



University of
Zurich^{UZH}



Flavor Physics and CP violation

Gino Isidori

[*University of Zürich*]

- I. Introduction
- II. The CKM matrix and neutral meson mixing
- III. Rare B and K decays
- IV. Flavor Physics beyond the SM

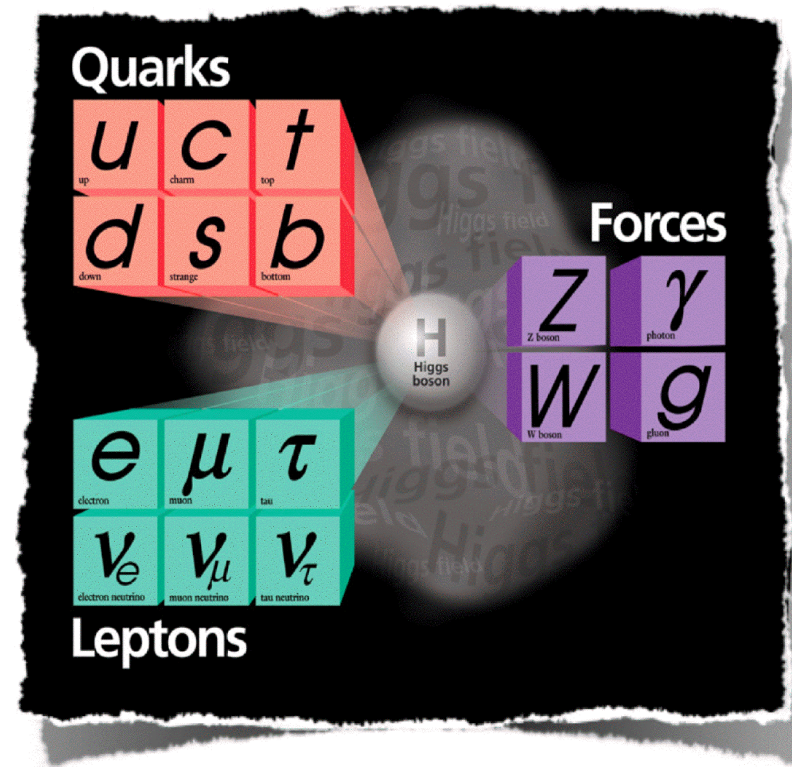
II. The CKM matrix and neutral meson mixing

- ▶ Brief summary of the previous lecture
- ▶ Properties of the CKM matrix & CKM fits
 - ▶ *Some properties of the CKM matrix*
 - ▶ *How to measure the CKM elements*
 - ▶ *Status of CKM fits*
- ▶ CP violation and neutral meson mixing
 - ▶ *CP symmetry and neutral meson mixing*
 - ▶ *The $DF=2$ amplitude*
 - ▶ *Time evolution and time-dependent asymmetries*

► Brief summary of the previous lecture

$$\mathcal{L}_{\text{SM}} = \mathcal{L}_{\text{gauge}}(A_a, \Psi_i) + \mathcal{L}_{\text{Higgs}}(\phi, A_a, \Psi_i)$$

- Two fundamental (gauge) symmetries:
 - the **color symmetry** (→ *strong interactions*)
 - the **electro-weak symmetry**
- Three sets of Fundamental Constituents:
 - 3 **generations (flavors)** of quarks & leptons
- A peculiar symmetry-breaking sector
non-trivial Higgs vacuum expectation value



► Brief summary of the previous lecture

$$\mathcal{L}_{\text{SM}} = \mathcal{L}_{\text{gauge}}(A_a, \psi_i) + \mathcal{L}_{\text{Higgs}}(\phi, A_a, \psi_i)$$



3 identical replica of the basic fermion family

$[\psi = Q_L, u_R, d_R, L_L, e_R] \Rightarrow$ large flavor-degeneracy.

$$\left[\sum_{\psi} \sum_{i=1..3} \bar{\psi}_i i\not{D} \psi_i \right]$$

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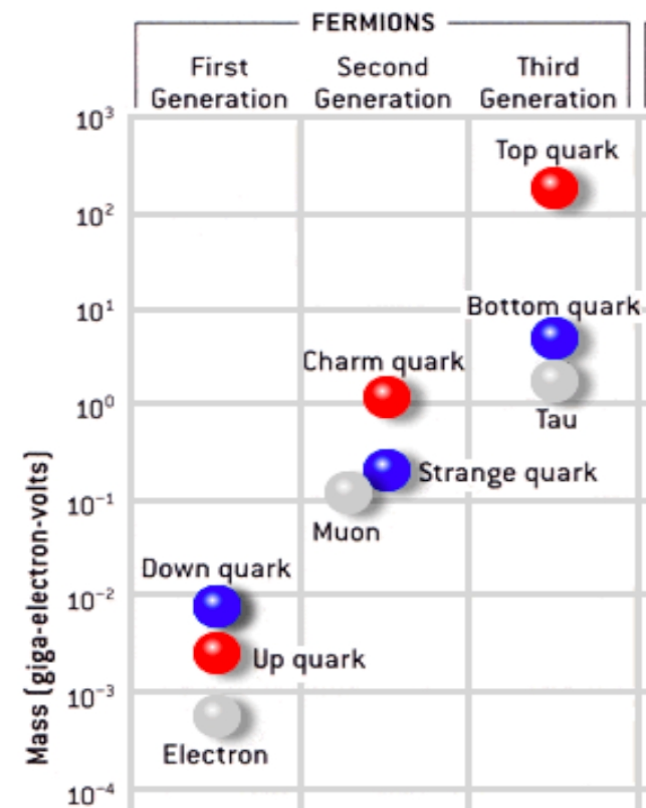
Within the SM the flavor-degeneracy is broken only by the **Yukawa** interaction.

In the quark sector this is of the form

$$\bar{Q}_L^i Y_D^{ik} d_R^k \phi + h.c. \rightarrow \bar{d}_L^i M_D^{ik} d_R^k + \dots$$

$$\bar{Q}_L^i Y_U^{ik} u_R^k \phi_c + h.c. \rightarrow \bar{u}_L^i M_U^{ik} u_R^k + \dots$$

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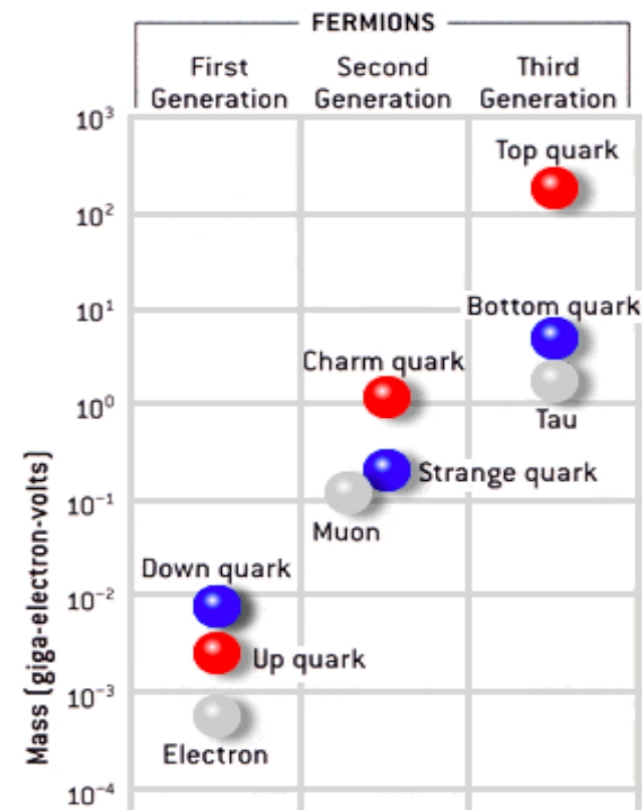
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We can diagonalize only one the two mass matrices without “disturbing” weak interactions:

$$M_D = \text{diag}(m_d, m_s, m_b) \quad M_U = V^+ \times \text{diag}(m_u, m_c, m_t)$$

$$\left[\sum_{\psi} \sum_{i=1..3} \bar{\psi}_i i \not{D} \psi_i \right]$$



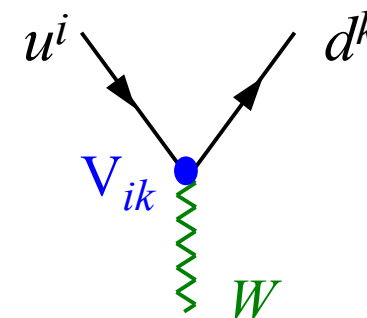
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To diagonalize also the second mass matrix we need to rotate separately u_L & d_L (non gauge-invariant basis) $\rightarrow V$ appears in charged-current gauge interactions:

$$\mathcal{L}_{gauge} \rightarrow \frac{g}{\sqrt{2}} W_\mu J_W^\mu$$

$$J_W^\mu = \bar{u}_L^i \gamma^\mu d_L^i \rightarrow \bar{u}_L^i V_{ik} \gamma^\mu d_L^k$$



Several equivalent parameterizations [unobservable phases] in terms of

- 3 real parameters (rotational angles)
- + 1 complex phase (source of CP violation)

Cabibbo-Kobayashi-Maskawa (CKM) mixing matrix

$$V_{CKM} = \begin{bmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{bmatrix} \quad V V^+ = I$$

