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# Determination of $\alpha_s$ from hadronic $\tau$ decays

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# Introduction

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Quantum ChromoDynamics at 37 years is in very good shape

- perturbative QCD
  - quantum corrections to higher orders at partonic level
  - quark and gluon distribution functions
- nonperturbative QCD
  - lattice (“ab initio” calculations)
  - effective theories: ChPT, SCET, gluon resummations ...
  - new ideas for the strong coupling regime: AdS/CFT ....

**QCD: The Modern View of the Strong Interactions**

Berlin, 4-9 October 2009

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# Introduction

- the strong coupling  $\alpha_s$ : fundamental parameter of QCD

$$\mathcal{L}_{\text{QCD}} = \sum_{n=1}^6 \bar{\psi}_n [i\gamma^\mu (\partial_\mu - ig_s \frac{\lambda^a}{2} G_\mu^a) - m_n] \psi_n - \frac{1}{4} \sum_{a=1}^8 G_{\mu\nu}^a G^{\mu\nu,a}$$

$$G_{\mu\nu}^a = \partial_\mu G_\nu^a - \partial_\nu G_\mu^a - g_s f_{abc} G_\mu^b G_\nu^c$$

$$\alpha_s = \frac{g_s^2}{4\pi}, \quad a_s = \frac{\alpha_s}{\pi}$$

- after renormalization:  $\alpha_s(\mu^2)$  becomes scale and scheme dependent

- scale dependence (RGE):  $\mu^2 da_s/d\mu^2 = \beta(a_s) = - \sum_{j \geq 0} \beta_j a_s^{j+2}$

$$\beta_0 = 9/4, \quad \beta_1 = 4 \quad \text{independent of RS} \quad n_f = 3$$

$$\beta_2 = 10.06, \quad \beta_3 = 47.23 \quad n_f = 3; \quad \overline{\text{MS}} \text{ scheme}$$

$\alpha_s(\mu^2) \sim 1/\ln \mu^2 \quad \text{for} \quad \mu^2 \rightarrow \infty; \quad \text{“asymptotic freedom”}$
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# Introduction

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- determination of  $\alpha_s$ : from all types of reactions that contain gluons
  - deep inelastic ep scattering,  $e^+e^-$  collisions,  $p(\bar{p})$ -p collisions,  $\tau$  hadronic decays,  $\Upsilon$  decays....
  - measure  $\alpha_s$  at various scales  $\Rightarrow$  evolve by RGE to a reference point

- PDG average (2008):

$$\alpha_s(M_Z^2) = 0.1176 \pm 0.0020$$

- World Average 2009 S. Bethke. arXiv:0908.1135 [hep-ph]

$$\alpha_s(M_Z^2) = 0.1184 \pm 0.0007$$

- impressive consistency; overall error mostly theoretical

# Introduction

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- Ex:  $\tau$  hadronic decays:

$$R_\tau = \frac{\Gamma[\tau \rightarrow \text{hadrons} + \nu_\tau]}{\Gamma[\tau \rightarrow \mu + \bar{\nu}_\mu + \nu_\tau]} = 3.640 \pm 0.010 \quad \text{LEP, CLEO}$$

- $R_\tau \sim S_{\text{EW}}(1 + \delta^{(0)})$

- $\delta^{(0)} \sim d_1 \alpha_s + d_2 \alpha_s^2 + d_3 \alpha_s^3 + d_4 \alpha_s^4 + \dots \quad \text{QCD correction}$

- precise determination of  $\alpha_s$  at a low scale ( $m_\tau = 1.78 \text{ GeV}$ )

- recent calculations in perturbative QCD up to fourth order

Baikov, Chetyrkin & Kuhn 2008

- precise experimental data (ALEPH analysis of the full LEP data)

# Introduction

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- Recent analyses based on two methods:

