

Heavy quark production in pp , charm/beauty/top

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with input from: S. Alekhin, M. Benzke, A. Geiser, T. Gaiser,
B. Kniehl, G. Kramer, R. Laha, K. Lipka, S.O. Moch, G. Sigl,
O. Zenaiev + PROSA collaboration

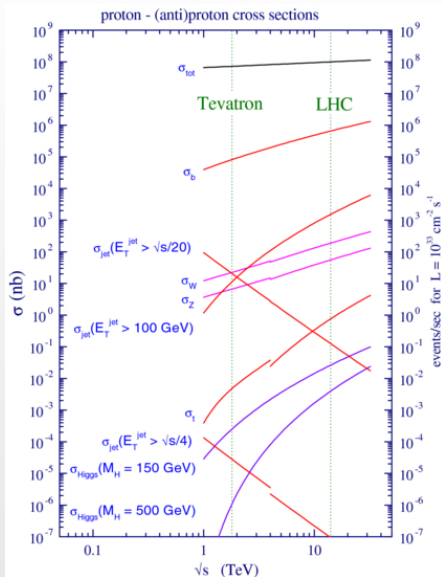
+ further authors in the literature

+ LHCb papers

See also talks by M.W. Winn, R. Gauld, P. Nason, H. Shao,
all complementary to my one.

LHCb Workshop on “Implications of LHCb measurements and
future prospects”, 8 - 10 November 2017

Heavy-flavour hadroproduction at LHC



* huge cross-sections for charm and bottom hadroproduction:

at $\sqrt{S} = 13 \text{ TeV}$,

$\sigma(pp \rightarrow c\bar{c}) \sim \mathcal{O}(10 \text{ mb})$,

$\sigma(pp \rightarrow b\bar{b}) \sim \mathcal{O}(600 \mu\text{b})$,

$\sigma(pp \rightarrow t\bar{t}) \sim \mathcal{O}(700 \text{ pb})$.

* Charm, bottom and top hadroproduction are studied by LHCb, ALICE, ATLAS, CMS, in different kinematical regions.

The LHCb experiment allows to probe large rapidities $(2 < y < 4.5)$.

Status of theory for

$$pp/p\bar{p} \rightarrow c\bar{c} + X, b\bar{b} + X, t\bar{t} + X$$

- * NLO QCD + EW corrections available
(Nason et al., 1988, Beenakker et al., 1989....., Kuhn et al. 2006....)
- * NLO matched to Parton Shower (+ hadronization + MPI)
- * NNLO QCD + NLO EW accuracy:
 - at the differential level, exact predictions only for $t\bar{t}$ (Czakon et al., 2016 - 2017)
 - for the total σ , approximate N³LO QCD predictions (Muselli et al, 2015)
- * Predictions including resummations of various kinds of large logarithms (matched to fixed-order cross-sections):
 - heavy-quark hadroproduction close to threshold,
 - high-energy (small- x) log resummation
 - resummation of (p_T/m_Q) logs at high p_T ,
 -

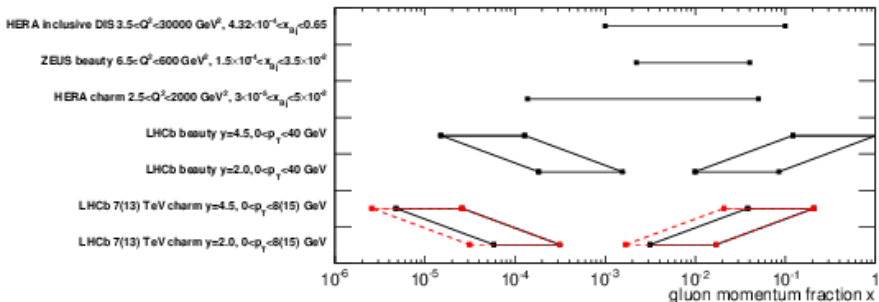
Status of theory for single-inclusive open heavy-flavour production ($pp \rightarrow H + X$)

- * p_T spectrum finite for $p_T \rightarrow 0$ in the **FFNS** (thanks to $m_Q \neq 0$).
- * Logarithms of p_T/m_Q can be large in the high- p_T tail of p_T distributions, depending on kinematics and E_{CM} .
- * At small p_T , FFNS works; however, at large p_T one needs to resum the logs.
Open question: how large ?
- * **ZM-FNS**: massless quarks. LL and NLL contribution effectively resummed through evolution of PDF/FF.
Works at large p_T , but divergence at $p_T \rightarrow 0$. Powers of m_Q/p_T missing !
- * **GM-VFNS**: ideal combination of FFNS at low p_T with ZM-FNS at large p_T .
But some arbitrariness in the way the combination is done.

Two approaches: FONLL (Nason et al.) and GM-VFNS (Kniehl et al.),
which both combine fixed-order (NLO) predictions
with LL+NLL resummation,
but differ in the way the combination is done.

Both used in LHCb studies!

Heavy-flavour hadroproduction at LHCb vs. HERA:



LHCb data allows to cover x regions uncovered by HERA data, both at low x 's (especially open charm data) and at large x 's (especially open bottom data).

