

# Lepton Flavour Universality tests using semitauonic decays at LHCb

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on behalf of the LHCb collaboration

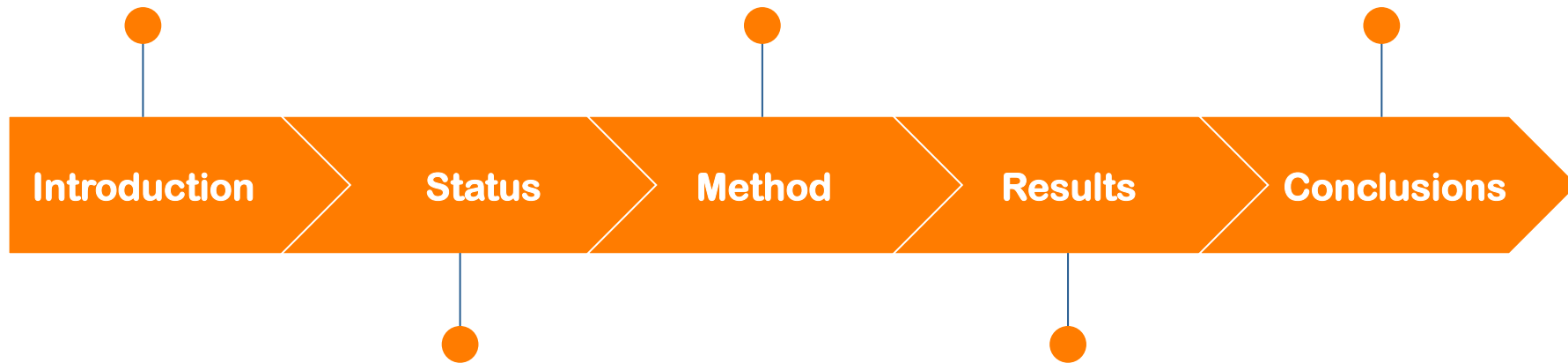
Universidade de Santiago de Compostela

CERN LHC seminar, 06/06/2017

Standard Model (CKM)  
Lepton universality (LFU)  
 $R(D^{(*)})$  and BSM physics

The LHCb experiment  
Analysis method  
Control samples

Prospects  
Conclusions

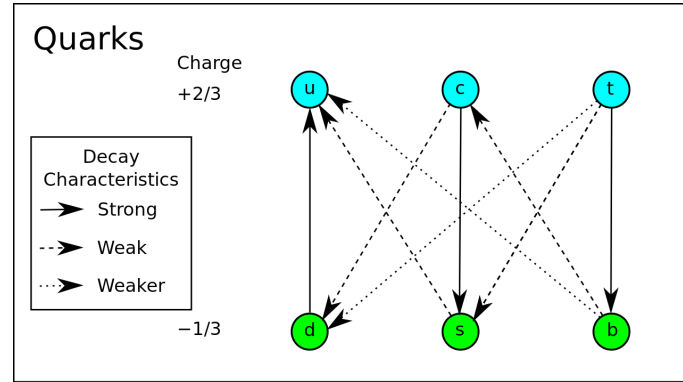
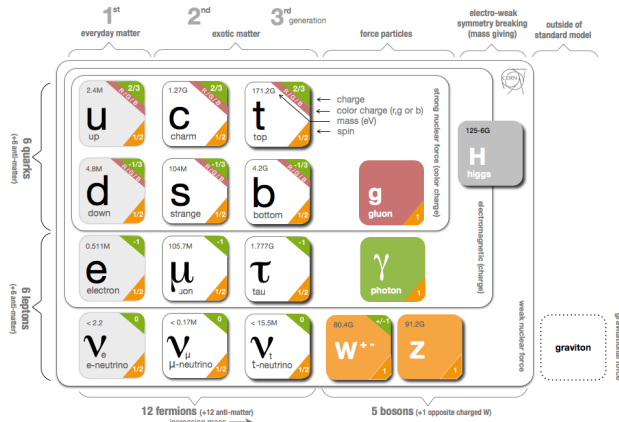


Previous  $R(D^{(*)})$  measurements  
World average

Fit results  
 $R(D^{(*)})$  results  
Cross-checks  
Systematic uncertainties

# Standard Model

# CKM mechanism



- In the SM, quarks and leptons are divided in **3 families** (or generations).

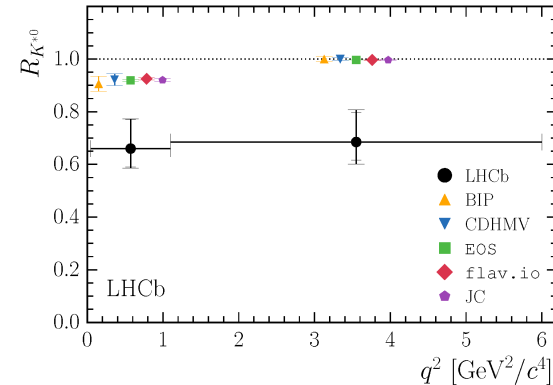
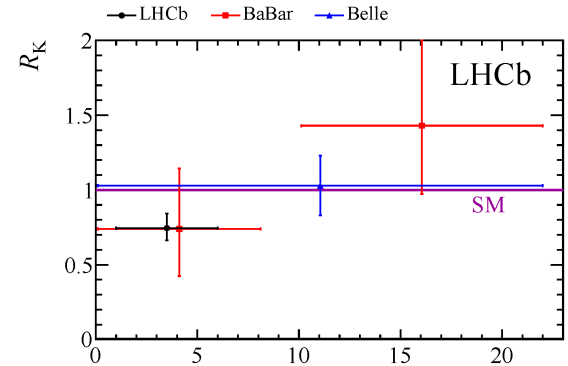
- Transitions between quarks (i.e **b→c**) of different flavour mediated by a W boson.
- Transitions between quarks of different families suppressed ( $|V_{tb}| \sim 1$ ,  $|V_{cb}| \sim 0.04$ ,  $|V_{ub}| \sim 0.004$ ).

# Lepton Flavour Universality

- In the SM, charged lepton flavours are identical copies of one another:
  - Amplitudes for processes involving  $e, \mu, \tau$  must be identical up to effects depending on lepton mass.
  - Lepton universality in the SM might be broken by mass-dependent couplings.**
- Observation of violations of lepton universality would be a clear sign for new physics.**
- Searches have been underway for violations in a number of different systems. For instance  $R_K$  and  $R_{K^*}$ :

$$R_{K^{(*)}} = \frac{BR(B \rightarrow K^{(*)} \mu \mu)}{BR(B \rightarrow K^{(*)} e e)}$$

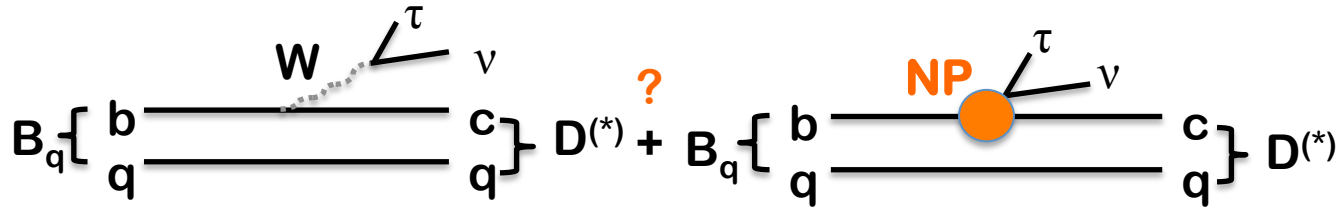
- A lot of interest in this area generated by  $b \rightarrow s \ell \ell$  LHCb measurements. [\[PRL 113, 151601 \(2014\)\]](#) [\[arXiv:1705.05802\]](#)



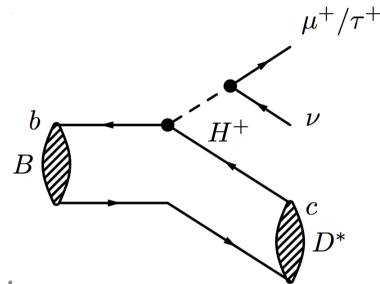
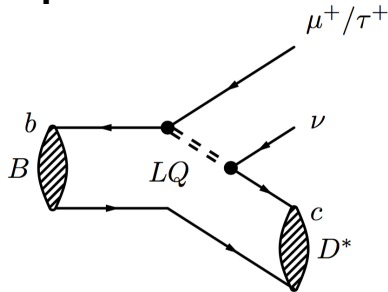
[S. Bifani LHC seminar, 18/04/2017]

# The $B^0 \rightarrow D^{*-} \tau^+ \nu_\tau$ decay

- **Tree level transition** mediated by a W in the SM:

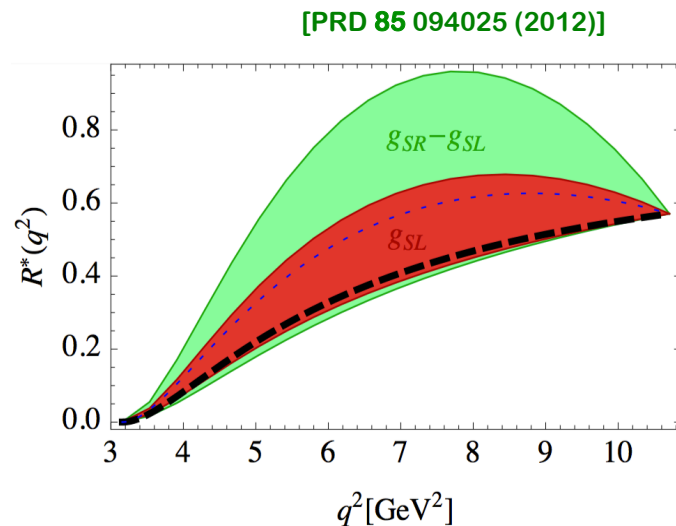


- New physics (NP) could couple only to the 3<sup>th</sup> generation ( $\tau$ ).
- Comparison between semitauonic ( $\tau$ ) and semimuonic ( $\mu$ ) decays sensitive to NP.
- If NP present  $\rightarrow$  **Modified BR and angular distributions.**



# Predictions on $R(D^*)$

- What we want to measure:
  - $R(D^*) = \text{BR}(B^0 \rightarrow D^* \tau^+ \nu) / \text{BR}(B^0 \rightarrow D^* \mu^+ \nu)$
- Very clean SM prediction due to cancellation of  $B \rightarrow D^*$  form-factor uncertainties.
  - $R_{\text{SM}}(D^*) = 0.252 \pm 0.003$
- Deviation from unity due to different  $\mu/\tau$  masses (available phase space).
- $R(D^*)$  enhanced/reduced in many NP scenarios (2HDM [Z.Phys. C67 (1995) 321-326] and leptoquarks [Z.Phys.C61:613-644,1994])

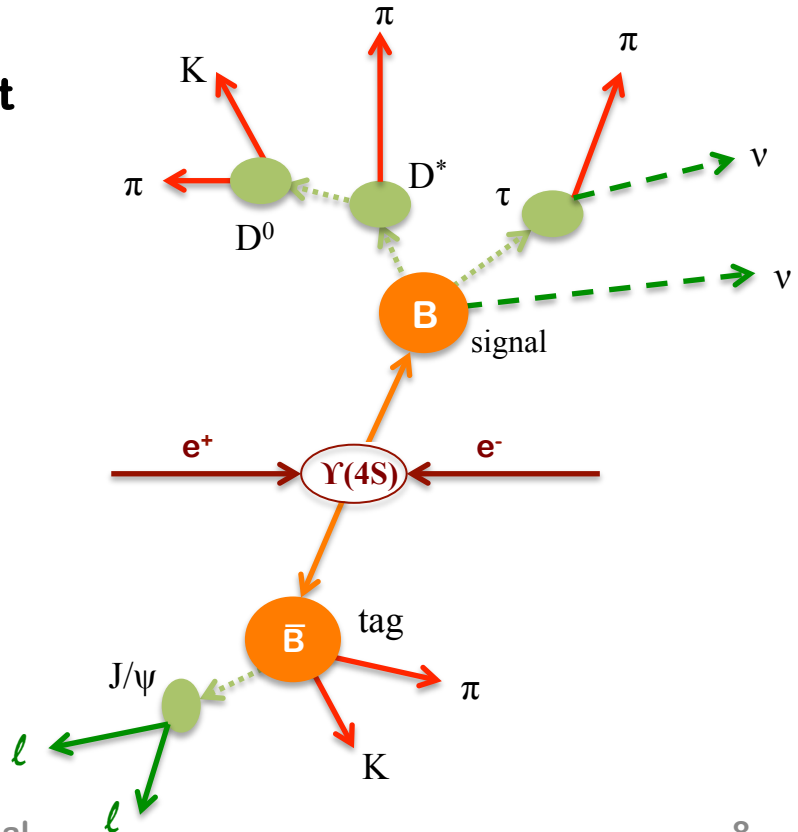


$R(D^*)$  in SM and 2  
NP scenarios.

