

# The top-quark mass: uncertainties due to $b$ -quark fragmentation

GENNARO CORCELLA

*INFN - Laboratori Nazionali di Frascati*

1. Introduction
2. Resummed calculations and Monte Carlo codes for  $b$ -fragmentation in top events
3. Sensitivity of top mass to shower and hadronization parameters
4. Conclusions

Frascati workshop on 'Top mass: challenges in definition and determination',  
<https://agenda.infn.it/conferenceDisplay.py?confId=9202>

TOP 2015 and 2016 workshops: <http://top2015.infn.it/>, <https://indico.cern.ch/event/486433/>  
G.C., PoS TOP2015 (2016) 037, arXiv:1511.08429

Work in progress with R.Franceschini and D.Kim

Reliable description of multiple radiation in top production and decay and  $b$ -fragmentation is fundamental in the measurement of the top properties, e.g.  $m_t$

$b$ -fragmentation enters in JES and MC uncertainties, typically evaluated by comparing two codes (HERWIG vs PYTHIA) or two different models in a given generator

World average:  $\Delta m_t(\text{tot}) \simeq 760 \text{ MeV}$ ;  $\Delta m_t(\text{JES}) \simeq 250 \text{ eV}$ ,  $\Delta m_t(\text{MC}) \simeq 380 \text{ MeV}$

Several calculations and tools are available for bottom fragmentation in top decays

**Perturbative-fragmentation approach:** factorization of a massless hard scattering (being  $m_b \ll m_t$ ) and universal perturbative fragmentation function (Mele-Nason, '91)

DGLAP evolution to resum (collinear)  $\alpha_S^n \ln^k(m_t^2/m_b^2)$

NLO+NLL calculation for  $b$  and  $B$ -hadron energy spectra in top decays (NWA), including threshold resummation. Hadron corrections from  $e^+e^-$  data (G.C., M.Cacciari and A.Mitov '02)

Could be extended to NNLO+NNLL thanks to NNLO coefficient functions (Bruchenseifer, Caola, Melnikov '13), perturbative fragmentation function (Melnikov, Mitov '14) and time-like splitting functions (Moch, Mitov, Vogt '06)

NLO distributions with collinear resummation, NWA, hadronization as above (Biswas, Melnikov and Schulze, '10)

NNLO+NNLL fragmentation in SCET for  $e^+e^- \rightarrow b\bar{b}$  Fickinger, Fleming, Kim and Mereghetti '16

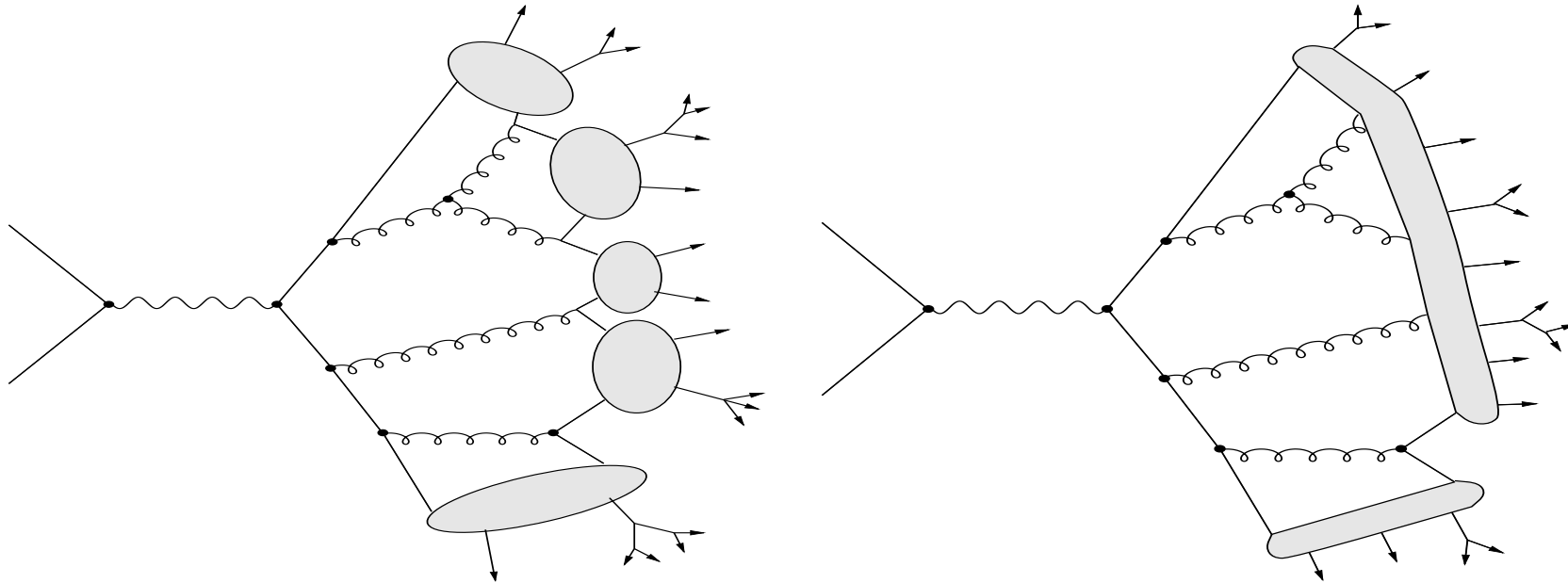
Standard generators (HERWIG, PYTHIA): LO matrix element, parton showers LL+(N)LL, tree-level matrix-element matching, string/cluster models for hadronization

Late progress in NLO+shower generators:

aMC@NLO: NLO single top, not yet  $t\bar{t}$ , though MadSpin includes some off-shell effects

POWHEG: NLO+PS for  $t\bar{t}$  production and decay using OpenLoops, including non-resonant diagrams and interference top production/decay (Talk by T.Jezo)

