

Small-x resummation in PDF fits and implications for high-energy colliders

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*Related to work with Simone Marzani, Claudio Muselli, Tiziano Peraro
and the NNPDF collaboration
(Juan, Luca, Richard, StefanoF, Valerio)*



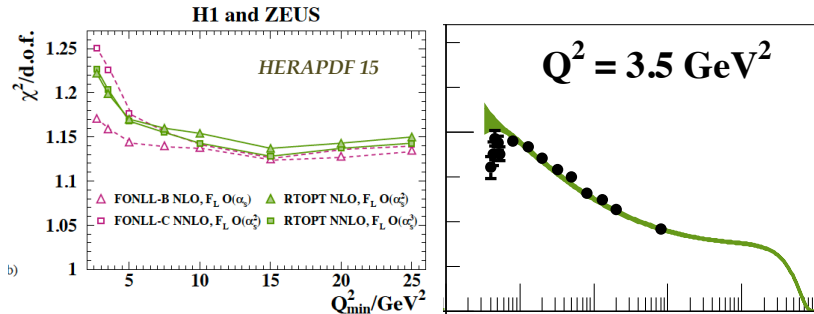
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LHeC (FCC-eh) will probe x down to $x \sim 10^{-6}$ (10^{-7}) for $Q^2 \sim 2 \text{ GeV}^2$

HERA reached $x \sim 2 \times 10^{-5}$ at that scale

Small- x region poorly described by fixed-order perturbative QCD factorization
Tension between HERA data at low Q^2 and low x with theory



NNLO theory happens to describe worse low- Q^2 low- x data than NLO

Small- x resummation

It allows to explain and cure these effects

We are not the first ones to argue this

However, we are probably the first ones to *prove* it!

How?

We use fixed-order perturbative collinear QCD factorization, *supplemented by the resummation of small- x logarithms*, to fit PDFs from data

We are able to successfully describe and fit the low- Q^2 low- x HERA data

Collinear QCD factorization:

$$\text{Observable:} \quad \sigma = \sigma_0 C(\alpha_s(\mu)) \otimes f(\mu) \left[\otimes f(\mu) \right]$$

$$\text{Evolution:} \quad \mu^2 \frac{d}{d\mu^2} f(\mu) = P(\alpha_s(\mu)) \otimes f(\mu)$$

Any object with a perturbative expansion can potentially contain a logarithmic enhancement:

- coefficient functions $C(\alpha_s(\mu))$ (observable)
- splitting functions $P(\alpha_s(\mu))$ (evolution)

At small x , both objects contain large logarithms $\log \frac{1}{x}$ in the singlet sector and may spoil perturbativity \rightarrow **resummation**

Small- x resummation formalism based on k_t -factorization and BFKL

Developed in the 90s-00s

[Catani, Ciafaloni, Colferai, Hautmann, Salam, Stasto]

[Altarelli, Ball, Forte] [Thorne, White]

Known at LL x and NLL x since many years, but very limited number of applications.
Why?

Small- x resummation is a **hell!**

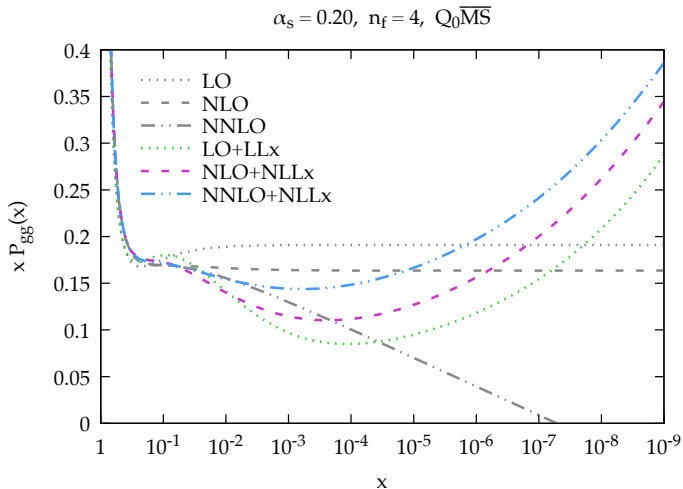
Various implementations, with different pros and cons, more or less all in agreement but difficult to implement and with no public codes available

