

# A Highly Granular Calorimeter Concept for Long Baseline Near Detectors

**Lorenz Emberger, Frank Simon**

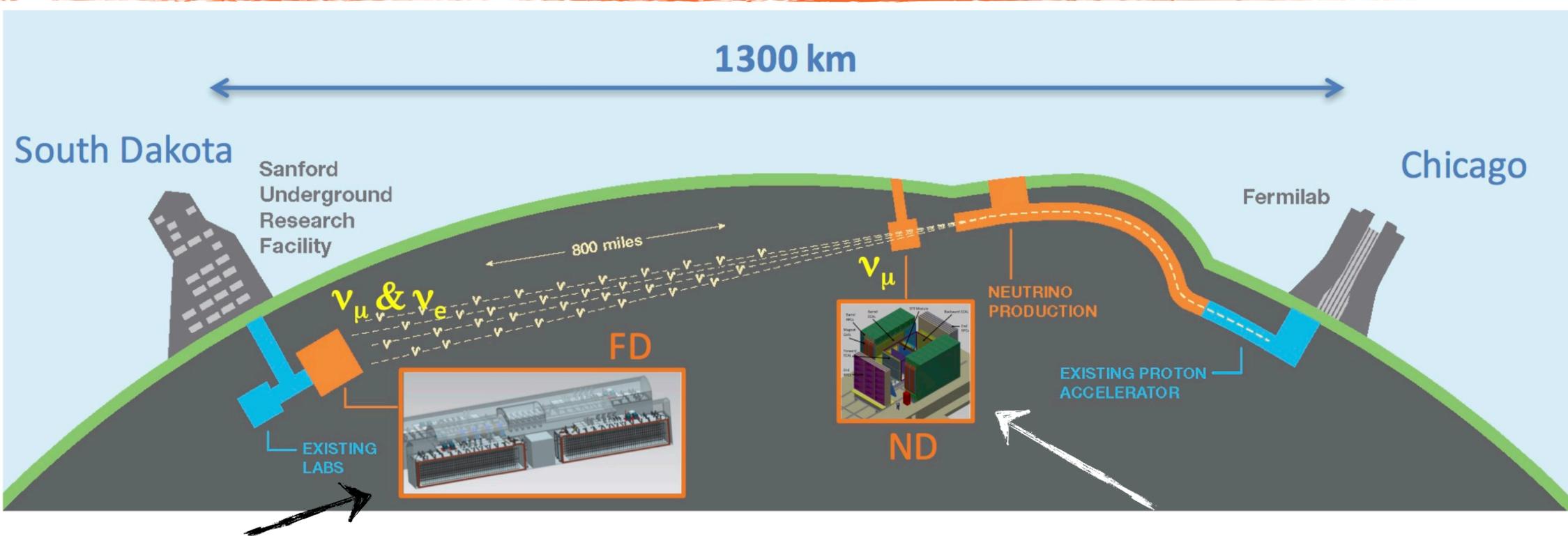
**Max-Planck-Institute for Physics**

on behalf of the DUNE Collaboration

- DUNE and the Near Detector
- A highly granular ECAL concept for the Near Detector
- Simulation studies
  - Energy resolution
  - Angular resolution
  - Neutron sensitivity
- Lessons Learned & Conclusions

# Introduction

## The DUNE Experiment



### Primary physics goals:

- Observe and study leptonic CP violation
- Measure the mass ordering of neutrinos
- Measure supernova neutrinos
- Search for proton decay

**Far detector** to measure oscillated neutrinos in the beam physics program:

- 4 x 10 kT fiducial volume LAr TPC
- 1300 km baseline, covers 1<sup>st</sup> and 2<sup>nd</sup> oscillation max.

**Near detector** to constrain un-oscillated flux and to perform a rich, high statistics non-oscillation program

- Technologies and details of the detector concept currently under study

Construction has started in 2017 - Expect first physics in 2024, first beam in 2026

# The DUNE Near Detector

## Introduction



- The primary role:
  - provide strong constraints on the neutrino flux
  - improve understanding of cross-sections

needs to be capable of identifying and characterising neutrino interactions in Ar as well as in various other targets

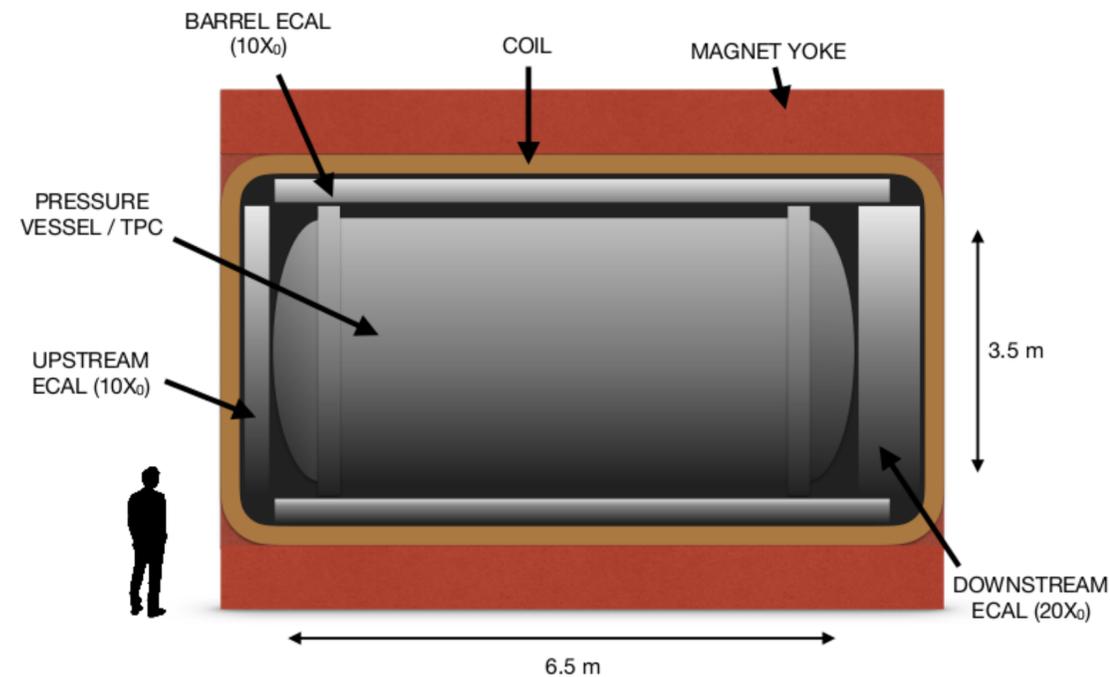
# The DUNE Near Detector

## Introduction

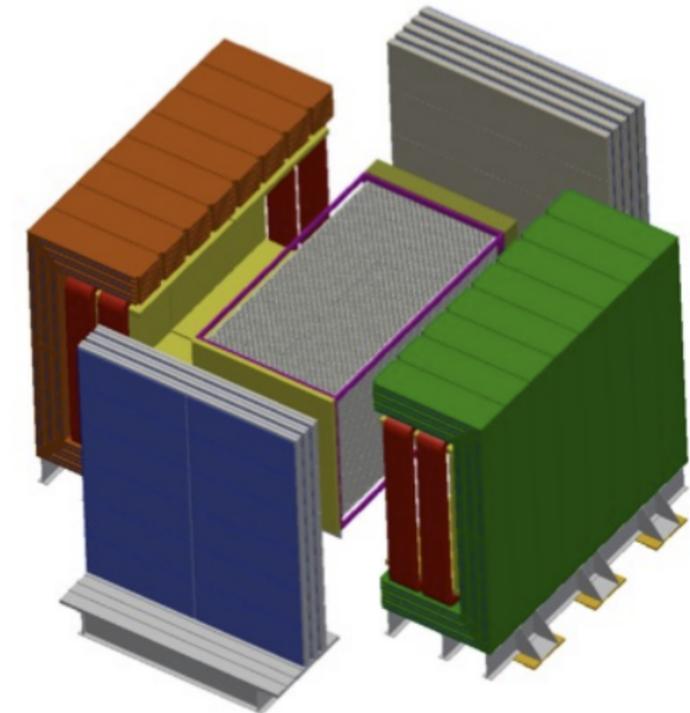
- The primary role:
  - provide strong constraints on the neutrino flux
  - improve understanding of cross-sections

needs to be capable of identifying and characterising neutrino interactions in Ar as well as in various other targets

- Detailed layout and technological choices still open - the elements:
  - a non-magnetised LAr TPC
  - a multi-purpose detector with a capable tracking system that acts as a target, surrounded by EM calorimetry and muon detectors in a magnetic field
- Additional technology and approach:  
3D scintillator tracker, PRISM concept, ...



High pressure TPC



Straw tube tracker

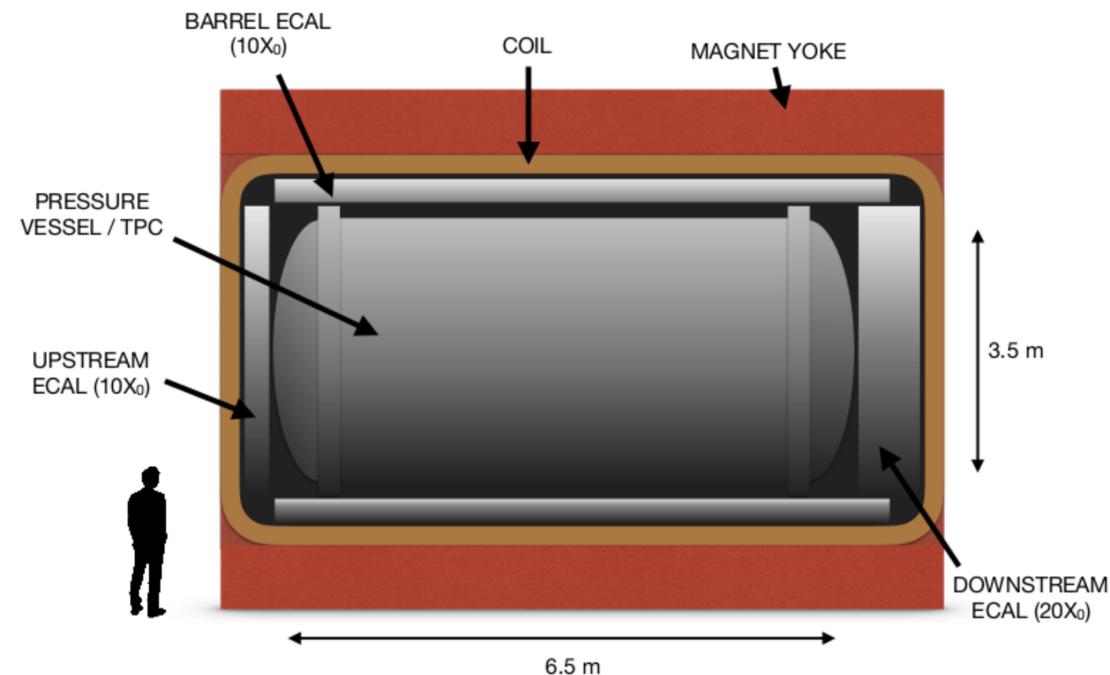
# The DUNE Near Detector

## Introduction

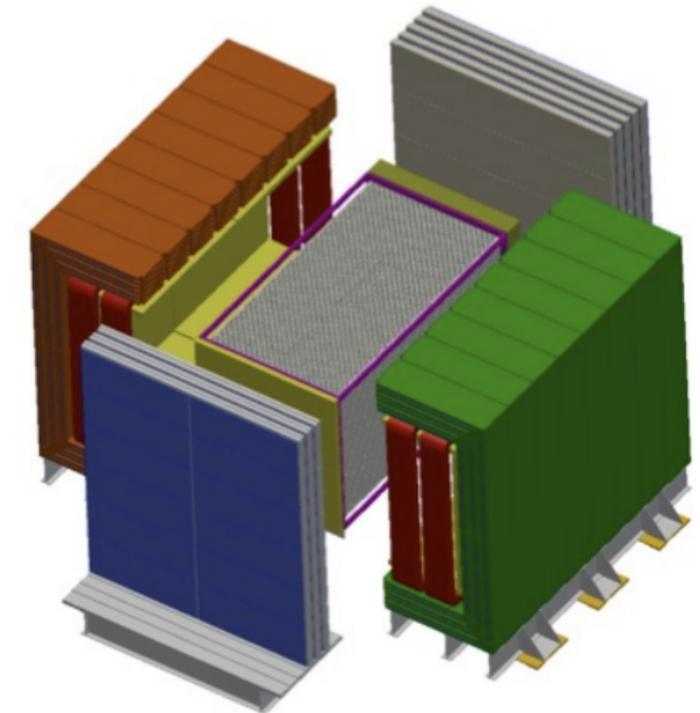
- The primary role:
  - provide strong constraints on the neutrino flux
  - improve understanding of cross-sections

needs to be capable of identifying and characterising neutrino interactions in Ar as well as in various other targets

- Detailed layout and technological choices still open - the elements:
  - a non-magnetised LAr TPC
  - a multi-purpose detector with a capable tracking system that acts as a target, surrounded by EM calorimetry and muon detectors in a magnetic field
- Additional technology and approach:  
3D scintillator tracker, PRISM concept, ...



High pressure TPC



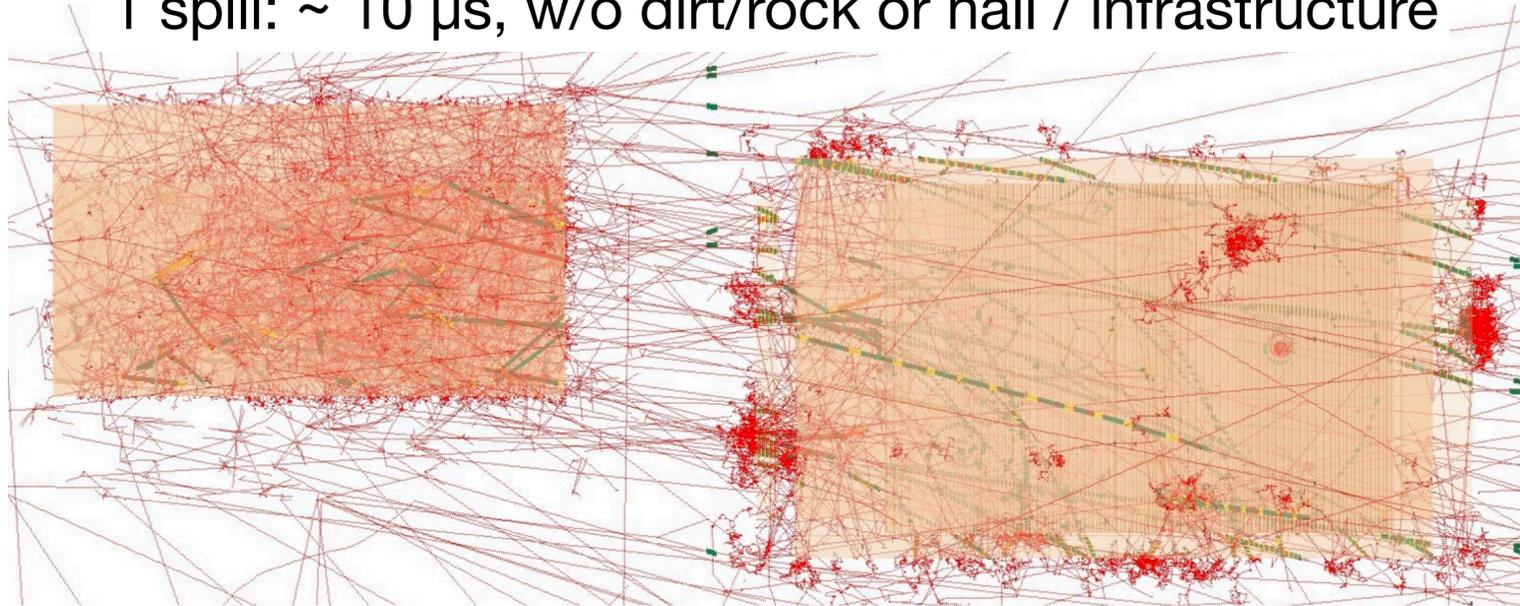
Straw tube tracker

The ECAL studies shown here are primarily performed in the context of a HP-TPC, but are also valid for other options

# The DUNE Near Detector

## Requirements for Calorimetry

1 spill:  $\sim 10 \mu\text{s}$ , w/o dirt/rock or hall / infrastructure



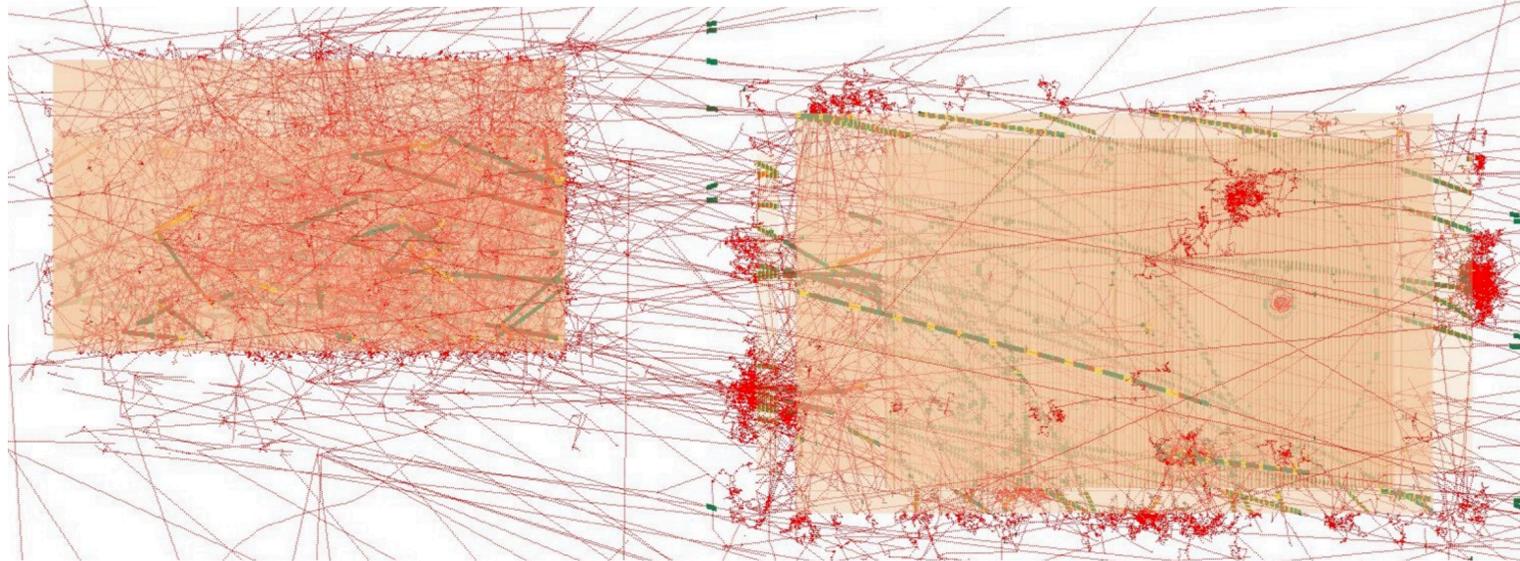
Here: DUNE ND with LAr TPCs, magnetised tracker + ECAL

- Overall conditions in the DUNE near detector:
  - pile-up: multiple interactions per spill
  - in contrast to collider detectors:
    - interactions happen everywhere
    - fixed-target geometry: higher-energy particles tend to go forward

