

Open-heavy-flavour production and elliptic flow in p-Pb collisions at the LHC with ALICE

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Aix-Les-Bains



Open heavy flavour in p-Pb collisions



Heavy quarks effective probes of the Quark-Gluon Plasma in Pb-Pb collisions

Interpretation of Pb-Pb measurements

- understanding of **cold nuclear matter (CNM) effects** in initial and final state
- **constrain them by studying p-Pb collisions**

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p-Pb collisions not only reference for CNM effects:

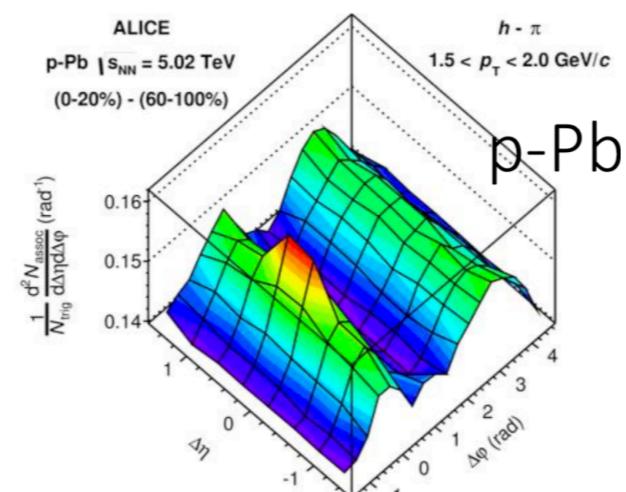
in high multiplicity p-Pb collisions, effects typically observed in A-A collisions

- ex. in the light flavour sector: long-range v_2 -like angular correlations, enhancement of baryon production

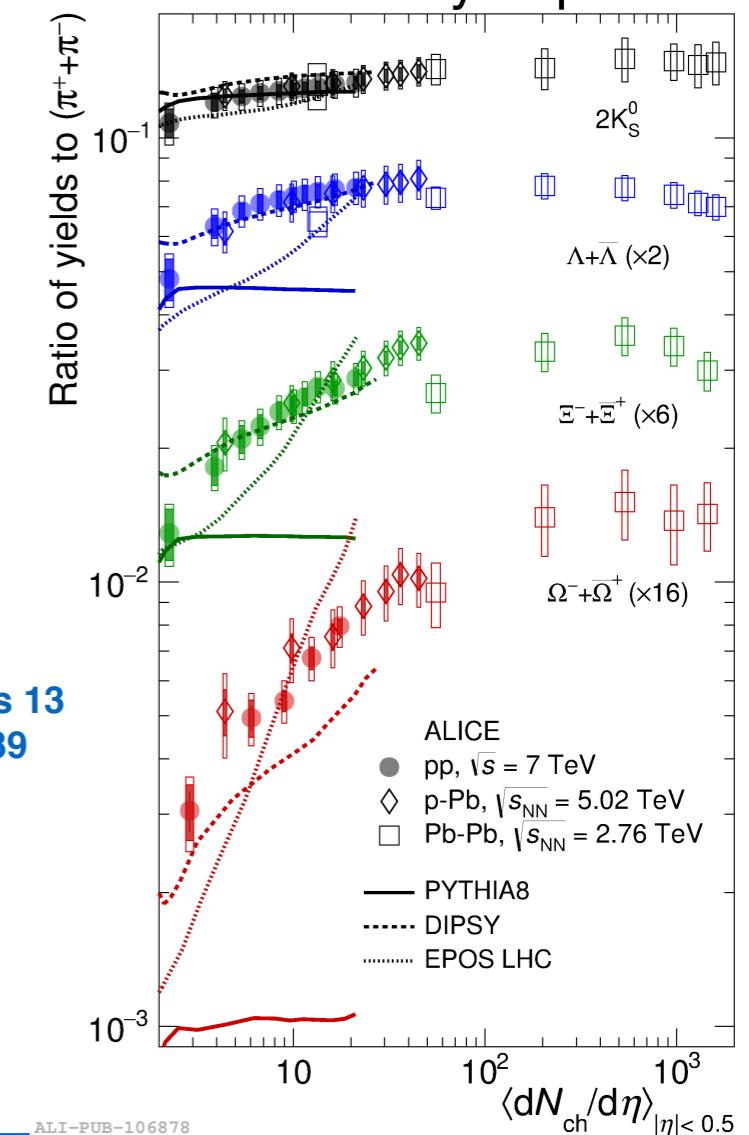
Phys. Lett. B 726
(2013) 164-177

open questions:

- collective effects in high-multiplicity p-Pb events ?
- final-state effects also in p-Pb collisions?
- small-size QGP?



Nature
Physics 13
535–539
(2017)



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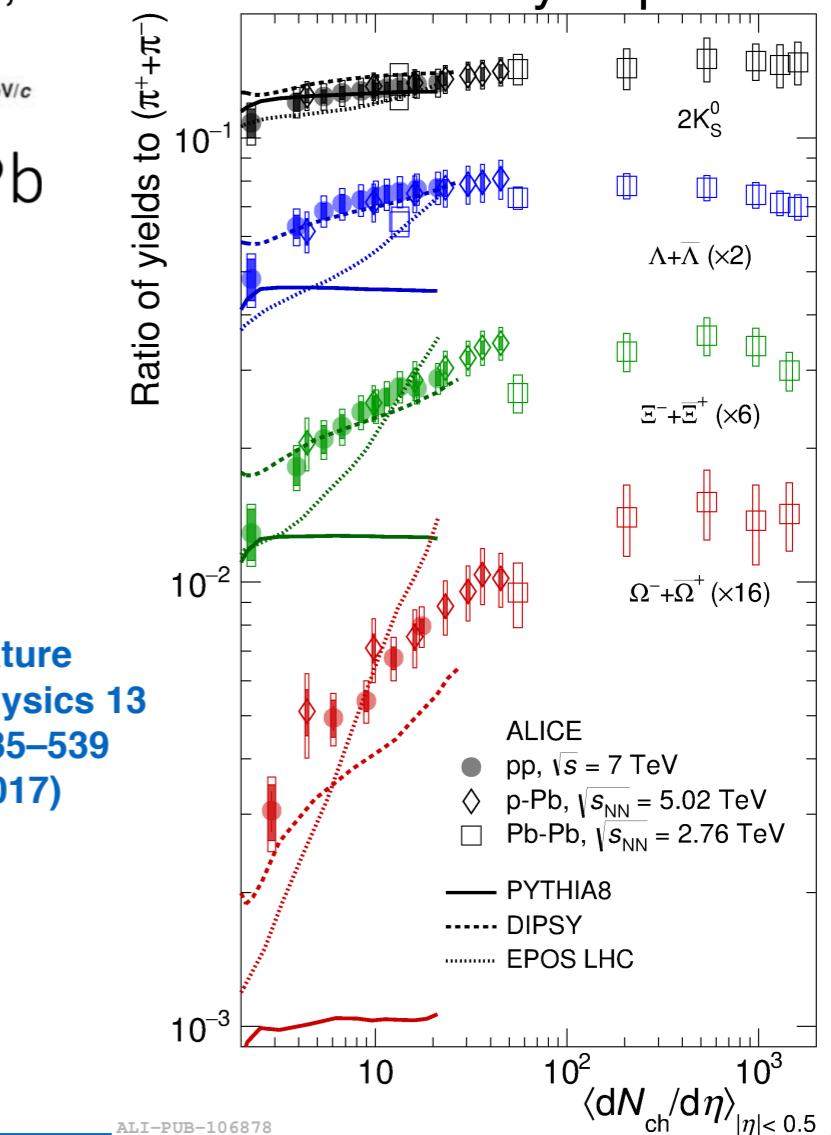
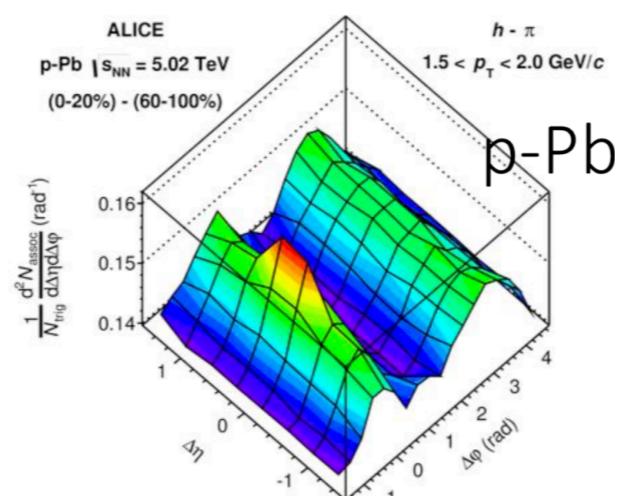
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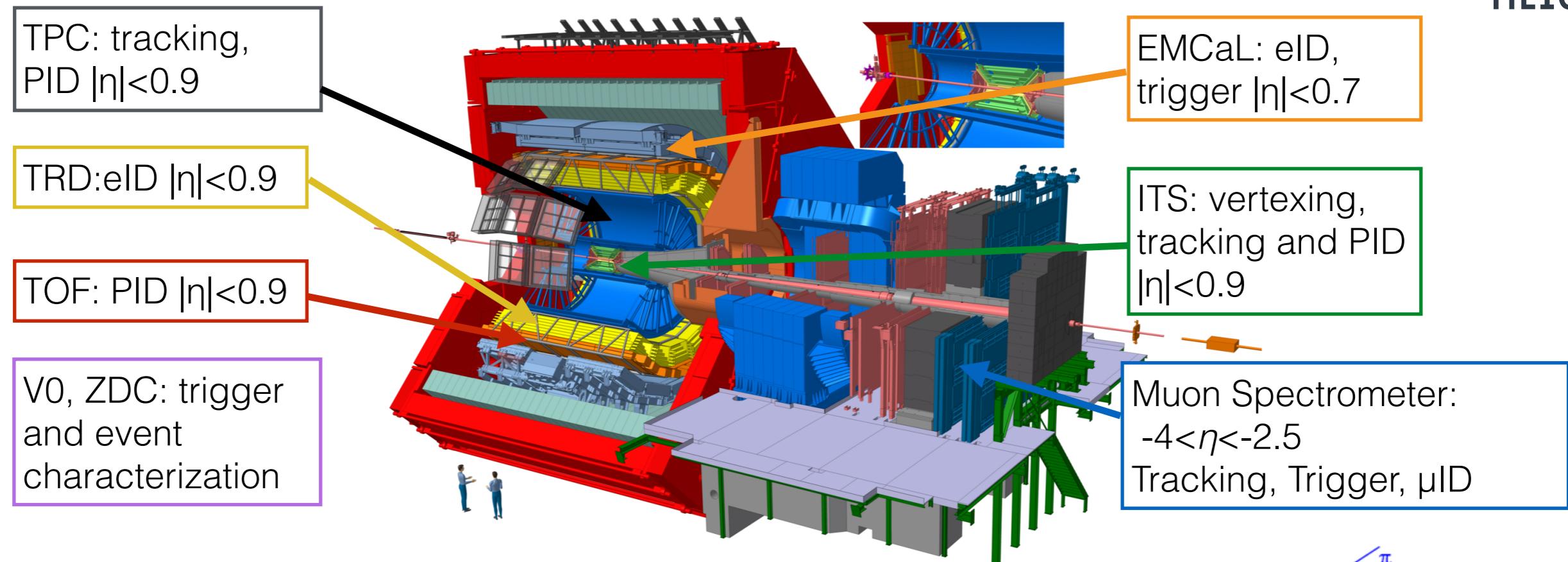


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similar observations in the heavy-flavour sector?

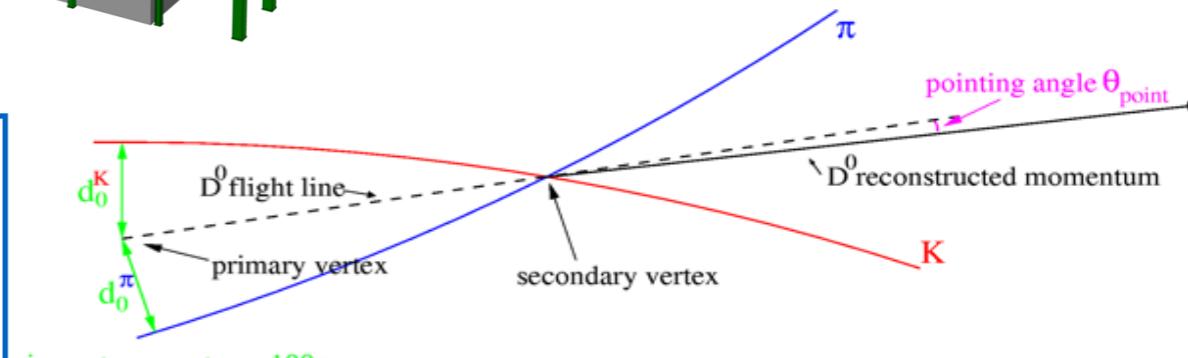
News: more differential measurements to investigate high multiplicity p-Pb collisions

Open heavy-flavour reconstruction in ALICE



Fully reconstructed **D mesons** and Λ_c hadronic decays:
ITS, TPC, TOF

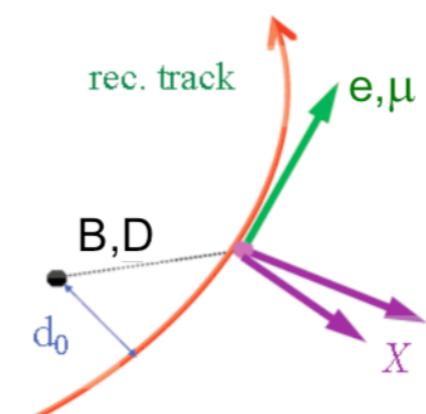
$D^0 \rightarrow K^-\pi^+$, $D^+ \rightarrow K^-\pi^+\pi^+$, $D^{*+} \rightarrow D^0\pi^+$, $D_s^+ \rightarrow \Phi\pi^+ \rightarrow K^-K^+\pi^+$,
 $\Lambda_c^+ \rightarrow \pi^+K^-p$, $\Lambda_c^+ \rightarrow pK^0_s$



Partially reconstructed **semi-leptonic decays**

Muons: **Forward Muon Spectrometer**. $D, B \rightarrow \mu^\pm + X$

Electrons: **ITS, TPC, TOF, EMCAL, TRD**. $D, B \rightarrow e^\pm + X$

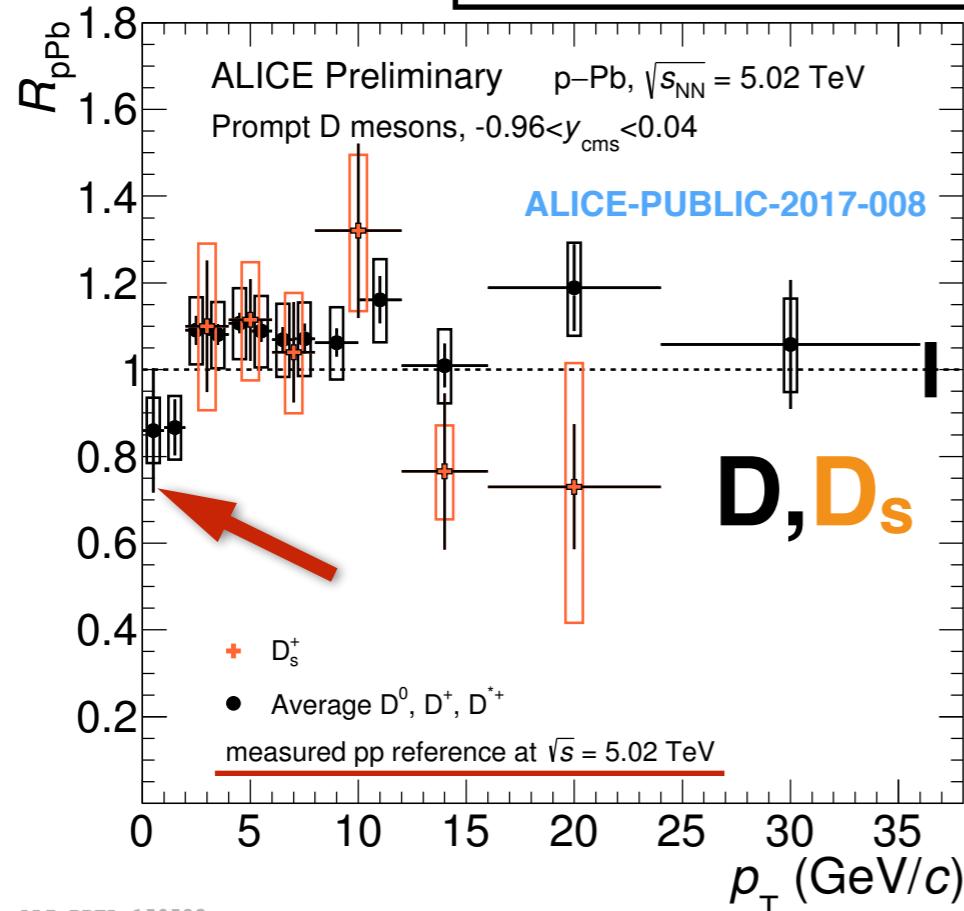
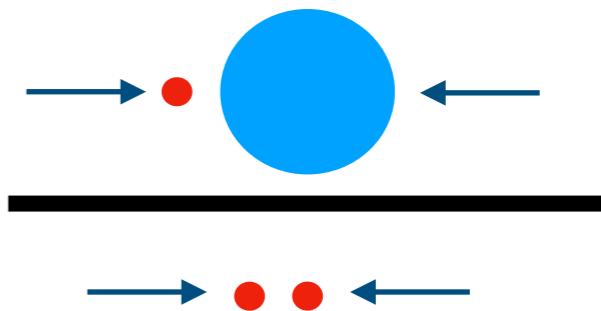


Nuclear modifications in p-Pb collisions

Nuclear Modification Factor: D-meson R_{pPb}



$$R_{\text{pPb}} = \frac{1}{A} \frac{d^2\sigma_{\text{pPb}}^{\text{promptD}} / dp_T dy}{d^2\sigma_{\text{pp}}^{\text{promptD}} / dp_T dy}$$



R_{pPb}
compatible
with unity
within
uncertainties

pp reference measured at 5.02TeV (NEW!)

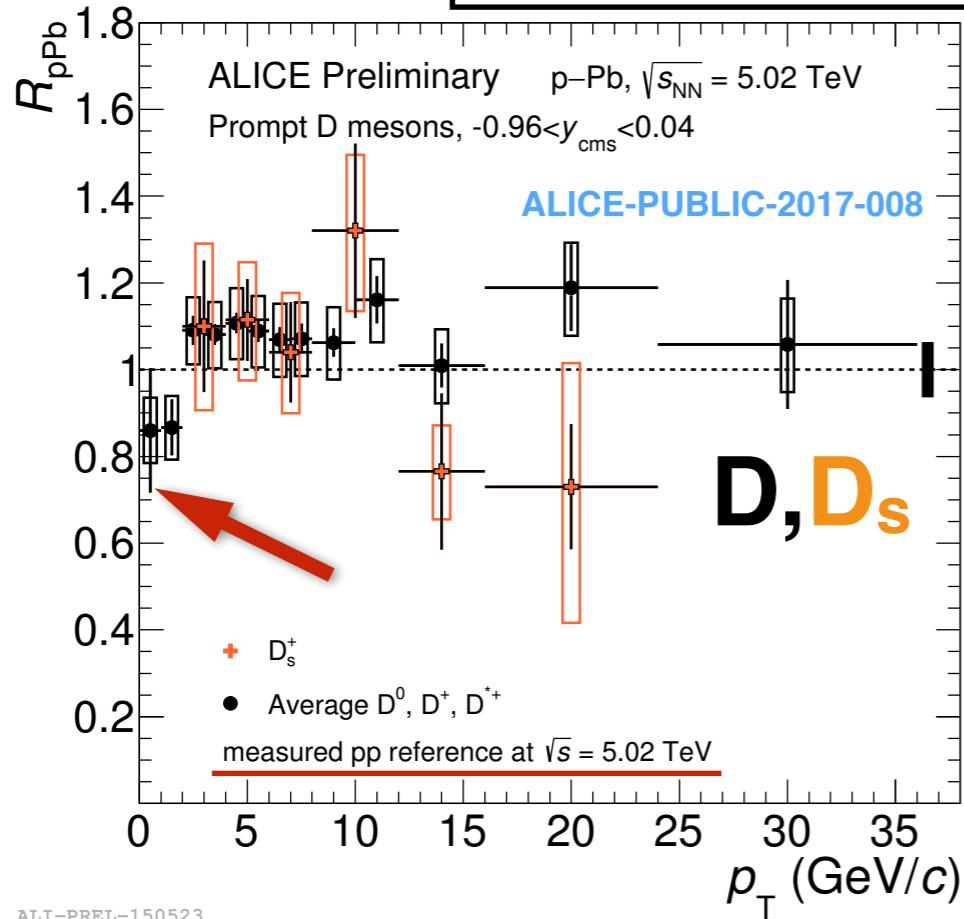
ALICE-PUBLIC-2018-006

- D^0 measured down to $p_T = 0$:
 - improved precision due to new reference
- $D_s R_{\text{pPb}}$ compatible with averaged non-strange D mesons

