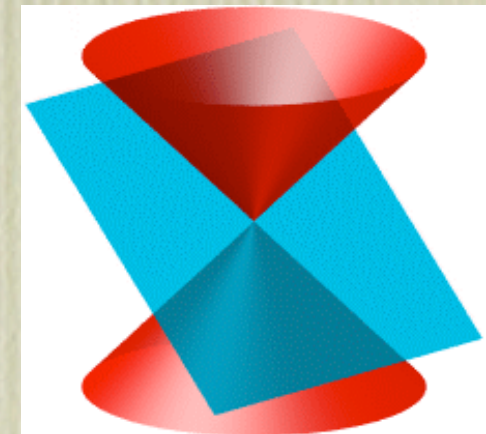


# Azimuthal Spin Asymmetries in SIDIS



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Sept. 20, 2017.

Ref: Tanmay Maji, DC, O.V. Teryaev,  
in preparation



# Introduction

Semi Inclusive DIS:  $\ell p \rightarrow \ell' h X$

- spin asymmetries are observed at the angular distribution of the final hadron.
- spin asymmetries  $\rightarrow$  non-vanishing transverse momentum of the partons
- SIDIS: factorizes into TMDs and fragmentation functions


$$d\sigma^{\ell N \rightarrow \ell' h X} = \sum_{\nu} \hat{f}_{\nu/P}(x, \mathbf{p}_{\perp}; Q^2) \otimes d\hat{\sigma}^{\ell q \rightarrow \ell q} \otimes \hat{D}_{h/\nu}(z, \mathbf{k}_{\perp}; Q^2);$$

*TMDs*

*hard  
scatt*

*fragmentation  
functions*



- The azimuthal asymmetry 

$$A_{S_\ell S_P} = \frac{d\sigma^{\ell(S_\ell)P(S_P) \rightarrow \ell' h X} - d\sigma^{\ell(S_\ell)P(-S_P) \rightarrow \ell' h X}}{d\sigma^{\ell(S_\ell)P(S_P) \rightarrow \ell' h X} + d\sigma^{\ell(S_\ell)P(-S_P) \rightarrow \ell' h X}}.$$

- asymmetries can be written as convolutions of TMDs and fragmentation functions(FFs).
- In cross-section, each structure function comes with a definite angular coeff. contribution of a single TMD can be extracted by introducing corresponding weight-factor.



$$\begin{aligned}
\frac{d\sigma^{\ell(S_\ell)+P(S_P)\rightarrow\ell'P_hX}}{dx_B dy dz d^2\mathbf{P}_{h\perp} d\phi_S} = & \frac{2\alpha^2}{sxy^2} \left\{ \frac{1+(1-y)^2}{2} F_{UU} + (2-y)\sqrt{1-y} \cos\phi_h F_{UU}^{\cos\phi_h} + (1-y) \cos 2\phi_h F_{UU}^{\cos 2\phi_h} \right. \\
& + S_P^L \left[ (1-y) \sin 2\phi_h F_{UL}^{\sin 2\phi_h} + (2-y)\sqrt{1-y} \sin\phi_h F_{UL}^{\sin\phi_h} \right] \\
& + S_P^L S_\ell^z \left[ \frac{1-(1-y)^2}{2} F_{LL} + y\sqrt{1-y} \cos\phi_h F_{LL}^{\cos\phi_h} \right] \\
& + S_P^T \left[ \frac{1+(1-y)^2}{2} \sin(\phi_h - \phi_S) F_{UT}^{\sin(\phi_h - \phi_S)} \right. \\
& + (1-y) \left( \sin(\phi_h + \phi_S) F_{UT}^{\sin(\phi_h + \phi_S)} + \sin(3\phi_h - \phi_S) F_{UT}^{\sin(3\phi_h - \phi_S)} \right) \\
& + (2-y)\sqrt{(1-y)} \left( \sin\phi_S F_{UT}^{\sin\phi_S} + \sin(2\phi_h - \phi_S) F_{UT}^{\sin(2\phi_h - \phi_S)} \right) \left. \right] \\
& + S_P^T S_\ell^z \left[ \frac{1-(1-y)^2}{2} \cos(\phi_h - \phi_S) F_{LT}^{\cos(\phi_h - \phi_S)} \right. \\
& + y\sqrt{1-y} \left( \cos\phi_S F_{LT}^{\cos\phi_S} + \cos(2\phi_h - \phi_S) F_{LT}^{\cos(2\phi_h - \phi_S)} \right) \left. \right] \left. \right\} \quad (4)
\end{aligned}$$

The weighted structure functions,  $F_{S_\ell S}^{\mathcal{W}(\phi_h, \phi_S)}$ , are defined as

$$\begin{aligned}
F_{S_\ell S}^{\mathcal{W}(\phi_h, \phi_S)} &= \mathcal{C}[\mathcal{W} \hat{f}(x, \mathbf{p}_\perp) \hat{D}(z, \mathbf{k}_\perp)] \\
&= \sum_\nu e_\nu^2 \int d^2\mathbf{p}_\perp d^2\mathbf{k}_\perp \delta^{(2)}(\mathbf{P}_{h\perp} - z\mathbf{p}_\perp - \mathbf{k}_\perp) \mathcal{W}(\mathbf{p}_\perp, \mathbf{P}_{h\perp}) \hat{f}^\nu(x, \mathbf{p}_\perp) \hat{D}^\nu(z, \mathbf{k}_\perp),
\end{aligned}$$



- at leading twist 8 TMDs:
 

6 T-even  
 2 T-odd
- 2 fragmentation functions for final unpolarized hadrons

chiral even  $D_1(z, k_\perp^2)$

fragmentation of an unpolarized quark

chiral odd  $H_1^\perp(z, k_\perp^2)$  Collins function

fragmentation of a transversely polarized quark



- chiral odd  $h_{1L}^\perp(x, p_\perp^2)$  couples with chiral odd  $H_1^\perp(z, P_{h\perp})$  and measured in SSA with unpolarized lepton and longitudinally polarized proton:

$$A_{UL} \sim h_{1L}^\perp(x, p_\perp^2) \otimes H_1^\perp(z, P_{h\perp})$$

- transversity TMD:

$$A_{UT} \sim h_1(x, p_\perp^2) \otimes H_1^\perp(z, P_{h\perp})$$

- chiral even  $g_{1T}^\perp(x, p_\perp^2)$  accessed in DSA

$$A_{LT} \sim g_{1T}^\perp(x, p_\perp^2) \otimes D_1(z, P_{h\perp})$$

We consider the SIDIS for  $\pi^+$   
and  $\pi^-$  channels



# SIDIS kinematics

incoming proton

$$P \equiv (P^+, \frac{M^2}{P^+}, \mathbf{0}_\perp)$$

virtual photon

$$q \equiv (x_B P^+, \frac{Q^2}{x_B P^+}, \mathbf{0}_\perp)$$

struck quark

$$p \equiv (x P^+, \frac{p^2 + |\mathbf{p}_\perp|^2}{x P^+}, \mathbf{p}_\perp)$$

diquark

$$p_D \equiv ((1-x)P^+, \frac{p^2 + |\mathbf{p}_\perp|^2}{(1-x)P^+}, -\mathbf{p}_\perp)$$

produced hadron

$$\mathbf{P}_h \equiv (P^+, P^-, \mathbf{P}_{h\perp})$$

Bjorken variable

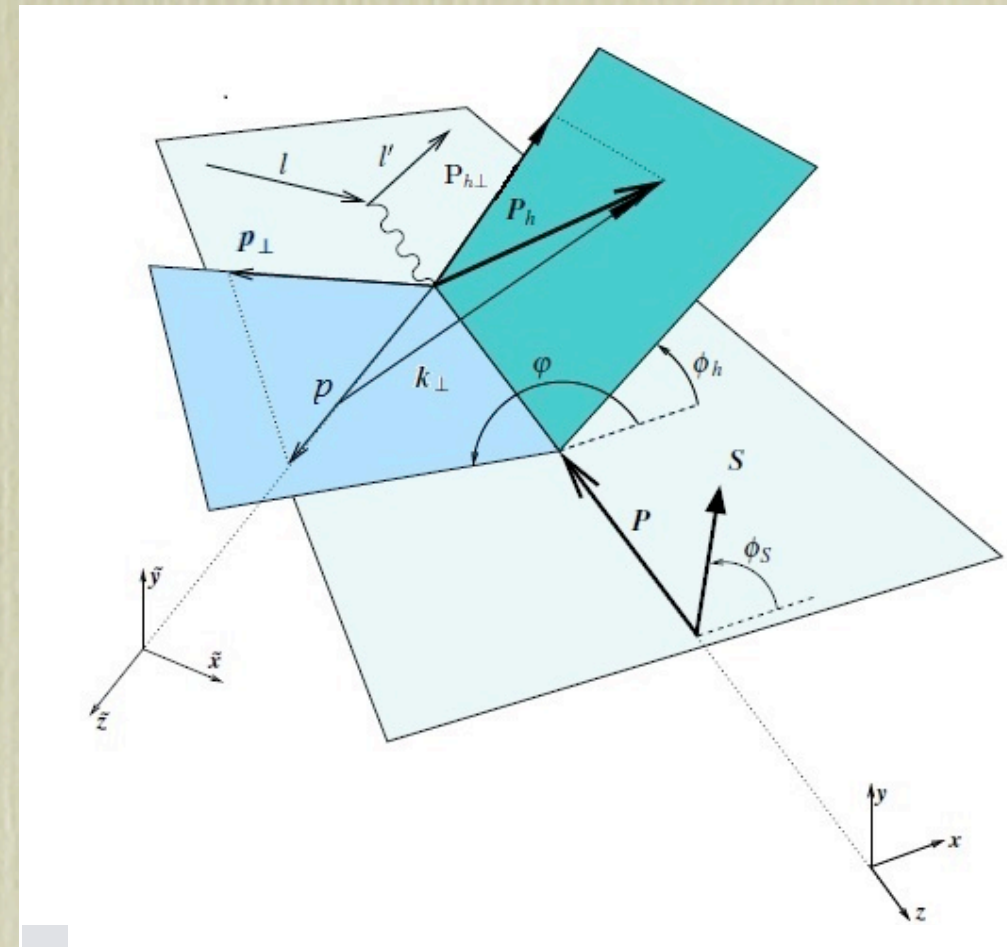
$$x = \frac{Q^2}{2(P \cdot q)} = x_B$$

The fractional energy transferred by the photon

$$y = \frac{P \cdot q}{P \cdot \ell} = \frac{Q^2}{sx}$$

the energy fraction carried by the produced hadron

$$z = \mathbf{P}_h^- / k^- = \frac{P \cdot P_h}{P \cdot q} = z_h.$$



$\gamma^* - P$  center of mass frame:

[Fig: Anselmino et al, PRD 75,054032]



## The model

- Light-front quark-diquark model considering both scalar and axial vector diquarks: [Jakob, Mulders, Rodrigues, NPA626, 937]

$$|P; \pm\rangle = C_S |u S^0\rangle^\pm + C_V |u A^0\rangle^\pm + C_{VV} |d A^1\rangle^\pm.$$

