



**Spontaneous Symmetry Breaking
in the $U(2)$ Planar Thirring Model?**

Simon Hands

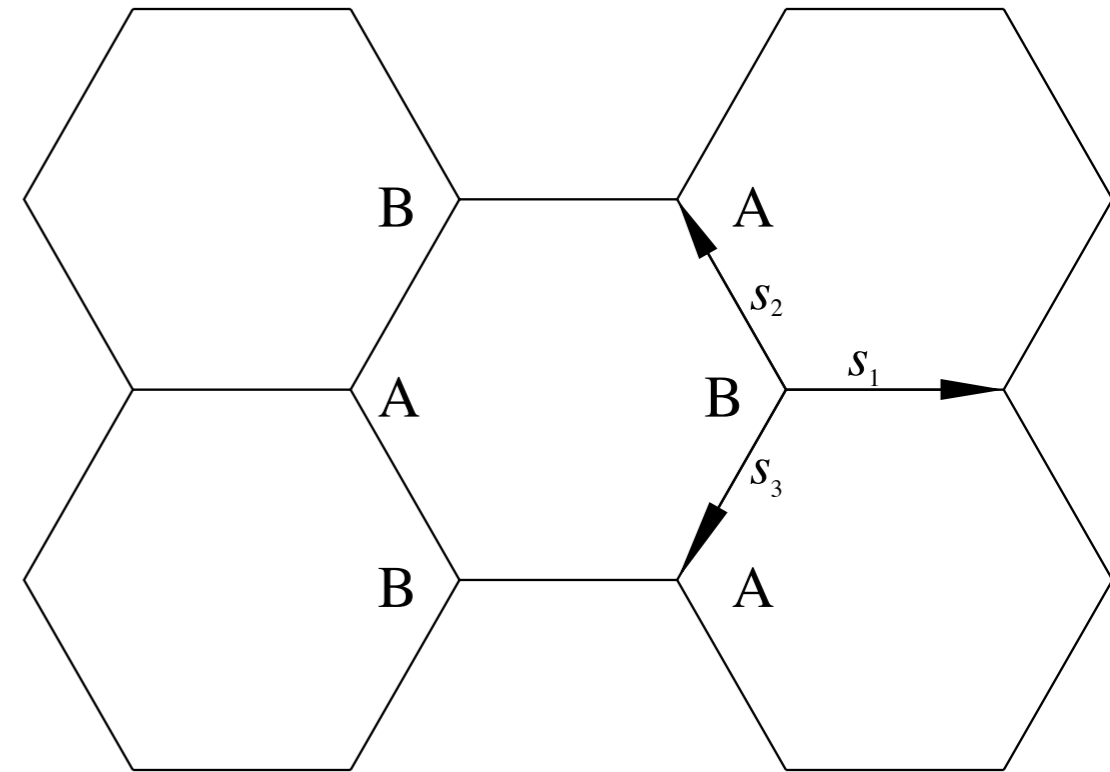
Quark Confinement and the Hadron Spectrum, Maynooth 2nd August 2018

In this talk I will

- discuss quantum field theories of relativistic fermions in 2+1d focussing on the $U(2N)$ -invariant Thirring model
- review critically old simulation results for QCPs obtained with staggered lattice fermions
- show that domain wall fermions capture the relevant global symmetries more accurately
- present simulation results showing that DWF tell a very different story to staggered

Relativistic Fermions in 2+1d

Several applications
in condensed matter physics



- Nodal fermions in *d*-wave superconductors
- Spin liquids in Heisenberg AFM
- surface states of topological insulators
-and graphene

Free reducible fermions in 3 spacetime dimensions

$$\mathcal{S} = \int d^3x \bar{\Psi}(\gamma_\mu \partial_\mu)\Psi + m\bar{\Psi}\Psi$$

$\mu = 0, 1, 2$
 $\{\gamma_\mu, \gamma_\nu\} = 2\delta_{\mu\nu}$
 $\text{tr}(\gamma_\mu \gamma_\mu) = 4$

For $m=0$ \mathcal{S} is invariant under global $U(2N)$ symmetry generated by

(i) $\Psi \mapsto e^{i\alpha}\Psi$; $\bar{\Psi} \mapsto \bar{\Psi}e^{-i\alpha}$, (ii) $\Psi \mapsto e^{i\alpha\gamma_5}\Psi$; $\bar{\Psi} \mapsto \bar{\Psi}e^{i\alpha\gamma_5}$
(iii) $\Psi \mapsto e^{\alpha\gamma_3\gamma_5}\Psi$; $\bar{\Psi} \mapsto \bar{\Psi}e^{-\alpha\gamma_3\gamma_5}$, (iv) $\Psi \mapsto e^{i\alpha\gamma_3}\Psi$; $\bar{\Psi} \mapsto \bar{\Psi}e^{i\alpha\gamma_3}$

For $m\neq 0$ γ_3 and γ_5 rotations no longer symmetries

$$\Rightarrow U(2N) \rightarrow U(N) \otimes U(N)$$

Mass term $m\bar{\Psi}\Psi$ is hermitian & invariant under parity $x_\mu \mapsto -x_\mu$

**Two physically equivalent antihermitian
“twisted” or “Kekulé” mass terms:**

$$im_3\bar{\Psi}\gamma_3\Psi; \quad im_5\bar{\Psi}\gamma_5\Psi$$

The “Haldane” mass $m_{35}\bar{\Psi}\gamma_3\gamma_5\Psi$ is not parity-invariant

The Thirring Model in 2+1d

four-fermi form

$$\mathcal{L} = \bar{\psi}_i(\not{\partial} + m)\psi_i + \frac{g^2}{2N_f}(\bar{\psi}_i\gamma_\mu\psi_i)^2$$

bosonised form

$$\mathcal{L} = \bar{\psi}_i(\not{\partial} + i\frac{g}{\sqrt{N_f}}A_\mu\gamma_\mu + m)\psi_i + \frac{1}{2}A_\mu A_\mu$$

- Interacting QFT
- expansion in g^2 non-renormalisable
- Hidden Local Symmetry $\psi \mapsto e^{i\alpha}\psi; A_\mu \mapsto A_\mu + \partial_\mu\alpha; \varphi \mapsto \varphi + \alpha$
if Stückelberg scalar field φ introduced
- expansion in $1/N_f$ exactly renormalisable for $2 < d < 4$
 $\langle A_\mu A_\nu \rangle \propto \delta_{\mu\nu}/k^{d-2}$ in “Feynman gauge” SJH PRD51 (1995) 5816
- dynamical chiral symmetry breaking for $g^2 > g_c^2; N_f < N_{fc}?$
- Quantum Critical Point at $g_c^2(N < N_{fc})?$

Determination of N_{fc} is a non-perturbative problem in QFT

eg. $N_{fc}=4.32$ strong coupling Schwinger-Dyson
(ladder approximation)

Itoh, Kim, Sugiura & Yamawaki
Prog. Theor. Phys. **93** (1995) 417

Numerical Lattice Approach

Del Debbio, SJH, Mehegan
NPB502 (1997) 269; B552 (1999) 339

Early work used staggered fermions

$$S_{latt} = \frac{1}{2} \sum_{x\mu i} \bar{\chi}_x^i \eta_{\mu x} (1 + iA_{\mu x}) \chi_{x+\hat{\mu}}^i - \bar{\chi}_x^i \eta_{\mu x} (1 - iA_{\mu x-\hat{\mu}}) \chi_{x-\hat{\mu}}^i$$
$$+ m \sum_{xi} \bar{\chi}_x^i \chi_x^i + \frac{N}{4g^2} \sum_{x\mu} A_{\mu x}^2$$

auxiliary boson
couples linearly

resembles abelian gauge theory, but link field is **NOT** unit modulus!

$A_{\mu x}$ auxiliary vector field
defined on link between x and $x+\mu$

$$\eta_{\mu x} \equiv (-1)^{x_0+\dots+x_{\mu-1}} \Rightarrow \prod_{\square} \eta\eta\eta\eta = -1$$

π-flux

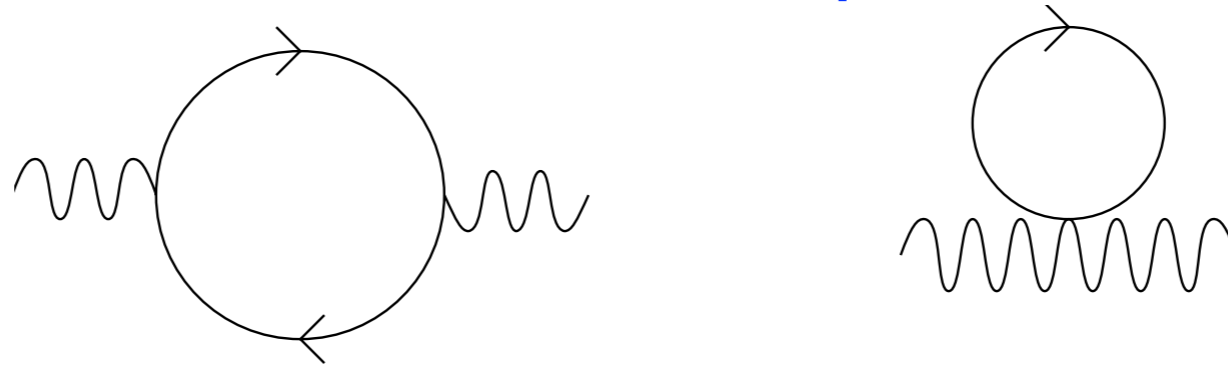
Chiral symmetry: $U(N) \otimes U(N) \rightarrow U(N)$ (if $m, \Sigma \neq 0$)

In weak coupling continuum limit

$U(2N_f)$ symmetry is recovered, with $N_f = 2N$

Strong coupling limit $g^2 \rightarrow \infty$

The lattice regularisation does *not* respect current conservation



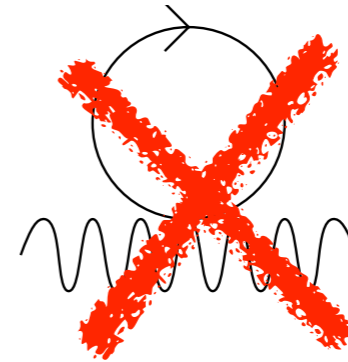
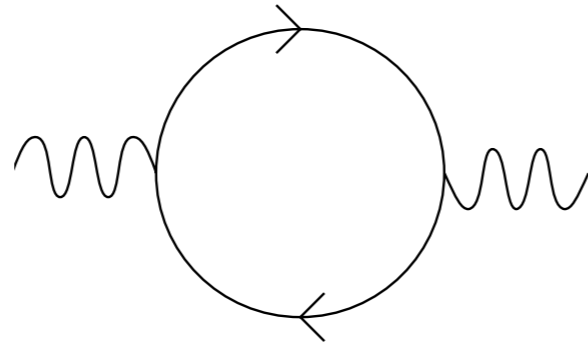
Both diagrams needed to ensure transversality,
(ie. WT identity $\sum_{\mu} [\Pi_{\mu\nu}(x) - \Pi_{\mu\nu}(x - \hat{\mu})] = 0$) in lattice QED

\Rightarrow $1/N_f$ expansion yields additive renormalisation of g^{-2} $g_R^2 = \frac{g^2}{1 - g^2/g_{\text{lim}}^2}$

\Rightarrow lattice strong coupling limit as $g^2 \rightarrow g_{\text{lim}}^2(N_f)$

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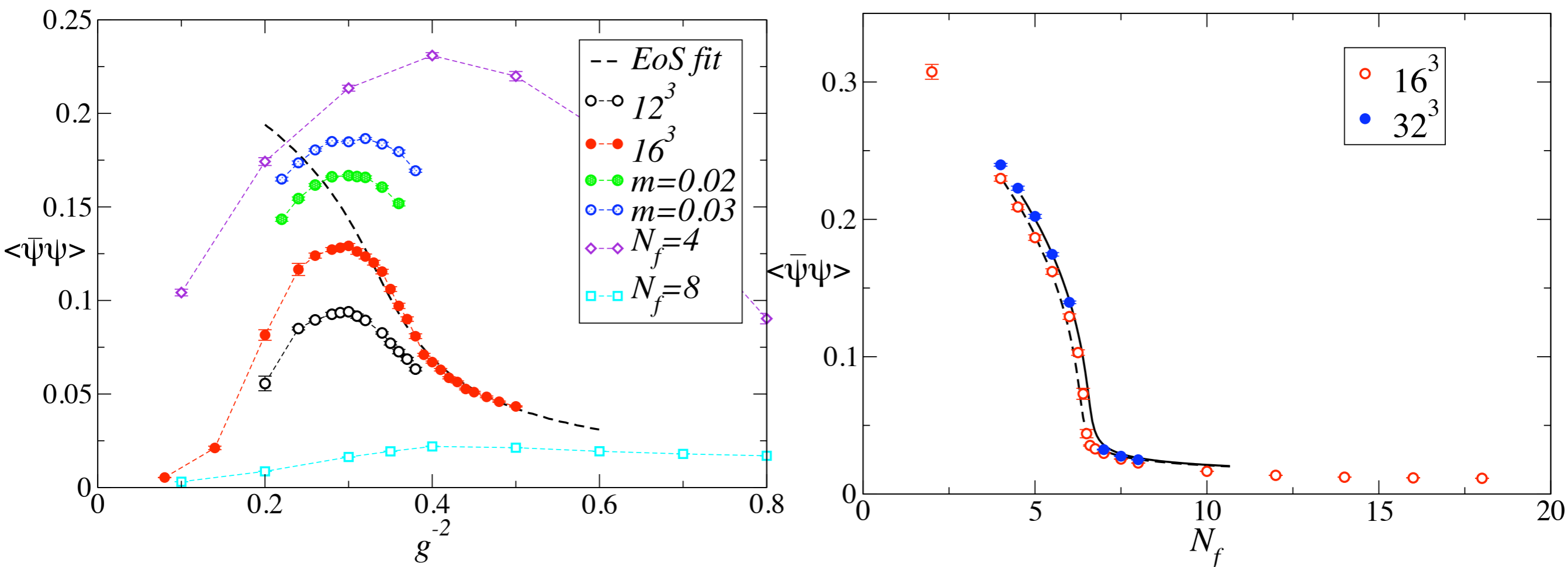
Only the left hand diagram is present for the
lattice Thirring model with linear coupling to auxiliary

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renormalisation of g^{-2} $g_R^2 = \frac{g^2}{1 - g^2/g_{\text{lim}}^2}$

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Results in effective strong-coupling limit

