

Flavour symmetries and FCNC processes

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Outline

- ◆ Fermion Masses and supersymmetry
- ◆ Minimal Flavour Violation
- ◆ Flavour violation with an underlying supergravity theory
- ◆ GUT boundary conditions and RGE evolution
- ◆ $B(B \rightarrow X_s \gamma)$ and $B(B \rightarrow l^+ l^-)$
- ◆ Summary

Fermion masses and flavour symmetries

Use experimental information + your favourite form of mass matrices

Experimental information

• Fermion Masses

$$\{\mathbf{m}_u, \mathbf{m}_c, \mathbf{m}_t\} = \{(0.0015, 0.004), (1.15, 1.35), 174.3 \pm 5.1\} \text{ GeV}$$

$$\{\mathbf{m}_d, \mathbf{m}_s, \mathbf{m}_b\} = \{(0.004, 0.008), (0.080, 0.130), (4.1, 4.4)\} \text{ GeV}$$

• CKM matrix

$$V_{\text{CKM}} = \begin{pmatrix} 1 - \frac{\lambda^2}{2} & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{\lambda^2}{2} & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix}$$

$$= \begin{pmatrix} (0.9739, 0.9751) & (0.221, 0.227) & (0.0029, 0.0045) \\ (0.221, 0.227) & (0.9730, 0.9744) & (0.039, 0.044) \\ (0.0048, 0.014) & (0.037, 0.043) & (0.9990, 0.9992) \end{pmatrix}$$

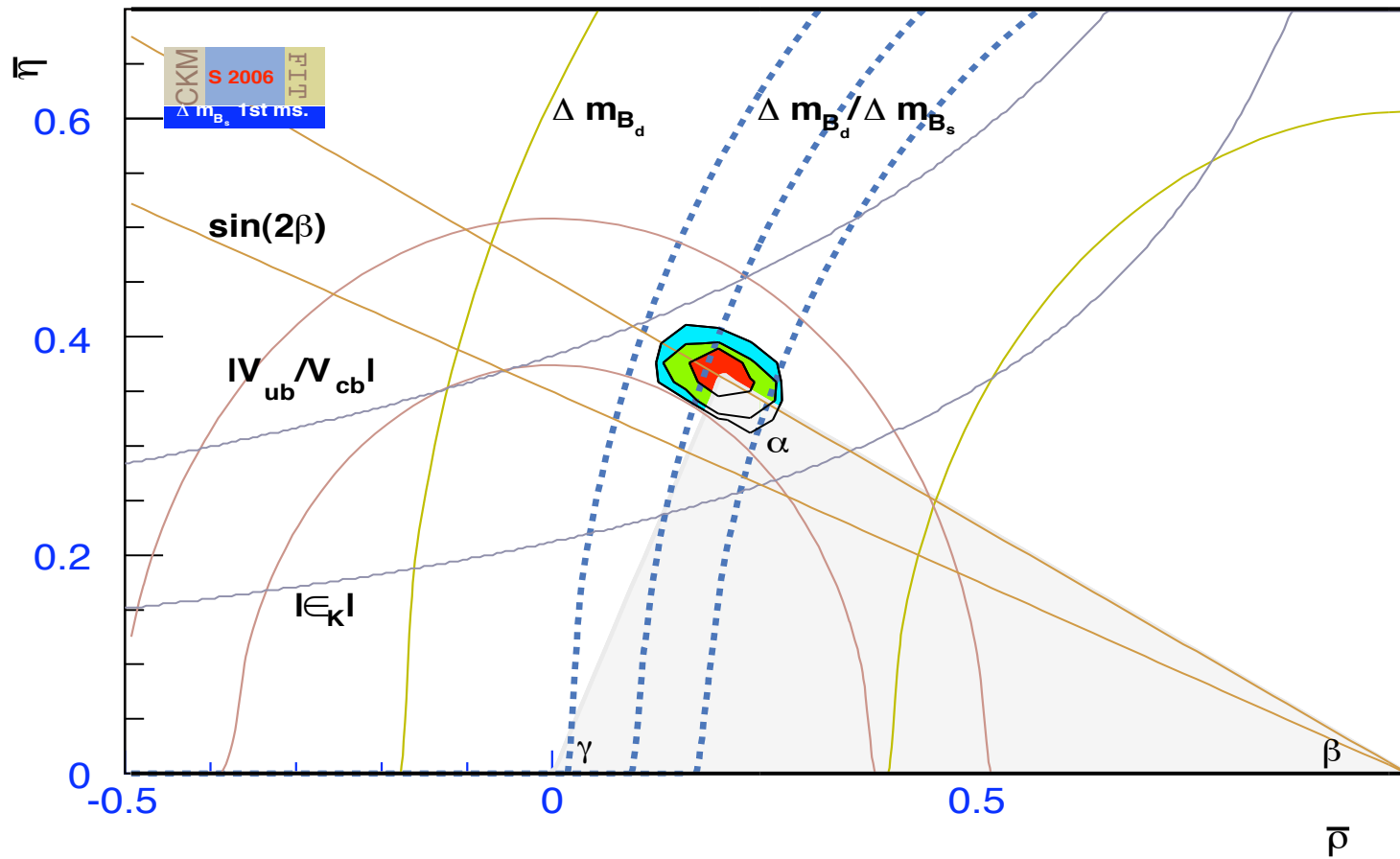


Figure 1: $(\bar{\rho}, \bar{\eta})$ including the measurement on Δm_{B_s} .