# The DUNE Near Detector

## Requirements for Calorimetry

1 spill:  $\sim 10 \mu\text{s}$ , w/o dirt/rock or hall / infrastructure

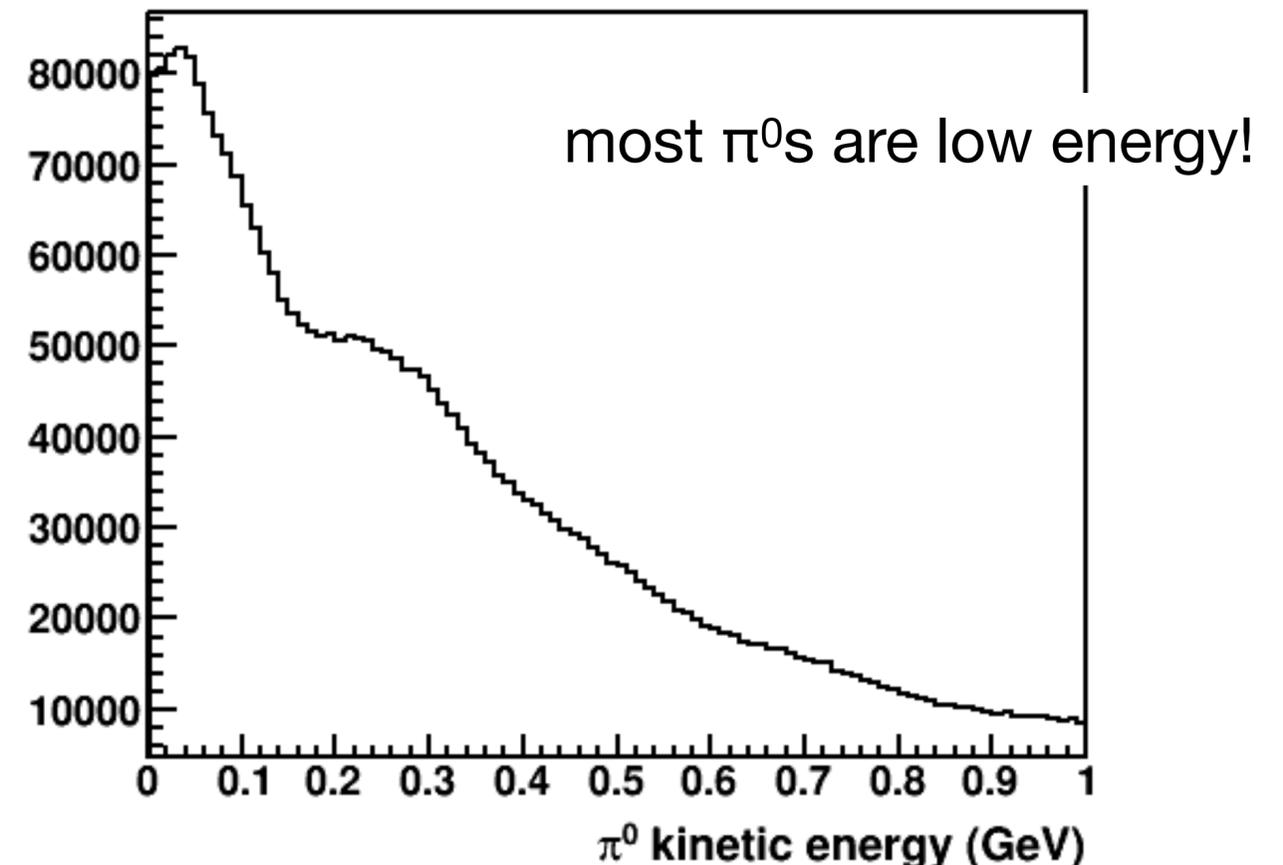


Here: DUNE ND with LAr TPCs, magnetised tracker + ECAL

### **The role of the ECAL:**

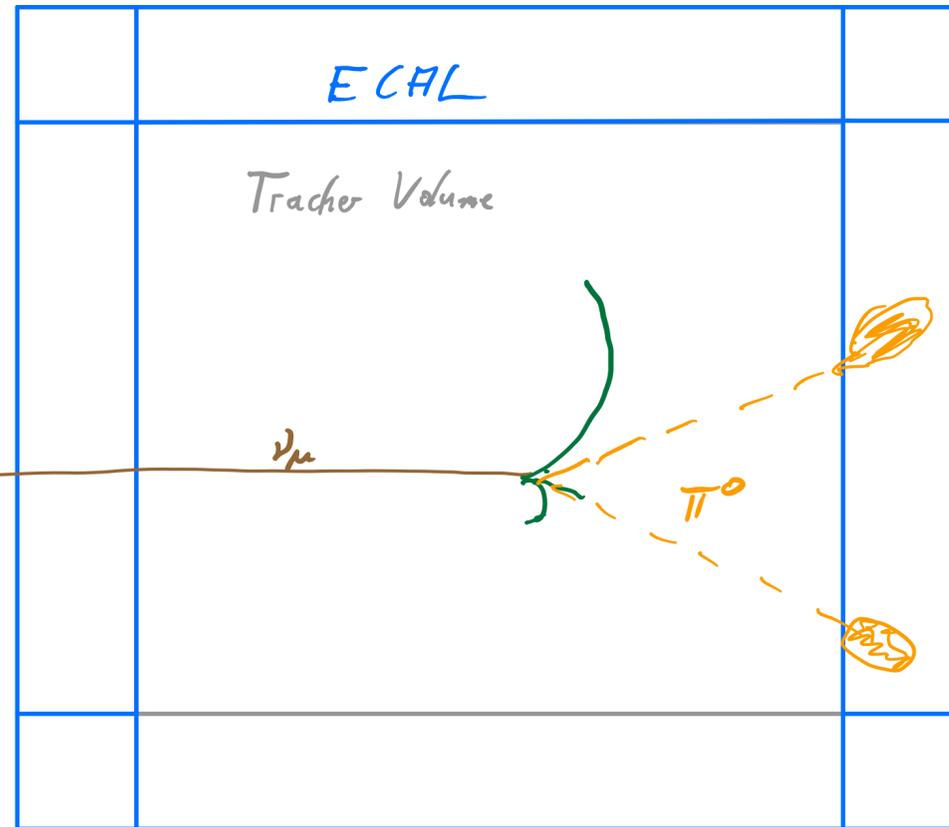
- Detect  $\pi^0$  produced in neutrino interactions
- Important contribution to energy reconstruction
- Understanding of  $\nu_\mu$  NC background to  $\nu_e$  CC appearance signal
- Detect photons and neutrons, track muons
- Possibly provide event time stamps

- Overall conditions in the DUNE near detector:
  - pile-up: multiple interactions per spill
  - in contrast to collider detectors:
    - interactions happen everywhere
    - fixed-target geometry: higher-energy particles tend to go forward



# A highly granular Near Detector ECAL Concept

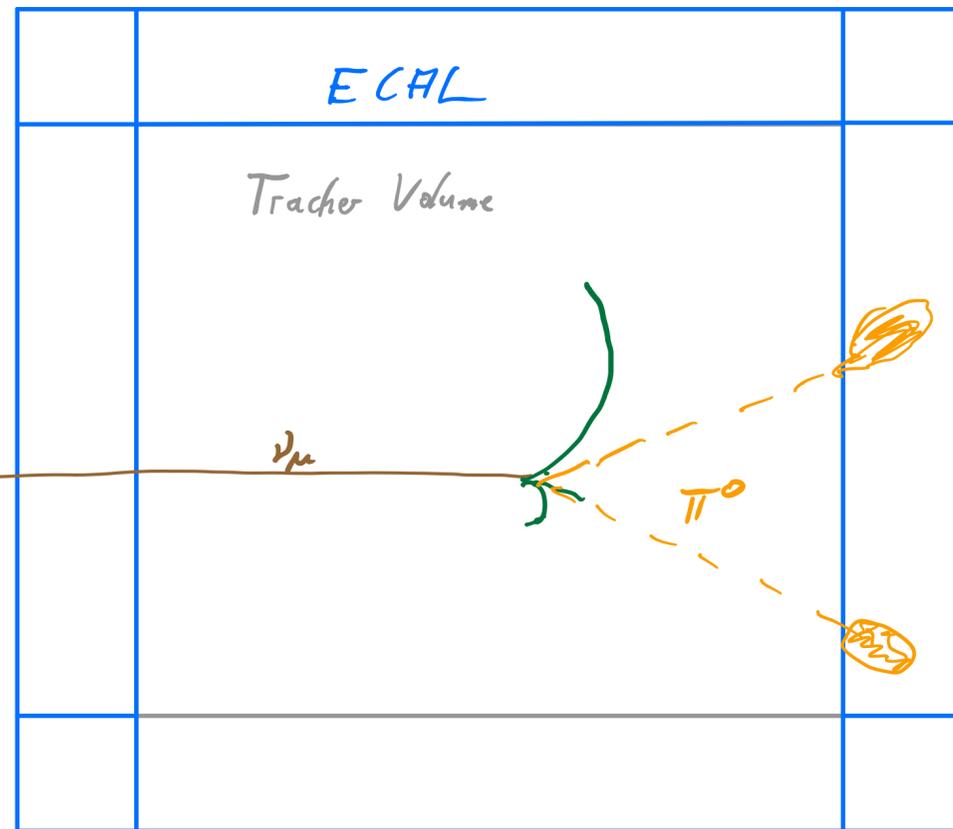
## Benefits and general Idea



- The capability for (reasonably) accurate pointing of photon showers has the potential to substantially improve  $\pi^0$  reconstruction
  - particularly important for low density trackers (such as HP-TPC), where conversion probability is low
- Granularity improves background rejection, and the identification of additional neutrino interactions in the ECAL volume
- Matching of particle tracks in tracker to calorimeter: Can be used to determine event time precisely

# A highly granular Near Detector ECAL Concept

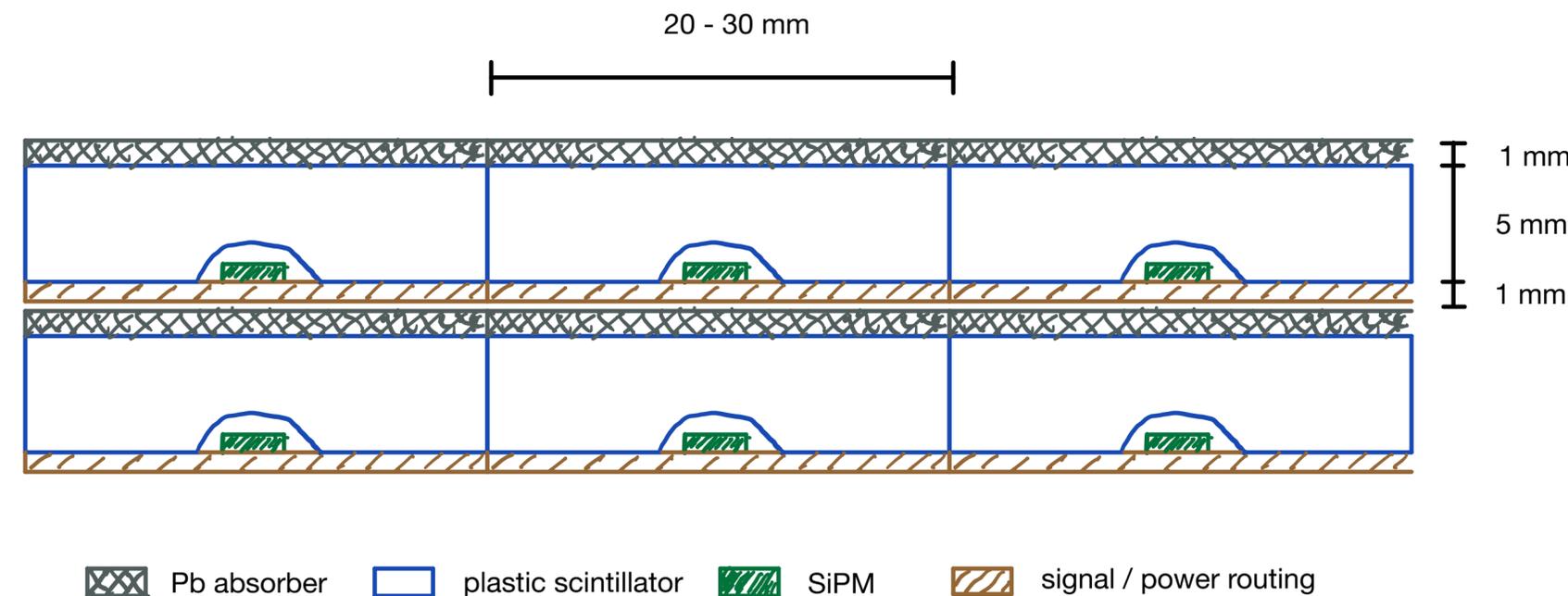
## Benefits and general Idea

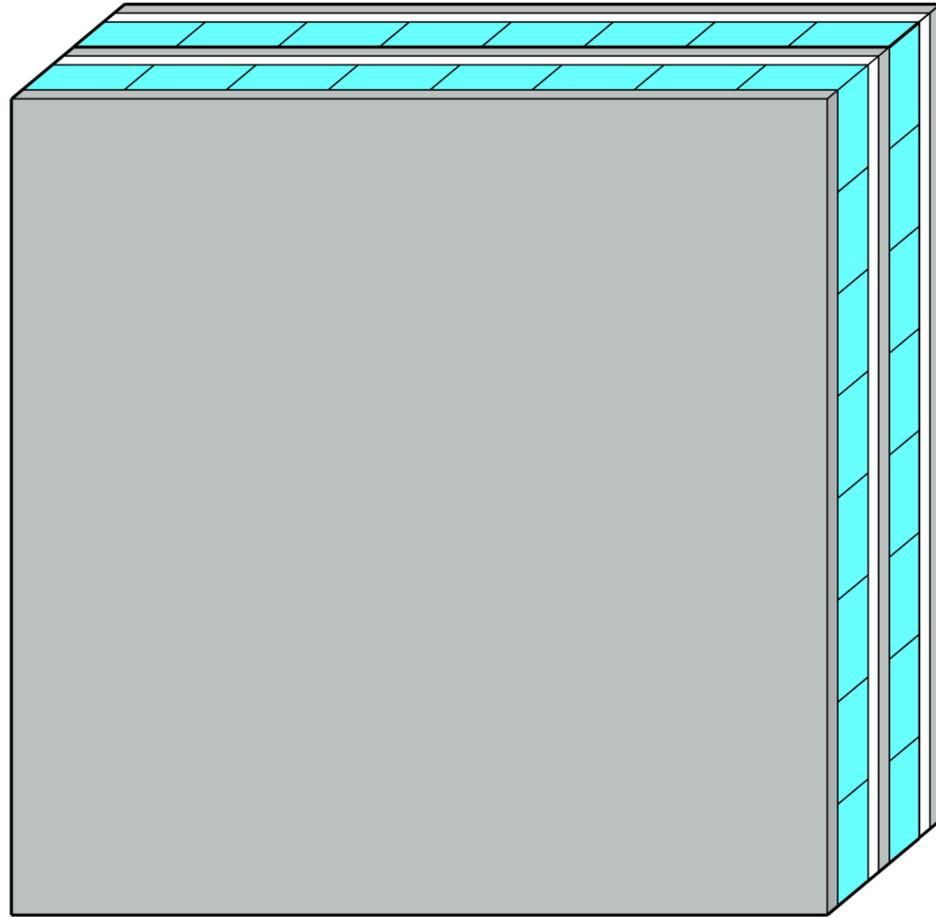


- The capability for (reasonably) accurate pointing of photon showers has the potential to substantially improve  $\pi^0$  reconstruction
  - particularly important for low density trackers (such as HP-TPC), where conversion probability is low
- Granularity improves background rejection, and the identification of additional neutrino interactions in the ECAL volume
- Matching of particle tracks in tracker to calorimeter: Can be used to determine event time precisely

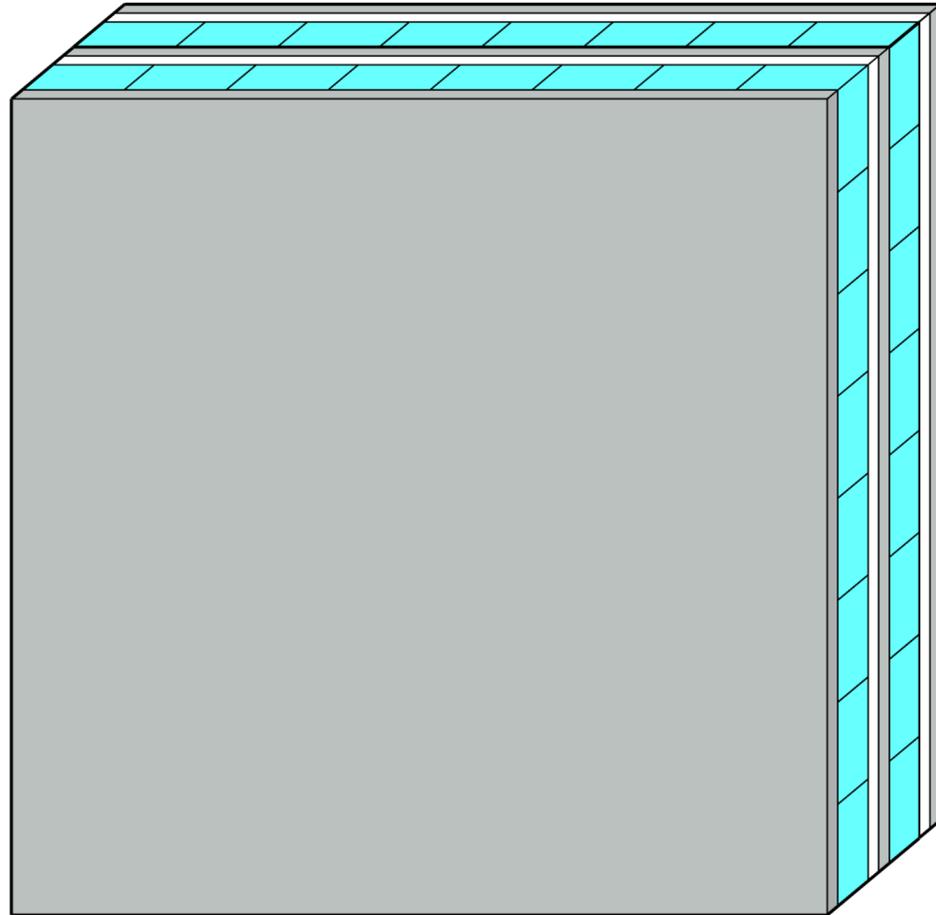
*First naive concept:* Scintillator tiles with SiPM readout

- Well-established technology for hadron calorimeters (22k channel CALICE prototype)
- ⇒ Reduced absorber thickness and changed material, different electronics concept, more stringent uniformity requirements





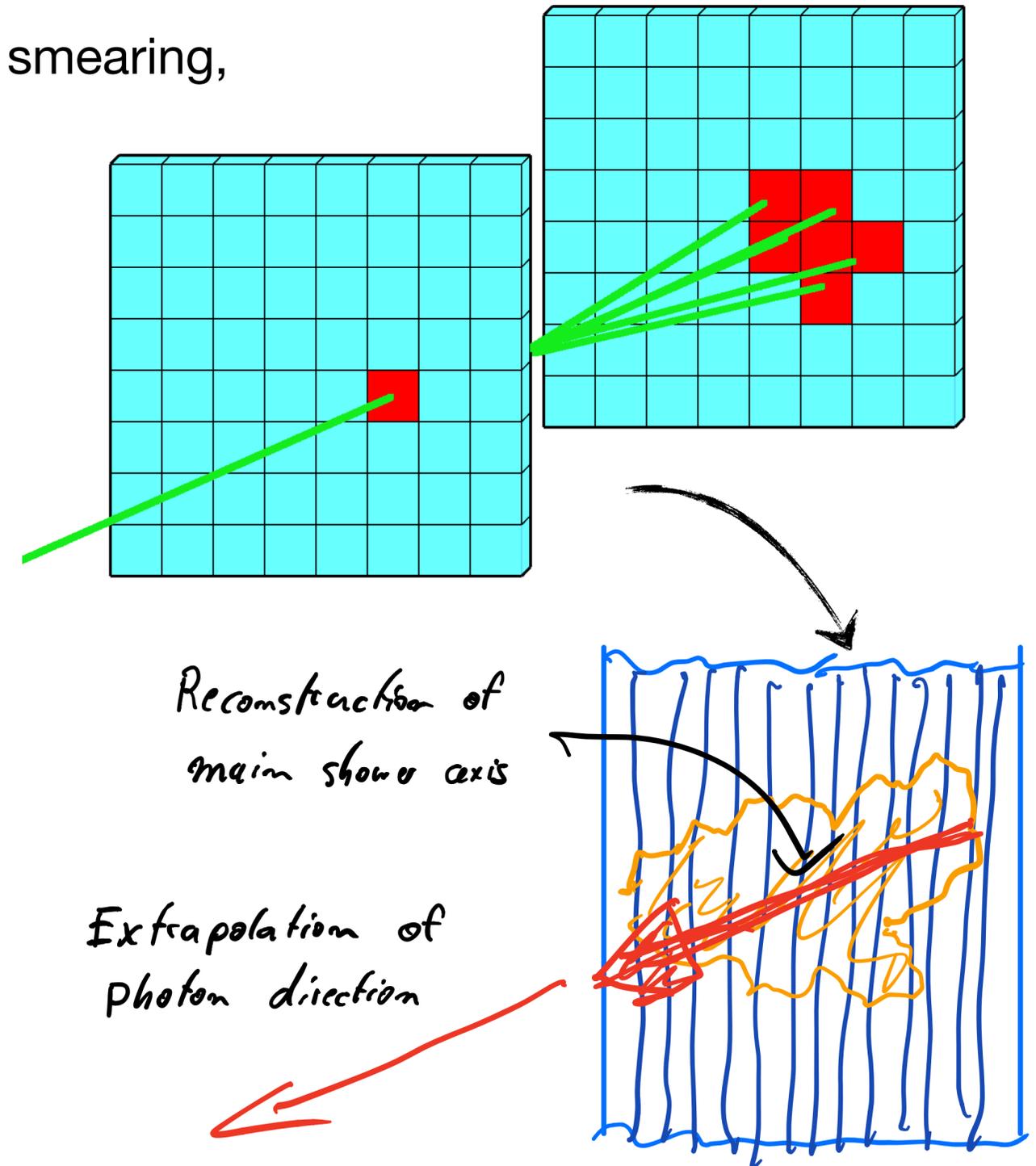
- A simplified model of the calorimeter - implemented & simulated in GEANT4
- 1 layer implemented as
  - absorber plate: Pb or Cu, 1 mm or 2mm
  - 5 mm plastic scintillator - in software subdivided into cells with varying size
  - 1 mm air (assuming low-density layer for signal routing)
- Simplified digitization to model detector effects
  - amplitude smearing to account for electronic noise, photon statistics (~ 20% of a MIP signal as  $\sigma$ )
  - amplitude cut on each cell - 0.15 x MPV of a MIP
- The full detector: 80 layers (~ 16  $X_0$  when using 1mm Pb / 2 mm Cu)
- Also considering scenarios with an ECAL split into two segments, with a HP TPC pressure vessel separating the two