$S$  = scalar diquark     $A$  = axialvector diquark

(isospin at the superscript)

$$|u S\rangle^\pm = \int \frac{dx d^2\mathbf{p}_\perp}{2(2\pi)^3 \sqrt{x(1-x)}} \left[ \psi_+^{\pm(u)}(x, \mathbf{p}_\perp, \mu) | + \frac{1}{2} s; xP^+, \mathbf{p}_\perp \rangle + \psi_-^{\pm(u)}(x, \mathbf{p}_\perp, \mu) | - \frac{1}{2} s; xP^+, \mathbf{p}_\perp \rangle \right],$$

LF  
wavefunctions

$$\psi_+^{+(\nu)}(x, \mathbf{p}_\perp, \mu) = N_s \varphi_1^{(\nu)}(x, \mathbf{p}_\perp, \mu),$$

$$\psi_-^{+(\nu)}(x, \mathbf{p}_\perp, \mu) = N_s \left( -\frac{p^1 + ip^2}{xM} \right) \varphi_2^{(\nu)}(x, \mathbf{p}_\perp, \mu),$$

$$\psi_+^{-( \nu)}(x, \mathbf{p}_\perp, \mu) = N_s \left( \frac{p^1 - ip^2}{xM} \right) \varphi_2^{(\nu)}(x, \mathbf{p}_\perp, \mu),$$

$$\psi_-^{-( \nu)}(x, \mathbf{p}_\perp, \mu) = N_s \varphi_1^{(\nu)}(x, \mathbf{p}_\perp, \mu),$$



- general form of the LF wavefunctions:

$$\psi_{\lambda\Lambda}^q(x, p_{\perp}) = N^q f(x, p_{\perp}, \lambda, \Lambda) \phi_i^q(x, p_{\perp})$$

- The two-particle LF wavefunctions are adopted from AdS/QCD prediction

[Brodsky and Teramond arXiv:1203.4025]

$$\varphi_i^{(\nu)}(x, \mathbf{p}_{\perp}) = \frac{4\pi}{\kappa} \sqrt{\frac{\log(1/x)}{1-x}} x^{a_i^{\nu}} (1-x)^{b_i^{\nu}} \exp \left[ -\delta^{\nu} \frac{\mathbf{p}_{\perp}^2}{2\kappa^2} \frac{\log(1/x)}{(1-x)^2} \right].$$

$$\kappa = 0.4 \text{ GeV}$$

$a_i^{\nu}, b_i^{\nu}$  and  $\delta^{\nu}$  are fixed by fitting to EM formfactors.

[T. Maji and DC, PRD 94, 094020]



## scale evolution

- QCD evolution of unpolarized TMDs and FFs

[Aybat and Rogers, PRD83, 114042]

TMD evolution in coord space:

[Aybat, Collins, Qiu and Rogers, PRD85, 034043]

TMD

$$\tilde{F}(x, \mathbf{b}_\perp; \mu) = \tilde{F}(x, \mathbf{b}_\perp; \mu_0) \tilde{R}(\mu, \mu_0, b_T) \exp \left[ -g_K(b_T) \ln \left( \frac{\mu}{\mu_0} \right) \right],$$

$$g_K(b_T) = \frac{1}{2} g_2 b_T^2$$
$$g_2 = 0.68 \text{ GeV}^2$$

kernel

$$\tilde{R}(\mu, \mu_0, b_T) = \exp \left[ \ln \frac{\mu}{\mu_0} \int_\mu^{\mu_b} \frac{d\mu'}{\mu'} \gamma_K(\mu') + \int_{\mu_0}^\mu \frac{d\mu'}{\mu'} \gamma_F \left( \mu', \frac{\mu^2}{\mu'^2} \right) \right].$$

$$\gamma_F \left( \mu', \frac{\mu^2}{\mu'^2} \right) = \alpha_s(\mu') \frac{C_F}{\pi} \left( \frac{3}{2} - \ln \frac{\mu^2}{\mu'^2} \right),$$

$$\gamma_K(\mu') = \alpha_s(\mu') \frac{C_F}{\pi}.$$

- parameter evolution [T. Maji and DC, PRD 94, 094020]

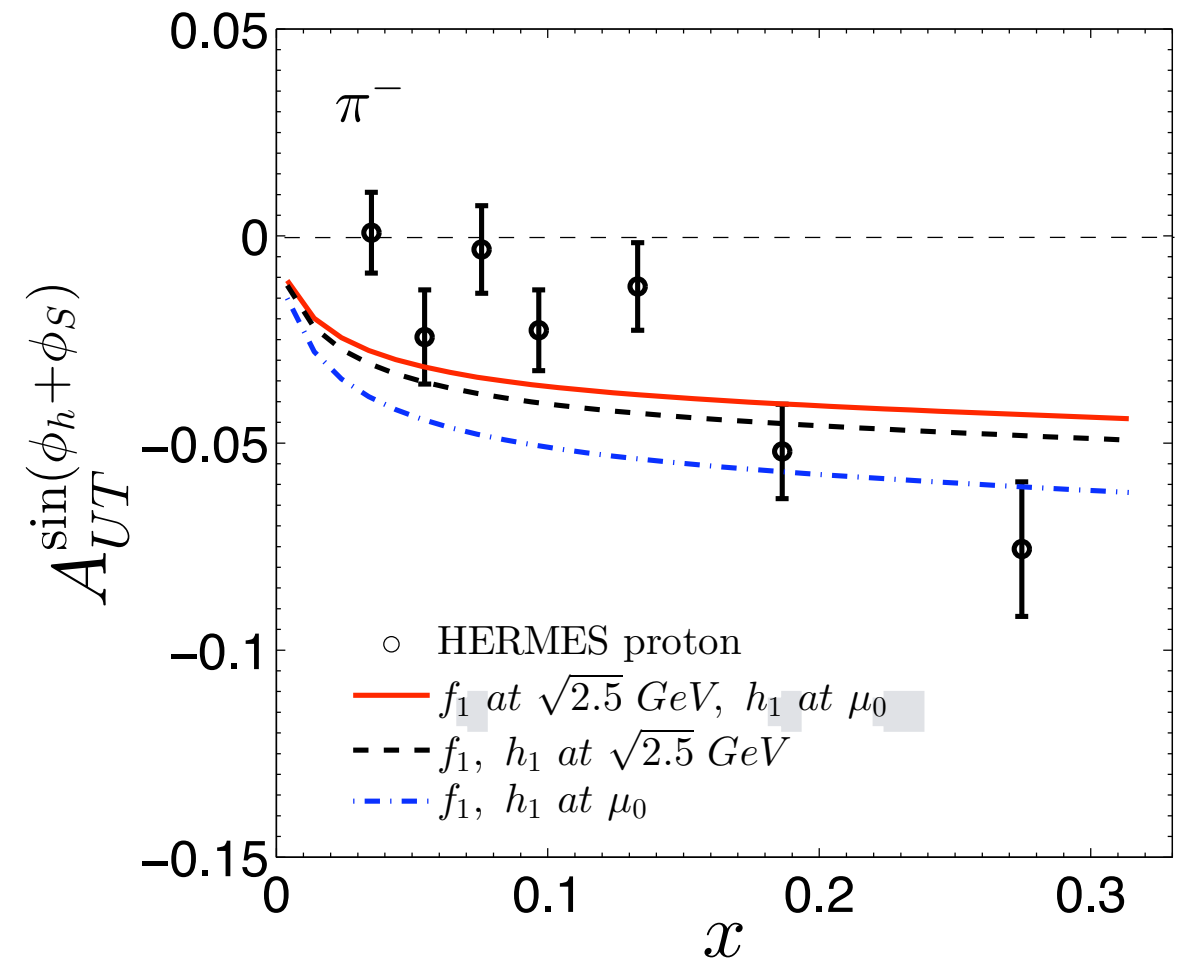
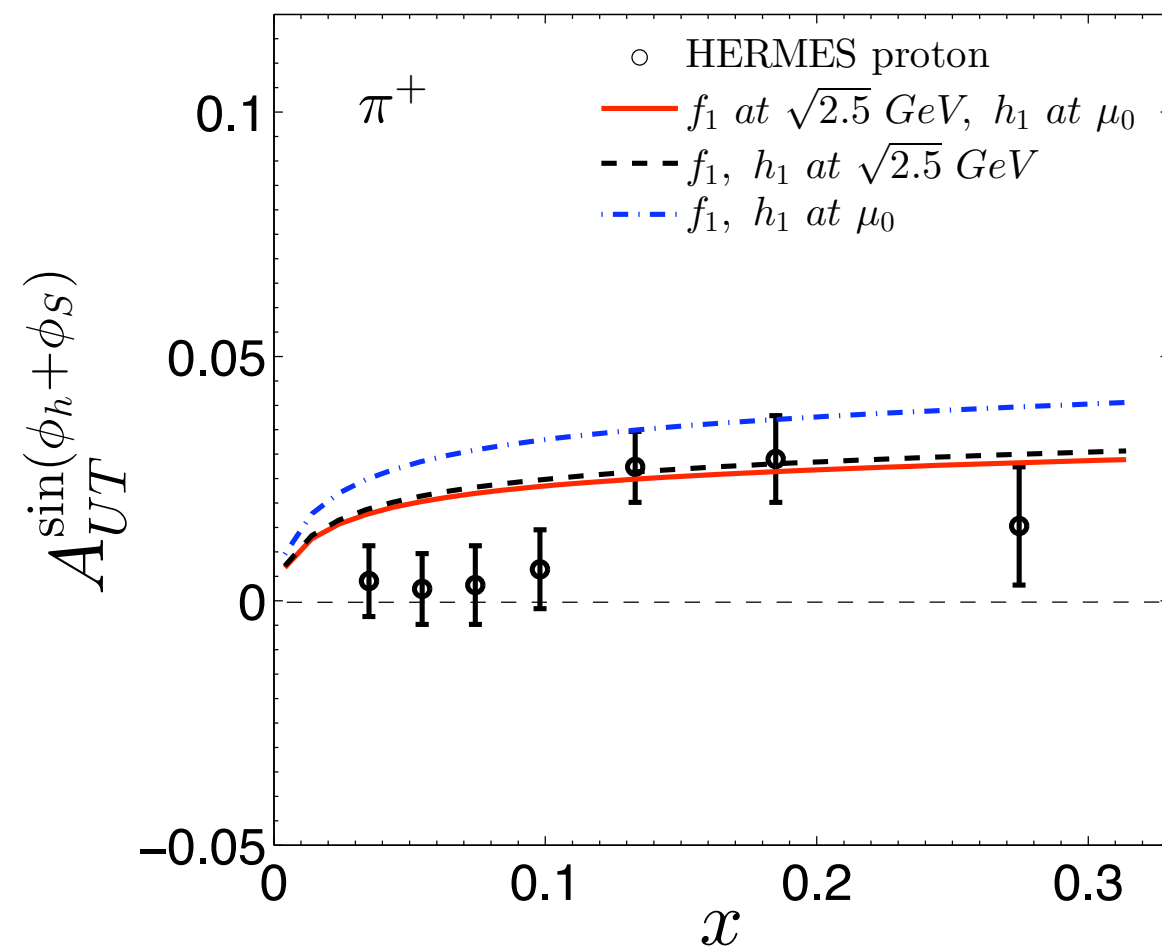
parameters in the model are fitted to follow DGLAP for pdfs, same scale dependence of the parameters is used for TMDs



- One can adopt the same QCD evolution for polarized TMDs to predict the asym.
- for Collins asym, we compare three schemes:
  - (i)  $f_1^\nu$  is at  $\mu^2 = 2.5 \text{ GeV}^2$  and  $h_1^\nu$  is at initial scale  $\mu_0$ ,
  - (ii) both  $f_1^\nu$  and  $h_1^\nu$  are at  $\mu^2 = 2.5 \text{ GeV}^2$
  - (iii) both  $f_1^\nu$  and  $h_1^\nu$  are at  $\mu_0^2$ .
- **scheme(i) is found to be the closest to the data!**
- We adopt scheme(i), the uncertainty/error is limited in the polarized TMDs only.