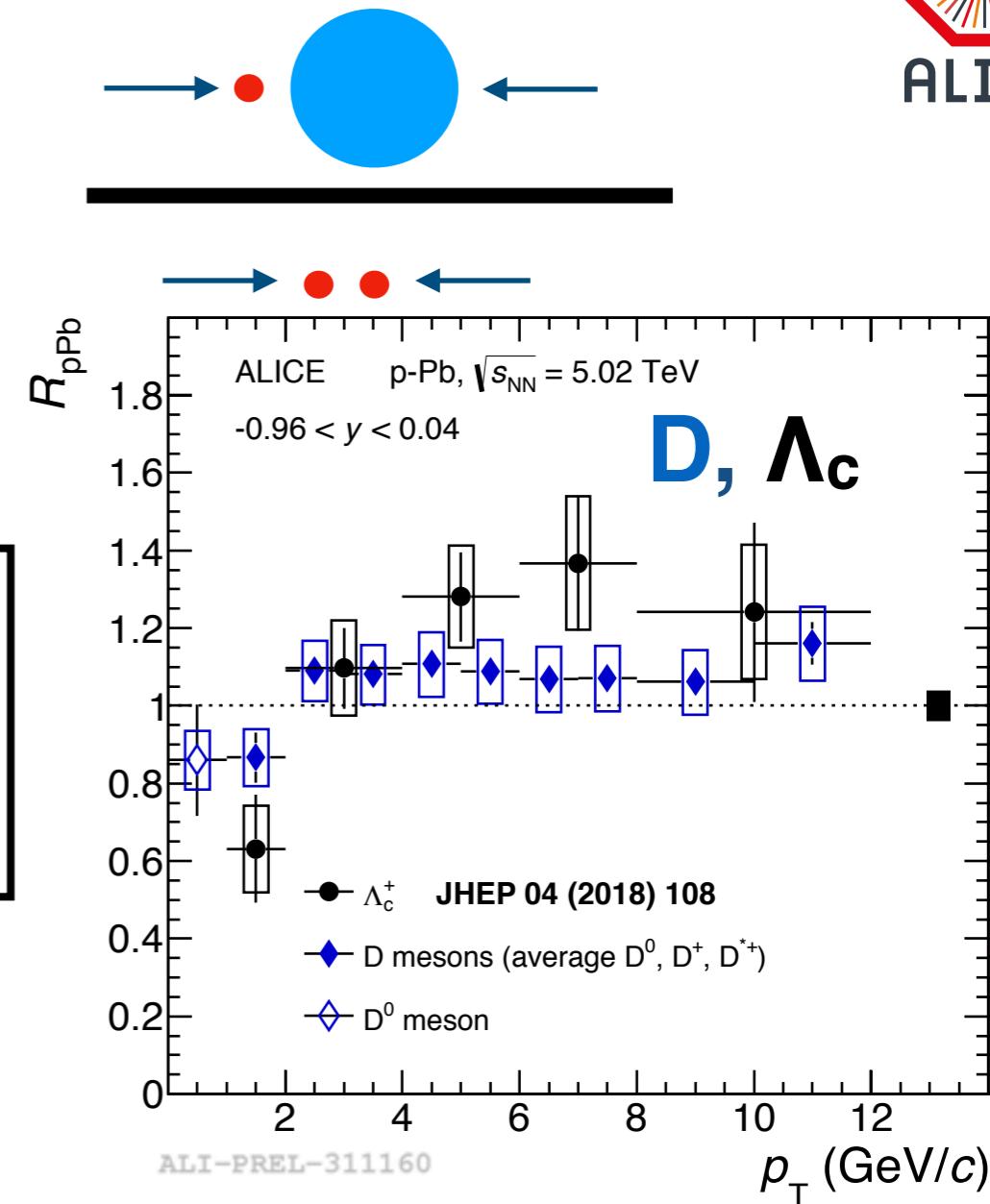
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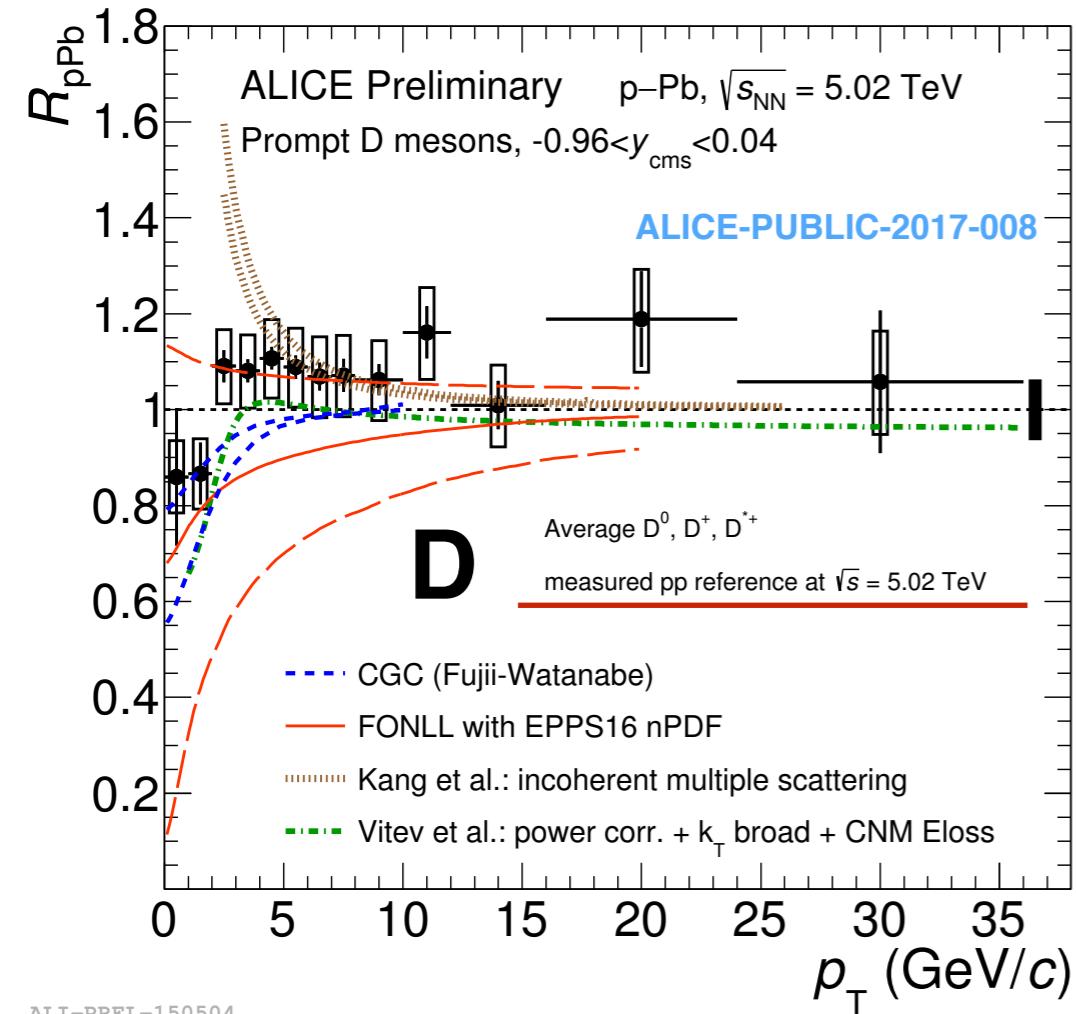
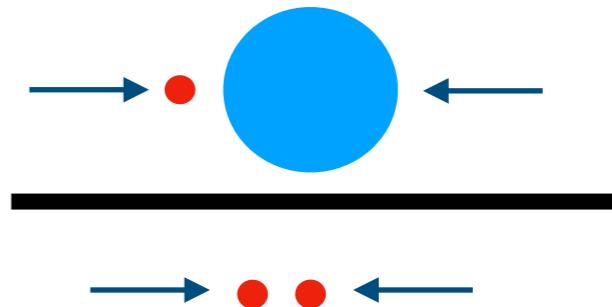
charmed baryon Λ_c R_{pPb} compatible with D-meson R_{pPb} and consistent with unity within uncertainties

Baryon measurements: E.Meninno's Talk

Nuclear Modification Factor: D-meson R_{pPb} vs models



$$R_{\text{pPb}} = \frac{1}{A} \frac{d^2\sigma_{\text{pPb}}^{\text{promptD}}/dp_T dy}{d^2\sigma_{\text{pp}}^{\text{promptD}}/dp_T dy}$$



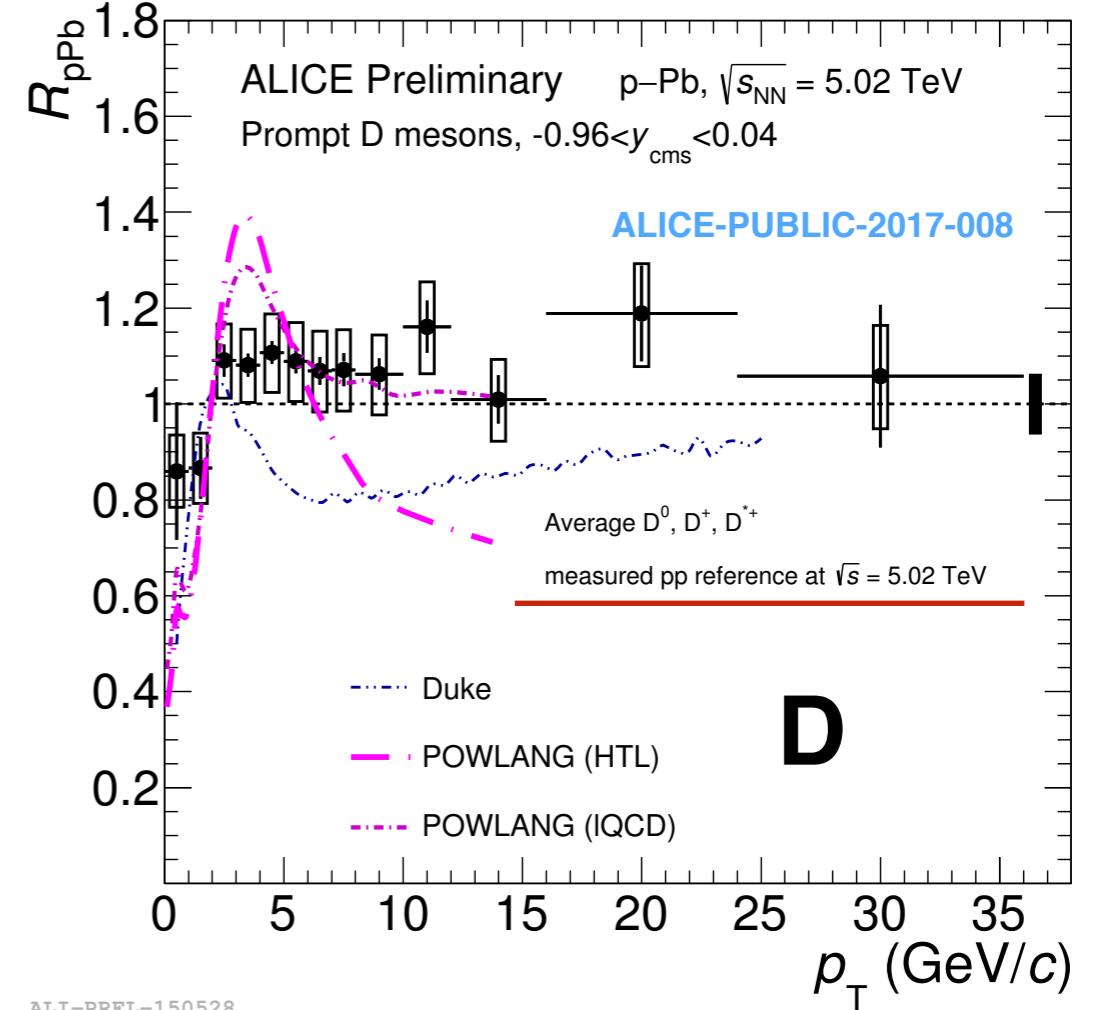
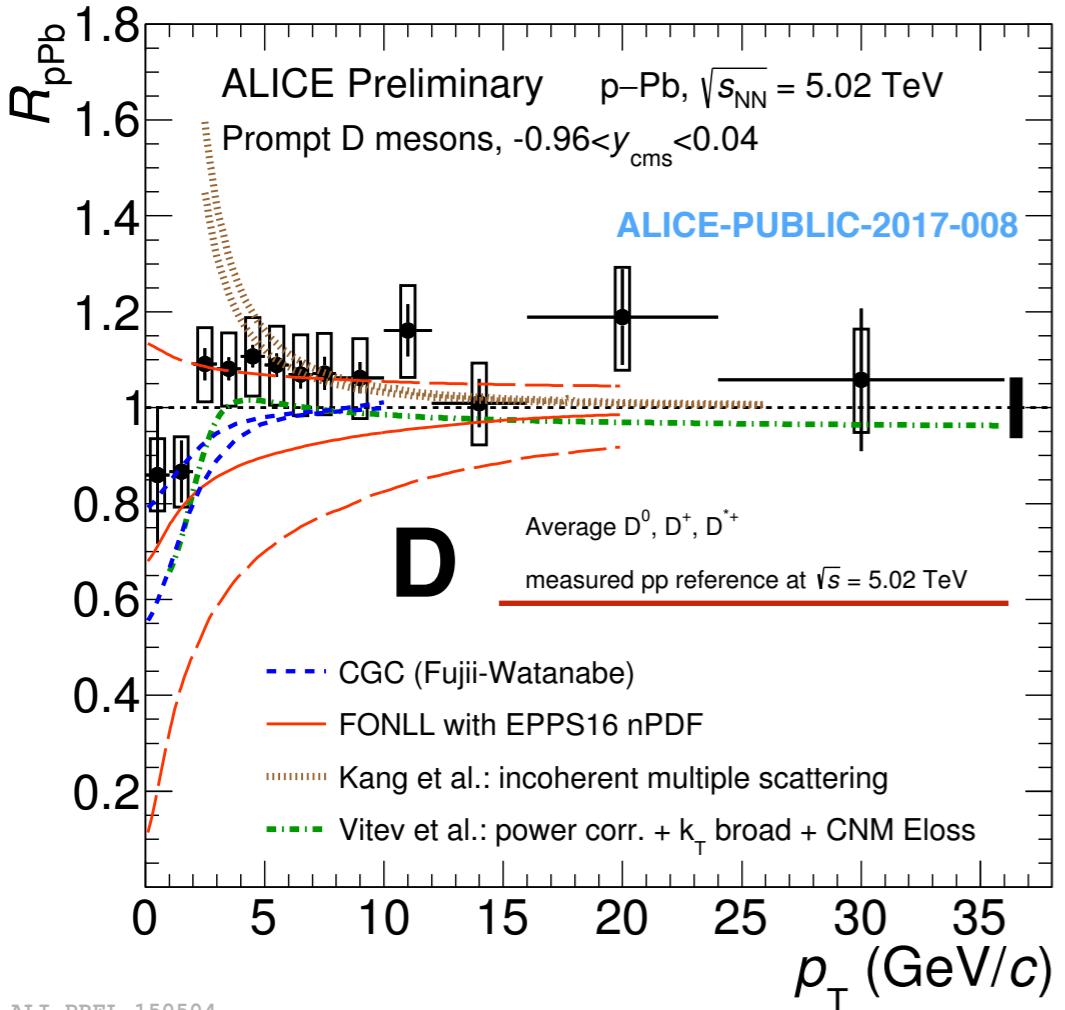
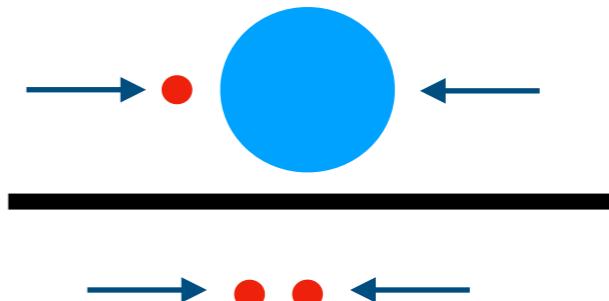
ALI-PREL-150504

- **Models** including **CNM effects only** are compatible with data
- a model including **incoherent multiple scattering** describes data within uncertainties for $p_T > 5 \text{ GeV}/c$

Nuclear Modification Factor: D-meson R_{pPb} vs models



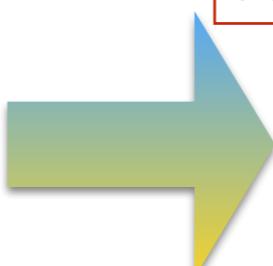
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ALI-PREL-150504

ALI-PREL-150528

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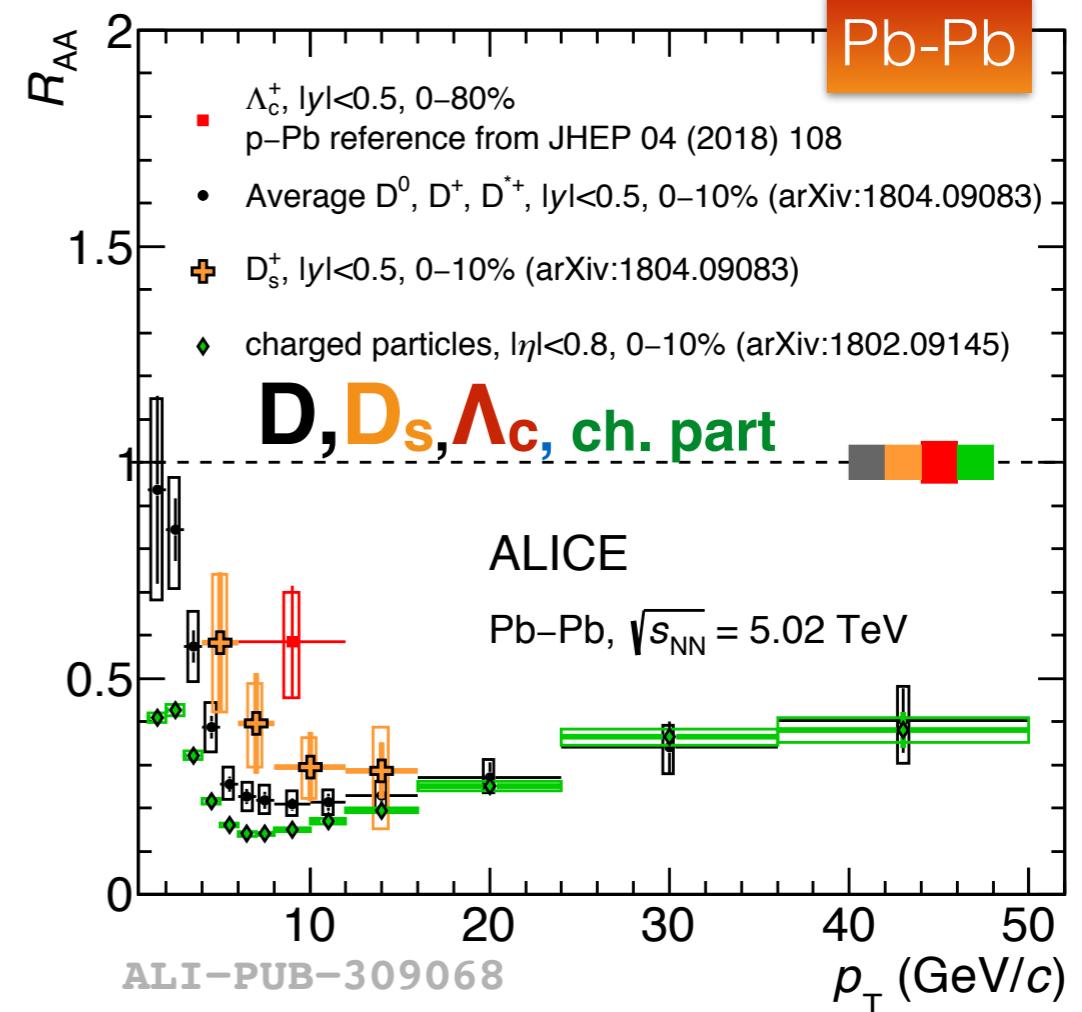
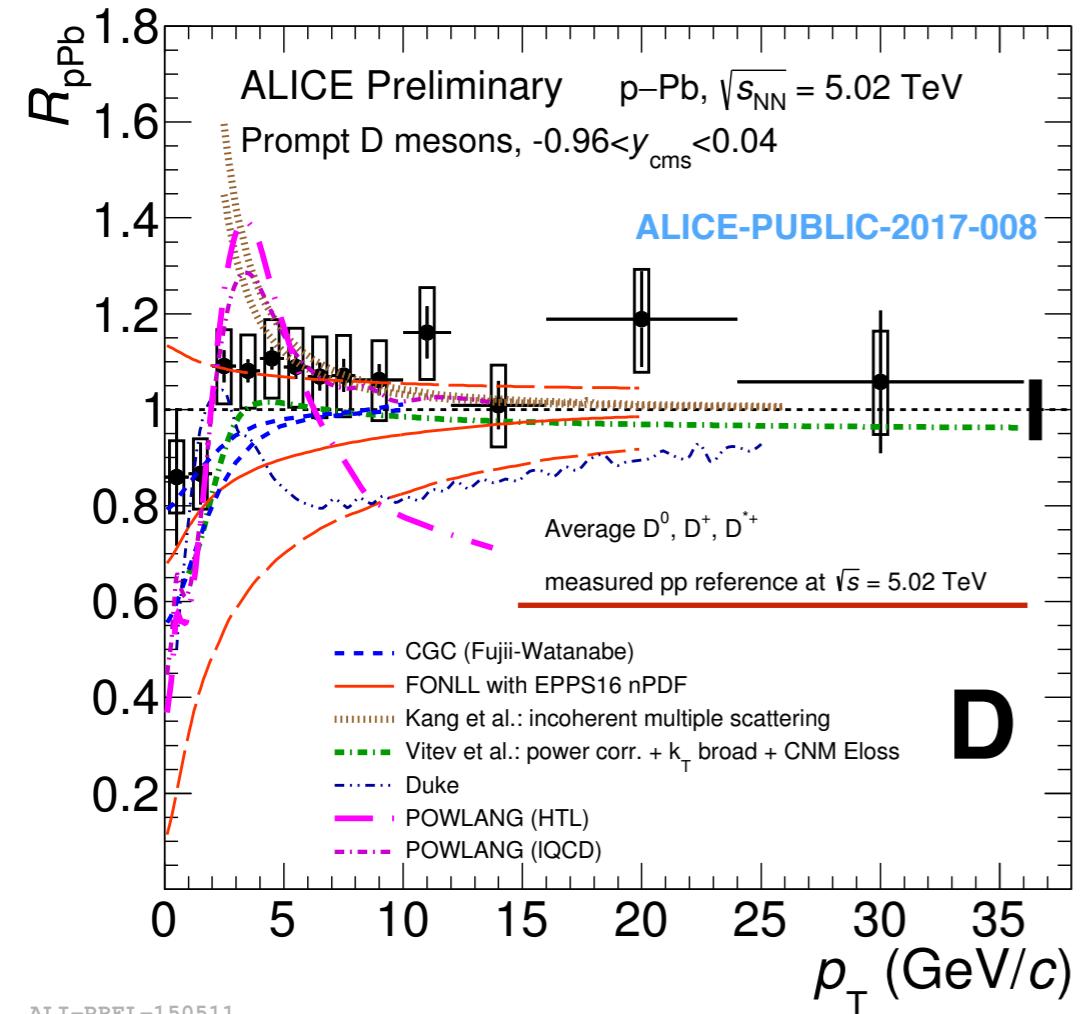
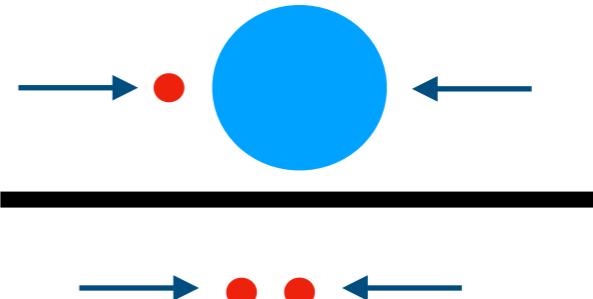
Models including **QGP formation** in p-Pb collisions can describe data up to $p_T \sim 6 \text{ GeV}/c$

Data do not favour a suppression larger than 10-15% for $5 < p_T < 12 \text{ GeV}/c$

Nuclear Modification Factor: R_{pPb} vs R_{AA}



$$R_{\text{pPb}} = \frac{1}{A} \frac{d^2\sigma_{\text{pPb}}^{\text{promptD}} / dp_T dy}{d^2\sigma_{\text{pp}}^{\text{promptD}} / dp_T dy}$$