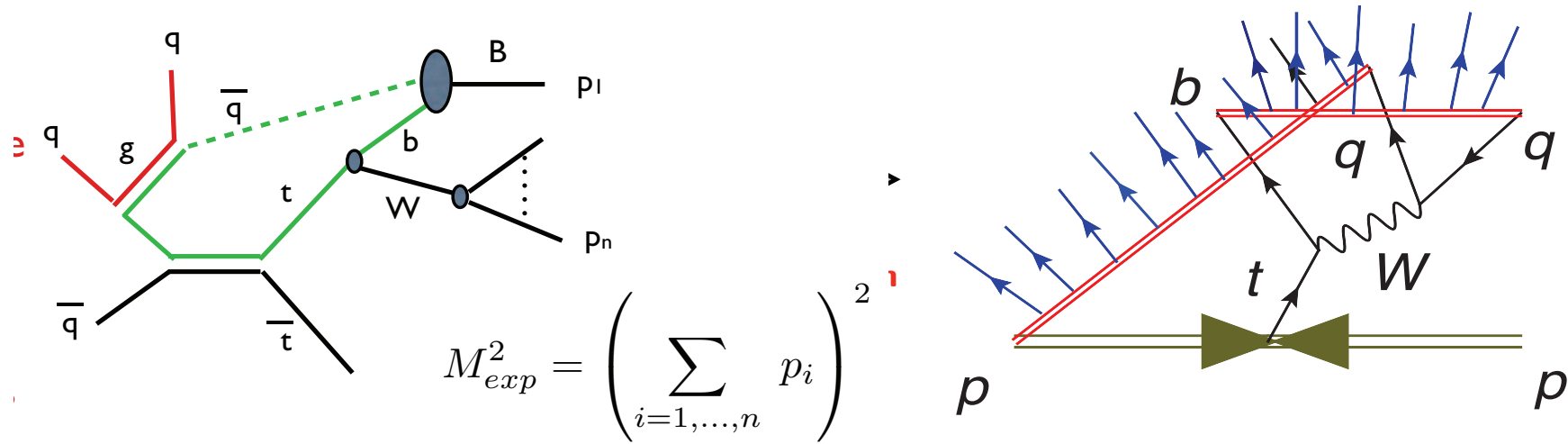
aMC@NLO and POWHEG rely on HERWIG and PYTHIA for shower and hadronization



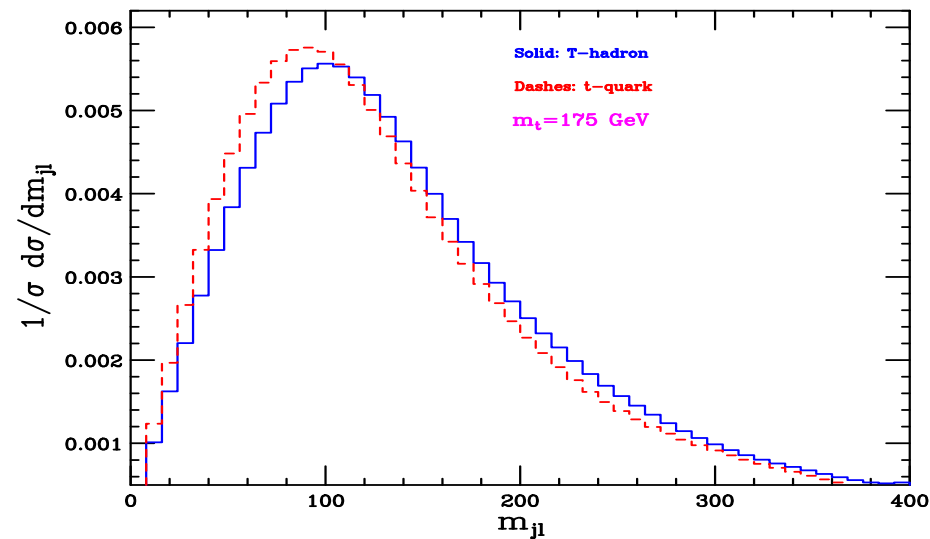
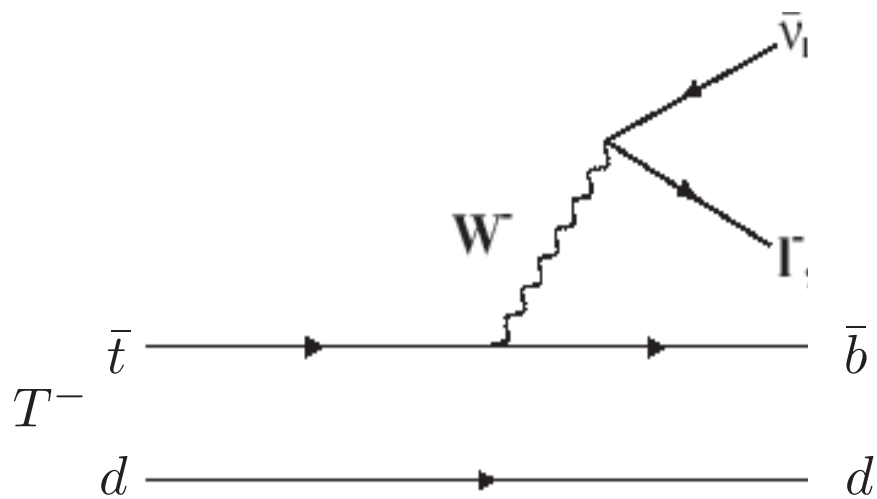
Left: HERWIG cluster model; Right: PYTHIA string model

Figure from Ellis, Stirling, Webber, 'QCD and Collider Physics'

*b*-fragmentation and colour reconnection (uncertainty on  $m_t^{\text{pole}}$ )  $\Delta m(\text{CR}) \simeq 0.3 \text{ GeV}$



Simulation of fictitious *T*-hadrons (HERWIG 6, preliminary) (G.C. and M.Mangano, in progress)



Useful to study colour reconnection and uncertainty on measured  $m_t$  vs pole mass

$$m_T^{\text{reco}} = m_t^{\text{reco}} + \Delta m^{\text{reco}} \text{ with } m_T = m_t^{\text{pole}} + m_q + \Delta m_{t,T} \text{ (lattice, NRQCD, etc.)}$$