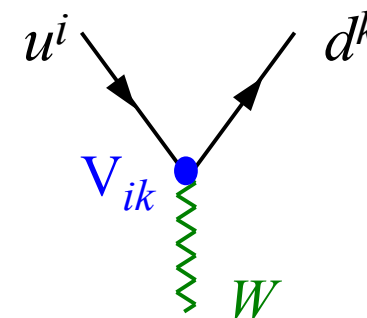
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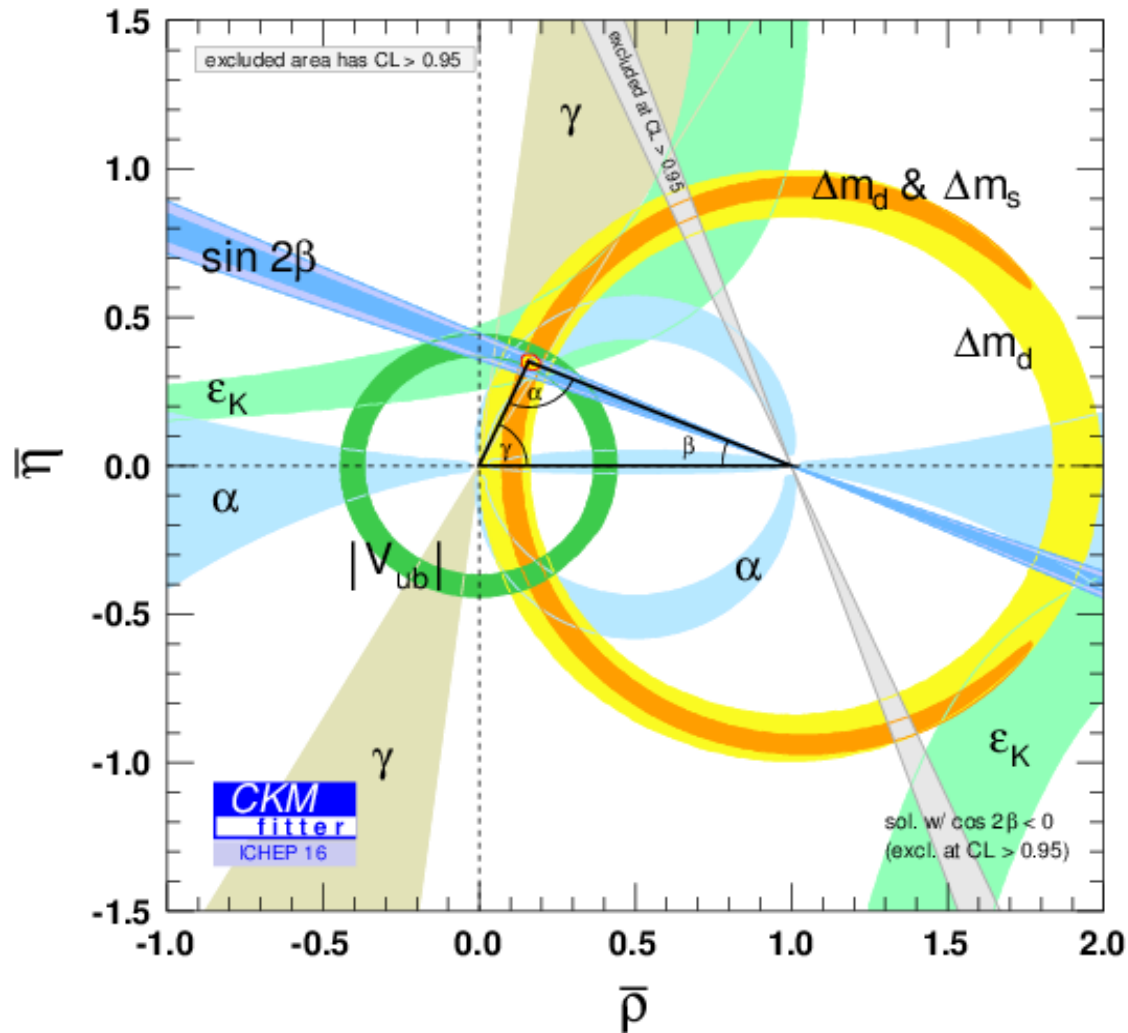
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The SM quark flavor sector is described by **10** observable parameters [6 quark masses, **3+1** CKM parameters]

N.B.:

- *The rotations on the right-handed sector are not observable*
- *Neutral currents remain flavor diagonal*

Properties of the CKM matrix & CKM fits



► Some properties of the CKM matrix

$$V_{CKM} = \begin{bmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{bmatrix}$$

$$V_{CKM}^+ V_{CKM} = I$$



6 “triangular” relations:

$$(V^+ V)_{i \neq j} = (V^+)_{i1} V_{1j} + (V^+)_{i2} V_{2j} + (V^+)_{i3} V_{3j} = 0$$

- 3 real parameters
(rotational angles)
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- 1 complex phase
(source of CP violation)

N.B.: The elements of the CKM matrix are complex.

Many phases are not observables since they can be eliminated by phase-redefinitions of the fields (e.g. $\mathbf{u} \rightarrow e^{i\alpha} \mathbf{u}$), but one (physical) phase survive \rightarrow CP violation

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E.g. for $i=b$ and $j=d$ we get

$$V_{ub}^* V_{ud} + V_{cb}^* V_{cd} + V_{tb}^* V_{td} = 0$$

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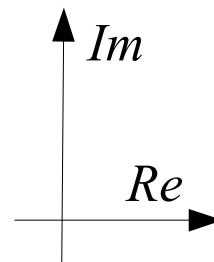
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the area of these triangles is:

- always the same
- phase-convention independent
- zero in absence of CP violation

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Experimental indication
of a strongly hierarchical
structure:



$$\approx \begin{bmatrix} 1 - \lambda^2/2 & \lambda & 0 \\ -\lambda & 1 - \lambda^2/2 & 0 \\ 0 & 0 & 1 \end{bmatrix} + O(\lambda^2)$$

$$V_{CKM} \sim \begin{pmatrix} \square & \square & \\ \square & \square & \\ & & \square \end{pmatrix}$$

Wolfenstein, '83

$$\lambda = 0.22$$

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$$\approx \begin{bmatrix} 1 - \lambda^2/2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \lambda^2/2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{bmatrix} + \mathcal{O}(\lambda^4) \quad V_{CKM} \sim \begin{pmatrix} \square & \square & \cdot \\ \square & \square & \square \\ \cdot & \square & \square \end{pmatrix}$$

Wolfenstein, '83

$$\lambda = 0.22$$

$$A, |\rho + i\eta| = \mathcal{O}(1)$$

$$\text{mixing } 1-2 \rightarrow \mathcal{O}(\lambda)$$

$$\text{mixing } 2-3 \rightarrow \mathcal{O}(\lambda^2)$$

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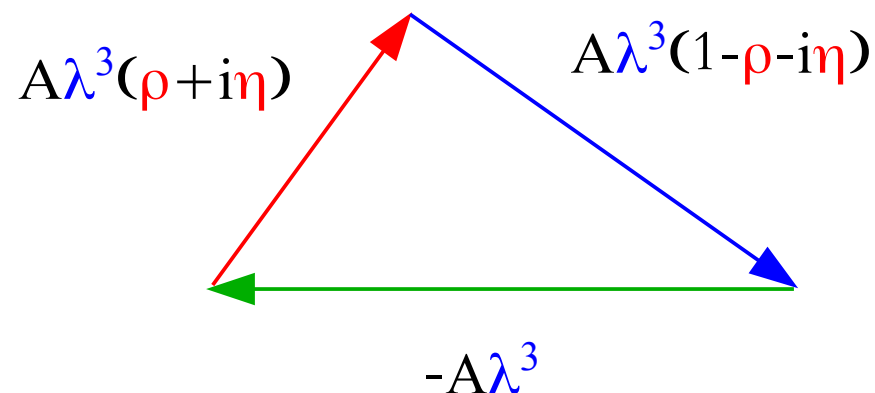
$$A, |\rho+i\eta| = O(1)$$

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The $b \rightarrow d$ triangle:

$$V_{ub}^* V_{ud} + V_{cb}^* V_{cd} + V_{tb}^* V_{td} = 0$$



only the **3-1** triangles have all
sizes of the same order in λ

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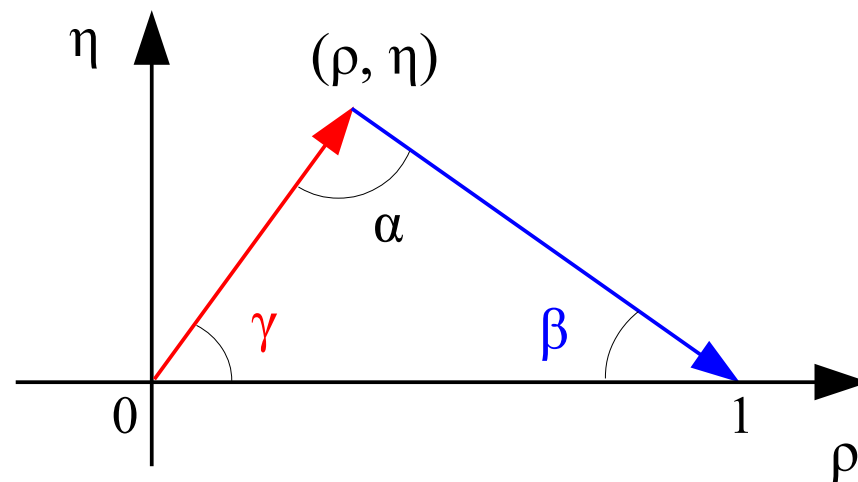
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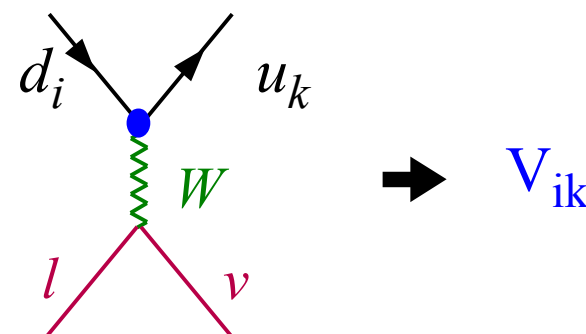


► How to measure the CKM elements

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$$\mathcal{L}_{gauge} \rightarrow \frac{g}{\sqrt{2}} W_{\mu} \bar{u}_L^i V_{ik} \gamma^{\mu} d_L^k + h.c.$$

The simplest way to determine the various elements of the CKM matrix is by means of processes mediated by charged-current amplitudes

