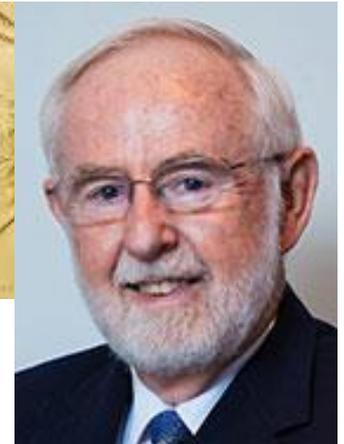
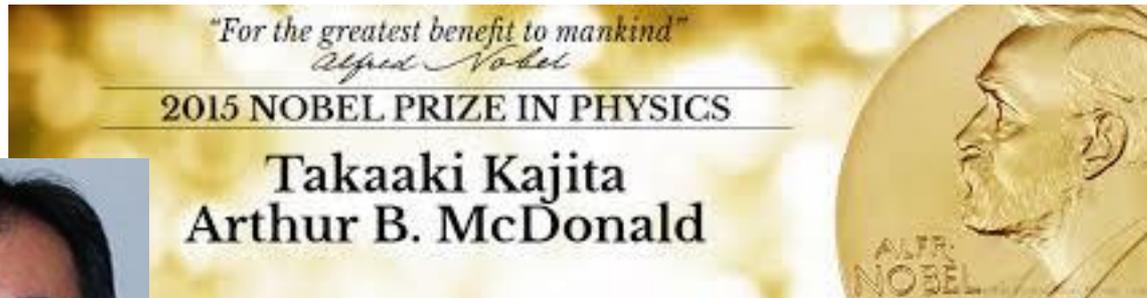


PLAN

- **Lecture I:** Neutrinos in the SM
Neutrino masses and mixing: Majorana vs Dirac
- **Lecture II:** Neutrino oscillations and the discovery of neutrino masses and mixings
- **Lecture III:** The quest for leptonic CP violation
A neutrino look at BSM and the history of the Universe

“For the discovery of **neutrino oscillations**,
which shows that **neutrinos have mass**”



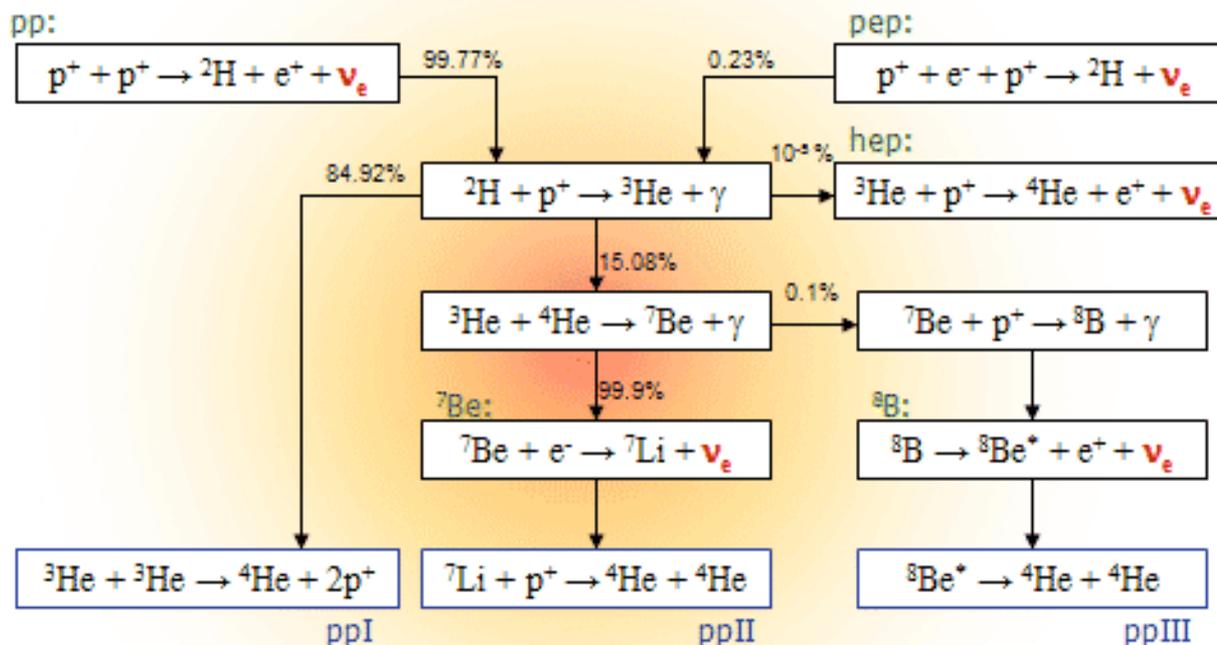
Stars shine neutrinos

1939 Bethe

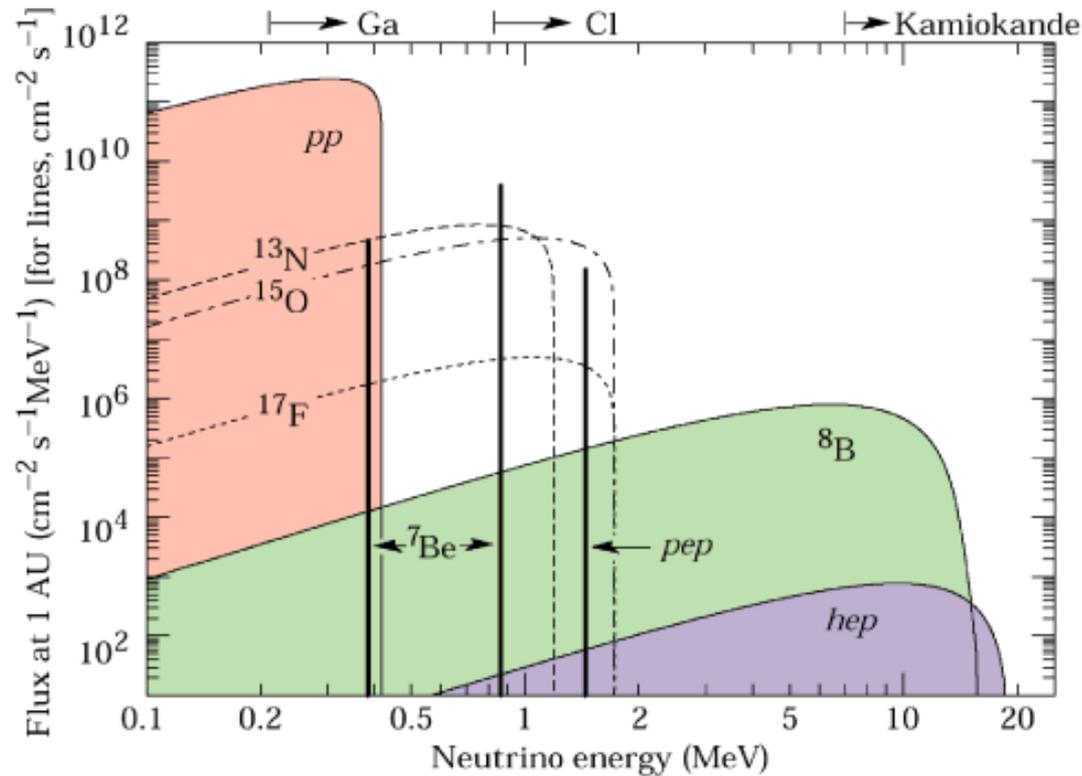
Stablishes the theory of stellar nucleosynthesis



Nobel 1967



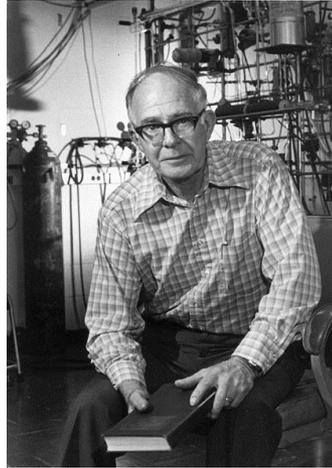
¿How many neutrinos from the Sun ?



Bahcall

The hero of the caves

1966 he detects for the first time
solar neutrinos in a tank of
400000 liters 1280m underground
(Homestake mine)



R. Davis
Nobel 2002



Did not convince because he saw 0.4 of the expected....

Problem in detector ? In solar model ? In neutrinos ?

Neutrino masses & lepton mixing (Dirac)

Couplings to the Higgs give rise to fermion masses and connect families!

$$\mathcal{L}_{\text{lepton}}^{\text{free}} = \sum_{\alpha} \bar{\nu}_{\alpha} i \not{\partial} \nu_{\alpha} + \sum_{\alpha} \bar{l}_{\alpha} i \not{\partial} l_{\alpha} - \left(\sum_{\alpha\beta} \bar{\nu}_{L\alpha} \underbrace{Y_{\nu\alpha\beta} \frac{v}{\sqrt{2}}}_{M_{\nu\alpha\beta}} \nu_{R\beta} + \sum_{\alpha\beta} \bar{l}_{L\alpha} \underbrace{Y_{l\alpha\beta} \frac{v}{\sqrt{2}}}_{M_{l\alpha\beta}} l_{R\beta} + h.c. \right)$$

$$M_{\nu} = U_{\nu}^{\dagger} \text{Diag}(m_1, m_2, m_3) V_{\nu}, \quad M_l = U_l^{\dagger} \text{Diag}(m_e, m_{\mu}, m_{\tau}) V_l$$

In the mass eigenbasis

$$\nu'_{Li} \equiv \sum_{\alpha} (U_{\nu})_{i\alpha} \nu_{L\alpha}, \quad \nu'_{Ri} = \sum_{\alpha} (V_{\nu})_{i\alpha} \nu_{R\alpha},$$

$$l'_{Li} \equiv \sum_{\alpha} (U_l)_{i\alpha} l_{L\alpha}, \quad l'_{Ri} = \sum_{\alpha} (V_l)_{i\alpha} l_{R\alpha},$$

Neutrino masses & lepton mixing (Dirac)

Couplings to the Higgs give rise to fermion masses and connect families!

$$\mathcal{L}_{\text{lepton}}^{\text{free}} = \sum_{\alpha} \bar{\nu}_{\alpha} i \not{\partial} \nu_{\alpha} + \sum_{\alpha} \bar{l}_{\alpha} i \not{\partial} l_{\alpha} - \left(\sum_{\alpha\beta} \bar{\nu}_{L\alpha} \underbrace{Y_{\nu\alpha\beta} \frac{v}{\sqrt{2}}}_{M_{\nu\alpha\beta}} \nu_{R\beta} + \sum_{\alpha\beta} \bar{l}_{L\alpha} \underbrace{Y_{l\alpha\beta} \frac{v}{\sqrt{2}}}_{M_{l\alpha\beta}} l_{R\beta} + h.c. \right)$$

$$M_{\nu} = U_{\nu}^{\dagger} \text{Diag}(m_1, m_2, m_3) V_{\nu}, \quad M_l = U_l^{\dagger} \text{Diag}(m_e, m_{\mu}, m_{\tau}) V_l$$

In the mass eigenbasis

$$\mathcal{L}_{\text{lepton}}^{\text{free}} = \sum_{i=1,2,3} \bar{\nu}'_i (i \not{\partial} - m_i) \nu'_i + \sum_{l'=e,\mu,\tau} \bar{l}' (i \not{\partial} - m_{l'}) l'$$

Three massive neutrinos and three massive leptons

Neutrino masses & lepton mixing (Dirac)

$$\mathcal{L}_{\text{lepton}}^{\text{Weak}} = -\frac{g}{\sqrt{2}} \sum_{\alpha} \bar{\nu}_{L\alpha} \gamma_{\mu} l_{L\alpha} W_{\mu}^{+} - \frac{g}{2 \cos \theta_W} \sum_{\alpha} \bar{\nu}_{L\alpha} \gamma_{\mu} \nu_{L\alpha} Z_{\mu} + h.c.$$

In the new basis:

$$\mathcal{L}_{\text{lepton}}^{\text{Weak}} = -\frac{g}{\sqrt{2}} \sum_i \bar{\nu}'_{Li} \underbrace{(U_{\nu} U_l^{\dagger})_{ij}}_{U_{\text{PMNS}}^{\dagger}} \gamma_{\mu} l'_{Lj} W_{\mu}^{+} - \frac{g}{2 \cos \theta_W} \sum_{\alpha} \bar{\nu}'_{Li} \gamma_{\mu} \nu'_{Lj} Z_{\mu} + h.c.$$

