

Treatment planning optimization and validation

E. Sterpin

Katholieke Universiteit Leuven
Université catholique de Louvain
ParTICLE project



ParTICLe

Particle Therapy Interuniversity Center Leuven

Collaboration between UZL, UCL/CSL, UZG, UZA and UZB

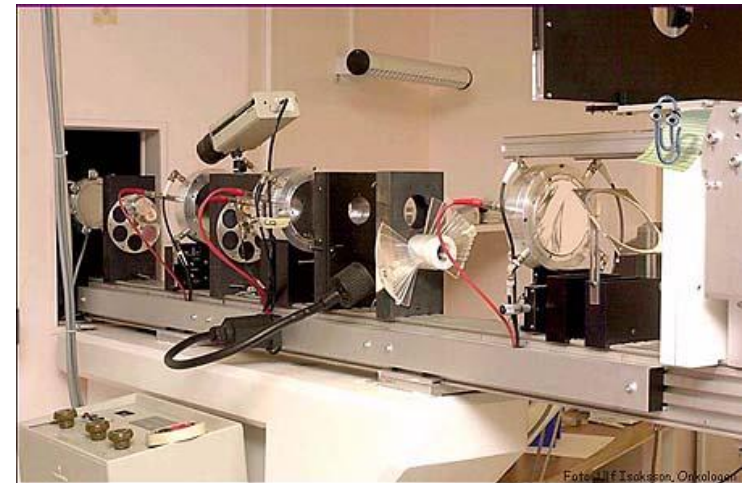


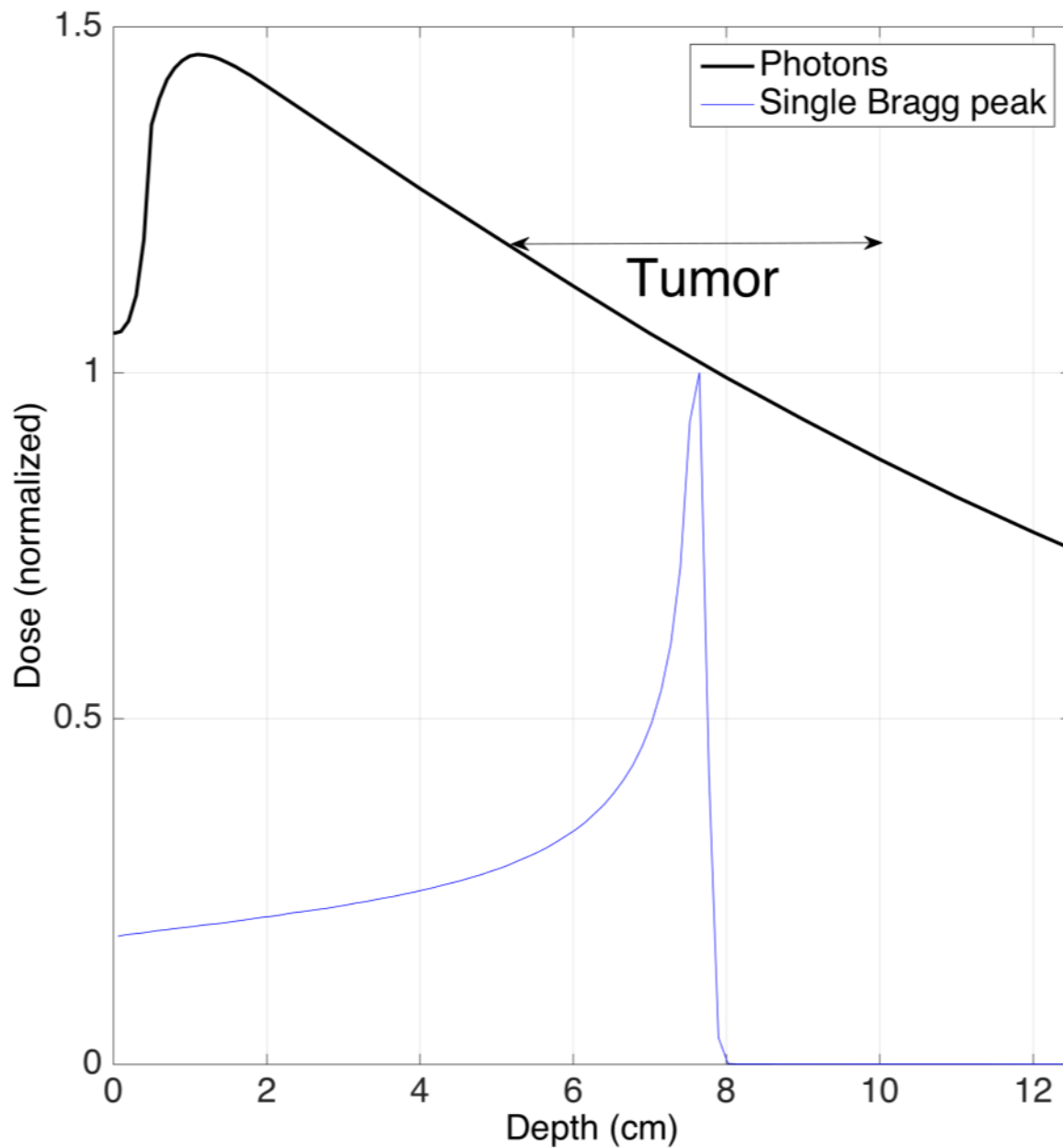
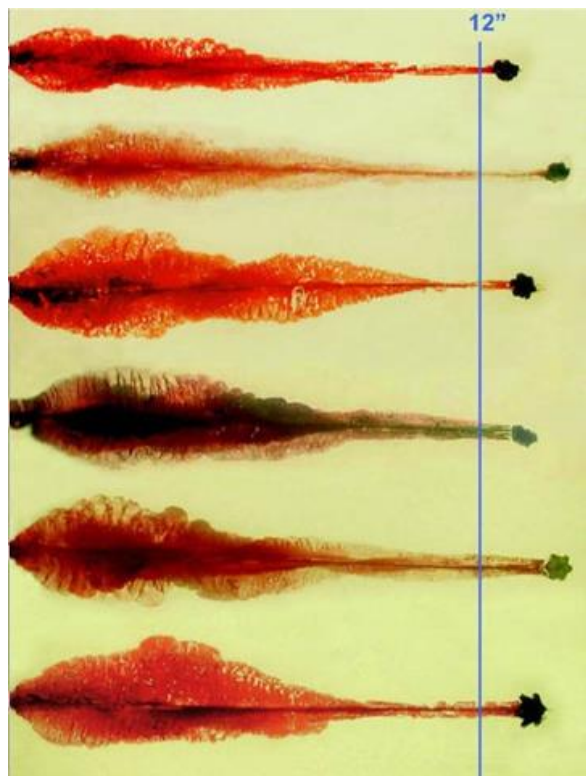
Facility setup

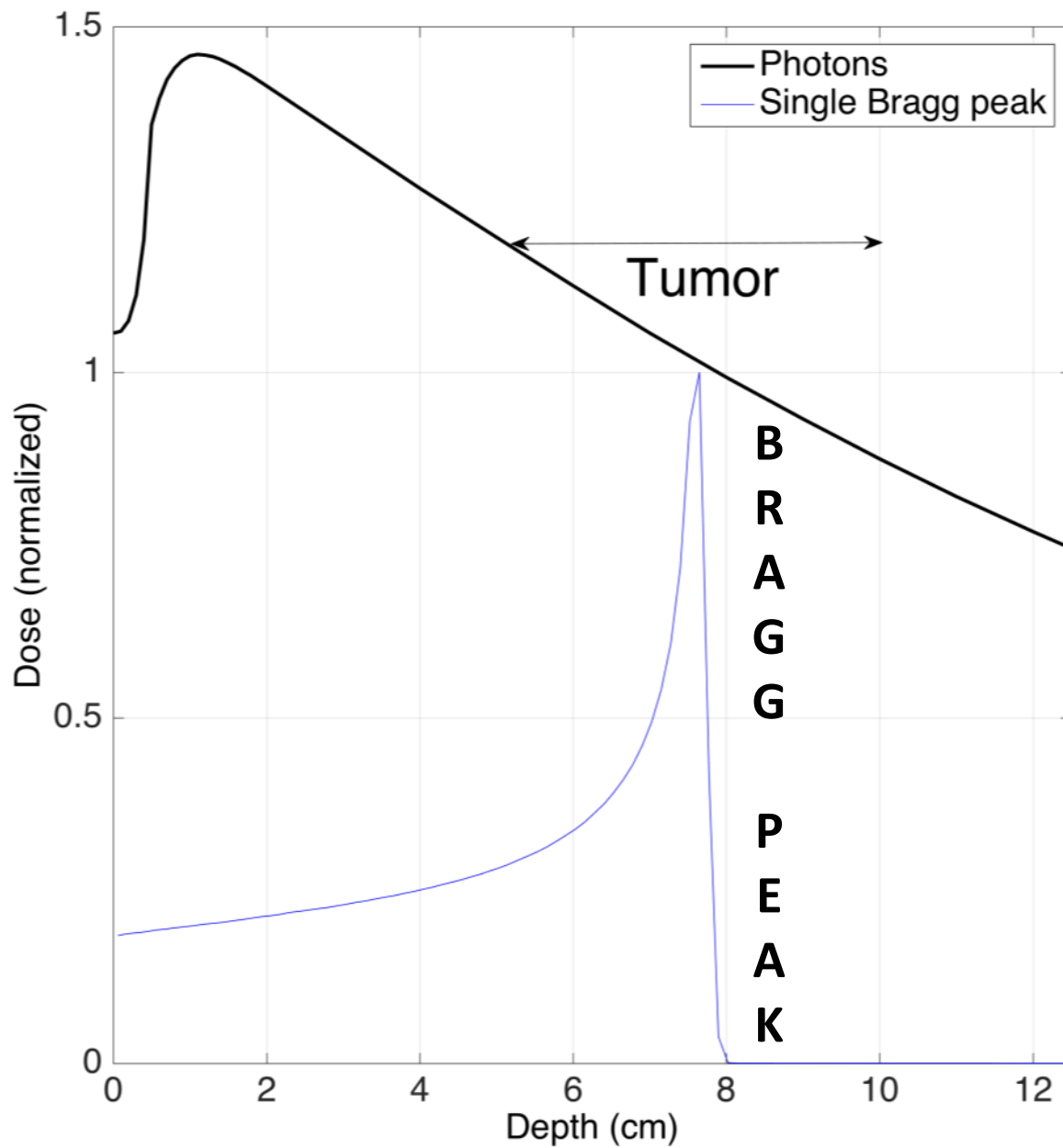
Clinical beam line

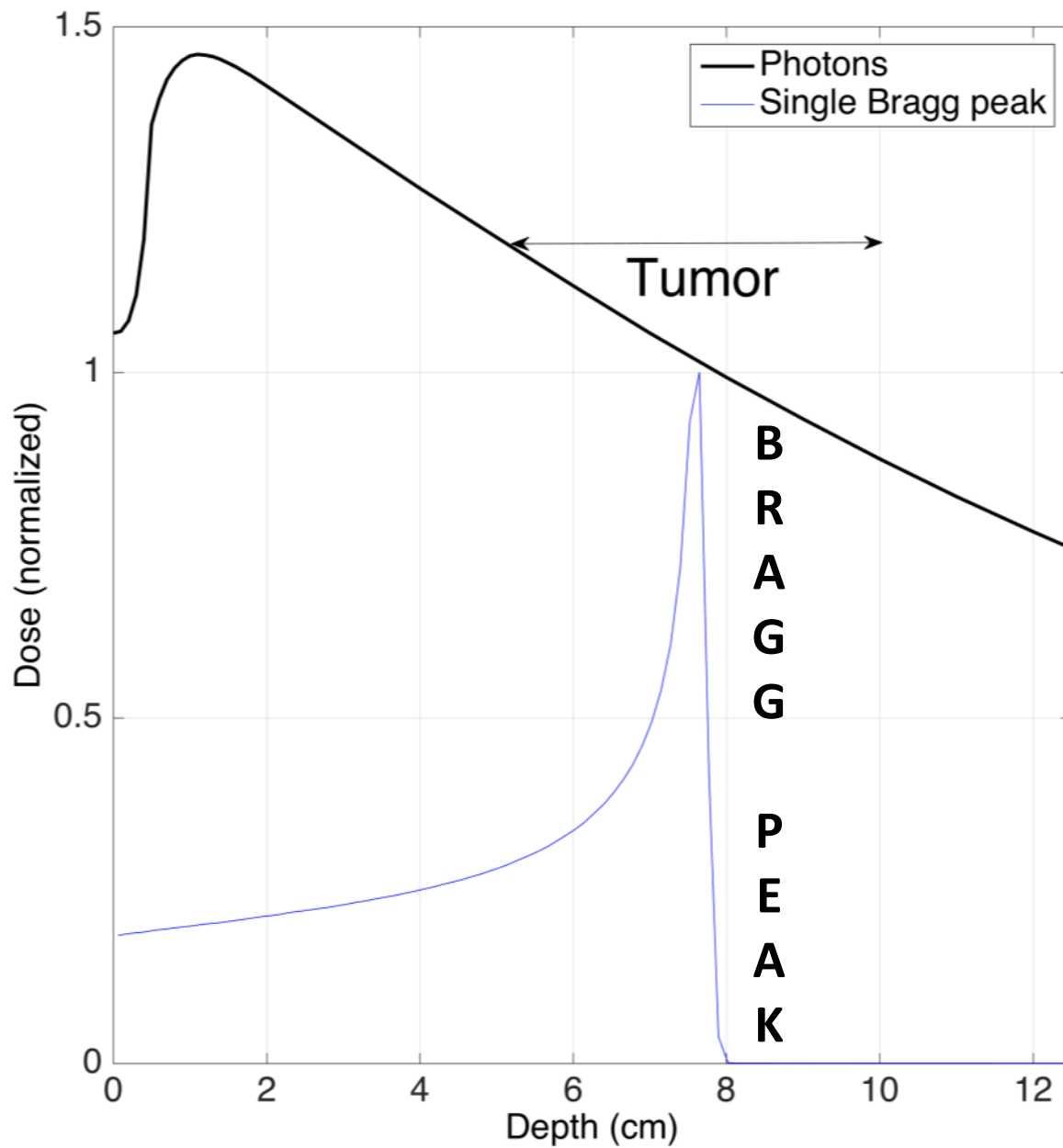


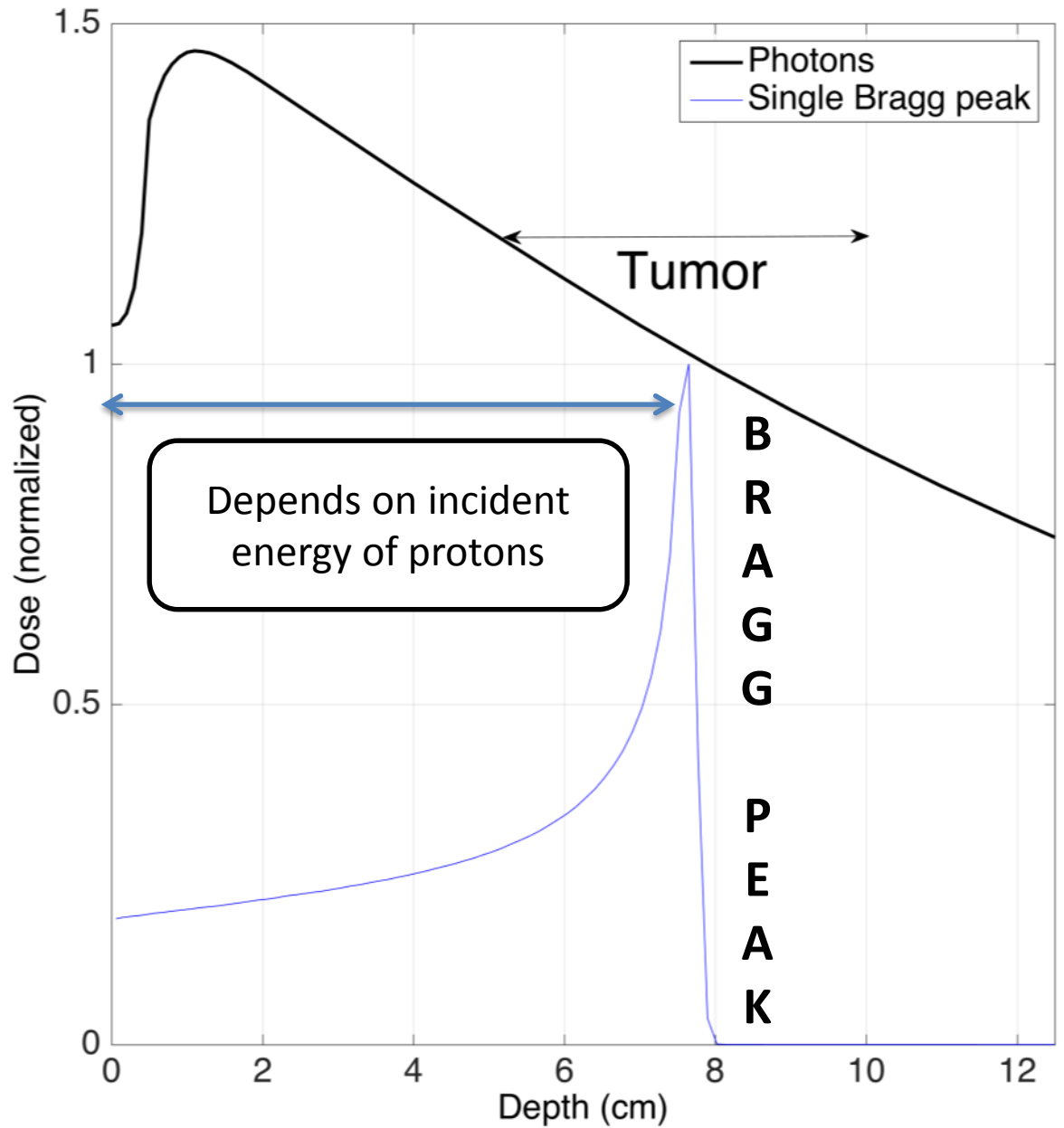
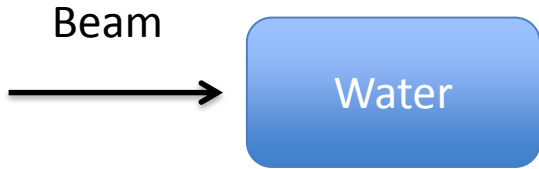
Research beam line