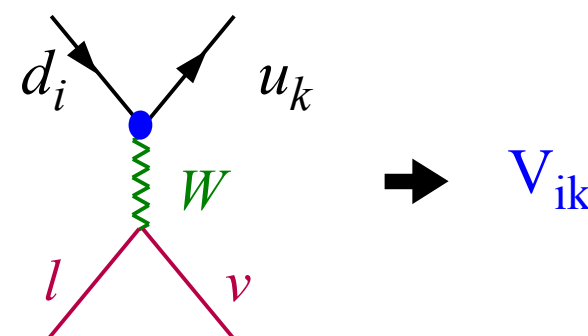


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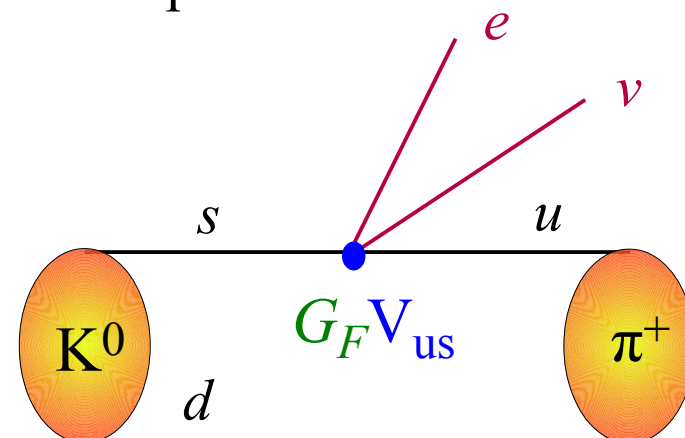
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Actually we never observe free quarks, but we are able to compute semi-leptonic weak decays (β decays) of the hadrons

$$\mathcal{L}_{eff} = \frac{g^2}{2M_W^2} V_{us} \bar{u}_L \gamma^{\mu} s_L \bar{e}_L \gamma_{\mu} \nu_L$$

\swarrow
 G_F

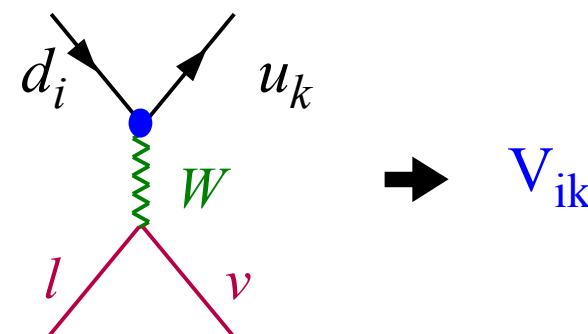


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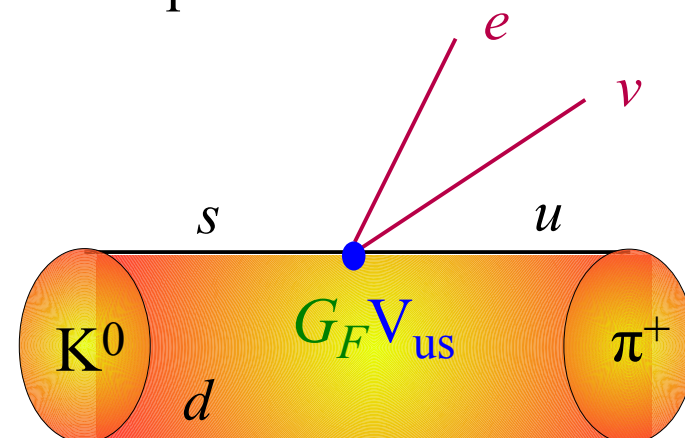
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↔ G_F

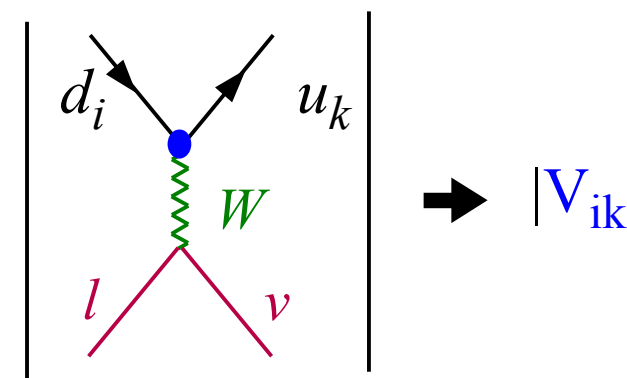


Nuclear β decay $K \rightarrow \pi l \nu$

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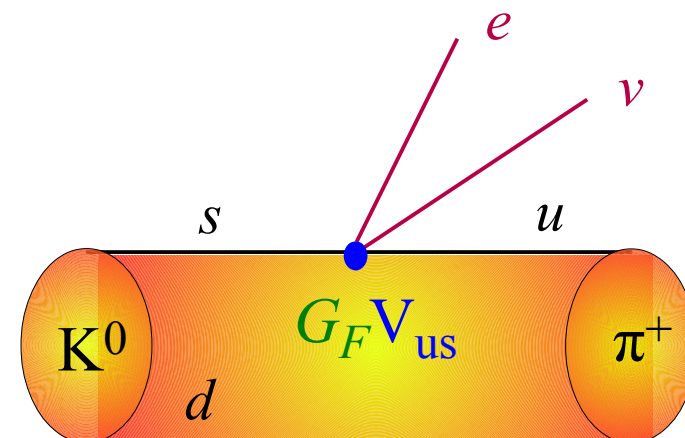
Excellent determination (error $\sim 0.1\%$)
 Very good determination (error $\sim 0.5\%$)

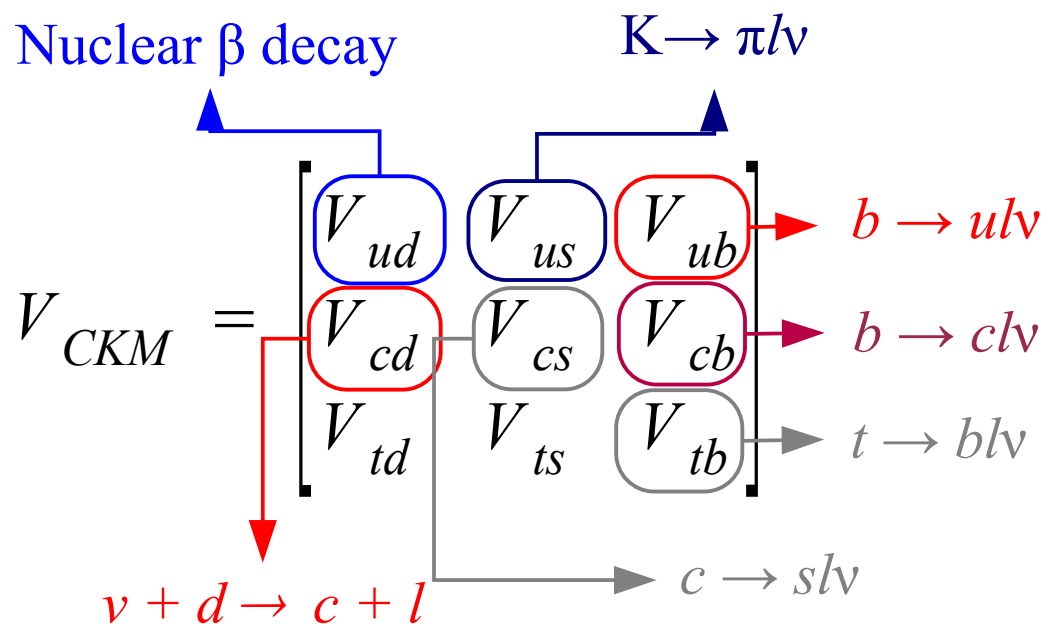
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\swarrow
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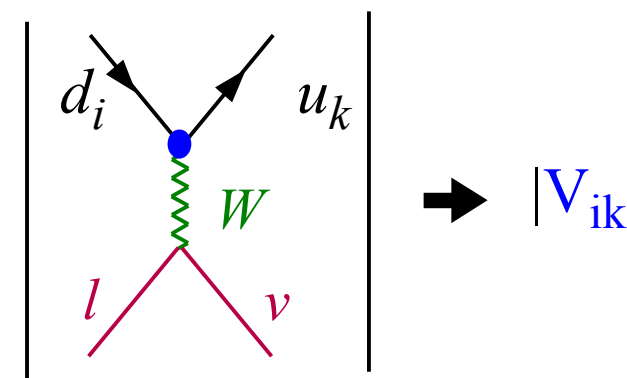
Very good determination (error $\sim 0.5\%$)

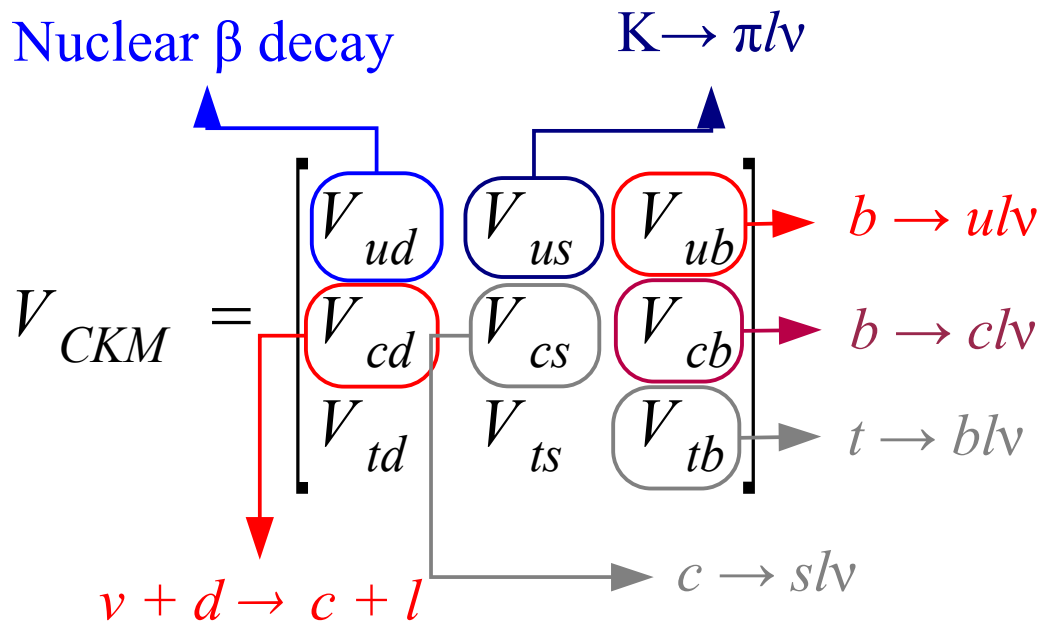
Good determination (error $\sim 2\%$)

