

Axion Inflation with Dissipation and Thermalization

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¹In collaboration with Ricardo Z.Ferreira, and Konrad Tywoniuk

Non-standard features of inflation

Axion Inflation
with
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and Thermal-
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Introduction

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- Motivation: explore **non-standard features** of inflation:
 - New observable effects?
 - New dynamics? (not based on flat potentials)

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- We analyze:
 - **Axion** models

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 - Dissipation & **Temperature** during inflation

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 - Dissipation & **Temperature** during inflation
 - **Strong Friction** during inflation

Inflation with Axial coupling

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- ϕ coupled to **U(1) gauge fields**, “axion-like”:

$$S = \int d^4x \sqrt{-g} \left(\frac{1}{2} \partial_\mu \phi \partial^\mu \phi + V(\phi) + \frac{1}{4} F_{\mu\nu} F^{\mu\nu} + \frac{\phi}{4f_\gamma} F_{\mu\nu} \tilde{F}^{\mu\nu} \right)$$

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 \implies **Instability** $\propto \dot{\phi}$

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 \implies **Efficient production**
- Derivative coupling \implies **No corrections to $V(\phi)$**

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- In a time dependent ϕ and in FLRW
(conformal time $ad\tau = dt$, \pm positive (negative) helicity) :

$$A''_{\pm} + \left(k^2 \mp \frac{k\phi'}{f} \right) A_{\pm} = 0,$$

(e.g. I. Tkachev, Pisma Astron.Zh. 12 (1986))

- $\phi' = a\dot{\phi} \neq 0$

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- $\phi' = a\dot{\phi} \neq 0$
- **One helicity is unstable**: gauge fields becomes quickly large

Constant $\dot{\phi}$ and de Sitter

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- (Sorbo & Anber '09) assumed: $\dot{\phi} = \text{const}$ in de Sitter

$$a(t) = -\frac{1}{H\tau}$$

$$A''_{\pm} + \left(k^2 \mp \frac{2k\xi}{\tau} \right) A_{\pm} = 0, \quad \xi \equiv \frac{\dot{\phi}}{2fH}$$

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- Impose **vacuum fluctuations** $A_k = \frac{e^{ik\tau}}{\sqrt{2k}}$ at $\tau \rightarrow -\infty$
(past)
(*Almost, up to a $\ln(\tau)$ phase.)

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- Solution at $\tau \rightarrow 0^-$ (future):

$$A_+ \approx \frac{1}{\sqrt{2k}} \left(\frac{k|\tau|}{2\xi} \right)^{1/4} e^{\pi\xi - 2\sqrt{2\xi k|\tau|}}$$

Consequences

- (Sorbo & Anber '09) estimated:

$$\frac{\langle F\tilde{F} \rangle}{4} = \frac{1}{2a^4} \int \frac{d^3k}{(2\pi)^3} k \frac{d[|A_+|^2 - |A_-|^2]}{d\tau} \approx \left(\frac{H}{\xi}\right)^4 e^{2\pi\xi}$$

²Barnaby & Peloso PRL 106 (2011), Barnaby et al. PRD85 (2012), Namba et al. JCAP 1601 (2016).
Ferreira & Sloth, JHEP 1412 (2014) 139. Anber & Sorbo PRD85 (2012) 123537. Lin & Ng (Taiwan, Inst.
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- **New features:** ²
 - Fields are **not** in the vacuum:
 - Contribution to **2-point** function $\langle \delta\phi\delta\phi \rangle_{loop}$
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 - Contribution to tensors

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 - At **large ξ** : **Backreaction** on ϕ dynamics

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- Include **scattering** of gauge bosons ³:
 - Very large occupation number $N_\gamma \rightarrow$ **scatterings** enhanced $\gamma\gamma \leftrightarrow \gamma\gamma$,

³“Thermalized Axion Inflation” Ricardo Z. Ferreira, A.N.1706.00373

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 - Very efficient if **Standard Model gauge fields**:
 $\gamma\gamma \leftrightarrow l^+l^-$