# *comparision of the different schemes*





# Collins asymmetry

## comparison with HERMES data

- \* asymmetries are functions of  $x, z, \mathbf{P}_{h\perp}, y$  and scale  $\mu$   
but exptl data are integrated asym for one variable at a time
- \* integrated asym are estimated by integrating over the variables in the corresponding kinematical limits

### kinematical limits for HERMES

$$0.023 \leq x \leq 0.4,$$

$$0.1 \leq y \leq 0.95$$

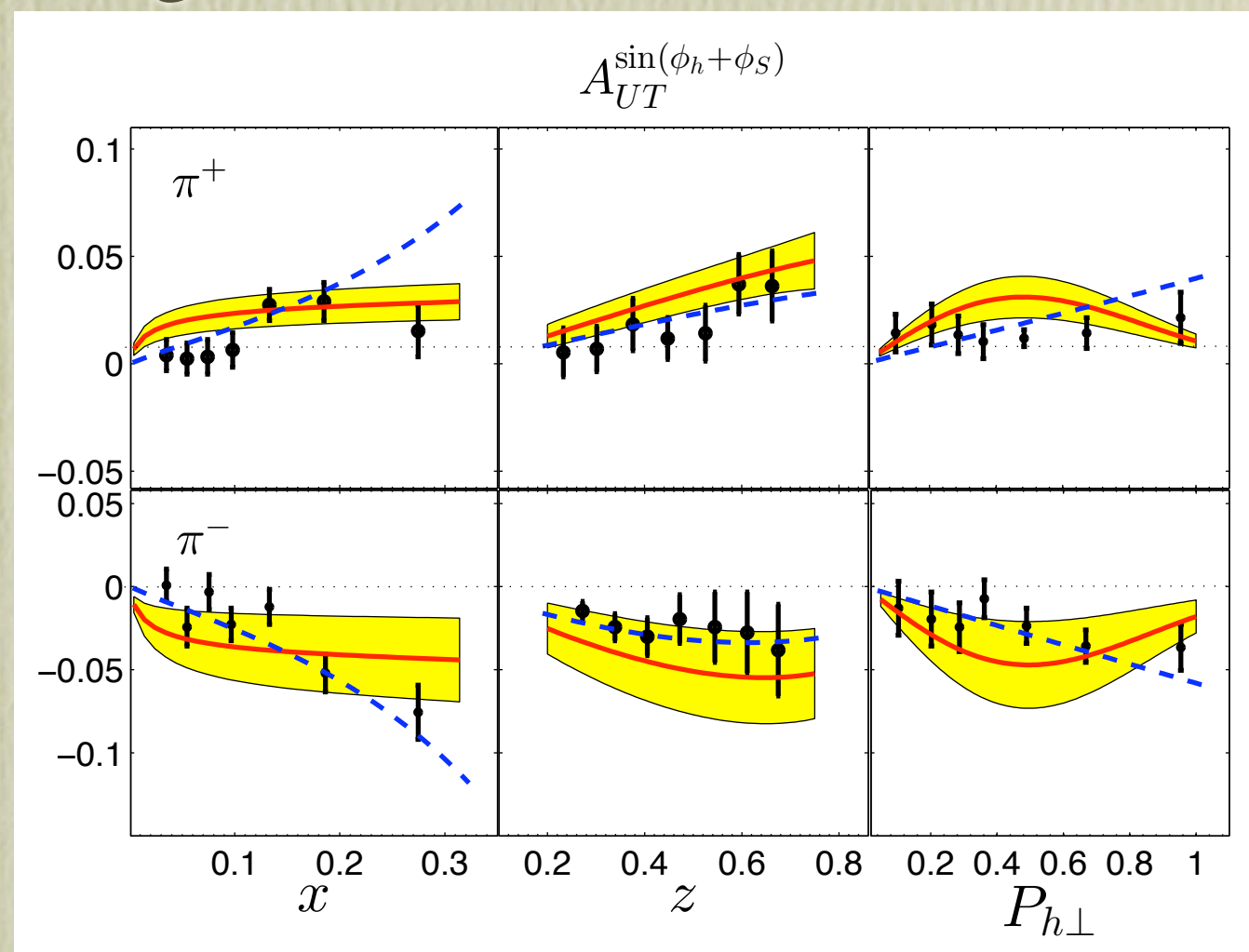
$$0.2 \leq z \leq 0.7$$

red: QCD evolution

blue: parameter evol

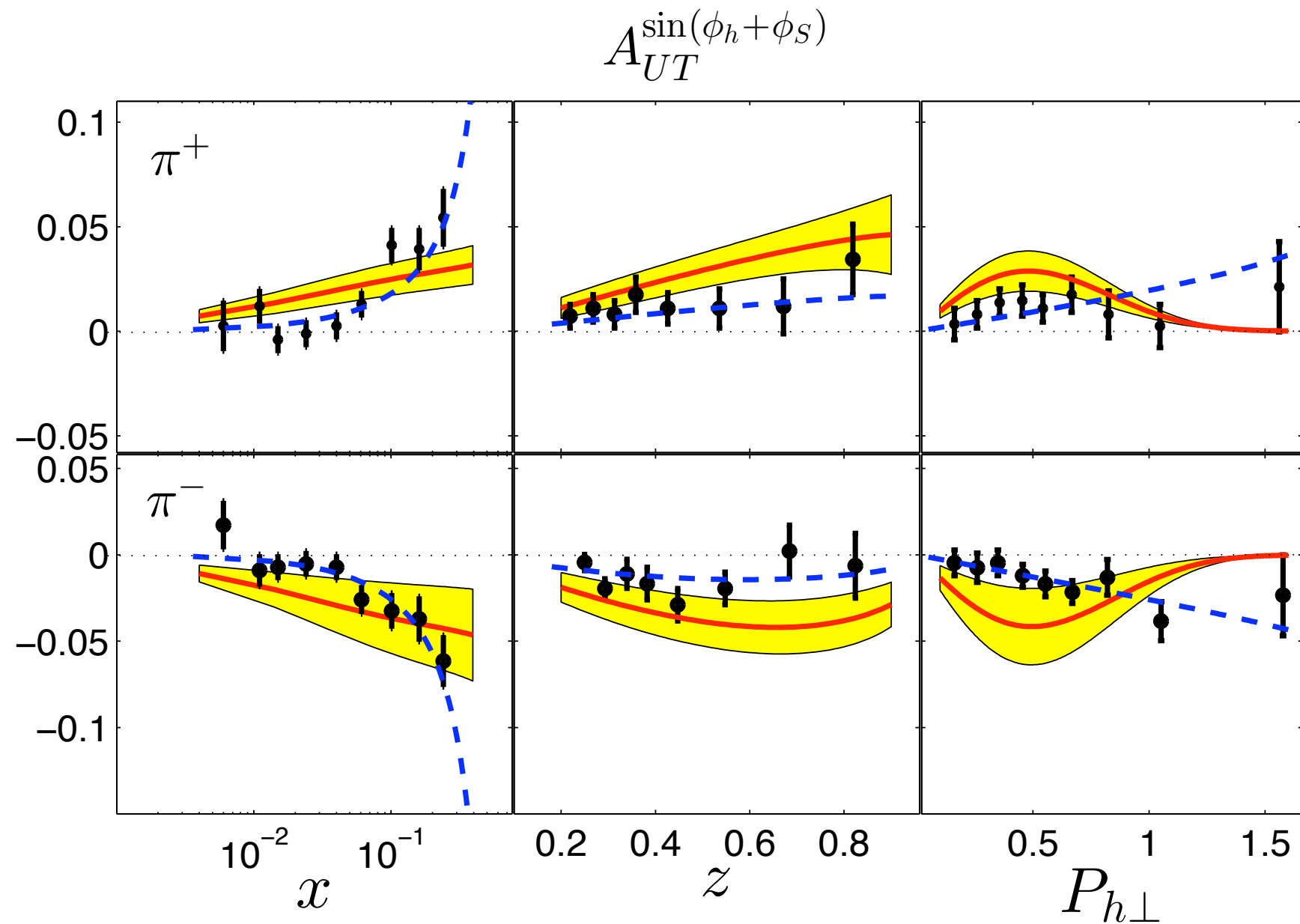
$h_1$  at initial scale

$f_1^\nu$  evolved to  $\mu^2 = 2.5 \text{ GeV}^2$





# comparison with COMPASS data



$$0.003 \leq x \leq 0.7$$

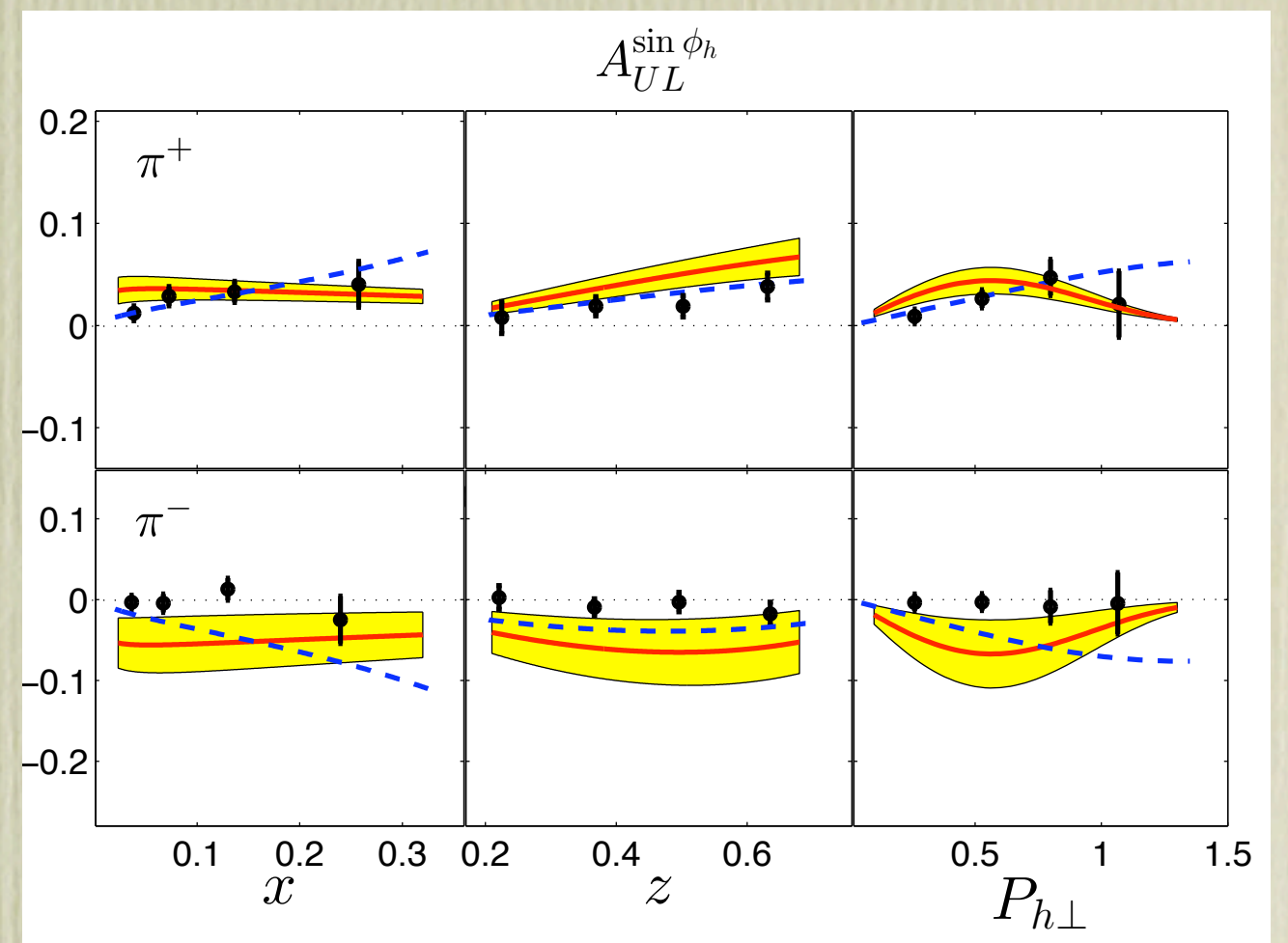
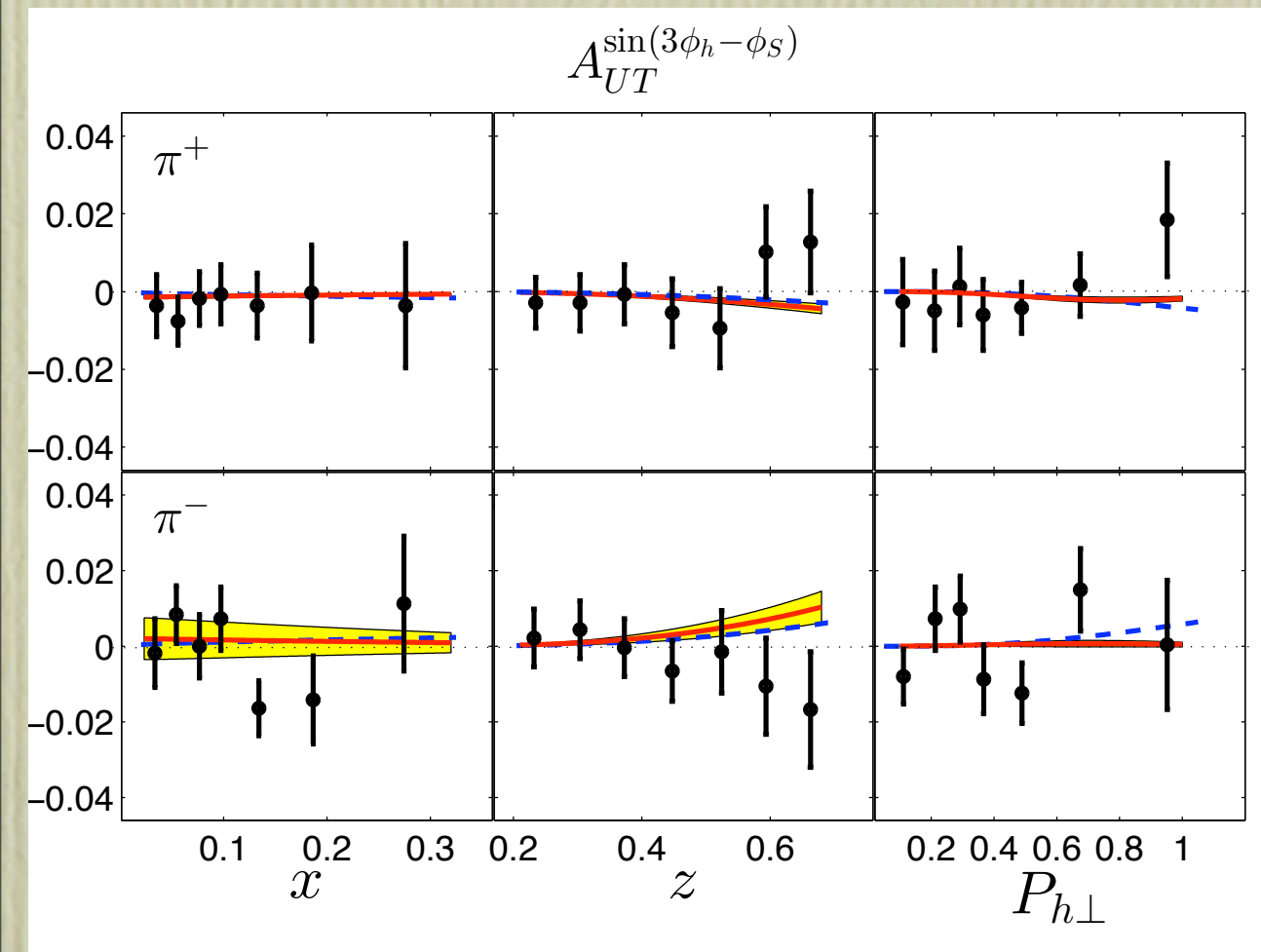
$$0.1 \leq y \leq 0.9$$

$$0.2 \leq z \leq 1.0$$

red: QCD evolution  
blue: parameter evol



