

Boosted W /top tagging in ATLAS

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on behalf of the ATLAS Collaboration



Introduction

- Identifying boosted hadronic resonance decays is guided by
 - precision **jet substructure calculations**
- and is important for
 - probing **top physics**
 - searches for **physics beyond the SM**
- Using trimmed anti- k_t $R = 1.0$ jets*:
 - Study **performance, modelling** of W /top taggers in data
 - Present **new ideas** in jet tagging

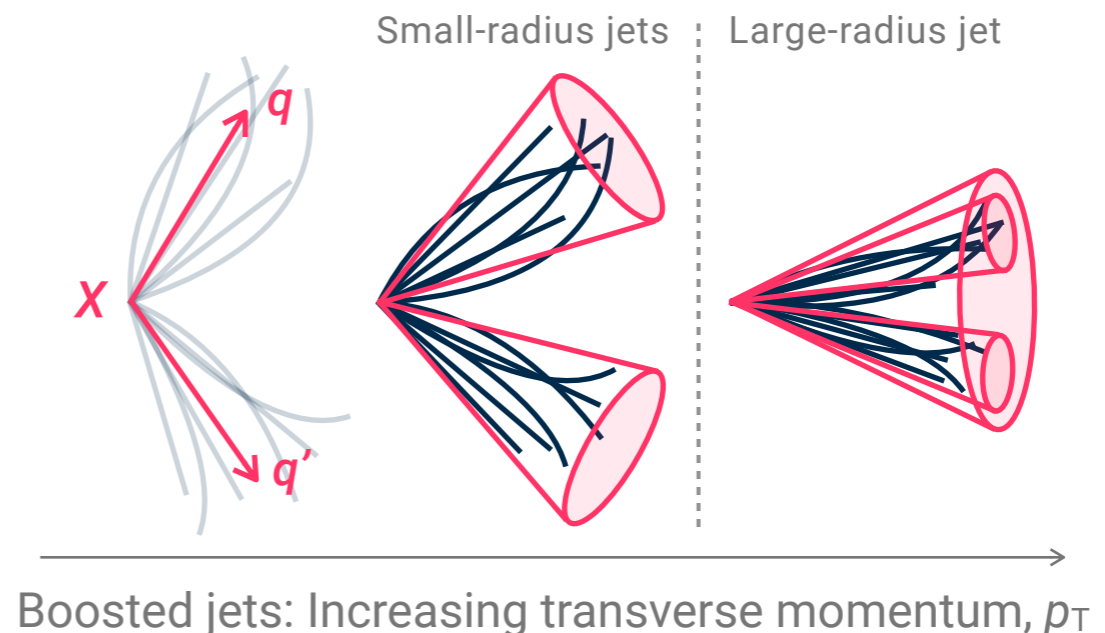
Talk by J. Roloff

Talk by W. Hopkins

Talk by F. Guescini

Talk by A. Arce

Talk by S. Ganguly



*Trimming params.: $R_{\text{sub}} = 0.2$, $f_{\text{cut}} = 5\%$ [0912.1342]. HEPTopTagger uses trimmed C/A jets w. $R = 1.5$

Performance in simulation

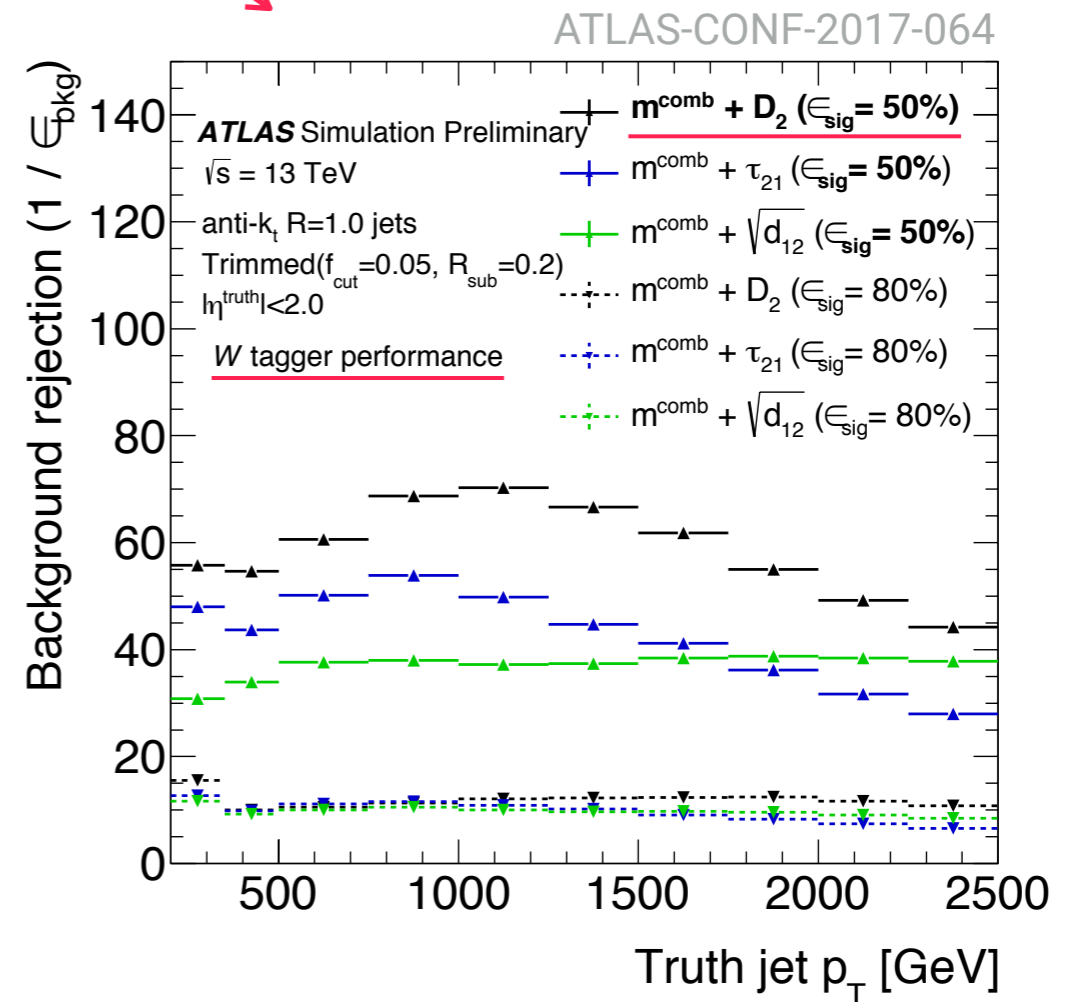
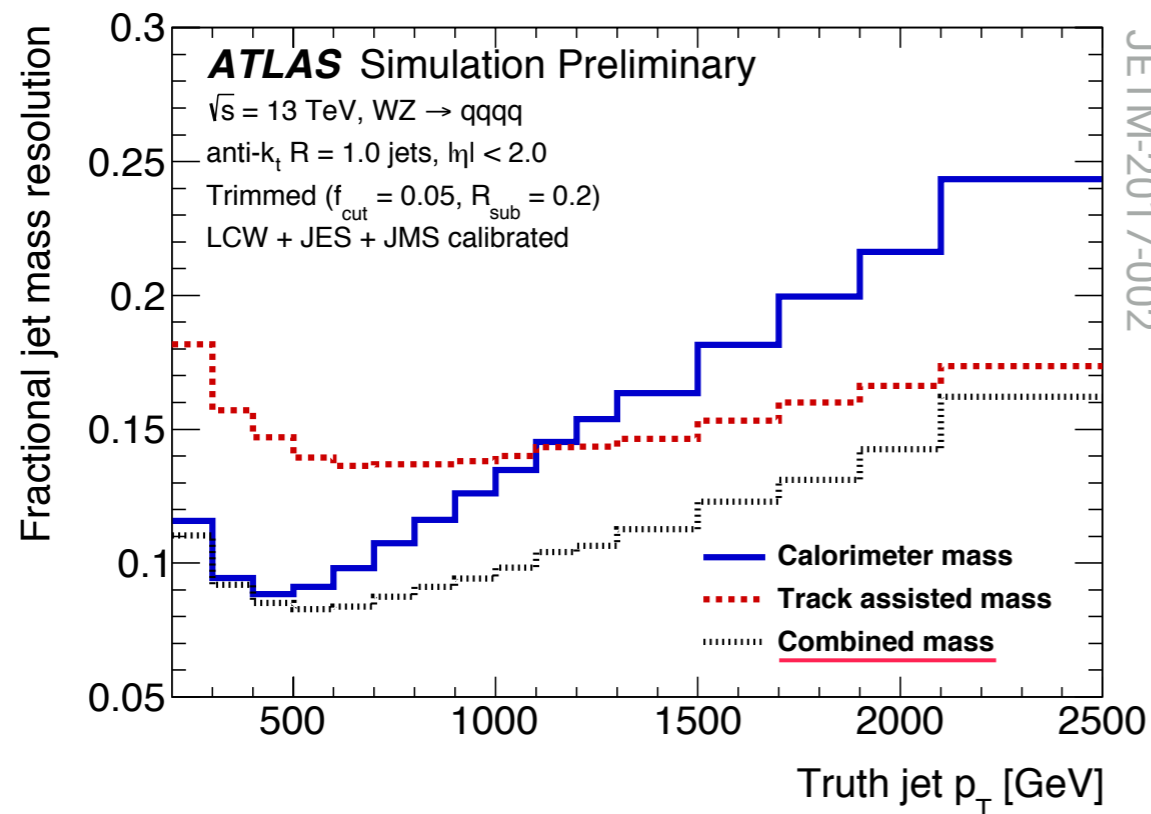
ATLAS Run 2 W/top tagging paper (*in prep.*)

ATL-PHYS-PUB-2017-004

Two-variable cut-based taggers

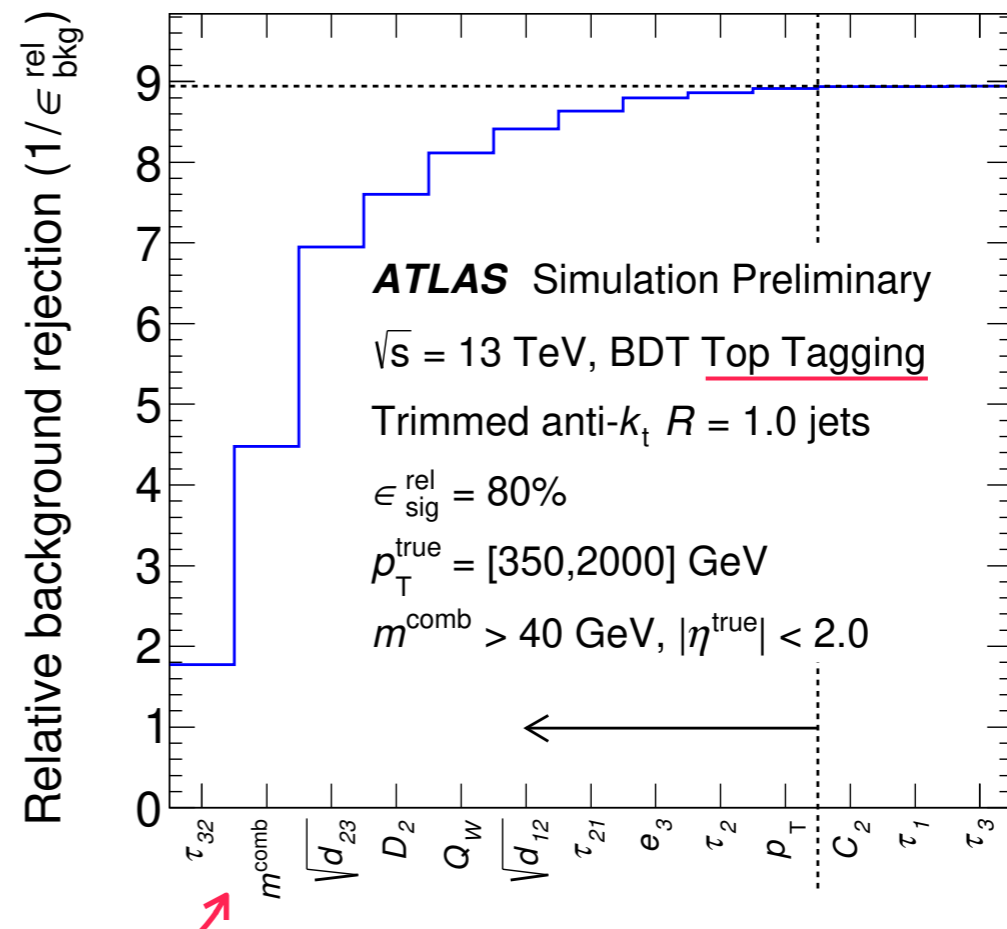
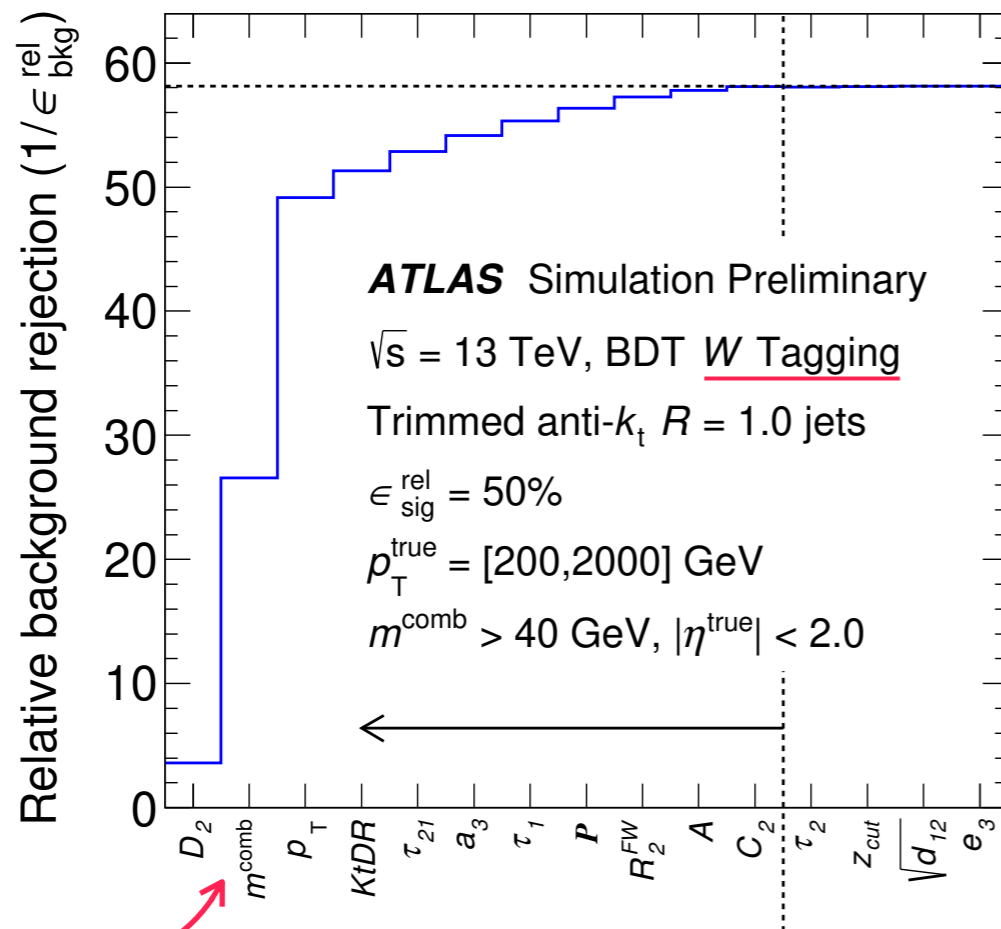
- Powerful classification by tagging on **combined jet mass** (m^{comb} ; track \oplus calo) and additional **substructure moment**
- Optimise pair-wise combinations at fixed ϵ_{sig} WP vs. p_T
 - **W:** 50% WP $m^{\text{comb}} + D_2$
 - **top:** 80% WP $m^{\text{comb}} + \tau_{32}$

Ca. x60 rejection across p_T



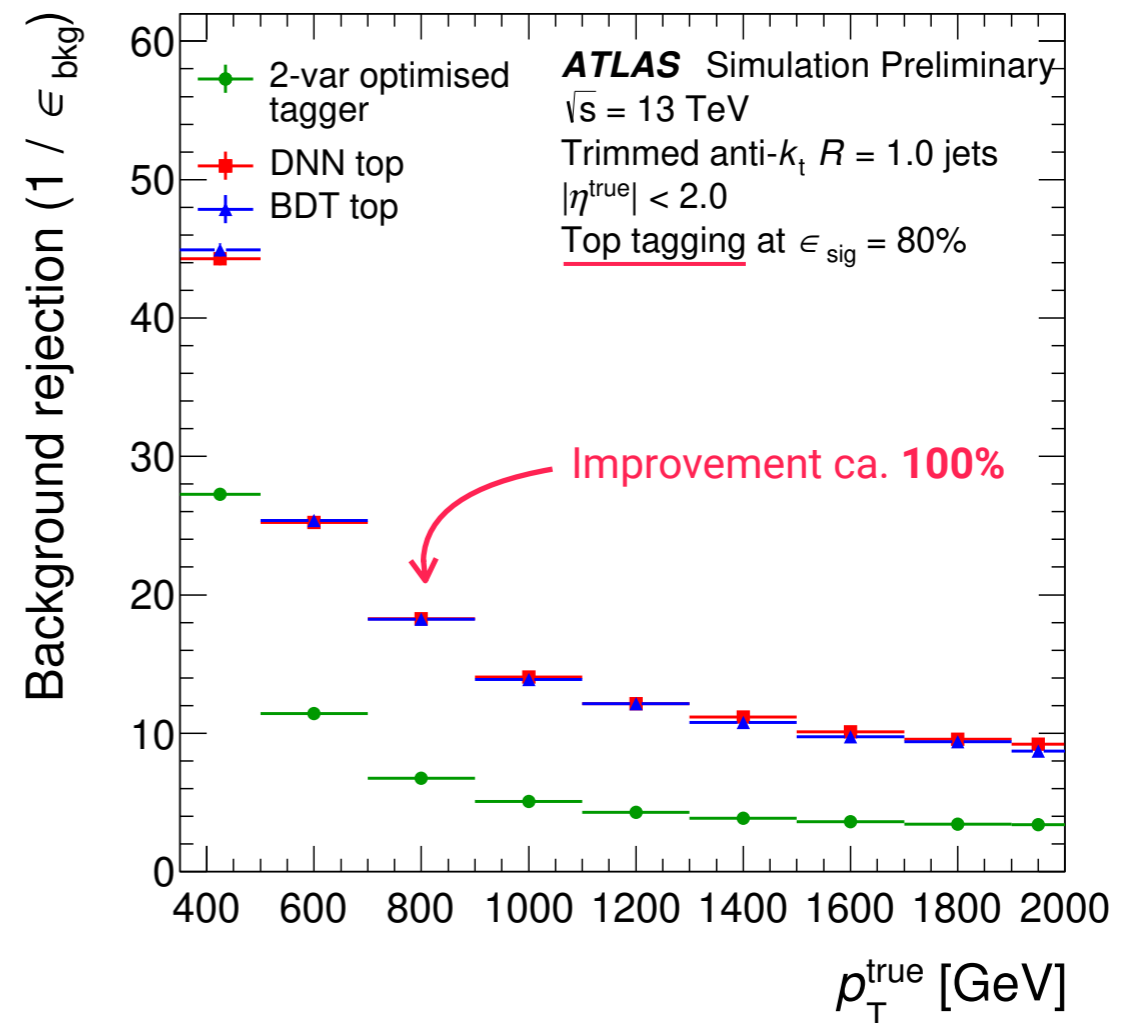
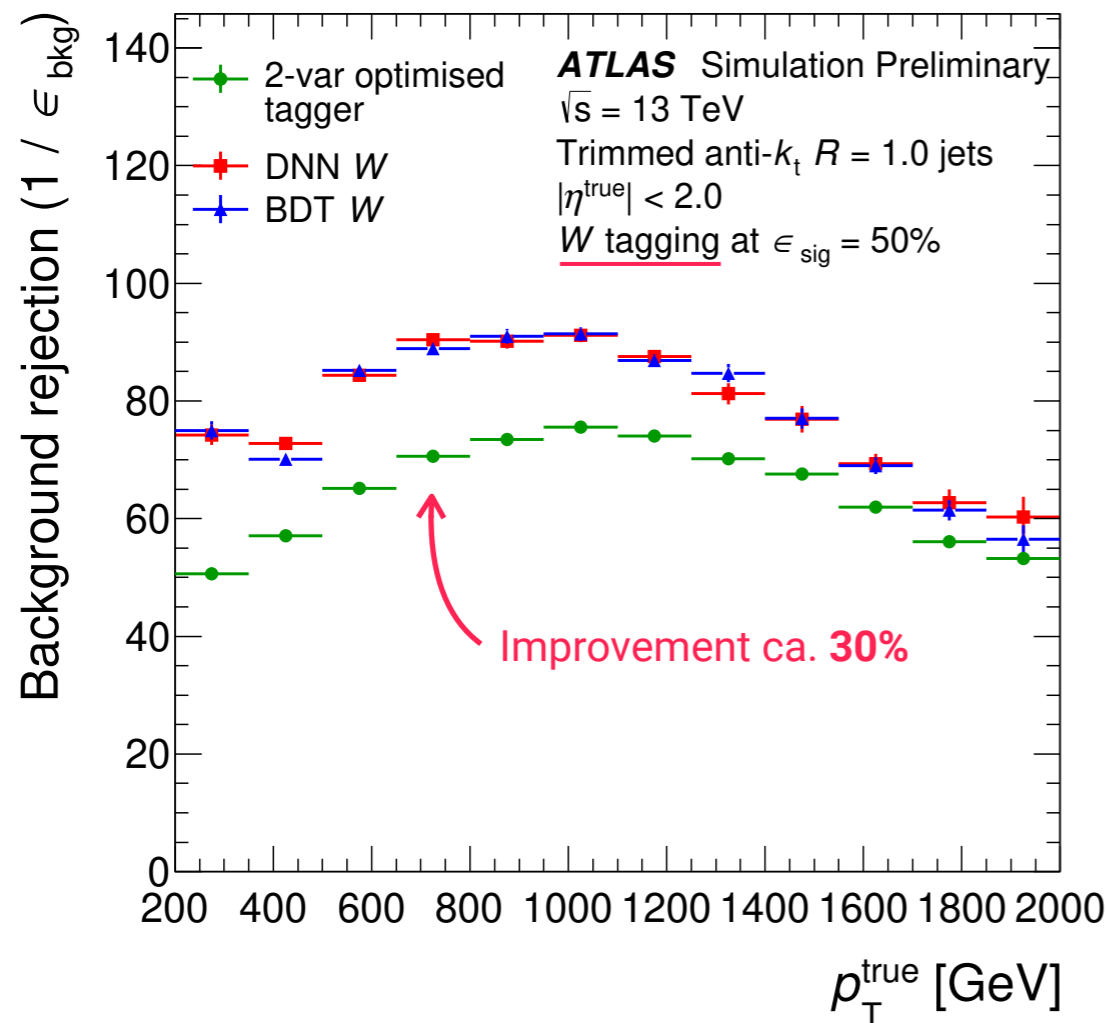
Machine learning taggers

- **Multivariate** (MVA) combination of inputs possible with deep neural networks (**DNN**) and boosted decision trees (**BDT**)
- For BDT, sequentially adding features improves classification beyond two-variable combinations. Similar inputs for DNN.