Favourite form of mass matrices

Determine your form of mass matrices

$$M_{\text{diag}}^u = L^{u\dagger} M^u R^u,$$

$$V_{\text{CKM}} = L^{u\dagger} L^d \downarrow$$

$$M_{\text{diag}}^d = L^{d\dagger} M^d R^d$$

$$U_{\text{MNS}} = L^{l\dagger} L^\nu$$

→ we can determine the structure above the diagonal and the eigenvalues and constrain elements below the diagonal.

We have many possibilities for the structure of mass matrix but a **natural description of masses in terms of $\varepsilon = O(\lambda)$, $\lambda = 0.224$ it is a hierarchical description**

$$M^d = m_b \begin{pmatrix} \varepsilon^{\geq 6} & \varepsilon^3 & \varepsilon^{\geq 3} \\ & \varepsilon^2 & \varepsilon^2 \\ & & 1 \end{pmatrix}, M^u = m_t \begin{pmatrix} \varepsilon^{\geq 6} & \varepsilon^6 & \varepsilon^{\geq 6} \\ & \varepsilon^{\geq 4} & \varepsilon^4 \\ & & 1 \end{pmatrix}, M^e = m_\tau \begin{pmatrix} \varepsilon^{\geq 6} & \varepsilon^3 & \varepsilon^{\geq 3} \\ & \varepsilon^2 & \varepsilon^{\geq 2} \\ & & 1 \end{pmatrix}$$

Need to make extra assumptions

- Elements below diagonal: Symmetric matrix, anti-symmetric
- Which powers to keep in certain places?

→ Gatto-Sartori-Tonin Relation $V_{us} = |s_{12}^d - e^{i\phi_1} s_{12}^u| \approx \left| \sqrt{\frac{m_d}{m_s}} - e^{i\phi_1} \sqrt{\frac{m_u}{m_c}} \right|$

Choose your flavour symmetries

Which GUT?, which horizontal symmetry?

Some possibilities

Just GUT's

[Senjanovic et. al.]

$SU(5) + \nu_R$ + horizontal symmetries

[Masina & Savoy, Z. Tavartkiladze, Z. Berezhiani,

K. Babu et. al.]

$SO(10)$ + non-Abelian horizontal symmetries

[Ross, V-S, Raby & Dermisek, M-C. Chen & K.T.

Mahanthapa, Bando & et al.]

Just horizontal symmetries, e.g. $U(1)$

[Dreiner & Thormeier et. al.]

Emerging scenarios

Symmetric

Non-symmetric

Non-Abelian

Abelian or Non-Abelian

$$m_{11}^f = 0$$

$$m_{11}^f \neq 0$$



$$SU(4)_C \times SU(2)_R \times SU(2)_L$$

$$SU(5)$$

Flavour structure of Yukawa couplings

At EW scale we set up the off diagonal Y^d entries with the CKM mixings, and we also assume the same mixings for the charged lepton sector.

$$Y^u = \begin{pmatrix} 0 & O(\epsilon_u^3) & O(\epsilon_u^3) \\ O(\epsilon_u^3) & c_{22}^u \epsilon_u^2 & O(\epsilon_u^2) \\ O(\epsilon_u^3) & O(\epsilon_u^2) & c_{33}^u \end{pmatrix}, \quad Y^d = \begin{pmatrix} 0 & O(\epsilon_d^3) & O(\epsilon_d^3) \\ O(\epsilon_d^3) & c_{22}^d \epsilon_d^2 & c_{23}^d \epsilon_d^2 \\ O(\epsilon_d^3) & c_{23}^d \epsilon_d^2 & c_{33}^d \end{pmatrix},$$
$$Y^e = \begin{pmatrix} 0 & O(\epsilon_d^3) & O(\epsilon_d^3) \\ O(\epsilon_d^3) & c_{22}^e \epsilon_d^2 & c_{23}^e \epsilon_d^2 \\ O(\epsilon_d^3) & c_{23}^e \epsilon_d^2 & c_{33}^e \end{pmatrix}.$$

How to determine the Flavour Structure in the Supersymmetric Sector?

Minimal Flavour Violation hypothesis

The global symmetry in the gauge sector of the SM

$$U(3)^5 = SU(3)_Q \times SU(3)_U \times SU(3)_D \times \dots$$

Broken only by the Yukawa couplings

$$Y_d \rightarrow \bar{3}_Q \times 3_d, \quad Y_u \rightarrow \bar{3}_Q \times 3_u, \quad Y_e \rightarrow \bar{3}_L \times 3_e,$$



Specific **symmetry+ symmetry-breaking pattern** \rightarrow **responsible for the suppression of FCNC, CPV effects**, etc..