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 - Very efficient if **Standard Model gauge fields**:
 $\gamma\gamma \leftrightarrow \ell^+\ell^-$
- New phenomenology:
 - Moves power of gauge fields to UV $k/a \sim T$, more inside the horizon
 - Expect: suppression of fluctuations in $\langle \delta\phi\delta\phi \rangle_{loop}$, $\langle \delta\phi\delta\phi\delta\phi \rangle_{loop}$ at Hubble scale

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Particle production and thermalization

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- **Instability** \Rightarrow particle production of modes: $\frac{k}{a} \lesssim 2\xi H$.
- Instability starts **subhorizon** (if $\xi > 1$) where particle interpretation meaningful.

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$$\frac{\rho_\gamma(k)}{2k} = \frac{A'^2 + k^2 A^2}{2k} \equiv \frac{1}{2} + N_\gamma(k) \quad \Rightarrow$$

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$$\begin{cases} N_\gamma(k) \simeq 0, & k/a \gg H \\ N_\gamma(k) \simeq \frac{e^{2\pi\xi}}{8\pi\xi}, & k/a \ll H \end{cases}$$

Scatterings

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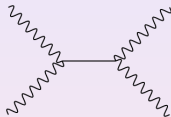
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Scatterings are enhanced by powers of N_γ



$$\frac{dN_\gamma(k)}{d\tau} = S(k)$$

$$S = \frac{1}{\omega(k)} \int \prod_{i=2}^4 \left(\frac{d^3 p_i}{(2\pi)^3 (2E_i)} \right) |M_n|^2 (2\pi)^4 \delta^{(4)}(k^\mu + p_2^\mu - p_3^\mu - p_4^\mu) \cdot \\ \cdot N_\gamma(k) N_\gamma(p_2) [1 + N_\gamma(p_3)] [1 + N_\gamma(p_4)]$$

Scatterings

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- Scattering rates $\propto N_\gamma^3 \implies$ For large N_γ :

$$t_{\text{scatterings}} \ll H^{-1} \implies \text{thermalization}$$

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- Compare: $N_+ H \ll S$

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$$N_+ \gg \sqrt{\beta S \frac{Hf^4}{\omega^5}} \xrightarrow{\omega \approx H} \xi \gtrsim 0.45 \ln \left(\frac{f}{H} \right) + 2.7,$$

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- Expectation: **thermal bath of photons** with temperature

$$T \approx \rho_{\gamma, \text{initial}}^{1/4} \approx 0.1 H e^{\pi\xi/2}$$

Boltzmann-like equations

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- We rewrite the eom as a Boltzmann-like eq.
($g \equiv A'_+(k, \tau)/A_+(k, \tau)$) :

$$\frac{dN_{\gamma_+}(k)}{d\tau} = -\frac{4k\xi}{\tau} \frac{\text{Re}[g]}{|g|^2 + k^2} \left(N_{\gamma_+}(k) + \frac{1}{2} \right)$$

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(approximation: g computed without S)

- Full system (γ_+, γ_-, ϕ)

$$\begin{cases} N'_+ = -\frac{4k\xi}{\tau} \frac{\text{Re}[g]}{|g|^2 + k^2} \left(N_+ + \frac{1}{2} \right) + S^{++} + S^{+\phi} + D^{+\phi} + S^{+-}, \\ N'_u = -S^{+\phi} - D^{+\phi}, \\ N'_- = -S^{+-}, \end{cases}$$

($u \equiv a\delta\phi$)

Numerical results

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- Discretize: $\mathcal{O}(10)$ modes of comoving momentum:
 $k \in [1, \mathcal{O}(10)]H$.
- Duration of simulation: $\mathcal{O}(1)$ e-fold, $\{\tau_0 = -2, \tau_f = -1\}$

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- Duration of simulation: $\mathcal{O}(1)$ e-fold, $\{\tau_0 = -2, \tau_f = -1\}$
- Distribution of particles **approaches Bose-Einstein distribution** at ξ, f in agreement with estimations.