- A simplified model of the calorimeter - implemented & simulated in GEANT4
- 1 layer implemented as
  - absorber plate: Pb or Cu, 1 mm or 2mm
  - 5 mm plastic scintillator - in software subdivided into cells with varying size
  - 1 mm air (assuming low-density layer for signal routing)
- Simplified digitization to model detector effects
  - amplitude smearing to account for electronic noise, photon statistics (~ 20% of a MIP signal as  $\sigma$ )
  - amplitude cut on each cell - 0.15 x MPV of a MIP
- The full detector: 80 layers (~ 16  $X_0$  when using 1mm Pb / 2 mm Cu)
- Also considering scenarios with an ECAL split into two segments, with a HP TPC pressure vessel separating the two

General remark: Dead material, detector effects only rudimentarily implemented, non-uniformities completely ignored: Projected performance, in particular on energy resolution, overly optimistic

- For energy resolution: Take visible energy in calorimeter (with smearing, cell-level cuts applied); no clustering
- For direction of photons: A simple two-step approach:
  - principal component analysis of all detector hits to determine first estimate of shower axis
  - 3D line fit through layer-wise center of gravity using PCA as input to further improve estimate



Fits (energy & angular resolution):

$$\text{Resolution} = \sqrt{\left(\frac{A}{\sqrt{E[\text{GeV}]}}\right)^2 + \left(\frac{B}{E[\text{GeV}]}\right)^2 + C^2}$$

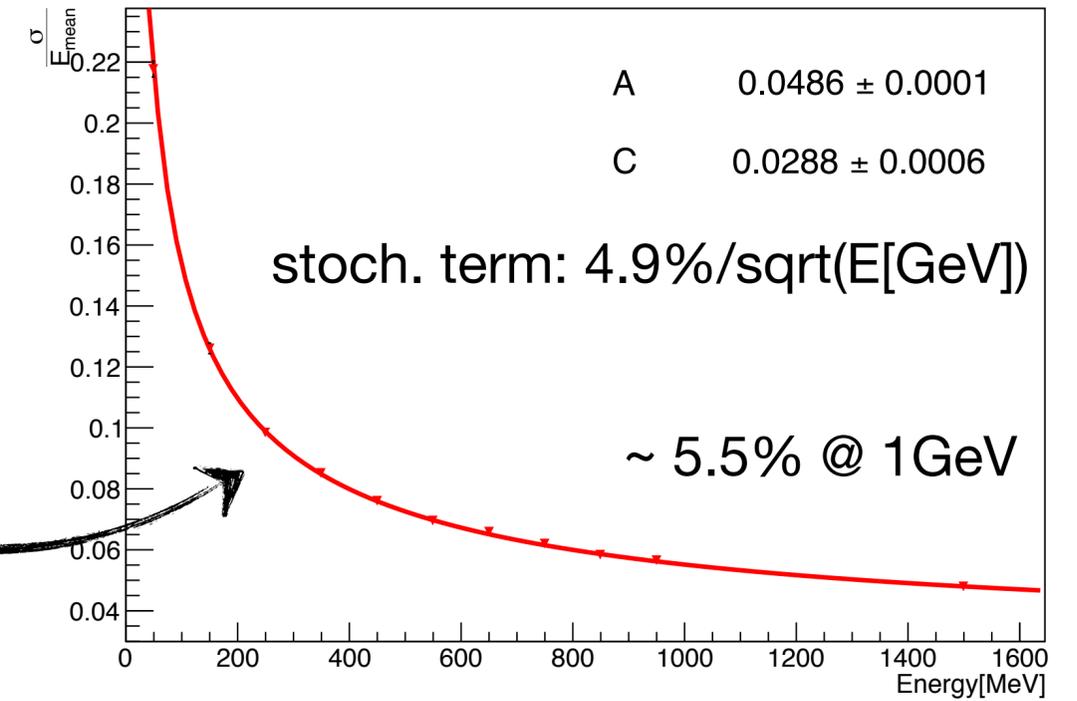
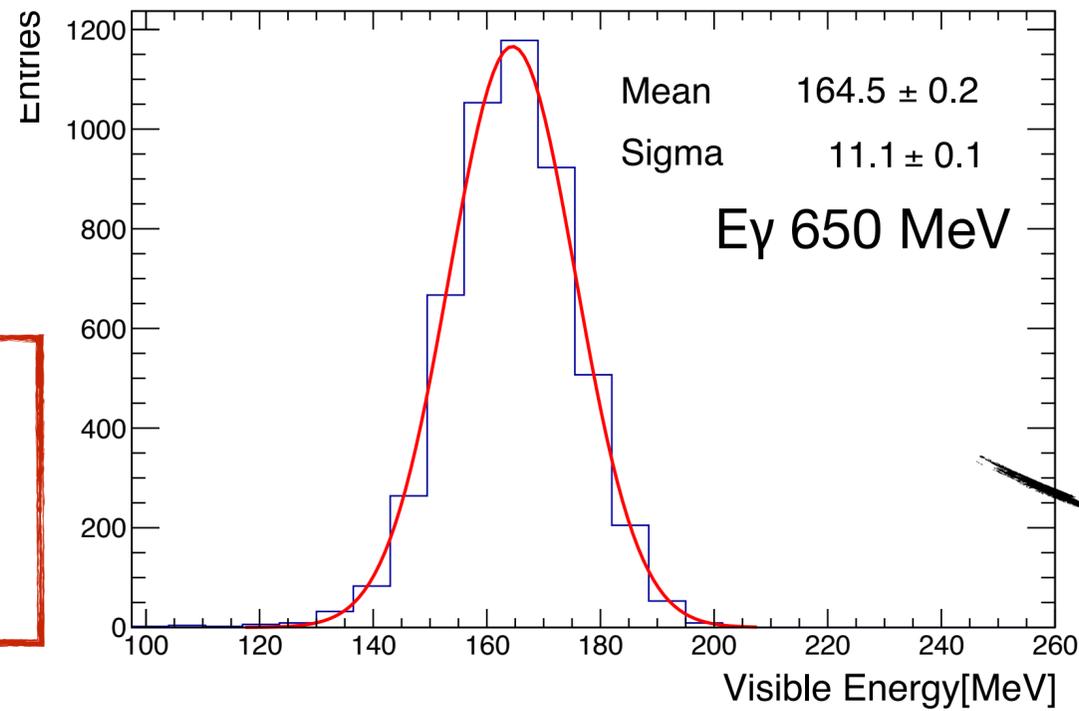
**N.B.:** Default detector geometry: 2 mm Cu / layer,  
20 x 20 mm<sup>2</sup> granularity in first 30 layers,  
40 x 40 mm<sup>2</sup> granularity outside

# Single Photons

## Performance Metrics -

- Energy resolution given by  $\sigma/\text{mean}$

Note: Over-optimistic - not all detector effects simulated!

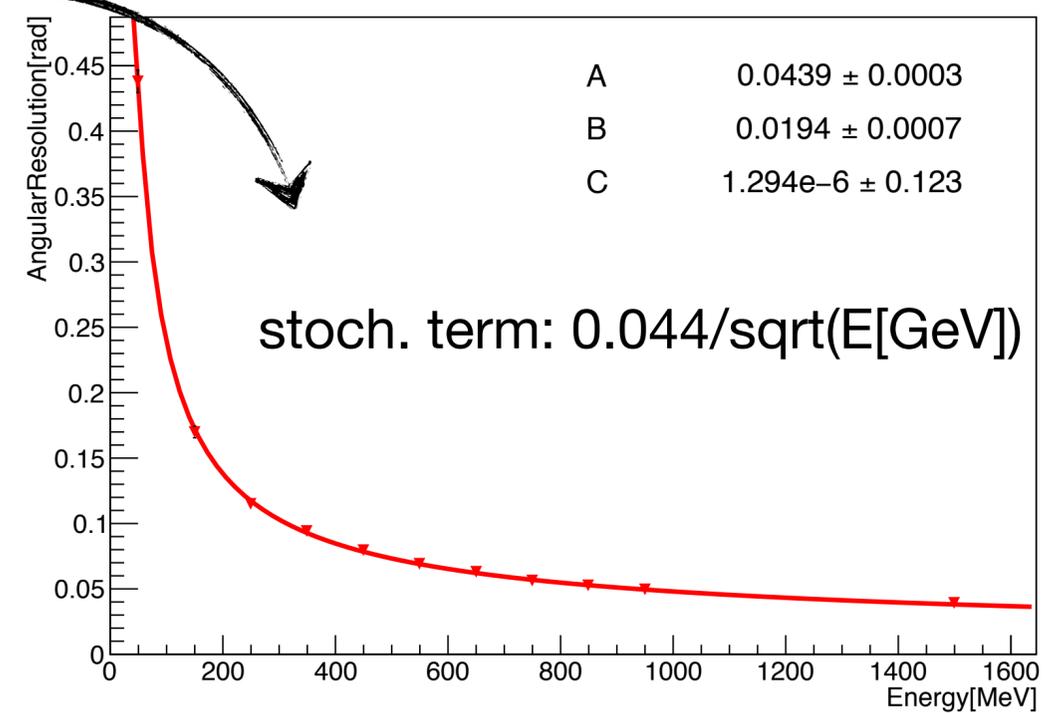
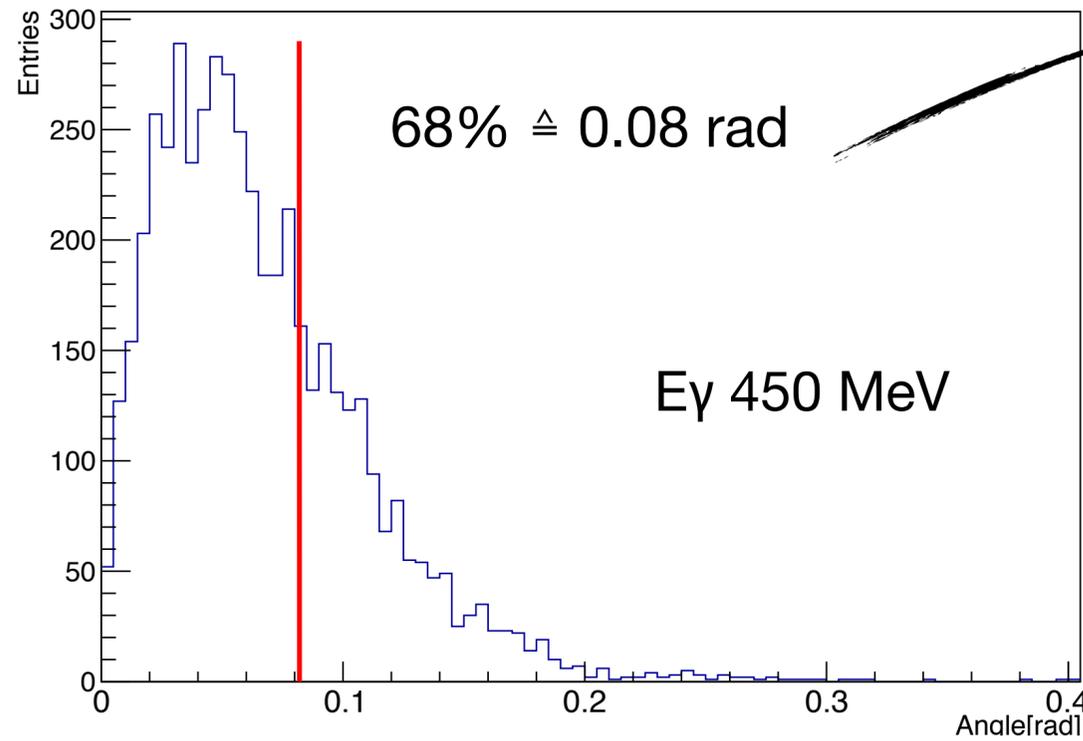
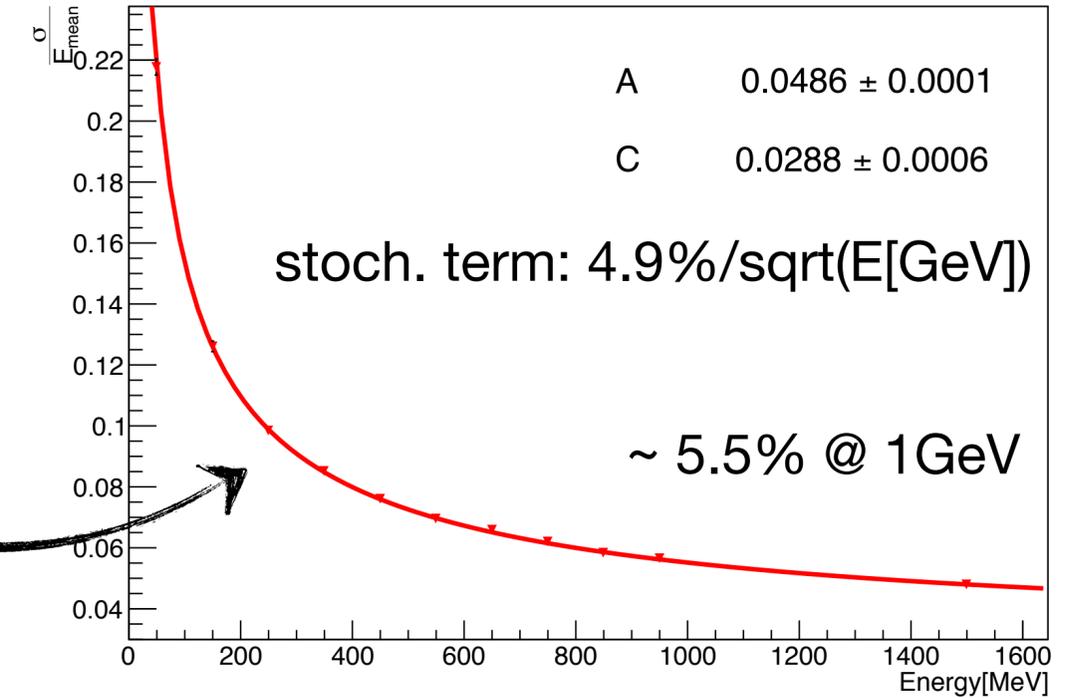
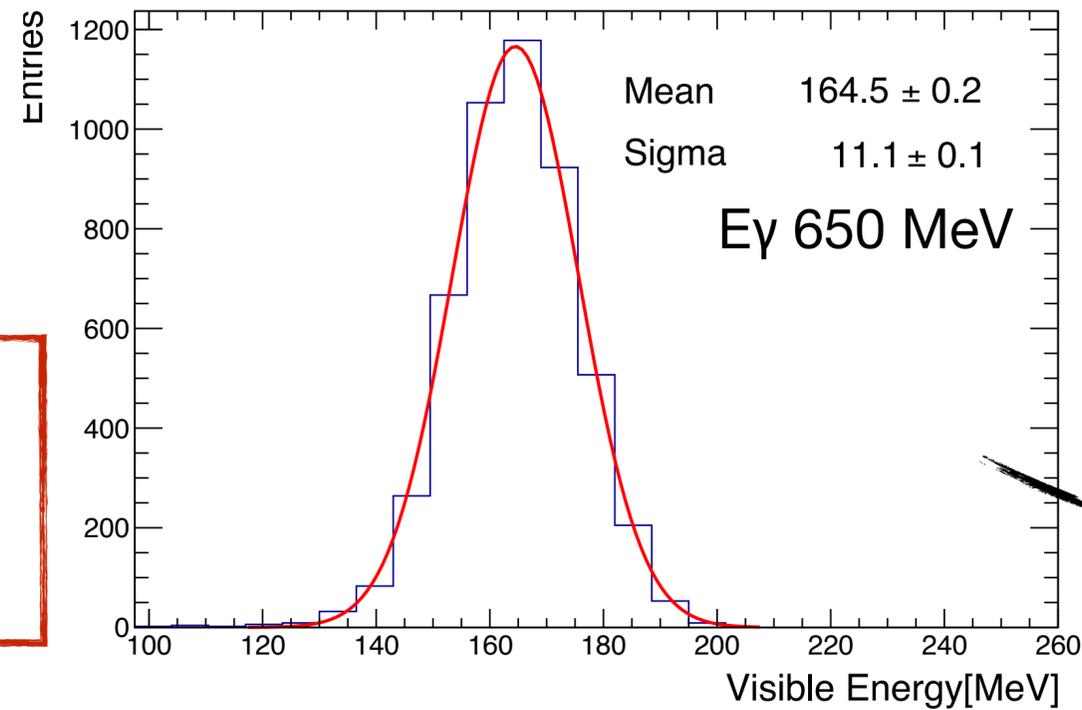


# Single Photons

## Performance Metrics -

- Energy resolution given by  $\sigma/\text{mean}$

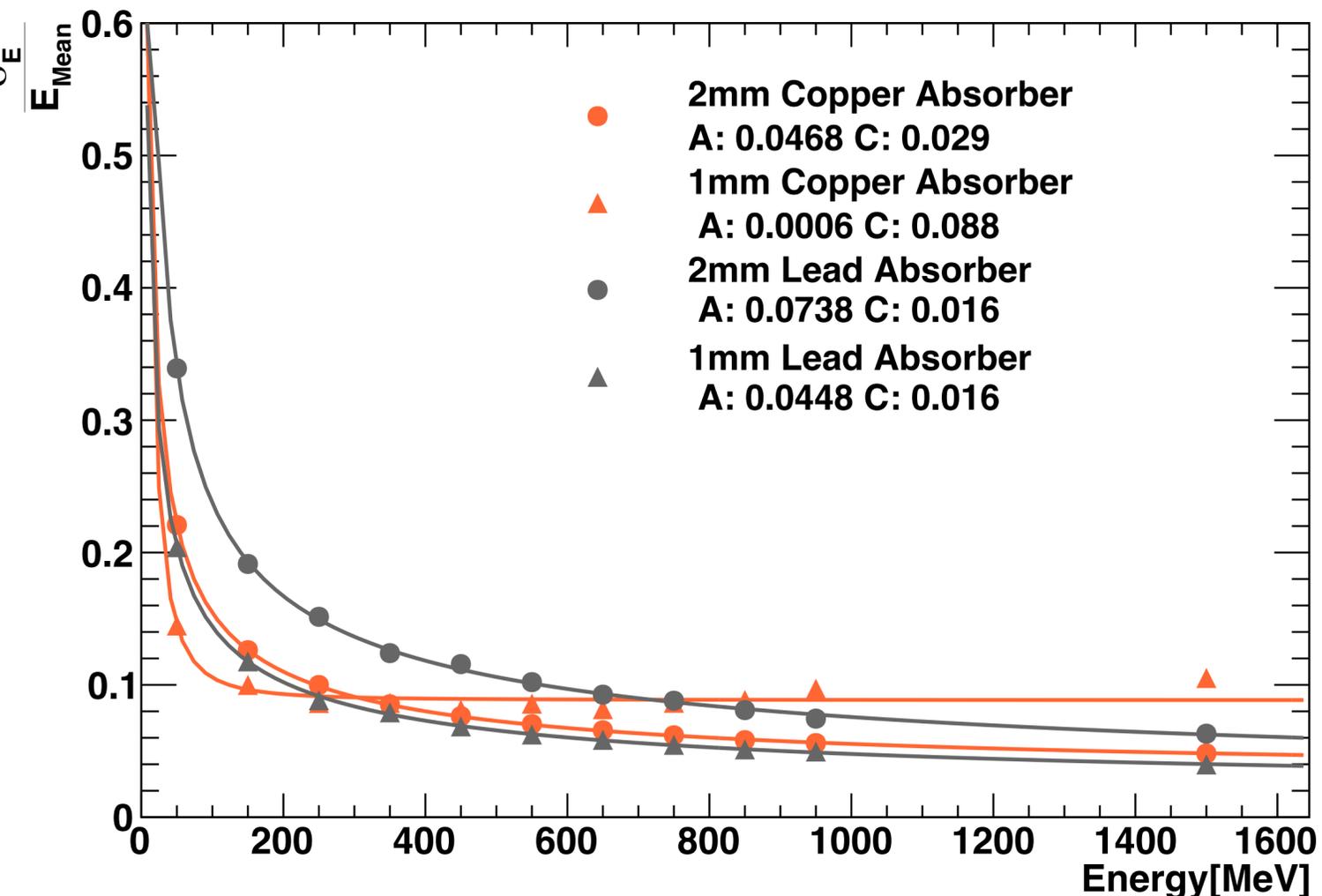
Note: Over-optimistic - not all detector effects simulated!



