

# XIIIth Quark Confinement and the Hadron Spectrum

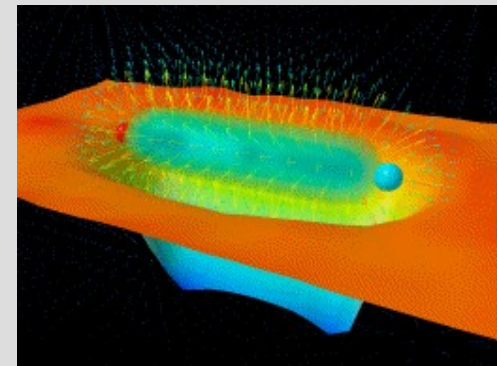
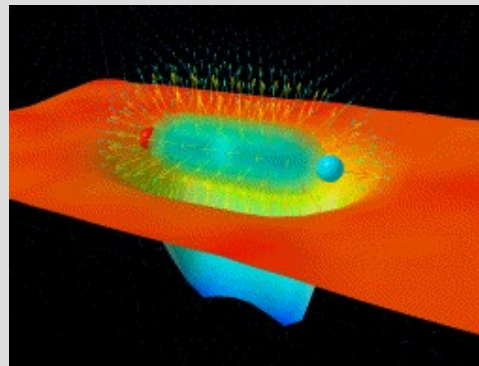
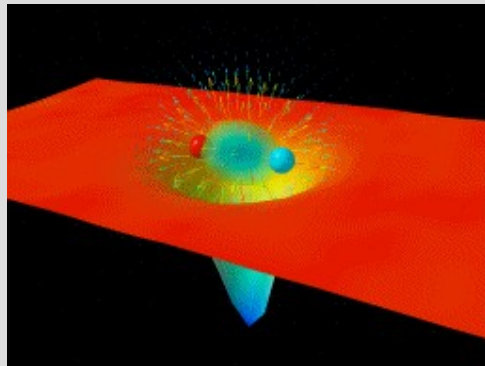
## On the analytic structure of QCD propagators

Peter Lowdon  
(SLAC)



# Outline

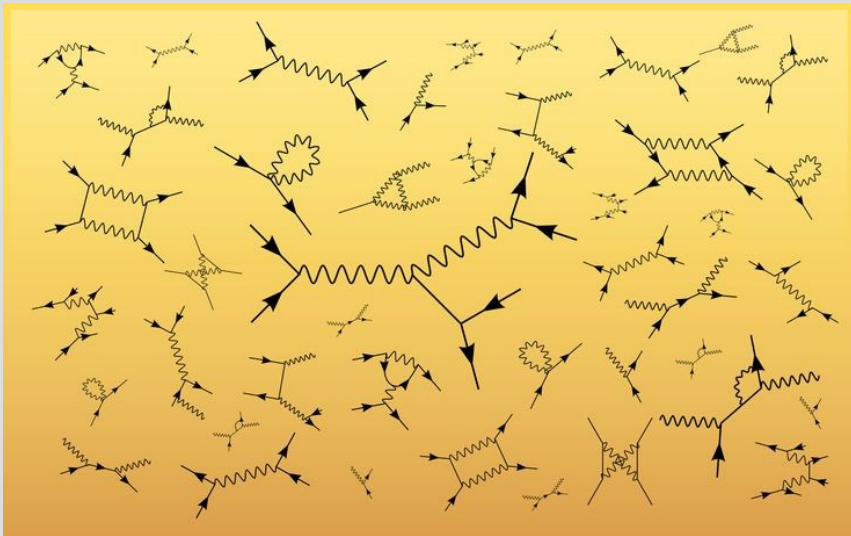
1. LQFT: an axiomatic approach to QFT
2. Correlation functions in LQFT
3. Singular terms and the CDP
4. The QCD propagators
5. Summary and outlook



[The University of Adelaide (2015)]

# 1. LQFT: an axiomatic approach to QFT

- Perturbation theory has proven to be an extremely successful tool for investigating problems in particle physics



But by definition this procedure is only valid in a *weakly interacting regime*

- *Form factors?*
- *Hadronic observables?*
- *Confinement mechanism?*

- This emphasises the need for a non-perturbative approach!
  - **Local quantum field theory (LQFT)** is one such approach

