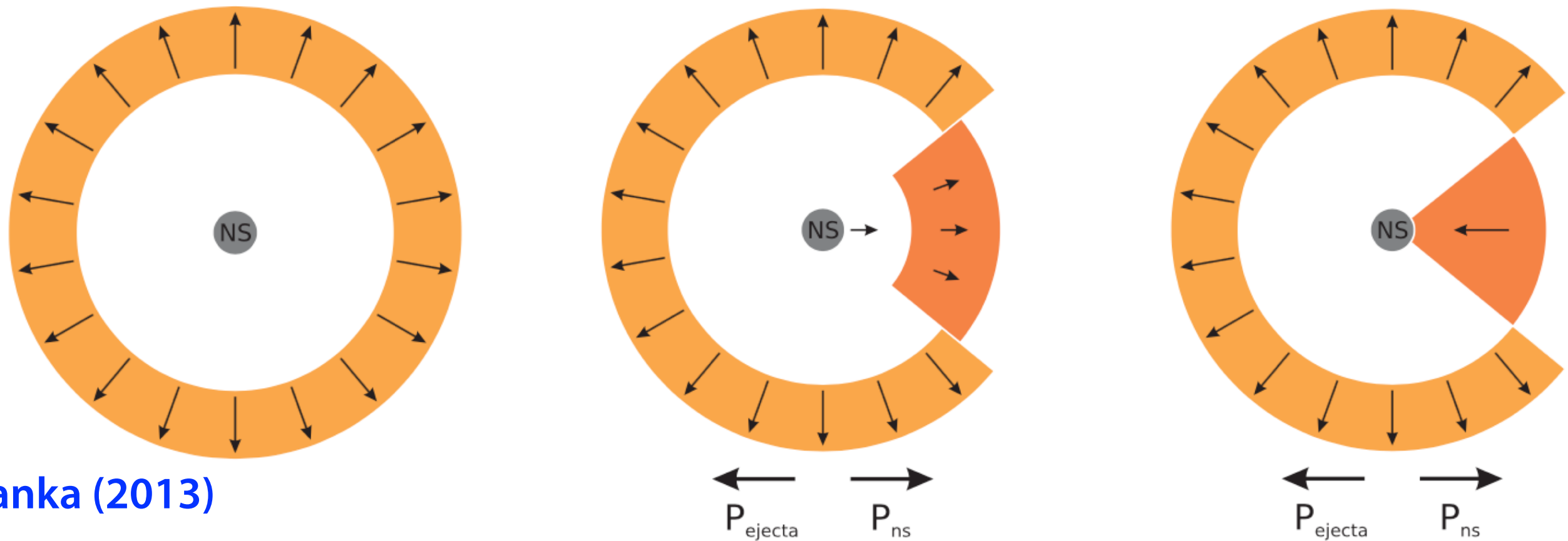
What about black holes????



# Supernova kick mechanisms

## 1) Asymmetries in the supenova ejecta

(large possible kicks  $\sim 100\text{km/s}$ , explosion required)



Janka (2013)

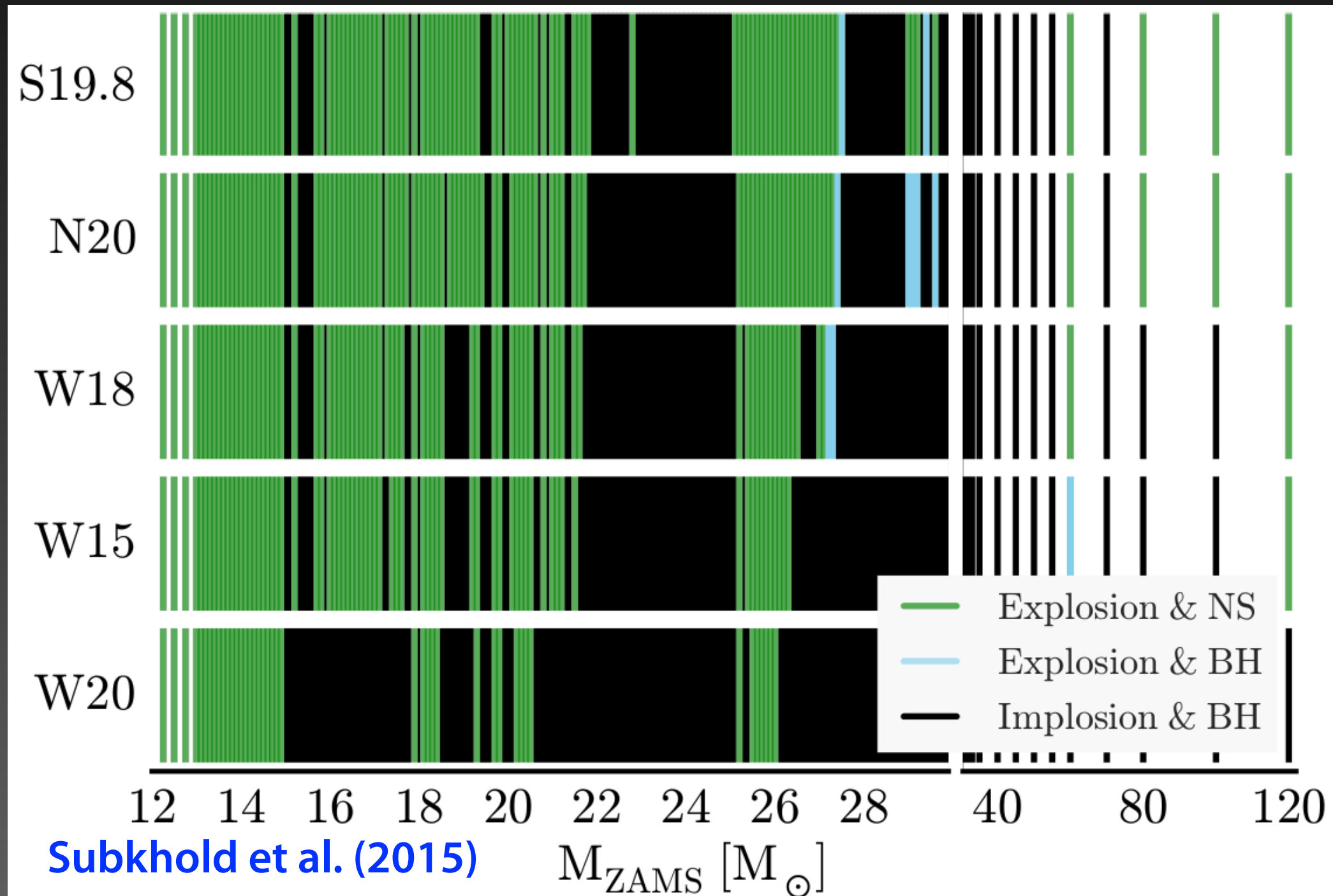
**Figure 1.** Schematic visualization of SN mass ejection and compact remnant kicks. In the left-hand image the ejecta are spherically symmetric and no recoil is imparted to the central object. Asymmetric mass ejection must lead to compact remnant motion with the opposite linear momentum (middle panel). The momentum can be transferred by gravitational forces and by direct hydrodynamical forces in the case of accretion. The latter are crucial when the protoneutron star is accreting fallback matter to collapse to a BH (right-hand panel). (Image taken from Scheck et al. (2006); reproduced with permission ©ESO.)

## 2) or Asymmetries in the neutrino emission

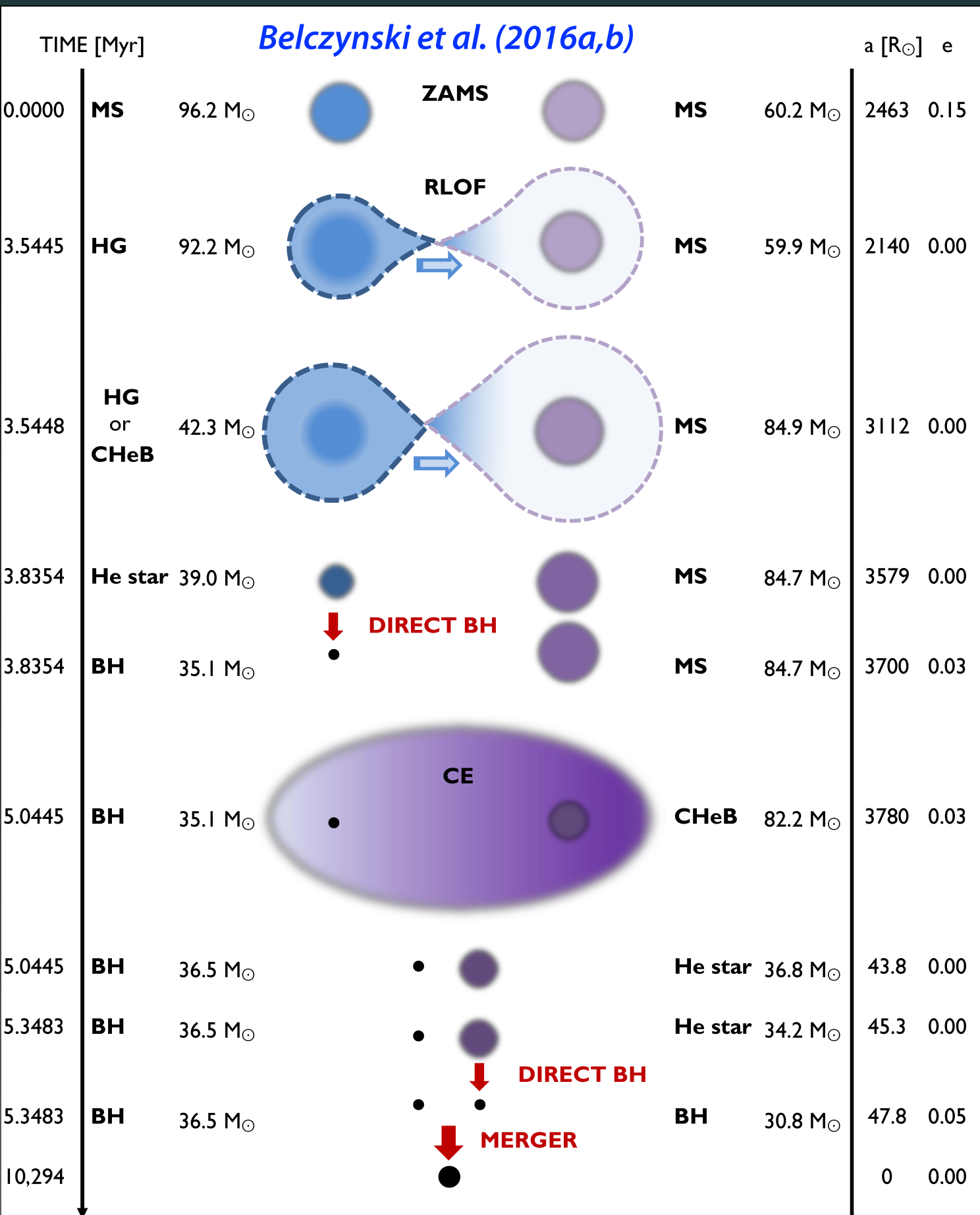
(small possible kicks  $\sim 10\text{km/s}$ , no explosion required)