For LHCb, LO formula $x = \exp(\pm y \sqrt{p_T^2 + m_Q^2} / E_p) \Rightarrow$

Larger rapidities of the emitted quark and/or larger collision energies correspond to more extreme x 's

LHCb data on open heavy hadrons and PROSA PDF fit

Basic idea: use the data on D -meson and B -meson hadroproduction at LHCb to constrain PDFs (especially gluon PDFs) at low x 's.

Data sets:

Open charm data at 7 TeV: D -meson p_T distributions in the range $[0, 8]$ GeV, in five rapidity bins between $2 < y < 4.5$. [arXiv:1302.2864]

Open bottom data at 7 TeV: B -meson p_T distributions in the range $[0, 40]$ GeV, in five rapidity bins between $2 < y < 4.5$ [arXiv:1306.3663]

These data are considered together with all HERA data used for the HERAPDF1.0 PDF fit:

- NC and CC inclusive DIS combined HERA data,
- $c\bar{c}$ DIS combined HERA data and $b\bar{b}$ DIS ZEUS data.

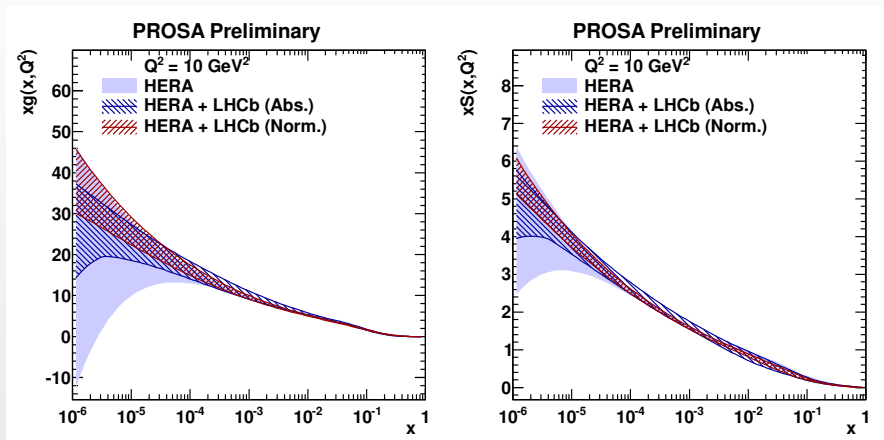
Three variants of the PDF fit:

- 1) one only with HERA data;
- 2) one also including LHCb absolute differential cross-sections;
- 3) another one with reduced uncertainties: for each fixed LHCb p_T bin, use the ratios of distributions $(d\sigma/dy)/(d\sigma/dy_0)$ in different rapidity bins (i.e. normalized to the central bin $3 < y_0 < 3.5$): in the ratios theoretical uncertainties partly cancel.

PROSA PDF fit: details of the fit

- * Ingredients of the fit (besides data):
 - the general methodology used for the HERAPDF 1.0 fit,
 - theoretical predictions at NLO accuracy (MNR code),
 - complemented by non-perturbative FF (already providing good description of the HERA data).
 - parameterization: same as in the HERAPDF 1.0 PDF fit, which includes a very flexible gluon PDF functional form (even negative gluon PDFs are allowed)
- * Three sources of uncertainties: fit, model, parameterization
 - **fit uncertainties**: arise from the experimental uncertainties of the measurements used in the fit;
 - **model uncertainties**: related to μ_R and μ_F scale variation, $\alpha_S(M_Z)$, fragmentation parameters, f_S , Q_{min}^2 for HERA data used in the fit;
 - **parameterization uncertainties**: starting scale of the QCD evolution at which parameterization is considered, additional parameters varying the functional form of the parameterization.

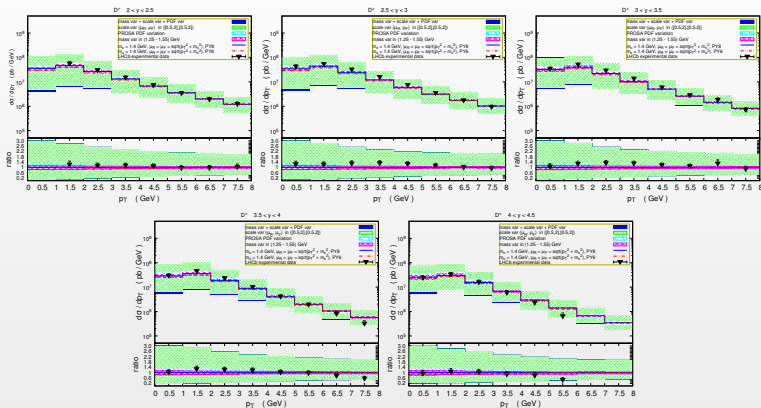
PROSA PDF fits: comparison between the three variants of the fit



- * The gluon and the sea quark distributions are correlated:
a reduction on the uncertainty of the former propagates to the latter.

