

# $A_4$ and its double-covering $T'$ as flavor symmetries

C. Hagedorn

hagedorn@mpi-hd.mpg.de

*hep-ph/0702194 (Feruglio, CH, Lin, Merlo)*

*for leptons only:*

*hep-ph/0504165, hep-ph/0512103 (Altarelli, Feruglio)*

Max-Planck-Institut für Kernphysik

Heidelberg

Germany

# Observations

- Masses of the charged fermions are strongly hierarchical

$$m_u : m_c : m_t \approx \lambda^8 : \lambda^4 : 1, \quad m_d : m_s : m_b \approx \lambda^4 : \lambda^2 : 1,$$

$$m_e : m_\mu : m_\tau \approx \lambda^5 : \lambda^2 : 1 \quad \text{where } \lambda \approx \theta_C \approx 0.22$$

- Mass hierarchy in the  $\nu$  sector is milder, ordering till now unknown.

- Mixing parameters:

small mixings for quarks, large mixings for leptons.

- for lepton mixing special structures are allowed:

- “tri-bimaximal” (TB): ( $1 \sigma$ )

$$\sin^2(\theta_{12}^{TB}) = \frac{1}{3}, \quad \sin^2(\theta_{23}^{TB}) = \frac{1}{2}, \quad \sin^2(\theta_{13}^{TB}) = 0.$$

- “ $\mu$ - $\tau$ ” symmetric (MTS):

$$\sin^2(\theta_{23}^{MTS}) = \frac{1}{2}, \quad \sin^2(\theta_{13}^{MTS}) = 0.$$

⇒ All these issues need a theoretical description: Flavor symmetry  $G_F$ !

good candidates are  $A_4$  and  $T'$

# Basics of the model

- the flavor symmetry  $G_F = A_4$  or  $G_F = T'$  is spontaneously broken at high energies
- low energy effective theory: MSSM
- breaking of  $G_F$  is induced by VEVs of flavon fields which are singlets under the SM gauge groups
- (MS)SM fermions transform under  $G_F$
- the MSSM Higgs doublets  $h_{u,d}$  are singlets under  $G_F$

- Lepton generations transform according to  $A_4$  reps.:

$$l_i = \begin{pmatrix} \nu_i \\ e_i \end{pmatrix}_L \sim 3$$

$$e^c \sim 1, \quad \mu^c \sim 1'', \quad \tau^c \sim 1'$$

$$h_{u,d} \sim 1$$

- results :

- TB mixing in the lepton sector at leading order, corrections at level  $\lambda^2 \approx 0.04$
- but no explanation of the quark sector  
idea that quarks transform like charged leptons leads to  $V_{CKM} = \mathbb{1}$  at leading order, but corrections are only of order  $\lambda^2 \approx 0.04$  instead of  $\lambda = \theta_C$  (other models: Ma (2002); He et al. (2006); Bazzocchi et al. (2007))

# Group Theory of $A_4$

- The group  $A_4$  is the symmetry group of a regular tetrahedron, group of even permutations of four objects
- Order of the group: 12
- Four irreducible representations: 1, 1', 1'' and 3
- Generator relations for generators  $S$  and  $T$ :

$$S^2 = \mathbb{1} , \quad T^3 = \mathbb{1} , \quad (ST)^3 = \mathbb{1} .$$

rep.	$S$	$T$
1	1	1
1'	1	$\omega$
1''	1	$\omega^2$
3	$\frac{1}{3} \begin{pmatrix} -1 & 2\omega & 2\omega^2 \\ 2\omega^2 & -1 & 2\omega \\ 2\omega & 2\omega^2 & -1 \end{pmatrix}$	$\begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega & 0 \\ 0 & 0 & \omega^2 \end{pmatrix}$

$$(\omega = e^{\frac{2\pi i}{3}})$$

- Quark generations transform according to  $T'$  reps.:

$$D_q = \begin{pmatrix} q_1 \\ q_2 \end{pmatrix}, D_u^c = \begin{pmatrix} u^c \\ c^c \end{pmatrix}, D_d^c = \begin{pmatrix} d^c \\ s^c \end{pmatrix} \sim 2''$$
$$q_3, t^c, b^c \sim 1$$

together with the leptons in  $A_4$ -like reps.:

$$l_i = \begin{pmatrix} \nu_i \\ e_i \end{pmatrix}_L \sim 3$$
$$e^c \sim 1, \mu^c \sim 1'', \tau^c \sim 1'$$