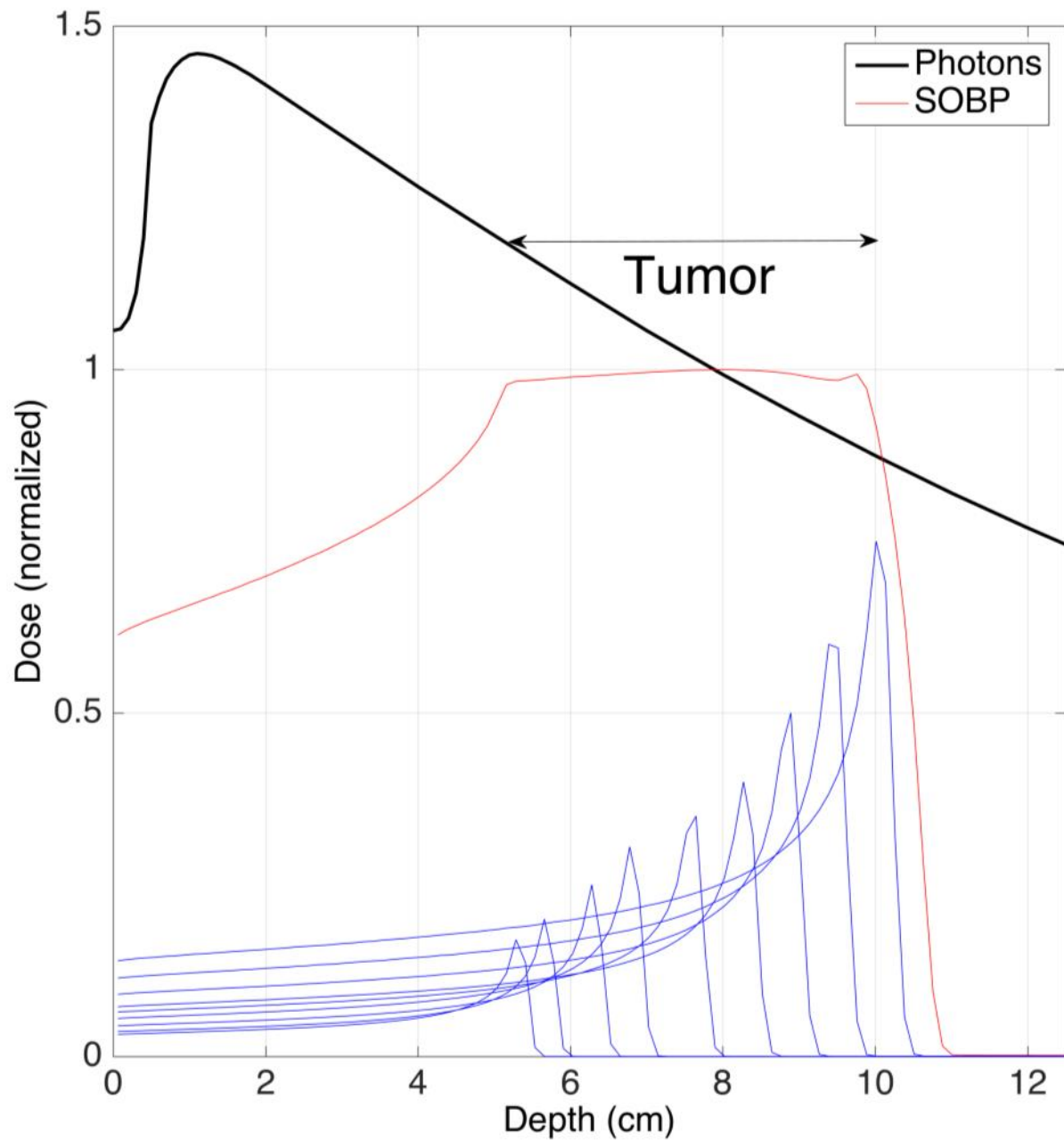


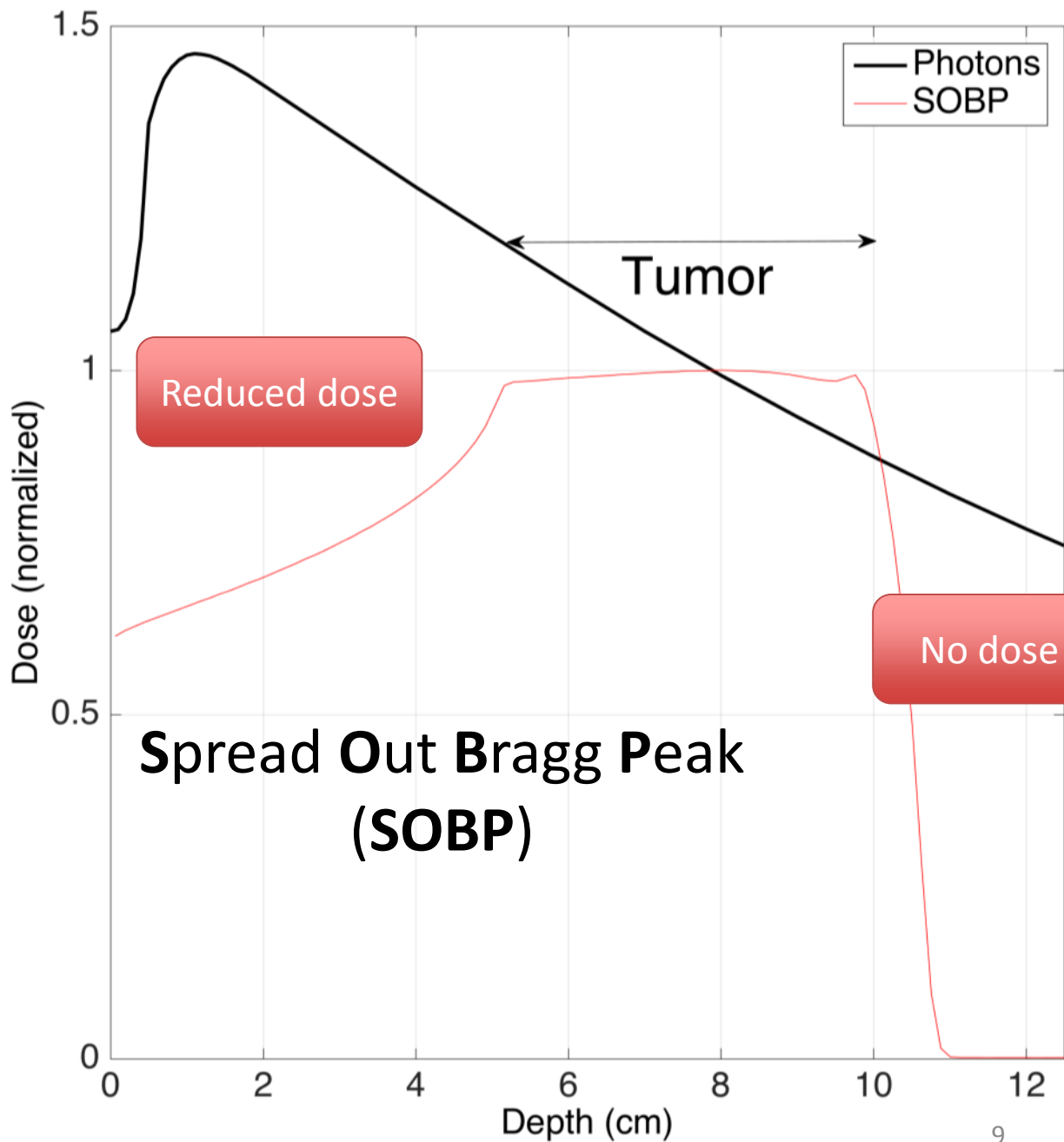




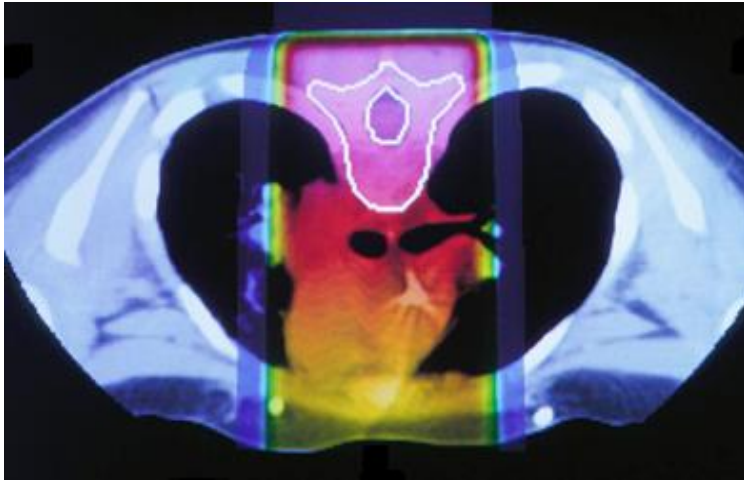




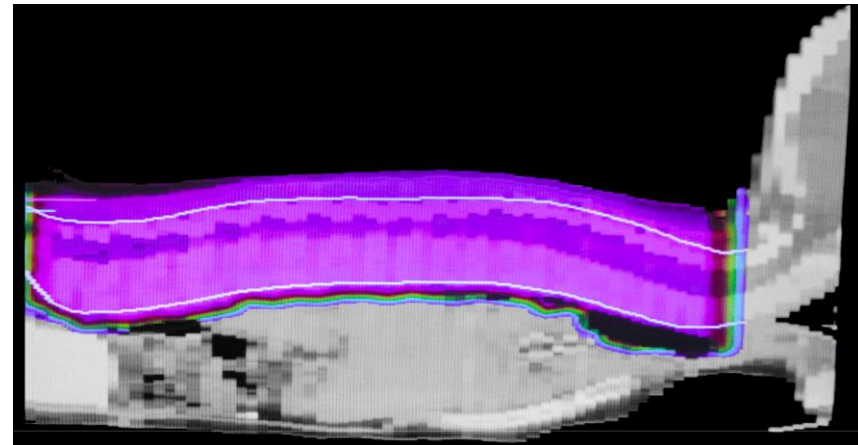
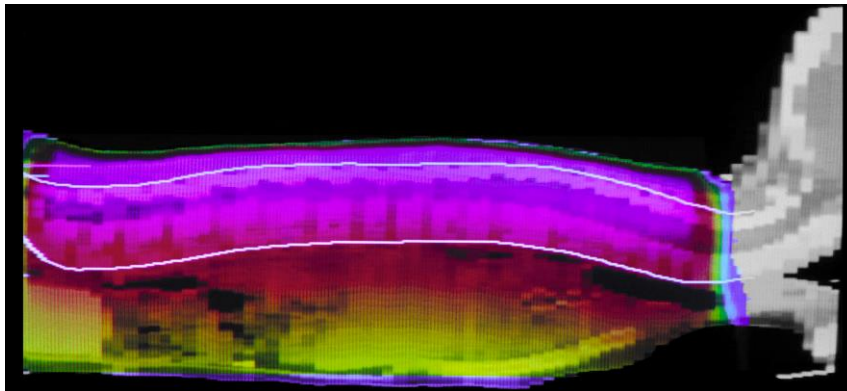
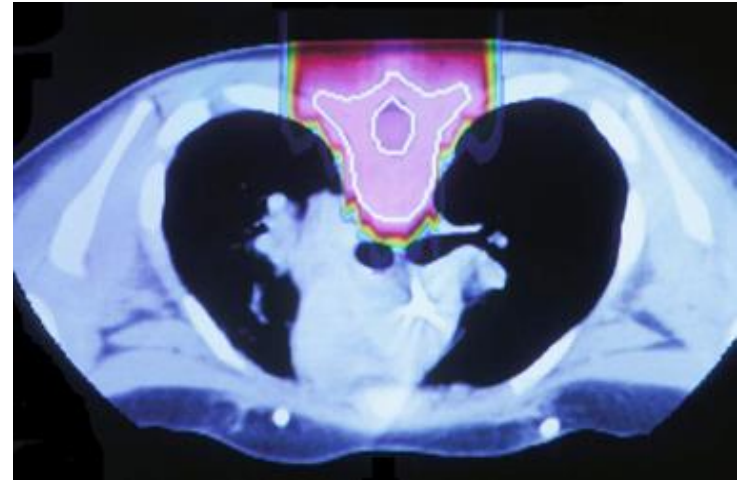


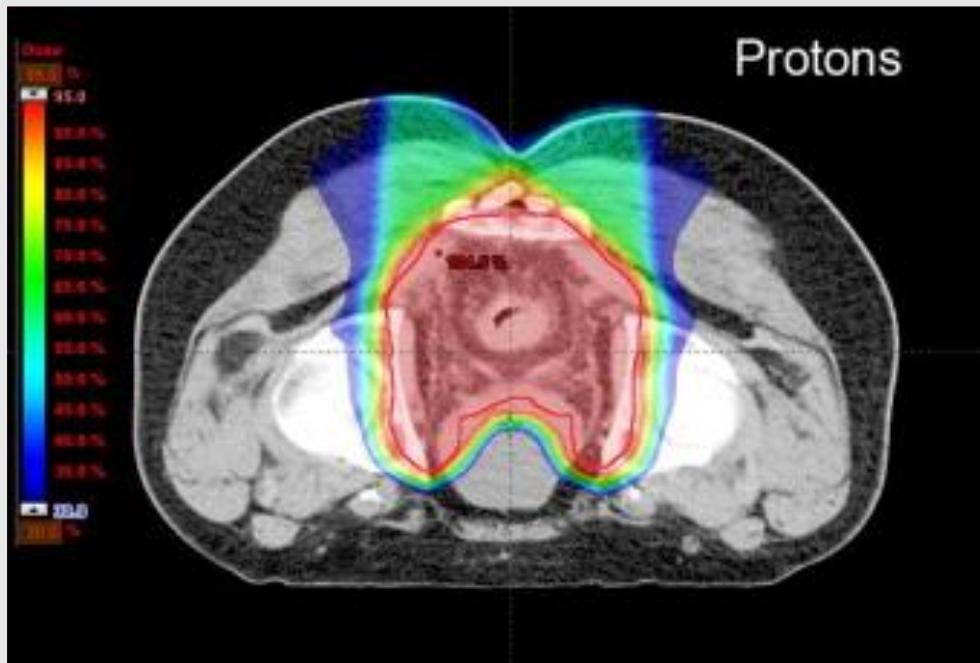
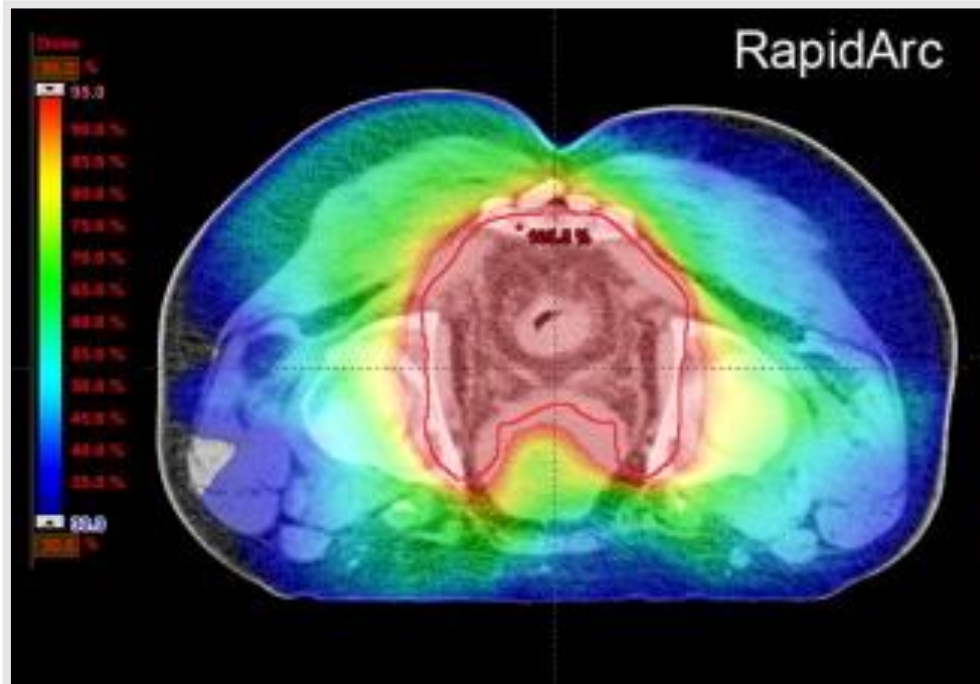


Radiotherapy



Proton therapy





How do we DELIVER protons?

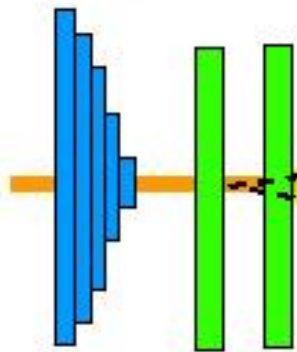
Broad beam (double scattering)

Pencil beam scanning

Energy modulation

Beam shaping

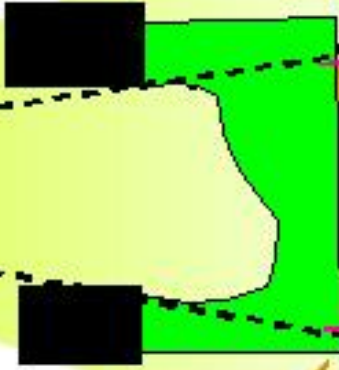
Range-shifter wheel



scatter foils

Scattering

collimator

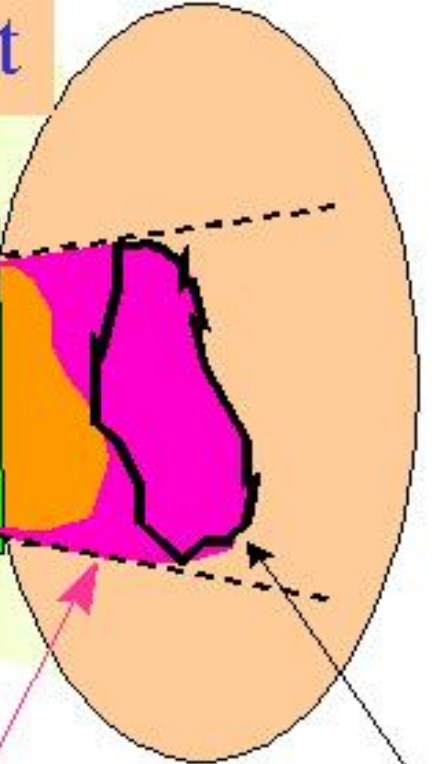


compensator

entrance dose

max dose

patient



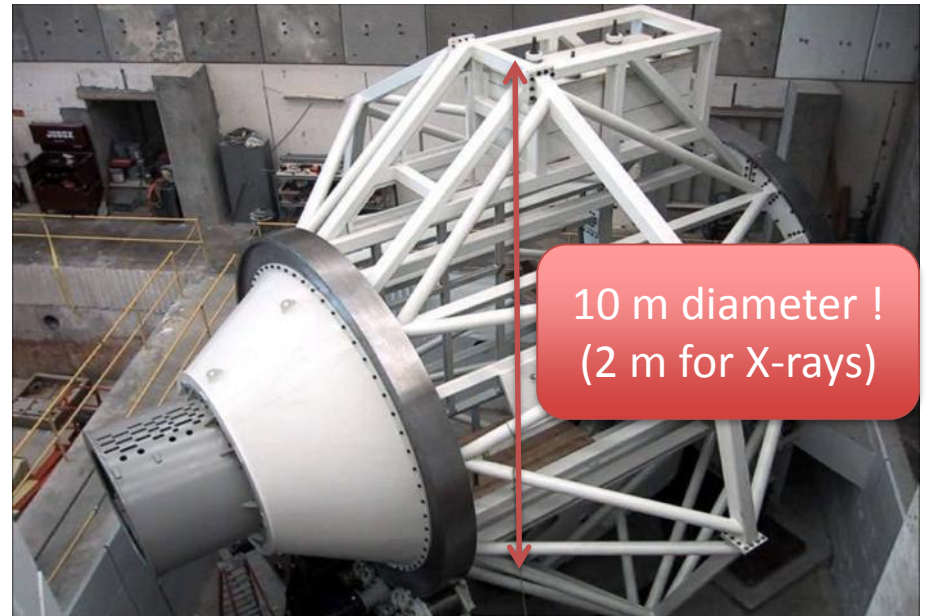
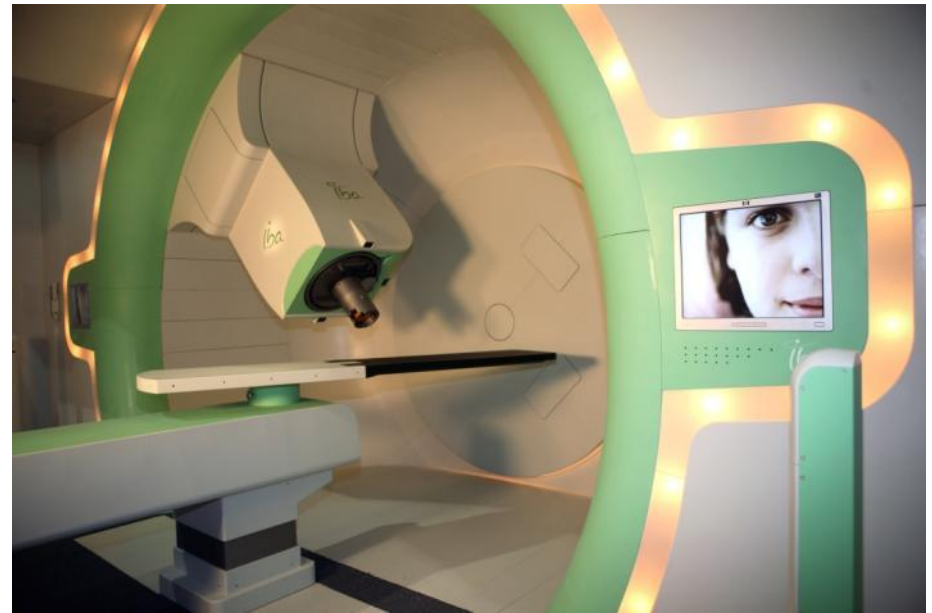
Target volume

Fixed beam line



http://www.psi.ch/ImageBoard/ig_p_1024x640%3E_ba192.007.jpg

Gantry



10 m diameter !
(2 m for X-rays)

How do we DELIVER protons?

Broad beam (double scattering)

Pencil beam scanning

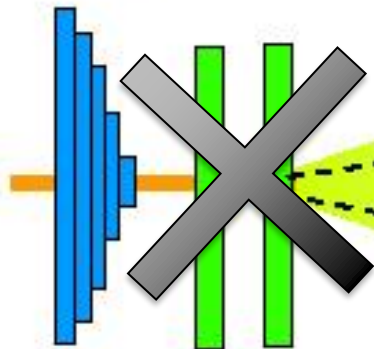
Energy modulation

Beam shaping

Range-shifter wheel

patient

collimator



scatter foils

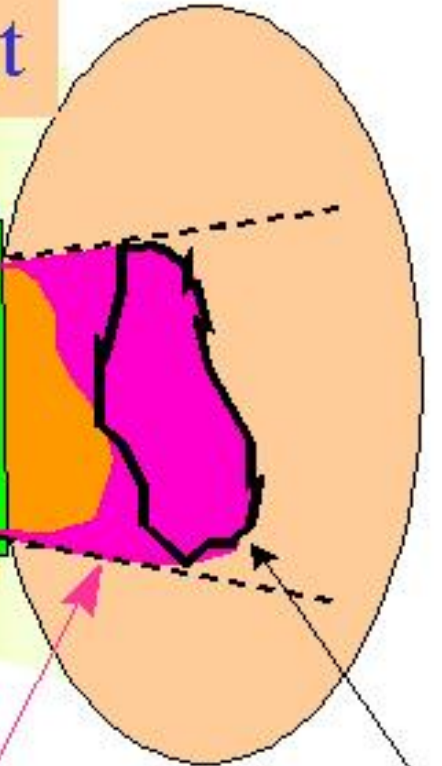
Scattering

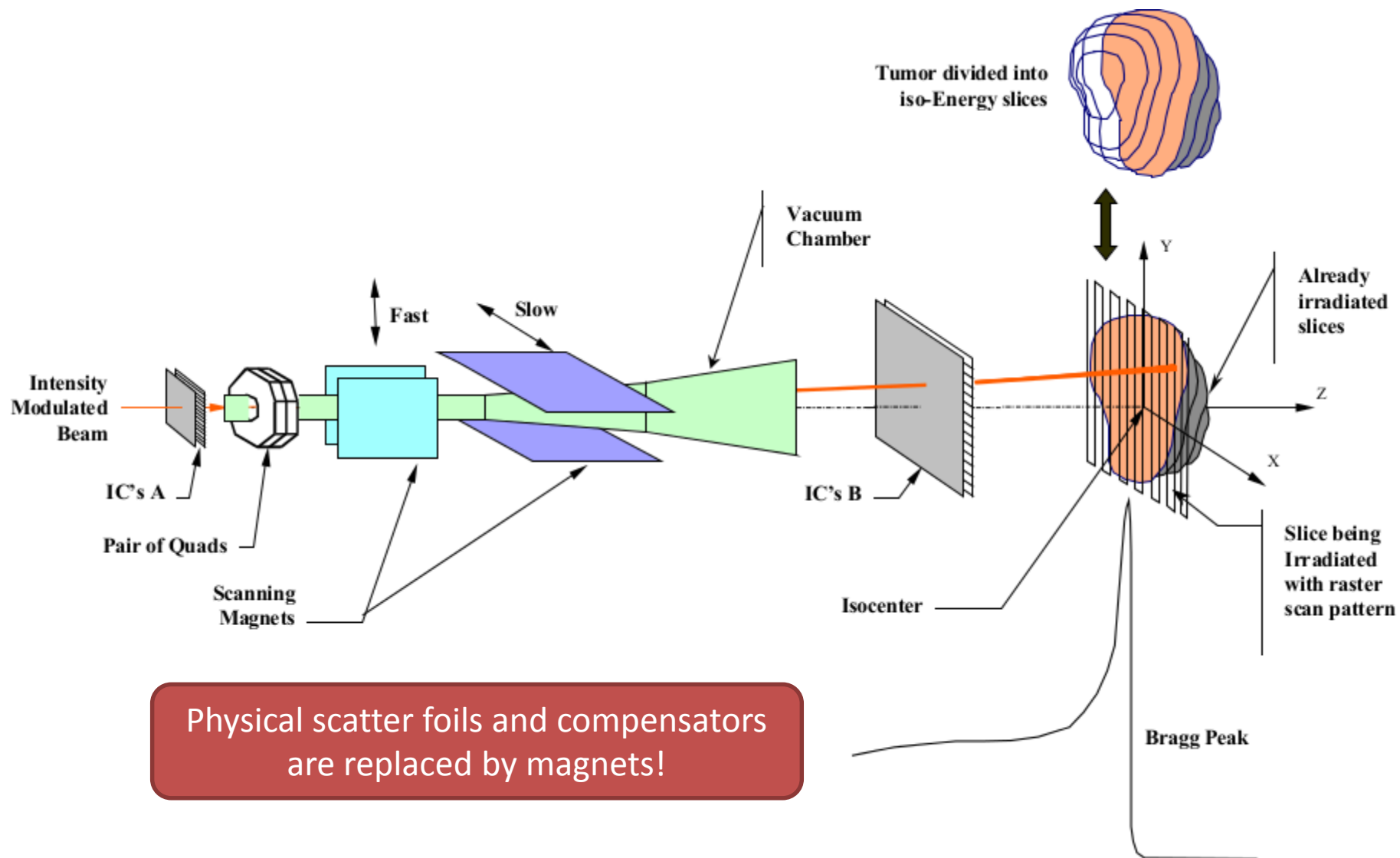
compensator

entrance dose

max dose

Target volume





Physical scatter foils and compensators are replaced by magnets!