Theory predictions vs. LHCb experimental data on

$pp \rightarrow D^\pm + X$ at $\sqrt{S} = 7$ TeV



- * Here we compare theoretical absolute cross-sections to experimental data, whereas the PROSA PDF fit variant using LHCb data ratios is employed in the predictions.
- * Big uncertainties on the theoretical predictions, dominated by μ_R and μ_F scale variations.

New data from LHCb at \sqrt{S} at 5 and 13 TeV

Open charm data at $\sqrt{S} = 5$ and 13 TeV have been published after our PROSA fit.

Charm Data at 5 TeV: p_T distributions between [0, 10] GeV, in five rapidity bins between $2 < y < 4.5$ [arXiv:1610.02230]

Charm Data at 13 TeV: p_T distributions between [0, 15] GeV, in five rapidity bins between $2 < y < 4.5$ + 13 / 5 distribution ratios + 13 / 7 distribution ratios.

See [arXiv:1510.01707], at the sixth revision!

Latest revision of both these datasets: May 2017.

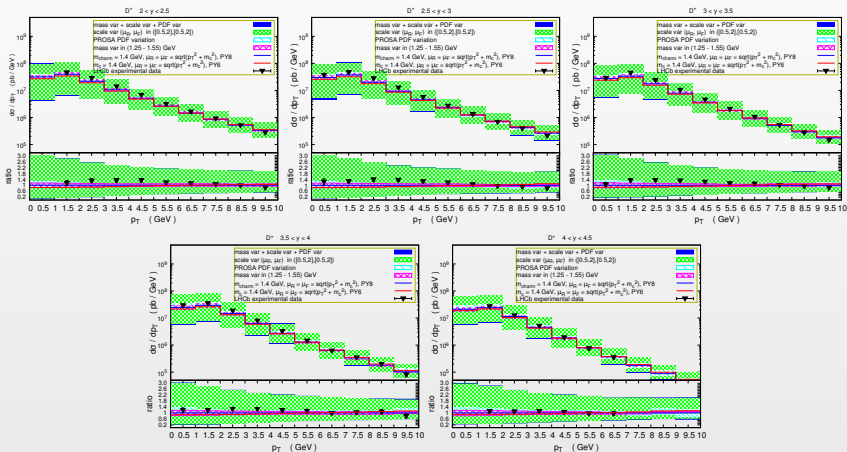
Additionally:

Data on $pp \rightarrow b\bar{b} + X$ at $\sqrt{S} = 13$ and 7 TeV:

pseudorapidity distributions in six pseudorapidity bins between $2 < \eta < 5$ + 13 / 7 distribution ratios [arXiv:1612.05140], at the seventh revision!

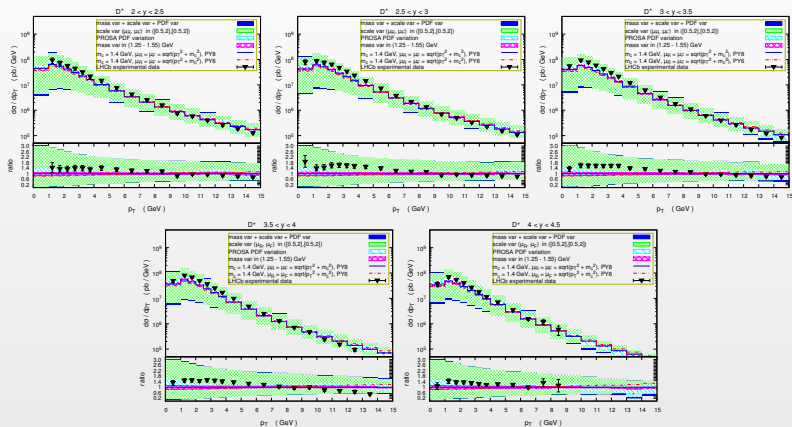
Latest revision: September 2017.

Theory predictions vs. LHCb experimental data on $pp \rightarrow D^\pm + X$ at $\sqrt{S} = 5$ TeV



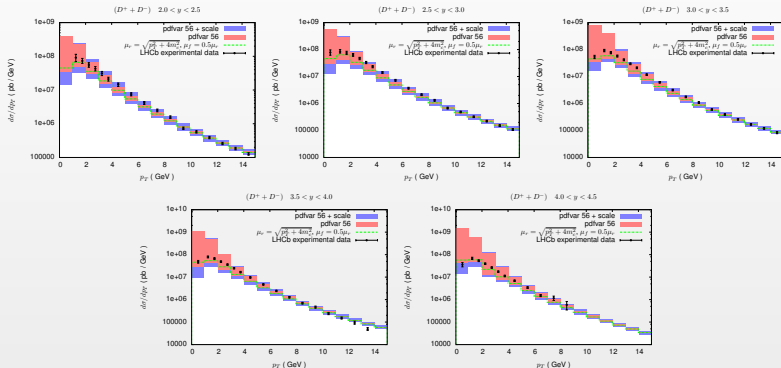
These data are not included in the PROSA PDF fit:
good agreement theory/experiment.