Christofi, SJH, Strouthos, PRD75 (2007) 101701



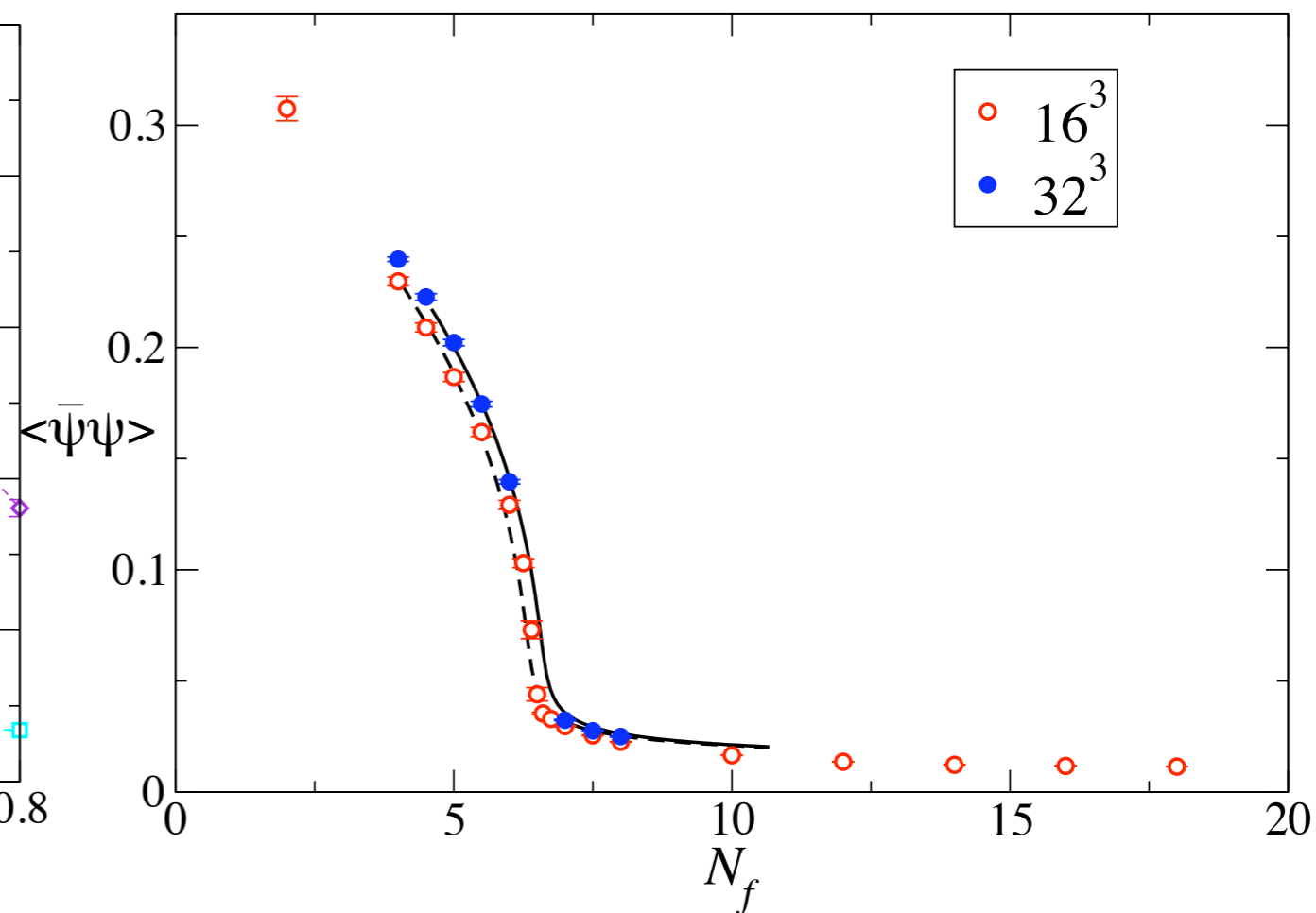
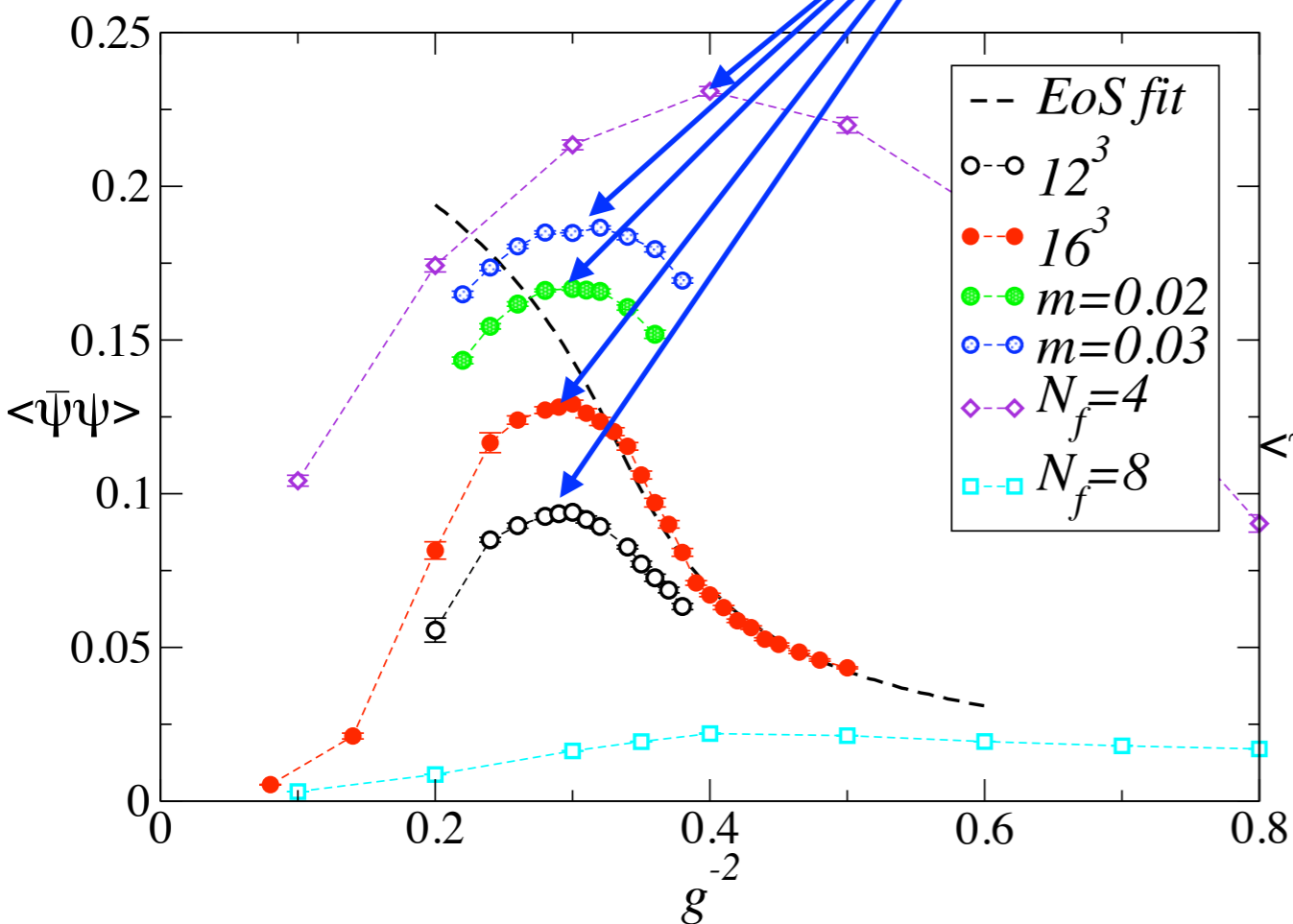
$$N_{fc} = 6.6(1), \quad \delta(N_{fc}) = 6.90(3)$$

Chiral symmetry unbroken for all g^2 for $N_f > N_{fc}$

Cf. SDE: $N_{fc} = 4.32, \quad \delta(N_{fc}) = 1$
“conformal phase transition”

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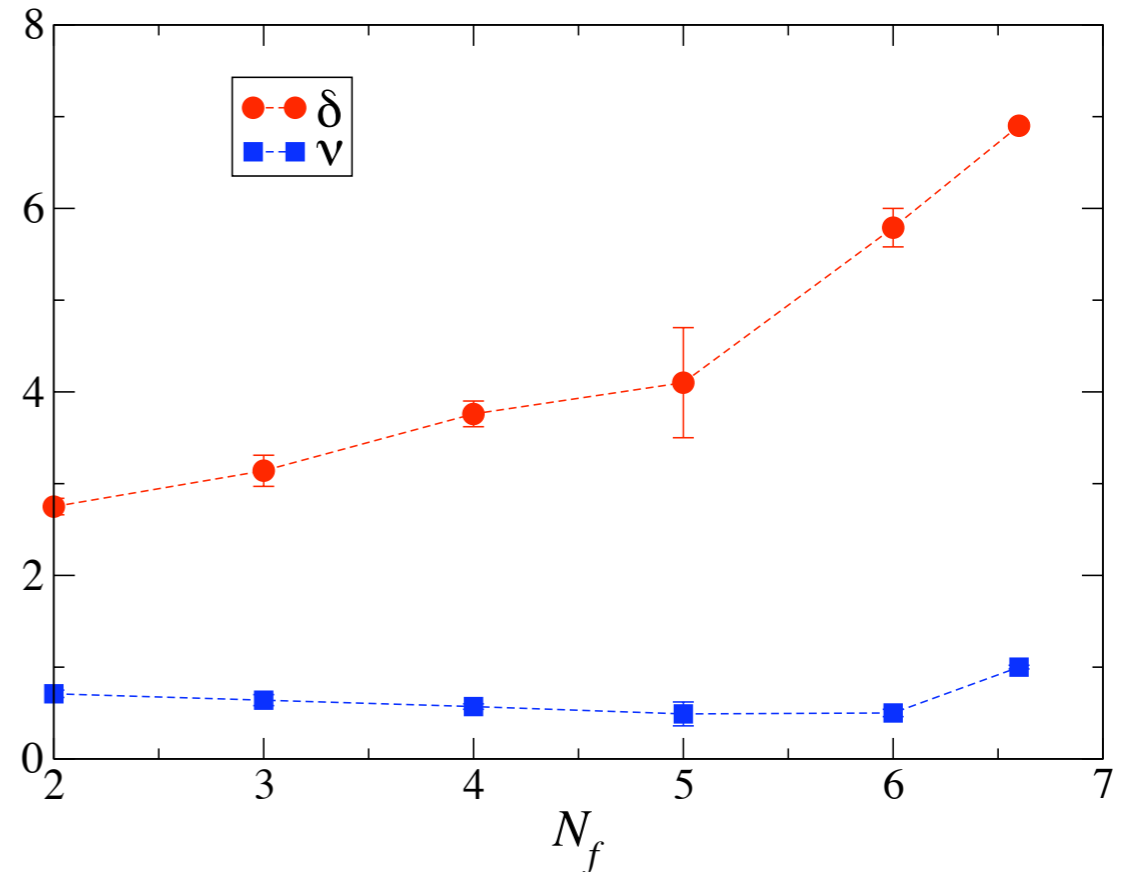
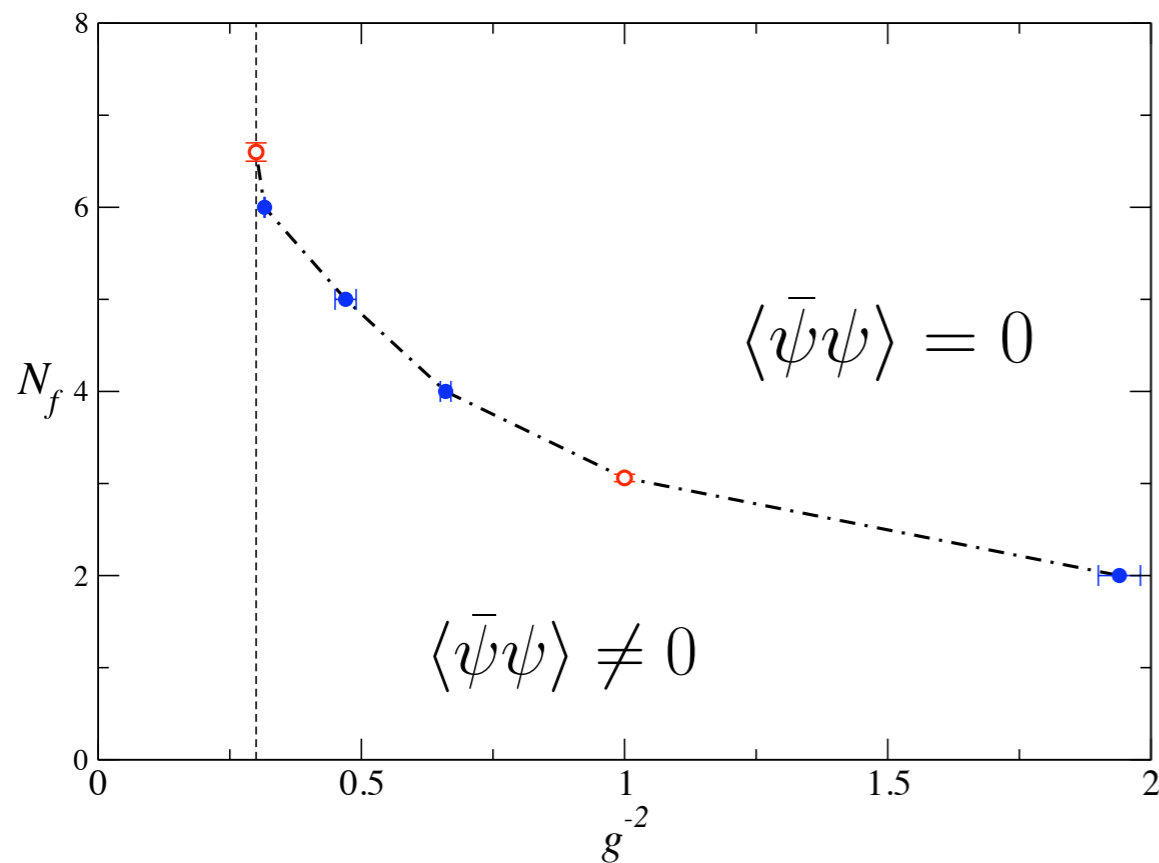
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Staggered Thirring Summary

SJH, Lucini, PLB461 (1999) 263

Christofi, SJH, Strouthos, PRD75 (2007) 101701



- Chiral symmetry broken for small N_f , large g^2
- Each point (for N_f integer) defines a UV fixed point of RG
- Distinct critical exponents \Leftrightarrow distinct interacting QFT
- δ increases with N_f , $\delta(N_{fc}) \approx 7$
- Non-covariant form used as EFT for graphene $\Rightarrow N_{fc} \approx 5$

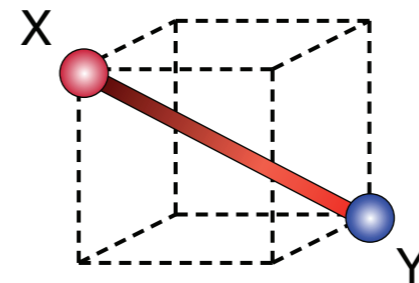
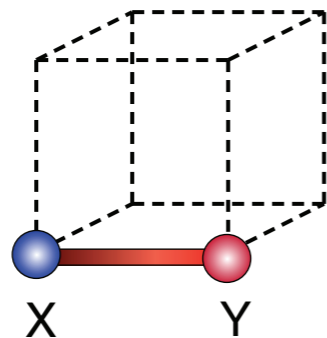
SJH, Strouthos, PRB78 (2008)165423; Armour, SJH, Strouthos, PRB81 (2010)125105

Fermion Bag Algorithm with minimal $N_f=2$

Chandrasekharan & Li, PRL 108 (2012) 140404; PRD88 (2013) 021701

Thirring Model: $v=0.85(1)$, $\eta=0.65(1)$, $\eta_\psi=0.37(1)$ ($N_f < N_{fc} \approx 7$)

U(1) GN Model: $v=0.849(8)$, $\eta=0.633(8)$, $\eta_\psi=0.373(3)$ ($N_f \rightarrow \infty$: $v=\eta=1$)



Interactions between staggered fields $\chi, \bar{\chi}$ spread over elementary cubes.
Only difference between Thirring & GN is body-diagonal term

Staggered fermions not reproducing expected distinction
between models a near strongly-coupled fixed point...

see also SLAC
fermion approach

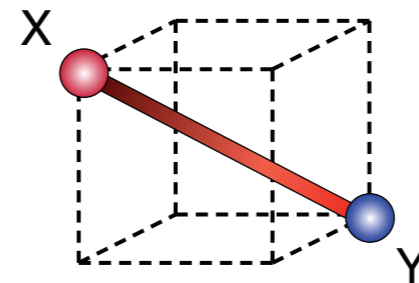
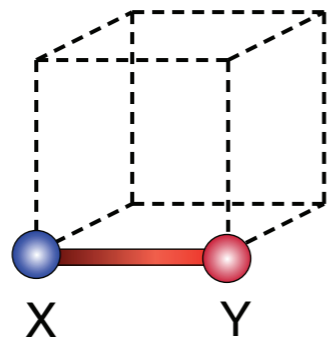
Schmidt, Wellegehausen & Wipf, PoS LATTICE2015 (2016) 050
PRD96 (2017) 094504

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Interactions between staggered fields $\chi, \bar{\chi}$ spread over elementary cubes.
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Staggered fermions not reproducing expected distinction
between models a near strongly-coupled fixed point...

... so we need better lattice fermions

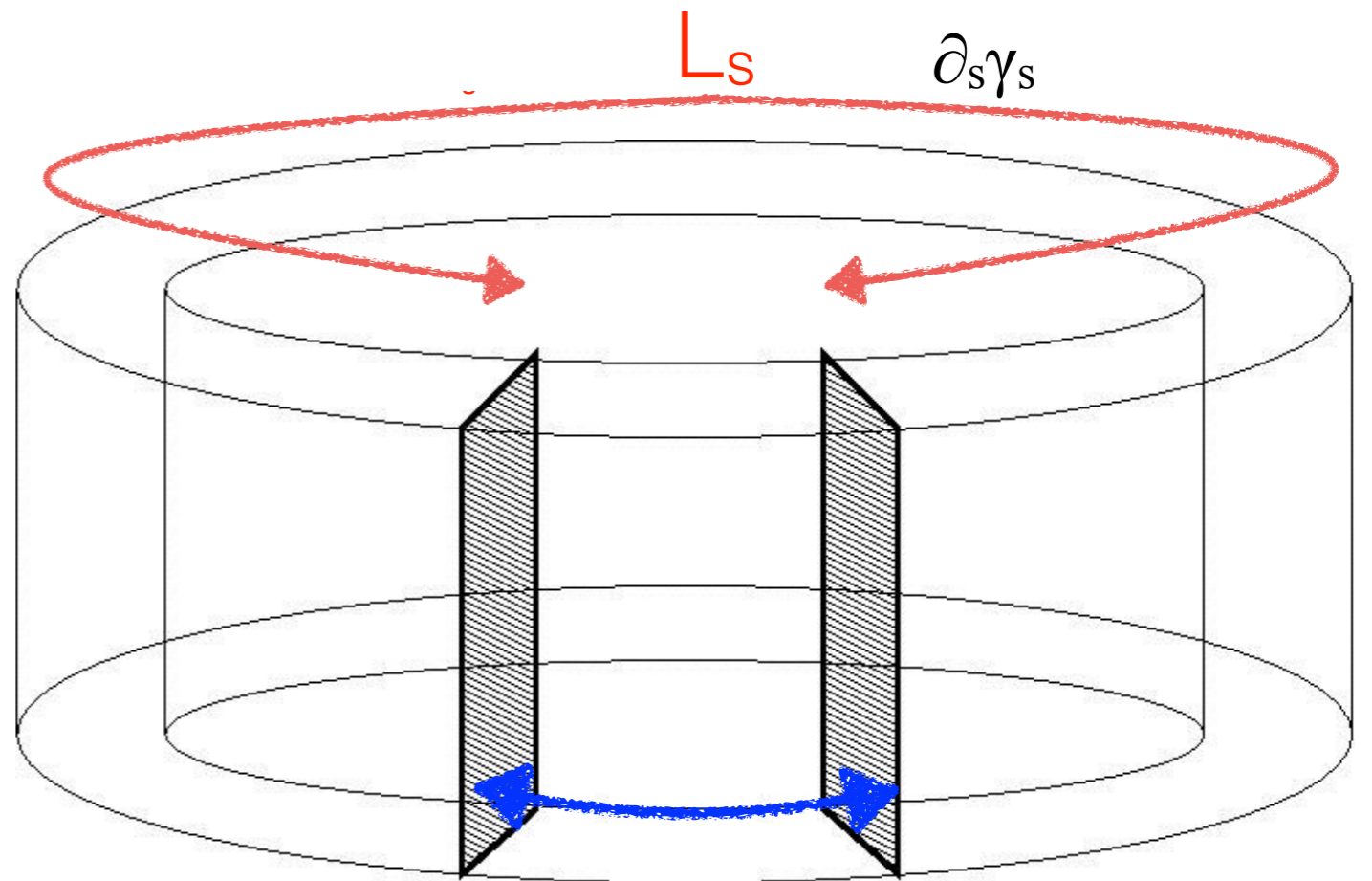
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Schmidt, Wellegehausen & Wipf, PoS LATTICE2015 (2016) 050
PRD96 (2017) 094504

Domain Wall Fermions



Fermions propagate freely along a fictitious third direction of extent L_s with open boundaries



coupling between the walls proportional to explicit massgap m

Basic idea as $L_s \rightarrow \infty$:

- zero-modes of D_{DWF} localised on walls are \pm eigenmodes of γ_s
- Modes propagating in bulk can be decoupled (with cunning)

“Physical” fields

in 2+1d target space

$$\psi(x) = P_- \Psi(x, 1) + P_+ \Psi(x, L_s);$$

$$\bar{\psi}(x) = \bar{\Psi}(x, L_s) P_- + \bar{\Psi}(x, 1) P_+, \quad \text{with } P_{\pm} = \frac{1}{2}(1 \pm \gamma_s)$$

Bottom Up View...

in DWF approach we simulate
 $2+1+1d$ fermions



Desiderata...