Comparison: Cut-based vs. MVA

- For fixed- ϵ_{sig} WPs vs. jet p_{T} , MVA taggers ($\color{red}{\blacksquare}$) perform similarly, and better than optimised two-variable tagger ($\color{green}{\bullet}$)
 - Ability to use extra information results in better taggers



Alternative top tagging techniques

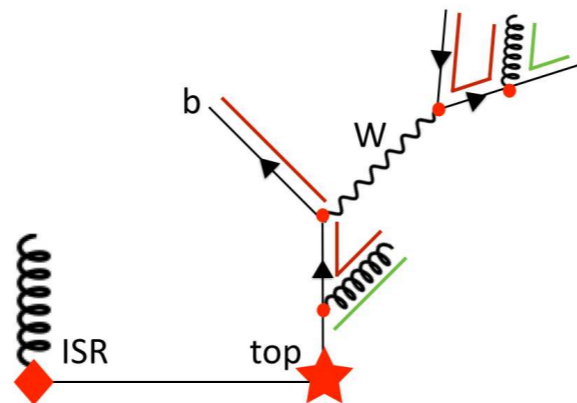
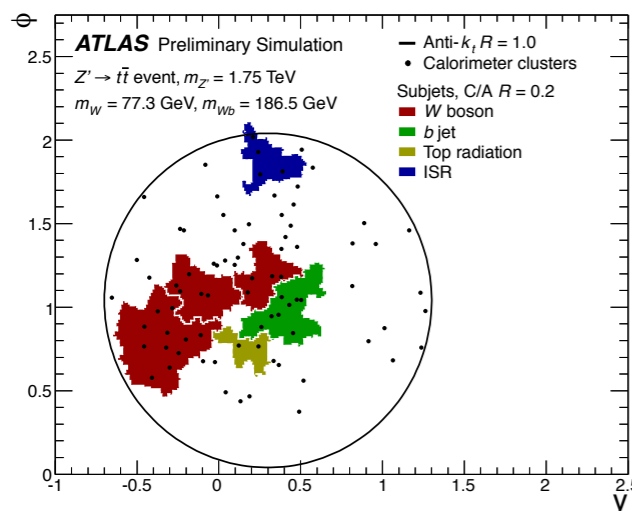
- **HepTopTagger v1 (HTT)**
[0910.5472, 1006.2833]
- Use trimmed C/A w. $R = 1.5$ to capture entire top decay for $p_T > 200$ GeV
- Test compatibility with 3-prong top decay hypothesis
- Tag: $m_{\text{HTT}} \in [140, 200]$ GeV

- **Shower Deconstruction (SD)**
[1102.3480, 1211.3140]

- Re-cluster 3–6 excl. k_t top decay-compatible subjects
- Compare subjects (\approx partons) to parton shower histories:

$$\chi(\{p\}_N) = \frac{\sum_{\text{histories}} P(\{p\}_N | S)}{\sum_{\text{histories}} P(\{p\}_N | B)}$$

- **Encoding physics knowledge** (\sim ME calc.) in observable \rightarrow between cut-based and ML taggers

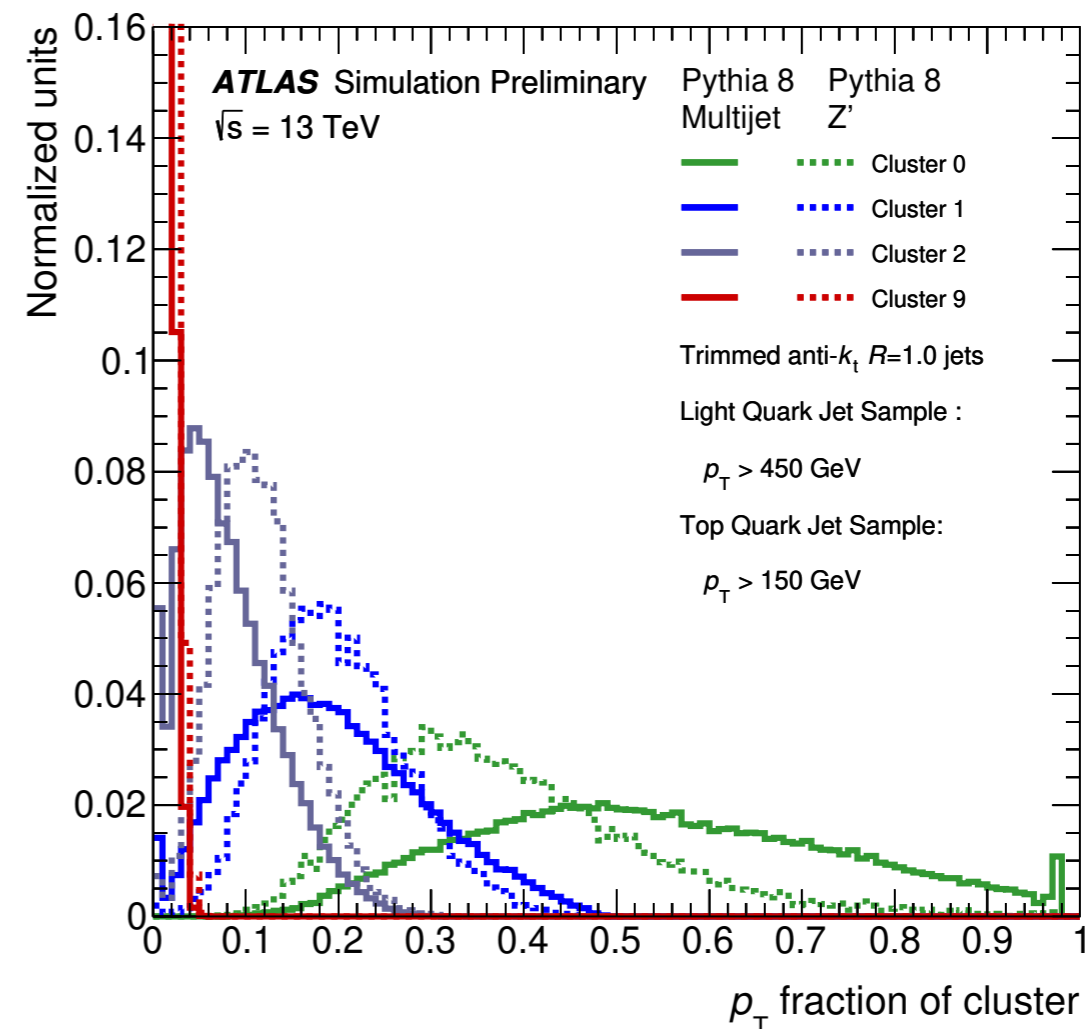


TopoDNN tagger



- **Lessons:**
 - Lower-level inputs can yield more powerful classification
[1511.05190, 1603.09349, 1701.08784]
 - Greater impact of ML methods for top tagging

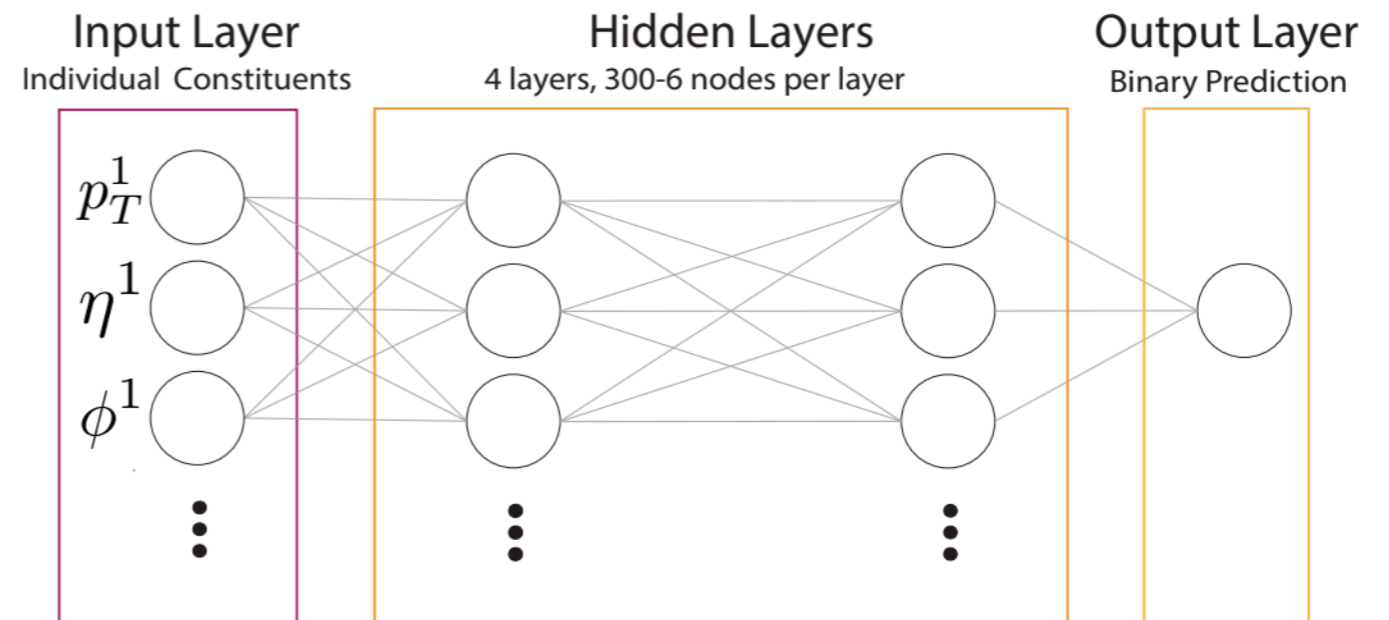
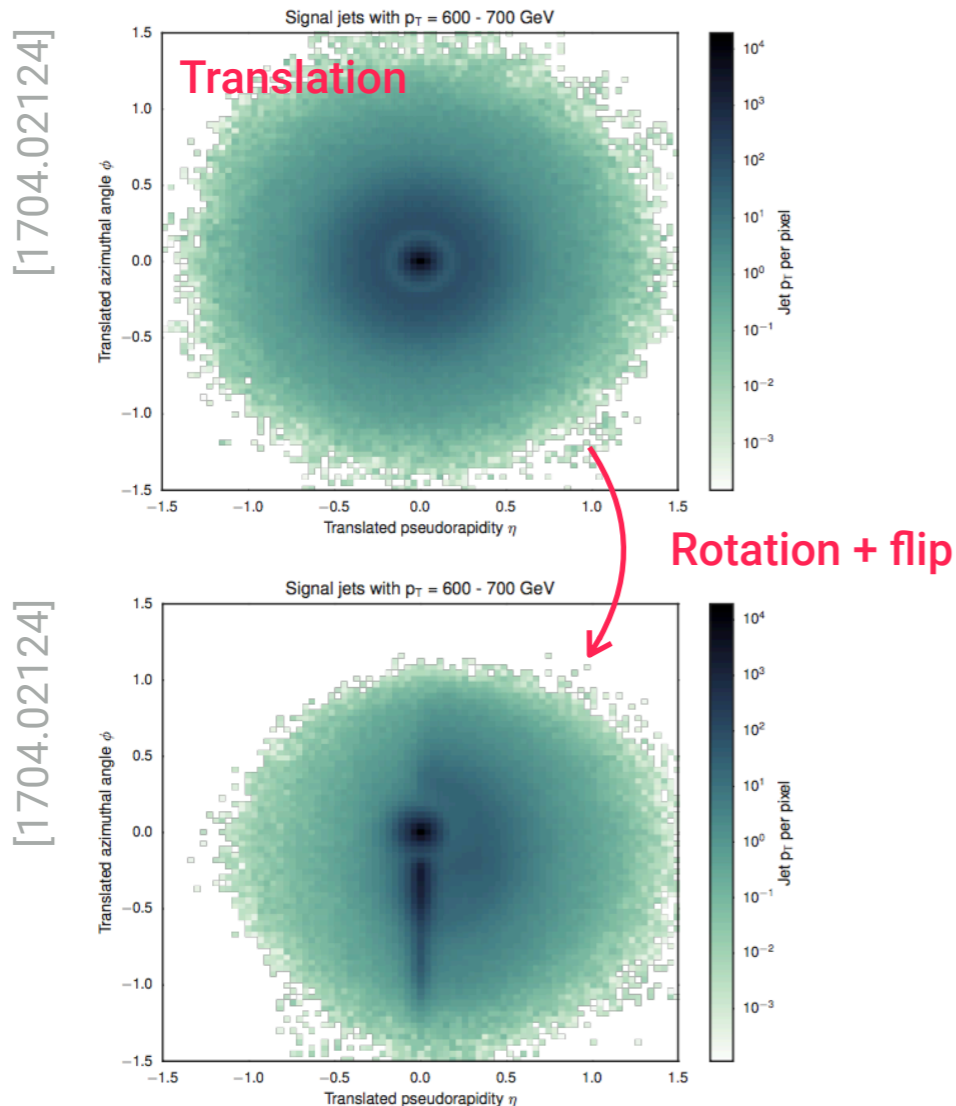
- **TopoDNN:** [1704.02124]
 - Top tagging using jet LC topo cluster constituents directly, implemented and **evaluated in ATLAS**
 - **Targeting high- p_T $t\bar{t}$** analysis, so focus on this kinematic regime



TopoDNN tagger



- Using (p_T, η, ϕ) of **10 leading LC topo cluster constituents** in trimmed large- R jet ($m_{\text{cluster}} \rightarrow 0$)
- Preprocessing: **translation, rotation, and flip** of assumed three-subjet topology

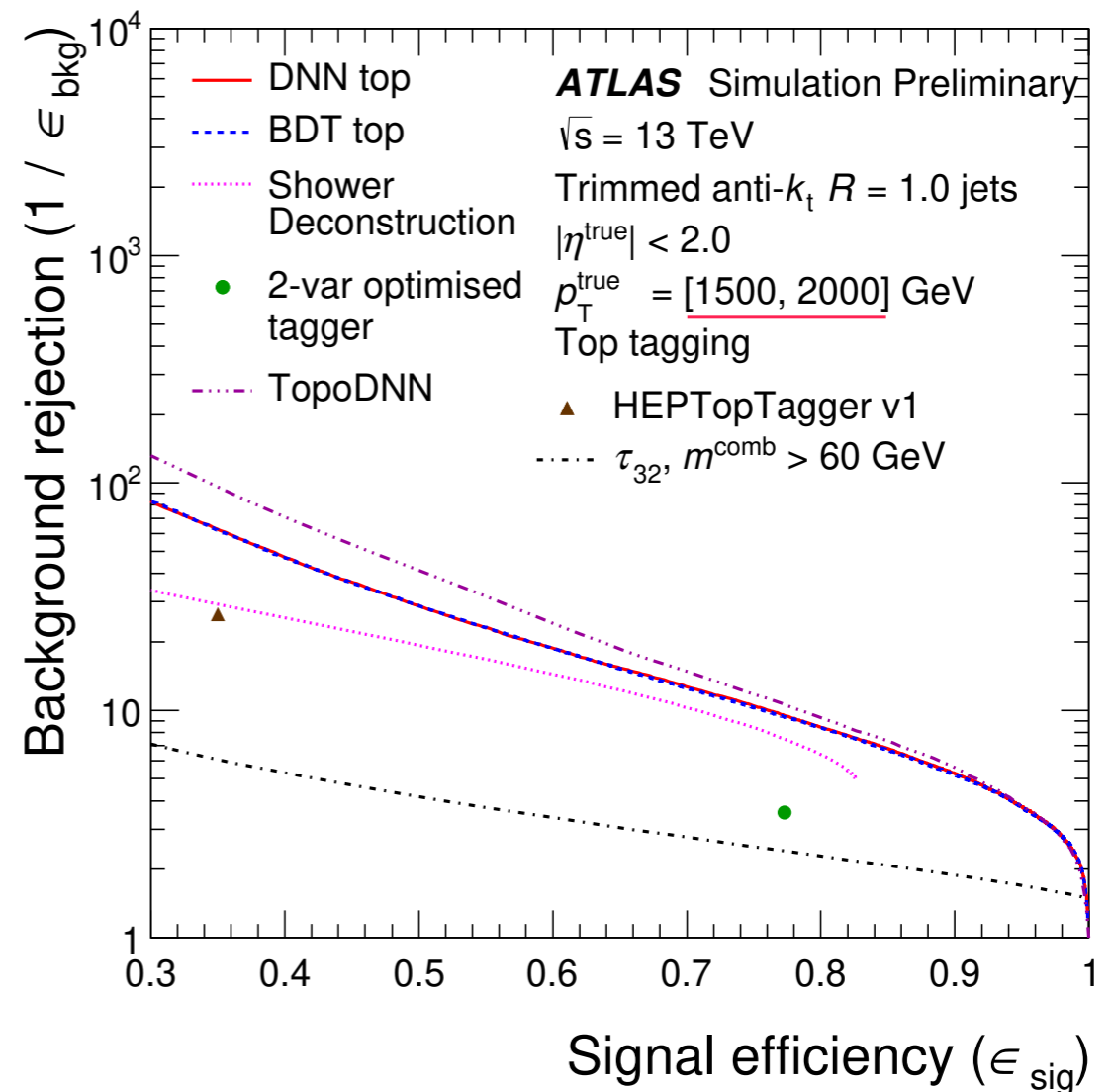
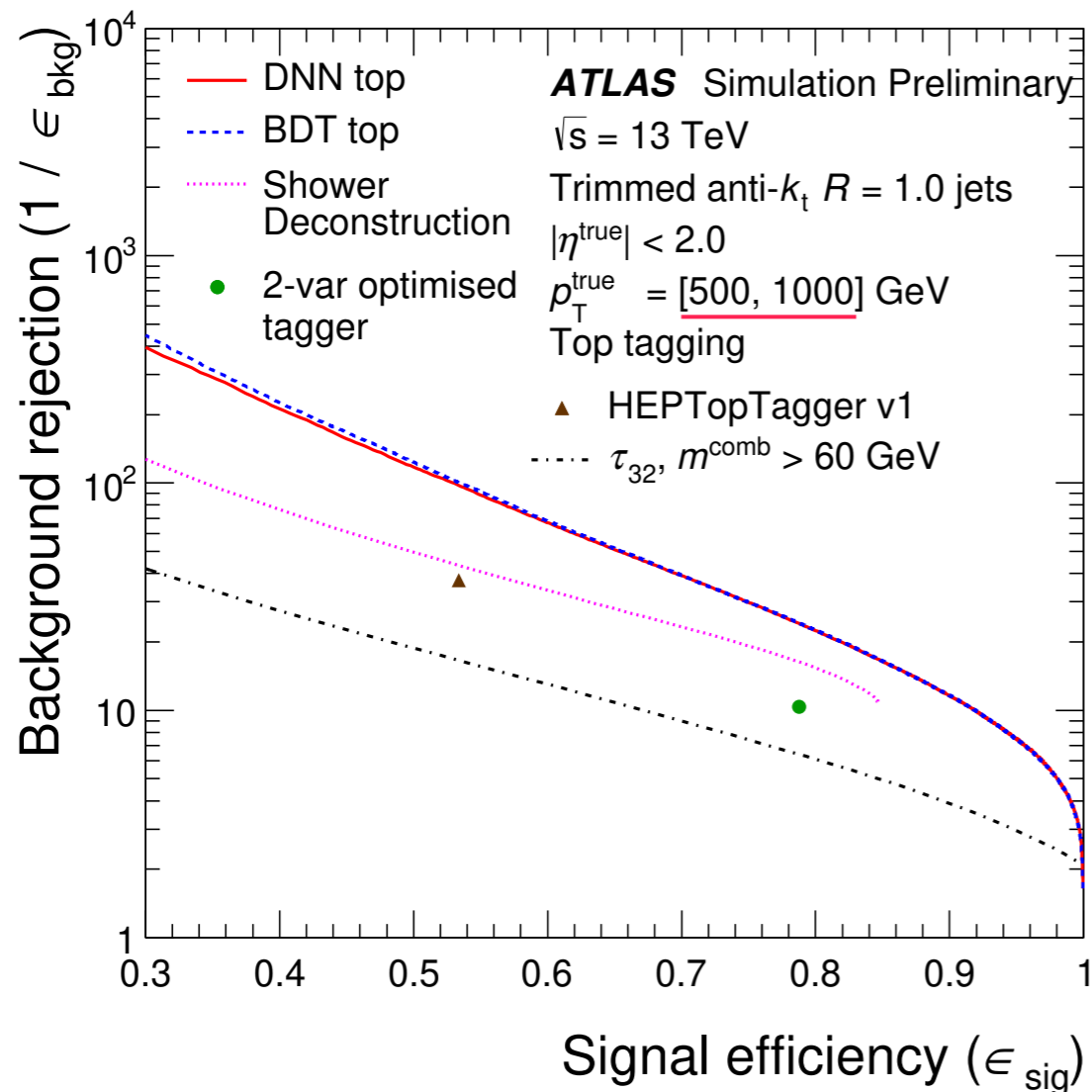


[1704.02124]

Classification / Top

(See backup for W)

- Shower deconstruction (⋮) most powerful non-ML method
- TopoDNN (⋮) improves on “standard” ML taggers (|⋮) at high p_T

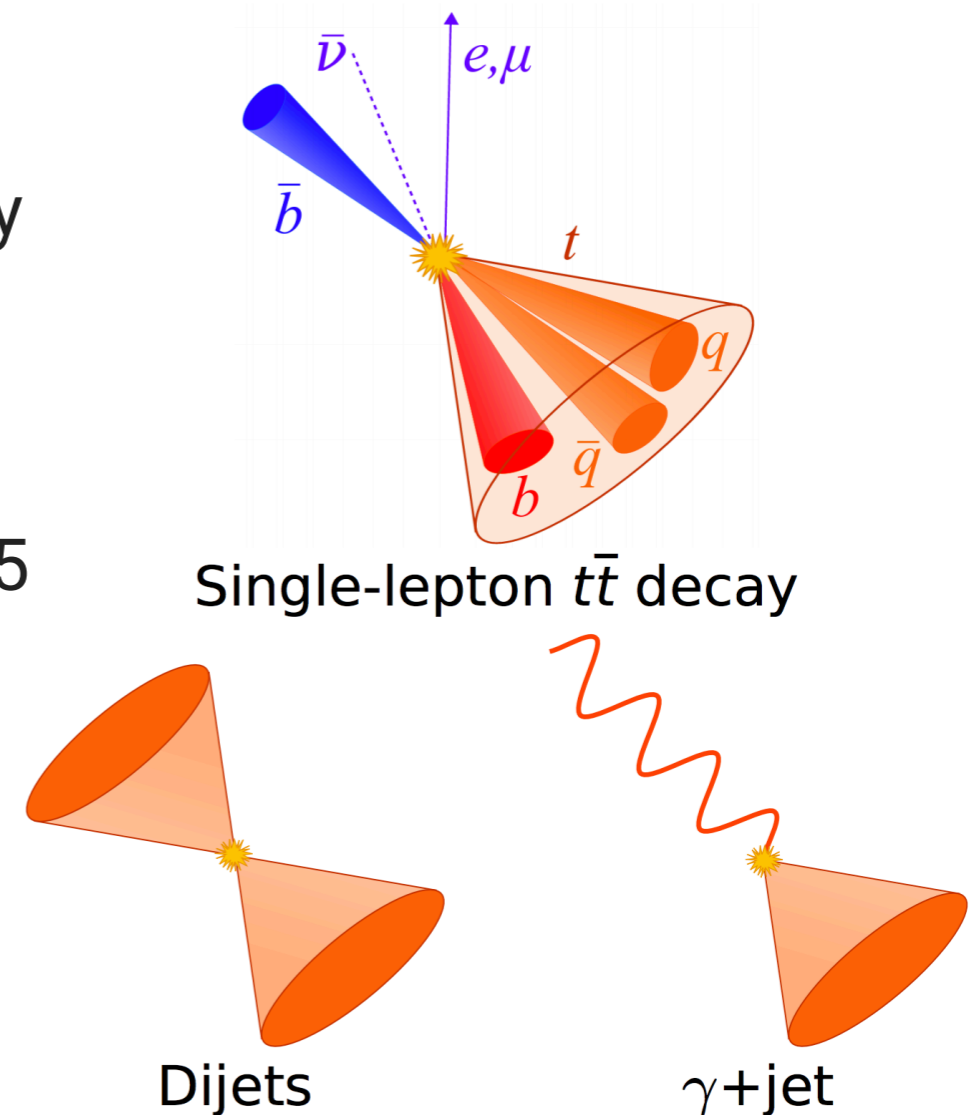


Measurements in data

ATLAS Run 2 W/top tagging paper (*in prep.*)