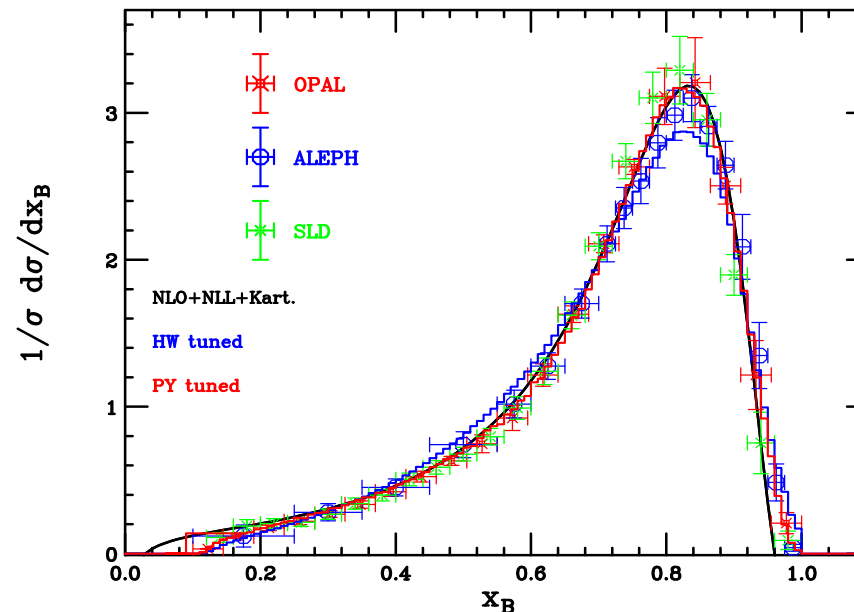
# Tuning HERWIG and PYTHIA to $e^+e^-$ data (G.C. and Drollinger '05, G.C. and F.Mescia '10)

HERWIG	PYTHIA
CLSMR(2) = 0.3 (0.0)	PARJ(41) = 0.85 (0.30)
DECWT = 0.7 (1.0)	PARJ(42) = 1.03 (0.58)
CLPOW = 2.1 (2.0)	PARJ(46) = 0.85 (1.00)
PSPLT(2) = 0.33 (1.00)	
$\chi^2/\text{dof} = 222.4/61$ (739.4/61)	$\chi^2/\text{dof} = 45.7/61$ (467.9/61)

Lund/Bowler fragmentation function (PYTHIA):  $f_B(z) \sim (1-z)^a \exp(-bm_T^2/z)/z^{1+brm_b^2}$

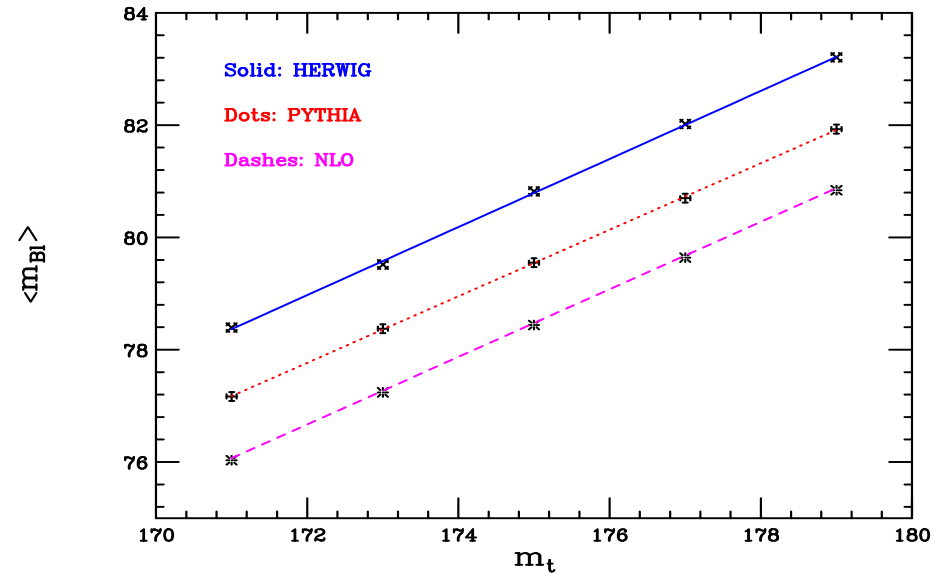
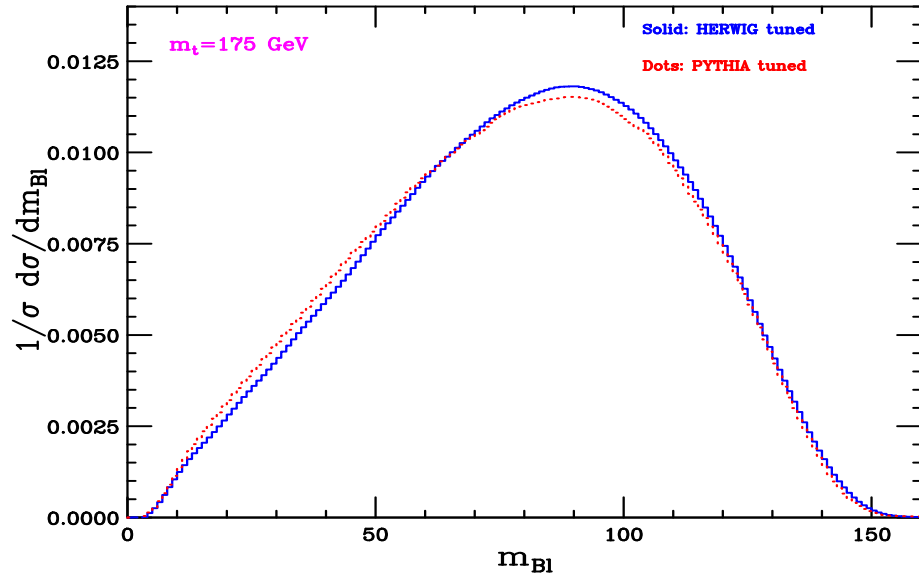
HERWIG parameters describe gaussian smearing (CLSMR), baryon/meson (CLPOW) and decuplet/octet (DECWT) ratios, mass spectrum of  $b$ -like clusters (PSPLT)

