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## Introduction to cosmology of early universe

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# Outline

Basics

Physics in the early universe

Inflation

Dark energy

Dark matter

Baryon asymmetry

## Basics

Physics in the early universe

Inflation

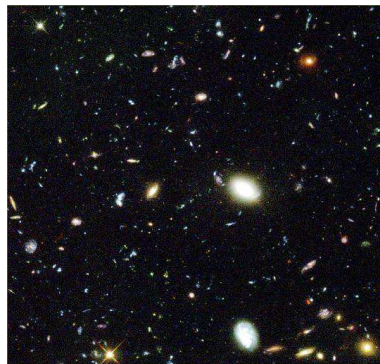
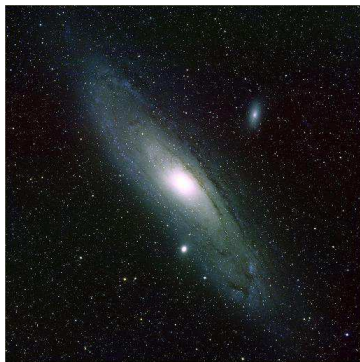
Dark energy

Dark matter

Baryon asymmetry

# The world of galaxies

Dimension  $\approx 20$  kpc

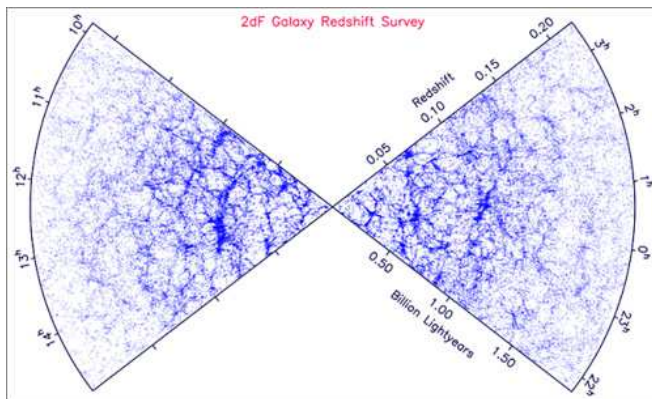


Characteristic intergalactic distance:

$$\text{Megaparsec (Mpc)} \approx 3.26 \times 10^6 \text{ light yr} \approx 3 \times 10^{24} \text{ cm}$$

# Cosmological principle

The universe is homogeneous and isotropic on large spatial scales ( $\gtrsim 100$  Mpc)



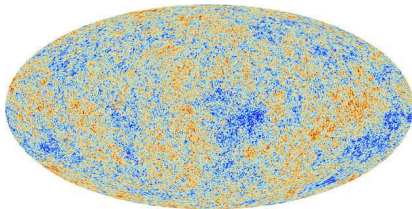
# Temperature of cosmic microwave background (CMB) as a function of direction

Signifies isotropy of the early universe

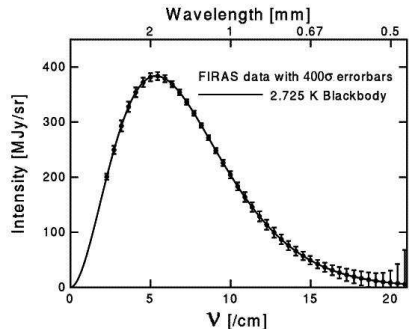
Everywhere isotropic universe is also homogeneous !

$$T = 2.725 \pm 0.002 \text{ K}$$

$$\frac{\Delta T}{T} \sim 10^{-5}$$

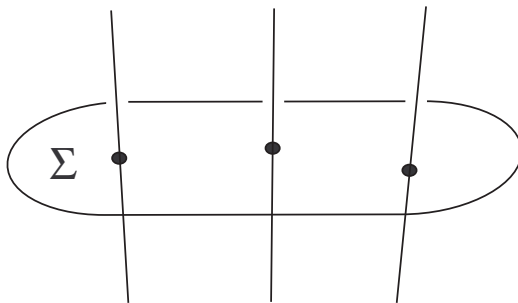


Planck spectrum of CMB



## Fundamental (isotropic) observers

These are conventional observers for whom CMB is maximally isotropic



Centers of galaxies as “almost” isotropic observers ( $v \sim 10^{-3}c$ )

*Copernican principle and cosmological principle*

# The universe is expanding

remaining homogeneous and isotropic !

The scale factor:

$$r(t) = a(t) r_0$$

Hubble law:  $\dot{r} = Hr$

Hubble parameter:  $H = \frac{\dot{a}}{a}$

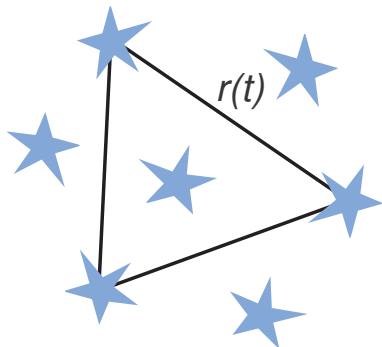
Hubble constant:

$$H_0 \approx 70 \text{ km/s Mpc}$$

Age of universe:

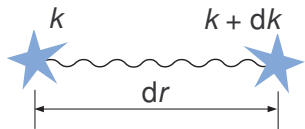
$$t_0 \sim H_0^{-1} \simeq 10^{10} \text{ yr}$$

Velocity  $v = \dot{r}$  is measured by **redshift** of spectral lines in remote galaxies





## Cosmological redshift



Performing Lorentz transformation with  $v_{\text{rel}} \ll c$ , we have (*exercise*)

Relative velocity:

$$v_{\text{rel}} = Hdr \ll c = 1$$

$$dk = -kHdt$$

$$\frac{dk}{k} = -Hdt = -\frac{da}{a} \Rightarrow k \propto \frac{1}{a}$$

For photons,  $k = \hbar\omega/c$ , which determines **redshift**  $z$ :

$$1 + z \equiv \frac{\omega_{\text{em}}}{\omega_{\text{obs}}} = \frac{a_0}{a}$$

# Measures of cosmological time

It can be “marked” in different ways:

- Physical time  $t$  by conventional clocks of isotropic observers
- Scale factor  $a(t)$
- Cosmological redshift  $z = a_0/a(t) - 1$

# General theory of relativity

The scale factor is an element of space-time metric:

$$ds^2 = dt^2 - a^2(t) \frac{d\mathbf{r}^2}{(1 + \kappa \mathbf{r}^2/4)^2}$$

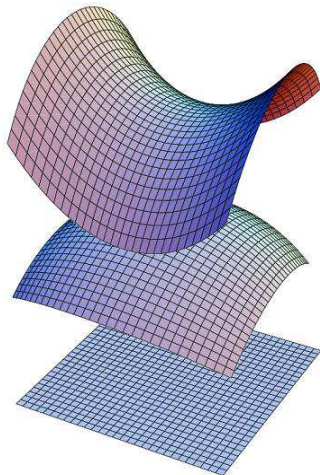
$$\kappa = \pm 1/r_0^2$$

The parameter  $0 < r_0 \leq \infty$  describes  
**curvature of space**

Current constraint:

$$a_0 r_0 \gtrsim \frac{1}{0.1 H_0} \simeq 43 \text{ Gpc}$$

and this curvature is practically  
insignificant (the space is Euclidean)

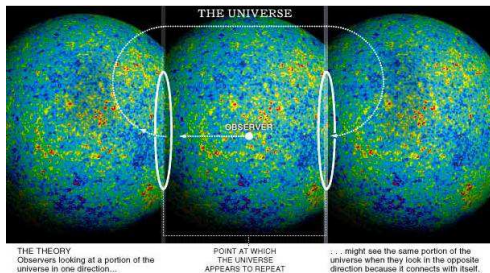


# The space of the universe

Is its volume finite or infinite?

- In the case of positive curvature ( $\kappa > 0$ ), the space is finite (local metric is that of three-sphere, topology may be different)
- In the case of non-positive curvature ( $\kappa \leq 0$ ), the space can be infinite or finite depending on *topology*

*Topology is in principle testable*



Picture by [Max Tegmark](#)

# Dynamics of the universe expansion

Einstein equation (with two fundamental constants):

$$R_{\mu\nu} - \frac{1}{2}Rg_{\mu\nu} = 8\pi GT_{\mu\nu} + \Lambda g_{\mu\nu}$$

Friedmann equations:

$$H^2 + \frac{\kappa}{a^2} = \frac{8\pi G}{3}\rho + \frac{\Lambda}{3}$$

$$\frac{\ddot{a}}{a} = -\frac{4\pi G}{3}(\rho + 3p) + \frac{\Lambda}{3}$$

Currently accepted model is called  $\Lambda$ CDM ( $\Lambda$  + Cold Dark Matter)