Sizable error (5-15 %)

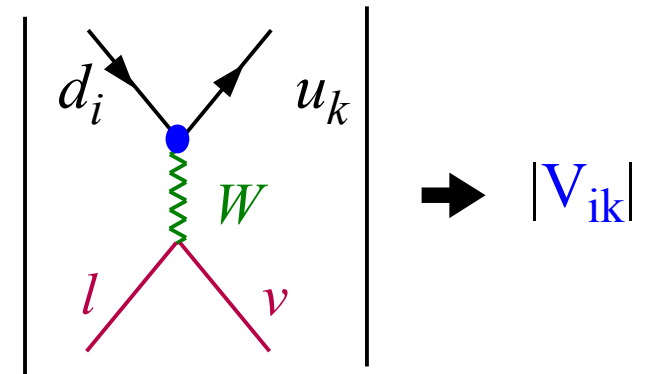
Not competitive with unitarity constraints

The simplest way to determine the various elements of the CKM matrix is by means of processes mediated by charged-current amplitudes





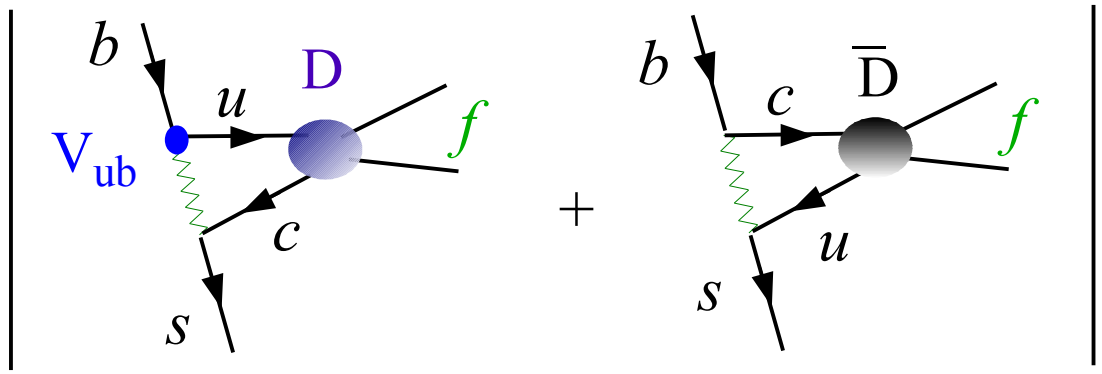
The simplest way to determine the various elements of the CKM matrix is by means of processes mediated by charged-current amplitudes



- Excellent determination (error $\sim 0.1\%$)
- Very good determination (error $\sim 0.5\%$)
- Good determination (error $\sim 2\%$)
- Sizeable error (5-15%)
- Not competitive with unitarity constraints

Also the phase $\gamma = \arg(V_{ub})$ can be obtained by (quasi-) tree-level processes, such as

$B \rightarrow D (\bar{D}) + K \rightarrow f + K :$

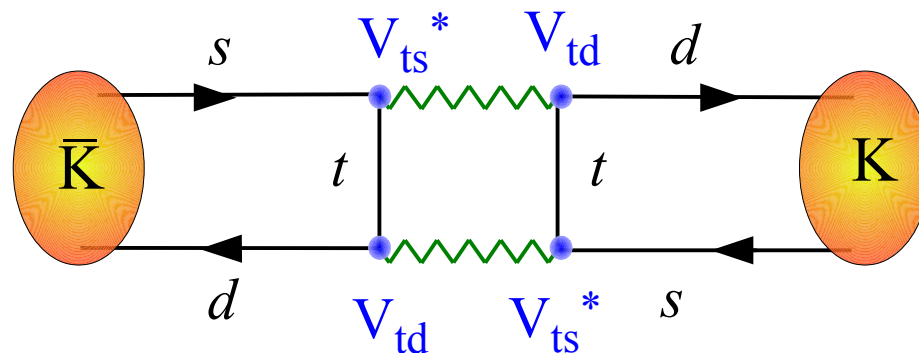
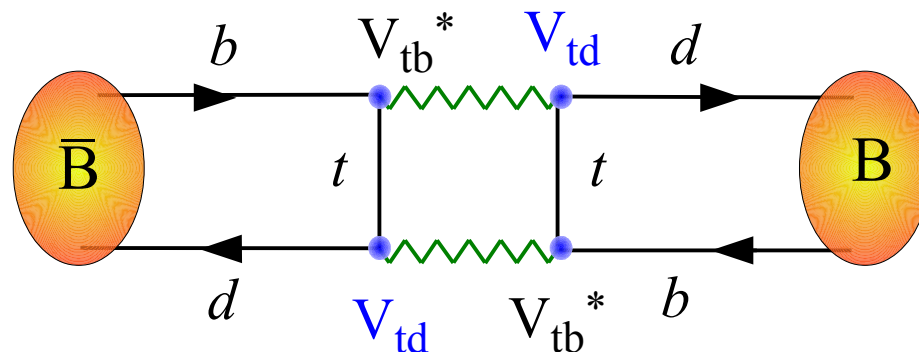


► How to measure the CKM elements

The only two CKM elements we cannot access via (tree-level) charged-current processes are V_{ts} & V_{td}



Loop-induced amplitudes:
(neutral-meson mixing)



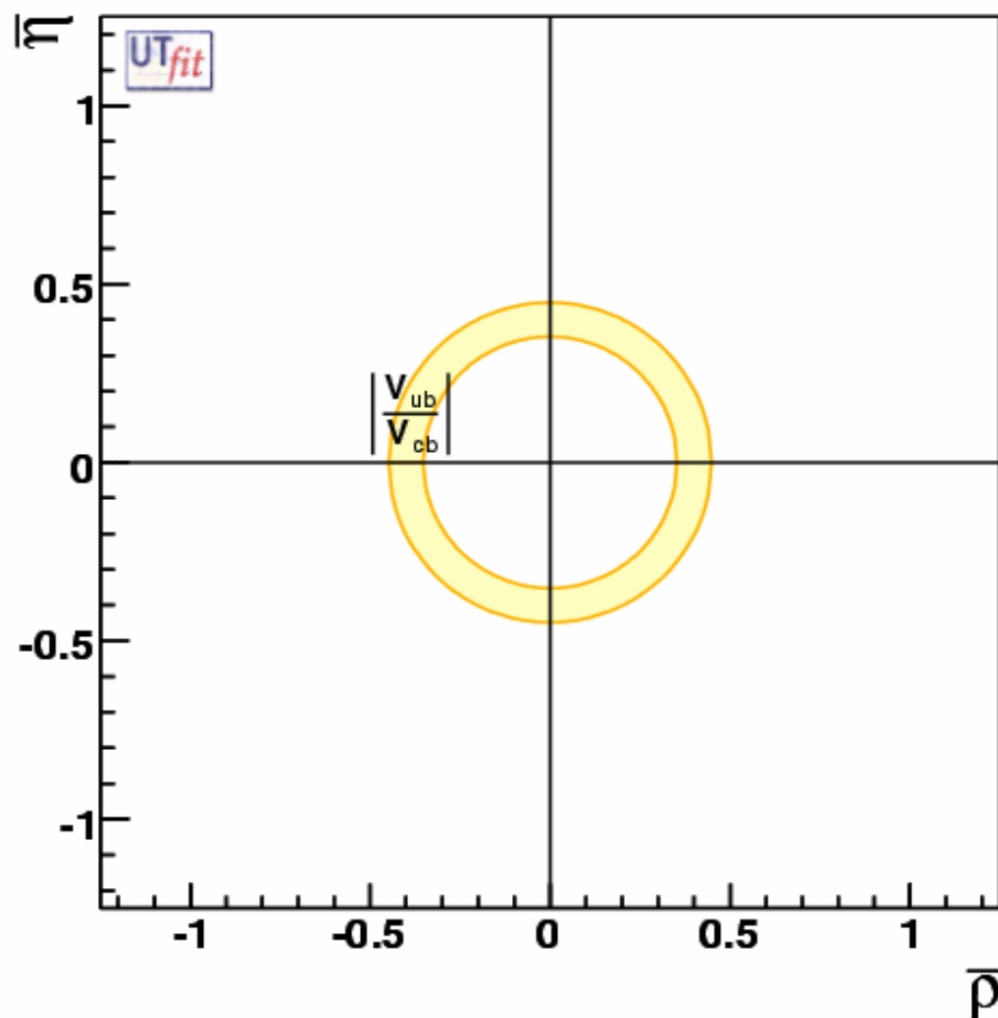
As we will see in the second part of today's lecture, these amplitudes are dominated by the top-quark contribution: $[A \sim m_t^2 V_{tq}^* V_{tb}]$

► Present status of CKM fits

At present, measurements of sides and angles of the CKM unitarity triangle show a remarkable success of the SM:

$$V_{CKM} = \begin{bmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{bmatrix}$$