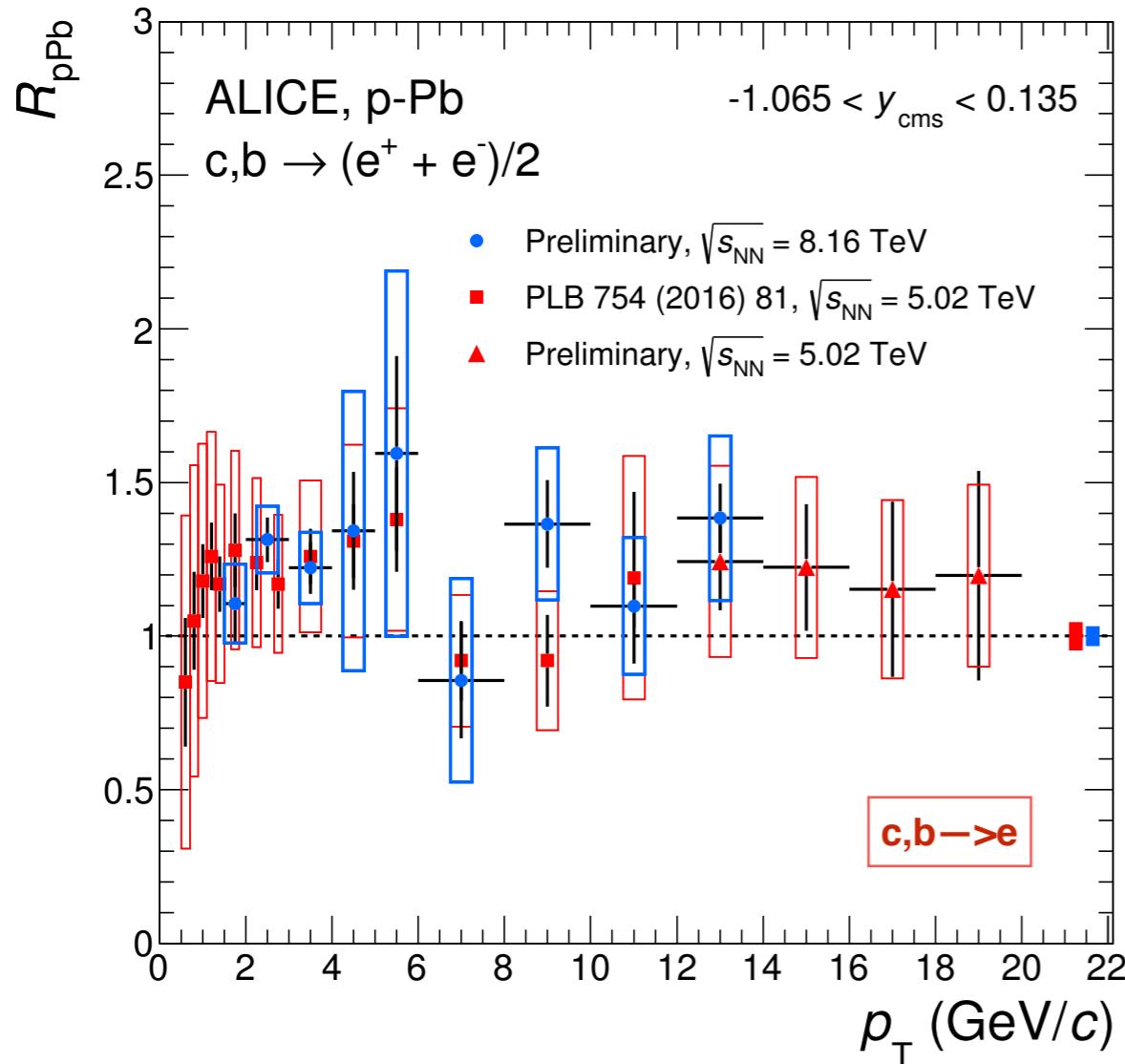
Pb-Pb results: F.Grosa's Talk

Suppression observed at intermediate-high p_T in Pb-Pb collisions is due to final-state effects

Heavy-flavour hadron decay electron R_{pPb}



electrons from charm+beauty decays



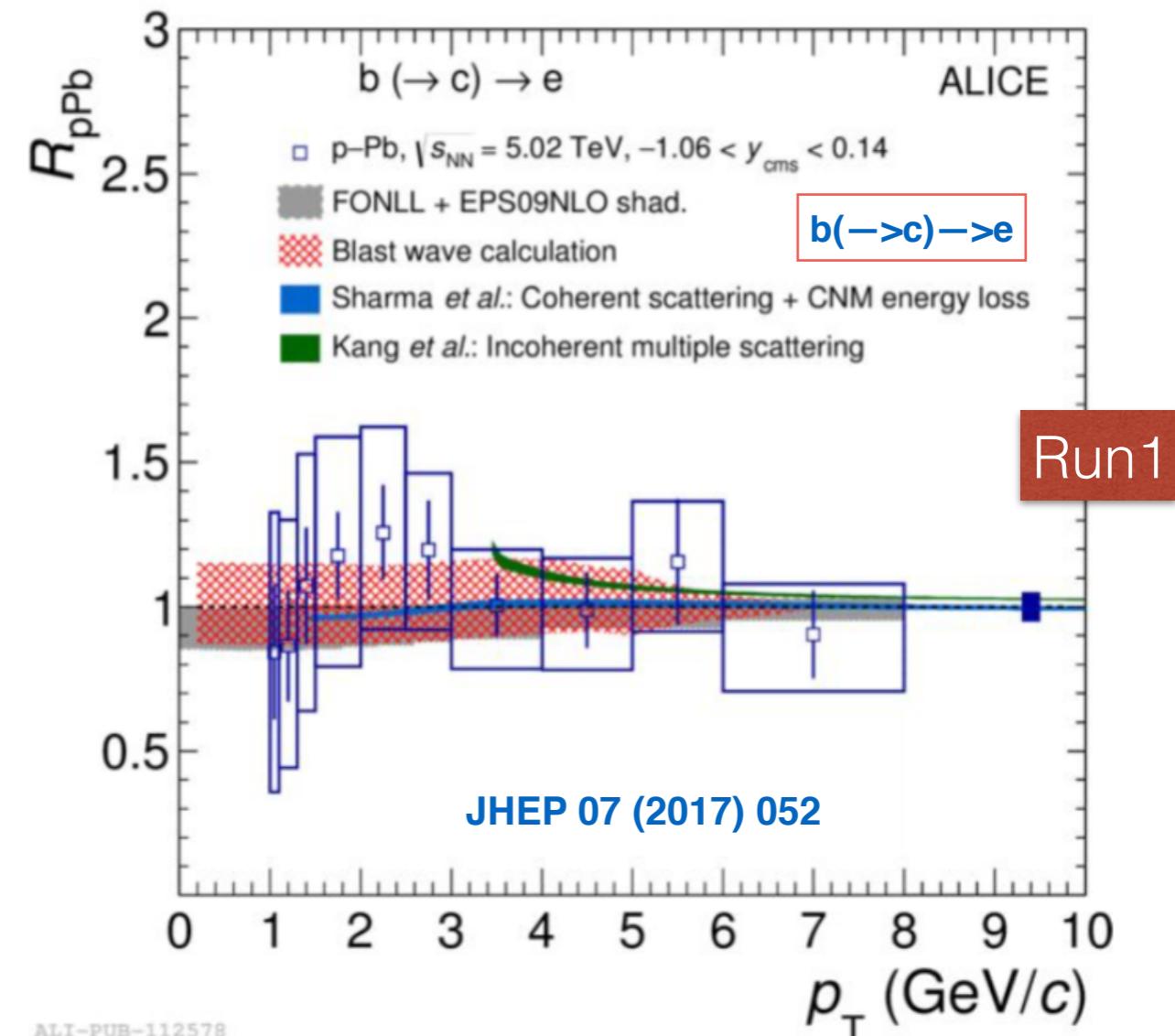
ALI-PREL-153541

Run1, 5.02 TeV

Run2, 8.16 TeV

no energy dependency within uncertainties

electrons from beauty decays



ALI-PUB-112578

- Beauty and beauty+charm electron results are compatible within uncertainties
- R_{pPb} described by models that include CNM

Heavy-flavour hadron decay electron (HF-e) R_{pPb} compatible with unity

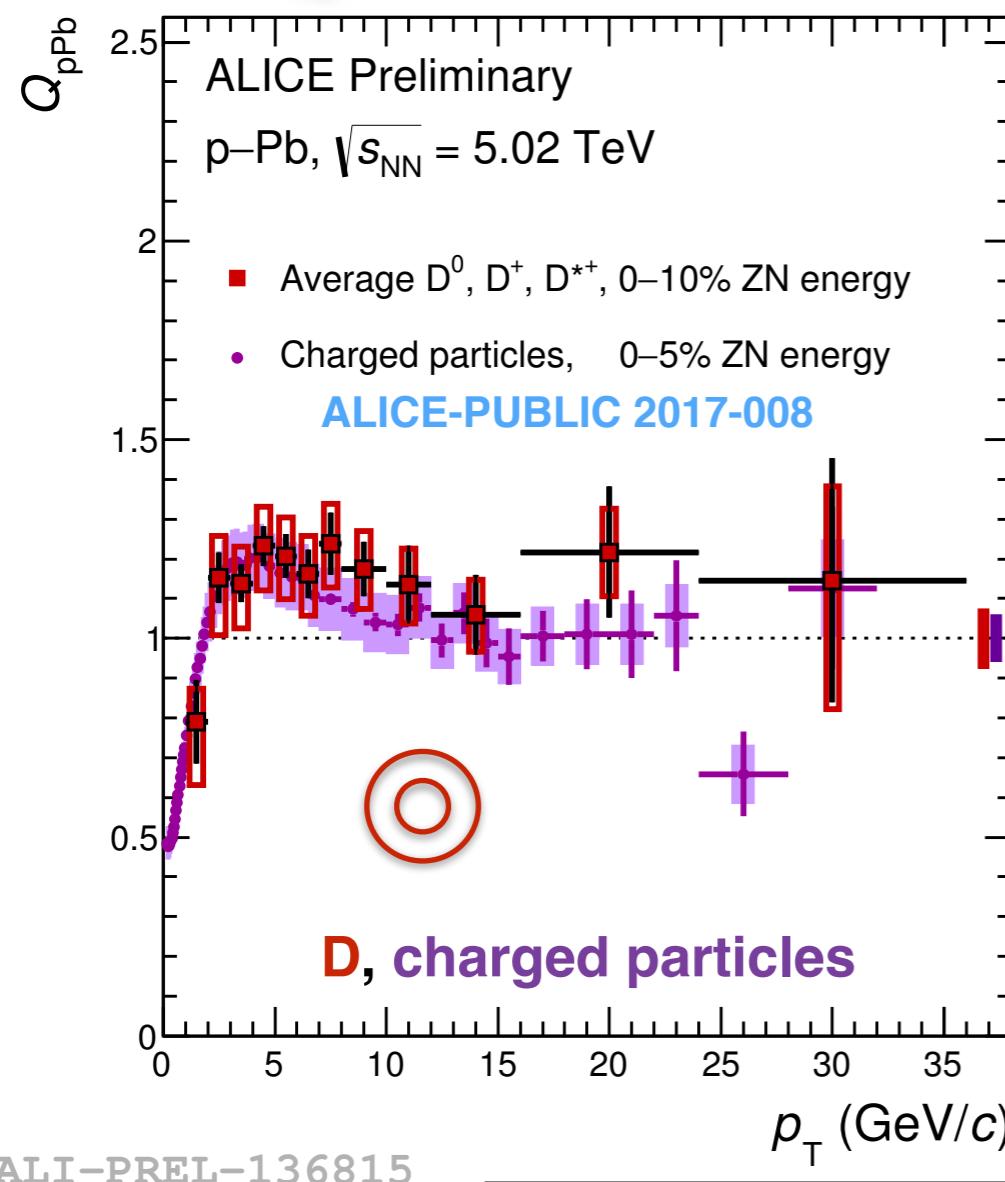


Nuclear modification factor in centrality classes: Q_{pPb}

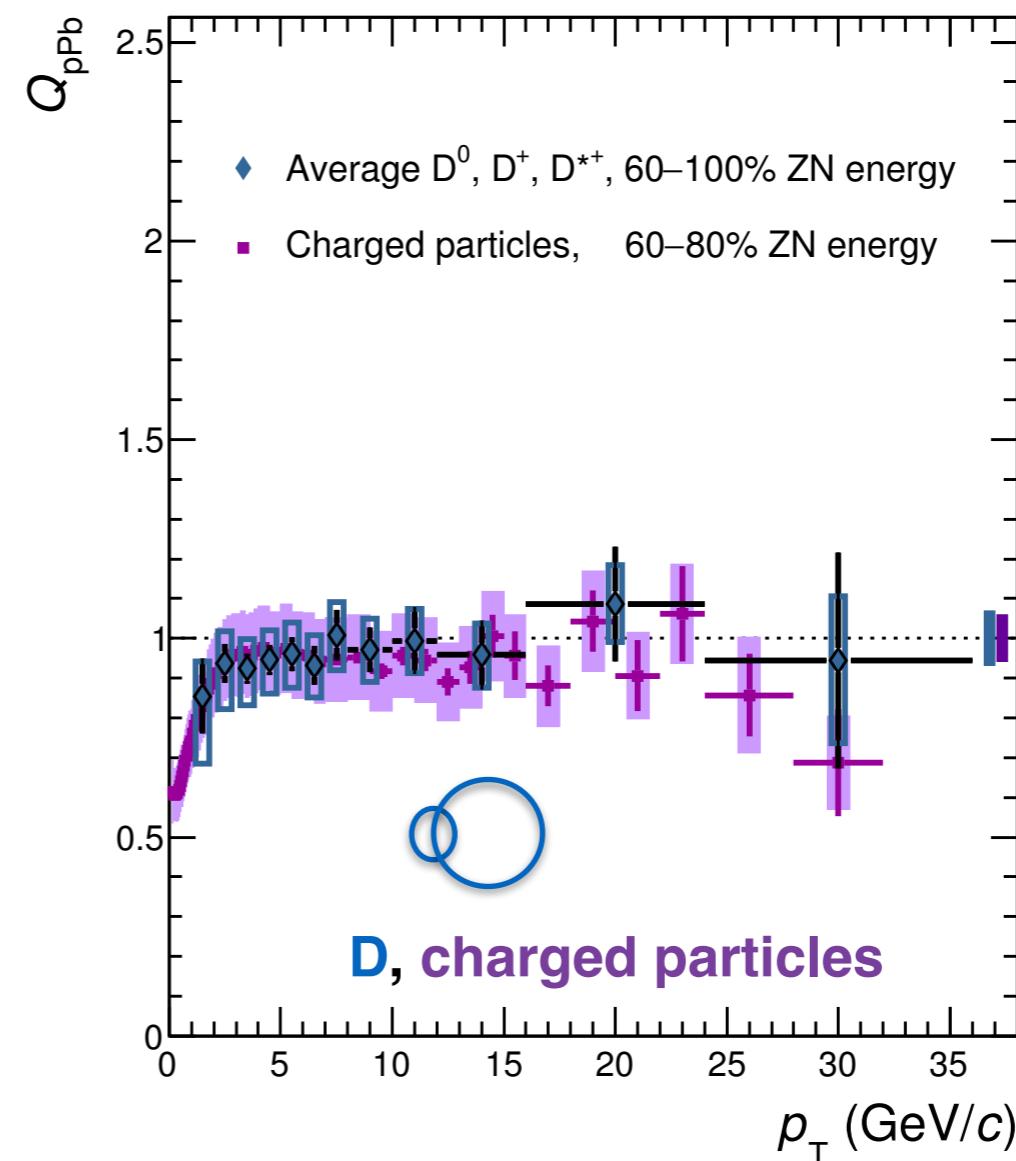
investigating
the high
multiplicity
p-Pb collisions

$$Q_{\text{pPb}}^{\text{cent}} = \frac{(\text{d}^2N^{\text{promptD}}/\text{d}p_{\text{T}}\text{dy})_{\text{pPb}}^{\text{cent}}}{\langle T_{\text{pPb}} \rangle^{\text{cent}} \times (\text{d}^2\sigma_{\text{pp}}^{\text{promptD}}/\text{d}p_{\text{T}}\text{dy})}$$

Centrality classes: slicing the distribution of the energy deposited in the neutron calorimeter in the Pb-going side (ZNA)
Centrality determination in p-Pb
Phys. Rev. C 91 (2015) 064905



ALI-PREL-136815



Similar charged-particle Q_{cp}

Phys. Rev. C 91 (2015) 064905

- **Q_{pPb} in most central (0–10%) and peripheral (60–100%) centrality classes** are compatible within uncertainties and consistent with unity.
- Hint of $Q_{\text{pPb}} > 1$ in central 0–10% in $3 < p_{\text{T}} < 8 \text{ GeV}/c$

Nuclear modification factor in centrality classes: Q_{cp}

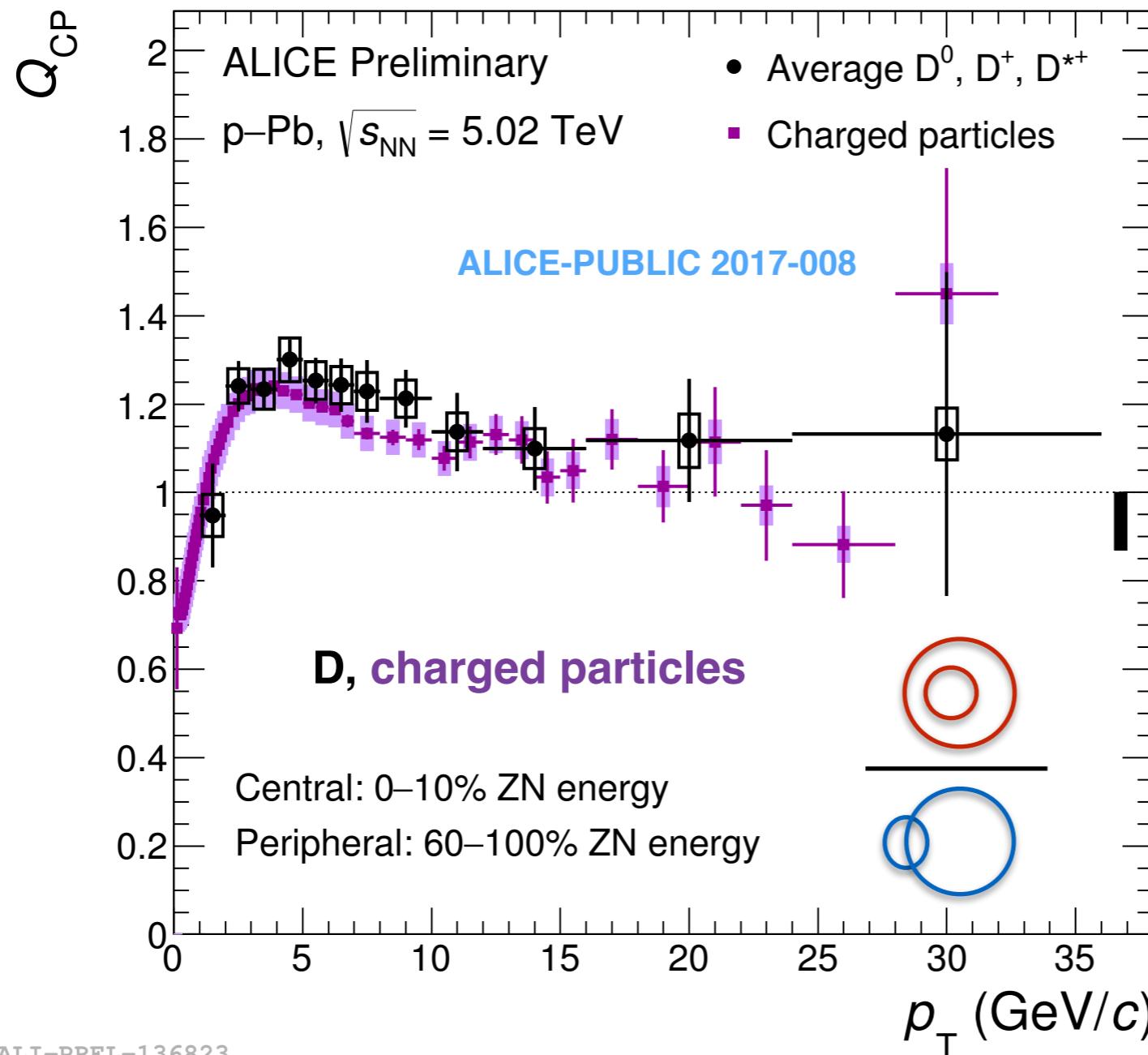


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investigating
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$$Q_{\text{CP}} = \frac{(\text{d}^2N^{\text{promptD}}/\text{d}p_{\text{T}}\text{dy})_{\text{pPb}}^{0-10}/\langle T_{\text{pPb}} \rangle^{0-10}}{(\text{d}^2N^{\text{promptD}}/\text{d}p_{\text{T}}\text{dy})_{\text{pPb}}^{60-100}/\langle T_{\text{pPb}} \rangle^{60-100}}$$

central/peripheral ratio



Similar as charged-particle Q_{cp}

Phys. Rev. C 91 (2015) 064905

Q_{cp} more precise measurement than Q_{pPb}

- independent from pp reference
- some sources of systematic uncertainties cancel in the ratio