Note: this model runs into a singularity since  $\ddot{a} < 0$  in the past

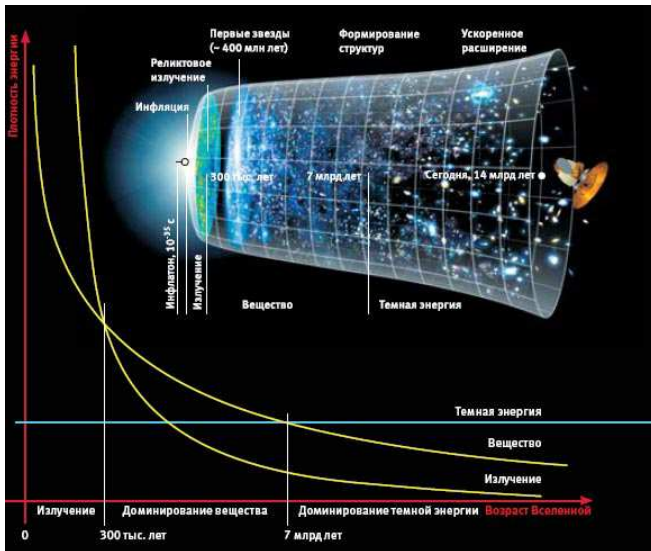
## Main types of substance

- **Matter** (nonrelativistic):  $p \ll \rho$ ,  $\rho = mn \propto a^{-3}$
- **Radiation** (relativistic):  $p = \rho/3$ ,  $\rho = En \propto a^{-4}$
- **"Vacuum"**:  $p = -\rho = \text{const}$  (*equivalent to  $\Lambda$* )

This is the only law compatible with local Lorentz invariance of  $T^\mu{}_\nu = (\rho + p)u^\mu u_\nu - p\delta^\mu{}_\nu$

In the currently standard cosmological model, the universe is dominated, in turn, by *radiation*, *matter*, and *dark energy* ( $\Lambda$  or something else)

# Modern picture of evolution of the universe



# The $\Omega$ parameters

The Friedmann equation can be recast in the form

$$H^2 = \frac{8\pi G}{3}\rho - \frac{\kappa}{a^2} + \frac{\Lambda}{3}$$

$$H^2(z) = H_0^2 \left[ \Omega_r(1+z)^4 + \Omega_m(1+z)^3 + \Omega_\kappa(1+z)^2 + \Omega_\Lambda \right]$$

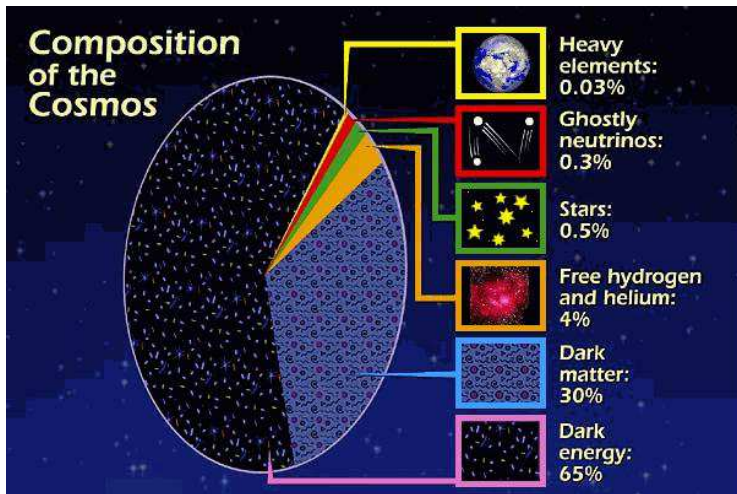
$$\Omega_r + \Omega_m + \Omega_\kappa + \Omega_\Lambda = 1$$

$$\Omega_\Lambda \approx 0.7, \quad \Omega_m \approx 0.3, \quad \Omega_r \approx 8.5 \times 10^{-5}, \quad |\Omega_\kappa| \lesssim 0.005$$

$$\text{Matter-radiation equality: } z_{\text{eq}} = \Omega_m/\Omega_r - 1 \approx 3400$$



# Current composition of the universe



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Physics in the early universe

Inflation

Dark energy

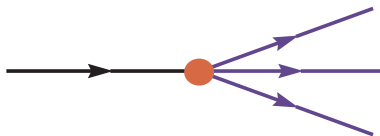
Dark matter

Baryon asymmetry

# System of units

$$\hbar = c = 1$$

# Kinetics in the hot expanding universe



Mean **free time** of a particle

$$\tau = \frac{1}{\sigma n v}$$

$\sigma$  – cross-section

$n$  – number density of target particles

$v$  – mean relative velocity

In the expanding universe, particles additionally **recede from each other**.  
The condition of local thermodynamical equilibrium becomes

$$\tau \ll t_{\text{expansion}} = \frac{1}{H} \quad \text{or} \quad \Gamma \equiv \sigma n v \gg H$$

In the opposite case  $\Gamma \ll H$ , particles become free  
(**decoupling** or **freeze-out**)

# Thermodynamics

Partition function for grand canonical ensemble

$$Z(T, V, \{\mu_i\}) = \sum_{\text{states}} e^{(\sum_i \mu_i Q_i - E)/T} = \sum_{\text{states}} e^{(\sum_A \mu_A N_A - E)/T}$$

$\mu_i$  is the chemical potential corresponding to a conserved charge  $Q_i$

The last equality is obtained by using

$$\sum_i \mu_i Q_i = \sum_i \mu_i \sum_A Q_i^A N_A = \sum_A \mu_A N_A$$

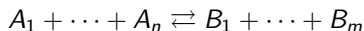
with  $Q_i^A$  being the  $i^{\text{th}}$  charge of particle species  $A$ , and

$$\mu_A = \sum_i \mu_i Q_i^A$$

is their chemical potential. *Particles and antiparticles have opposite charges, hence, opposite chemical potentials  $\Rightarrow \mu_\gamma = 0$*

# Chemical potentials

A simple consequence of the conservation of the total charges  $Q_i$  is that if there are reactions between particles in equilibrium



then the corresponding chemical potentials are related by

$$\mu_{A_1} + \cdots + \mu_{A_n} = \mu_{B_1} + \cdots + \mu_{B_m}$$

Proof:

$$\sum_i \mu_i \times Q_i^{A_1} + \cdots + Q_i^{A_n} = Q_i^{B_1} + \cdots + Q_i^{B_m}$$

Example: ionization/recombination of hydrogen:  $p + e^- \leftrightarrow H + \gamma$

$$\mu_p + \mu_{e^-} = \mu_H$$

# Nonrelativistic particles

Mean occupation numbers for particles in equilibrium

$$f_{\epsilon} = \frac{1}{\exp \frac{\epsilon - \mu}{T} \pm 1} \quad \left\{ \begin{array}{l} + \text{ Fermi-Dirac} \\ - \text{ Bose-Einstein} \end{array} \right.$$

Number density for nonrelativistic particles:

$$n = g \left( \frac{mT}{2\pi} \right)^{3/2} e^{(\mu - m)/T}$$

where  $g$  is the number of internal degrees of freedom (spin, colour, etc)

## Recombination of hydrogen

Thermal equilibrium is maintained due to the processes  $p, e^- \rightleftharpoons H, \gamma$ .

The Saha equation:

$$\frac{n_p n_e}{n_H} \approx \left( \frac{m_e T}{2\pi} \right)^{3/2} e^{-I_H/T}, \quad I_H = m_p + m_e - m_H$$

The hydrogen ionization energy  $I_H = 13.6 \text{ eV} = 1.58 \times 10^5 \text{ K}$

Use two conditions:

- Electroneutrality:  $n_p = n_e$
- Mean number density of hydrogen nuclei is determined by  $\eta = n_b/n_\gamma = 6 \cdot 10^{-10}$

One independent variable remains, e.g.,  $X_p = \frac{n_p}{n_p + n_H}$

Taking, e.g.,  $X_p = 0.1$  and solving the Saha equation, we obtain recombination temperature  $T_{\text{rec}} \simeq 3400 \text{ K}$ , which corresponds to  $z_{\text{rec}} \simeq 1250$ ,  $t_{\text{rec}} = 400$  thousand years



## Decoupling of relic photons

Scattering rate of photons off free electrons is  $\Gamma = \sigma_T n_e c$ .

The Thomson cross-section

$$\sigma_T = \frac{8\pi}{3} \left( \frac{e^2}{m_e c^2} \right)^2 = 0.67 \times 10^{-24} \text{ cm}^2$$

From the Saha equation,

$$n_e \simeq n_H^{1/2} \left( \frac{m_e T}{2\pi} \right)^{3/4} e^{-I_H/2T}$$

Rate of the universe expansion (matter dominates)

$$H \simeq \left( \frac{8\pi G}{3} \rho_m \right)^{1/2} \simeq H_0 \Omega_m^{1/2} (1+z)^{3/2} = H_0 \Omega_m^{1/2} \left( \frac{T}{T_0} \right)^{3/2}$$

The condition  $\Gamma \lesssim H$  starts at  $T_{\text{dec}} = 0.26 \text{ eV} = 3070 \text{ K}$ , or  $z_{\text{dec}} \simeq 1130$

# Energy density of relativistic particles

At  $T \gg m, |\mu|$ , we have

$$\rho_r(T) = \frac{\pi^2}{30} g T^4, \quad g = \sum_b g_b + \frac{7}{8} \sum_f g_f$$

$$H(t) = \left( \frac{8\pi G}{3} \rho_r \right)^{1/2} = 1.66 \sqrt{g(t) G} T^2 = \sqrt{G_*} T^2$$

Age of hot universe as a function of temperature:

$$t \simeq \frac{1}{2H} = \frac{1}{2\sqrt{G_*} T^2} \simeq \frac{2.4}{\sqrt{g}} \left( \frac{\text{MeV}}{T} \right)^2 \text{ s}$$

## Decoupling of neutrino

Typical processes:

$$\nu e^{\pm} \rightleftharpoons \nu e^{\pm}, \quad \nu \bar{\nu} \rightleftharpoons e^+ e^-, \quad \nu \bar{\nu} \rightleftharpoons \nu \bar{\nu}$$

