

Flavour anomalies: theoretical interpretation

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Federal Ministry
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ALPS2018, Obergurgl, 16 Apr 2018

Introduction

Flavour physics is sensitive to virtual effects of **heavy new physics**.

Flavour-changing neutral current (FCNC) interactions probe scales up to **100 TeV** and above, because in the Standard Model several suppression factors pile up:

- electroweak loop,
- small CKM elements, e.g. $|V_{ts}| = 0.04$, $|V_{td}| = 0.01$,
- Glashow-Iliopoulos-Maiani (GIM) suppression $\propto (m_c^2 - m_u^2)/M_W^2$, $(m_s^2 - m_d^2)/M_W^2$ in K and D decays.
- helicity suppression $\propto m_b/M_W$ in radiative and leptonic B decays.

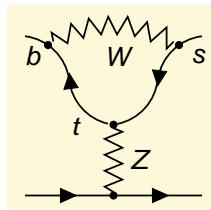
Cabibbo-Kobayashi-Maskawa (CKM) matrix:

$$V = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

Flavour anomaly 1: $b \rightarrow s\mu^+\mu^-$

Decays governed by $b \rightarrow s\mu^+\mu^-$:

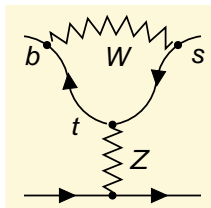
- $B \rightarrow K\mu^+\mu^-$
- $B \rightarrow K^*\mu^+\mu^-$
- $B_s \rightarrow \Phi\mu^+\mu^-$



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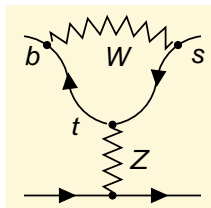


The decay $B \rightarrow K^*\mu^+\mu^-$ permits the measurement of **angular observables**, defined in terms of angles within and between the $K^* \rightarrow K\pi$ and $\mu^+\mu^-$ decay planes. One of those, called P'_5 , deviates from the SM prediction by more than 3σ in the LHCb and Belle experiments.

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The LHCb data for the branching-fraction ratios

$\frac{B(B \rightarrow K\mu^+\mu^-)}{B(B \rightarrow Ke^+e^-)}$ and $\frac{B(B \rightarrow K^*\mu^+\mu^-)}{B(B \rightarrow K^*e^+e^-)}$ are too small by $2.3-2.6\sigma$ in some bins of the lepton invariant mass q^2 .

$B(B_S \rightarrow \Phi\mu^+\mu^-)$ is smaller than the SM prediction by 2.2σ .

Effective hamiltonian

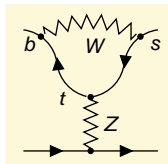
$$H = -\frac{4G_F V_{tb} V_{ts}^*}{\sqrt{2}} \sum_{l, \ell=e, \mu, \tau} \left[C_9^{ll} O_9^{ll} + C_{10}^{ll} O_{10}^{ll} \right] + \dots$$

We are interested in the operators

$$O_9^{ll} = \frac{\alpha}{4\pi} [\bar{s}_L \gamma^\mu b_L] [\bar{l} \gamma_\mu l]$$

$$O_{10}^{ll} = \frac{\alpha}{4\pi} [\bar{s}_L \gamma^\mu b_L] [\bar{l} \gamma_\mu \gamma^5 l]$$

The **Wilson coefficients** C_9^{ll} and C_{10}^{ll} can be reliably calculated from the **Z-penguin diagram** and other diagrams.

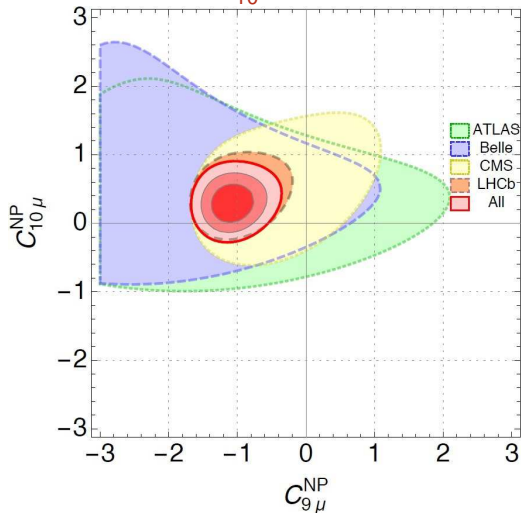


In the Standard Model

$$C_{9,10} \equiv C_{9,10}^{ee} = C_{9,10}^{\mu\mu} = C_{9,10}^{\tau\tau}.$$

Flavour universality of the weak interaction!