Generalities on treatment preparation and delivery workflow

Image acquisition



Manual contouring



Treatment optimization



Treatment validation



Treatment delivery



Follow-up

Various imaging modalities

Image acquisition

Manual contouring

Treatment optimization

Treatment validation

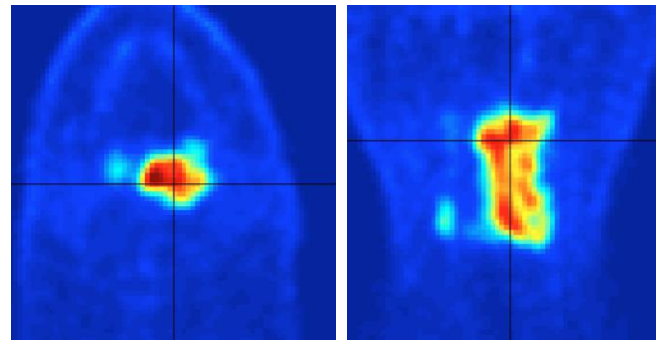
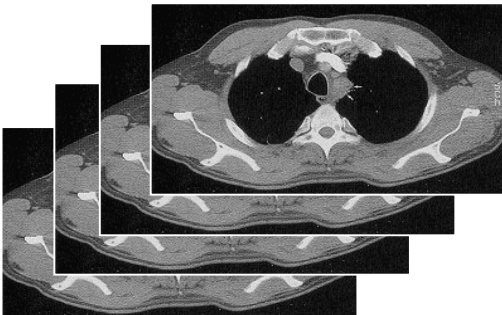
Treatment delivery

Follow-up

CT

PET

MRI



Contouring of target volumes and organs-at-risk

Image acquisition



Manual contouring



Treatment optimization



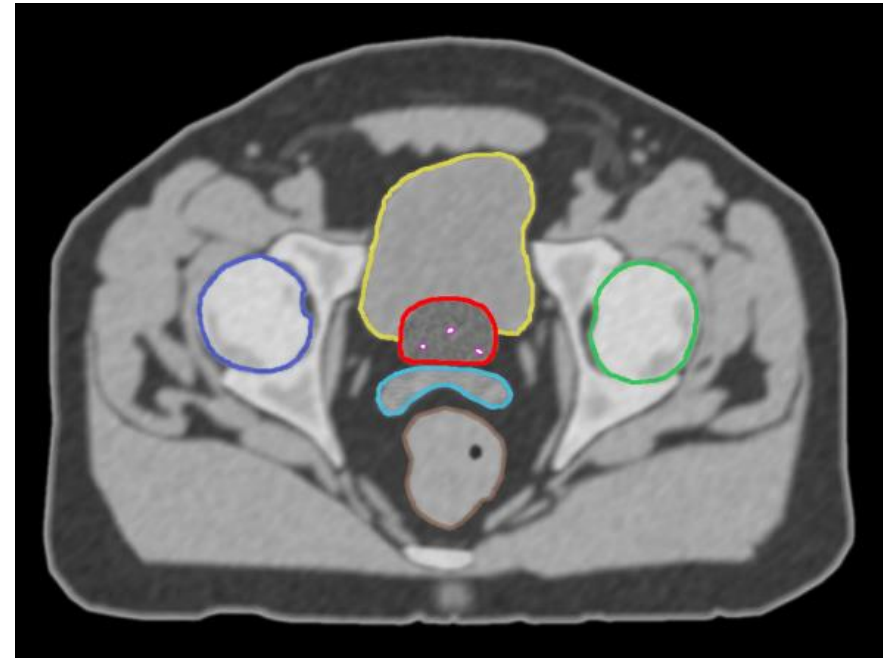
Treatment validation



Treatment delivery



Follow-up



Treatment optimization

Image acquisition

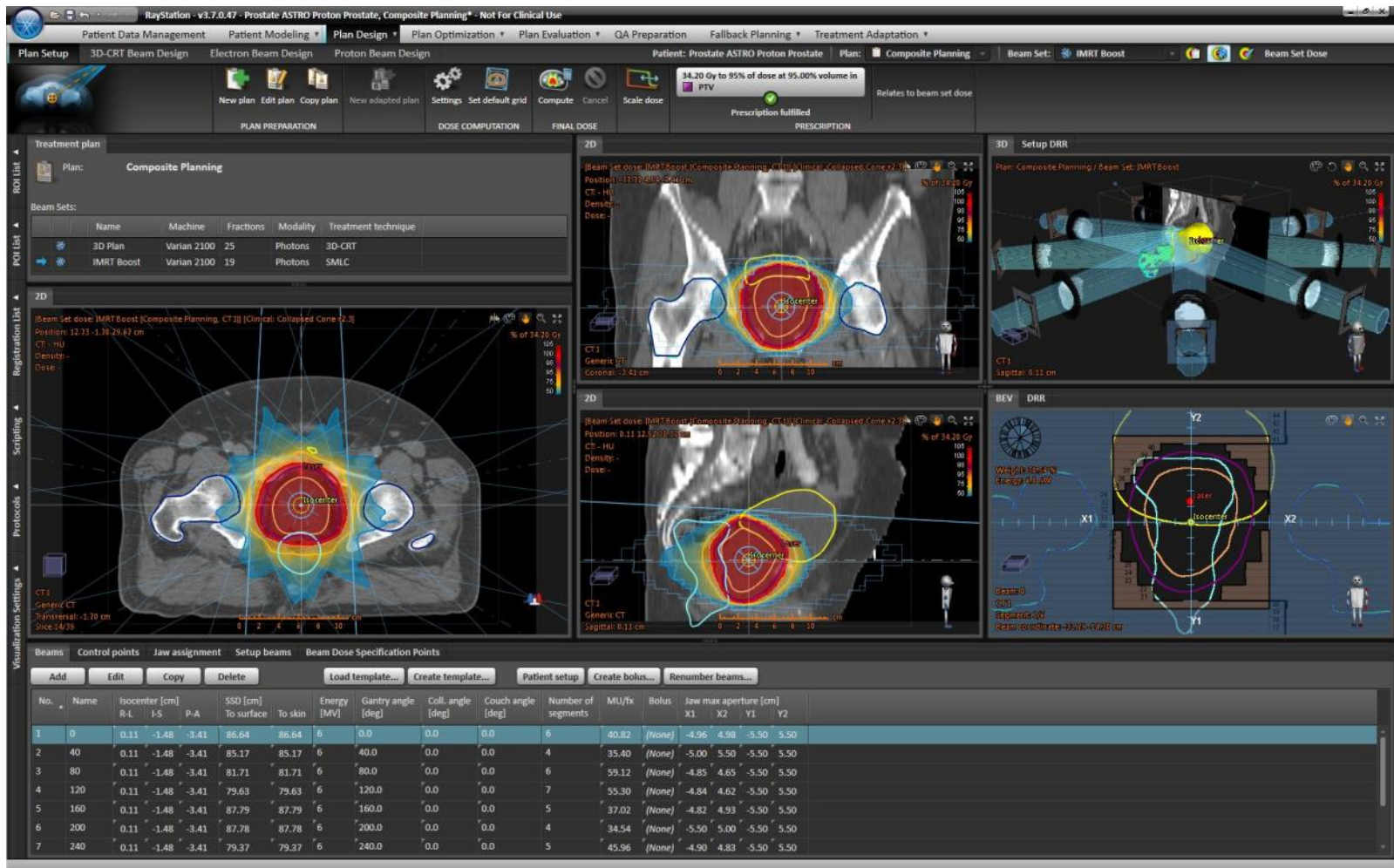
Manual contouring

Treatment optimization

Treatment validation

Treatment delivery

Follow-up



How to assess the clinical quality of the dose distribution?

Image acquisition

Manual contouring

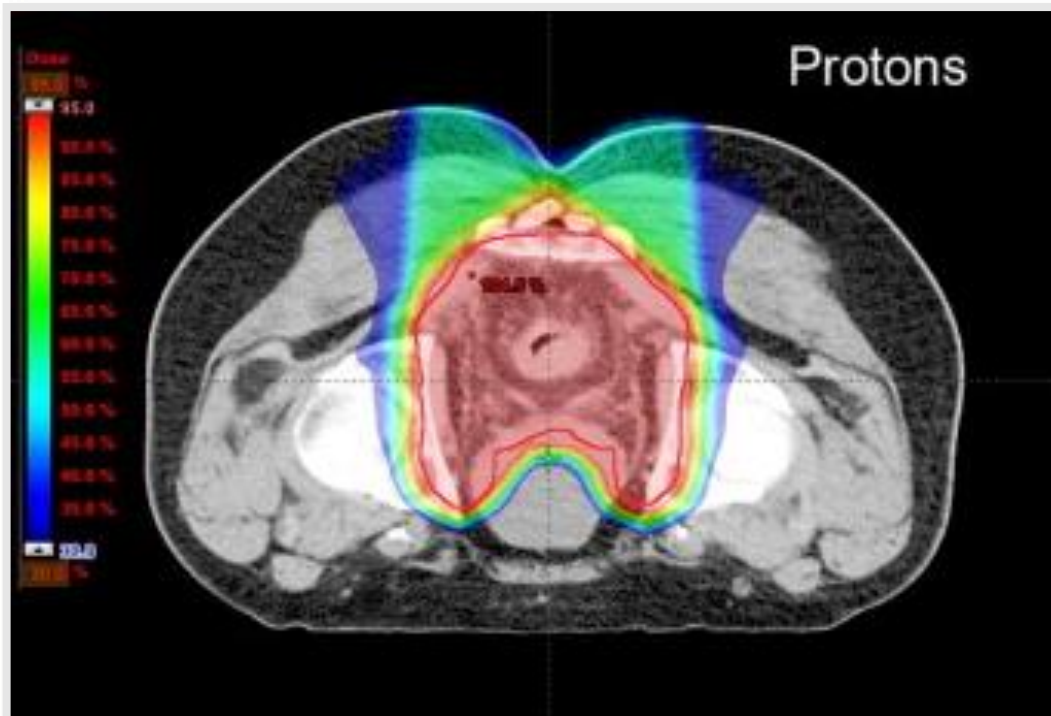
Treatment optimization

Treatment validation

Treatment delivery

Follow-up

Visual inspection of 3D dose maps



Pitfalls

- Huge amount of data to visualize
- Hard to think in 3 dimensions
- Hard to quantify the clinical effect

How to assess the clinical quality of the dose distribution?

Image acquisition

Manual contouring

Treatment optimization

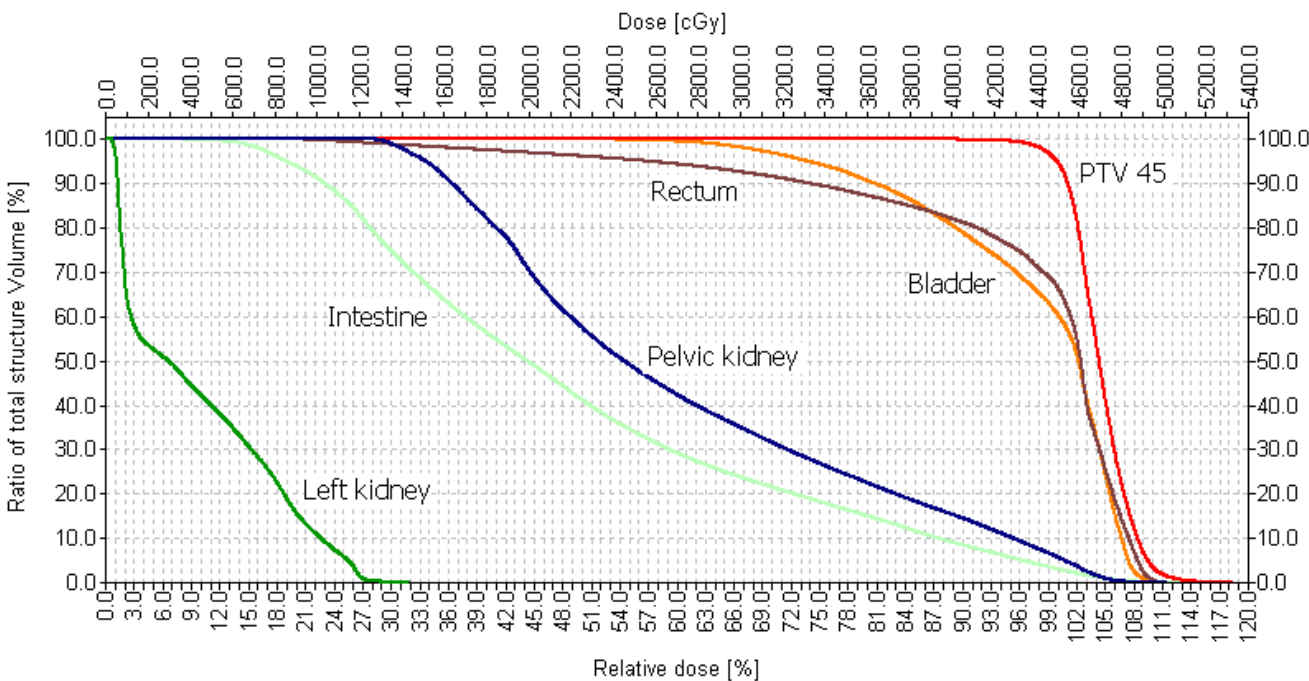
Treatment validation

Treatment delivery

Follow-up

Cumulative dose-volume histograms

= Volumes receiving *at least* a given dose



Pitfalls

- Small hot and cold spots hardly visible
- No spatial information
- Dose distributions out of pre-contoured structures cannot be represented by DVHs

Treatment optimization: manual versus computerized

Image acquisition

Manual contouring

Treatment optimization

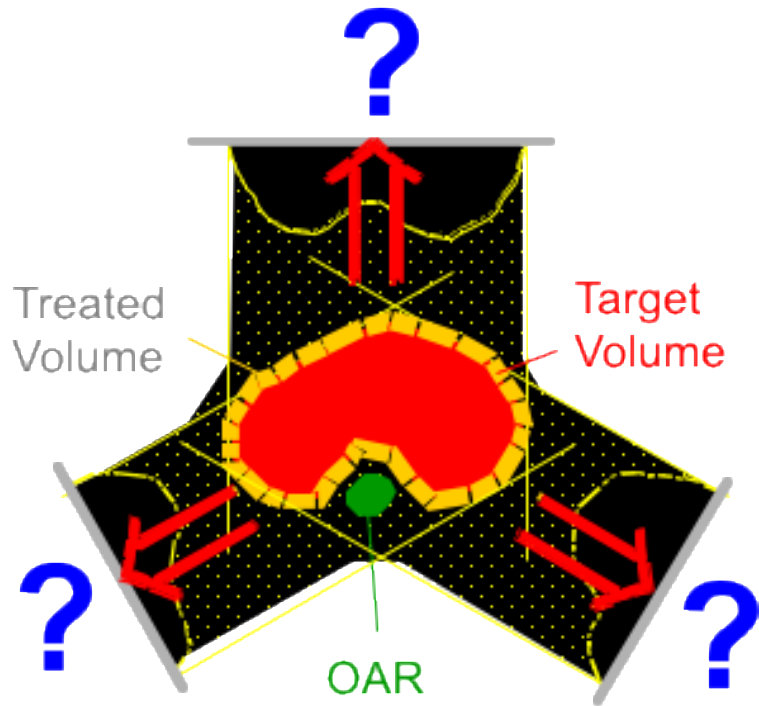
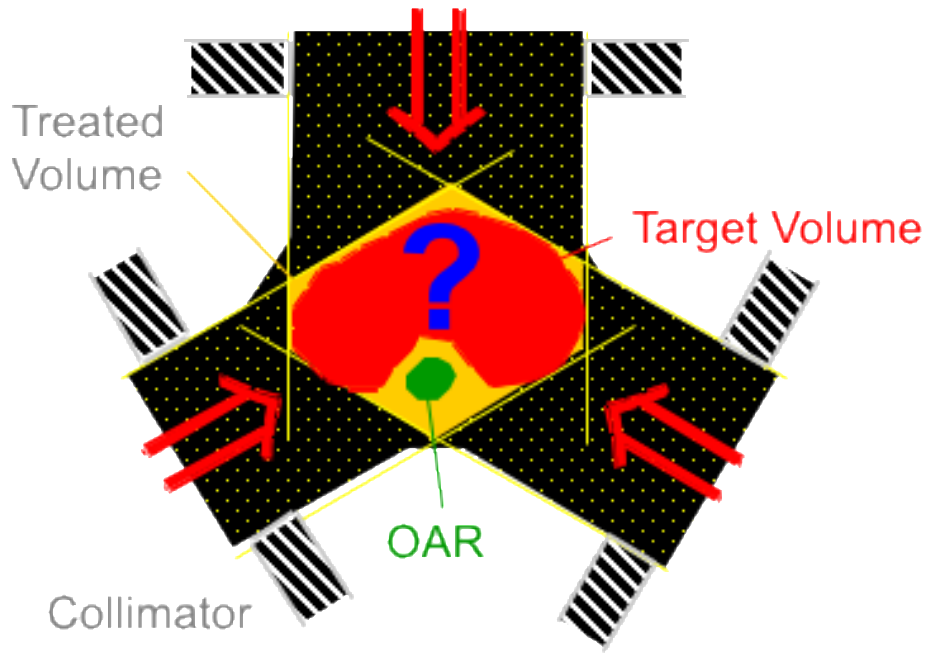
Treatment validation