- Contour Improved Perturbation Theory (CIPT):

$$\alpha_s(m_\tau^2) = 0.344 \pm 0.009 \quad \text{Davier et al 2008}$$

- Fixed Order Perturbation Theory (FOPT):

$$\alpha_s(m_\tau^2) = 0.320^{+0.012}_{-0.007} \quad \text{Beneke & Jamin 2008}$$

- discrepancy of 0.024 between CIPT and FOPT
- largest systematic theoretical uncertainty in  $\alpha_s(m_\tau^2)$
- Aim of this talk: understand/remove this difference

# Outline

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- Standard CIPT and FOPT
- Higher orders in perturbative QCD
- Realistic models      Beneke & Jamin 2008
- New perturbation expansions      Caprini & Fischer 1999, 2000, 2002
- New CIPT and FOPT      Caprini & Fischer 2009
- Results and conclusions

# CIPT and FOPT

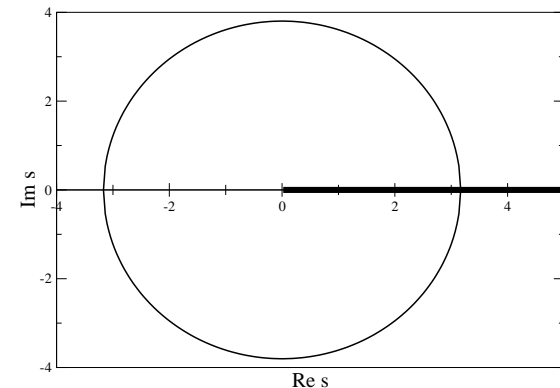
- $R_\tau \sim 1 + \delta^{(0)} = 12\pi \int_{4m_\pi^2}^{m_\tau^2} \frac{ds}{m_\tau^2} \left(1 - \frac{s}{m_\tau^2}\right)^2 \left(1 + 2\frac{s}{m_\tau^2}\right) \text{Im } \Pi(s)$

- $\Pi(s)$ : polarization function  $\sim i \int dx e^{ipx} \langle \Omega | T \{ J_\mu(x) J_\nu(0)^\dagger \} | \Omega \rangle$

- $\Pi(s)$  analytic in the cut s-plane

- Cauchy theorem:  $\Rightarrow$

$$\delta^{(0)} = \frac{1}{2\pi i} \oint_{|s|=m_\tau^2} \frac{ds}{s} \omega(s) \hat{D}(s)$$



- $\omega(s) = 1 - 2s/m_\tau^2 + 2(s/m_\tau^2)^3 - (s/m_\tau^2)^4$

- $\hat{D}(s) = -s \frac{d}{ds} \left[ \Pi(s) \right] - 1$ : reduced Adler function



# CIPT and FOPT

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- standard perturbation series

$$\hat{D}(s) = \sum_{n \geq 1} [K_n + \kappa_n(s)] (a_s(\mu^2))^n, \quad n_f = 3, \overline{MS}$$

$$K_1 = 1, K_2 = 1.64, K_3 = 6.37, K_4 = 49.08, K_5 \sim 283$$

$$\kappa_n(s) = \sum_{k=1}^n \gamma_{kn} \ln^k(-s/\mu^2)$$

- choose  $\mu^2 = m_\tau^2 \Rightarrow$  **FOPT**
- choose  $\mu^2 = -s \Rightarrow$  **CIPT** (renormalization-group improved series)

$$\hat{D}(s) = \sum_{n \geq 1} K_n (a_s(-s))^n$$

- expanding  $a_s(-s) = \sum c_k(-s/m_\tau^2) (\alpha_s(m_\tau^2))^k \Rightarrow$  **FOPT**