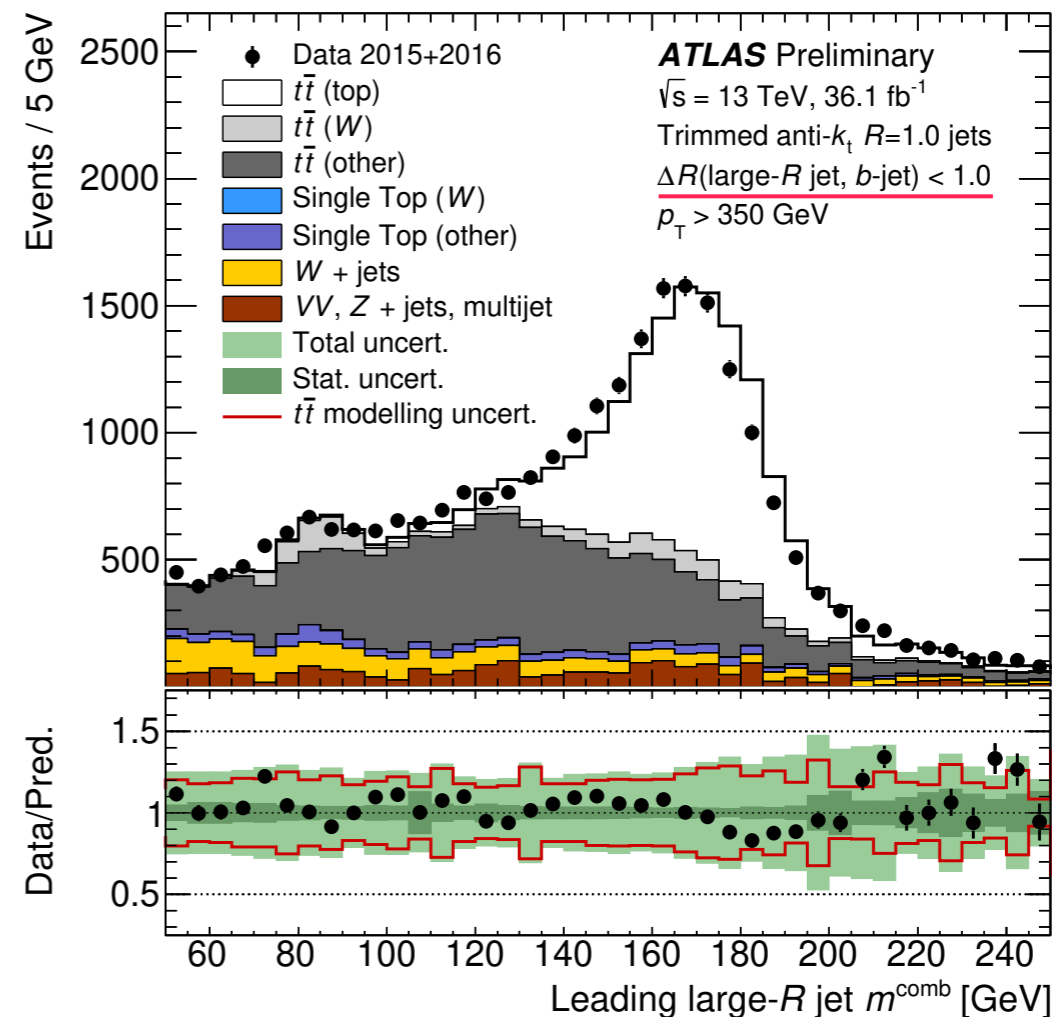
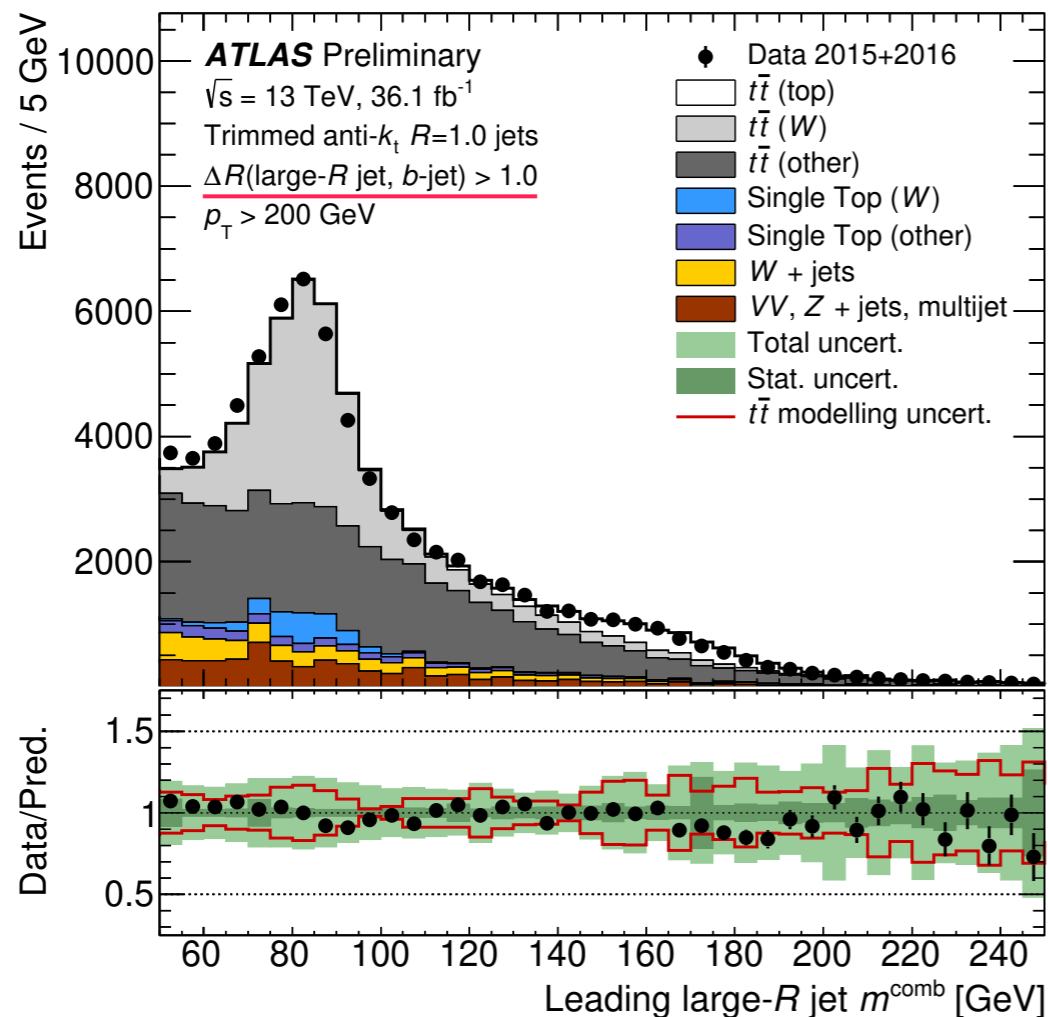
Overview

- To use taggers for physics, **must evaluate uncertainty in data**
- Measure W /top tagging efficiency and multijet rejection
- Full ATLAS 2015 – 2016 dataset, $L = 36.1 - 36.7 \text{ fb}^{-1}$
- **W /top tagging efficiency**
 - $t\bar{t}$ decay to single lepton + jets topology
 - **W :** $\Delta R(b\text{-jet, large-}R \text{ jet}) > 1.0$
 $p_{\text{T}}(J) > 200 \text{ GeV}$
 - **Top:** $\Delta R(b\text{-jet, large-}R/\text{HTT jet}) < 1.0/1.5$
 $p_{\text{T}}(J) > 350 \text{ GeV}$
- **Multijet rejection**
 - Dijets: $p_{\text{T}}(J) > 450 \text{ GeV}$
 - γ + jets: $p_{\text{T}}(J) > 200 \text{ GeV}$



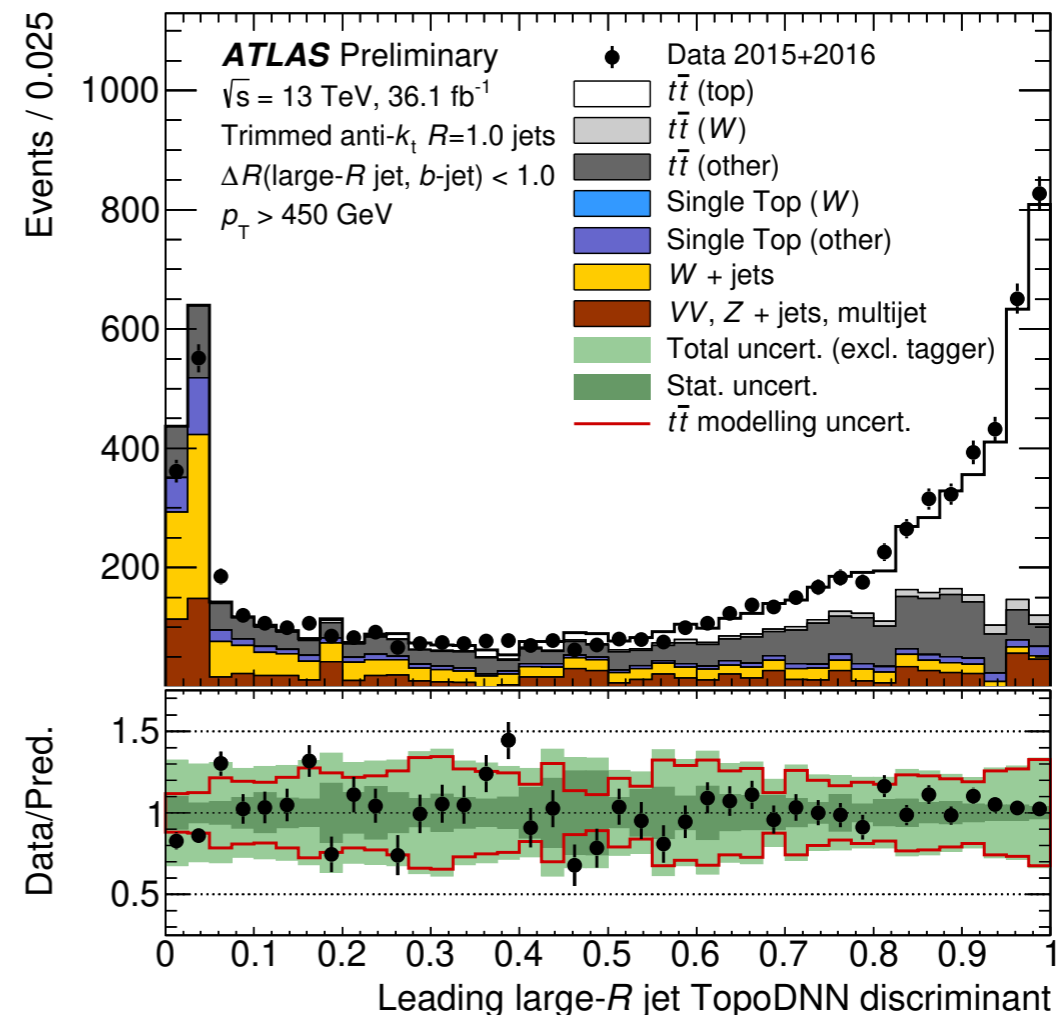
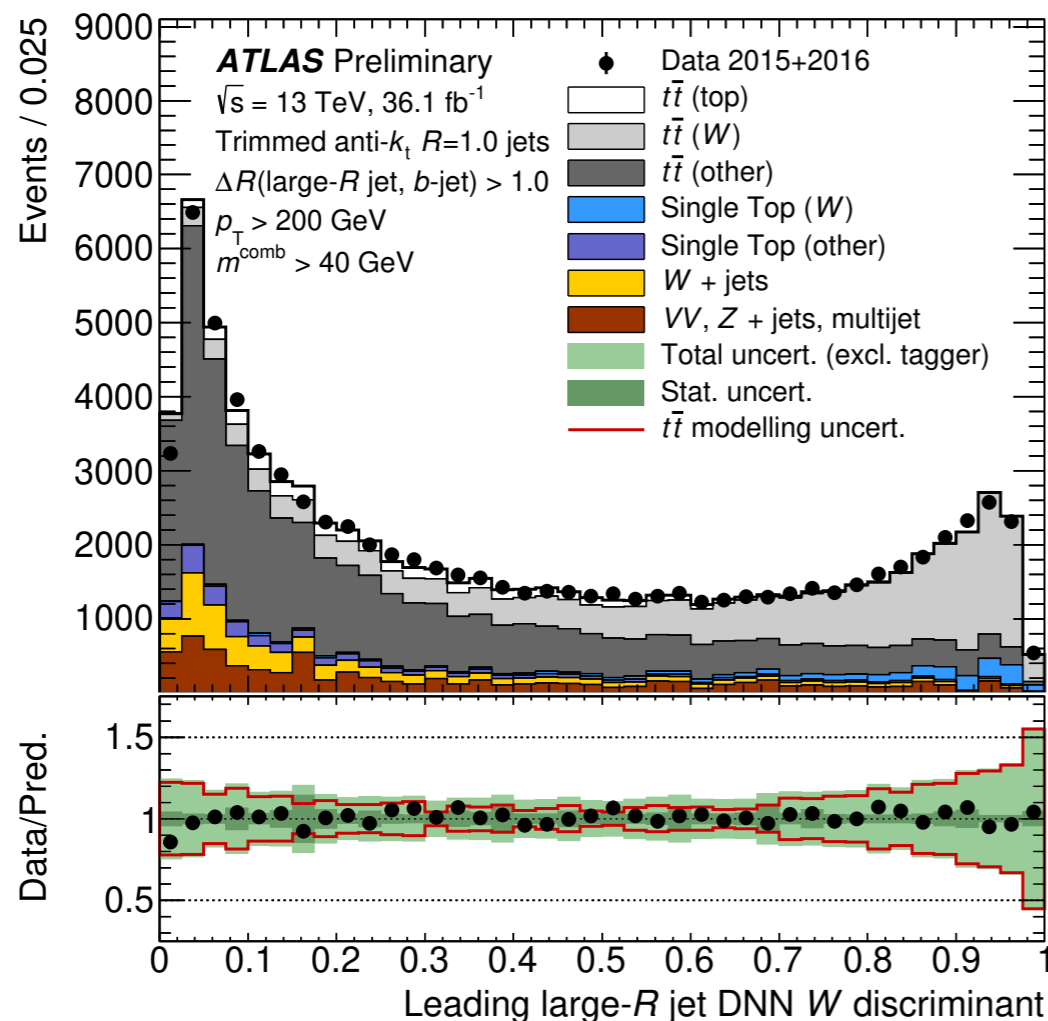
Distributions / Large- R jet mass

- Enriched samples of W and top jets in data, before tagging
- Good MC/data agreement; large $t\bar{t}$ modelling uncertainty



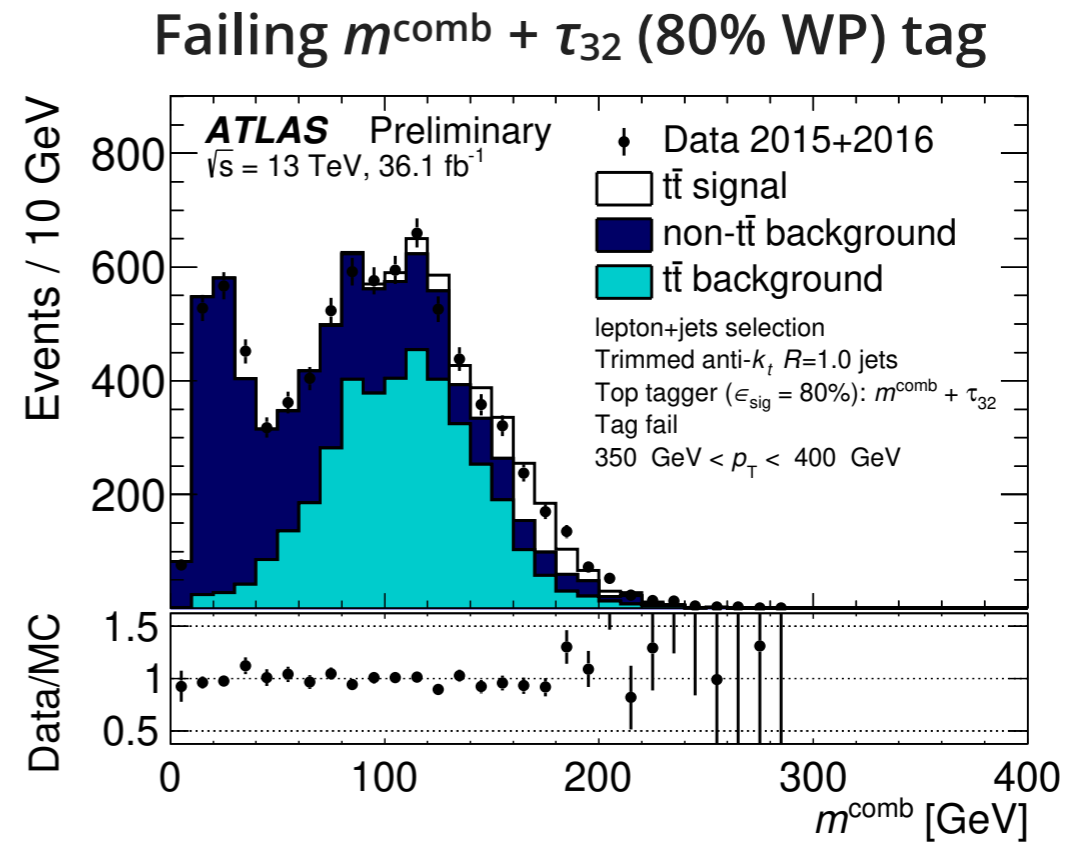
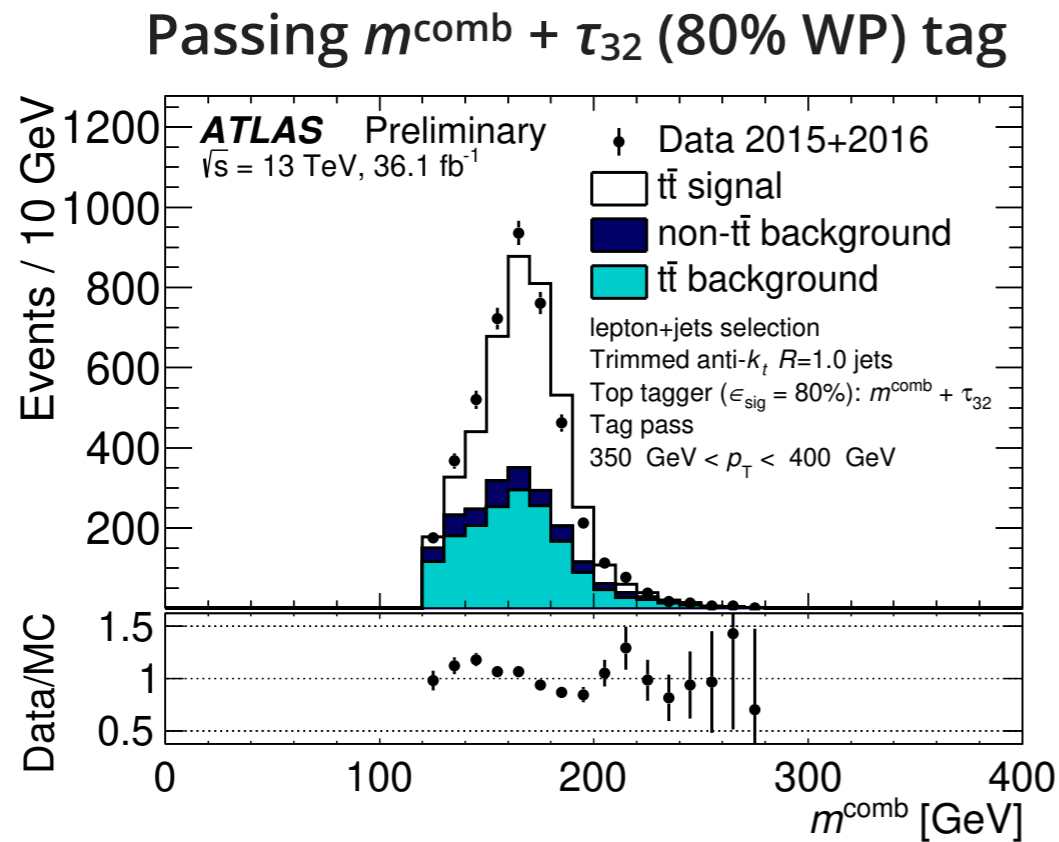
Distributions / ML taggers

- Good modelling of ML tagging variables in simulation:
 - (left) High-level **W DNN** in *W*-enriched selection
 - (right) Low-level **TopoDNN** in top-enriched selection



W/top tagging efficiency

- Measure signal-like events in data using signal/background templates to fit large- R jet mass distributions for tag pass/fail



