

# production of hadrons with light (u,d,s) quarks at the QCD phase boundary

- introduction and perspective
- the hadron resonance gas
- (u,d,s) hadron production, Lattice QCD and the QCD phase structure
- comments on higher moments
- outlook

EMMI 2017 workshop

CCNU, Wuhan, China

Oct. 10 - 13, 2017

pbm



UNIVERSITÄT  
HEIDELBERG  
ZUKUNFT  
SEIT 1386



phenomenology results obtained in collaboration with  
Anton Andronic,  
Krzysztof Redlich, and Johanna Stachel

hadron production data from the ALICE collaboration  
at the CERN LHC  
see, e.g., M. Floris,  
Nucl.Phys. A931 (2014) 103-112  
and references there

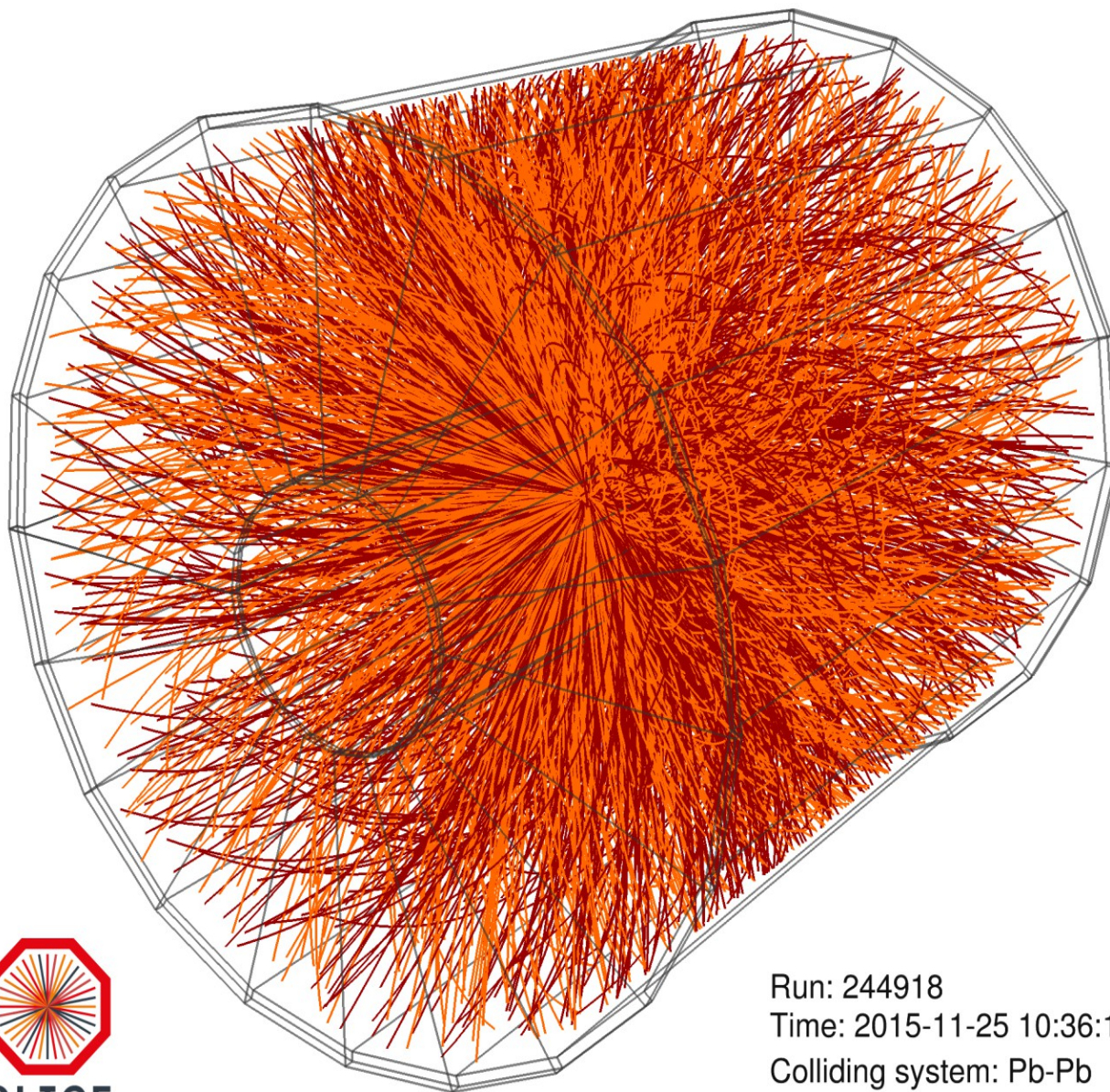
# first PbPb collisions at LHC at $\sqrt{s} = 5.02$ A TeV

Run1: 3 data taking campaigns  
pp, pPb, Pb—Pb  
> 135 publications

Run2 has started with 13 TeV pp  
Pb—Pb run  
in November 2015

Now running with 13 TeV pp

Nov. 2016: pPb 5 TeV

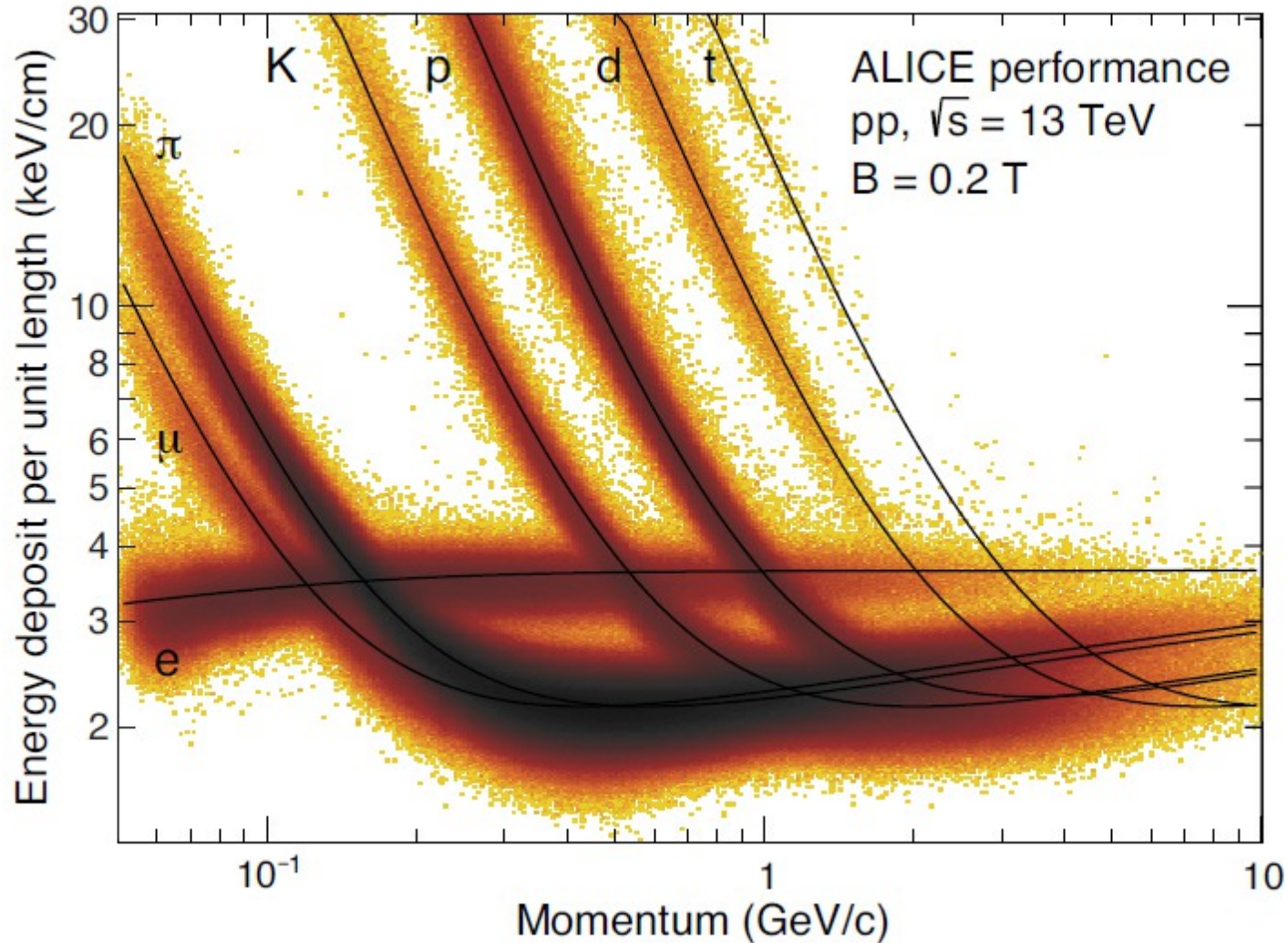


Run: 244918  
Time: 2015-11-25 10:36:18  
Colliding system: Pb-Pb  
Collision energy: 5.02 TeV