# CIPT and FOPT

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- $\alpha_s(m_\tau^2)$  determined from the equation

$$\delta_{\text{theor}}^{(0)} = \delta_{\text{phen}}^{(0)}$$

$$\delta_{\text{phen}}^{(0)} = 0.2042 \pm 0.0050$$

Beneke & Jamin 2008

- **FOPT:**  $\delta_{\text{theor}}^{(0)}$  contains explicitly  $\alpha_s(m_\tau^2)$ 
  - large imaginary parts of  $\ln^k(-s/m_\tau^2)$  for  $s = m_\tau^2 e^{i(\varphi-\pi)}$   $\Rightarrow$   
poor convergence
- **CIPT:**  $\alpha_s(-s)$  in terms of  $\alpha_s(m_\tau^2)$  by solving numerically the RGE
  - avoids large logs  $\Rightarrow$  in principle superior
  - but fails to describe the high-order behavior of the series

# High-order behavior

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- classes of Feynman diagrams  $\Rightarrow K_n \sim n!$
- the perturbation series is divergent — known for QED since 1952
- the series is usually interpreted as asymptotic Dyson 1952
  - ambiguity in recovering the function from its coefficients
- A possible summation — Laplace-Borel transform

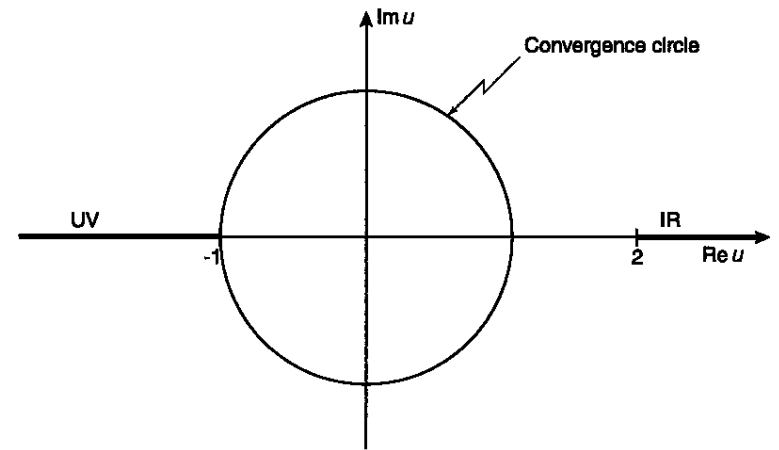
$$b_n = \frac{K_{n+1}}{\beta_0^n n!} \Rightarrow B(u) = \sum_{n=0}^{\infty} b_n u^n \Rightarrow \hat{D}(s) = \frac{1}{\beta_0} \int_0^{\infty} e^{-u/(\beta_0 a_s(s))} B(u) du$$

- if the series defining  $B(u)$  has a nonzero convergence radius
- and  $B(u)$  admits an analytic continuation regular for  $u > 0$
- $\Rightarrow \hat{D}(s)$  exists and is analytic in a certain region

# Borel plane in QCD

- $B(u)$  has two cuts on the real axis, for  $u \leq -1$  and  $u \geq 2$  (ultraviolet and infrared renormalons)

- $\sum_{n=0}^{\infty} b_n u^n$  converges in  $|u| < 1$



- near the first branch points

$$B(u) \sim \frac{r_1}{(1+u)^{\gamma_1}}, \quad B(u) \sim \frac{r_2}{(1-u/2)^{\gamma_2}}$$

- $\gamma_1$  and  $\gamma_2$  known    Mueller 1985, Beneke, Braun & Kivel 1997

- Laplace-Borel integral not defined  $\Rightarrow \hat{D}(s)$  not Borel summable

