

# Microscopic constraints for de Sitter uplifts in String Theory

Ander Retolaza

DESY, Hamburg

PASCOS 2017  
IFT UAM/CSIC, Madrid, 20<sup>th</sup> June 2017



European  
Research  
Council

STRINGFLATION Consolidator Grant

1707.xxxxx by Jakob Moritz, A.R. & Alexander Westphal

## Constructing String Theory Vacua

Compactify (Type IIB) String Theory to 4d:

Many scalars/moduli  $\Rightarrow$  stabilize them. Usual steps:

- |   |  |                                     |
|---|--|-------------------------------------|
| 1 | Complex structure + $\tau$ get masses  | $m_{CS}$                            |
| 2 | Kähler/volume modulus $\rho$ with mass<br>$\Rightarrow$ Anti de Sitter (or Minkowski) vacuum | $m_{CS} \gg m_\rho$<br>$V_0 \leq 0$ |
| 3 | Uplift to de Sitter (SUSY) $\Delta V \Rightarrow$  | $V_0 + \Delta V \geq 0$             |

## Constructing String Theory Vacua

Compactify (Type IIB) String Theory to 4d:

Many scalars/moduli  $\Rightarrow$  stabilize them. Usual steps:

- |   |  |                                     |
|---|--|-------------------------------------|
| 1 | Complex structure + $\tau$ get masses  | $m_{CS}$                            |
| 2 | Kähler/volume modulus $\rho$ with mass<br>$\Rightarrow$ Anti de Sitter (or Minkowski) vacuum | $m_{CS} \gg m_\rho$<br>$V_0 \leq 0$ |
| 3 | Uplift to de Sitter (SUSY) $\Delta V \Rightarrow$  | $V_0 + \Delta V \geq 0$             |

**To which extent can we decouple steps 2 & 3 ?**

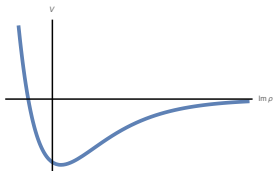
We cannot always do so, but the way out is rather simple.

## KKLT vacua

[Kachru, Kallosh, Linde, Trivedi '03]

Consider that  $h^{1,1} = 1$ . Volume modulus  $\rho$  stabilized by gaugino condensate

$$K = -3 \log[-i(\rho - \bar{\rho})] \quad ; \quad W = W_0 + Ae^{ia\rho}$$



- Vacuum is SUSY AdS with  $V_0 \simeq -m_\rho^2 M_P^2$
- To end up on de Sitter one needs  $\Delta V \sim |V_0|$ ,  $\Delta\rho$  not small

**Do we know 4d EFT for  $\rho$  well enough when uplifting?**

We need higher dimensional picture to answer this question.

## A toy model example

Consider a 6d theory on  $\mathcal{M}_4 \times S^2$  [Freund, Rubin '80]

$$S_6 = \frac{1}{2} \int \left( *R_6 - \frac{1}{2} F_2 \wedge *F_2 \right)$$

- Stabilize  $S^2$  radius by taking  $\int_{S^2} F_2 \simeq N$ . Then

$$L_0^2 = \frac{3N^2}{32} \quad \& \quad \frac{V_0}{M_p^4} = -\frac{256}{27\pi N^4} \quad \text{so} \quad \mathcal{M}_4 = AdS_4$$

## A toy model example

Consider a 6d theory on  $\mathcal{M}_4 \times S^2$  [Freund, Rubin '80]

$$S_6 = \frac{1}{2} \int \left( *R_6 - \frac{1}{2} F_2 \wedge *F_2 \right)$$

- Stabilize  $S^2$  radius by taking  $\int_{S^2} F_2 \simeq N$ . Then

$$L_0^2 = \frac{3N^2}{32} \quad \& \quad \frac{V_0}{M_P^4} = -\frac{256}{27\pi N^4} \quad \text{so} \quad \mathcal{M}_4 = AdS_4$$

- Now we add  $N_3$  3-branes of tension  $T_3$ .
- $S^2$  backreacts: it grows  $L_0^2 \rightarrow L_0^2 \left( 1 - \frac{N_3 T_3}{4\pi} \right)^{-1}$
- This results in a 4d vacuum energy change

$$\frac{V_0}{M_P^4} \rightarrow \frac{V_0}{M_P^4} \left( 1 - \frac{N_3 T_3}{4\pi} \right)^3 = \frac{V_0}{M_P^4} + \frac{N_3 T_3}{M_P^4} + \mathcal{O} \left( \left( \frac{N_3 T_3}{m_{KK}^2 M_P^2} \right)^2 \right)$$

**We can add energy, but the uplift flattens out leaving  $V \leq 0$**

## The String Theory case I: setup

A generalization of [Giddings, Kachru, Polchinski '01] vacua: Type IIB on

$$ds^2 = e^{2A(y)} \tilde{g}_{\mu\nu} dx^\mu dx^\nu + \underbrace{e^{-2A(y)} \tilde{g}_{mn}^6}_{=g_{mn}^6} dy^m dy^n \quad ; \quad F_5 = (1 + \star_{10}) d\alpha \wedge dx^4$$