Pre-fit

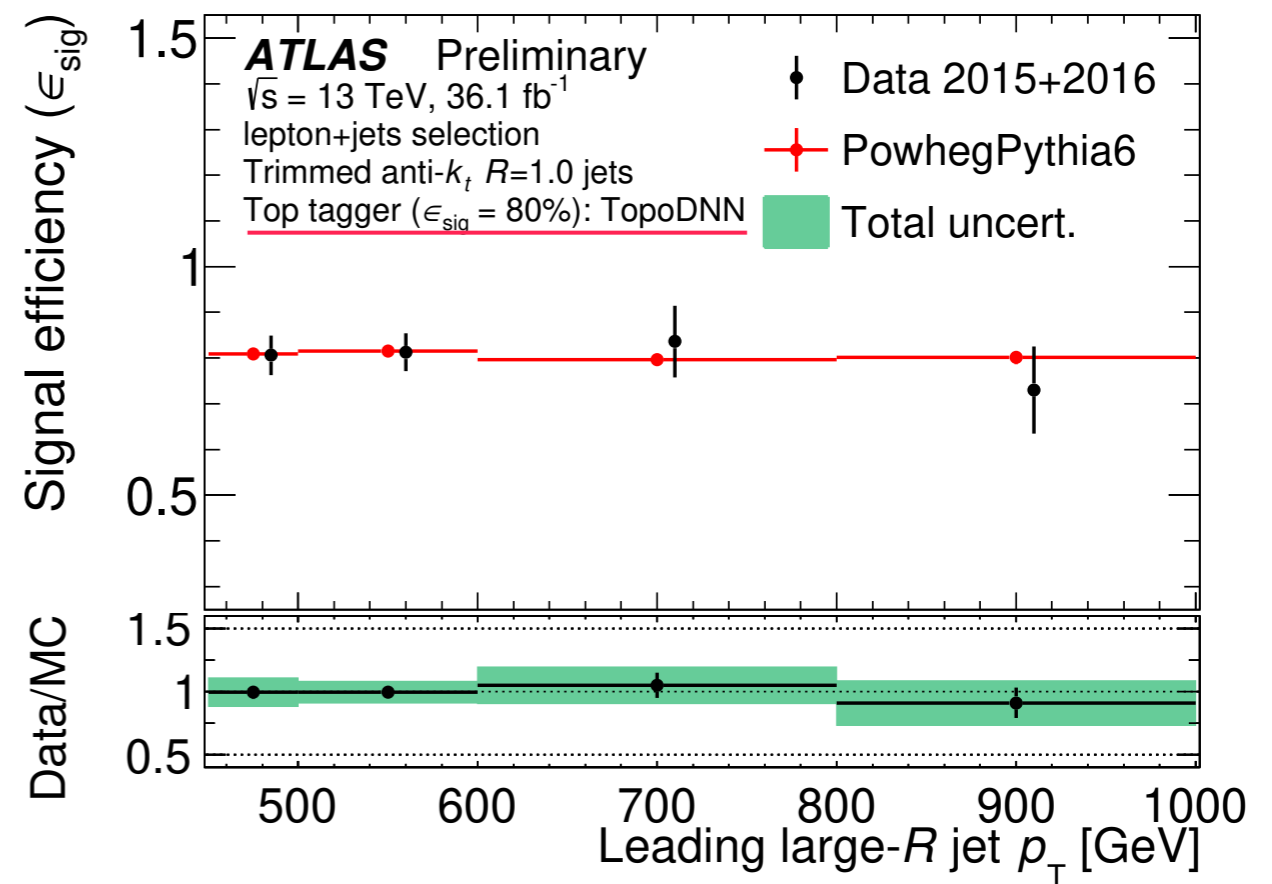
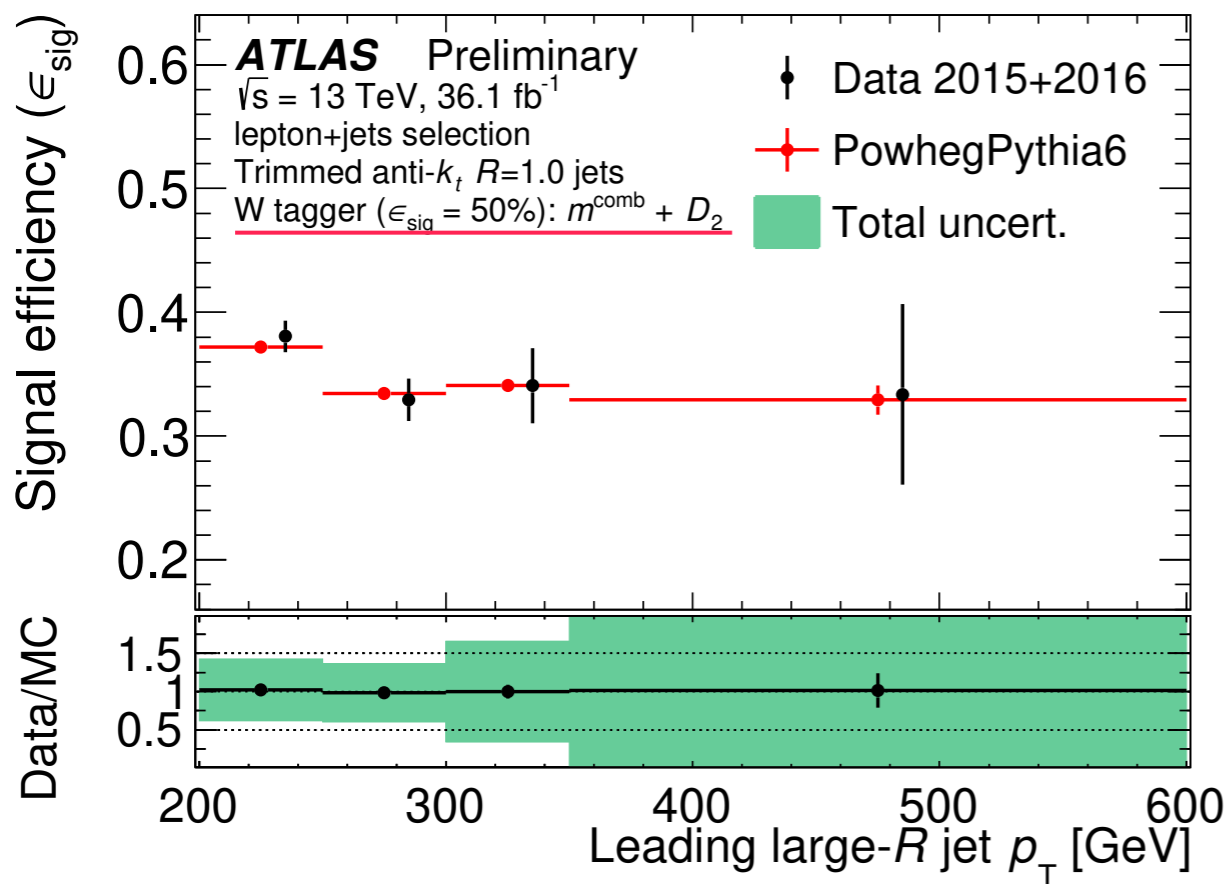
$$\epsilon_{\text{MC}} = \frac{N_{\text{signal}}^{\text{tagged}}}{N_{\text{signal}}^{\text{tagged}} + N_{\text{signal}}^{\text{not tagged}}}$$

Post-fit

$$\epsilon_{\text{data}} = \frac{N_{\text{fitted signal}}^{\text{tagged}}}{N_{\text{fitted signal}}^{\text{tagged}} + N_{\text{fitted signal}}^{\text{not tagged}}}$$

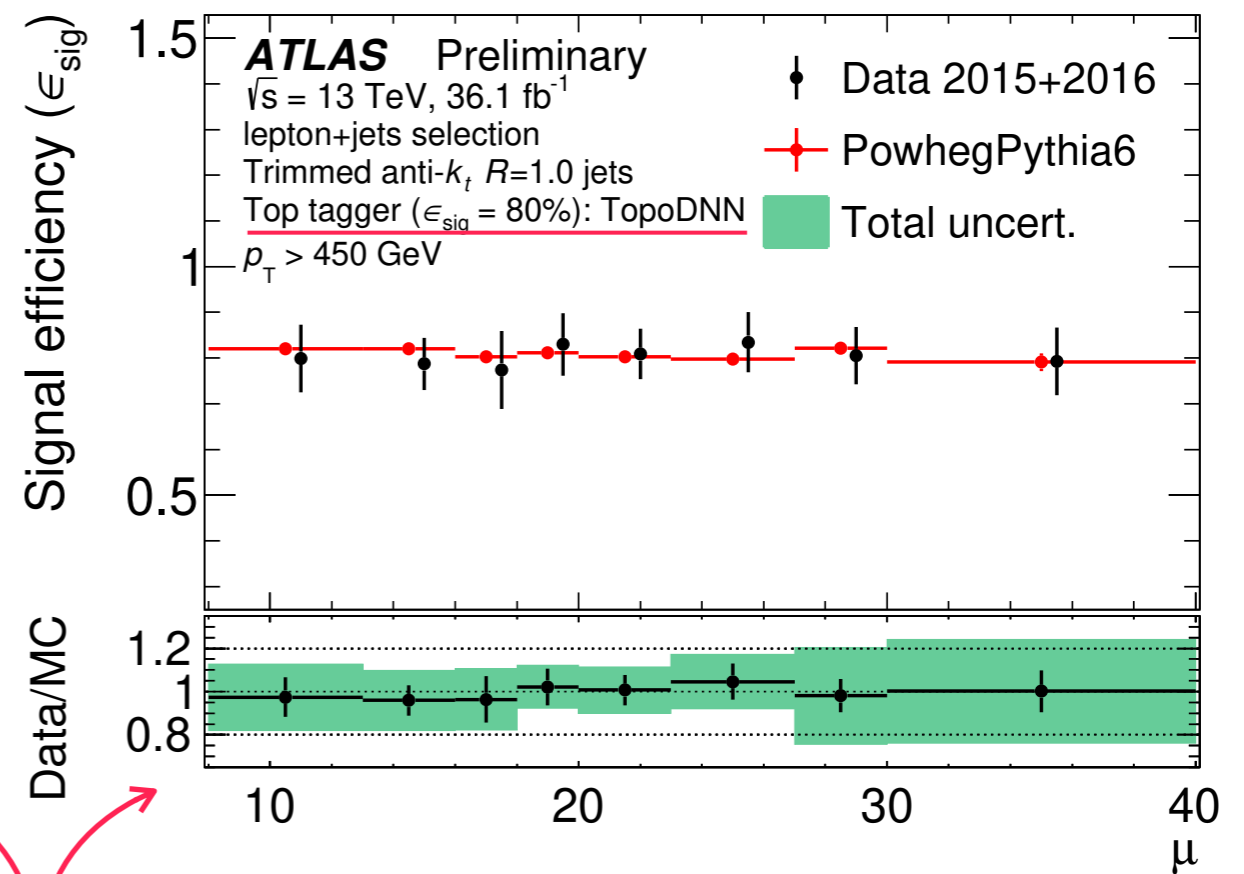
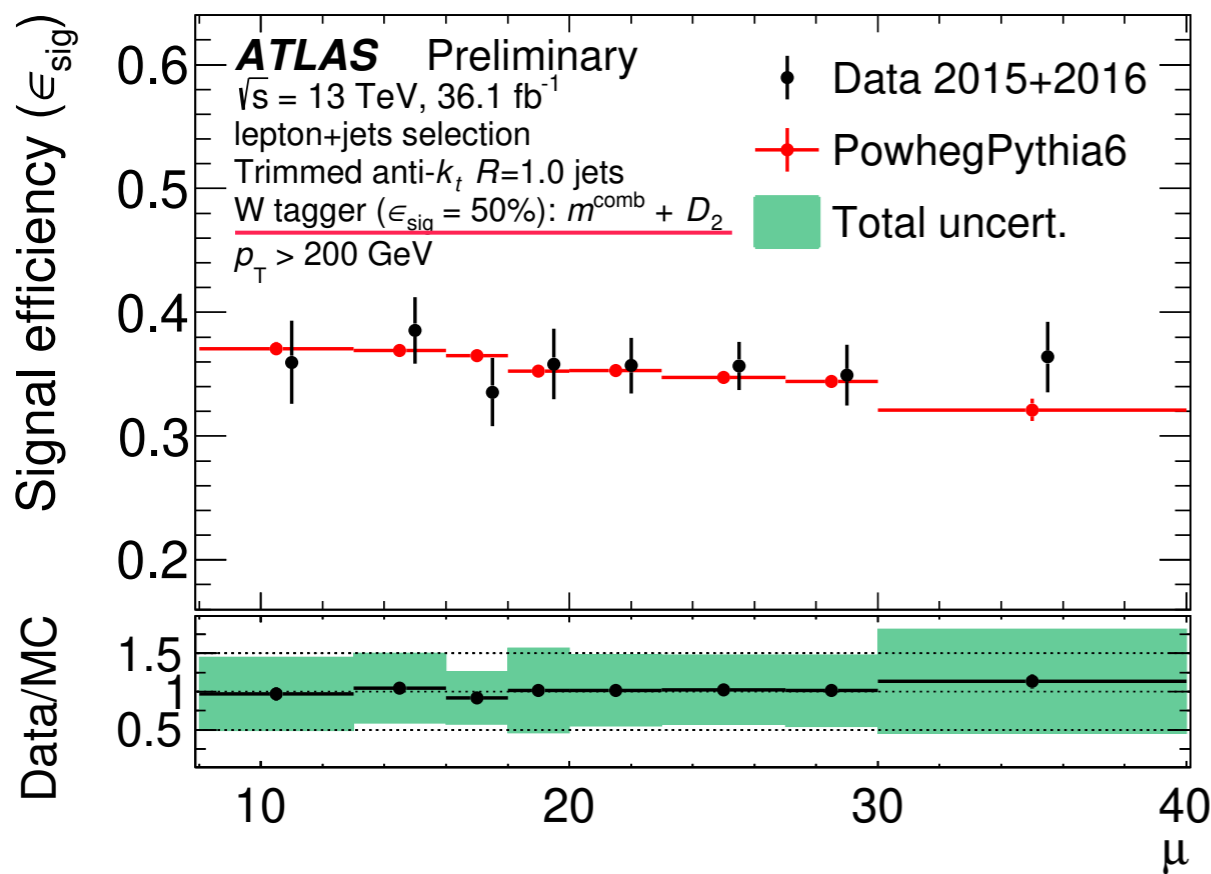
W/top tagging efficiency / p_T

- **Signal efficiency vs. p_T** in MC and data for example taggers
- Tagging **scale-factor**: $\epsilon_{\text{data}}/\epsilon_{\text{MC}}$, with stat. and syst. uncertainties



W/top tagging efficiency / Pile-up

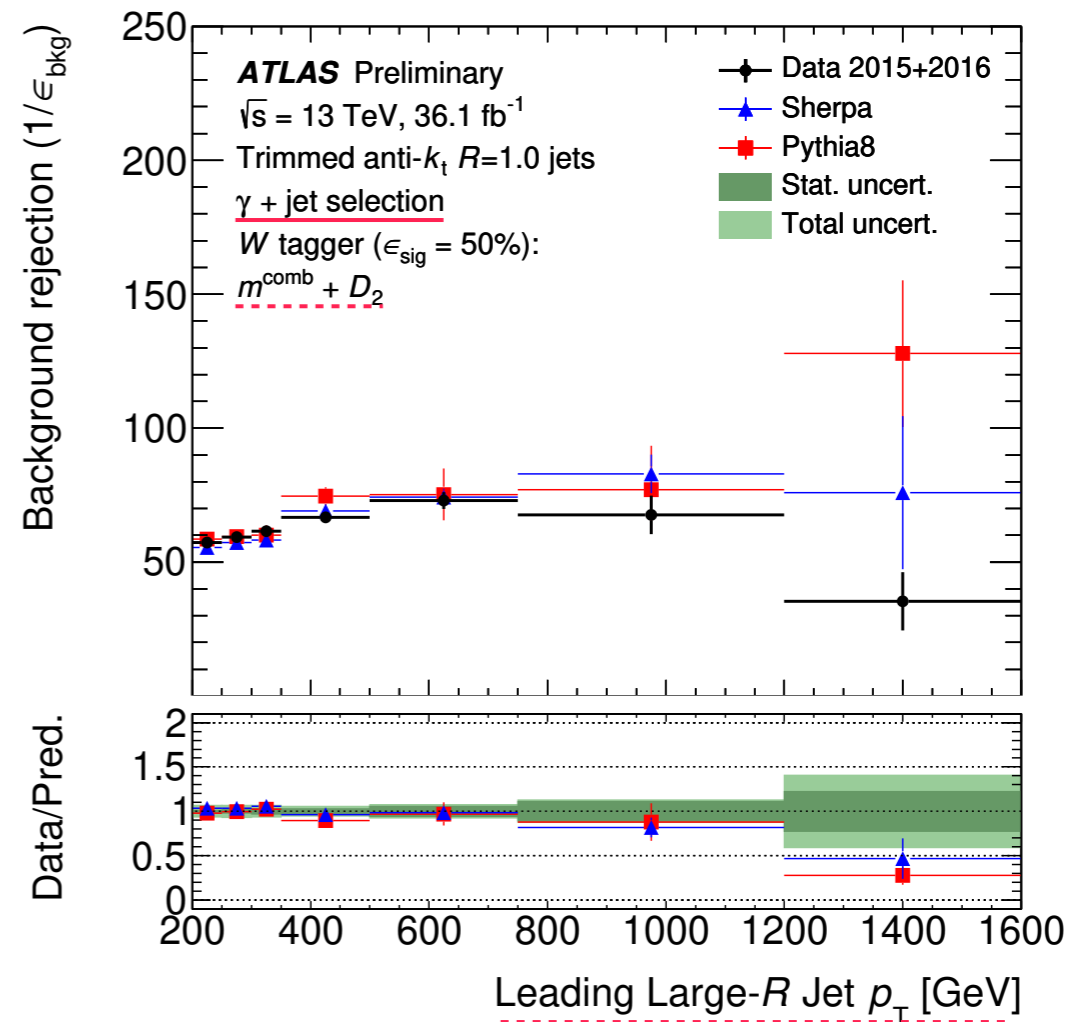
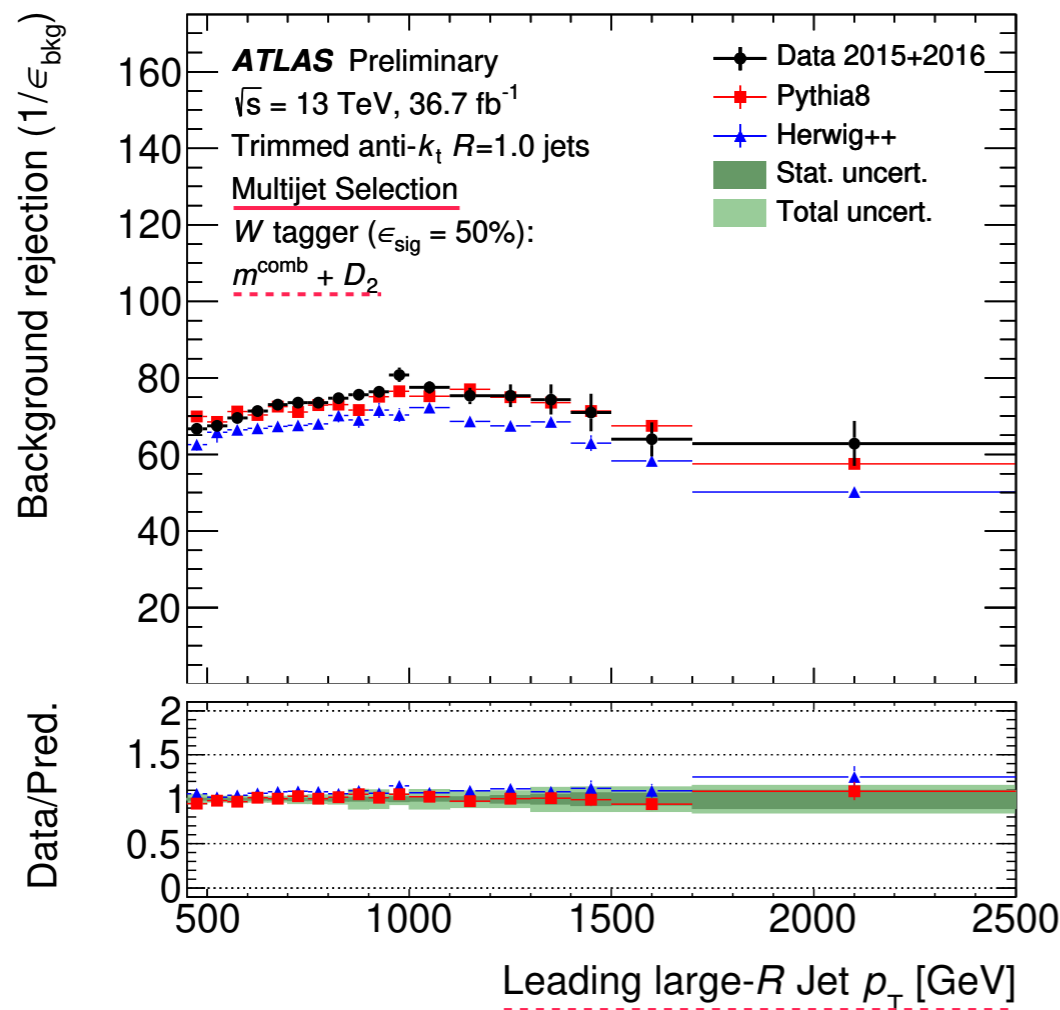
- **Signal efficiency vs. mean number of pile-up interactions (μ)** in MC and data for example taggers
- Tagging efficiency **robust wrt. pile-up** within even stat. uncertainties



Different axis scales

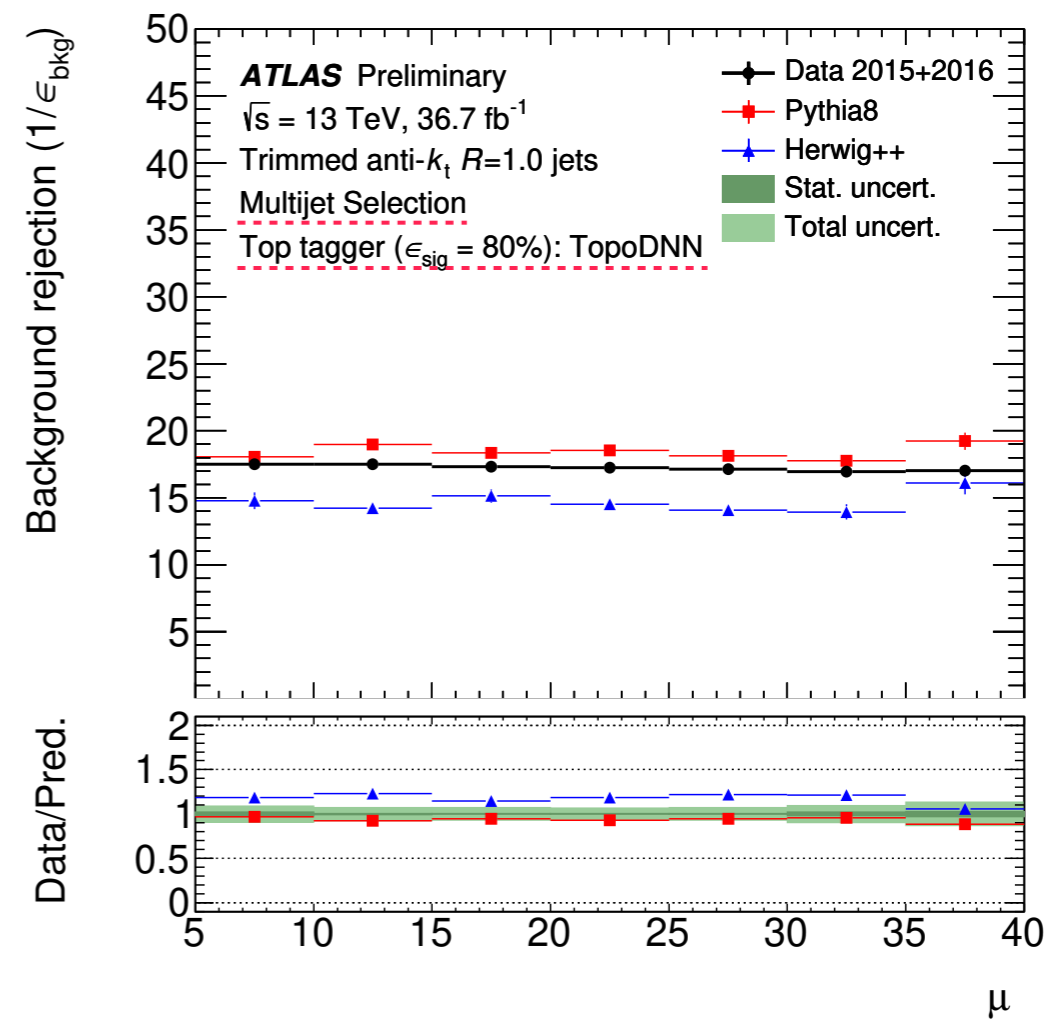
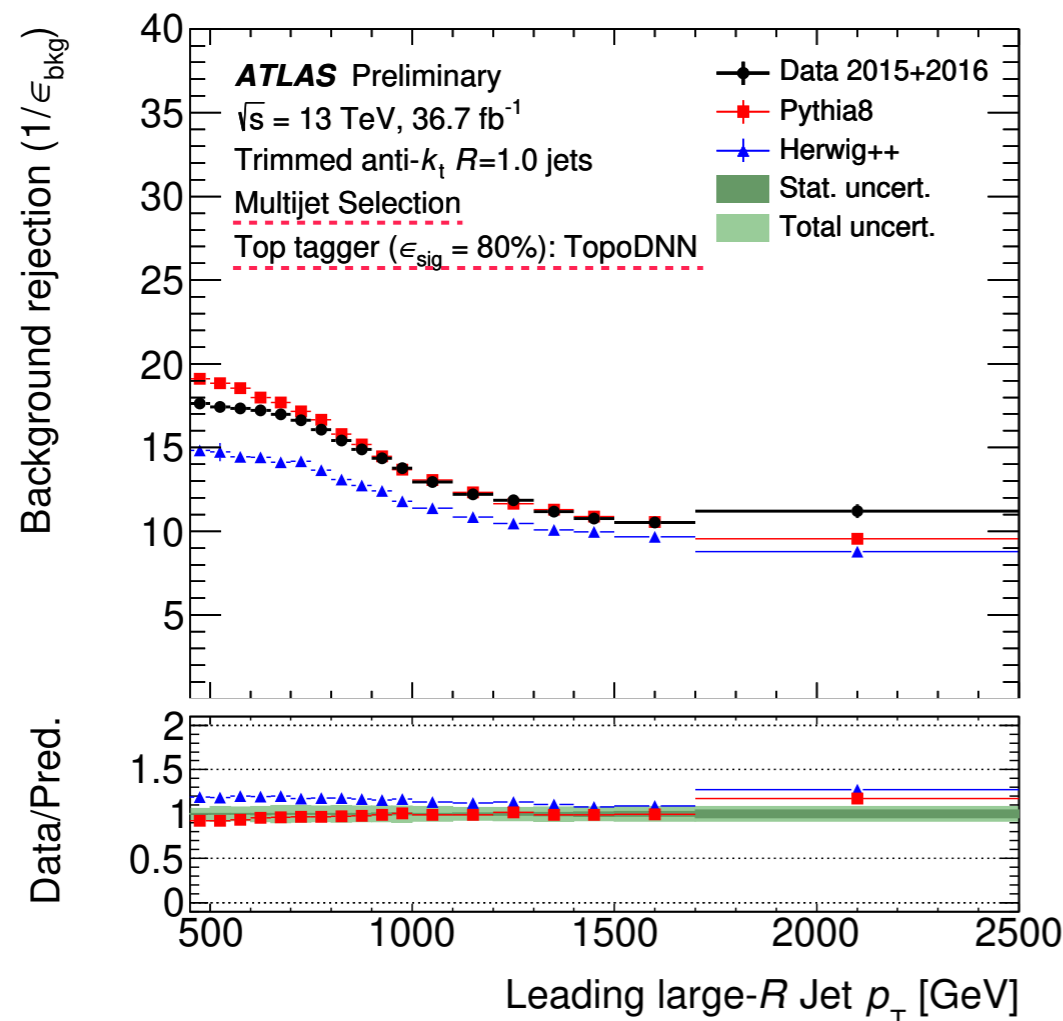
Multijet rejection / $W: m^{\text{comb}} + D_2$