However in general **soft breaking terms** of the MSSM allow a richer structure

$$\begin{aligned} \mathcal{L} = & -\frac{1}{2} \left(M_1 \tilde{B} \tilde{B} + M_2 \tilde{W} \tilde{W} + M_3 \tilde{g} \tilde{g} \right) + h.c. \\ & - \left(\tilde{Q} a_u H_u \tilde{u} + \tilde{Q} a_d H_d \tilde{d} + \tilde{L} a_e H_d \tilde{e} \right) + h.c. \\ & - \tilde{Q} M_{\tilde{Q}}^2 \tilde{Q}^\dagger - \tilde{L} M_{\tilde{L}}^2 \tilde{L}^\dagger - \tilde{u} M_{\tilde{u}}^2 \tilde{u}^\dagger - \tilde{d} M_{\tilde{d}}^2 \tilde{d}^\dagger - \tilde{e} M_{\tilde{e}}^2 \tilde{e}^\dagger \\ & - m_{H_u}^2 H_u^* H_u - m_{H_d}^2 H_d^* H_d - (B\mu H_u H_d + c.c.) \end{aligned}$$

Within the MSSM, the MFV hypothesis implies a strong restriction on the soft terms

$$\begin{aligned} M_{\tilde{Q}}^2 \tilde{Q} \tilde{Q}^\dagger &\propto \sum x_n (Y_u Y_u^\dagger)^n \sim x_0 I + x_1 Y_u Y_u^\dagger \\ (\delta_{ij}^D)_{LL} &\propto y_t^2 (V_{\text{CKM}ti})^* (V_{\text{CKM}ti}) \end{aligned}$$

As a consequence we have the same CKM factors as in the SM: only flavour-independent magnitude of FCNC amplitudes can be modified

$$A(b \rightarrow s\gamma) \propto [(V_{\text{CKM}})_{ts}^* (V_{\text{CKM}})_{tb}], \quad \Delta M_{B_d} \propto [(V_{\text{CKM}})_{tb} (V_{\text{CKM}})_{td}]^2$$



Excellent suppression of BSM effects in flavour parameters (e.g. SM CKM fits)

Flavour violation with an underlying supergravity theory

Trilinear terms

$$Y_{ij}^f = b_{\phi_a}^f \frac{\langle \theta_{a,f} \rangle^{\alpha_{ij}^f}}{M}, \quad \epsilon_f = f\left(\frac{\langle \theta_f \rangle}{M_f}\right),$$

where the order of magnitude of ϵ_f is fixed through the minimization of the scalar potential involving them.

These fields also couple to the s-fermions through the trilinear terms

$$(a^f)_{ij} H_f Q_i q_j^c$$

The generic form of the trilinear couplings matrices in the main supersymmetric models of breaking, i.e. supergravity mediation, gauge mediation or anomaly mediation, is of the form

$$a_{ij}^f = Y_{ij}^f(A_0^f)_{ij}, \quad \leftarrow \quad a_{ij}^f = Y_{ij}^f(A_0^f)$$

In minimal supergravity A_0 becomes a constant and hence the proportionality of MFV is achieved, if just the evolution of one family is considered.

Once a family symmetry is considered, there are additional terms to the trilinear couplings given by derivatives of the Yukawa couplings with respect to the flavon fields:

$$\begin{aligned}
 a_{ijf} &= Y_{ijf} F^\alpha \partial_\alpha \left[\tilde{K}(X_p, \theta_a) + \ln(K_g^g(H_f) K_l^l(\psi) K_m^m(\psi)) \right] \\
 &+ F^{\theta_a} \partial_{\theta_a} Y_{ijf} + (a_{D_A})_{ijf}, \\
 \alpha &= X_p, \theta_a.
 \end{aligned}$$

F^{θ_a} is proportional to the vacuum expectation value of the corresponding flavon field, $\langle \theta_a \rangle$:

$$F^{\theta_a} = f_{\theta_a} m_{3/2} \langle \theta_a \rangle,$$

where f_{θ_a} is a constant determined by the flavour symmetry.

The Yukawa couplings in term of the flavon fields are generically written as $\frac{\langle \theta_{a,f} \rangle^{\alpha_{ij}^f}}{M}$

$$\mathbf{a}_{ij}^f = \mathbf{Y}_{ij}^f ((\mathbf{A}_0)_{ij} + \mathbf{k}_{ij}^f),$$

k_{ij}^f are the coefficients (e.g.) produced when taking the derivatives with respect to the flavon fields times a mass term:

$$k_{ij}^f = f_{\phi_a} \alpha_{ij}^f m_{3/2}.$$

Thus a generic form of the trilinear couplings under these conditions is:

$$a^u = \begin{pmatrix} 0 & 0 & 0 \\ 0 & A_{22}^u Y_{22}^u & 0 \\ 0 & 0 & A_{33}^u Y_{33}^u \end{pmatrix}, \quad a^d = \begin{pmatrix} 0 & 0 & 0 \\ 0 & A_{22}^d Y_{22}^d & A_{23}^d Y_{23}^d \\ 0 & A_{32}^d Y_{32}^d & A_{33}^u Y_{33}^u \end{pmatrix},$$

Soft squared mass masses

Once the flavour symmetry is specified, the Kähler potential can be trivially written as

$$K = \sum_{\psi} \psi^i \psi^{\dagger \bar{j}} K_{i\bar{j}}(\psi), \quad \psi = u_R, d_R, e_R, \nu_R, Q_L, L_L,$$

$$K_{i\bar{j}}(\psi) = \delta_{i\bar{j}} [c(\psi) + d(X_p, \psi) X^p X_p^\dagger]$$

$$+ \frac{\theta_i^\alpha \theta_{a\bar{j}}^\dagger}{M^2(\theta_\alpha)} [c(\theta_\alpha, \psi) + d(\theta_\alpha, X_p, \psi) X^p X_p^\dagger].$$