# model predictions for other SSAs [HERMES data]

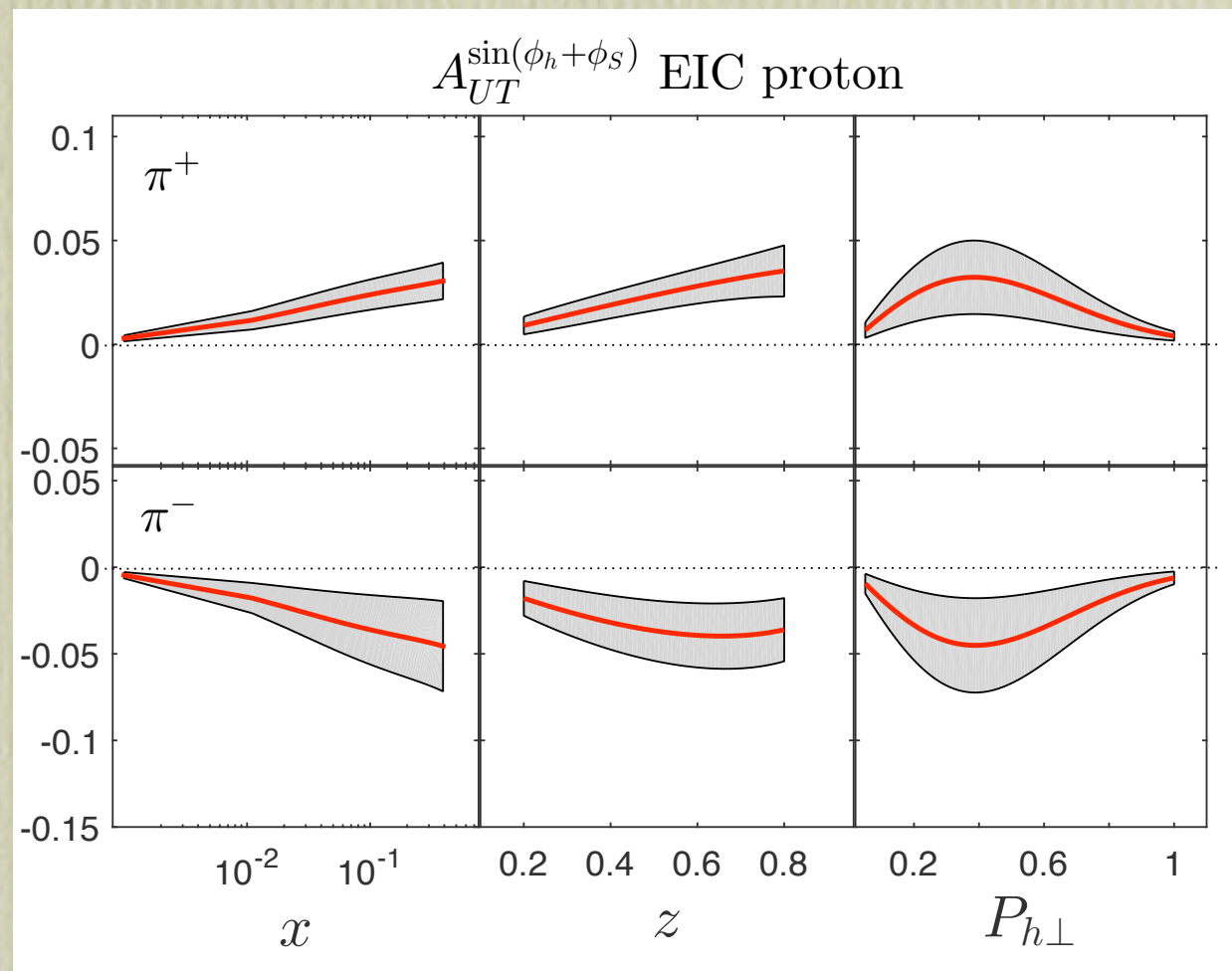




# *prediction for Electron-Ion collider*

- EIC: future collider [Ref. A. Deshpande's talk]
- We predict the Collins asymmetry for EIC kinematics at  $\sqrt{s} = 45 \text{ GeV}$  and  $\mu^2 = 100 \text{ GeV}^2$

$$0.001 < x < 0.4, \quad 0.2 < z < 0.8, \\ 0.05 < P_{h\perp} < 1, \quad 0.01 < y < 0.95,$$





## some remarks:

- **Model prediction:**  $A_{UT}^{\sin(3\phi_h - \phi_S)}$  is suppressed by a factor of  $P_{h\perp}^2/M^2$  compared to  $A_{UT}^{\sin(\phi_h + \phi_S)}$  and expected to be small,  
**expt result: very close to zero.**
- parameter evolution: follows DGLAP evolution. But TMDs don't follow DGLAP. SSAs involve ratios of TMDs and FFs. Interestingly parameter evolution predicts SSAs very well!
- proper QCD evolution for all polarized TMDs are required for better predictions!

