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# Confinamento de Quarks: uma pergunta de um milhão de dólares

Tereza Mendes

<http://lattice.ifsc.usp.br/>

Instituto de Física de São Carlos – USP

# Color confinement: Why care?

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Why are there **no free color charged particles** (quarks or gluons), but only objects built out of them (hadrons), like mesons and baryons?

How does this phenomenon emerge from QCD?

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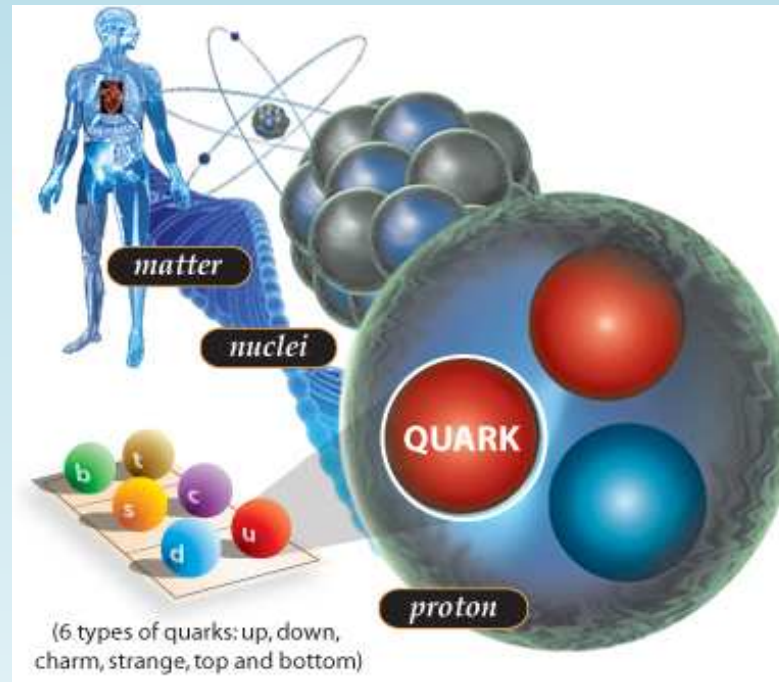
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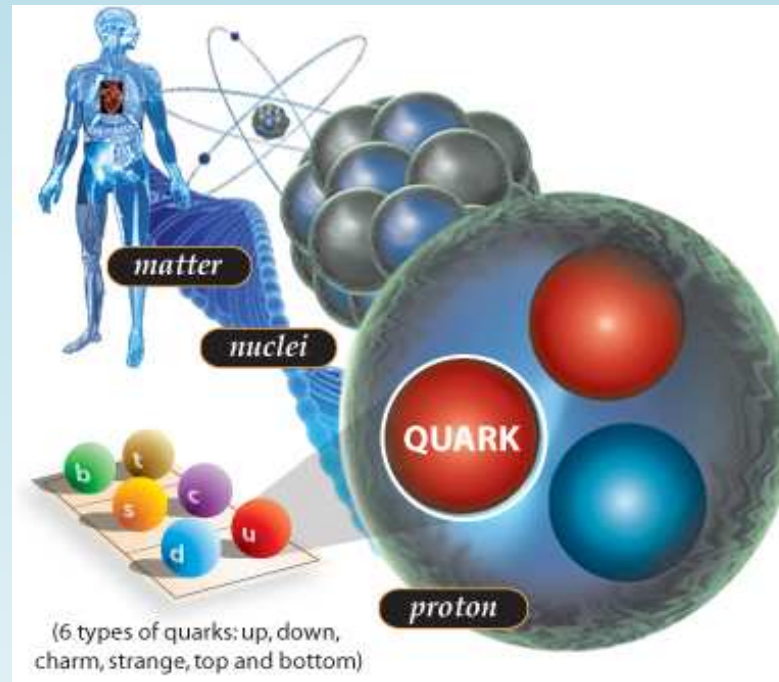
⇒ Millennium Prize Problems by the Clay Mathematics Institute (US\$1,000,000): **Yang-Mills Existence and Mass Gap**: Prove that for any compact simple gauge group  $G$ , a non-trivial quantum Yang-Mills theory exists on  $\mathbb{R}^4$  and has a mass gap  $\Delta > 0$ .

# Quarks: in the **Heart** of Matter



Interior of the **atom**: **nucleus**, made up of **nucleons**, made up of **quarks**

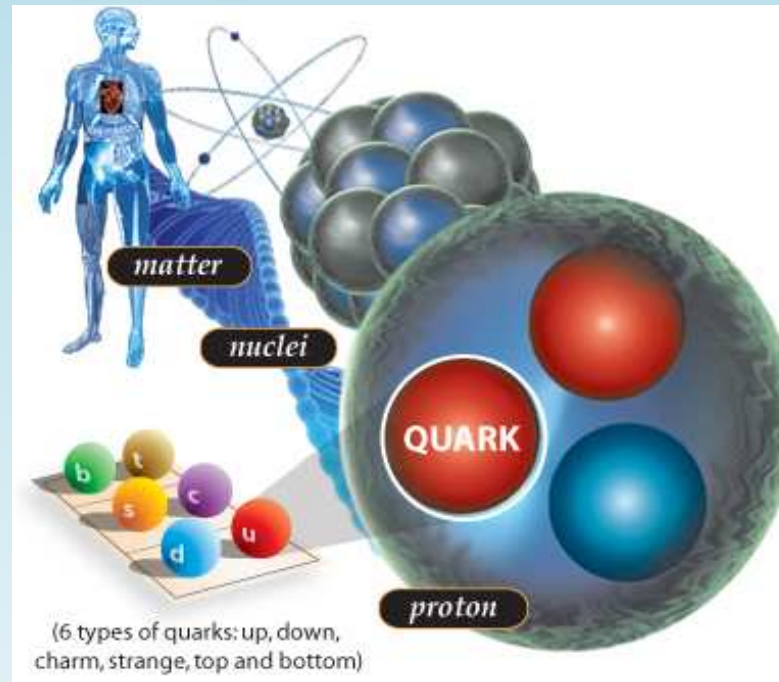
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**Hadrons** (e.g. protons, neutrons, mesons) are made up of quarks



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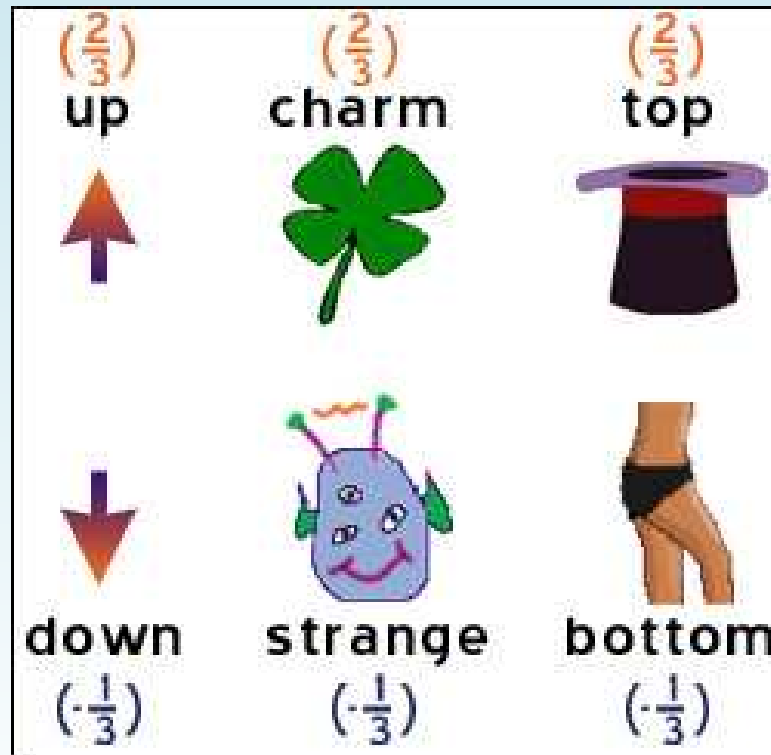
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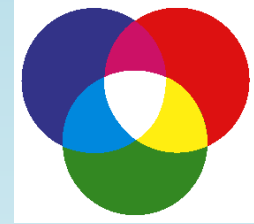
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# Quantum Chromodynamics

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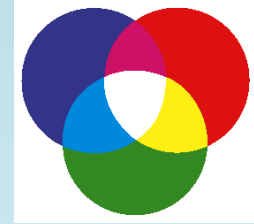
**Strong** interaction btw. protons e neutrons is **residue** of interaction btw. their **quarks**. Nucleons are made up of 3 quarks of different **colors**



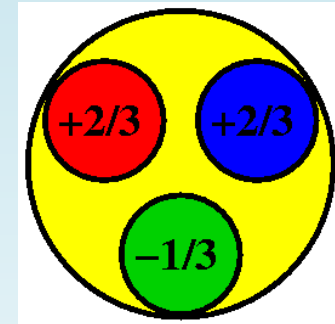
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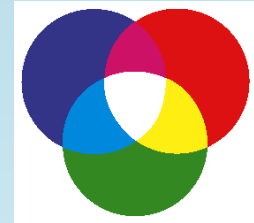
The proton is a **color-neutral** bound state of quarks interacting through the exchange of (massless) **gluons**



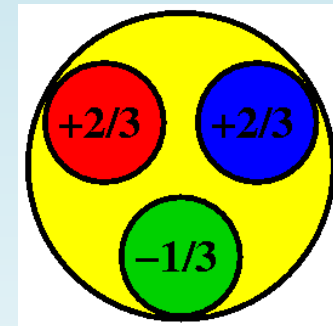
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Protons and Neutrons:

- 99% of the mass of the bound state comes from the **interaction!**  
⇒ we are not **star dust**, we are (virtual) gluons!