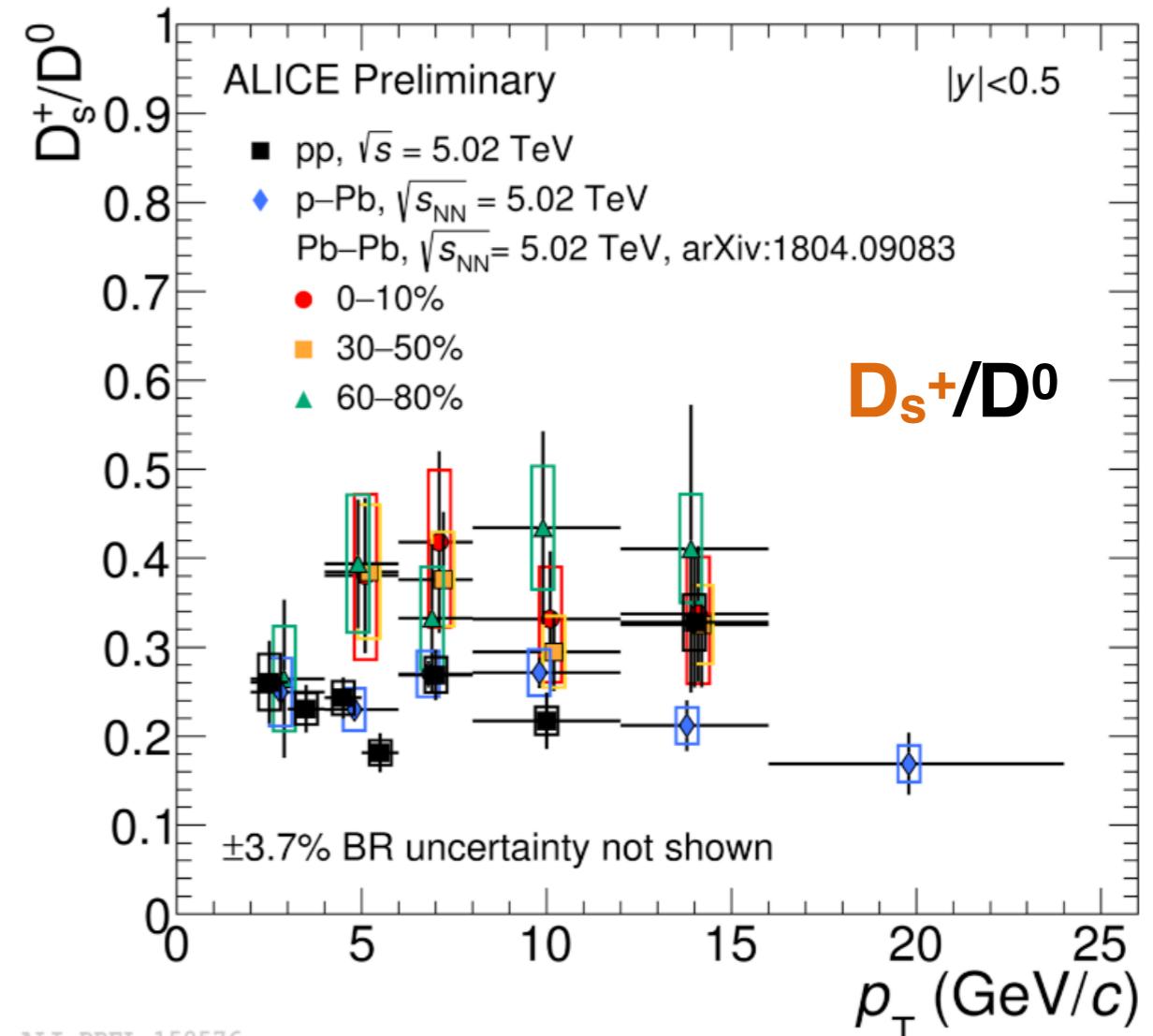
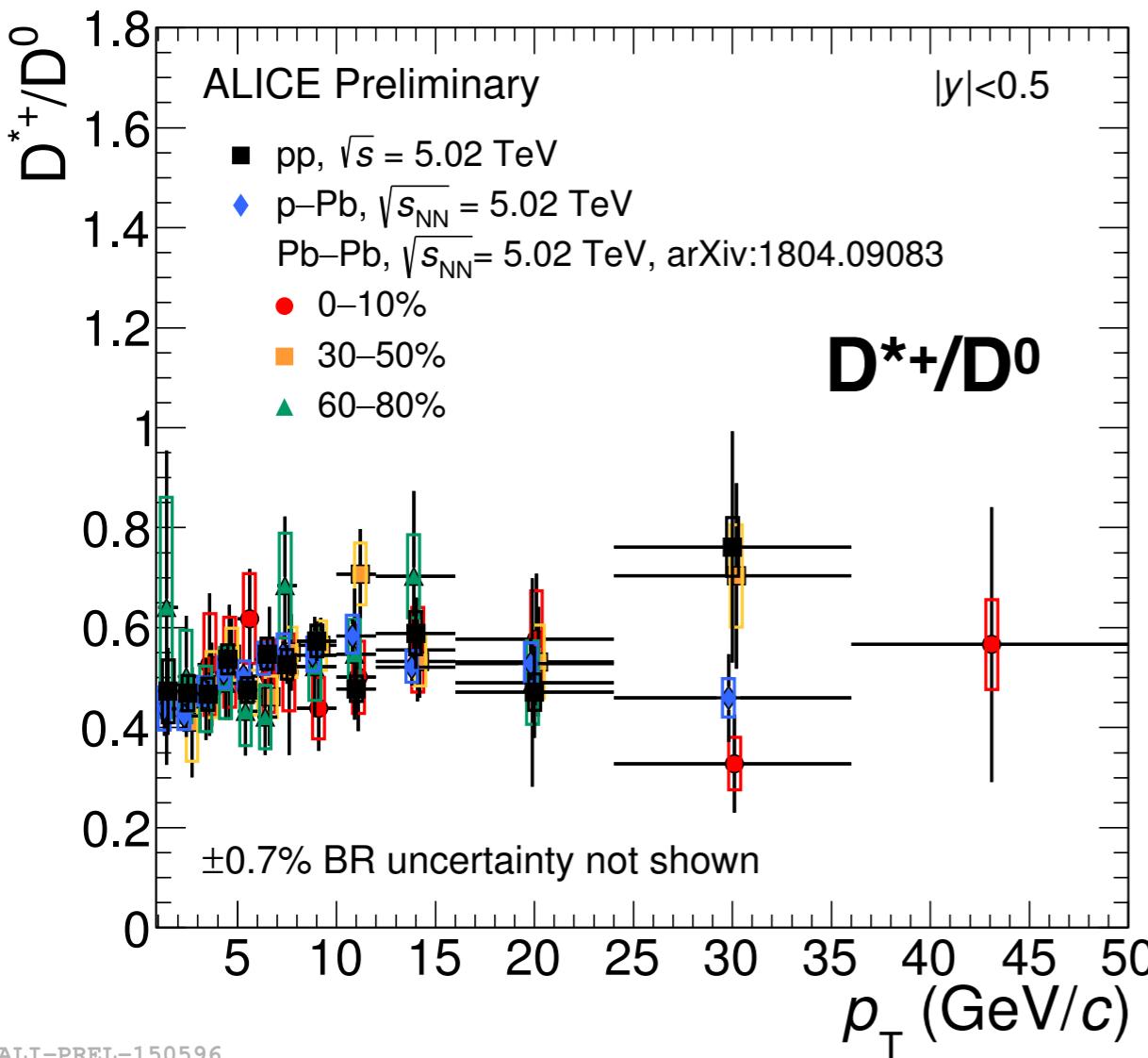
Hint of $Q_{\text{cp}} > 1$ in $3 < p_{\text{T}} < 8 \text{ GeV}/c$ for D mesons with 1.5σ

significance

- Radial flow?
- Initial or final-state effect?

Charm-hadron ratios in small systems

Charm-hadron ratios



Relative abundances of D^{*+}/D^0 and D_s^+/D^0 compatible in **pp** and **p-Pb** collisions

- and also consistent with measurements in e^+e^- collisions at LEP [Gladilin, EPJ C75 \(2015\) 19](#)

Hint of a enhanced production of **D_s** w.r.t. non-strange D meson (**D⁰**) in **Pb-Pb** collisions

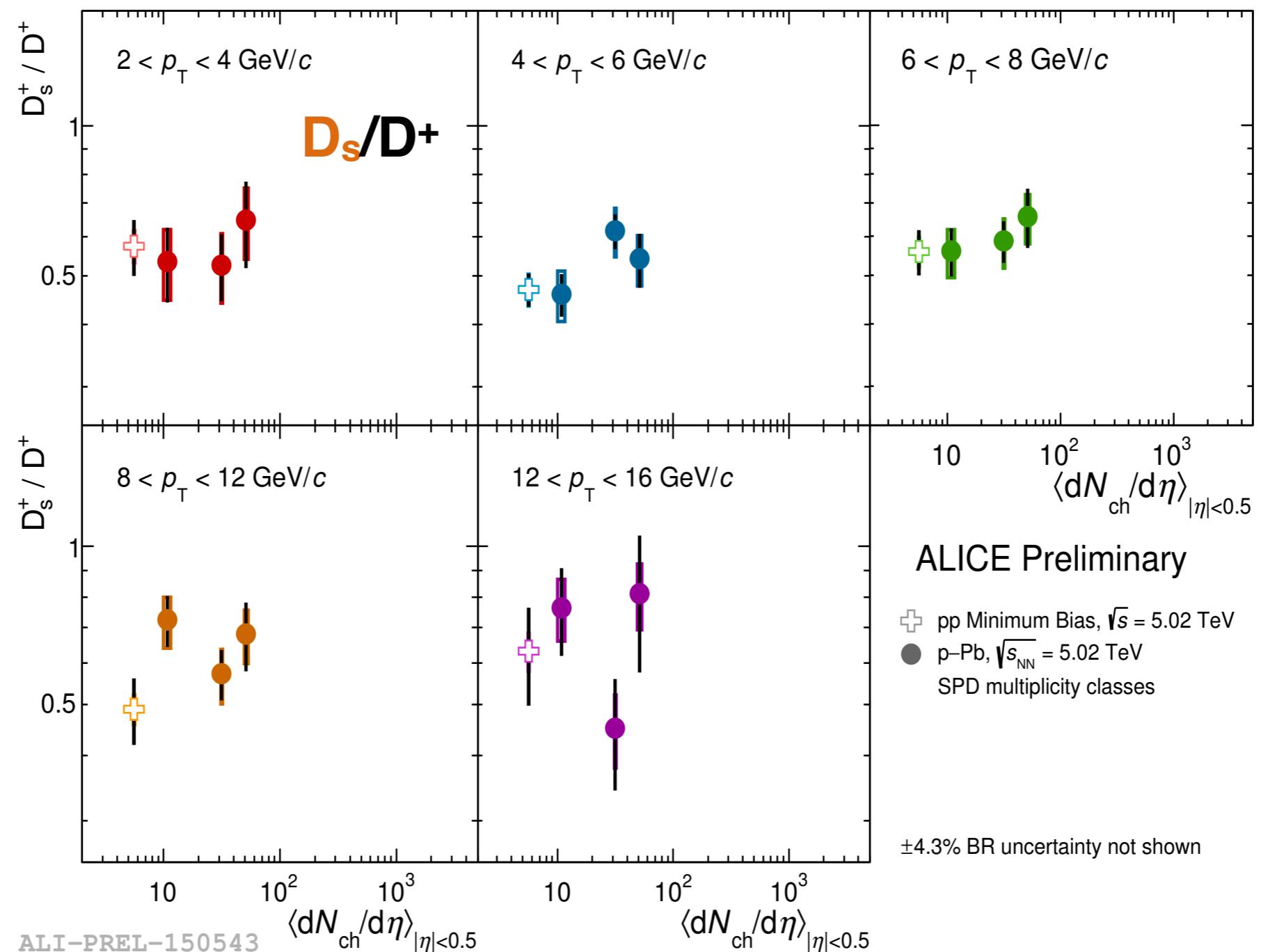
Charm-hadron ratio: strange/no-strange



investigating
the high
multiplicity
p-Pb collisions

Light flavour
sector:
strangeness
enhancement
with multiplicity
in small systems.

Heavy-flavour?

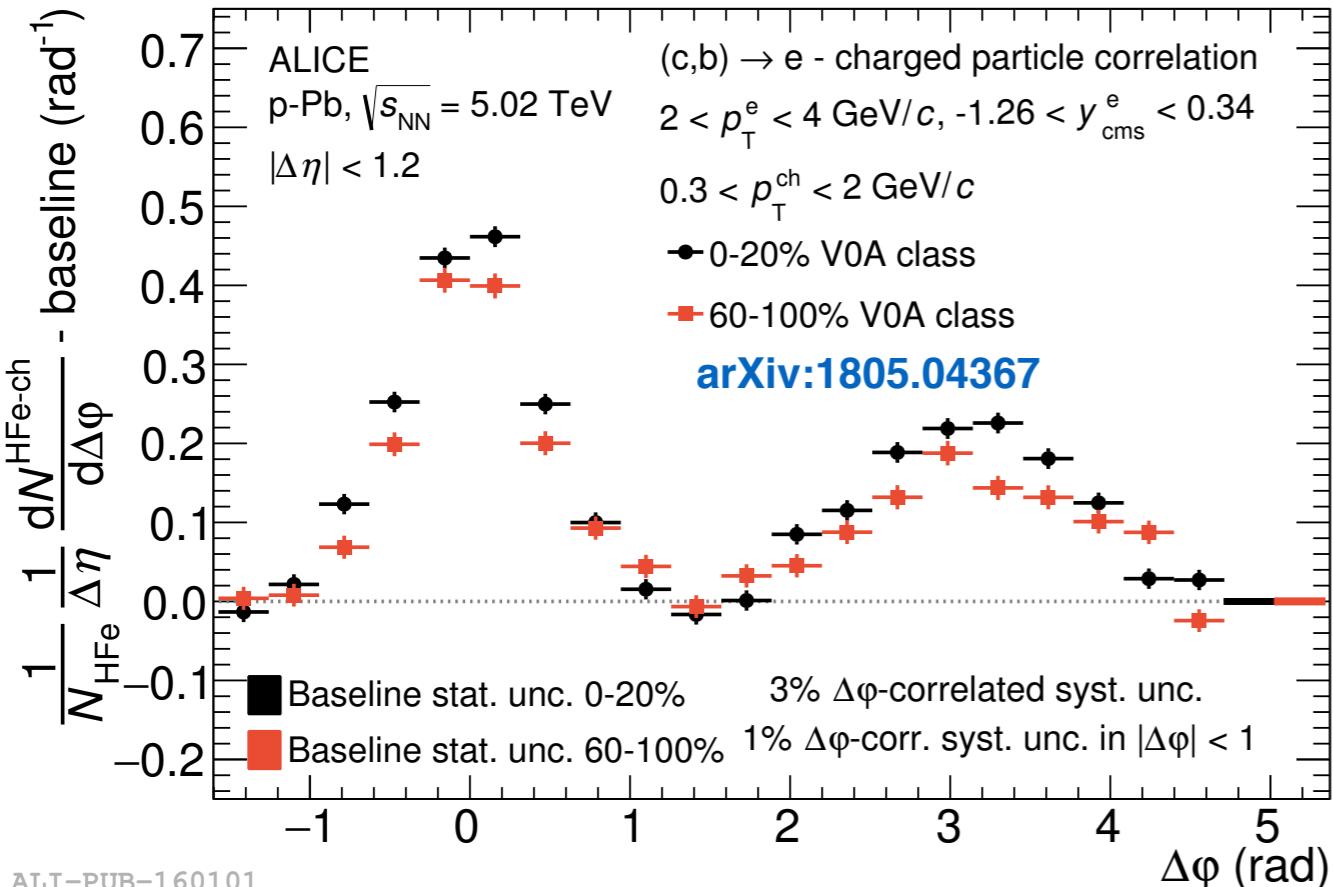


D_s/D⁺ measured as a function of multiplicity in different p_T ranges

- compatible ratios in pp and p-Pb collisions
- no dependency vs multiplicity with the current uncertainties

collectivity in high-multiplicity p-Pb collisions?

HFe-hadron azimuthal correlations



→ jet contribution subtracted:
high-multiplicity - low-multiplicity events

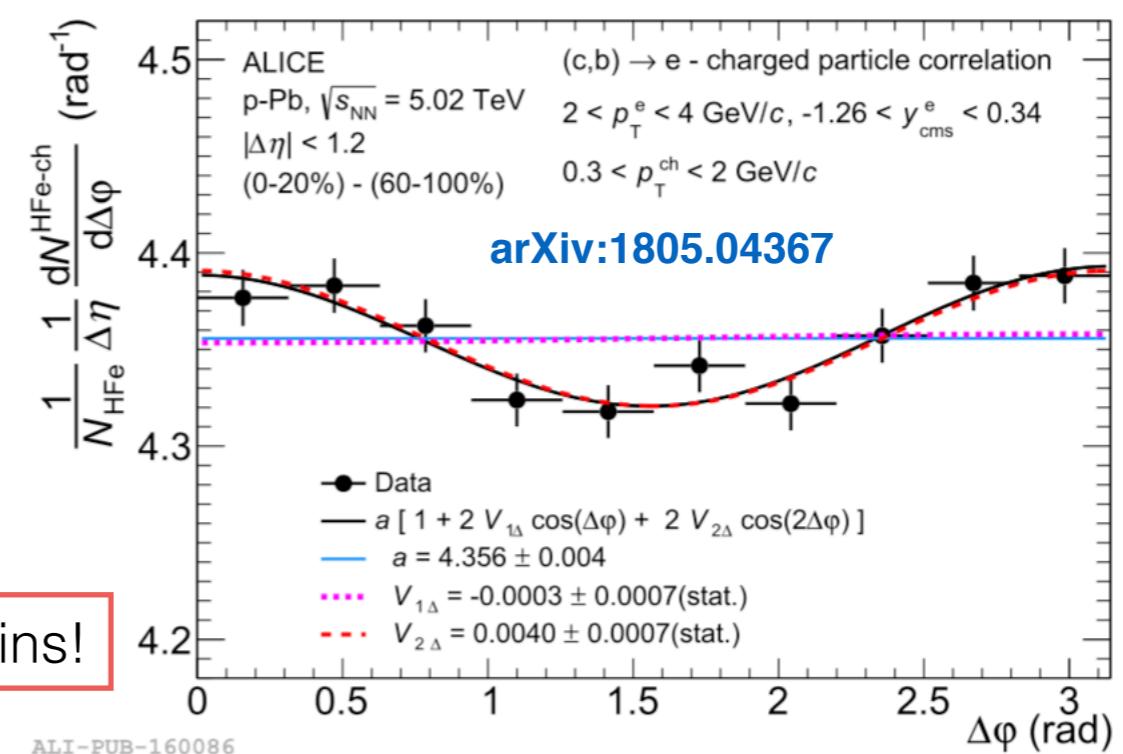
$$\left(\frac{1}{N_a} \frac{dN^{ab}}{d(\Delta\varphi)} \right)^{\text{HM}} - \left(\frac{1}{N_a} \frac{dN^{ab}}{d(\Delta\varphi)} \right)^{\text{LM}}_{\text{sub}} = P \left(1 + \sum_{n=1}^{\infty} 2 \times v_n^a v_n^b \times \cos[n\Delta\varphi] \right)$$

Azimuthal modulation remains!

Angular correlations between heavy-flavour decay electrons and charged particles at mid-rapidity
0-20% and **60-100%**

- multiplicity selected via V0A at forward rapidity $2.8 < \eta < 5.1$
- hint of a enhancement** of near- and away-side peaks distribution in central **0-20% p-Pb**

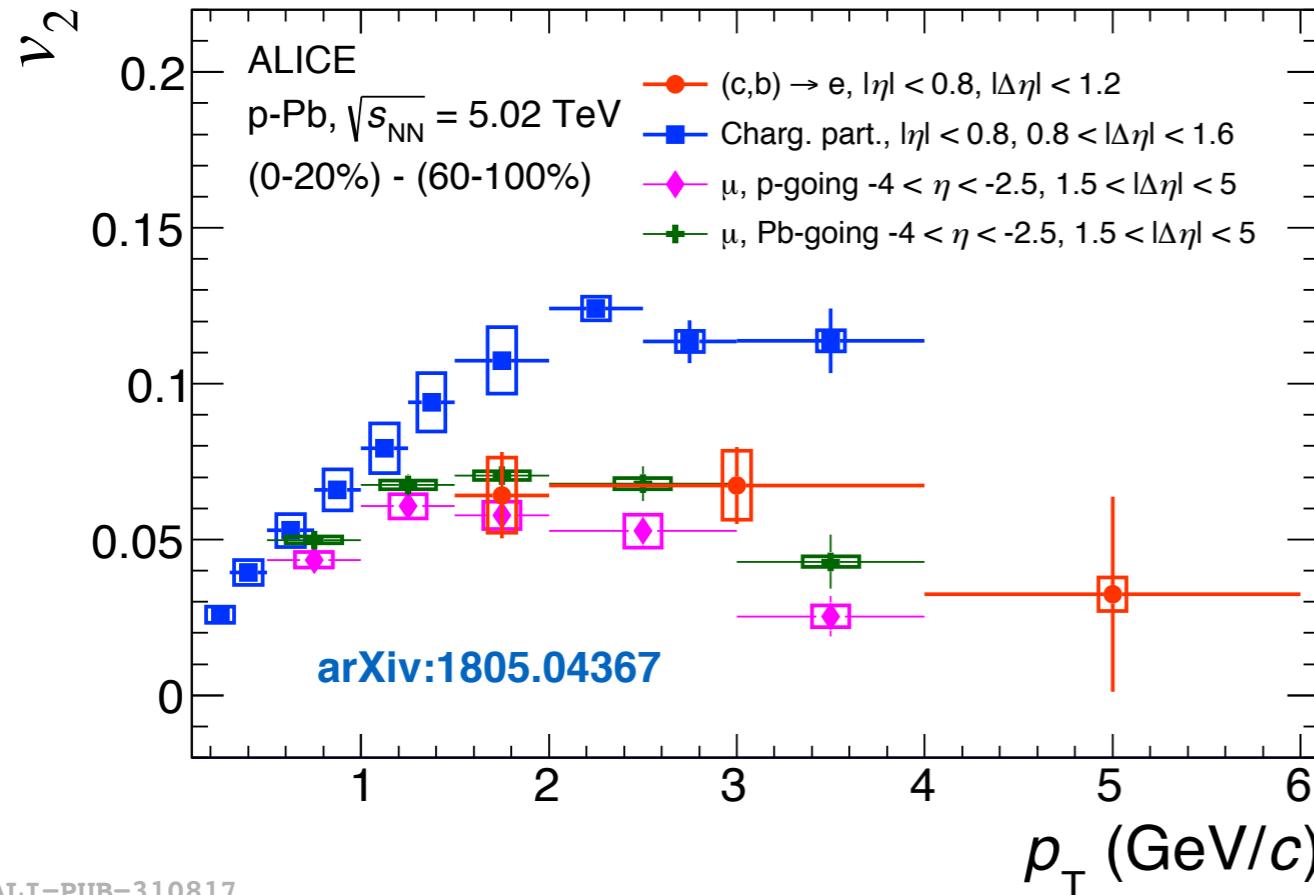
investigating the high multiplicity p-Pb collisions



HF-decay lepton ν_2 in p-Pb



ν_2 : second-order coefficient of the Fourier expansion of the azimuthal distributions of particles