- results :

- TB mixing in the lepton sector at leading order, corrections are still at level  $\lambda^2 \approx 0.04$
- $m_{u,d,s,c} \ll m_{b,t}$ , all data can be accommodated at subleading order in the quark sector, esp.  $\theta_C$

# Group Theory of $T'$

- The group  $T'$  is the double covering of the group  $A_4$ .  
(Compare to  $SU(2)$  and  $SO(3)$ )
- Order of the group: 24
- Irred. reps: 1, 1', 1'', 3 and 2, 2', 2''
- Generator relations for generators  $S$  and  $T$ :

$$S^2 = \mathbb{R}, \quad T^3 = \mathbb{1}, \quad (ST)^3 = \mathbb{1}, \quad \mathbb{R}^2 = \mathbb{1}.$$

rep.	$S$	$T$
2	$A_1$	$\omega A_2$
2'	$A_1$	$\omega^2 A_2$
2''	$A_1$	$A_2$

$$A_1 = -\frac{1}{\sqrt{3}} \begin{pmatrix} i & \sqrt{2}e^{i\pi/12} \\ -\sqrt{2}e^{-i\pi/12} & -i \end{pmatrix},$$

$$A_2 = \begin{pmatrix} \omega & 0 \\ 0 & 1 \end{pmatrix}$$

# Particle Content of the $T'$ Model

Field	LEPTONS				QUARKS						FLAVONS				
	$l$	$e^c$	$\mu^c$	$\tau^c$	$D_q$	$D_u^c$	$D_d^c$	$q_3$	$t^c$	$b^c$	$\varphi_T$	$\varphi_S$	$\xi, \tilde{\xi}$	$\eta$	$\xi''$
$G_F$	<b>3</b>	<b>1</b>	<b>1''</b>	<b>1'</b>	<b>2''</b>	<b>2''</b>	<b>2''</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>3</b>	<b>3</b>	<b>1</b>	<b>2'</b>	<b>1''</b>
$Z_3$	$\omega$	$\omega^2$	$\omega^2$	$\omega^2$	$\omega$	$\omega^2$	$\omega^2$	$\omega$	$\omega^2$	$\omega^2$	1	$\omega$	$\omega$	1	1
$U(1)$	0	$2n$	$n$	0	0	$n$	0	0	0	0	0	0	0	0	0

additionally needed:

- $Z_3$  symmetry to separate charged fermion and neutrino sector:

$$\{\varphi_T, \eta, \xi''\} \rightarrow m_l, m_u, m_d \quad \text{and} \quad \{\varphi_S, \xi, \tilde{\xi}\} \rightarrow m_\nu$$

- $U(1)$  for hierarchy  $m_s \ll m_c, m_e \ll m_\mu \ll m_\tau$  (field  $\theta$ :  $Q(\theta) = -1$ )



# Superpotential

$$w = w_l + w_q + w_d$$

for leptons :

$$w_l = y_e e^c (\varphi_T l) h_d / \Lambda \left(\frac{\theta}{\Lambda}\right)^{2n} + y_\mu \mu^c (\varphi_T l)' h_d / \Lambda \left(\frac{\theta}{\Lambda}\right)^n + y_\tau \tau^c (\varphi_T l)'' h_d / \Lambda \\ + (x_a \xi + \tilde{x}_a \tilde{\xi})(ll) h_u h_u / \Lambda^2 + x_b (\varphi_S ll) h_u h_u / \Lambda^2$$

for quarks :