# Free the Quarks!

---

- Why was a fractionary electric charge never observed?
- **Answer:** quarks are **confined** inside hadrons!



**Confinement:** it would take **infinite energy** to separate the quarks that constitute a hadron

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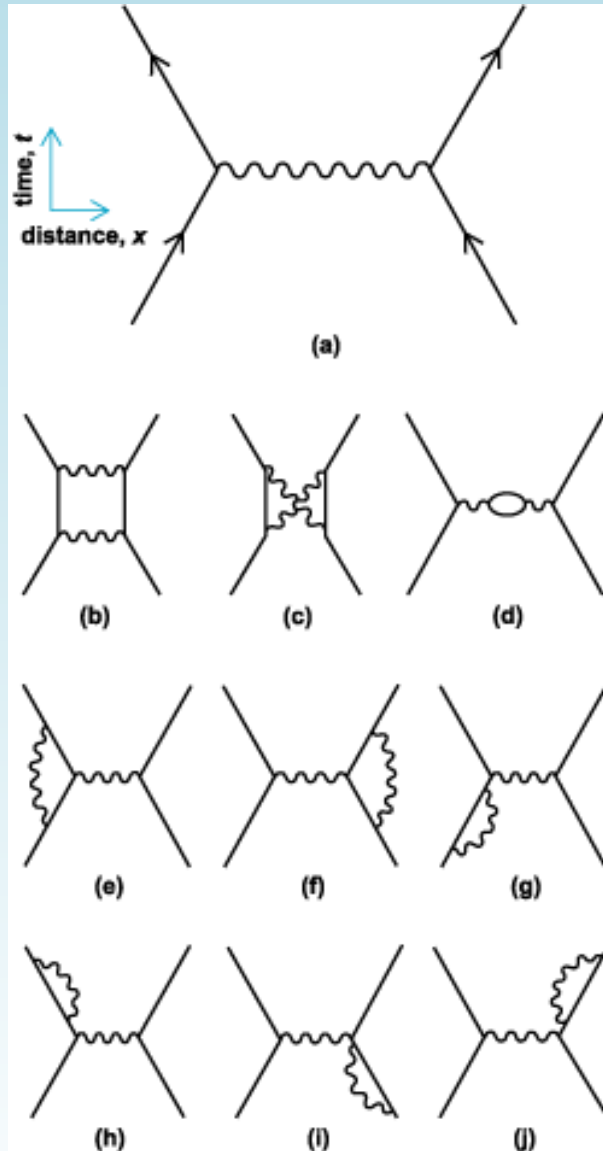
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Quarks have **flavor**, and **color**, but no **freedom** 😞

# (Usual) Quantum Field Theory



QED Lagrangian:

$$\mathcal{L} = \bar{\psi} (i \gamma^\mu D_\mu - m) \psi - \frac{1}{4} F_{\mu\nu} F^{\mu\nu}$$

where

$$D_\mu \equiv \partial_\mu - i e A_\mu, \quad F_{\mu\nu} \equiv \partial_\mu A_\nu - \partial_\nu A_\mu$$

Perturbative calculation: **Feynman diagrams** for electron scattering; it is possible to **infer** the **redefinition** of  $m$  and  $e$  to obtain **finite results**

# Quantum Chromodynamics (QCD)

---

QCD Lagrangian is just like the one of QED:

quarks (spin-1/2 fermions)

gluons (vector bosons) / color charge



electrons

photons / electric charge

But: gauge symmetry is  $SU(3)$  (non-Abelian) instead of  $U(1)$

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$$\mathcal{L} = -\frac{1}{4} F_{\mu\nu}^a F_a^{\mu\nu} + \sum_{f=1}^6 \bar{\psi}_{f,i} (i \gamma^\mu D_\mu^{ij} - m_f \delta_{ij}) \psi_{f,j}$$

where [ $a = 1, \dots, 8$ ;  $i = 1, \dots, 3$ ;  $T_{ij}^a = SU(3)$  generators]

$$F_{\mu\nu}^a \equiv \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + g_0 f_{abc} A_\mu^b A_\nu^c$$

$$D_\mu \equiv \partial_\mu - i g_0 A_\mu^a T_a$$

Note:  $g_0, m_f$  are bare parameters.

# Glucos Have Color

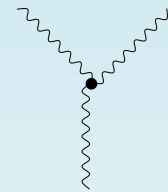
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**Note:**  $F_{\mu\nu}^a \sim g_0 f^{abc} A_\mu^b A_\nu^c$

$\Rightarrow$  QCD Lagrangian contains terms with **three** and **four** gauge fields in addition to **quadratic** terms (propagators)

$\mathcal{L}_{\bar{\psi}\psi A} = g_0 \bar{\psi} \gamma^\mu A_\mu \psi \Rightarrow$  quark-quark-gluon **vertex**

$\mathcal{L}_{AAA} = g_0 f^{abc} A_a^\mu A_b^\nu \partial_\mu A_\nu^c \Rightarrow$  three-gluon **vertex**



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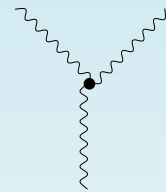
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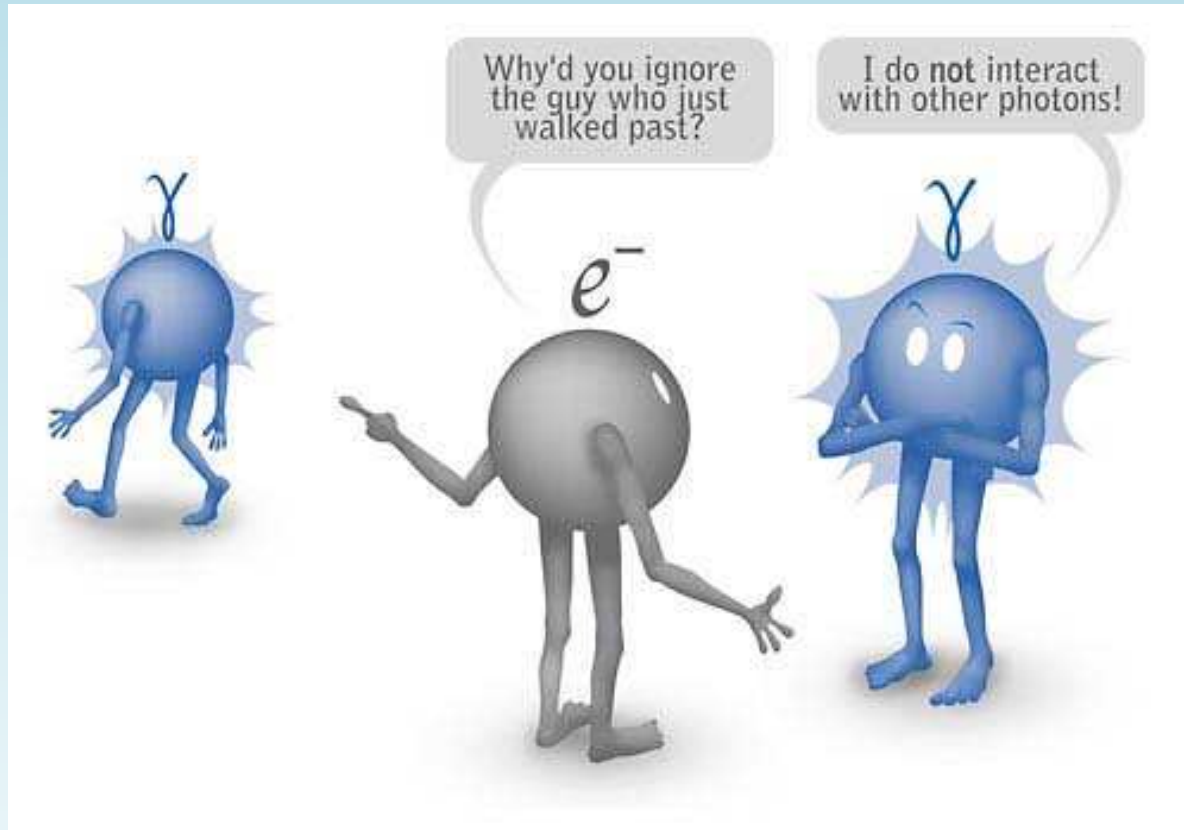
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⇒ **gluons interact with each other** (have color charge), determining the peculiar properties and the **nonperturbative nature** of low-energy QCD

⇒ Running coupling  $\alpha_s(p)$  instead of  $\alpha \approx 1/137$

# Photons vs. Gluons



Photons do not interact directly with one another

⇒ **lightsabers** (Star Wars) could not possibly work... ☹️



# QCD vs. QED

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QCD (strong force)

quarks, gluons

$SU(3)$  (3 “colors”)