Then the squared mass matrices are given by

$$m_{i\bar{j}}^2 = m_{3/2}^2 K_{i\bar{j}} - F^{\bar{X}_p} \left[\partial_{\bar{X}_p} \partial_{X_q} K_{i\bar{j}} - (\partial_{\bar{X}_p} K_{i\bar{l}}) (K^{-1})_{\bar{l}m} (\partial_{X_q} K_{m\bar{j}}) \right] F^{X_q}$$

$$- F^{\bar{\theta}_a} \left[\partial_{\bar{\theta}_a} \partial_{\theta_b} K_{i\bar{j}} - (\partial_{\bar{\theta}_a} K_{i\bar{l}}) (K^{-1})_{\bar{l}m} (\partial_{\theta_b} K_{m\bar{j}}) \right] F^{\theta_b} + (m_{D_A}^2)_{i\bar{j}},$$

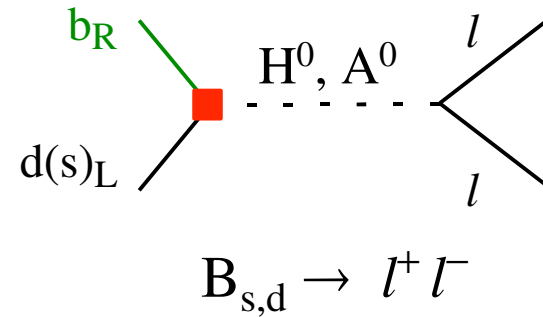
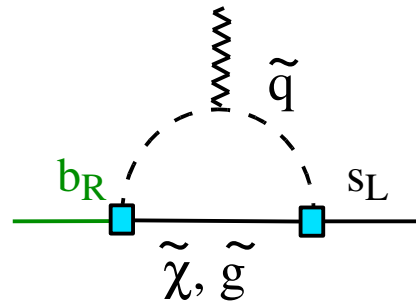
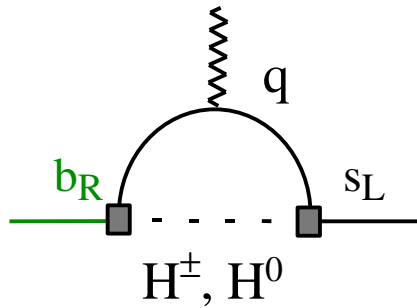
For example in **SU(3)** flavour models, we have an structure like

$$m_{i\bar{j}}^2 = m_{3/2}^2 \delta_{i\bar{j}} - m_o^2 \begin{pmatrix} r_1 & 0 & 0 \\ 0 & r_2 & O\left(\frac{\langle\theta\rangle^2}{M^2}\right) \\ 0 & O\left(\frac{\langle\theta\rangle^2}{M^2}\right) & r_3 \end{pmatrix}.$$

Hence we consider in the analysis the following form of soft-squared masses

$$M_{\tilde{f}}^2 = \begin{pmatrix} m_{\tilde{f}1}^2 & 0 & 0 \\ 0 & m_{\tilde{f}2}^2 & m_{\tilde{f}23}^2 \\ 0 & m_{\tilde{f}23}^{2\dagger} & m_{\tilde{f}3}^2 \end{pmatrix}, \quad f = Q, u, d, L, e.$$

B(B → X_sγ) and B(B → X_sl⁺l⁻)



$$\mathbf{B}(B \rightarrow X_s \gamma) = (3.55 \pm 0.24_{-0.10}^{+0.09} \pm 0.03) \times 10^{-4}$$

$$\mathbf{B}(B \rightarrow X_s l^+ l^-) = (1.6 \pm \pm 0.51) \times 10^{-6}$$

3x3 flavour-violating

$$\mathcal{M}_{\tilde{d}}^2 = \begin{pmatrix} \hat{m}_{\tilde{Q}}^2 + m_d^2 + D_{dLL} & v_1 \hat{T}_D - \mu^* m_d \tan \beta \\ v_1 \hat{T}_D^\dagger - \mu m_d \tan \beta & \hat{m}_{\tilde{d}}^2 + m_d^2 + D_{dRR} \end{pmatrix} \equiv \begin{pmatrix} (\mathcal{M}_{\tilde{d}}^2)^{LL} & (\mathcal{M}_{\tilde{d}}^2)^{LR} \\ (\mathcal{M}_{\tilde{d}}^2)^{RL} & (\mathcal{M}_{\tilde{d}}^2)^{RR} \end{pmatrix}$$

The starting point in the calculation of inclusive B decay rates is the low-energy effective Hamiltonian

$$H_{\text{eff}} = -\frac{4G_F}{\sqrt{2}} V_{ts}^* V_{tb} \sum_i C_i(\mu_b) O_i(\mu_b).$$

In the massless strange quark limit, the operators relevant to our discussion are

$$\begin{aligned} O_2 &= \bar{s}_L \gamma_\mu c_L \bar{c}_L \gamma^\mu b_L, \\ O_7 &= \frac{e m_b}{16\pi^2} \bar{s}_L \sigma_{\mu\nu} F^{\mu\nu} b_R, \\ O_8 &= \frac{g_s m_b}{16\pi^2} \bar{s}_L \sigma_{\mu\nu} G_a^{\mu\nu} t_a b_R. \end{aligned}$$

When the mass of the strange quark is taken into account we need to consider the operators

$$\begin{aligned}\tilde{O}_7 &= \frac{e m_b}{16\pi^2} \bar{s}_R \sigma_{\mu\nu} F^{\mu\nu} b_L, \\ \tilde{O}_8 &= \frac{g_s m_b}{16\pi^2} \bar{s}_R \sigma_{\mu\nu} G_a^{\mu\nu} t_a b_L.\end{aligned}$$