# particle identification with the ALICE TPC

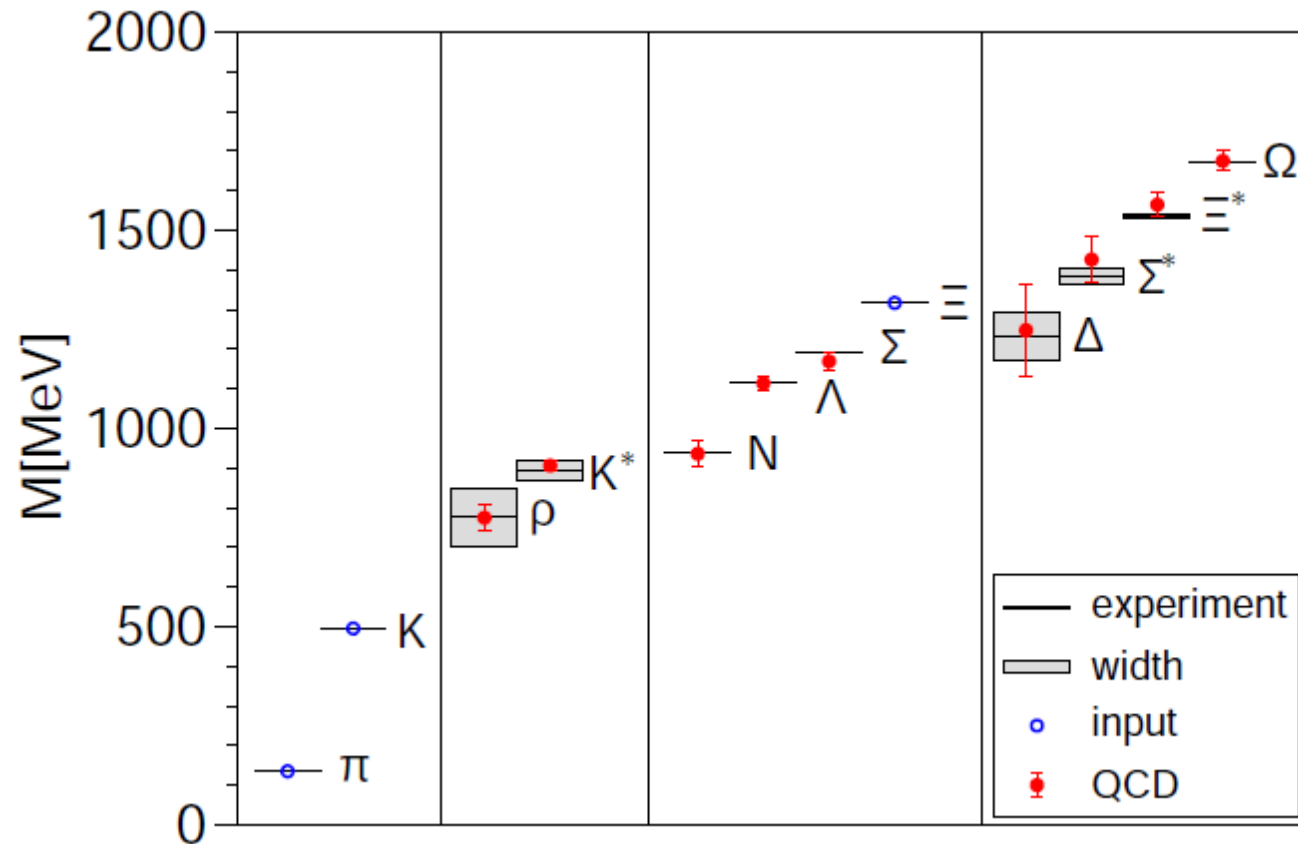
from 50 MeV to 50 GeV



# **hadron production and the QCD phase boundary**

## **part 1: the hadron resonance gas**

# the hadron mass spectrum and lattice QCD



S. Duerr et al., Science 322 (2008) 1224-1227

# duality between hadrons and quarks/gluons (I)

Z: full QCD partition function

all thermodynamic quantities derive from QCD partition functions

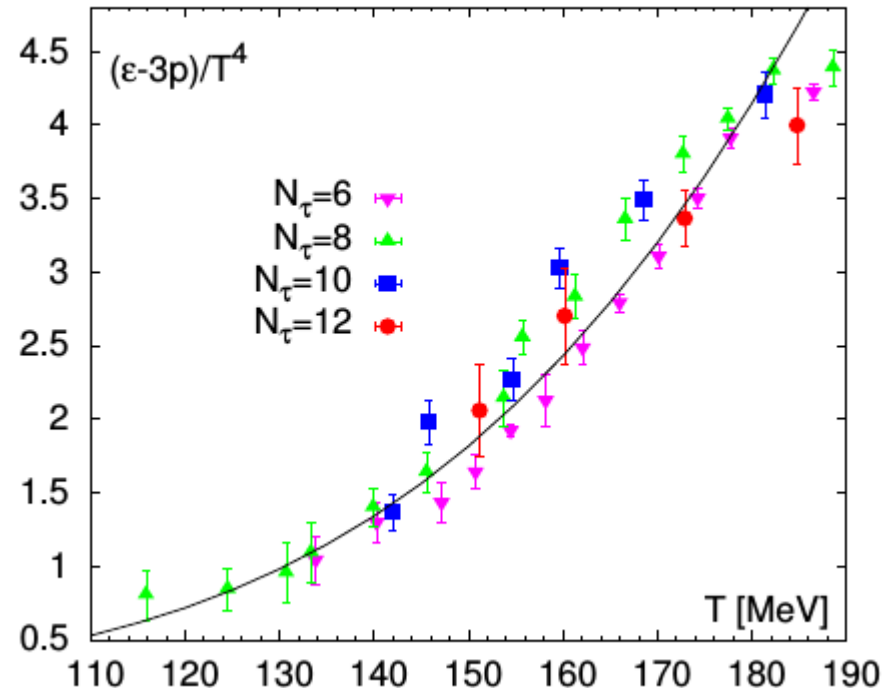
for the pressure we get:

$$\frac{p}{T^4} = \frac{1}{T^3} \frac{\partial \ln Z(V, T, \mu)}{\partial V}$$

comparison of trace anomaly from LQCD  
Phys.Rev. D90 (2014) 094503  
HOTQCD coll.

with hadron resonance gas prediction  
(solid line)

LQCD: full dynamical quarks with realistic  
pion mass

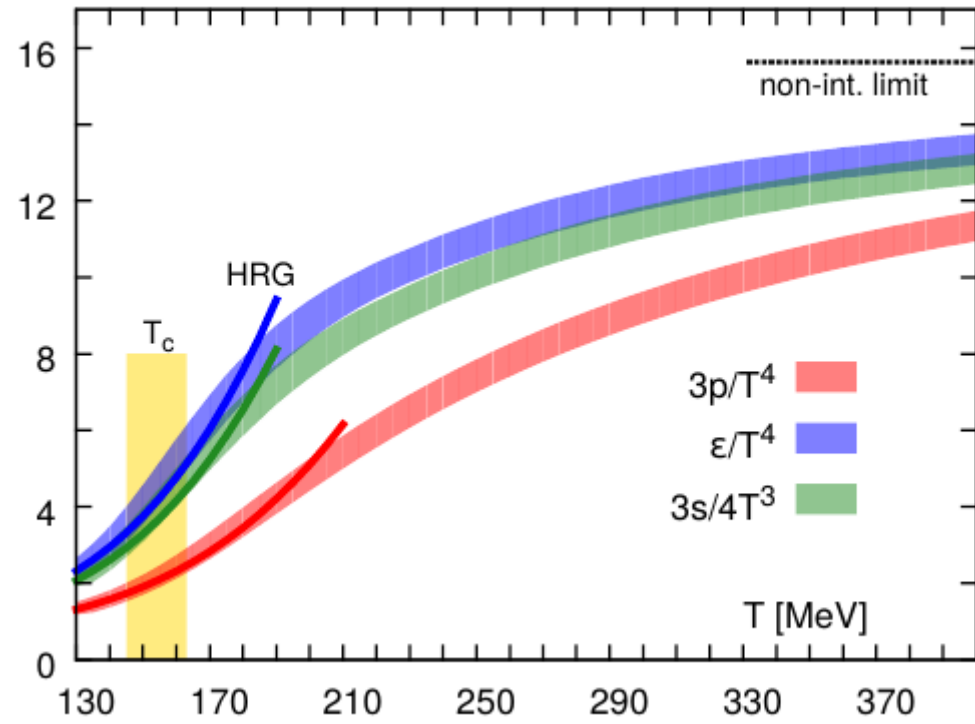


# duality between hadrons and quarks/gluons (II)

comparison of equation of state from  
LQCD  
Phys.Rev. D90 (2014) 094503  
HOTQCD coll.

with hadron resonance gas predictions  
(colored lines)

essentially the same results also from  
Wuppertal-Budapest coll.  
Phys.Lett. B730 (2014) 99-104



↑ pseudo-critical  
temperature  
 $T_c = (154 \pm 9) \text{ MeV}$   
 $\epsilon_{\text{crit}} = (340 \pm 45) \text{ MeV/fm}^3$   
 $\epsilon_{\text{nucl}} = 450 \text{ MeV/fm}^3$



# duality between hadrons and quarks/gluons (III)

in the dilute limit  $T < 165$  MeV:

$$\ln Z(T, V, \mu) \approx \sum_{i \in \text{mesons}} \ln \mathcal{Z}_{M_i}^M(T, V, \mu_Q, \mu_S) + \sum_{i \in \text{baryons}} \ln \mathcal{Z}_{M_i}^B(T, V, \mu_b, \mu_Q, \mu_S)$$

where the partition function of the hadron resonance model is expressed in mesonic and baryonic components. The chemical potential  $\mu$  reflects then the baryonic, charge, and strangeness components  $\mu = (\mu_b, \mu_Q, \mu_S)$ .

# **hadron production and the QCD phase boundary**

## **part 2: analysis with the statistical hadronization model**

# statistical hadronization model of particle production and QCD

partition function  $Z(T,V)$  contains sum over the full hadronic mass spectrum and is fully calculable in QCD

for each particle  $i$ , the statistical operator is:

$$\ln Z_i = \frac{V g_i}{2\pi^2} \int_0^\infty \pm p^2 dp \ln[1 \pm \exp(-(E_i - \mu_i)/T)]$$

particle densities are then calculated according to:

$$n_i = N_i/V = -\frac{T}{V} \frac{\partial \ln Z_i}{\partial \mu} = \frac{g_i}{2\pi^2} \int_0^\infty \frac{p^2 dp}{\exp[(E_i - \mu_i)/T] \pm 1}$$

from analysis of all available nuclear collision data we now know the energy dependence of the parameters  $T$ ,  $\mu_b$ , and  $V$  over an energy range from threshold to LHC energy and can confidently extrapolate to even higher energies

in practice, we use the full experimental hadronic mass spectrum from the PDG compilation (vacuum masses) to compute the 'primordial yield'

comparison with measured hadron yields needs evaluation of all strong decays

# implementation

$$n_i = N_i/V = -\frac{T}{V} \frac{\partial \ln Z_i}{\partial \mu} = \frac{g_i}{2\pi^2} \int_0^\infty \frac{p^2 dp}{\exp[(E_i - \mu_i)/T] \pm 1}$$

Latest PDG hadron mass spectrum ...quasi-complete up to  $m=2$  GeV;  
our code: 555 species (including fragments, charm and bottom hadrons)

for resonances, the width is considered in calculations

$$\text{Minimize: } \chi^2 = \sum_i \frac{(N_i^{exp} - N_i^{therm})^2}{\sigma_i^2}$$

$N_i$  hadron yield,  $\sigma_i$  experimental uncertainty (stat.+syst.)

$$\Rightarrow (T, \mu_B, V)$$

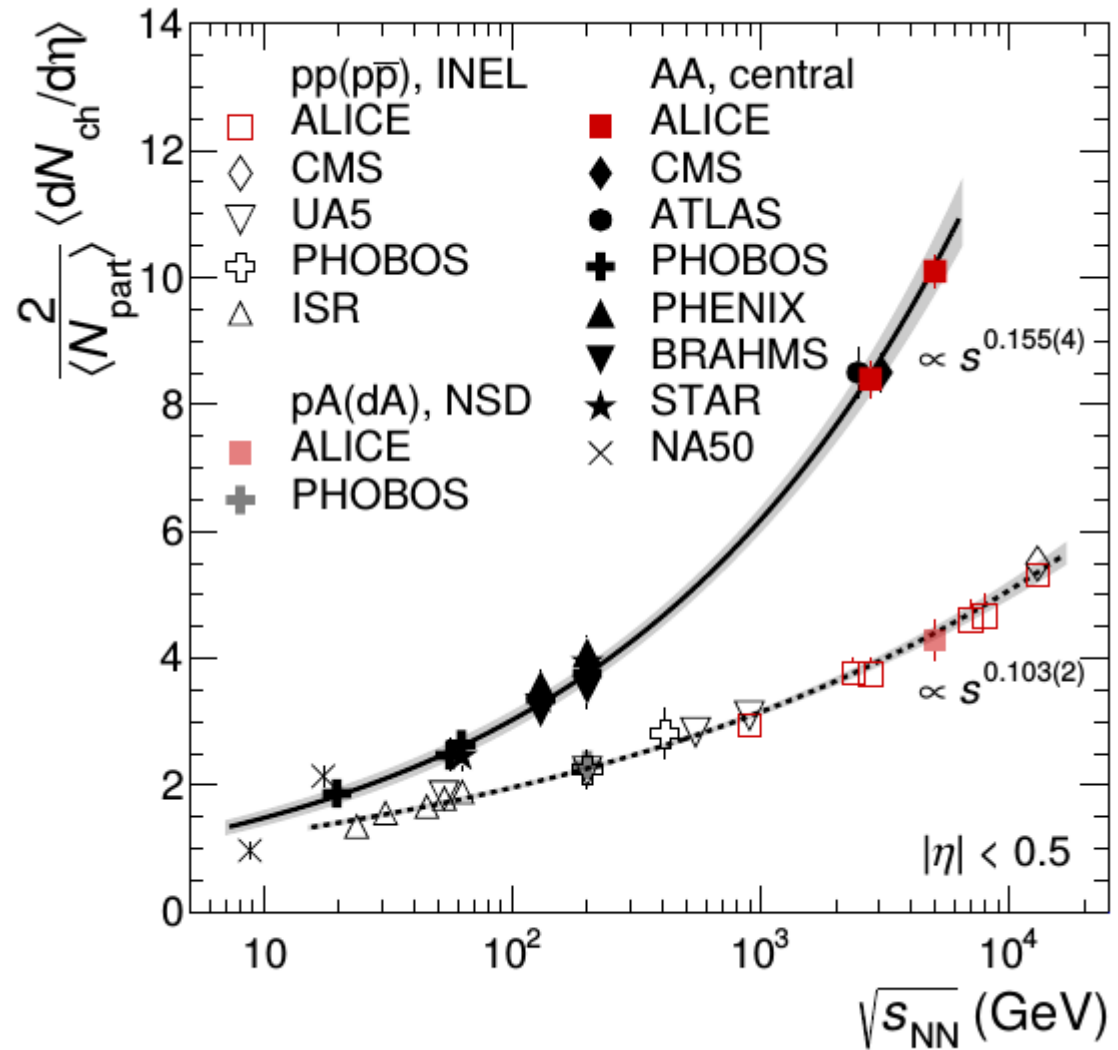
canonical treatment whenever needed (small abundances)