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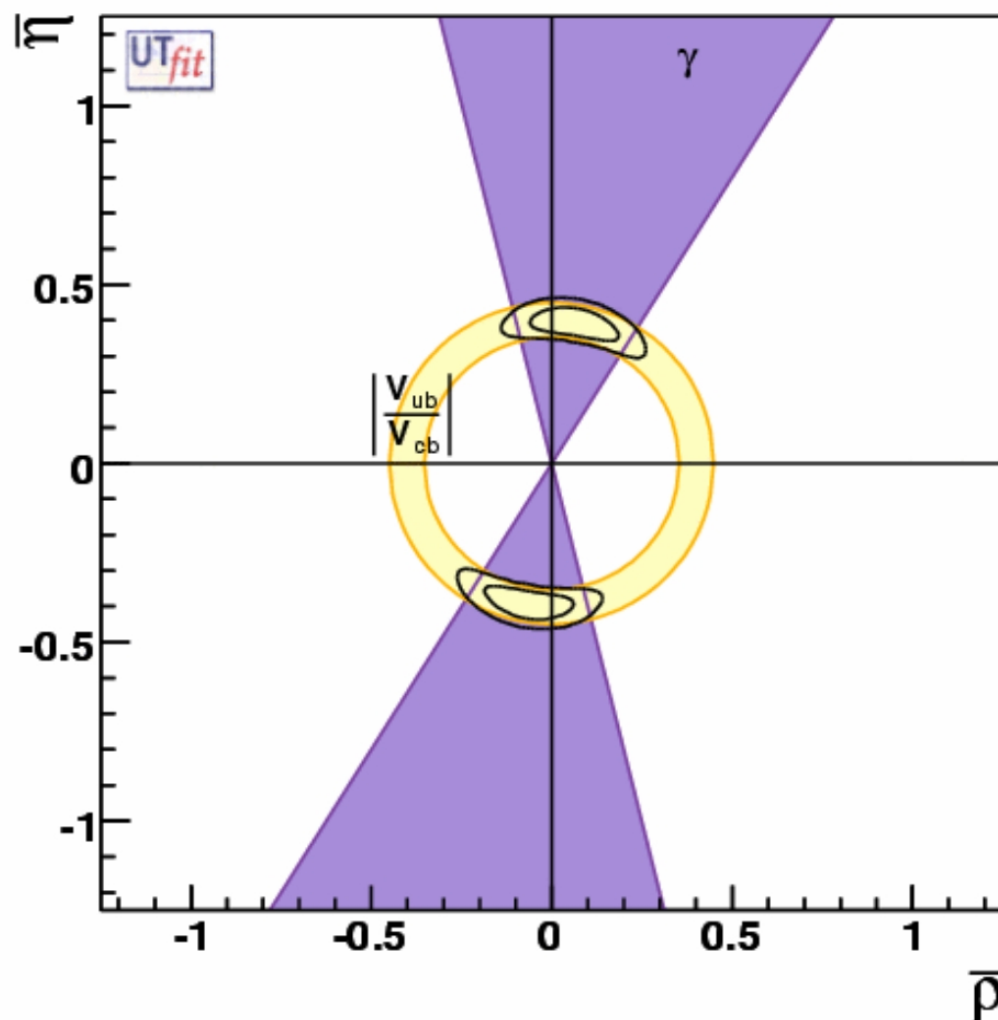


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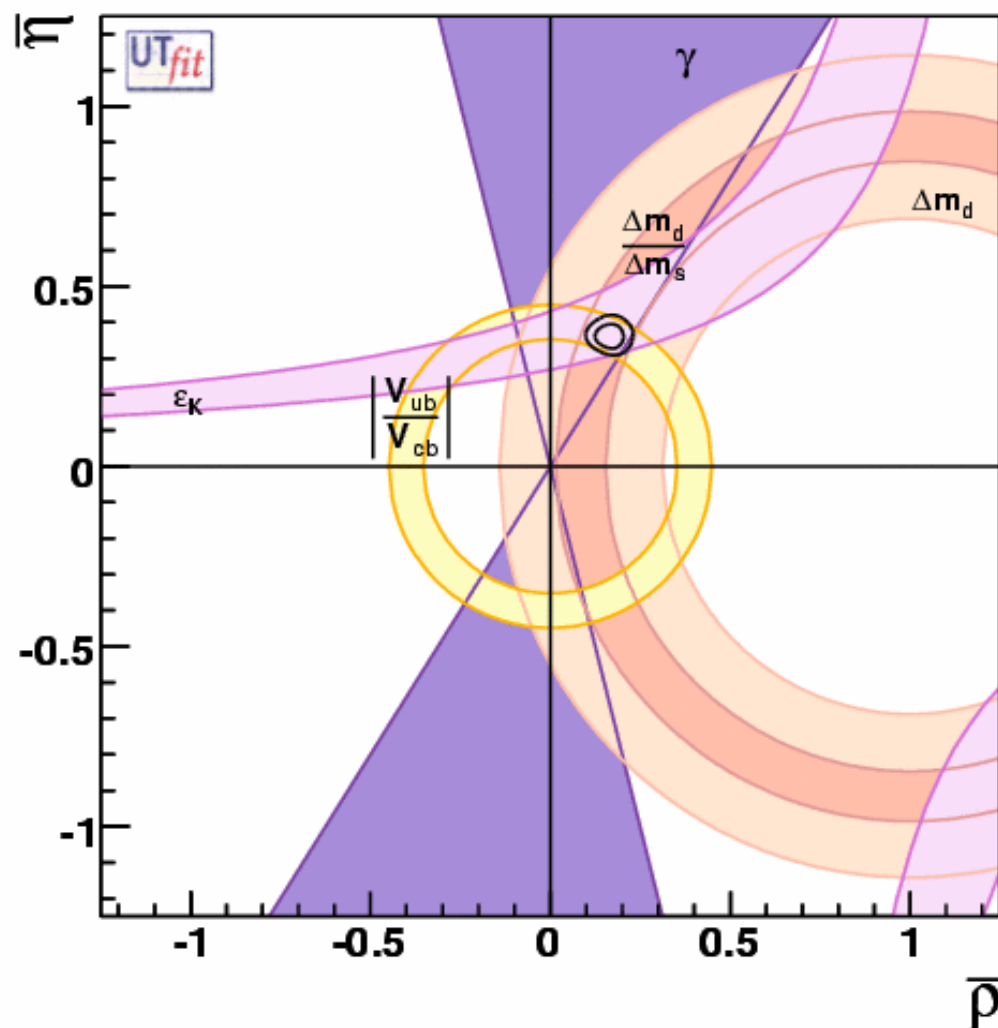


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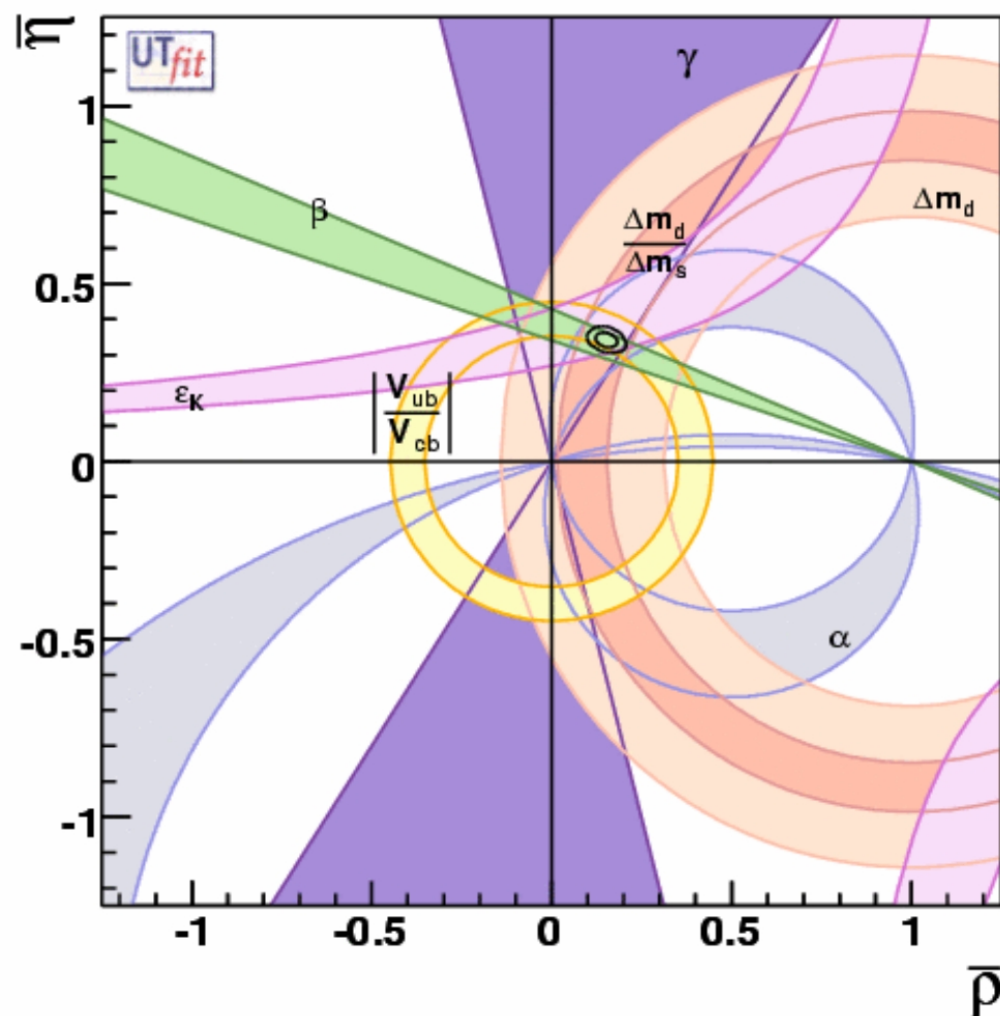


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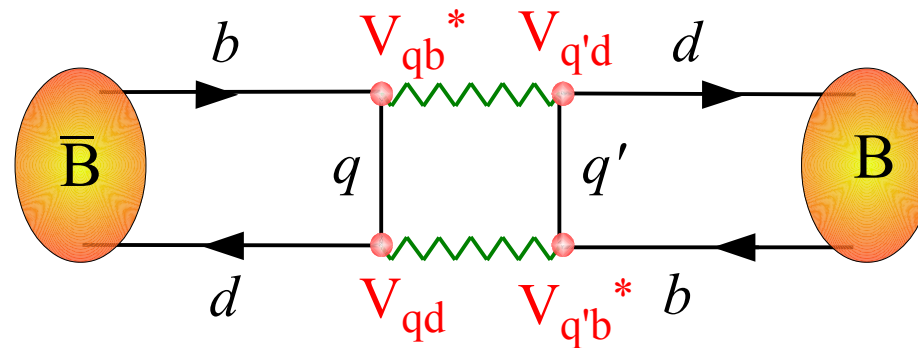
At present, measurements of sides and angles of the CKM unitarity triangle show a remarkable success of the SM (*redundant and consistent determination of various CKM elements*).