A global fit to **all** relevant observables (including those which comply with the **SM** prediction) consistently point to new physics with $C_9^{\mu\mu, NP} \approx -\frac{1}{4} C_9^{SM}$ and possibly also with NP contributions to $C_{10}^{\mu\mu}$.



Capdevila, Crivellin,
Descotes-Genon,
Matias, Virto 2017.

Methodology: In a global fit of the Wilson coefficients to all data one performs a **likelihood ratio test**, comparing the likelihood of the best-fit point to that of the SM scenario.

Result: For scenarios in which the new physics is assumed to be only in $C_{9,10}^{\mu\mu}$ (and possibly in the coefficients $C_{9,10}^{\mu\mu'}$ of the chirality-flipped operators), the statistical significance of the **new-physics** hypothesis is between 5.0σ and 5.7σ . The sign and magnitude of the deviation is consistent in all observables, and observables insensitive to $C_{9,10}^{\mu\mu}$ are measured SM-like.

Capdevila, Crivellin, Descotes-Genon, Matias, Virto 2017.

Explanation within the SM:

The predictions for $B_s \rightarrow \Phi \mu^+ \mu^-$ and P'_5 involve hadronic physics, one needs non-perturbative methods (i) to constrain the contributions from (c, \bar{c}) resonances which convert to (μ^+, μ^-) through a virtual photon and (ii) to calculate the $B_s \rightarrow \Phi$ and $B \rightarrow K^*$ form factors.

\Rightarrow a theory mistake can fake new physics in C_9^{ll} !

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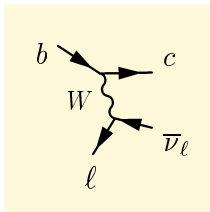
But: R_K and R_K^* are theoretically clean and exhibit deviations from the SM by $3-4\sigma$!

⇒ We need a conspiracy between a malign statistical fluctuation (or a mistake in electron ID) and a theoretical mistake, such that the $b \rightarrow se^+e^-$ data look SM-like!

Flavour anomaly 2: $b \rightarrow c\tau\nu$

Decays governed by $b \rightarrow c\tau\nu$:

- $B \rightarrow D\tau\nu$
- $B \rightarrow D^*\tau\nu$
- $B_c \rightarrow J/\psi\tau\nu$

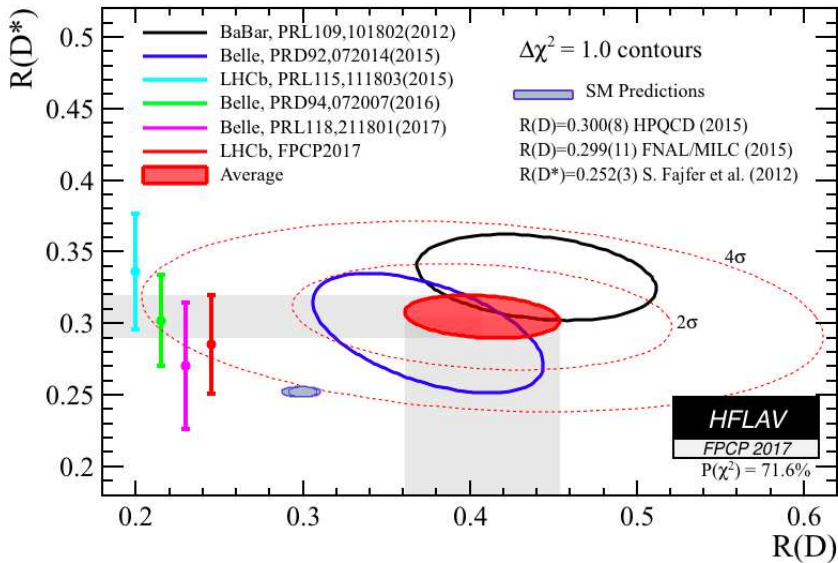


$$R(D) = \frac{B(B \rightarrow D\tau\nu)}{B(B \rightarrow D\mu\nu)}, \quad R(D^*) = \frac{B(B \rightarrow D^*\tau\nu)}{B(B \rightarrow D^*\mu\nu)} \text{ and}$$

$$R(J/\psi) = \frac{B(B_c \rightarrow J/\psi\tau\nu)}{B(B_c \rightarrow J/\psi\mu\nu)} \text{ are all measured larger than}$$

