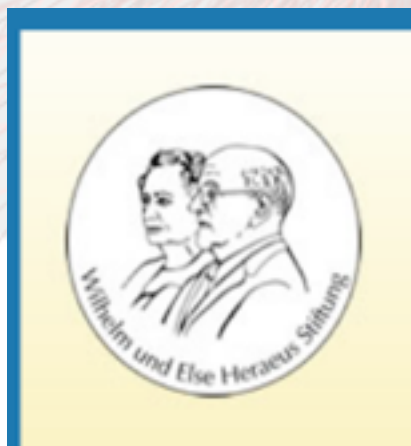


# HARD QCD processes in ALICE

D. Caffarri on behalf of the ALICE Collaboration  
Postdoc researcher at NIKHEF (Amsterdam)  
[davide.caffarri@nikhef.nl](mailto:davide.caffarri@nikhef.nl)



**WE-Heraeus Physics School**

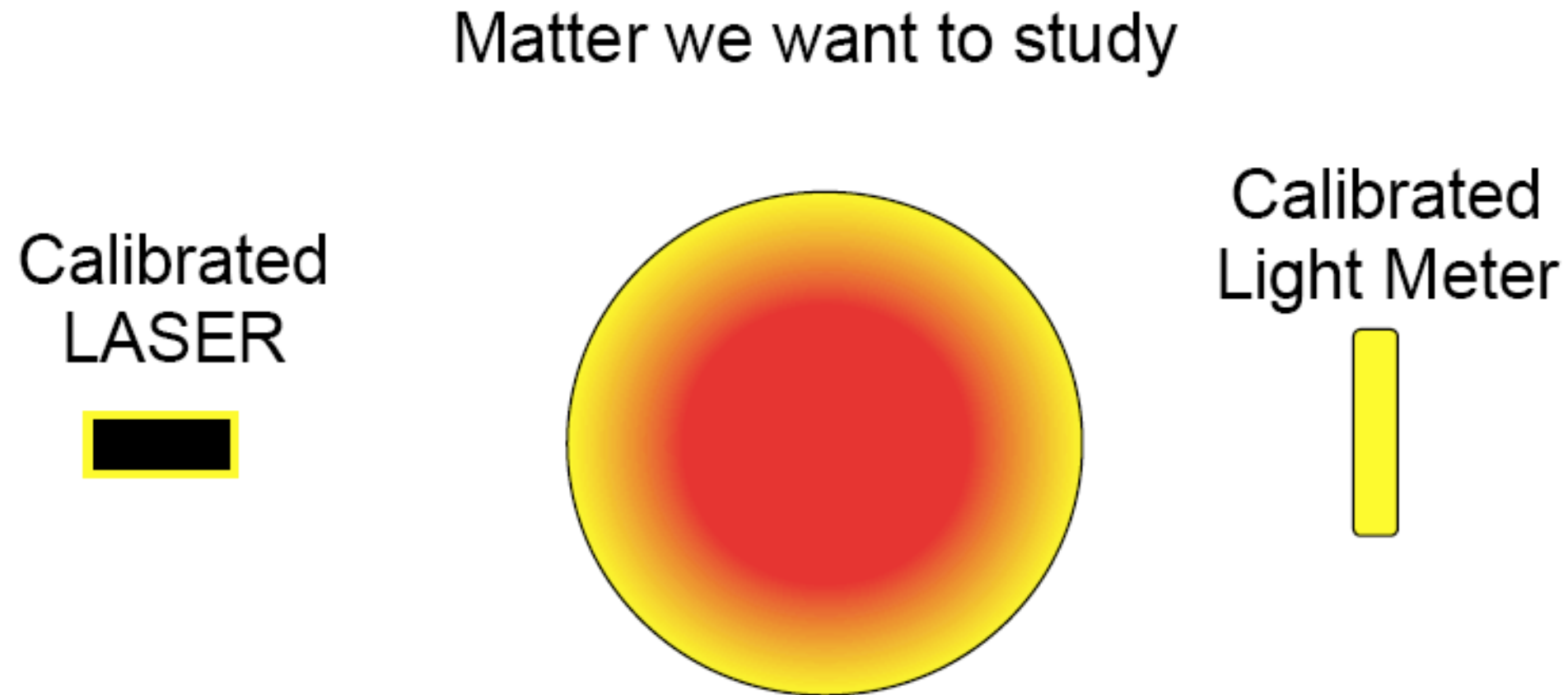
**QCD – Old Challenges and  
New Opportunities**

**Bad Honnef, Sept 24–30, 2017**



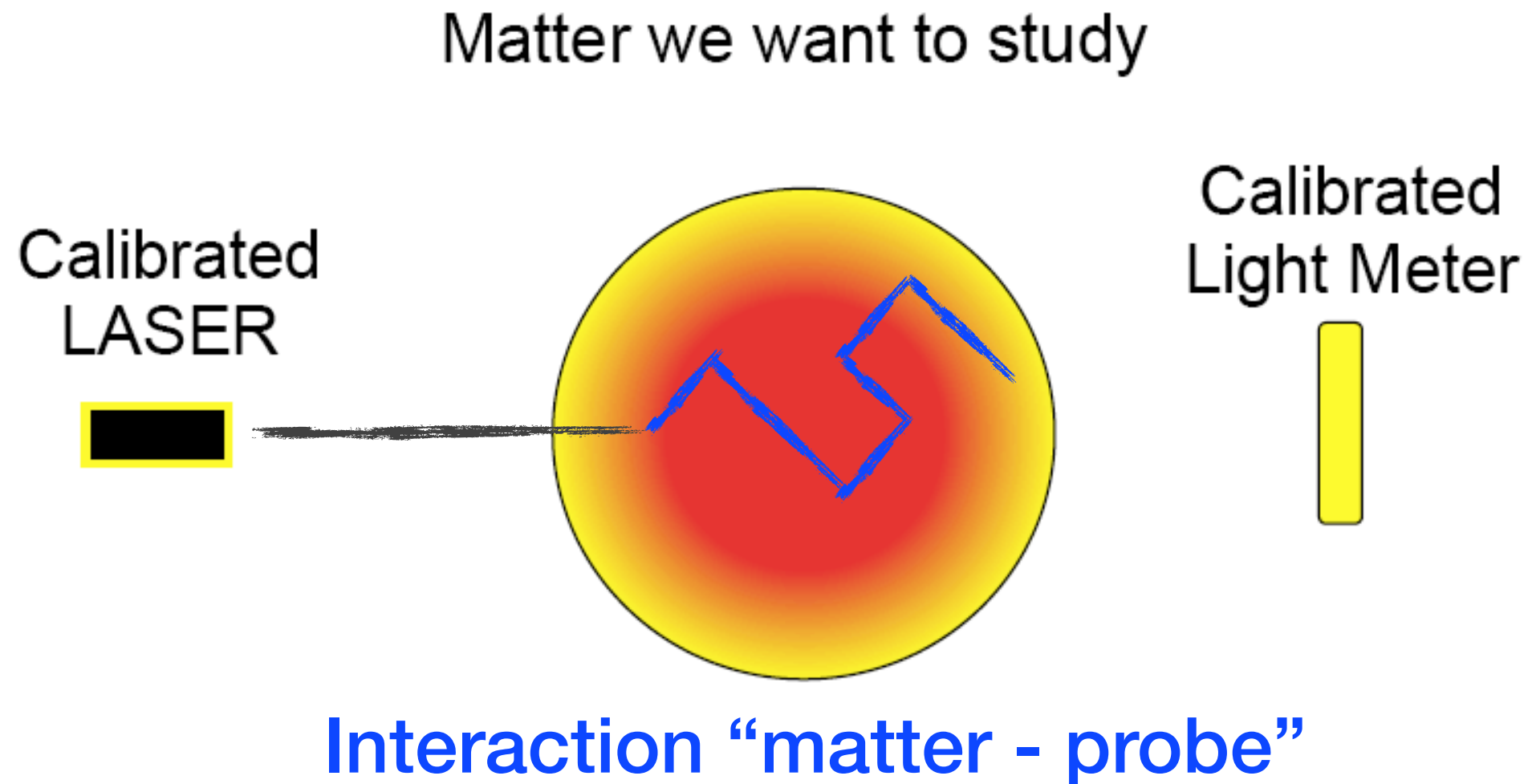
# How can we PROBE something?

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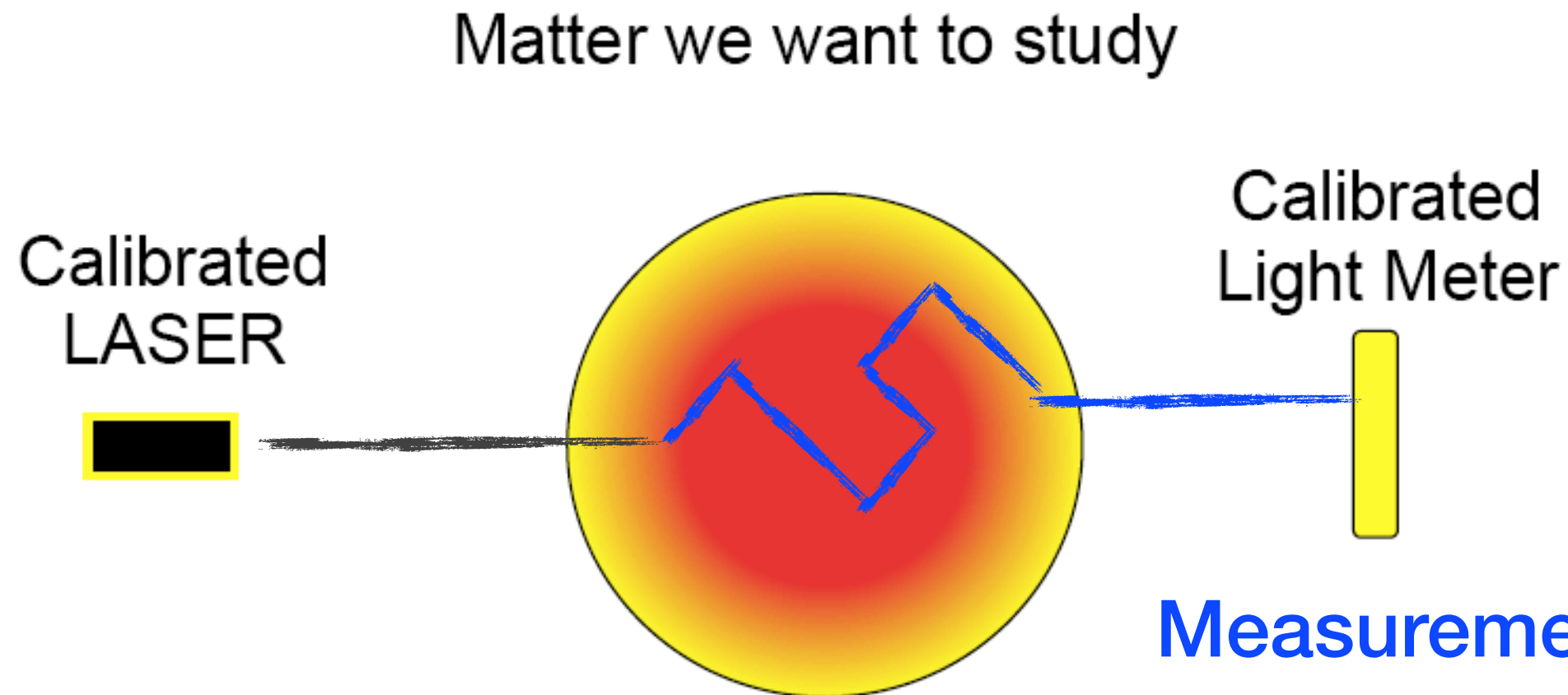
\* picture by T. Ullrich

# How can we PROBE something?

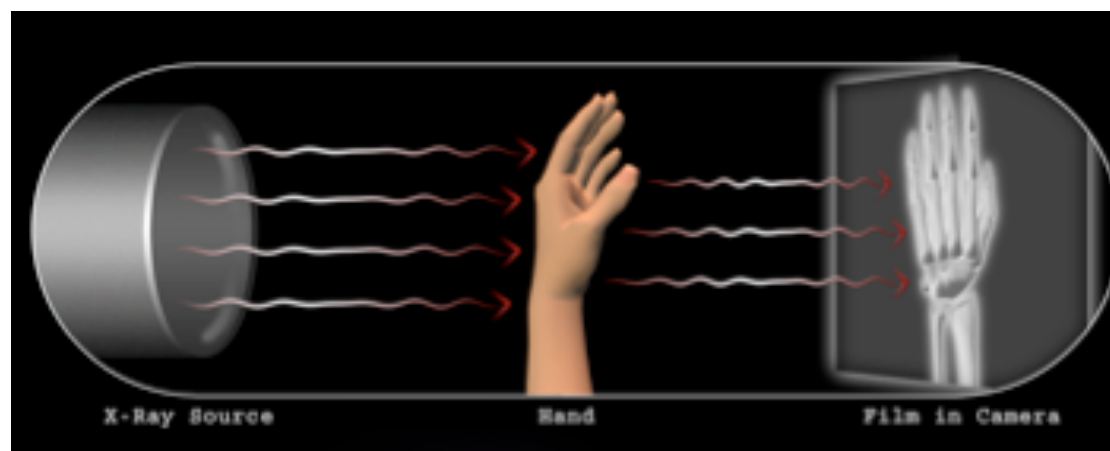


\* picture by T. Ullrich

# How can we PROBE something?



Measurement of possible modification due to interaction of the probe with medium

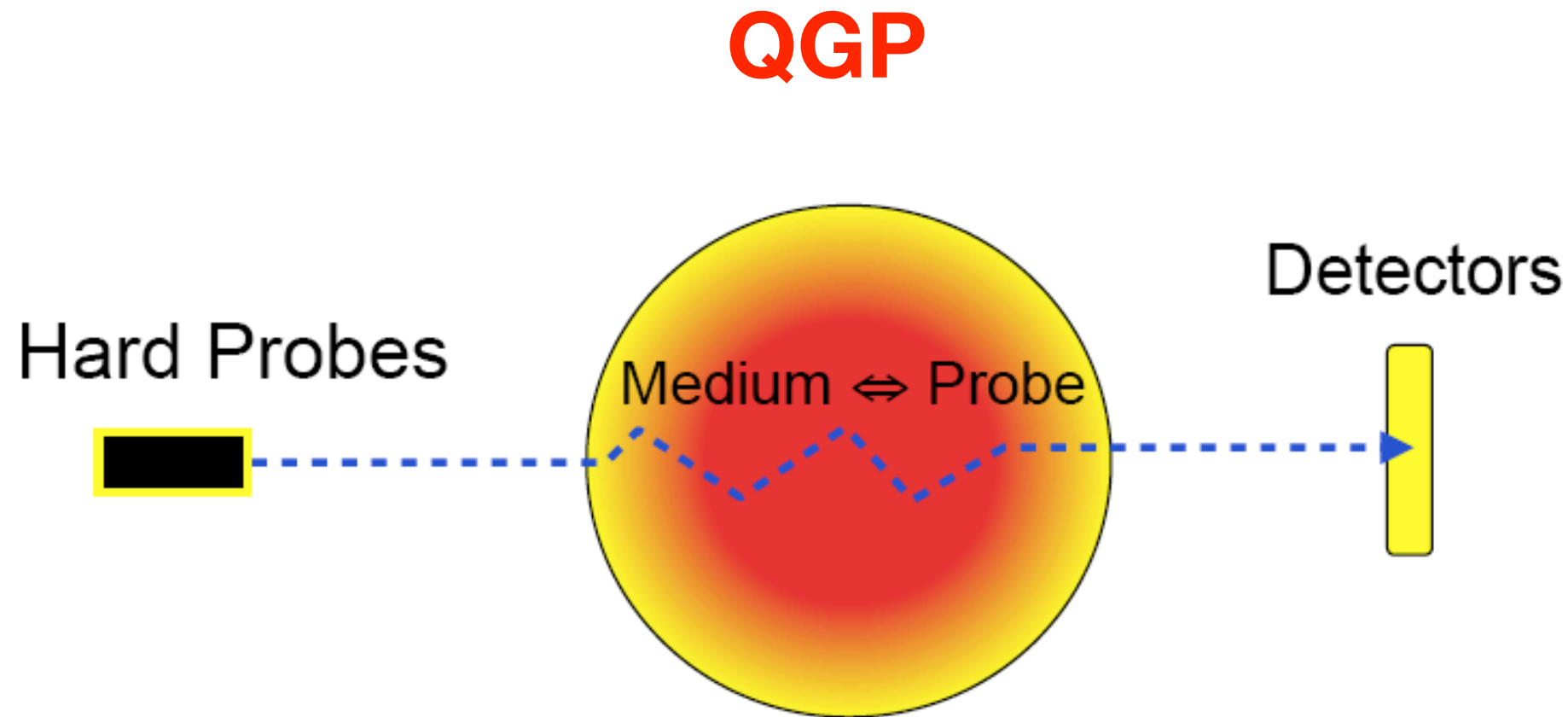


X-ray studies

\* picture by T. Ullrich

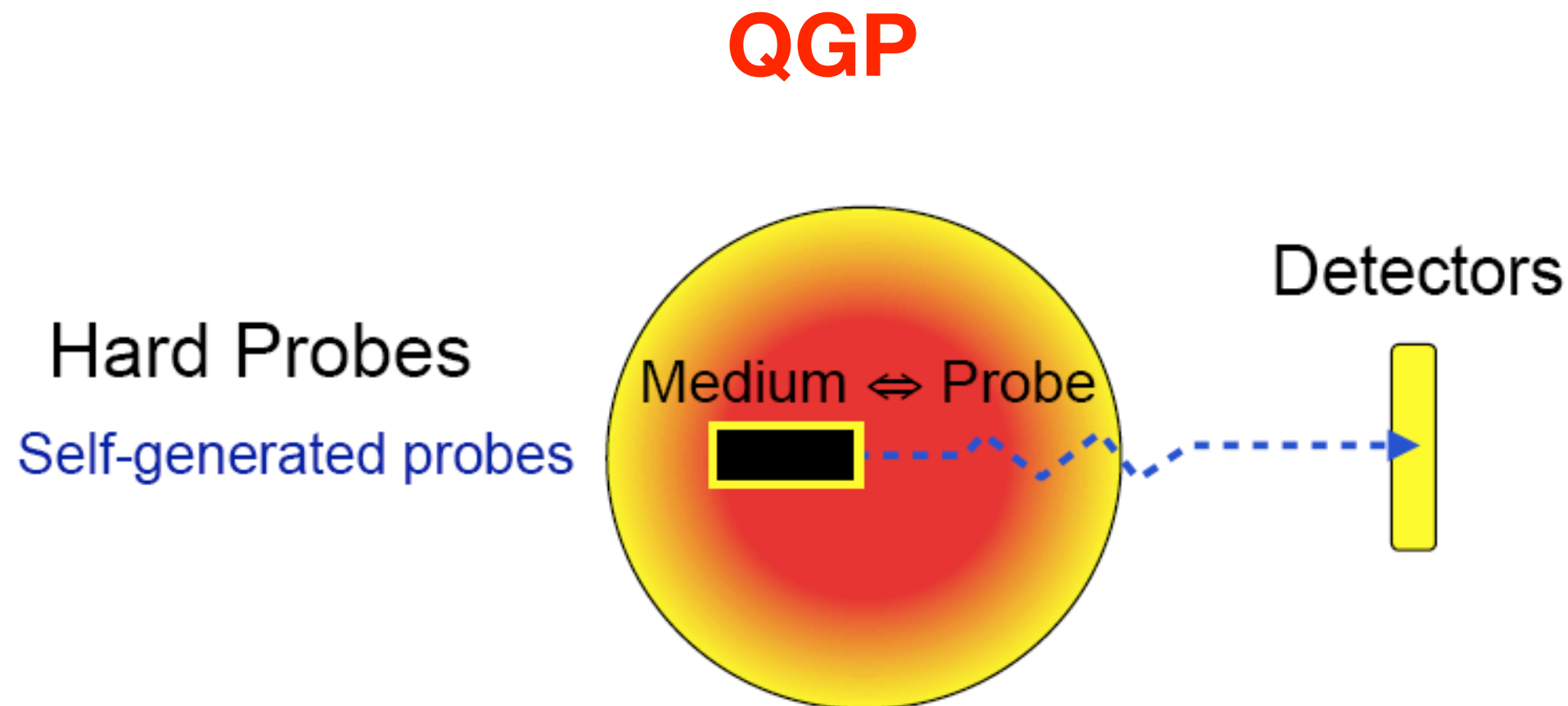


# How can we PROBE something?



\* picture by T. Ullrich

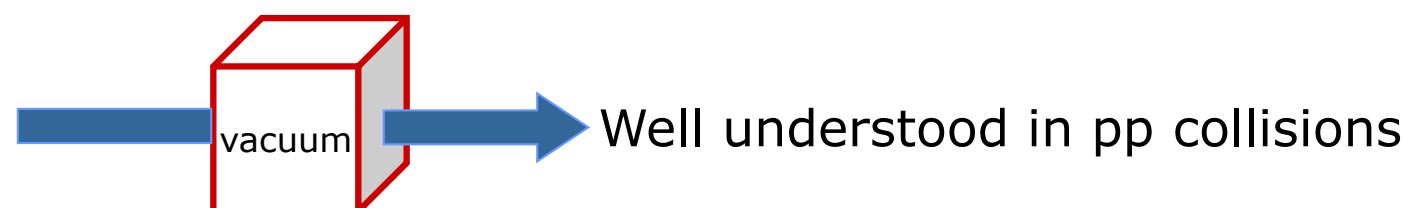
# How can we PROBE something?



\* picture by T. Ullrich

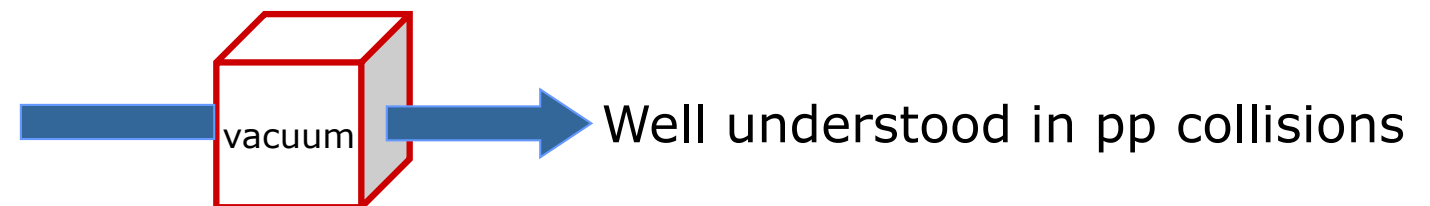
# What does “well calibrated” probes mean?

- \* The behaviour of the probes should be well understood in “standard matter” (pp collisions) where there is no medium created.

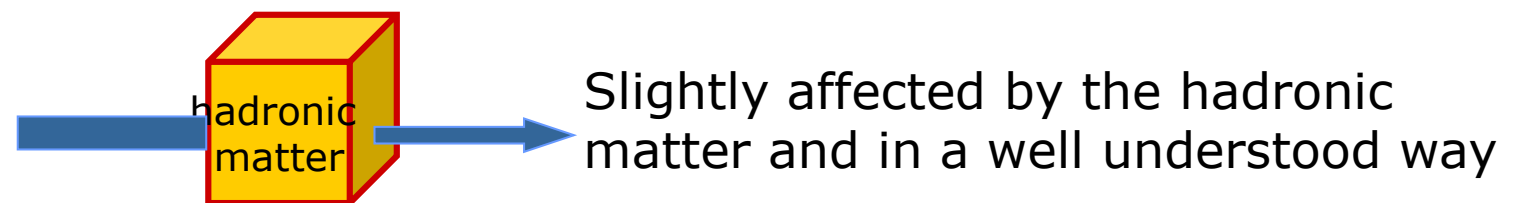


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- \* pA collisions allows to investigate the **Cold Nuclear Matter** effects. Those effects are related to the difference for a parton being part of a nucleons or a nucleus\*.

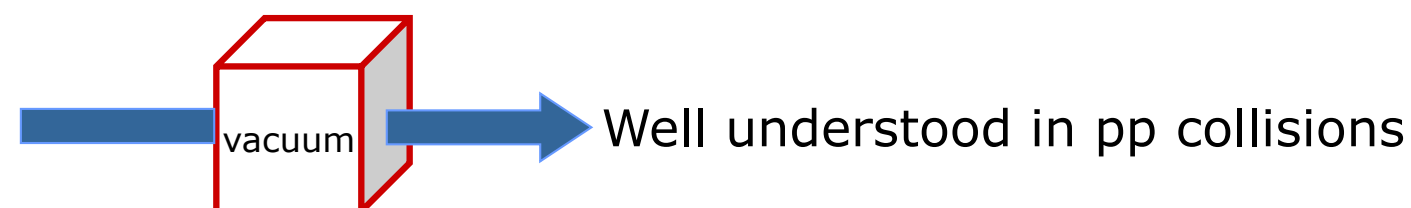


\* recents results show that new collective phenomena might be present in high-multiplicity pA collisions. being investigated if we still can consider it as reference

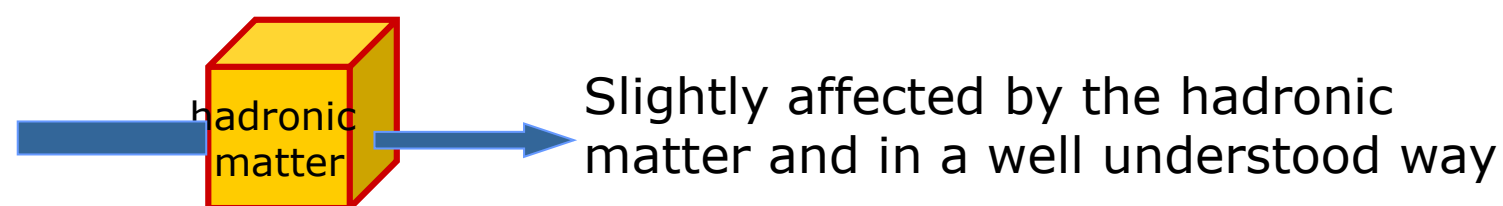


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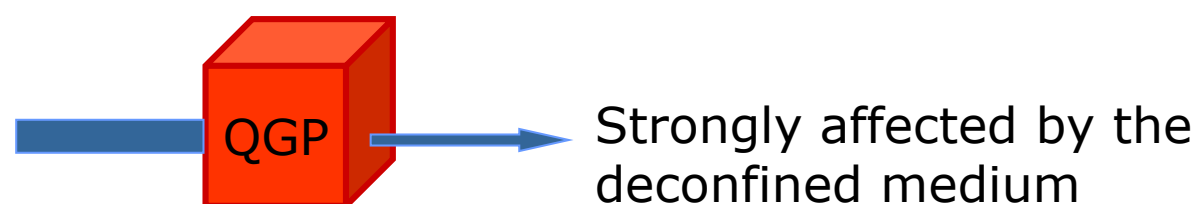
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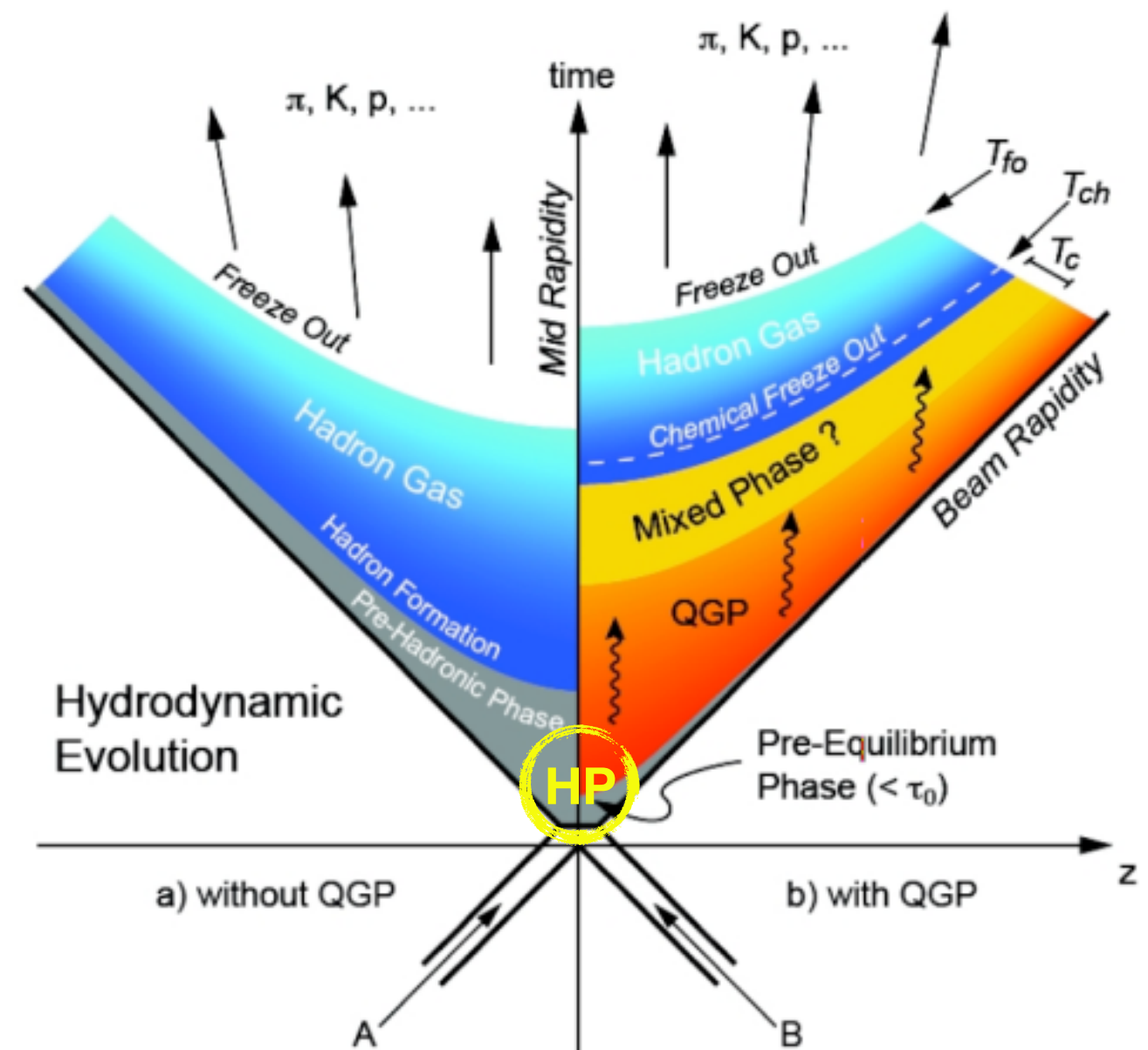


- \* AA collisions allows to investigate **strongly interacting matter at high energy density (QGP)**



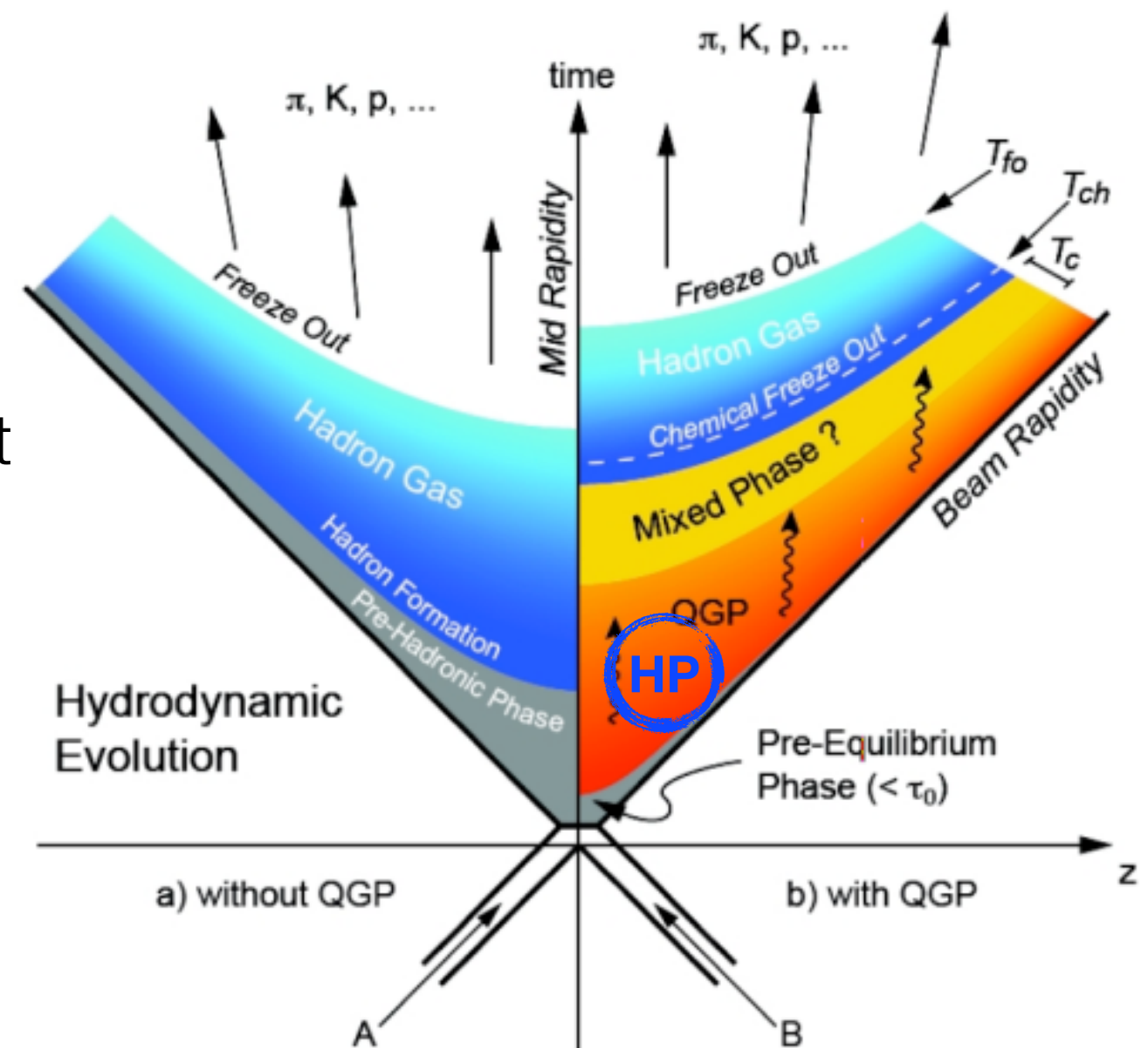
# What are and why we use “hard probes”?

- \* Hard probes are elementary particles ( $q$ ,  $g$ ,  $\gamma$ ,  $Z$ , ...) usually produced in hard parton-parton scatterings in the early stage of the collisions.



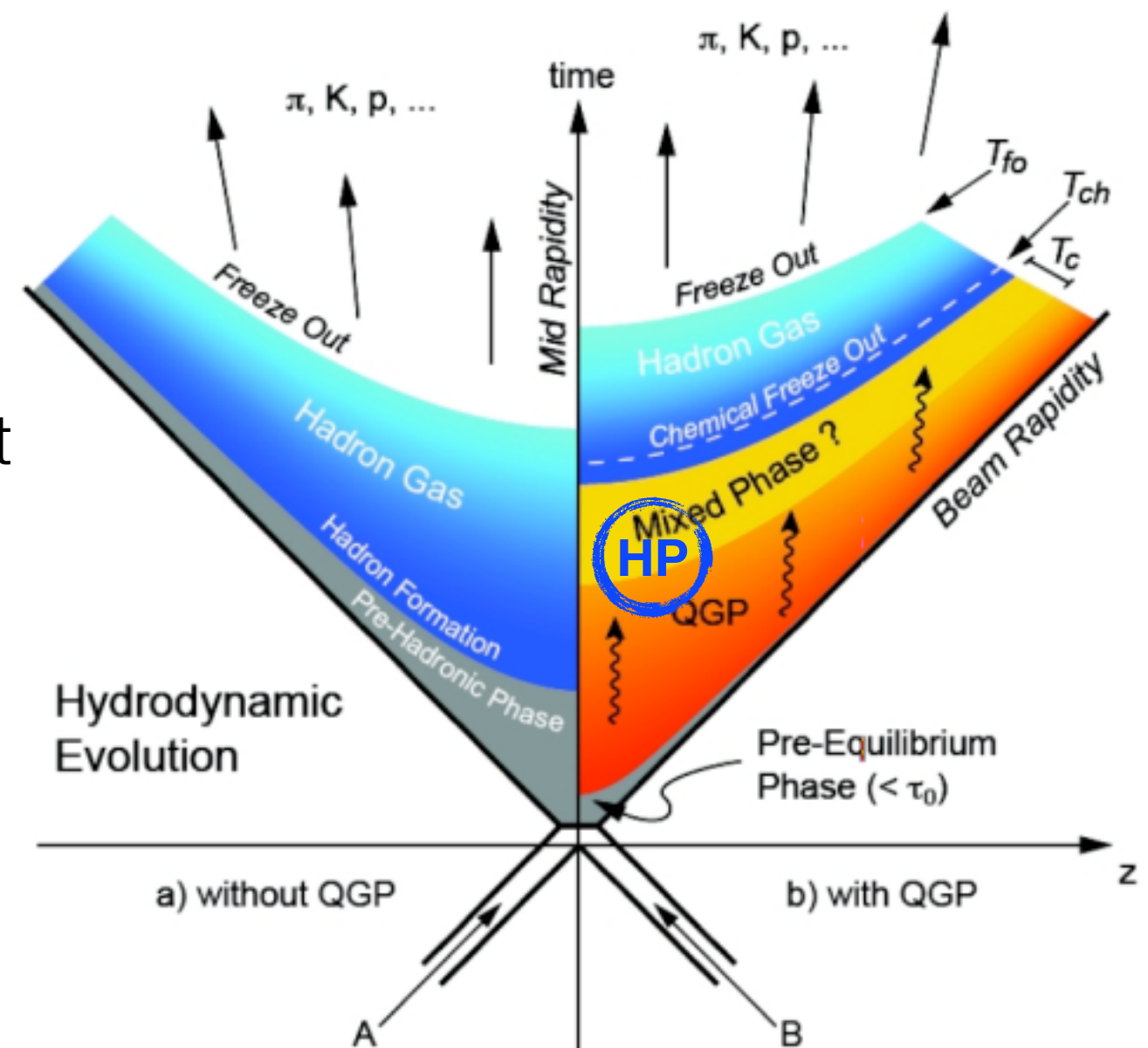
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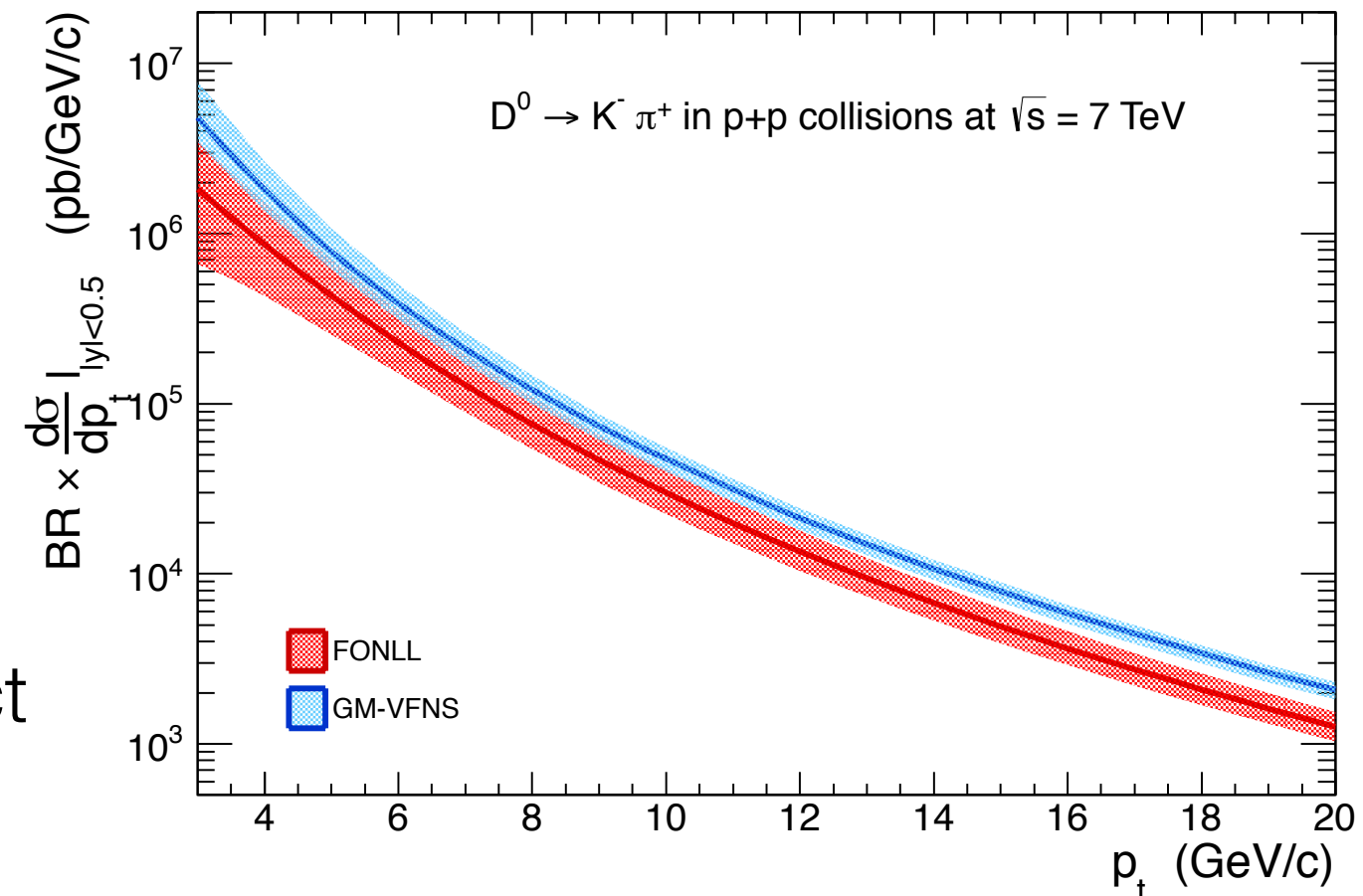


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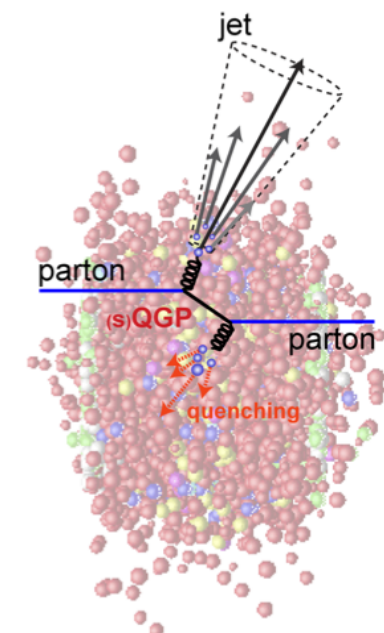
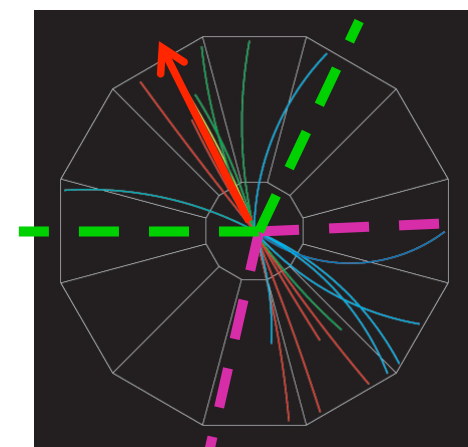
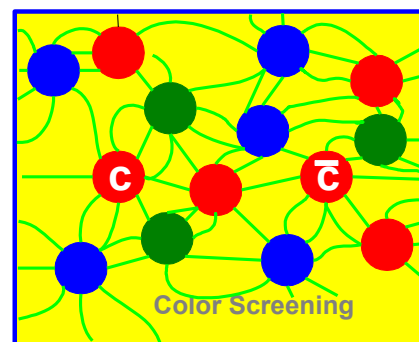
\* Since they originate from hard processes, where large momentum transfer  $Q^2$  is involved, their production can be computed using pQCD.



pQCD: perturbative theory can be applied to QCD when  $\alpha_s$  is small in high energy or short distance interactions.

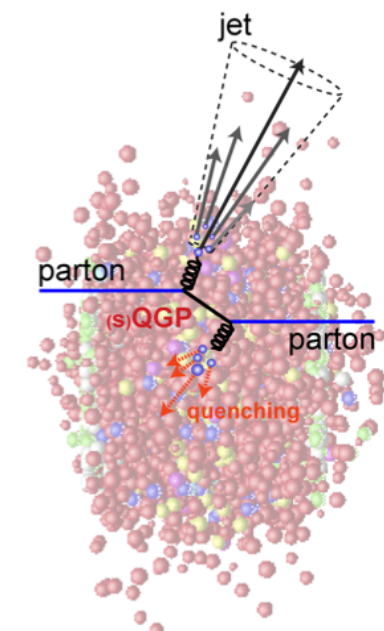
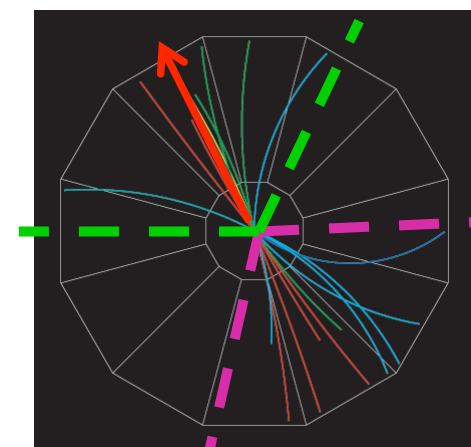
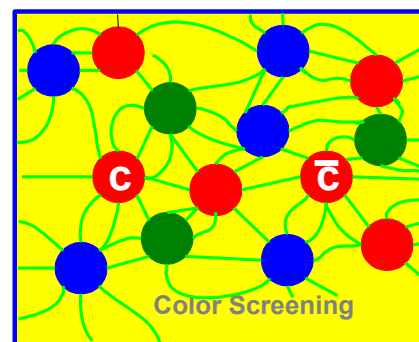
# What do we measure in the detector?

- \* Products of fragmentation and hadronization of quark and gluons:
  - \* High- $p_T$  hadrons
  - \* Jets
  - \* Open heavy flavour hadrons
  - \* Quarkonia



# What do we measure in the detector?

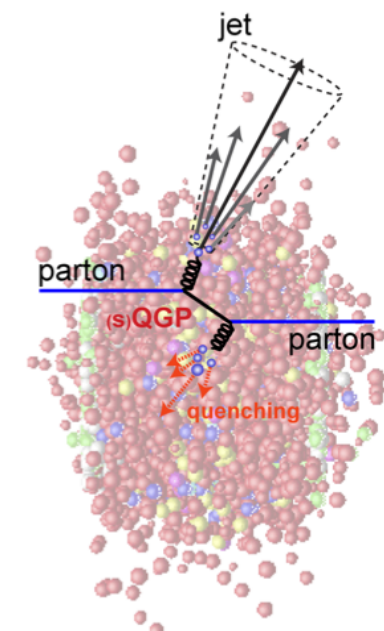
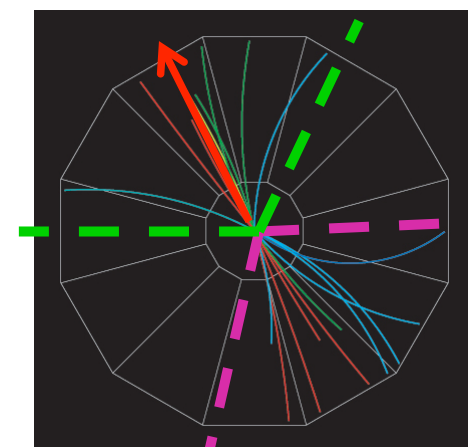
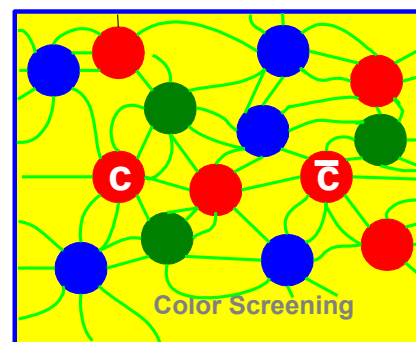
- \* Products of fragmentation and hadronization of **quark** and **gluons**:
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**Coloured probes: sensitive to the strong medium interaction**

# What do we measure in the detector?

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**Coloured probes: sensitive to the strong medium interaction**

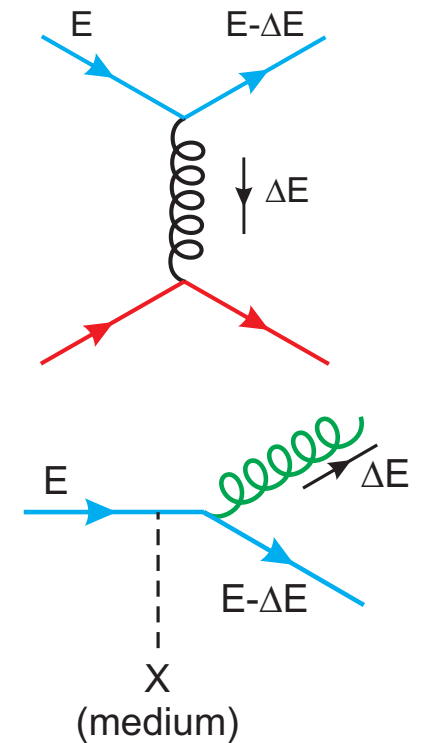
- \* Electro-weak bosons (W, Z)
- \* Direct photons

**Medium transparent probes: not sensitive to the strong medium interactions**

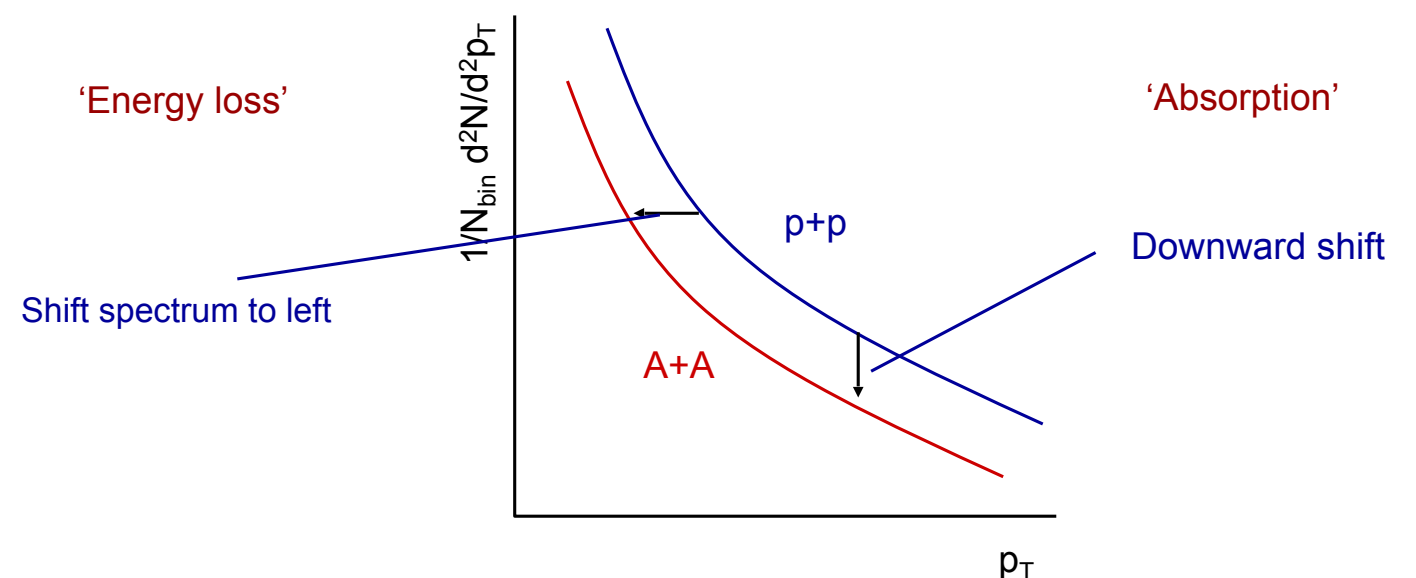


# Parton energy loss - jet quenching

- \* Partons produced in hard scatterings travel in the QGP and interact with the other partons of the medium.
- \* In their path they can lose energy for two mechanisms:
  - \* **Scatterings with other partons** → collisional energy loss  
→ dominates at low- $p_T$
  - \* **Gluon radiation** → radiative energy loss  
→ dominates at high energy



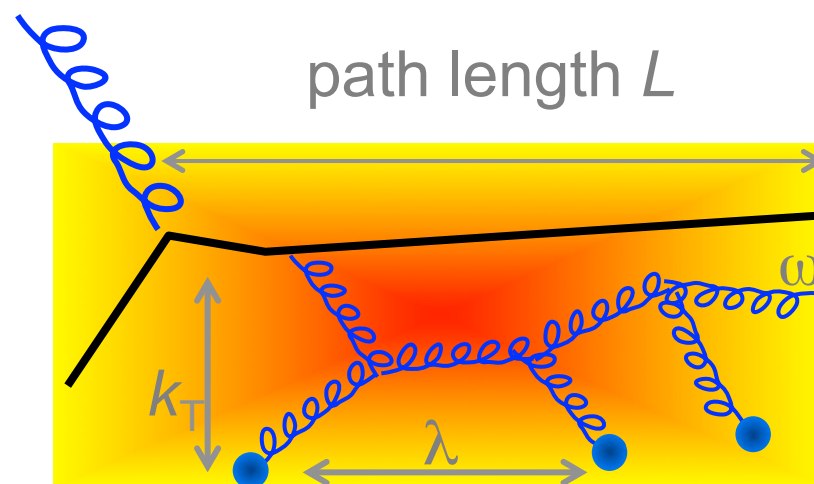
- \* The reduction of the parton energy translates to a reduction in the average momentum of the produced hadron.