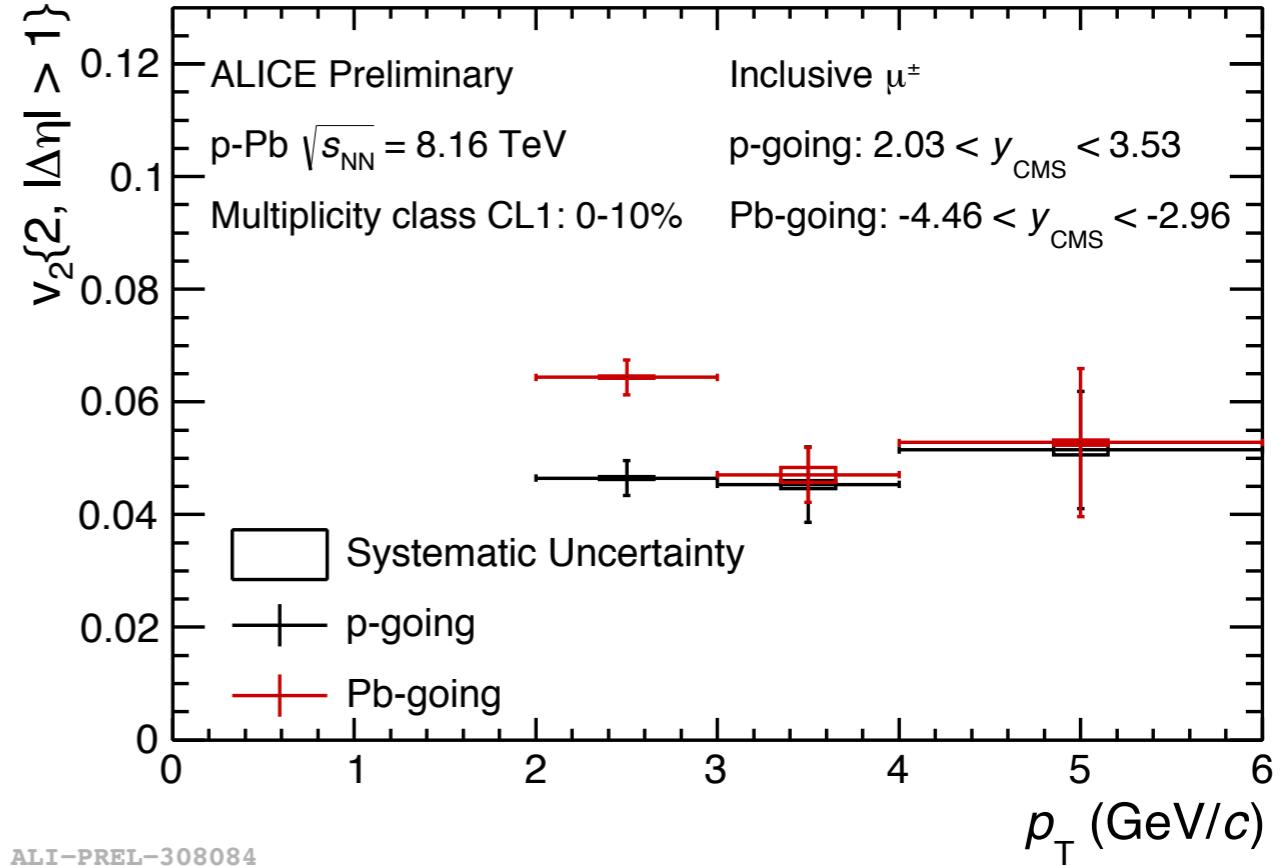
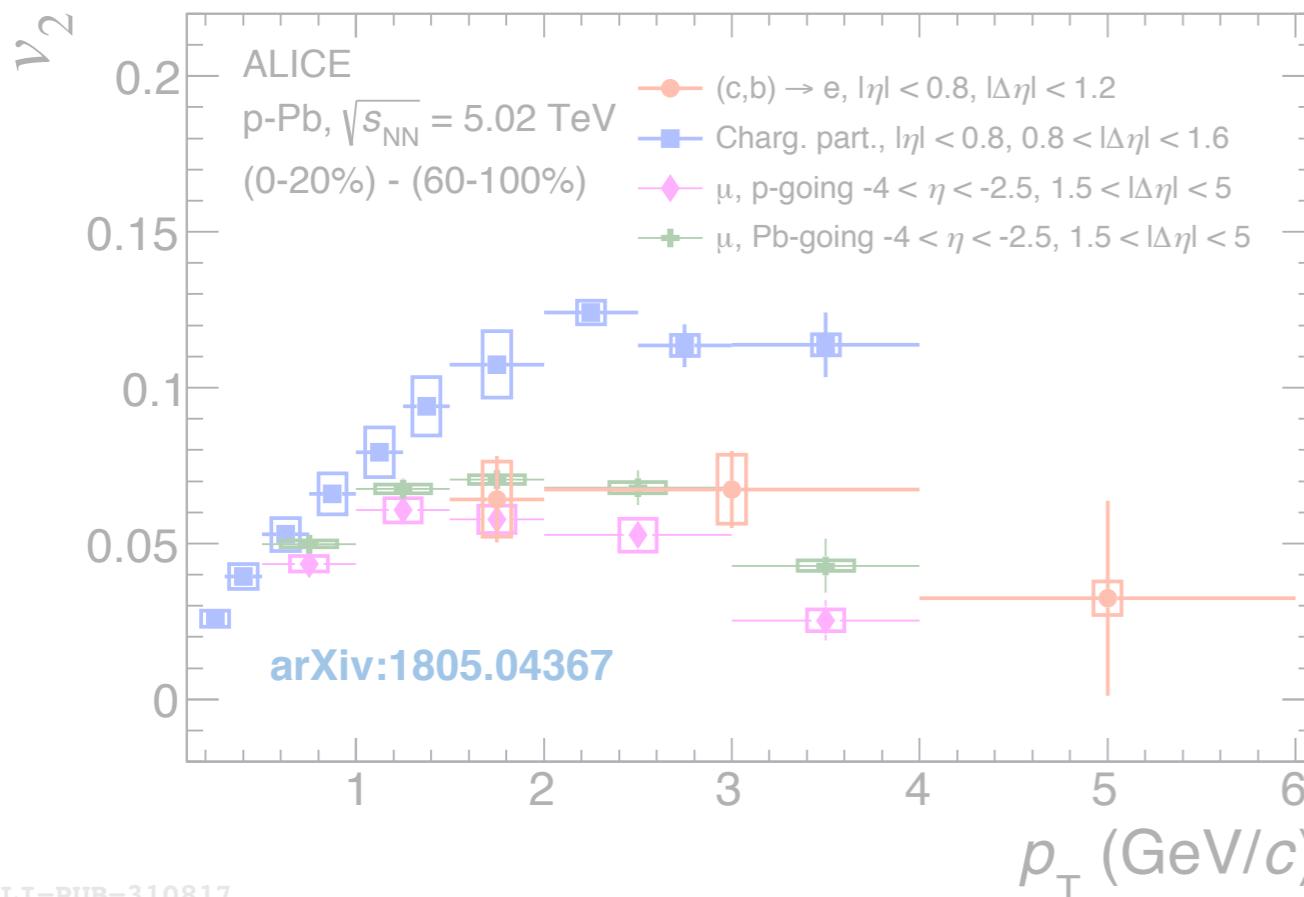
ALI-PUB-310817

- **HF-e $v_2 > 0$** in $1.5 < p_T < 4$ GeV/c in high multiplicity events **with significance $> 5 \sigma$**
- sizeable effect, possibly lower than **charged-particles maximum**, and similar to **inclusive muons** at large rapidities

HF-decay lepton ν_2 in p-Pb



ν_2 : second-order coefficient of the Fourier expansion of the azimuthal distributions of particles

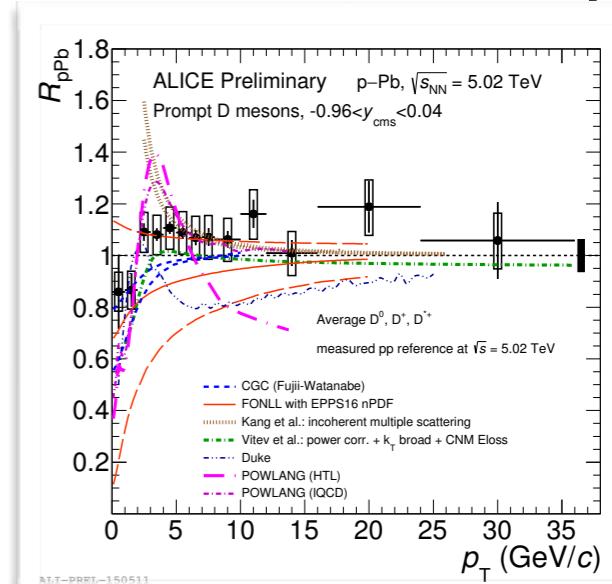


AT-T-PIIB-310817

- **NEW!** μ ν_2 measured in different collision energy $\sqrt{s_{NN}} = 8.16$ TeV, in an extended p_T range
 - where HF- μ components dominate
- **Analysis Strategy:** Q-cumulants with 2-particle correlations
- 0-10% high-multiplicity class: CL1, $N_{tracklets} =$ # track segments in the two innermost layers of the ITS
- similar values at forward and backward rapidities
- μ $\nu_2 > 0$ in $2 < p_T < 6$ GeV/c in high multiplicity events **with significance > 3 σ**
- **compatible with HF-e and inclusive μ in p-Pb collisions at 5.02 TeV**

Conclusions

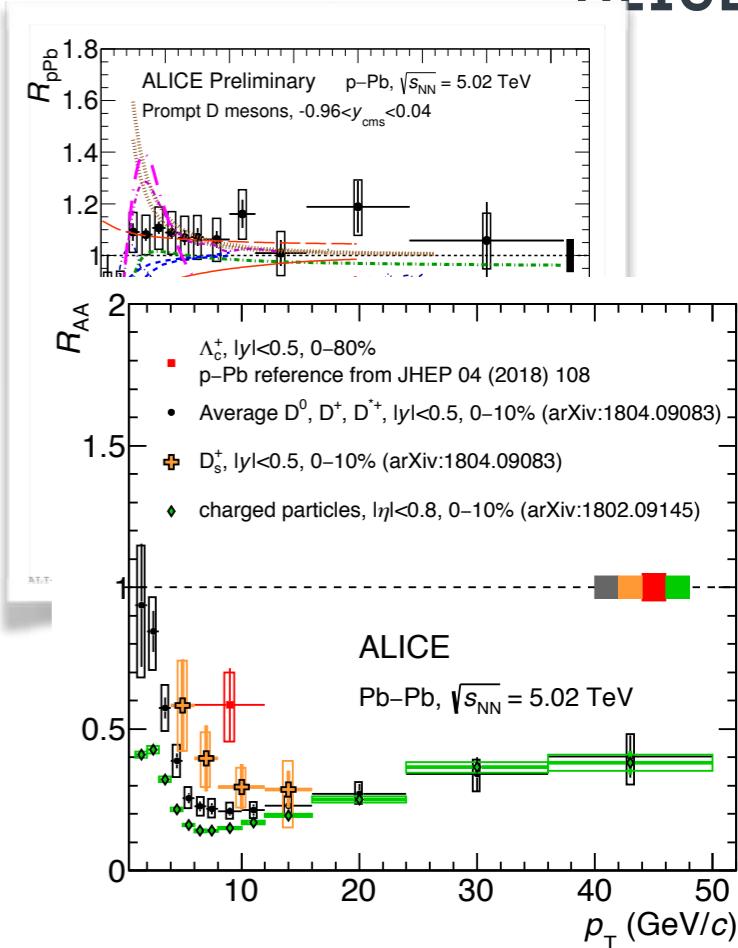
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- Measured **D-meson $R_{p\text{Pb}}$ at high p_T** disfavours QGP models that predict a significant **suppression at high p_T in p-Pb collisions**
 - suppression in Pb-Pb collisions at intermediate p_T is due to final-state effects



- **Investigation of high-multiplicity p-Pb collisions:**
 - D-meson $Q_{p\text{Pb}}$ compatible with unity
 - Hint of D-meson $Q_{CP} > 1$ at low-intermediate p_T
 - No modification in the ratios of strange/non-strange mesons in different systems and vs multiplicity
 - Non-zero v_2 for HF-decay leptons in high multiplicity events

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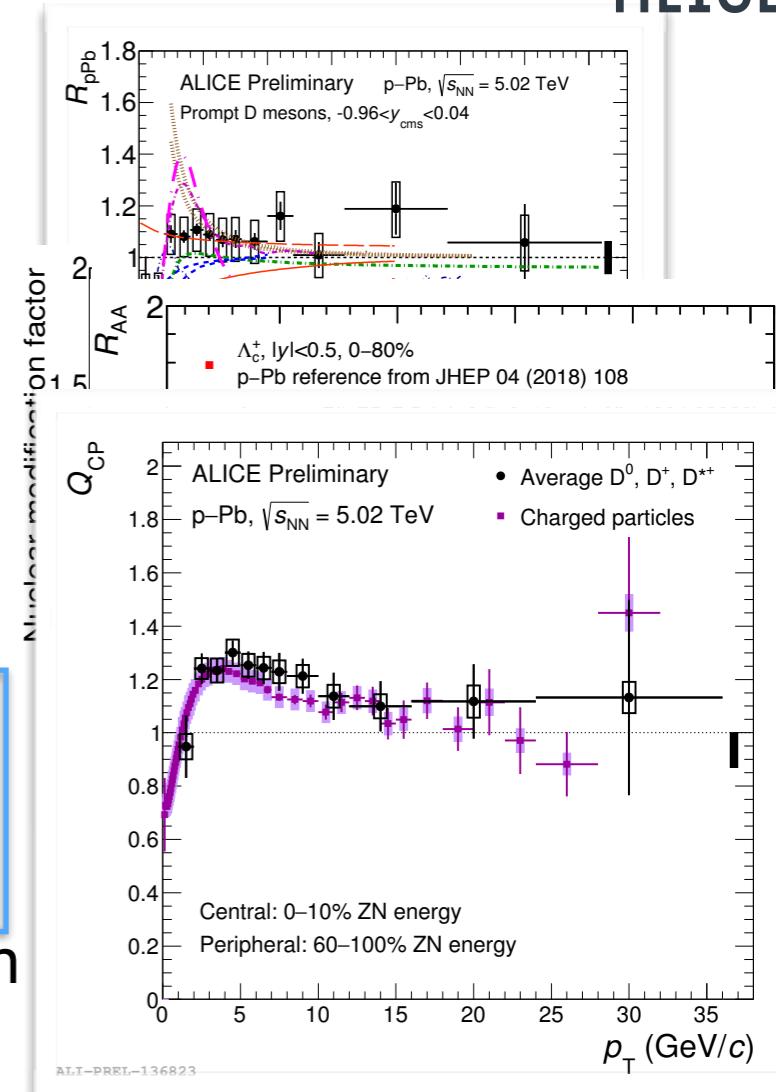
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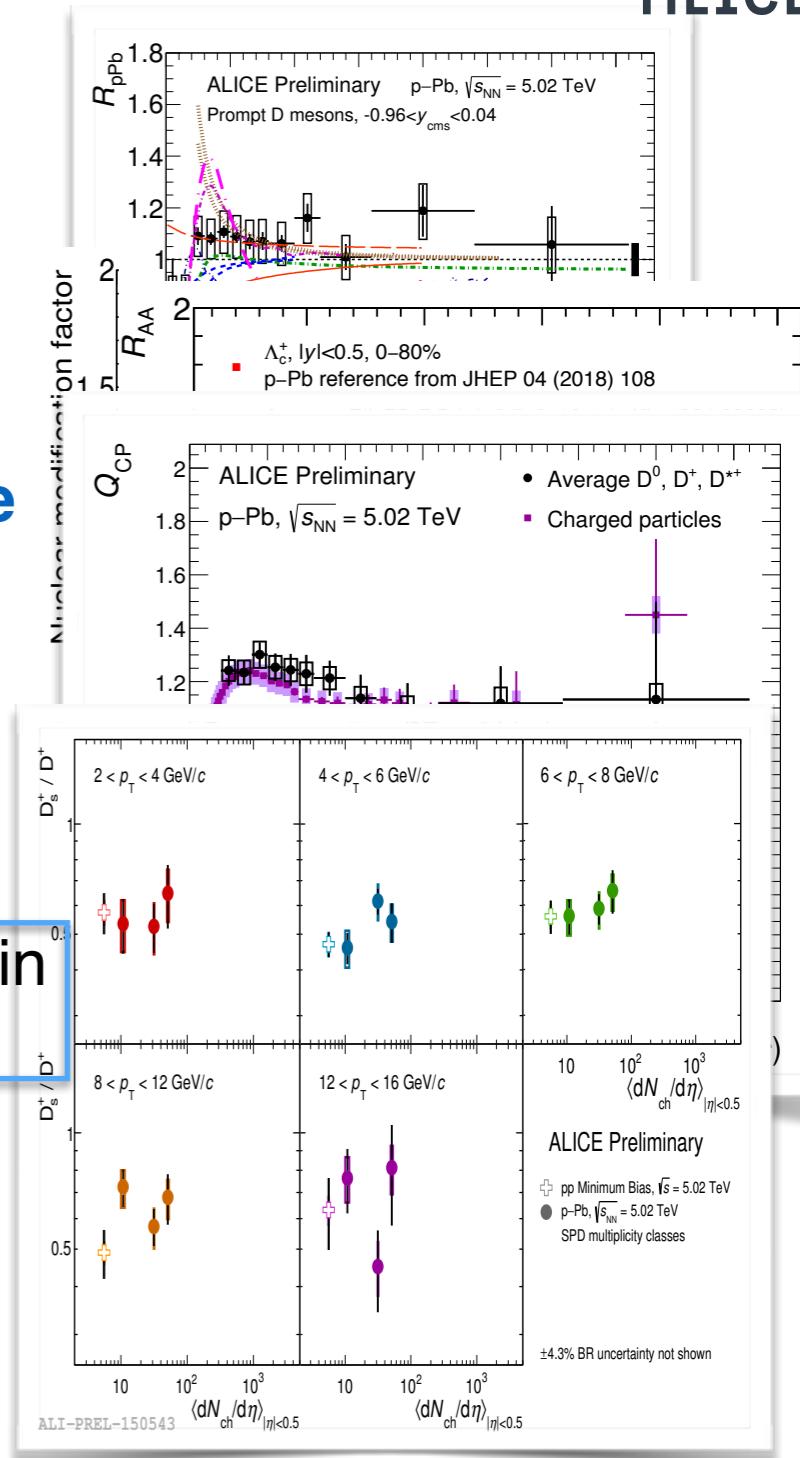


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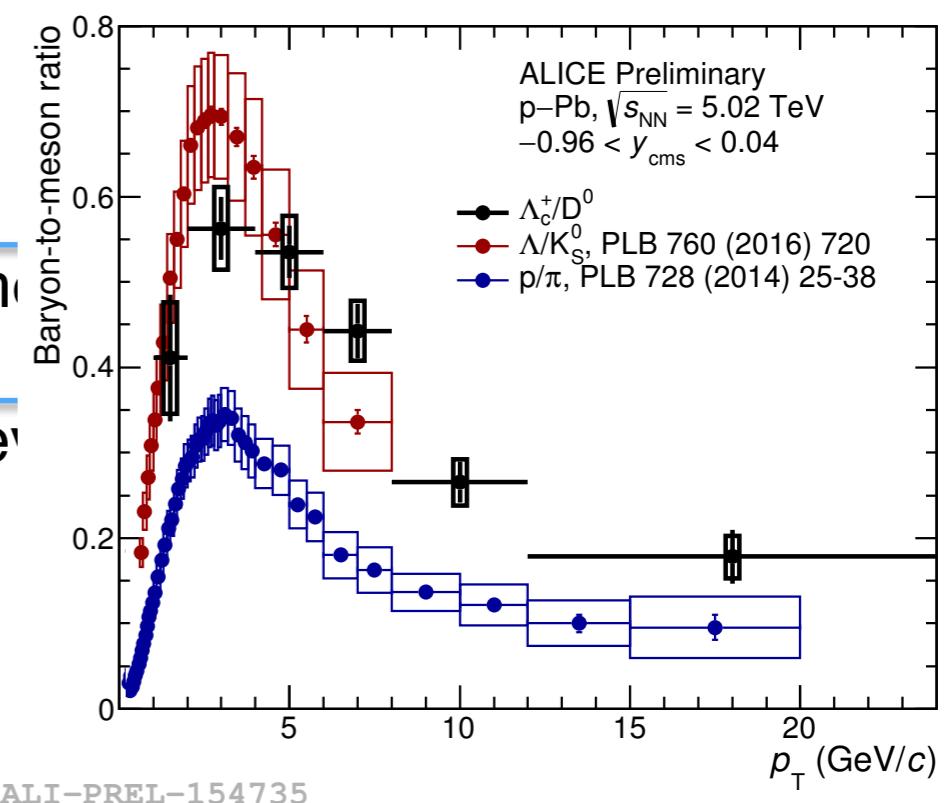
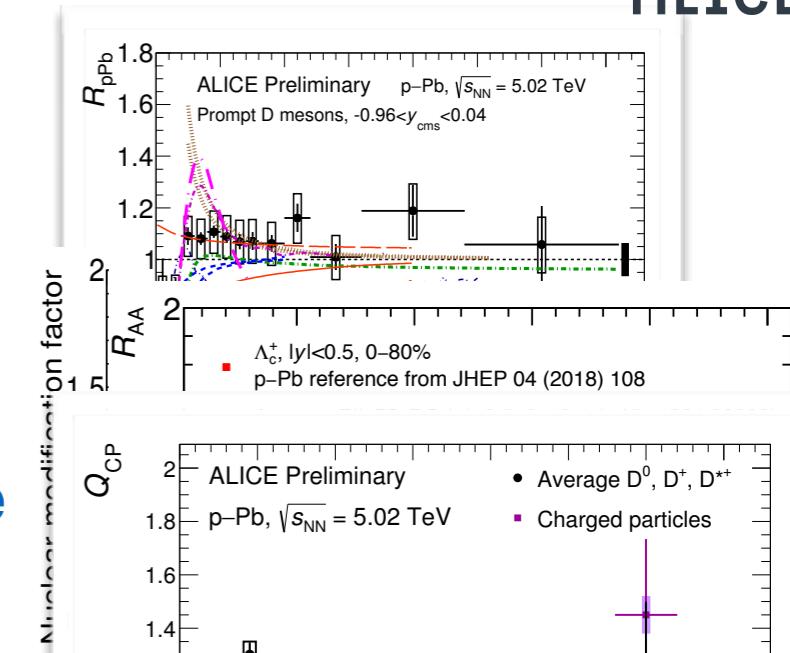
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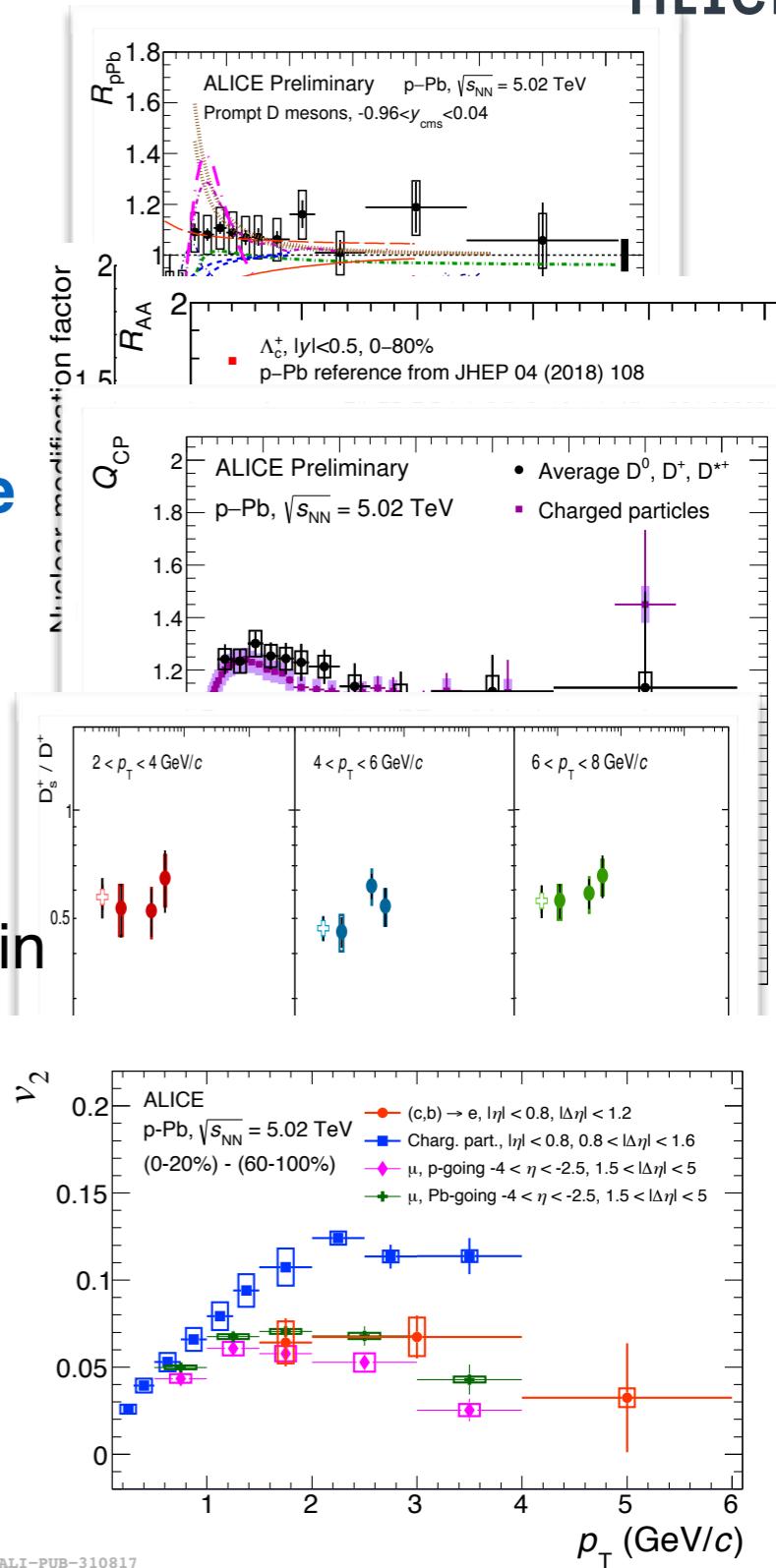
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Charm Baryons-to-meson ratios in E. Meninno's Talk