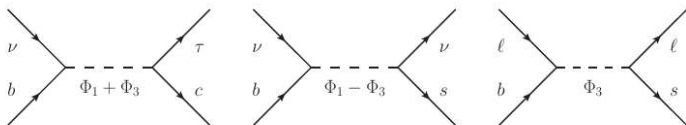
predicted in the SM.

The SM prediction is very robust.



Which new physics could simultaneously explain
the $b \rightarrow s\mu^+\mu^-$ and $b \rightarrow c\tau\nu$ anomalies?

Most popular: **leptoquarks**



Crivellin, Müller, Ota 2017

→ see next talk by **Andreas Crivellin**

Alternative explanation: Z' boson from some extended gauge symmetry.

E.g. $SU(3) \times SU(3) \times U(1)$ model.

Buras et al., JHEP 1302 (2013) 023, JHEP 1402 (2014) 112

Descotes-Genon, Moscati, Ricciardi, arXiv:1711.03101

A Z' boson can have flavour-changing couplings, like $\bar{s}-b-Z'$ and mediate $b \rightarrow s\ell^+\ell^-$ at tree-level.

Problem: $B_s - \bar{B}_s$ mixing constrains this coupling and enforces a dangerously tight upper bound on the Z' mass.

di Luzio, Lenz, Kirk, arXiv:1712.06572

Flavour anomaly 3: CP violation in $s \rightarrow d\bar{q}q$

The decays $K \rightarrow \pi^+\pi^-$ and $K \rightarrow \pi^0\pi^0$ involve the quark decays $s \rightarrow d\bar{u}u$ and $s \rightarrow d\bar{d}d$.

Charge-parity (CP) violation in $K \rightarrow \pi\pi$ decays is characterised by two quantities, ϵ_K and ϵ'_K .

CP violation (in K , D , and B physics) is another promising track in the hunt for new physics.

CP violation

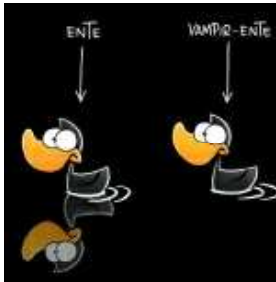
Parity transformation P: $\vec{x} \rightarrow -\vec{x}$

Charge conjugation C: Exchange **particles** and **antiparticles**, e.g. $e^- \leftrightarrow e^+$

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CP violation

Neutral K mesons:

K_{long} and K_{short} (linear combinations of K and \bar{K}).

Dominant decay channels:

$$K_{\text{long}} \rightarrow \pi\pi\pi \quad \text{CP} = -1$$

$$K_{\text{short}} \rightarrow \pi\pi \quad \text{CP} = +1$$

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1964: Christenson, Cronin, Fitch and Turlay observe

$$K_{\text{long}} \rightarrow \pi\pi$$

and therefore discover CP violation.

$$\epsilon_K \equiv \frac{\langle (\pi\pi)_{I=0} | H | K_{\text{long}} \rangle}{\langle (\pi\pi)_{I=0} | H | K_{\text{short}} \rangle} = (2.229 \pm 0.010) \cdot 10^{-3} e^{i0.97\pi/4}.$$



M. C. E S C H E R.

CP violation in $K \rightarrow \pi\pi$

Combine decay amplitudes $A(K^0 \rightarrow \pi^+\pi^-)$ and $A(K^0 \rightarrow \pi^0\pi^0)$ into

$$A_0 \equiv A(K^0 \rightarrow (\pi\pi)_{I=0}) \quad \text{and} \quad A_2 \equiv A(K^0 \rightarrow (\pi\pi)_{I=2}),$$

where I denotes the strong isospin.

