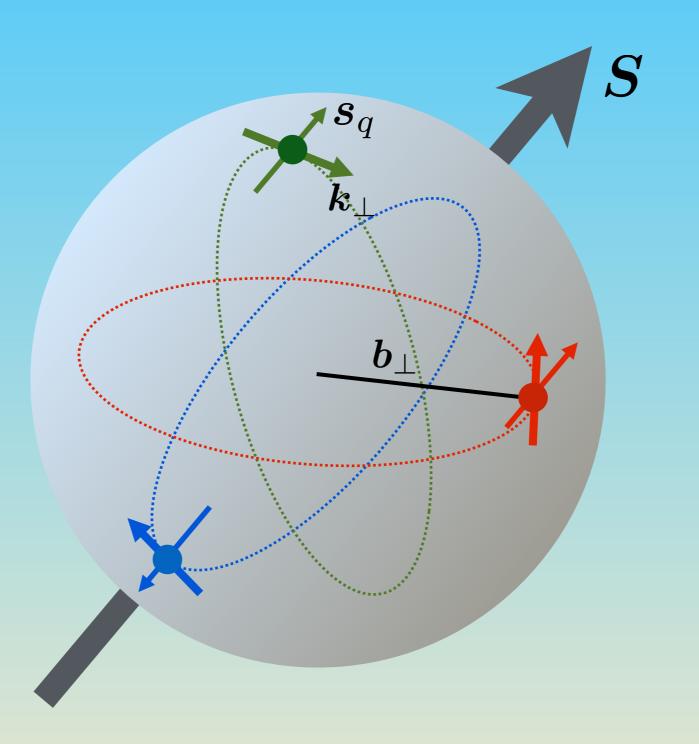
# The 3-D nucleon structure in QCD

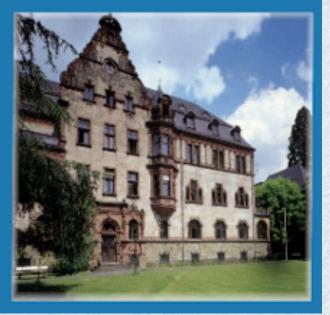


Mauro Anselmino - Torino University & INFN



**WE-Heraeus Physics School** 

QCD – Old Challenges and New Opportunities



Bad Honnef, Sept 24–30, 2017

The 1-D nucleon picture, successes and some failures Beyond the 1-D picture, quark intrinsic motion

New concepts, TMDs and GPDs, 3-D momentum and spatial distributions of quarks and gluons

How and what do we learn from data about the 3-D nucleon structure? (mainly in momentum space)

Open problems and future experiments

special issue of EPJA dedicated to the 3D nucleon structure, EPJ A52, 2016, n.6 - 15 contributions, (Edts. M.A., P. Rossi, M. Guidal) despite 50 years of studies the nucleon is still a very mysterious object, yet the most abundant piece of matter in the visible Universe

 $10^{-15} \,\mathrm{m}$ 

6

())

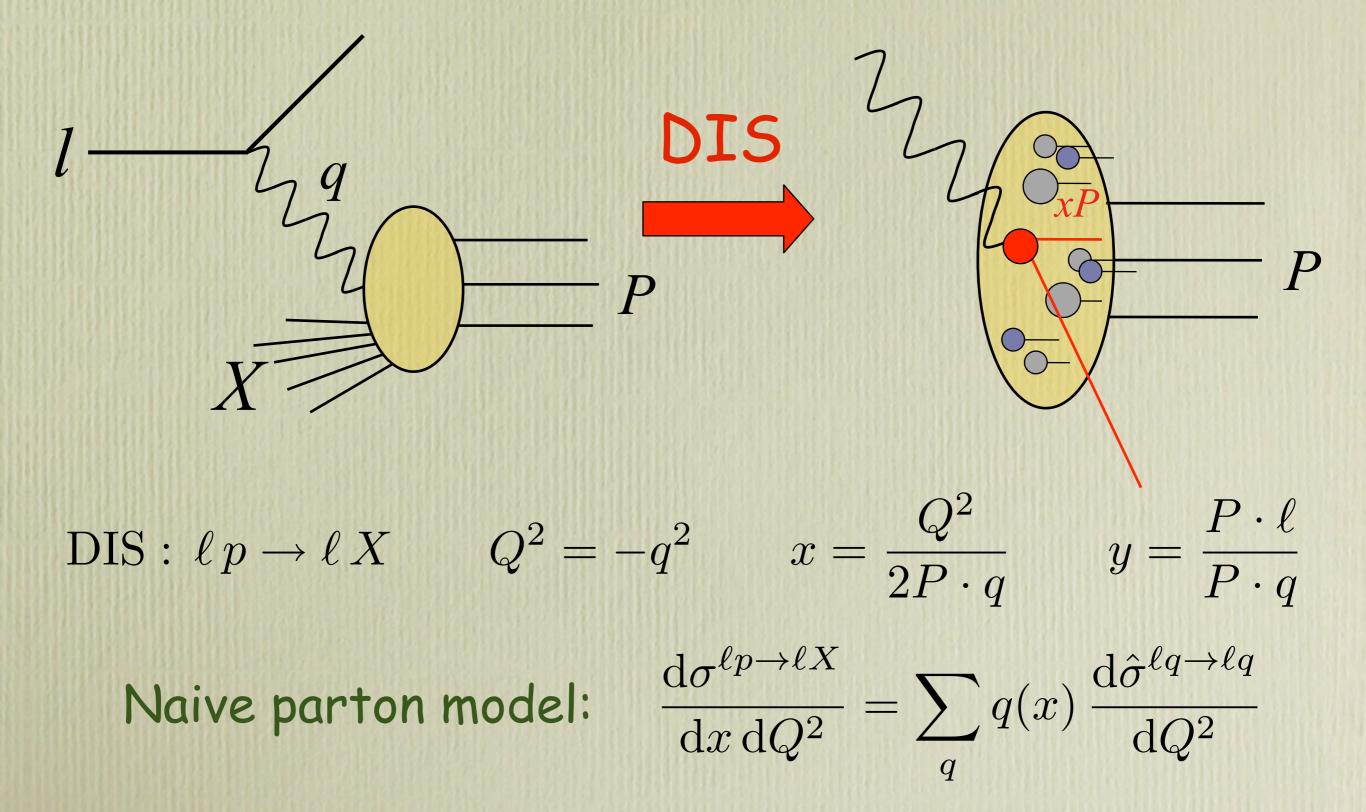
 $\leq 10^{-19} \,\mathrm{m}$ 

parton intrinsic motion spin-k\_ correlations? orbiting quarks? spatial distribution? nucleon mass?

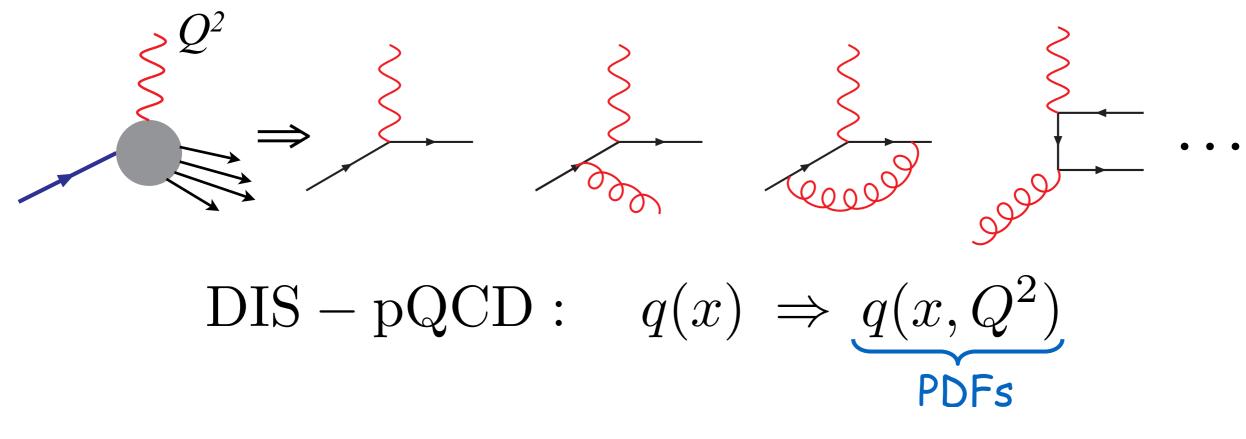
 $10^{-14} \,\mathrm{m}$ 

 $10^{-10} \,\mathrm{m}$ 

## usual (successful) way of exploring the proton structure (collinear parton model)



QCD interactions induce a well known Q<sup>2</sup> dependence



#### DGLAP evolution equations

factorization:  $\frac{\mathrm{d}\sigma}{\mathrm{d}x\,\mathrm{d}Q^2} = \sum_q q(x,Q^2) \otimes \frac{\mathrm{d}\hat{\sigma}_q}{\mathrm{d}Q^2}$ universality: same  $q(x,Q^2)$  measured in DIS can be used in other processes

#### Dokshitzer-Gribov-Lipatov-Altarelli-Parisi evolution equation

$$\frac{d}{d(\ln Q^2)} q_i(x, Q^2) = \frac{\alpha_s(Q^2)}{2\pi} \left[ q_i \otimes P_{qq} + g \otimes P_{qg} \right]$$

$$\frac{d}{d(\ln Q^2)}g(x,Q^2) = \frac{\alpha_s(Q^2)}{2\pi} \left[\sum_i (q_i + \bar{q}_i) \otimes P_{gq} + g \otimes P_{gg}\right]$$

$$q \otimes P = P \otimes q \equiv \int_{x}^{1} dy \, \frac{q(y, Q^2)}{y} \, P\left(\frac{x}{y}\right)$$

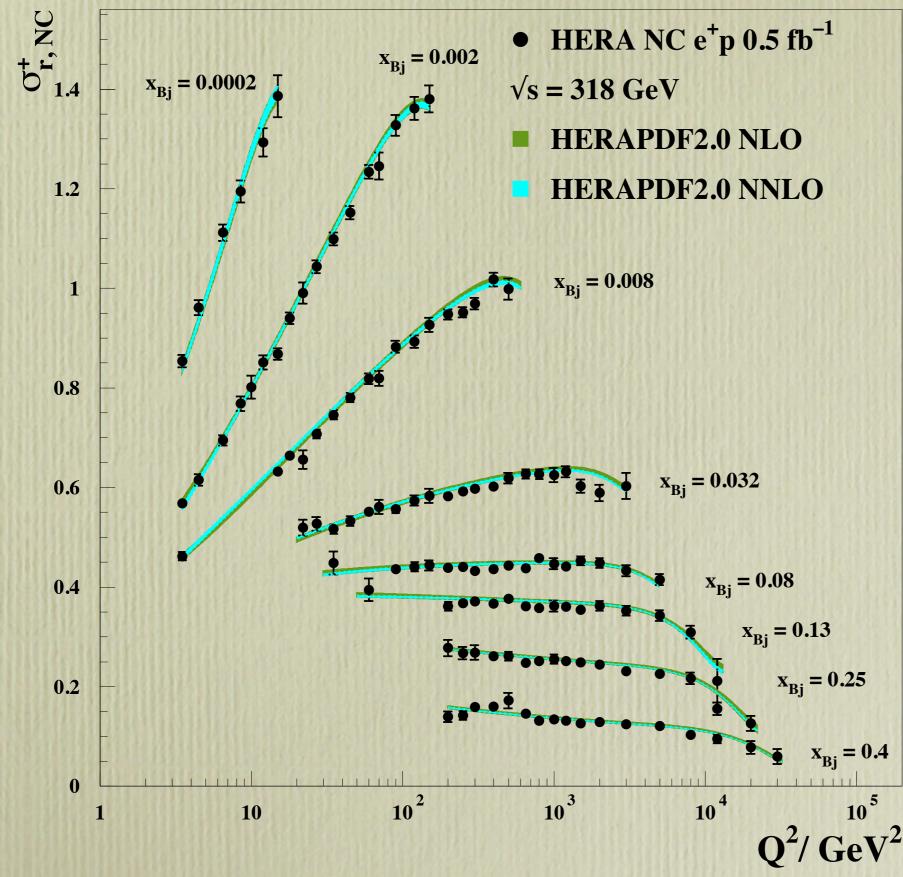
$$P_{qq} = \frac{4}{3} \left[ \frac{1+x^2}{(1-x)_+} + \frac{3}{2} \,\delta(1-x) \right] + \mathcal{O}(\alpha_s)$$

$$P_{qg} = \frac{1}{2} \left[ x^2 + (1-x)^2 \right] + \mathcal{O}(\alpha_s) \qquad P_{qg} = \frac{4}{3} \,\frac{1+(1-x)^2}{x} + \mathcal{O}(\alpha_s)$$

$$P_{gg} = 6 \left[ \frac{x}{(1-x)_+} + \frac{1-x}{x} + x(1-x) \right] + \frac{33-2n_f}{6} \,\delta(1-x) + \mathcal{O}(\alpha_s)$$

$$\int_0^1 dx \, \frac{f(x)}{(1-x)_+} = \int_0^1 dx \, \frac{f(x) - f(1)}{(1-x)}$$

#### H1 and ZEUS



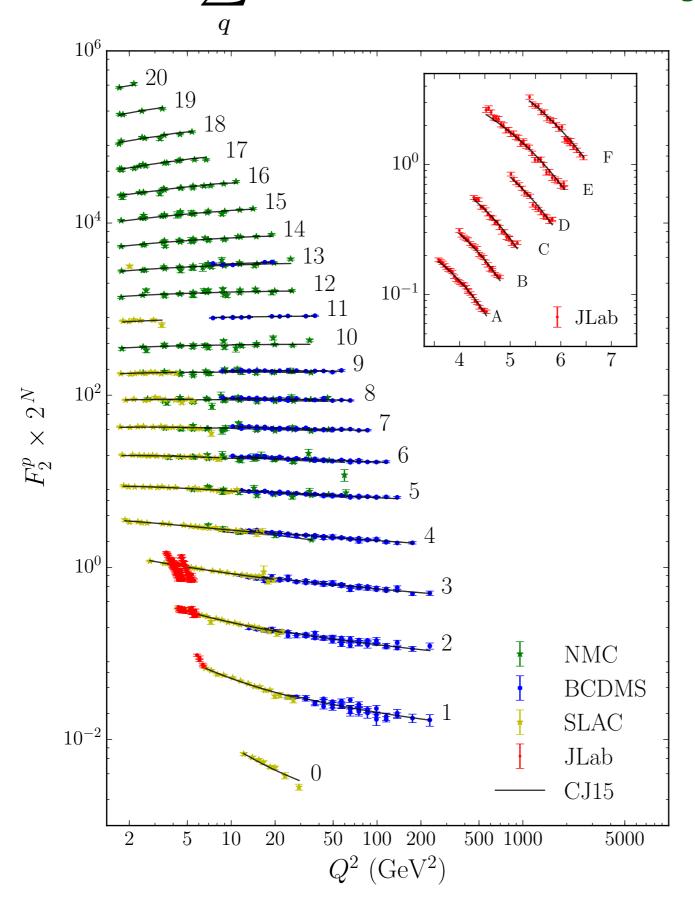
$$f_{r,\text{NC}}^{\pm} = \frac{\mathrm{d}^2 \sigma_{\text{NC}}^{e^{\pm}p}}{\mathrm{d}x_{\text{Bj}} \mathrm{d}Q^2} \cdot \frac{Q^4 x_{\text{Bj}}}{2\pi \alpha^2 Y_+}$$

0

 $Y_{\pm} = 1 \pm (1 - y)^2$ 

Eur. Phys. J. C75 (2015) 580

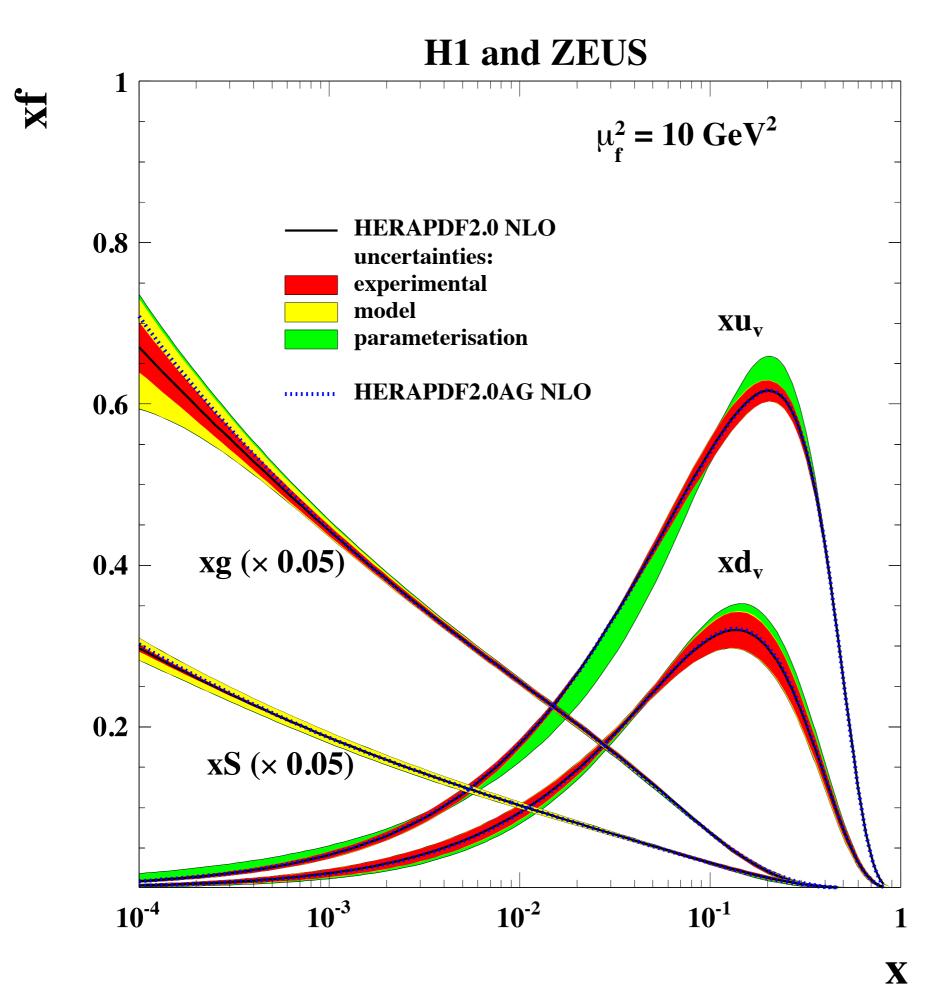
remember beautiful plots by K. Wichmann  $F_2 = \sum x q(x, Q^2)$  from M. Pennington, arXiv:1604.01441



N	X	
0	0.85	
1	0.74	
2	0.65	
3	0.55	
4	0.45	
5	0.34	
6	0.28	
7	0.23	
8	0.18	
9	0.14	
10	0.11	
11	0.10	
12	0.09	
13	0.07	
14	0.05	
15	0.04	
16	0,026	
17	0,018	
18	0,013	
19	0,008	
20	0,005	

#### JLab insert

Ι	0	Ν
A	38°	0
В	41°	1
С	45°	2
D	55°	3
E	60°	4
F	70°	5



unpolarized distribution  $xf_a(x,Q^2)$ 

H. Abramowicz et al., Eur. Phys. J. C75 (2015) 580

PDFs are very useful, they can be used to predict cross sections for several processes ....

Cross section for  $p p \rightarrow \pi X$  in pQCD based on factorization theorem (in collinear configuration) b  $\sum_{a,b,c,d=q,\bar{q},g} \underbrace{f_{a/p}(x_a) \otimes f_{b/p}(x_b)}_{|} \otimes \mathrm{d}\hat{\sigma}^{ab \to cd} \otimes \underbrace{D_{\pi/c}(z)}_{|}$  $d\sigma =$ PDF pQCD elementary interactions

$$\frac{E_C \, d\sigma^{AB \to CX}}{d^3 p_C} = \sum_{a,b,c,d} \int dx_a \, dx_b \, dz \, f_{a/A}(x_a, Q^2) \, f_{b/B}(x_b, Q^2)$$

$$\times \frac{\hat{s}}{\pi z^2} \frac{d\hat{\sigma}^{ab \to cd}}{d\hat{t}} (\hat{s}, \hat{t}, \hat{u}, x_a, x_b) \, \delta(\hat{s} + \hat{t} + \hat{u}) \, D_{C/c}(z, Q^2)$$

$$= \sum_{a,b,c,d} \int dx_a \, dx_b \, f_{a/A}(x_a, Q^2) \, f_{b/B}(x_b, Q^2)$$

$$\times \frac{1}{\pi z} \frac{d\hat{\sigma}^{ab \to cd}}{d\hat{t}} (\hat{s}, \hat{t}, \hat{u}, x_a, x_b) \, D_{C/c}(z, Q^2)$$

$$(x_a \, x_b \, z \, s = -x_a \, t - x_b \, u)$$

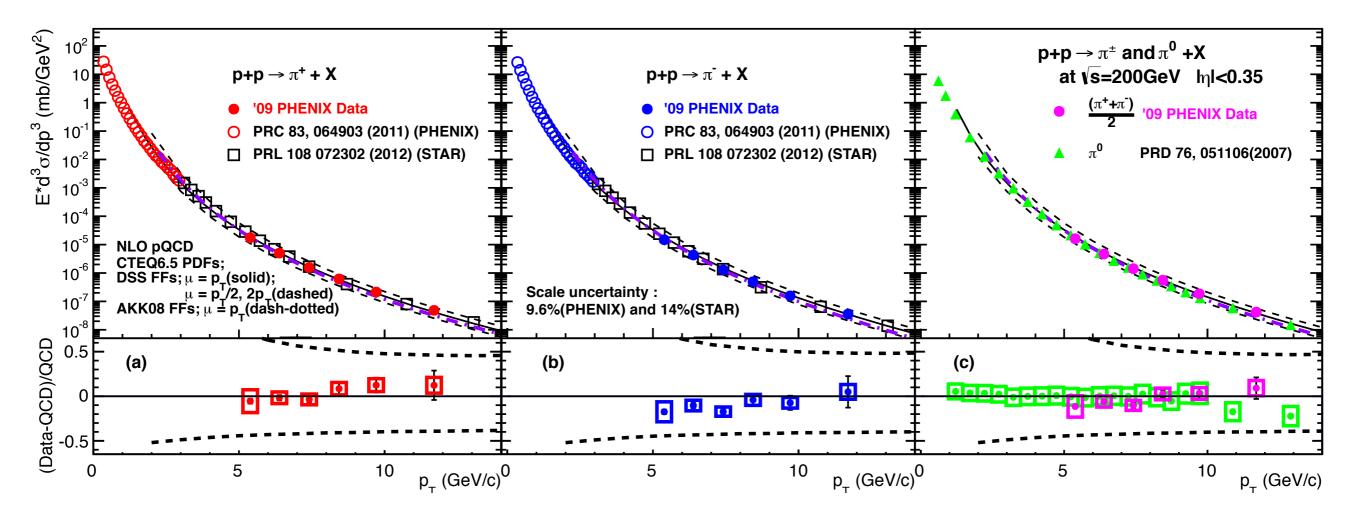
$$Y \qquad X$$

$$A \qquad X$$

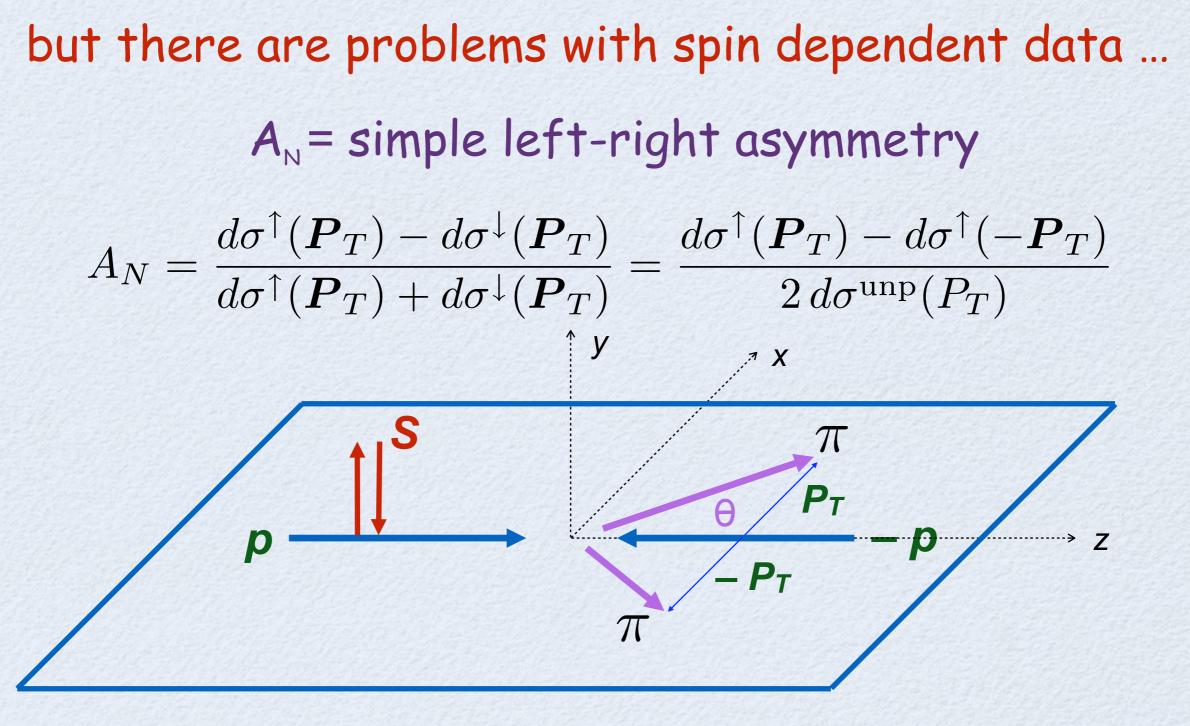
$$B \qquad Z$$

mid-rapidity RHIC data, unpolarised cross sections (arXiv:1409.1907 [hep-ex], Phys. Rev. D91 (2015) 3, 032001)