# Why do we care?

- 1) **Significantly affect binary populations synthesis studies. E.g., prediction for BH mergers rates varies by two orders of magnitude! (Dominique et al., 2012)**
- 2) **Constraints core collapse physics.**



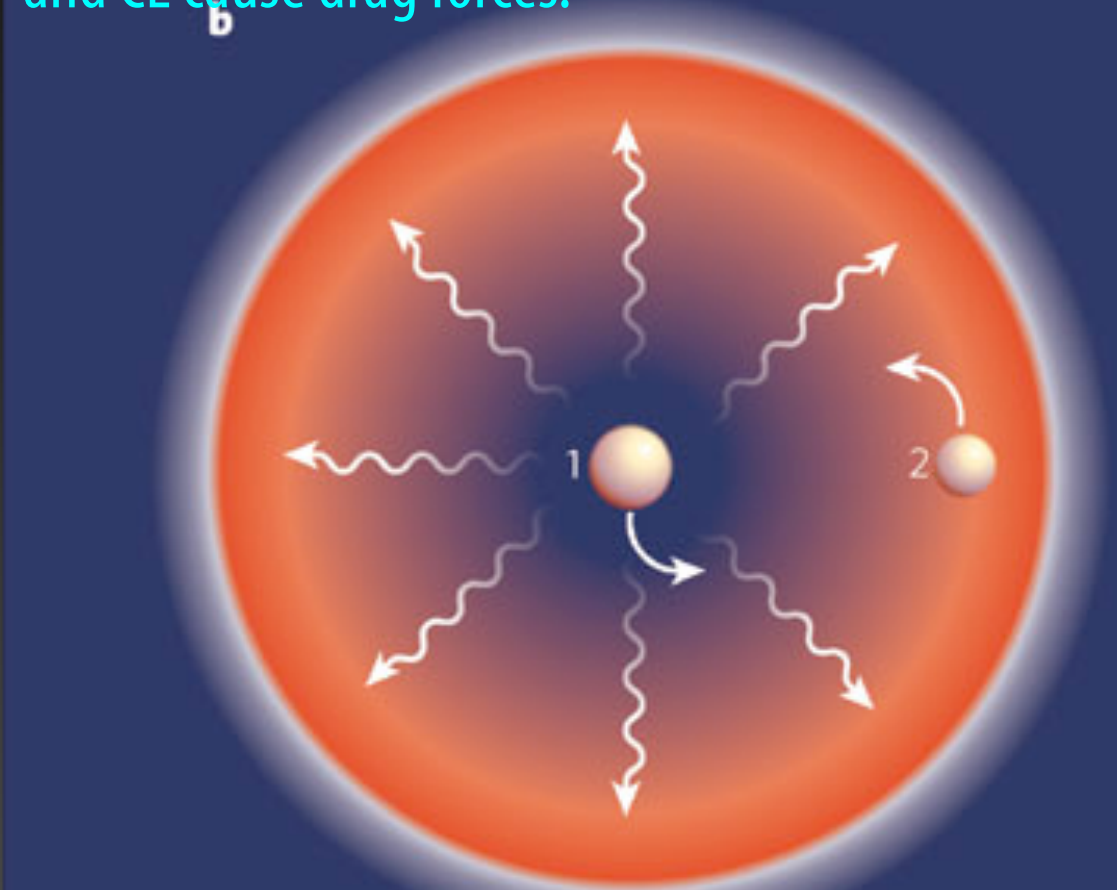
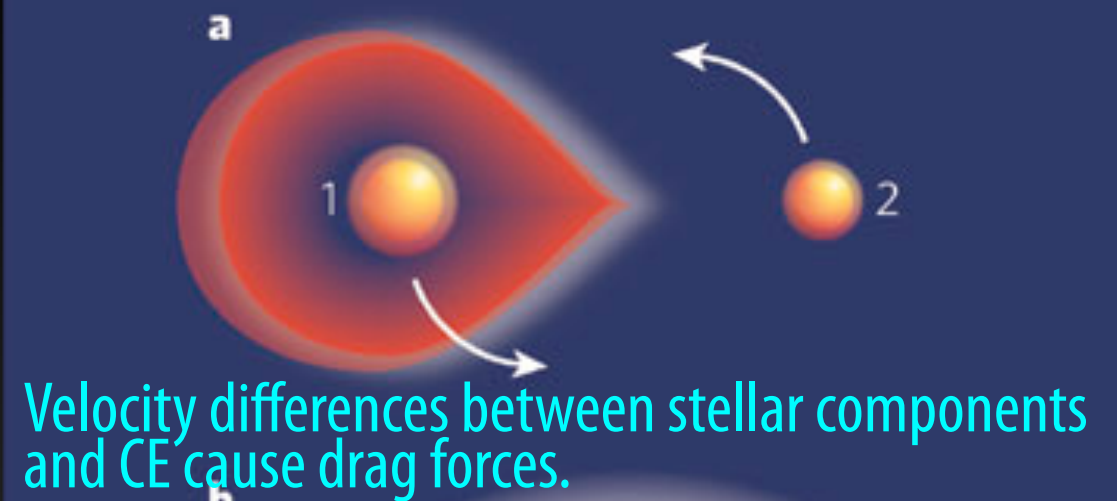
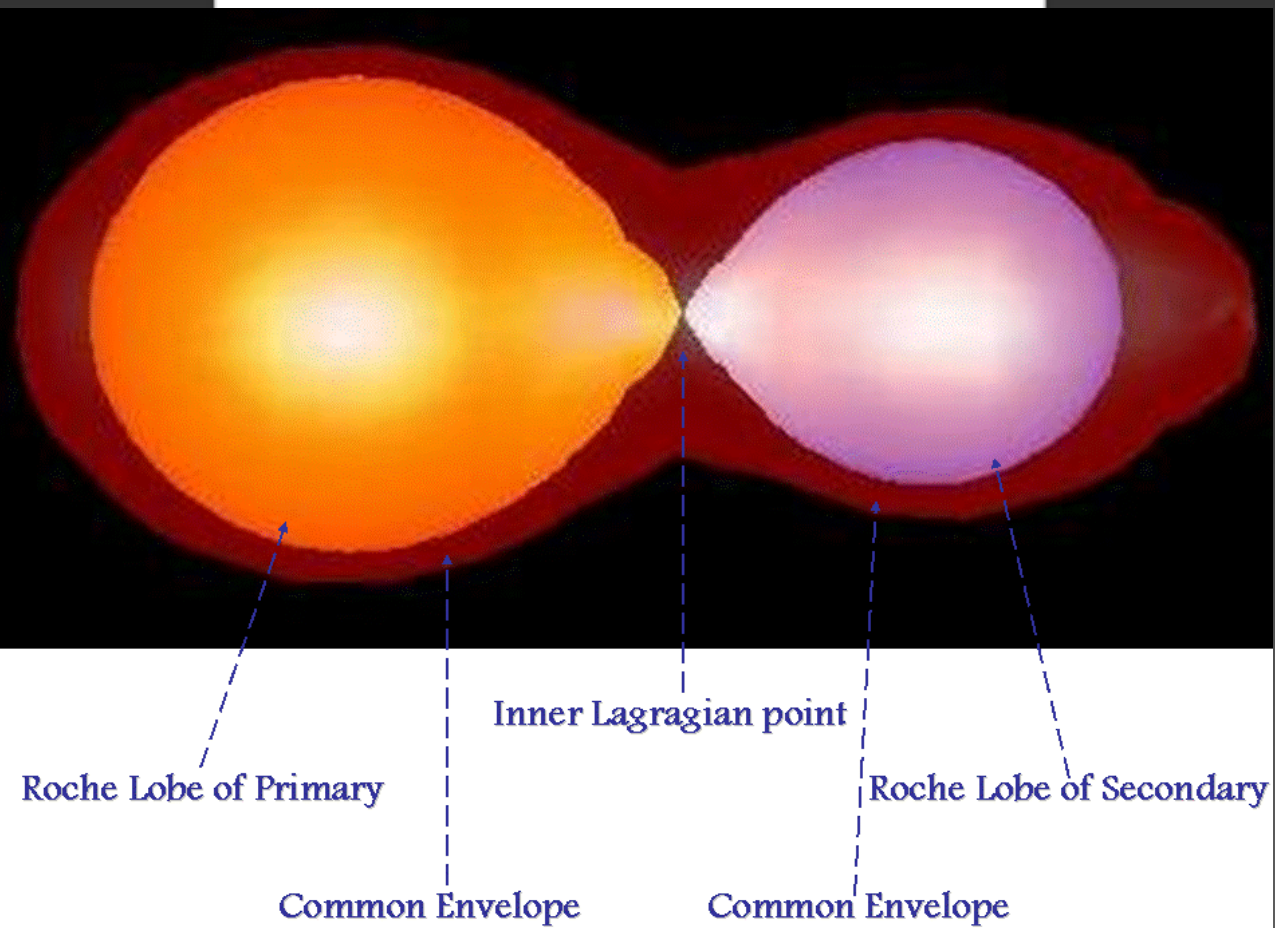
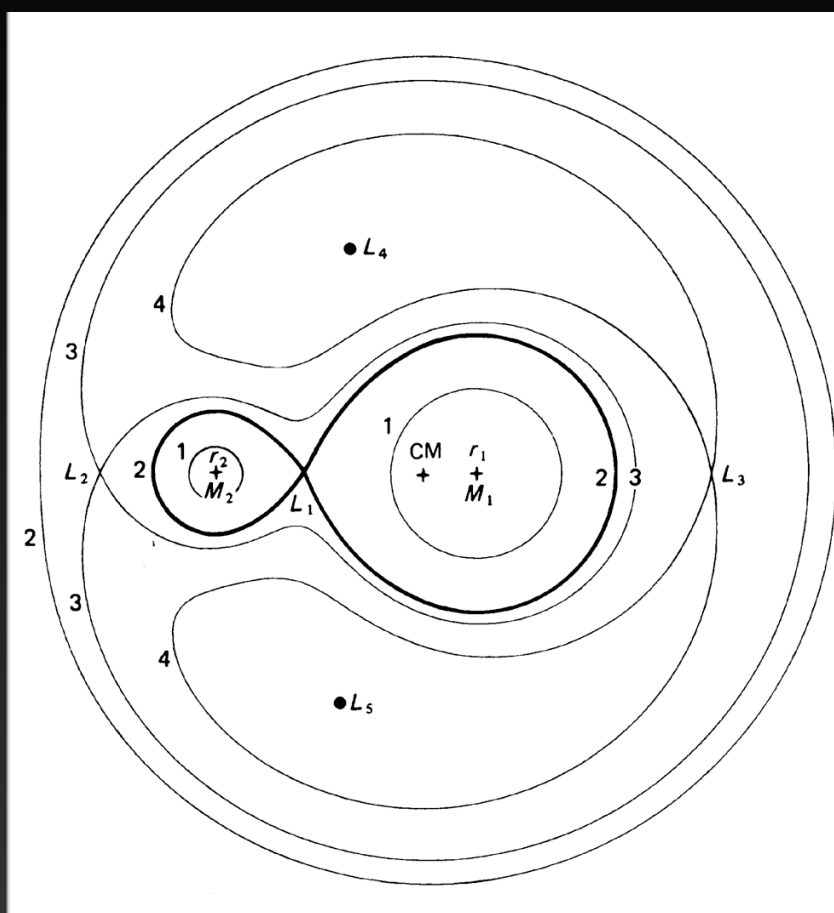
# "Classical" Field Binary Evolution