Effective cross-section is  $\sigma \simeq G_F^2 E^2$ , where  $G_F \simeq 1.17 \times 10^{-5} \text{ GeV}^{-2}$  is the Fermi constant. Using  $E \sim T$  and equating the rates, we obtain

$$\Gamma = \sigma n \nu \sim G_F^2 T^2 \times T^3 = H = \sqrt{G_*} T^2$$

At anticipated temperatures, the relativistic plasma consists of  $e^{\pm}$ ,  $\gamma$ ,  $\nu_e$ ,  $\nu_{\mu}$ ,  $\nu_{\tau}$ ,  $\bar{\nu}_e$ ,  $\bar{\nu}_{\mu}$ ,  $\bar{\nu}_{\tau}$ , leading to  $g = 39/4 = 9.75$ . Hence, the neutrino decoupling temperature

$$T_* \sim \frac{G_*^{1/6}}{G_F^{2/3}} \simeq 1.5 \text{ MeV}$$

Today, we have  $T_{\nu} = 1.95 \text{ K}$

Somewhat lower than  $T_{\gamma} = 2.73 \text{ K}$  because annihilation of  $e^+ e^-$  at  $T \simeq 0.5 \text{ MeV}$  heat up the CMB

## Neutron concentration freeze-out

The main processes are  $n + \nu_e \rightleftharpoons p + e^-$ ,  $n + e^+ \rightleftharpoons p + \bar{\nu}_e$

Characteristic energy parameters:

$$\Delta m \equiv m_n - m_p = 1.3 \text{ MeV}, \quad m_e = 0.5 \text{ MeV}.$$

Free time of neutrons can be estimated as

$$\Gamma \simeq G_F^2 T^5 \quad \left( \text{cf. with } = \sqrt{G_*} T^2 \right)$$

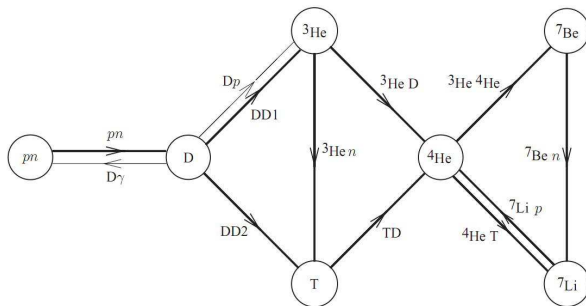
Accurate calculation using the condition  $H \simeq \Gamma$  gives  $T_n \approx 0.8 \text{ MeV}$ . We obtain neutron–proton relation at the time of freeze-out:

$$\frac{n_n}{n_p} = e^{-\frac{\Delta m}{T_n}} \approx \frac{1}{5}$$

**Note:** Fundamental constants and life in the universe

# Big-Bang Nucleosynthesis (BBN)

out-of-equilibrium process !



From: [Mukhanov](#),  
*Principles of  
Physical Cosmology*  
(2005)

$\Delta_{4\text{He}}/N = 7.75 \text{ MeV}$ , but the first step of nucleosynthesis is production of deuterium:

$$p + n \rightleftharpoons D + \gamma, \quad \Delta_D \equiv m_p + m_n - m_D \simeq 2.23 \text{ MeV}$$

Because of large number of highly energetic photons and relatively small binding energy of D, nucleosynthesis is delayed till  $T_{\text{NS}} \approx 70 \text{ keV}$ . This phenomenon is called **deuterium bottleneck**.

## Estimate of helium production

Age of the universe at the time of neutron decoupling:

$$t_n = \frac{1}{2\sqrt{G_*} T_n^2} \approx 1 \text{ s}, \quad \frac{n_n}{n_p} = e^{-\Delta m/T_n} \approx \frac{1}{5}$$

Age of the universe at the time of commencement of nucleosynthesis

$$t_{\text{NS}} = \frac{1}{2\sqrt{G_*} T_{\text{NS}}^2} \approx 269 \text{ s},$$

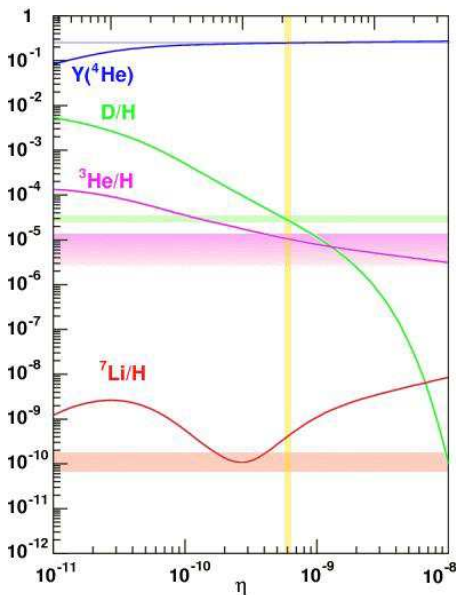
Lifetime of free neutrons is  $\tau_n \approx 886 \text{ s}$ , whence

$$\left. \frac{n_n}{n_p} \right|_{T_{\text{NS}}} \approx \frac{1}{5} \cdot e^{-t_{\text{NS}}/\tau_n} \approx \frac{1}{7}$$

Mass fraction of helium:

$$Y_{4\text{He}} = \frac{M_{\text{He}}}{M_b} = \frac{2}{\left. \frac{n_n}{n_p} \right|_{T_{\text{NS}}} + 1} \approx 0.25$$

# BBN and observations



$$\eta = \frac{n_b}{n_\gamma} \approx 6 \times 10^{-10}$$

*Where does this number come from?*

The smallness of this number is the reason why the universe is qualified as “hot”

$$n_\gamma(t_0) = \frac{2\zeta(3)}{\pi^2} T_\gamma^3 \approx 410 \text{ cm}^{-3}$$

This determines the mean number density of baryons today:

$$n_b(t_0) = 2.7 \times 10^{-7} \text{ cm}^{-3}$$

# Thermodynamic history of the universe

- $T \sim 200$  GeV: symmetric electroweak phase,  $g = 106.75$
- $T \sim 120$  GeV: **electroweak symmetry breaking** (cross-over), annihilation of  $t\bar{t}$  quarks,  $g = 96.25$
- $T < 80$  GeV: annihilation of  $W^\pm$ ,  $Z^0$ ,  $H^0$ ,  $g = 86.25$
- $T < 4$  GeV:  $b\bar{b}$  annihilation,  $g = 75.75$
- $T < 1$  GeV:  $\tau^-\tau^+$  annihilation,  $g = 72.25$
- $T \sim 150$  MeV: **epoch of QCD**; quarks and gluons are confined, forming baryons and mesons. Light hadrons (pions), leptons and photons give  $g = 17.25$
- $T < 100$  MeV: annihilation of pions  $\pi^\pm$ ,  $\pi^0$  and muons  $\mu^\pm$ . Remaining particles  $e^\pm$ ,  $\gamma$  and  $\nu$  give  $g_e = 10.75$
- $T \sim 1$  MeV: **decoupling of neutrinos**
- $T < 500$  keV: annihilation of  $e^+e^-$ ,  $g = 3.36$



Basics

Physics in the early universe

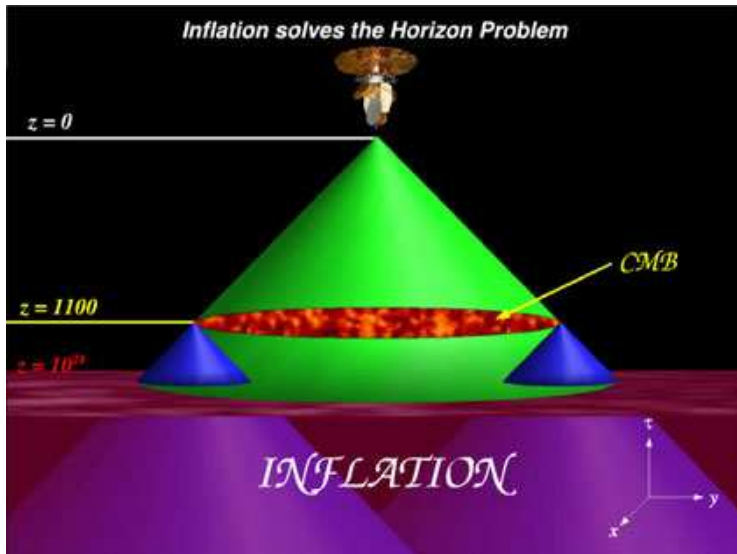
**Inflation**

Dark energy

Dark matter

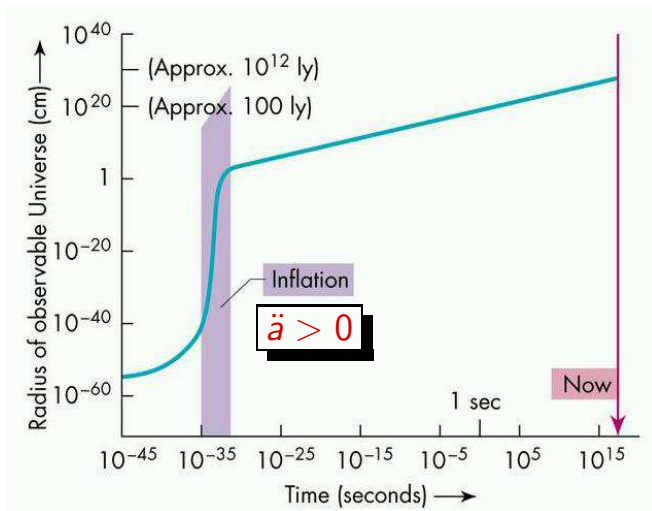
Baryon asymmetry

# Problem of initial conditions and problem of singularity



# Cosmological inflation

*beyond the physical horizon !*



## Brief history

- Gliner (1965) — vacuum-like state before the hot phase
- Bugrij & Trushevsky (1975) — first-order phase transition with supercooling in nuclear matter
- Starobinsky (1979) — gravity with  $R^2$  correction
- Guth (1981) — GUT with first-order phase transition and supercooling (proposed the term “inflation”)
- Linde (1982, 1983) — field theory with generic initial conditions (“chaotic inflation”)