- Modes localised on walls carry $U(2N)$ -invariant physics
- Fermion doublers don't contribute to normalisable modes
- Bulk modes can be made to decouple

Claim...

It appears to work for....

- carefully-chosen domain wall height M
- smooth gauge field background

Are DWF in 2+1+1d U(2N) symmetric?

Issue: wall modes are eigenstates of γ_3 as $L_s \rightarrow \infty$,

but: U(2N) symmetry demands equivalence under rotations generated by both γ_3 and γ_5

ie. $U(2N) \rightarrow U(N) \otimes U(N)$ symmetry-breaking mass terms

$$m_h \bar{\psi} \psi \quad i m_3 \bar{\psi} \gamma_3 \psi \quad : \quad i m_5 \bar{\psi} \gamma_5 \psi$$

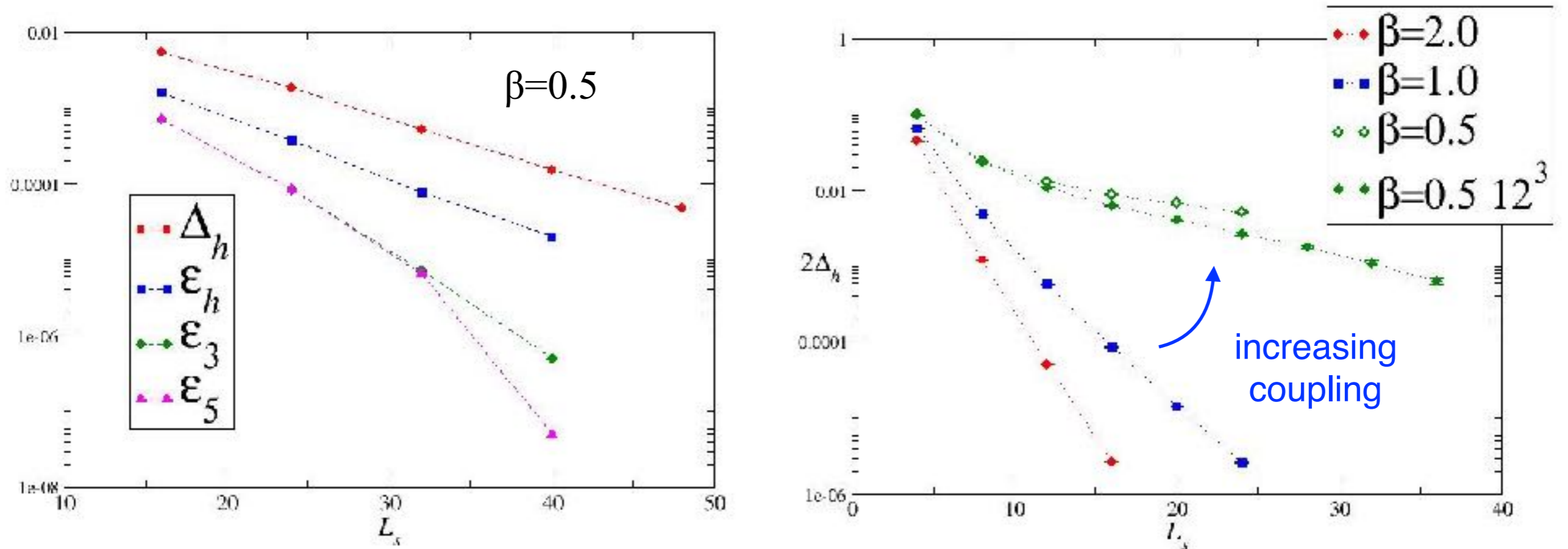
should yield identical physics as $L_s \rightarrow \infty$

Non-trivial requirement

since m_h, m_3 couple $\Psi, \bar{\Psi}$ on *opposite* walls

while m_5 couples modes on *same* wall

Bilinear Condensates in Quenched QED₃ on 24³×L_s...



Define main *residual*: $i\langle\bar{\Psi}(1)\gamma_3\Psi(L_s)\rangle = \underbrace{\frac{i}{2}\langle\bar{\psi}\gamma_3\psi\rangle_{L_s}}_{\text{real}} + \underbrace{i\Delta_h(L_s)}_{\text{imaginary}}$

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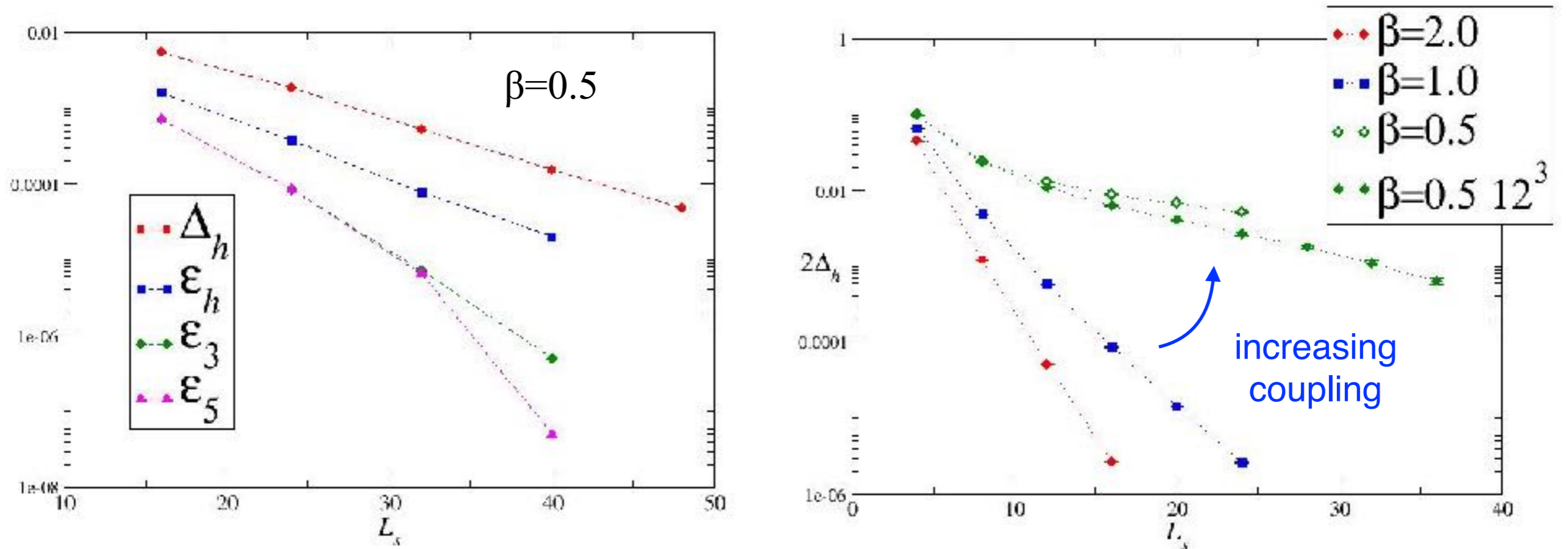
U(2) symmetry restored

$$\Leftrightarrow \Delta_h \rightarrow 0$$

SJH JHEP 09(2015)047,
PLB 754 (2016) 264

- exponentially suppressed as $L_s \rightarrow \infty$
- hierarchy: $\Delta_h > \epsilon_h > \epsilon_3 \equiv \epsilon_5$

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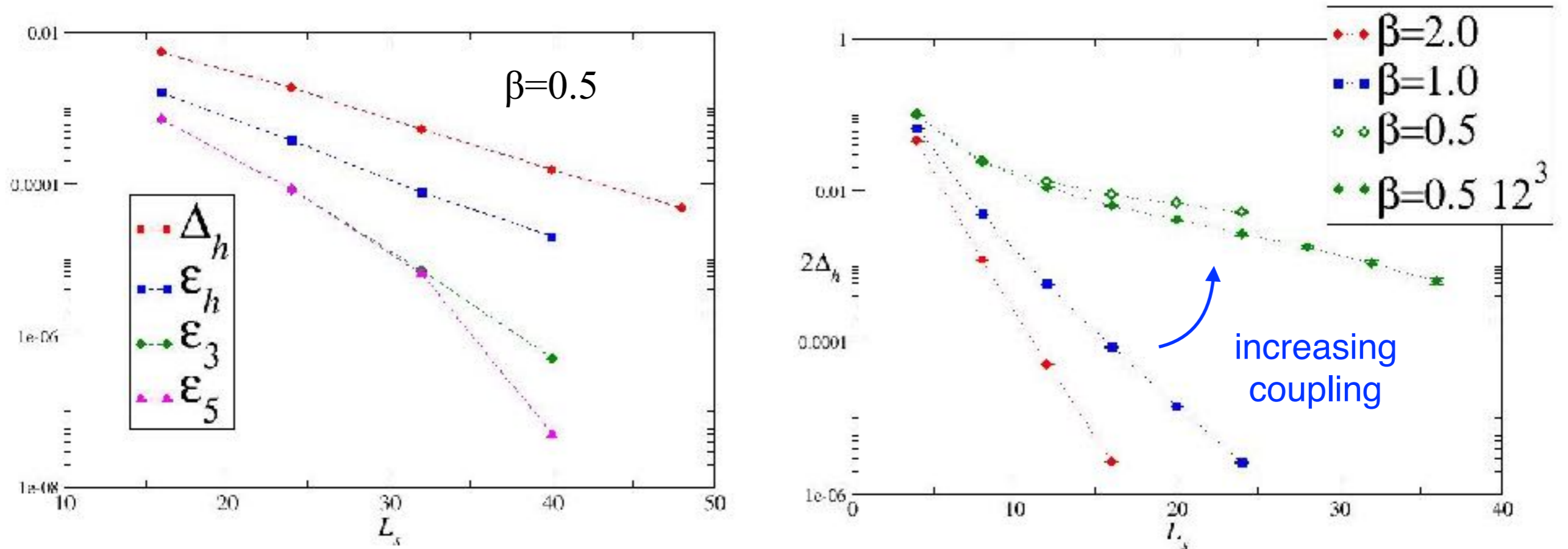
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Top Down View...

The closest approach to continuum symmetries is expressed by **Ginsparg-Wilson** relations

$$\{\gamma_5, D\} = 2D\gamma_5D$$



RHS is $O(aD)$, so $U(2N)$ recovered in long-wavelength limit if D local

By construction GW is satisfied by the 2+1d *overlap* operator

$$D_{ov} = \frac{1}{2} \left[(1 + m_h) + (1 - m_h) \frac{A}{\sqrt{A^\dagger A}} \right] \quad \text{with} \quad \gamma_3 A \gamma_3 = \gamma_5 A \gamma_5 = A^\dagger$$

$A \equiv [2 + (D_W - M)]^{-1} [D_W - M]$; D_W local; $Ma = O(1)$ **D_{ov} not manifestly local**

DWF provide a regularisation of overlap with a *local* kernel in 2+1+1d

$$\frac{\det D_{DWF}(m_i)}{\det D_{DWF}(m_h = 1)} = \det D_{L_s}(m_i)$$

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Formulational issues for the Thirring Model with DWF

- (a) Formulate interaction terms in terms of vector auxiliary $A_\mu(\mathbf{x})$ defined just on walls at $x_3 = 1, L_s$: **“Surface”**

Technical/cost advantage: no Pauli-Villars determinant needed to cancel bulk modes

P. Vranas, I. Tziligakis and J.B. Kogut, Phys. Rev. D 62 (2000) 054507

- (b) By analogy with QCD, formulate with $A_\mu(\mathbf{x})$ throughout bulk which are “static” ie. $\partial_3 A_\mu = 0$: **“Bulk”**

$$\mathcal{S} = \bar{\Psi} \mathcal{D} \Psi = \bar{\Psi} D_W \Psi + \bar{\Psi} D_3 \Psi + m_i S_i \quad \text{with} \quad \begin{aligned} D_W &= \gamma_\mu D_\mu - (\hat{D}^2 + M); \\ D_3 &= \gamma_3 \partial_3 - \hat{\partial}_3^2, \end{aligned}$$