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Indirect CP violation (from $K-\bar{K}$ mixing):

$$\epsilon_K \equiv \frac{A(K_{\text{long}} \rightarrow (\pi\pi)_{I=0})}{A(K_{\text{short}} \rightarrow (\pi\pi)_{I=0})} = (2.228 \pm 0.011) \cdot 10^{-3} \cdot e^{i(0.97 \pm 0.02)\pi/4}$$

discovered in 1964

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Direct CP violation (from decay amplitude):

$$\epsilon'_K \simeq \frac{\epsilon_K}{\sqrt{2}} \left[\frac{\langle (\pi\pi)_{I=2} | K_{\text{long}} \rangle}{\langle (\pi\pi)_{I=0} | K_{\text{long}} \rangle} - \frac{\langle (\pi\pi)_{I=2} | K_{\text{short}} \rangle}{\langle (\pi\pi)_{I=0} | K_{\text{short}} \rangle} \right] = (16.6 \pm 2.3) \cdot 10^{-4} \cdot \epsilon_K$$

discovered in 1999

To predict ϵ'_K one must calculate $\text{Im } A_0$ and $\text{Im } A_2$.

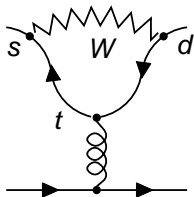
The calculation of $\text{Im } A_0$ is very challenging and first reliable results employing **lattice quantum chromo-dynamics** are available only since **2015**.

RBC and UKQCD Collaborations, 2015

$\text{Im}A_0$ is dominated by gluon penguins:

Operator: $Q_6 = \bar{s}_L^j \gamma_\mu d_L^k \sum_q \bar{q}_R^k \gamma^\mu q_R^j$

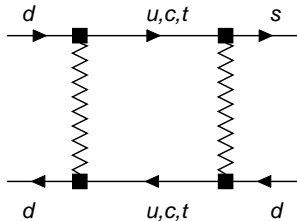
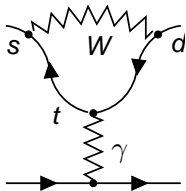
Matrix element: $\langle (\pi\pi)_{I=0} | Q_6 | K^0 \rangle$



$\text{Im}A_2$ is dominated by photon penguin and box diagrams:

Operator: $Q_8 = \frac{3}{2} \bar{s}_L^j \gamma_\mu d_L^k \sum_q e_q \bar{q}_R^k \gamma^\mu q_R^j$

Matrix element: $\langle (\pi\pi)_{I=2} | Q_8 | K^0 \rangle$



$$\frac{\epsilon'_K}{\epsilon_K} = (16.6 \pm 2.3) \times 10^{-4} \quad (\text{experiments: NA62, KTeV})$$

$$\frac{\epsilon'_K}{\epsilon_K} = (1.1 \pm 4.7_{\text{lattice}} \pm 1.9_{\text{NNLO}} \pm 0.6_{\text{isosp. br.}} \pm 0.2_{m_t}) \times 10^{-4} \quad (\text{SM})$$

Kitahara,UN,Tremper, JHEP 1612 (2016) 078

The prediction uses the lattice-QCD results from **RBC-UKQCD**,
Phys. Rev. Lett. **115** 212001 (2015).

Discrepancy with a significance of **2.8 σ** !

Sensitivity to new physics

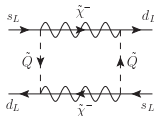
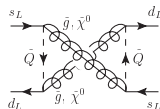
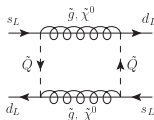
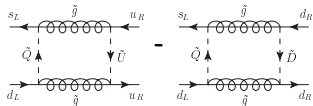
Generic models of heavy new physics typically have a larger impact on ϵ_K than on ϵ'_K .

⇒ Need clever ideas to suppress ϵ_K .