The prediction for the $B \rightarrow X_s \gamma$ branching ratio is obtained by normalizing the result for the corresponding decay rate to that for the semileptonic decay rate, thereby eliminating a strong dependence on the b -quark mass:

$$B(B \rightarrow X_s \gamma) \Big|_{E_\gamma > (1-\delta)E_\gamma^{\max}} = B(B \rightarrow X_c e \bar{\nu})_{\text{exp}} \frac{\Gamma(B \rightarrow X_s \gamma) \Big|_{E_\gamma > (1-\delta)E_\gamma^{\max}}}{\Gamma(B \rightarrow X_c e \bar{\nu})}.$$

$$B(B \rightarrow X_s \gamma) \Big|_{E_\gamma > E_0} = B(B \rightarrow X_c e \bar{\nu})_{\text{exp}} \left| \frac{V_{ts}^* V_{tb}}{V_{cb}} \right|^2 \frac{6\alpha_{\text{em}}}{\pi} [P(E_0) + N(E_0)] \frac{1}{r(\Gamma_u/\Gamma_c)}$$

Instead of $|C_7^{\text{eff}}(M_W)|^2$ to $B(X_s \rightarrow b\gamma)$, we have

$$\begin{aligned}|C_7^{\text{eff}}(\mu_b)|^2 &\rightarrow P(E_0) + N(E_0) \\ P(E_0) &= \left| X^{(0)}_{\tilde{g}} + X_c + X_t + \epsilon_w \right|^2 + B(E_0),\end{aligned}$$

GUT boundary conditions and RGE evolution

Boundary conditions at GUT scale

$$Y^u = \begin{pmatrix} 0 & 0 & 0 \\ 0 & c_{22}^u \epsilon_u^2 & 0 \\ 0 & 0 & c_{33}^u \end{pmatrix}, \quad Y^d = \begin{pmatrix} 0 & 0 & 0 \\ 0 & c_{22}^d \epsilon_d^2 & c_{23}^d \epsilon_d^2 \\ 0 & c_{23}^d \epsilon_d^2 & c_{33}^d \end{pmatrix},$$

$$Y^e = \begin{pmatrix} 0 & 0 & 0 \\ 0 & c_{22}^e \epsilon_d^2 & c_{23}^e \epsilon_d^2 \\ 0 & c_{23}^e \epsilon_d^2 & c_{33}^e \end{pmatrix}.$$

With the above parameterization of Yukawa matrices then soft masses are as follows:

$$M_{\tilde{Q}}^2 = M_{\tilde{u}_R}^2 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & \epsilon_u^2 \\ 0 & \epsilon_u^2 & 1 \end{pmatrix} m_0^2, \quad M_{\tilde{d}_R}^2 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & \epsilon_d^2 \\ 0 & \epsilon_d^2 & 1 \end{pmatrix} m_0^2$$

$$M_{\tilde{L}}^2 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & \epsilon_u^2 \\ 0 & \epsilon_u^2 & 1 \end{pmatrix} m_0^2, \quad M_{\tilde{e}_R}^2 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & \epsilon_d^2 \\ 0 & \epsilon_d^2 & 1 \end{pmatrix} m_0^2$$

$$a^u = \begin{pmatrix} 0 & 0 & 0 \\ 0 & c_{22}^u \epsilon^u & 0 \\ 0 & 0 & c_{33}^u \end{pmatrix} m_a,$$

$$a^e = \begin{pmatrix} 0 & 0 & 0 \\ 0 & c_{22}^e \epsilon_d^2 & c_{23}^e \epsilon_d^2 \\ 0 & c_{23}^e \epsilon_d^2 & c_{33}^e \end{pmatrix} m_a$$

$$a^d = \begin{pmatrix} 0 & 0 & 0 \\ 0 & c_{22}^d \epsilon_d^2 & c_{23}^d \epsilon_d^2 \\ 0 & c_{23}^d \epsilon_d^2 & c_{33}^d \end{pmatrix} m_a,$$