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CP violation and neutral meson mixing



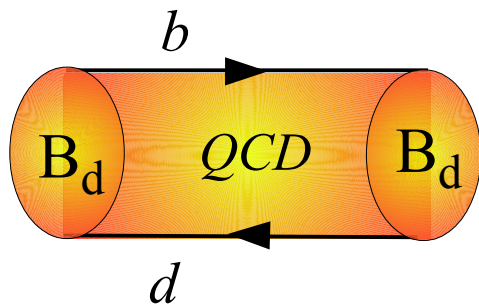
► CP symmetry and neutral meson mixing

The lightest bound states (mesons) composed by a *quark-antiquark pair* of same charge but *different flavor* form a very interesting systems: a pair of pseudo-scalar mesons with

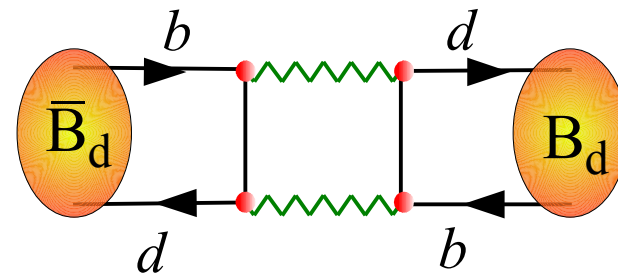
- tiny mass difference (*due to 2nd order weak interactions*)
- mass eigenstates different from flavor eigenstates

Four systems of this type: $K^0 = |\underline{s}d\rangle$, $B_d = |\underline{b}d\rangle$, $B_s = |\underline{b}s\rangle$, $D = |\underline{c}s\rangle$

The interesting time-evolution of these systems has allowed to discover the phenomenon of CP violation in fundamental interactions (so far observed both in the K^0 - \bar{K}^0 and in the B_d - \bar{B}_d systems).



$$M_{B_d} = 5.279 \text{ GeV}$$



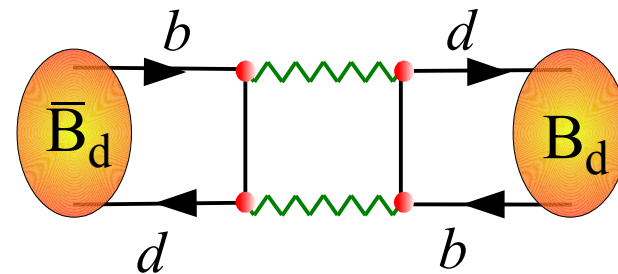
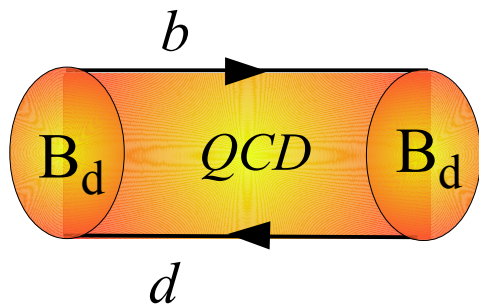
$$\Delta M_{B_d} = 3.4 \times 10^{-13} \text{ GeV}$$

► CP symmetry and neutral meson mixing

The effective Hamiltonian describing the ground state (i.e. the mass matrix) of these systems has a relatively simple structure:

$$i \frac{d}{dt} \begin{bmatrix} B^0 \\ \bar{B}^0 \end{bmatrix} = \begin{bmatrix} M_0 & M_{12} \\ M_{12}^* & M_0 \end{bmatrix} \begin{bmatrix} B^0 \\ \bar{B}^0 \end{bmatrix}$$

- A general theorem of QFT (*the CPT theorem*) implies $M_{11} = M_{22} = M_0 = \text{real}$



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$$|M_{12}| = \Delta M_{B_d} = 3.4 \times 10^{-13} \text{ GeV}$$

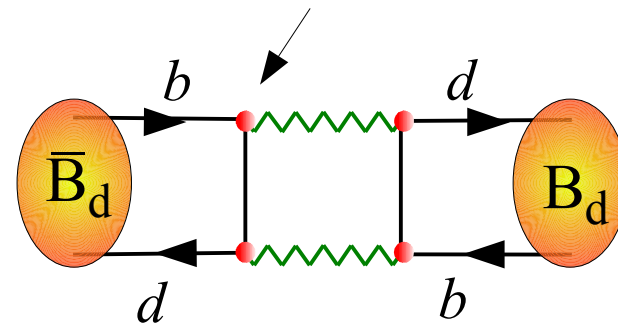
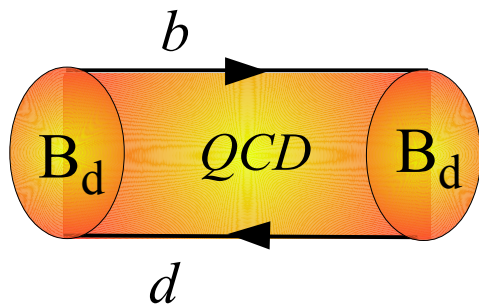
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- A general theorem of QFT (*the CPT theorem*) implies $M_{11} = M_{22} = M_0 = \text{real}$
- If CP is a good symmetry [$A(\text{matter} \rightarrow \text{antimatter}) = A(\text{antimatter} \rightarrow \text{matter})$] then $M_{12} = M_{21} \rightarrow M_{12} = \text{real}$

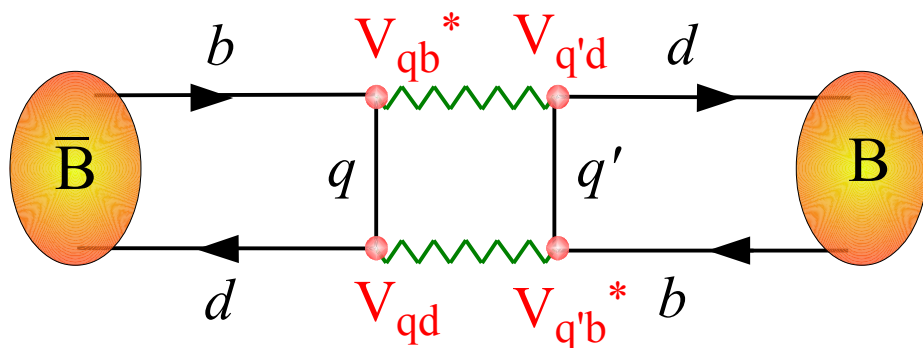
The complex CKM (\leftrightarrow complex Yukawa couplings) *induce CPV*



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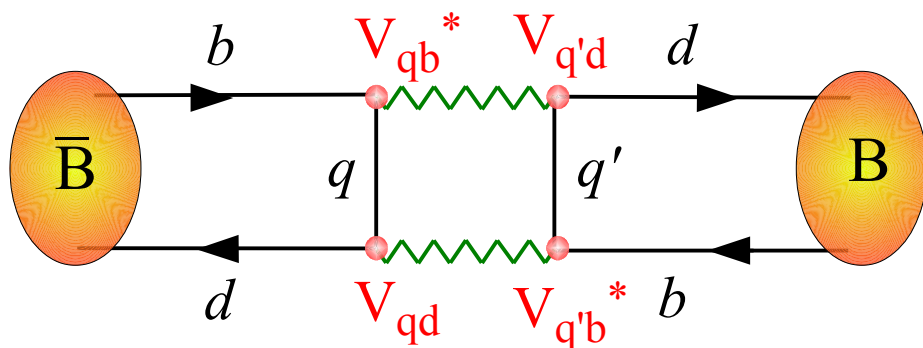
► The $\Delta F=2$ amplitude



Highly-suppressed amplitude
potentially very sensitive to
contributions beyond the SM

- No SM tree-level contribution
- Strong suppression within the SM because of CKM hierarchy
- Calculable with good accuracy [\leftrightarrow top-quark dominance]
- Measurable with good accuracy [\leftrightarrow time evolution of the neutral meson system]

► The $\Delta F=2$ amplitude

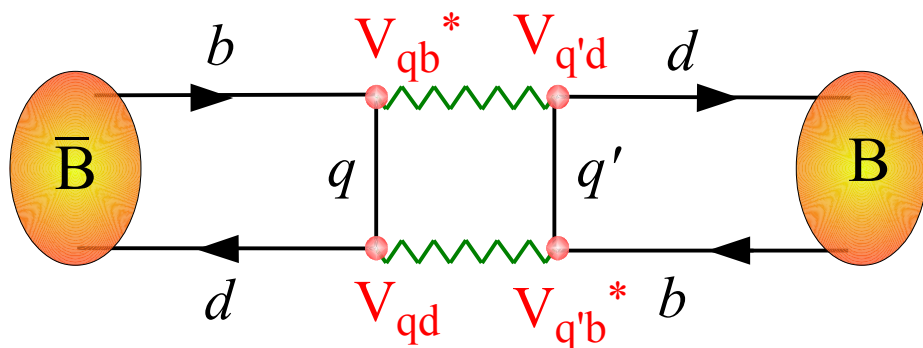


The reason why the amplitude is dominated by the top-quark contribution (*hence is calculable with high precision*) can be somehow understood even without performing a complete QFT calculation:

$$A_{\Delta F=2} = \sum_{q,q'=u,c,t} (V_{qb}^* V_{qd}) (V_{q'b}^* V_{q'd}) A_{q'q}$$

$$A_{qq'} \sim \frac{g^4}{16\pi^2 m_W^2} \left[\text{Const.} + \frac{m_q m_{q'}}{m_W^2} + \dots \right]$$