Theory predictions vs. LHCb experimental data on $pp \rightarrow D^\pm + X$ at $\sqrt{S} = 13$ TeV



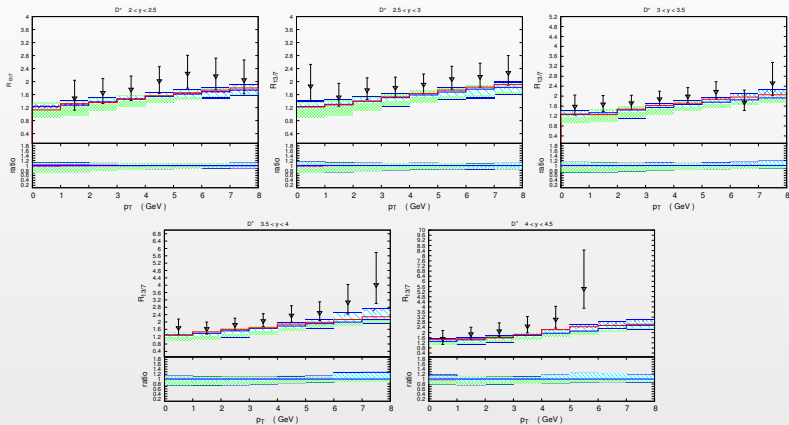
These data are not included in the PROSA PDF fit:
 experimental data always within the theory uncertainty bands.

How do other PDF fits (CT14nlo), not including LHCb data, behave ? $pp \rightarrow D^\pm + X$ at LHCb at 13 TeV

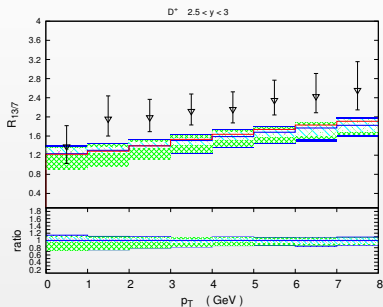


* Large PDF uncertainties, increasing at low p_T / large y .

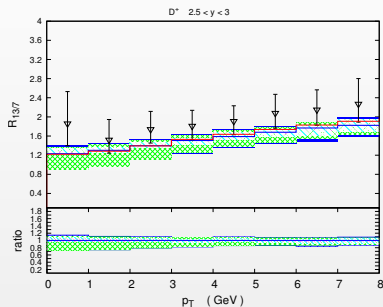
Ratios of theory predictions at different energies vs. 13/7 LHCb experimental data - $pp \rightarrow D^\pm + X$



Ratios of theory predictions at different energies vs. LHCb 13/7 experimental data



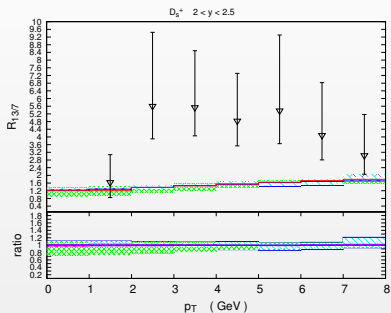
old (wrong) experimental data



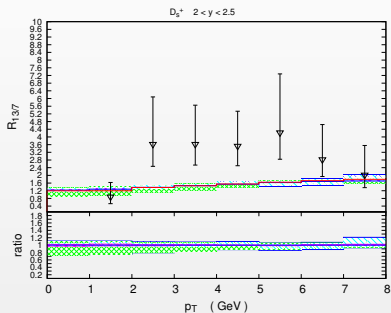
new revised experimental data

- * Agreement of theory predictions and experimental data improved after last **data revision** (May 2017).
- * Reduced uncertainties in ratios (compared to the absolute case)
- * Theory predictions from two different independent computations and PDF sets are considered (red line: NLO QCD + NLL GM-VFNS, with CT14nlo PDFs, green/blue bands: NLO QCD + PS + hadronization, with PROSA PDFs).

Ratios of theory predictions at different energies vs. LHCb 13/7 experimental data



old (wrong) experimental data



new revised experimental data

- * There are still ratios for which the agreement theory/experiment is not within 1σ .
- * Shortcomings in experimental data or shortcomings in theory predictions ?
Up to which extent are these data useful for a PDF fit ?

Wish-list of the PDF-fitters community to include LHCb open charm and bottom data in their fits

* Possibly data correct since the first release (no seven errata)!

* LHCb open charm and bottom data even at other energies would be desirable:

$\sqrt{s} = 0.9, 2.76$ and 8 TeV.

This offers the chance of additional cross-checks and cross-calibrations both on the experimental and the theory level, and to check PDF evolution in x and Q^2 independently.

⇒ (partial) overlap of the x -range covered by different measurements:

open charm at 5 TeV - open beauty at 13 TeV

open charm at 2.76 TeV - open beauty at 7 - 8 TeV

open charm at 0.9 TeV - open beauty at 2.76 TeV

open beauty at 0.9 TeV - top at 13 TeV

* In order to use open charm and bottom data in PDF fits: information on bin-to-bin correlations for each separate measurement as well as between different measurements (charm and beauty, and different center of mass energies) is necessary!

Information of correlations between integrated cross-sections is not enough!

→ We need the same information, but for each measured (p_T, y) bin (see also recent work by R. Gauld).