M_G

$m_o, m_{1/2}, A_o, \text{sign}(\mu)$

$\epsilon_u, \epsilon_d, c_{22}^d, c_{22}^e$

MSSM rge

$\tan\beta, m_t, m_b, m_s, m_c + CKM \text{ mixings}$

M_z



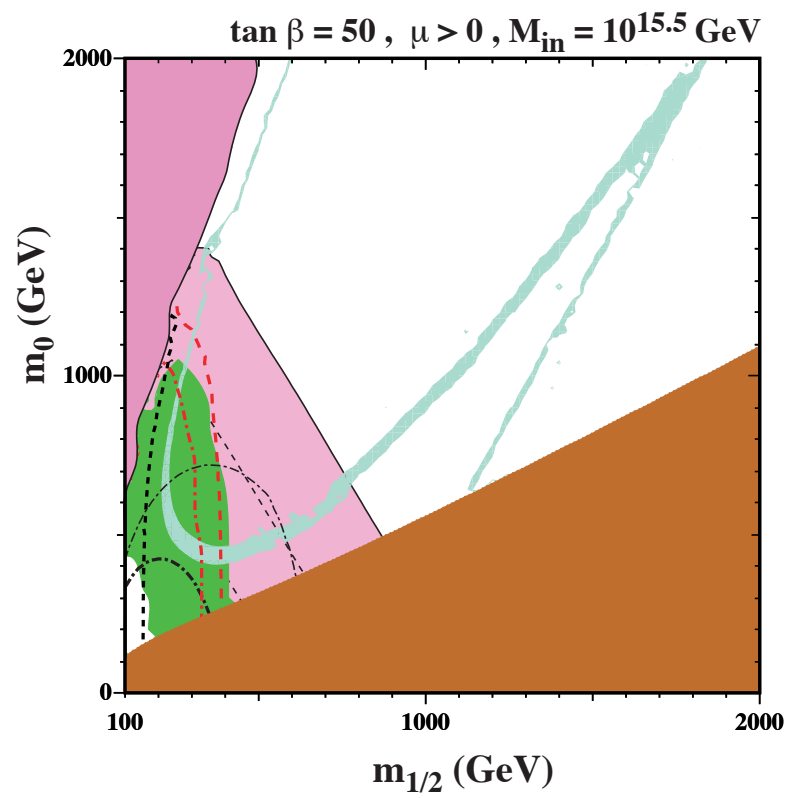
Loop corrections to masses & mixings

Susy spectrum

EWSB



FCNC



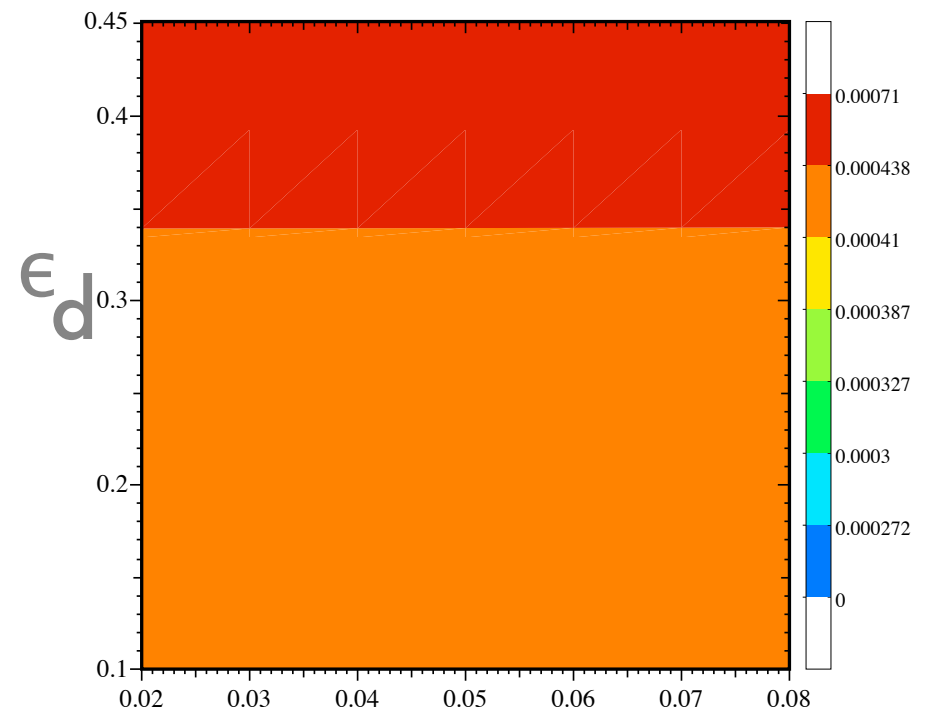
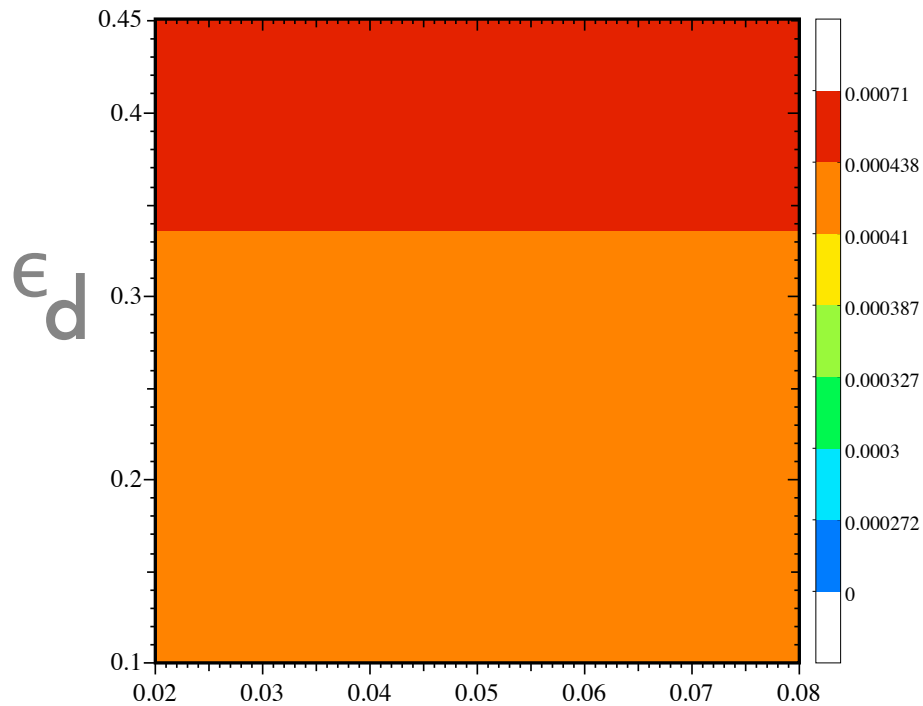
Example

$$m_o = 400 \text{ GeV}, \quad m_{1/2} = 670 \text{ GeV}, \quad A_o = 0, \quad \text{sign}(\mu) > 0$$
$$\tan\beta = 50,$$

$$[m_t = 171.4, \quad m_b = 4.25, \quad m_s = 0.095, \quad m_c = 1.3 \quad m_\mu = 0.106] \text{ [GeV]}$$