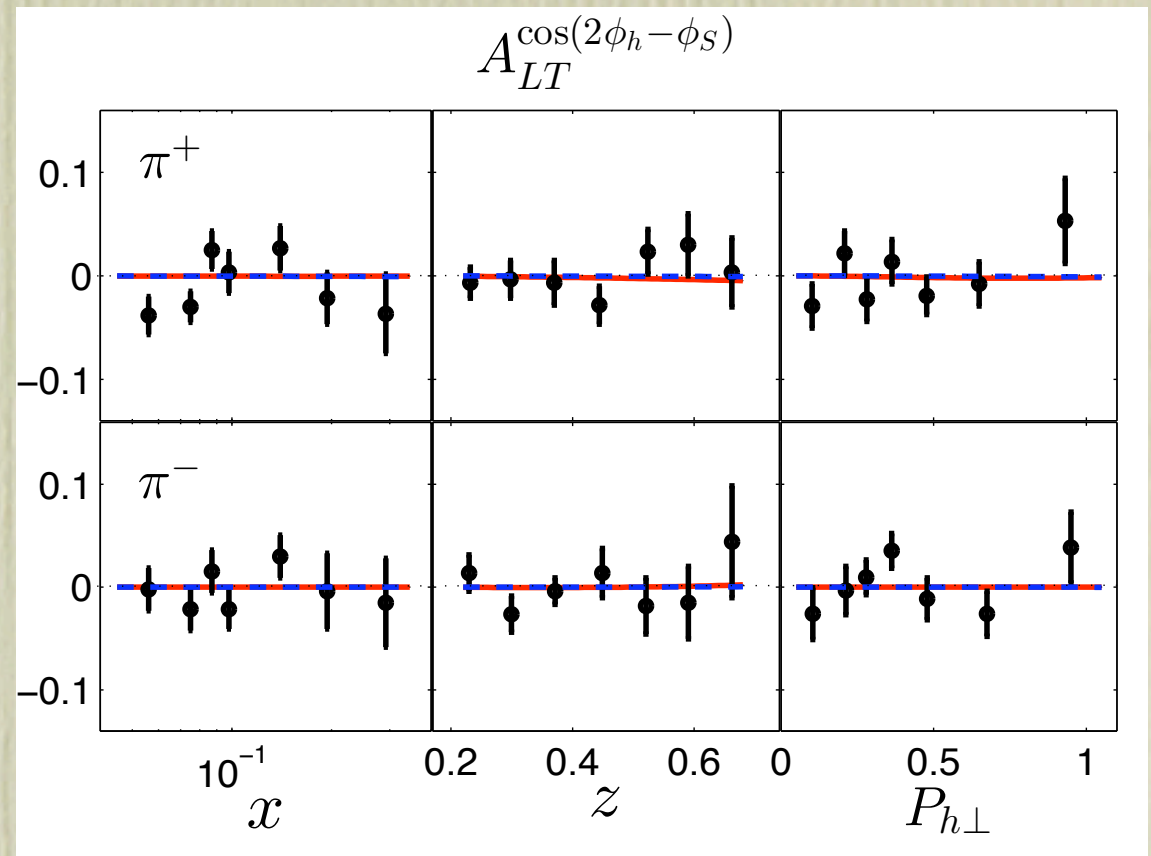
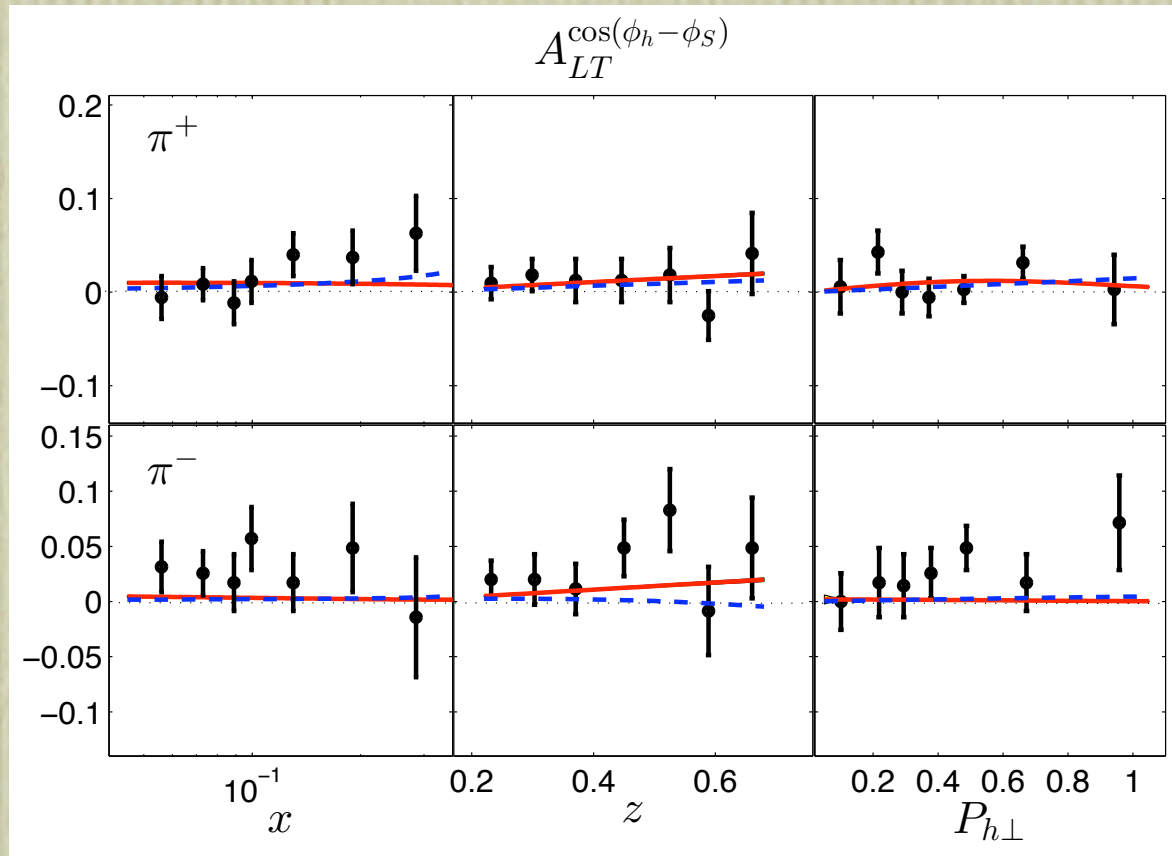


# Double Spin Asymmetries(DSA)

- when both the incoming lepton and the proton are polarized =>DSA
- DSAs measured in many experiments for longitudinally polarized lepton and long/transversely polarized proton.
- SSAs discussed here are proportional to Collins function  $H_1^\perp(z, \mathbf{k}_\perp)$
- DSAs are proportional to chiral even FF  $D_1^{h/\nu}(z, \mathbf{k}_\perp)$



# DSA: comparison with HERMES data:



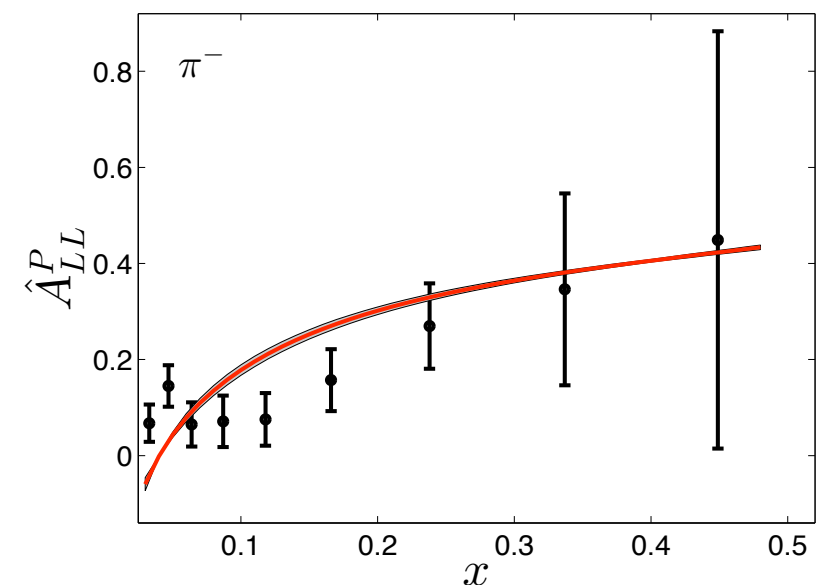
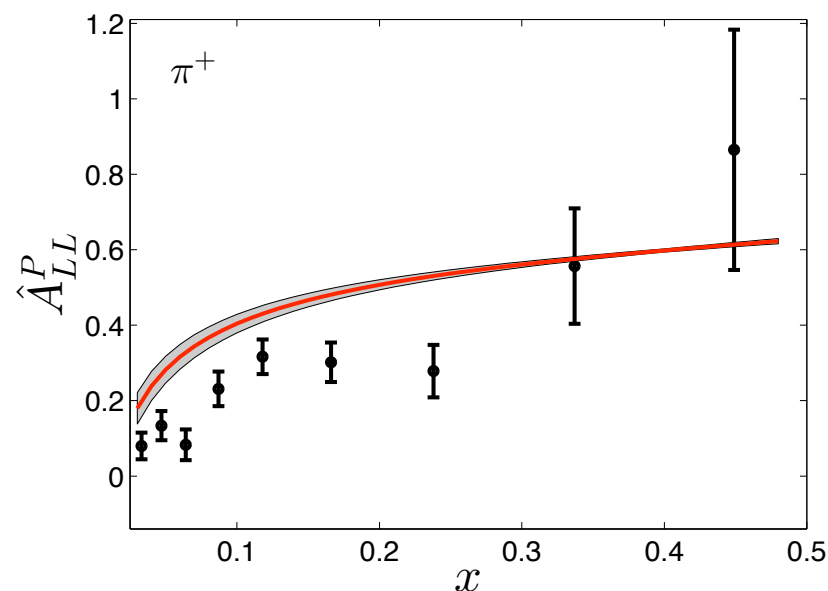


# Integrated DSAs

- DSAs integrated over transverse momentum, defined in terms of helicity PDFs

$$\hat{A}_{LL}^P(x, z, \mu) = \frac{\sum_{\nu} e_{\nu}^2 g_1(x, \mu) D_1^{h/\nu}(z, \mu)}{\sum_{\nu} e_{\nu}^2 f_1(x, \mu) D_1^{h/\nu}(z, \mu)}$$