# 1. LQFT: an axiomatic approach to QFT

- LQFT is defined by a core set of physically motivated axioms

**Axiom 1 (Hilbert space structure).** *The states of the theory are rays in a Hilbert space  $\mathcal{H}$  which possesses a continuous unitary representation  $U(a, \alpha)$  of the Poincaré spinor group  $\overline{\mathcal{P}}_+^\uparrow$ .*

**Axiom 2 (Spectral condition).** *The spectrum of the energy-momentum operator  $P^\mu$  is confined to the closed forward light cone  $\mathcal{V}^+ = \{p^\mu \mid p^2 \geq 0, p^0 \geq 0\}$ , where  $U(a, 1) = e^{iP^\mu a_\mu}$ .*

**Axiom 3 (Uniqueness of the vacuum).** *There exists a unit state vector  $|0\rangle$  (the vacuum state) which is a unique translationally invariant state in  $\mathcal{H}$ .*

**Axiom 4 (Field operators).** *The theory consists of fields  $\varphi^{(\kappa)}(x)$  (of type  $(\kappa)$ ) which have components  $\varphi_l^{(\kappa)}(x)$  that are operator-valued tempered distributions in  $\mathcal{H}$ , and the vacuum state  $|0\rangle$  is a cyclic vector for the fields.*

**Axiom 5 (Relativistic covariance).** *The fields  $\varphi_l^{(\kappa)}(x)$  transform covariantly under the action of  $\overline{\mathcal{P}}_+^\uparrow$ :*

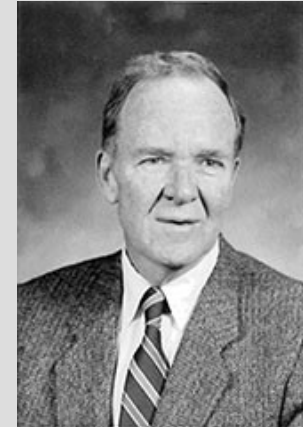
$$U(a, \alpha)\varphi_l^{(\kappa)}(x)U(a, \alpha)^{-1} = S_{ij}^{(\kappa)}(\alpha^{-1})\varphi_j^{(\kappa)}(\Lambda(\alpha)x + a)$$

*where  $S(\alpha)$  is a finite dimensional matrix representation of the Lorentz spinor group  $\overline{\mathcal{L}}_+^\uparrow$ , and  $\Lambda(\alpha)$  is the Lorentz transformation corresponding to  $\alpha \in \overline{\mathcal{L}}_+^\uparrow$ .*

**Axiom 6 (Local (anti-)commutativity).** *If the support of the test functions  $f, g$  of the fields  $\varphi_l^{(\kappa)}, \varphi_m^{(\kappa')}$  are space-like separated, then:*

$$[\varphi_l^{(\kappa)}(f), \varphi_m^{(\kappa')}(g)]_\pm = \varphi_l^{(\kappa)}(f)\varphi_m^{(\kappa')}(g) \pm \varphi_m^{(\kappa')}(g)\varphi_l^{(\kappa)}(f) = 0$$

*when applied to any state in  $\mathcal{H}$ , for any fields  $\varphi_l^{(\kappa)}, \varphi_m^{(\kappa')}$ .*



A. Wightman

[R. F. Streater and A. S. Wightman, *PCT, Spin and Statistics, and all that* (1964).]



R. Haag

[R. Haag, *Local Quantum Physics*, Springer-Verlag (1996).]

## 2. Correlation functions in LQFT

- Due to the properties of the field operators it follows that correlation functions are (tempered) *distributions*
- Since the fields are Lorentz covariant (*Axiom 5*), the Fourier transform of general correlation functions can be written

$$\hat{T}_{(1,2)}(p) = \mathcal{F} [\langle 0 | \phi_1(x) \phi_2(y) | 0 \rangle] = \sum_{\alpha=1}^{\mathcal{N}} Q_{\alpha}(p) \hat{T}_{\alpha(1,2)}(p)$$

*Lorentz covariant  
polynomial*

*Lorentz invariant  
component*

- Moreover, the spectral condition (*Axiom 2*) implies that the Lorentz invariant components *vanish* outside the (closed) forward light cone

$$\hat{T}_{\alpha(1,2)}(p) = P(\partial^2) \delta(p) + \int_0^{\infty} ds \theta(p^0) \delta(p^2 - s) \rho_{\alpha}(s)$$

*Singular component*

*“Spectral function”*

[N. N. Bogolubov, A. A. Logunov and A. I. Oksak, General Principles of Quantum Field Theory, (1990).]

