Performance of the CMS Zero Degree Calorimeters in the 2016 pPb run

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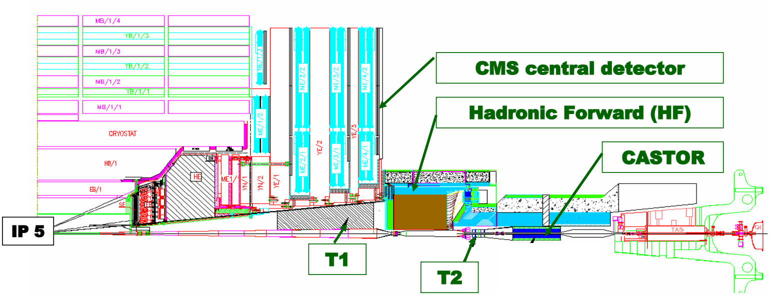
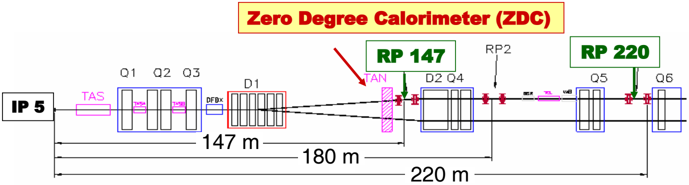
21th May 2017
CALOR 2018, Eugene (OR)

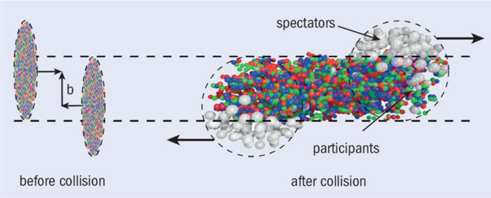
The Forward Detectors of CMS experiment





1. Physics motivation

1. Centrality in hA and AA collisions



- Heavy ion (AA) collisions:
  - Impact parameter $\sim$ Number of binary collisions (N_{coll})
  - Important in the measurement of nuclear modification factor:

$$R_{AA} = \frac{\mathrm{d}N^{AA}/\mathrm{d}p_{\mathrm{T}}}{\langle N_{\mathrm{coll}}\rangle \mathrm{d}N^{pp}/\mathrm{d}p_{\mathrm{T}}}$$

  - Typical centrality estimator: charged particle multiplicity
- Hadron-nucleus (hA) collisions:
  - Relevant quantity is N_{coll}, but only loosely correlated with impact parameter and multiplicity
  - Unbiased centrality estimator: zero degree energy

2. Slow nucleons in hadron-nucleus collisions

Hadron-nucleus collision

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$\Downarrow$

NN collisions $\Rightarrow$ **grey nucleons** ($\beta \in [0.3, 0.7]$)

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Excited nucleus

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Hadron-nucleus collision

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NN collisions $\Rightarrow$ **grey nucleons** ($\beta \in [0.3, 0.7]$)

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Excited nucleus

$\Downarrow$

Break-up of nucleus

2. Slow nucleons in hadron-nucleus collisions

Hadron-nucleus collision

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NN collisions $\Rightarrow$ **grey nucleons** ($\beta \in [0.3, 0.7]$)

$\Downarrow$

Excited nucleus

$\Downarrow$

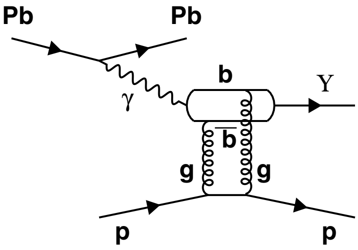
Break-up of nucleus

$\Downarrow$

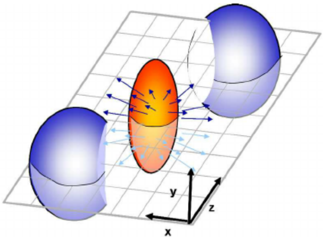
Nuclear evaporation $\Rightarrow$ **black nucleons** ($\beta < 0.3$)