# Experimental status

# $R(D^{(*)})$ measurements at the B-factories

- $e^+e^-$  collisions producing  $\Upsilon(4S) \rightarrow B\bar{B}$ .
- Using fully reconstructed B-tag and a constraint to the  $\Upsilon(4S)$  mass, possible to measure the **momentum** of the B-signal.
- Then, the **missing mass** (neutrinos) can be measured with high precision.
- At B-factories, semitauonic B decays studied using:
  - **Leptonic:**  $\tau \rightarrow \mu\nu\nu$  and  $\tau \rightarrow e\nu\nu$ .  $R(D^{(*)})$  measured with respect to  $[\text{BR}(B \rightarrow D^{(*)}\mu\nu) + \text{BR}(B \rightarrow D^{(*)}e\nu)]/2$ .
  - **Hadronic:**  $\tau \rightarrow \pi\nu$  and  $\tau \rightarrow \rho\nu$ .
  - **Hadronic and semileptonic B-tag.**





# BaBar measurement

[Phys. Rev. D 88, 072012 (2013)]

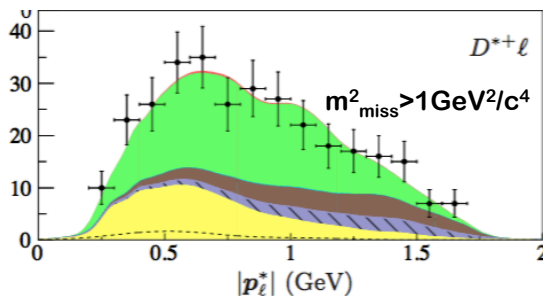
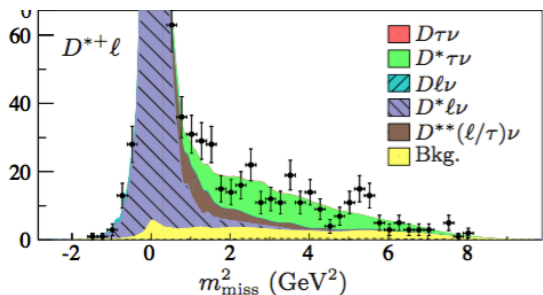
- Use of  $\tau \rightarrow \mu \nu \nu$  and  $\tau \rightarrow e \nu \nu$  to reconstruct the  $\tau$  lepton.
- Simultaneous analysis  $R(D^*)$  vs  $R(D)$  using  $B^0 \rightarrow D^{*-} \tau \nu$ ,  $B^+ \rightarrow D^{*0} \tau \nu$ ,  $B^0 \rightarrow D^+ \tau \nu$ ,  $B^+ \rightarrow D^0 \tau \nu$ .
- Unbinned maximum likelihood fit to  $m_{\text{miss}}^2$  and  $|p_\ell^*|$ :
  - $R(D) = 0.440 \pm 0.058 \pm 0.042$  ( $2.0\sigma$  from SM).
  - $R(D^*) = 0.332 \pm 0.024 \pm 0.018$  ( $2.7\sigma$  from SM).
  - Combination at  $3.4\sigma$  above SM.

$$m_{\text{miss}}^2 = (p_B - p_{D^*} - p_\ell)^2 = m_{3\nu}^2$$

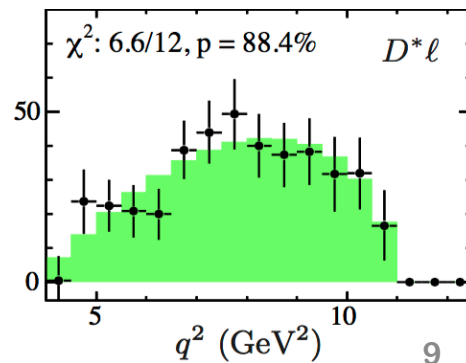
$|p_\ell^*|$ : Lepton ( $e/\mu$ ) momentum in B rest frame.

$$q^2 = (p_B - p_{D^*})^2 = m_{W^*}^2$$

Fit projections on  $m_{\text{miss}}^2$  and  $|p_\ell^*|$ :



Measured  $q^2$  distributions ( $m_{\text{miss}}^2 > 1.5 \text{ GeV}^2/c^4$ ) vs SM:



06/06/17

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# Belle measurements

- $\tau \rightarrow \mu \nu \nu$  and  $\tau \rightarrow e \nu \nu$ , hadronic B-tag [Phys. Rev. D 92, 072014

(2015)]:

- $R(D^*) = 0.293 \pm 0.038(\text{stat}) \pm 0.015(\text{syst})$
- $R(D) = 0.375 \pm 0.064(\text{stat}) \pm 0.026(\text{syst})$

- $\tau \rightarrow \mu \nu \nu$  and  $\tau \rightarrow e \nu \nu$ , semileptonic B-tag [Phys. Rev. D 94,

072007 (2016)]:

- $R(D^*) = 0.302 \pm 0.030(\text{stat}) \pm 0.011(\text{syst})$

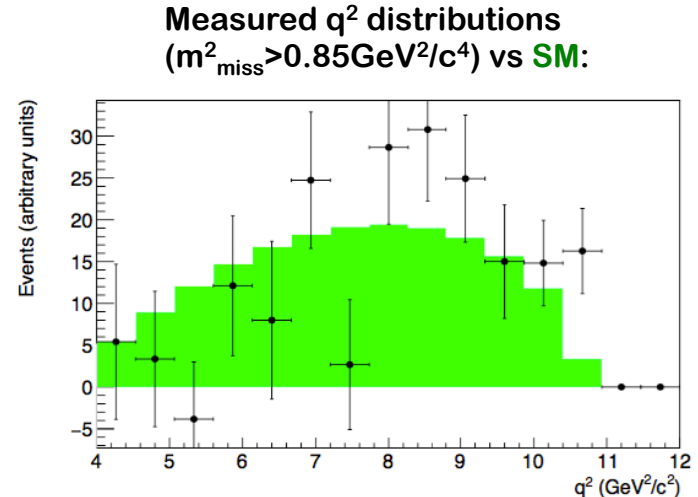
- $\tau \rightarrow \pi \nu$  and  $\tau \rightarrow \rho \nu$ , [Phys. Rev. Lett. 118, 211801 (2017)]:

- $R(D^*) = 0.270 \pm 0.035(\text{stat})^{+0.028}_{-0.025}(\text{syst})$
- $P_\tau(D^*) = -0.38 \pm 0.51(\text{stat})^{+0.21}_{-0.16}(\text{syst})$

- All  $R(D^{(*)})$  measurements consistent but above SM.

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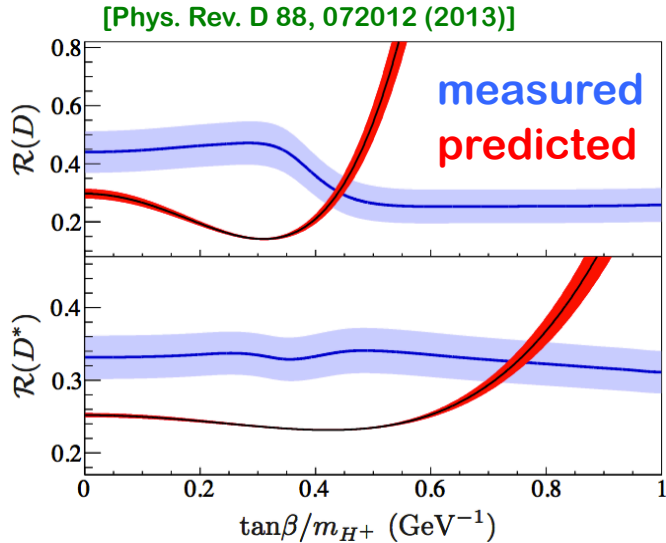


[Phys. Rev. D 92, 072014 (2015)]

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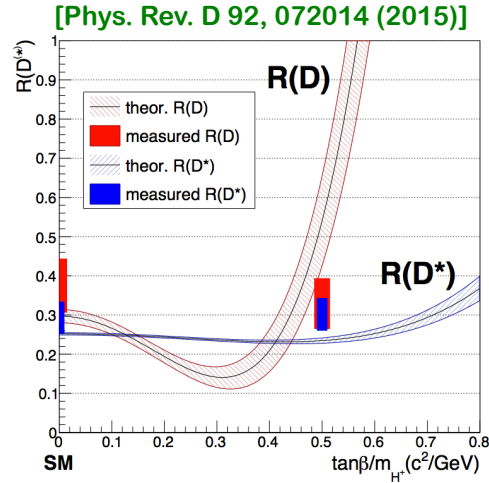
# B-factories results: interpretation

BaBar:



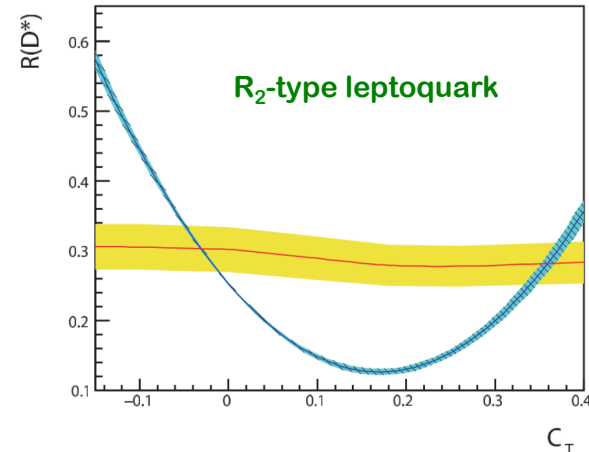
BaBar measurement disfavors Type-II 2HDM.

Belle:



Compatible with Type-II 2HDM in the region around  $\tan\beta/m_{H^+} = 0.5$  c $^2$ /GeV

[Phys. Rev. D 94, 072007 (2016)]

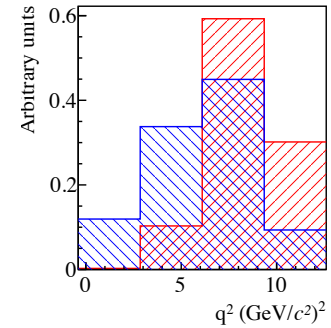
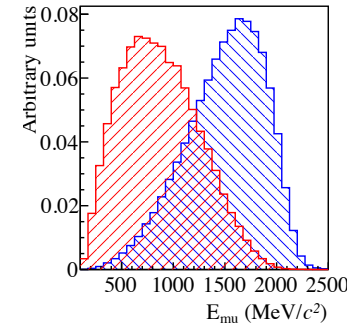
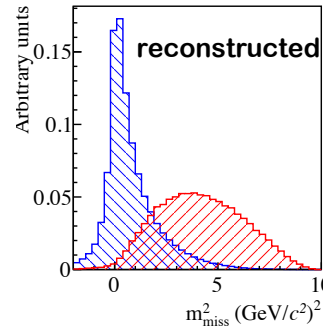
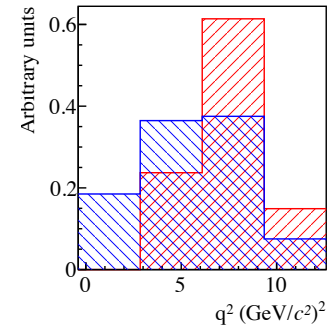
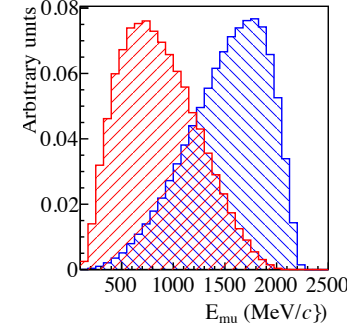
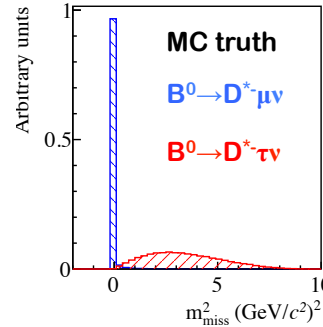


Studied 2 types of leptoquark models. Results allow additional contributions from scalar and vector operators.

# LHCb muonic $R(D^*)$

[Phys. Rev. Lett. 115, 111803 (2015)]

- First measurement of  $R(D^*)$  in a hadron collider.
- $\tau$  reconstructed with  $\tau \rightarrow \mu\nu\nu$ .
- Difficult, due to missing kinematic constraints ( $Y(4S)$ ).
- $B$  boost along  $z \gg$  boost of decay products in  $B$  rest frame.
- The  $B$  momentum approximated by:
 
$$(\gamma\beta_z)_B = (\gamma\beta)_{D^*\mu} \Rightarrow (p_z)_B = \frac{m_B}{m(D^*\mu)} (p_z)_{D^*\mu}$$
- **18% resolution on  $p_B$**  good enough to preserve signal and background discrimination in  $m_{\text{miss}}^2$ ,  $E_\mu^*$  and  $q^2$ .