# energy dependence of hadron production in central Pb-Pb (Au-Au) collisions

total number of hadrons produced

2.76 TeV  $N_{\text{had}} = 25800$

5.02 TeV  $N_{\text{had}} = 32300$



data from LHC run1 and run2

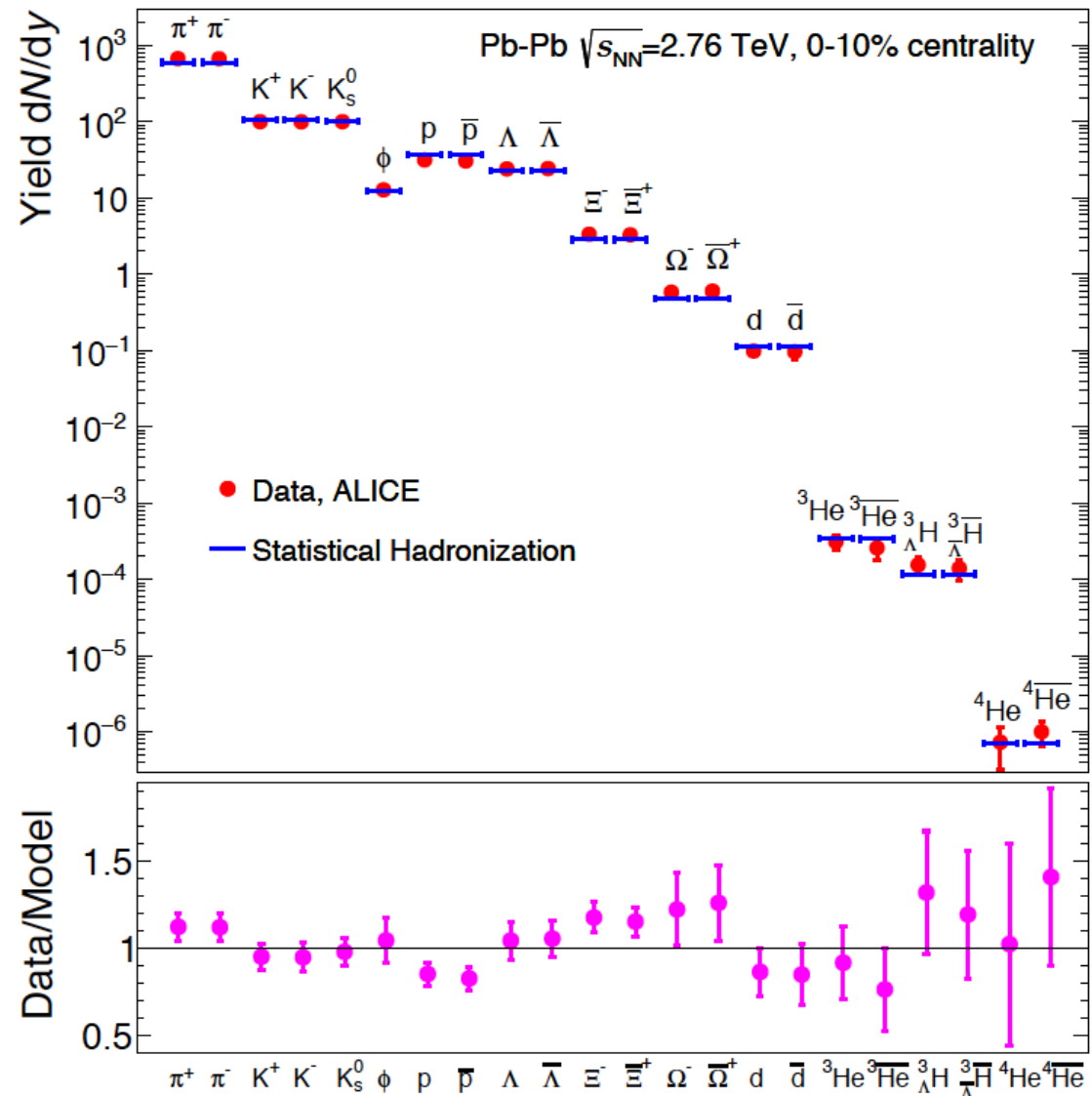
ALICE coll., Phys.Rev.Lett. 116 (2016) no.22, 222302

# July 2017 update: excellent description of ALICE@LHC data

fit includes loosely bound systems such as a deuteron and hypertriton  
 hypertriton is bound-state of  $(\Lambda, p, n)$ ,  $\Lambda$  separation energy about 130 keV  
 size about 10 fm, the **ultimate halo nucleus**,  
 produced at  $T=156$  MeV. close to an Efimov state

proton discrepancy 2.8 sigma

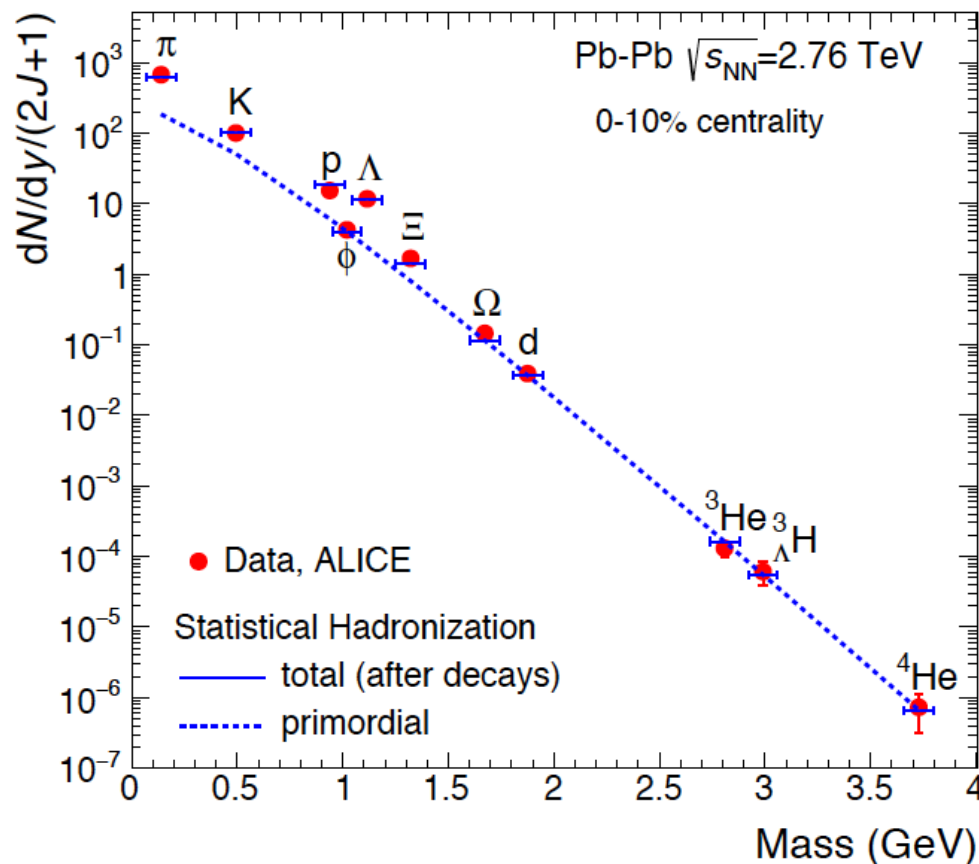
Xi discrepancy?



J. Stachel, A. Andronic, P. Braun-Munzinger and K. Redlich, Confronting LHC data with the statistical hadronization model, J.Phys.Conf.Ser.**509** (2014) 012019, arXiv:1311.4662 [nucl-th].



# excellent agreement over 9 orders of magnitude



agreement over 9  
orders of  
magnitude with  
QCD statistical  
operator  
prediction

exponential decrease with mass and common temperature  $T = 159$  MeV  
of yields for light nuclei predicted from the thermal phenomenology discussed above  
**production near the phase boundary**

yield of light nuclei predicted in: pbm, J. Stachel, J.Phys. G28 (2002) 1971-1976,  
J.Phys. G21 (1995) L17-L20

# a note on the chemical freeze-out temperature

$$T_{\text{chem}} = 156.5 \pm 1.5 \text{ MeV from fit to all particles}$$

there is an additional uncertainty because of the poorly known hadronic mass spectrum for masses  $> 2 \text{ GeV}$

for d,  $^3\text{He}$ , hypertriton and alpha, there is very little feeding from heavier states and none from high mass states in the hadronic mass spectrum, for these particles the temperature  $T_{\text{nuc}}$  can be determined 'on the back of an envelope' :

$$T_{\text{nuc}} = 159 \pm 5 \text{ MeV, independent of hadronic mass spectrum}$$