# 3. Singular terms and the CDP

- For QFTs that satisfy the standard LQFT axioms one can prove that the correlation strength between clusters of fields always **decreases** with separation [Araki; Araki, Hepp, Ruelle]
  - this is called the **cluster decomposition property** (CDP)
- If QCD satisfied these axioms one would be permitted to ‘pull apart’ coloured states
- It turns out though that gauge theories violate these axioms
  - *charged fields are non-local!*
- There are two approaches for defining a quantised gauge theory:
  - (1) One preserves positivity of the Hilbert space, but loses locality (e.g. Coulomb gauge)
  - (2) One preserves locality, but loses positivity (e.g. BRST quantised gauge theories)

*In this work we choose to preserve locality*

[H. Araki, *Ann. Phys.* **11**, 260 (1960).]  
[H. Araki, K. Hepp and D. Ruelle, *Helv. Phys. Acta* **35**, 164 (1962).]

# 3. Singular terms and the CDP

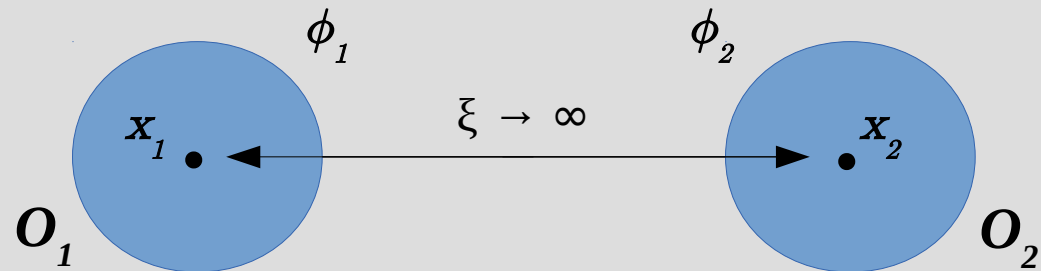
- By choosing option (2) one maintains many of the standard properties of LQFT, but now the space of states can contain negative norm states
  - referred to as the *Pseudo-Wightman* approach [Bogolubov et al.]
- In Pseudo-Wightman QFTs the CDP can be violated [Strocchi]:

**Theorem** (Cluster Decomposition).

$$|\langle 0|\mathcal{B}_1(x_1)\mathcal{B}_2(x_2)|0\rangle^T| \leq \begin{cases} C_{1,2}[\xi]^{2N-\frac{3}{2}} e^{-M[\xi]} \left(1 + \frac{|\xi_0|}{[\xi]}\right), & \text{with a mass gap } (0, M) \text{ in } \mathcal{V} \\ \tilde{C}_{1,2}[\xi]^{2N-2} \left(1 + \frac{|\xi_0|}{[\xi]^2}\right), & \text{without a mass gap in } \mathcal{V} \end{cases}$$

where:  $\langle 0|\mathcal{B}_1(x_1)\mathcal{B}_2(x_2)|0\rangle^T = \langle 0|\mathcal{B}_1(x_1)\mathcal{B}_2(x_2)|0\rangle - \langle 0|\mathcal{B}_1(x_1)|0\rangle\langle 0|\mathcal{B}_2(x_2)|0\rangle$ ,  $N \in \mathbb{Z}_{\geq 0}$ ,  $\xi = x_1 - x_2$  is large and space-like, and  $C_{1,2}, \tilde{C}_{1,2}$  are constants independent of  $\xi$  and  $N$ .

$$\mathcal{B}_i(x_i) := \int_{\mathcal{O}_i} d^4y \phi_i(y) f(y - x_i), \quad f \in \mathcal{D}(\mathbb{R}^{1,3})$$



→ This violation is related to the presence of singular terms [PL, 1511.02780]

[N. N. Bogolubov, A. A. Logunov and A. I. Oksak, General Principles of Quantum Field Theory, (1990).]  
 [F. Strocchi, *Phys. Lett. B* **62**, 60 (1976).]