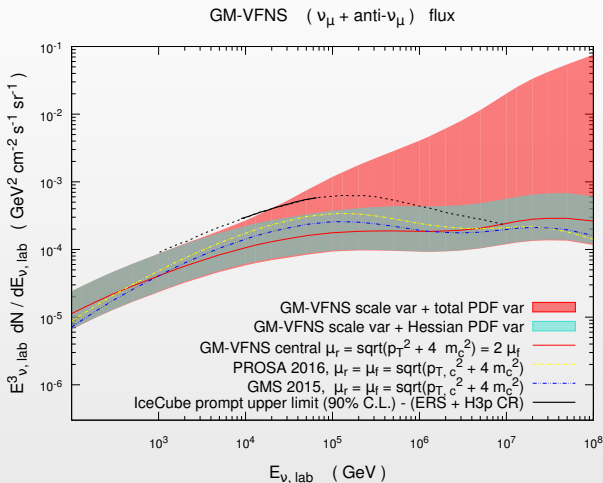
Why these developments matter ?

Constraining PDFs at low x 's is relevant for:

- * **forward physics and multiple parton interactions**, already in the LHC era:
with increasing precision of the LHC data,
improving the description of these aspects matters!
- * **future high-energy colliders**: FCC-hh, etc.....
(see the study in the FCC-hh SM report [arXiv:1607.01831]).
- * **high-energy astroparticle physics** applications:
 - prompt neutrino fluxes
 - neutrino + N DIS (detection of high-energy neutrinos by VL ν T's)

Prompt neutrino fluxes:

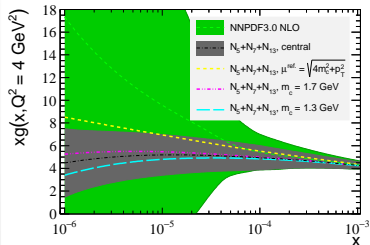
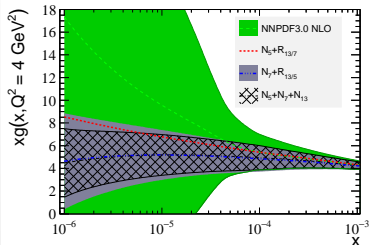
theoretical predictions vs. IceCube upper limits



* IceCube results give clear indication that the CT14nlo gluon PDF uncertainties at low x 's (see PDF error sets 53-56) are too large!

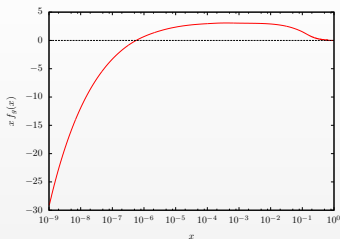
The NNPDF3.0 + LHCb PDF fit

(via Bayesian reweighting of the NNPDF3.0 fit.)

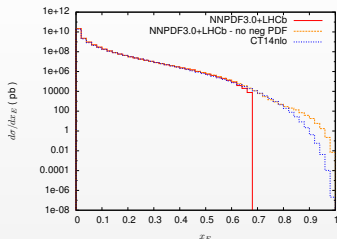


- * their first fit includes **7 TeV** open charm data [arXiv:1511.06346]
- * most recent fit includes **5, 7, 13 TeV** open charm data, as well as **13/7, 13/5** ratios [arXiv:1610.09373 v2]
- ⇒ new version after last LHCb data correction!
- * still space for improvement....

The NNPDF3.0 + LHCb PDF fit and GM-VFNS prompt neutrino fluxes

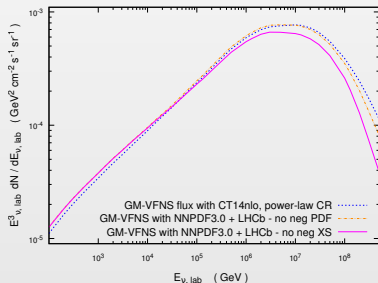


gluon PDF for $Q = 1.7$ GeV



$d\sigma/dx_E$ for $pp \rightarrow D^0 + X$ for p with $E_{lab} = 10^5$ GeV

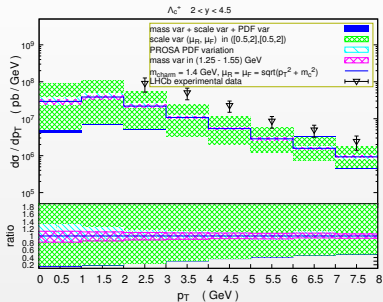
GM-VFNS ($\nu_\mu + \text{anti-}\nu_\mu$) flux



from [arXiv:1705.10386]

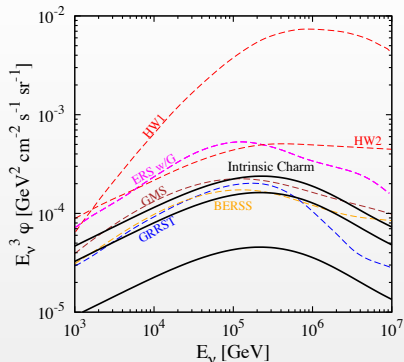
Too negative PDFs produce negative (i.e. unphysical) differential cross-sections!