- $\Rightarrow$  adopt a prescription, ex. the Principal Value

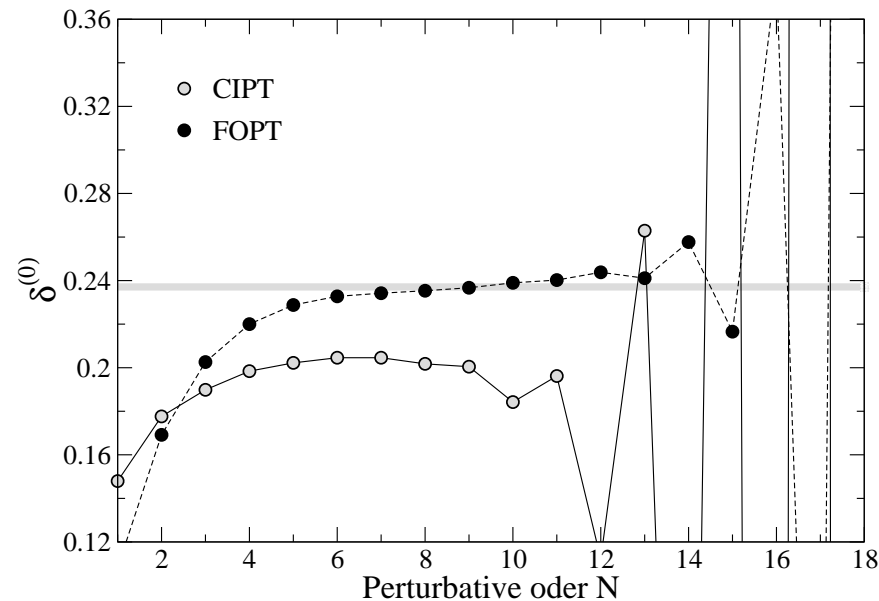
$$\hat{D}(s) = \frac{1}{\beta_0} \text{PV} \int_0^{\infty} e^{-u/(\beta_0 a_s(s))} B(u) du$$

- toy models which reproduces the low and high perturbative orders of the Adler function in massless QCD
- the “exact” Adler function is defined as:

$$\hat{D}(s) = \frac{1}{\beta_0} \text{PV} \int_0^\infty e^{-u/(\beta_0 a_s(s))} B_{\text{BJ}}(u) du$$

- $a_s(s)$  calculated exactly from RGE with  $\beta$  function with four Taylor terms known for QCD
- $B_{\text{BJ}}(u)$ : a parametrization consisting of UV and IR renormalons with specified branch point behaviour, multiplied by polynomials
- parameters adjusted such as to reproduce the first 5 coefficients  $K_n$ , known from Feynman diagrams

# CIPT and FOPT for the B&J models



Beneke & Jamin 2008

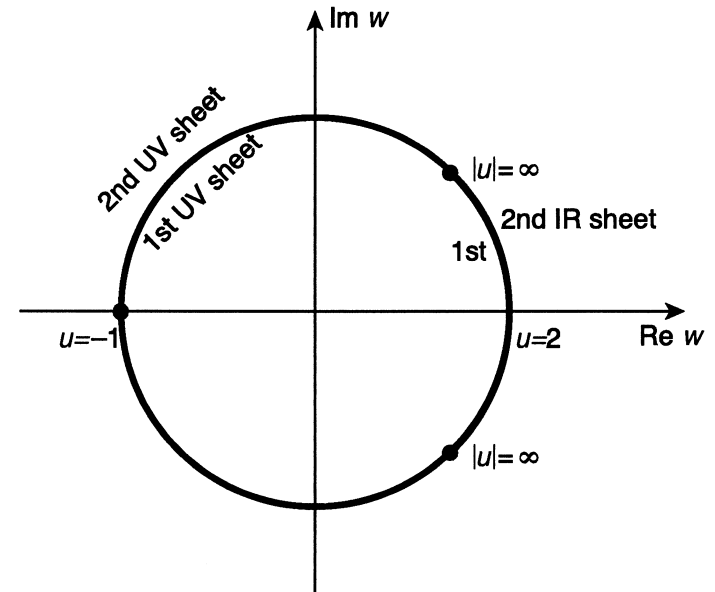
- $\delta^{(0)}$  calculated with the standard CIPT and FOPT as a function of the order up to which the series have been summed, for  $\alpha_s(m_\tau^2) = 0.34$
- horizontal band: the exact value
  - CIPT fails to approximate the true function Beneke & Jamin 2008