- Angular resolution given by 68%ile

# Energy Resolution: Dependence on Absorber Material

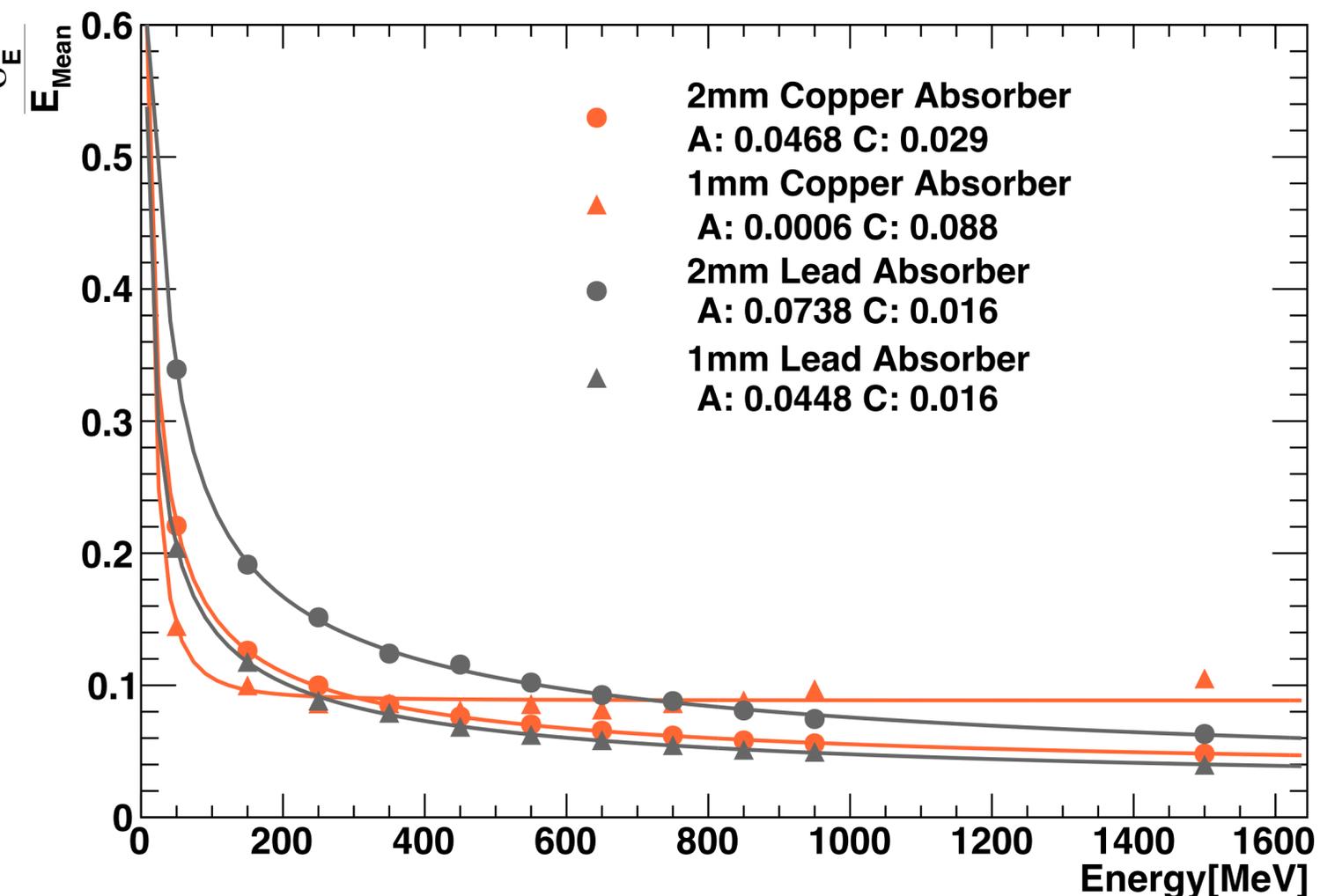
## Understanding Performance Drivers



- Choice of absorber material and thickness drives sampling fraction & overall depth of calorimeter
- Low energies profit from thinnest possible absorbers
- Acceptable performance beyond a few 100 MeV requires adequate thickness in  $X_0$

# Energy Resolution: Dependence on Absorber Material

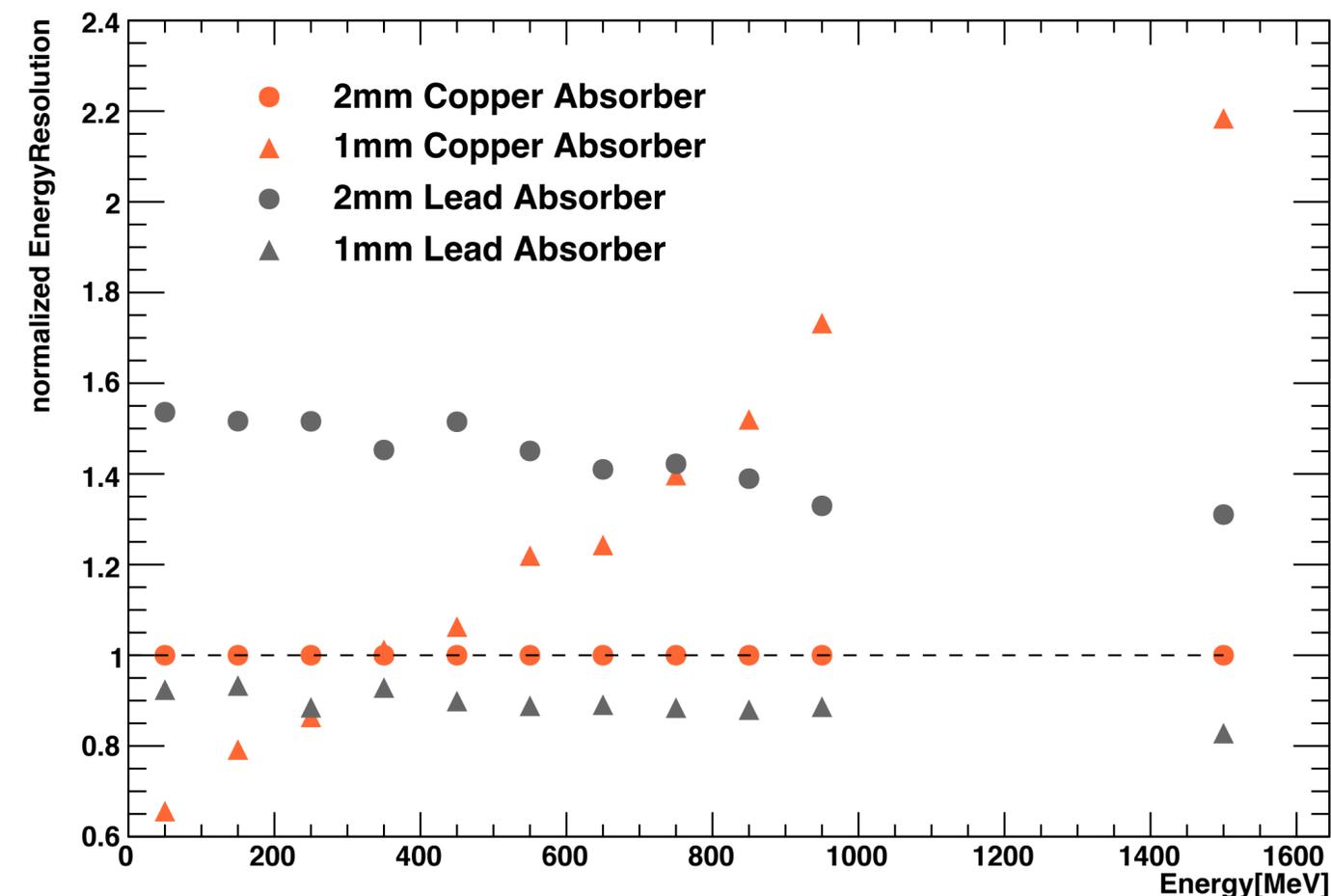
## Understanding Performance Drivers



- Choice of absorber material and thickness drives sampling fraction & overall depth of calorimeter
- Low energies profit from thinnest possible absorbers
- Acceptable performance beyond a few 100 MeV requires adequate thickness in  $X_0$

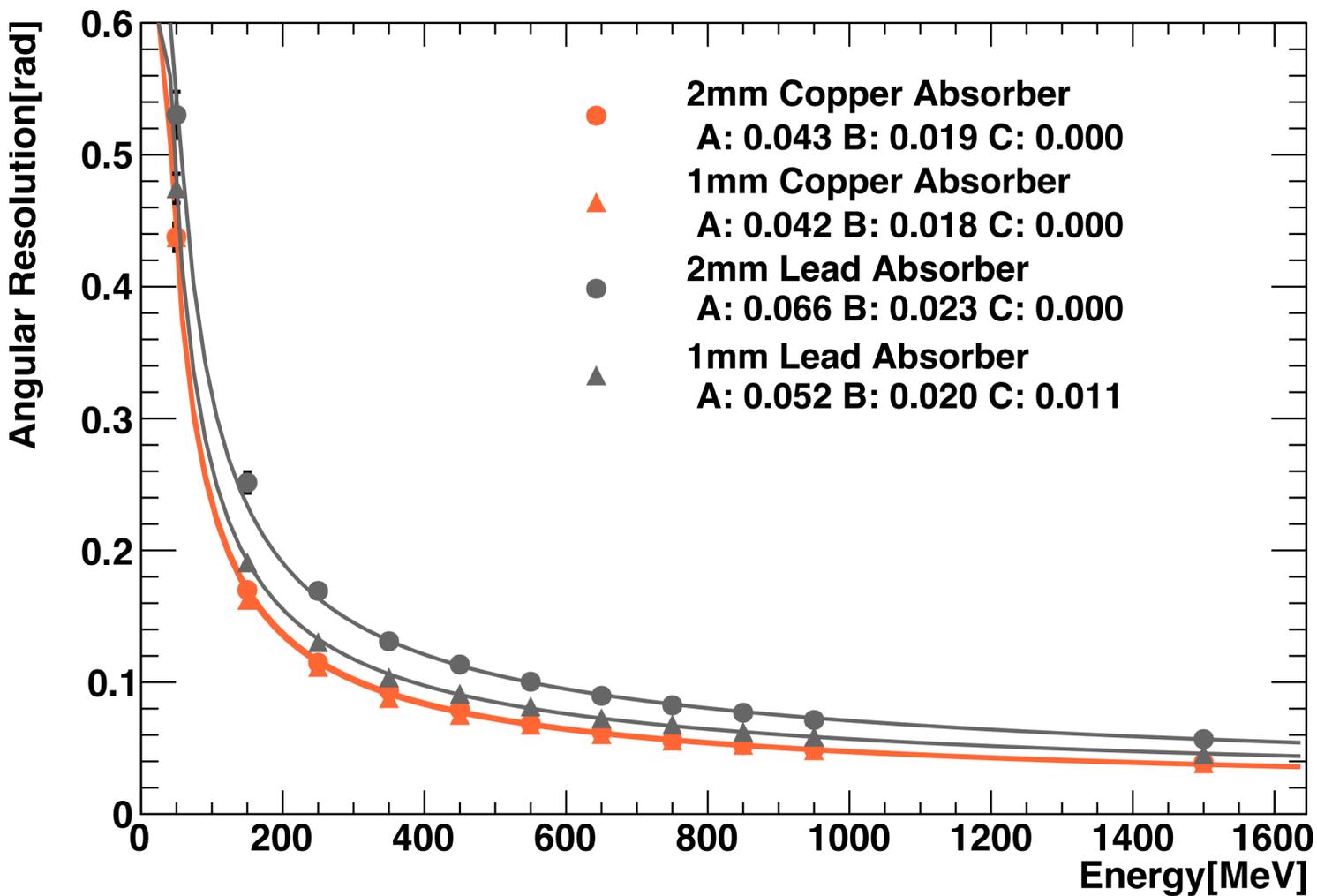
- Best energy resolution for 1 mm Pb, 2 mm Cu roughly 10% worse
- 2 mm Pb absorber costly for low energies

NB: More realistic detector effects will deteriorate resolution (maybe a 20% - 30% effect): Washes out differences!



# Pointing Resolution: Dependence on Absorber Material

*Understanding Performance Drivers*

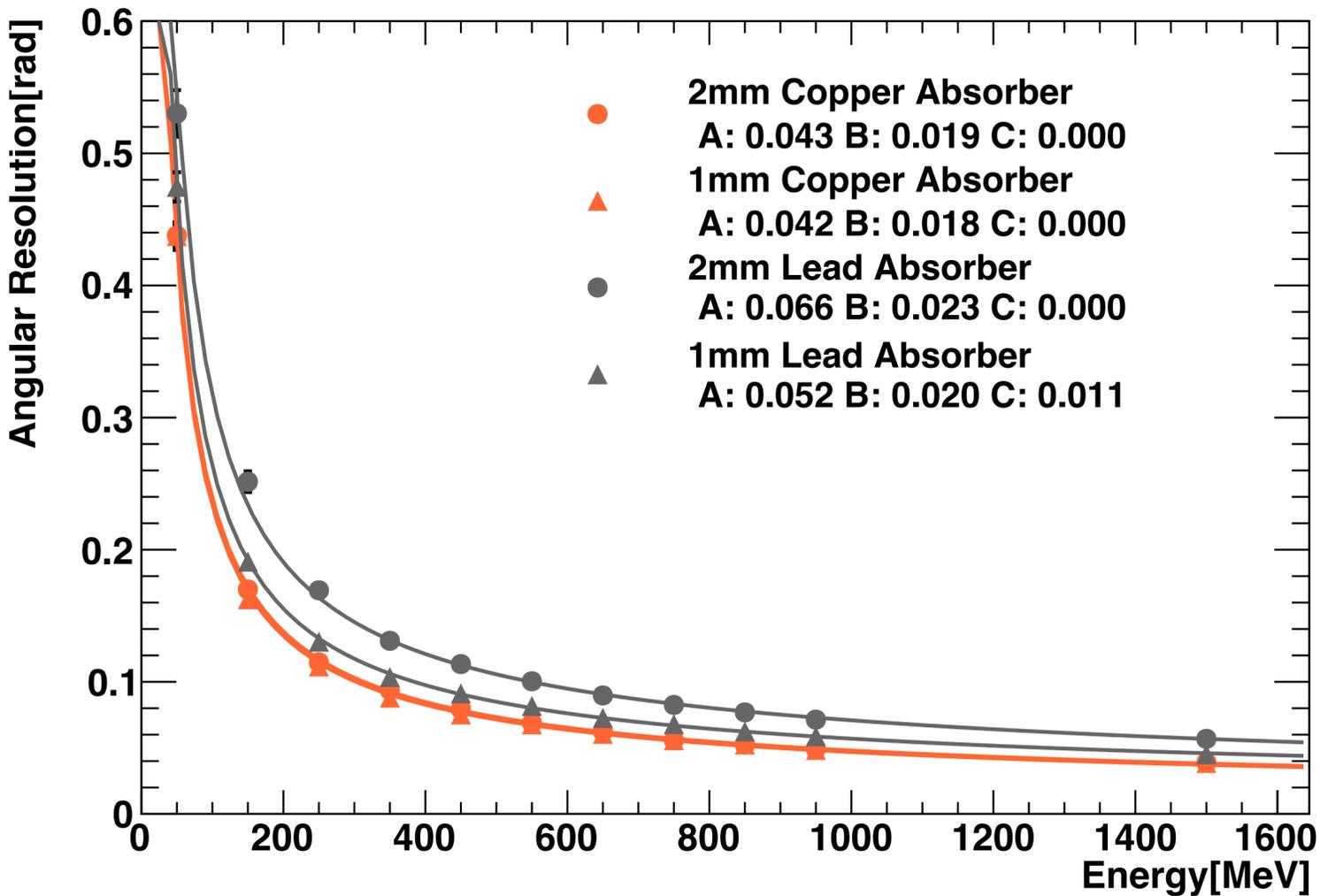


- Choice of absorber material influences shower size (laterally and longitudinally)
- Pointing accuracy profits from narrow and long showers (and frequent sampling)

always 20 x 20 mm<sup>2</sup> granularity in first 30 layers

# Pointing Resolution: Dependence on Absorber Material

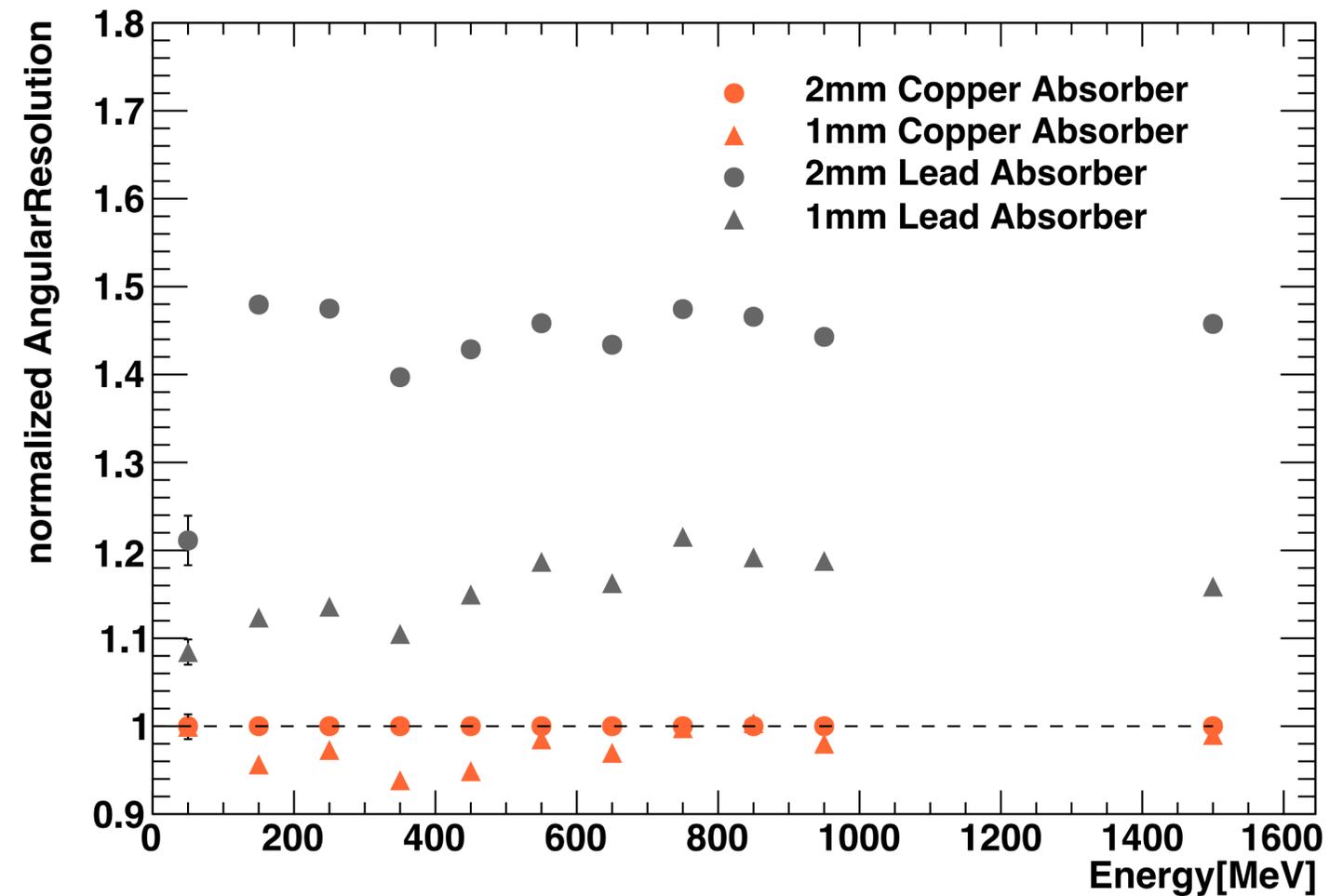
## Understanding Performance Drivers



- Choice of absorber material influences shower size (laterally and longitudinally)
- Pointing accuracy profits from narrow and long showers (and frequent sampling)