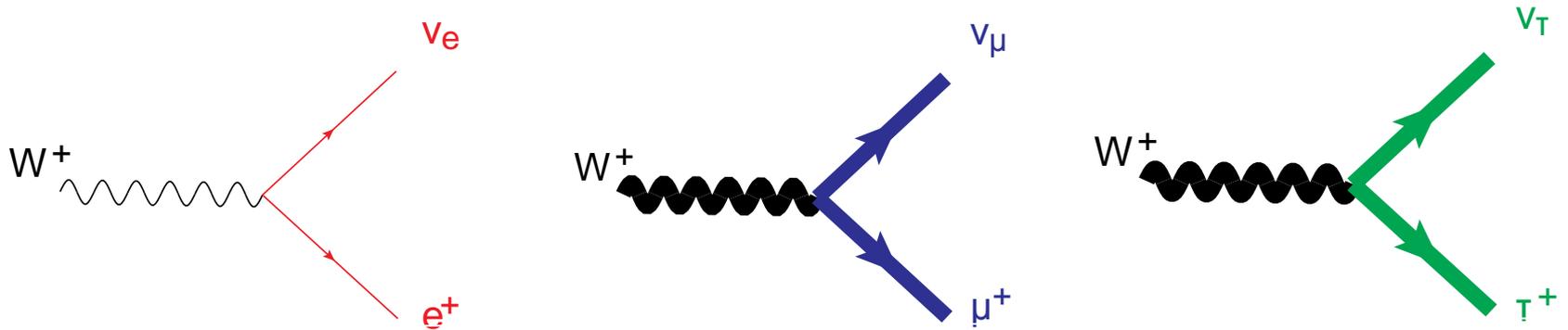
Pontecorvo-Maki-Nakagawa-Sakata Matrix

$U_{\text{PMNS}}(\theta_{12}, \theta_{13}, \theta_{23}, \delta)$ unitary matrix analogous to CKM

Lepton mixing

$$\mathcal{L}_{\text{gauge-lepton}} \supset -\frac{g}{\sqrt{2}} \begin{pmatrix} \bar{e} & \bar{\mu} & \bar{\tau} \end{pmatrix} W_{\mu}^{-} \gamma_{\mu} P_L U_{\text{PMNS}} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix} + h.c.$$

The neutrino flavour basis:



States produced in a CC interaction in combination with \$e, \mu, \tau\$

$$\begin{pmatrix} \nu_e \\ \nu_{\mu} \\ \nu_{\tau} \end{pmatrix} = U_{\text{PMNS}} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Eigenstates of the free Hamiltonian

Neutrino oscillations

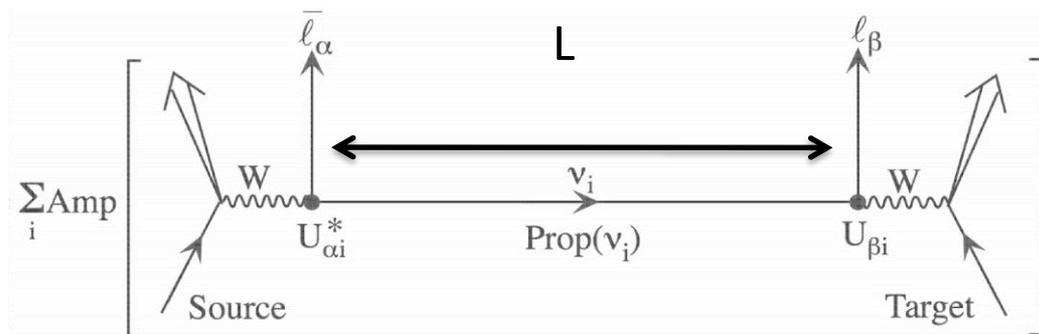
Neutrinos are produced and detected via weak interactions as flavour states:

$$|\nu_\alpha\rangle = \sum_{i=1}^3 U_{\alpha i}^* |\nu_i\rangle, \quad \alpha = e, \mu, \tau$$



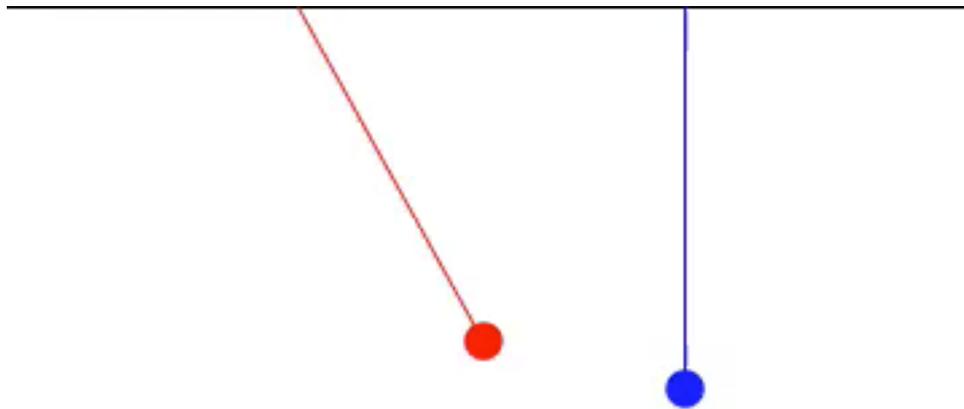
Бруно Понтекорво

A neutrino experiment is an interferometer in flavour space, because neutrinos are so weakly interacting that can keep coherence over very long distances !



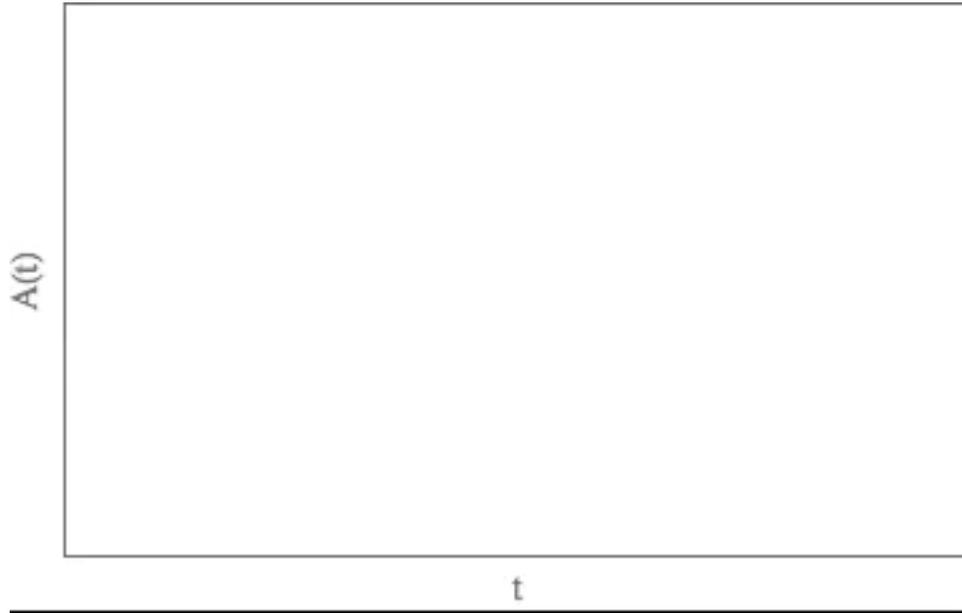
Classical analogy I: no flavour mixing

A ν_e is produced and stays a ν_e ...



ν_e

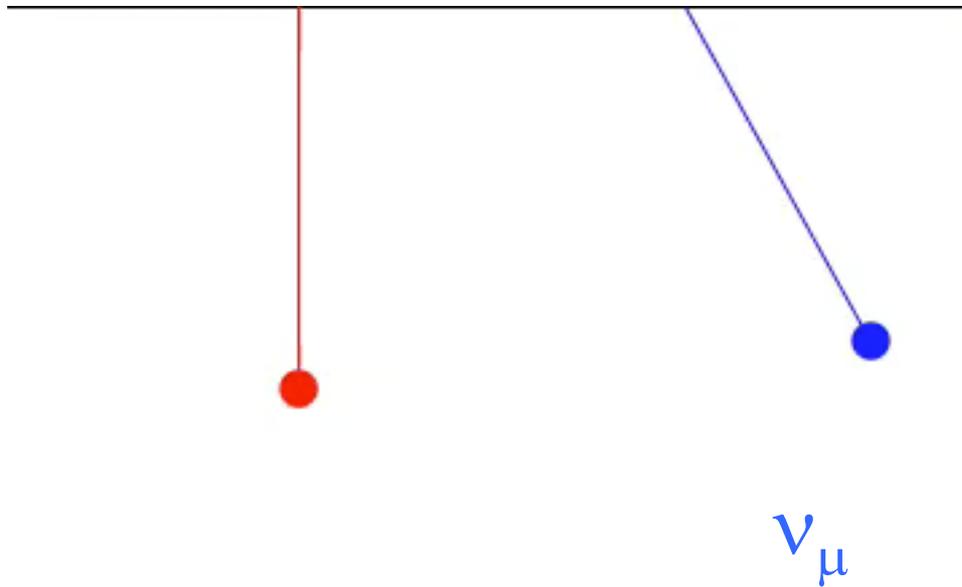
$$\text{Prob}(\nu_e)(t) = |\text{Amplitude}(t)|^2, \quad \text{Prob}(\nu_e)(t_0) = 1$$

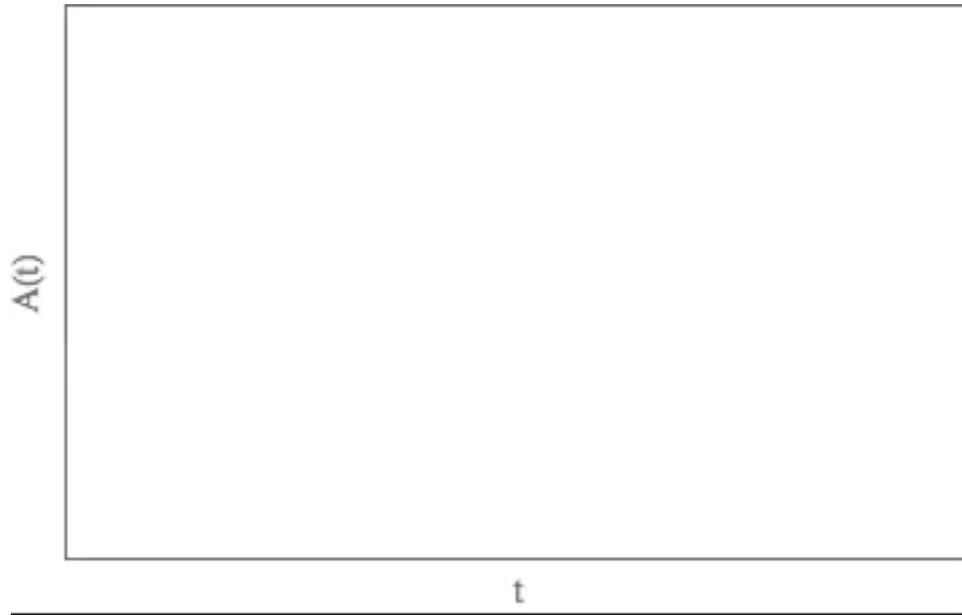


The probability to find a ν_e at any time is the same, but the probability to find a ν_μ is zero.

No flavour mixing

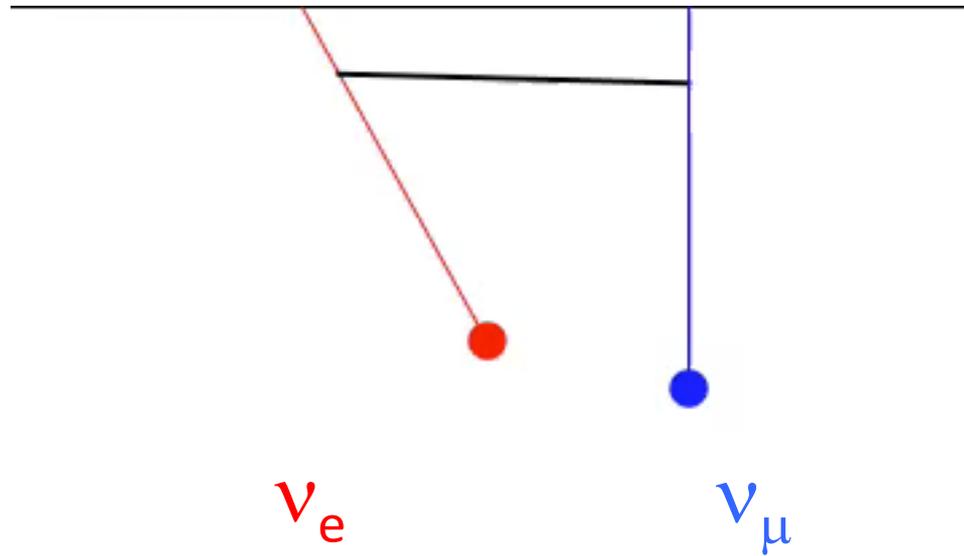
A ν_μ is produced and stays a ν_μ ...