Treatment delivery

Follow-up

Forward Planning

Inverse Planning



Human iterative improvement ...
based on human experience

Computerized process

Inverse treatment planning

Image acquisition

Manual contouring

Treatment optimization

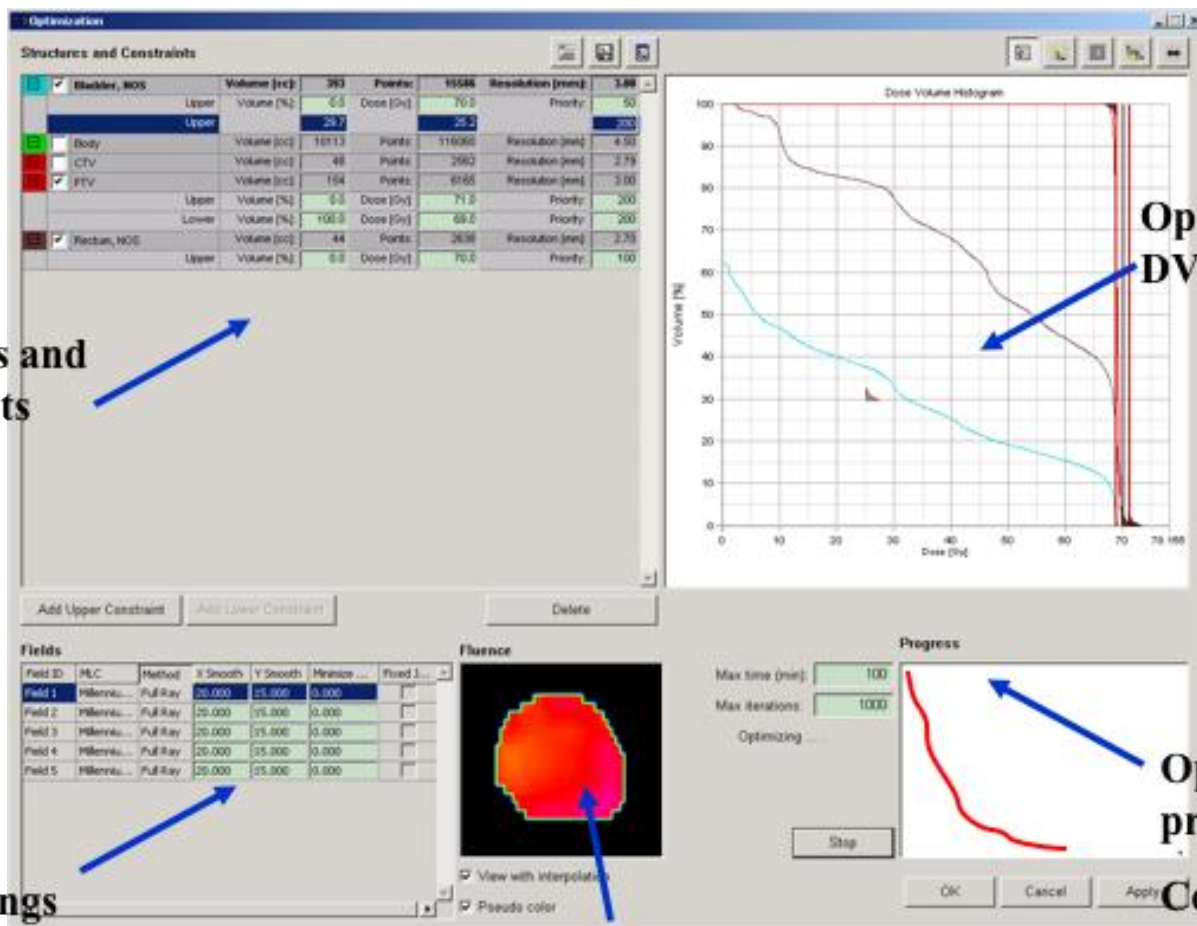
Treatment validation

Treatment delivery

Follow-up

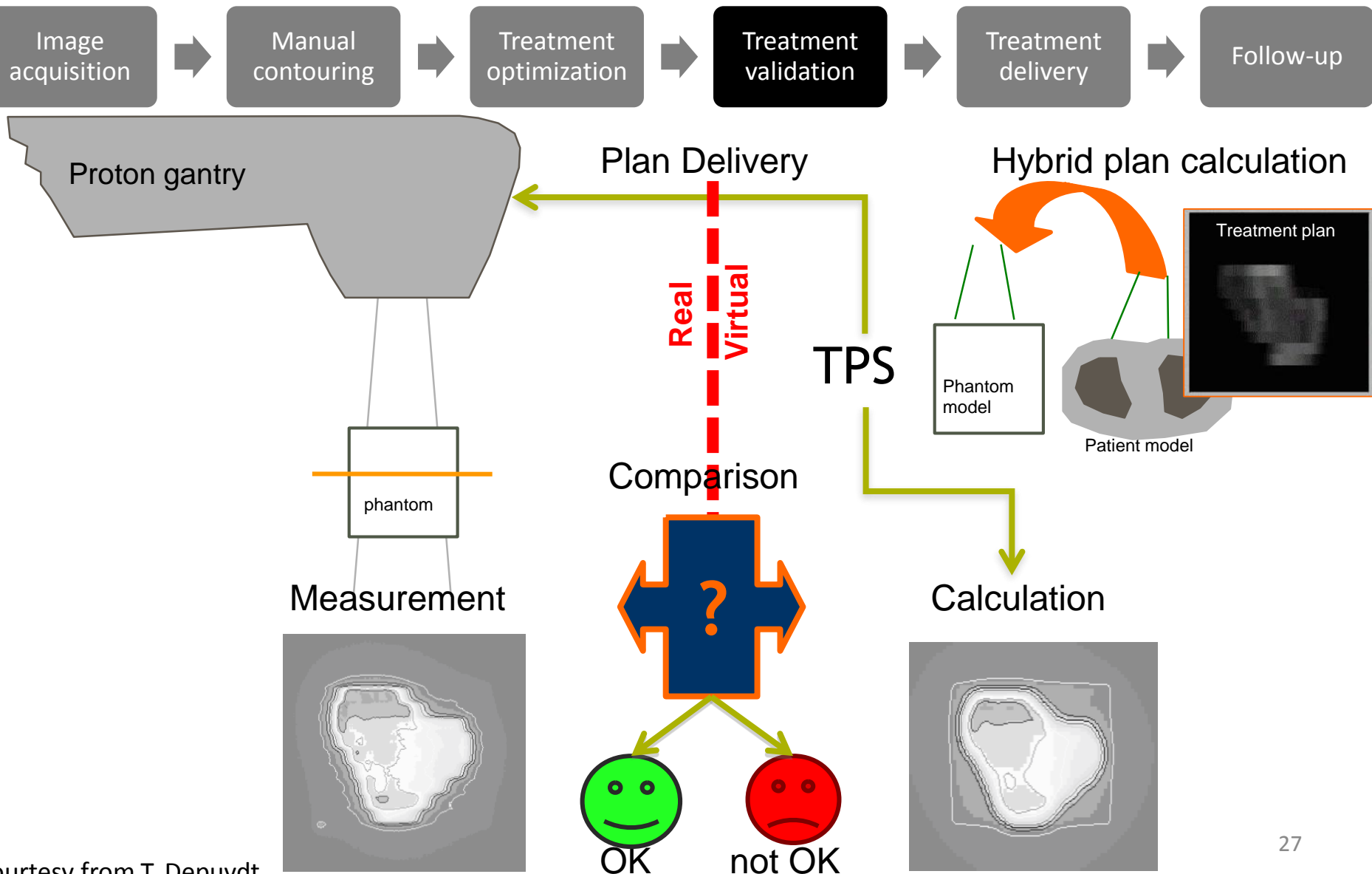
Structures and Constraints

Field settings



Field Intensity Map

Treatment preparation and delivery workflow



Treatment preparation and delivery workflow

Image acquisition

Manual contouring

Treatment optimization

Treatment validation

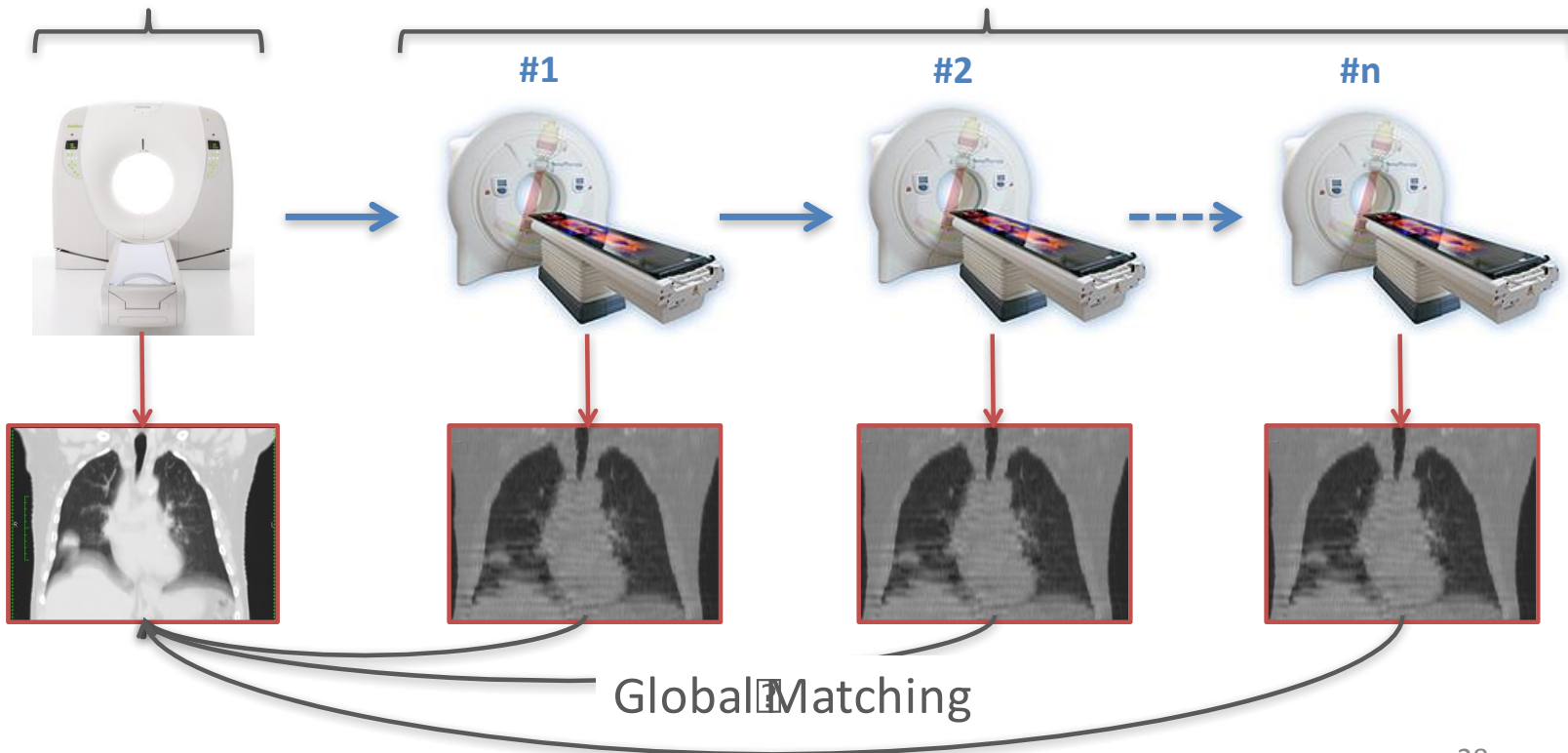
Treatment delivery

Follow-up

Positioning

Simulation

Treatment



Treatment preparation and delivery workflow

Image acquisition

Manual contouring

Treatment optimization

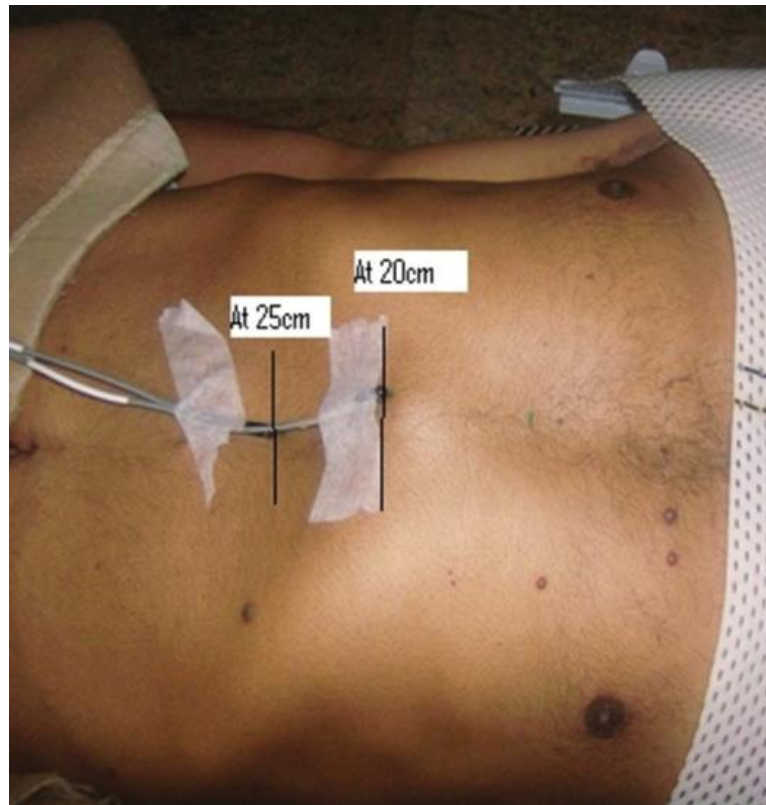
Treatment validation

Treatment delivery

Follow-up

In-vivo dosimetry

Measure the dose in the patient directly during treatment delivery (generally on the surface)



Treatment preparation and delivery workflow

Image acquisition

Manual contouring

Treatment optimization

Treatment validation

Treatment delivery

Follow-up

Machine log-files

Use measurements made by embedded detectors in the treatment nozzle!
Stored in so-called "machine log-files"



Treatment preparation and delivery workflow

Image acquisition

Manual contouring

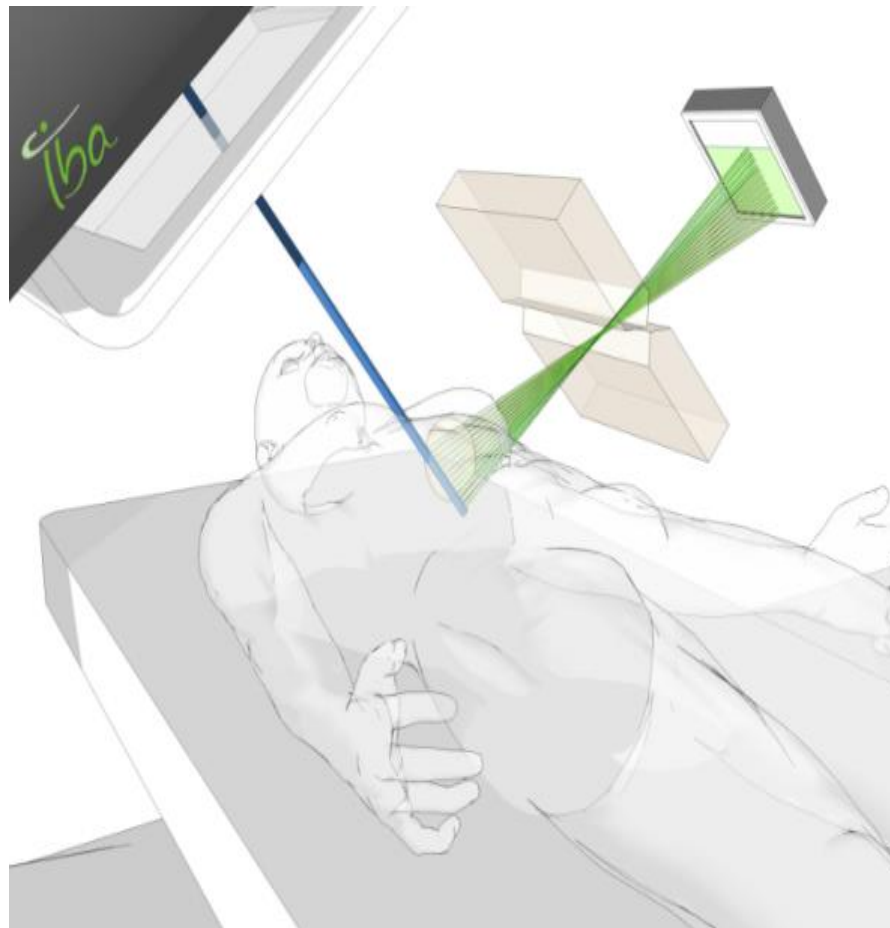
Treatment optimization

Treatment validation

Treatment delivery

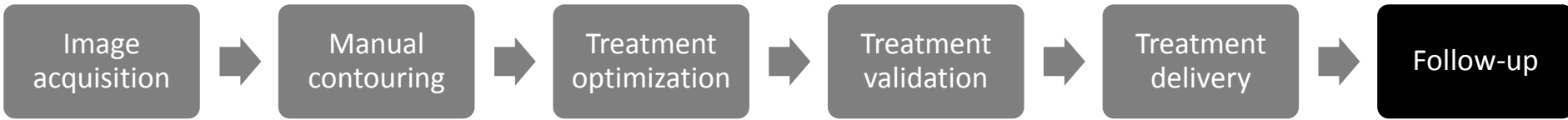
Follow-up

Range verification



Prompt gamma imaging

Treatment follow-up



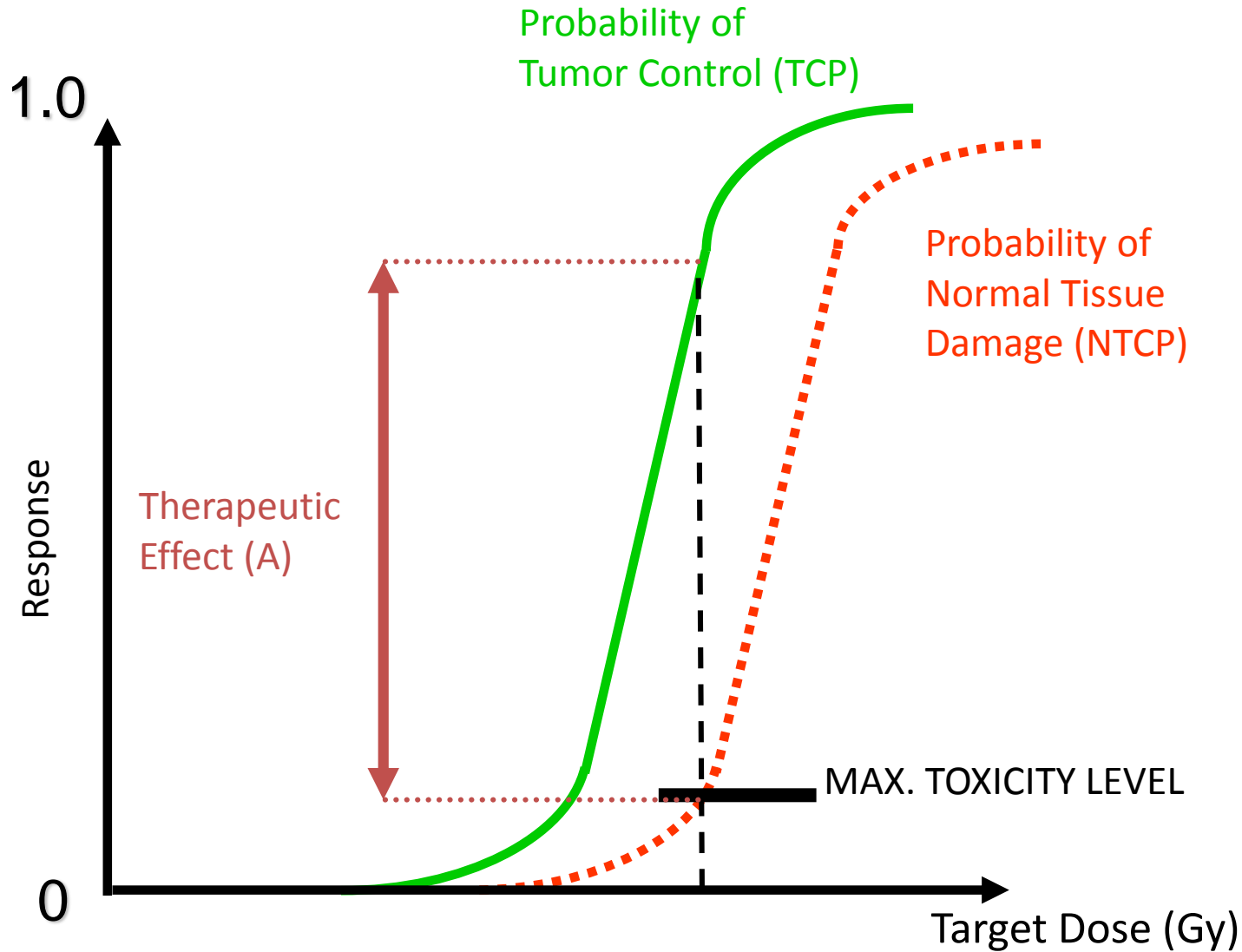
- Unacceptable anatomical changes → treatment adaptation
- Early toxicity assesment
- Tumour response
- ...

Treatment plan optimization

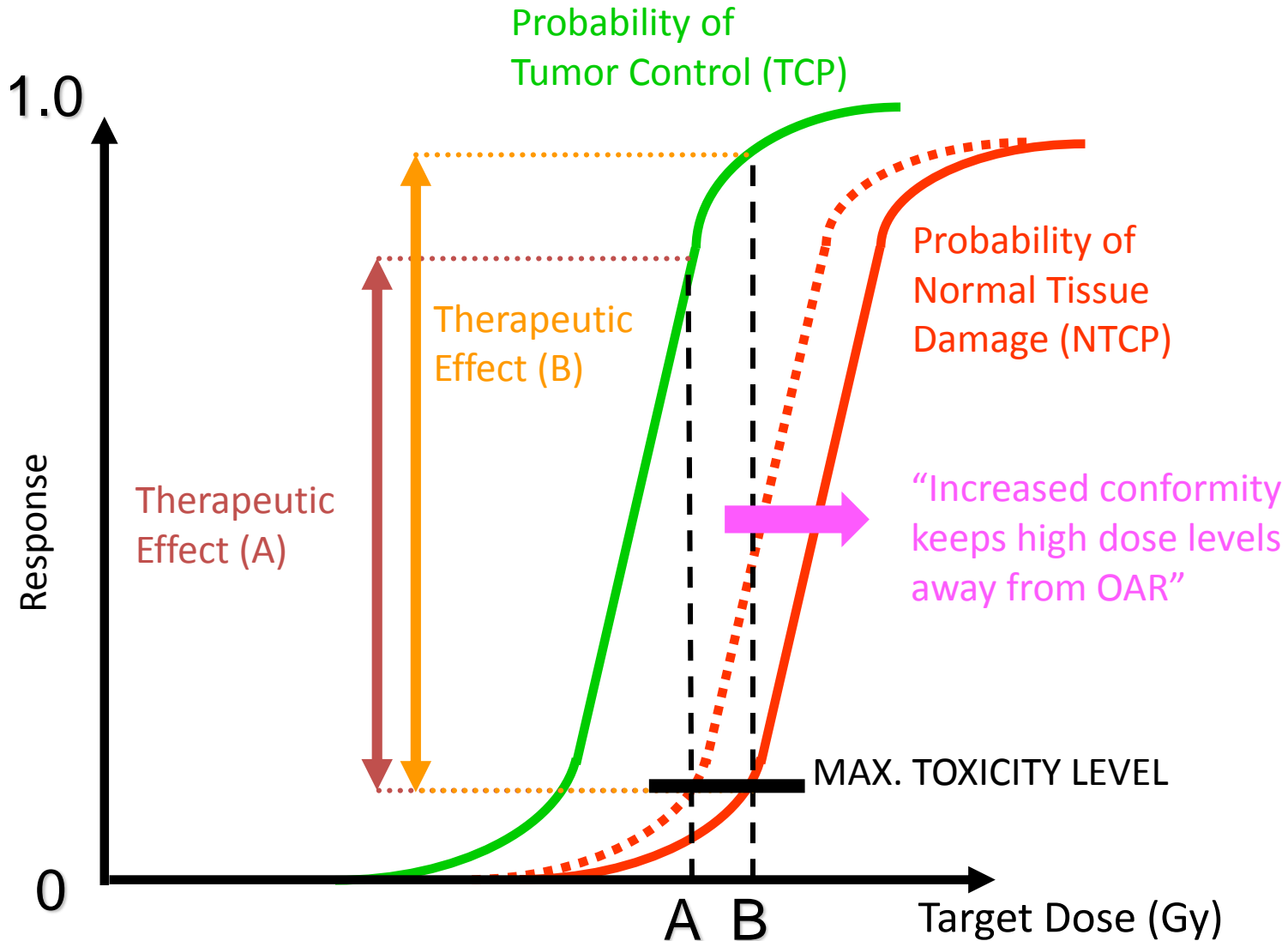
Objectives

- Best trade-off between target coverage and organs-at-risk sparing
- Robustness against geometrical and anatomical uncertainties
- Limited treatment time

Best trade-off between target coverage and organs-at-risk sparing

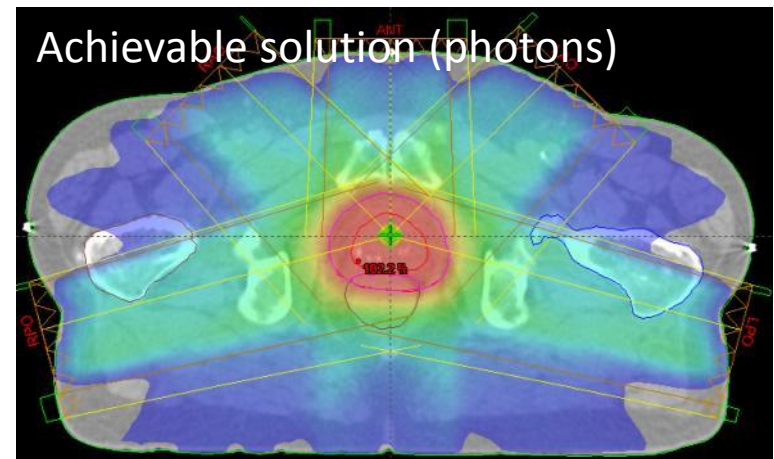
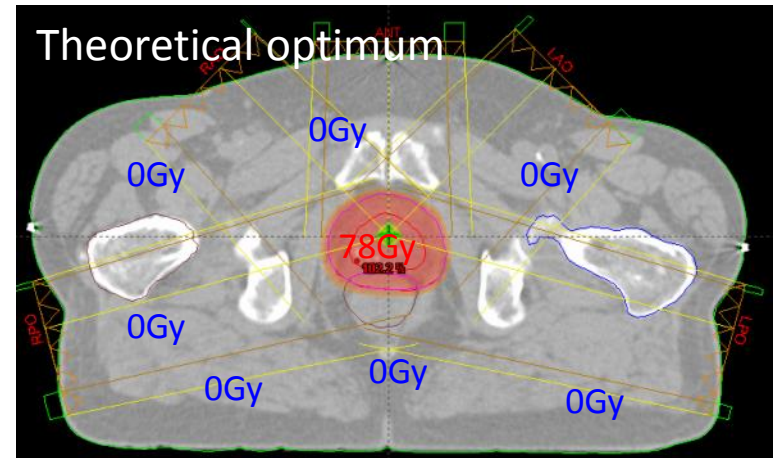


Best trade-off between target coverage and organs-at-risk sparing



The inverse problem

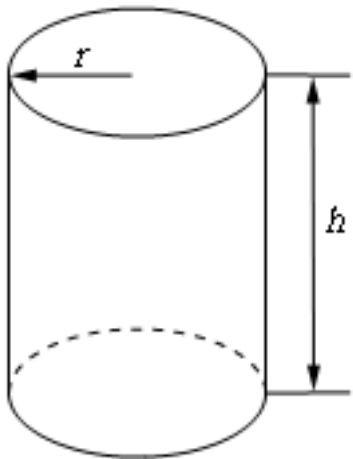
- The ideal dose distribution might be unreachable ...
- So, the best clinical result might not be possible
- So, compromise ...
(with OPTIMIZATION)
- Try and get the best approximation to the ideal dose distribution
- Define treatment goals mathematically with a function whose minimum corresponds to our definition of the best plan. The name of such a mathematical function is
COST FUNCTION
OBJECT FUNCTION
SCORE FUNCTION



An optimization problem

A simple optimization problem:

“A manufacturer needs to make a cylindrical can that will hold 1.5 liters of liquid. Determine the dimensions of the can that will minimize the amount of material used and as such the COST of its construction.”