*Alan H. Guth*  
Massachusetts Institute of  
Technology, US



*Andrei D. Linde*  
Stanford University, US



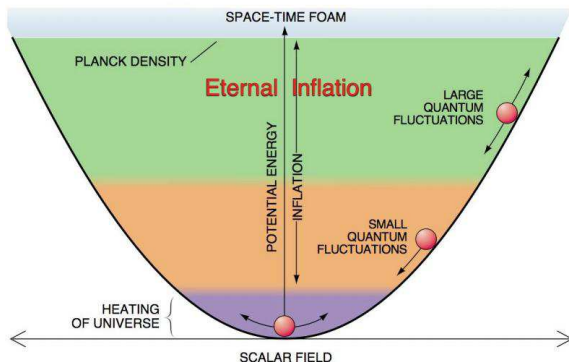
*Alexei A. Starobinsky*  
Landau Institute for Theoretical  
Physics Russian Academy of  
Sciences, Russia

Kavli prize in astrophysics  
2014

# The simplest model of inflation

$$\ddot{\phi} + 3H\dot{\phi} + V'(\phi) = 0$$

$$V(\phi) = \frac{m^2}{2}\phi^2$$



Regime of “slow rolling”

$$|\dot{\phi}/\phi| \ll H \equiv \dot{a}/a$$

$$\dot{\phi} \approx -\frac{V'(\phi)}{3H}$$

$$H^2 \approx \frac{8\pi G}{3} V(\phi)$$

$$|\dot{H}| \ll H^2$$

quasi-exponential expansion

# Inflationary origin of primordial perturbations



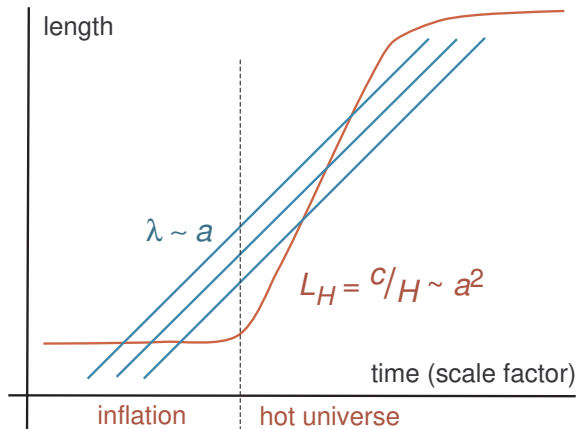
The inflaton field and the metric are perturbed by **quantum uncertainties**:

$$ds^2 = a^2(\eta) \left[ (1 + 2\Phi) d\eta^2 - (1 - 2\Psi) d\mathbf{x}^2 + h_{ij} dx^i dx^j \right]$$

- $\Phi, \Psi$  — **scalar type**, accompanied by energy density perturbations  
 $\delta \equiv \delta\rho/\rho, \mathbf{v} = \nabla v$   
 $\Phi = \Psi$  in the model with single inflaton
- $h_{ij}$  — **tensor type (gravitational waves)**, transverse traceless field

# Inflationary origin of primordial perturbations

Mukhanov & Chibisov (1981)



*How do quantum  
uncertainties  
become classical?*

# Power spectra of primordial perturbations

Scalar field (inflaton) with potential  $V(\phi)$

$$\langle \Phi(\mathbf{x})\Phi(\mathbf{y}) \rangle = \int \mathcal{P}_\Phi(k) e^{i\mathbf{k}(\mathbf{x}-\mathbf{y})} \frac{d^3\mathbf{k}}{4\pi k^3} \quad \mathcal{P}_\Phi(k) = \frac{\hbar H_k^4}{4\pi^2 \dot{\phi}_k^2} = \frac{128\pi \hbar G^3 [V(\phi_k)]^3}{3 [V'(\phi_k)]^2}$$

$$\langle h_{ij}(\mathbf{x})h^{ij}(\mathbf{y}) \rangle = \int \mathcal{P}_h(k) e^{i\mathbf{k}(\mathbf{x}-\mathbf{y})} \frac{d^3\mathbf{k}}{4\pi k^3} \quad \mathcal{P}_h(k) = \frac{16}{\pi} \hbar G H_k^2 = \frac{128}{3} \hbar G^2 V(\phi_k)$$

Conventional parameterization:

$$\mathcal{P}_\Phi(k) = A_S \left( \frac{k}{k_*} \right)^{n_S(k)-1} \quad \mathcal{P}_h(k) = A_T \left( \frac{k}{k_*} \right)^{n_T(k)}$$

$$r(k) \equiv \frac{\mathcal{P}_h(k)}{\mathcal{P}_\Phi(k)} = \frac{1}{\pi G} \left[ \frac{V'(\phi_k)}{V(\phi_k)} \right]^2 \approx 0.2$$

$$\left. \begin{aligned} n_S(k) - 1 &\approx -0.04 \\ n_T(k) &\approx -0.03 \end{aligned} \right\} \text{in simplest models}$$



# Main predictions of inflationary scenario

1. **Flatness** (or Euclidean property) of space  
( $\Omega = 1$  with high precision)

$$H^2 = -\frac{\kappa}{a^2} + \frac{8\pi G}{3}\rho, \quad |\Omega_\kappa| = \frac{|\kappa|}{a_0^2 H_0^2} \lesssim 10^{-5}$$

2. **Adiabatic** initial density perturbations with **almost scale-invariant** spectrum and Gaussian statistics  
[Mukhanov & Chibisov (1981), ...]

$$\mathcal{P}_\Phi(k) = A_S \left( \frac{k}{k_*} \right)^{n_S(k)-1}, \quad n_S(k) \approx 0.96$$

3. **Relic gravitational waves**  
[Grishchuk (1975), Starobinsky (1979), ...]

$$\mathcal{P}_h(k) = A_T \left( \frac{k}{k_*} \right)^{n_T(k)}, \quad n_T(k) \approx -0.03$$

# Heating the universe after inflation

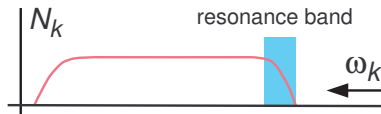
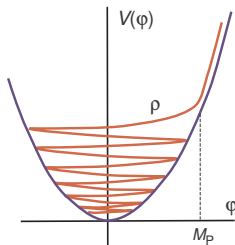
Model interaction:

Phase trajectory of  
the scalar field  $\varphi(t)$

$$L_{\text{int}} = -f\varphi\bar{\psi}\psi - \eta\varphi\chi^2$$

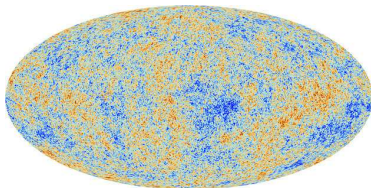
Rate of inflaton decay into other particles  
(Born approximation):

$$\Gamma_{\psi} \simeq \frac{f^2}{4\pi} \omega, \quad \Gamma_{\chi} \simeq \frac{\eta^2}{4\pi\omega}$$



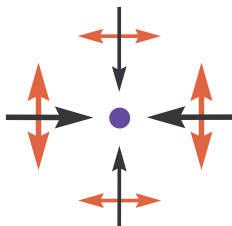
For strong coupling, the leading effect is **parametric resonance** [J. Traschen & R. Brandenberger (1990), Yu.S., J. Traschen & R. Brandenberger (1994), L. Kofman, A. Linde & A. Starobinsky (1994)].  
For weak coupling, Born approximation works because of universe expansion [I. Rudenok, Yu.S., S. Vilchinskii (2014)]

# CMB temperature anisotropy and polarization



Temperature anisotropy:  $\Delta T(\mathbf{n})$

**Polarization** is caused by quadrupole anisotropy of the incident flow of last scattered photons



CMB polarization tensor

$$\mathcal{P}_{ab} = \frac{\langle E_a E_b^* \rangle}{\langle E_c E_c^* \rangle} - \frac{1}{2} g_{ab} = \frac{1}{2} \begin{pmatrix} Q & U \\ U & -Q \end{pmatrix}$$

$E$  and  $B$  polarization modes:  $E(\mathbf{n}) \equiv \nabla^a \nabla^b \mathcal{P}_{ab}$ ,  $B(\mathbf{n}) \equiv \nabla^a \nabla^c \mathcal{P}_a{}^b \epsilon_{cb}$

