

# Event Generators

**Christian Bauer**  
**LBL & CERN**

**QCD@LHC, Debrecen**  
**August 29 2017**



# Theoretical calculations can be performed in three different limits of field theory

Fixed perturbation theory	$\alpha_s \rightarrow 0$
Logarithmic resummation	$\alpha_s \rightarrow 0, \alpha_s L^2 \text{ fixed}$
Kinematic expansion (parton shower)	$\theta_{ij} \rightarrow 0$

Each expansion important in different regions

# Theoretical calculations can be performed in three different limits of field theory

## **Fixed order perturbation theory**

Best precision for inclusive observables  
(only one relevant scale in problem)

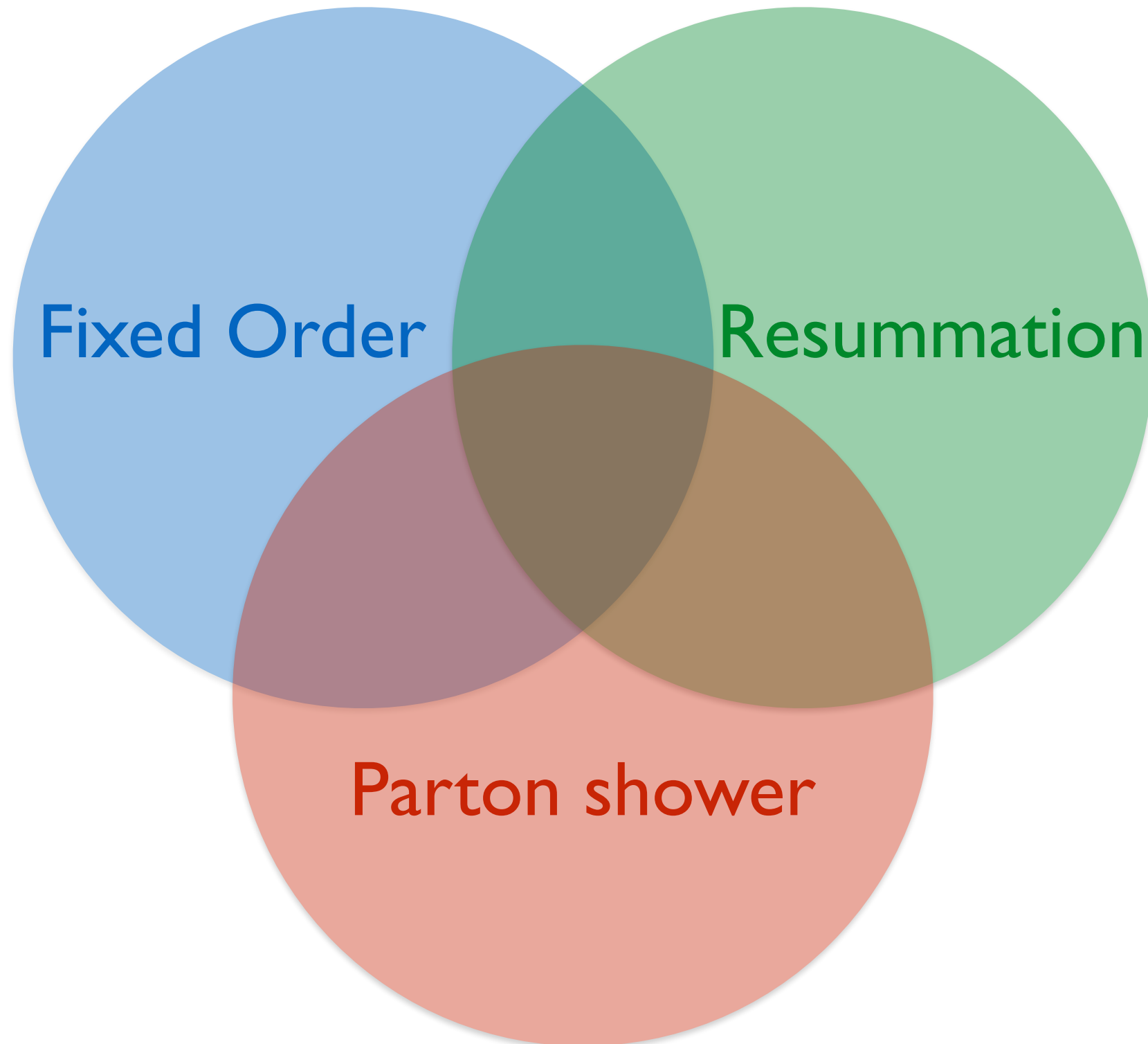
## **Logarithmic resummation**

Best precision for semi-inclusive observables (large ratio(s)  
of scale in problem)

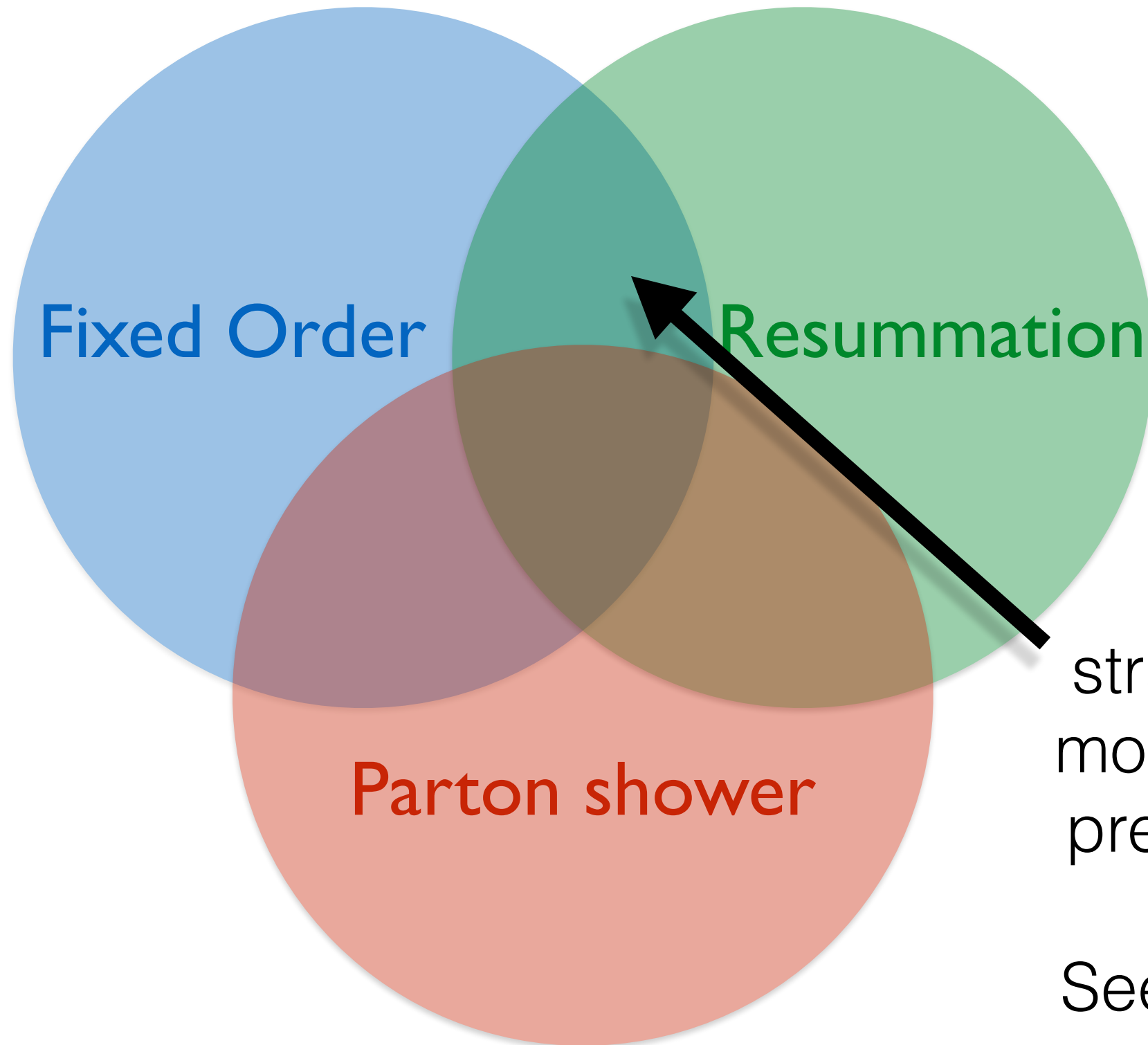
## **Parton shower**

Only tool for events with arbitrary multiplicity  
(event simulation)

**Lots of efforts to combine the various limits**



## Lots of efforts to combine the various limits

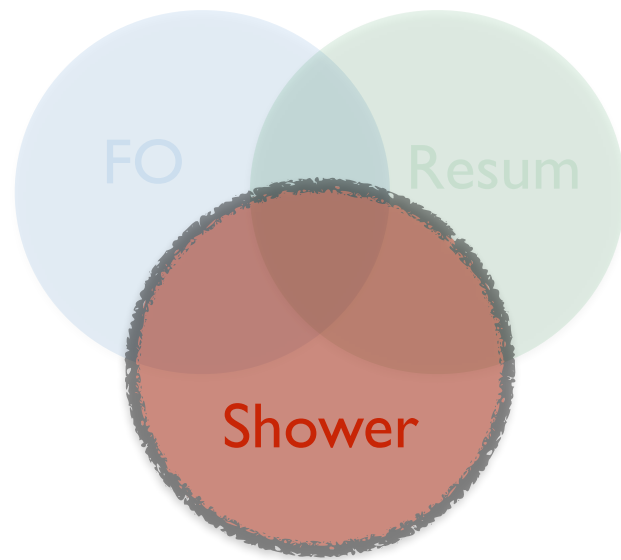


Relatively straightforward, most resummed predictions use this.  
See Tackmanns talk