$$w_q = y_t (t^c q_3) h_u + y_b (b^c q_3) h_d + y_1 (\varphi_T D_u^c D_q) h_u / \Lambda \left(\frac{\theta}{\Lambda}\right)^n + \\ y_5 (\varphi_T D_d^c D_q) h_d / \Lambda + y_2 \xi'' (D_u^c D_q) h_u / \Lambda \left(\frac{\theta}{\Lambda}\right)^n + y_6 \xi'' (D_d^c D_q) h_d / \Lambda \\ + \{y_3 t^c (\eta D_q) + y_4 (D_u^c \eta) q_3 \left(\frac{\theta}{\Lambda}\right)^n\} h_u / \Lambda + \{y_7 b^c (\eta D_q) + y_8 (D_d^c \eta) q_3\} h_d / \Lambda$$

Higgs superpotential  $w_d$ : VEV structure:

$$G_S : \quad \langle \varphi_S \rangle = (v_S, v_S, v_S), \quad \langle \xi \rangle = u, \quad \langle \tilde{\xi} \rangle = 0,$$

$$G_T : \quad \langle \varphi_T \rangle = (v_T, 0, 0), \quad \langle \eta \rangle = (v_1, 0), \quad \langle \xi'' \rangle = 0.$$

# Leading order

- charged leptons:  $m_l = \frac{v_T}{\sqrt{2}\Lambda} v_d \text{diag}(y_e \left(\frac{\langle\theta\rangle}{\Lambda}\right)^{2n}, y_\mu \left(\frac{\langle\theta\rangle}{\Lambda}\right)^n, y_\tau)$

- neutrinos:  $m_\nu = \frac{v_u^2}{\Lambda} \begin{pmatrix} a + 2b/3 & -b/3 & -b/3 \\ -b/3 & 2b/3 & a - b/3 \\ -b/3 & a - b/3 & 2b/3 \end{pmatrix} \Rightarrow \boxed{\text{TB mixing!}}$

with masses:  $\frac{v_u^2}{\Lambda} \text{diag}(a + b, a, -a + b)$  and  $a = x_a \frac{u}{\Lambda}$ ,  $b = x_b \frac{v_S}{\Lambda}$

- up-type and down-type quarks:

$$m_u = \begin{pmatrix} 0 & 0 & 0 \\ 0 & y_1 v_T / \Lambda \left(\frac{\langle\theta\rangle}{\Lambda}\right)^n & y_4 v_1 / \Lambda \left(\frac{\langle\theta\rangle}{\Lambda}\right)^n \\ 0 & y_3 v_1 / \Lambda & y_t \end{pmatrix} v_u, \quad m_d = \begin{pmatrix} 0 & 0 & 0 \\ 0 & y_5 v_T / \Lambda & y_8 v_1 / \Lambda \\ 0 & y_7 v_1 / \Lambda & y_b \end{pmatrix} v_d$$

$\Rightarrow m_b$  and  $m_t$  large,  $\frac{m_c}{m_t} \sim \mathcal{O}(\lambda^4)$ ,  $\frac{m_s}{m_b} \sim \mathcal{O}(\lambda^2)$ ,  $|V_{cb}| \sim \mathcal{O}(\lambda^2)$

# Higgs superpotential (I)

$$\begin{aligned}
 w_d = & M (\varphi_T^0 \varphi_T) + g (\varphi_T^0 \varphi_T \varphi_T) + g_1 (\varphi_S^0 \varphi_S \varphi_S) + g_2 \tilde{\xi} (\varphi_S^0 \varphi_S) \\
 & + g_3 \xi^0 (\varphi_S \varphi_S) + g_4 \xi^0 \xi^2 + g_5 \xi^0 \xi \tilde{\xi} + g_6 \xi^0 \tilde{\xi}^2 \\
 & + M_\eta (\eta \eta^0) + M_\xi \xi'' \xi'^0 + g_7 \xi'' (\varphi_T^0 \varphi_T)' + g_8 (\varphi_T^0 \eta \eta) \\
 & + g_9 (\varphi_T \eta \eta^0) + g_{10} \xi'^0 (\varphi_T \varphi_T)''
 \end{aligned}$$