- 10d *trace reversed* Einstein's eq.s & EoM of  $F_5$

$$\tilde{R}_{4D} = \tilde{\nabla}^2(e^{4A} - \alpha) - e^{-6A} |\partial(e^{4A} - \alpha)|^2 - e^{2A} \left( \frac{|G_3^-|^2}{6\text{Im}(\tau)} + \frac{\Delta^{loc}}{2\pi} \right)$$

where  $\star_6 G_3^- = -iG_3^-$  &  $\Delta^{loc} \equiv \frac{1}{4} (T_m^m - T_\mu^\mu)^{loc} - T_3 \rho_3^{loc}$

## The String Theory case I: setup

A generalization of [Giddings, Kachru, Polchinski '01] vacua: Type IIB on

$$ds^2 = e^{2A(y)} \tilde{g}_{\mu\nu} dx^\mu dx^\nu + \underbrace{e^{-2A(y)} \tilde{g}_{mn}^6}_{=g_{mn}^6} dy^m dy^n \quad ; \quad F_5 = (1 + \star_{10}) d\alpha \wedge dx^4$$

- 10d *trace reversed* Einstein's eq.s & EoM of  $F_5$

$$\tilde{R}_{4D} = \tilde{\nabla}^2(e^{4A} - \alpha) - e^{-6A} |\partial(e^{4A} - \alpha)|^2 - e^{2A} \left( \frac{|G_3^-|^2}{6\text{Im}(\tau)} + \frac{\Delta^{loc}}{2\pi} \right)$$

where  $\star_6 G_3^- = -iG_3^-$  &  $\Delta^{loc} \equiv \frac{1}{4} (T_m^m - T_\mu^\mu)^{loc} - T_3 \rho_3^{loc}$

- From here  $\left( V = M_P^2 \tilde{R}_{4D} / 4; \mathcal{V} = \int \sqrt{g^6} e^{2A} d^6 y; \tilde{\mathcal{V}} = \int \sqrt{g^6} e^{6A} d^6 y \right)$

$$\frac{V}{M_P^4} = -\frac{1}{16\pi \mathcal{V} \tilde{\mathcal{V}}} \int d^6 y \sqrt{g^6} \left[ |\partial(e^{4A} - \alpha)|^2 + e^{8A} \left( \frac{|G_3^-|^2}{6\text{Im}(\tau)} + \frac{\Delta^{loc}}{2\pi} \right) \right]$$

- We can use this expression when stabilizing all moduli, including  $\rho$ .



## The String Theory case II: moduli stabilization

$$\frac{V}{M_P^4} = -\frac{1}{16\pi\mathcal{V}\tilde{\mathcal{V}}} \int d^6y \sqrt{g^6} \left[ |\partial(e^{4A} - \alpha)|^2 + e^{8A} \left( \frac{|G_3^-|^2}{6\text{Im}(\tau)} + \frac{\Delta^{loc}}{2\pi} \right) \right]$$

- CS moduli & dilaton: via  $G_3^+$  fluxes & with  $(e^{4A} - \alpha) = 0$ .
- Kähler: via  $\langle \lambda\lambda \rangle$  from a stack of D7-branes: [Dymarsky, Martucci '10]

- Local:  $e^{8A} \frac{\Delta^{loc}}{2\pi} = -3 \frac{|\lambda\lambda|^2 |\Omega|^2}{(4\pi)^4} |g^{m\bar{n}} \nabla_m \nabla_{\bar{n}} F(z)|^2 \leq 0$

- Bulk:  $e^{8A} \frac{|G_3^-|^2}{6\text{Im}(\tau)} = 4 \frac{|\lambda\lambda|^2 |\Omega|^2}{(4\pi)^4} |\nabla_\rho \nabla_q F(z)|^2 \geq 0$

[Baumann, Dymarsky, Kachru, Klebanov, McAllister '10]

- $\frac{V_{\lambda\lambda}}{M_P^4} = -\frac{|\lambda\lambda|^2}{(4\pi)^6 \mathcal{V}\tilde{\mathcal{V}}} \int d^6y \sqrt{g^6} \left[ |\Omega|^2 |g^{m\bar{n}} \nabla_m \nabla_{\bar{n}} F(z)|^2 \right] \leq 0$

- In agreement with the 4d EFT we have an AdS vacuum.