3. Utraperipheral collisions

- Interacting only via EM field ($\sim p\gamma$ and $\gamma\gamma$ collisions)
- Using ZDC as a veto:
  - Ensures intact nucleus/nuclei.
- E.g. Υ photoproduction $\rightarrow$ probing gluon pdf of proton



4. Flow and reaction plane

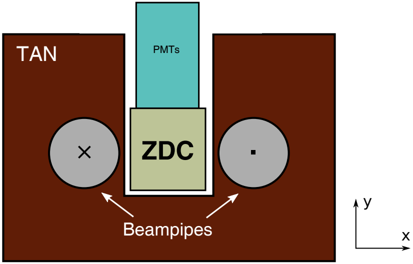


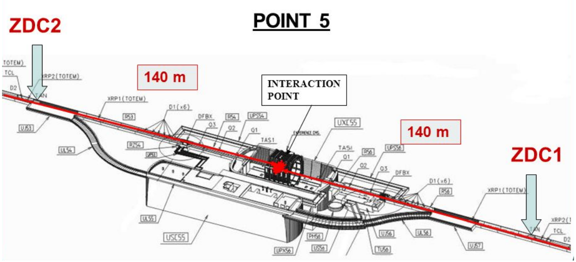
- Hot, dense matter produced in heavy ion collisions
- ϕ-distribution of particles w.r.t. reaction plane expanded to Fourier modes (v_n).
- v_n: flow coefficients, signature of anisotropy and behaviour of hot, dense matter
- Important: reaction plane, but very hard to measure $\rightarrow$ can be estimated by considering spectator neutron spatial distribution

2. The CMS Zero Degree Calorimeter

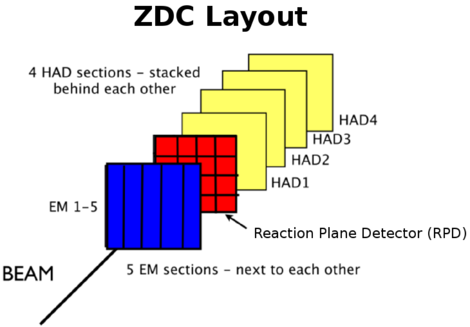
Zero Degree Calorimeter

- Located in neutral particle absorber (TAN), ~ 140 m from IP5 – between the two beampipes.
- Measures forward neutral particles at $|\eta| > 8.5$
- Charged products are wiped out by magnets.





Segmentation of ZDC detector



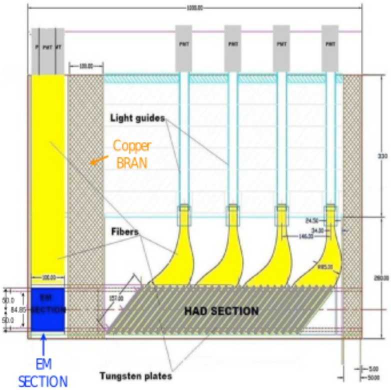
Segmentation:

- EM: y-axis – 5 channels
- HAD: longitudinally – 4 channels
- RPD: 4 x 4 quartz array – 16 channels

Physics capabilities:

- Centrality in pA, AA
- Tagging UPC events
- Event plane (with RPD)

ZDC detector



Electromagnetic section (EM):

- 33 vertical tungsten plates
- 19 radiation lengths or one nuclear interaction length.
- 5 divisions in the x direction

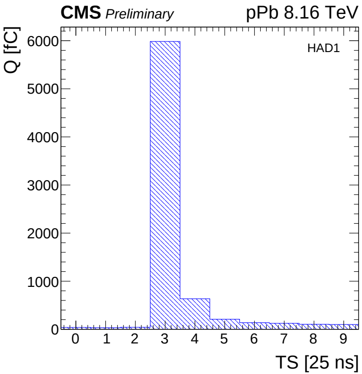
(Not enough room for read-out of y-segmentation)

Hadron section (HAD):