[LHCb-PAPER-2015-025]

# LHCb muonic $R(D^*)$

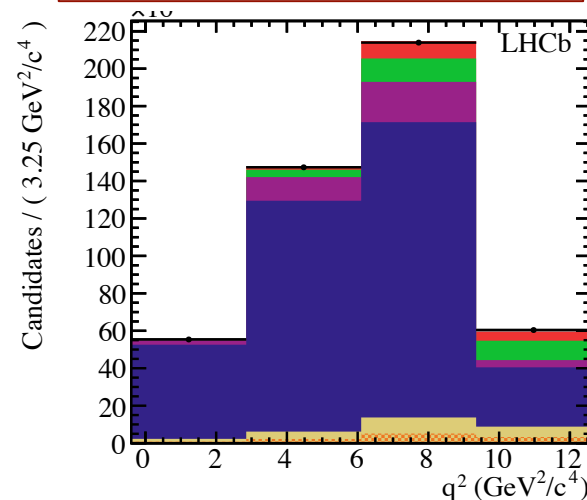
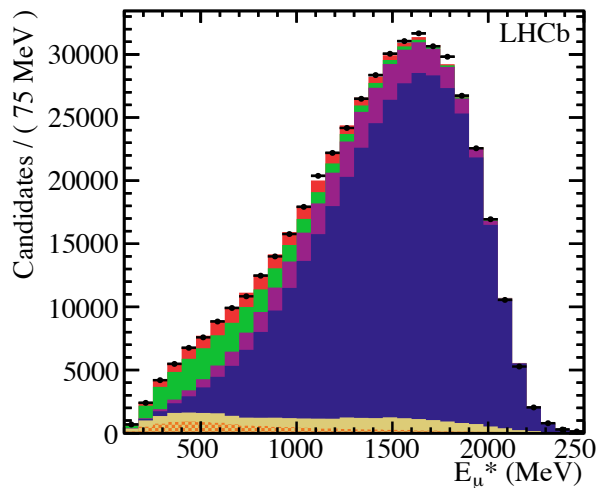
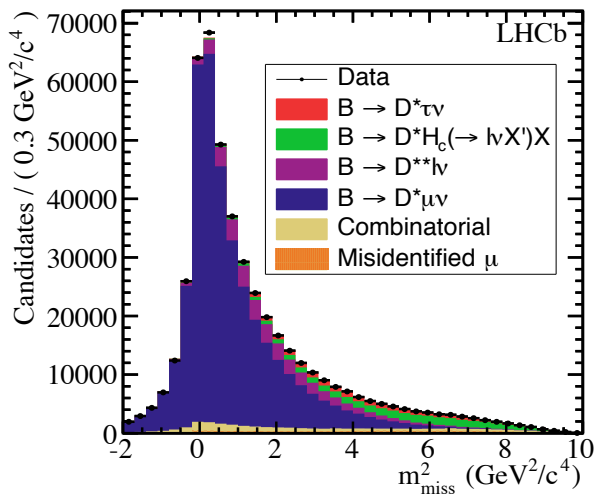
[Phys. Rev. Lett. 115, 111803 (2015)]

- $R(D^*)$ : fit parameter obtained from a **3-dimensional** template fit to  $m_{\text{miss}}^2$ ,  $E_{\mu}^*$  and  $q^2$ :
  - $R(D^*) = 0.336 \pm 0.027 \pm 0.030$
- Result is  $2.1\sigma$  above SM.

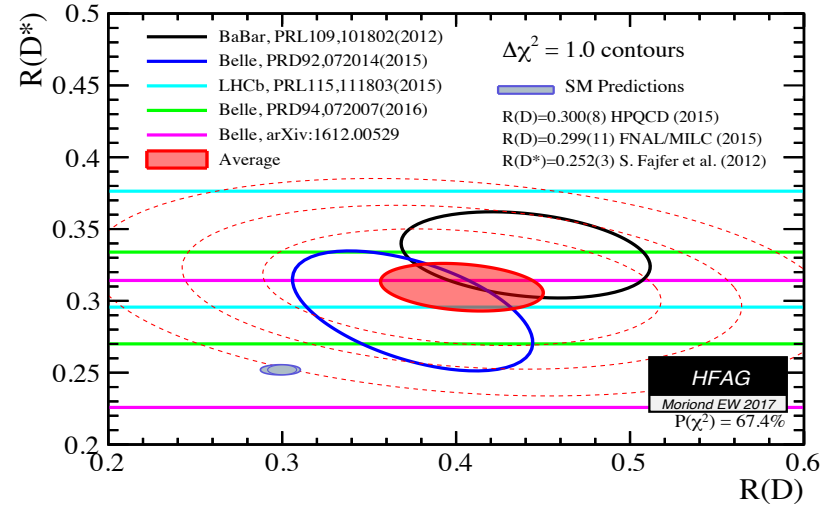
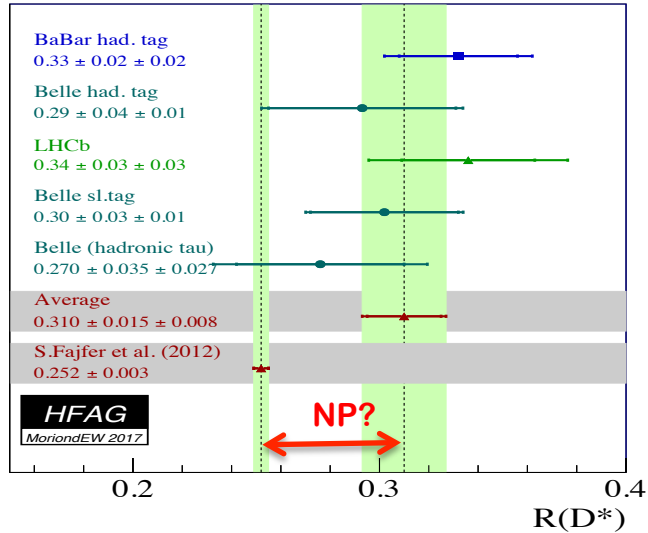
$$m_{\text{miss}}^2 = (p_B - p_{D^*} - p_{\mu})^2 = m_{3\nu}^2$$

$E_{\mu}^*$ : muon energy in B rest frame.

$$q^2 = (p_B - p_{D^*})^2 = m_{W^*}^2$$



# R(D<sup>(\*)</sup>) status



- $R(D^*)$  in tension with SM at  $3.4\sigma$  level.
- $R(D)$  and  $R(D^*)$  combination in tension with SM at the level of  $3.9\sigma$ .

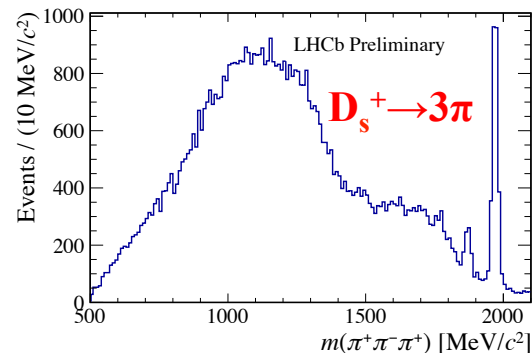
# Measuring $R(D^*)$ using 3-prong $\tau^- \rightarrow \pi^- \pi^+ \pi^- (\pi^0) \nu$ decays

LHCb-PAPER-2017-017, in preparation

# Features of this analysis

- $\tau$  lepton reconstructed using the  $\tau \rightarrow \pi^- \pi^+ \pi^- (\pi^0) \nu_\tau$  decay mode.
- A semileptonic decay without charged leptons in final state (pions and kaons).
- **Zero background** from normal **semileptonic** decays ( $B^0 \rightarrow D^{*-} \mu^+ \nu_\mu X$ ).
- In this analysis, it is the background ( $B \rightarrow D^{*-} DX$ ) that leads to nice mass peaks and not the signal. This provides key handle to control the various backgrounds.
- **Only 1 neutrino** emitted at the  $\tau$  vertex ( $\tau^- \rightarrow \pi^- \pi^+ \pi^- (\pi^0) \nu_\tau$  vs  $\tau^- \rightarrow \mu^- \nu_\mu \nu_\tau$ ). Fit variables can be reconstructed with reasonable precision.

$\tau$ decay mode	BR (%) [PDG-2017]
$\tau^- \rightarrow \mu^- \nu_\mu \nu_\tau$	$17.39 \pm 0.04$
$\tau^- \rightarrow e^- \nu_e \nu_\tau$	$17.82 \pm 0.04$
$\tau^- \rightarrow \pi^- \pi^+ \pi^- \nu_\tau$	<b><math>9.31 \pm 0.05</math></b>
$\tau^- \rightarrow \pi^- \pi^+ \pi^- \pi^0 \nu_\tau$	<b><math>4.62 \pm 0.05</math></b>
$\tau^- \rightarrow \pi^- \nu_\tau$	$10.82 \pm 0.05$
$\tau^- \rightarrow \rho^- \nu_\tau$	$25.49 \pm 0.09$





# Method for measuring $R(D^*)$

- What we measure:

$$K_{had}(D^*) = \frac{BR(B^0 \rightarrow D^{*-} \tau^+ \nu_\tau)}{BR(B^0 \rightarrow D^{*-} \pi^+ \pi^- \pi^+)} = \frac{N(B^0 \rightarrow D^{*-} \tau^+ \nu_\tau)}{N(B^0 \rightarrow D^{*-} \pi^+ \pi^- \pi^+)} \times \frac{1}{BR(\tau^+ \rightarrow \pi^+ \pi^- \pi^+ (\pi^0) \bar{\nu}_\tau)} \times \frac{\varepsilon(B^0 \rightarrow D^{*-} \pi^+ \pi^- \pi^+)}{\varepsilon(B^0 \rightarrow D^{*-} \tau^+ \nu_\tau)}$$

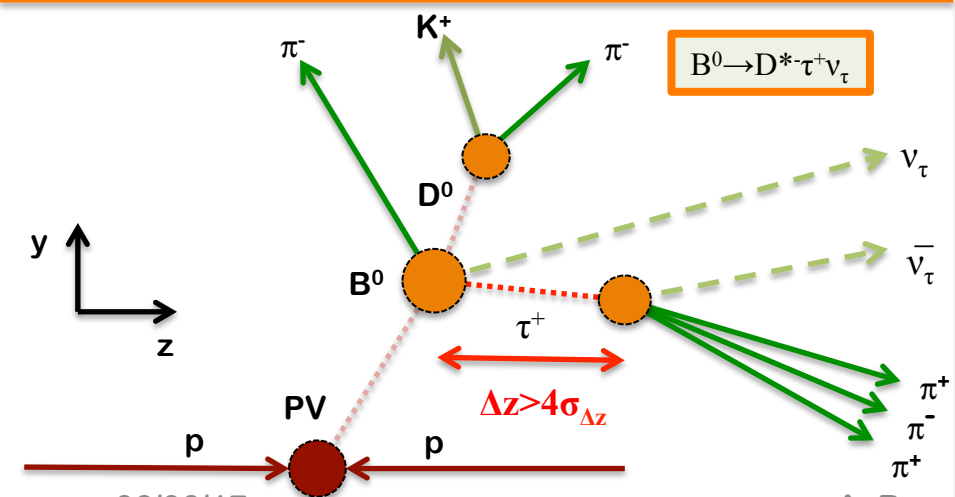
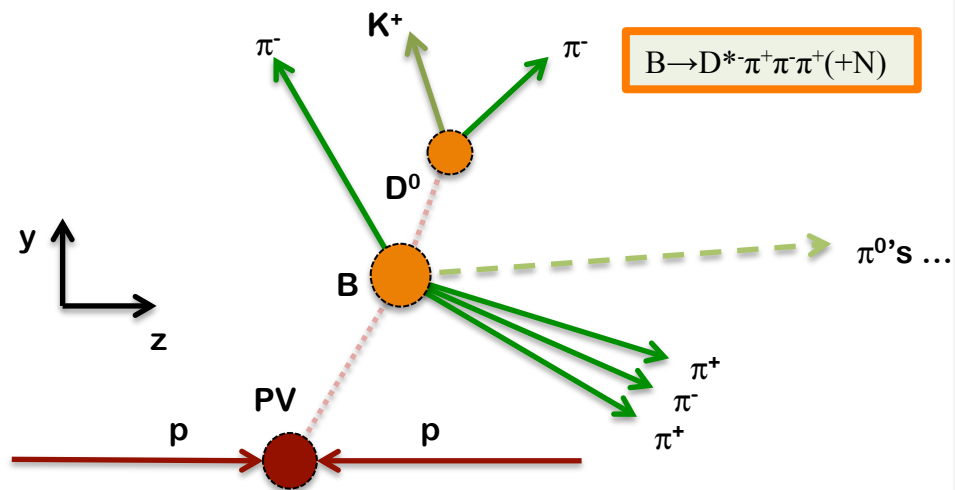
- Signal and normalization share **same visible final state** ( $D^{*-} \pi^+ \pi^- \pi^+$ ).
- Most of the systematic uncertainties cancel in the ratio (PID, trigger ...).
- $R(D^*)$  obtained from:

$$R(D^*) = K_{had}(D^*) \times \frac{BR(B^0 \rightarrow D^{*-} \pi^+ \pi^- \pi^+)}{BR(B^0 \rightarrow D^{*-} \mu^+ \nu_\mu)} \quad \begin{array}{l} \text{[~4\% precision]} \\ \text{[~2\% precision]} \end{array} \quad \text{[PDG 2016]}$$