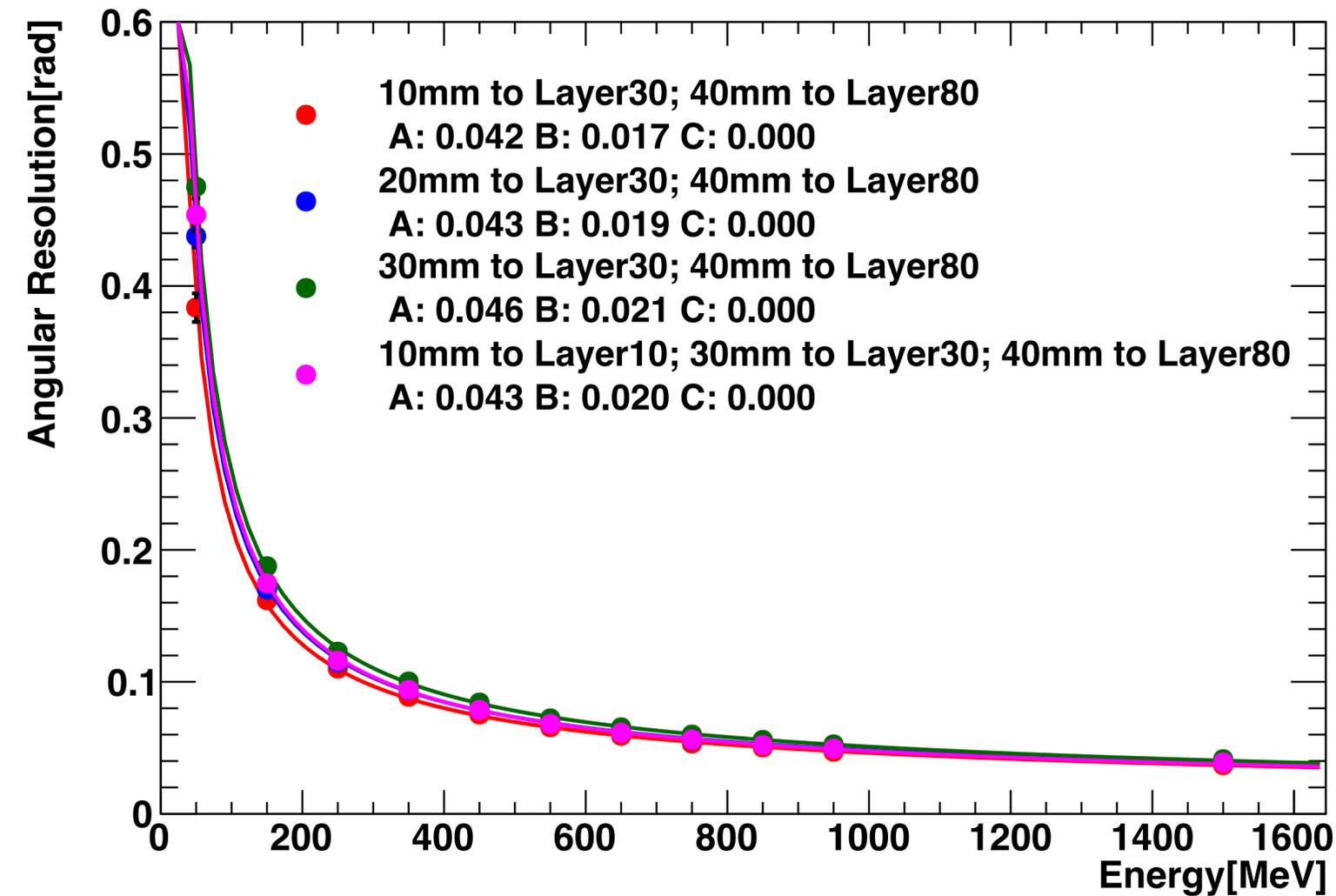
- Best pointing accuracy for Cu absorber - very little benefit when going from 2 mm to 1 mm thickness

always 20 x 20 mm<sup>2</sup> granularity in first 30 layers



# Pointing Resolution: Dependence on Granularity

*Understanding Performance Drivers*

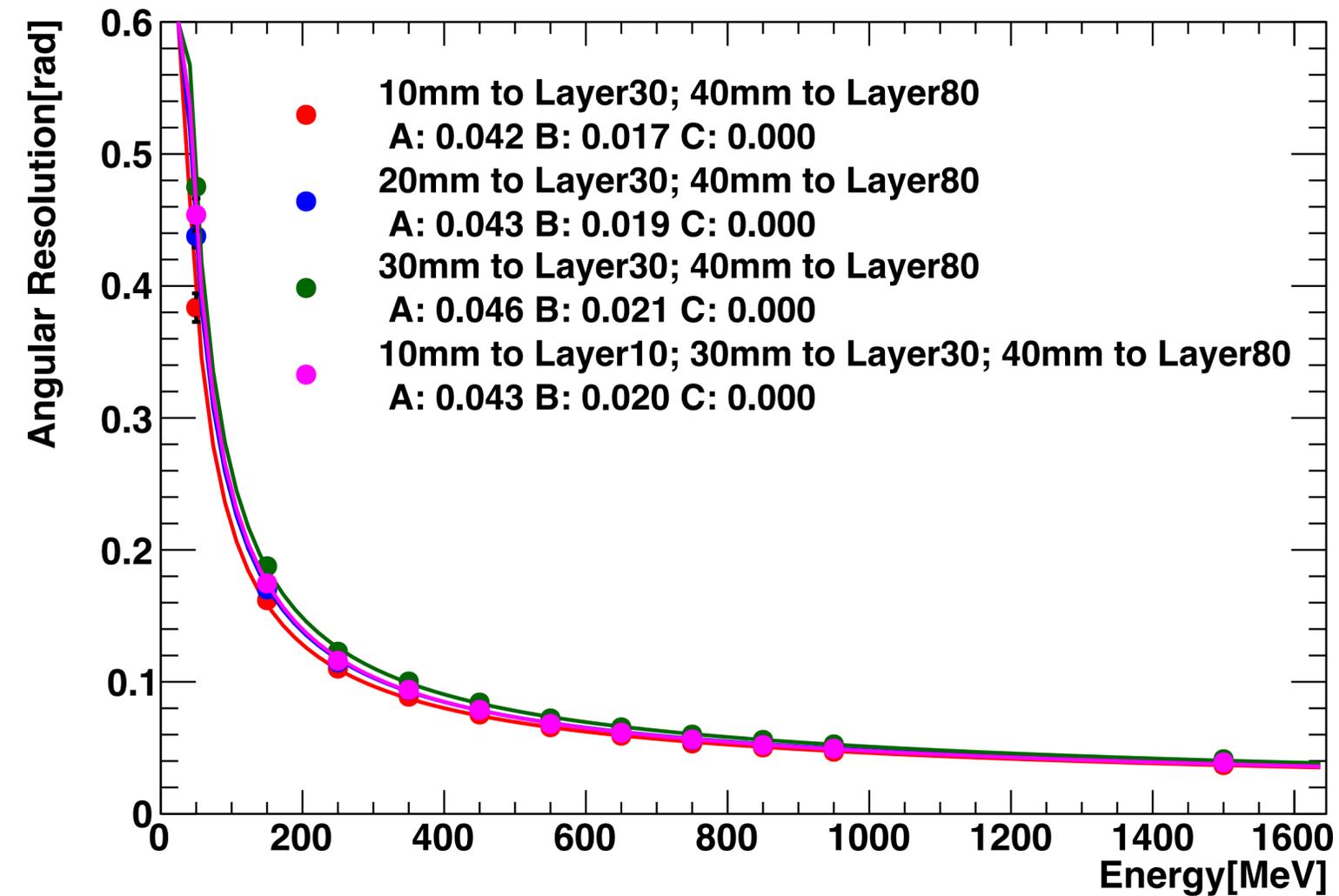


- Granularity influences angular resolution: Better spacial reconstruction of shower for finer granularity

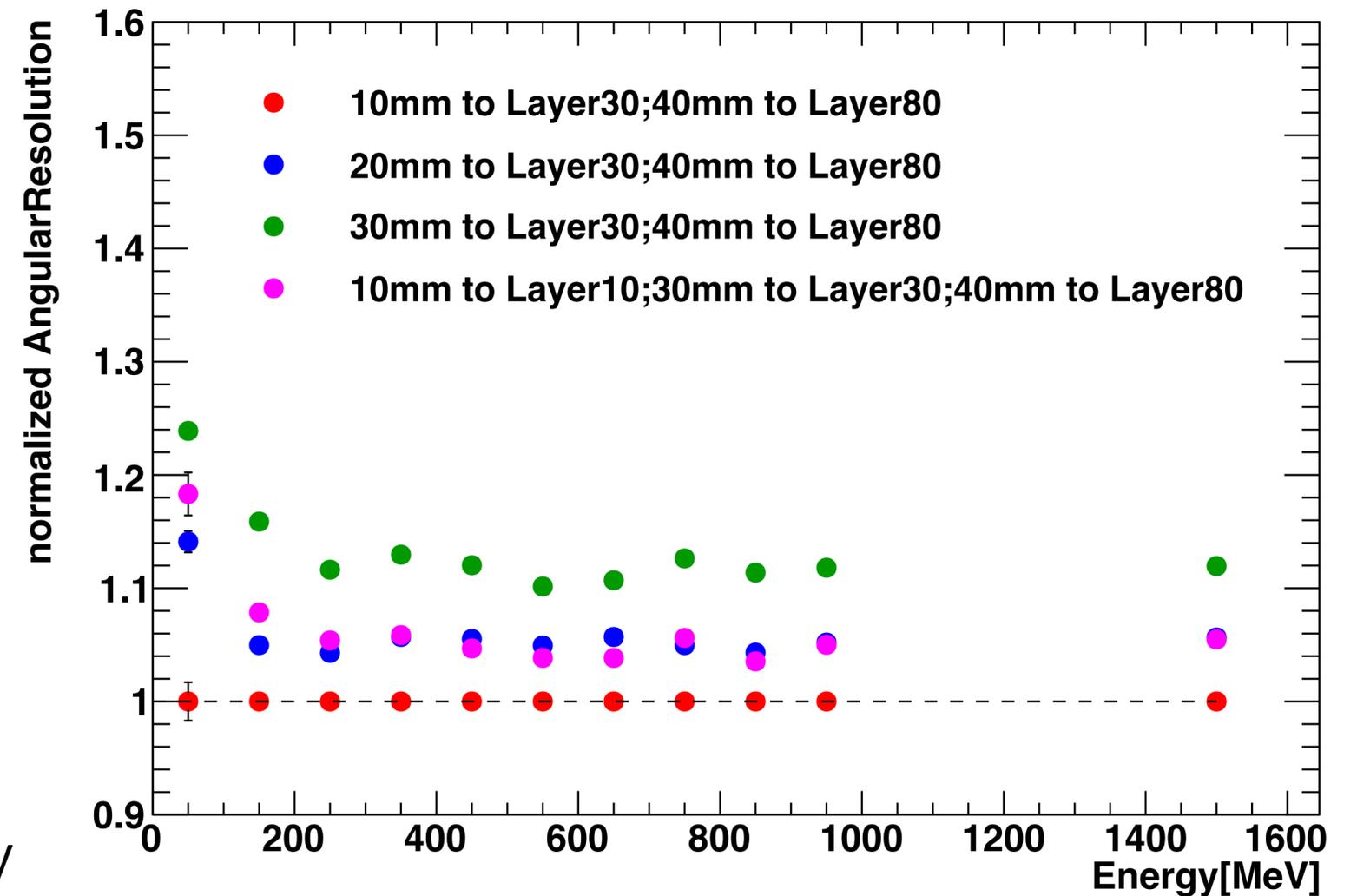
always 2 mm Cu absorber

# Pointing Resolution: Dependence on Granularity

Understanding Performance Drivers



- Granularity influences angular resolution: Better spacial reconstruction of shower for finer granularity



- Granularity particularly beneficial in first layers - gain starts to diminish below 20 x 20 mm<sup>2</sup> at higher energy

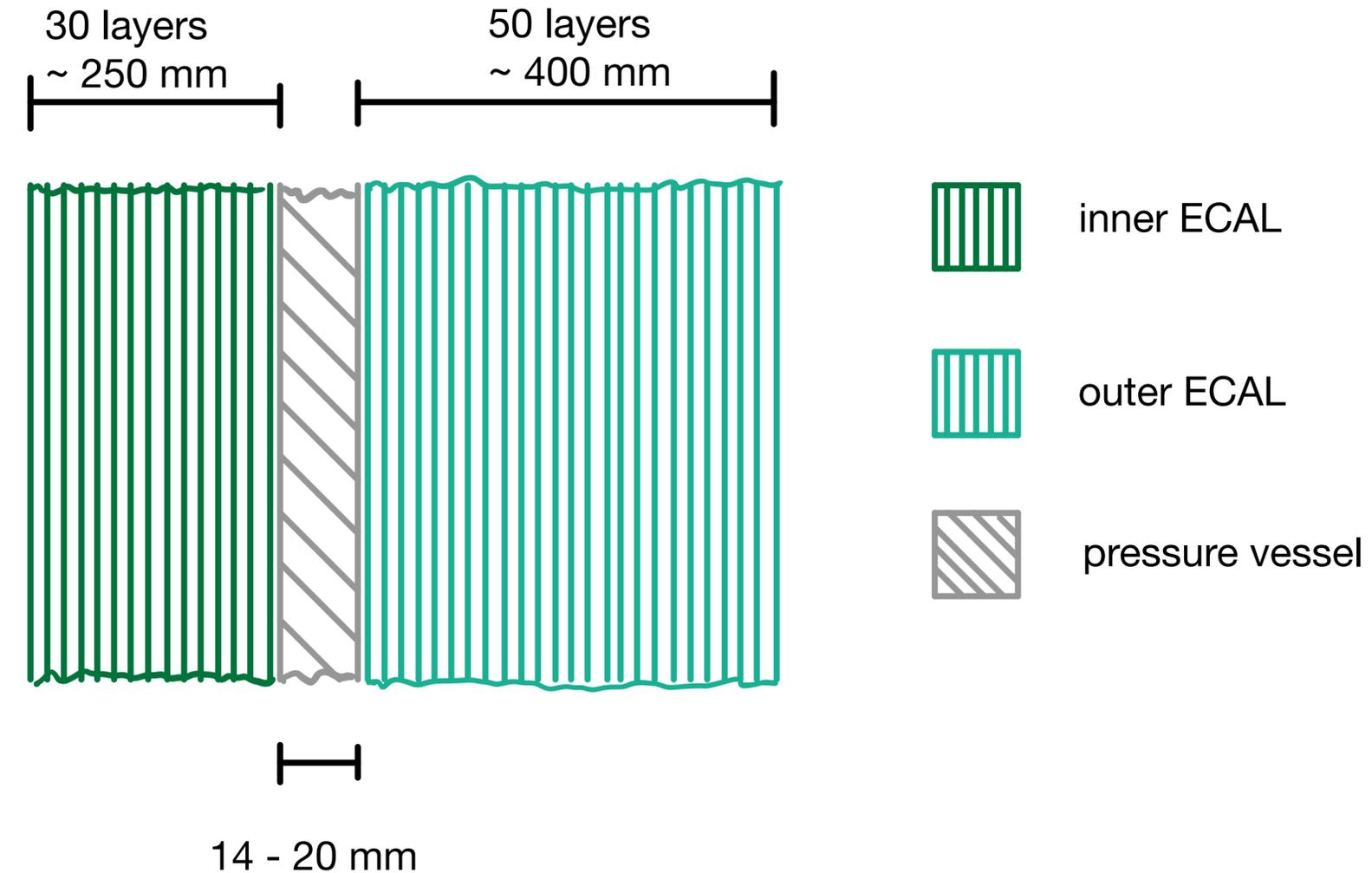
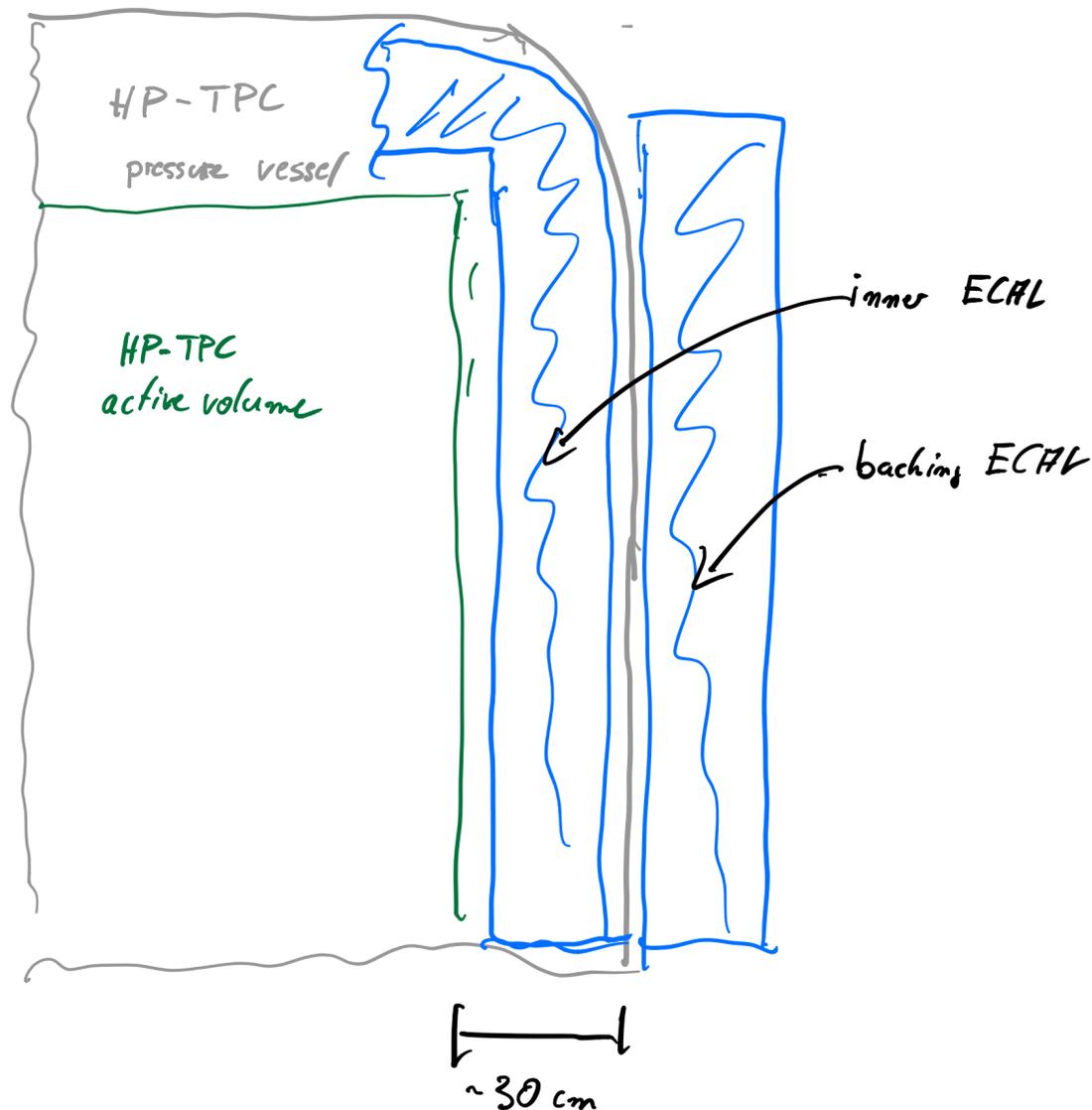
always 2 mm Cu absorber

- 20 x 20 mm<sup>2</sup> granularity and 1 mm Pb absorber comparable to 30 x 30 mm<sup>2</sup> with 2 mm Cu absorber