large  $P_T$  single pion production  $p p \to \pi X$ 

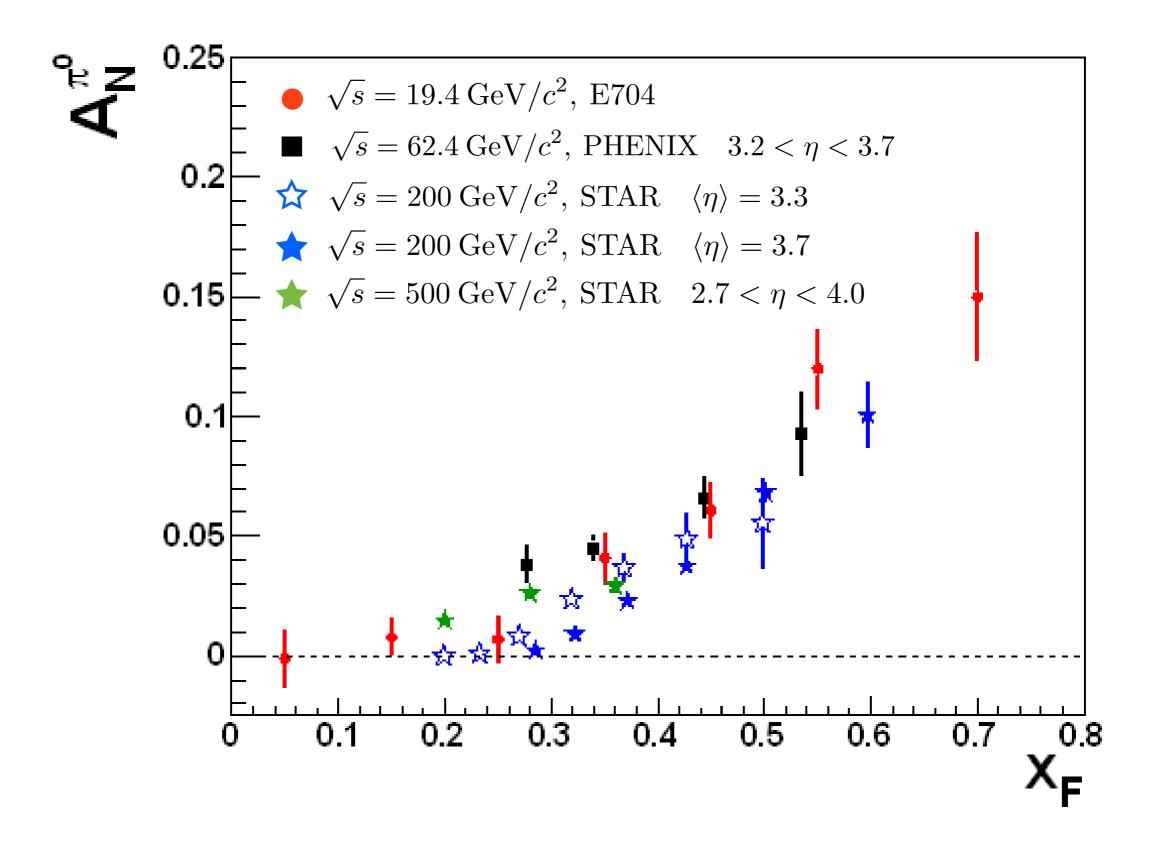


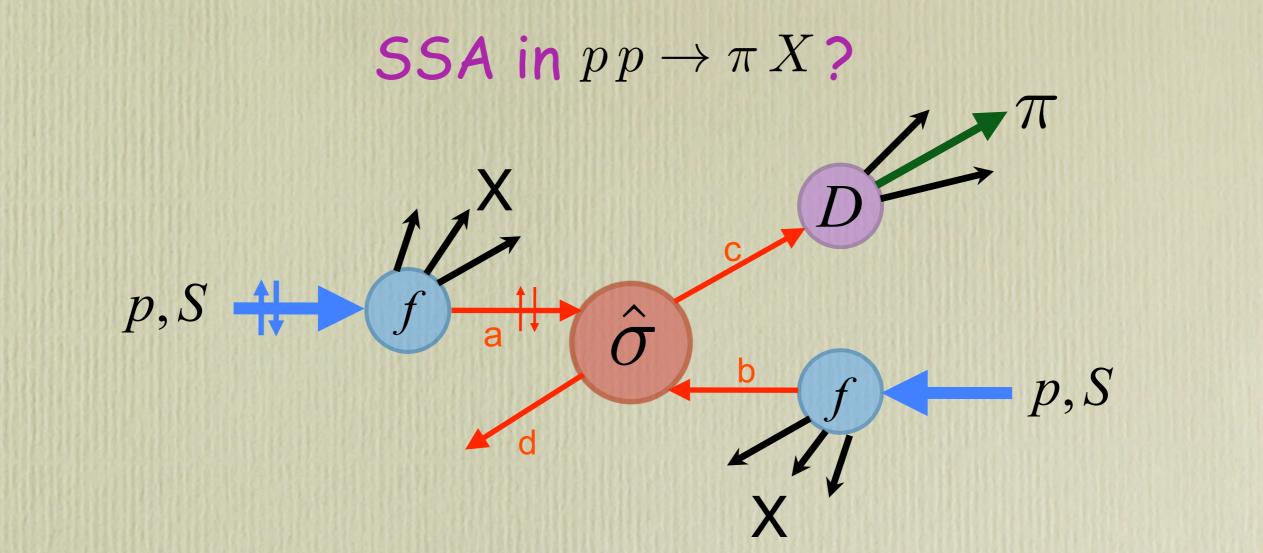
good agreement between RHIC data and collinear pQCD calculations



$$A_N \equiv \frac{\mathrm{d}\sigma^{\uparrow} - \mathrm{d}\sigma^{\downarrow}}{\mathrm{d}\sigma^{\uparrow} + \mathrm{d}\sigma^{\downarrow}} \propto \boldsymbol{S} \cdot (\boldsymbol{p} \times \boldsymbol{P}_T) \propto \sin\theta$$
  
transverse Single Spin Asymmetry (SSA)

# A<sub>N</sub> large and persistent at high energies ....

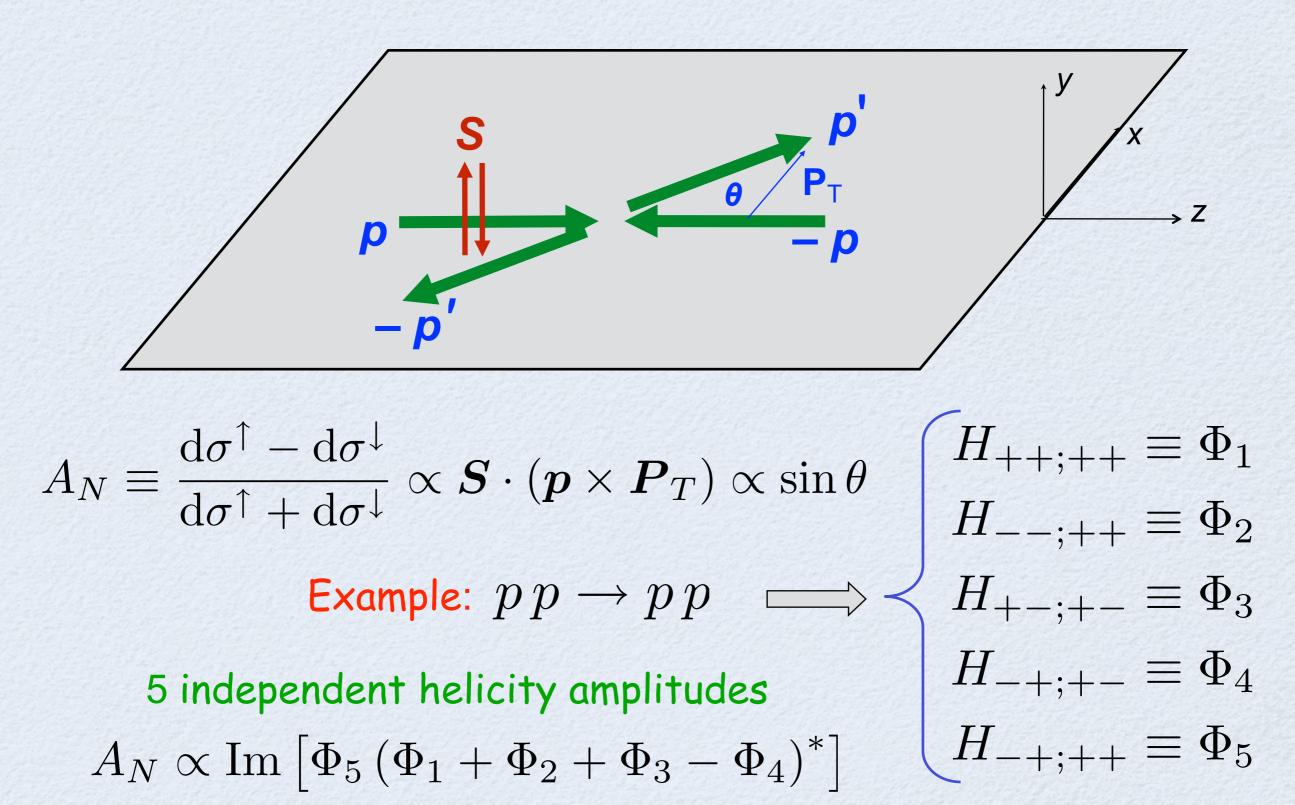




 $\mathrm{d}\sigma^{\uparrow} - \mathrm{d}\sigma^{\downarrow} = \sum_{a,b,c,d=q,\bar{q},g} \Delta_T f_a \otimes f_b \otimes [\mathrm{d}\hat{\sigma}^{\uparrow} - \mathrm{d}\hat{\sigma}^{\downarrow}] \otimes D_{\pi/c}$   $\mathsf{pQCD elementary} \quad \mathsf{FF}$ transversity SSA

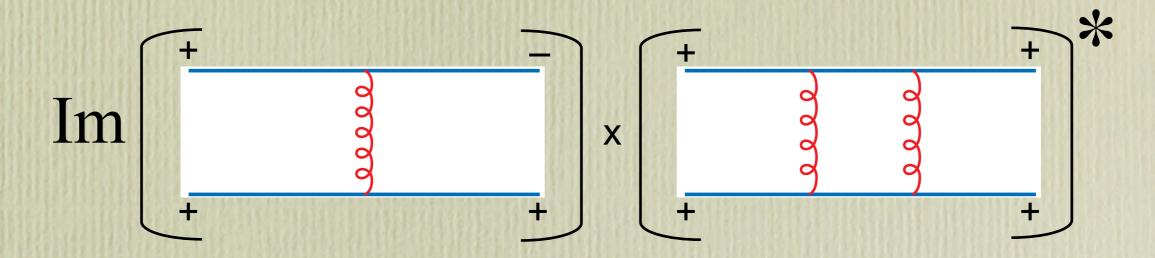
$$A_N = \frac{\mathrm{d}\sigma^{\uparrow} - \mathrm{d}\sigma^{\downarrow}}{\mathrm{d}\sigma^{\uparrow} + \mathrm{d}\sigma^{\downarrow}} \propto \hat{a}_N \propto \frac{m_q}{E_q} \alpha_s \quad \frac{\mathrm{was \ considered}}{\mathrm{almost \ a \ theorem}}$$

### Transverse single spin asymmetries in elastic scattering



Single spin asymmetries at partonic level. Example:  $q q' \rightarrow q q'$ 

 $A_N \neq 0$  needs helicity flip + relative phase



QED and QCD interactions conserve helicity, up to corrections  $\mathcal{O}\left(\frac{m_q}{E_q}\right)$ 

 $\implies A_N \propto \frac{m_q}{E_q} \alpha_s \quad \text{at quark level}$ (Kane, Pumplin, Repko)

but large SSA observed at hadron level!

the (longstanding) proton spin puzzle  $\frac{1}{2} = \frac{1}{2} \Sigma_q + \Sigma_g + L_q + L_g$ 

total spin carried by quarks

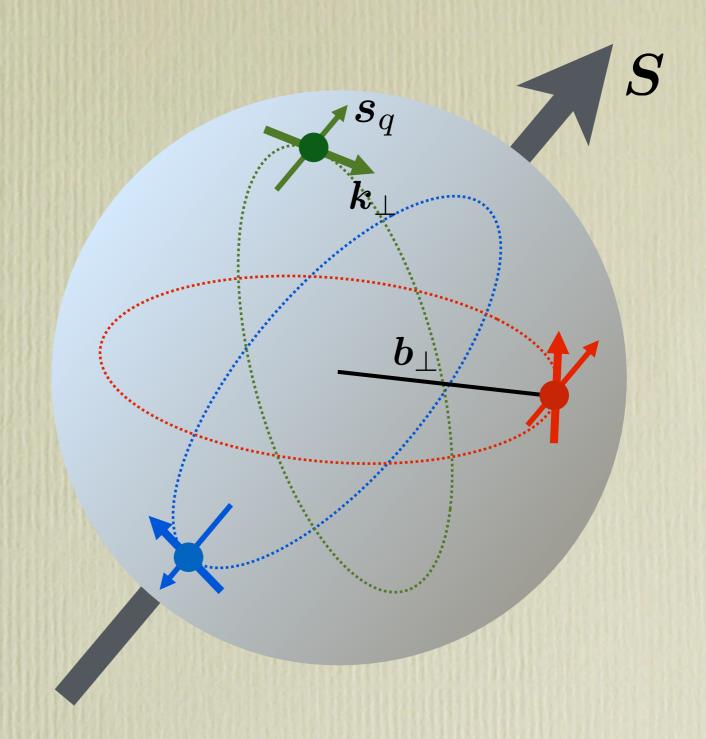
total spin carried by gluons

orbital angular momentum of quarks and gluons

the total spin carried by quarks and gluons does not amount to 1/2, one needs orbital angular momentum, then a 3-D description

many other (spin) effects in high energy interactions cannot be understood in the collinear configuration .... we cannot state that we know the full partonic nucleon structure ....

parton intrinsic motion spin-k\_ correlations? orbiting quarks? spatial distribution? nucleon mass?

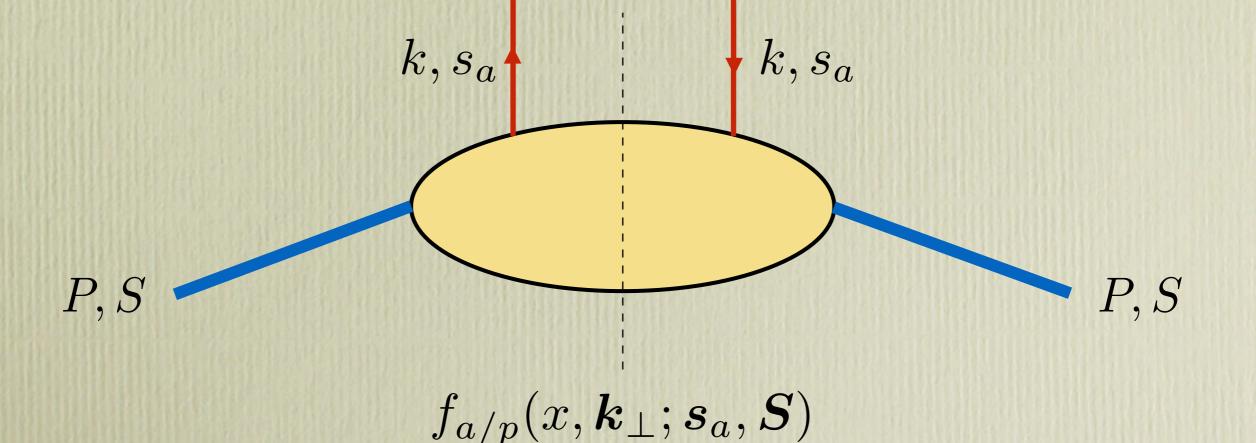


new concepts: Transverse Momentum Dependent distribution and fragmentation functions - TMDs Generalized Partonic Distributions - GPDs

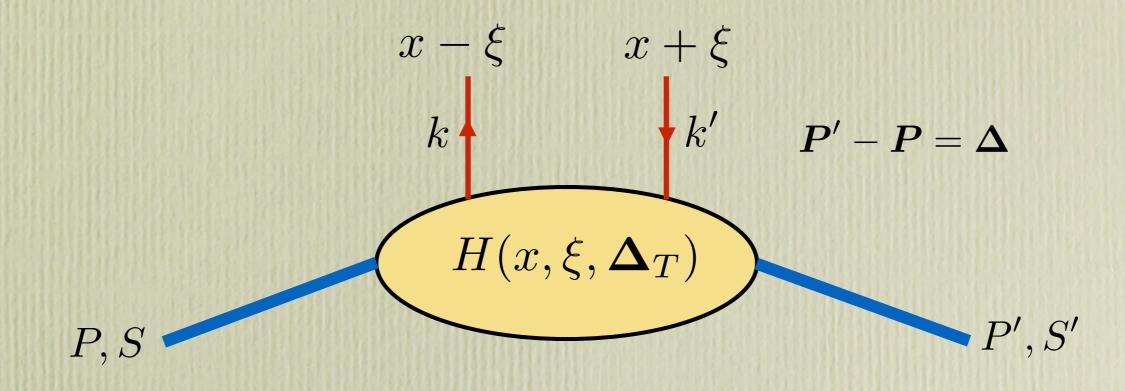
## new probes and concepts to explore the nucleon structure

TMDs - Transverse Momentum Dependent (distribution and fragmentation functions)

> (polarized) SIDIS and Drell-Yan, spin asymmetries in inclusive (large p\_) NN processes



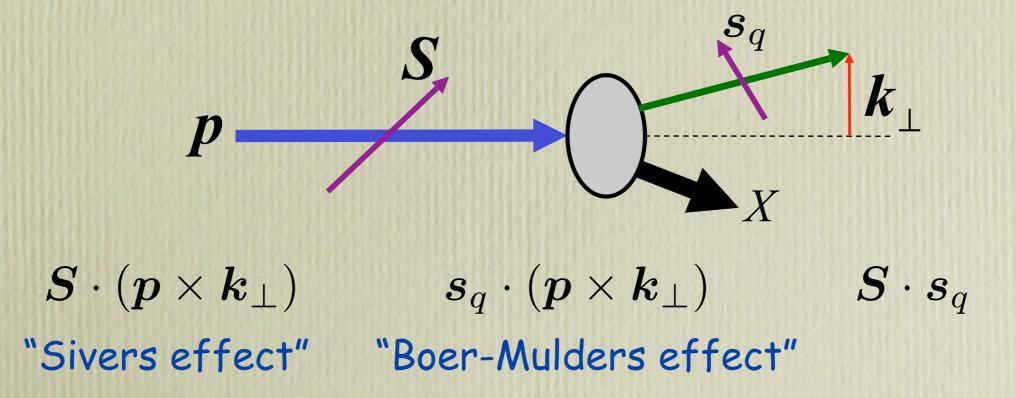
GPDs - Generalized Partonic Distributions exclusive processes in leptonic and hadronic interactions



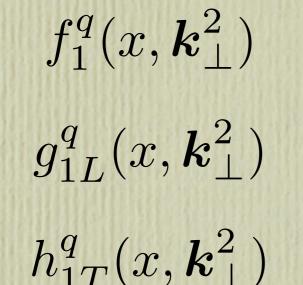
$$q(x, \boldsymbol{b}_T) = \int \frac{d^2 \boldsymbol{\Delta}_T}{(2\pi)^2} H_q(x, 0, -\boldsymbol{\Delta}_T^2) e^{-i \boldsymbol{b}_T \cdot \boldsymbol{\Delta}_T}$$

TMDs in simple parton model TMDs = Transverse Momentum Dependent Parton Distribution Functions (TMD-PDF) or Transverse Momentum Dependent Fragmentation Functions (TMD-FF)

TMD-PDFs give the number density of partons, with their intrinsic motion and spin, inside a fast moving proton, with its spin.



## there are 8 independent TMD-PDFs



unpolarized quarks in unpolarized protons unintegrated unpolarized distribution

correlate  $s_L$  of quark with  $S_L$  of proton unintegrated helicity distribution

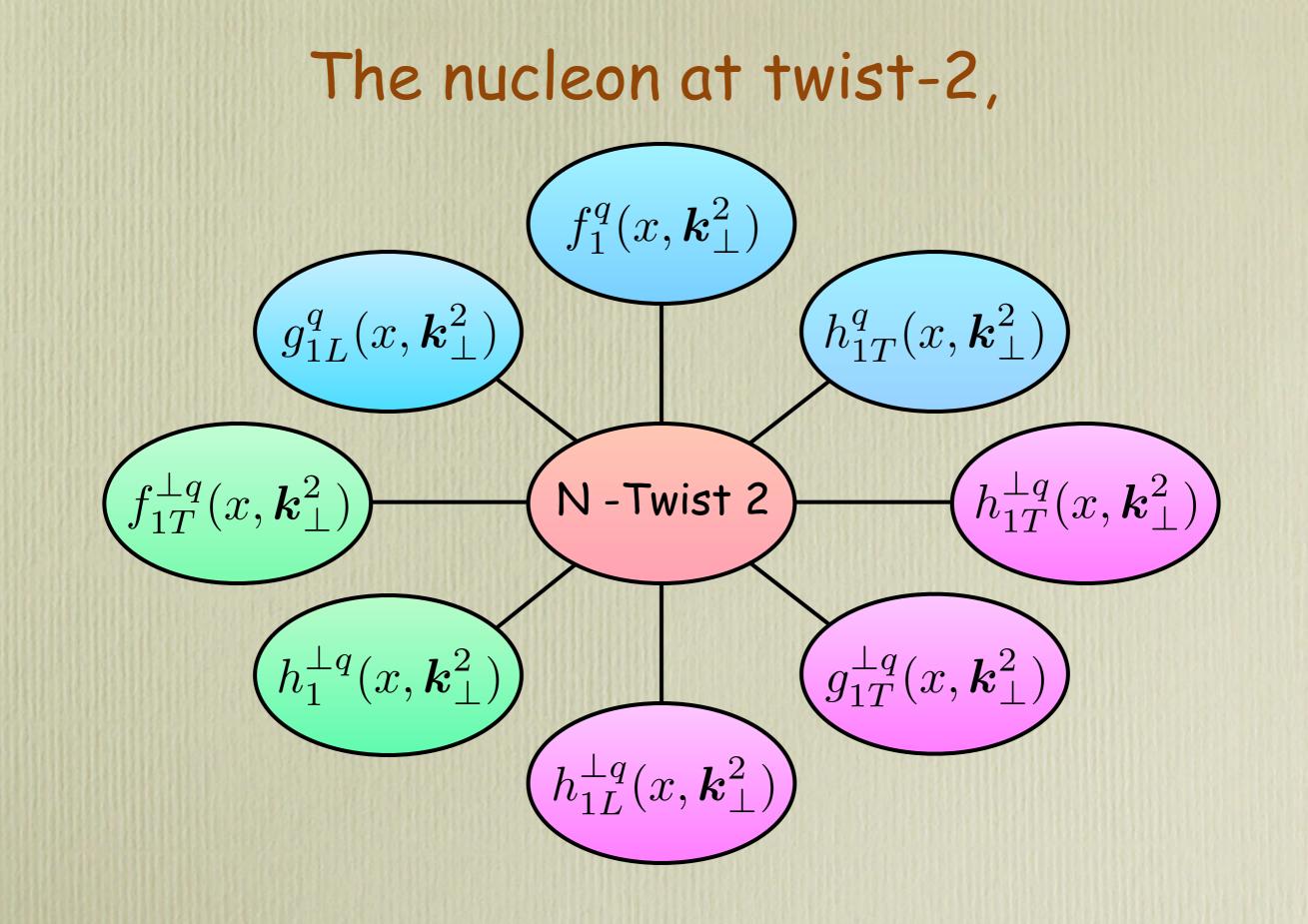
correlate  $s_T$  of quark with  $S_T$  of proton unintegrated transversity distribution

only these survive in the collinear limit

 $f_{1T}^{\perp q}(x, k_{\perp}^2)$  correlate  $k_{\perp}$  of quark with  $S_{\perp}$  of proton (Sivers)  $h_1^{\perp q}(x, \boldsymbol{k}_\perp^2)$  correlate  $\mathbf{k}_\perp$  and  $\mathbf{s}_\top$  of quark (Boer-Mulders)

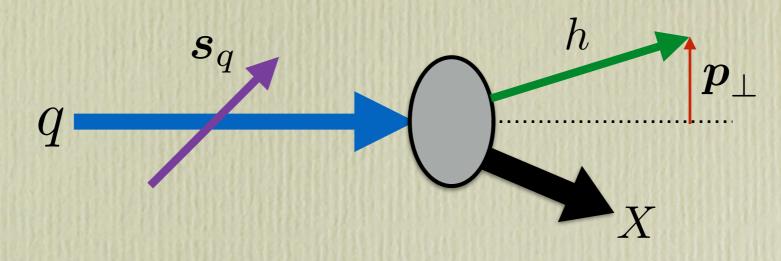
 $g_{1T}^{\perp q}(x, \mathbf{k}_{\perp}^2) = h_{1L}^{\perp q}(x, \mathbf{k}_{\perp}^2)$ worm-gears

 $h_{1T}^{\perp q}(x, k_{\perp}^{2})$ pretzelosity



courtesy of A. Kotzinian

TMD-FFs give the number density of hadrons, with their momentum, originated in the fragmentation of a fast moving parton, with its spin.