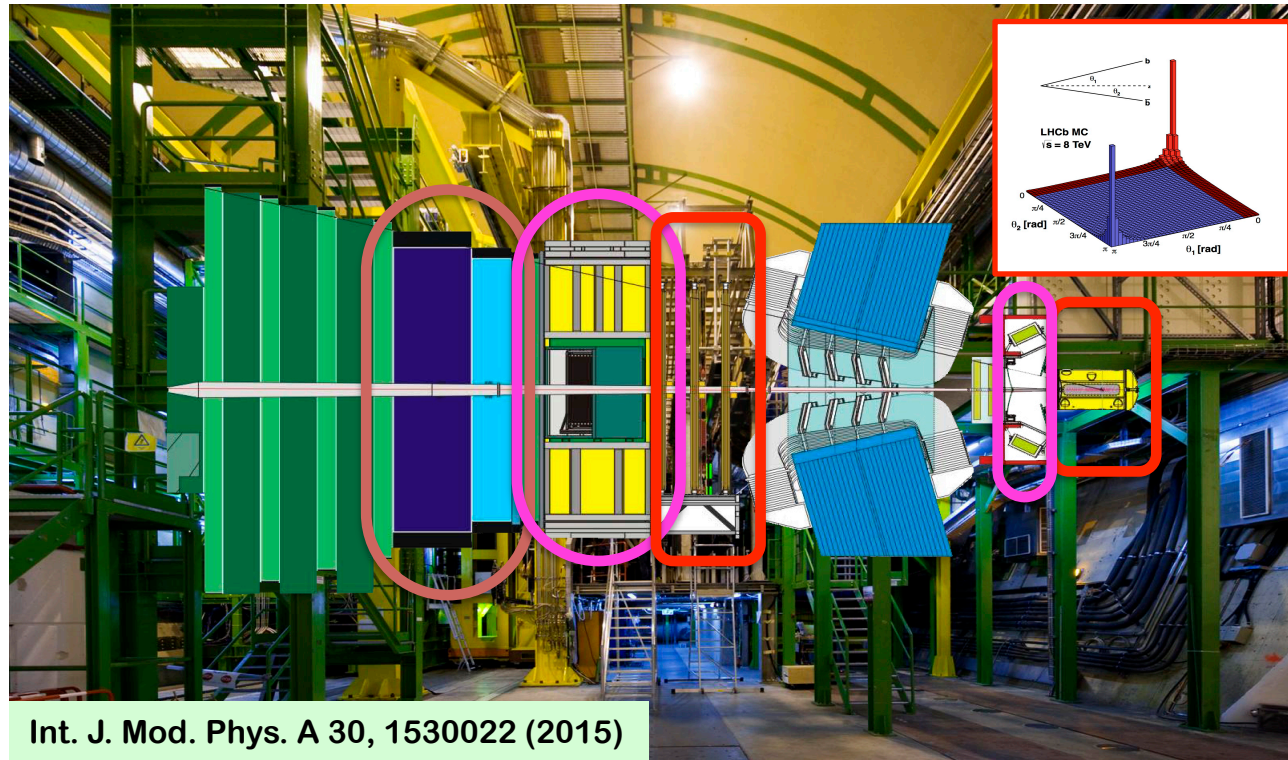
- $N(B^0 \rightarrow D^{*-} \pi^+ \pi^- \pi^+)$  from an un-binned likelihood fit to  $m(D^{*-} \pi^+ \pi^- \pi^+)$ .
- $N(B^0 \rightarrow D^{*-} \tau^+ \nu_\tau)$  from a 3-dimensional template fit.

# Displaced vertex

- The most abundant background is due to (“prompt”)  $X_b \rightarrow D^* \pi^+ \pi^- \pi^+ + N$  (neutrals) where the 3 pions come from the  $X_b$  vertex (BR  $\approx 100$  times higher than signal).
- Suppressed by requiring minimum distance between  $X_b$  and  $\tau$  vertices ( $>4\sigma_{\Delta z}$ ).
- This background suppressed by 3 orders of magnitude. 35% efficient on signal.
- Possible due to the excellent LHCb vertex resolution.



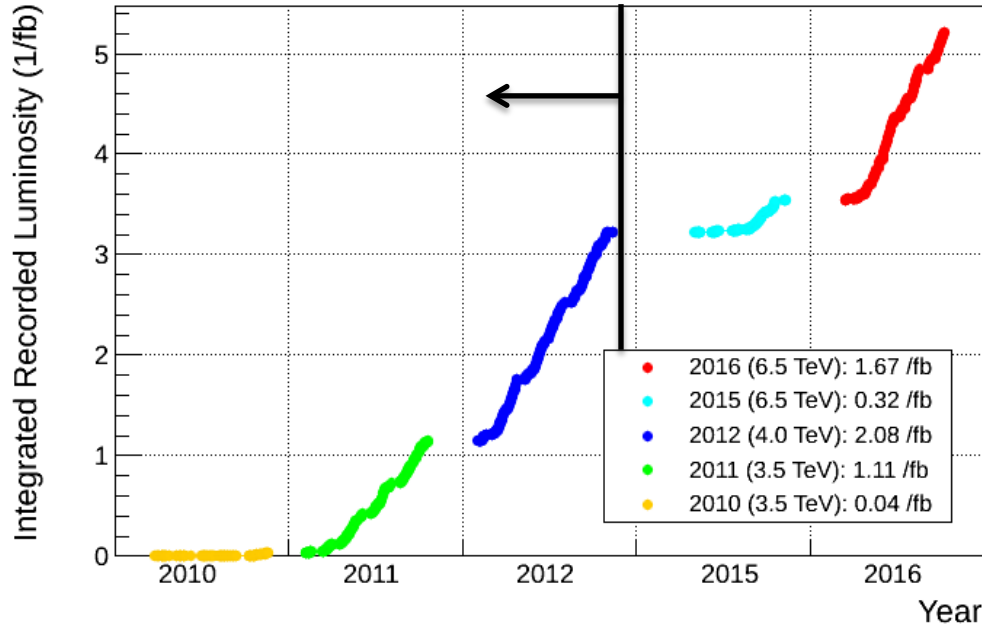
# The LHCb detector



- **Excellent vertex resolution:**  $20\mu\text{m}$  resolution on impact parameter.
- **Excellent particle identification.**
- **Calorimeter systems:** in this analysis used to suppress events with missing neutral energy:  $\pi^0, K^0, \gamma$ .

# Dataset

LHCb Cumulative Integrated Recorded Luminosity in pp, 2010-2016



- **>90% data taking efficiency with >99% of collected data good for analysis.**
- **Luminosity collected:**
  - **1fb<sup>-1</sup> at 7 TeV**
  - **2fb<sup>-1</sup> at 8 TeV**

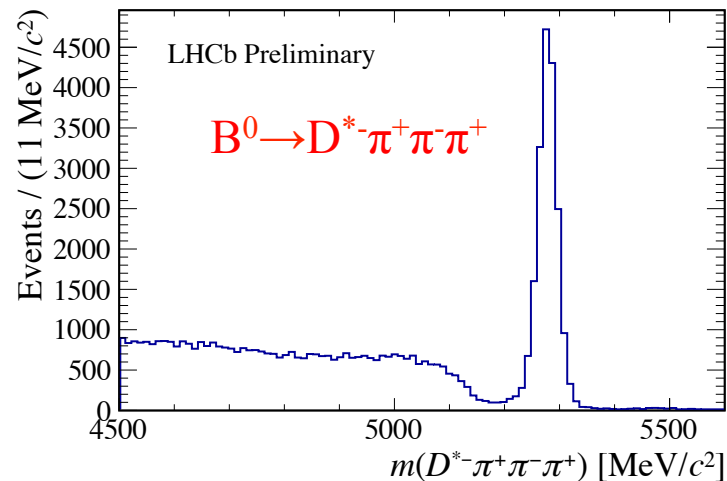
# The normalization mode

- Normalization channel as similar as possible to the signal (same visible final state) →

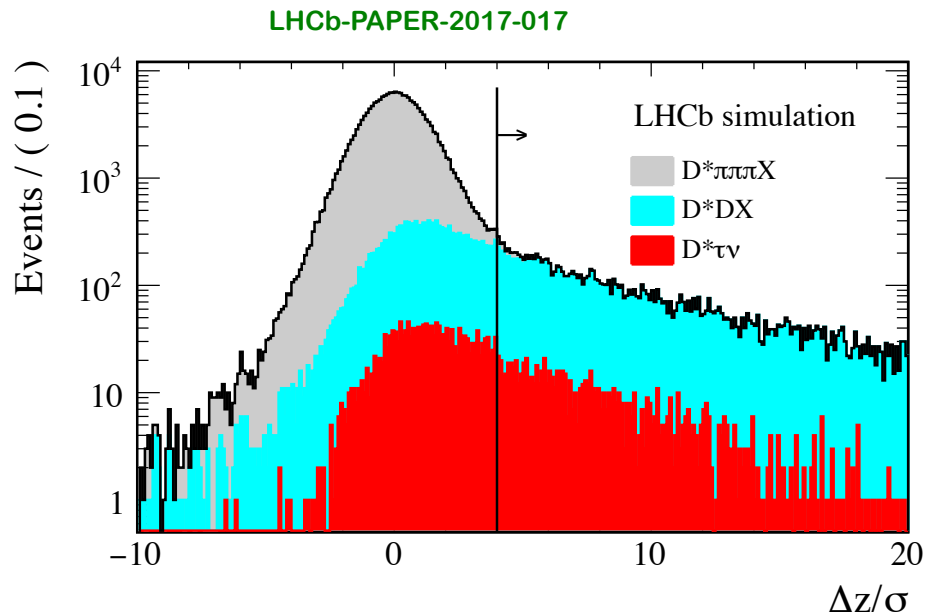


- This cancels production yield and systematics linked to trigger, PID and selection.
- In PDG 2014,  $\text{BR}(B^0 \rightarrow D^{*-} \pi^+ \pi^- \pi^+)$  known with 11% precision.
- New BaBar measurement 4.3% precision.  
[Phys. Rev. D94 (2016) 091101]
- In this analysis  $\sim 17000$  events (1% precision).