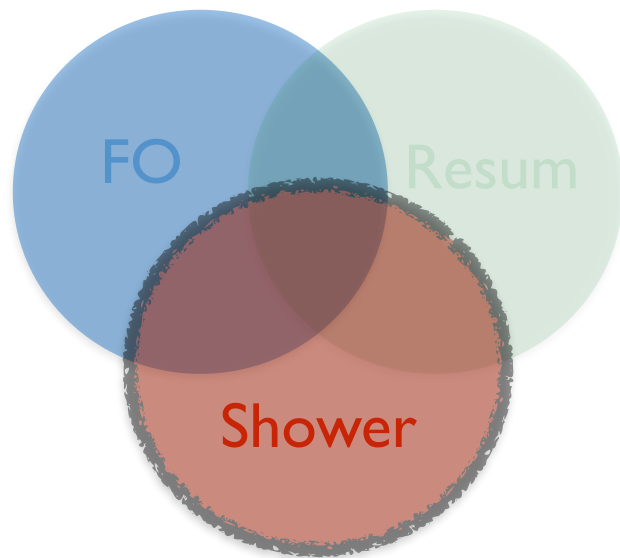
**Lots of efforts to combine the various limits**



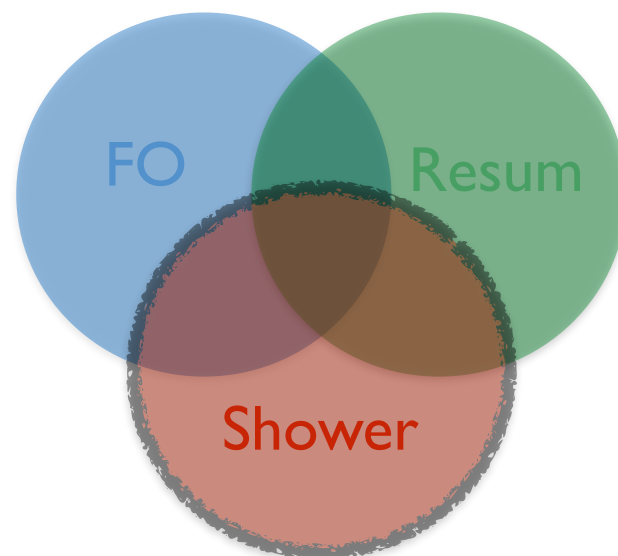
I will use term  
“event generator”  
to indicate fully  
exclusive  
predictions



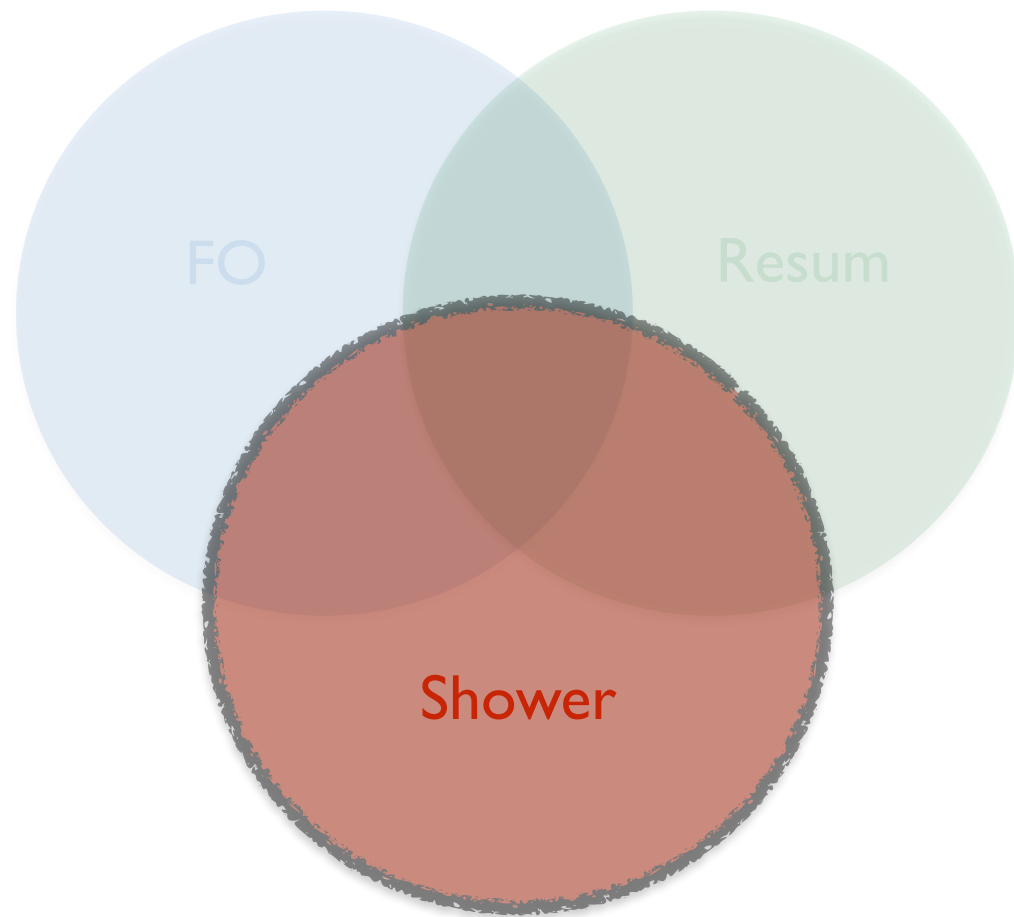
## Recent developments in parton showers



## Combining FO with showers



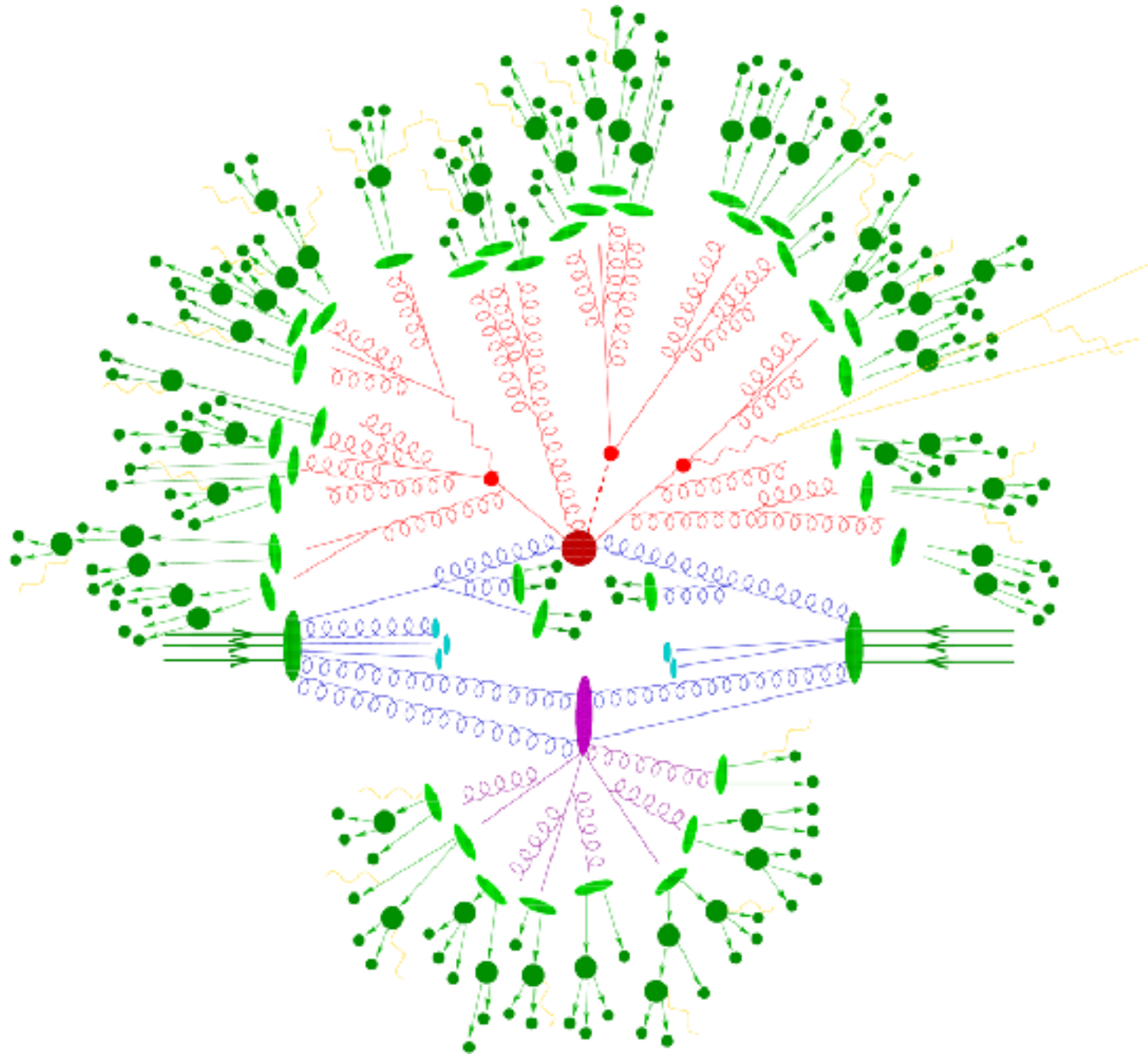
## Combining all three types of calculations