an aside on loosely bound objects

# The Hypertriton

mass = 2.990 MeV

Lambda sep. energy. = 0.13 MeV

molecular structure: (p+n) + Lambda

2-body threshold: (p+p+n) + pi- =  ${}^3\text{He}$  + pi-

rms radius =  $(4 \text{ B.E. } M_{\text{red}})^{-1/2} = 10.3 \text{ fm} =$

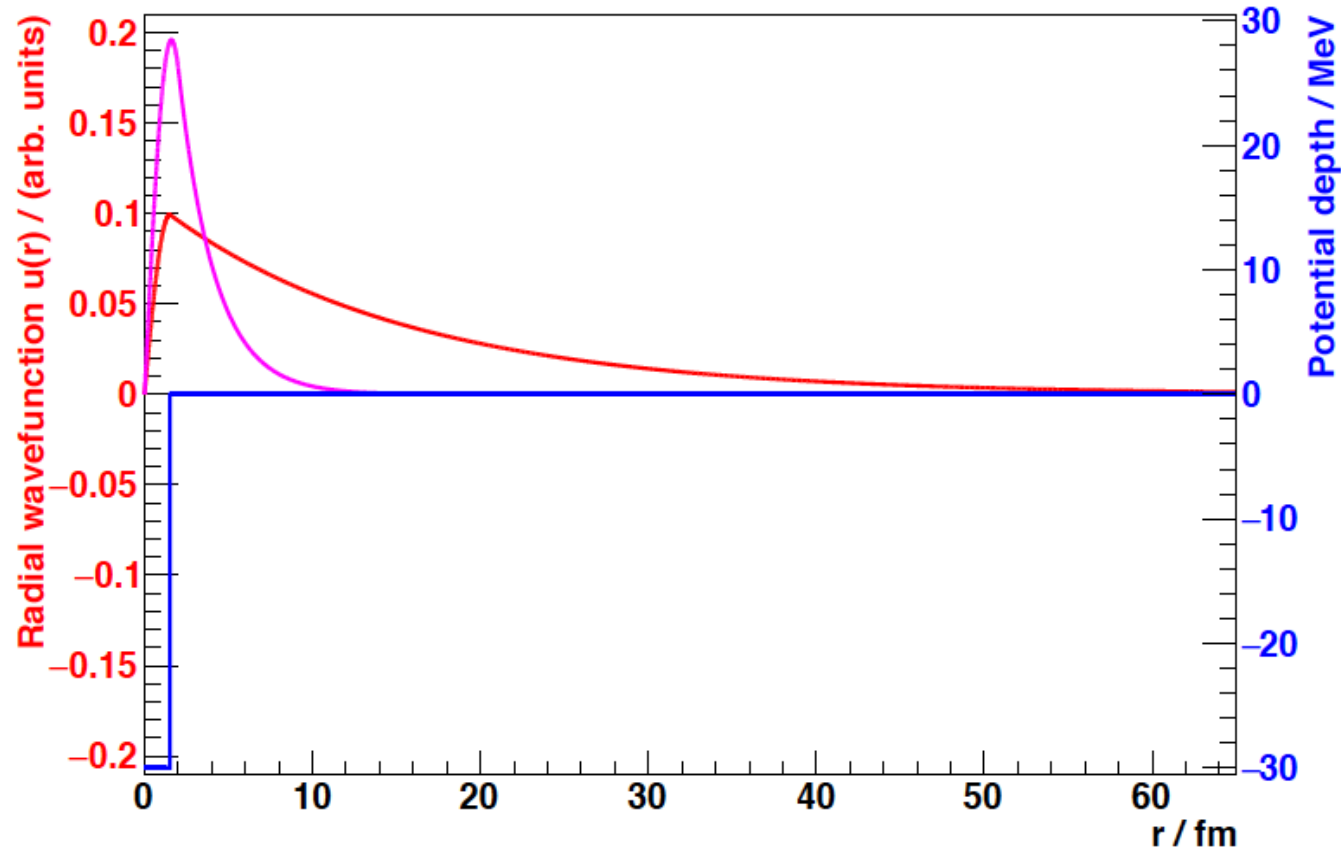
rms separation between d and Lambda

in that sense: hypertriton = (p n Lambda) =  
(d Lambda) is the ultimate halo state

yet production yield is fixed at 156 MeV temperature  
(about 1000 x separation energy.)

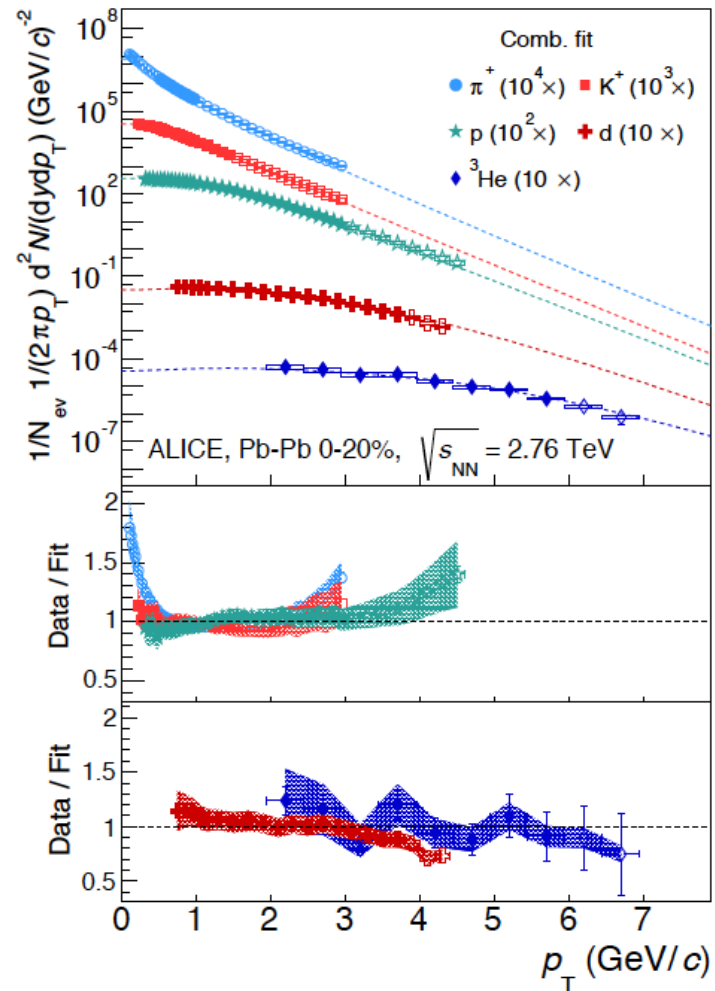
# wave function of the hyper-triton – schematic picture

figure by Benjamin Doenigus, August 2017



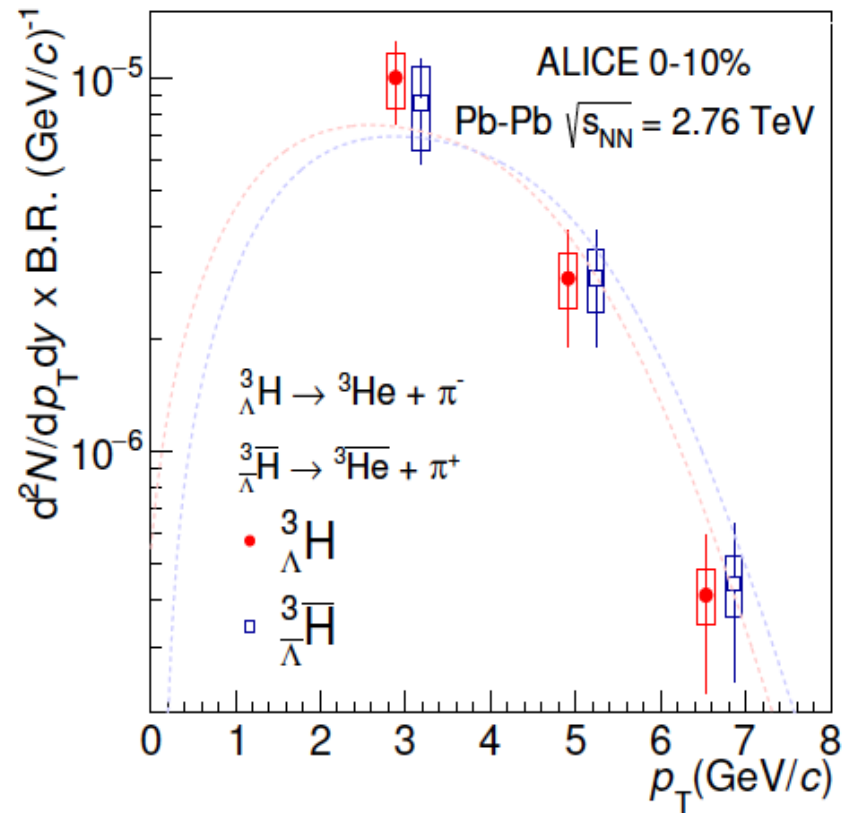
Wavefunction (red) of the hypertriton assuming a s-wave interaction for the bound state of a  $\Lambda$  and a deuteron. The root mean square value of the radius of this function is  $\sqrt{\langle r^2 \rangle} = 10.6$  fm. In blue the corresponding square well potential is shown. In addition, the magenta curve shows a "triton" like object using a similar calculation as the hypertriton, namely a deuteron and an added nucleon, resulting in a much narrower object as the hypertriton.

# light nuclei flow with same fluid velocity as pions, kaons, and protons





even hyper-triton flows with same common fluid velocity



# Quark Model Spectroscopy

Why does the quark model work so well?

Why do M and B body plans dominate?

Why don't multibaryons make one big bag?

**hypothesis:**  
**all nuclei and hyper-nuclei are formed as compact multi-quark states at the phase boundary. Then slow time evolution into hadronic representation.**