Gyulassy, Plumer, Wang, Baier,  
Dokshitzer, Mueller, Peigné, Schiff,  
Levai, Vitev, Zhakarov, Salgado,  
Wiedemann,...

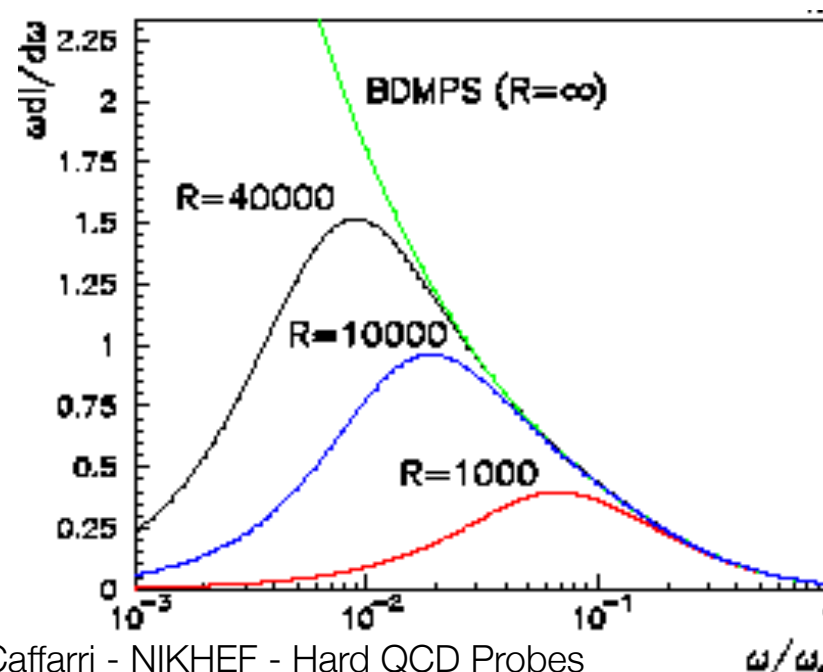
# An example: BDMPS-Z formalism

- \* Medium modeled with static scattering centers
- \* Coherent gluon wave function accumulate  $k_T$  due to multiple inelastic scatterings  $\rightarrow$  the gluon decoheres and it is radiated.



Radiated gluon energy distrib:

$$\omega \frac{dI}{d\omega} \propto \alpha_s C_R \begin{cases} \sqrt{\omega_c / \omega} & \text{for } \omega < \omega_c \\ (\omega_c / \omega)^2 & \text{for } \omega \geq \omega_c \end{cases}$$



$C_R$  Casimir Factor: 4/3 for q, 3 for g  
 $\omega_c = \hat{q} L^2 / 2$  Scale of the radiated energy  
 $R = \omega_c L$  Constraint:  $k_T < \omega$

$$\hat{q} = \frac{\langle k_T^2 \rangle}{\lambda}$$

Transport coefficient related to the **medium characteristics** and to the **gluon density**

# Transport coefficient and energy loss

$$\langle \Delta E \rangle \approx \int_0^{\omega_c} d\omega \omega \frac{dI}{d\omega} \propto \alpha_s C_R \hat{q} L^2$$

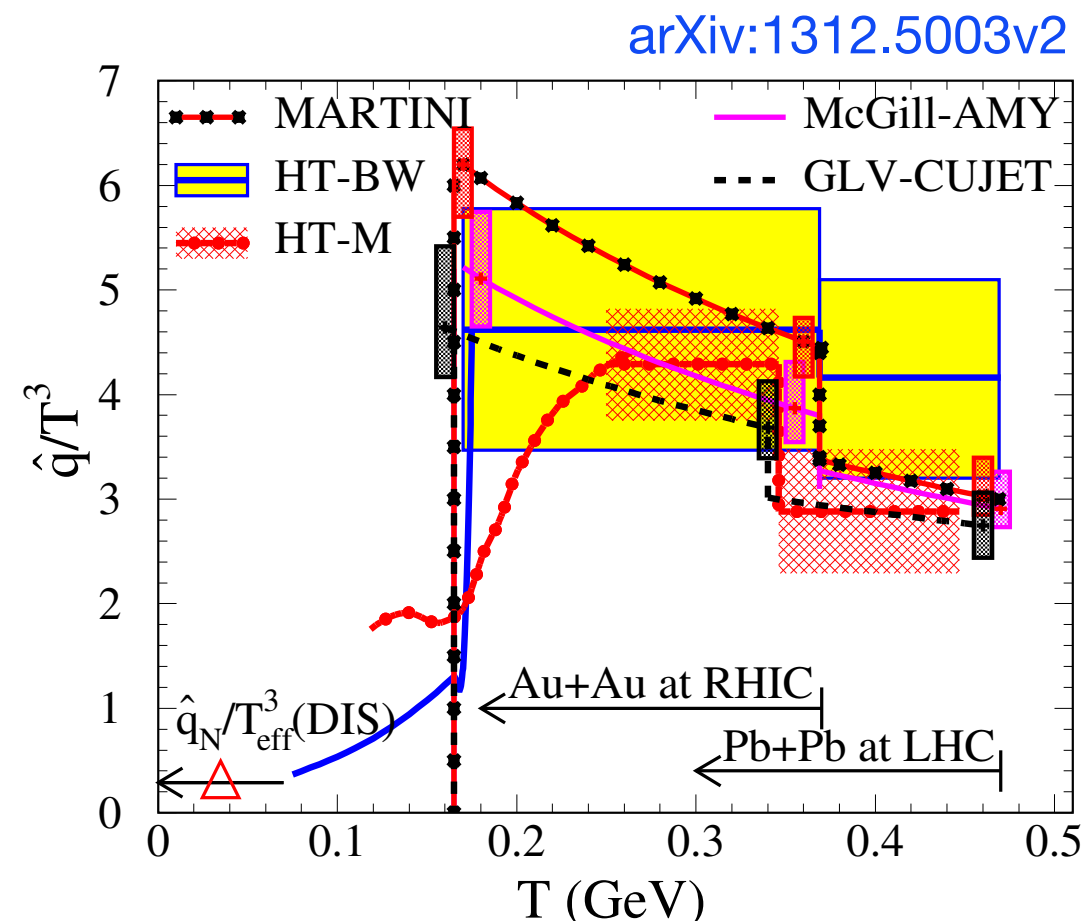
$$\langle \Delta E \rangle \propto \hat{q} \propto \text{parton volume density and interaction cross section}$$

- \* In medium energy loss related to intrinsic medium parameters
  - \* medium energy density

- \*  $\hat{q}$  can be extracted from theoretical models, using data (both RHIC and LHC) as constraint

for a quark jet of starting energy 10 GeV

$$\hat{q} \approx \begin{cases} 1.2 \pm 0.3 \\ 1.9 \pm 0.7 \end{cases} \text{ GeV}^2/\text{fm} \text{ at } \begin{cases} T=370 \text{ MeV}, \\ T=470 \text{ MeV}, \end{cases}$$



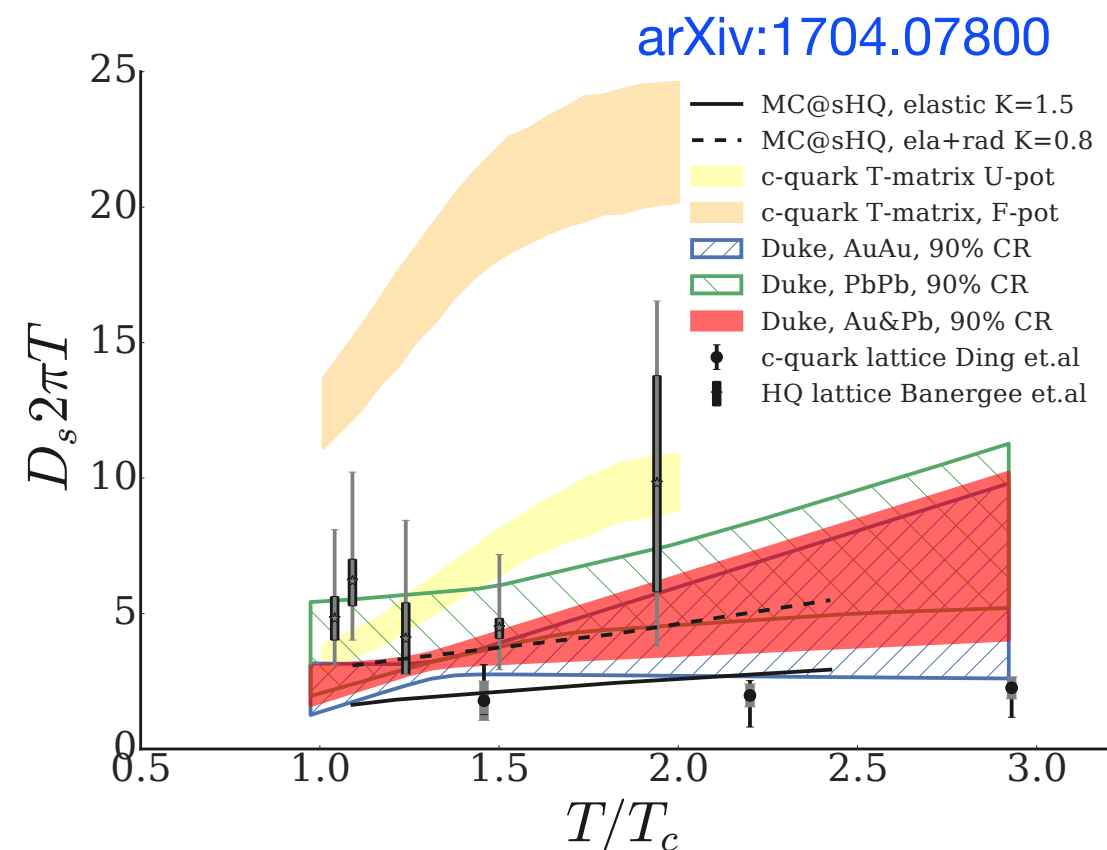
# Transport coefficient and energy loss

$$\langle \Delta E \rangle \approx \int_0^{\omega_c} d\omega \omega \frac{dI}{d\omega} \propto \alpha_s C_R \hat{q} L^2$$

$$\langle \Delta E \rangle \propto \hat{q} \propto \begin{array}{l} \text{gluon volume density and} \\ \text{interaction cross section density} \end{array}$$

- \* In medium energy loss related to intrinsic medium parameters
  - \* medium energy density
  - \* medium diffusion coefficient

- \*  $D_s$  extracted from Bayesian model-to-data analysis



# Observables

## \* Nuclear modification factor ( $R_{AA}$ ):

- \* Comparison of the spectra in pp and AA collisions
- \* if AA collisions would be a “simple” superposition of many pp collisions  $R_{AA} = 1$

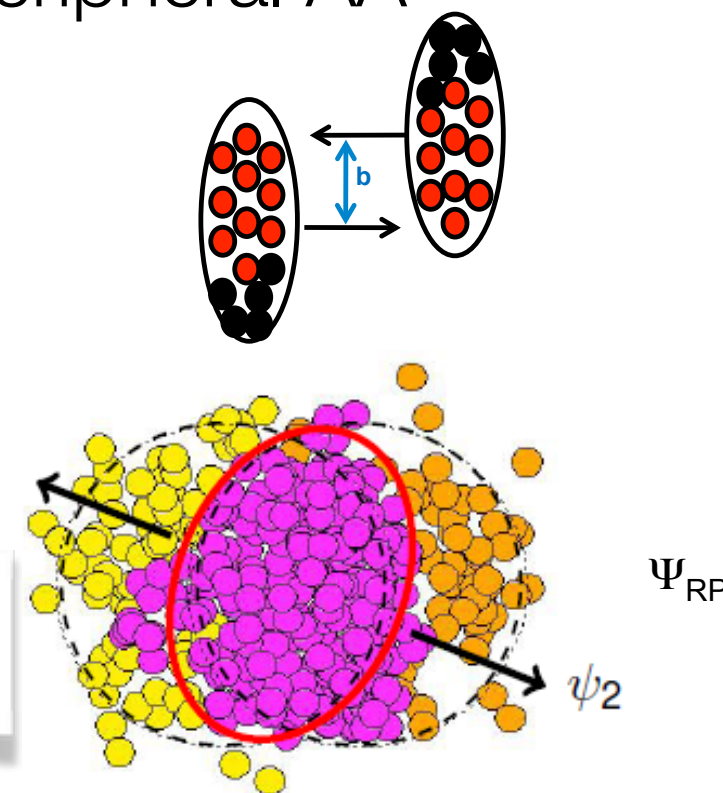
$$R_{AA}(p_T) = \frac{1}{\langle N_{coll} \rangle} \times \frac{d^2 N_{AA}/dp_T d\eta}{d^2 N_{pp}/dp_T d\eta}$$

- \* Similar ratio can be build comparing central and peripheral AA collisions ( $R_{CP}$ )

## \* Azimuthal anisotropy ( $v_2$ ):

- \* Initial spatial anisotropy transferred to the momentum anisotropy of particles.

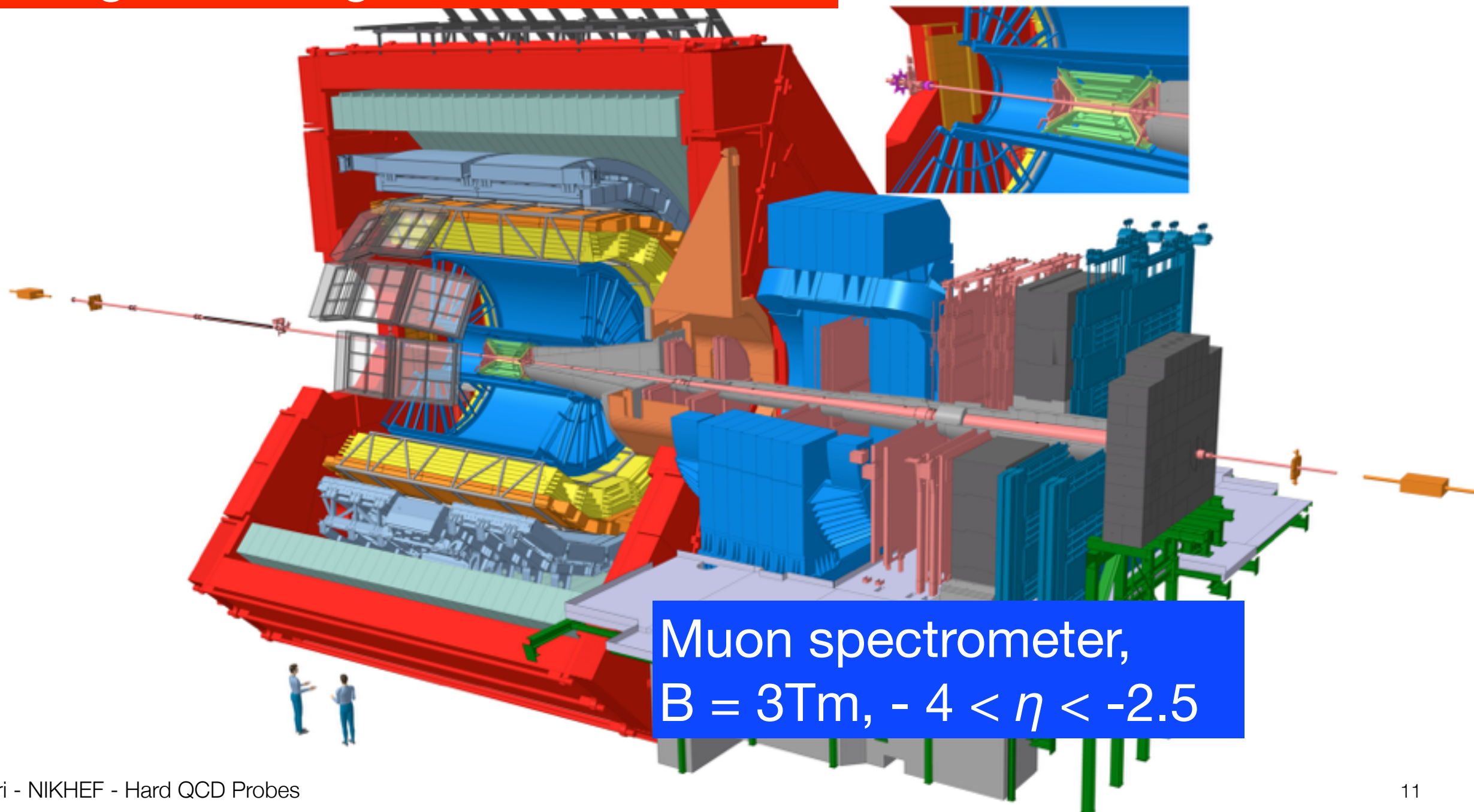
$$\frac{dN}{d\varphi} = \frac{N_0}{2\pi} (1 + 2v_1 \cos(\varphi - \Psi_1) + 2v_2(p_T) \cos[2(\varphi - \Psi_2)] + \dots)$$





# A Large Ion Collider Experiment - ALICE

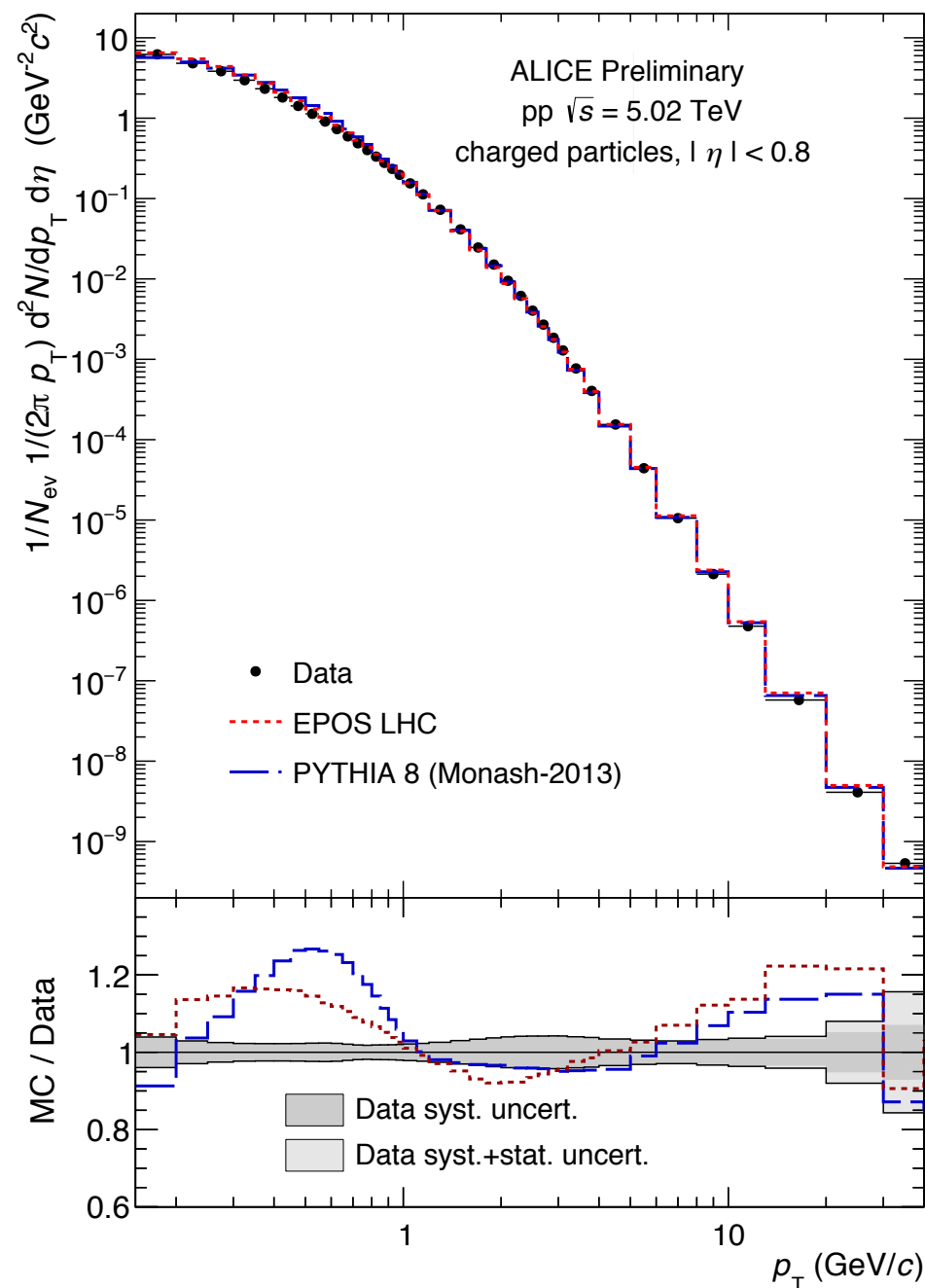
Central barrel,  $B=0.5$  T,  $|\eta| < 0.9$   
Tracking, vertexing, PID, Calorimeters



Muon spectrometer,  
 $B = 3$  Tm,  $-4 < \eta < -2.5$



# High- $p_T$ hadron suppression - pp reference



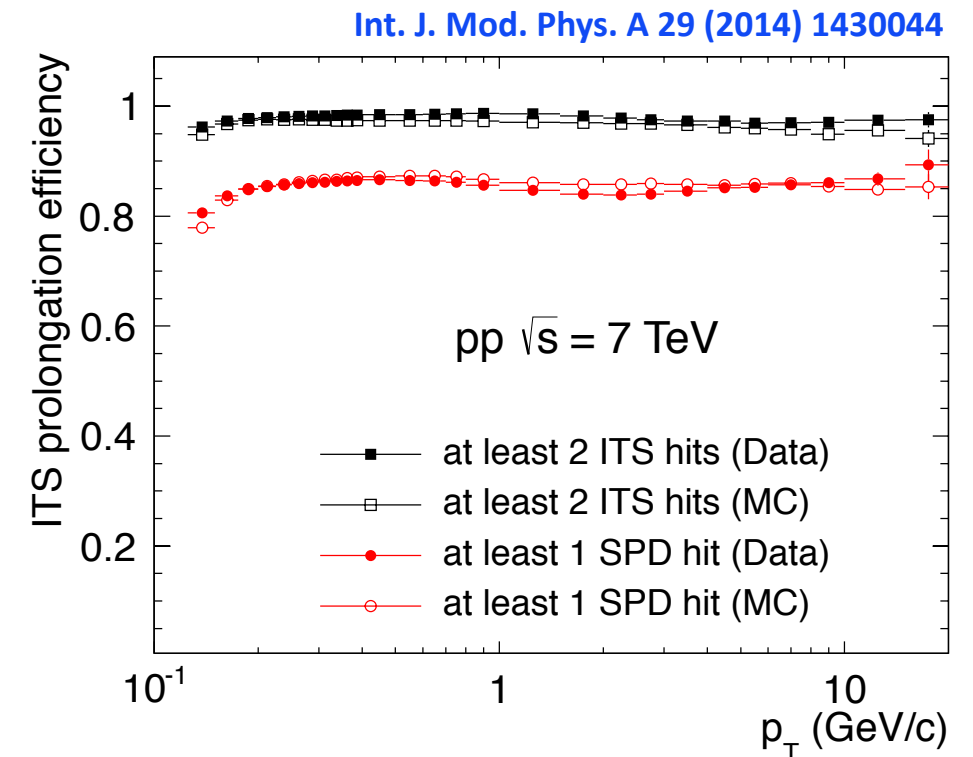
ALI-PREL-107292

- \* Measure of the particle production in pp collisions at  $\sqrt{s} = 5.02$  TeV
  - \* data collected in 2015
  - \*  $0.15 < p_T < 40$  GeV/c
- \* Comparison with Monte Carlo generators show an agreement with data within 20%
  - \* Important results in pp collisions to improve MC description.

# ... but lot of work to measure a particle spectra

## \* Tracking efficiencies:

- \* ~ 80% from  $0.15 < p_T < 20$  GeV/c in pp collisions
- \* well reproduced in the Monte Carlo
- \* similar for Pb-Pb collisions, even if with higher multiplicity → strength of ALICE

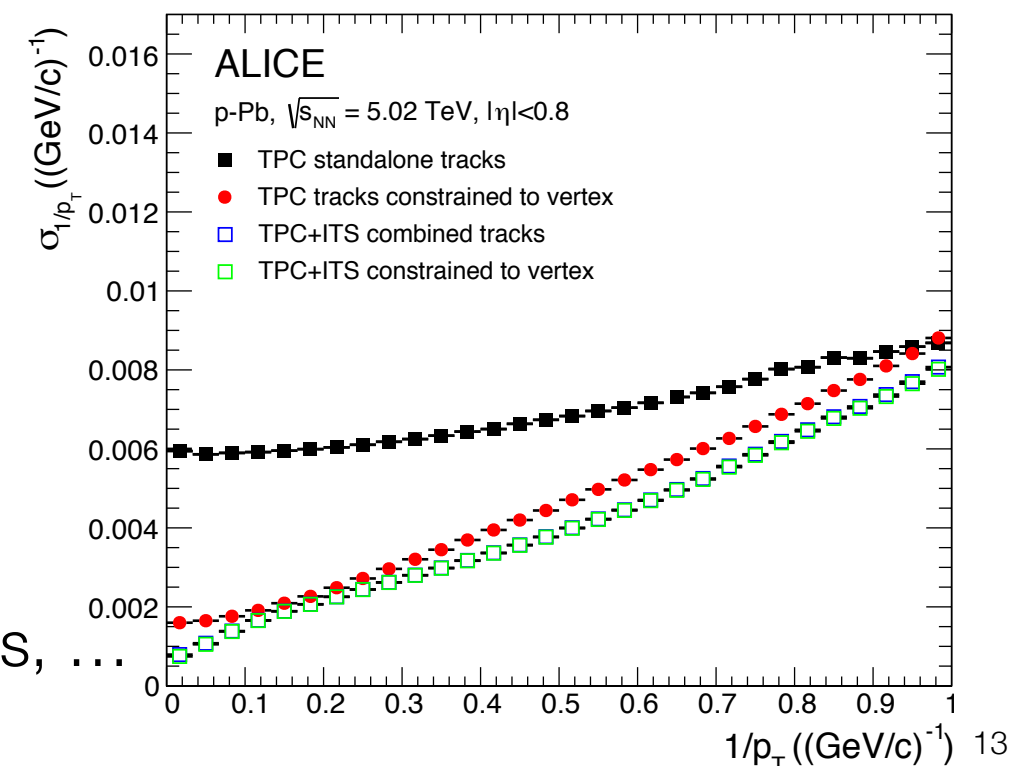


## \* Momentum resolution:

- \* 0.8% precision on the momentum determination for tracks with  $p_T \sim 1$  GeV/c
- \* 1.8% for tracks with  $p_T \sim 10$  GeV/c

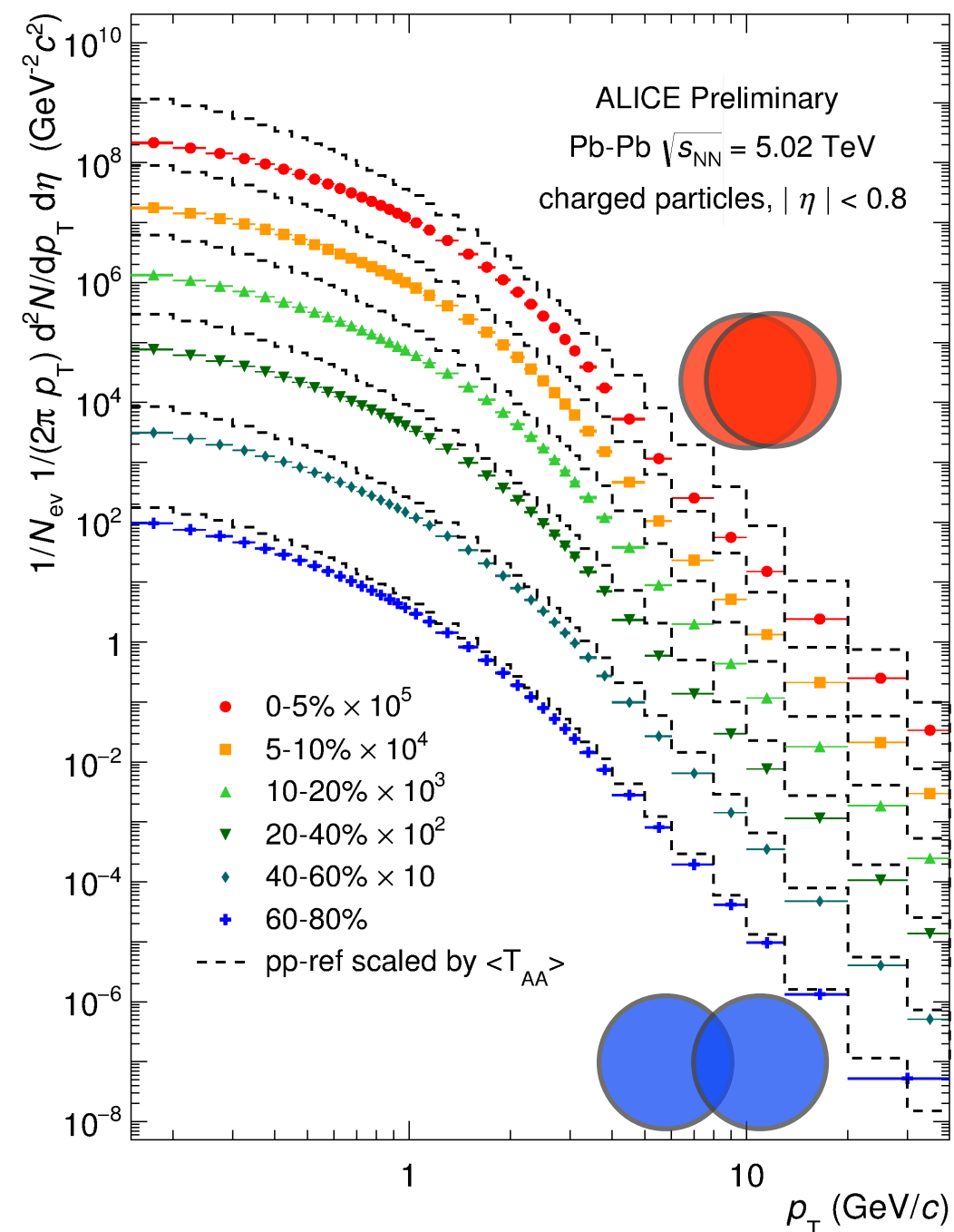
$$\frac{\sigma_{p_T}}{p_T} = p_T \sigma_{1/p_T}$$

+ Efficiencies depending on particle species, secondaries, ...



# High- $p_T$ hadron suppression - Pb-Pb spectra

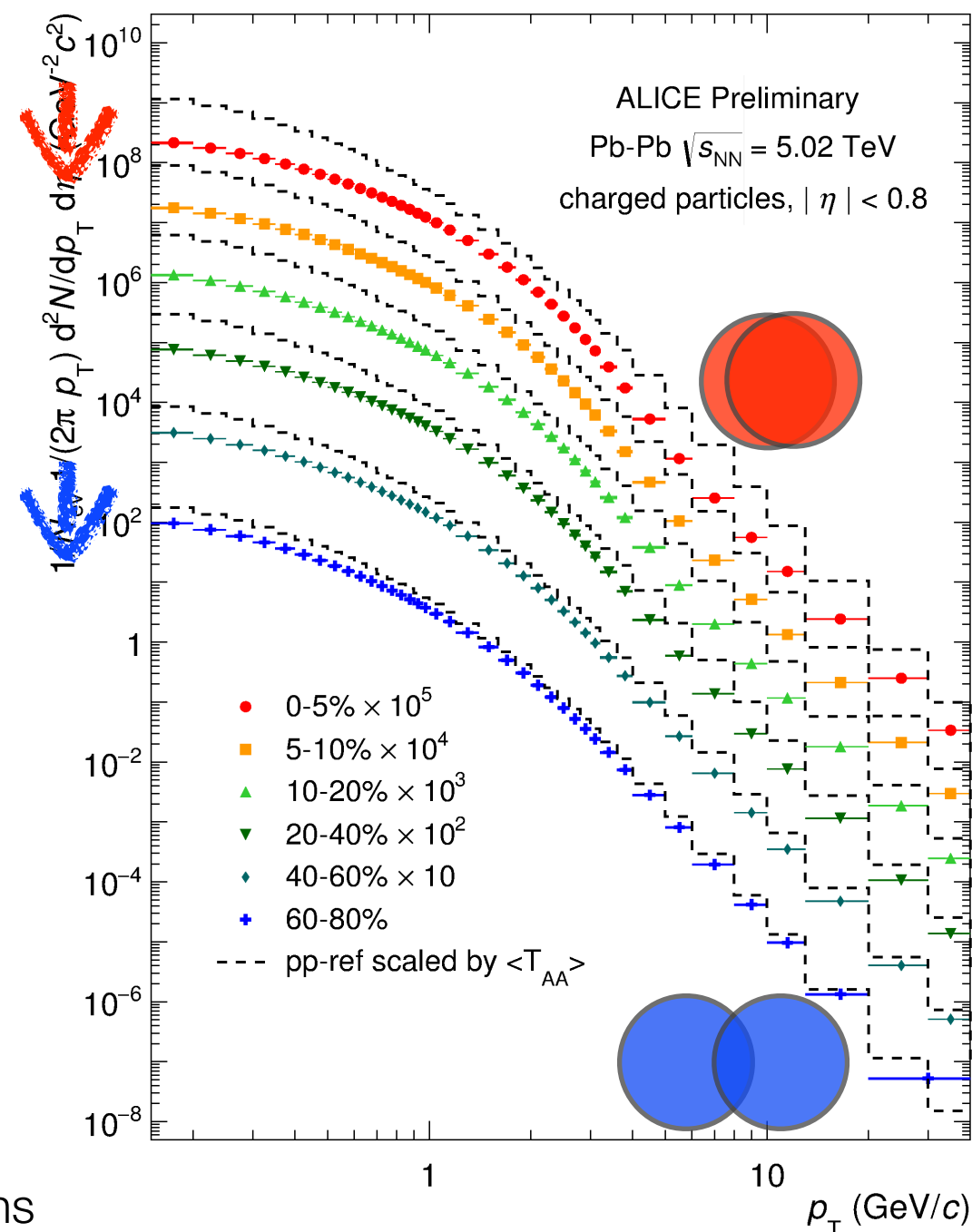
- \* Measure of the particle production in Pb-Pb collisions at  $\sqrt{s} = 5.02$  TeV
  - \* Pb-Pb data collected in 2015
  - \*  $0.15 < p_T < 40$  GeV/c
  - \* for different centrality classes



ALI-PREL-107296

# High- $p_T$ hadron suppression - Pb-Pb spectra

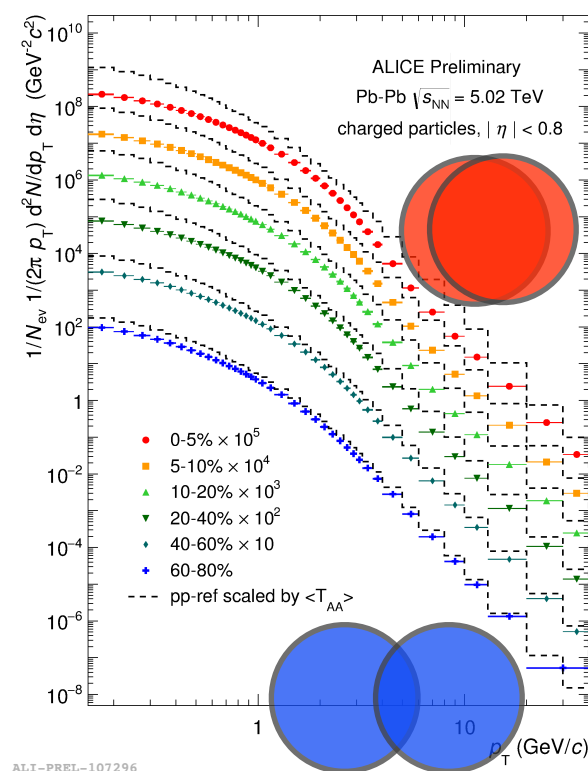
- \* Measure of the particle production in Pb-Pb collisions at  $\sqrt{s} = 5.02$  TeV
  - \* Pb-Pb data collected in 2015
  - \*  $0.15 < p_T < 40$  GeV/c
  - \* for different centrality classes
  
- \* Reduction of the particle yields in Pb-Pb collisions with respect to pp ones
  - \* larger decrease for **central** than for **peripheral** collisions



\*pp scaled by the number of binary nucleon nucleon collisions

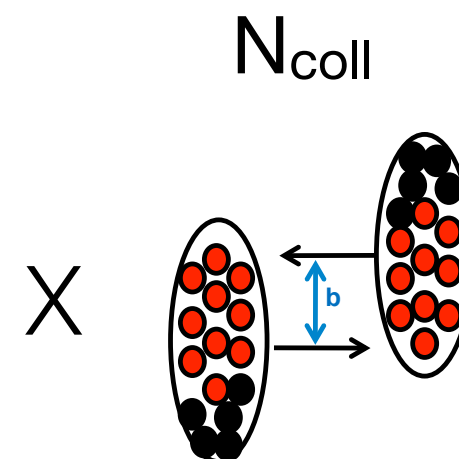
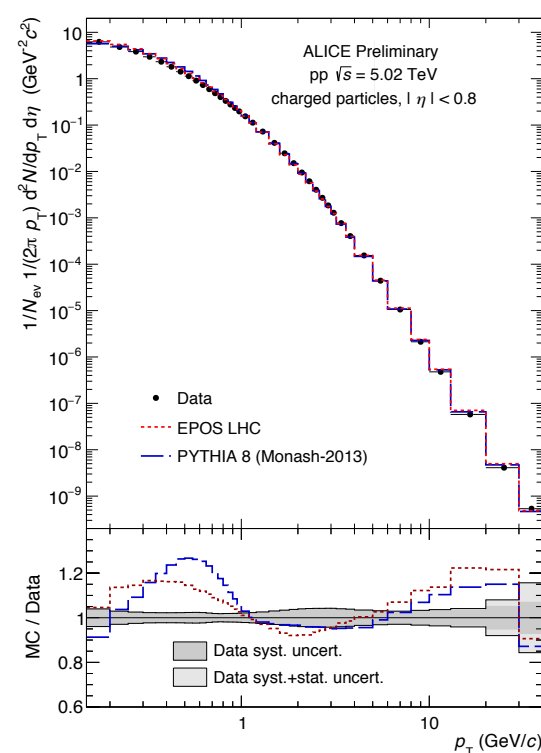
ALI-PREL-107296

# High- $p_T$ hadron suppression - $R_{AA}$

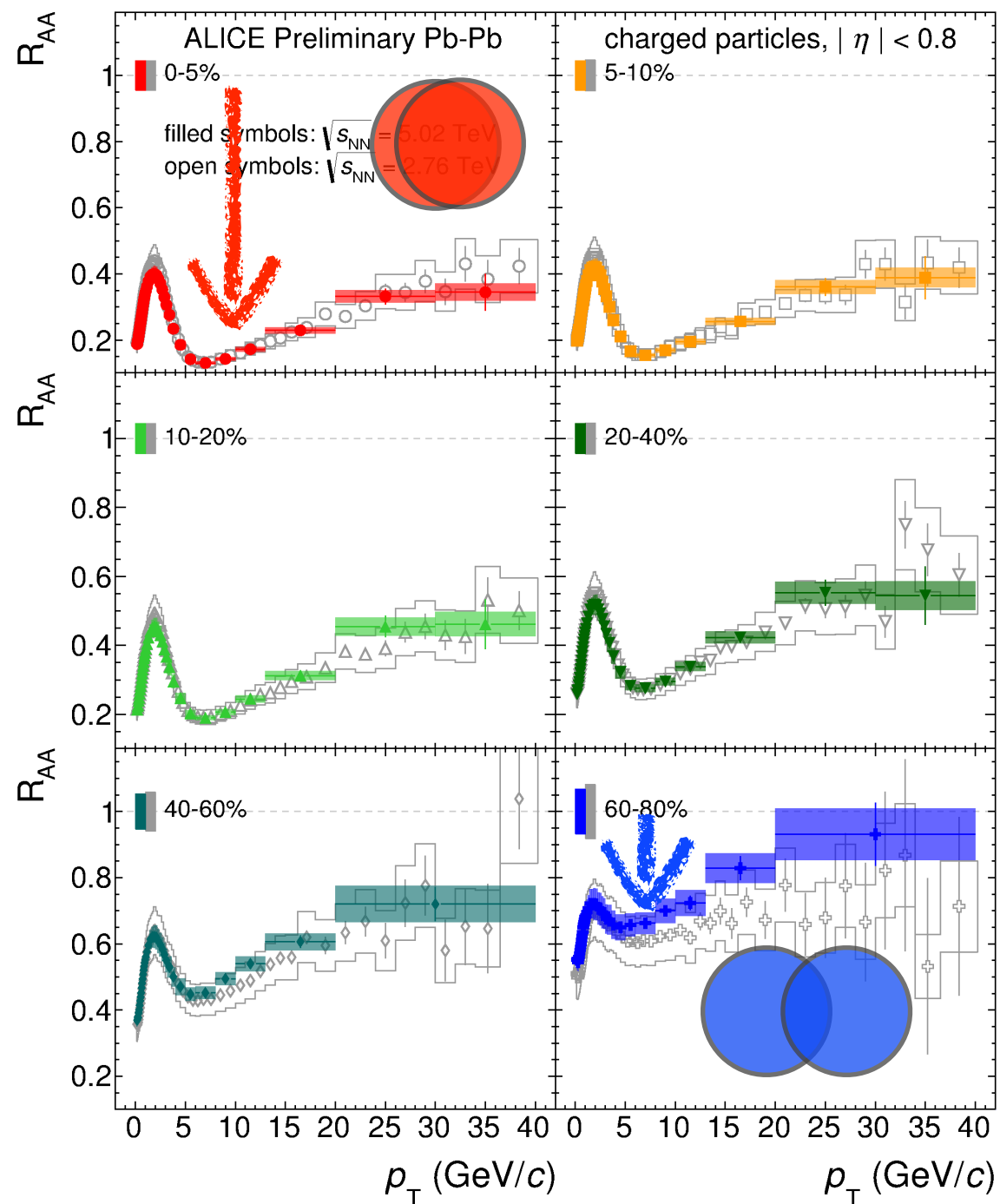


Pb-Pb

pp



# High- $p_T$ hadron suppression - $R_{AA}$

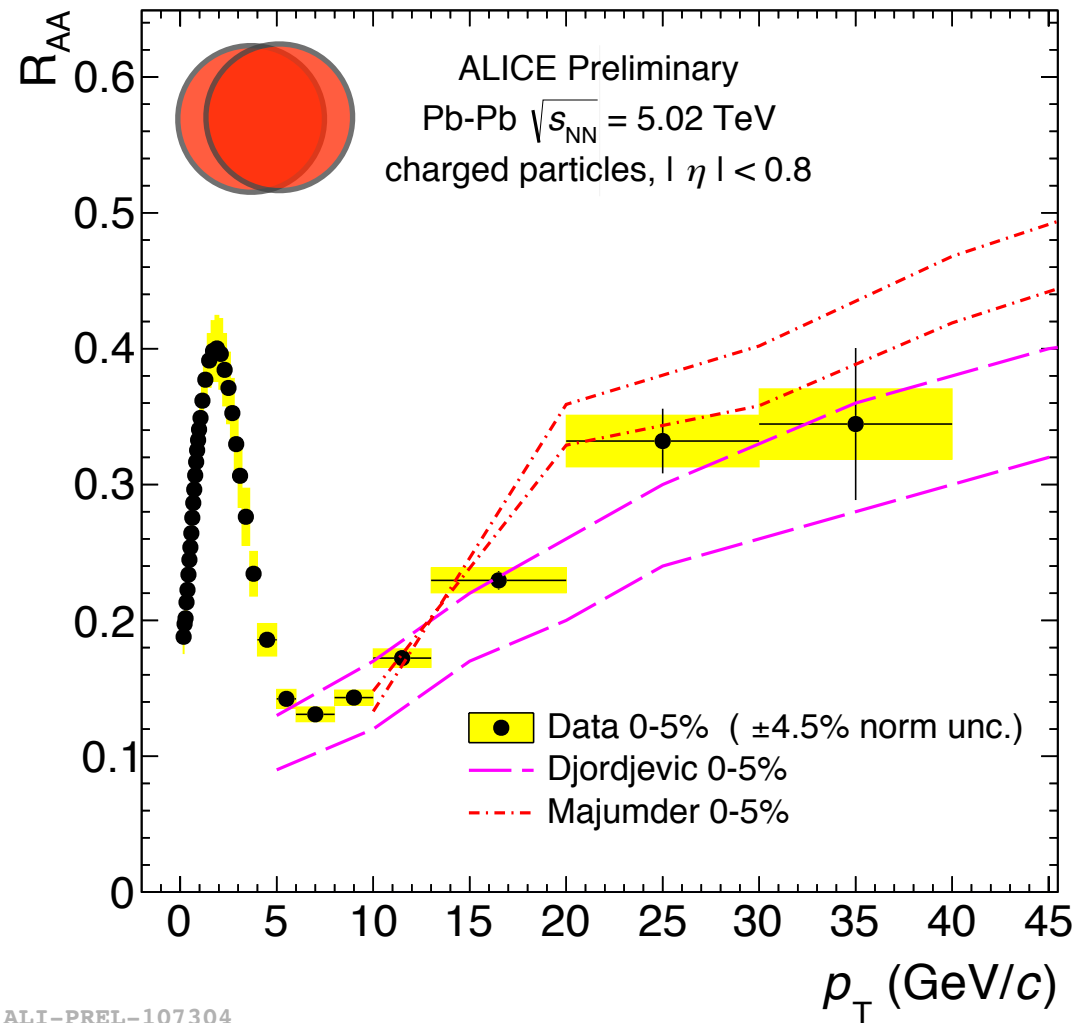


\* Nuclear modification factors for charged hadrons:

- \*  $R_{AA} < 1$  for more central collisions
- \*  $\sim$  factor 5 suppression at between  $5 < p_T < 10$  GeV/c
- \*  $\sim$  factor 3 suppression at 40 GeV/c
- \* suppression decreases (larger  $R_{AA}$ ) for more peripheral events



# High- $p_T$ hadron suppression - $R_{AA}$



ALI-PREL-107304

- \* Nuclear modification factors for charged hadrons:
  - \*  $R_{AA} < 1$  for more central collisions
  - \*  $\sim$  factor 5 suppression at between  $5 < p_T < 10$  GeV/c
  - \*  $\sim$  factor 3 suppression at 40 GeV/c
  - \* suppression decreases (larger  $R_{AA}$ ) for more peripheral events
- \* Charged particle suppression described by models that include parton energy loss.

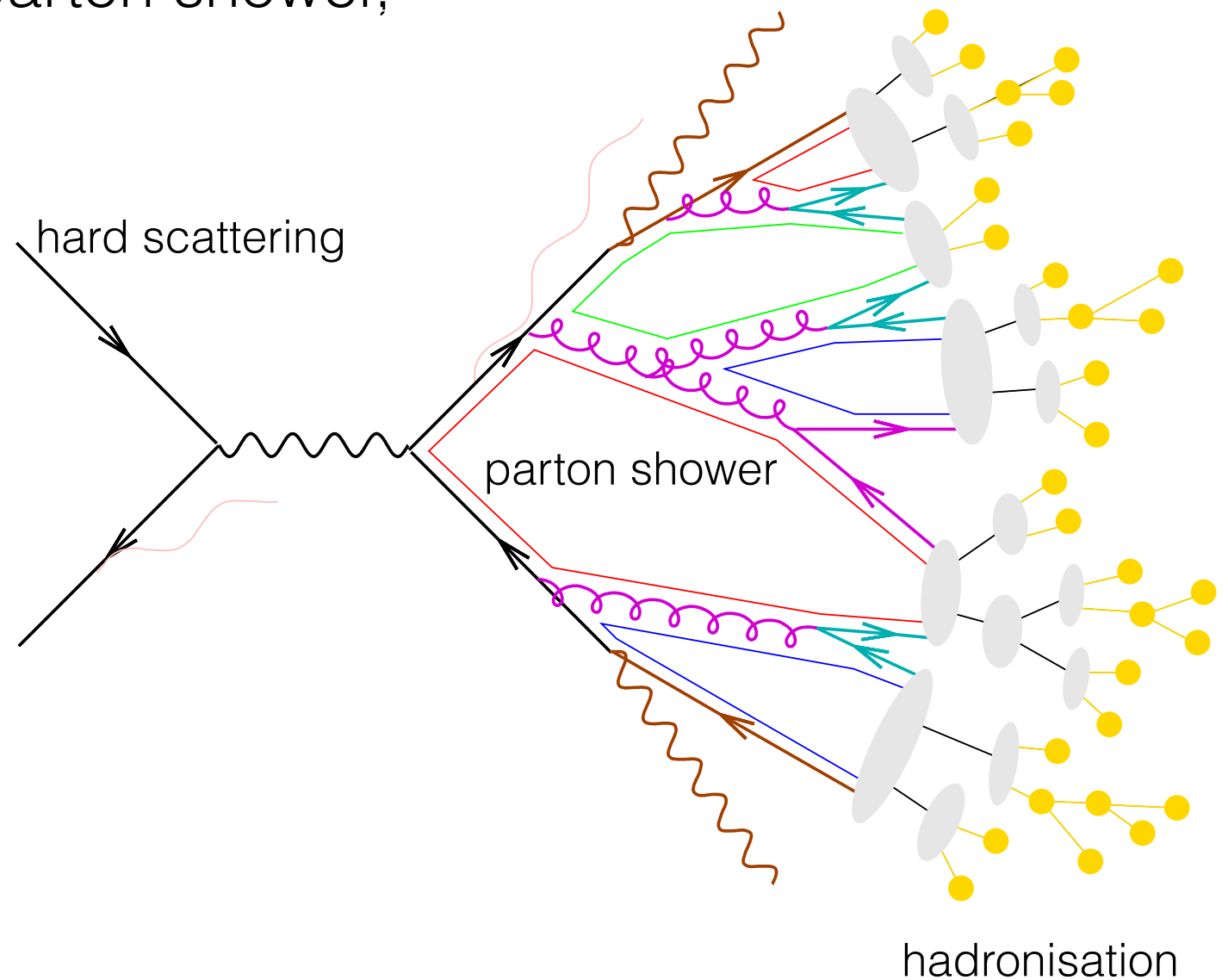
- \* LHC Run2 opens the era of precision measurements where models and experiments have similar precision. Important usage of data to constrain theoretical models.

# Jets

- \* High- $p_T$  and virtuality partons are produced in initial hard scatterings:
  - \* virtuality evolution through parton shower,
  - \* hadronisation at  $\Lambda_{QCD}$  scale.

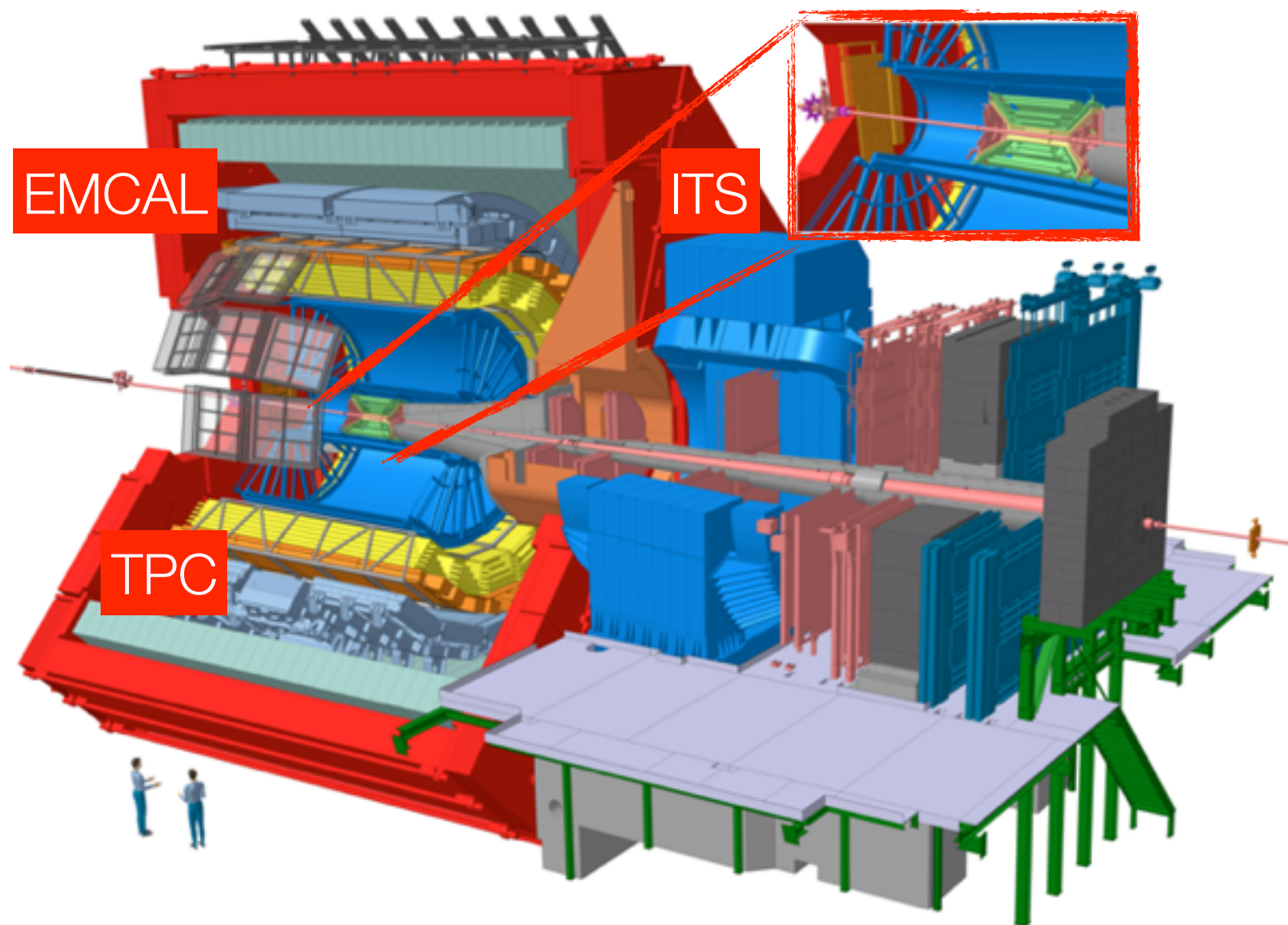
- \* No unambiguous definition of a jet:

- \* “collimated bunch of hadrons”
- \* experimental access to quarks and gluons



- \* In pp collisions:
  - \* calculable probes using pQCD,
  - \* allow to study hadronisation and underlying event effects.