## Recent developments in parton showers



# Parton showers need to describe many physics effects



- Initial hard interaction
- Radiation of additional partons
- Multi-parton interactions
- Hadronization of resulting partons
- Decay of unstable hadrons

Three main multipurpose parton showers:  
Herwig, Pythia, Sherpa

## Initial hard interactions

Hard interaction described by differential cross section

$$d\sigma/d\Phi_N$$

For simple  $2 \rightarrow 2$  interactions at LO, cross section given by very simple formula, and are known for essentially all processes of interest

When producing unstable short-lived particles (top, W, Z) care needs to be taken about the decays of these particles

# Initial hard interactions

Combination with more complex hard interactions  
will be discussed later

## Merging

Use hard interactions with various multiplicities

## Matching

Use higher order hard interactions

Three main multipurpose generators have taken different  
approaches to this topic

# Initial hard interactions

## Herwig

Recent developments towards combining hard interaction with shower “in house”

New framework in Herwig 7.1 built to perform matching / merging directly in Herwig

## Pythia

Maintained focus on parton shower, with hard interactions provided by others

Provides tools (UserHooks) to allow interface through standards such as LHE

## Sherpa

Original focus of Sherpa was combination of hard interaction and shower

Has both internal matrix element generators, as well as interface to external codes

# Radiation of additional partons

Parton shower creates additional radiation

All showers rely on collinear / soft limit of QCD,  
combined with Unitarity (conservation of inclusive  
cross section)

In this collinear / soft limit, emissions can be treated  
in a probabilistic manner, allowing for Markov  
process

Arbitrary number of emissions can be implemented  
using simple and efficient algorithms

## In the collinear / soft limit QCD cross sections simplify

One can easily show that in collinear / soft limit

$$\left| \text{Diagram}_N \right|^2 = \left| \text{Diagram}_{N-1} \right|^2 \times \left[ \sum \left| \text{Diagram}_{\text{emission}} \right|^2 \right]$$

$\sigma_N = \sigma_{N-1} \times \sum SP(t,z)$

Higher multiplicities built recursively from lower multiplicities

# Unitarity is a simple statement about inclusive cross sections

Shower 2  $\rightarrow$  2 process with cross section  $\sigma_2$

Unitarity states that the inclusive cross section after the shower should be unchanged

$$\sigma_2 = \sigma_2^{\text{PS}} + \sigma_3^{\text{PS}} + \sigma_4^{\text{PS}} + \dots$$

$\sigma_2^{\text{PS}} : \sigma_2 \times P(\text{no emission})$

$\sigma_3^{\text{PS}} : \sigma_2 \times P(1 \text{ emission})$

$\sigma_4^{\text{PS}} : \sigma_2 \times P(2 \text{ emissions})$

...

Unitarity can be interpreted as “conservation of probability”, namely

$$P(\text{no emission}) + P(\geq 1 \text{ emissions}) = 1$$

**Combining this, one arrives at the general formula**

The basic equation underlying a parton shower is

$$\langle O \rangle = G_N(Q_N, O)$$

$\langle O \rangle$ : expectation value of observable

$G_N$ : Shower generating functional

$N$ : Multiplicity of hard interaction

Generating functional can symbolically be written as

$$G_N(t, O) = \frac{d\sigma}{d\Phi_N} \left[ \Pi_N(t, t_c) \langle O \rangle_{\Phi_N} + \int_{t_c}^t dt' \Pi_N(t, t') SP(t') G_{N+1}(t', O) \right]$$

This gives recursive definition (with  $t_c$ ) being shower cutoff



## The basic idea of a parton shower

$$G_N(t, O) = \frac{d\sigma}{d\Phi_N} \left[ \Pi_N(t, t_c) \langle O \rangle_{\Phi_N} + \int_{t_c}^t dt' \Pi_N(t, t') \text{SP}(t') G_{N+1}(t', O) \right]$$

Expand recursive definitions to a few orders (with N=2)

$$\begin{aligned} \langle O \rangle = \frac{d\sigma}{d\Phi_2} & \left[ \Pi_2(Q, t_c) \langle O \rangle_{\Phi_2} + \int_{t_c}^Q dt_1 \Pi_2(Q, t_1) \text{SP}(t_1) \Pi_3(t_1, t_c) \langle O \rangle_{\Phi_3} \right. \\ & + \int_{t_c}^Q dt_2 \int_{t_c}^{t_1} dt_2 \Pi_2(Q, t_1) \text{SP}(t_1) \Pi_3(t_1, t_2) \text{SP}(t_2) \Pi_4(t_2, t_c) \langle O \rangle_{\Phi_4} \\ & \left. + \dots \right] \end{aligned}$$

A parton shower is probabilistic description that relies on

$\Pi_N(t, t_c)$ : probability that N-body system does not change between  $t_1$  and

$\text{SP}(t)$ : probability of one emission at scale  $t$

# Probabilistic evolution requires unitarity

The two main building blocks of a parton shower are

$\Pi_N(t, t_c)$ : probability that N-body system does not change between  $t_1$  and

$SP(t)$ : probability of one emission at scale  $t$

Probability conservation (unitarity) requires

$$P_{\text{no branch}} = 1 - P_{\text{branch}}$$

This gives a relation between the splitting function and no-branching probability

$$\Pi_N(t, t_c) = \exp \left\{ - \int_{t_c}^t dt' \sum_{i=1}^N SP_i(t') \right\}$$

## Radiation of additional partons

All showers rely on this basic recursive formula

$$G_N(t, O) = \frac{d\sigma}{d\Phi_N} \left[ \Pi_N(t, t_c) \langle O \rangle_{\Phi_N} + \int_{t_c}^t dt' \Pi_N(t, t') \text{SP}(t') G_{N+1}(t', O) \right]$$

This gives predictions with the following accuracy

- Only correct in the large  $N_c$  limit
- Only correct for collinear / soft radiation
- Leading logarithmic resummation of logs

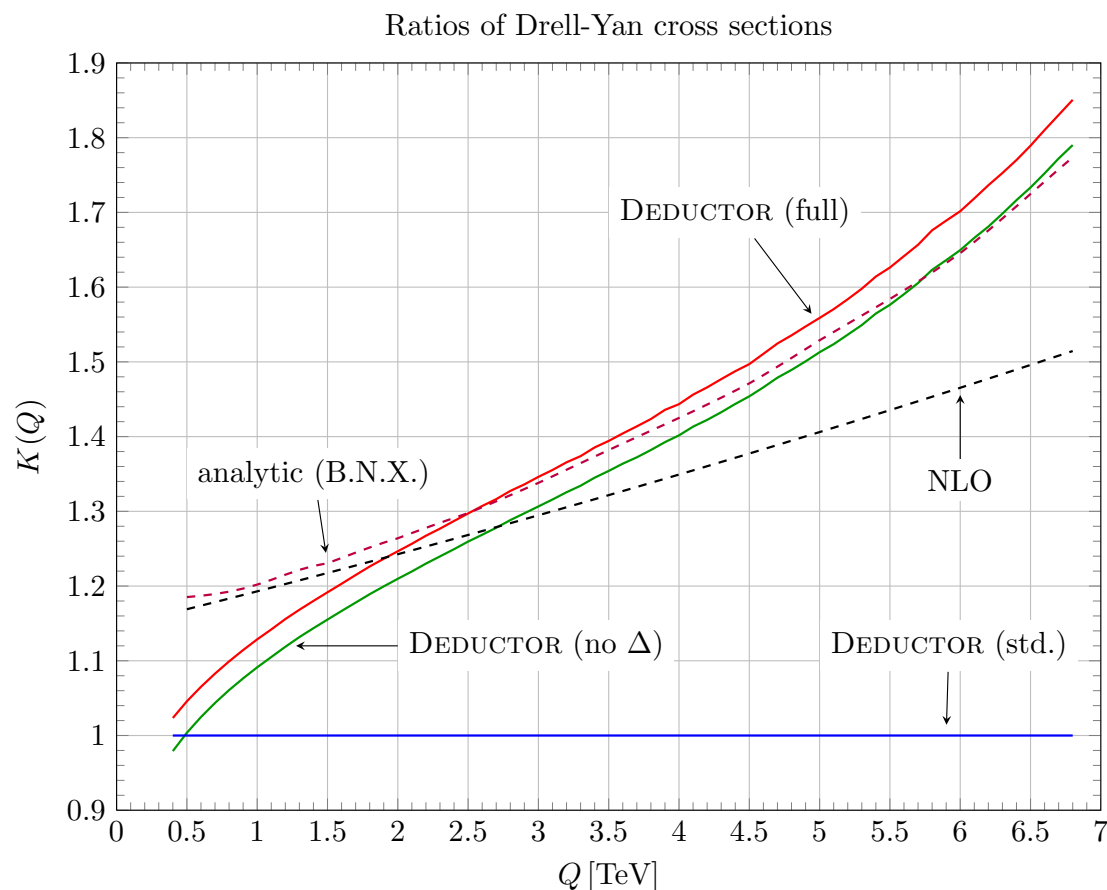
Different shower algorithms make different choices about details of splitting probabilities  $P(t, z)$