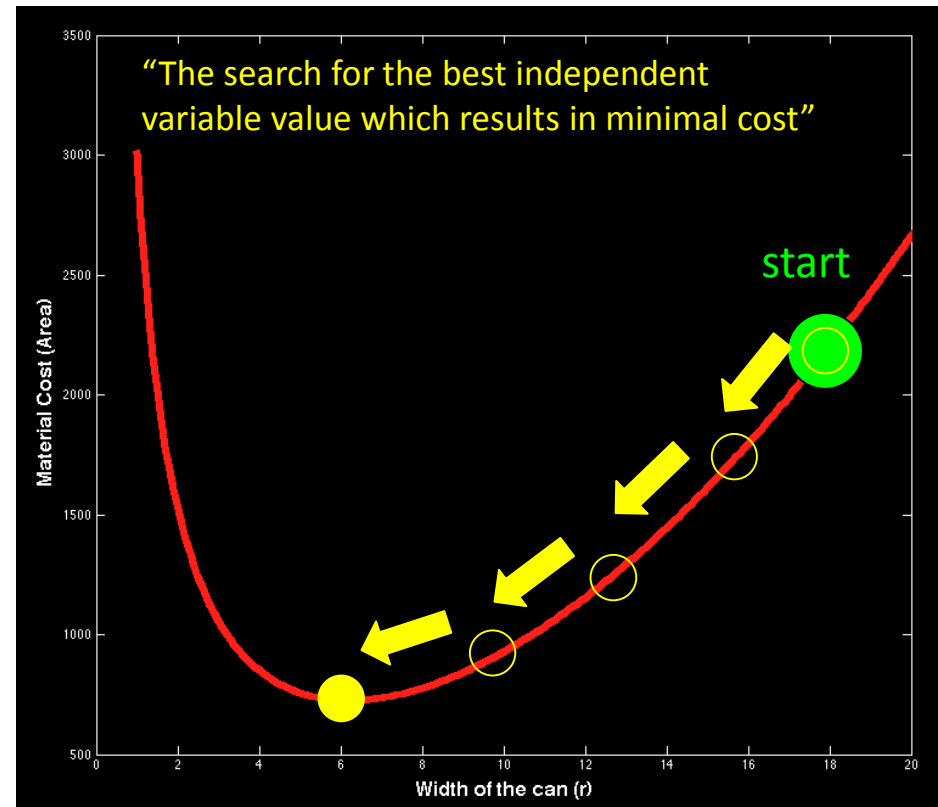
$$V = (\pi r^2)(h) = \pi r^2 h$$

$$A = (2\pi r)(h) = 2\pi r h$$

$$\text{Minimize : } A = 2\pi r h + 2\pi r^2$$

$$\text{Constraint : } 1500 = \pi r^2 h$$

$$\text{Minimise } A = \frac{3000}{r} + 2\pi r^2$$



Describe the inverse problem to a computer

Constraints/Goals

“... are constraining the optimization”

“Non-constrained tissue means freedom for the optimizer to put undesired dose there”

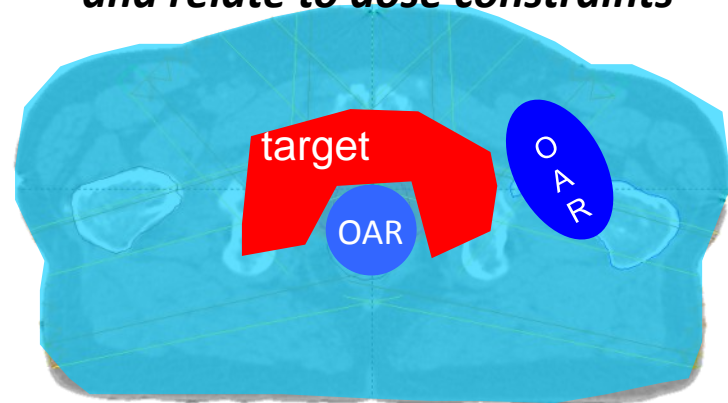
Physical dose

- Target coverage (min, max, ...)
- Target homogeneity
- OAR exposure (max, ...)
- Surrounding tissues
- ...

Biological effect

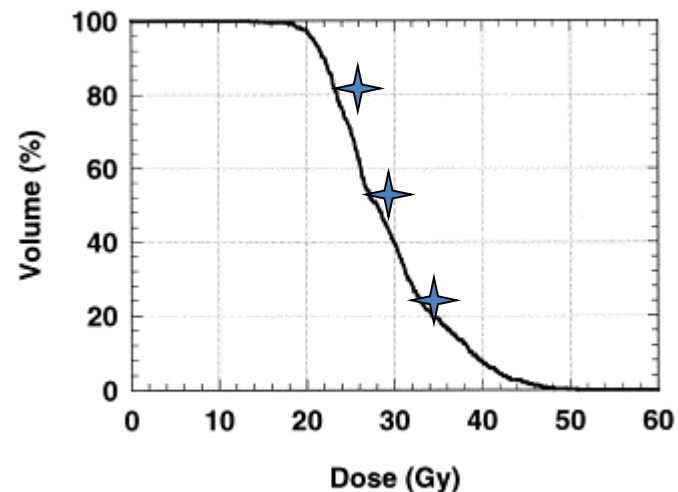
- TCP, NTCP
- EUD
- ...

“Subdivide into different preferably non-overlapping volumes and relate to dose constraints”



Desired/limiting dose

DVH constraints



Cost function

“Combining all sometimes competing goals in one cost function ...”

β is the relative weight factor

$$C = \beta_T \frac{1}{n_T} \sum_{i \in T} (d_i - d_0)^2 +$$

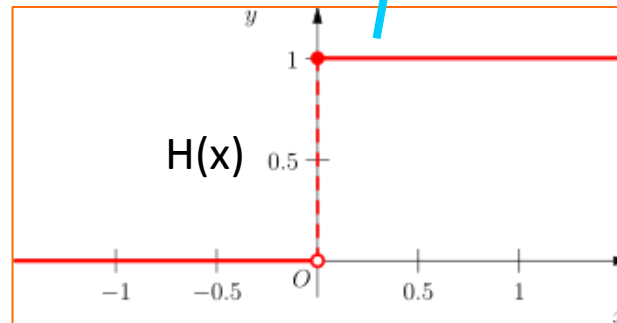
Penalty for not having uniform dose d_0
(optimising uniformity in target)

Penalty for any dose to OAR (minimising the mean of the OAR)

$$\beta_{OAR1} \frac{1}{n_{OAR1}} \sum_{i \in OAR1} d_i +$$

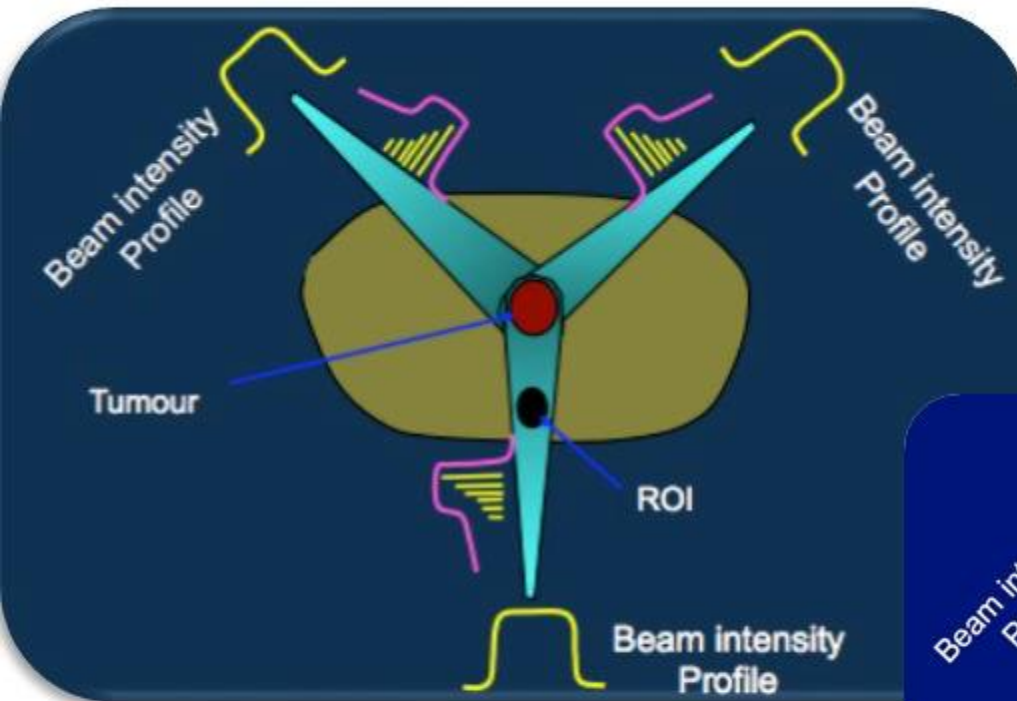
$$\beta_{OAR2} \frac{1}{n_{OAR2}} \sum_{i \in OAR2} H(d_i - d_0)(d_i - d_0)$$

Penalty for dose above “tolerance dose d_0 ” in OAR (keeping max dose below d_0)

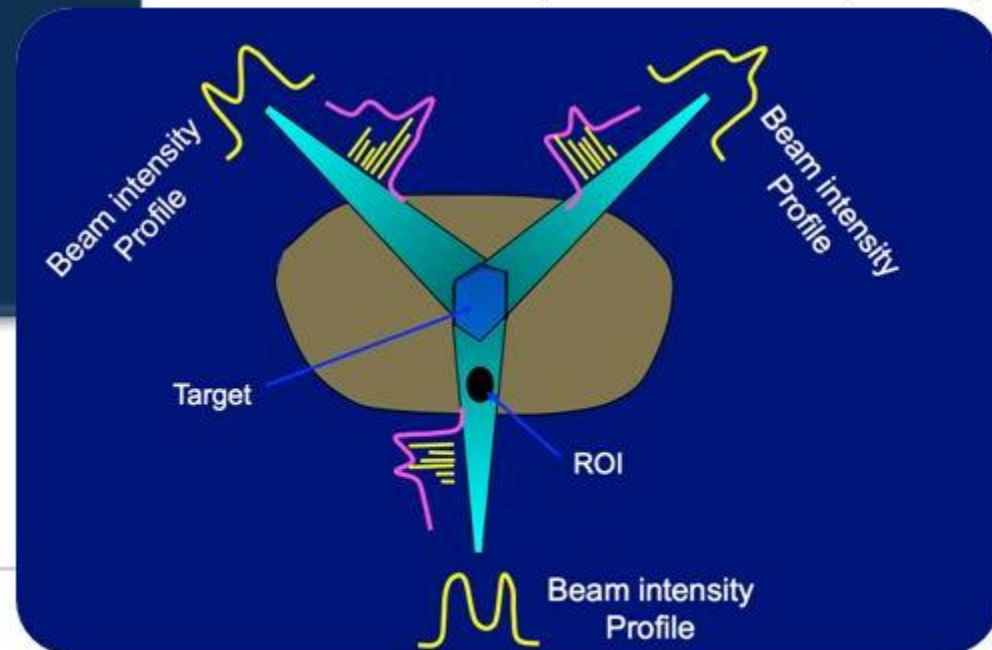


Optimization of spot weights

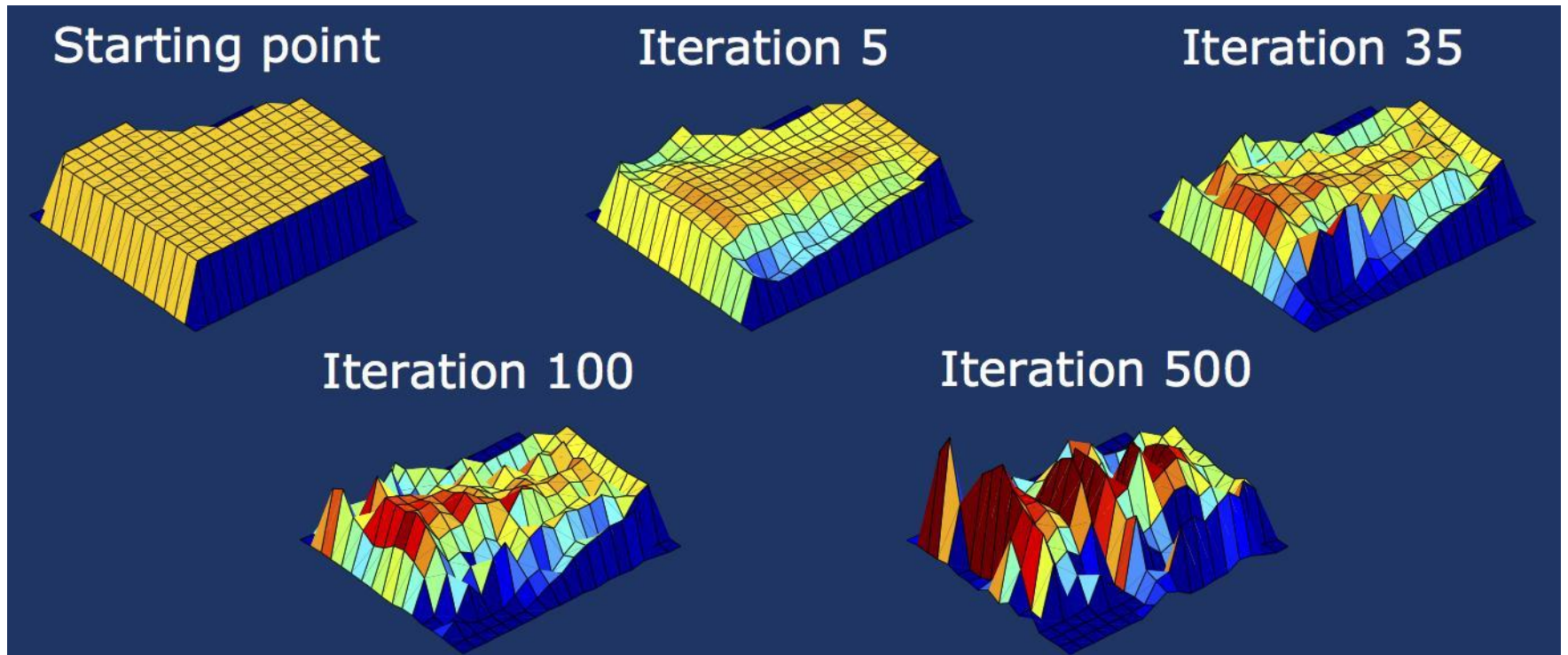
Classical Proton Therapy → DS/US +
Single Field Optimization (SFO)



Pencil Beam Scanning – Multi Field Optimization (MFO)



Optimization of spot weights



Optimization of spot weights

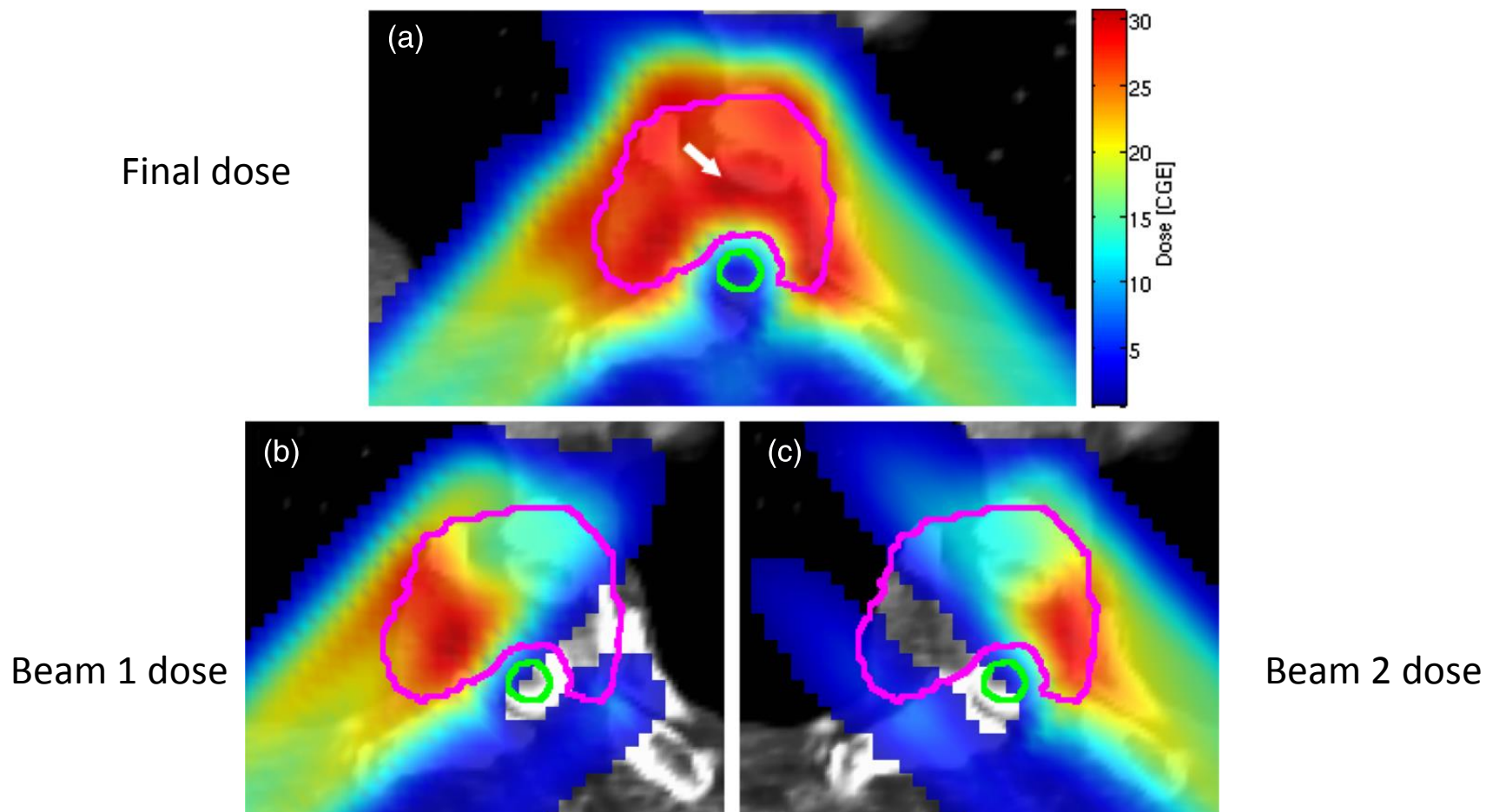
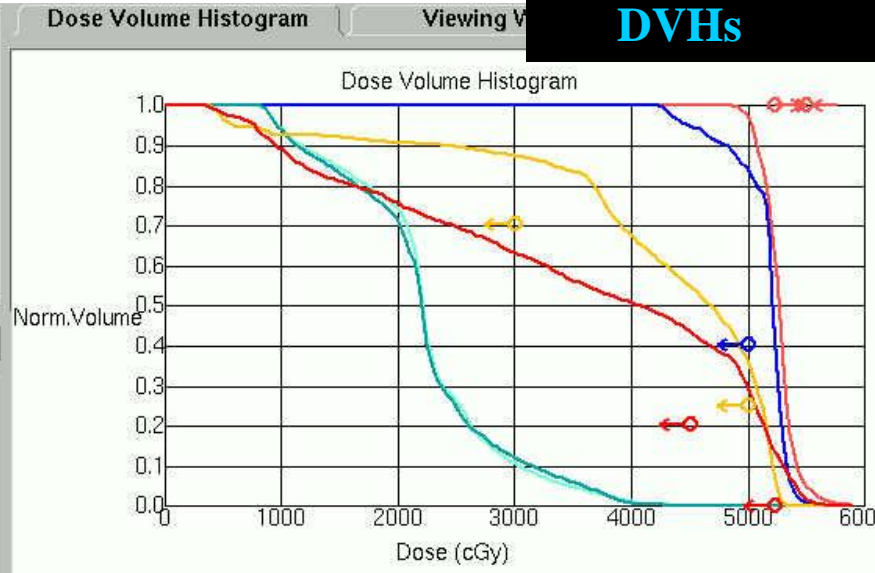


Figure 6. IMPT plan for the paraspinal case using a 5mm (σ) pencil beam. (a) Total dose. (b) Dose from posterior-right lateral beam. (c) Posterior-left lateral beam.