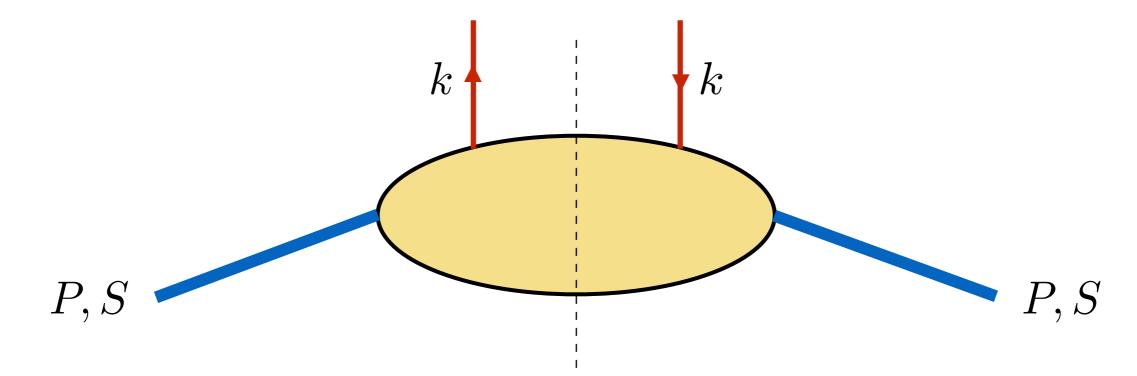
# $oldsymbol{s}_q \cdot (oldsymbol{p}_q imes oldsymbol{p}_\perp)$ "Collins effect"

### there are 2 independent TMD-FFs for spinless hadrons

 $D_1^q(z, \pmb{p}_\perp^2)$  unpolarized hadrons in unpolarized quarks unintegrated fragmentation function

 $H_1^{\perp q}(z,m{p}_{\perp}^2)$  correlate  $p_{\perp}$  of hadron with  $s_{ op}$  of quark (Collins)

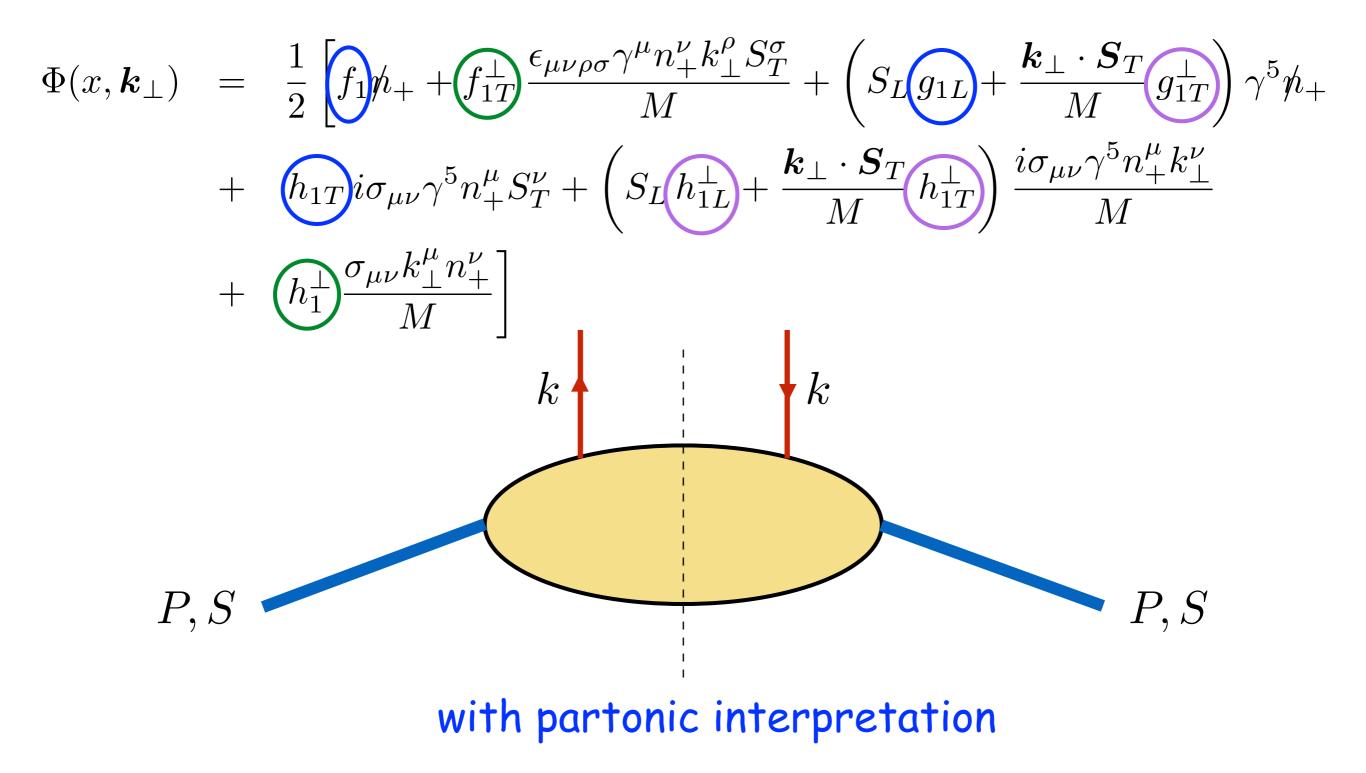
TMD formalism - The nucleon correlator, in collinear configuration: 3 distribution functions

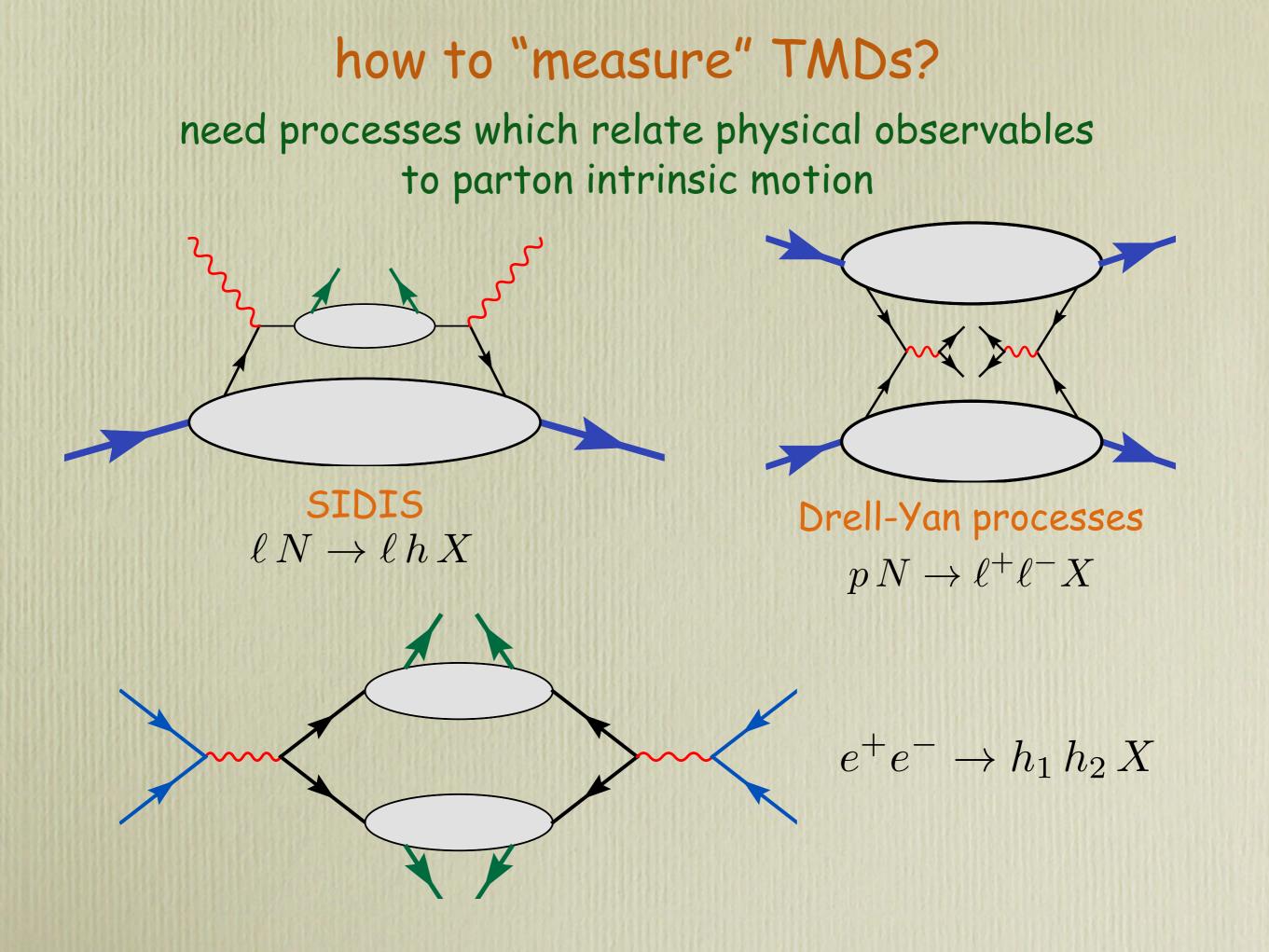


 $\Phi_{ij}(k;P,S) = \sum_{X} \int \frac{\mathrm{d}^{3} \boldsymbol{P}_{X}}{(2\pi)^{3} 2E_{X}} (2\pi)^{4} \,\delta^{4}(P-k-P_{X}) \langle PS|\overline{\Psi}_{j}(0)|X\rangle \langle X|\Psi_{i}(0)|PS\rangle$  $= \int \mathrm{d}^{4} \,\xi \, e^{ik\cdot\xi} \langle PS|\overline{\Psi}_{j}(0)\Psi_{i}(\xi)|PS\rangle$ 

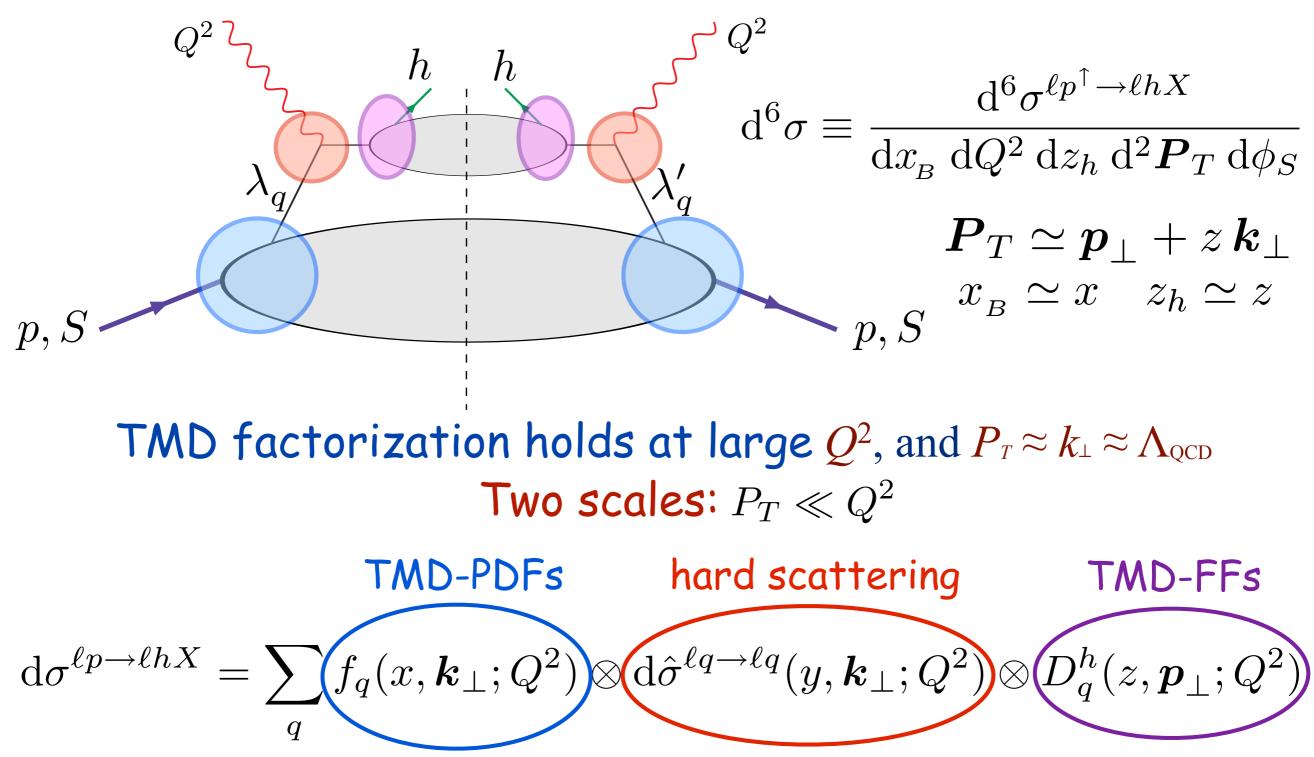
$$\Phi(x,S) = \frac{1}{2} \underbrace{ (f_1(x))}_{\mathbf{q}} h_+ + S_L \underbrace{g_{1L}(x)}_{\Delta \mathbf{q}} \gamma^5 \not h_+ + \underbrace{h_{1T}}_{\Delta_{\mathsf{T}} \mathbf{q}} i\sigma_{\mu\nu} \gamma^5 n_+^{\mu} S_T^{\nu} ]$$

# TMD-PDFs: the leading-twist correlator, with intrinsic $k_{\perp}$ , contains 8 independent functions



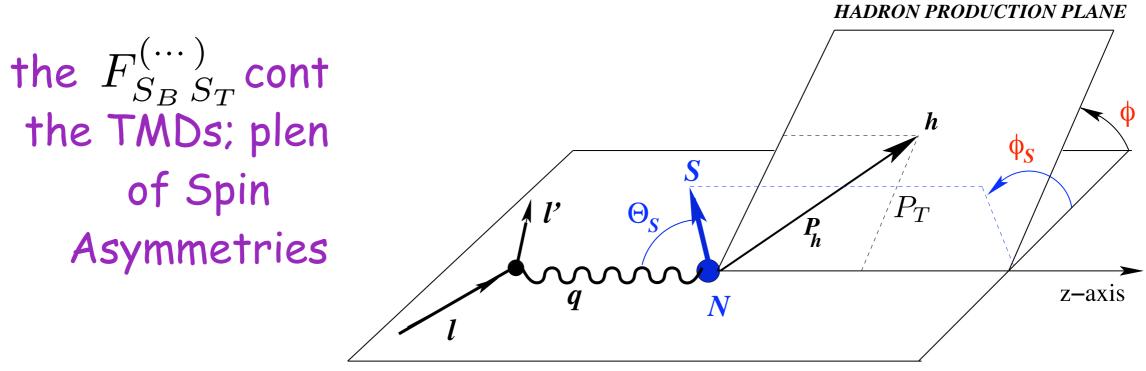


# TMDs in SIDIS



(Collins, Soper, Ji, J.P. Ma, Yuan, Qiu, Vogelsang, Collins, Metz...)

$$\begin{split} \frac{\mathrm{d}\sigma}{\mathrm{d}\phi} &= F_{UU} + \cos(2\phi) \, F_{UU}^{\cos(2\phi)} + \frac{1}{Q} \, \cos\phi \, F_{UU}^{\cos\phi} + \lambda \frac{1}{Q} \, \sin\phi \, F_{LU}^{\sin\phi} \\ &+ S_L \left\{ \sin(2\phi) \, F_{UL}^{\sin(2\phi)} + \frac{1}{Q} \, \sin\phi \, F_{UL}^{\sin\phi} + \lambda \left[ F_{LL} + \frac{1}{Q} \, \cos\phi \, F_{LL}^{\cos\phi} \right] \right\} \\ &+ S_T \left\{ \frac{\sin(\phi - \phi_S) \, F_{UT}^{\sin(\phi - \phi_S)} + \sin(\phi + \phi_S) \, F_{UT}^{\sin(\phi + \phi_S)} + \sin(3\phi - \phi_S) \, F_{UT}^{\sin(3\phi - \phi_S)} \\ &+ \frac{1}{Q} \left[ \sin(2\phi - \phi_S) \, F_{UT}^{\sin(2\phi - \phi_S)} + \sin\phi_S \, F_{UT}^{\sin\phi_S} \right] \\ &+ \lambda \left[ \cos(\phi - \phi_S) \, F_{LT}^{\cos(\phi - \phi_S)} + \frac{1}{Q} \left( \cos\phi_S \, F_{LT}^{\cos\phi_S} + \cos(2\phi - \phi_S) \, F_{LT}^{\cos(2\phi - \phi_S)} \right) \right] \right\} \end{split}$$



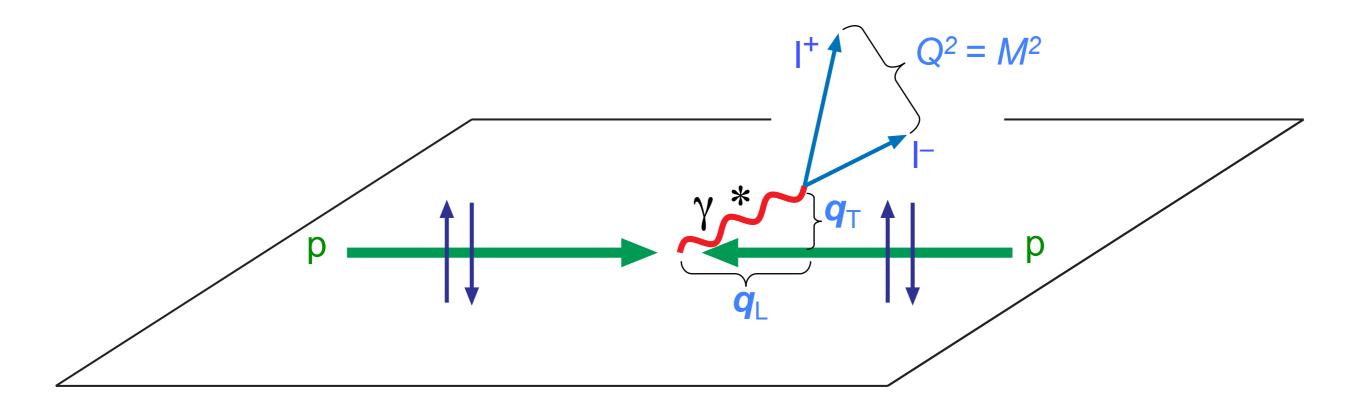
LEPTON SCATTERING PLANE

# at leading twist there are 8 independent azimuthal modulations, with different combinations of TMDs.

$$\begin{split} F_{UU} &\sim \sum_{a} e_{a}^{2} \begin{pmatrix} f_{1}^{a} \\ f_{1}^{a} \end{pmatrix} \otimes D_{1}^{a} & F_{LT}^{\cos(\phi-\phi_{S})} \sim \sum_{a} e_{a}^{2} \begin{pmatrix} g_{1T}^{\perp a} \\ g_{1T}^{\perp a} \end{pmatrix} \otimes D_{1}^{a} & F_{UT}^{\sin(\phi-\phi_{S})} \sim \sum_{a} e_{a}^{2} \begin{pmatrix} f_{1T}^{\perp a} \\ f_{1T}^{\perp a} \end{pmatrix} \otimes D_{1}^{a} & TMDs \\ TMDs \\ \\ TMDs \\ F_{UL}^{\cos(2\phi)} &\sim \sum_{a} e_{a}^{2} \begin{pmatrix} h_{1}^{\perp a} \\ h_{1}^{\perp a} \end{pmatrix} \otimes H_{1}^{\perp a} & F_{UT}^{\sin(\phi+\phi_{S})} \sim \sum_{a} e_{a}^{2} \begin{pmatrix} h_{1T}^{\perp a} \\ h_{1T}^{\perp a} \end{pmatrix} \otimes H_{1}^{\perp a} & F_{UT}^{\sin(3\phi-\phi_{S})} \sim \sum_{a} e_{a}^{2} \begin{pmatrix} h_{1T}^{\perp a} \\ h_{1T}^{\perp a} \end{pmatrix} & \text{chiral-odd} \\ \\ TMDs \\ TMDs \\ \\ TMDs \end{pmatrix} \end{split}$$

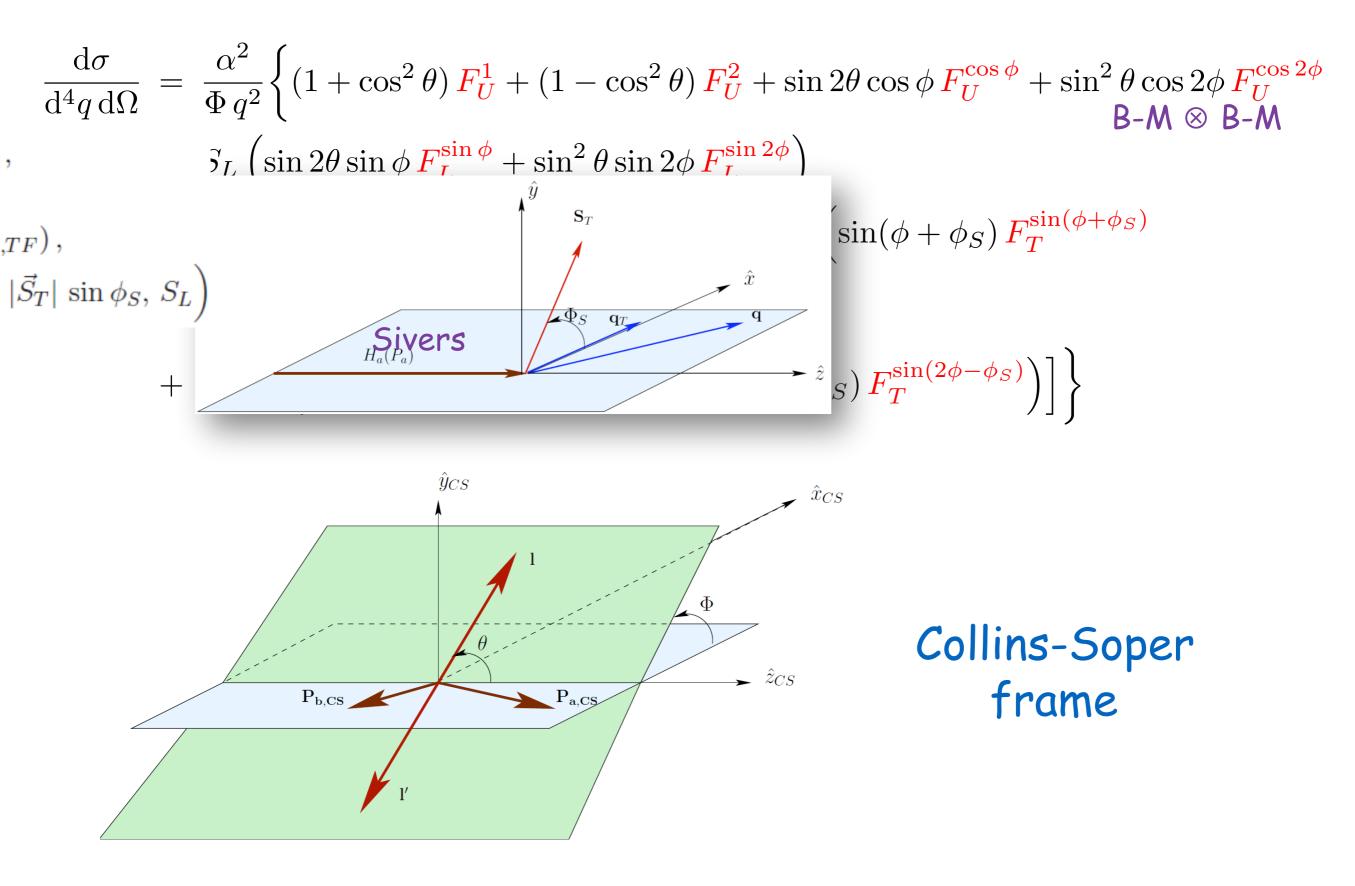
integrated  $f_1^q(x)$  and  $g_{1L}^q(x)$  can be measured in usual DIS

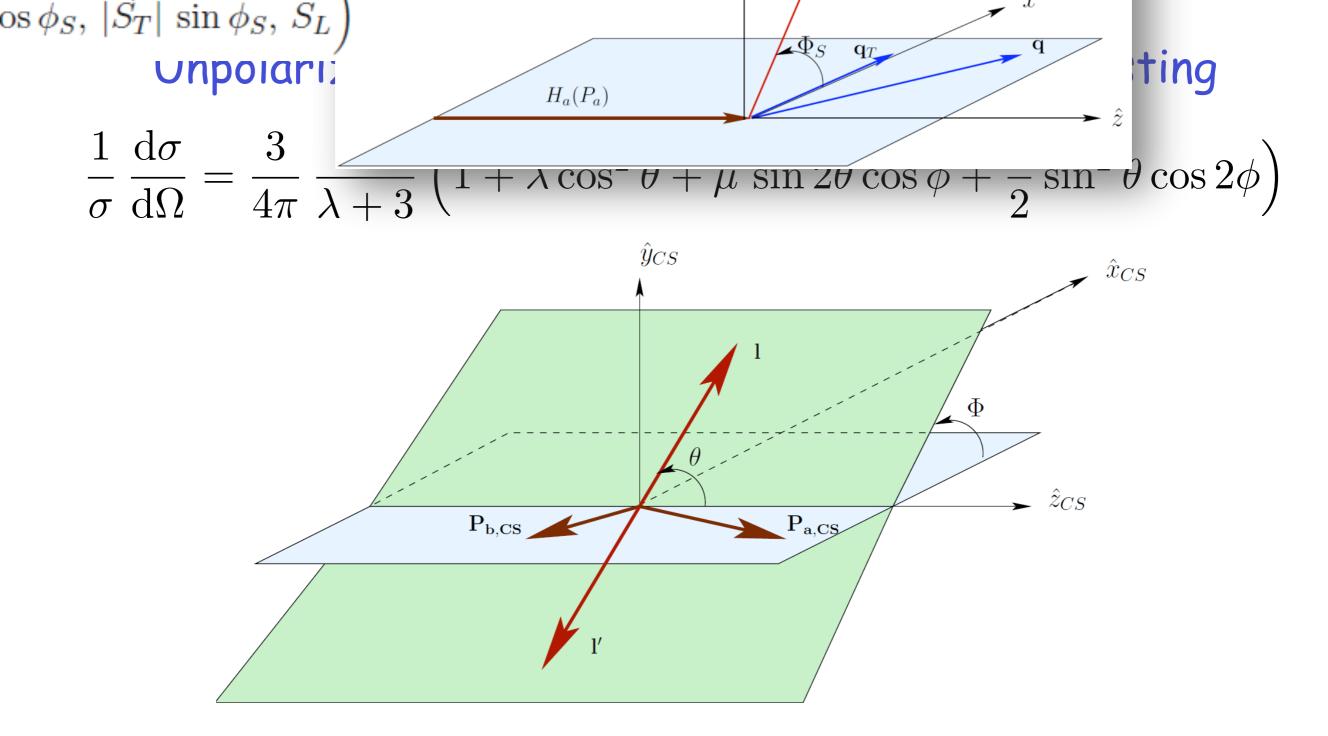
# TMDs in Drell-Yan processes COMPASS, RHIC, Fermilab, NICA, AFTER...



factorization holds, two scales, M<sup>2</sup>, and  $q_{T} \ll M$   $d\sigma^{D-Y} = \sum_{a} f_{q}(x_{1}, \mathbf{k}_{\perp 1}; Q^{2}) \otimes f_{\bar{q}}(x_{2}, \mathbf{k}_{\perp 2}; Q^{2}) d\hat{\sigma}^{q\bar{q} \rightarrow \ell^{+}\ell^{-}}$ direct product of TMDs, no fragmentation process

## Case of one polarized nucleon only

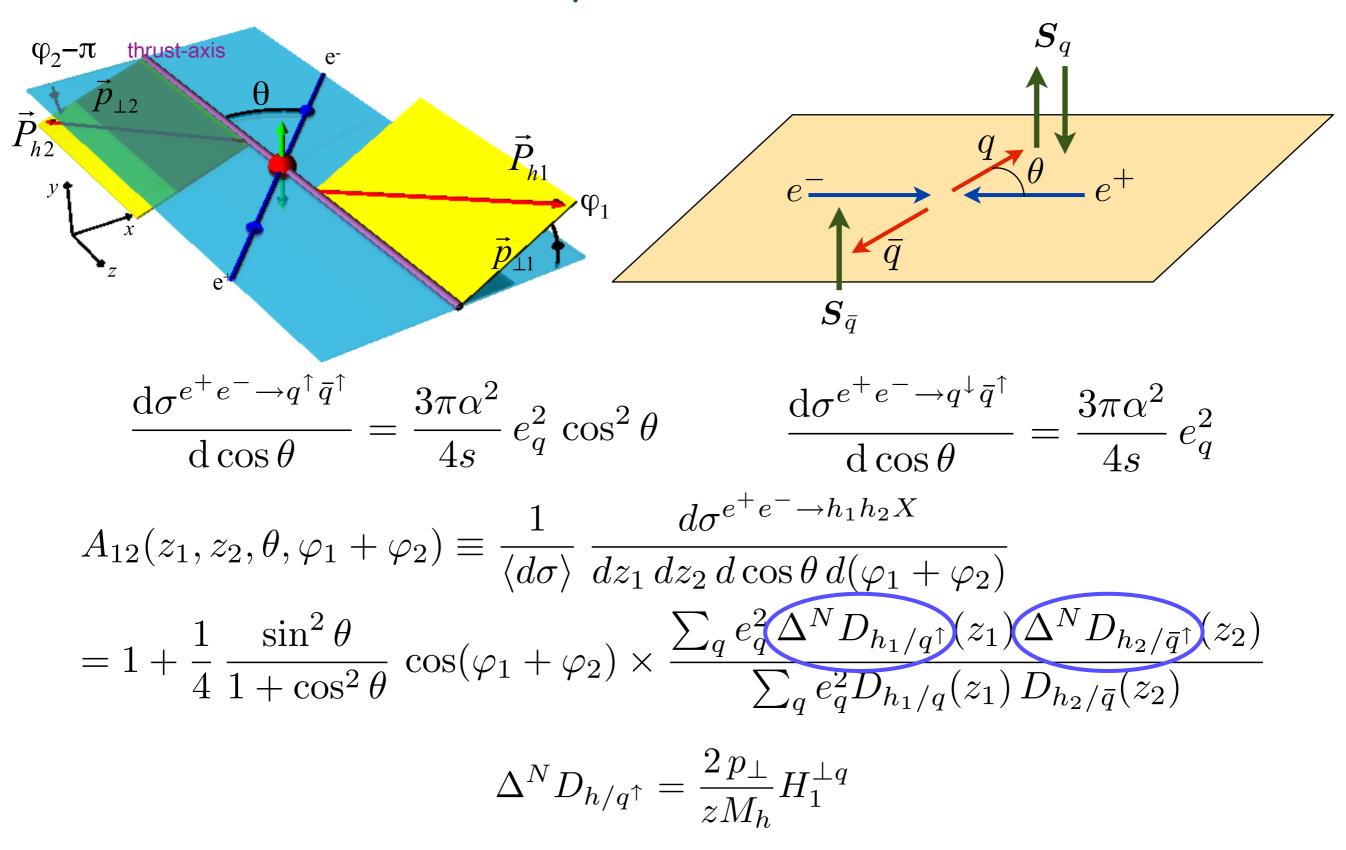




#### Collins-Soper frame

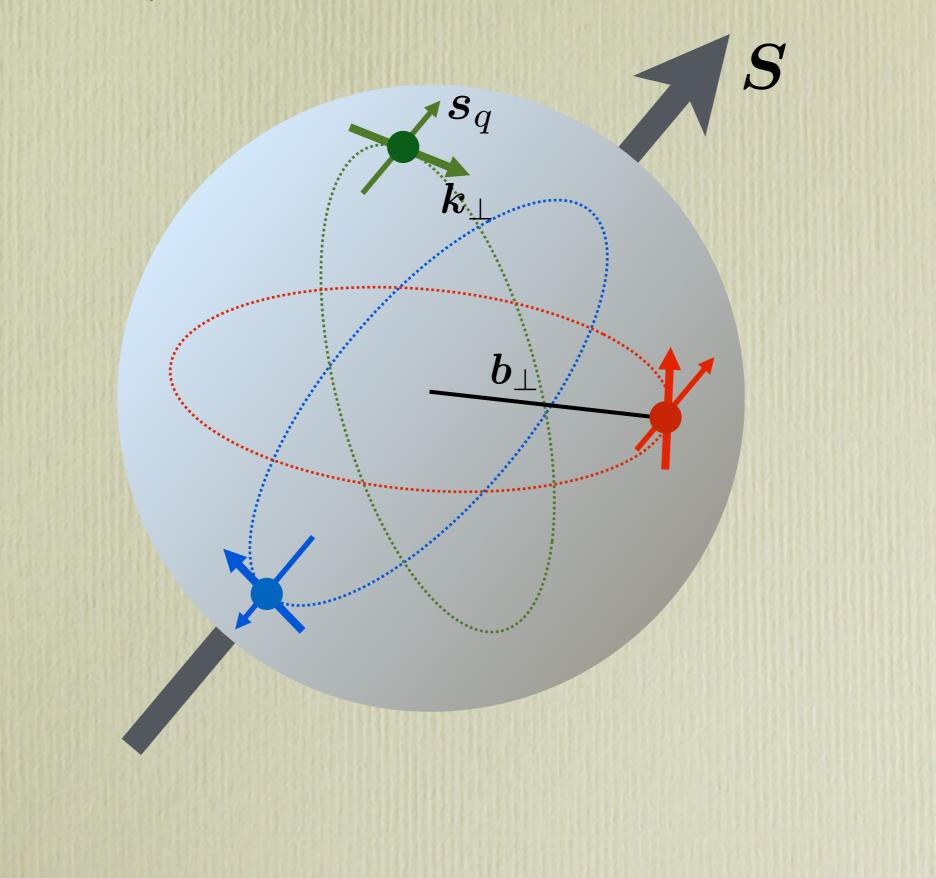
naive collinear parton model:  $\lambda = 1$   $\mu = \nu = 0$  $\lambda \neq 1$   $\mu, \nu \neq 0$   $1 - \lambda - 2\nu \neq 0$ 