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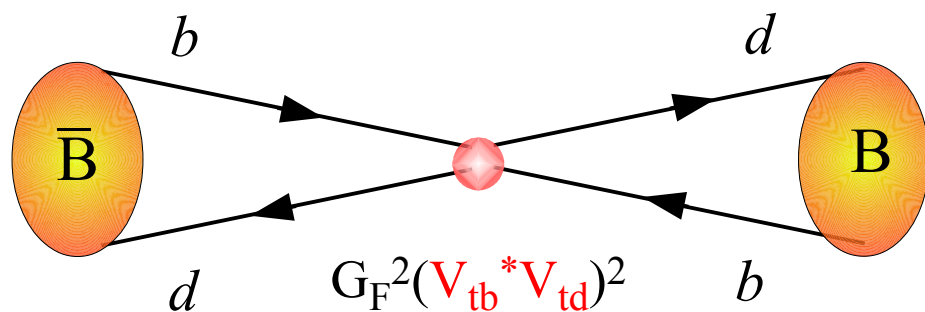
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$$A_{\Delta F=2} = \sum_{q=u,c,t} (V_{qb}^* V_{qd}) [V_{tb}^* V_{td} (A_{tq} - A_{uq}) + V_{cb}^* V_{cd} (A_{cq} - A_{uq})]$$

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since $m_t \gg m_{u,c}$

$$A_{\Delta F=2} \sim (V_{tb}^* V_{td})^2 \frac{g^4 m_t^2}{16\pi^2 m_W^4}$$

► Time evolution and time-dependent CP asymmetries

$$i \frac{d}{dt} \begin{bmatrix} B^0 \\ \bar{B}^0 \end{bmatrix} = [M - i\Gamma/2] \begin{bmatrix} B^0 \\ \bar{B}^0 \end{bmatrix}$$

Taking into account that the heavy quarks inside the mesons decay, we can describe the time evolution of the neutral mesons in full generality by means of a non-Hermitian Hamiltonian

$$\begin{bmatrix} M_0 & M_{12} \\ M_{12}^* & M_0 \end{bmatrix}$$

Mass eigenstates:

$$|B_L\rangle = p|B^0\rangle + q|\bar{B}^0\rangle \quad |B_H\rangle = p|\bar{B}^0\rangle + q|B^0\rangle$$

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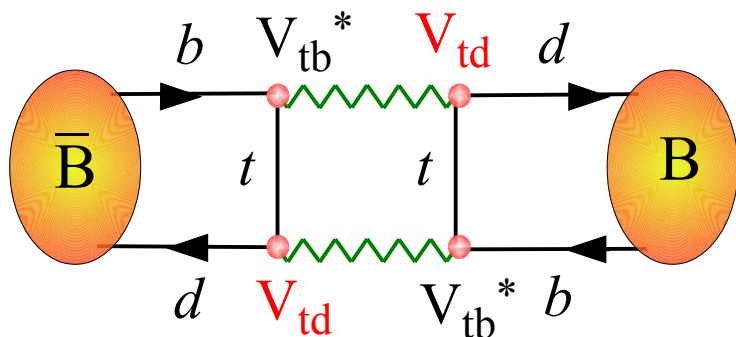
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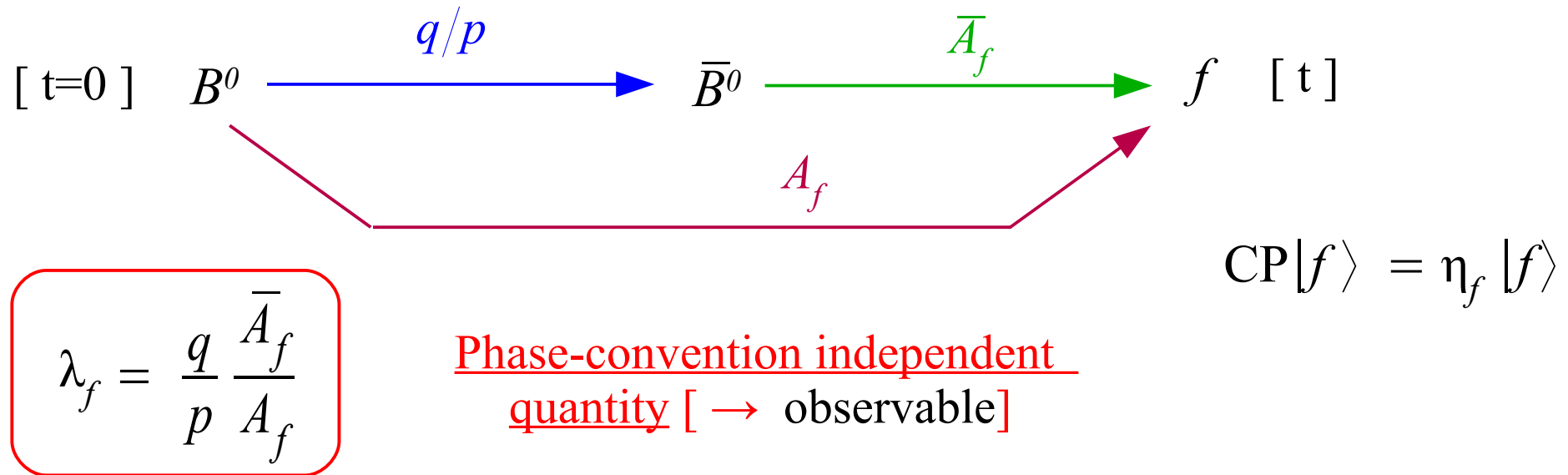


$$\frac{q}{p} = \arg(M_{12}) = \frac{(V_{tb}^* V_{td})^2}{|(V_{tb} V_{td}^*)^2|} = e^{-2i\beta}$$

Large CPV phase

(in the standard CKM phase convention)

The study of time-dependent decays of neutral B into CP eigenstates is a marvelous tool to extract CPV phases in a clean way:



If $|\lambda_f| = 1$ (i.e. if A_f is dominated by a single weak phase) then :

$$\Gamma(B^0(t) \rightarrow f) \propto e^{-\Gamma_B t} \left[1 - \eta_f \text{Im}(\lambda_f) \sin(\Delta m_B t) \right]$$

$$\text{Im}(\lambda_f) \neq 0 \leftrightarrow \text{CP}$$

Key points to successfully use this method:

- **[EXP]**: flavor tagging and time-dependent resolution are essential ingredients
- **[TH]**: identify final states such that A_f is dominated by a single weak phase

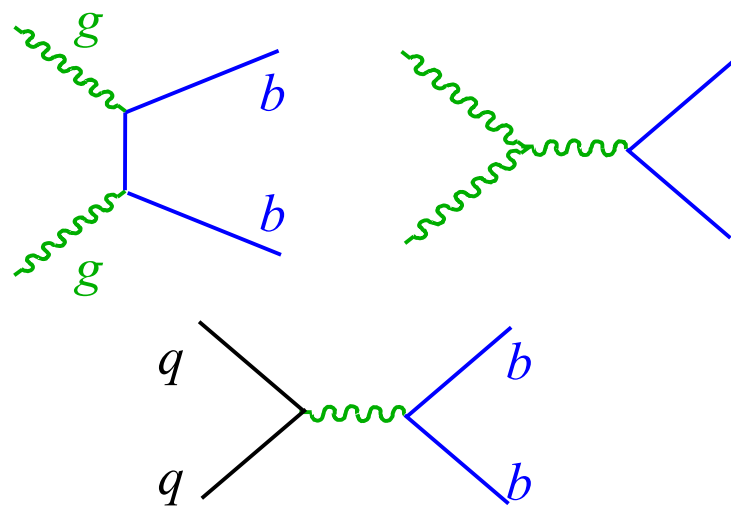
A few words about flavor tagging: B factories vs. hadron colliders

B factories:

$$e^+ + e^- \rightarrow \Psi(4S) \rightarrow \bar{B} B$$

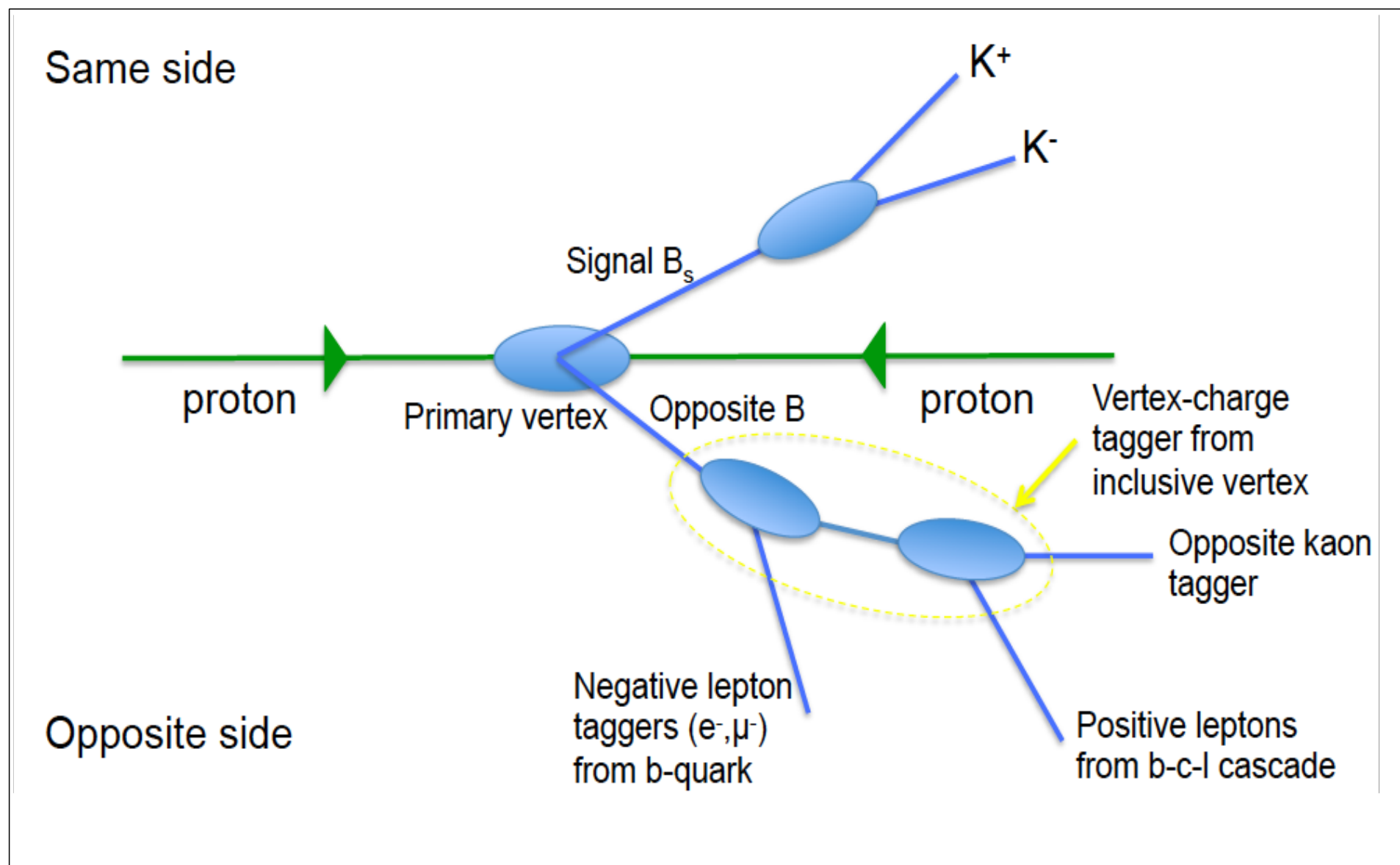
- clean environment [$\sigma(B) / \sigma(\text{bkg}) \sim 0.3$]
- coherent quantum state for neutral B
- “easy” and clean flavor tag from the decay of the opposite meson (e.g. $b \rightarrow c e^- \nu$)
- low stat. [$\sim 10^8$ B pairs / 100 fb^{-1}]