Recall link field **not** unit modulus

**Bulk
formulation**

$$[\partial_3, D_\mu] = [\partial_3, \hat{D}^2] = 0$$

but $[\partial_3, \hat{\partial}_3^2] \neq 0$ **on walls**

obstruction to proving $\det \mathcal{D} > 0$ **for N=1**

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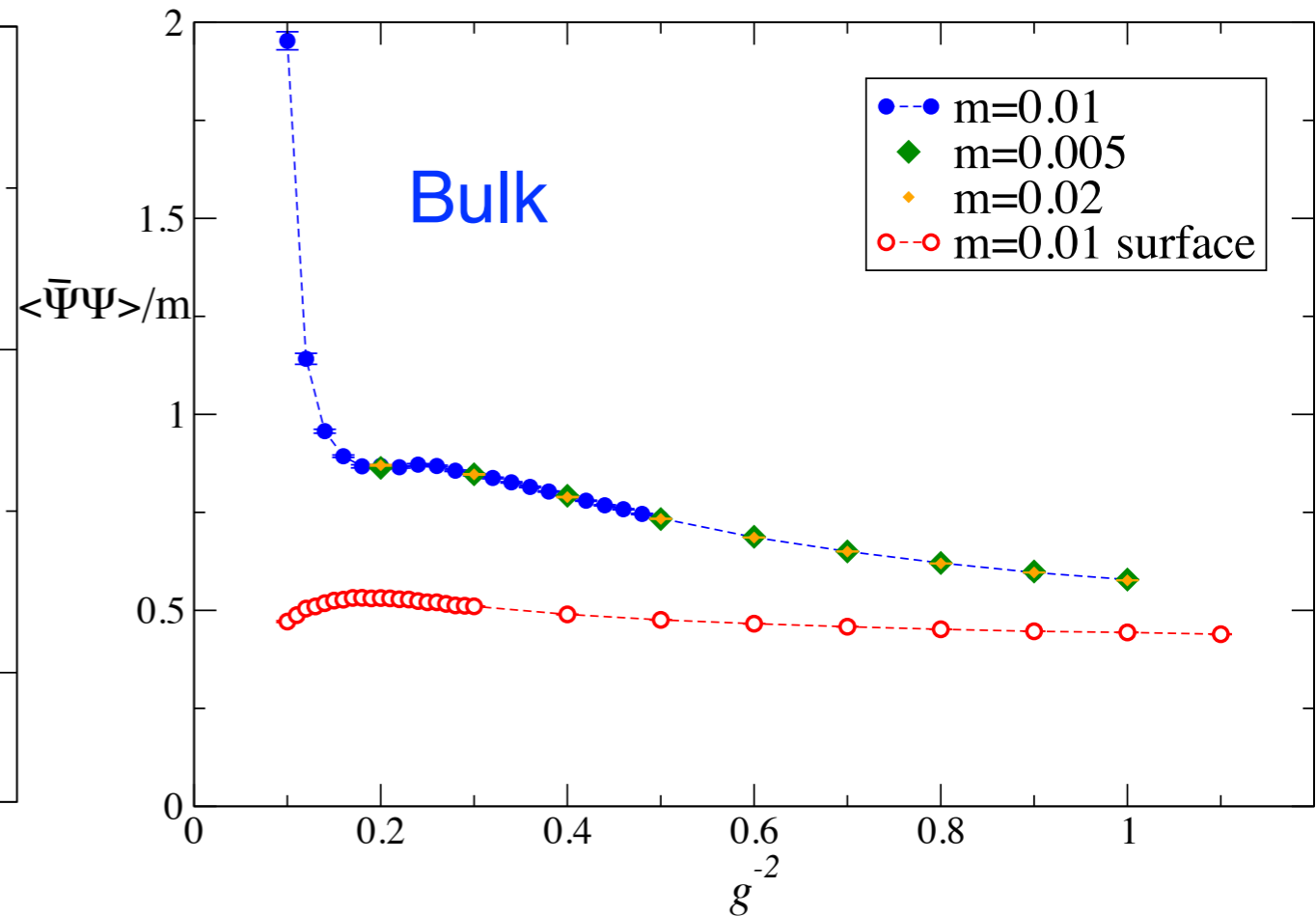
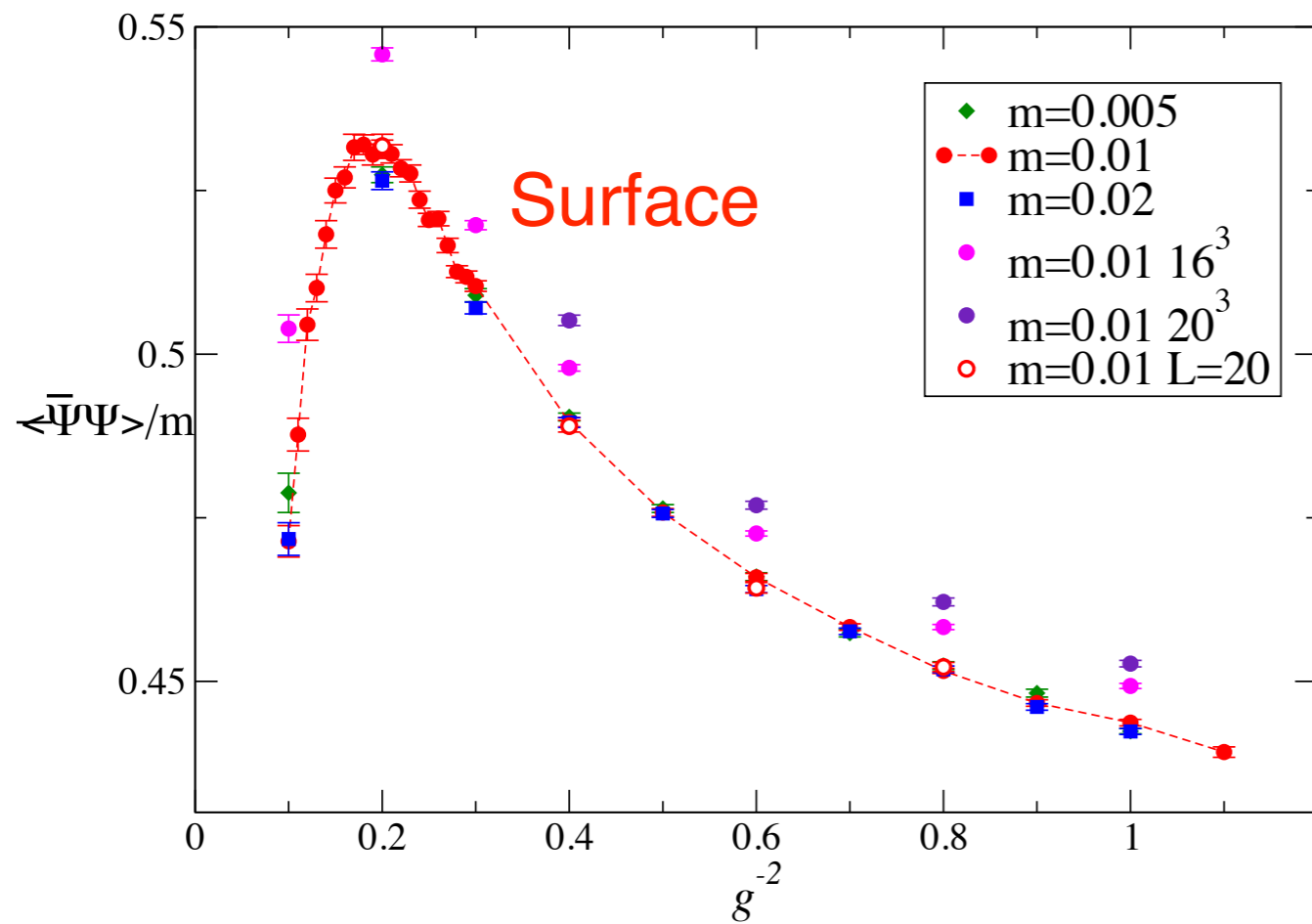
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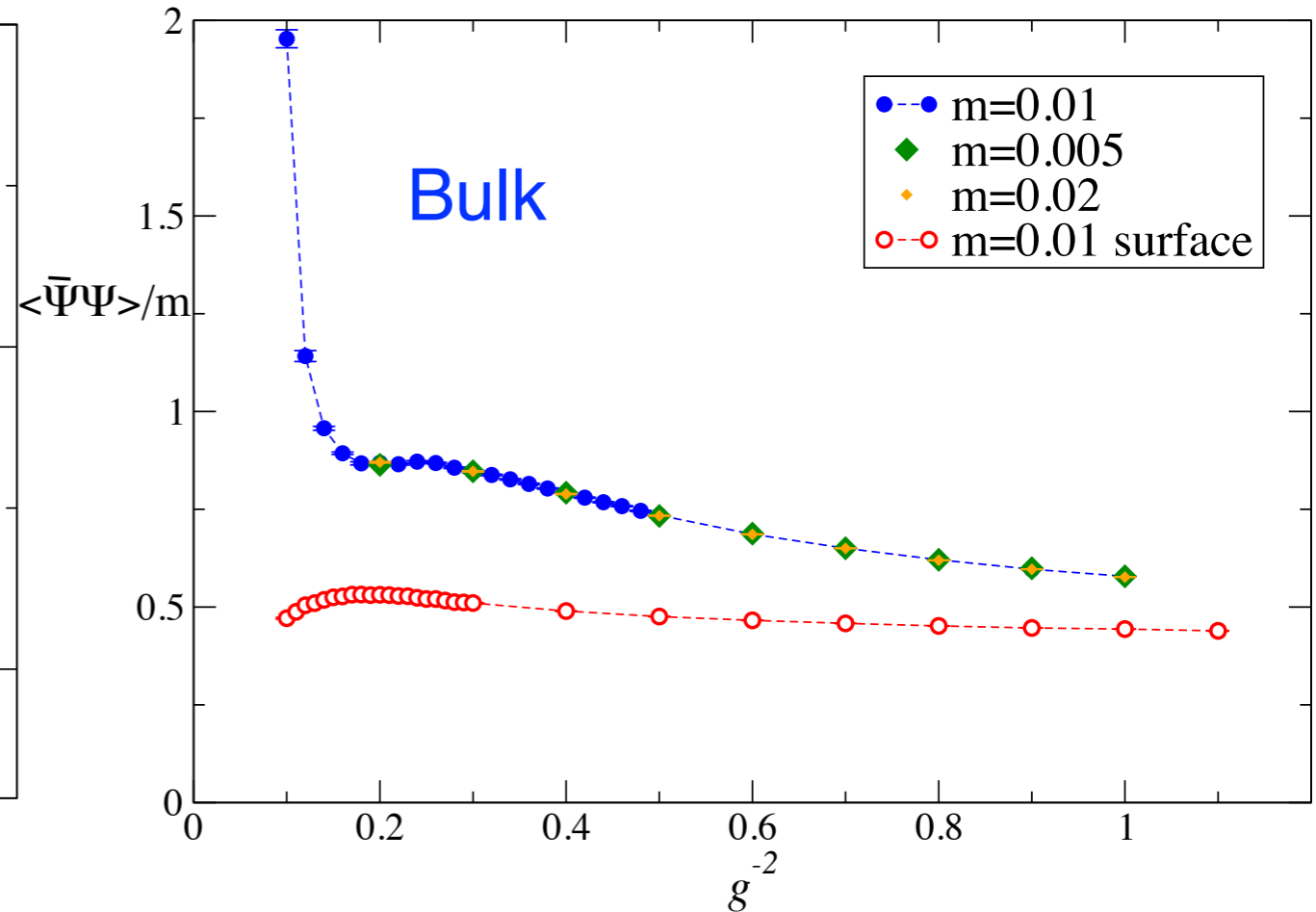
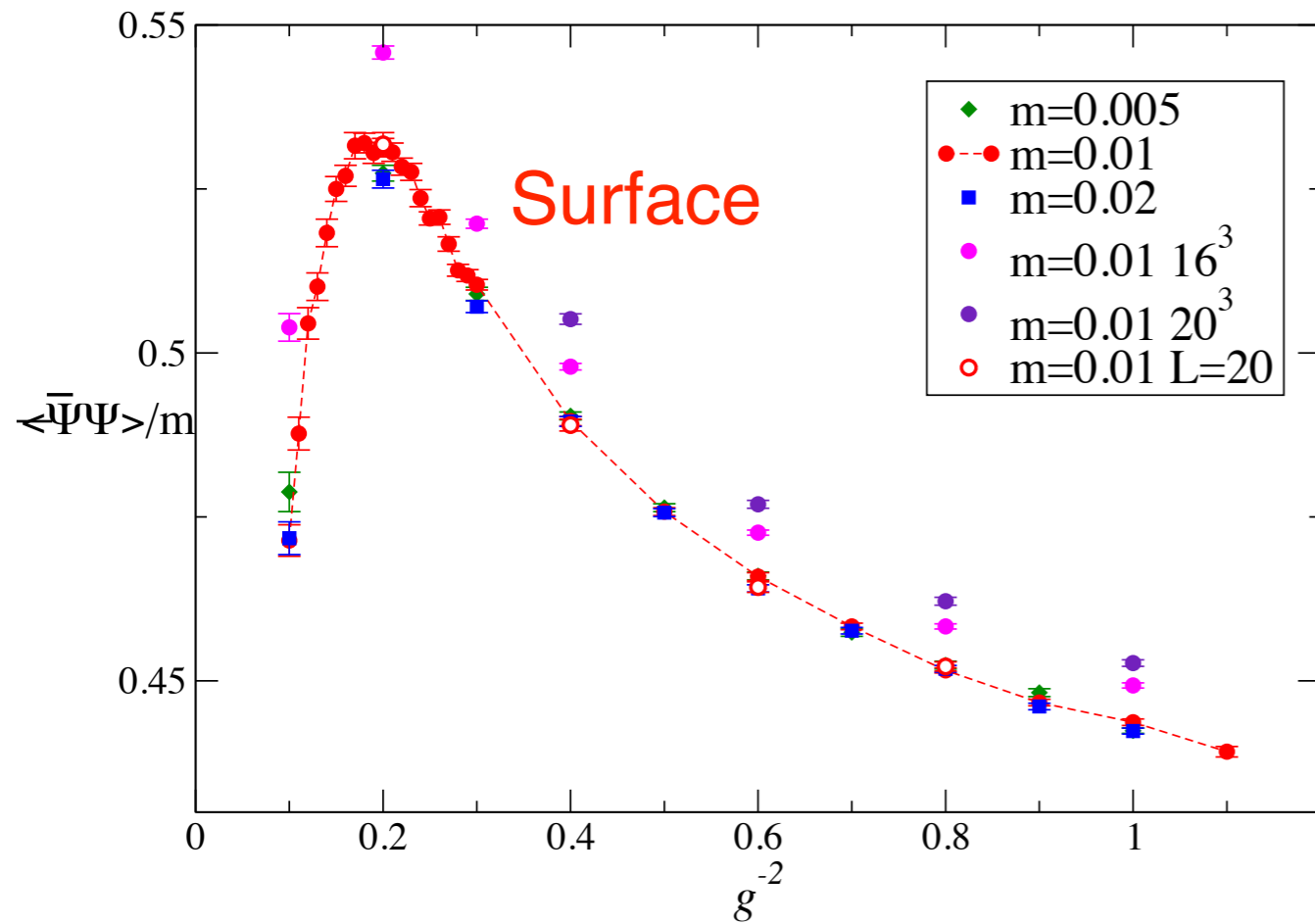
but $[\partial_3, \hat{\partial}_3^2] \neq 0$ **on walls**

obstruction to proving $\det \mathcal{D} > 0$ **for N=1**

\Rightarrow need RHMC algorithm for N=1



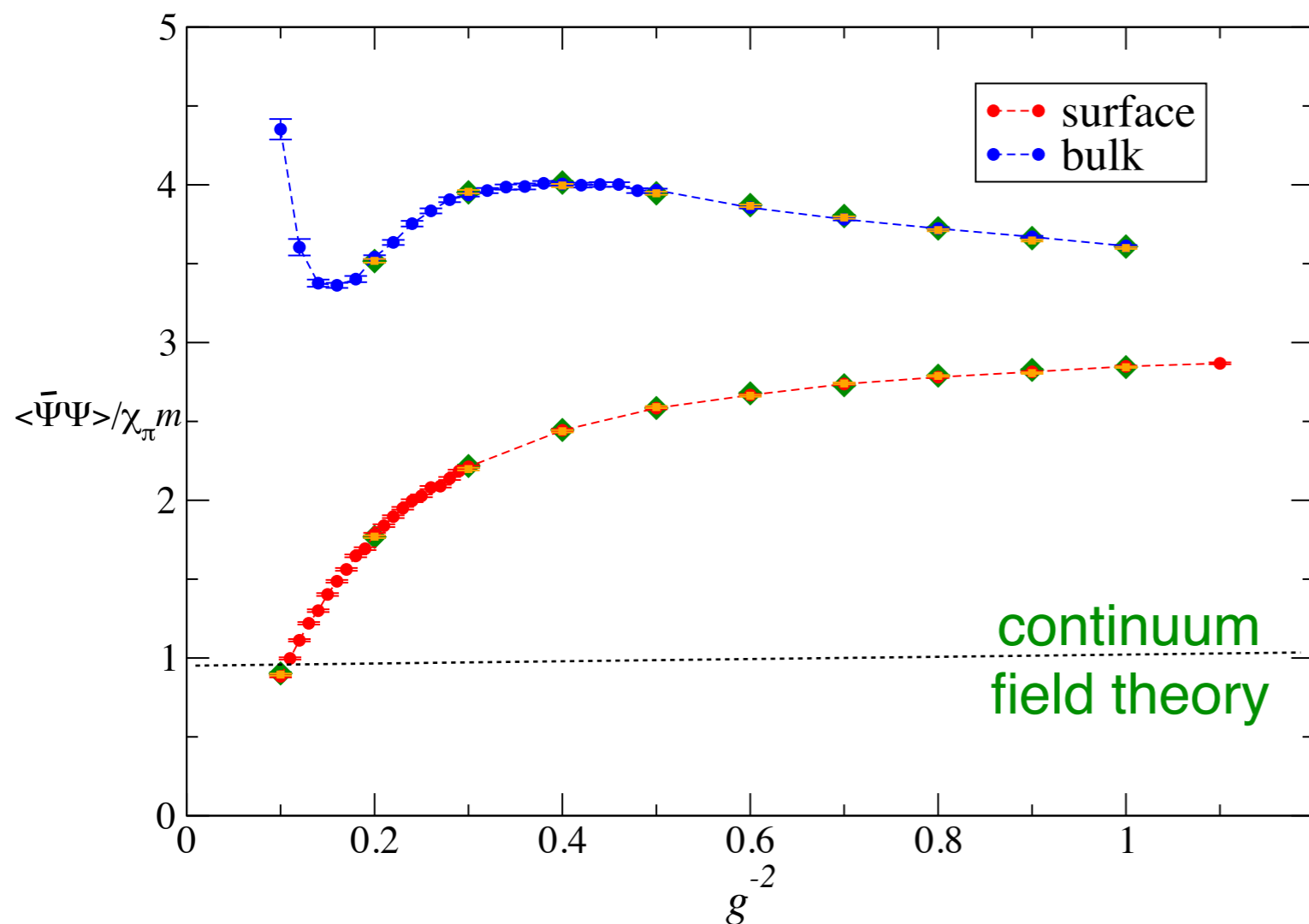
- Breakdown of reflection positivity for strong coupling $ag^{-2} \approx 0.2$?
- Strong volume dependence for surface model
- Results at $L_s=16$ and $L_s=20$ are consistent
- **No evidence of spontaneous symmetry breaking** anywhere along g^{-2} axis



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big disparity with
previous staggered results