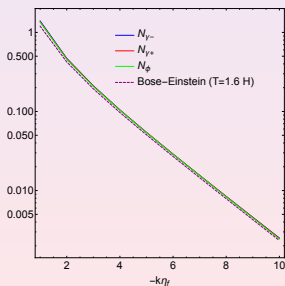


Figure: $\xi = 2, f/H = 0.1$

Thermalization

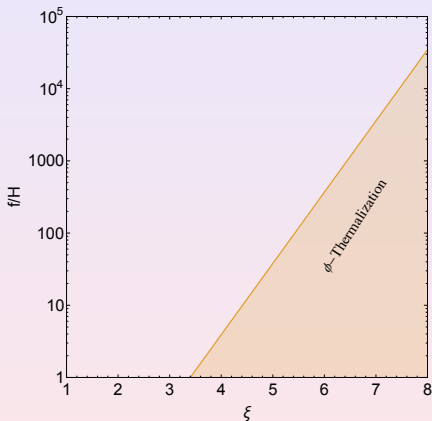
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$$\Gamma_s \gg H \quad \Rightarrow \quad \xi \gtrsim 0.45 \ln \left(\frac{f}{H} \right) + 2.7,$$

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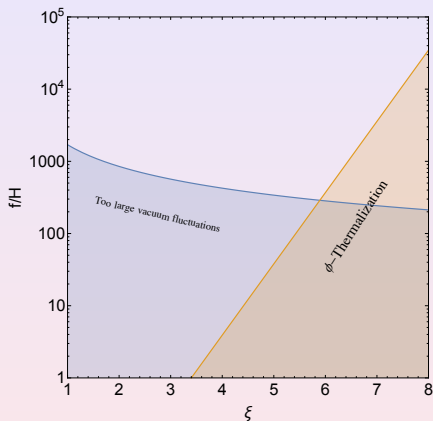
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Vacuum fluctuations:

$$P_{\zeta}^{\text{vac}} = \frac{H_*^4}{4\pi^2 \dot{\phi}_*^2} = \frac{H^2}{16\pi^2 f^2 \xi^2} \leq 2.2 \times 10^{-9} \quad \Rightarrow \quad \frac{f}{H} \gtrsim \frac{2 \times 10^3}{\xi}.$$

Thermalization

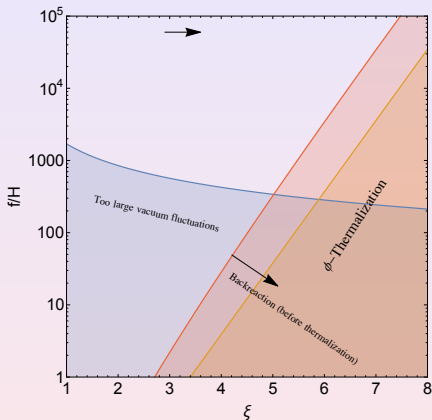
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Backreaction on ϕ (before reaching thermalization):

$$\frac{\langle F\tilde{F} \rangle}{f} \gtrsim V'(\phi) \simeq 3H\dot{\phi} \quad \Rightarrow \quad f/H \lesssim 4 \times 10^{-3} e^{\pi\xi} / \xi^{5/2}.$$

Thermalization

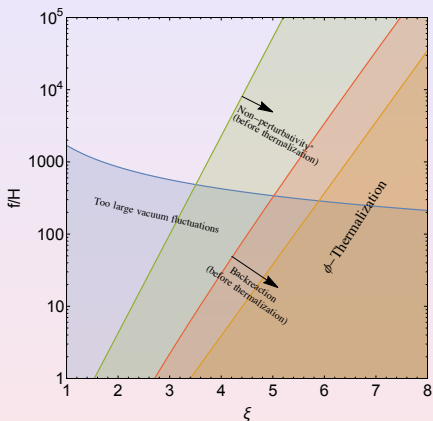
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* Requiring perturbativity on loop expansion for cosmological correlators, in absence of thermalization (Ferreira *et al.*, JCAP 1604 (2016)):

$$\frac{H^2}{f^2} \frac{e^{2\pi\xi}}{16\pi^2 l} < 1,$$

Standard Model couplings

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- If gauge fields belong to SM: many other interactions with known couplings ($\gamma\gamma \leftrightarrow e^+ e^-, \dots$)

Standard Model couplings

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- **More predictive**, only depends on ξ . Interactions **not suppressed** by powers of $1/f$.