## The String Theory case III: the uplift

$$\frac{V}{M_p^4} = -\frac{1}{16\pi\mathcal{V}\tilde{\mathcal{V}}} \int d^6y \sqrt{g^6} \left[ |\partial(e^{4A} - \alpha)|^2 + e^{8A} \left( \frac{|G_3^-|^2}{6\text{Im}(\tau)} + \frac{\Delta^{loc}}{2\pi} \right) \right]$$

- Add  **$\overline{\text{D3}}$ -brane** (+  $G_3^+$  fluxes for tadpoles) on warped throat ( $e^{4A}_{IR} \ll 1$ ).
- In 10d picture, we don't know details of  $\overline{\text{D3}}$  backreaction down the throat.  
(**Don't worry!!!** We assume there exists a stable solution :)

## The String Theory case III: the uplift

$$\frac{V}{M_p^4} = -\frac{1}{16\pi\mathcal{V}\tilde{\mathcal{V}}} \int d^6y \sqrt{g^6} \left[ |\partial(e^{4A} - \alpha)|^2 + e^{8A} \left( \frac{|G_3^-|^2}{6\text{Im}(\tau)} + \frac{\Delta^{loc}}{2\pi} \right) \right]$$

- Add **D3-brane** (+  $G_3^+$  fluxes for tadpoles) on warped throat ( $e_{IR}^{4A} \ll 1$ ).
- In 10d picture, we don't know details of  $\overline{D3}$  backreaction down the throat.  
(**Don't worry!!!** We assume there exists a stable solution :)
- We should find that  $\Delta V_{\overline{D3}} \simeq e_{IR}^{4A} (2T_3) + \dots$
- But  $\Delta^{loc}$  is suppressed by  $e_{IR}^{8A} \ll e_{IR}^{4A}$ . This is not the origin of  $\Delta V_{\overline{D3}}$  !



## The way out

$$\frac{V}{M_P^4} = -\frac{1}{16\pi\mathcal{V}\tilde{\mathcal{V}}} \int d^6y \sqrt{g^6} \left[ |\partial(e^{4A} - \alpha)|^2 + e^{8A} \left( \frac{|G_3^-|^2}{6\text{Im}(\tau)} + \frac{\Delta^{loc}}{2\pi} \right) \right]$$

- From the EFT perspective, for being safe we need  $|V_0| \lesssim \Delta V \ll m_i^2 M_P^2$
- Decouple the AdS scale  $L_{AdS}$  from  $m_\rho$ , such that  $m_\rho L_{AdS} \gg 1$

## The way out

$$\frac{V}{M_P^4} = -\frac{1}{16\pi\mathcal{V}\tilde{\mathcal{V}}} \int d^6y \sqrt{g^6} \left[ |\partial(e^{4A} - \alpha)|^2 + e^{8A} \left( \frac{|G_3^-|^2}{6\text{Im}(\tau)} + \frac{\Delta^{loc}}{2\pi} \right) \right]$$

- From the EFT perspective, for being safe we need  $|V_0| \lesssim \Delta V \ll m_i^2 M_P^2$
- Decouple the AdS scale  $L_{AdS}$  from  $m_\rho$ , such that  $m_\rho L_{AdS} \gg 1$
- From 10d, we need  $\Delta^{loc} < 0$ . **Gaugino condensates**  
(There was already a 4d proposal by [Kallosh, Linde '04])
- After Kähler moduli stabilization one may find  $V_0 = 0$  due to

$$\frac{|G_3^-|^2}{6\text{Im}(\tau)} + \frac{\Delta^{loc}}{2\pi} \sim 4 \left| \sum_a \langle \lambda\lambda \rangle_a \nabla_\rho \nabla_q F_a(z) \right|^2 - \sum_a 3 \left| \langle \lambda\lambda \rangle_a g^{m\bar{n}} \nabla_m \nabla_{\bar{n}} F_a(z) \right|^2$$

## The way out

$$\frac{V}{M_P^4} = -\frac{1}{16\pi\mathcal{V}\tilde{\mathcal{V}}} \int d^6y \sqrt{g^6} \left[ |\partial(e^{4A} - \alpha)|^2 + e^{8A} \left( \frac{|G_3^-|^2}{6\text{Im}(\tau)} + \frac{\Delta^{loc}}{2\pi} \right) \right]$$

- From the EFT perspective, for being safe we need  $|V_0| \lesssim \Delta V \ll m_i^2 M_P^2$
- Decouple the AdS scale  $L_{AdS}$  from  $m_\rho$ , such that  $m_\rho L_{AdS} \gg 1$
- From 10d, we need  $\Delta^{loc} < 0$ . **Gaugino condensates**  
(There was already a 4d proposal by [Kallosh, Linde '04])
- After Kähler moduli stabilization one may find  $V_0 = 0$  due to

$$\frac{|G_3^-|^2}{6\text{Im}(\tau)} + \frac{\Delta^{loc}}{2\pi} \sim 4 \left| \sum_a \langle \lambda\lambda \rangle_a \nabla_\rho \nabla_q F_a(z) \right|^2 - \sum_a 3 \left| \langle \lambda\lambda \rangle_a g^{m\bar{n}} \nabla_m \nabla_{\bar{n}} F_a(z) \right|^2$$

- Inclusion of an  $\overline{D3}$ -brane:  $\Delta V_{\overline{D3}} = e^{4A} (2T_3) + \dots \Rightarrow V_0 + \Delta V_{\overline{D3}} > 0 !!!$ 
  - $2^{nd}$  term contributes more on the integral when including the  $\overline{D3}$ -brane

**Thank you!**