- 24 tungsten plates
- 5.6 hadronic interaction length
- Plates are tilted by 45° $\rightarrow$ maximizes the light that a fiber can pick up.
- Divided into 4 segments in z direction

3. Calibration

ZDC signal definition



- Continuous readout with 25 ns timeslices.
- 100 ns bunch spacing $\rightarrow$ out-of-time pileup in TS7 and TS-1.
- Maximum in time slice 3 (TS3).
- The definition of ZDC signal for a given *i* channel:

$$Q_i = Q_{i,\mathrm{TS3}} - \frac{1}{2}(Q_{i,\mathrm{TS2}} + Q_{i,\mathrm{TS6}})$$

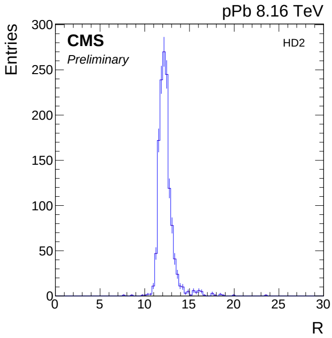
Low gain ZDC signal

- When TS3 saturated, using $R \cdot \text{TS4}$
- Saturated signal:

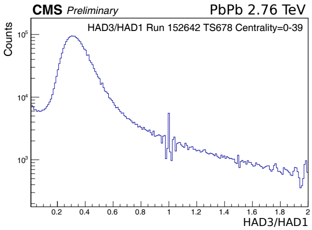
$$Q_i^* = R \cdot \left[Q_{i,\text{TS4}} - \frac{1}{2}(Q_{i,\text{TS2}} + Q_{i,\text{TS6}}) \right]$$

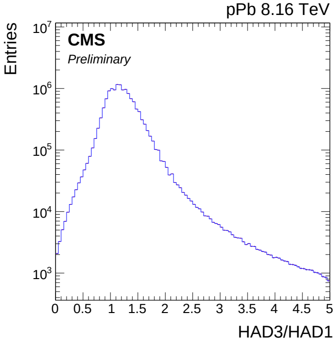
- R is calculated from not saturated events:

$$R = \left\langle \frac{Q_{i,\text{TS3}} - \frac{1}{2}(Q_{i,\text{TS2}} + Q_{i,\text{TS6}})}{Q_{i,\text{TS4}} - \frac{1}{2}(Q_{i,\text{TS2}} + Q_{i,\text{TS6}})} \right\rangle$$



Matching channel gains





Relative gain matching:

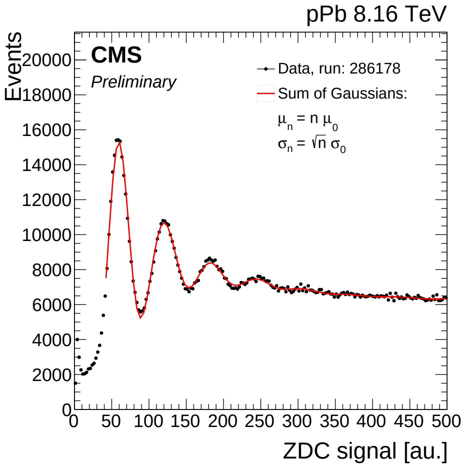
- Intercalibration
- Cross-calibration to 2010 data, using variables:
  - HAD2/HAD1
  - HAD3/HAD1
  - HAD4/HAD1
- Choosing w_i weights to match the maximum of distributions

Total ZDC signal:

$$Q_{\mathrm{ZDC}} = \sum_i w_i Q_i,$$

where $i \in \{\text{EM1-5, HAD1-4}\}$

Calibration – neutron peaks



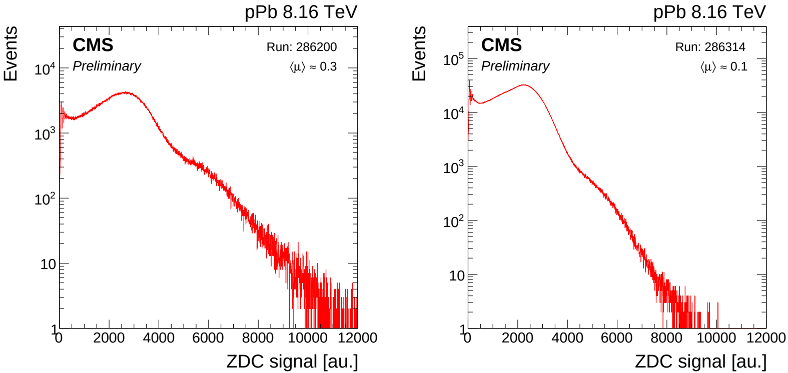
- Pb-going side
- Nearly monoenergetic neutrons due to large boost of Pb-ion
- 1, 2, 3 neutron peaks are clearly visible
- Fit with sum of Gaussians, with fixed mean and variance:

$$\mu_n = n\mu_0$$
$$\sigma_n^2 = n\sigma_0^2$$

- 1 neutron peak at 2.56 TeV
  (nominal value for $\sqrt{s_{NN}} = 8.16$ TeV)

4. Pileup correction

Pileup in ZDC runs



- Larger shoulder for larger pileup values
- Looking for $\langle \mu \rangle = 0$ case, expectation: shoulder disappears
- Using Fourier deconvolution method

Deconvolution via Fourier transform

Assume that n number of pPb collisions in a bunch crossing is Poisson distributed:

$$p_n = \frac{\mu^n}{n!} \frac{e^{-\mu}}{1 - e^{-\mu}}$$

(only the $n > 0$ case is considered, $1 - e^{-\mu}$ appears in the denominator to ensure proper normalization)

μ: ZDC-effective number of collisions.

Then the ZDC energy deposit can be described by X random variable:

$$X = \sum_{i=1}^{n} Y_i,$$

where Y_i is the random variable describing ZDC energy deposit for an event with single collision.

Deconvolution via Fourier transform

Aim: calculate the pdf of Y_i, $g(x)$ when the pdf of X is known: $f(x)$.
Using total probability theorem:

$$f(x) = g(x)\,p_1 + (g * g)(x)\,p_2 + (g * g * g)(x)\,p_3 + \ldots$$

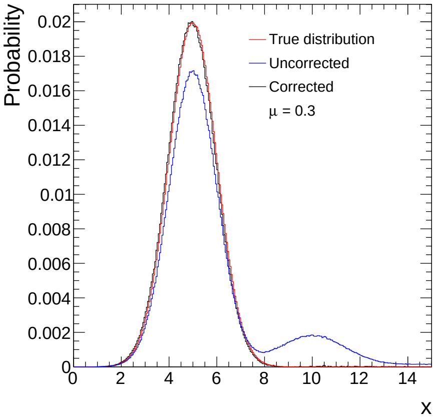
Taking the Fourier transform of both sides
($f(x) \to F(\omega)$, $g(x) \to G(\omega)$):

$$F(\omega) = \sum_{k=1}^{\infty} p_k\, G^k(\omega) = \frac{e^{-\mu}}{1 - e^{-\mu}} \sum_{k=1}^{\infty} \frac{(\mu\, G(\omega))^k}{k!} = \frac{e^{-\mu}}{1 - e^{-\mu}} \left(e^{\mu G(\omega)} - 1 \right)$$

After expressing $G(\omega)$ and doing inverse Fourier transform:

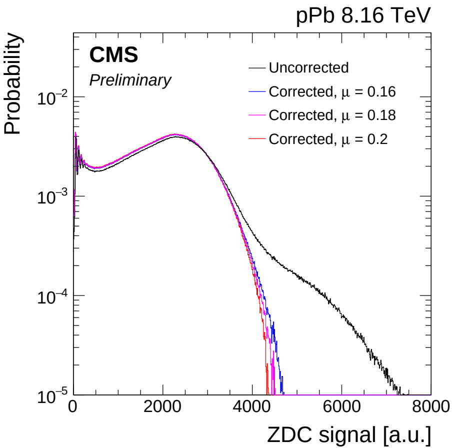
$$g(x) = \mathfrak{F}^{-1} \left[\frac{1}{\mu} \log \left[1 + (e^{\mu} - 1) F(\omega) \right] \right]$$

Pileup correction result on toy model



- Simple model: ZDC signal distributed as Gaussian + Poisson pileup.
- Method is **validated** by the toy model.