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- Using $\sigma_{\gamma\gamma \leftrightarrow l+l^-} \approx \frac{\alpha_{EM}}{\omega^2} \implies$

Requirement for thermalization ($\Gamma_s \gg H$) :

$$N_\gamma H \ll \frac{\alpha_{EM}}{\omega^2} \cdot H^3 N_\gamma^2 \implies \xi \gtrsim 2.9$$

SM Thermalization

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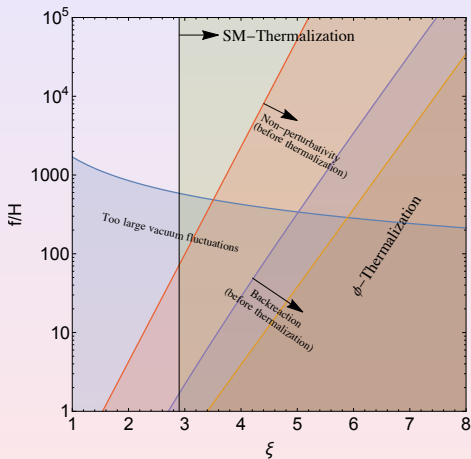


Figure: Summing over all $U_Y(1)$ charged particles in SM.

Enter a new regime...

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- **Thermal** gauge field **masses** appear: $m_T \propto gT$

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$$A''_{\pm} + \omega_T^2(k)A_{\pm} = 0, \quad \omega_T(k) = \left(k^2 \pm \frac{2k\xi}{\tau} + \frac{m_T^2}{H^2\tau^2} \right).$$

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- When $m_T \geq \xi H$ completely **shields** the instability band ($\omega^2 > 0$)
- Expect $T_{eq} \approx \frac{\xi H}{g}$ (or maybe oscillations?)
- New regime: linear in ξ , not exponential:
 $T_{eq} \ll (e^{2\pi\xi} H^4)^{1/4}$!

At equilibrium temperature

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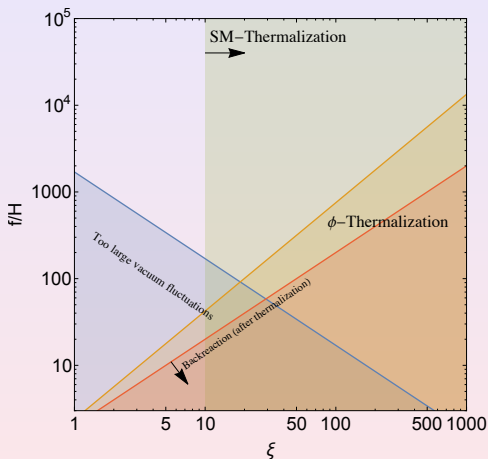


Figure: Using $T_{eq} \approx \frac{\xi H}{g}$, with $g = 0.5$.

Thermal Spectrum of ζ

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- If $\zeta \equiv \frac{H}{\dot{\phi}}\delta\phi$ thermalizes

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- If $\zeta \equiv \frac{H}{\dot{\phi}} \delta\phi$ thermalizes
 \implies **not** in vacuum at Horizon Crossing

- $|u_k|^2 = \left| \frac{1}{\sqrt{2k}} \right|^2$

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Thermal Spectrum of ζ

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- $|u_k|^2 = \left| \frac{1}{\sqrt{2k}} \right|^2 = \frac{1}{k} \cdot \frac{1}{2} \implies \frac{1}{k} \left(\frac{1}{2} + N(k_*) \right)$