- further fields needed: “driving fields”  $\varphi_T^0 \sim (3, 1)$ ,  $\varphi_S^0 \sim (3, \omega)$ ,  $\xi^0 \sim (1, \omega)$ ,  $\eta^0 \sim (2'', 1)$ ,  $\xi'^0 \sim (1', 1)$  under  $(T', Z_3)$
- introduce  $U(1)_R$  to construct Higgs potential:

$$Q(\text{matter}) = +1, \quad Q(\text{Higgs}) = 0, \quad Q(\text{driving field}) = +2$$

→  $w_d$  linear in driving fields

(Yukawa couplings are  $U(1)_R$  invariant)

# Higgs superpotential (II)

$$\frac{\partial w}{\partial \varphi_{01}^S} = g_2 \tilde{\xi} \varphi_{S1} + \frac{2g_1}{3} (\varphi_{S1}^2 - \varphi_{S2} \varphi_{S3}) = 0$$

$$\frac{\partial w}{\partial \varphi_{02}^S} = g_2 \tilde{\xi} \varphi_{S3} + \frac{2g_1}{3} (\varphi_{S2}^2 - \varphi_{S1} \varphi_{S3}) = 0$$

$$\frac{\partial w}{\partial \varphi_{03}^S} = g_2 \tilde{\xi} \varphi_{S2} + \frac{2g_1}{3} (\varphi_{S3}^2 - \varphi_{S1} \varphi_{S2}) = 0$$

$$\frac{\partial w}{\partial \xi_0} = g_4 \xi^2 + g_5 \xi \tilde{\xi} + g_6 \tilde{\xi}^2 + g_3 (\varphi_{S1}^2 + 2\varphi_{S2} \varphi_{S3}) = 0$$

$$\frac{\partial w}{\partial \varphi_{T1}^0} = M \varphi_{T1} + \frac{2g}{3} (\varphi_{T1}^2 - \varphi_{T2} \varphi_{T3}) + g_7 \xi'' \varphi_{T2} + i g_8 \eta_1^2 = 0$$

$$\frac{\partial w}{\partial \varphi_{T2}^0} = M \varphi_{T3} + \frac{2g}{3} (\varphi_{T2}^2 - \varphi_{T1} \varphi_{T3}) + g_7 \xi'' \varphi_{T1} + (1 - i) g_8 \eta_1 \eta_2 = 0$$

$$\frac{\partial w}{\partial \varphi_{T3}^0} = M \varphi_{T2} + \frac{2g}{3} (\varphi_{T3}^2 - \varphi_{T1} \varphi_{T2}) + g_7 \xi'' \varphi_{T3} + g_8 \eta_2^2 = 0$$

$$\frac{\partial w}{\partial \eta_1^0} = -M_\eta \eta_2 + g_9 ((1 - i) \eta_1 \varphi_{T3} - \eta_2 \varphi_{T1}) = 0$$

$$\frac{\partial w}{\partial \eta_2^0} = M_\eta \eta_1 - g_9 ((1 + i) \eta_2 \varphi_{T2} + \eta_1 \varphi_{T1}) = 0$$

$$\frac{\partial w}{\partial \xi'^0} = M_\xi \xi'' + g_{10} (\varphi_{T2}^2 + 2\varphi_{T1} \varphi_{T3}) = 0$$

# Higgs superpotential (III)

- separate set of eqs. for  $\{\varphi_{S_i}, \xi, \tilde{\xi}\}$  and  $\{\varphi_{T_i}, \eta_i, \xi''\}$