Differences between perturbative showers should all be beyond the accuracy of the shower

# Many ideas of how to go beyond the standard parton shower picture discussed here. Two examples:

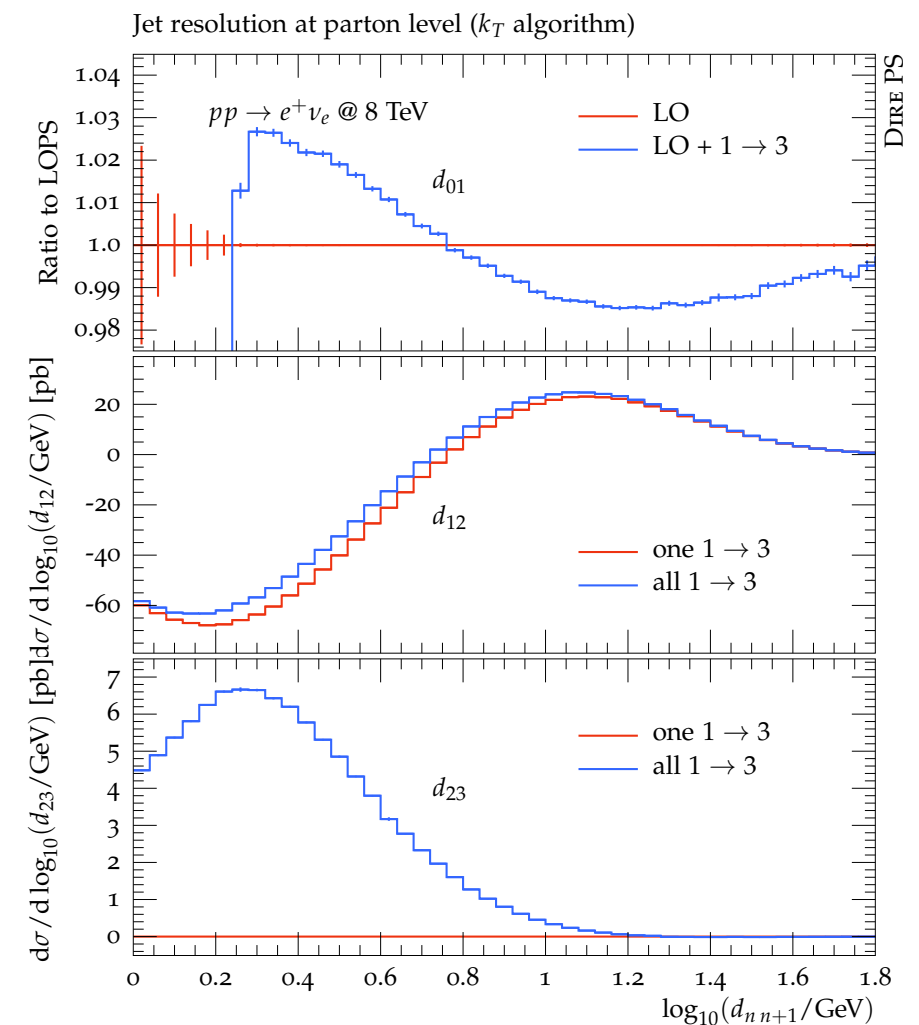
Implement  
threshold  
resummation

Nagy, Soper ('16)

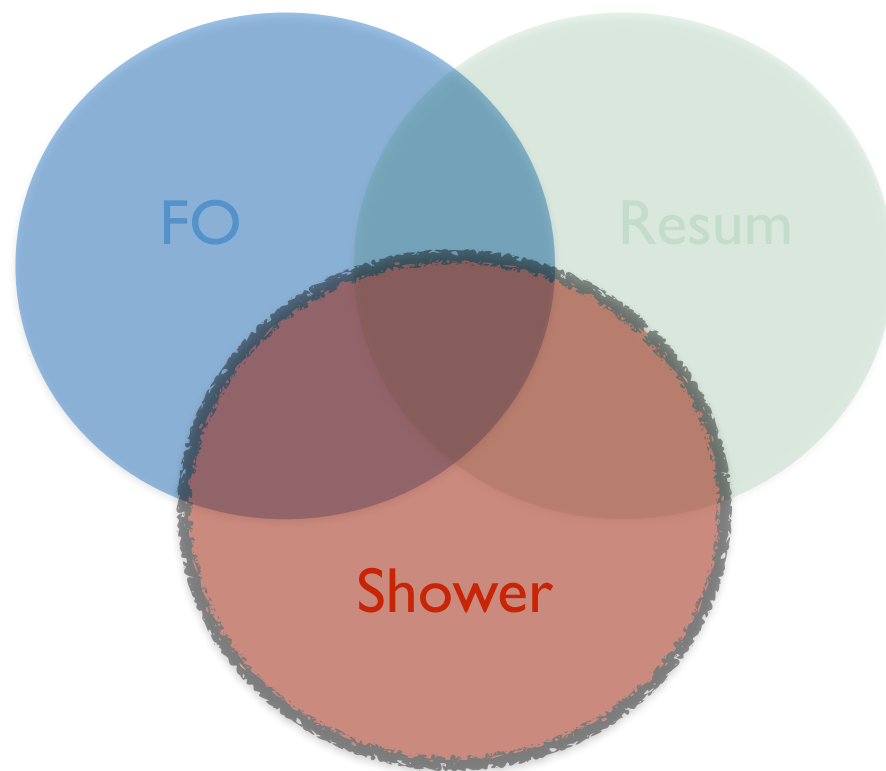


Include higher  
order splitting  
functions

Hoeche, Prestel ('17)



So far, these ideas have not increased the overall formal accuracy of showers, but will probably see more ideas soon



Combining FO with showers

# Extending the validity of parton showers

Goal of combination of FO and parton showers is

- For given hard multiplicity, results correct to given FO accuracy
- Higher mult only correct in large  $N_c$  limit
- Higher mult only correct for collinear / soft radiation
- Leading logarithmic resummation of logs

**LO match**

**LO Merge**

**NLO Match**

...

Lowest  
multiplicity  
correct to LO

Several  
multiplicities  
correct to LO

Lowest  
multiplicity  
correct to NLO

...

## LO matching included in essentially every shower

Start again from the expanded parton shower expression

$$\langle O \rangle = \frac{d\sigma}{d\Phi_2} \left[ \Pi_2(Q, t_c) \langle O \rangle_{\Phi_2} + \int_{t_c}^Q dt_1 \Pi_2(Q, t_1) SP(t_1) \Pi_3(t_1, t_c) \langle O \rangle_{\Phi_3} + \dots \right]$$

## LO matching included in essentially every shower

Start again from the expanded parton shower expression

$$\langle O \rangle = \left[ \frac{d\sigma}{d\Phi_2} \Pi_2(Q, t_c) \langle O \rangle_{\Phi_2} + \int_{t_c}^Q dt_1 \Pi_2(Q, t_1) \text{SP}(t_1) \Pi_3(t_1, t_c) \langle O \rangle_{\Phi_3} + \dots \right]$$



## LO matching included in essentially every shower

Start again from the expanded parton shower expression

$$\langle O \rangle = \left[ \frac{d\sigma}{d\Phi_2} \Pi_2(Q, t_c) \langle O \rangle_{\Phi_2} + \int_{t_c}^Q dt_1 \Pi_2(Q, t_1) SP(t_1) \Pi_3(t_1, t_c) \langle O \rangle_{\Phi_3} + \dots \right]$$

## LO matching included in essentially every shower

Start again from the expanded parton shower expression

$$\langle O \rangle = \left[ \frac{d\sigma}{d\Phi_2} \Pi_2(Q, t_c) \langle O \rangle_{\Phi_2} + \int_{t_c}^Q dt_1 \Pi_2(Q, t_1) SP(t_1) \Pi_3(t_1, t_c) \langle O \rangle_{\Phi_3} + \dots \right]$$

Separate the fixed order pieces from the resummed pieces

## LO matching included in essentially every shower

Start again from the expanded parton shower expression

$$\langle O \rangle = \left[ \frac{d\sigma}{d\Phi_2} \Pi_2(Q, t_c) \langle O \rangle_{\Phi_2} + \int_{t_c}^Q dt_1 \Pi_2(Q, t_1) SP(t_1) \Pi_3(t_1, t_c) \langle O \rangle_{\Phi_3} + \dots \right]$$

Separate the fixed order pieces from the resummed pieces

$\Phi_2$	$\Phi_3$
$\frac{d\sigma_2}{d\Phi_2}$	$\frac{d\sigma_2}{d\Phi_2} SP(t_1)$
$\Pi_2(Q, t_c)$	$\Pi_2(Q, t_1) \Pi_3(t_1, t_c)$

## LO matching included in essentially every shower

Start again from the expanded parton shower expression

$$\langle O \rangle = \left[ \frac{d\sigma}{d\Phi_2} \Pi_2(Q, t_c) \langle O \rangle_{\Phi_2} + \int_{t_c}^Q dt_1 \Pi_2(Q, t_1) SP(t_1) \Pi_3(t_1, t_c) \langle O \rangle_{\Phi_3} + \dots \right]$$

Separate the fixed order pieces from the resummed pieces

$\Phi_2$	$\Phi_3$
$\frac{d\sigma_2}{d\Phi_2}$	$\frac{d\sigma_2}{d\Phi_2} SP(t_1)$
$\Pi_2(Q, t_c)$	$\Pi_2(Q, t_1) \Pi_3(t_1, t_c)$