Thermal Spectrum of ζ

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- $N_k = \frac{1}{e^{\frac{k/a}{T}} - 1}$

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- $P_\zeta = P_\zeta^{vac} \cdot \frac{2T_*}{H_*}$

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$$\bullet P_{\zeta} = P_{\zeta}^{vac} \cdot \frac{2T_*}{H_*} \implies$$

$$n_s - 1 \equiv \frac{d \ln P_{\zeta}^{\text{therm}}}{d \ln k} = -6\epsilon_H + 2\eta + \frac{d \ln(T_*/H_*)}{d \ln k},$$

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$$n_s - 1 \equiv \frac{d \ln P_{\zeta}^{\text{therm}}}{d \ln k} = -6\epsilon_H + 2\eta + \frac{d \ln(T_*/H_*)}{d \ln k},$$

- If $T = T_{\text{eq}} = \frac{\xi}{g} H$, \implies

$$\begin{cases} P_{\zeta}^{\text{therm}} = \frac{\xi}{g} \frac{H_*^4}{2\pi^2 \dot{\phi}_*^2} = \frac{H^2}{8\pi^2 f^2 \bar{g} \xi}, \\ n_s - 1 \equiv -6\epsilon_H + 2\eta + \frac{\dot{\xi}}{H\xi} = -4\epsilon_H + \eta. \end{cases}$$

New regime at T_{eq}

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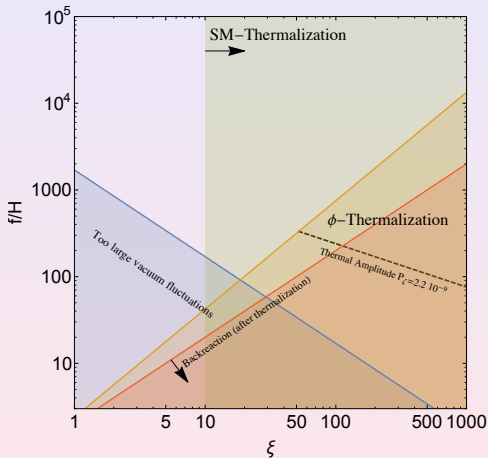


Figure: $P_\zeta = P_\zeta^{vac} \cdot \frac{2T_*}{H_*} = P_\zeta^{vac} \cdot \frac{2\xi}{g}$, ($g = 0.5$.)

Phenomenology in the thermal regime

- Loop effects on ζ **drastically modified!**
- Thermalization shifts gauge fields from horizon size to UV. At horizon crossing N_γ is reduced.
- We expect (work in progress) **much smaller** $\langle \zeta \zeta \zeta \rangle_{\text{loop}}$

- $f_{NL} \simeq \frac{\langle \zeta^3 \rangle}{\langle \zeta^2 \rangle^2}$

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 - Instead of non-thermal case: $f_{NL} \propto e^{4\pi\xi}$!

Phenomenology in the thermal regime

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 - Instead of non-thermal case: $f_{NL} \propto e^{4\pi\xi}$!
 - Constraints on ξ become weaker and **(maybe) allow for the backreacting regime?** (Work in progress)

Phenomenology of tensor modes

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- Assuming **tensor** modes to be in the **vacuum**:

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- Assuming **tensor** modes to be in the **vacuum**:

$$r \equiv \frac{P_T}{P_{\zeta}^{\text{therm}}} = 16 \epsilon \frac{H_*}{2T_*}.$$

- Suppressed by $\frac{H_*}{2T_*} = \frac{g}{2\xi}$
- At least $\mathcal{O}(10^{-2})$ suppression.