# 4. The QCD propagators

- Using the previous structural results the QCD propagators take the following general forms [PL, 1702.02954; PL, 1711.07569]:

## Gluon propagator

$$\widehat{D}_{\mu\nu}^F(p) = i \int_0^\infty \frac{ds}{2\pi} \frac{[g_{\mu\nu}\rho_1^{ab}(s) + p_\mu p_\nu \rho_2^{ab}(s)]}{p^2 - s + i\epsilon} + [g_{\mu\nu}P_1^{ab}(\partial^2) + p_\mu p_\nu P_2^{ab}(\partial^2)] \delta(p)$$

## Quark propagator

$$\widehat{S}_F^{ij}(p) = i \int_0^\infty \frac{ds}{2\pi} \frac{[\rho_1^{ij}(s) + \not{p}\rho_2^{ij}(s)]}{p^2 - s + i\epsilon} + [P_1^{ij}(\partial^2) + \not{p}P_2^{ij}(\partial^2)] \delta(p)$$

## Ghost propagator

$$\widehat{G}_F^{ab}(p) = i \int_0^\infty \frac{ds}{2\pi} \frac{\rho_C^{ab}(s)}{p^2 - s + i\epsilon} + P_C^{ab}(\partial^2)\delta(p)$$



# 4. The QCD propagators

- What constraints do the dynamical properties of the fields (i.e. equations of motion, ETCRs) impose on these propagators?

## Gluon propagator [PL, 1801.09337]

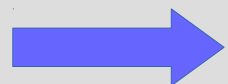
- The spectral functions are no longer independent, and both satisfy sum rules

$$\rho_1^{ab}(s) + s\rho_2^{ab}(s) = -2\pi\xi\delta^{ab}\delta(s), \quad \int_0^\infty ds \rho_1^{ab}(s) = -2\pi\delta^{ab}Z_3^{-1}, \quad \int_0^\infty ds \rho_2^{ab}(s) = 0$$

- The coefficients of the singular components are linearly related

$$\widehat{D}_{\mu\nu}^{abF}(p) = i \int_0^\infty \frac{ds}{2\pi} (-sg_{\mu\nu} + p_\mu p_\nu) \frac{\rho_2^{ab}(s)}{p^2 - s + i\epsilon} - \frac{ig_{\mu\nu}\xi\delta^{ab}}{p^2 + i\epsilon} + \sum_{n=0}^{N+1} [c_n^{ab} g_{\mu\nu} (\partial^2)^n + d_n^{ab} \partial_\mu \partial_\nu (\partial^2)^{n-1}] \delta(p).$$

- One can write:



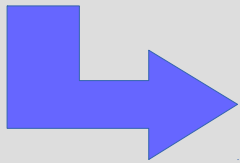
Slavnov-Taylor identity is explicitly satisfied

$$p^\mu p^\nu \widehat{D}_{\mu\nu}^{abF}(p) = -i\xi\delta^{ab}$$

# 4. The QCD propagators

- Using the Dyson-Schwinger equation one can further constrain the spectral functions and coefficients of the singular terms

$$\left[ \partial^2 g_\mu^\alpha - \left( 1 - \frac{1}{\xi_0} \right) \partial_\mu \partial^\alpha \right] \langle 0 | T \{ A_\alpha^a(x) A_\nu^b(y) \} | 0 \rangle = i \delta^{ab} g_{\mu\nu} Z_3^{-1} \delta(x - y) + \langle 0 | T \{ \mathcal{J}_\mu^a(x) A_\nu^b(y) \} | 0 \rangle$$



$$- \left[ p^2 g_\mu^\alpha - \left( 1 - \frac{1}{\xi_0} \right) p_\mu p^\alpha \right] \hat{D}_{\alpha\nu}^{abF}(p) = i \delta^{ab} g_{\mu\nu} Z_3^{-1} + \hat{J}_{\mu\nu}^{ab}(p)$$

- Inserting the spectral representations of the propagators, and separately equating the different Lorentz components (as distributions) implies:
  - the coefficients of the singular terms in the gluon and current propagators are linearly related
  - the gluon spectral functions satisfy the constraints:

$$\begin{aligned} \rho_1^{ab}(s) &= -2\pi \delta^{ab} Z_3^{-1} \delta(s) + \tilde{\rho}_2^{ab}(s) \\ s \rho_2^{ab}(s) &= 2\pi \delta^{ab} (Z_3^{-1} - \xi) \delta(s) - \tilde{\rho}_2^{ab}(s) \end{aligned}$$

$$\int ds \tilde{\rho}_2^{ab}(s) = 0$$

# 4. The QCD propagators

$$\begin{aligned}\rho_1^{ab}(s) &= -2\pi\delta^{ab} Z_3^{-1}\delta(s) + \tilde{\rho}_2^{ab}(s) \\ s\rho_2^{ab}(s) &= 2\pi\delta^{ab} (Z_3^{-1} - \xi)\delta(s) - \tilde{\rho}_2^{ab}(s)\end{aligned}$$

$$\int ds \tilde{\rho}_2^{ab}(s) = 0$$

- These spectral properties have important consequences

(i) The *Oehme-Zimmerman superconvergence relation*:  $\int_0^\infty ds \rho_1^{ab}(s) = -2\pi\delta^{ab} Z_3^{-1}$  holds due to the explicit massless component