The probability to find a V_μ at any time is the same, but the probability to find a V_e is zero.

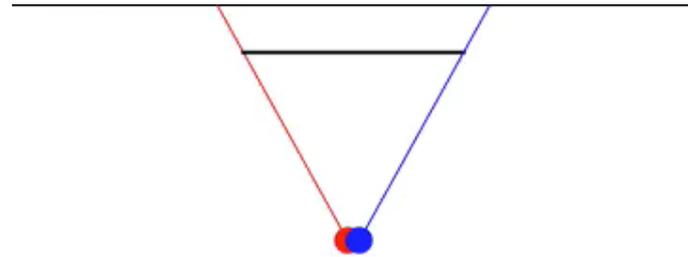
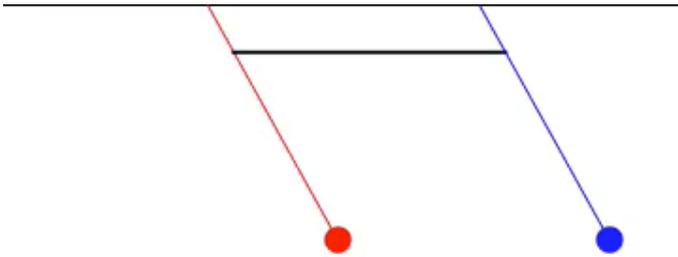
Classical analogy II: Maximal flavour mixing

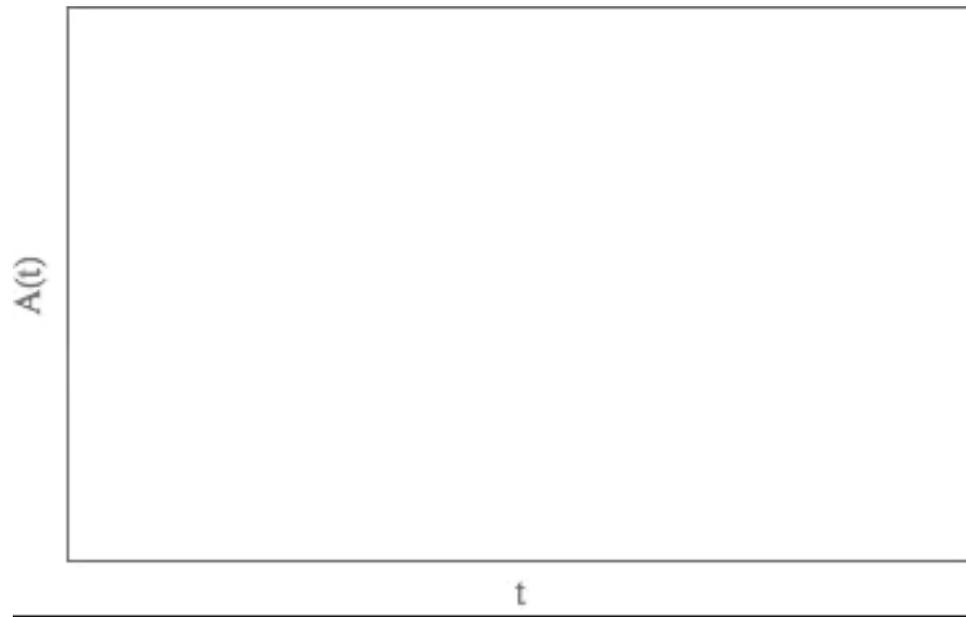




The probability to find a V_e oscillates with time and so does that of V_μ

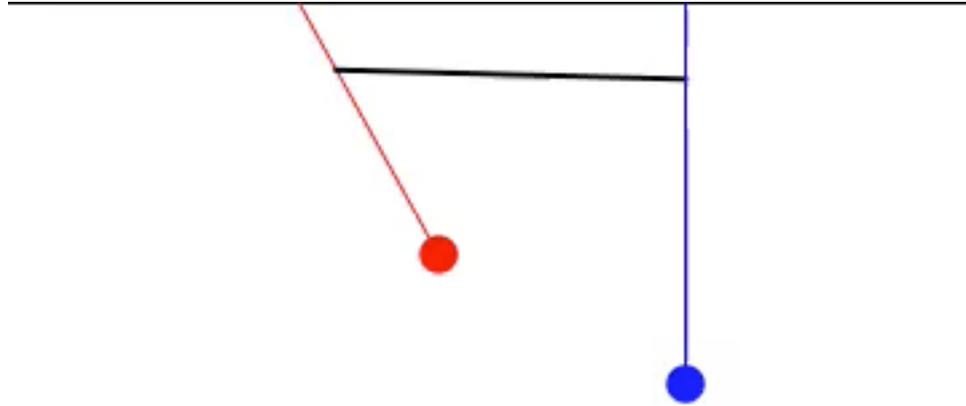
Mass eigenstates=normal modes





The probability to find a v_e or v_μ does not change with time

Classical analogy III: small flavour mixing





The probability to find a V_e oscillates with time and so does that of V_μ

Neutrino oscillations in vacuum

We start at time t_0 with a neutrino state of flavour α :

$$|\nu_\alpha(t_0)\rangle = \sum_i U_{\alpha i}^* |\nu_i(\mathbf{p})\rangle, \quad \hat{H}|\nu_i(\mathbf{p})\rangle = E_i(\mathbf{p})|\nu_i(\mathbf{p})\rangle, \quad \mathbf{p}^2 + m_i^2 = E_i^2(\mathbf{p})$$

\downarrow time evolution $\equiv e^{-i\hat{H}(t-t_0)}$

$$|\nu_\alpha(t)\rangle = \sum_i U_{\alpha i}^* e^{-iE_i(\mathbf{p})(t-t_0)} |\nu_i(\mathbf{p})\rangle$$

We want to know the probability that at time t a measurement reveals that the state is one with flavour β :

$$|\nu_\beta\rangle = \sum_i U_{\beta i}^* |\nu_i(\mathbf{p})\rangle$$

Neutrino oscillations in vacuum

$$P(\nu_\alpha \rightarrow \nu_\beta)(t) = |\langle \nu_\beta | \nu_\alpha(t) \rangle|^2 = \left| \sum_i U_{\beta i} U_{\alpha i}^* e^{-iE_i(t-t_0)} \right|^2$$
$$= \sum_{i,j} U_{\beta i} U_{\alpha i}^* U_{\beta j}^* U_{\alpha j} e^{-i(E_i - E_j)(t-t_0)}$$

$$E_i(\mathbf{p}) - E_j(\mathbf{p}) \simeq \frac{1}{2} \frac{m_i^2 - m_j^2}{|\mathbf{p}|} + \mathcal{O}(m^4)$$

$$L \simeq t - t_0, v_i \simeq c$$

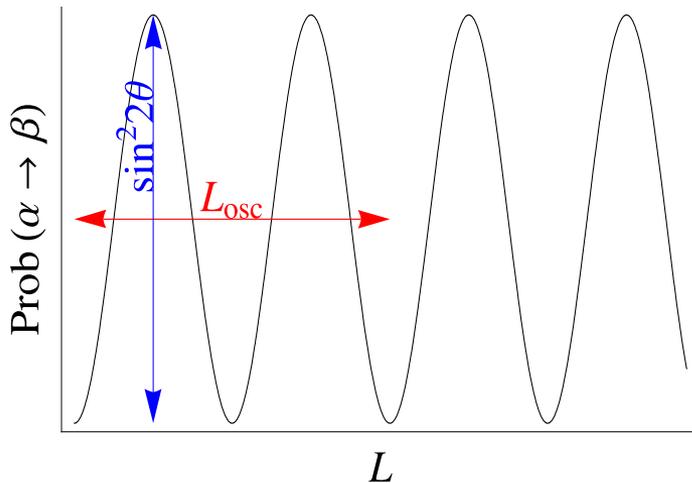
$$P(\nu_\alpha \rightarrow \nu_\beta)(L) \simeq \sum_{i,j} e^{i \frac{\Delta m_{ji}^2 L}{2E}} U_{\beta i} U_{\alpha i}^* U_{\beta j}^* U_{\alpha j}$$

Neutrino Oscillation: 2ν

Only one oscillation frequency, $U = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix}$

$$P(\nu_\alpha \rightarrow \nu_\beta) = \sin^2 2\theta \sin^2 \left(1.27 \frac{\Delta m^2 (eV^2) L (km)}{E (GeV)} \right)$$

(appearance probability)



$$P(\nu_\alpha \rightarrow \nu_\alpha) = 1 - P(\nu_\alpha \rightarrow \nu_\beta)$$

(disappearance or survival probability)

$$L_{osc} (km) = \frac{\pi}{1.27} \frac{E (GeV)}{\Delta m^2 (eV^2)}$$

Optimal experiment: $\frac{E}{L} \sim \Delta m^2$

$\frac{E}{L} \gg \Delta m^2$ Oscillation suppressed

$$P(\nu_\alpha \rightarrow \nu_\beta) \propto \sin^2 2\theta (\Delta m^2)^2$$

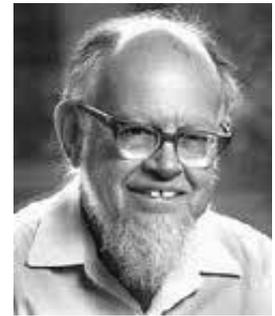
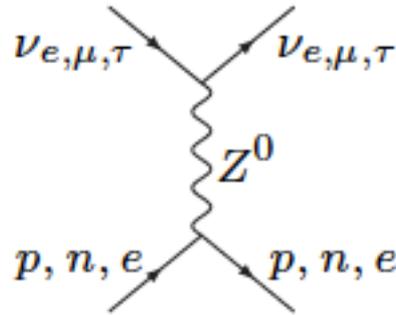
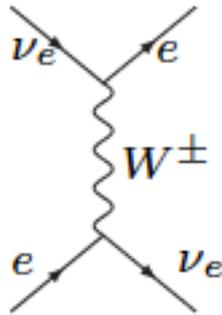
$\frac{E}{L} \ll \Delta m^2$ Fast oscillation regime

$$P(\nu_\alpha \rightarrow \nu_\beta) \simeq \sin^2 2\theta \left\langle \sin^2 \frac{\Delta m^2 L}{4E} \right\rangle \simeq \frac{1}{2} \sin^2 2\theta = |U_{\alpha 1}^* U_{\beta 1}|^2 + |U_{\alpha 2}^* U_{\beta 2}|^2$$

Equivalent to incoherent propagation: sensitivity to mass splitting is lost

Neutrino Oscillations in matter

Many neutrino oscillation experiments involve neutrinos propagating in matter (**Earth for atmospheric neutrinos or accelerator experiments**, **Sun for solar neutrinos**)



Wolfenstein

Index of refraction (coherent forward scattering) different for electron and μ/τ neutrinos

Neutrino propagation in matter

$$M_\nu^2 \longrightarrow \pm 2V_m E + M_\nu^2$$

+: neutrinos, -: antineutrinos

In the flavour basis:

$$V_m = \begin{pmatrix} V_{NC} + \sqrt{2}G_F N_e & & \\ & V_{NC} & \\ & & V_{NC} \end{pmatrix}$$

Earth: $V_m \simeq 10^{-13} eV \rightarrow 2V_m E \simeq 10^{-4} eV^2 \left[\frac{E}{1 GeV} \right]$

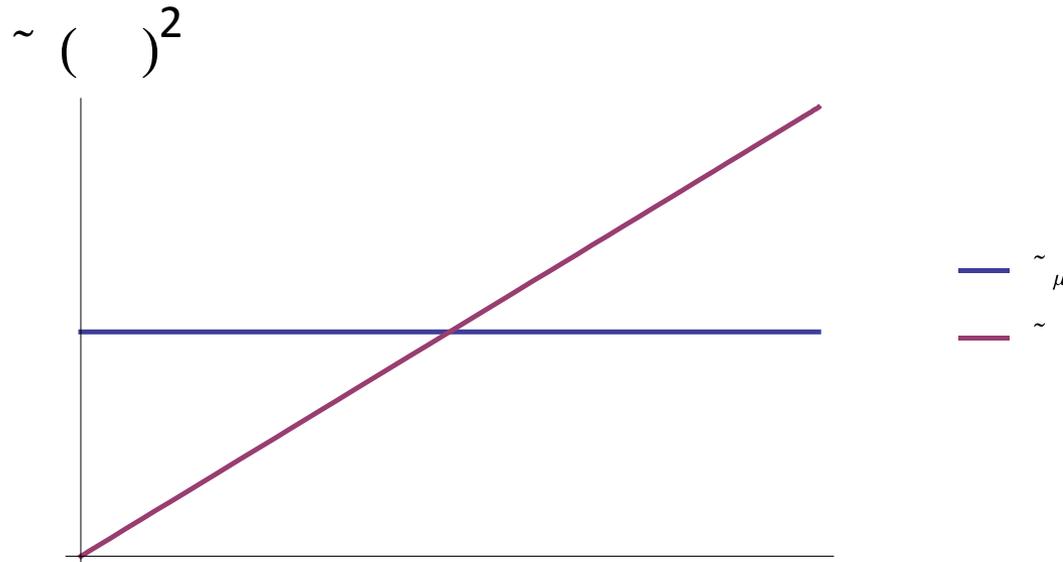
Sun: $V_m \simeq 10^{-12} eV \rightarrow 2V_m E \simeq 10^{-6} eV^2 \left[\frac{E}{1 MeV} \right]$