comparison  
with  
HERMES  
data



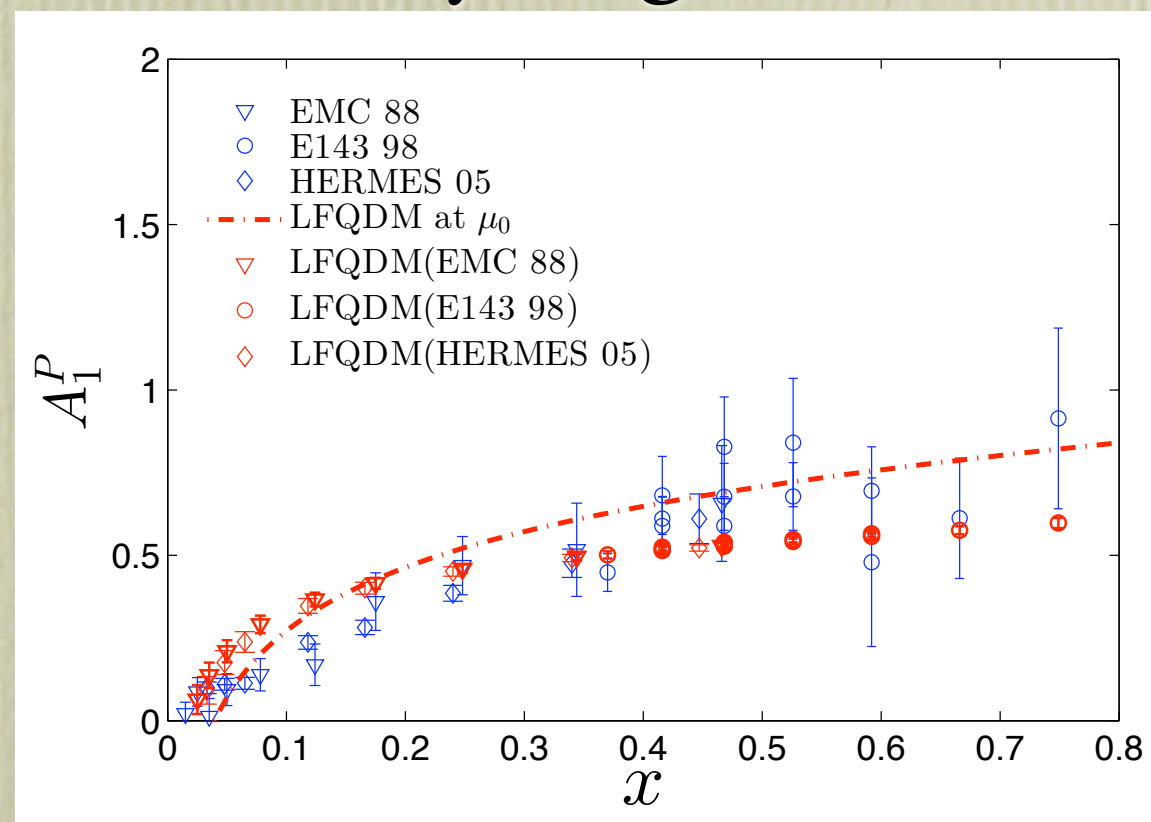
- \* all the distributions are taken at  $\mu^2 = 2.5 \text{ GeV}^2$ .
- \* considered bin averaged value of  $z = 0.46$ .



- If no final hadron is observed [DIS], the DSA for proton is given by

$$A_1^P = \frac{\sum_{\nu} e_{\nu}^2 g_1(x)}{\sum_{\nu} e_{\nu}^2 f_1(x)}$$

- does not involve any frag. function.



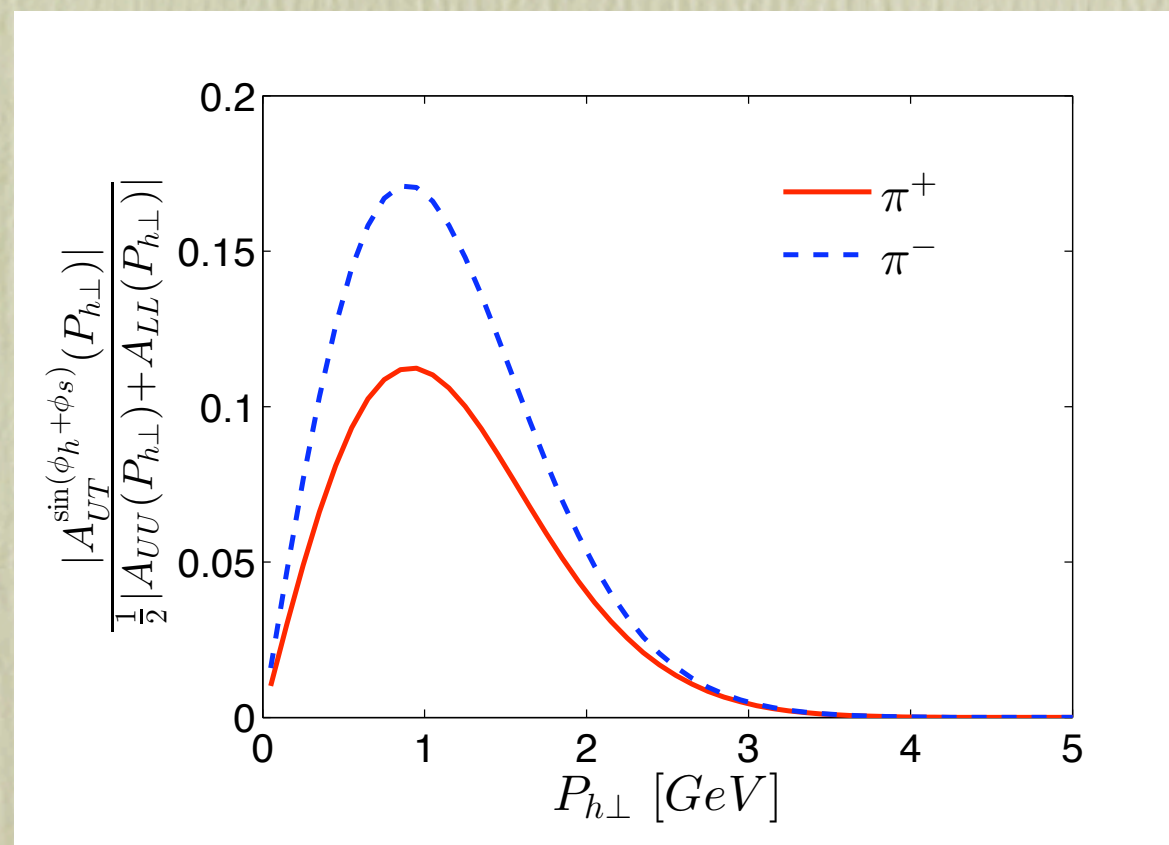


# inequalities

- ★ SSA and DSA satisfy a Soffer bound type inequality

$$A_{UT}^{\sin(\phi_h + \phi_s)}(P_{h\perp}) \leq \frac{1}{2} |A_{UU}(P_{h\perp}) + A_{LL}(P_{h\perp})|$$

$\hat{h}_1^\nu$   $f_1^\nu$   $g_1^\nu$



another inequality

$$\left| \frac{\mathbf{P}_{h\perp}^2}{2M^2} A_{UT}^{\sin(3\phi_h - \phi_s)}(P_{h\perp}) \right| \leq \frac{1}{2} |A_{UU}(P_{h\perp}) - A_{LL}(P_{h\perp})|$$



## some equalities:

★ ratio of asymmetries associated with same TMDs

$$\begin{aligned}
 \frac{A_{UL}^{\sin(2\phi_h)} / (zP_{h\perp})}{A_{UL}^{\sin(\phi_h)} \langle P_{h\perp}^2 \rangle_C / \langle \hat{m}_\perp^2 \rangle} &= (-Q) \frac{1-y}{2(2-y)\sqrt{1-y}} \\
 \frac{A_{LL}}{A_{LL}^{\cos\phi_h} \langle P_{h\perp}^2 \rangle / (zP_{h\perp} \langle p_\perp^2 \rangle_x)} &= (-Q) \frac{1-(1-y)^2}{4y\sqrt{1-y}} \\
 \frac{A_{LT}^{\cos(\phi_h-\phi_S)} / (zP_{h\perp})}{A_{LT}^{\cos\phi_S} \langle P_{h\perp}^2 \rangle / \langle \hat{n}_\perp^2 \rangle} &= (-Q) \frac{1-(1-y)^2}{2y\sqrt{1-y}} \\
 \frac{A_{LT}^{\cos(\phi_h-\phi_S)}}{A_{LT}^{\cos(2\phi_h-\phi_S)} \langle P_{h\perp}^2 \rangle / (zP_{h\perp} \langle p_\perp^2 \rangle_x)} &= (-Q) \frac{1-(1-y)^2}{2y\sqrt{1-y}}
 \end{aligned}$$

RHS  
indep of  
x,z,P\_h

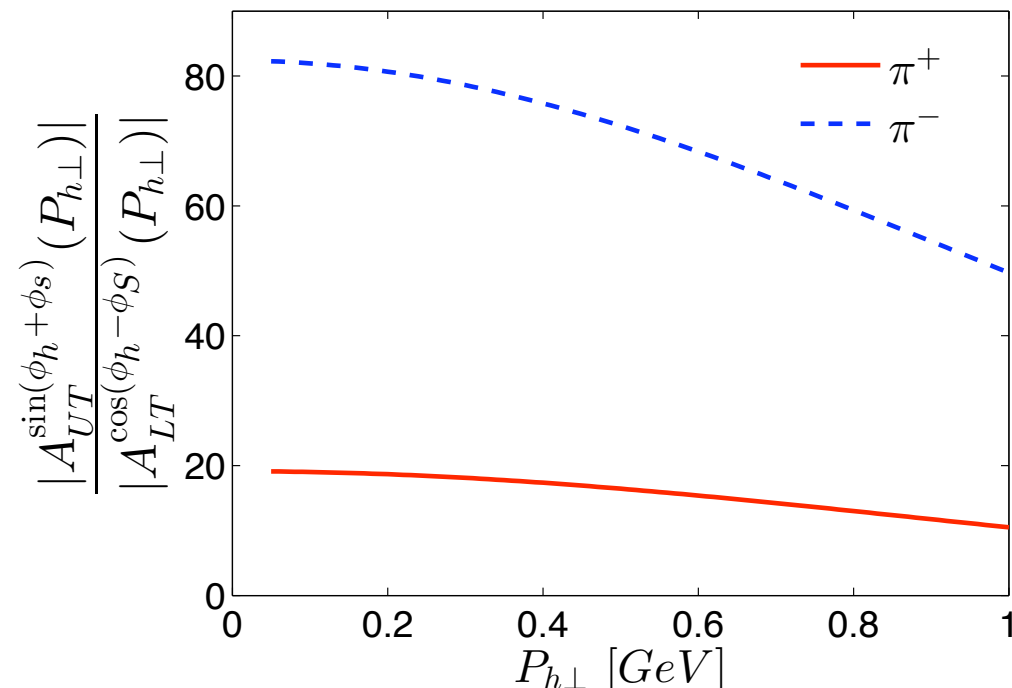
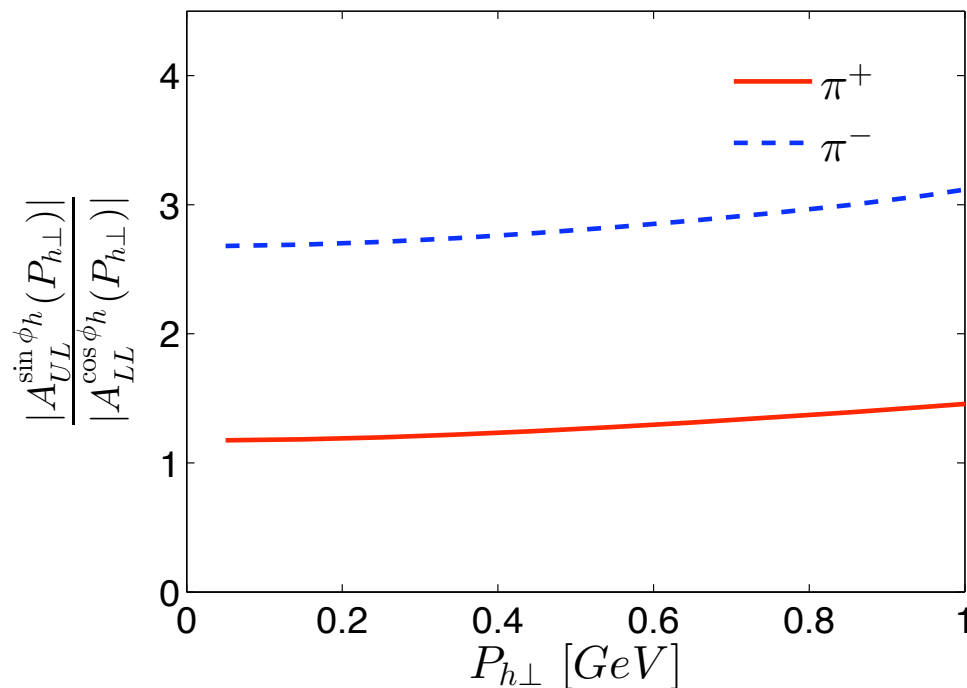
where

$$\begin{aligned}
 \langle \hat{m}_\perp^2 \rangle &= \left[ \langle k_\perp^2 \rangle_C \langle P_{h\perp}^2 \rangle_C + z \langle p_\perp^2 \rangle_x (P_{h\perp}^2 - \langle P_{h\perp}^2 \rangle_C) \right] \\
 \langle \hat{n}_\perp^2 \rangle &= [\langle k_\perp^2 \rangle \langle p_\perp^2 \rangle + z^2 P_{h\perp}^2 \langle p_\perp^2 \rangle]
 \end{aligned}$$