Optimization DVHs

Optimization progress, Cost-function



Field Intensity Map

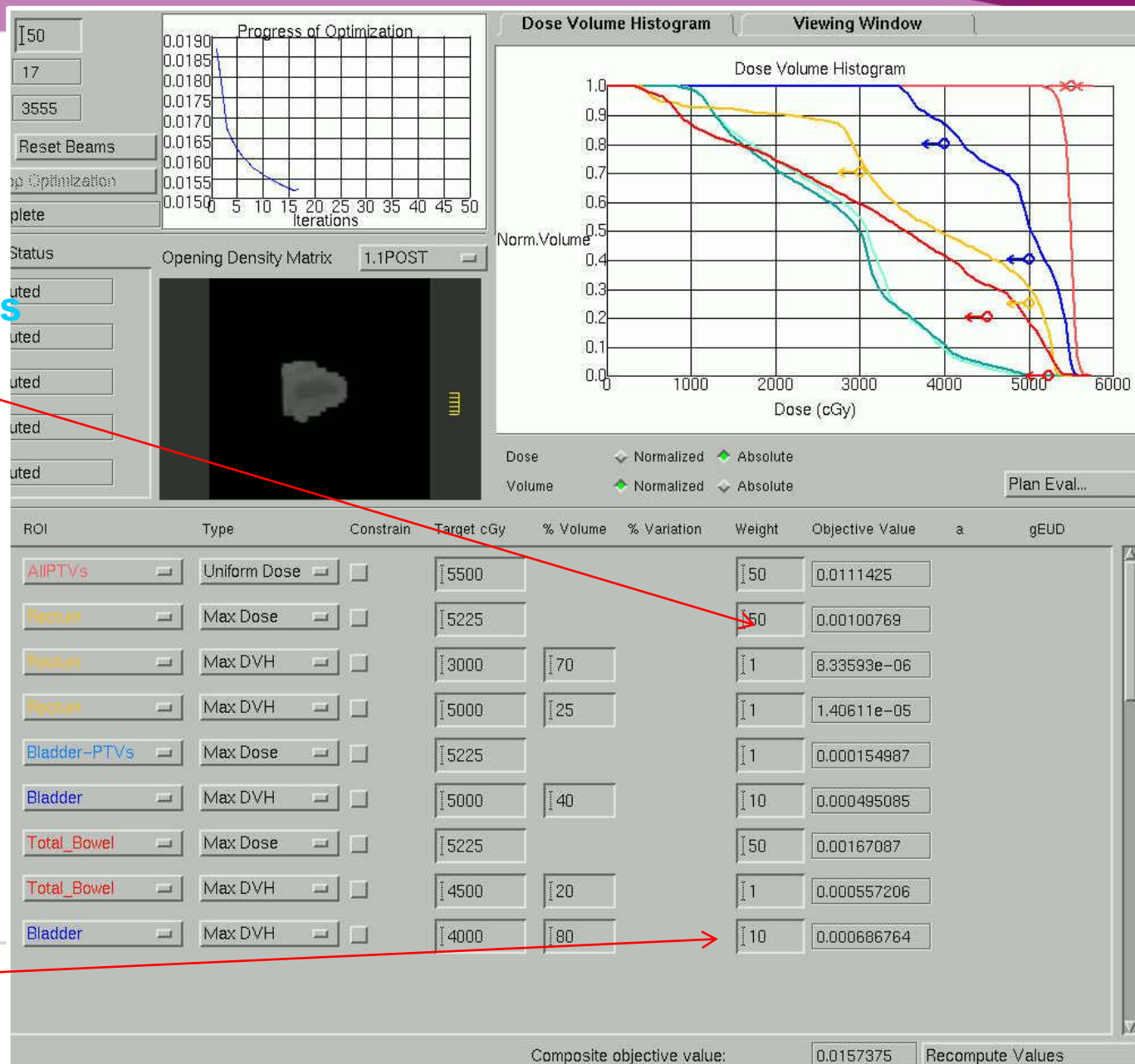


Structures and Constraints

| ROI | Type | Constrain | Target cGy | % Volume | % Variation | Weight | Objective Value | a | gEUD |
|--------------|--------------|-----------|------------|----------|-------------|--------|-----------------|---|------|
| AIPTVs | Uniform Dose | | 5500 | | | 1 | 0.00267438 | | |
| Rectum-PTVs | Max Dose | | 5225 | | | 1 | 1.35472e-07 | | |
| Rectum | Max DVH | | 3000 | 70 | | 1 | 0.00820967 | | |
| Rectum | Max DVH | | 5000 | 25 | | 1 | 2.39142e-05 | | |
| Bladder-PTVs | Max Dose | | 5225 | | | 1 | 0.0001509 | | |
| Bladder | Max DVH | | 5000 | 40 | | 1 | 0.00055784 | | |
| Total_Bowel | Max Dose | | 5225 | | | 1 | 0.000151849 | | |
| Total_Bowel | Max DVH | | 4500 | 20 | | 1 | 0.00226715 | | |
| AIPTVs | Min Dose | | 5225 | | | 1 | 0.000255507 | | |

Highest cost

Change of weights
change the
optimisation



Add a
constraint

This is all nice but...

Image acquisition

Manual contouring

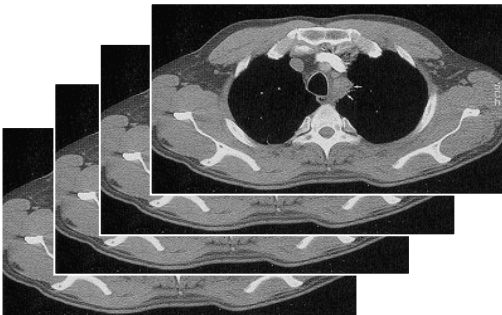
Treatment optimization

Treatment validation

Treatment delivery

Follow-up

CT



The whole process assumes that the images acquired are a faithful representation of the anatomy during the entire course of the treatment

This is not true:

- Patients are not positioned all the time the same way
- Breathing motion is not stable
- The position of the targets and the organs-at-risk may change one relative to another (organ filling)
- The morphology of the patient may change in general (weight loss, tumour shrinkage)

Morphological modifications

Image acquisition

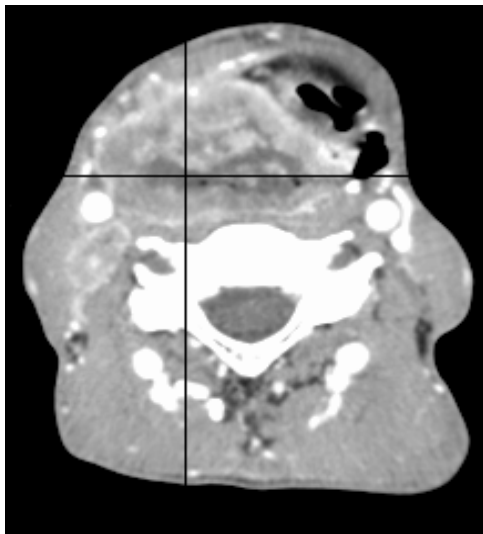
Manual contouring

Treatment optimization

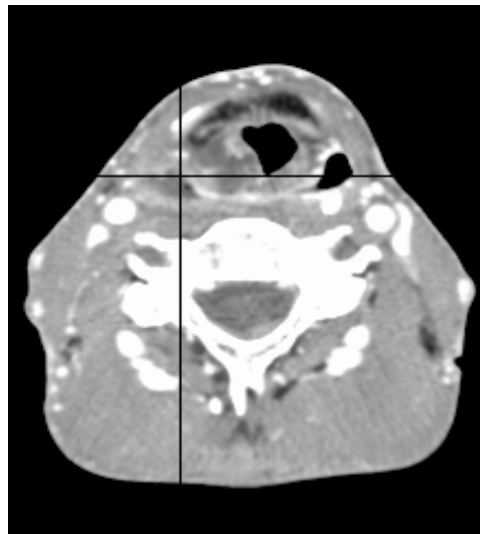
Treatment validation

Treatment delivery

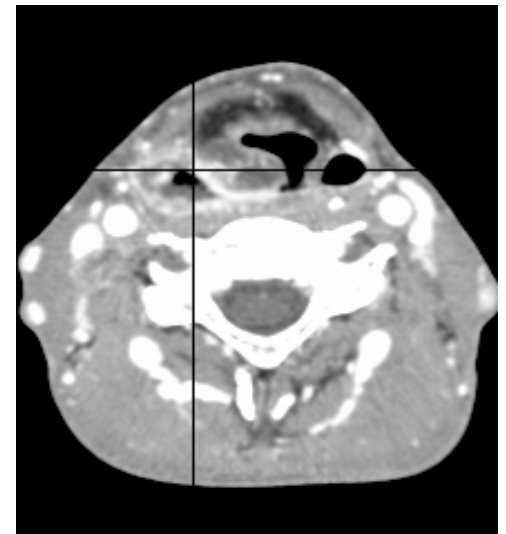
Follow-up



Pre-RT



Week 3



Week 5

Breathing

Image acquisition

Manual contouring

Treatment optimization

Treatment validation

Treatment delivery

Follow-up

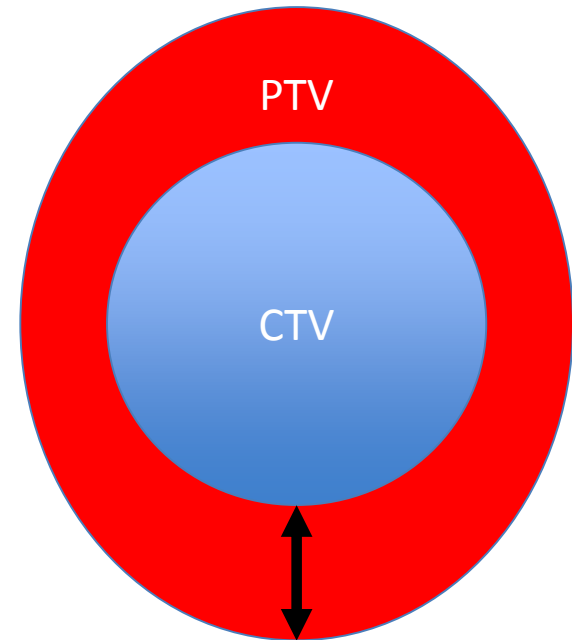


How to ensure that the target is covered *despite* geometric uncertainties?

To make sure we irradiate the Clinical Target Volume...



We irradiate a larger volume, the Planning Target Volume



PTV safety margin

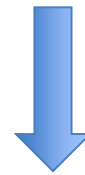
CTV-PTV margin?

$$m_{PTV} = 2.5\Sigma + 0.7\sigma$$

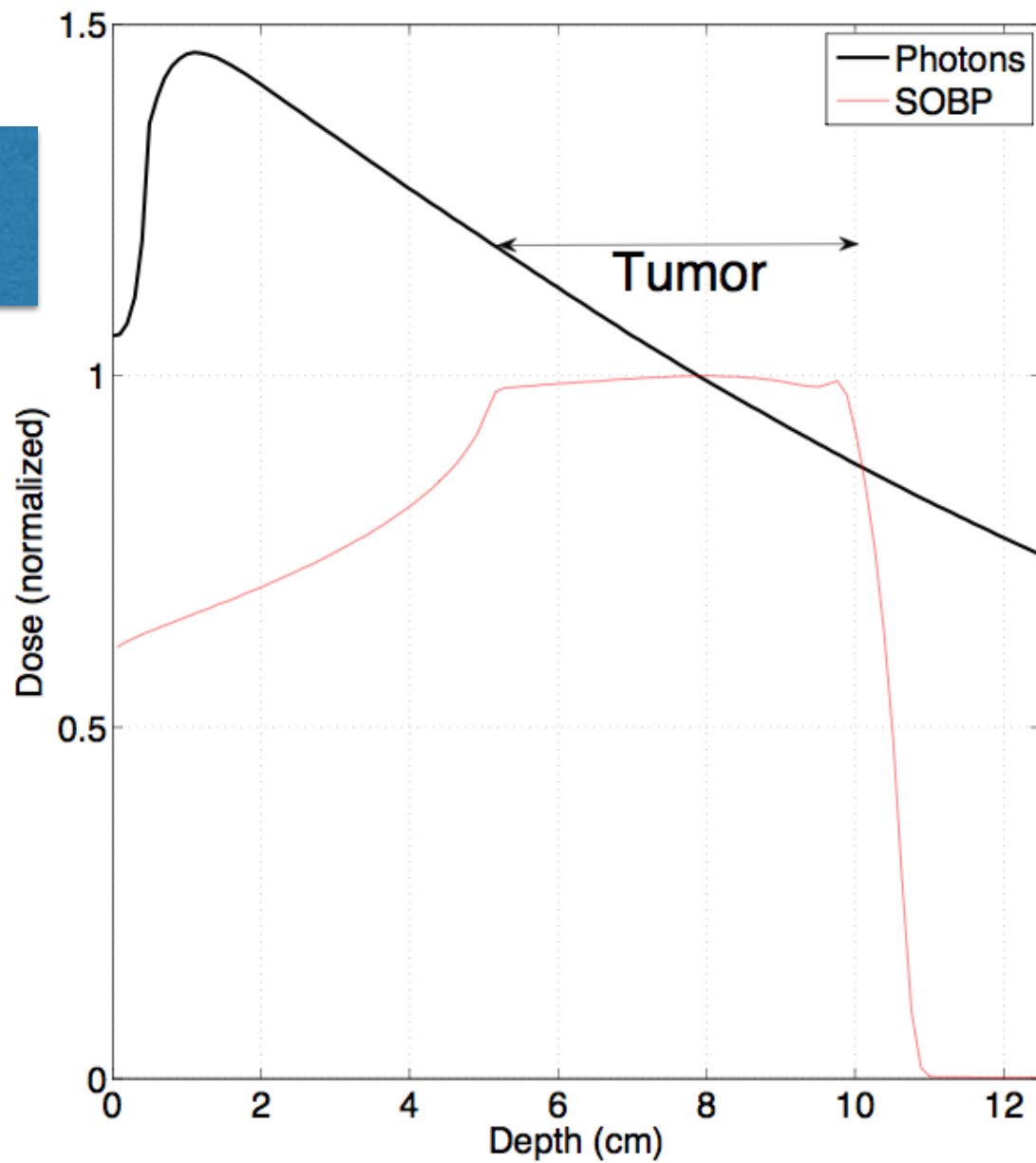
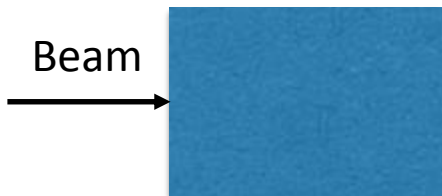
Systematic errors

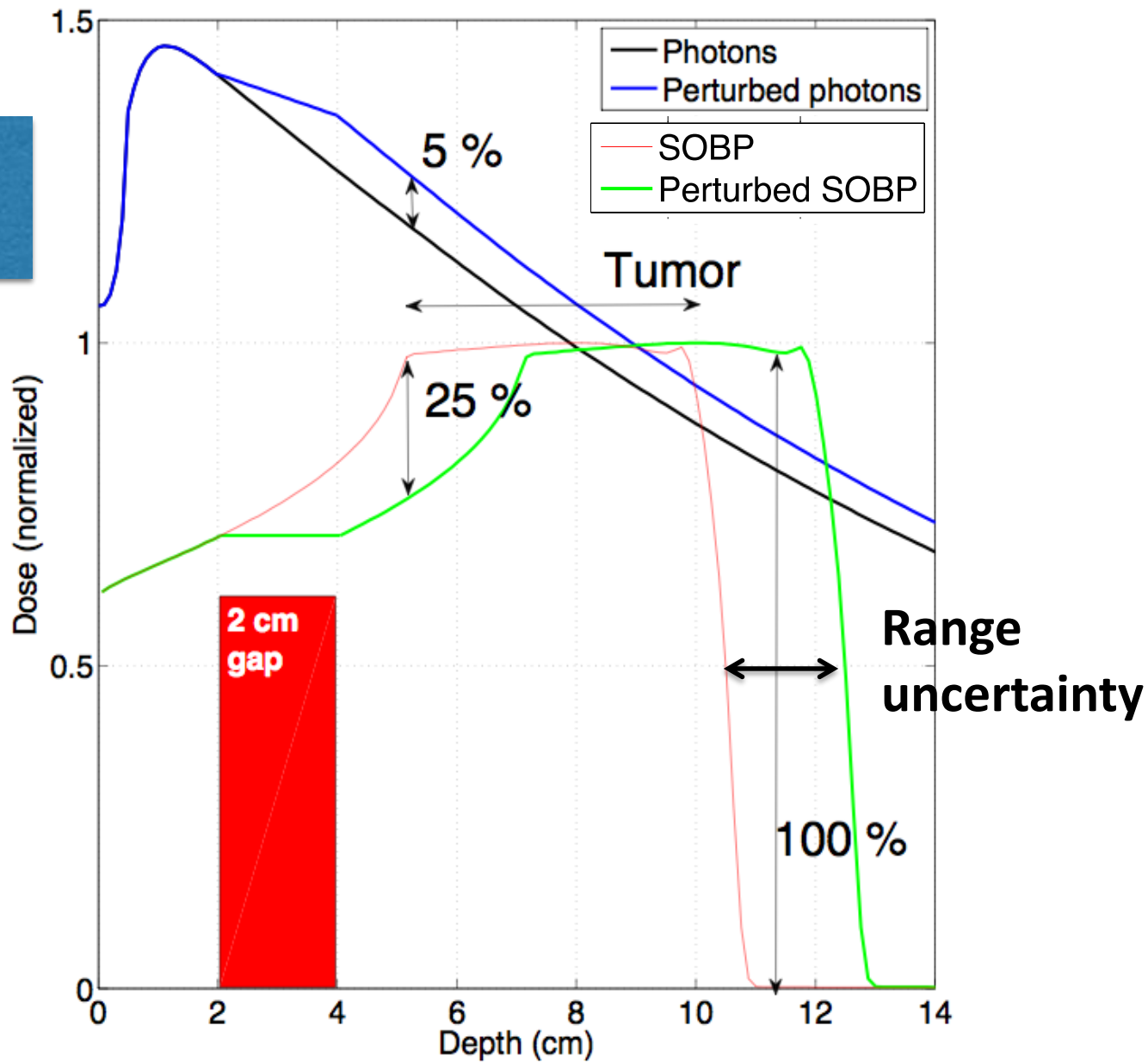
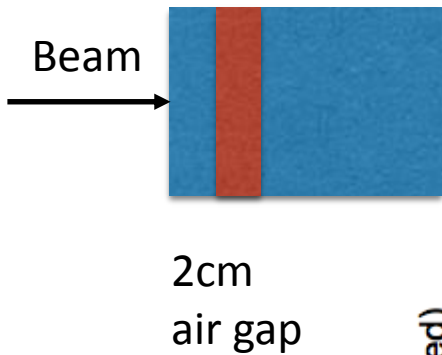
Random errors

Assumes shift invariance of the dose distribution in ALL directions!!!