# Impact of a TPC Pressure Vessel in ECAL Volume

## Understanding Performance Drivers

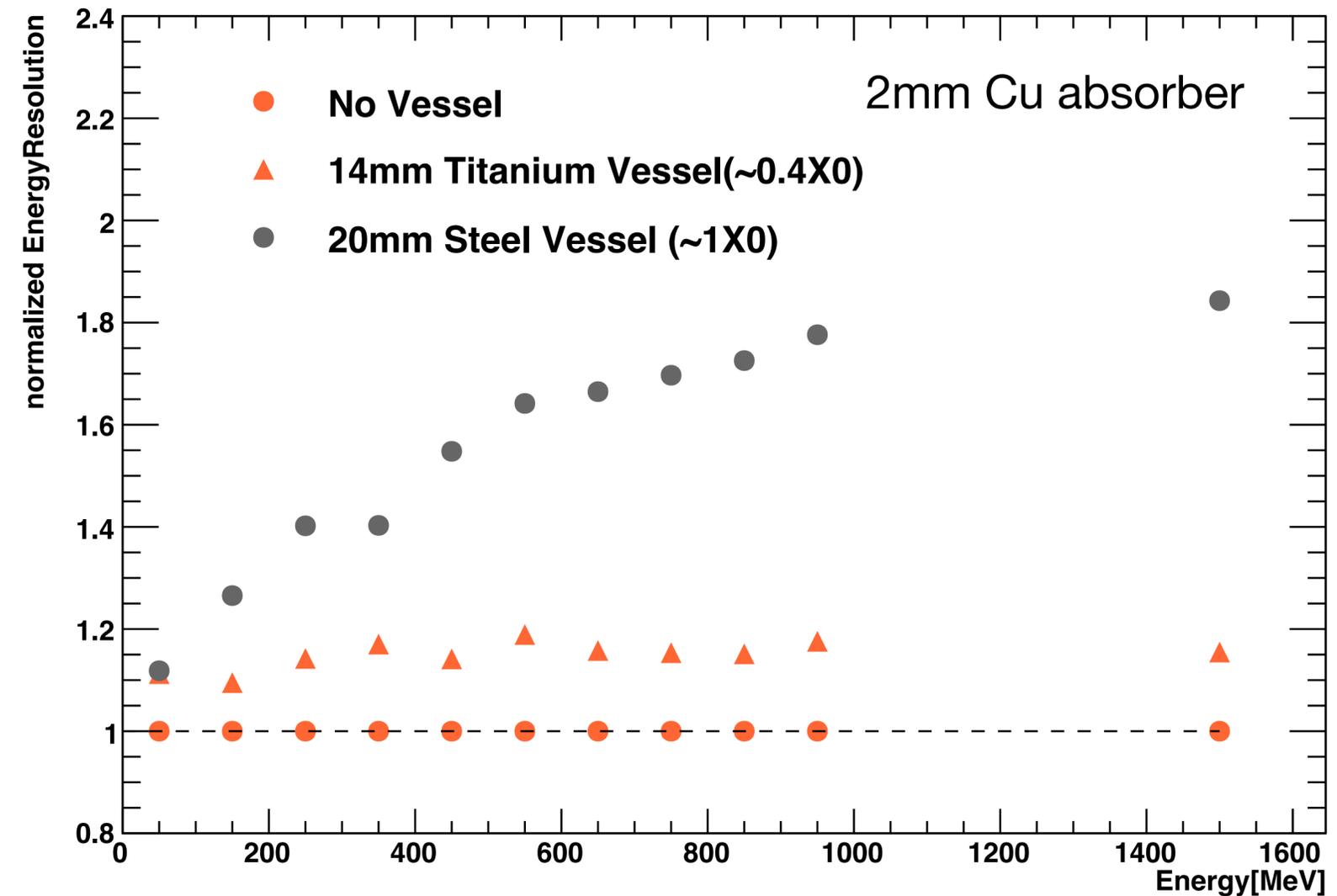
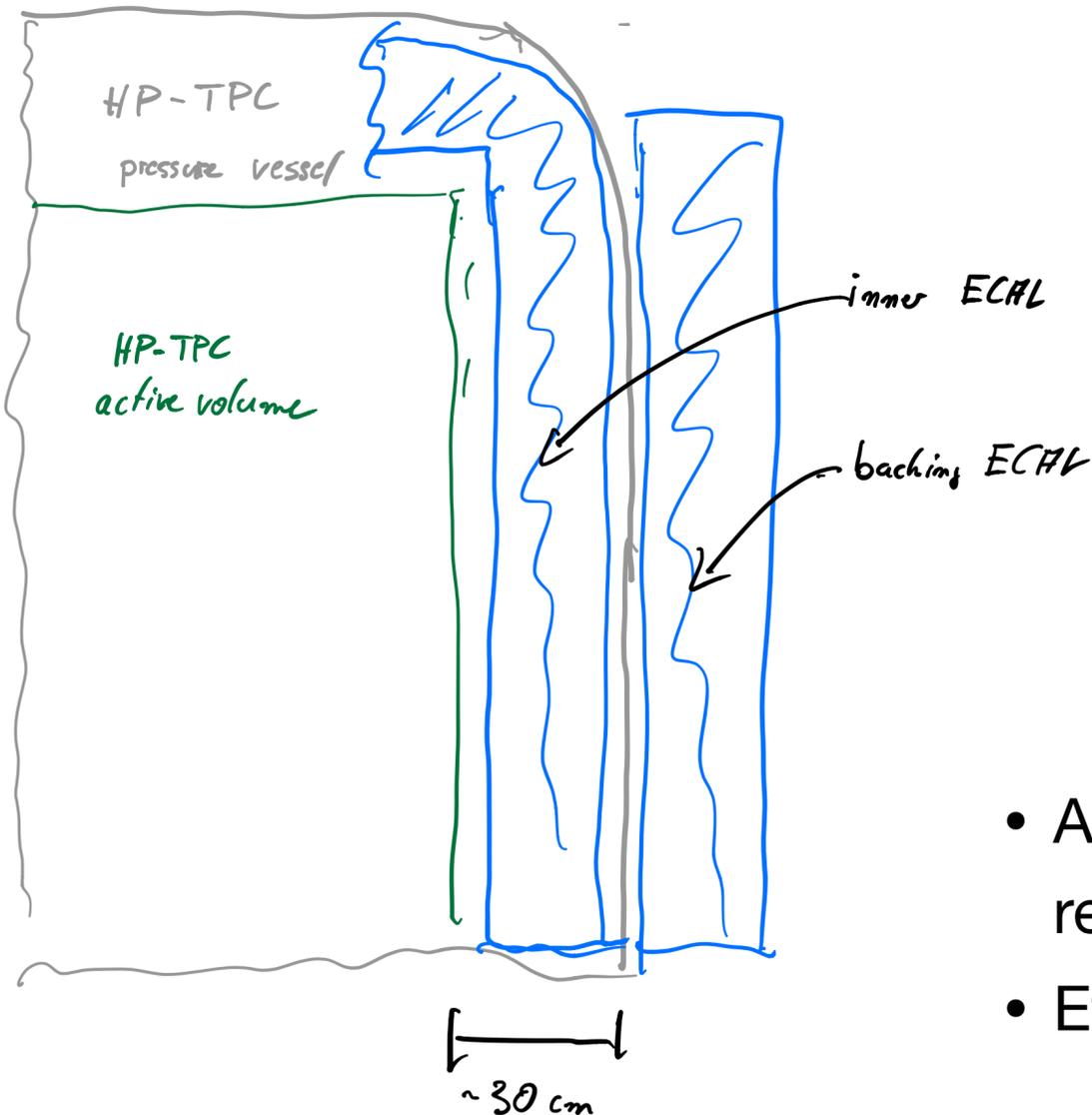
- Space inside pressure vessel is “premium real estate”
- In case it is not possible to accommodate the full calorimeter (depth ~ 80 cm) inside the vessel: Split the calorimeter into two sections



# Impact of a TPC Pressure Vessel in ECAL Volume

## Understanding Performance Drivers

- Space inside pressure vessel is “premium real estate”
- In case it is not possible to accommodate the full calorimeter (depth ~ 80 cm) inside the vessel: Split the calorimeter into two sections



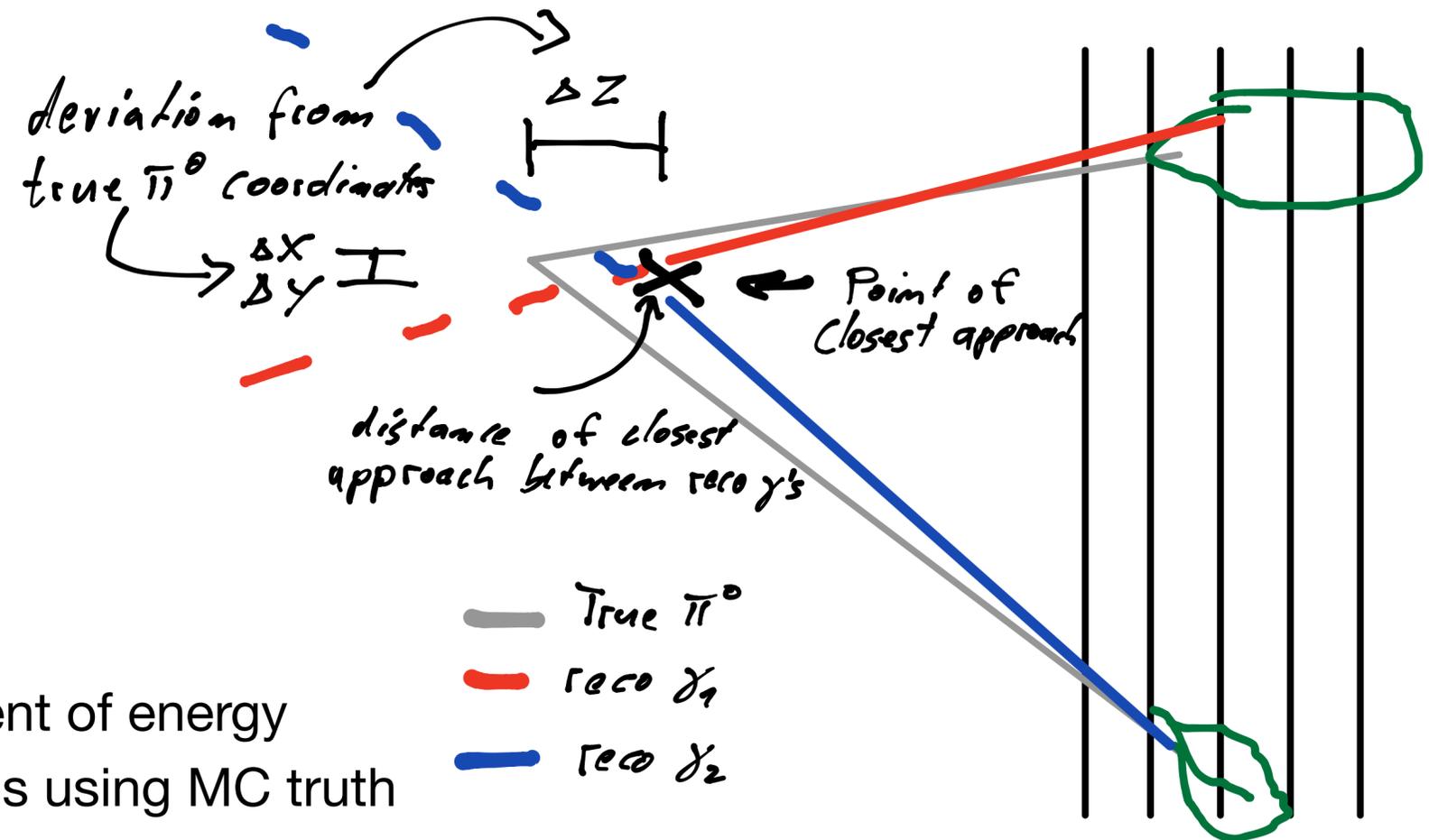
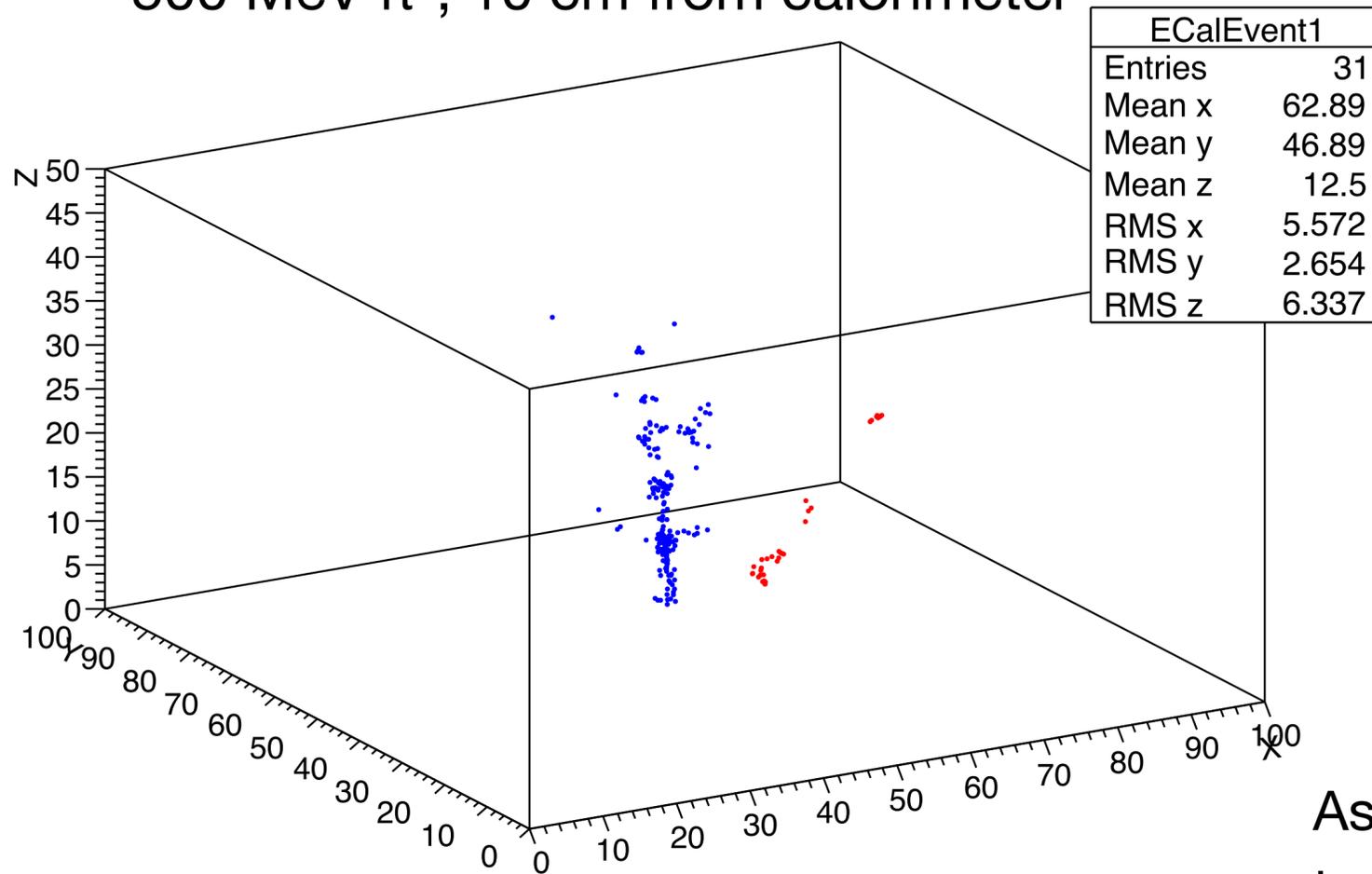
- A 1 X<sub>0</sub> thick stainless steel pressure vessel has a sizeable impact on energy resolution - use of Titanium would substantially mitigate that
- Effect on angular resolution is negligible: driven by first 30 layers

# A First Look at $\pi^0$ s

Going beyond single particles

- Still in an early stage - clustering algorithms to identify photons based on energy deposit not yet implemented - will need somewhat more sophisticated simulations for a full study
- Also: Results still need to be fully understood and QAed - bugs not unlikely!

500 MeV  $\pi^0$ , 10 cm from calorimeter



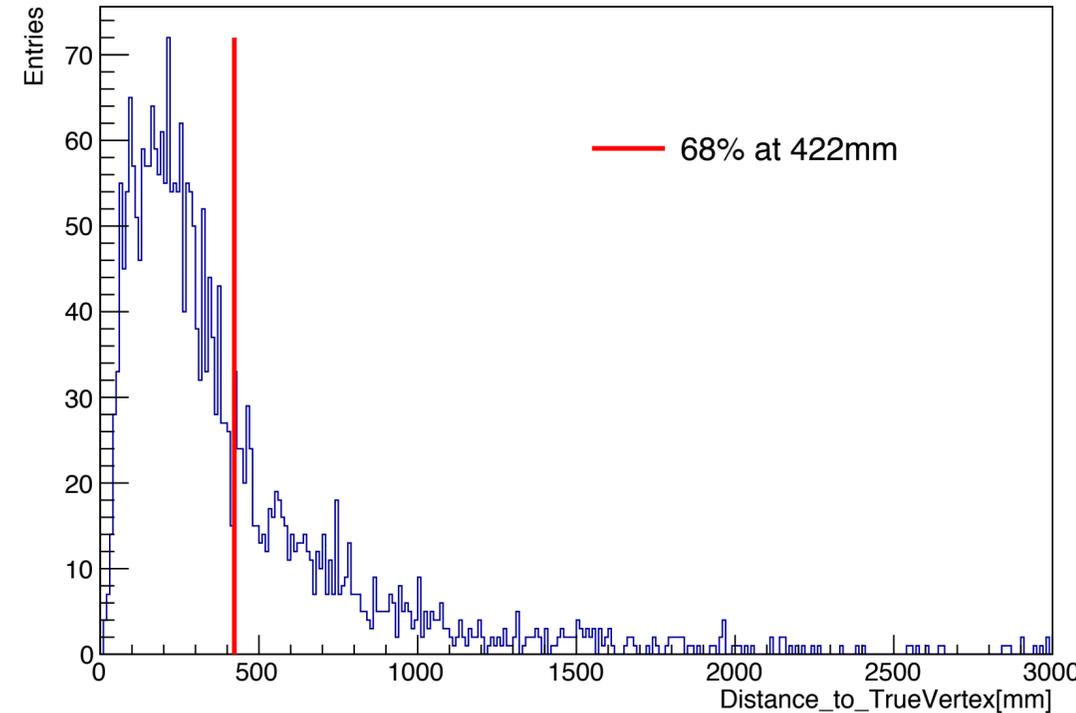
Assignment of energy to photons using MC truth information

# Reconstructing $\pi^0$ s

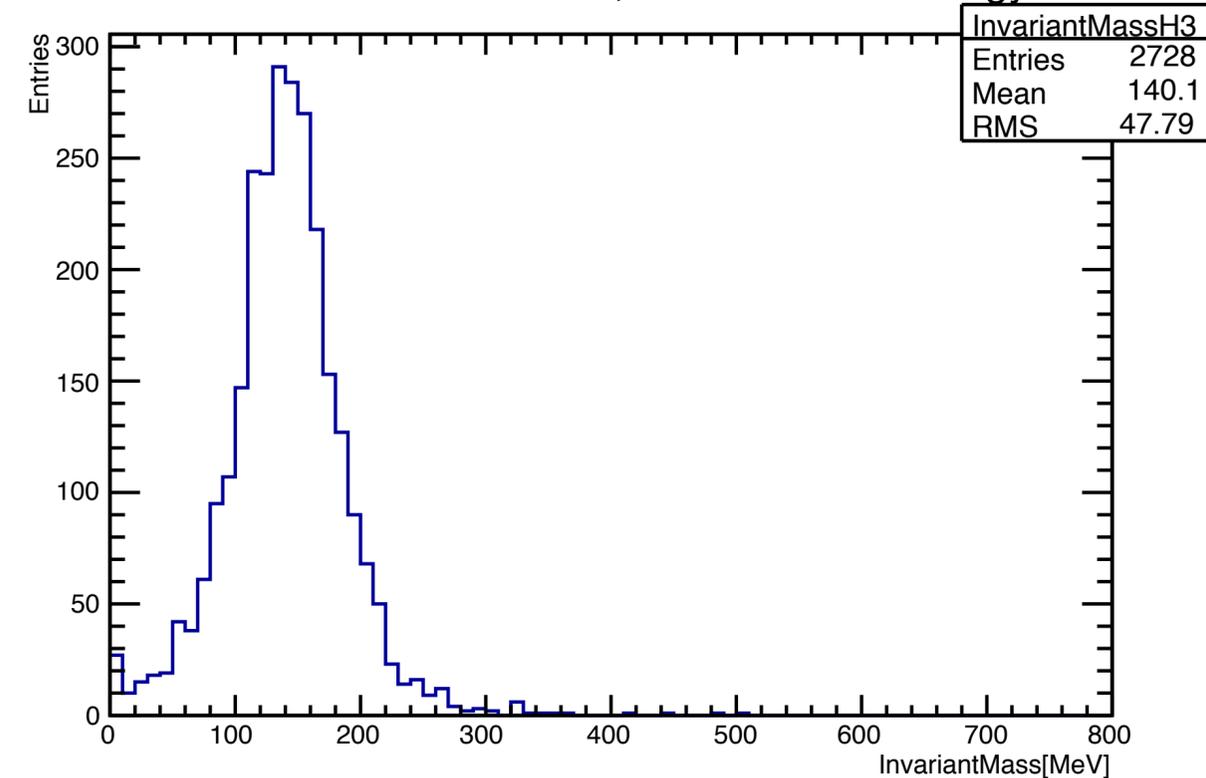
## Determination of origin

- Most  $\pi^0$ s at low kinetic energy ( a few 100 MeV): Low photon energy, with rather poor pointing precision: Use a two-step procedure
- Candidate identification based on vertex, invariant mass