Andronic, pbm, Redlich, Stachel, in preparation

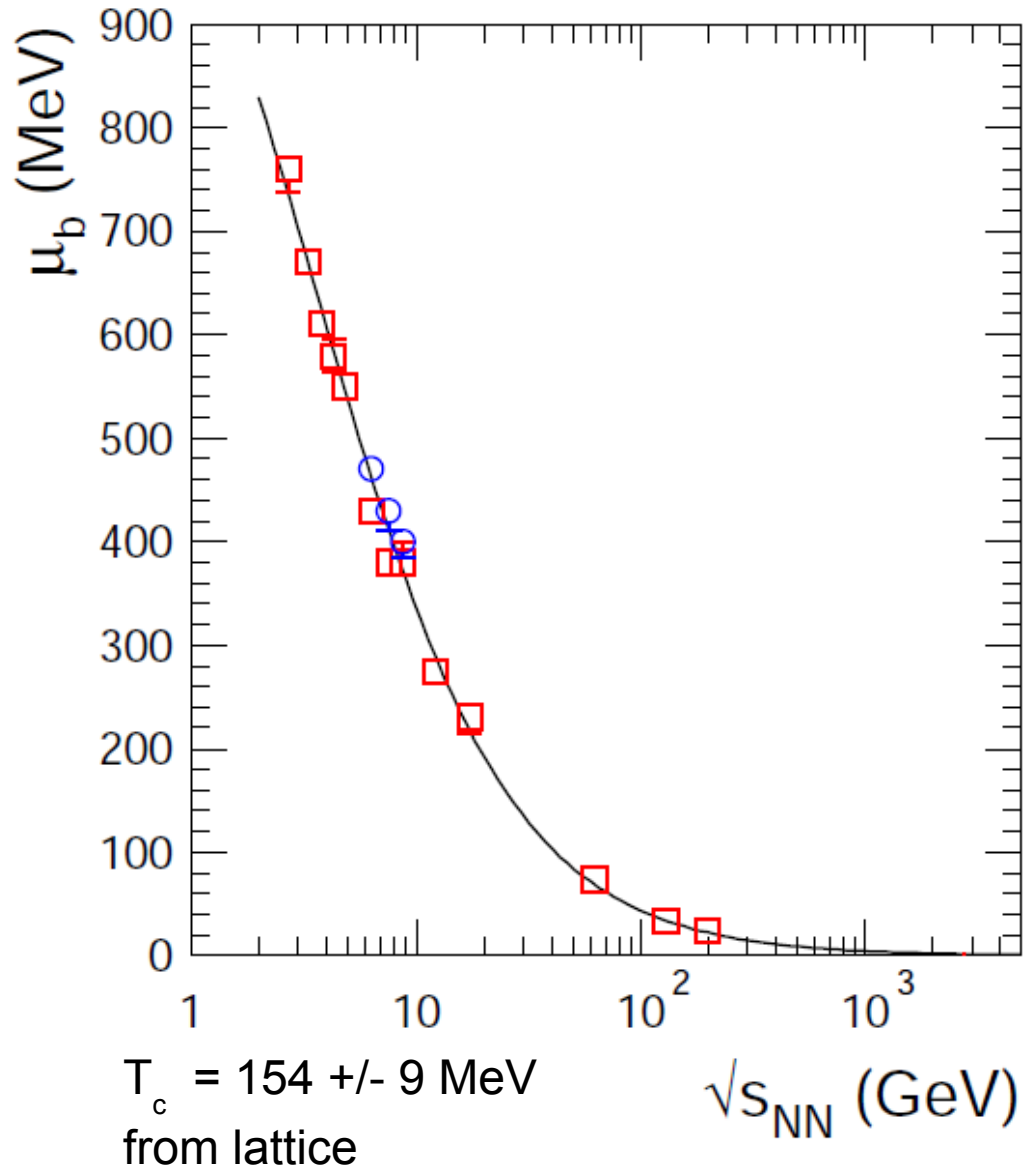
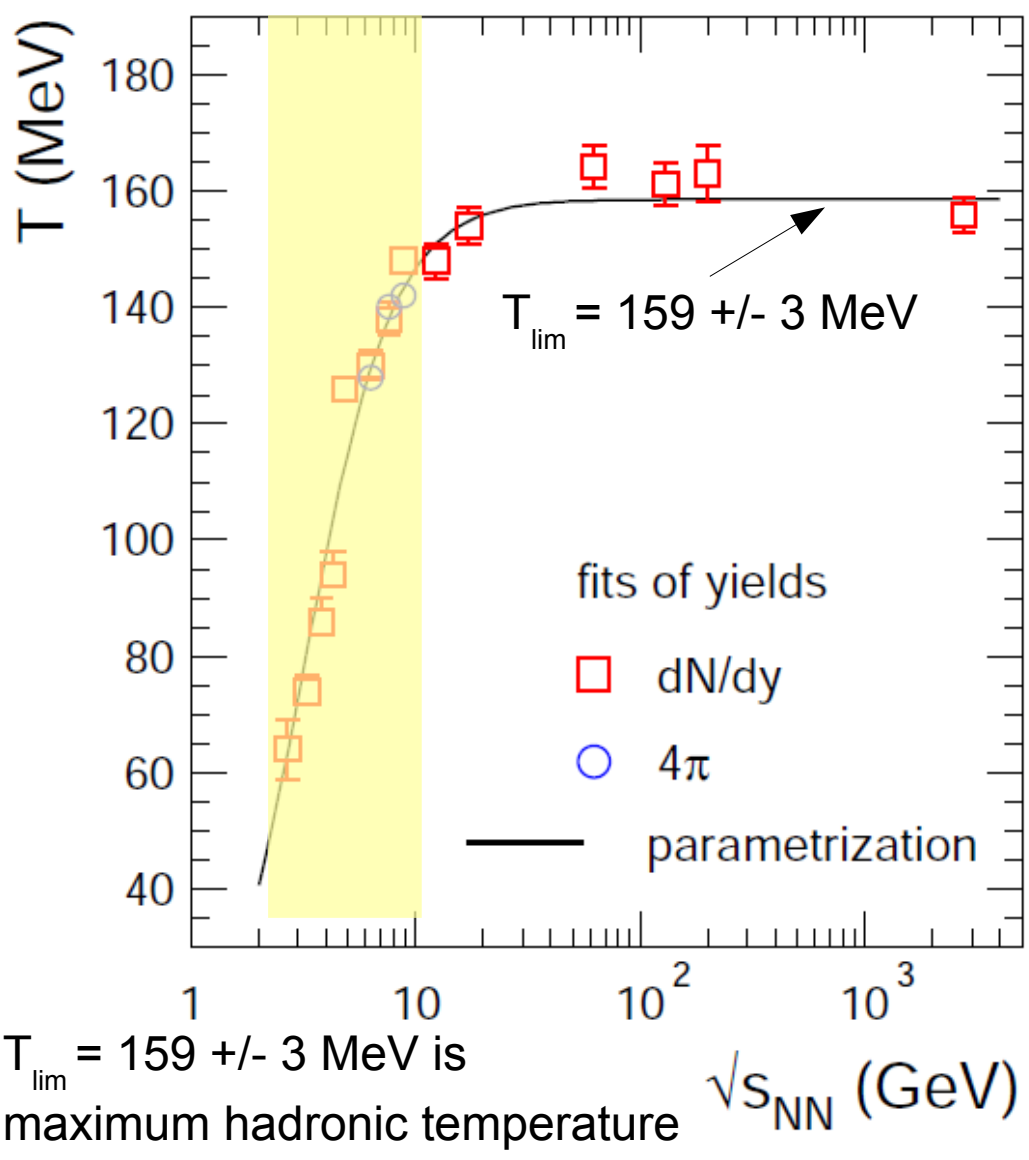
**How can this be tested?**

precision measurement of spectra and flow pattern for light nuclei and hyper-nuclei

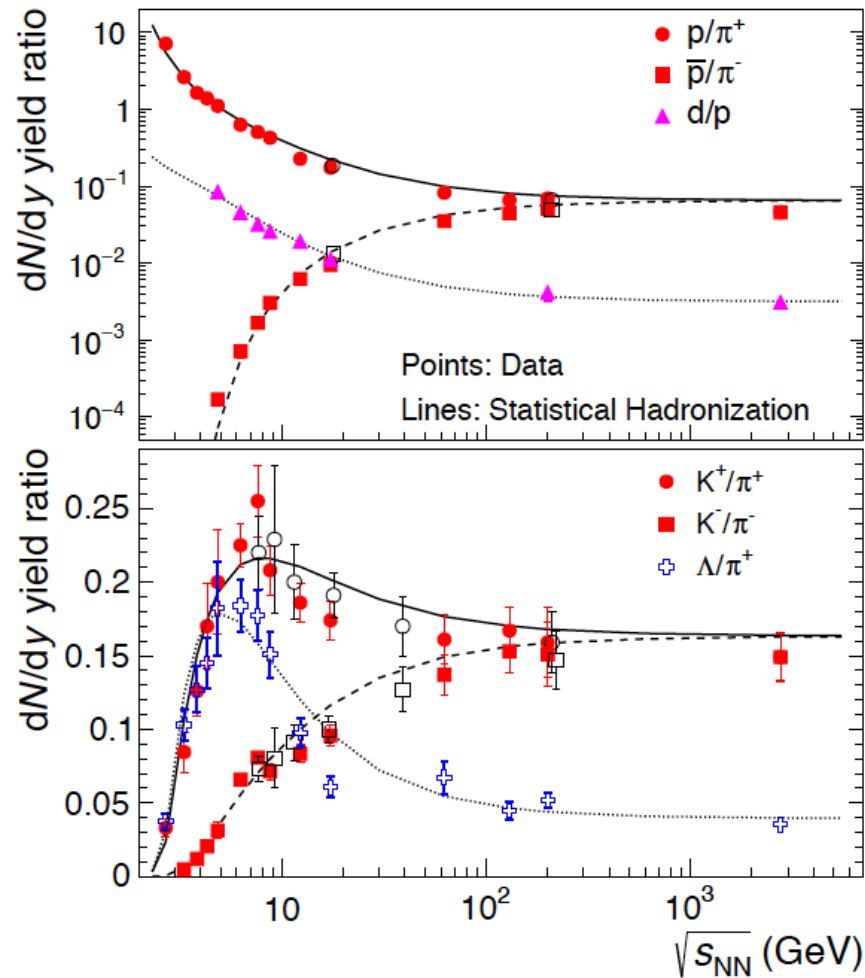
**a major new opportunity for ALICE Run3  
and for CBM/NICA/JPARC/NA61**

# energy dependence of temperature and baryo-chemical potential

energy range from SPS down to threshold (FAIR) is phase boundary ever reached for  $\sqrt{s_{NN}} < 10$  GeV?



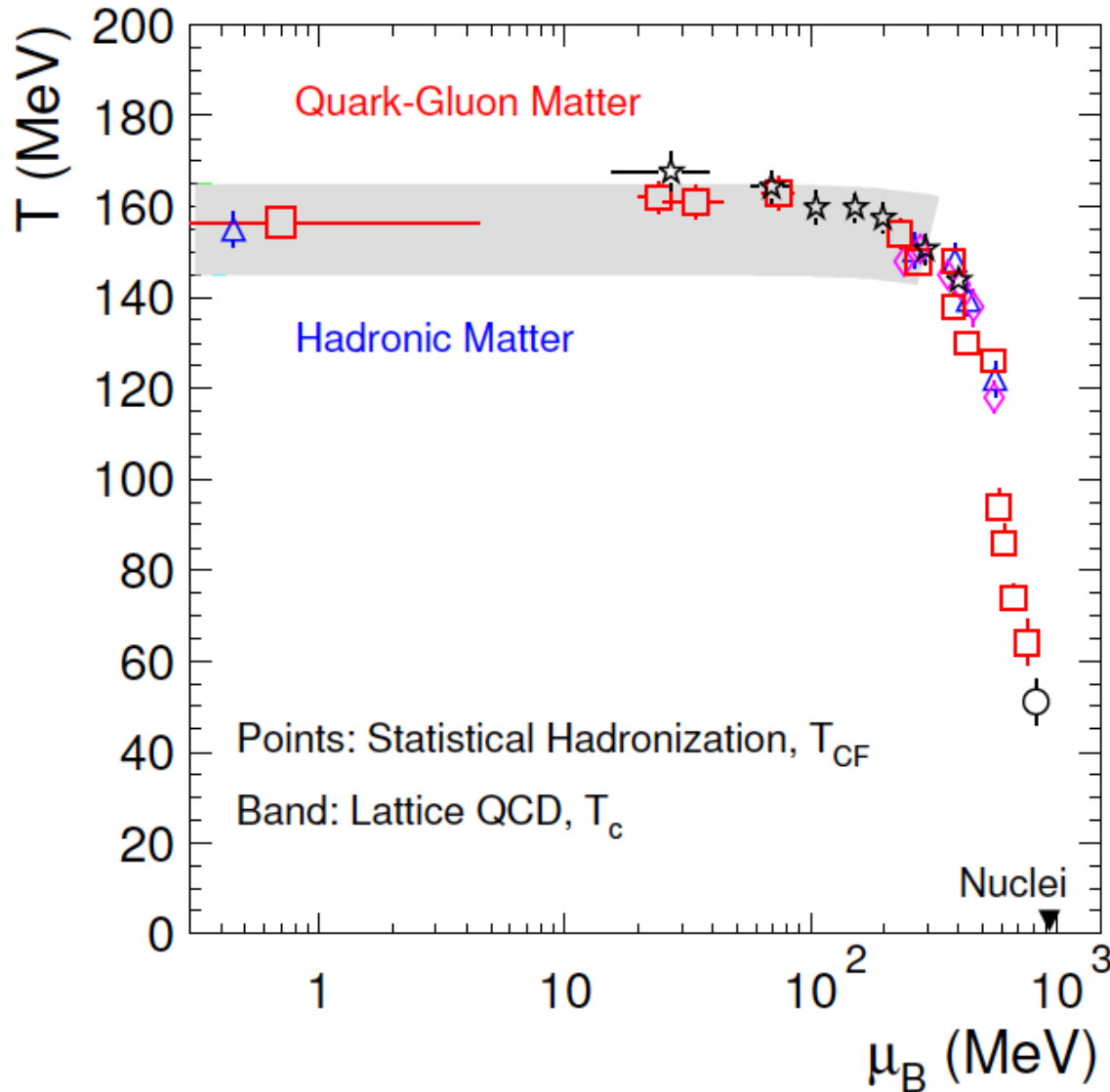
# energy dependence of hadron production described quantitatively



together with known energy dependence of charged hadron production in Pb-Pb collisions we can predict yield of all hadrons at all energies with  $< 10\%$  accuracy

no new physics needed to describe  $K^+/\pi^+$  ratio including the 'horn'

# the QGP phase diagram, LQCD, and hadron production data



quantitative agreement of  
chemical freeze-out parameters  
with LQCD predictions for baryo-  
chemical potential  $< 300$  MeV