# Jets in ALICE



EMCAL: Pb scintillator  
sampling calorimeter  
 $|\eta| < 0.7, 1.4 < \phi < \pi$   
 $\Delta\eta = \Delta\phi \approx 0.014$   
Cluster  $E_T > 300$  MeV

**Neutral constituent jets**



**Full jet reconstruction**  
matching the neutral and  
charged constituents

$|\eta| < 0.9, 0 < \phi < 2\pi$

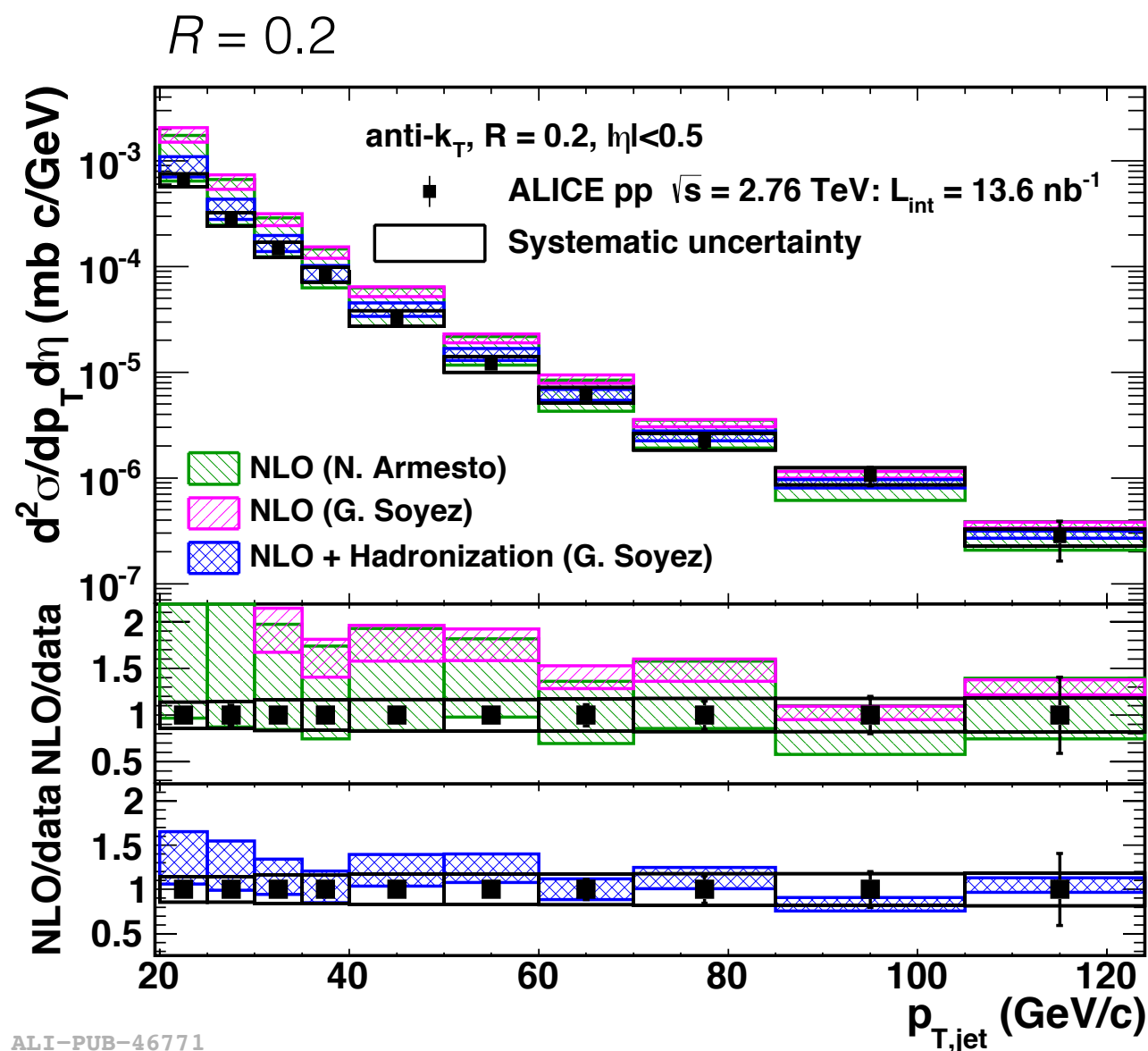
ITS: Inner Tracking System (silicon)

TPC: Time Projection Chamber

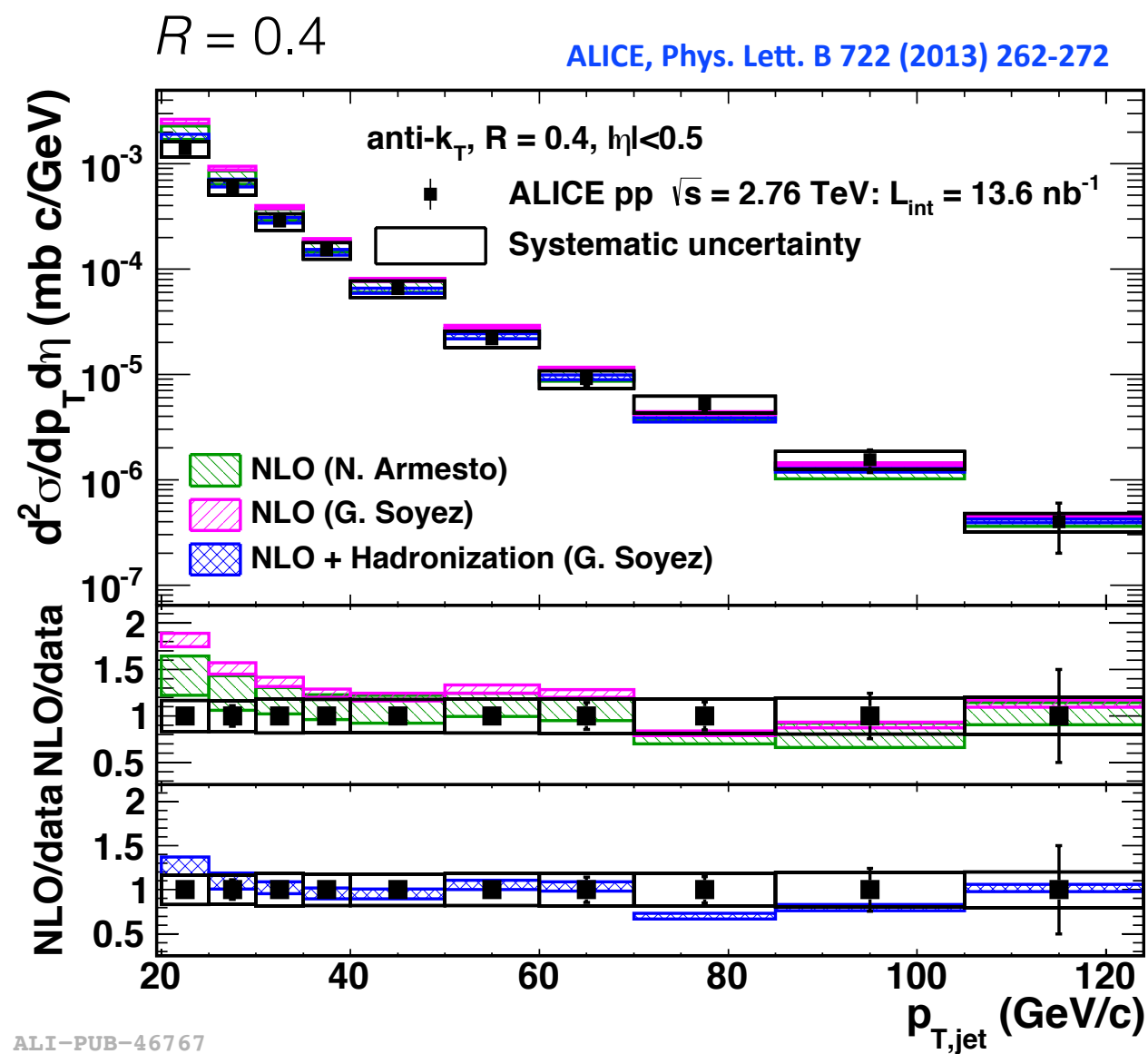
Track  $p_T > 150$  MeV/c

**Charged constituent jets (jet<sup>ch</sup>)**

# Jets in pp collisions



ALI-PUB-46771



ALI-PUB-46767

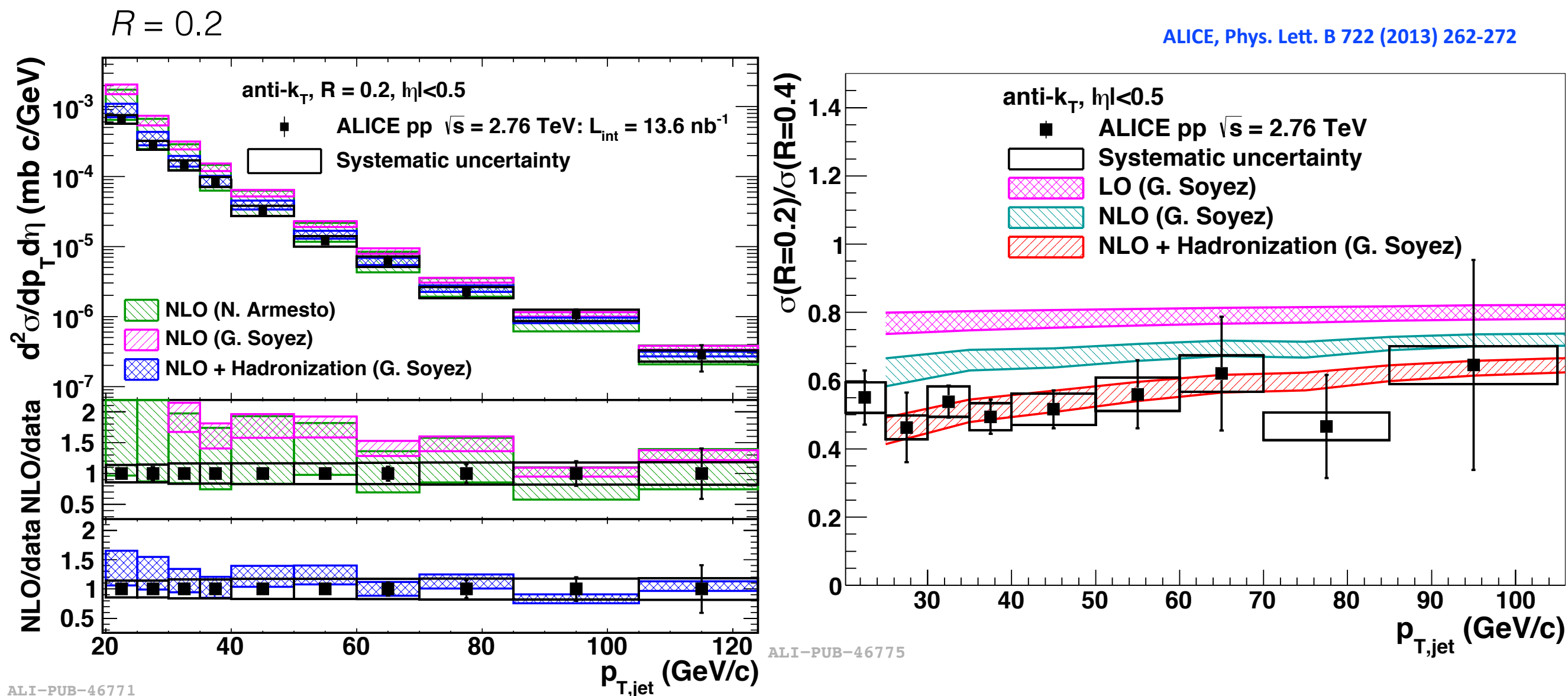
\* Good agreement between data and NLO calculations for both  $R=0.2$  and  $R=0.4$

N. Armesto et al. based on Nucl. Phys. B507 (1997) 295-314

G. Soyez, Phys Lett B698 (2011) 59-62

$R$ : jet resolution parameter, for cone algorithms is the cone radius

# Jets in pp collisions



\* Good agreement between data and NLO calculations for both  $R=0.2$  and  $R=0.4$

N. Armesto et al. based on Nucl. Phys. B507 (1997) 295-314

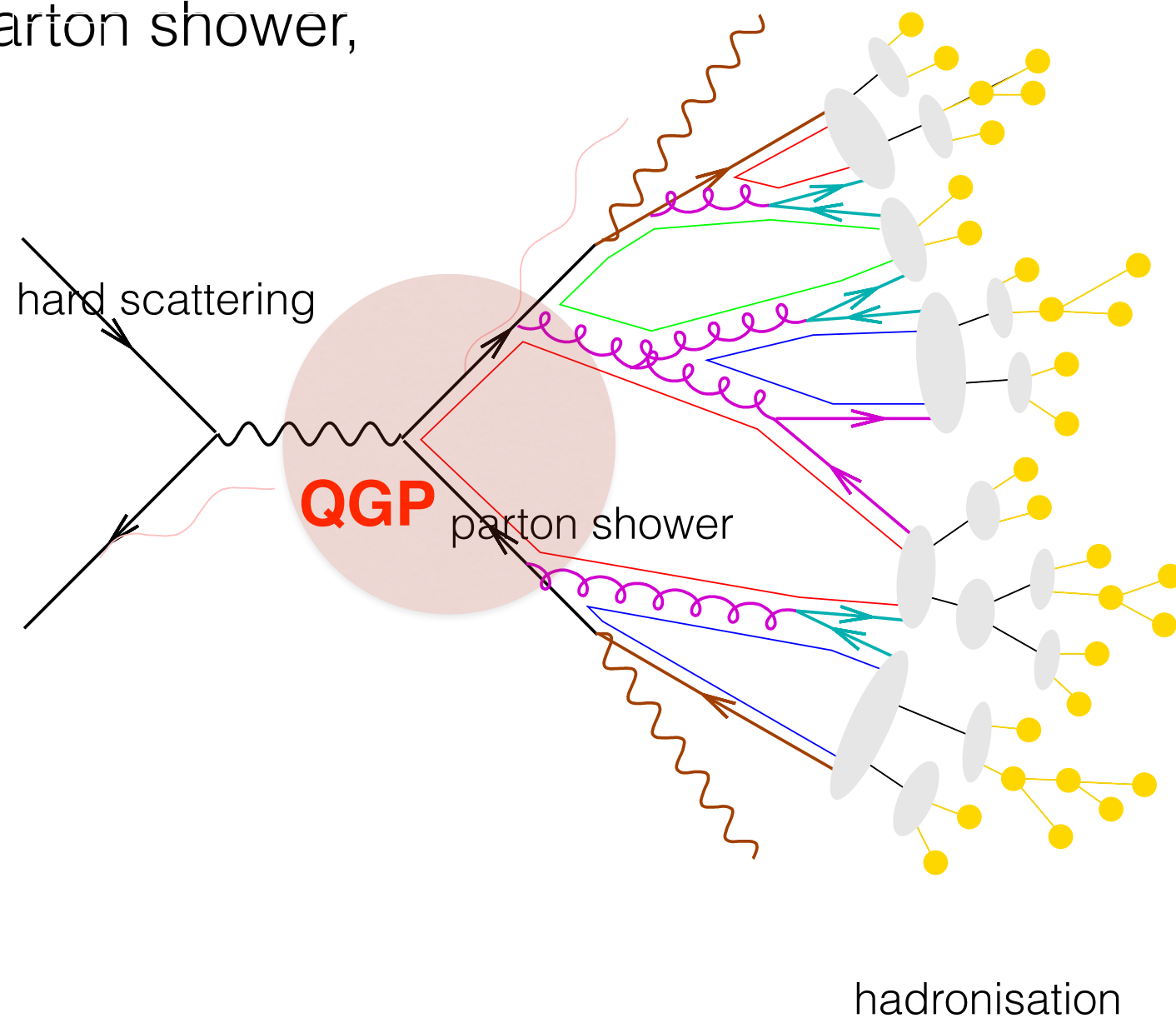
G. Soyez, Phys Lett B698 (2011) 59-62

\* Better agreement for both the spectra and the jet profile if hadronization effects are taken into account in the calculations.



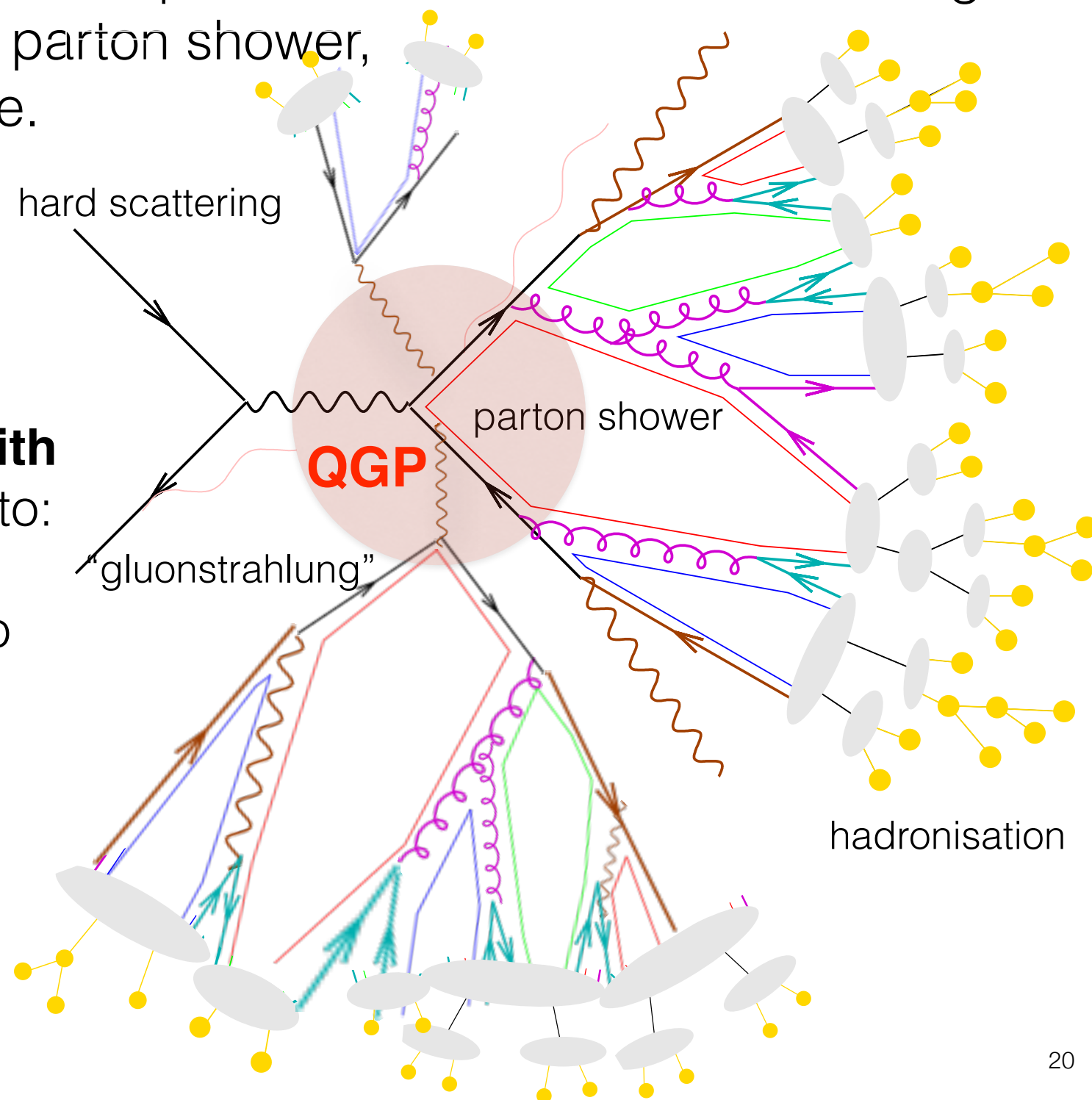
# Jets in Pb-Pb collisions

- \* High- $p_T$  and virtuality partons are produced in initial hard scatterings:
  - \* virtuality evolution through parton shower,
  - \* hadronisation at  $\Lambda_{QCD}$  scale.



# Jets in Pb-Pb collisions

- \* High- $p_T$  and virtuality partons are produced in initial hard scatterings:
  - \* virtuality evolution through parton shower,
  - \* hadronisation at  $\Lambda_{QCD}$  scale.
- \* Medium induced **gluon radiation**
- \* Via the **parton interactions with the medium**, jets can be used to:
  - \* study possible **modified fragmentation** with respect to the “vacuum” case (pp collisions),
  - \* probe jet and medium **properties**.



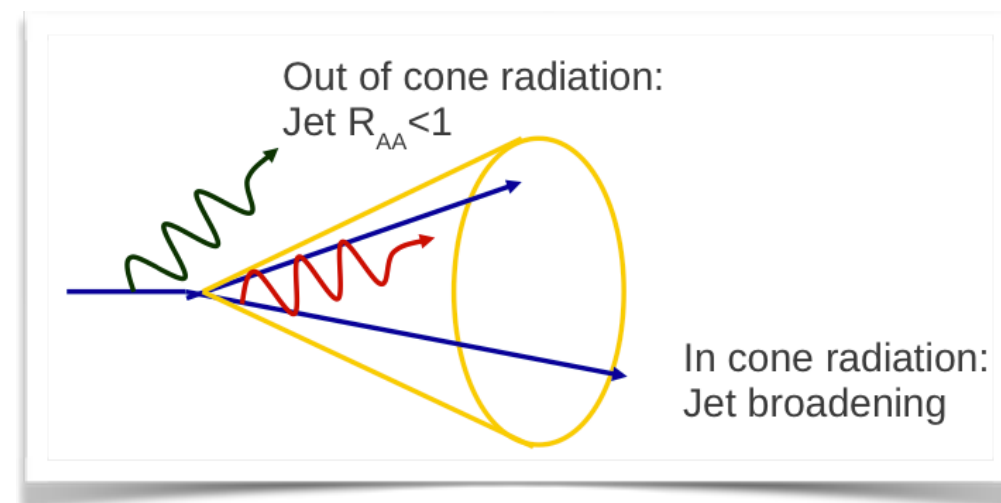
# Jets in Pb-Pb collisions

## \* In cone radiation:

- \* particles would be quenched inside the jet increasing his “area”: jet broadening

## \* Out of cone radiation:

- \* particles would be emitted outside of the jet cone, suggesting a  $R_{AA \text{ jets}} < 1$



# Jets in Pb-Pb collisions

## \* In cone radiation:

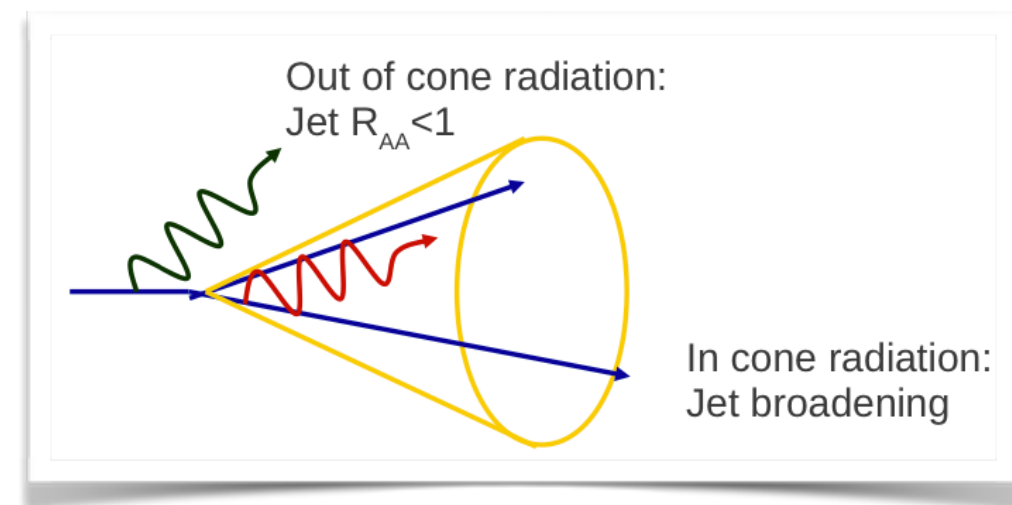
- \* particles would be quenched inside the jet increasing his “area”: jet broadening

## \* Out of cone radiation:

- \* particles would be emitted outside of the jet cone, suggesting a  $R_{AA \text{ jets}} < 1$

- \* Energy lost from the interaction of the parton within the medium not recovered within  $R = 0.3$ .

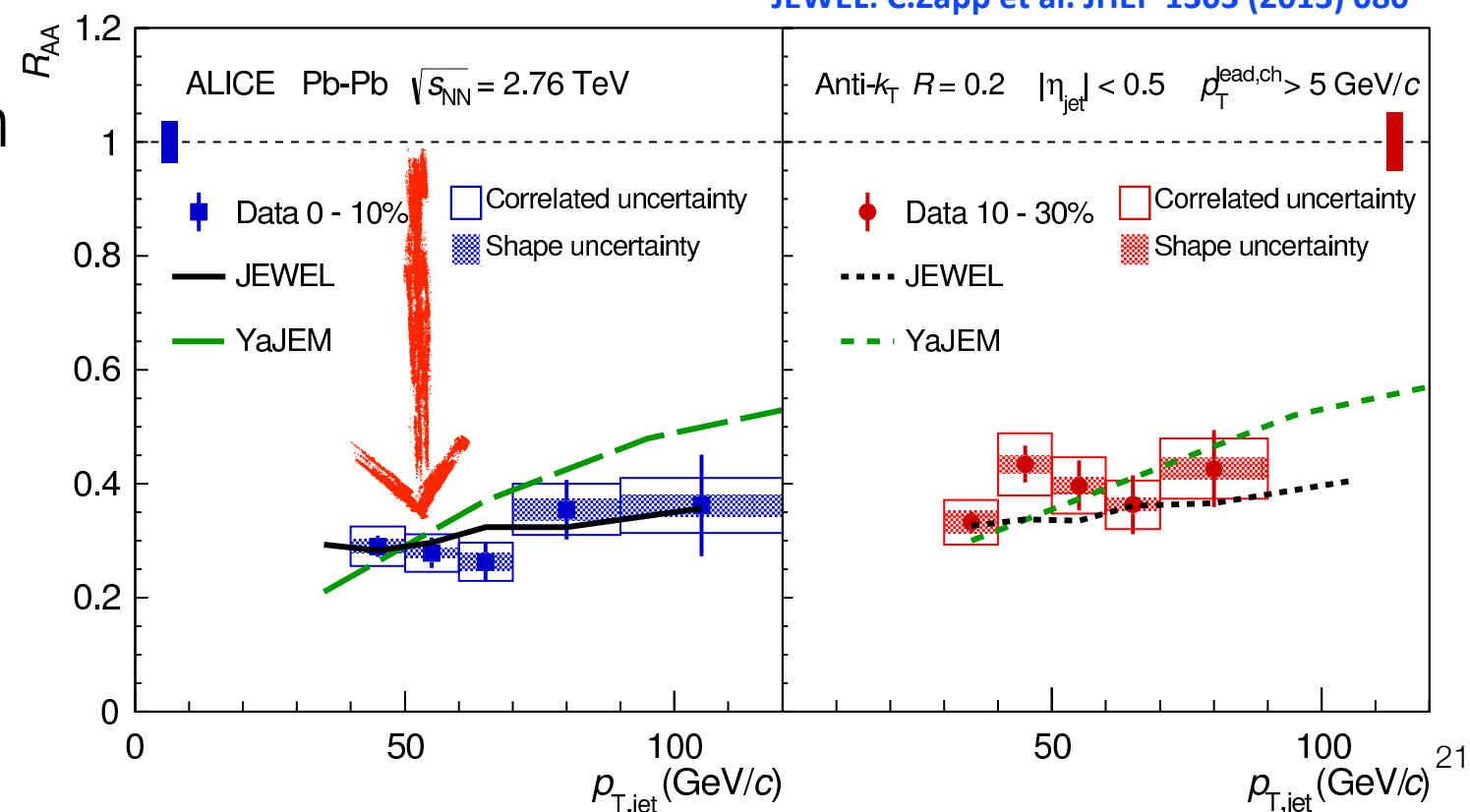
- \*  $R_{AA}$  not precise enough to distinguish between the two models



ALICE, Phys. Lett. B 746 (2015) 1

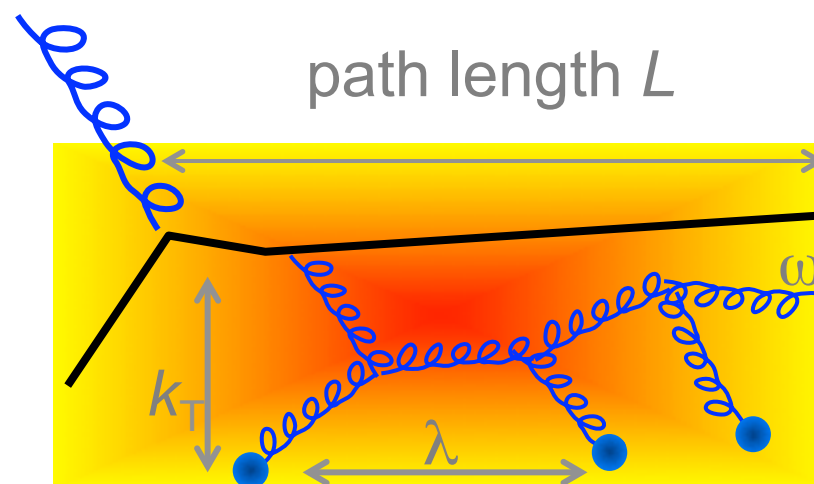
YaJEM: T. Renk, Phys Rev C 88 (2013) 014905

JEWEL: C.Zapp et al. JHEP 1303 (2013) 080



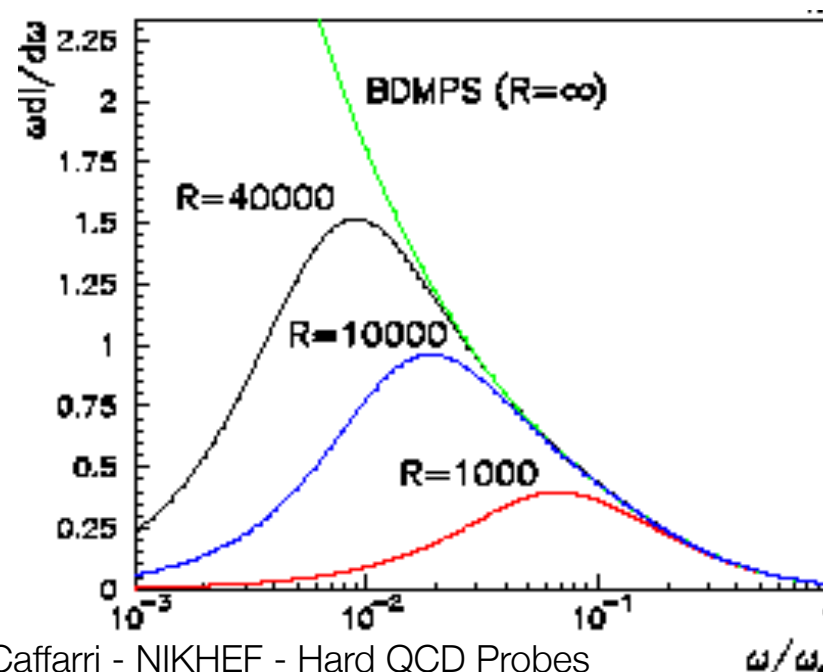
# Charge dependence of the energy loss

- \* Medium modeled with static scattering centers
- \* Coherent gluon wave function accumulate  $k_T$  due to multiple scatterings  $\rightarrow$  the gluon decoheres and it is radiated.



Radiated gluon energy distrib:

$$\omega \frac{dI}{d\omega} \propto \alpha_s C_R \begin{cases} \sqrt{\omega_c / \omega} & \text{for } \omega < \omega_c \\ (\omega_c / \omega)^2 & \text{for } \omega \geq \omega_c \end{cases}$$



$C_R$  Casimir Factor: 4/3 for q, 3 for g

$\omega_c = \hat{q} L^2 / 2$  Scale of the radiated energy

$R = \omega_c L$  Constraint:  $k_T < \omega$

$$\hat{q} = \frac{\langle k_T^2 \rangle}{\lambda}$$

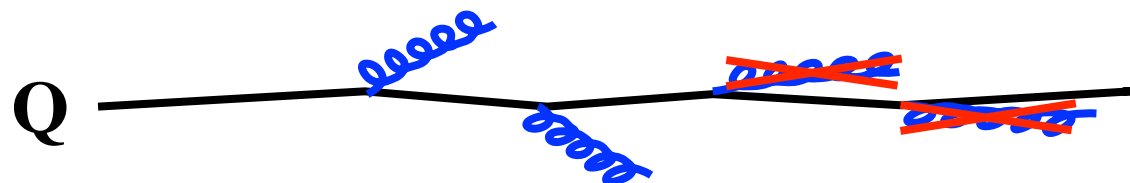
Transport coefficient related to the **medium characteristics** and to the **gluon density**



## ... and mass dependence

- \* Gluon radiation of heavy quarks is suppressed due to the introduction of a mass term in the propagator:

- \* **Dead cone effect**



**Gluonsstrahlung probability**

$$\propto \frac{1}{[\theta^2 + (m_Q / E_Q)^2]^2}$$

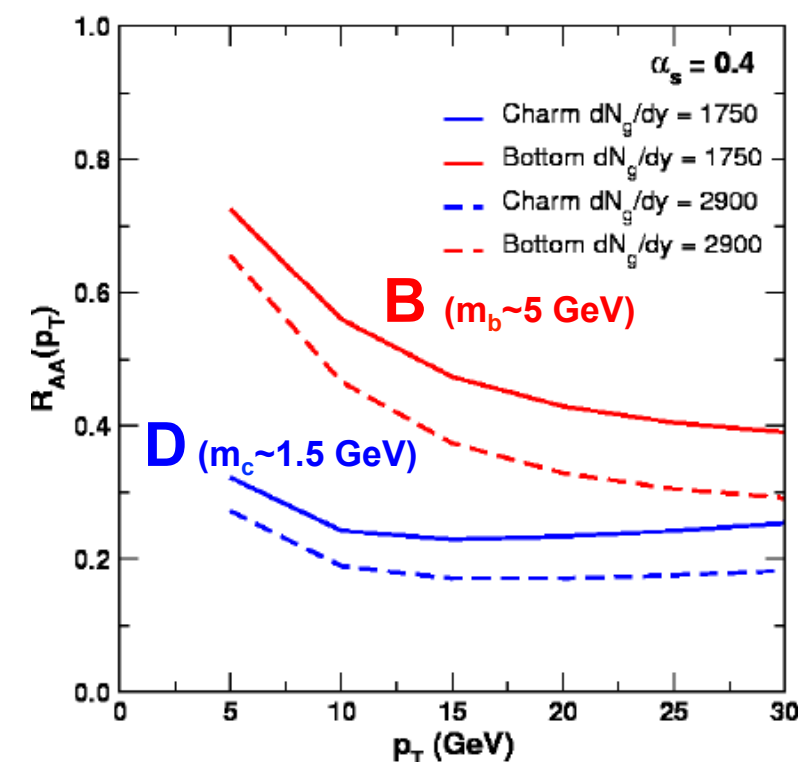
- \* Energy distribution of radiated gluons is suppressed by an angle-dependent factor: **heavy quarks might lose less energy in the medium ?**

Dokshitzer, Khoze, Troyan, JPG 17 (1991) 1602.  
Dokshitzer and Kharzeev, PLB 519 (2001) 199.

?

$$\Delta E(\text{light}) > \Delta E(c) > \Delta E(b) \rightarrow R_{AA}(\pi) < R_{AA}(D) < R_{AA}(B)$$

$$\omega \frac{dI}{d\omega} \Big|_{HEAVY} = \omega \frac{dI}{d\omega} \Big|_{LIGHT} \times \left( 1 + \left( \frac{m_Q}{E_Q} \right)^2 \frac{1}{\theta^2} \right)^{-2}$$



Wicks, Gyulassy, "Last Call for LHC Predictions" workshop, 2007

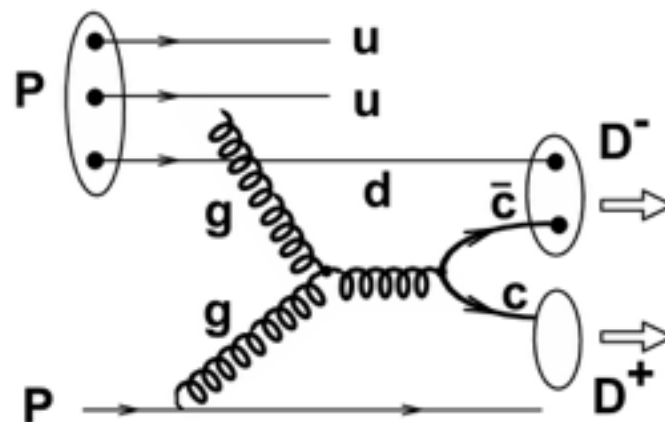
# Heavy-quark production mechanisms

## \* Heavy-quark (c, b) pair production mechanisms:

@ LO:

$$g + g \rightarrow Q + \bar{Q}$$

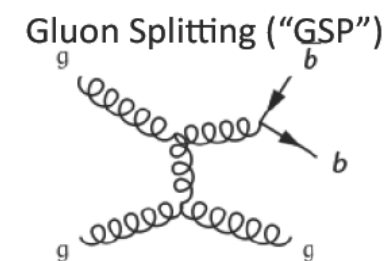
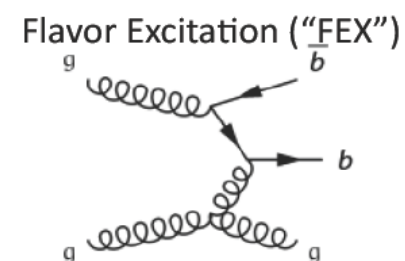
$$q + \bar{q} \rightarrow Q + \bar{Q}$$



@ NLO:

$$g \rightarrow Q + \bar{Q} \text{ gluon splitting}$$

$$Q^* \rightarrow Q + \bar{Q} \text{ flavour excitation}$$

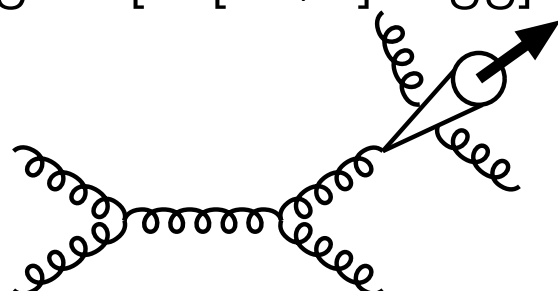


## \* Formation of the heavy-quark pair in a QQ (quarkonium) bound state related to long distance scales $\sim 1/m_Q \psi \rightarrow$ non-perturbative approach needed (NRQCD)

[Prog.Part.Nucl.Phys.47:141-201,2001](#)

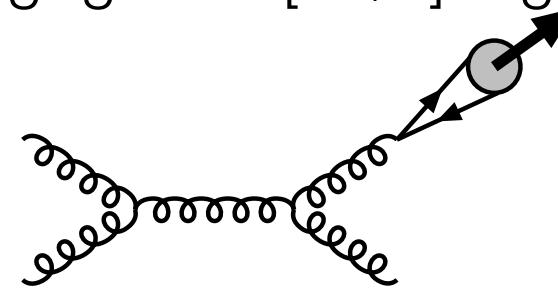
### \* Color-singlet fragmentation

$$g+g \rightarrow [cc[{}^3S_1^{(1)}] + gg] + g$$



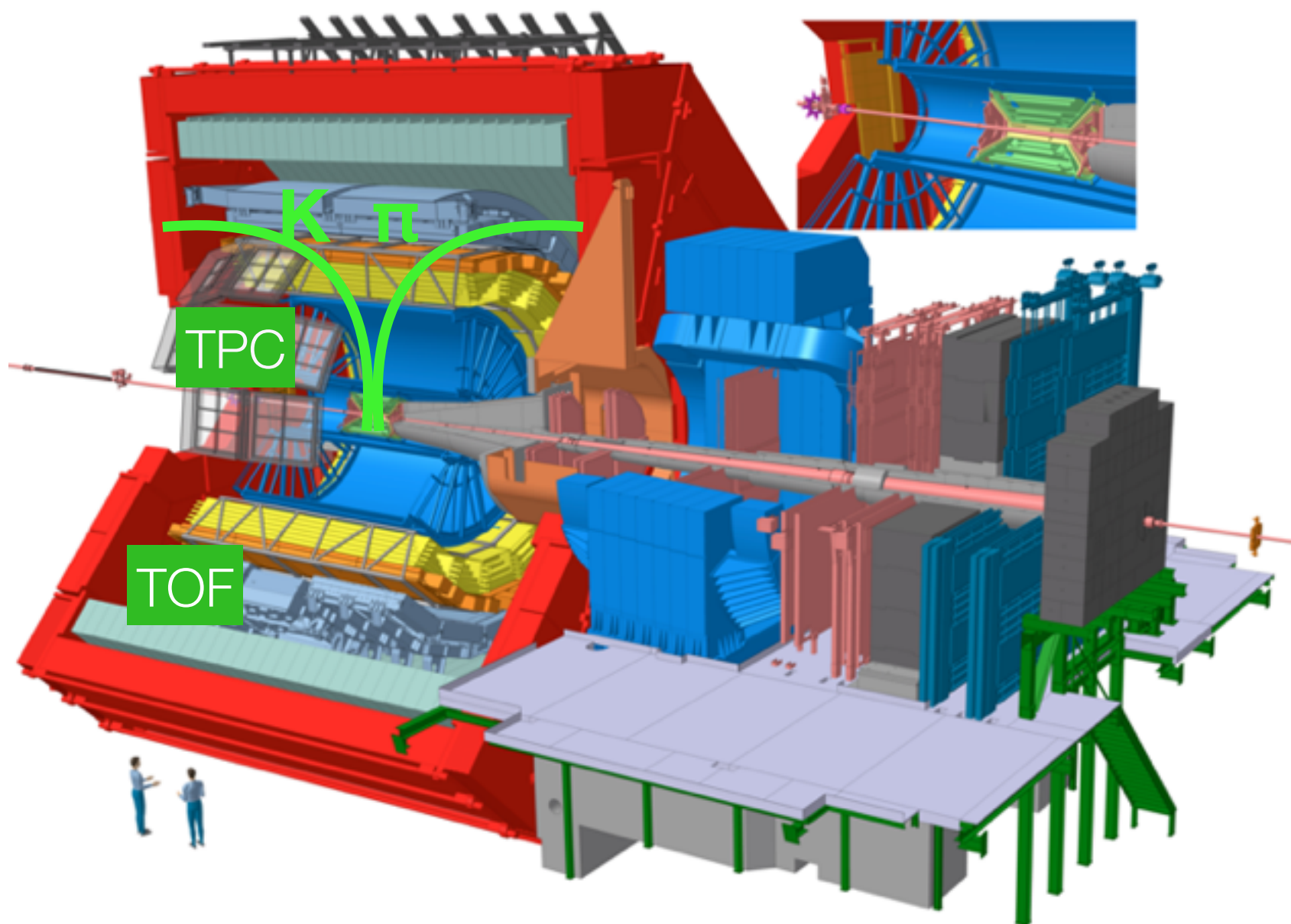
### \* Color-octet fragmentation

$$g+g \rightarrow cc[{}^3S_1^{(8)}] + g$$



# Open heavy-flavour reconstruction in ALICE

## D mesons



$$D^0 \rightarrow K^- \pi^+ \text{ (BR } 3.88 \pm 0.05\%)$$

$$D^+ \rightarrow K^- \pi^+ \pi^+ \text{ (BR } 9.13 \pm 0.19\%)$$

$$D^{*+} \rightarrow D^0 \pi^+ \text{ (BR } 67.7 \pm 0.05\%)$$

$$D_s^+ \rightarrow \phi \pi^+ \rightarrow K^- K^+ \pi^+ \text{ (BR } 2.28 \pm 0.12\%)$$

$$|\eta| < 0.9$$

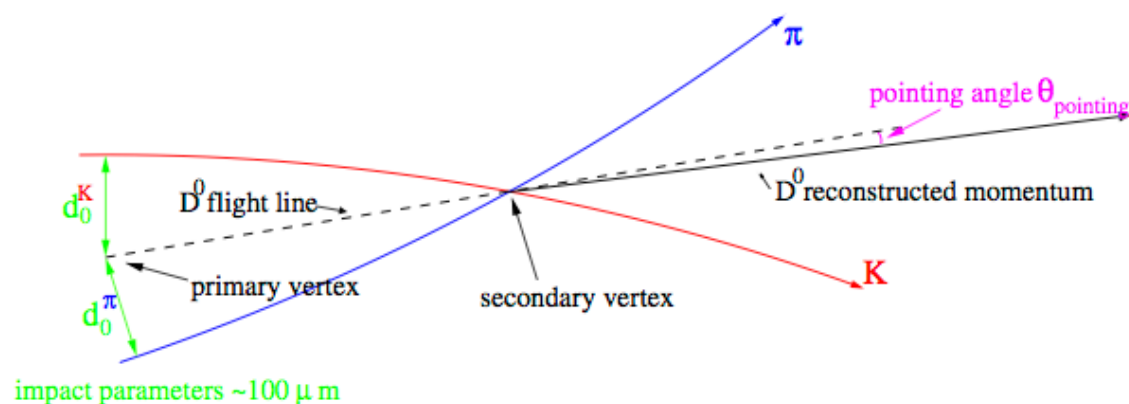
ITS: tracking, vertexing

TPC: tracking, PID

TOF: K-ID

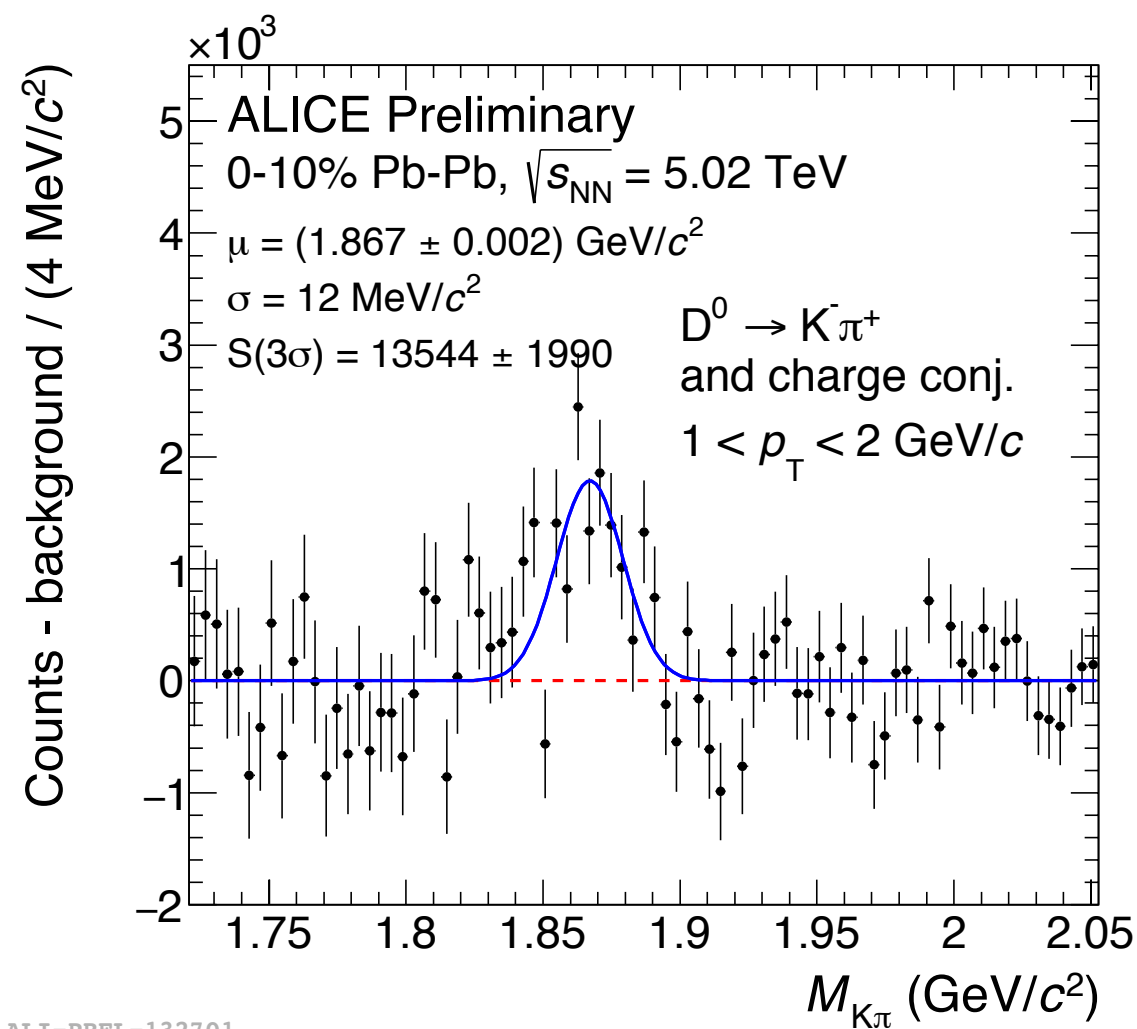
\* Topological selections and PID selections used to reduce the combinatorial background

# Open heavy-flavour reconstruction in ALICE

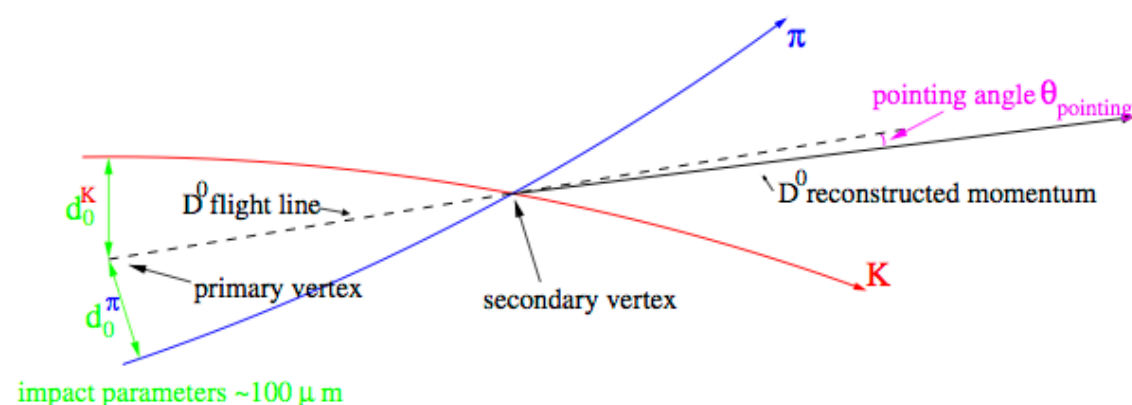


Invariant mass analysis  
mainly based on:

- \* secondary vertex reconstruction
- \* Kaon identification



# Open heavy-flavour reconstruction in ALICE

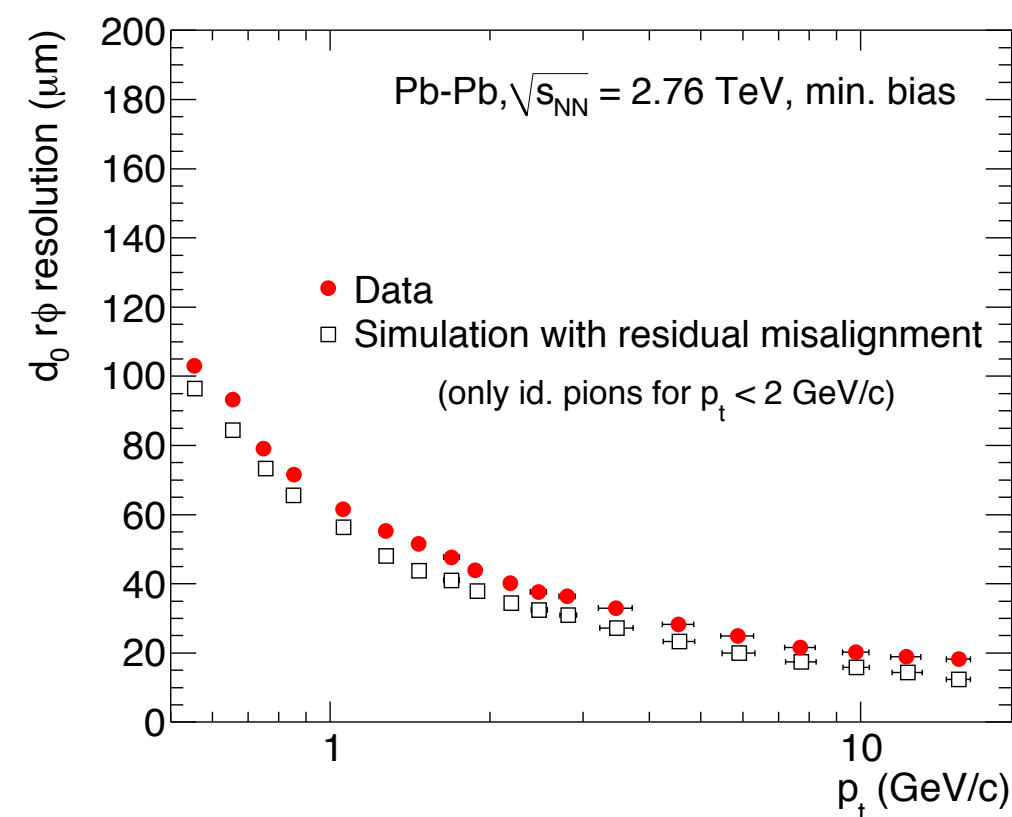


Invariant mass analysis  
mainly based on:

- \* secondary vertex reconstruction
- \* Kaon identification

- \* Displaced vertex topology:
  - \* tracking and vertexing precision crucial for heavy flavour analysis
  - \* Inner Tracking System with 6 silicon detector layers: two pixel layers at 3.9 cm and 7 cm

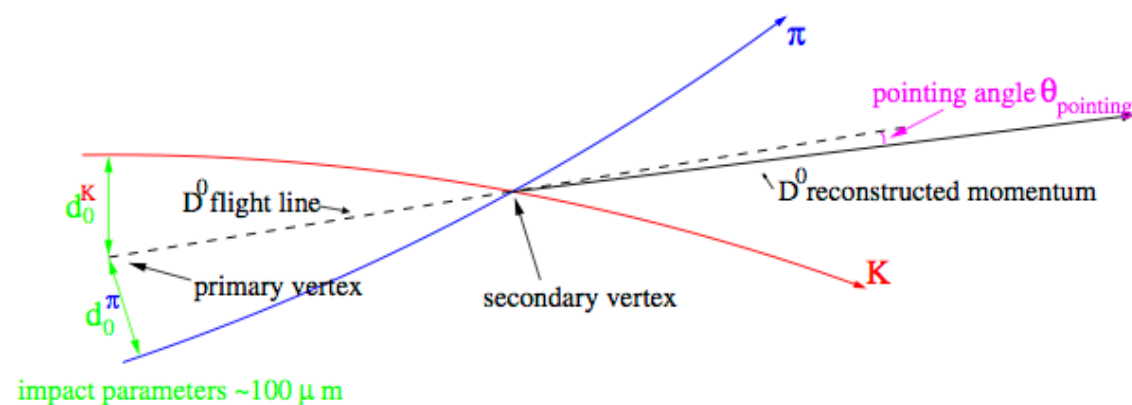
JHEP 09 (2012) 112



- \* Impact parameter resolution  $\sim 60\mu\text{m}$  for  $p_T = 1 \text{ GeV}/c$



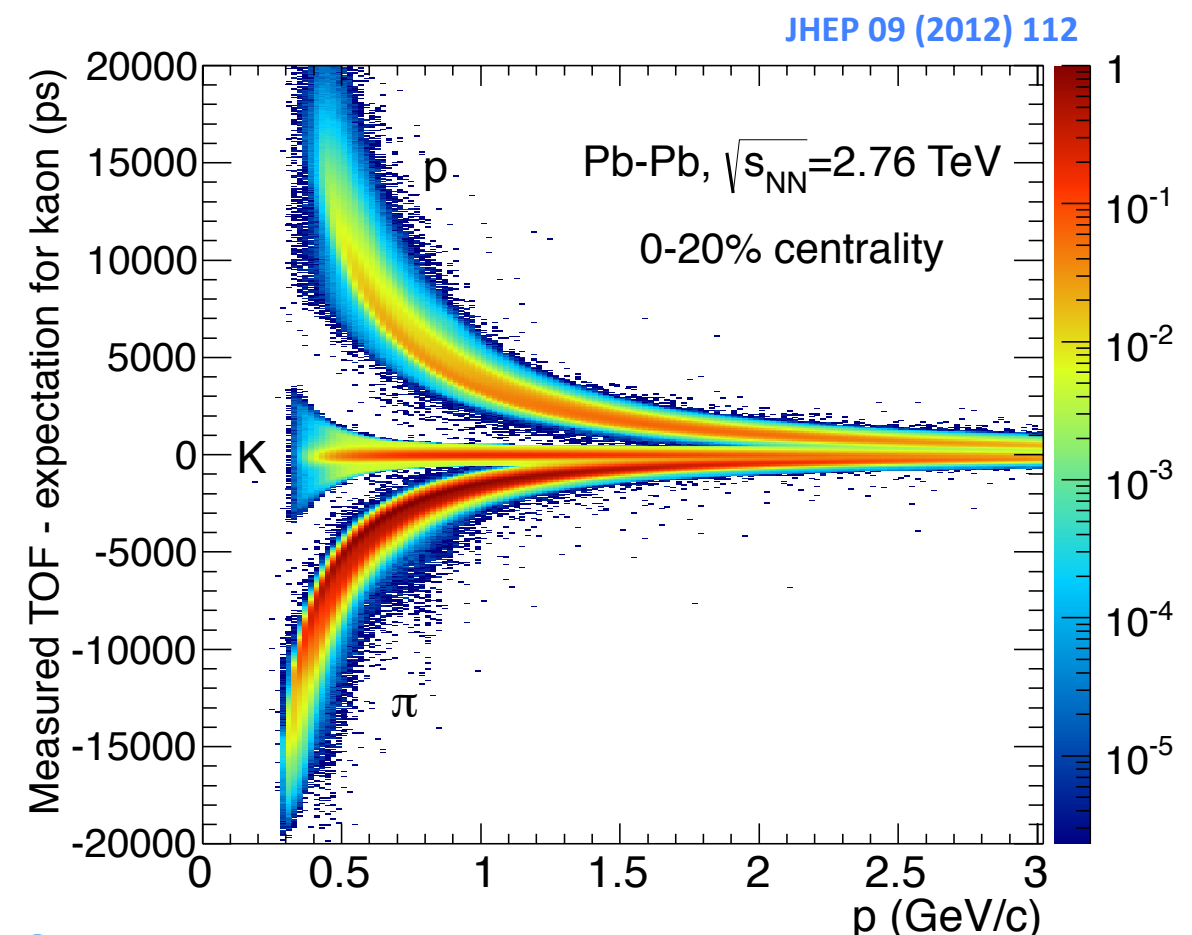
# Open heavy-flavour reconstruction in ALICE



Invariant mass analysis mainly based on:

- \* secondary vertex reconstruction
- \* Kaon identification

- \* Kaons are identified via:
  - \* the energy loss deposit in the TPC ( $0.6 < p < 0.8 \text{ GeV/c}$   $2\sigma$  cut)
  - \* the velocity measurement in the TOF ( $p < 2 \text{ GeV/c}$   $3\sigma$  cut)



- \* Background reduction by a factor 3 for central Pb-Pb collisions



# Open heavy-flavour reconstruction in ALICE

Electrons from heavy-flavour hadron decays

ITS

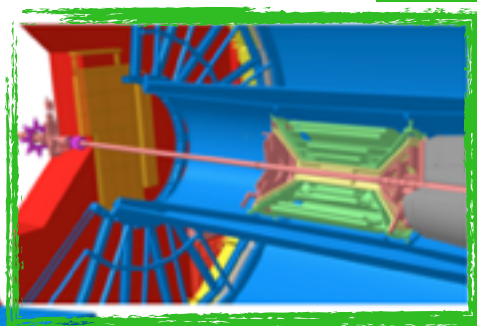
EMCAL

TOF

TRD

TPC

e



$$D, B, \Lambda_c, \dots \rightarrow e + X$$

$$|\eta| < 0.9$$

ITS: tracking, vertexing

TPC: tracking, PID

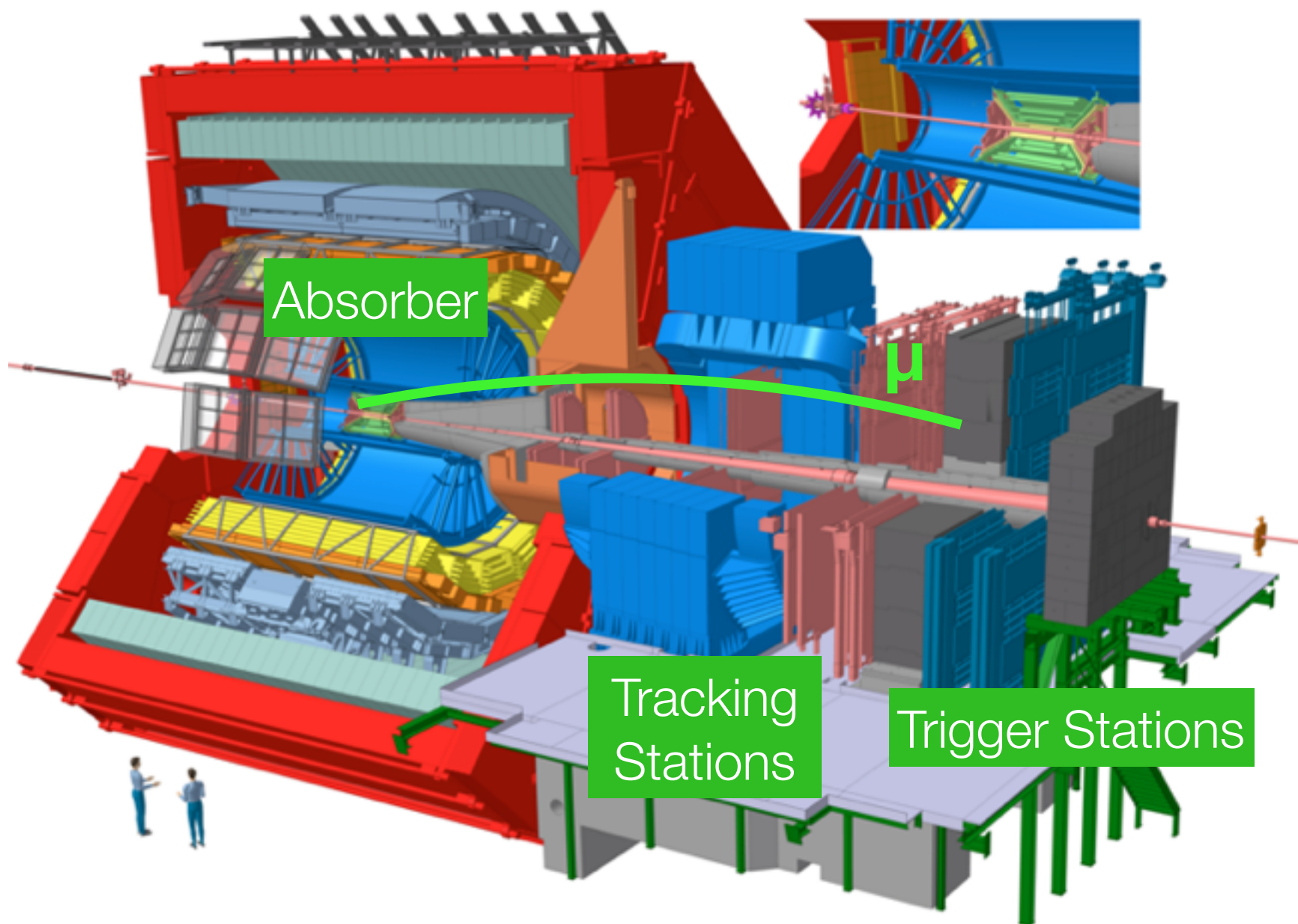
TOF, TRD, EMCAL: e-ID

- \* Background subtraction based on cocktail method and removal of Dalitz decay and photon conversion

# Open heavy-flavour reconstruction in ALICE

Muons from heavy-flavour hadron decays

$$D, B, \Lambda_c, \dots \rightarrow \mu + X$$

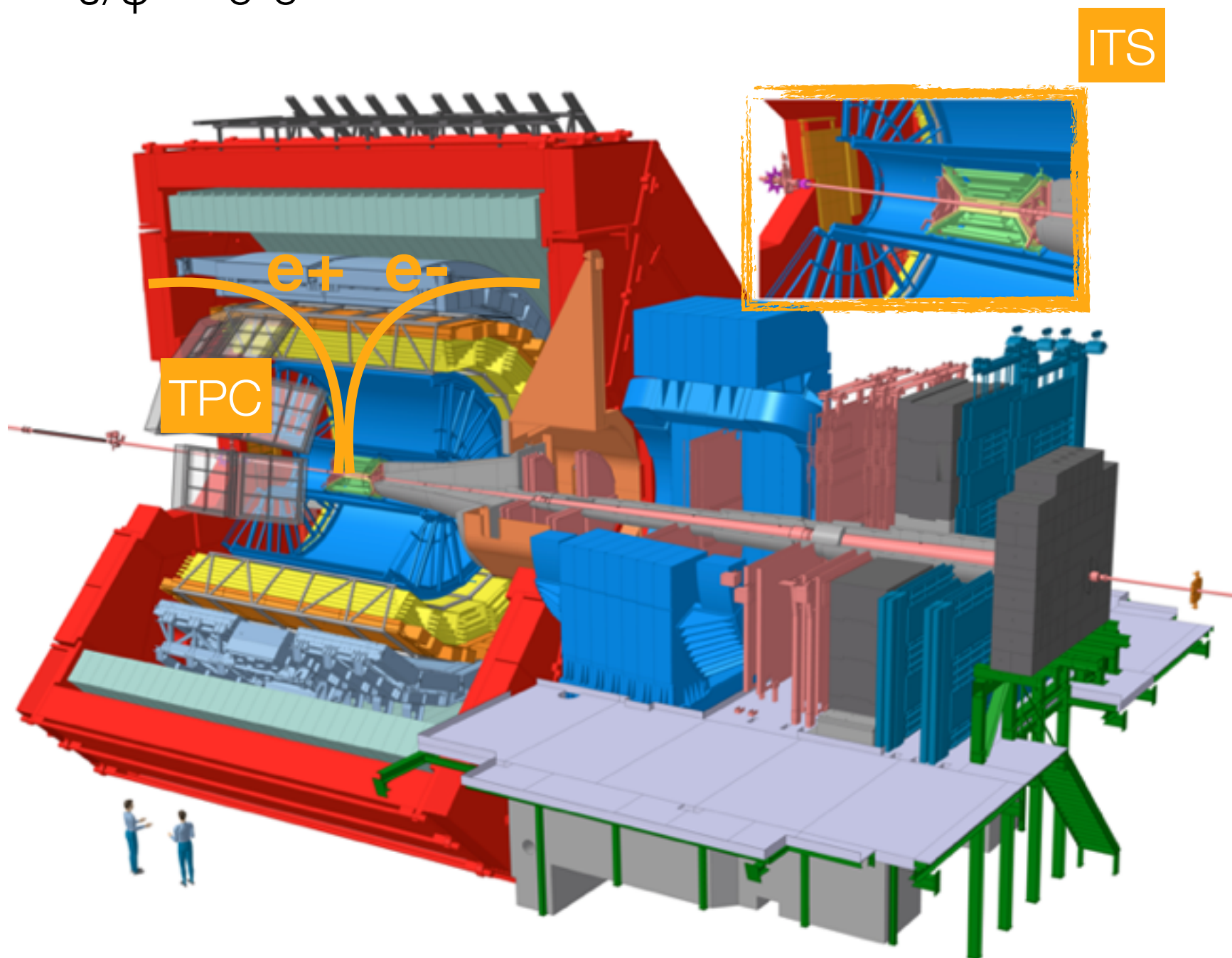


- \* Muon spectrometer:  
μ-ID via tracks matched with the trigger system  
 $-4 < \eta < -2.5$
- \* Background coming from K and  $\pi$  decays estimated with Pythia MC simulations (pp collisions) and data-tuned MC cocktail (p-Pb and Pb-Pb collisions).



# Quarkonia reconstruction in ALICE

$$J/\psi \rightarrow e^+e^-$$



ITS

TPC

$e^+ e^-$

$$|\eta| < 0.9$$

ITS: tracking

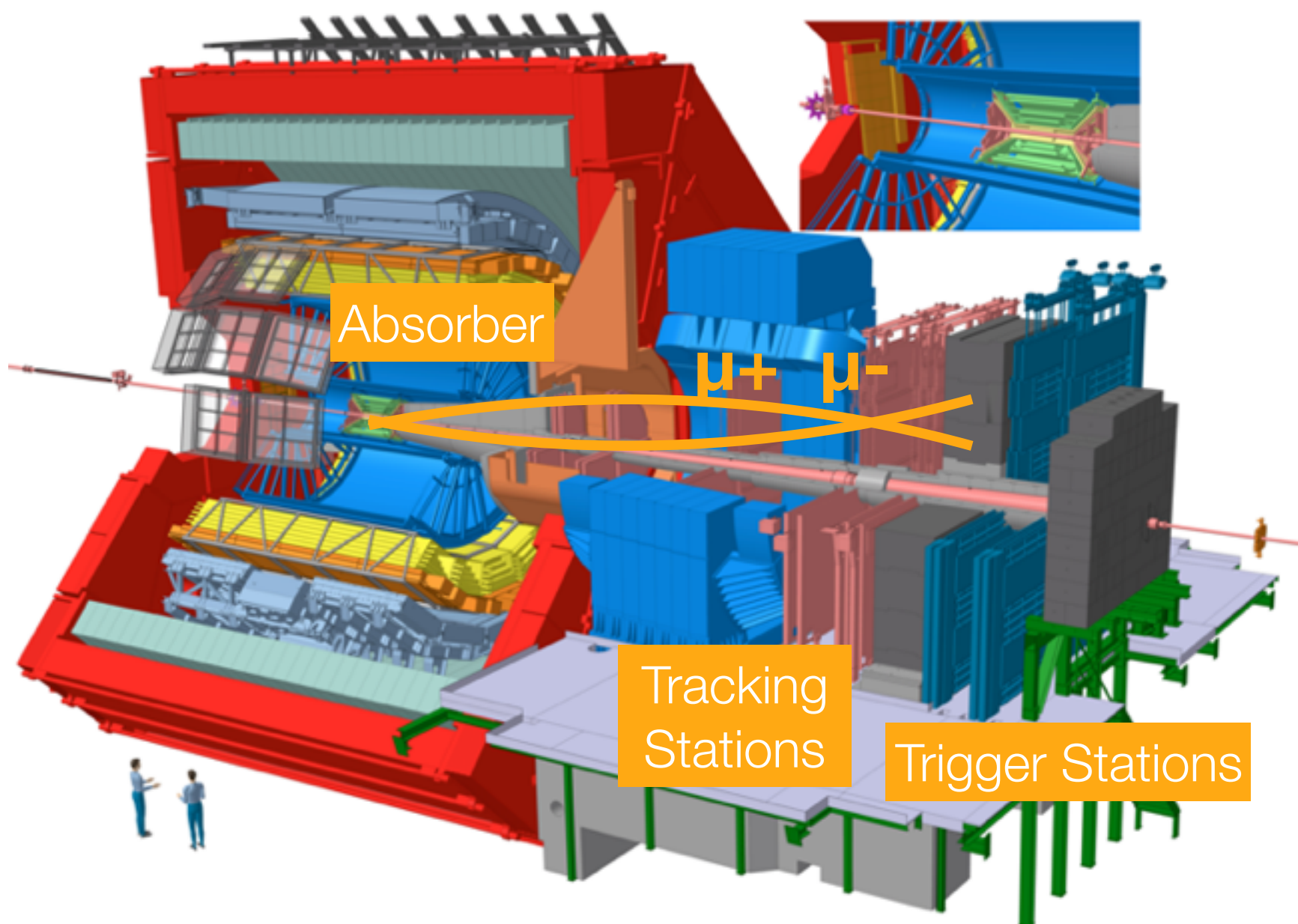
TPC: tracking, PID

- \* Signal extraction obtained after background subtraction, estimated using event mixing, like sign, different fits techniques.
- \* Acceptance down to  $p_T = 0$ .

# Quarkonia reconstruction in ALICE

$J/\psi \rightarrow \mu^+\mu^-$ ,  $\psi(2S) \rightarrow \mu^+\mu^-$ ,  $\Upsilon \rightarrow \mu^+\mu^-$

$-4 < \eta < -2.5$



\* Di-muons triggered sample ( $p_{T\mu} > 0.5 - 1$  GeV/c).

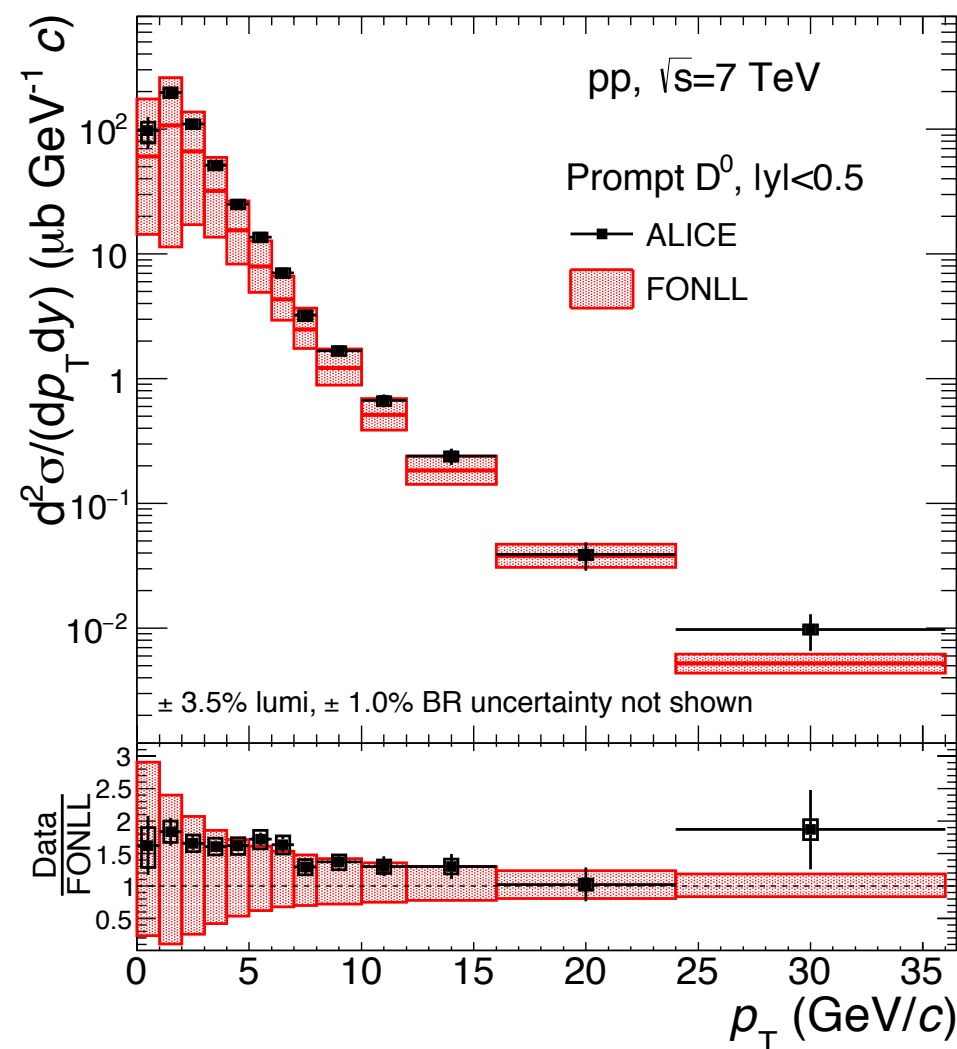
\*  $\mu$ -ID via tracks matched with the trigger system.

\* Signal extracted with invariant mass fit procedure.

\* Acceptance down to  $p_T = 0$ .

# HF and quarkonia production in pp collisions

- \* Test of the theoretical approaches for open heavy-flavor and quarkonium production in **pp collisions**.



Eur.Phys.J. C77 (2017) 550

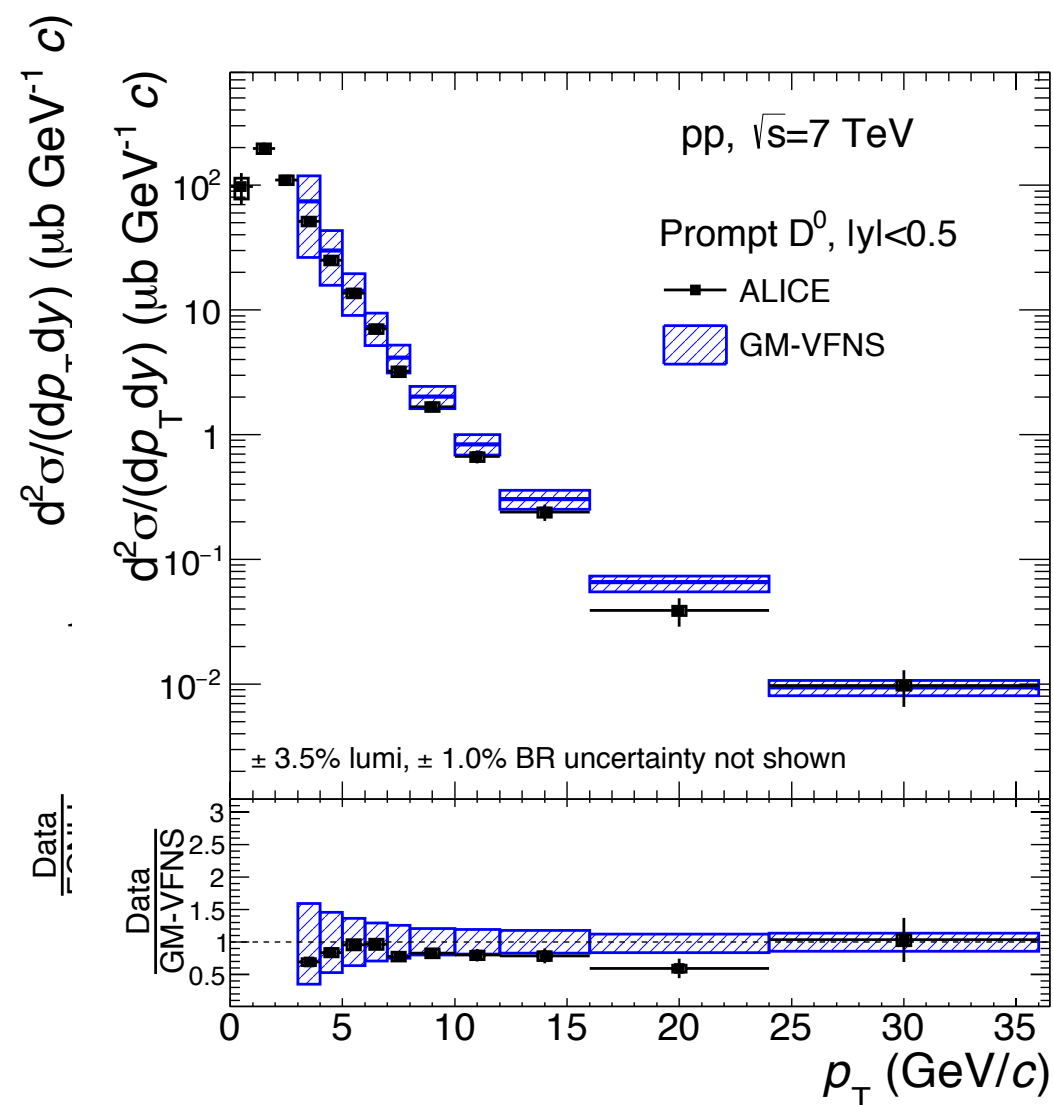
- \* pQCD based calculations are compatible with D-meson data.

- \* **FONLL (JHEP 05 1998) 007)**

- \* Fixed-Order-Next-To-Leading-Log calculations.
- \* data systematically in the lower side of the theoretical uncertainty bands.

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- \* Fixed-Order-Next-To-Leading-Log calculations.

- \* data systematically in the lower side of the theoretical uncertainty bands.

- \* **GM-VFNS (Phys Rev D71 (2005) 014018)**

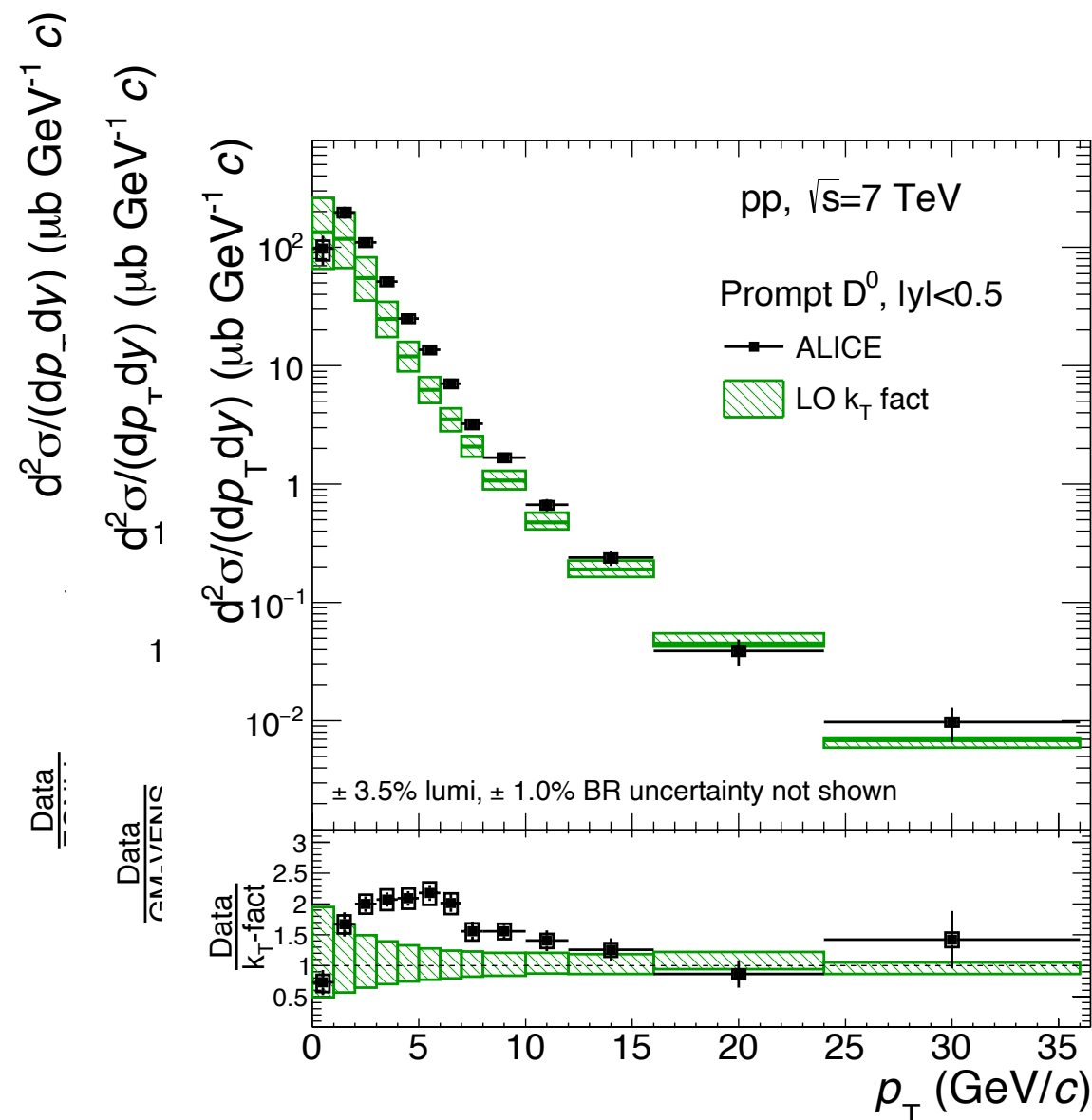
- \*  $\ln(p_T^2/m^2)$  absorbed in c-quark PDF

- \* data systematically in the lower side of the theoretical uncertainty bands.



# HF and quarkonia production in pp collisions

- \* Test of the theoretical approaches for open heavy-flavor and quarkonium production in **pp collisions**.



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- \* **FONLL ( JHEP 05 1998) 007 )**

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- \*  $\ln(p_T^2/m^2)$  absorbed in c-quark PDF

- \* data systematically in the lower side of the theoretical uncertainty bands.

- \*  **$k_T$  factorization**

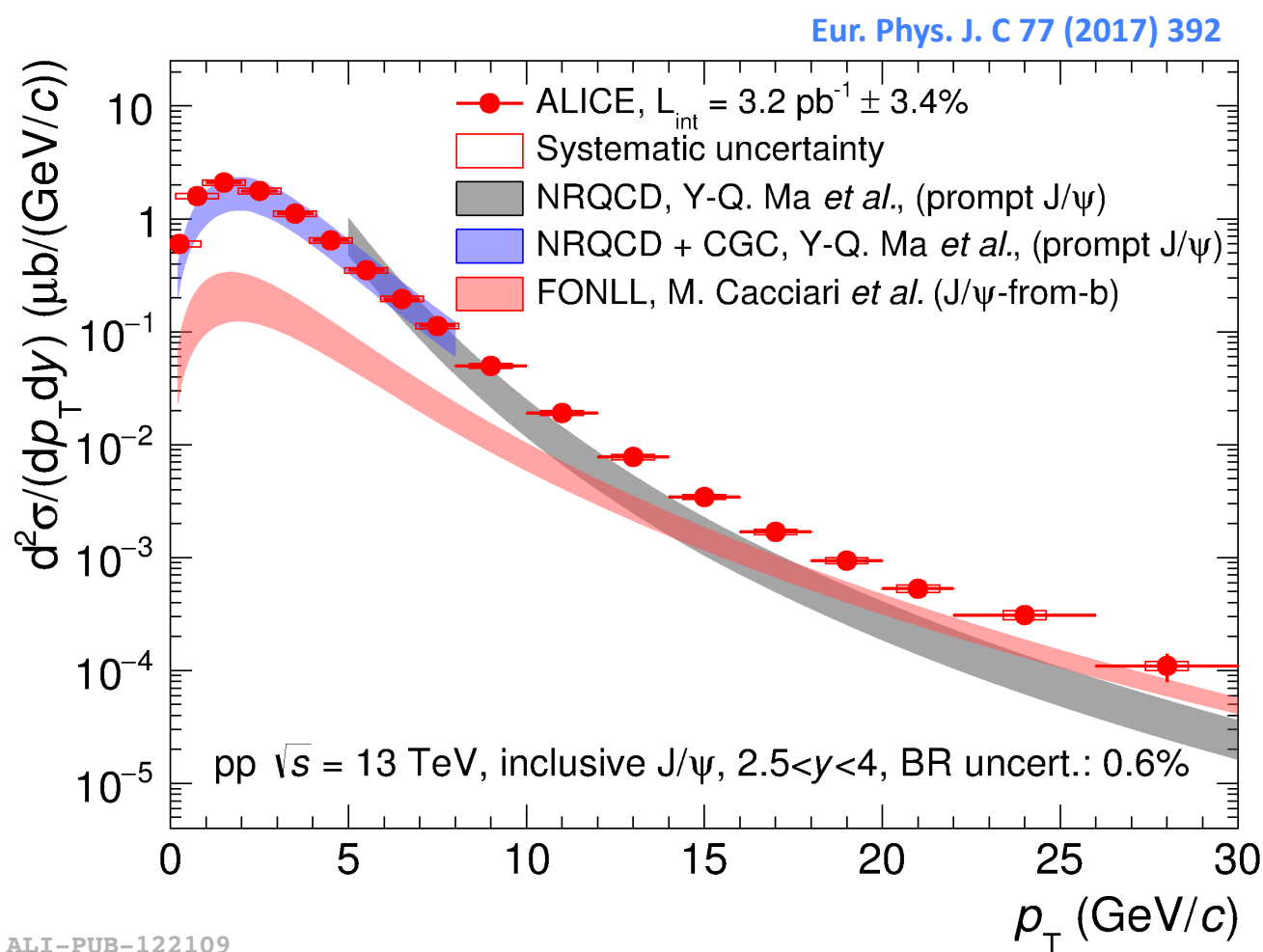
(Phys Rev D79 (2009) 034009)

- \* calculation based on  $k_T$  factorization instead of collinear one

- \* compatible results with data for  $p_T < 2 \text{ GeV}/c$  and  $p_T > 10 \text{ GeV}/c$

# HF and quarkonia production in pp collisions

- \* Test of the theoretical approaches for open heavy-flavor and quarkonium production in **pp collisions**.



ALI-PUB-122109

NRQCD: Ma, Wang and Chao, PRL 106 (2011) 040202

NRQCD+CGC: Ma and Venugopalan, PRL 113 (2014) 192301

FONLL: Cacciari et al, JHEP 1210 (2012) 137

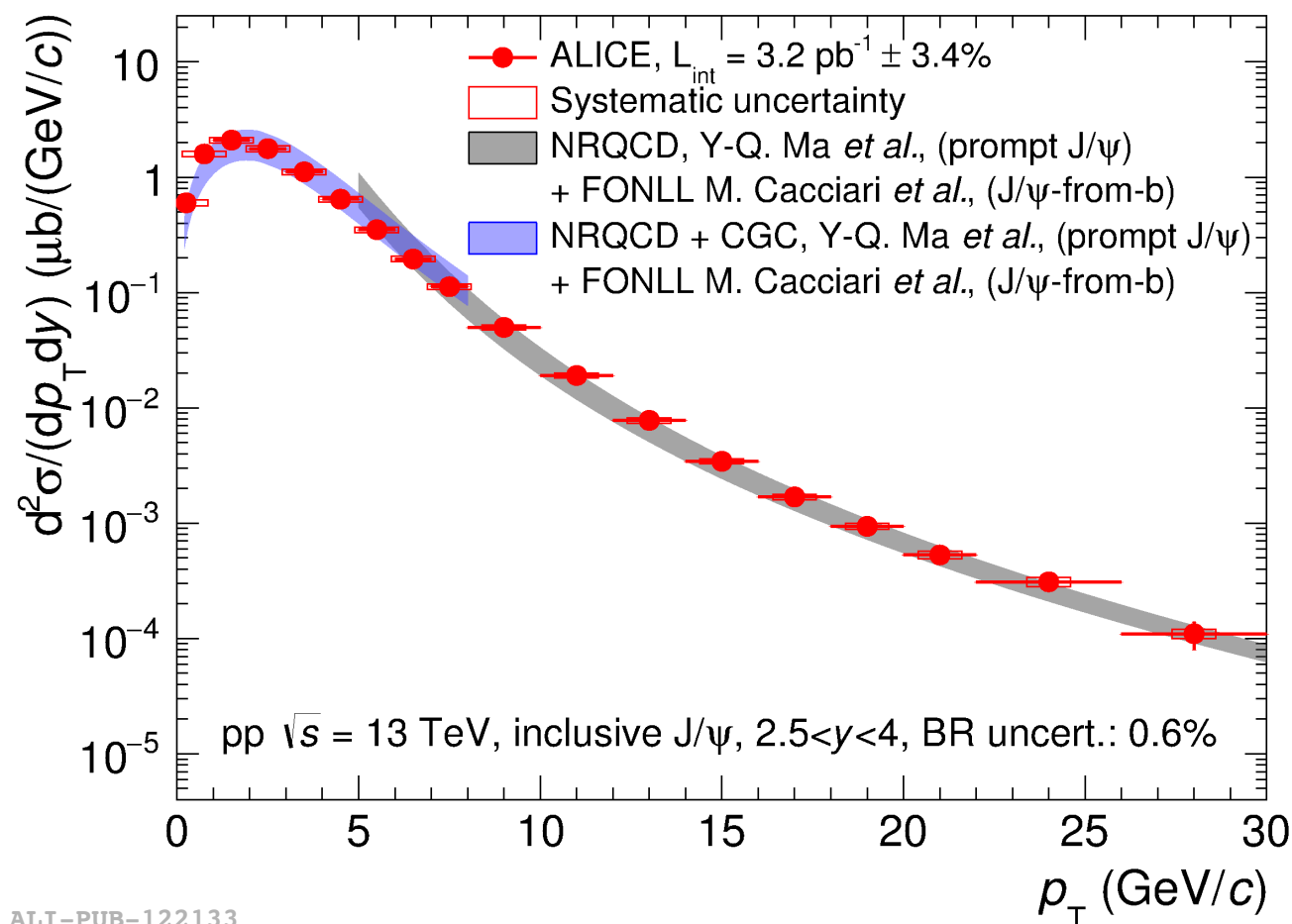
## \* NRQCD

- \* coupled with CGC description of the proton at low- $p_T$
- \* at low- $p_T$ , small contribution from non-prompt J/ψ (< 10%)
- \* at high- $p_T$  non-prompt J/ψ constitute a sizable contribution to the inclusive cross section

# HF and quarkonia production in pp collisions

- \* Test of the theoretical approaches for open heavy-flavor and quarkonium production in **pp collisions**.

Eur. Phys. J. C 77 (2017) 392



ALI-PUB-122133

NRQCD: Ma, Wang and Chao, PRL 106 (2011) 040202

NRQCD+CGC: Ma and Venugopalan, PRL 113 (2014) 192301

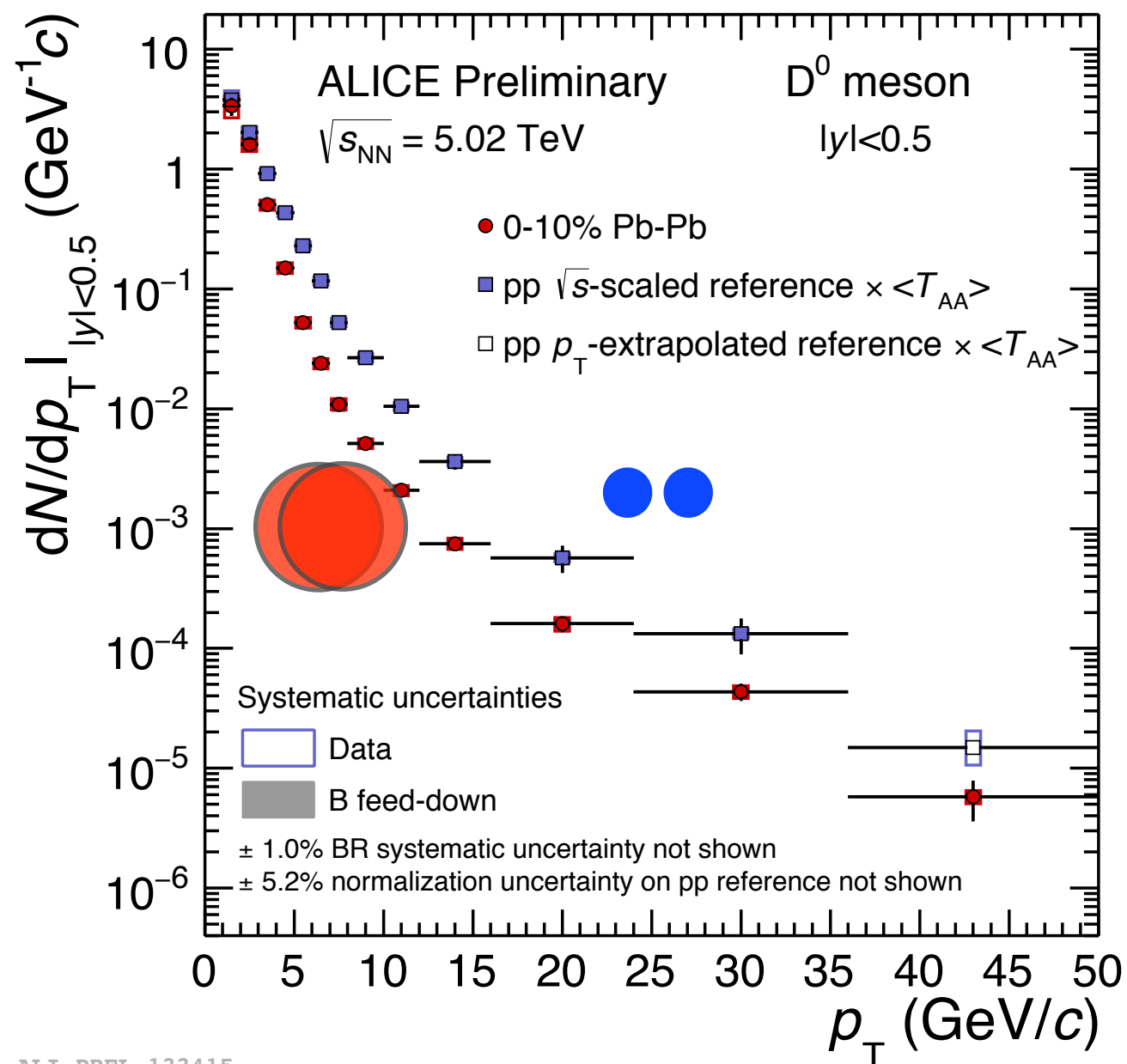
FONLL: Cacciari et al, JHEP 1210 (2012) 137

## \* NRQCD

- \* coupled with CGC description of the proton at low- $p_T$
- \* at low- $p_T$ , small contribution from non-prompt J/psi ( $< 10\%$ )
- \* at high- $p_T$  non-prompt J/psi constitute a sizable contribution to the inclusive cross section
- \* summing NRQCD and FONLL, good agreement with the data over the full  $p_T$  range

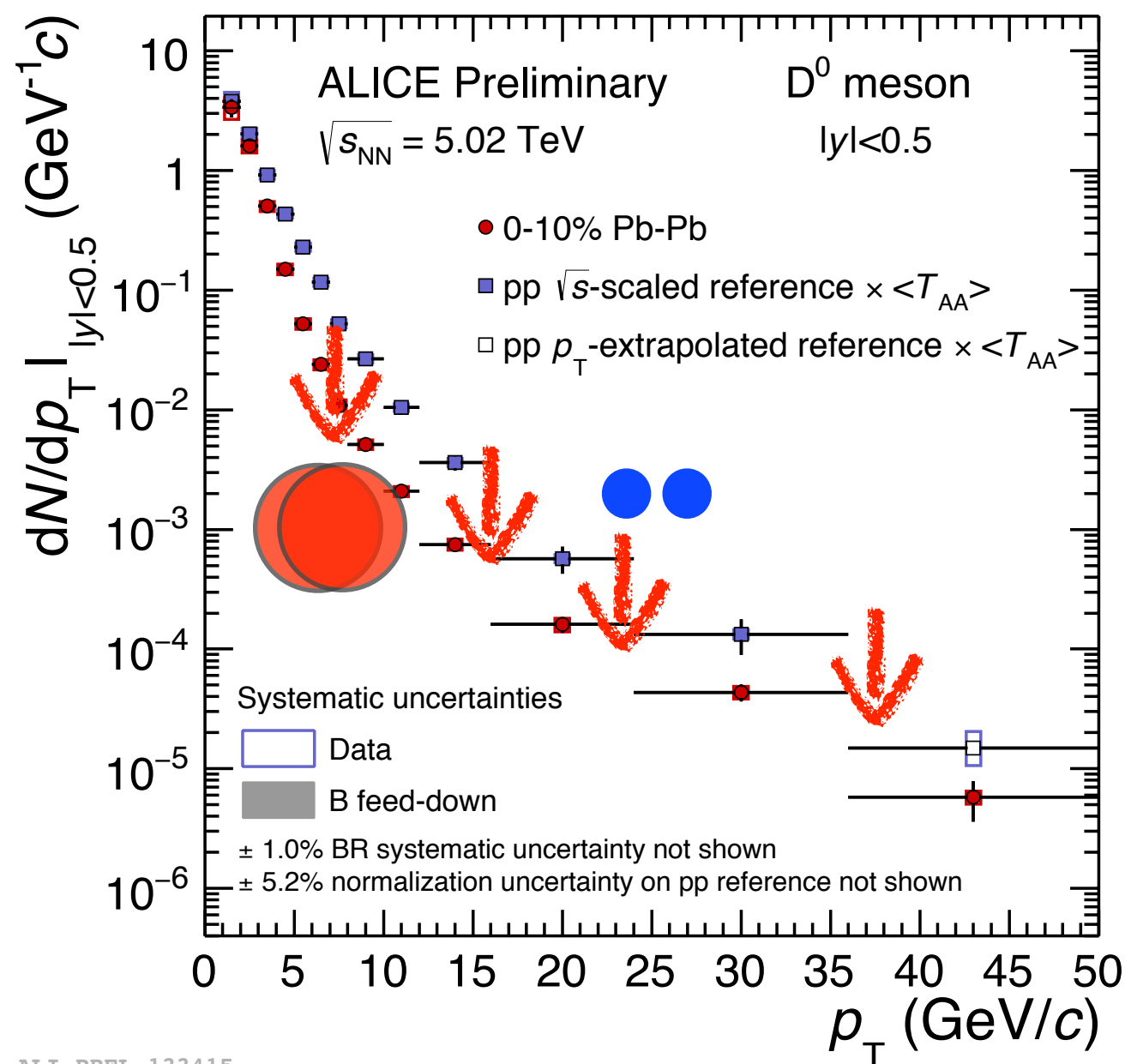
# Open HF production in Pb-Pb collisions

- \* Measurement of D-meson production in **Pb-Pb collisions** at  $\sqrt{s_{NN}} = 5.02$  TeV
- \* compared with **pp collisions** scaled by the number of binary collisions.



# Open HF production in Pb-Pb collisions

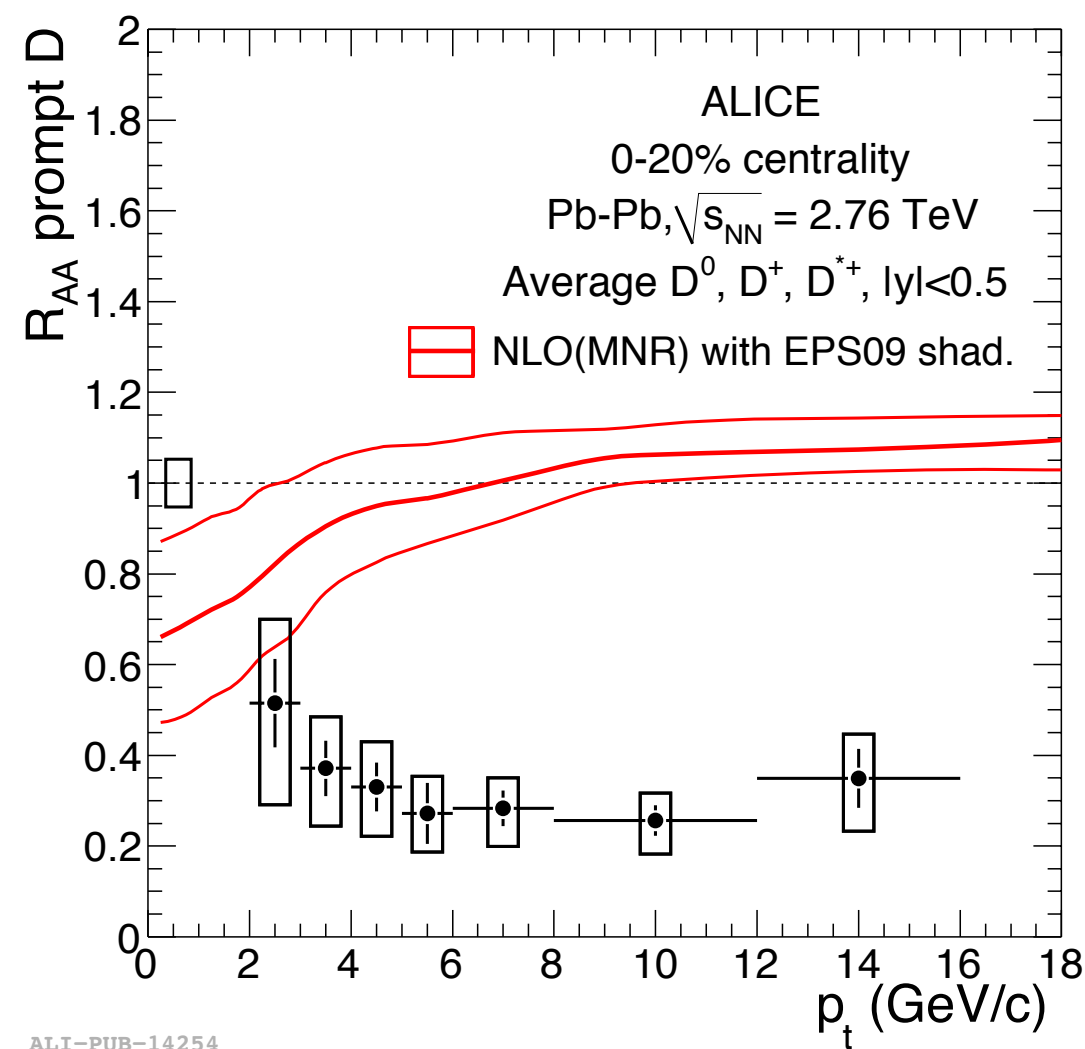
- \* Measurement of D-meson production in **Pb-Pb collisions** at  $\sqrt{s_{NN}} = 5.02$  TeV
- \* compared with **pp collisions** scaled by the number of binary collisions.