LHCb-PAPER-2017-017



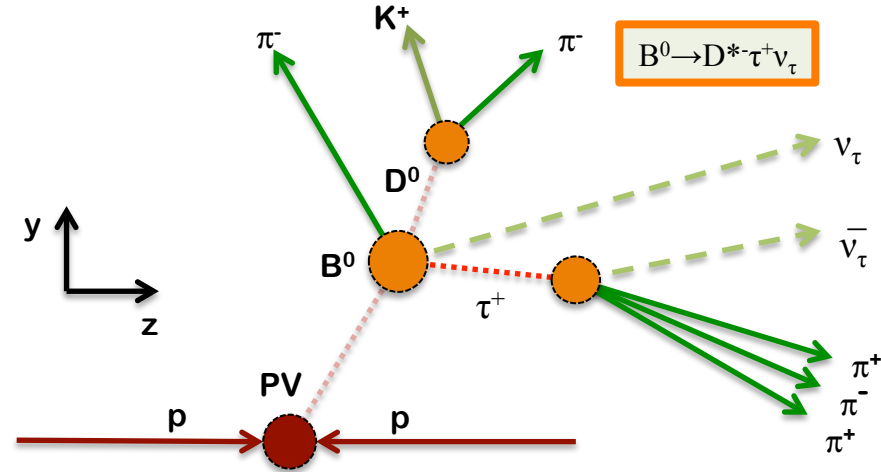
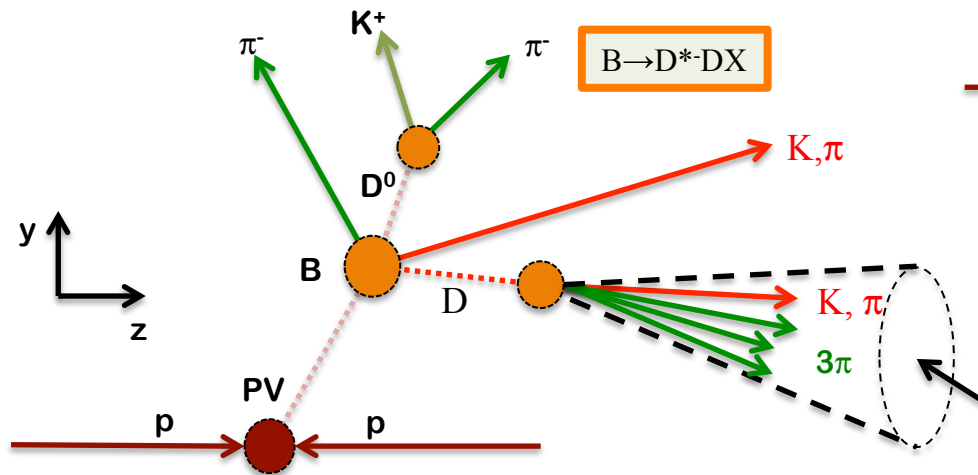
# Selection: displaced vertex



- The  $4\sigma_{\Delta z}$  vertex cut suppresses  $X_b \rightarrow D^* \pi^+ \pi^- \pi^+ X$  events by 3 orders of magnitude.
- Remaining **background** due to doubly charmed decays  $X_b \rightarrow D^* D_s^+ X$ ,  $X_b \rightarrow D^* D^+ X$ ,  $X_b \rightarrow D^* D^0 X$ , i.e. mediated by particles with **non-negligible lifetime**.
  - $X_b \rightarrow D^* D_s^+ X$ :  **$\sim 10$  x signal**
  - $X_b \rightarrow D^* D^+ X$ :  **$\sim 1$  x signal**
  - $X_b \rightarrow D^* D^0 X$ :  **$\sim 0.2$  x signal**

# Isolation

- Signal candidates are required to be well isolated.
- Events with **extra charged particles** pointing to the B and/or  $\tau$  vertices are **vetoed**.
- Events with **neutral energy** (signal in calorimeters) suppressed by a BDT.



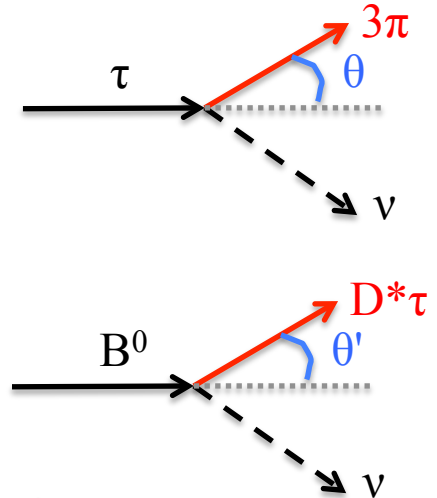
Missing (neutral) energy in a cone around the  $3\pi$  direction due to missing  $\pi^0, K^0, \gamma$ .

# Signal reconstruction

- 4-fold ambiguity:

$$|\vec{p}_\tau| = \frac{(m_{3\pi}^2 + m_\tau^2)|\vec{p}_{3\pi}| \cos \theta \pm E_{3\pi} \sqrt{(m_\tau^2 - m_{3\pi}^2)^2 - 4m_\tau^2 |\vec{p}_{3\pi}|^2 \sin^2 \theta}}{2(E_{3\pi}^2 - |\vec{p}_{3\pi}|^2 \cos^2 \theta)}$$

$$|\vec{p}_{B^0}| = \frac{(m_{D^*\tau}^2 + m_{B^0}^2)|\vec{p}_{D^*\tau}| \cos \theta' \pm E_{D^*\tau} \sqrt{(m_{B^0}^2 - m_{D^*\tau}^2)^2 - 4m_{B^0}^2 |\vec{p}_{D^*\tau}|^2 \sin^2 \theta'}}{2(E_{D^*\tau}^2 - |\vec{p}_{D^*\tau}|^2 \cos^2 \theta')}$$



- Can be approximated by doing:

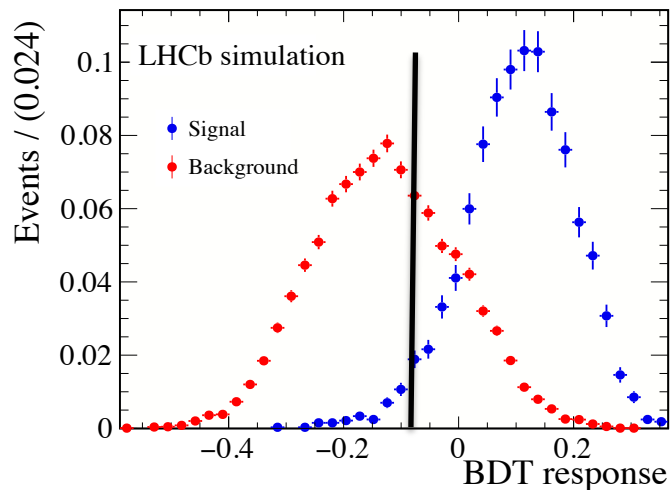
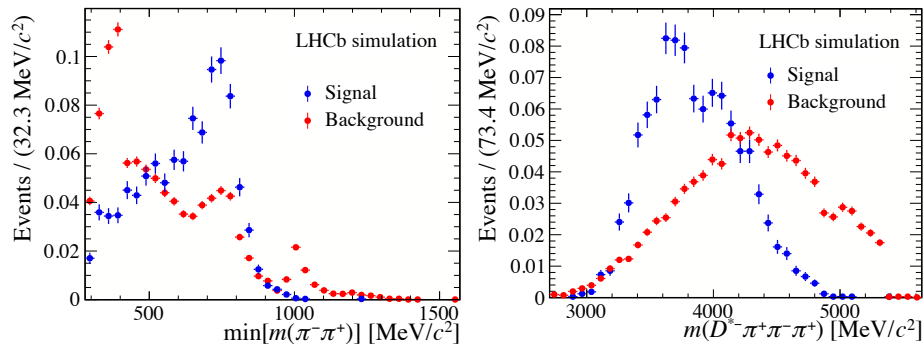
$$\theta_{max} = \arcsin \left( \frac{m_\tau^2 - m_{3\pi}^2}{2m_\tau |\vec{p}_{3\pi}|} \right) \quad \theta'_{max} = \arcsin \left( \frac{m_{B^0}^2 - m_{D^*\tau}^2}{2m_{B^0} |\vec{p}_{D^*\tau}|} \right)$$

- Possible to reconstruct rest frame variables such as **tau decay time** and **q<sup>2</sup>**.
- These variables have negligible biases, and sufficient resolution to preserve good discrimination between signal and background.



# Rejecting $X_b \rightarrow D^* D_s^+ X$ events using a BDT

- BDT trained to suppress main background:  $X_b \rightarrow D^* D_s^+ X$  events.
- Training: background MC vs signal MC. Input variables:
  - $3\pi$  dynamics.
  - $D^* 3\pi$  dynamics.
  - Neutrals isolation variables.
- BDT is used as a variable in the fit to extract signal yield.
- Tightening BDT cut,  $\sim 50\%$  purity can be achieved. Important for (future) angular analysis.



# The $D_s \rightarrow 3\pi X$ decay model: low-BDT fit

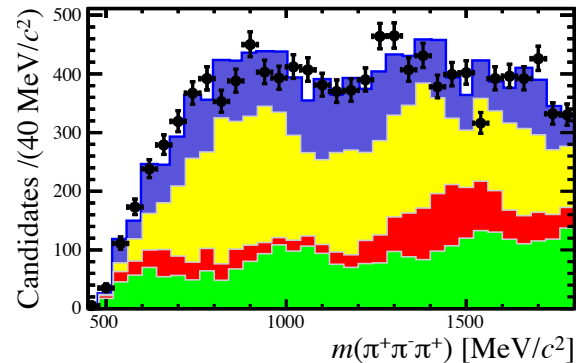
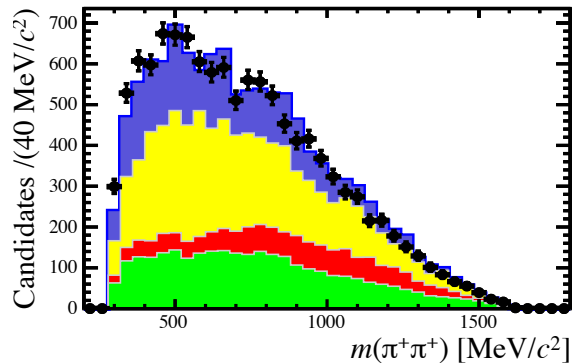
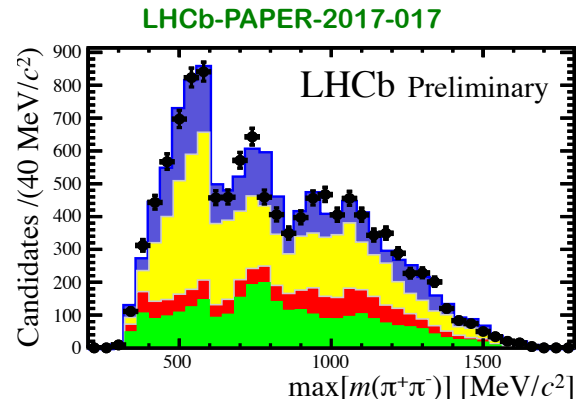
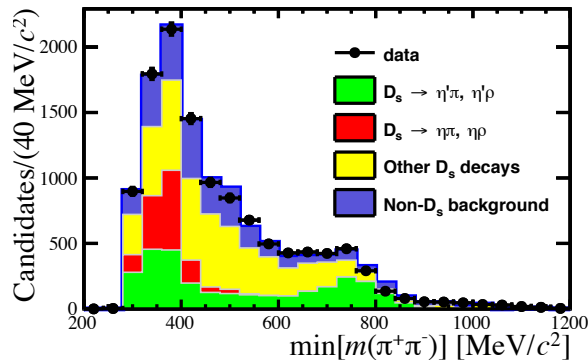
- $D_s$  decay modes with 3 pions + neutrals not very well measured.
- Exclusive  $D_s \rightarrow 3\pi$  is only 1/15 of the inclusive  $D_s \rightarrow 3\pi X$ .
- $D_s \rightarrow 3\pi X$  decay model obtained from data.
- Low BDT region (not used for signal extraction) is used to **measure the  $D_s \rightarrow 3\pi X$  composition.**
- **Simultaneous fit to:**
  - $\min[m(\pi^+\pi^-)]$**
  - $\max[m(\pi^+\pi^-)]$**
  - $m(\pi^+\pi^+)$**
  - $m(3\pi)$**

# The $D_s \rightarrow 3\pi X$ decay model: low-BDT fit

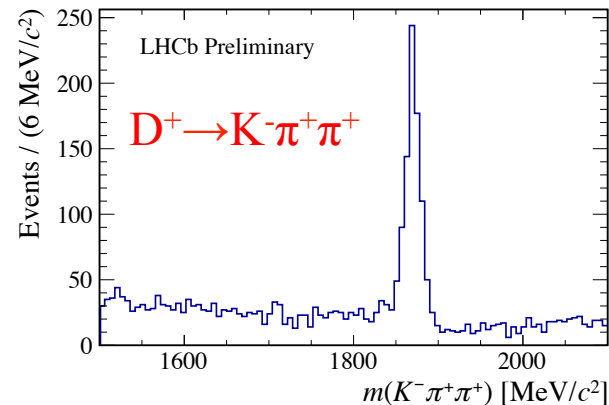
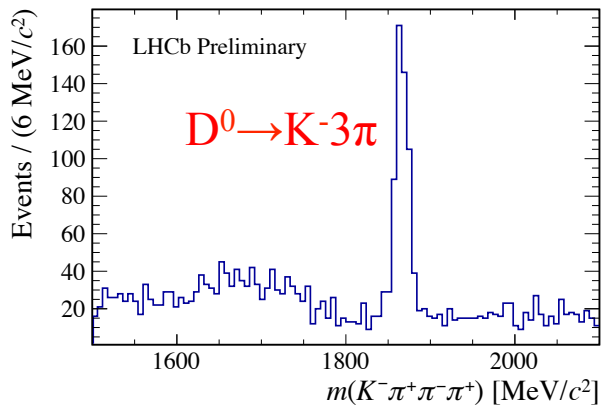
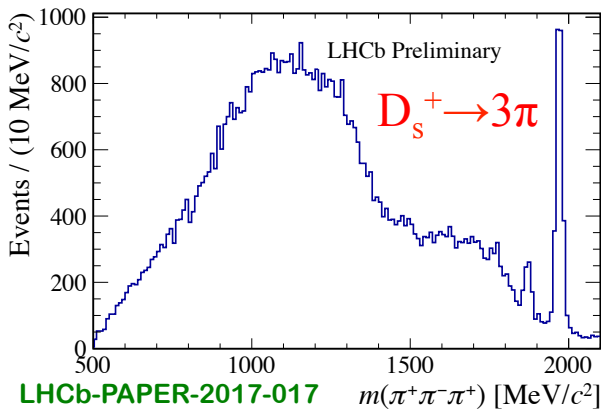
## Fit components:

- $D_s$  decays with **at least 1 pion from  $\eta$  or  $\eta'$** :  $\eta^{(\prime)}\pi^+$ ,  $\eta^{(\prime)}\rho^+$ .
- $D_s$  decays with **at least 1 pion from an intermediate state (IS) other than  $\eta$  or  $\eta'$** :  $\omega$  or  $\phi$ .
- $D_s$  decays where **none of the 3 pions come from a IS**:  $K^0 3\pi$ ,  $\eta 3\pi$ ,  $\eta' 3\pi$ ,  $\omega 3\pi$ ,  $\phi 3\pi$ , non-resonant.