Summary

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- ThAI Inflation can be hot



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- Thermalized Axion Inflation
- ThAI Inflation can be hot



- Reheating is automatic and fixed (when $\rho_\gamma > V(\phi)$)
- P_ζ can be thermal
- Work in progress: Inclusion of Thermal masses, Non-gaussianity, Backreaction

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- **Backreaction**

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- (Sorbo & Anber '09) :

$$\frac{\langle F\tilde{F} \rangle}{4} \approx \left(\frac{H}{\xi} \right)^4 e^{2\pi\xi}$$

Backreaction regime

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$$\frac{\langle F\tilde{F} \rangle}{4} \approx \left(\frac{H}{\xi} \right)^4 e^{2\pi\xi}$$

- And used $\langle F\tilde{F} \rangle$ into:

$$\ddot{\phi} + 3H\dot{\phi} + V_{,\phi}(\phi) + \frac{\langle F\tilde{F} \rangle}{f} = 0,$$

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- $\rightarrow \xi \equiv \frac{\dot{\phi}}{2fH} \approx \text{const}$
- $\rightarrow \dot{\phi} \approx 2\xi fH$

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- Valid for any $V(\phi)$!
(ξ depends only logarithmically on $V(\phi)$)

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- Valid for any $V(\phi)$!
(ξ depends only logarithmically on $V(\phi)$)
- $\frac{d\phi}{dN} \equiv \frac{d\phi}{Hdt} \approx f \rightarrow N_{TOT} \approx \frac{\Delta\phi}{f}$

Backreaction regime

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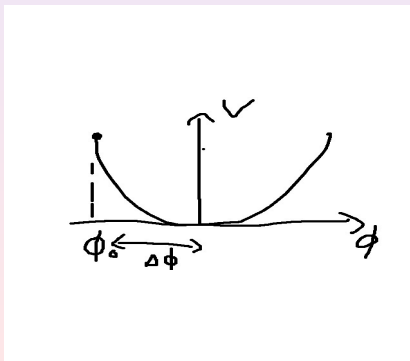
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- Valid for any $V(\phi)$!
(ξ depends only logarithmically on $V(\phi)$)
- $\frac{d\phi}{dN} \equiv \frac{d\phi}{Hdt} \approx f \rightarrow N_{TOT} \approx \frac{\Delta\phi}{f}$
- Possible if f much smaller than field excursion $\Delta\phi$



Questions/Doubts

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- The above estimate *assumes* friction domination:

Questions/Doubts

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- The above estimate *assumes* friction domination:
- Can the instability **develop quick enough to lead to Inflation** starting from static ϕ ?

Questions/Doubts

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- The above estimate *assumes* friction domination:
- Can the instability **develop quick enough to lead to Inflation** starting from static ϕ ?
- Do we actually **get $\dot{\phi} \approx const$ and $H \approx const$** ?

Our work

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- We solved⁴ numerically for A_{\pm} from an initial condition:

$$\begin{aligned} A(0) &= \frac{1}{\sqrt{2k}}, & \dot{A}(0) &= \frac{ik}{\sqrt{2k}}, \\ \phi(0) &= \phi_0, & \dot{\phi}(0) &= 0. \end{aligned}$$

⁴“Dissipative Axial Inflation”. A.N., K. Tywoniuk. JCAP 1612 (2016). 

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- For discrete values of k ($O(100)$ modes)
- Approximated the integral as a sum

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- For discrete values of k ($O(100)$ modes)
- Approximated the integral as a sum
- Solved the coupled system for ϕ and A_{\pm}
- For $k < f$ (cutoff of the effective theory)

⁴“Dissipative Axial Inflation”. A.N., K. Tywoniuk. JCAP 1612 (2016). 