Our PYTHIA tuning in ATLAS jet-energy measurement and  $m_t$  world average

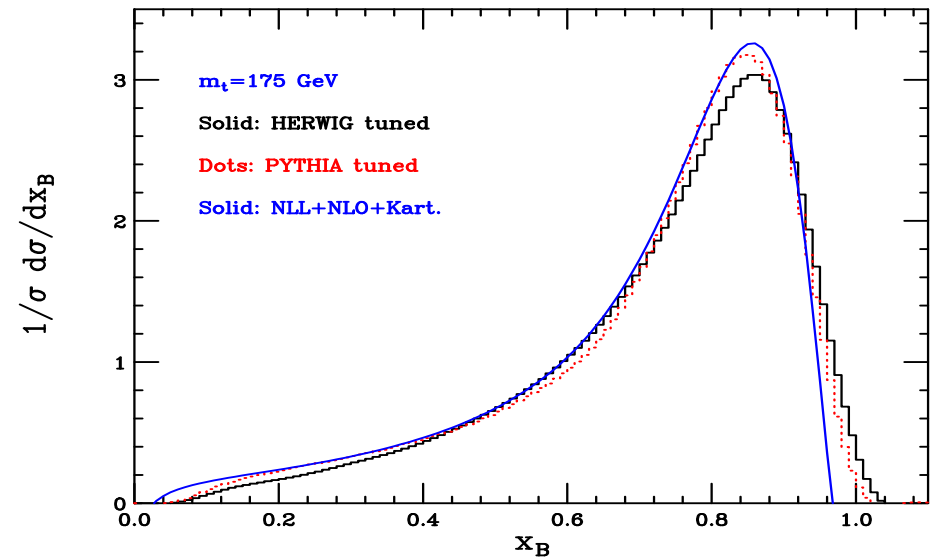
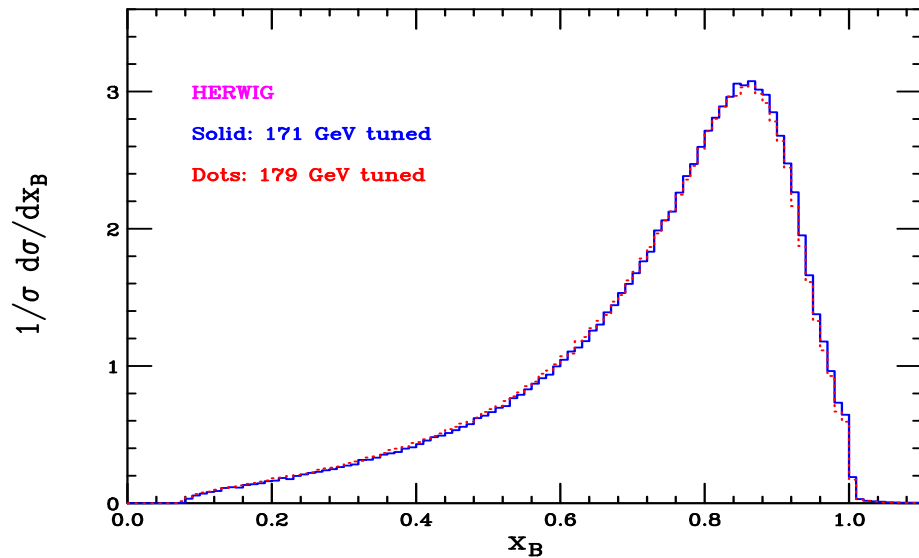


NLO+NLL calculation uses:  $D(x) = Nx^\alpha(1-x)$  and fits  $\alpha$  to data

# $m_{B\ell}$ according to tuned HERWIG and PYTHIA and NLO

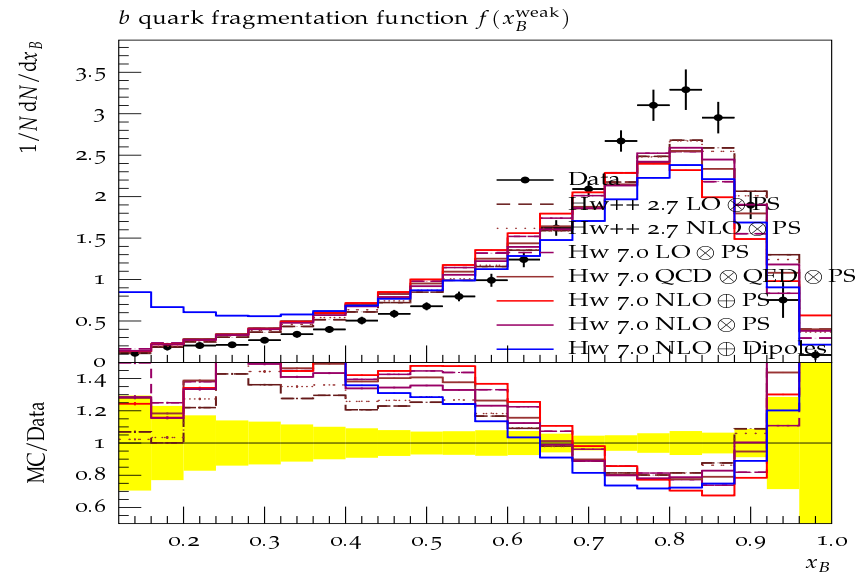
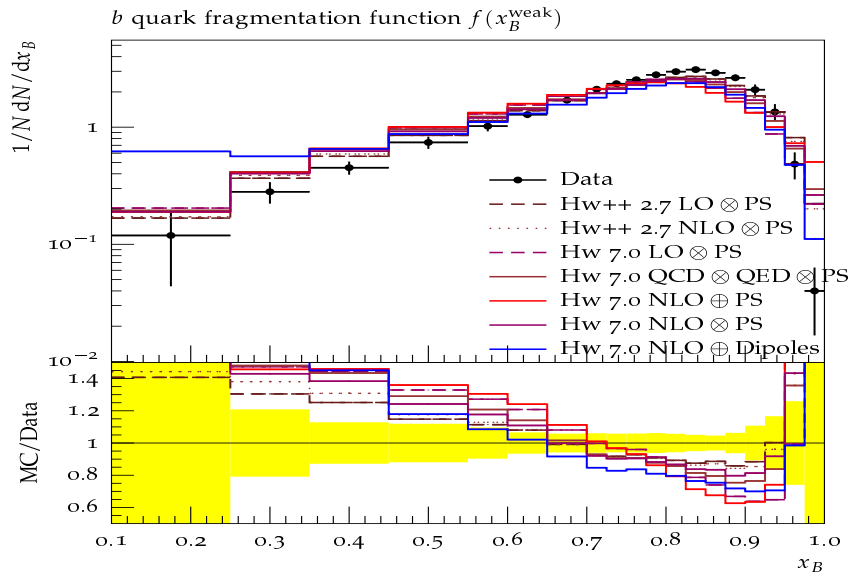