## Correlation functions and spectra $C_\ell$

Integer  $\ell$  corresponds to angular scale  $\theta \sim \pi/\ell$

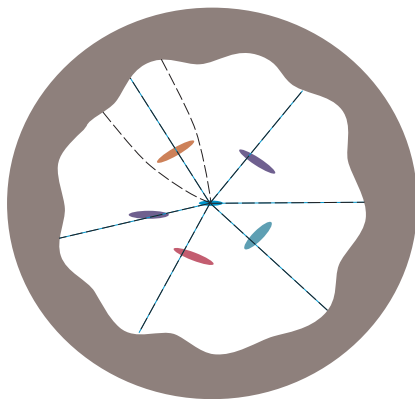
$$\langle \Delta T(\mathbf{n}_1) \Delta T(\mathbf{n}_2) \rangle = \frac{1}{4\pi} \sum_{\ell} (2\ell + 1) C_{\ell}^{TT} P_{\ell}(\cos \theta)$$

$$\langle \Delta T(\mathbf{n}_1) E(\mathbf{n}_2) \rangle = \frac{1}{4\pi} \sum_{\ell} (2\ell + 1) C_{\ell}^{TE} P_{\ell}(\cos \theta)$$

$$\langle E(\mathbf{n}_1) E(\mathbf{n}_2) \rangle = \frac{1}{4\pi} \sum_{\ell} (2\ell + 1) C_{\ell}^{EE} P_{\ell}(\cos \theta)$$

$$\langle B(\mathbf{n}_1) B(\mathbf{n}_2) \rangle = \frac{1}{4\pi} \sum_{\ell} (2\ell + 1) C_{\ell}^{BB} P_{\ell}(\cos \theta)$$

## CMB on the way to Earth



The last-scattering surface is 'thick'

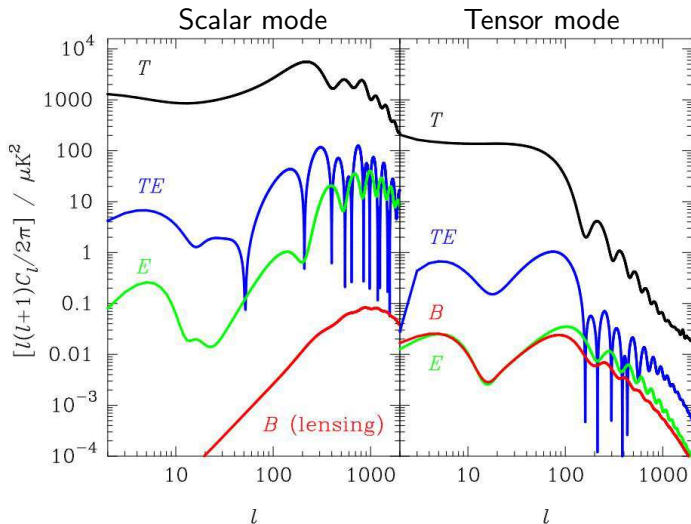
$$z_{\text{rec}} \simeq 1100 \quad \Delta z_{\text{rec}} \approx 300$$

$$T_{\text{rec}} \simeq 3000 \text{ K} \quad T_0 = 2.725 \text{ K}$$

CMB is additionally lensed by the LSS

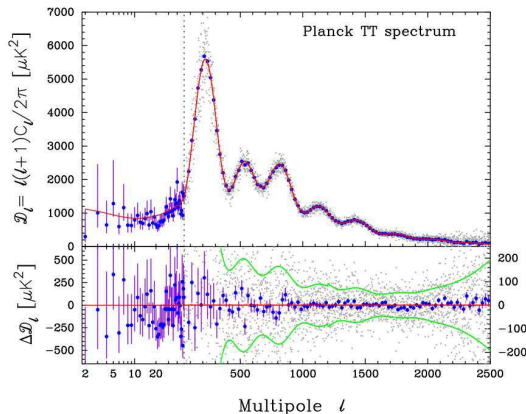
Its spectrum is also distorted by rescattering on hot gas in clusters (Zunyaev–Zeldovich effect)

# Contribution of scalar and tensor modes to CMB temperature anisotropy and polarization



# Temperature anisotropy

## Planck TT power spectrum



$$\mathcal{P}_\Phi(k) = A_S \left( \frac{k}{k_*} \right)^{n_S(k)-1}$$

Planck (2015):

$$n_S = 0.9655 \pm 0.0062$$

In 2013 it was **first established** that  $n_S < 1$ , as predicted by a simple class of inflationary theories

Position of the peaks depends on the cosmological parameters, including the spatial curvature ( $\Omega_\kappa$ ) and amount of dark matter ( $\Omega_c$ )  $\rightarrow$  gives the most accurate estimate for these parameters

# CMB polarisation and primordial gravitational waves

A joint analysis of BICEP2/KEK and Planck (2015) gives an upper limit

$$r < 0.11$$

Inflationary models are 'filtered'

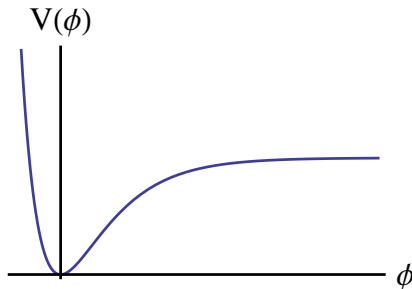
Best-fit models based on 'plateau' potential:

- Starobinsky model (1979)

$$\mathcal{L}_{\text{grav}} \propto R + \alpha R^2$$

- Higgs inflation (Bezrukov & Shaposhnikov, 2007)

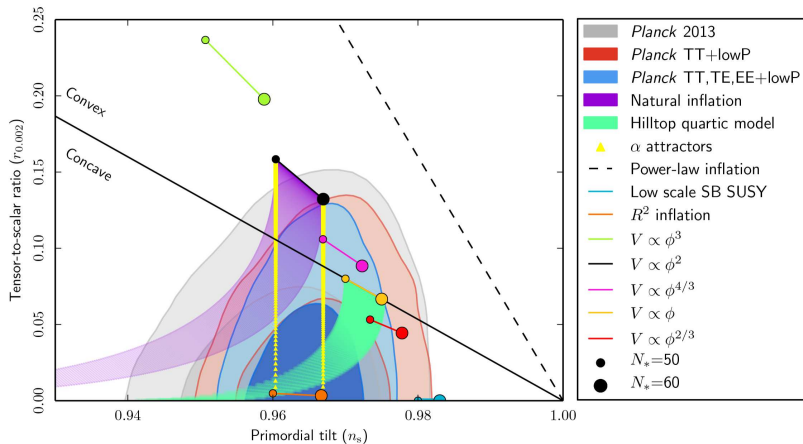
$$\mathcal{L}_{\text{grav}} \propto (M_{\text{P}}^2 + \xi h^2) R$$



These models predict  $r \approx 0.003$

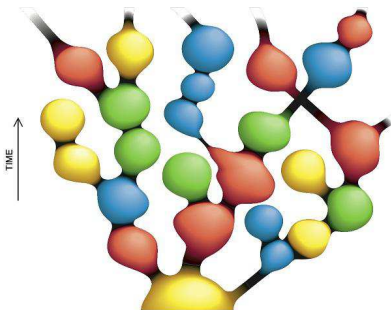


# Planck constraints on inflationary models (2015)



# Eternal inflation

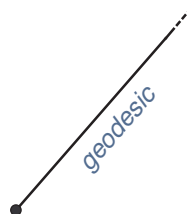
*Multiverse*



*Did it have a beginning?*

Inflationary space-time is  
geodesically incomplete in the  
past

Horde, Guth, Vilenkin (2001)



Basics

Physics in the early universe

Inflation

Dark energy

Dark matter

Baryon asymmetry

# Dark energy

- About 7 billion years ago, the universe proceeded to **accelerated** expansion:

$$\frac{\ddot{a}}{a} = -\frac{4\pi G}{3}(\rho + 3p) + \frac{\Lambda}{3}$$

It is inflation, but on a much lower energy scale

- In frames of homogeneous cosmology based on GR, one needs either **dark energy** – a form of energy with  $\rho + 3p < 0$ , or the cosmological constant  $\Lambda$
- Alternative explanation: effect of inhomogeneities of the universe on relatively small scales (clusters of galaxies)  
**Buchert, Ellis, Wiltshire, ...** Criticized by **Green & Wald**

# Main evidence for dark energy

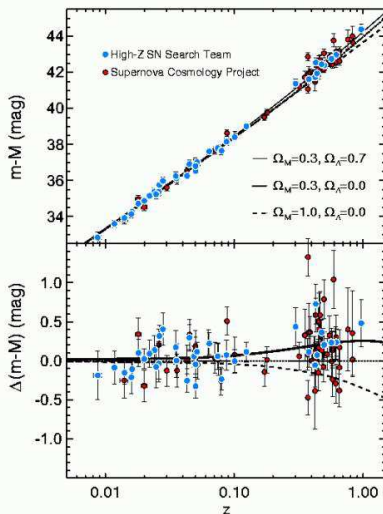
2011 Nobel Prize in physics

Luminosity distance  $d_L$  to  
supernovae type Ia

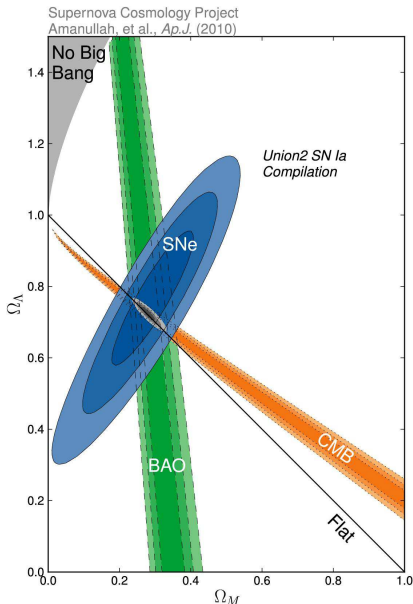
$$F = \frac{L}{4\pi d_L^2}$$

$$d_L(z) = (1+z) \int_0^z \frac{dz'}{H(z')}$$

$$H^2(z) = H_0^2 [\Omega_m(1+z)^3 + \Omega_\Lambda]$$



# Combined constraints



## Dark energy:

- Hampers the development of large-scale structure
- Affects the dynamics of galaxy clusters
- Affects the picture of CMB anisotropy and distribution of galaxies