Forward Λ_c hadroproduction



- * LHCb experimental data at $\sqrt{s} = 7$ TeV above the theory bands (differences within 2σ).
- * Update of branching ratios and fragmentation fractions needed: big uncertainties on these elements ($\sim 25\%$ and 8%).
- * What happens at 13 and 5 TeV ?
- * LHCb is measuring Λ_c/D^0 ratios in $p - Pb$ collisions.
 \Rightarrow Extension to pp would be important for **assessing fragmentation/hadronization mechanisms** and for **testing the intrinsic charm hypothesis**.
 A rapidity dependence is to be expected/checked.

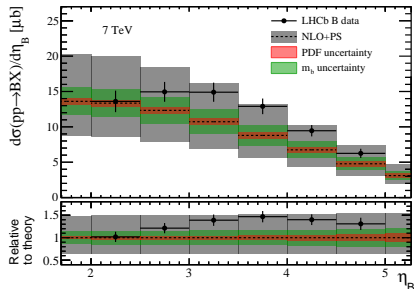
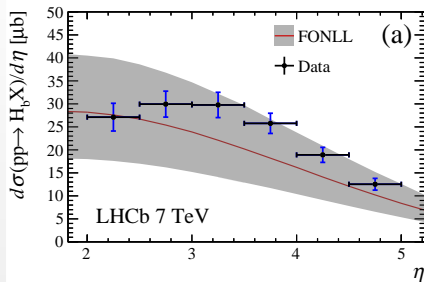
Intrinsic charm and prompt neutrino fluxes



from [arXiv:1607.08240]

- * Extrinsic heavy-quarks generated by $g \rightarrow Q\bar{Q}$ splittings.
- * Intrinsic charm hypothesis testable by LHCb (large x), especially using the fixed-target SMOG apparatus.
- * Further possibility: investigate $pp \rightarrow Zc, \gamma c$.
- * Old results from EMC, ISR, fixed-target experiments (forward Λ_c , asymmetries $D - \bar{D}$, J/ψ J/ψ).

Open bottom production at LHCb, $\sqrt{s} = 7$ TeV: theory vs. experiment



from [arXiv:1612.05140]

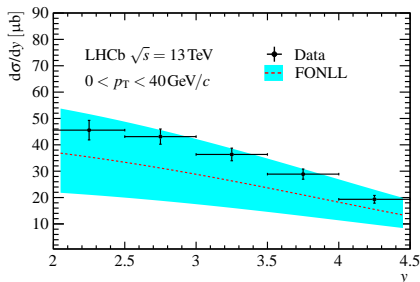
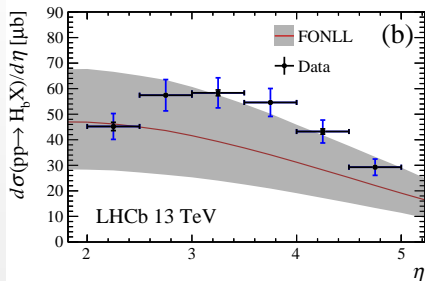
and

from [arXiv:1703.03636]

* Experimental data compared to FONLL and to NLO QCD + PS computations: big theory uncertainties, but differences in the $d\sigma/d\eta$ shape even after last data revision (only concerning the $\sqrt{s} = 13$ TeV data).

* We plan cross-checks with further methods (GM-VFNS).

Open bottom production at LHCb, $\sqrt{s} = 13$ TeV: theory vs. experiment



H_b from [arXiv:1612.05140]

B^+ from [arXiv:1710.04921]

- * The corrected data on H_b at 13 TeV exhibit a similar $d\sigma/d\eta$ shape as those at 7 TeV.
- * Helpful to have separate results for each H_b (B^+ , B^0 , B_s^0 , Λ_b^0).
- * In case of B^+ , shape of FONLL predictions more similar to that of data than for H_b .

Double charm/bottom hadron production involving open charm/bottom: kinematic correlations

- * open charm-anticharm, charm-charm and open charm- J/ψ correlations have been measured by LHCb at $\sqrt{s} = 7$ TeV in [arXiv:1205.0975v3].
- * open bottom-bottom correlations have been reported by LHCb at $\sqrt{s} = 7 + 8$ TeV in [arXiv:1708.05994], following results by ATLAS and CMS at different rapidities.
- * Extension to other center-of-mass energies is ongoing.
- ⇒ Interesting for constraining Double Parton Scattering, for exploring k_T -factorization, and for understanding $g \rightarrow Q\bar{Q}$ splittings.
- * In case of correlated (Q, \bar{Q}) ,
 $\Delta\phi(Q, \bar{Q}) = \pi$ at LO in collinear factorization, but not in k_T -factorization.
 $\Delta\phi = 0$ peak at higher orders generated by $g \rightarrow Q\bar{Q}$ splittings.
- * Unlike the measurements with open-charm mesons, no significant contribution from $g \rightarrow b\bar{b}$ splittings is observed at small $\Delta\phi$ for the bottom case.