Recent developments: [\[MB,Marzani,Peraro 1607.02153\]](#)[\[MB,Marzani,Muselli 1708.07510\]](#)

- we took (and improved) the ABF [\[Altarelli,Ball,Forte 1995,...,2008\]](#) procedure to resum splitting functions and developed a new formalism for coefficient functions
- we have all the ingredients for describing DIS process at small x , including mass effects and heavy flavour matching conditions in DGLAP evolution
- we have been able to match resummation to NNLO, allowing **NNLO+NLL x phenomenology**
- we published (and keep developing) a public code **HELL: High-Energy Large Logarithms** www.ge.infn.it/~bonvini/hell which delivers resummed splitting functions and coefficient functions
- **HELL** has been interfaced to **APFEL** (apfel.hepforge.org) opening the door to its usage for PDF fitting

A digression on the theory (3)

A representative result



There is much more on the papers...

APFEL+HELL → make possible a PDF fit with small- x resummation

NNPDF (3.1) framework:

- NeuralNet parametrization of PDFs, MonteCarlo uncertainty, ...
- variable flavour number scheme with mass effects (FONLL)
- charm PDF is fitted
- a large variety of DIS and hadron collider data (~ 4000 datapoints)
-

We have performed NLO, NLO+NLL x , NNLO, NNLO+NLL x fits

→ a paper will appear soon!

[Ball,Bertone,MB,Forte,Marzani,Rojo,Rottoli 1709.xxxxx]

One significant difference in the HERA data we include:

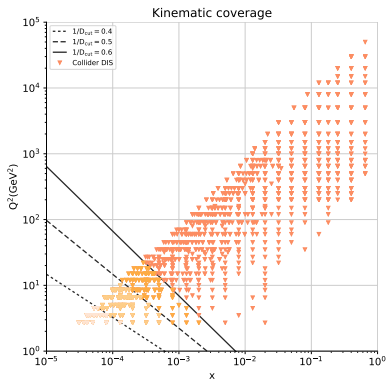
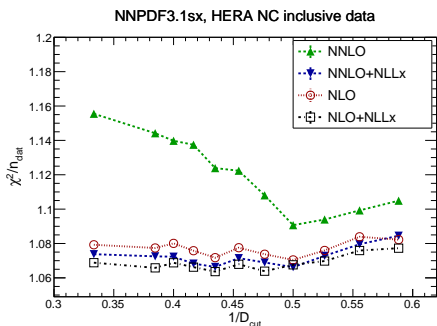
Lowest Q^2 HERA bins	NNPDF3.1	NNPDF3.1sx (these fits)
$Q^2 = 3.5 \text{ GeV}^2$	included	included
$Q^2 = 2.7 \text{ GeV}^2$	excluded	included
$Q^2 = 2.0 \text{ GeV}^2$	excluded	excluded

Fit results: χ^2 as quality estimator and the onset of BFKL dynamics

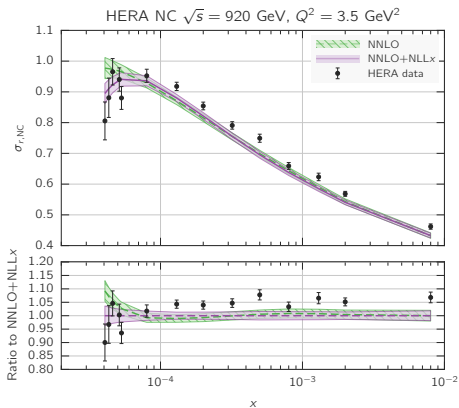
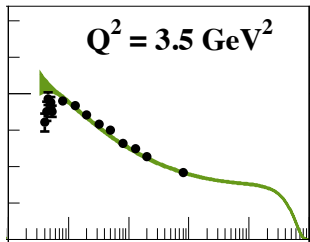
χ^2/N_{dat}	NLO	NLO+NLL x	NNLO	NNLO+NLL x
DIS-only	1.117	1.118	1.126	1.104
Global	1.122	1.127	1.135	1.106
	these are similar		largest	smallest

Hierarchy as expected from splitting function behaviour!