# Theoretical issues

- Scales (in units  $\hbar = c = 1$ ):

$$\Lambda \simeq (2.8 \text{ Gpc})^{-2} \quad \rho_\Lambda = \frac{\Lambda}{8\pi G} \sim (2.5 \times 10^{-3} \text{ eV})^4$$

Comparable to the neutrino mass squared difference

$$\Delta m_{\text{sol}}^2 = (8 \times 10^{-3} \text{ eV})^2$$

- Coincidence:

$$\rho_\Lambda \sim \rho_m \quad \text{today}$$

Perhaps, dark energy is a dynamical substance (described, e.g., by a scalar field)  $\longrightarrow$  it is evolving

Basics

Physics in the early universe

Inflation

Dark energy

**Dark matter**

Baryon asymmetry



# Problem of structure formation

- For baryonic component,

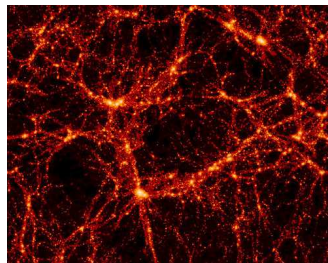
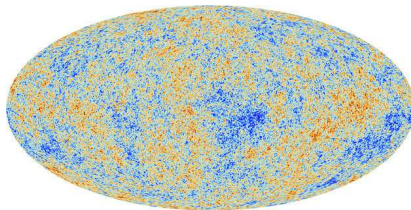
$$\delta\rho_b/\rho_b \sim \delta T/T \sim 10^{-5}$$

at  $z_{\text{rec}} \simeq 1100$

- Law of perturbation growth:

$$\delta\rho/\rho \propto a \propto (1+z)^{-1}$$

- Today we would have  $\delta\rho_b/\rho_b \sim 10^{-2}$  — surely insufficient for formation of **observable** structure
- Way out: **dark matter** with  $\delta\rho/\rho \gg \delta\rho_b/\rho_b$  at  $z_{\text{rec}} \simeq 1100$

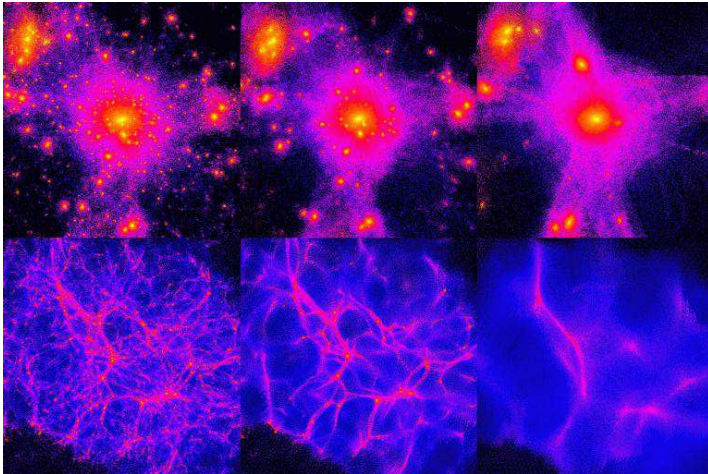


## Classification of dark matter

“Cold”

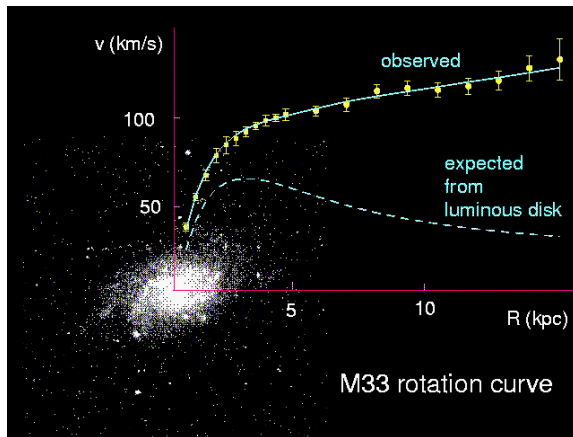
“Warm”

“Hot”



# Evidence of dark matter

## Galactic rotation curves

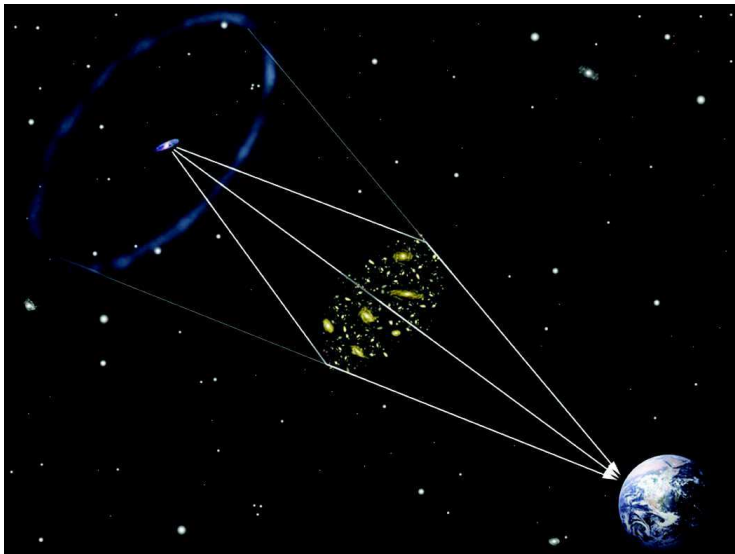


$$\frac{v^2}{r} = \frac{GM(r)}{r^2}$$

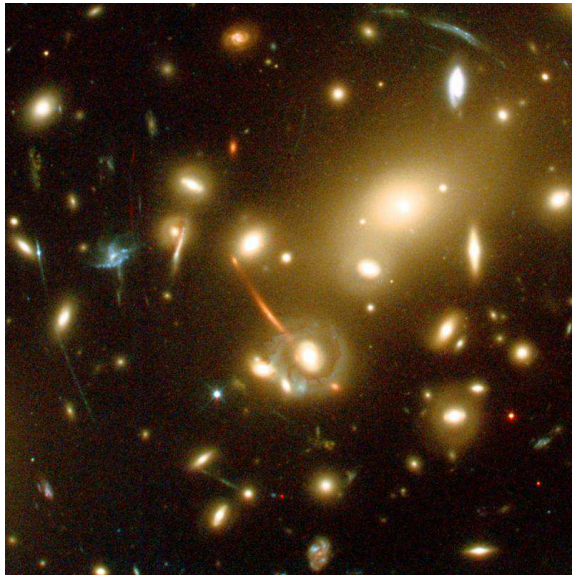
$$v^2 = \frac{GM(r)}{r}$$

Total mass in a galaxy is 6–7 times its “visible” mass

# Gravitational lens

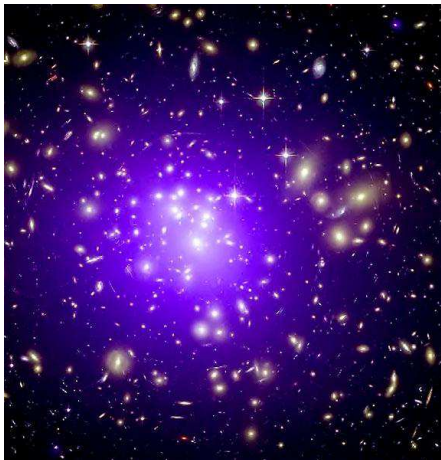


# Galaxy cluster as a gravitational lens



# Dark-matter halo in a galaxy cluster

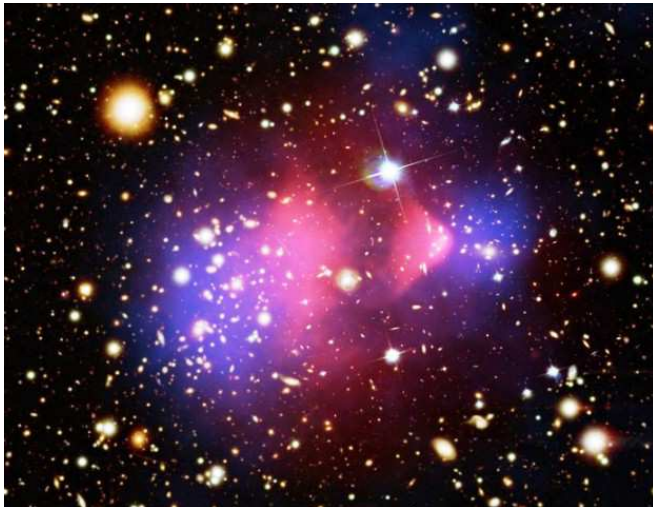
by observation of hot intergalactic gas  
and gravitational lensing



Total mass of a  
cluster exceeds by  
order of magnitude  
its “visible” mass  
(in gas and stars)