Flat spacetime case: Results

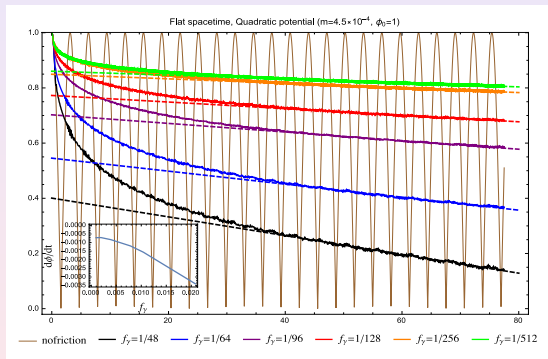
- The simplest case $H = 0$:
- The A_- and $\langle \tilde{F}F \rangle$ grow faster than the free evolution **if**

$$f_\gamma \ll \Delta\phi \implies \text{Friction}$$

- Independently on $V(\phi)$

Flat spacetime case: Results

ϕ evolution



$$\dot{\phi} \approx f_\gamma^2$$

FLRW case: Results

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- If the scale of the potential $V_{,\phi} \gg H^3$ and for a time $t \ll 1/H$, we can **neglect the expansion**

FLRW case: Results

- If the scale of the potential $V_{,\phi} \gg H^3$ and for a time $t \ll 1/H$, we can **neglect the expansion**

- So we are back to the previous case:

- Backreaction **if**

$$f_\gamma \ll \Delta\phi$$

- **Independently** on $V(\phi)$

Inflationary case: Results

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- Then **Inflation starts** $\implies H \approx \text{const}$

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- Then **Inflation starts** $\implies H \approx \text{const}$
- After about 1 efold we enter in the regime described before $\dot{\phi} \approx fH$

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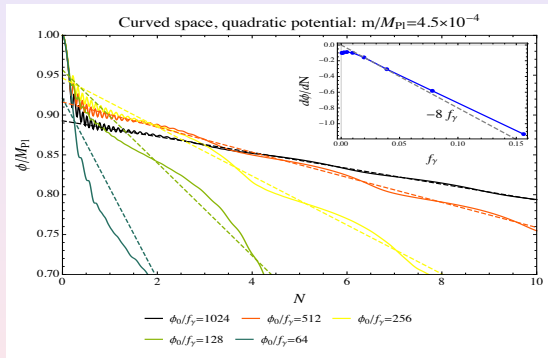
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- Then **Inflation starts** $\implies H \approx \text{const}$
- After about 1 efold we enter in the regime described before $\dot{\phi} \approx fH$
- So, we confirm $N_{TOT} \approx \frac{\Delta\phi}{f}$

FLRW case: Results

ϕ evolution



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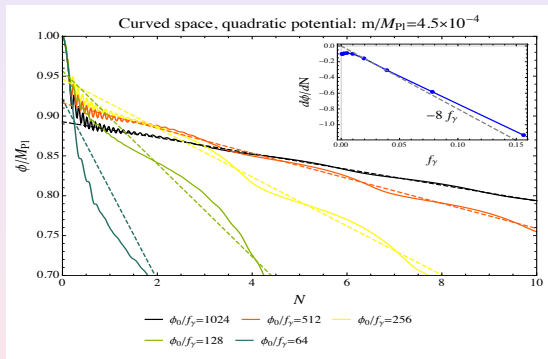
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ϕ evolution



$$\dot{\phi} \approx fH$$

Plus oscillations

FLRW case: Results

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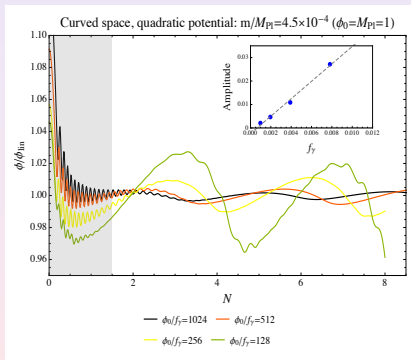
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Oscillations in ϕ :



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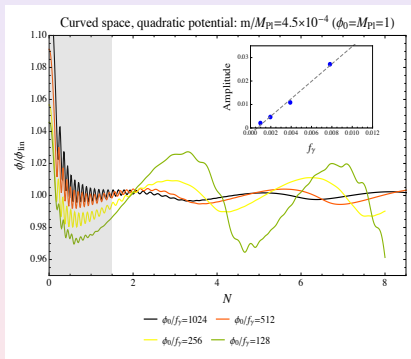
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Oscillations in ϕ :