- Sources of uncertainty:**
- (i) initial binary properties (masses, mass ratios, and orbital periods)
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  - (v) common-envelope evolution**
  - (vi) BH natal kicks**



# What is the Common Envelope?

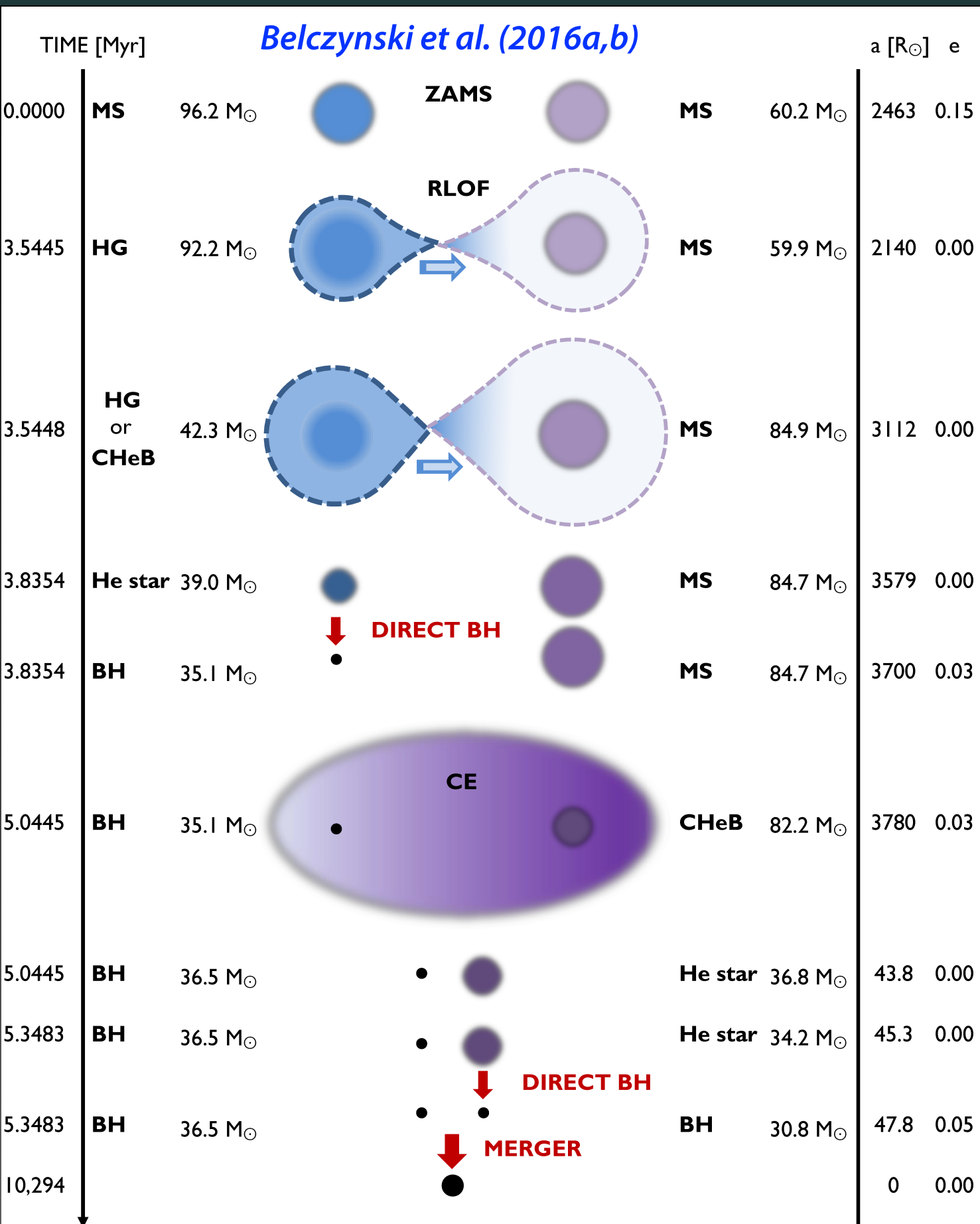


Orbital angular momentum and energy is transferred to the CE



Orbital shrinkage and CE ejection

# "Classical" Field Binary Evolution

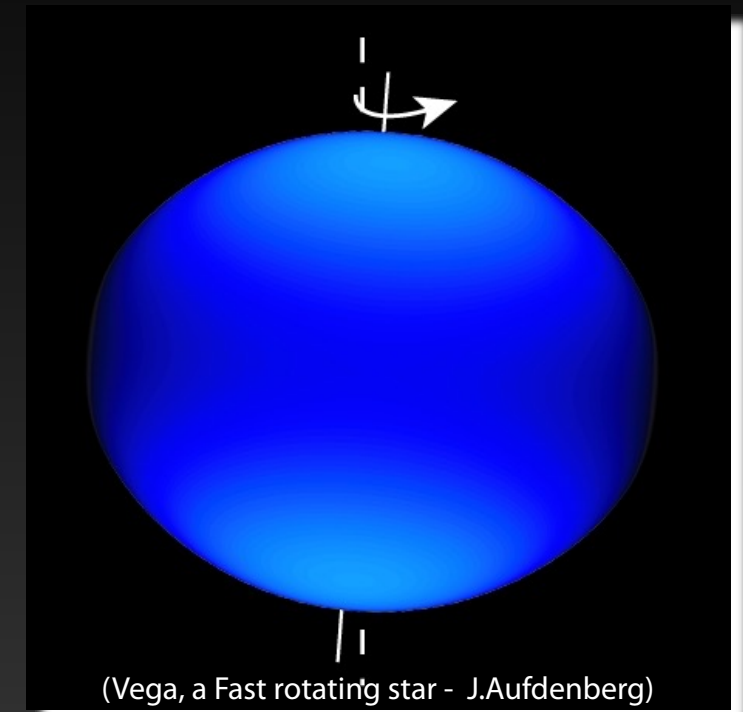