Lowest multiplicity always correct at LO

# LO merging requires combination of FO with LL resummation

Catani, Krauss, Kuhn, Webber ('01)

Change the fixed order pieces in the original expression

$\Phi_2$	$\Phi_3$
$\frac{d\sigma_2}{d\Phi_2}$	$\frac{d\sigma_2}{d\Phi_2} \text{SP}(t_1)$
$\Pi_2(Q, t_c)$	$\Pi_2(Q, t_1) \Pi_3(t_1, t_c)$

to something that has LO correct for both  $\Phi_2$  and  $\Phi_3$

$\Phi_2$	$\Phi_3$
$\frac{d\sigma_2}{d\Phi_2}$	$\frac{d\sigma_3}{d\Phi_3} \theta(t_1 > t_M)$ $+ \frac{d\sigma_2}{d\Phi_2} \text{SP}(t_1) \theta(t_1 < t_M)$
$\Pi_2(Q, t_c)$	$\Pi_2(Q, t_1) \Pi_3(t_1, t_c)$

both multiplicities correct at LO (at large  $t_1$ )

# NLO matching can be obtained by a “simple replacement” in the original formula

Frixione, Webber ('02)  
Nason ('04)

For NLO matching

$\Phi_2$	$\Phi_3$
$\frac{d\sigma_2}{d\Phi_2}$	$\frac{d\sigma_2}{d\Phi_2} \text{SP}(t_1)$
$\Pi_2(Q, t_c)$	$\Pi_2(Q, t_1) \Pi_3(t_1, t_c)$

need to change both fixed order and resummed pieces

$\Phi_2$	$\Phi_3$
$\frac{d\sigma_2^{\text{NLO}}}{d\Phi_2}$	$\frac{d\sigma_2^{\text{NLO}}}{d\Phi_2} \left[ \frac{d\sigma_3}{d\Phi_3} / \frac{d\sigma_2}{d\Phi_2} \right]$
$\Pi_2^R(Q, t_c)$	$\Pi_2^R(Q, t_1) \Pi_3(t_1, t_c)$

Inclusive 2-jet at NLO, 3-jet at LO

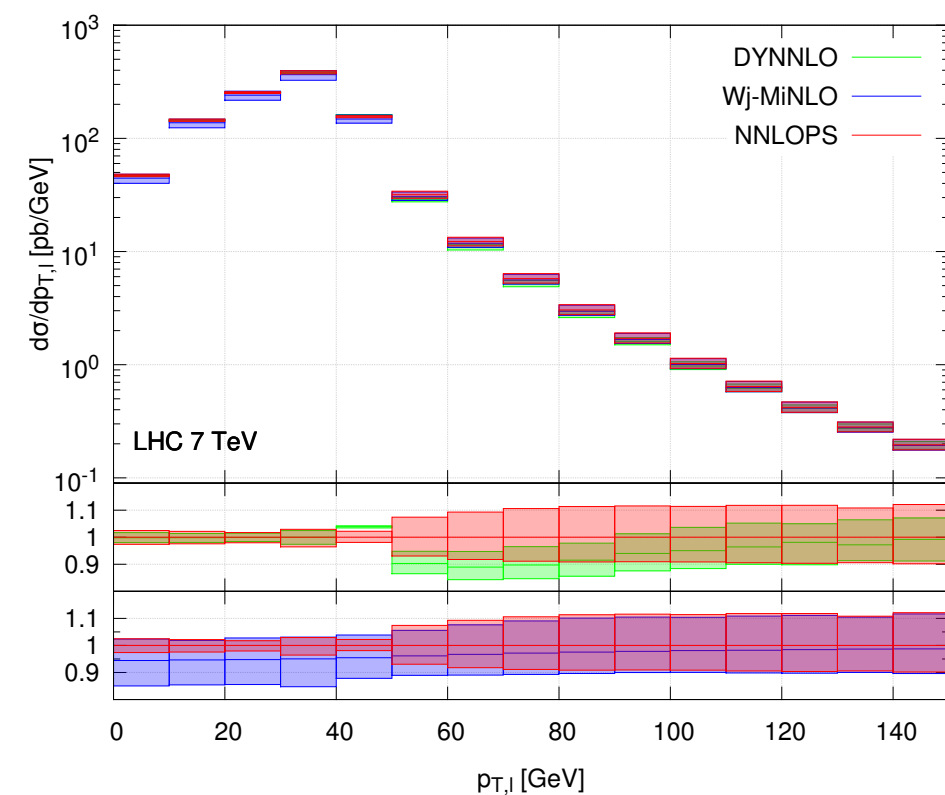
# Another recent development is NNLO + PS