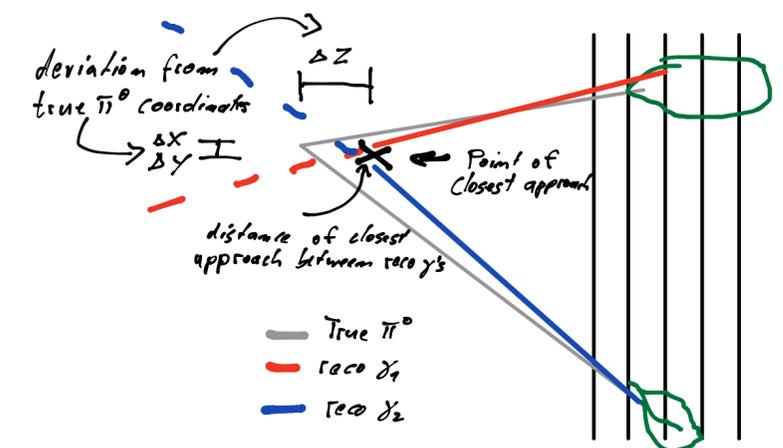
coordinate in flight direction particularly uncertain - angular resolution introduces a systematic bias



reconstructed Direction, reconstructed Energy



400 MeV  $E_{kin}$ , 1 m from forward calorimeter



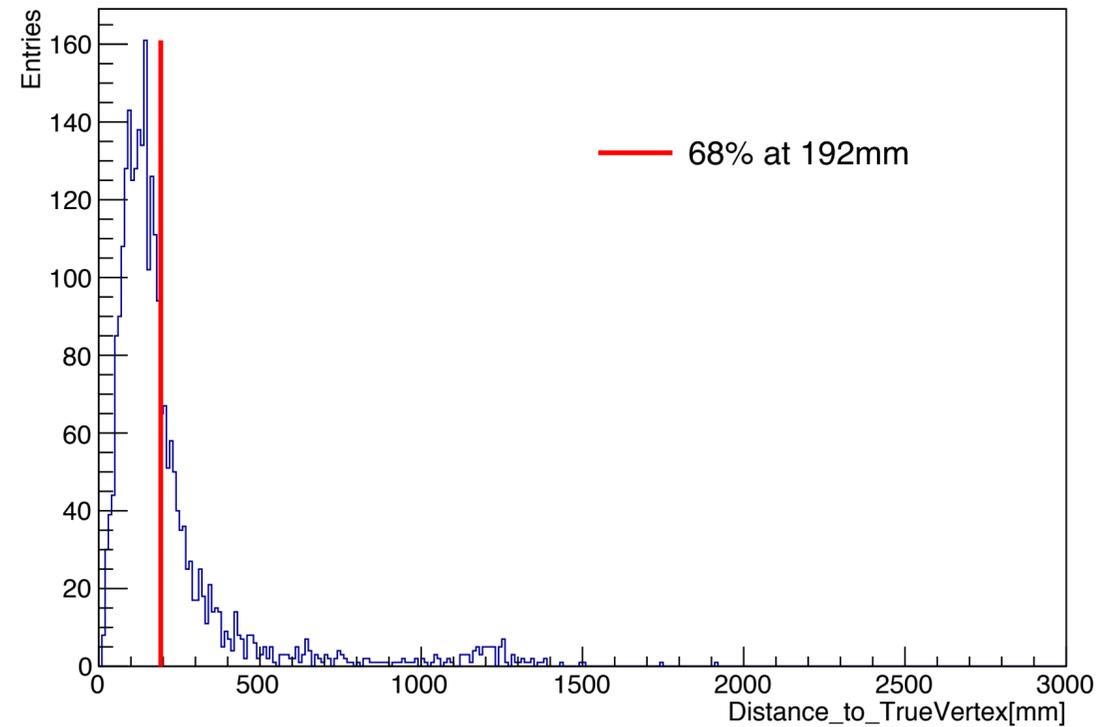
# Reconstructing $\pi^0$ s

## Determination of origin

- Most  $\pi^0$ s at low kinetic energy ( a few 100 MeV): Low photon energy, with rather poor pointing precision: Use a two-step procedure
  - Candidate identification based on vertex, invariant mass

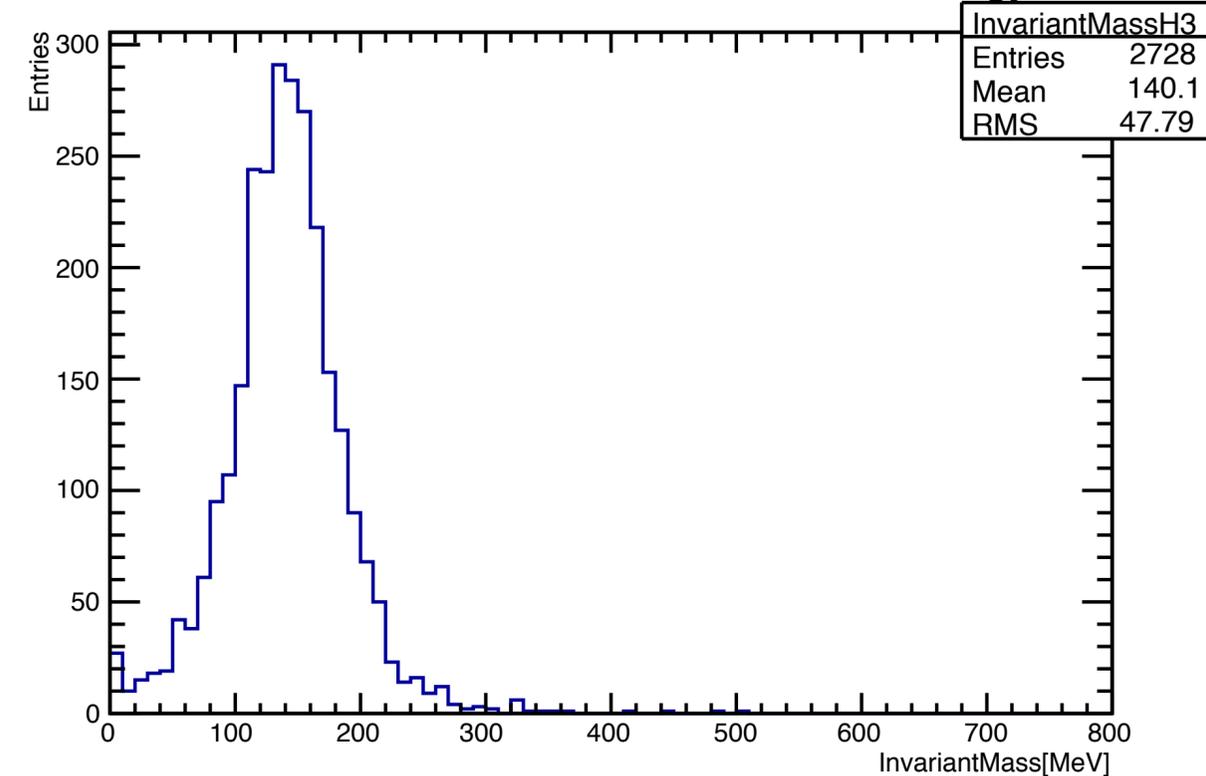
coordinate in flight direction particularly uncertain - angular resolution introduces a systematic bias

adding mass improves all coordinates - largest uncertainty still in flight direction

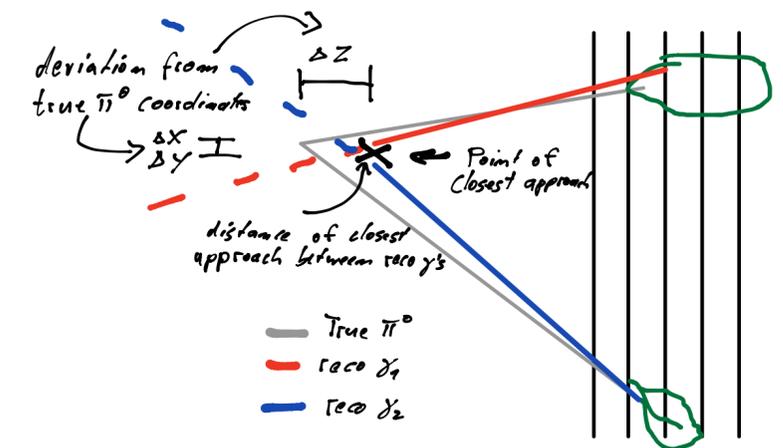


- Substantially improved location accuracy when adding  $\pi^0$  mass constraint to the fit: fitting location, using  $m_{inv}$ , photon position, direction, energy

reconstructed Direction, reconstructed Energy



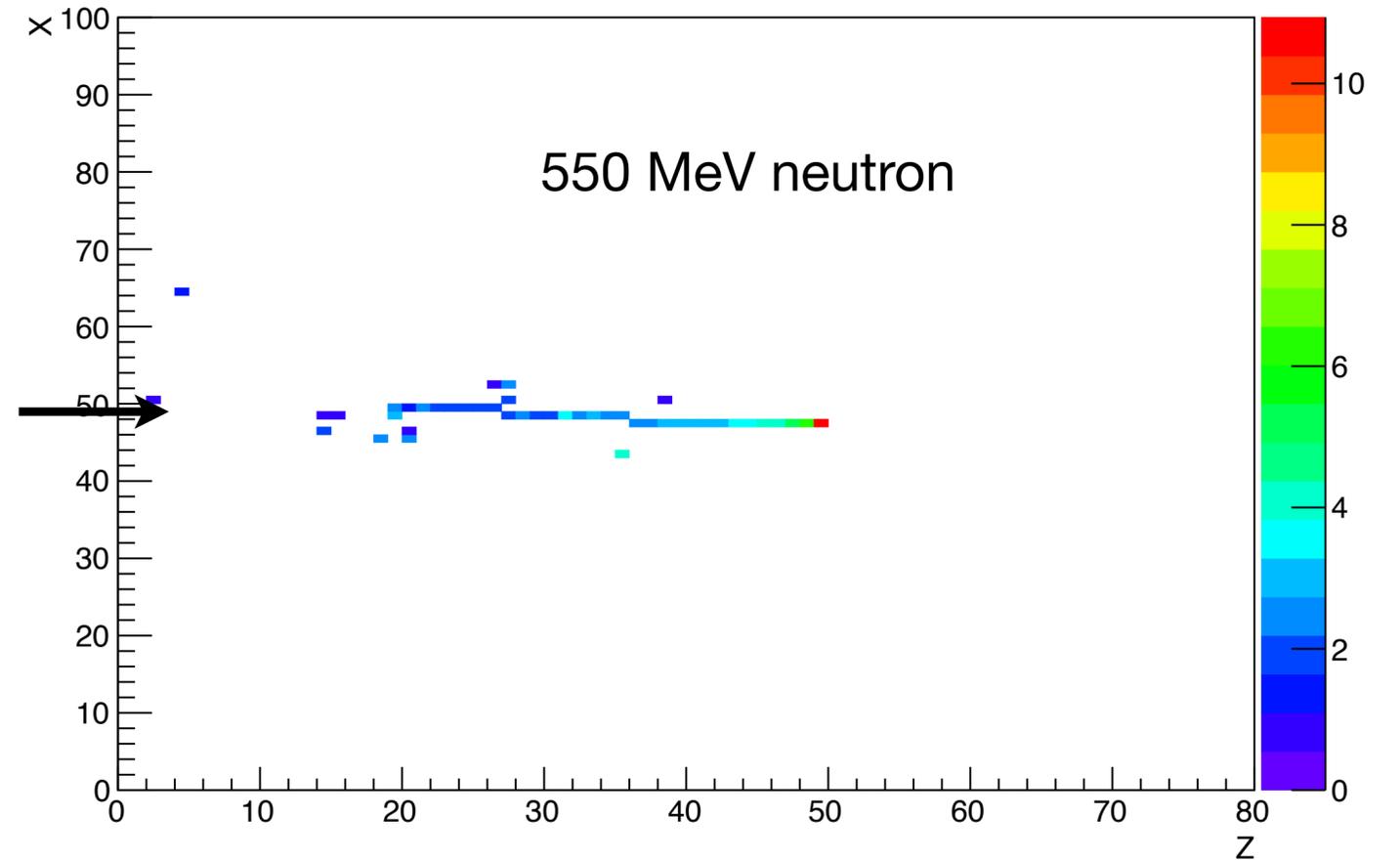
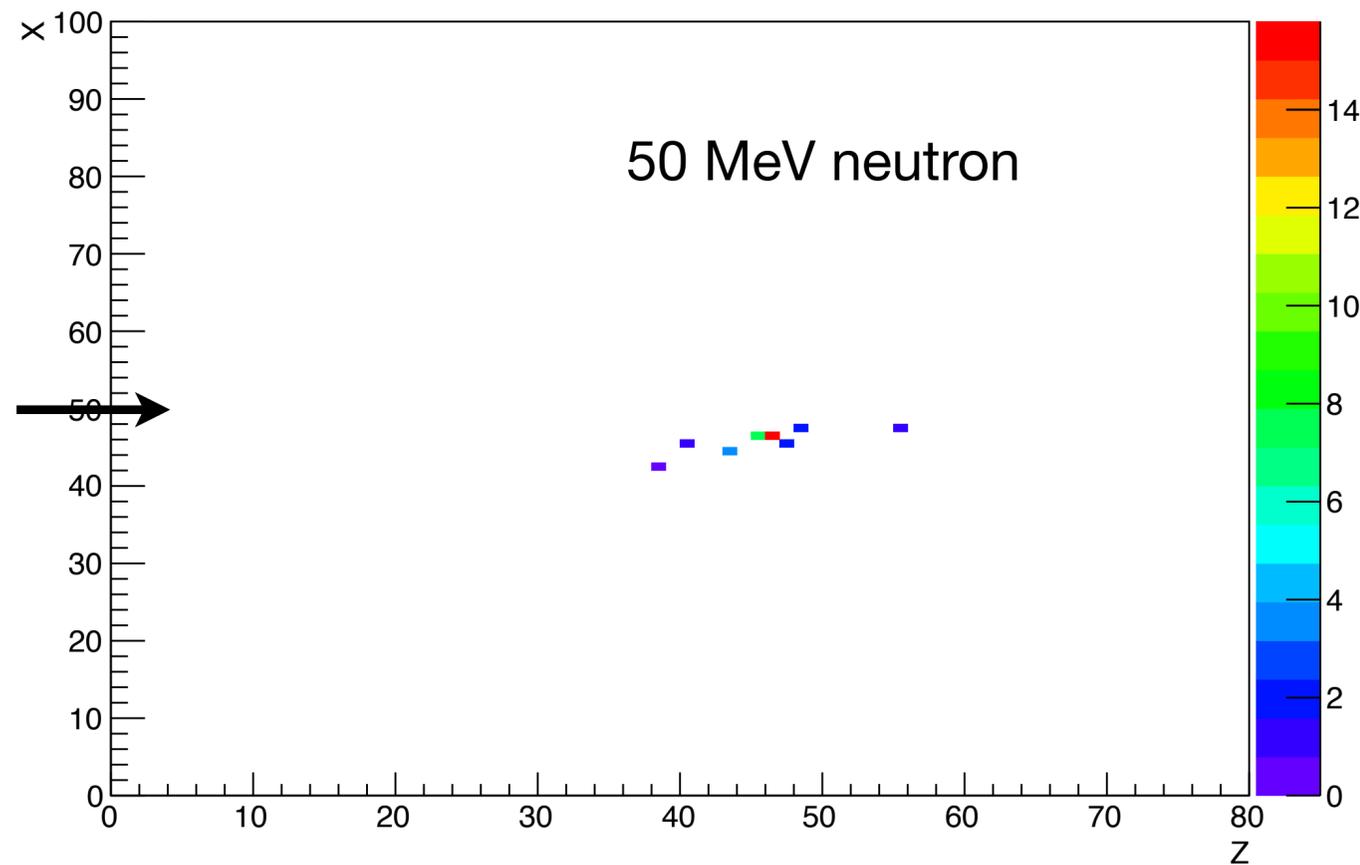
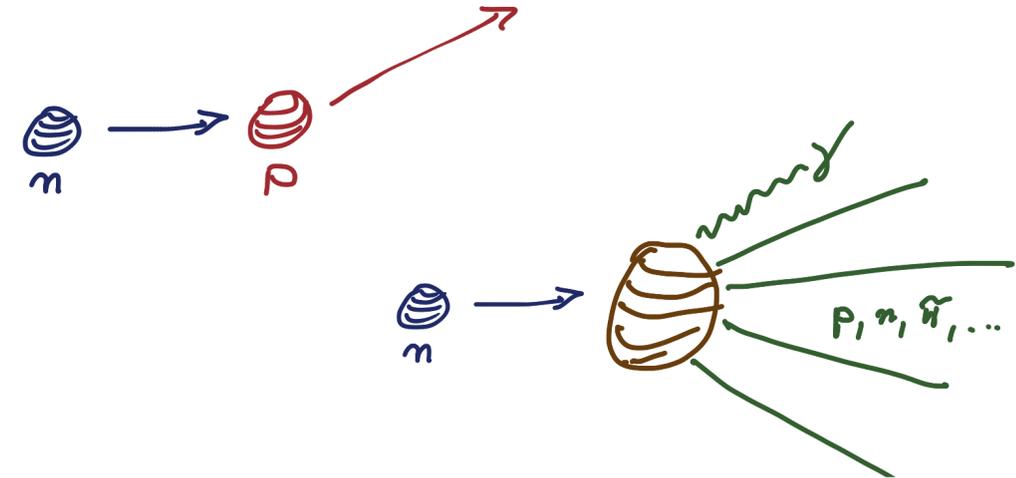
400 MeV  $E_{kin}$ , 1 m from forward calorimeter



# Neutrons

*Looking beyond Photons*

- Neutrons are tricky in neutrino interactions:
  - important for the reconstruction of the total event energy
  - not straight-forward to detect, hard to associate to an event
- The ECAL can play a key role: Plastic scintillator sensitive also to low-energy neutrons