# Basic idea of a new expansion: analytic continuation

- the series  $B(u) = \sum_{n=0}^{\infty} b_n u^n$ 
  - converges only in  $|u| < 1$
- "Optimal" conformal mapping:

$$w(u) = \frac{\sqrt{1+u} - \sqrt{1-u}/2}{\sqrt{1+u} + \sqrt{1-u}/2}$$

- maps the Borel cut u-plane onto  $|w| < 1$ ;  $w(0) = 0$
- the series  $B(u) = \sum_n d_n w^n$ 
  - converges in the whole u-plane
  - best convergence for interior points



Ciulli & Fischer 1961

# New perturbative expansions

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● insert  $B(u) = \sum_n d_n w^n$  in the Laplace-Borel transform

● suggests the definition of the new expansion Caprini & Fischer 1999

$$\hat{D}(s) = \sum_n d_n W_n(s)$$

$$W_n(s) = \frac{1}{\beta_0} \text{PV} \int_0^{\infty} e^{-u/(\beta_0 a_s(s))} w^n du$$

● improved expansion: include the singular behaviour at the first branch-points

$$\hat{D}(s) = \sum_n c_n \mathcal{W}_n(s)$$

$$\mathcal{W}_n(s) = \frac{1}{\beta_0} \text{PV} \int_0^{\infty} e^{-u/(\beta_0 a_s(s))} \frac{w^n}{(1+w)^{2\gamma_1} (1-w)^{2\gamma_2}} du$$



# Properties of the new expansion

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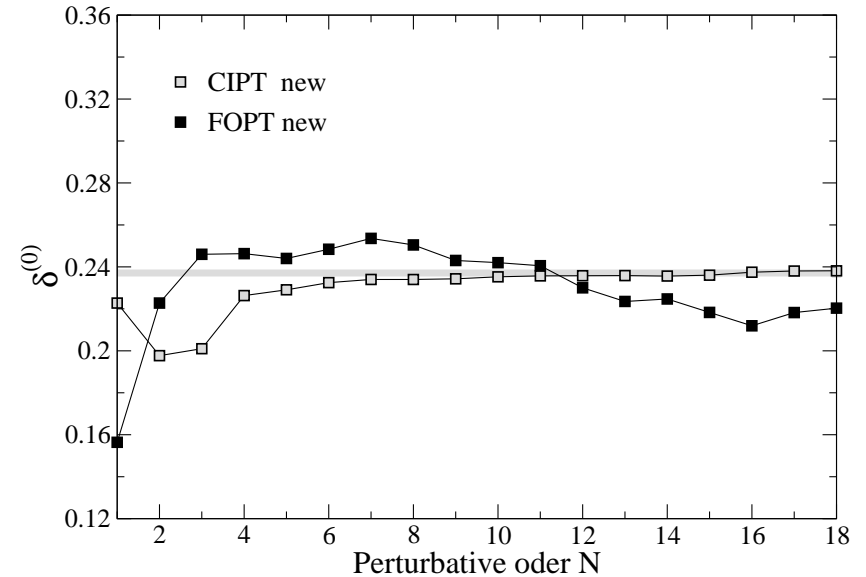
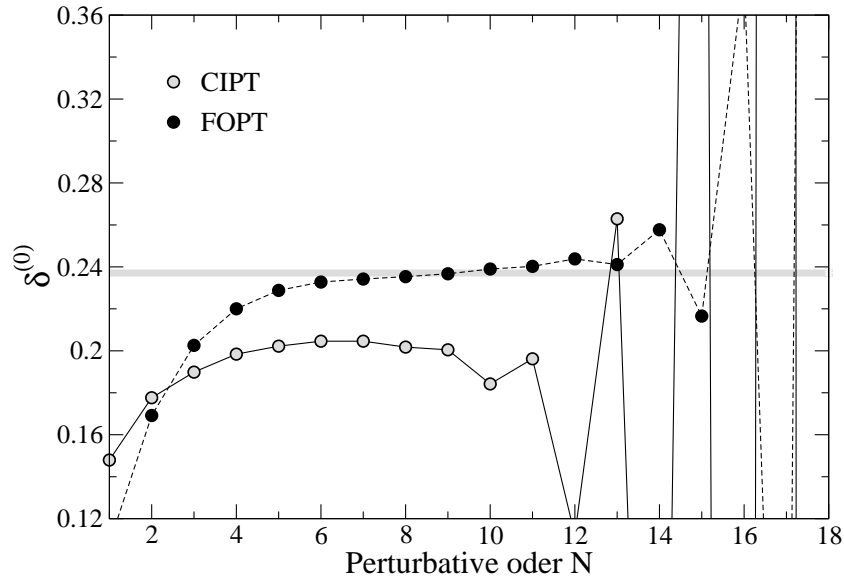
- when reexpanded in powers of  $a_s(s)$ , it reproduces the coefficients  $K_n$  known from Feynman diagrams
- the expansion  $W_n(s) = \sum_k \omega_{nk} (a_s(s))^k$  of each  $W_n(s)$  in powers of the coupling is divergent, much like the expansion of  $\hat{D}(s)$  itself
- under certain conditions, the expansion