There are three main methods available at this point

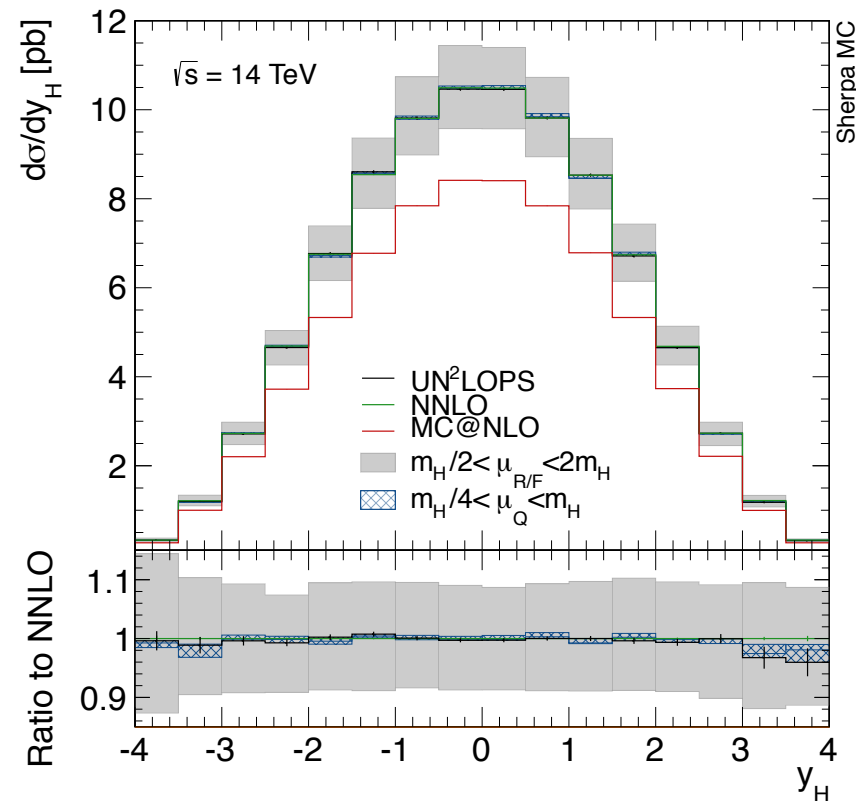
MINLO-NNLOPS

UNNLOPS

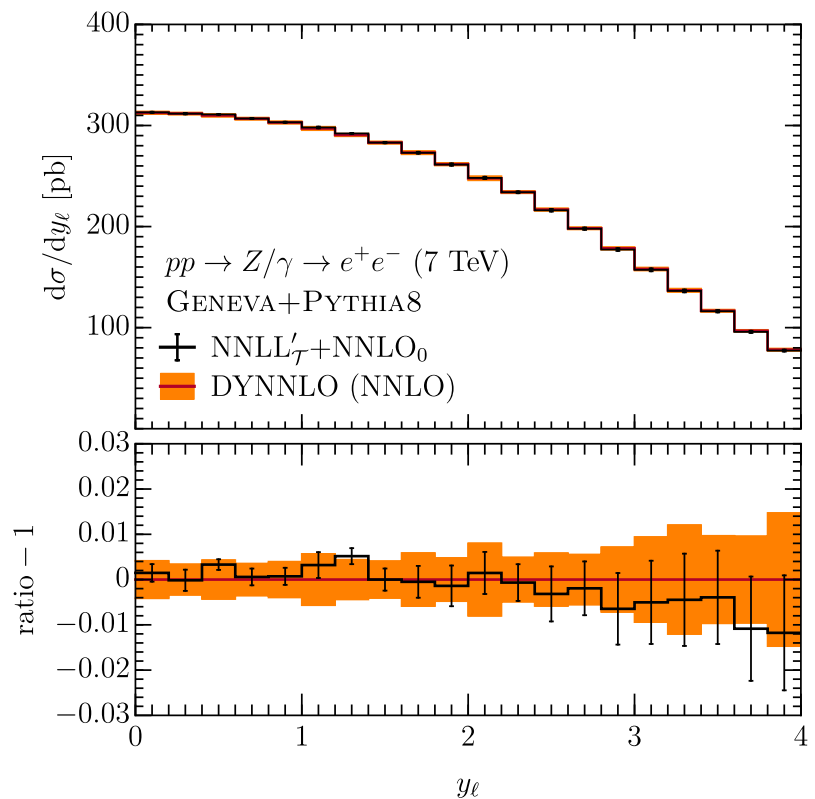
Geneva



Hamilton, et al '13 - '16



Hamilton, et al '14 - '16



Alioli, CWB et al, et al '13 - '16

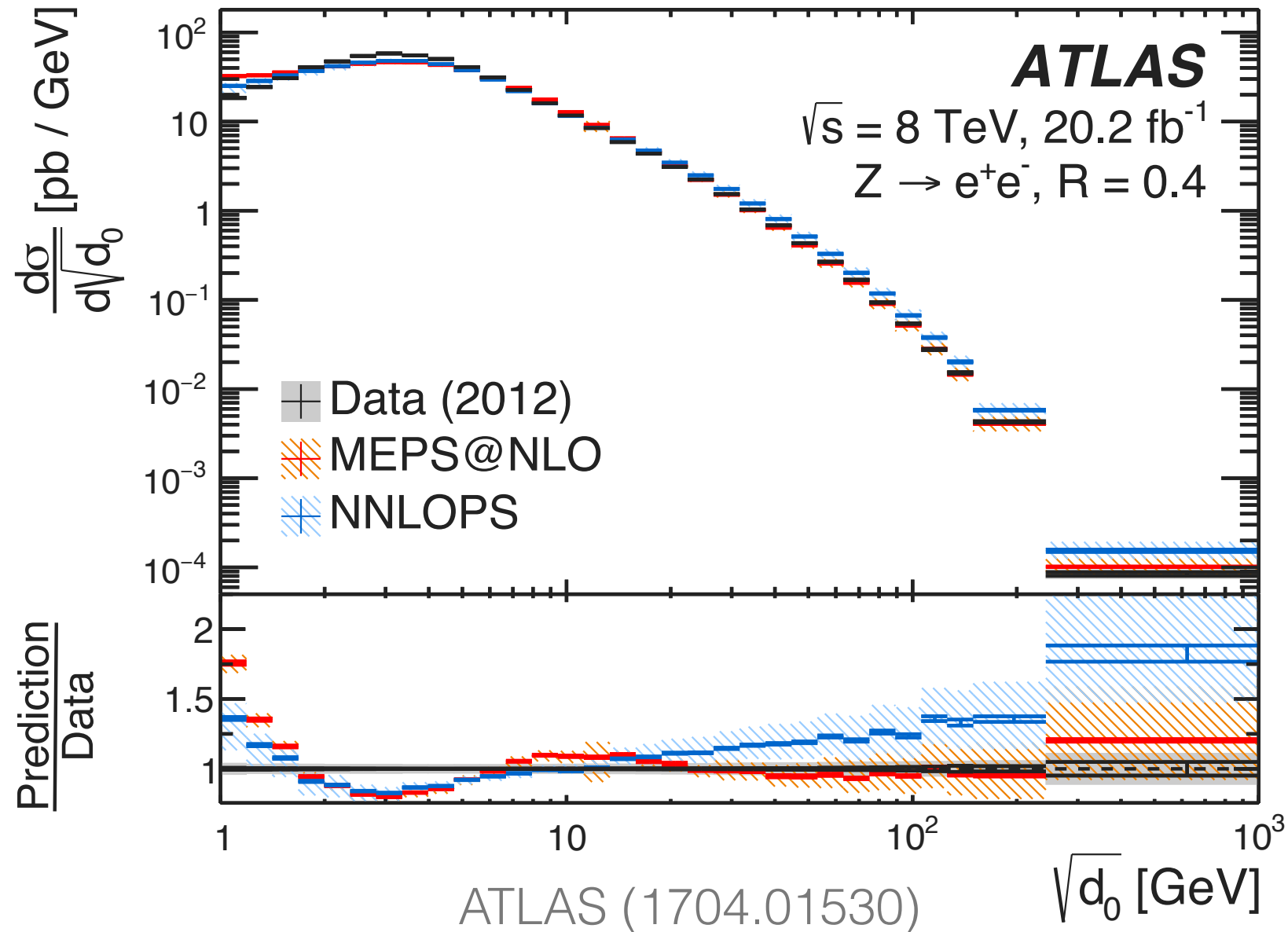
MINLO  
improved NLO  
reweighted to NNLO

N-jettiness  
slicing and  
Unitarity

N-jettiness slicing  
and NNLL'  
resummation

## Another recent development is NNLO + PS

NNLO + PS predictions are already being used by experimental collaborations



Some questions as to why NNLOPS and MEPS@NLO disagree for  $\sqrt{d_0}$

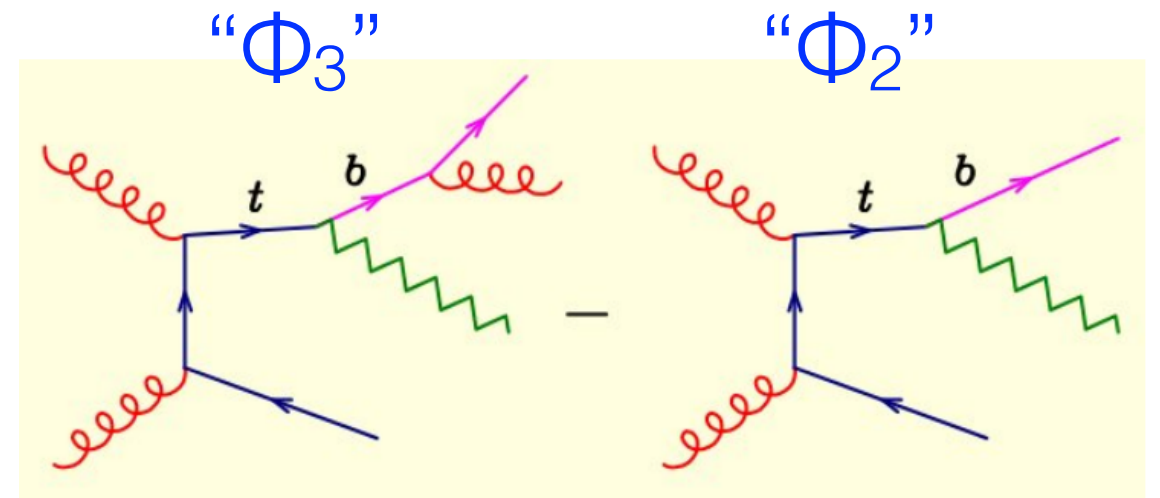


# Recently resonant aware NLO matching schemes have been developed

Jezo, Lindert, Nason, Oleari, Pozzorini ('16)

The problem can easily be understood from the previous table

$\Phi_2$	$\Phi_3$
$\frac{d\sigma_2^{\text{NLO}}}{d\Phi_2}$	$\left[ \frac{d\sigma_3}{d\Phi_3} / \frac{d\sigma_2}{d\Phi_2} \right]$
$\Pi_2^R(Q, t_c)$	$\Pi_2^R(Q, t_1) \Pi_3(t_1, t_c)$



Unless one is careful, mapping from " $\Phi_3$ " onto " $\Phi_2$ " does not maintain resonance, such that  $[d\sigma_3/d\Phi_3 / d\sigma_3/d\Phi_3]$  can be large away from collinear / soft limit