(ii)  $Z_3^{-1}$  vanishes in Landau gauge – this implies the absence of massless gluon states

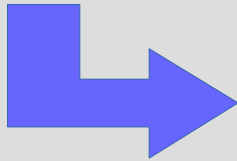
(iii) Non-negativity violations can arise due to the sum rule satisfied by the second spectral component

→ This behaviour is *not* related to whether the gluon is absent or not from the spectrum – it also holds for the corresponding spectral function of the photon propagator

# 4. The QCD propagators

## Quark propagator [PL, 1711.07569]

$$(i\gamma^\mu \partial_\mu - m) \langle 0 | T \{ \psi^i(x) \bar{\psi}^j(y) \} | 0 \rangle = i\delta^{ij} Z_2^{-1} \delta(x - y) + \langle 0 | T \{ \mathcal{K}^i(x) \bar{\psi}^j(y) \} | 0 \rangle$$



$$(\not{p} - m) \widehat{S}_F^{ij}(p) = i\delta^{ij} Z_2^{-1} + \widehat{K}^{ij}(p)$$

- Again, by inserting the spectral representation of the propagators, and matching the different Lorentz components, one obtains constraints
- The coefficients of the singular terms in the quark propagator are linearly related to the singular terms in the current propagator *and* the  $\delta(p)$  coefficient in the quark propagator
  - In contrast to the gluon case, the appearance of  $\delta(p)$  terms is sufficient to guarantee that  $\delta(p)$ -derivative terms must exist

# 4. The QCD propagators

- The quark spectral functions satisfy the constraints:

$$\rho_1^{ij}(s) = \left[ 2\pi m \delta^{ij} Z_2^{-1} - \int d\tilde{s} \kappa_1^{ij}(\tilde{s}) \right] \delta(s - m^2) + \kappa_1^{ij}(s)$$

$$\rho_2^{ij}(s) = \left[ 2\pi \delta^{ij} Z_2^{-1} - \int d\tilde{s} \kappa_2^{ij}(\tilde{s}) \right] \delta(s - m^2) + \kappa_2^{ij}(s)$$

*Coefficients of massive components are not completely fixed*

*Other components have no sum rule constraints, unlike gluon case*

- The presence of a massive quark pole (in specific gauges) is not so clear-cut
- The structure of the other spectral components depend on the properties of the spectral functions of the current propagator

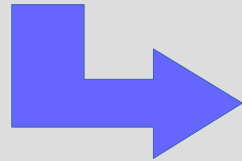
$$(s - m^2) \kappa_1^{ij}(s) = m \tilde{\rho}_1^{ij}(s) + s \tilde{\rho}_2^{ij}(s)$$

$$(s - m^2) \kappa_2^{ij}(s) = \tilde{\rho}_1^{ij}(s) + m \tilde{\rho}_2^{ij}(s)$$

# 4. The QCD propagators

## Ghost propagator [PL, 1711.07569]

$$\partial^2 \langle 0 | T \{ C^a(x) \bar{C}^b(y) \} | 0 \rangle = \delta^{ab} \tilde{Z}_3^{-1} \delta(x-y) + \langle 0 | T \{ \mathcal{L}^a(x) \bar{C}^b(y) \} | 0 \rangle$$



$$-p^2 G_F^{ab}(p) = \delta^{ab} \tilde{Z}_3^{-1} + L^{ab}(p)$$

- Dyson-Schwinger equation constraints:
  - The coefficients of the singular terms in the ghost propagator are linearly related to the corresponding terms in the current propagator
  - The ghost spectral function satisfies the relation:

$$\rho_C^{ab}(s) = \left[ 2\pi i \delta^{ab} \tilde{Z}_3^{-1} - \int_0^\infty d\tilde{s} \kappa_C^{ab}(\tilde{s}) \right] \delta(s) + \kappa_C^{ab}(s)$$

*Coefficient vanishes in Landau gauge*

*Unlike gluon case, coefficient of massless component is not completely constrained*