Top production at LHCb

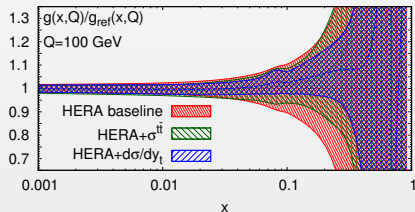
- * $t\bar{t}$ + single-top hadroproduction ((75% + 25%) in the forward region)
- * $t\bar{t}$ dominated by gg fusion, but at increasing rapidities, the contribution of qg and $q\bar{q}$ channels increase. \rightarrow enhanced opportunity to study charge asymmetries.
- * Total cross-section first measured in the $t \rightarrow Wb \rightarrow \mu b$ channel [arXiv:1506.00903].
Background: direct Wb production.
- * More recently $t\bar{t}$ cross-section determined at $\sqrt{s} = 8$ TeV in the $e/\mu + 2$ jets channel [arXiv:1610.0842].

Differential cross-sections (e.g. as a function of rapidity) and separation of single-top wanted! \Rightarrow Useful for PDF and (PDF + α_S + m_{top}) fits ($t\bar{t}$ especially for gluons at large x , single-top especially for d/u ratio at large x).

Limits of usability: the statistics in the restricted phase-space region explored by LHCb.

Warning: when going differential, a proper theoretical or experimental estimate of the $W+b$ differential cross-section is important to extract correctly the signal.

Top quark measurements and constraints on PDFs

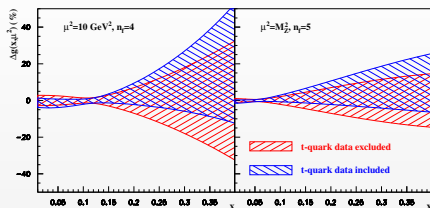


from [arXiv:1611.08609]

and

from [arXiv:1701.05838]

- * Including information on $\sigma^{t\bar{t}}$ and on various differential distributions $d\sigma/dp_{T,t}$, $d\sigma/dy_t$, $d\sigma/dy_{t\bar{t}}$, $d\sigma/dm_{t\bar{t}}$ in PDF fits constrains gluons especially in the region $0.08 < x < 0.5$.



$W+b$, $W+c$ hadroproduction

* So far, LHCb has produced ratios of total cross-sections $\sigma(Wc)/\sigma(Wj)$, $\sigma(Wb)/\sigma(Wj)$ at $\sqrt{s} = 7$ and 8 TeV in their fiducial region [arXiv:1505.04051], looking at the $\mu+b$ and $\mu+c$ decay channels. They also have $\sigma(Wb\bar{b})$ and $\sigma(Wc\bar{c})$ at 8 TeV [arXiv:1610.08142].

Limited sample \rightarrow large uncertainties, agreement with MCFM calculations at NLO.

LHCb complement Wc , Wb experimental measurements from CMS and ATLAS.

* Important to go differential and compare with differential theoretical predictions (theoretical uncertainties on the latter are big).

* Wb : important to better understand it because this is background to HW hadroproduction (with $H \rightarrow b\bar{b}$) and to top and SUSY processes.

* Wc : important measurement to get information on the strange content of the proton (dominance of the initial state sg channel with respect to the Cabibbo-suppressed dg). Separate measurements of W^-c and $W^+\bar{c}$ allow to compute $(s-\bar{s})$. Large theoretical uncertainties.

* Data on Wc together with the existing data on muon charge asymmetry allow for a determination of the ratio $R_s = (s + \bar{s})/(\bar{u} + \bar{d})$. Data from LHCb can help to better investigate the behaviour of this ratio, especially at small x (plateau ?).

$Z/\gamma^* + b \rightarrow \mu^+ \mu^- b$ hadroproduction

- * So far, LHCb has produced results for the total cross-section at $\sqrt{s} = 7$ TeV in their fiducial region [arXiv:1411.1264].

Results in agreement with MCFM predictions in the 4 FNS and 5 FNS.

- * Process interesting from the theory point of view:

- It is irreducible background for HZ with $H \rightarrow b\bar{b}$.
- It allows to investigate $g \rightarrow b\bar{b}$ splitting (important for constraining Parton Shower).
- It helps in the determination of the b -quark PDFs.
- It allows to test the validity of 5 FNS wrt 4 FNS calculations.
- It allows to test resummation scale choices in the context of NLO computation matched to Parton Shower.

⇒ It would be interesting to have differential cross-sections from LHCb!

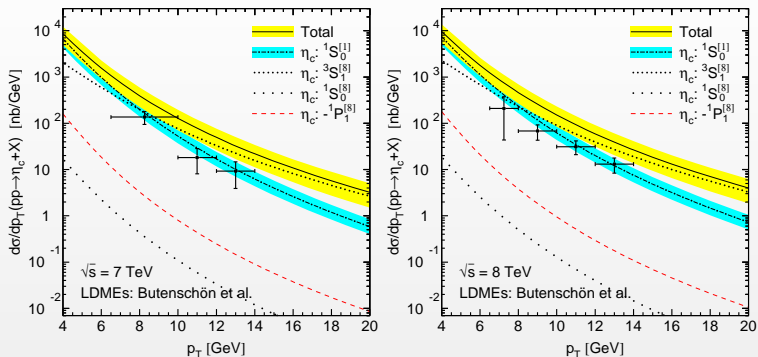
Quarkonium production and NRQCD

The NRQCD effective field theory allows to calculate cross-section for quarkonium production via the **factorization**:

$$\sigma_{A+B \rightarrow H+X} = \sum_n \sigma_{A+B \rightarrow Q\bar{Q}[n]+X}^{\text{partonic}} \langle 0 | \mathcal{O}_n^H | 0 \rangle$$