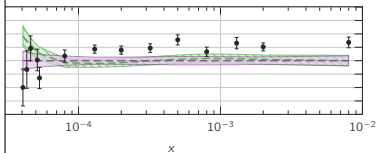
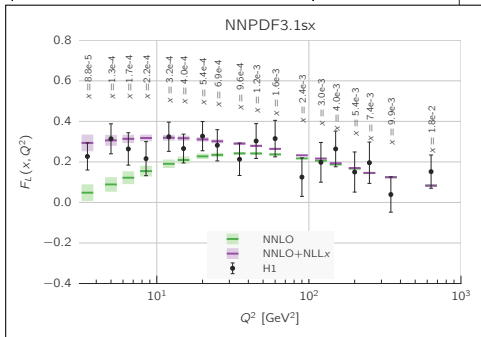
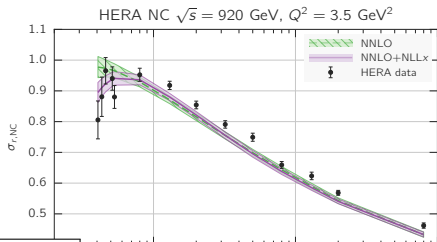
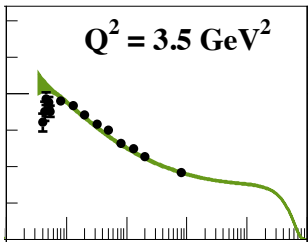
Mostly due to HERA data: we study the χ^2/N_{dat} profile as we cut out HERA data at small x small Q^2



Fit results: description of the HERA data

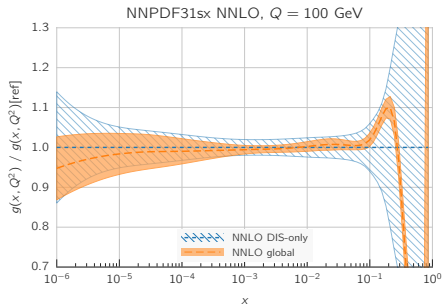
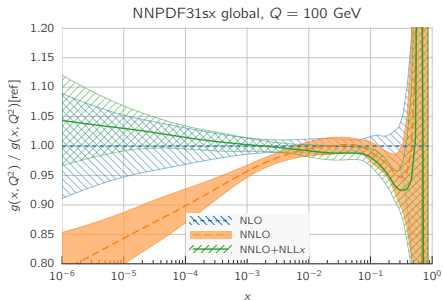
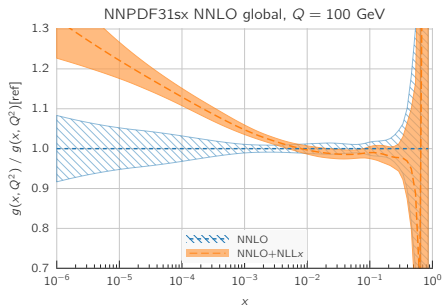
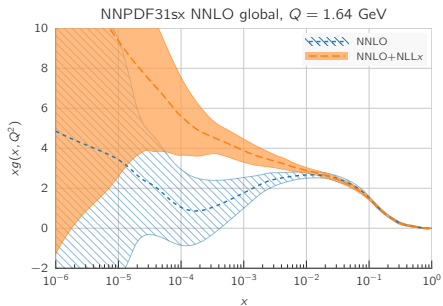


Fit results: description of the HERA data



The better description mostly comes from F_L

Fit results: impact on PDFs – the gluon

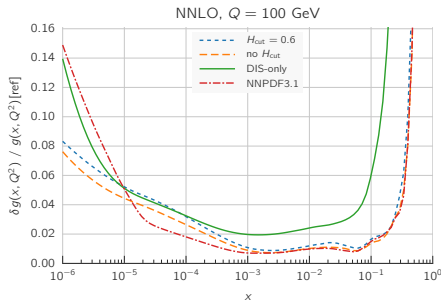
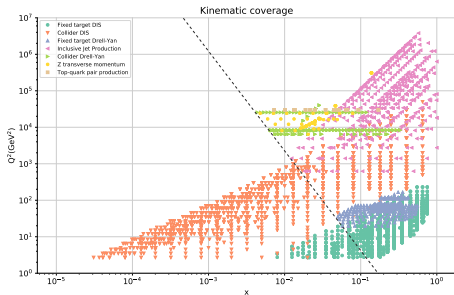