# 5. Summary and outlook

- LQFT can be used to determine the general spectral properties of propagators in QCD
- The momentum space gluon, quark and ghost propagators can all potentially contain purely singular terms involving derivatives of  $\delta(p)$ 
  - these terms imply a violation of the CDP, which is relevant for understanding confinement
- The Dyson-Schwinger equations impose non-trivial constraints on the structure of the QCD spectral functions
- This LQFT approach has several potential applications:
  - improve propagator parametrisations
  - study gauge invariant correlation functions
  - understand the non-perturbative effects of finite temperature and density

# Backup

- The currents appearing in the QCD Dyson-Schwinger equations have the following structure:

(i) **Gluon**:

$$\left[ \partial^2 g_{\mu}^{\alpha} - \left( 1 - \frac{1}{\xi_0} \right) \partial_{\mu} \partial^{\alpha} \right] A_{\alpha}^a = \mathcal{J}_{\mu}^a$$

$$\mathcal{J}_{\mu}^a = g j_{\mu}^a - i g f^{abc} \partial_{\mu} \bar{C}^b C^c + (Z_3^{-1} - 1) \partial_{\mu} \Lambda^a - i g f^{abc} A^{b\nu} F_{\nu\mu}^c - g f^{abc} \partial^{\nu} (A_{\nu}^b A_{\mu}^c)$$

(ii) **Quark**:

$$\mathcal{K}^i(x) := -g \gamma^{\mu} A_{\mu}^a(x) [t^a \psi(x)]^i$$

(iii) **Ghost**:

$$\partial^2 C^a = -i g f^{abc} \partial^{\nu} (A_{\nu}^b C^c) = \mathcal{L}^a$$



# Backup

- The coefficients of the singular components satisfy the following constraints:

(i) Gluon:

$$c_n^{ab} = \begin{cases} -2(n+1)(2n+3)b_{n+1}^{ab}, & 1 \leq n \leq N+1 \\ \tilde{a}_0^{ab}, & n = 0 \end{cases}$$

$$d_n^{ab} = \begin{cases} 4n(n+1)b_{n+1}^{ab}, & 1 \leq n \leq N+1 \\ 0, & n = 0 \end{cases}$$

$$c_{n+1}^{ab} = -\frac{(2n+5)}{4(2n+3)(n+1)(n+3)} c_n^{ab}, \quad n \geq 0$$

(ii) Quark:

$$a_n^{ij} = \frac{m^{2n}}{4^n(n+1)!n!} \left[ a_0^{ij} + \sum_{k=0}^{n-1} \frac{4^k(k+1)!k! \left( m\tilde{a}_k^{ij} + 4(k+1)(k+2)\tilde{b}_{k+1}^{ij} \right)}{m^{2(k+1)}} \right], \quad n \geq 1$$

$$b_n^{ij} = \frac{m^{2n-1}}{4^n(n+1)!n!} \left[ a_0^{ij} + \sum_{k=0}^{n-1} \frac{4^k(k+1)!k! \left( m\tilde{a}_k^{ij} + 4(k+1)(k+2)\tilde{b}_{k+1}^{ij} \right)}{m^{2(k+1)}} \right] - \frac{1}{m} \tilde{b}_n^{ij}, \quad n \geq 1$$

(iii) Ghost:

$$g_{n+1}^{ab} = -\frac{\tilde{g}_n^{ab}}{4(n+1)(n+2)}, \quad n \geq 0.$$

# Backup

- How can one tell whether  $N>0$  in QCD?
  - The spectral functions  $\rho(s)$  are key to determining the value of  $N$  in the modified CDP (in the absence of purely singular terms)
- In general this relationship is non-trivial, but in particular one has the following result [PL, 1511.02780]:

$$\rightarrow \rho(s) \sim \delta(s-s_0), \text{ then } N=0$$

$$\rightarrow \rho(s) \sim \delta'(s-s_1), \text{ then } N>0$$

- An important quantity which is sensitive to the behaviour of the spectral functions  $\rho_\alpha(s)$  are the Schwinger functions  $\Delta_\alpha(t)$ , defined by

$$\Delta_\alpha(t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} dp_0 e^{ip_0 t} D_\alpha(p^2)|_{\mathbf{p}=0} = \int_0^{\infty} ds \rho_\alpha(s) \frac{e^{-\sqrt{s}t}}{2\sqrt{s}}$$

*This can be computed using non-perturbative numerical techniques like lattice QCD*