Effective masses in matter

Solar neutrinos are produced in the center of the sun and as they exit they encounter a decaying matter density:

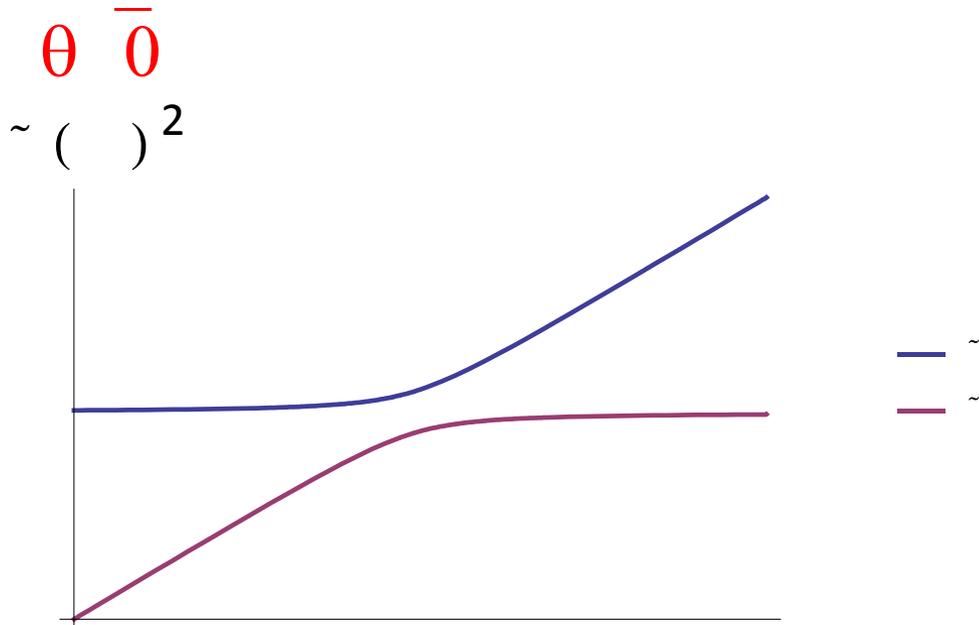
$$N_e(r) \propto N_e(0)e^{-r/R}$$

$\theta=0$



MSW resonance

Mikheyev, Smirnov '85



↓

$$\Delta m^2 \cos 2\theta \pm 2\sqrt{2} G_F E N_e = 0$$

MSW Resonance:

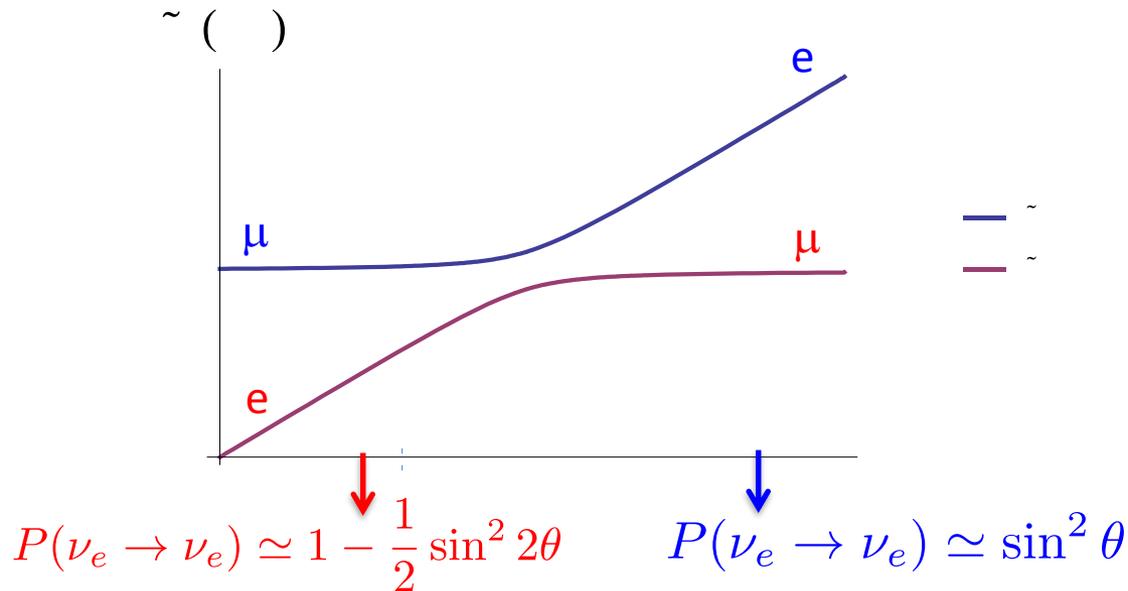
-Only for ν or $\bar{\nu}$, not both

-Only for one sign of $\Delta m^2 \cos 2\theta$

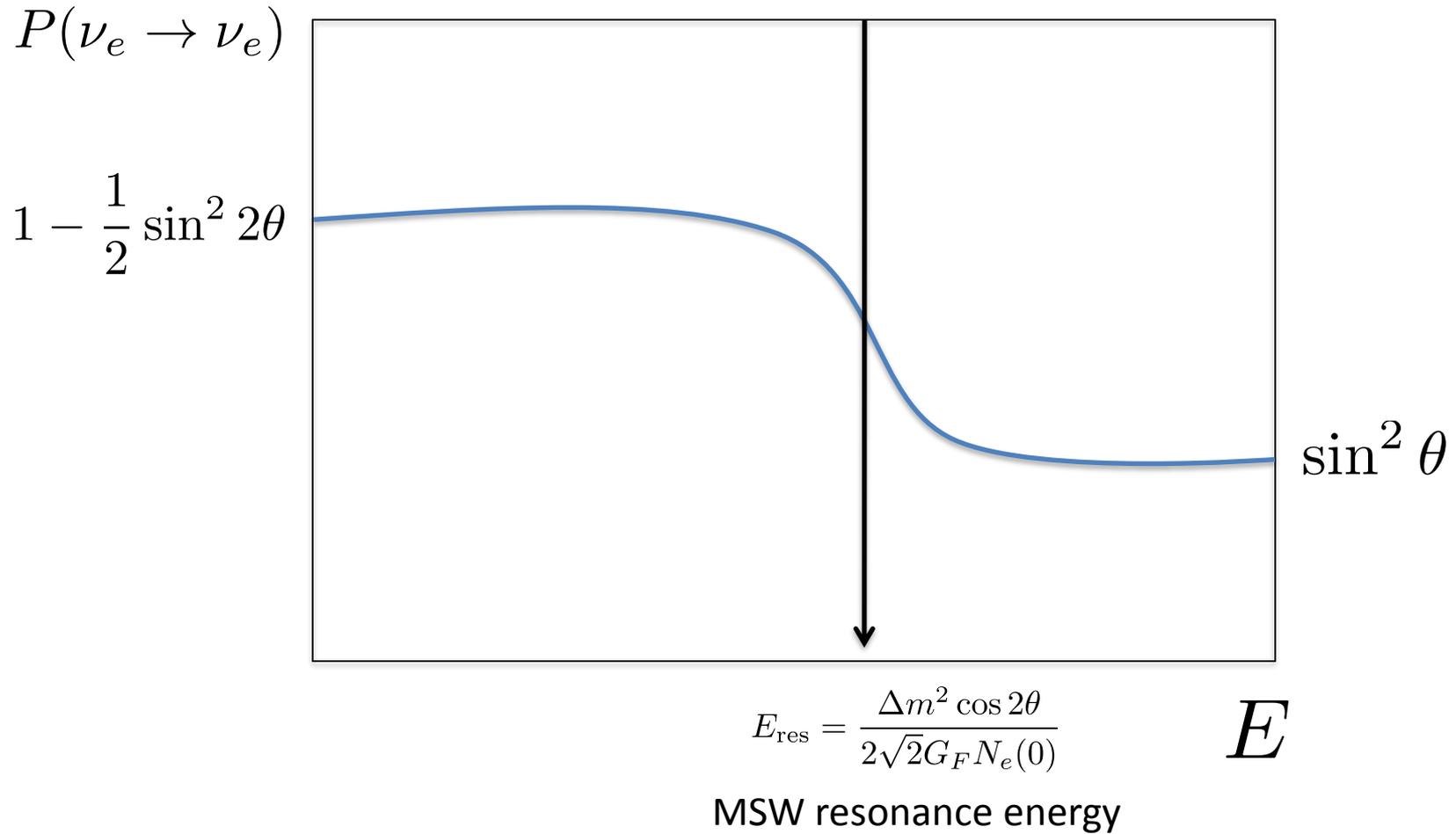
Neutrinos in variable matter

If the variation is slow enough: **adiabatic approximation** (if a state is at $r=0$ in an eigenstate $\tilde{m}_i^2(0)$ it remains in the i -th eigenstate until it exits the sun)

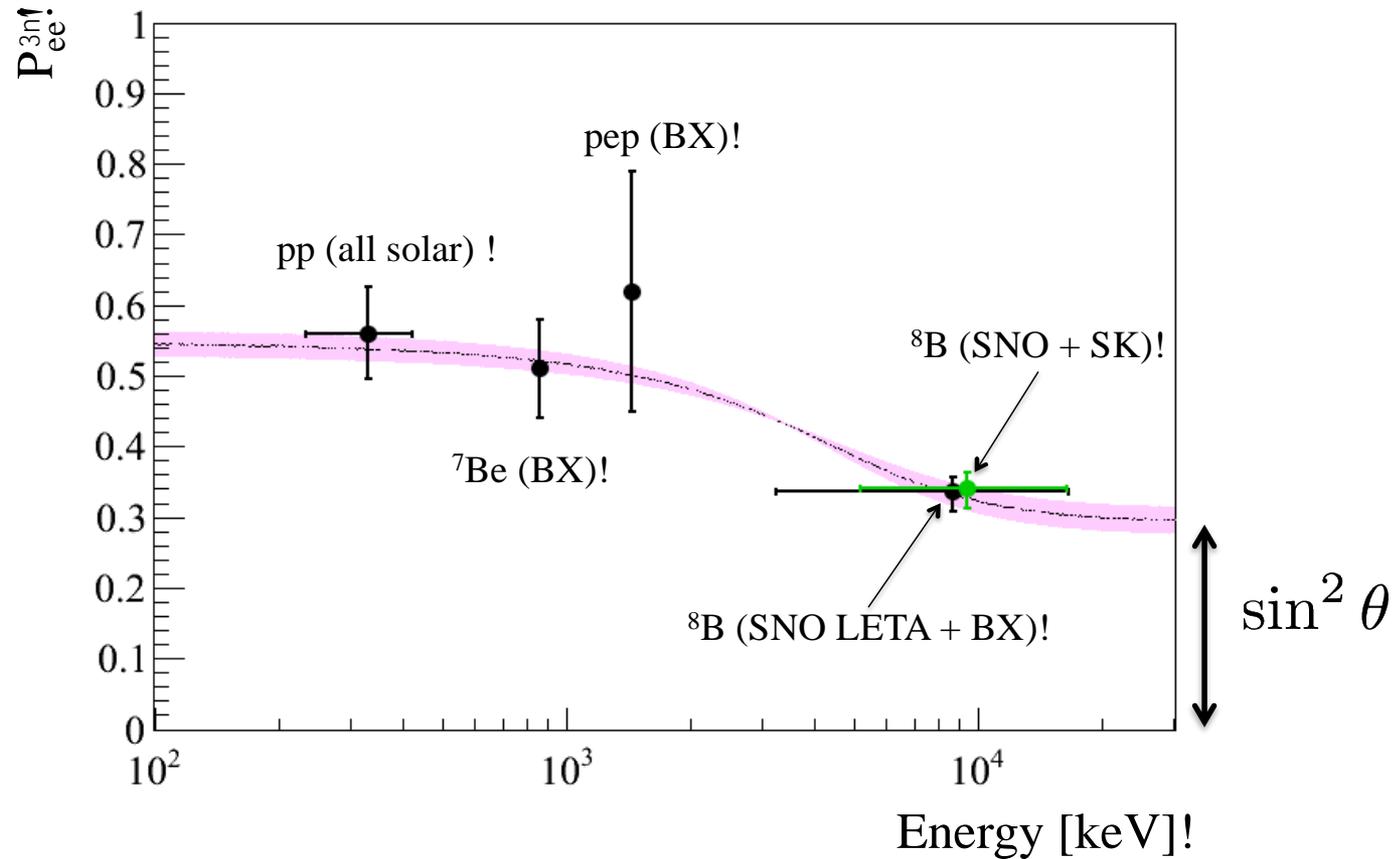
$$P(\nu_e \rightarrow \nu_e) = \sum_i |\langle \nu_e | \tilde{\nu}_i(\infty) \rangle|^2 |\langle \tilde{\nu}_i(0) | \nu_e \rangle|^2$$