The global fit in greater detail

We have full resummation for DGLAP evolution and DIS structure functions, but not hadron-collider observables (yet)

We cut those hadronic data potentially sensitive to small- x resummation, i.e.

$$\alpha_s(Q^2) \log \frac{1}{x} > H_{\text{cut}} = 0.6 \quad (\text{default cut})$$

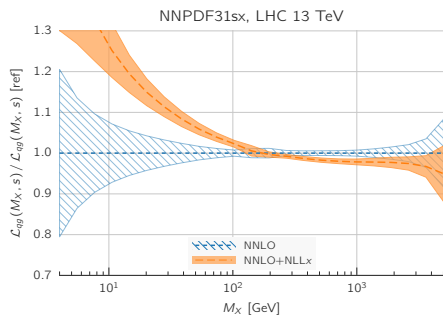
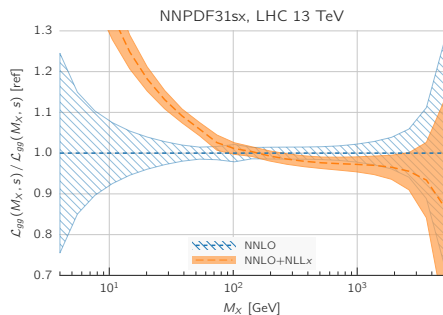


The most important missing observable is Drell-Yan

Work in progress to include it in HELL with the new formalism

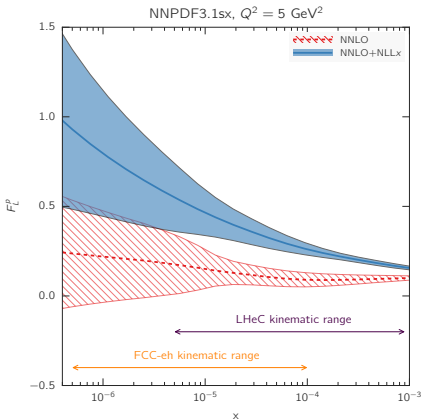
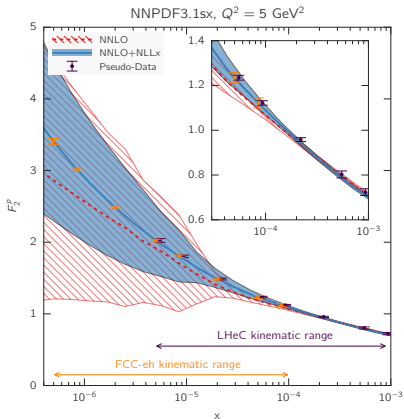
PDF uncertainties are anyway competitive with those of a fully global fit!

Parton luminosities (gg and qg)



Significant impact for $M_X \lesssim 100$ GeV, but also non-negligible impact above.

Small- x resummed phenomenology at the LHC is now starting....



Prediction in the LHeC and FCC-eh kinematic regions for F_2 and F_L

Uncertainties are large (extrapolation region)

Pseudo data show a small error — significant constraining power!

What's new:

- public **HELL** code implements small- x resummation with many improvements
- NNLO+NLL x results now available
- DIS-only and global PDF fits performed with NNPDF3.1 methodology/setting
- theorywise, **NNLO+NLL x fit is the best PDF fit ever** (limited by reduced dataset)
- sizeable impact — relevant for future collider phenomenology