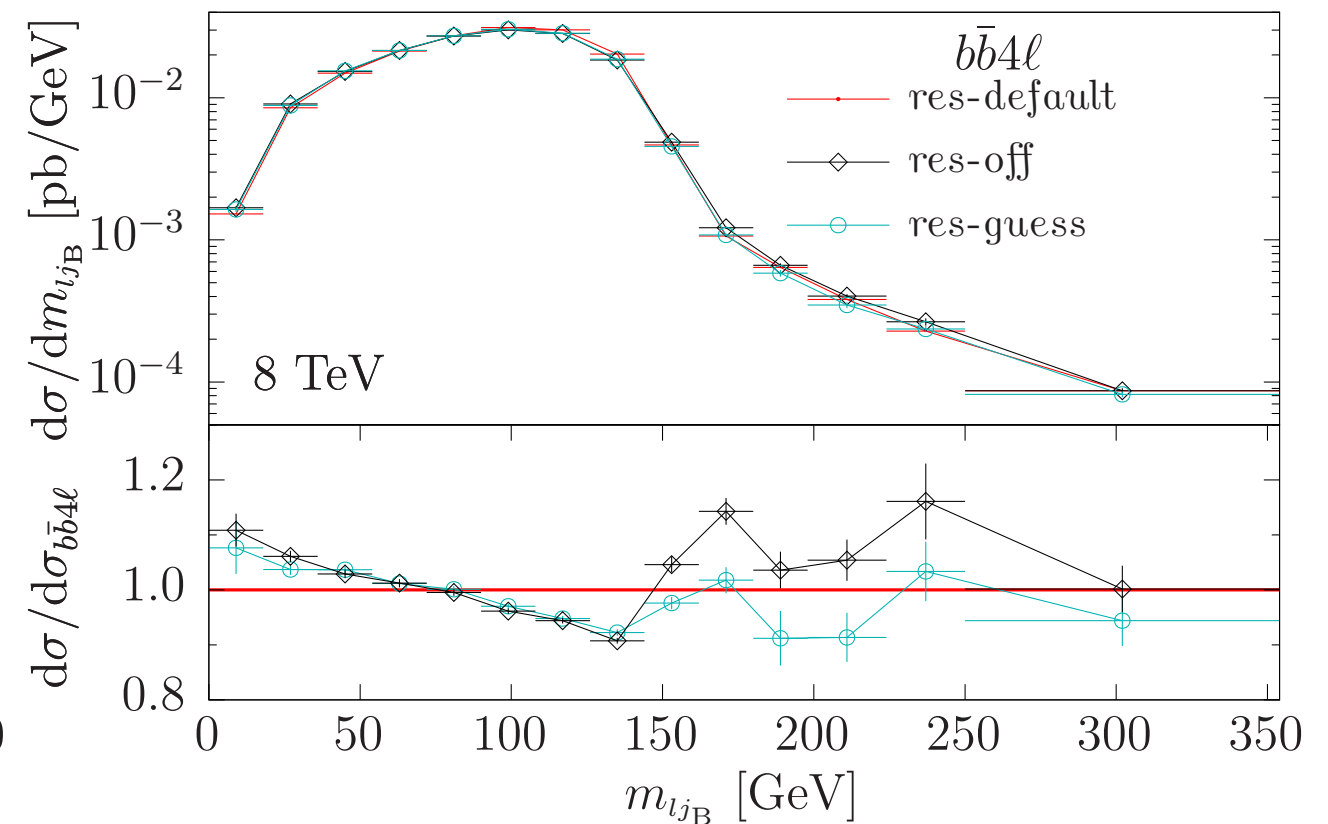
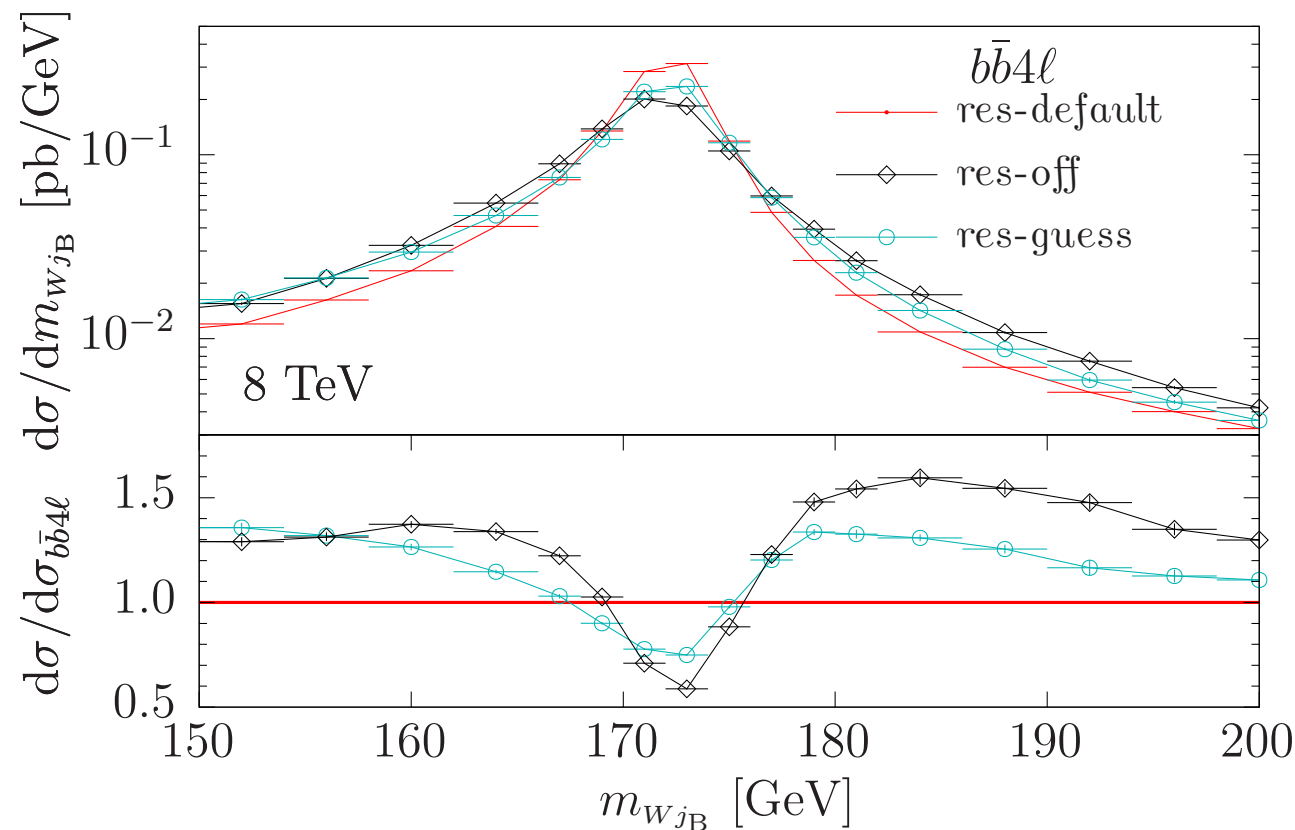
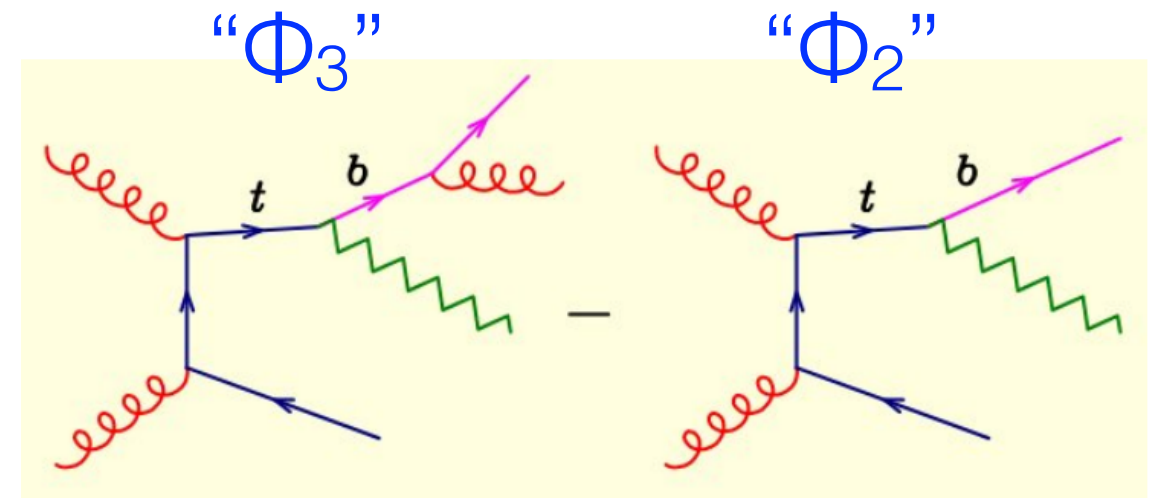
**With resonance aware mapping, this problem is avoided**

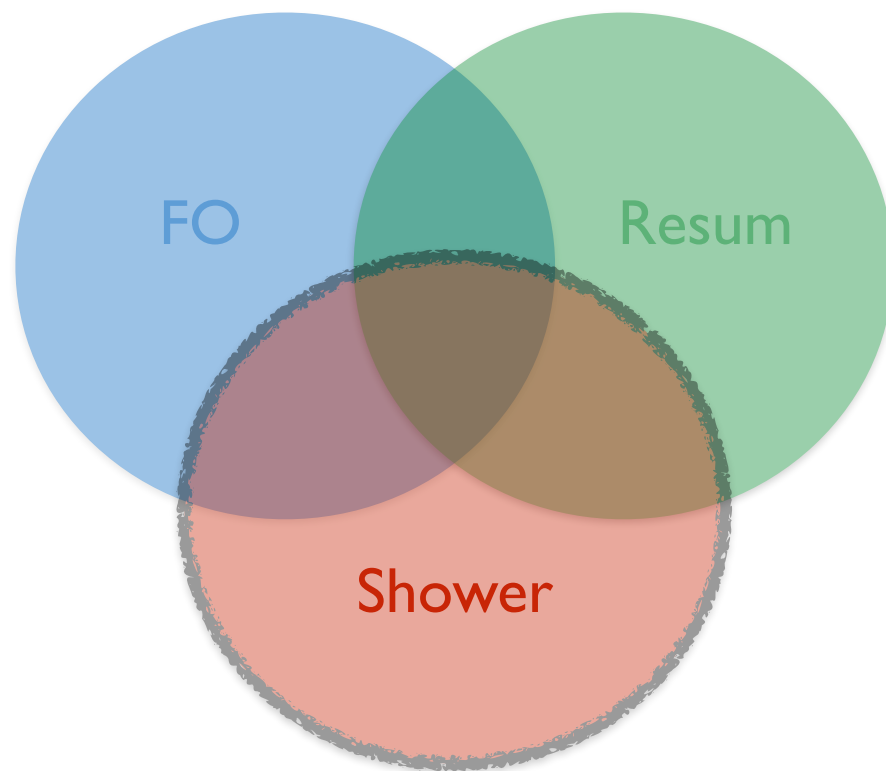
# Recently resonant aware NLO matching schemes have been developed

Jezo, Lindert, Nason, Oleari, Pozzorini ('16)

The problem can easily be understood from the previous table

$\Phi_2$	$\Phi_3$
$\frac{d\sigma_2^{\text{NLO}}}{d\Phi_2}$	$\left[ \frac{d\sigma_3}{d\Phi_3} / \frac{d\sigma_2}{d\Phi_2} \right]$
$\Pi_2^R(Q, t_c)$	$\Pi_2^R(Q, t_1) \Pi_3(t_1, t_c)$





**Combining all three calculations**

# Merging higher logarithmic resummation with parton showers has received less attention

Alioli, CWB, Tackmann ('14-'17)

For several observables both higher fixed order as well as higher resummed order is important for precise predictions and reduced theoretical uncertainties