Solar neutrinos

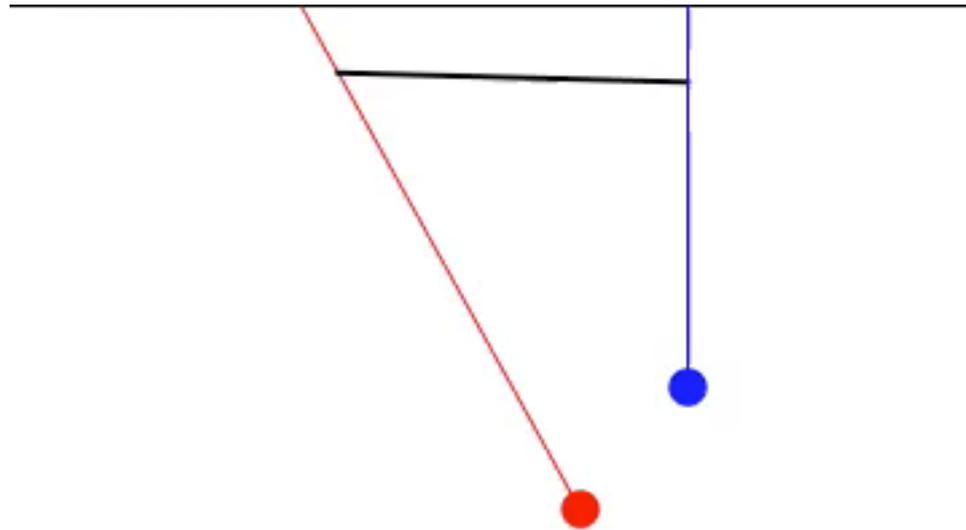


Solar neutrinos and MSW



Borexino

Classical analogy IV:MSW resonance



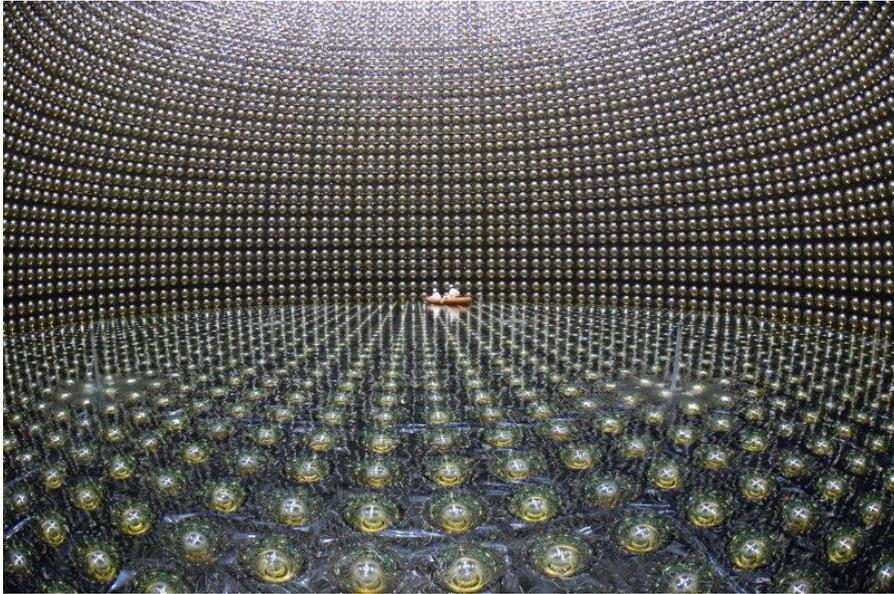
Classical analogy IV:MSW resonance



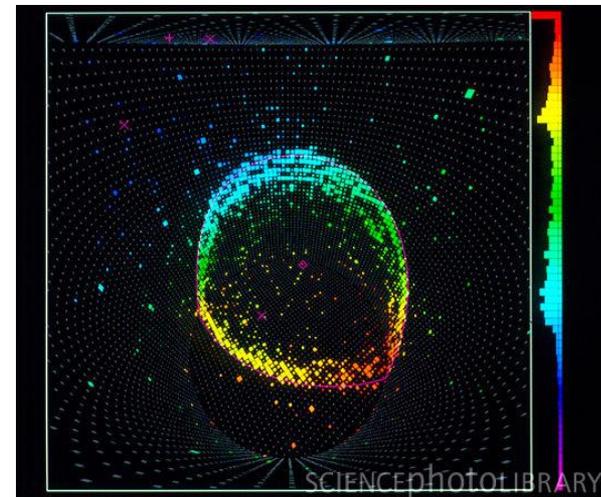
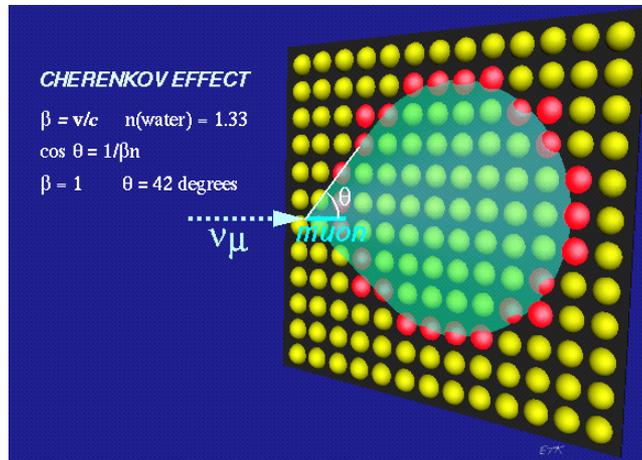
As we cross the resonance (when the two lengths are the same), there is a maximal flavour conversion: what was mostly ν_e is now mostly ν_μ

Underground cathedrals of light

Superkamiokande

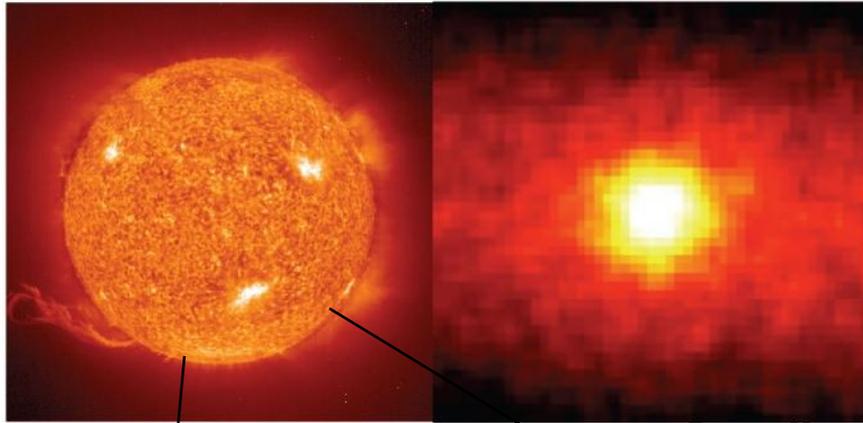


Koshiba (Nobel 2002)



Allows to reconstruct velocity and direction, e/ μ particle identification

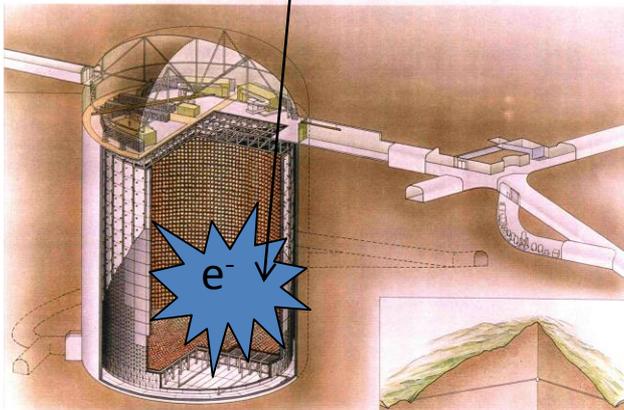
Solar Neutrinos



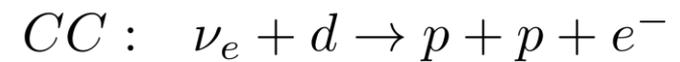
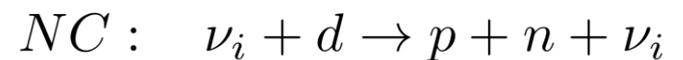
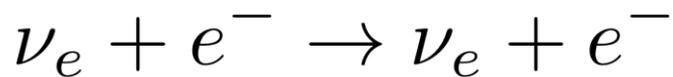
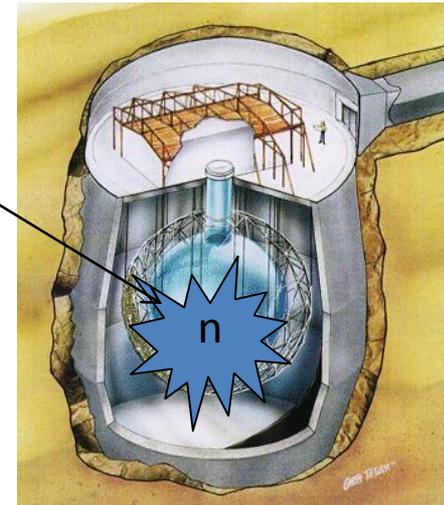
Neutrino-graphy of the sun

SNO

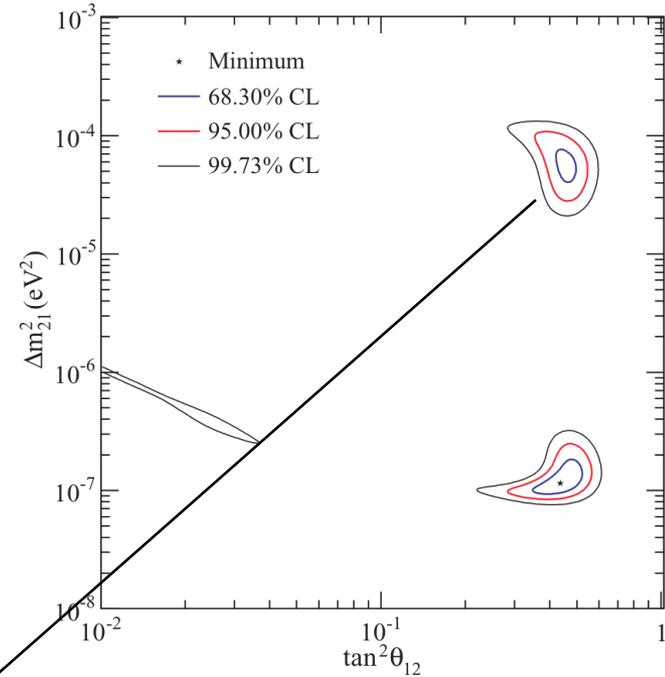
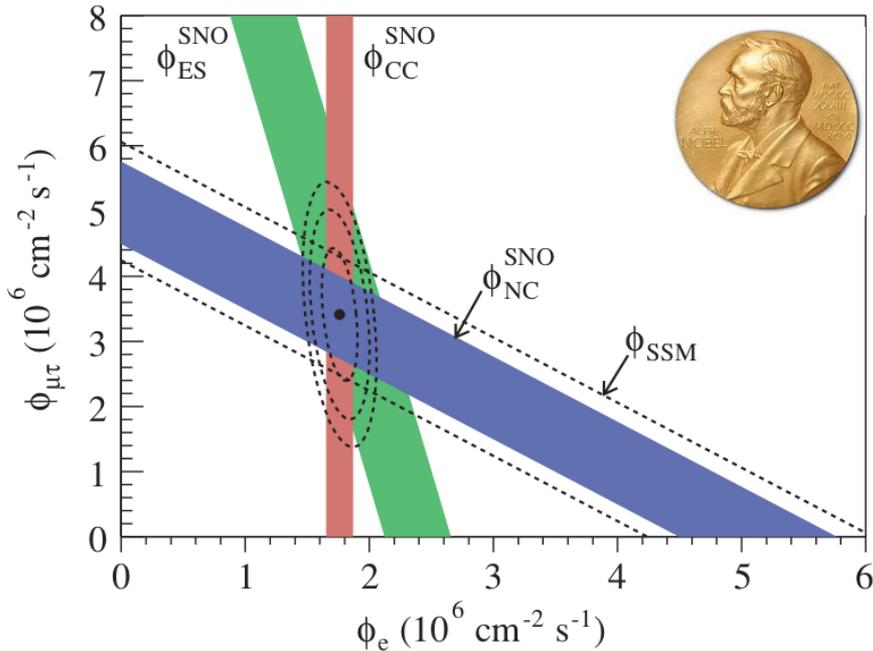
SuperKamiokande (22.5 kton!)