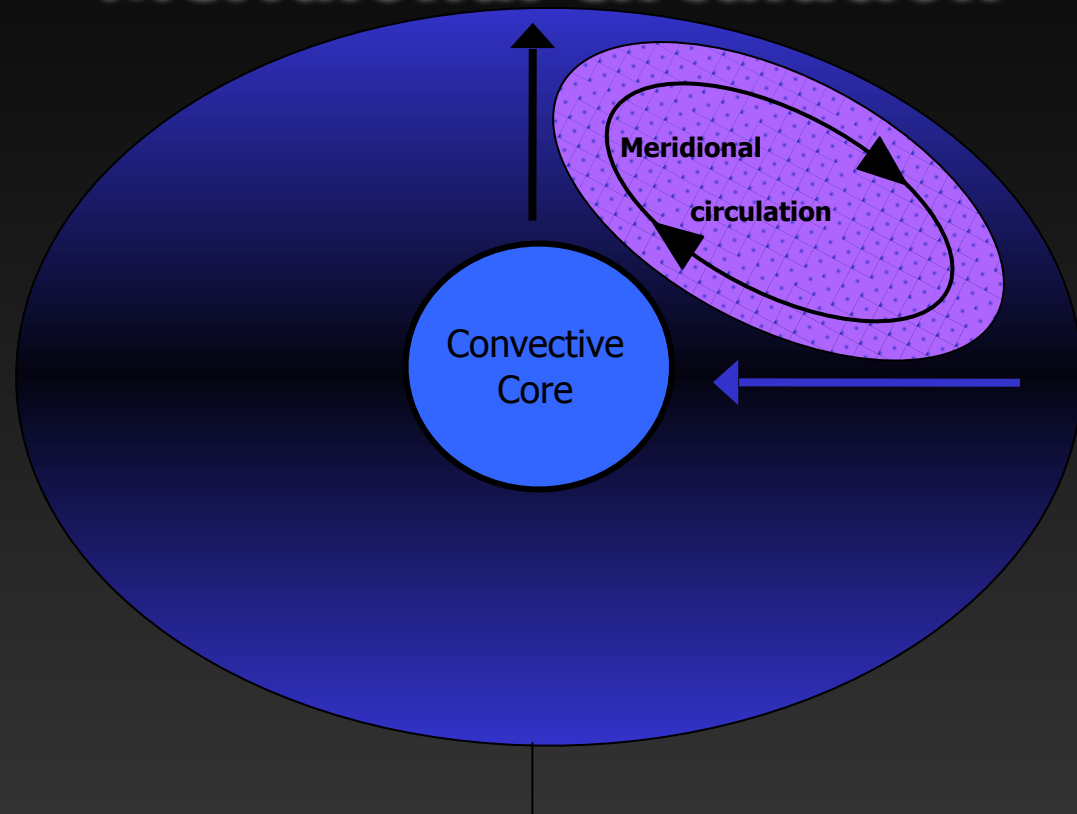


## Sources of uncertainty:

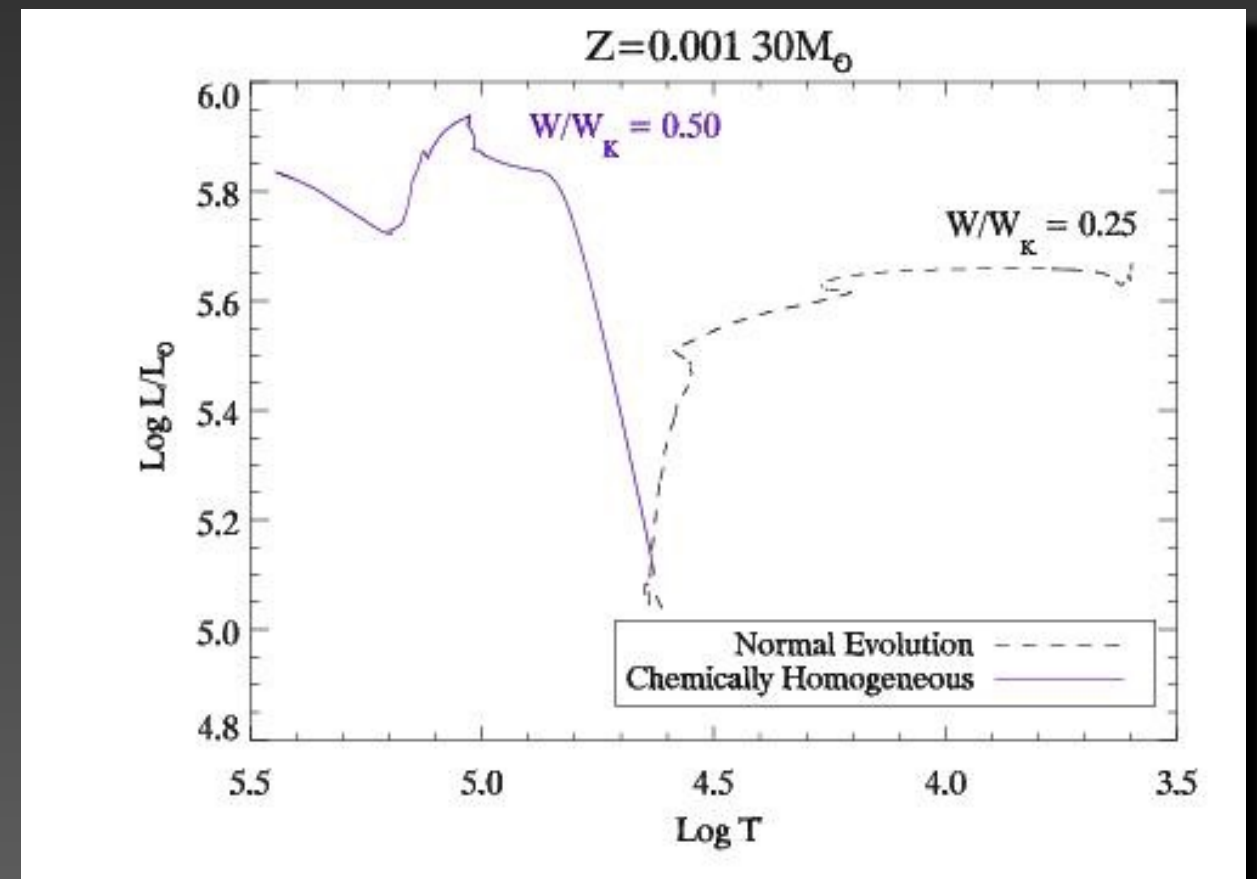
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# "Chemically Homogeneous" Evolution

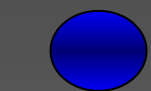
## Meridional Circulation



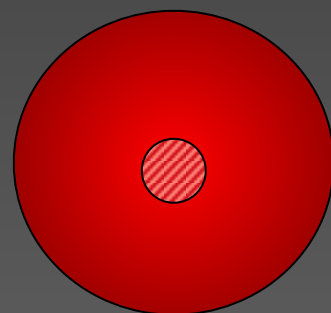
If rotationally induced chemical mixing during the main sequence occurs faster than the built-up of chemical gradients due to nuclear fusion the star evolves chemically homogeneous (Maeder, 1987)