- Rejection $1/\epsilon_{\text{bkg}}$ measured directly in signal-subtracted data:
 - **Dijet events:** Good data/Pythia8 agreement; Herwig++ lower
 - **γ + jets:** Both Sherpa, Pythia8 agree within uncertainties



Multijet rejection / Top: TopoDNN

- **Larger Herwig++ disagreement** for MVA than analytical taggers
- Small downwards trend vs. μ for TopoDNN, but no systematic trend across different tagging variables
- μ -dependence generally **well-modelled**



New ideas in jet tagging

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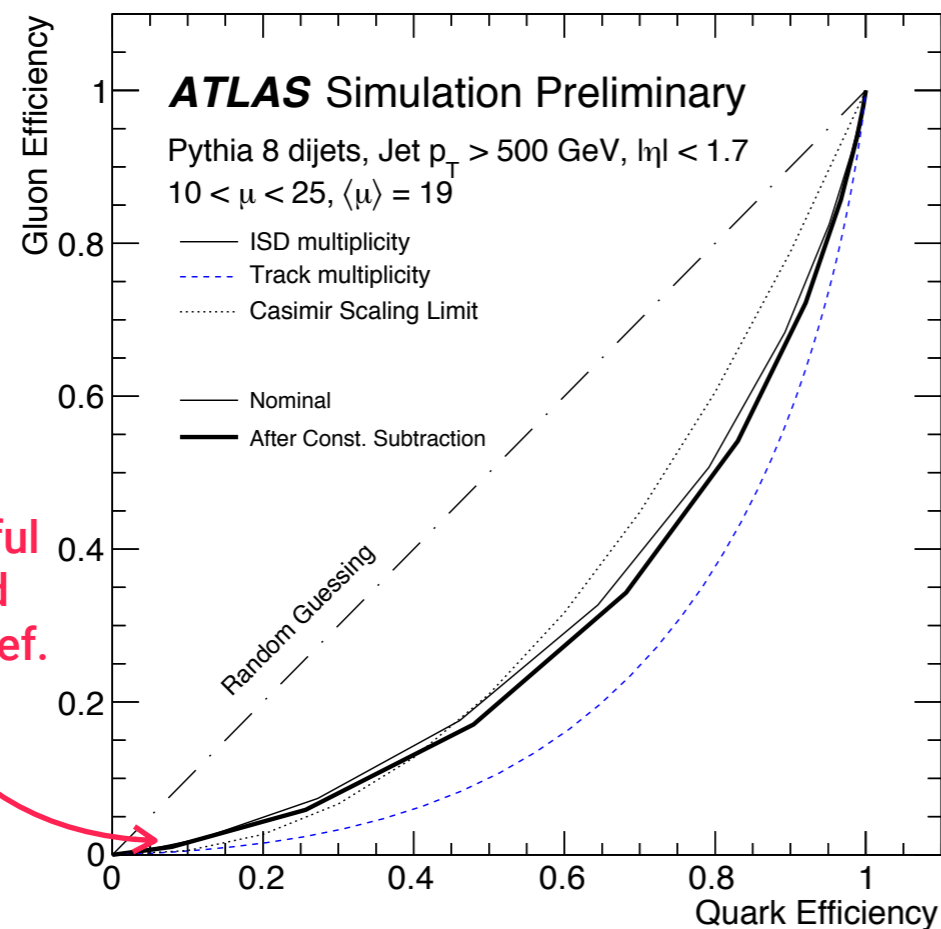
EXOT-2017-14

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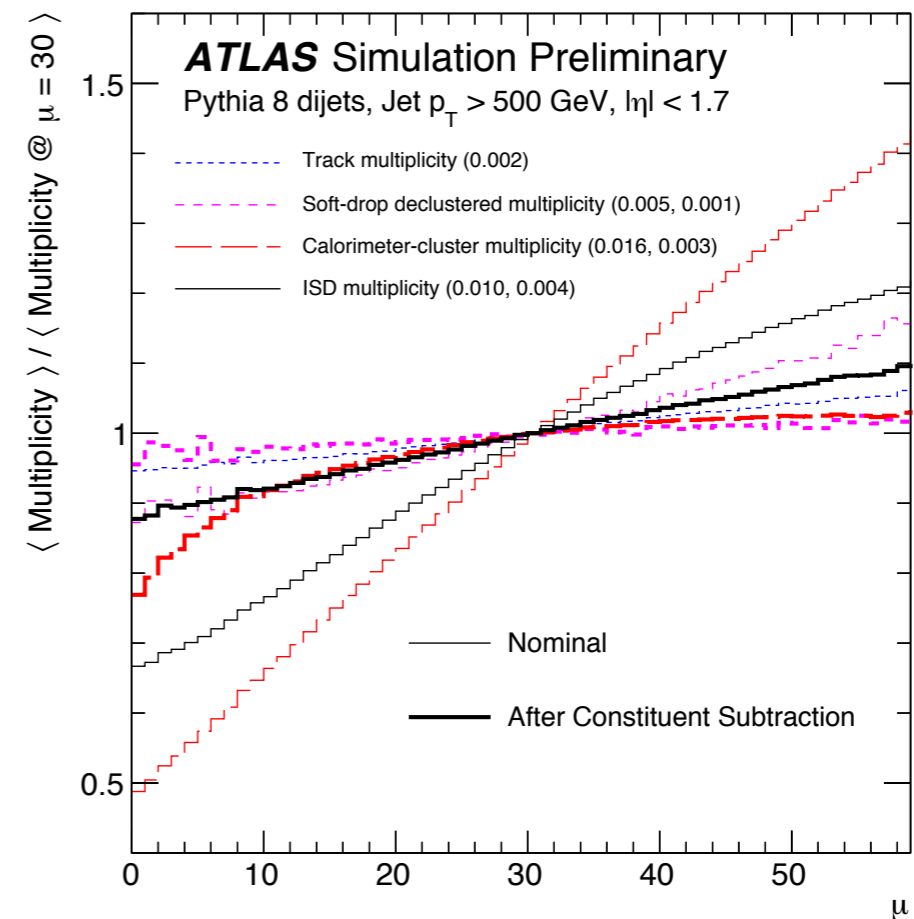
Iterative Soft Drop (ISD) multiplicity

- **Constituent multiplicity:** single most important observable for q/g jet tagging, but **challenged by pile-up** contamination
- **ISD:** Undo clustering steps of C/A jet, counting hard splittings
- **Constituent subtraction:** Subtract ghost $p_{T^g} = A_g \times \rho$ from consts.

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Most powerful
calo.-based
multiplicity def.

Stable wrt. μ
with CS

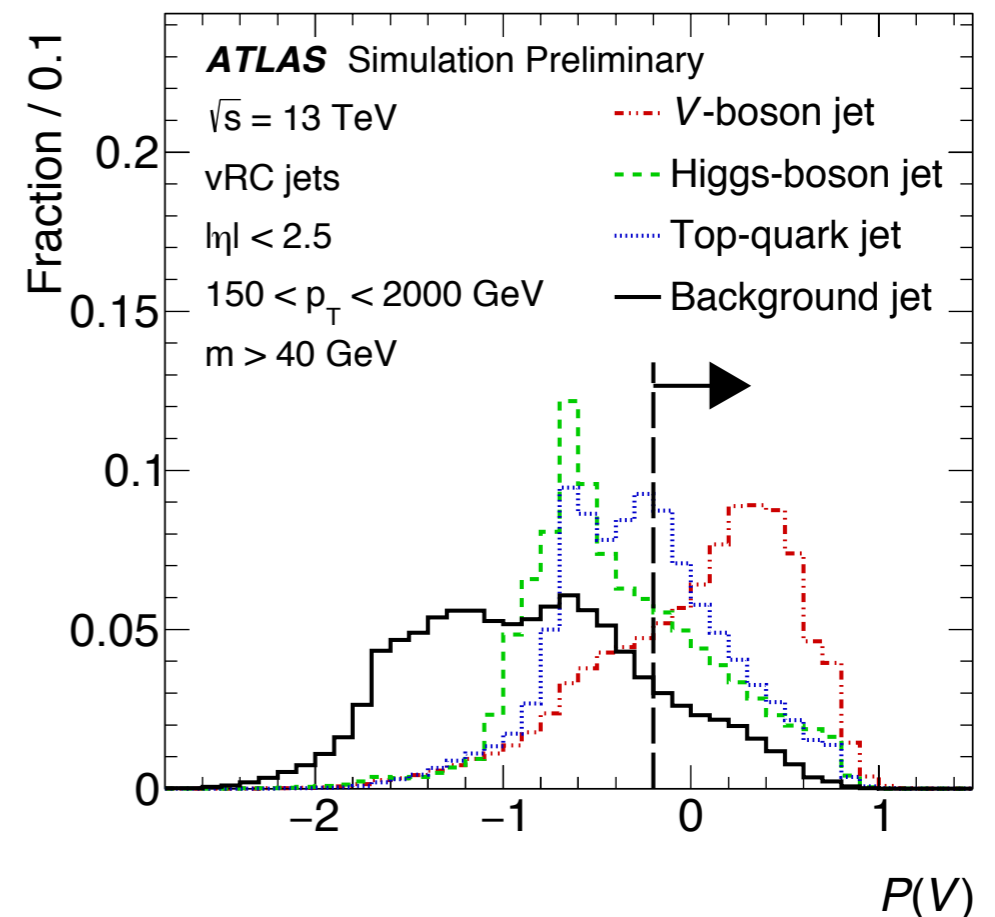
Multi-class jet classification

- Perform **multi-class classification** of $V^*/H/top$ vs. multijets for **VLQ search** using variable- R reclustered (vRC) jets. See also: [Talk by F. Guescini](#)
- Train DNN with one classifier score (D_{DNN}) for each of the 4 jet classes, compute **per-class likelihoods**, e.g. $P(V)$:

$$P(V) = \log_{10} \left(\frac{D_{DNN}^V}{0.9 \cdot D_{DNN}^{\text{background}} + 0.05 \cdot D_{DNN}^t + 0.05 \cdot D_{DNN}^H} \right)$$

- For multiply-tagged jets, **resolve ambiguity** by additional classifier variable ratios (see *backup*)
- Reclustered jets: **uncertainties on calibrated input anti- k_t**
 $R = 0.4$ jets can be used directly

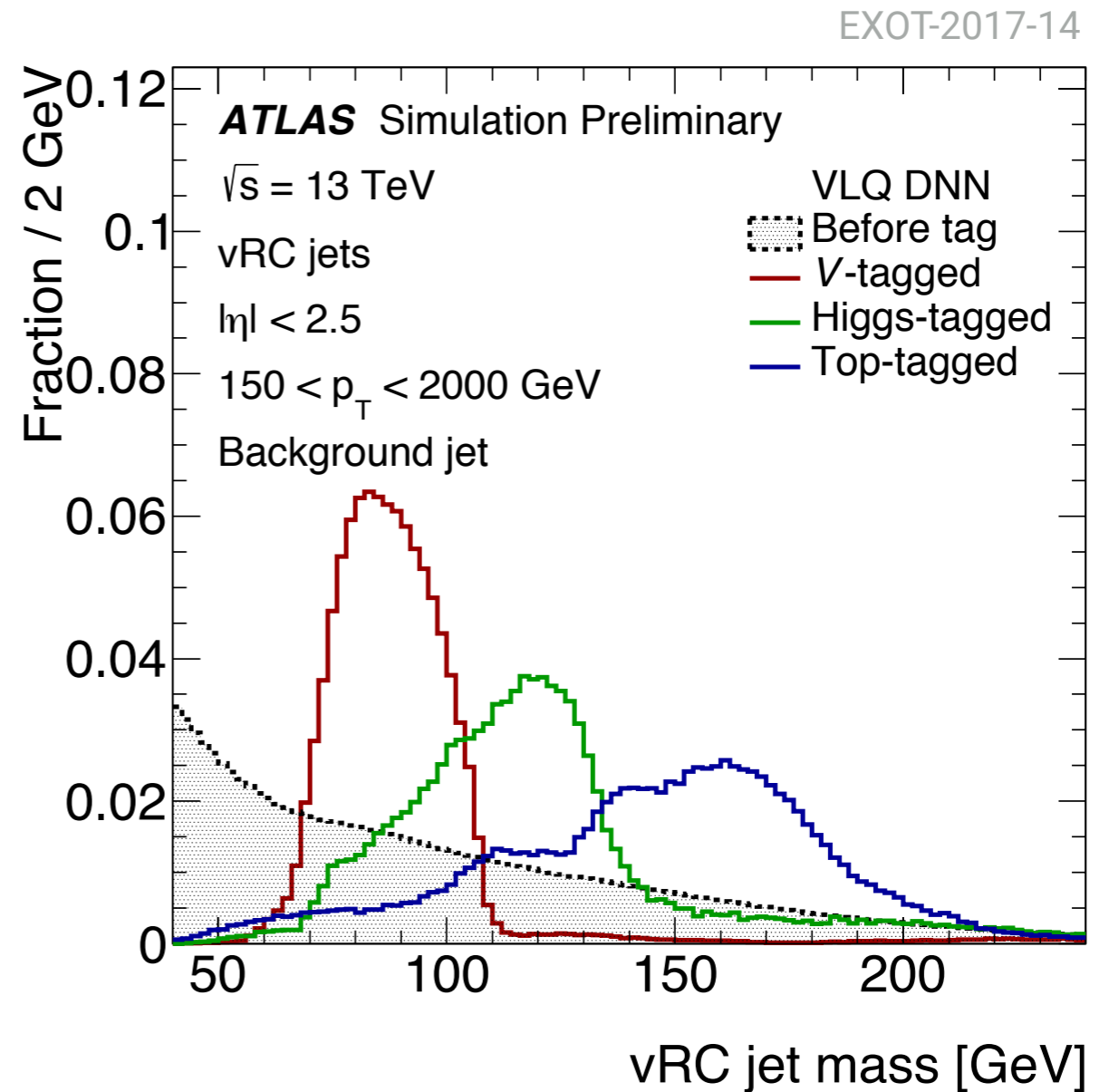
EXOT-2017-14



* $V = W$ or Z .

Mass-decorrelation / Overview

- Heavy resonance taggers prove powerful in data, MC
- But standard substructure taggers, particularly MVA, **sculpt the background jet mass distribution** to resemble signal peaks
- Problematic if search relies on jet mass spectrum
- Compare five methods for **mass-decorrelation**

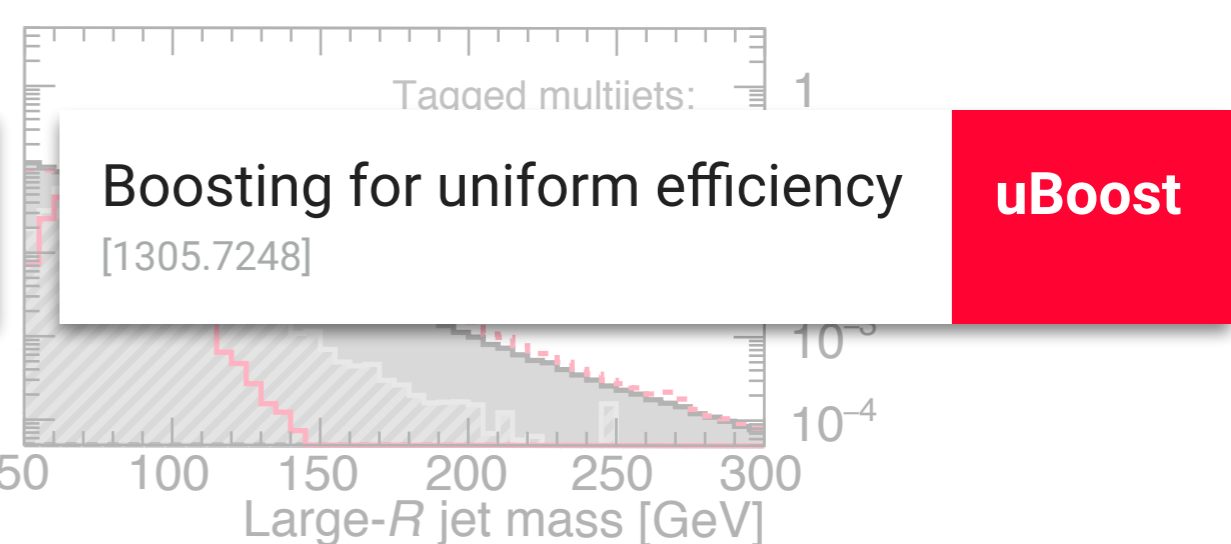
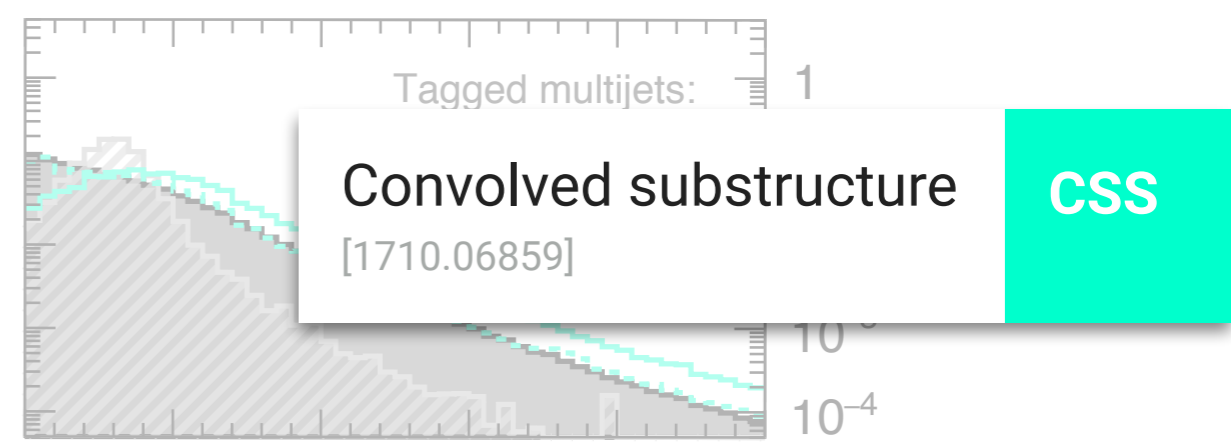
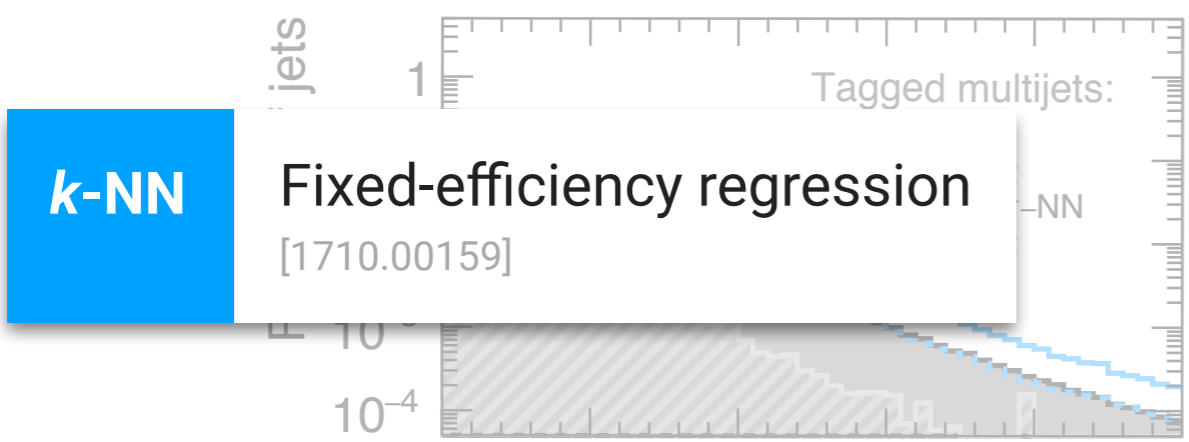
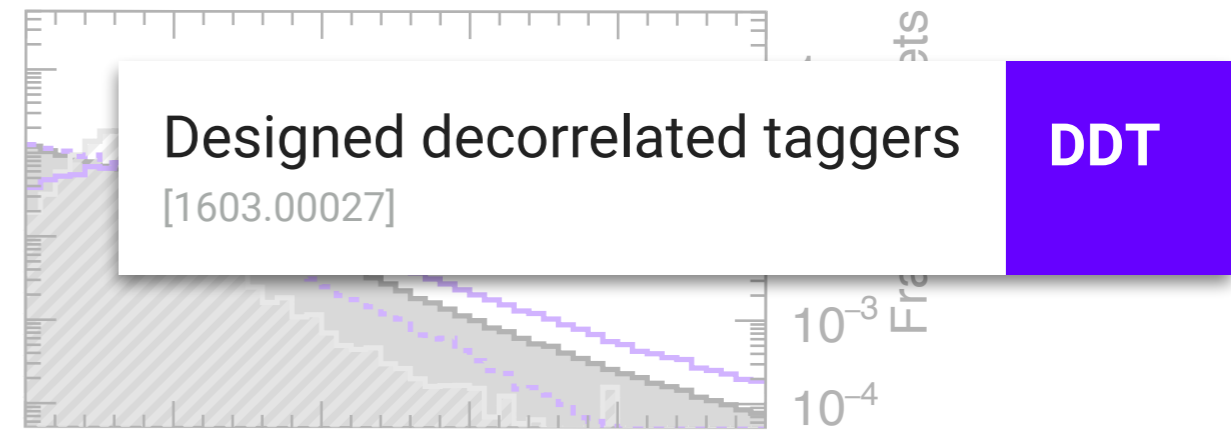