$m_q, \alpha_s(p)$

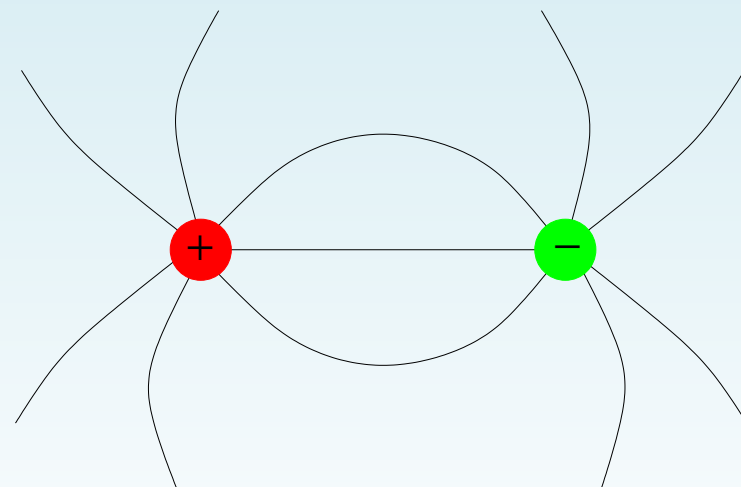


vs. QED (EM force)

electrons, photons

$U(1)$

$m_e, \alpha \approx 1/137$



# Confinement vs. Asymptotic Freedom (I)

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- At **high energies**: deep inelastic scattering of electrons reveals proton made of **partons**: **pointlike** and **free**. In this limit  $\alpha_s(p) \ll 1$  (**asymptotic freedom**) and QCD is **perturbative**

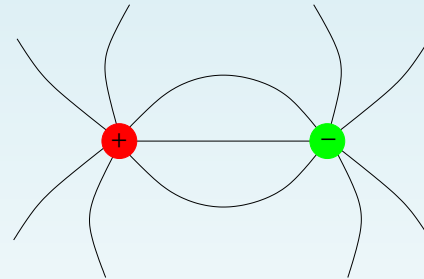
$$\alpha_s(p) = \frac{4\pi}{\beta_0 \log(p^2/\Lambda^2)} \left[ 1 - \frac{2\beta_1}{\beta_0^2} \frac{\log(\log(p^2/\Lambda^2))}{\log(p^2/\Lambda^2)} + \dots \right]$$

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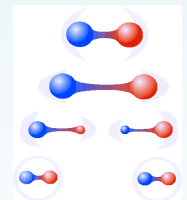
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- At **low energies**: interaction gets stronger,  $\alpha_s \approx 1$  and **confinement** occurs. **Color field** may form **flux tubes**

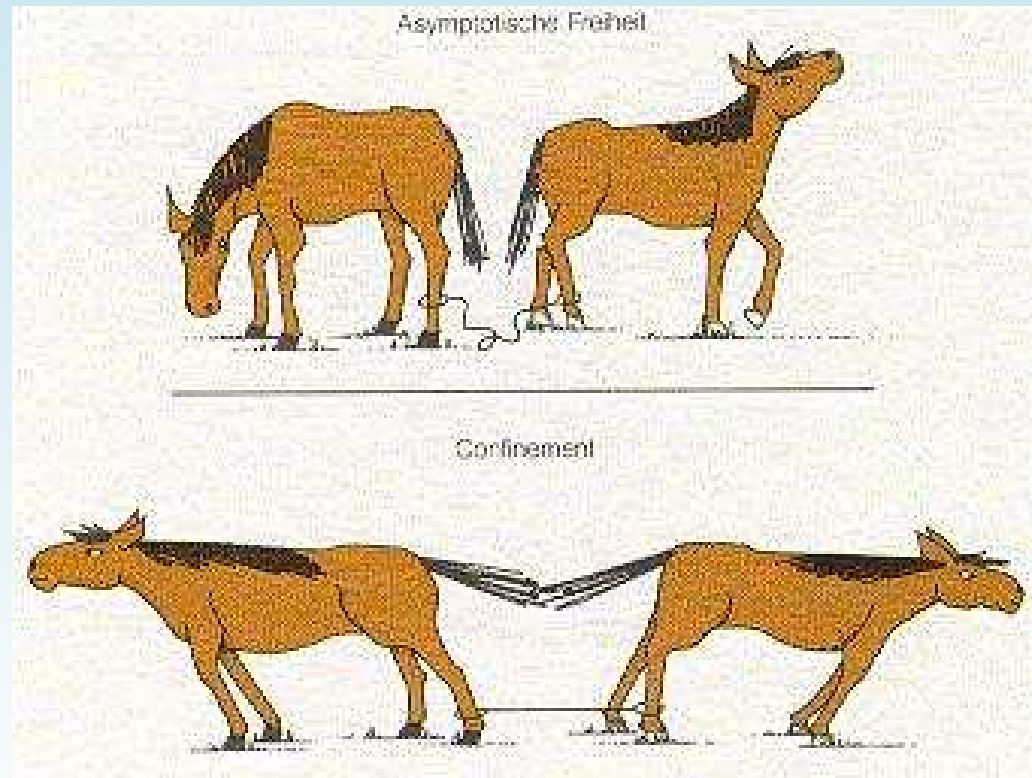


linear increase of inter-quark potential  $\rightarrow$  **string tension**  
At large distances  $\rightarrow$  **string breaks**



# Confinement vs. Asymptotic Freedom (II)

At **high energies** (small distances) quarks behave as **free particles**, but at **large distances** the strength of the interaction becomes constant and an infinite energy would be necessary to separate two quarks  $\Rightarrow$  **confinement**

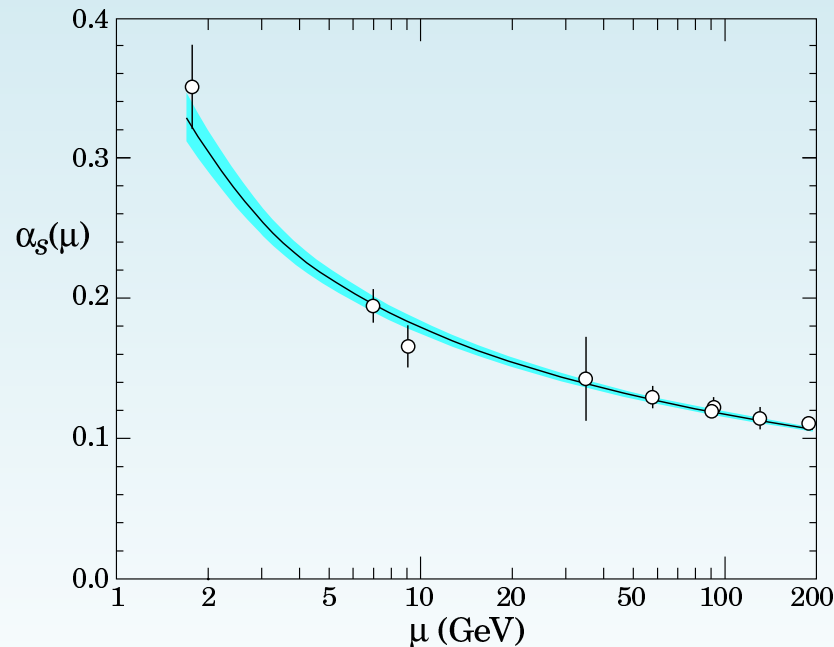


# How do we perform calculations?

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The strength of the interaction  $\alpha_s$  increases for larger  $r$  (smaller  $p$ ) and vice-versa (asymptotic freedom).

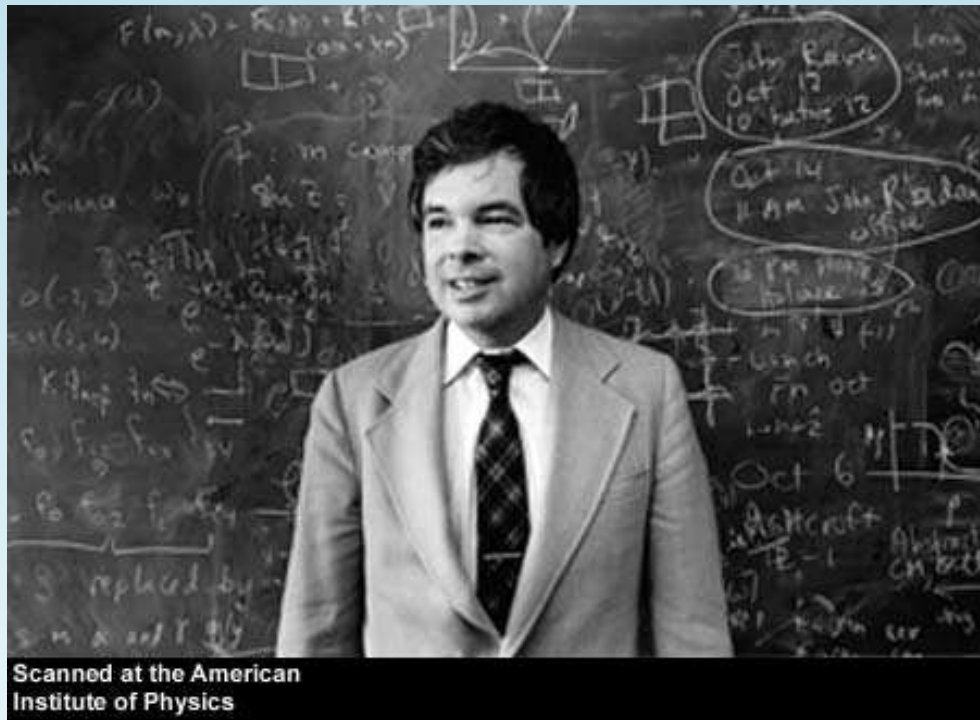
Perturbation theory breaks down in the limit of “small” energies