$$\frac{\tau_{ES}}{\tau_{MS}} < 1$$



R ~ 1 R<sub>sun</sub>

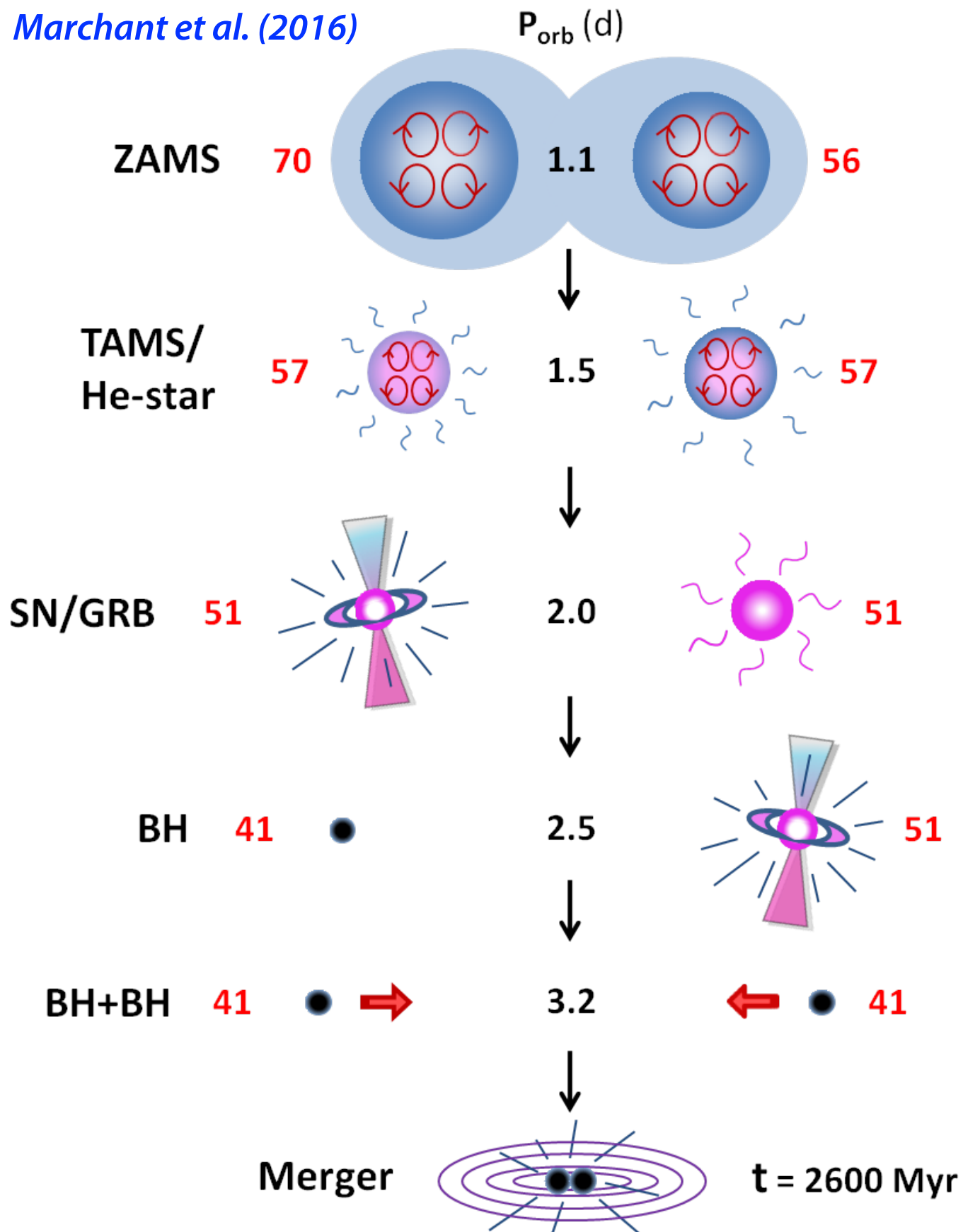


R ~ 1000 R<sub>sun</sub>



# "Chemically Homogeneous" Evolution

Marchant et al. (2016)



## Sources of uncertainty:

- (i) initial binary properties (masses, mass ratios, and orbital periods)
- (ii) **stellar evolution models including metallicity-dependent winds**
- (iii) mass and associated angular momentum transfer and loss from the systems
- (iv) **treatment of tidal evolution**
- (v) **internal mixing processes**
- (vi) BH natal kicks

de Mink et al 2009; Song et al. (2015); Mandel & de Mink (2016)

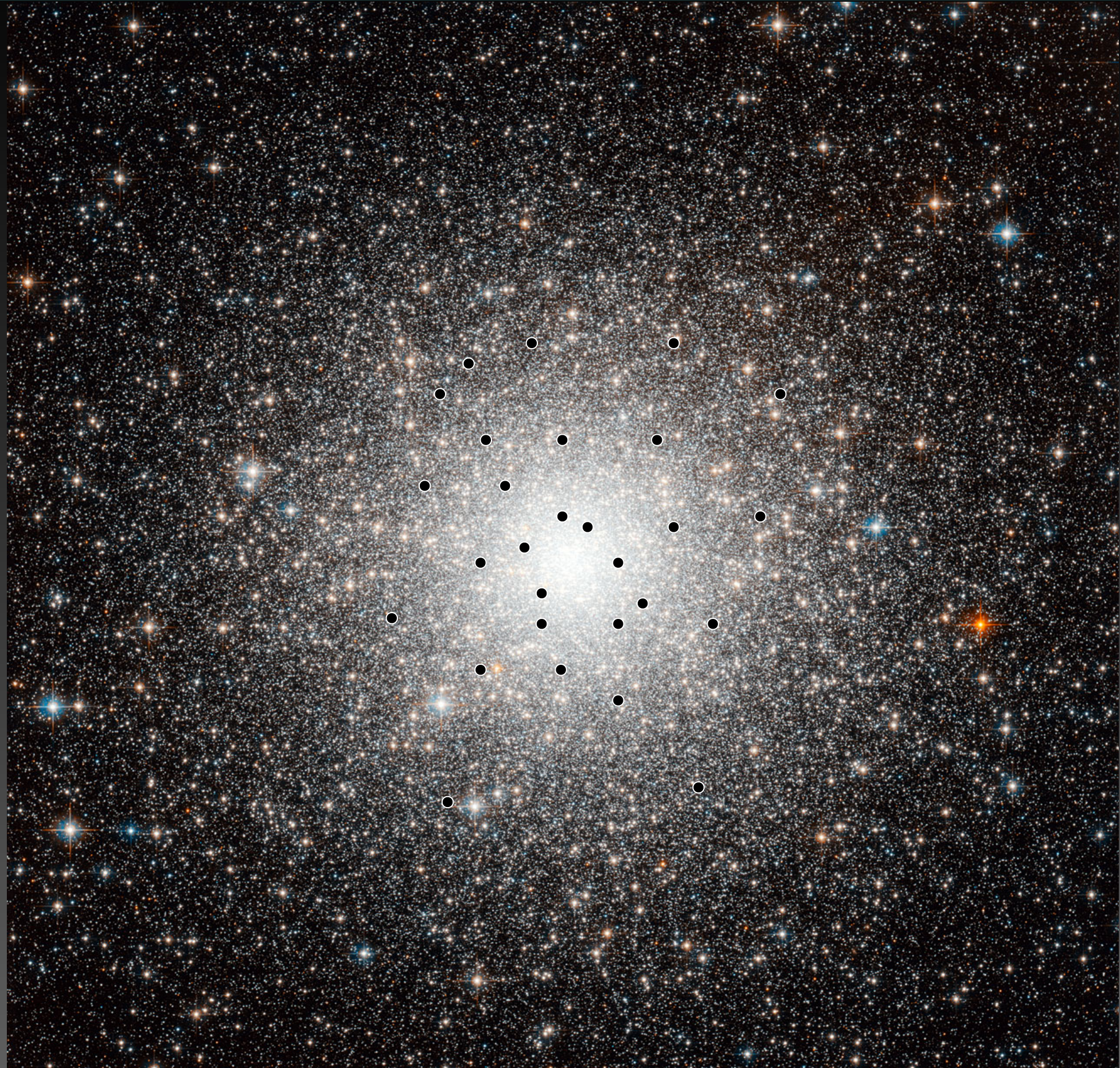


# *Dynamical formation of binary BHs*





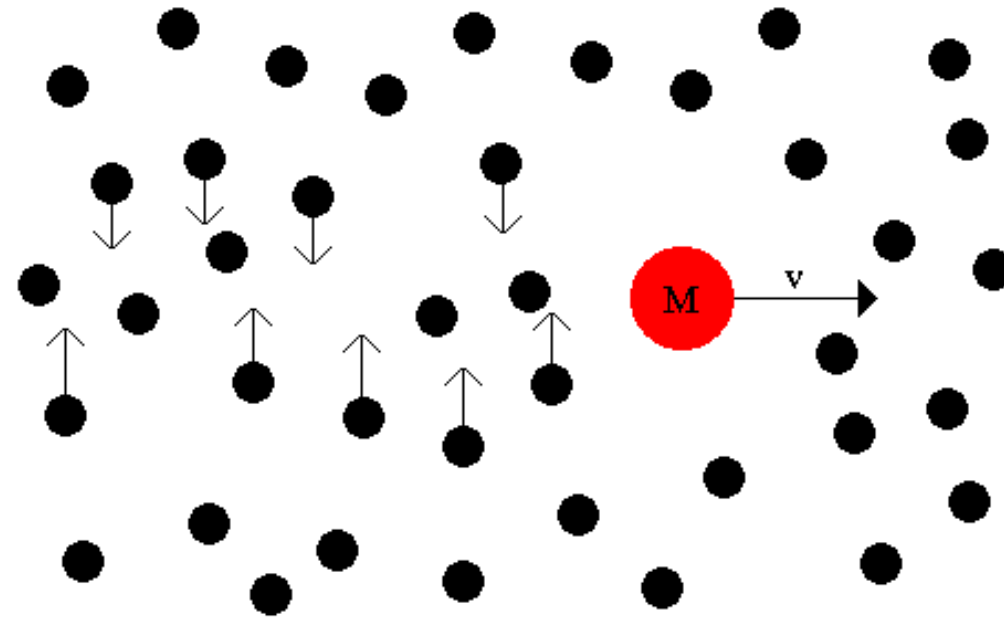
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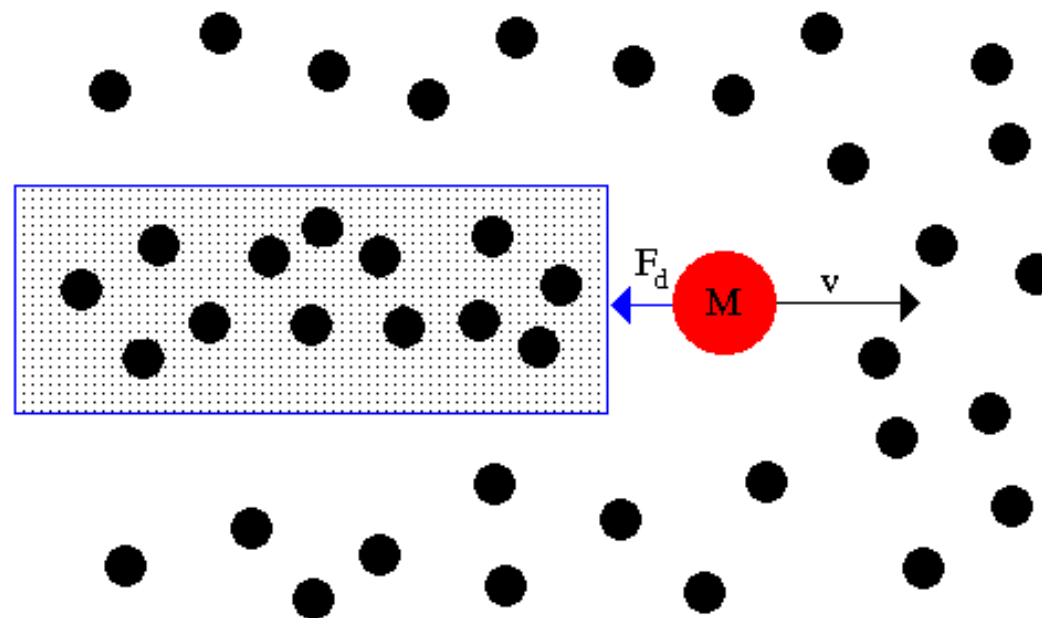


# Dynamical formation of binary BHs

consider a mass,  $M$ , moving through a uniform sea of stars. Stars in the wake are displaced inward.