SUPERKAMIOKANDE INSTITUTE FOR COSMIC RAY RESEARCH UNIVERSITY OF TOKYO



Flavour of solar neutrinos



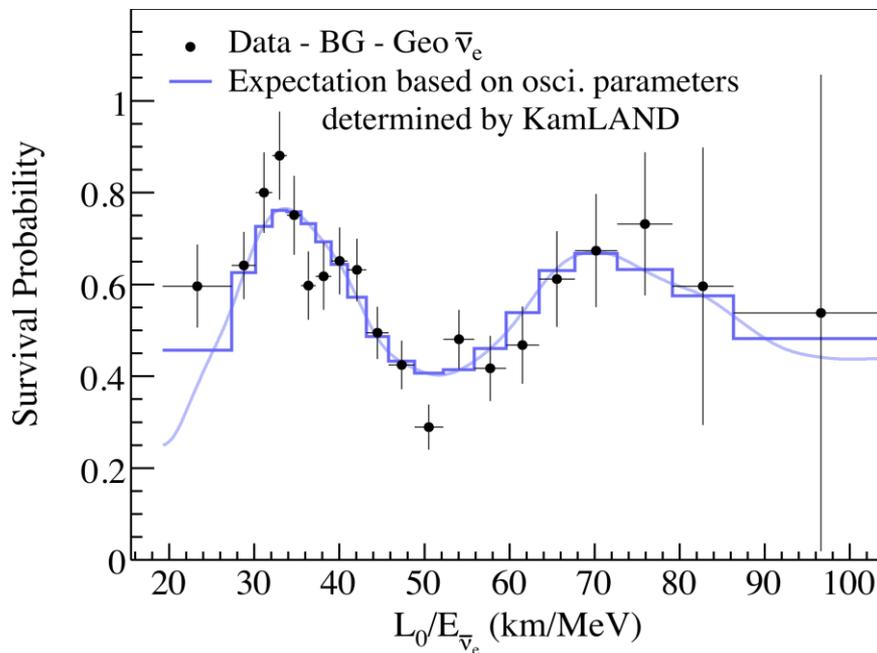
$$|\Delta m^2|^{-1} \sim \frac{O(100 \text{ Km})}{O(\text{MeV})}$$

Can be tested in the Earth with Reines&Cowen experiment !

KamLAND: solar oscillation

$$\bar{\nu}_e \rightarrow \bar{\nu}_e$$

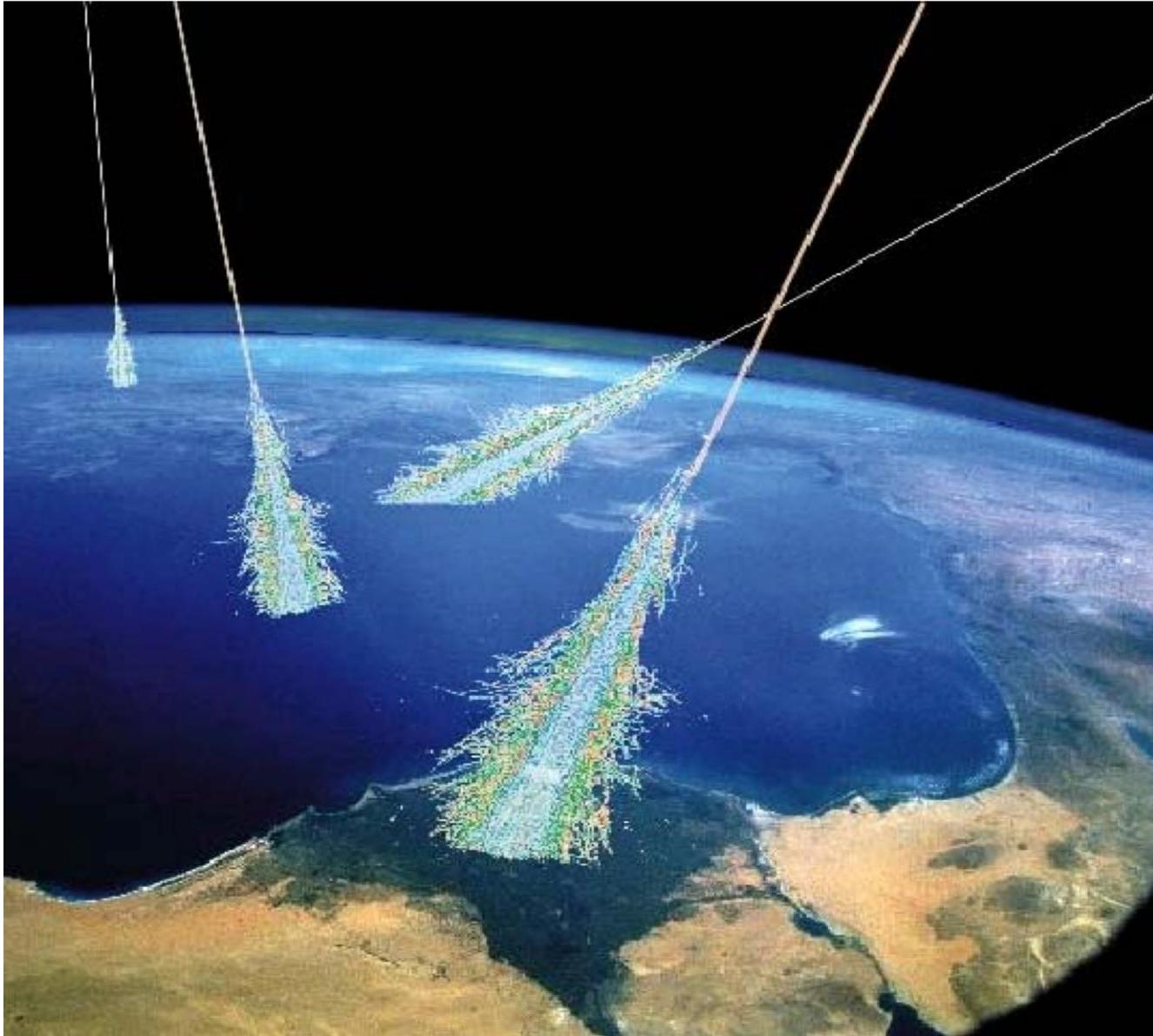
Reines&Cowan experiment 1/2 century later
at 170 km from Japanese reactors ...



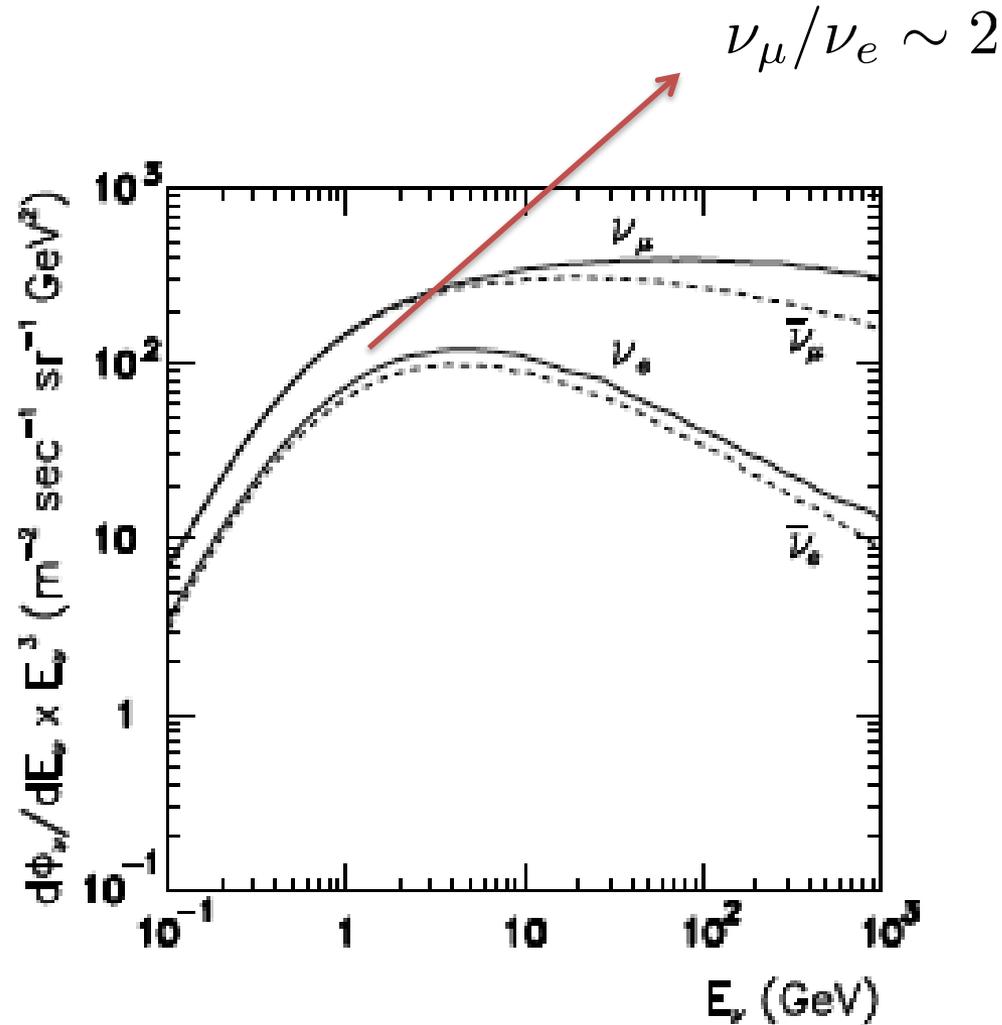
$$\Delta m_{\text{solar}}^2 \simeq 8 \times 10^{-5} \text{ eV}^2$$

Large mixing

Atmospheric Neutrinos

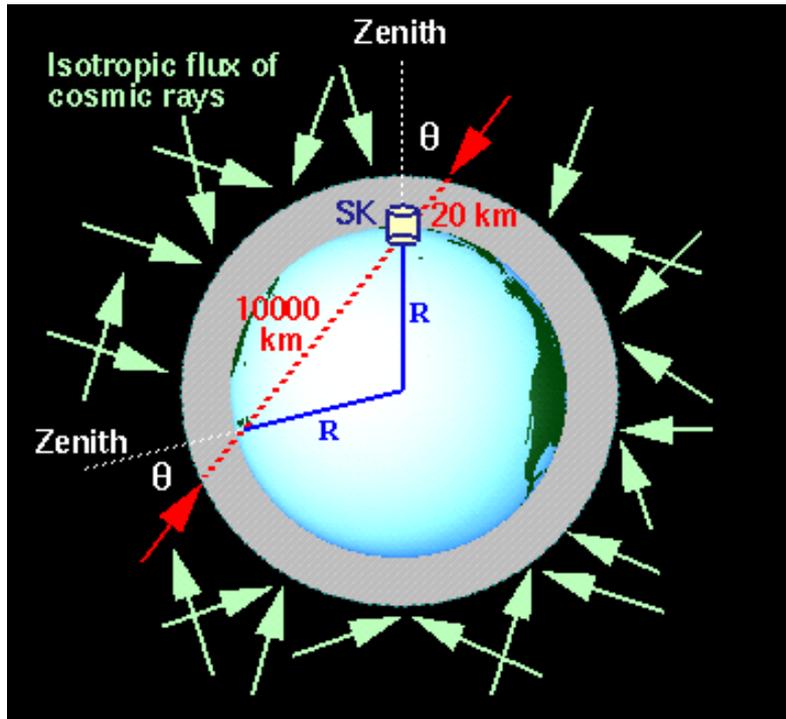


Atmospheric Neutrinos

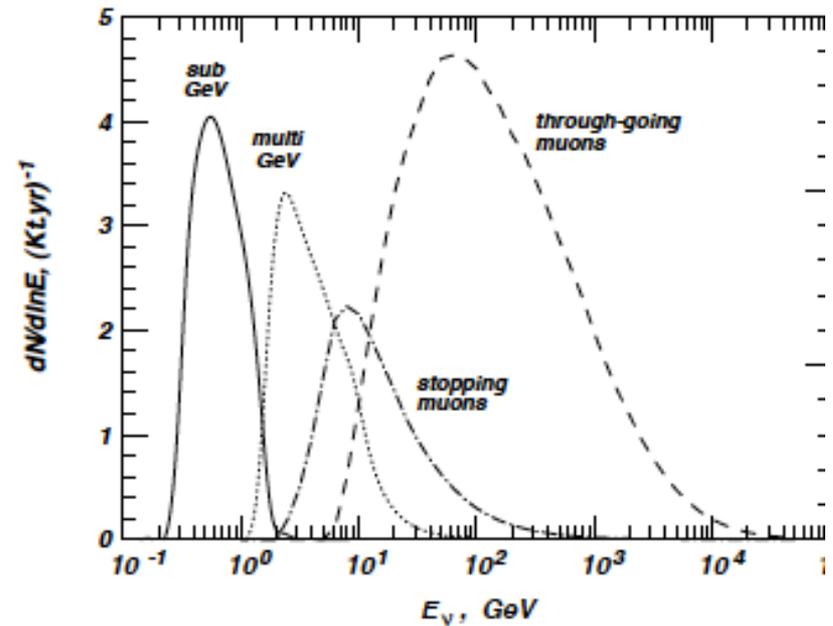


Produced in the atmosphere when primary cosmic rays collide with it, producing π , K