# open issues and questions

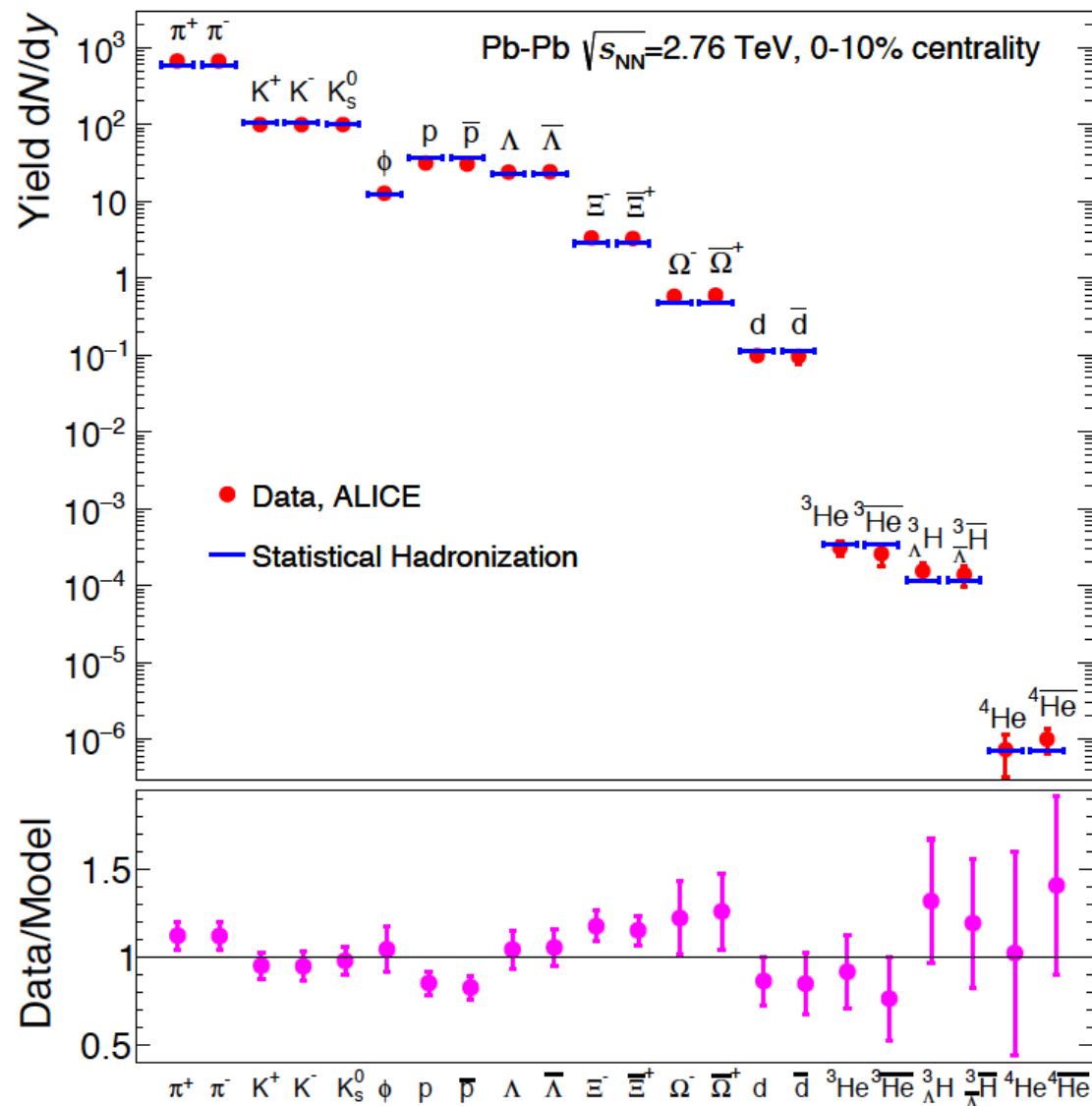
- why vacuum masses near phase boundary?
- transition from canonical to grand canonical regime
- are higher moments more sensitive to thermal parameters?
- incomplete hadron mass spectrum?
- uncertainty from statistical hadronization model

# thermal fit with statistical hadronization model uses vacuum masses for all hadrons!

fit includes loosely bound systems such as a deuteron and hypertriton  
 hypertriton is bound-state of  $(\Lambda, p, n)$ ,  $\Lambda$  separation energy about 130 keV  
 size about 10 fm, the **ultimate halo nucleus**,  
 produced at  $T=156$  MeV. close to an Efimov state

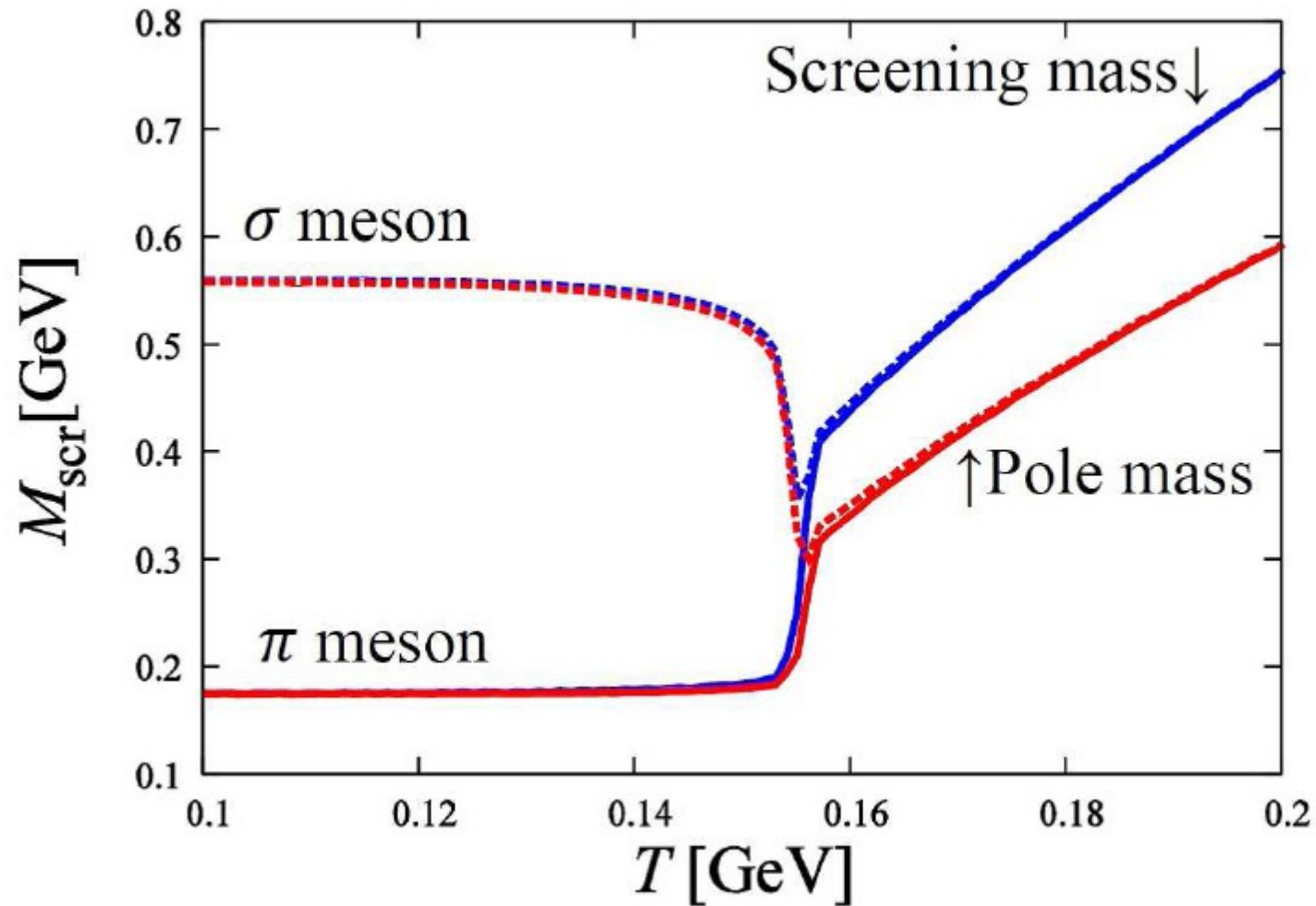
proton discrepancy 2.8 sigma

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# temperature dependence of meson masses in a NJL model



Mesonic correlation functions at finite temperature and density in the Nambu-Jona-Lasinio model with a Polyakov loop

H. Hansen, W.M. Alberico (INFN, Turin & Turin U.), A. Beraudo (Saclay, SPhT), A. Molinari, M. Nardi (INFN, Turin & Turin U.), C. Ratti (ECT, Trento & INFN, Trento). Sep 2006. 26 pp.

Phys.Rev. D75 (2007) 065004

If the pion mass would be 300 MeV near  $T_c$  this would have drastic consequences, especially if nucleon mass is unchanged, see below

also: changing masses near  $T_c = T_{\text{chem}}$  would invalidate the chemical freeze-out picture as it implies a dense hadronic phase below  $T_c$   
strong interactions are needed to bring masses back on the mass shell and adjust particle numbers

# From G. Aarts, SQM2017

PRD 92 (2015) 014503, arXiv:1502.03603 [hep-lat]

JHEP 06 (2017) 034, arXiv:1703.09246 [hep-lat]

in preparation

Masses of pos/neg parity groundstates (in MeV)

$S$	$T/T_c$	0.24	0.76	0.84	0.95	PDG ( $T = 0$ )
0	$m_+^N$	1158(13)	1192(39)	1169(53)	1104(40)	939
	$m_-^N$	1779(52)	1628(104)	1425(94)	1348(83)	1535
	$m_+^\Delta$	1456(53)	1521(43)	1449(42)	1377(37)	1232
	$m_-^\Delta$	2138(114)	1898(106)	1734(97)	1526(74)	1700
-1	$m_+^\Sigma$	1277(13)	1330(38)	1290(44)	1230(33)	1193
	$m_-^\Sigma$	1823(35)	1772(91)	1552(65)	1431(51)	1750
	$m_+^\Lambda$	1248(12)	1293(39)	1256(54)	1208(26)	1116
	$m_-^\Lambda$	1899(66)	1676(136)	1411(90)	1286(75)	1405–1670
	$m_+^{\Sigma^*}$	1526(32)	1588(40)	1536(43)	1455(35)	1385
	$m_-^{\Sigma^*}$	2131(62)	1974(122)	1772(103)	1542(60)	1670–1940
-2	$m_+^\Xi$	1355(9)	1401(36)	1359(41)	1310(32)	1318
	$m_-^\Xi$	1917(27)	1808(92)	1558(76)	1415(50)	1690–1950
	$m_+^{\Xi^*}$	1594(24)	1656(35)	1606(40)	1526(29)	1530
	$m_-^{\Xi^*}$	2164(42)	2034(95)	1810(77)	1578(48)	1820
-3	$m_+^\Omega$	1661(21)	1723(32)	1685(37)	1606(43)	1672
	$m_-^\Omega$	2193(30)	2092(91)	1863(76)	1576(66)	2250