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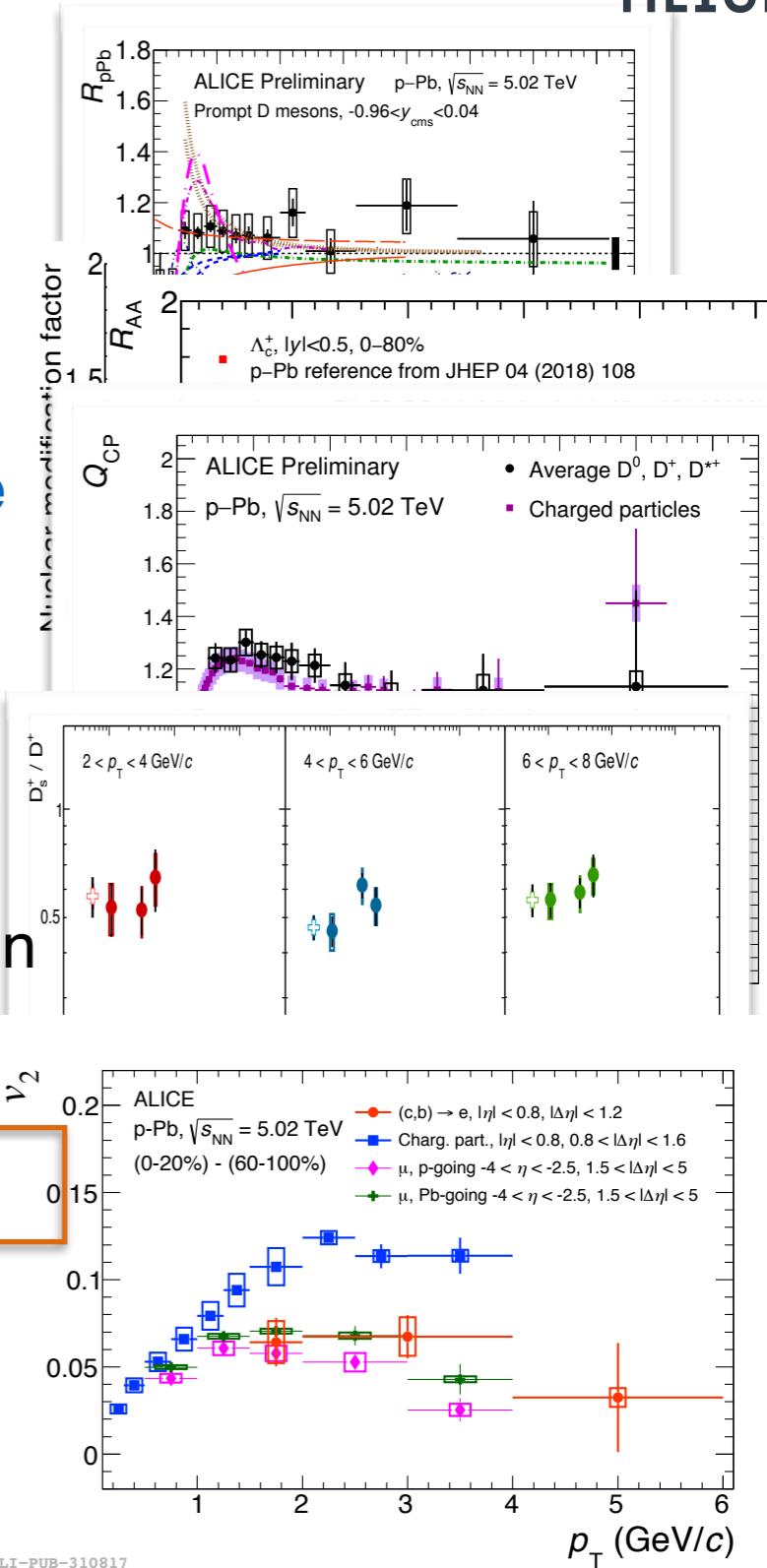
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Collective effects in p-Pb: origin? Initial- or final-state effects



Conclusions



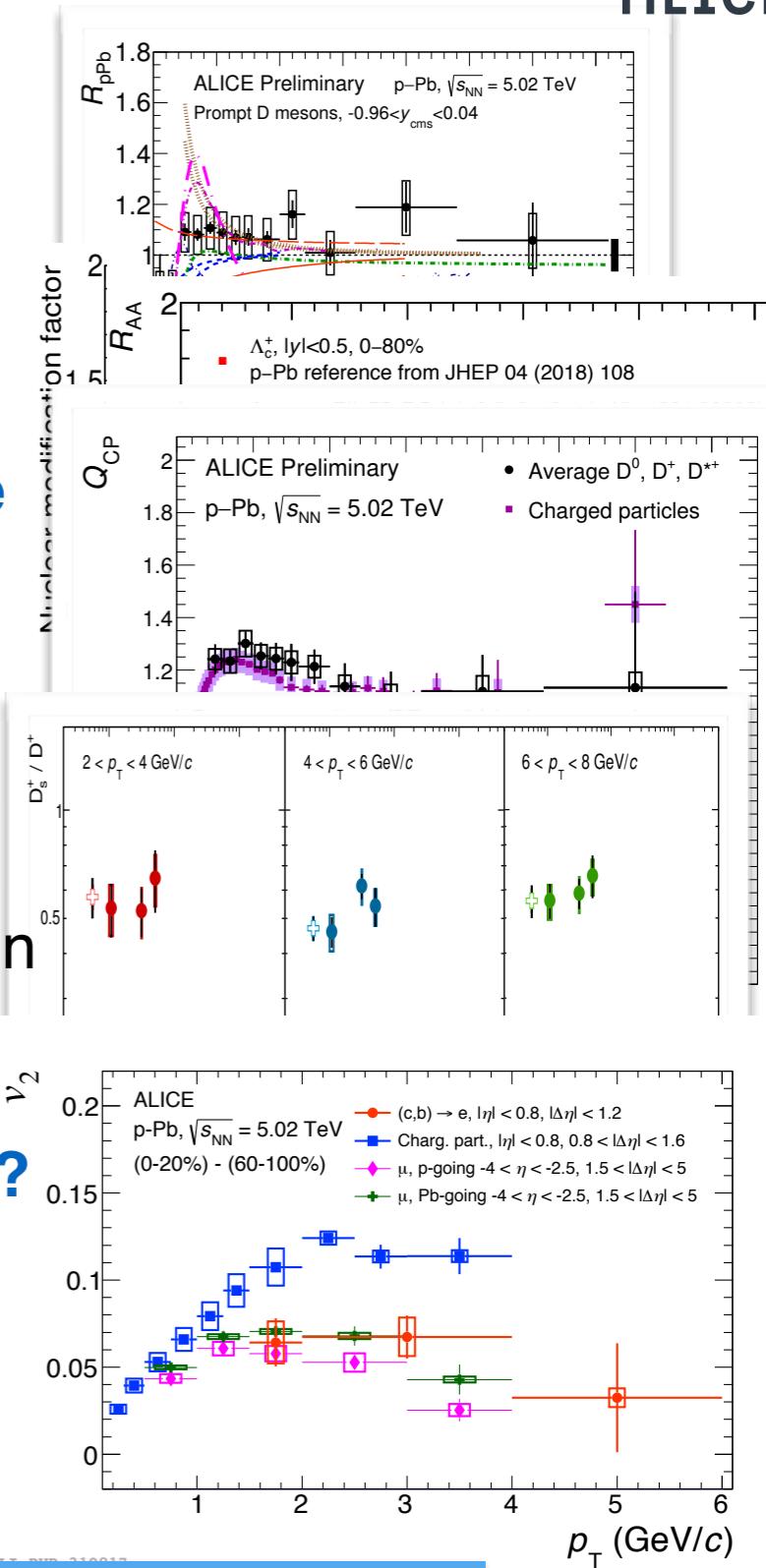
- **R_{pPb} of heavy-flavour hadrons compatible with unity** and described by models including CNM effects
 - Measured D-meson R_{pPb} at high p_{T} **disfavours** QGP models that predict a significant **suppression at high p_{T}** in p-Pb collisions
 - suppression in Pb-Pb collisions at intermediate p_{T} is due to final-state effects
 - **Investigation of high-multiplicity p-Pb collisions:**
 - D-meson Q_{pPb} compatible with unity
 - Hint of D-meson $Q_{\text{CP}} > 1$ at low-intermediate p_{T}
 - No modification in the ratios of strange/non-strange mesons in different systems and vs multiplicity
 - Non-zero v_2 for HF-decay leptons in high multiplicity events

Collective effects in p-Pb: origin? Initial- or final-state effects?

→ More in HF-jets, D-h correlation results in p-Pb S. Aiola Talk

Upgrades of ALICE in LHC Run 3-4

- improved precision and extended p_T reach for HF measurements



Upgrade prospects: C. Bedda's Talk

backup

Data Samples

p-Pb collisions, data samples:

Min. bias $\sqrt{s_{NN}} = 5.02 \text{ TeV}$ min.bias

Run1 2013 100 M $L_{\text{int}} = 47.8 \mu\text{b}^{-1}$

Run2 2016 600 M $L_{\text{int}} = 292 \mu\text{b}^{-1}$

electrons (2016) $\sqrt{s_{NN}} = 8.16 \text{ TeV}$ min. bias

Trig.1($p_T > 10 \text{ GeV}/c$) $L_{\text{int}} = 599 \mu\text{b}^{-1}$

Trig.2 ($p_T > 5 \text{ GeV}/c$) $L_{\text{int}} = 34.6 \mu\text{b}^{-1}$

$\approx 20\text{M}$ MB events $L_{\text{int}} = 10.1 \mu\text{b}^{-1}$

Muons (2013) $\sqrt{s_{NN}} = 5.02 \text{ TeV}$ min. bias

Trigger MSL ($p_T > 0.5 \text{ GeV}/c$) p-Pb $L_{\text{int}} = 196 \mu\text{b}^{-1}$, Pb-p $L_{\text{int}} = 254 \mu\text{b}^{-1}$

Trigger MSH ($p_T > 4.2 \text{ GeV}/c$) p-Pb $L_{\text{int}} = 4.9 \cdot 10^3 \mu\text{b}^{-1}$, Pb-p $L_{\text{int}} = 5.8 \cdot 10^3 \mu\text{b}^{-1}$

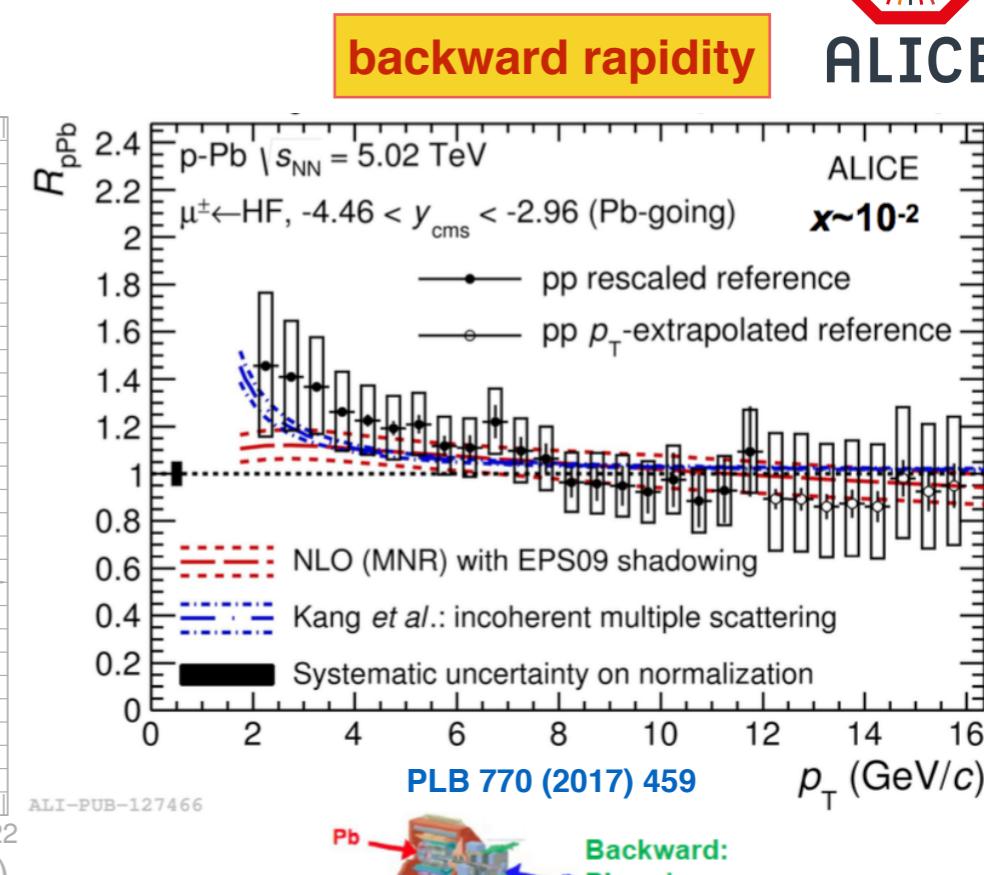
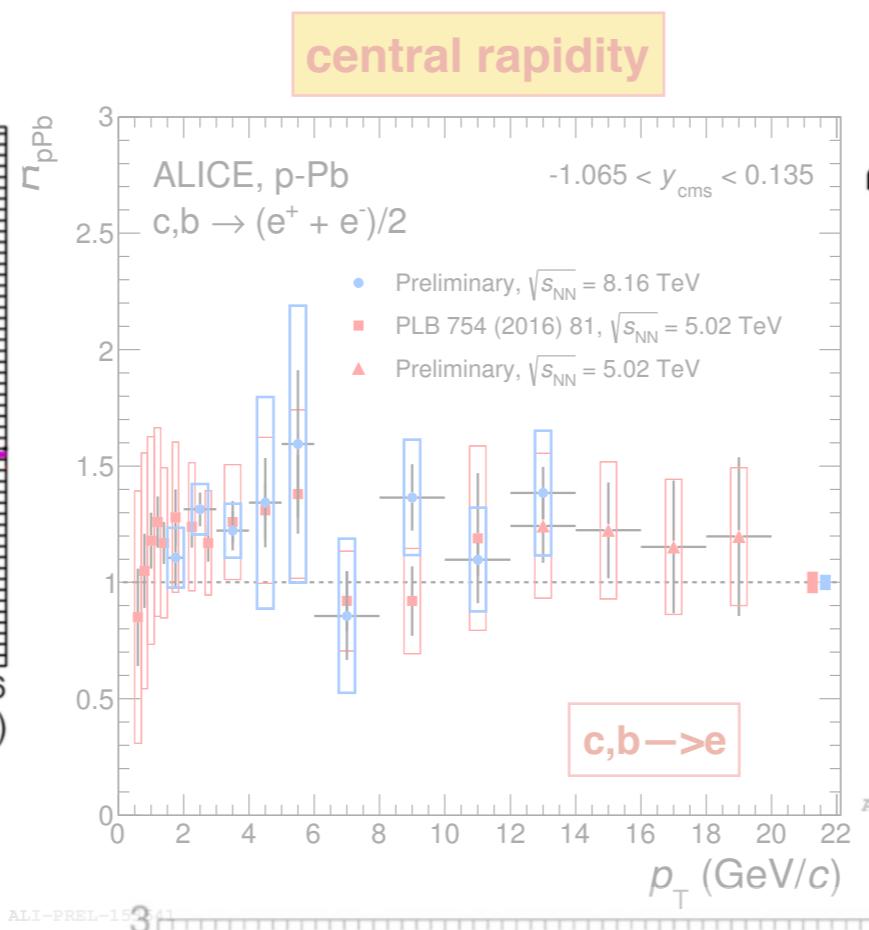
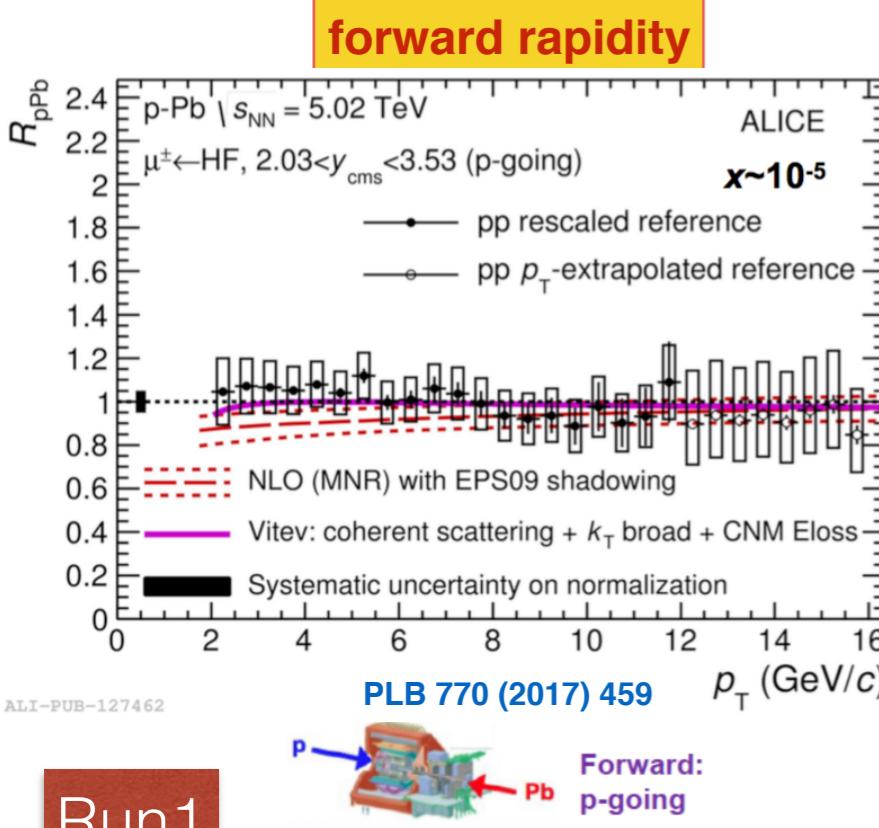
Muons (2016) $\sqrt{s_{NN}} = 8.16 \text{ TeV}$ min. bias

Trigger MSL ($p_T > 0.5 \text{ GeV}/c$) p-Pb $L_{\text{int}} = 22 \text{ M}$, Pb-p $L_{\text{int}} = 3.4\text{M}$

Trigger MSH ($p_T > 4.2 \text{ GeV}/c$) p-Pb $L_{\text{int}} = 17\text{M}$, Pb-p $L_{\text{int}} = 34\text{M}$



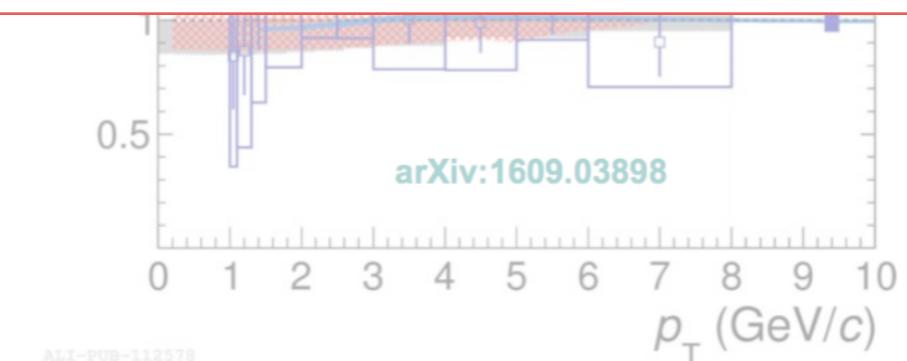
Heavy-flavour hadron decay lepton R_{pPb}