# QCD on a Lattice (I)

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Kenneth Geddes Wilson (June 8, 1936 – June 15, 2013)



**Lattice** used by Wilson in 1974 as a trick to prove **confinement** in (strong-coupling) QCD

[*Confinement of quarks*, Phys. Rev. D 10, 2445 (1974)]

# QCD on a Lattice (II)

---

As recalled by Wilson

*[...] Unfortunately, I found myself **lacking the detailed knowledge and skills** required to conduct research using renormalized non-Abelian gauge theories. **What was I to do**, especially as I was eager to jump into this research with as little delay as possible? [...] from my previous work in statistical mechanics I knew a lot about working with **lattice theories**...*

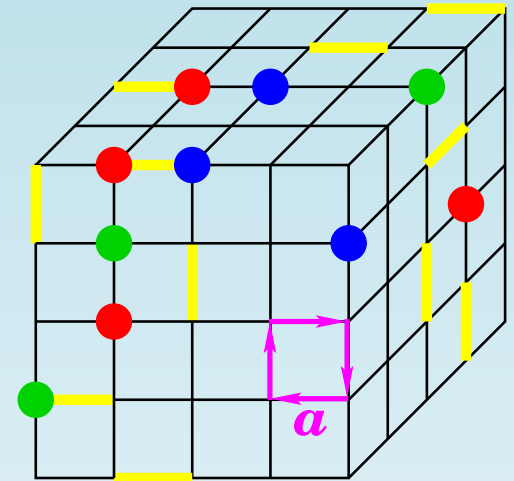
*[...] I decided I might find it easier to work with a **lattice version of QCD**...*

*The Origins of Lattice Gauge Theory*, hep-lat/0412043 (Lattice 2004)

# Lattice QCD Ingredients

## Three ingredients

1. Quantization by **path integrals**  $\Rightarrow$  sum over configurations with “weights”  $e^{iS/\hbar}$
2. **Euclidean formulation** (analytic continuation to imaginary time)  $\Rightarrow$  weight becomes  $e^{-S/\hbar}$
3. **Discrete** space-time  $\Rightarrow$  UV cut at momenta  $p \lesssim 1/a \Rightarrow$  **regularization**

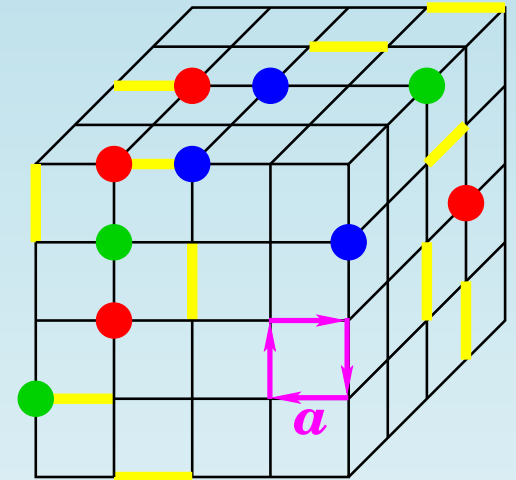




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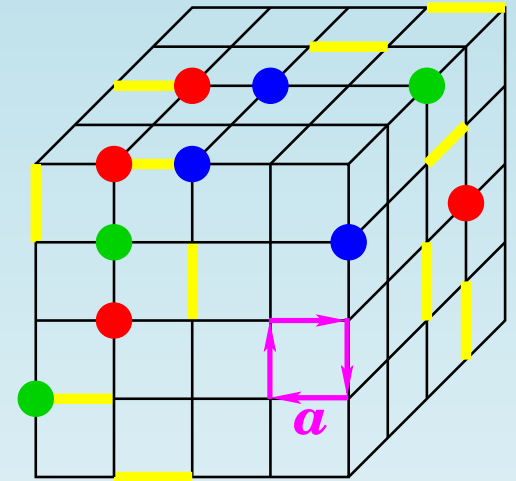


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## The Wilson action

- is written for the **gauge links**  $U_{x,\mu} \equiv e^{ig_0 a A_\mu^b(x) T_b}$
- reduces to the usual action for  $a \rightarrow 0$
- is **gauge-invariant**

# The Lattice Action

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## The Wilson action (1974)

$$S = -\frac{\beta}{3} \sum_{\square} \text{ReTr} U_{\square}, \quad U_{x,\mu} \equiv e^{ig_0 a A_{\mu}^b(x) T_b}, \quad \beta = 6/g_0^2$$

- written in terms of **oriented plaquettes** formed by the **link variables**  $U_{x,\mu}$ , which are group elements
- under gauge transformations:  $U_{x,\mu} \rightarrow g(x) U_{x,\mu} g^{\dagger}(x + \mu)$ , where  $g \in SU(3) \Rightarrow$  closed loops are gauge-invariant quantities
- integration volume is finite: **no need for gauge-fixing**

At small  $\beta$  (i.e. **strong coupling**) we can perform an expansion analogous to the **high-temperature expansion** in statistical mechanics. At lowest order, the only surviving terms are represented by diagrams with “double” or “partner” links, i.e. the same link should appear in both orientations, since  $\int dU U_{x,\mu} = 0$

# Confinement and Area Law

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Considering a rectangular loop with sides  $R$  and  $T$  (the Wilson loop) as our observable, the leading contribution to the observable's expectation value is obtained by “tiling” its inside with plaquettes, yielding the **area law**

$$\langle W(R, T) \rangle \sim \beta^{RT}$$

But this observable is related to the **interquark potential for a static quark-antiquark pair**

$$\langle W(R, T) \rangle = e^{-V(R)T}$$

We thus have  $V(R) \sim \sigma R$ , demonstrating **confinement** at strong coupling (**small  $\beta$** )!

**Problem:** the physical limit is at **large  $\beta$** ...

# Numerical Lattice QCD (I)

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The approach had a “marvelous side effect”, as Michael Creutz calls it

*By discreetly making the system discrete, it becomes sufficiently well defined to be placed on a computer. This was fairly straightforward, and came at the same time that computers were growing rapidly in power. Indeed, numerical simulations and computer capabilities have continued to grow together, making these efforts the mainstay of lattice gauge theory.*

*The Early days of lattice gauge theory,  
AIP Conf. Proc. 690, 52 (2003)*

# Numerical Lattice QCD (II)

---

We are left with a (classical) **Statistical-Mechanics** model with the **partition function**

$$Z = \int \mathcal{D}U e^{-S_g} \int \mathcal{D}\psi \mathcal{D}\bar{\psi} e^{-\int d^4x \bar{\psi}(x) K \psi(x)} = \int \mathcal{D}U e^{-S_g} \det K(U)$$

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All we need is to evaluate expectation values

$$\langle \mathcal{O} \rangle = \int \mathcal{D}U \mathcal{O}(U) P(U)$$

with the weight

$$P(U) = \frac{e^{-S_g(U)} \det K(U)}{Z}$$

⇒ analogous to thermodynamic averages in Statistical Mechanics

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**Very complicated (high-dimensional) integral to compute!**

May perform Monte Carlo simulations, as in statistical mechanics!

# Monte Carlo Simulations

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Stochastic systems are simulated on the computer using a **random number generator**



⇒ theoretical approach, with experimental aspects:

- data, errors
- “measurements” in time



# Lattice QCD Simulations

---

(Classical) **Statistical-Mechanics** model — which may be studied by **Monte Carlo simulations** — with the **partition function**

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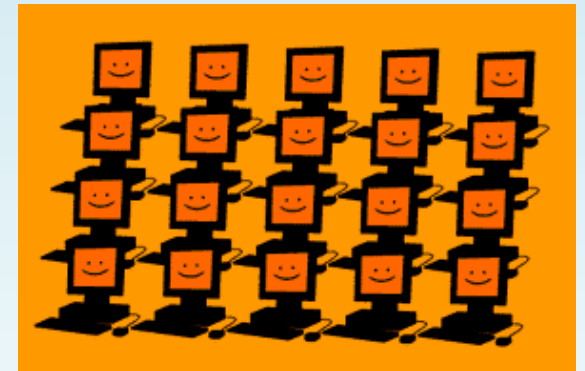
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Monte Carlo methods

- **pure gauge** (quenched):  
Metropolis / Heat Bath + Overrelaxation
- **gauge + dynamic quarks** (full QCD):  
Hybrid Monte Carlo (HMC)