#### Collins function from e<sup>+</sup>e<sup>-</sup> processes (Belle, BaBar, BES-III)

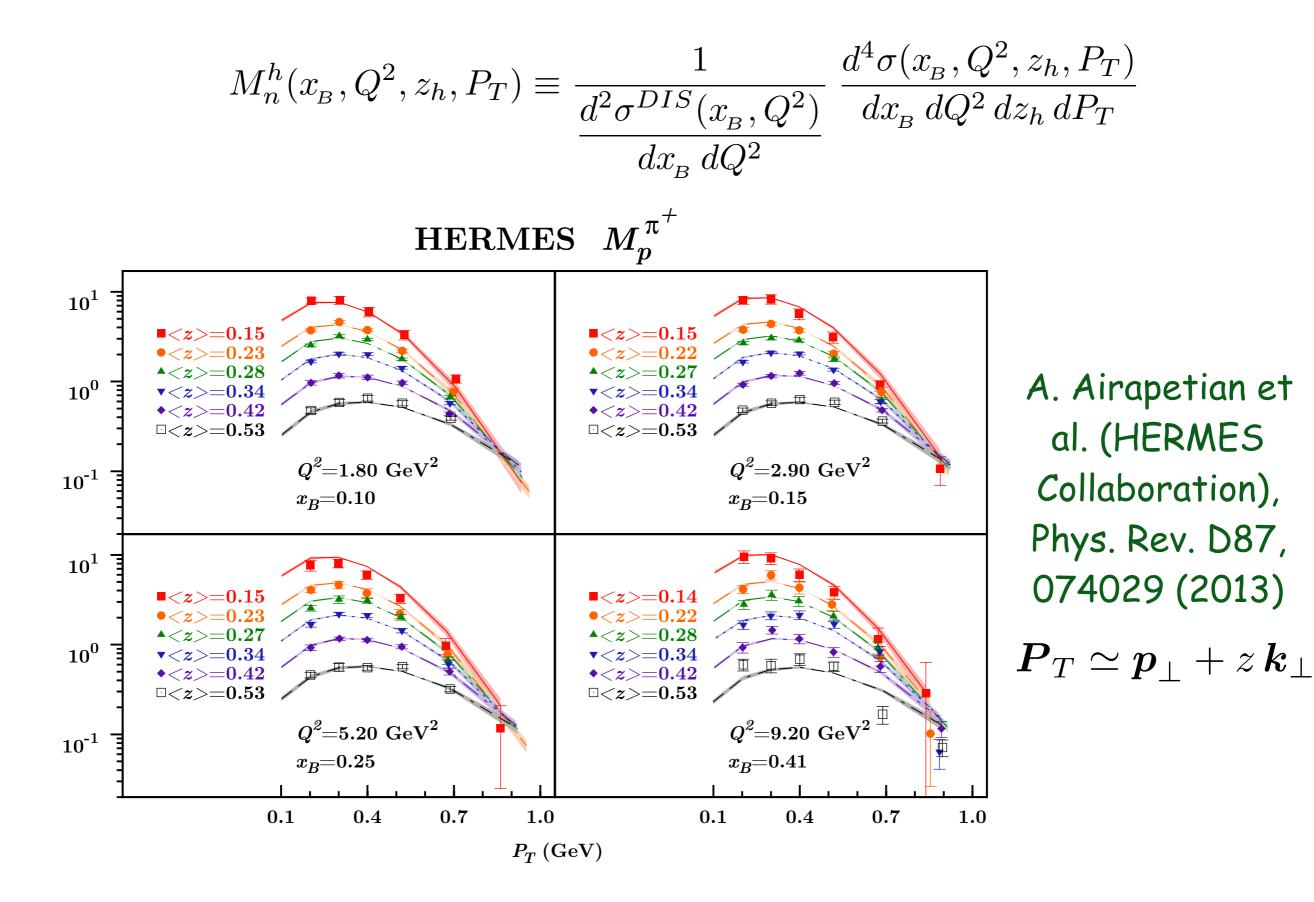


(another similar asymmetry can be measured,  $A_0$ )

## Do we have experimental evidence of TMD effects?



### P<sub>T</sub> dependence of unpolarised SIDIS multiplicities



origin of P<sub>T</sub> dependence in SIDIS with TMD factorisation

 $\mathbf{k}_{\perp}$ 

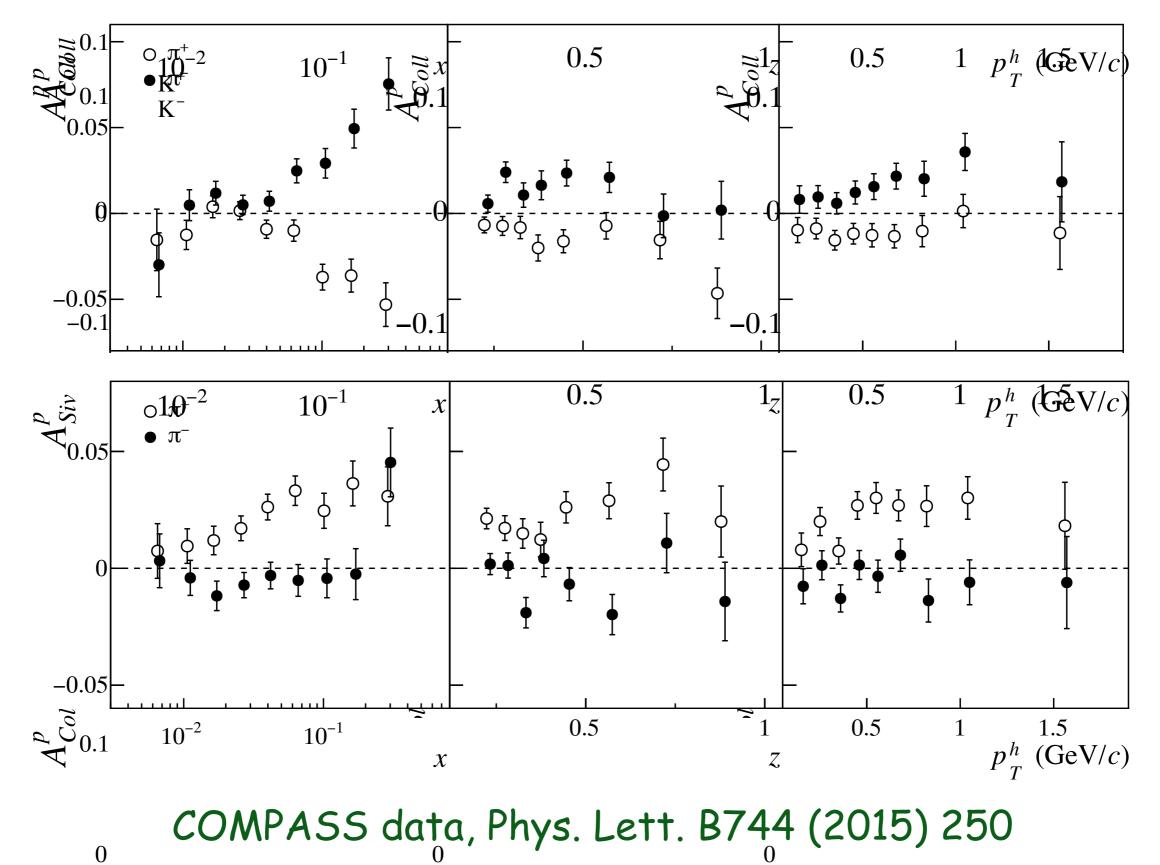
SIDIS

 $\ell p \to \ell h X$ 

 ${}^{\prime}T$ 

elementary interaction:  $\gamma^{*}\,q \rightarrow q'$ 

#### Clear evidence for Sivers and Collins effects from SIDIS date (HERMES, COMPASS, JLab)

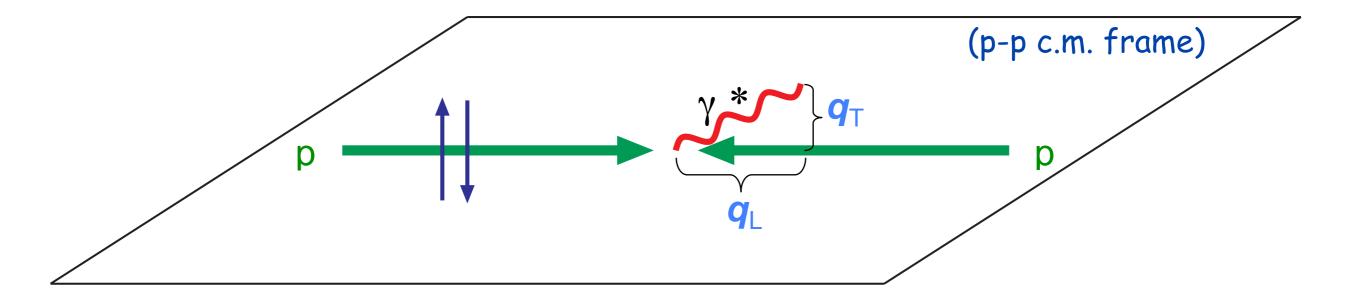


origin of Sivers effect in SIDIS -  $F_{UT}^{\sin(\phi-\phi_S)}$  $d\sigma^{\uparrow,\downarrow} = \sum_{q} (f_{q/p^{\uparrow,\downarrow}}(x, \boldsymbol{k}_{\perp}; Q^2) \otimes d\hat{\sigma}(y, \boldsymbol{k}_{\perp}; Q^2) \otimes D_{h/q}(z, \boldsymbol{p}_{\perp}; Q^2)$  $f_{q/p^{\uparrow,\downarrow}}(x, \boldsymbol{k}_{\perp}) = f_{q/p}(x, \boldsymbol{k}_{\perp}) \pm \frac{1}{2} \Delta^N f_{q/p^{\uparrow}}(x, \boldsymbol{k}_{\perp}) \boldsymbol{S} \cdot (\hat{\boldsymbol{p}} \times \hat{\boldsymbol{k}}_{\perp})$  $\left(\Delta^N f_{q/p^{\uparrow}} = -\frac{2k_{\perp}}{M} f_{1T}^{\perp q}\right)$  $\mathrm{d}\sigma^{\uparrow} - \mathrm{d}\sigma^{\downarrow} =$  $\sum_{q} \Delta^{N} f_{q/p^{\uparrow}}(x, k_{\perp}) S \cdot (\hat{\boldsymbol{p}} \times \hat{\boldsymbol{k}}_{\perp}) \otimes d\hat{\sigma}(y, \boldsymbol{k}_{\perp}) \otimes D_{h/q}(z, \boldsymbol{p}_{\perp})$  $\sin(\varphi - \phi_{S}) \qquad \text{no SSA if } \boldsymbol{k}_{\perp} = 0$ measured quantity  $\begin{cases}
2\langle \sin(\phi - \phi_S) \rangle = A_{UT}^{\sin(\phi - \phi_S)} \\
\int d\phi \, d\phi_S \, [d\sigma^{\uparrow} - d\sigma^{\downarrow}] \, \sin(\phi - \phi_S) \\
\int d\phi \, d\phi_S \, [d\sigma^{\uparrow} + d\sigma^{\downarrow}]
\end{cases}$ 

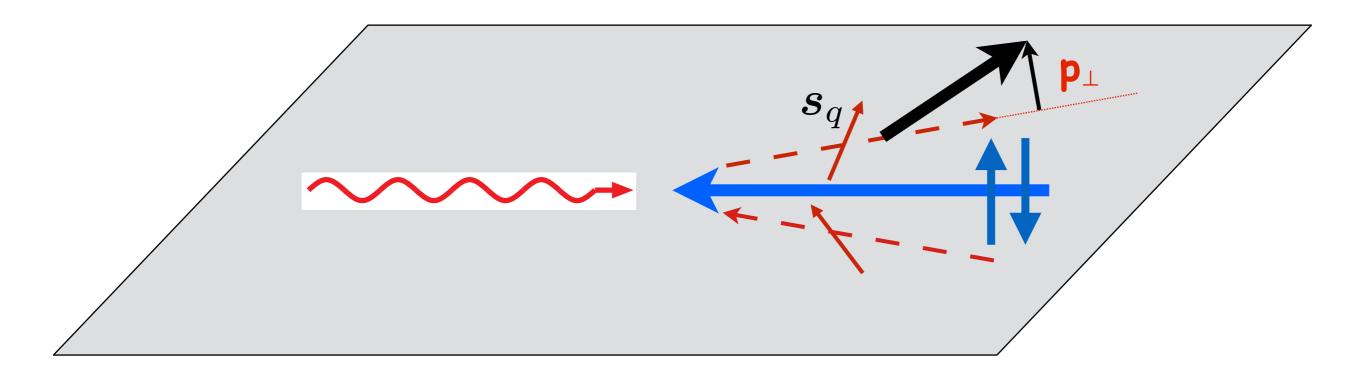
origin of Sivers effect in DY processes By looking at the  $d^4\sigma/d^4q$  cross section one can single out the Sivers effect in D-Y processes

$$d\sigma^{\uparrow} - d\sigma^{\downarrow} \propto \sum_{q} \Delta^{N} f_{q/p^{\uparrow}}(x_{1}, \boldsymbol{k}_{\perp 1}) \otimes f_{\bar{q}/p}(x_{2}, \boldsymbol{k}_{\perp 2}) \otimes d\hat{\sigma}$$
$$q = u, \bar{u}, d, \bar{d}, s, \bar{s}$$

$$A_N^{\sin(\phi_S - \phi_\gamma)} \equiv \frac{2\int_0^{2\pi} \mathrm{d}\phi_\gamma \left[\mathrm{d}\sigma^{\uparrow} - \mathrm{d}\sigma^{\downarrow}\right] \sin(\phi_S - \phi_\gamma)}{\int_0^{2\pi} \mathrm{d}\phi_\gamma \left[\mathrm{d}\sigma^{\uparrow} + \mathrm{d}\sigma^{\downarrow}\right]}$$



# Collins effect in SIDIS



$$D_{h/q,\boldsymbol{s}_{q}}(z,\boldsymbol{p}_{\perp}) = D_{h/q}(z,p_{\perp}) + \frac{1}{2}\Delta^{N}D_{h/q^{\uparrow}}(z,p_{\perp})\,\boldsymbol{s}_{q}\cdot(\hat{\boldsymbol{p}}_{q}\times\hat{\boldsymbol{p}}_{\perp})$$
$$= D_{h/q}(z,p_{\perp}) + \frac{p_{\perp}}{zM_{h}}H_{1}^{\perp q}(z,p_{\perp})\,\boldsymbol{s}_{q}\cdot(\hat{\boldsymbol{p}}_{q}\times\hat{\boldsymbol{p}}_{\perp})$$

# origin of Collins asymmetry in SIDIS – $F_{UT}^{\sin(\phi+\phi_S)}$

$$D_{h/q,\mathbf{s}_{q}}(z, \mathbf{p}_{\perp}) = D_{h/p}(z, p_{\perp}) + \mathbf{q}'$$

$$d\sigma^{\uparrow} - d\sigma^{\downarrow} = \sum_{q} h_{1q}(x, k_{\perp}) \otimes d\Delta\hat{\sigma}(y, \mathbf{k}_{\perp}) \otimes (\Delta^{N} D_{h/q^{\uparrow}}(z, \mathbf{p}_{\perp}))$$

$$no SSA \text{ if } \mathbf{p}_{\perp} = 0 !$$

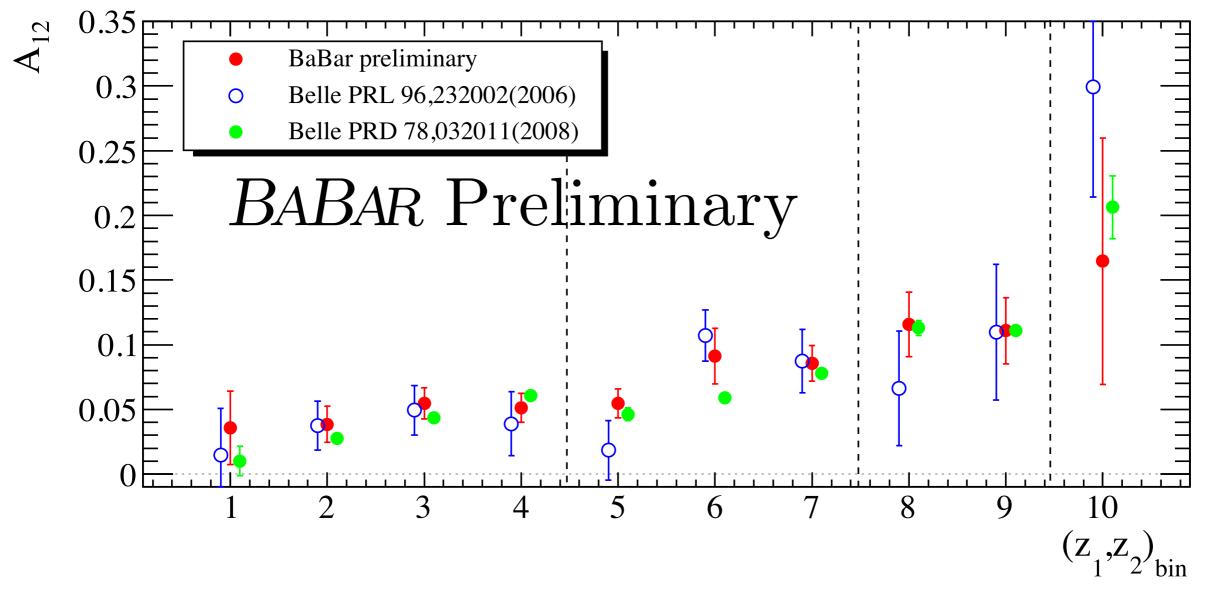
$$A_{UT}^{\sin(\phi + \phi_{S})} \equiv 2 \frac{\int d\phi \, d\phi_{S} \, [d\sigma^{\uparrow} - d\sigma^{\downarrow}] \sin(\phi + \phi_{S})}{\int d\phi \, d\phi_{S} \, [d\sigma^{\uparrow} + d\sigma^{\downarrow}]}$$

$$d\Delta\hat{\sigma} = d\hat{\sigma}^{\ell q^{\uparrow} \to \ell q^{\uparrow}} - d\hat{\sigma}^{\ell q^{\uparrow} \to \ell q^{\downarrow}}$$

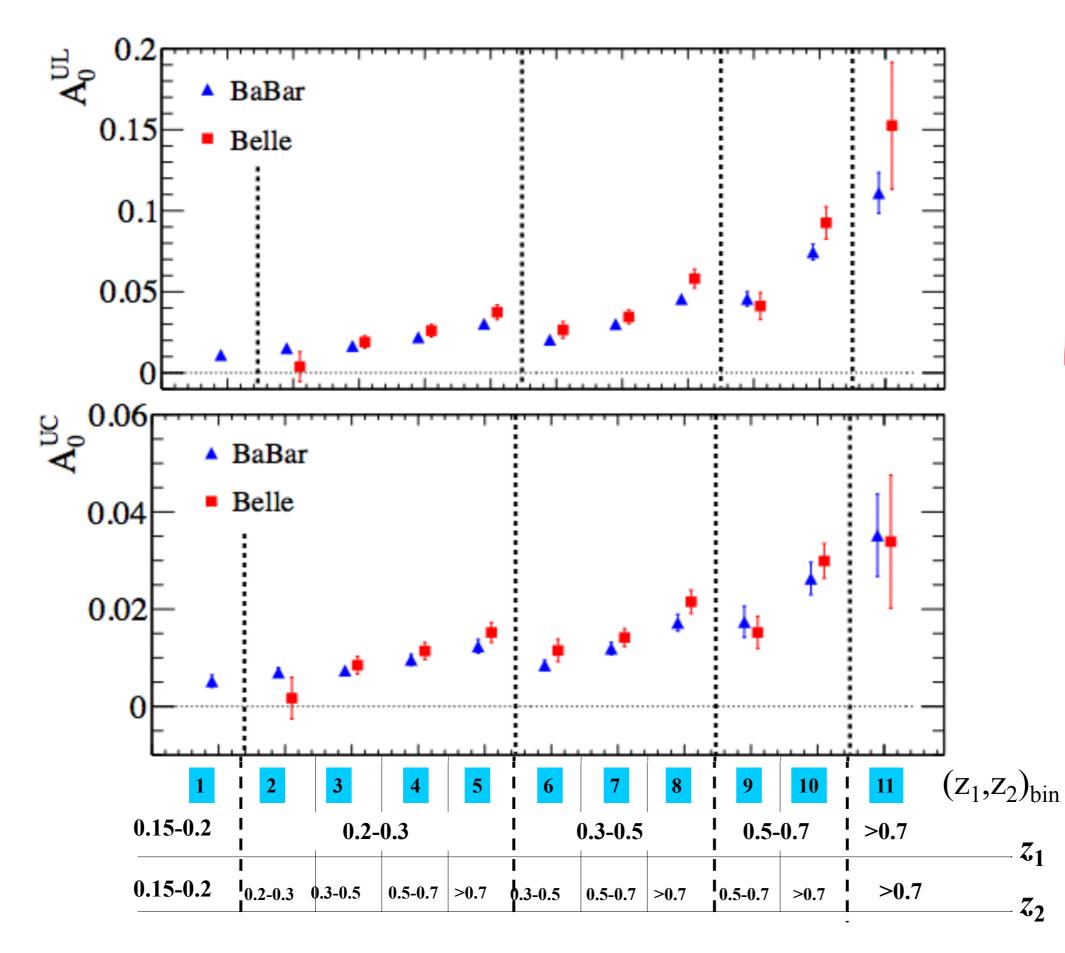
Collins effect in SIDIS couples to transversity

### independent evidence for Collins effect from e<sup>+</sup>e<sup>-</sup> data at Belle, BaBar and BES-III

$$A_{12}(z_1, z_2) \sim \Delta^N D_{h_1/q^{\uparrow}}(z_1) \otimes \Delta^N D_{h_2/\bar{q}^{\uparrow}}(z_2)$$

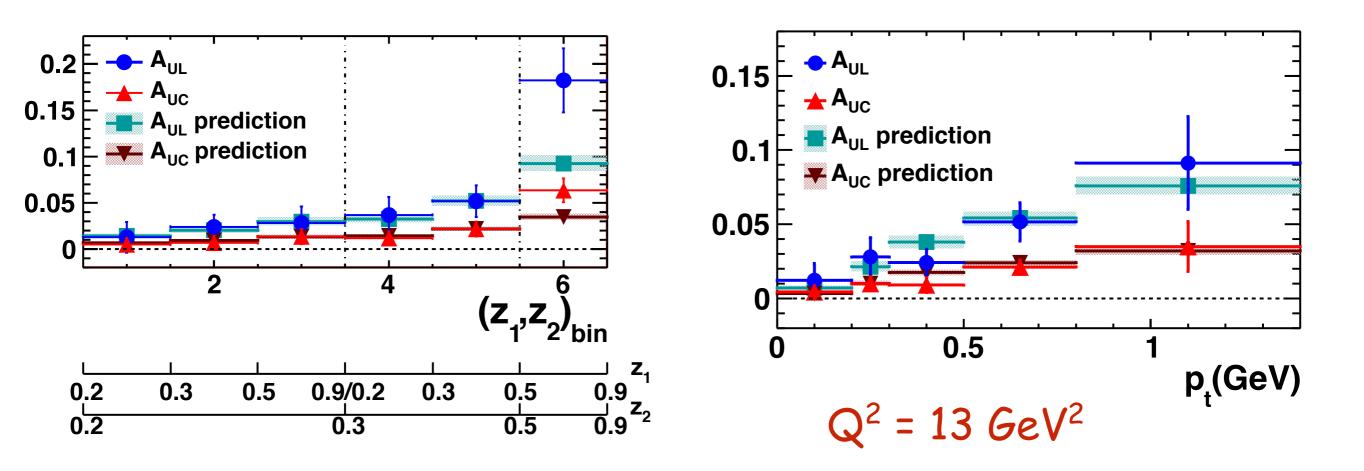


I. Garzia, arXiv:1201.4678



BaBar and Belle data on Ao (I. Garzia talk at TMDe2015)

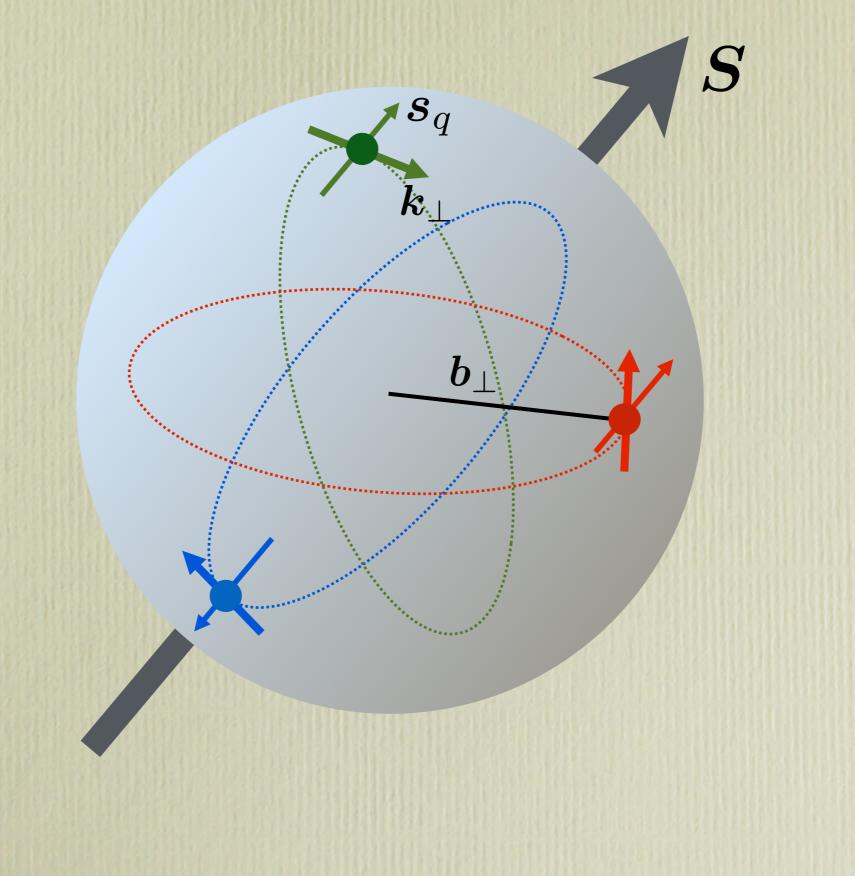
#### a similar asymmetry just measured by BES-III (arXiv 1507:06824)



Collins effect clearly observed both in SIDIS and e+eprocesses, by several Collaborations In general clear evidence for quark intrinsic motion; how do we extract information on TMDs from data?