Mass-decorrelation / Methods

ATLAS Simulation Preliminary
 $\sqrt{s} = 13$ TeV, W jet tagging
 Cuts at $\epsilon_{\text{sig}}^{\text{rel}} = 50\%$
 Inclusive selection:
 ■ Multijets ▨ W jets

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Analytical / single-variable
 Multivariate (MVA)



Mass-decorrelation / Methods

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ATLAS Simulation Preliminary

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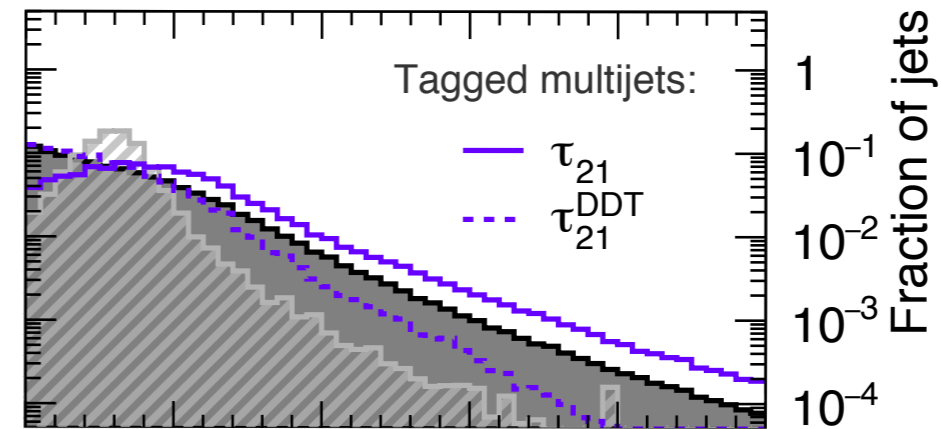
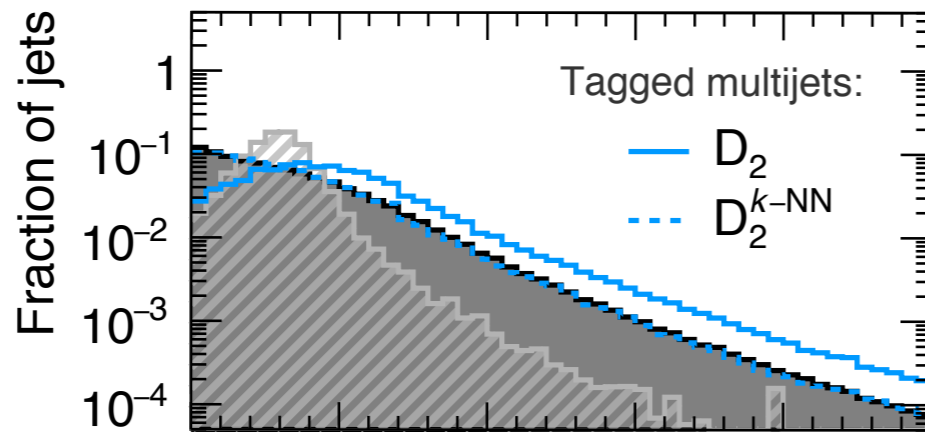
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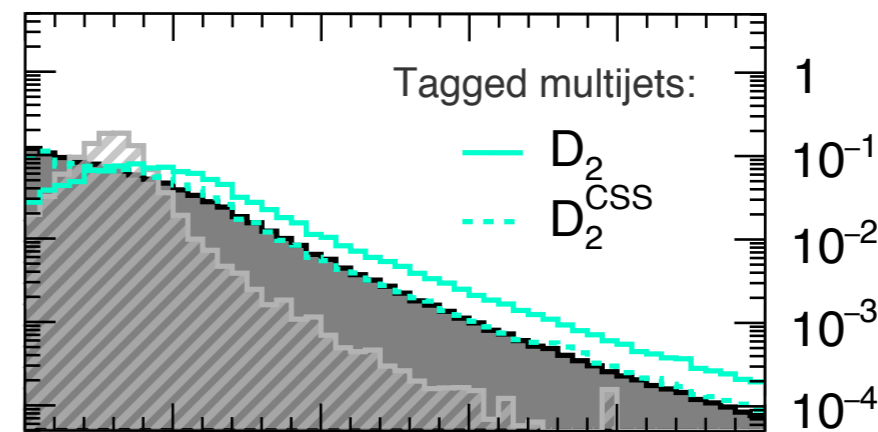
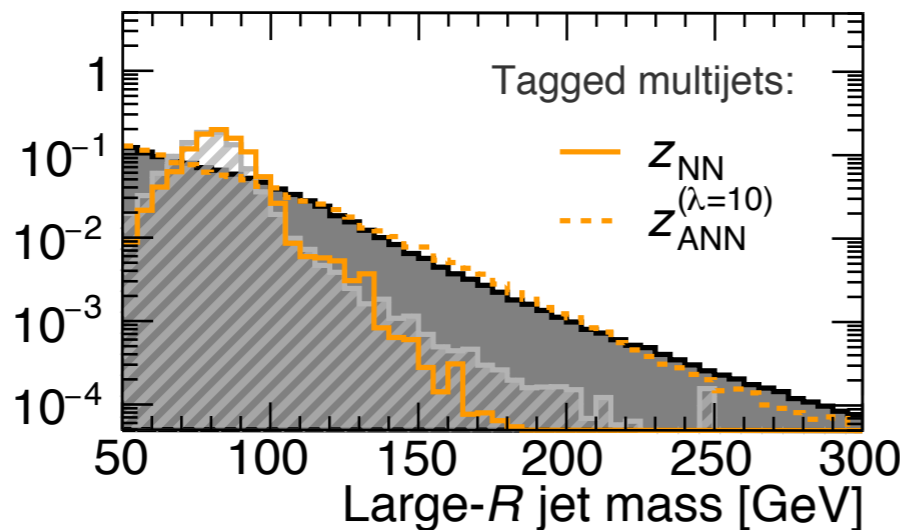
k-NN



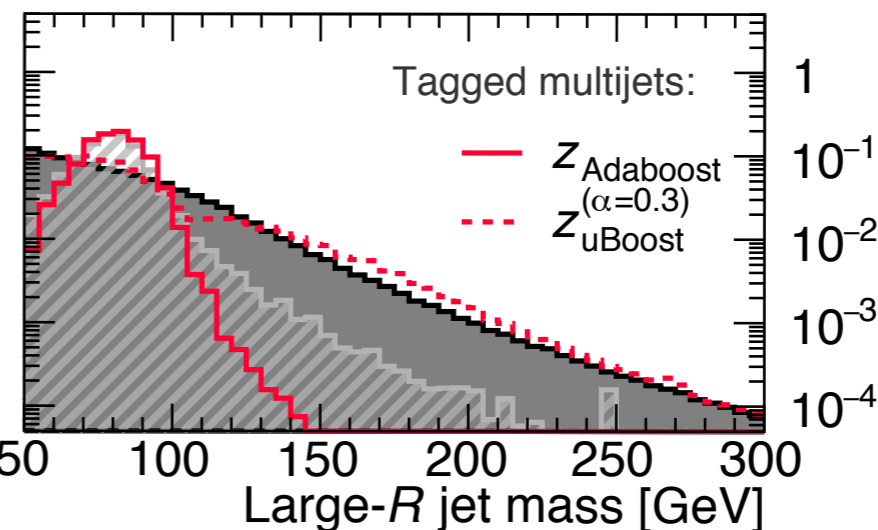
DDT

Multivariate (MVA)

ANN



CSS



uBoost

Mass-decorrelation / Results

- Simultaneously study

x-axis: classification

$$1/\epsilon_{\text{bkg}} \quad @ \quad \epsilon_{\text{sig}} = 50\%$$

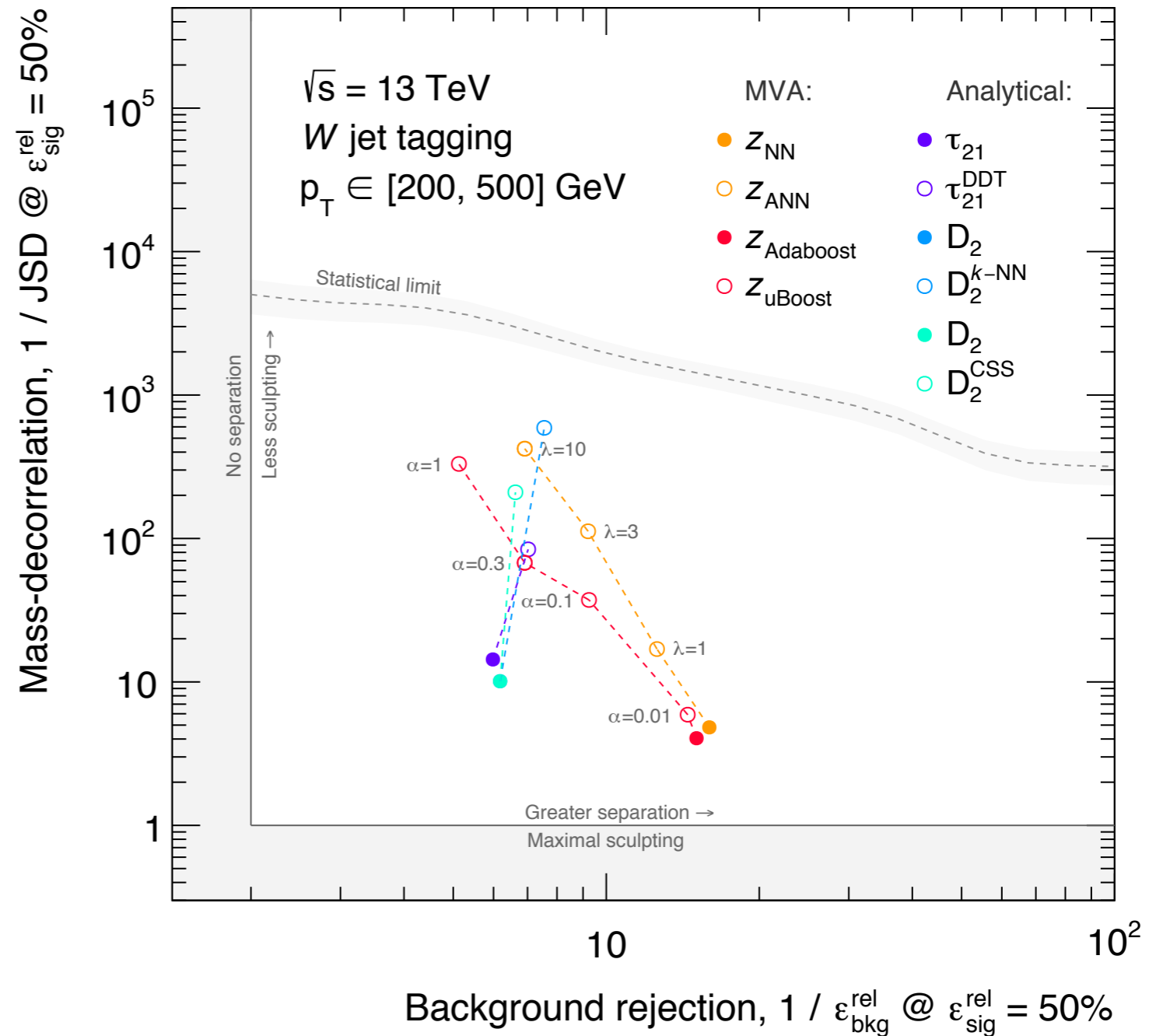
y-axis: mass-decorrelation

$$1/\text{JSD}^* \quad @ \quad \epsilon_{\text{sig}} = 50\%$$

(with no mass selection)

- **k-NN** leads to ~full mass-decorrelation
- **CSS** decorrelates more than **DDT**, due to no linearity requirement
- Mass-decorrelated **MVAs** are regularised, allowing for parametrised trade-off.

ATLAS Simulation Preliminary ATL-PHYS-PUB-2018-014



*JSD = Jensen-Shannon divergence; ~ relative entropy of pass/fail multijet mass distributions.

Mass-decorrelation / Results

- Simultaneously study

x-axis: classification

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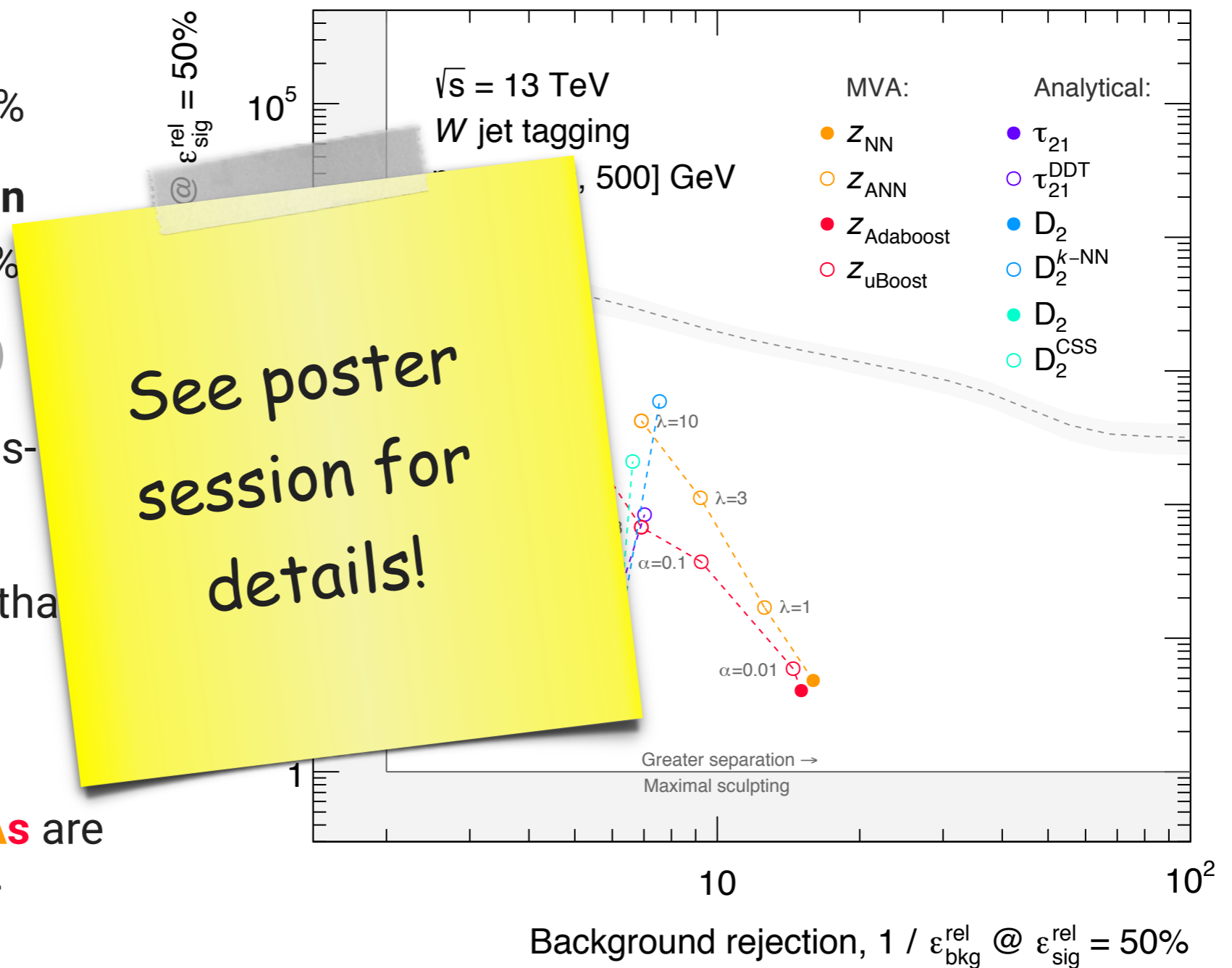
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ATLAS Simulation Preliminary ATL-PHYS-PUB-2018-014



*JSD = Jensen-Shannon divergence; ~ relative entropy of pass/fail multijet mass distributions.

Conclusion

Conclusion

- Highlights of new results for boosted W /top tagging in ATLAS
- Novel high- p_T **topocluster-based top-tagging**, TopoDNN
- Evaluated taggers in data, **providing systematic uncertainties**
 - **W /top tagging** efficiencies and scale factors vs. p_T, μ
 - **Multijet rejection** vs. p_T, μ in two final states
- **New ideas**: ISD multiplicity and multi-class jet tagging
- Studied various approaches to **mass-decorrelated** jet tagging
 - Robust decorrelation with fixed-efficiency regression
 - Trade-off between classification and decorrelation with MVAs

Thank you.

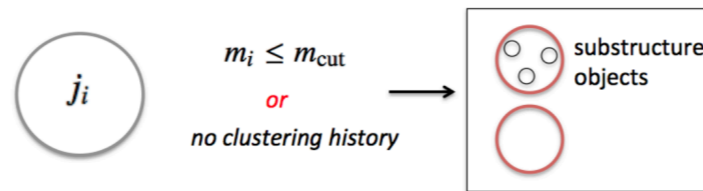
Backup

W/top tagging / HepTopTagger

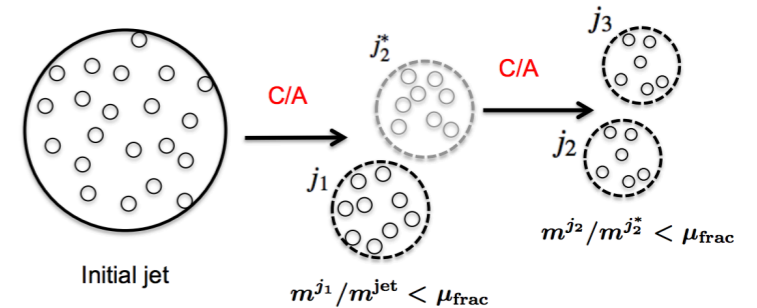
Figure from [1306.4945]

Parameter	Value
m_{cut}	50 GeV
$R_{\text{filt}}^{\text{max}}$	0.25
N_{filt}	5
f_W	15%

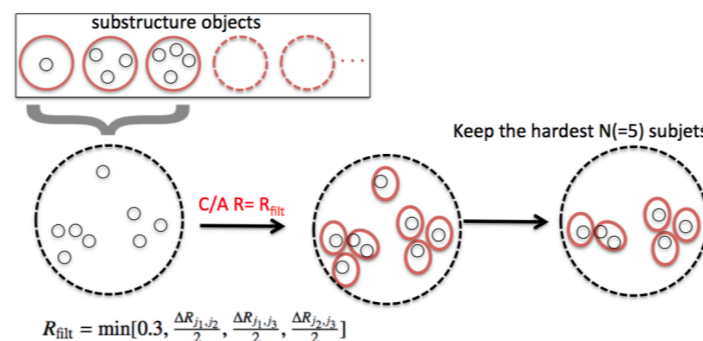
ATLAS-CONF-2017-064



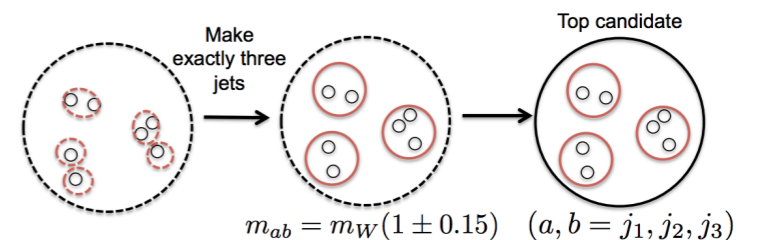
(a) Every object encountered in the declustering process is considered a ‘substructure object’ if it is of sufficiently low mass or has no clustering history.



(b) The mass-drop criterion is applied iteratively, following the highest subjet-mass line through the clustering history, resulting in N_i substructure objects.

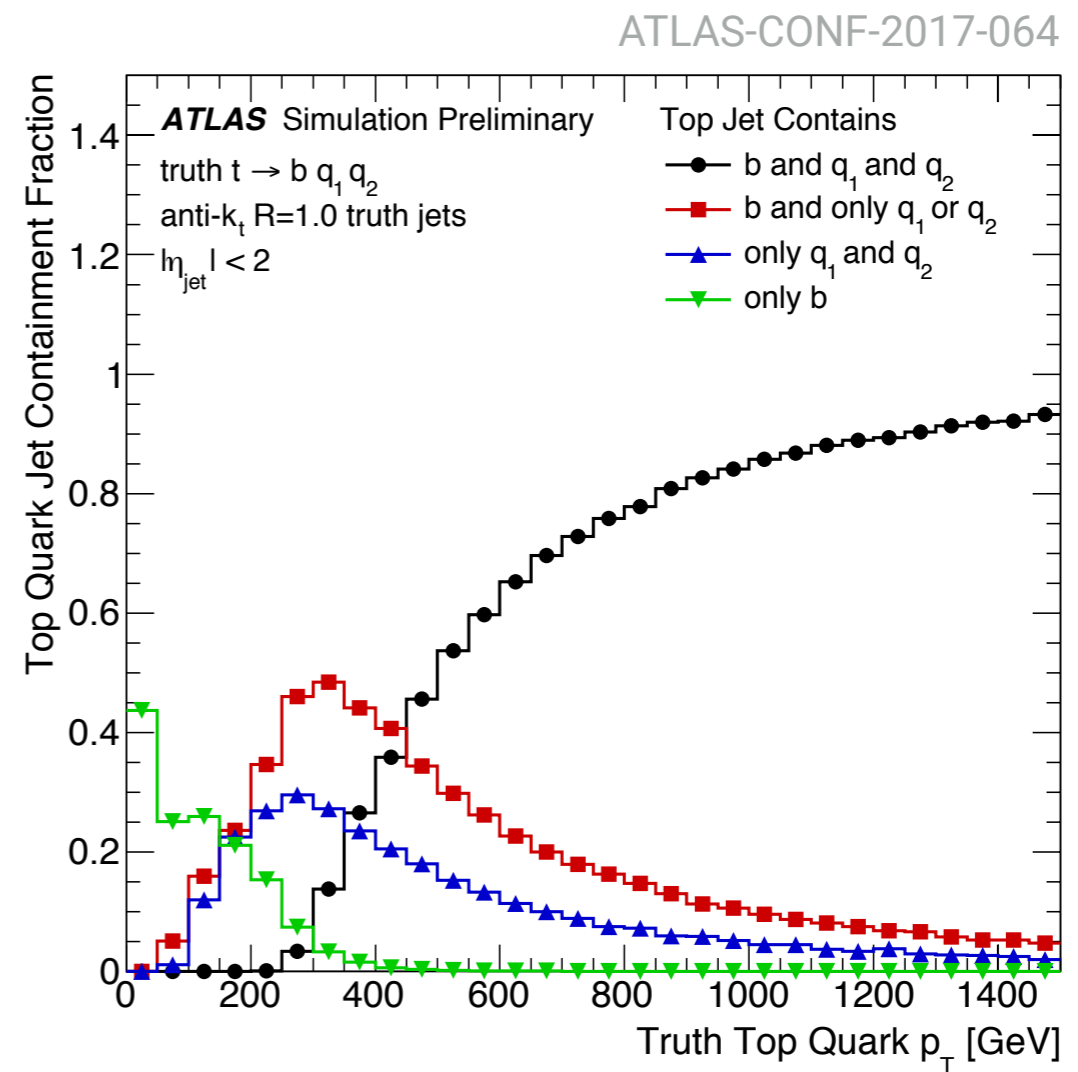
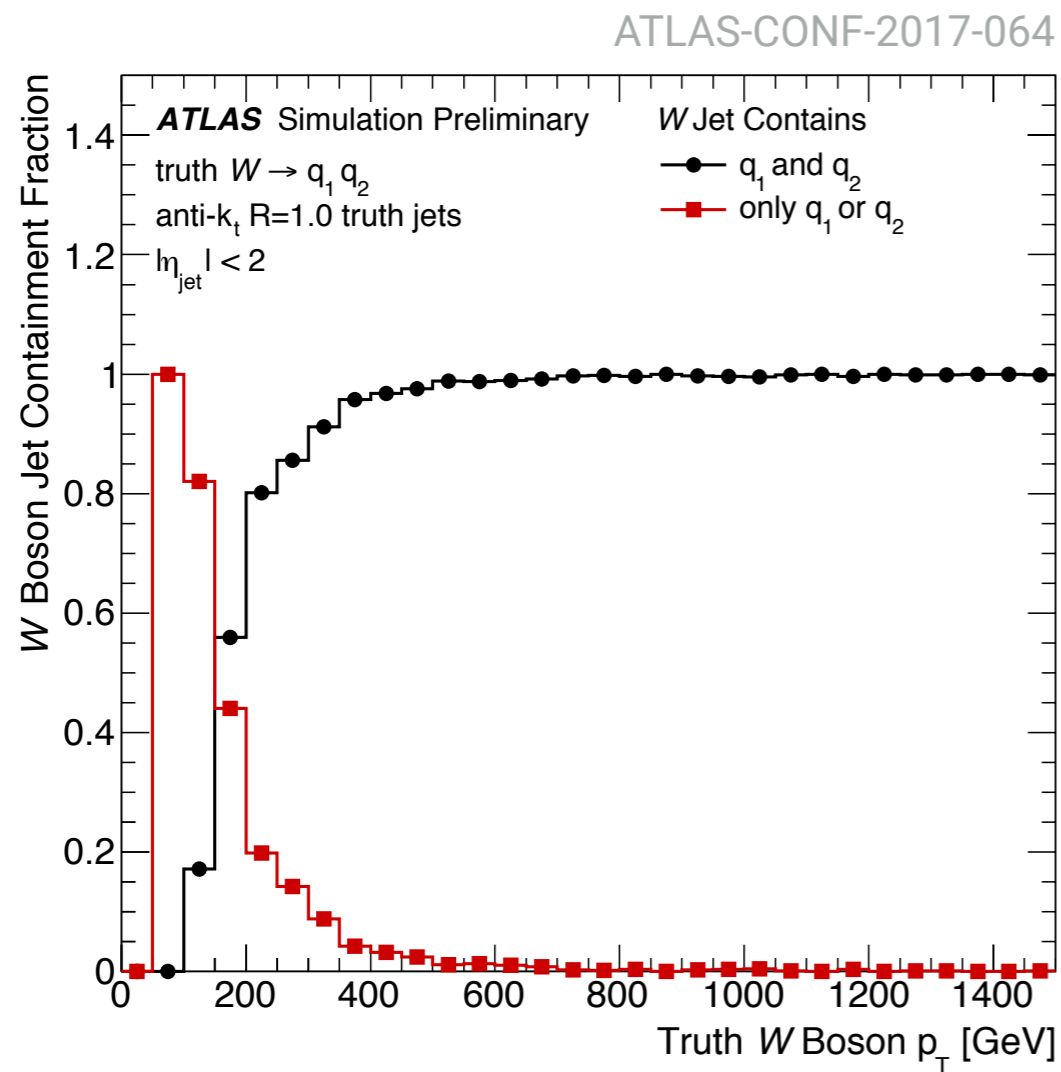


(c) For every triplet-wise combination of the substructure objects found in (b), recluster the constituents into subjets and select the N_{subjet} leading- p_T subjets, with $3 \leq N_{\text{subjet}} \leq N_i$ (here, $N_{\text{subjet}} = 5$).