Axial Ward Identity



Ratio of order parameter to susceptibility is predicted constant by WI

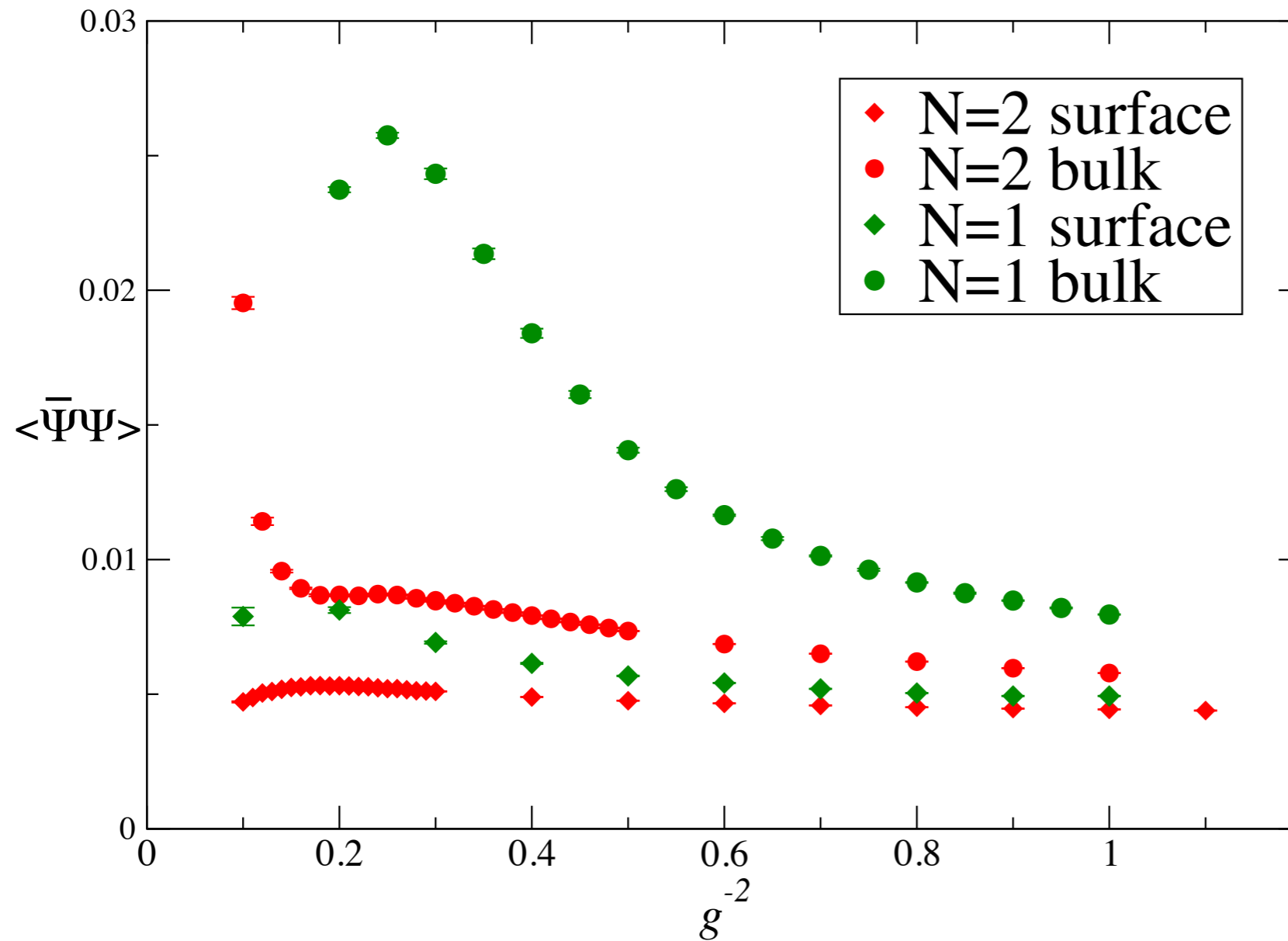
$$\frac{\langle \bar{\psi}\psi \rangle}{m} = \sum_x \langle \bar{\psi}\gamma_3\psi(0)\bar{\psi}\gamma_3\psi(x) \rangle$$

Strong-coupling behaviour suggests
neither **Surface** nor **Bulk** model optimal:
work still needed to specify 2+1d states ψ with control over normalisation

Cf. 2+1d Gross-Neveu model, where Ward Identity is respected, spectroscopy under control...

RHMC Results for N=1 (12³x8)

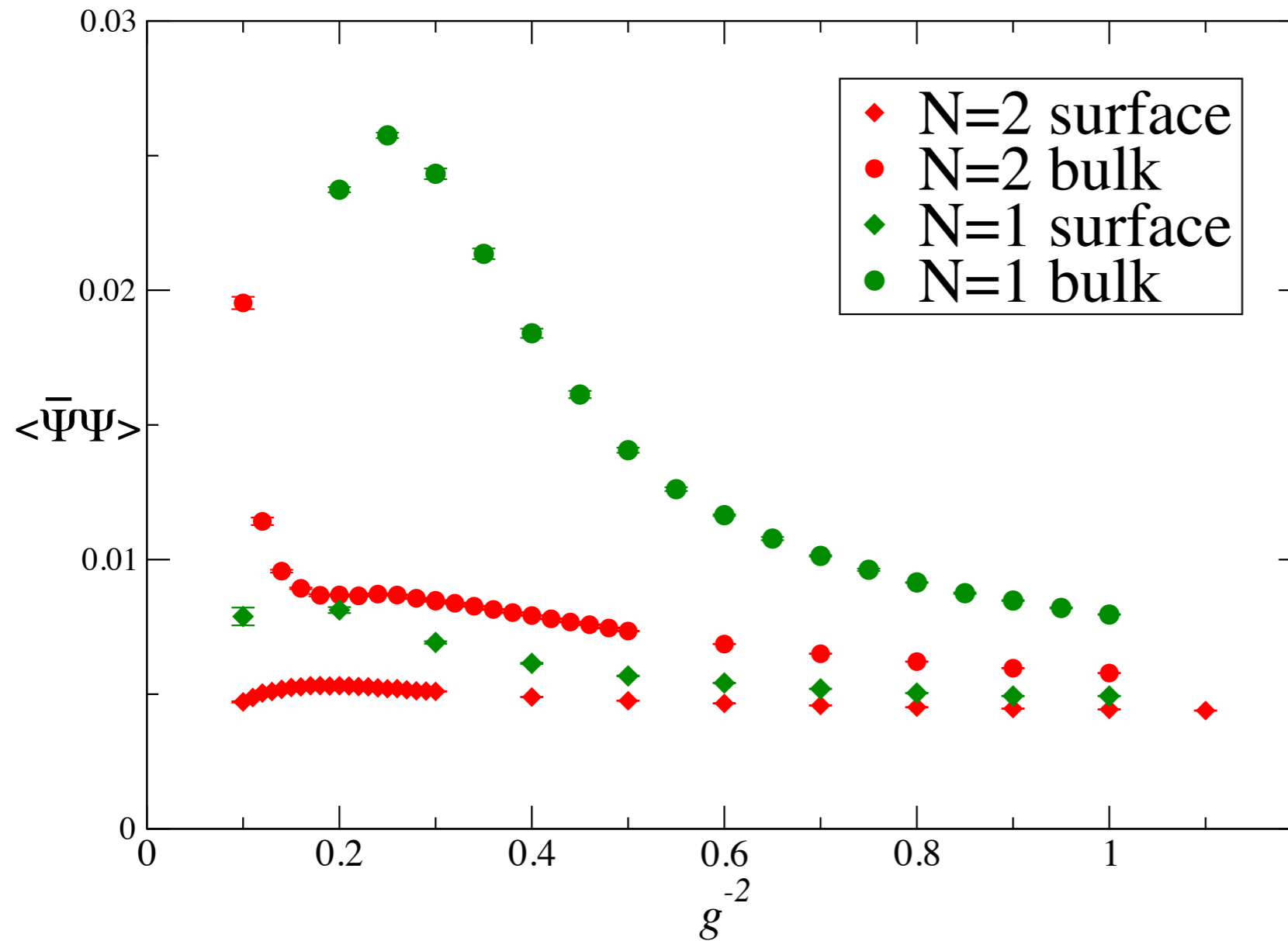
arXiv:1708.07686



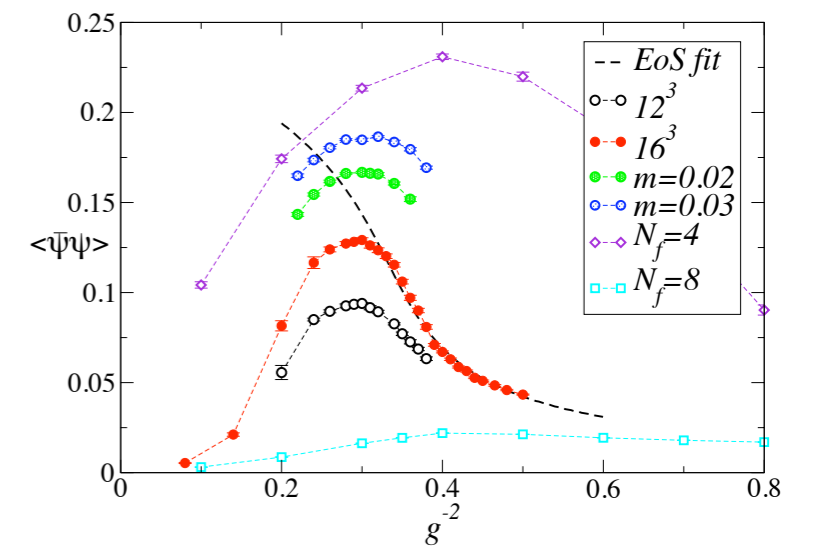
N=1 simulations performed with weight $\det(M^\dagger M)^{1/2}$ using RHMC algorithm with 25 partial fractions

RHMC Results for N=1 (12³x8)

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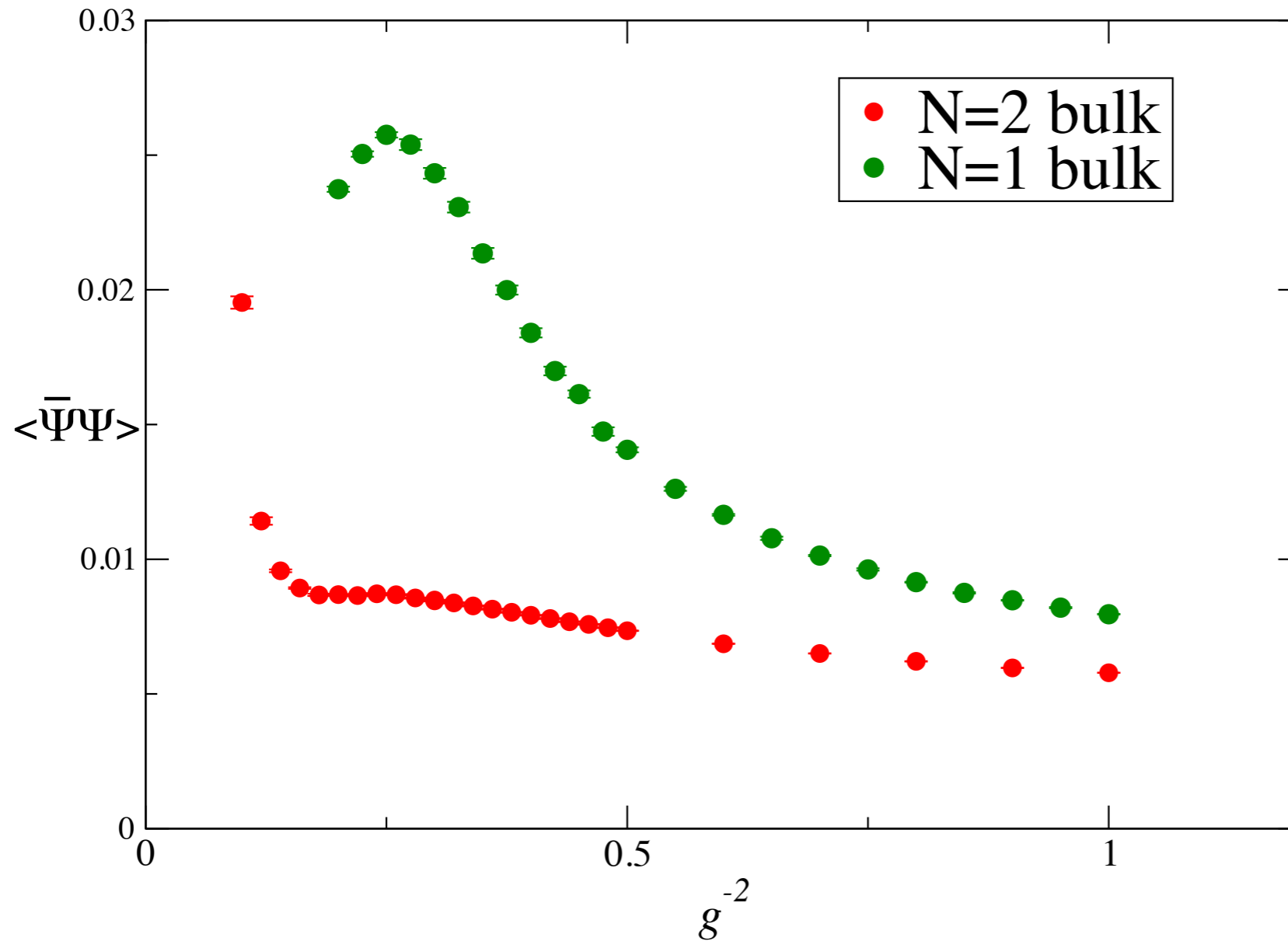


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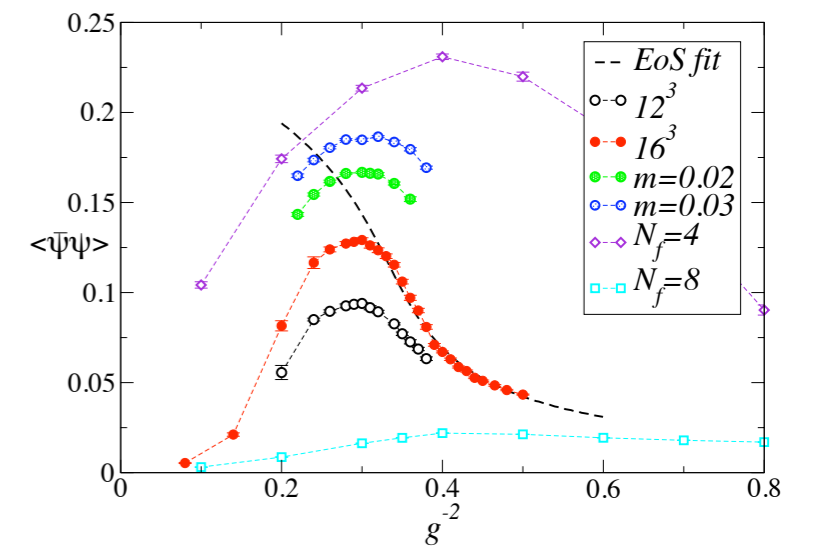


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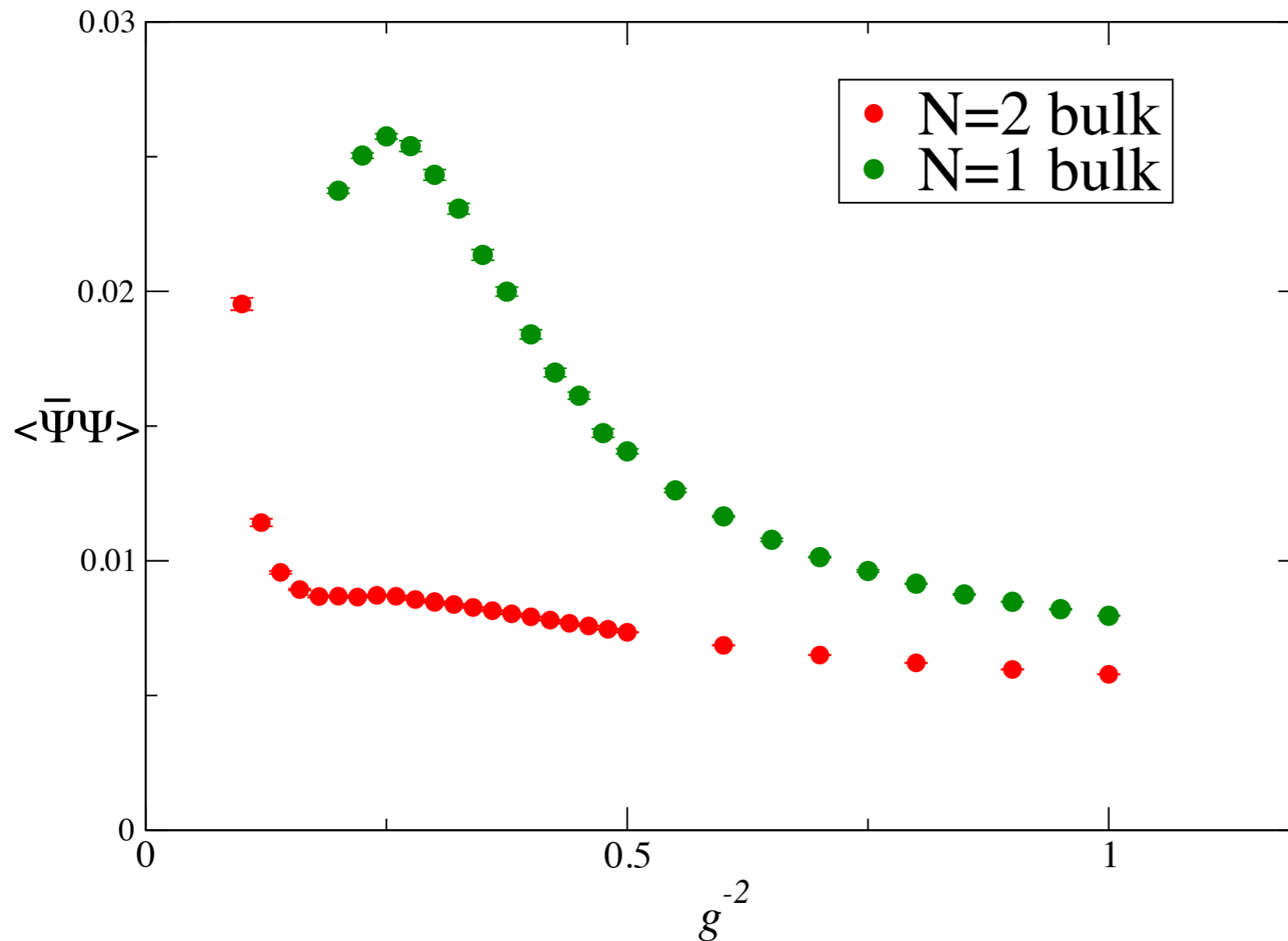