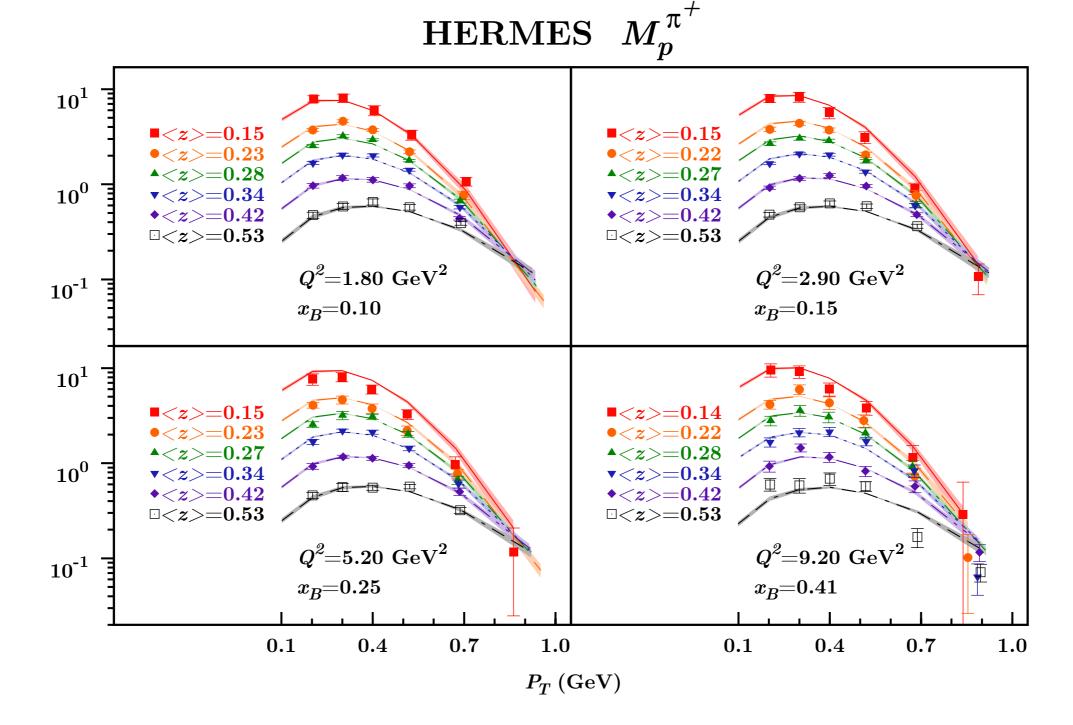
A<sub>UL</sub>

# What do we learn from data?



TMD extraction from data - first phase (simple parameterisation, no TMD evolution, limited number of parameters, ...)

unpolarised TMDs - fit of SIDIS multiplicities (M.A, Boglione, Gonzalez, Melis, Prokudin, JHEP 1404 (2014) 005)



#### measured quantity

$$M_n^h(x_B, Q^2, z_h, P_T) \equiv \frac{1}{\frac{d^2 \sigma^{DIS}(x_B, Q^2)}{dx_B \, dQ^2}} \, \frac{d^4 \sigma(x_B, Q^2, z_h, P_T)}{dx_B \, dQ^2 \, dz_h \, dP_T}$$

#### in TMD factorisation at order $(k_{\perp}/Q)$

 $\frac{d\sigma^{\ell+p\to\ell'hX}}{dx_B dQ^2 dz_h dP_T^2} = \frac{2\pi^2 \alpha^2}{(x_B s)^2} \frac{\left[1+(1-y)^2\right]}{y^2} \quad \text{elementary interaction, } |\mathbf{q} \to |\mathbf{q}$   $\times \sum_q e_q^2 \int d^2 \mathbf{k}_\perp d^2 \mathbf{p}_\perp \, \delta^{(2)} \left(\mathbf{P}_T - z_h \mathbf{k}_\perp - \mathbf{p}_\perp\right) f_{q/p}(x, k_\perp) \, D_{h/q}(z, p_\perp)$   $\equiv \frac{2\pi^2 \alpha^2}{(x_B s)^2} \frac{\left[1+(1-y)^2\right]}{y^2} \, F_{UU} \cdot$ 

assume simple x and  $k_{\perp}$ factorization and a gaussian  $k_{\perp}$  dependence

$$f_{q/p}(x,k_{\perp}) = f_{q/p}(x) \frac{e^{-k_{\perp}^2/\langle k_{\perp}^2 \rangle}}{\pi \langle k_{\perp}^2 \rangle}$$
$$D_{h/q}(z,p_{\perp}) = D_{h/q}(z) \frac{e^{-p_{\perp}^2/\langle p_{\perp}^2 \rangle}}{\pi \langle p_{\perp}^2 \rangle}$$

then 
$$F_{UU} = \sum_{q} e_q^2 f_{q/p}(x_B) D_{h/q}(z_h) \frac{e^{-P_T^2/\langle P_T^2 \rangle}}{\pi \langle P_T^2 \rangle}$$

### the good fit of the data shows a clear support for a gaussian distribution

$$\frac{d^2 n^h(x_B, Q^2, z_h, P_T)}{dz_h \, dP_T^2} = \frac{1}{2P_T} M_n^h(x_B, Q^2, z_h, P_T) = \frac{\pi \, \sum_q \, e_q^2 \, f_{q/p}(x_B) \, D_{h/q}(z_h)}{\sum_q \, e_q^2 \, f_{q/p}(x_B)} \, \frac{e^{-P_T^2/\langle P_T^2 \rangle}}{\pi \langle P_T^2 \rangle}$$

$$\langle P_T^2 \rangle = \langle p_\perp^2 \rangle + z_h^2 \langle k_\perp^2 \rangle$$

#### two correlated parameters

 $\langle k_{\perp}^2 \rangle = 0.57 \pm 0.08 \text{ GeV}^2$   $\langle p_{\perp}^2 \rangle = 0.12 \pm 0.01 \text{ GeV}^2$ 

(M.A, Boglione, Gonzalez, Melis, Prokudin, JHEP 1404 (2014) 005)

a similar analysis performed by Signori, Bacchetta, Radici, Schnell, JHEP 1311 (2013) 194; it also assumes gaussian behaviour

## extraction of u and d Sivers functions - first phase measured quantity

$$A_{UT}^{\sin(\phi_h - \phi_S)} = 2 \frac{\int d\phi_S \, d\phi_h \left[ d\sigma^{\uparrow} - d\sigma^{\downarrow} \right] \sin(\phi_h - \phi_S)}{\int d\phi_S \, d\phi_h \left[ d\sigma^{\uparrow} + d\sigma^{\downarrow} \right]}$$

TMD factorization at  $\mathcal{O}(k_{\perp}/Q)$ 

$$\frac{d\sigma^{\ell p^{\uparrow} \to \ell h X}}{dx_{B} \, dQ^{2} \, dz_{h} \, d^{2} \boldsymbol{P}_{T}} = \sum_{q} e_{q}^{2} \int d^{2} \boldsymbol{k}_{\perp} \, f_{q/p^{\uparrow}}(x, k_{\perp}) \frac{2\pi\alpha^{2}}{x^{2}s^{2}} \, \frac{\hat{s}^{2} + \hat{u}^{2}}{Q^{4}} D_{h/q}(z, p_{\perp})$$

$$f_{q/p^{\uparrow}}(x, \boldsymbol{k}_{\perp}) = f_{q/p}(x, k_{\perp}) + \frac{1}{2} \Delta^{N} f_{q/p^{\uparrow}}(x, k_{\perp}) \boldsymbol{S} \cdot (\hat{\boldsymbol{p}} \times \hat{\boldsymbol{k}}_{\perp})$$

$$A_{UT}^{\sin(\phi_{h}-\phi_{S})} = \frac{\sum_{q} \int d\phi_{S} \, d\phi_{h} \, d^{2} \mathbf{k}_{\perp} \Delta^{N} f_{q/p^{\uparrow}}(x, k_{\perp}) \sin(\varphi - \phi_{S}) \frac{d\hat{\sigma}^{\ell q \to \ell q}}{dQ^{2}} D_{q}^{h}(z, p_{\perp}) \sin(\phi_{h} - \phi_{S})}$$
$$\frac{\sum_{q} \int d\phi_{S} \, d\phi_{h} \, d^{2} \mathbf{k}_{\perp} \, f_{q/p}(x, k_{\perp}) \frac{d\hat{\sigma}^{\ell q \to \ell q}}{dQ^{2}} D_{q}^{h}(z, p_{\perp})}{dQ^{2}} D_{q}^{h}(z, p_{\perp})}$$
$$\text{two different notations} \quad \Delta^{N} f_{q/p^{\uparrow}} = -\frac{2 \, k_{\perp}}{M} f_{1T}^{\perp q}$$

J1T

 $M_p$ 

#### simple parameterisations

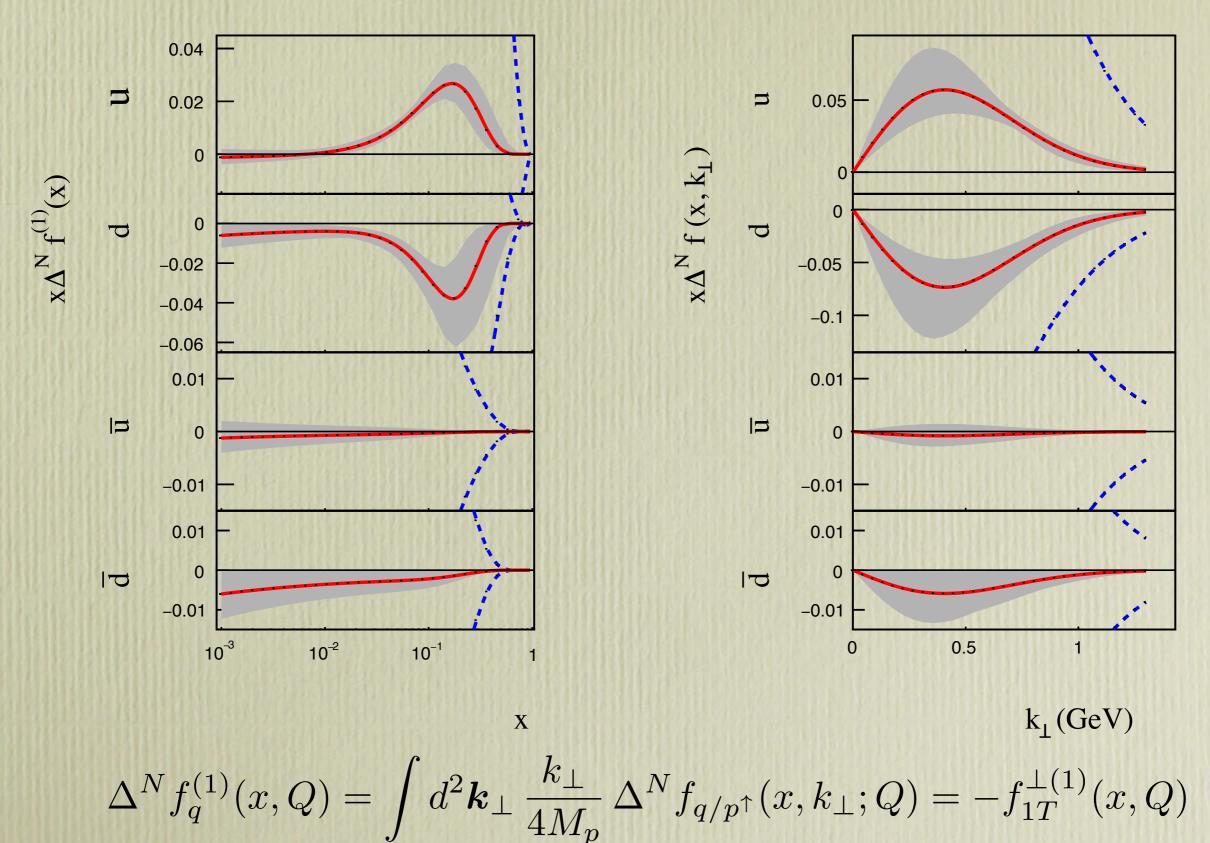
12 11121

$$\Delta^{N} f_{q/p^{\uparrow}}(x, k_{\perp}, Q) = 2 \mathcal{N}(x) h(k_{\perp}) \underbrace{f_{q/p}(x, Q)}_{f_{q/p}(x, k_{\perp})} \underbrace{\frac{e^{-k_{\perp}^{2}/\langle k_{\perp}^{2} \rangle}}{\pi \langle k_{\perp}^{2} \rangle}}_{f_{q/p}(x, k_{\perp})}$$

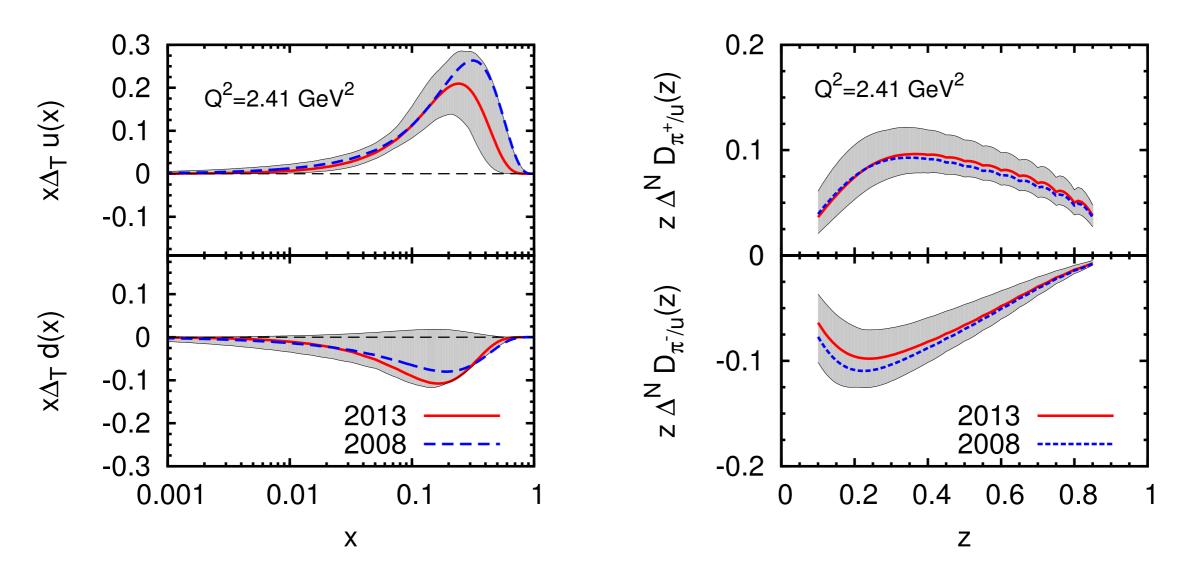
$$\mathcal{N}_q(x) = N_q \, x^{\alpha_q} (1-x)^{\beta_q} \, \frac{(\alpha_q + \beta_q)^{(\alpha_q + \beta_q)}}{\alpha_q^{\alpha_q} \beta_q^{\beta_q}}$$
$$h(k_\perp) = \sqrt{2e} \, \frac{k_\perp}{M_1} \, e^{-k_\perp^2/M_1^2}$$
$$D_{h/q}(z, p_\perp) = D_{h/q}(z) \, \frac{e^{-p_\perp^2/\langle p_\perp^2 \rangle}}{\pi \langle p_\perp^2 \rangle}$$

#### Q<sup>2</sup> evolution only taken into account in the collinear part (usual DGLAP PDF evolution)

M.A, M. Boglione, U. D'Alesio, S. Melis, F. Murgia, A. Prokudin, Phys. Rev. D71 (2005) 074006; Eur. Phys. J. A39 (2009) 89 (results in agreement with those of several other groups) **M.A.**, M. Boglione, U. D'Alesio, F. Murgia, A. Prokudin, JHEP 1704 (2017) 046



TMD extraction: transversity and Collins functions - first phase M. A., M. Boglione, U. D'Alesio, S. Melis, F. Murgia, A. Prokudin, PRD 87 (2013) 094019



SIDIS and e+e- data, simple parameterization, no TMD evolution, agreement with extraction using di-hadron FF

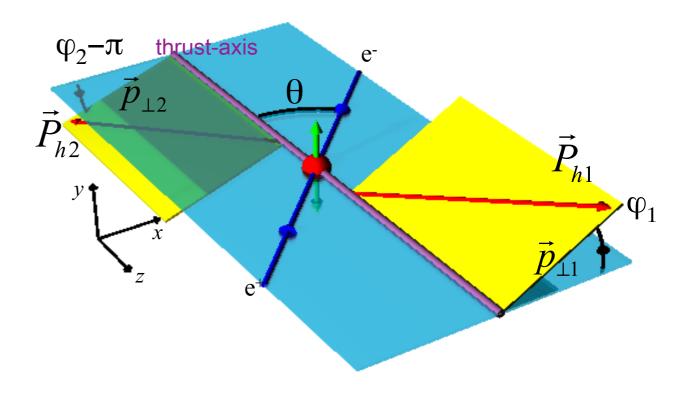
(recent papers by Bacchetta, Courtoy, Guagnelli, Radici, JHEP 1505 (2015) 123; Kang, Prokudin, Sun, Yuan, Phys. Rev. D91 (2015) 071501; Phys. Rev. D93 (2016) 014009)

## measured quantities

$$\frac{1}{2}\Delta^{N}D_{h/q^{\uparrow}}(z,p_{\perp}) \boldsymbol{s}_{q} \cdot (\hat{\boldsymbol{p}}_{q} \times \hat{\boldsymbol{p}}_{\perp})$$

$$\frac{d\sigma^{e^+e^- \to h_1 h_2 X}}{dz_1 \, dz_2 \, d\cos\theta \, d(\varphi_1 + \varphi_2)}$$

actual measurement is a ratio of such cross sections



#### with simple parameterization, TMD factorisation gives

$$\Delta_T q(x,k_{\perp}) = \frac{1}{2} \mathcal{N}_q^T(x) \left[ f_{q/p}(x) + \Delta q(x) \right] \frac{e^{-k_{\perp}^2/\langle k_{\perp}^2 \rangle_T}}{\pi \langle k_{\perp}^2 \rangle_T} \qquad \Delta_T q = h_{1T}^q$$
$$\Delta_T q = h_{1T}^q$$
$$\Delta_T q = h_{1T}^q$$

$$A_{UT}^{\sin(\phi_{S}+\phi_{h})} = \frac{\sum_{q} e_{q}^{2} \int d\phi_{S} \, d\phi_{h} \, d^{2}\mathbf{k}_{\perp} (\Delta_{T}q(x,k_{\perp}) \frac{d(\Delta\hat{\sigma})}{dy} (\Delta^{N}D_{h/q^{\uparrow}}(z,p_{\perp}) \sin(\phi_{S}+\varphi+\phi_{q}^{h}) \sin(\phi_{S}+\phi_{h})}{\sum_{q} e_{q}^{2} \int d\phi_{S} \, d\phi_{h} \, d^{2}\mathbf{k}_{\perp} \, f_{q/p}(x,k_{\perp}) \frac{d\hat{\sigma}}{dy} D_{h/q}(z,p_{\perp})}$$

$$\mathbf{where} \quad \frac{d(\Delta\hat{\sigma})}{dy} = \frac{d\hat{\sigma}^{\ell q^{\uparrow} \to \ell q^{\uparrow}}}{dy} - \frac{d\hat{\sigma}^{\ell q^{\uparrow} \to \ell q^{\downarrow}}}{dy} = \frac{4\pi\alpha^{2}}{sxy^{2}} (1-y)$$

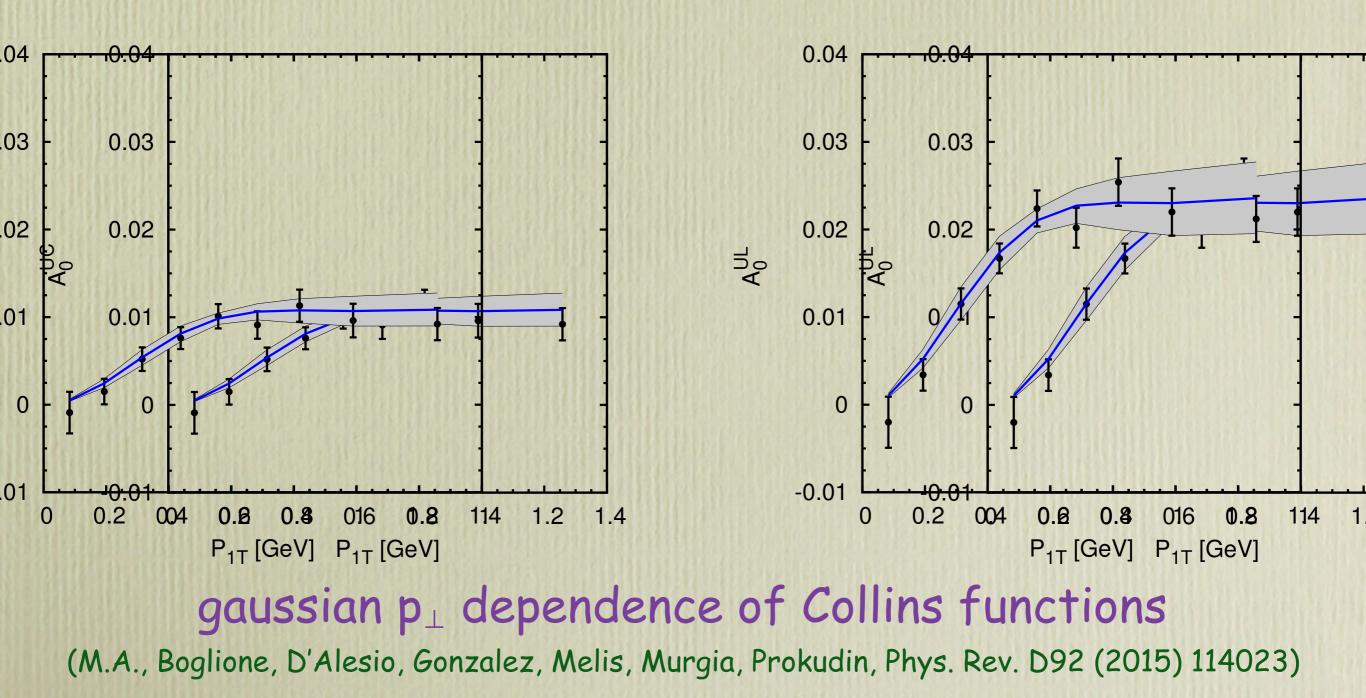
$$\frac{d\sigma^{e^{+}e^{-} \to h_{1}h_{2}X}}{dz_{1} \, dz_{2} \, d\cos\theta \, d(\varphi_{1}+\varphi_{2})} = \frac{3\alpha^{2}}{4s} \sum_{q} e_{q}^{2} \left\{ (1+\cos^{2}\theta) \, D_{h_{1}/q}(z_{1}) \, D_{h_{2}/q}(z_{2}) + \frac{1}{4} \sin^{2}\theta \, \cos(\varphi_{1}+\varphi_{2}) \, \Delta^{N}D_{h_{1}/q^{\uparrow}}(z_{1}) \Delta^{N}D_{h_{2}/q^{\uparrow}}(z_{2}) \right\}$$

$$\int d^{2}\mathbf{p}_{\perp} (\Delta^{N}D_{h/q^{\uparrow}}(z,p_{\perp}) \equiv \Delta^{N}D_{h/q^{\uparrow}}(z).$$

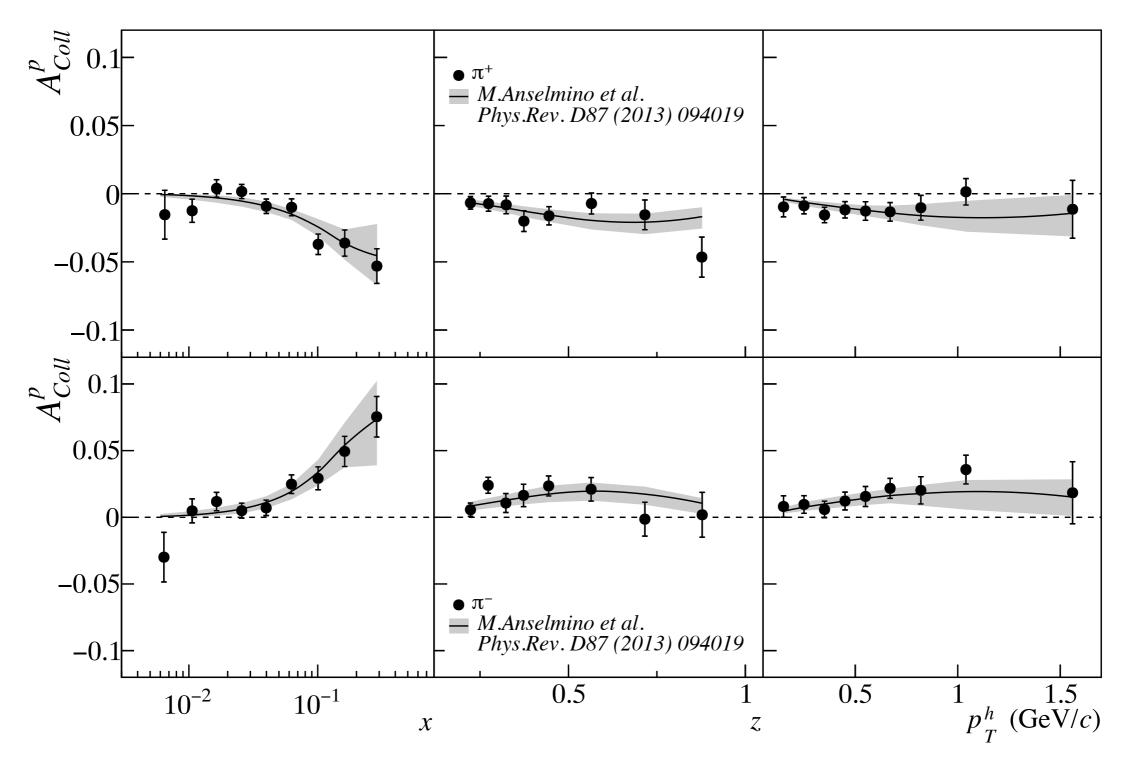
# recent BaBar data on the $p_{\perp}$ dependence of the Collins function (first direct measurement)