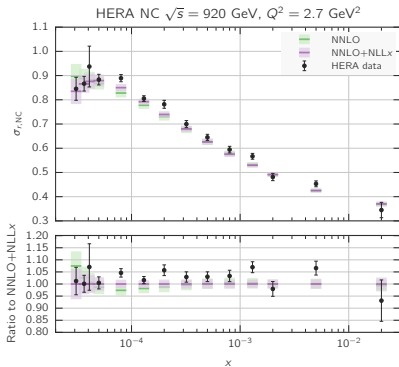
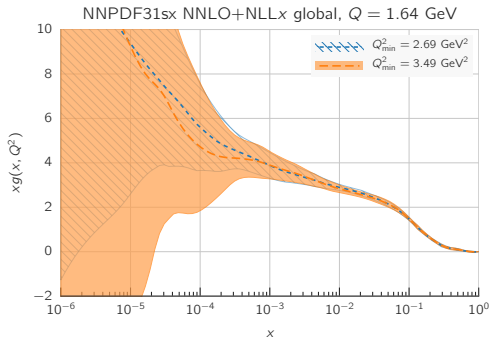
Key Message: **At small x we need small- x resummation, and with small- x resummation we can successfully describe the small- x region**

Outlook:

- resum Drell-Yan and update the global resummed fits
- phenomenological applications (LHC, FCC, ultra high energy astrophysics, ...)

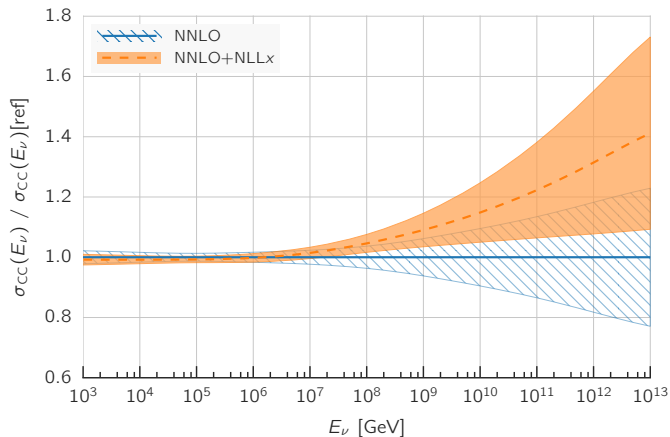
Backup slides

The small- Q^2 HERA bin



Including the $Q^2 = 2.7 \text{ GeV}^2$ bin reduces the uncertainty on the small- x gluon, and does not deteriorate the fit quality — we perfectly describe those data

UHE neutrino cross section, relevant for IceCube and KM3NET experiments



Sizeable impact, though uncertainties still large

Small- x resummation: brief overview

DGLAP:
$$\mu^2 \frac{d}{d\mu^2} f(x, \mu^2) = \int \frac{dz}{z} P\left(\frac{x}{z}, \alpha_s(\mu^2)\right) f(z, \mu^2)$$

BFKL:
$$x \frac{d}{dx} f(x, \mu^2) = \int \frac{d\nu^2}{\nu^2} K\left(x, \frac{\mu^2}{\nu^2}, \alpha_s(\cdot)\right) f(x, \nu^2)$$

double Mellin transform
$$f(N, M) = \int dx x^N \int \frac{d\mu^2}{\mu^2} \left(\frac{\mu^2}{\mu_0^2}\right)^{-M} f(x, \mu^2)$$

DGLAP:
$$M f(N, M) = \gamma(N, \alpha_s(\cdot)) f(N, M) + \text{boundary}$$

BFKL:
$$N f(N, M) = \chi(M, \alpha_s(\cdot)) f(N, M) + \text{boundary}$$

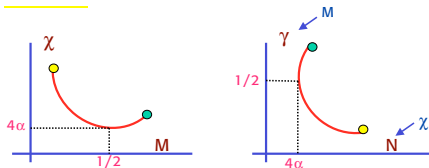
When both are valid (small x , large μ^2), consistency between the solutions gives (at fixed coupling)

$$\chi(\gamma(N, \alpha_s), \alpha_s) = N$$

duality relation

For $\chi(M, \alpha_s) = \alpha_s \chi_0(M)$

the dual γ contains all orders in α_s/N



What do we get?

- LL: strong growth at small x (not observed)
- NLL: no enhancement at small x (!!)