# Bullet cluster

at a distance of 3.8 million light years



# Active neutrino cannot compose (all of) dark matter

- For each neutrino specie,  $T_\nu \simeq 1.95 \text{ K}$  and  $n_\nu \simeq 56 \text{ cm}^{-3}$ . Thus,  $\sum_i m_{\nu_i} \simeq 11 \text{ eV}$  is required, which contradicts experiments on  $\beta$ -decay, which give  $m_{\nu_e} < 2 \text{ eV}$  and neutrino oscillations  $\sqrt{\Delta m_{\text{atm}}^2} = 5 \times 10^{-2} \text{ eV}$ ,  $\sqrt{\Delta m_{\text{sol}}^2} = 8 \times 10^{-3} \text{ eV}$
- Phase density is limited for neutrinos being fermions  $\Rightarrow$  for galaxies and clusters,  $m_{\text{DM}} \gtrsim 20 \text{ eV}$  and  $0.4 \text{ keV}$ , respectively
- “Free-streaming”: for  $m_\nu \simeq 3 \text{ eV}$ , the current velocities  $v_\nu \simeq 3500 \text{ km/s} \Rightarrow$  most of the observed gravitationally bound objects would not be able to form

*Standard Model requires extension*



## Possible nature of dark matter

- Dark objects made of usual matter, such as [planets](#), [comets](#) or [faded stars](#), can comprise only an insignificant part of undetected matter, which, in particular, follows from theory and observations of CMB and BBN.
- Primordial [black holes](#) (difficult to form)
- [Weakly](#) or [superweakly interacting particles](#) that are yet to be discovered (sterile neutrinos, superpartners, ...)
- [Scalar fields](#) (remnants of the inflaton, axions, ...)
- Perhaps, [the laws of gravity are modified](#) on large scales? This is not excluded in principle, but a satisfactory theory of this sort is still missing.

# Right-handed (sterile) neutrino

as a natural extension of SM



Potentially describes:

- Neutrino masses and oscillations
- Origin of baryon asymmetry

*$\nu$ MSM model:*

(Asaka & Shaposhnikov, 2005)

- Dark matter

Left u Right	Left c Right	Left t Right
Left d Right	Left s Right	Left b Right
Left $\nu_e$ Right	Left $\nu_\mu$ Right	Left $\nu_\tau$ Right
Left e Right	Left $\mu$ Right	Left $\tau$ Right

$$L = L_{SM} + \sum_n \left( \bar{N}_n i \gamma^\mu \partial_\mu N_n - \frac{M_n}{2} \bar{N}_n^C N_n \right) - \sum_{\alpha n} f_{\alpha n} \bar{L}_\alpha N_n \varphi^C + \text{h.c.}$$

# Detection of dark matter

- By signals of decay, e.g.,

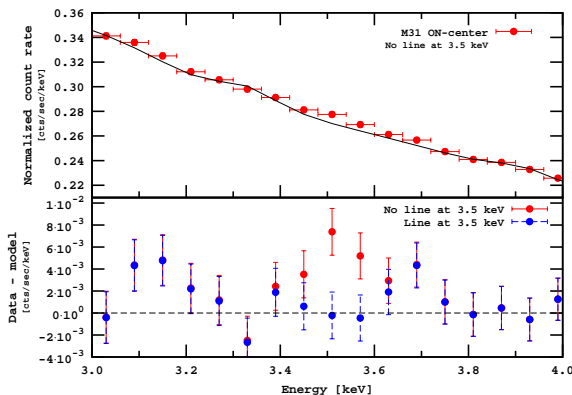
$$N \rightarrow \nu + \gamma$$

The photon in the rest frame of  $N$  has definite energy (approximately equal to  $m_N c^2/2$ , which can be manifest as a **radiation line** from dark-matter halos

- By signals of annihilation — see the talk by [Torsten Bringmann](#)
- By direct detection in underground laboratories

# Unidentified emission line at $E \approx 3.5$ keV

A. Boyarsky, O. Ruchayskiy, D. Iakubovskyi, J. Franse,  
Phys. Rev. Lett. **113**, 251301 (2014)

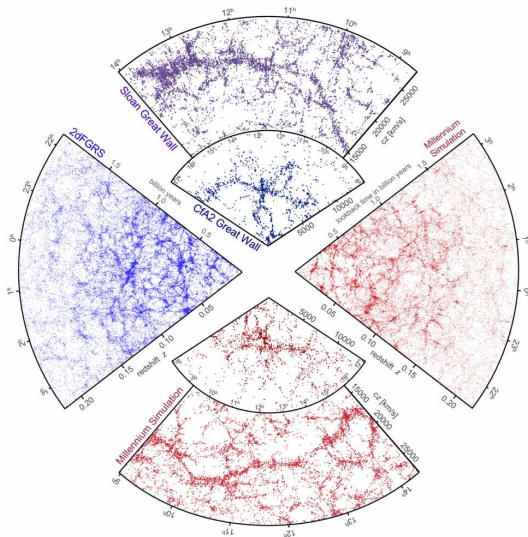


Can be the line of  
sterile neutrino of  
mass  $\approx 7$  keV

Status of the line  
currently debated  
(chemical origin,  
instrumental  
systematics etc)

E. Bulbul *et al*, Astrophysical Journal **789**, 13 (2014)

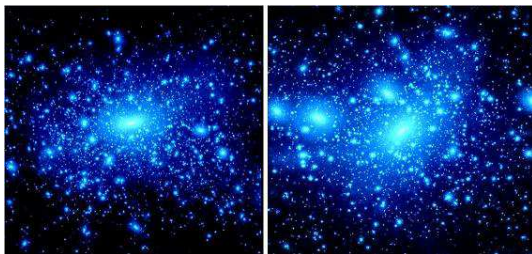
# Theory with dark matter and observations



Springel, Frenk & White, Nature **440**, 1137–1144 (2006)

## Problem on galactic scales

“Missing satellite” problem: they are abundant in computer simulations, and are scarcely visible in the neighborhoods of big galaxies (such as Milky Way or Andromeda)



$$M = 3 \times 10^{14} M_{\odot}$$

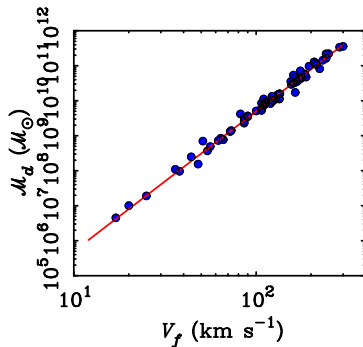
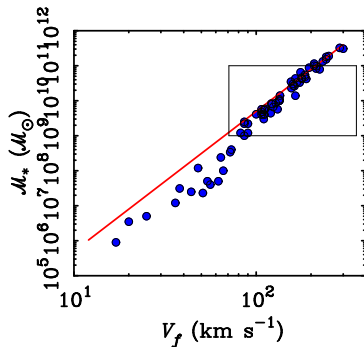
$$M = 3 \times 10^{12} M_{\odot}$$

Kravtsov, Advances in Astronomy **2010**, 281913 (2010)

Possible solutions: warm dark matter or peculiarities of galaxy formation

# Regularities on galactic scales

## Tully–Fisher relation



$$M_b = \mathcal{A} v_f^4, \quad \mathcal{A} = 50 M_\odot \text{ km}^4/\text{s}^4$$

*What is the reason of this dependence with power 4?*

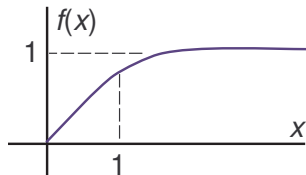
*What determines the constant  $\mathcal{A}$ ?*

# MOND

**MO**dified **N**ewtonian **D**ynamics (Milgrom, 1983):

$$m\vec{g} \mu\left(\frac{g}{a_0}\right) = \vec{F}$$

For instance,  $\mu(x) = x/\sqrt{1+x^2}$



The theory describes:

- Galactic rotation curves (asymptotically “flat”)
- Tully–Fisher relation:

$$\frac{m}{a_0} \left( \frac{v^2}{r} \right)^2 = \frac{GmM}{r^2} \Rightarrow v^4 = a_0 GM$$

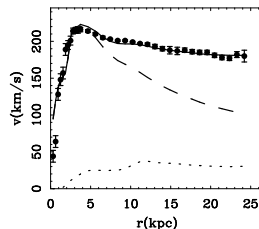
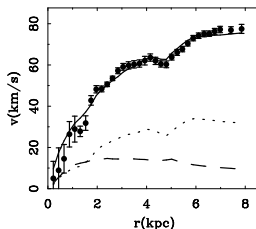
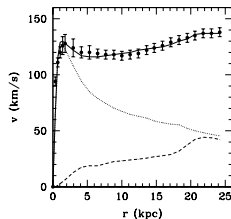
$$a_0 \simeq 1.2 \times 10^{-8} \text{ cm/s}^2 \simeq cH_0/2\pi$$

*Is this a coincidence?*



# Galactic rotation curves in MOND

The acceleration parameter  $a_0$  is universal!



However, to account for dark matter in clusters, twice as large value of  $a_0$  is required

# Open issues in the theory of dark matter

- The nature of dark-matter particles and their detection:
  - Scattering off usual particles in laboratory
  - Observation of decay and/or annihilation
  - Discovery of a suitable particle at a collider
- Why does MOND fit observations so well? What determines the scale of the fitting parameter  $a_0 = 1.2 \times 10^{-8} \text{ cm/s}^2$ ?