# Lattice QCD Simulations

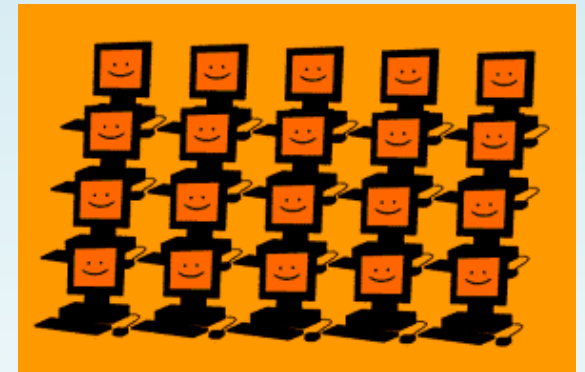
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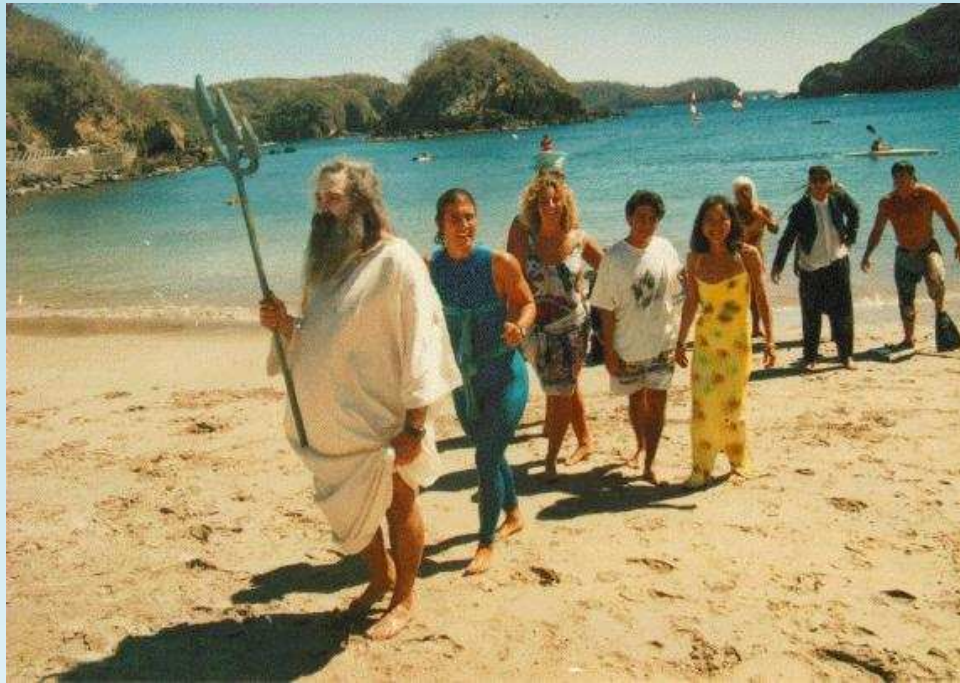
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**Note:**  $m = m_{\text{latt}}/a$ ; as  $a \rightarrow 0$  **correlation length**  $\xi_{\text{latt}} = 1/m_{\text{latt}} \rightarrow \infty$   
 $\Rightarrow$  **Continuum limit corresponds to critical point of the lattice theory**

# Life on the Lattice

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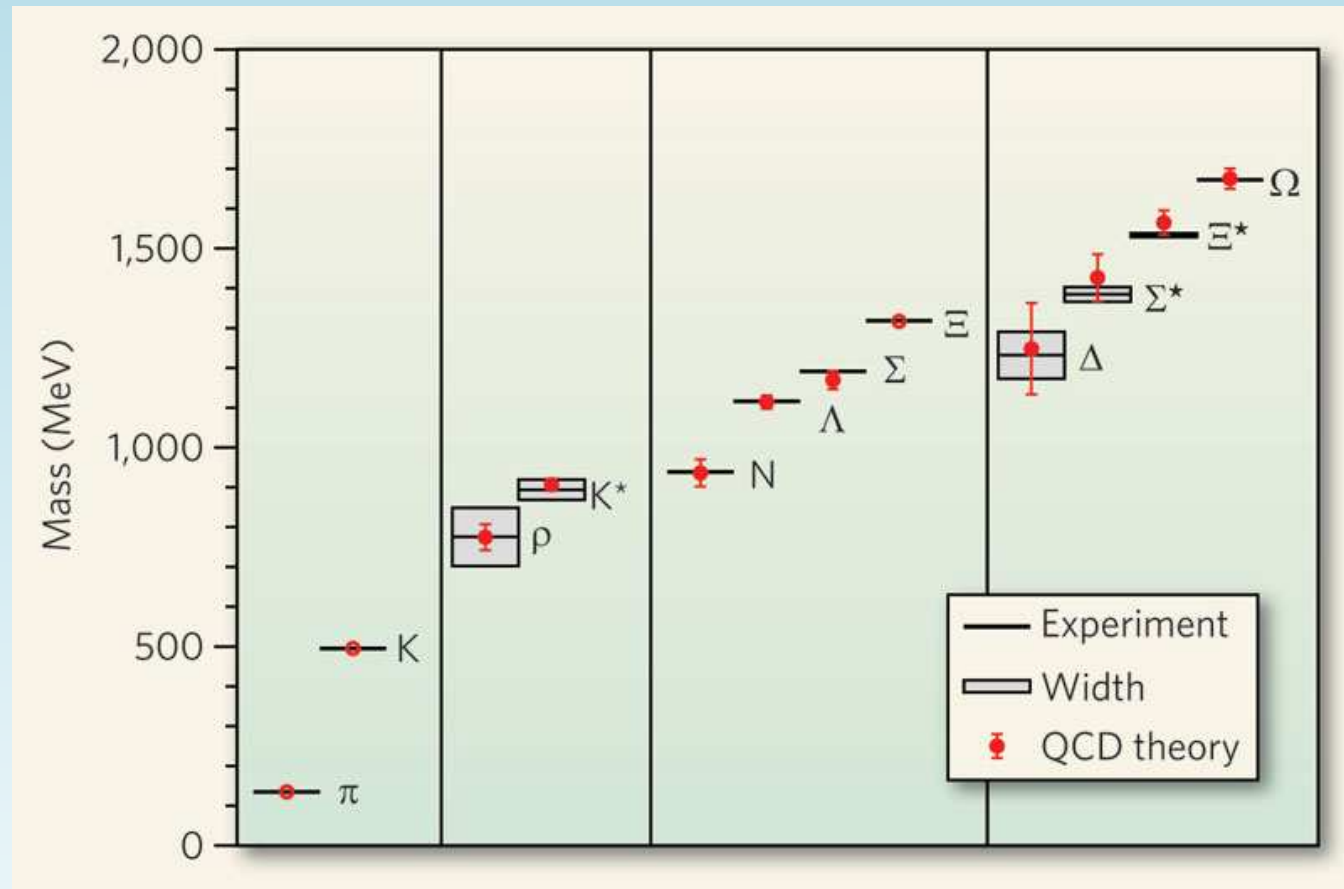
**Lattice links:** <http://latticeguy.net/lattice.html>

**Blog:** <http://latticeqcd.blogspot.com.br/>

**Yearly meetings:** Lattice Conference (latest was Lattice 2017 in Granada, Spain)

**Papers:** [arXiv/hep-lat](https://arxiv.org/)

# Lattice QCD Results: Mass Spectrum

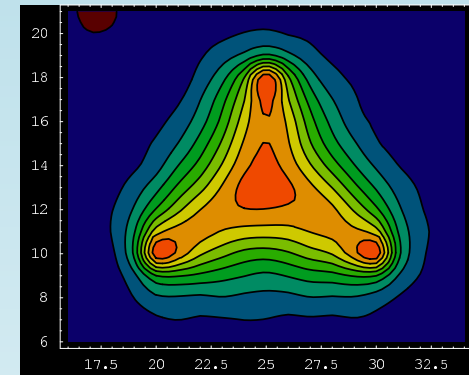
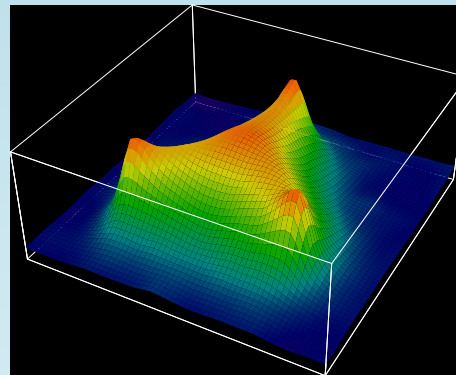
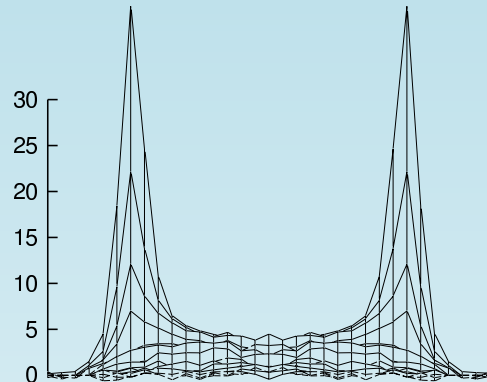


Light hadron masses obtained by [S. Dürer et al. \(Science, 2008\)](#) vs. experimental values. **Note:**  $\pi$ ,  $K$ ,  $\Xi$  as inputs.