Totally unstable,
due to perturbative instability of the BFKL kernel

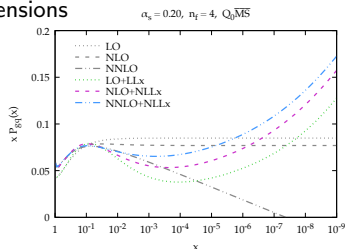
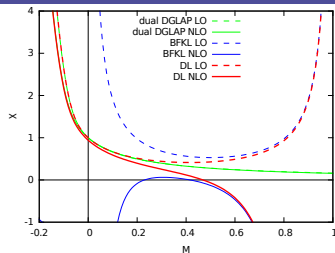
ABF solution [Altarelli,Ball,Forte 1995,...,2008]

- use duality to resum BFKL kernel
- exploit symmetry $M \rightarrow 1 - M$ of χ
- impose momentum conservation
- reuse duality to get resummed anomalous dimensions

The result is perturbatively stable!

Finally

- resum running coupling contributions
(changes the nature of the small- N singularity: branch-cut to pole)



Singlet:

$$P(x, \alpha_s) = \sum_{n=0}^{\infty} \alpha_s^{n+1} \left[\sum_{k=0}^n a_{nk} \frac{\log^k x}{x} + \sum_{k=0}^{2n} b_{nk} \log^k x + \dots \right]$$

$$\gamma(N, \alpha_s) = \sum_{n=0}^{\infty} \alpha_s^{n+1} \left[\sum_{k=0}^n \frac{a_{nk}}{(N-1)^{k+1}} + \sum_{k=0}^{2n} \frac{b_{nk}}{N^{k+1}} + \dots \right]$$

Single log enhancement at leading small x , in the singlet sector

$$P_{\text{singlet}} = \begin{pmatrix} P_{gg} & P_{gq} \\ P_{qg} & P_{qq} \end{pmatrix} = \begin{pmatrix} \text{LL} & \text{LL} \\ \text{NLL} & \text{NLL} \end{pmatrix}$$

Non-singlet:

$$P(x, \alpha_s) = \sum_{n=0}^{\infty} \alpha_s^{n+1} \left[\sum_{k=0}^{2n} b_{nk} \log^k x + \dots \right]$$

is double log enhanced but subleading.

High-energy (k_T) factorization:

$$\sigma \sim \int \frac{dz}{z} \int d^2\mathbf{k} \hat{\sigma}_g\left(\frac{x}{z}, \frac{Q^2}{\mathbf{k}^2}, \alpha_s(Q^2)\right) \mathcal{F}_g(z, \mathbf{k}) \quad \begin{cases} \mathcal{F}_g(x, \mathbf{k}) : \text{unintegrated PDF} \\ \hat{\sigma}_g\left(z, \frac{Q^2}{\mathbf{k}^2}, \alpha_s\right) : \text{off-shell xs} \end{cases}$$

Collinear factorization

$$\sigma \sim \int \frac{dz}{z} C_g\left(\frac{x}{z}, \alpha_s(Q^2)\right) f_g(z, Q^2) \quad \begin{cases} f_g(x, Q^2) : \text{standard PDF} \\ C_g(z, \alpha_s) : \text{on-shell coefficient function} \end{cases}$$

Defining

$$\mathcal{F}_g(N, \mathbf{k}) = U\left(N, \frac{\mathbf{k}^2}{Q^2}\right) f_g(N, Q^2)$$

we get

[MB, Marzani, Peraro 1607.02153]

$$C_g(N, \alpha_s) = \int d^2\mathbf{k} \hat{\sigma}_g\left(N, \frac{Q^2}{\mathbf{k}^2}, \alpha_s\right) U\left(N, \frac{\mathbf{k}^2}{Q^2}\right)$$

At LL x accuracy, U has a simple form, in terms of small- x resummed anom dim γ

$$U\left(N, \frac{\mathbf{k}^2}{Q^2}\right) \approx \mathbf{k}^2 \frac{d}{d\mathbf{k}^2} \exp \int_{Q^2}^{\mathbf{k}^2} \frac{d\nu^2}{\nu^2} \gamma(N, \alpha_s(\nu^2))$$

- Only known at LL x
- Just uses the off-shell cross sections $\hat{\sigma}(N, Q^2/\mathbf{k}^2, \alpha_s)$ (one for each process)
- Formally equivalent to ABF (practically easier and numerically stabler)