- distinct number of possible solutions

- key feature:

all VEV structures preserve non-trivial subgroups of  $T'$

1.  $Z_4: \langle \tilde{\xi} \rangle = 0, \langle \xi \rangle = u, \langle \varphi_S \rangle = (v_S, v_S, v_S), v_S^2 = -\frac{g_4}{3g_3} u^2 \quad (G_S)$

$$\langle \xi'' \rangle = -\frac{M}{g_7}, \langle \eta \rangle = (0, 0), \langle \varphi_T \rangle = (v_T, v_T, v_T), v_T^2 = \frac{M M_\xi}{3 g_7 g_{10}}$$

2.  $Z_3: \langle \xi'' \rangle = 0, \langle \eta \rangle = (v_1, 0), \langle \varphi_T \rangle = (v_T, 0, 0), v_1 \neq 0, \quad (G_T)$

$$v_T = \frac{M_\eta}{g_9}$$

3.  $Z_6: \langle \xi'' \rangle = 0, \langle \eta \rangle = (0, 0), \langle \varphi_T \rangle = (v_T, 0, 0), v_T = -\frac{3M}{2g}$

- choose the desired minimum by constraining the sign of the soft masses  $m_{\varphi_T}^2, m_{\varphi_S}^2, m_\xi^2, m_\eta^2, \dots$

# Subgroups of $T'$

subgroups are  $D'_2 \simeq Q$  and the abelian groups  $Z_6$ ,  $Z_4$ ,  $Z_3$  and  $Z_2$

$T'$	$D'_2$	$Z_6$	$Z_4$	$Z_3$	$Z_2$
1	<b>1</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>1</b>
1'	<b>1</b>		<b>1</b>		<b>1</b>
1''	<b>1</b>		<b>1</b>		<b>1</b>
2					
2'				<b>1</b> + ...	
2''				<b>1</b> + ...	
3		<b>1</b> + ...	<b>1</b> + ...	<b>1</b> + ...	3 <b>1</b>

**1** is total singlet  
of the subgroup

$A_4$	$D_2$	$Z_3$	$Z_2$
1	<b>1</b>	<b>1</b>	<b>1</b>
1'	<b>1</b>		<b>1</b>
1''	<b>1</b>		<b>1</b>
3		<b>1</b> + ...	<b>1</b> + ...

for comparison:

# Leading order

- charged leptons:  $m_l = \frac{v_T}{\sqrt{2}\Lambda} v_d \text{diag}(y_e \left(\frac{\langle\theta\rangle}{\Lambda}\right)^{2n}, y_\mu \left(\frac{\langle\theta\rangle}{\Lambda}\right)^n, y_\tau)$

- neutrinos:  $m_\nu = \frac{v_u^2}{\Lambda} \begin{pmatrix} a + 2b/3 & -b/3 & -b/3 \\ -b/3 & 2b/3 & a - b/3 \\ -b/3 & a - b/3 & 2b/3 \end{pmatrix} \Rightarrow \boxed{\text{TB mixing!}}$

with masses:  $\frac{v_u^2}{\Lambda} \text{diag}(a + b, a, -a + b)$  and  $a = x_a \frac{u}{\Lambda}$ ,  $b = x_b \frac{v_S}{\Lambda}$

- up-type and down-type quarks:

$$m_u = \begin{pmatrix} 0 & 0 & 0 \\ 0 & y_1 v_T / \Lambda \left(\frac{\langle\theta\rangle}{\Lambda}\right)^n & y_4 v_1 / \Lambda \left(\frac{\langle\theta\rangle}{\Lambda}\right)^n \\ 0 & y_3 v_1 / \Lambda & y_t \end{pmatrix} v_u, \quad m_d = \begin{pmatrix} 0 & 0 & 0 \\ 0 & y_5 v_T / \Lambda & y_8 v_1 / \Lambda \\ 0 & y_7 v_1 / \Lambda & y_b \end{pmatrix} v_d$$

$\Rightarrow m_b$  and  $m_t$  large,  $\frac{m_c}{m_t} \sim \mathcal{O}(\lambda^4)$ ,  $\frac{m_s}{m_b} \sim \mathcal{O}(\lambda^2)$ ,  $|V_{cb}| \sim \mathcal{O}(\lambda^2)$