- \* Reduction of the yields in **Pb-Pb collisions** with respect to **pp collisions** scaled ones

# Open HF production in Pb-Pb collisions

- \* First D-meson  $R_{AA}$  measurement at LHC by ALICE, published in 2012.

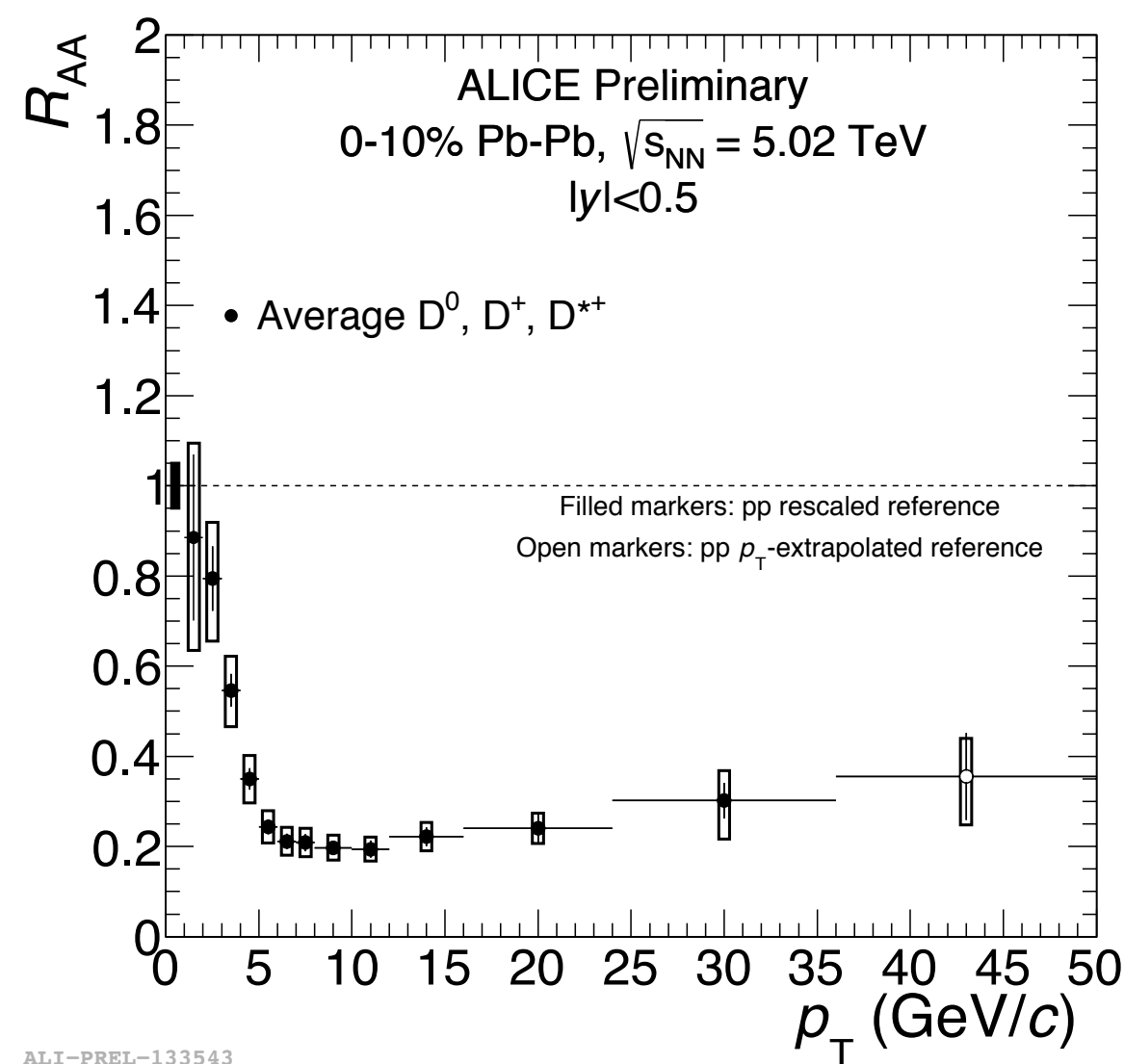


JHEP 9 (2012) 112



# Open HF production in Pb-Pb collisions

- \* First D-meson  $R_{AA}$  measurement at LHC by ALICE, published in 2012.
- \* More precise measurements with Run2 data and improved kinematic reach.

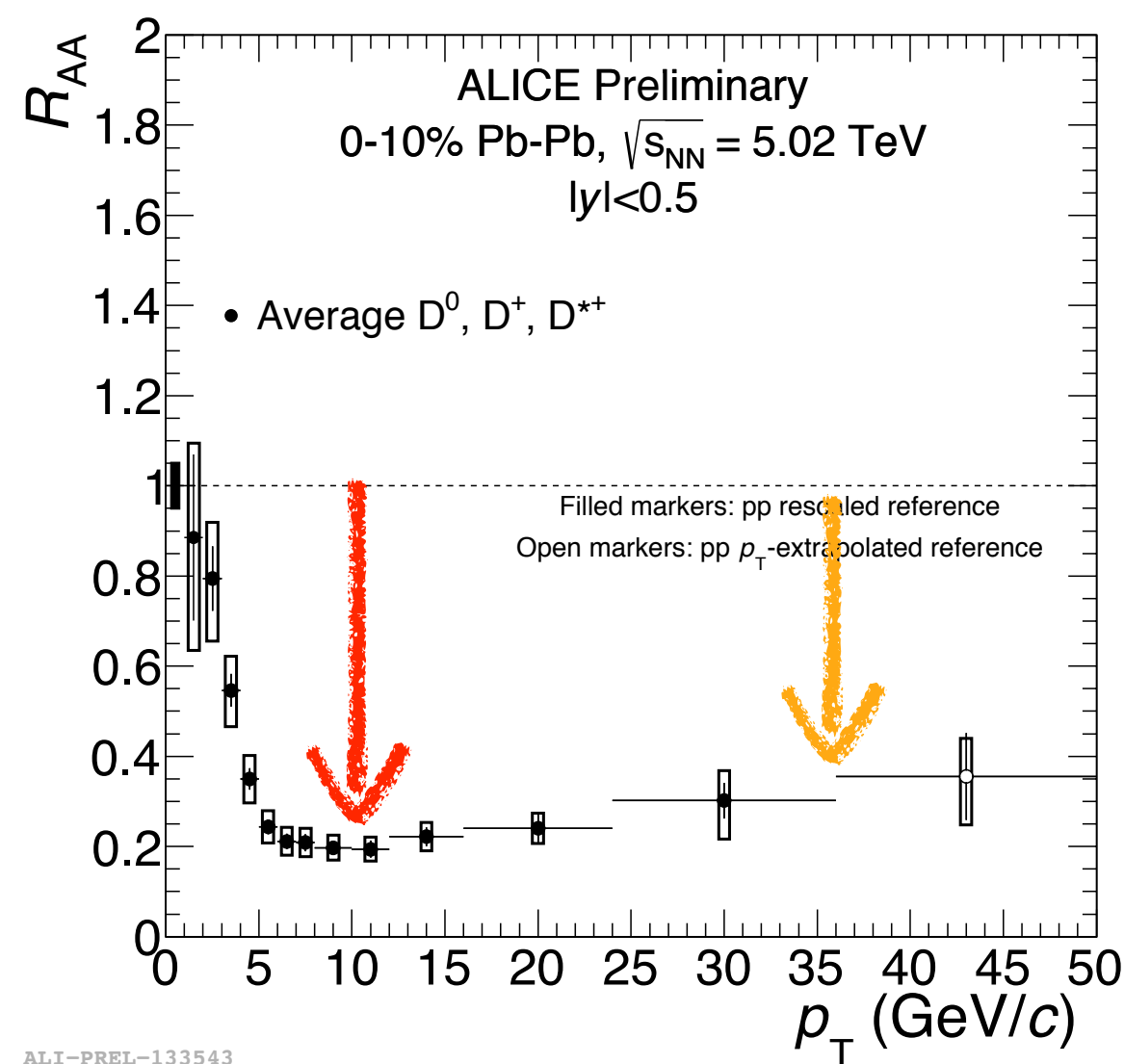


ALI-PREL-133543

ALICE-PUB 2017 005

# Open HF production in Pb-Pb collisions

- \* First D-meson  $R_{AA}$  measurement at LHC by ALICE, published in 2012.
- \* More precise measurements with Run2 data and improved kinematic reach.
- \* Strong suppression observed:
  - \* ~ factor 5 for  $p_T \sim 10$  GeV/c
  - \* ~ factor 3 for  $p_T \sim 30$  GeV/c

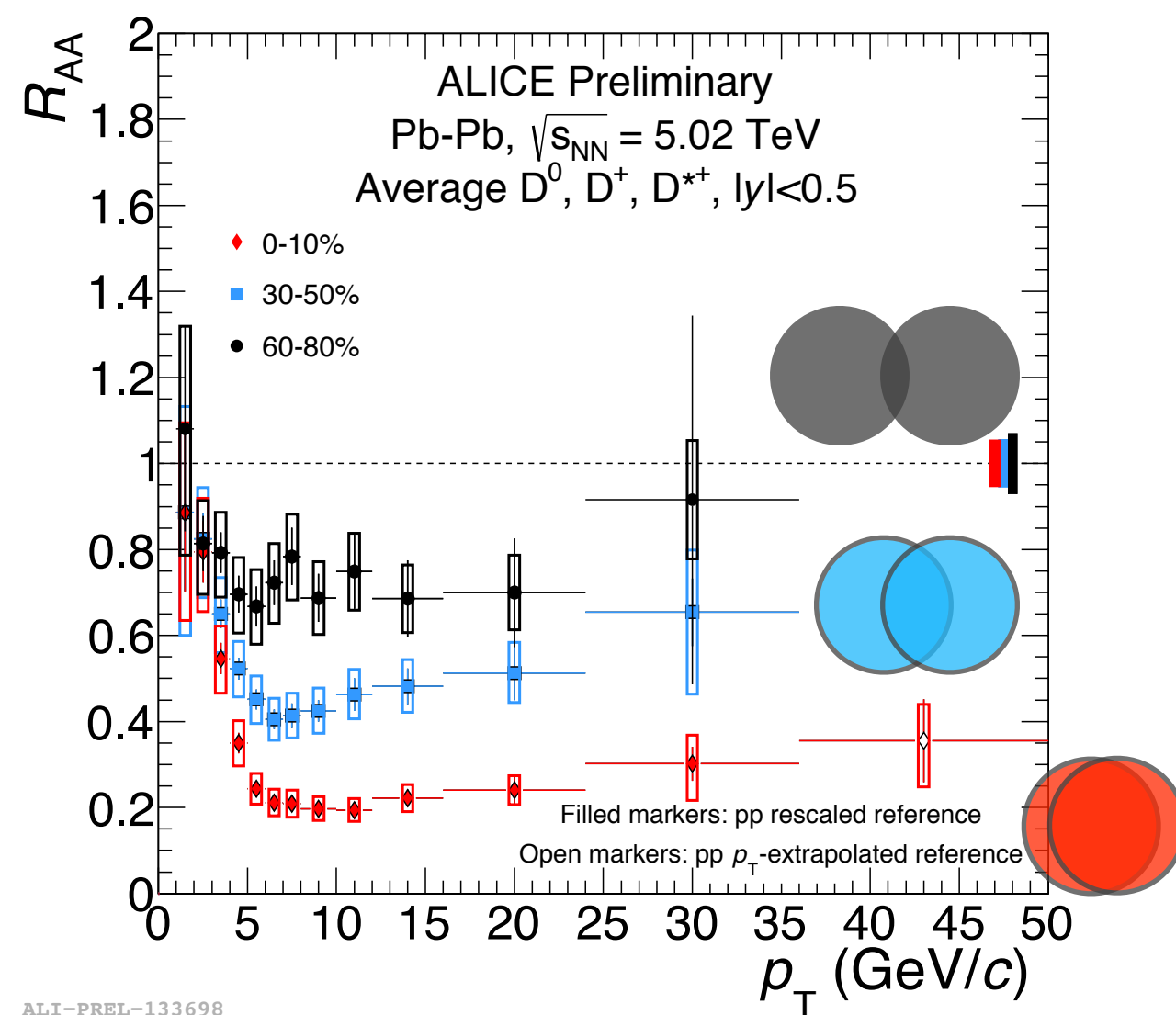


ALI-PREL-133543

ALICE-PUB 2017 005

# Open HF production in Pb-Pb collisions

- \* First D-meson  $R_{AA}$  measurement at LHC by ALICE, published in 2012.
- \* More precise measurements with Run2 data and improved kinematic reach.
- \* Strong suppression observed:
  - \*  $\sim$  factor 5 for  $p_T \sim 10$  GeV/c
  - \*  $\sim$  factor 3 for  $p_T \sim 30$  GeV/c
  - \* stronger suppression for more central events

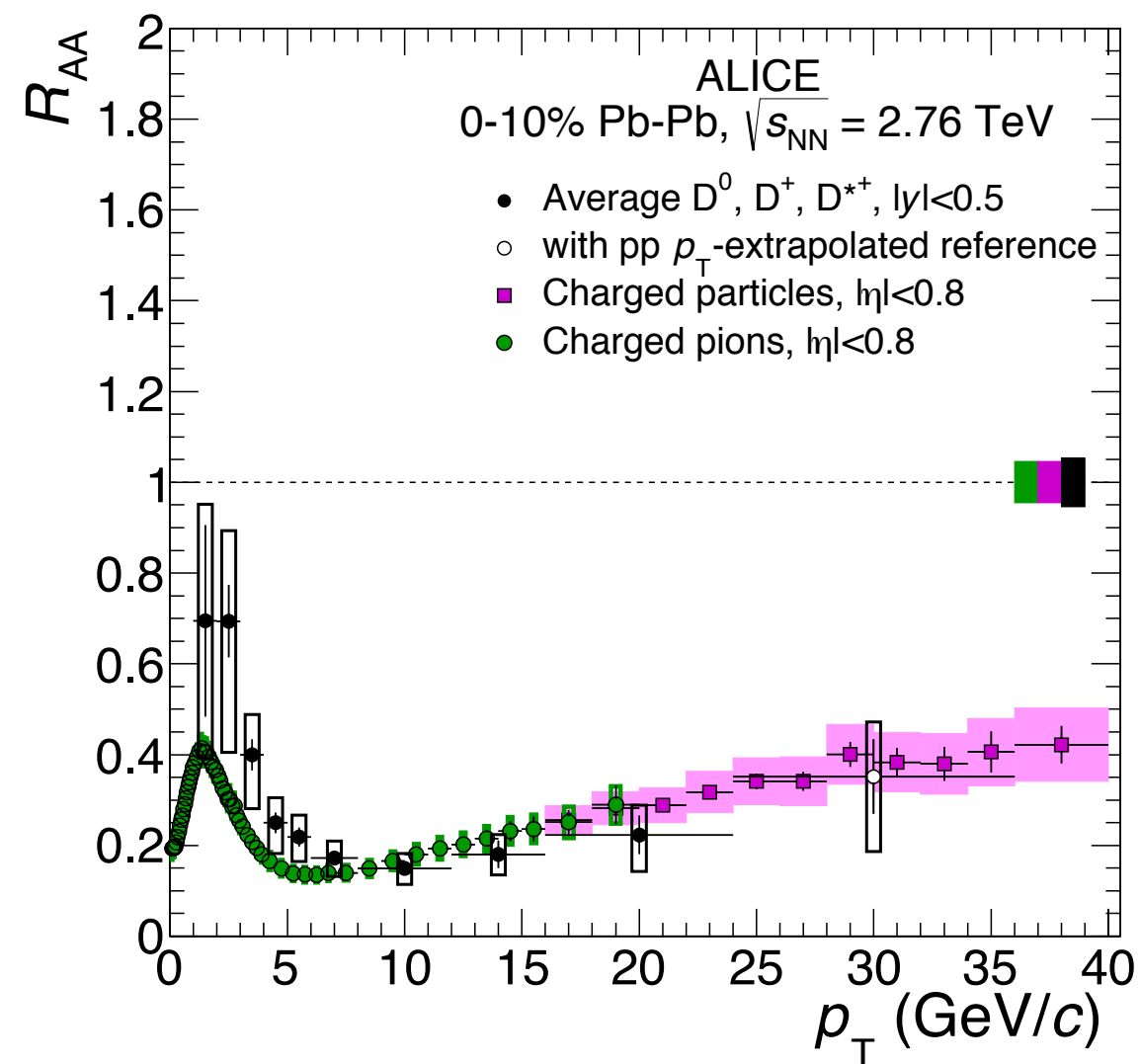


ALI-PREL-133698

ALICE-PUB 2017 005

# Open HF production in Pb-Pb collisions

- \* First D-meson  $R_{AA}$  measurement at LHC by ALICE, published in 2012.
- \* More precise measurements with Run2 data and improved kinematic reach.
- \* Strong suppression observed:
  - \*  $\sim$  factor 5 for  $p_T \sim 10$  GeV/c
  - \*  $\sim$  factor 3 for  $p_T \sim 30$  GeV/c
  - \* stronger suppression for more central events
- \* At high- $p_T$  similar suppression for D mesons and pions
- \* At low- $p_T$  uncertainties are too large to conclude on possible energy loss mass effect

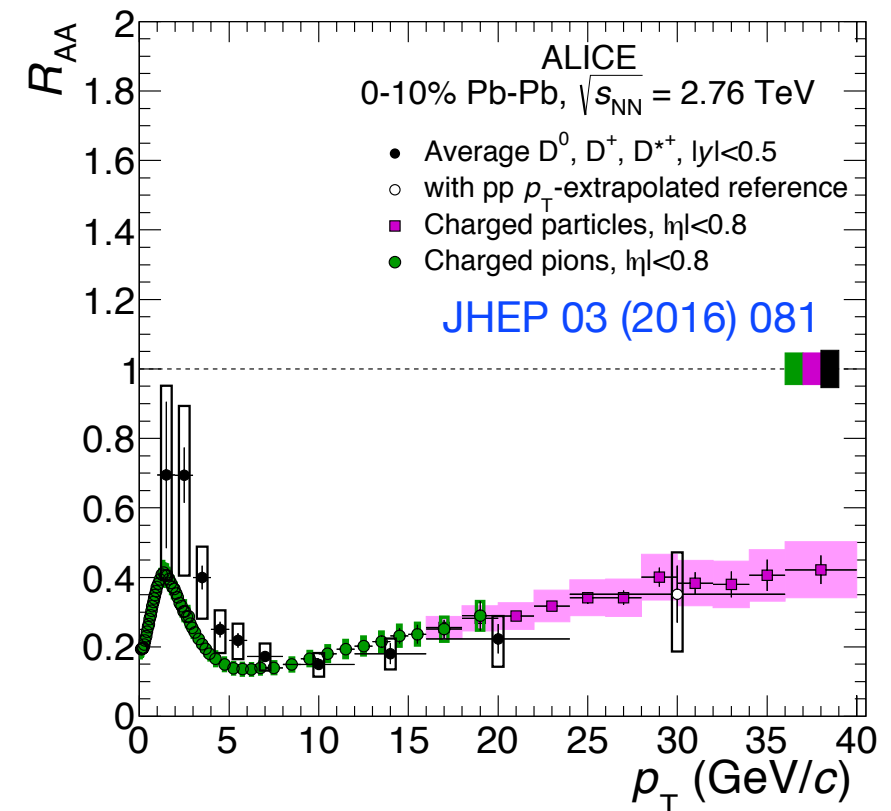


JHEP 03 (2016) 081

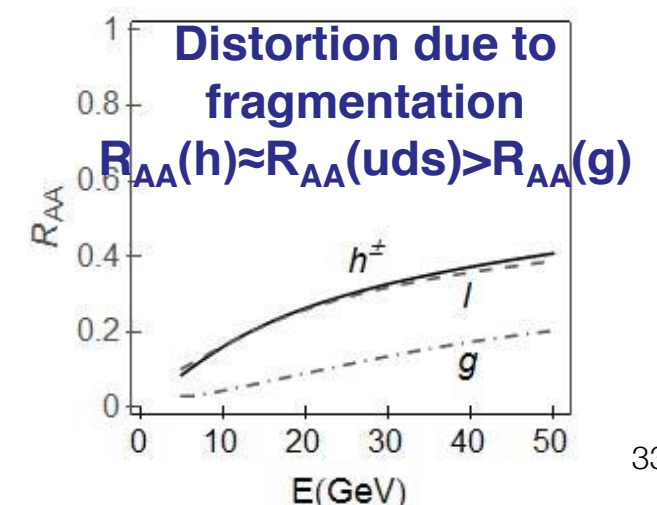
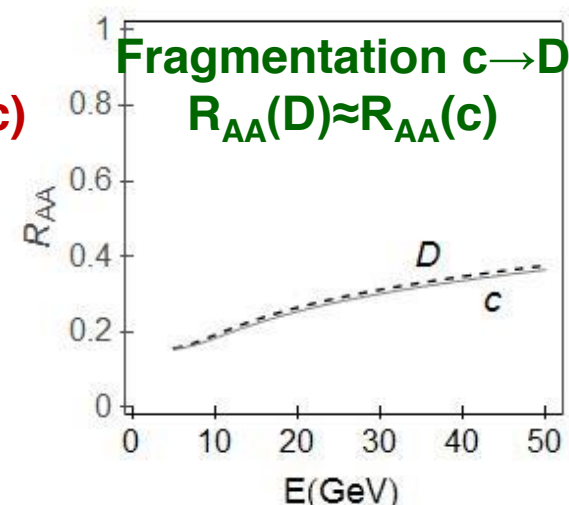
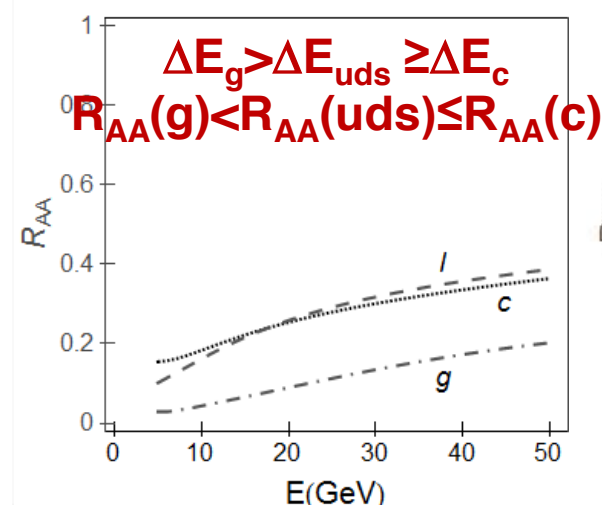
# Charm vs light quarks

$$\Delta E(\text{light}) > \Delta E(c) > \Delta E(b) \xrightarrow{?} R_{AA}(\pi) < R_{AA}(D) < R_{AA}(B)$$

- \* At high- $p_T$  similar suppression for D mesons and pions
- \* Described by models that consider:
  - \* mass and color dependence of the energy loss
  - \* different momentum spectra for light, heavy quarks and gluons
  - \* harder fragmentation function for charm than for light quarks and gluons



Djordjevic, Djordjevic, PRL 112 (2014) 042302

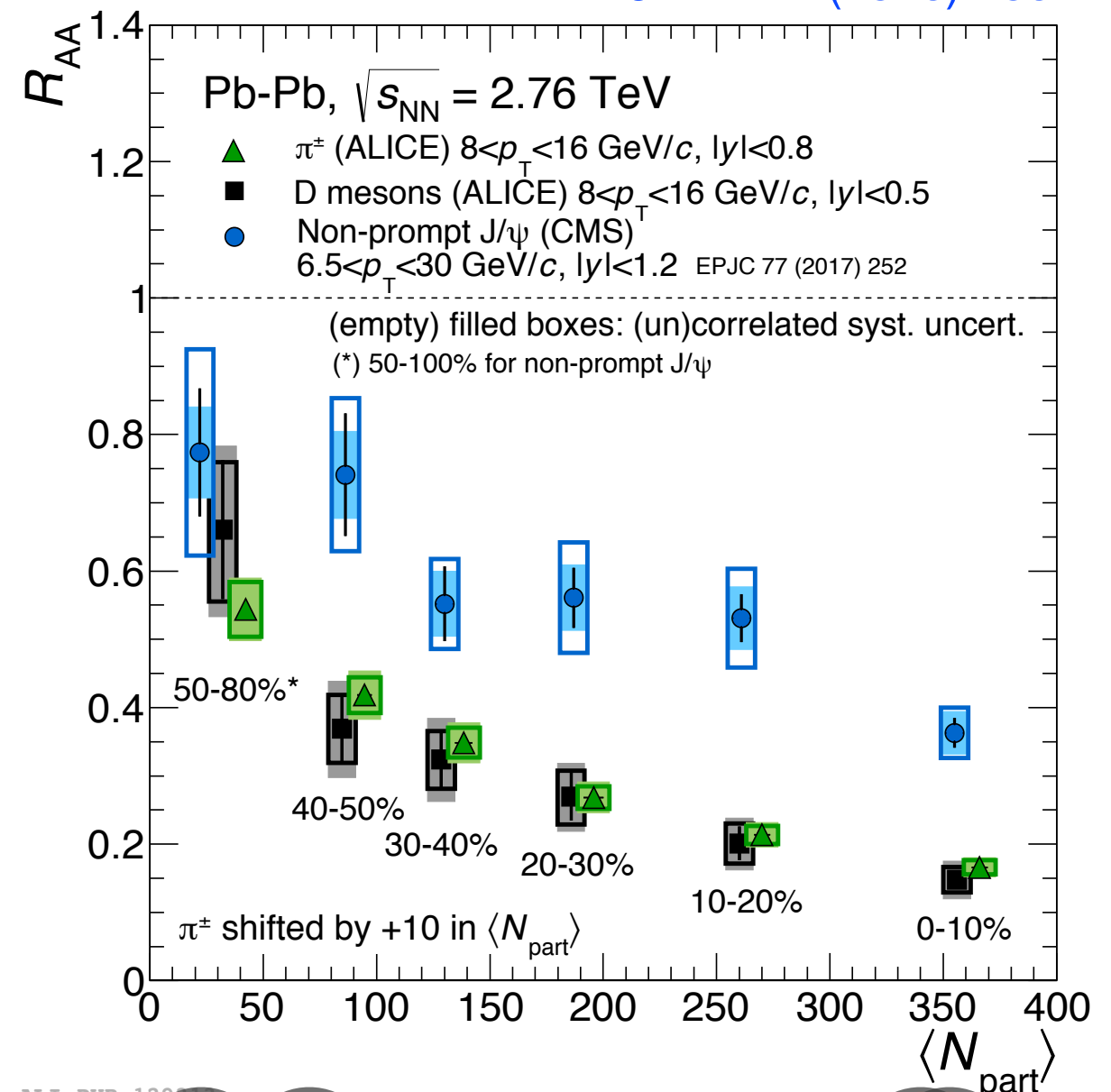


# Charm vs Beauty quarks

$$\Delta E(\text{light}) > \Delta E(c) > \Delta E(b) \stackrel{?}{\rightarrow} R_{AA}(\pi) < R_{AA}(D) < R_{AA}(B)$$

JHEP 11 (2015) 205

- \* ALICE D-mesons results compared with CMS non-prompt J/ψ in a similar kinematic range:
- \* central rapidity region
- \* B and D mesons  $\langle p_T \rangle \sim 10$  GeV/c
- \* Indication of larger suppression for D mesons than B mesons
- \*  $R_{AA}(B) > R_{AA}(D)$



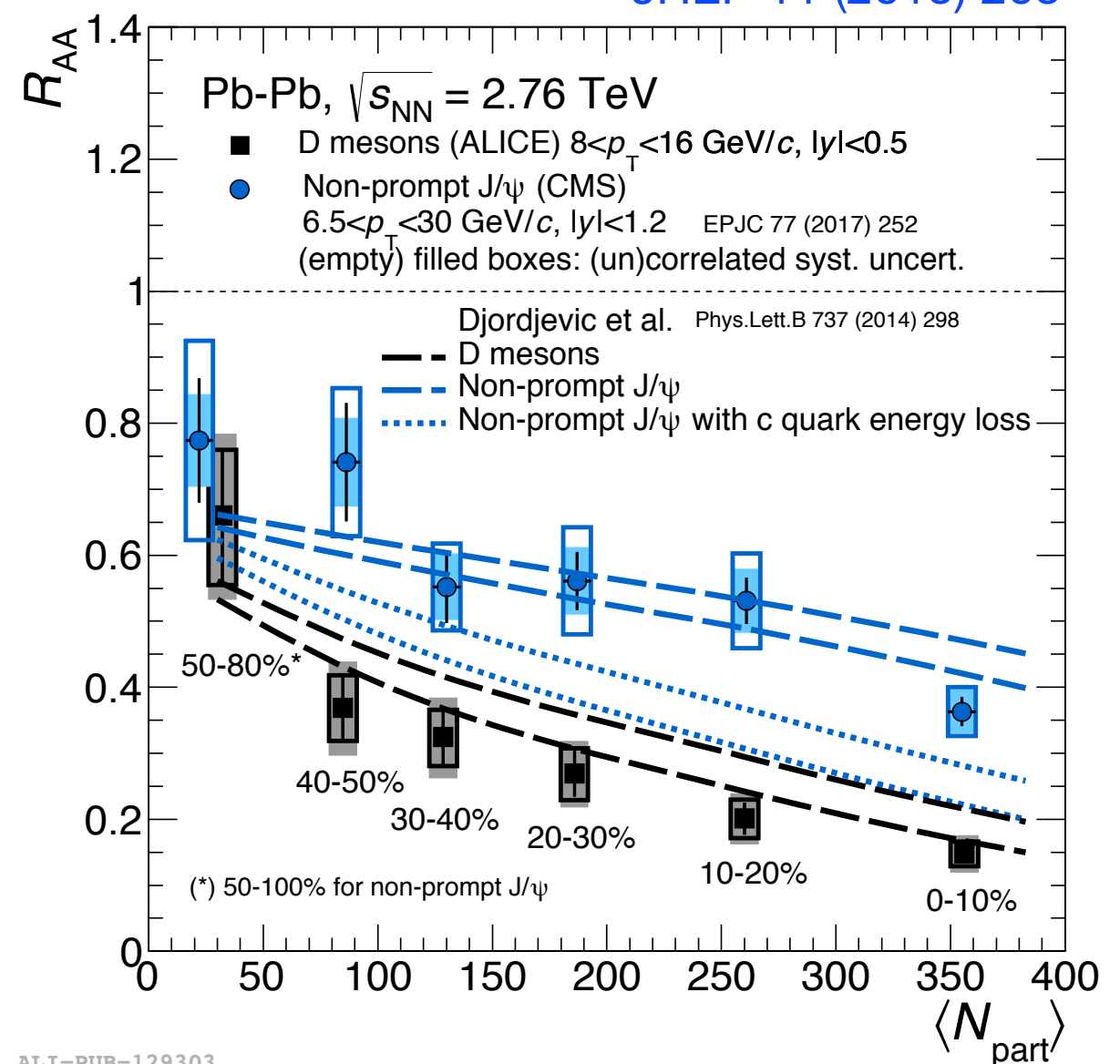


# Charm vs Beauty quarks

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JHEP 11 (2015) 205

- \* ALICE D-mesons results compared with CMS non-prompt J/ψ in a similar kinematic range:
- \* central rapidity region
- \* B and D mesons  $\langle p_T \rangle \sim 10$  GeV/c
- \* Indication of larger suppression for D mesons than B mesons
- \*  $R_{AA}(B) > R_{AA}(D)$
- \* Models that include mass dependence of the energy loss quantify a difference in the  $R_{AA}$  of D and B mesons

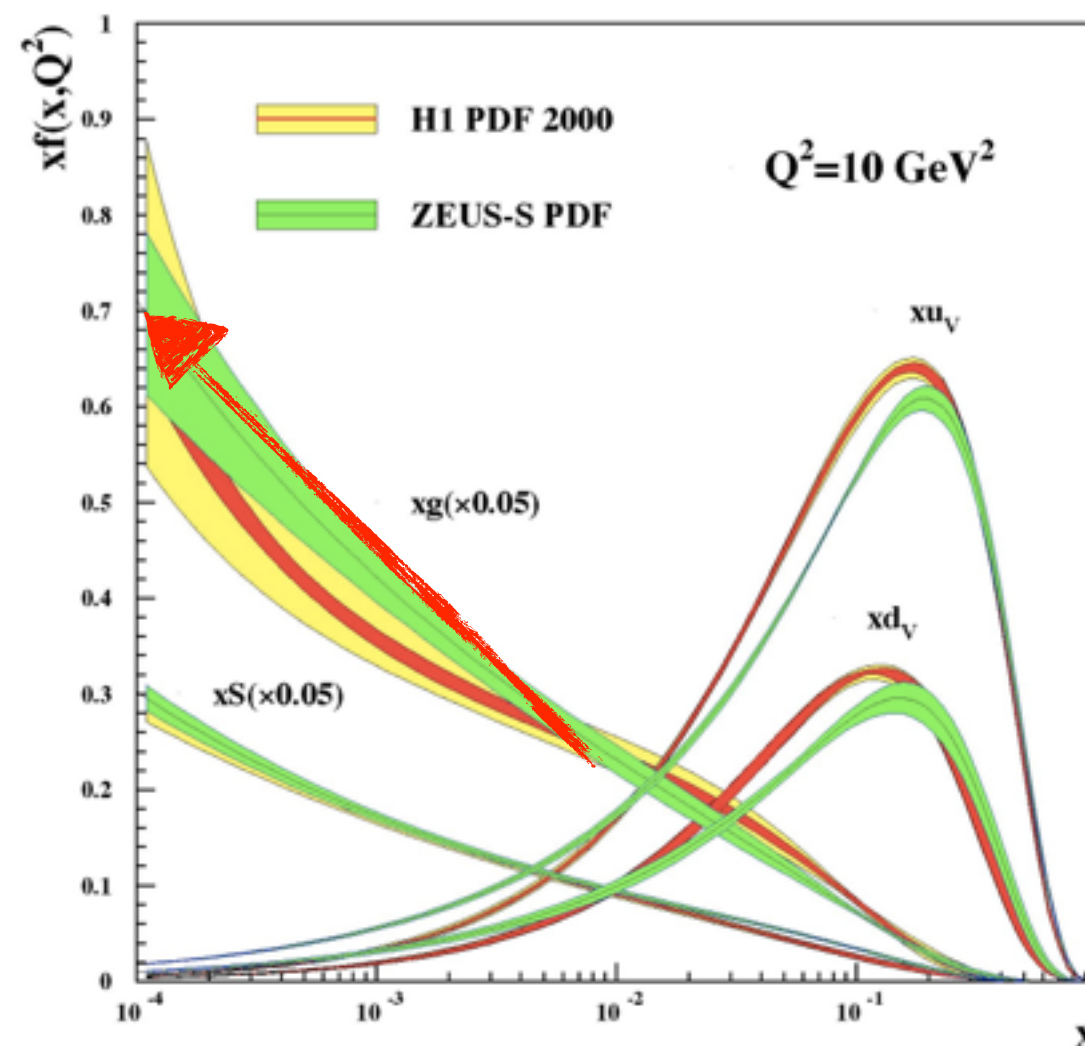


ALI-PUB-129303

# About p-A collisions

- \* The observed suppression might come from effects related to the cold nuclear matter? Modification of the partons distributions in the nuclei

- \* Bjorken  $x$  probed with HF production at the LHC  $< 10^{-2}$  (usually called small- $x$ )
- \* **Strong rise of the gluons density** in the nucleus for this regime (factor  $A^{1/3} \sim 6$ ).
- \* QCD regime where gluons are dense and “extended”, they can overlap  $\rightarrow$  **Saturation**



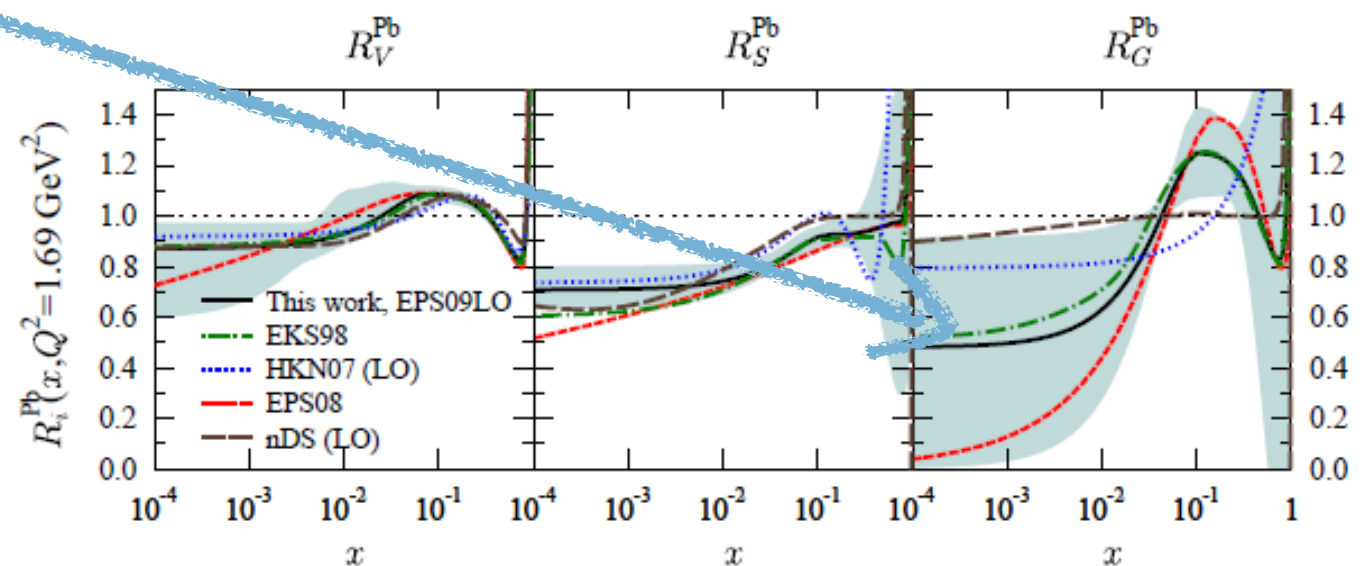
Bjorken  $x$  is the fraction of momentum of the nucleon carried by a parton

# About p-A collisions

- \* The observed suppression might come from effects related to the cold nuclear matter? Modification of the partons distributions in the nuclei
- \* **Shadowing**: parton densities in nuclei are depleted with respect to free partons (“low-x gluon fusion”).

$$xG_A(x, Q^2) = A xg(x, Q^2) R_G^A(x, Q^2)$$

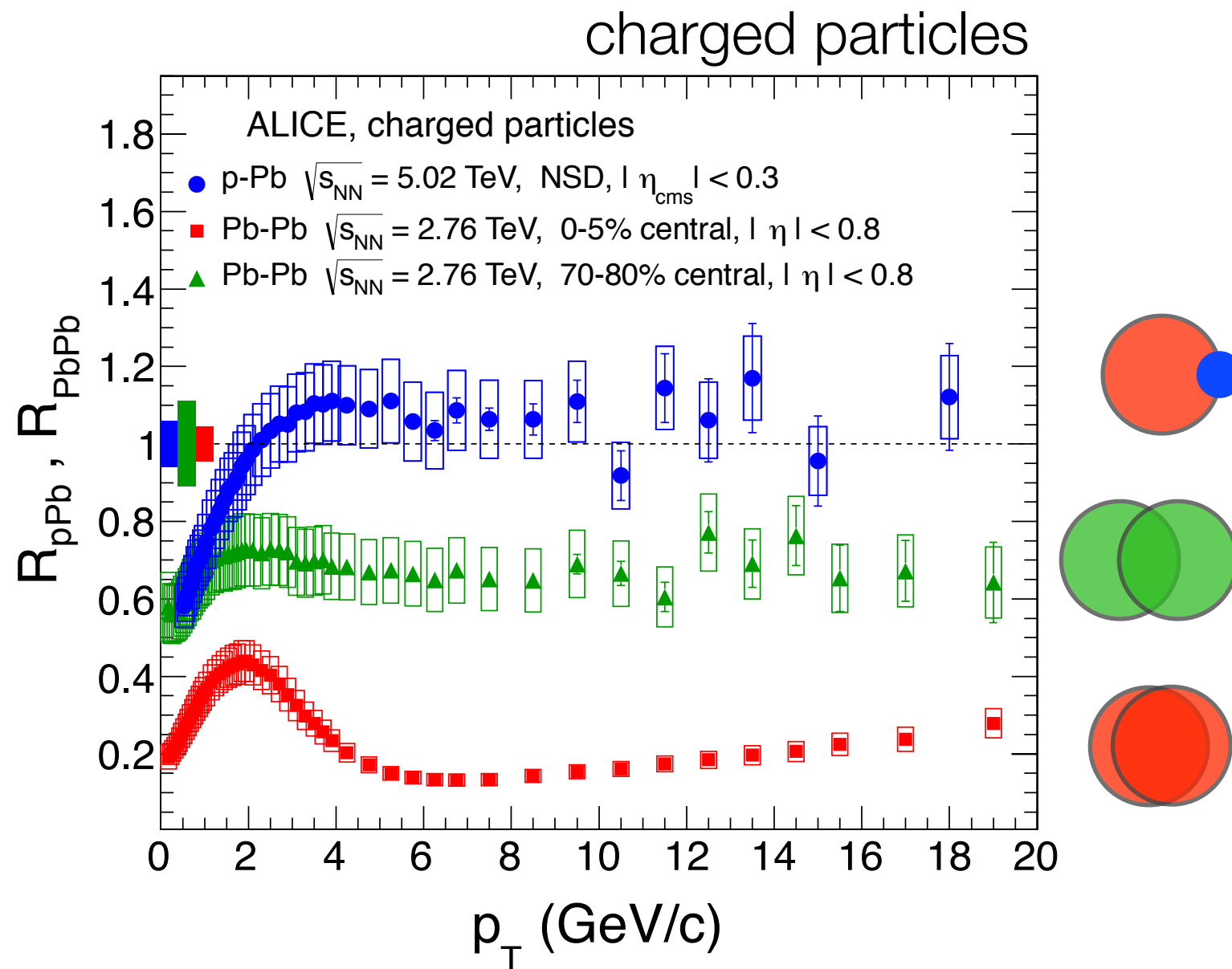
- \* Most of the low-x data are in non-perturbative range
- \* Difficult to constrain the pQCD calculations
- \* Large uncertainties on  $R_G^A(x, Q^2)$



see e.g. Eskola et al. JHEP0904(2009)065

# Control experiments

- \* No high- $p_T$  suppression is observed in **pA collisions**.
- \* This is actually observed for
  - \* High- $p_T$  hadrons

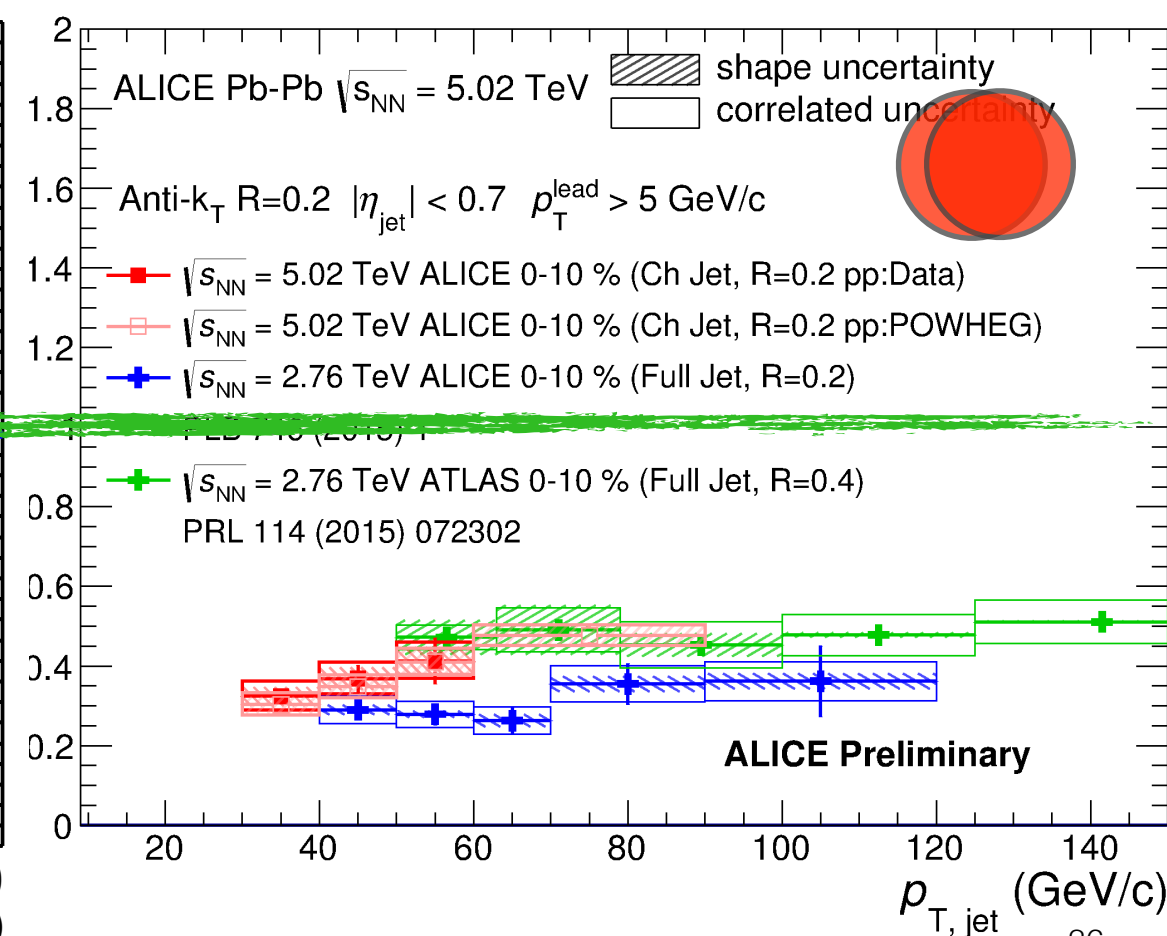
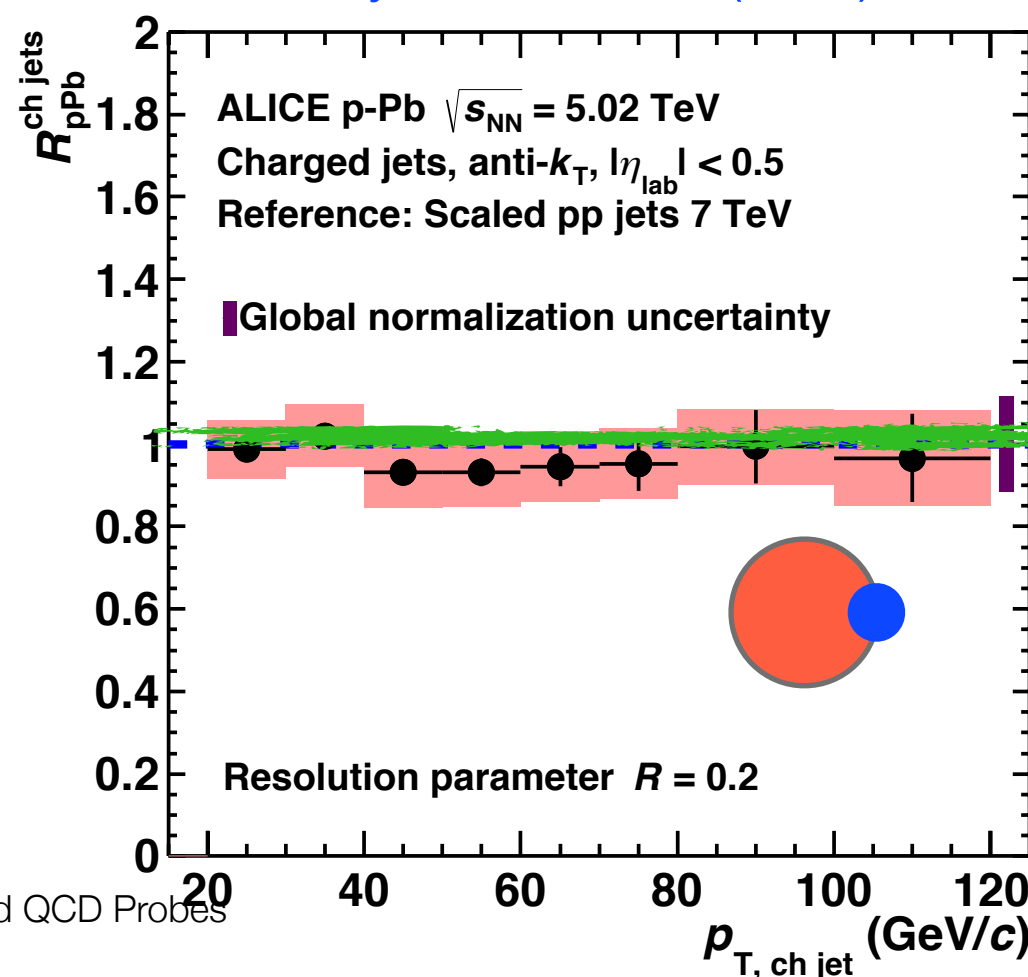


ALICE, PRL110 (2013) 082302

# Control experiments

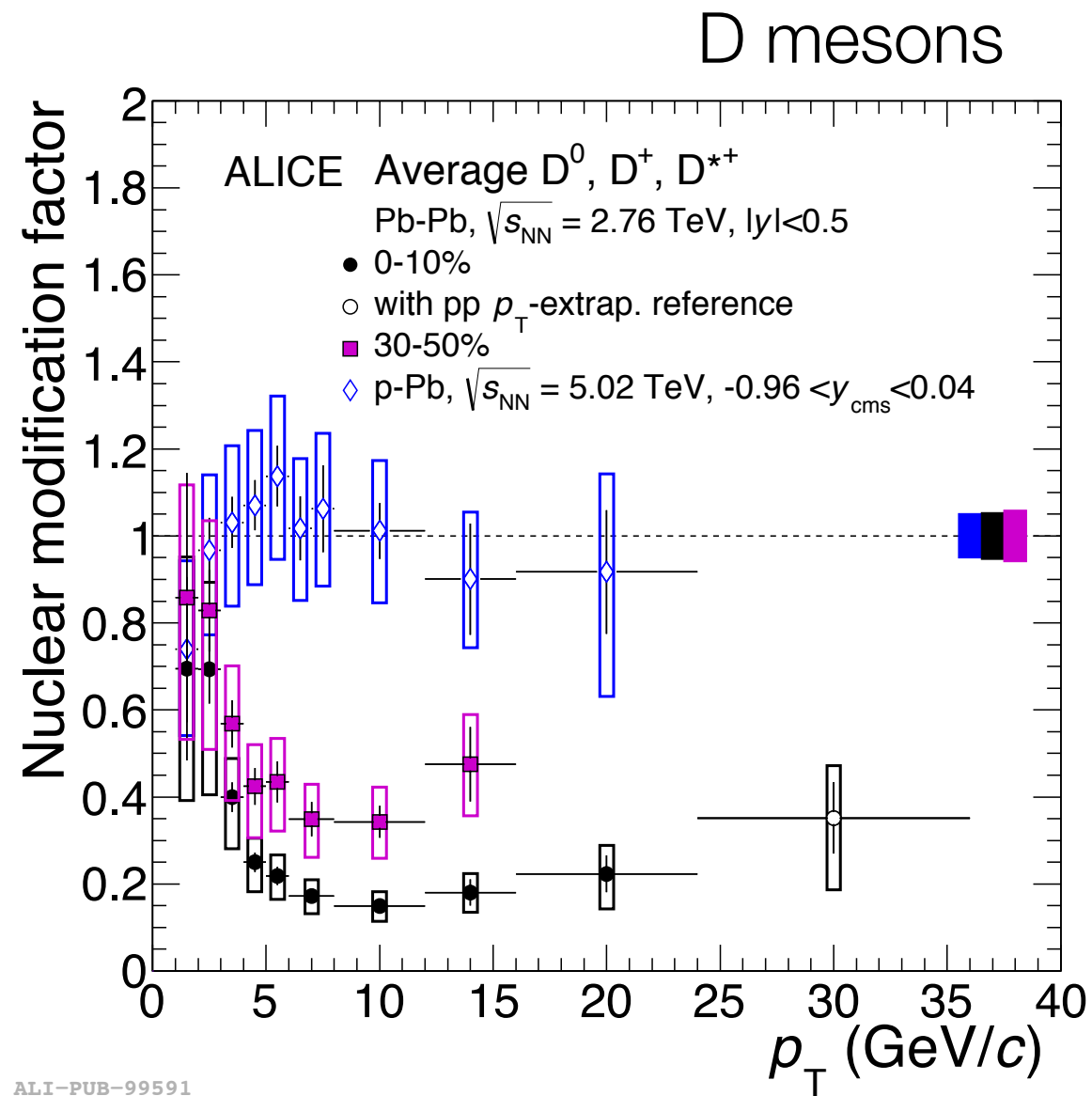
- \* No high- $p_T$  suppression is observed in **pA collisions**.
- \* This is actually observed for
  - \* High- $p_T$  hadrons
  - \* Jets