$B$ -energy fraction  $x_B = (2p_B \cdot p_t)/m_t^2$ : mild dependence on  $m_t$ , but hard to measure



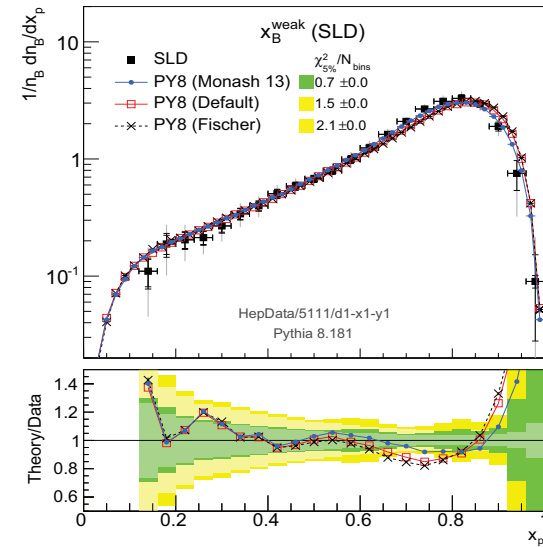
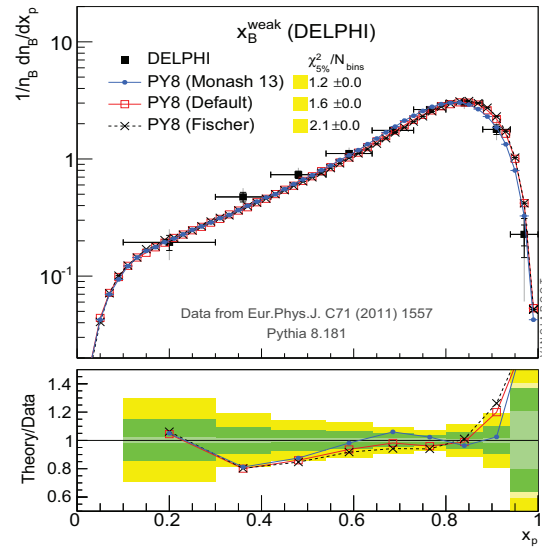
NLO+showers for top decays or C++ codes may shed light on  $m_{B\ell}$  and  $x_B$  discrepancies

# Results with HERWIG 7 (from <https://herwig.hepforge.org/plots/herwig7.0/>)



Left: ALEPH data; Right: SLD data

# PYTHIA 8.1 with Monash tuning for *b*-fragmentation (Skands, Carrazza, Rojo, '14)

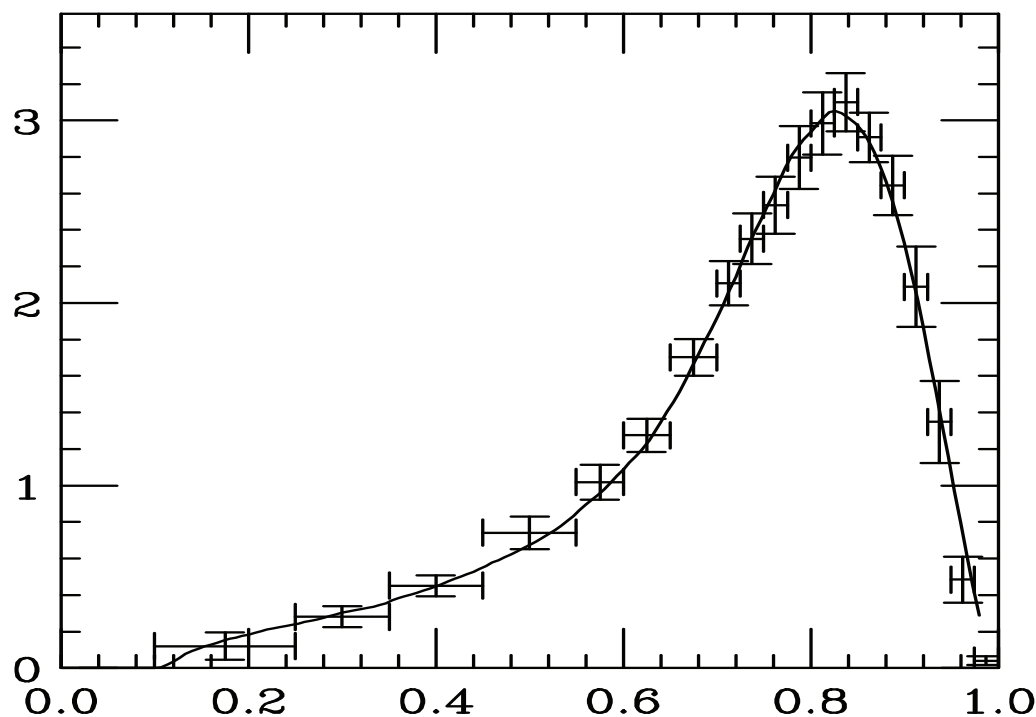


Preliminary results with POWHEG+PYTHIA 8 (E.Bagnaschi)

POWHEG has a private version with  $e^+e^- \rightarrow q\bar{q}$  at NLO

Though it should be consistent with HERWIG and PYTHIA with matrix-element corrections, it may be worth to retune

Tuning to ALEPH data:  $a = 0.8 \pm 0.19$ ;  $b = 0.85 \pm 0.17$ ;  $r = 0.85 \pm 0.02$ ;  $\chi^2 \sim 10^{-3}$



In progress: extension to top decays and comparison with aMC@NLO and differences between of HERWIG and PYTHIA showers



Novel investigation on fragmentation uncertainties and *in situ* calibration of hadronization models (G.C., R.Franceschini and D.Kim)

Most  $m_t$  methods (template,  $m_{b\ell}$ ,  $E_b$ -peak, endpoint, etc.) rely on  $b$ -jets: JES error