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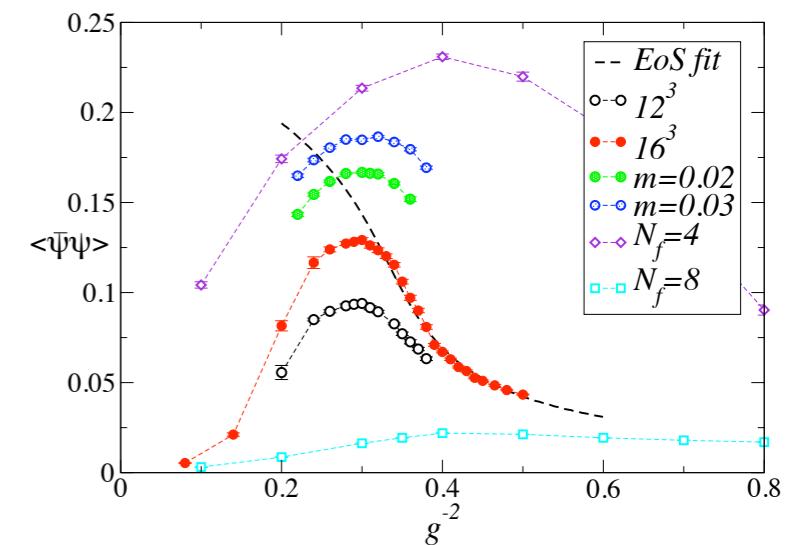


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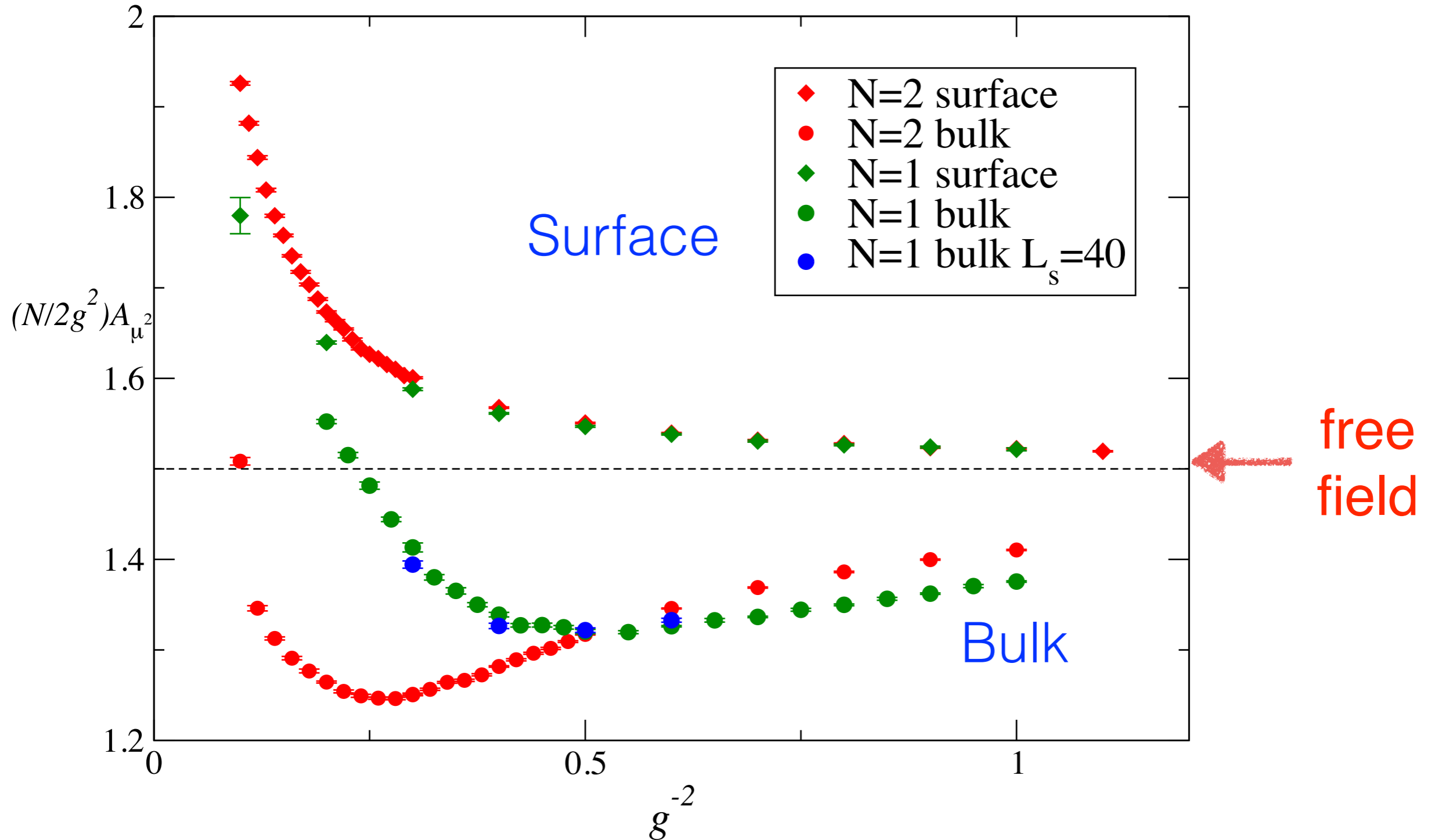


Henceforth focus on **bulk**

Evidence for enhanced pairing for N=1 and $ag^{-2} < 0.5$?

Boson Action

an interesting diagnostic

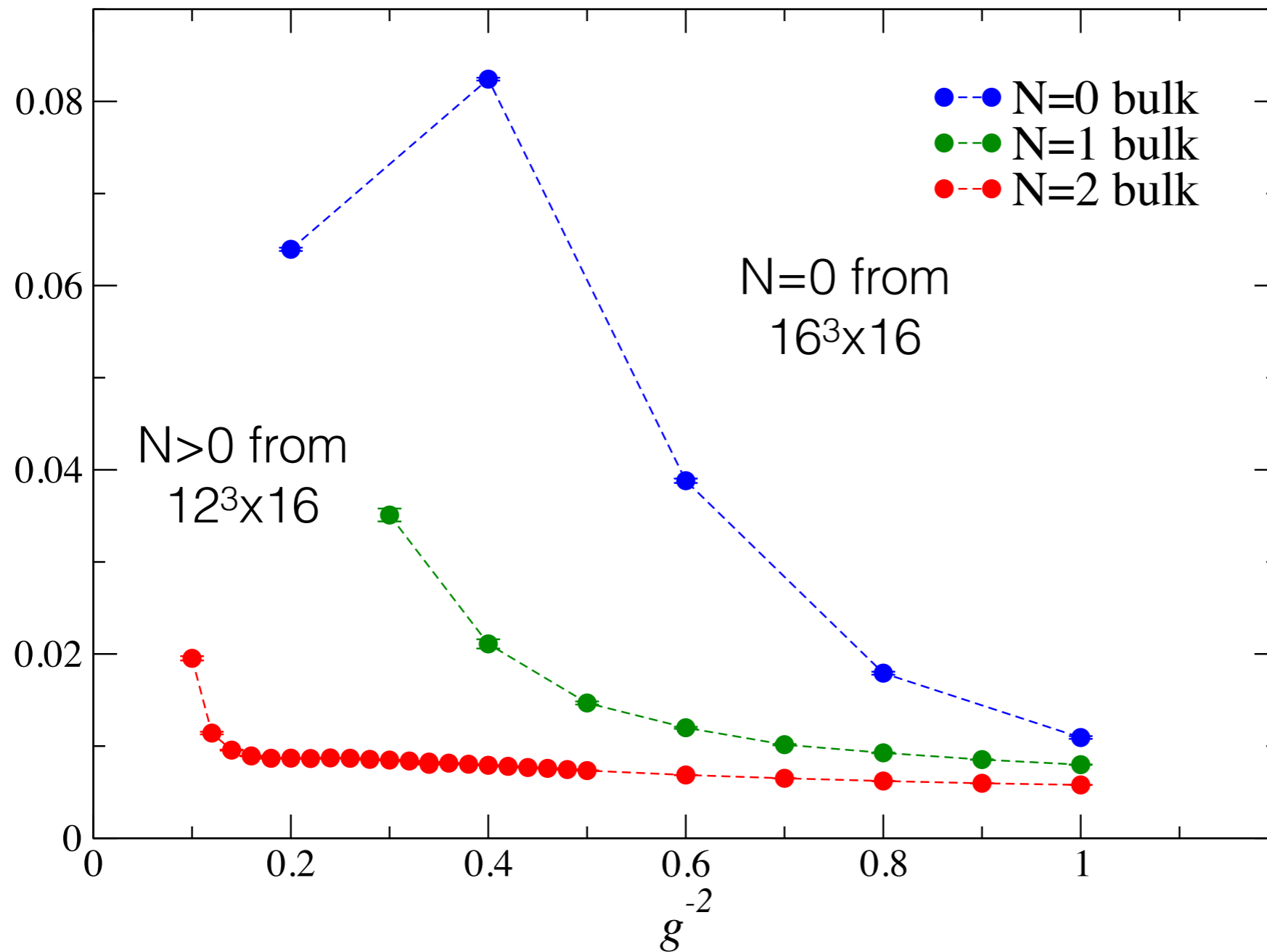


Surface and Bulk models show different behaviour

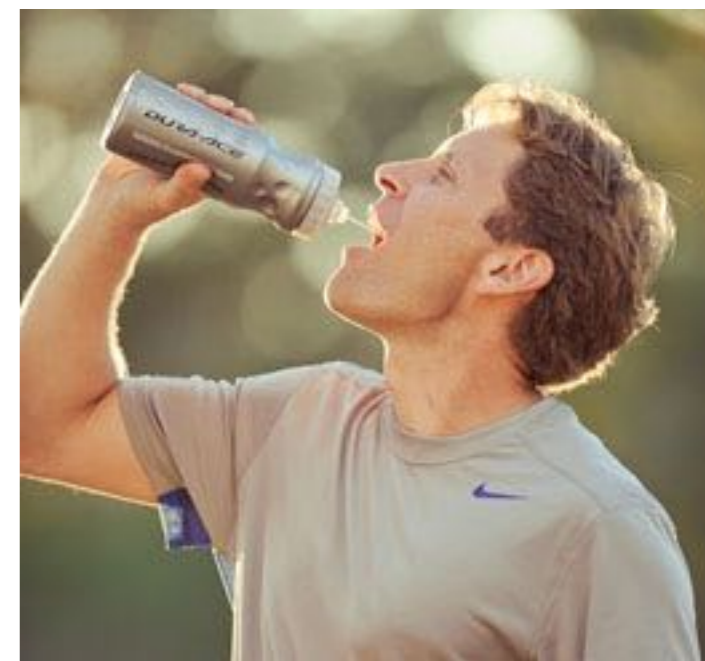
N=1: change of behaviour for $ag^{-2} < 0.5$?

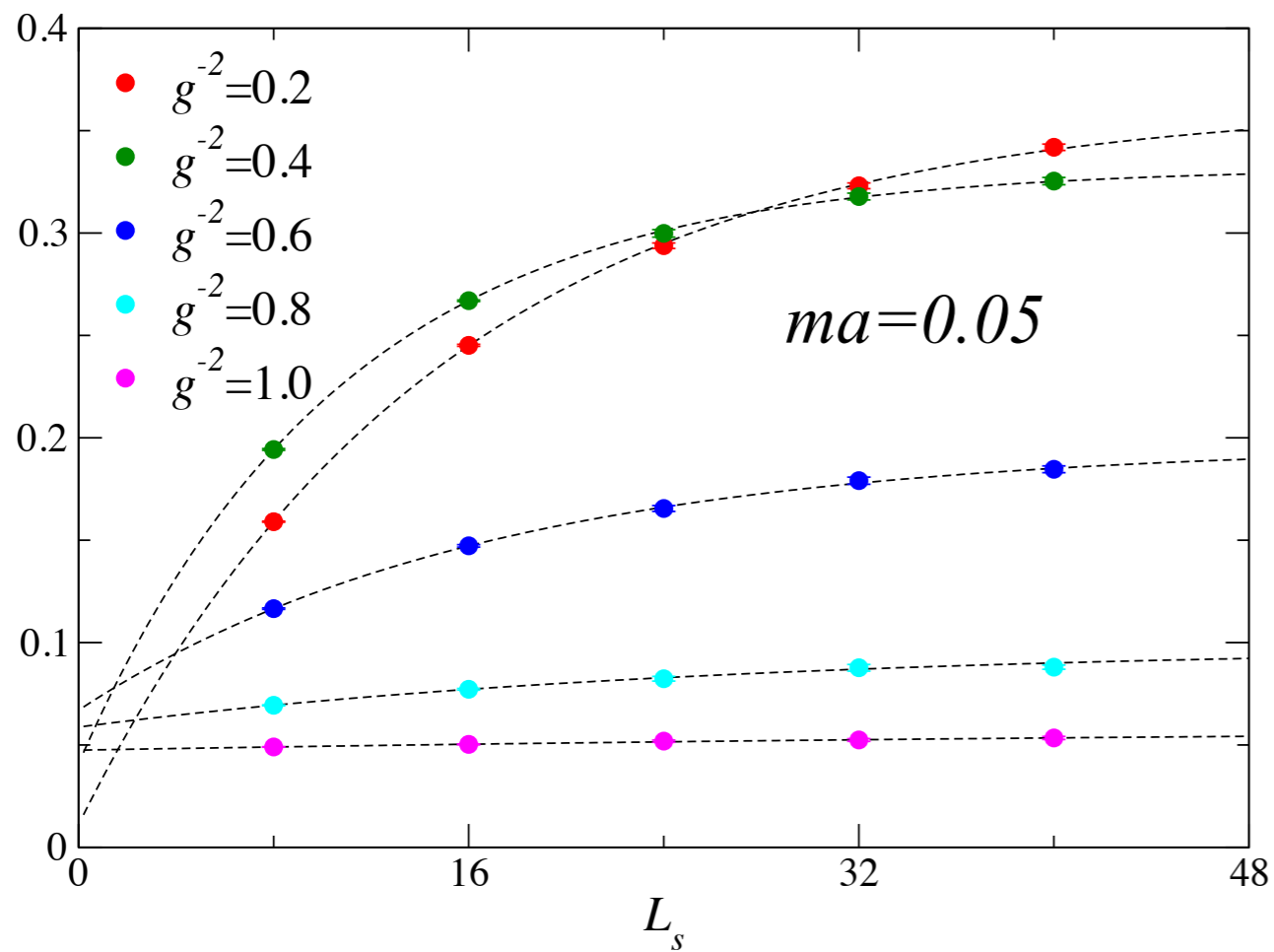
Quenched Interlude

what does $U(2N)$ symmetry-breaking
look like with DWF?