Hadron colliders:



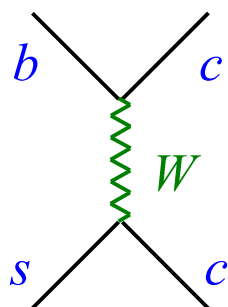
- dirty environment [$\sigma(B) / \sigma(\text{bkg}) < 0.01$]
- incoherent quantum state
- high stat. [$\sim 10^{12}$ B pairs / 1 fb^{-1}]
- all hadrons with b-quarks produced

A few words about flavor tagging: B factories vs. hadron colliders

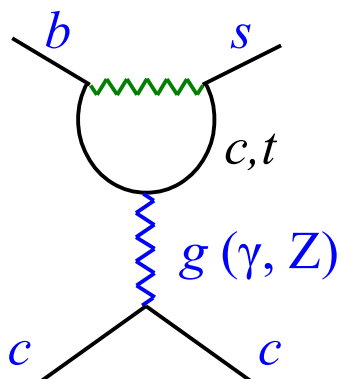


When is A_f dominated by a single weak phase?

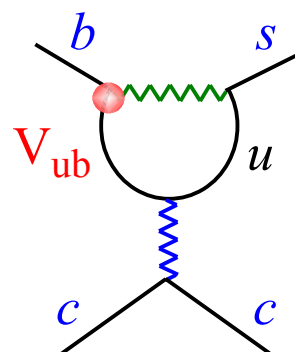
$|\mathbf{B}_d\rangle \rightarrow |\psi \mathbf{K}_S\rangle$ [$b+d \rightarrow c\bar{c}s+d$]



real $O(\lambda^2)$



real $O(\alpha_s \lambda^2)$



$O(\alpha_s \lambda^5)$

dominant amplitude

pollution $\lesssim 1\%$

$$\text{Im}(\lambda_f) = \sin(2\beta)$$

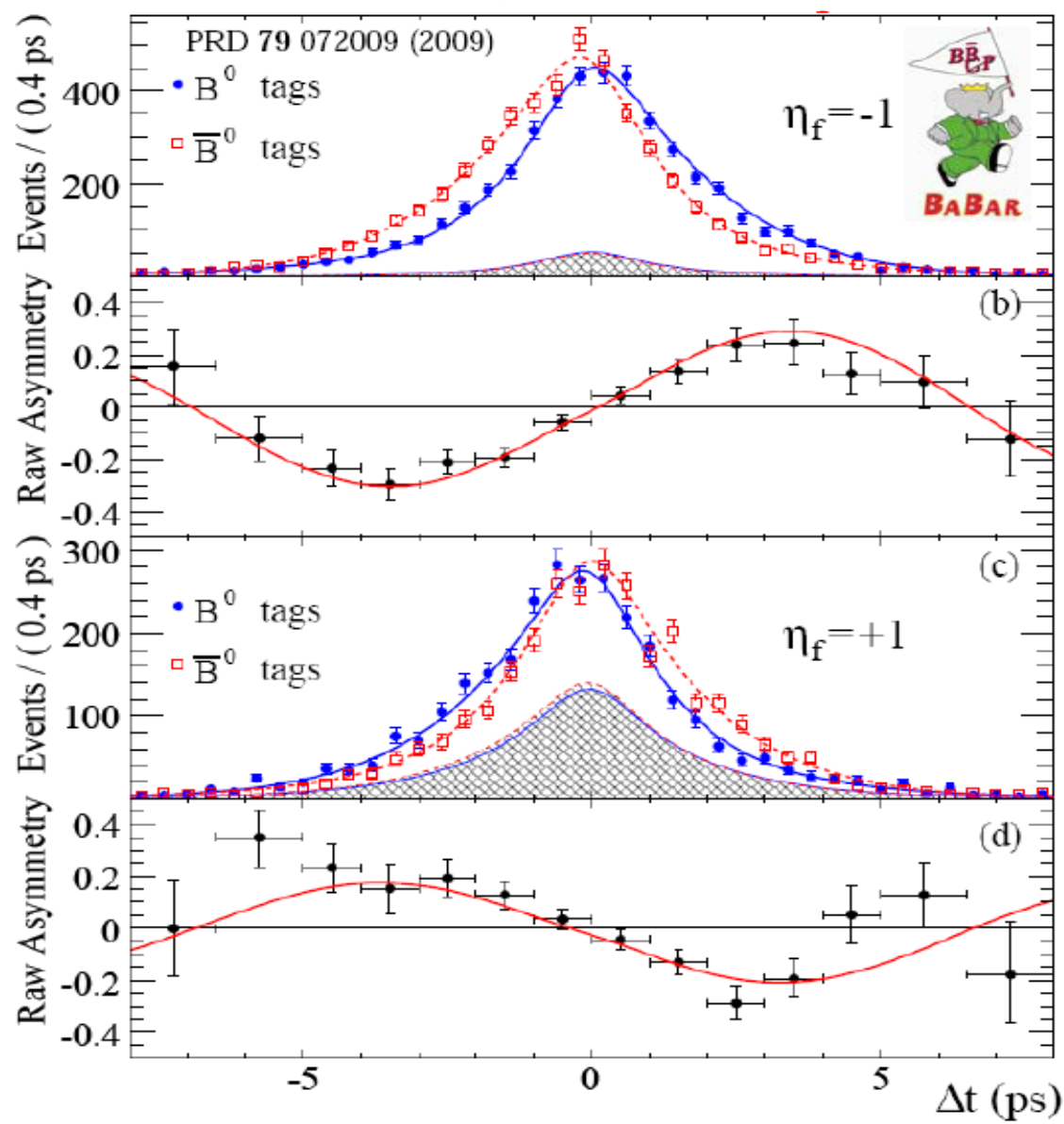
(from the mixing)

extremely precise
constraint in the ρ - η plane

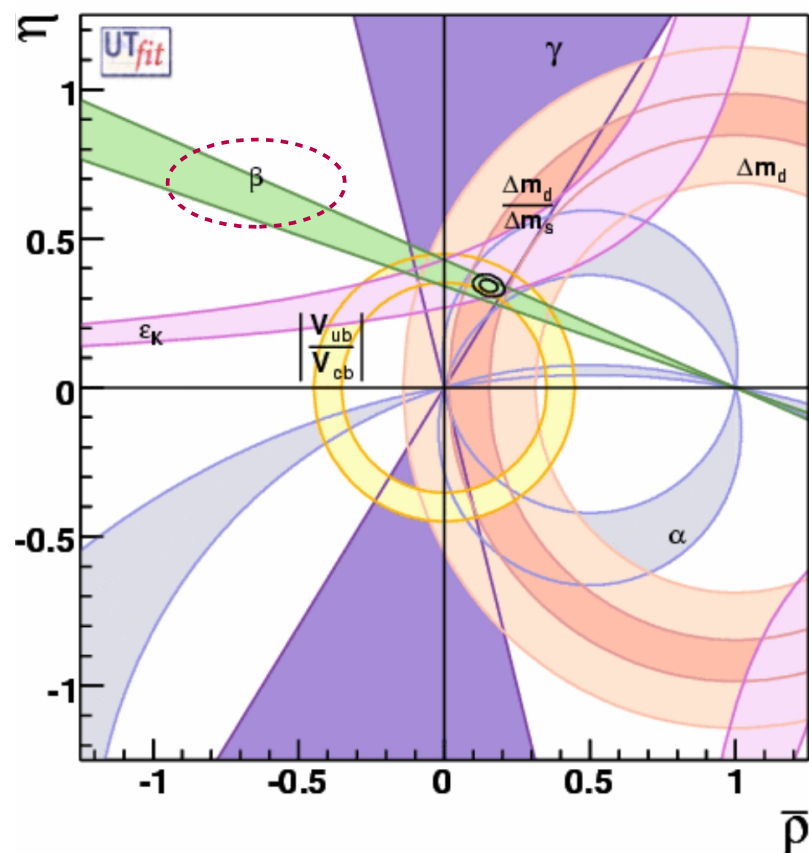


Golden channel for B factories

BaBar's final result



extremely precise
constraint in the ρ - η plane:



► Today's summary

At present, measurements of sides and angles of the CKM unitarity triangle show a remarkable success of the SM (*redundant and consistent determination of various CKM elements*):