(d) Recluster the constituents of the N_{subjet} subjets into exactly three subjets to make the top candidate for this triplet-wise combination of substructure objects.

W/top tagging / Jet labelling



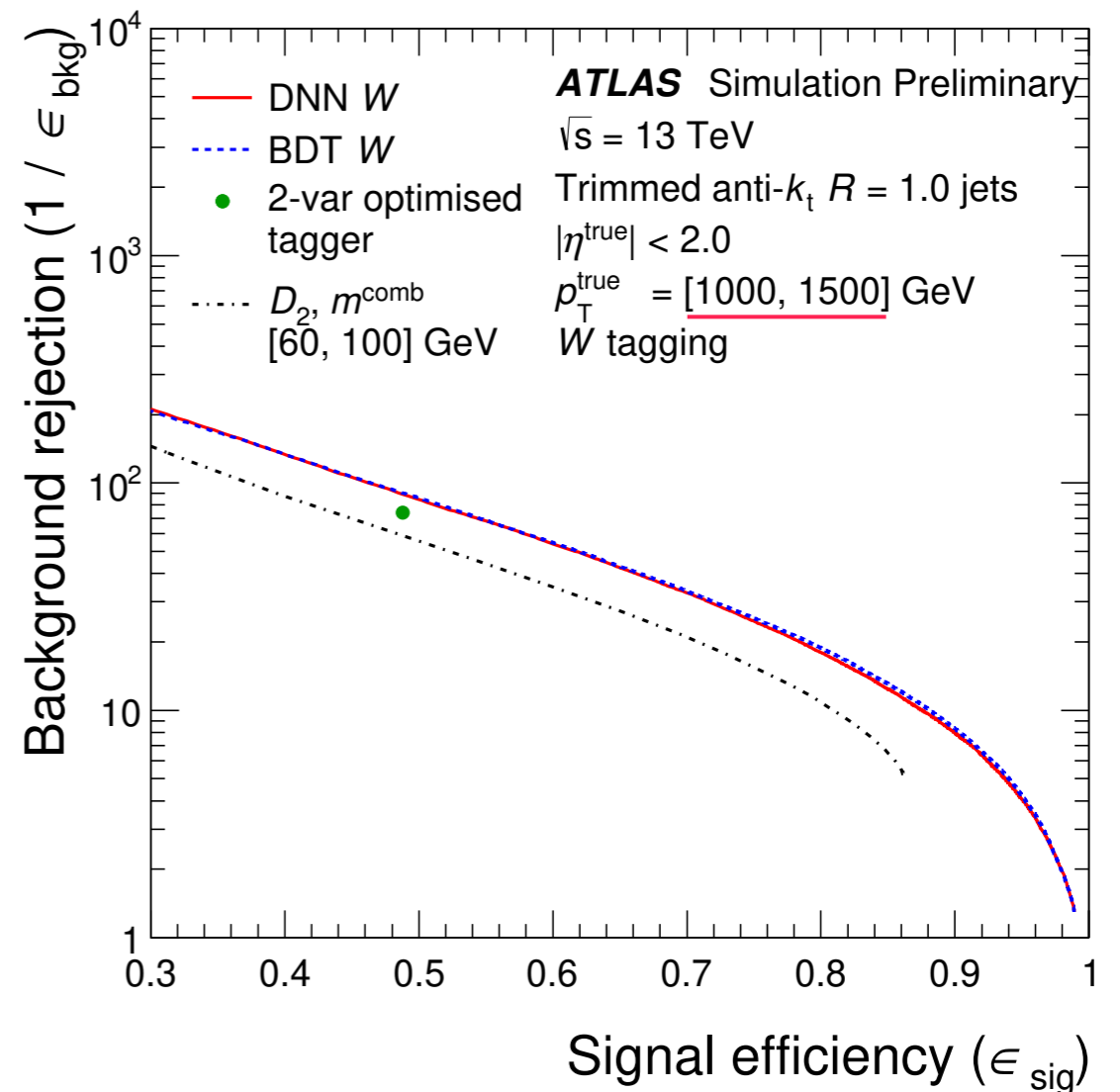
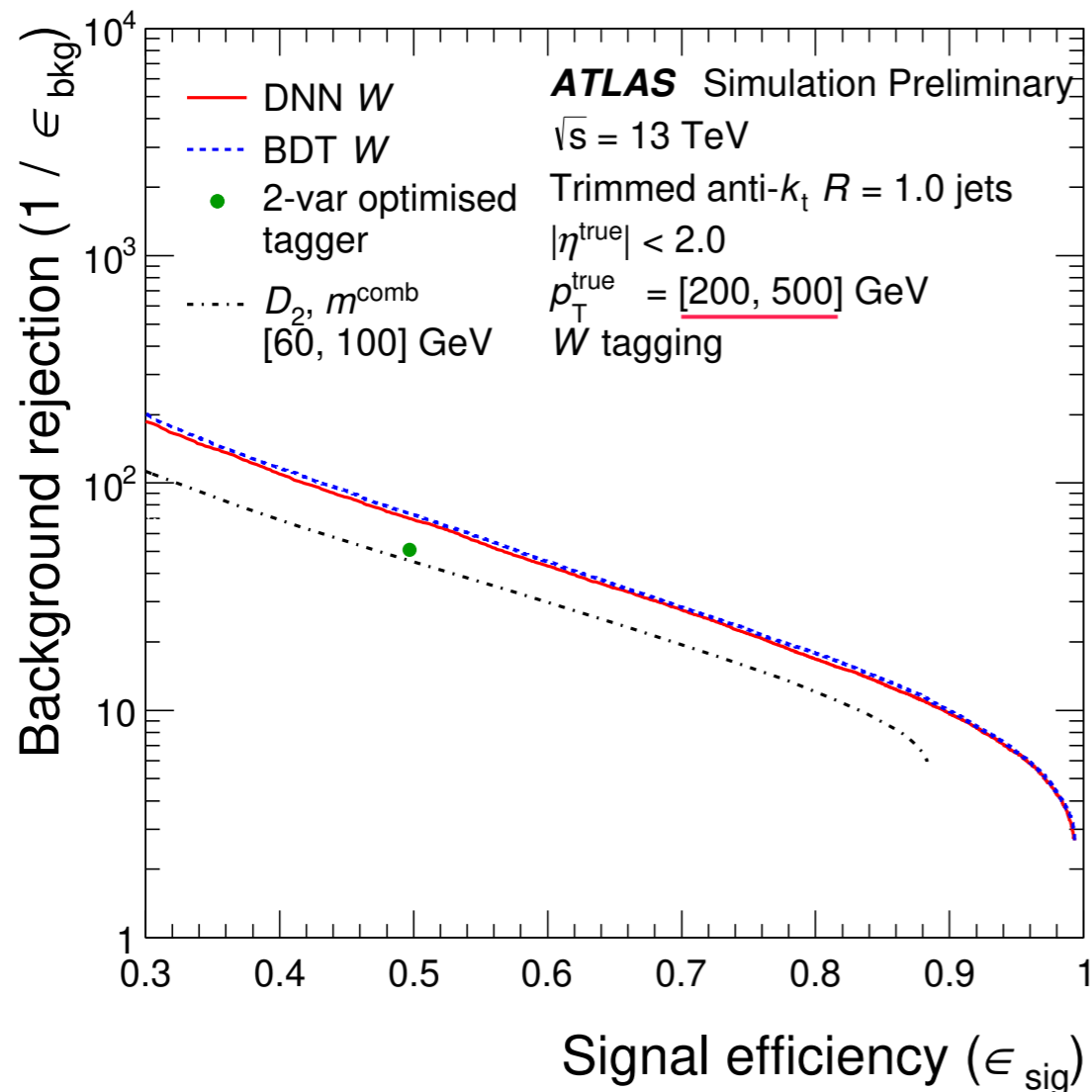
W/top tagging / Chosen ML inputs

Observable	W-Boson Tagging		Top-Quark Tagging	
	BDT	DNN	BDT	DNN
m^{comb}	○	○	○	○
p_T	○	○	○	○
e_3			○	○
C_2		○		○
D_2	○	○		○
τ_1	○			○
τ_2			○	○
τ_3				○
τ_{21}	○	○	○	○
τ_{32}			○	○
R_2^{FW}	○	○		
\mathcal{P}	○	○		
a_3	○	○		
A	○	○		
Z_{CUT}		○		
$\sqrt{d_{12}}$	○	○	○	○
$\sqrt{d_{23}}$			○	○
$KtDR$	○	○		
Q_w			○	○

Table adapted from ATLAS-CONF-2017-064

Classification / W

- Two-variable optimised tagger (●) improves on $D_2 + m$ -cut (⋮)
- MVA taggers (|⋮) outperform cut-based taggers across p_T



ISD multiplicity

ATL-PHYS-PUB-2018-011

ISD Multiplicity – Number of Splittings while Grooming

1. Undo the last clustering step.
2. If $\Delta R_{ij} < \Delta R_{\text{cut}}$, the algorithm terminates.
3. If $z_{ij} > z_{\text{cut}}(\Delta R_{ij}/R)^\beta$, increment the ISD constituent multiplicity by one and iterate on the harder proto-jet. If not, iterate on the harder proto-jet without incrementing.

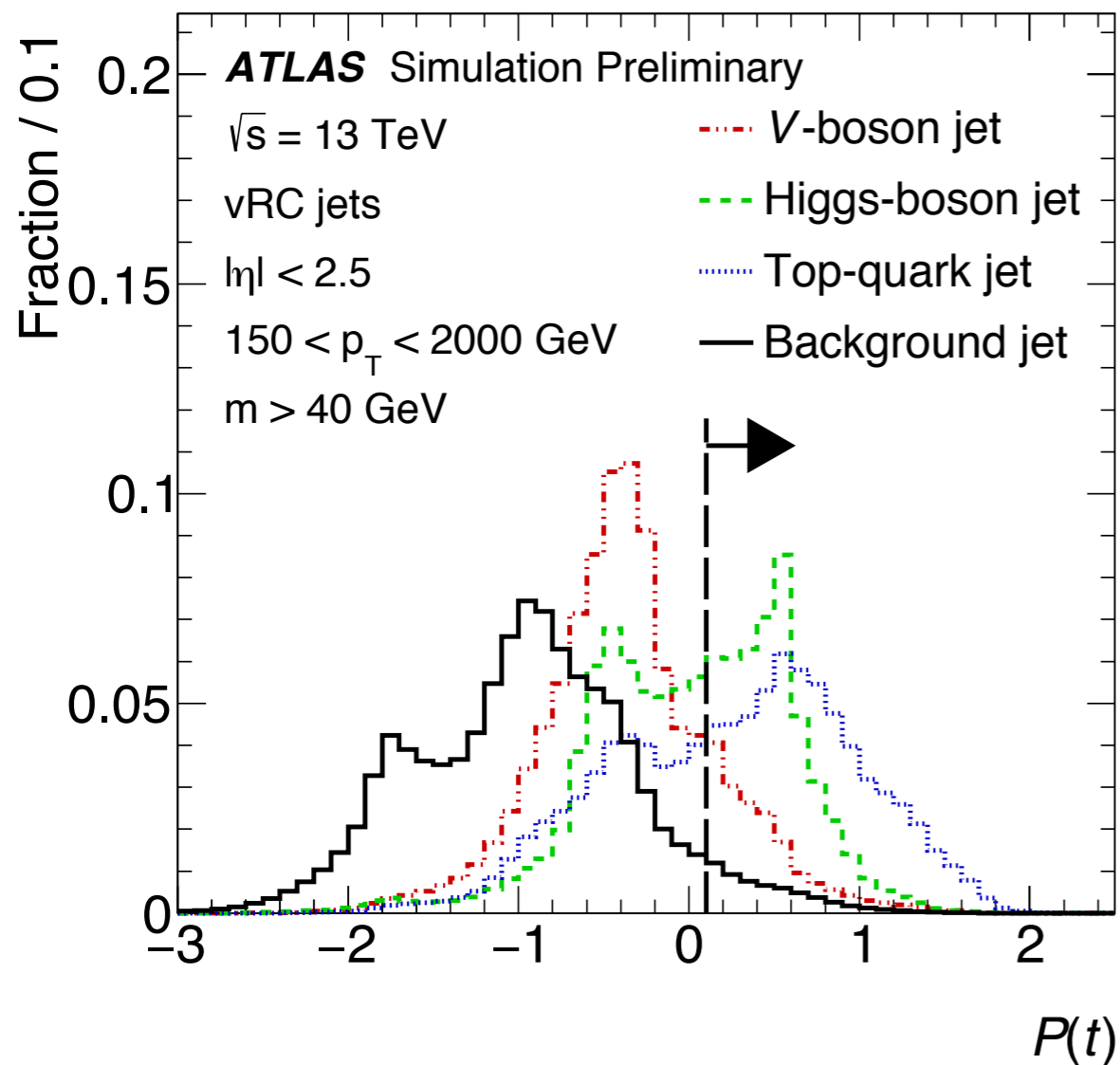
The parameters z_{cut} , β , and ΔR_{cut} are algorithm-specific. For the ISD Multiplicity, the parameters $z_{\text{cut}} = 0.007$, $\beta = -1$, and $\Delta R_{\text{cut}} = 0$ were chosen, which are the values found to maximize the quark-versus-gluon discrimination power while maintaining calculability from Ref. [20].

Multi-class jet tagging

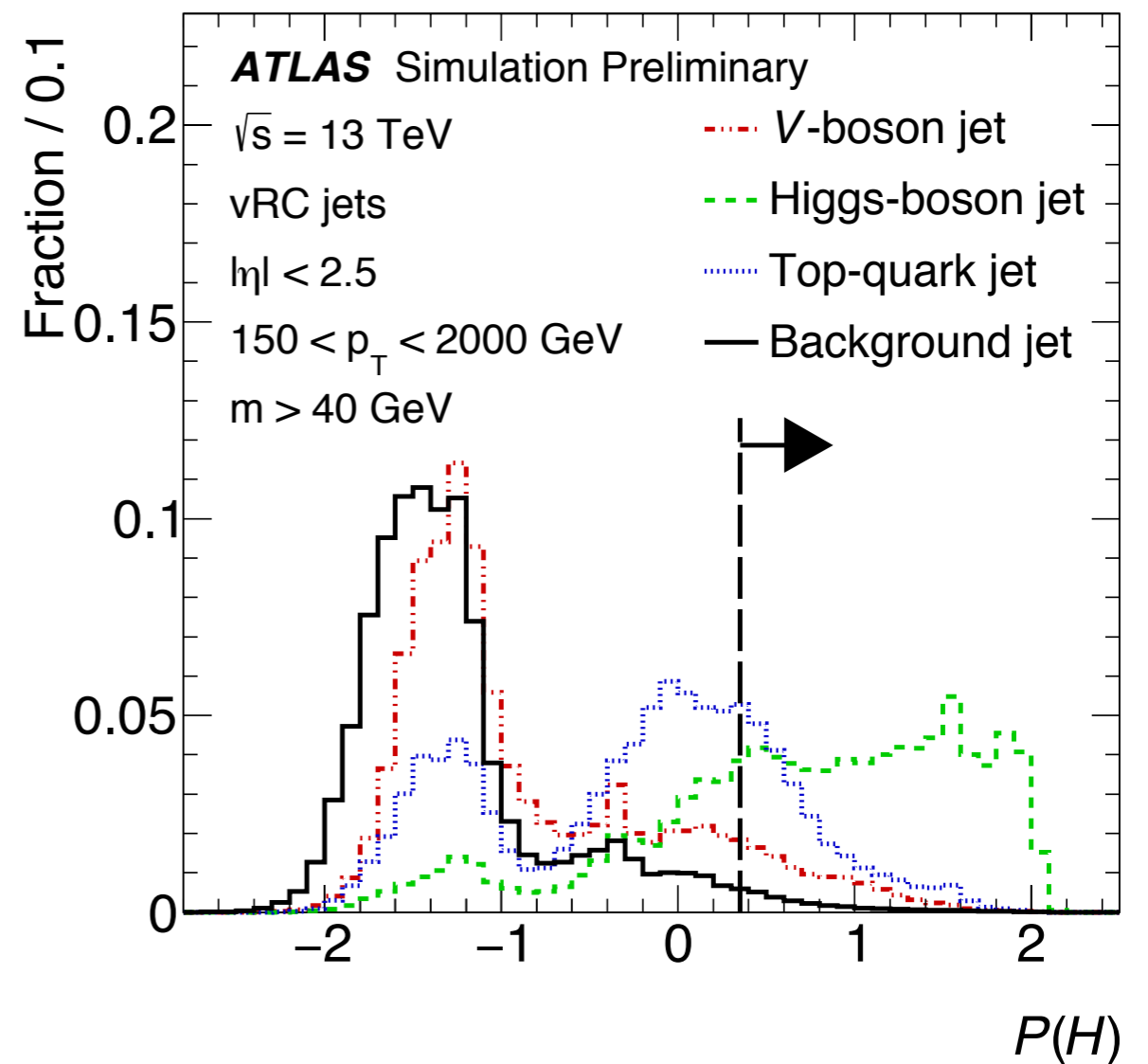
$$P(t) = \log_{10} \left(\frac{D_{\text{DNN}}^t}{0.9 \cdot D_{\text{DNN}}^{\text{background}} + 0.05 \cdot D_{\text{DNN}}^H + 0.05 \cdot D_{\text{DNN}}^V} \right)$$

$$P(H) = \log_{10} \left(\frac{D_{\text{DNN}}^H}{0.9 \cdot D_{\text{DNN}}^{\text{background}} + 0.05 \cdot D_{\text{DNN}}^V + 0.05 \cdot D_{\text{DNN}}^t} \right)$$