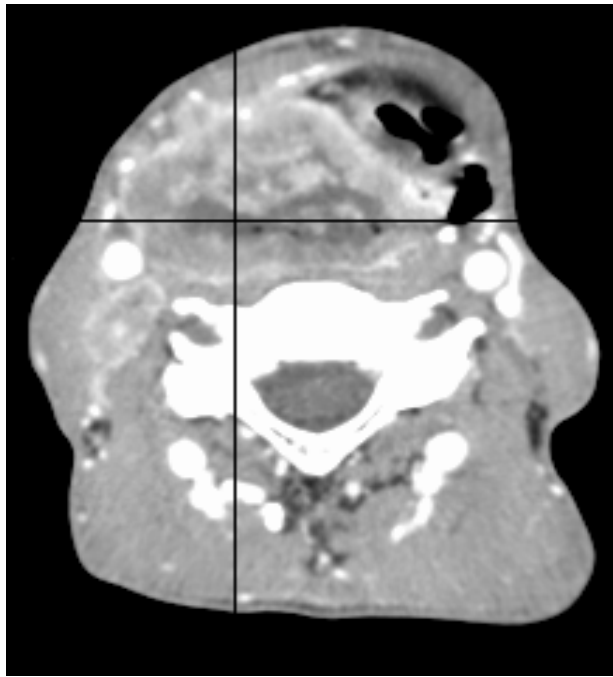


The CTV “navigates” in a stable dose distribution

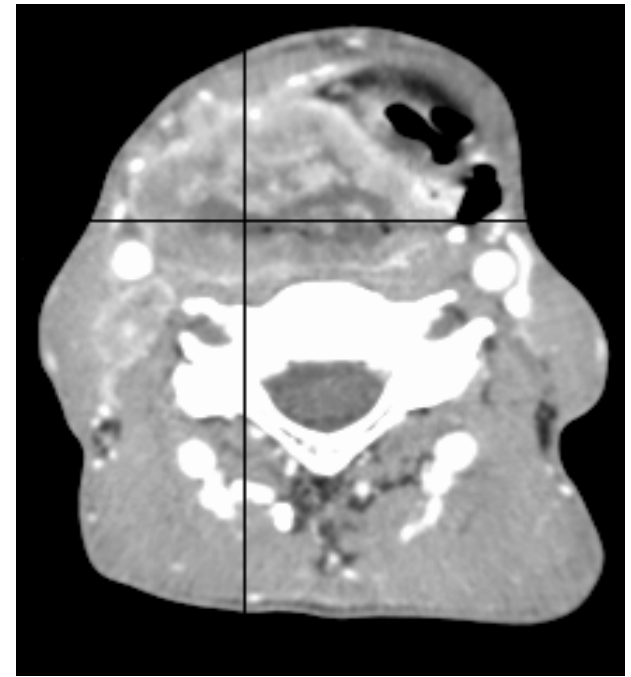




Range uncertainties due to image conversion into stopping powers



**Hounsfield Units
(photon attenuation)**



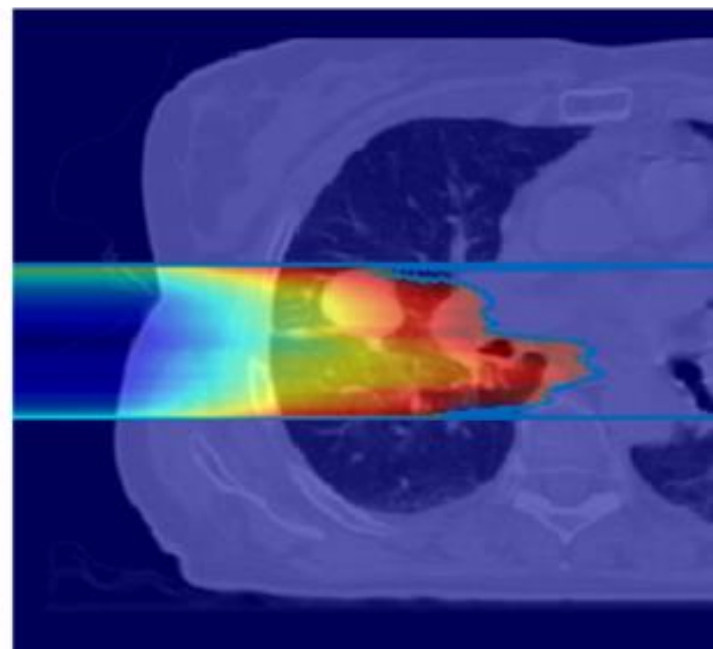
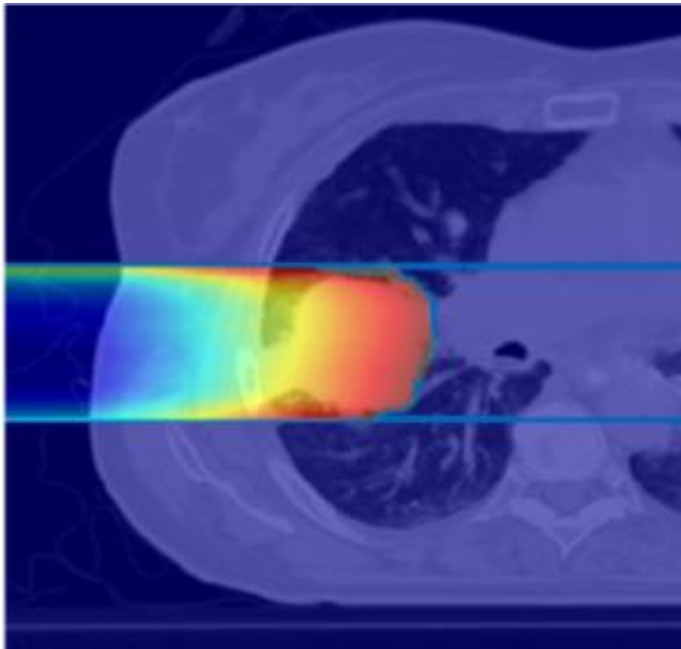
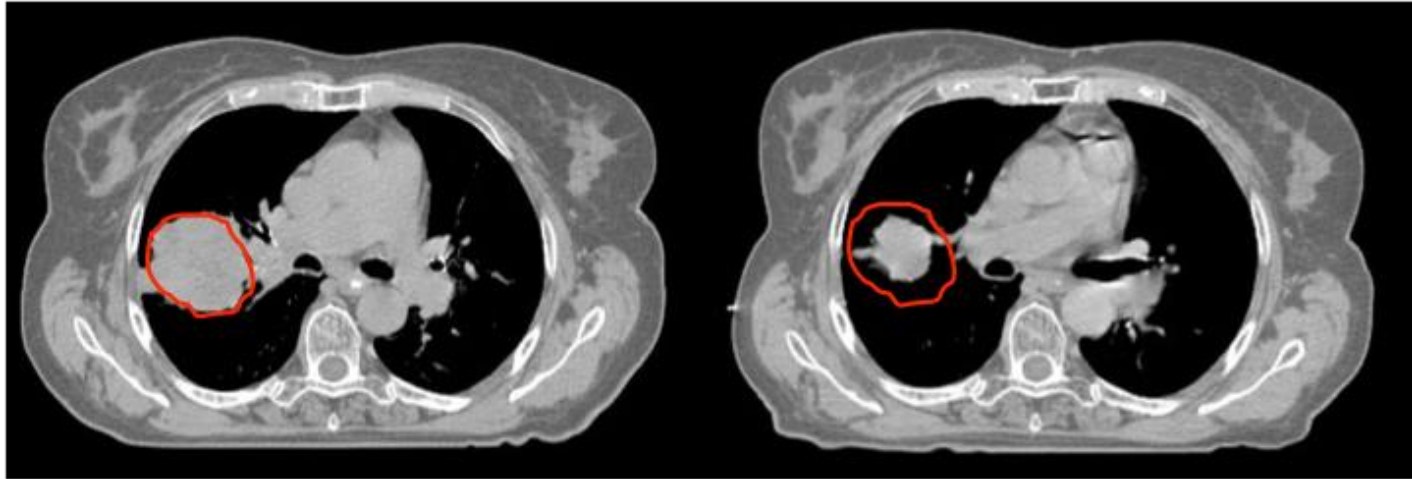
Map of stopping powers

Uncertainties

- Image noise
- Tissue assignment? (Fat, bone, muscle, skin...)
- Tissue composition
- Conversion of a known composition to stopping powers

Total uncertainty of **a few % !**

Range uncertainties due to anatomical changes



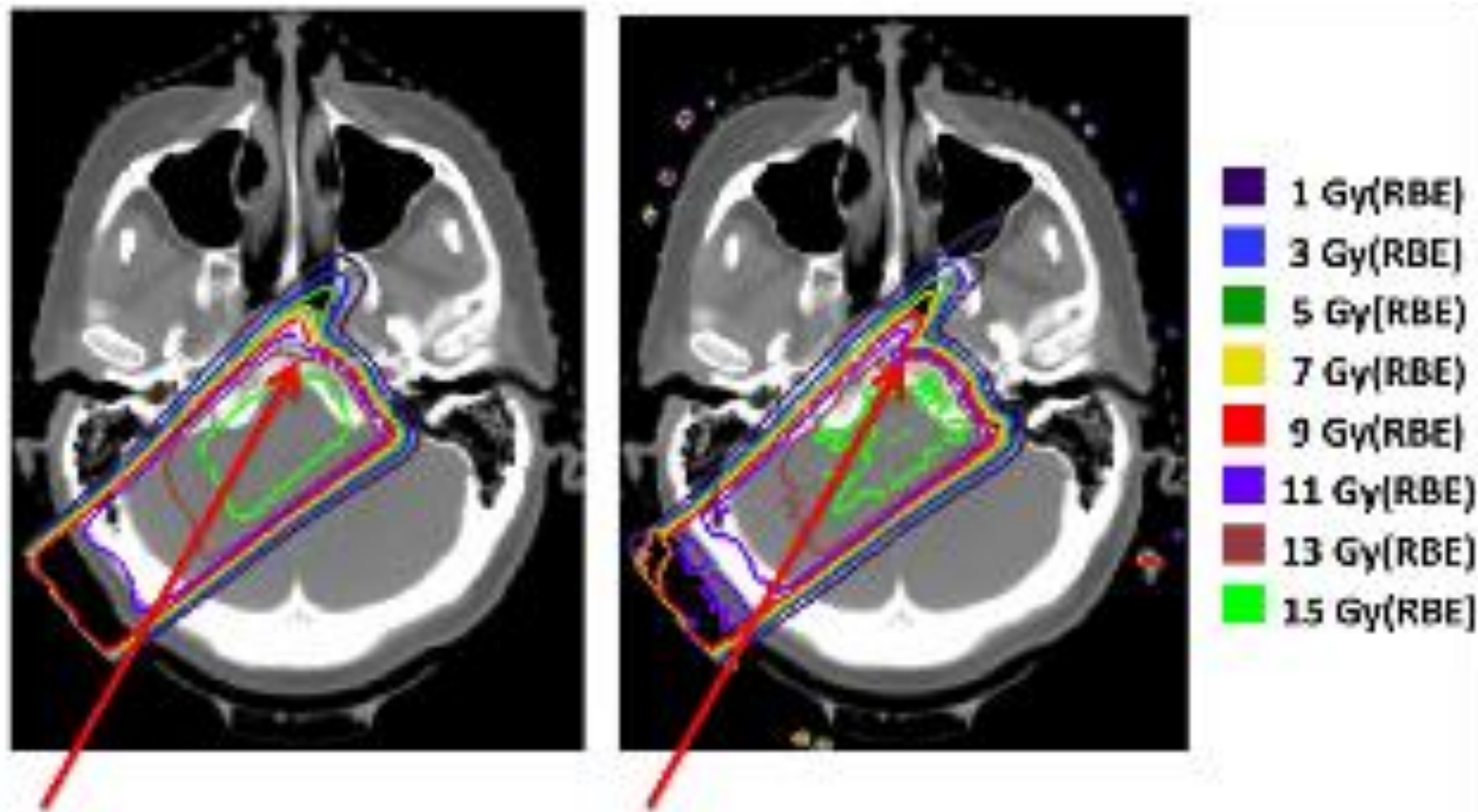
Day 0

Day 35

Range uncertainties due to breathing



Range uncertainties due to dose calculation errors



Bad algorithm

Good algorithm

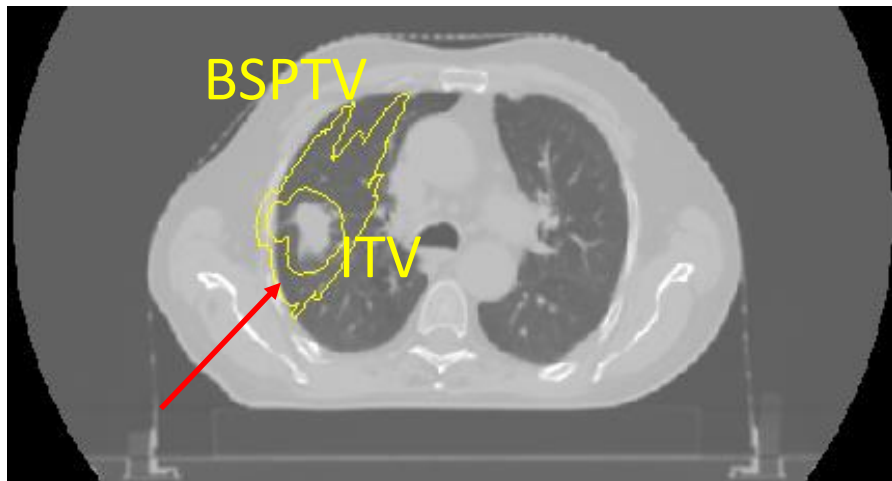
How do we account for range uncertainties in proton therapy treatment planning?

In proton therapy, the dose distribution is not *stable*

Thus the fundamental hypothesis of PTV margin recipes are *not* valid

Beam specific PTV (Single Field Uniform Dose (SFUD))

- **Lateral margin** is calculated similarly to photon PTV
- **Proximal/distal margin** are calculated to compensate for range variations:
 - Motion
 - Setup error
 - Stopping power uncertainties



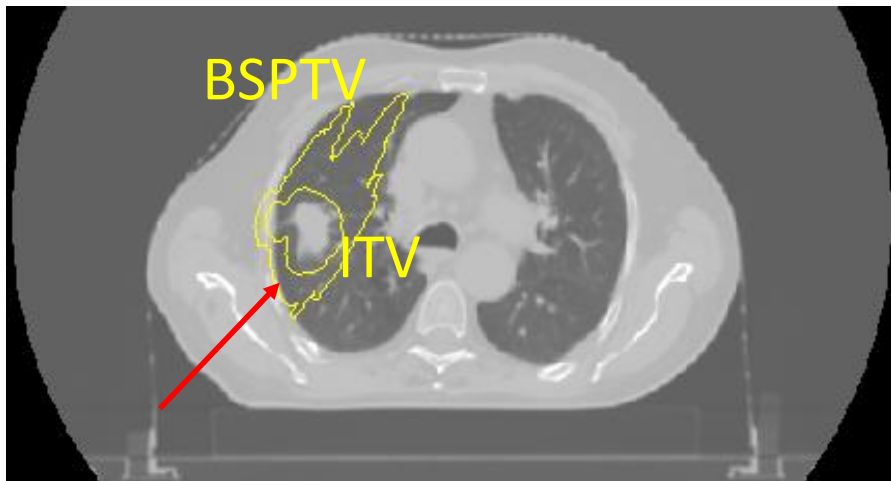
210°



150°

Beam specific PTV (Single Field Uniform Dose (SFUD))

**DOES NOT WORK for multi-field optimization !
(IMPT - pencil beam scanning)**

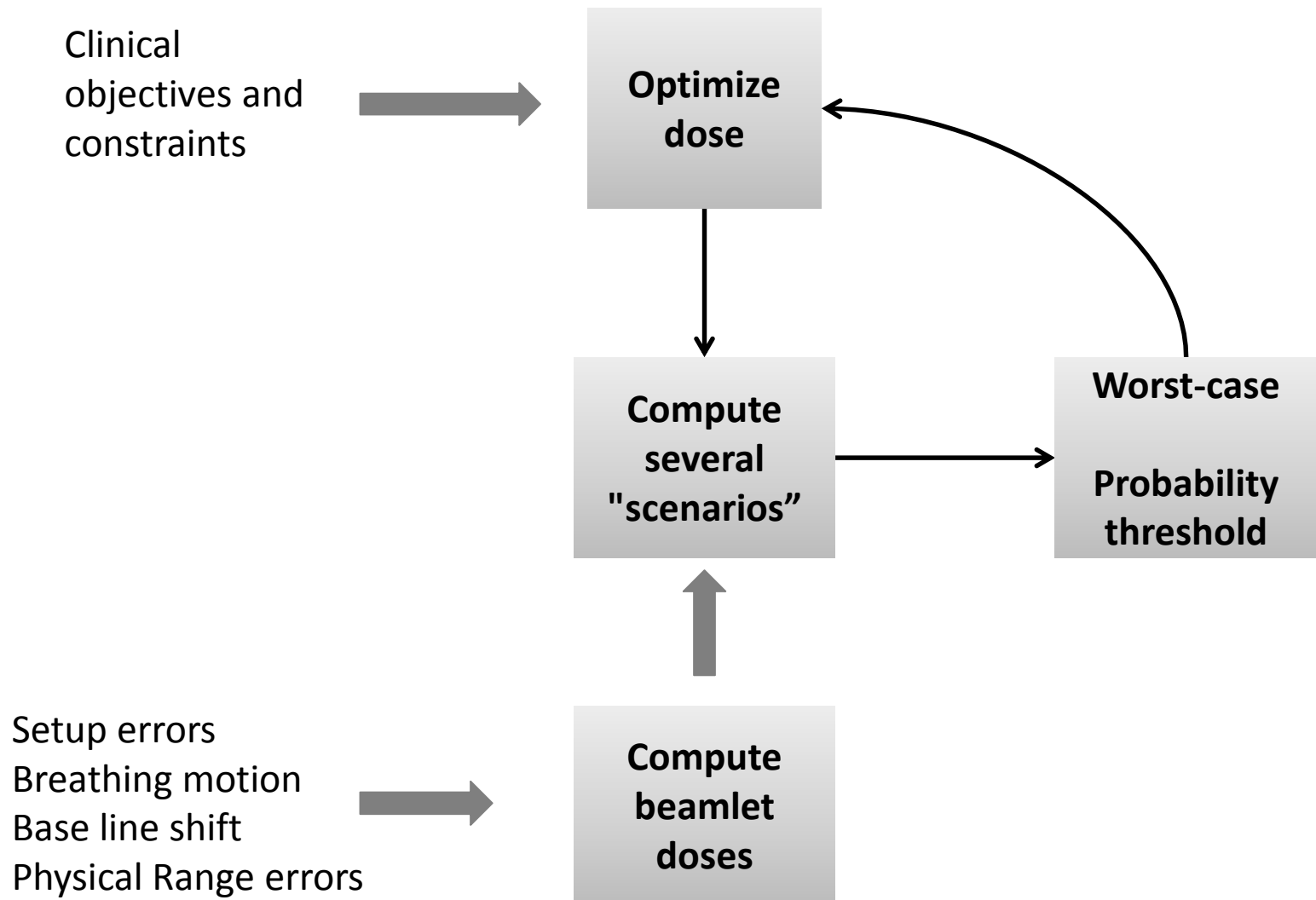


210°

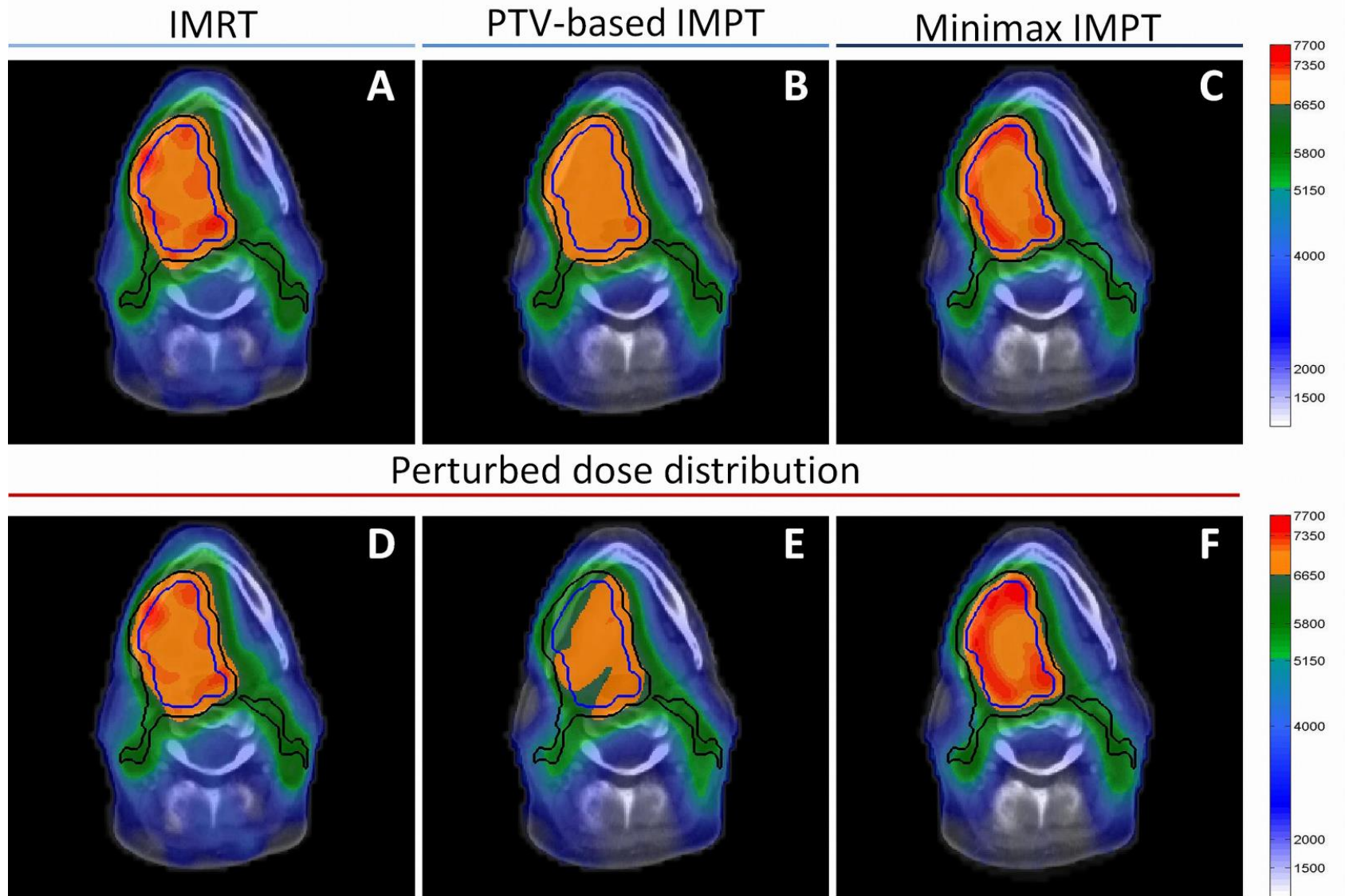


150°

IMPT (PBS) → robust optimization

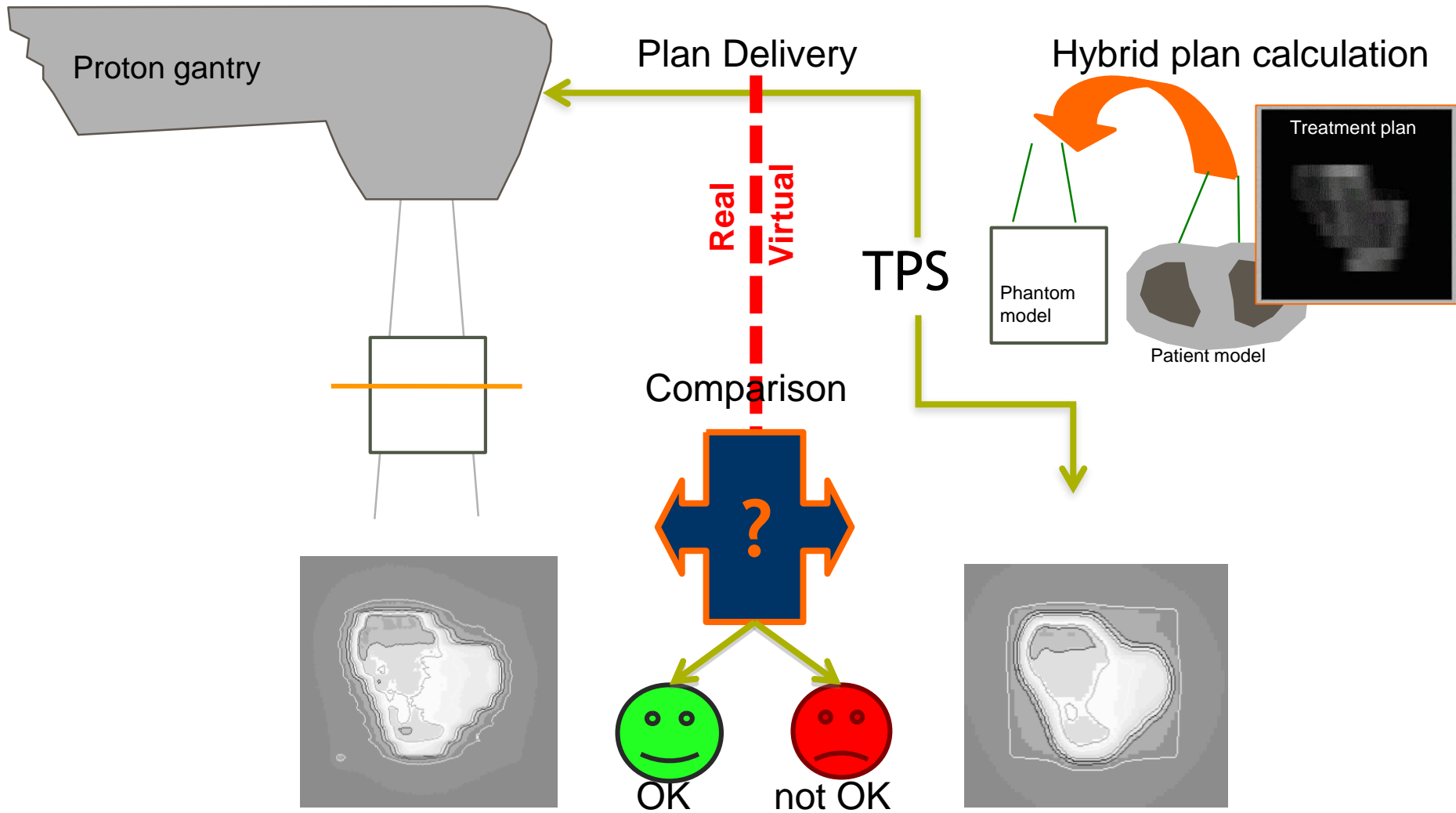


Effectiveness of robust optimization

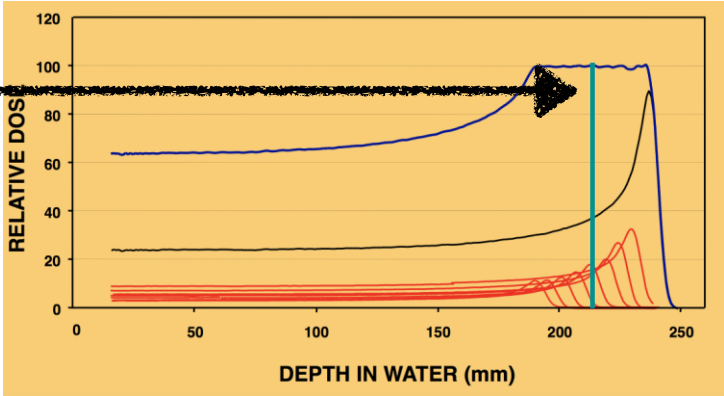


From Van Dijk et al (Plos One 2016)