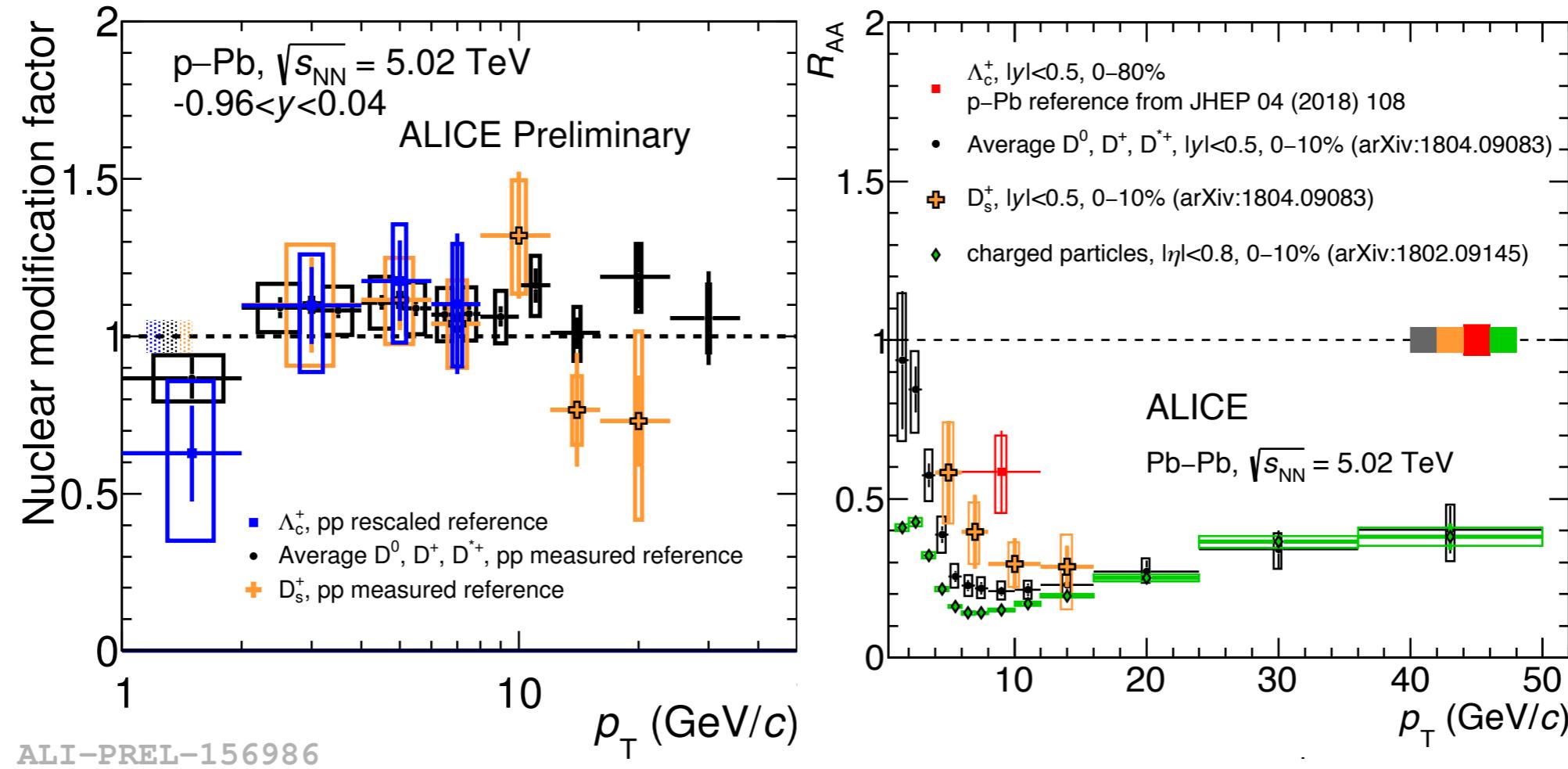
Run1

Different rapidity ranges allow access to different Bjorken-x regimes

- central and forward production consistent with no nuclear modification
- hint of enhancement at backward rapidity at low p_T
- described by models including CNM effects



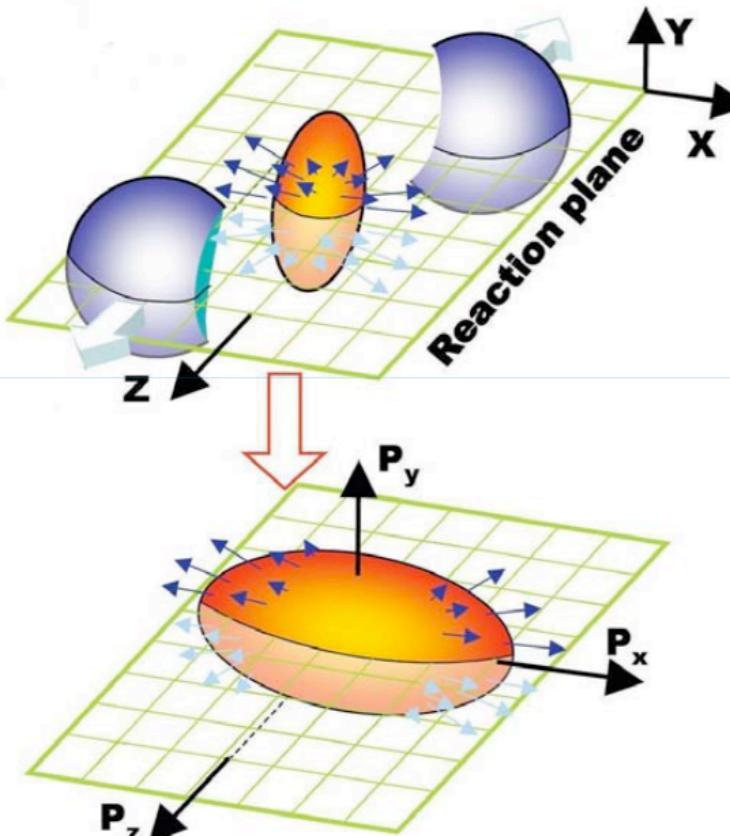
charm hadrons: Family Portrait in p-Pb and Pb-Pb



Azimuthal Flow

In Pb-Pb

- Signature of collective motion in heavy-ion collisions, due to presence of QGP
- Provide experimental information on the equation of state and the transport properties of the created QGP



Initial spatial anisotropy of peripheral collisions

- “almond shaped” of the overlap region:
 - larger pressure gradient in x-z plane than in y direction
 - particle re-scattering: *convert the initial spatial anisotropy into a momentum anisotropy*

Observable: elliptic flow v_2

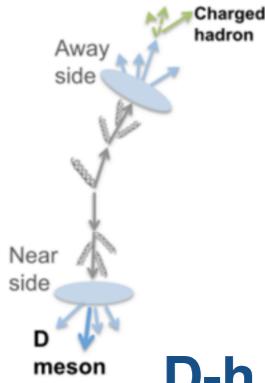
Second coefficient of the Fourier expansion of the azimuthal distributions of particles

$$\frac{dN}{d\varphi} \propto 1 + 2 \sum_{n=1}^{+\infty} v_n \cos[n(\varphi - \psi_n)]$$

low p_T : multiple interactions between partons (collectivity)

positive v_2 for HF hadrons \rightarrow charm participates to the collective effects in the QGP

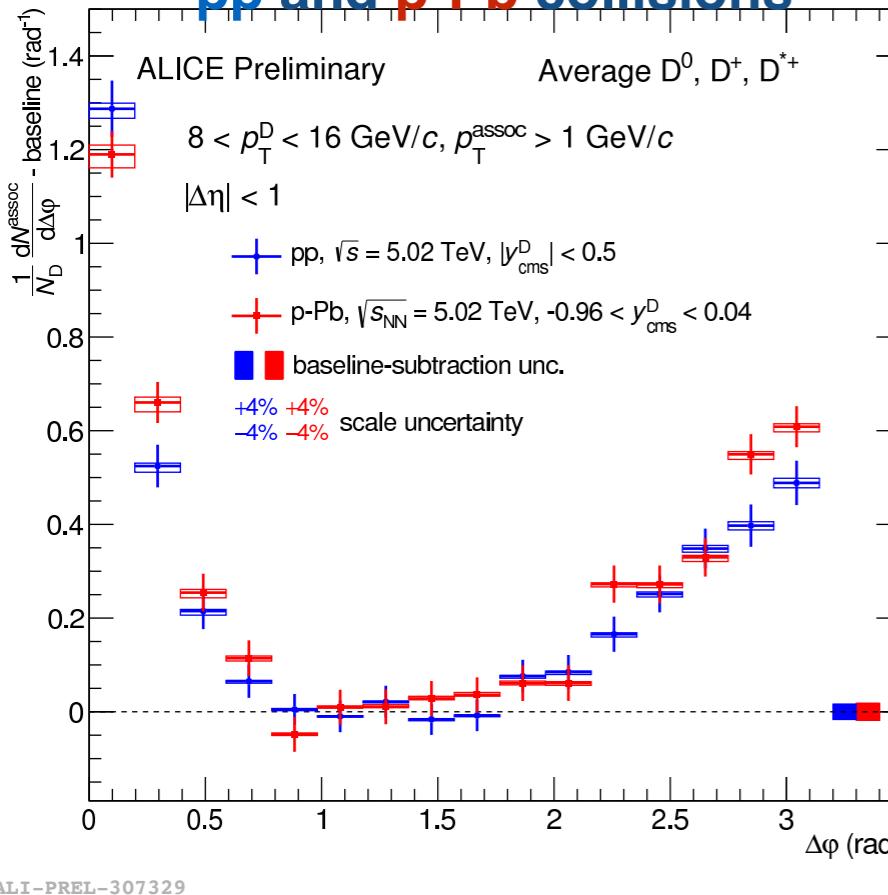
D-hadron and e-hadron azimuthal correlations



Azimuthal correlations of D mesons and HF decay electrons with charged particles: $\Delta\varphi = \varphi_{e/D} - \varphi_{ch}$ distributions access charm fragmentation and jet properties

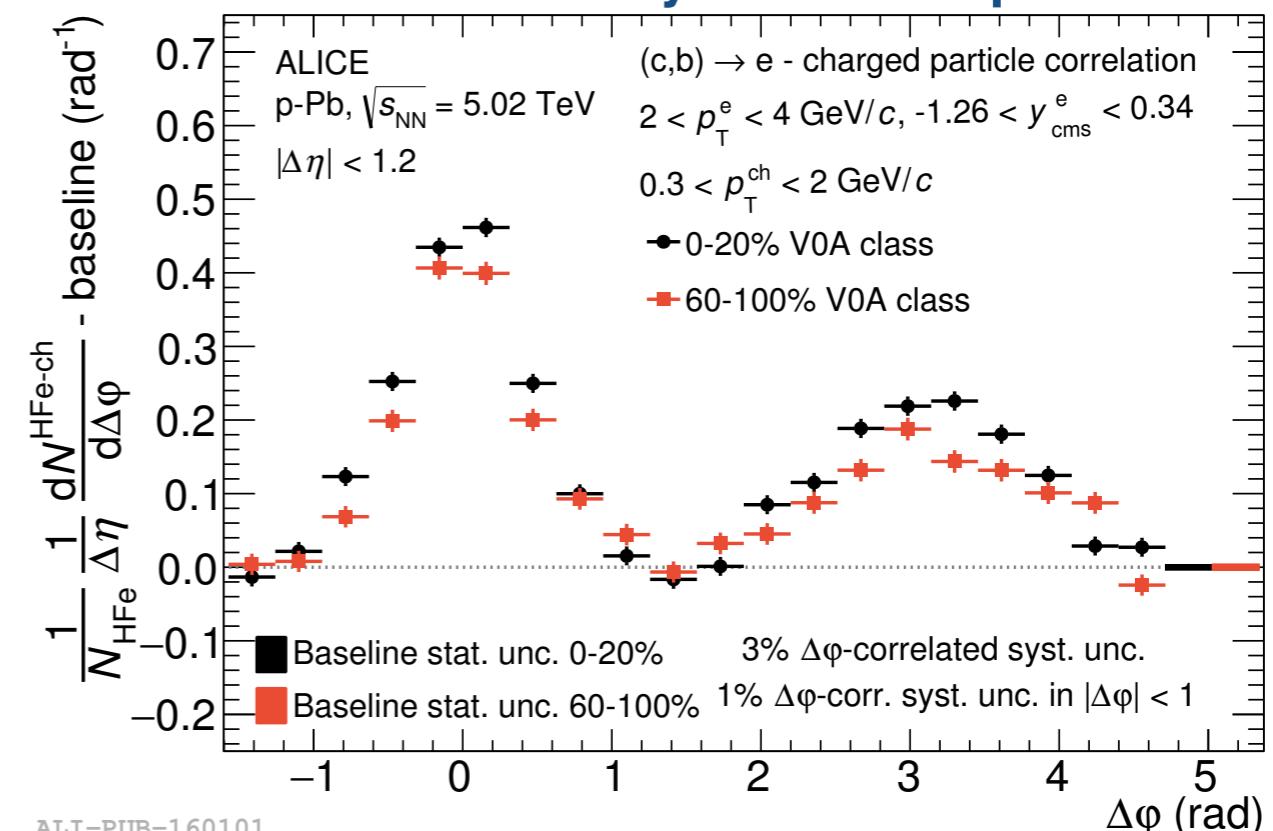
investigating the high multiplicity p-Pb collisions

D-h correlation in minimum bias pp and p-Pb collisions



ALI-PREL-307329

e-h correlation: different centrality classes in p-Pb



ALI-PUB-160101

arXiv:1805.04367

- No evidence of modification of charm quark production and fragmentation in different collisions systems.
- references for future Pb-Pb measurements

hint of a enhanced of near and away side peaks distribution in central 0-20% p-Pb collisions than in 60-100%

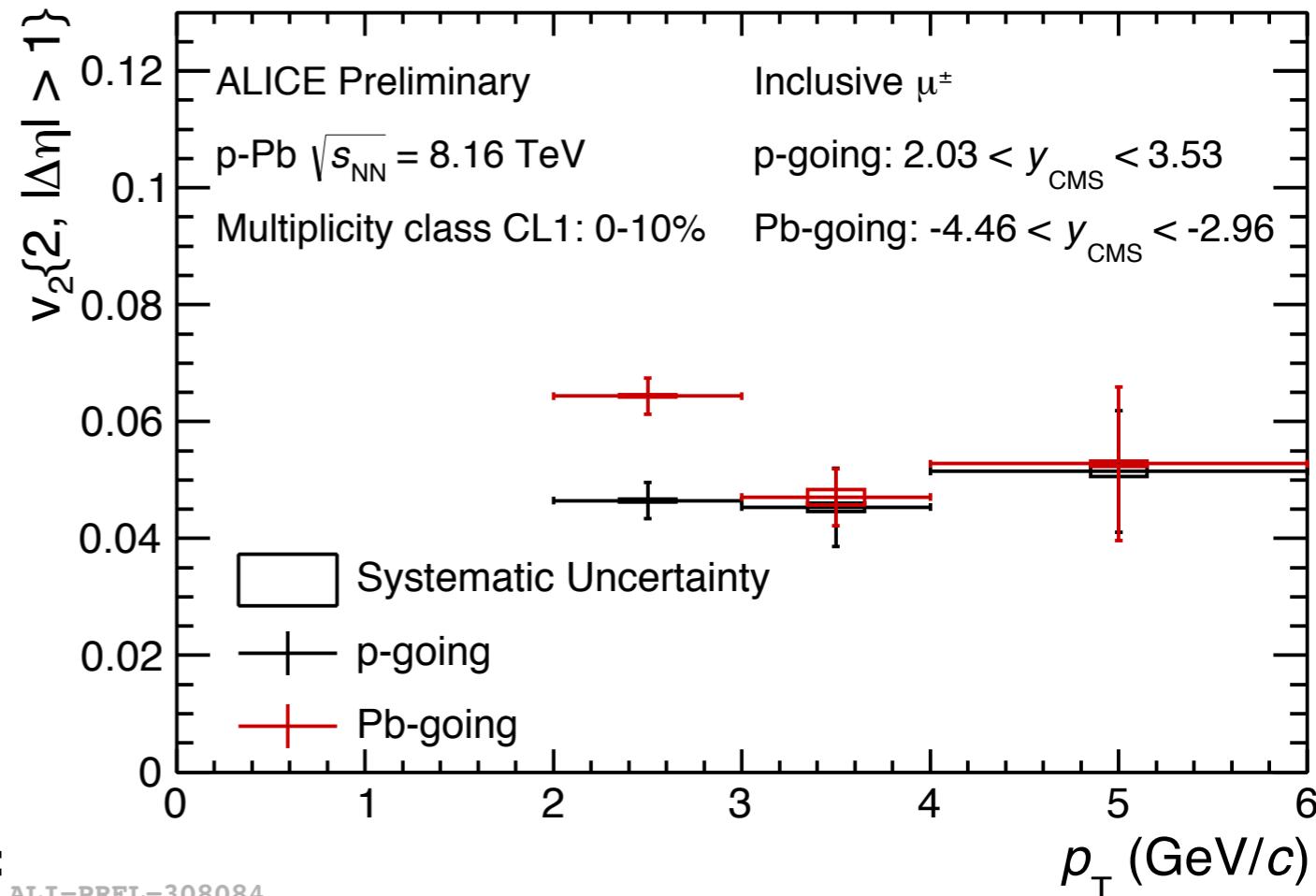
muon V_2

2-particle correlation $\langle\langle 2 \rangle\rangle_n = \langle\langle \cos n(\varphi_1 - \varphi_2) \rangle\rangle$

Cumulants expressed in terms of azimuthal corr. $c_n\{2\} = \langle\langle 2 \rangle\rangle_n$

Cumulants are directly related to the Fourier coefficients

$$v_n\{2\} = \sqrt{c_n\{2\}}$$



- Rapidity gap between **Particle of interests (F_{ALICE-PREL-308084})**

Particles: muons (forward rapidity)

v_2 calculation:

- reference flow $v_2^{\text{ref}} = \sqrt{c_n\{2\}}$
- $d_2\{2\}$: differential cumulants (POI correlated with 1 reference particle)

$$v_2\{2\}(p_T, \eta) = \frac{d_2\{2\}}{\sqrt{c_2\{2\}}}.$$

- Non-flow subtracted: few particle correlations not associated to the common symmetry plane

• Correlations between particles in jets, or from resonance decays, etc.

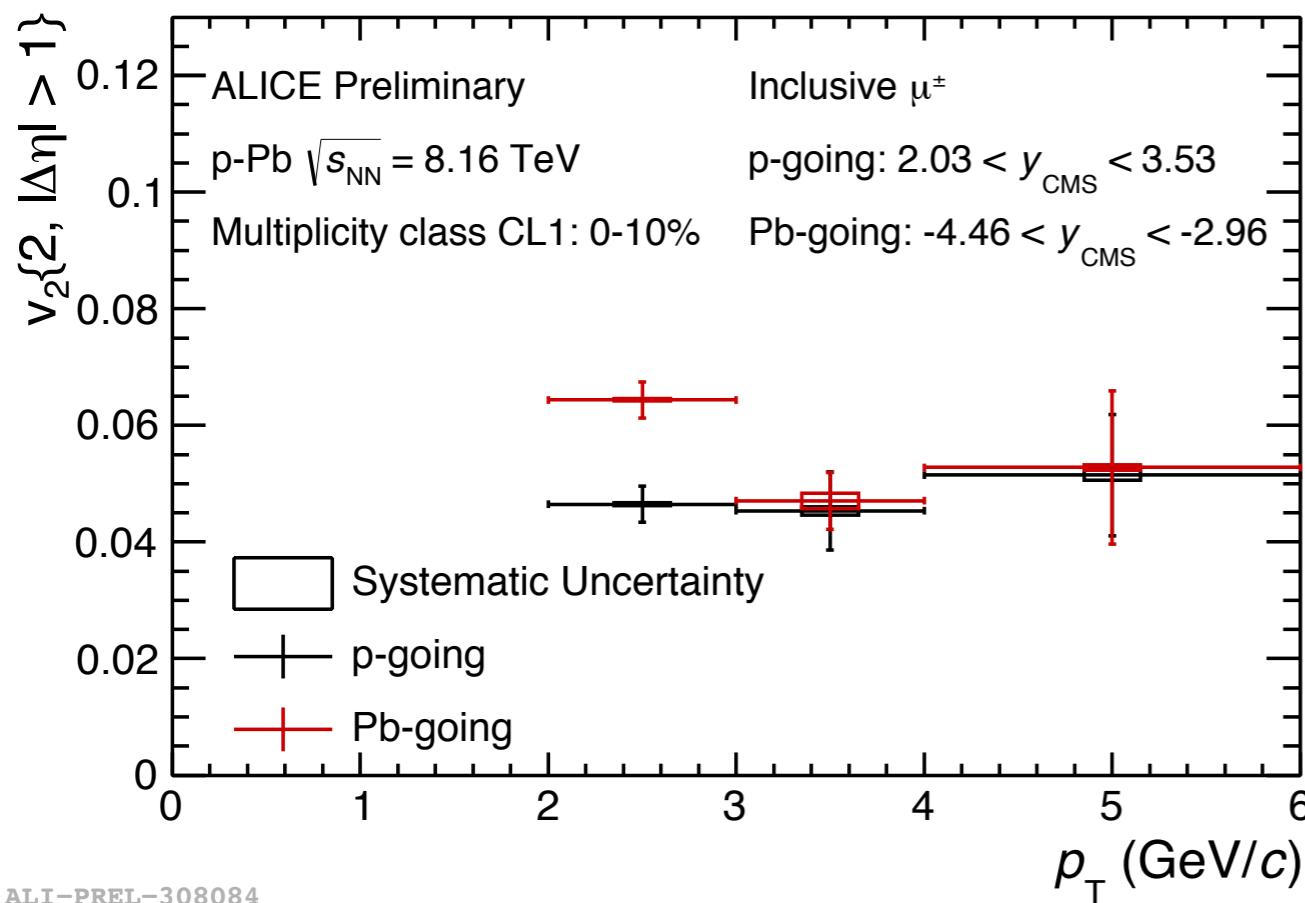
• **Non-flow subtraction:** estimated in pp at 13 TeV

$$v_2^{pPb, sub}(p_T) = \frac{d_2^{pPb}(p_T) - k \cdot d_2^{pp}(p_T)}{\sqrt{c_2^{pPb} - k \cdot c_2^{pp}}} \quad k = \frac{\langle M \rangle^{pp}(0-100\%)}{\langle M \rangle^{pPb}(cent)}$$