Confronting  $b$ -jets with  $B$ -hadrons: JES  $\Leftrightarrow$  hadronization uncertainty:

$$(m_{j\ell}, E_j, p_{T,j}) \Leftrightarrow (m_{B\ell}, E_B, p_{T,B})$$

Sensitivities of observables  $O$  and top mass to Monte Carlo parameters  $\theta$ :

$$\frac{dm_t}{m_t} = \Delta_O^m \frac{d\langle O \rangle}{\langle O \rangle} ; \quad \frac{d\langle O \rangle}{\langle O \rangle} = \Delta_\theta^O \frac{d\theta}{\theta}$$

Precision of 0.3% on  $m_t$  ( $\Delta m_t \leq 500$  MeV) from measurement of  $\langle O \rangle$ :

$$\frac{dm_t}{m_t} < 0.003 \Rightarrow \Delta_O^m \frac{d\langle O \rangle}{\langle O \rangle} < 0.003 \Rightarrow \Delta_O^m \Delta_\theta^O \frac{d\theta}{\theta} < 0.003$$

**HERWIG 6:** vary **CLPOW**, **PSPLT**, **CLMSR**, **CLMAX**,  $\Lambda_{MC} \simeq \exp(K/4\pi\beta_0)\Lambda_{\overline{MS}}$   
[CMW scheme for NLLs in the shower at large  $x$ ]; shower cutoffs [**VGCUT** and **VQCUT**];  
bottom and gluon effective masses [**RMASS(5)** and **RMASS(13)**]

**PYTHIA 8:** string model  $a$ ,  $b$ ,  $r_B$  parameters,  $p_{T,\min}$ ,  $\alpha_{S,FSR}$

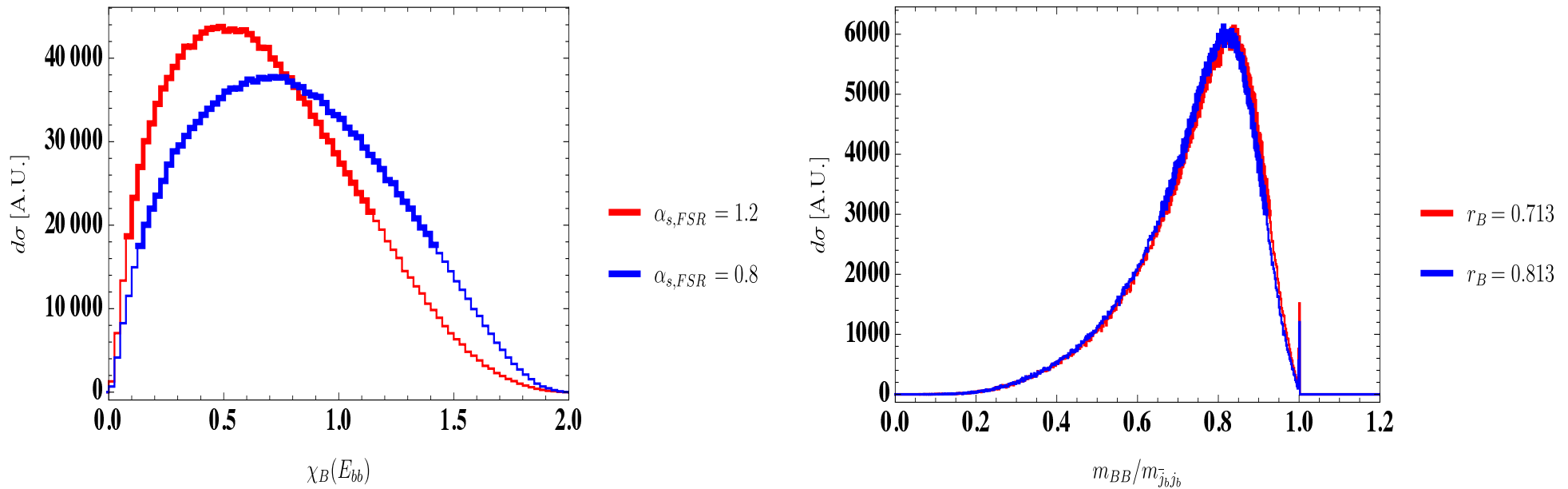
All parameters varied by  $\sim 10\%$  around default values

Calibration observables: quantities which may potentially exhibit mild dependence on  $m_t$  can be used to tune fragmentation parameters

$$p_{T,B}/p_{T,j} ; \rho(r) = \frac{1}{E_j \times \Delta R} \sum_{\text{tracks}} E(\text{tracks}) \Theta(|r - \Delta R|) \text{ (radial energy density);}$$

$$\Delta\phi(j\bar{j}); \Delta R(j\bar{j}); \Delta\phi(B\bar{B}); \Delta\phi(j\bar{j}); m_{B\bar{B}}/m_{j\bar{j}}$$

$$\chi_B(X_B) = 2E_B/X_B, \text{ with } X_B = m_{j\bar{j}}, |p_{T,j}| + |p_{T,\bar{j}}| E_j + E_{\bar{j}}, \sqrt{s_{\min}^{j\bar{j}}}$$



Left:  $\chi_B = E_B/(E_j + E_{\bar{j}})$  shows remarkable dependence on  $\alpha_{S,FSR}$

Right:  $m_{B\bar{B}}/m_{j\bar{j}}$  exhibits mild dependence on  $r_B$

Mellin moments:  $\mathcal{M}_N = \frac{1}{x_{\max} - x_{\min}} \int_{x_{\min}}^{x_{\max}} dx x^{N-1} f(x) ; \mathcal{M}_1 = \langle f \rangle$

$x_{\min}$  and  $x_{\max}$  such that full width at half maximum (FWHM)