SQM, Utrecht, July 2017 – p. 15

change of baryon masses near  $T_c$

# From G. Aarts, SQM2017

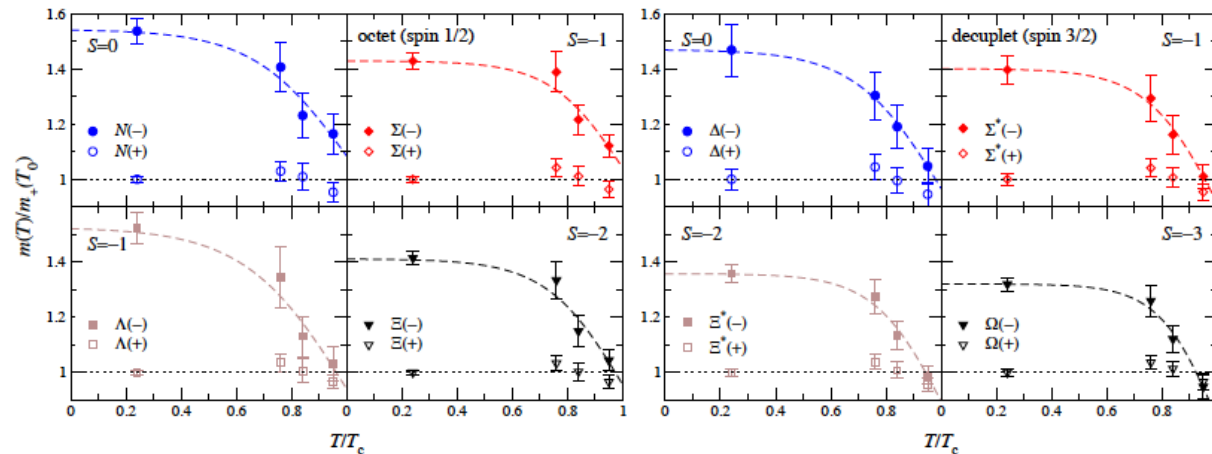
PRD 92 (2015) 014503, arXiv:1502.03603 [hep-lat]

JHEP 06 (2017) 034, arXiv:1703.09246 [hep-lat]

in preparation

## Baryons in the hadronic phase

masses  $m_{\pm}(T)$ , normalised with  $m_{+}$  at lowest temperature



in each channel:

- emerging degeneracy around  $T_c$
- negative-parity masses reduced as  $T$  increases
- positive-parity masses nearly  $T$  independent

SQM, Utrecht, July 2017 – p. 16

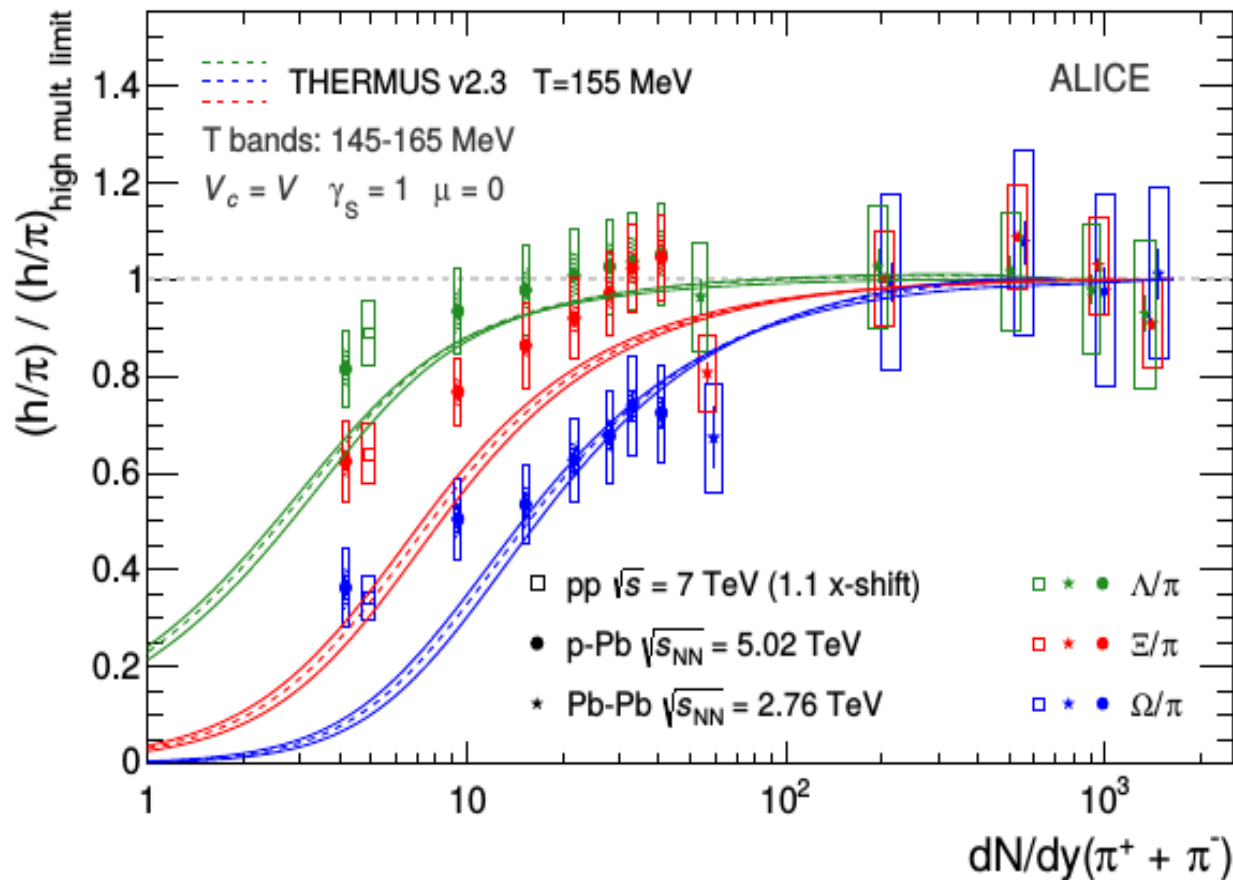
but negative parity baryons all lie higher up in the mass distribution  
→ small effects on statistical hadronization results ... to be tested

# is multiplicity dependence described by canonical thermodynamics?

canonical approach developed by:

Hagedorn, Redlich, Z. Physik C27 (1985) 541

Cleymans, Redlich, Suhonen, Z. Physik C51 (1991) 137



main features, but not details, are captured well – needs further study

arXiv:1606.07424 ALICE data

actual calculations: Vislavicius and Kalweit, arXiv:1610.03001

# a few remarks about analysis of higher moments of conserved charges

- already for second moments there is a delicate balance between influence of conservation laws (at large acceptance) and trivial fluctuations (at small acceptance)
- for small acceptance,  $\Delta_\eta \ll 1$ , probability distributions become Poisson and are not sensitive to critical behavior. in this limit all efficiencies are binomially distributed.
- for large acceptance,  $\Delta_\eta > 1$ , effect of conservation laws becomes large. Efficiencies are not anymore binomially distributed. But data are sensitive to dynamical behavior.
- corrections for baryon number conservation become mandatory
- for large values of  $\mu_b$ , impact parameter (volume) fluctuations become largest source of 'trivial' fluctuations, very unpleasant for search for critical endpoint
- effect of purity in PID needs to be carefully studied, crucial for higher moment analysis – not yet done



# lattice QCD, net 'charges', susceptibilities, and ALICE data

main idea: at LHC energy,  $\mu_b = 0$ , no sign problem, LQCD approach reliable

in a thermal medium, fluctuations or correlations of net 'charges'  $N$  are expressed in terms of susceptibilities as:

$$\hat{\chi}_N \equiv \frac{\chi_N}{T^2} = \frac{\partial^2 \hat{P}}{\partial \hat{\mu}_N^2} \quad \hat{\chi}_{NM} \equiv \frac{\chi_{NM}}{T^2} = \frac{\partial^2 \hat{P}}{\partial \hat{\mu}_N \partial \hat{\mu}_M}$$

here, the reduced pressure and chemical potential are, with  $N, M = (B, S, Q)$ :

$$\hat{P} = P/T^4 \quad \hat{\mu}_N = \mu_N/T$$

thermodynamically, the susceptibility for the conserved charge  $N$  is related to its variance via:

$$\hat{\chi}_N = \frac{1}{VT^3} (\langle N^2 \rangle - \langle N \rangle^2)$$

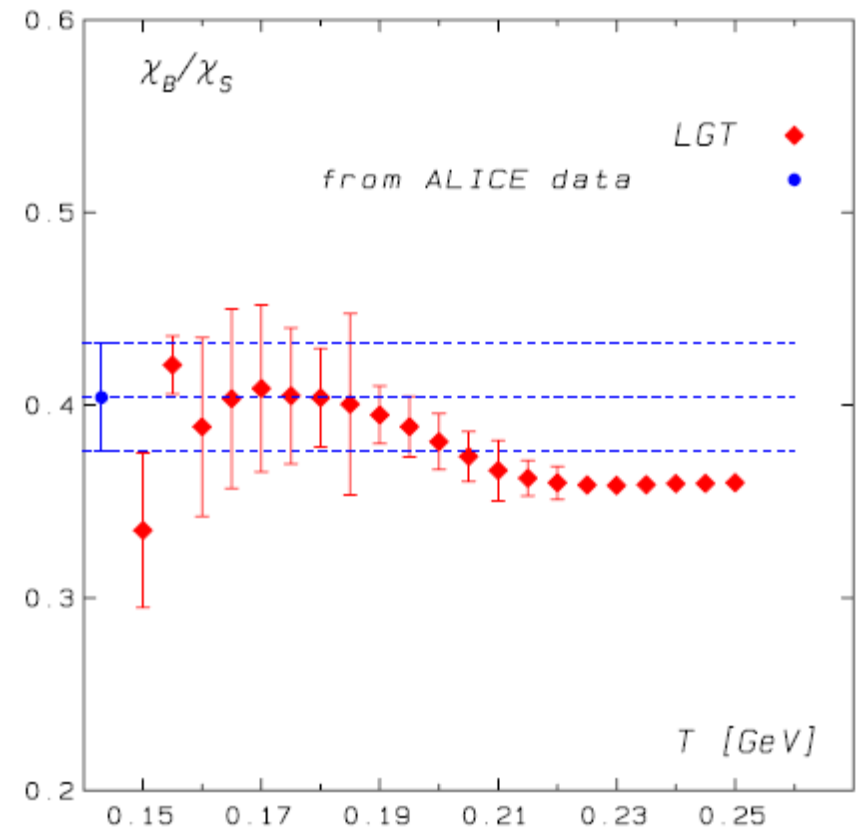
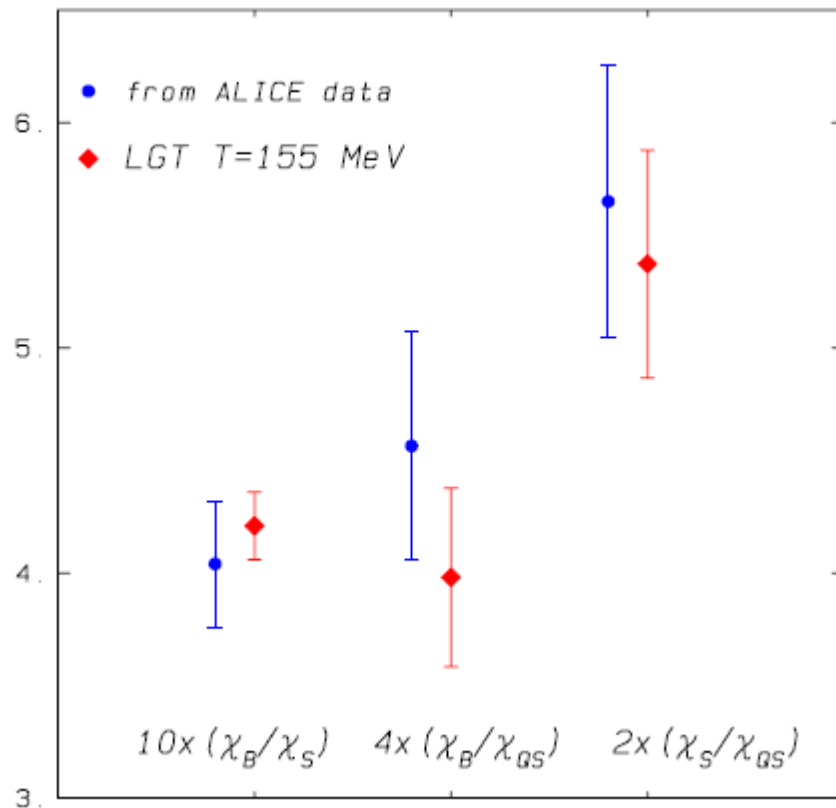
note: higher moments are derived from the same LQCD statistical operator as are first moments

work based on arXiv:1412.8614, Phys. Lett. B747 (2015) 292, pbm, A. Kalweit, K. Redlich, J. Stachel

expressed in terms of measurable quantities assuming a Skellam distribution for 2<sup>nd</sup> moments:

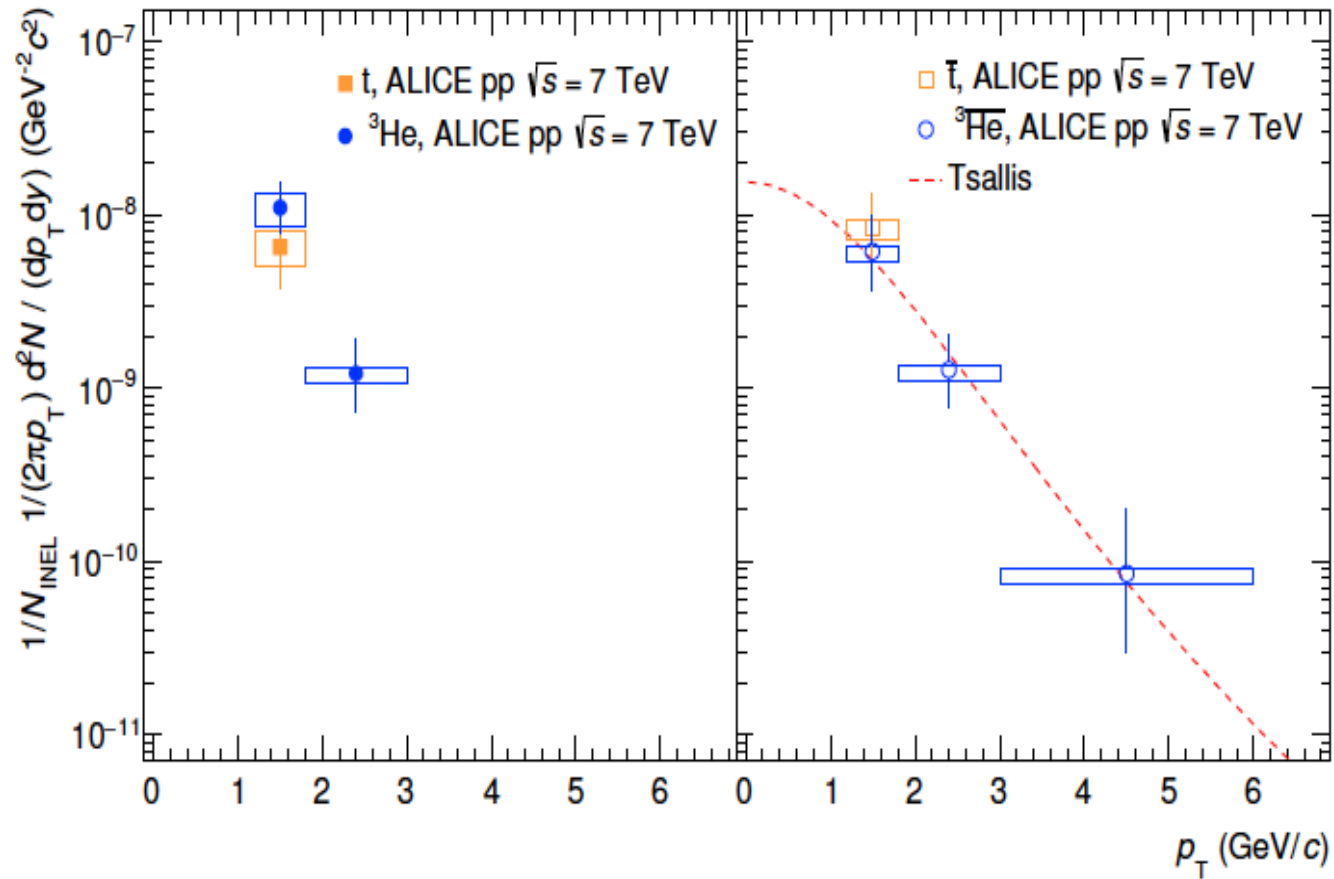
$$\frac{\chi_B}{T^2} = \frac{1}{VT^3} [\langle p \rangle + \langle N \rangle + \langle \Lambda + \Sigma^0 \rangle + \langle \Sigma^+ \rangle + \langle \Sigma^- \rangle + \langle \Xi^- \rangle + \langle \Xi^0 \rangle + \langle \Omega^- \rangle + \text{antiparticles}],$$

$$\frac{\chi_S}{T^2} \simeq \frac{1}{VT^3} [(\langle K^+ \rangle + \langle K^0 \rangle + \langle \Lambda + \Sigma^0 \rangle + \langle \Sigma^+ \rangle + \langle \Sigma^- \rangle + 4\langle \Xi^- \rangle + 4\langle \Xi^0 \rangle + 9\langle \Omega^- \rangle + \text{antiparticles}) - (\Gamma_{\phi \rightarrow K^+} + \Gamma_{\phi \rightarrow K^-} + \Gamma_{\phi \rightarrow K^0} + \Gamma_{\phi \rightarrow \bar{K}^0})\langle \phi \rangle].$$



# the LHC is a 'gluon collider' – isospin plays no role in particle production

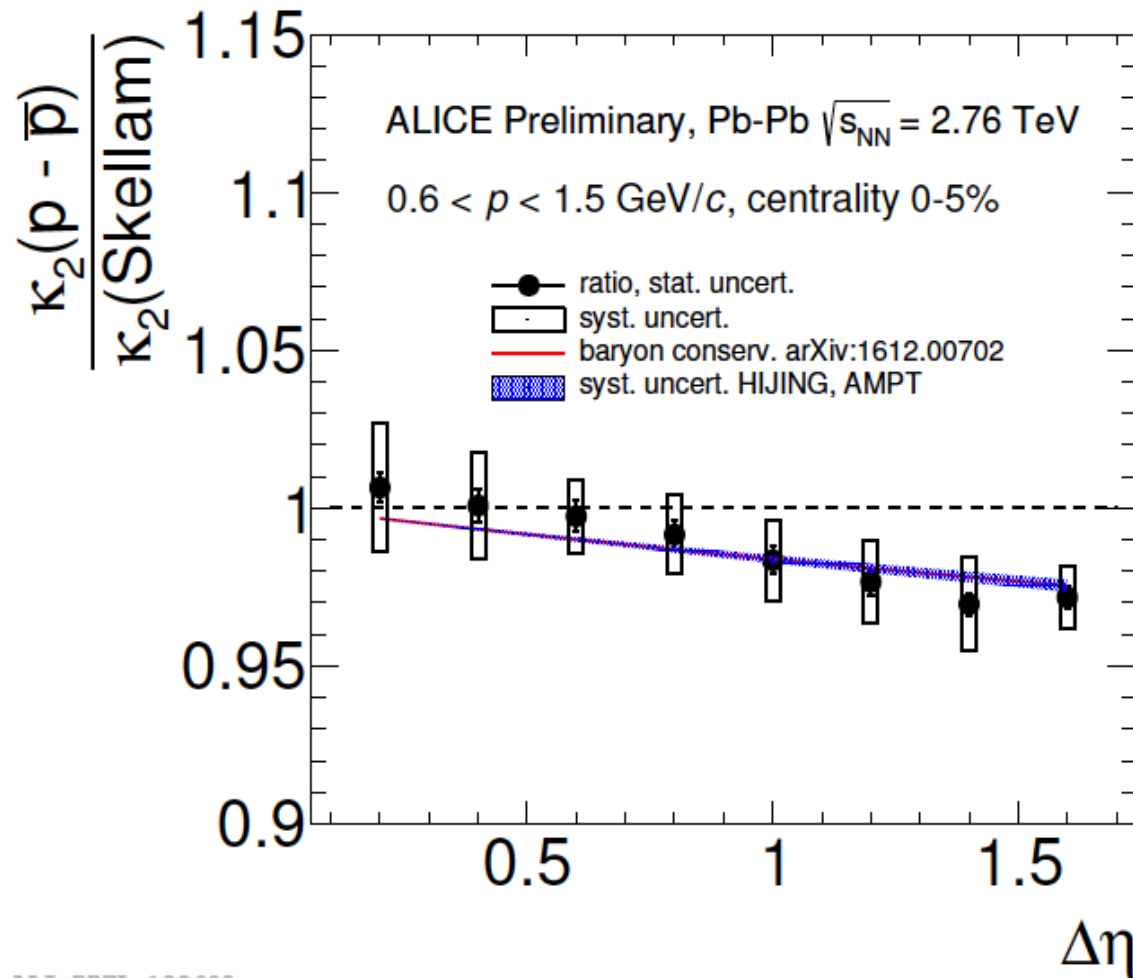
arXiv:1709.08522v1 [nucl-ex] 25 Sep 2017



${}^3\text{He} = t, p=n,$  and anti-particles

so this method measures directly 2<sup>nd</sup> moment of the total baryon distribution

# ALICE net proton data: second moments



ALI-PREL-122602

deviation from the Skellam distribution is tiny and quantitatively described by baryon number conservation

from the above figures, one concludes that LQCD predictions and data agree for (pseudo-)critical temperatures  $T > 150$  MeV.

however, as shown in [F. Karsch, Acta Phys. Polon. Supp. 7, no. 1, 117 \(2014\)](#)

LQCD results cannot be described by hadronic degrees of freedom for  $T > 163$  MeV.

hence we conclude that

$$150 < T < 163 \text{ MeV}$$

from the comparison of ALICE hadron yields with LQCD predictions, completely consistent with the chemical freeze-out analysis

**thermal parameters from analysis of higher moments are consistent with those obtained from analysis of total yields (1<sup>st</sup> moments) but systematic uncertainty is much increased  
need to correct for impact parameter fluctuations and baryon number conservation first**

# Systematic uncertainties in statistical hadronization model

in general, not easy to estimate

from analysis of uncertainties in mass spectrum, and in branching ratios,  
and considering the Boltzmann suppression, we get:

$$\Delta T \leq 5 \text{ MeV at } \mu_b = 0 \text{ and } T = 156 \text{ MeV}$$

## summary

- statistical hadronization model is effective tool to understand the phenomenology of hadron production in relativistic nuclear collisions from SIS to LHC energy
- deeply rooted in duality 'hadrons – quarks' near QCD phase boundary
- present precision is at the 10% level, mostly limited by incomplete knowledge of hadron mass spectrum and related branching ratios for decays
- measurements from ALICE at the 5% accuracy level shows deviations for protons and cascades at the 2 – 3 sigma level → need to be followed up
- yields of light nuclei and hyper-nuclei successfully predicted  
→ maybe produced as quark bags?
- no evidence for mass changes of hadrons near the phase boundary
- results for higher moments must be consistent with 1<sup>st</sup> moment studies
- statistical hadronization approach also applies to the heavy quark sector – not covered here

**key results:**  
**experimental location of QCD phase boundary for  $\mu_b < 300$  MeV:**  
 **$T_c = 156 \pm 5$  MeV**  
**new insight into hadronization**