- * further folding with PDFs understood (in case of initial-state hadrons)
- * **Short-distance partonic cross-section** (perturbative)
- * **Long-distance matrix elements (LDME)** (non-perturbative):
determine the evolution of $Q\bar{Q}$ color-singlet and color-octet states in quarkonium.
Fit to experimental data (various NLO fits available) and assumed to be universal.
- * Sum over quantum numbers n .
- * Double expansion in α_S and in v .
- * Color-octet operators suppressed by powers of v with respect to color-singlet ones.
- * Full calculations up to **NLO** in both are available.

Prompt η_c hadroproduction at mid- p_T at $\sqrt{s} = 7$ and 8 TeV

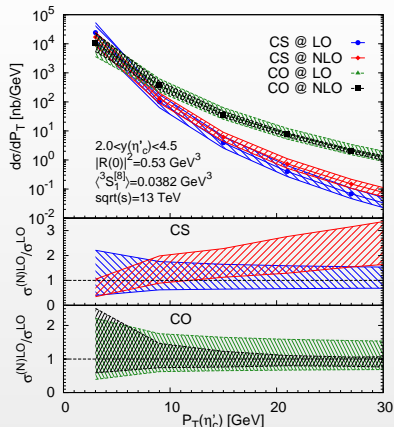


Full NRQCD NLO calculation in [arXiv:1411.5287] (see also [arXiv:1411.7350] et al.)

NRQCD challenged by LHCb experimental data:

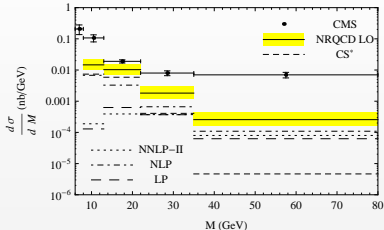
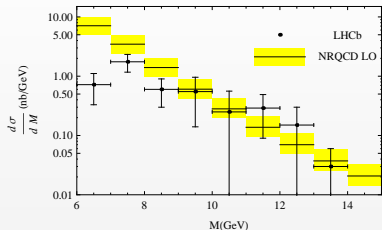
- * LDME are not universal, but process dependent ?
- * some of the data used for LDME global fits are not correct ?
- * NRQCD factorization is not valid for states as light as charmonium ($v^2 \sim 0.3$) ?
- * heavy-quark spin symmetry (relating η_c LDME to J/ψ ones) does not apply ?

η'_c prompt hadroproduction at $\sqrt{s} = 13$ TeV



- * First complete 1-loop analysis in [arXiv:1711.00265]
- * big differences between color singlet and color octet
- * η'_c LDME from ψ' LDME: ψ' LDME NLO fits + Heavy-quark spin symmetry
- * process in the ball-park of LHCb, relevant to constrain ψ' production (no data from ep and e^+e^- collisions)

$J/\psi J/\psi$ hadroproduction



full NRQCD LO calculation in [arXiv:1609.02786]

* Predictions by NRQCD not in agreement with LHCb and CMS experimental data.

* Is there room for a large **DPS** component ?

* Need to complete a full **NLO** calculation before a clear assessment.

⇒ Important that LHCb produce further measurements on $d\sigma/dM_{J/\psi J/\psi}$ and $d\sigma/d(\Delta y_{J/\psi J/\psi})$ in order to further cross-check the results of CMS.

Conclusions

- * LHCb has provided a lot of experimental data on open and hidden charm and bottom hadroproduction. **General-purpose detector** in this respect.
- * Unique kinematic domain, complementary to the one explored by ATLAS, CMS, ALICE. Important to test the **compatibility** of LHCb data with those from the other experiments. Theoretical studies should possibly include/explain data from all sources.
- * Data on **single-inclusive open charm/bottom**: useful for PDF fits at low and large x 's. At present experimental uncertainties below the theoretical ones, need for more accurate theoretical description, but can we really trust the data (after so many revisions) ?
- * LHCb has provided some data on **top**: in line of principle useful for PDF fits at large x 's, but present usability limited by low statistics.
- * Data on **(c,c) and (b,b) correlations**: more severe test for theoretical description than data on single-inclusive open heavy-quark. Useful for testing the limits of collinear vs. k_T -factorization, DPS, $g \rightarrow Q\bar{Q}$ splittings.
- * Data on **associated production** of $(W, Z) + c$, $(W, Z) + b$: useful for PDF fit and for better understanding these processes background for top and SUSY and Higgs searches.
- * Data on quarkonium **challenge NRQCD**: theory efforts needed to complete full NLO calculations before drawing firm conclusions on topics like DPS. Simultaneous description of polarized J/ψ hadroproduction and other unpolarized quarkonium data still problematic.