 $d\sigma^{e^+e^- \to h_1 h_2 X}$ 

 $dz_1 dz_2 p_{\perp 1} dp_{\perp 1} p_{\perp 2} dp_{\perp 2} d\cos\theta d(\varphi_1 + \varphi_2)$ 

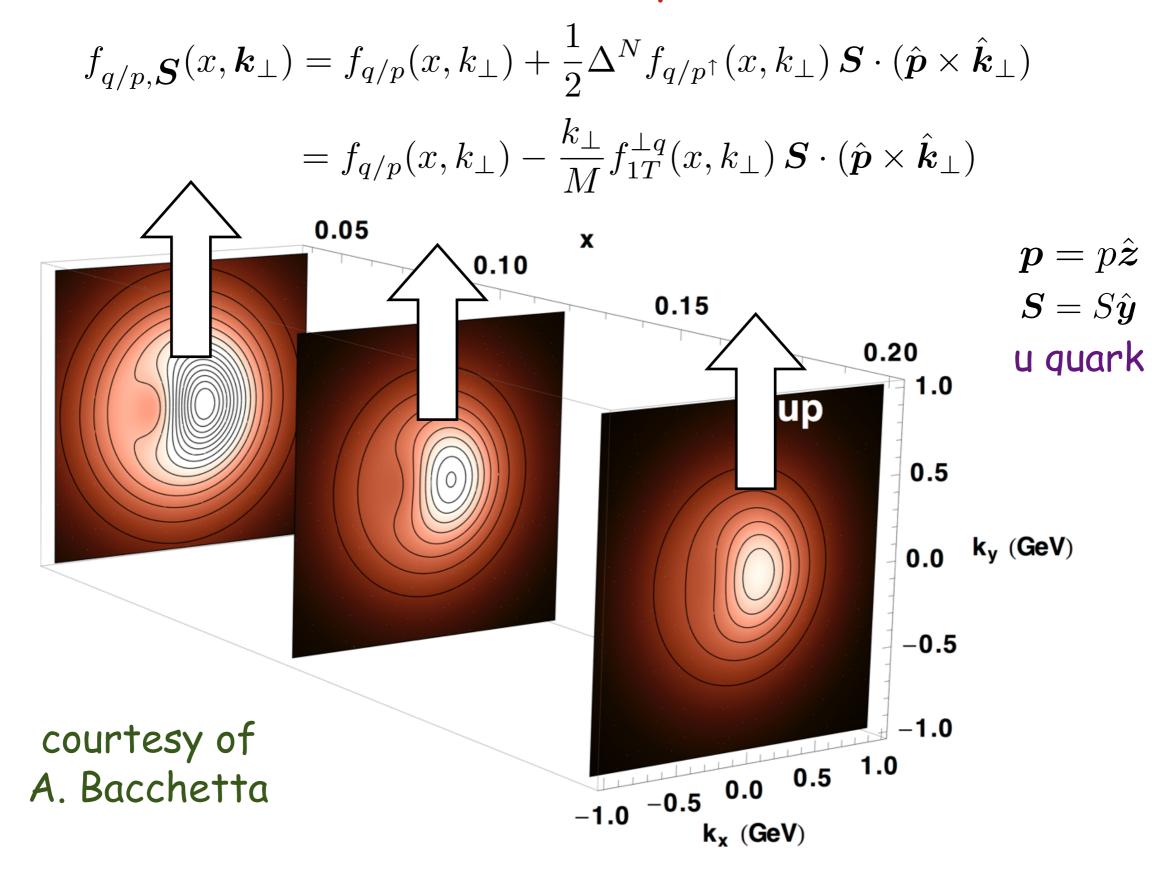


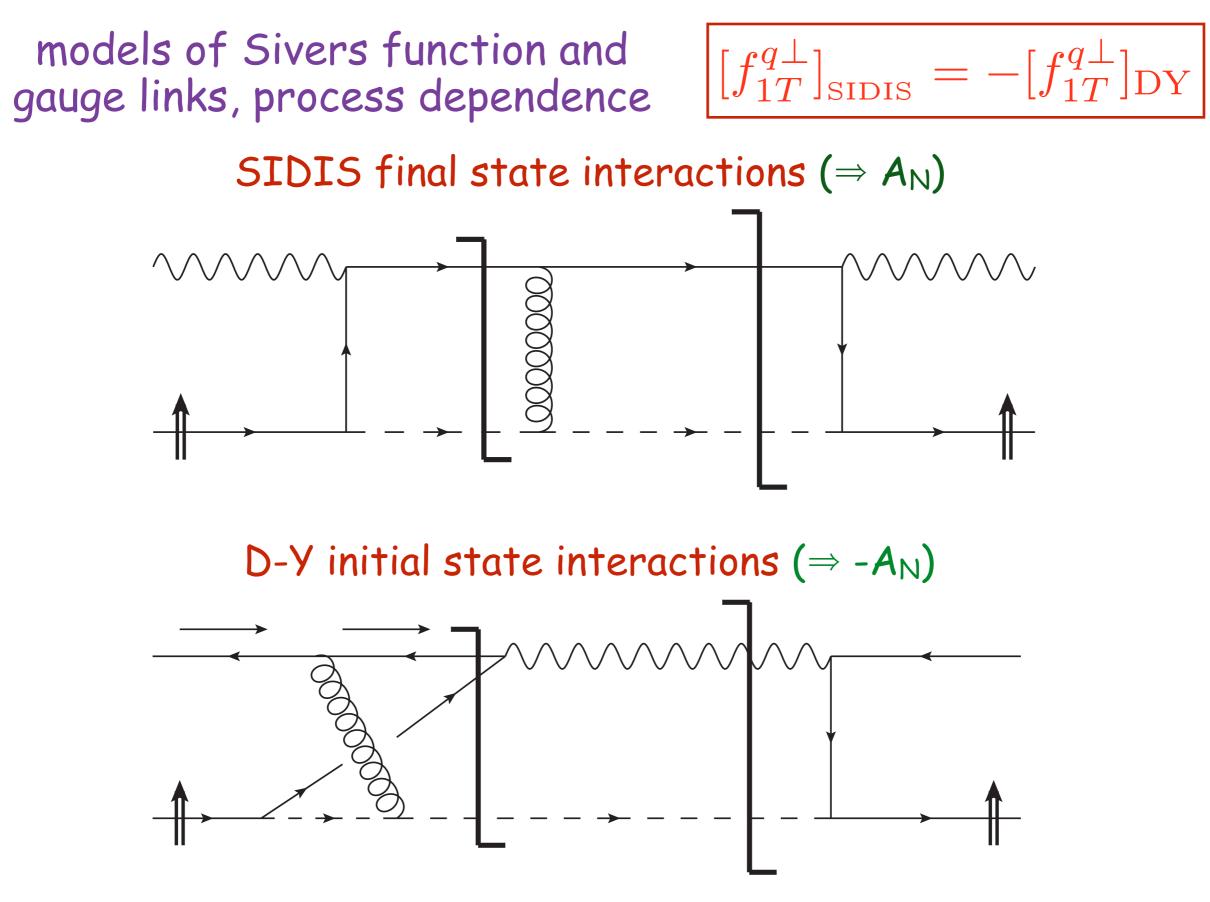
# recent results from COMPASS and a previous combined fit of SIDIS (HERMES and COMPASS) and $e^+e^+$ asymmetries



COMPASS Collaboration, Phys. Lett. B744 (2015) 250

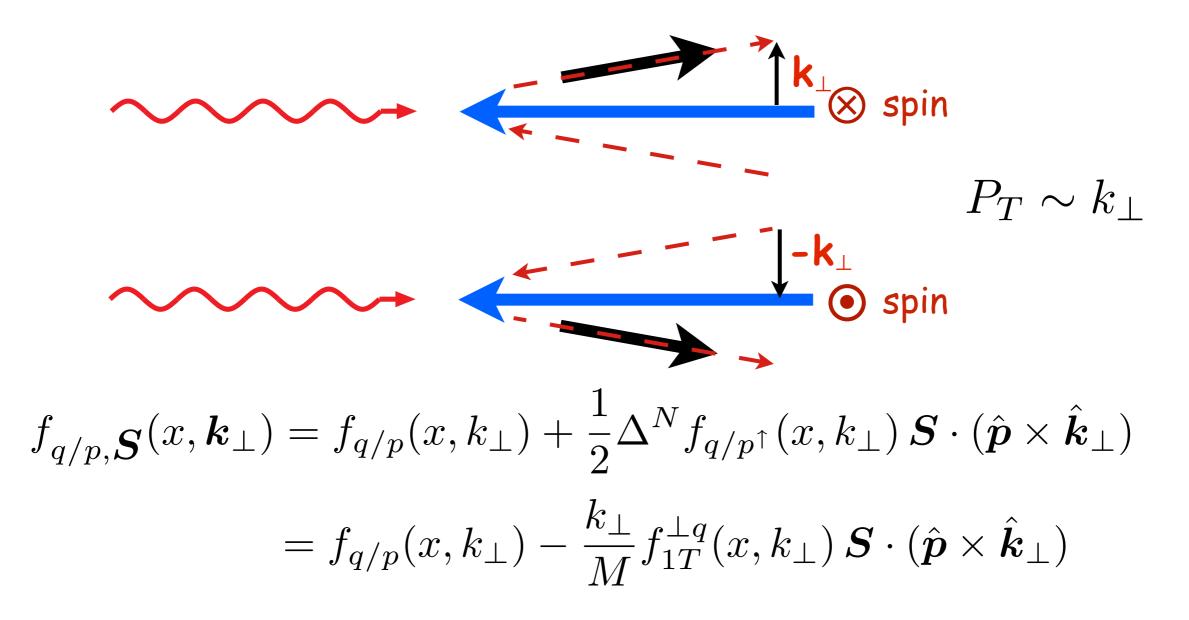
more on the Sivers effect, what does it teach us? it induces distortions in the parton distributions





Brodsky, Hwang, Schmidt, PL B530 (2002) 99; NP B642 (2002) 344 Brodsky, Hwang, Kovchegov, Schmidt, Sievert, PR D88 (2013) 014032

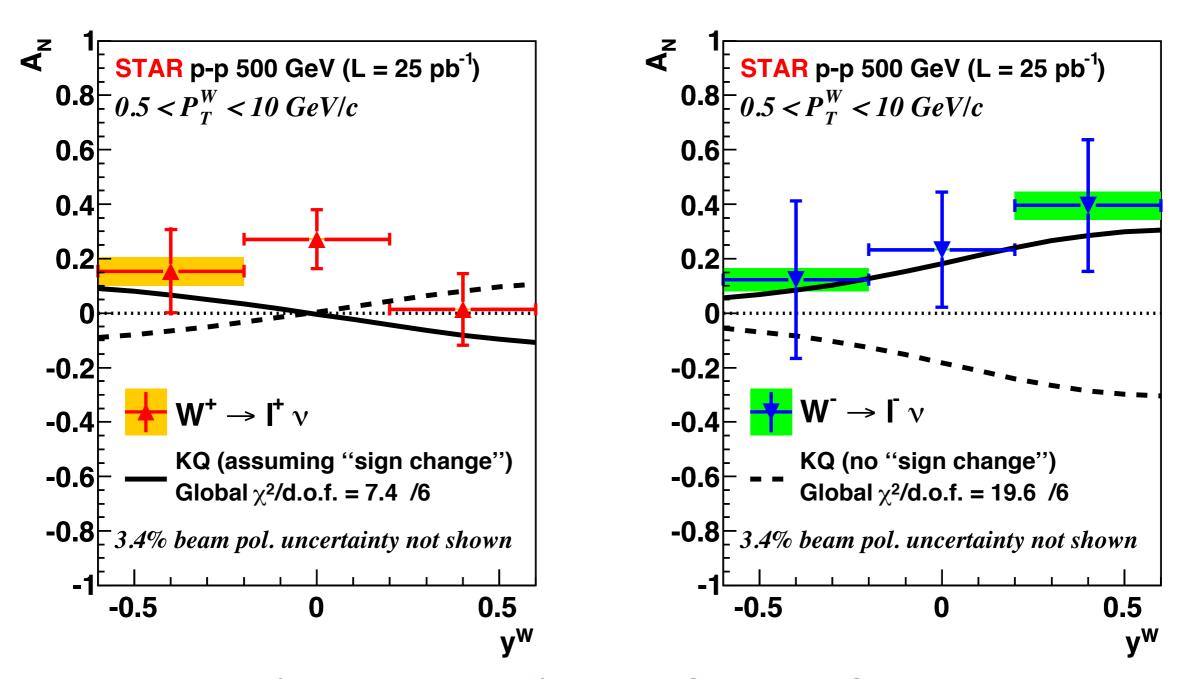
#### but the the Sivers effect has a simple physical picture...



left-right spin asymmetry for the process  $\gamma^*q 
ightarrow q$ 

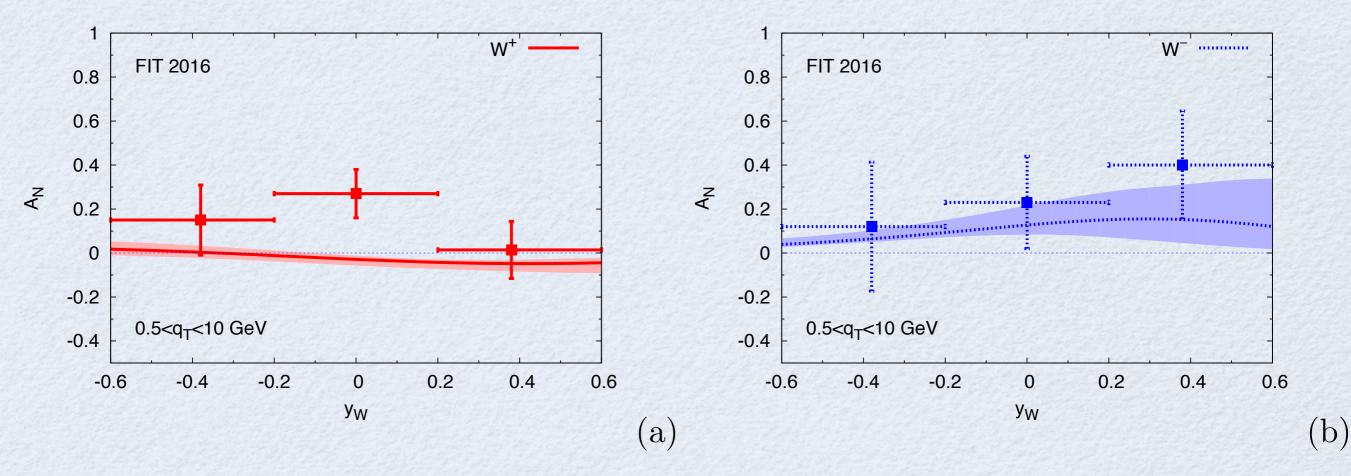
the spin- $\mathbf{k}_{\perp}$  correlation is an intrinsic property of the nucleon; it should be related to the parton orbital motion

First results from RHIC,  $p^{\uparrow}p \to W^{\pm}X$ STAR Collaboration, PRL 116 (2016) 132301



some hints at sign change of Sivers function..... (new results from COMPASS expected soon)

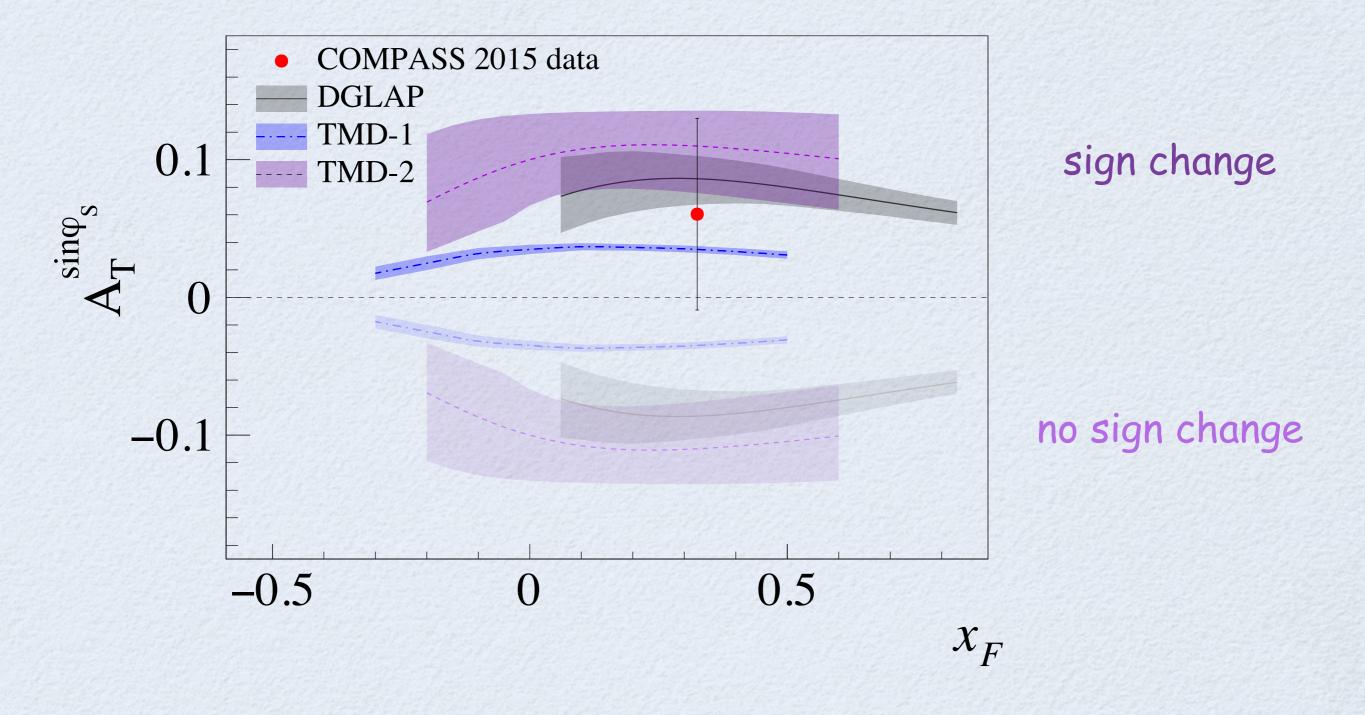
#### M.A, M. Boglione, U. D'Alesio, F. Murgia, A. Prokudin, JHEP 1704 (2017) 046



estimates of the Sivers asymmetry A<sub>N</sub> for W<sup>+</sup>(a) and W<sup>-</sup>(b) production, assuming a sign change of the SIDIS Sivers functions, compared with the experimental data as function of y<sub>W</sub>

$$\langle \chi_{8}^{2} / \text{nFoT} d 0 \rangle^{1} = 1.63$$
 with sign change  
 $\langle \chi_{6}^{2} / \text{n.o.d.} \rangle = 2.35$  with no sign change  
0.4

#### Sivers asymmetry in DY at COMPASS arXiv:1704.00488



#### Sivers function and orbital angular momentum

Ji's sum rule

forward limit of GPDs

 $J^{q} = \frac{1}{2} \int_{0}^{1} dx \, x \left[ H^{q}(x,0,0) + E^{q}(x,0,0) \right]$ usual PDF q(x) cannot be measured directly

anomalous magnetic moments

 $\kappa^{p} = \int_{0}^{1} \frac{dx}{3} \left[ 2E^{u_{v}}(x,0,0) - E^{d_{v}}(x,0,0) - E^{s_{v}}(x,0,0) \right]$  $\kappa^{n} = \int_{0}^{1} \frac{dx}{3} \left[ 2E^{d_{v}}(x,0,0) - E^{u_{v}}(x,0,0) - E^{s_{v}}(x,0,0) \right]$  $(E^{q_{v}} = E^{q} - E^{\bar{q}})$ 

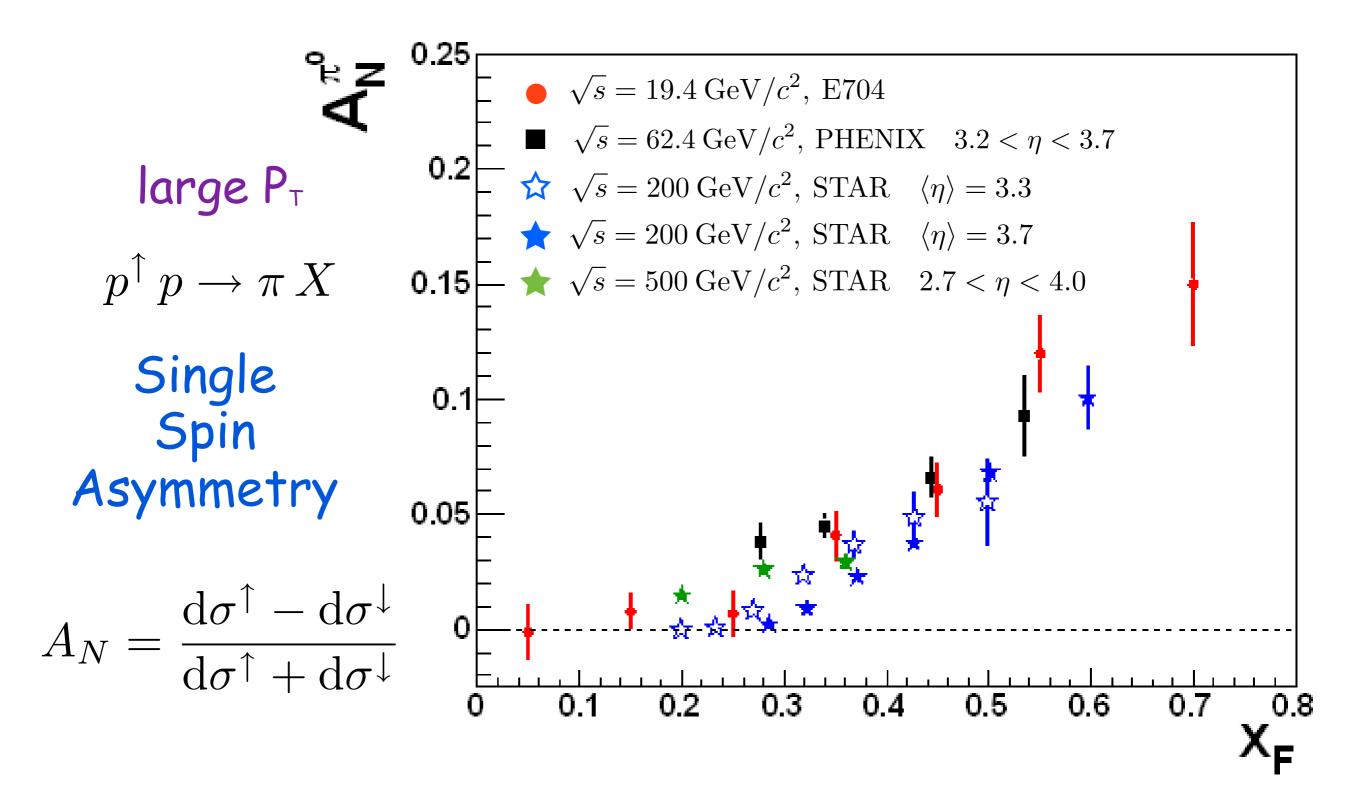
#### Sivers function and orbital angular momentum

#### assume

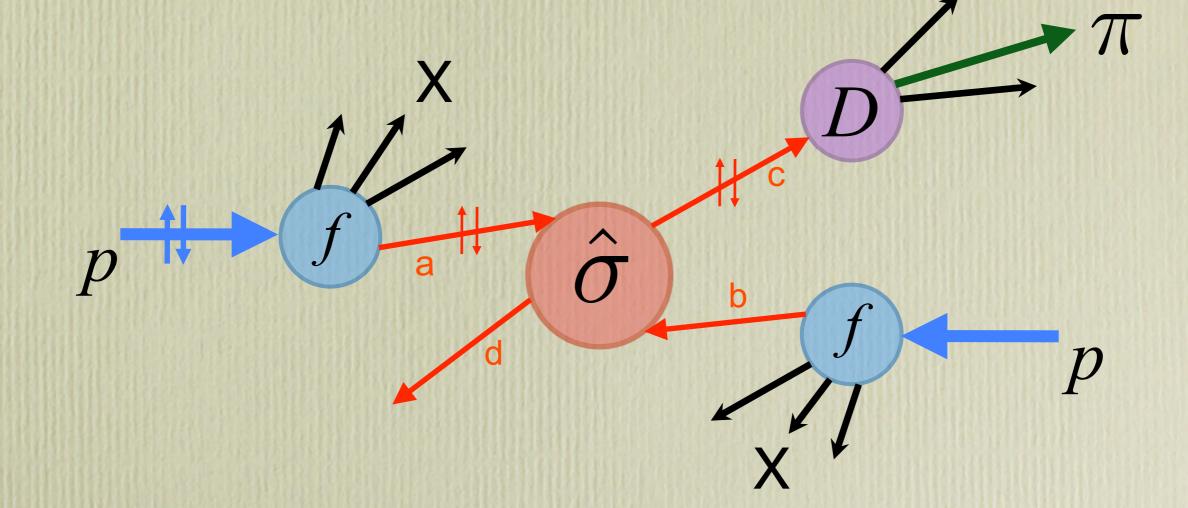
 $f_{1T}^{\perp(0)a}(x;Q_L^2) = -L(x)E^a(x,0,0;Q_L^2)$  $f_{1T}^{\perp(0)a}(x,Q) = \int d^2 \mathbf{k}_{\perp} \, \hat{f}_{1T}^{\perp a}(x,k_{\perp};Q)$ 

L(x) = lensing function(unknown, can be computed in models) parameterize Sivers and lensing functions fit SIDIS and magnetic moment data obtain Eq and estimate orbital angular momentum results at  $Q^2 = 4 \text{ GeV}^2$ :  $J^u \approx 0.23$ ,  $J^{q\neq u} \approx 0$ Bacchetta, Radici, PRL 107 (2011) 212001

# other experimental evidence of the Sivers and Collins effects



SSA in hadronic processes: TMDs, a possible explanation Generalization of collinear scheme (GPM) (assuming factorization)