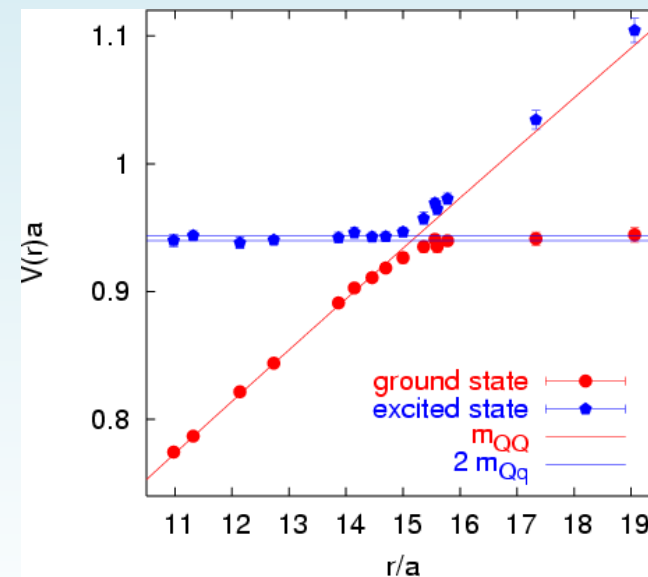
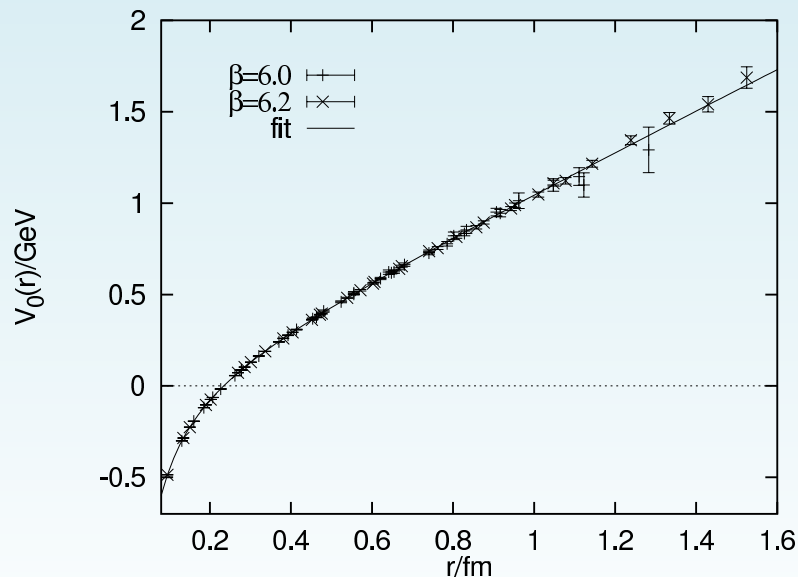
**Press:** (November 2008): United Press, Scientific American, Nature (F. Wilczek)

# Lattice QCD Results (II)

May observe formation of flux tubes



**Linear Growth** of potential between quarks, **string breaking**





# Confinement: the Elephant in the Room

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Do we **understand** confinement?

⇒ we know what it **looks like**,  
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**Millenium Prize Problems** (Clay Mathematics Institute, USA/UK)

Yang-Mills and Mass Gap: Experiment and computer simulations suggest the existence of a **mass gap** in the solution to the quantum versions of the Yang-Mills equations. But **no proof of this property is known**.

# Pathways to Confinement

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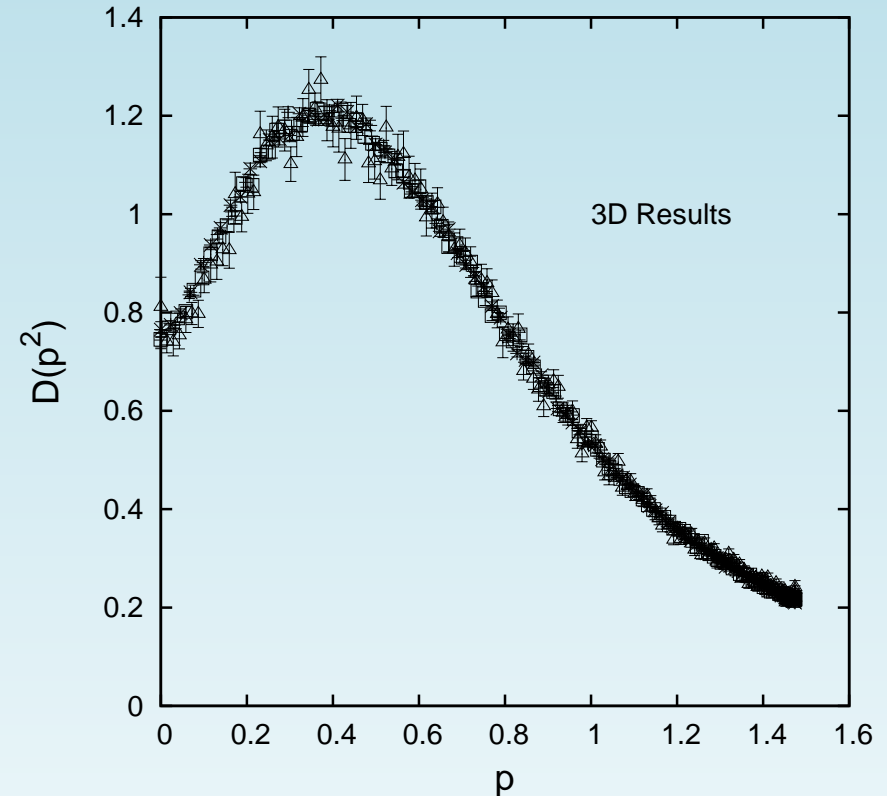
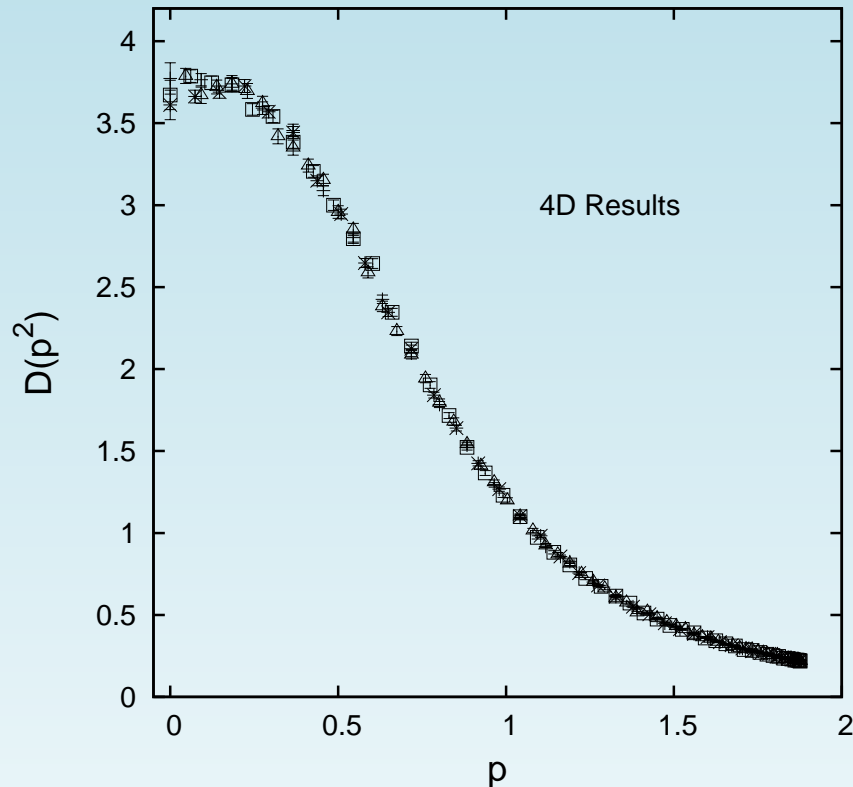
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- **Gribov-Zwanziger** confinement scenario based on suppressed gluon propagator and **enhanced ghost propagator** in the infrared

# Gluon Propagator at “Infinite” Volume

Attilio Cucchieri & T.M. (2008)



**Gluon propagator  $D(k)$**  as a function of the lattice momenta  $k$  (both in physical units) for the pure- $SU(2)$  case in  $d = 4$  (left), considering volumes of up to  $128^4$  (lattice extent  $\sim 27$  fm) and  $d = 3$  (right), considering volumes of up to  $320^3$  (lattice extent  $\sim 85$  fm).