Fit results used to describe the  $D_s \rightarrow 3\pi X$  model at high BDT.



# Control samples

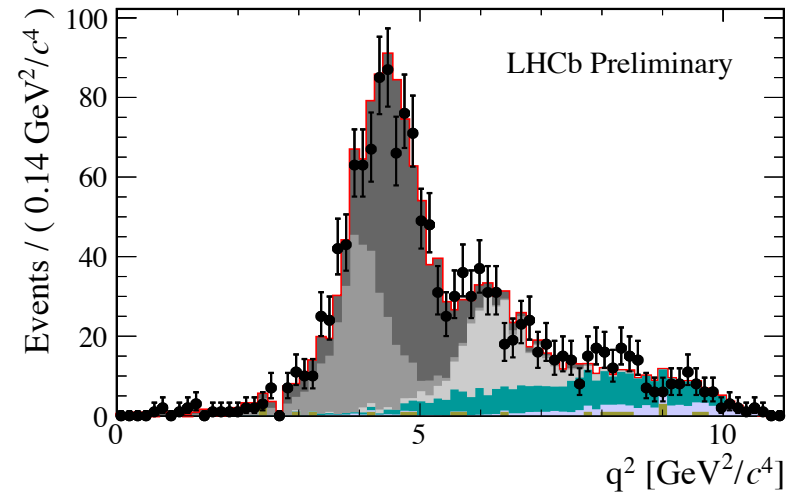
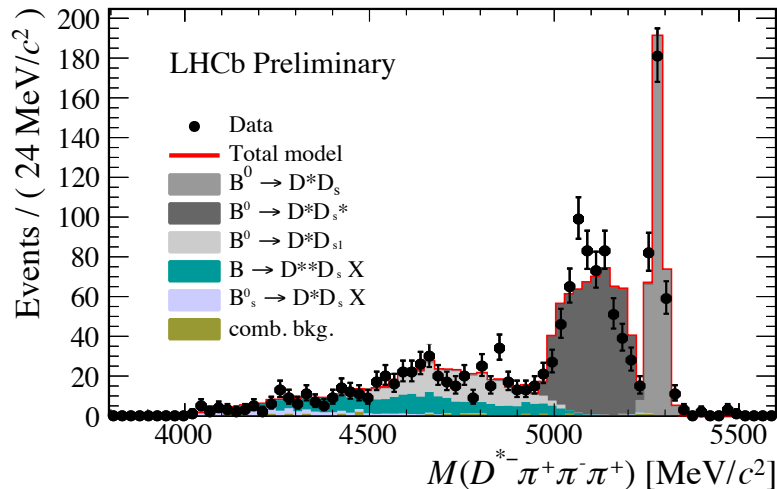


- Different control samples are used to study background components:
  - $D_s^+ \rightarrow \pi^+\pi^-\pi^+$  : control sample for  $X_b \rightarrow D^* D_s X$ .
  - $D^0 \rightarrow K^- \pi^+ \pi^- \pi^+$  (kaon recovered by isolation tools) : control sample for  $X_b \rightarrow D^* D^0 X$ .
  - $D^+ \rightarrow K^- \pi^+ \pi^+$  (mis-ID kaon/pion) : control sample for  $X_b \rightarrow D^* D^+ X$ .
- Simulation corrected to match these data.

# $X_b \rightarrow D^* D_s X$ control sample

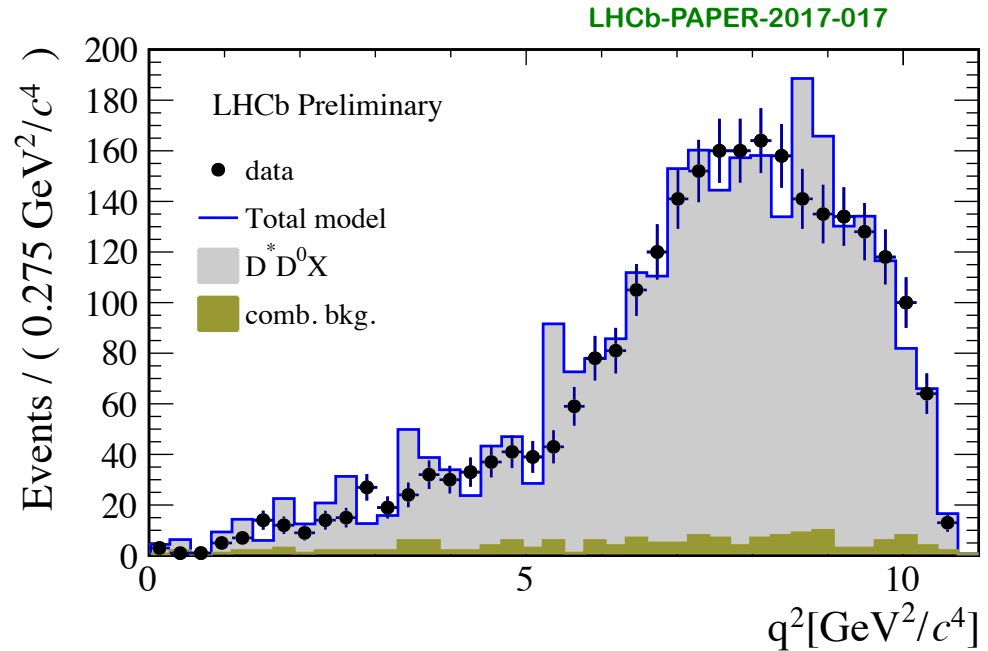
- A pure  $X_b \rightarrow D^* D_s X$  control sample obtained by selecting exclusive  $D_s \rightarrow 3\pi$  decays.
- Allows to know the different  $X_b \rightarrow D^* D_s X$  contributions from a fit to  $m(D^* D_s)$ :
  - $B^0 \rightarrow D^* D_s$ ,  $B^0 \rightarrow D^* D_s^*$ ,  $B^0 \rightarrow D^* D_{s0}^*$ ,  $B^0 \rightarrow D^* D_{s1}^*$ ,  $B_s^0 \rightarrow D^* D_s X$ ,  $B \rightarrow D^{**} D_s X$
- Uncertainties in the fit parameters propagated to final analysis.

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# $X_b \rightarrow D^* D^0 X$ control sample

- $X_b \rightarrow D^* D^0 X$  decays can be isolated by selecting exclusive  $D^0 \rightarrow K 3\pi$  decays (kaon recovered using isolation tools).
- A correction to the  $q^2$  distribution is applied to the simulation to match the data.



# Signal extraction: fit model

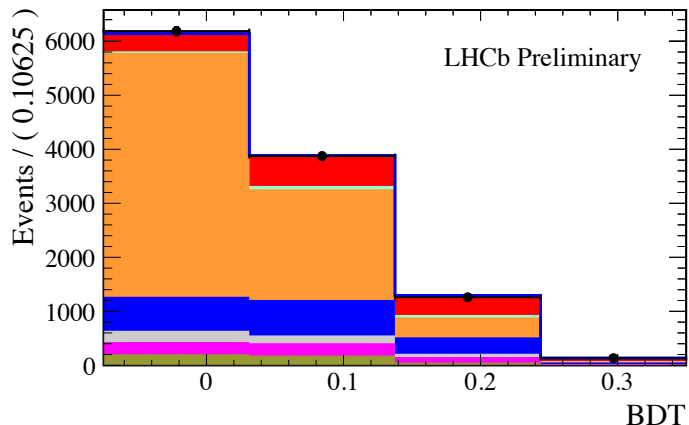
- 3D extended maximum likelihood fit to data.
- Fit components described by **templates** obtained from simulation (and corrected from control samples):
  - $q^2$  (8 bins).
  - $3\pi$  decay time (8 bins): important to separate  $D^+$  component (large lifetime).
  - BDT (4 bins).

Model components	
$\tau^- \rightarrow \pi^- \pi^+ \pi^- \nu_\tau$	Ratio constrained using known BR and efficiencies.
$\tau^- \rightarrow \pi^- \pi^+ \pi^- \pi^0 \nu_\tau$	
$X_b \rightarrow D^{**} \tau \nu$	Ratio to signal fixed to $0.11 \pm 0.04$ from theory.
$B^0 \rightarrow D^{*-} D_s^+$	Relative yields constrained from $X_b \rightarrow D^{*} D_s^+ X$ control sample.
$B^0 \rightarrow D^{*-} D_s^{*+}$	
$B^0 \rightarrow D^{*-} D_{s0}^{*+}$	
$B^0 \rightarrow D^{*-} D_{s1}^+$	
$B_s^0 \rightarrow D^{*-} D_s^+ X$	
$B \rightarrow D^{**} D_s^+ X$	
$X_b \rightarrow D^{*-} D^+ X$	
$X_b \rightarrow D^{*-} D^0 X$	Yields constrained from control samples.
$X_b \rightarrow D^{*-} \pi^+ \pi^- \pi^+ X$	
Comb. Bkg.	

# Fit results

- Signal yield: 1300 events.
- Leads to  $K_{had}(D^*) = 1.93 \pm 0.13(\text{stat}) \pm 0.17(\text{syst})$
- Using measured  $BR(B^0 \rightarrow D^* 3\pi) = (7.26 \pm 0.11 \pm 0.31) \times 10^{-3}$  :  
[Phys. Rev. D94 (2016) 091101]

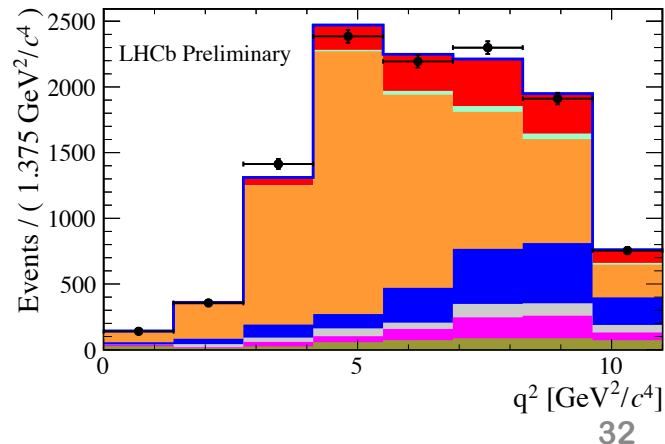
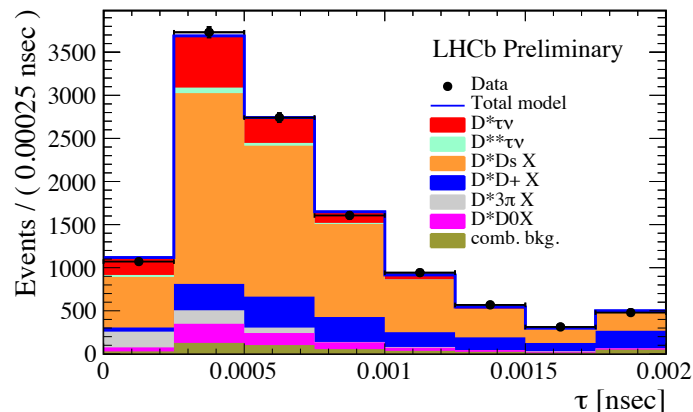
$$BR(B^0 \rightarrow D^* \tau \nu) = (1.40 \pm 0.09(\text{stat}) \pm 0.12(\text{syst}) \pm 0.06(\text{ext}))\%$$