$b \rightarrow s \gamma$

$b \rightarrow s \gamma$

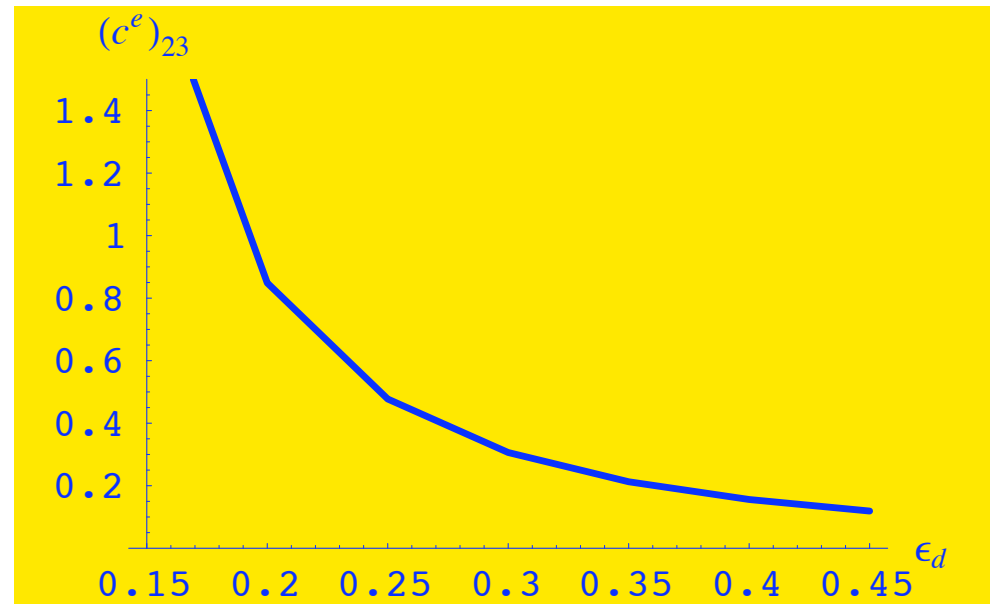
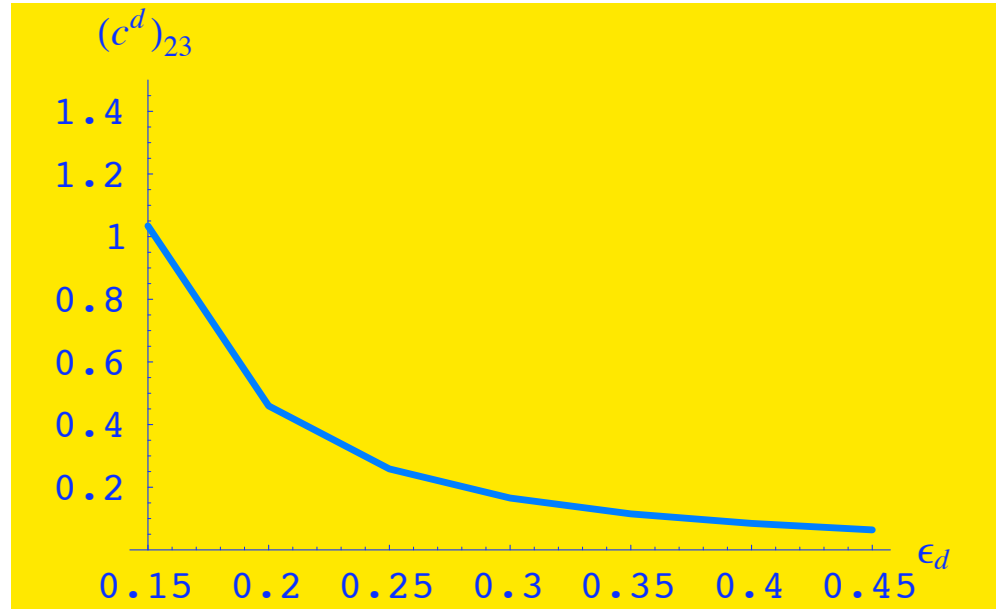
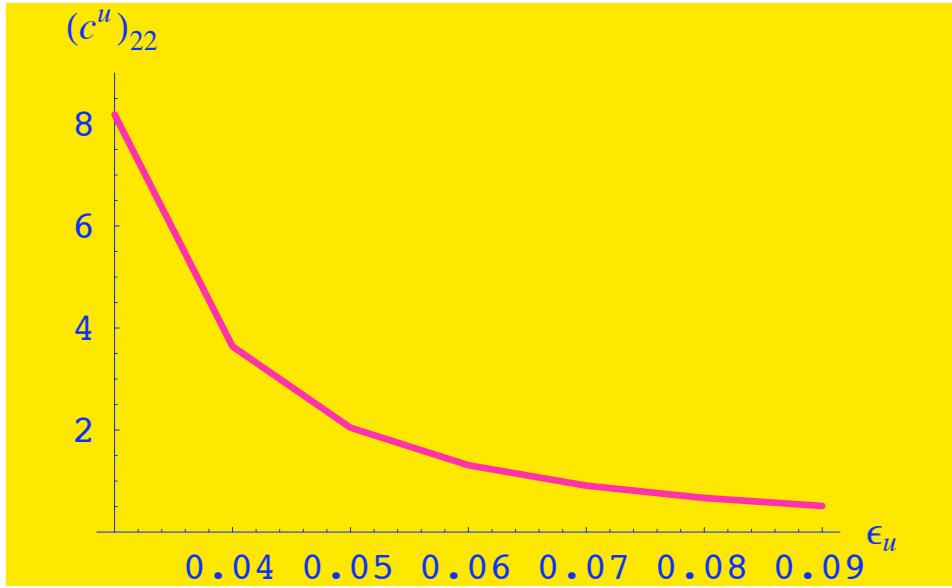