Treatment verification



Experiment

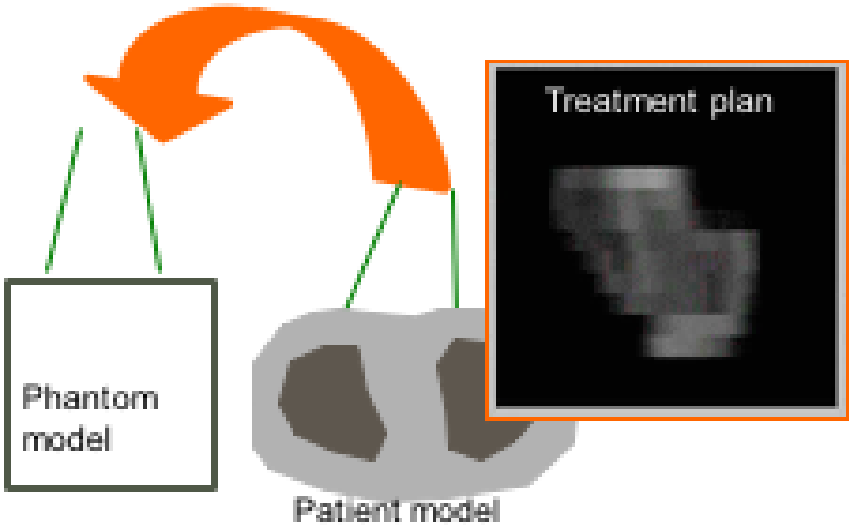


Horizontal Proton beam

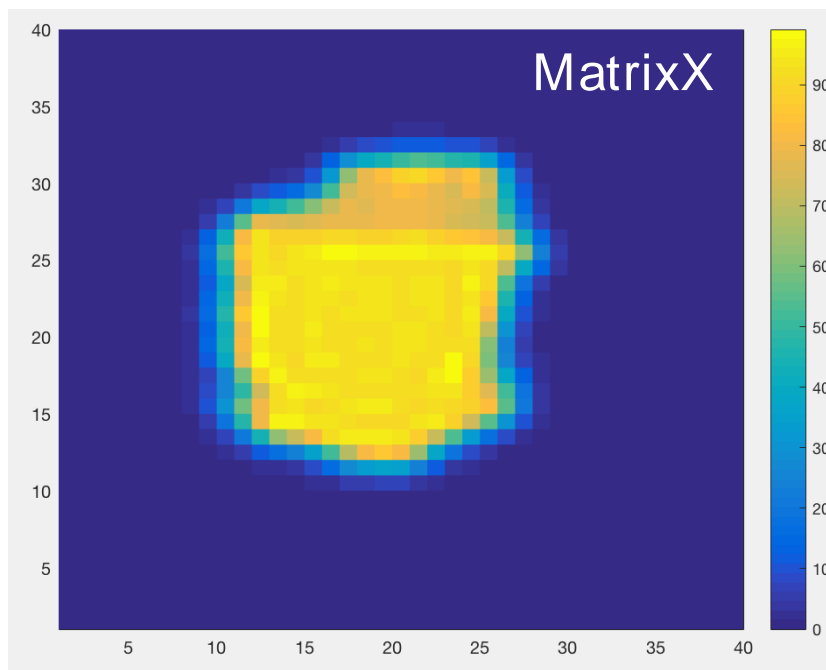
- One measurement per field (2 fields = 2 measurements)
- Depth of the detector at Mid-SOBP.

Dose calculation

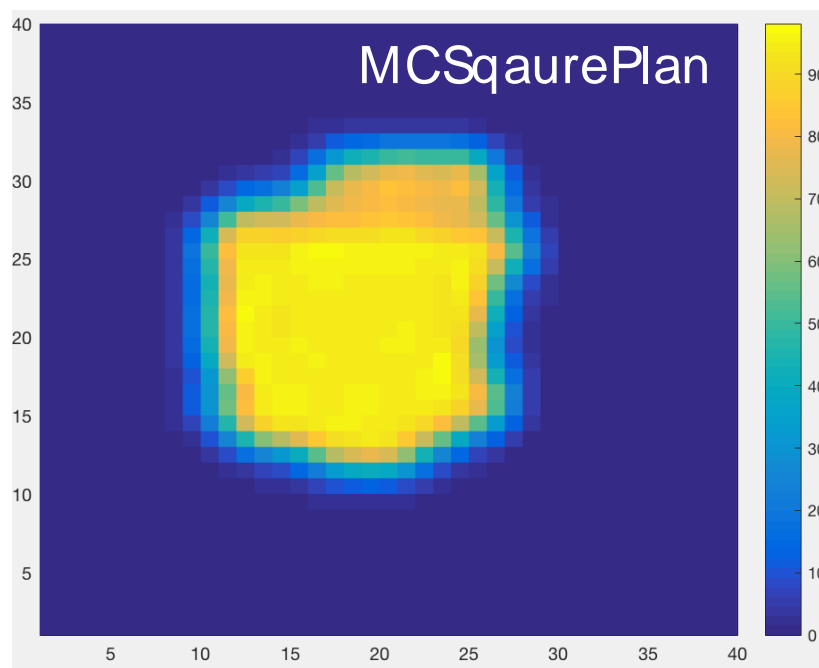
Hybrid plan calculation



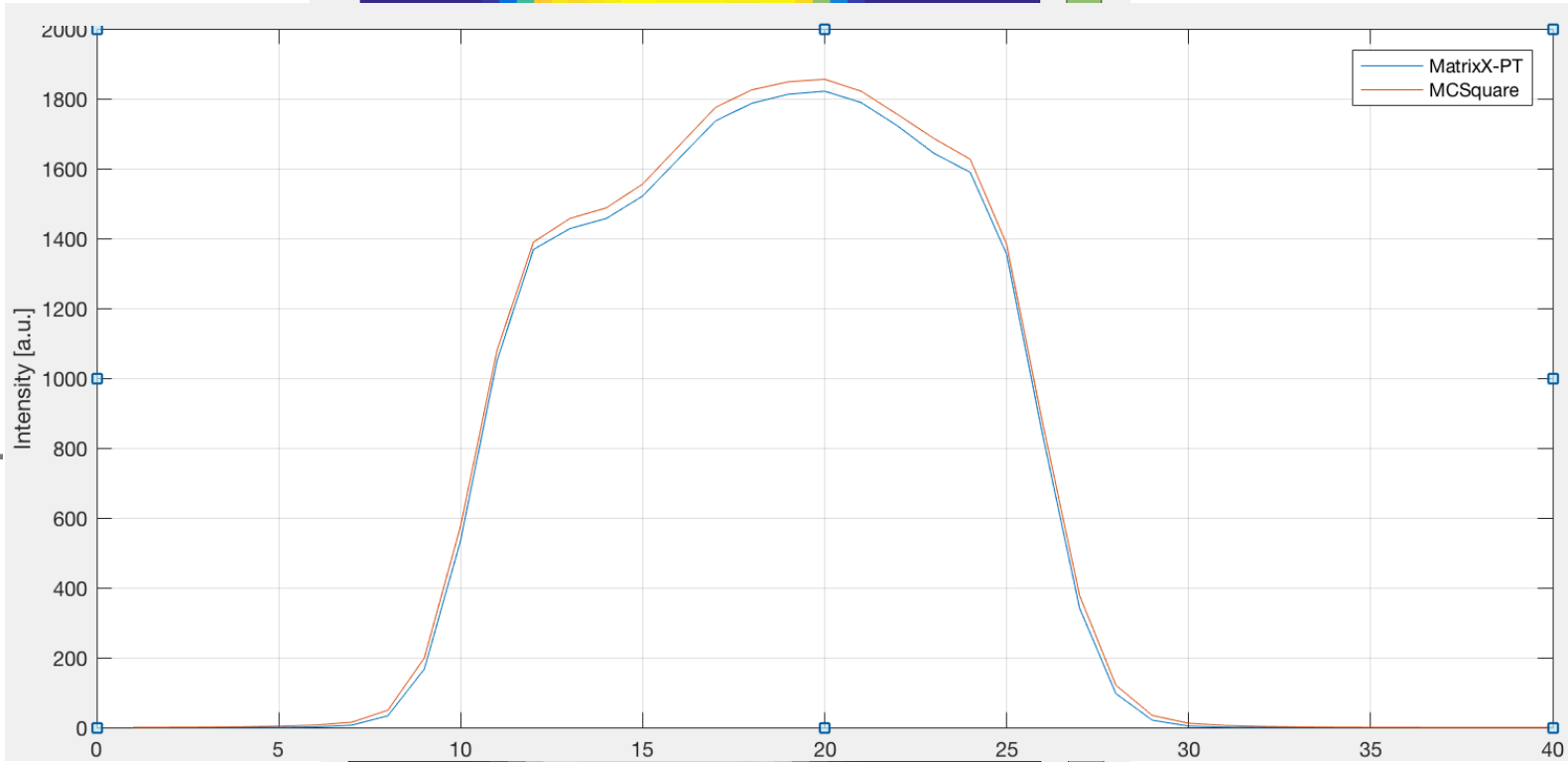
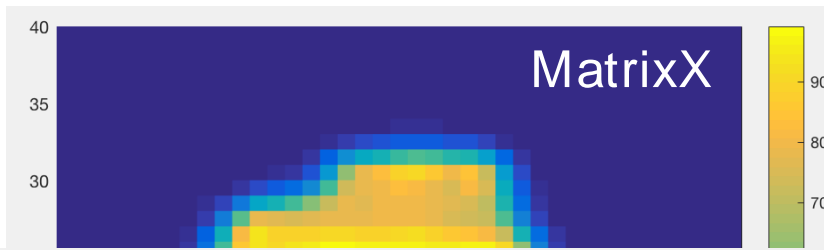
Experiment



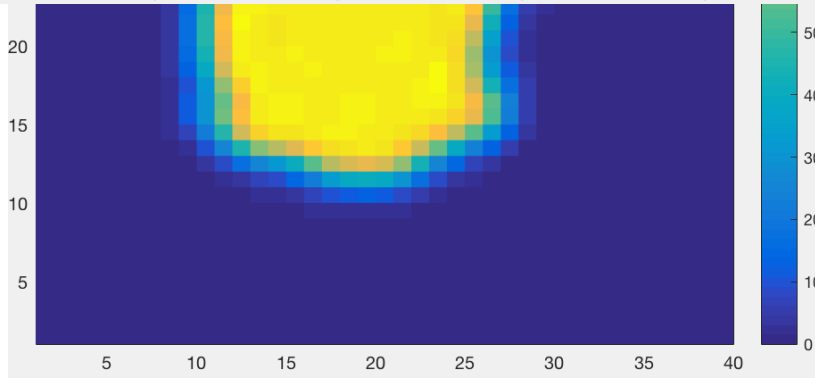
Dose calculation



Experiment



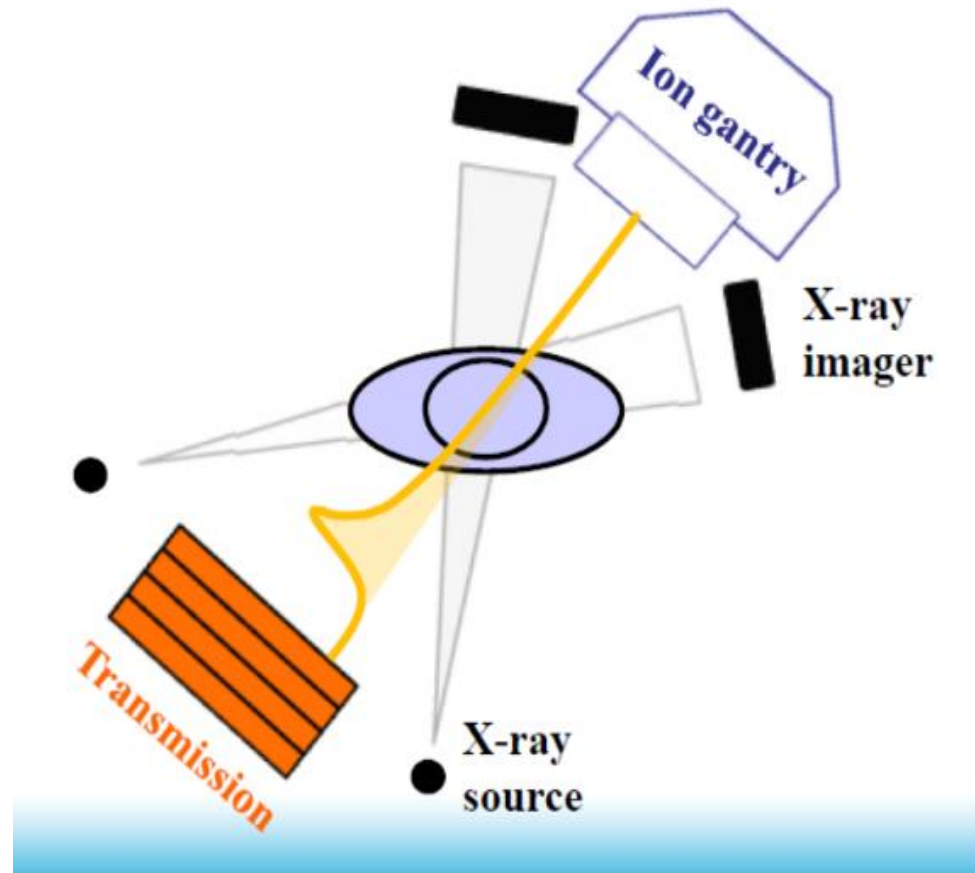
Dose calculation



In vivo range verification

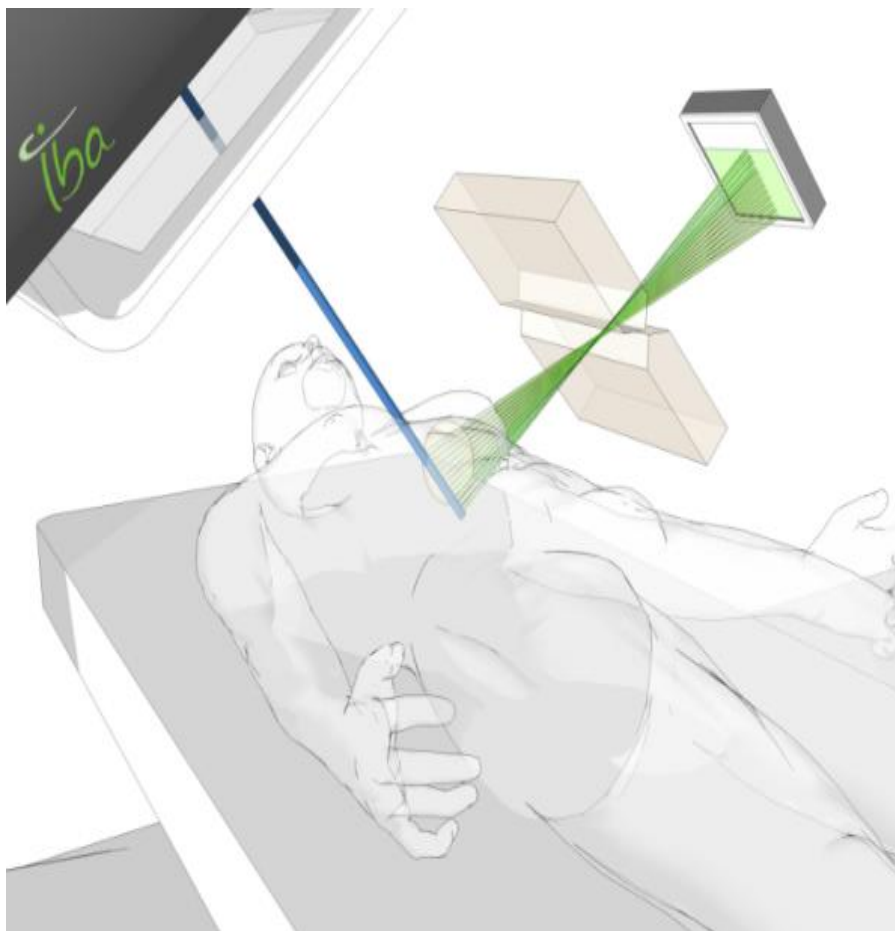
Proton radiography

- Most direct verification of the stopping power values of the tissue
- Compared to x-rays:
better contrast,
lower dose
but poorer spatial resolution
(due to MCS)
- Investigated since late 1960s
(Koehler 1968), but both
technical and financial
challenges



K. Parodi (2015).

Prompt gamma imaging (IBA solution)



Intended application:

Measurement of the position at which the **proton beam** stops in the patient in **PBS mode**

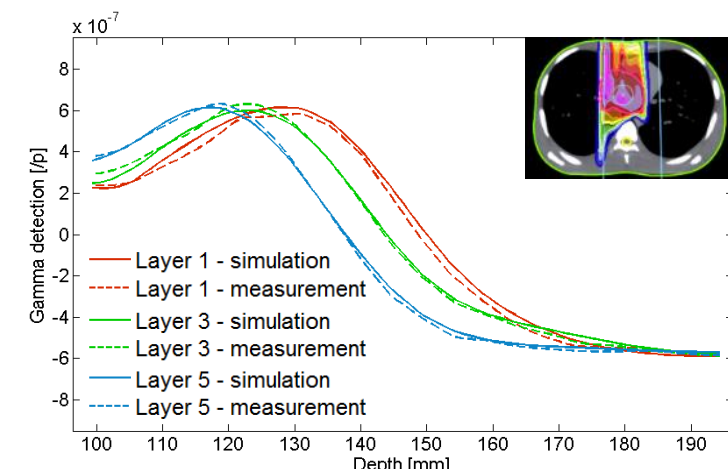
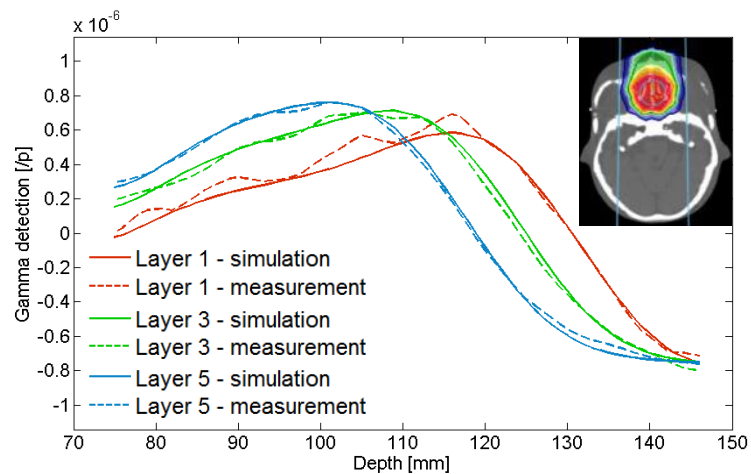
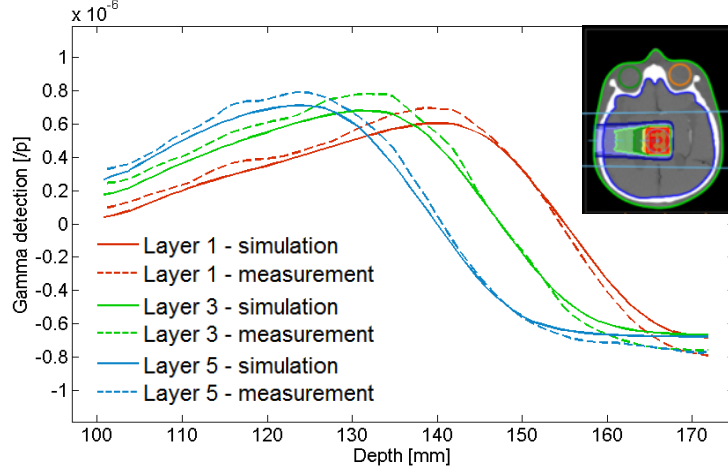
Target performance:

Instantaneous verification with an **accuracy better than half the distal margin** for a selection of critical spots

Points of attention:

Simplicity, cost effectiveness





First report of clinical usage of prompt gamma imaging for PBS

Title: Prompt gamma imaging for *in vivo* range verification of pencil beam scanning proton therapy

Running title: Prompt gamma imaging for *in vivo* proton range verification

Authors:

Yunhe Xie¹, Hassan Bentefour², Guillaume Janssens², Julien Smeets², François Vander Stappen², Lucian Hotoiu², Lingshu Yin¹, Derek Dolney¹, Stephen Avery¹, Fionnbarr O'Grady¹, Damien Prieels², James McDonough¹, Timothy D. Solberg³, Robert Lustig¹, Alexander Lin¹, Boon-Keng K. Teo¹

Affiliations:

¹Department of Radiation Oncology, University of Pennsylvania, Philadelphia, PA

²Advanced Technology Group, Ion Beam Applications SA, Louvain-la-Neuve, Belgium

³Department of Radiation Oncology, University of California, San Francisco, CA

Conclusions

- Proton therapy (and hadron therapy) is promising
- There are planning and verification tools to help fulfilling their potential
- Their integration in clinical practice requires multidisciplinary research and streamlined workflows

Thank you!