## Sensitivity of calibration observables to top mass and PYTHIA parameters

$\mathcal{M}_1$	$\Delta_m^{(\mathcal{M}_1)}$	$\Delta_\theta^{(\mathcal{M}_1)}$					
		$\alpha_{s,FSR}$	$m_b$	$p_{T,\min}$	$a$	$b$	$r_B$
$\rho(r)$	-0.069(5)	0.52(4)	0.18(1)	-0.100(2)	0.033(3)	-0.055(8)	0.07(1)
$p_{T,B}/p_{T,j}$	-0.020(1)	-0.043(2)	-0.04(1)	0.013(7)	-0.011(1)	0.018(1)	-0.022(1)
$m_j$	0.28(1)	0.18(1)	0.011(3)	-0.018(2)	-0.0008(20)	0.0017(1)	-0.0004(40)
$\chi_B(\sqrt{s_{\min,b\bar{b}}})$	-0.045(2)	-0.24(2)	-0.072(1)	0.021(7)	-0.009(1)	0.022(1)	-0.025(6)
$\chi_B(E_j + E_{\bar{j}})$	-0.10(1)	-0.30(2)	-0.10(1)	0.028(1)	-0.011(1)	0.029(1)	-0.033(5)
$\chi_B(m_{j\bar{j}})$	-0.19(3)	-0.50(5)	-0.18(1)	0.06(1)	-0.032(6)	0.05(2)	-0.06(5)
$\chi_B( p_{T,j}  +  p_{T,\bar{j}} )$	-0.24(3)	-0.49(6)	-0.19(1)	0.051(1)	-0.030(1)	0.05(1)	-0.024(2)
$\Delta\phi(j\bar{j})$	-0.011(3)	0.0036(1)	-0.0001(20)	-0.0006(6)	0.0003(4)	0.002(1)	0.003(7)
$\Delta R(j\bar{j})$	-0.007(2)	0.001(1)	-0.0007(4)	-0.0001(3)	0.0004(20)	0.0012(3)	0.0030(3)
$\Delta\phi(B\bar{B})$	-0.012(3)	0.0015(7)	-0.0005(30)	-0.0003(7)	-0.0001(30)	0.002(1)	0.0036(5)
$\Delta R(B\bar{B})$	-0.007(2)	0.0003(3)	-0.0006(5)	0.00002(40)	0.0002(20)	0.0008(2)	0.003(2)
$ \Delta\phi(B\bar{B}) - \Delta\phi(j\bar{j}) $	0.03(1)	0.66(1)	0.14(1)	-0.091(6)	0.013(5)	-0.020(8)	0.05(4)
$ \Delta R(B\bar{B}) - \Delta R(j\bar{j}) $	0.08(1)	0.88(1)	0.14(1)	-0.086(5)	0.015(5)	-0.020(5)	0.03(1)
$m_{B\bar{B}}/m_{j\bar{j}}$	-0.023(1)	-0.053(1)	-0.043(1)	0.013(1)	-0.008(1)	0.017(1)	-0.020(5)

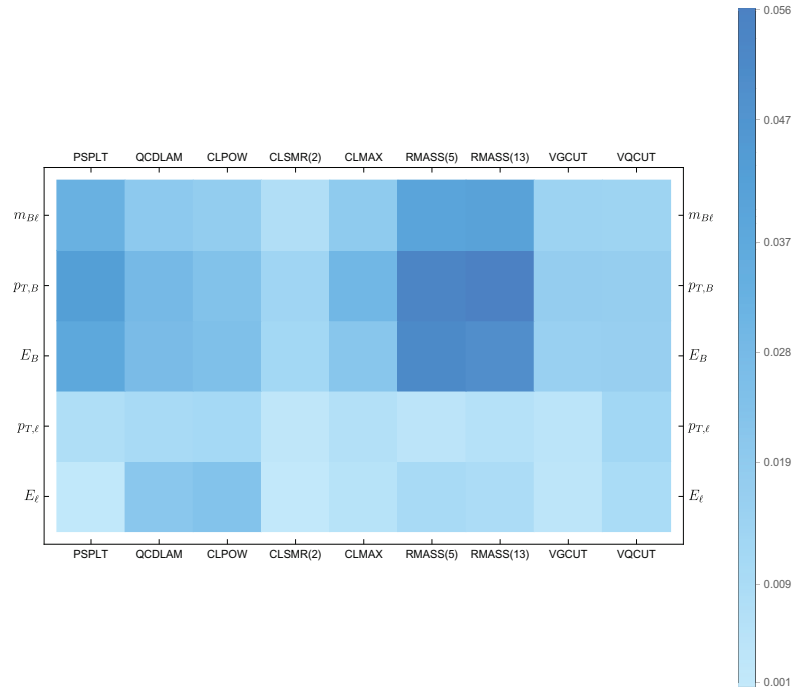
With the exception of  $m_j$  and  $\chi_B$ , all observables have  $\Delta_m^{\langle O \rangle} \leq \mathcal{O}(10^{-2})$

$\rho(r)$  and  $\chi_B$  variables exhibit the largest dependence on PYTHIA parameters; mild dependence of  $\Delta R$ ,  $\Delta\phi$  and  $B/j$  ratios

# Results on $m_t$ -determination sensitivity to Monte Carlo parameters

HERWIG: FHWM Mellin moments of  $m_{B\ell}$ ,  $p_{T,B}$ ,  $E_B$ ,  $p_{T,\ell}$ ,  $E_\ell$

Mellin-1	$\Delta_{m_t}^{(\mathcal{M}_1)}$	$\frac{dm_t}{d\mathcal{M}_1}$	$\Delta_\theta^{(\mathcal{M}_1)}$								
			PSPLT	QCDLAM	CLPOW	CLSMR(2)	CLMAX	RMASS(5)	RMASS(13)	VGCUT	VQCUT
$m_{B\ell, \text{true}}$	1.2	1.63	0.019(1)	-0.0065(1)	-0.003(1)	0.0011(8)	-0.004(1)	0.03(4)	0.032(2)	0.0017(3)	-0.002(1)
$p_{T,B}$	1.2	3.3	0.033(3)	-0.013(4)	-0.007(3)	0.0017(2)	-0.008(4)	0.053(2)	0.0563(2)	0.0031(5)	-0.003(2)
$E_B$	1.1	2.4	0.028(2)	-0.012(1)	-0.0075(2)	0.0016(3)	-0.005(5)	0.049(3)	0.049(3)	0.003(8)	-0.003(2)
$p_{T,\ell}$			0.002(2)	0.001(2)	-0.002(4)	0.0001(2)	-0.0007(4)	-0.0005(3)	-0.0005(2)	-0.0003(4)	0.002(1)
$E_\ell$			-0.0005(4)	-0.006(3)	-0.003(3)	-0.00002(2)	-0.0002(5)	0.001(4)	0.001(2)	-0.0002(5)	0.001(2)



PSPLT,  $\Lambda_{\text{MC}}$ ,  $m_b$  and  $m_g$  to be determined at  $\mathcal{O}(10\%)$  for a 300 MeV accuracy on  $m_t$