Geneva event generator combines NNLO calculations with NNLL' resummation and a parton shower

One calculates jet cross sections at high order in perturbation theory and then lets a parton shower fill jets with radiation

Higher logarithmic resummation means that expressions can no longer be expressed as  $FO \times \text{Sudakov}$

# Merging higher logarithmic resummation with parton showers has received less attention

Alioli, CWB, Tackmann ('14-'17)

To get combined higher fixed and resummed orders

$\Phi_2$	$\Phi_3$
$\frac{d\sigma_2}{d\Phi_2}$	$\frac{d\sigma_2}{d\Phi_2} \text{SP}(t_1)$
$\Pi_2(Q, t_c)$	$\Pi_2(Q, t_1) \Pi_3(t_1, t_c)$

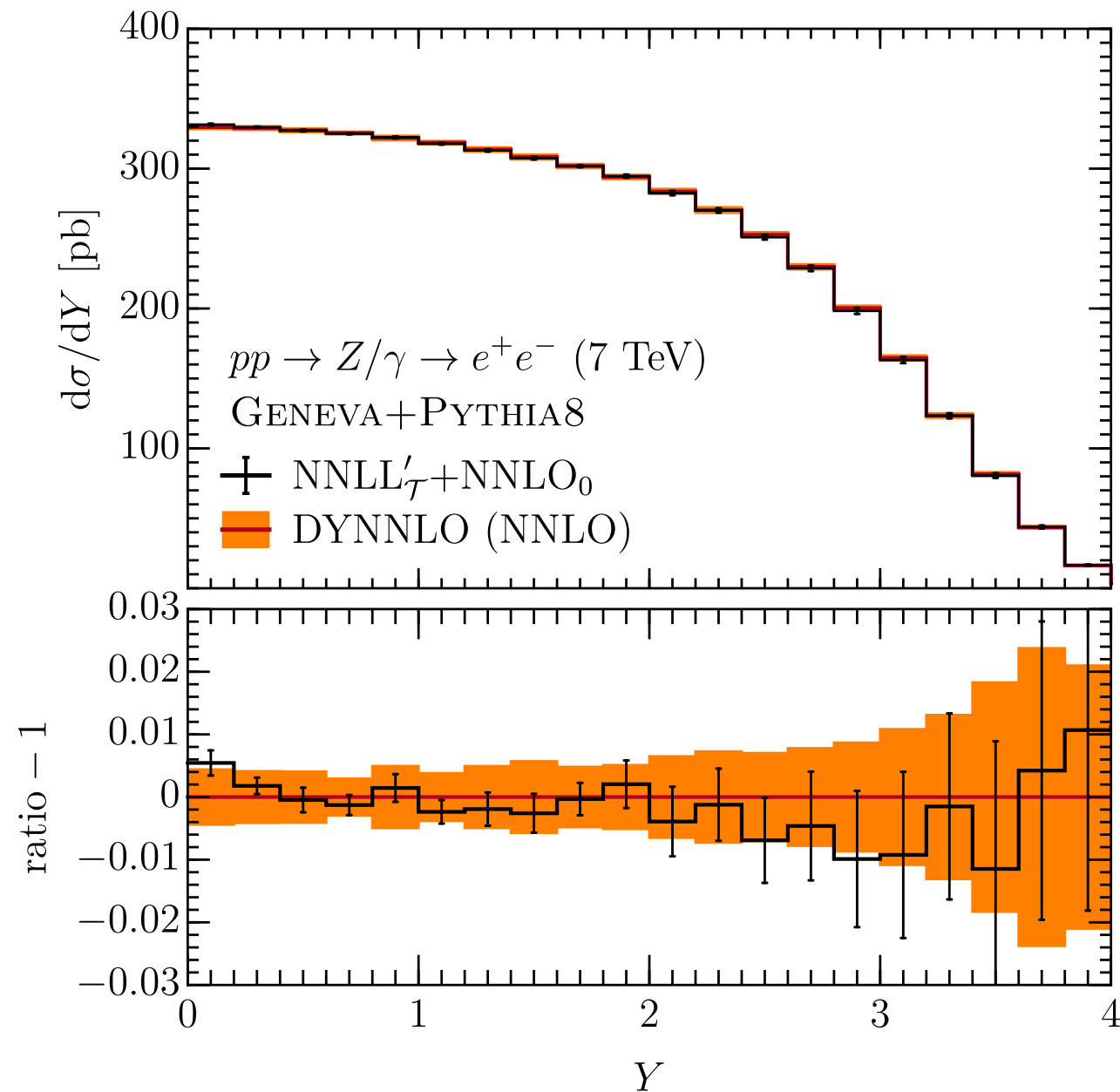
can not separate the two pieces any longer

$\Phi_2$	$\Phi_3$
$\frac{d\sigma_2^{\text{NNLL}'}}{d\Phi_2}$	$\frac{d\sigma_3^{\text{NNLL}'}}{d\Phi_3}$

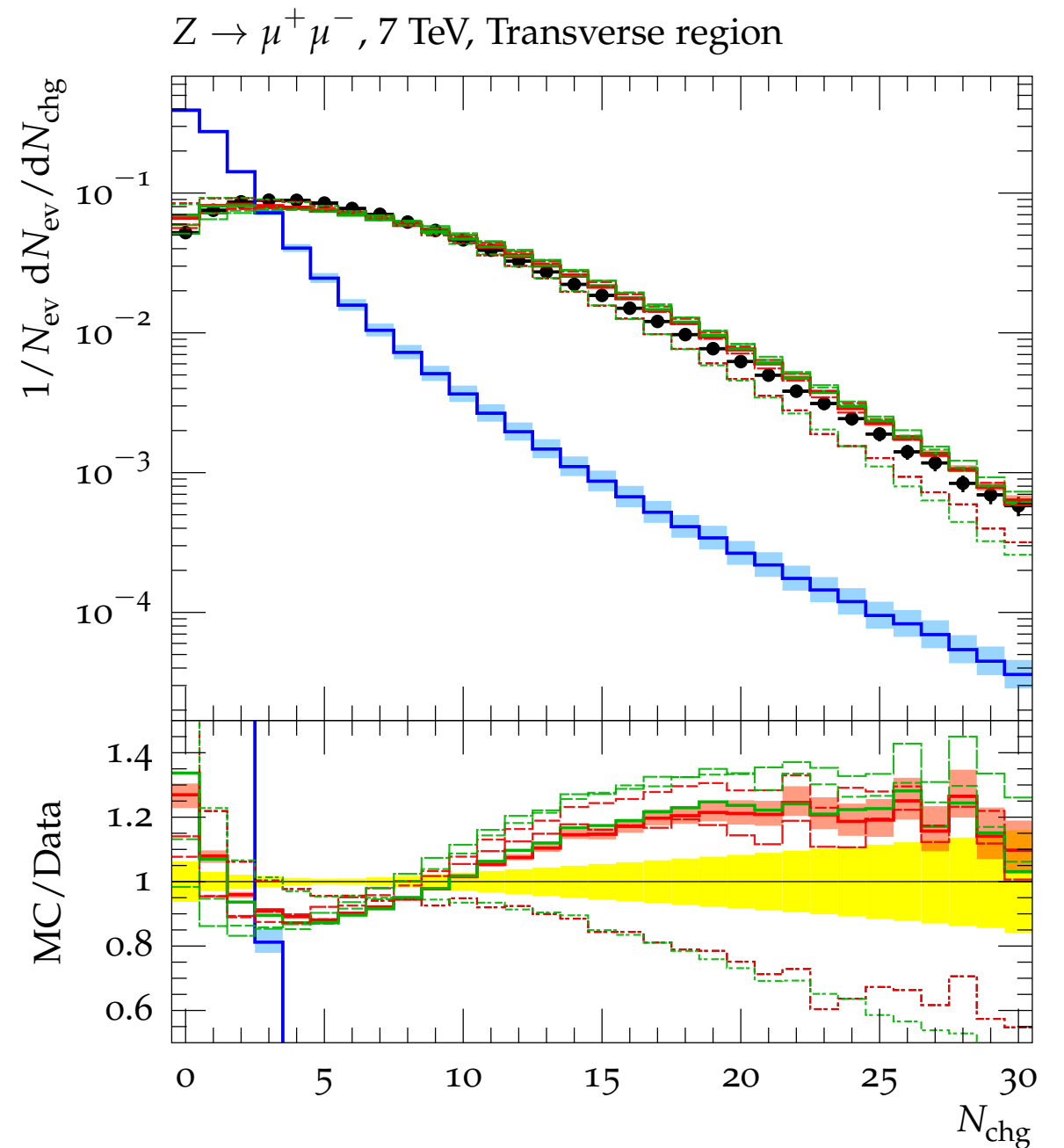
Combining FO with resummed accuracy gives the right result

# Merging higher logarithmic resummation with parton showers has received less attention

Alioli, CWB, Tackmann ('14-'17)



Completely inclusive  
observables  
correct to NNLO



Observables sensitive to  
underlying event still well  
described

**In conclusion, the development of event generators is a very active field of research**

Many ideas of including new effects in parton showers

Merging with fixed order calculations is becoming ever more sophisticated

Combination of all three types of approximations is becoming a reality

**In conclusion, the development of event generators is a very active field of research**

Many ideas of including new effects in parton showers

Merging with fixed order calculations is becoming ever more sophisticated

Combination of all three types of approximations is becoming a reality

**STAY TUNED!**