$$\hat{D}(s) = \sum_n d_n W_n(s)$$

is convergent in a domain of the energy  $s$ -plane

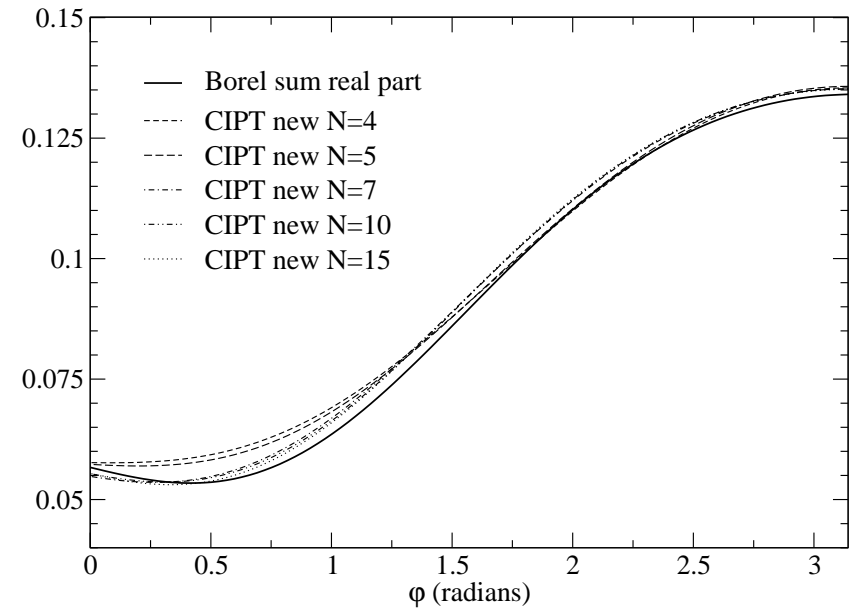
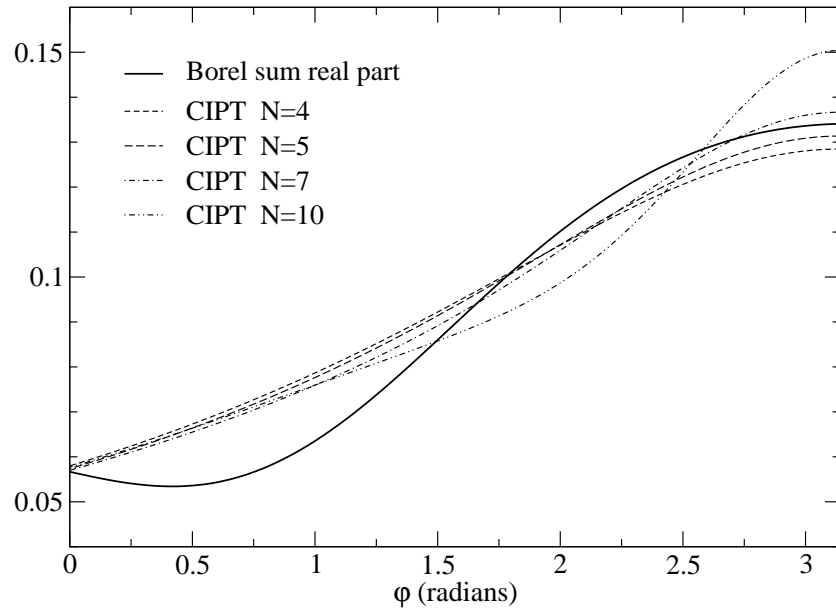
Caprini & Fischer 2000, 2002