Basics

Physics in the early universe

Inflation

Dark energy

Dark matter

Baryon asymmetry

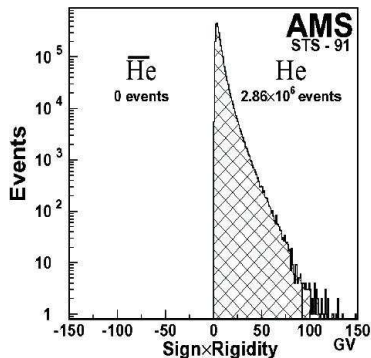
# Origin of baryon asymmetry

*beyond the physical horizon !*

- Visible part of universe contains scarce amount of antimatter
- Should one explain this asymmetry?  
Yes, if one assumes inflation

$$\eta = \frac{n_b - n_{\bar{b}}}{n_{\gamma}} \approx 6 \times 10^{-10}$$

- The Sakharov conditions of successful baryogenesis:
  1.  $B$  **not** conserved
  2.  $C$  and  $CP$  **broken**
  3. Processes 1 and 2 are **not** in thermodynamic equilibrium



$$\frac{N_{\bar{\text{He}}}}{N_{\text{He}}} < 1.1 \cdot 10^{-6} @ 95\%CL$$

# Standard Model (SM) of particle physics

- Quantum anomalies break  $B$  and  $L$ , preserving  $B - L$ :

$$\partial_\mu j_B^\mu = \partial_\mu j_L^\mu = \frac{3g^2}{16\pi^2} \left[ \text{tr}(\mathcal{F}^{\mu\nu} \tilde{\mathcal{F}}_{\mu\nu})_{\text{SU}(2)_L} - (F^{\mu\nu} \tilde{F}_{\mu\nu})_{\text{U}(1)_Y} \right]$$

- These processes are effective at  $T \in (10^2, 10^{12})$  GeV resulting in the equilibrium condition

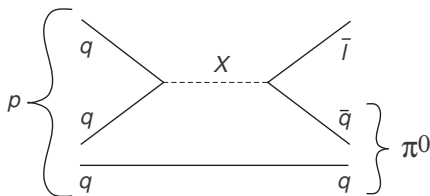
$$B + \frac{28}{51}L = 0 \quad (\text{in the Standard Model})$$

Together with the condition  $B - L = 0$ , this implies  $B = L = 0$

In SM, breaking of thermal equilibrium and non-conservation of  $B$  are **insufficiently strong** for successful baryogenesis

*SM requires extension*

# Baryogenesis in Great Unification theories



$$p \rightarrow e^+ \pi^0$$

$$\tau_p^{-1} \sim \frac{\alpha_{\text{GUT}}^2}{M_{\text{GUT}}^4} m_p^5$$

- These processes should violate  $B$  and  $B - L$   
(otherwise electroweak anomalies will destroy  $B$ )
- The bound on the proton lifetime  $\tau_p \gtrsim 10^{32}$  yr gives  
 $M_{\text{GUT}} \gtrsim 10^{16}$  GeV
- New physics implied at  $E \sim M_{\text{GUT}}$
- Is it possible to generate  $B$  at lower energies? Yes!

# Leptogenesis

$$L = L_{SM} + \sum_n \left( \bar{N}_n i \gamma^\mu \partial_\mu N_n - \frac{M_n}{2} \bar{N}_n^c N_n \right) - \sum_{\alpha n} f_{\alpha n} \bar{L}_\alpha N_n \varphi^c + \text{h.c.}$$

First, lepton asymmetry  $L$  is generated due to CP-breaking interactions:

$$\Gamma(N \rightarrow l\varphi) \neq \Gamma(N \rightarrow \bar{l}\bar{\varphi})$$

After that

$$\left. \begin{array}{l} B - L = -L_i \\ B + bL = 0 \end{array} \right\} \rightarrow B = -\frac{b}{1+b} L_i, \quad b = \frac{28}{51} \quad (\text{in SM})$$

# Summary

The  $\Lambda$ CDM model + inflation is a fairly successful model of the universe

*Principal questions:*

- Dark matter

Many candidates

How to explain correlation of DM and baryonic matter in galaxies (described by MOND)?

- Dark energy

What determines its value?

Does it evolve?

- Initial conditions

Baryon asymmetry?

Inflation?

Beginning of the universe?



# THANK YOU !

# Entropy conservation

For adiabatic evolution:

$$sa^3 = \text{const}$$

For a closed relativistic system,  
 $s \propto g_s T^3$ , implying

$$g_s(T) T^3 a^3 = \text{const}$$

If  $g_s$  changes from  $g_{\text{in}}$  to  $g_{\text{out}}$ , then

$$T_{\text{out}}^3 = \frac{g_{\text{in}} a_{\text{in}}^3}{g_{\text{out}} a_{\text{out}}^3} T_{\text{in}}^3$$

Temperature of relic neutrinos

$$\gamma, e^{\pm} \rightarrow \gamma$$

$$g_{\text{in}} = 2 + \frac{7}{8} \times 4, \quad g_{\text{out}} = 2$$

$$T_{\gamma}^3 = \frac{11}{4} \frac{a_{\text{in}}^3}{a_{\text{out}}^3} T_{\text{in}}^3, \quad T_{\nu}^3 = \frac{a_{\text{in}}^3}{a_{\text{out}}^3} T_{\text{in}}^3$$

$$T_{\nu} = \left( \frac{4}{11} \right)^{1/3} T_{\gamma} = 1.95 \text{ K}$$

# Cosmography

requires standard *candles* and *rods*

- Luminosity distance  $d_L(z)$

$$F = \frac{L}{4\pi d_L^2}, \quad d_L(z) = (1+z)a_0 r_0 \sin[h] \left( \frac{1}{a_0 r_0} \int_0^z \frac{dz'}{H(z')} \right)$$

- Angular-diameter distance  $d_A(z)$

$$\vartheta = \frac{D}{d_A}, \quad d_A(z) = \frac{d_L(z)}{(1+z)^2}$$



Can have *maximum* at some  $z$  !

- Source counts [ $n(z)$  is the source number density]

$$\Delta N(z) = \frac{d_A^2(z) n(z)}{(1+z)H(z)} \Delta\Omega \Delta z$$

## Example: Einstein–De Sitter model

*Spatially flat universe filled with matter*

$$H^2(z) = \frac{8\pi G}{3} \rho(z) = H_0^2 (1+z)^3$$

$$d_L(z) = \frac{(1+z)}{H_0} \int_0^z \frac{dx}{(1+x)^{3/2}} = \frac{2}{H_0} \left( 1+z - \frac{1}{\sqrt{1+z}} \right)$$

$$d_A(z) = \frac{d_L(z)}{(1+z)^2} = \frac{2}{H_0} \left( \frac{1}{1+z} - \frac{1}{(1+z)^{3/2}} \right)$$

$d_A(z)$  has maximum at  $z = 5/4$

Beyond this redshift, the *farther* is the object, the *larger* it looks on the sky

*Exercise:* Perform the same analysis for a more realistic  $\Lambda$ CDM model (to be described below)

# Gravidynamics of nonrelativistic fluid

- Continuity equation

$$\frac{\partial \rho}{\partial t} + \nabla_{\mathbf{r}}(\rho \mathbf{u}) = 0$$

- Euler equation

$$\frac{\partial \mathbf{u}}{\partial t} + (\mathbf{u} \nabla_{\mathbf{r}}) \mathbf{u} + \frac{\nabla_{\mathbf{r}} p}{\rho} + \nabla_{\mathbf{r}} \phi = 0$$

- Poisson equation

$$\nabla_{\mathbf{r}}^2 \phi = 4\pi G \rho$$

- Equation of state  
( $S$  is entropy per baryon)

$$p = p(\rho, S)$$

- Adiabaticity condition:

$$S = \text{const}$$

# Homogeneous isotropic solution and its perturbation

$$\rho = \varrho(t), \quad \mathbf{u} = H(t) \mathbf{r}$$

Continuity equation:

$$\dot{\varrho} + 3H\varrho = 0 \quad \Rightarrow \quad \rho \propto a^{-3}$$

Euler and Poisson equations  $\rightarrow$  Friedmann equation:

$$\frac{\ddot{a}}{a} = \dot{H} + H^2 = -\frac{4\pi G}{3}\varrho$$

Introduce small perturbations  $\delta\rho$ ,  $\delta\mathbf{u}$

For a dimensionless quantity  $\delta \equiv \delta\rho/\rho$ , one obtains the linear equation  
(in comoving coordinates  $\mathbf{x}$ :  $\mathbf{r} = a\mathbf{x}$ )

$$\ddot{\delta} + 2H\dot{\delta} - \frac{c_s^2}{a^2}\nabla^2\delta - 4\pi G\varrho\delta = 0, \quad c_s^2 = \frac{\partial p}{\partial \rho}$$

# Gravitational instability

In Fourier representation:

$$\ddot{\delta}_{\mathbf{k}} + 2H\dot{\delta}_{\mathbf{k}} + \left( c_s^2 \frac{k^2}{a^2} - 4\pi G\rho \right) \delta_{\mathbf{k}} = 0$$

Perturbations with wavelengths smaller than the **Jeans length**,

$$\lambda < \lambda_J = \frac{2\pi a}{k_J} = \sqrt{\frac{\pi c_s^2}{G\rho}},$$

oscillate, while those with  $\lambda > \lambda_J$  grow according to the law

$$\delta \propto a$$

Large-scale structure in the universe forms from the initial perturbations  $\delta \ll 1$  as they grow to become  $\delta \sim 1$  and then  $\gg 1$

Initial power spectrum  $P(k) = \langle |\delta_{\mathbf{k}}|^2 \rangle$  is part of the standard cosmology