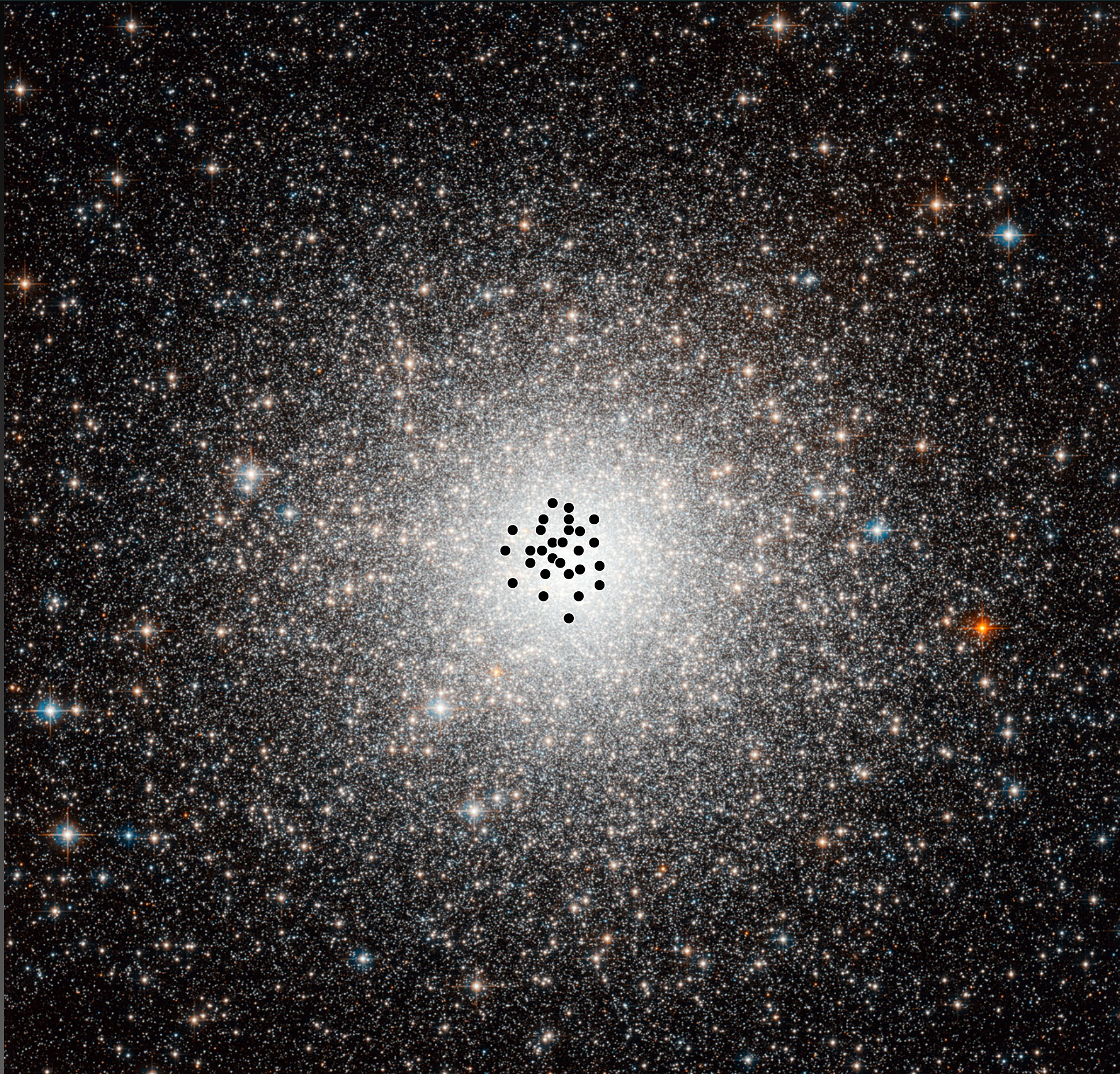


this results in an enhanced region of density behind the mass, with a drag force,  $F_d$  known as dynamical friction





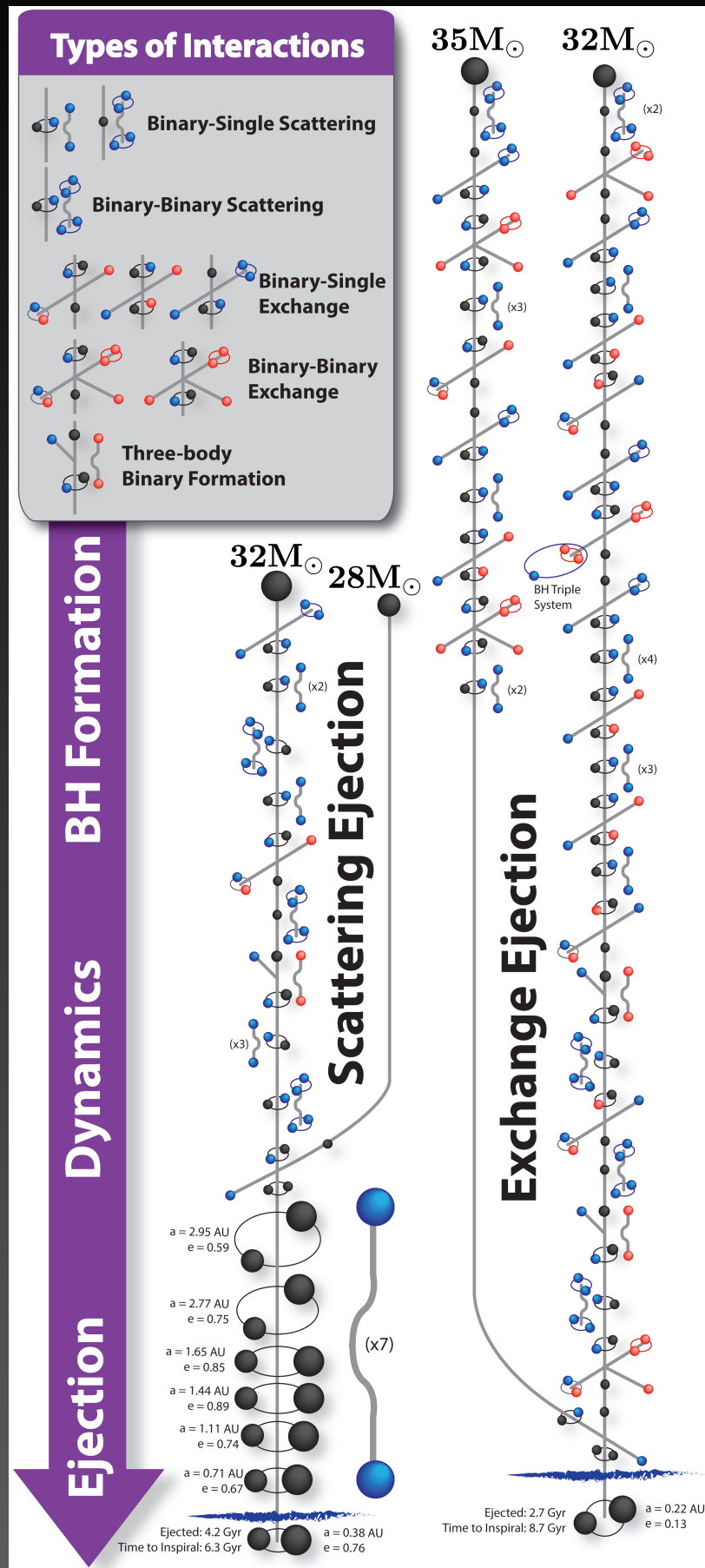
# *Dynamical formation of binary BHs*





# Dynamical formation of binary BHs

Rodriguez et al. (2015, 2016)