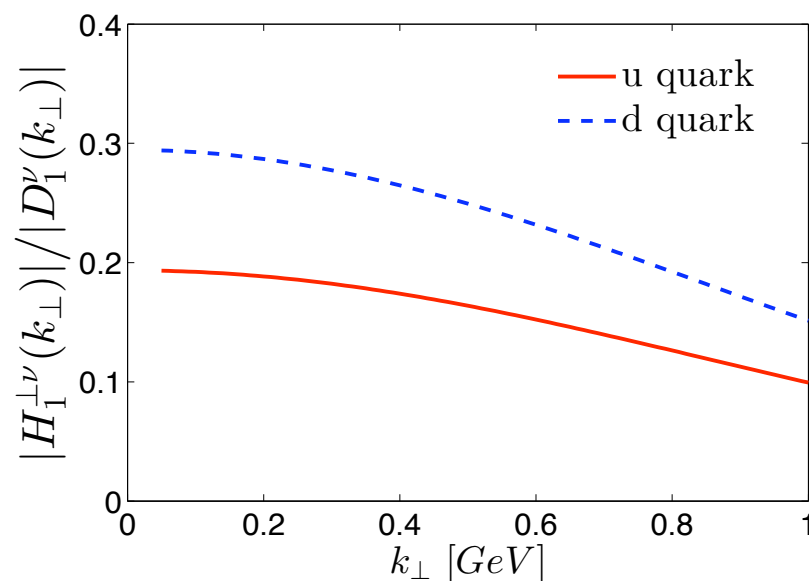
# ratios of SSA and DSA

- Ratio for  $\pi^-$  is larger than  $\pi^+$



preferred  
fragmentations:

u  $\rightarrow$   $\pi^+$   
d  $\rightarrow$   $\pi^-$



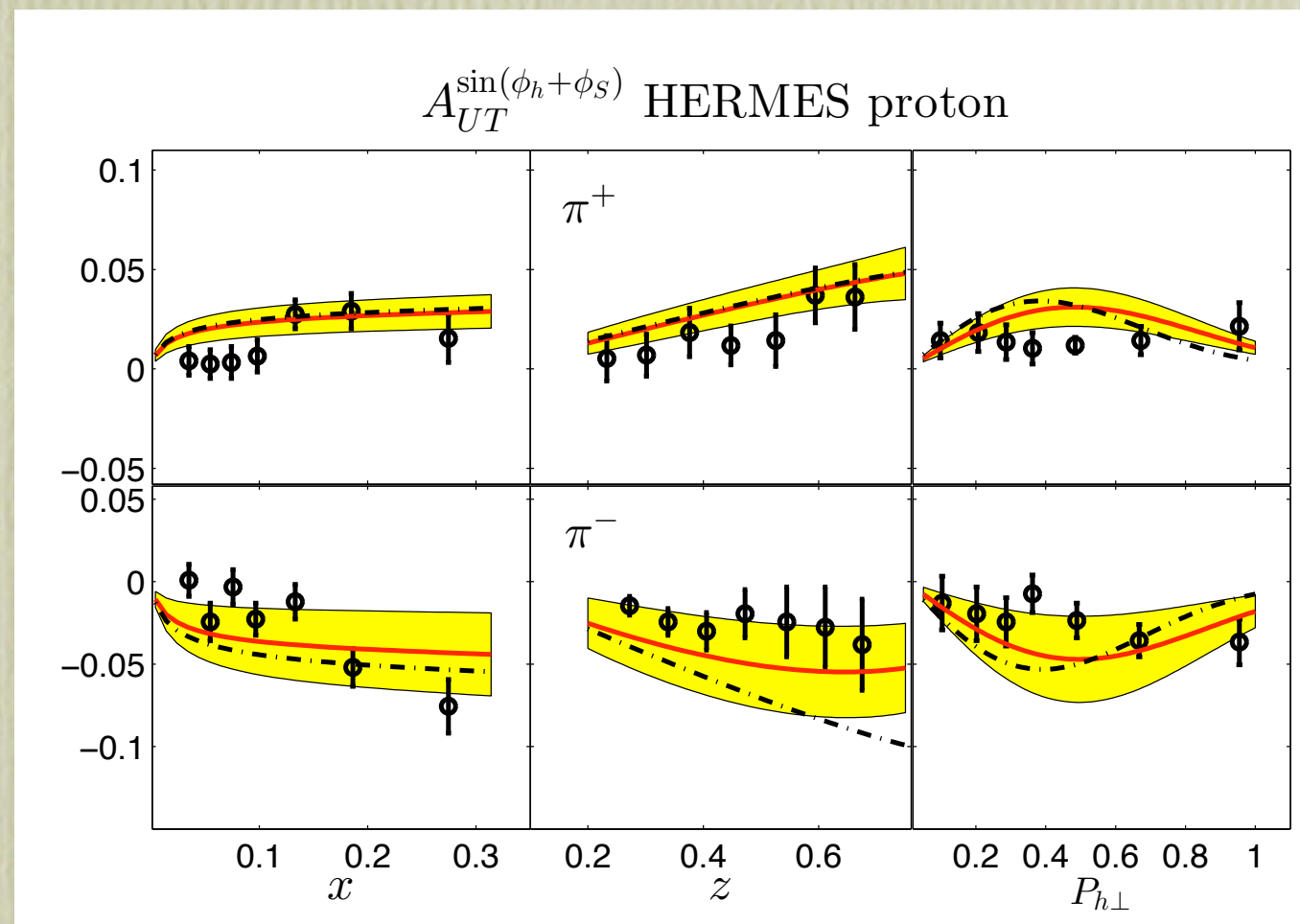
ratio of the FFs for  
d is larger than u



## how much the axial vector diquark contribute?

- we evaluate the SSAs with  $C_{VV} = 0$  i.e., without uu - axial vector diquark.

black dot-dashed  
line:  $C_{VV} = 0$



- for  $\pi^+$  channel: uu contributes in unfavored FF
- for  $\pi^-$  channel: uu contributes in favored FF



# Sivers & Boer-Mulders Asymmetries

[DC, T. Maji, A. Mukherjee, in preparation]

- Sivers and Boer-Mulders functions are T-odd.
- Require a complex phase in the LFWFs.
- Sivers function: distribution of unpolarized quark inside a transversely polarized proton.
- Boer-Mulders function: transversely polarized quark inside an unpolarized proton.
- Sivers/Boer-Mulders asymmetries: experimentally observed.

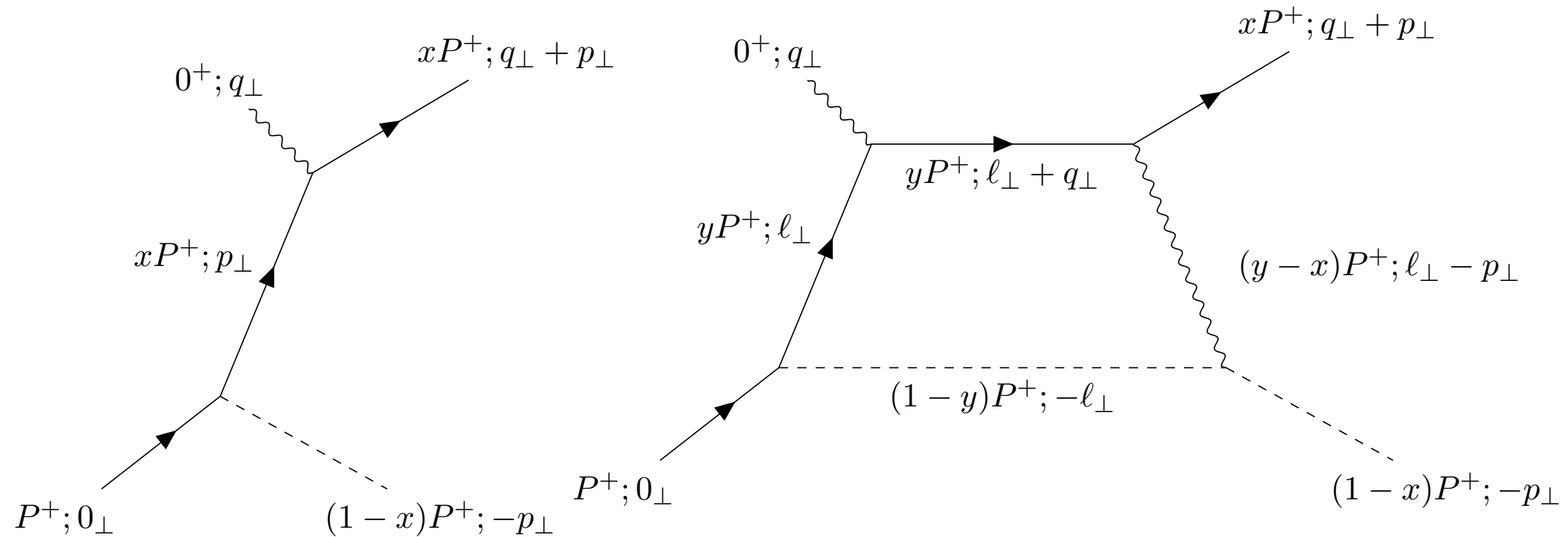


- both are process dependent.
- Both are studied in diff. models.
- LFWFs modified to incorporate the FSI.