ϵ_U

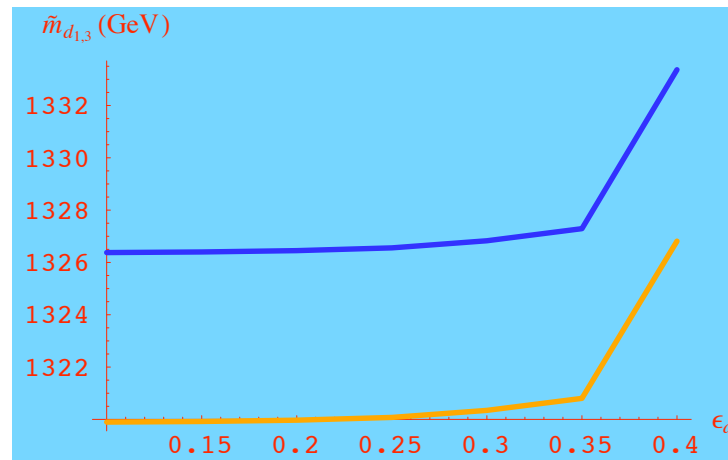
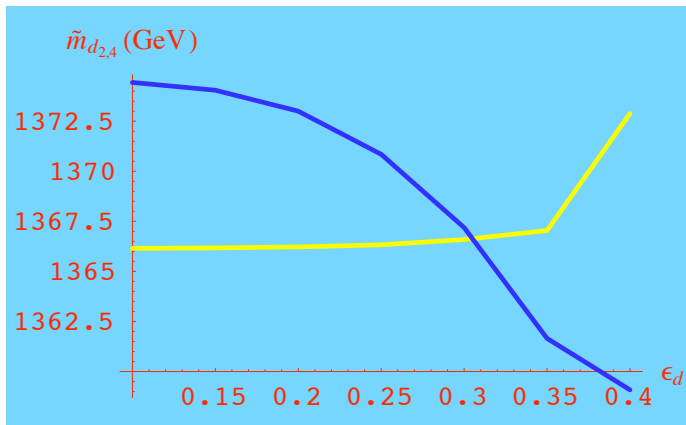
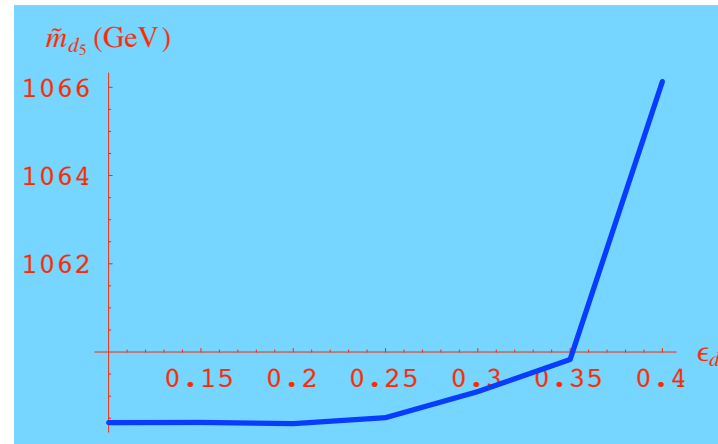
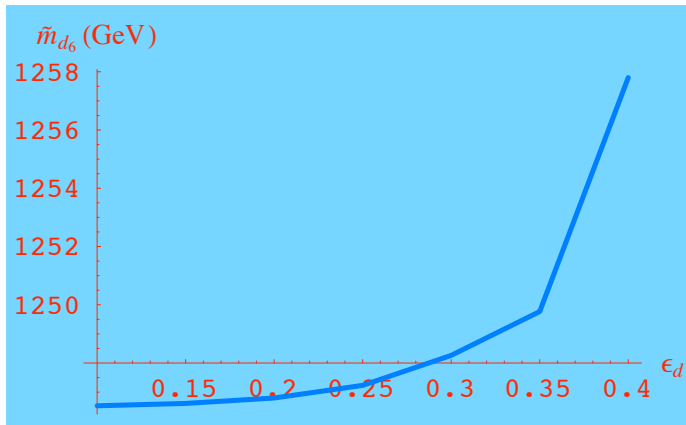
ϵ_U

Within parametric errors, most part of the region lies within the 2σ region

Determination of Yukawa structure



s-fermion mass spectrum





Summary