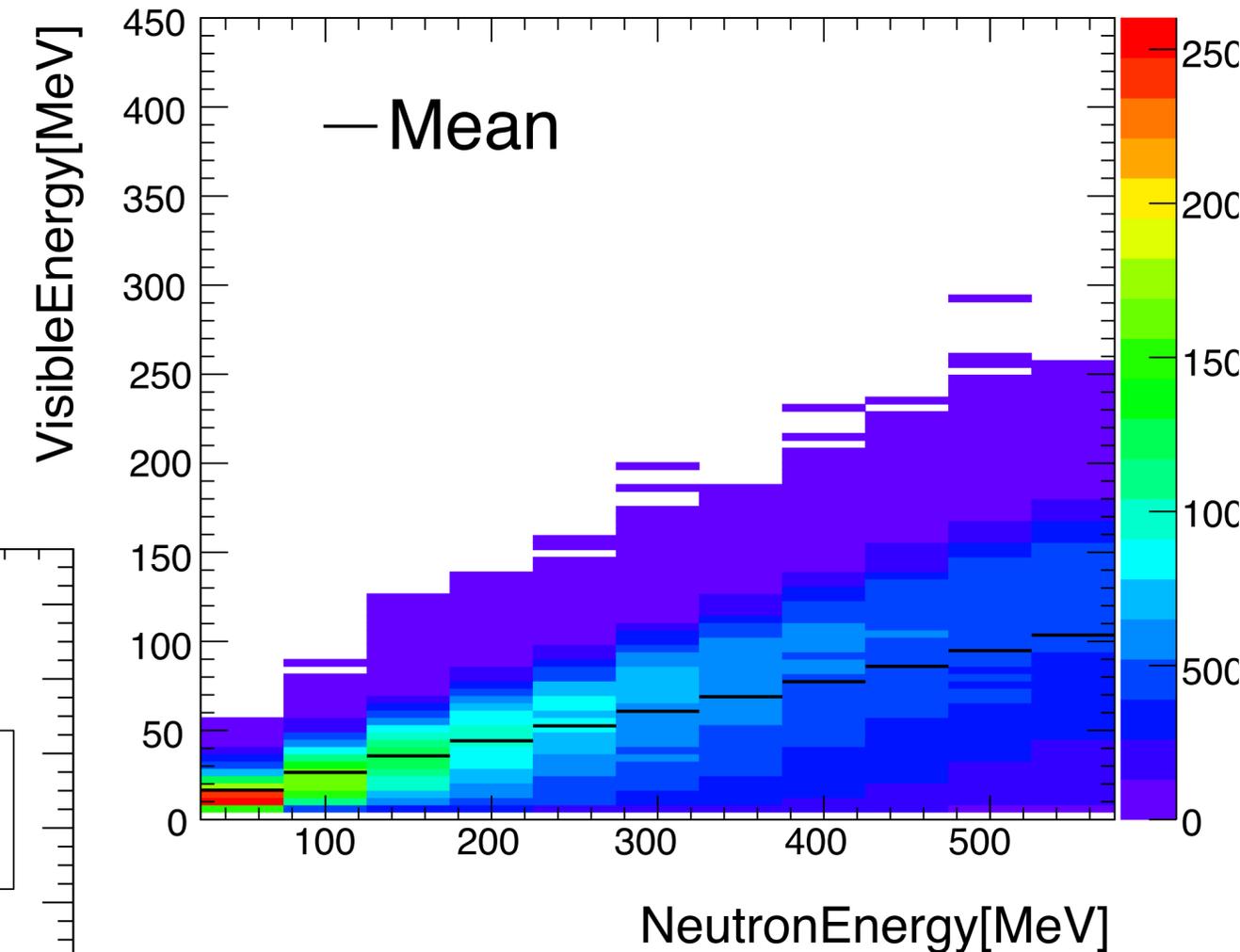
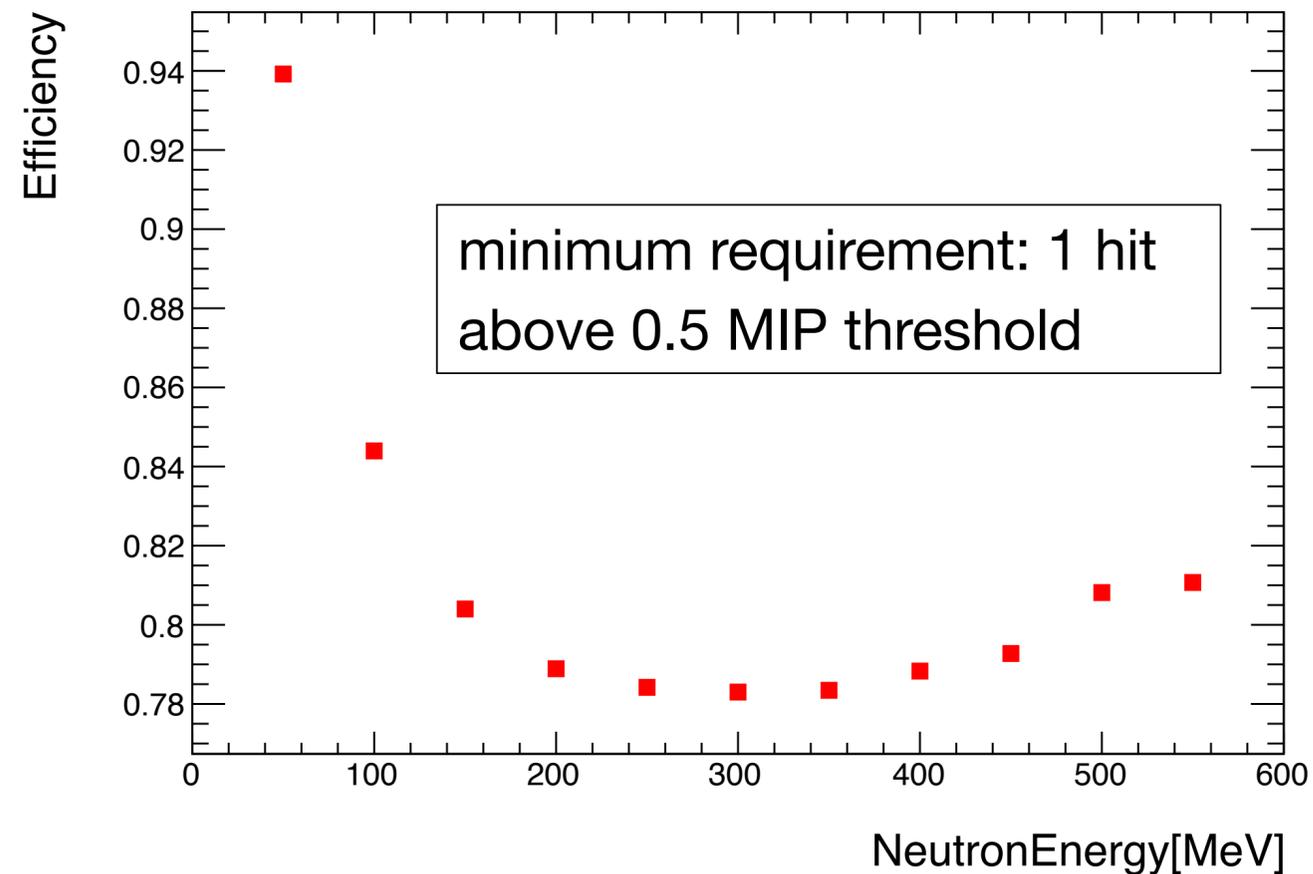


2 mm Cu absorber, 80 layers

# Neutrons

First estimates for detection efficiency

- Neutrons are tricky in neutrino interactions:
  - important for the reconstruction of the total event energy
  - not straight-forward to detect, hard to associate to an event
- The ECAL can play a key role: Plastic scintillator sensitive also to low-energy neutrons

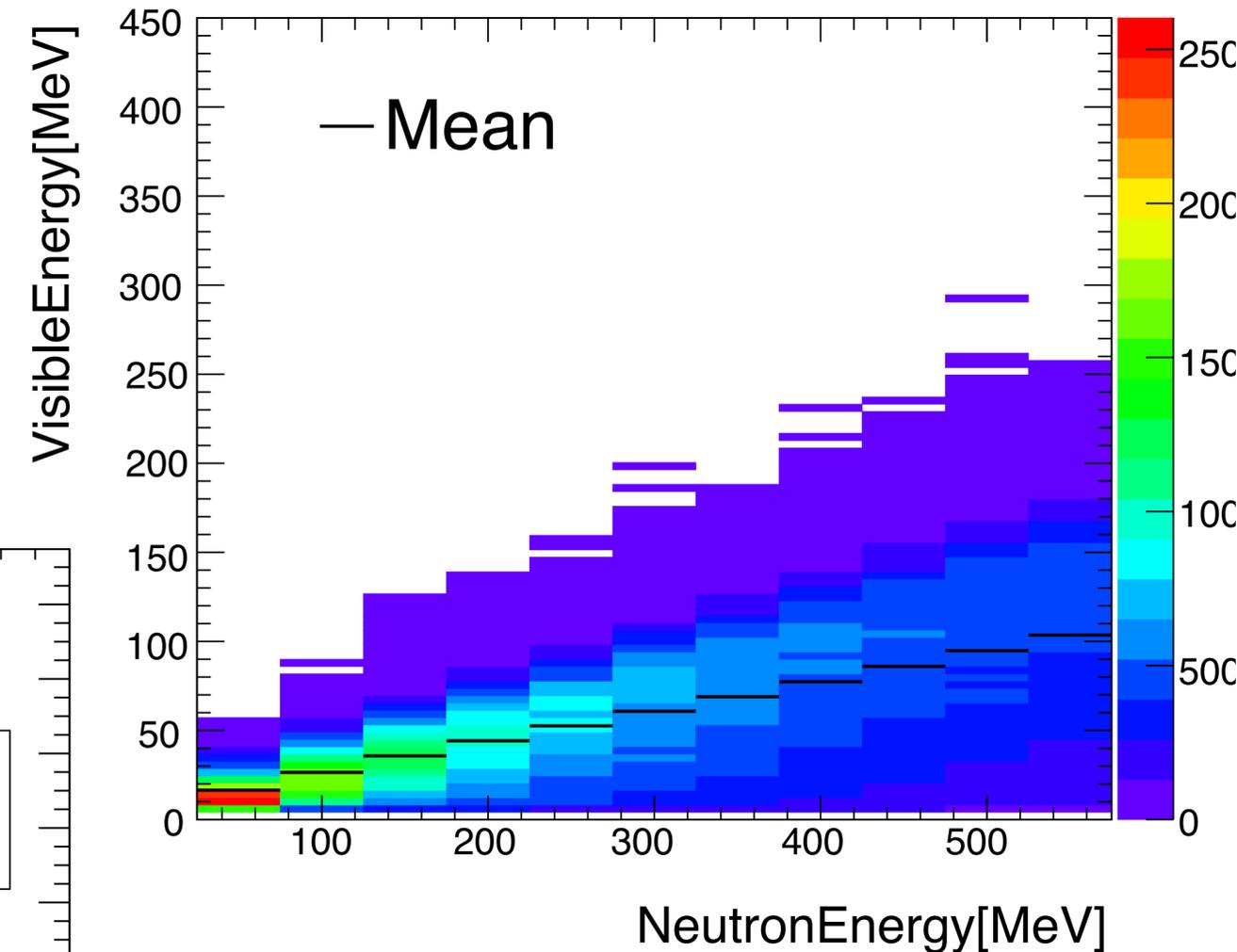
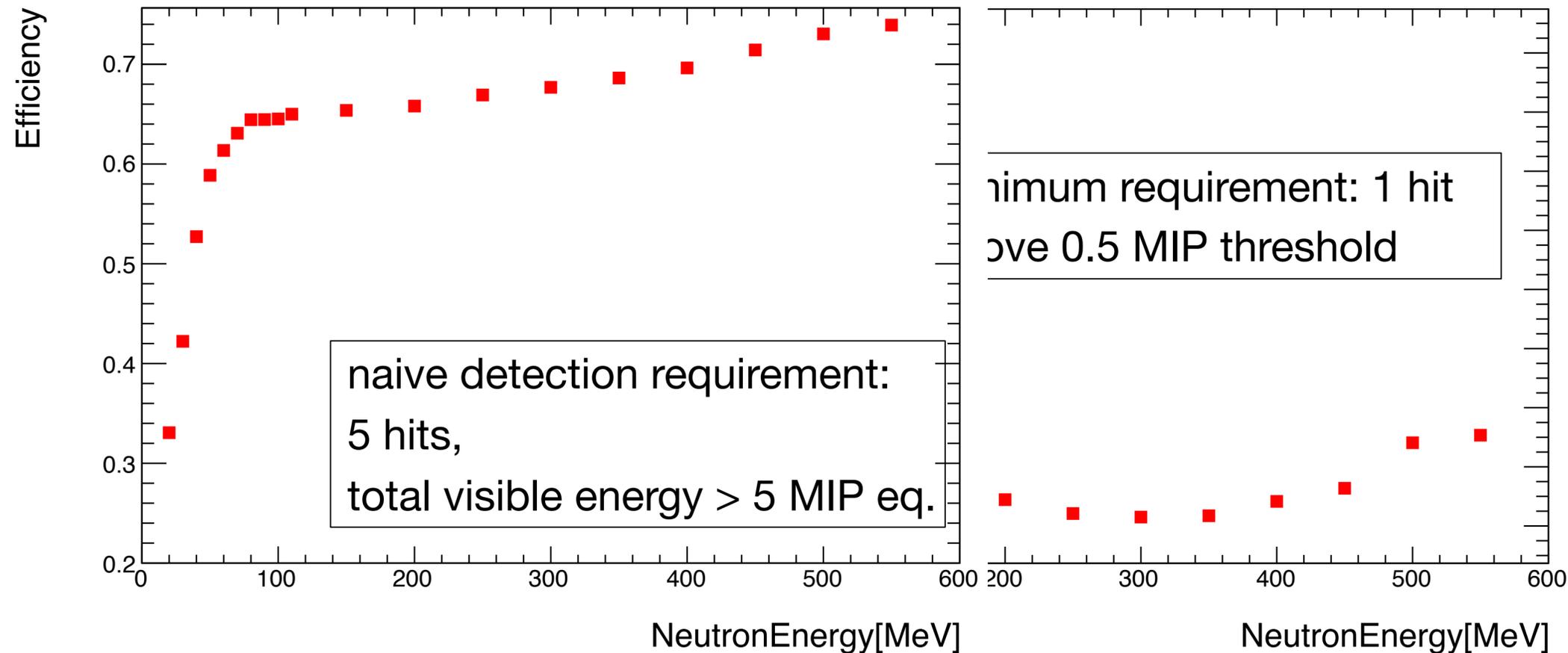


2 mm Cu absorber, 80 layers

# Neutrons

First estimates for detection efficiency

- Neutrons are tricky in neutrino interactions:
  - important for the reconstruction of the total event energy
  - not straight-forward to detect, hard to associate to an event
- The ECAL can play a key role: Plastic scintillator sensitive also to low-energy neutrons



2 mm Cu absorber, 80 layers

# Lessons Learned

## *Towards a Detector Concept*



- Absorber: Copper provides an advantage for the angular resolution, a slight deterioration of the energy resolution wrt lead
- Granularity: Finer granularity in the inner  $\sim 30$  layers is highly beneficial for angular resolution, later layers do not have a large impact
- Pressure vessel: Deteriorates energy resolution, Ti better than Fe

# Lessons Learned

## *Towards a Detector Concept*



- Absorber: Copper provides an advantage for the angular resolution, a slight deterioration of the energy resolution wrt lead
- Granularity: Finer granularity in the inner  $\sim 30$  layers is highly beneficial for angular resolution, later layers do not have a large impact
- Pressure vessel: Deteriorates energy resolution, Ti better than Fe

### *Real-world considerations:*

- With 2 x 2 cm<sup>2</sup> individual tiles:
  - 2500 cells / m<sup>2</sup> / layer: 75k channels / m<sup>2</sup> of inner ECAL (30 layers)
- With 3 x 3 cm<sup>2</sup> individual tiles:
  - 1100 cells / m<sup>2</sup> / layer: 33k channels / m<sup>2</sup> of inner ECAL
- With 4 x 4 cm<sup>2</sup> individual tiles:
  - 625 cells / m<sup>2</sup> / layer: 19k channels / m<sup>2</sup> of inner ECAL

Downstream ECAL  $\sim 9$  m<sup>2</sup>, total ECAL area  $\sim 50$  m<sup>2</sup> (still in flux...)

# Lessons Learned

## Towards a Detector Concept

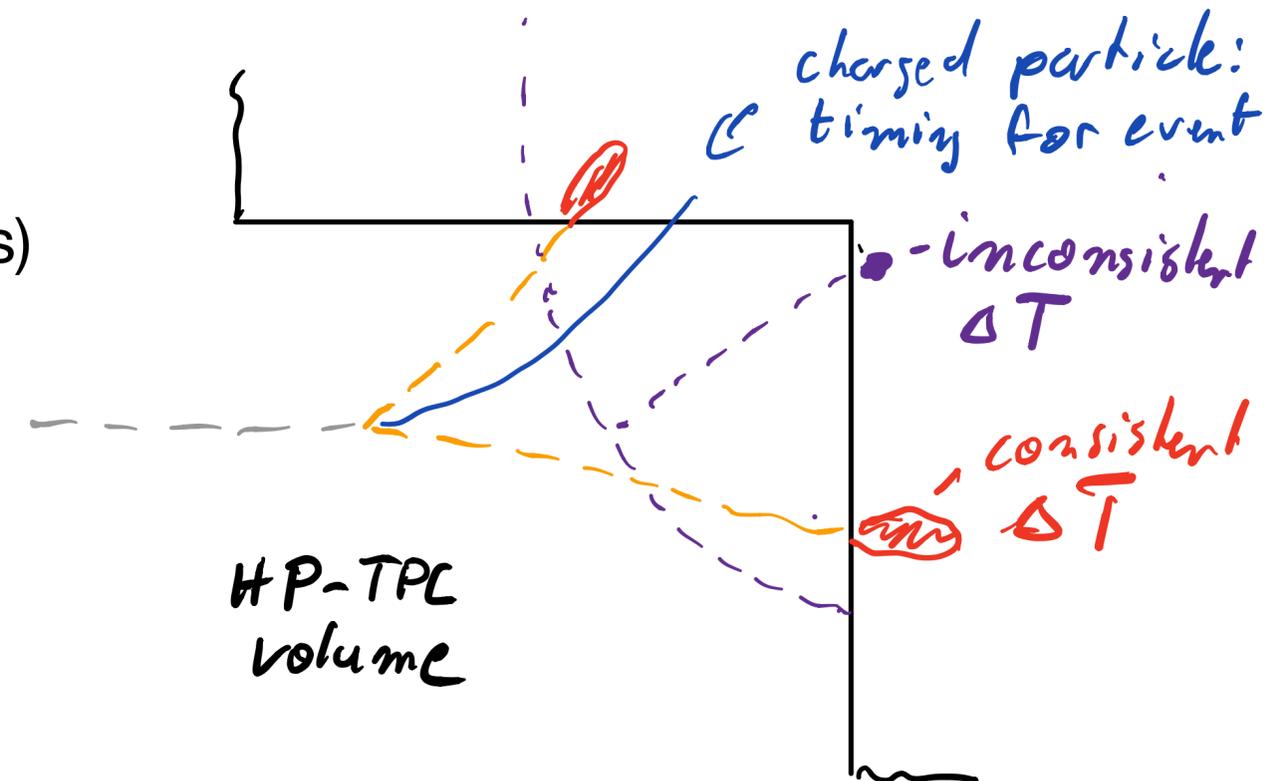
- Absorber: Copper provides an advantage for the angular resolution, a slight deterioration of the energy resolution wrt lead
- Granularity: Finer granularity in the inner  $\sim 30$  layers is highly beneficial for angular resolution, later layers do not have a large impact
- Pressure vessel: Deteriorates energy resolution, Ti better than Fe

### Real-world considerations:

- With 2 x 2 cm<sup>2</sup> individual tiles:
  - 2500 cells / m<sup>2</sup> / layer: 75k channels / m<sup>2</sup> of inner ECAL (30 layers)
- With 3 x 3 cm<sup>2</sup> individual tiles:
  - 1100 cells / m<sup>2</sup> / layer: 33k channels / m<sup>2</sup> of inner ECAL
- With 4 x 4 cm<sup>2</sup> individual tiles:
  - 625 cells / m<sup>2</sup> / layer: 19k channels / m<sup>2</sup> of inner ECAL

Downstream ECAL  $\sim 9$  m<sup>2</sup>, total ECAL area  $\sim 50$  m<sup>2</sup> (still in flux...)

- Beyond energy:  
The Tile - on - SiPM technology can provide sub-ns time resolution:
  - Could be used to improve assignment of low-energy photons with very poor pointing accuracy to interactions and  $\pi^0$  candidates



- A highly granular electromagnetic calorimeter, based on plastic scintillator tiles with SiPM readout, is an interesting option for Near Detectors at future long baseline neutrino experiments
- Capable of providing the location of  $\pi^0$ s with  $\sim 20$  cm accuracy using photon shower direction and energy: Effectively subdivides the tracker volume into a few 1000 voxels for the association of  $\pi^0$ s to vertices
- Can provide a reasonable efficiency for neutrons in the few 10 to few 100 MeV range
  - ⇒ Particularly relevant when combined with a low-density tracker, such as a HP-TPC
- If needed, the pressure vessel of a HP-TPC can be accommodated by splitting the ECAL into two segments - angular resolution can be preserved with 30 layers ( $6 X_0$ ) inside of the vessel
- Such a detector provides interesting technological challenges:
  - Signal routing & electronics
  - Uniformity of scintillator response
  - Uniform distribution of non-absorber material
  - ...

- A highly granular electromagnetic calorimeter, based on plastic scintillator tiles with SiPM readout, is an interesting option for Near Detectors at future long baseline neutrino experiments
- Capable of providing the location of  $\pi^0$ s with  $\sim 20$  cm accuracy using photon shower direction and energy: Effectively subdivides the tracker volume into a few 1000 voxels for the association of  $\pi^0$ s to vertices
- Can provide a reasonable efficiency for neutrons in the few 10 to few 100 MeV range
- ⇒ Particularly relevant when combined with a low-density tracker, such as a HP-TPC
- If needed, the pressure vessel of a HP-TPC can be accommodated by splitting the ECAL into two segments - angular resolution can be preserved with 30 layers ( $6 X_0$ ) inside of the vessel
  
- Such a detector provides interesting technological challenges:
  - Signal routing & electronics
  - Uniformity of scintillator response
  - Uniform distribution of non-absorber material
  - ...

Still a lot to study, optimise and develop!