EXOT-2017-14

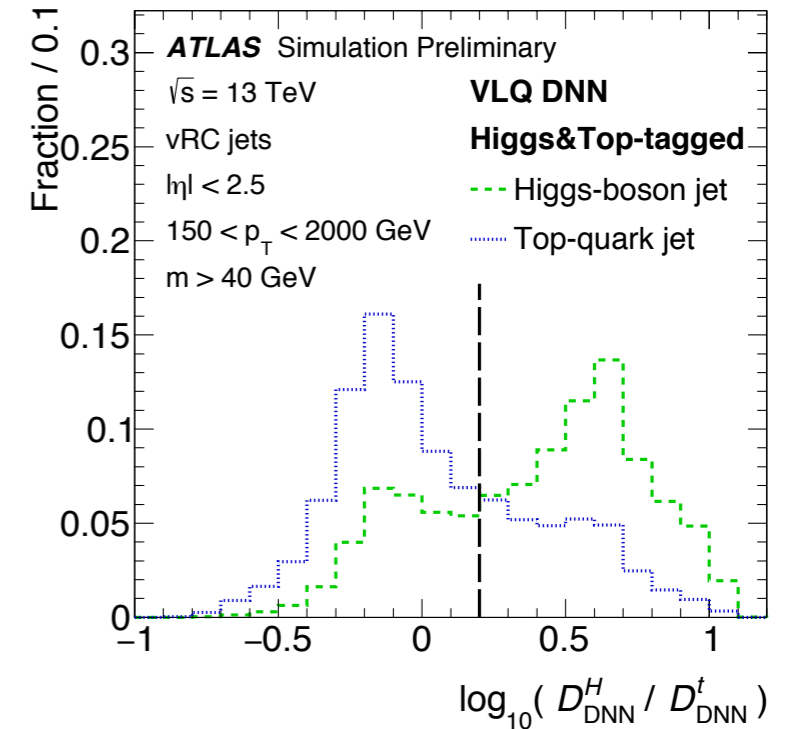
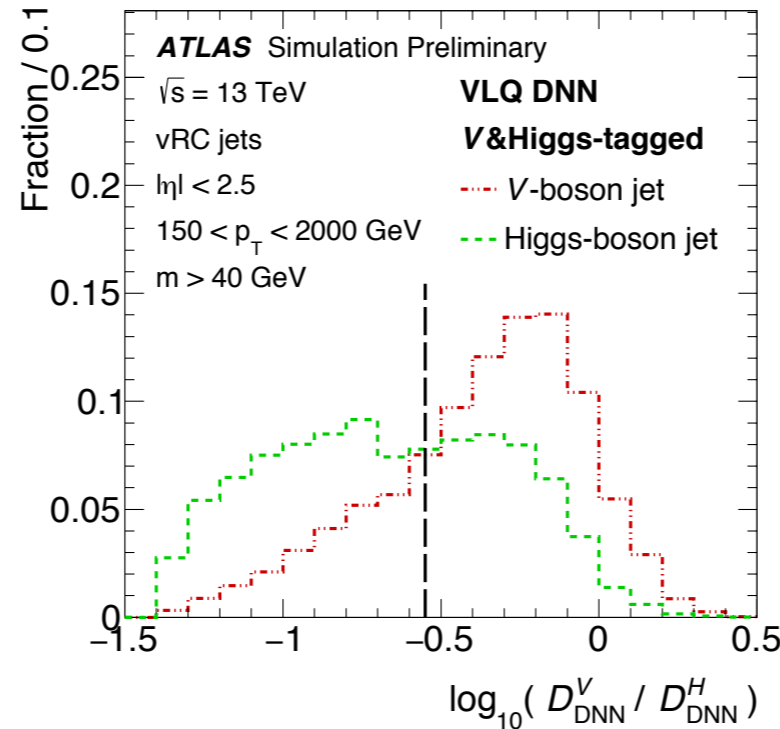
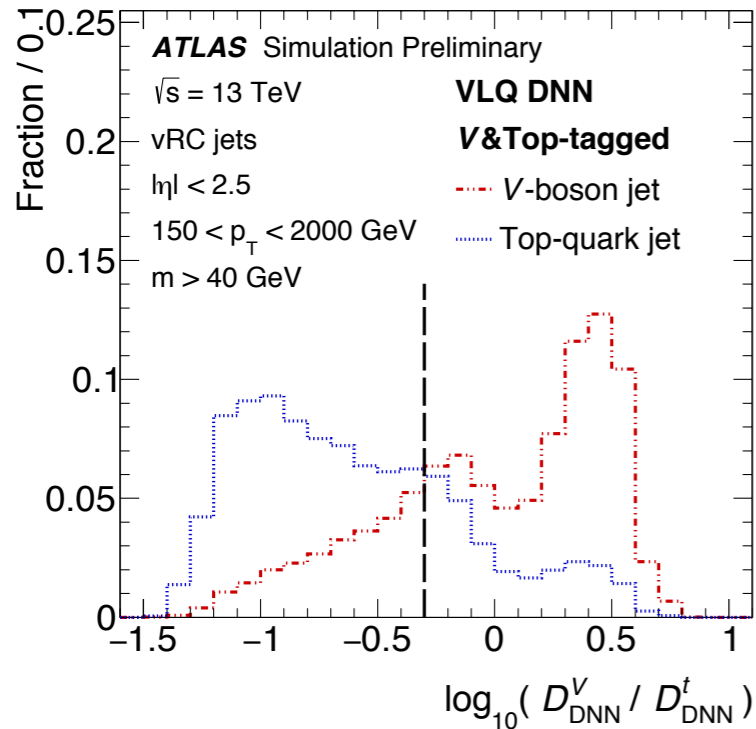


EXOT-2017-14

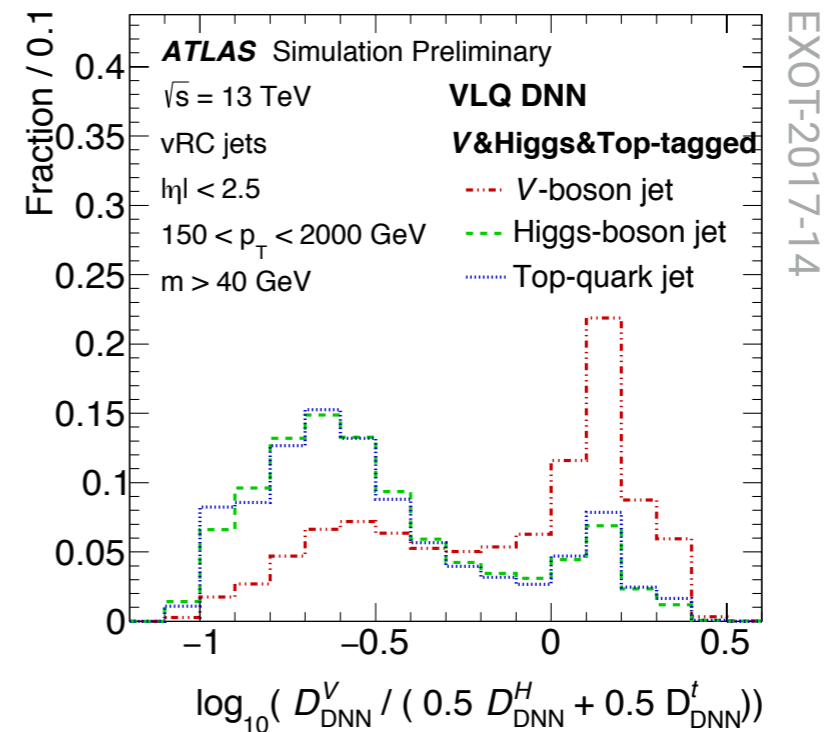


Multi-class jet tagging

- **Double-tagged:** Likelihood ratios for each tagged class



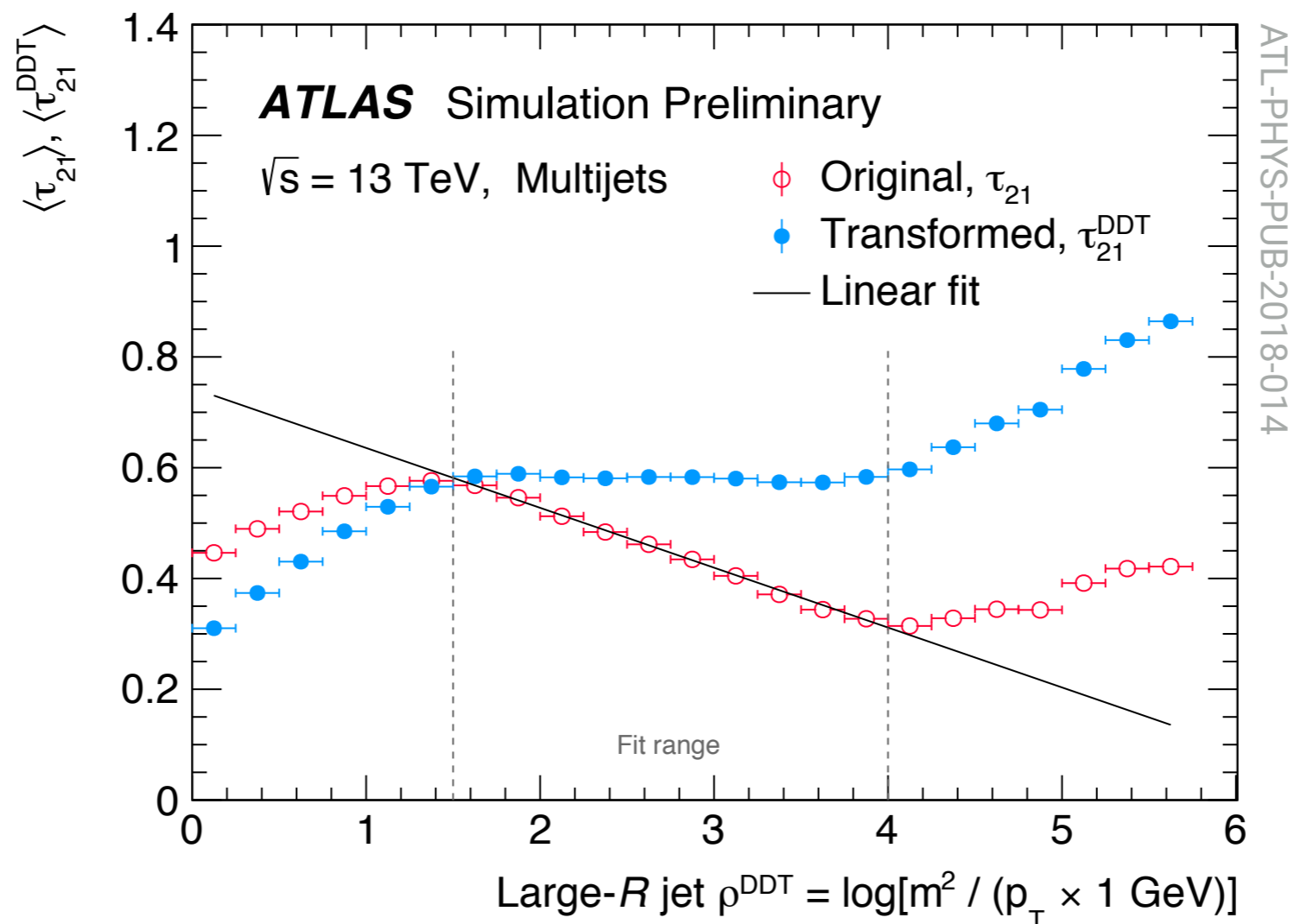
- **Triple-tagged:** Assume H , as this is most likely case



Mass-decorrelation / DDT

- Remove mean bias of τ_{21} by performing linear fit vs. ρ^{DDT} in restricted range for multijets. Choice of base substructure variable limited by linearity requirement.

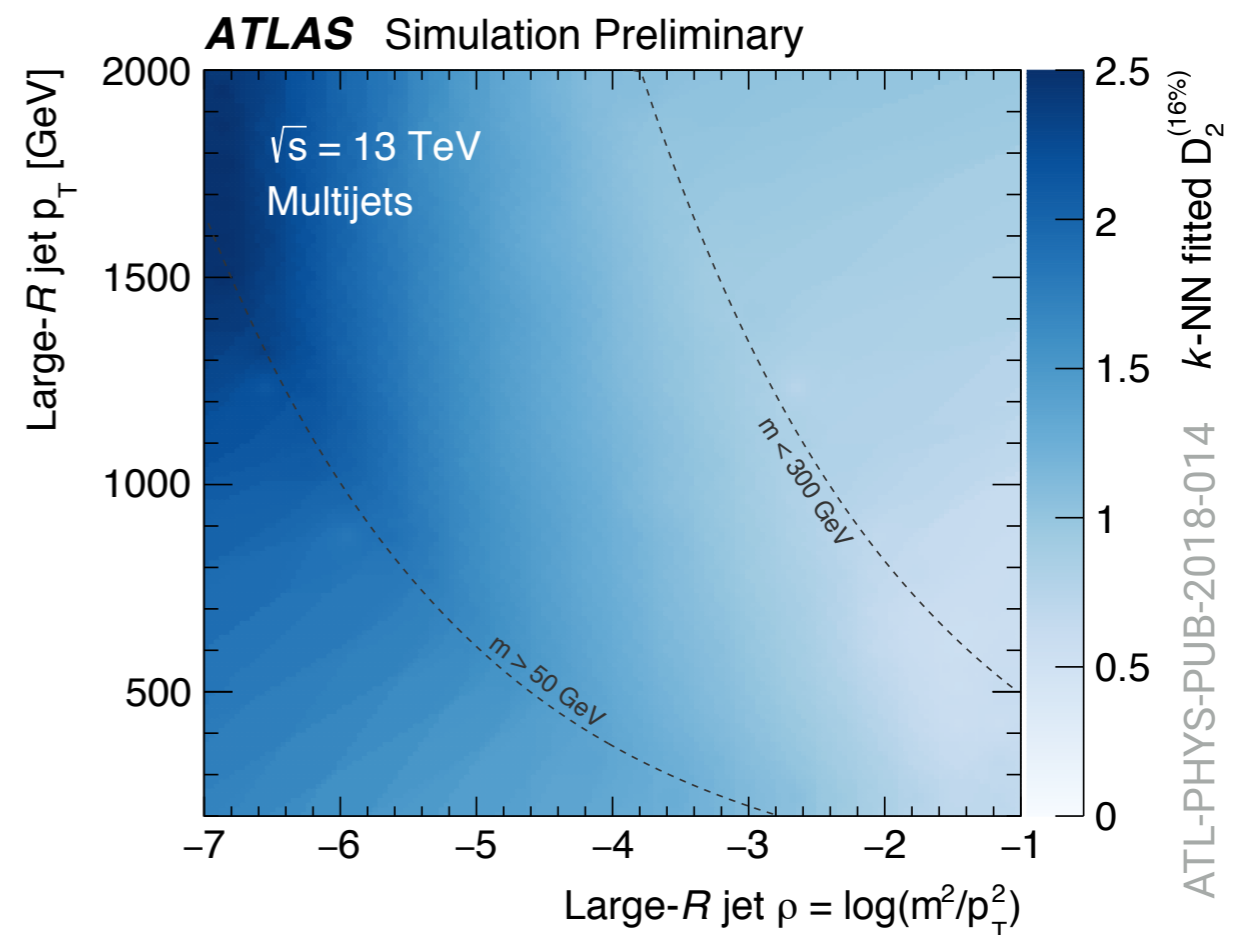
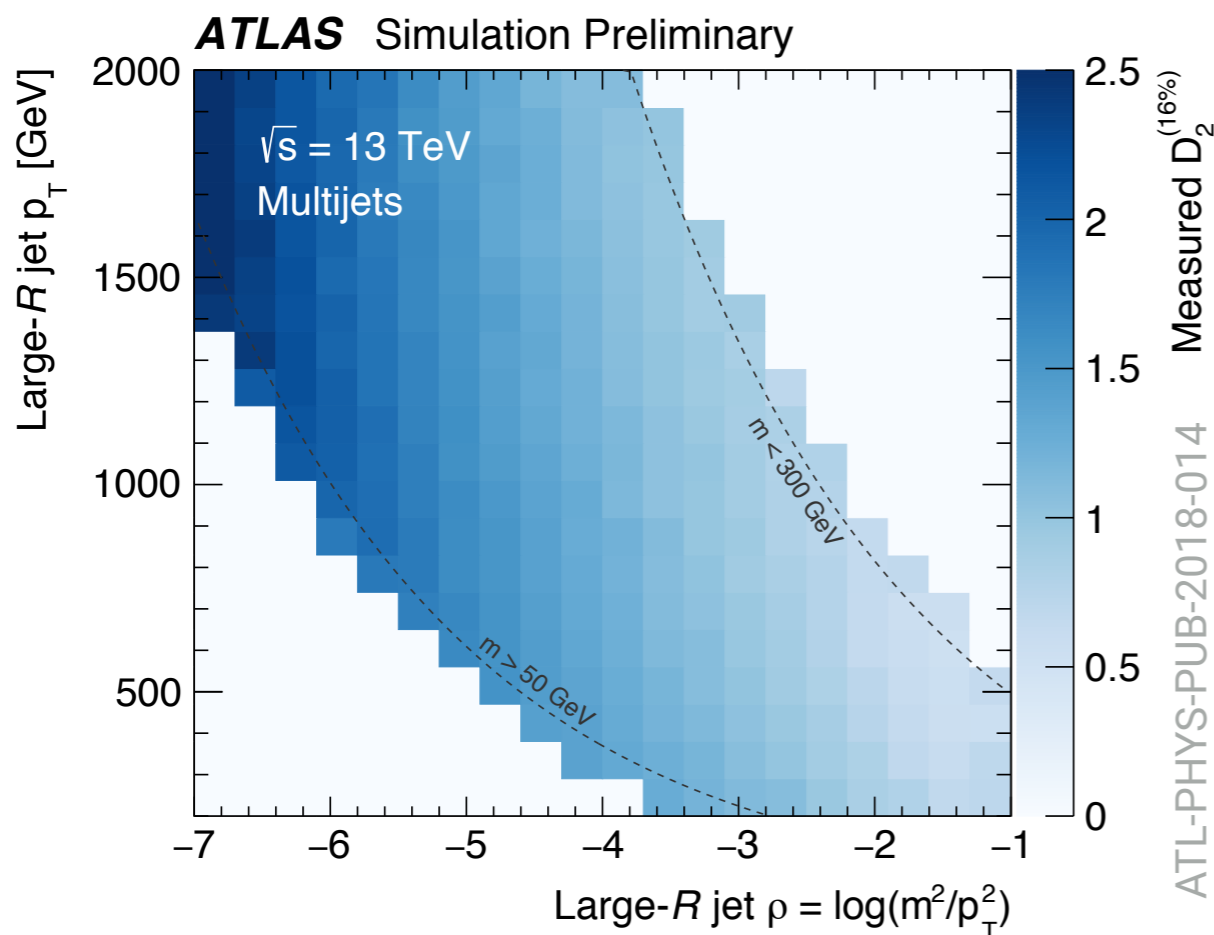
$$\tau_{21}^{\text{DDT}} = \tau_{21} - a \times (\rho^{\text{DDT}} - 1.5)$$



Mass-decorrelation / k -NN

- Use k -nearest neighbour regression to fit fixed-percentile value of D_2 for multijets as a function of $(\log p_T, \rho)$ to remove non-linear correlations for fixed ϵ_{bkg} .

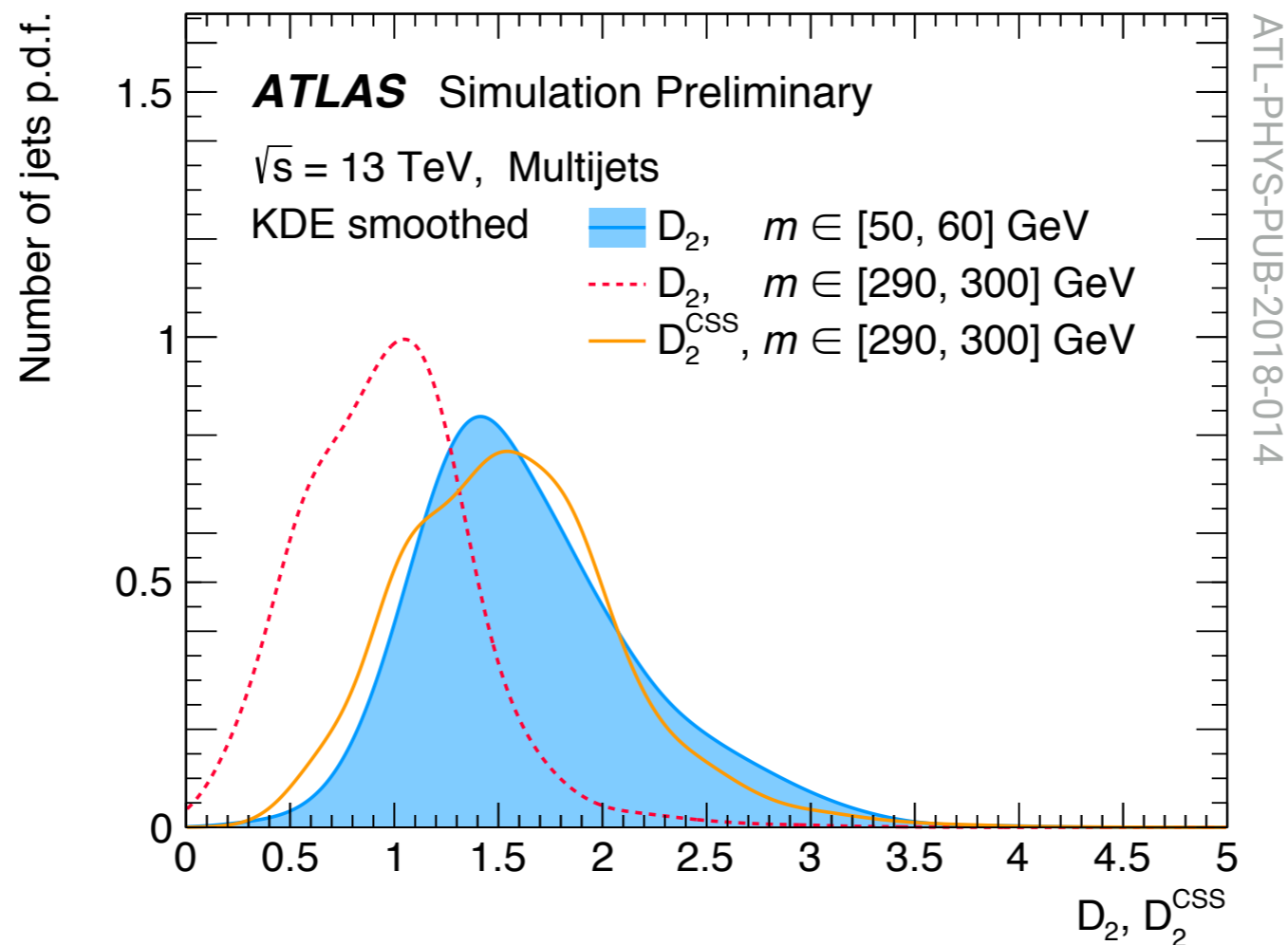
$$D_2^{k\text{-NN}} = D_2 - D_2^{(16\%)}$$



Mass-decorrelation / CSS

- D_2 distribution at jet mass m is convoluted to be coherently closer to distribution at reference mass m_0 . Removes mass-dependence for higher-order moments than mean.

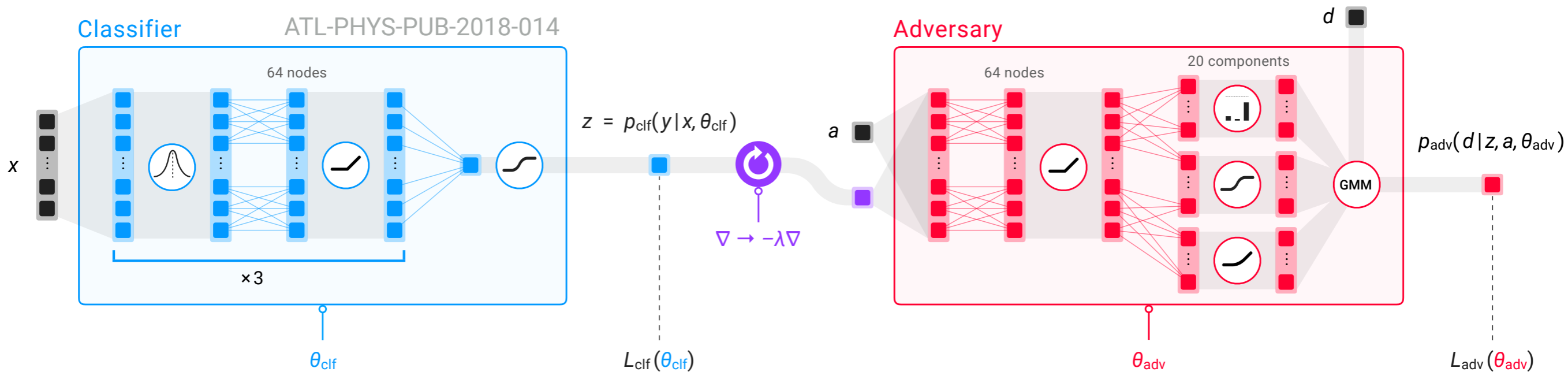
$$x_{\text{CSS}} = G^{-1}(C(x)|\alpha, \Omega_D), \quad F_{\text{CSS}}(x|\alpha, \Omega_D) = \left(\frac{\alpha}{\Omega_D}\right)^\alpha \frac{1}{\Gamma(\alpha)} x^{\alpha-1} e^{-\frac{\alpha x}{\Omega_D}}.$$



Mass-decorrelation / ANN

- Pit neural network classifier against adversary network, tasked with inferring the jet mass from the classifier output and penalising the classifier if this is possible. Trade-off controlled by parameter λ .

$$\min_{\theta_{\text{clf}}} \max_{\theta_{\text{adv}}} L_{\text{clf}}(\theta_{\text{clf}}) - \lambda L_{\text{adv}}(\theta_{\text{clf}}, \theta_{\text{adv}})$$



x : inputs, 10 substructure moments
 y : target, jet label

a : aux. info., log p_T
 d : decorrelation var(s), jet m

Mass-decorrelation / uBoost

- Using adaptive boosting of decision tree to ensure uniform efficiency at fixed level $\bar{\epsilon}$ across m in addition to standard classification boosting. Trade-off controlled by uniforming rate α .