comparison of **bulk** models with
 $N=0,1,2$ with $L_s=16$, $ma=0.01$

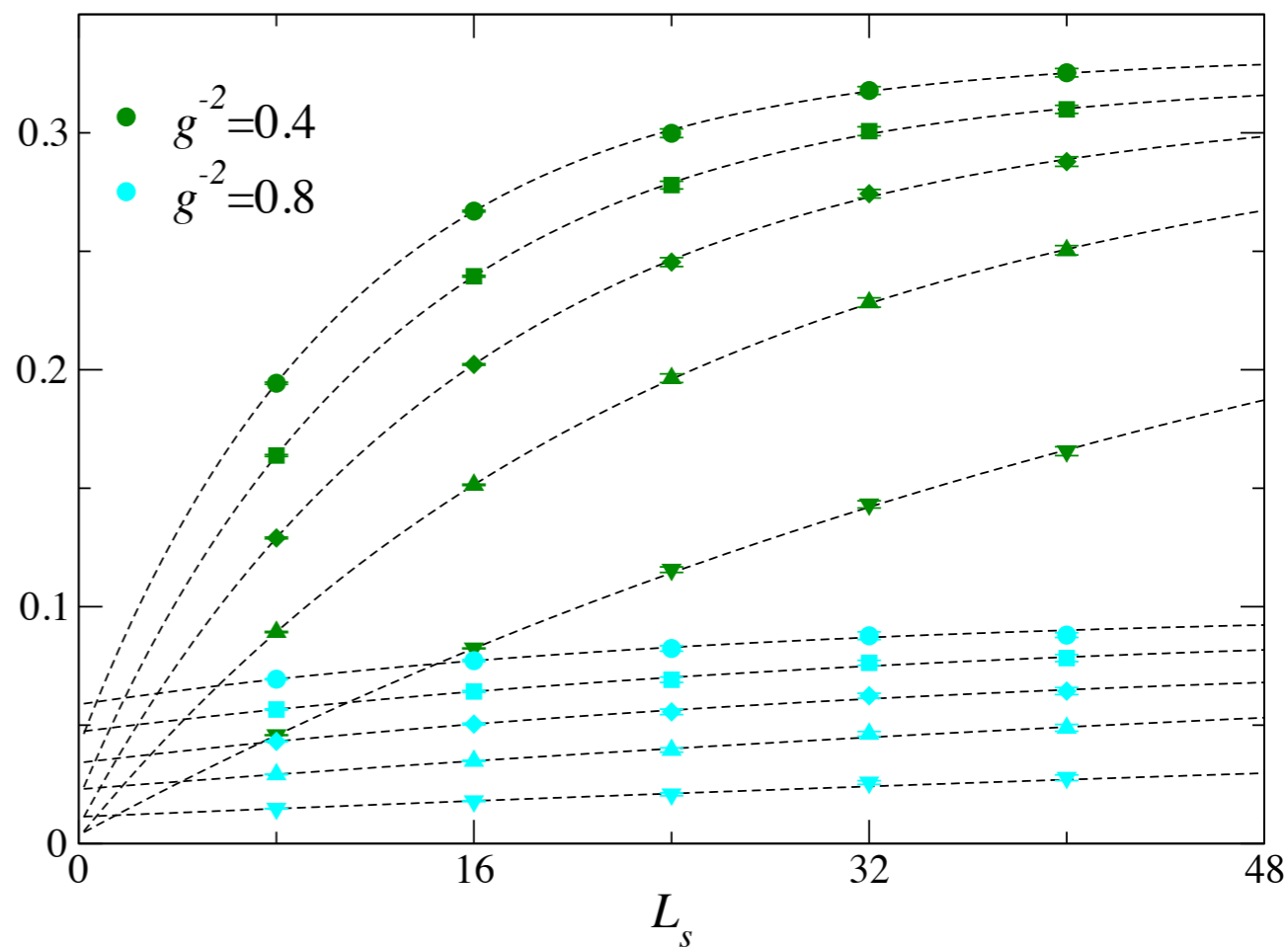




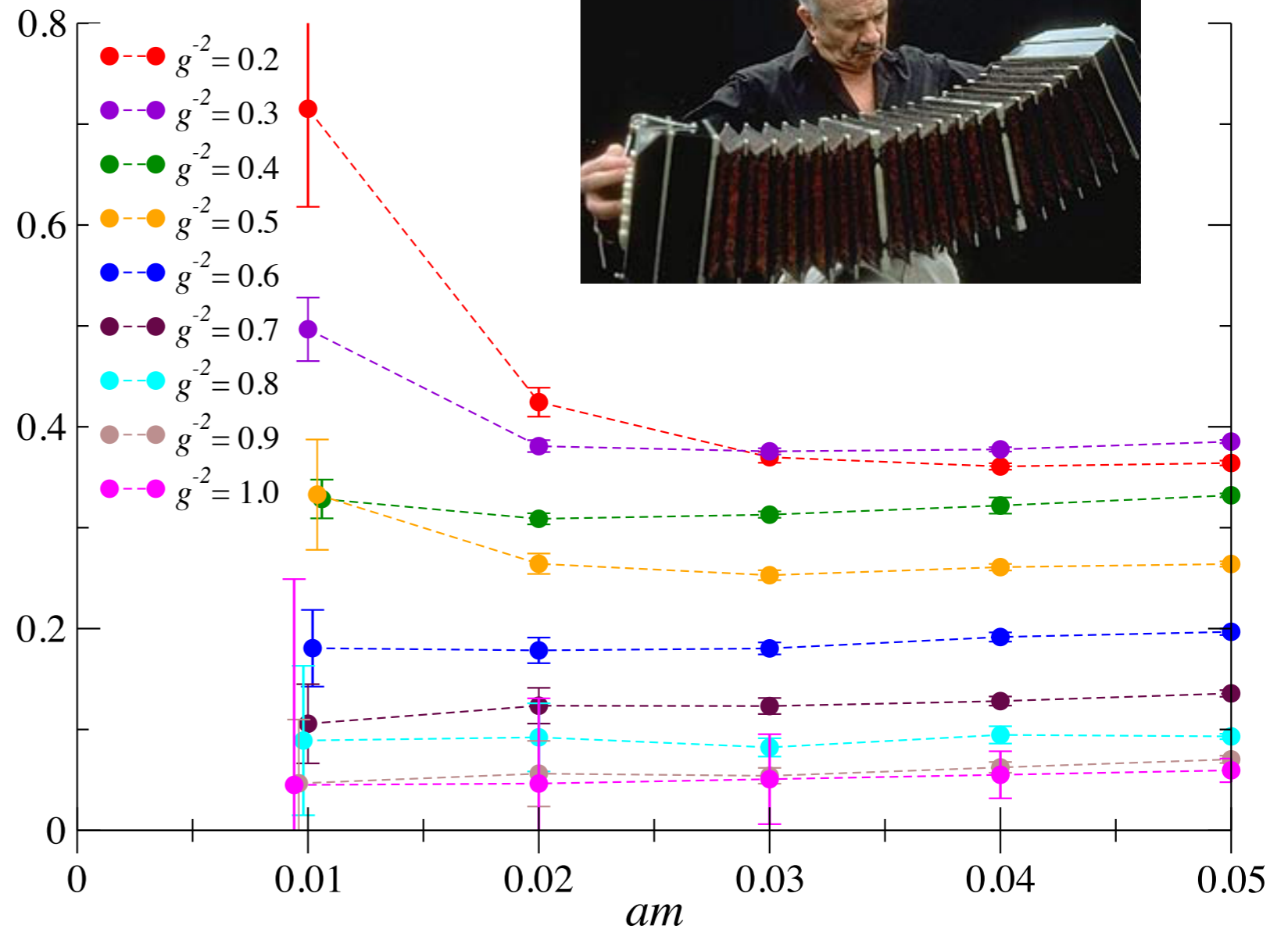
Finite- L_s corrections
much more significant
 in quenched simulations

$$\langle \bar{\psi}\psi \rangle_{L_s} = \langle \bar{\psi}\psi \rangle_{\infty} - A(m, g^2)e^{-\Delta(m, g^2)L_s}$$

Amplitude A &
 decay constant Δ
 both increase with
 size of signal



$L_s \rightarrow \infty$
for quenched
theory



$ag^{-2} \approx 0.2$

strong coupling lattice artefacts?

$ag^{-2} \gtrsim 0.8$

$m \rightarrow 0$

limit hard to extract, consistent with zero

$ag^{-2} \in (0.3, 0.7)$

$m \rightarrow 0$

has non-vanishing intercept consistent with symmetry breaking

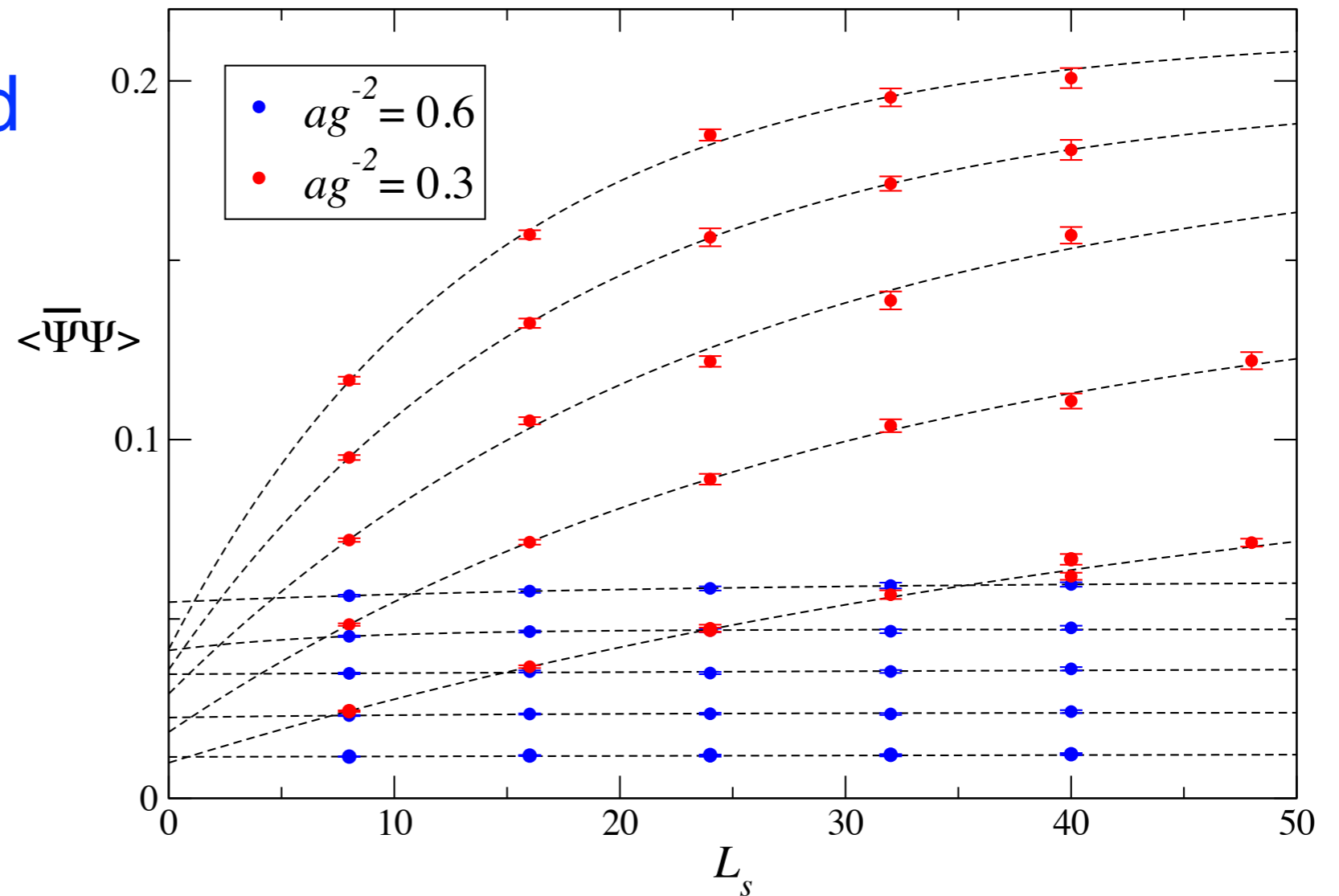
Cf. quenched QED₄ in the old days....

$\Rightarrow N_c > 0?$

Kocić, SJH, Kogut, Dagotto, NPB 347(1990)217

Have now repeated
analysis for
 $N=1,12^3 \times L_s$

lines are exponential
extrapolations $L_s \rightarrow \infty$



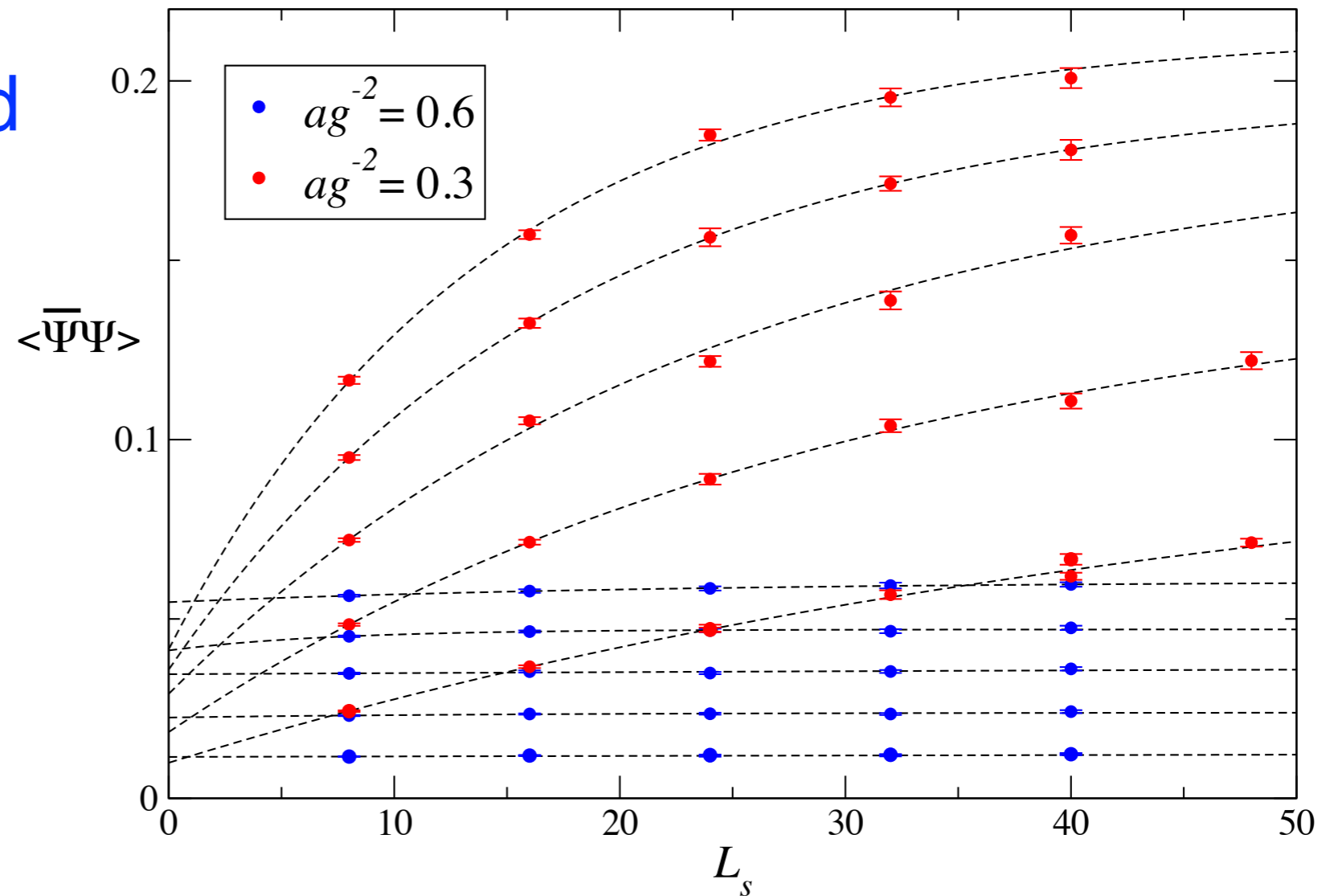
Again, a big contrast
weak $ag^{-2}=0.6$ vs. **strong** $ag^{-2}=0.3$

$L_s=48$, $am=0.01$, $ag^{-2}=0.3$:

RHMC Hamiltonian step requires ~ 9500 QMR iterations

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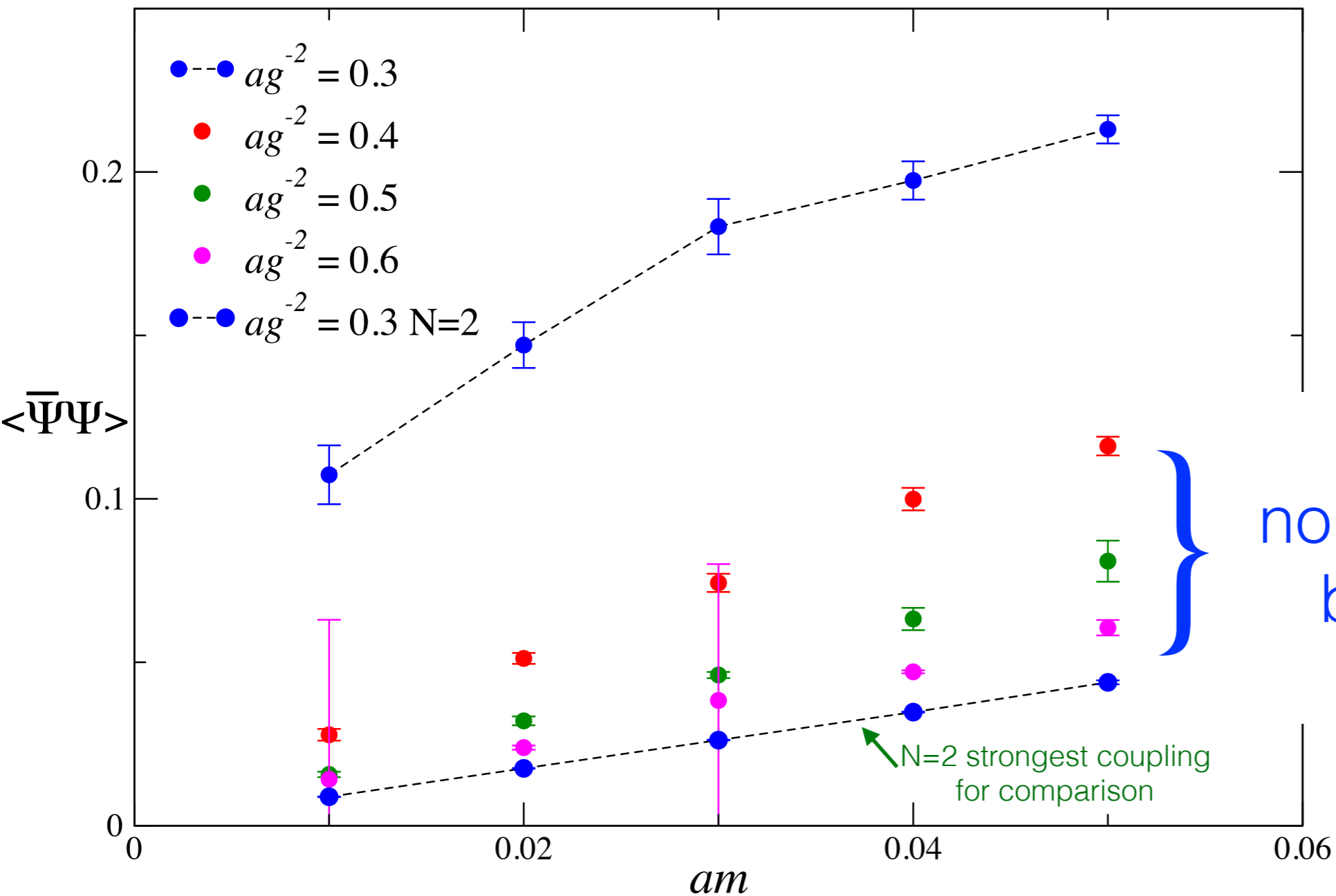
No-one said strong coupling would be easy....