Phys. Lett. B 749 (2015) 68-81



# Control experiments

- \* No high- $p_T$  suppression is observed in **pA collisions**.
- \* This is actually observed for
  - \* High- $p_T$  hadrons
  - \* Jets
  - \* Heavy flavor production



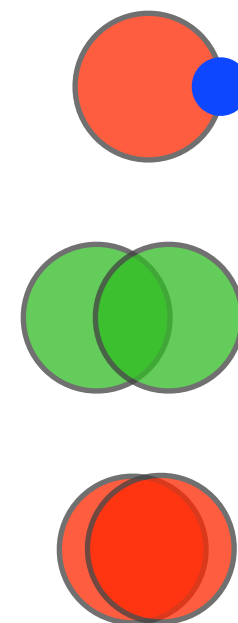
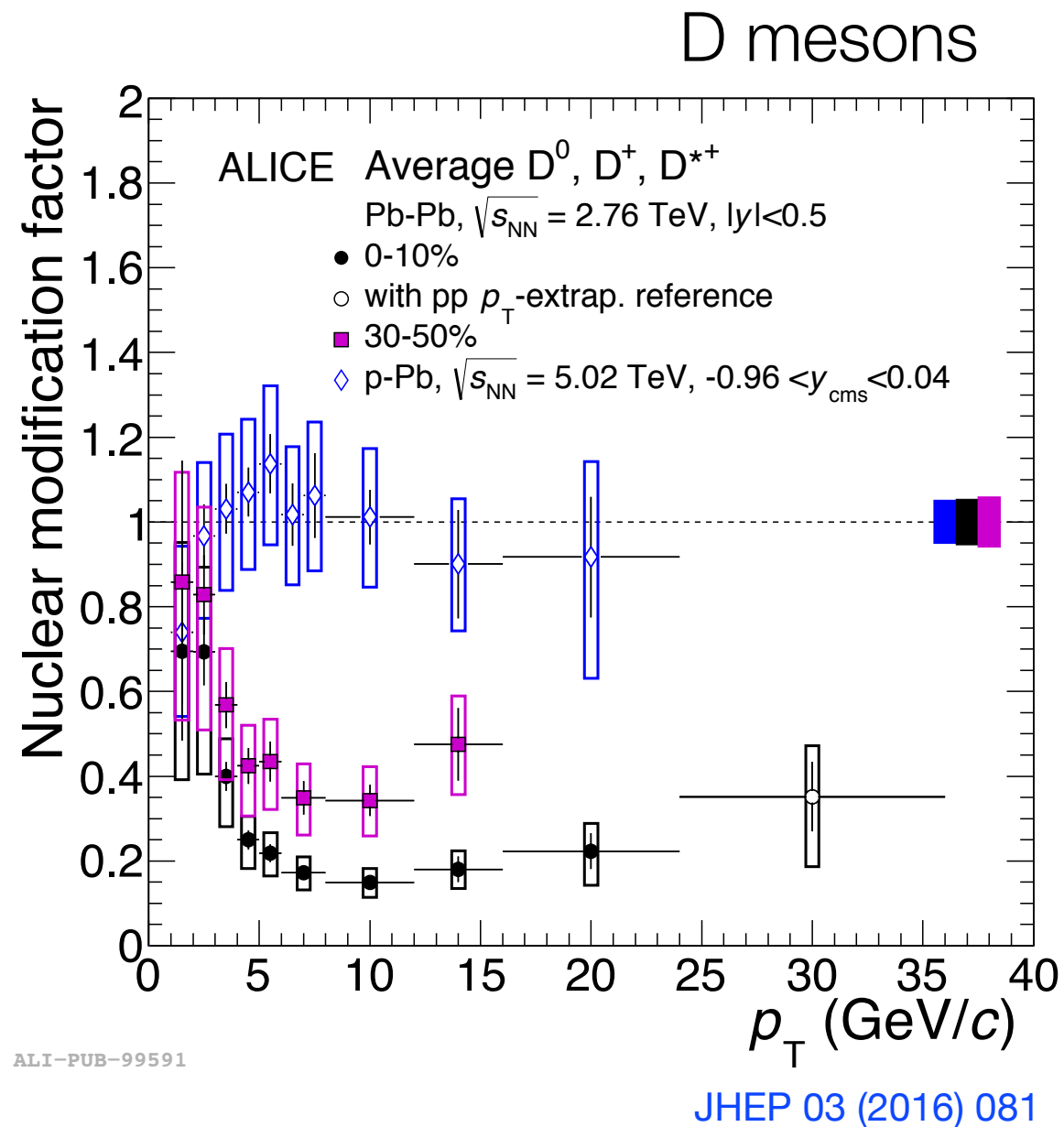
ALI-PUB-99591

JHEP 03 (2016) 081



# Control experiments

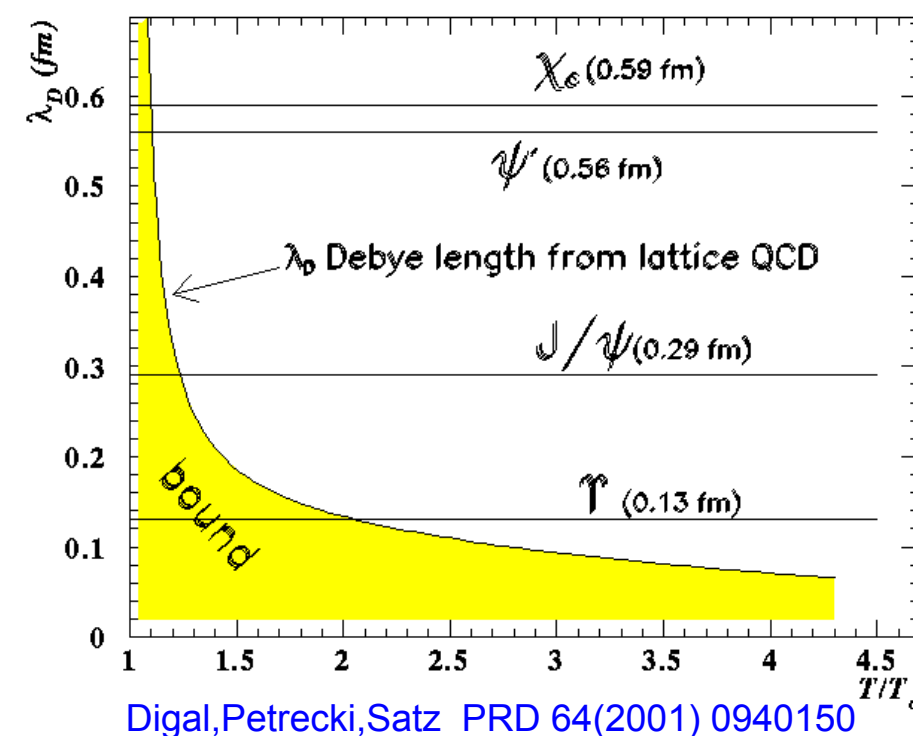
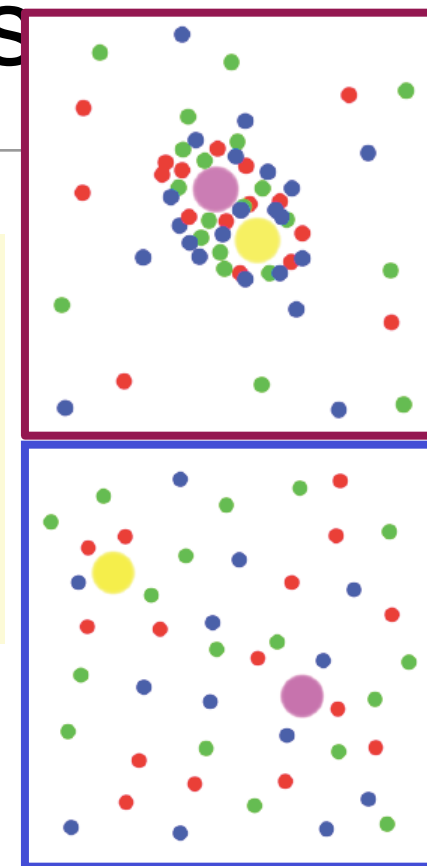
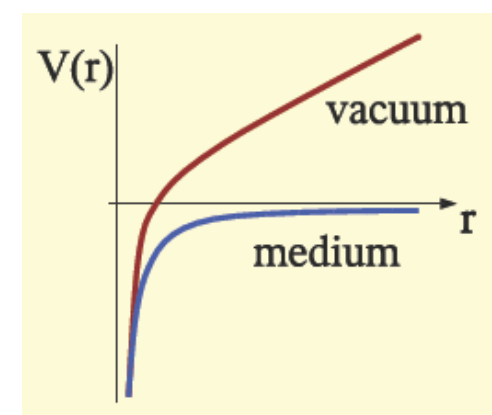
- \* No high- $p_T$  suppression is observed in **pA collisions**.
- \* This is actually observed for
  - \* High- $p_T$  hadrons
  - \* Jets
  - \* Heavy flavor production
- \* The suppression of high- $p_T$  hadrons, jets and D mesons observed in central AA collisions comes from a final-state effect(\*).



(\*) not the case for quarkonia as we will see later

# Quarkonia suppression in Pb-Pb collisions

- \* J/ $\psi$  suppression proposed as QGP signature by Matsui and Satz in 1986.  
Matsui, Satz, PLB178 (1986) 416
- \* In the plasma phase the interaction potential is expected to be screened beyond the Debye length  $\lambda_D$  (analogous to the e.m. Debye screening).
- \* Charmonium and bottomonium states with distance  $> \lambda_D$  will not bind, expected suppressed production.
- \*  $\lambda_D$  and therefore which -onium states will be suppressed, depends on the temperature



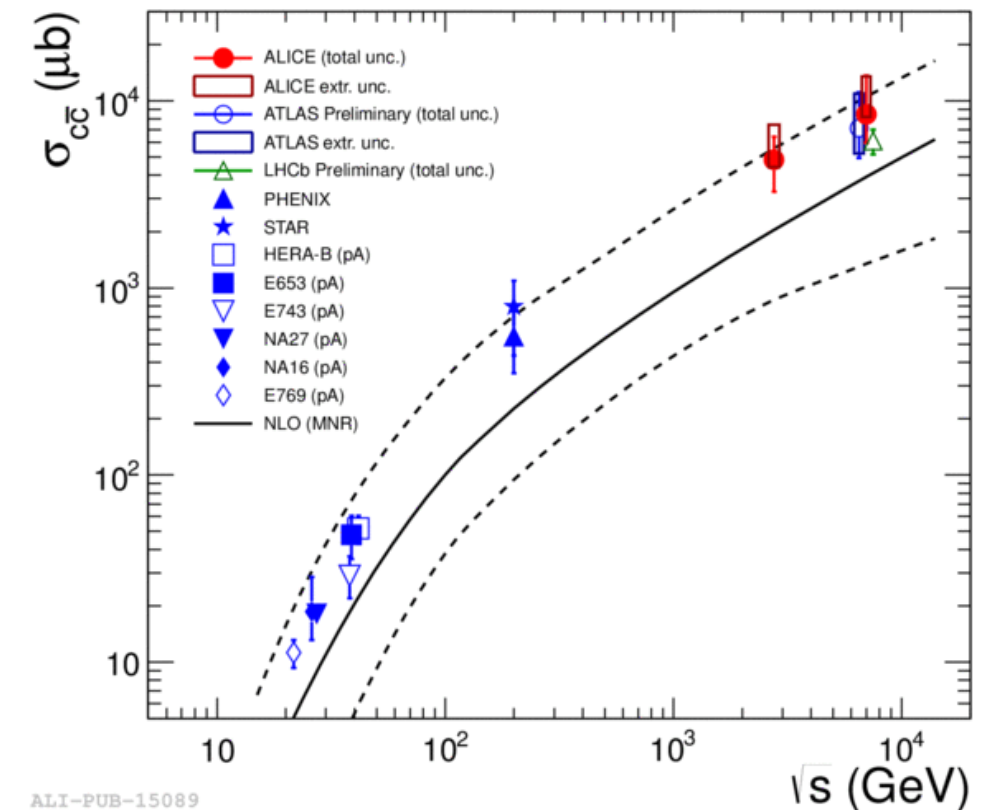
# ... but also maybe regeneration

- \* Uncorrelated c quarks from the medium could bind at the hadronization of the system and form charmonium.
- \* At RHIC and LHC, large number of charm pairs produced in central collisions

$$N_{c\bar{c}} = \frac{\sigma_{c\bar{c}}^{pp}}{\sigma_{inel}^{pp}} \cdot N_{coll} \sim \frac{\sigma_{c\bar{c}}^{pp}}{65 \text{ mb}} \cdot 1600$$

	SPS 20 GeV	RHIC 200 GeV	LHC 2.76 TeV
$N_{c\bar{c}}/\text{event}$	~0.1	~10	~100

- \* Do we have indication that charm quarks take part in the evolution of the system? Thermalization?

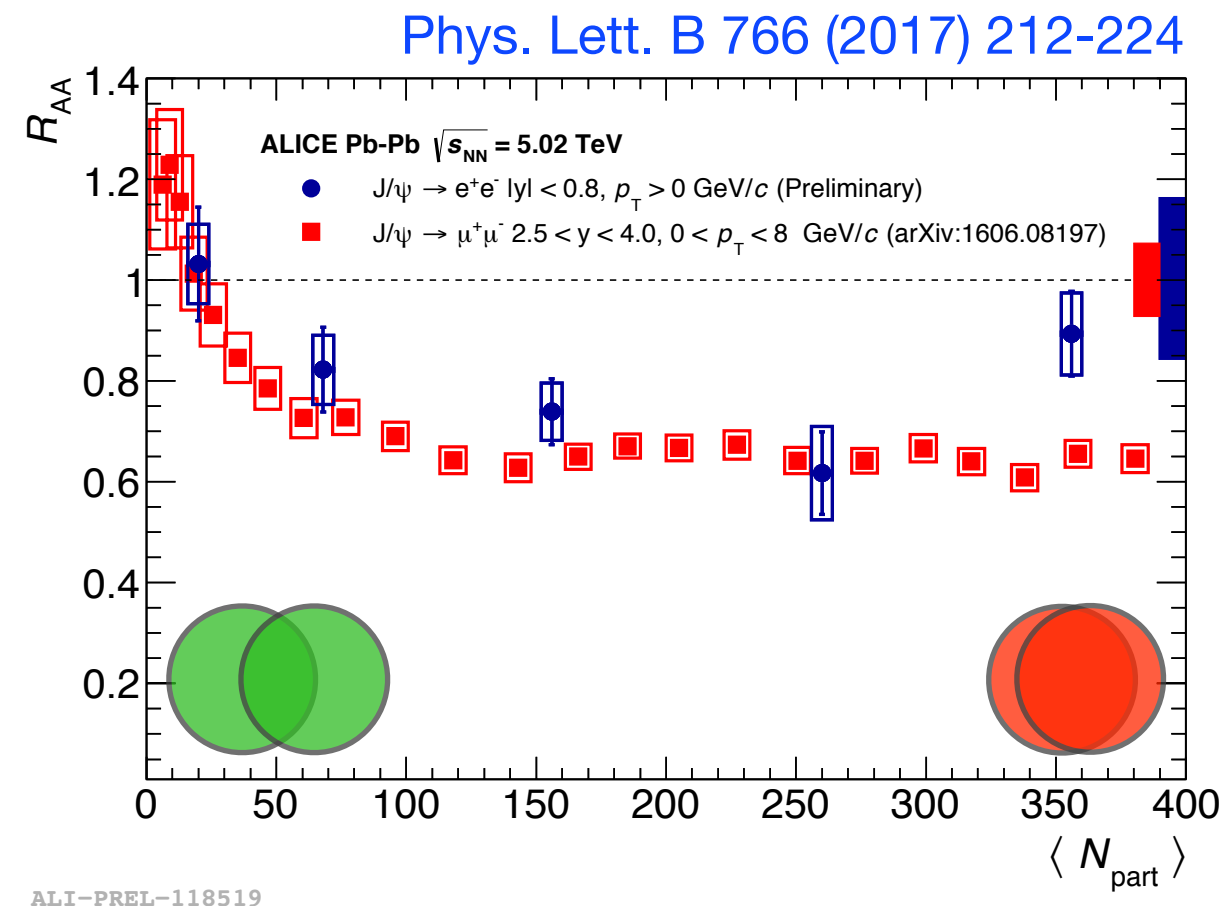


ALI-PUB-15089

JHEP1207 (2012) 191

# $J/\psi$ $R_{AA}$ at $\sqrt{s_{NN}} = 5.02$ TeV

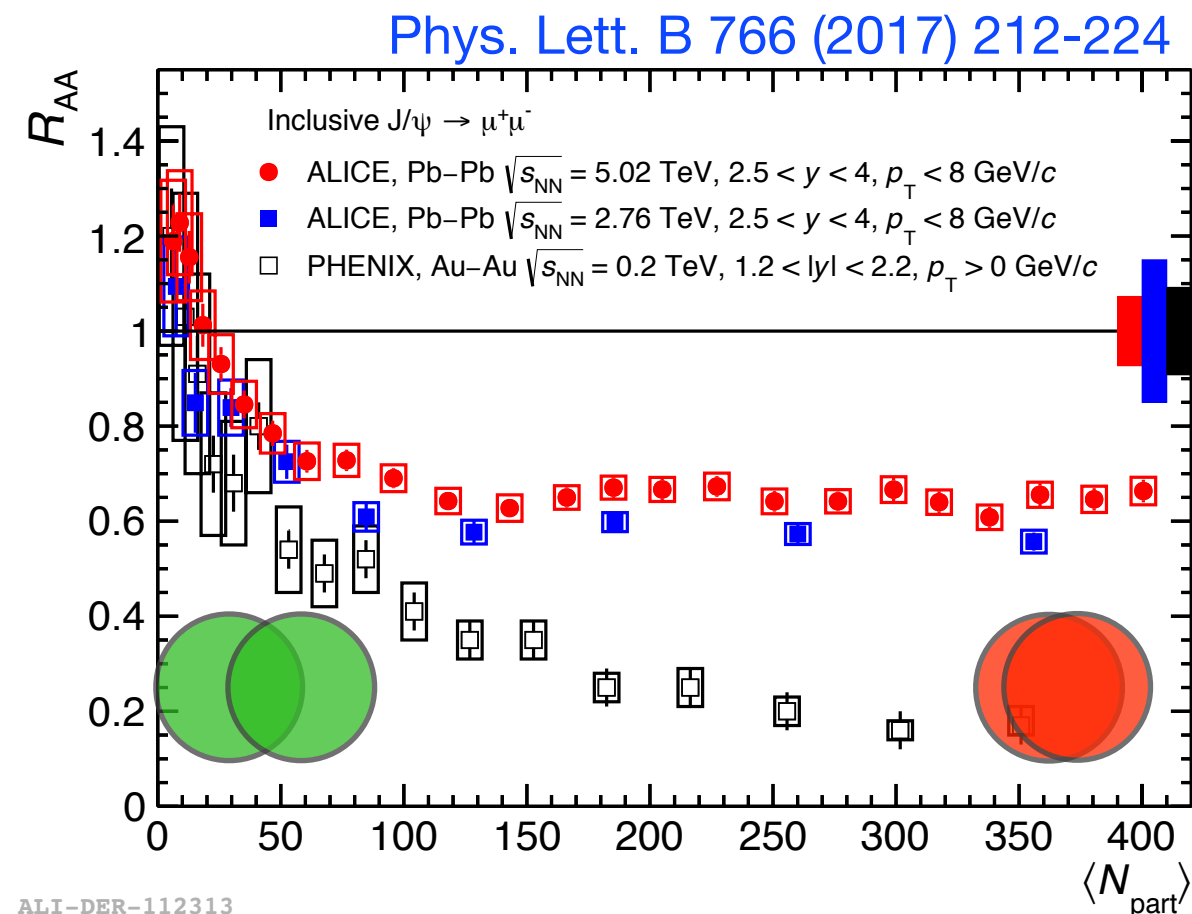
- \* At the low- $p_T$  ( $p_T > 0$ ) :
- \* Constant suppression vs centrality



# J/ψ $R_{AA}$ at $\sqrt{s_{NN}} = 5.02$ TeV

\* At the low- $p_T$  ( $p_T > 0$ ) :

- \* Constant suppression vs centrality
- \* The suppression is smaller than what was observed at lower energy experiments:
- \* predicted signatures for regeneration!





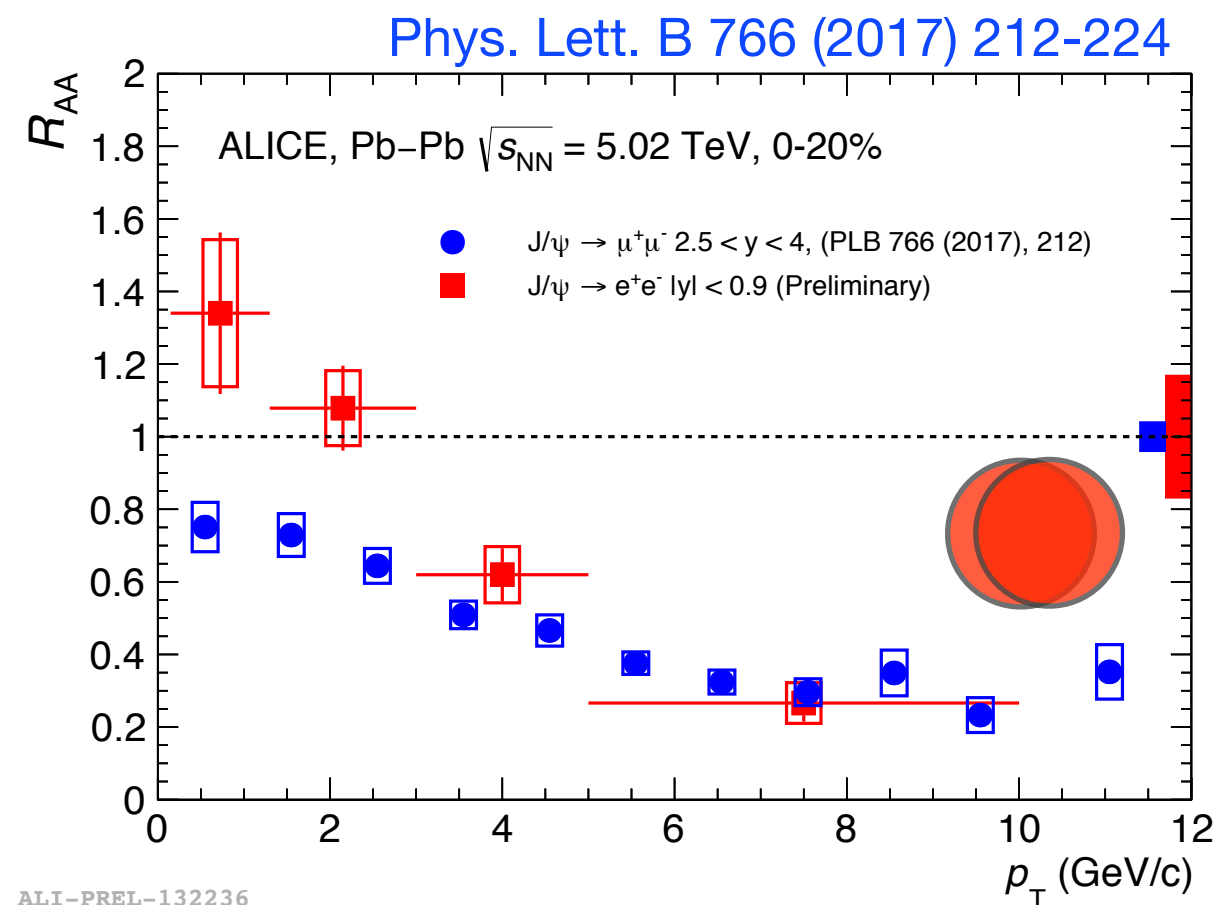
# $J/\psi$ $R_{AA}$ at $\sqrt{s_{NN}} = 5.02$ TeV

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## \* At high- $p_T$ :

- \*  $J/\psi$  suppressed of a factor  $\sim 4$  for  $p_T > 5$  GeV/c



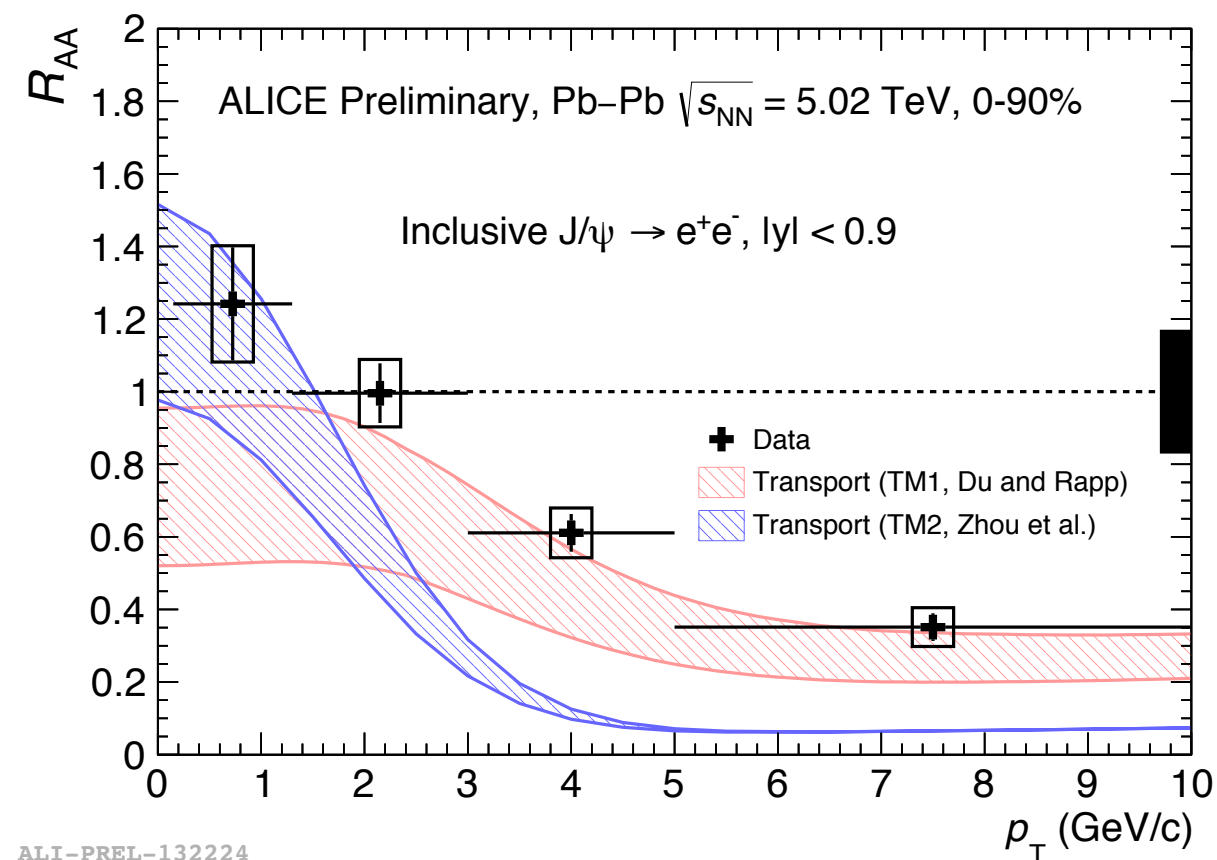
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- \* The suppression is smaller than what was observed at lower energy experiments:
- \* predicted signature for regeneration!

## \* At high- $p_T$ :

- \* J/ψ suppressed of a factor  $\sim 4$  for  $p_T > 5$  GeV/c
- \* Models that includes regeneration at low- $p_T$  reproduce the data.

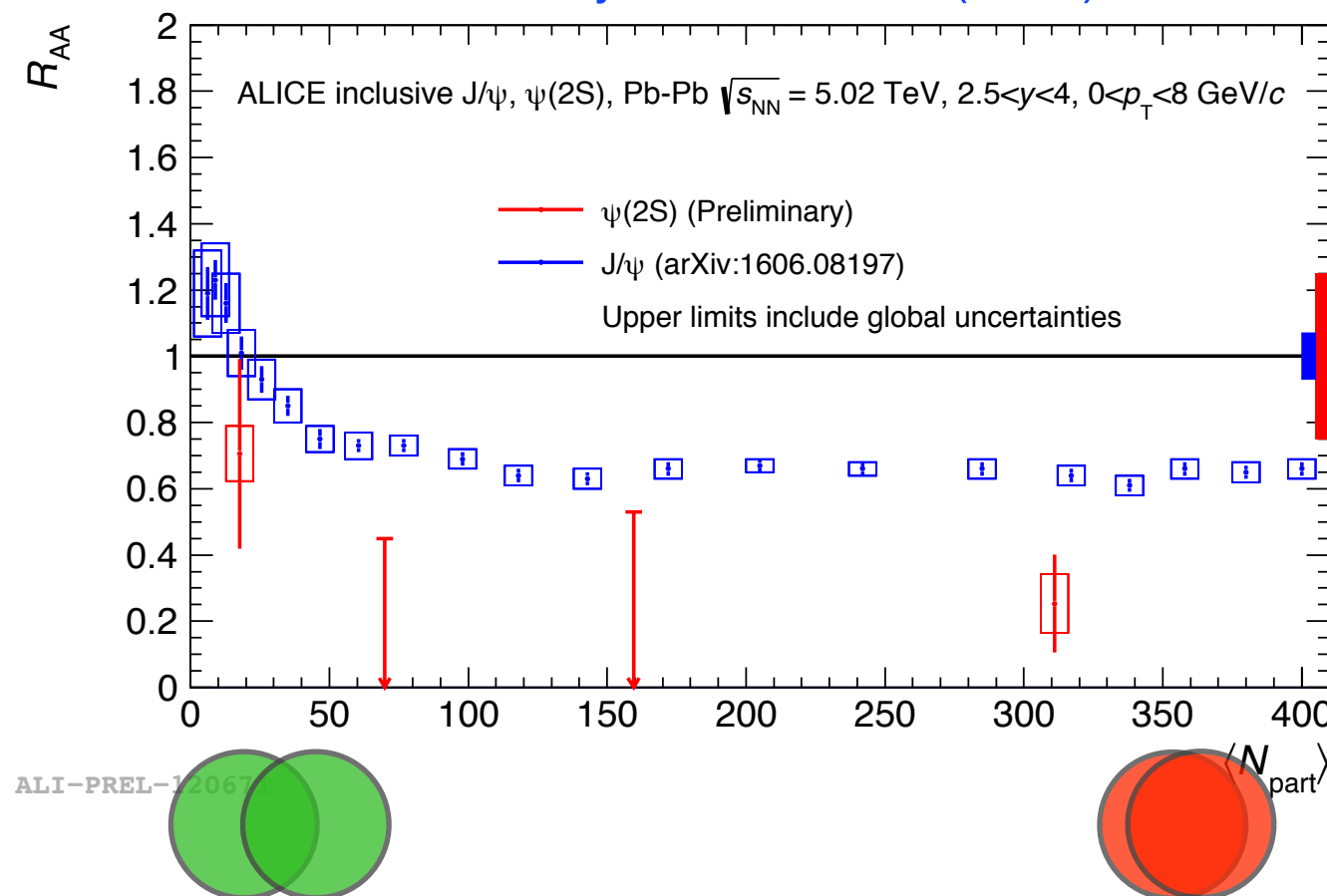


...but not only  $J/\psi$

\*  $\psi(2S)$  is even more suppressed than  $J/\psi$  for semi central and central collisions.

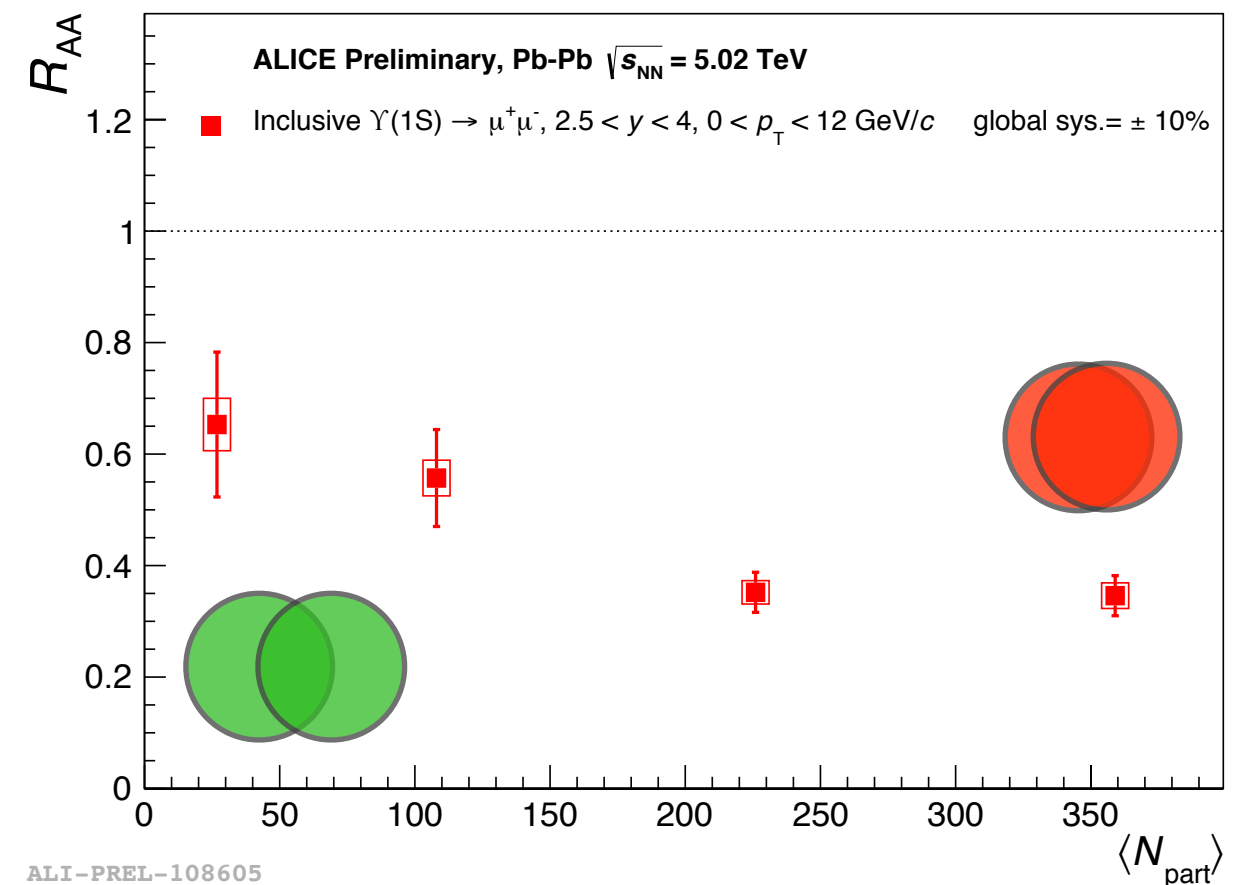
\* more statistic is needed to draw quantitative conclusion

Phys. Lett. B 766 (2017) 212-224



# ...but not only J/ψ

- \*  $\psi(2S)$  is even more suppressed than J/ψ for semi central and central collisions.
- \* more statistic is needed to draw a quantitative conclusion
- \* Strong suppression of the  $\Upsilon(1S)$  state. More statistic is needed to draw a quantitative conclusion



# ...but not only J/ψ

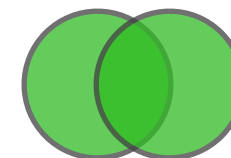
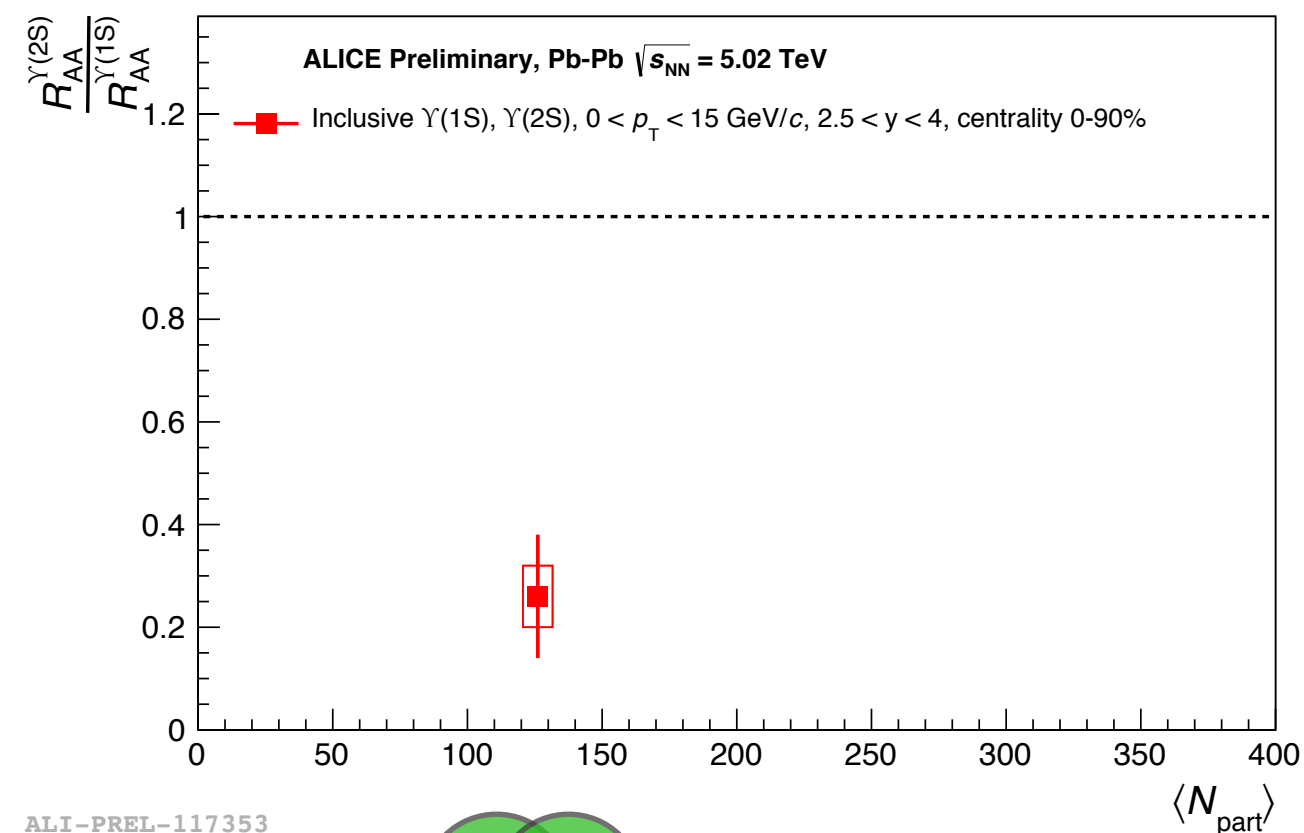
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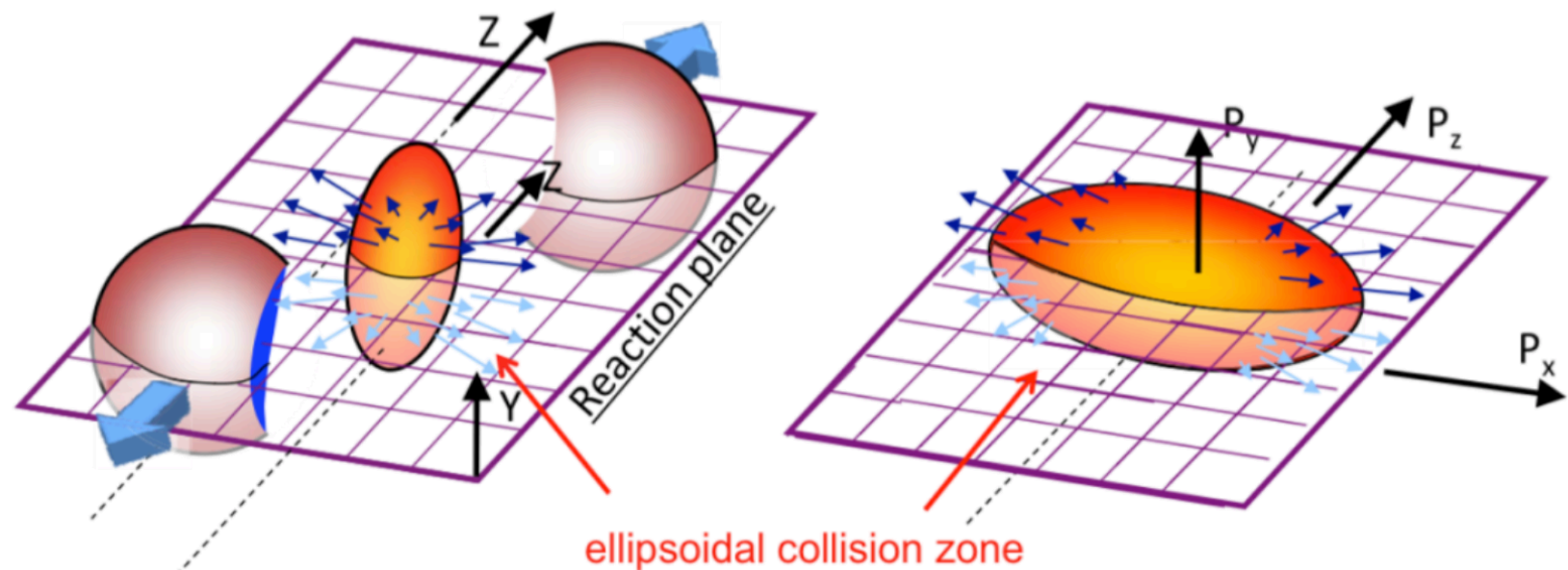
- \* Stronger suppression of the  $\Upsilon(2S)$  state than the  $\Upsilon(1S)$

- \* also here more statistics is needed to improve the results



# Does charm also flow?

- \* Azimuthal anisotropy of particle production related to collective expansion of the medium.
- \* Charm quark is a hard probe, can the medium be so strongly interacting to make charm become part of the medium?



$$\frac{dN}{d\varphi} = \frac{N_0}{2\pi} (1 + 2v_1 \cos(\varphi - \Psi_1) + 2v_2(p_T) \cos[2(\varphi - \Psi_2)] + \dots)$$



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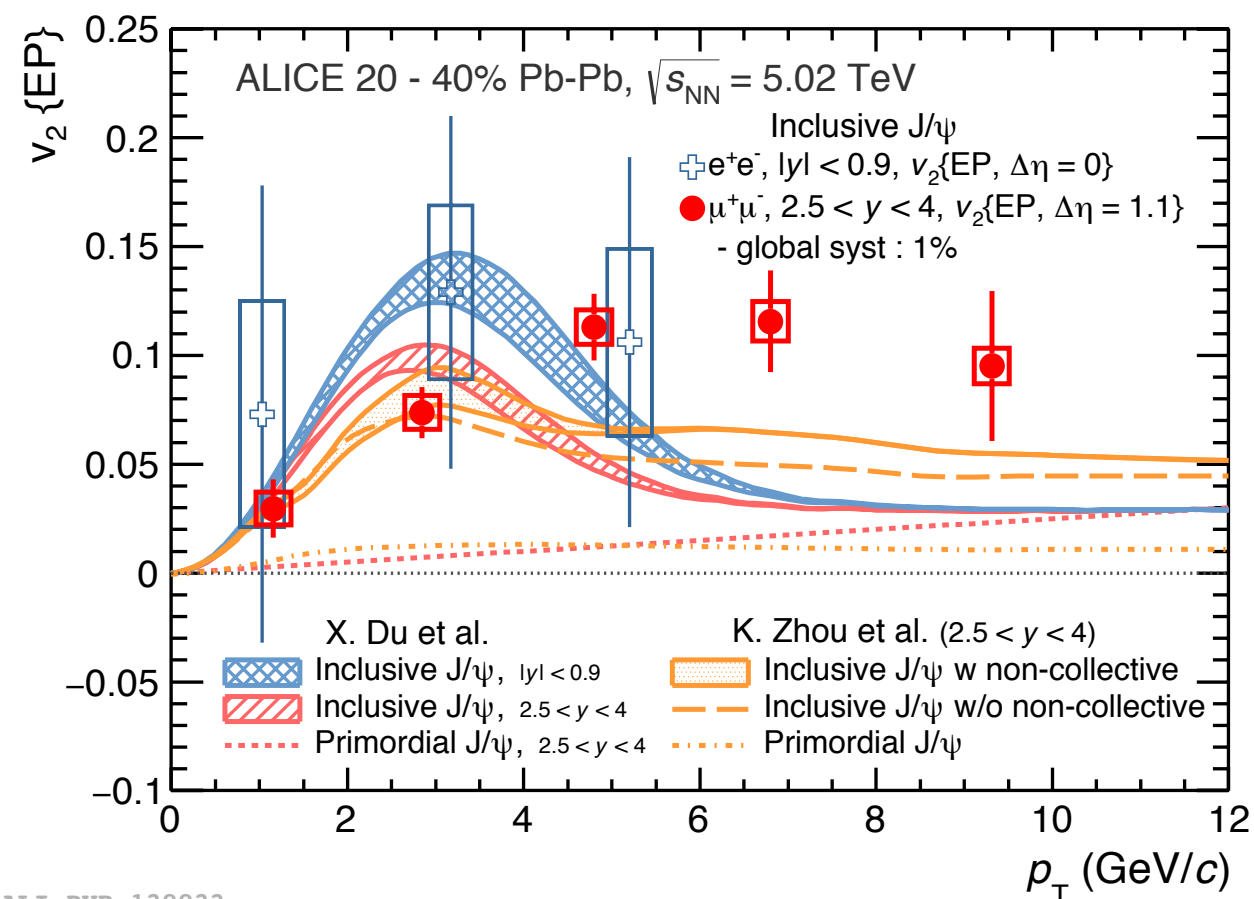
- \* Study of flow of hadrons with charm:

- \* J/ψ

- \* J/ψ  $v_2 > 0$  for intermediate  $p_T$

- \* Models that include thermalization of charm quarks and J/ψ regeneration can describe the data

- \* Primordial J/ψ  $v_2$  is expected to be very small



ALI-PUB-138833

Submitted to PRL, arXiv:170905260

# Does charm also flow?

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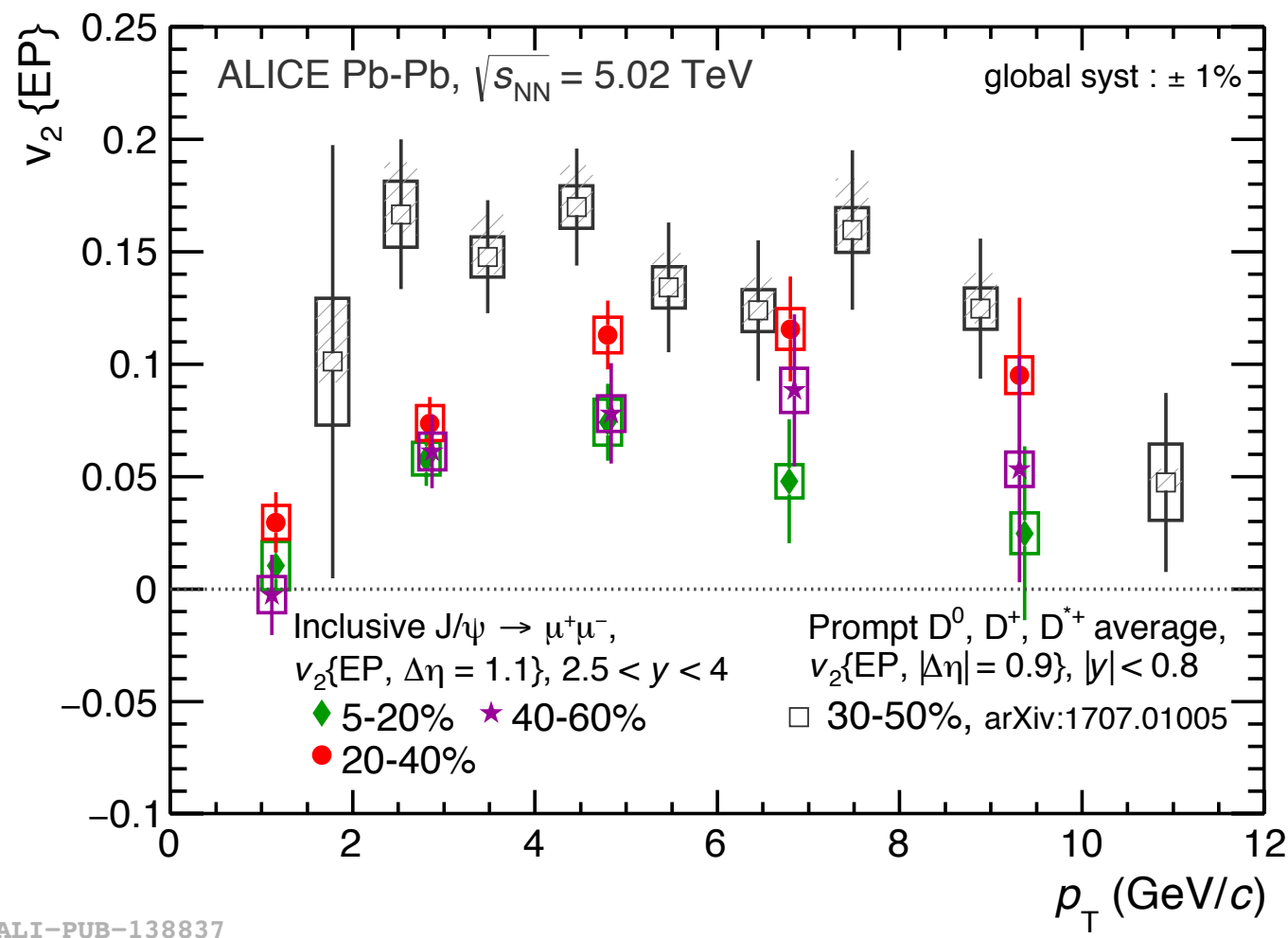
- \* J/ψ

- \* D mesons

- \* D mesons  $v_2 > 0$  for intermediate  $p_T$

- \* Another confirmation that charm is slowed down in the medium.