06/06/17

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A. Romero Vidal



32



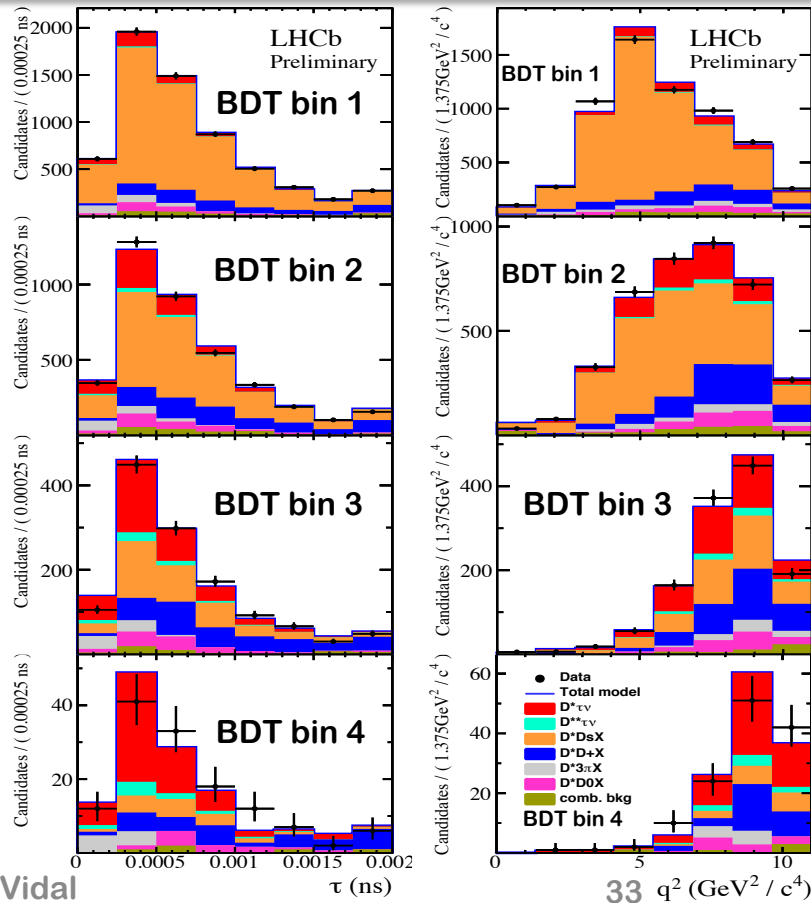
# Fit projections in BDT bins

- Important to check the quality of the model as a function of the BDT output.
- Good agreement in BDT bins.
- High signal purity at high BDT.

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06/06/17

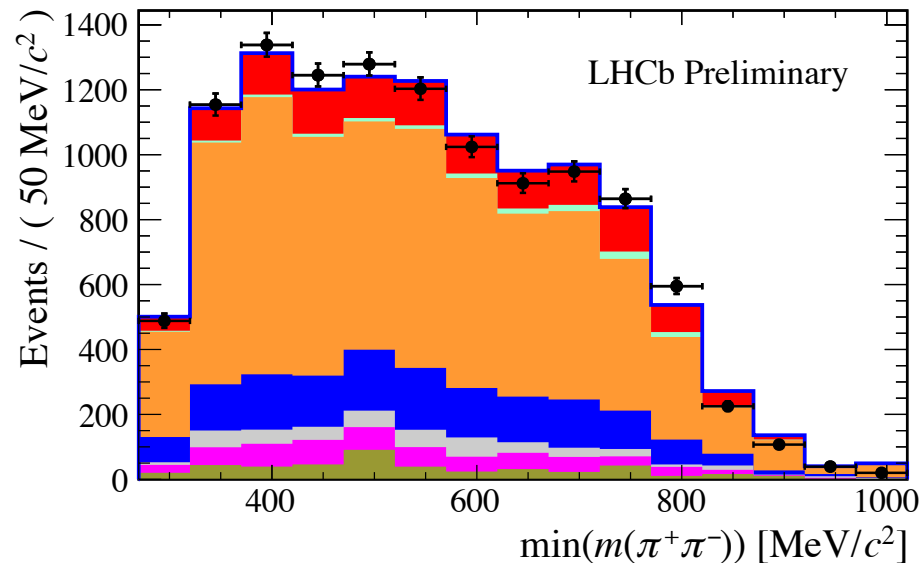
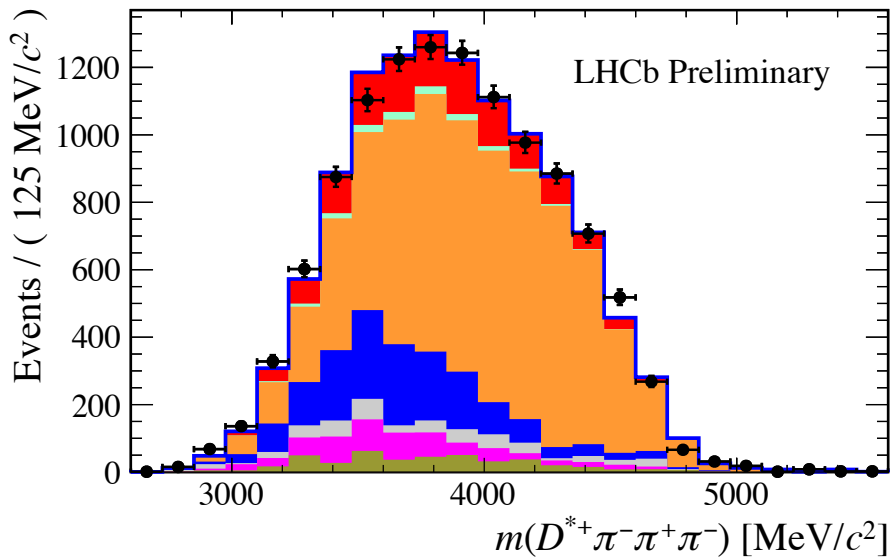
A. Romero Vidal



33  $q^2$  ( $\text{GeV}^2 / c^4$ )

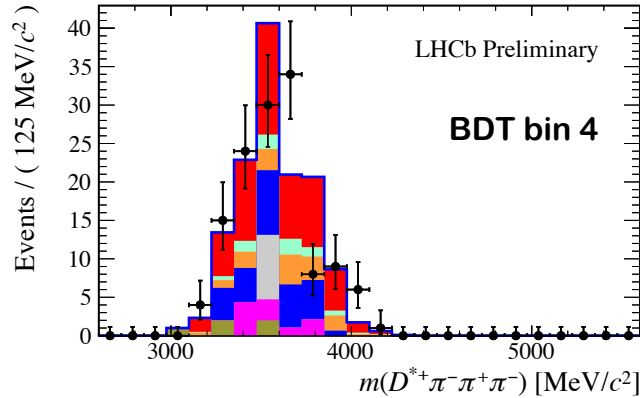
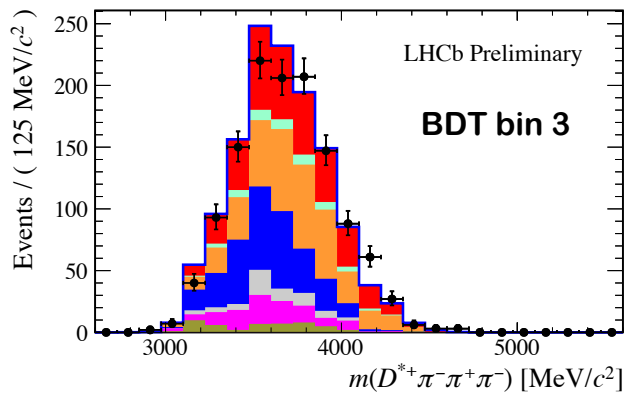
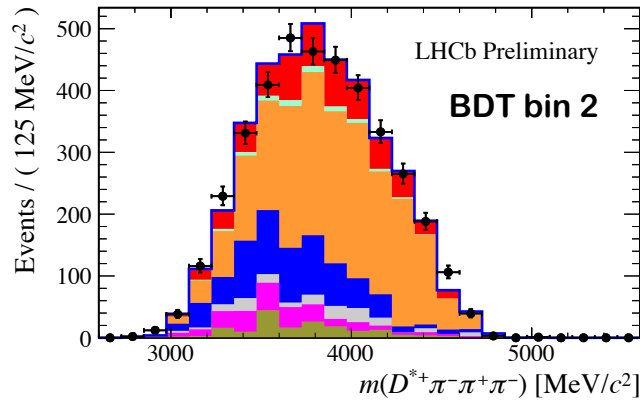
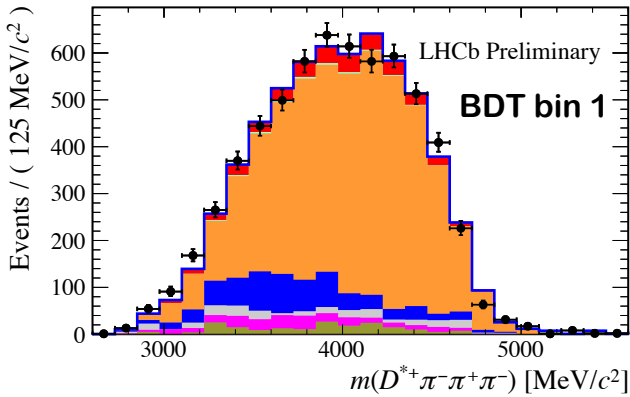
# Fit projections on $m(D^*\pi\pi\pi)$ and $\min[m(\pi^+\pi^-)]$

- Important variables in BDT training.



- Good agreement with data.

# Fit projections in BDT bins



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- Important check:  $m(D^*3\pi)$  vs BDT bin.
- Good agreement.

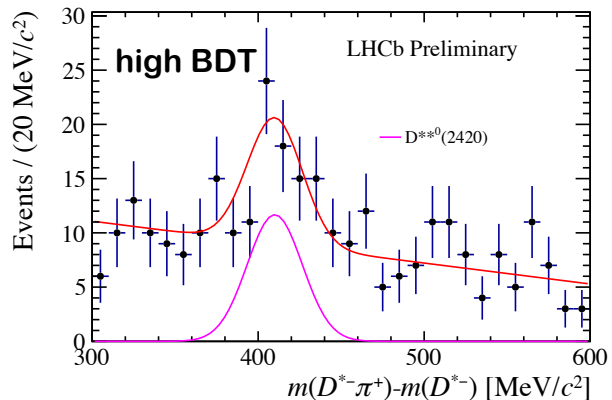
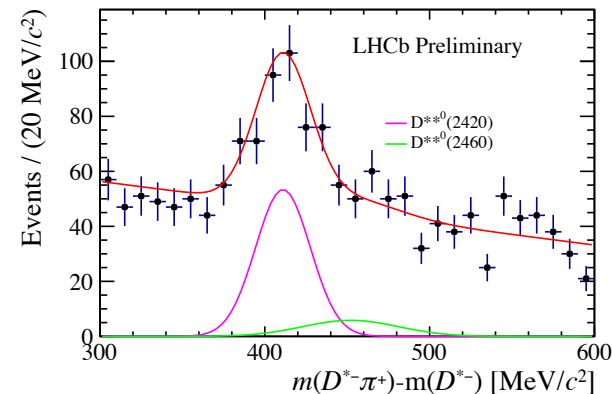
# Systematic uncertainties and cross-checks

# Additional cross-checks: splitting samples

- We have split the data in:
  1. **Different trigger configurations:**
    - Event triggered by our candidate (trigger on signal, TOS).
    - Event triggered by other tracks in the event (not-TOS).
  2. **Different year (beam energy).**
- Both decompositions correspond to 2/3-1/3 of both data samples. Bias corrections are needed to take into account the lack of MC statistics in the 1/3 samples.
- Found consistent results in all sub-samples.

# Additional cross-checks: $X_b \rightarrow D^{**} \tau \nu$

- $B^0 \rightarrow D^{**} \tau \nu$  and  $B^+ \rightarrow D^{**0} \tau \nu$  constitute potential feed-down to the signal.
- $D^{**}(2420)^0$  is reconstructed using its decay to  $D^{*+} \pi^-$  as a **cross-check**.
- The observation of the  $D^{**}(2420)^0$  peak allows to compute the  $D^{**}$  BDT distribution and to deduce a  $D^{**} \tau \nu$  upper limit. This upper limit is consistent with the theory.
- Ratio of  $D^{**} \tau \nu$  yield with respect to signal yield of  $0.11 \pm 0.04$  from theory leads to a systematic uncertainty of 2.3%.