$N=1$ $L_s \rightarrow \infty$

$12^3 \times L_s$, $L_s=8, \dots, 40(48)$; $ag^{-2}=0.6, 5, 4, 3$;
 $ma = 0.01, 2, 3, 4, 5 \Leftrightarrow$

$O(6 \text{ months})$ on cluster, 4 cores per run

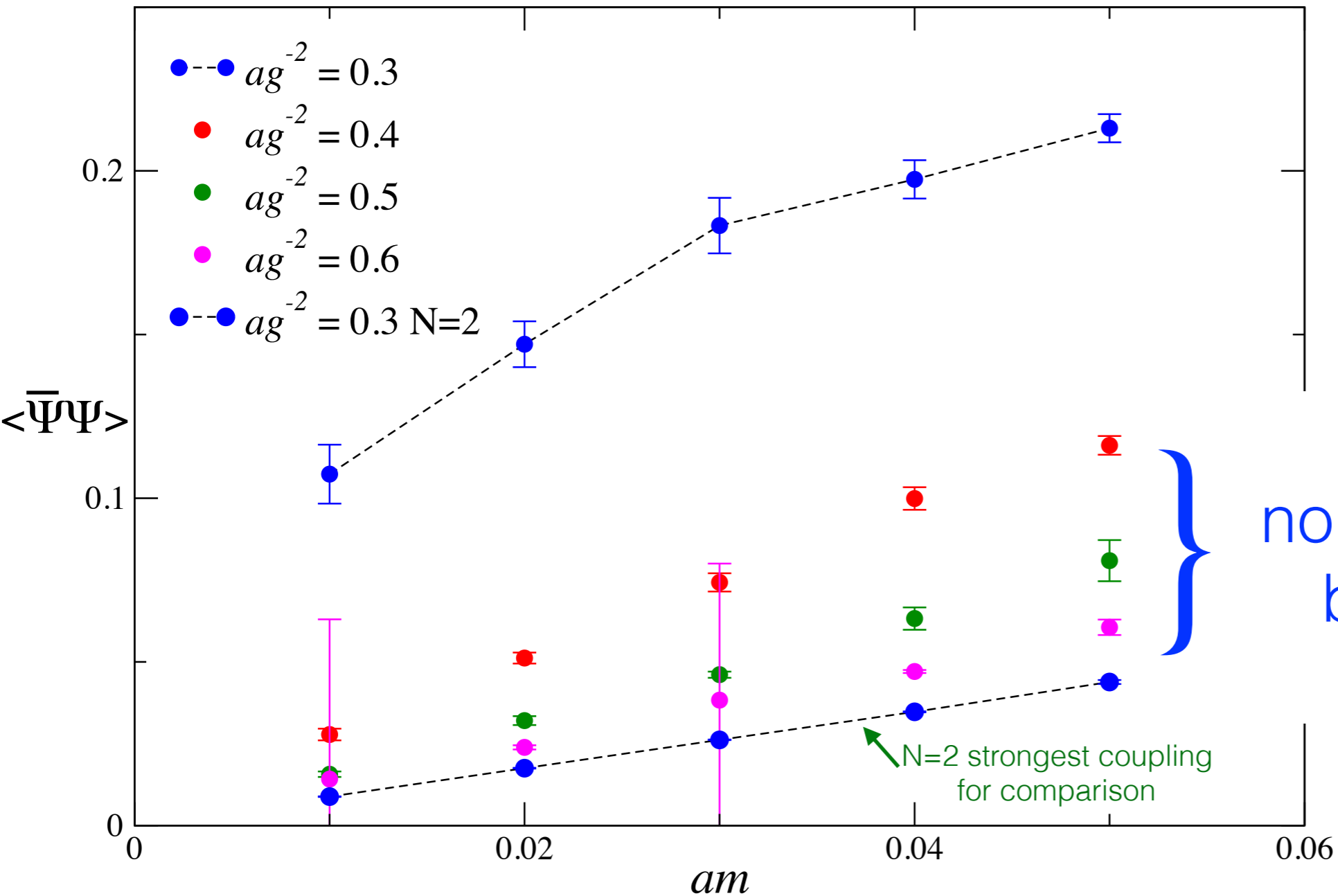


$\Rightarrow 1 < N_c < 2 ? \quad 0.3 < ag_c^{-2} < 0.4 ??$

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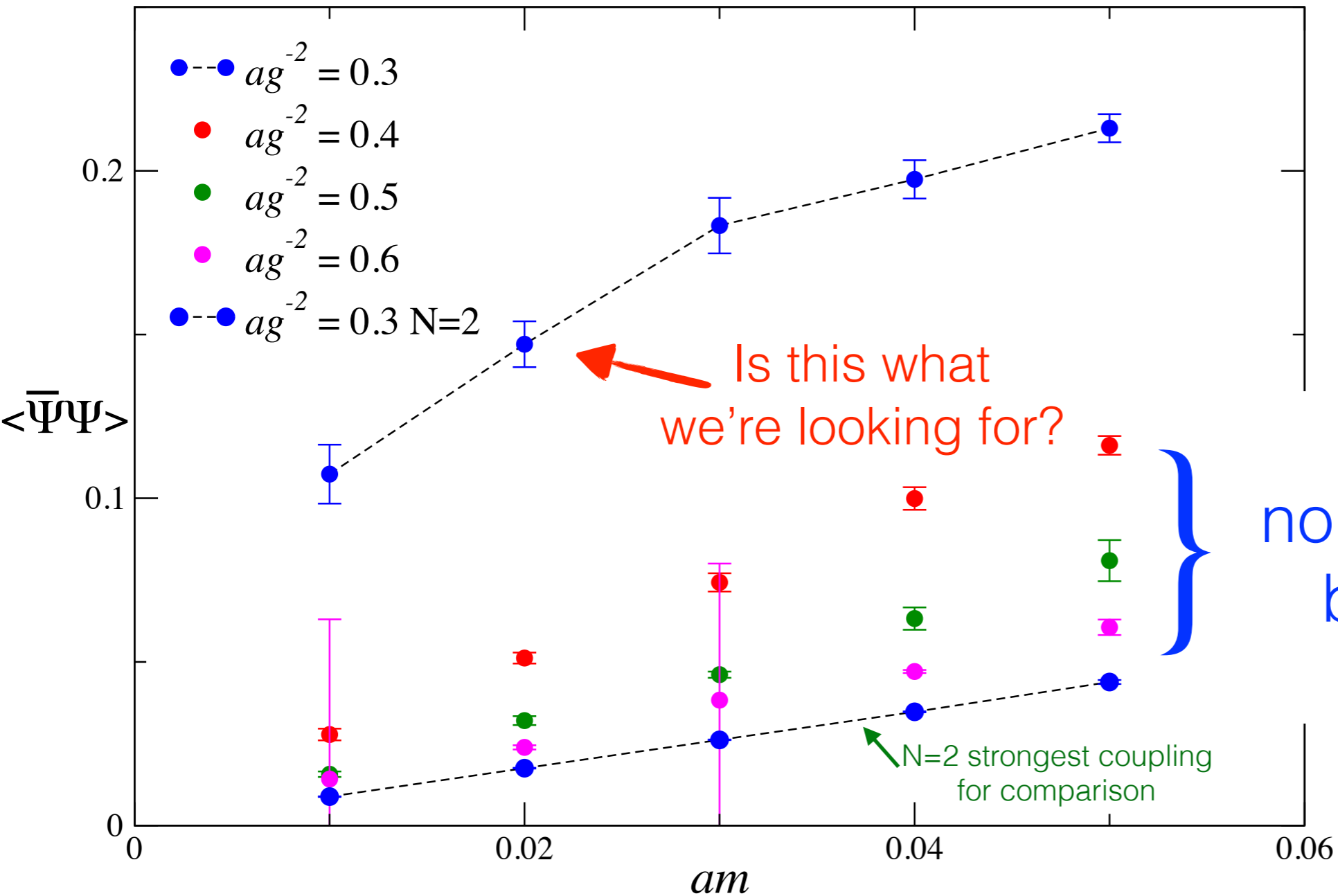


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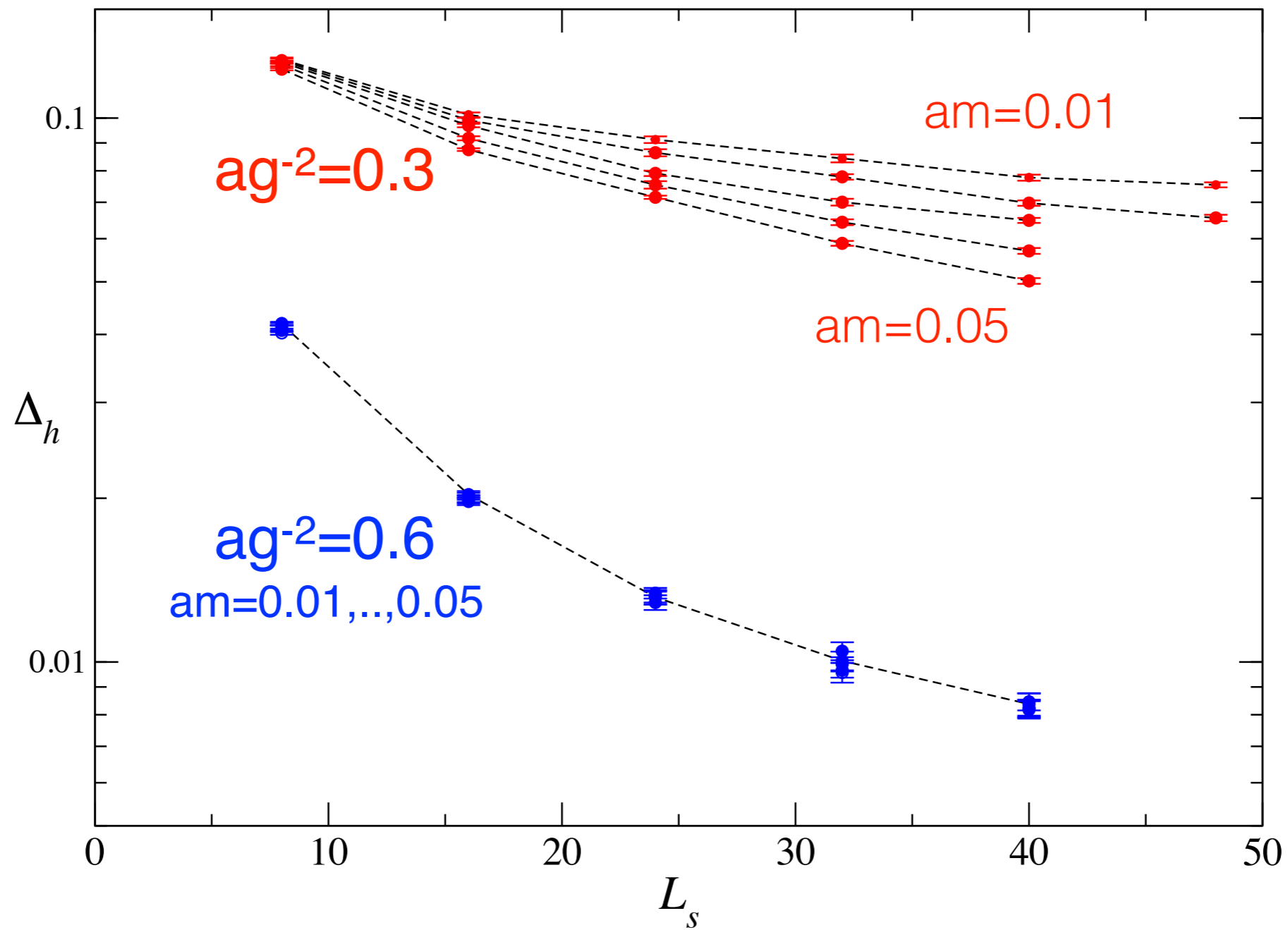
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Qualitative
difference in $\langle \bar{\Psi}\Psi(m) \rangle$
at the strongest
coupling examined
 $ag^{-2} = 0.3$

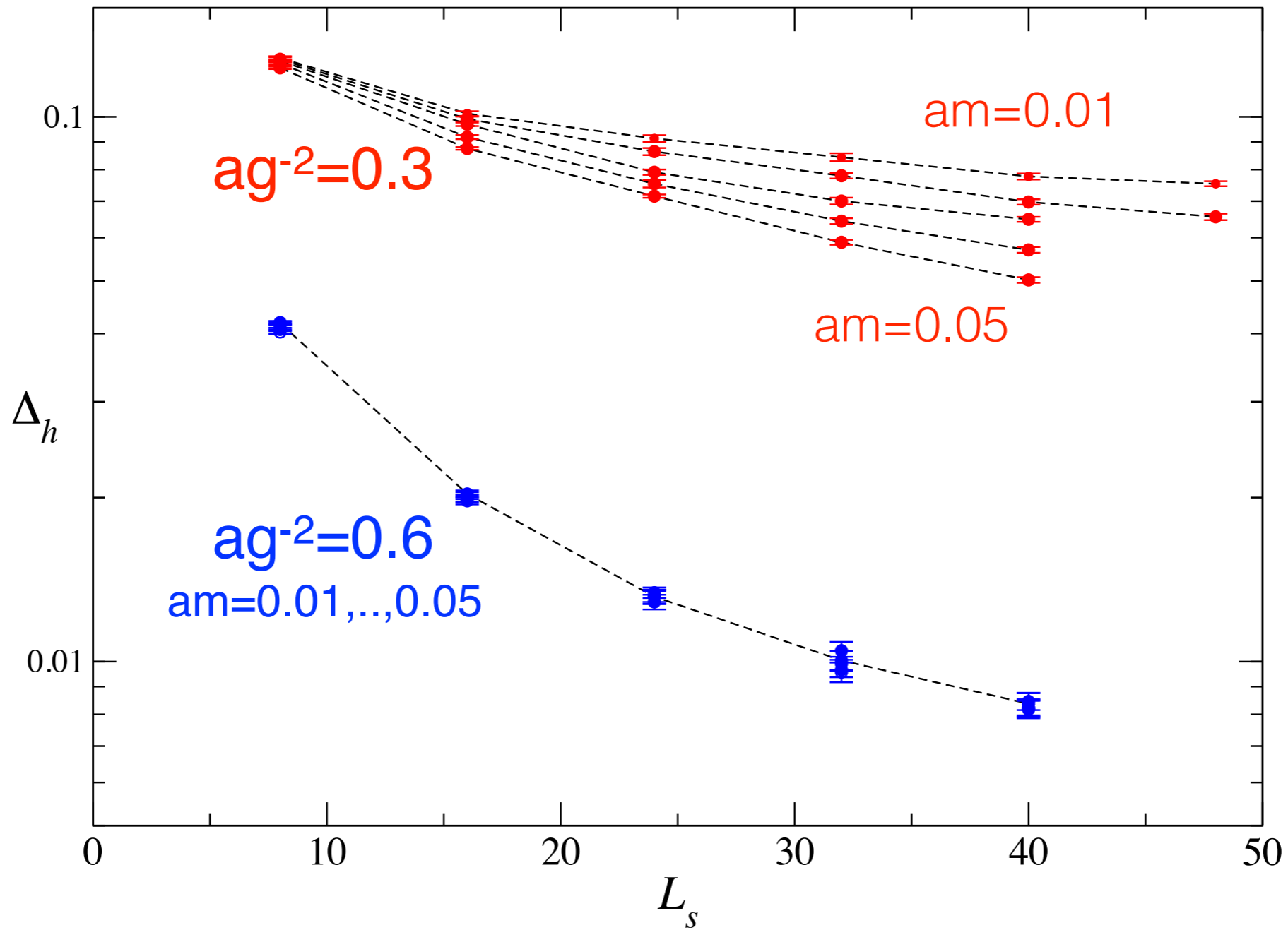
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U(2) symmetry restoration as $L_s \rightarrow \infty$



Qualitatively different at strong and weak coupling,
and *slow*...

U(2) symmetry restoration as $L_s \rightarrow \infty$



Qualitatively different at strong and weak coupling,
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Summary & Outlook



- No obstruction found to simulating $U(2N)$ fermions
- "twisted mass" $im_3\bar{\psi}\gamma_3\psi$ optimises $L_s \rightarrow \infty$
- Robust conclusion: $N_{fc} < 2$ for both bulk and surface
- Tentative evidence for SSB for $N=1$ at strong coupling

Cf. QED_3 $N_{fc} < 1$ Karthik & Narayanan PRD93 045020, D94 065026 (2016)

- Staggered Thirring Model shouldn't be forgotten — very non-trivial sensitivity to N
- Need to check $V \rightarrow \infty$, the effect of varying M_{wall}
- Try Haldane mass $m_{35} \neq 0$?
- Need to examine locality of corresponding D_{ov}
- Analysis of critical scaling at QCP requires improved code!



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