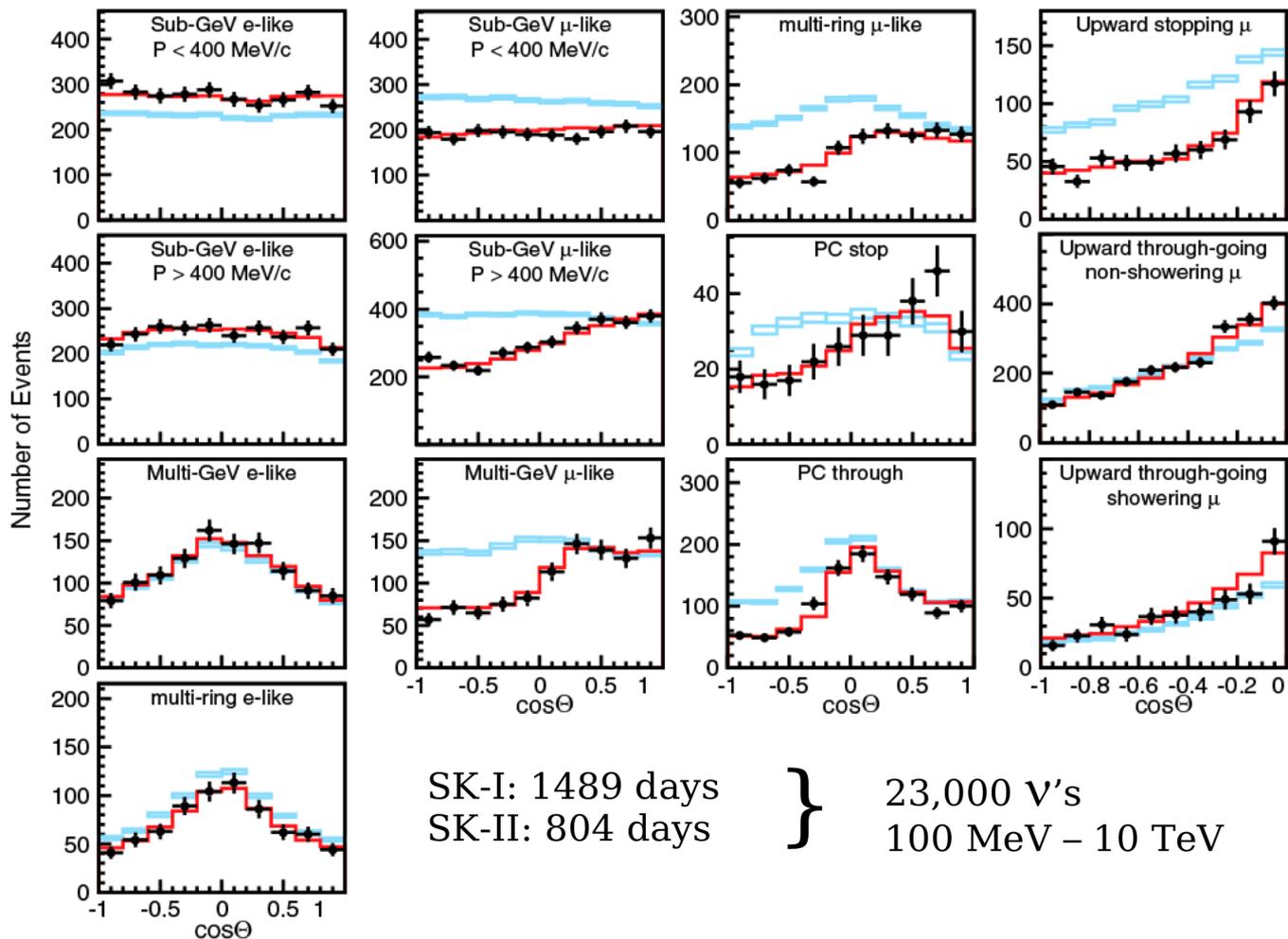
Atmospheric Neutrinos



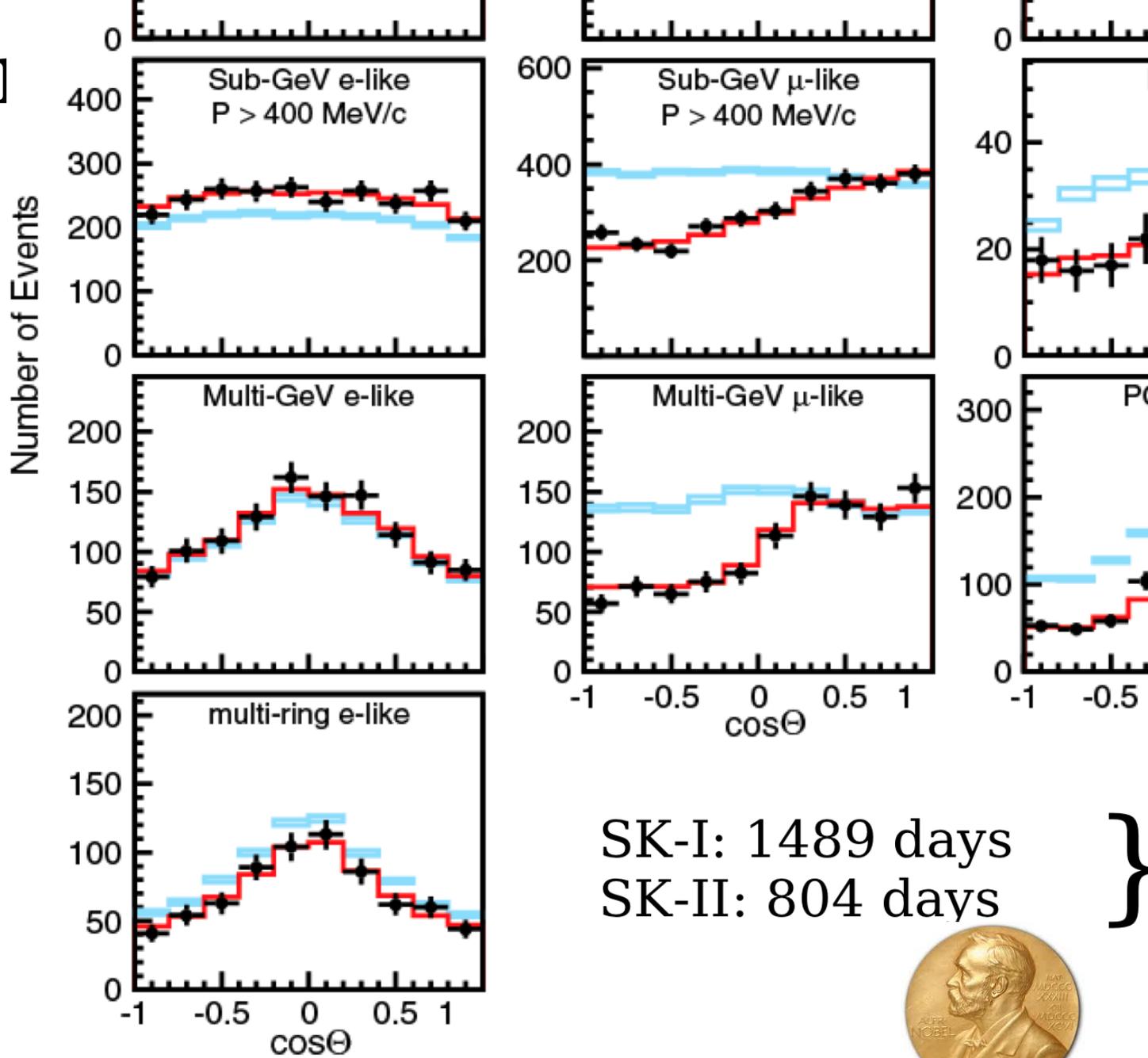
$$L = 10 - 10^4 \text{ Km}$$



Atmospheric Neutrinos



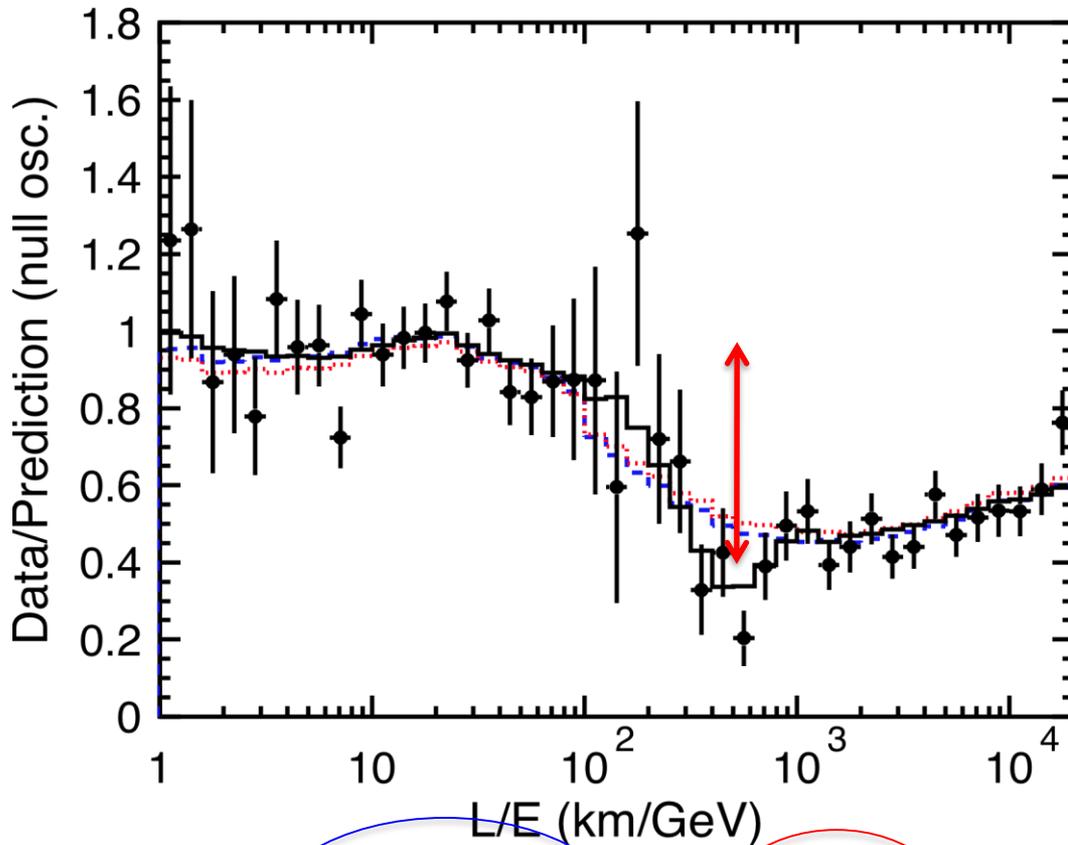
At]



SK-I: 1489 days
SK-II: 804 days



Atmospheric Oscillation



$$\Delta m_{\text{atm}}^2 = 2.5 \times 10^{-3} eV^2$$

Large mixing

$$|\Delta m^2|^{-1} \sim \frac{O(1000 \text{ Km})}{O(\text{GeV})} \sim \frac{O(1 \text{ km})}{O(\text{MeV})}$$

Lederman&co experiment at 1000km!