## Sources of uncertainty:

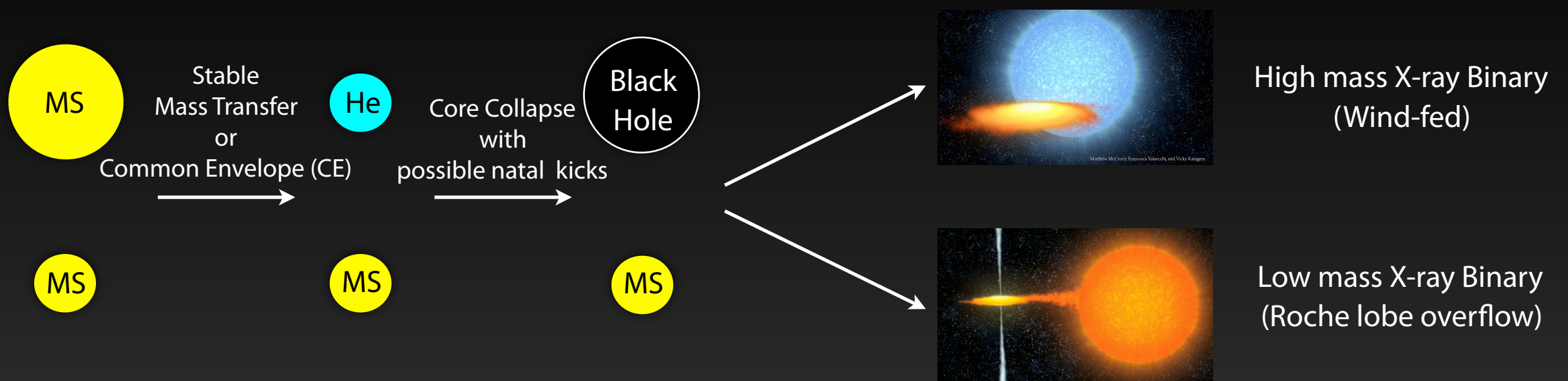
- (i) **Initial GC properties**
- (ii) initial binary properties (masses, mass ratios, and orbital periods)
- (iii) stellar evolution models including metallicity-dependent wind mass loss
- (iv) **BH natal kicks**
- (v) **Computational cost -small number statistics**

# Comparison of different channels

	Classical field binaries	Chemically homogeneous evolution	Dynamical Formation
Merger rate	$\sim 200 \text{ Gpc}^{-3}\text{yr}^{-1}$	$\sim 10 \text{ Gpc}^{-3}\text{yr}^{-1}$	$\sim 2-20 \text{ Gpc}^{-3}\text{yr}^{-1}$
01 detection rate	$2-470 \text{ yr}^{-1}$	$\sim 400 \text{ yr}^{-1}$	$20-700 \text{ yr}^{-1}$
total BH mass	20-80	57-103	32-64
mass ratio	broad range	$\sim 1$	close to $\sim 1$
PISN BH mass gap	yes	yes	maybe no...
BH Spins	Aligned	Aligned, high	Misaligned
Observable Progenitors	Ultraluminous X-ray sources	Maybe... WR+BH HMXBs	NO

Empirically inferred merger rate:  $2-400 \text{ Gpc}^{-3}\text{yr}^{-1}$

# Going back in time to constrain SN kicks



**Currently observed properties:** Donor's position on the H-R ( $T_{\text{eff}}$  vs.  $L$ ) diagram, BH and donor masses, orbital period, position in the galaxy and 3-D systemic velocity

**Step 1:** Model the mass-transfer phase (MESA; Paxton et al. 2011, 2013, 2015)

**Step 2:** Model the detached post-SN secular evolution

**Step 3:** Find the peculiar velocity post BH formation

**Step 4:** Compute the orbital dynamics involved in core collapse

**Derive limits on immediate progenitor mass and natal kicks magnitude**

**Step 5:** Compute priors based on population synthesis models and derive PDFs (BSE; Hurley et al. 2002)

# Results so far...

System	Observed Current BH mass ( $M_{\odot}$ )	Post-SN BH mass ( $M_{\odot}$ )	Immediate Progenitor mass ( $M_{\odot}$ )	Natal Kick (km/s)
<b>XTE J1118+480</b> (late-type, $P < 1d$ )	<b><math>8.0 \pm 2.0</math></b> (McClintock et al. 2001, Wagner et al. 2001, Gelino et al. 2006)	<b><math>6.0 - 10.0</math></b> (Fragos et al. 2009)	<b><math>6.5 - 20.0</math></b> (Fragos et al. 2009)	<b><math>80 - 310</math></b> (Fragos et al. 2009)
<b>GRO J1655-40</b> (early-type, $P > 1d$ )	<b><math>6.3 \pm 0.5</math></b> (Greene et al. 2001) <b><math>5.4 \pm 0.3</math></b> (Beer & Podsiadlowski 2002)	<b><math>5.5 - 6.3</math></b> (Willems et al. 2005) <b><math>3.5 - 5.4</math></b> (Willems et al. 2005)	<b><math>5.5 - 11.0</math></b> (Willems et al. 2005) <b><math>3.5 - 9.0</math></b> (Willems et al. 2005)	<b><math>30 - 160</math></b> (Willems et al. 2005) <b><math>\leq 210</math></b> (Willems et al. 2005)
<b>LMC X-3</b> (early-type, $P > 1d$ )	<b><math>6.98 \pm 0.56</math></b> (Orosz et al. 2014)	<b><math>6.4 - 8.2</math></b> (Sorensen, TF et al. 2017)	<b><math>11.1 - 18.0</math></b> (Sorensen, TF et al. 2017)	<b><math>\leq 600</math></b> (Sorensen, TF et al. 2017)
<b>GRS 1915+105</b> (late-type, $P > 1d$ )	<b><math>12.4 \pm 2.0</math></b> (Reid et al. 2014)	<b><math>6.5 - 13.5</math></b> (Kimball et al. 2017, in prep.)	<b><math>13.0 - 18.0</math></b> (Kimball et al. 2017, in prep.)	<b><math>\leq 150</math></b> (Kimball et al. 2017, in prep.)
<b>V404 Cyg</b> (late-type, $P > 1d$ )	<b><math>9.0 \pm 0.6</math></b> (Khargharia et al. 2010)	<b><math>7.5 - 9.5</math></b> (Kimball, TF et al. 2017, in prep.)	<b>COMING SOON</b>	<b>COMING SOON</b>
<b>Cygnus X-1</b> (wind-fed, high mass)	<b><math>14.81 \pm 0.98</math></b> (Orosz et al. 2011)	<b><math>13.8 - 15.8</math></b> (Wong et al. 2012)	<b><math>15.0 - 20.0</math></b> (Wong et al. 2012)	<b><math>\leq 77</math></b> (Wong et al. 2012)
<b>IC10 X-1</b> (wind-fed, high mass)	<b><math>23.0 - 34.0</math></b> (Orosz et al. 2011)	<b><math>23.0 - 34.0</math></b> (Wong et al. 2014)	<b><math>&gt; 31.0</math></b> (Wong et al. 2014)	<b><math>\leq 130</math></b> (Wong et al. 2014)
<b>M33 X-7</b> (wind-fed, high mass)	<b><math>13.5 - 20.0</math></b> (Orosz et al. 2007)	<b><math>13.5 - 14.5</math></b> (Valsecchi et al. 2010)	<b><math>15.0 - 16.1</math></b> (Valsecchi et al. 2010)	<b><math>\leq 850</math></b> (Valsecchi et al. 2010)

Willems et al. (2005); Fragos et al (2009); Valsecchi et al. (2010); Wong et al. (2012); Wong et al. (2014)  
Sørensen, TF et al. (2017); Kimball, Sørensen, TF et al. (2017, in prep.)