- \* Recombination of charm and light quarks might generate an higher  $v_2$  than for J/ψ



Submitted to PRL, arXiv:170905260

# Quarkonia in p-Pb collisions

\* Data collected in two configurations:

\* **p-Pb:**

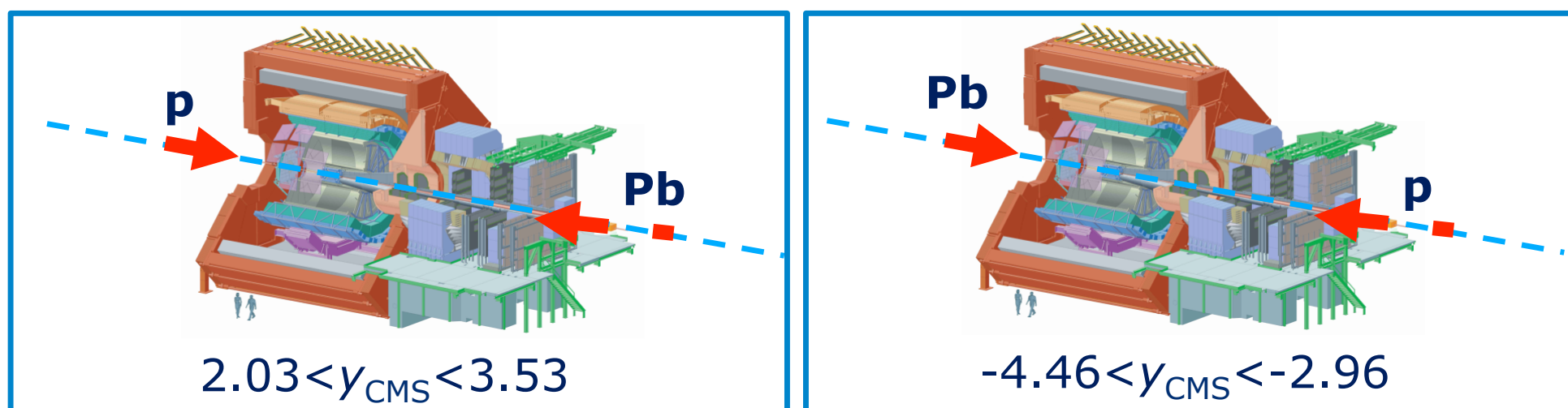
p going through the muon arm (forward direction)

x investigated:  $2 \times 10^{-5} < x < 9 \times 10^{-5}$

\* **Pb-p:**

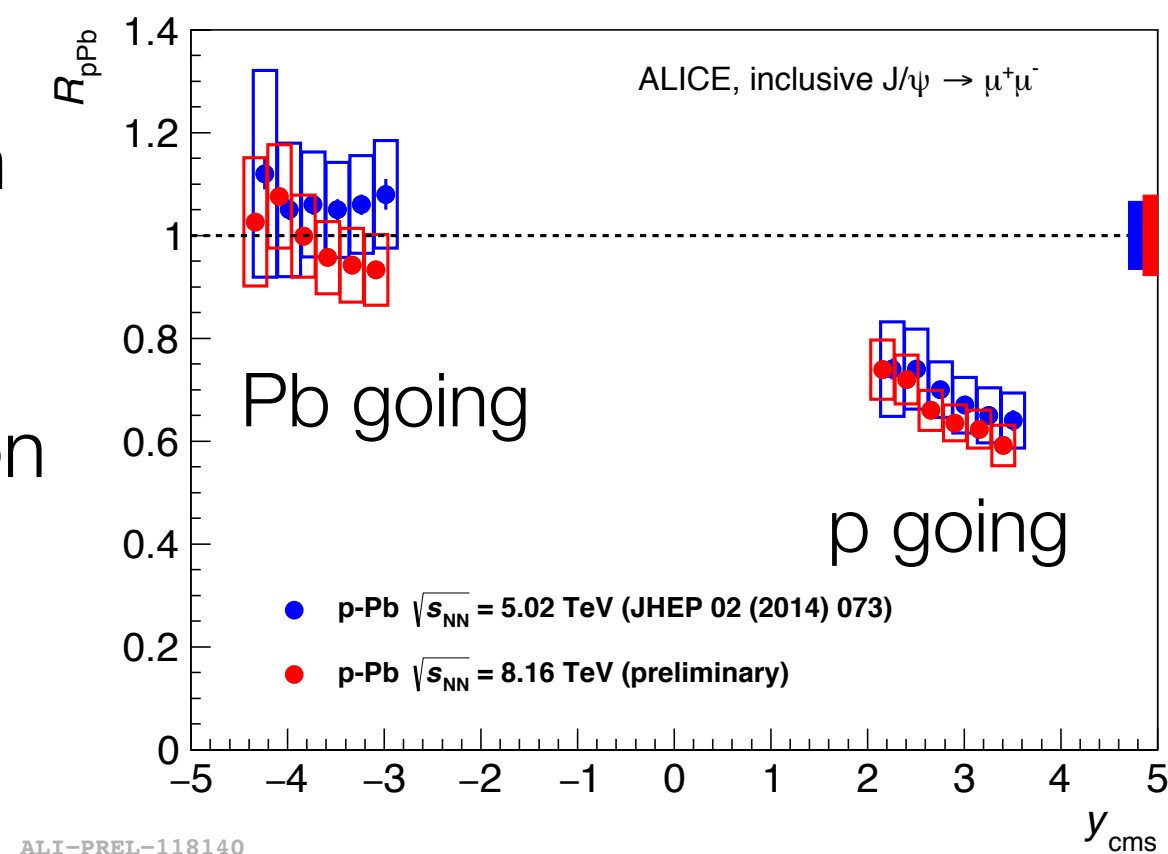
Pb going through the muon arm (backward direction)

x investigated:  $6 \times 10^{-4} < x < 3 \times 10^{-3}$



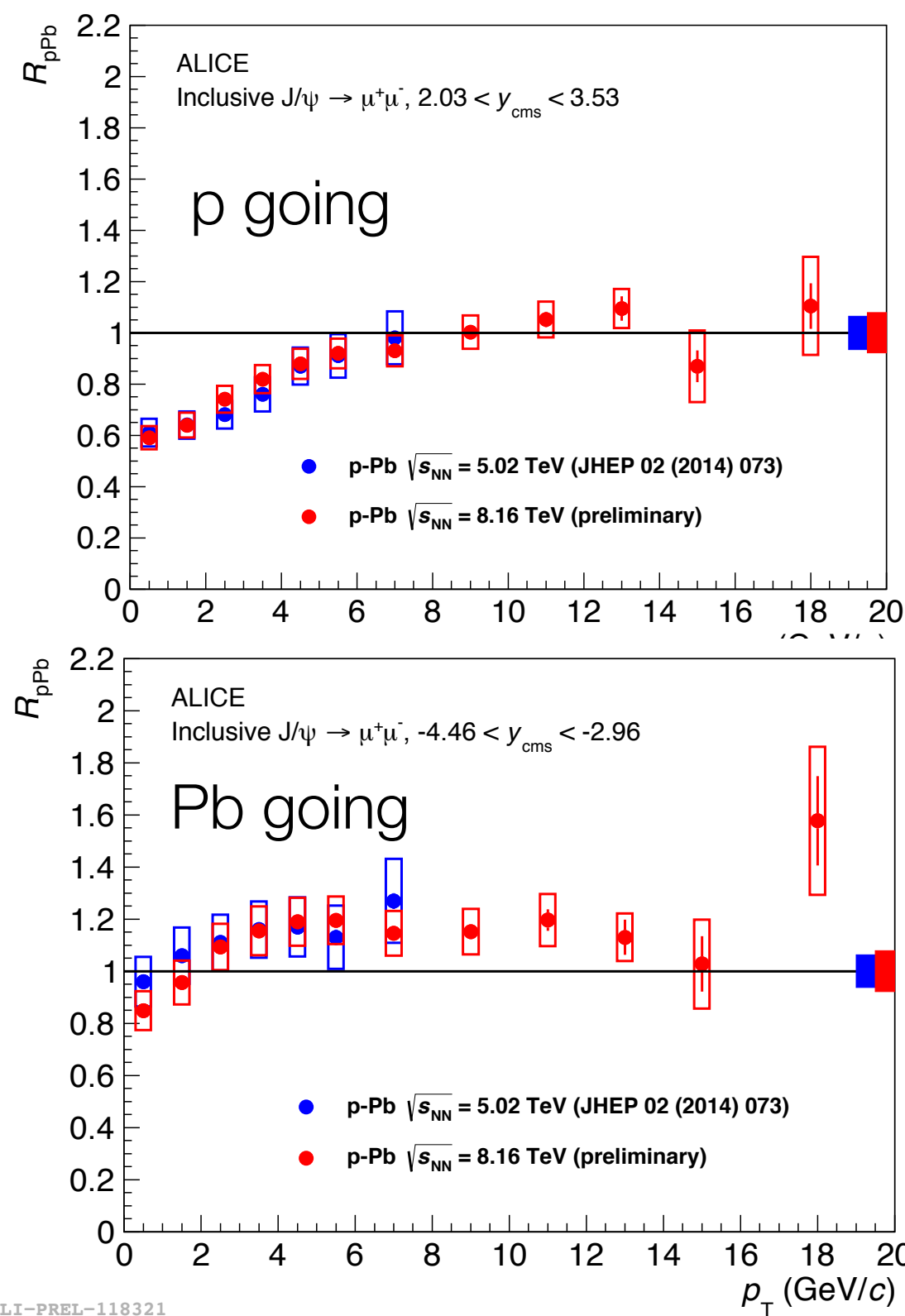
# J/ψ in p-Pb collisions

- \* J/ψ production is more affected by cold nuclear matter effects than open heavy flavour:
- \* at forward rapidity the J/ψ production is suppressed by about 20%
- \* not visible difference between  $\sqrt{s_{NN}} = 5.02$  and 8.16 TeV



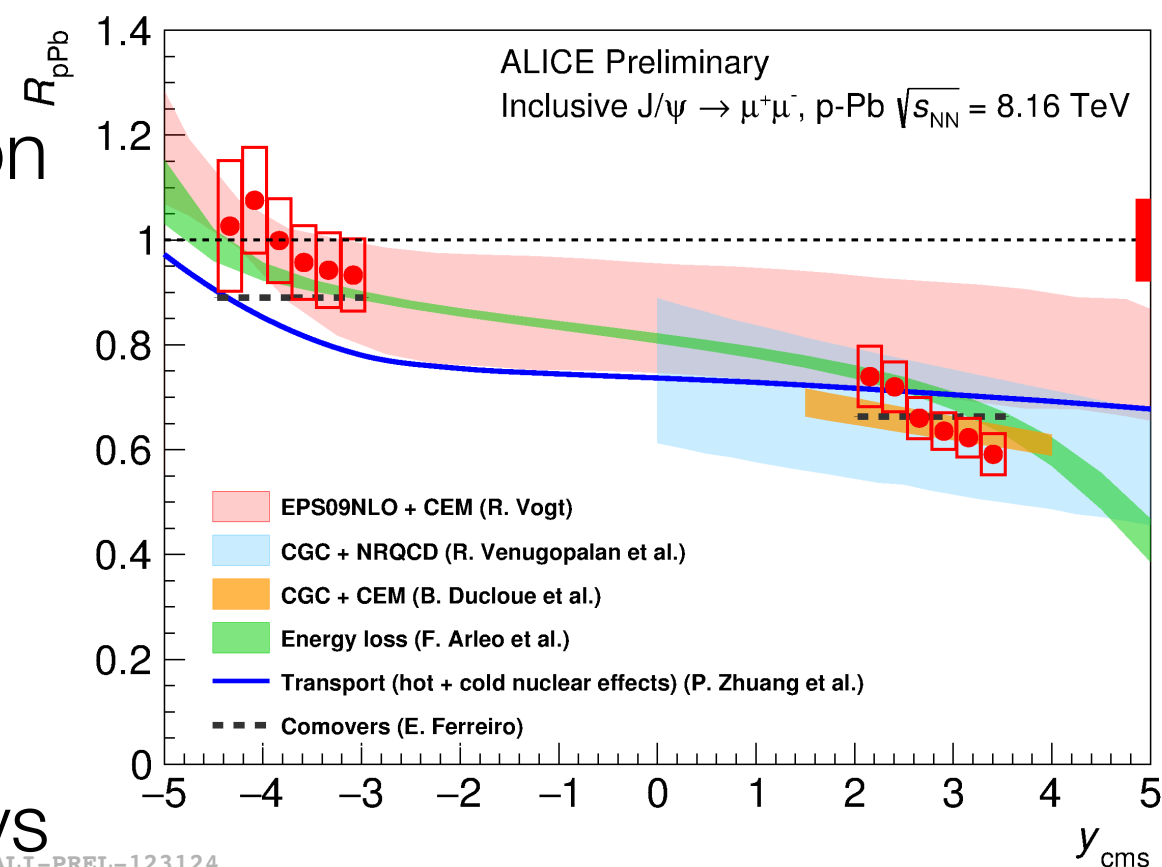
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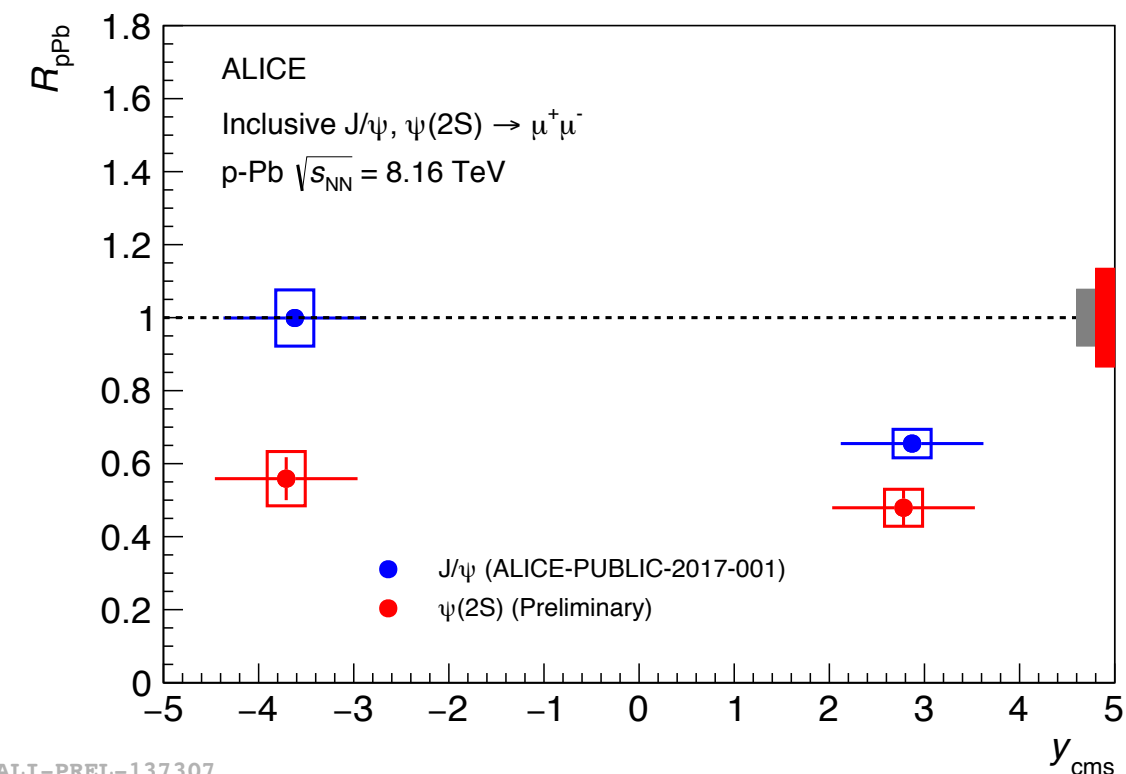


- \* J/ψ results compatible with models that include initial cold nuclear matter effects

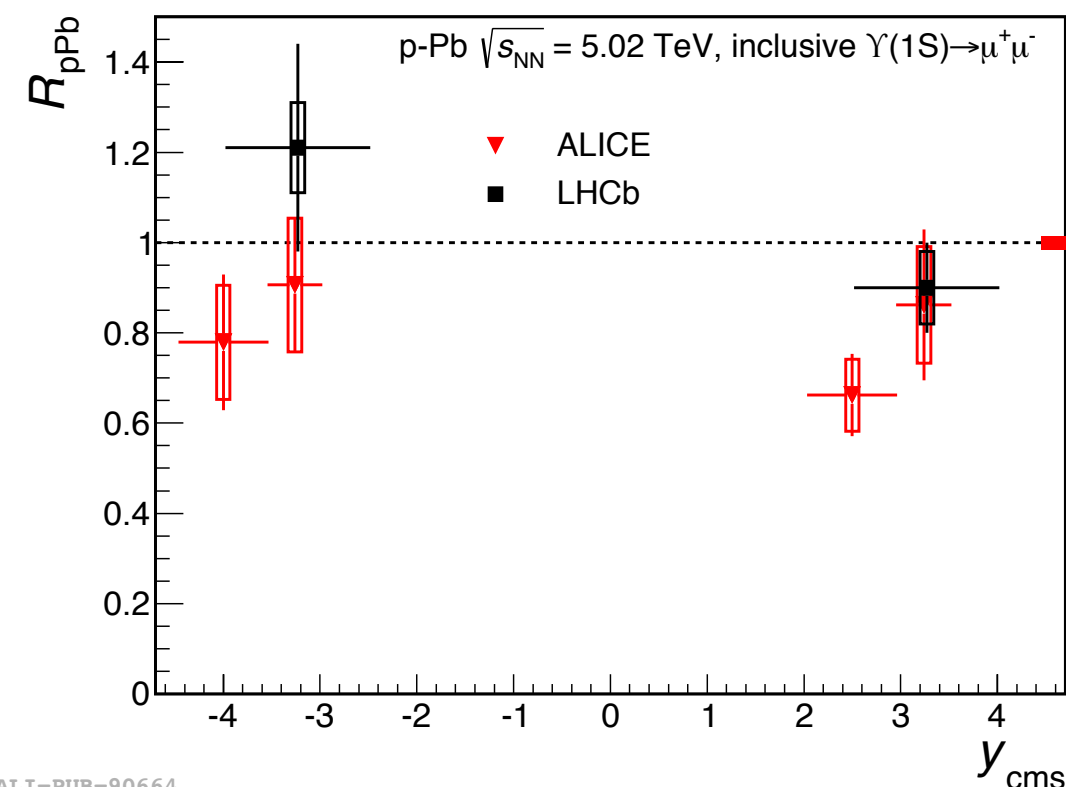


# Quarkonia in p-Pb collisions

- \*  $\psi(2S)$  state even more suppressed than  $J/\psi$ . In particular in the Pb-going direction.
- \* only initial state cold nuclear matter effects are not enough to explain the suppression at backward rapidity.
- \*  $\Upsilon(1S)$  seems to be affected in the same way by cold nuclear matter effects at both forward and backward rapidity

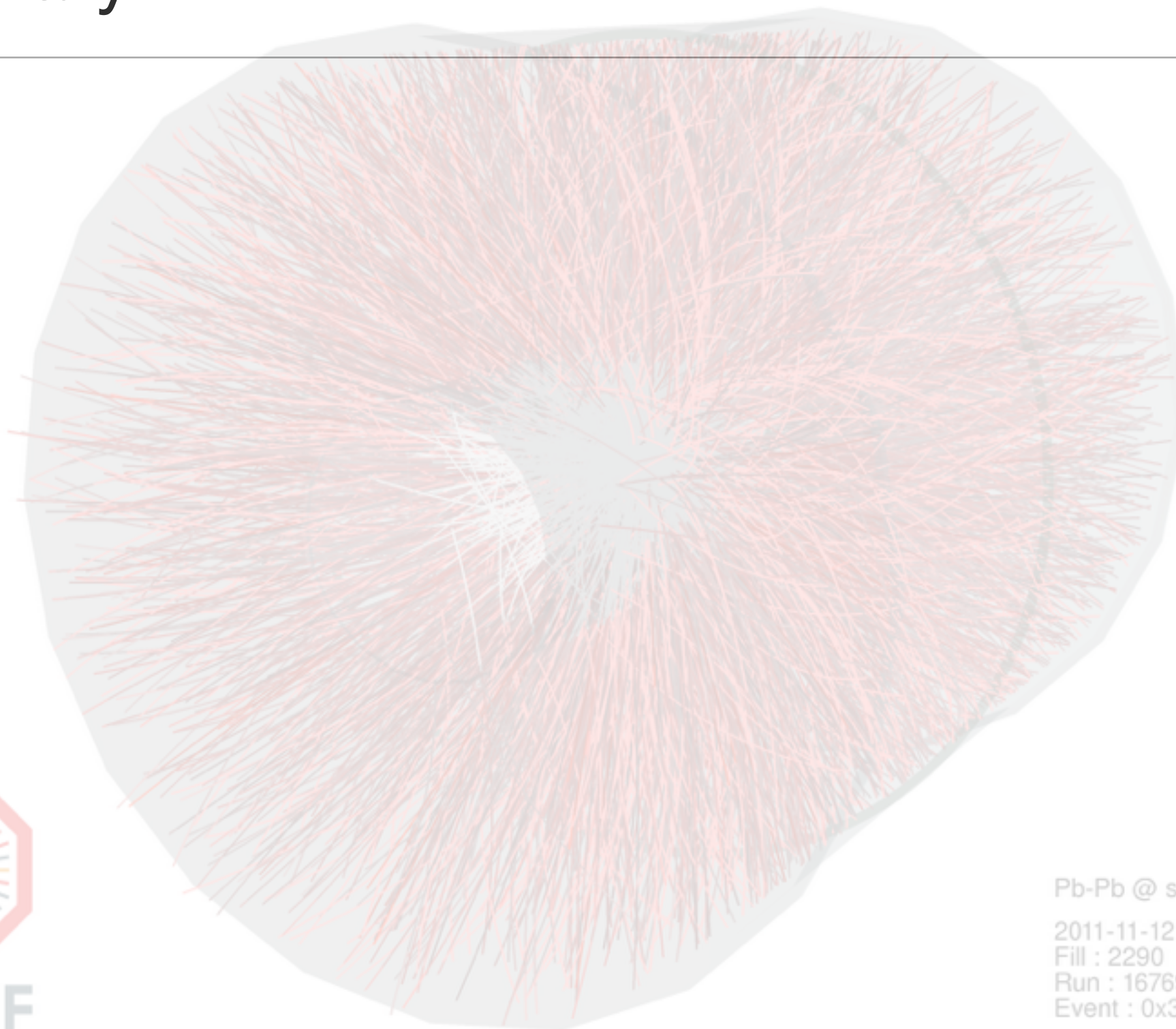


ALI-PREL-137307



# Summary

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**ALICE**  
A JOURNEY OF DISCOVERY

Pb-Pb @  $\sqrt{s} = 2.76$  ATeV  
2011-11-12 06:51:12  
Fill : 2290  
Run : 167693  
Event : 0x3d94315a



# Summary

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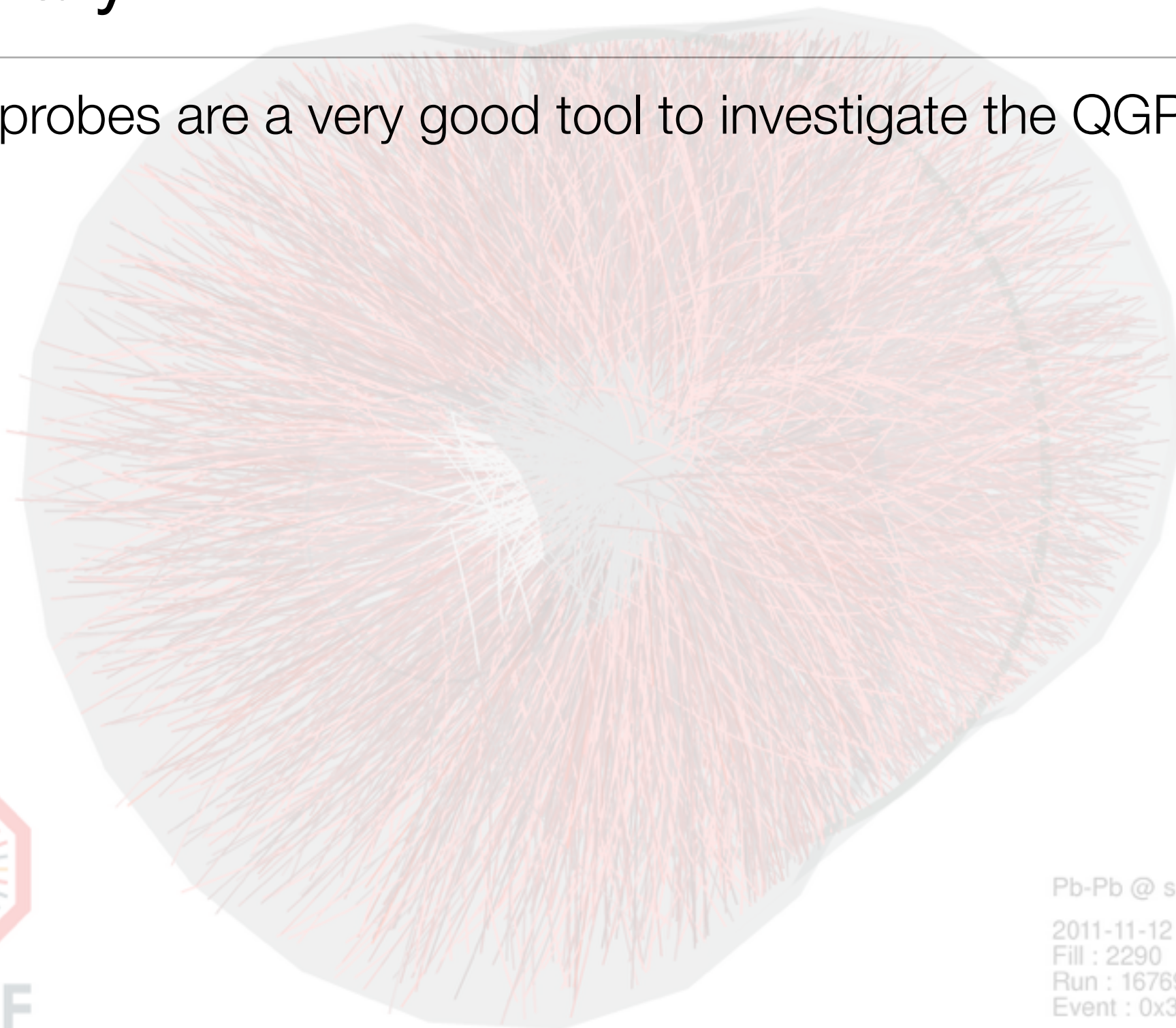
Too difficult to make one... let's try with a biased selection of take home messages



Pb-Pb @  $\sqrt{s} = 2.76$  ATeV  
2011-11-12 06:51:12  
Fill : 2290  
Run : 167693  
Event : 0x3d94315a

# Summary

\* Hard probes are a very good tool to investigate the QGP:

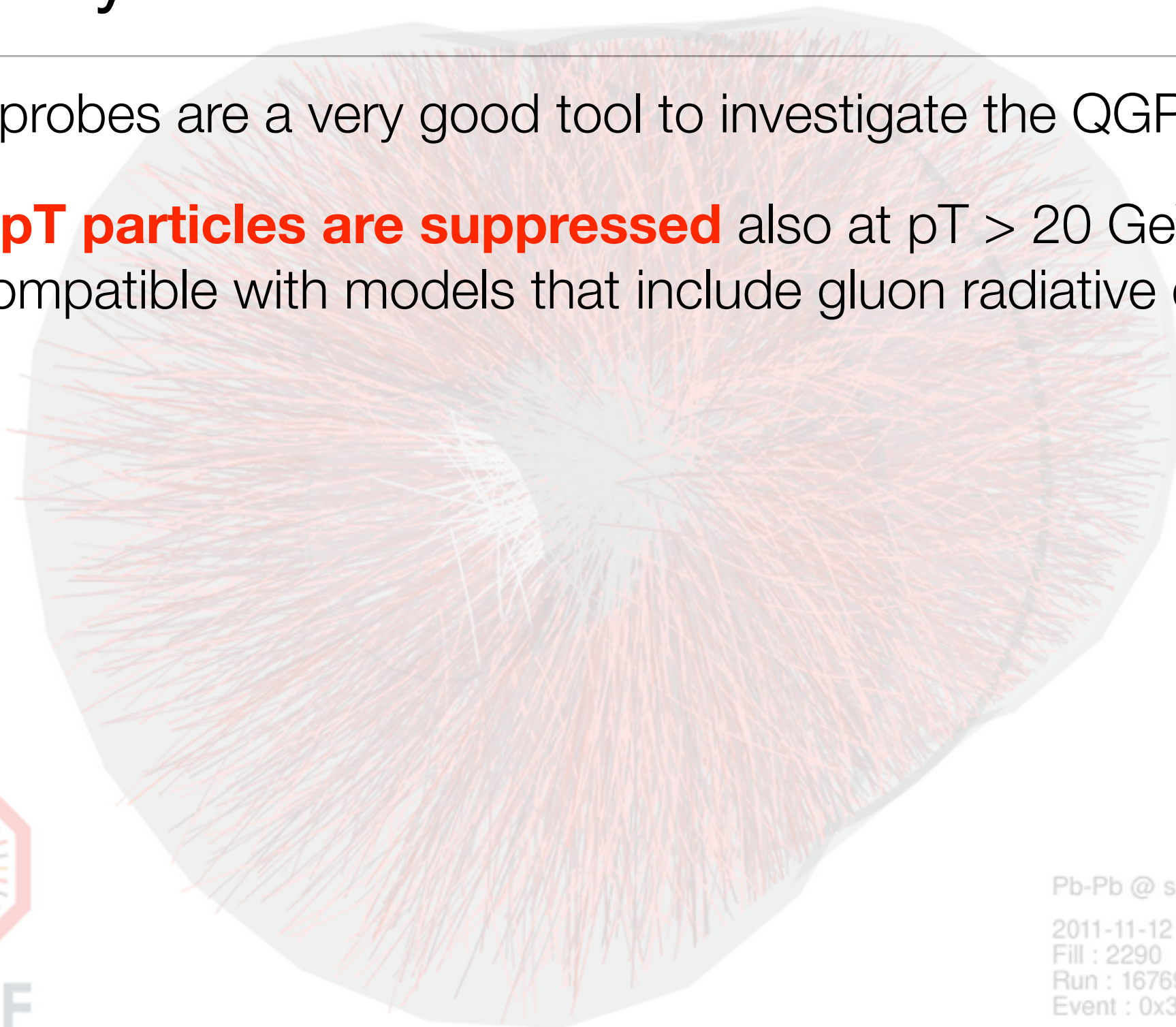


Pb-Pb @  $\sqrt{s} = 2.76$  ATeV  
2011-11-12 06:51:12  
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# Summary

- \* Hard probes are a very good tool to investigate the QGP:
- \* **High-pT particles are suppressed** also at  $p_T > 20$  GeV/c
  - \* Compatible with models that include gluon radiative energy loss



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- \* **Jets indicate that radiated energy is emitted at large angle,**  
not inside the cone



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  - \* more suppressed than  $J/\psi$  from B hadrons → **mass dependence of the partonic energy loss**



Pb-Pb @  $\sqrt{s} = 2.76 \text{ ATeV}$   
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- \*  **$J/\psi$  seems to:**
  - \* **be suppressed at high- $p_T$ , regenerated at low- $p_T$**
  - \* **flow** → indication that charm take part of the medium expansion

Pb-Pb @  $\sqrt{s} = 2.76$  ATeV

2011-11-12 06:51:12

File : 2290

Event : 0x3d94315a

# Summary

- \* Hard probes are a very good tool to investigate the QGP:
- \* **High-pT particles are suppressed**
  - \* Compatible with model
- \* **J/ψ**
  - no
- \* **D mesons**
  - \* **suppressed as pions**, but different fragmentation is important!
  - \* more suppressed than J/ψ from B hadrons → **mass dependence of the partonic energy loss**
- \* **J/ψ seems to:**
  - \* **be suppressed at high-pT, regenerated at low-pT**
  - \* **flow** → indication that charm take part of the medium expansion

All these observables can be used to extract parameters of the QGP. With precise Run2 measurements, we are entering to a new precision phase also to constrain the model.

Pb-Pb @ sqrt(s) = 2.76 ATeV

2011-11-12 06:51:12

File : 2290

Event : 0x3d94315a



# Summary

---

Thanks for your attention!  
Hoping you are still alive/awake!

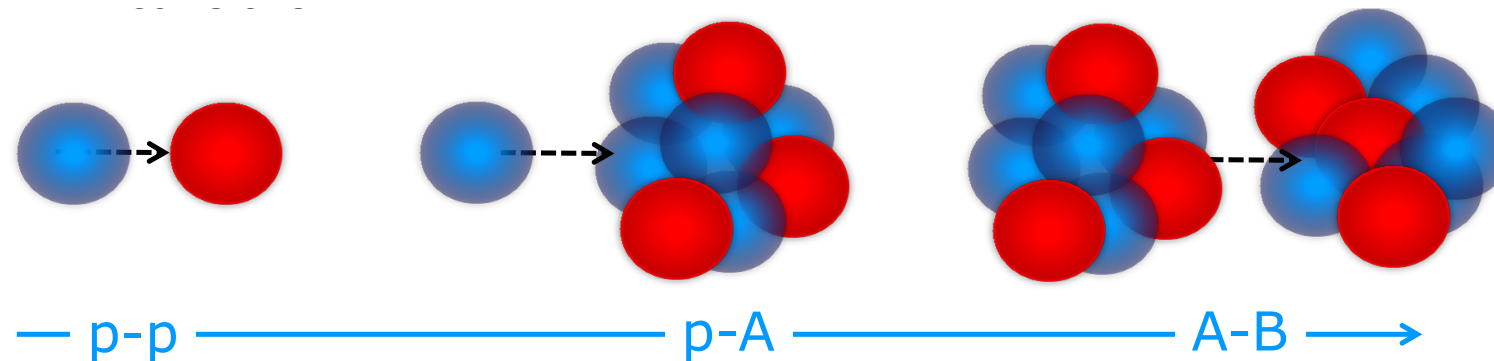


Pb-Pb @  $\sqrt{s} = 2.76$  ATeV  
2011-11-12 06:51:12  
Fill : 2290  
Run : 167693  
Event : 0x3d94315a

# Back up slides

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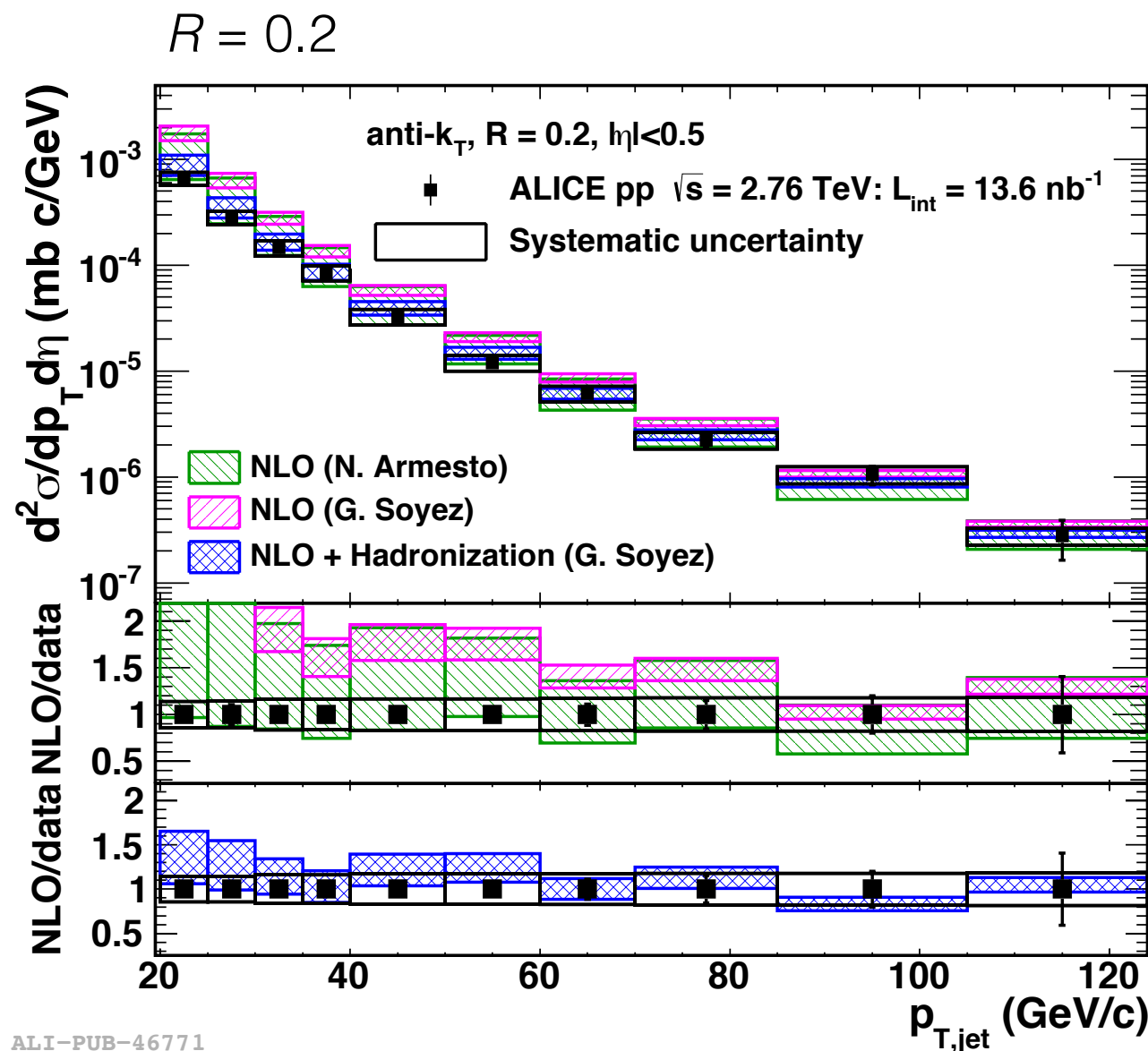
# Observables



- \* At high collisions energies, hard probes production cross section is proportional to the number of possible hard scattering, i.e. to the number of nucleon-nucleon collisions.
- \* Difference from this scaling are related to **cold** or **hot** nuclear matter effects.

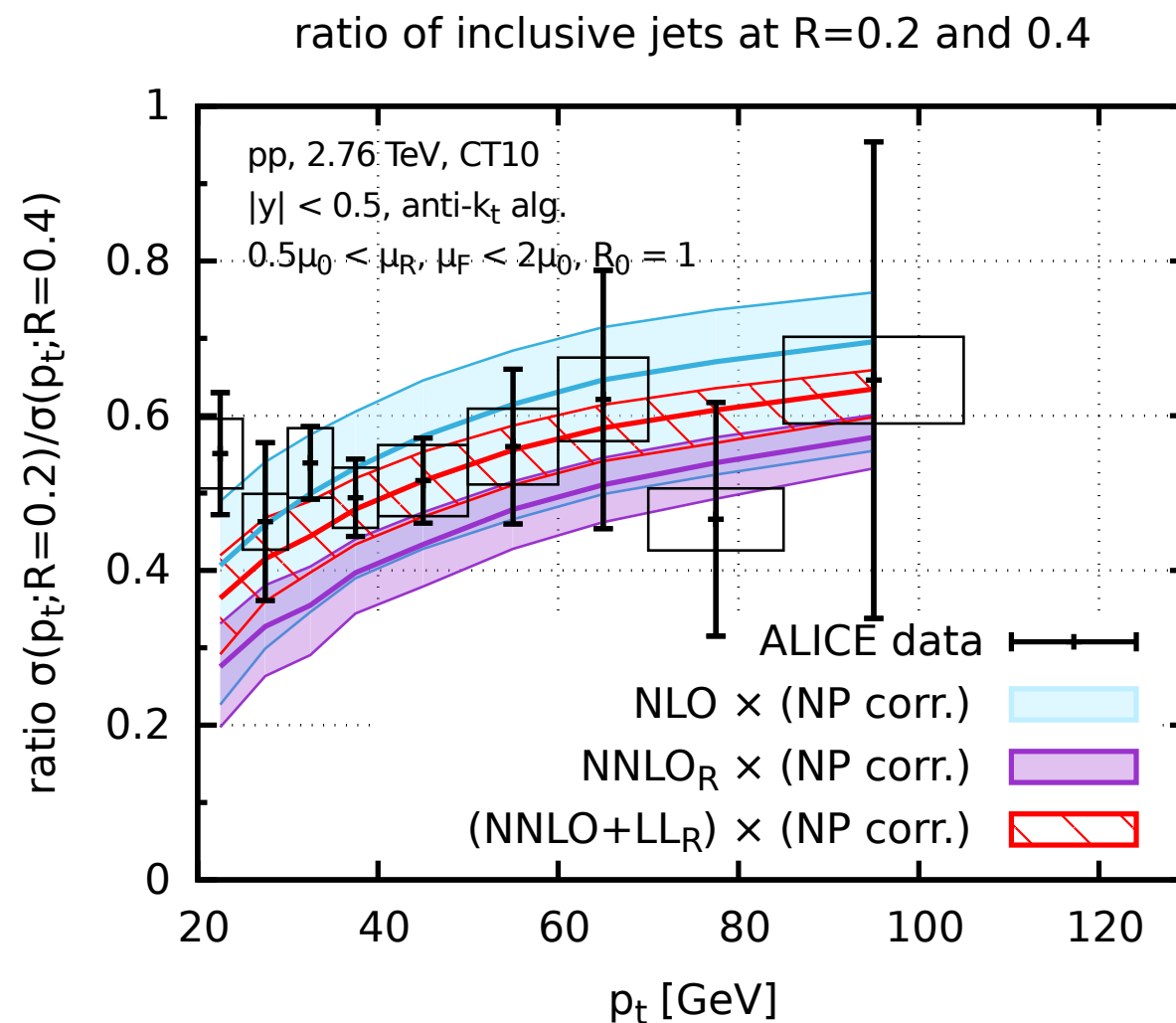


# Jets in pp collisions



ALI-PUB-46771

M. Dasgupta et al. JHEP 1606 (2016) 057



✳ Good agreement between data and NLO calculations for both  $R=0.2$  and  $R=0.4$

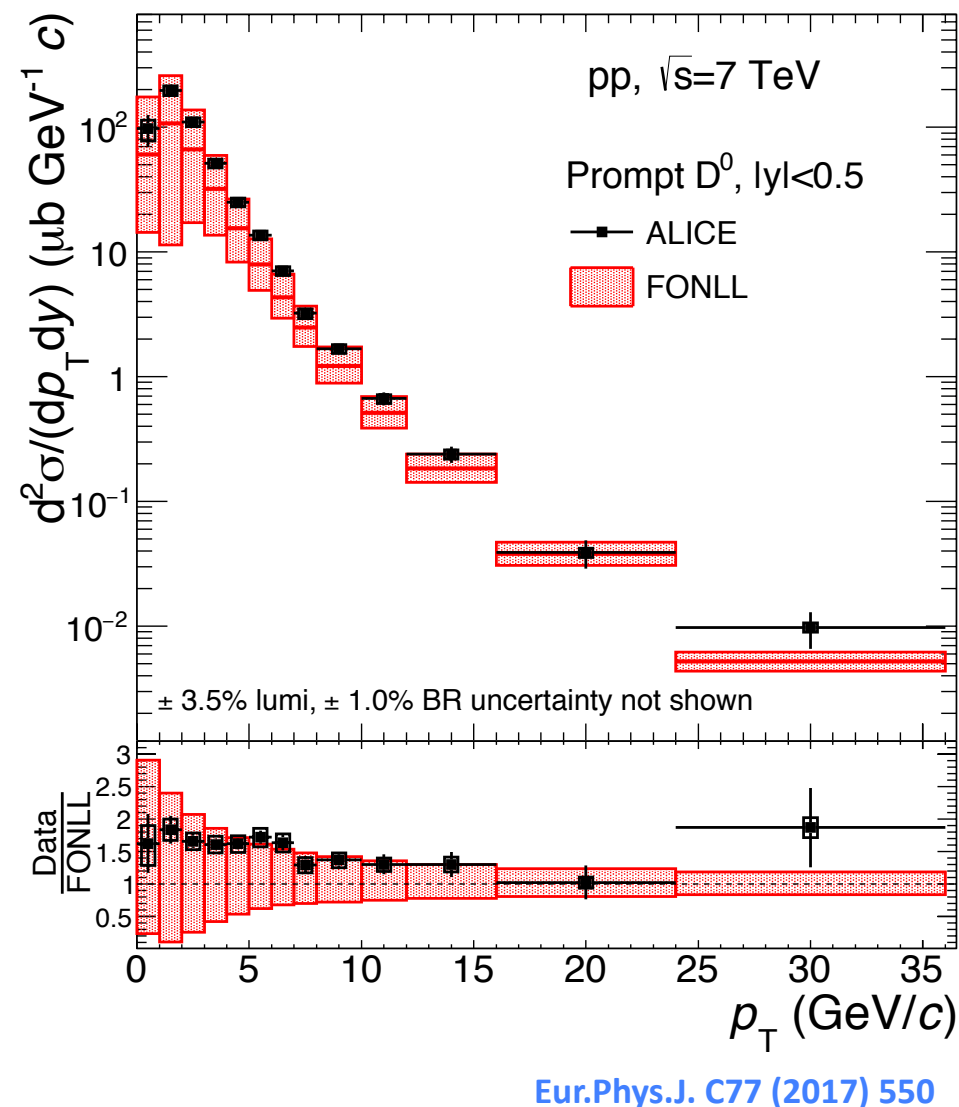
N. Armesto et al. based on Nucl. Phys. B507 (1997) 295-314

G. Soyez, Phys Lett B698 (2011) 59-62

✳ Recent calculation based on NNLO+LL $_R$  including UE and hadronization effects seems to be in better agreement than just NNLO calculations.

# HF and quarkonia production in pp collisions

- \* Test of the theoretical approaches for open heavy-flavor and quarkonium production in **pp collisions**.



- \* pQCD based calculations are compatible with D-meson data.

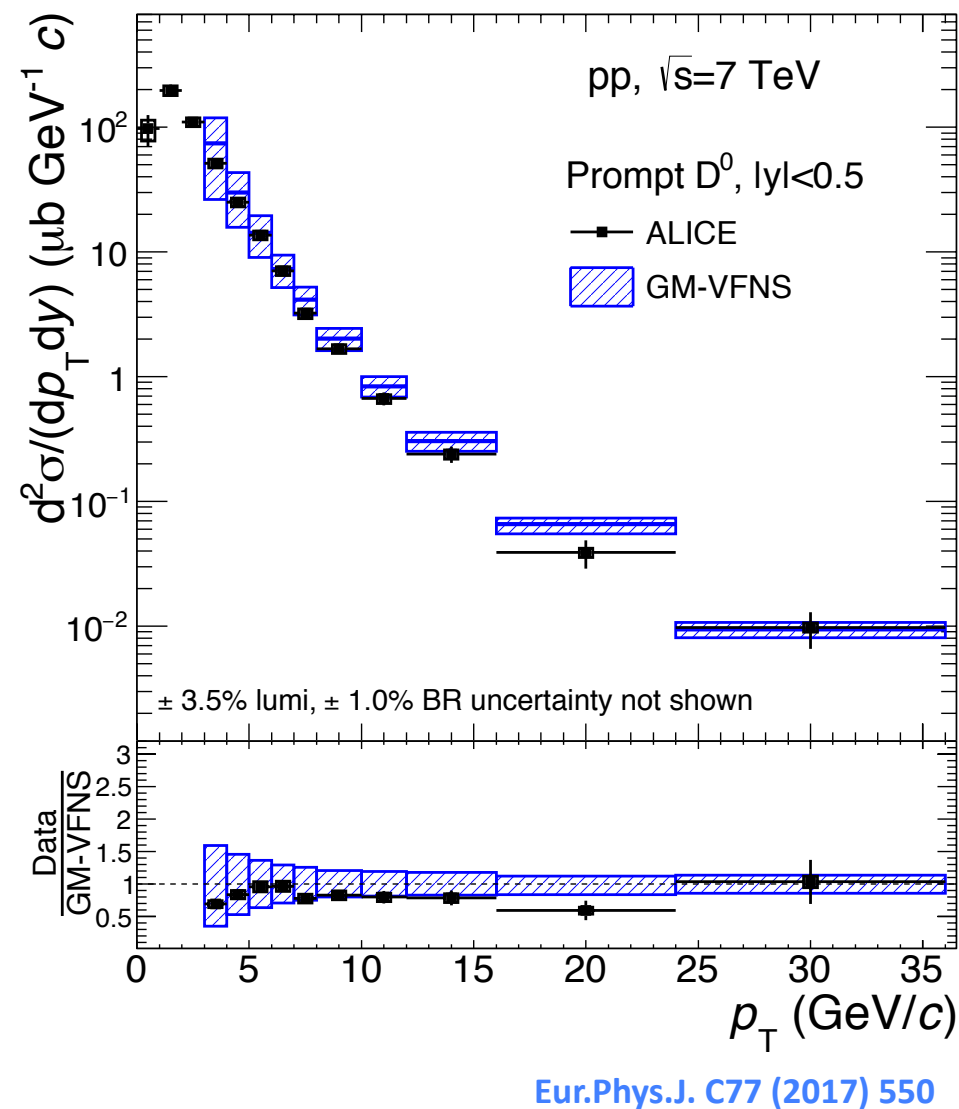
- \* **FONLL ( JHEP 05 1998) 007 )**

- \* Fixed-Order-Next-To-Leading-Log calculations.
- \* Fit of the moment of the fragmentation functions with Kartelishvili form
- \* major improvement at high momentum

- \* data systematically in the lower side of the theoretical uncertainty bands.

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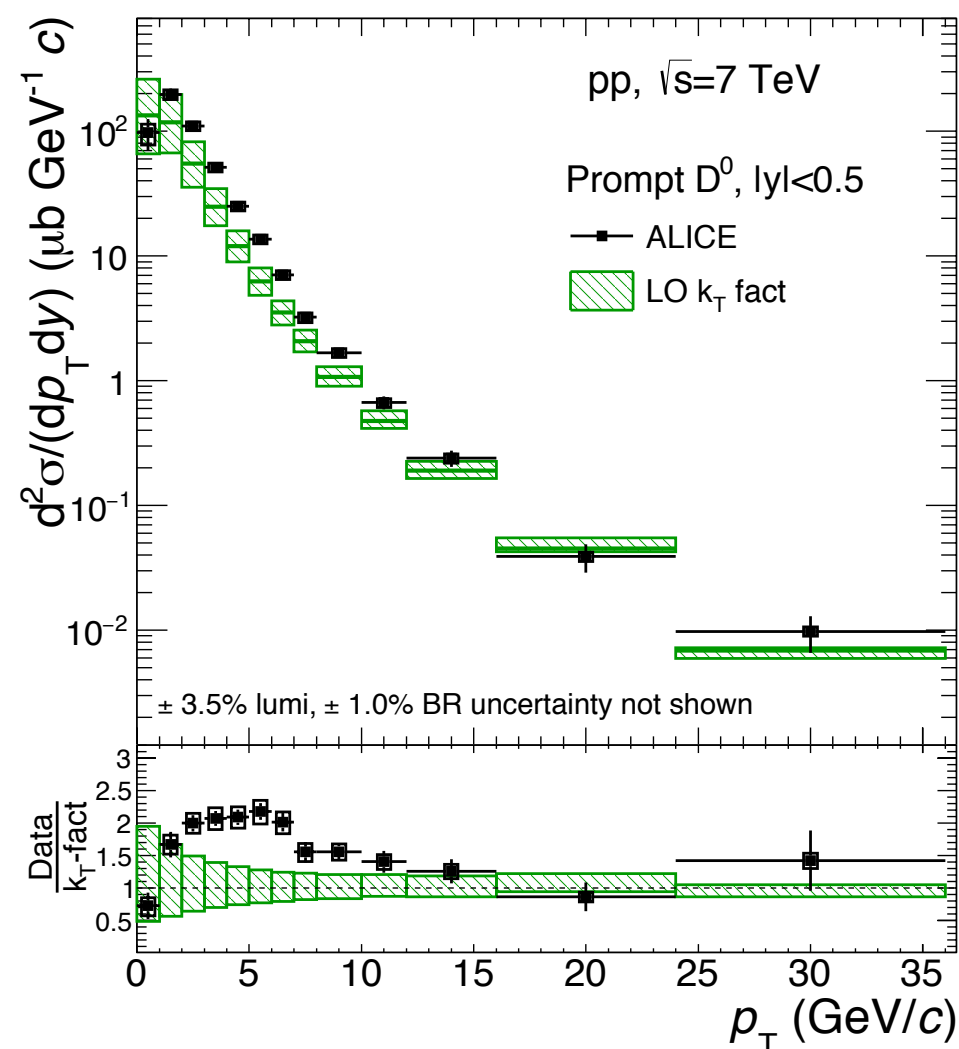
- \* **GM-VFNS** ( [Phys Rev D71 \(2005\) 014018](#) )

- \* General-Mass variable flavour number scheme
- \*  $p_T \gg m$  (calculations start from 3 GeV/c)
- \*  $\ln(p_T^2/m^2)$  absorbed in c-quark PDF

- \* data systematically in the lower side of the theoretical uncertainty bands.

# HF and quarkonia production in pp collisions

- \* Test of the theoretical approaches for open heavy-flavor and quarkonium production in **pp collisions**.



Eur.Phys.J. C77 (2017) 550

- \* pQCD based calculations are compatible with D-meson data.

- \*  **$k_T$  factorization**

(Phys Rev D79 (2009) 034009)

- \* Leading Order calculation based on  $k_T$  factorization instead of collinear one.
- \* Uncertainty on the charm mass taken into account (low- $p_T$ )
- \* updated gluons PDF used

- \* compatible results with data for  $p_T < 2$  GeV/c and  $p_T > 10$  GeV/c

# Photons $R_{AA}$

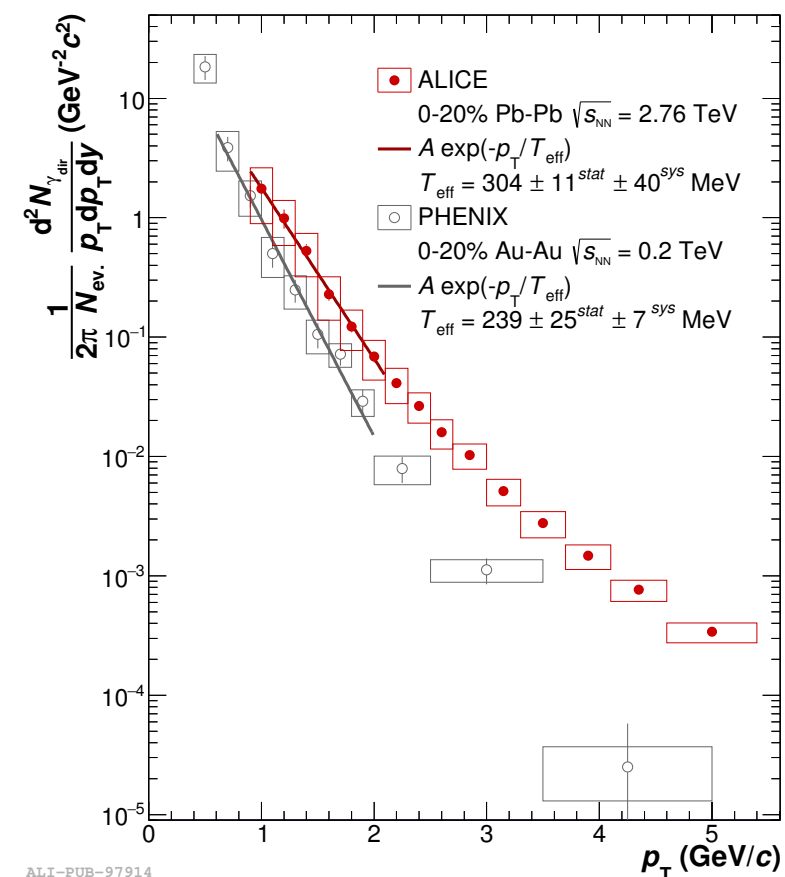
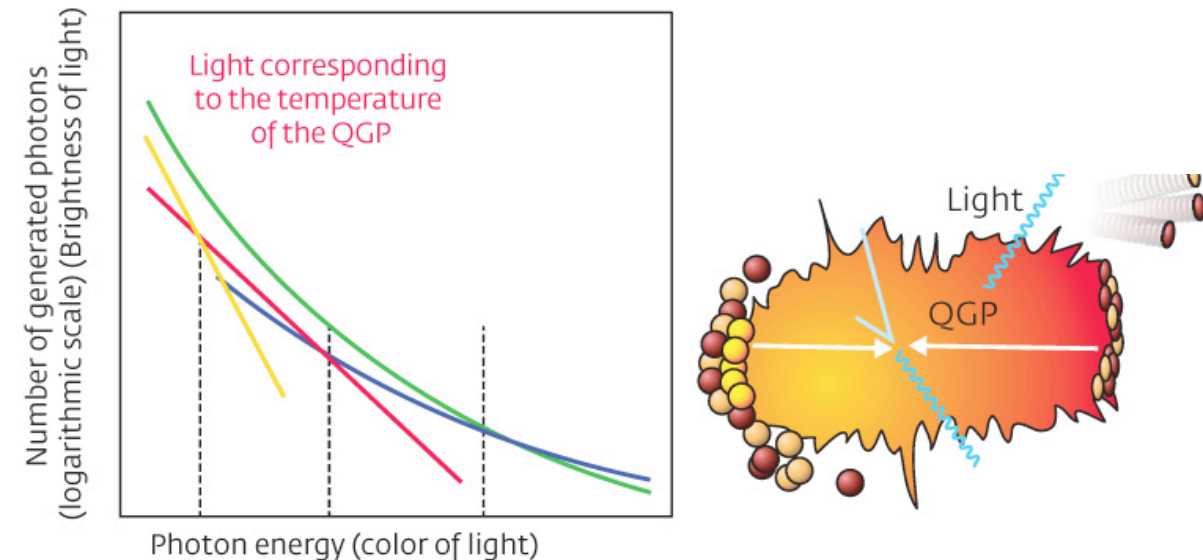
\* We can imagine the **QGP as a source of thermal radiation**, if this state of matter is in equilibrium.