- **Period** of about 4 e-folds
- **Amplitude** $\propto f$

FLRW case: Results

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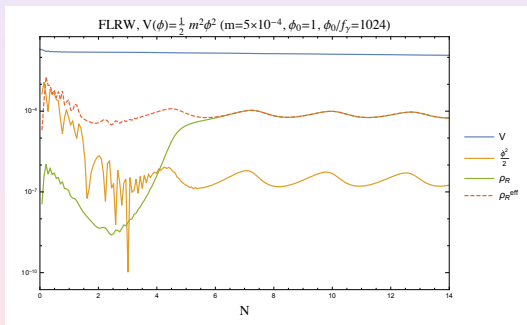
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Inflation with
Dissipation

Thermalized Axion
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Backreaction

Energy densities:



$$V \gg \rho_R \gg \dot{\phi}^2/2$$

Reheating

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- There is no separation between reheating and inflation
- **Model independent** reheating

Reheating

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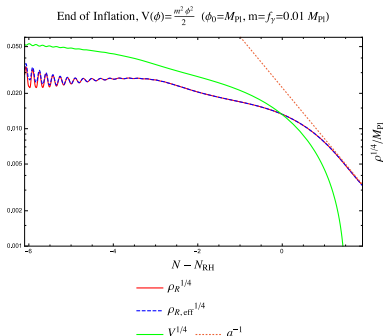
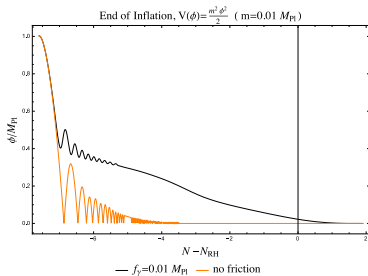
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EXTRA SLIDES

Comment on $f \ll \Delta\phi$

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- If ϕ is an **Axion**
- $\frac{\phi}{f} \tilde{F}F$ naturally present

Comment on $f \ll \Delta\phi$

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- And also $\frac{\phi}{f_G} \tilde{G}G$ (non-abelian) ...

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- So we need $\frac{1}{f} \gg \frac{1}{f_G}!$
- It is possible: **independent** parameters.

FLRW case: Results

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Spectra for:

$$\langle \tilde{F}F \rangle \equiv \int P_B(k) \frac{dk}{k}$$
$$\rho_R = \frac{E^2 + B^2}{2} \equiv \int P_\rho(k) \frac{dk}{k}$$

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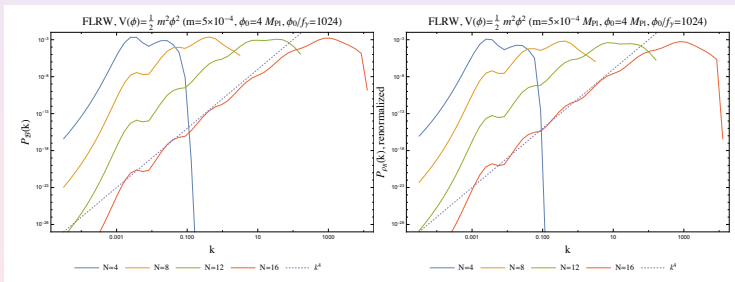
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k^4 behavior

(suppression on large scales due to a^{-4})

Analytical example: linear potential

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- Consider a constant force $V_{,\phi} \equiv -V_p < 0$. Free solution:

$$\phi(t) = \phi_0 - \frac{1}{2} V_p t^2,$$

- Falls to $\phi = 0$ in a time $t_F \approx (\phi_0/V_p)^{1/2}$.

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$$\ddot{A}_{\pm} + (k^2 \pm \mu^2 kt) A_{\pm} = 0, \quad \mu^2 \equiv \frac{V_p}{f}.$$

- Approximately: $\mathcal{B} \propto e^{\frac{4}{3}(t\mu)^2}$.

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- $\mu^{-1} \ll t_F \rightarrow f \ll \phi_0$.