 $\mathrm{d}\sigma^{\uparrow} = \sum_{a,b,c=a,\bar{a},c} f_{a/p^{\uparrow}}(x_a, \boldsymbol{k}_{\perp a}) \otimes f_{b/p}(x_b, \boldsymbol{k}_{\perp b}) \otimes \mathrm{d}\hat{\sigma}^{ab \to cd}(\boldsymbol{k}_{\perp a}, \boldsymbol{k}_{\perp b}) \otimes D_{\pi/c}(z, \boldsymbol{p}_{\perp \pi})$  $a,b,c=q,\bar{q},g$ 

single spin effects in TMDs

TMDs and QCD - TMD evolution how does gluon emission affect the parton transverse motion? TMD phenomenology - phase 2 Different TMD evolution schemes and different implementations within the same scheme it needs non perturbative inputs

dedicated workshops, QCD Evolution 2011, 2012, 2013, 2014, 2015, 2016, 2017

#### dedicated tools:

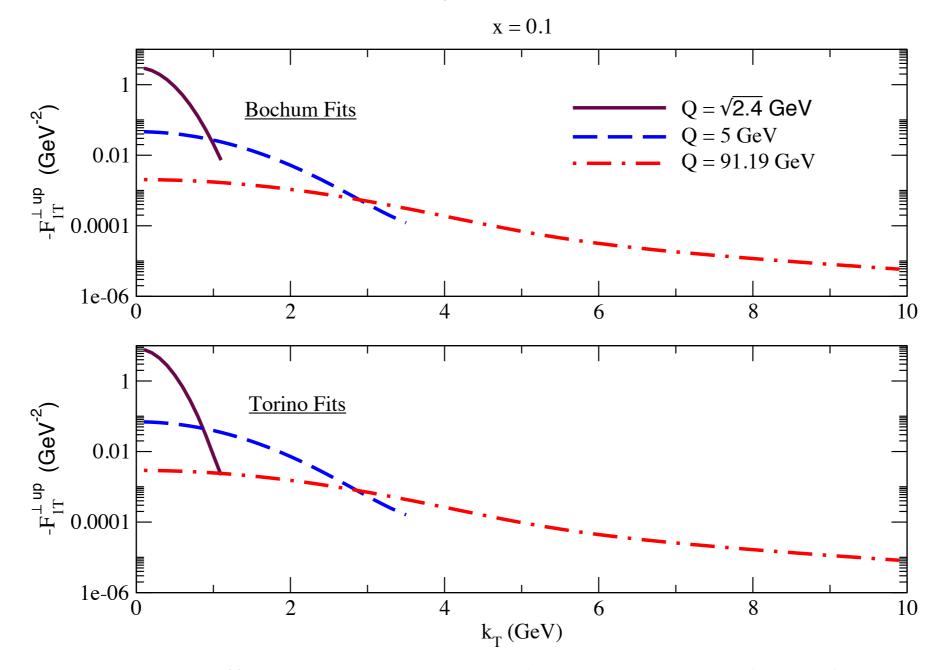
TMDlib and TMDplotter: library and plotting tools for transverse-momentum-dependent parton distributions

study of the QCD evolution of TMDs and TMD factorisation in rapid development

Collins, "Foundations of perturbative QCD", Cambridge University Press (2011)

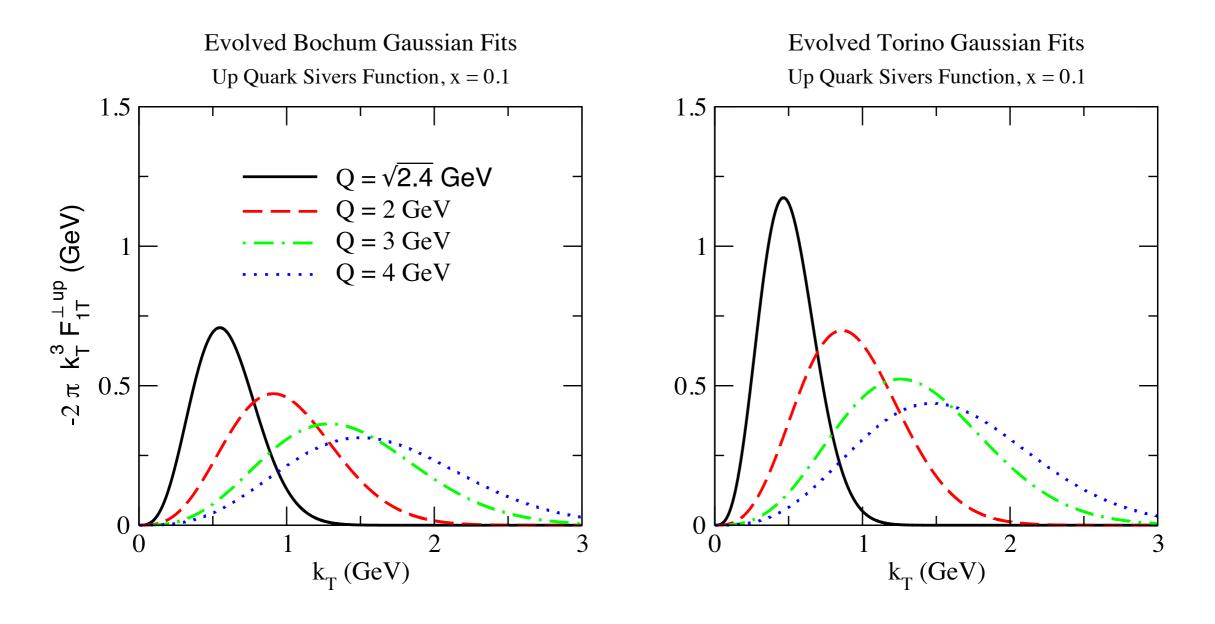
TMD phenomenology - phase 2 how does gluon emission affect the transverse motion? a few selected results, examples

TMD evolution of up quark Sivers function



Aybat, Collins, Qiu, Rogers, Phys. Rev. D85 (2012) 034043

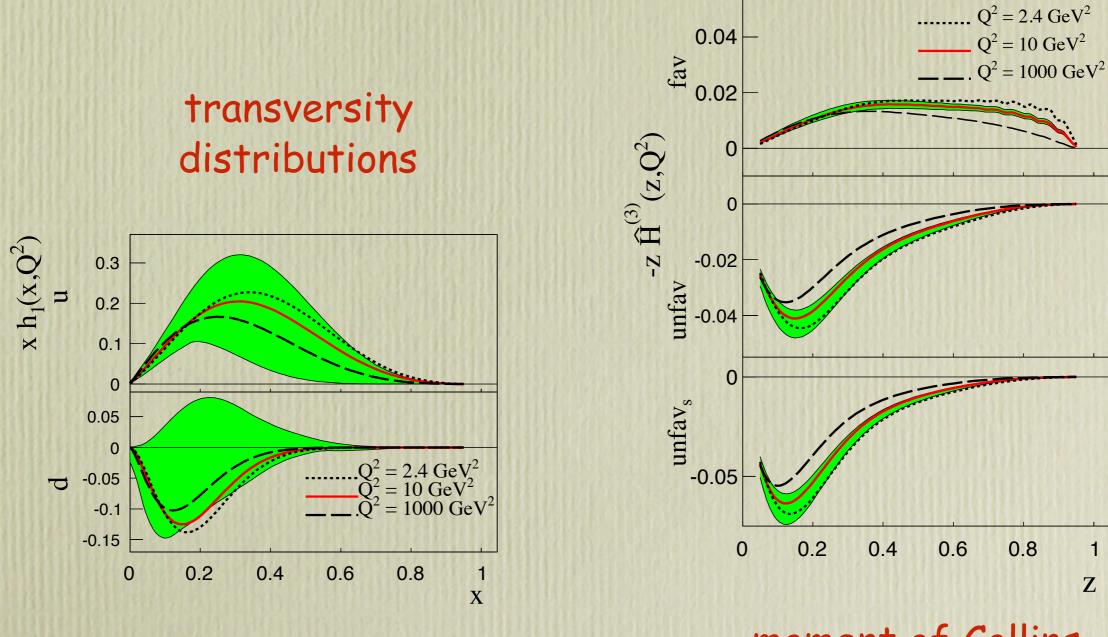
## TMD evolution of up quark Sivers function



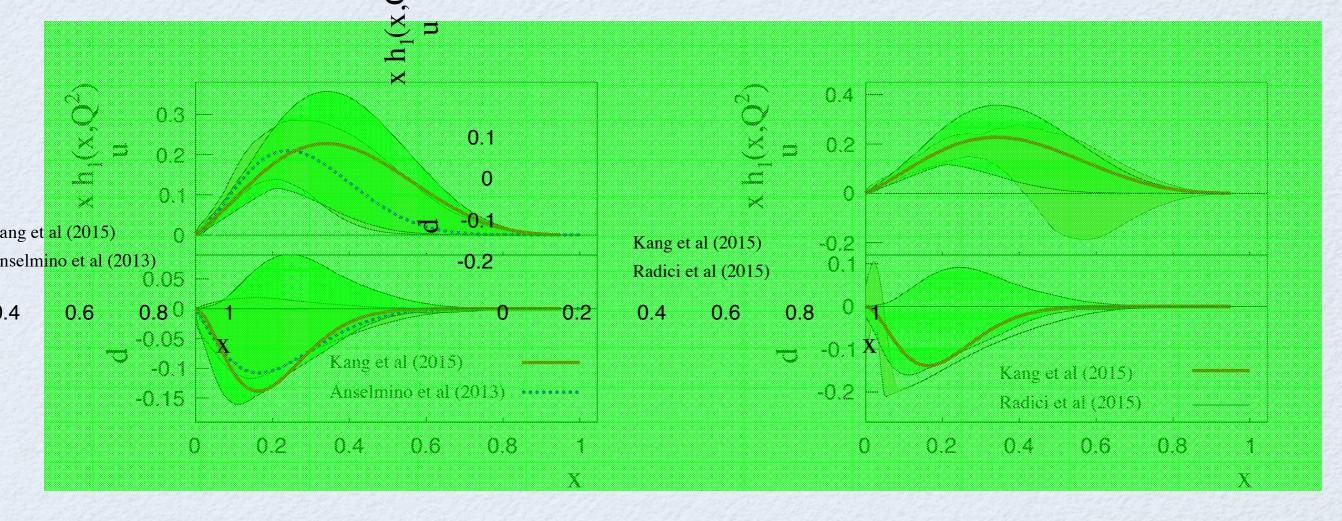
Aybat, Collins, Qiu, Rogers, Phys.Rev. D85 (2012) 034043

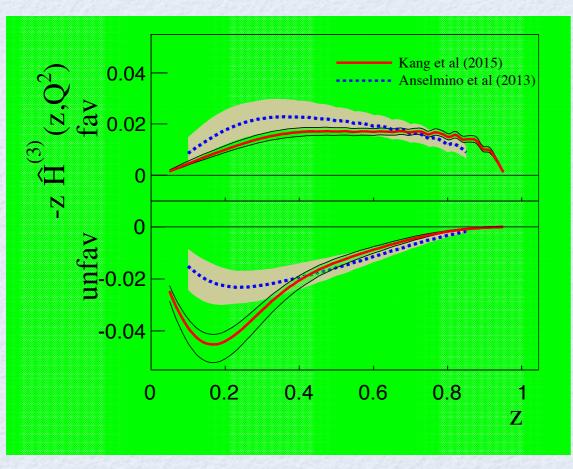
TMD evolution of Sivers function studied also by Echevarria, Idilbi, Kang, Vitev, Phys. Rev. D89 (2014) 074013

#### Extraction of transversity and Collins functions with TMD evolution (Kang, Prokudin, Sun, Yuan, Phys. Rev. D93 (2016) 014009)



moment of Collins functions



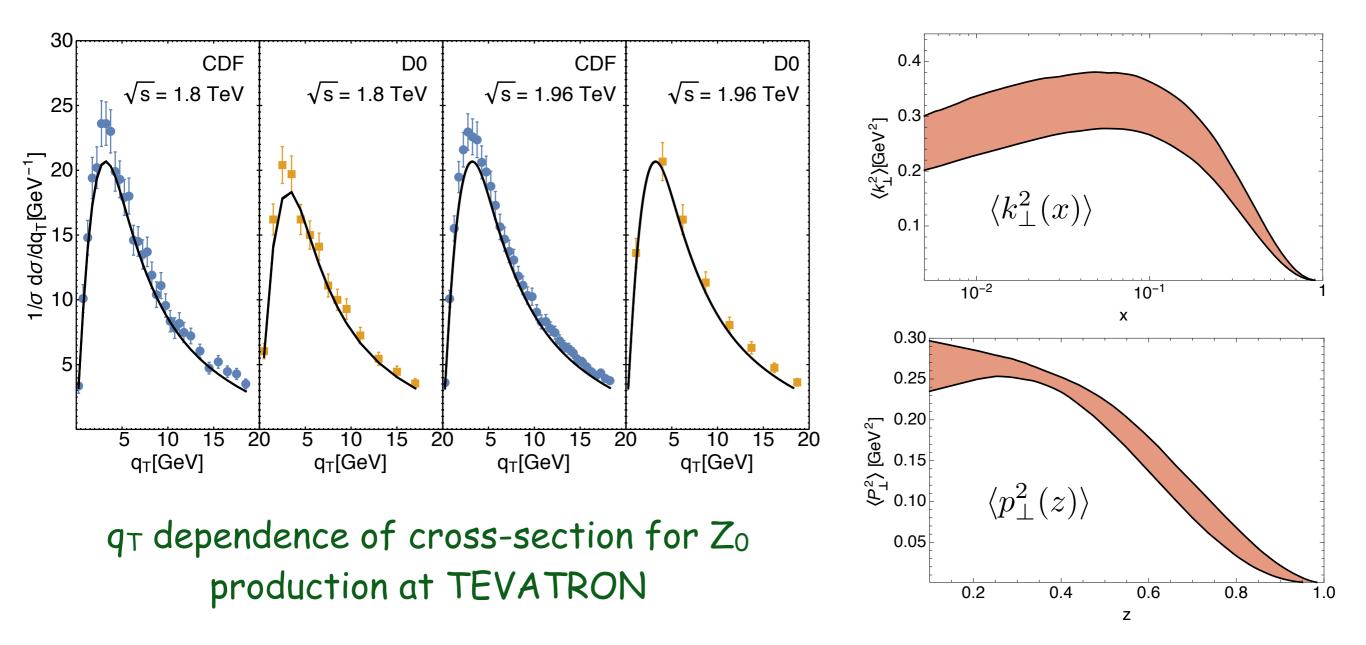


comparison with phase 1 extraction,  $Q^2 = 2.4 \text{ GeV}^2$ 

(Kang, Prokudin, Sun, Yuan, Phys. Rev. D93 (2016) 014009

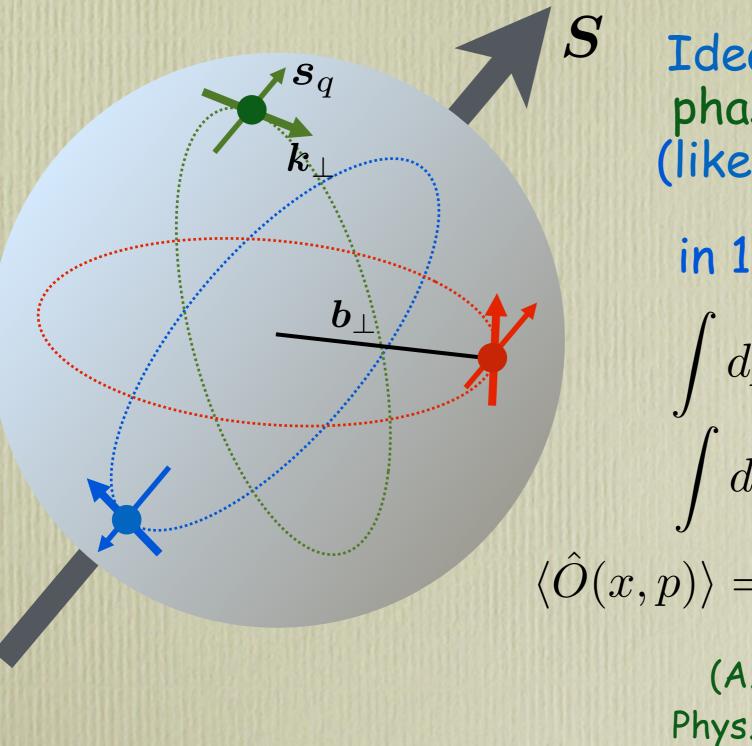
no compelling evidence of TMD evolution yet

A. Bacchetta, F. Delcarro, C. Pisano, M. Radici, A. Signori, JHEP 1706 (2017) 081

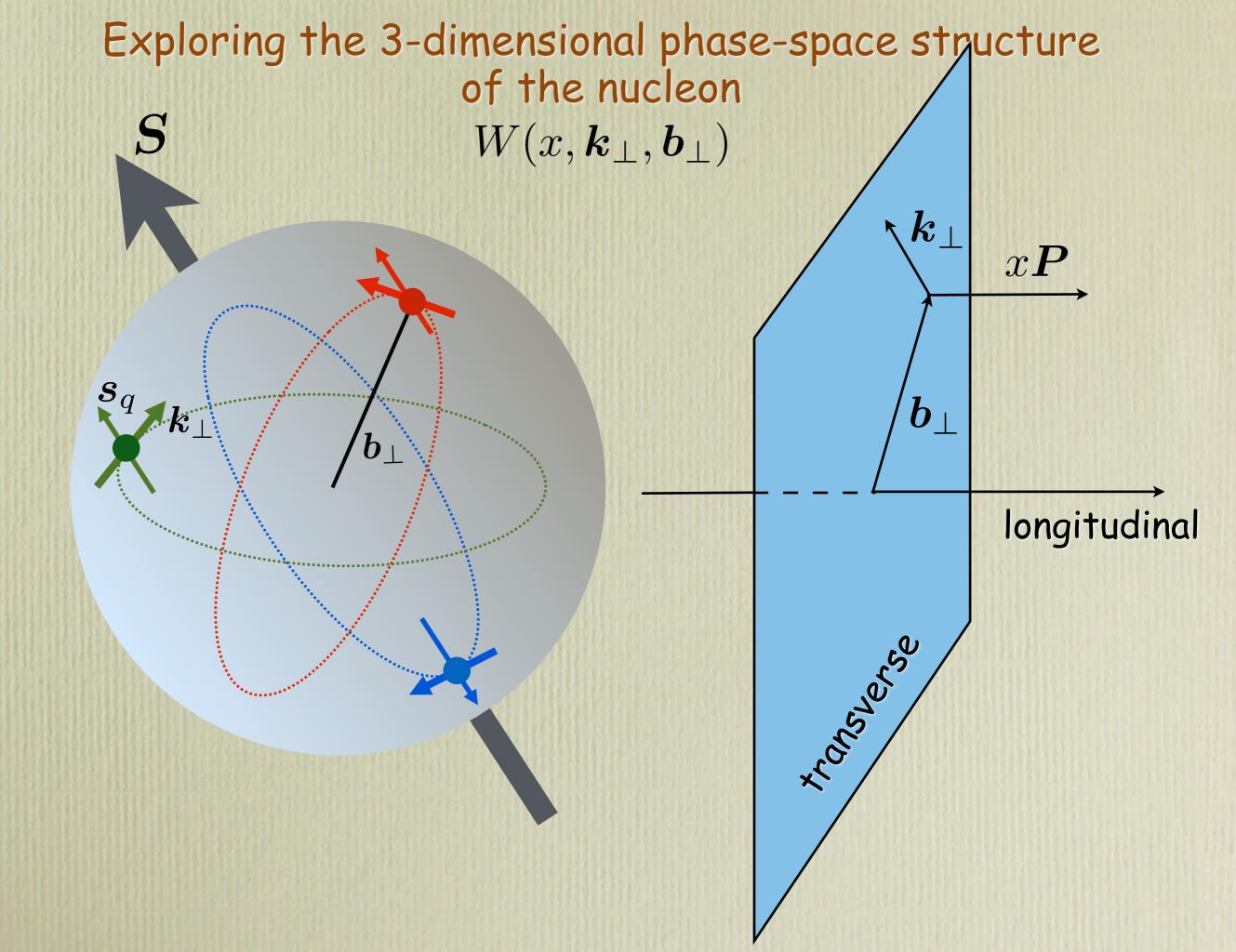


first global fit of unpolarized TMD-PDFs and TMD-FFs from SIDIS, DY and Z<sub>0</sub> production data. Based on TMD factorisation with TMD evolution

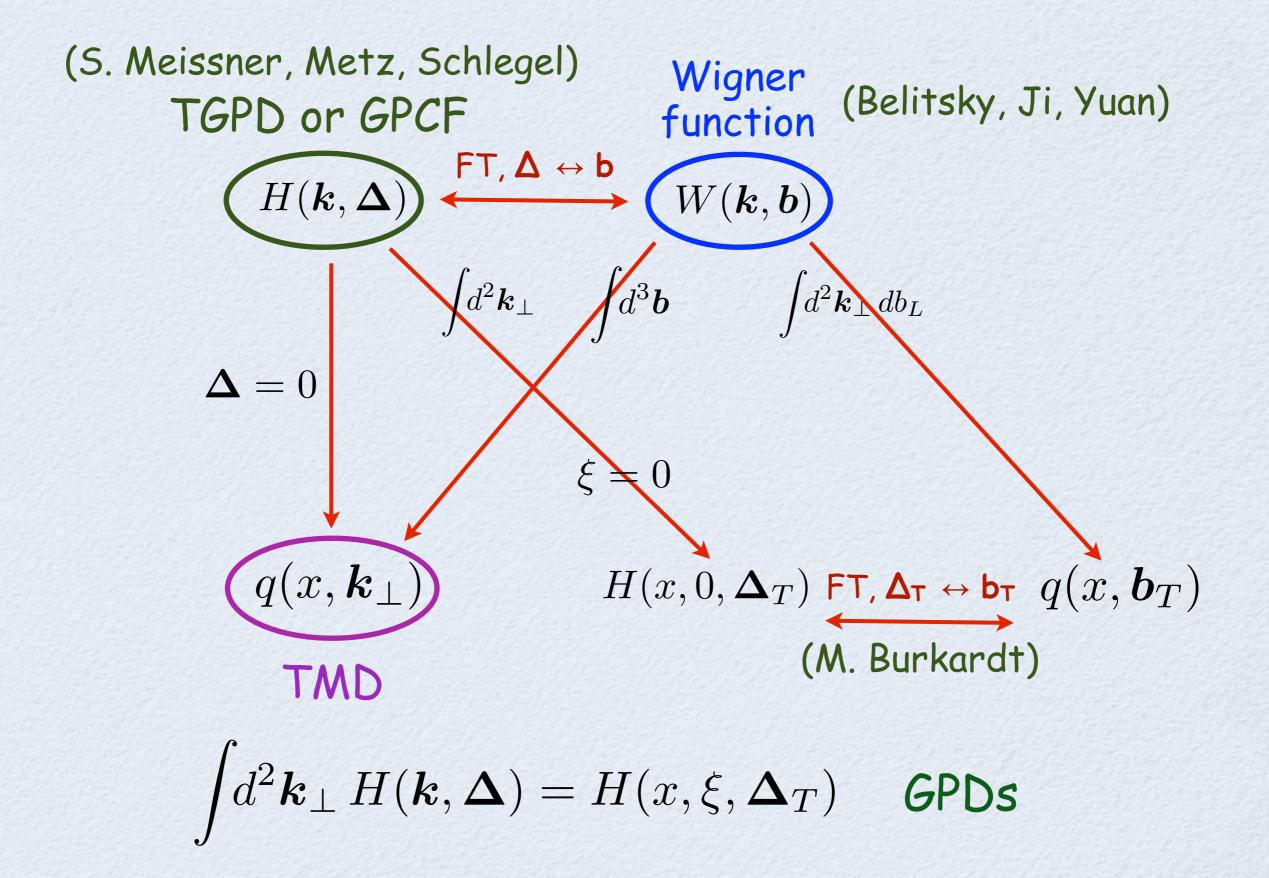
### but TMD-PDFs are not the whole story .....



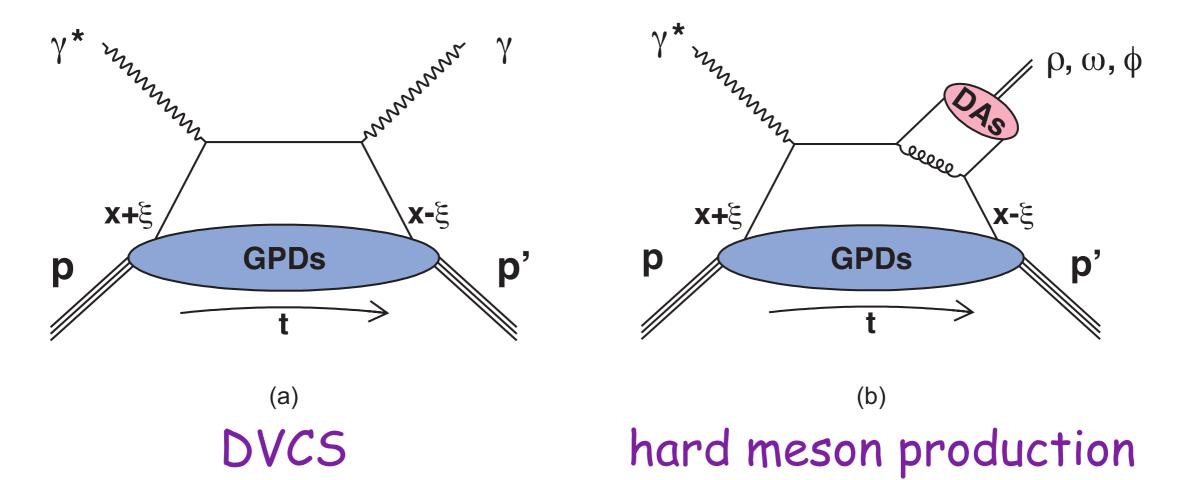
Ideally: obtain a quantum phase-space distribution (like the Wigner function) in 1-dimensional QM:  $\int dp W(x,p) = |\psi(x)|^2$  $\int dx W(x,p) = |\phi(p)|^2$  $\langle \hat{O}(x,p) \rangle = \int dx \, dp \, W(x,p) \, O(x,p)$ (A. Belitsky, X. Ji, F. Yuan, Phys. Rev. D69 (2004) 074014)



## phase-space parton distribution, W(k, b)

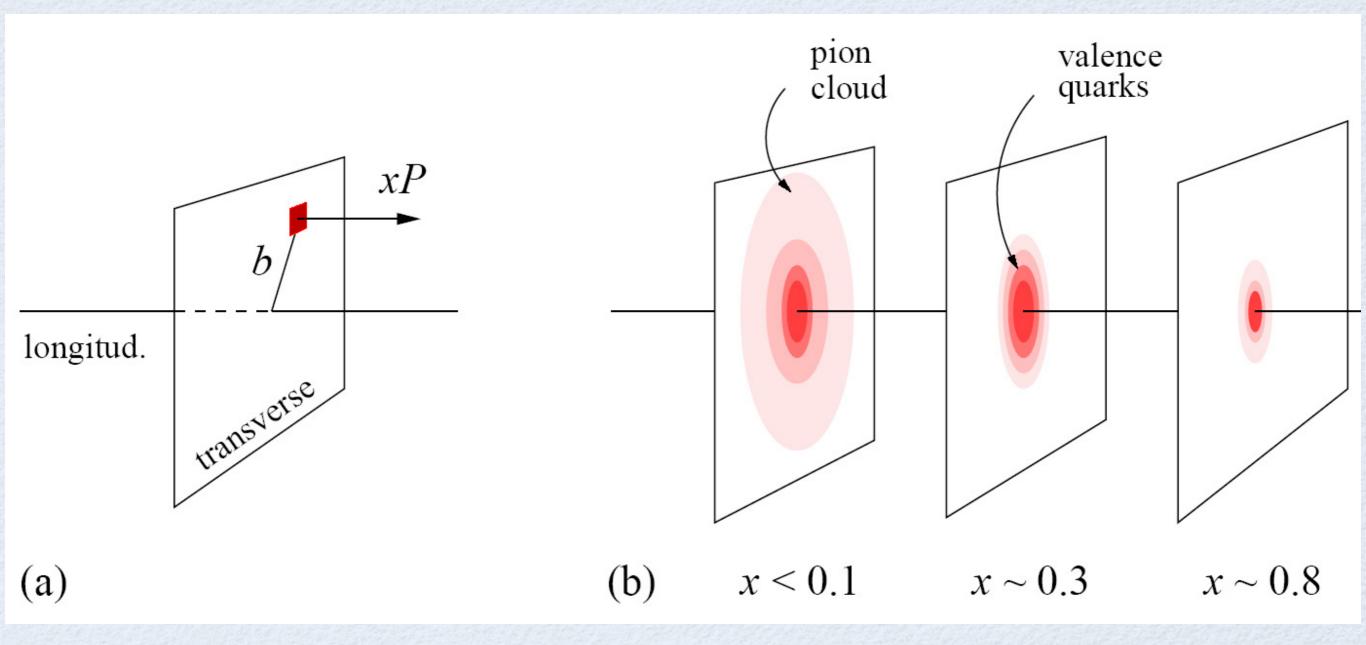


# GPDs (8 independent ones) (recover partonic distributions in the forward limit) $H, E, \tilde{H}, \tilde{E}; H_T, E_T, \tilde{H}_T, \tilde{E}_T(x, \xi, t)$



exclusive leptonic processes.