Pileup correction



Results are consistent with the expectation.
The $\mu = 0.18$ result is used in the following step.

5. Application 1: Centrality with ZDC in pPb collisions

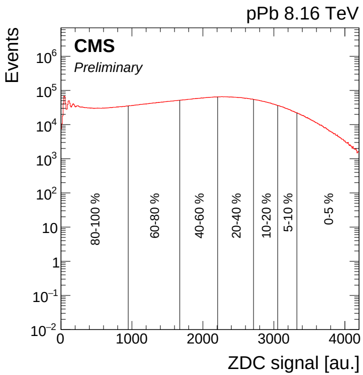
Centrality with ZDC in pPb collisions

Number of spectator neutrons:

- Unbiased centrality estimator in pPb collisions
- Theoretical model needed to describe the relation

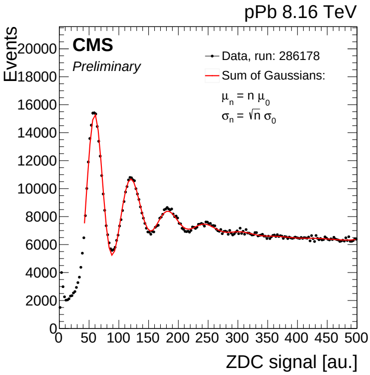
$$\langle N_{coll} \rangle = f(N_{neuton})$$

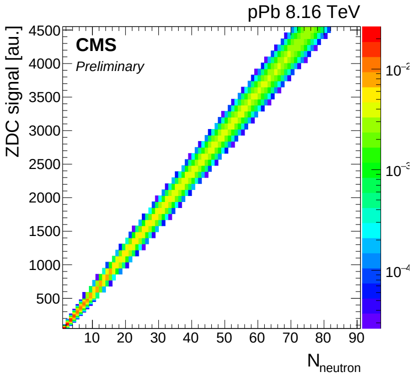
- Models working only for lower energies
- **Measuring spectator neutron multiplicity distribution**: useful input for tuning MC event generators to describe LHC energies



6. Application 2: Unfolding neutron number distribution

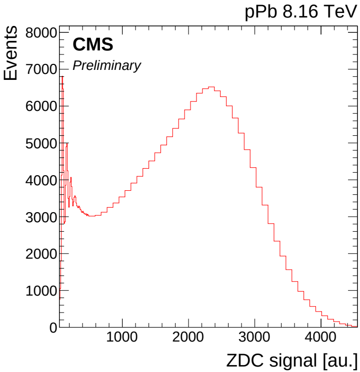
Unfolding

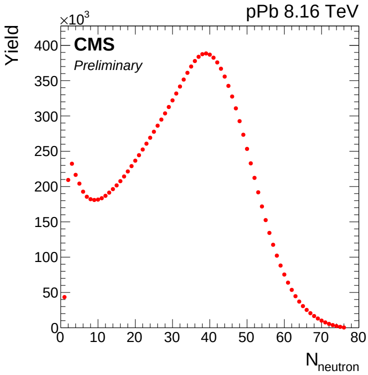




- Assuming Gauss shape ZDC response for single neutron
- Assuming linear ZDC response

Unfolding





Using linear regulatization to unfold neutron number distribution

Summary

- Zero Degree Calorimeter – ZDC
- Spectator neutrons are observed with CMS ZDC
- ZDC is calibrated using neutron peaks
- Pile-up corrected with Fourier transform method
- Neutron number distribution unfolded
- Physics capabilities:
  - Tagging UPC events
  - Centrality estimator
  - Measuring spectator neutron multiplicity distribution

Thank you for your attention!