# Standard and new expansions for the B&J models



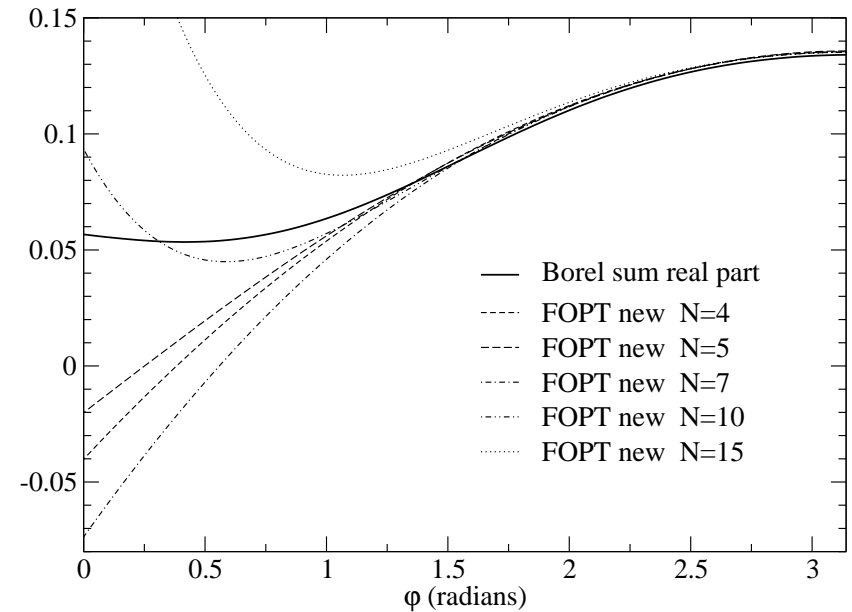
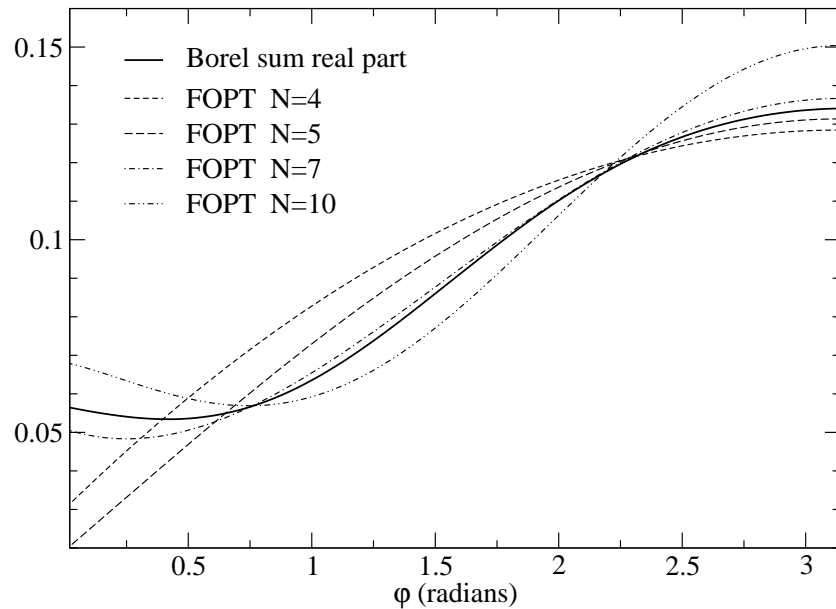
- $\delta^{(0)}$  for the standard CIPT and FOPT (left) and the new CIPT and FOPT (right), for  $\alpha_s(m_\tau^2) = 0.34$
- horizontal band: the exact value