# GZ Scenario: Confinement by Ghost

---

Formulated for [Landau gauge](#), predicts gluon propagator

$$D_{\mu\nu}^{ab}(p) = \sum_x e^{-2i\pi k \cdot x} \langle A_\mu^a(x) A_\nu^b(0) \rangle = \delta^{ab} \left( g_{\mu\nu} - \frac{p_\mu p_\nu}{p^2} \right) D(p^2)$$

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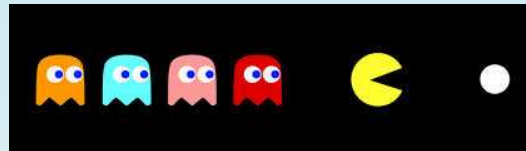
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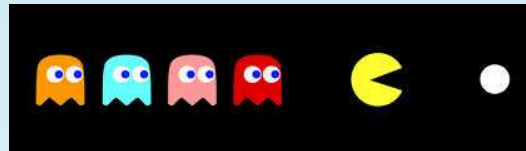
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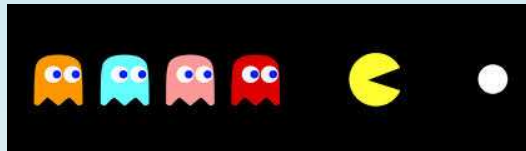
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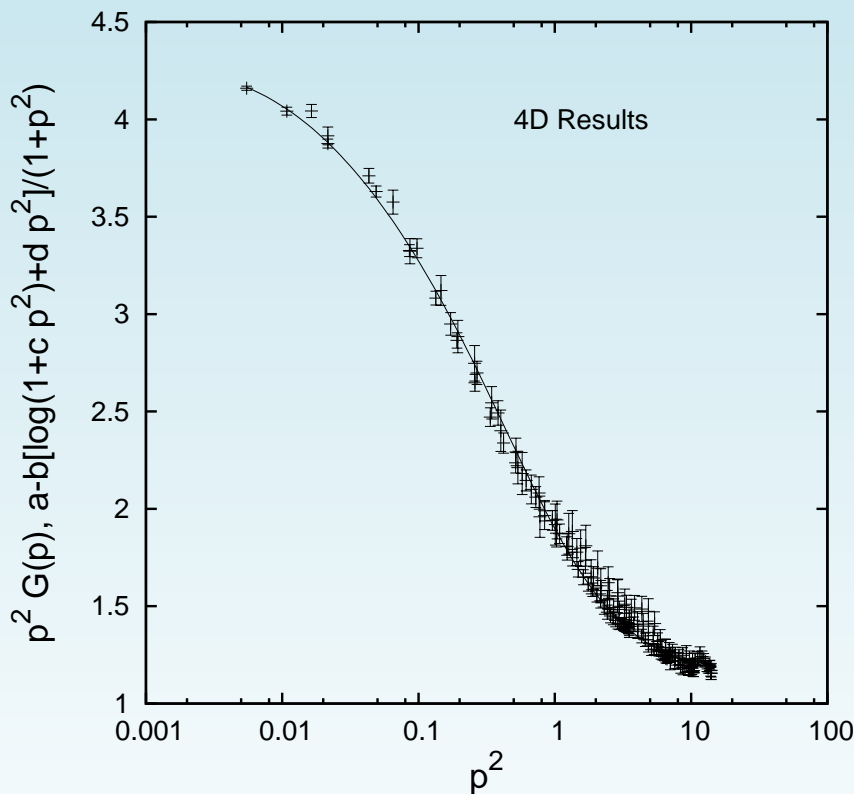


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- Infinite volume favors configurations on the **first Gribov horizon**  $\partial\Omega$ , where minimum nonzero eigenvalue  $\lambda_{min}$  of Faddeev-Popov operator  $\mathcal{M}$  goes to zero
- In turn,  $G(p)$  should be **IR enhanced**, introducing long-range effects, which are related to the color-confinement mechanism

# Ghost Propagator Results

Fit of the ghost dressing function  $p^2 G(p^2)$  as a function of  $p^2$  (in GeV) for the 4d case ( $\beta = 2.2$  with volume  $80^4$ ). We find that  $p^2 G(p^2)$  is best fitted by the form  $p^2 G(p^2) = a - b[\log(1 + cp^2) + dp^2]/(1 + p^2)$ , with



$$\begin{aligned} a &= 4.32(2), \\ b &= 0.38(1) \text{ GeV}^2, \\ c &= 80(10) \text{ GeV}^{-2}, \\ d &= 8.2(3) \text{ GeV}^{-2}. \end{aligned}$$

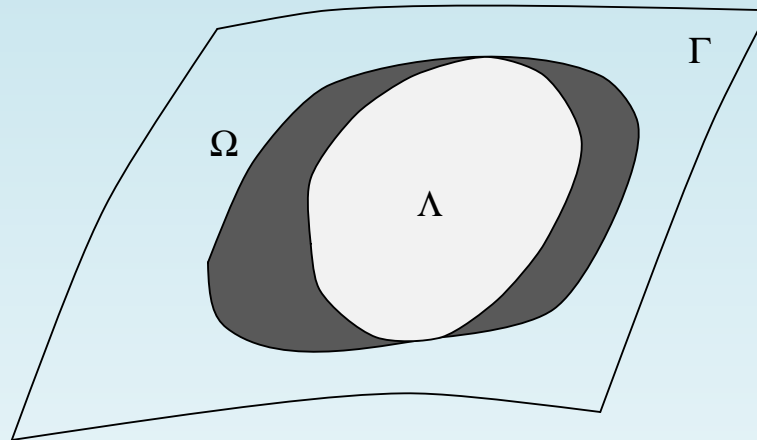
In IR limit  $p^2 G(p^2) \sim a$ .

Attilio Cucchieri & T.M. (2008)

# The Infinite-Volume Limit

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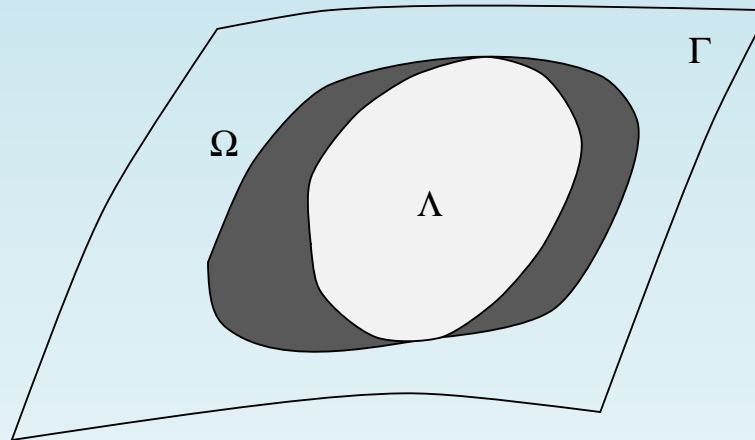
As the infinite-volume limit is approached, the **sampled configurations** (inside  $\Omega$  = region for which  $\mathcal{M}$  is positive semi-definite) are closer and closer to the **first Gribov horizon**  $\partial\Omega$



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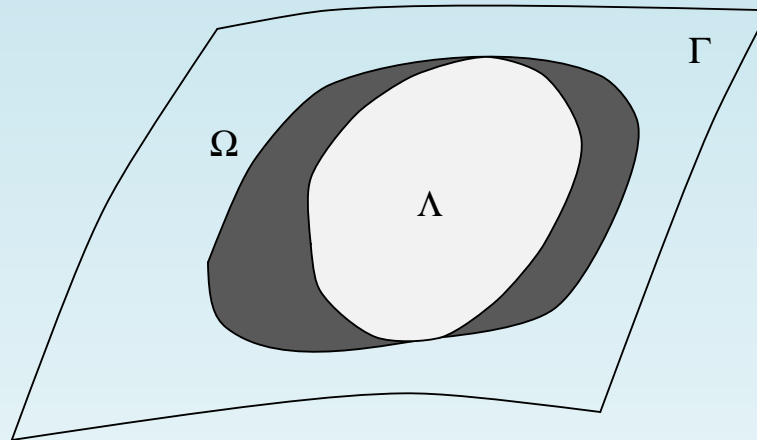


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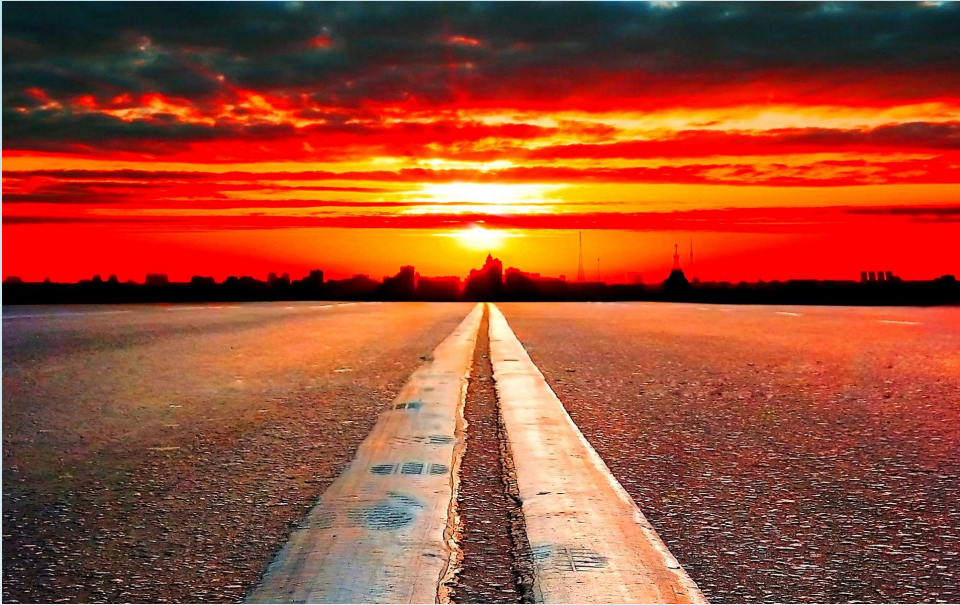


Can we learn more about the geometry of this region?