EMBERI ERŐFORRÁSOK MINISZTÉRIUMA

Supported by the ÚNKP-17-3 New National Excellence Program of the Ministry of Human Capacities

7. Backup

Cherenkov angle

Cherenkov angle:

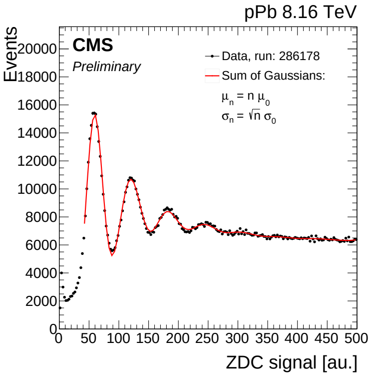
$$\cos\theta = \frac{1}{n\beta}$$

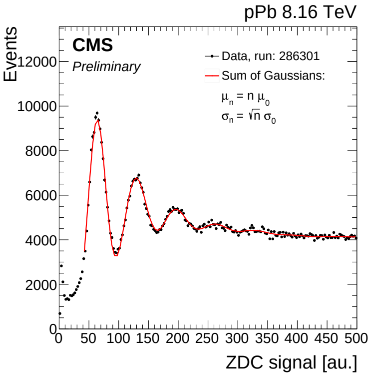
$$\beta \approx 1 \quad \text{for relativistic particles,}$$

$$n \approx \sqrt{2} \quad \text{for quartz fiber}$$

$$\Rightarrow \theta \approx 45^\circ$$

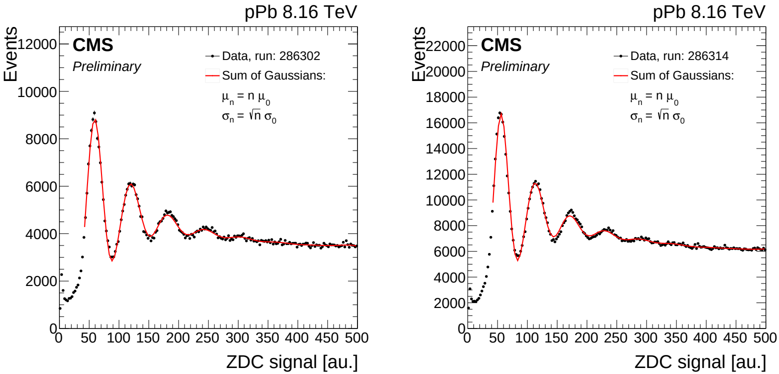
Example fits – 1





Run number	286178	286301	286302	286314
1 n peak location	59.2 ± 0.04	63.70 ± 0.05	59.02 ± 0.04	55.79 ± 0.03
1 n peak width	14.24 ± 0.02	15.25 ± 0.03	13.94 ± 0.03	13.14 ± 0.03

Example fits – 2



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Unfolding with linear regularization

Solve problem as a linear optimization problem:

$$\mathbf{R} \cdot \mathbf{u} = \mathbf{c}$$

- **R**: response matrix
- **u**: unknown neutron distribution
- **c**: measured ZDC spectrum

Task: search for an **u** vector, which fulfils the equation above and 'smooth enough'.

Unfolding with linear regularization

Minimize

$$(\mathbf{R}\cdot\mathbf{u}-\mathbf{c})^{\mathsf{T}}\mathbf{V}^{-1}(\mathbf{R}\cdot\mathbf{u}-\mathbf{c})+\lambda(\mathbf{D}\cdot\mathbf{u})^2$$

- **V**: covariance matrix, $V_{ij} \approx \delta_{ij} c_i$
- **D**: first difference matrix
- λ: regularization coefficient

Need to solve matrix equation:

$$(\mathbf{R}^{\mathsf{T}}\mathbf{V}^{-1}\mathbf{R}+\lambda\mathbf{D}^{\mathsf{T}}\mathbf{D})\mathbf{u}=\mathbf{R}^{\mathsf{T}}\mathbf{V}^{-1}\mathbf{c}$$