# modified LFWFs

[D.S Hwang, 1003.0867]



LFWF

$$\psi_{\lambda\Lambda}^q(x, p_\perp) = N^q f(x, p_\perp, \lambda, \Lambda) \phi_i^q(x, p_\perp)$$

modifies to

$$\psi_{\lambda\Lambda}^q(x, p_\perp) = N^q f(x, p_\perp, \lambda, \Lambda) \left(1 + i \frac{e_1 e_2}{8\pi} (p_\perp^2 + B) g_i\right) \phi_i^q(x, p_\perp)$$

$$g_1 = \int_0^1 d\alpha \frac{-1}{\alpha(1-\alpha)\mathbf{p}_\perp^2 + \alpha m_g^2 + (1-\alpha)B}$$

$$g_2 = \int_0^1 d\alpha \frac{-\alpha}{\alpha(1-\alpha)\mathbf{p}_\perp^2 + \alpha m_g^2 + (1-\alpha)B}$$

$$B = x(1-x) \left(-M^2 + \frac{m_q^2}{x} + \frac{m_D}{1-x}\right)$$



# Sivers & Boer-Mulders functions

Sivers

$$f_{1T}^{\perp\nu}(x, \mathbf{p}_{\perp}^2) = \left( C_S^2 N_S^{\nu 2} - C_A^2 \frac{1}{3} N_0^{\nu 2} \right) f^{\nu}(x, \mathbf{p}_{\perp}^2)$$

Boer-Mulders

$$h_1^{\perp\nu}(x, \mathbf{p}_{\perp}^2) = \left( C_S^2 N_S^{\nu 2} + C_A^2 \left( \frac{1}{3} N_0^{\nu 2} + \frac{2}{3} N_1^{\nu 2} \right) \right) f^{\nu}(x, \mathbf{p}_{\perp}^2),$$

$$\begin{aligned} f^{\nu}(x, \mathbf{p}_{\perp}^2) = & -C_F \alpha_s \left[ \mathbf{p}_{\perp}^2 + x(1-x)(-M^2 + \frac{m_D^2}{1-x} + \frac{m_q^2}{x}) \right] \frac{1}{\mathbf{p}_{\perp}^2} \ln \left[ 1 + \frac{\mathbf{p}_{\perp}^2}{x(1-x)(-M^2 + \frac{m_D^2}{1-x} + \frac{m_q^2}{x})} \right] \\ & \times \frac{\ln(1/x)}{\pi \kappa^2} x^{a_1^{\nu} + a_2^{\nu} - 1} (1-x)^{b_1^{\nu} + b_2^{\nu} - 1} \exp\left(-\frac{\mathbf{p}_{\perp}^2 \ln(1/x)}{\kappa^2 (1-x)^2}\right), \end{aligned} \quad (18)$$



write

$$h_1^{\perp\nu}(x, \mathbf{p}_\perp^2) \simeq \lambda^\nu f_{1T}^{\perp\nu}(x, \mathbf{p}_\perp^2).$$

	$\lambda^u$	$\lambda^d$
LFQDM	2.29	-1.08
Phenomenological fit	$2.1 \pm 0.1$	$-1.11 \pm 0.02$

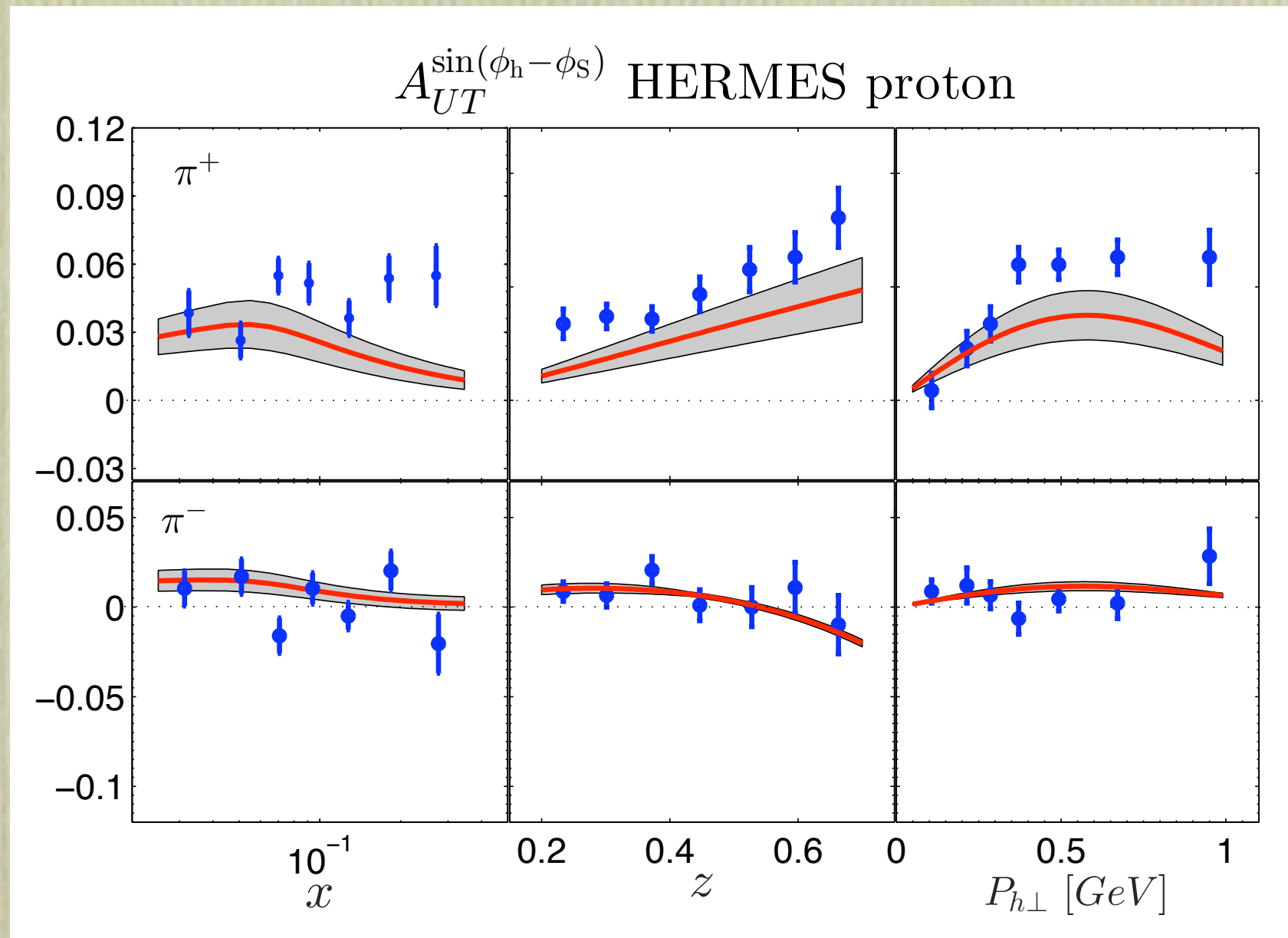
fit to HERMES/  
COMPASS data

[Barone, Melis, Prokudin, PRD81, 114026]



# Sivers Asymmetry

- Sivers asym is extracted by the weigh factor  $\sin(\phi_h - \phi_S)$

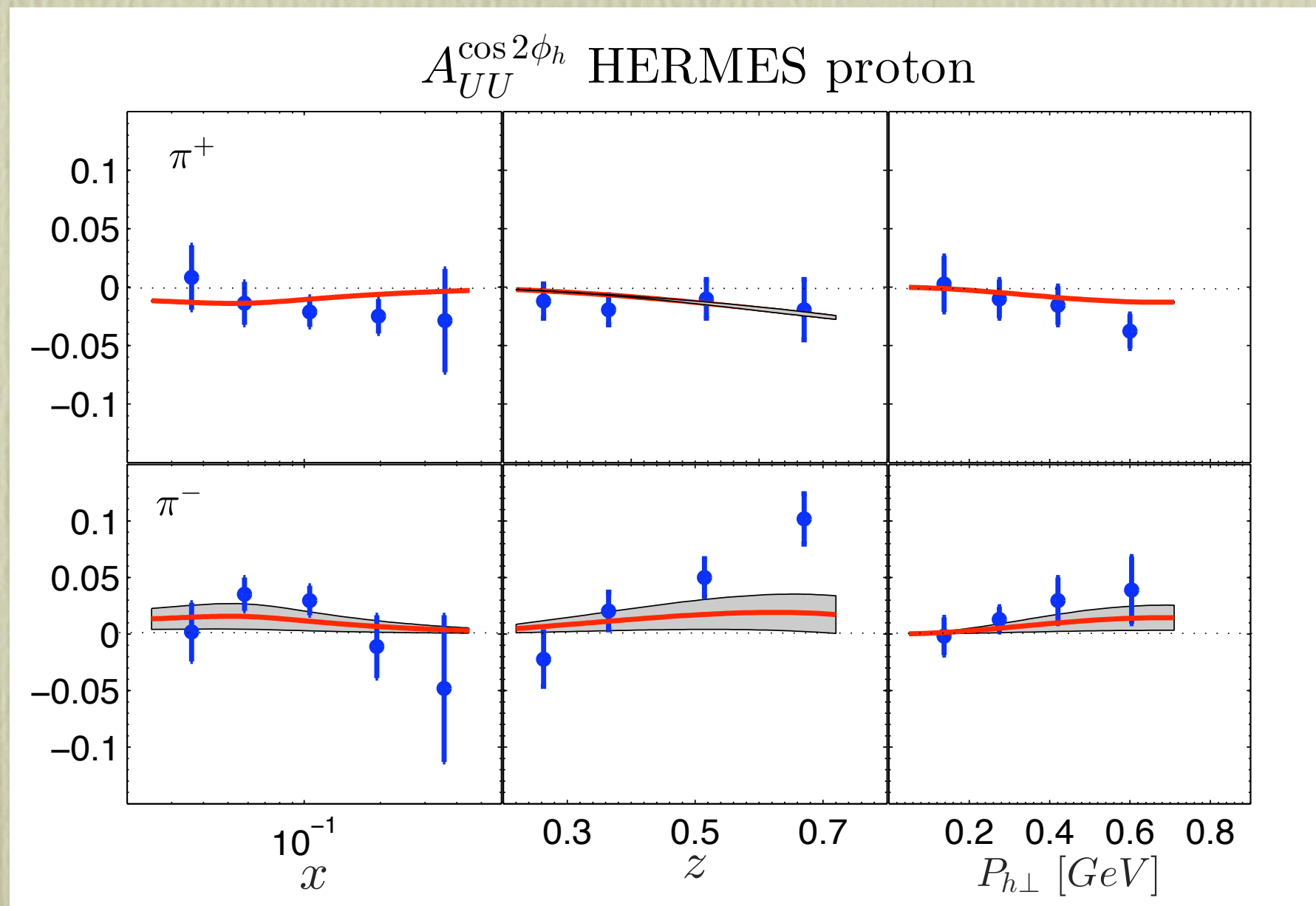


[A. Airapetian et al.[HERMES Coll], PRL 103,152002]



# Boer-Mulders Asymmetry

- extracted with the weight factor  $\cos 2\phi_h$



[F. Giordano et al.[HERMES coll], AIP conf. proc.1149, 423]



## *summary and conclusion*

- We presented results for both SSA and DSA in a light front quark-diquark model.
- scale evolution of all TMDs are not known.
- polarized TMDs are taken at initial scale. Two different evol. scheme used for unpol TMD.
- SSA and DSA are compared with HERMES and COMPASS data. Good agreement!
- Different relations among SSA and DSA are found. Interesting to check in other models.



- LFWFs modified to have complex phase factor which is required for Sivers & Boer Mulders functions.
- Sivers -->Lensing function  $\approx \frac{1}{4(1-x)}$
- Sivers & Boer-Mulders asymmetries are consistent with experimental data.



*THANK  
You*