- Non-Uniform-Acceptance corrections

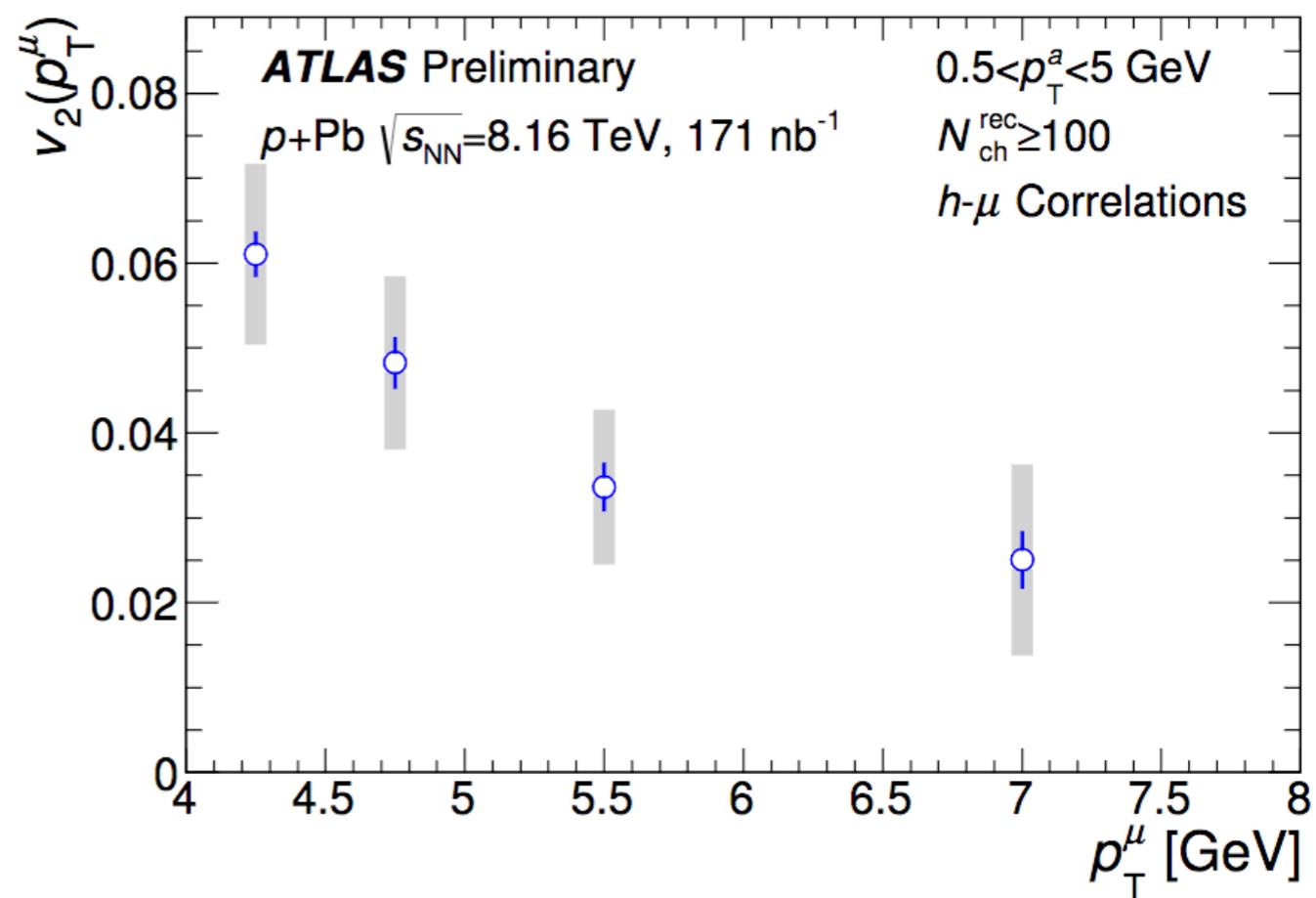
muon v_2

ALICE



ATLAS

<https://cds.cern.ch/record/2244808>



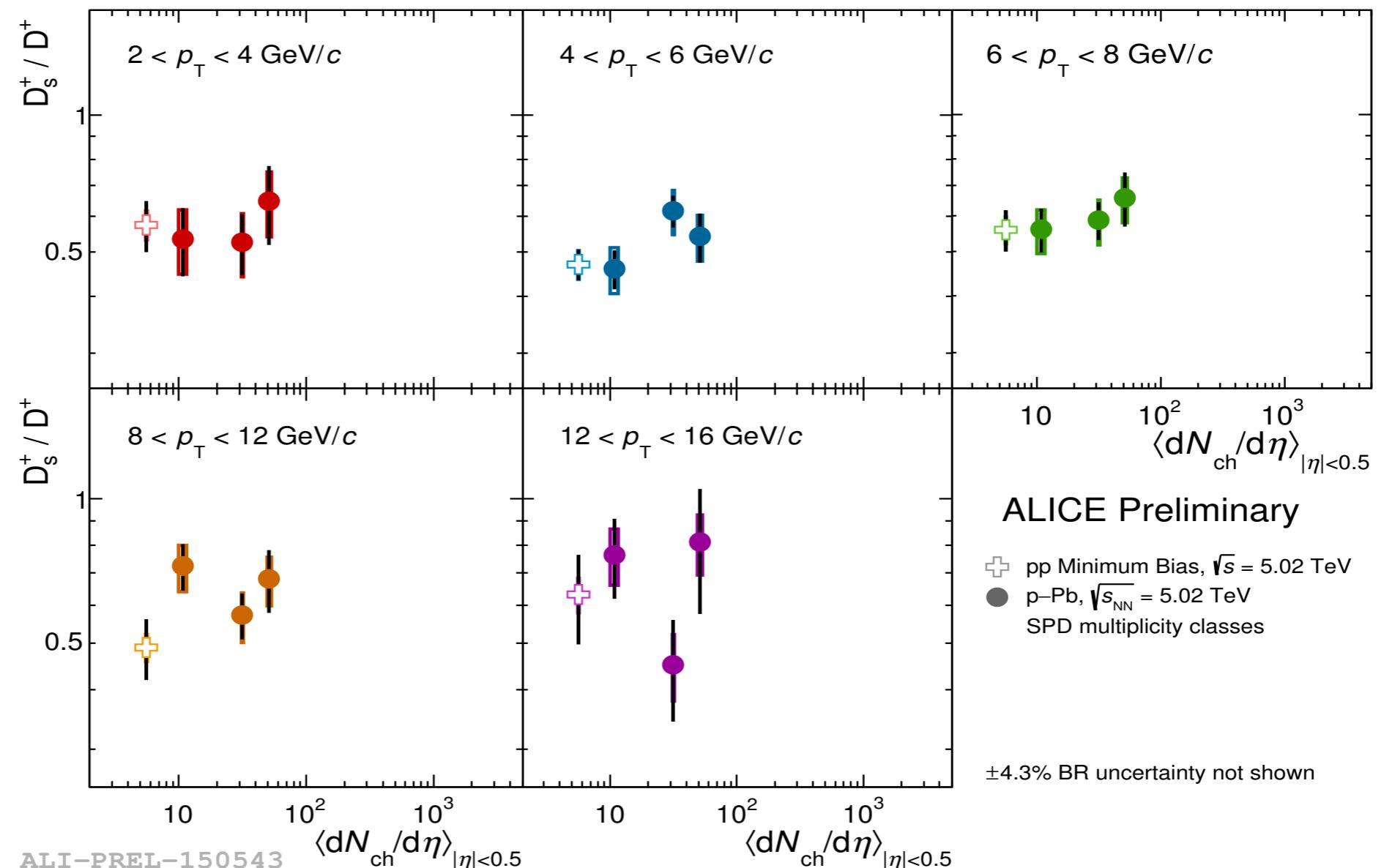
muon v_2
azimuthal correlation with charged particles and muons

$|\eta| < 2.5$

Charm hadron ratio: strange/no-strange



investigating
the high
multiplicity pp
and
p-Pb collisions



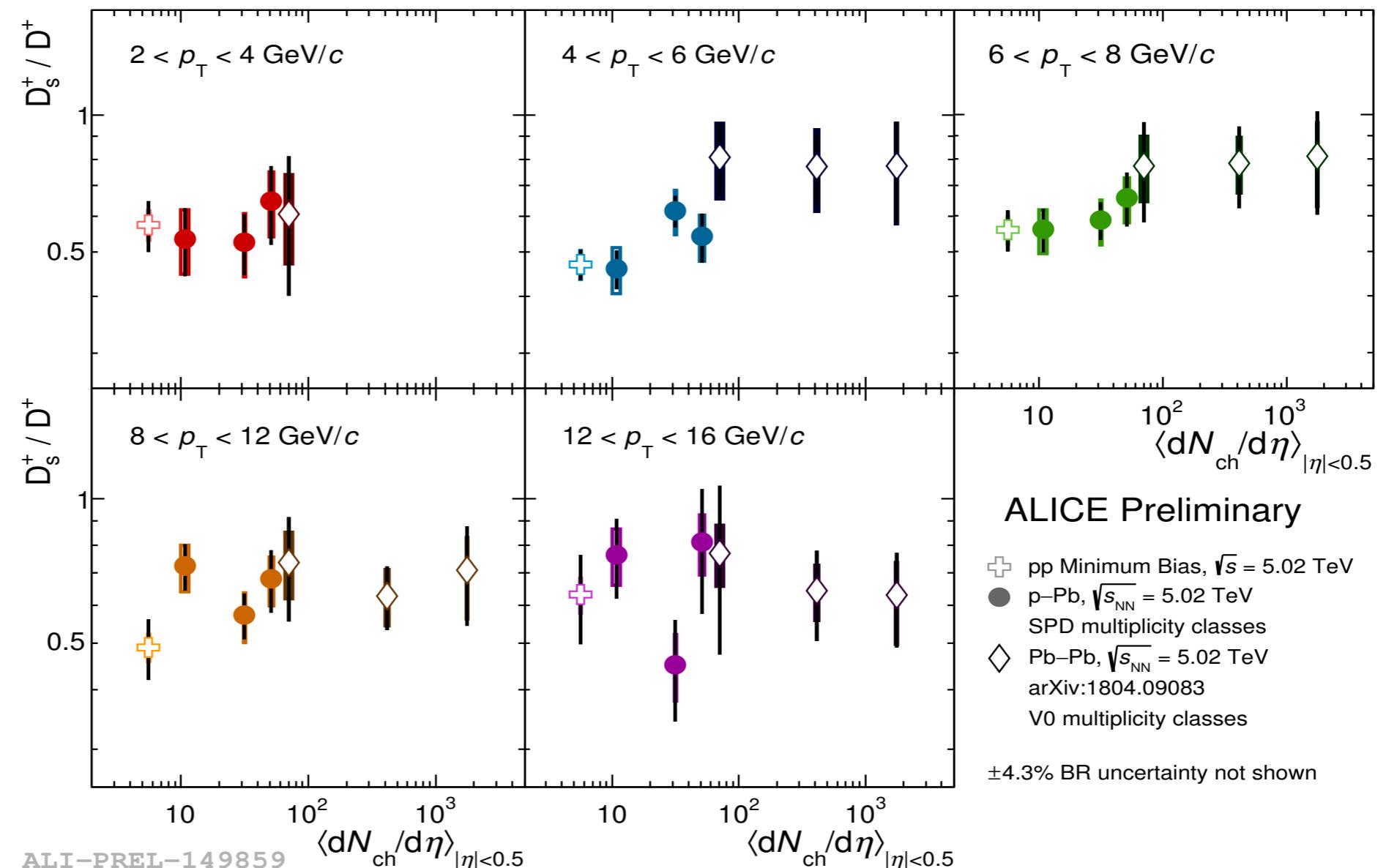
D_s/D^+ measured as a function of multiplicity in different p_T ranges

- ratios compatible in pp and p-Pb collisions
- no dependency with the current uncertainties

Charm hadron ratio: strange/no-strange



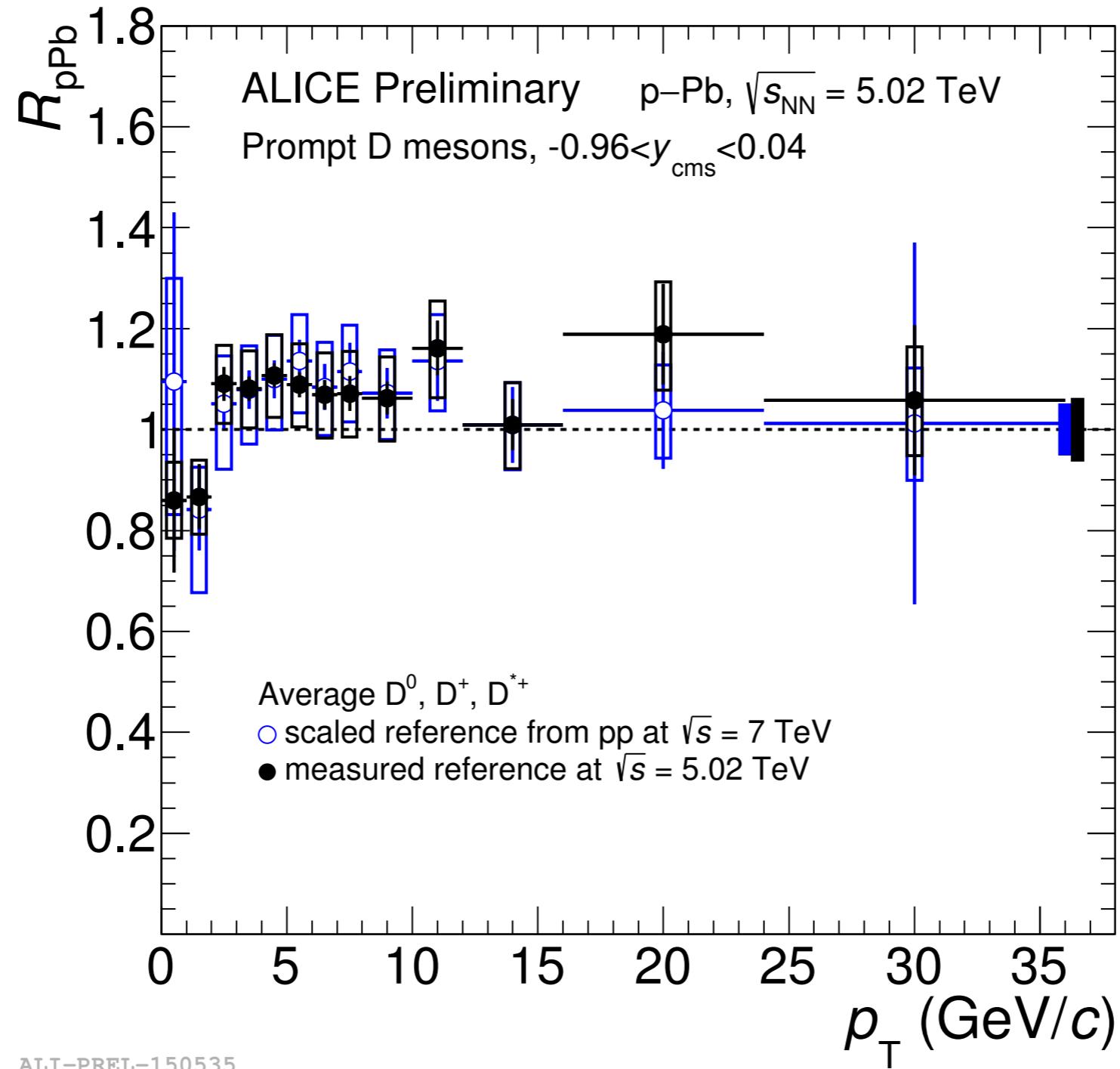
investigating
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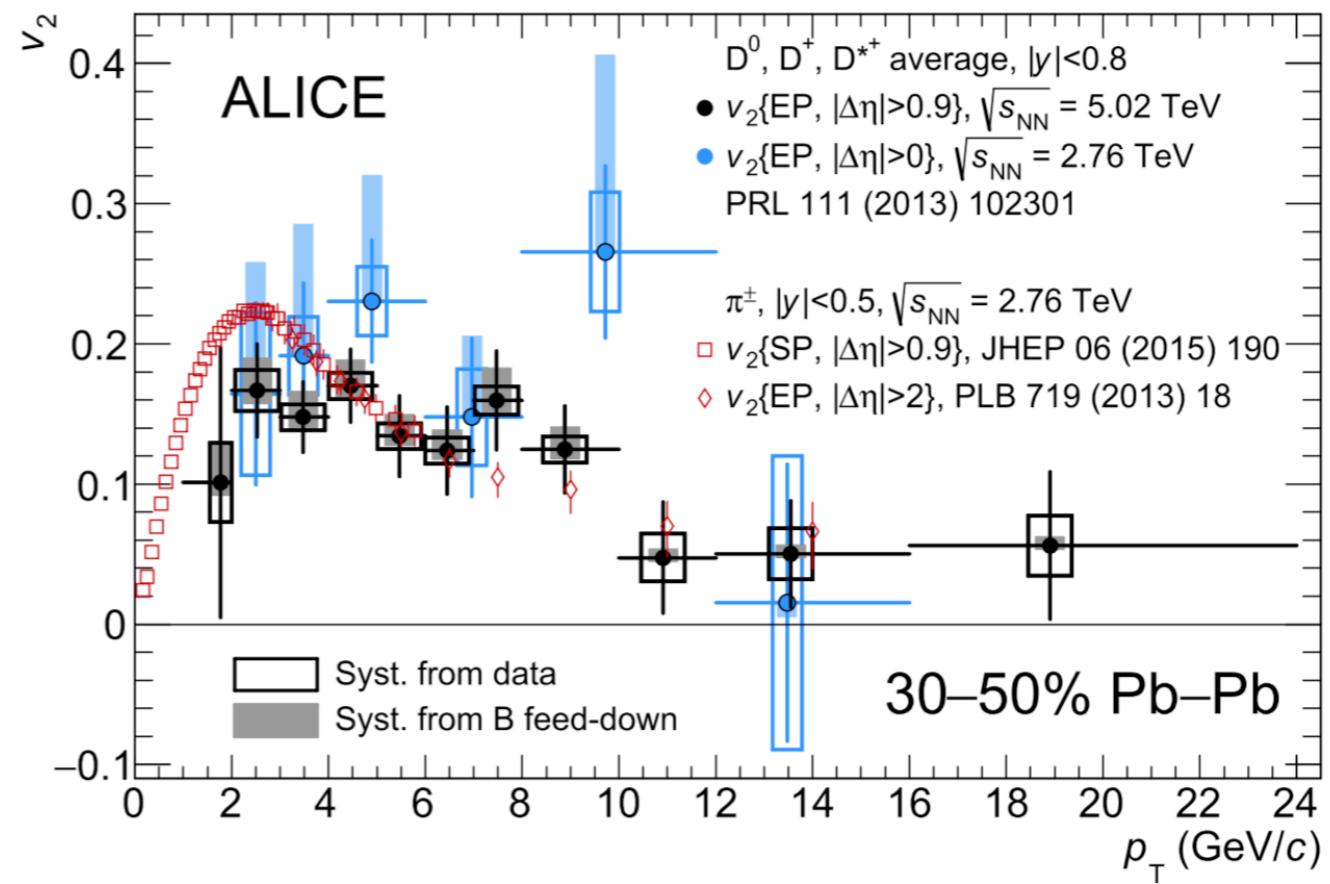
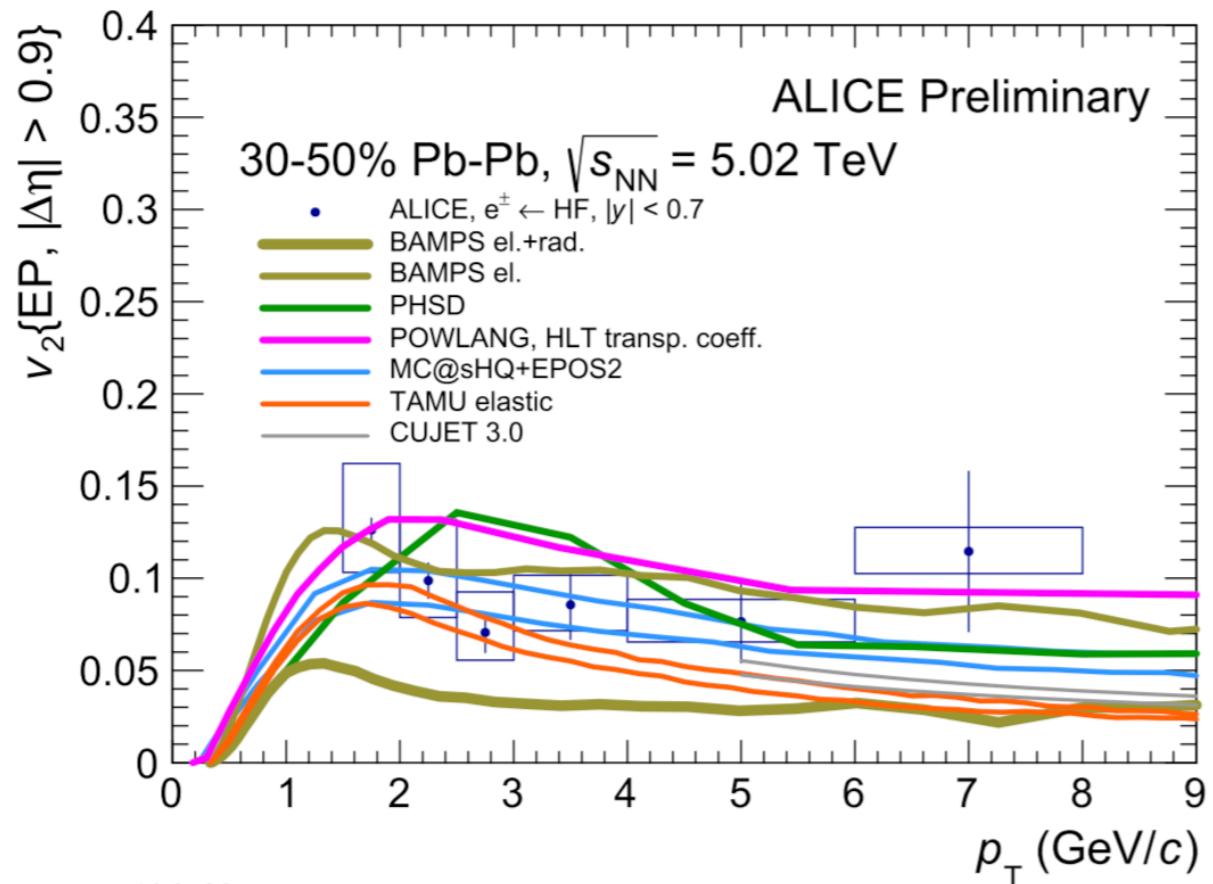
D_s/D^+ measured as a function of multiplicity in different p_T ranges

- ratios compatible in pp and p-Pb collisions
- no dependency with the current uncertainties

New pp reference: better precision at low p_T



HF hadron v_2 in Pb-Pb



R_{pPb} models

- **CGC**: arXiv:1706.06728
- **FONLL** (JHEP 1210 (2012) 137, arXiv:1205.6344) **with EPPS16 nPDFs** (Eur. Phys. J. C77 no. 3, (2017) 163, arXiv:1612.05741).
- **Vitev et al.**: Phys. Rev. C80 (2009) 054902, arXiv: 0904.0032.
- **Kang et al.**: Phys. Lett. B740 (2015) 23–29, arXiv: 1409.2494.
- **Duke**: Nucl. xPart. Phys. Proc. 276-278 (2016) 225–228, arXiv:1510.07520.
- **POWLANG**: JHEP 03 (2016) 123, arXiv: 1512.05186.
- **FONLL** (JHEP 1210 (2012) 137, arXiv:1205.6344 [hep-ph]) with **EPS09NLO** (JHEP 04 (2009) 065, arXiv:0902.4154)
- **Blast wave calculation**: Phys. Lett. B 728 (2014) 25, arXiv:1307.6796
- **Sharma et al.**: Phys. Rev. C 80 (2009) 054902, arXiv:0904.0032