Other parameters: order of magnitude is enough

## Sensitivity of $m_t$ to PYTHIA parameters

Mellin moments of  $E_B$ ,  $E_B + E_{\bar{B}}$ ,  $p_{T,B}$ ,  $p_{T,B} + p_{T,\bar{B}}$ ,  $m_{B\ell}$ ,  $m_{T2}$

$$m_{T2} = \min_{\not{p}_1 + \not{p}_2 = \not{p}_T} \left\{ \max \left[ m_T^2(p_{T,\ell-}, \not{p}_1), m_T^2(p_{T,\ell+}, \not{p}_2) \right] \right\}$$

Mellin-1	$\Delta_{m_t}^{(\mathcal{M}_1)}$	$\frac{dm_t}{d\mathcal{M}_1}$	$\Delta_{\theta}^{(m_t)}$					
			$\alpha_{s,FSR}$	$p_{T,\min}$	recoil	$r_B$	$a$	$b$
$E_B$	1.1	2.4	0.43	0.019	0.028	0.039	0.020	0.039
$E_B + E_{\bar{B}}$	1.2	0.99	0.42	0.019	0.032	0.046	0.023	0.034
$p_{T,B}$	1.2	3.3	0.43	0.021	0.027	0.043	0.022	0.042
$p_{T,B} + p_{T,\bar{B}}$	1.2	1.47	0.36	0.017	0.024	0.042	0.016	0.044
$m_{B\ell,\min}$	1.0	2.6	0.26	0.011	0.016	0.041	0.011	0.031
$m_{B\ell,\text{true}}$	1.2	1.63	0.24	0.008	0.013	0.031	0.009	0.022
$m_{T2,B\ell,\text{true}}^{(\text{mET})}$	0.97	1.51	0.24	0.012	0.012	0.034	0.013	0.032
$m_{T2,B\ell,\min}^{(\text{mET})}$	0.98	1.42	0.23	0.011	0.012	0.031	0.012	0.03
$m_{T2,B\ell,\min,\perp}^{(\text{mET})}$		1.55	0.24	0.01	0.011	0.038	0.011	0.03
$m_{T2,B\ell,\text{true}}^{(\text{ISR})}$	0.99	1.45	0.21	0.007	0.01	0.022	0.008	0.022
$m_{T2,B\ell,\min}^{(\text{ISR})}$	0.97	1.35	0.2	0.008	0.013	0.024	0.01	0.027
$m_{T2,B\ell,\min,\perp}^{(\text{ISR})}$		1.52	0.22	0.01	0.01	0.03	0.013	0.03

Final-state strong coupling constant needed at 1%, other parameters at 10%

Stronger parameter dependence in PYTHIA than in HERWIG on  $m_t$  observables

In progress: checks with POWHEG and aMC@NLO to possibly decrease  $\Delta$ 's

Shape analysis: beyond Mellin moments (average values) peaks and endpoints ( $\dot{O}$ ) may be useful to determine  $m_t$

$\mathcal{M}_1$	$\Delta_{m_t}^{(\mathcal{M}_1)}$	$\frac{dm_t}{d\mathcal{M}_1}$	$\Delta_{\theta}^{(\mathcal{M}_1)}$					
			$\alpha_{s,FSR}$	$p_{T,\min}$	recoil	$r_B$	$a$	$b$
$E_{B,\text{peak}}$	1.44	2.8	0.46	0.018	0.032	0.057	0.018	0.042
$\dot{m}_{Bl,\min}$	1.28	0.89	0.0021	$< 10^{-3}$	$< 10^{-3}$	0.0038	0.0021	0.0017
$\dot{m}_{Bl,\text{true}}$	1.28	0.89	0.005	$< 10^{-3}$	$< 10^{-3}$	0.0035	0.0014	$< 10^{-3}$
$\dot{m}_{T2,Bl,\text{true}}^{(\text{mET})}$	1.01	1	$< 10^{-3}$	$< 10^{-3}$	$< 10^{-3}$	0.0029	0.0015	0.0014
$\dot{m}_{T2,Bl,\min}^{(\text{mET})}$	1	1	0.0016	$< 10^{-3}$	$< 10^{-3}$	0.0035	0.0012	$< 10^{-3}$
$\dot{m}_{T2,Bl,\min,\perp}^{(\text{mET})}$		0.96	0.016	$< 10^{-3}$	$< 10^{-3}$	0.0071	0.0019	0.0017
$\dot{m}_{T2,Bl,\text{true}}^{(\text{ISR})}$	0.99	1	0.0067	0.0015	$< 10^{-3}$	0.0042	0.0021	0.0024
$\dot{m}_{T2,Bl,\min}^{(\text{ISR})}$	0.98	1	0.0063	0.0015	$< 10^{-3}$	0.0031	0.0021	0.0023
$\dot{m}_{T2,Bl,\min,\perp}^{(\text{ISR})}$		0.98	0.0056	$< 10^{-3}$	$< 10^{-3}$	0.0042	0.0016	0.0014

Energy peaks exhibit substantial dependence on shower/hadronization parameters, especially on  $\alpha_{S,FSR}$

Very little sensitivity of endpoints on all parameters:  $\Delta_{\theta}^{(\mathcal{M}_1)} \leq 10^{-3} - 10^{-2}$

## Conclusions and outlook

Bottom fragmentation in top decays contributes to error on top mass: JES and MC uncertainties

Predictions for top decays yielded by the different codes exhibit some discrepancies, mostly driven by unsatisfactory tunings

Novel investigation on dependence of top-mass observables on MC parameters: determination may be needed to 1% accuracy to meet 0.3% precision on  $m_t$

Calibration observables (angular variables, hadron/jet ratios, etc.) useful to constrain parameters thanks to mild dependence on  $m_t$

Endpoints exhibit the littlest sensitivity on shower and hadronization parameters

Extending perturbative-fragmentation formalism to NNLO+NNLL for top decays

Re-tuning PYTHIA 8 and HERWIG++ can be a valuable strategy to pursue

Tuning fragmentation parameters directly to LHC data ( $t\bar{t}$ ,  $b\bar{b}$ ,  $Z/\gamma + b$ )

Calibration and sensitivity analysis with POWHEG/aMC@NLO

Monte Carlo parameters with errors (like pdfs) would be ultimately desirable