# Summary of systematic uncertainties

Source	$\delta R(D^{*-})/R(D^{*-})[\%]$
Simulated sample size	<u>4.7</u>
Signal decay model	1.8
$D^{**}\tau\nu$ and $D_s^{**}\tau\nu$ feeddowns	2.7
$D_s^+ \rightarrow 3\pi X$ decay model	2.5
$B \rightarrow D^{*-}D_s^+X$ , $B \rightarrow D^{*-}D^+X$ , $B \rightarrow D^{*-}D^0X$ backgrounds	3.9
Combinatorial background	0.7
$B \rightarrow D^*3\pi X$ background	2.8
Empty bins in templates	1.3
Efficiency ratio	3.9
Total internal uncertainty	8.9
$\mathcal{B}(B^0 \rightarrow D^*3\pi)$ and $\mathcal{B}(B^0 \rightarrow D^*\mu\nu_\mu)$	4.8

- **Effect of MC statistics studied by performing toys studies.**
- **Templates fluctuated according to Poisson statistics.**
- **Small bias of 3% used to correct the signal yield.**

# Summary of systematic uncertainties

Source	$\delta R(D^{*-})/R(D^{*-})[\%]$
Simulated sample size	4.7
Signal decay model	1.8
$D^{**}\tau\nu$ and $D_s^{**}\tau\nu$ feeddowns	2.7
$D_s^+ \rightarrow 3\pi X$ decay model	<u>2.5</u>
$B \rightarrow D^{*-}D_s^+X$ , $B \rightarrow D^{*-}D^+X$ , $B \rightarrow D^{*-}D^0X$ backgrounds	3.9
Combinatorial background	0.7
$B \rightarrow D^*3\pi X$ background	2.8
Empty bins in templates	1.3
Efficiency ratio	3.9
Total internal uncertainty	8.9
$\mathcal{B}(B^0 \rightarrow D^*3\pi)$ and $\mathcal{B}(B^0 \rightarrow D^*\mu\nu_\mu)$	4.8

- $D_s \rightarrow 3\pi X$  decay model, obtained from a fit to low-BDT events, is varied using toys.
- Future BESIII measurements on inclusive  $D_{(s)} \rightarrow 3\pi X$  decays can help to reduce this error.



# Summary of systematic uncertainties

Source	$\delta R(D^{*-})/R(D^{*-})[\%]$
Simulated sample size	4.7
Signal decay model	1.8
$D^{**}\tau\nu$ and $D_s^{**}\tau\nu$ feeddowns	2.7
$D_s^+ \rightarrow 3\pi X$ decay model	2.5
$B \rightarrow D^{*-}D_s^+X$ , $B \rightarrow D^{*-}D^+X$ , $B \rightarrow D^{*-}D^0X$ backgrounds	<u>3.9</u>
Combinatorial background	0.7
$B \rightarrow D^*3\pi X$ background	2.8
Empty bins in templates	1.3
Efficiency ratio	3.9
Total internal uncertainty	8.9
$\mathcal{B}(B^0 \rightarrow D^*3\pi)$ and $\mathcal{B}(B^0 \rightarrow D^*\mu\nu_\mu)$	4.8

- Templates shape allowed to vary using “histogram interpolation” technique.
- Allows to change templates shape depending on external variables.
- Same method applied for the combinatorial background. 41

# Summary of systematic uncertainties

Source	$\delta R(D^{*-})/R(D^{*-})[\%]$
Simulated sample size	4.7
Signal decay model	1.8
$D^{**}\tau\nu$ and $D_s^{**}\tau\nu$ feeddowns	2.7
$D_s^+ \rightarrow 3\pi X$ decay model	2.5
$B \rightarrow D^{*-}D_s^+X$ , $B \rightarrow D^{*-}D^+X$ , $B \rightarrow D^{*-}D^0X$ backgrounds	3.9
Combinatorial background	0.7
$B \rightarrow D^*3\pi X$ background	2.8
Empty bins in templates	1.3
Efficiency ratio	3.9
Total internal uncertainty	<u>8.9</u>
$\mathcal{B}(B^0 \rightarrow D^*3\pi)$ and $\mathcal{B}(B^0 \rightarrow D^*\mu\nu_\mu)$	<u>4.8</u>

- **Total systematic uncertainty 8.9%.**
- **Additional external uncertainty due to precision in  $\text{BR}(B^0 \rightarrow D^{*-}\pi^+\pi^-\pi^+)$  and  $\text{BR}(B^0 \rightarrow D^*\mu\nu)$ .**

# World average

- Using  $\text{BR}(B^0 \rightarrow D^* \mu \nu) = (4.93 \pm 0.11)\%$  [PDG-2016] we measure:

$$R(D^*) = 0.285 \pm 0.019(\text{stat}) \pm 0.025(\text{syst}) \pm 0.014(\text{ext})$$

- In combination with the muonic LHCb measurement:

$$R(D^*) = 0.336 \pm 0.027 \pm 0.030,$$

the LHCb average is:

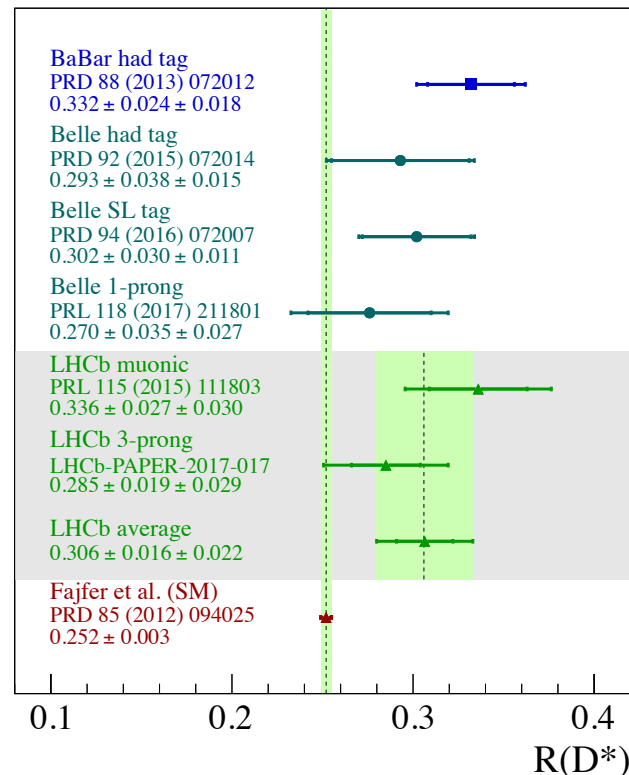
- $R_{\text{LHCb}}(D^*) = 0.306 \pm 0.016 \pm 0.022$
- $2.1\sigma$  above the SM.

- Naïve new WA:

- $R(D^*) = 0.305 \pm 0.015$
- $3.4\sigma$  above the SM.

- Naïve  $R(D)/R(D^*)$  combination at  $4.1\sigma$  from SM.

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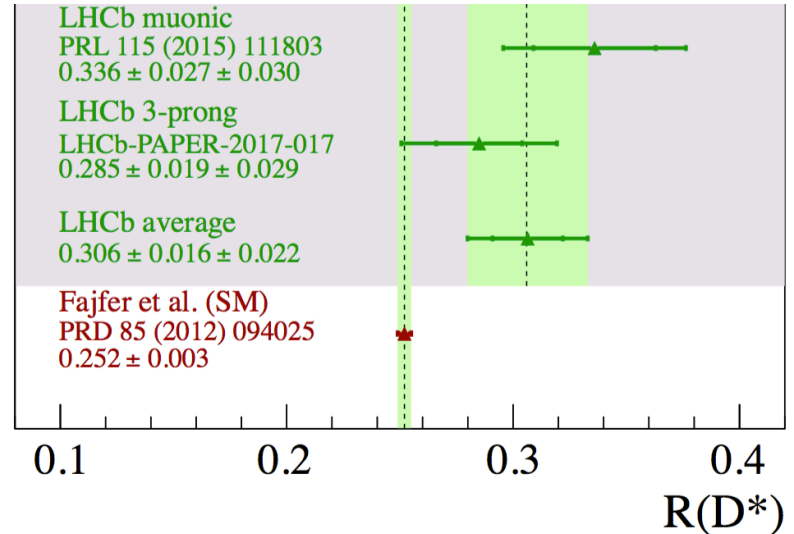
# Prospects

- For  $R(D^*)$ , Run-2 will ~quadruple the dataset, the statistical uncertainty can decrease by a factor of  $\approx 2$ .
- The internal systematic uncertainty can also decrease by a factor of  $\approx 2$ .
- Other measurements on going (including run-2 data) using:

Decay	Observable
$B^0 \rightarrow D^{*-} \tau^+ \nu_\tau$	$R(D^{*-})$
$B^0 \rightarrow D^- \tau^+ \nu_\tau$	$R(D^-)$
$B^+ \rightarrow D^0 \tau^+ \nu_\tau$	$R(D^0)$
$B_s^0 \rightarrow D_s^{(*)} \tau^+ \nu_\tau$	$R(D_s^{(*)})$
$B_c^+ \rightarrow J/\psi \tau^+ \nu_\tau$	$R(J/\psi)$
$\Lambda_b \rightarrow \Lambda_c^{(*)} \tau^+ \nu_\tau$	$R(\Lambda_c^{(*)})$

# Conclusions

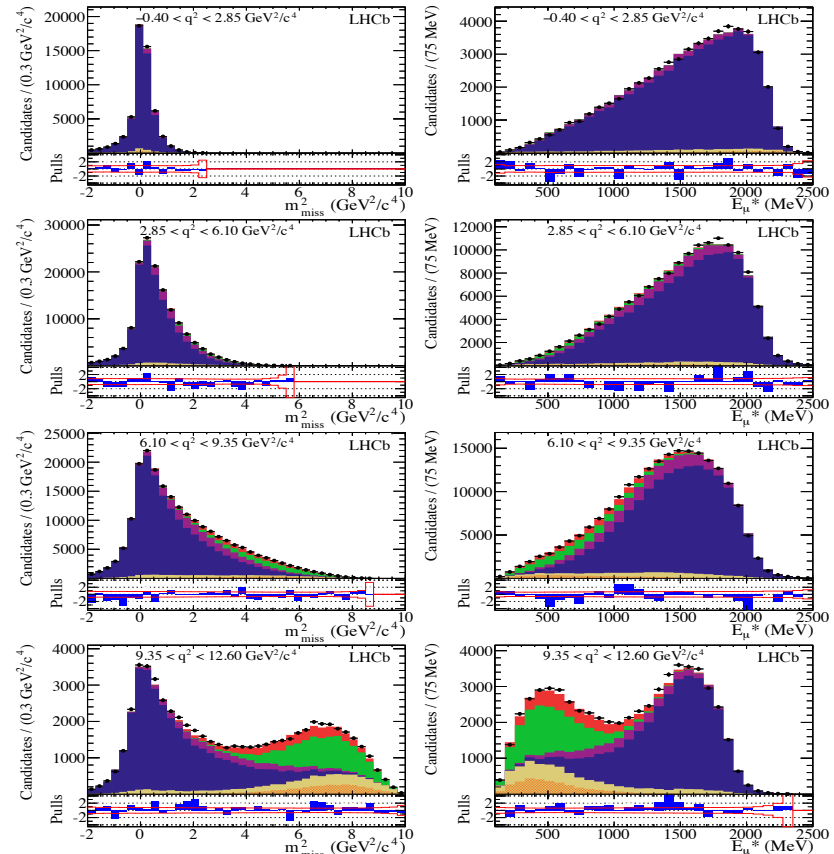
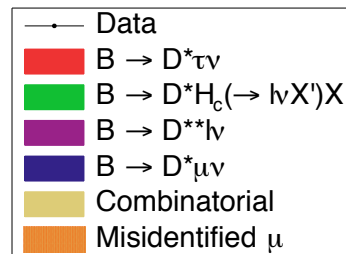
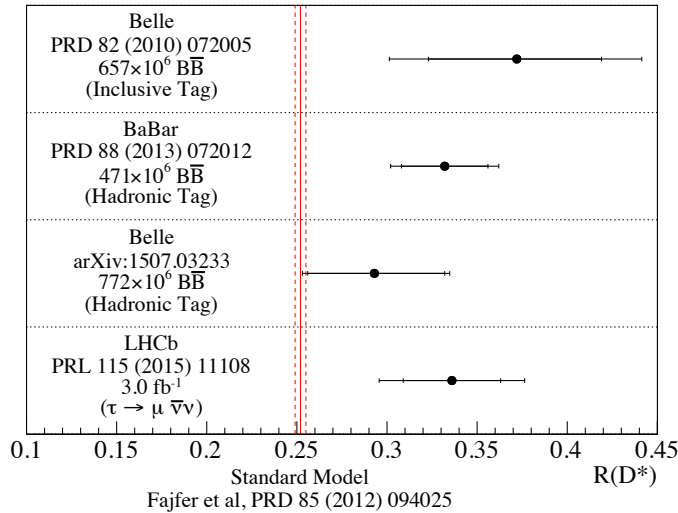
- We have measured the ratio  $K_{\text{had}}(D^*) = \text{BR}(B^0 \rightarrow D^{*-} \tau \nu) / \text{BR}(B^0 \rightarrow D^{*-} 3\pi)$  using the  $3\pi(\pi^0)$  hadronic decay of the  $\tau$  lepton.
- The result regarding  $R(D^*)$  is compatible with all other measurements and with the SM, having the smallest statistical error.
- This analysis was made possible due to the unique **LHCb** capabilities for separating secondary and tertiary vertices with **excellent resolution**.



# BACKUP

# LHCb muonic $R(D^*)$

[Phys. Rev. Lett. 115, 111803 (2015)]



# BDT variables