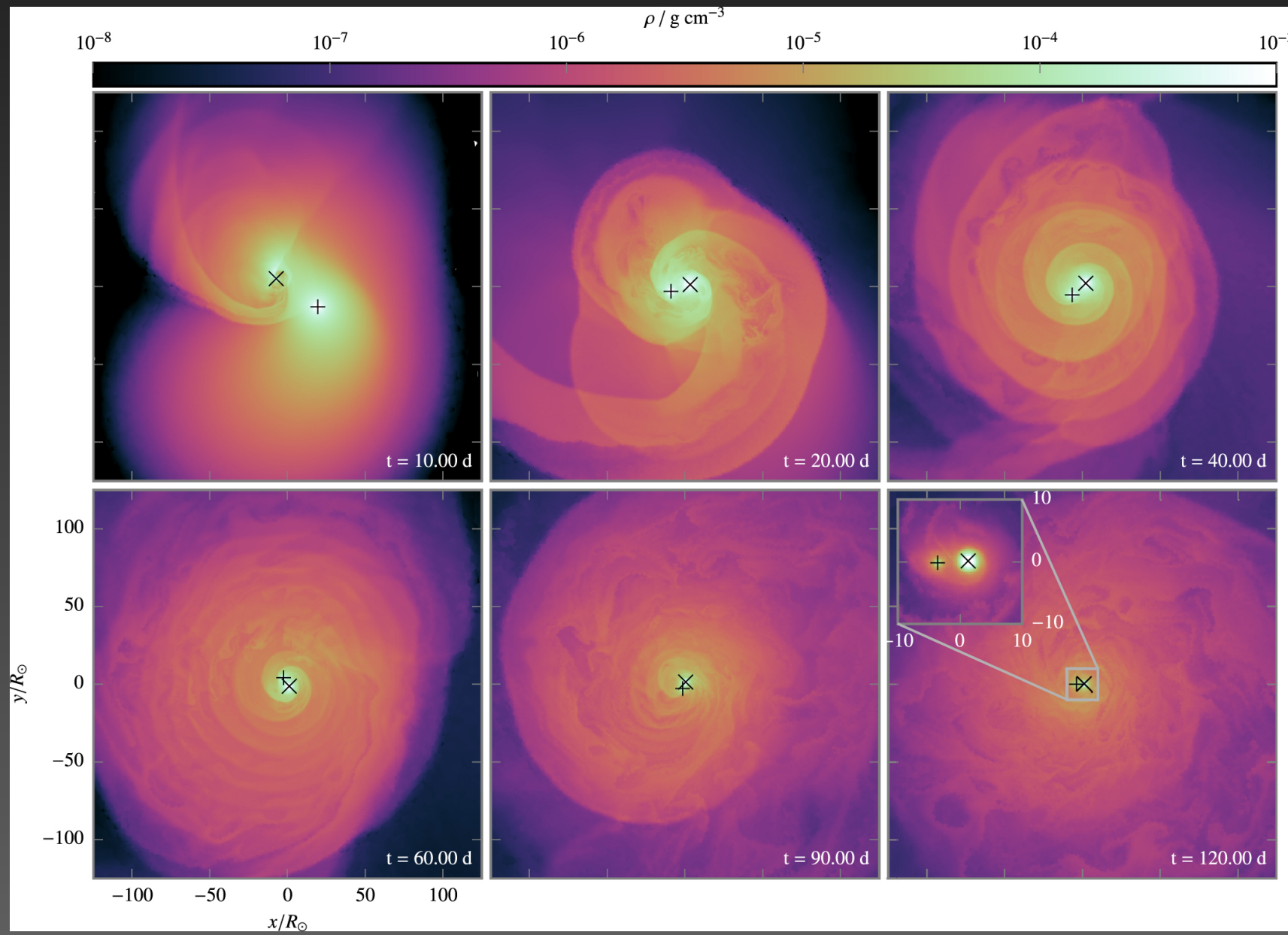


# The common envelope phase

## The energy budget prescription

$$\alpha_{\text{CE}} \left( \frac{GM_{\text{don,fin}} M_{\text{acc}}}{2A_{\text{fin}}} - \frac{GM_{\text{don,int}} M_{\text{acc}}}{2A_{\text{int}}} \right) = \frac{GM_{\text{don,int}} M_{\text{don,env}}}{\lambda R_{\text{don,lob}}}$$

## The “muscle” approach



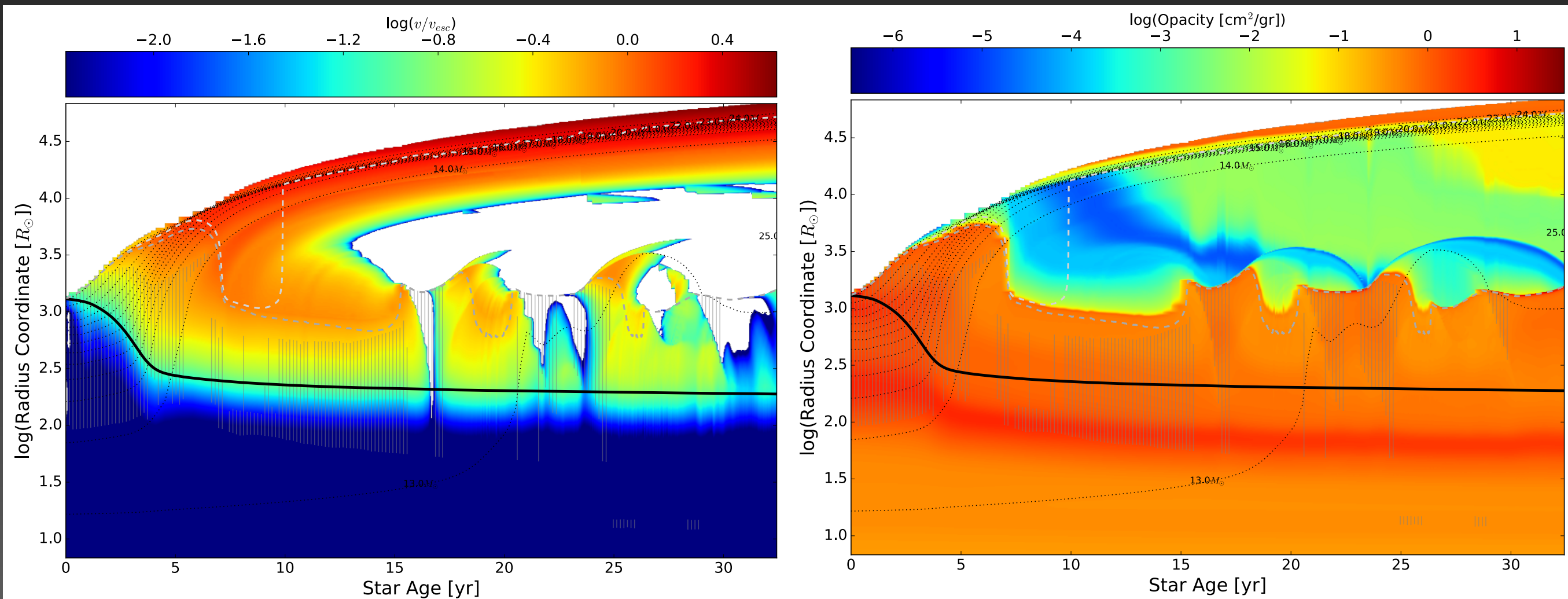
Ohlman et al. 2015; Ivanova et al. 2013



# The common envelope phase

## The 1D approach:

- Rich physics: Energy, radiation and angular momentum transport
- Computational efficient
- Applicable to progenitor of coalescing double compact objects



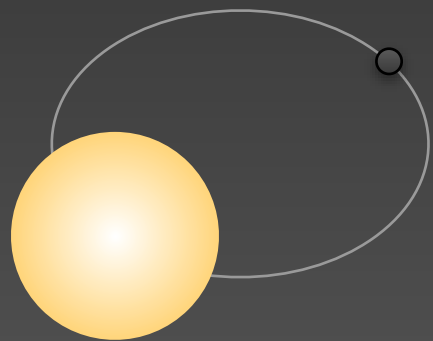
# Summary

- LIGO detections provide the first direct evidence for the existence of “heavy” BH and binary BHs
- All three main formation channels predict merger rates and properties (marginally) consistent with LIGO detections so far. A population of 100s of binary BHs will be able to do this.
- BH spin and binary mass ratios will likely be the differentiating factors
- Large BH kicks “kill” all three channels
- Coalescing binary neutron star are believed to be formed via the “classical” channel. Advances in our understanding of the common envelope phase are badly needed!

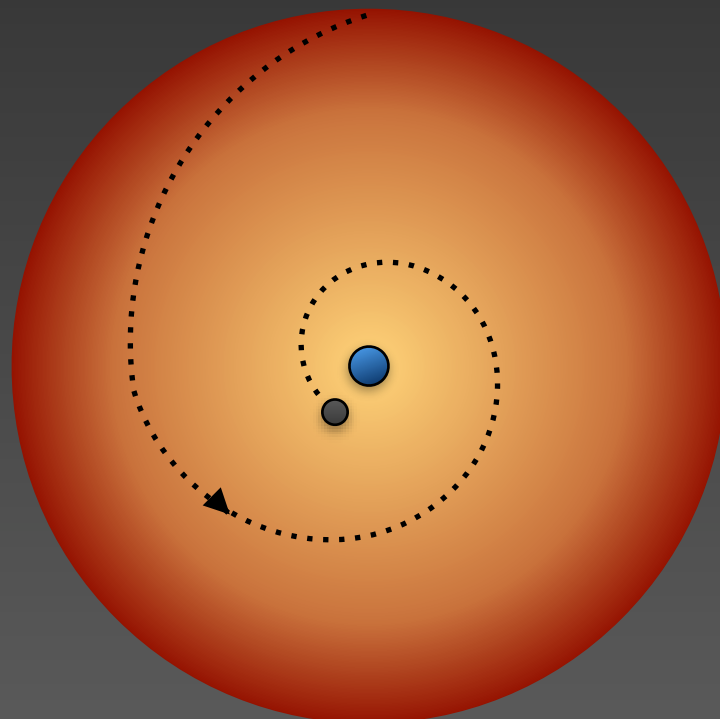
# *The common envelope phase*

common envelope outcomes:  
tightened binary or merger

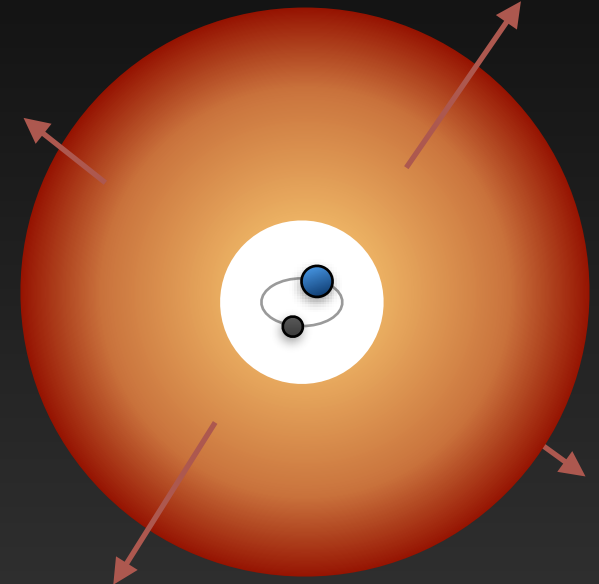
Evolution to contact



Drag on surrounding gas tightens the orbit



envelope is ejected  
and orbit stabilizes



envelope is retained  
and binary merges