\* From the **slope of the spectrum** of the **emitted direct photons** we can extract the **temperature** of the QGP

\* Direct  $\gamma$  measurement very difficult due to high background, in particular at low- $p_T$

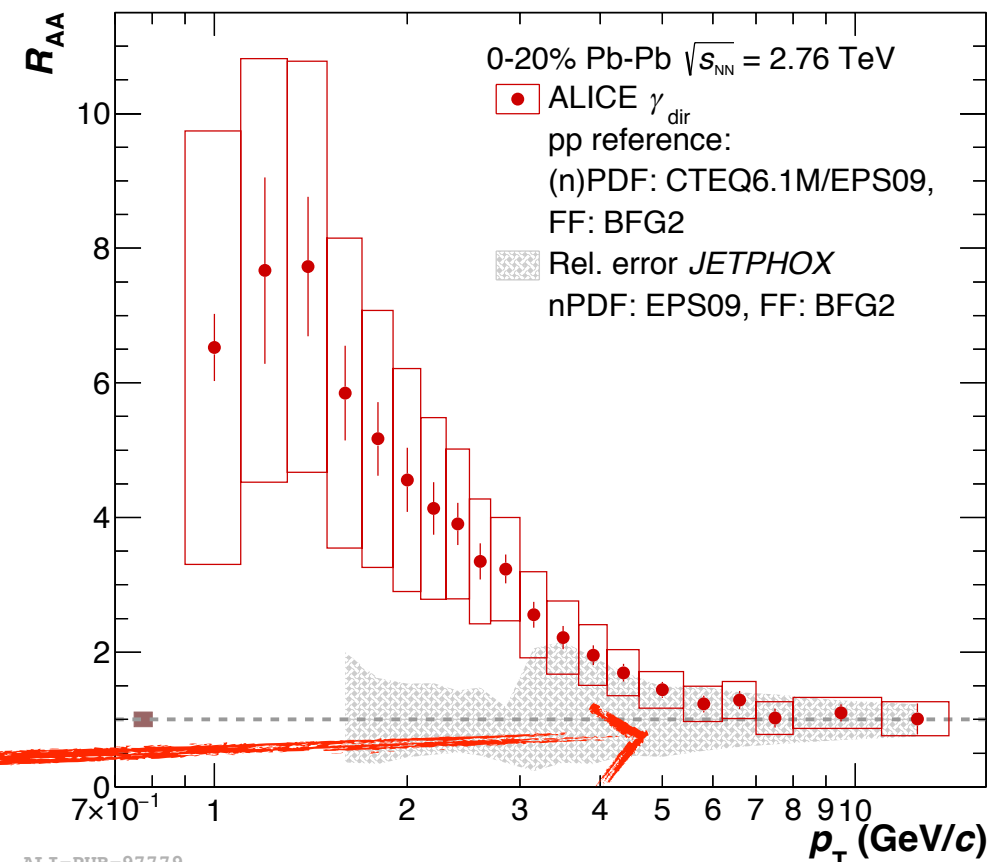
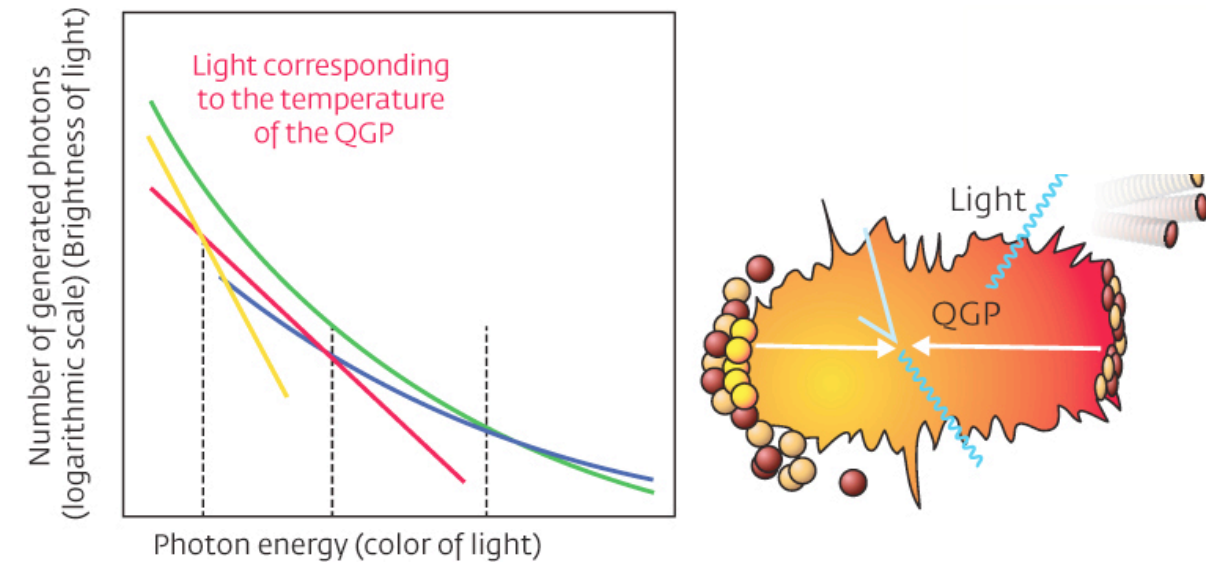
\* Low- $p_T$   $\gamma$  measurement of the temperature of the source of the medium

slope parameter:  
 $T = 304 \pm 51^{\text{stat}} + 51^{\text{syst}} \text{ MeV}$



# Photons $R_{AA}$

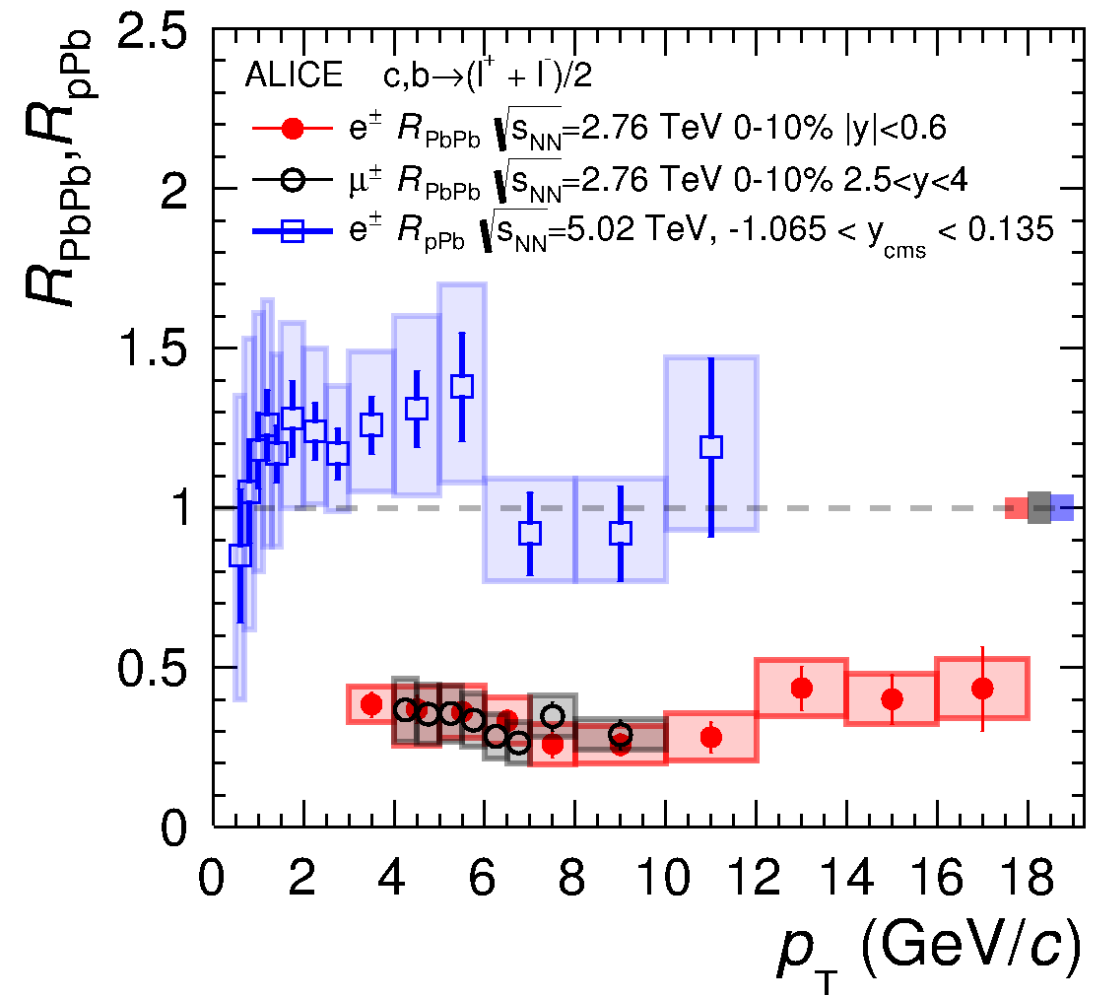
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- \* From the **slope of the spectrum** of the **emitted direct photons** we can extract the **temperature** of the QGP
- \* Direct  $\gamma$  measurement very difficult due to high background, in particular at low- $p_T$
- \* High- $p_T$   $\gamma$  transparent to the strong interaction of the medium.
- \* No difference with respect to pp collisions





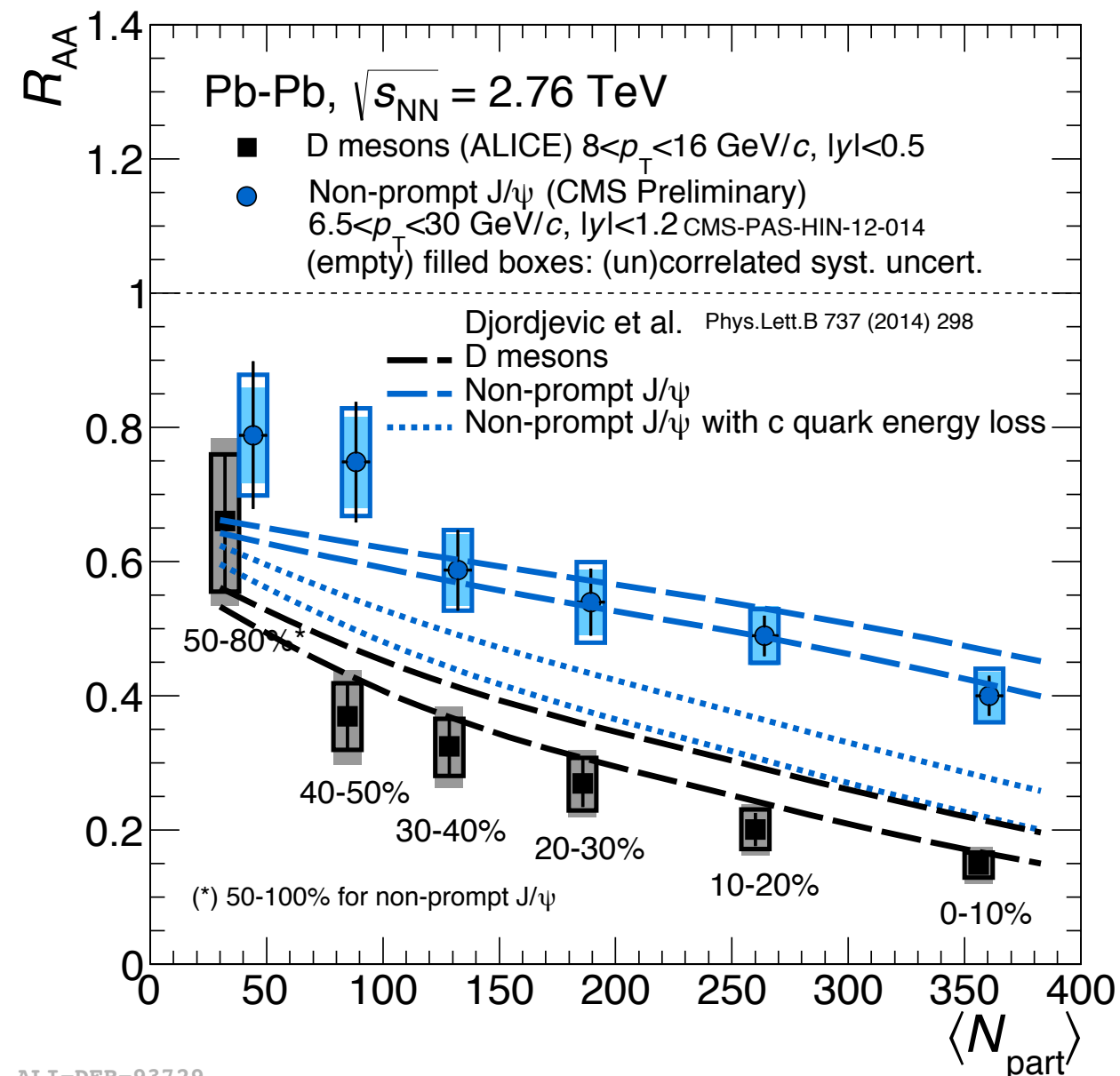
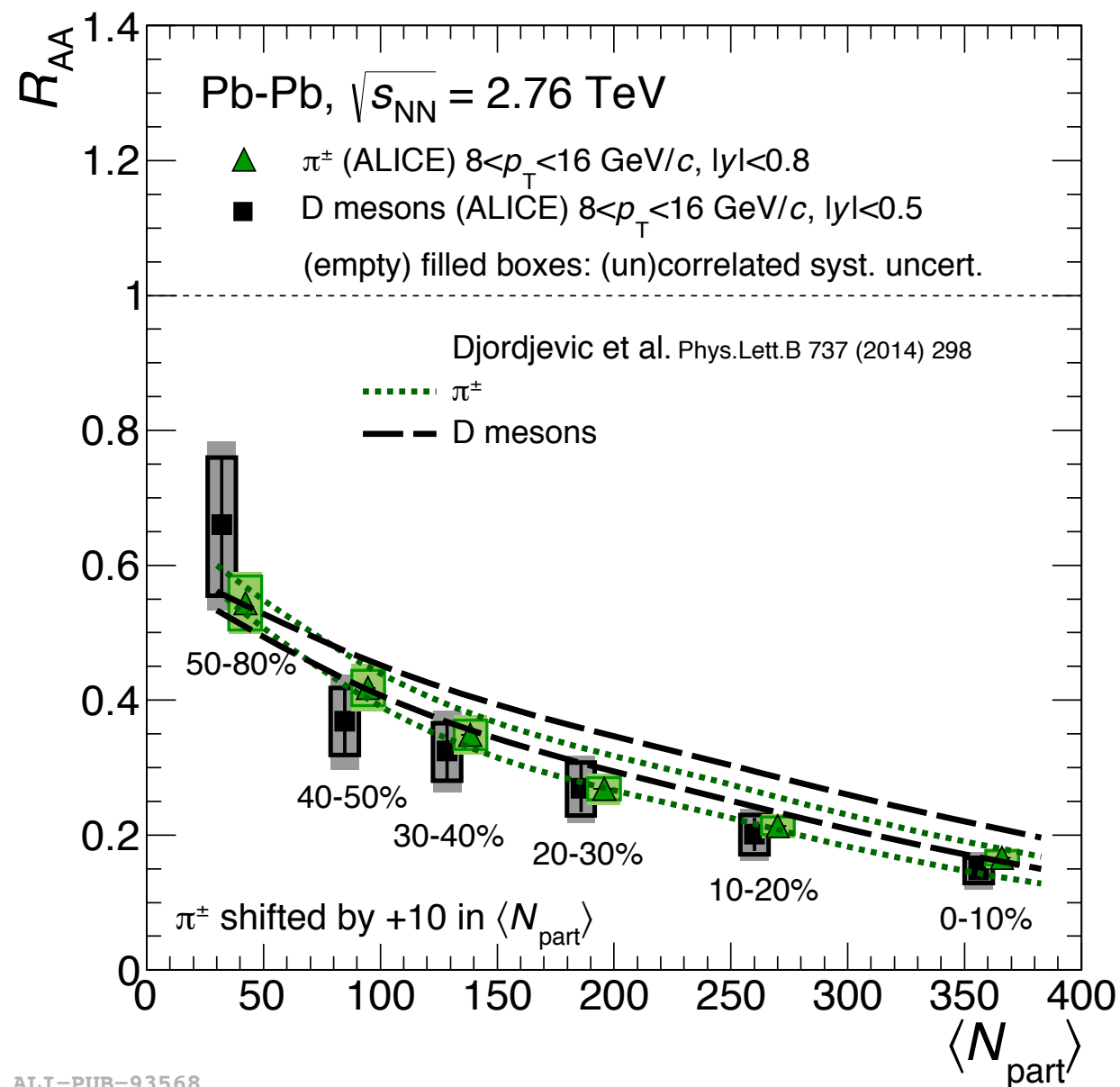
# HF leptons measurements

- \* Open Heavy-flavour  $R_{pPb}$  measurements compatible with unity within uncertainties for **backward**, **central** and **forward** rapidity.
- \* Open heavy-flavour production results are described by models that include Cold Nuclear Matter effects.
- \* Strong suppression of HF leptons in central Pb-Pb collisions,
- \* Similar suppression for muons and electrons even at different rapidity

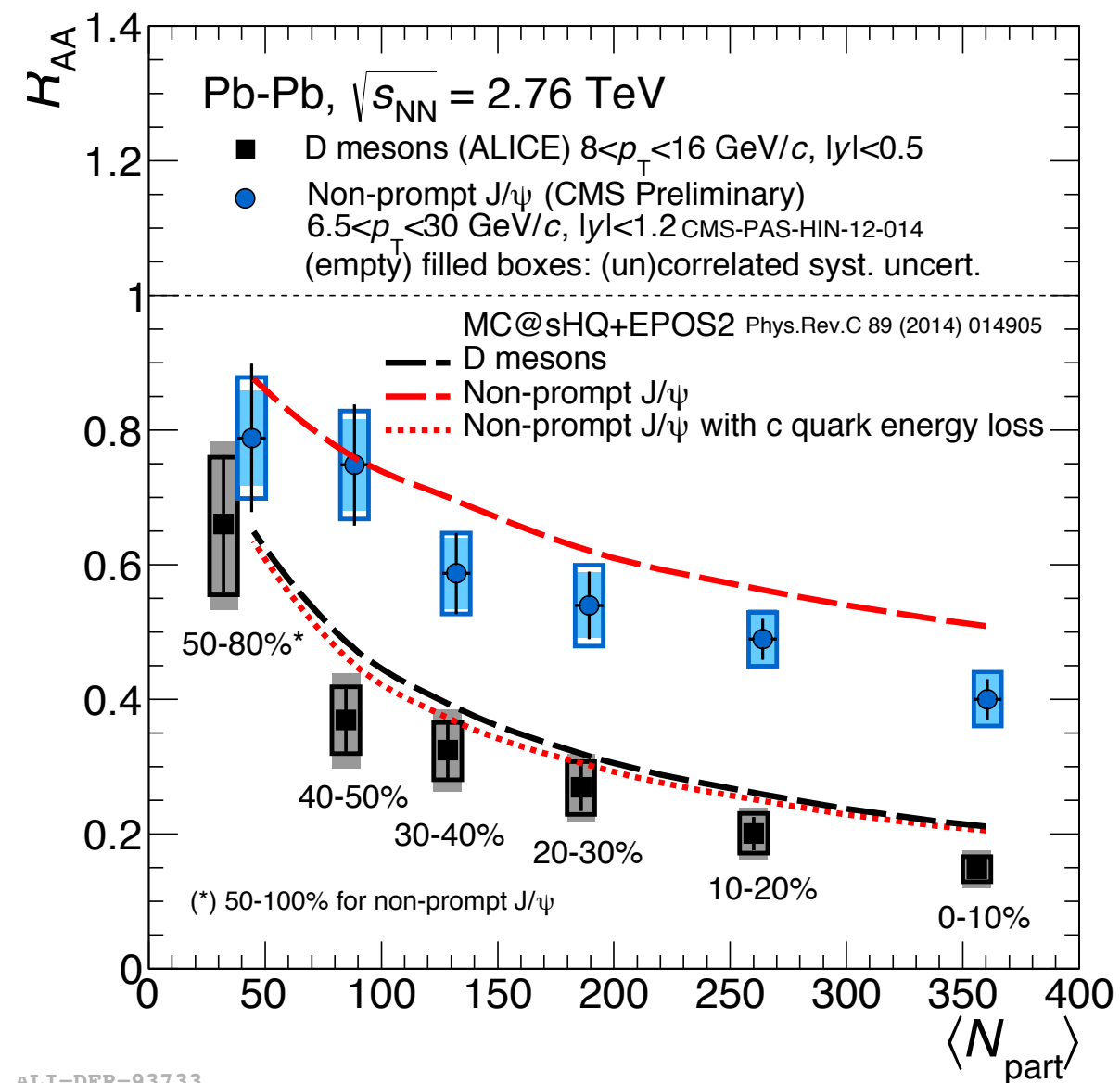
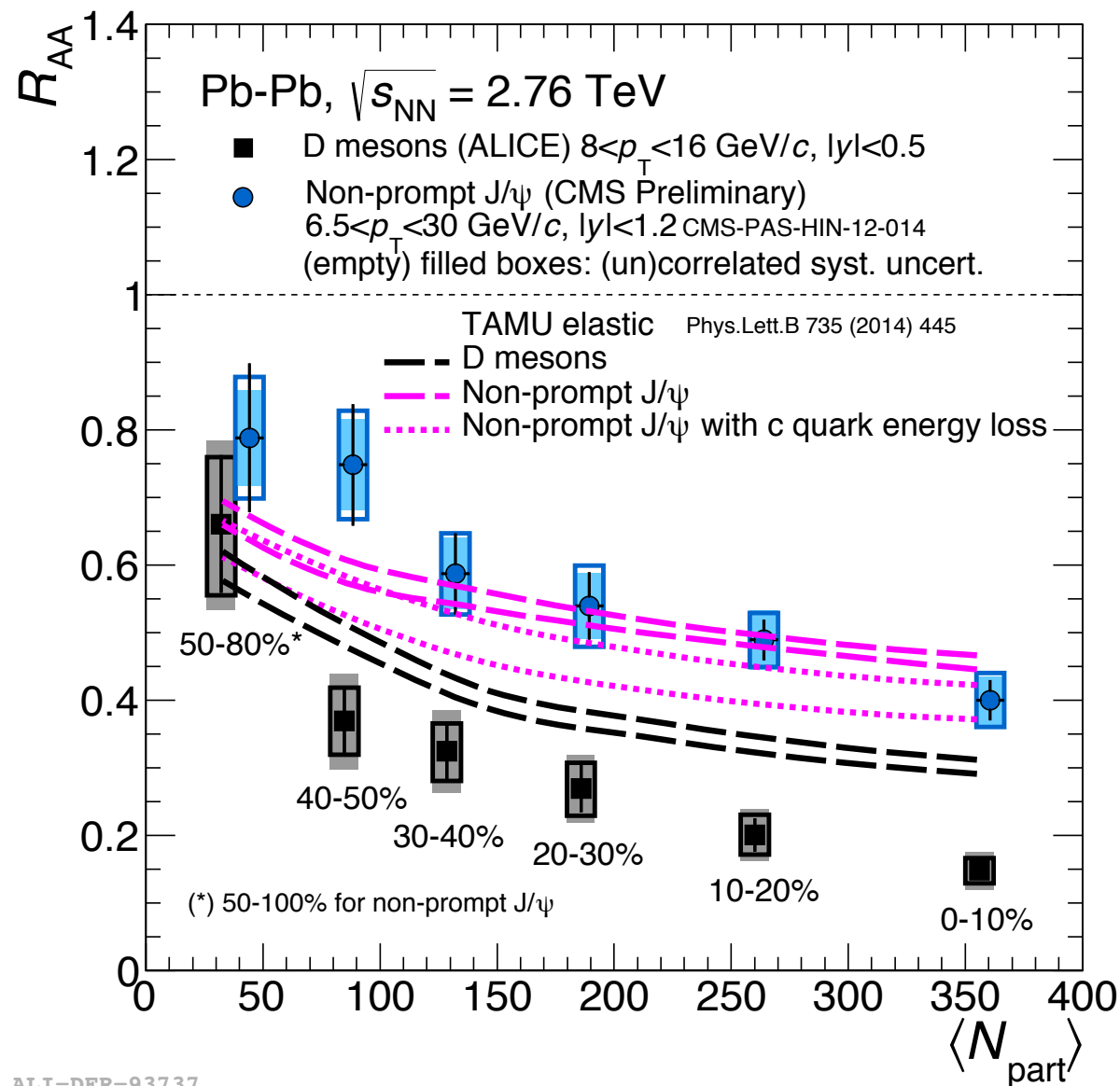


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# D mesons and Non-prompt J/ψ $R_{AA}$ : theoretical models

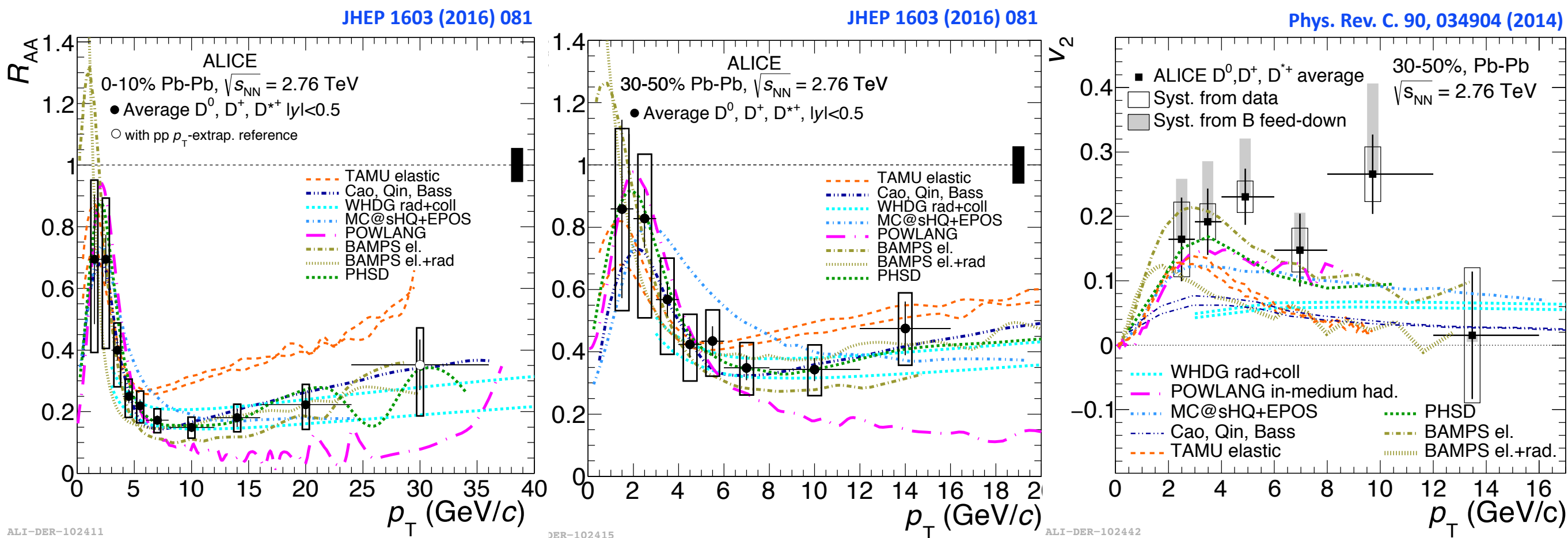


# D mesons and Non-prompt J/ψ $R_{AA}$ : theoretical models



# HF comparison with models

Simultaneous measurement/description of  $v_2$  and  $R_{AA}$   
 → understanding of heavy quark transport coefficients of the medium

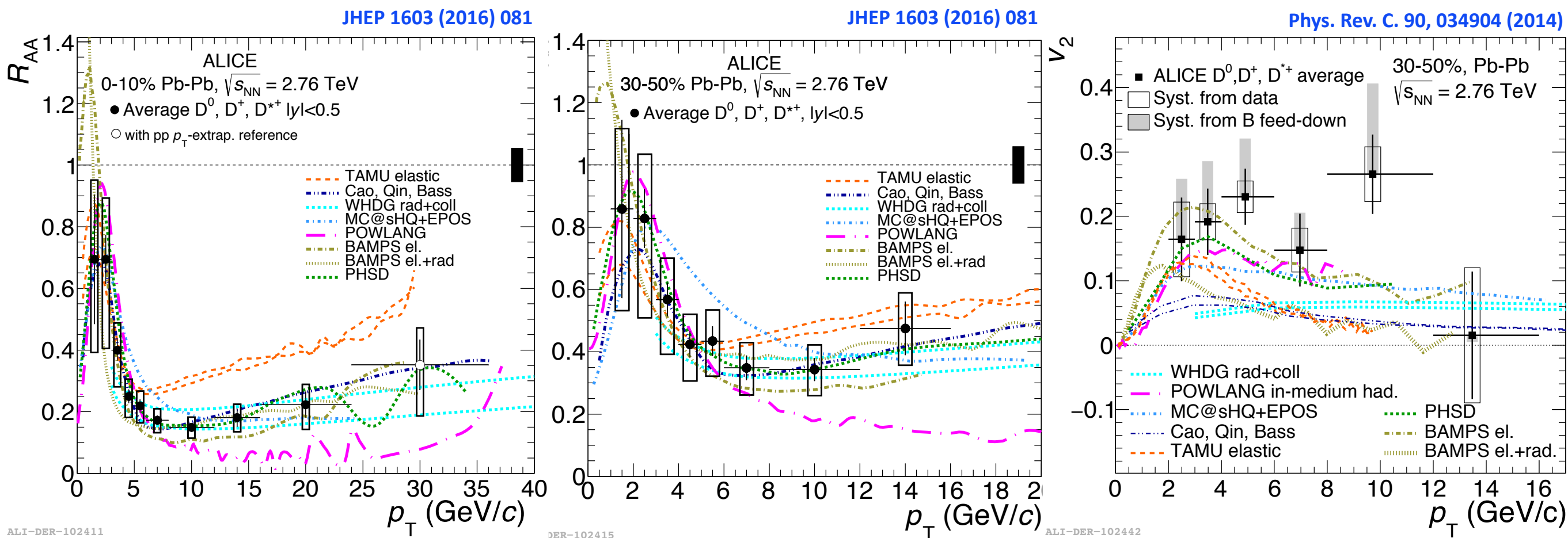


**TAMU model** does not include radiative energy loss and overestimate the  $R_{AA}$   
 → Radiative energy loss needed to describe the  $R_{AA}$  in central collisions

M. He et al, PLB 735 (2014) 445-450

# HF comparison with models

Simultaneous measurement/description of  $v_2$  and  $R_{AA}$   
 → understanding of heavy quark transport coefficients of the medium



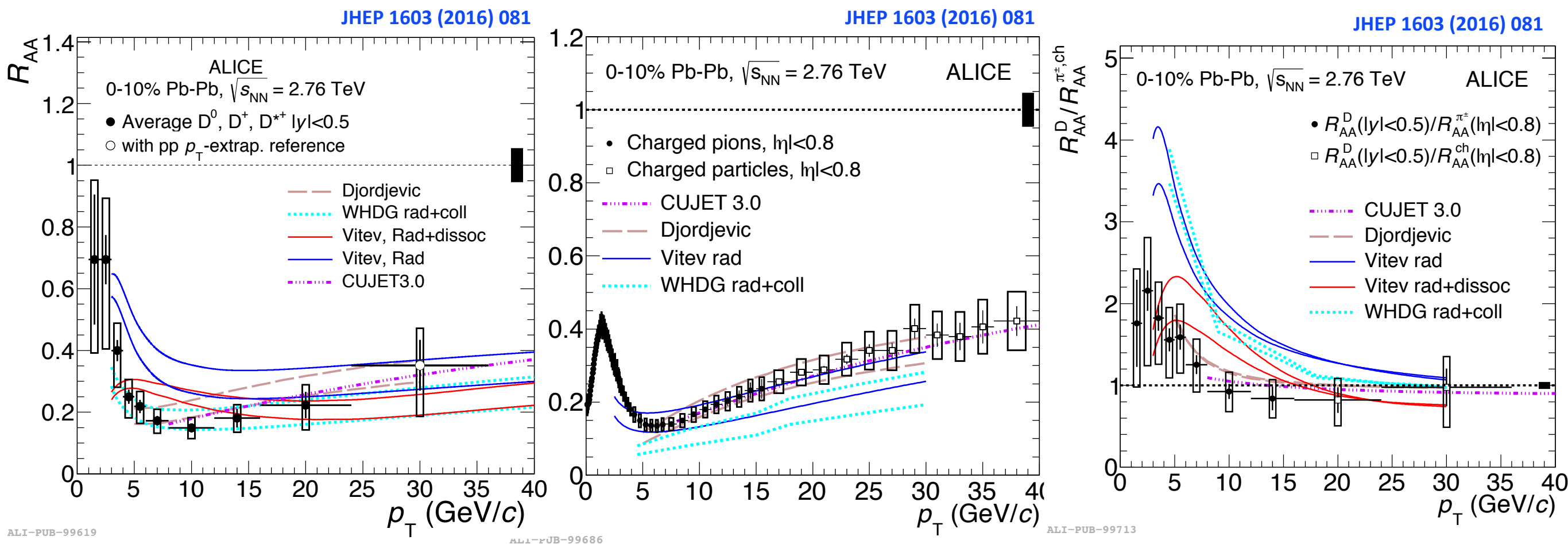
**WHDG** does not include an expanding medium and underestimate  $v_2$ .

**BAMPS**, **TAMU**, **MC@sHQ** include both collisional energy loss in an expanding medium and recombination → better agreement with  $v_2$  data

M. He et al, PLB 735 (2014), S.Wicks et al, Nucl. Phys. A784 (2007), J.Uphoff et al, PLB 717 (2010), M.Nahrgang et al., PRC 89 (2014)

# HF comparison with models

Simultaneous measurement/description of  $v_2$  and  $R_{AA}$   
 → understanding of heavy quark transport coefficients of the medium



Only **Djordjevic** and **CUJET 3.0** models can describe the two  $R_{AA}$  ( $p_T > 5$  GeV/c).  
 → they both include radiative and collisional energy loss.

Djordjevic et al., PLB 737 (2014), J. Xu et al., arXiv:1508.00552

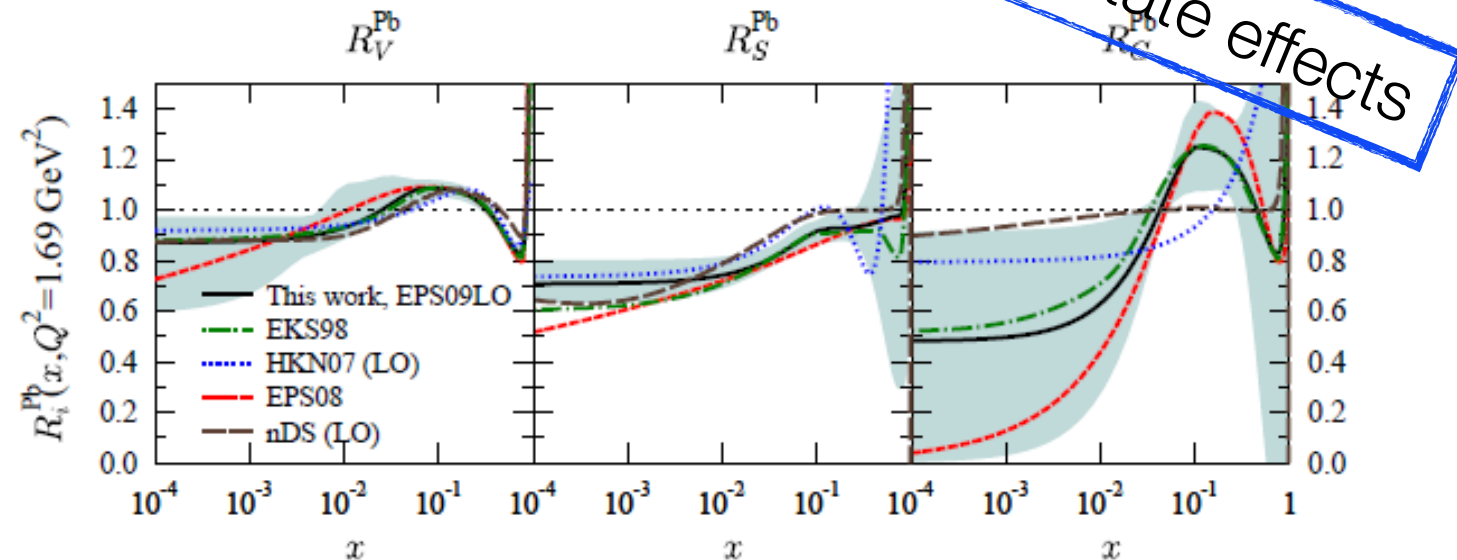


# Heavy quarks in p-Pb collisions

In p-Pb collisions, heavy-quark production affected by high gluon density regime at the LHC that can be implemented via:  
modified PDF in case of partons bound in nuclei.

Color Glass Condensate.

McLerran, Venugopalan PRD49 (1994) 2233,  
Fujii-Watanabe, arXiv:1308.1258



see e.g. Eskola et al. JHEP0904(2009)065

Coherent energy loss:

Gluon radiation expected in scatterings of an incoming parton with a compact and colorful system of partons.

Arleo, Peigné, Sami, PRD83 (2011) 114036

Comover interactions:

Quarkonium dissociation due to interactions with the comoving partonic medium that then associate again in the bound state.

Capella, Ferreiro, Kaidalov, PRL85 (2000) 2080–2083

# Other cold nuclear matter effects

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## Colour Glass Condensate:

Effective theory used to approximate the saturation regime. Based on the high density of gluons that don't change their position rapidly.

[McLerran, Venugopalan PRD49 \(1994\) 2233, Fujii-Watanabe, arXiv:1308.1258](#)

## $k_T$ broadening:

partons can reduce their transverse momentum due to multiple soft collisions before the hard scattering occurs.

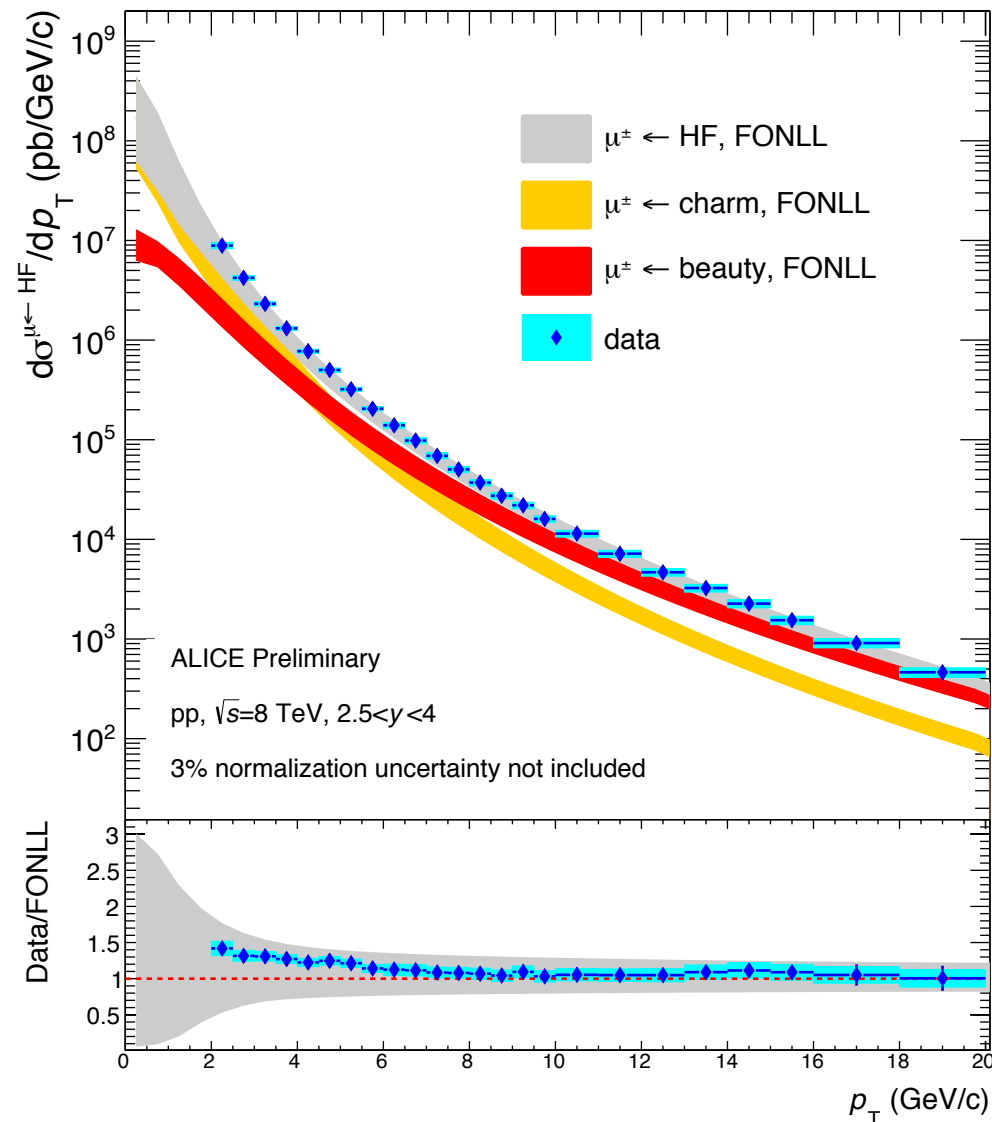
[M. Lev and B. Petersson, Z. Phys. C 21 \(1983\) 155. , X. N. Wang, Phys. Rev. C 61 \(2000\) 064910.](#)

## Parton energy loss:

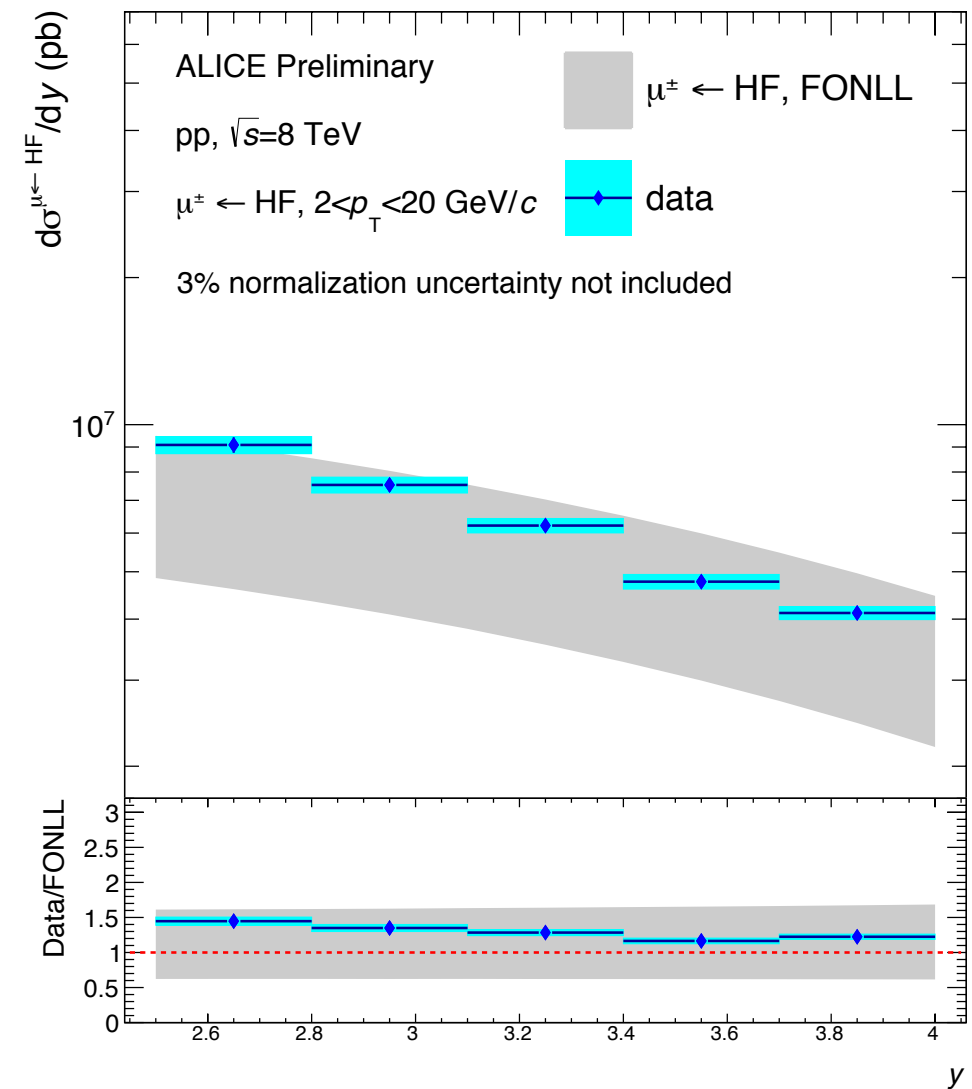
recent calculations based on the possibility that cc pair are also affected by energy loss in pPb due to the high energy density reached at LHC energies.

[F. Arleo, S. Peigne, T. Sami, Phys. Rev. D 83 \(2011\) 114036.](#)

# HF muons in pp collisions



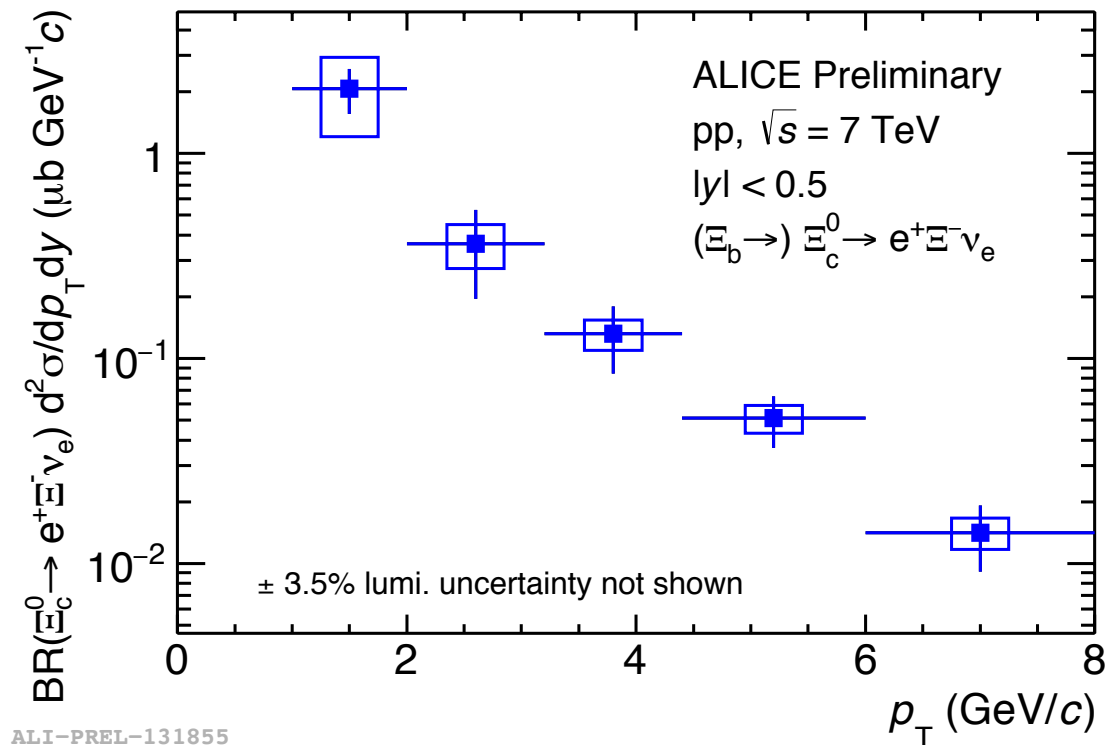
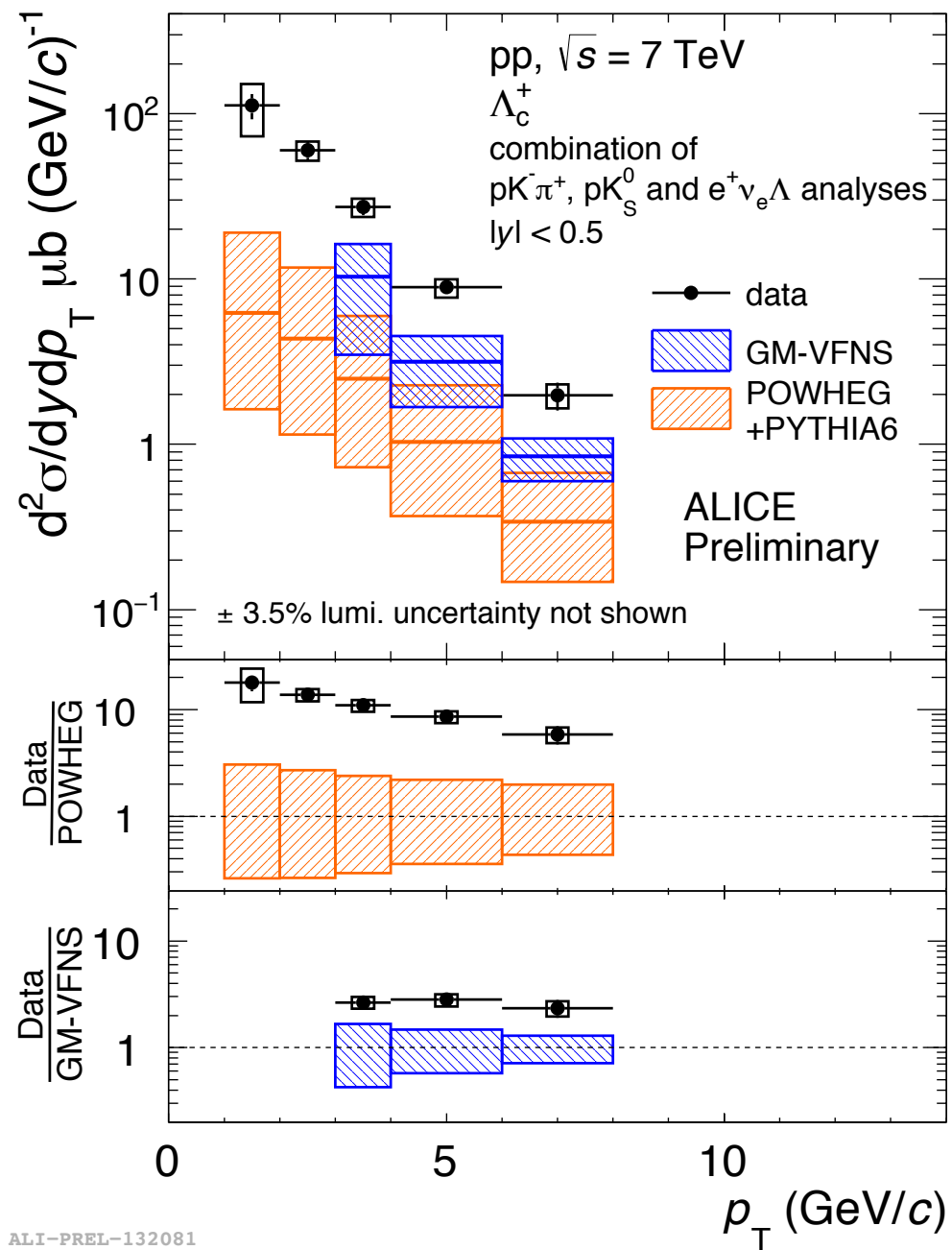
ALI-PREL-135644



ALI-PREL-135656

- \* multi-differential ( $p_T - y$ ) HF muons cross section in pp collisions.
- \* good agreement with FONLL calculations
- \* data can provide constraints to models!

# Charmed baryons



- \* First measurement of  $\Xi_c^0 p_T$  differential cross section in pp collisions at LHC (BR unknown)
- \*  $\Lambda_c^+ p_T$  differential cross section in underestimated by NLO calculations