Reines&Cowan experiment at 1km!

Lederman&co neutrinos oscillate with the atmospheric wave length

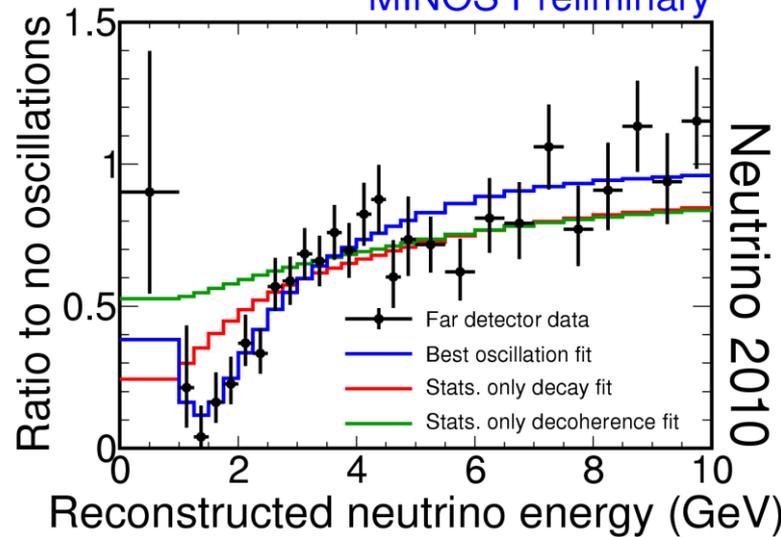
Pulsed neutrino beams to **700 km** baselines

MINOS



$$\nu_{\mu} \rightarrow \nu_{\mu}$$

MINOS Preliminary

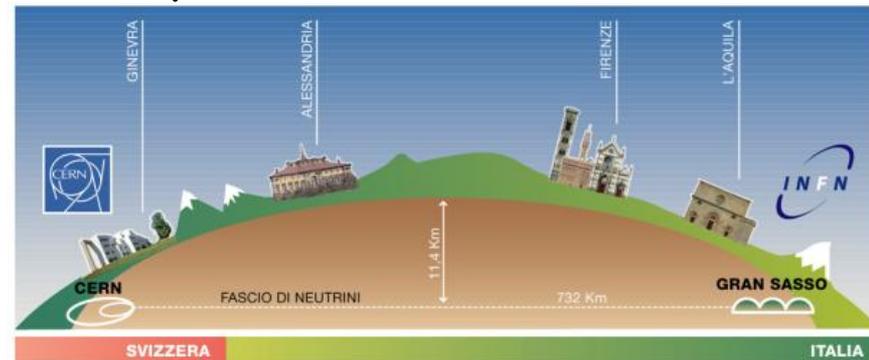


$$|\Delta m_{\text{atmos}}^2| \simeq 2.5 \times 10^{-3} \text{ eV}^2$$

$$\sin^2 2\theta_{\text{atmos}} \simeq 1$$

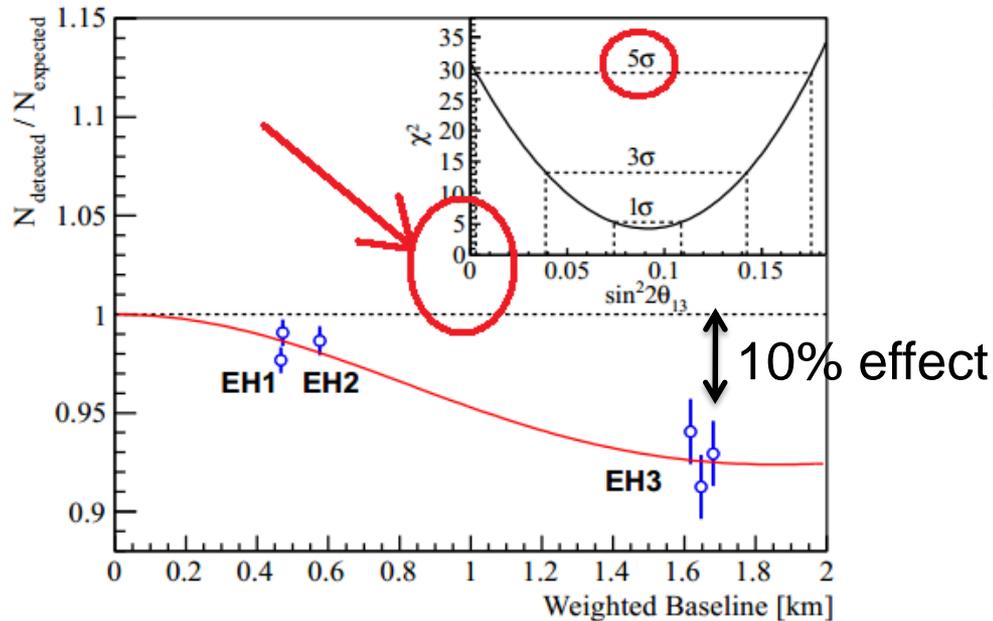
$$\nu_{\mu} \rightarrow \nu_{\tau}$$

OPERA

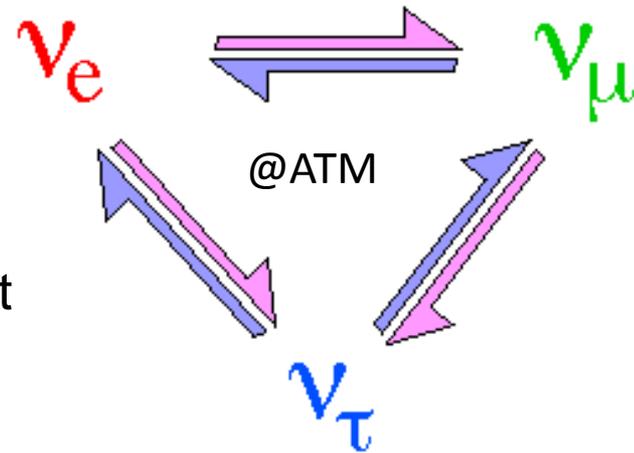


Reactor neutrinos oscillate with atmospheric wave length

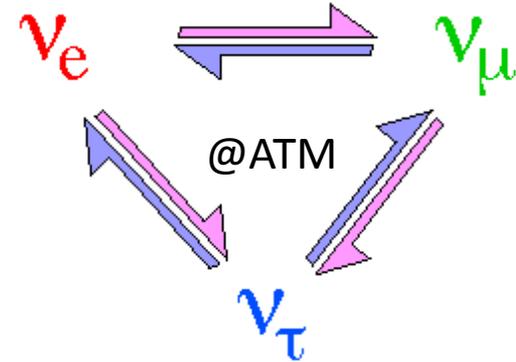
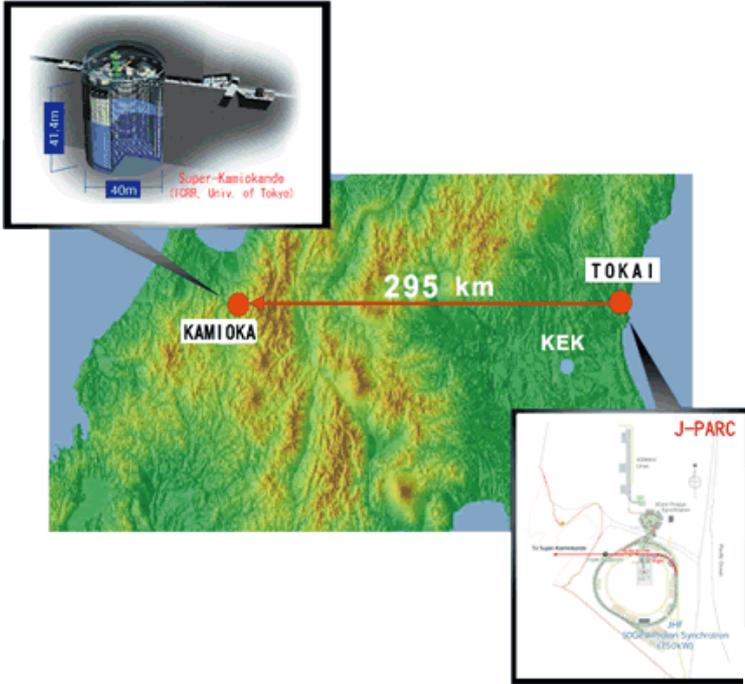
Double Chooz, Daya Bay, RENO



$$\bar{\nu}_e \rightarrow \bar{\nu}_e$$

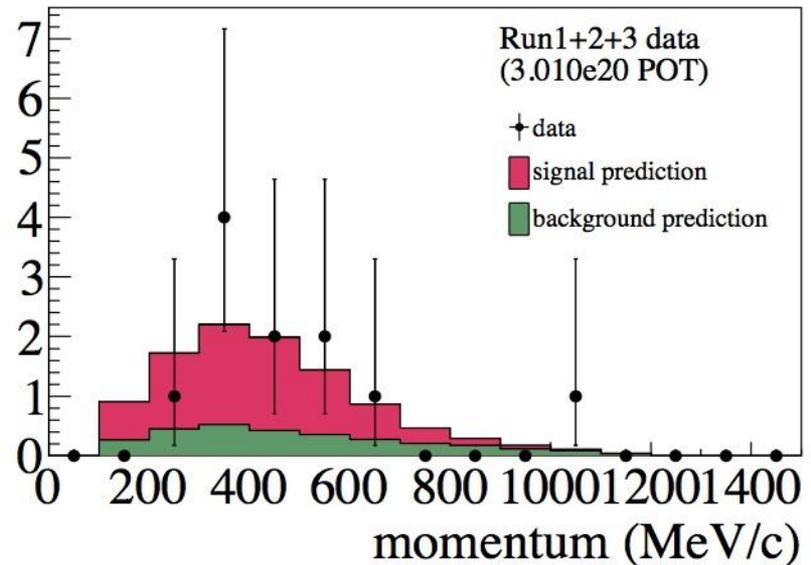


T2K



$$\nu_\mu \rightarrow \nu_e$$

of events



Using the SuperKamiokande detector!

Standard 3ν scenario

$$\Delta m_{23}^2 = m_3^2 - m_2^2 \equiv \Delta m_{atm}^2$$

$$\Delta m_{12}^2 = m_2^2 - m_1^2 \equiv \Delta m_{sol}^2$$

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = U_{23}(\theta_{23})U_{13}(\theta_{13}, \delta)U_{12}(\theta_{12}) \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Solar and atmospheric osc. decouple as 2x2 mixing phenomena:

- hierarchy $\frac{|\Delta m_{atm}^2|}{|\Delta m_{sol}^2|} > 10$ $\theta_{23} \simeq \theta_{atm}$
- small θ_{13} $\theta_{12} \simeq \theta_{sol}$

SM+3 massive neutrinos: Global Fits

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = U_{PMNS}(\theta_{12}, \theta_{23}, \theta_{13}, \delta, \dots) \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

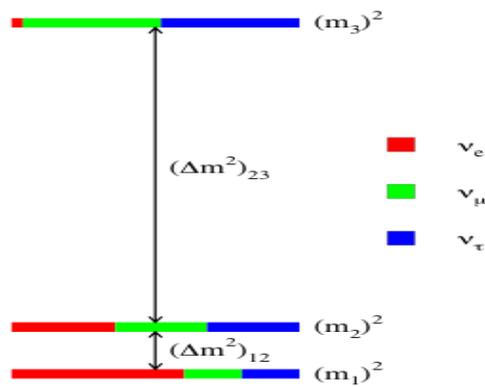
$$\theta_{12} \sim 34^\circ$$

$$\theta_{23} \sim 42^\circ \text{ or } 48^\circ$$

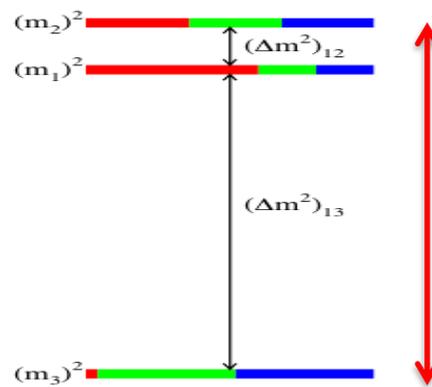
$$\theta_{13} \sim 8.5^\circ$$

$$\delta \sim ?$$

normal hierarchy



inverted hierarchy



$$\updownarrow 7.5 \cdot 10^{-5} \text{eV}^2$$

$$2.5 \cdot 10^{-3} \text{eV}^2$$