# Standard and new CIPT for the B&J models



- Real part of  $\hat{D}(s)$  for  $s = m_\tau^2 e^{i\varphi}$ , calculated with the standard CIPT (left) and the new CIPT (right)
- very good local approximations with the new CIPT

# Standard and new FOPT for the B&J models



● Real part of  $\hat{D}(s)$  for  $s = m_\tau^2 e^{i\varphi}$

- standard FOPT not very good locally
- new FOPT very good near the euclidian axis; shows the poor convergence of the expansion of  $\alpha_s(s)$  on the circle

## Remarks on the method

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- the truncated expansion  $\hat{D}(s) = \sum_{n=0}^N c_n \mathcal{W}_n(s)$ , expanded in powers of  $a_s(s)$ , contains an infinite number of terms
  - $N = 3$ , input  $K_1, K_2, K_3, K_4$ 
    - $\Rightarrow K_5 = 256$  close to  $K_5 = 283$
  - $N = 4$ , input  $K_1, K_2, K_3, K_4, K_5$ 
    - $\Rightarrow K_6 = 2929, K_7 = 1.73 \cdot 10^4$  ..... compared to  $K_6 = 3275, K_7 = 1.88 \cdot 10^4$  in the B&J model
- $\Rightarrow$  Prediction of higher order terms !

# Conclusions from the physical models

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- the standard expansions exhibit large oscillations for  $N > 10$
- the standard CIPT fails to reproduce the model at low orders
- the results of standard FOPT are not precise locally; the good values of  $\delta^{(0)}$  are due to compensations of terms
- the new CIPT gives a precise description which improves by increasing the truncation order (checked up to  $N = 36$ )
- the new FOPT gives a precise description in the regions where the effect of the imaginary logarithms is small

# Determination of $\alpha_s$

- Solve the equation

$$\delta_{\text{theor}}^{(0)} = \delta_{\text{phen}}^{(0)}$$

with  $\delta_{\text{phen}}^{(0)} = 0.2042 \pm 0.0050$  Beneke & Jamin 2008

- new CIPT:

$$\alpha_s(m_\tau^2) = 0.3198 \pm 0.0042_{\text{exp}} \begin{matrix} +0.0099 \\ -0.0076 \end{matrix} K_5 \begin{matrix} +0.0015 \\ -0.0019 \end{matrix} \text{scale}$$

- new FOPT:

$$\alpha_s(m_\tau^2) = 0.3113 \pm 0.0038_{\text{exp}} \pm 0.0013_{K_5} \begin{matrix} +0.0103 \\ -0.0006 \end{matrix} \text{scale}$$

- the difference between CIPT and FOPT reduces to 0.009

- the optimal expansion:  $\Rightarrow$

$$\alpha_s(m_\tau^2) = 0.320 \begin{matrix} +0.011 \\ -0.009 \end{matrix} \Rightarrow \alpha_s(M_Z^2) = 0.1180 \begin{matrix} +0.0015 \\ -0.0010 \end{matrix}$$

# Conclusions

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- QCD is a consistent theory reaching the level of precise predictions
- $\alpha_s$  determinations provide a solid test of QCD
- the perturbative expansion of QCD can be improved by including information about the divergent character of the series
  - clarified a theoretical discrepancy in the determination of  $\alpha_s$  from  $\tau$  decays
  - promising tool for the forthcoming calculations in perturbative QCD