Lattice simulation produces **thermalized gauge configurations**, but we can also “visit” **nearby configs** and extract info from them!

# Reaching (and Crossing!) the Horizon

---



How many roads have I wondered?  
None, and each my own  
Behind me the bridges have crumbled  
No question of return

Nowhere to go but the horizon  
where, then, will I call my home?

*The Same Song*, Susheela Raman



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- They say that communism is just over the horizon. **What's a horizon?**
- A horizon is an imaginary line which continues to recede as you approach it.

**Russian** joke from Khrushchev's time

# Relating $\lambda_{min}$ and Geometry

---

Using properties of  $\Omega$  and the concavity of the minimum function, one can show (A. Cucchieri, TM, PRD 2013)

$$\lambda_{min} [\mathcal{M}[A]] \geq [1 - \rho(A)] p_{min}^2$$

Here  $1 - \rho(A) \leq 1$  measures the distance of a configuration  $A \in \Omega$  from the boundary  $\partial\Omega$  (in such a way that  $\rho^{-1}A \equiv A' \in \partial\Omega$ ). This result applies to **any Gribov copy** belonging to  $\Omega$

Recall that  $A' \in \partial\Omega \implies$  the smallest non-trivial eigenvalue of the **FP matrix**  $\mathcal{M}[A']$  is **null**, and that the smallest non-trivial eigenvalue of **(minus) the Laplacian**  $-\partial^2$  is  $p_{min}^2$

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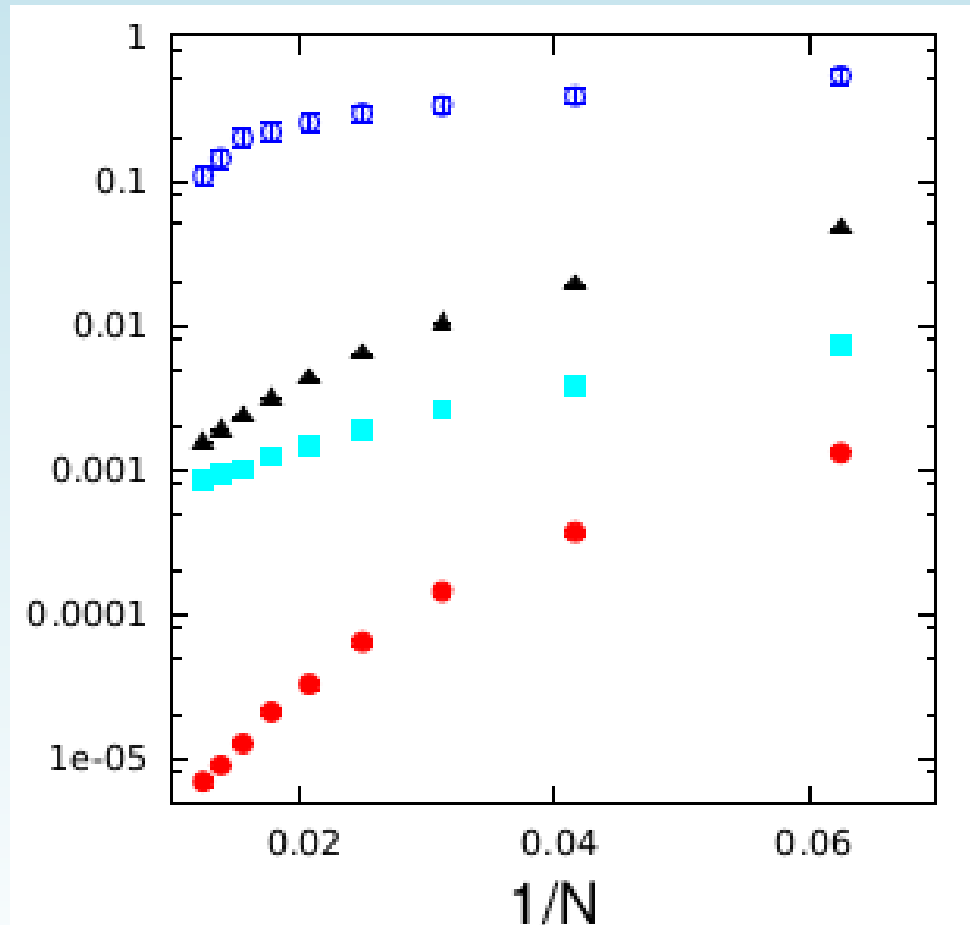
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In the **Abelian case** one has  $\mathcal{M} = -\partial^2$  and  $\lambda_{min} = p_{min}^2 \implies$  **non-Abelian effects** are included in the  $(1 - \rho)$  **factor**

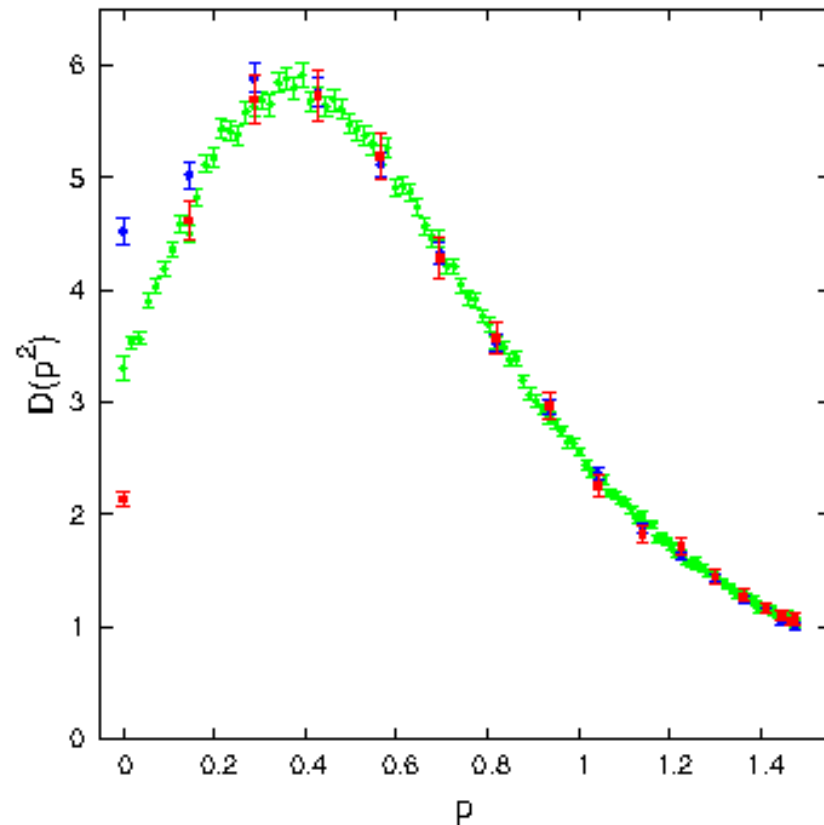
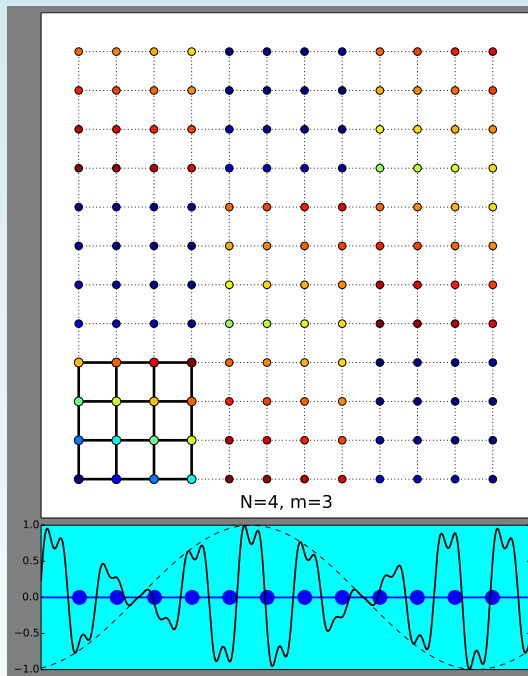
# How far from Equality? **Very far...**

Using  $A' = \tilde{\tau} A \equiv A(\tau_{n-1} + \tau_n)/2 \in \partial\Omega$  and  $\rho = 1/\tilde{\tau} < 1$ : plot **inverse of the lower bound for  $G(p)$** ,  $1/G(p_{min})$ ,  $\lambda_{min}$  and the quantity  $(1 - \rho) p_{min}^2$  as functions of the inverse lattice size  $1/N$ .



# Large Lattices via Bloch's Theorem

Perform thermalization step on small lattice, then replicate it and use Bloch's theorem from condensed-matter physics to obtain gauge-fixing step for much larger lattice (A. Cucchieri, TM, PRL 2017)



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We've come a long way... in discarding things we thought we knew about confinement

# Conclusion

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Still lots to be understood **inside a Proton!!**