## quark spatial transverse distribution $q(x, m{b}_T)$



# femtophotography or tomography of the nucleon

courtesy of C. Weiss

## most general correlator (off diagonal)

$$k - \frac{1}{2}\Delta$$

$$P - \frac{1}{2}\Delta$$

$$P + \frac{1}{2}\Delta$$

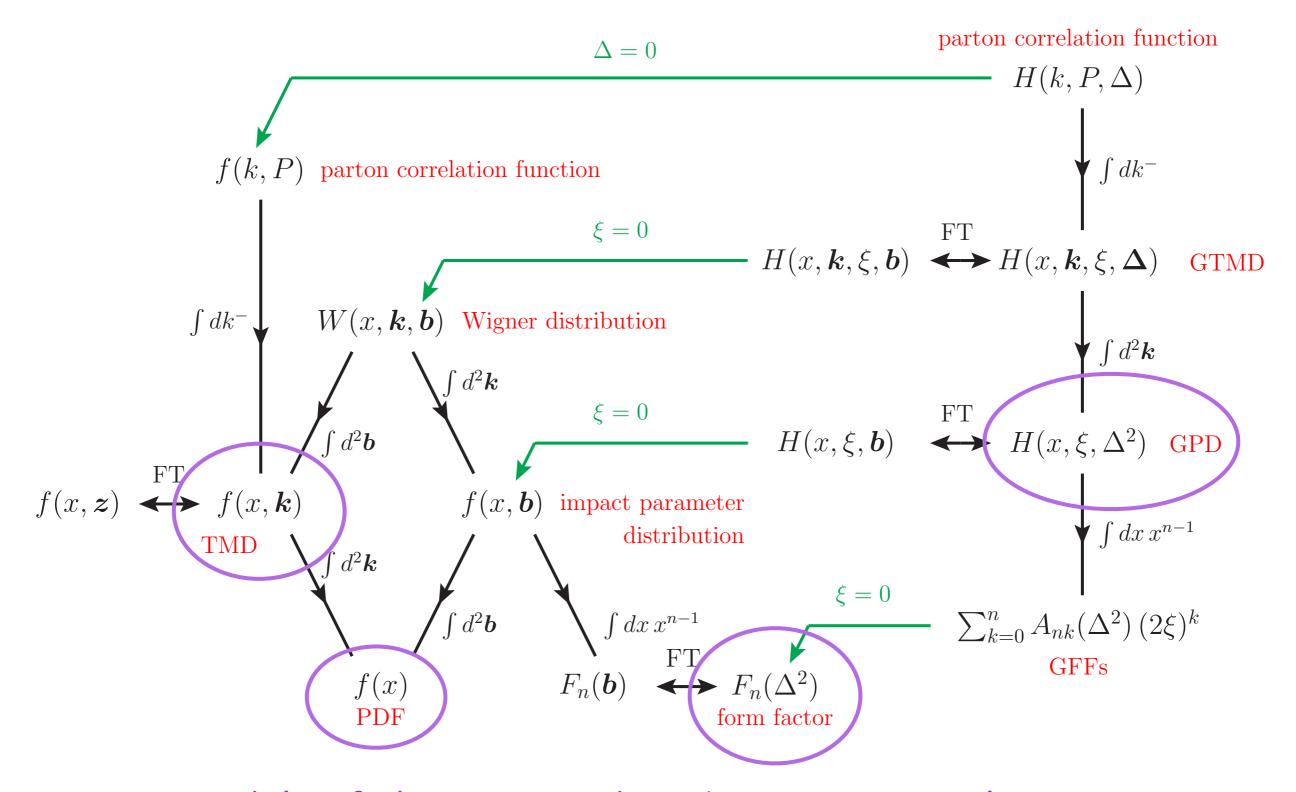
$$\begin{split} H(k,P,\Delta) &= (2\pi)^{-4} \int d^4 z \; e^{izk} & \text{two-quark correlation} \\ &\times \left\langle p(P+\frac{1}{2}\Delta) | \bar{q}(-\frac{1}{2}z) \Gamma q(\frac{1}{2}z) | p(P-\frac{1}{2}\Delta) \right\rangle & \text{function} \end{split}$$

light-cone variables

s 
$$v = (v^+, v^-, v)$$
  $v^{\pm} = \frac{1}{\sqrt{2}}(v^0 \pm v^3)$   
 $x = \frac{k^+}{P^+}$   $2\xi = -\frac{\Delta^+}{P^+}$ 

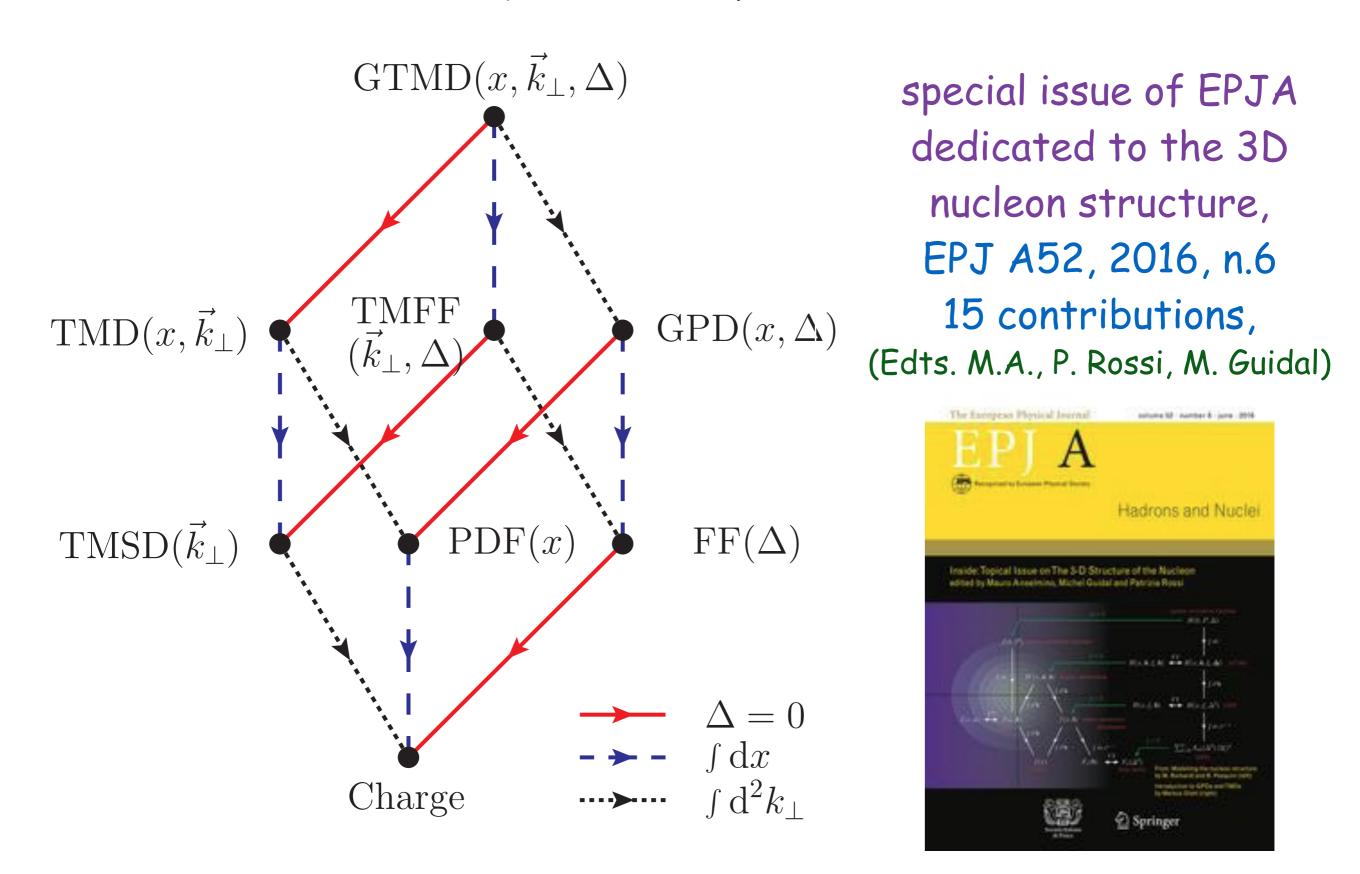
 $\Delta=0~$  inclusive processes, cross sections  $\Delta\neq 0~~{\rm exclusive~processes}, {\rm amplitudes}$ 

### The nucleon landscape Markus Diehl, Eur. Phys. J. A52 (2016) 149



models of the Wigner distribution most welcome ....

#### Burkardt, Pasquini, Eur. Phys. J. A52 (2016) 161

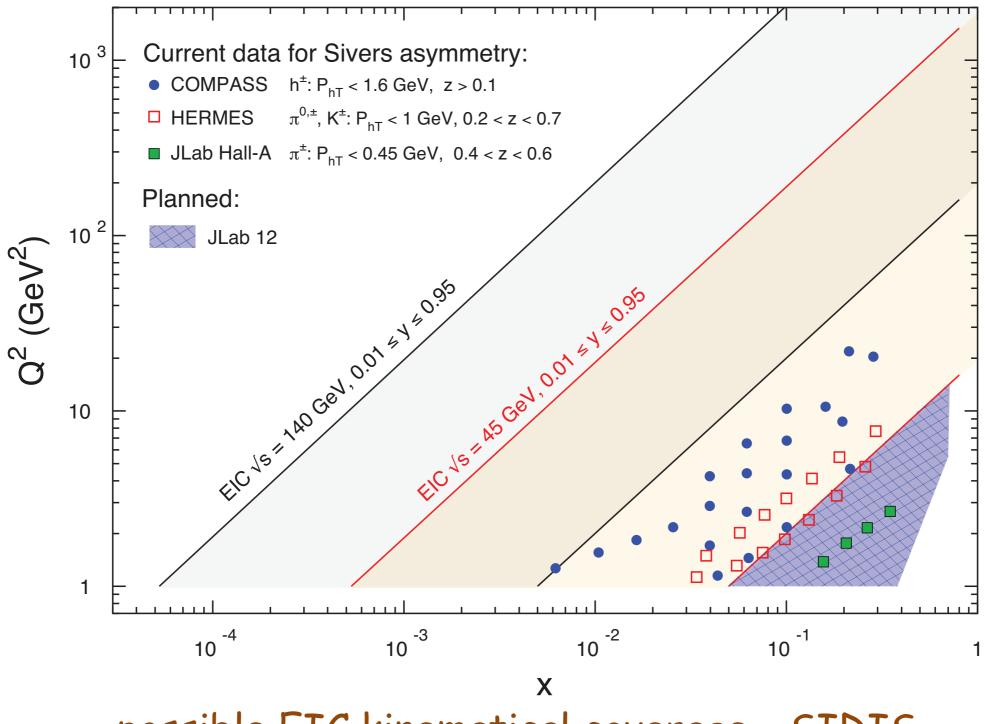




#### **Electron Ion Collider:** The Next QCD Frontier

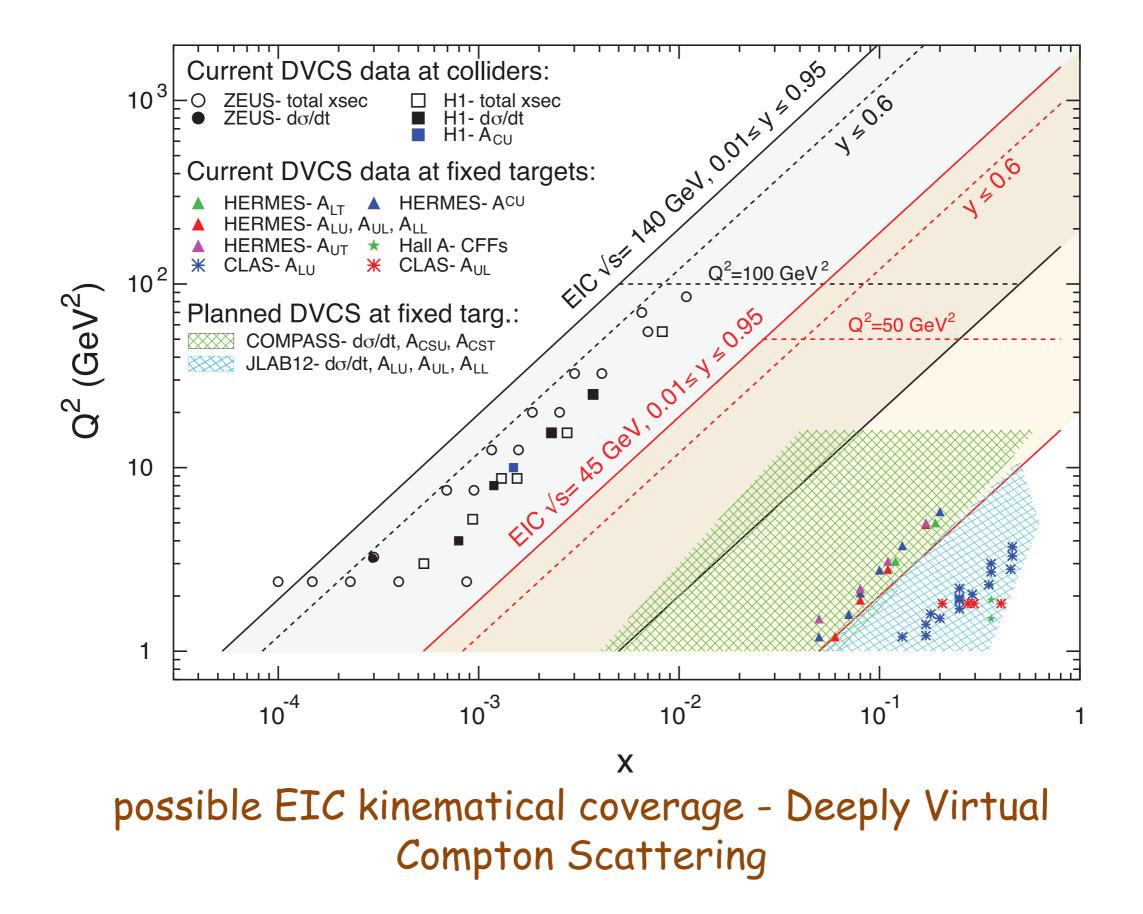
Understanding the glue that binds us all

future facilities and experiments: D-Y@COMPASS JLAB 12 GeV EIC BESIII AFTER NICA-SPD

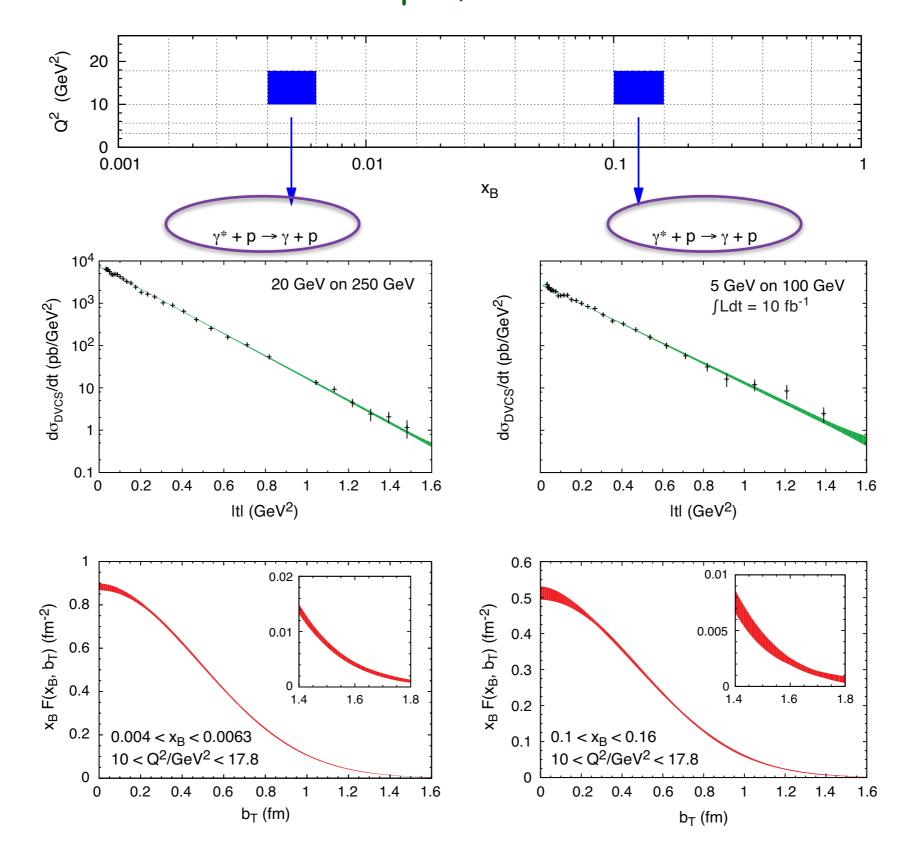


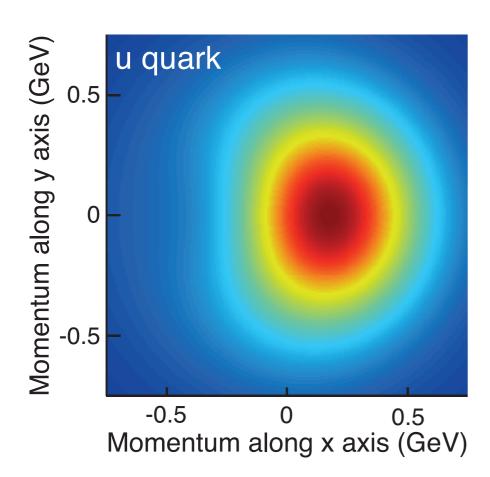
possible EIC kinematical coverage - SIDIS

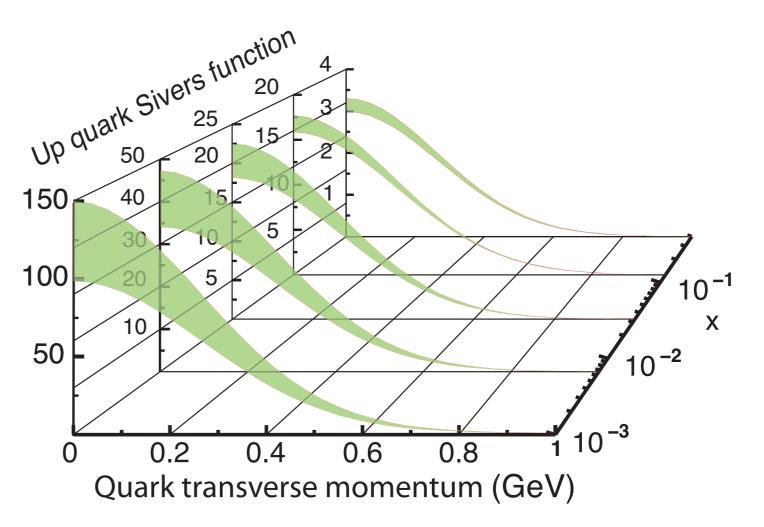
Electron Ion Collider: The Next QCD Frontier - Understanding the glue that binds us all: Eur. Phys. J. A52 (2016) 268



#### expected results at EIC - from DVCS to GPDs to spatial parton distributions EIC White Paper, arXiv:1212.1701







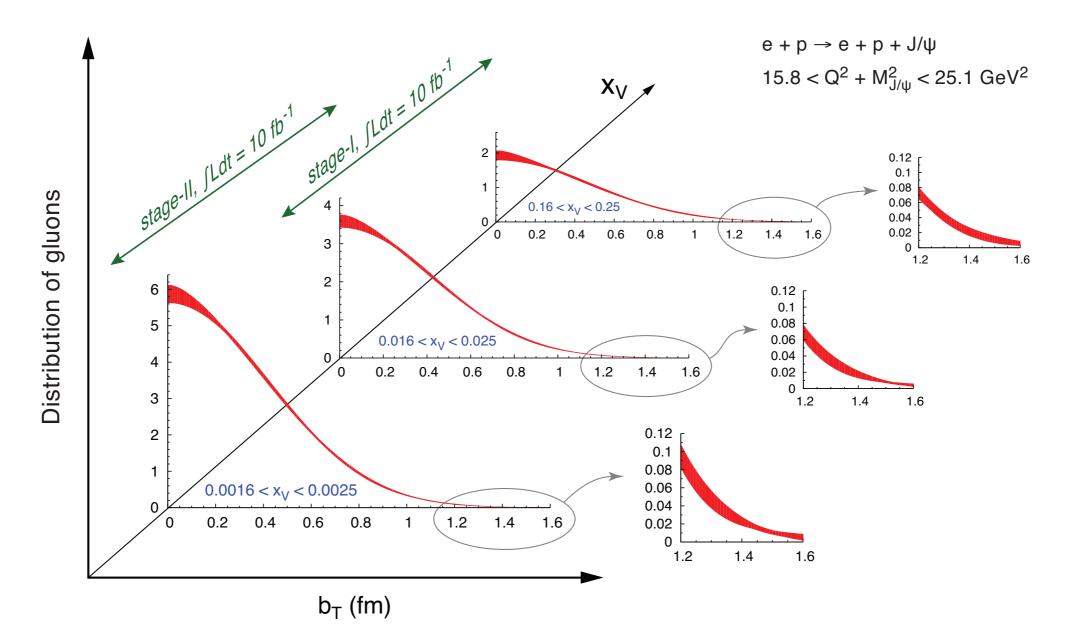
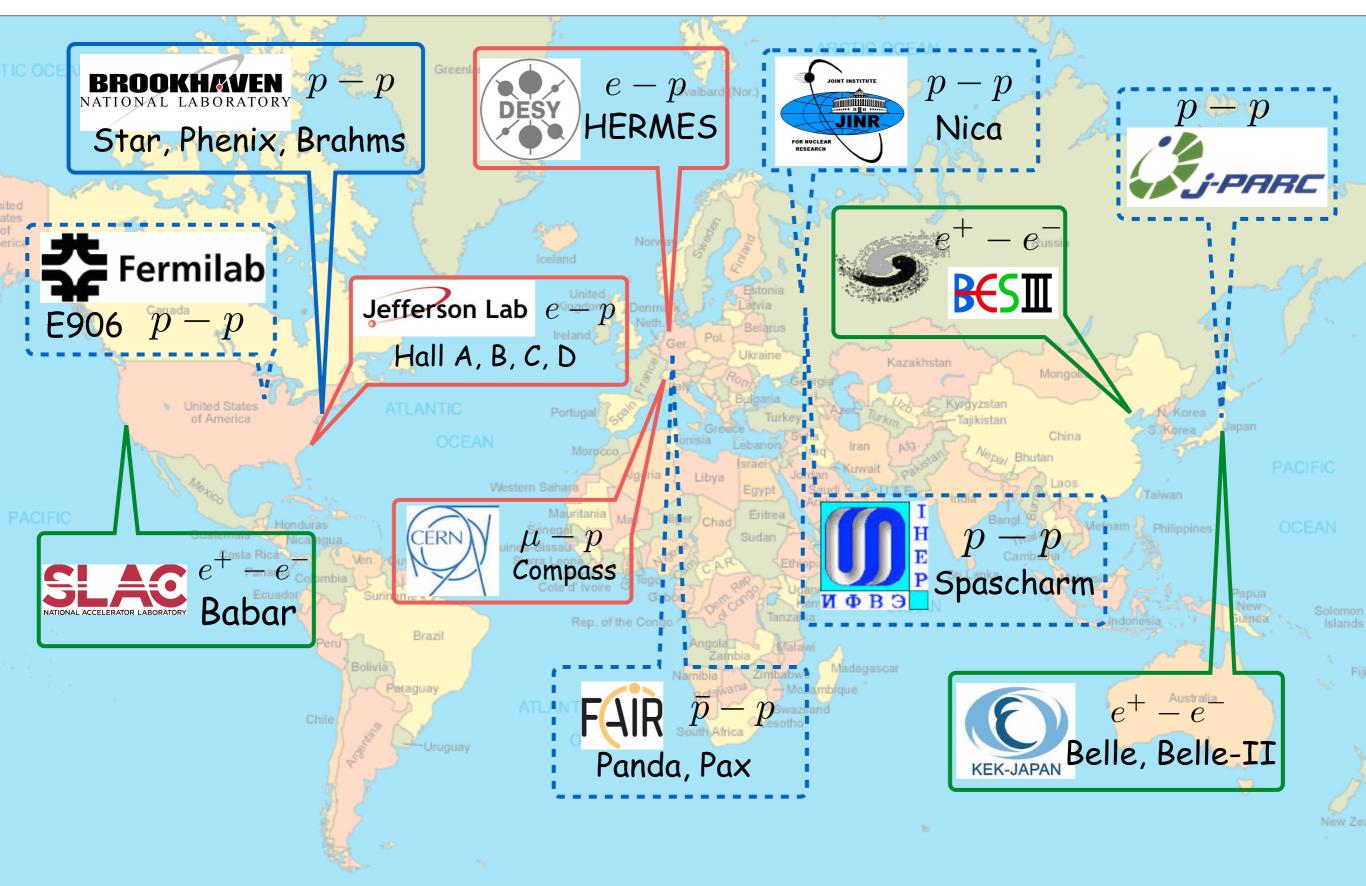


Figure 1.4: The projected precision of the transverse spatial distribution of gluons as obtained from the cross-section of exclusive  $J/\Psi$  production. It includes statistical and systematic uncertainties due to extrapolation into the unmeasured region of momentum transfer to the scattered proton. The distance of the gluon from the center of the proton is  $b_T$  in femtometers, and the kinematic quantity  $x_V = x_B (1 + M_{J/\Psi}^2/Q^2)$  determines the gluon's momentum fraction. The collision energies assumed for Stage-I and Stage-II are  $E_e = 5,20$  GeV and  $E_p = 100,250$  GeV, respectively.

# some hadron physics in the world



The 3D nucleon structure is mysterious and fascinating. Many experimental results show the necessity to go beyond the simple collinear partonic picture and give new information. Crucial task is interpreting data and building a consistent 3D description of the nucleon.

Sivers and Collins effects are well established, with many transverse spin asymmetries resulting from them. Sivers function, TMDs and orbital angular momentum? QCD analysis of TMDs and GPDs sound and well developed.

Combined data from SIDIS, Drell-Yan, e+e-, with theoretical modelling, should lead to a true 3D imaging of the proton

Waiting for JLab 12, new COMPASS results, and, crucially, for an EIC dedicated facility ....

thank you!