Supersymmetry

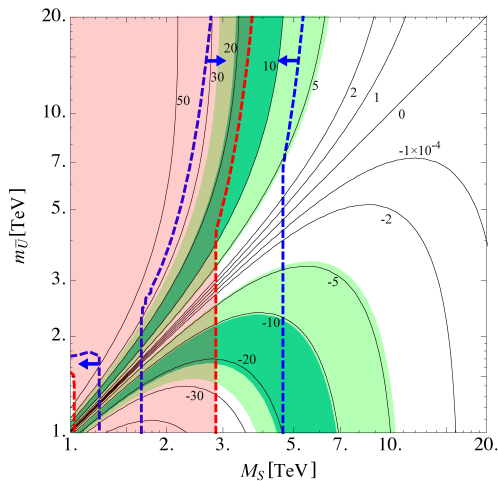
Supersymmetry has a mechanism

- to enhance $\text{Re}A_2$, because it permits strong-isospin violation through splittings between right-handed up-squark and down-squark masses (Trojan penguins),
Grossman, Kagan, Neubert 1999.
- to suppress the $K-\bar{K}$ mixing amplitude thanks to the Majorana nature of the gluinos, with negative interference of two box diagrams. Crivellin, Davidkov 2010



The supersymmetric contribution to $K-\bar{K}$ mixing vanishes for $M_{\tilde{g}} \sim 1.5M_{\tilde{q}}$ and stays small for $M_{\tilde{g}} > 1.5M_{\tilde{q}}$.

Explain ϵ'_K



x-axis: generic sparticle mass, $M_{\tilde{g}} = 1.5M_S$

y-axis: right-handed up-squark mass

red region: excluded by ϵ_K if $|V_{cb}|$ from inclusive decays is correct

blue dashes: delimit allowed region, if $|V_{cb}|$ from exclusive decays is correct

Alternative explanation: $\bar{s}-d-Z$ coupling from new physics, stemming typically from $Z-Z'$ mixing.

Buras et al. Eur.Phys.J. C 74; JHEP 1511, 166 (2015), 1604,071 (2016)

Endo et al., Phys.Lett. B771 (2017) 37

A common explanation of anomaly 1 and 3?

What next?

Which other manifestations could the physics underlying the flavour anomalies have?

- Spectacular changes of $B \rightarrow K^{(*)} \tau \tau$.
- Lepton-flavour violation: $b \rightarrow s \tau^\pm \mu^\mp$, $b \rightarrow s \tau^\pm e^\mp$,
 $b \rightarrow s \mu^\pm e^\mp$, $\mu \rightarrow e \gamma$.
- Upcoming measurements of rare decays
 - $B(K^+ \rightarrow \pi^+ \nu \bar{\nu}) \stackrel{\text{SM}}{=} (8.3 \pm 0.3) \cdot 10^{-11}$ NA62 (CERN)
 - $B(K_{\text{long}} \rightarrow \pi^0 \nu \bar{\nu}) \stackrel{\text{SM}}{=} (2.9 \pm 0.2) \cdot 10^{-11}$ KØTØ (J-PARC)
- ATLAS/CMS discovery of a leptoquark or Z' or ... ???

Summary

- **Flavour physics** probes new physics associated with scales up to **100 TeV** and above.
- Current data on $b \rightarrow s\mu^+\mu^-$ decays point to a new interaction of the form $[\bar{s}_L\gamma^\mu b_L][\bar{\mu}\gamma_\mu\mu]$ or $[\bar{s}_L\gamma^\mu b_L][\bar{\mu}_L\gamma_\mu\mu_L]$.
- Data on $b \rightarrow c\tau\nu$ disagree with their **SM** predictions. Both anomalies hint to the **violation of lepton-flavour universality**, a cornerstone of the **weak interaction**.
- Promising for future discoveries: $B \rightarrow K^{(*)}\tau\tau$, $B \rightarrow K^{(*)}\tau\mu$, $B_s \rightarrow \mu e$, $B \rightarrow K\mu e$, $\mu \rightarrow e\gamma\dots$

Summary

- CP violation in $K \rightarrow \pi\pi$ decays disagrees with the SM prediction by 2.8σ . This deviation can be accommodated with supersymmetry without violating lower bounds on the masses of the supersymmetric particles from LHC searches.
- Alternative explanation: $\bar{s}-d-Z$ coupling.
- Promising for future discoveries: $K^+ \rightarrow \pi^+\bar{\nu}\nu$ and $K_{\text{long}} \rightarrow \pi^0\bar{\nu}\nu$ to discriminate between different explanations.

Penguins in $b \rightarrow s\mu^+\mu^-$ or $s \rightarrow d\bar{q}q$:



Wake-up call for **New Physics**?