# Subleading order (I)

a.) take all terms up to  $\mathcal{O}(\frac{1}{\Lambda^2})$  for charged fermions and all terms up to  $\mathcal{O}(\frac{1}{\Lambda^3})$  for neutrinos, e.g.

$$(f^c l \varphi_T \varphi_T) \frac{h_d}{\Lambda^2}, (f^c l \eta \eta) \frac{h_d}{\Lambda^2} \text{ and } (\varphi_T \varphi_S)' (ll)'' \frac{h_u^2}{\Lambda^3}, \xi'' \xi (ll)' \frac{h_u^2}{\Lambda^3}$$

b.) take all terms up to  $\mathcal{O}(\frac{1}{\Lambda})$  in Higgs sector, e.g.

$$\frac{1}{\Lambda} (\varphi_T^0 \varphi_T) (\varphi_T \varphi_T) \text{ and } \frac{1}{\Lambda} (\varphi_T^0 \varphi_T)'' \xi'' \xi'', \frac{1}{\Lambda} (\varphi_T \eta) (\varphi_T^0 \eta)$$

→ induce shifts of the VEVs  $v$  into  $v + \delta v$ :  $\frac{\delta v}{\Lambda} \approx \left(\frac{v}{\Lambda}\right)^2 \approx \lambda^4$

## results:

- important corrections to quarks are due to b.)
- contributions from a.) and b.) correct TB mixing
- corrections should be  $\lesssim \lambda^2$  for TB mixing and at the same time reproduce  $\theta_C$  in the quark sector (checked  $\checkmark$ )



# Subleading order (II)

- orders of mass matrix elements:

$$m_u = \begin{pmatrix} \lambda^8 & \lambda^6 & \lambda^6 \\ \lambda^6 & \lambda^4 & \lambda^4 \\ \lambda^4 & \lambda^2 & 1 \end{pmatrix} v_u, \quad m_d = \begin{pmatrix} \lambda^6 & \lambda^3 & \lambda^4 \\ \lambda^3 & \lambda^2 & \lambda^2 \\ \lambda^4 & \lambda^2 & 1 \end{pmatrix} v_d.$$

- two predictions:

$$\sqrt{\frac{m_d}{m_s}} = |V_{us}| + \mathcal{O}(\lambda^2)$$

$$\text{and } \sqrt{\frac{m_d}{m_s}} = \left| \frac{V_{td}}{V_{ts}} \right| + \mathcal{O}(\lambda^2) \quad (\text{due to } |V_{ub}| \sim \mathcal{O}(\lambda^4))$$

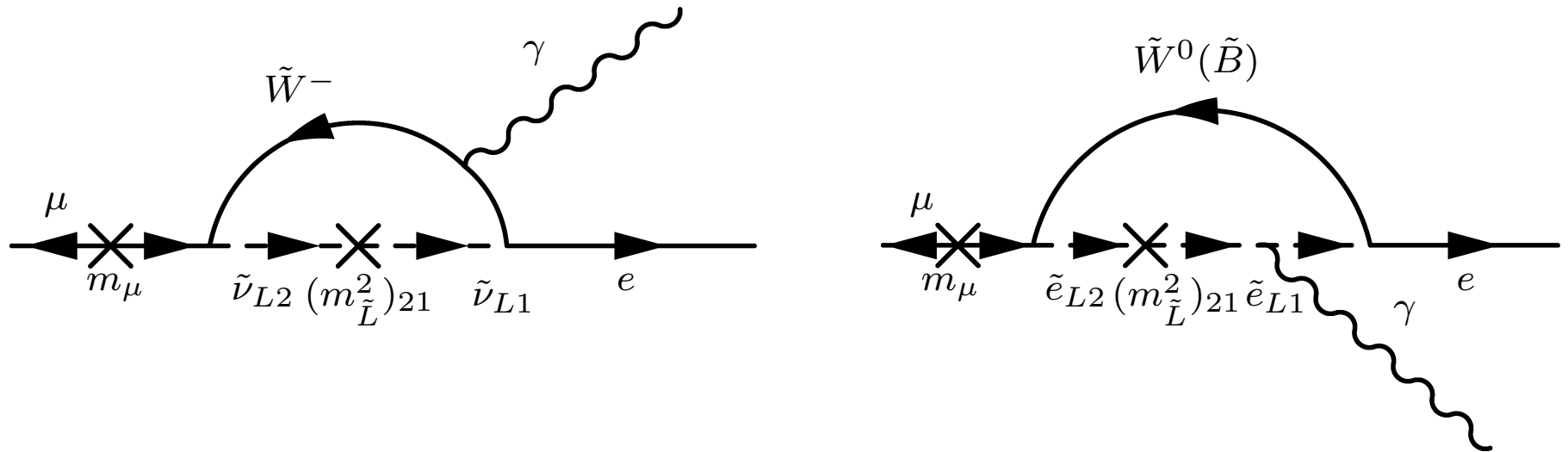
- furthermore:

$$\frac{m_u}{m_c} \sim \mathcal{O}(\lambda^4), \quad \frac{m_d}{m_s} \sim \mathcal{O}(\lambda^2), \quad |V_{ud}| \approx |V_{cs}| \approx 1 + \mathcal{O}(\lambda^2), \quad |V_{tb}| \approx 1,$$

$$|V_{us}| \approx |V_{cd}| \sim \mathcal{O}(\lambda), \quad |V_{cb}| \approx |V_{ts}| \sim \mathcal{O}(\lambda^2), \quad |V_{td}| \sim \mathcal{O}(\lambda^3).$$

# LFVs - prediction of $\mu \rightarrow e\gamma$ (I)

$\mu \rightarrow e\gamma$  is mediated by sfermion-neutralino/chargino loops in the MSSM



In the MSSM with arbitrary soft terms the branching ratio for  $\mu \rightarrow e\gamma$  exceeds the experimental bound

$$\text{Br}(\mu \rightarrow e\gamma) \gtrsim 1.2 \times 10^{-11} \quad (\text{MEGA})$$

This bound will be further reduced by the MEG experiment:

$$\text{Br}(\mu \rightarrow e\gamma) \lesssim \mathcal{O}(10^{-13})$$

## LFVs - prediction of $\mu \rightarrow e\gamma$ (II)

Look at the soft masses for the sleptons:  $(m_{\tilde{L}}^2)_{ij} \tilde{L}_i^\dagger \tilde{L}_j$  and  $(m_{\tilde{e}}^2)_{ij} \tilde{e}_i^{c*} \tilde{e}_j^c$ .  
In general they are arbitrary, but with the use of the flavor symmetry  $G_F = A_4$  or  $G_F = T'$  they can be constrained.

Since  $l_i \sim 3$ ,

$$m_{\tilde{L}}^2 \propto \mathbb{1}$$

and since  $e_i^c \sim 1 + 1'' + 1'$ ,

$$m_{\tilde{e}}^2 \propto \begin{pmatrix} \beta_e & 0 & 0 \\ 0 & \beta_\mu & 0 \\ 0 & 0 & \beta_\tau \end{pmatrix}$$

at lowest order, i.e. without insertions of flavon fields. These insertions generate off-diagonal terms in the slepton mass matrices as well as the lepton mass matrices itself. Therefore  $\mu \rightarrow e\gamma$  will be mediated, but all operators are now controlled by a symmetry.

# Conclusion & Outlook

- $T'$  model is a working low energy model which can explain lepton mixing (TB mixing) and properties of the quark sector at the same time
- key feature is the VEV alignment and the preservation of non-trivial subgroups of  $T'$  in the charged fermion as well as the neutrino sector
- the model has further phenomenological imprints: LFVs, FCNCs and possibly also inflation
  
- still missing:
  - group theory reason for success of  $A_4/T'/Z_3 \rtimes Z_7$
  - simpler mechanism for VEV alignment
  - GUT model with  $SO(10) \times G_F$

Thank you.